## HIGH PROFILE PROJECT RESPONSIBILITIES LIST

Project Description: Super-Slab – Construct a pre-cast concrete pavement system that consists of pre-cast concrete panels with cast-in features for establishing load transfer, lane-to-lane ties, handling reinforcement, and uniform support under the panels.
08-RIV-15-KP 82.8/84.1 (PM 51.4/52.3)
08-SBD-15-KP 0.0/6.3 (PM 0.0/3.9)
EA Number: 08- 47221

Discussion of Involvement: In discussing delegation with the District (Jamal Elsaleh– Acting DDD Program/Project Management, Robert So, Bruce Ko, Emad Makar, Meadey Tim, and Raghuram Radhakrishnan) we agreed that delegations to Caltrans as shown below will be revisited as development of this project progresses and more information are disclosed.

The activities with highlighted [ ] cells indicate whether this approval action will be made either by FHWA or delegated to Caltrans if the particular activity is of low risk to the project or the FAHP.

| APPROVAL ACTION | Approval Authority<br>High Profile Projects |
|---|---|
| **ADMINISTRATION** | |
| **Financial Management** | |
| All Vouchers (progress payments and final) | FHWA |
| Federal-aid Project Agreement and Modification—Preliminary Engineering through Construction [23 CFR 630.110] | FHWA |
| Funding Eligibility Determinations | FHWA |
| Obligate funds | FHWA |
| Section 1.9 Waiver [23 CFR Section 1.9] | FHWA |
| **PROJECT DEVELOPMENT** | |
| **ROW** | |
| Accept ROW certificate 3 as a condition of PS&E approval [23 CFR 635.309(c)(3)] | FHWA |
| Accept ROW certificates 1 and 2 as a condition of PS&E approval [23 CFR 635.309(c)(1)&(2)] | FHWA |
| Air space agreements / Non-highway use and occupancy not on the Interstate [23 CFR 710.405] | FHWA |
| Air space agreements / Non-highway use and occupancy on the Interstate [23 CFR 710.405] | FHWA |
| Control of Access [23 CFR 620.203(h)] | FHWA |
| Functional Replacement [23 CFR 710.509] | FHWA |
| Junkyard Control [23 CFR 751.25] | FHWA |
| Outdoor Advertising Sign Removal Projects [23 CFR 750.307] | FHWA |
| Protective Buying and Hardship Acquisition [23 CFR 710.307, 503] | FHWA |

| APPROVAL ACTION | Approval Authority<br>High Profile Projects |
|---|---|
| Public Interest Finding (PIF) - Disposal of federally funded ROW [23 CFR 710.403, 409] | FHWA |
| Railroad Agreement [23 CFR 646.216 (3)(d)] | FHWA |
| Relinquishment of a Highway Facility for continued highway purposes [23 CFR 620.201, 202, 203] | FHWA |
| Request for Credits for Early Acquisition of ROW [23 CFR 710.501] | FHWA |
| Request for Direct Federal Acquisition [23 CFR 710.603] | FHWA |
| Request for Federal Land Transfer [23 CFR 710.601] | FHWA |
| Request for Waivers [49 CFR 24.204(b)] | FHWA |
| Utility Agreement [23 CFR 645.113, 119] | Caltrans |
| Utility Relocation [23 CFR 645 subparts A and B] | FHWA |
| Withholding of Payments [23 CFR 710.203(c), 23 CFR 1.36] | FHWA |
| **Environment** | |
| Categorical Exclusion (CE) [23 CFR771.117 (c) and (d): SAFETEA-LU 6004; 23 CFR 771.117 all other CEs: SAFETEA-LU 6005] | Caltrans (1) |
| Certification of Public Hearing [23 CFR 771.111(h)(2)(vi)] | Caltrans |
| Draft Environmental Impact Statement (DEIS) [23 CFR 771.123; 23 CFR 771.123 (e); SAFETEA-LU 6005] | Caltrans (1) |
| Environmental Assessment (EA) Availability to the Public [23 CFR 771.1199(c); SAFETEA-LU 6005] | Caltrans (1) |
| Final Environmental Impact Statement (FEIS) [23 CFR 771.125; 23 CFR 771.125(c); SAFETEA-LU 6005] | Caltrans (1) |
| FEIS Legal Sufficiency [23 CFR 771.125(b); SAFETEA-LU 6005] | Caltrans (1) |
| Finding of No Significant Impact [23 CFR 771.121; SAFETEA-LU 6005] | Caltrans (1) |
| Noise Abatement [23 CFR 772] | Caltrans |
| Project-Level Transportation Conformity for CE processed under SAFETEA-LU 6004 MOU [40 CFR 93] | Caltrans (1) |
| Project-Level Transportation Conformity for CE, EA and Environmental Impact Statement (EIS) processed under SAFETEA-LU 6005 MOU [40 CFR 93] | FHWA |
| Record of Decision [23 CFR 771.127; SAFETEA-LU 6005] | Caltrans (1) |
| Re-evaluation on Approved Environmental Documents [23 CFR 771.129; SAFETEA-LU 6004 & 6005] | Caltrans (1) |
| Section 4(f) *De Minimis* Determination [SAFETEA-LU 6004, 6005 & 6009, 49 USC 303] | Caltrans (1) |
| Section 4(f) Individual [23 CFR 771.135; SAFETEA-LU 6004 & 6005] | Caltrans (1) |
| Section 4(f) Programmatic [23 CFR 771.135; SAFETEA-LU 6004 & 6005] | Caltrans (1) |
| Supplemental EIS [23 CFR 771.130; SAFETEA-LU 6005] | Caltrans (1) |
| **Preliminary Design** | |
| Consultant Selection [23CFR 172.5] | FHWA |
| Financial Plans for projects from $100M to $499M [SAFETEA-LU 1904] | Caltrans |
| Major ITS Project Development [23 CFR 940.11] | FHWA |

