

**Monterey Bay Region  
Overall Work Program  
FY 2009-2010**

Prepared by: Association of Monterey Bay Area Governments

in collaboration with

Santa Cruz Regional Transportation Commission  
Transportation Agency for Monterey County  
Monterey-Salinas Transit  
Santa Cruz Metropolitan Transit District

Adopted by the Board of Directors on  
May 13, 2009

**Association of Monterey Bay Area Governments  
FY 2008/09  
Overall Work Program**

**PREFACE**

**INTRODUCTION**

The FY 2009/10 Overall Work Program (OWP) for the Monterey Bay region was prepared by AMBAG, based on information pertaining to Caltrans, Monterey, San Benito and Santa Cruz County Regional Transportation Planning Agencies, Monterey-Salinas Transit, Santa Cruz Metropolitan Transit District, and the Monterey Bay Unified Air Pollution Control District. This OWP contains elements for the continuation of the planning process pursuant to State and Federal transportation and air quality regulations, and includes some special (one-time) studies.

**PROSPECTUS**

An updated prospectus is attached.

**CERTIFICATION**

The transportation planning certification procedure statement is included as Part IV of the Prospectus. The AMBAG Board of Directors adopting resolution for the FY 2009/10 Overall Work Program will include this certification of the metropolitan planning process. A separate sign-off statement between AMBAG and Caltrans, covering the Monterey Bay region, is forwarded.

**PRODUCTS**

Products are included under the work elements enumerated in detail in Part 2 of this document.

**ABBREVIATIONS**

Several acronyms, abbreviations and terms are included in the OWP. The following lists should assist the reader in reviewing the document.

AMBAG: Association of Monterey Bay Area Governments

CAAA: Federal Clean Air Act Amendments (1990)

Caltrans 5: State Department of Transportation - District 5  
(San Luis Obispo)

FAA: Federal Aviation Administration

FHWA: Federal Highway Administration

FTA: Federal Transit Administration

MBUAPCD: Monterey Bay Unified Air Pollution Control District

MST: Monterey-Salinas Transit

MPO: Metropolitan Planning Organization

RTPA: Regional Transportation Planning Agency (e.g.

SCCRTC, TAMC and SBtCOG)

SAFETEA-LU Safe, Accountable, Flexible and Efficient  
Transportation Equity Act for the 21st Century: A Legacy for  
Users (August 2005 Federal Transportation Authorization –  
successor to TEA-21)

SBtCOG: Council of San Benito County Governments  
SCCRTC: Santa Cruz County Regional Transportation  
Commission  
SCMTD: Santa Cruz Metropolitan Transit District  
TAMC: Transportation Agency for Monterey County  
TEA-21: Transportation Equity Act for the 21st Century (former  
Federal Transportation Authorization)

#### **FUNDING**

AB 2766: Motor Vehicle Pollution Reduction Grant Funds  
through MBUAPCD  
Blueprint: State planning grant funds allocated to AMBAG to  
perform regional comprehensive planning  
CMAQ: Congestion Mitigation and Air Quality Improvement  
Program funds (federal transportation funds delineated under  
SAFETEA-LU and continued to AMBAG region through FY  
2007/08)  
FHWA PL: Federal metropolitan transportation planning funds  
allocated to AMBAG, as MPO, by the FHWA through Caltrans  
FTA Section 5303: Federal metropolitan transit planning funds  
allocated to AMBAG, as MPO, by the FTA through Caltrans  
FTA Section 5304: Federal transit discretionary planning funds  
awarded by the FTA through Caltrans (previously FTA 5313(b)  
under TEA-21)  
FTA Section 5307: Federal transit formula funds allocated to  
the urbanized transit operators  
FTA Section 5316: Federal transit Jobs Access / Reverse

Commute funding  
FTA Section 5317: Federal transit New Freedom funding  
provided for under SAFETEA-LU  
State RPA: State rural planning assistance funds allocated to  
rural RTPAs (SBtCOG, SCCRTC, and TAMC) by Caltrans  
State STIP PP&M: State planning funds made available to  
RTPAs with the passage of SB 45, as amended  
RSTP: Regional Surface Transportation Program (federal  
funds delineated under SAFETEA-LU)  
TCRA: Traffic Congestion Relief Act funds from 2000

## **TABLE OF CONTENTS**

### **PART I: PROSPECTUS**

### **PART II: OVERALL WORK PROGRAM ELEMENTS**

#### Program Category 100: Program Development, Services and Coordination

- Work Element 101: Budget, Work Program and Administration
- Work Element 102: Transportation Development Act Administration (SCCRTC/TAMC)
- Work Element 113: Public Participation Program
- Work Element 177: Freeway Service Patrol (SCCRTC/TAMC)
- Work Element 178: Service Authority for Freeway Emergencies (SCCRTC/TAMC)
- Work Element 179: Transportation Demand Management (AMBAG/SCCRTC)
- Work Element 181: Rideshare Rewards Club

#### Program Category 200: Information Systems Support and Integration

- Work Element 211: Census/Demographics
- Work Element 231: Data Collection, Uniformity and Access
- Work Element 241: GIS Databases, Capabilities and Support
- Work Element 251: Regional Travel Demand Model
- Work Element 252: Regional Travel Model Transit Enhancements

#### Program Category 300: Comprehensive Planning

- Work Element 341: Blueprint Visioning
- Work Element 342: Blueprint Visioning and Outreach

#### Program Category 400: Regional Environmental Planning

- Work Element 411: Clearinghouse

Program Category 600: Transportation and Air Quality

- Work Element 614: Bicycle and Pedestrian Planning and Education
- Work Element 621: Elderly & Disabled & Americans with Disabilities Act
- Work Element 622: Regional/Metropolitan Transportation Planning
- Work Element 623: Central Coast Commercial Flows Study
- Work Element 624: San Benito County Regional Transportation Planning
- Work Element 626: Congestion Analysis and Congestion Management Program (CMP) Conversion (TAMC)
- Work Element 631: Transportation System Management (Santa Cruz County)
- Work Element 634: Transit and Livable Communities Planning (TAMC)
- Work Element 635: City of Greenfield Agricultural Worker Vanpool Program (AMBAG)
- Work Element 636: Regional Agricultural Worker Vanpool Program (AMBAG)
- Work Element 641: Transportation Improvement Programs (TIPs)
- Work Element 642: San Benito County Transportation Improvement Programming
- Work Element 669: Monterey Bay Transit Planning Rotational Interns FY06/07
- Work Element 670: Monterey Bay Transit Planning Rotational Interns FY07/08
- Work Element 671: Corridor Studies (TAMC)
- Work Element 680: Rail Planning/Corridor Studies
- Work Element 682: Rail/Trail Authority (SCCRTC)
- Work Element 683: Highway Planning and Projects (SCCRTC)

**FINANCIAL SUMMARY TABLES**

- Summary Table A: Sources of Funds by Work Element
- Summary Table B: Estimated Expenditures by Agency and Work Element
- Summary Table C: FTA Fund Expenditures by Work Element and Agency
- Summary Table D: FHWA, State Subvention and STIP 2% Funds by Agency and Work Element

**APPENDICES**

- Appendix A Organizational Chart
- Appendix B Caltrans Planning Activities: District 5 AMBAG Regional Informational Element

- Appendix C Caltrans- AMBAG Memorandum of Understanding
- Appendix D AMBAG-TAMC-SCCRTC –MST-SCMTD Memorandum of Understanding

## **PROSPECTUS**

### **I. OVERVIEW OF THE COMPREHENSIVE TRANSPORTATION PLANNING PROGRAM (23 CFR 450.308(b))**

#### **A. Introduction**

The Association of Monterey Bay Area Governments (AMBAG) is a voluntary association of cities and counties in California's Central Coast region. It was formed by a joint powers agreement to serve as a forum for discussing and making recommendations on issues of regional significance. Preservation of the area's natural assets along with a strong economy and sound growth management continues to be a strong desire for AMBAG members. These concerns are reflected in adopted AMBAG regional goals which serve as primary reference points to guide and direct all AMBAG programs.

The Association has been designated as an Areawide Planning Organization (APO) by the U. S. Department of Housing and Urban Development; as a Metropolitan Planning Organization (MPO) by the State of California; as a grantee responsible for non-point water quality planning by the U.S. Environmental Protection Agency; as a lead agency for non-attainment air quality planning by the California Air Resources Board (although AMBAG and the Monterey Bay Unified Air Pollution Control District operate as co-leads); and as a Metropolitan Clearinghouse by the State pursuant to Executive Order 12372. These designations have strengthened AMBAG's role as an agency actively involved in providing solutions to regional problems and issues through regional planning and cooperation.

#### **B. Role of the OWP and Agencies' Responsibilities**

The Overall Work Program presents a coordinated statement of the regional transportation planning process of AMBAG, the California Department of Transportation (Caltrans), Monterey-Salinas Transit (MST), the Santa Cruz County Regional Transportation Commission (SCCRTC), the Santa Cruz Metropolitan Transit District (SCMTD) and the Transportation Agency for Monterey County (TAMC). These agencies are 1987 signatories to a Memorandum of Understanding (MOU) entered into for the purpose of cooperatively planning and implementing a balanced transportation system for the region that is consistent with comprehensive planning objectives. A June 2003 MOU, updated to TEA-21 and SB 45 programs and provisions, was signed by AMBAG, MST, SCCRTC, SCMTD and TAMC. The OWP describes the annual planning programs of these agencies and the framework within which planning is coordinated. The roles and responsibilities of each agency are fully described in the 2003 MOU. Caltrans would not sign this MOU as they indicated they have no relationship with transit operators. In June 2005, AMBAG was required to execute a separate MOU with Caltrans in order to receive approval of its upcoming FY 2005-06 OWP and federal funding reimbursements. This MOU, per Caltrans' approved format, was between AMBAG and Caltrans with only a cursory reference to AMBAG's partner RTPAs and the integrated role between the agencies in this unique transportation-planning region of the state.

In addition to the aforementioned MOUs for transportation planning in the region, both AMBAG and the Council of San Benito County Governments signed an MOU in May 1993, under which AMBAG provides technical and professional

assistance to the Council of Governments in order for the metropolitan air basin to comply with federal transportation/air quality planning regulations. AMBAG's metropolitan planning boundaries were subsequently increased to include San Benito County. This MOU was updated in 1997 due to a sunset provision in the original. In FY 2008/09, AMBAG will perform tasks under Work Elements 624 and 642 to ensure the inclusion of San Benito County within the metropolitan transportation planning process.

This OWP serves four major purposes for the Monterey Bay Region:

- 1) To use as a management guide for AMBAG operations, enabling review of the scheduling and progress of staff activities.
- 2) To use as a program management tool for all transportation planning efforts in the two-county area and for metropolitan transportation planning efforts in the three-county area, including assignment of budget and manpower, monitoring of study progress against projected program expenditure, and the specification of planning products and their impact on the development of transportation improvements resulting from the planning process.
- 3) To provide and document a coordinated annual work program among transportation planning agencies within the region.
- 4) To satisfy state and federal requirements for planning program descriptions as a condition of funding.

C. California Planning Emphasis Areas

FHWA has developed four planning emphasis areas for California MPOs to consider, and a discussion of how these are addressed appears below. Section V. of this Prospectus numerically highlights the Work Elements responsive to SAFETEA-LU's Eight Federal Planning Factors. Each OWP work element identifies applicable planning emphasis areas and federal planning factors.

**1. Financial Planning and Fiscal Constraint**

California has identified several areas for continued improvement related to this issue:

- 1) Reflecting project costs and project phase costs in year-of-expenditure dollars;
- 2) Properly reflecting advance construction projects and their conversion to federal-aid funding in the FTIP and FSTIP;
- 3) Assuring that project costs have been updated to reflect the latest available information and include the total project cost, and;
- 4) Reflecting the estimated costs of constructing, maintaining and operating the total (existing plus planned) transportation system, including portions of the system owned and operated by local governments, in financial plans.

Financial planning and fiscal constraint, per metropolitan planning regulations, are core functions to AMBAG's development of plans and programs.

Financial planning / fiscal constraint at the State level is

contingent upon the State budget passage, its funding commitments, State cash flow, and resultant obligation decisions made by the California Transportation Commission (CTC). Even the best laid plans by Caltrans and the CTC may be pre-empted by politics beyond each agency's control.

For both regional and State funding for various projects, agencies work to make sure that project plans and programs reflect the best possible project cost estimates. Per the FHWA March 9, 2007 letter request, AMBAG will provide a list of all projects in the MTP with a total cost exceeding \$400 million in conjunction with submitting the Final OWP. This list will contain the Project ID#, Title, Description, Sponsor, Total Cost and Implementation year, and will be resubmitted to FHWA at each MTP update or amendment.

## **2. Congestion Management Process and Management and Operations (M&O)**

The AMBAG region has focused specifically on mitigating congestion in the region associated with commute patterns, high relative tourist trips, and event congestion. Several planning initiatives are identified in the CMAQ portion of the OWP.

Additionally, in FY2009/10 AMBAG will work closely with its regional transportation partners to develop and adopt the 2010 Metropolitan Transportation Plan and Regional Transportation Plans for Monterey, San Benito and Santa Cruz counties. Per SAFETEA-LU requirements, Management and Operations strategies that are based on reach specific objectives and performance measures will be included in the 2010 MTP.

## **3. Work Program Development**

The AMBAG OWP exemplifies the collective work program of AMBAG, SCCRTC, TAMC, SBtCOG, MST, and Santa Cruz Metro as it relates to metropolitan and regional transportation projects receiving federal and state planning funds. The OWP, through a collaborative and unified planning process, reflects a multi-modal transportation system within the Monterey Bay Area. The key products of this process are the Metropolitan Transportation Plan and the Metropolitan Transportation Improvement Program.

## **4. Coordination of SIP Development**

While the Monterey Bay region is currently in attainment for air quality conformity, AMBAG maintains a current understanding of the State Implementation Plan (SIP) development process and incorporates this information into work program development where applicable. AMBAG additionally works closely with the Monterey Bay Unified Air Pollution Control District (MBUAPCD) in SIP development through assisting in both the preparation of the Air Quality Management Plan and the Federal Air Quality Plan (SIP).

## **II. ORGANIZATION AND MANAGEMENT**

### **A. Institutional Arrangements**

The relationships among planning agencies and programs in the AMBAG area are complex. There are numerous planning programs undertaken by the cities, counties, and other multi-jurisdictional agencies, as well as AMBAG. These other agencies are engaged in planning in such fields as criminal justice, health services, and the environment.

The Association is governed by a Board of Directors that is comprised of two representatives from the Board of Supervisors of each of three member counties and one representative from the City Council of each of the member cities. A representative from the Council of San Benito County Governments sits on the AMBAG Board as an ex-officio member. AMBAG currently includes representatives from all twenty-one eligible jurisdictions.

The following documents, on file with the Intermodal Planning Group (IPG), delineate the Association's authority and responsibilities:

- 1) Joint Powers Agreement
- 2) By-Laws
- 3) Role and Scope Memorandum
- 4) Transportation Planning Memoranda of Understanding (June 2003 and June 2005)
- 5) Memoranda of Agreement, under Executive Order 12372
- 6) Memorandum of Agreement between AMBAG and Caltrans re: intergovernmental review process (on file with Caltrans)
- 7) Memorandum of Understanding between AMBAG and the Council of San Benito County Governments re: the incorporation of San Benito County into the metropolitan transportation planning process (1993 and 1997)

#### B. Transportation Planning Organizational Structure

The Monterey Bay region is the only area in the State of California where the responsibility for transportation planning is shared between a Council of Governments and Regional Transportation Planning Agencies that operate at a county level. Transportation planning at the subregional level is one of the primary responsibilities of the Regional Transportation Planning Agencies (RTPAs). AMBAG is the designated Metropolitan Planning Organization (MPO) whose responsibilities for policy guidance of the transportation planning process have been augmented in accordance with federal law.

As stated previously, the 2003 Memorandum of Understanding (MOU) signed by AMBAG, the Monterey and Santa Cruz County Regional Transportation Planning Agencies, Monterey-Salinas Transit (MST) and the Santa Cruz Metropolitan Transit District (SCMTD), delineates the roles of the various agencies in transportation planning and establishes the memorialized relationship between the MPO and the transit operators.

Each Regional Transportation Planning Agencies retain the primary responsibility for preparation of plans and programs required by the State of California. AMBAG is primarily responsible for those planning elements that are regional (three-county) in nature and ensure that the informational content and format of the county transportation plans are consistent with federal and state requirements while qualifying for federal funding. AMBAG is also responsible for preparing a three-county transportation plan with emphasis on the regional components and the three-county transportation improvement program from which projects are incorporated into the Federal State Transportation Improvement Program.

In addition to the federal and state laws and regulations, and the Memoranda of Understanding cited above, the actions of the Regional Transportation Planning Agencies (a.k.a. Local Transportation Commissions) within their respective counties are governed by the provisions of the California Transportation Development Act of 1971 (SB-325) (California Public Utilities Code Sections 99200-99217) (California Government Code Section 29530-29536) and local rules and regulations adopted for the conduct of Commission business. Each commission became the duly recognized RTPA with the implementation of AB-69 in July of 1973.

Finally, under a MOU signed in May, 1993, and renewed in 1997 by AMBAG, the Council of San Benito County Governments and the California Department of Transportation, AMBAG has specific responsibilities for including San Benito County in the metropolitan transportation planning process per the MPO boundary being extended to include San Benito County.

#### C. Coordination Statement

The general relationship of all major parties involved in transportation planning in the region is reflected in the updated Transportation Memoranda of Understanding (MOU) of 2003 (interregional one) and 2005 (State/MPO one). Specific responsibilities of each agency are also detailed therein. The existing institutional arrangements and organization structures, as described in III-B above, guarantee the coordination of transportation planning efforts at all levels: county-wide, regional, state and federal. The technical advisory committees of the three RTPAs include state transportation representatives. Furthermore, Caltrans District "liaison" personnel, through continuous formal and informal

communications with technical staff of the MPO and the RTPAs, achieve the desired representation at the technical level. Similarly, the structure of the Policy Advisory Committees is such as to allow for presentation of regional and state concerns at the policy-making level.

#### D. Citizen Involvement

AMBAG's Board of Directors has the ability to appoint citizen advisory committees for specific programs/plans. When a committee's mission has been accomplished, it ceases to exist. Ongoing citizens' input to the transportation planning process, even for AMBAG, is obtained through the advisory committees of the three Regional Transportation Planning Agencies, a unique process intended to eliminate duplication of effort and supported under the 2003 Transportation Planning MOU.

Efforts are made to reach and engage traditional and nontraditional stakeholders in the community, including minority and low-income groups as well as community-based organizations (CBOs). In 2008, AMBAG led a coordinated effort to develop the Monterey Bay Regional Coordinated Public Transit-Human Services Transportation Plan. This plan, a requirement under SAFETEA-LU and component of the 2010 RTP/MTP update, address the transportation gaps and unmet needs of low-income, persons of color, and persons with disabilities, while establishing strategies to meet those needs.

Additional efforts to reach nontraditional stakeholders within the region include representatives from senior citizen and the disabled communities as members of the Transportation Agency for Monterey County's Social Services Transportation

Advisory Council (SSTAC) and the Santa Cruz County Regional Transportation Commission's Elderly/Disabled Committee (E/D Committee). These committees regularly review and comment on proposed planning matters affecting them. All committee actions are reported to and considered by each transportation commission. Further public response has been and will continue to be solicited through well-advertised meetings, public hearings and workshops. AMBAG also develops ad hoc committees for various large planning efforts to ensure input from a variety of groups. Once the planning effort(s) is completed, the committee ceases to exist.

In addition to standard efforts, AMBAG and its planning partners created a Public Participation Plan, as required under SAFETEA-LU. The plan was adopted in 2008 and will be incorporated into the 2010 RTP/MTP update.

E. Equal Opportunity Statement

As a recipient of numerous federal grants for various planning activities, AMBAG has made every effort to comply with the provisions of Title VI of the Civil Rights Act of 1964. The Civil Rights Act of 1964 states that "No person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance." The Environmental Justice (EJ) Executive Order (EO 12989) further amplifies Title VI by specifically addressing minority and low-income populations, early and continuously in the transportation planning process, so the values and interests of all segments of our communities are equally reflected in investment decisions.

List of Staff Positions

AMBAG's staffing organization, as of March 1, 2009 includes:

<u>NAME</u>	<u>TITLE</u>
Katie Axt	Associate Planner
Charles Buck	Energy Watch Intern
Arleicka Conley	Director of Finance and Administration
Randy Deshazo	Principal Planner
John Doughty	Executive Director
Ana Flores	Management Assistant
David Johnston	GIS Coordinator
Bart Kowalski	GIS Intern
Rebecca Lorentz	Management Assistant
Bhupendra Patel	Senior Transportation Demand Modeler
Elisabeth Russell	Energy Watch Special Projects Manager
Aleksandra Tepedelenova	TDM/Planner
Emily Schell	Energy Watch Intern
David Siao	Energy Watch Intern
Lauren Wygonski	Planning Intern

Please see Appendix A for AMBAG's organizational chart.

**IV. PLANNING CERTIFICATION**

As required by the metropolitan transportation planning regulations published in the Federal Register on February 14, 2007, AMBAG, as the Metropolitan Planning Organization, is

required to annually certify that the planning process is being carried out in conformance with applicable laws and regulations:

- 1) 23 U.S.C. 134 and 135, 49 U.S.C. 5303 through 5306 and 5323(1); as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users;
- 2) Title VI of the Civil Rights Act of 1964 and the Title VI Assurance executed by California under 23 U.S.C. 324 and 29 U.S.C. 794;
- 3) Section 1101 (b) of the Transportation Equity Act for the 21<sup>st</sup> Century (Pub. L. 105-178 112 Stat. 107) regarding the involvement of disadvantaged business enterprises in the FHWA and the FTA funded project (FR Vol. 64 No. 21, 49 CFR part 26); and
- 4) The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat 327, as amended) and the U.S. DOT implementing regulations (49 CFR 27, 37, and 38).

This certification is also made jointly by Caltrans. The adopting AMBAG Board of Directors Resolution for the FY 2009/10 Overall Work Program will include the above statement. A separate joint certification by AMBAG and Caltrans is forwarded with the Final FY 2009/10 OWP.

#### **V. SAFETEA-LU's EIGHT PLANNING FACTORS**

Metropolitan areas, under the Safe, Accountable, Flexible and Efficient Transportation Equity Act – A Legacy for Users

(SAFETEA-LU), should incorporate eight planning and strategy areas into the overall transportation planning process. The areas are outlined below as well a summary of the work elements that address the applicable factor. Each work element in the OWP also identifies applicable planning factors.

**1) Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency**

(WEs 112, 177, 178, 179, 241, 251, 340, 341, 411, 614, 621, 622, 623, 624, 626, 631, 634, 635, 636, 671, 680, 682, 683);

**2) Increase the safety of the transportation system for motorized and non-motorized users**

(WEs 177, 178, 614, 621, 622, 623, 624, 671, 682, 683);

**3) Increase the security of the transportation system for motorized and non-motorized users**

(WEs 177, 178, 614, 621, 622, 671, 679, 682, 683);

**4) Increase the accessibility and mobility options available to people and for freight**

(WEs 179, 251, 252, 341, 342, 614, 621, 622, 623, 624, 631, 634, 635, 636, 671, 680, 682, 683);

**5) Protect and enhance the environment, promote energy conservation, improve quality of life, and promote consistency of transportation plans/programs with State and local planned growth and economic development patterns**

(WEs 179, 221, 231, 241, 251, 341, 342, 411, 614, 621, 622, 623, 624, 626, 631, 634, 671, 680, 682, 683);

**6) Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight**

(WEs 112, 179, 211, 231, 241, 251, 252, 341, 342, 411, 614, 621, 622, 623, 624, 631, 634, 635, 636, 671, 680, 682, 683);

**7) Promote efficient system management and operation**

(WEs 177, 178, 179, 231, 241, 251, 252, 341, 342, 614, 621, 622, 623, 624, 626, 631, 634, 671, 680, 682, 683);

**8) Emphasize the preservation of the existing transportation system**

(WEs 177, 178, 179, 251, 252, 614, 621, 622, 624, 631, 634, 635, 636, 680, 682)

**B. Secondary Priority**

- 1). Central Coast Commercial Flows Study (WE 623)
- 2). Agricultural Workers Regional Programs (WEs 635, 636)
- 3). Data Collection, Uniformity and Access (WE 231)
- 4). Clearinghouse (WE 411)
- 5). AMBAG Commute Alternatives (WE 179, 181)

**C. Tertiary Priority**

- 1). Rail Planning/Corridor Studies (WE 680)
- 2). Air Quality Consistency Determinations (WE 221)
- 3). Monterey Bay Transit Planning Rotational Inters (WE 669, 670).

**VI. AMBAG FY 2009/10 WORK PROGRAM PRIORITIES**

**A. Core Competencies**

- 1). SAFETEA-LU compliant Metropolitan Transportation Plan Update and environmental review (WE 112,113, 621,622, 624)
- 2). Updated Regional Travel Demand Model and Enhancements (WE 251, 252)
- 3). Blueprint Planning (WE 341, 342)
- 4). GIS Databases, Capabilities and Support (WE 241)
- 5). Census/Demographics (WE 211)
- 6). FFY 2008/09 to FY 2011/12 MTIP Amendments (WEs 641 and 642)

**WORK ELEMENT NUMBER 101 Overall Work Program, Budget and Administration**

**Agency** AMBAG, TAMC & SCCRTC **Project Manager:** Arleicka Conley **Total Budget:** \$243,601

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	35,386	FHWA PL	40,000
Travel	500	FTA 5303	24,000
Contractual	0	<b>SCCRTC</b>	
Indirect	28,114	FHWA PL	32,000
<b>SCCRTC</b>		State RPA	14,000
Personnel	46,000	Local	0
Services & Supplies	0	<b>TAMC</b>	
Contingency	0	FHWA PL	50,000
<b>TAMC</b>		State RPA	75,000
Personnel	133,601	STIP PP&M	8,601
Contractual	0	Local	0
Contingency	0		
<b>TOTAL</b>	<b>243,601</b>	<b>TOTAL</b>	<b>243,601</b>
		<b>% Federal</b>	<b>60%</b>

**Project Description**

To prepare, monitor, and provide oversight to the annual Overall Work Program and Budget. This includes monitoring and controlling expenditures against the budget, performing audits, and providing needed documentation for planning certifications. Additionally, WE 101 provides policy and legislative monitoring and review of transportation and air quality issues. Further duties include quarterly reporting to verify progress in implementing the work program, and support efforts in recruiting, training and retaining personnel.

**Project Product(s)**

Overall Work Program and Budget

Federally Eligible Task	Proposed Expenditure of Federal Funding (PL/FTA 5303)
Develop annual Overall Work Program and Budget	30%

**Previous Accomplishments**

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In 2007-08, TAMC adopted a Transportation Investment Plan designed to guide transportation improvements over the next 25 years. The major portion of the funding plan was a 1/2 cent sales tax that did not pass in the November 2008 election. Consequently, the work plan assumes current programs and funding mechanisms, including activities to optimize funding opportunities.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Develop draft work programs and budgets in coordination with SCCRTC, TAMC, Caltrans, FHWA and FTA	12/1/2009	2/1/2010
2	Incorporate draft work programs and budget into Draft OWP (AMBAG)	1/1/2010	5/30/2010
3	Participate in Annual MPO meeting (AMBAG, SCCRTC, TAMC)	2/1/2009	3/30/2010
4	Adopt Final OWP (AMBAG)	3/1/2009	6/30/2010
5	Review and Amend OWP as needed (AMBAG)	7/1/2009	6/30/2010
6	Provide Quarterly Progress Reports and financial summary expenditure reports to funding agencies (AMBAG, SCCRTC, TAMC, MST, SCMTD)	7/1/2009	6/30/2010
7	Prepare annual CMAQ report outlining expected air quality benefits (AMBAG)		
8	SCCRTC prepares final FY agency annual budgets and TAMC prepares three-year budget	7/1/2009	6/30/2010
9	Distribute and monitor use of various federal transportation funds (AMBAG, TAMC, SCCRTC)	7/1/2009	6/30/2010
10	Continue to investigate and pursue new grant and other funding sources for Commission programs and initiatives (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	FY08/09 Q4 Progress Report to Caltrans	7/31/2009
2	Q1 Progress Report to Caltrans	10/31/2009
3	Q2 Progress Report to Caltrans	1/31/2010
4	Draft OWP submitted to Caltrans for Annual MPO meeting	3/1/2010
5	Q3 Progress Report due to Caltrans	4/20/2010
6	Final OWP	5/30/2010
7	FHWA/FTA OWP Approval	6/30/2010
8	OWP and Budget amendments	as needed
9	Annual CMAQ report	annually
10	Other required grant reports	as needed

<b>Federally Eligible Task</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
Prepare Financial Audits and Reports	30%

**Previous Accomplishments**

In FY08-09, AMBAG completed both its FY06-07 and its FY07-08 audit. Staff is currently working on the FY08-09 audit, with an expected completion date of August 2009.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Distribute and monitor use of various federal, state, other transportation funds (AMBAG, SCCRTC, TAMC)	6/30/2009	7/1/2010
2	Produce and distribute an annual reports with financial and grant funds information (AMBAG, SCCRTC, TAMC)	6/30/2009	7/1/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Annual AMBAG Fiscal Audit	8/20/2009
2	SCCRTC Transportation Development Act Funds Fiscal Audits and Internal Financial Statements	annually
3	SCCRTC Triennial Performance Audit and implementation	triennially
4	SCCRTC Annual Cost Allocation Plan	annually
5	SCCRTC compliant TDA claims from local jurisdictions and agencies	ongoing

<u>Federally Eligible Task</u>	<u>Proposed Expenditure of Federal Funding (PL/FTA 5303)</u>
Administration and Personnel	30%

**Previous Accomplishments**

In 2008, AMBAG hired a new Executive Director and created new positions for the Director of Finance and Administration and TDM/Planner.

