

**California Code of Regulations**  
**Title 21, Division 2.5**  
**Chapter 4. California Aid to Airports Program.**

**Article 1. General.**

**§4050. Purpose.**

The regulations in this chapter establish rules and procedures to implement the California Aid to Airports Program (CAAP). The purpose of the CAAP is to assist in establishing and improving a statewide system of safe and environmentally compatible airports whose primary benefit is for general aviation (GA). The CAAP includes Annual Credits pursuant to Public Utilities Code (PUC) section 21682; the Airport Improvement Program (AIP) Matching Grants pursuant to PUC section 21683.1; and Acquisition and Development (A&D) Grants pursuant to PUC section 21683.

NOTE: Authority cited: Section 21243, Public Utilities Code. References: Sections 21002, 21602, 21682, 21683, and 21683.1, Public Utilities Code.

**§4052. Definitions.**

In addition to definitions set forth in the State Aeronautics Act (PUC sections 21001 et seq.), the terms defined below apply within these regulations:

(a) **Air Navigational Facilities** means: rotating beacons; approach aids such as approach lights, runway end identifier lights, visual approach slope indicators; automated weather reporting systems; and other fixed electronic or visual devices designed to assist the pilot of an aircraft determine the aircraft's location and flight path.

(b) **Airport** is defined in PUC section 21013.

(c) **Airport Drainage** means providing for drainage to make the airport operationally usable and to preserve pavement.

(d) **Airport Improvement Program (AIP)** means the Federal Aviation Administration's (FAA's) grant funding program for airports, which are included in the NPIAS.

(e) **Airport Land Use Commission (ALUC)** is defined in PUC section 21670.

(f) **Airport Land Use Compatibility Plan (ALUCP)**, formerly known as a "comprehensive land use plan" (CLUP), is described in PUC section 21675.

(g) **Airport Layout Plan (ALP)** means a scaled drawing of an airport showing the existing and proposed facilities at the airport. An ALP shall depict, at a minimum:

- (1) The boundaries of the airport's property.
- (2) The operational areas of the airport, which are the runways, taxiways, hangars and aprons where aircraft are in motion or are parked.
- (3) The runway protection zones, existing and future planned.
- (4) The buildings and facilities within the boundaries of the airport's property.
- (5) Arrows depicting true north and magnetic north.
- (6) Its completion date and the name of the person and/or firm that prepared the ALP.
- (7) A notice of adoption by the public entity or by the airport owner; and approval by the FAA for a NPIAS airport.

(h) **Airport Master Plan**: means a document that contains the following:

- (1) the airport's current airport layout plan and
- (2) a long-range, typically 20-year period, plan for the airport's development that includes technical, operational, and financial justification for the proposed development.

(i) **Bond Servicing** means the payment of interest due and of underwriting fees for revenue or general obligation bonds issued by an airport sponsor to finance capital improvement projects for airport and aviation purposes; and it does not include the payment of debt principal.

(j) **Capital Improvement Plan (CIP)**, formerly known as “Capital Improvement Program,” is an element of the California Aviation System Plan (CASP), in accordance with PUC section 21702(f).

(k) **Department** means the Division of Aeronautics within the California Department of Transportation.

(l) **Environmental Mitigation** means measures necessary to minimize any significant impacts on land use, air and water quality, biological and geological resources, objects of historical or aesthetic significance, and other environmental resources of a plan or project funded pursuant to these regulations.

(m) **FAA** means the Federal Aviation Administration.

(n) **Fencing** means perimeter or security fencing, including gates and access control devices for GA purposes.

(o) **General Aviation (GA)** is defined in PUC section 21681(c).

(p) **General Aviation (GA) Purposes** means improvements on airports that are needed to sustain and continue GA activities that include, but are not limited to, the following:

- (1) Recreation;
- (2) Business travel;
- (3) Airport-based aviation businesses that teach people to fly; or operate charters for hire; or sell fuel, aircraft, and other aviation-related products;
- (4) Aircraft manufacture and repair;
- (5) Aerial or agricultural application;
- (6) Television, radio, news or weather reporting;
- (7) Law enforcement;
- (8) Firefighting;
- (9) Emergency medical service;
- (10) Aerial photography;
- (11) Transporting organs or blood or tissue for transplant;
- (12) Pollution control over waterways, shores, and harbors;
- (13) Scientific research and development;
- (14) Wildlife surveys;
- (15) Insect control and abatement;
- (16) Banking;
- (17) Search and rescue;
- (18) Response to emergencies;
- (19) Land surveys;
- (20) Powerline/pipeline patrol;
- (21) Aerial cranes for construction and lifting large objects;
- (22) Non-scheduled cargo flights;
- (23) Advertising with banners or skywriting;
- (24) Sports such as gliders, aerobatics, and parachuting; and
- (25) Transporting perishable and time-sensitive goods on non-scheduled flights.

“GA purposes” refers to the maximum dimensions or system requirements for airport capital improvement projects to serve the GA traffic, which uses a particular airport, in terms of runway and taxiway length, width, and depth, or type and extent of repair or new construction.

(q) **Land Acquisition** means to purchase land to develop and improve an airport to meet existing or forecast GA needs, as specified in an adopted ALP. If the airport is in the NPIAS, the adopted ALP must be approved by the FAA. It includes funding of a claim for any deposit paid by

the sponsor when the sponsor has to condemn a parcel in order to purchase it. Land can be acquired for any of the following:

(1) Airport Drainage needed to make the airport operationally usable and to preserve pavement.

(2) Airport protection to fulfill the requirements of section 4058 of these regulations.

(3) To meet conditions of an airport's permit issued pursuant to PUC section 21662.

(4) Property to be used for the operation and movement of aircraft (e.g., landing and takeoff surfaces, taxiways, aprons, aircraft loading, boarding, parking areas, hangars); those areas within the airport boundary reserved for safety purposes; and off-airport property that is secured by an avigation easement or by fee simple acquisition for safety purposes.

(5) Environmental Mitigation.

(6) Air Navigational Facilities.

(7) Runway Protection Zones.

(r) **Lights** means airport lighting equipment for GA purposes, as specified in PUC section 21681(f)(8).

(s) **NPIAS** means the National Plan of Integrated Airport Systems developed by the Federal Aviation Administration.

(t) **Project** means an action undertaken by a public entity to improve the aviation transportation system in California. A project may be a physical improvement, an acquisition of land, the preparation of a planning document, or other such items as defined elsewhere in this Chapter.

(u) **Project Services** are functions a sponsor performs to complete an action for which the sponsor applies for funding pursuant to these regulations. Legal and administrative services are not "project services" within these regulations. "Project services" are performed for GA purposes, and are to improve the air transportation system in California; they include, but are not limited to, the following:

(1) Environmental studies conducted to comply with the California Environmental Quality Act (CEQA) and the National Environmental Policy Act (NEPA);

(2) Airport design;

(3) Contract drawings;

(4) Plans, Specifications, and Estimates (PS&E);

(5) Preliminary studies;

(6) Reports and drawings;

(7) Soil investigation;

(8) Mapping;

(9) Construction staking and testing;

(10) Land surveys; or

(11) Project inspection.

(v) **Public Entity** is defined in PUC section 21681(d).

(w) **Runway Protection Zones** is described in PUC section 21681(f)(4).

(x) **Service Life** means the length of time a facility, equipment, or product is useful as determined by either physical deterioration or obsolescence, whichever occurs first.

(y) **Sponsor** means:

(1) An ALUC;

(2) A city or county designated by an ALUC to compete for funds in accordance with PUC section 21602(b); or

(3) A public entity that is eligible to receive grants or loans from the Department for an airport open to the public.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21013, 21602, 21662, 21670, 21675, 21681, and 21702, Public Utilities Code.

## Article 2. Sponsor Eligibility

### §4055. Requirements.

The following are requirements for sponsor participation in the California Aid to Airports Program (CAAP). The provisions of this article apply to applications for AIP Matching, Acquisition and Development (A&D), and Annual Credit funds.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21602, 21682, 21683, 21683.1, and 21688, Public Utilities Code.

### §4056. Permit and Funding Requirements.

#### (a) State Permit Requirement.

(1) A sponsor shall possess a valid state permit for each public-use airport for which it requests funds, with the exception of sponsors which are:

(A) Eligible pursuant to PUC section 21602(b), or

(B) An ALUC applying to prepare or update an Airport Land Use Compatibility Plan (ALUCP).

