

DEPARTMENT OF TRANSPORTATION
DIVISION OF ENGINEERING SERVICES
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**** WARNING ** WARNING ** WARNING ** WARNING ****
This document is intended for informational purposes only.

Users are cautioned that California Department of Transportation (Department) does not assume any liability or responsibility based on these electronic files or for any defective or incomplete copying, excerpting, scanning, faxing or downloading of the contract documents. As always, for the official paper versions of the bidders packages and non-bidder packages, including addenda write to the California Department of Transportation, Plans and Bid Documents, Room 0200, P.O. Box 942874, Sacramento, CA 94272-0001, telephone (916) 654-4490 or fax (916) 654-7028. Office hours are 7:30 a.m. to 4:15 p.m. When ordering bidder or non-bidder packages it is important that you include a telephone number and fax number, P.O. Box and street address so that you can receive addenda.

November 28, 2007

11-SD-905-R13.9/R18.6
11-091824
HPLU-6211(071)N
HPLU-6211(072)N
HPLU-6211(073)N

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in SAN DIEGO COUNTY IN SAN DIEGO FROM 0.6 KM EAST OF CACTUS ROAD TO 0.3 KM WEST OF THE MEXICO BORDER.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on December 13, 2007.

This addendum is being issued to revise the Notice to Contractors and Special Provisions, the Proposal and Contract, and the Federal Minimum Wages with Modification Number 17 dated 11-23-07.

In the Special Provisions, Section 1, "SPECIFICATIONS AND PLANS," the fifth paragraph is revised as follows:

"Complete design of Phase II, including final plans for Phase II, will be provided to the Contractor within 90 days after approval of the contract."

In the Special Provisions, Section 1, "SPECIFICATIONS AND PLANS," the seventh paragraph is revised as follows:

"Complete design of Phase III, including final plans for Phase III, will be provided to the Contractor within 180 days after approval of the contract."

In the Special Provisions, Section 3-1.01B, "AWARD AND EXECUTION OF CONTRACT," is revised as follows:

"3-1.01B AWARD AND EXECUTION OF CONTRACT

The award of the contract, if it be awarded, will be to the lowest responsible bidder whose proposal complies with all the requirements prescribed.

The contract shall be executed by the successful bidder and shall be returned, together with the contract bonds and the documents identified in Section 3-1.025, "Insurance Policies," of the Standard Specifications, to the Department so that it is received within 10 business days after the bidder has received the contract for execution. Failure to do so shall be just cause for forfeiture of the proposal guaranty. The executed contract documents shall be delivered to the following address: Department of Transportation MS 43, Attn: Office Engineer, 1727 30th Street, Sacramento, CA 95816.

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A "CALTRANS BIDDER - DBE INFORMATION" form will be included in the contract documents to be executed by the successful bidder. The purpose of the form is to collect data required under 49 CFR 26. Even if no DBE participation will be reported, the successful bidder must execute and return the form.

The bidder's "CALTRANS BIDDER - DBE INFORMATION" form should include the names, addresses and phone numbers of DBE firms that will participate, with a complete description of work or supplies to be provided by each, and the dollar value of each DBE transaction. When 100 percent of a contract item of work is not to be performed or furnished by a DBE, a description of the exact portion of that work to be performed or furnished by that DBE should be included in the DBE information, including the planned location of that work. A bidder certified as a DBE should describe the work it has committed to performing with its own forces as well as any other work that it has committed to be performed by DBE subcontractors, suppliers and trucking companies.

The bidder is encouraged to provide written confirmation from each DBE that the DBE is participating in the contract. A copy of a DBE's quote will serve as written confirmation that the DBE is participating in the contract. If a DBE is participating as a joint venture partner, the bidder is encouraged to submit a copy of the joint venture agreement.

The "CALTRANS BIDDER - DBE INFORMATION" form should be returned to the Department by the successful bidder with the executed contract, contract bonds and the documents identified in Section 3-1.025, "Insurance Policies," of the Standard Specifications.