In April 2007, TAMC adopted updated goals and objectives with 1 and 5 year timeframes

TAMC hired an Associate Engineer Position and a part-time account clerk

TAMC updated its Administrative Policies and Human Resources and Regulations.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Negotiate and develop contracts, agreements, MOUs to facilitate metropolitan transportation planning (AMBAG, SCCRTC, TAMC)	7/1/2010	6/30/2010
2	Prepare staff assignments, evaluations, personnel actions for the conduct of metropolitan transportation planning (AMBAG, TAMC)	7/1/2010	6/30/2010
3	Develop reports to Board of Directors and other agencies as necessary (AMBAG, SCCRTC, TAMC)	7/1/2010	6/30/2010

4	Review and revise Rules and Regulations and other financial, personnel and administrative policies (AMBAG, SCCRTC, TAMC)	7/1/2010	6/30/2010
5	Implement fiscal, personnel and administrative functions for SCCRTC Commission operations	7/1/2010	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Contract, agreements, MOUs	as needed
2	SCCRTC agenda packets for Budget and Administration/Personnel Committee	ongoing
3	Fiscal, Personnel, and Administrative Policies and Rules and Regulations	as needed

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
7	Promote efficient system management and operation.

**WORK ELEMENT NUMBER 102 Transportation Development Act Administration**

**Agency:** TAMC & SCCRTC **Project Manager:** **Total Budget:** \$680,582

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	201,000	Local	605,500
Services & Supplies	404,500	<b>TAMC</b>	
Contingency	0	STIP PP&M	32,082
<b>TAMC</b>		Local	43,000
Personnel	75,082		
Contractual	0		
Contingency	0		
<b>TOTAL</b>	<b>680,582</b>	<b>TOTAL</b>	<b>680,582</b>

**Project Description**

TAMC and SCCRTC as Regional Transportation Planning Agencies for Monterey and Santa Cruz counties distribute Transportation Development Act Local Transportation Fund (LTF) and State Transit Assistance (STA) funds for planning, transit, roads and streets in accordance with State law and the unmet transit needs process.

**Project Product(s)**

Transportation Development Act and State Transit Assistance Funds Allocations and Claims

**Other Task (Nonfederal)**

Manage, coordinate and distribute TDA and STA funds

**Previous Accomplishments**

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Every three years, TAMC and SCCRTC contract for triennial performance audits of the transit operators and itself to assure the agencies are meeting the requirements of state TDA law. Annually, each RTPA oversees the fiscal audits for the transit operators in their county and itself, and prepares an annual transit plan (in years without a triennial performance audit) evaluating their progress towards achieving the goals set in the performance audits.

Annually, SCCRTC and TAMC also oversee the unmet transit needs process for the areas that do not devote all of the Local Transportation Funds to transit. Periodically, an unmet transit need is found and funded in collaboration with the transit operators. Past unmet needs findings included TAMC's decision to fund weekly and then weekend service between Salinas and South County cities, as well as a finding to support 8-hour dial-a-ride service in Soledad. In 2008, TAMC also identified potential unmet transit needs for extended transit service in Soledad and new dial-a-ride service in Gonzales.

After completing the unmet needs process, each RTPA provides allocations to the transit operators and processes claims for both STA and LTF funding. Due to the state's budget shortfalls, in 2009 the legislature deleted STA funds which the local transit operators had used for capital and operating assistance. Future efforts will focus on optimal use of available transit funds in order to maximize transit services.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	SCCRTC coordinate review of appropriate TDA claims with advisory committees (SCCRTC)	7/1/2009	6/20/2010
2	Provide staff support to Budget and Administrative/ Personnel Committees (SCCRTC)	7/1/2009	6/20/2010
3	Coordinate annual unmet transit needs process and adopt resolution of unmet transit needs finding	7/1/2009	6/20/2010
4	Maintain records and pay claims for LTF, STA and other trust fund accounts.	7/1/2009	6/20/2010
5	Conduct annual fiscal audit and implement suggested changes	7/1/2009	6/20/2010

6	Assist transit operators with annual financial audits	7/1/2009	6/20/2010
7	Implement recommendations in performance audit	7/1/2009	6/20/2010
8	Prepare annual transit report	7/1/2009	6/20/2010
9	Conduct triennial performance audit		

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Annual TDA Funds fiscal audits and internal financial statements for RTPA and county transit operator	annually
2	Annual unmet transit needs finding	annually
3	Annual LTF and STA allocations and claims from local jurisdictions and agencies	annually
4	Annual transit report	annually
5	Triennial Performance Audit, and implementation of recommendations as appropriate	triennially
6	SCCRTC Annual Cost Allocation Plan	annually

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.

- 2 Increase the safety of the transportation system for motorized and non-motorized users
- 4 Increase the accessibility and mobility of people and for freight
- 5 Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
- 6 Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
- 7 Promote efficient system management and operation.
- 8 Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 112 Transportation Plans Coordination and Interagency Liaison**

Agency: AMBAG, SCCRTC, TAMC SCMTD, MST Project Manager: Randy Deshazo, AMBAG Total Budget: \$653,855

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	38,618	FHWA PL	50,000
Travel	3,000	FTA 5303	25,000
Printing	2,700	Local	0
Other	0	<b>SCCRTC</b>	
Contractual	0	FHWA PL	46,000
Indirect	30,682	State RPA	54,000
<b>SCCRTC</b>		Local	80,600
Personnel	100,000	Other Local	0
Contractual	80,600	<b>TAMC</b>	
<b>TAMC</b>		FHWA PL	70,000
Personnel	282,255	State RPA	20,000
Contractual	116,000	STIP PP&M	192,255
		Local	116,000
<b>TOTAL</b>	<b>653,855</b>	<b>TOTAL</b>	<b>653,855</b>
		% Federal	29%

**Project Description**

This is an ongoing element concerned with the coordination of short and long-range transit development plans with Metropolitan Transportation Plan, Regional Transportation Plans and other plans, consistent with Federal and State legislation. The principle objectives is to collect, process and transmit timely information and facilitate an interagency liaison role for transportation programs. This work element also provides an opportunity for participation in decisions regarding plans, programs and projects to both staff and policy boards of the region's agencies and jurisdictions. Lastly, the program looks to ensure that metropolitan and regional planning activities efficiently respond to federal and state requirements without duplication of effort and in a consistent manner. None of the tasks using federal and state funding constitute lobbying efforts.

**Project Product(s)**

Ongoing inter-agency coordination between AMBAG, RTPAs and public transit operators

**Federally Eligible Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Coordinate the implementation of SAFETEA-LU requirements as it relates to metropolitan and regional transportation planning

100%

**Previous Accomplishments**

In FY 2007-08, AMBAG staff participated in all regional transportation Board of Directors meetings, and provided ongoing information to regional and local transportation agencies about metropolitan transportation planning programs and studies. AMBAG staff provided input on issues of regional significance and worked collaboratively to meet inter-agency coordination needs. Of particular relevance is the collective work being done between AMBAG, TAMC, SCCRTC on the updates of to the 2010 MTP/RTP in light of recent passing of SB 375. In FY 2008-2009, AMBAG staff met monthly, and continues to meet with jurisdictions, RTPAs, public transit operators, universities, and nonprofit community organizations to collaborate on land use and transportation interconnectivity in order to maximize existing local and regional infrastructure while also reducing greenhouse gas emissions. This work overlaps with the Blueprint visioning process (WE 341), public and community outreach (WE 113), and the development of the 2010 MTP/RTP update and environmental review process (622).

The positive work in past years will assist in expanding coordination efforts with additional federal, state, and regional resource agencies, community organizations, and the general public to meet SAFETEA-LU and SB 375 requirements. This will include identifying mitigation banks to offset development impacts identified in the 2010 MTP/RTP and the development of the region's first sustainable communities strategy (SB 375).

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Collect, process and transmit information to facility interagency communication, as needed	7/1/2009	6/30/2010
2	Participate in, prepare and distribute agendas and staff reports for Board of Directors, Executive Committees and Technical Advisory Committees, on a monthly basis	7/1/2009	6/30/2010
3	Identify and plan policies, strategies, programs and actions that maximize and implement regional transportation infrastructure, on a monthly basis	7/1/2009	6/30/2010

4	Coordinate transportation projects and funding with Caltrans, AMBAG, RTPAs, public transit operators jurisdictions, universities, and other federal, state and local agencies, on a monthly basis	7/1/2009	6/30/2010
5	Attend local, state, and regional agency meetings to enhance coordination among plans and programs and support agency project funding, as needed	7/1/2009	6/30/2010
6	Coordinate with business and community organizations, and task forces on transportation issues, as needed	7/1/2009	6/30/2010
7	Participate in technical advisory committee meetings for updating AMBAG's regional growth projections and updates to the regional travel demand model (RTPAs), on a monthly	7/1/2009	6/30/2010
8	Develop partnerships with local agencies responsible for land use decisions to facilitate coordination of transportation planning with land use, open space, job-housing balance, environmental constraints, and growth management, on a monthly basis	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Improved communication, consultation and coordination between agencies at all levels	ongoing
2	Agenda and packets of monthly policy and advisory committee meetings, including minutes, digests, summaries or reports on other meetings and coordination activities, as needed	ongoing
3	Directory of local, regional and federal agencies (January and July)	January and July 2010

**Other Task (Nonfederal)**

Legislative program, monitoring reports and legislative assistant efforts, as needed

**Previous Accomplishments**

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Communicated with legislative delegation, advocated for regional needs. Tracked legislation as it related to transportation planning needs

TAMC adopts a state and federal legislative program to seek funding and guide staff and analysts in responding to issues of concern. Emphasis of the program is to secure transportation capital money to complete partially funded projects and transit operating funds to replace lost State Transit Assistance. In 2009, efforts are underway to secure federal infrastructure stimulus funds for eligible member agencies' projects.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Continue to monitor Federal Reauthorization activities and to monitor State legislation pertaining to SB 375 (SCCRTC, TAMC)	7/1/2009	6/30/2010
2	Adopt annual legislative program, review and report on new legislation, policies, and guidelines, and provide annual status report to Board of Directors (TAMC, SCCRTC)	7/1/2009	6/30/2010
3	Work with Federal and State Legislators to support local legislative priorities (TAMC)	7/1/2009	6/30/2010
4	Participate in Federal and State Clean Air Act transportation related air quality planning activities (SCCRTC)	7/1/2009	6/30/2010
5	Coordinate with Monterey Bay Unified Air Pollution Control District on air quality issues	7/1/2009	6/30/2010
6	Monitor and participate in efforts at the federal, state and local level related to global warming	7/1/2009	6/30/2010
7	Continue to work with Interagency Technical Advisory Committee members and other transportation partners to cooperatively develop and pursue grant opportunities for transportation studies, programs and projects (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Annual Legislative Program and Bill Matrix	annually

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 113 Public Participation Program**

**Agency:** AMBAG, TAMC, SCCRTC      **Project Manager:** Katie Axt, AMBAG      **Total Budget:** \$537,071

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	27,863	FHWA PL	24,000
Other	2,000	FTA 5303	29,000
Travel	1,000	<b>TAMC</b>	
Contractual	0	FHWA PL	60,000
Indirect	22,137	State RPA	80,000
<b>TAMC</b>		STIP PP&M	59,071
Personnel	199,071	Local	170,000
Contractual	170,000	<b>SCCRTC</b>	
Other	0	FHWA PL	80,000
<b>SCCRTC</b>		State RPA	32,669
Personnel	115,000	Local	2,331
<b>TOTAL</b>	<b>537,071</b>	<b>TOTAL</b>	<b>537,071</b>
		<b>% Federal</b>	<b>36%</b>

**Project Description**

The Public Participation Program establishes the strategies for preparing, disseminating, and receiving information about regional and metropolitan transportation planning to the public and traditionally underserved communities. The Public Participation Plan will be incorporated into the 2010 Metropolitan Transportation Plan update.

**Project Product(s)**

Public Participation Plan updates

**Federally Eligible Tasks**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Implement Public Participation Plan in compliance with SAFETEA-LU requirements, and agency public outreach programs	100%
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**Previous Accomplishments**

AMBAG adopted Public Participation Plan in June 2008. Implementation of the plan through public notices, press releases, electronic notification, public meetings and workshops, and public hearings occurs on an ongoing basis. AMBAG has also begun developing its public outreach strategy for the 2010 Metropolitan Transportation Plan, in coordination with each RTPA. Meeting SB 375's additional requirements for public participation and outreach will begin to be developed in FY 2009-10. In 2008, AMBAG also updated its website to increase public accessibility and awareness of the agency's metropolitan planning programs.

Since 2002, TAMC has conducted an annual awards program. TAMC also conducts a public involvement program emphasizing outreach to the general public on individual projects and programs. As part of this effort, TAMC publishes an Annual Report, mailed to all households. TAMC also conducts an annual spring survey to gain public input on transportation priorities and preferred means for funding these projects and programs.

In 2007 and 2008, TAMC conducted several community meetings and a survey to seek input on transportation improvements and to introduce the Transportation Investment Plan in preparation for a new sales tax expenditure plan. In 2007, TAMC initiated the taping and televising of Board meetings on public access television and on the Agency website. In 2008, a Transportation Agency video was completed, which highlighted the transportation projects in need of funding.

**Steps and Products**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Evaluate Public Participation Plan's effectiveness (AMBAG) in preparation for the 2010 MTP/RTP update	7/1/2009	12/30/2009

2	Implement on an ongoing basis federal environmental justice provisions, including targeting minorities, persons with disabilities, low-income communities, and native tribal communities (AMBAG, SCCRTC, TAMC)	7/1/2009	6/30/2010
3	Use a variety of print and electronic medias to disseminate information about key transportation issues, including those in Spanish (AMBAG, SCCRTC, TAMC)	7/1/2009	6/30/2010
4	Circulate ongoing draft plans, particularly 2010 MTP/RTP, for public comment and review (AMBAG, SCCRTC, TAMC)	1/1/2010	6/30/2010
5	Invite interested stakeholders to join ad hoc committees (TAMC, SCCRTC)	7/1/2009	6/30/2010
6	Hold public workshops to inform public about transportation programs and 2010 RTP/MTP updates	7/1/2009	12/30/2009
7	Utilize Spanish translation services during public workshops and public hearings (AMBAG, SCCRTC)	7/1/2009	12/30/2009
8	Hold public hearings to gain input on transportation programs and RTP/MTP updates (AMBAG, SCCRTC, TAMC)	4/30/2010	6/30/2010
9	Hold annual awards program to increase awareness and recognition of excellence in transportation in the Monterey County community (TAMC)	1/1/2010	1/30/2010
10	Post activities, agendas, and programs on agency websites.	7/1/2009	6/30/2010
11	Prepare 2009 annual report and send to all Monterey County households (TAMC)	7/1/2009	4/30/2010
12	Present orientation materials to Board Members and other committee members	7/1/2009	6/30/2010
13	Conduct 2010 spring public opinion survey (TAMC)	1/1/2010	6/30/2010
14	Prepare and update fact sheets on projects and programs , providing additional transportation-related information and documents to the public (TAMC)	7/1/2009	6/30/2010
15	Continue to televise Agency and Commission Board meetings and provide video access on the website (TAMC and SCCRTC)	7/1/2009	6/30/2010
16	Continue hosting once per month and appearing on the "Your Town" community television program (TAMC)	7/1/2009	6/30/2010

17	Make regular presentations to community organizations and media outlets (AMBAG, SCCRTC, TAMC), as needed	7/1/2009	6/30/2010
18	Implement a major overhaul of the SCCRTC's website to take advantage of new technology to improve its usefulness to the public and the SCCRTC; implement accessibility measures and Spanish language pages	7/1/2009	6/30/2010
19	Continue ongoing acknowledgement of public input received, correspondence logs, and maintenance of mailing/distribution lists (AMBAG, SCCRTC)	7/1/2009	6/30/2010
20	Update and produce fact sheets for all SCCRTC individual projects	7/1/2009	6/30/2010
21	Implement recommendations of the SCCRTC's Public Relations plan (SCCRTC)	7/1/2009	6/30/2010
22	Partner with transit operators on an ongoing basis to provide outreach and obtain input on improving transportation services, facilities and planning	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Public notices and press releases	ongoing
2	Board, Commission, and workshop meeting agendas and minutes	ongoing
3	PowerPoint Presentation and meeting/workshop handouts	ongoing
4	Incorporated Public Participation Plan into Metropolitan Transportation Plan (AMBAG)	6/30/2010
5	Annual Report (TAMC)	annually
6	Televised broadcasts, accessible on website (TAMC)	ongoing
7	Awards certificates (TAMC)	annually
8	Updated websites (AMBAG, SCCRTC, TAMC)	ongoing
9	Public Opinion Survey (TAMC)	6/30/2010

10	New and update public information handouts (Fact Sheets) and website listings for major projects (SCCRTC)	ongoing
11	Database of contact information for media, partner agencies and interested parties	ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 177 Freeway Service Patrol (SCCRTC/TAMC)**

**Agency:** SCCRTC & TAMC      **Project Manager:** Kaki Chen, TAMC      **Total Budget:** \$615,689  
 Grace Blakeslee, SCCRTC

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	47,500	Caltrans	185,000
Services & Supplies	235,900	SAFE Local Share	83,000
Reserves	2,500	STIP	0
<b>TAMC</b>		Local	17,900
Personnel	51,460	<b>TAMC</b>	
Contractual	278,329	Caltrans FSP	215,329
FSP Reserve	0	SAFE Local Share	88,000
		Local	26,460
<b>TOTAL</b>	<b>615,689</b>	<b>TOTAL</b>	<b>615,689</b>

**Project Description**

Freeway Service Patrol operates in Monterey and Santa Cruz County to assist stranded or stalled motorists, and to remove freeway objectives that cause episodic traffic congestion. Each agency works closely with Caltrans and California Highway Patrol to implement their programs.

**Project Product(s)**

Freeway Service Patrol

**Other Task (Nonfederal)**

Implement county level Freeway Service Patrol

**Previous Accomplishments**

In 2008, TAMC launched an electronic data collection program by furnishing drivers with Personal Digital Assistants to aid with the collection of assist data. The handheld computerized data entry system increased the data accuracy and efficiency in data recording. In 2008-09 TAMC contracted with tow operators for Freeway Service Patrol services for four years beginning July 1, 2009.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Administration: Provide supplies as needed, monitor use, evaluate future program needs, and process vouchers for payment of service from contractors. (SCCRTC, TAMC)	7/1/2009	6/30/2010
2	Conduct quarterly training and informational meetings with Caltrans, CHP and tow operators (SCCRTC, TAMC)	7/1/2009	6/30/2010
3	Represent agency at statewide oversight committee meetings to demonstrate effectiveness and to maintain and increase state funding for FSP program (SCCRTC, TAMC)	7/1/2009	6/30/2010
4	Improve data collection techniques and enhance Personal Digital Assistants to improve truck tracking and vehicle dispatching capabilities. (TAMC)	7/1/2009	6/30/2010
5	Work with other freeway service programs within region to enhance the program's cost-effectiveness (TAMC)	7/1/2009	6/30/2010
6	Prepare Annual Report (SCCRTC, TAMC)	7/1/2009	6/30/2010
7	Continue to promote the program and increase awareness (TAMC)	7/1/2009	6/30/2010
8	Continue to implement and monitor the usage and effectiveness of FSP tow truck service in cooperation with Metropolitan Transportation Commission, CHP, and Caltrans (SCCRTC)	7/1/2009	6/30/2010

9	Continue the funding partnership with Metropolitan Transportation Commission to ensure continuation of the enhanced CHP enforcement on Hwy 17 (SCCRTC)	7/1/2009	6/30/2010
10	Complete mobility and site improvements as needed, and inform the public of changes (SCCRTC)	7/1/2009	6/30/2010
11	Coordinate FSP with neighboring counties (SCCRTC)	7/1/2009	6/30/2010
12	Consider revisions to FSP services based on analysis of usage and availability of funding (SCCRTC)	7/1/2009	6/30/2010
13	Maintain FSP data collection system and feed the data into the statewide FSP benefit/cost model to better reflect conditions of smaller FSP programs (SCCRTC_	7/1/2009	6/30/2010
14	Investigate and pursue potential new funding sources for FSP programs (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project Completed</u>
1	Freeway Service Patrol and SAFE Annual Reports (TAMC, SCCRTC)	annually
2	Ongoing operations (SCCRTC, TAMC)	ongoing
3	Improved statewide FSP benefit/cost model (SCCRTC)	ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)

**Planning Factors****Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
2	Increase the safety of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 178 Service Authority for Freeway Emergencies (SCCRTC/TAMC)**

**Agency:** SCCRTC & TAMC      **Project Manager:** Kaki Chen, TAMC      **Total Budget:** \$741,909  
 Grace Blakeslee, SCCRTC

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	77,000	DMV Revenues	236,000
Services & Supplies	303,450	Local	15,000
Reserves	0	MTC Contribution	50,000
<b>TAMC</b>		SAFE Funds	79,450
Personnel	78,709	<b>TAMC</b>	
Contractual	282,750	SAFE Funds	307,750
SAFE Reserves	0	Local	53,709
<b>TOTAL</b>	<b>741,909</b>	<b>TOTAL</b>	<b>741,909</b>

**Project Description**

SAFE is a Monterey and Santa Cruz county-level highway call box system that TAMC and SCCRTC work with California Highway Patrol and Caltrans to aid motorists and maintain highway safety programs.

**Project Product(s)**

Service Authority for Freeway Emergencies call box system

**Other Task (Nonfederal)**

Maintain and Implement SAFE program

**Previous Accomplishments**

In FY 1991, SCCRTC installed the first SAFE call boxes along major state highways in Santa Cruz County. During FY 1991/92, the first full year of this program, SCCRTC implemented the SAFE Motorist Aid Callbox System in Santa Cruz County. The Santa Cruz County system has been annually continued under SCCRTC oversight.

TAMC operates the Monterey County's SAFE program, which was implemented in three phases:

- o Phase I: US 101 (completed in 2000)
- o Phase II: most of SR 1, SR 68, and SR 156 (completed in 2002); and,
- o Phase III: SR 1 along Big Sur Coast (completed in 2007).

In early 2007, the Agency completed all three implementation phases and upgraded all call boxes to digital service. In 2007, TAMC, in coordination with Central Coast regional agencies and Caltrans, approved the update of the Central Coast ITS plan to meet federal requirements.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Seek opportunities to improve the operation, safety and service of the transportation system through implementing a 511 system (TAMC, SCCRTC)	7/1/2009	6/30/2010
2	Conduct all activities related to installing call boxes in new locations (TAMC, SCCRTC)	7/1/2009	6/30/2010
3	Initiate work on other capital needs for motorists aid, including 511-traveler information system, changeable message signs, smart call boxes and traffic data collection devices. (TAMC)	7/1/2009	6/30/2010

4	Continue tracking DMV collection of SAFE funds to assure accurate revenue collection (TAMC)	7/1/2009	6/30/2010
5	Maintain representation with CalSAFE meetings (TAMC, SCCRTC)	7/1/2009	6/30/2010
6	Continue to operate call box system in Monterey County (TAMC)	7/1/2009	6/30/2010
7	Provide staff support for SAFE on 17 Task Force (SCCRTC)	7/1/2009	6/30/2010
8	Continue the funding partnership with Metropolitan Transportation Commission to ensure continuation of the enhanced CHP enforcement on Hwy 17 (SCCRTC)	7/1/2009	6/30/2010
9	Completed mobility and site improvements as needed, and inform the public of changes (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	SAFE Call Box Annual Reports	annually
2	Ongoing call box services (TAMC)	ongoing
3	New call box installations (TAMC)	ongoing
4	Site accessibility analysis and improvements contract (SCCRTC)	[date]
5	Agenda packet materials for the Safe on 17 Task Force (SCCRTC)	ongoing
6	Funding and implementation for 511 system (SCCRTC, TAMC)	ongoing
7	Combined SAFE and FSP annual report (SCCRTC)	ongoing
8	Usage and maintenance reports from maintenance and call answering contractors (SCCRTC)	ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
2	Increase the safety of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.



**Project Description**

The Transportation Demand Management (TDM) Program establishes the strategies that result in more efficient use of available transportation resources. The program promotes sustainable transportation choices and pricing and parking strategies, and implements programs that result in emission reduction, regional traffic congestion and delay mitigation, and reduction in vehicle trips and vehicle miles travelled. It administers and promotes an Emergency Guaranteed Ride Home program in Monterey County. AMBAG manages Commute Alternatives, the TDM Program for Monterey County, and SCCRTC manages Commute Solutions, the TDM Program from Santa Cruz County.

**Project Product(s)**

Commute Alternatives and Commute Solutions Programs

**Federally Eligible Task**

Promote sustainable transportation modes and choices region-wide through the coordination of incentives, promotional events, campaigns and information dissemination

**Previous Accomplishments**

Ridematching assistance; program/event promotion; regional coordination of TDM efforts; radio and TV interviews; newspaper articles and press releases; EGRH reimbursement; employer and college outreach; website development. In 2008, AMBAG did a complete update to the Commute Alternatives website, providing visitors current information on sustainable modes, links to news sources, travel tracking functionality and interactive features for users. Additional accomplishments include successfully completing 2008 Rideshare Week and Clean Air Month campaigns, funded in part by the Air District to educate and encourage sustainable transportation as a way to maintain good air quality.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Prepare and conduct community outreach, education, and promotional materials (AMBAG, SCCRTC)	7/1/2009	6/30/2010

2	Coordinate with regional rideshare and transit service providers (AMBAG)	7/1/2009	6/30/2010
3	Maintain online database of people interested in a ride match (AMBAG, SCCRTC)	7/1/2009	6/30/2010
4	Develop and maintain a database of TDM initiatives in the community (AMBAG)	7/1/2009	6/30/2010
5	Direct, monitor, and document media communications related to program's objectives and goals (AMBAG)	7/1/2009	6/30/2010
6	Convene periodic meetings of the Transportation and Air Quality Joint Marketing Committee (AMBAG)	7/1/2009	6/30/2010
7	Provide tri-county coordination of outreach campaigns for Rideshare Week, Clean Air Month and Bike Week (AMBAG)	7/1/2009	6/30/2010
8	Update and maintain content and design of websites (AMBAG, SCCRTC)	7/1/2009	6/30/2010
9	Promote, reimburse and monitor Emergency Guaranteed Ride Home (AMBAG) and Ecology Action's Emergency Ride Home Program (SCCRTC)	7/1/2009	6/30/2010
10	Operate rideshare phone hotlines and coordinate regional participation and access to the 511.org online ridematching system (AMBAG, SCCRTC)	7/1/2009	6/30/2010
11	Assist employers in promoting multi-modal travel options and services through transportation fairs and on-site presentations (AMBAG, SCCRTC)	7/1/2009	6/30/2010
12	Research most effective methods and strategies to meet program objectives (SCCRTC)	7/1/2009	6/30/2010
13	Develop and apply a consistent set of evaluation measures for TDM projects and programs (SCCRTC)	7/1/2009	6/30/2010

14	Participate in transportation-related air quality and climate change activities including those related to implementing State and Federal Clean Air Acts and other legislation such as AB 32 and SB 375 (SCCRTC)	7/1/2009	6/30/2010
15	Promote and facilitate access to existing park and Ride Lots and plan for future P&R facility needs (AMBAG, SCCRTC)	7/1/2009	6/30/2010
16	Increase vehicle occupancy by developing and implementin a new countywide carpool incentive program funded by a MBUAPCD grant (SCCRTC)		
17	Conduct regional employer-based origin-destination study (AMBAG)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Commute Alternatives and Commute Solutions program websites	Ongoing
2	Promotional materials (PDF posters and brochures) and presentations	Ongoing
3	Interactive presentations and trip planning tools for use at events	Ongoing
4	Press releases, articles and interviews	Ongoing
5	Online 511 ridematching database	Ongoing
6	Monthly and quarterly progress reports	Monthly and quarterly
7	Board of Directors memorandums	As needed
8	Santa Cruz County carpool incentive program (SCCRTC)	Ongoing
9	Evaluation tools	Ongoing
10	Employer -Based Origin-Destination Study (AMBAG)	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Congestion management process (CMP) and management and operations (M&O)
2	Overall Work Program (priorities for CPG funding)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
2	Increase the safety of the transportation system for motorized and non-motorized users
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 181 Rideshare Rewards Club**

**Agency:** AMBAG **Project Manager:** Sasha Tepedelenova **Total Budget:** \$48,000

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	18,390	MBUAPCD AB2766 08/09	15,000
Contractual	0	CMAQ 6091(024) 04/05 c/o	33,000
Other	15,000		
Indirect	14,610		
<b>TOTAL</b>	<b>48,000</b>	<b>TOTAL</b>	<b>48,000</b>

**Project Description**

Administer an employer-based rideshare incentive program to reduce vehicle emissions and vehicle miles travelled. Employees of registered employers log their sustainable transportation trips to work/school at a website monthly and are entered for prizes. Number of entries in the drawing depends on number of days participants used sustainable transportation for their work/school trips.

