

## PROJECT CHANGE REQUEST

PROJECT ID. 05 0002 0243

DISTRICT/EA 05-0T990 PPN0 2312 PGM Doc. SHOPP PGM Del FY 2015/16 PROG CODE 201.015

PROJECT (SCOPE) DESCRIPTION: MON 101 R40.5/ 55.0 In Monterey County on Route 101 from Central Ave to 0.1mile S of Lagomarsino Ave. Tree removal

DOES THIS PROJECT INVOLVE PROPOSITION 1B FUND(S)? NO  YES , TYPE(S) (CMLA, Route 99, STIP, SHOPP, etc.)

### SCOPE, COST & SCHEDULE CHANGES

TYPE OF REQUEST:  PGM COST  PGM YEAR  SCOPE  SPLIT / COMBINE  OTHER: \_\_\_\_\_

### COMPONENT Change (\$'s in 1,000's)

	EXISTING (PROGRAMMED)		PROPOSED		COST EXPENDED to Date % COMPLETE			COST CHANGE			
	Value	FY	Value	FY	Expended	% Expended	% Complete	Value	Value%	Yrs	Type
PA&ED	\$553	15/16	\$1,110	15/16	\$1,110	200%	100%	\$557	100%	0	C
PS&E	\$671	15/16	\$845	15/16	\$0	0%	0%	\$174	26%	0	A
R/W SUP	\$69	15/16	\$131	15/16	\$0	0%	0%	\$62	90%	0	A
CON SUP	\$627	15/16	\$834	15/16	\$0	0%	0%	\$207	33%	0	A
R/W CAP	\$1,477	15/16	\$60	15/16	\$0	0%	0%	\$-1,417	-96%	0	A
CON CAP	\$4,548	15/16	\$3,488	15/16	\$0	0%	0%	\$-1,060	-23%	0	A

Total      \$7,945                  \$6,468                  \$ \_\_\_\_\_                  \$1,477      19%

WHAT PHASE IS THE PROJECT IN?      PRE-PGM DELIVERY YR       PGM DELIVERY YR & PRE VOTE       POST VOTE

Cost Change Type	Description	Data Systems Changed
	<b>Cost Change Request Types</b>	<b>Programmed Budget</b>
A	Programming Cost Change	CTIPS
B	Headquarters Cost Approval	
C	District Cost Documentation	
NA	No Change Proposed	
	<b>Supplemental Funds Requests</b>	
SFR	Supplemental Funds Request	AMS Advantage If Expenditures < 100%

City - Rte - PM - Description

**New Project Description:** MON 101 41.5/49.8 In Monterey County in and near King City from 0.2 miles south of Canal St. undercrossing to 0.4 mi north of Jolon Road and from Central Ave. to Lagomarsino Ave. Tree removal.

"010" Safety Project? Yes  No

(Only If Revised)

Project  
Performance

EXISTING (PROGRAMMED)		PROPOSED		PERFORMANCE CHANGE	
12	coll. reduced	12	coll. reduced	0	0 %
Value	Units	Value	Units	Value	Units

(SHOPP PRIMARY PERFORMANCE  
OUTPUT BY PROGRAM CODE)

**1.) WHAT IS THE PROPOSED CHANGE?**

- A) Post mile change
- B) Programming cost changes for support and Capital (Type A) and documenting PA&ED cost change (Type C).

**2.) COMPLETE THE FOLLOWING REGARDING THE LATEST TWO COST ESTIMATES.**

(\$'s in 1,000's.)

- 1. ESTIMATE DATE: 11/14 Con Capital \$3,100 RW Capital \$54.
- 2. ESTIMATE DATE: 09/11 Con Capital \$4,458 RW Capital \$1,477

**3.) WHAT WAS THE REASON FOR THE CHANGE?**

- A) The post mile change is due to further refinement of the project limits due to changes in the replanting area at the ends of the project.

- B) The capital cost decreases are due to ongoing project development and further design detail. The current estimated construction capital cost is approximately \$3.1M, escalated to \$3.488M.

The support cost increase for PA&ED is due to an underestimation of the work effort required to prepare the EIR. The other support cost increases are due to escalation factors and implementation of the new rate matrix.

**4.) WHEN WAS THE CHANGE DISCOVERED?**

The changes have been identified during the last six months.

**5.) WHAT HAS BEEN DONE TO MINIMIZE ANY CHANGE?**

The total project cost is lower than originally programmed.

**6.) WHAT CAN BE CONSTRUCTED WITH THE PROGRAMMED FUNDS?**

The project can be constructed with the programmed funds.

**7.) IF THE SCOPE IS REDUCED OR SPLIT, WOULD THE REMOVED WORK NEED TO BE REPROGRAMMED OR ADDED TO ANOTHER PROJECT?**

N/A

**8.) IS A SUPPLEMENTAL SCOPING DOCUMENT NEEDED? IF YES, STATUS?**

No. The PR and Env document were approved with the new project limits.

9.) WAS A VALUE ANALYSIS STUDY CONDUCTED? EXPLAIN THE RESULTS OF THE STUDY OR WHY A STUDY WAS NOT CONDUCTED?

A VA study was not conducted because the project is less than \$50 million; however, the principles of value analysis have been applied to ensure cost effectiveness of the proposal.

