



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

**NOTICE TO BIDDERS  
AND  
SPECIAL PROVISIONS**

**FOR CONSTRUCTION ON STATE HIGHWAY IN LOS ANGELES COUNTY IN LOS ANGELES AND CULVER CITY FROM 0.8 KM NORTH OF LA TIJERA BOULEVARD OVERCROSSING TO 0.4 KM SOUTH OF ROUTE 90/405 SEPARATION**

**In District 07 On Route 405**

**Under**

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**Bid book dated February 28, 2011**

**Standard Specifications dated 1999**

**Project Plans approved June 7, 2010**

**Standard Plans dated 2004**

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**Identified by**

**Contract No. 07-241304**

**07-LA-405-39.7/41.5**

**Project ID 0700000415**

**Federal-Aid Project**

**IM-405-3(020)E**

**Electronic Advertising Contract**

Bids open Thursday, April 28, 2011

Dated February 28, 2011

OSD

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# SPECIAL NOTICES

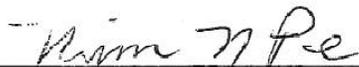
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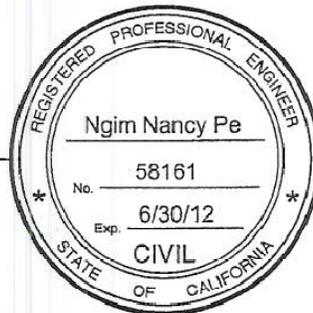
- The Department is providing an electronic Information Handout for this project. Refer to Section 2-1.03B, "Supplemental Project Information," in the Amendments to the Standard Specifications for the location of this information.
- See Section 2, "Bidding," of these special provisions regarding SSPC QP certification.
- The contract award period has been extended for this project.
- The Department is allowing contractors to submit electronic payroll records to the District Labor Compliance Office. Refer to section titled "Electronic Submission of Payroll Records" under Section 5, "General," of these special provisions.

# CONTRACT NO. 07-241304

The special provisions contained herein  
have been prepared by or under the  
direction of the following Registered  
Persons.

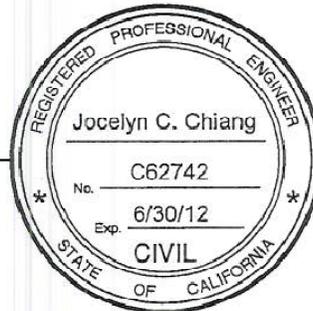
## HIGHWAYS

  
REGISTERED CIVIL ENGINEER



## MAINTAINING TRAFFIC

  
REGISTERED CIVIL ENGINEER



## ELECTRICAL (HIGHWAYS)

  
REGISTERED ELECTRICAL ENGINEER



# CONTRACT NO. 07-241304

The special provisions contained herein  
have been prepared by or under the  
direction of the following Registered  
Persons.

## LANDSCAPE



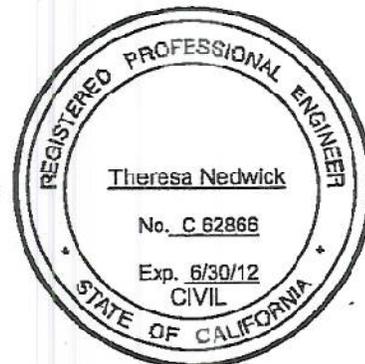
LICENSED LANDSCAPE ARCHITECT



## STRUCTURES

 OF 05-10 05-24-10

REGISTERED CIVIL ENGINEER



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# STANDARD PLANS LIST

The Standard Plan sheets applicable to this contract include, but are not limited to, those indicated below. Applicable Revised Standard Plans (RSP) and New Standard Plans (NSP) indicated below are included in the project plans as Standard Plan sheets.

|           |  |
|-----------|--|
| A10A      | Acronyms and Abbreviations (A-L)   |
| A10B      | Acronyms and Abbreviations (M-Z)   |
| A10C      | Symbols (Sheet 1 of 2)   |
| A10D      | Symbols (Sheet 2 of 2)   |
| A20A      | Pavement Markers and Traffic Lines, Typical Details                                      |
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| RSP A24C  | Pavement Markings – Symbols and Numerals   |
| A24E      | Pavement Markings – Words and Crosswalks   |
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| A62D      | Excavation and Backfill – Concrete Pipe Culverts   |
| A62DA     | Excavation and Backfill – Concrete Pipe Culverts   |
| A73A      | Object Markers   |
| A73C      | Delineators, Channelizers and Barricades   |
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| A87B      | Asphalt Concrete Dikes   |
| RSP A88A  | Curb Ramp Details  |
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| RSP P10   | Concrete Pavement – Dowel Bar Details  |
| RSP P12   | Concrete Pavement – Dowel Bar Basket Details   |
| RSP P17   | Concrete Pavement – Tie Bar Basket Details   |
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| RSP P20   | Concrete Pavement – Joint Details  |
| D73       | Drainage Inlets  |
| RSP D77A  | Grate Details  |
| D98C      | Grated Line Drain Details  |
| H1        | Planting and Irrigation – Abbreviations  |
| RSP H2    | Planting and Irrigation – Symbols  |
| H3        | Planting and Irrigation Details  |
| H4        | Planting and Irrigation Details  |

|           |   |
|-----------|---|
| H5        | Planting and Irrigation Details   |
| H6        | Planting and Irrigation Details   |
| H7        | Planting and Irrigation Details   |
| H9        | Planting and Irrigation Details   |
| H10       | Irrigation Controller Enclosure Cabinet   |
| RSP T1A   | Temporary Crash Cushion, Sand Filled (Unidirectional)                             |
| RSP T1B   | Temporary Crash Cushion, Sand Filled (Bidirectional)                              |
| RSP T2    | Temporary Crash Cushion, Sand Filled (Shoulder Installations)                     |
| T3        | Temporary Railing (Type K)  |
| T4        | Temporary Traffic Screen  |
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| RSP T7    | Construction Project Funding Identification Signs                                 |
| RSP T10   | Traffic Control System for Lane Closure on Freeways and Expressways               |
| RSP T10A  | Traffic Control System for Lane and Complete Closures on Freeways and Expressways |
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| T55       | Temporary Water Pollution Control Details (Temporary Erosion Control Blanket)     |
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| B7-5      | Deck Drains   |
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| B7-8      | Deck Drainage Details   |
| B7-10     | Utility Opening – Box Girder  |
| RSP B8-5  | Cast-In-Place Prestressed Girder Details  |
| B11-56    | Concrete Barrier Type 736   |
| B14-3     | Communication and Sprinkler Control Conduits (Conduit Less Than Size 103)         |
| RS1       | Roadside Signs, Typical Installation Details No. 1                                |
| RS2       | Roadside Signs - Wood Post, Typical Installation Details No. 2                    |
| RS3       | Roadside Signs - Laminated Wood Box Post Typical Installation Details No. 3       |
| RS4       | Roadside Signs, Typical Installation Details No. 4                                |
| RSP S2    | Overhead Signs – Truss, Single Post Type – Post Type II Thru IX                   |
| RSP S3    | Overhead Signs – Truss, Single Post Type – Base Plate and Anchorage Details       |
| RSP S7    | Overhead Signs – Truss, Single Post Type – Square Pedestal Foundation             |
| RSP S8    | Overhead Signs – Truss, Single Post Type – Round Pedestal Foundation              |
| RSP S9    | Overhead Signs – Truss, Two Post Type – Post Types I-S Thru VII-S                 |
| RSP S10   | Overhead Signs – Truss, Two Post Type – Base Plate and Anchorage Details          |
| RSP S11   | Overhead Signs – Truss, Two Post Type – Structural Frame Members                  |
| RSP S12   | Overhead Signs – Truss, Structural Frame Details                                  |
| RSP S13   | Overhead Signs – Truss, Frame Juncture Details                                    |
| RSP S14   | Overhead Signs – Truss, Two Post Type – Square Pedestal Foundation                |
| RSP S15   | Overhead Signs – Truss, Two Post Type – Round Pedestal Foundation                 |
| NSP S17A  | Overhead Signs – Walkway Details No. 3  |
| RSP S20   | Overhead Signs – Steel Frames – Removable Sign Panel Frames                       |
| S21       | Overhead Signs – Removable Sign Panel Frames Mounting Details                     |

|            |   |
|------------|---|
| RSP S22    | Overhead Signs – Truss, Removable Sign Panel Frames – 2794 mm and 3048 mm Sign Panels   |
| S90        | Channel and Bolt Hole Location, Overhead Formed Sign Panel  |
| S91        | Overhead Formed Sign Panel, Type A – 3 Mounting Hardware  |
| S92        | Overhead Formed Sign Panel  |
| S93        | Framing Details for Framed Single Sheet Aluminum Signs, Rectangular Shape   |
| S94        | Roadside Single Sheet Aluminum Signs, Rectangular Shape   |
| S95        | Roadside Single Sheet Aluminum Signs, Diamond Shape   |
| RSP ES-1A  | Electrical Systems (Symbols And Abbreviations)  |
| RSP ES-1B  | Electrical Systems (Symbols And Abbreviations)  |
| RSP ES-1C  | Electrical Systems (Symbols And Abbreviations)  |
| RSP ES-3H  | Electrical Systems (Irrigation Controller Enclosure Cabinet)  |
| ES-4A      | Electrical Systems (Signal Heads and Mountings)   |
| ES-4B      | Electrical Systems (Signal Heads and Mountings)   |
| RSP ES-4C  | Electrical Systems (Signal Heads and Mountings)   |
| RSP ES-4D  | Electrical Systems (Signal Heads and Mountings)   |
| ES-4E      | Electrical Systems (Signal Faces and Mountings)   |
| RSP ES-5A  | Electrical Systems (Detectors)  |
| ES-5B      | Electrical Systems (Detectors)  |
| ES-5C      | Electrical Systems (Detectors)  |
| RSP ES-5D  | Electrical Systems (Detectors)  |
| RSP ES-6A  | Electrical Systems (Lighting Standard Types 15 and 21)  |
| ES-6B      | Electrical Systems (Lighting Standards Types 15 and 21, Barrier Rail Mounted Details)   |
| RSP ES-6E  | Electrical Systems (Lighting Standards Types 30 and 31)   |
| ES-6F      | Electrical Systems (Lighting Standards Types 30 and 31, Base Plate Details)   |
| RSP ES-7B  | Electrical Systems (Signal And Lighting Standard – Type 1 Standard and Equipment Numbering)                                   |
| RSP ES-7E  | Electrical Systems (Signal and Lighting Standard – Case 3 Arm Loading, Wind Velocity = 161 km/h, Arm Lengths 4.6 m to 13.7 m) |
| RSP ES-7M  | Electrical Systems (Signal and Lighting Standards – Details No. 1)  |
| ES-7N      | Electrical Systems (Signal and Lighting Standards – Details No. 2)  |
| RSP ES-8   | Electrical Systems (Pull Box Details)   |
| RSP ES-9A  | Electrical Systems (Electrical Details, Structure Installations)  |
| RSP ES-9B  | Electrical Systems (Electrical Details, Structure Installations)  |
| RSP ES-9C  | Electrical Systems (Electrical Details, Structure Installations)  |
| ES-9D      | Electrical Systems (Electrical Details, Structure Installations)  |
| RSP ES-10  | Electrical Systems (Isolux Diagrams)  |
| RSP ES-11  | Electrical Systems (Foundation Installations)   |
| RSP ES-13A | Electrical Systems (Splicing Details)   |
| RSP ES-13B | Electrical Systems (Wiring Details and Fuse Ratings)  |
| ES-15A     | Electrical Systems (Sign Illumination Equipment)  |
| RSP ES-15C | Electrical Systems (Sign Illumination Equipment)  |
| RSP ES-15D | Electrical Systems (Lighting and Sign Illumination Control)   |

# NOTICE TO BIDDERS

Bids open Thursday, April 28, 2011

Dated February 28, 2011

General work description: Widen RC slab, CIP RCB, and CIP/PS box structures and highway.

The Department will receive sealed bids for CONSTRUCTION ON STATE HIGHWAY IN LOS ANGELES COUNTY IN LOS ANGELES AND CULVER CITY FROM 0.8 KM NORTH OF LA TIJERA BOULEVARD OVERCROSSING TO 0.4 KM SOUTH OF ROUTE 90/405 SEPARATION.

District-County-Route-Kilometer Post: 07-LA-405-39.7/41.5

Contract No. 07-241304

The Contractor must have either a Class A license or a combination of Class C licenses which constitutes a majority of the work.

The UDBE Contract goal is 8 percent.

Federal-aid project no.:  
IM-405-3(020)E

Bids must be on a unit price basis.

Complete the work, including plant establishment work, within 640 working days.

The estimated cost of the project is \$15,600,000.

No prebid meeting is scheduled for this project.

The Department will receive bids until 2:00 p.m. on the bid open date at 3347 Michelson Drive, Suite 100, Irvine, CA 92612-1692. Bids received after this time will not be accepted.

The Department will open and publicly read the bids at the above location immediately after the specified closing time.

District office addresses are provided in the Standard Specifications.

Bidders' inquiries may be presented to the Department by following the instructions at:

[http://www.dot.ca.gov/hq/esc/oe/project\\_status/bid\\_inq.html](http://www.dot.ca.gov/hq/esc/oe/project_status/bid_inq.html)

The Department posts responses to the questions at the District Web sites.

Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, such questions will not be treated as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, <http://www.dir.ca.gov>, or from the Department's Labor Compliance Office of the district in which the work is located.

The federal minimum wage rates for this Contract as determined by the United States Secretary of Labor are available at <http://www.dot.ca.gov/hq/esc/oe/federal-wages>.

Contract No. 07-241304

If the minimum wage rates as determined by the United States Secretary of Labor differs from the general prevailing wage rates determined by the Director of the California Department of Industrial Relations for similar classifications of labor, the Contractor and subcontractors must not pay less than the higher wage rate. The Department does not accept lower State wage rates not specifically included in the Federal minimum wage determinations. This includes helper, or other classifications based on hours of experience, or any other classification not appearing in the Federal wage determinations. Where Federal wage determinations do not contain the State wage rate determination otherwise available for use by the Contractor and subcontractors, the Contractor and subcontractors must not pay less than the Federal minimum wage rate that most closely approximates the duties of the employees in question.

The Department has made available Notices of Suspension and Proposed Debarment from the Federal Highway Administration. For a copy of the notices go to [http://www.dot.ca.gov/hq/esc/oe/contractor\\_info](http://www.dot.ca.gov/hq/esc/oe/contractor_info). Additional information is listed in the Excluded Parties List System at <https://www.epls.gov>.

DEPARTMENT OF TRANSPORTATION

RWR

**COPY OF BID ITEM LIST**

| Item No. | Item Code | Item Description                              | Unit of Measure | Estimated Quantity |
|----------|-----------|---|-----------------|--------------------|
| 1        | 070012    | PROGRESS SCHEDULE (CRITICAL PATH METHOD)      | LS              | LUMP SUM           |
| 2        | 070013    | SMALL BUSINESS UTILIZATION REPORT             | EA              | 7                  |
| 3        | 070018    | TIME-RELATED OVERHEAD                         | WDAY            | 390                |
| 4        | 072006    | TEMPORARY SUPPORT                             | LS              | LUMP SUM           |
| 5        | 042773    | TEMPORARY DECKING                             | LS              | LUMP SUM           |
| 6        | 074016    | CONSTRUCTION SITE MANAGEMENT                  | LS              | LUMP SUM           |
| 7        | 074019    | PREPARE STORM WATER POLLUTION PREVENTION PLAN | LS              | LUMP SUM           |
| 8        | 074027    | TEMPORARY EROSION CONTROL BLANKET             | M2              | 2500               |
| 9        | 074028    | TEMPORARY FIBER ROLL                          | M               | 700                |
| 10       | 074031    | TEMPORARY GRAVEL BAG BERM                     | M               | 10 000             |
| 11       | 074032    | TEMPORARY CONCRETE WASHOUT FACILITY           | EA              | 4                  |
| 12       | 074033    | TEMPORARY CONSTRUCTION ENTRANCE               | EA              | 6                  |
| 13       | 074038    | TEMPORARY DRAINAGE INLET PROTECTION           | EA              | 27                 |
| 14       | 074041    | STREET SWEEPING                               | LS              | LUMP SUM           |
| 15       | 120090    | CONSTRUCTION AREA SIGNS                       | LS              | LUMP SUM           |
| 16       | 019030    | DETOUR SIGNS                                  | EA              | 23                 |
| 17       | 120100    | TRAFFIC CONTROL SYSTEM                        | LS              | LUMP SUM           |
| 18       | 120149    | TEMPORARY PAVEMENT MARKING (PAINT)            | M2              | 36                 |
| 19       | 120159    | TEMPORARY TRAFFIC STRIPE (PAINT)              | M               | 28 000             |
| 20       | 120165    | CHANNELIZER (SURFACE MOUNTED)                 | EA              | 220                |

| Item No. | Item Code | Item Description   | Unit of Measure | Estimated Quantity |
|----------|-----------|--|-----------------|--------------------|
| 21       | 120300    | TEMPORARY PAVEMENT MARKER                                    | EA              | 4440               |
| 22       | 121161    | TEMPORARY TERMINAL SECTION (TYPE K)                          | EA              | 4                  |
| 23       | 129000    | TEMPORARY RAILING (TYPE K)                                   | M               | 2640               |
| 24       | 019031    | RELOCATE TEMPORARY RAILING (TYPE K)                          | M               | 1210               |
| 25       | 129100    | TEMPORARY CRASH CUSHION MODULE                               | EA              | 120                |
| 26       | 019032    | TEMPORARY CRASH CUSHION (TYPE ADIEM)                         | EA              | 5                  |
| 27       | 141103    | REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE) | M               | 7640               |
| 28       | 150227    | ABANDON PIPELINE   | M               | 60                 |
| 29       | 150608    | REMOVE CHAIN LINK FENCE                                      | M               | 85                 |
| 30       | 150662    | REMOVE METAL BEAM GUARD RAILING                              | M               | 470                |
| 31       | 150711    | REMOVE PAINTED TRAFFIC STRIPE                                | M               | 1740               |
| 32       | 150714    | REMOVE THERMOPLASTIC TRAFFIC STRIPE                          | M               | 8520               |
| 33       | 150715    | REMOVE THERMOPLASTIC PAVEMENT MARKING                        | M2              | 9                  |
| 34       | 150722    | REMOVE PAVEMENT MARKER                                       | EA              | 4510               |
| 35       | 150742    | REMOVE ROADSIDE SIGN   | EA              | 22                 |
| 36       | 150760    | REMOVE SIGN STRUCTURE  | EA              | 5                  |
| 37       | 150771    | REMOVE ASPHALT CONCRETE DIKE                                 | M               | 94                 |
| 38       | 150806    | REMOVE PIPE  | M               | 17                 |
| 39       | 150820    | REMOVE INLET   | EA              | 1                  |
| 40       | 151540    | RECONSTRUCT CHAIN LINK FENCE                                 | M               | 85                 |

| Item No. | Item Code | Item Description   | Unit of Measure | Estimated Quantity |
|----------|-----------|--|-----------------|--------------------|
| 41       | 152390    | RELOCATE ROADSIDE SIGN                                   | EA              | 1                  |
| 42       | 153103    | COLD PLANE ASPHALT CONCRETE PAVEMENT                     | M2              | 1070               |
| 43       | 153210    | REMOVE CONCRETE  | M3              | 0.5                |
| 44       | 153214    | REMOVE CONCRETE CURB                                     | M               | 390                |
| 45       | 153221    | REMOVE CONCRETE BARRIER                                  | M               | 310                |
| 46       | 155003    | CAP INLET  | EA              | 7                  |
| 47       | 157561    | BRIDGE REMOVAL (PORTION), LOCATION A                     | LS              | LUMP SUM           |
| 48       | 157562    | BRIDGE REMOVAL (PORTION), LOCATION B                     | LS              | LUMP SUM           |
| 49       | 157563    | BRIDGE REMOVAL (PORTION), LOCATION C                     | LS              | LUMP SUM           |
| 50       | 160101    | CLEARING AND GRUBBING                                    | LS              | LUMP SUM           |
| 51       | 190101    | ROADWAY EXCAVATION                                       | M3              | 4660               |
| 52       | 190105    | ROADWAY EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD)   | M3              | 1340               |
| 53       | 190110    | LEAD COMPLIANCE PLAN                                     | LS              | LUMP SUM           |
| 54 (F)   | 192003    | STRUCTURE EXCAVATION (BRIDGE)                            | M3              | 809                |
| 55 (F)   | 192020    | STRUCTURE EXCAVATION (TYPE D)                            | M3              | 57                 |
| 56 (F)   | 192037    | STRUCTURE EXCAVATION (RETAINING WALL)                    | M3              | 627                |
| 57 (F)   | 192053    | STRUCTURE EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD) | M3              | 653                |
| 58 (F)   | 193003    | STRUCTURE BACKFILL (BRIDGE)                              | M3              | 454                |
| 59 (F)   | 193013    | STRUCTURE BACKFILL (RETAINING WALL)                      | M3              | 1027               |
| 60 (F)   | 193030    | PERVIOUS BACKFILL MATERIAL                               | M3              | 117                |

| Item No. | Item Code | Item Description   | Unit of Measure | Estimated Quantity |
|----------|-----------|--|-----------------|--------------------|
| 61       | 193114    | SAND BACKFILL  | M3              | 17                 |
| 62 (F)   | 193119    | LEAN CONCRETE BACKFILL                                   | M3              | 95                 |
| 63       | 200001    | HIGHWAY PLANTING   | LS              | LUMP SUM           |
| 64       | 204096    | MAINTAIN EXISTING PLANTED AREAS                          | LS              | LUMP SUM           |
| 65       | 204099    | PLANT ESTABLISHMENT WORK                                 | LS              | LUMP SUM           |
| 66       | 206401    | MAINTAIN EXISTING IRRIGATION FACILITIES                  | LS              | LUMP SUM           |
| 67       | 208000    | IRRIGATION SYSTEM  | LS              | LUMP SUM           |
| 68       | 208732    | 250 MM CORRUGATED HIGH DENSITY POLYETHYLENE PIPE CONDUIT | M               | 10                 |
| 69       | 250401    | CLASS 4 AGGREGATE SUBBASE                                | M3              | 24                 |
| 70       | 260201    | CLASS 2 AGGREGATE BASE                                   | M3              | 51                 |
| 71       | 260210    | AGGREGATE BASE (APPROACH SLAB)                           | M3              | 5                  |
| 72       | 260301    | CLASS 3 AGGREGATE BASE                                   | M3              | 1790               |
| 73       | 280000    | LEAN CONCRETE BASE                                       | M3              | 1200               |
| 74       | 390133    | HOT MIX ASPHALT (TYPE B)                                 | TONN            | 830                |
| 75       | 394071    | PLACE HOT MIX ASPHALT DIKE                               | M               | 400                |
| 76       | 401000    | CONCRETE PAVEMENT  | M3              | 1690               |
| 77       | 404092    | SEAL PAVEMENT JOINT                                      | M               | 460                |
| 78       | 404094    | SEAL LONGITUDINAL ISOLATION JOINT                        | M               | 510                |
| 79       | 490655    | 400 MM CAST-IN-DRILLED-HOLE CONCRETE PILING              | M               | 1319               |
| 80       | 490657    | 600 MM CAST-IN-DRILLED-HOLE CONCRETE PILING              | M               | 2142               |

| Item No.  | Item Code | Item Description                                | Unit of Measure | Estimated Quantity |
|-----------|-----------|---|-----------------|--------------------|
| 81        | 490658    | 750 MM CAST-IN-DRILLED-HOLE CONCRETE PILING     | M               | 43                 |
| 82        | 490661    | 1.2 M CAST-IN-DRILLED-HOLE CONCRETE PILING      | M               | 65                 |
| 83        | 490663    | 1.5 M CAST-IN-DRILLED-HOLE CONCRETE PILING      | M               | 72                 |
| 84        | 490669    | 2.1 M CAST-IN-DRILLED-HOLE CONCRETE PILING      | M               | 40                 |
| 85        | 500001    | PRESTRESSING CAST-IN-PLACE CONCRETE             | LS              | LUMP SUM           |
| 86<br>(F) | 510051    | STRUCTURAL CONCRETE, BRIDGE FOOTING             | M3              | 81                 |
| 87<br>(F) | 510053    | STRUCTURAL CONCRETE, BRIDGE                     | M3              | 2092               |
| 88<br>(F) | 510060    | STRUCTURAL CONCRETE, RETAINING WALL             | M3              | 628                |
| 89<br>(F) | 510072    | STRUCTURAL CONCRETE, BARRIER SLAB               | M3              | 92                 |
| 90<br>(F) | 510085    | STRUCTURAL CONCRETE, APPROACH SLAB<br>(TYPE EQ) | M3              | 4                  |
| 91<br>(F) | 510086    | STRUCTURAL CONCRETE, APPROACH SLAB<br>(TYPE N)  | M3              | 25                 |
| 92        | 510087    | STRUCTURAL CONCRETE, APPROACH SLAB<br>(TYPE R)  | M3              | 50                 |
| 93<br>(F) | 510502    | MINOR CONCRETE (MINOR STRUCTURE)                | M3              | 65                 |
| 94        | 510504    | MINOR CONCRETE (PIPE ENCASEMENT)                | M3              | 18                 |
| 95<br>(F) | 510526    | MINOR CONCRETE (BACKFILL)                       | M3              | 12                 |
| 96        | 510800    | PAVING NOTCH EXTENSION                          | M3              | 2                  |
| 97        | 042774    | OUTRIGGER BOLSTER                               | EA              | 2                  |
| 98<br>(F) | 511035    | ARCHITECTURAL TREATMENT                         | M2              | 754                |
| 99        | 511047    | ANTI-GRAFFITI COATING                           | M2              | 2330               |
| 100       | 511106    | DRILL AND BOND DOWEL                            | M               | 68                 |

| Item No.   | Item Code | Item Description   | Unit of Measure | Estimated Quantity |
|------------|-----------|--|-----------------|--------------------|
| 101        | 042775    | PRESSURE GROUT BENT DOWEL                                    | M               | 72                 |
| 102        | 511110    | DRILL AND BOND DOWEL (CHEMICAL ADHESIVE)                     | EA              | 75                 |
| 103        | 042776    | CORE AND PRESSURE GROUT DOWELS                               | M               | 8                  |
| 104        | 515020    | REFINISH BRIDGE DECK   | M2              | 39                 |
| 105        | 042777    | JOINT SEAL (MR 25 MM)  | M               | 36                 |
| 106        | 519142    | JOINT SEAL (MR 40 MM)  | M               | 54                 |
| 107        | 519144    | JOINT SEAL (MR 50 MM)  | M               | 29                 |
| 108<br>(F) | 520102    | BAR REINFORCING STEEL (BRIDGE)                               | KG              | 556 720            |
| 109<br>(F) | 520103    | BAR REINFORCING STEEL (RETAINING WALL)                       | KG              | 51 261             |
| 110<br>(F) | 520120    | HEADED BAR REINFORCEMENT                                     | EA              | 1252               |
| 111<br>(F) | 540101    | ASPHALT MEMBRANE WATERPROOFING                               | M2              | 180                |
| 112<br>(F) | 550110    | COLUMN CASING  | KG              | 72 430             |
| 113<br>(F) | 560218    | FURNISH SIGN STRUCTURE (TRUSS)                               | KG              | 71 440             |
| 114<br>(F) | 560219    | INSTALL SIGN STRUCTURE (TRUSS)                               | KG              | 71 440             |
| 115        | 560233    | FURNISH FORMED PANEL SIGN (OVERHEAD)                         | M2              | 200                |
| 116        | 560238    | FURNISH SINGLE SHEET ALUMINUM SIGN (1.6 MM-UNFRAMED)         | M2              | 4                  |
| 117        | 560239    | FURNISH SINGLE SHEET ALUMINUM SIGN (2.0 MM-UNFRAMED)         | M2              | 19                 |
| 118        | 560241    | FURNISH SINGLE SHEET ALUMINUM SIGN (1.6 MM-FRAMED)           | M2              | 9                  |
| 119        | 560242    | FURNISH SINGLE SHEET ALUMINUM SIGN (2.0 MM-FRAMED)           | M2              | 8                  |
| 120        | 561015    | 1524 MM CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION) | M               | 48                 |

| Item No. | Item Code | Item Description                                     | Unit of Measure | Estimated Quantity |
|----------|-----------|--|-----------------|--------------------|
| 121      | 562002    | METAL (BARRIER MOUNTED SIGN)                         | KG              | 180                |
| 122      | 566011    | ROADSIDE SIGN - ONE POST                             | EA              | 13                 |
| 123      | 566012    | ROADSIDE SIGN - TWO POST                             | EA              | 4                  |
| 124      | 641132    | 300 MM PLASTIC PIPE                                  | M               | 65                 |
| 125      | 650069    | 450 MM REINFORCED CONCRETE PIPE                      | M               | 120                |
| 126      | 650075    | 600 MM REINFORCED CONCRETE PIPE                      | M               | 490                |
| 127      | 703233    | GRATED LINE DRAIN                                    | M               | 58                 |
| 128      | 721810    | SLOPE PAVING (CONCRETE)                              | M2              | 8330               |
| 129      | 731510    | MINOR CONCRETE (CURB, GUTTER, SIDEWALK AND DRIVEWAY) | M3              | 35                 |
| 130      | 731519    | MINOR CONCRETE (STAMPED CONCRETE)                    | M2              | 450                |
| 131      | 731623    | MINOR CONCRETE (CURB RAMP)                           | M3              | 2.3                |
| 132 (F)  | 750001    | MISCELLANEOUS IRON AND STEEL                         | KG              | 6928               |
| 133 (F)  | 750041    | ISOLATION CASING                                     | KG              | 71 069             |
| 134      | 750498    | MISCELLANEOUS METAL (RESTRAINER - CABLE TYPE)        | KG              | 4020               |
| 135 (F)  | 750501    | MISCELLANEOUS METAL (BRIDGE)                         | KG              | 9723               |
| 136 (F)  | 750505    | BRIDGE DECK DRAINAGE SYSTEM                          | KG              | 9754               |
| 137      | 832001    | METAL BEAM GUARD RAILING                             | M               | 170                |
| 138 (F)  | 833129    | CONCRETE BARRIER (TYPE 25A MODIFIED)                 | M               | 2                  |
| 139      | 839584    | ALTERNATIVE IN-LINE TERMINAL SYSTEM                  | EA              | 1                  |
| 140      | 839601    | CRASH CUSHION (TYPE CAT)                             | EA              | 1                  |

| Item No.   | Item Code | Item Description   | Unit of Measure | Estimated Quantity |
|------------|-----------|--|-----------------|--------------------|
| 141        | 839602    | CRASH CUSHION (TYPE CAT) BACKUP  | EA              | 1                  |
| 142        | 839605    | CRASH CUSHION (REACT 9SCBS)  | EA              | 1                  |
| 143        | 839703    | CONCRETE BARRIER (TYPE 60C)  | M               | 26                 |
| 144        | 839705    | CONCRETE BARRIER (TYPE 60E)  | M               | 55                 |
| 145        | 019033    | CONCRETE BARRIER (TYPE 60W)  | M               | 84                 |
| 146<br>(F) | 839727    | CONCRETE BARRIER (TYPE 736 MODIFIED)   | M               | 1045               |
| 147        | 839731    | CONCRETE BARRIER (TYPE 736B)   | M               | 160                |
| 148        | 840515    | THERMOPLASTIC PAVEMENT MARKING   | M2              | 120                |
| 149        | 840561    | 100 MM THERMOPLASTIC TRAFFIC STRIPE  | M               | 9350               |
| 150        | 840563    | 200 MM THERMOPLASTIC TRAFFIC STRIPE  | M               | 1230               |
| 151        | 840564    | 200 MM THERMOPLASTIC TRAFFIC STRIPE<br>(BROKEN 3.66 M - 0.92 M)                | M               | 1600               |
| 152        | 019034    | 200 MM THERMOPLASTIC TRAFFIC STRIPE<br>(BROKEN 11.00 M-3.50 M)                 | M               | 140                |
| 153        | 840570    | 100 MM THERMOPLASTIC TRAFFIC STRIPE<br>(BROKEN 10.98 M - 3.66 M)               | M               | 6660               |
| 154        | 840571    | 100 MM THERMOPLASTIC TRAFFIC STRIPE<br>(BROKEN 5.18 M - 2.14 M)                | M               | 2080               |
| 155        | 850101    | PAVEMENT MARKER<br>(NON-REFLECTIVE)  | EA              | 1860               |
| 156        | 850111    | PAVEMENT MARKER (RETROREFLECTIVE)  | EA              | 1810               |
| 157        | 860090    | MAINTAINING EXISTING TRAFFIC MANAGEMENT<br>SYSTEM ELEMENTS DURING CONSTRUCTION | LS              | LUMP SUM           |
| 158        | 860400    | LIGHTING (TEMPORARY)   | LS              | LUMP SUM           |
| 159        | 019035    | MODIFY COMMUNICATIONS SYSTEM   | LS              | LUMP SUM           |
| 160        | 860797    | ELECTRIC SERVICE (IRRIGATION)  | LS              | LUMP SUM           |

| Item No. | Item Code | Item Description                      | Unit of Measure | Estimated Quantity |
|----------|-----------|---------------------------------------|-----------------|--------------------|
| 161      | 861088    | MODIFY RAMP METERING SYSTEM           | LS              | LUMP SUM           |
| 162      | 861501    | MODIFY SIGNAL AND LIGHTING            | LS              | LUMP SUM           |
| 163      | 861504    | MODIFY LIGHTING AND SIGN ILLUMINATION | LS              | LUMP SUM           |
| 164      | 019036    | MODIFY LIGHTING (CITY)                | LS              | LUMP SUM           |
| 165      | 999990    | MOBILIZATION                          | LS              | LUMP SUM           |

# SPECIAL PROVISIONS

## SECTION 1. (BLANK)

## SECTION 2. BIDDING

### 2-1.01 SSPC QP CERTIFICATION PREAWARD QUALIFICATION

Submit the SSPC QP certification required for cleaning and painting in these special provisions.