(2) The sponsor must obtain an appropriate site approval permit from the Department for the construction, establishment or expansion of the airport, in accordance with Title 21 CCR section 3525 et seq., prior to allocation of funds for the construction, establishment or expansion of an airport.

(b) **Special Aviation Fund.** The sponsor shall establish a special aviation fund in accordance with PUC section 21684. The requirement for a special aviation fund shall be fulfilled if the sponsor has an accounting system that adequately accumulates and segregates expenditures and receipt of funds under this chapter.

(c) **Records.** The sponsor shall keep all records of CAAP expenditures from the time funds are received from the Department until three years after receipt of the final payment from the Department. During that time, if the Department requests, the sponsor shall provide the Department with any or all records pertaining to the project.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, 21662, 21663, 21664.5, 21675, and 21684, Public Utilities Code.

### §4057. Operational Requirements.

(a) The sponsor shall have sufficient control over airport operations to ensure public health and safety. Such control shall be in the form of airport operating procedures, rules or regulations that are adopted by local ordinance or resolution. This section shall not apply to sponsors which are:

(1) Eligible pursuant to PUC section 21602(b), or

(2) An ALUC.

(b) No payment shall be made from the State Aeronautics Account for Annual Credits or A&D projects for an airport at which the Department determines general or commercial aviation activities are substantially restricted contrary to PUC sections 21682 or 21683.

NOTE: Authority cited. Section 21243, Public Utilities Code. Reference. Sections 21002, 21602, 21680, 21681, 21682, and 21683, Public Utilities Code.

### **§4058. Airport Protection Requirements.**

Except for planning projects relative to airport development or zoning needs around an airport, the Department shall not pay for expenditure on any airport, or for the acquisition and development of any airport, unless:

(a) The airport-owning entity has sufficient control, through ownership, easement, height restrictions, or zoning, over property in the vicinity of the airport to assure height restrictions that prevent obstructions to the airport's "Civil Airport Imaginary Surfaces" as depicted in Figure 3, or

(b) If the airport-owning entity's control is not sufficient to prevent obstructions to the airport's "Civil Airport Imaginary Surfaces" as depicted in Figure 3, the Department will determine whether the existing restrictions are adequate to provide reasonable assurance that aircraft operations at the airport can be conducted without obstruction or will be otherwise free from hazards. The sponsor shall provide sufficient information to the Department, upon which the Department can make such a determination.

NOTE: Authority cited. Section 21243, Public Utilities Code. Reference. Sections 21002 and 21688, Public Utilities Code.

## **Article 3. Project Eligibility.**

### **§4059. Ineligible Projects.**

Payments from the Aeronautics Account are not allowed for any of the following projects:

(a) With the exception of projects for "Land Acquisition" and "Project Services" as defined in section 4052, those projects that the sponsor has already started or completed, prior to allocation of AIP Matching or Acquisition and Development (A&D) funds.

(b) "Land Acquisition," as defined in section 4052, if the sponsor purchases the property prior to the date the Department receives an application for AIP Matching or Acquisition and Development (A&D) funds, or a request for the disbursement of Annual Credit monies to acquire such property.

(c) Legal and administrative costs incurred for "Land Acquisition" and "Project Services".

(d) Matching AIP grant funds prior to sponsor's acceptance of the federal grant.

(e) Projects not authorized under these regulations.

(f) "Project services," as defined in section 4052, for projects that are neither funded nor completed under the CAAP.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, 21681, 21682, 21683, and 21683.1, Public Utilities Code.

### **§4060. Resolution of Unallowable Costs.**

(a) If a sponsor's audit pursuant to PUC section 21686 identifies unallowable costs, the sponsor shall either:

(1) Repay the Department for the unallowable costs within 30 days of the date that the sponsor submitted its audit findings to the Department or,

(2) Request the Department waive the costs within 30 days of the date that the sponsor submitted its audit findings to the Department.

If the sponsor requests a waiver of the costs, but the Department does not waive them, the sponsor shall repay the costs within 30 days' of its receipt of the Department's notice. The State Controller has the authority to withhold other state funds due the sponsor in order to repay the State Aeronautics Account when the sponsor fails to make restitution as required by this regulation.

(b) “Unallowable costs” include funds used for “land acquisition” if the acquired land is not used for the purpose for which funding was authorized within three years of purchase unless the sponsor has a written waiver from the Department.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21680, and 21686, Public Utilities Code.

#### **§4061. Eligible Acquisition and Development (A&D) Projects.**

An A&D project must be included in the Department’s most recently adopted Capital Improvement Plan of the California Aviation System Plan to be eligible for A&D grant funds. In addition to the projects identified in PUC sections 21207 and 21681(f), the following capital improvements are eligible projects for A&D grants:

(a) **Aircraft Parking Tiedown Apron.** Construction and reconstruction of aircraft parking tie-down apron areas for GA purposes, including necessary grading and drainage.

(b) **Airport Markings and Signage.** Purchase and installation of airport markings and signage. Airport markings and signage are used for guiding operators of aircraft using runways, taxiways, aprons, service roads and aircraft parking areas on an airport. It includes, but is not limited to: painting of runways, taxiways, aprons, and aircraft parking areas; segmented circles; wind socks; traffic pattern indicators; wind tees; tetrahedrons; and other physical structures which provide visual indicators to assist pilots in safely maneuvering aircraft.

(c) **Airport Service Roads.** Construction of airport service roads, which are roads, closed to the public, that provide access for emergency vehicles, airport service vehicles, and vehicles authorized by airport management.

(d) **Blast Barriers.** Construction of blast barriers, which are natural or manufactured barricades used to absorb, divert or dissipate jet blast or propeller wash.

(e) **Environmental Mitigation,** as defined in section 4052.

(f) **Objects/Obstructions/Hazards.** Removal of objects from Runway Protection Zones and Runway Safety Areas, as depicted in Figures 1 and 2 respectively. Removal of hazards and the lighting or removal of obstructions that exceed the Civil Imaginary Surfaces, as depicted in Figure 3. The Department shall determine whether an object that exceeds the Civil Imaginary Surfaces, as depicted in Figure 3, is a “hazard” for the purposes of this regulation.

(g) **Pavement.** Rehabilitation and maintenance of pavement. Pavement means a structural section that carries aircraft traffic on the ground. A structural section is comprised of layers of specified materials placed over the native soil to support the loads applied or accumulated during the design life of the pavement.

(1) Rehabilitation is work performed to extend the service life of an existing pavement. Rehabilitation includes, but is not limited to:

(A) An overlay, which is a layer placed on existing pavement to restore ride quality, to increase structural strength, or to extend the service life, and

(B) Reconstruction, which is the partial or complete removal and replacement of a pavement.

(2) Maintenance is work performed to preserve an existing pavement that is necessary for the safe and efficient use of an airport. Maintenance includes, but is not limited to, a slurry seal, which is a preservative bituminous coating, with aggregate, applied to a bituminous surface pavement for the purpose of waterproofing or rejuvenating it.

(h) **Project services,** as defined in section 4052 and within the limits of section 4063.

(i) **Radio Communication Equipment.** Purchase and installation of aviation radio communication equipment and facilities, which remain under sponsor control.

(j) **Water and Sanitary Systems.** Purchase and installation of water and sanitary systems necessary for GA purposes on an airport.

NOTE: Authority cited: Sections 21243 and 21681(f)(15), Public Utilities Code. Reference: Sections 21207, 21681, 21683, and 21706, Public Utilities Code.

### **§4062. Eligible Annual Credit Projects.**

Sponsors may use Annual Credit funds for expenditures specified in PUC sections 21681(f) and (g), and section 4061 of these regulations. Such facilities must remain under the ownership and control of the sponsor. In addition, sponsor may use Annual Credit funds for the acquisition and installation, as appropriate, of the following:

(a) **Aircraft Wash Facility**, which is a paved area available to wash aircraft which has access to a water supply; is environmentally acceptable; and is accessible from the airport taxiway system.

(b) **Fueling Facility**, which is a mechanism used to pump aviation gasoline or aircraft jet fuel into GA aircraft.

(c) **Local Matching Funds**, which are funds to match a federally-funded AIP project.

(d) **Restrooms and Showers**, which means a room(s) with the basic conveniences for a person to wash, which may include showers, and with at least one toilet.

NOTE: Authority cited: Sections 21243, 21681(f)(15), and 21682, Public Utilities Code. Reference: Sections 21681 and 21682, Public Utilities Code.

