

## PROJECT CHANGE REQUEST

PROJECT ID. 02-1200-0012

DISTRICT/EA 02-4E650    PPNO 3473    PGM Doc. SHOPP    PGM Del FY 15/16    PROG CODE 20.XX.201.112

Cty    Rte    PM    Description

PROJECT (SCOPE) DESCRIPTION:    Sis    96    52.5/88.3    In Siskiyou County at various locations from 0.1 mile west of Thompson Creek Bridge to 0.2 mile east of Beaver Creek Bridge. Upgrade bridge rail at three locations.

DOES THIS PROJECT INVOLVE PROPOSITION 1B FUND(S)?    NO     YES . TYPE(S) (CMIA, Route 99, STIP, SHOPP, etc.) \_\_\_\_\_

**SCOPE, COST & SCHEDULE CHANGES**

TYPE OF REQUEST:     PGM COST     PGM YEAR     SCOPE     SPLIT / COMBINE     OTHER:

**COMPONENT** Change (\$'s in 1,000's)

	EXISTING (PROGRAMMED)		PROPOSED		COST EXPENDED to Date % COMPLETE			COST CHANGE			
	Value	FY	Value	FY	Expended	% Expended	% Complete	Value	Value %	Yrs	Type
PA&ED	\$2,300	15/16	\$2,800	15/16	\$2,258	98%	70%	\$ 500	22%		A
PS&E	\$1,200	15/16	\$1,500	15/16	\$ 0	0%	0%	\$ 300	25%		A
R/W SUP	\$ 120	15/16	\$ 220	15/16	\$ 32	27%	30%	\$ 100	83%		A
CON SUP	\$2,000	15/16	\$3,500	15/16	\$ 0	0%	0%	\$1,500	75%		A
R/W CAP	\$ 328	15/16	\$ 230	15/16	\$ 3	1%	10%	\$ -98	-30%		A
CON CAP	\$8,100	15/16	\$8,100	15/16	\$ 0	0%	0%	\$ 0	0%		NA
<b>Total</b>	<b>\$14,048</b>		<b>\$16,350</b>		<b>\$2,293</b>			<b>\$2,302</b>	<b>16%</b>		

**WHAT PHASE IS THE PROJECT IN?**

PRE-PGM DELIVERY YR     PGM DELIVERY YR & PRE VOTE     POST VOTE

Cost Change Type	Description	Programmed Budget	Data Systems Changed
<b>Cost Change Request Types</b>			
A	Programming Cost Change	CTIPS	AMS Advantage
B	Headquarters Cost Approval		AMS Advantage
C	District Cost Documentation		
NA	No Change Proposed		
<b>Supplemental Funds Requests</b>			
SFR	Supplemental Funds Request		AMS Advantage If Expenditures < 100%

Cty - Rte - PM - Description

New Project Description: \_\_\_\_\_  
(Only If Revised)

“010” Safety Project?    Yes     No

(SHOPP PRIMARY PERFORMANCE OUTPUT BY PROGRAM CODE)

Project Performance	EXISTING (PROGRAMMED)		PROPOSED		PERFORMANCE CHANGE		
	<u>3</u> Value	<u>location</u> Units	<u>3</u> Value	<u>location</u> Units	<u>NA</u> Value	<u>NA</u> Units	<u>NA%</u>

1.) **WHAT IS THE PROPOSED CHANGE?**

Increase PA&ED, PS&E, R/W and Construction Support costs. Decrease R/W Capital.

2.) **COMPLETE THE FOLLOWING REGARDING THE LATEST TWO COST ESTIMATES.**  
(\$'s in 1,000's.)

1. ESTIMATE DATE: 02/15, Con Capital \$8,100, RW Capital \$220.  
2. ESTIMATE DATE: 11/13, Con Capital \$8,100, RW Capital \$328.

3.) **WHAT WAS THE REASON FOR THE CHANGE?**

All support component funding has increased due to the recent rate matrix change. PA&ED is proposed to be increased due to additional efforts to complete the environmental studies in the endangered Coho salmon environment. The PS&E resources were greater than 100% programmed funds prior to the rate matrix increase, but less than 120%. The rate matrix increase took it above the 120% threshold. R/W Support is proposed to increase since the parcel count has doubled. Construction Support is proposed to increase due to an increase in working days (WD) from 90 to 280. The PID estimated 90 WD, but because of the environmental work windows and constraints the working days have increased to 280. However, it is still anticipated the product can be completed in two construction seasons.