If you do not submit the certification with your bid, submit it so that it is received by the Office Engineer no later than 4:00 p.m. on the 2nd business day after bid opening.

If you do not submit the certification within the specified time, the Department finds your bid nonresponsive.

### 2-1.02 TIE BID RESOLUTION

After bid verification, if there is a tie between 2 or more bidders, the Department breaks the tie by tossing a coin.

### 2-1.03 DISADVANTAGED BUSINESS ENTERPRISES

Under 49 CFR 26.13(b):

The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

Take necessary and reasonable steps to ensure that DBEs have opportunity to participate in the contract (49 CFR 26).

To ensure there is equal participation of the DBE groups specified in 49 CFR 26.5, the Department specifies a goal for Underutilized Disadvantaged Business Enterprises (UDBEs). UDBE is a firm that meets the definition of DBE and is a member of one of the following groups:

1. Black Americans
2. Native Americans
3. Asian-Pacific Americans
4. Women

References to DBEs include UDBEs, but references to UDBEs do not include all DBEs.

Make work available to UDBEs and select work parts consistent with available UDBE subcontractors and suppliers.

Meet the UDBE goal shown in the Notice to Bidders or demonstrate that you made adequate good faith efforts to meet this goal.

It is your responsibility to verify that the UDBE firm is certified as DBE at date of bid opening. For a list of DBEs certified by the California Unified Certification Program, go to:

[http://www.dot.ca.gov/hq/bep/find\\_certified.htm](http://www.dot.ca.gov/hq/bep/find_certified.htm)

Only UDBE participation will count towards the UDBE goal. DBE participation will count towards the Department's federally mandated statewide overall DBE goal.

Credit for materials or supplies you purchase from UDBEs counts towards the goal in the following manner:

1. 100 percent counts if the materials or supplies are obtained from a UDBE manufacturer.
2. 60 percent counts if the materials or supplies are obtained from a UDBE regular dealer.
3. Only fees, commissions, and charges for assistance in the procurement and delivery of materials or supplies count if obtained from a UDBE that is neither a manufacturer or regular dealer. 49 CFR 26.55 defines "manufacturer" and "regular dealer."

You receive credit towards the goal if you employ a UDBE trucking company that performs a commercially useful function as defined in 49 CFR 26.55.

### **UDBE Commitment Submittal**

Submit UDBE information on the Caltrans Bidder - UDBE - Commitment form included in the Bid book. If the form is not submitted with the bid, remove the form from the Bid book before submitting your bid.

If the UDBE Commitment form is not submitted with the bid, the apparent low bidder, the 2nd low bidder, and the 3rd low bidder must complete and submit the UDBE Commitment form to Office Engineer. UDBE Commitment form must be received by the Department no later than 4:00 p.m. on the 4th business day after bid opening.

Other bidders do not need to submit the UDBE Commitment form unless the Department requests it. If the Department requests you to submit a UDBE Commitment form, submit the completed form within 4 business days of the request.

Submit written confirmation from each UDBE stating that it is participating in the contract. Include confirmation with the UDBE Commitment form. A copy of a UDBE's quote will serve as written confirmation that the UDBE is participating in the contract.

If you do not submit the UDBE Commitment form within the specified time, the Department finds your bid nonresponsive.

### **Good Faith Efforts Submittal**

If you have not met the UDBE goal, complete and submit the Good Faith Efforts Documentation form with the bid showing that you made adequate good faith efforts to meet the goal. Only good faith efforts directed towards obtaining participation by UDBEs will be considered. If good faith efforts documentation is not submitted with the bid, it must be received by the Department no later than 4:00 p.m. on the 4th business day after bid opening.

If your UDBE Commitment form shows that you have met the UDBE goal or if you are required to submit the UDBE Commitment form, you must also submit good faith efforts documentation within the specified time to protect your eligibility for award of the contract in the event the Department finds that the UDBE goal has not been met.

Good faith efforts documentation must include the following information and supporting documents, as necessary:

1. Items of work you have made available to UDBE firms. Identify those items of work you might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate UDBE participation. For each item listed, show the dollar value and percentage of the total contract. It is your responsibility to demonstrate that sufficient work to meet the goal was made available to UDBE firms.
2. Names of certified UDBEs and dates on which they were solicited to bid on the project. Include the items of work offered. Describe the methods used for following up initial solicitations to determine with certainty if the UDBEs were interested, and the dates of the follow-up. Attach supporting documents such as copies of letters, memos, facsimiles sent, telephone logs, telephone billing statements, and other evidence of solicitation. You are reminded to solicit certified UDBEs through all reasonable and available means and provide sufficient time to allow UDBEs to respond.
3. Name of selected firm and its status as a UDBE for each item of work made available. Include name, address, and telephone number of each UDBE that provided a quote and their price quote. If the firm selected for the item is not a UDBE, provide the reasons for the selection.
4. Name and date of each publication in which you requested UDBE participation for the project. Attach copies of the published advertisements.
5. Names of agencies and dates on which they were contacted to provide assistance in contacting, recruiting, and using UDBE firms. If the agencies were contacted in writing, provide copies of supporting documents.
6. List of efforts made to provide interested UDBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. If you have provided information, identify the name of the UDBE assisted, the nature of the information provided, and date of contact. Provide copies of supporting documents, as appropriate.
7. List of efforts made to assist interested UDBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, and materials, excluding supplies and equipment that the UDBE subcontractor purchases or leases from the prime contractor or its affiliate. If such assistance is provided by you, identify the name of the UDBE assisted, nature of the assistance offered, and date. Provide copies of supporting documents, as appropriate.
8. Any additional data to support demonstration of good faith efforts.

The Department may consider UDBE commitments of the 2nd and 3rd bidders when determining whether the low bidder made good faith efforts to meet the UDBE goal.

## **2-1.04 OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

You may opt out of the payment adjustments for price index fluctuations as specified in "Payment Adjustments for Price Index Fluctuations" of these special provisions. If you elect to opt out of the provisions of this specification, you must complete the "Opt Out of Payment Adjustments for Price Index Fluctuations" form. The completed form must be submitted with your bid.

## **SECTION 3. CONTRACT AWARD AND EXECUTION**

### **3-1.01 CONTRACT AWARD**

If the Department awards the Contract, the award is made to the lowest responsible bidder within 60 days.

### **3-1.02 SMALL BUSINESS PARTICIPATION REPORT**

The Department has established an overall 25 percent small business participation goal. To determine if the goal is achieved, the Department is tracking small business participation on all contracts.

Complete and sign the Small Business (SB) Participation Report form included in the contract documents even if no small business participation is reported. Submit it with the executed contract.

### **3-1.03 CALTRANS BIDDER - DBE INFORMATION FORM**

Complete and sign the Caltrans Bidder - DBE Information form included in the contract documents even if no DBE participation is reported. Submit it with the executed contract.

Provide written confirmation from each DBE that the DBE is participating in the contract. A copy of a DBE's quote serves as written confirmation. If a DBE is participating as a joint venture partner, the Department encourages you to submit a copy of the joint venture agreement.

## **SECTION 4. BEGINNING OF WORK, TIME OF COMPLETION, AND LIQUIDATED DAMAGES**

The 1st working day is the 55th day after contract approval.

Do not start work at the job site, except for measuring controlling field dimensions and locating utilities, until the Engineer approves your submittal for:

1. Baseline Progress Schedule (Critical Path Method)
2. Storm Water Pollution Prevention Plan (SWPPP)
3. Notification of Dispute Review Board (DRB) nominee and disclosure statement
4. Lead Compliance Plan

In addition to the above submittals, do not start work at the job site, except for measuring controlling field dimensions and locating utilities, until you submit:

1. Notice of Materials To Be Used.
2. Contingency plan for reopening closures to public traffic.
3. Written statement from the vendor that the order for electrical material has been received and accepted by the vendor. The statement must show the dates that the materials will be shipped.

You may start work at the job site before the 55th day after contract approval if:

1. You obtain required approval for each submittal before the 55th day
2. The Engineer authorizes it in writing

The Department grants a time extension if a delay is beyond your control and prevents you from starting work at the job site on the 1st working day.

Complete the work, except plant establishment work, within 390 working days.

Liquidated damages are \$8,300 per day starting on the 1st day after exceeding 390 working days.

Complete the work, including plant establishment work, within 640 working days.

The Department reduces liquidated damages to \$950 per day if all the work, except plant establishment work, is complete and 640 working days have expired.

The Department does not simultaneously assess damages for untimely completion of work and plant establishment work.

## INCENTIVES AND DISINCENTIVES

Incentive payments and disincentive deductions apply to the completion of the work specified in the Incentive / Disincentive table.

Incentive payments and disincentive deductions are independent of liquidated damages and damages specified in "Closure Requirements and Conditions" of these special provisions.

Complete the work specified within the time specified in the Incentive / Disincentive table starting on the day specified. If you complete the work within the specified time, you will receive the incentive shown for each day less than the time specified. If you do not complete the work within the specified time, the Department will deduct the disincentive shown for each day needed to complete the work.

| <b>Incentive / Disincentive</b>  |  |                            |                           |                                |
|--|--|----------------------------|---------------------------|--------------------------------|
| Work   | Start of Work  | Time of Completion ( Days) | Incentive Payment per Day | Disincentive Deduction per Day |
| All necessary work during Stage 2 Construction to reopen Slauson/Sepulveda Blvd NB off-ramp lanes and shoulder to traffic. | After closing of Slauson/Sepulveda Blvd NB off ramp to traffic | 176                        | \$9,000.00                | \$9,000.00                     |

Total incentive payment will not exceed \$108,000.

Total disincentive deduction will not exceed \$108,000.

## SECTION 5. GENERAL

### 5-1.01 EMISSIONS REDUCTION

Contract execution constitutes submittal of the following certification:

I am aware of the emissions reduction regulations being mandated by the California Air Resources Board. I will comply with such regulations before commencing the performance of the work and maintain compliance throughout the duration of this contract.

### 5-1.02 PERFORMANCE OF DBEs

Use each DBE subcontractor as listed on the Subcontractor List form unless you receive authorization for a substitution. The Department requests the Contractor to:

1. Notify the Engineer of any changes to its anticipated DBE participation
2. Provide this notification before starting the affected work

Maintain records including:

1. Name and business address of each 1st-tier subcontractor
2. Name and business address of each DBE subcontractor, DBE vendor, and DBE trucking company, regardless of tier
3. Date of payment and total amount paid to each business

If you are a DBE contractor, include the date of work performed by your own forces and the corresponding value of the work.

Before the 15th of each month, submit a Monthly DBE Trucking Verification form.

For a DBE that leases trucks from a non-DBE, count only the fee or commission the DBE receives as a result of the lease arrangement.

If a DBE subcontractor is decertified before completing subcontracted work, the subcontractor must notify you in writing of the decertification date. If a subcontractor becomes a certified DBE before completing subcontracted work, the subcontractor must notify you in writing of the certification date. Submit the notifications. On contract work completion, complete a Disadvantaged Business Enterprises (DBE) Certification Status Change form. Submit the form within 90 days of contract acceptance.

Upon contract work completion, complete a Final Report – Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors form. Submit it within 90 days of contract acceptance. The Department withholds \$10,000 until the form is submitted. The Department releases the withhold upon submission of the completed form.

### **5-1.03 PERFORMANCE OF UDBEs**

UDBEs must perform work or supply materials as listed in the Caltrans Bidder - UDBE - Commitment form specified under Section 2, "Bidding," of these special provisions. Do not terminate a UDBE listed subcontractor for convenience and perform the work with your own forces or obtain materials from other sources without prior written authorization from the Department.

The Department grants authorization to use other forces or sources of materials for requests that show any of the following justifications:

1. Listed UDBE fails or refuses to execute a written contract based on plans and specifications for the project.
2. You stipulated that a bond is a condition of executing the subcontract and the listed UDBE fails to meet your bond requirements.
3. Work requires a contractor's license and listed UDBE does not have a valid license under Contractors License Law.
4. Listed UDBE fails or refuses to perform the work or furnish the listed materials.
5. Listed UDBE's work is unsatisfactory and not in compliance with the contract.
6. Listed UDBE delays or disrupts the progress of the work.
7. Listed UDBE becomes bankrupt or insolvent.

If a listed UDBE subcontractor is terminated, you must make good faith efforts to find another UDBE subcontractor to substitute for the original UDBE. The substitute UDBE must perform at least the same amount of work as the original UDBE under the contract to the extent needed to meet the UDBE goal.

The substitute UDBE must be certified as a DBE at the time of request for substitution.

The Department does not pay for work or material unless it is performed or supplied by the listed UDBE or an authorized substitute.

### **5-1.04 PARTNERING DISPUTE RESOLUTION**

The Department encourages the project team to exhaust the use of partnering in dispute resolution before engagement of an objective third party. Comply with Section 5-1.012, "Partnering," of the Standard Specifications.

For certain disputes, facilitated partnering session or facilitated dispute resolution session may be appropriate and effective in clarifying issues and resolving all or part of a dispute.

To afford the project team enough time to plan and hold the session, a maximum of 20 days may be added to the dispute resolution board (DRB) referral time following the Engineer's written response to a supplemental potential claim record as specified in Section 5-1.15, "Dispute Resolution," of the Standard Specifications.

To allow this additional referral time, the project team must document its agreement and intention in the dispute resolution plan of the partnering charter. The team may further document agreement of any associated criteria to be met for use of the additional referral time.

If the session is not held, the DRB referral time remains in effect as specified in Section 5-1.15, "Dispute Resolution," of the Standard Specifications.

### **5-1.05 PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

#### **GENERAL**

#### **Summary**

This section applies to asphalt contained in materials for pavement structural sections and pavement surface treatments such as hot mix asphalt (HMA), tack coat, asphaltic emulsions, bituminous seals, asphalt binders, and modified asphalt binders placed in the work. This section does not apply if you opted out of payment adjustment for price index fluctuations at the time of bid.

The Engineer adjusts payment if the California Statewide Crude Oil Price Index for the month the material is placed is more than 5 percent higher or lower than the price index at the time of bid.

The California Statewide Crude Oil Price Index is determined each month on or about the 1st business day of the month by the Department using the average of the posted prices in effect for the previous month as posted by Chevron, ExxonMobil, and ConocoPhillips for the Buena Vista, Huntington Beach, and Midway Sunset fields.

If a company discontinues posting its prices for a field, the Department determines the index from the remaining posted prices. The Department may include additional fields to determine the index.

For the California Statewide Crude Oil Price Index, go to:

<http://www.dot.ca.gov/hq/construc/crudeoilindex/>

If the adjustment is a decrease in payment, the Department deducts the amount from the monthly progress payment.

The Department includes payment adjustments for price index fluctuations when making adjustments under Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications.

If you do not complete the work within the contract time, payment adjustments during the overrun period are determined using the California Statewide Crude Oil Price Index in effect for the month in which the overrun period began.

If the price index at the time of placement increases:

1. 50 percent or more over the price index at bid opening, notify the Engineer.
2. 100 percent or more over the price index at bid opening, do not furnish material containing asphalt until the Engineer authorizes you to proceed with that work. The Department may decrease Bid item quantities, eliminate Bid items, or terminate the contract.

### **Submittals**

Before placing material containing asphalt, submit the current sales and use tax rate in effect in the tax jurisdiction where the material is to be placed.

Submit certified weight slips for HMA, tack coat, asphaltic emulsions, and modified asphalt binders, including those materials not paid for by weight, as specified in Section 9-1.01, "Measurement of Quantities," of the Standard Specifications. For slurry seals, submit certified weight slips separately for the asphaltic emulsion.

## **ASPHALT QUANTITIES**

### **General**

Interpret the term "ton" as "tonne" for projects using metric units.

### **Hot Mix Asphalt**

The Engineer calculates the quantity of asphalt in HMA using the following formula:

$$Q_h = HMATT \times [X_a / (100 + X_a)]$$

where:

Q<sub>h</sub> = quantity in tons of asphalt used in HMA  
HMATT = HMA total tons placed  
X<sub>a</sub> = theoretical asphalt content from job mix formula expressed as percentage of the weight of dry aggregate

### **Rubberized Hot Mix Asphalt**

The Engineer calculates the quantity of asphalt in rubberized HMA (RHMA) using the following formula:

$$Q_{rh} = RHMATT \times 0.80 \times [X_{arb} / (100 + X_{arb})]$$

where:

Q<sub>rh</sub> = quantity in tons of asphalt in asphalt rubber binder used in RHMA  
RHMATT = RHMA total tons placed  
X<sub>arb</sub> = theoretical asphalt rubber binder content from the job mix formula expressed as percentage of the weight of dry aggregate

### **Modified Asphalt Binder in Hot Mix Asphalt**

The Engineer calculates the quantity of asphalt in modified asphalt binder using the following formula:

$$Q_{mh} = MHMATT \times [(100 - X_{am}) / 100] \times [X_{mab} / (100 + X_{mab})]$$

where:

$Q_{mh}$  = quantity in tons of asphalt in modified asphalt binder used in HMA  
 $MHMATT$  = modified asphalt binder HMA total tons placed  
 $X_{am}$  = specified percentage of asphalt modifier  
 $X_{mab}$  = theoretical modified asphalt binder content from the job mix formula expressed as percentage of the weight of dry aggregate

### Hot Mix Asphalt Containing Reclaimed Asphalt Pavement (RAP)

The Engineer calculates the quantity of asphalt in HMA containing RAP using the following formulas:

$$Q_{rap} = HMATT \times [X_{aa} / (100 + X_{aa})]$$

where:

$$X_{aa} = X_{ta} - [(100 - X_{new}) \times (X_{ra} / 100)]$$

and

$Q_{rap}$  = quantity in tons of asphalt used in HMA containing RAP  
 $HMATT$  = HMA total tons placed  
 $X_{aa}$  = asphalt content of HMA adjusted to account for the asphalt content in RAP expressed as percentage of the weight of dry aggregate  
 $X_{ta}$  = total asphalt content of HMA expressed as percentage of the weight of dry aggregate  
 $X_{new}$  = theoretical percentage of new aggregate in the HMA containing RAP determined from RAP percentage in the job mix formula  
 $X_{ra}$  = asphalt content of RAP expressed as percentage

### Tack Coat

The Engineer calculates the quantity of asphalt in tack coat ( $Q_{tc}$ ) as either:

1. Asphalt binder using the asphalt binder total tons placed as tack coat
2. Asphaltic emulsion by applying the formula in "Asphaltic Emulsion" to the asphaltic emulsion total tons placed as tack coat

### Asphaltic Emulsion

The Engineer calculates the quantity of asphalt in asphaltic emulsions, including fog seals and tack coat, using the following formula:

$$Q_e = AETT \times (X_e / 100)$$

where:

$Q_e$  = quantity in tons of asphalt used in asphaltic emulsions  
 $AETT$  = undiluted asphaltic emulsions total tons placed  
 $X_e$  = minimum percent residue specified in Section 94, "Asphaltic Emulsions," of the Standard Specifications based on the type of emulsion used

You may, as an option, determine " $X_e$ " by submitting actual daily test results for asphalt residue for the asphaltic emulsion used. If you choose this option, you must:

1. Take 1 sample every 200 tons but not less than 1 sample per day in the presence of the Engineer from the delivery truck, at midload from a sampling tap or thief, and in the following order:
  - 1.1. Draw and discard the 1st gallon
  - 1.2. Take two separate 1/2-gallon samples
2. Submit 1st sample at the time of sampling
3. Provide 2nd sample within 3 business days of sampling to an independent testing laboratory that participates in the AASHTO Proficiency Sample Program
4. Submit test results from independent testing laboratory within 10 business days of sample date

### **Slurry Seal**

The Engineer calculates the quantity of asphalt in slurry seals (Qss) by applying the formula in "Asphaltic Emulsion" to the actual quantity of asphaltic emulsion used in producing the slurry seal mix.

### **Modified Asphalt Binder**

The Engineer calculates the quantity of asphalt in modified asphalt binder using the following formula:

$$Q_{mab} = MABTT \times [(100 - X_{am}) / 100]$$

where:

Q<sub>mab</sub> = quantity in tons of asphalt used in modified asphalt binder  
MABTT = modified asphalt binder total tons placed  
X<sub>am</sub> = specified percentage of asphalt modifier

### **Other Materials**

For other materials containing asphalt not covered above, the Engineer determines the quantity of asphalt (Qo).

### **PAYMENT ADJUSTMENTS**

The Engineer includes payment adjustments for price index fluctuations in progress pay estimates. If material containing asphalt is placed within 2 months during 1 estimate period, the Engineer calculates 2 separate adjustments. Each adjustment is calculated using the price index for the month in which the quantity of material containing asphalt subject to adjustment is placed in the work. The sum of the 2 adjustments is used for increasing or decreasing payment in the progress pay estimate.

The Engineer calculates each payment adjustment as follows:

$$PA = Q_t \times A$$

where:

PA = Payment adjustment in dollars for asphalt contained in materials placed in the work for a given month.

Q<sub>t</sub> = Sum of all quantities of asphalt-contained materials in pavement structural sections and pavement surface treatments placed (Q<sub>h</sub> + Q<sub>rh</sub> + Q<sub>mh</sub> + Q<sub>rap</sub> + Q<sub>tc</sub> + Q<sub>e</sub> + Q<sub>ss</sub> + Q<sub>mab</sub> + Q<sub>o</sub>).

A = Adjustment in dollars per ton of asphalt used to produce materials placed in the work rounded to the nearest \$0.01.

For US Customary projects, use:

$$A = [(I_u / I_b) - 1.05] \times I_b \times [1 + (T / 100)] \text{ for an increase in the crude oil price index exceeding 5 percent}$$
$$A = [(I_u / I_b) - 0.95] \times I_b \times [1 + (T / 100)] \text{ for a decrease in the crude oil price index exceeding 5 percent}$$

For metric projects, use:

$$A = 1.1023 \times [(I_u / I_b) - 1.05] \times I_b \times [1 + (T / 100)] \text{ for an increase in the crude oil price index exceeding 5 percent}$$
$$A = 1.1023 \times [(I_u / I_b) - 0.95] \times I_b \times [1 + (T / 100)] \text{ for a decrease in the crude oil price index exceeding 5 percent}$$

I<sub>u</sub> = California Statewide Crude Oil Price Index for the month in which the quantity of asphalt subject to adjustment was placed in the work.

I<sub>b</sub> = California Statewide Crude Oil Price Index for the month in which the bid opening for the project occurred

T = Sales and use tax rate, expressed as a percent, currently in effect in the tax jurisdiction where the material is placed.

If the tax rate information is not submitted timely, the statewide sales and use tax rate is used in the payment adjustment calculations until the tax rate information is submitted.

**5-1.06 SURFACE MINING AND RECLAMATION ACT**

Imported borrow or aggregate material must come from a surface mine permitted under the Surface Mining and Reclamation Act of 1975 (SMARA), Pub Res Code § 2710, et seq., or from an exempt site.

The Department of Conservation, Office of Mine Reclamation maintains a list of permitted mine sites. For the list of permitted sites, go to:

[http://www.conservation.ca.gov/omr/ab\\_3098\\_list](http://www.conservation.ca.gov/omr/ab_3098_list)

If you import borrow or aggregate material from a surface mine not on this list, submit proof the mine is exempt from SMARA.

**5-1.07 ELECTRONIC SUBMISSION OF PAYROLL RECORDS**

In lieu of submitting weekly payroll records to the Engineer as specified in Section 7-1.01A(3), "Payroll Records," of the Standard Specifications, you may submit weekly payroll records electronically.

Before submitting payroll records electronically, you must complete and sign the Contractor's Acknowledgement and submit it to the District where your project is located. Submit your signed acknowledgement to the corresponding District electronic mailbox shown in the following table:

| <b>Electronic Mailboxes</b> |                                |
|-----------------------------|--------------------------------|
| District                    | Address                        |
| 1                           | district1.payrolls@dot.ca.gov  |
| 2                           | district2.payrolls@dot.ca.gov  |
| 3                           | district3.payrolls@dot.ca.gov  |
| 4                           | district4.payrolls@dot.ca.gov  |
| 5                           | district5.payrolls@dot.ca.gov  |
| 6                           | district6.payrolls@dot.ca.gov  |
| 7                           | district7.payrolls@dot.ca.gov  |
| 8                           | district8.payrolls@dot.ca.gov  |
| 9                           | district9.payrolls@dot.ca.gov  |
| 10                          | district10.payrolls@dot.ca.gov |
| 11                          | district11.payrolls@dot.ca.gov |
| 12                          | district12.payrolls@dot.ca.gov |

The Department responds with an e-mail containing a Caltrans Internet Certificate to be used for the electronic submission of payroll records. You must agree to accept this certificate and reply to the e-mail. After you accept the certificate and reply to the e-mail, the Department is ready to accept your electronic submissions.

Each electronic submission must:

1. Include payroll records in a nonmodifiable PDF image format. No spreadsheets, word documents, or password protected documents are accepted.
2. Include payroll records with all data elements required by the Labor Code § 1776.
3. Include a signed Statement of Compliance form with each weekly record.
4. Be received by the Department by close of business on the 15th day of the month for the prior month's work.
5. Be encrypted before submission.
6. Contain the following information in the subject line:
  - 6.1. Contract number
  - 6.2. Week ending date as W/E mm/dd/yy
7. Contain 1 contract number and week ending date per submission.

For additional information on electronic submission of payroll records, go to:

<http://www.dot.ca.gov/hq/construc/LaborCompliance/index.htm>

**5-1.08 TRAINING**

For the Federal training program, the number of trainees or apprentices is 14.

**5-1.09 FORCE ACCOUNT PAYMENT**

Payment for extra work at force account will be determined by either non-subcontracted or subcontracted force account payment unless otherwise specified.

**Non-Subcontracted Force Account Payment**

When extra work to be paid for on a force account basis is performed by the Contractor, compensation will be determined in accordance with Section 9-1.03, "Force Account Payment," of the Standard Specifications and these special provisions.

The second, third and fourth paragraphs of Section 9-1.03A, "Work Performed by Contractor," in the Standard Specifications, shall not apply.

Attention is directed to "Time-Related Overhead" of these special provisions.

To the total of the direct costs for work performed on a force account basis, computed as provided in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications, there will be added the following markups:

| Cost             | Percent Markup |
|------------------|----------------|
| Labor            | 30             |
| Materials        | 10             |
| Equipment Rental | 10             |

The above markups shall be applied to work performed on a force account basis, regardless of whether the work revises the current contract completion date.

The above markups, together with payments made for time-related overhead pursuant to "Time-Related Overhead" of these special provisions, shall constitute full compensation for all overhead costs for work performed on a force account basis. These overhead costs shall be deemed to include all items of expense not specifically designated as cost or equipment rental in conformance with the provisions in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications. The total payment made as provided above and in the first paragraph of Section 9-1.03A, "Work Performed by Contractor," of the Standard Specifications shall be deemed to be the actual cost of the work performed on a force account basis, and shall constitute full compensation therefor.

Full compensation for overhead costs for work performed on a force account basis, and for which no adjustment is made to the quantity for time-related overhead conforming to the provisions in "Time-Related Overhead" of these special provisions, shall be considered as included in the markups specified above, and no additional compensation will be allowed therefor.

**Subcontracted Force Account Payment**

When extra work to be paid for on a force account basis is performed by a subcontractor approved in conformance with the provisions in Section 8-1.01, "Subcontracting," of the Standard Specifications, compensation will be determined in accordance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

**5-1.10 AREAS FOR CONTRACTOR'S USE**

Attention is directed to the provisions in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications and these special provisions.

The highway right of way shall be used only for purposes that are necessary to perform the required work. The Contractor shall not occupy the right of way, or allow others to occupy the right of way, for purposes which are not necessary to perform the required work.

No State-owned parcels adjacent to the right of way are available for the exclusive use of the Contractor within the contract limits. The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials, or for other purposes.