### **§4062.1 Eligible AIP Matching Projects**

A federally-funded AIP project must be included in the Department's most recently adopted Capital Improvement Plan of the California Aviation System Plan to be eligible for AIP Matching funds.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21683.1 and 21706, Public Utilities Code.

## **Article 4. Acquisition and Development (A&D) Grants**

### **§4063. Program Description.**

Pursuant to PUC section 21683, sponsors may apply for A&D grants to fund projects described in section 4061 of these regulations that are primarily for GA purposes.

(a) **Local Matching Fund Requirements.** The sponsor shall provide matching funds pursuant to PUC section 21684. Matching funds shall not consist of funds previously received from state or federal agencies, or funds previously used to match federal or state funds. No state or federal funds may be used to match an A&D grant.

(b) **Project Minimum.** The minimum grant amount for an A&D funded project is an amount equal to twice the amount of an Annual Credit as specified in PUC section 21682(b).

(c) **Airport Maximum.** The maximum A&D grant funding allocated to any airport in a fiscal year shall not exceed \$500,000. The Department may grant an exception to this limit for any of the following conditions:

(1) The airport's project requires additional funds to correct a design deficiency that would prevent the airport from meeting the design standards necessary to qualify for a permit for a new airport pursuant to CCR, Title 21, Article 3, section 3540 et seq.

(2) The airport is not eligible for federal funding.

(3) The Department determines that it is appropriate to exceed this maximum. For instance, it may be appropriate when a project's remoteness relative to required construction materials, such as rock plants, concrete plants, and asphalt plants, results in unusually high construction costs. The sponsor shall provide additional documentation if the Department determines

that further information is required to sufficiently evaluate the appropriateness of exceeding the maximum annual allocation of \$500,000.

(d) **Project Services.** “Project services” can be a maximum of 12 percent of the total construction cost or land acquisition cost, including real estate costs of appraisal, escrow and title fees.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21666, 21681, 21683, and 21684, Public Utilities Code.

#### **§4064. A&D Project Application Process.**

The Department will process all applications for allocation of funds for A&D grants that meet the requirements of this section. To apply for an A&D grant, the sponsor shall submit a completed and signed “Acquisition & Development Grant - Application” [DOA-0010 (Rev.01/2005)] to the Department with the following documentation included:

(a) **Local Government Approval.** Either a resolution or minute order from the governing board of the sponsor documenting its authorization for filing the application for funding; accepting the allocation of funds for the project; executing the grant agreement; and naming the person authorized to sign any documents required to apply for and accept these funds on the sponsor's behalf. The resolution or minute order shall also certify the availability of matching funds from the sponsor, or other non-state/non-federal funding source, to meet the required local share of the project cost in the state fiscal year for which the application is made.

(b) **Federal Aviation Administration Documentation.** The FAA's final determination regarding the sponsor's submission of Federal form(s), such as FAA Form 7460-1 or FAA Form 7480-1, when applicable.

(c) **Environmental Compliance.** Verification that sponsor is in compliance with the California Environmental Quality Act (CEQA) for the project. During the CEQA public review period, the sponsor, or its representative, shall circulate all environmental documents for the project through the Department and the Office of Planning and Research, State Clearinghouse.

(d) **Airport Layout Plan or Drawing with Project Information.** An Airport Layout Plan (ALP), as defined in section 4052, with a depiction of the proposed project and its location highlighted. The ALP shall be:

- (1) The most recent FAA approved version if the airport is in the NPIAS or
- (2) An 11 x 17-inch drawing of the airport approved by the sponsor if the airport is not in the NPIAS.

Either an electronic version or a legible hardcopy of the ALP, or the 11 x 17-inch drawing, is acceptable.

This subdivision does not apply to a grant to prepare a new ALP; a new Airport Land Use Compatibility Plan (ALUCP); or an update to an existing ALUCP.

(e) **Sponsor Eligibility and Airport Protection and Programming Requirements.** A completed and signed “California Aid to Airports Program (CAAP)-Certification” form [DOA-0007 (Rev. 01/2005)] to certify eligibility pursuant to Article 2 of these regulations. This subdivision does not apply if the sponsor has previously submitted a completed certification form to the Department for the same fiscal year in which the project is planned; or if the project is for an ALP, Master Plan, or ALUCP.

(f) **Additional Documentation.** Sponsor shall submit project cost estimates. Sponsor shall provide additional documentation if the Department determines that further information is required to sufficiently evaluate the project for funding.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, 21681, 21683, 21688, and 21706, Public Utilities Code.

## **Article 5.**

### **Airport Improvement Program (AIP) Matching Grants.**

#### **§4066. Program Description.**

Pursuant to PUC section 21683.1, sponsors may apply for AIP Matching grants to assist the sponsor in meeting the local match for federally-funded AIP projects.

**Local Matching Fund Requirements.** In accordance with PUC section 21683.1, and primarily for general aviation (GA) purposes, sponsors may apply for Aeronautics Account funds to match a federal Airport Improvement Program (AIP) grant received by the sponsor. The Department may provide funding in an amount equal to:

- (a) 5% of the amount of any AIP grant and
- (b) Until December 31, 2006, 10% of the amount of an AIP grant for “security projects” at small GA airports with fewer than 80,000 annual aircraft take-offs and landings. Eligible “security projects” are described in PUC section 21683.1(c).

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21680, and 21683.1, Public Utilities Code.

#### **§4067. AIP Matching Grant Application Process.**

To apply for an AIP Matching grant, the sponsor shall submit a completed and signed “State Matching Grant for FAA Airport Improvement Program-Application” form [DOA-0012 (Rev. 01/2005)] or “State Matching Grant for Airport Improvement Program Security Component-Application” [DOA-0011 (Rev. 01/2005)] to the Department with the following documentation included:

(a) **Local Government Approval.** Either a resolution or minute order from the governing board of the sponsor documenting its authorization for filing the application for funding; accepting the allocation of funds for the project; executing the grant agreement; and naming the person authorized to sign any documents required to apply for and accept these funds on the sponsor's behalf.

(b) **FAA Grant Agreement.** Sponsor shall submit a copy of the FAA AIP Grant Agreement that has been signed by designated representatives of the sponsor and the FAA.

(c) **Environmental Compliance.** Verification that sponsor is in compliance with the California Environmental Quality Act (CEQA) for the project. During the CEQA public review period, the sponsor, or its representative, shall circulate all environmental documents for the project through the Department and the Office of Planning and Research, State Clearinghouse.

(d) **Airport Layout Plan with Project Information.** The most recent adopted and FAA approved Airport Layout Plan (ALP), as defined in section 4052, with a depiction of the proposed project and its location highlighted. Either an electronic version or a legible hardcopy of the ALP is acceptable. This subdivision does not apply to a grant to prepare a new ALP.

(e) **Sponsor Eligibility and Airport Protection and Programming Requirements.** A completed and signed “California Aid to Airports Program (CAAP)-Certification” form [DOA-0007 (Rev. 01/2005)] to certify eligibility pursuant to Article 2 of these regulations. This subdivision does not apply if the sponsor has previously submitted a completed certification form to the Department for the same fiscal year in which the project is planned; or if the project is for an ALP.

(f) **Additional Information.** Sponsor shall provide additional documentation if the Department determines that further information is required to sufficiently evaluate the project for funding.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, 21683.1, 21688, and 21706, Public Utilities Code.

## **Article 6. Annual Credit.**

### **§4069. Program Description and Annual Credit Disbursement Process.**

The Annual Credit provides eligible airports with annual discretionary funding subject to the provisions of PUC section 21682. To request approval to expend Annual Credit funds, the sponsor shall submit the following to the Department:

(a) **Standard Application Form or letter.** A completed and signed “California Aid to Airports Program (CAAP)-Annual Credit Disbursement Request” form [DOA-0009 (Rev. 01/2005)]; or a signed letter requesting the Department’s approval for expenditure of funds on eligible projects. The sponsor may either apply for disbursement of the Annual Credit, or automatically accumulate the Annual Credit for a period of five years. The accrual period begins with the first fiscal year during which the Annual Credit is available to the sponsor. The sponsor shall indicate the planned use of the Annual Credit in the application or letter.

(b) **Sponsor Eligibility and Airport Protection and Programming Requirements.** A completed and signed “California Aid to Airports Program (CAAP)-Certification” form [DOA-0007 (Rev. 01/2005)] to certify eligibility pursuant to Article 2 of these regulations. This subdivision does not apply if the sponsor has previously submitted a completed certification form to the Department for the same fiscal year in which the expenditure is planned.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, 21682, and 21688, Public Utilities Code.

