STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

# FORMS FOR
# BID

**FOR CONSTRUCTION ON STATE HIGHWAY IN INYO AND MONO COUNTIES AT VARIOUS LOCATIONS**

**In District 09 On Routes 6, 108, 136, 158, 168, 182, 395**

**Under**

| | |
|---|---|
| ***Notice to Bidders and Special Provisions*** **dated September 21, 2015** | ***Standard Specifications*** **dated 2010** |
| **Project plans approved June 29, 2015** | ***Standard Plans*** **dated 2010** |

**To be submitted conjointly with**
**Electronic *Bid* book dated September 21, 2015**
**Identified by**
**Contract No. 09-356904**
**09-Iny,Mno-6, 108, 136, 158, 168, 182, 395-var**
**Project ID 0912000064**

**Federal-Aid Project**
**ACHSSTPG-000C(416)E**

Bids open Thursday, October 15, 2015

Dated September 21, 2015
AADD

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# DBE - COMMITMENT

DES-OE-0102.10D (REV 12/2014)

CONTRACT NO:

BID AMOUNT:

$

BID OPENING DATE:

BIDDER'S NAME:

DBE GOAL FROM CONTRACT %:

| DBE PRIME CONTRACTOR CERTIFICATION[1]: | TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) | TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) |
|---|---|---|
| | | |

| BID ITEM NO. | ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED[2] | WORK CATEGORY CODES[3] | NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors) | AMOUNT ($) |
|---|---|---|---|---|
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Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

[1]Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

[2]If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

[3]Use Work Category Codes from the California Unified Certification Program database.

**Total Claimed Participation**

$

%

**The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).**

Signature of Bidder

Date

(Area Code) Tel. No.

Person to Contact

(Please Type or Print)

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# DBE CONFIRMATION

DES-OE-0102.13 (NEW 3/2015)

Contract no.

Name of DBE business

Name of DBE representative

DBE certification number

Name of bidder

Name of prime contractor if different from the bidder

Name of representative of bidder or prime contractor

Date quote was provided to the bidder or prime contractor

| Bid item number | Item of work and description of services to be subcontracted or materials to be provided[1] | Amount ($) |
|---|---|---|
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| | | |
| | **Total** | |

[1] If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's authorized representative

Printed name of DBE's authorized representative

Title of DBE's authorized representative

Date

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# DBE GOOD FAITH EFFORTS DOCUMENTATION

DES-OE-0102.11A (REV 12/2014)

Bidder's Name: _____

Contract No.: _____



1. List items of work the Bidder made available to DBE firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

| Item of Work Offered, Services Offered, or Materials Supplied | Bidder Normally Performs Item Yes/No | Item Broken Down to Facilitate Participation Yes/No | Established Flexible Timeframes for Performance and Delivery Schedules Yes/No | Amount ($) | Percentage of Total Bid |
|---|---|---|---|---|---|
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |
| | ☐ YES ☐ NO | ☐ YES ☐ NO | ☐ YES ☐ NO | | |

# DBE GOOD FAITH EFFORTS DOCUMENTATION

DES-OE-0102.11A (REV 12/2014)

Bidder's Name: _____

Contract No.: _____



2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations. e-mail messages, telephone records, fax confirmations, etc.

| Name of DBE Solicited | Date of Initial Solicitation | Items of Work Offered | Follow Up Methods and Dates |
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3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

| Items of Work | Provided Plans/ Specifications for Work Offered Yes/No | Name of Selected Firm | DBE or Non-DBE | Name of Rejected Firm | Quote ($) | Price Difference ($) |
|---|---|---|---|---|---|---|
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |
| | ☐ YES ☐ NO | | | | | |

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

# DBE GOOD FAITH EFFORTS DOCUMENTATION

DES-OE-0102.11A (REV 12/2014)

Bidder's Name: _____

Contract No.: _____



4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

| Description of Outreach | Dates | Location (if applicable) | Results |
|---|---|---|---|
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5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

9. Include additional data to support a demonstration of good faith efforts.

**NOTE**: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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