No area is available within the contract limits for the exclusive use of the Contractor. However, temporary storage of equipment and materials on State property may be arranged with the Engineer, subject to the prior demands of State maintenance forces and to other contract requirements. Use of the Contractor's work areas and other State-owned property shall be at the Contractor's own risk, and the State shall not be held liable for damage to or loss of materials or equipment located within such areas.

#### **5-1.11 PAYMENTS**

In determining the partial payments to be made to the Contractor, only the following listed materials will be considered for inclusion in the payment as materials furnished but not incorporated in the work:

- A. Bar Reinforcing Steel
- B. Prestressing Steel for cast-in-place members (sealed packages only)
- C. Prestressing ducts and anchorages
- D. Sign Structure (Truss)
- E. Formed Panel Sign
- F. Single Sheet Aluminum Sign (1.6 mm-unframed)
- G. Single Sheet Aluminum Sign (2.0 mm-unframed)
- H. Single Sheet Aluminum Sign (1.6 mm-framed)
- I. Single Sheet Aluminum Sign (2.0 mm-framed)
- J. Reinforced Concrete Pipe
- K. Grated Line Drain
- L. Miscellaneous Iron and Steel
- M. Miscellaneous Metal
- N. Miscellaneous Metal (Bridge)
- O. Miscellaneous Metal (Restrainer-Cable Table)
- P. Bridge Deck Drainage System
- Q. Column Casings
- R. Isolation Casings
- S. Metal Beam Guard Railing and Appurtenances
- T. Joint Seal Type B
- U. Lighting Fixtures
- V. Luminaires
- W. Signal and Lighting Standards
- X. Signal Head and Mounting Brackets
- Y. Twisted Pair Cable
- Z. Fiber Optic Cable
- AA. Fiber Optic Conduit
- AB. Interducts

#### **5-1.12 SUPPLEMENTAL PROJECT INFORMATION**

The Department makes the following supplemental project information available:

**Supplemental Project Information**

| Means  | Description   |
|--|---|
| Included in the Information Handout  | <ol style="list-style-type: none"> <li>1. California Regional Water Quality Control Board Board Order #08-067</li> <li>2. U.S. Army Corps of Engineers Permit #SPL-2008-01015-PHT</li> <li>3. California Department of Fish and Game Streambed Alteration Agreement # 1600-2008-0155-R5</li> <li>4. County of Los Angeles Flood Control District Permit</li> <li>5. Geotechnical Design Report for Sepulveda Blvd UC Widening, LA, November 26, 2007</li> <li>6. Geotechnical Design Report for Centinela Ave UC Widening, LA, February 18, 2009</li> <li>7. Geotechnical Design Recommendations for Retaining Walls 402, 408, 410, and Overhead Sign 4A Foundation for North Bound 405 Widening at Culver City, LA, November 26, 2007</li> <li>8. Final Hydraulic Report for Centinela Ave UC/Centinela Creek Channel Modifications, March 5, 2008</li> <li>9. Foundation Review for Centinela Ave UC Widening, February 24, 2009</li> <li>10. Foundation Review for Sepulveda Blvd UC Widening, February 24, 2009</li> <li>11. Foundation Review for Retaining Walls 402, 408, 410, and Overhead Sign 4A, February 24, 2009</li> <li>12. Geotechnical Design Report for Centinela Ave UC Widening, LA, June 23, 2009</li> <li>13. Geotechnical Design Report for Sepulveda Blvd UC Widening, LA, June 23, 2009</li> <li>14. Battery Backup System Connection Diagrams and Foundation Details</li> </ol> |
| Available for inspection at the District Office  | Grading Cross Sections  |
| Available as specified in the Standard Specifications  | Bridge as-built drawings  |
| Available at:<br><a href="http://www.dot.ca.gov/hq/esc/oe/weekly_ads/index.php">http://www.dot.ca.gov/hq/esc/oe/weekly_ads/index.php</a> | Cross sections  |

**5-1.13 SOUND CONTROL REQUIREMENTS**

Sound control shall conform to the provisions in Section 7-1.01I, "Sound Control Requirements," of the Standard Specifications and these special provisions.

The noise level from the Contractor's operations, between the hours of 9:00 p.m. and 7:00 a.m., shall not exceed 86 dBA at a distance of 15 m. This requirement shall not relieve the Contractor from responsibility for complying with local ordinances regulating noise level.

The noise level requirement shall apply to the equipment on the job or related to the job, including but not limited to trucks, transit mixers or transient equipment that may or may not be owned by the Contractor. The use of loud sound signals shall be avoided in favor of light warnings except those required by safety laws for the protection of personnel.

Full compensation for conforming to the requirements of this section shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

**5-1.14 BIRD PROTECTION**

**GENERAL**

This work includes protecting migratory and nongame birds, their occupied nests, and their eggs.

Nesting or attempted nesting by migratory and nongame birds is anticipated to occur but is not limited to February 15 through August 31.

## **QUALITY ASSURANCE**

### **Regulatory requirements**

The Federal Migratory Bird Treaty Act (16 USC §703-711.), 50 CFR 10, and Fish & Game Code §3503, §3513, and §3800, protect migratory and nongame birds, their occupied nests, and their eggs.

The Federal Endangered Species Act of 1973 (16 USC §1531,§1543) and California Endangered Species Act (Fish & Game Code §2050-§2115.5) prohibit the take of listed species and protect occupied and unoccupied nests of threatened and endangered bird species.

The Bald Eagle Protection Act (16 USC §668) prohibits the destruction of bald and golden eagles occupied and unoccupied nests.

Permits are included in the Project Information handout.

## **CONSTRUCTION**

When migratory or nongame bird nests are discovered which may be adversely affected by construction activity, or when a bird is found injured or killed as a result of construction activity, immediately stop work within 50 meters of the nest or bird and notify the Engineer. Work must not resume until the Engineer provides written notification that work may resume at that location.

When ordered by the Engineer, use exclusion devices, nesting prevention measures or remove and dispose of partially constructed and unoccupied nests of migratory or nongame birds on a regular basis to prevent their occupation.

Nest removal activities must not result in depositing into or allowing materials to enter waters of this state.

## **MEASUREMENT AND PAYMENT**

Exclusion devices, nesting prevention measures and nest removal that are ordered by the Engineer will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

A delay to the controlling operation due to migratory or nongame birds or their nests will be considered a temporary suspension of work under Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. Adjustments will be made for delays that the Engineer determines are not due to the Contractor's failure to perform the provision of the contract in the same manner as for suspensions due to unsuitable weather in Section 8-1.05.

### **5-1.15 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD**

This project lies within the boundaries of the Los Angeles Regional Water Quality Control Board (RWQCB).

The State Water Resources Control Board (SWRCB) has issued to the Department a permit that governs storm water and non-storm water discharges from the Department's properties, facilities, and activities. The Department's permit is entitled "Order No. 99 - 06 - DWQ, NPDES No. CAS000003, National Pollutant Discharge Elimination System (NPDES) Permit, Statewide Storm Water Permit and Waste Discharge Requirements (WDRs) for the State of California, Department of Transportation (Caltrans)." Copies of the Department's permit are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254, and may also be obtained at:

[http://www.waterboards.ca.gov/water\\_issues/programs/stormwater/](http://www.waterboards.ca.gov/water_issues/programs/stormwater/)

The Department's permit references and incorporates by reference the current statewide general permit issued by the SWRCB entitled "Order No. 99-08-DWQ, National Pollutant Discharge Elimination System (NPDES) General Permit No. CAS000002, Waste Discharge Requirements (WDRs) for Discharges of Storm Water Runoff Associated with Construction Activity" that regulates discharges of storm water and non-storm water from construction activities disturbing one acre or more of soil in a common plan of development. Sampling and analysis requirements as specified in SWRCB Resolution No. 2001-46 are added to the statewide general permit. Copies of the statewide permit and modifications thereto are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254 and may also be obtained at:

[http://www.waterboards.ca.gov/water\\_issues/programs/stormwater/](http://www.waterboards.ca.gov/water_issues/programs/stormwater/)

The NPDES permits that regulate this project, as referenced above, are collectively referred to in this section as the "permits."

This project shall conform to the permits and modifications thereto. The Contractor shall maintain copies of the permits at the project site and shall make them available during construction.

The Contractor shall know and comply with provisions of Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non-storm water discharges from the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, "Laws to be Observed," 5-1.18, "Property and Facility Preservation," 7-1.12, "Indemnification and Insurance," and 9-1.07E(5), "Penalty Withholds," of the Standard Specifications.

The Contractor shall notify the Engineer immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Department shall provide copies of correspondence, notices of violation, enforcement actions, or proposed fines by regulatory agencies to the requesting regulatory agency.

#### **5-1.16 AERIALY DEPOSITED LEAD**

Aerially deposited lead is present within the project limits. Aerially deposited lead is lead deposited within unpaved areas or formerly unpaved areas, primarily due to vehicle emissions.

Attention is directed to "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" and "Supplemental Project Information" of these special provisions.

Portions of the site investigation report are included in the "Material Information" handout. The complete report, entitled "Lead Site Investigation Report Route 405 From La Tijera Boulevard NB to Jefferson Boulevard NB On Ramp, Los Angeles County, California (PM 24.4/25.8, Task Order 07A1751-10, EA 241301, Contract 07A1751, Prepared by Ninyo and Moore, June 30, 2006," is available for inspection at the Department of Transportation, Division of Construction, CCO Unit, 100 South Main Street, Los Angeles, CA 90012.

The Department has received from the California Department of Toxic Substances Control (DTSC) a Variance regarding the use of material containing aerially deposited lead. This project is subject to the conditions of the Variance, as amended. The Variance is available for inspection at the Department of Transportation, District 7, Division of Construction, CCO Unit, 100 South Main Street, Los Angeles, CA 90012.

Once the Contractor has completed the placement of material containing aerially deposited lead in conformance with these special provisions and as directed by the Engineer, the Contractor shall have no responsibility for such materials. The Department will not consider the Contractor a generator of such contaminated materials.

Excavation, reuse, and disposal of material with aerially deposited lead shall be in conformance with all rules and regulations including, but not limited to, those of the following agencies:

- A. United States Department of Transportation,
- B. United States Environmental Protection Agency,
- C. California Environmental Protection Agency,
- D. California Department of Health Services,
- E. Department of Toxic Substances Control,
- F. California Division of Occupational Safety and Health Administration,
- G. Integrated Waste Management Board,
- H. Regional Water Quality Control Board, Region 4, Los Angeles,
- I. State Air Resources Control Board, and
- J. South Coast Air Quality Management District.

Materials containing hazardous levels of lead shall be transported and disposed of in conformance with Federal and State laws and regulations, as amended, and county and municipal ordinances and regulations, as amended. Laws and regulations that govern this work include, but are not limited to:

- A. Health and Safety Code, Division 20, Chapter 6.5 (California Hazardous Waste Control Act),
- B. Title 22, California Code of Regulations, Division 4.5 (Environmental Health Standards for the Management of Hazardous Waste), and
- C. Title 8, California Code of Regulations.

### **5-1.17 DAMAGE REPAIR**

Attention is directed to Section 7-1.16, "Contractor's Responsibility for the Work and Materials," and Section 7-1.165, "Damage by Storm, Flood, Tsunami or Earthquake," of the Standard Specifications and these special provisions.

When as a result of freezing conditions (as defined herein) during the plant establishment period, plants have died or, in the opinion of the Engineer, have deteriorated to a point beyond which the plants will not mature as typical examples of their species, the Engineer may direct replacement of the affected plants. The total cost of ordered plant replacement work will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. A freezing condition, for the purpose of this specification, occurs when the temperature at or near the affected area has been officially recorded below 0°C and plants have been killed or damaged to the degree described above.

When, as a result of drought conditions (as defined herein) during the plant establishment period, plants have died or, in the opinion of the Engineer, have deteriorated to a point beyond which the plants will not mature as typical examples of their species, the Engineer may direct replacement of the affected plants. The total cost of ordered plant replacements, after water has been restricted or stopped, will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. Restriction or shutoff of available water shall not relieve the Contractor from performing other contract work. A drought condition occurs when the Department, or its supplier, restricts or stops delivery of water to the Contractor to the degree that plants have died or deteriorated as described above.

When the provisions in Section 7-1.165, "Damage by Storm, Flood, Tsunami or Earthquake," of the Standard Specifications are applicable, the provisions above for payment of costs for repair of damage due to freezing conditions and drought shall not apply.

### **5-1.18 RELIEF FROM MAINTENANCE AND RESPONSIBILITY**

The Contractor may be relieved of the duty of maintenance and protection for those items not directly connected with plant establishment work in conformance with the provisions in Section 7-1.15, "Relief From Maintenance and Responsibility," of the Standard Specifications. Water pollution control, maintain existing planted areas, maintain existing irrigation facilities, transplant trees, and transplant palm trees shall not be relieved of maintenance.

## **SECTION 6. (BLANK)**

## **SECTION 7. (BLANK)**

## **SECTION 8. MATERIALS**

### **SECTION 8-1. MISCELLANEOUS**

#### **8-1.01 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS**

Only materials and products conforming to the requirements of the specifications shall be incorporated in the work. When metric materials and products are not available, and when approved by the Engineer, and at no cost to the State, materials and products in the United States Standard Measures which are of equal quality and of the required properties and characteristics for the purpose intended, may be substituted for the equivalent metric materials and products, subject to the following provisions:

- A. Materials and products shown on the plans or in the special provisions as being equivalent may be substituted for the metric materials and products specified or detailed on the plans.
- B. Before other non-metric materials and products will be considered for use, the Contractor shall furnish, at the Contractor's expense, evidence satisfactory to the Engineer that the materials and products proposed for use are equal to or better than the materials and products specified or detailed on the plans. The burden of proof as to the quality and suitability of substitutions shall be upon the Contractor and the Contractor shall furnish necessary information as required by the Engineer. The Engineer will be the sole judge as to the quality and suitability of the substituted materials and products and the Engineer's decision will be final.
- C. When the Contractor elects to substitute non-metric materials and products, including materials and products shown on the plans or in the special provisions as being equivalent, the list of sources of material specified in Section 6-1.01, "Source of Supply and Quality of Materials," of the Standard Specification shall include a list of substitutions to be made and contract items involved. In addition, for a change in design or details, the Contractor shall submit plans and working drawings in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The plans and working drawings shall be submitted at least 7 days before the Contractor intends to begin the work involved.

Unless otherwise specified, the following substitutions of materials and products will be allowed:

SUBSTITUTION TABLE FOR PLAIN WIRE REINFORCEMENT  
ASTM Designation: A 82

| METRIC SIZE SHOWN ON THE PLANS<br>mm <sup>2</sup> | SIZE TO BE SUBSTITUTED<br>inch <sup>2</sup> x 100 |
|---|---|
| MW9   | W1.4  |
| MW10  | W1.6  |
| MW13  | W2.0  |
| MW15  | W2.3  |
| MW19  | W2.9  |
| MW20  | W3.1  |
| MW22  | W3.5  |
| MW25  | W3.9, except W3.5 in piles only                   |
| MW26  | W4.0  |
| MW30  | W4.7  |
| MW32  | W5.0  |
| MW35  | W5.4  |
| MW40  | W6.2  |
| MW45  | W6.5  |
| MW50  | W7.8  |
| MW55  | W8.5, except W8.0 in piles only                   |
| MW60  | W9.3  |
| MW70  | W10.9, except W11.0 in piles only                 |
| MW80  | W12.4   |
| MW90  | W14.0   |
| MW100   | W15.5   |

SUBSTITUTION TABLE FOR BAR REINFORCEMENT

| METRIC BAR DESIGNATION<br>NUMBER <sup>1</sup> SHOWN ON THE PLANS | BAR DESIGNATION<br>NUMBER <sup>2</sup> TO BE SUBSTITUTED |
|--|--|
| 10   | 3  |
| 13   | 4  |
| 16   | 5  |
| 19   | 6  |
| 22   | 7  |
| 25   | 8  |
| 29   | 9  |
| 32   | 10   |
| 36   | 11   |
| 43   | 14   |
| 57   | 18   |

<sup>1</sup>Bar designation numbers approximate the number of millimeters of the nominal diameter of the bars.

<sup>2</sup>Bar numbers are based on the number of eighths of an inch included in the nominal diameter of the bars.

No adjustment will be required in spacing or total number of reinforcing bars due to a difference in minimum yield strength between metric and non-metric bars.

SUBSTITUTION TABLE FOR SIZES OF:

- (1) STEEL FASTENERS FOR GENERAL APPLICATIONS (ASTM Designation: A 307 or AASHTO Designation: M 314, Grade 36 or 55), and  
 (2) HIGH STRENGTH STEEL FASTENERS (ASTM Designation: A 325 or A 449)

| METRIC SIZE SHOWN ON THE PLANS<br>mm | SIZE TO BE SUBSTITUTED<br>inch |
|--------------------------------------|--------------------------------|
| 6 or 6.35                            | 1/4                            |
| 8 or 7.94                            | 5/16                           |
| 10 or 9.52                           | 3/8                            |
| 11 or 11.11                          | 7/16                           |
| 13, 12.70, or M12                    | 1/2                            |
| 14 or 14.29                          | 9/16                           |
| 16, 15.88, or M16                    | 5/8                            |
| 19, 19.05, or M20                    | 3/4                            |
| 22, 22.22, or M22                    | 7/8                            |
| 24, 25, 25.40, or M24                | 1                              |
| 29, 28.58, or M27                    | 1-1/8                          |
| 32, 31.75, or M30                    | 1-1/4                          |
| 35 or 34.93                          | 1-3/8                          |
| 38, 38.10, or M36                    | 1-1/2                          |
| 44 or 44.45                          | 1-3/4                          |
| 51 or 50.80                          | 2                              |
| 57 or 57.15                          | 2-1/4                          |
| 64 or 63.50                          | 2-1/2                          |
| 70 or 69.85                          | 2-3/4                          |
| 76 or 76.20                          | 3                              |
| 83 or 82.55                          | 3-1/4                          |
| 89 or 88.90                          | 3-1/2                          |
| 95 or 95.25                          | 3-3/4                          |
| 102 or 101.60                        | 4                              |

SUBSTITUTION TABLE FOR NOMINAL THICKNESS OF SHEET METAL

| UNCOATED HOT AND COLD ROLLED SHEETS          |                                   | HOT-DIPPED ZINC COATED SHEETS<br>(GALVANIZED) |                                   |
|--|-----------------------------------|---|-----------------------------------|
| METRIC THICKNESS<br>SHOWN ON THE PLANS<br>mm | GAGE TO BE<br>SUBSTITUTED<br>inch | METRIC THICKNESS<br>SHOWN ON THE PLANS<br>mm  | GAGE TO BE<br>SUBSTITUTED<br>inch |
| 7.94   | 0.3125                            | 4.270   | 0.1681                            |
| 6.07   | 0.2391                            | 3.891   | 0.1532                            |
| 5.69   | 0.2242                            | 3.510   | 0.1382                            |
| 5.31   | 0.2092                            | 3.132   | 0.1233                            |
| 4.94   | 0.1943                            | 2.753   | 0.1084                            |
| 4.55   | 0.1793                            | 2.372   | 0.0934                            |
| 4.18   | 0.1644                            | 1.994   | 0.0785                            |
| 3.80   | 0.1495                            | 1.803   | 0.0710                            |
| 3.42   | 0.1345                            | 1.613   | 0.0635                            |
| 3.04   | 0.1196                            | 1.461   | 0.0575                            |
| 2.66   | 0.1046                            | 1.311   | 0.0516                            |
| 2.28   | 0.0897                            | 1.158   | 0.0456                            |
| 1.90   | 0.0747                            | 1.006 or 1.016                                | 0.0396                            |
| 1.71   | 0.0673                            | 0.930   | 0.0366                            |
| 1.52   | 0.0598                            | 0.853   | 0.0336                            |
| 1.37   | 0.0538                            | 0.777   | 0.0306                            |
| 1.21   | 0.0478                            | 0.701   | 0.0276                            |
| 1.06   | 0.0418                            | 0.627   | 0.0247                            |
| 0.91   | 0.0359                            | 0.551   | 0.0217                            |
| 0.84   | 0.0329                            | 0.513   | 0.0202                            |
| 0.76   | 0.0299                            | 0.475   | 0.0187                            |
| 0.68   | 0.0269                            | -----   | -----                             |
| 0.61   | 0.0239                            | -----   | -----                             |
| 0.53   | 0.0209                            | -----   | -----                             |
| 0.45   | 0.0179                            | -----   | -----                             |
| 0.42   | 0.0164                            | -----   | -----                             |
| 0.38   | 0.0149                            | -----   | -----                             |

**SUBSTITUTION TABLE FOR WIRE**

| METRIC THICKNESS<br>SHOWN ON THE PLANS<br>mm | WIRE THICKNESS<br>TO BE SUBSTITUTED<br>inch | GAGE NO. |
|--|---|----------|
| 6.20   | 0.244                                       | 3        |
| 5.72   | 0.225                                       | 4        |
| 5.26   | 0.207                                       | 5        |
| 4.88   | 0.192                                       | 6        |
| 4.50   | 0.177                                       | 7        |
| 4.11   | 0.162                                       | 8        |
| 3.76   | 0.148                                       | 9        |
| 3.43   | 0.135                                       | 10       |
| 3.05   | 0.120                                       | 11       |
| 2.69   | 0.106                                       | 12       |
| 2.34   | 0.092                                       | 13       |
| 2.03   | 0.080                                       | 14       |
| 1.83   | 0.072                                       | 15       |
| 1.57   | 0.062                                       | 16       |
| 1.37   | 0.054                                       | 17       |
| 1.22   | 0.048                                       | 18       |
| 1.04   | 0.041                                       | 19       |
| 0.89   | 0.035                                       | 20       |

**SUBSTITUTION TABLE FOR PIPE PILES**

| METRIC SIZE<br>SHOWN ON THE PLANS<br>mm x mm | SIZE<br>TO BE SUBSTITUTED<br>inch x inch |
|--|--|
| PP 360 x 4.55                                | NPS 14 x 0.179                           |
| PP 360 x 6.35                                | NPS 14 x 0.250                           |
| PP 360 x 9.53                                | NPS 14 x 0.375                           |
| PP 360 x 11.12                               | NPS 14 x 0.438                           |
| PP 406 x 12.70                               | NPS 16 x 0.500                           |
| PP 460 x T                                   | NPS 18 x T"                              |
| PP 508 x T                                   | NPS 20 x T"                              |
| PP 559 x T                                   | NPS 22 x T"                              |
| PP 610 x T                                   | NPS 24 x T"                              |
| PP 660 x T                                   | NPS 26 x T"                              |
| PP 711 x T                                   | NPS 28 x T"                              |
| PP 762 x T                                   | NPS 30 x T"                              |
| PP 813 x T                                   | NPS 32 x T"                              |
| PP 864 x T                                   | NPS 34 x T"                              |
| PP 914 x T                                   | NPS 36 x T"                              |
| PP 965 x T                                   | NPS 38 x T"                              |
| PP 1016 x T                                  | NPS 40 x T"                              |
| PP 1067 x T                                  | NPS 42 x T"                              |
| PP 1118 x T                                  | NPS 44 x T"                              |
| PP 1219 x T                                  | NPS 48 x T"                              |
| PP 1524 x T                                  | NPS 60 x T"                              |

The thickness in millimeters (T) represents an exact conversion of the thickness in inches (T").

**SUBSTITUTION TABLE FOR CIDH CONCRETE PILING**

| METRIC SIZE<br>SHOWN ON THE PLANS | ACTUAL AUGER SIZE<br>TO BE SUBSTITUTED<br>inches |
|-----------------------------------|--|
| 350 mm                            | 14   |
| 400 mm                            | 16   |
| 450 mm                            | 18   |
| 600 mm                            | 24   |
| 750 mm                            | 30   |
| 900 mm                            | 36   |
| 1.0 m                             | 42   |
| 1.2 m                             | 48   |
| 1.5 m                             | 60   |
| 1.8 m                             | 72   |
| 2.1 m                             | 84   |
| 2.4 m                             | 96   |
| 2.7 m                             | 108  |
| 3.0 m                             | 120  |
| 3.3 m                             | 132  |
| 3.6 m                             | 144  |
| 4.0 m                             | 156  |
|                                   |  |
|                                   |  |
|                                   |  |
|                                   |  |

**SUBSTITUTION TABLE FOR STRUCTURAL TIMBER AND LUMBER**

| METRIC MINIMUM<br>DRESSED DRY,<br>SHOWN ON THE PLANS<br>mm x mm | METRIC MINIMUM<br>DRESSED GREEN,<br>SHOWN ON THE PLANS<br>mm x mm | NOMINAL<br>SIZE<br>TO BE SUBSTITUTED<br>inch x inch |
|---|---|---|
| 19x89   | 20x90   | 1x4   |
| 38x89   | 40x90   | 2x4   |
| 64x89   | 65x90   | 3x4   |
| 89x89   | 90x90   | 4x4   |
| 140x140   | 143x143   | 6x6   |
| 140x184   | 143x190   | 6x8   |
| 184x184   | 190x190   | 8x8   |
| 235x235   | 241x241   | 10x10   |
| 286x286   | 292x292   | 12x12   |

SUBSTITUTION TABLE FOR NAILS AND SPIKES

| METRIC COMMON NAIL,<br>SHOWN ON THE PLANS | METRIC BOX NAIL,<br>SHOWN ON THE PLANS | METRIC SPIKE,<br>SHOWN ON THE<br>PLANS | SIZE<br>TO BE<br>SUBSTITUTED<br>Penny-weight |
|---|--|--|--|
| Length, mm<br>Diameter, mm                | Length, mm<br>Diameter, mm             | Length, mm<br>Diameter, mm             |  |
| 50.80<br>2.87                             | 50.80<br>2.51                          | ————                                   | 6d   |
| 63.50<br>3.33                             | 63.50<br>2.87                          | ————                                   | 8d   |
| 76.20<br>3.76                             | 76.20<br>3.25                          | 76.20<br>4.88                          | 10d  |
| 82.55<br>3.76                             | 82.55<br>3.25                          | 82.55<br>4.88                          | 12d  |
| 88.90<br>4.11                             | 88.90<br>3.43                          | 88.90<br>5.26                          | 16d  |
| 101.60<br>4.88                            | 101.60<br>3.76                         | 101.60<br>5.72                         | 20d  |
| 114.30<br>5.26                            | 114.30<br>3.76                         | 114.30<br>6.20                         | 30d  |
| 127.00<br>5.72                            | 127.00<br>4.11                         | 127.00<br>6.68                         | 40d  |
| ————                                      | ————                                   | 139.70<br>7.19                         | 50d  |
| ————                                      | ————                                   | 152.40<br>7.19                         | 60d  |

SUBSTITUTION TABLE FOR IRRIGATION  
COMPONENTS

| METRIC<br>WATER METERS, TRUCK<br>LOADING STANDPIPES,<br>VALVES, BACKFLOW<br>PREVENTERS, FLOW<br>SENSORS, WYE<br>STRAINERS, FILTER<br>ASSEMBLY UNITS, PIPE<br>SUPPLY LINES, AND PIPE<br>IRRIGATION SUPPLY<br>LINES<br>SHOWN ON THE PLANS<br>DIAMETER NOMINAL (DN)<br>mm | NOMINAL<br>SIZE<br>TO BE SUBSTITUTED<br><br>inch |
|--|--|
| 15   | 1/2  |
| 20   | 3/4  |
| 25   | 1  |
| 32   | 1-1/4  |
| 40   | 1-1/2  |
| 50   | 2  |
| 65   | 2-1/2  |
| 75   | 3  |
| 100  | 4  |
| 150  | 6  |
| 200  | 8  |
| 250  | 10   |
| 300  | 12   |
| 350  | 14   |
| 400  | 16   |

Unless otherwise specified, substitutions of United States Standard Measures standard structural shapes corresponding to the metric designations shown on the plans and in conformance with the requirements in ASTM Designation: A 6/A 6M, Annex 2, will be allowed.

**8-1.02 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS**

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each type of traffic product supplied.