4.) **WHEN WAS THE CHANGE DISCOVERED?**

January and February 2015.

5.) **WHAT HAS BEEN DONE TO MINIMIZE ANY CHANGE?**

The team has worked diligently to reduce support costs while ensuring the project tasks were/are completed in an efficient manner.

6.) **WHAT CAN BE CONSTRUCTED WITH THE PROGRAMMED FUNDS?**

The existing capital appears adequate to purchase the necessary R/W and obtain the appropriate permits and construct the project. However, the support funds are inadequate to perform the activities required to develop the contract package, purchase the R/W and construct the project.

7.) **IF THE SCOPE IS REDUCED OR SPLIT, WOULD THE REMOVED WORK NEED TO BE REPROGRAMMED OR ADDED TO ANOTHER PROJECT?**

No reduction in scope or split is proposed.

8.) **IS A SUPPLEMENTAL SCOPING DOCUMENT NEEDED? IF YES, STATUS?**

No.

9.) **WAS A VALUE ANALYSIS STUDY CONDUCTED? EXPLAIN THE RESULTS OF THE STUDY OR WHY A STUDY WAS NOT CONDUCTED?**

No.

10.) **COST - WHERE WILL THE REQUIRED FUNDS COME FROM?**

SHOPP.

11.) PRIOR PCRs – LIST OTHER PCRs PREVIOUSLY APPROVED.

NA

PROJECT CONCURRENCE

12.) (A) (STIP-RIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER AND THE RTPA OR COUNTY TRANSPORTATION COMMISSIONS STAFF? EXPLAIN THEIR REACTION.

NA

(B) (STIP-IIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER? EXPLAIN THEIR REACTION.

NA

(C) (SHOPP) WHEN DID THE DISTRICT DISCUSS THIS WITH THE HEADQUARTERS PROGRAM MANAGER? EXPLAIN THEIR REACTION.

The District communicated the support increase to Kevin Wall, the Bridge Program Advisor, on February 25, 2015. Kevin questioned the high support to capital ratio, but agreed with the PCR to increase support costs.

13.) LESSONS LEARNED, NEW STRATEGIES (What new information pertaining to this project could be beneficial to others?)

The effort it takes in the 0-Phase to reach PA&ED on a project that is located in an endangered Coho salmon environment is extensive. The information required to develop the Biological Assessment includes the bridge foundation report, the hydraulic report and the general plan. This means most of the design is completed by PA&ED. The lesson learned is to produce an accurate schedule in the PID Phase and have the PDT resource the project according to when their tasks will be delivered according to the schedule.

14.) District Project Manager Signature

*Derek Willis*  
 Derek Willis  
 District Project Manager

2-27-15  
 Date

(530) 225 - 3466  
 Phone Number

*Ed Lanekin*  
 Ed Lanekin  
 Deputy District Director  
 Program/Project Management

3-3-15  
 Date

APPROVAL - COMMENTS - CONCERNS

- PD Concurrence
- PD Objections (detail concerns):

15.) Comments - Concerns:

*Jim DeLuca*  
 Jim DeLuca  
 HQ Project Delivery Coordinator

3/3/15  
 Date

APPROVAL

*John Bulinski*  
 John Bulinski  
 DISTRICT DIRECTOR

3/3/15  
 Date

	Approve	Deny	No HO Action
Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

JAMES E. DAVIS  
 HQ DIVISION CHIEF  
 PROJECT MANAGEMENT

Date

RACHEL FALSETTI  
 HQ DIVISION CHIEF  
 TRANSPORTATION PROGRAMMING

Date

REQUIRED ATTACHMENTS

- (a) Attach 1 page copy (screenprint) of project workplan/status schedule.
- (b) Attach the current CTIPS project information.
- (c) PCR Data Worksheet, if applicable (for splits/combines).
- (d) For STIP Projects, please attach the latest Project Programming Request (PPR).
- (e) Summary Cost Estimates, if/when needed.