## Chapter 5. California Airport Loan Program.

### §4070. Program Description.

The regulations in this chapter establish procedures for the administration of the California Airport Loan Program as provided for in Public Utilities Code (PUC) Section 21602. Subject to the availability of funds, the Department may provide funding in the form of loans to eligible sponsors.

Definitions set forth in the State Aeronautics Act (PUC sections 21001 et seq.) and in section 4052 of Chapter 4 also apply within this Chapter. Additionally within this Chapter, “**funding**” means the granting of a loan by the Department pursuant to these regulations.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002 and 21602, Public Utilities Code.

### §4071. Conditions of Eligibility.

(a) **Sponsor Eligibility.** To be eligible for funding, the sponsor must meet the eligibility requirements of Chapter 4, Article 2, sections 4056 through 4058 of these regulations.

(b) **Project Eligibility.**

(1) **Ineligible Projects.** The following projects are not eligible for funding:

(A) Projects to accommodate scheduled air carriers; and

(B) Local matching fund requirements for an A&D grant pursuant to Chapter 4.

(2) **Eligible Projects.** The following projects are eligible for funding:

(A) On airport projects that enhance an airport’s ability to provide general aviation services in a safe, efficient, and economical manner such as, but not limited to, aircraft storage facilities (hangars), general aviation terminal buildings or pilots lounges, utility services (power, water, sewer, etc.), and fueling facilities.

(B) Local matching funds for AIP grants, provided that the sponsor has accepted the federal AIP grant; and

(C) Projects eligible for A&D grants in accordance with Chapter 4.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, and 21681, Public Utilities Code.

### §4072. Project Feasibility Requirements.

In addition to the eligibility criteria within section 4071, the sponsor must demonstrate that the proposed project meets the following feasibility requirements:

(a) **Engineering Feasibility.** For loans for construction projects only, a proposed project shall be feasible from an engineering assessment, which means that it can be designed, constructed, and operated in accordance with generally-accepted engineering principles and procedures, for the purpose for which the project is created. The engineering feasibility requirement does not apply to loans made only for the purpose of preparing “Plans, Specifications, and Estimates” for an airport construction project. This section is not required for a loan to match an FAA AIP grant.

(b) **Economic Feasibility.** For revenue-generating loans only, a proposed project shall be economically justified. Sponsor’s completed “Checklist for Economic Feasibility,” on the back of the “Revenue Generating Loan-Application” form [DOA-0020 (Rev. 01/2005)], must show that total projected monetary benefits of the project equal or exceed total costs over the service life of the proposed project, where “total costs” means the sum of capital, maintenance, and administrative costs over the service life of the project.

(c) **Financial Feasibility.** The Department may refuse any loan if it determines that the sponsor does not have the financial ability to repay the loan in accordance with section 4073.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002 and 21602, Public Utilities Code.

### **§4072.1 Application Process.**

To apply for a loan, the sponsor shall submit a completed and signed application (appropriate to the type of loan requested - "Airport Development Loan-Application" [DOA-0013 (Rev. 01/2005)], "Matching Funds Loan-Application" [DOA-0019 (Rev. 01/2005)], or "Revenue Generating Loan-Application" [DOA-0020 (Rev. 01/2005)]) to the Department with the following documentation included:

(a) **Sponsor Acceptance and Approval.** A resolution or minute order from the governing board of the sponsor documenting its approval of the application for a loan and certifying the sponsor's ability to repay the loan.

(b) **Federal Aviation Administration Documentation.**

(1) For loans to match an FAA AIP grant only. A copy of the FAA AIP Grant Agreement that has been signed by designated representatives of the sponsor and the FAA. The Department may evaluate a loan application based upon a sponsor's application to the FAA for grant funds, but the Department cannot award the loan until it has a copy of the FAA AIP Grant Agreement described above.

(2) The FAA's final determination regarding the sponsor's submission of Federal form(s), such as FAA Form 7460-1 or FAA Form 7480-1, when applicable.

(c) **Environmental Compliance.** Verification that sponsor is in compliance with the California Environmental Quality Act (CEQA) for the project, if applicable. During the CEQA public review period, the sponsor, or its representative, shall circulate all environmental documents for the project through the Department and the Office of Planning and Research, State Clearinghouse.

(d) **Airport Layout Plan or Drawing with Project Information.** An Airport Layout Plan (ALP), as defined in section 4052, with a depiction of the proposed project and its location highlighted. The ALP shall be:

(1) The most recent FAA approved version if the airport is in the NPIAS or

(2) An 11 x 17-inch drawing of the airport approved by the sponsor if the airport is not in the NPIAS.

Either an electronic version or a legible hardcopy of the ALP, or the 11 x 17-inch drawing, is acceptable.

This subdivision does not apply to a loan to prepare: (A) a new ALP; (B) a new Airport Land Use Compatibility Plan (ALUCP); or (C) an update to an existing ALUCP.

(e) **Sponsor Eligibility and Airport Protection and Programming Requirements.** A completed and signed "California Aid to Airports Program (CAAP)-Certification" form [DOA-0007 (Rev. 01/2005)] to certify eligibility pursuant to Article 2 of Chapter 4 of these regulations. This subdivision does not apply if the sponsor has previously submitted a completed certification form to the Department for the same fiscal year in which the project is planned; or if the project is for an ALP, Master Plan, or ALUCP.

(f) **Project Feasibility Requirements.** Demonstration that the proposed project is feasible pursuant to section 4072.

(g) **Additional Information.** Sponsor shall provide additional documentation if the Department determines that further information is required to sufficiently evaluate the proposed project.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002, 21602, and 21688, Public Utilities Code.

### **§4073. Servicing of the Loan.**

All funds expended under this program shall be repaid to the Department, together with any interest due. The State Controller has the right to impound, or withhold, all other State funds due the borrowing agency to satisfy this requirement.

(a) **Repayment.**

(1) A loan made under this chapter shall be repayable over a period established by the Department for each loan, which shall not exceed a 17-year period. The sponsor shall make payments to the Department on an annual basis, as established in the loan agreement between the sponsor and the Department, commencing one year from the date that the State Controller issues the warrant for the loan proceeds. Interest shall be computed daily on the basis of the outstanding principal.

(2) Where a loan has been made for the construction of a revenue-generating project, the sponsor shall establish a separate account within the airport's special aviation fund for the purpose of receiving revenue which would be held in trust, in an amount equal to one year's repayment of the loan. Revenue received after the first year's payment would be available to the airport for the purpose of achieving financial self-sufficiency.

(3) Nothing in these regulations shall be construed as prohibiting the sponsor from making early repayment, either in full or in part. Interest due as of the date of early payment shall be included in the early payment.

(b) **Interest Rate.** Interest charged for loans made under this Chapter will be at the interest rate paid by the State on its most recent issue of general obligation bonds sold prior to the date that the loan is approved.

NOTE: Authority cited: Section 21243, Public Utilities Code. Reference: Sections 21002 and 21602, Public Utilities Code.