For those categories of materials included on the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included on the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the Standard Specifications.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

## **PAVEMENT MARKERS, PERMANENT TYPE**

### **Retroreflective With Abrasion Resistant Surface (ARS)**

1. Apex, Model 921AR (100 mm x 100 mm)
2. Ennis Paint, Models C88 (100 mm x 100 mm), 911 (100 mm x 100 mm) and C80FH
3. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm) and ARC Round Shoulder (100 mm x 100 mm)
4. 3M Series 290 (89 mm x 100 mm)
5. 3M Series 290 PSA
6. Glowlite, Inc Model 988AR (100 mm x 100 mm)

### **Retroreflective With Abrasion Resistant Surface (ARS)**

(for recessed applications only)

1. Ennis Paint, Model 948 (58 mm x 119 mm)
  2. Ennis Paint, Model 944SB (51 mm x 100 mm)\*
  3. Ray-O-Lite, Model 2002 (51 mm x 117 mm)
  4. Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)\*
- \*For use only in 114 mm wide (older) recessed slots

### **Non-Reflective, 100-mm Round**

1. Apex Universal (Ceramic)
2. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
3. Glowlite, Inc. (Ceramic) and PP (Polypropylene)
4. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
5. Interstate Sales, "Diamond Back" (Polypropylene)
6. Novabrite Models Cdot (White) Cdot-y (Yellow), Ceramic
7. Novabrite Models Pdot-w (White) Pdot-y (Yellow), Polypropylene
8. Three D Traffic Works TD10000 (ABS), TD10500 (Polypropylene)
9. Ray-O-Lite, Ray-O-Dot (Polypropylene)

## **PAVEMENT MARKERS, TEMPORARY TYPE**

### **Temporary Markers For Long Term Day/Night Use (180 days or less)**

1. Vega Molded Products "Temporary Road Marker" (75 mm x 100 mm)
2. Pexco LLC, Halftrack model 25, 26 and 35

### **Temporary Markers For Short Term Day/Night Use (14 days or less)**

(For seal coat or chip seal applications, clear protective covers are required)

1. Apex Universal, Model 932
2. Pexco LLC, Models T.O.M., T.R.P.M., and "HH" (High Heat)
3. Hi-Way Safety, Inc., Model 1280/1281
4. Glowlite, Inc., Model 932

## **STRIPING AND PAVEMENT MARKING MATERIAL**

### **Permanent Traffic Striping and Pavement Marking Tape**

1. Advanced Traffic Marking, Series 300 and 400
2. Brite-Line, Series 1000
3. Brite-Line, "DeltaLine XRP"
4. Swarco Industries, "Director 35" (For transverse application only)
5. Swarco Industries, "Director 60"
6. 3M, "Stamark" Series 380 and 5730
7. 3M, "Stamark" Series 420 (For transverse application only)

**Temporary (Removable) Striping and Pavement Marking Tape (180 days or less)**

1. Advanced Traffic Marking, Series 200
2. Brite-Line, Series 100
3. Garlock Rubber Technologies, Series 2000
4. P.B. Laminations, Aztec, Grade 102
5. Swarco Industries, "Director-2"
6. Trelleborg Industries, R140 Series
7. 3M Series 620 "CR", and Series 780
8. 3M Series A145, Removable Black Line Mask  
(Black Tape: for use only on Hot mix asphalt surfaces)
9. Advanced Traffic Marking Black "Hide-A-Line"  
(Black Tape: for use only on Hot mix asphalt surfaces)
10. Brite-Line "BTR" Black Removable Tape  
(Black Tape: for use only on Hot mix asphalt surfaces)
11. Trelleborg Industries, RB-140  
(Black Tape: for use only on Hot mix asphalt surfaces)

**Preformed Thermoplastic (Heated in place)**

1. Flint Trading Inc., "Hot Tape"
2. Flint Trading Inc., "Premark Plus"
3. Ennis Paint Inc., "Flametape"

**Ceramic Surfacing Laminate, 150 mm x 150 mm**

1. Highway Ceramics, Inc.

**CLASS 1 DELINEATORS**

**One Piece Driveable Flexible Type, 1700-mm**

1. Pexco LLC, "Flexi-Guide Models 400 and 566"
2. Carsonite, Curve-Flex CFRM-400
3. Carsonite, Roadmarker CRM-375
4. FlexStake, Model 654 TM
5. GreenLine Model CGD1-66

**Special Use Type, 1700-mm**

1. Pexco LLC, Model FG 560 (with 450-mm U-Channel base)
2. Carsonite, "Survivor" (with 450-mm U-Channel base)
3. Carsonite, Roadmarker CRM-375 (with 450-mm U-Channel base)
4. FlexStake, Model 604
5. GreenLine Model CGD (with 450-mm U-Channel base)
6. Impact Recovery Model D36, with #105 Driveable Base
7. Safe-Hit with 200-mm pavement anchor (SH248-GP1)
8. Safe-Hit with 380-mm soil anchor (SH248-GP2) and with 450-mm soil anchor (SH248-GP3)
9. Safe-Hit RT 360 Post with Soil Mount Anchor (GPS)
10. Shur-Tite Products, Shur-Flex Drivable

**Surface Mount Type, 1200-mm**

1. Bent Manufacturing Company, Masterflex Model MFEX 180-48
2. Carsonite, "Channelizer"
3. FlexStake, Models 704, 754 TM, and EB4
4. Impact Recovery Model D48, with #101 Fixed (Surface-Mount) Base
5. Three D Traffic Works "Channelflex" ID No. 522248W
6. Flexible Marker Support, Flexistiff Model C-9484
7. Safe-Hit, SH 248 SMR

## **CHANNELIZERS**

### **Surface Mount Type, 900-mm**

1. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)
2. Pexco LLC, Flexi-Guide Models FG300PE, FG300UR, and FG300EFX
3. Carsonite, "Super Duck" (Round SDR-336)
4. Carsonite, Model SDCF03601MB "Channelizer"
5. FlexStake, Models 703, 753 TM, and EB3
6. GreenLine, Model SMD-36
7. Hi-way Safety, Inc. "Channel Guide Channelizer" Model CGC36
8. Impact Recovery Model D36, with #101 Fixed (Surface-Mount) Base
9. Safe-Hit, Guide Post, Model SH236SMA and Dura-Post, Model SHL36SMA
10. Three D Traffic Works "Boomerang" 5200 Series
11. Flexible Marker Support, Flexistiff Model C-9484-36
12. Shur-Tite Products, Shur-Flex

### **Lane Separation System**

1. Pexco LLC, "Flexi-Guide (FG) 300 Curb System"
2. Qwick Kurb, "Klemmfix Guide System"
3. Dura-Curb System
4. Tuff Curb
5. FG 300 Turnpike Curb

## **CONICAL DELINEATORS, 1070-mm**

(For 700-mm Traffic Cones, see Standard Specifications)

1. Bent Manufacturing Company "T-Top"
2. Plastic Safety Systems "Navigator-1070 mm"
3. TrafFix Devices "Grabber"
4. Three D Traffic Works "Ringtop" TD7000, ID No. 742143
5. Three D Traffic Works, TD7500
6. Work Area Protection Corp. C-42

## **OBJECT MARKERS**

### **Type "K", 450-mm**

1. Pexco LLC, Model FG318PE
2. Carsonite, Model SMD 615
3. FlexStake, Model 701 KM
4. Safe-Hit, Model SH718SMA

### **Type "Q" Object Markers, 600-mm**

1. Bent Manufacturing "Masterflex" Model MF-360-24
2. Pexco LLC, Model FG324PE
3. Carsonite, "Channelizer"
4. FlexStake, Model 701KM
5. Safe-Hit, Models SH824SMA\_WA and SH824GP3\_WA
6. Three D Traffic Works ID No. 531702W and TD 5200
7. Three D Traffic Works ID No. 520896W
8. Safe-Hit, Dura-Post SHLQ-24 inch

## **CONCRETE BARRIER MARKERS AND TEMPORARY RAILING (TYPE K) REFLECTORS**

### **Impactable Type**

1. ARTUK, "FB"
2. Pexco LLC, Models PCBM-12 and PCBM-T12
3. Duraflex Corp., "Flexx 2020" and "Electriflexx"
4. Hi-Way Safety, Inc., Model GMKRM100
5. Plastic Safety Systems "BAM" Models OM-BARR and OM-BWAR
6. Three D Traffic Works "Roadguide" Model TD 9300

### **Non-Impactable Type**

1. ARTUK, JD Series
2. Plastic Safety Systems "BAM" Models OM-BITARW and OM-BITARA
3. Vega Molded Products, Models GBM and JD
4. Plastic Vacuum Forming, "Cap-It C400"

## **METAL BEAM GUARD RAIL POST MARKERS**

(For use to the left of traffic)

1. Pexco LLC, "Mini" (75 mm x 254 mm)
2. Creative Building Products, "Dura-Bull, Model 11201"
3. Duraflex Corp., "Railrider"
4. Plastic Vacuum Forming, "Cap-It C300"

## **CONCRETE BARRIER DELINEATORS, 400-mm**

(For use to the right of traffic)

1. Pexco LLC, Model PCBM T-16
2. Safe-Hit, Model SH216RBM
3. Three D Traffic Works "Roadguide" Model 9400

## **CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)**

1. Stinson Equipment Company "SaddleMarker"

## **GUARD RAILING DELINEATOR**

(Place top of reflective element at 1200 mm above plane of roadway)

### **Wood Post Type, 686-mm**

1. Pexco LLC, FG 427 and FG 527
2. Carsonite, Model 427
3. FlexStake, Model 102 GR
4. GreenLine GRD 27
5. Safe-Hit, Model SH227GRD
6. Three D Traffic Works "Guardflex" TD9100
7. New Directions Mfg, NDM27
8. Shur-Tite Products, Shur-Tite Flat Mount

### **Steel Post Type**

1. Carsonite, Model CFGR-327

## **RETROREFLECTIVE SHEETING**

### **Channelizers, Barrier Markers, and Delineators**

1. Avery Dennison T-6500 Series (For rigid substrate devices only)
2. Avery Dennison WR-7100 Series
3. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
4. Reflexite, PC-1000 Metalized Polycarbonate
5. Reflexite, AC-1000 Acrylic
6. Reflexite, AP-1000 Metalized Polyester
7. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
8. 3M, High Intensity

### **Traffic Cones, 100-mm and 150-mm Sleeves**

1. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
2. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight"
3. 3M Series 3840
4. Avery Dennison S-9000C

### **Drums**

1. Avery Dennison WR-6100
2. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
3. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
4. 3M Series 3810

### **Barricades: Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Nippon Carbide Industries, CN8117
2. Avery Dennison, W 1100 series
3. 3M Series CW 44

### **Barricades: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Avery Dennison, W-2100 Series

### **Vertical Clearance Signs: Structure Mounted**

1. 3M Model 4061, Diamond Grade DG3, Fluorescent Yellow

### **Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Avery Dennison, T-2500 Series
2. Nippon Carbide Industries, Nikkalite 18000

### **Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)**

1. Avery Dennison, T-5500A and T-6500 Series
2. Nippon Carbide Industries, Nikkalite Brand Ultralite Grade II
3. 3M 3870 and 3930 Series

### **Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)**

1. Avery Dennison, T-6500 Series
2. Nippon Carbide Industries, Crystal Grade, 94000 Series
3. Nippon Carbide Industries, Model No. 94847 Fluorescent Orange
4. 3M Series 3930 and Series 3924S

**Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive**

1. Avery Dennison, WU-6014
2. Novabrite LLC, "Econobrite"
3. Reflexite "Vinyl"
4. Reflexite "SuperBright"
5. Reflexite "Marathon"
6. 3M Series RS20

**Signs: Type VII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. 3M Series 3924S, Fluorescent Orange
2. 3M LDP Series 3970

**Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. Avery Dennison, T-7500 Series
2. Avery Dennison, T-7511 Fluorescent Yellow
3. Avery Dennison, T-7513 Fluorescent Yellow Green
4. Avery Dennison, W-7514 Fluorescent Orange
5. Nippon Carbide Industries, Nikkalite Crystal Grade Series 92800
6. Nippon Carbide Industries, Nikkalite Crystal Grade Model 92847 Fluorescent Orange

**Signs: Type IX, Very-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. 3M VIP Series 3981 Diamond Grade Fluorescent Yellow
2. 3M VIP Series 3983 Diamond Grade Fluorescent Yellow/Green
3. 3M VIP Series 3990 Diamond Grade
4. Avery Dennison T-9500 Series
5. Avery Dennison, T9513, Fluorescent Yellow Green
6. Avery Dennison, W9514, Fluorescent Orange
7. Avery Dennison, T-9511 Fluorescent Yellow

**SPECIALTY SIGNS**

1. Reflexite "Endurance" Work Zone Sign (with Semi-Rigid Plastic Substrate)

**ALTERNATIVE SIGN SUBSTRATES**

**Fiberglass Reinforced Plastic (FRP) and Expanded Foam PVC**

1. Fiber-Brite (FRP)
2. Sequentia, "Polyplate" (FRP)
3. Intoplast Group "InteCel" (13 mm for Post-Mounted CZ Signs, 1200 mm or less)(PVC)

**Aluminum Composite, Temporary Construction Signs and Permanent Signs up to 1400 mm.**

1. Alcan Composites "Dibond Material, 2 mm"
2. Mitsubishi Chemical America, Alpolic 350
3. Bone Safety Signs, Bone Light ACM (temporary construction signs only)

**8-1.03 STATE-FURNISHED MATERIALS**

The State furnishes you with:

- Padlocks for irrigation controller enclosure cabinets
- Loop detector sensor units
- Components of battery backup system as follows:

- Inverter/charger unit
  - Power transfer relay
  - Manually-operated bypass switch
  - Battery harness

Utility interconnect wires  
Battery temperature probe  
Relay contact wires

#### **8-1.04 SLAG AGGREGATE**

Air-cooled iron blast furnace slag shall not be used to produce aggregate for:

1. Structure backfill material.
2. Pervious backfill material.
3. Permeable material.
4. Reinforced or prestressed portland cement concrete component or structure.
5. Nonreinforced portland cement concrete component or structure for which a Class 1 Surface Finish is required by the provisions in Section 51-1.18B, "Class 1 Surface Finish," of the Standard Specifications.

Aggregate produced from slag resulting from a steel-making process shall not be used for a highway construction project except for the following items:

1. Imported Borrow.
2. Aggregate Subbase.
3. Class 2 Aggregate Base.
4. Hot Mix Asphalt.

Steel slag to be used to produce aggregate for aggregate subbase and Class 2 aggregate base shall be crushed so that 100 percent of the material will pass a 19-mm sieve and then shall be control aged for a period of at least 3 months under conditions that will maintain all portions of the stockpiled material at a moisture content in excess of 6 percent of the dry mass of the aggregate.

A supplier of steel slag aggregate shall provide separate stockpiles for controlled aging of the slag. An individual stockpile shall contain not less than 9075 tonnes nor more than 45 350 tonnes of slag. The material in each individual stockpile shall be assigned a unique lot number and each stockpile shall be identified with a permanent system of signs. The supplier shall maintain a permanent record of the dates on which stockpiles are completed and controlled aging begun, of the dates when controlled aging was completed, and of the dates tests were made and the results of these tests. Moisture tests shall be made at least once each week. No credit for aging will be given for the time period covered by tests which show a moisture content of 6 percent or less. The stockpiles and records shall be available to the Engineer during normal working hours for inspection, check testing and review.

The supplier shall notify the Transportation Laboratory when each stockpile is completed and controlled aging begun. No more aggregate shall be added to the stockpile unless a new aging period is initiated. A further notification shall be sent when controlled aging is completed.

The supplier shall provide a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. Each stockpile or portion of a stockpile that is used in the work will be considered a lot. The Certificates of Compliance shall state that the steel slag aggregate has been aged in a stockpile for at least 3 months at a moisture content in excess of 6 percent of the dry mass of the aggregate.

Steel slag used for imported borrow shall be weathered for at least 3 months. Prior to the use of steel slag as imported borrow, the supplier shall furnish a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall state that the steel slag has been weathered for at least 3 months.

Each delivery of aggregate containing steel slag for use as aggregate subbase or Class 2 aggregate base shall be accompanied by a delivery tag for each load which will identify the lot of material by stockpile number, where the slag was aged, and the date that the stockpile was completed and controlled aging begun.

Air-cooled iron blast furnace slag or natural aggregate may be blended in proper combinations with steel slag aggregate to produce the specified gradings, for those items for which steel slag aggregate is permitted, unless otherwise provided.

Aggregate containing slag shall meet the applicable quality requirements for the items in which the aggregate is used.

The combined slag aggregate shall conform to the specified grading for the item in which it is used. The grading will be determined by California Test 202, modified by California Test 105 when there is a difference in specific gravity of 0.2 or more between the coarse and fine portion of the aggregate or between blends of different aggregates.

No aggregate produced from slag shall be placed within 0.3-m, measured in any direction, of a non-cathodically protected pipe or structure unless the aggregate is incorporated in portland cement concrete pavement, in hot mix asphalt, or in treated base.

When slag is used as aggregate in hot mix asphalt, the  $K_c$  factor requirements, as determined by California Test 303, will not apply.

Slag aggregate used for embankment construction shall not be placed within 0.46-m of finished slope lines, measured normal to the plane of the slope.

If steel slag aggregates are used to make hot mix asphalt, there shall be no other aggregates used in the mixture, except that up to 50 percent of the material passing the 4.75-mm sieve may consist of iron blast furnace slag aggregates or natural aggregates, or a combination thereof. If iron blast furnace aggregates or natural aggregates or a combination thereof are used in the mix, each type of aggregate shall be fed to the drier at a uniform rate. The rate of feed of each type of aggregate shall be maintained within 10 percent of the amount set. Adequate means shall be provided for controlling and checking the accuracy of the feeder.

Steel slag aggregate shall be stored separately from iron blast furnace slag aggregate and each type of slag aggregate shall also be stored separately from natural aggregate.

Hot mix asphalt produced from more than one of the following shall not be placed in the same layer: steel slag aggregates, iron blast furnace slag aggregates, natural aggregates or any combination thereof. Once a type of aggregate or aggregates is selected, it shall not be changed without prior approval by the Engineer.

If steel slag aggregates are used to produce hot mix asphalt, and if the specific gravity of a compacted stabilometer test specimen is in excess of 2.40, the quantity of hot mix asphalt to be paid for will be reduced. The stabilometer test specimen will be fabricated in conformance with the procedures in California Test 304 and the specific gravity of the specimen will be determined in conformance with Method C of California Test 308. The pay quantity of hot mix asphalt will be determined by multiplying the quantity of hot mix asphalt placed in the work by 2.40 and dividing the result by the specific gravity of the compacted stabilometer test specimen. Such reduction in quantity will be determined and applied as often as is necessary to ensure accurate results as determined by the Engineer.

### **8-1.05 ENGINEERING FABRICS**

Engineering fabrics shall conform to the provisions in Section 88, "Engineering Fabrics," of the Standard Specifications and these special provisions.

Filter fabric for this project shall be ultraviolet (UV) ray protected.

## **SECTION 8-2. CONCRETE**

### **8-2.01 PORTLAND CEMENT CONCRETE**

Portland cement concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

The Department maintains a list of sources of fine and coarse aggregate that have been approved for use with a reduced amount of supplementary cementitious material in the total amount of cementitious material to be used. A source of aggregate will be considered for addition to the approved list if the producer of the aggregate submits to the Transportation Laboratory certified test results from a qualified testing laboratory that verify the aggregate complies with the requirements. Before the testing starts, the aggregate test shall be registered with the Department. A registration number can be obtained by calling (916) 227-7228. The registration number shall be used as the identification for the aggregate sample in correspondence with the Department. Upon request, a split of the tested sample shall be provided to the Department. Approval of aggregate will depend upon compliance with the specifications, based on the certified test results submitted, together with any replicate testing the Department may elect to perform. Approval will expire 3 years from the date the most recent registered and evaluated sample was collected from the aggregate source.

Qualified testing laboratories shall conform to the following requirements:

1. Laboratories performing ASTM Designation: C 1293 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Concrete Proficiency Sample Program and shall have received a score of 3 or better on each test of the previous 2 sets of concrete samples.
2. Laboratories performing ASTM Designation: C 1260 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Pozzolan Proficiency Sample Program and shall have received a score of 3 or better on the shrinkage and soundness tests of the previous 2 sets of pozzolan samples.

Aggregates on the list shall conform to one of the following requirements:

1. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1293, the average expansion at one year shall be less than or equal to 0.040 percent; or

2. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1260, the average of the expansion at 16 days shall be less than or equal to 0.15 percent.

If the aggregates used in the concrete are on the Department's list, the minimum amount of supplementary cementitious material shall conform to the following:

1. If fly ash or natural pozzolan conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 15 percent by mass of the total cementitious material; or
2. If silica fume conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 7 percent by mass of the total cementitious material.

The limitation on tricalcium silicate ( $C_3S$ ) content in Type II cement specified in Section 90-2.01A, "Cement," of the Standard Specifications shall not apply.

## **8-2.02 RAPID STRENGTH CONCRETE FOR STRUCTURES**

### **GENERAL**

#### **Summary**

This section includes specifications for rapid strength concrete (RSC) for structures. You may only use RSC when specified elsewhere in these special provisions.

#### **Definitions**

**Opening age:** The age at which the concrete will achieve the specified strength for opening to public or construction traffic.

#### **Submittals**

##### **Mix Design**

Submit the RSC mix design at least 10 days before use. If a trial slab is required, submit the RSC mix design at least 10 days before constructing the trial slab. Include the following in the submittal:

1. Compressive strength test results for prequalification of RSC at age of break, at 3 days, and at 28 days
2. Opening age
3. Proposed aggregate grading
4. Mix proportions of cementitious material, aggregate, and water
5. Types and amounts of chemical admixtures, if used
6. Range of ambient temperatures over which the mix design will achieve the required minimum compressive strength
7. Source of materials

##### **Volumetric Proportioning**

When using volumetric proportioning, submit the following:

1. Aggregate moisture test results
2. Log of production data

##### **Certificate of Compliance**

Submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications with each delivery of aggregate, cementitious material, and admixtures used for calibration tests. Include certified copies of the weight of each delivery.

The Certificate of Compliance must state that the source of materials used for the calibration tests is from the same source as to be used for the planned work. The Certificate of Compliance must be signed by an authorized representative.

#### **Quality Control and Assurance**

##### **Prequalification of RSC**

Prequalification of a RSC mix design includes determining the opening age and achieving the minimum specified 28-day compressive strength.

Prequalify RSC under the specifications for prequalification of concrete specified by compressive strength in Section 90-9.01, "General," of the Standard Specifications. Determine the opening age as follows:

1. Fabricate at least 5 test cylinders to be used to determine the age of break.
2. Immediately after fabrication of the 5 test cylinders, store the cylinders in a temperature medium of  $70 \pm 3$  °F until the cylinders are tested.
3. Determine the age of break to achieve an average strength of the 5 test cylinders of not less than 8.3 MPa. Not more than 2 test cylinders shall have a strength of less than 7.9 MPa.
4. The opening age is the age of break plus 1 hour.

**Weighmaster Certifications**

Weighmaster certificates for RSC, regardless of the proportioning method used, must include all information necessary to trace the manufacturer and manufacturer's lot number for the cement being used. When proportioned into fabric containers, the weighmaster certificates for the cement must contain the date of proportioning, location of proportioning, and actual net draft weight of the cement. When proportioned at the job site from a storage silo, the weighmaster certificates must contain the date of proportioning, location of proportioning, and the net draft weight of the cement used in the load.

**MATERIALS**

**General**

RSC must comply with one of the following:

1. Concrete made with portland cement concrete and a nonchloride Type C chemical admixture. The concrete must comply with Section 90, "Portland Cement Concrete," of the Standard Specifications, except that Type III cement may be used.
2. Concrete made with a proprietary cementitious material. The concrete must comply with Section 90, "Portland Cement Concrete," of the Standard Specifications, except that:

2.1. Cementitious material shall meet the definition of hydraulic cement in ASTM C 219, and the following:

**Proprietary Cementitious Material**

| Test Description              | Test Method                                 | Requirement  |
|-------------------------------|---|--------------|
| Contraction in Air            | California Test 527, w/c ratio = 0.39±0.010 | 0.053%, max. |
| Mortar Expansion in Water     | ASTM C 1038                                 | 0.04%, max.  |
| Soluble Chloride*             | California Test 422                         | 0.05%, max.  |
| Soluble Sulfate*              | California Test 417                         | 0.30%, max.  |
| Thermal Stability             | California Test 553                         | 90%, min.    |
| Compressive Strength @ 3 days | ASTM C 109                                  | 17.2 MPa     |

\*Test is to be done on a cube specimen fabricated in conformance with the requirements in ASTM C 109, cured at least 14 days, and then pulverized so that 100% passes the No. 50 sieve.

2.2. Citric acid or borax may be used if requested in writing by the cement manufacturer and a sample is submitted to the Engineer. Chemical admixtures, if used, shall be included when testing for requirements listed in the table above.

RSC must have a minimum 28-day compressive strength of 25 MPa.

Supplementary cementitious material is not required.

Penetration requirements of Section 90-6.06, "Amount of Water and Penetration," of the Standard Specifications do not apply.

**CONSTRUCTION**

**General**

RSC may be proportioned and placed by a volumetric mixer.

## **Volumetric Proportioning**

RSC proportioned by a volumetric mixer must comply with the requirements specified herein.

Proportion liquid admixtures under Section 90-4.10, "Proportioning and Dispensing Liquid Admixtures," of the Standard Specifications, except proportion liquid admixtures with a meter.

Batch-mixer trucks must proportion cement, water, aggregate, and additives by volume. Aggregate feeders must be connected directly to the drive on the cement vane feeder. The cement feed rate must be tied directly to the feed rate for the aggregate and other ingredients. Only change the ratio of cement to aggregate by changing the gate opening for the aggregate feed. The drive shaft of the aggregate feeder must have a revolution counter reading to the nearest full or partial revolution of the aggregate delivery belt.

Proportion aggregate with a belt feeder operated with an adjustable cutoff gate delineated to the nearest quarter increment. The gate opening height must be readily determinable. Proportion cement by any method that complies with the accuracy tolerance specifications. Proportion water with a meter under Section 9-1.01, "Measurement of Quantities," of the Standard Specifications.

Calibrate the cutoff gate for each batch-mixer truck used and for each aggregate source. Calibrate batch-mixer trucks at 3 different aggregate gate settings that are commensurate with production needs. Perform at least 2 calibration runs for each aggregate gate.

Individual aggregate delivery rate check-runs must not deviate more than 1.0 percent from the mathematical average of all runs for the same gate and aggregate type. Each test run must be at least 500 kilograms.

At the time of batching, dry and drain aggregates to a stable moisture content. Do not proportion aggregates with visible separation of water from the aggregate during proportioning. At the time of batching, the free moisture content of fine aggregate must not exceed 8 percent of its saturated, surface-dry weight.

If the proportioning plant has separate supplies of the same size group of aggregate with different moisture content, specific gravity, or surface characteristics affecting workability, exhaust 1 supply before using another supply.

Cover rotating and reciprocating equipment on batch-mixer trucks with metal guards.

Individual cement delivery rate check-runs must not deviate more than 1.0 percent of the mathematical average of 3 runs of at least 500 kg each.

When the water meter operates between 50 percent and 100 percent of production capacity, the indicated mass of water delivered must not differ from the actual mass delivered by more than 1.5 percent for each of 2 runs of 285 liters. Calibrate the water meter under California Test 109. The water meter must be equipped with a resettable totalizer and display the operating rate.

Conduct calibration tests for aggregate, cement, and water proportioning devices with a platform scale located at the calibration site. Platform scales for weighing test-run calibration material must have a maximum capacity of 2.5 tonnes with maximum graduations of 0.5 kg. Error test the platform scale within 8 hours of calibrating the batch-mixer truck proportioning devices. Perform error-testing with test weights under California Test 109. Furnish a witness scale that is within 2 graduations of the test weight load. The witness scale must be available for use at the production site throughout the production period. Equipment needed for the calibration of proportioning systems must remain available at the production site throughout the production period.

The batch-mixer truck must be equipped so that accuracy checks can be made. Recalibrate proportioning devices every 30 days after production begins or when you change the source or type of any ingredient.

A spot calibration is calibration of the cement proportioning system only. Perform a 2-run spot calibration each time 50 tonnes of cement passes through the batch-mixer truck. If the spot calibration shows the cement proportioning system does not comply with the specifications, complete a full calibration of the cement proportioning system before you resume production.

Locate cement storage immediately before the cement feeder. Equip the system with a device that automatically shuts down power to the cement feeder and aggregate belt feeder when the cement storage level is less than 20 percent of the total volume.

Determine aggregate moisture under California Test 223 at least every 2 hours during proportioning and mixing operations. Record aggregate moisture determinations and submit them at the end of each production shift.

Equip each aggregate bin with a device that automatically shuts down the power to the cement feeder and the aggregate belt feeder when the aggregate discharge rate is less than 95 percent of the scheduled discharge rate.

Proportioning device indicators must be in working order before beginning proportioning and mixing operations and must be visible when standing near the batch-mixer truck.

Identifying numbers of batch-mixer trucks must be at least 75 mm in height, and be located on the front and rear of the vehicle.

Mix volumetric proportioned RSC in a mechanically operated mixer. You may use auger-type mixers. Operate mixers uniformly at the mixing speed recommended by the manufacturer. Do not use mixers that have an accumulation of hard concrete or mortar.

Do not mix more material than will permit complete mixing. Reduce the volume of material in the mixer if complete mixing is not achieved. Continue mixing until a homogeneous mixture is produced at discharge. Do not add water to the RSC after discharge.

Do not use equipment with components made of aluminum or magnesium alloys that may have contact with plastic concrete during mixing or transporting of RSC.

The Engineer determines uniformity of concrete mixtures by differences in penetration measurements made under California Test 533. Differences in penetration are determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load. The differences must not exceed 15 mm. Submit samples of freshly mixed concrete. Sampling facilities must be safe, accessible, clean, and produce a sample that is representative of production. Sampling devices and sampling methods must comply with California Test 125.

Do not use ice to cool RSC directly. If ice is used to cool water used in the mix, it must be melted before entering the mixer.

Proportion and charge cement into a mixer so that there are no losses of cement due to wind or accumulation on equipment, or other conditions that may vary the required quantity of cement.

Each mixer must have metal plates that provide the following information:

1. Designed usage
2. Manufacturer's guaranteed mixed concrete volumetric capacity
3. Rotation speed

The device controlling the proportioning of cement, aggregate, and water must produce production data. The production data must be captured at 15-minute intervals throughout daily production. Each capture of production data represents production activity at that time and is not a summation of data. The amount of material represented by each production capture is the amount produced in the period from 7.5 minutes before to 7.5 minutes after the capture time. Submit the daily production data in electronic or printed media at the end of each production shift. Report the data including data titles in the following order:

1. Mass of cement per revolution count
2. Mass of each aggregate size per revolution count
3. Gate openings for each used aggregate size
4. Mass of water added to the concrete per revolution count
5. Moisture content of each used aggregate size
6. Individual volume of other admixtures per revolution count
7. Time of day
8. Day of week
9. Production start and stop times
10. Batch-mixer truck identification
11. Name of supplier
12. Specific type of concrete being produced
13. Source of the individual aggregate sizes
14. Source, brand, and type of cement
15. Source, brand and type of individual admixtures
16. Name and signature of operator

You may input production data by hand into a pre-printed form or it may be captured and printed by the proportioning device. Present electronic media containing recorded production data in a tab delimited format on a CD or DVD. Each capture of production data must be followed by a line-feed carriage-return with sufficient fields for the specified data.

### **Curing Concrete**

For RSC made with a proprietary cement, the curing method must be as recommended by the manufacturer of the cement and as approved by the Engineer.

For RSC made using portland cement concrete, you must:

1. Cure the concrete using the curing compound method under Section 90-7.03, "Curing Structures," of the Standard Specifications. Fogging of the surface with water after the curing compound has been applied will not be required.
2. Repair immediately any damage to the film of the curing compound with additional compound. Do not repair damage to the curing compound after the concrete is opened to public traffic.

3. Cover the surface with an insulating layer or blanket when the ambient temperature is below 18 °C during the curing period. The insulation layer or blanket shall have an R-value rating given in the table below. A heating tent may be used in lieu of or in combination with the insulating layer or blanket:

| Temperature Range During Curing Period | R-value, minimum |
|--|------------------|
| 13 °C to 18 °C                         | 1                |
| 7 °C to 13 °C                          | 2                |
| 4 °C to 7 °C                           | 3                |

If compressive strength tests are performed in the field showing that the concrete has achieved 8.3 MPa, you may open the lane to traffic at the age of break. Perform the compressive strength tests under the provisions for sampling and testing cylinders in Section 90-9.01, "General," of the Standard Specifications. The decision to use this option must be made in writing to the Engineer before beginning construction.

## **SECTION 8-3. WELDING**

### **8-3.01 WELDING**

#### **GENERAL**

Unless otherwise specified, Section 8-3, "Welding," shall apply to any welding that is specified to conform to an AWS welding code.

Requirements of the AWS welding codes shall apply unless otherwise specified in the Standard Specifications, on the plans, or in these special provisions. Wherever the abbreviation AWS is used, it shall be equivalent to the abbreviations ANSI/AWS or AASHTO/AWS.

Wherever reference is made to the following AWS welding codes in the Standard Specifications, on the plans, or in these special provisions, the year of adoption for these codes shall be as listed:

| AWS Code | Year of Adoption |
|----------|------------------|
| D1.1     | 2008             |
| D1.3     | 2008             |
| D1.4     | 2005             |
| D1.5     | 2008             |
| D1.6     | 2007             |
| D1.8     | 2009             |

Flux cored welding electrodes conforming to the requirements of AWS A5.20 E6XT-4 or E7XT-4 shall not be used to perform welding for this project.