**Project Product(s)**

Rideshare Rewards Club program

**Other Task (nonfederal)**

Continuing to administer the employer-based rideshare incentive program, expanding its marketing and outreach efforts to address the transportation needs of other institutions, such as the military, universities and community colleges, and K-12 schools, and providing more detailed and accurate monitoring of the data collected through an on-line, interactive database.

**Previous Accomplishments**

During the first phase, staff promoted and marketed the program in Monterey County; conducted outreach to employers, colleges, and military institutions; published press releases and articles about the program and the monthly winners; and coordinated the development of a user friendly program website to promote a more sustainable program environment. The new website improves the services offered during the second program phase and helps save staff time and paper resources.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Maintain a database of registered employers/colleges and employees/students	7/1/2009	6/30/2010
2	Select two program winners in a monthly drawing and award prizes	7/1/2009	6/30/2010
3	Send press releases to the media	7/1/2009	6/30/2010
5	Update Commute Alternatives websites	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to Completed</u>
1	Database of registered employers/colleges and employees/students	Ongoing
2	Two Rewards Club winners in a monthly drawing	Monthly
3	Press releases and articles	Monthly
4	Website updates	Ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
1	Congestion management process (CMP) and management and operations (M&O)
2	Overall Work Program (priorities for CPG funding)

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Increase the safety of the transportation system for motorized and non-motorized users
2	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
4	Emphasize the preservation of the existing transportation system.



**Previous Accomplishments**

AMBAG coordinated a Complete Count Census effort in 1999 and in 2000. In FY2001-02, AMBAG received Census data releases and sponsored workshops/training on their use. SF 3 releases have been shared with member jurisdictions, other agencies and members of the public. AMBAG has begun to coordinate the Complete Count Census effort for the 2010 census. In FY 2008/09 coordinated LUCA and PSAP efforts in San Benito and Monterey Counties.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Download relevant social, economic, and demographic data for our Monterey Bay Region on an ongoing basis	7/1/2009	6/30/2010
2	Disseminate data to partners and public sector for consistency in transportation, air quality and land use planning, as needed	7/1/2009	6/30/2010
3	Update on a regular and ongoing basis the Census website (www.ccjdc.org/census)	7/1/2009	6/30/2010
	Revamp AMBAG's Census website, providing current data information and updates on 2010 Census	7/1/2009	12/30/2009

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Social, economic and demographic data sheets	on going
2	Census Website updates	12/30/2009
3	Articles and public speaking engagements	on going
4	Census summary products, as time and personnel permit	as needed

**Federally Eligible Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Perform Regional Data Center tasks and duties and provide assistance as required under agreement with the California State Department of Finance 30%

**Previous Accomplishments**

AMBAG is beginning to coordinate a Complete Count Census effort for the 2010 census.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Perform public awareness outreach and training of availability of U.S. Census portal, including to public libraries	7/1/2009	6/30/2010
2	Participate in Regional Data Center meetings and provide assistance as needed	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Public outreach materials	as needed
2	Regional Data Center meeting materials	as needed

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns

**Other Task (nonfederal)**

Provide technical support to MBUAPCD for assessing the consistency of proposed wastewater treatment plants/expansions with the AQMP.

**Previous Accomplishments**

AMBAG, under a Memorandum of Understanding (MOU) with the Monterey Bay Unified Air Pollution Control District (MBUAPCD), has performed air quality consistency determinations for regional projects. In the past, work included determinations for population-related and proposed wastewater treatment plants. Per direction of MBUAPCD staff, reimbursement work will be limited to proposed wastewater treatment place consistency determinations. This is an on-going work element.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Perform consistency determination work as needed	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Wastewater consistency determinations	as needed

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
	none (nonfederal work element)

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
	none (nonfederal work element)

**WORK ELEMENT NUMBER 231 Data Collection, Uniformity and Access**

Agency: AMBAG, TAMC, SCCRTC

Project Manager:

Total Budget:

\$128,316

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	19,504	FHWA PL	28,000
Contractual	0	FTA 5303	7,000
Indirect	15,496	Local	0
<b>TAMC</b>		<b>TAMC</b>	
Personnel	22,106	FHWA PL	0
Contractual	50,000	State RPA	0
<b>SCCRTC</b>		STIP PP&M	0
Personnel	16,210	Local	72,106
Contractual	5,000	<b>SCCRTC</b>	
		FHWA PL	0
		State RPA	10,642
		Local	10,568
<b>TOTAL</b>	<b>128,316</b>	<b>TOTAL</b>	<b>128,316</b>
		<b>% Federal</b>	<b>27%</b>

**Project Description**

To collect regional employment, transportation, economic, population, housing, water quality, land use, traffic counts, and other data for use in preparation of the Metropolitan Transportation Plan and Metropolitan Transportation Improvement Program along with other related regional planning efforts. The Regional Transportation Planning Agencies conduct traffic counts and monitoring also under this work element.

**Project Product(s)**

Data for the Metropolitan Transportation Plan and Metropolitan Transportation Improvement Plan  
 Traffic monitoring and count data

**Federally Eligible Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Obtain most recent American Community Survey, California Employment Development Department, Californian Department of Finance Data, and other data as available 75%

Within this work element, AMBAG oversees the Highway Performance Monitoring System (HPMS), which began in 1982. SCCRTC began ongoing traffic counts with Caltrans assistance in 1983, and 1998, TAMC entered into a 3-year contract with consultants to prepare annual Level of Service Monitoring Reports on the CMP network.

**Previous Accomplishments**

Census and demographic data is periodically available for planning use. Regional Population, Employment, and Housing Forecasts are updated every four years. AMBAG adopted its most recent forecast in 2008.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Collect, correct and format data for development of long-range transportation plans and models (AMBAG)	7/1/2009	12/30/2010
2	Coordinate with RTPAs on joining traffic counting services in response to federal and state needs (AMBAG)	7/1/2009	6/30/2010
3	Coordinate, conduct, and transmit Highway Performance Monitoring System program with Caltrans, RTPAs, public transit operators, universities and jurisdictions (AMBAG)	7/1/2009	6/30/2010
4	Update urbanized area boundaries and inventories of functional classifications in consultation with RTPAs (AMBAG)	7/1/2009	6/30/2010

5	Prepare reports on related data, such as population, housing, employment, land use, vehicle registration and traffic accidents (AMBAG)	7/1/2009	6/30/2010
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**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Reports, tables and maps summarizing data collected for metropolitan planning functions	On Going

<u>Federally Eligible Task</u>	<u>Proposed Expenditure of Federal Funding (PL/FTA 5303)</u>
Traffic Monitoring and Count Data	25%

**Previous Accomplishments**

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The Regional Transportation Planning Agencies conduct regular level of service monitoring and traffic counts. In fiscal year 2007, TAMC initiated a program with SCCRTC to have a consolidated traffic counts program focused on the information needed to support the AMBAG model and long range planning efforts. Provision of this information on TAMC's website and in a user-friendly guide to the public was completed in 2008. TAMC may also work with the cities and the County to standardize traffic impact analyses on a countywide basis.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step Completed</u>
1	Coordinate the collection of traffic volume and vehicle occupancy data at various locations throughout Monterey and Santa Cruz counties, using consultant services as budgeted (TAMC, SCCRTC)	7/1/2009	6/30/2010

2	Post count and historic traffic count data on agency website for easy access and reference by government agencies and members of the public (SCCRTC)	7/1/2009	6/30/2010
3	Coordinate traffic data collection and reporting with Caltrans, UCSC, AMBAG, the cities and the County (SCCRTC)	8/1/2009	4/30/2010
4	Provide traffic count data to local, state and federal agencies, land use development proponents and members of the public as needed (SCCRTC)	7/1/2009	6/30/2010
5	Consider conducting bicycle counts within the established program (SCCRTC)	7/1/2009	6/30/2010
6	Continue working with AMBAG and the RTPAs on coordinated and/or joint traffic counting services and/or reporting	7/1/2009	6/30/2010
7	Provide public with information on traffic conditions and projections throughout the region. (TAMC)	7/1/2009	6/30/2010
8	Prepare a regional traffic count and monitoring program (TAMC)	8/1/2009	4/30/2010
9	Maintain a Regional Transportation Database website so that the information is available to the public (TAMC), as needed	7/1/2009	6/30/2010
10	Develop and manage a transportation database to be available for use in traffic analyses, Environmental Impact Reports (EIRs), and traffic studies (TAMC)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Regional traffic count data and monitoring report to be included in 2010 RTP/MTP update	Ongoing
2	Database of traffic count information on agency websites	Ongoing

3	Vehicle Occupancy county data (SCCRTC)	Ongoing
4	GIS data for all traffic county nodes	Ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 241 GIS Databases, Capabilities and Support**

**Agency:** AMBAG Planning      **Project Manager:** David Johnston      **Total Budget:** \$167,175

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	83,129	FHWA PL	96,000
Travel#	2,000	FTA 5303	52,000
Capital Outlay	0	Local	19,175
Supplies	6,000	Other Local	0
Contractual 3	10,000		
Indirect	66,046		
<b>TOTAL</b>	<b>167,175</b>	<b>TOTAL</b>	<b>167,175</b>
		<b>% Federal</b>	<b>89%</b>

Contractual 3 = Land Systems Group and Maria Valladeres  
 # Travel could take place out-of-state

**Project Description**

Maintain a Geographic Information System that will support topics of regional concern, such as transportation, water, and demographic information in the 3-county region and the surrounding areas as necessary. GIS work supports the Metropolitan Transportation Plan and the Metropolitan Transportation Improvement Program.

**Project Product(s)**

GIS support, mapping, GIS layers, and associated attribute data .

Federally Eligible Task	Proposed Expenditure of Federal Funding (PL/FTA 5303)
Provide GIS and database support.	100%

**Previous Accomplishments**

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In FY 1995-96, AMBAG solicited jurisdictional participation in a Memorandum of Agreement for regional GIS development. Throughout the past fourteen years, AMBAG has provided leadership in regional data matters, organizing training workshops and providing meetings as a vehicle for regional networking of data. FY2008-09 accomplishments include:

Continue providing GIS training and relevant workshops as possible

Continue data entry (assessors parcel data, land use, and road coverage) for the Monterey Bay region.

Produce/prepare digital maps and attribute data covering transportation (including aviation), land use, water, and demographic information.

Hold bi-monthly meetings of the Central Coast Joint Data Committee (CCJDC) and solicit new members, as appropriate.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Provide GIS support, training, and mapping, as needed	7/1/2009	6/30/2010
2	Produce/prepare digital maps and attribute data covering transportation (aviation and airport safety zones), land use, water and demographic information, as needed	7/1/2009	6/30/2010
3	Continue on an ongoing basis data entry (digitizing parcel maps and performing attribute assessment of assessors information) of parcel and roads coverage for the Monterey Bay region, as funding permits	7/1/2009	6/30/2010
4	Hold bimonthly CCJDC meetings and solicit new members as appropriate, with contractor assistance	7/1/2009	6/30/2010
5	Organize and hold annual GIS day, if funding permits	8/1/2009	11/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	GIS data layers and analysis (including parcel, transportation networks, demographic, other land use and demographics)	On going
2	GIS Mapping (including but not limited to maps for 2010 MTP/RTP, Blueprint, and SB 375's sustainable communities strategy)	On going
3	CCJDC meetings (agendas, minutes, program materials) and events	On going
	GIS Day	11/30/2009

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 251 Regional Travel Demand Model**

**Agency:** AMBAG, TAMC      **Project Manager:** Bhupendra Patel      **Total Budget:** \$158,664

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	61,008	FHWA PL	101,000
Contractual 4	34,000	FTA 5303	48,479
Travel#	2,000	<b>TAMC</b>	
Supplies	4,000	FHWA	0
Indirect	48,471	State RPA	0
<b>TAMC</b>		Local	9,185
Personnel	9,185		
Contractual	0		
<b>TOTAL</b>	<b>158,664</b>	<b>TOTAL</b>	<b>158,664</b>
		<b>% Federal</b>	<b>94%</b>

Note: AMBAG modeling work also matched by in-kind participation of TAMC under WE 680 and SCCRTC under WE 683

Contractual 4 - model enhancements

# - Includes potential out-of-state travel to TRB Annual Conference

**Project Description**

Regional Travel Demand Modeling (RTDM) is performed by AMBAG to support metropolitan transportation and air quality planning and programming activities within the tri-county (AMBAG) Metropolitan Planning Region. Staff maintain and enhance Regional Travel Demand Model (RTDM) for the tri-county Metropolitan Planning Organization as well as provide technical assistance to regional agencies (RTPAs, cities and counties) including Caltrans for model use and applications. The RTDM is available for public agency/consultant use by signing an AMBAG-approved Model Use Agreement and posting a project trust account report. Travel demand modeling activities performed for the San Benito County are included in the WE 624. The last Regional Travel Demand Model was update in FY 2004 for the base year 2002 and forecast year 2030. In FY 2009-2010, the RTDM will be update to include the new regional forecast with base year 2005 and forecast year 2035.

TAMC is involved in AMBAG's travel demand model updates through participation in the Regional Model Coordination Working Group. In 2001, TAMC secured funding for additional household travel surveys to be used as base data for the model update. In 2003, TAMC also subcontracted with AMBAG, with FORA as the client, to perform modeling analysis for the reallocation of the Fort Ord Reuse Authority's community facilities district transportation fee. AMBAG has also utilized the regional model to prepare its nexus studies for the recently adopted regional development impact fee, to conduct rail/bus rapid transit passenger analysis forecasts and to assess performance measurements for the regional transportation plan. TAMC participates, in addition to the Model Coordination Working Group, in a sub-committee tasked with investigating the feasibility of developing a transit component to the travel demand forecasting model.

**Project Product(s)**

Updated Regional Travel Demand Model

**Federally Eligible Tasks**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Enhance regional travel demand modeling (RTDM) capabilities for the Monterey Bay region to carryout MPO's transportation planning and programming activities (MTP, MTIP and Air quality conformity analysis as per the 23 CFR).	100%
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**Previous Accomplishments**

- Maintained RTDM
- Organized two Model Coordination Working Groups
- Participated and provide technical support to agencies with model user agreements
- Performed Travel Demand Modeling activities for Metropolitan transportation Planning projects/activities
- Updated various model inputs for the Model updates.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Consultant convert RTDM to TransCAD version 5, if funding permits	7/1/2009	8/30/2009
2	Update Model inputs (data & information) for the year 2005 & 2035 (AMBAG)	7/1/2009	8/30/2009
3	Calibrate and Validate RTDM (AMBAG)	7/1/2009	10/30/2009
4	Provide technical support to model user agencies as needed (AMBAG)	7/1/2009	6/30/2010
5	Perform MTP project/scenario Analysis (AMBAG)	7/1/2009	1/30/2010
6	Final release of AMBAG 2010 Model (AMBAG)	7/1/2009	6/30/2010
7	RTPA's continued participation and support through Regional Model Coordination Working Group (TAMC)	7/1/2009	6/30/2010
8	Support/assist AMBAG in traffic simulation accompaniment tools to the regional model, including performance measures, working group meetings, and traffic simulation (TAMC)	7/1/2009	6/30/2010
9	Work with AMBAG to establish model-based, performance measure assessments for the regional transportation plan and if needed, the regional transportation improvement program (TAMC)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Model Coordination Working Group and transit model enhancement subcommittee meeting materials (AMBAG)	ongoing
2	Updated AMBAG-RTDM for the year 2005 & 2035 (AMBAG)	2/1/2010
3	Model results for the 2010 MTP (AMBAG)	2/1/2010

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 252 Regional Travel Model Transit Enhancements**

**Agency:** AMBAG Planning      **Project Manager:** Bhupendra Patel      **Total Budget:** \$192,025

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	33,157	FTA 5304 08/09 c/o	170,000
Contractual 5	110,000	In-Kind Match	22,025
Travel	500		
Other	0		
In-Kind	22,025		
Indirect	26,343		
<b>TOTAL</b>	<b>192,025</b>	<b>TOTAL</b>	<b>192,025</b>
		<b>% Federal</b>	<b>89%</b>

\$190K grant awarded in FY 2008-09.  
 Contractual 5 = transit model enhancements

**Project Description**

Develop much needed Transit Model assignments functionality to the existing Monterey Bay Area RTDM and enhance the model's sensitivity to neighborhood Density, Diversity, Design and Destination accessibility (hereafter referred to as the "4Ds") as exhibited in the 2001-2002 household travel survey data and developing GIS based local land use typology to develop and calibrate 4Ds elasticity factors for Monterey Bay Region. The main focus of this project is to devise comprehensive technical tools that can be used to develop/evaluate land use patterns and transportation systems that result in improved mobility, reduced auto dependency, congestion and improved air quality, increased transit use, walking, bicycling and encouraged infill development.

**Project Product(s)**

Updated Regional Travel Demand Model with 4-D Post Processing tool.

<b>Federally Eligible Tasks</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
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Develop Transit Model assignments functionality to the existing Monterey Bay Area RTDM and enhance the model's sensitivity to neighborhood Density, Diversity, Design and Destination accessibility (hereafter referred to as the "4Ds").	100%
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**Previous Accomplishments**

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Developed Request for Proposal  
 Organizing Transit Model Enhancement Sub-group  
 Data Collection from various agencies

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Collect required data from various agencies and develop transit model network, develop, calibration and validation of transit assignment procedure	7/1/2009	6/30/2010
2	Manage consultant services	7/1/2009	6/30/2010
3	Geo-code 2001/02 household survey sample data	7/1/2009	6/30/2010
4	Define 4D characteristics for Monterey Bay region	7/1/2009	6/30/2010
5	Analyze trip making characteristics of households	7/1/2009	6/30/2010
6	Research and compare to other comparable case studies	7/1/2009	6/30/2010
7	Finalize 4Ds elasticity for the Monterey Bay region	7/1/2009	6/30/2010
8	Develop 4D model prototype	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Data collection from various agencies	ongoing
2	Consultant contract	6/30/2010
3	4-D Model Prototype	6/30/2010
4	Updated AMBAG-RTDM	6/30/2010
5	4Ds postprocessors to the AMBAG-RTDM	6/30/2010
6	Present findings to Model User Group, partner agencies, and other interested parties	6/30/2010

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users

- 4 Increase the accessibility and mobility of people and for freight
- 5 Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
- 6 Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
- 7 Promote efficient system management and operation.
- 8 Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 341 Blueprint Visioning**

Agency: AMBAG Planning Project Manager: Randy Deshazo Total Budget: \$150,000

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	61,856	State Blueprint FY07/08 c/o	120,000
Contractual	0	In-Kind*	30,000
Travel	2,000		
Printing	2,000		
Supplies	3,000		
Other	2,000		
In-Kind*	30,000		
Indirect	49,144		
<b>TOTAL</b>	<b>150,000</b>	<b>TOTAL</b>	<b>150,000</b>

\* In-Kind revenues and expenditures constitute non-federal match requirements and will be realized through services provided by other agencies and/or through complementary work elements.

**Project Description**

Monterey Bay Area Blueprint is a visioning process that will identify a Preferred Growth Scenario for the region. That scenario will demonstrate that through better land use and transportation planning coordination, there will be decreases in Vehicle Miles Traveled, Greenhouse Gasses and better access to housing and employment. The project involves participation by member agencies, elected officials and the general public.

**Project Product(s)**

A Preferred Growth Scenario

**Other Task (Nonfederal)**

Complete and Adopt Preferred Growth Scenario for Monterey Bay Regional Blueprint

**Previous Accomplishments**

The California Regional Blueprint Program is a competitive grant program for the State's Metropolitan Planning Organizations to conduct comprehensive scenario planning (visioning) that would include regional leader, local governments and stakeholders. The result of Blueprint developments is a series of alternative growth scenarios for the region. In this way, Caltrans hopes that regions will more realistically evaluate future land use patterns and their potential impacts on the region's transportation system, housing supply, jobs-housing proximity and balance, environment and natural resources. FY 2008-09 accomplishments include:

With first year funds, development, produced and adopted a 2008 Forecast for Population, Housing and Employment in collaboration with all 21 jurisdictions.

With second year funds, convened a Blueprint Policy Group consisting of senior staff from all 21 jurisdictions to partner in the development of a series of regional growth scenarios.

Convened a Blueprint Technical Working Group (Twig) to oversee technical and mapping components of scenario development

Made presentations to all jurisdictions within Monterey, Santa Cruz and San Benito counties to educate and inform elected officials on Blueprint program and progress

Held public workshops to receive input on a series of regional growth scenarios.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Finalize series of growth scenarios based on public workshop input	7/1/2009	8/31/2009
2	Hold public open houses to receive final input before adoption	8/1/2009	8/31/2009
3	Recommend and bring Preferred Growth Scenario to AMBAG Board for adoption	9/1/2009	10/31/2009
4	Report back to Caltrans on Blueprint Second Year progress	9/1/2009	11/1/2009

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Series of finalized growth scenarios	8/31/2009
2	Input from Public Open Houses	8/31/2009
3	Adopted Preferred Growth Scenario	10/31/2009
4	Blueprint Report for Caltrans	11/1/2009

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 342 Blueprint Visioning and Outreach**

**Agency:** AMBAG                      **Project Manager:** Randy Deshazo                      **Total Budget:** \$250,000

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	104,207	State Blueprint FY08/09 c/o	200,000
Contractual	0	In-Kind*	50,000
Travel	3,000		
Printing	2,000		
Supplies	3,000		
Other	5,000		
In-Kind*	50,000		
Indirect	82,793		
<b>TOTAL</b>	<b>250,000</b>	<b>TOTAL</b>	<b>250,000</b>

\* In-Kind revenues and expenditures constitute non-federal match requirements and will be realized through services provided by other agencies and/or through complementary work elements.

**Project Description**

Monterey Bay Area Blueprint is a visioning process that will identify a Preferred Growth Scenario for the region. That scenario will demonstrate that through better land use and transportation planning coordination, there will be decreases in Vehicle Miles Traveled while providing for housing and employment opportunities.

**Project Product(s)**

Monterey Bay Region Blueprint Best Practices Manual

**Other Task (Nonfederal)**

Develop best practices document to identify strategies to achieve the vision of the Preferred Growth Scenario.

**Previous Accomplishments**

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2008 Forecast for Population, Housing and Employment

Convened a Blueprint Policy Group consisting of senior staff from all 21 jurisdictions to partner in the development of a series of regional growth scenarios.

Convened a Blueprint Technical Working Group (Twig) to oversee technical and mapping components of scenario development

Made Presentations to all jurisdictions within Monterey, Santa Cruz and San Benito counties to educate and inform elected officials on Blueprint program and progress

Held public workshops to receive input on a series of regional growth scenarios.

Draft Preferred Growth Scenario

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Develop location and site criteria for priority development areas	10/1/2009	6/30/2010
2	Perform a Redevelopment Parcels Inventory	10/1/2009	6/30/2010
3	Establish a monitoring program for Blueprint performance measures	10/1/2009	6/30/2010
4	Develop and implement a public outreach campaign providing further education and outreach on Monterey Bay Blueprint	10/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Blueprint Best Practices Manual that informs and analyzes the most resourceful smart growth initiatives to assist local jurisdictions, community organizations and the general public in long range planning efforts	6/30/2010
2	Maps and statistics supporting Preferred Growth Scenario	6/30/2010
2	Public outreach materials	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 411 Clearinghouse**

**Agency:** AMBAG, SCCRTC, TAMC

**Project Manager:** Ana Flores

**Total Budget:**

\$102,644

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	6,578	FHWA PL	5,804
Indirect	5,226	FTA 5303	6,000
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	28,000	Local	3,131
<b>TAMC</b>		State RPA	18,000
Personnel	62,840	FHWA PL	6,869
		<b>TAMC</b>	
		Local	62,840
<b>TOTAL</b>	<b>102,644</b>	<b>TOTAL</b>	<b>102,644</b>
		<b>% Fedeval</b>	<b>18%</b>

**Project Description**

To inform local governments, concerned agencies and citizens of grant applications, project proposals and state planning programs in order to promote early review and consideration of proposed programs' and projects' anticipated impacts. This work element directly relates to the implementation, monitoring and performance of the MTP and MTIP. Additionally, the information collected is regionally useful to emphasize early coordination on land use plans and projects that have transportation implications, and conversely, transportation plans and projects that have land use implications.

Additional work includes reviewing regionally significant projects and plans to make consistency findings and determinations, pursuant to the requirements under the Clean Air Action (Sections 176(c) and 316), Clean Water Act, Housing and Community Development Act, and those of the Department of Transportation Guidelines.

**Project Product(s)**

Monthly record of grant applications, project proposals and state planning programs

**Federally Eligible Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Collection and documentation of regionally significant project proposals and state planning programs 71%

**Previous Accomplishments**

AMBAG developed, and implemented, a Regional Clearinghouse database in early FY 2006/07. Staff has entered into a database written clearinghouse records back to 2002, and formatted an Internet version of the Clearinghouse report for easier reading. SCCRTC and TAMC review and comment on various plans and environmental review documents to gauge their consistency with their transportation plans.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Prepare monthly notifications and distribute to all reviewing agencies (AMBAG)	7/1/2009	6/30/2010
2	Prepare monthly Board agenda packets and informational reports, including periodic summary reports on the Regional Clearinghouse (AMBAG)	7/1/2009	6/30/2010
3	Maintain and update log and project files using the Regional Clearinghouse database, and/or other agency databases (AMBAG, TAMC, SCCRTC)	7/1/2009	6/30/2010
4	Continue to provide database template to local jurisdictions and other agencies for Clearinghouse submittals (AMBAG)	7/1/2009	6/30/2010

5	Forward applicable draft plans and environmental documents to Elderly and Disabled Transportation Advisory Committee and Bicycle Committee for their review and comment (SCCRTC), as needed	7/1/2009	6/30/2010
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**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Monthly Clearinghouse Newsletter and Supplemental, as needed (AMBAG)	ongoing
2	Clearinghouse Data Log uploaded to AMBAG Website every two weeks (AMBAG)	ongoing
3	Board agenda reports, as needed (AMBAG, SCCRTC, TAMC)	ongoing
4	Maintain Regional Clearinghouse Log and project files (AMBAG)	ongoing
5	Quarterly report on document review activities (SCCRTC)	quarterly
6	Annual Board Report on Environmental Document Reviews (TAMC)	annually
7	In-house Environmental Document Review Log (TAMC)	ongoing
8	Library of environmental documents (TAMC)	ongoing

<b>Federally Eligible Task</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
Evaluate and comment on transportation impacts of regionally significant project proposals and state planning programs	29%

**Previous Accomplishments**

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TAMC adopted Guidelines for Environmental Document Review, including land use development principles to encourage cities and Monterey County to make a more cost-effective use of the transportation system through compact growth patterns, bicycle and pedestrian-friendly design and transit-oriented developments. TAMC participates in a program with Caltrans to coordinate analyses of the traffic impacts of proposed development on the state highway system. In 2008, TAMC implemented a regional developments impact fee program to ensure that development impacts to regional transportation infrastructure are mitigated pursuant to the California Environmental Quality Act.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Comment on transportation sections of general plan updates, bicycle plans, major development plans, transit plans and environmental review documents prepared by local agencies and other entities (TAMC, SCCRTC)	7/1/2009	6/30/2010
2	Evaluate the impact of proposed developments on the regional transportation system and encourage support for alternatives to the single occupant vehicles and better coordination between land uses and transportation needs (TAMC, SCCRTC)	7/1/2009	6/30/2010
3	Utilize TAMC's land use development principles in the evaluation of proposed developments (TAMC)	7/1/2009	6/30/2010
4	Share information with planning staffs of jurisdictions, public transit operators, universities and colleges, on the coordination of land use and transportation issues and projects (AMBAG, SCCRTC, TAMC)	7/1/2009	6/30/2010
5	Update and revise, as needed, the Guidelines for Environmental Document Review. (TAMC)	7/1/2009	6/30/2010
6	Complete the transition of the Environmental Document Review Log to a database/GIS based system to better track the project status, location, planned development, and impact fee payment of new developments (TAMC)	7/1/2009	6/30/2010
7	Coordinate the imposition of the regional impact fee with Caltrans' recommendations on project specific mitigations to assure fair share contributions based on the traffic impacts on state highways caused by new land use development. (TAMC)	7/1/2009	6/30/2010
8	Participate and provide comments at public hearings, scoping meetings, project review meeting, City Council meetings and LAFCO hearings pertaining to new developments' impacts on the regional transportation system (TAMC)	7/1/2009	6/30/2010

9	Review Caltrans comments on local and regional plans and projects (SCCRTC)	7/1/2009	6/30/2010
10	Ensure work on a Regional Blueprint Plan reflects the goals and policies of the RTP and the priorities of the RTPA and its member agencies. Consider alternative growth scenarios that provide information on compact development and related infrastructure needs and costs (SCCRTC)	7/1/2009	6/30/2010
11	Identify and analyze issues relating to integration of transportation and community goals and objectives in land use, housing, economic development, social welfare and environmental preservation (SCCRTC)	7/1/2009	6/30/2010
12	Incorporate transit and intermodal facilities, bicycle transportation facilities and pedestrian walkways in plans and programs where appropriate (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Comment letters on draft plans and environmental documents a major impact on the regional transportation system (TAMC, SCCRTC)	on going
2	Quarterly report on document review activities (SCCRTC)	quarterly
3	Annual Board Report on Environmental Document Reviews (TAMC)	annually
4	In-house Environmental Document Review Log (TAMC)	on going
5	Library of environmental documents (TAMC)	on going

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.