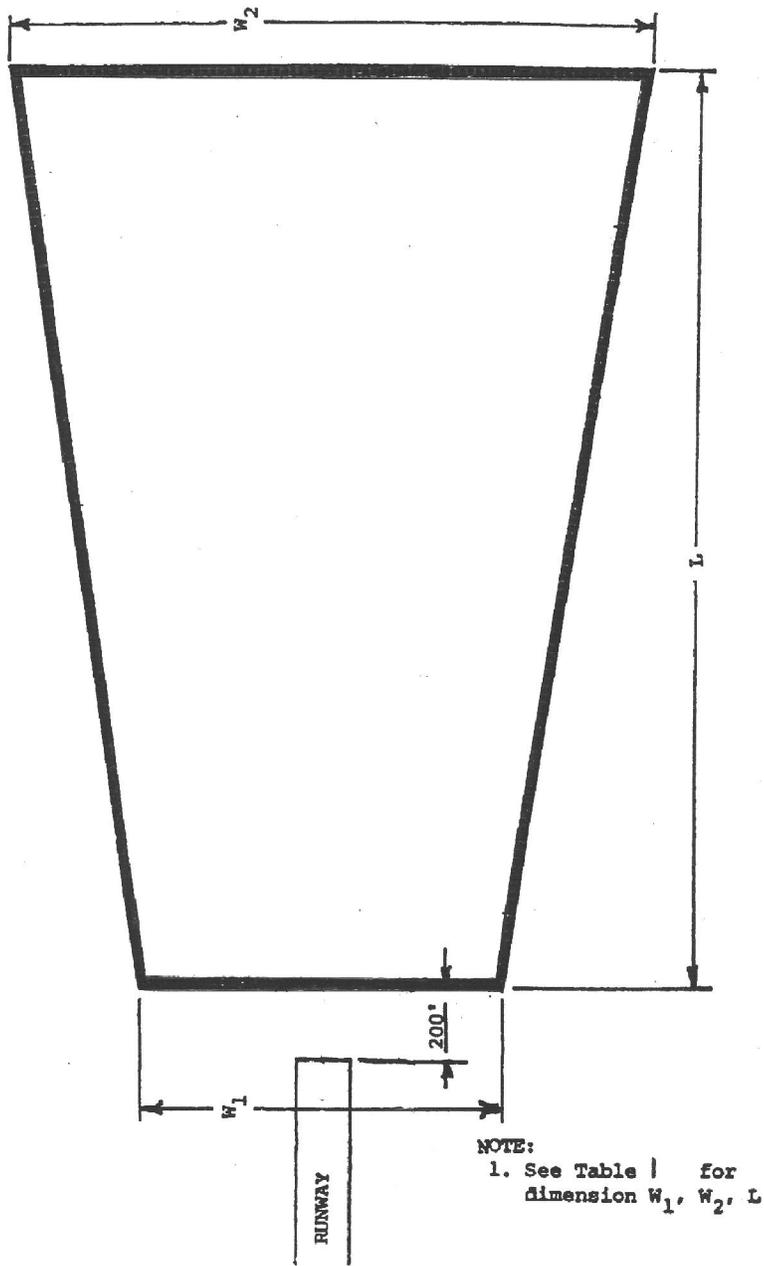


Figure 1 Runway protection zone

**TABLE 1. RUNWAY PROTECTION ZONE (RPZ) DIMENSIONS  
FOR CIVILIAN RUNWAYS**

<b>Approach Visibility Minimums<sup>1</sup></b>	<b>Facilities Expected To Serve<sup>2</sup></b>	<b>Dimensions</b>		
		<b>Length L Feet</b>	<b>Inner Width W<sub>1</sub> Feet</b>	<b>Outer Width W<sub>2</sub> Feet</b>
<b>Visual and Not Lower Than 1 Mile</b>	<b>Small Airplane<sup>3</sup> Exclusively</b>	<b>1,000</b>	<b>250</b>	<b>450</b>
	<b>Aircraft Approach Categories A &amp; B</b>	<b>1,000</b>	<b>500</b>	<b>700</b>
	<b>Aircraft Approach Categories C &amp; D</b>	<b>1,700</b>	<b>500</b>	<b>1,010</b>
<b>Not Lower Than 3/4 Mile</b>	<b>All Aircraft</b>	<b>1,700</b>	<b>1,000</b>	<b>1,510</b>
<b>Lower Than 3/4 Mile</b>	<b>All Aircraft</b>	<b>2,500</b>	<b>1,000</b>	<b>1,750</b>

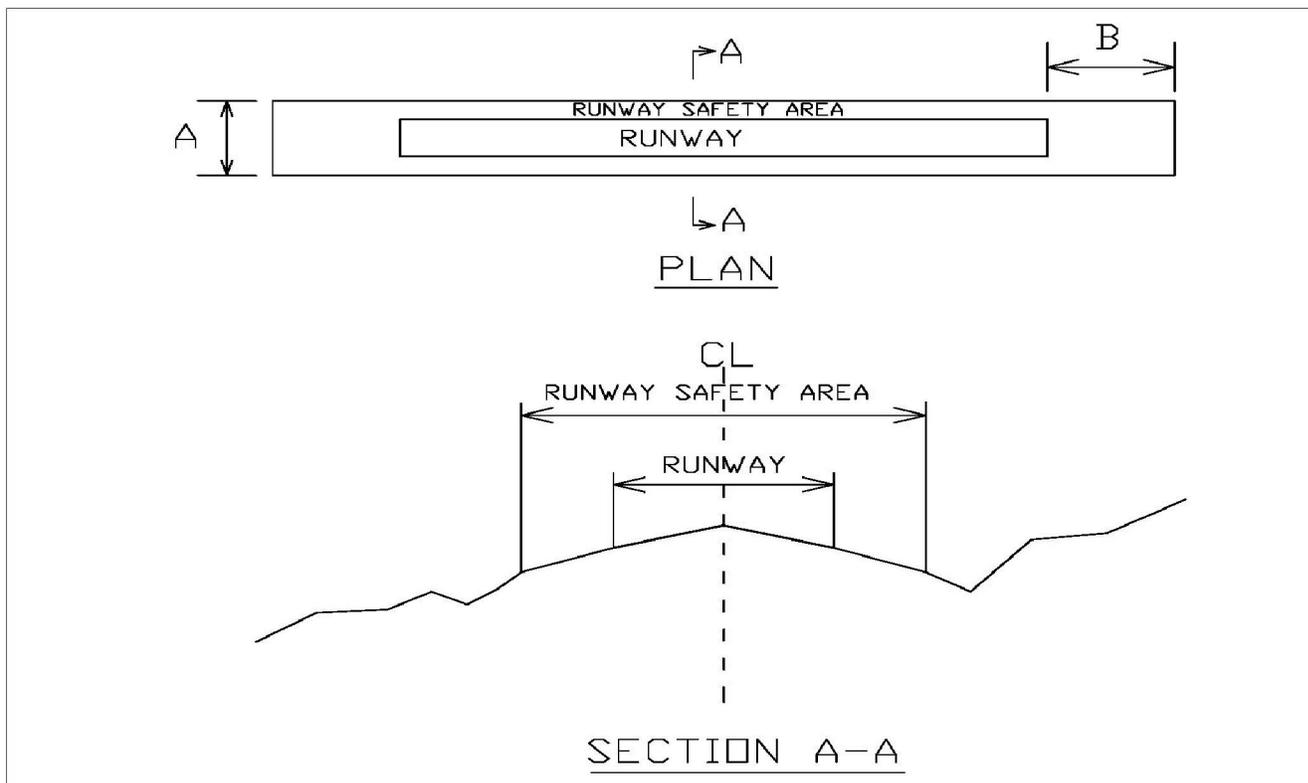
<sup>1</sup> The RPZ dimensions are for the runway end with the specified approach visibility minimums.

<sup>2</sup> "Aircraft approach category" means a grouping of aircraft based on 1.3 times their stall speed in their landing configuration at their maximum certificated landing weight. The categories are as follows:

- Category A: speed less than 91 knots
- Category B: speed 91 knots or more but less than 121 knots
- Category C: speed 121 knots or more but less than 141 knots
- Category D: speed 141 knots or more but less than 166 knots
- Category E: speed 166 knots or more

<sup>3</sup> "Small airplane" means an airplane of 12,500 pounds or less maximum certificated takeoff weight.

**FIGURE 2. RUNWAY SAFETY AREA**



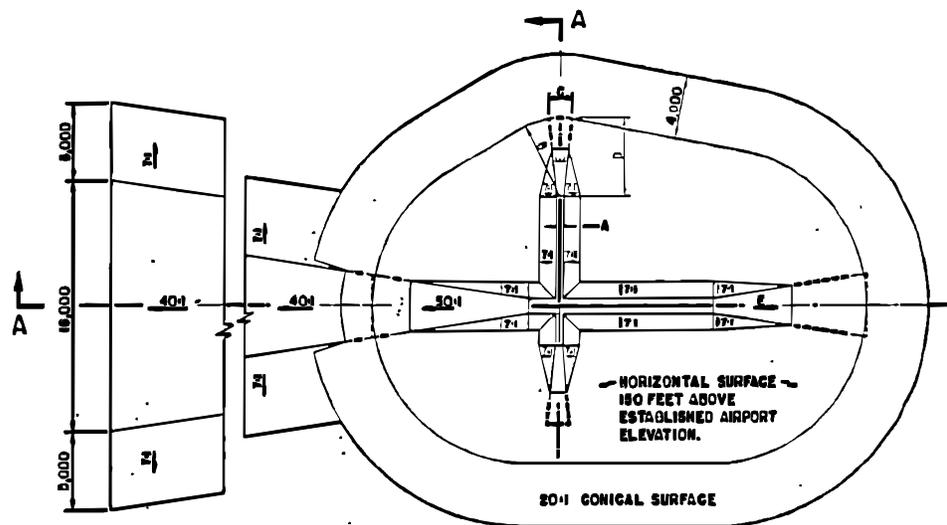
**TABLE 2. RUNWAY SAFETY AREA DIMENSIONS**