Unless otherwise specified, Clause 6.1.3 of AWS D1.1, paragraph 1 of Section 7.1.2 of AWS D1.4, and Clause 6.1.1.2 of AWS D1.5, are replaced with the following:

The QC Inspector shall be the duly designated person who acts for and on behalf of the Contractor for inspection, testing, and quality related matters for all welding.

Quality Assurance (QA) is the prerogative of the Engineer. The QA Inspector is the duly designated person who acts for and on behalf of the Engineer.

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship.

When the term "Inspector" is used without further qualification, it shall refer to the QC Inspector.

Inspection and approval of all joint preparations, assembly practices, joint fit-ups, welding techniques, and the performance of each welder, welding operator, and tack welder shall be documented by the QC Inspector on a daily basis for each day welding is performed. For each inspection, including fit-up, Welding Procedure Specification (WPS) verification, and final weld inspection, the QC Inspector shall confirm and document compliance with the requirements of the AWS or other specified code criteria and the requirements of these special provisions on all welded joints before welding, during welding, and after the completion of each weld.

The Engineer shall have the authority to verify the qualifications or certifications of any welder, QC Inspector, or NDT personnel to specified levels by retests or other means approved by the Engineer.

When joint weld details that are not prequalified to the details of Clause 3 of AWS D1.1 or to the details of Figure 2.4 or 2.5 of AWS D1.5 are proposed for use in the work, the joint details, their intended locations, and the proposed welding parameters and essential variables, shall be approved by the Engineer. The Contractor shall allow the Engineer 15 days to complete the review of the proposed joint detail locations.

In addition to the requirements of AWS D1.1, welding procedure qualifications for work welded in conformance with this code shall conform to the following:

When a nonstandard weld joint is to be made using a combination of WPSs, a single test may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 4.5.

Upon approval of the proposed joint detail locations and qualification of the proposed joint details, welders and welding operators using these details shall perform a qualification test plate using the WPS variables and the joint detail to be used in production. The test plate shall have the maximum thickness to be used in production and a minimum length of 460 mm. The test plate shall be mechanically and radiographically tested. Mechanical and radiographic testing and acceptance criteria shall be as specified in the applicable AWS codes.

The Engineer will witness all qualification tests for WPSs that were not previously approved by the Department.

In addition to the requirements specified in the applicable code, the period of effectiveness for a welder's or welding operator's qualification shall be a maximum of 3 years for the same weld process, welding position, and weld type. If welding will be performed without gas shielding, then qualification shall also be without gas shielding. Excluding welding of fracture critical members, a valid qualification at the beginning of work on a contract will be acceptable for the entire period of the contract, as long as the welder's or welding operator's work remains satisfactory.

The Contractor shall notify the Engineer 7 days prior to performing any procedure qualification tests. Witnessing of qualification tests by the Engineer shall not constitute approval of the intended joint locations, welding parameters, or essential variables. The Contractor shall notify the Engineer using the "Standard TL-38 Inspection Form" located at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbforms.htm>

Clause 6.14.6, "Personnel Qualification," of AWS D1.1, Section 7.8, "Personnel Qualification," of AWS D1.4, and Clause 6.1.3.4, "Personnel Qualification," of AWS D1.5 are replaced with the following:

Personnel performing nondestructive testing (NDT) shall be qualified and certified in conformance with the requirements of the American Society for Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A and the Written Practice of the NDT firm. The Written Practice of the NDT firm shall meet or exceed the guidelines of the ASNT Recommended Practice No. SNT-TC-1A. Individuals who perform NDT, review the results, and prepare the written reports shall be either:

- A. Certified NDT Level II technicians, or;
- B. Level III technicians who hold a current ASNT Level III certificate in that discipline and are authorized and certified to perform the work of Level II technicians.

Clause 6.6.5, "Nonspecified NDT Other than Visual," of AWS D1.1, Section 7.6.5 of AWS D1.4 and Clause 6.6.5 of AWS D1.5 shall not apply.

For any welding, the Engineer may direct the Contractor to perform NDT that is in addition to the visual inspection or NDT specified in the AWS or other specified welding codes, in the Standard Specifications, or in these special provisions. Except as provided for in these special provisions, additional NDT required by the Engineer, and associated repair work, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. Prior to release of welded material by the Engineer, if testing by NDT methods other than those originally specified discloses an attempt to defraud or reveals a gross nonconformance, all costs associated with the repair of the deficient area, including NDT of the weld and of the repair, and any delays caused by the repair, shall be at the Contractor's expense. A gross nonconformance is defined as the sum of planar type rejectable indications in more than 20 percent of the tested length.

When less than 100 percent of NDT is specified for any weld, it is expected that the entire length of weld meet the specified acceptance-rejection criteria. Should any welding deficiencies be discovered by additional NDT directed or performed by the Engineer that utilizes the same NDT method as that originally specified, all costs associated with the repair of the deficient area, including NDT of the weld and of the weld repair, and any delays caused by the repair, shall be at the Contractor's expense.

Repair work to correct welding deficiencies discovered by visual inspection directed or performed by the Engineer, and any associated delays or expenses caused to the Contractor by performing these repairs, shall be at the Contractor's expense.

## **WELDING QUALITY CONTROL**

Welding quality control shall conform to the requirements in the AWS or other specified welding codes, the Standard Specifications, and these special provisions.

Unless otherwise specified, welding quality control shall apply to work welded in conformance with the provisions in the following:

- A. Section 49, "Piling," Section 52, "Reinforcement," Section 55, "Steel Structures," and Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications
- B. "Structural Steel for Building Work" of these special provisions

Unless otherwise specified, Clauses 6.1.4.1 and 6.1.4.3 of AWS D1.1, paragraph 2 of Section 7.1.2 of AWS D1.4, and Clauses 6.1.3.2 through 6.1.3.3 of AWS D1.5 are replaced with the following:

The QC Inspector shall be currently certified as an AWS Certified Welding Inspector (CWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors."

The QC Inspector may be assisted by an Assistant QC Inspector provided that this individual is currently certified as an AWS Certified Associate Welding Inspector (CAWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors." The Assistant QC Inspector may perform inspection under the direct supervision of the QC Inspector provided the assistant is always within visible and audible range of the QC Inspector. The QC Inspector shall be responsible for signing all reports and for determining if welded materials conform to workmanship and acceptance criteria. The ratio of QC Assistants to QC Inspectors shall not exceed 5 to 1.

The Contractor shall designate in writing a welding Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for the quality of welding, including materials and workmanship, performed by the Contractor and subcontractors.

The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, reviewing, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall be a registered professional engineer or shall be currently certified as a CWI.

Unless the QCM is hired by a subcontractor providing only QC services, the QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

The QCM shall sign and furnish to the Engineer, a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each item of work for which welding was performed. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans, the Standard Specifications, and these special provisions.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for the following conditions:

- A. The work is welded in conformance with AWS D1.5 and is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program, Category CBR, Major Steel Bridges and Fracture Critical endorsement F, when applicable.
- B. Structural steel for building work is welded in conformance with AWS D1.1 and is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program, Category STD, Standard for Steel Building Structures.

For welding performed at such facilities, the inspection personnel or NDT firms may be employed or compensated by the facility performing the welding provided the facility maintains a QC program that is independent from production.

Unless otherwise specified, an approved independent third party will witness the qualification tests for welders or welding operators. The independent third party shall be a current CWI and shall not be an employee of the contractor performing the welding. The Contractor shall allow the Engineer 15 days to review the qualifications and copy of the current certification of the independent third party.

Prior to submitting the Welding Quality Control Plan (WQCP) required herein, a prewelding meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing welding or inspection for this project, shall be held to discuss the requirements for the WQCP.

Information regarding the contents, format, and organization of a WQCP, is available at the Transportation Laboratory and at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbresources.htm>

The Contractor shall submit to the Engineer, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications, 2 copies of a separate WQCP for each subcontractor or supplier for each item of work for which welding is to be performed.

The Contractor shall allow the Engineer 15 days to review the WQCP submittal after a complete plan has been received. No welding shall be performed until the WQCP is approved in writing by the Engineer.

An amended WQCP or any addendum to the approved WQCP shall be submitted to, and approved in writing by the Engineer, for proposed revisions to the approved WQCP. An amended WQCP or addendum will be required for revisions to the WQCP, including but not limited to a revised WPS; additional welders; changes in NDT firms, QC, or NDT personnel or procedures; or updated systems for tracking and identifying welds. The Engineer shall have 7 days to complete the review of the amended WQCP or addendum. Work affected by the proposed revisions shall not be performed until the amended WQCP or addendum has been approved.

After final approval of the WQCP, amended WQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of the approved documents. A copy of the Engineer approved document shall be available at each location where welding is to be performed.

All welding will require inspection by the Engineer. The Contractor shall request inspection at least 3 business days prior to the beginning of welding for locations within California and 5 business days for locations outside of California. The Contractor shall request inspection at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbforms.htm>

Continuous inspection shall be provided when any welding is being performed. Continuous inspection, as a minimum, shall include having a QC Inspector within such close proximity of all welders or welding operators so that inspections by the QC Inspector of each welding operation at each welding location does not lapse for a period exceeding 30 minutes.

A daily production log for welding shall be kept for each day that welding is performed. The log shall clearly indicate the locations of all welding. The log shall include the welders' names, amount of welding performed, any problems or deficiencies discovered, and any testing or repair work performed, at each location. The daily report from each QC Inspector shall also be included in the log.

The following items shall be included in a Welding Report that is to be submitted to the Engineer within 15 days following the performance of any welding:

- A. A daily production log.
- B. Reports of all visual weld inspections and NDT.
- C. Radiographs and radiographic reports, and other required NDT reports.
- D. A summary of welding and NDT activities that occurred during the reporting period.
- E. Reports of each application of heat straightening.
- F. A summarized log listing the rejected lengths of weld by welder, position, process, joint configuration, and piece number.
- G. Documentation that the Contractor has evaluated all radiographs and other nondestructive tests and corrected all rejectable deficiencies, and that all repaired welds have been reexamined using the required NDT and found acceptable.

The following information shall be clearly written on the outside of radiographic envelopes: name of the QCM, name of the nondestructive testing firm, name of the radiographer, date, contract number, complete part description, and all included weld numbers, report numbers, and station markers or views, as detailed in the WQCP. In addition, all interleaves shall have clearly written on them the part description and all included weld numbers and station markers or views, as detailed in the WQCP. A maximum of 2 pieces of film shall be used for each interleave.

Reports of all visual inspections and NDT shall be signed by the inspector or technician and submitted daily to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures. Reports of all NDT, whether specified, additional, or informational, performed by the Contractor shall be submitted to the Engineer.

The Engineer will review the Welding Report to determine if the Contractor is in conformance with the WQCP. Except for field welded steel pipe piling, the Engineer shall be allowed 15 days to review the report and respond in writing after the complete Welding Report has been received. Prior to receiving notification from the Engineer of the Contractor's conformance with the WQCP, the Contractor may encase in concrete or cover welds for which the Welding Report has been submitted. However, should the Contractor elect to encase or cover those welds prior to receiving notification from the Engineer, it is expressly understood that the Contractor shall not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection.

For field welded steel pipe piling, including bar reinforcement in the piling, the Contractor shall allow the Engineer 2 business days to review the Welding Report and respond in writing after the required items have been received. No field welded steel pipe piling shall be installed, and no reinforcement in the piling shall be encased in concrete until the Engineer has approved the above requirements in writing.

In addition to the requirements in AWS D1.1 and AWS D1.5, third-time excavations of welds or base metal to repair unacceptable discontinuities, regardless of NDT method, and all repairs of cracks require prior approval of the Engineer.

The Engineer shall be notified immediately in writing when welding problems, deficiencies, base metal repairs, or any other type of repairs not submitted in the WQCP are discovered, and also of the proposed repair procedures to correct them. For requests to perform third-time excavations or repairs of cracks, the Contractor shall include an engineering evaluation of the proposed repair. The engineering evaluation, at a minimum, shall address the following:

- A. What is causing each defect?
- B. Why the repair will not degrade the material properties?
- C. What steps are being taken to prevent similar defects from happening again?

The Contractor shall allow the Engineer 7 days to review these procedures. No remedial work shall begin until the repair procedures are approved in writing by the Engineer.

Clause 6.5.4 of AWS D1.5 is replaced with the following:

The QC Inspector shall inspect and approve each joint preparation, assembly practice, welding technique, joint fit-up, and the performance of each welder, welding operator, and tack welder to make certain that the applicable requirements of this code and the approved Welding Procedure Specification (WPS) are met. The QC Inspector shall examine the work to make certain that it meets the requirements of Clauses 3 and 6.26. The size and contour of all welds shall be measured using suitable gages. Visual inspection for cracks in welds and base metal, and for other discontinuities shall be aided by strong light, magnifiers, or such other devices as may be helpful. Acceptance criteria different from those specified in this code may be used when approved by the Engineer.

In addition to the requirements of AWS D1.5, Clause 5.12 or 5.13, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

- A. Unless considered prequalified, fillet welds shall be qualified in each position. The fillet weld soundness test shall be conducted using the essential variables of the WPS as established by the Procedure Qualification Record (PQR).
- B. For qualification of joints that do not conform to Figures 2.4 and 2.5 of AWS D1.5, a minimum of 2 WPS qualification tests are required. The tests shall be conducted using both Figure 5.1 and Figure 5.3. The test conforming to Figure 5.1 shall be conducted in conformance with AWS D1.5, Clause 5.12 or 5.13. The test conforming to Figure 5.3 shall be conducted using the welding electrical parameters that were established for the test conducted conforming to Figure 5.1. The ranges of welding electrical parameters established during welding per Figure 5.1 in conformance with AWS D1.5, Clause 5.12, shall be further restricted according to the limits in Table 5.3 during welding per Figure 5.3.

- C. Multiple zones within a weld joint may be qualified. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.5 Clause 5.13 shall be consistent for each pass in a weld joint, and shall in no case vary by more than  $\pm 10$  percent for travel speed,  $\pm 10$  percent for amperage, and  $\pm 7$  percent for voltage as measured from a predetermined target value or average within each weld pass or zone. The travel speed shall in no case vary by more than  $\pm 15$  percent when using submerged arc welding.
- D. For a WPS qualified in conformance with AWS D1.5 Clause 5.13, the values to be used for calculating ranges for current and voltage shall be based on the average of all weld passes made in the test. Heat input shall be calculated using the average of current and voltage of all weld passes made in the test for a WPS qualified in conformance with Clause 5.12 or 5.13.
- E. Macroetch tests are required for WPS qualification tests, and acceptance shall be per AWS D1.5 Clause 5.19.3.
- F. When a nonstandard weld joint is to be made using a combination of WPSs, a test conforming to Figure 5.3 may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 5.3.
- G. Prior to preparing mechanical test specimens, the PQR welds shall be inspected by visual and radiographic tests. Backing bar shall be 75 mm in width and shall remain in place during NDT testing. Results of the visual and radiographic tests shall comply with AWS D1.5 Clause 6.26.2, excluding Clause 6.26.2.2. Test plates that do not comply with both tests shall not be used.

### **WELDING FOR OVERHEAD SIGN AND POLE STRUCTURES**

The Contractor shall meet the following requirements for any work welded in conformance with the provisions in Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor or by other persons or entities hired by subcontractors who will provide other services or materials for the project except for when the welding is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program. The AISC Certification category for overhead sign structures shall be Simple Steel Bridge Structures (SBR), and the AISC Certification category for pole structures shall be Simple Steel Bridge Structures (SBR) or Standard for Steel Building Structures (STD).

#### **Welding Qualification Audit**

Contractors or subcontractors performing welding operations for overhead sign and pole structures shall have successfully completed the Department's "Manufacturing Qualification Audit for Overhead Sign and Pole Structures." Copies of the audit form and procedures for requesting and completing the audit are available at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbresources.htm>

An audit that was approved by the Engineer no more than 3 years prior to the award of the contract will be acceptable for the entire period of this contract provided the Engineer determines the audit was for the same type of work that is to be performed on this contract.

A list of facilities that have successfully completed the audit and are authorized to provide material for this contract is available at:

[http://www.dot.ca.gov/hq/esc/Translab/OSM/smdocuments/Internet\\_auditlisting.pdf](http://www.dot.ca.gov/hq/esc/Translab/OSM/smdocuments/Internet_auditlisting.pdf)

Successful completion of an audit shall not relieve the Contractor of the responsibility for furnishing materials or producing finished work of the quality specified in these special provisions and as shown on the plans.

#### **Welding Report**

For work welded in conformance with the provisions in Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications, a Welding Report shall be submitted in conformance with the provisions in "Welding Quality Control" of these special provisions.

### **PAYMENT**

Full compensation for conforming to the requirements of "Welding" shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

**SECTION 9. DESCRIPTION OF BRIDGE WORK**

The bridge work to be done consists, in general, of constructing the following structures as shown on the plans, and as briefly described below:

**CENTINELA AVENUE UNDERCROSSING (WIDEN)  
(Bridge No. 53-1253)**

Lengthen the existing bridge with an approximate 74.95 meter long and an approximate 6 meter wide twelve span cast-in-place reinforced concrete slab bridge. Widen the existing bridge superstructure approximately 3.67 meters with a twenty-one span cast-in-place reinforced concrete slab bridge, a three span cast-in-place reinforced concrete box girder bridge and a two span cast-in-place prestressed concrete box girder bridge. All structures are supported on cast-in-drilled-hole concrete pile extensions, pile shafts, and pile footings.

**SEPULVEDA BOULEVARD UNDERCROSSING  
(WIDEN AND SEISMIC RETROFIT)  
(Bridge No. 53-1254)**

Widen the existing bridge approximately 2.87 meters with a three span cast-in-place prestressed concrete box girder bridge supported on cast-in-drilled-hole concrete pile footings and pile shafts. Retrofit existing bridge with steel column casings.

**RETAINING WALL NO. 402  
(Bridge No. 53E0113)**

A 324.66 meter long wall comprised of a 47.82 meter long barrier slab, a 259.13 meter long concrete barrier on piles and a 17.71 meter long Type 1 wall with a concrete barrier on top, including electrolier pedestals.

**RETAINING WALL NO. 408  
(Bridge No. 53E0114)**

A 123.66 meter long wall comprised of a 116.96 meter Type 1 wall with a concrete barrier on top, including a sign structure foundation and pedestal, and an electrolier pedestal.

**RETAINING WALL NO. 410  
(Bridge No. 53E0115)**

A 77.34 meter long retaining wall on piles with a concrete barrier on top.

**SECTION 10. CONSTRUCTION DETAILS**

**SECTION 10-1. GENERAL**

**10-1.00 CONSTRUCTION PROJECT INFORMATION SIGNS**

Before any major physical construction work readily visible to highway users is started on this contract, the Contractor shall furnish and erect 2 Type 2 Construction Project Information signs at the locations designated by the Engineer.

The signs and overlays shall be of a type and material consistent with the estimated time of completion of the project and shall conform to the details shown on the plans.

The sign letters, border and the Department's construction logos shall conform to the colors (non-reflective) and details shown on the plans, and shall be on a white background (non-reflective). The colors blue and orange shall conform to PR Color Number 3 and Number 6, respectively, as specified in the Federal Highway Administration's Color Tolerance Chart.

The sign message to be used for fund types shall consist of the following, in the order shown:

|                             |
|-----------------------------|
| FEDERAL HIGHWAY TRUST FUNDS |
| STATE HIGHWAY FUNDS         |

The sign message to be used for type of work shall consist of the following:

## HIGHWAY CONSTRUCTION

The sign message to be used for the Year of Completion of Project Construction will be furnished by the Engineer. The Contractor shall furnish and install the "Year" sign overlay within 10 working days of notification of the year date to be used.

The letter sizes to be used shall be as shown on the plans. The information shown on the signs shall be limited to that shown on the plans.

The signs shall be kept clean and in good repair by the Contractor.

Upon completion of the work, the signs shall be removed and disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13 of the Standard Specifications.

Full compensation for furnishing, erecting, maintaining, and removing and disposing of the construction project information signs shall be considered as included in the contract lump sum price paid for construction area signs and no additional compensation will be allowed therefor.

### 10-1.01 ORDER OF WORK

Order of work shall conform to the provisions in Section 5-1.05, "Order of Work," of the Standard Specifications and these special provisions.

Drilling for columns in Centinela Creek shall be restricted to the non-rainy season between April 1 and November 1. The drilling spoils shall not be stockpiled in the creek.

Attention is directed to "Jointed Plain Concrete Pavement" of these special provisions in regards to providing Preparing Conference, the Just-In-Time Training prior to commencing pavement replacement operations, the Test Strip, and Concrete Mix Proportions and Testing.

Attention is directed to "Structure Approach Slabs (Type R)" of these special provisions regarding the successful completion of 3 m x 6 m x 255 mm trial slab for each concrete mix design prior to beginning work on rapid strength concrete approach slabs.

Attention is directed to "Architectural Treatment" of these special provisions regarding the successful completion of a test panel at least 1.25 m x 1.25 m in size prior to beginning work on architectural textures.

Attention is directed to "Slope Paving" of these special provisions regarding constructing a 1.2 m by 1.8 m test panel prior to placing the permanent slope paving.

Attention is directed to "Miscellaneous Concrete Construction" of these special provisions regarding constructing a 600 mm by 600 mm test panel prior to constructing curb ramps with detectable warning surfaces.

The first order of work shall be to place the order for the electrical equipment.

The uppermost layer of new pavement shall not be placed until all underlying conduits and loop detectors have been installed.

Prior to commencement of the traffic signal functional test at any location, all items of work related to signal control shall be completed and all roadside signs, pavement delineation, and pavement markings shall be in place at that location.

Attention is directed to "Maintaining Traffic" and "Temporary Pavement Delineation" of these special provisions and to the stage construction sheets of the plans.

Attention is directed to "Progress Schedule (Critical Path Method)" of these special provisions regarding the submittal of a general time-scaled logic diagram within 10 days after approval of the contract. The diagram shall be submitted prior to performing any work that may be affected by any proposed deviations to the construction staging of the project.

#### Stage 1

The first order of work is to construct the fiber optic communication lines on the southbound Route 405.

Stage 1 work necessary to open lanes for Stage 2 shall be completed within four (4) months of closing the Northbound Howard Hughes Parkway on-ramp to traffic.

#### Stage 2

Work between Station 407+60 to 410+40 required to open the Route 405 mainline and Northbound Sepulveda Boulevard off-ramp shall be completed within six (6) months of closing the Northbound Sepulveda Boulevard off-ramp.

The work shall be performed in conformance with the stages of construction shown on the plans. Nonconflicting work in subsequent stages may proceed concurrently with work in preceding stages, provided satisfactory progress is maintained in the preceding stages of construction.

In each stage, after completion of the preceding stage, the first order of work shall be the removal of existing pavement delineation as directed by the Engineer. Pavement delineation removal shall be coordinated with new delineation so that lane lines are provided at all times on traveled ways open to public traffic.

Before obliterating any pavement delineation (traffic stripes, pavement markings, and pavement markers) that is to be replaced on the same alignment and location, as determined by the Engineer, the pavement delineation shall be referenced by the Contractor, with a sufficient number of control points to reestablish the alignment and location of the new pavement delineation. The references shall include the limits or changes in striping pattern, including one- and 2-way barrier lines, limit lines, crosswalks and other pavement markings. Full compensation for referencing existing pavement delineation shall be considered as included in the contract prices paid for new pavement delineation and no additional compensation will be allowed therefor.

Some plants required for this project may not be readily available and may have to be grown specifically for this project. Within 30 days after the contract has been approved, furnish to the Engineer a statement from the vendor that the order for the plants to be grown for this contract, including inspection plants and replacement plants, has been received and accepted by the vendor. The statement from the vendor must include the names, sizes, and quantities of plants ordered and the anticipated dates of delivery. Notify the Engineer in writing when the vendor has started to grow the plants.

At least 60 days before planting the plants, furnish the Engineer a statement from the vendor that the order for the plants required for this contract, including inspection plants, has been received and accepted by the vendor. The statement from the vendor must include the names, sizes, and quantities of plants ordered and the anticipated date of delivery.

Place orders for replacement plants with the vendor at the appropriate time so that the roots of the replacement plants are not in a root-bound condition.

Before the start of irrigation work, check for deficiencies of existing plants that are to remain in place as specified under "Maintain Existing Planted Areas" of these special provisions.

Unless otherwise shown on the plans or specified in these special provisions, conduits to be jacked or drilled or installed by the open trench method for water line crossovers and sprinkler control crossovers must be installed before the installation of other pipe supply lines.

Do not perform clearing, grubbing, and earthwork operations in areas where existing irrigation facilities are to remain in place until existing irrigation facilities have been checked for proper operation as specified under "Existing Highway Irrigation Facilities" of these special provisions.

Submittal of working drawings for electrical components must comply with Section 20-5.027B, "Wiring Plans and Diagrams," of the Standard Specifications.

Reinstall irrigation components in the irrigation controller enclosure cabinet before field installation as specified under "Irrigation Controller Enclosure Cabinet" of these special provisions.

## **10-1.02 WATER POLLUTION CONTROL**

### **GENERAL**

Water pollution control work shall conform to the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications, section of these special provisions entitled "Relations With California Regional Water Quality Control Board," and these special provisions.

The Contractor may obtain other National Pollutant Discharge Elimination System (NPDES) permits that apply to activities and mobile operations within or outside of the project limits including hot mix asphalt batch plants, material borrow areas, concrete plants, staging areas, storage yards, or access roads.

The Contractor shall perform water pollution control work in conformance with the requirements in the "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" and its addenda in effect on the day the Notice to Bidders is dated. This manual is referred to as the "Preparation Manual." Copies of the Preparation Manual may be obtained from:

State of California  
Department of Transportation  
Publication Distribution Unit  
1900 Royal Oaks Drive  
Sacramento, California 95815  
Telephone: (916) 445-3520

The Preparation Manual and other references for performing water pollution control work are available from the Department's Construction Storm Water and Water Pollution Control web site at:

<http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm>

Before the start of job site activities, the Contractor shall provide training for project managers, supervisory personnel, and employees involved with water pollution control work. The training shall include:

- A. Rules and regulations
- B. Implementation and maintenance for:
  - 1. Temporary Soil Stabilization
  - 2. Temporary Sediment Control
  - 3. Tracking Control
  - 4. Wind Erosion Control

The Contractor shall designate in writing a Water Pollution Control Manager (WPCM). The Contractor shall submit a statement of qualifications describing the training, work history, and expertise of the proposed WPCM. The qualifications shall include either:

- A. A minimum of 24 hours of Department approved storm water management training described at Department's Construction Storm Water and Water Pollution Control web site.
- B. Certification as a Certified Professional in Erosion and Sediment Control (CPESC).

The WPCM shall be:

- A. Responsible for water pollution control work.
- B. The primary contact for water pollution control work.
- C. Have authority to mobilize crews to make immediate repairs to water pollution control practices.

The Contractor may designate one manager to prepare the SWPPP and a different manager to implement the plan. The WPCP preparer shall meet the training requirements for the WPCM.

#### **STORM WATER POLLUTION PREVENTION PLAN**

The Contractor shall submit a Storm Water Pollution Prevention Plan (SWPPP) to the Engineer for approval. The SWPPP shall conform to the requirements in the Preparation Manual, the NPDES permit, and these special provisions. The SWPPP shall be submitted in place of the water pollution control program required by the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications.

The SWPPP shall include water pollution control practices:

- A. For storm water and non-storm water from areas outside of the job site related to construction activities for this contract such as:
  - 1. Staging areas.
  - 2. Storage yards.
  - 3. Access roads.
- B. Appropriate for each season as described in "Implementation Requirements" of these special provisions.
- C. For activities or mobile operations related to all NPDES permits.

The SWPPP shall include a schedule that:

- A. Describes when work activities that could cause water pollution will be performed.
- B. Identifies soil stabilization and sediment control practices for disturbed soil area.
- C. Includes dates when these practices will be 25, 50, and 100 percent complete.
- D. Shows 100 percent completion of these practices before the rainy season.

The SWPPP shall include the following temporary water pollution control practices and their associated contract items of work as shown on the plans or specified in these special provisions:

- A. Temporary Soil Stabilization
  - 1. Temporary Erosion Control Blanket
- B. Temporary Sediment Control
  - 1. Temporary Fiber Roll
  - 2. Temporary Gravel Bag Berm
  - 3. Street Sweeping
  - 4. Temporary Drainage Inlet Protection
- C. Tracking Control
  - 1. Temporary Construction Entrance
- D. Wind Erosion Control
  - 1. Temporary Erosion Control Blanket
- E. Non-Storm Water Management
  - 1. Construction Site Management (Water Control and Conservation, Illegal Connection and Discharge Detection and Reporting, Vehicle and Equipment Cleaning, Fueling, and Maintenance; Material and Equipment Used Over Water, Structure Removal Over or Adjacent to Water, Pile Driving, and Concrete Curing and Finishing.)
- F. Waste Management and Materials Pollution Control
  - 1. Construction Site Management (Material Delivery and Storage, Material Use, Stockpile Management, Spill Prevention and Control, Solid Waste Management, Hazardous Waste Management, Contaminated Soil Management, Concrete Waste Management, Sanitary/Septic Waste Management, and Liquid Waste Management.)
  - 2. Temporary Concrete Washout Facility

Within 20 days after contract approval, the Contractor shall submit 3 copies of the SWPPP to the Engineer. The Contractor shall allow 20 days for the Engineer's review. If revisions are required, the Engineer will provide comments and specify the date that the review stopped. The Contractor shall revise and resubmit the SWPPP within 7 days of receipt of the Engineer's comments. The Engineer's review will resume when the complete SWPPP is resubmitted. When the Engineer approves the SWPPP, the Contractor shall submit 4 copies of the approved SWPPP to the Engineer. The Contractor may proceed with construction activities if the Engineer conditionally approves the SWPPP while minor revisions are being completed.

The SWPPP shall include a copy of the U.S. Army Corps of Engineers permit, California Department of Fish & Game Lake or Streambed Alteration Agreement, Los Angeles Regional Water Quality Control Board Permit, and the Los Angeles County Flood Control District Permit.

The Contractor shall not perform work that may cause water pollution until the SWPPP has been approved by the Engineer. The Engineer's review and approval shall not waive any contract requirements and shall not relieve the Contractor from complying with Federal, State and local laws, regulations, and requirements.

The Contractor shall amend the SWPPP annually and shall resubmit it to the Engineer 25 days before the defined rainy season.

If there is a change in construction schedule or activities, the Contractor shall prepare an amendment to the SWPPP to identify additional or revised water pollution control practices. The Contractor shall submit the amendment to the Engineer for review within a time agreed to by the Engineer not to exceed the number of days specified for the initial submittal of the SWPPP. The Engineer will review the amendment within the same time allotted for the review of the initial submittal of the SWPPP.

If directed by the Engineer or requested in writing by the Contractor and approved by the Engineer, changes to the water pollution control work specified in these special provisions will be allowed. Changes may include addition of new water pollution control practices. The Contractor shall incorporate these changes in the SWPPP. Additional water pollution control work will be paid for as extra work in accordance with Section 4-1.03D, "Extra Work," of the Standard Specifications.