**Project Description**

The objective of this program is to encourage growth in bicycle and pedestrian travel in the region by reviewing and updating the bicycle and pedestrian elements of the Regional Transportation Plan, and integrating and promoting bicycle and pedestrian facilities and services with roadway and transit planning operations.

TAMC and SCCRTC administer several programs that promote bicycle and pedestrian travel. Each agency has an advisory committee that provides input on local and regional capital projects and funding programs. Annually, TAMC sponsors the Bike Week event for Monterey County. SCCRTC provides funds to Ecology Action to sponsor Bike to Work/School programs in Santa Cruz County.

TAMC allocates 2 percent of its Transportation Development Act funding for bicycle and pedestrian projects every few years; a new cycle of grant funding will be allocated to jurisdictions and projects in 2009. In 2009, TAMC will also begin planning effort to update its Bikeway's Plan.

**Project Product(s)**

Updated bicycle and pedestrian elements of TAMC and SCCRTC's Regional Transportation Plan

**Federally Eligible Tasks**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Coordinate and advance bicycle and pedestrian projects and programs through Monterey and Santa Cruz counties

100%

**Previous Accomplishments**

The 2007 Federal Maintenance Plan contains a measure to promote bicycle travel in order to reduce motor vehicle emissions. Monterey and Santa Cruz Counties maintain active and ongoing bicycle plans. In 2005, TAMC finalized a minor update of the Regional Bicycle Plan to set priorities for regional bikeway improvements.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Coordinate and provide staff support for RTPAs' Bicycle and Pedestrian committees and working groups	7/1/2009	6/30/2010

2	Coordinate with local, state and federal agencies regarding bicycle and pedestrian projects, plans and funding programs	7/1/2009	6/30/2010
3	Provide input and assistance to local, regional and state agencies on how to integrate bicycle and pedestrian features into roadway and land development projects (TAMC).	7/1/2009	6/30/2010
4	Assist local jurisdictions in the development or update of Bicycle Transportation Plans, and certify conformance with Street and Highways Code	7/1/2009	6/30/2010
5	Continue funding and promoting bilingual bicycle and pedestrian safety education program (Ride N' Stride) at schools (SCCRTC)	7/1/2009	6/30/2010
6	Continue monitoring planning for a public use trail in the San Lorenzo Valley (SCCRTC)	7/1/2009	6/30/2010
7	Continue providing support to entities in their efforts to develop and fund bicycle and pedestrian plans, programs and subsidies (SCCRTC)	7/1/2009	6/30/2010
8	Investigate methods to reduce vehicle travel by expanding and enhancing bicycle travel (SCCRTC)	7/1/2009	6/30/2010
9	Continue to compile and update digitized bikeway information to be provided to the public as a roadway layer through the County's GIS webpage (SCCRTC)	7/1/2009	6/30/2010
10	Supply SCCRTC's Community Assessment Project and its Traffic Monitoring Report with new bikeway mileage data on an annual basis	7/1/2009	6/30/2010
11	Begin the planning effort to update the 2005 Transportation Agency Bikeways Plan (TAMC)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Bicycle and Pedestrian committee and work group agenda packets	ongoing
2	County Bikeways Miles Data (SCCRTC)	6/30/2010

- 3 Digitized Bikeways Layer on Santa Cruz County's GIS website 6/30/2010
- 4 Certification of local jurisdiction Bicycle Transportation Plans
- 5 Local jurisdiction Pedestrian Improvement Plans

**Other Tasks (nonfederal)**

Support countywide Bicycle and Pedestrian Safety Education and Bike Week or Bike to Work/School Programs

**Previous Accomplishments**

TAMC adopted a Bicycle Facilities Service Request Program that allows bicyclist to report potential roadway hazards to public works departments. TAMC also conducts a Bicycle Protection Program to distribute bicycle lockers and racks throughout the county, funded with periodic grants from the Air District. In 2008, they updated their regional bikeways map. In 2005, Caltrans certified TAMC's Regional Bicycle Plan, enabling Monterey County to be eligible for Bicycle Transportation Account funding.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Process bike and pedestrian-related Transportation Development Act claims including coordination of recommendations by the RTC's advisory committees (SCCRTC)	7/1/2009	6/30/2010
2	Administer and monitor delivery of projects funded by the Transportation Development Act 2% Grant Program (TAMC)	7/1/2009	6/30/2010
3	Administer the Bicycle Facilities Service Request Program in coordination with local agencies (TAMC)	7/1/2009	6/30/2010
4	Assist agencies in installing bicycle racks and lockers under the Bicycle Protection Program (TAMC)	7/1/2009	6/30/2010
5	Implement, promote and seek funding for the Bikes Secure bicycle parking subsidy program (SCCRTC)	7/1/2009	6/30/2010

6	Implement a Bicycle Route Signage system through coordination with local jurisdictions, bicycle advocates and community members. Identify routes and seek funding (SCCRTC)	7/1/2009	6/30/2010
7	Promote Bike Week and Bike to Work/School Activities with AMBAG, RTPAs.	7/1/2009	6/30/2010
8	Continue fiscal support of Bike to Work/School and Community Traffic Safety Coalition (SCCRTC)	7/1/2009	6/30/2010
9	Continue to monitor pass through Air District AB2766 grant funds to Ecology Action for the Folding Bikes in Buses project (SCCRTC)	7/1/2009	6/30/2010
10	Continue outreach and administration of Bicycle Hazard and Pedestrian Access Reports to identify network deficiencies (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to Completed</u>
1	Updated Santa Cruz County Bikeways Map (SCCRTC)	6/30/2010
2	Bicycle Hazard and Pedestrian Access reports (SCCRTC)	6/30/2010
3	Additional bicycle parking facilities	6/30/2010
4	Bike Week 2010 (TAMC)	5/30/2010
5	Biennial Bicycle Facilities Service request form report (TAMC)	6/30/2010
6	Free bicycle racks and lockers to applicants of the Bicycle Protection program (TAMC)	6/30/2010
7	Allocation of TDA Article 3 2% funding for bicycle/pedestrian projects for FY2009-10 through FY2011-12 (TAMC)	6/30/2010

**Other Tasks (nonfederal)**

Funding and implementation of bicycle and pedestrian projects

**Previous Accomplishments**

In 2006, TAMC initiated work on the Carmel Hill and River Trail Corridor project in coordination with the Big Sur Land Trust, California State Parks, and the Monterey Peninsula Regional Park District. The trail is scheduled for construction in 2009-10.

Initiated in 2004, TAMC finalized the Master Plan for the Monterey Bay Sanctuary Scenic Trail in 2008 and continues to assist the County of Monterey in initiating work on the Moss Landing segment of the trail. SCCRT has been in the process of developing the master plan for their county's segment of the Monterey Bay Sanctuary Scenic Trail Network.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Assist agencies in funding and delivering bicycle and pedestrian projects, and consolidating funding to improve delivery of projects	7/1/2009	6/30/2010
2	Prepare a Master Plan for the Monterey Bay Sanctuary Scenic Trail (MBSST) Network including portions of the trail that may be developed within the Santa Cruz Branch Rail Line right-of-way in coordination with local jurisdictions and Monterey Bay regional agencies (SCCRTC)	7/1/2009	6/30/2010
3	Assist in the funding and construction of Monterey Bay Sanctuary Scenic Trail improvements in Monterey County, in accordance with the Master Plan (TAMC)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Master Plan and Environmental Review of the Monterey Bay Sanctuary Scenic Trail Network in Santa Cruz County (SCCRTC)	6/30/2010
2	Completed design work on the Carmel Hill and River Trail Corridor project (TAMC)	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 621 Elderly & Disabled & Americans with Disabilities Act**

**Agency/Program:** AMBAG, SCCRTC, TAMC **Project Manager:** Katie Axt, AMBAG **Total Budget:** \$135,138  
 Andy Cook, TAMC; Karena Pushnik, SCCRTC

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	9,752	FHWA PL	9,000
Printing	0	FTA 5303	10,000
Travel	1,500	<b>SCCRTC</b>	
Indirect	7,748	FHWA PL	25,000
<b>SCCRTC</b>		State RPA	20,000
Personnel	48,000	Local	3,000
<b>TAMC</b>		<b>TAMC</b>	
Personnel	68,138	FHWA PL	5,000
		State RPA	48,750
		Local	14,388
<b>TOTAL</b>	<b>135,138</b>	<b>TOTAL</b>	<b>135,138</b>
		<b>% Federal</b>	<b>36%</b>

**Project Description**

To plan and coordinate the delivery of transportation services to the elderly and persons with disabilities, and to achieve economies of scale among human service and transportation agencies. Additionally, this work element identifies the transportation needs of traditionally underserved groups (elderly, persons with disabilities, persons of color, and low-income) and assesses the adequacy of service available to meet those needs. TAMC and SCCRTC are required under the Transportation Development Act to form social service advisory committees to assist in the identification of unmet transit needs and the analysis of those needs that are reasonably met.

**Project Product(s)**

SAFETEA-LU Coordinated Public Transit-Human Services Transportation Plan (CPTP) updates  
 Unmet Transit Needs Recommendations

**Federally Eligible Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Monitor and implement regional CPTP 39%

**Previous Accomplishments**

In FY 2007-08 AMBAG, in coordination with its partners, developed the SAFETEA-LU required Coordinated Public Transit Human Services Transportation Plan. The AMBAG Board adopted the CPTP on June 11, 2008. AMBAG also coordinated with SCCRTC and TAMC the preliminary scoring of JARC and New Freedom grant applications, which were forwarded on to Caltrans. As part of its ongoing coordination efforts, AMBAG staff frequently attend the social services transportation committees of each RTPA to provide input on unmet needs and gaps in the existing transportation network.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Continue to participate monthly in ad hoc and advisory committees (AMBAG)	7/1/2009	6/30/2010
2	Include stakeholders representing traditionally underserved communities in public outreach for RTP/MTP update (AMBAG, TAMC, SCCRTC)	8/1/2009	5/30/2010
3	Facilitate local review and scoring of FTA Section 5310, 5311, Job Access/Reverse Commute (JARC) and New Freedom (N/F) applications, as needed (AMBAG, TAMC, SCCRTC)	7/1/2009	11/30/2010
4	Evaluate transit operators ADA programs (TAMC, SCCRTC)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	MTP with CPTP element (AMBAG)	6/30/2010
2	JARC and N/F grant funding recommendations (AMBAG, SCCRTC, TAMC)	11/30/2009

**Federally Eligible Task - TAMC**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Administer and conduct Social Services Transportation Advisory Committee (TAMC) 10%

**Previous Accomplishments**

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TAMC holds SSTAC meetings every other month to coordinate with public transit operators and social service providers. The SSTAC provides input on federal transit grant funding, particularly Section 5310. Input from the SSTAC is also critical to meeting federal environmental justice requirements. TAMC participated in FY 2007-2008 in developing the CPTP.

**Steps to Achieve Task - TAMC**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Prepare meeting materials for SSTAC	7/1/2009	6/30/2010
2	Work to assure full membership and improve membership records	7/1/2009	6/30/2010
3	Hold an unmet transit needs hearing	10/1/2009	12/30/2009
4	Form Regional Evaluation Committee for Section 5310 transportation grants to evaluate, score and recommend funding for projects	7/1/2009	6/30/2010

5	Provide input on development of new transit and accessible taxi services , as well as new Monterey County Regional Taxi Authority (TAMC)	7/1/2009	6/30/2010
6	Prepare annual SSTAC report	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Unmet transit needs recommendation	1/1/2010
2	Advisory Councils annual reports	annually
3	Section 5310 grant funding recommendations	6/30/2010

<b>Federally Eligible Task- SCCRTC</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
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Administer and conduct Elderly and Disabled Transportation Advisory Committee (SCCRTC	51%
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**Previous Accomplishments**

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SCCRTC holds E/C Advisory Committee meetings regularly to coordinate with public transit operators and social service providers. The SSTAC provides input on federal transit grant funding, particularly Section 5310, 5311, JARC, and New Freedom. SCCRTC participated in FY 2007-2008 in developing the CPTP.

**Steps to Achieve Task - SCCRTC**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Provide staff support to the E/D Advisory Committee	7/1/2009	6/30/2010
2	Implement the accessible pedestrian planning program through the Pedestrian Safety Work Group (subcommittee)	7/1/2009	6/30/2010

3	Continue to coordinate with local public, private, and non-profit entities	7/1/2009	6/30/2010
4	Process Transportation Development Act claims	7/1/2009	6/30/2010
5	Facilitate the local review and scoring of FTA Section 5310, Section 5311, Job Access/Reverse Commute (JARC), and New Freedom applications	7/1/2009	11/30/2010
6	Conduct Annual Transportation Unmet Needs assessment and hearing	1/1/2010	6/30/2010
7	Coordinate with the Community Traffic Safety Coalition for promotion of Pedestrian Access Reports	7/1/2009	6/30/2010
8	Implement the Paratransit Coordination Task Force recommendations	7/1/2009	6/30/2010
9	Update the Guide for Specialized Transportation	7/1/2009	6/30/2010
10	Implement pass through grant agreements with Caltrans and Community Bridges for the Mobility Outreach and Education project	7/1/2009	6/30/2010
11	Implement pass through grant agreements for New Freedom and Jobs Access/Reverse Commute grants	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>PEA Name</u>	<u>Project to be Completed</u>
1	Agenda packets for the E/D Advisory Committee	ongoing
2	Annual Unmet Needs Hearing	ongoing
3	Updates to the "Guide for Specialized Transportation"	ongoing
4	Agreements to receive Environmental Justice Context-Sensitive Planning grant funds and implement the Mobility Outreach and Education project	ongoing

5	Agreements to receive Agricultural Worker Transportation Program funds and produce the study and plan for a future program in Santa Cruz County	ongoing
6	Outreach, distribution and processing of the Pedestrian Access Reports	ongoing
7	Annual Report summarizing the Committee's activities	ongoing
8	Pedestrian Action Plan	ongoing
9	Agreements with New Freedom and Jobs Access/Reverse Commute grant recipients	ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

PEA ID

3	Overall Work Program (priorities for CPG funding)
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**Planning Factors**

**Project Addresses the Following Planning Factors**

PF ID

PF Name

1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 622 Regional/Metropolitan Transportation Planning**

**Agency:** AMBAG, TAMC, SCCRTC      **Project Manager:** Randy Deshazo, AMBAG      **Total Budget:** \$494,922  
 Andy Cook, TAMC; Rachel Moriconi, SCCRTC

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	45,695	FHWA PL	78,000
Travel	1,500	FTA 5303	25,000
Supplies	2,000	<b>TAMC</b>	
Contractual 6	17,500	FHWA PL	0
Indirect	36,305	State RPA	40,000
<b>TAMC</b>		STIP PP&M	92,584
Contractual	30,200	RSTP	30,200
Personnel	234,722	Local	102,138
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	67,000	FHWA PL	0
Contractual	40,000	State RPA	41,898
Other	20,000	Local	85,102
<b>TOTAL</b>	<b>494,922</b>	<b>TOTAL</b>	<b>494,922</b>
		% Federal	21%

Contractual 6 = For preparation of MTP/RTP SEIR with GHG analysis and mitigation bank consultation

**Project Description**

Maintain and update the 25-year Metropolitan and Regional (county-wide) transportation plans in the Monterey Bay region, prepare funding strategies, determine financial constraint, and incorporate SAFETEA-LU's Regulations in the metropolitan plan update. AMBAG, SCCRTC, TAMC, and SBtCOG (WE 624) coordinate each county's RTP and the regional MTP to have common project database format, financial forecasts, and environmental review. The adoption of AB 32 and SB 375 add additional planning and air quality considerations for the development of the MTP/RTP, including strategies for reducing greenhouse gas emissions.

**Project Product(s)**

MTP and RTP Updates/amendments  
 Environmental review to MTP/RTP updates

**Federally Eligible Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Update 2010 Metropolitan Transportation Plan and Regional Transportation Plan	90%
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**Previous Accomplishments**

Regional (County) Transportation Plans have been prepared by RTPAs since 1975. These plans and plan elements are to be in place at the time the biennially STIP candidate projects are transmitted to the California Transportation Commission via each RTPAs' RTIP. AMBAG was required to prepare a Monterey Bay region Metropolitan Transportation Plan beginning in 1991.

In FY 2002-03, AMBAG initiated a working group to develop one, joint long-range transportation plan, and its accompanying environmental documentation, for the tri-county region. In FY 2003-04, the RTPA staff elected to continue to produce a distinct RTP for their respective agencies but agreed to common formats, financial forecasts, and environmental review. The last adoption of the MTP and RTPs for Monterey and Santa Cruz Counties was in 2005. AMBAG is currently in process to update the MTP/RTP in 2010. In FY2008-09 the following accomplishments included:

- Initiated coordination process for updating the MTP/RTP, including identification of timelines and agency tasks
- Prepared an RFP for environmental consultant services, released RFP and selected consultant

**Steps to Achieve Task**

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<b><u>Step No</u></b>	<b><u>Step Description</u></b>	<b><u>Step Started</u></b>	<b><u>Step to be Completed</u></b>
1	Coordinate on long-range transportation planning activities within the region, including timelines, public participation efforts, updated project costs and revenue estimates, environmental documentation, and other efforts that may produce economies of scale (AMBAG, TAMC, SCCRTC)	7/1/2009	4/30/2010
2	Coordinate the preparation and certification of an environmental review document for the regional transportation plans (AMBAG, SCCRTC, TAMC)	7/1/2009	6/30/2010
3	Incorporate system level estimates of costs and reasonably available revenue sources for federal-aid highways and public transportation (AMBAG, SCCRTC, TAMC)	7/1/2009	12/30/2010
4	Employ SAFETEA-LU compliant Public Participation Plan in development of long-range transportation plans, including the early involvement of federal, state, regional and local agencies, community organizations and general public (AMBAG, SCCRTC, TAMC)	8/1/2009	6/30/2010
5	Advance the development of the visualization platform (AMBAG)	7/1/2009	12/30/2010
6	Monitor the adoption of each chapter of the RTPAs' RTP and integrate components into the Metropolitan Transportation Plan (AMBAG)	7/1/2009	4/30/2010
7	Collaborate with RTPAs, Caltrans, and service providers on freight and goods movement studies (AMBAG, TAMC)	7/1/2009	6/30/2010
8	Coordinate with RTPAs on Blueprint Planning efforts as it relates to development of long-range transportation plans (AMBAG)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Completed RTP/MTP environmental review	6/1/2010
2	Adopted RTP/MTP	6/1/2010

<u>Federally Eligible Task</u>	<u>Proposed Expenditure of Federal Funding (PL/FTA 5303)</u>
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Monitoring and review of RTP/MTP implementation	10%
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**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Review projects and programs for consistency with recently adopted RTPs and MTP (AMBAG, TAMC, SCCRTC)	7/1/2009	6/30/2010
2	Adopt financially-constrained and year-of-expenditure amendments, if needed	7/1/2009	6/30/2010
3	Continue to work with Caltrans and local agencies to implement the long-range plans' projects and policies through their planning and capital improvement programming actions (SCCRTC)	7/1/2009	6/30/2010
4	Work to develop potential new revenue sources to meet funding shortfalls, on an ongoing basis (SCCRTC)	7/1/2009	6/30/2010
5	Collaborate with RTPAs on upgrades to Regional Transportation Demand Model and population forecasts (AMBAG)	7/1/2009	6/30/2010
6	Participate with Caltrans in the development of new RTP guidelines (RTPAs)	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	RTP/MTP amendments	as needed

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 623 Central Coast Corridor Commercial Flows Study**

**Agency:** AMBAG Planning      **Project Manager:** Randy Deshazo      **Total Budget:** \$322,500

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	37,615	FHWA Partnership	258,000
Contractual 7	180,000	In-Kind	64,500
Travel	3,000		
Other	7,500		
In-Kind	64,500		
Indirect	29,885		
<b>TOTAL</b>	<b>322,500</b>	<b>TOTAL</b>	<b>322,500</b>

\$276K grant award made in FY 2008-09.  
 Contractual 7 = Unknown

**Project Description**

The Central Coast Commercial Flows Study is a Caltrans Partnership Planning funded grant that seeks to qualify and quantify the character of present and future commercial flows in and through the Central Coast region of California. AMBAG leads a task force comprised of staff from Caltrans District 5, San Luis Obispo Council of Governments, and Santa Barbara County Association of Governments that oversees the project's development and implementation.

**Project Product(s)**

Central Coast Commercial Flows Action Strategy, including evaluation of multi-modal strategies to improve performance  
 Commercial flow characteristics at Central Coast cordons and screen lines

**Other Task (Nonfederal)**

- Convene Freight Action Strategy Taskforce
- Conduct cordon and screen line counts
- Conduct commodity flows forecast for the region

**Previous Accomplishments**

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- Produced and released RFP, retained consultant
- Held semi-regular meetings with the Freight Action Strategy Taskforce

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Convene Freight Action Strategy Taskforce	8/9/2009	8/1/2010
2	Conduct cordon and screen line counts	11/9/2009	1/1/2010
3	Conduct commodity flows forecast for the region	1/1/2010	1/2/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Commodity flow forecast	1/2/2010
2	Cordon and screen line counts	1/3/2010
3	Action plan	8/1/2010

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint
2	Congestion management process (CMP) and management and operations (M&O)
3	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 624 San Benito County Regional Planning**

**Agency:** AMBAG **Project Manager:** Randy Deshazo **Total Budget:** \$22,591

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	12,589	FHWA PL	20,000
Contractual	0	Cash Contribution*	2,591
Indirect	10,002		
<b>TOTAL</b>	<b>22,591</b>	<b>TOTAL</b>	<b>22,591</b>
		<b>% Federal</b>	<b>89%</b>

\* Council of San Benito County Governments

**Project Description**

Conduct metropolitan planning for San Benito County, as required by SAFETEA-LU, and per the existing Memorandum of Understanding.

**Project Product(s)**

2010 Metropolitan Transportation Plan Update

**Federally Eligible Task Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Update 2010 Metropolitan Transportation Plan and Regional Transportation Plan by incorporating San Benito County Regional Transportation Plan 100%

**Previous Accomplishments**

Initiated coordination process for updating the MTP, including identification of timelines and agency tasks  
 Prepared an RFP for environmental consultant services, released RFP and selected consultant

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Coordinate on long-range transportation planning activities within the region, including timelines, public participation efforts, updated project costs and revenue estimates, environmental documentation, and other efforts that may produce economies of scale, on an ongoing basis	7/1/2009	4/30/2010
2	Coordinate the preparation and certification of an environmental review document for the regional transportation plans, on an ongoing basis	8/1/2009	5/30/2010
3	Monitor the adoption of each chapter of the San Benito COG RTP and integrate components into the Metropolitan Transportation Plan, on an ongoing basis	7/1/2009	6/30/2010
4	Participate/assist in the development of projects in San Benito County, as needed	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Completed environmental review for 2010 MTP/RTP	6/1/2010
2	Adopted RTP/MTP	6/1/2010
3	RTP/MTP amendments	as needed

### Planning Emphasis Areas

#### Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

### Planning Factors

#### Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 626 Congestion Analysis and Congestion Management Program Conversion**

Agency: TAMC Project Manager: Todd Muck Total Budget: \$153,619

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>TAMC</b>		<b>TAMC</b>	
Personnel	150,619	State RPA	1,110
Contractual	3,000	STIP PP&M	27,432
Other	0	Local	125,077
<b>TOTAL</b>	<b>153,619</b>	<b>TOTAL</b>	<b>153,619</b>

**Project Description**

TAMC's objective within this work element is to identify and monitor existing traffic congestion problems and assist local jurisdictions in minimizing traffic impacts from proposed development. Additionally, TAMC coordinates land use and transportation planning to mitigate or prevent traffic congestions, while also administering their regional traffic impact fee program.

**Project Product(s)**

Regional Impact Fee program

**Other Tasks (Nonfederal)**

Administer and Implement TAMC's Regional Impact Fee Program

**Previous Accomplishments**

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In 1990, California adopted a law requiring the preparation of regional Congestion Management Programs to help mitigate the traffic impacts of new development. The Transportation Agency first adopted a CMP in 1992, with the last update in 1994. As of 1996, regions can elect not to participate in the statutory CMP. Since that time, TAMC focused on development of a Regional Development Impact Fee as a replacement for the prior CMP activities.

In 2008, TAMC completed its Nexus Study and developed a schedule of development impact fees to be collected by the land use agencies in Monterey County. The County and each jurisdiction entered into a Joint Powers Agreement creating the Regional Development Impact Fee Joint Powers Agency. By agreement between the Joint Powers Agency and TAMC, TAMC is responsible for administering the fee program. The fee became effective in August 2008. In order to guide the use of the fee revenues, under the Joint Powers Agreement, TAMC must adopt a Strategic Expenditure Plan no later than August 2009.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Administer the Regional Development Impact Fee Joint Powers Agency	7/1/2009	6/30/2010
2	Maintain the necessary accounts and accounting procedures to implement the Regional Impact fee	7/1/2009	6/30/2010
3	Prepare Strategic Expenditure Plan for regional fee program for Board adoption	7/1/2009	8/31/2009
4	Maintain an annual fee schedule and a fee implementation manual for local planning departments	7/1/2009	6/30/2010
5	Replace the Congestion Management Program with the Regional Impact Fee program, long-range planning and traffic monitoring activities	7/1/2009	8/31/2009

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Regional Impact Fee Strategic Expenditure Plan, in accordance with the Joint Powers Agreement	8/31/2009
2	Regional Impact Fee Procedures Manual	annually
3	Resolution replacing the Congestion Management Program with the Regional Impact Fee program	8/31/2009

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 631 Transportation Management System (Santa Cruz County)**

**Agency:** SCCRTC **Project Manager:** **Total Budget:** \$12,139

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	12,139	CMAQ 6091(026) 04/05 c/o	0
Services/Supplies (TMA)	0	CMAQ 6091(030) 07/08	0
Serv/Supp (Comm. Bridges)	0	State RPA	0
<b>City of Watsonville</b>	0	Local	12,139
		AB2766 c/o	0
		CT Env Justice Planning	0
		CT AWTP (Comm. Bridges)	0
		<b>City of Watsonville</b>	
		CMAQ 6091(030) 07/08	0
		Local	0
<b>TOTAL</b>	<b>12,139</b>	<b>TOTAL</b>	<b>12,139</b>

**Project Description**

SCCRTC works with other agencies and businesses to foster relatively low cost improvements to the transportation network such as signal timing, changeable message signs and park and ride lots for purposes of improving the efficiency and safety of the transportation system.

**Project Product(s)**

Transportation System Management Improvements

**Other Task (nonfederal)**

Traffic Operations System (TOS) Oversight

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Coordinate with Caltrans and the CHP on TOS improvements	7/1/2009	6/30/2010
2	Monitor and provide input on Caltrans TSM projects	7/1/2009	6/30/2010
3	Continue to work with Caltrans Districts 4 and 5 on coordinating with the San Francisco Bay Area Traffic Management Center and the Central Coast Traffic Management Center	7/1/2009	6/30/2010
4	Provide staff support to the TOS Oversight Committee	7/1/2009	6/30/2010
5	Provide information to partner agencies, community organizations and the public on the benefits of Intelligent Transportation System strategies to improve transportation	7/1/2009	6/30/2010
6	Continue to identify potential locations and funding for new, expanded or enhanced park and ride lots, both dedicated and shared-use	7/1/2009	6/30/2010
7	Coordinate with AMBAG, Caltrans District 5 and the RTPAs of the Central Coast to implement the Central Coast Intelligent Transportation System Architecture and Maintenance Plan	7/1/2009	6/30/2010
8	Research establishing a 511 multi-modal travel information system in terms of needs, issues, content, data sources, technology options, institutional coordination, telecommunications requirements and funding	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Complete</u>
1	Agenda packets for the Traffic Operations System Oversight Committee	ongoing
2	TOS Fact Sheet	6/30/2010
3	511 implementation plan	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 634 Transit and Livable Communities Planning**

**Agency:** TAMC **Project Manager:** Andy Cook, TAMC **Total Budget:** \$59,026

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>TAMC</b>		<b>TAMC</b>	
Personnel	59,026	Local	59,026
Contractual	0	State PP&M	0
<b>TOTAL</b>	<b>59,026</b>	<b>TOTAL</b>	<b>59,026</b>

**Project Description**

TAMC works closely with MST and local transit providers to maximize the efficiency of and funding for bus transit service in Monterey County. In 2002, TAMC initiated the Transportation for Livable Communities (TLC) incentive grant program to provide funds to jurisdictions with infill or transit-oriented development projects. In 2008, TAMC completed a study on the establishment of a regional taxi authority for Monterey County, with a focus on the Monterey Peninsula jurisdictions.