	DIM <sup>4</sup>	AIRPLANE DESIGN GROUP				
		I <sup>5</sup>	I	II	III	IV
Runway Safety Area Width	A	120 ft	120 ft	150 ft	300 ft	500 ft
Runway Safety Area Length beyond end of Runway <sup>6</sup>	B	240 ft	240 ft	300 ft	600 ft	1000 ft

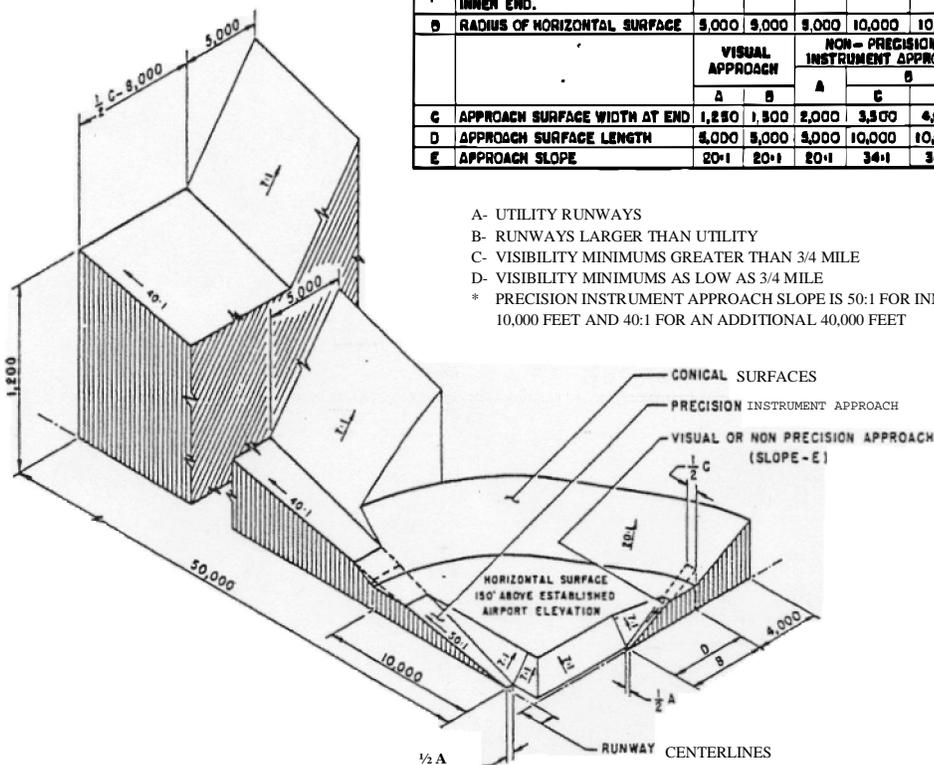
<sup>4</sup> These letters correspond to the dimensions on Figure 2.

<sup>5</sup> These dimensional standards pertain to facilities for small airplanes exclusively. “Small airplane” means an airplane of 12,500 pounds or less maximum certificated takeoff weight.

<sup>6</sup> The runway safety area length begins at each runway end if there is no stopway provided. When stopway is provided, the length begins at the stopway end.



DIM	ITEM	DIMENSIONAL STANDARDS (FEET)					
		VISUAL RUNWAY		NON-PRECISION INSTRUMENT RUNWAY			PRECISION INSTRUMENT RUNWAY
		A	B	A	B		
A	WIDTH OF PRIMARY SURFACE AND APPROACH SURFACE WIDTH AT INNER END.	250	300	500	500	1,000	1,000
B	RADIUS OF HORIZONTAL SURFACE	3,000	3,000	3,000	10,000	10,000	10,000
		VISUAL APPROACH		NON-PRECISION INSTRUMENT APPROACH			PRECISION INSTRUMENT APPROACH
		A	B	A	B		
C	APPROACH SURFACE WIDTH AT END	1,250	1,500	2,000	3,500	4,000	16,000
D	APPROACH SURFACE LENGTH	3,000	3,000	3,000	10,000	10,000	16,000
E	APPROACH SLOPE	20:1	20:1	20:1	34:1	34:1	6



- A- UTILITY RUNWAYS
- B- RUNWAYS LARGER THAN UTILITY
- C- VISIBILITY MINIMUMS GREATER THAN 3/4 MILE
- D- VISIBILITY MINIMUMS AS LOW AS 3/4 MILE
- \* PRECISION INSTRUMENT APPROACH SLOPE IS 50:1 FOR INNER 10,000 FEET AND 40:1 FOR AN ADDITIONAL 40,000 FEET

Figure 3. CIVIL AIRPORT IMAGINARY SURFACES

## **APPENDIX**

### **Forms:**

DOA-0007 (Rev. 01/2005) **CALIFORNIA AID TO AIRPORTS PROGRAM (CAAP) –  
CERTIFICATION**

DOA-0009 (Rev. 01/2005) **CALIFORNIA AID TO AIRPORTS PROGRAM (CAAP) - ANNUAL  
CREDIT DISBURSEMENT REQUEST**

DOA-0010 (Rev. 01/2005) **ACQUISITION & DEVELOPMENT GRANT – APPLICATION**

DOA-0011 (New 01/2005) **STATE MATCHING GRANT FOR AIRPORT IMPROVEMENT  
PROGRAM SECURITY COMPONENT– APPLICATION**

DOA-0012 (Rev. 01/2005) **STATE MATCHING GRANT FOR FAA AIRPORT  
IMPROVEMENT PROGRAM – APPLICATION**

DOA-0013 (Rev. 01/2005) **AIRPORT DEVELOPMENT LOAN - APPLICATION**

DOA-0019 (Rev. 01/2005) **MATCHING FUNDS LOAN - APPLICATION**

DOA-0020 (Rev. 01/2005) **REVENUE GENERATING LOAN – APPLICATION**

**CALIFORNIA AID TO AIRPORTS PROGRAM (CAAP) - CERTIFICATION**

DOA-0007 (Rev 01/2005)

**PART I. AIRPORT CERTIFICATION**

I am authorized by \_\_\_\_\_ (Public Entity)  
and *hereby certify* that \_\_\_\_\_ (Airport Name),

for the fiscal year \_\_\_\_\_, meets the eligibility requirements of, and will be operated and maintained in accordance with, Sections 21680 through 21688 of the California Public Utilities Code (PUC) and the CAAP Regulations found in Title 21 of the California Code of Regulations, Division 2.5, Chapter 4.

1. This airport meets the Permit and Funding Requirements of CAAP Section 4056.
2. The Public Entity has control over airport operations under rules, regulations, or operating procedures adopted by Ordinance or Resolution # \_\_\_\_\_ dated \_\_\_\_\_ per CAAP Section 4057.
3. Required airport surfaces for all usable runways are protected in accordance with the provisions of PUC Section 21688 and CAAP Section 4058.
4. The above airport is designated by the Federal Aviation Administration as \_\_\_\_\_ (Select One: Reliever, Commercial Service, General Aviation, or Non-NPIAS) [PUC Section 21682(b)].
5. Current Airport Layout Plan dated \_\_\_\_\_ is on file with the Division of Aeronautics (Information only: not a requirement for eligibility certification).

SIGNATURE	PRINT NAME	TITLE
BUSINESS ADDRESS	BUSINESS PHONE	DATE

**PART II. FINANCIAL CERTIFICATION**

I *hereby certify* that a SPECIAL AVIATION FUND has been established and will be maintained with a separate account for said airport in accordance with PUC Section 21684. Disbursements from this account will only be made in accordance with PUC Section 21681 and CAAP Regulations.

SIGNATURE (Finance Officer)	PRINT NAME	TITLE
BUSINESS ADDRESS	BUSINESS PHONE	DATE

**FOR AERONAUTICS USE ONLY:**

VERIFIED BY	DATE
-------------	------

Send Completed and Signed Certification to:  
CALIFORNIA DEPARTMENT OF TRANSPORTATION  
DIVISION OF AERONAUTICS - MS #40  
P. O. BOX 942874  
SACRAMENTO, CA 94274-0001

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**CALIFORNIA AID TO AIRPORTS PROGRAM (CAAP) - ANNUAL CREDIT DISBURSEMENT REQUEST**

DOA-0009 (Rev 01/2005)

**PART I. REQUEST FOR DISBURSEMENT**

The \_\_\_\_\_ (Public Entity)  
 requests \$\_\_\_\_\_ from the account of \_\_\_\_\_ (Airport Name)  
 for expenditure solely on this airport during the fiscal year of \_\_\_\_\_ .