The Contractor shall keep a copy of the approved SWPPP at the job site. The SWPPP shall be made available when requested by a representative of the Regional Water Quality Control Board, State Water Resources Control Board, United States Environmental Protection Agency, or the local storm water management agency. Requests from the public shall be directed to the Engineer.

### **SAMPLING AND ANALYSIS**

The Contractor shall include a Sampling and Analysis Plan (SAP) in the SWPPP to monitor the effectiveness of the water pollution control practices. The Contractor shall prepare the SAP in conformance with the Preparation Manual.

The Contractor shall designate trained personnel to collect water quality samples. The personnel and training shall be documented in the SAP. Training shall consist of the following elements:

- A. SAP review,
- B. Health and safety review, and
- C. Sampling simulations.

In the SAP the Contractor shall describe the following water quality sampling procedures:

- A. Sampling preparation,
- B. Collection,
- C. Quality assurance and quality control,
- D. Sample labeling,
- E. Collection documentation,
- F. Sample shipping,
- G. Chain of custody,
- H. Sample numbering, and
- I. Precautions from the construction site health and safety plan.

The Contractor shall document sample collection during precipitation.

Samples to be analyzed in the field shall be taken by the Contractor's designated sampling personnel using collection and analysis methods, and equipment calibration specified by the manufacturer of the sampling equipment. Samples to be analyzed by a laboratory, shall be sampled, preserved, and analyzed by a State-certified laboratory in conformance with the requirements in 40 CFR Part 136, "Guidelines Establishing Test Procedures for the Analysis of Pollutants." The Contractor shall identify the State-certified laboratory, sample containers, preservation requirements, holding times, and analysis method in the SAP. A list of State-certified laboratories that are approved by the Department is available at:

<http://www.dhs.ca.gov/ps/ls/ELAP/html/lablist.htm>

### **Non-Visible Pollutants**

This project has the potential to discharge non-visible pollutants in storm water from the construction site. The Contractor shall include in the SAP a description of the sampling and analysis strategy to be implemented on the project for monitoring non-visible pollutants.

In the SAP the Contractor shall identify potential non-visible pollutants that will be present on the construction site associated with the following:

- A. Construction materials and wastes;
- B. Existing contamination due to historical site usage; or
- C. Application of soil amendments, including soil stabilization products, with the potential to alter pH or contribute toxic pollutants to storm water.

The Contractor shall show the locations planned for storage and use of the potential non-visible pollutants on the SWPPP Water Pollution Control Drawings.

The Contractor shall include in the SAP the following list of conditions that require sampling when observed during a storm water inspection:

- A. Materials or wastes containing potential non-visible pollutants are not stored under watertight conditions.
- B. Materials or wastes containing potential non-visible pollutants are stored under watertight conditions, but:
  - 1. A breach, leakage, malfunction, or spill is observed;
  - 2. The leak or spill has not been cleaned up before precipitation; and
  - 3. There is the potential for discharge of non-visible pollutants to surface waters or drainage system.
- C. Construction activities; such as application of fertilizer, pesticide, herbicide, methyl methacrylate concrete sealant, or non-pigmented curing compound; have occurred during precipitation or within 24 hours preceding precipitation, and have the potential to discharge pollutants to surface waters or drainage system.
- D. Soil amendments, including soil stabilization products, with the potential to alter pH levels or contribute toxic pollutants to storm water runoff have been applied, and have the potential to discharge pollutants to surface waters or drainage system (unless independent test data are available that demonstrate acceptable concentrations of non-visible pollutants in the soil amendment).
- E. Storm water runoff from an area contaminated by historical usage of the site has the potential to discharge pollutants to surface waters or drainage system.

The Contractor shall describe in the SAP the schedule for collecting a sample downhill from each non-visible pollutant source and an uncontaminated control sample, during the first 2 hours of discharge from precipitation during daylight hours that result in enough discharge for sample collection. If discharge flows to the non-visible pollutant source, a sample shall be collected immediately downhill from where the discharge enters the Department's right of way. If precipitation occurs again after at least 72 hours of dry weather the Contractor shall take new samples.

In the SAP the Contractor shall identify sampling locations for collecting downstream and control samples, and the reason for their selection. The control sampling location shall be selected so the sample does not come into contact with materials, wastes or areas associated with potential non-visible pollutants or disturbed soil areas. The Contractor shall show non-visible pollutant sampling locations on the SWPPP Water Pollution Control Drawings.

The Contractor shall identify in the SAP the analytical method to be used for downhill and control samples for potential non-visible pollutants on the project.

#### **Analytical Results and Evaluation**

The Contractor shall submit a hard copy and electronic copy of water quality analytical results, and quality assurance and quality control data to the Engineer within 5 days of sampling for field analyses, and within 30 days for laboratory analyses. The Contractor shall also provide an evaluation of whether the downhill samples show levels of the tested parameter higher than in the control sample. If downhill or downstream samples show increased levels, the Contractor will assess the water pollution control measures, site conditions, and surrounding influences to determine the probable cause for the increase. As determined by the assessment, the Contractor will repair or modify water pollution control measures to address increases and amend the SWPPP as necessary. Electronic results (in one of the following file formats: .xls, .txt, .csv, .dbs, or .mdb) shall have the following information:

- A. Sample identification number.
- B. Contract number.
- C. Constituent.
- D. Reported value.
- E. Analytical method.
- F. Method detection limit.
- G. Reported limit.

The Contractor shall maintain the water quality sampling documentation and analytical results with the SWPPP on the project site.

If construction activities or knowledge of site conditions change such that discharges or sampling locations change, the Contractor shall amend the SAP in conformance with this section, "Water Pollution Control."

## **IMPLEMENTATION REQUIREMENTS**

The Contractor's responsibility for SWPPP implementation shall continue throughout any temporary suspension of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications.

If the Contractor or the Engineer identifies a deficiency in the implementation of the approved SWPPP, the deficiency shall be corrected immediately, unless an agreed date for correction is approved in writing by the Engineer. The deficiency shall be corrected before the onset of precipitation. If the Contractor fails to correct the deficiency by the agreed date or before the onset of precipitation, the Department may correct the deficiency and deduct the cost of correcting deficiencies from payments.

If the Contractor fails to conform to the provisions of this section, "Water Pollution Control," the Engineer may order the suspension of work until the project complies with the requirements of this section.

### **Year-Round**

The Contractor shall monitor the National Weather Service weather forecast on a daily basis during the contract. The Contractor may use an alternative weather forecasting service if approved by the Engineer. Appropriate water pollution control practices shall be in place before precipitation.

The Contractor may discontinue earthwork operations for a disturbed area for up to 21 days and the disturbed soil area will still be considered active. When earthwork operations in the disturbed area have been completed, the Contractor shall implement appropriate water pollution control practices within 15 days, or before predicted precipitation, whichever occurs first.

### **Rainy Season**

The Contractor shall provide soil stabilization and sediment control practices during the rainy season between October 1 and May 1.

The Contractor shall implement soil stabilization and sediment control practices a minimum of 10 days before the start of the rainy season.

During the defined rainy season, the active disturbed soil area of the project site shall be not more than 1.7 hectares. The Engineer may approve expansions of the active disturbed soil area limit if requested in writing. The Contractor shall maintain soil stabilization and sediment control materials on site to protect disturbed soil areas.

## **INSPECTION AND MAINTENANCE**

The WPCM shall inspect the water pollution control practices identified in the SWPPP as follows:

- A. Before a forecasted storm,
- B. After precipitation that causes site runoff,
- C. At 24-hour intervals during extended precipitation,
- D. On a predetermined schedule, a minimum of once every 2 weeks outside of the defined rainy season, and
- E. On a predetermined schedule, a minimum of once a week during the defined rainy season.

The WPCM shall oversee the maintenance of the water pollution control practices.

The WPCM shall use the Storm Water Quality Construction Site Inspection Checklist provided in the Preparation Manual or an alternative inspection checklist provided by the Engineer. A copy of the completed site inspection checklist shall be submitted to the Engineer within 24 hours of finishing the inspection.

The Contractor may request approval from the Engineer to suspend inspections of water pollution control practices after work except plant establishment is complete. The Engineer's approval is contingent on approval from the Regional Water Quality Control Board. The Contractor shall not suspend inspections until written approval from the Engineer is received.

## **REPORTING REQUIREMENTS**

If the Contractor identifies discharges into surface waters or drainage systems causing or potentially causing pollution, or if the project receives a written notice or order from a regulatory agency, the Contractor shall immediately inform the Engineer. The Contractor shall submit a written report to the Engineer within 3 days of the discharge, notice or order. The report shall include the following information:

- A. The date, time, location, and nature of the operation, type of discharge and quantity, and the cause of the notice or order.
- B. The water pollution control practices used before the discharge, or before receiving the notice or order.

- C. The date of placement and type of additional or altered water pollution control practices placed after the discharge, or after receiving the notice or order.
- D. A maintenance schedule for affected water pollution control practices.

### **Annual Certifications**

By June 15 of each year, the Contractor shall complete and submit to the Engineer an Annual Certification of Compliance, as contained in the Preparation Manual.

### **PAYMENT**

During each estimate period the Contractor fails to conform to the provisions in this section, "Water Pollution Control," or fails to implement the water pollution control practices shown on the plans or specified elsewhere in these special provisions as items of work, the Department will withhold 25 percent of the progress payment.

Withholds for failure to perform water pollution control work will be in addition to all other withholds provided for in the contract. The Department will return performance-failure withholds in the progress payment following the correction of noncompliance.

The contract lump sum price paid for prepare storm water pollution prevention plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing, obtaining approval of, and amending the SWPPP and inspecting water pollution control practices as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for prepare storm water pollution prevention plan will be made as follows:

- A. After the SWPPP has been approved by the Engineer, 50 percent of the contract item price for prepare storm water pollution prevention plan will be included in the monthly progress estimate.
- B. Forty percent of the contract item price for prepare storm water pollution prevention plan will be paid over the life of the contract.
- C. After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, payment for the remaining 10 percent of the contract item price for prepare storm water pollution prevention plan will be made in conformance with the provisions in Section 9-1.08B, "Payment Before Final Estimate."

Storm water sampling and analysis will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. No payment will be made for the preparation, collection, analysis, and reporting of storm water samples where appropriate water pollution control practices are not implemented before precipitation or if a failure of a water pollution control practice is not corrected before precipitation.

Implementation of water pollution control practices in areas outside the highway right of way not specifically provided for in the SWPPP or in these special provisions will not be paid for.

Water pollution control practices for which there are separate contract items of work will be measured and paid for as those contract items of work.

### **10-1.03 CONSTRUCTION SITE MANAGEMENT**

Construction site management shall consist of controlling potential sources of water pollution before they come in contact with storm water systems or watercourses. The Contractor shall control material pollution and manage waste and non-storm water existing at the construction site by implementing effective handling, storage, use, and disposal practices.

Attention is directed to "Water Pollution Control" of these special provisions regarding the Contractor's appointment of a water pollution control manager (WPCM) for the project.

The Contractor shall train all employees and subcontractors regarding:

- A. Material pollution prevention and control;
- B. Waste management;
- C. Non-storm water management;
- D. Identifying and handling hazardous substances; and
- E. Potential dangers to humans and the environment from spills and leaks or exposure to toxic or hazardous substances.

Training shall take place before starting work on this project. New employees shall receive the complete training before starting work on this project. The Contractor shall have regular meetings to discuss and reinforce spill prevention and control; material delivery, storage, use, and disposal; waste management; and non-storm water management procedures.

Instructions for material and waste handling, storage, and spill reporting and cleanup shall be posted at all times in an open, conspicuous, and accessible location at the construction site.

Nonhazardous construction site waste and excess material shall be recycled when practical or disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, unless otherwise specified.

Vehicles and equipment at the construction site shall be inspected by the WPCM on a frequent, predetermined schedule, and by the operator each day of use. Leaks shall be repaired immediately, or the vehicle or equipment shall be removed from the construction site.

### **SPILL PREVENTION AND CONTROL**

The Contractor shall implement spill and leak prevention procedures when chemicals or hazardous substances are stored. Spills of petroleum products; substances listed under CFR Title 40, Parts 110, 117, and 302; and sanitary and septic waste shall be contained and cleaned up as soon as is safe.

Minor spills involve small quantities of oil, gasoline, paint, or other material that can be controlled by the first responder upon discovery of the spill. Cleanup of minor spills includes:

- A. Containing the spread of the spill,
- B. Recovering the spilled material using absorption,
- C. Cleaning the contaminated area, and
- D. Disposing of contaminated material promptly and properly.

Semi-significant spills are those that can be controlled by the first responder with the help of other personnel. Cleanup of semi-significant spills shall be immediate. Cleanup of semi-significant spills includes:

- A. Containing the spread of the spill;
- B. Recovering the spilled material using absorption if the spill occurs on paved or an impermeable surface;
- C. Containing the spill with an earthen dike and digging up contaminated soil for disposal if the spill occurs on dirt;
- D. Covering the spill with plastic or other material to prevent contaminating runoff if the spill occurs during precipitation; and
- E. Disposing of contaminated material promptly and properly.

Significant or hazardous spills are those that cannot be controlled by construction personnel. Notifications of these spills shall be immediate. The following steps shall be taken:

- A. Construction personnel shall not attempt to cleanup the spill until qualified staff have arrived;
- B. Notify the Engineer and follow up with a written report;
- C. Obtain the services of a spills contractor or hazardous material team immediately;
- D. Notify the local emergency response team by dialing 911 and county officials at the emergency phone numbers kept on the construction site;
- E. Notify the Governor's Office of Emergency Services Warning Center at (805) 852-7550;
- F. Notify the National Response Center at (800) 424-8802 regarding spills of Federal reportable quantities in conformance with CFR Title 40, Parts 110, 119, and 302;
- G. Notify other agencies as appropriate, including:
  - 1. Fire Department,
  - 2. Public Works Department,
  - 3. Coast Guard,
  - 4. Highway Patrol,
  - 5. City Police or County Sheriff Department,
  - 6. Department of Toxic Substances,
  - 7. California Division of Oil and Gas,
  - 8. Cal OSHA, or
  - 9. Regional Water Resources Control Board.

The WPCM shall oversee and enforce proper spill prevention and control measures. Minor, semi-significant, and significant spills shall be reported to the Contractor's WPCM who shall notify the Engineer immediately.

The Contractor shall prevent spills from entering storm water runoff before and during cleanup. Spills shall not be buried or washed with water.

The Contractor shall keep material or waste storage areas clean, well organized, and equipped with enough cleanup supplies for the material being stored. Plastic shall be placed under paving equipment when not in use to catch drips.

### **MATERIAL MANAGEMENT**

Material shall be delivered, used, and stored for this contract in a manner that minimizes or eliminates discharge of material into the air, storm drain systems, or watercourses.

The Contractor shall implement the practices described in this section when taking delivery of, using, or storing the following materials:

A. Hazardous chemicals including:

1. Acids,
2. Lime,
3. Glues,
4. Adhesives,
5. Paints,
6. Solvents, and
7. Curing compounds;

B. Soil stabilizers and binders;

C. Fertilizers;

D. Detergents;

E. Plaster;

F. Petroleum products including:

1. Fuel,
2. Oil, and
3. Grease;

G. Asphalt components and concrete components; and

H. Pesticides and herbicides.

The Contractor shall supply the Material Safety Data Sheet to the Engineer for material used or stored. The Contractor shall keep an accurate inventory of material delivered and stored at the construction site.

Employees trained in emergency spill cleanup procedures shall be present when hazardous materials or chemicals are unloaded.

The Contractor shall use recycled or less hazardous products when practical.

### **Material Storage**

The Contractor shall store liquids, petroleum products, and substances listed in CFR Title 40, Parts 110, 117, and 302 in containers or drums approved by the United States Environmental Protection Agency, and place them in secondary containment facilities.

Secondary containment facilities shall be impervious to the materials stored there for a minimum contact time of 72 hours.

Throughout the rainy season secondary containment facilities shall be covered during non-working days and when precipitation is predicted. Secondary containment facilities shall be adequately ventilated.

The Contractor shall keep the secondary containment facility free of accumulated rainwater or spills. After precipitation, or in the event of spills or leaks, accumulated liquid shall be collected and placed into drums within 24 hours. These liquids shall be handled as hazardous waste in accordance with the provisions in "Hazardous Waste" of these special provisions, unless testing determines them to be nonhazardous.

Incompatible materials, such as chlorine and ammonia, shall not be stored in the same secondary containment facility.

Materials shall be stored in the original containers with the original product labels maintained in legible condition. Damaged or illegible labels shall be replaced immediately.

The secondary containment facility shall have the capacity to contain precipitation from a 24-hour-long, 25-year storm; and 10 percent of the aggregate volume of all containers, or all of the volume of the largest container within the facility, whichever is greater.

The Contractor shall store bagged or boxed material on pallets. Throughout the rainy season, bagged or boxed material shall be protected from wind and rain during non-working days and when precipitation is predicted.

The Contractor shall provide sufficient separation between stored containers to allow for spill cleanup or emergency response access. Storage areas shall be kept clean, well organized, and equipped with cleanup supplies appropriate for the materials being stored.

The Contractor shall repair or replace perimeter controls, containment structures, covers, and liners as needed. Storage areas shall be inspected before and after precipitation, and at least weekly during other times.

### **Stockpile Management**

The Contractor shall reduce or eliminate potential air and water pollution from stockpiled material including soil, paving material, or pressure treated wood. Stockpiles shall be located out of floodplains when possible, and at least 15 m from concentrated flows of storm water, drainage courses, or inlets unless written approval is obtained from the Engineer.

The Contractor may discontinue adding or removing material for up to 21 days and a stockpile will still be considered active.

The Contractor shall protect active stockpiles with plastic or geotextile cover, soil stabilization measures, or with linear sediment barrier when precipitation is predicted. Active stockpiles of cold mix asphalt concrete shall be placed on an impervious surface and covered with plastic when precipitation is predicted.

The Contractor shall protect inactive soil stockpiles with a plastic or geotextile cover, or with soil stabilization measures at all times during the rainy season. A linear sediment barrier around the perimeter of the stockpile shall also be used. During the non-rainy season soil stockpiles shall be covered and protected with a linear sediment barrier when precipitation is predicted. The Contractor shall control wind erosion during dry weather as provided in Section 10, "Dust Control," of the Standard Specifications.

Stockpiles of portland cement concrete rubble, asphalt concrete (AC), hot mix asphalt (HMA), AC and HMA rubble, aggregate base, or aggregate subbase shall be covered with plastic or geotextile, or protected with a linear sediment barrier at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of cold mix asphalt concrete shall be placed on and covered with impermeable material at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of pressure treated wood shall be covered with impermeable material and placed on pallets at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

The Contractor shall repair or replace linear sediment barriers and covers as needed or as directed by the Engineer to keep them functioning properly. Sediment shall be removed when it accumulates to 1/3 of the linear sediment barrier height.

## **WASTE MANAGEMENT**

### **Solid Waste**

The Contractor shall not allow litter or debris to accumulate anywhere on the construction site, including storm drain grates, trash racks, and ditch lines. The Contractor shall pick up and remove trash and debris from the construction site at least once a week. The WPCM shall monitor solid waste storage and disposal procedures on the construction site. The Contractor shall provide enough dumpsters of sufficient size to contain the solid waste generated by the project. Dumpsters shall be emptied when refuse reaches the fill line. Dumpsters shall be watertight. The Contractor shall not wash out dumpsters on the construction site. The Contractor shall provide additional containers and more frequent pickup during the demolition phase of construction

Solid waste includes:

- A. Brick,
- B. Mortar,
- C. Timber,
- D. Metal scraps,
- E. Sawdust,
- F. Pipe,
  
- G. Electrical cuttings,
- H. Non-hazardous equipment parts,
- I. Styrofoam and other packaging materials,
- J. Vegetative material and plant containers from highway planting, and
- K. Litter and smoking material, including litter generated randomly by the public.

Trash receptacles shall be provided and used in the Contractor's yard, field trailers, and locations where workers gather for lunch and breaks.

## **Hazardous Waste**

The Contractor shall implement hazardous waste management practices when waste is generated on the construction site from the following substances:

- A. Petroleum products,
- B. Asphalt products,
- C. Concrete curing compound,
- D. Pesticides,
- E. Acids,
- F. Paints,
- G. Stains,
- H. Solvents,
- I. Wood preservatives,
- J. Roofing tar, and
- K. Materials classified as hazardous by California Code of Regulations, Title 22, Division 4.5; or listed in CFR Title 40, Parts 110, 117, 261, or 302.

Nothing in these special provisions shall relieve the Contractor of the responsibility for compliance with Federal, State, and local laws regarding storage, handling, transportation, and disposal of hazardous wastes.

The WPCM shall oversee and enforce hazardous waste management practices. Production of hazardous materials and hazardous waste on the construction site shall be kept to a minimum. Perimeter controls, containment structures, covers, and liners shall be repaired or replaced when damaged.

The Contractor shall have a laboratory certified by the Department of Health Services (DHS) sample and test waste when hazardous material levels are unknown to determine safe methods for storage and disposal.

The Contractor shall segregate potentially hazardous waste from nonhazardous waste at the construction site. Hazardous waste shall be handled, stored, and disposed of as required in California Code of Regulations, Title 22, Division 4.5, Section 66262.34; and in CFR Title 49, Parts 261, 262, and 263.

The Contractor shall store hazardous waste in sealed containers constructed and labeled with the contents and date accumulated as required in California Code of Regulations, Title 22, Division 4.5; and in CFR Title 49, Parts 172, 173, 178, and 179. Hazardous waste containers shall be kept in temporary containment facilities conforming to the provisions in "Material Storage" of these special provisions.

There shall be adequate storage volume and containers shall be conveniently located for hazardous waste collection. Containers of hazardous waste shall not be overfilled and hazardous wastes shall not be mixed. Containers of dry waste that are not watertight shall be stored on pallets. The Contractor shall not allow potentially hazardous waste to accumulate on the ground. Hazardous waste shall be stored away from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall clean water based or oil based paint from brushes or equipment within a contained area and shall not contaminate soil, watercourses, or storm drain systems. Paints, thinners, solvents, residues, and sludges that cannot be recycled or reused shall be disposed of as hazardous waste. When thoroughly dry, latex paint and paint cans, used brushes, rags, absorbent materials, and drop cloths shall be disposed of as solid waste.

The Contractor shall dispose of hazardous waste within 90 days of being generated. Hazardous waste shall be disposed of by a licensed hazardous waste transporter using uniform hazardous waste manifest forms and taken to a Class I Disposal Site. A copy of the manifest shall be provided to the Engineer.

## **Contaminated Soil**

The Contractor shall identify contaminated soil from spills or leaks by noticing discoloration, odors, or differences in soil properties. Soil with evidence of contamination shall be sampled and tested by a laboratory certified by DHS. If levels of contamination are found to be hazardous, the soil shall be handled and disposed of as hazardous waste.

The Contractor shall prevent the flow of water, including ground water, from mixing with contaminated soil by using one or a combination of the following measures:

- A. Berms,
- B. Cofferdams,
- C. Grout curtains,
- D. Freeze walls, or
- E. Concrete seal course.

If water mixes with contaminated soil and becomes contaminated, the water shall be sampled and tested by a laboratory certified by the DHS. If levels of contamination are found to be hazardous, the water shall be handled and disposed of as hazardous waste.

### **Concrete Waste**

The Contractor shall implement practices to prevent the discharge of portland cement concrete, AC, or HMA waste into storm drain systems or watercourses.

Portland cement concrete, AC, or HMA waste shall be collected at the following locations and disposed of:

- A. Where concrete material, including grout, is used;
- B. Where concrete dust and debris result from demolition;
- C. Where sawcutting, coring, grinding, grooving, or hydro-concrete demolition of portland cement concrete, AC, or HMA creates a residue or slurry; or
- D. Where concrete trucks or other concrete-coated equipment is cleaned at the construction site.

### **Sanitary and Septic Waste**

Wastewater from sanitary or septic systems shall not be discharged or buried within the Department right of way. The WPCM shall inspect sanitary or septic waste storage and monitor disposal procedures at least weekly. Sanitary facilities that discharge to the sanitary sewer system shall be properly connected and free from leaks.

The Contractor shall obtain written approval from the local health agency, city, county, and sewer district before discharging from a sanitary or septic system directly into a sanitary sewer system, and provide a copy to the Engineer. The Contractor shall comply with local health agency requirements when using an on-site disposal system.

### **Liquid Waste**

The Contractor shall not allow construction site liquid waste, including the following, to enter storm drain systems or watercourses:

- A. Drilling slurries or fluids,
- B. Grease-free or oil-free wastewater or rinse water,
- C. Dredgings,
- D. Liquid waste running off a surface including wash or rinse water, or
- E. Other non-storm water liquids not covered by separate permits.

The Contractor shall hold liquid waste in structurally sound, leak proof containers such as:

- A. Sediment traps,
- B. Roll-off bins, or
- C. Portable tanks.

Liquid waste containers shall be of sufficient quantity and volume to prevent spills and leaks. The containers shall be stored at least 15 m from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall remove and dispose of deposited solids from sediment traps as provided in "Solid Waste" of these special provisions, unless determined infeasible by the Engineer.

Liquid waste may require testing to determine hazardous material content before disposal.

Drilling fluids and residue shall be disposed of outside the highway right of way. If the Engineer determines that an appropriate location is available, fluids and residue exempt under California Code of Regulations, Title 23, Section 2511(g) may be dried by infiltration and evaporation in a leak proof container. The remaining solid waste may be disposed of as provided in "Solid Waste" of these special provisions.

## **NON-STORM WATER MANAGEMENT**

### **Water Control and Conservation**

The Contractor shall prevent erosion or the discharge of pollutants into storm drain systems or watercourses by managing the water used for construction operations. The Contractor shall obtain the Engineer's approval before washing anything on the construction site with water that could discharge into a storm drain system or watercourse. Discharges shall be reported to the Engineer immediately.

The Contractor shall implement water conservation practices when water is used on the construction site. Irrigation areas shall be inspected and watering schedules shall be adjusted to prevent erosion, excess watering, or runoff. The Contractor shall shut off the water source to broken lines, sprinklers, or valves, and they shall be repaired as soon as possible. When possible, water from waterline flushing shall be reused for landscape irrigation. Paved areas shall be swept and vacuumed, not washed with water.

Construction water runoff, including water from water line repair, shall be directed to areas to infiltrate into the ground and shall not be allowed to enter storm drain systems or watercourses. Spilled water shall not be allowed to escape water truck filling areas. When possible, the Contractor shall direct water from off-site sources around the construction site, or shall minimize contact with the construction site.

### **Illegal Connection and Discharge Detection and Reporting**

The Contractor shall inspect the construction site and the site perimeter before beginning work for evidence of illegal connections, discharges, or dumping. Subsequently, the construction site and perimeter shall be inspected on a frequent, predetermined schedule.

The Contractor shall immediately notify the Engineer when illegal connections, discharges, or dumping are discovered. The Contractor shall take no further action unless directed by the Engineer. Unlabeled or unidentifiable material shall be assumed to be hazardous.

The Contractor shall look for the following evidence of illegal connections, discharges, or dumping:

- A. Debris or trash piles,
- B. Staining or discoloration on pavement or soils,
- C. Pungent odors coming from drainage systems,
- D. Discoloration or oily sheen on water,
- E. Stains or residue in ditches, channels or drain boxes,
- F. Abnormal water flow during dry weather,
- G. Excessive sediment deposits,
- H. Nonstandard drainage junction structures, or
- I. Broken concrete or other disturbances near junction structures.

### **Vehicle and Equipment Cleaning**

The Contractor shall limit vehicle and equipment cleaning or washing on the construction site to that necessary to control vehicle tracking or hazardous waste. Vehicles and equipment shall not be cleaned on the construction site with soap, solvents, or steam until the Engineer has been notified. The resulting waste shall be contained and recycled, or disposed of as provided in "Liquid Waste" or "Hazardous Waste" of these special provisions, whichever is applicable. The Contractor shall not use diesel to clean vehicles or equipment, and shall minimize the use of solvents.

The Contractor shall clean or wash vehicles and equipment in a structure equipped with disposal facilities. If using a structure is not possible, vehicles and equipment shall be cleaned or washed in an outside area with the following characteristics:

- A. Located at least 15 m from storm drainage systems or watercourses,
- B. Paved with AC, HMA or portland cement concrete,
- C. Surrounded by a containment berm, and
- D. Equipped with a sump to collect and dispose of wash water.

When washing vehicles or equipment with water, the Contractor shall use as little water as possible. Hoses shall be equipped with a positive shutoff valve.

Wash racks shall discharge to a recycle system or to another system approved by the Engineer. Sumps shall be inspected regularly, and liquids and sediments shall be removed as needed.

### **Vehicle and Equipment Fueling and Maintenance**

The Contractor shall fuel or perform maintenance on vehicles and equipment off the construction site whenever practical. When fueling or maintenance must be done at the construction site, the Contractor shall designate a site, or sites, and obtain approval from the Engineer before using. The fueling or maintenance site shall be protected from storm water, shall be on level ground, and shall be located at least 15 m from drainage inlets or watercourses. The WPCM shall inspect the fueling or maintenance site regularly. Mobile fueling or maintenance shall be kept to a minimum.

The Contractor shall use containment berms or dikes around the fueling and maintenance area. Adequate amounts of absorbent spill cleanup material and spill kits shall be kept in the fueling and maintenance area and on fueling trucks. Spill cleanup material and kits shall be disposed of immediately after use. Drip pans or absorbent pads shall be used during fueling or maintenance unless performed over an impermeable surface.

Fueling or maintenance operations shall not be left unattended. Fueling nozzles shall be equipped with an automatic shutoff control. Vapor recovery fueling nozzles shall be used where required by the Air Quality Management District. Nozzles shall be secured upright when not in use. Fuel tanks shall not be topped-off.

The Contractor shall recycle or properly dispose of used batteries and tires.

#### **Material and Equipment Used Over Water**

Drip pans and absorbent pads shall be placed under vehicles or equipment used over water, and an adequate supply of spill cleanup material shall be kept with the vehicle or equipment. Drip pans or plastic sheeting shall be placed under vehicles or equipment on docks, barges, or other surfaces over water when the vehicle or equipment will be idle for more than one hour.

The Contractor shall provide watertight curbs or toe boards on barges, platforms, docks, or other surfaces over water to contain material, debris, and tools. Material shall be secured to prevent spills or discharge into water due to wind.

#### **Structure Removal Over or Adjacent to Water**

The Contractor shall not allow demolished material to enter storm water systems or watercourses. The Contractor shall use covers and platforms approved by the Engineer to collect debris. Attachments shall be used on equipment to catch debris on small demolition operations. Debris catching devices shall be emptied regularly and debris shall be handled as provided in "Waste Management" of these special provisions.

The WPCM shall inspect demolition sites within 15 m of storm water systems or watercourses every day.