PROJECT ID: 0212000110  
 DISTRICT/EA 02/4F100

14.) District Project Manager Signature

*Derek Willis*  
 Derek Willis  
 District Project Manager

2-27-15  
 Date

(530) 225-3466  
 Phone Number

*Ed Lanekin*  
 Ed Lanekin  
 Deputy District Director  
 Program/Project Management

3-3-15  
 Date

APPROVAL - COMMENTS - CONCERNS

- PD Concurrence
- PD Objections (detail concerns):

15.) Comments - Concerns:

\_\_\_\_\_  
 Jim Deluca  
 HQ Project Delivery Coordinator

\_\_\_\_\_  
 Date

APPROVAL

\_\_\_\_\_  
 John Bulinski  
 DISTRICT DIRECTOR

\_\_\_\_\_  
 Date

	Approve	Deny	No HQ Action
Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Mark Kun* for  
 JAMES E. DAVIS  
 HQ DIVISION CHIEF  
 PROJECT MANAGEMENT

3/17/15  
 Date

*Rachel Falsetti*  
 RACHEL FALSETTI  
 HQ DIVISION CHIEF  
 TRANSPORTATION PROGRAMMING

3/18/15  
 Date

REQUIRED ATTACHMENTS

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PROJECT ID. 0212000110  
 DISTRICT/EA 02/4F100

# State Highway Operation and Protection Program

Siskiyou County

Document Year 2014, Version Number 3

PPNO: 3473

(Dollars in Thousands)

DIST: 02	PPNO: 3473	EA: 4E650	CTIPS ID: 130-0000-2427	TCRP No.	TITLE (DESCRIPTION): (Near Soiad Valley, at various locations from Stator Butte Road to 0.1 mile west of Beaver Creek Bridge. Upgrade bridge rail.)	ELEMENT: SHOPP Major Const.	MPO ID: 30
CT PROJECT ID: 02-1200-0012						SPONSOR: Caltrans	
COUNTY: Siskiyou County						MPO: Rural Non-MPO	
ROUTE: 96						CORRIDOR:	
PM: 52.5 / 88.3						PRJ MGR: Derek Willis	
						PHONE: (530) 225-3466	LAW: 12
						EMAIL: Derek_Willis@dot.ca.gov	

ASSEMBLY: 2	IMPLEMENTING	PAED	RW
SENATE: 4	AGENCIES:	PSE	CON
CONGRESS: 2			

**PROJECT VERSION HISTORY** (Printed Version is Shaded) (Last 9 versions displayed)

Version	Status	Date	Updated By	Change Reason	Amend No.	Vote	Cum Award	Programmed Dollars in Thousands - Total For Project					
								Prog Con	Prog RW	PA & ED	PS & E	RW Sup	Con Sup
3	Official	04/23/14	AGREGORI	Amendment - Cost/Scope/Sch. Change	14H-013			8,100	328	2,300	1,200	120	2,000
2	Official	03/26/14	DBERRY	Approved - Carry Over				9,900	328	900	1,500	90	1,500
1	Official	04/12/12	DBERRY	Approved - New Project				9,900	328	900	1,500	90	1,500

Fund Source 1 of 1 SHOPP - Bridge Preservation	PRIOR	14/15	15/15	16/17	17/18	18/19	19/20	FUTURE	TOTAL
20.XX.201.112 - Bridge Rail Replacement/Upgrade									
<b>Fund Type:</b> Bridge - State (HBRR)									
	PA&ED		2,300						2,300
	PS&E		1,200						1,200
	RW SUP		120						120
	CON SUP		2,000						2,000
	RW		328						328
	CON		8,100						8,100
	Total:		14,048						14,048

**HQ Comments:**

\*\*\*\*\* Version 3 - 04/28/2014 \*\*\*\*\*  
 Made Amendment 14H-013 official - ACG  
 Entered amendment #14H-013 - RW  
 \*\*\*\*\* Version 2 - 03/26/2014 \*\*\*\*\*  
 Carryover project from 2012 to 2014 SHOPP  
 \*\*\*\*\* Version 1 - 04/12/2012 \*\*\*\*\*  
 New 2012 SHOPP project