SIGNATURE (Airport Manager) \_\_\_\_\_ Phone (\_\_\_\_) \_\_\_\_\_

Reimbursement for the following expenditures is requested:

- \$ \_\_\_\_\_ Item: \_\_\_\_\_

Attach additional sheets if needed

**PART II. FINANCIAL CERTIFICATION**

I *hereby certify* that the public entity will expend the requested funds pursuant to Public Utilities Code Section 21682 and the CAAP Regulations for the above stated purposes.

SIGNATURE (Finance Officer)	DATE
PRINT NAME	TITLE
BUSINESS ADDRESS	BUSINESS PHONE

FOR AERONAUTICS USE ONLY		VERIFIED BY		DATE	
FISCAL INFORMATION		F.Y.	AMOUNT	F.Y.	AMOUNT
F.Y.	AMOUNT	F.Y.	AMOUNT	F.Y.	AMOUNT

Send Original Completed and Signed Request to:  
**CALIFORNIA DEPARTMENT OF TRANSPORTATION**  
**DIVISION OF AERONAUTICS - MS #40**  
**P. O. BOX 942874**  
**SACRAMENTO, CA 94274-0001**

PLEASE PRINT OR TYPE AND COMPLETE ALL ITEMS

**PART I. AIRPORT INFORMATION**

PUBLIC ENTITY	AIRPORT NAME	PERMIT NO.
CONTACT NAME	TITLE	
BUSINESS ADDRESS	BUSINESS PHONE	

**PART II. PROJECT INFORMATION**

Verify that project is within the Department's most recent Capital Improvement Plan: Yes  No  If no, then project is not eligible for grant funds.

PROJECT DESCRIPTION	ESTIMATED TOTAL COST	APPLICANT'S FUNDS	CAAP FUNDS

**PART III. REQUIRED SUPPORTING DOCUMENTS**

Pursuant to Public Utilities Code Sections 21681-21684 and Section 4064 of the CAAP Regulations, submit the following documents with this application:

- Local government approval (*resolution or minute order*) as described in Section 4064(a).
- FAA's final determination regarding submitted FAA forms, such as FAA Forms 7460-1 and 7480-1, if applicable.
- Verification of full compliance with the California Environmental Quality Act (CEQA) by submitting information to fulfill either 1. or 2. below:
  1. Copy of Notice of Exemption or provide the Categorical Exemption Class # \_\_\_\_\_ (CEQA Guidelines Sections 15300-15333)
  2. Copy of Notice of Determination or provide the following information:
    - Environmental Impact Report (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - Negative Declaration (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - National Environmental Policy Act (NEPA) document (Title/Date) \_\_\_\_\_  
 (NEPA documents-Environmental Impact Statement or Finding of No Significant Impact must comply with CEQA provisions)
- 11 x 17-inch Drawing or Airport Layout Plan showing project location(s) and dimensions.
- Completed CAAP Certification (Form DOA-0007), if not submitted to the Division of Aeronautics earlier for this fiscal year.

**PART IV. AUTHORIZATION**

AUTHORIZED OFFICIAL'S SIGNATURE	TITLE
PRINT NAME	DATE

SEND COMPLETED APPLICATION AND ALL SUPPORTING DOCUMENTS TO:

CALIFORNIA DEPARTMENT OF TRANSPORTATION  
 DIVISION OF AERONAUTICS – MS #40  
 P.O. BOX 942874  
 SACRAMENTO, CA 94274-0001

**State Matching Grant for Airport Improvement Program Security Component– Application**

DOA-0011 (New 01/2005)

Please print or type and complete		
<b>Part I. Airport Information</b>		
PUBLIC ENTITY	AIRPORT NAME	PERMIT NO.
CONTACT NAME	TITLE	
BUSINESS ADDRESS	BUSINESS PHONE	

<b>Part II. Project Information</b>		
Verify that project is within the Department's most recent Capital Improvement Plan: Yes ___ No ___ If no, then project is not eligible for grant funds.		
TITLE OF APPLICANT'S PROJECT (as shown on page one of the executed AIP grant and in the adopted Capital Improvement Plan):	SECURITY COMPONENT OF FEDERAL GRANT	\$
	APPLICANT FUNDS (if any)	\$
	STATE MATCHING GRANT (request) *	\$
"Security" includes only fences, gates, security lights, and access control/surveillance systems	TOTAL COST OF SECURITY COMPONENT	\$
<b>Note:</b> If construction starts prior to the execution of the State Matching Grant, the project will be ineligible for State reimbursement.	* Maximum is the 10% local match of the federal grant	

<b>Part III. Required Supporting Documents</b>
--

Pursuant to Public Utilities Code Sections 21681-21684 and Section 4067 of the CAAP Regulations, submit the following documents with this application:

- Local government approval (*resolution or minute order*) as described in Section 4067(a).
- FAA Grant Agreement with FAA and sponsor signatures.
- Verification of full compliance with the California Environmental Quality Act (CEQA) by submitting information to fulfill either 1 or 2, below:
  1. Copy of Notice of Exemption or provide the Categorical Exemption Class # \_\_\_\_\_ (CEQA Guidelines Sections 15300-15333)
  2. Copy of Notice of Determination or provide the following information:
    - Environmental Impact Report (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - Negative Declaration (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - National Environmental Policy Act (NEPA) document (Title/Date) \_\_\_\_\_ (NEPA documents-Environmental Impact Statement or Finding of No Significant Impact must comply with CEQA provisions)
- 11 x 17-inch Drawing or Airport Layout Plan showing project location(s) and dimensions.
- Completed CAAP Certification (Form DOA-0007), if not submitted to the Division of Aeronautics earlier for this fiscal year.

<b>Part IV. Authorization</b>	
AUTHORIZED OFFICIAL'S SIGNATURE	TITLE
PRINT NAME	DATE

Send completed application and all required supporting documents to:

**California Department of Transportation  
Division of Aeronautics – MS #40  
P.O. Box 942874  
Sacramento, CA 94274-0001**

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

STATE OF CALIFORNIA – DEPARTMENT OF TRANSPORTATION  
**STATE MATCHING GRANT FOR FAA AIRPORT IMPROVEMENT PROGRAM – APPLICATION**  
 DOA-0012 (REV. 01/2005)

PLEASE PRINT OR TYPE AND COMPLETE ALL ITEMS

**PART I. AIRPORT INFORMATION**

PUBLIC ENTITY	AIRPORT NAME	PERMIT NO.
CONTACT NAME	TITLE	
BUSINESS ADDRESS	BUSINESS PHONE	

**PART II. PROJECT INFORMATION**

Verify that project is within the Department's most recent Capital Improvement Plan: Yes \_\_\_ No \_\_\_ If no, then project is not eligible for grant funds.

DESCRIPTIVE TITLE OF APPLICANT'S PROJECT (as shown on page one of the executed grant agreement and in the adopted Capital Improvement Plan): Attach Additional Sheets if Necessary	FEDERAL GRANT	\$
	APPLICANT FUNDS	\$
	STATE * FUNDS	\$
	TOTAL COST OF PROJECT	\$
	*Maximum is 5% of the federal grant amount	

**PART III. REQUIRED SUPPORTING DOCUMENTS**

Pursuant to Public Utilities Code Sections 21681-21684 and Section 4067 of the CAAP Regulations, submit the following documents with this application:

- Local government approval (*resolution or minute order*) as described in Section 4067(a).
- FAA Grant Agreement with FAA and sponsor signatures.
- Verification of full compliance with the California Environmental Quality Act (CEQA) by submitting information to fulfill either 1 or 2 below:
  1. Copy of Notice of Exemption or provide the Categorical Exemption Class # \_\_\_\_\_ (CEQA Guidelines Sections 15300-15333)
  2. Copy of Notice of Determination or provide the following information:
    - Environmental Impact Report (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - Negative Declaration (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - National Environmental Policy Act (NEPA) document (Title/Date) \_\_\_\_\_  
 (NEPA documents-Environmental Impact Statement or Finding of No Significant Impact must comply with CEQA provisions)
- 11 x 17-inch Drawing or Airport Layout Plan showing project location(s) and dimensions.
- Completed CAAP Certification (Form DOA-0007), if not submitted to the Division of Aeronautics earlier for this fiscal year.