#### **Paving, Sealing, Sawcutting, and Grinding Operations**

The Contractor shall prevent the following material from entering storm drain systems or water courses:

- A. Cementitious material,
- B. Asphaltic material,
- C. Aggregate or screenings,
- D. Grinding or sawcutting residue,
- E. Pavement chunks, or
- F. Shoulder backing.

The Contractor shall cover drainage inlets and use linear sediment barriers to protect downhill watercourses until paving, sealing, sawcutting, or grinding operations are completed and excess material has been removed. Drainage inlets and manholes shall be covered during the application of seal coat, tack coat, slurry seal, or fog seal.

During the rainy season or when precipitation is predicted, paving, sawcutting, and grinding operations shall be limited to places where runoff can be captured. Seal coat, tack coat, slurry seal, or fog seal operations shall not begin if precipitation is predicted for the application or the curing period. The Contractor shall not excavate material from existing roadways during precipitation.

The Contractor shall vacuum up slurry from sawcutting operations immediately after the slurry is produced. Slurry shall not be allowed to run onto lanes open to public traffic or off the pavement.

The Contractor shall collect residue from portland cement concrete grinding operations with a vacuum attachment on the grinding machine. The residue shall not be left on the pavement or allowed to flow across the pavement.

Material excavated from existing roadways may be stockpiled as provided in "Stockpile Management" of these special provisions if approved by the Engineer. AC or HMA chunks used in embankment shall be placed above the water table and covered by at least 0.3-m of material.

Substances used to coat asphalt trucks and equipment shall not contain soap, foaming agents, or toxic chemicals.

#### **Thermoplastic Striping and Pavement Markers**

Thermoplastic striping and preheating equipment shutoff valves shall work properly at all times when on the construction site. The Contractor shall not preheat, transfer, or load thermoplastic within 15 m of drainage inlets or watercourses. The Contractor shall not fill the preheating container to more than 150 mm from the top. Truck beds shall be cleaned daily of scraps or melted thermoplastic.

The Contractor shall not unload, transfer, or load bituminous material for pavement markers within 15 m of drainage inlets or watercourses. All pressure shall be released from melting tanks before removing the lid to fill or service. Melting tanks shall not be filled to more than 150 mm from the top.

The Contractor shall collect bituminous material from the roadway after marker removal.

### **Pile Driving**

The Contractor shall keep spill kits and cleanup material at pile driving locations. Pile driving equipment shall be parked over drip pans, absorbent pads, or plastic sheeting where possible. When not in use, pile driving equipment shall be stored at least 15 m from concentrated flows of storm water, drainage courses, or inlets. The Contractor shall protect pile driving equipment by parking it on plywood and covering it with plastic when precipitation is predicted. The WPCM shall inspect the pile driving area every day for leaks and spills.

The Contractor shall use vegetable oil instead of hydraulic fluid when practical.

### **Concrete Curing**

The Contractor shall not overspray chemical curing compound. Drift shall be minimized by spraying as close to the concrete as possible. Drainage inlets shall be covered before applying curing compound.

The Contractor shall minimize the use and discharge of water by using wet blankets or similar methods to maintain moisture when curing concrete.

### **Concrete Finishing**

The Contractor shall collect and dispose of water and solid waste from high-pressure water blasting. Drainage inlets within 15 m shall be covered before sandblasting. The nozzle shall be kept as close to the surface of the concrete as possible to minimize drift of dust and blast material. Blast residue may contain hazardous material.

Containment structures for concrete finishing operations shall be inspected for damage before each day of use and before predicted precipitation. Liquid and solid waste shall be removed from the containment structure after each work shift.

## **PAYMENT**

The contract lump sum price paid for construction site management shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in spill prevention and control, material management, waste management, non-storm water management, and dewatering and identifying, sampling, testing, handling, and disposing of hazardous waste, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.04 STREET SWEEPING**

Street sweeping shall be conducted where sediment is tracked from the job site onto paved roads, as described in the approved Storm Water Pollution Prevention Plan (SWPPP) in accordance with "Water Pollution Control" of these special provisions, and as directed by the Engineer.

Street sweeping shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of street sweeping. Street sweeping shall be performed in accordance with Section 4, SC-7 in the Construction Site Best Management Practices Manual of the Caltrans Storm Water Quality Handbooks.

The number of street sweepers shall be as designated in the approved SWPPP. The Contractor shall maintain at least one sweeper on the job site at all times during the period that sweeping work is required. Sweepers shall be self-loading, motorized, and shall have spray nozzles. Sweepers may include a vacuum apparatus.

Street sweeping shall start at the beginning of clearing and grubbing and shall continue until completion of the project, or as directed by the Engineer. Street sweeping shall be performed immediately after soil disturbing activities occur or offsite tracking of material is observed. Street sweeping shall be performed so that dust is minimized. If dust generation is excessive or sediment pickup is ineffective as determined by the Engineer, the use of water or a vacuum will be required.

At the option of the Contractor, collected material may be temporarily stockpiled in accordance with the approved SWPPP. Collected material shall be disposed of at least once per week.

Material collected during street sweeping operations shall be disposed of in conformance with Section 7-1.13, "Disposal of Material Outside The Highway Right Of Way," of the Standard Specifications.

## **MEASUREMENT AND PAYMENT**

The contract lump sum price paid for street sweeping shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in street sweeping, including disposal of collected material, as shown on the plans, as specified in the Standard Specifications, these special provisions, and as directed by the Engineer.

## **10-1.05 TEMPORARY EROSION CONTROL BLANKET**

Temporary erosion control blanket shall be furnished, installed, maintained, and later removed in ditches or swales, on embankment slopes, and excavation slopes at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary erosion control blanket shall be one of the water pollution control practices for soil stabilization. The SWPPP shall include the use of temporary erosion control blanket.

### **MATERIALS**

Materials for the temporary erosion control blanket shall conform to the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions. Temporary erosion control blanket shall be a rolled erosion control product (RECP) and shall be classified either as temporary and degradable or long-term and nondegradable.

#### **Temporary and Degradable**

Temporary and degradable RECP shall be as follows:

1. Machine produced mats consisting of curled wood excelsior with 80 percent of the fiber 150 mm or longer. The excelsior blanket shall be of consistent thickness with wood fiber evenly distributed over the entire area of the blanket. The top surface of the blanket shall be covered with extruded photodegradable plastic netting or lightweight nonsynthetic netting. The blanket shall be smolder resistant without the use of chemical additives, nontoxic, and noninjurious to plant and animal life. Excelsior blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m<sup>2</sup>.
2. Machine produced mats consisting of 70 percent straw and 30 percent coconut fiber with extruded photodegradable plastic netting or lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The straw and coconut shall adhere to the netting using thread or glue strip. The straw and coconut blanket shall be of consistent thickness, with straw and coconut fiber evenly distributed over the entire area of the blanket. Straw and coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m<sup>2</sup>.
3. Machine produced mats consisting of 100 percent coir consisting of coconut fiber with extruded photodegradable plastic netting or lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The coconut fiber shall adhere to the netting using thread or glue strip. The coconut blanket shall be of consistent thickness, with coconut fiber evenly distributed over the entire area of the blanket. Coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m<sup>2</sup>.
4. Machine woven netting consisting of 100 percent spun coir consisting of coconut fiber with an average open area of 63 percent to 70 percent. Coconut coir netting shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m<sup>2</sup>.

#### **Long-Term and Nondegradable Erosion Control Blanket**

The long-term and nondegradable erosion control blanket shall be a geotextile blanket conforming to the provisions in Section 88-1.04, "Rock Slope Protection Fabric," of the Standard Specifications for rock slope protection fabric (Type A).

#### **Staples**

An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

### **INSTALLATION**

Temporary erosion control blanket shall be installed as follows:

1. Temporary erosion control blanket strips shall be placed loosely along the ditch or swale with the longitudinal edges and joints parallel to the centerline of the ditch or swale. Longitudinal joints of blankets shall be overlapped and stapled. The blanket on the upper portion of the slope shall overlap the blanket on the lower portion of the slope. Transverse joints of blankets shall be secured in intermediate joint trenches. Ends of the blankets shall be secured in place in key trenches.

2. Temporary erosion control blanket strips shall be placed loosely on the embankment or excavation slope with the longitudinal joints perpendicular to the slope contour lines. Longitudinal and transverse joints of blankets shall be overlapped and stapled. For transverse joints, the blanket on the upper portion of the slope shall overlap the blanket on the lower portion of the slope. For longitudinal joints, the blanket on the side of the prevailing wind shall overlap the blanket on the downwind side of the slope. Ends of the blankets shall be secured in place in key trenches.
3. Temporary erosion control blanket strips shall be secured in place with wire staples. Staples shall be driven perpendicular to the slopes.

When no longer required, as determined by the Engineer, temporary erosion control blanket fabric shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbances, including holes and depressions caused by the installation and removal of the temporary erosion control blanket shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

#### **MAINTENANCE**

Sediment in excess of 50 mm above the surface of the blanket, or when directed by the Engineer, shall be removed. Removed sediment shall be deposited within the project limits and shall not be subject to erosion by wind or water.

Temporary erosion control blanket shall be repaired or replaced on the same day the damage occurs. When washouts occur between joints or beneath the temporary erosion control blanket, the blanket shall be repaired. Temporary erosion control blanket shall be repaired or replaced when the area treated with temporary erosion control blanket becomes exposed or exhibits visible erosion. Temporary erosion control blanket damaged during the progress of work or resulting from the Contractor's vehicles, equipment, or operations shall be repaired or replaced at the expense of the Contractor.

#### **MEASUREMENT AND PAYMENT**

The quantity of temporary erosion control blanket to be paid for will be measured by the square meter of the actual area covered excluding overlaps.

The contract price paid per square meter for temporary erosion control blanket shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary erosion control blanket, complete in place, including trench excavation and backfill, maintenance, and removal of temporary erosion control blanket, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.06 TEMPORARY CONCRETE WASHOUT FACILITY**

Temporary concrete washout facilities shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary concrete washout facilities shall be one of the water pollution control practices for waste management and materials pollution control. The SWPPP shall include the use of temporary concrete washout facilities.

#### **MATERIALS**

##### **Plastic Liner**

Plastic liners shall be single ply, new polyethylene sheeting, a minimum of 0.25-mm thick and shall be free of holes, punctures, tears or other defects that compromise the impermeability of the material. Plastic liners shall not have seams or overlapping joints.

##### **Gravel-filled Bags**

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

| Specification   | Requirements |
|---|--------------|
| Mass per unit area, grams per square meter, min.<br>ASTM Designation: D 5261  | 270          |
| Grab tensile strength (25-mm grip), kilonewtons, min.<br>ASTM Designation: D4632*   | 0.89         |
| Ultraviolet stability, percent tensile strength retained after 500 hours,<br>ASTM Designation: D4355, xenon arc lamp method | 70           |

\* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials.

The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

### **Straw Bales**

Straw for straw bales shall conform to the provisions in Section 20-2.06, "Straw," of the Standard Specifications.

Straw bales shall be a minimum of 360 mm in width, 450 mm in height, 900 mm in length and shall have a minimum mass of 23 kg. The straw bale shall be composed entirely of vegetative matter, except for binding material.

Straw bales shall be bound by either wire, nylon or polypropylene string. Jute or cotton binding shall not be used. Baling wire shall be a minimum 1.57 mm in diameter. Nylon or polypropylene string shall be approximately 2 mm in diameter with 360 N of breaking strength.

### **Stakes**

Stakes shall be wood or metal. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots or other defects which would render them unfit for the purpose intended. Wood stakes shall be a minimum 50 mm x 50 mm in size. Metal stakes may be used as an alternative, and shall be a minimum 13 mm in diameter. Stakes shall be a minimum 1.2 m in length. The tops of the metal stakes shall be bent at a 90-degree angle or capped with an orange or red plastic safety cap that fits snugly to the metal stake. The Contractor shall submit a sample of the metal stake and plastic cap, if used, for the Engineer's approval before installation.

### **Staples**

Staples shall be as shown on the plans. An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

### **Signs**

Wood posts for signs shall conform to the provisions in Section 56-2.02B, "Wood Posts," of the Standard Specifications. Lag screws shall conform to the provisions in Section 56-2.02D, "Sign Panel Fastening Hardware," of the Standard Specifications.

Plywood shall be freshly painted for each installation with not less than 2 applications of flat white paint. Sign letters shown on the plans shall be stenciled with commercial quality exterior black paint. Testing of paint will not be required.

## **INSTALLATION**

Temporary concrete washout facilities shall be as follows:

1. Temporary concrete washout facilities shall be installed before beginning placement of concrete and located a minimum of 15 m from storm drain inlets, open drainage facilities, and water courses unless determined infeasible by the Engineer. Temporary concrete washout facilities shall be located away from construction traffic or access areas at a location determined by the Contractor and approved by the Engineer.
2. A sign shall be installed adjacent to each washout facility at a location determined by the Contractor and approved by the Engineer. Signs shall be installed in conformance with the provisions in Section 56-2.03, "Construction," and Section 56-2.04, "Sign Panel Installation," of the Standard Specifications.

3. The length and width of a temporary concrete washout facility may be increased from the minimum dimensions shown on the plans upon approval of the Engineer.
4. Temporary concrete washout facilities shall be constructed in sufficient quantity and size to contain liquid and concrete waste generated by washout operations for concrete wastes. These facilities shall be constructed to contain liquid and concrete waste without seepage, spills, or overflow.
5. Berms for below grade temporary concrete washout facilities shall be constructed from compacted native material. Gravel may be used in conjunction with compacted native material.
6. A plastic liner shall be installed in below grade temporary concrete washout facilities.

Details for an alternative temporary concrete washout facility shall be submitted to the Engineer for approval at least 7 days before installation.

When temporary concrete washout facilities are no longer required for the work, as determined by the Engineer, the hardened concrete and liquid residue shall be removed and disposed of in conformance with the provisions in Section 15-3.02, "Removal Methods," of the Standard Specifications. Temporary concrete washout facilities shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary concrete washout facilities shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

### **MAINTENANCE**

Temporary concrete washout facilities shall be maintained to provide adequate holding capacity with a minimum freeboard of 300 mm. Maintaining temporary concrete washout facilities shall include removing and disposing of hardened concrete and returning the facilities to a functional condition. Hardened concrete materials shall be removed and disposed of in conformance with the provisions in Section 15-3.02, "Removal Methods," of the Standard Specifications. Holes, rips, and voids in the plastic liner shall be patched and repaired by taping or the plastic liner shall be replaced. The plastic liner shall be replaced when patches or repairs compromise the impermeability of the material as determined by the Engineer.

Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out.

Temporary concrete washout facilities shall be repaired or replaced on the same day the damage occurs. Damage to temporary concrete washout facilities resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

### **MEASUREMENT AND PAYMENT**

Quantities of temporary concrete washout facilities will be measured as units determined from actual count in place.

The contract unit price paid for temporary concrete washout facility shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing a temporary concrete washout facility, complete in place, including excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.07 TEMPORARY FIBER ROLL**

Temporary fiber roll shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary fiber roll shall be installed on excavation and embankment slopes and other disturbed soil areas, active or nonactive.

Temporary fiber roll shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary fiber roll.

Temporary fiber roll shall be either Type 1 or Type 2.

### **MATERIALS**

#### **Fiber Roll**

Fiber roll shall be either:

1. Constructed with a premanufactured blanket consisting of either wood excelsior, rice or wheat straw, or coconut fibers or a combination of these materials. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a photodegradable plastic netting or biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, a minimum of 6 m in length, and shall weigh a minimum 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
2. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll. Rolls shall be between 200 mm and 300 mm in diameter. Rolls between 200 mm and 250 mm in diameter shall have a minimum weight of 1.6 kg/m and a minimum length of 6 m. Rolls between 250 mm and 300 mm in diameter shall have a minimum weight of 4.5 kg/m and a minimum length of 3 m.

### **Stakes**

Wood stakes shall be a minimum of 19 mm x 19 mm x 450 mm in size for Type 1 installation, or a minimum of 19 mm x 38 mm x 450 mm in size for Type 2 installation. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended. Metal stakes shall not be used.

### **Rope**

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

## **INSTALLATION**

Temporary fiber roll shall be installed as follows:

1. Temporary fiber roll (Type 1): Furrows shall be constructed to a depth between 50 mm and 100 mm, and to a sufficient width to hold the fiber roll. Stakes shall be installed 600 mm apart along the length of the fiber rolls and stopped at 300 mm from each end of the rolls. Stakes shall be driven to a maximum of 50 mm above, or flush with the top of the roll.
2. Temporary fiber roll (Type 2): Rope and notched stakes shall be used to restrain the fiber rolls against the slope. Stakes shall be driven into the slope until the notch is even with the top of the fiber roll. Rope shall be knotted at each stake and laced between stakes. After installation of the rope, stakes shall be driven into the slope such that the rope will hold the fiber roll tightly to the slope. Furrows will not be required.
3. Temporary fiber rolls shall be placed 3 m apart along the slope for slope inclination (vertical:horizontal) of 1:2 and steeper, 4.5 m apart along the slope for slope inclination between 1:2 and 1:4, 6 m apart along the slope for slope inclination between 1:4 and 1:10, and a maximum of 15 m apart along the slope for slope inclination of 1:10 and flatter.
4. The bedding area for the fiber roll shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
5. Temporary fiber rolls shall be installed approximately parallel to the slope contour.
6. Temporary fiber rolls shall be installed before the application of other temporary erosion control or soil stabilization materials in the same area.

When no longer required, as determined by the Engineer, temporary fiber rolls shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Temporary fiber rolls may be abandoned in place when approved in writing by the Engineer.

Ground disturbances including holes and depressions caused by the installation and removal of the temporary fiber roll shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

## MAINTENANCE

Temporary fiber rolls shall be maintained to disperse concentrated water runoff and to reduce runoff velocities. Split, torn, or unraveling rolls shall be repaired or replaced. Broken or split stakes shall be replaced. Sagging or slumping fiber rolls shall be repaired with additional stakes or replaced. Locations where rills and other evidence of concentrated runoff have occurred beneath the rolls shall be corrected. Temporary fiber rolls shall be repaired or replaced within 24 hours of identifying the deficiency.

## MEASUREMENT AND PAYMENT

Quantities of temporary fiber rolls to be paid for will be determined by the meter measured along the centerline of the installed roll. Where temporary fiber rolls are joined and overlapped, the overlap will be measured as a single installed roll.

The contract price paid per meter for temporary fiber roll shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary fiber rolls, complete in place, including furrow excavation and backfill, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Damage to temporary fiber rolls resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

The cost of maintaining temporary fiber rolls will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost of maintaining temporary fiber rolls in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying half of that cost to the Contractor.

Cleanup, repair, removal, disposal, or replacement due to improper installation or the Contractor's negligence will not be considered as included in the cost for performing maintenance.

### 10-1.08 TEMPORARY GRAVEL BAG BERM

Temporary gravel bag berms shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary gravel bag berms shall be one of the water pollution control practices for sediment control. The Storm Water Pollution Prevention Plan shall include the use of temporary gravel bag berms.

## MATERIALS

### Gravel-filled Bags

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

| Specification   | Requirements |
|---|--------------|
| Mass per unit area, grams per square meter, min.<br>ASTM Designation: D 5261  | 270          |
| Grab tensile strength (25-mm grip), kilonewtons, min.<br>ASTM Designation: D4632*   | 0.89         |
| Ultraviolet stability, percent tensile strength retained after 500 hours,<br>ASTM Designation: D4355, xenon arc lamp method | 70           |

\* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

## INSTALLATION

Temporary gravel bag berms shall be installed as follows:

- A. A single layer of gravel bags shall be placed with ends abutted tightly and not overlapped.
- B. The bedding area for the temporary gravel bag berm shall be cleared of obstructions, including rocks, clods, and debris greater than 25 mm in diameter, prior to installation.

- C. Temporary gravel bag berms shall be installed approximately parallel to the slope contour.
- D. The last 2 m of the temporary gravel bag berm shall be angled up-slope.

When no longer required, as determined by the Engineer, temporary gravel bag berm shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary gravel bag berm shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

### **MAINTENANCE**

Temporary gravel bag berms shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the gravel bag berm above the ground. When sediment exceeds this height, or when directed by the Engineer, sediment shall be removed. Removed sediment shall be deposited within the project limits in such a way that the sediment is not subject to erosion by wind or by water.

Temporary gravel bag berms shall be repaired or replaced on the same day the damage occurs. Damage to the temporary gravel bag berm resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out.

### **MEASUREMENT AND PAYMENT**

Quantities of temporary gravel bag berm to be paid for will be determined by the meter, measured along the centerline of the installed temporary gravel bag berm.

The contract price paid per meter for temporary gravel bag berm shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary gravel bag berm, complete in place, including backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.09 TEMPORARY CONSTRUCTION ENTRANCE**

Temporary construction entrances shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary construction entrances shall be one of the water pollution control practices for tracking control. The SWPPP shall include the use of temporary construction entrances.

Temporary construction entrances shall be either Type 1 or Type 2.

### **MATERIALS**

#### **Temporary Entrance Fabric**

Temporary entrance fabric shall be manufactured from polyester, nylon, or polypropylene material, or any combination thereof. Temporary entrance fabric shall be a nonwoven, needle-punched fabric, free of needles which may have broken off during the manufacturing process. Temporary entrance fabric shall be permeable and shall not act as a wicking agent.

Temporary entrance fabric shall be manufactured from virgin, recycled, or a combination of virgin and recycled polymer materials. No virgin or recycled materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Temporary entrance fabric shall conform to the following requirements:

| Specification   | Requirements |
|---|--------------|
| Mass per unit area, grams per square meter, min.<br>ASTM Designation: D 5261      | 235          |
| Grab tensile strength (25-mm grip), kilonewtons, min.<br>ASTM Designation: D4632* | 0.89         |
| Elongation at break, percent min.<br>ASTM Designation: D4632*                     | 50           |
| Toughness, kilonewtons, min.<br>(percent elongation x grab tensile strength)      | 53           |

\* or appropriate test method for specific polymer

### Rocks

Rocks shall conform to the material quality requirements in Section 72-2.02, "Materials," of the Standard Specifications for shape and for apparent specific gravity, absorption, and durability index. Rocks used for the temporary entrance shall conform to the following sizes:

| Square Screen Size<br>(mm) | Percentage Passing | Percentage Retained |
|----------------------------|--------------------|---------------------|
| 150                        | 100                | 0                   |
| 75                         | 0                  | 100                 |

### Corrugated Steel Panels

Corrugated steel panels shall be prefabricated and shall be pressed or shop welded, with a slot or hooked section to facilitate coupling at the ends of the panels.

### INSTALLATION

Temporary construction entrances shall be installed as follows:

1. Before placing the temporary entrance fabric, the areas shall be cleared of all trash and debris. Vegetation shall be removed to the ground level. Trash, debris, and removed vegetation shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.
2. A sump shall be constructed within 6 m of each temporary construction entrance as shown on the plans.
3. Before placing the temporary entrance fabric, the ground shall be graded to a uniform plane. The relative compaction of the top 0.5-m shall be not less than 90 percent. The ground surface shall be free of sharp objects that may damage the temporary entrance fabric, and shall be graded to drain to the sump as shown on the plans.
4. Temporary entrance fabric shall be positioned longitudinally along the alignment of the entrance, as directed by the Engineer.
5. The adjacent ends of the fabric shall be overlapped a minimum length of 300 mm.
6. Rocks to be placed directly over the fabric shall be spread in the direction of traffic, longitudinally and along the alignment of the temporary construction entrance.
7. During spreading of the rocks, vehicles or equipment shall not be driven directly on the fabric. A layer of rocks a minimum 150 mm thick shall be placed between the fabric and the spreading equipment to prevent damage to the fabric.
8. For Type 2 temporary construction entrances, a minimum of 6 coupled panel sections shall be installed for each temporary construction entrance. Before installing the panels, the ground surface shall be cleared of all debris to ensure uniform contact with the ground surface.

Fabric damaged during rock placement shall be repaired by placing a new piece of fabric over the damaged area. The piece of fabric shall be large enough to cover the damaged area and provide a minimum 450-mm overlap on all edges.

Details for a proposed alternative temporary construction entrance or alternative sump shall be submitted to the Engineer for approval at least 7 days before installation. The Contractor may eliminate the sump if approved in writing by the Engineer.

When no longer required as determined by the Engineer, temporary construction entrances shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary construction entrance, including the sumps, shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

While the temporary construction entrance is in use, pavement shall be cleaned and sediment removed at least once a day, and as often as necessary when directed by the Engineer. Soil and sediment or other extraneous material tracked onto existing pavement shall not be allowed to enter drainage facilities.

## **MAINTENANCE**

The Contractor shall maintain temporary construction entrances throughout the contract or until removed. The Contractor shall prevent displacement or migration of the rock surfacing or corrugated steel panels. Significant depressions resulting from settlement or heavy equipment shall be repaired by the Contractor, as directed by the Engineer.

Temporary construction entrances shall be maintained to minimize tracking of soil and sediment onto existing public roads.

If buildup of soil and sediment deter the function of the temporary construction entrance, the Contractor shall immediately remove and dispose of the soil and sediment, and install additional corrugated steel panels and spread additional rocks to increase the capacity of the temporary construction entrance.

Temporary construction entrances shall be repaired or replaced on the same day the damage occurs. Damage to the temporary construction entrance resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

## **MEASUREMENT AND PAYMENT**

Quantities of temporary construction entrances will be determined from actual count in place.

The contract unit price paid for temporary construction entrance shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing temporary construction entrance, complete in place, including excavation and backfill, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The cost of maintaining the temporary construction entrance will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost of maintaining temporary construction entrance in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying to the Contractor one-half of that cost.

Cleanup, repair, removal, disposal, or replacement due to improper installation or the Contractor's negligence will not be considered as included in the cost for performing maintenance.

### **10-1.10 TEMPORARY DRAINAGE INLET PROTECTION**

Temporary drainage inlet protection shall be constructed, maintained, and removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in accordance with "Water Pollution Control" of these special provisions, and in accordance with the details shown on the plans and these special provisions.

Temporary drainage inlet protection shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary drainage inlet protection.

The Contractor shall select the appropriate drainage inlet protection in accordance with the details to meet the conditions around the drainage inlet. Throughout the duration of the contract, the Contractor shall provide protection to meet the changing conditions around the drainage inlet.

Temporary drainage inlet protection shall be Type 3A.

## **MATERIALS**

### **Gravel-filled Bags**

Gravel-filled bag fabric shall be nonwoven polypropylene geotextile or polymer material and shall conform to the following requirements:

| Specification   | Requirements |
|---|--------------|
| Mass per unit area, grams per square meter, minimum.<br>ASTM Designation: D 5261  | 270          |
| Grab tensile strength (25-mm grip), kilonewtons, minimum.<br>ASTM Designation: D4632*                                       | 0.89         |
| Ultraviolet stability, percent tensile strength retained after 500 hours,<br>ASTM Designation: D4355, xenon arc lamp method | 70           |

\* or appropriate test method for specific polymer

Gravel-filled bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

### **INSTALLATION**

Temporary drainage inlet protection shall be installed at drainage inlets in paved and unpaved areas as follows:

- A. Temporary drainage inlet protection shall be installed such that ponded runoff does not encroach on the traveled way or overtop the curb or dike. Gravel-filled bags shall be placed to control ponding and prevent runoff from overtopping the curb or dike.
- B. The bedding area for the temporary drainage inlet protection shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
- C. A temporary linear sediment barrier shall be installed up-slope of the existing drainage inlet and parallel with the curb, dike, or flow line to prevent sediment from entering the drainage inlet.

### **Gravel-filled Bags**

Gravel-filled bags shall be stacked to form a gravel bag barrier. The gravel-filled bags shall be placed so that the bags are tightly abutted and overlap the joints in adjacent rows. A spillway shall be created by removing one or more gravel-filled bags from the upper layer of the gravel bag barrier.

Gravel-filled bags shall only be used within shoulder areas when placed behind temporary railing (Type K).

### **MAINTENANCE**

Temporary drainage inlet protection shall be maintained to provide sediment holding capacity and to reduce runoff velocities. Temporary drainage inlet protection shall be repaired or replaced immediately after the damage occurs.

Sediment deposits, trash, and debris shall be removed from temporary drainage inlet protection as needed or when directed by the Engineer. Removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water. Trash and debris shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

At locations where rills and other evidence of concentrated runoff have occurred beneath the drainage inlet protection, the protection shall be adjusted to prevent another occurrence.

Sediment deposits shall be removed when the deposit is 1/3 the height of the gravel bag barrier or one half the height of the spillway; whichever is less.

Gravel-filled bags shall be replaced when the bag material ruptures or when the binding fails.

### **REMOVAL**

When the temporary drainage inlet protection is no longer required the protection materials shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Holes, depressions, or other ground disturbance caused by the removal of the temporary drainage inlet protection shall be backfilled and repaired in accordance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

### **MEASUREMENT**

Quantities of temporary drainage inlet protection will be determined from actual count in place. The protection will be measured one time only and no additional measurement will be recognized.

**PAYMENT**

The contract unit price paid for temporary drainage inlet protection shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the temporary drainage inlet protection, complete in place, including removal of materials, including cleanup and disposal of retained sediment and debris, and backfilling and repairing holes, depressions and other ground disturbance, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No additional compensation will be made if the temporary drainage inlet protection changes during the course of construction.

The cost of maintaining temporary drainage inlet protection will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost of maintaining temporary drainage inlet protection in accordance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying to the Contractor one-half of that cost.

Cleanup, repair, removal, disposal, or replacement due to improper installation, or as a result of the Contractor's negligence will not be considered as included in the cost for performing maintenance.

**10-1.11 TEMPORARY SUPPORTS**

Temporary supports for existing structures during bridge removal, reconstruction, and retrofit work shall be designed, furnished, constructed, monitored, maintained, and removed in conformance with the provisions in these special provisions.

Construction sequence and application of temporary support jacking loads shall be as shown on the plans. Proposed changes to the construction sequence and application of temporary support jacking loads shall be subject to the Engineer's approval.

Temporary supports shall include jacking assemblies and appurtenant items necessary to jack and support the structures.

Attention is directed to the sections "Order of Work" and "Maintaining Traffic" of these special provisions regarding the construction sequences and the required openings in temporary supports for the use of public traffic.

Approval by the Engineer of the temporary support working drawings or temporary support inspection performed by the Engineer will in no way relieve the Contractor of full responsibility for the temporary supports.

**TEMPORARY SUPPORT DESIGN AND DRAWINGS**

The Contractor shall submit to the Engineer working drawings and design calculations for the temporary supports. Such drawings and design calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California. The temporary support working drawings and design calculations shall conform to the requirements in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The number of sets of drawings and design calculations and times for review for temporary supports shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

Approval by the Engineer of the temporary support plans for Centinela Avenue Undercrossing, Bridge No. 53-1253, bents C through P1 will be contingent upon the drawings and design calculations being satisfactory to the Los Angeles County Department of Public Works (LACDPW).