10.) COST - WHERE WILL THE REQUIRED FUNDS COME FROM? SHOPP Program

11.) PRIOR PCRS – LIST OTHER PCRS PREVIOUSLY APPROVED.

None

**PROJECT CONCURRENCE**

12.) (A) (STIP-RIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER AND THE RTPA OR COUNTY TRANSPORTATION COMMISSIONS STAFF? EXPLAIN THEIR REACTION.

\_\_\_\_\_

(B) (STIP-IIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER? EXPLAIN THEIR REACTION.

\_\_\_\_\_

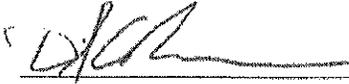
(C) (SHOPP) WHEN DID THE DISTRICT DISCUSS THIS WITH THE HEADQUARTERS PROGRAM MANAGER? EXPLAIN THEIR REACTION.

The HQs Program Advisor-Acting, John Holzhauser, was contacted but District has not received a response as of this date (and understand he is out of the office this week). District will forward response as soon as received.

13.) LESSONS LEARNED, NEW STRATEGIES (What new information pertaining to this project could be beneficial to others?)

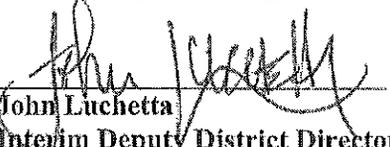
\_\_\_\_\_

14.) District Project Manager Signature

  
 \_\_\_\_\_  
 David C. Rasmussen  
 District Project Manager

3-3-15  
 Date

805-549-3677  
 Phone Number

  
 \_\_\_\_\_  
 John Luchetta  
 Interim Deputy District Director  
 Program/Project Management

3/3/15  
 Date

APPROVAL - COMMENTS - CONCERNS

- PD Concurrence
- PD Objections (detail concerns):

15.) Comments - Concerns:

  
 \_\_\_\_\_  
 Paul Gennaro  
 HQ Project Delivery Coordinator

2/25/15  
 Date

APPROVAL

  
 \_\_\_\_\_  
 Timothy M. Gubbins  
 DISTRICT DIRECTOR

3/3/15  
 Date

	Approve	Deny	No HQ Action
Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

  
 \_\_\_\_\_  
 JAMES E. DAVIS  
 HQ DIVISION CHIEF  
 PROJECT MANAGEMENT

3/17/15  
 Date

for

  
 \_\_\_\_\_  
 BRUCE DE TERRA  
 ACTING HQ DIVISION CHIEF  
 TRANSPORTATION PROGRAMMING

3/18/15  
 Date

REQUIRED ATTACHMENTS

- (a) Attach 1 page copy (screenprint) of project workplan/status schedule.
- (b) Attach the current CTIPS project information.
- (c) PCR Data Worksheet, if applicable (for splits/combines).
- (d) For STIP Projects, please attach the latest Project Programming Request (PPR).
- (e) Summary Cost Estimates, if/when needed.

PROJECT ID. 05-0T990  
 DISTRICT/EA 05 0002 0243

## State Highway Operation and Protection Program

Monterey County  
Document Year: 2014, Version Number 2

PPNO: 2312  
(Dollars in Thousands)

DIST: 05	PPNO: 2312	EA: 0T990	CTIPS ID: 101-0000-0437	TCRP NO.:	TITLE (DESCRIPTION): (In Monterey County on Route 101 from Central Avenue to 0.1 mile S of Lagomarsino Avenue. Tree removal.)	ELEMENT: SHOPP Major Const.	MPO ID: 1
CT PROJECT ID: 05-0002-0243						SPONSOR: Caltrans	
COUNTY: Monterey County						MPO: Association of Monterey Bay Area Governments	
ROUTE: 101						CORRIDOR:	
PM: R40.5 / 55.0						PRJ MGR:	
						PHONE: LAW: 12	
						EMAIL:	

ASSEMBLY: 28	IMPLEMENTING AGENCIES:	PAED	RW
SENATE: 15			
CONGRESS: 17		PSE	CON

**PROJECT VERSION HISTORY** (Printed Version is Shaded) (Last 9 versions displayed)

							Programmed Dollars in Thousands - Total for Project						
Version	Status	Date	Updated By	Change Reason	Amend No.	Vote	Cum Award	Prog Con	Prog RW	PA & ED	PS & E	RW Sup	Con Sup
2	Official		DBERRY	Approved - Carry Over			4,548	4,548	1,477	553	671	69	627
1	Official		DBERRY	Approved - New Project			4,548	4,548	1,477	553	671	69	627

Fund Source 1 of 1 SHOPP - Collision Reduction

20.XX.201.015 - Safety Enhancements

Fund Type:  
Surface Transportation Program

Extension

Funding Agency

	PRIOR	14/15	15/16	16/17	17/18	18/19	19/20	FUTURE	TOTAL
PA&ED			553						553
PS&E			671						671
RAW SUP			69						69
CON SUP			627						627
RAW			1,477						1,477
CON			4,548						4,548
<b>Total:</b>			<b>7,945</b>						<b>7,945</b>

HQ Comments:

\*\*\*\*\* Version 2 - 03/26/2014 \*\*\*\*\* Carryover project from 2012 to 2014 SHOPP \*\*\*\*\* Version 1 - 04/12/2012 \*\*\*\*\* New 2012 SHOPP project