**PART IV. AUTHORIZATION**

AUTHORIZED OFFICIAL'S SIGNATURE	TITLE
PRINT NAME	DATE

SEND COMPLETED APPLICATION AND ALL SUPPORTING DOCUMENTS TO:

CALIFORNIA DEPARTMENT OF TRANSPORTATION  
 DIVISION OF AERONAUTICS – MS #40  
 P.O. BOX 942874  
 SACRAMENTO, CA 94274-0001

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3680 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

PLEASE PRINT OR TYPE AND COMPLETE ALL APPLICABLE ITEMS

**PART I. AIRPORT INFORMATION**

PUBLIC ENTITY	AIRPORT NAME	PERMIT NO.
CONTACT NAME	TITLE	
BUSINESS ADDRESS	BUSINESS PHONE	

**PART II. PROJECT INFORMATION**

PROJECT DESCRIPTION	TOTAL COST	STATE LOAN	OTHER (identify)

**PART III. REQUIRED SUPPORTING DOCUMENTS**

Pursuant to Public Utilities Code Section 21602 and Chapter 5 of the CAAP Regulations, submit the following documents with this application:

- Local government approval (*resolution or minute order*) as described in Section 4072.1(a).
- FAA's final determination regarding submitted FAA forms, such as FAA Forms 7460-1 and 7480-1, if applicable.
- Verification of full compliance with the California Environmental Quality Act (CEQA) by submitting information to fulfill either 1. or 2. below:
  1. Copy of Notice of Exemption or provide the Categorical Exemption Class # \_\_\_\_\_ (CEQA Guidelines Sections 15300-15333)
  2. Copy of Notice of Determination or provide the following information:
    - Environmental Impact Report (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - Negative Declaration (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - National Environmental Policy Act (NEPA) document (Title/Date) \_\_\_\_\_  
 (NEPA documents-Environmental Impact Statement or Finding of No Significant Impact must comply with CEQA provisions)
- 11 x 17-inch Drawing or Airport Layout Plan showing project location(s) and dimensions.
- Completed CAAP Certification (Form DOA-0007), if not submitted to the Division of Aeronautics earlier for this fiscal year.
- Project Feasibility: (1) engineering and (2) financial pursuant to Section 4072.

**PART IV. AUTHORIZATION**

AUTHORIZED OFFICIAL'S SIGNATURE	TITLE
PRINT NAME	DATE

SEND COMPLETED APPLICATION AND ALL REQUIRED DOCUMENTS TO:

**CALIFORNIA DEPARTMENT OF TRANSPORTATION**  
**DIVISION OF AERONAUTICS - MS #40**  
**P. O. BOX 942874**  
**SACRAMENTO, CA 94274-0001**

PLEASE PRINT OR TYPE AND COMPLETE ALL APPLICABLE ITEMS

**PART I. AIRPORT INFORMATION**

PUBLIC ENTITY	AIRPORT NAME	PERMIT NO.
CONTACT NAME	TITLE	FEDERAL PROJECT NO.
BUSINESS ADDRESS	BUSINESS PHONE	

**PART II. PROJECT INFORMATION**

PROJECT DESCRIPTION	TOTAL COST	STATE LOAN	OTHER (identify)

**PART III. REQUIRED SUPPORTING DOCUMENTS**

Pursuant to Public Utilities Code Section 21602 and Chapter 5 of the CAAP Regulations, submit the following documents with this application:

- Local government approval (*resolution or minute order*) as described in Section 4072.1(a).
- FAA Grant Agreement with FAA and sponsor signatures.
- Verification of full compliance with the California Environmental Quality Act (CEQA) by submitting information to fulfill either 1. or 2. below:
  1. Copy of Notice of Exemption or provide the Categorical Exemption Class # \_\_\_\_\_ (CEQA Guidelines Sections 15300-15333)
  2. Copy of Notice of Determination or provide the following information:
    - Environmental Impact Report (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - Negative Declaration (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - National Environmental Policy Act (NEPA) document (Title/Date) \_\_\_\_\_  
 (NEPA documents-Environmental Impact Statement or Finding of No Significant Impact must comply with CEQA provisions)
- 11 x 17-inch Drawing or Airport Layout Plan showing project location(s) and dimensions.
- Completed CAAP Certification (Form DOA-0007), if not submitted to the Division of Aeronautics earlier for this fiscal year.
- Financial feasibility pursuant to Section 4072.

**PART IV. AUTHORIZATION**

AUTHORIZED OFFICIAL'S SIGNATURE	TITLE
PRINT NAME	DATE

SEND COMPLETED APPLICATION AND ALL REQUIRED DOCUMENTS TO:

**CALIFORNIA DEPARTMENT OF TRANSPORTATION**  
**DIVISION OF AERONAUTICS - MS #40**  
**P. O. BOX 942874**  
**SACRAMENTO, CA 94274-0001**

**Revenue Generating Loan – Application**DOA-0020 (Rev 01/2005)  
(Front)

PLEASE PRINT OR TYPE AND COMPLETE ALL APPLICABLE ITEMS

**Part I. Airport Information**

PUBLIC ENTITY	AIRPORT NAME	PERMIT NUMBER
CONTACT NAME	TITLE	
BUSINESS ADDRESS	BUSINESS PHONE NUMBER	

**Part II. Project Information**

PROJECT DESCRIPTION	Attach Additional Sheets if Necessary	TOTAL COST	STATE LOAN	OTHER ( <i>Identify</i> )
		\$	\$	\$

**Part III. Required Supporting Documents**

Pursuant to Public Utilities Code Section 21602 and Chapter 5 of the CAAP Regulations, submit the following documents with this application:

- Local government approval (*resolution or minute order*) as described in Section 4072.1(a).
- FAA Grant Agreement with FAA and sponsor signatures.
- Verification of full compliance with the California Environmental Quality Act (CEQA) by submitting information to fulfill either 1 or 2, below:
  1. Copy of Notice of Exemption or provide the Categorical Exemption Class # \_\_\_\_\_ (CEQA Guidelines Sections 15300-15333)
  2. Copy of Notice of Determination or provide the following information:
    - Environmental Impact Report (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - Negative Declaration (Title/Date) \_\_\_\_\_ State Clearinghouse (SCH)# \_\_\_\_\_ or
    - National Environmental Policy Act (NEPA) document (Title/Date) \_\_\_\_\_  
(NEPA documents-Environmental Impact Statement or Finding of No Significant Impact must comply with CEQA provisions)
- 11 x 17-inch Drawing or Airport Layout Plan showing project location(s) and dimensions.
- Completed CAAP Certification (Form DOA-0007), if not submitted to the Division of Aeronautics earlier for this fiscal year.
- Project Feasibility: (1) engineering; (2) economic (complete back of this form); and (3) financial.

**Part IV. Authorization**

AUTHORIZED OFFICIAL'S SIGNATURE	TITLE
PRINT NAME	DATE

Send completed application and all supporting documents to:

**California Department of Transportation**  
**Division of Aeronautics – MS #40**  
**P.O. Box 942874**  
**Sacramento, CA 94274-0001**

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3680 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

## Checklist for Economic Feasibility Revenue-Generating Loans

Public Entity \_\_\_\_\_ Airport \_\_\_\_\_

### A. Hangar Loan

Type & Number of Requested hangars \_\_\_\_\_  
(T-hangar, corporate, etc.)

Existing Hangars \_\_\_\_\_ Rental Rate(s) \_\_\_\_\_

Based Aircraft \_\_\_\_\_

Waiting List for Hangars?  Yes  No

Number on waiting list \_\_\_\_\_ Deposit to be on waiting list \$ \_\_\_\_\_

Estimated Costs: Hangar Construction \$ \_\_\_\_\_ Site Prep \$ \_\_\_\_\_

Other Construction/Preparation Costs \$ \_\_\_\_\_ **Total Cost \$** \_\_\_\_\_

Proposed Monthly Rental Rate, per Hangar \$ \_\_\_\_\_

Proposed Future Increases in Rental Rate \_\_\_\_\_ (for example, 3% per year)

### B. Other Revenue-Generating Loan

Project Description \_\_\_\_\_  
(for fueling facilities include types of fuel to be sold)

Estimated Cost of Project \$ \_\_\_\_\_

Projected Monthly Net Income from Project \$ \_\_\_\_\_  
(or, differential income if this project affects an existing operation, e.g. the project replaces an existing fueling facility)

Projected Future Increases in Monthly Net Income \$ \_\_\_\_\_

Check here if additional sheets are attached.

The Department may request more information if necessary to evaluate the project's economic feasibility.