During the state budget process in 2008 and 2009, major reductions were made to State Transit Assistance funds that the operators rely on for capital and operations support. State Transit Assistance is no longer funded by the state for 2009-2010 and beyond. TAMC will endeavor to identify replacement funding from the state, federal and regional sources. Also, future funding allocations will focus on optimal use of available transit funds in order to maximize transit services.

**Project Product(s)**

Federal Transit Administration Section 5311 Program of Projects  
 Monterey County Regional Taxi Authority

**Other Task (Nonfederal)**

Administer and monitor Transportation for Livable Communities incentive grant program

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Provide assistance and input to transit operators on existing and new transit route systems	7/1/2009	6/30/2010
2	Monitor performance of existing projects, revising guidelines as needed, allocating funds in the next round of Regional Surface Transportation Program grants	7/1/2009	6/30/2010
3	Approve FTA 5311 Program of Projects for rural transit services	7/1/2009	6/30/2010
4	Work with participating jurisdictions to implement Monterey County Regional Taxi Study	7/1/2009	6/30/2010
5	Seek new transit operations funding	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Federal Transit Administration Section 5311 Program of Projects	6/30/2010
2	Monterey County Regional Taxi Authority	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)

- 3 Overall Work Program (priorities for CPG funding)
- 4 State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 635 City of Greenfield Agricultural Worker Program**

**Agency:** AMBAG **Project Manager:** Sasha Tepedelenova **Total Budget:** \$6,430

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	2,953	State PTA AWTP 07/08 c/o	6,000
Travel	500	In-Kind Match (City of Greenfield)	430
Supplies	200		
In-Kind	430		
Indirect	2,347		
<b>TOTAL</b>	<b>6,430</b>	<b>TOTAL</b>	<b>6,430</b>

**Project Description**

In FY 2007/08, Caltrans awarded AMBAG a grant to work with the City of Greenfield to research and develop a detailed service implementation and funding plan for an agricultural worker vanpool program. In FY2008/09, this pilot project was expanded to a regionwide program. Both efforts will continue into FY2009/10.

**Project Product(s)**

Regional Agricultural Worker Vanpool Pilot Program

**Other Task (Nonfederal)**

Develop Pilot Project for City of Greenfield's Agricultural Worker Program

**Previous Accomplishments**

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Researched other county and regional agricultural vanpool worker programs, and consulted with City of Greenfield on program development

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Collect data to determine service area, fleet size, and support staff	7/1/2009	6/30/2010
2	Build support from agriculture employers and workers	7/1/2009	6/30/2010
3	Identify potential vanpool drivers	7/1/2009	6/30/2010
4	Assist City of Greenfield in determining location of infrastructure for fleet maintenance and support staff	7/1/2009	6/30/2010
5	Report findings and develop detailed service implementation and funding plan	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Service Implementation and Funding Plan for City of Greenfield	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.



**Previous Accomplishments**

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None to date

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Collect data to determine service area, fleet size, and support staff	7/1/2009	6/30/2010
2	Build support from agriculture employers and workers	7/1/2009	6/30/2010
3	Identify potential vanpool drivers	7/1/2009	6/30/2010
4	Work with jurisdictions to determine location of infrastructure for fleet maintenance and support staff	7/1/2009	6/30/2010
5	Report findings and develop detailed service implementation and funding plan	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Product to be Completed</u>
1	Regional Service Implementation and Funding Plan	6/30/2010

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors****Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 641 Transportation Improvement Programs (TIPs)**

**Agency:** AMBAG, TAMC, SCCRTC      **Project Manager:** Bhupendra Patel      **Total Budget:** \$525,684

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	24,575	FHWA PL	34,000
Other	1,700	FTA 5303	13,000
Travel	1,200	<b>TAMC</b>	
Indirect	19,525	FHWA PL	84,127
<b>TAMC</b>		State RPA	90,000
Personnel	193,006	STIP PP&M	18,879
Contractual	90,000	TCRA	0
Other	0	Local	90,000
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	195,678	FHWA PL	2,942
Contractual	0	State RPA	29,146
Other	0	STIP PP&M	150,000
Contingency	0	Local	13,590
<b>TOTAL</b>	<b>525,684</b>	<b>TOTAL</b>	<b>525,684</b>
		<b>% Federal</b>	<b>26%</b>

**Project Description**

To develop and adopt the Metropolitan Transportation Improvement Program (MTIP), containing financial plan, project lists, program budget and annual priority schedule for the metropolitan region's transportation programs and projects. The MTIP implements the Metropolitan Transportation Plan (MTP), as required by SAFETEA-LU. It is a financially constrained, four-year program that includes all modes of transportation for the region. The MTIP includes all regionally significant transportation programs and projects, regardless of funding source, and all federally funded transportation projects. The MTIP is a compendium of the Regional TIPs prepared in each of the three counties by the Regional Transportation Planning Agencies (RTPAs).

TAMC and SCCRTC must prepare, adopt, and submit a countywide Regional Transportation Improvement Program (RTIP) by December 15 every odd-numbered year. The California Transportation Commission selects projects from this regional program and from the Caltrans-funded Interregional Transportation Improvement Program and adopts this program of projects generally in April of every even year as the State Transportation Improvement Program. Each RTPA administers federal and state aid funding programs and assists local jurisdictions in meeting the state and federal requirements to secure funds for needed transportation projects. Within this work element, RTPAs also work towards diversifying their funding sources for transportation projects and securing competitive state funding from a variety of non-State Transportation Improvement Program sources, such as the Proposition 1B infrastructure bond funds.

**Project Product(s)**

Metropolitan Transportation Improvement Plan and Amendments  
 Regional Transportation Improvement Plan and Amendments

**Federally Eligible Task -AMBAG**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Amendments to the FFY 2008/09 to FFY 2011/12 RTIP and MTIP as needed	35%
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**Previous Accomplishments**

- Developed MTIP FFY 2008-09 to 2011-12
- Formal Amendment and administrative amendments
- Provided information to state and federal agencies as requested
- Annual List of Federally Obligated Projects For FFY 2007/08
- TAMC adopted its last RTIP in January 2008.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Consultation and coordination between FHWA/FTA, Caltrans, RTPA(s) and local agencies	7/1/2009	6/30/2010
2	Providing appropriate public participation opportunities	7/1/2009	6/30/2010
3	Participation in the CFPG and other related meetings	7/1/2009	6/30/2010
4	Develop and provide Caltrans- and federally-mandated documents and reports for the MTIP, as needed	7/1/2009	6/30/2010
5	Develop the 2010 Regional Transportation Improvement Program (RTPAs)	7/1/2009	6/30/2010
6	Coordinate RTIP amendments with AMBAG for the Federal Transportation Improvement Program (FTIP) and with Caltrans and the California Transportation Commission (CTC) for the State Transportation Improvement Program (STIP) (RTPAs)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Formal Amendments to the MTIP, as needed	ongoing
2	Administrative Modifications to the MTIP as needed	ongoing
3	Provide information to state and federal agencies as requested	ongoing
4	Annual listing of obligated projects with federal funds	ongoing
5	2010 Regional Transportation Improvement Program	12/30/2010
6	Amendments to the State Transportation Improvement Program and relate program plans	as needed

**Federally Eligible Task - TAMC & SCCRTC**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Administer and monitor federal and state aid funding programs whose projects are included in the regional plan, including the State Transportation Improvement Program (STIP), Regional Surface Transportation Program (RTSP), Congestion Mitigation and Air Quality funds (CMAQ), and the Transportation Enhancement Program (STIP TE), and Proposition 1B programs

65%

**Previous Accomplishments**

In April 2008, TAMC received Trade Corridor bond funding for the US 101 San Juan Road Interchange project. Recent Regional Transportation Improvement Programming has focused on assuring that high priority major projects are completely funded, including the Prunedale Improvement project, Airport Blvd./Hwy 101 Interchange, and Salinas Rd./SR 1 Interchange. Future efforts will seek to program funding for other high priority highway and transit projects. In accordance with SAFETEA-LU guidance, project cost estimates will be monitored and updated as appropriate.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Track projects in the State Transportation Improvement Program and assist project sponsors in processing amendments, requesting allocations, encumbering and spending State Transportation Improvement Program funds (TAMC)	7/1/2009	6/30/2010
2	Assist project sponsors in delivering projects by updating on-call lists and processing task order requests for consultant planning, engineering and construction management services (TAMC)	7/1/2009	6/30/2010
3	Review existing allocations and initiate new allocation of RTSP funds (TAMC)	7/1/2009	6/30/2010

4	Monitor the implementation of STIP, STIP TE, RSTP, and CMAQ-funded projects, with an emphasis on project delivery, timely use of funds and compliance with State and Federal laws and California Transportation Commission guidelines (SCCRTC)	7/1/2009	6/30/2010
5	Monitor the achievement towards regional and state transportation goals (SCCRTC)	7/1/2009	6/30/2010
6	To better deliver qualifying and approved projects, exchange federal RSTP funds for State funds through the State's RSTP Exchange program (SCCRTC)	7/1/2009	6/30/2010
7	Work with Caltrans to monitor both major and minor state highway projects and to fulfill its STIP project monitoring and project delivery responsibilities (SCCRTC)	7/1/2009	6/30/2010
8	Prepare state and federally-mandated reports for Caltrans, FHWA, and the CTC (SCCRTC)	7/1/2009	6/30/2010
9	Provide information to the public and other agencies regarding funding programs and opportunities (SCCRTC)	7/1/2009	6/30/2010
10	Monitor state rules and regulations by attending state and regional agency meetings, such as: Regional Transportation Planning Agencies Group, California Transportation Commission, Self-Help Counties Coalition, and California Council of Governments meetings.	7/1/2009	6/30/2010
11	Monitor the state transportation budget and work with Caltrans and the California Transportation Commission on obtaining funding for STIP funded projects	7/1/2009	6/30/2010
12	Work with regional agencies, Caltrans and the California Transportation Commission (CTC) on the development of implementation policies and procedures for state and federal funding programs	7/1/2009	6/30/2010

13	Work with regional, state and national entities to coordinate implementation and reauthorization of the federal transportation act (SAFETEA-LU) and the Clean Air Act	7/1/2009	6/30/2010
14	Project planning, including the development of project study reports or major investment studies (SCCRTC)	7/1/2009	6/30/2010
15	Incorporate transit and intermodal facilities, bicycle transportation facilities and pedestrian walkways in plans and programs where appropriate (SCCRTC)	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Updated list of on-call transportation planning services consultants (TAMC)	ongoing
2	Project monitoring database (TAMC)	ongoing
3	Allocation of Regional Surface Transportation Program funds (TAMC)	ongoing
4	Caltrans mandated documents and reports (SCCRTC)	ongoing
5	Project status reports (SCCRTC)	ongoing

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint
2	Congestion management process (CMP) and management and operations (M&O)

- 3 Overall Work Program (priorities for CPG funding)
- 4 State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 642 San Benito Transportation Improvement Programming**

Agency: AMBAG Project Manager: Bhupendra Patel Total Budget: \$20,279

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	10,855	FHWA PL	17,953
Other	800	Cash Contribution*	2,326
Indirect	8,624		
<b>TOTAL</b>	<b>20,279</b>	<b>TOTAL</b>	<b>20,279</b>
		<b>% Federal</b>	<b>89%</b>

\* Council of San Benito County Governments

**Project Description**

This work element and the associated WE 624 began in FY 1992/93 per Memorandum of Understanding between AMBAG, the Council of San Benito County Governments (SBtCOG) and Caltrans. These two elements cover all metropolitan planning and programming activities performed by AMBAG and SBtCOG for the area of San Benito County, a rural county within the AMBAG metropolitan planning area. The objective of this work element is to program development and adoption for regionally significant transportation projects in San Benito County. All such transportation projects, including both capital and operating projects and programs are included as reported to AMBAG by SBtCOG. San Benito County projects will be added to the Metropolitan Transportation Improvement Program (MTIP) through amendments and updates as needed.

**Project Product(s)**

Metropolitan Transportation Improvement Plan Amendments

<b>Federally Eligible Tasks</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
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Update MTIP through Formal Amendments and Administrative amendment	100%
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**Previous Accomplishments**

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Developed MTIP FFY 2008-09 to 2011-12  
 Formal Amendment and administrative amendments  
 Provided information to state and federal agencies as requested  
 Annual List of Federally Obligated Projects For FFY 2007/08

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Consultation and coordination between FHWA/FTA, Caltrans, SBtCOG and other local agencies, on an ongoing basis	7/1/2009	6/30/2010
2	Employ the SAFTEA-LU compliant Public Participation Plan in development/amendment of the transportation improvement programs, on an ongoing basis	7/1/2009	6/30/2010
3	Demonstrate financial constraint and illustrate sufficient funding to program projects (See also WE 624)	7/1/2009	6/30/2010
4	Hold meetings for consultation and coordination, as needed	7/1/2009	6/30/2010
5	Provide Caltrans, FHWA/FTA federally-mandated documents and reports, as needed	7/1/2009	6/30/2010
6	Publish Annual List of obligated project and Annual obligation report for federal funds including CMAQ projects	7/1/2009	6/30/2010
7	Ensure SAFETEA-LU Final Metropolitan Planning Rule incorporation into MTIP development	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Formal Amendments to the MTIP, as needed	ongoing
2	Administrative Modifications to the MTIP as needed	ongoing
3	Provide information to state and federal agencies as requested	ongoing
4	Annual listing of obligated projects with federal funds	ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
1	Financial Planning and Fiscal Constraint
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight

- 5 Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
- 6 Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
- 7 Promote efficient system management and operation.
- 8 Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 669 Monterey Bay Transit Planning Rotational Interns FY06/07**

**Agency:** AMBAG **Project Manager:** Katie Axt **Total Budget:** \$4,719  
 MST, SCMTD

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCMTD</b>		<b>SCMTD</b>	
Personnel	0	FTA 5304 (06/07)	0
<b>MST</b>		Local	0
Personnel	4,719	<b>MST</b>	
		FTA 5304 (06/07)	4,178
		Local	541
<b>TOTAL</b>	<b>4,719</b>	<b>TOTAL</b>	<b>4,719</b>
		<b>% Federal</b>	<b>89%</b>

**Project Description**

AMBAG was the successful Caltrans discretionary grant recipient providing pass-through funding originally to MST, SCMTD and TAMC in this FY 2006/07 grant to hire 3 interns rotating between the RTPA and the public transit operators. This program began in FY2006/07 and Caltrans granted a second discretionary grant award for FY07/08 that is listed under WE 670A. Although carryover funding was available to it, TAMC has chosen to opt out of participating in FY2009-10.

**Project Product(s)**

One residual intern for MST FY 2006/07 carryover activity.

<b>Federal Task</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
Administer pass through funds for interns that provide transit-planning assistance within an RTPA's or public transit operator's planning or marketing program	100%

**Previous Accomplishments**

Interns assisted with general transit-planning and marketing duties, including collecting socio-economic data, GIS, unmet transit needs, and plan review. Due to the high cost of traveling, this grant was amended to have the interns shift only between agencies in the same county.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Agency oversight of transit planning interns	7/1/2009	6/30/2010
2	Review development and other planning and environmental documents for consistency with agency plans	7/1/2009	6/30/2010
3	Assist in marketing and promotion efforts, collecting socio-economic data, and maintaining GIS program, as needed	7/1/2009	6/30/2010
4	Assist in production of grant applications		
5	Assist in maintenance of electronic and paper-based resources and guides	7/1/2009	6/30/2010
6	Provide research on a variety of transportation and transit-planning issues	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Transit planning intern services for MST	ongoing

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 670 Monterey Bay Transit Planning Rotational Interns**

**Agency:** AMBAG **Project Manager:** Katie Axt **Total Budget:** \$36,704  
 SCMTD, SCCRTC, MST

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCMTD</b>		<b>SCMTD</b>	
Personnel	14,120	FTA 5304 (07/08)	12,500
<b>SCCRTC</b>		Local	1,620
Personnel	8,465	<b>SCCRTC</b>	
<b>MST</b>		FTA 5304 (07/08)	7,494
Personnel	14,120	Local	971
		<b>MST</b>	
		FTA 5304 (07/08)	12,500
		Local	1,620
<b>TOTAL</b>	<b>36,704</b>	<b>TOTAL</b>	<b>36,704</b>
		<b>% Federal</b>	<b>89%</b>

**Project Description**

In this second award akin to WE 670, AMBAG was the successful Caltrans discretionary grant recipient providing pass-through funding to MST, SCCRTC, SCMTD and TAMC in this grant to hire interns rotating between the RTPA and the public transit operators. This program began with the FY2007/08 award. Residual activity for the first, FY 2006/07 award is reported under WE 670. Although carryover funding was available to it, TAMC has chosen to opt out of participating in FY2009-10.

**Project Product(s)**

Two rotating interns.

**Federal Task**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Administer pass through funds for interns that provide transit-planning assistance within an RTPA's or public transit operator's planning or marketing program 100%

**Previous Accomplishments**

Interns assisted with general transit-planning and marketing duties, including collecting socio-economic data, GIS, unmet transit needs, and plan review.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Agency oversight of transit planning interns	7/1/2009	6/30/2011
2	Review development and other planning and environmental documents for consistency with agency plans	7/1/2009	6/30/2011
3	Assist in marketing and promotion efforts, collecting socio-economic data, and maintaining GIS program, as needed	7/1/2009	6/30/2011
4	Assist staff with SSTAC and E/D Advisory Committee meetings, including unmet transit needs assessments	7/1/2009	6/30/2011
5	Assist in production of grant applications		
6	Assist in maintenance of electronic and paper-based resources and guides	7/1/2009	6/30/2011

7	Provide research on a variety of transportation and transit-planning issues	7/1/2009	6/30/2011
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**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Transit planning intern services among MST, SCCRTC and SCMTD	ongoing

**Planning Emphasis Areas**

Project Addresses the Following Planning Emphasis Areas

<u>PEA ID</u>	<u>PEA Name</u>
3	Overall Work Program (priorities for CPG funding)

**Planning Factors**

Project Addresses the Following Planning Factors

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 671 Corridor Studies (TAMC)**

Agency: TAMC Project Manager: Total Budget: \$251,760

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES*		REVENUE*	
Agency	Amount (\$)	Source	Amount (\$)
<b>TAMC</b>		<b>TAMC</b>	
Personnel	251,760	State RPA	40,141
Contractual	0	STIP PP&M	131,094
		Local	80,525
<b>TOTAL</b>	<b>251,760</b>	<b>TOTAL</b>	<b>251,760</b>

\* Includes \$77,526 Personnel for SR 68/CHOMP Intersection Project.

**Project Description**

Promote on-time, on-budget delivery of state highway projects by monitoring Caltrans work, project sponsor work, and/or directing consultant work.

Study and propose improvements to major transportation corridors

Submit requests to Caltrans to prepare Project Study Reports for state highway locations of concern

Focus on constructing safety and operational projects that are deliverable

**Project Product(s)**

State Highway Projects in Monterey County

**Federally Eligible Tasks**

Coordination, development and monitoring of corridor studies and state highway projects in Monterey County

**Previous Accomplishments**

In 2002, TAMC adopted a funding plan for the US 101 Prunedale area improvements that includes construction of safety and operational improvements in that corridor before the bypass, and sets a priority for constructing the following highway projects:

- US 101 Prunedale Area Safety improvements
- Highway 156 widening and interchange improvements at US 101
- US 101 – Airport Blvd. interchange improvements
- A new interchange at Salinas Road – SR1

Funding for the Prunedale Improvement Project, US 101 – Airport Blvd. interchange, and Salinas Road – SR 1 is fully programmed. The environmental process is complete and final design and right-of-way is underway for the Prunedale Improvement Project and US 101- Airport Blvd. interchange. Project development is complete for the Salinas Road- SR 1 interchange and it is ready for bidding upon allocation of programmed Proposition 1B funds by the California Transportation Commission. The Environmental Assessment document for the Highway 156 Widening and US 101/Hwy 156 interchange was published in early 2009. Funding beyond the environmental phase has not been identified.

In 2006, the Agency completed construction of the Highway 68-Ragsdale Drive project, which greatly improved congestion and safety problems at Ryan Ranch, the largest business park on the Monterey Peninsula. In 2008, the agency completed construction of phase 1 of the Highway 68 Community Hospital of Monterey Peninsula intersection project that local jurisdictions on several other important regional projects. In 2007, TAMC and Monterey County completed initial conceptual work on a frontage road project on US 101 interchange. Phase 2 of the Holman highway project is at the end of the environmental document phase, and is expected to move into design in 2009. In 2009, the intersection improvements at Hwy 68 and Las Laureles Grade were completed.

Additionally in 2008, TAMC secured programming of state funds for the US 101/San Juan Road Interchange for two-thirds of the cost. In 2009 the Environmental Assessment document was completed for that project. In 2009-2010 the project will progress into design and right-of-way.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Serve on the following existing project development teams and work closely with Caltrans to fund and deliver the above state highway projects	7/1/2009	6/30/2010
2	Serve on project development teams and work closely with Caltrans and local agencies towards development of proposed interchange improvements along US 101 (Soledad, Gonzales, Greenfield, and King City) and along Highway 1 at Imjin in Marina.	7/1/2009	6/30/2010
3	Work with Caltrans and Monterey County Public Works towards construction of operational improvements on two Highway 68 intersections and to extend the truck-climbing lane on Highway 1 between Carmel Valley Road and Rio Road.	7/1/2009	6/30/2010
4	Work with Caltrans and Monterey County Public Works to develop alternative design and funding options for the Hwy 156 access safety issues in Oak Hills area	7/1/2009	6/30/2010
5	Coordinate with Caltrans and Monterey County on the development of transportation improvements in the Moss Landing area including the intersection at SR 1 and Dolas Road	7/1/2009	6/30/2010
6	Work with Caltrans and City of Salinas on a Project Study Report for improvements to the US 101/Sanborn Rd Interchange	7/1/2009	6/30/2010
7	Work with the City of Monterey on final design of the Hwy 68 Phase 2 (Holman Hwy) widening to 4 lanes	7/1/2009	6/30/2010
8	Coordinate with Caltrans and SCCRTC on the preparation of a Corridor System Management Plan for Highway 1	7/1/2009	6/30/2010

9	Serve as an ex-officio member of the Fort Ord Reuse Authority and assist with the ongoing transportation capital improvement planning on the former military base.	7/1/2009	6/30/2010
10	Work with Caltrans on programmed SHOPP projects	7/1/2009	6/30/2010
11	Consult with Caltrans on need for new Project Study Reports and submit a request for new documents as appropriate.	7/1/2009	6/30/2010
12	Work with jurisdictions to fund and deliver on other regional projects as needed	7/1/2009	6/30/2010

### Task Products

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Construction of Highway 68- San Benacio intersection improvements (County)	6/30/2010
2	Completed Project Development for the Highway 68- Corral de Tierra project	6/30/2010
3	Construction contract for Carmel Hill truck climbing lane extension (County)	6/30/2010
4	Complete Moss Landing Community Plan (County)	6/30/2010
5	Draft Corridor System Management Plan for Highway 1 (Caltrans)	6/30/2010
6	Final design of Highway 68 (Holman Hwy) widening to 4 lanes	6/30/2010
7	Construction contract for the Highway 1/ Salinas Road Interchange project (Caltrans)	6/30/2010
8	Installation of "soft" median barrier on Hwy 68 (Caltrans)	6/30/2010
9	Plan for alternative access safety improvements to Hwy 156 for area residents (Caltrans and County)	6/30/2010
10	Final design and right-of-way for the Prunedale Improvement Project	6/30/2010
11	Final design, right-of-way, and contract award for the US 101/Airport Blvd interchange improvements	6/30/2010
12	Completed Environmental Assessment for the SR 156 widening and 156/101 interchange project	6/30/2010

13 Completed Environmental Assessment for the US 101/ San Juan Road interchange and initiation of final design and right-of-way 6/30/2010

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 680 Rail Planning/Corridor Studies**

**Agency:** AMBAG & TAMC      **Project Manager:** Randy Deshazo, AMBAG  
 Christina Watson, TAMC      **Total Budget:** \$612,082

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>AMBAG</b>		<b>AMBAG</b>	
Personnel	8,359	FHWA PL	8,000
Travel	1,000	FTA 5303	8,000
Indirect	6,641	<b>SCCRTC#</b>	
<b>SCCRTC#</b>		FHWA PL	0
Personnel	0	Local	0
<b>TAMC*</b>		<b>TAMC*</b>	
Personnel	396,082	FHWA PL	0
Contractual	200,000	State RPA	0
Contract Contingency	0	STIP PP&M	100,002
Materials and Service	0	State TCRA	0
Capital Outlay	0	Local	496,080
<b>TOTAL</b>	<b>612,082</b>	<b>TOTAL</b>	<b>612,082</b>
		<b>% Federal</b>	<b>3%</b>

\* TAMC Revenue and Expenditures account for rail program operating, rail leases and property planning and maintenance only. Rail capital improvements and other contractual not included. Latter expenses account for additional \$6.744M: Caltrain extension, \$4.494M; Monterey # SCCRTC personnel expenditures under WEs 682 and 683.

**Project Description**

To conduct feasibility studies, prepare plans and manage implementation of rail passenger service in the Monterey Bay region as well as corridor studies for the region.

**Project Product(s)**

Plans that provide the best return on investment on rail infrastructure in the Monterey Bay region

**Federally Eligible Task - AMBAG**

**Proposed Expenditure of Federal Funding (PL/FTA 5303)**

Assist in the preparation of the alternatives analyses/corridor studies being prepared throughout the region

100%

**Previous Accomplishments**

Federal New Starts application for the extension of commuter rail service to Monterey County  
 Federal authorization to proceed into preliminary engineering

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Continue to participate and provide input on TAMC and SCCRTC led corridor studies, as needed	7/1/2009	6/30/2010
2	Coordinate and incorporate these analyses, studies and coordination efforts into the Blueprint planning efforts and MTP	7/1/2009	12/30/2010
3	Provide support and prepare products for monthly TAMC Rail Policy Committee meetings	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Coordination assistance on meetings, studies, plans	as needed

**Other Eligible Task - TAMC 6801**

Improve at grade crossings on the Coast Main-Line Line E and the Monterey Branch Line

**Previous Accomplishments**

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TAMC has federal funds for grade crossing improvements along the Monterey Branch Line and the Coast Main-Line Line E. In 2006, TAMC worked with the County of Monterey and Union Pacific Railroad to complete upgrades to 17 at-grade rail crossings along the Coast Main-Line E. In 2008, the Agency concluded work on this project and reallocated remaining grade crossing funds to the County Monterey Public Works for the implementation of a grade separated pedestrian/bicycle crossing in Castroville using the remaining federal funds together with other pedestrian and bicycle funds.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Coordinate with the County of Monterey on development and funding of the Castroville bicycle/pedestrian crossing	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Environmental document, design, and funding plan for a pedestrian/bicycle grade separation at the location of the future Castroville Caltrain station	

**Other Eligible Task - TAMC 6803**

Initiate a new commuter rail service to Salinas as an extension of the existing Caltrain service from its current terminus in Gilroy in Santa Clara County.

Support the initiation of the "Coast Daylight Service," a new round trip intercity rail service along the Coast Rail Corridor between San Francisco and Los Angeles

**Previous Accomplishments**

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TAMC is responsible for all activities related to the initiation of rail service in the Gilroy-Salinas corridor as an extension of the existing Caltrain service, in coordination with our partner agencies. TAMC's Rail Policy Committee provides policy direction and input on these activities. In 2008 TAMC acquired rights to the use of a four-step travel forecast model, developed ridership forecasts for the project and an express bus alternative for the federal alternative analysis, and completed a capacity study for the Union-Pacific-owned Coast Main Line track between Gilroy and Salinas. Also in 2008, the Agency contracted with a consultant to perform negotiations with Caltrain regarding an operating agreement and Union Pacific Railroad regarding track access. Agency staff and the Rail Policy Committee chair also participate in the Coast Rail Coordinating Council, which is proposing a new Coast Daylight Service along the Union pacific mainline between San Francisco and Los Angeles.