A "Payee Data Record" form will be included in the contract documents to be executed by the successful bidder. The purpose of the form is to facilitate the collection of taxpayer identification data. The form shall be completed and returned to the Department by the successful bidder with the executed contract, contract bonds and the documents identified in Section 3-1.025, "Insurance Policies," of the Standard Specifications. For the purposes of the form, payee shall be deemed to mean the successful bidder. The form is not to be completed for subcontractors or suppliers. Failure to complete and return the "Payee Data Record" form to the Department as provided herein will result in the retention of 31 percent of payments due the Contractor and penalties of up to \$20,000. This retention of payments for failure to complete the "Payee Data Record" form is in addition to any other retention of payments due the Contractor."

In the Special Provisions, Section 5-1.31, "LOAD LIMITATIONS," is added as follows:

"5-1.31 LOAD LIMITATIONS

Attention is directed to Section 7-1.02, "Load Limitations," of the Standard Specifications and these special provisions.

The Contractor may exceed the load limits set forth by the Vehicle Code, as specified in Section 7-1.02, "Load Limitations," of the Standard Specifications.

At Otay Mesa Road from Britannia Boulevard to Station 24+00, the Contractor may exceed the allowable gross weight by 15,430 kg and the combination of vehicles coupled together may be 26.5 meters. The length may be exceeded only when a combination of vehicles coupled together is used and only one of the trailers in the combination may exceed the 8.7 meters length limit.

All other requirements regarding Axle load and axle distance shall conform to the California Vehicle Code."

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In the Special Provisions, Section 10-1.01, "ORDER OF WORK," the table in the sixth paragraph is revised as follows:

Right-of-Way Parcel Numbers and Clearance Dates		
	Parcel No.	Clearance Dates
1	31372	1/01/2008
2	31381	1/01/2008

In the Special Provisions, Section 10-1.45, "JOINTED PLAIN CONCRETE PAVEMENT," the subsection, "PROFILE INDEX," is deleted.

In the Proposal and Contract, the section, "PRE-AWARD QUALIFICATION QUESTIONNAIRE," is added before the "PROPOSAL TO THE DEPARTMENT OF TRANSPORTATION" as attached.

To Proposal and Contract book holders:

Inquiries or questions in regard to this addendum must be communicated as a bidder inquiry and must be made as noted in the NOTICE TO CONTRACTORS section of the Notice to Contractors and Special Provisions.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Submit bids in the Proposal and Contract book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

This office is sending this addendum by confirmed facsimile to all book holders to ensure that each receives it. A copy of this addendum is available for the contractor's use on the Internet Site:

http://www.dot.ca.gov/hq/esc/oe/weekly_ads/addendum_page.html

If you are not a Proposal and Contract book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

ORIGINAL SIGNED BY

REBECCA D. HARNAGEL, Chief
Office of Plans, Specifications & Estimates
Division of Engineering Services - Office Engineer

Attachment

ADDITIONAL QUESTIONS:

1. Has any Contractor's State License Board license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last 5 years?

If yes, please explain on a separate signed sheet.

2. In the last 5 years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

If yes, explain on a separate signed sheet. State whether the firm involved was the firm applying for prequalification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project and the basis for the action.

3. Has Cal-OSHA or the Federal Occupational Safety and Health Administration cited and assessed penalties against your firm for any serious, willful or repeat violations of its safety or health regulations in the past 5 years?

If yes attach a separate signed sheet describing the citations, including information about the dates of the citations, the nature of the violations, the projects on which the citations were issued, the amount of penalties paid, if any, and specific actions your firm has taken to prevent recurrence of the listed alleged or actual safety violations. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

4. During the last 5 years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

5. At any time during the last 5 years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private?

If yes, explain on a separate signed sheet the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such claim was resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

6. In the past 5 years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

If yes, explain on a separate signed sheet. Name the insurance carrier, the form of insurance and the year of the refusal.

Signature of Prospective Bidder

In signing this Questionnaire, the prospective bidder certifies that the information and answers on the "Pre-Award Qualification Questionnaire" are complete and accurate.