| APPROVAL ACTION | Approval Authority |
|---|---|
| | High Profile Projects |
| Major Projects and TIFIA Loan Projects - Project Management Plan and Financial Plan Approval [SAFETEA-LU 1904] | FHWA |
| Minor ITS Project Development [23 CFR 940.11] | Caltrans |
| New/Modified Interstate Access Determination of Engineering and Operations Acceptability [Feb 1998 Federal Register, Vol#28 - (minor access changes delegated to Caltrans, see letter dated September 15, 1994] | FHWA (Caltrans) |
| PIF – Airspace Clearance FAA [CFR 620.104] | FHWA |
| PIF - Use of Negotiated Consultant Contracts [23 CFR 172.5(3)] | FHWA |
| **Detailed Design** | |
| Approve preliminary plans for major and unusual structures | FHWA |
| Design Exceptions, non-Interstate (all other projects) [23 CFR 625.3] | Caltrans |
| Design Exceptions on the Interstate (13 controlling Criteria) [23 CFR 625.3] | FHWA |
| Experimental Features (Pilot and Demo) aka CEWP, design/sequencing | FHWA |
| New/Modified Interstate Access Control Change - Final Approval [Feb 1998 Federal Register, Vol#28] | FHWA |
| PIF – Statewide and project specific use of proprietary products and processes [23 CFR 635.411]. If statewide, FHWA approval. | FHWA |
| PIF and Cost Justification Letter - Statewide and Project Specific - Concur in use of publicly furnished materials and expenses [23 CFR 635.407]. If statewide, FHWA approval. | FHWA |
| ROW encroachments - Use and occupancy of acquired ROW [23 CFR 710.401, HDM 504.8] | FHWA |
| Value Engineering [23 CFR 627, SAFETEA-LU 1904] | Caltrans |
| **PS&E and Advertising** | |
| Authorize advertising for bids [23 CFR 635.112] | FHWA |
| Authorize utility or railroad force account work [23 CFR 645.113 & 646.216] | FHWA |
| Bid Analysis (Engineer Estimates) | FHWA |
| Consultant Agreements [23 CFR 172.7 - 172.9] | FHWA |
| Exempt bridge from Coast Guard permit requirements [23 CFR 650.805] | FHWA |
| Hiring of consultant to serve in a "management" role [23 CFR 172.9(d)] | FHWA |
| Noise - Reasonable and Feasible Determination for PS&E approval [23 CFR 772.11(g)] | Caltrans (1) |
| PIF - Advertising period less than three weeks [23 CFR 635.112] | FHWA |
| PIF - Use of contracting method other than competitive bidding [23 CFR 635.104 & 204] | FHWA |
| PIF - Use of Force Account [23 CFR 635.204, 205] | FHWA |
| PIF - Use of Mandatory Borrow/Disposal Sites [23 CFR 635.407] | FHWA |
| PIF - Use of Publicly Owned Equipment [23 CFR 635.106] | FHWA |
| PS&E [23 CFR 630.205, 23 USC 106] | FHWA |
| Supplemental Work Item Justification | FHWA |

| APPROVAL ACTION | Approval Authority |
|---|---|
| | High Profile Projects |
| Utility and railroad agreements [23 CFR 645.113 & 646.216] | FHWA |
| Warranties [23 CFR 635.413] | FHWA |
| **Construction** | |
| Accept Materials Certification [23 CFR 637.207] | FHWA |
| Addenda during advertising period [23 CFR 635.112(c)] | FHWA |
| Buy America Waiver [23 CFR 635.410, ISTEA Sec. 1041(a) & 1048(a), 41 CFR 10 (a-d)] Submit to HQ if >$50K. | FHWA |
| Concur in award of contract [23 CFR 635.114] | FHWA |
| Concur in rejection of all bids [23 CFR 635.114] | FHWA |
| Concur in settlement of contract claims [23 CFR 635.124; C&M Manual, Chapter 2] | FHWA |
| Concur in termination of contracts [23 CFR 635.125] | FHWA |
| Construction engineering by local agency [23 CFR 635.105] | FHWA |
| Contract time extensions [23 CFR 635.120 & 121] | FHWA |
| Final inspection/acceptance of completed work [23 USC 114(a)] | FHWA |
| Incentive/Disincentive Amount Justification [23 CFR 635.127] | FHWA |
| Innovative Contracting Requirements [SEP 14 & 15] | FHWA |
| Liquidated Damages (rates subject to FHWA approval) [23 CFR 635.127] | FHWA |
| Major changes and extra work [23 CFR 635.120] | FHWA |
| Minor changes and extra work [23 CFR 635.120] | FHWA |
| Subcontracting Requirements [23 CFR 635.116(b)] | FHWA |
| **Research** | |
| Experimental Features [FAPG Ch. 6, Sect G 6042.4] | FHWA |
| **Emergency Relief** | |
| ER Damage Assessments and Reports on the SHS [23 CFR 668, 23 USC 120 and 125] | FHWA |
| ER Damage Assessments and Reports off the SHS [23 CFR 668; 23 USC 120 and 125; ER Q&A, Question #5 Revised DAF and #8 Coordination with Other Agencies] | FHWA/ Caltrans |

**Signatures**

5/21/08 — FHWA Project Oversight Manager (Bren I. George-Nwabugwu)

5-21-08 — Caltrans Project Manager (Bryce Johnston)