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Administer monthly Rail Committee meetings	7/1/2009	6/30/2010
2	Prepare federal new starts application and seek approval of new starts funding	7/1/2009	6/30/2010
3	With Federal Transit Administration approval, enter into contract for engineering/design and right-of-way management	7/1/2009	6/30/2010
4	Work with Union Pacific and others to secure track access rights for passenger rail service to and through Monterey County	7/1/2009	6/30/2010
5	Negotiate with Caltrain to finalize an operating agreement	7/1/2009	6/30/2010
6	Secure County and Coastal Commission approval of the local coastal program amendment for the development of the Castroville station	7/1/2009	6/30/2010
7	Begin right-of-way acquisition negotiations for station and layover facility property	7/1/2009	6/30/2010

8 Attend Coast Rail Coordinating Council Meetings and assist in requesting improved service and state funding 7/1/2009 6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Federal new starts application	6/30/2010
2	Federal authorization to proceed into preliminary engineering	6/30/2010
3	State and federal funding applications	6/30/2010
4	Track access agreement with Union Pacific	6/30/2010
5	Caltrain operating agreement	6/30/2010
6	Local costal plan amendment	6/30/2010
7	Consultant agreement for engineering/design and right-of-way management	6/30/2010

**Other Eligible Task - TAMC 6804 and 6805**

Conduct real property management of the Monterey Branch Line Right-of-Way and Transportation Agency property on former Fort Ord  
 Use lease revenues to maintain these fixed assets in preparation for future transportation related uses

Develop the land owned by TAMC on the former Fort Ord into a transit-oriented development in conjunction with the planned train station

**Previous Accomplishments**

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TAMC purchased the Monterey Branch Line in September 2003, and immediately began maximizing the lease revenue from the Branch Line right-of-way. TAMC received the first conveyance of property on former Fort Ord, south of Eighth Street and adjacent to Highway 1, in April 2004 and received the final conveyance (the balloon spur west of the highway) in late 2006. TAMC negotiated an agreement with County Public Works Department for maintenance of the Branch Line and with the City of Monterey for maintenance of TAMC property on former Fort Ord. TAMC has been working with FORA, MST, and the City of Marina on coordinating uses of the City, MST and TAMC property on former Fort Ord. In 2007, all agencies adopted a MOU to work together to develop a transit-oriented development adjacent to the planned rail station. Due to the economic slowdown, and in particular the stagnant housing market, efforts to secure a developer for TAMC's Fort Ord property were put on hold in 2008. The activity will resume once conditions improve such that a viable development project is assured.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Negotiate new leases, renew expired leases and collect rents from lessees	7/1/2009	6/30/2010
2	Maintain upkeep of Branch Line by implementing and managing maintenance agreement with Monterey County Public Works	7/1/2009	6/30/2010
3	Maintain upkeep of Fort Ord property by implementing a maintenance agreement with the City of Monterey.	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	New leases to augment Agency income from the Railroad Right-of-Way	6/30/2010

**Other Eligible Task - TAMC 6806**

Initiate new commuter light rail or bus rapid transit service along the Monterey Branch Line with intercity connections to the San Francisco Bay Area

**Previous Accomplishments**

TAMC is responsible for the implementation of all activities related to the initiation of light rail or bus rapid transit service along the Monterey Branch Line with connections to the San Francisco Bay Area, in coordination with state, regional, and local agencies. TAMC's Rail Policy Committee provided policy direction and input on these activities. In 2004, TAMC began an alternatives analysis to evaluate transportation uses for the Monterey Branch Line purchased in 2003. In 2006, TAMC received \$14.84 million in new state funds for the project through the State Transportation Improvement Program. In 2007, TAMC received \$825,000 of State Public Transportation Account funds for the completion of the federal alternatives analysis. In 2008, TAMC received \$3,375,000 for the completion of the federal and state environmental documents. In 2009, TAMC concluded the Alternatives Analysis phase and selected a preferred alternative. A consultant selection process was conducted and environmental document phases initiated.

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Administer monthly Rail Policy Committee	7/1/2009	6/30/2010
2	Administer consultant contract to prepare the conceptual design, and federal and state environmental review of the Monterey Peninsula branch line fixed guideway service.	7/1/2009	6/30/2010
3	Manage project capital cost, operating costs, and funding plan	7/1/2009	6/30/2010
4	Conduct public meetings and other outreach activities to obtain input and present information on the project and environmental reviews	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	State and federal environmental documents	6/30/2010
2	Conceptual designs	6/30/2010
3	State and federal funding applications	6/30/2010

**Planning Emphasis Areas****Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)

**Planning Factors****Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 682 Rail/Trail Authority (SCCRTC)**

**Agency:** SCCRTC **Project Manager:** Rachel Moriconi **Total Budget:** \$240,000

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES*		REVENUE*	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCCRTC</b>		<b>SCCRTC</b>	
Personnel	120,000	Prop. 116	0
Contractual	40,000	STIP	0
Services & Supplies	80,000	State RPA	0
Contingency	0	Local	240,000
		Fed Earmark	0
		RSTP	0
<b>TOTAL</b>	<b>240,000</b>	<b>TOTAL</b>	<b>240,000</b>

\* Includes staff time, liability insurance, consultants for rail opns management and rail service negotiation, and general contingency.

**Project Description**

This work element involves the Regional Transportation Commission functioning as a Rail/Trail Authority, the lead agency for acquisition of the Santa Cruz Branch Rail Line right-of-way. The possibility of potential bicycle and pedestrian paths using the right-of way adjacent to the rail line is shown within the Bicycle/Pedestrian Planning Work Element.

**Project Product(s)**

Rail/Trail Authority meeting materials

**Other Task (nonfederal)**

Acquisition of Santa Cruz Branch Rail Line right-of-way

**Previous Accomplishments**

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**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Identify the rights of way for construction of future transportation projects, including unused rights of way needed for future transportation corridors and facilities.	7/1/2009	6/30/2010
2	Complete due diligence investigations for acquisition of the Santa Cruz Branch Rail Line including inspections, appraisals, environmental assessments and financial analyses	7/1/2009	6/30/2010
3	Complete acquisition of the Santa Cruz Branch Line	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Final Purchase Agreement with Union Pacific	ongoing

**Other Task (nonfederal)**

Assume responsibilities for ownership of the new property and operation of an active rail line

**Steps to Achieve Task**

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<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Establish contracts and systems to effectively, efficiently and reliably operate the freight service, maintain the rail line and manage the ownership of the property	7/1/2009	6/30/2010
2	Coordinate operation of the Santa Cruz Branch Rail Line, including current and future uses, with operators, shippers, partner agencies and local jurisdictions	7/1/2009	6/30/2010
3	Investigate lease possibilities, update old leases and secure new leases	7/1/2009	6/30/2010
4	Continue to work with the Transportation Agency for Monterey County, the Coast Rail Coordinating Council, Caltrain, AMTRAK and Caltrans Division of Rail to support the establishment of a rail station at the Pajaro station for any new or expanded rail passenger service on the coast mainline	7/1/2009	6/30/2010

**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Contracts with rail service providers, freight customers, consultants for operation and management of property	ongoing
2	Update and new leases	ongoing
3	Due diligence reports	ongoing

**Planning Emphasis Areas****Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)

**Planning Factors****Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.
2	Increase the safety of the transportation system for motorized and non-motorized users
3	Increase the security of the transportation system for motorized and non-motorized users
4	Increase the accessibility and mobility of people and for freight
5	Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
6	Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
7	Promote efficient system management and operation.
8	Emphasize the preservation of the existing transportation system.

**WORK ELEMENT NUMBER 683 Highway Planning and Projects (SCCRTC)**

**Agency:** SCCRTC      **Project Manager:** Rachel Moriconi      **Total Budget:** \$360,881

**ESTIMATED EXPENDITURE AND ANTICIPATED REVENUE: FY 2009/10**

EXPENDITURES		REVENUE	
Agency	Amount (\$)	Source	Amount (\$)
<b>SCCRTC*</b>		<b>SCCRTC*</b>	
Personnel	360,881	FHWA PL	26,838
Other	0	FHWA - Value Pricing	0
Contingencies	0	State RPA	57,543
		RSTP Exchange	270,000
		RSTP	0
		CMAQ	0
		Federal Earmark	0
		STIP	0
		Local	6,500
<b>TOTAL</b>	<b>360,881</b>	<b>TOTAL</b>	<b>360,881</b>
		<b>% Federal</b>	<b>7%</b>

\* Includes SCCRTC staff time.  
Does not include PA/ED and associated contracts for both projects (\$2.122 M).

**Project Description**

SCCRTC is responsible for tasks including the implementation of the Project Approval/ Environmental Documents (PA/ED) for the Highway 1 HOV Lanes project and the Highway 1 Soquel-Morrissey Auxiliary Lanes project, and final design engineering for the Auxiliary Lanes project. Additional work element tasks include close coordination and monitoring of Caltrans work on projects to improve the Highway 1 freeway, the Highway 1/17 Merge Lanes project and other Highway and roadway improvement projects that are not specifically attributed to implementation of the Highway 1 HOV Lanes or Soquel-Morrissey Auxiliary Lanes projects.

**Project Product(s)**

Project Approval/ Environmental Documents (PA/ED) for the Highway 1 HOV Lanes project and the Highway 1 Soquel-Morrissey Auxiliary Lanes project  
 Coordination on highway projects not associated with HOV lanes or auxiliary lanes implementation

**Other Task**

Project Approval/ Environmental Documents (PA/ED) for the Highway 1 HOV Lanes project and the Highway 1 Soquel-Morrissey Auxiliary Lanes project

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Guide the consultant work, in cooperation with the Project Development Team, Caltrans, local, and regional agencies	7/1/2009	6/30/2010
2	Guide the consultant work with the Project Development Team, Caltrans, local, and regional agencies, on the final engineering phase (Plans, Specifications, and Estimates (PS&E))	7/1/2009	6/30/2010
3	Participate in the Project Development Team (PDT) oversight	7/1/2009	6/30/2010
4	Coordinate with Caltrans and the consultant team to meet all funding and project reporting requirements particularly those of the Corridor Mobility Improvement Account (CMIA).	7/1/2009	6/30/2010
5	Coordinate with the Highway 1 Construction Authority on the PA/ED and funding issues	7/1/2009	6/30/2010
6	Implement bilingual public outreach plan	7/1/2009	6/30/2010

7	Coordinate public outreach efforts with other RTC projects and respond to concerns from residents and businesses, and prepare for the beginning of construction of the Auxiliary Lanes project	7/1/2009	6/30/2010
8	Pursue consideration of RTC assuming construction management role for the Highway 1 Soquel-Morrissey Auxiliary Lanes project	7/1/2009	6/30/2010

**Task Products**

<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Public meetings, newsletters, community group presentations and other outreach efforts	ongoing
2	Progress Reports on the status of the projects to the RTC and the Highway 1 Construction Authority	ongoing
3	Highway 1 HOV Lanes project draft environmental documents	12/30/2009
4	Highway 1 HOV Lanes project final environmental documents	2011
5	Highway 1 Soquel-Morrissey Auxiliary Lanes project final environmental document and project report	12/30/2009
6	Final Design and Right-of-Way Certification of the Highway 1 Soquel-Morrissey Auxiliary Lanes project	6/30/2010
7	Environmental review and clearance of pedestrian/bicycle crossings of Highway 1	2011

<b>Federally Eligible Task</b>	<b>Proposed Expenditure of Federal Funding (PL/FTA 5303)</b>
Coordination and monitoring of Caltrans work on projects to improve highway and roadway improvement projects that are not specifically attributed to implementation of the Highway 1 HOV Lanes or Soquel-Morrissey Auxiliary Lanes projects	100%

**Steps to Achieve Task**

<u>Step No</u>	<u>Step Description</u>	<u>Step Started</u>	<u>Step to be Completed</u>
1	Participate in the Project Development Team (PDT) and work closely with Caltrans and the City of Santa Cruz on Highway 1/17 Merge Lanes landscaping project implementation issues	7/1/2009	6/30/2010
2	Participate on the Project Development Teams (PDT) and work closely with Caltrans on State Highway Operation and Protection Program (SHOPP) and other projects on State Highways in Santa Cruz County	7/1/2009	6/30/2010
3	Continue to work with the City of Santa Cruz on the Highway 1/9 Intersection and Highway 1/San Lorenzo River Bridge Widening projects and with the City of Watsonville on the Highway 1/Harkins Slough Road Interchange	7/1/2009	6/30/2010
4	Continue to work with Caltrans, the California Transportation Commission, the Highway 1 Construction Authority and the Project Development Teams to identify and implement ways to secure funding for and expedite Highway improvement projects	7/1/2009	6/30/2010
5	Continue to work with Caltrans and other agencies on developing necessary operational, safety and service improvements on all highways and roadways in Santa Cruz County	7/1/2009	6/30/2010
6	Prepare public information materials related to Highway projects for coordinated outreach efforts	7/1/2009	6/30/2010
7	Assess the operational and physical continuity of transportation system components within and between metropolitan and rural areas, and interconnections to and through regions	7/1/2009	6/30/2010

8	Participate with Caltrans, regional and other local agencies in the creation of a Corridor System Management Plan for the Highway 1 corridor as a requirement of receiving Congestion Management Improvement Account (CMIA) funds for the Highway 1 Soquel-Morrissey Auxiliary Lanes project	7/1/2009	6/30/2010
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**Task Products**

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<u>Product No</u>	<u>Product Description</u>	<u>Project to be Completed</u>
1	Corridor System Management Plan for the Highway 1 Corridor	6/30/2010
2	Factsheets and other public information materials	as needed

**Planning Emphasis Areas**

**Project Addresses the Following Planning Emphasis Areas**

<u>PEA ID</u>	<u>PEA Name</u>
2	Congestion management process (CMP) and management and operations (M&O)
3	Overall Work Program (priorities for CPG funding)
4	State Implementation Plan (SIP) development coordination

**Planning Factors**

**Project Addresses the Following Planning Factors**

<u>PF ID</u>	<u>PF Name</u>
1	Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency.

- 2 Increase the safety of the transportation system for motorized and non-motorized users
- 3 Increase the security of the transportation system for motorized and non-motorized users
- 4 Increase the accessibility and mobility of people and for freight
- 5 Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
- 6 Enhance the integration and connectivity of the transportation system, across and between modes, people and freight.
- 7 Promote efficient system management and operation.
- 8 Emphasize the preservation of the existing transportation system.

Table A Sources of Funds By Work Element: FY 2009/10 (\$)

WE #		Local	FHWA PL	FTA	State RPA	State STIP	USGS	FHWA CMAQ/STP	Other State	Other	Revenue Total	
101	Budget and Work Program Administration	0	122,000	24,000	89,000	8,601 ppm					243,601	
102	TDA Administration	648,500				32,082 ppm					680,582	
112	Transp. Plans Coordination & Liaison	196,600	166,000	25,000	74,000	192,255 ppm					653,855	
113	Public Involvement Program	172,331	164,000	29,000	112,669	59,071 ppm					537,071	
177	Freeway Service Patrol	132,360				0			400,329 fs	83,000 x	615,689	
178	SAFE	68,709							236,000 d	437,200 x	741,909	
179	TDM	0						187,100 cm26	0 ct	117,000 ac	514,100	
								160,000 cm24				
								50,000 cm29				
180	Rideshare Week							0 cm24		0 ad	0	
										0 c		
										0 sb		
										0 sc		
181	Rideshare Rewards Club							33,000 cm24		15,000 ac	48,000	
182	Clean Air Campaign									0 ad	0	
211	Census/Demographics	4,664	26,000	10,000						0 c	40,664	
221	AQ Consistency Determinations									3,000 ad	3,000	
231	Data Collection and Uniformity	82,674	28,000	7,000	10,642	0					128,316	
241	GIS Databases and Support	19,175	96,000	52,000							167,175	
244	Regional Orthophoto Imagery Update								0 pta	0 vac	TBD	
251	Regional Travel Model	9,185	101,000	48,479	0						158,664	
252	Regional Travel Model Transit Enhancements			170,000 stp						22,025 ik	192,025	
341	Blueprint Visioning								120,000 bpp	30,000 ik	150,000	
342	Blueprint Visioning and Outreach								200,000 bpp	50,000 ik	250,000	
411	Clearinghouse	65,971	12,673	6,000	18,000						102,644	
614	Bicycle and Pedestrian Planning	313,176	11,029		37,102	ppm		stp		20,000 a	411,307	
										30,000 ear		
										sh		
										tcsp		
621	Elderly & Disabled and ADA	17,388	39,000	10,000	68,750						135,138	
622	Metro/Regional Transportation Plng	187,240	78,000	25,000	81,898	92,584 ppm		30,200 rstp			494,922	
623	Central Coast Corridor Commercial Flows									258,000 pp	322,500	
										64,500 ik		
										2,591 sb	22,591	
624	SBT County Reg. Transp. Plng		20,000									
626	CMP Conversion/CALM (TAMC)	125,077			1,110	27,432 ppm					153,619	
631	Transp. System Management	12,139			0			0 cm26	0 cej	0 ac	12,139	
								0 cm30	0 awtp			
634	Transit and Livable Communities Planning	59,026				0 ppm					59,026	
635	Greenfield Agricultural Worker Vanpool Program								6,000 awtp	430 ik	6,430	
636	Regional Agricultural Vanpool Study								68,500 awtp	0 ik	68,500	
641	Transp. Improvement Programs	103,590	121,069	13,000	119,146	168,879 ppm			0 tcra		525,684	
642	SBT County TIP Programming		17,953							2,326 sb	20,279	
669	Mtry Bay Transit Plng Rotational Intern FY 2006/07	541		4,178 tpa							4,719	
670	Mtry Bay Transit Plng Rotational Intern FY 2007/08	4,210		32,494 tpa							36,704	
671	Corridor Studies	80,525			40,141	131,094 ppm					251,760	
680	Rail Planning/Corridor Studies	496,080	8,000	8,000	0	100,002 ppm		rsx	0 p42	ear	612,082	
									p116			
682	Rail/Trail Authority	240,000			0	0		0 rstp	0 p116	0 ear	240,000	
683	Hwy 1 Widening Projects	6,500	26,838		57,543	0		0 rstp	270,000 rsx	0 ear	360,881	
								0 cm				
Total		3,045,661	1,037,562	464,151	710,001	812,000	0	0	460,300	1,300,829	1,135,073	8,965,577

Notes: a=AB2766; ab=ABAG CPUC \$; ac= AB2766 c/o; ad= Air District; bpp=Blueprint Planning Program; c= Cash Contribution; cej=CT Env. Justice; cmxx=CMAQ by year; co=carryover; d=DMV revenues; dod=U.S. Dept. of Defense; ear=fed earmark; faa=FAA; fs=Caltrans Freeway Service Patrol; ik=in-kind; p42=Prop. 42 TCRA; p116=Prop.116; pp=FHWA Partnership Planning; ppm=STIP Planning, Programming & Monitoring; sa=Caltrans DOA State Aeronautics; sb=San Benito cash contribution;

Table B Estimated Expenditures by Agency and Work Element: FY 2009/10 (\$)

WE #		AMBAG [1]	TAMC [2]	SCCRTC [3]	MST	SCMTD	SBCOG	S.C. Co.	Contractor	Other [4]	Total
101	Budget and Work Program Administration	64,000	133,601	46,000					0 a/t		243,601
102	TDA Administration		75,082	605,500					0		680,582
112	Transp. Plans Coordination & Liaison	75,000	282,255	100,000					196,600 s/t		653,855
113	Public Involvement Program	53,000	199,071	115,000					170,000 t		537,071
177	Freeway Service Patrol		51,460	50,000					514,229 t/s		615,689
178	SAFE		78,709	77,000					586,200 t/s		741,909
179	TDM	210,000		304,100					0 a		514,100
180	Rideshare Week	0							0 a		0
181	Rideshare Rewards Club	48,000							0 a		48,000
182	Clean Air Campaign	0							0 a		0
211	Census/Demographics	40,664							0 a		40,664
221	AQ Consistency Determinations	3,000									3,000
231	Data Collection and Uniformity	35,000	22,106	16,210					55,000 t/s		128,316
241	GIS Databases and Support	157,175							10,000 a		167,175
244	Regional Orthophoto Imagery Update	0							0 a		TBD
251	Regional Travel Model	115,479	9,185						34,000 a		158,664
252	Regional Travel Model Transit Enhancements	60,000							110,000 a	22,025 ik	192,025
341	Blueprint Visioning	120,000							0 a	30,000 ik	150,000
342	Blueprint Visioning and Outreach	200,000							0 a	50,000 ik	250,000
411	Clearinghouse	11,804	62,840	28,000							102,644
614	Bicycle and Pedestrian Planning		151,793	248,514					11,000 t/s		411,307
621	Elderly & Disabled and ADA	19,000	68,138	48,000							135,138
622	Metro/Regional Transportation Png	85,500	234,722	87,000					87,700 a/s/t		494,922
623	Central Coast Corridor Commercial Flows	78,000							180,000 a	64,500 ik	322,500
624	Sbt County Reg. Transp. Png	22,591							0 a		22,591
626	CMP Conversion/ CALM (TAMC)		150,619						3,000 t		153,619
631	Transp. System Management			12,139				0 (Watsonville)			12,139
634	Transit and Livable Communities Planning		59,026								59,026
635	Greenfield Agricultural Worker Vanpool Program	6,000								430 ik	6,430
636	Regional Agricultural Vanpool Study	68,500									68,500
641	Transp. Improvement Programs	47,000	193,006	195,678					90,000 t/s		525,684
642	SBI County TIP Programming	20,279									20,279
669	Mtry Bay Transit Png Rotational Intern FY 2006/07				4,719	0					4,719
670	Mtry Bay Transit Png Rotational Intern FY 2007/08			8,465	14,120	14,120					36,704
671	Corridor Studies		251,760						0 t		251,760
680	Rail Planning/Corridor Studies	16,000	396,082	0					200,000 t		612,082
682	Rail/Trail Authority			200,000					40,000 s		240,000
683	Hwy 1 Widening Projects			360,881							360,881
Total		1,555,992	2,419,455	2,502,487	18,839	14,120	0	0	2,287,729	166,955	8,965,577

Notes: [1] Includes: expenditure for San Benito County - 1) WE 624 (\$22,591) and 2) WE 642 (\$20,279); Commute Alternatives (\$210,000); and \$TBD of Clean Air Campaign/Rideshare Week Promo  
 [2] Includes: SAFE/FSP personnel (\$105,581), not contractual  
 [3] Includes: Commute Solutions operations (\$294,900) and reserves (\$9,200), and SAFE/FSP budget (personnel) = \$124,500; service/supplies (\$557,535) and reserves (\$2,500)  
 [4] Other = In-Kind Services  
 Contractor Incurred Expenditures: a=AMBAG; s=SCCRTC; t=TAMC

Table C FTA Funds by Agency and Work Element: FY 2009/10 (\$)

	AMBAG	MST	SCMTD	SBICOG	SCCRTC	TAMC	TOTAL
<b>I. FTA Sec. 5303 - FY 2008/09 and carryover</b>							
101 - Budget, Work Program & Administration	24,000						24,000
112 - Plans Coordination & Interagency Liaison	25,000						25,000
113 - Public Involvement Program	29,000						29,000
211 - Census/Demographics	10,000						10,000
231 - Data Collection, Uniformity & Access	7,000						7,000
241 - GIS Databases, Capabilities and Support	52,000						52,000
251 - Regional Model & Support	48,479						48,479
411 - Regional Clearinghouse	6,000						6,000
621 - E&D/ADA	10,000						10,000
622 - Metropolitan Transportation Planning	25,000						25,000
641 - TIP	13,000						13,000
680 - Corridor Studies	8,000						8,000
<b>Subtotal 5303</b>	<b>257,479</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>257,479</b>
<b>Total I. All 5303</b>	<b>257,479</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>257,479</b>
<b>II. FTA Discretionary Planning &amp; Research Grants (Sect. 5304)</b>							
252 - Regional Travel Model Transit Enhancements 08/09	170,000						170,000
669 - Monterey Bay Transit Planning Rotational Intern 06/07		4,178	0				4,178
670 - Monterey Bay Transit Planning Rotational Intern 07/08		12,500	12,500		7,494		32,494
<b>Total II. 5304</b>	<b>170,000</b>	<b>16,678</b>	<b>12,500</b>	<b>0</b>	<b>7,494</b>	<b>0</b>	<b>206,672</b>
<b>TOTAL</b>	<b>427,479</b>	<b>16,678</b>	<b>12,500</b>	<b>0</b>	<b>7,494</b>	<b>0</b>	<b>464,151</b>

Table D FHWA, State RPA, and State STIP PP&M Funds by Agency and Work Element: FY 2009/10 (\$)											Amendment No.	
WE #		AMBAG	FHWA (PL) Funds			State Rural Planning Assistance			State STIP PP&M Funds			Grand Total
			TAMC	SCCRTC	Total	TAMC	SCCRTC	Total	TAMC	SCCRTC	Total	
101	Budget and Work Program Adm	40,000	50,000	32,000	122,000	75,000	14,000	89,000	8,601		8,601	219,601
102	TDA Administration				0			0	32,082		32,082	32,082
112	Plans Coordination & Liaison	50,000	70,000	46,000	166,000	20,000	54,000	74,000	192,255		192,255	432,255
113	Public Involvement Program	24,000	60,000	80,000	164,000	80,000	32,669	112,669	59,071		59,071	335,740
211	Census/Demographics	26,000			26,000			0			0	26,000
231	Data Collection and Uniformity	28,000		0	28,000	0	10,642	10,642	0		0	38,642
241	GIS Databases and Support	96,000			96,000			0			0	96,000
251	Regional Travel Model	101,000			101,000	0		0			0	101,000
411	Clearinghouse	5,804		6,869	12,673		18,000	18,000			0	30,673
614	Bicycle and Pedestrian Planning			11,029	11,029		37,102	37,102			0	48,131
621	Elderly & Disabled and ADA	9,000	5,000	25,000	39,000	48,750	20,000	68,750			0	107,750
622	Regional Transportation Plng	78,000	0	0	78,000	40,000	41,898	81,898	92,584		92,584	252,482
624	SBt County Reg. Transp. Plng	20,000 sb			20,000			0			0	20,000
626	CMP Conversion/CALM				0	1,110		1,110	27,432		27,432	28,542
631	TSM	0			0		0	0			0	0
634	Transit Plng/Livable Comms.				0			0	0		0	0
641	Transp. Improvement Programs	34,000	84,127	2,942	121,069	90,000	29,146	119,146	18,879	150,000	168,879	409,094
642	SBt County TIP Programming	17,953 sb			17,953			0			0	17,953
671	Corridor Studies				0	40,141		40,141	131,094		131,094	171,235
680	Rail Planning/Corridor Studies	8,000	0	0	8,000	0		0	100,002		100,002	108,002
682	SCCRTC Rail Trail Authority				0		0	0			0	0
683	Hwy 1 Widening Projects			26,838	26,838		57,543	57,543			0	84,381
	Total	537,757	269,127	230,678	1,037,562	395,001	315,000	710,001	662,000	150,000	812,000	2,559,563

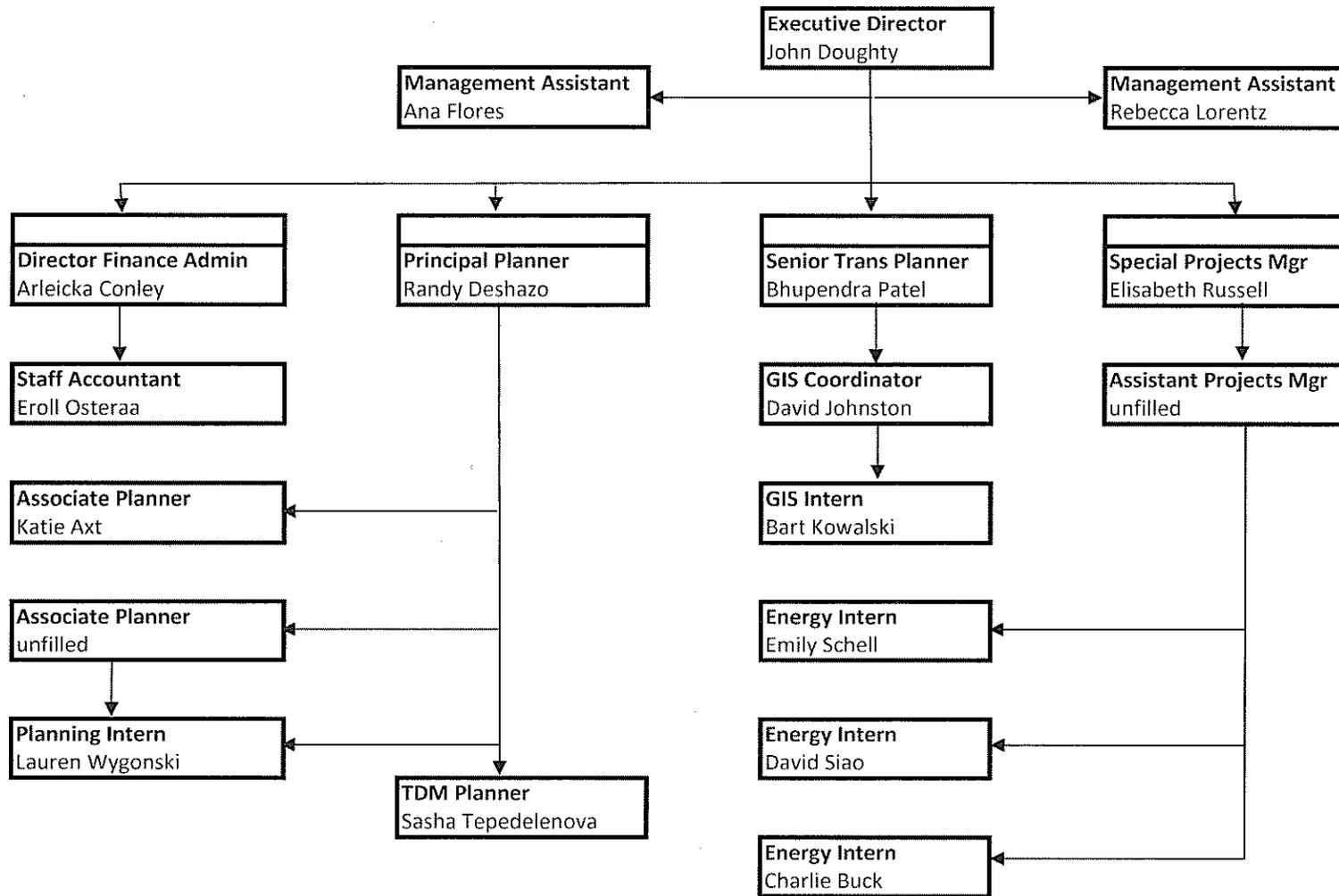
Notes: sb = AMBAG transportation planning for San Benito County

FHWA PL: From 6/30/08 Deobligation (estimate)	189,629
From \$1,037,561 09/10 Est Alloc. Rev	847,933
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FHWA PL Total (\$)	1,037,562

Appendix A

# AMBAG Organization Chart - FY 2009-2010

Date: 5/13/2009



**Appendix B**

**Information Element**

In accordance with the requirements of 23 CFR 450.314, the following is a list of planning activities for which transit agencies, cities, the County, and Caltrans are responsible within the MPO metropolitan planning area.

AGENCY NAME OR JURISDICTION: CALTRANS

Activity Description	MPO Work Element Number (if applicable)	Funding Type	Activities/Product(s)	Work Performed by	Due Date	FSTIP Programming required? (Y/N)	SIP Related Activity (Y/N)	Comments
Regional Planning		TDA Oversight	Attend Unmet Needs Hearings – Technical Assistance	Caltrans	7/1/2009 – 6/30/2010	N	N	
Regional Planning	WE 252, 633, 674, 679	FTA 5303, 5304, 5307, 5310, 5311, 5316 (JARC) 5317 (New Freedom)	Transit Planning – Technical Assistance – Contract Administration – Meetings	Caltrans	7/1/2009 – 6/30/2010	N	N	Transit Modeling, Planning and Specific Studies
Regional Planning	WE 622, 624, 641, 642	State/Federal	MTP, RTP, RTIP, CTP, TIPs, Technical Assistance – Meetings, TAC, Hearings, Committees	Caltrans	7/1/2009 – 6/30/2010	Y	N	
Regional Planning	WE 680, 682	State/Federal	Rail Planning – Technical Assistance – Meetings	Caltrans	7/1/2009 – 6/30/2010	N	N	Monterey Branch Line, Santa Cruz Branch Line
Regional Planning	WE 615	State/Federal	Trail Planning – Technical Assistance – Meetings	Caltrans	7/1/2009 – 6/30/2010	Y	Y	Monterey Bay Sanctuary Scenic Trail Project, Santa Cruz Rail/Trail

*Association of Monterey Bay Area Government  
Overall Work Program FY 2009-2010  
May 13, 2009*

Activity Description	MPO Work Element Number (if applicable)	Funding Type	Activities/Product(s)	Work Performed by	Due Date	FSTIP Programming required? (Y/N)	SIP Related Activity (Y/N)	Comments
Regional Planning	All	State/Federal	Committees, Meetings – Coordination	Caltrans	7/1/2009 – 6/30/2010	N	N	
Regional Planning	WE 623, 670, 678, 252	State/Federal	Planning Grant Applications and Monitoring FTA 5304/Partnership Planning	Caltrans	7/1/2009 – 6/30/2010	Y	N	Rotational Intern Prog., Commercial Flows Study, TP&D, Transit Modeling
Regional Planning	WE 341	State	Partnership Regional Blueprint Planning	Caltrans	7/1/2009 – 6/30/2010	Y	Y	Blueprint Visioning
Advance Planning/ Systems Planning	WE 112, 622	State/Federal	ITS – Technical Assistance – Meetings – ITMS, CTIS,	Caltrans	Ongoing	N	Y	
Advance Planning/ Systems Planning	WE 671, 680	State/Federal	Corridor Studies, CSMPs, TCRs, TPs, ITSP, PSRs, PSSRs,	Caltrans	Ongoing	Y	Possibly	
Travel Forecasting & Modeling, IGR-Development Review, Programming	WE 251, 252, 411	State/Federal	Regional Model Assistance; IGR-Dev. Review, Programming, ITIP, SHOPP, Minor A & B Projects, CTIPS	Caltrans	7/1/2009 – 6/30/2010	N	N	

**AMBAG**

ASSOCIATION OF MONTEREY BAY AREA GOVERNMENTS

June 9, 2005

Ms. Sharon Scherzinger  
Chief, Office of Regional & Interagency Planning  
California Department of Transportation  
Division of Transportation Planning, MS 32  
P.O. Box 942874  
Sacramento, CA 94274-0001

Attn: Garth Hopkins

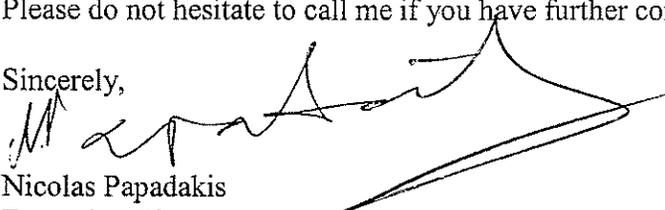
Dear Ms. Scherzinger:

Enclosed, please find two signed copies of the May 31, 2005 version of the Memorandum of Understanding (MOU) between Caltrans and the Association of Monterey Bay Area Governments (AMBAG). The AMBAG Board of Directors approved signing the MOU at its meeting of June 8, 2005. In doing so, they requested that I reaffirm the statutory responsibilities of the region's Regional Transportation Planning Agencies and the MPO in transmitting this MOU to you.

As such, in the Monterey Bay region, statutory responsibilities accorded to transportation planning agencies within this executed MOU remain the responsibility of the RTPAs, working in partnership with Caltrans and the MPO. In combination with these RTPA statutory responsibilities, Caltrans and the MPO will continue to share and collaborate with the RTPAs on the draft SHOPP, project cost information, environmental streamlining efforts and other collaborative transportation planning efforts.

Please do not hesitate to call me if you have further concerns.

Sincerely,

  
Nicolas Papadakis  
Executive Director

NP:ku

Cc: Rich Krumholz, Caltrans District 5  
Wade Hobbs, FHWA CA Division  
Ray Sukys, FTA Region 9  
Tom Quigley, SBCOG  
Pat Dellin, SCCRTC  
Bill Reichmuth, TAMC

# MEMORANDUM OF UNDERSTANDING

## Comprehensive Federal Transportation Planning

This Memorandum of Understanding (MOU), entered into and effective this \_\_\_\_\_ day of \_\_\_\_\_, 2005, by and between the State of California acting through its Department of Transportation, hereinafter referred to as Department, and Association of Monterey Bay Area Governments, acting as the federally designated Metropolitan Planning Organization, herein referred to as MPO, of the urbanized counties of Monterey and Santa Cruz. The boundary of the MPO also includes the county of San Benito. Title 23 of the United States Code (USC) section 134 (23 USC 134) and 23 Code of Federal Regulations (CFR) 450.104 (23 CFR 450.104) establishes a general transportation planning and programming process codifying the responsibilities of the MPO and the Department. For these above referenced counties, MPO and Caltrans also work in partnership with the regional transportation planning agencies statutorily designated under California Government Code Sections 29532.1 (e) and 67930 et. seq., 29532 (b), and 29532.1(f) – the Transportation Agency for Monterey County, the Council of San Benito County Governments and the Santa Cruz Regional Transportation Commission respectively.

### CHAPTER 1: RECITALS

- 1.1 **Basis for Organization** - MPO is a joint powers agency formed pursuant to California Government Code sections 6500 et seq.
- 1.2 **Ability to Contract and Receive Grants** - MPO is empowered to make and enter into contracts in its own name and to accept grants, gifts, donations and other monies to carry out its statutory purposes and functions.
- 1.3 **State Requirement for Transportation Plan** - As appropriate, MPO shall prepare, adopt and submit a Metropolitan Transportation Plan (MTP) in accordance with schedule specified in California Government Code sections 65080 et seq. and the California Transportation Commission's (CTC) Regional Transportation Planning Guidelines. The three RTPAs also prepare their own RTPs in accordance to the Regional Transportation Planning Guidelines.
- 1.4 **Federal Requirement for Long-Range Transportation Plans** - 23 USC 134 and 49 USC App:1607, as amended by the Transportation Equity Act for the Twenty-first Century (TEA-21) and its successors, require that as a condition of receipt of federal capital or operating assistance, each urbanized area must have a continuing, comprehensive, coordinated transportation planning process, including a Long Range Transportation Plan, of which the MPO MTP is the equivalent. As part of the process, MPO shall fulfill the requirements of the joint Federal Highway (FHWA)/Federal Transit Administration (FTA) planning regulations (23 CFR Part 450 and 49 CFR Part 613) and any amendments thereto when developing the financially constrained Long Range Transportation Plan that conforms to the State Implementation Plan (SIP).
- 1.5 **Federal Requirement for the Federal Transportation Improvement Program (FTIP) and Federal State Transportation Improvement Program (FSTIP)** - 23 USC 134 and 49 USC 5304 require the preparation, adoption and the biennial update of at least a three-year FTIP. The FTIP shall be financially constrained, shall be consistent with the adopted MTP, and shall conform to the SIP.

- 1.6 **Federal Clearinghouse Requirements** - Presidential Executive Order 12372, entitled Intergovernmental Review of Federal Programs, requires that federal agencies coordinate the review of proposed federal financial assistance and direct development activities, including transportation programs and projects funded under TEA-21, with affected State and local government entities. In California, the Governor's Office of Planning and Research (OPR) and designated Area-wide Clearinghouses are the entities responsible for implementation of Executive Order 12372.

The Department fulfills this reporting requirement for the Federal State Transportation Improvement Program (FSTIP) and for the Consolidated Planning Grant (CPG). The CPG consists of funds flowing from the FHWA Metropolitan Planning (PL) source, the FTA Metropolitan Planning (Section 5303) source, FHWA State Planning and Research - Partnership Planning Element source, and FTA Statewide Planning and Research source (Section 5313[b]).

1.7 **Planning Area Boundaries**

- a) Federal Metropolitan Planning Area Boundaries - For the purposes of meeting the requirements of 23 USC 134 and the agreement between the Governor and MPO, the Metropolitan Planning Area Boundaries include the Counties of Monterey, Santa Cruz, and San Benito as shown on Exhibit A.

## CHAPTER 2: THE PLANNING AND COORDINATION PROCESS

- 2.1 **Provision for the Planning and Programming Process** - MPO is recognized as the agency responsible for comprehensive regional transportation planning pursuant to Federal law, as amended by the most current federal transportation authorization bill, for each MPO County and each incorporated city included in each County. This responsibility shall include, on a regional basis: providing a forum for regional transportation issues, developing and adopting goals and objectives, performing intermodal corridor and sub-area studies, developing and maintaining appropriate management information systems as required by the most current federal transportation authorization bill, providing policy guidance, allocating State and Federal transportation funds in accordance with applicable regulations and laws, assuring prioritization of proposed transportation improvements to be funded with State and Federal funds as required by applicable regulations, determining air quality conformity with the applicable SIP, complying with the California Environmental Quality Act (CEQA), and coordinating the MTP with other plans and programs as appropriate. The parties hereby express their joint intent to mutually carry out the above described transportation planning process for this MPO transportation planning area in a manner which will assure full compliance with the laws referred to in Chapter 1 of this MOU, the RTP Guidelines as appropriate, and the planning constraints of the United States Department of Transportation (USDOT).
- 2.2 **Cooperation and Coordination** - The planning and programming process employed by MPO will provide for the cooperation of, and coordination with, public transit and paratransit operators, public airport operators, local public works and planning departments, air pollution control districts, passenger and freight rail operators, other federal agencies, as appropriate, and the Department. MPO will provide the level of coordination and cooperation necessary to meet state and federal transportation and air quality laws and regulations. MPO will coordinate with Department's District, Regional Transportation Planning Agencies (RTPA's), MPO's Air Pollution Control Districts, and the other affected air basin MPOs to develop consistency in travel demand modeling, transportation air emission modeling, air quality conformity determinations on the MTP and FTIP, transportation control measures, Overall Work Programs (OWP), other interregional issues related to the development of plans, and will, by entering

into such agreements and operating procedures with other MPOs as is necessary and appropriate, implement this cooperative provision.

- 2.3 **Consultation with Native American Tribal Governments** - In accordance with Titles 23 and 49 USC, MPO shall consult with all federally recognized Native American Tribal Governments within or contiguous to MPO in the development of State and Federal transportation plans, programs, and projects, and related studies and environmental assessments.
- 2.4 **Formal Public Participation** – In accordance with 23 CFR 450.212 and 23 CFR 450.316(b), the MPO planning process shall be conducted in an open manner so members of the public, civic groups, interest groups, businesses and industries, and other agencies can fully participate. Public participation procedures shall be documented, periodically revised, and their effectiveness regularly evaluated. MPO (or the RTPA's) shall take appropriate actions to ensure public participation through such formal means as:
- (a) posting of public hearing agendas, (b) appointment of eligible citizen members, where appropriate and allowed, to serve as committee members, (c) innovative and creative outreach efforts targeting particularly the traditionally underserved public (e.g., minorities, senior citizens, and low income citizens), and (d) creation of standing advisory committees. Those committees not composed entirely of citizen members shall post public hearing agendas in accordance with the Brown Act (California Government Code section 54950), when applicable, and all committees shall operate according to their adopted bylaws.
- 2.5 **State Cooperation in Transportation Planning** - Department has a continuing duty of:
- (a) planning transportation systems of statewide significance; (b) identifying potential transportation issues and concerns of overriding statewide interest; and (c) recognizing conflicts in regional transportation improvement programs.
- In carrying out its duties, Department will work in partnership with MPO relative to activities within its transportation planning area and include MPO in its dealings with cities, counties, public transit operators, rail operators, and airports. MPO and Department will mutually carry out the transportation planning process for this transportation planning area in a manner which will assure full compliance with the laws referenced in Chapter 1 hereinabove and assure cooperation between all participants.
- 2.6 **Policy Level Involvement** – Within the MPO area, each of the three RTPA partner agencies have established Transportation Policy Committees, which consist of members of the Governing Board of Directors, members of the RTPA and/or MPO, and the Department's – Director, or their designated representatives and alternates.

### **CHAPTER 3: PARTNERSHIP/COORDINATION**

- 3.1 **MPO Role and Responsibilities** – MPO, in cooperation with Department, the designated air pollution control agency, and public transportation service providers, will be responsible for carrying out the metropolitan transportation planning process. MPO will cooperatively develop plans and programs in accordance with the requirements specified in 23 USC 134 and 135, 23 CFR 450.100 through 600, Title 49 USC, and the Clean Air Act and all Clean Air Act Amendments. In nonattainment or maintenance areas, MPO will establish a consultation procedure and will coordinate the development of the MTP and

improvement programs with the SIP development process, including the development of transportation control measures.

- 3.2 **MPO Role in the Federal Requirement for Long-range Transportation Plans** - MPO will prepare, adopt and triennially update a long-range transportation plan of which the MPO's MTP is the equivalent. This function will be carried out by MPO in cooperation with the Air Pollution Control District, Department, local government agencies, public transit owners and operators, and Native American Tribal Governments in the region. MPO shall fulfill the requirements of the joint FHWA/FTA planning regulations (23 CFR 250 and 49 CFR 613) and any amendments thereto.
- 3.3 **MPO Role in the State Requirement for Transportation Plan** – As appropriate, MPO will prepare, adopt, and submit an MTP in accordance with the schedule specified in California Government Code section 65080 (3) (d). The three RTPAs prepare Regional Transportation Plans (RTPs).
- 3.4 **MPO Role in Federal Transportation Improvement Program (FTIP) Development** – The MPO shall prepare, adopt, and biennially update at least a three-year FTIP in accordance with the requirements of 23 CFR 450.300 et al. This function will be carried out by MPO in cooperation with the RTPA's, Air Pollution Control District, Department, local government agencies, public transit owners and operators in MPO's County, Native American Tribal Governments in the region, and with the participation of the public.
- 3.5 **Department Role in Federal Transportation Improvement Program (FTIP) Development** - In accordance with the provisions in 23 CFR 450.216, 23 CFR 450.324, and in consultation with the MPO, Department will provide estimates of available Federal and State funds which MPO can utilize in developing the FTIP.
- 3.6 **Department Role in Federal Statewide Transportation Improvement Program (FSTIP) Development** – Department shall prepare and biennially update at least a three-year FSTIP in accordance with the requirements of 23 CFR 450.200 et al. The FSTIP shall include all MPO FTIPs along with a rural non-MPO FTIP developed by the Department. The FSTIP will be financially constrained by year according to the appropriate Code of Federal Regulations. The biennially prepared FSTIP will be submitted to the FHWA and FTA for joint approval.
- 3.7 **MPO Role in Federal Clearinghouse Requirements** - MPO has been designated by the State and OPR as the Area-wide Clearinghouse for its constituent counties to review all transportation projects in accordance with procedures developed by the OPR.
- 3.8 **Department and MPO Roles in Coordination of System Planning** - In conducting its Transportation System Planning Program, Department will coordinate its studies with those being conducted by MPO. As one means of coordinating transportation planning activities, Department will provide information on its system planning activities for MPO's use in development of the OWP, MTP, and FTIP, including required traffic data.
- 3.9 **MPO's Role in the Overall Work Program (OWP)** - MPO will develop, adopt, and submit to FHWA/FTA and Department (the "funding agencies"), an annual OWP intended to provide guidance in the management of work by MPO to develop and implement the State and Federal transportation plans and programs and act as the basis for the MPO budget. The draft OWP, and any amendments thereto, will be subject to review and approval by the funding agencies. As a basis for review of amendment

requests, MPO will submit reasons for changes, scope of work revisions, and funding enhancements or reductions. MPO will require the same information from its sub-grantees, if any.

- 3.10 **Department and MPO's Role in the State Highway Operations and Protection Program (SHOPP)-** Under California Government Code section 14526.5, Department is required to prepare a SHOPP for the expenditure of transportation funds for major capital improvements which are necessary to preserve and protect the state highway system. Projects are limited to capital improvements relative to maintenance, safety, operations, and rehabilitation of state highways and bridges which do not add new capacity to the system. The program must be submitted no later than January 31 of each even numbered year. SHOPP is a four-year program of projects adopted separately from the State Transportation Improvement Program (STIP) cycle. Prior to submitting the SHOPP, Department will make available to MPO a draft for review and comment.
- 3.11 **Public Transportation Providers' Role in the OWP** - MPO will provide the publicly owned transportation service providers timely notice of plans, programs, and studies and the full opportunity to participate in and comment on OWP development and implementation. Transportation planning activities conducted by public transportation providers may be included in the OWP for informational purposes.
- 3.12 **Public Transportation Providers' Role in MTP and TIP** - MPO will give public transportation service providers the opportunity to propose priority order for projects to be listed in a financially constrained TIP and to actively participate in the development of the RTP and FTIP.
- 3.13 **Data Collection** – Department and MPO will share responsibility for fulfilling the data requirements of 23 CFR 420.105(b). Department will be responsible for data on State Highways and MPO will be responsible for data on local streets and roads of regional significance, as appropriate.
- 3.14 **Project Cost Estimates** – For Department sponsored programs and projects, Department will collect and analyze data to be used in evaluating alternative transportation projects. For these projects, Department will supply MPO with project level cost and other data necessary for MPO to demonstrate in its financial plans that the entire system will be adequately maintained and operated. FHWA guidance for project cost estimates can be found at <http://www.fhwa.dot.gov>. MPO and local project sponsors will collect and analyze data reflecting existing and historical information which will be the basis for local project estimates cost and revenue projections for transportation planning, programs, and projects.

#### **CHAPTER 4: AIR QUALITY AND CONFORMITY**

- 4.1 **Conformity** - In accordance with Title 42, section 176(c) (4)(C) of the Clean Air Act and the final rule on U.S. EPA Transportation Conformity (40 CFR, Parts 51 and 93, hereafter "the Final Rule"), and the U.S. DOT Planning Regulations (23 CFR 450.310), MPO, in cooperation with MPO's Air Pollution Control District, will make air quality conformity determinations. Department will fully participate and carry out its responsibilities as defined in the Final Rule, including public and interagency consultation, making project level conformity analysis for Department sponsored projects, and facilitating statewide discussion of SIP revisions and other conformity related issues. MPO will collect and analyze data necessary to carry out its responsibilities under the Final Rule. The Air Quality Conformity determination will be made in accordance with the rules of MPO's Air Pollution Control District and consistent with the MOU among air basin MPOs and/or RTPAs where applicable, and MPOs Air Pollution Control District.

## CHAPTER 5: NATIONAL ENVIRONMENTAL PROTECTION AND STREAMLINING COORDINATION

- 5.1 **Environmental Protection and Streamlining** – MPO will be an equal partner with Department to promote environmental stewardship in planning and programming projects for California's transportation systems. MPO and Department will work to streamline the environmental review and wetlands permitting process and expedite the development of transportation projects. MPO and Department agree to comply with all applicable environmental laws, regulations and policies, and cooperatively address any informational needs associated with such statutes. MPO will consult with Federal and State resource agencies to seek their input, coordinate environmental protection issues with its constituents and any other entities for which it has assumed federal planning and programming responsibilities in the most current transportation authorization bill, and resolve any disputes using the processes defined in the most current federal regulations. Department will assist MPO in developing its plans and programs by making available existing resources to MPO, participating in appropriate planning activities and, wherever possible, improving the available environmental data.

## CHAPTER 6: CERTIFICATION

- 6.1 **Certification Requirement** - Federal Metropolitan Transportation Planning Regulations require that MPO, undergo certification that the planning process is being carried out in conformance with all applicable requirements of 23 CFR 450.334.
- 6.2 **Certification Process** - For purposes of certification, MPO will establish a process which includes the following:
- a) Fully executed copies of both FHWA Certifications and Assurances and FTA Certifications and Assurances are to be included as part of the final adopted and approved OWP.
  - b) MPO will provide Department with documentation (e.g. quarterly reports, public notices, finished work element products, etc.) to support MPO's planning process.

## CHAPTER 7: GENERAL PROVISIONS

- 7.1 **Review** - This MOU has been reviewed and endorsed by both parties to assure its continued effectiveness. Any proposed amendments shall be submitted in writing for the consideration of both parties.
- 7.2 **Amendment** - This MOU constitutes an expression of desire and a means of accomplishing the general requirements for a comprehensive transportation planning process for the MPO. It may be modified, altered, revised or expanded as deemed appropriate to that end by written agreement of both parties.
- 7.3 **Rescission of Prior Agreements** - This MOU supersedes any existing MOU designed to serve as a statement of the transportation planning relationship between Department and MPO.

- 7.4 **Monitoring** - MPO and Department jointly agree to meet periodically to address and review issues of consistency with this MOU. Meetings will be held as often as is mutually agreed. Other issues and activities of mutual interest or concern may also be addressed. During the term of the MOU, MPO and Department mutually agree to notify the other of events which have a significant impact upon the MOU.
- 7.5 **Termination** - Either party may terminate this understanding upon written notice provided at least ninety (90) days prior to the effective date of termination and specifying that effective date of termination.

IN WITNESS WHEREOF the parties hereto have cause this Memorandum of Understanding to be executed by their respective officers duly authorized.

Handwritten signature of Ralph Rubio in cursive, followed by the date 6/8/05.

\_\_\_\_\_  
RALPH RUBIO, Chairperson  
Association of Monterey Bay Area Governments

\_\_\_\_\_  
Date

\_\_\_\_\_  
WILL KEMPTON, Director  
Department of Transportation

\_\_\_\_\_  
Date

### Memorandum of Understanding

This Memorandum of Understanding (MOU) is entered into by the Association of Monterey Bay Area Governments, hereinafter referred to as the Metropolitan Planning Organization (**MPO**); the District 5 Director of the California Department of Transportation (**Caltrans**); the Santa Cruz County Regional Transportation Commission and Transportation Agency for Monterey County, hereinafter referred to as the Regional Transportation Planning Agencies (**RTPAs**); and the Monterey-Salinas Transit and Santa Cruz Metropolitan Transit District, hereinafter referred to as the Urbanized Public Transit Operators (**UPTOs**) which are recognized under the following provisions:

- (a) the Metropolitan Planning Organization (**MPO**) as recognized under Section 134 of the Transportation Equity Act for the 21<sup>st</sup> Century (TEA-21), Title 23 of the United States Code (23 USC 134), and Section 450.110 of the Code of Federal Regulations (23 CFR 450.104);
- (b) the Regional Transportation Planning Agencies (**RTPAs**) as recognized under Title 3, Division 3, Chapter 2, Article 11, Section 29532 and 29532.1 (amended by Chapter 1172 Statutes of 1992, AB3799 & SB869 and amended by Chapter 472, Statutes of 2001, SB 465) of the California Government Code.
- (c) the Urbanized Public Transit Operators (**UPTOs**) as recognized under Section 450.312(a) of the Code of Federal Regulations (FHWA 23 CFR 450 and FTA 49 CFR 613).

Per Chapter 622 of Statutes, Regular Session 1997, (Senate Bill 45), separate MOUs have been developed between **Caltrans** and the **RTPAs** for Planning, Programming and Project Delivery of Regional Improvement Program (RIP) projects and are made a part of this MOU by reference.

It is the purpose of this agreement to identify and define the process by which the **MPO**, **RTPAs**, **UPTOs**, and **Caltrans** intend to implement the following requirements of State and Federal law.

This MOU supersedes the previous MOU, signed on September 1987, and is intended to serve as a statement of the transportation planning and programming relationship among the undersigned parties.

This document establishes no obligation, nor contractual duty, on any party, nor does it contain any exchange of promises.

**Chapter 1.**  
**Basis for Organization and Boundaries**

**1.1 Basis for Organization:**

The Association of Monterey Bay Area Governments (AMBAG), acting as the Metropolitan Planning Organization (MPO) for Monterey and Santa Cruz Counties, is a Joint Powers Agency pursuant to Title I, Division 7, Chapter 5 of the California Government Code, Section 6500 et. seq.

Pursuant to California Government Code, Section 29532, the Santa Cruz County Regional Transportation Commission (SCCRTC) and Transportation Agency for Monterey County (TAMC) are the designated Regional Transportation Planning Agencies for Santa Cruz and Monterey Counties.

Pursuant to California Government Code Section 6500 et. seq., Monterey-Salinas Transit (MST) is a Joint Powers Agency. Pursuant to Public Utilities Code Section 98000 et seq., the Santa Cruz Metropolitan Transit District (SCMTD) is a special district. These designated agencies responsibilities are to provide public transportation in Monterey and Santa Cruz Counties.

**1.2 Ability to Contract and Receive Grants:**

Under the terms of its Joint Powers Agreement, **MPO** is empowered to make and enter into contracts in its own name and to accept grants, gifts, donations and other monies to carry out its purposes and functions set forth in Article I, Section 2 of its Joint Powers Agreement.

**RTPAs** are authorized under California Government Code, Section 29532 to make and enter into contracts in their own name and to accept grants, gifts, donations and other monies to carry out their purposes and functions.

**UPTOs** are authorized under California Government Code Section 6500 for Monterey-Salinas Transit and Public Utilities Code Sections 98220 and 98223 for the Santa Cruz Metropolitan Transit District to make and enter into contracts in their own name and to accept grants, gifts, donations and other monies to carry out their purpose and functions.

**1.3 Federal Metropolitan Planning Area Boundaries:**

By agreement between the Governor and **MPO**, the Metropolitan Planning Area boundaries for purposes of 23 U.S.C. Section 134 are as delineated in Attachment A.

In order to meet TEA-21 requirements related to MPO boundaries, planning, and programming between the County of San Benito and MPO, an MOU between Caltrans, the Council of San Benito County Governments and AMBAG has been signed and is made a part of this MOU by reference.

**1.4 State Regional Transportation Planning Area Boundaries:**

For purposes of meeting the requirements of California Government Code 65080, the boundaries of **RTPAs** acting as the Regional Transportation Planning Agencies include the Counties of Monterey and Santa Cruz as delineated in Attachment A.

**1.5 Federal Clearinghouse Requirement:**

Presidential Executive Order 12372, entitled "Intergovernmental Review of Federal Programs", requires **MPO** to coordinate review of proposed federal financial assistance and direct development activities, including highway and public transportation projects, with affected State and local government entities. **MPO** has been designated by the State of California as the Regional Clearinghouse for the Counties of Monterey, San Benito, and Santa Cruz.

**Chapter 2.**  
**Planning**

**2.1 State and Federal Long Range Transportation Plan:**

To comply with 23 U.S.C. 134, 23 CFR 450.322, **MPO** will prepare a Metropolitan Transportation Plan (MTP) pursuant to federal regulations. The **RTPAs** will prepare Regional Transportation Plans (RTPs) which meet the requirements of California Government Code Sections 65080 et. seq. and the California Transportation Commission's (CTC) Regional Transportation Plan Guidelines. A new MTP and RTPs will be prepared, or the previous MTP and RTPs will be reaffirmed by **MPO or RTPA** Board action in time to meet federal and state requirements. The MTP and RTPs will be directed at achieving a coordinated and balanced regional transportation system. The content of the MTP and RTPs will be coordinated so as to minimize duplication of effort. The MTP and RTPs will be developed with the full cooperation and participation of all affected or interested agencies, including Caltrans, public transportation service providers, air quality agencies, the public and the private sector. The MTP project list will be based on and, to the extent feasible, identical to the RTP Action Element project lists adopted by the **RTPAs**. The MTP and RTPs must be financially constrained, have at least a 20-year planning horizon, a required schedule to update and, in a nonattainment or maintenance area, the MTP must conform to the State Implementation Plan (SIP) for Air Quality. **MPO** will

submit its MTP to the Federal Highway Administration and Federal Transit Administration for review and approval of its conformity finding.

To help achieve these ends, **MPO**, **RTPAs**, **UPTOs**, and **Caltrans** will each inform and invite participation by the others in their various planning activities. **MPO** and **RTPAs** will coordinate with **Caltrans** and **UPTOs** on development of the MTP and RTPs. **Caltrans** will coordinate its System Planning and Project Development process with those of the **MPO** and **RTPAs**. **Caltrans** will also coordinate its development of both the California Transportation Plan (CTP) and Investment Strategy (CTIS) with the **MPO**, **RTPAs** and **UPTOs**. Additionally, **Caltrans** will coordinate its Intelligent Transportation System (ITS) planning, prioritization, and project development efforts with the **MPO**, **RTPAs**, and **UPTOs** with special emphasis on maintaining consistency with the Central Coast ITS Strategic Deployment Plan and Central Coast Regional ITS Architecture.

## **2.2 Short-Range Transit Plan:**

In accordance with Federal Transit Administration (FTA) guidance, **MPO** or **UPTOs** may prepare a five (5) year short-range transit plan to support financial and operational decision making in transit planning and/or programming. In the development of SRTPs, the **UPTOs** will provide a draft list of projects for FTA funding. The list shall:

- a) Identify and describe the scope of the specific projects and services, which address ongoing and increased transit demands. These projects and services are to include American with Disabilities Act (ADA) and Transportation Control Measures (TCMs) projects with sufficient detail (design, concept and scope) to permit air quality conformity analyses to be performed by **MPO**.
- b) Provide qualitative and/or quantitative analyses illustrating how the project addresses transit needs.
- c) Identify the amount and type of federal and non-federal funds required supporting the projects for each year represented in the plan. In addition, the list shall identify anticipated discretionary funding estimates for the MTIP.

## **2.3 Unified Planning Work Program/Overall Work Program:**

**MPO** will prepare an annual or biennial Unified Planning Work Program/Overall Work Program, hereinafter referred to as the OWP. The **RTPAs** will each develop their planning work program to be incorporated into the AMBAG OWP. **UPTOs** will fully participate in the development and implementation of the OWP, including plans, programs, and studies. The OWP and the process of its development will be in accordance with the program directions established by **Caltrans** and the Intermodal Planning Group. The purpose of the OWP is to serve as a work plan to guide and manage the work of **MPO**, **RTPAs**, and **UPTOs**, identify transportation planning activities and products occurring in the region and to act as the general agreement by which Federal and State planning funds will be

transferred to MPO, RTPAs, and UPTOs to fund activities and products. For information purposes, **Caltrans** will annually or biennially submit its proposed transportation planning activities for inclusion in the coming year's OWP. The OWP will also include all planning and research activities funded with the National Highway System (NHS), Surface Transportation Program (STP), Congestion Mitigation and Air Quality (CMAQ) Improvement Program and Minimum Guarantee (MG), which are not listed in the Transportation Improvement Program (TIP).

**2.4 State Requirements for Congestion Management Program:**

California Government Code Sections 65088 and 65089 allow that a Congestion Management Program (CMP) may be developed, adopted, and updated for every county that includes an urbanized area, and which includes every city within the county and the entire county area. The Transportation Agency for Monterey County is designated as the Congestion Management Agency for Monterey County and will carry out these responsibilities until such time that its member agencies make a decision to opt out of CMP participation.

**2.5 Data Collection:**

**MPO** will collect and analyze data reflecting existing and historical information, which will be the basis for cost and revenue projections for transportation projects. For state sponsored projects, **Caltrans** will collect and analyze data to be used in evaluating alternative transportation projects. For these projects, **Caltrans** will supply to **MPO** and **RTPAs** project level cost data, and other data necessary for **MPO** and **RTPAs** to demonstrate in financial plans that the entire state highway system will be maintained and operated.

**2.6 Alternative Analyses/Major Investment Studies (MIS):**

Alternatives Analyses/Major Investment Studies may be conducted by **MPO**, **RTPAs**, **UPTOs**, or **Caltrans** in consultation with and in full cooperation with all relevant local, regional, state and federal agencies.

**Chapter 3.**  
**Programming**

**3.1 Metropolitan Transportation Improvement Program:**

Section 134 (TEA-21), Title 23 of the United States Code (23 USC 134) and the Federal Transit Act of 1991, as amended, (49 USC 1607), require that the **MPO**, in cooperation with **Caltrans**, **UPTOs** and **RTPAs** via the RTIPs and other requests, develop a Metropolitan Transportation Improvement Program (TIP) for the area. The **UPTOs'** project lists will be supported by requisite Programs of

Projects (POPs), certified by the **MPO**, for pertinent fund sources. For proposed transit projects, the proposed selection criteria used for the programming of Federal Transit Administration funds within the **MPO** TIP are as follows: a) project purpose and need; b) anticipated benefits, including safety; c) degree to which project will improve transit availability; d) degree to which a project will improve level of service performance standards; e) air quality benefits; and f) overall cost effectiveness, to include the ability of leveraging other fund sources.

The TIP must include, at a minimum, three prioritized years of programming. Once adopted, the program is required to be updated at least once every two years. The program must be prepared in consultation with all interest groups and will include reasonable opportunity for public comment. In an air quality nonattainment or maintenance area, the program is required to meet Federal Air Quality conformity requirements and to be found conforming to the State Implementation Plan (SIP) for Air Quality.

In implementation of these requirements, **MPO** will submit its TIP and amendments to **Caltrans** on behalf of the Governor for approval. **Caltrans** will prepare a Federal State Transportation Improvement Program (FSTIP) and incorporate into it by reference approved and, in nonattainment and maintenance areas, conforming MPO TIPs. **Caltrans** will notify **MPO** and **RTPAs** and the appropriate Federal agencies when a TIP, which includes projects under the jurisdictions of these agencies, has been included in the FSTIP.

As allowed in 23 CFR, 450.324(f)(1), **MPO** and **Caltrans** agree to exclude from the TIP planning and research activities funded with NHS, STP, and MG funds other than those used for alternative analyses/Major Investment Studies (MIS). These activities will be included in an approved OWP.

### **3.2 Regional Transportation Improvement Program:**

**RTPAs** will prepare, adopt, submit and annually or biennially update a Regional Transportation Improvement Program (RTIP) pursuant to California Government Code Section 65080.5 and 65082 and in accordance with the guidelines adopted by the California Transportation Commission (CTC). **Caltrans** will coordinate with **RTPAs** its preparation of the Interregional Transportation Improvement Program (ITIP) including review of proposed ITIP projects by the **RTPAs** prior to submittal to Caltrans Headquarters

Per state regulations, the **RTPAs** develop and adopt the Congestion Mitigation and Air Quality (CMAQ) Improvement Program and Regional Surface Transportation (RSTP) Programs for their respective counties, which are incorporated into the RTIPs and forwarded to **MPO** for inclusion in the MTIP. Planning projects that are funded with CMAQ or RSTP funds shall also be included in the OWP for the implementing year. Per state regulations, the **RTPAs** develop and adopt the Regional Share STIP Programs for their respective

counties, which are incorporated into the RTIPs and forwarded to **MPO** for inclusion in the MTIP. Per state regulations, the **RTPAs** develop and adopt the Regional Share TEA Funds Programs for their respective counties, which are incorporated into the RTIPs and forwarded to the **MPO** for inclusion in the MTIP.

Per Chapter 622 of Statutes, Regular Session 1997, (Senate Bill 45), separate MOUs have been developed between **Caltrans** and the **RTPAs** for Planning, Programming and Project Delivery of Regional Improvement Program (RIP) projects and are made a part of this MOU by reference.

### 3.3 Review of SHOPP:

Under California Government Code 14526.5, **Caltrans** is required to prepare a State Highway Operations and Protection Program (SHOPP) for the expenditure of transportation funds for major capital improvements that are necessary to preserve and protect the state highway system. Projects are limited to capital improvements relative to maintenance, safety, and rehabilitation of state highways and bridges, which do not add new traffic lanes to the system. The program must be submitted to the CTC not later than December 1 of each odd-numbered year. SHOPP is a four-year program of projects adopted separately from the State Transportation Improvement Program (STIP) cycle. Prior to submitting both the ten-year and four-year SHOPP plans, **Caltrans** will make available to **RTPAs** and **MPO** a draft for review and comment.

## Chapter 4. Air Quality and Conformity

### 4.1 Conformity:

In accordance with Title 42, Section 176(c)(4)(c) of the Clean Air Act and the final rule on Transportation Conformity (Code of Federal Regulations, Parts 51 and 93, hereafter "the Final Rule"), **MPO**, in cooperation with the air district (Monterey Bay Unified Air Pollution Control District), has developed, adopted, and will maintain the appropriate State Implementation Program (SIP) Transportation Conformity Procedures. The parties will fully participate and carry out their responsibilities as defined in the SIP Transportation Conformity Procedures. **MPO** shall be responsible for making conformity findings and obtaining federal approval of the findings in a timely manner in order to prevent the potential for interruption in project delivery.

**RTPAs** will be responsible to provide approved RTP project listings in a timely manner and in a proper format, such that the **MPO** has a reasonable amount of time to fulfill its air quality conformity responsibilities. RTP project lists need to contain at a minimum: a) project location; b) information identifying project concept and scope such that a determination can be made regarding its status as a

capacity expansion and/or regional significance; c) fiscal constraint; and d) project timing.

## **Chapter 5.** **Public Participation/Environmental Justice**

### **5.1 Formal Public Participation:**

**MPO, RTPAs, UPTOs and Caltrans** agree to implement a public participation program as required by 23 USC 134 and 23 CFR 450.316(b)(1) and 450.212.

Per federal regulations, a public involvement process in the AMBAG region has been formalized in the *Monterey Bay Region Transportation Public Involvement Process*, which is updated/revised, as needed, by the **MPO**.

**Caltrans** will participate in this program as required by 23 USC 135 and 23 CFR 450.212, for purposes of planning and programming activities, including California Transportation Investment Strategy (CTIS) development, FSTIP adoption and amendment and alternatives analyses.

### **5.2 Environmental Justice**

In addition to outreach efforts to include low-income and minority stakeholders in the planning, programming and project development process, as guided by the Monterey Bay Region Transportation Public Involvement Process as mentioned in Section 5.1 above, **MPO, RTPAs, UPTOs and Caltrans** will meet at least once a year to discuss environmental justice and its consistent application in the transportation planning and programming process in the region. The Monterey Bay Unified Air Pollution Control District will be invited to these meetings.

## **Chapter 6.** **Partnership/Coordination**

### **6.1 State Role and Responsibilities:**

**Caltrans** will make available to **MPO** and **RTPAs** departmental plans, program information, and fund estimates. **Caltrans** will participate in development of **MPO** and **RTPAs'** plans and programs in accordance with CFR 450.210 and respond to **MPO** and **RTPAs** in a timely manner.

### **6.2 MPO Role and Responsibilities:**

**MPO**, in cooperation with **RTPAs, UPTOs, and Caltrans**, will be responsible for carrying out the Metropolitan Transportation Planning process. **MPO** will

cooperatively develop plans and programs in accordance with the requirements specified in 23 USC 134, 135; 23 CFR 450.100 through 600; and the Clean Air Act 176(c). **MPO** will prepare special studies as approved by its Board.

### 6.3 **RTPAs Role and Responsibilities:**

The Transportation Agency for Monterey County, as the designated Congestion Management Agency (CMA) for Monterey County, will prepare and manage their Congestion Management Program in conformance with State guidelines and actions by the CMA Board unless their member entities elect to opt out of Congestion Management Program Participation. **RTPAs** will prepare RTPs, RTIPs, CMPs (as applicable), special studies, rail programs, RSTP, CMAQ and TEA administration, SHOPP and Minor A & B review, ITIP coordination and California Transportation Commission (CTC) coordination.

## **Chapter 7.** **Fund Administration**

This chapter covers the administration of various planning funds from Federal and State sources to the MPO and RTPAs. These funds include PL, FTA, Rural Planning Assistance, Regional Improvement Program, CMAQ, and RSTP funds.

### 7.1 **Federal Highway Administration (FHWA) Planning Funds:**

The parties understand that:

- (a) **MPO**, is eligible for its share of Metropolitan planning (PL) funds under Title 23 USC 104(f);
- (b) these funds must be apportioned by FHWA to the states, allocated by Caltrans to MPOs in the State on a formula basis;
- (c) the transportation planning and programming services and products must be included in an OWP approved by Caltrans and FHWA before work may commence;
- (d) by agreement, Metropolitan Planning (PL) funds received by the **MPO** will be shared with the **RTPAs** per formula for activities in support of **MPO's** metropolitan planning responsibilities, as agreed to between the **MPO** and **RTPAs**, and as approved by FHWA, FTA, and **Caltrans** as part of the OWP review and approval process; and
- (e) as FHWA discretionary transportation planning funds are made available to the State, **Caltrans** will notify the **MPO** for the solicitation,

coordination and submittal of proposals through the **MPO** for Monterey and Santa Cruz Counties.

## 7.2 Federal Transit Administration Funds:

The parties understand that:

- (a) As urbanized public transit operators (**UPTOs**) serving the designated urbanized areas of the Monterey Bay region, both Monterey-Salinas Transit and the Santa Cruz Metropolitan Transit District are eligible to apply for FTA Section 5307 funding for capital, operating and planning assistance for the delivery of public mass transportation. Projects will be programmed and constrained based on the annual FTA appropriation.

As the federal designated recipient, **MPO** is responsible for allocating certain federal formula funds to the **UPTOs**. **MPO** shall provide allocation instructions to **Caltrans** for the federal transit funds based on a formula developed cooperatively with the **UPTOs**.

- (b) **UPTOs** will prepare applications to the Federal Transit Administration for federal transit funding. **MPO** will review the applications, consistency of projects with MTIP programming, and prepare a letter of concurrence if information is accurate. **UPTOs'** applications for federal funding shall be consistent with the **MPO** Metropolitan Transportation Plan as required by Federal guidelines. **UPTOs** shall work with **MPO** to develop consistent funding requests from all applicable transit funding sources in order to prevent funding delays.
- (c) **MPO** is eligible for its share of metropolitan transit planning funds as apportioned by FTA to the states and allocated by **Caltrans** to **MPOs** in the State on a formula basis. **MPO** may share a portion of its metropolitan transit planning funds with the **UPTOs** for activities in support of **MPO's** metropolitan transportation planning responsibilities.
- (d) FTA discretionary funding for interregional and transit planning activities within Monterey and Santa Cruz Counties will be solicited, coordinated and submitted through the **MPO**; and
- (e) Activities funded with FTA transit planning funds must be included in an OWP prior to work commencing.

## 7.3 State Planning Funds:

Rural Planning Assistance funds and/or other state planning funds in support of the planning process will be transferred to **RTPAs** on a reimbursement basis upon allocation by the State.

Per General Provision No. 7 of the Streets and Highways Code and Section 14527 (h) of the Government Code, rural **RTPAs** may request and receive up to 5% of their regional improvement fund expenditure for the purpose of planning, programming and monitoring. These funds are administered through the Caltrans Local Assistance allocation process and will be included in the annual OWP. For State Planning Funds and other STIP funds administered by Caltrans, **Caltrans** shall provide the **RTPAs** with timely notice and procedures for securing allocations and other approvals necessary so that **RTPAs** may receive funding or bill for activities incurred during the entire fiscal year in which the funds are programmed.

#### **7.4 Conditions of Grants:**

If **MPO/RTPAs/UPTOs** elect to use some or all of the above mentioned funds, they agree to abide by and comply with Federal and State laws and regulations governing their purpose and use for activities covered by those funds.

#### **7.5 Monitoring:**

**MPO/RTPAs** will submit a quarterly performance report to the **Caltrans** District Office within forty-five (45) days after the end of the first three quarters and no later than ninety (90) days after the end of the final quarter.

All signatory parties agree to meet periodically to address and review issues of consistency with this MOU. Other issues and activities of mutual interest or concern may also be addressed. **MPO** will provide signatories of this MOU with meeting agenda and/or full agenda packets prior to each meeting.

During the term of the MOU, **MPO** will promptly advise the State of events which have a significant impacts upon the MOU including:

- (a) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work units by established time periods. This disclosure will be accompanied by a statement of the action taken, or contemplated, and any state or federal assistance needed to resolve the situation.
- (b) Favorable developments or events that enable meeting time schedules and goals sooner than anticipated or producing more work units than originally projected.

#### **7.6 Fund Requirements and Provisions:**

All State and Federal funds described within this MOU are subject to the requirements of the granting agency. For the purpose of this section, subrecipient is defined as any agency and/or contractor that receive funds from the MPO and/or RTPAs. **MPO/RTPAs** understand that by grant agreement, they will also be subject to the following provisions:

- (a) Scope of Services - Subrecipients will perform the required work as specified in the approved OWP in a manner satisfactory to **MPO** and/or **RTPAs** and to the federal and state funding agencies. If, while the work is being done, any party determines a need to change the scope of services, the party will notify the other in writing.

The parties will meet to discuss the need for the change and to decide what action to take. If they agree that an amendment to the OWP is required, **MPO/RTPAs** must seek approval of the funding agency for the change.

- (b) Personnel - All subrecipients will use only qualified personnel to perform the work. Subrecipient employees must have no separate contractual or employee relationship with MPO or RTPA.
- (c) Time of Performance - Subrecipients must begin work after receiving a written request to do so from **MPO/RTPAs** following acceptance of the OWP by all funding agencies and authorization by FHWA to incur costs against the grant. All work must be completed by June 30 of the fiscal year of the approved current OWP, and no work done thereafter will be reimbursed, unless agreed upon in advance by **MPO/RTPAs** and the subrecipient with prior FHWA or **Caltrans** approval.
- (d) Progress Reports - Subrecipients will submit progress reports in accordance with **MPO** guidelines no later than thirty (30) days following the end of each quarter.
- (e) Inspection of Work - **MPO/RTPAs** and all funding agencies may review and inspect all study activities.
- (f) Maximum Cost - The maximum cost to **MPO/RTPAs** will not exceed the amount shown in the approved OWP. Matching funds for federal or state grants must be provided. FHWA PL funds must demonstrate match in each work element. The matching may be in the form of non-federal cash or services and must be properly documented by work element.
- (g) Method of Payment:
  - 1. MPO - **MPO** will submit a voucher to **Caltrans**, certifying that work activities in the OWP funded with federal funds and as billed,

are proper and that progress is commensurate with expenditures claimed.

2. Member Agencies - **MPO** will reimburse the subrecipient (other than Caltrans) upon receipt of a requisition for payment, in the following manner:
  - A. Subrecipients must submit a voucher reciting that they have performed the work and incurred costs in conformance with the OWP, and that they are entitled to receive the amount requisitioned, and include all progress reports applicable to the period billed.
  - B. **MPO** will reimburse subrecipients up to the federal share of the amount vouchered for payment upon receipt of said funds from FHWA.
3. Caltrans - For payment for work items and incurred costs for which Caltrans is the recipient, **Caltrans** will bill FHWA in accordance with uniform statewide procedures agreed upon by Caltrans and FHWA, and documented in the OWP.

- (h) Disallowances - Any cost for which the **MPO/RTPAs/UPTOs** have received payment that are determined by subsequent audit to be unallowable under the terms of this MOU, are to be repaid to the State by the **MPO/RTPAs/UPTOs**. Should the **MPO/RTPAs/UPTOs** fail to reimburse monies due the State within 30 days of demand, or within such other period as may be agreed between the parties hereto, State is authorized to withhold future payments due recipients from any source, including, but not limited to, the State Treasurer, the State Controller and the California Transportation Commission.
- (i) Subcontracting - The subrecipient will not subcontract any portion of the work to either a public or private entity unless specifically authorized to do so in the OWP. A subcontract will only be awarded in accordance with applicable federal regulations.
- (j) Publication/Use Provisions:

Copyright - **MPO/RTPAs** will be free to copyright material developed under work items identified in the OWP with the provisions that the state and federal agencies reserve a royalty-free, non-exclusive and irrevocable license to reproduce, publish or otherwise use, and authorize federal/state agencies to use the work for federal/state government purpose.

Acknowledgment - All published reports using federal funds will contain a credit reference, as appropriate, using the following suggested language:

"prepared in cooperation with Caltrans and the U.S. Department of Transportation, FHWA and FTA."

Publication Submittal - All publications funded with FHWA PL or state subvention funds, upon completion, will be submitted to Caltrans.

**Caltrans** will forward a copy of each publication to FHWA.

Distribution of Products - The **MPO/RTPAs** will provide a number of copies to be specified by Caltrans, of all information, reports, proposals, brochures, summaries, written conclusions, graphic presentations, and similar materials developed by **MPO/RTPAs** and financed in whole or in part as provided herein.

Use of Products - For dynamic work products for which the integrity and consistency may be compromised by unsupervised and/or undocumented work on the original products, the **MPO/RTPAs/UPTOs**, at their discretion, reserve the right to oversee their future use to federal/state government agencies by requiring the execution of an MOU or use agreement for their use. Note that the use of the regional travel demand model always requires the execution of a use agreement.

Ownership of Documents – Upon unilateral termination of this MOU, it is the understanding of all parties that, all original finished and unfinished documents, data, studies, surveys, reports, maps, drawings, models, photographs, etc., prepared by or for the **MPO/RTPAs/UPTOs** and funded by federal or state funds will, at the option of **Caltrans**, and concurrence of the USDOT, be made available to **Caltrans**. Future use of the regional travel demand model will always require the execution of a use agreement. **MPO/RTPAs /UPTOs** will not incur new obligations for the terminated portion after the effective date of termination.

## 7.7 **Standard Requirements:**

The parties understand that in performing work under the OWP, which is to be paid for by federal funds, regardless of the granting agency, the following requisites apply:

- (a) Civil Rights - Those requirements must be met which are stated in Attachment B (FTA Certifications and Assurances) dealing with Equal Employment Opportunity and nondiscrimination in hiring. **MPO/RTPAs/UPTOs** will also meet the requirements of 49 CFR Part 23, dealing with Disadvantaged Business and Woman Business Enterprise and will follow the procedures for MPOs set forth in Caltrans and/or FTA's

Disadvantaged Business and Woman Business Enterprise Program (DBE/WBE).

- (b) Drug Free Workplace - A Drug Free Workplace policy is in place (see Attachment B). **MPO/RTPAs/UPTOs** and all subrecipients agree to abide by the stated policy.
- (c) Restrictions on Lobbying - **MPO/RTPAs/UPTOs** and all subrecipients agree to abide by the Federal requirements on Restrictions on Lobbying. The signed declarations of this policy are made a part of this document by reference.
- (d) Equipment - Non-expendable items acquired to perform work must be identified in the OWP as direct costs and approved as part of the OWP. For direct purchase of equipment with PL funds, equipment must be identified in the OWP. Property management of equipment should be in accordance with the Federal Office of Management and Budget (OMB) Circular A-102. For depreciation methods of billing when PL funds are not involved in the direct purchase of equipment, charges should be made using cost principles for state and local governments, in accordance with OMB Circular A-87.
- (e) Bills - All bills must be supported by payrolls, time record invoices and vouchers, evidencing the nature of the charges and their eligibility for payment under OMB Circular A-87.
- (f) Accounts - Each part will establish and maintain, within its accounting system, a separate account for each work element in the OWP. All accounting records will provide a current breakdown of costs charged to each element and together with supporting documents, must be kept separate from other documents and records.

#### **7.8 Accounting and Audits:**

Federal OMB Circular A-87 to qualify for federal funds is made a part of this MOU by reference. An audit of state funds may be combined with an audit of federal funds if state fiscal and compliance audit requirements are met. Further audits may be conducted by federal and state agencies if deemed necessary. All records, reports and documents are to be made available at business office and its subcontractor's business offices for audit and inspection as needed by state and federal agencies.

#### **7.9 Reimbursement:**

Reimbursement of Federal funds will be for actual costs incurred.

## 7.10 Certification Requirement:

It is the intent of all parties to resolve issues related to certification under 23 CFR 450 Subpart C as they arise. At the time the final OWP is submitted to Caltrans, **MPO** must certify that the planning process, addressing the major issues facing the area, is being conducted in accordance with all applicable requirements. **Caltrans** will verify the certification and transmit its recommendation to FHWA and FTA. For purposes of certification, **MPO** will establish a process, which includes the following elements:

- (a) Discussion, as part of the prospectus section of the OWP, addressing each of the elements listed in 23 CFR 450.316 and stating how compliance is being carried out; and
- (b) a resolution of the **MPO** Board of Directors making a finding concerning certification to be based upon review of the staff report and recommendation by the Executive Director of **MPO** at the time of adoption of the final OWP.

For purpose of certification, **Caltrans** will:

- (c) work closely with **MPO** to gather documentation throughout the year to support the certification; and
- (d) make a written certification determination that **MPO's** transportation planning process is in conformance with Section 134 of Title 23 U.S.C., Section 8 of the Federal Transit Act (49 U.S.C. app. 1607), Sections 174 and 176(c) and (d) of the Clean Air Act, Title VI of the Civil Rights Act executed under 23 U.S.C. 324 and 29 U.S.C. 794, Section 1003(b) of ISTEA regarding the involvement of disadvantaged business enterprises and the provisions of the Americans with Disabilities Act of 1990; and
- (e) submit its determination to FHWA and FTA at time of OWP approval; and
- (f) keep on file copies of documents as a basis for determination of certification factors.

## Chapter 8. General Provisions

### 8.1 Amendment:

This Memorandum constitutes an understanding, expression of desire for, and a means of accomplishing, the general requirements for a comprehensive

transportation planning process in **MPO's** area. Any changes to one or more of the terms and conditions of this MOU shall not be valid unless made in writing and agreed to by all original executed signatory parties prior to change implementation.

**8.2 Termination:**

The parties understand that the purpose of this MOU is to establish, on the part of all parties, a single transportation planning process to serve the interests of all governmental agencies with Federal and State transportation planning responsibilities in the region per CFR Part 450.310. Any executed signatory party may terminate this understanding upon notice of the others by providing notice at least sixty (60) days prior to the effective date of termination and specifying the effective date of termination.

**Caltrans** will compensate the **MPO/RTPAs** for those eligible expenses incurred during the MOU period directly attributable to the completed portion of the work covered by this MOU for the OWP, provided that the work has been completed in a manner satisfactory and acceptable to **Caltrans**. It is understood that **MPO/RTPAs** and all subrecipients will not incur new obligations for the terminated portion after the effective date of termination.

**8.3 Review:**

Any party may review this MOU for the purposes of assuring its continuing effectiveness. Results of such review, together with any proposed amendments, shall be submitted in writing for the consideration of the parties hereto.

**8.4 Remedies:**

Actions inconsistent with the MOU terms or conditions shall be grounds for termination of the MOU by the other original executed signatory parties upon serving appropriate notice to that effect.

IN WITNESS WHEREOF, the parties hereto have caused this 2003  
Transportation Planning MOU to be executed by their respective officers, duly  
authorized:

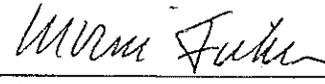
APPROVED:

  
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Tony Campos, President  
Association of Monterey Bay  
Area Governments

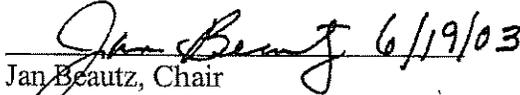
Date: May 14, 2003

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R. Gregg Albright, District 5 Director  
California Department of Transportation

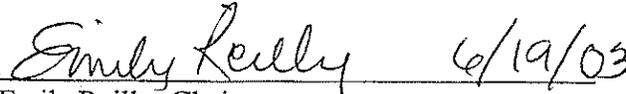
Date:

  
\_\_\_\_\_  
Morris Fisher, Chair  
Monterey-Salinas Transit

6-24-03  
Date:

  
\_\_\_\_\_  
Jan Beutz, Chair  
Santa Cruz County Regional  
Transportation Commission

Date:

  
\_\_\_\_\_  
Emily Reilly, Chair  
Santa Cruz Metropolitan  
Transit District

Date:

  
\_\_\_\_\_  
Jyl Lutes, Chair  
Transportation Agency for  
Monterey County

Date: 6/10/03