Temporary support loading on top of Centinela Creek Channel wall or in the channel will not be allowed.

In addition to the requirements in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications, the following requirements shall apply:

- A. The time to be provided for the Engineer's review of the working drawings for specific structures, or portions thereof, shall be as follows:

| Structure or<br>Portion of Structure    | Review Time<br>– Weeks |
|---|------------------------|
| Centinela Ave. UC<br>Bridge No. 53-1253 | 7                      |

Working drawings for any part of the temporary supports shall include stress sheets, anchor bolt layouts, shop details, and erection and removal plans.

The temporary support working drawings shall include descriptions and values of all loads, including construction equipment loads, descriptions of equipment to be used, complete details and calculations for jacking and supporting the existing structure, and descriptions of the displacement monitoring system. The displacement monitoring system shall include equipment to be used, location of control points, method and schedule of taking measurements, and shall also include provisions to jack the structure should settlement occur in the temporary supports. The temporary support working drawings shall include the existing Centinela Creek Channel stationing as shown on the plans.

A redundant system of supports shall be provided during the entire jacking operation for backup should any of the jacks fail. The redundant system shall include stacks of steel plates added as necessary to maintain the redundant supports at each jack location within 6 mm of the jacking sill or corbels.

When footing type foundations are to be used, the Contractor shall determine the bearing value of the soil and shall show the values assumed in the design of the temporary supports on the temporary support drawings. Anticipated temporary support foundation settlement shall be shown on the temporary support drawings.

When pile type foundations are to be used, the temporary support drawings shall show the maximum horizontal distance that the top of a temporary support pile may be pulled in order to position it under its cap. The temporary support plans shall also show the maximum allowed deviation of the top of the pile, in its final position, from a vertical line through the point of fixity of the pile.

The Contractor may use the permanent piles as part of the temporary support foundation. Permanent piles shall not be moved or adjusted from the locations shown on the plans. Any use of the permanent piles and the loads imposed on them shall be shown on the temporary support drawings. Should the Contractor propose to provide piles longer than required for the work in order to support the temporary supports above the elevation of the top of the footing and later cut off the piles at their final elevation, shear devices adequate to transfer all pile reactions into the footing will be required.

Temporary support footings shall be designed to carry the load imposed upon them without exceeding the estimated soil bearing values and anticipated settlements.

Bracing shall be provided, as necessary, to withstand all imposed loads during erection and removal of any temporary supports. The temporary support drawings shall show provisions for such temporary bracing or methods to be used to conform to these requirements during each phase of erection and removal. Wind loads shall be included in the design of such bracing or methods. Wind loads shall conform to the applicable provisions in Section 51-1.06A(1), "Design Loads," of the Standard Specifications.

The temporary support design calculations shall show a summary of computed stresses in the (1) temporary supports, (2) connections between temporary supports and the existing structure and (3) existing load supporting members. The computed stresses shall include the effect of the jacking sequence. The temporary support design calculations shall also include a lateral stiffness assessment of the temporary support system and conform to the design values shown on the plans.

The design of temporary supports will not be approved unless it is based on the use of loads and conditions which are no less severe than those described in "Temporary Support Design Criteria," of these special provisions and on the use of allowable stresses which are no greater than those described in Section 51-1.06A(2), "Design Stresses, Loadings, and Deflections," of the Standard Specifications.

If falsework loads are imposed on temporary supports, the temporary supports shall also satisfy the deflection criteria described in Section 51-1.06A(2), "Design Stresses, Loadings, and Deflections," of the Standard Specifications.

#### **TEMPORARY SUPPORT DESIGN CRITERIA**

The temporary supports shall support the initial jacking loads and the minimum temporary support design loads and the minimum lateral design forces shown on the plans. The vertical design loads shall be adjusted for the weight of temporary supports and jacks, construction equipment loads and additional loads imposed by the Contractor's operations. The construction equipment loads shall be the actual weight of the construction equipment but in no case shall be less than  $960 \text{ N/m}^2$  of deck surface area of the frame involved. A frame is defined as the portion of the bridge between expansion joints.

The temporary supports shall resist the specified lateral design forces applied at the point where the column to be removed meets the superstructure. The lateral design forces to be resisted shall be increased to be compatible with the temporary support lateral stiffness if the stiffness exceeds the specified minimum. The temporary supports resisting transverse lateral loads shall be placed within a distance of not more than 0.5 of the span length from the existing bent. The temporary supports resisting longitudinal lateral loads shall be placed within the frame having columns removed.

The existing structure shall be mechanically connected to the temporary supports. The temporary supports shall be mechanically connected to their foundations. The mechanical connections shall be capable of resisting the lateral temporary support design forces. Friction forces developed between the existing structure and temporary supports shall not be used to reduce the lateral forces and shall not be considered as an effective mechanical connection. The mechanical connections shall be designed to tolerate adjustments to the temporary support frame throughout the use of the temporary supports.

If the concrete is to be prestressed, the temporary supports shall be designed to support any increased or readjusted loads caused by the prestressing forces.

### **Manufactured Assemblies**

Manufactured assemblies shall conform to the provisions in Section 51-1.06A(2), "Design Stresses, Loadings, and Deflections," of the Standard Specifications and these special provisions.

Each jack shall be equipped with either a pressure gage or a load cell for determining the jacking force. Pressure gages shall have an accurately reading dial at least 150 mm in diameter. Each jack shall be calibrated by a private laboratory approved by the Transportation Laboratory within 6 months prior to use and after each repair. Each jack and its gage shall be calibrated as a unit with the cylinder extension in the approximate position that it will be at final jacking force and shall be accompanied by a certified calibration chart. Load cells shall be calibrated and provided with an indicator by which the jacking force is determined.

### **SPECIAL LOCATIONS**

Attention is directed to Section 51-1.06A(3), "Special Locations," of the Standard Specifications. All reference to falsework in this section shall also apply to temporary supports.

### **TEMPORARY SUPPORT CONSTRUCTION**

Attention is directed to paragraphs 1 through 7 of Section 51-1.06B, "Falsework Construction," of the Standard Specifications. All reference to falsework in these paragraphs shall also apply to temporary supports.

Welding, welder qualification, and inspection of welding for all steel members shall conform to the requirements of ANSI/AASHTO/AWS D1.1.

Prior to proceeding with bridge removal, an engineer for the Contractor who is registered as a Civil Engineer in the State of California shall inspect the temporary supports, including jacking and displacement monitoring systems, for conformity with the working drawings. The Contractor's registered engineer shall certify in writing that the temporary supports, including jacking and displacement monitoring systems, conform to the working drawings, and that the material and workmanship are satisfactory for the purpose intended. A copy of this certification shall be available at the site of the work at all times.

The Contractor's registered engineer shall be present at the bridge site at all times when jacking operations or adjustments are in progress and when bridge removal operations are in progress. The Contractor's registered engineer shall inspect the jacking and removal operation and report in writing on a daily basis the progress of the operation and the status of the remaining structure. A copy of the daily report shall be available at the site of the work at all times. Should an unplanned event occur, the Contractor's registered engineer shall submit immediately to the Engineer for approval, the procedure or proposed operation to correct or remedy the occurrence.

The Contractor shall perform an initial survey as part of the displacement monitoring system to record the location of the existing structure prior to the commencement of any work. Two copies of the survey shall be signed by an engineer, who is registered as a Civil Engineer in the State of California, and submitted to the Engineer.

Vandal-resistant displacement monitoring equipment shall be provided and maintained. Vertical and horizontal displacements of the temporary supports and the existing structure shall be monitored continuously during jacking operations and shall be accurately measured and recorded at least weekly during removal and reconstruction work. As a minimum, elevations shall be taken prior to the start of jacking operations, immediately after jacking is complete, after bridge removal is complete, before connecting the retrofitted superstructure to the substructure, and after the temporary supports have been removed. As a minimum, the existing structure shall be monitored at the bent and at mid span of both adjoining spans. Control points at each location shall be located near the center and at both edges of the superstructure. The records of vertical and horizontal displacement shall be signed by an engineer who is registered as a Civil Engineer in the State of California and available to the Engineer at the jobsite during normal working hours, and a copy of the record shall be delivered to the Engineer at the completion of reconstructing each bent.

A force equal to the initial jacking load or the dead load shown on the plans shall be applied to the structure by the temporary support system and held until all initial compression and settlement of the system is completed before bridge removal work at the location being supported is begun.

Jacking operations shall be carefully controlled and monitored to ensure that the jacking loads are applied simultaneously to prevent distortion and excessive stresses that would damage the structure. The superstructure shall be jacked as necessary to maintain the total vertical displacements at control points to less than 6 mm from the elevations recorded prior to jacking or as modified by the Engineer.

Should unanticipated displacements, cracking or other damage occur, the construction shall be discontinued until corrective measures satisfactory to the Engineer are performed. Damage to the structure as a result of the Contractor's operations shall be repaired by the Contractor in conformance with the provisions in Section 7-1.11, "Preservation of Property," of the Standard Specifications.

Following completion of the reconstruction, the monitored control points shall not deviate from the vertical position by more than 6 mm from the initial survey elevations or the elevations as modified by the Engineer.

### **REMOVING TEMPORARY SUPPORTS**

Attention is directed to Section 51-1.06C, "Removing Falsework," of the Standard Specifications. All references to falsework in this section shall also apply to temporary supports, except that when public traffic is carried on the structure on temporary supports no temporary supports shall be released until the supported concrete has attained 100 percent of the specified strength.

Attachments shall be removed from the existing structure and concrete surfaces restored to original conditions, except where permanent alterations are shown on the plans.

### **PAYMENT**

The contract lump sum price paid for temporary support shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in designing, constructing, maintaining, and removing the temporary support, including jacking the existing structure and monitoring displacements, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.12 SCAFFOLDING**

Scaffolding shall be defined in accordance with and shall conform to the Construction Safety Orders of the Division of Occupational Safety and Health and these special provisions.

If scaffolding is constructed for this project over or adjacent to traffic, or suspended from the traveled way, the Contractor shall submit to the Engineer working drawings for scaffolding systems in conformance with Section 5-1.02, "Plans and Working Drawings" of the Standard Specifications, and these special provisions.

Scaffolding working drawings shall include the following:

- A. Descriptions, calculations, and values for all loads anticipated during the erection, use, and removal of scaffolding.
- B. Methods and equipment for erecting, moving, and removing scaffolding.
- C. Design details including bolt layouts, welding details, and any connections to existing structures.
- D. Stress sheets including a summary of computed stresses in the (1) scaffolding, (2) connections between scaffolding and any existing structures and (3) existing load supporting members. The computed stresses shall include the effects of erection, movement, and removal of the scaffolding.

If manufactured scaffolding is used, the manufacturer's name, address, and phone number shall be shown on the working drawings.

The working drawings shall be stamped and signed by an engineer who is registered as a Civil Engineer. In addition, prior to submitting the working drawings to the Engineer, the working drawings shall be stamped and signed by an independent reviewer who is registered as a Civil Engineer in the State of California. The independent reviewer shall not be employed by the same entity preparing the working drawings.

The Contractor shall allow 7 days for the review of a complete submittal for scaffolding working drawings. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Welding for the manufacturing and erection of scaffolding shall conform to the requirements in AWS D1.1 or D1.2 for steel or aluminum construction respectively.

Full compensation for conforming to the above requirements shall be considered as included in the contract prices paid for the various contract items of work, and no additional compensation will be allowed therefor.

### **10-1.13 TEMPORARY DECKING**

When a portion of a bridge deck or bridge joint is reconstructed, the Contractor shall either complete the work, including curing concrete, before opening that portion of the bridge to traffic or furnish and maintain temporary decking until that portion of the work is complete in place, as determined by the Engineer.

Temporary decking shall conform to Section 15, "Existing Highway Facilities," and to the specifications for falsework in Section 51-1.06, "Falsework," of the Standard Specifications and these special provisions.

Temporary decking shall consist of a steel plate system that spans the incomplete work.

Traffic will not be permitted on deck concrete until it has attained the design compressive strength shown on the plans.

## **MATERIALS**

The steel plate shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications and in "Nonskid Surface" of these special provisions.

Bolts shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications and in "Drill and Bond Dowels" of these special provisions.

Shim plates, nuts and washers shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications.

Building paper must be commercial quality No. 30 asphalt felt.

The material used to construct tapers shall conform to the requirements in "Rapid Setting Concrete Patches" of these special provisions. Manufacturers recommended cure time can be used or tapers shall cure at least 3 hours before traffic will be permitted on the temporary decking.

## **SUBMITTALS**

In addition to the requirements in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications, the temporary decking working drawings shall include the following:

- A. Details of the steel plate system including the plate and connections between the temporary decking and the existing structure.
- B. Storage location of equipment and materials that allows for a shift of work and placement of temporary decking to be completed within the time allowed in "Maintaining Traffic" of these special provisions.
- C. Construction sequence and schedule details.
- D. Cure time for concrete that is to be placed under a steel plate system.
- E. Details, materials, and methods for removal of temporary decking and for restoration of the existing structure after the removal.
- F. Drawings shall be signed by an engineer who is registered as a Civil Engineer in the State of California.

## **CONSTRUCTION**

If the temporary decking does not extend the entire width of the roadway, the sides of the temporary decking shall be tapered at a 1:12 (vertical to horizontal) slope. Temporary decking shall have a uniform surface that provides a coefficient of friction of at least 0.35 as determined by California Test 342.

The first paragraph of Section 51-1.06C, "Removing Falsework," of the Standard Specifications will not apply to temporary decking.

If unanticipated displacements, cracking, or other damage occurs to the existing structure or to any new components installed in or adjacent to the deck, work on the deck shall stop until corrective measures are complete and satisfactory to the Engineer.

The edges of steel plate systems shall be in full contact with the existing deck and shims may be required. Shims must be securely attached to plate.

When temporary decking is no longer needed, materials and connections shall be removed from the existing structure as soon as possible. The temporary decking shall become the property of the Contractor at the completion of the contract. Modifications to the existing structure shall be restored except where permanent alterations are shown on the plans.

Tapers and new concrete at bent cap shall be removed to the existing grade and existing profile as shown on the plans by grinding. When grinding is performed, the resulting surface shall have a coefficient of friction of not less than 0.35 as determined by California Test 342.

## **PAYMENT**

The contract lump sum price paid for temporary decking at location shown on the plans shall include furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in temporary decking, complete in place, removing temporary decking when no longer required and restoring decks, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.14 PROGRESS SCHEDULE (CRITICAL PATH METHOD)**

#### **GENERAL**

##### **Summary**

Comply with Section 8-1.04, "Progress Schedule," of the Standard Specifications, except you must:

1. Use a computer software to prepare the schedule
2. Furnish compatible software for the Engineer's exclusive possession and use

You are responsible for assuring that all activity sequences are logical and that each schedule shows a coordinated plan for complete performance of the work.

### Definitions

**contract completion date:** The current extended date for completion of the contract shown on the weekly statement of working days furnished by the Engineer as specified in Section 8-1.06, "Time of Completion," of the Standard Specifications.

**data date:** The day after the date through which a schedule is current. Everything occurring earlier than the data date is "as-built" and everything on or after the data date is "planned."

**early completion time:** The difference in time between an early scheduled completion date and the contract completion date.

**float:** The difference between the earliest and latest allowable start or finish times for an activity.

**milestone:** An event activity that has zero duration and is typically used to represent the beginning or end of a certain stage of the project.

**narrative report:** A document submitted with each schedule that discusses topics related to project progress and scheduling.

**near critical path:** A chain of activities with total float exceeding that of the critical path but having no more than 10 working days of total float.

**State owned float activity:** The activity documenting time saved on the critical path by actions of the State. It is the last activity prior to the scheduled completion date.

**time impact analysis:** A schedule and narrative report developed specifically to demonstrate what effect a proposed change or delay has on the current scheduled completion date.

**time-scaled network diagram:** A graphic depiction of a CPM schedule comprised of activity bars with relationships for each activity represented by arrows. The tail of each arrow connects to the activity bar for the predecessor and points to the successor.

**total float:** The amount of time that an activity or chain of activities can be delayed before extending the scheduled completion date.

### Submittals

#### General Requirements

Submit to the Engineer baseline, monthly updated, and final updated schedules, each consistent in all respects with the time and order of work requirements of the contract. Perform work in the sequence indicated on the current accepted schedule.

Each schedule must show:

1. Calculations using the critical path method to determine controlling activities.
2. Duration activities less than 20 working days.
3. At least 50 but not more than 500 activities, unless authorized. The number of activities must be sufficient to assure adequate planning of the project, to permit monitoring and evaluation of progress, and to do an analysis of time impacts.
4. Each required constraint. Constraints other than those required by the special provisions may be included only if authorized.
5. State-owned float as the predecessor activity to the scheduled completion date.
6. Activities with identification codes for responsibility, stage, work shifts, location, and contract pay item numbers.

You may show early completion time on any schedule provided that the requirements of the contract are met. Early completion time is considered a resource for your exclusive use. You may increase early completion time by improving production, reallocating resources to be more efficient, performing sequential activities concurrently, or by completing activities earlier than planned. You may also submit for approval a VECP as specified in Section 4-1.035B, "Value Engineering Change Proposal." of the Standard Specifications that will reduce time of construction.

You may show a scheduled completion date that is later than the contract completion date on an update schedule, after the baseline schedule is accepted. Provide an explanation for a late scheduled completion date in the narrative report that is included with the schedule.

State-owned float is considered a resource for the exclusive use of the State. The Engineer may accrue State-owned float by the early completion of review of any type of required submittal when it saves time on the critical path. Prepare a time impact analysis, when requested by the Engineer, to determine the effect of the action as specified in "Time Impact Analysis." The Engineer documents State-owned float by directing you to update the State-owned float activity on the next updated schedule. Include a log of the action on the State-owned float activity and include a discussion of the action in the narrative report. The Engineer may use State-owned float to mitigate past, present, or future State delays by offsetting potential time extensions for contract change orders.

The Engineer may adjust contract working days for ordered changes that affect the scheduled completion date as specified in Section 4-1.03, "Changes," of the Standard Specifications. Prepare a time impact analysis to determine the effect of the change as specified in "Time Impact Analysis" and include the impacts acceptable to the Engineer in the next updated schedule. Changes that do not affect the controlling operation on the critical path will not be considered as the basis for a time adjustment. Changes that do affect the controlling operation on the critical path will be considered by the Engineer in decreasing time or granting an extension of time for completion of the contract. Time extensions will only be granted if the total float is absorbed and the scheduled completion date is delayed one or more working days because of the ordered change.

The Engineer's review and acceptance of schedules does not waive any contract requirements and does not relieve you of any obligation or responsibility for submitting complete and accurate information. Correct rejected schedules and resubmit them within 7 days of notification by the Engineer, at which time a new review period of 7 days will begin.

Errors or omissions on schedules do not relieve you from finishing all work within the time limit specified for completion of the contract. If, after a schedule has been accepted by the Engineer, either you or the Engineer discover that any aspect of the schedule has an error or omission, you must correct it on the next updated schedule.

### **Computer Software**

Submit to the Engineer for review a description of proposed schedule software to be used. After the Engineer accepts the proposed software, furnish schedule software and all original software instruction manuals. All software must be compatible with the current version of the Windows operating system in use by the Engineer. The schedule software must include:

1. Latest version of Primavera SureTrak Project Manager for Windows, or equivalent
2. Latest version of schedule-comparing HST SureChange, or equivalent

If a schedule software equivalent to SureTrak is proposed, it must be capable of generating files that can be imported into SureTrak. The schedule-comparing software must be compatible with schedule software submitted and must be able to compare two schedules and provide reports of changes in activity ID, activity description, constraints, calendar assignments, durations, and logic ties.

The schedule software and schedule-comparing software will be returned to you before the final estimate. The Department will compensate you as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications for replacement of software or manuals damaged, lost, or stolen after delivery to the Engineer.

Instruct the Engineer in the use of the software and provide software support until the contract is accepted. Within 15 days of contract approval, provide a commercial 8-hour training session for 2 Department employees in the use of the software at a location acceptable to the Engineer. It is recommended that you also send at least 2 employees to the same training session to facilitate development of similar knowledge and skills in the use of the software. If schedule software other than SureTrak is submitted, then the training session must be a total of 16-hours for each Department employee.

### **Network Diagrams, Reports, and Data**

Include the following with each schedule submittal:

1. Two sets of originally plotted, time-scaled network diagrams
2. Two copies of a narrative report
3. One read-only compact disk or floppy diskette containing the schedule data

The time-scaled network diagrams must conform to the following:

1. Show a continuous flow of information from left to right
2. Be based on early start and early finish dates of activities
3. Clearly show the primary paths of criticality using graphical presentation
4. Be prepared on 860 mm x 1120 mm
5. Include a title block and a timeline on each page

The narrative report must be organized in the following sequence with all applicable documents included:

1. Transmittal letter
2. Work completed during the period
3. Identification of unusual conditions or restrictions regarding labor, equipment or material; including multiple shifts, 6-day work weeks, specified overtime or work at times other than regular days or hours
4. Description of the current critical path
5. Changes to the critical path and scheduled completion date since the last schedule submittal
6. Description of problem areas
7. Current and anticipated delays:
  - 7.1. Cause of delay
  - 7.2. Impact of delay on other activities, milestones, and completion dates
  - 7.3. Corrective action and schedule adjustments to correct the delay
8. Pending items and status thereof:
  - 8.1. Permits
  - 8.2. Change orders
  - 8.3. Time adjustments
  - 8.4. Noncompliance notices
9. Reasons for an early or late scheduled completion date in comparison to the contract completion date

Schedule submittals will only be considered complete when all documents and data have been submitted as described above.

#### **Preconstruction Scheduling Conference**

Schedule a preconstruction scheduling conference with your project manager and the Engineer within 15 days after contract approval. The Engineer will conduct the meeting and review the requirements of this section with you.

Submit a general time-scaled logic diagram displaying the major activities and sequence of planned operations and be prepared to discuss the proposed work plan and schedule methodology that comply with the requirements of this section. If you propose deviations to the construction staging, then the general time-scaled logic diagram must also display the deviations and resulting time impacts. Be prepared to discuss the proposal.

At this meeting, also submit the alphanumeric coding structure and activity identification system for labeling work activities. To easily identify relationships, each activity description must indicate its associated scope or location of work by including such terms as quantity of material, type of work, bridge number, station to station location, side of highway (such as left, right, northbound, southbound), lane number, shoulder, ramp name, ramp line descriptor, or mainline.

The Engineer reviews the logic diagram, coding structure, and activity identification system, and provide any required baseline schedule changes to you for implementation.

#### **Baseline Schedule**

Beginning the week following the preconstruction scheduling conference, meet with the Engineer weekly to discuss schedule development and resolve schedule issues until the baseline schedule is accepted.

Submit a baseline schedule within 20 days of contract approval. Allow 20 days for the Engineer's review after the baseline schedule and all support data are submitted. In addition, the baseline schedule submittal is not considered complete until the computer software is delivered and installed for use in review of the schedule.

The baseline schedule must include the entire scope of work and how you plan to complete all work contemplated. The baseline schedule must show the activities that define the critical path. Multiple critical paths and near-critical paths must be kept to a minimum. A total of not more than 50 percent of the baseline schedule activities must be critical or near critical, unless otherwise authorized.

The baseline schedule must not extend beyond the number of contract working days. The baseline schedule must have a data date of contract approval. If you start work before contract approval, the baseline schedule must have a data date of the 1st day you performed work at the job site.

If you submit an early completion baseline schedule that shows contract completion in less than 85 percent of the contract working days, the baseline schedule must be supplemented with resource allocations for every task activity and include time-scaled resource histograms. The resource allocations must be shown to a level of detail that facilitates report generation based on labor crafts and equipment classes for you and your subcontractors. Use average composite crews to display the labor loading of on-site construction activities. Optimize and level labor to reflect a reasonable plan for accomplishing the work of the contract and to assure that resources are not duplicated in concurrent activities. The time-scaled resource histograms must show labor crafts and equipment classes to be used. The Engineer may review the baseline schedule activity resource allocations using Means Productivity Standards or equivalent to determine if the schedule is practicable.

### **Updated Schedule**

Submit an updated schedule and meet with the Engineer to review contract progress, on or before the 1st day of each month, beginning one month after the baseline schedule is accepted. Allow 15 days for the Engineer's review after the updated schedule and all support data are submitted, except that the review period will not start until the previous month's required schedule is accepted. Updated schedules that are not accepted or rejected within the review period are considered accepted by the Engineer.

The updated schedule must have a data date of the 21st day of the month or other date established by the Engineer. The updated schedule must show the status of work actually completed to date and the work yet to be performed as planned. Actual activity start dates, percent complete, and finish dates must be shown as applicable. Durations for work that has been completed must be shown on the updated schedule as the work actually occurred, including Engineer submittal review and your resubmittal times.

You may include modifications such as adding or deleting activities or changing activity constraints, durations, or logic that do not (1) alter the critical path(s) or near critical path(s) or (2) extend the scheduled completion date compared to that shown on the current accepted schedule. Justify in writing the reasons for any changes to planned work. If any proposed changes in planned work will result in (1) or (2) above, then submit a time impact analysis as specified in this section.

### **Time Impact Analysis**

Submit a written time impact analysis (TIA) with each request for adjustment of contract time, or when you or the Engineer consider that an approved or anticipated change may impact the critical path or contract progress.

The TIA must illustrate the impacts of each change or delay on the current scheduled completion date or internal milestone, as appropriate. The analysis must use the accepted schedule that has a data date closest to and before the event. If the Engineer determines that the accepted schedule used does not appropriately represent the conditions before the event, the accepted schedule must be updated to the day before the event being analyzed. The TIA must include an impact schedule developed from incorporating the event into the accepted schedule by adding or deleting activities, or by changing durations or logic of existing activities. If the impact schedule shows that incorporating the event modifies the critical path and scheduled completion date of the accepted schedule, the difference between scheduled completion dates of the two schedules must be equal to the adjustment of contract time. The Engineer may construct and use an appropriate project schedule or other recognized method to determine adjustments in contract time until you provide the TIA.

Submit 2 copies of your TIA within 20 days of receiving a written request for a TIA from the Engineer. Allow the Engineer 15 days after receipt to review the submitted TIA. All approved TIA schedule changes must be shown on the next updated schedule.

If a TIA you submit is rejected, meet with the Engineer to discuss and resolve issues related to the TIA. If clarification is still needed, you are allowed 15 days to submit a protest as specified in Section 5-1.011, "Protests," of the Standard Specifications. If agreement is not reached, you are allowed 5 days from the date you receive the Engineer's response to your protest to submit an Initial Potential Claim Record as specified in Section 5-1.146B, "Initial Potential Claim Record," of the Standard Specifications. Only show actual as-built work, not unapproved changes related to the TIA, in subsequent updated schedules. If agreement is reached at a later date, approved TIA schedule changes must be shown on the next updated schedule. The Engineer withholds remaining payment on the schedule contract item if a TIA is requested and not submitted within 20 days. The schedule item payment resumes on the next estimate after the requested TIA is submitted. No other contract payment is withheld regarding TIA submittals.

### **Final Updated Schedule**

Submit a final update, as-built schedule with actual start and finish dates for the activities, within 30 days after completion of contract work. Provide a written certificate with this submittal signed by your project manager or an officer of the company stating, "To my knowledge and belief, the enclosed final update schedule reflects the actual start and finish dates of the actual activities for the project contained herein." An officer of the company may delegate in writing the authority to sign the certificate to a responsible manager.

## **PAYMENT**

Progress schedule (critical path method) will be paid for at a lump sum price. The contract lump sum price paid for progress schedule (critical path method) includes full compensation for furnishing all labor, material, tools, equipment, and incidentals, including computer software, and for doing all the work involved in preparing, furnishing, and updating schedules, and instructing and assisting the Engineer in the use of computer software, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for the progress schedule (critical path method) contract item will be made progressively as follows:

1. A total of 25 percent of the item amount will be paid upon achieving all of the following:
  - 1.1. Completion of 5 percent of all contract item work.
  - 1.2. Acceptance of all schedules and approval of all TIAs required to the time when 5 percent of all contract item work is complete.
  - 1.3. Delivery of schedule software to the Engineer.
  - 1.4. Completion of required schedule software training.
2. A total of 50 percent of the item amount will be paid upon completion of 25 percent of all contract item work and acceptance of all schedules and approval of all TIAs required to the time when 25 percent of all contract item work is complete.
3. A total of 75 percent of the item amount will be paid upon completion of 50 percent of all contract item work and acceptance of all schedules and approval of all TIAs required to the time when 50 percent of all contract item work is complete.
4. A total of 100 percent of the item amount will be paid upon completion of all contract item work, acceptance of all schedules and approval of all TIAs required to the time when all contract item work is complete, and submittal of the certified final update schedule.

If you fail to complete any of the work or provide any of the schedules required by this section, the Engineer makes an adjustment in compensation as specified in Section 4-1.03C, "Changes in Character of Work," of the Standard Specifications for the work not performed. Adjustments in compensation for schedules will not be made for any increased or decreased work ordered by the Engineer in submitting schedules.

### **10-1.15 TIME-RELATED OVERHEAD**

The Contractor will be compensated for time-related overhead as described below and in conformance with "Force Account Payment" of these special provisions. The Contractor will not be compensated for time-related overhead for delays to the controlling operations caused by the Engineer that occur prior to the first working day, but will be compensated for actual overhead costs incurred, as determined by an independent Certified Public Accountant audit examination and report.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages," "Force Account Payment," and "Progress Schedule (Critical Path Method)" of these special provisions.

The provisions in Section 9-1.08, "Adjustment of Overhead Costs," of the Standard Specifications shall not apply.

Time-related overhead shall consist of those overhead costs, including field and home office overhead, that are in proportion to the time required to complete the work. Time-related overhead shall not include costs that are not related to time, including but not limited to, mobilization, licenses, permits, and other charges incurred only once during the contract. Time-related overhead shall not apply to subcontractors of any tier, suppliers, fabricators, manufacturers, or other parties associated with the Contractor.

Field office overhead expenses include time-related costs associated with the normal and recurring operations of the construction project, and shall not include costs directly attributable to the work of the contract. Time-related costs of field office overhead include, but are not limited to, salaries, benefits, and equipment costs of project managers, general superintendents, field office managers and other field office staff assigned to the project, and rent, utilities, maintenance, security, supplies, and equipment costs of the project field office.

Home office overhead or general and administrative expenses refer to the fixed costs of operating the Contractor's business. These costs include, but are not limited to, general administration, insurance, personnel and subcontract administration, purchasing, accounting, and project engineering and estimating. Home office overhead costs shall exclude expenses specifically related to other contracts or other businesses of the Contractor, equipment coordination, material deliveries, and consultant and legal fees.

The quantity of time-related overhead associated with a reduction in contract time for cost reduction incentive proposals accepted and executed in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications shall be considered a construction cost attributable to the resultant estimated net savings due to the cost reduction incentive.

If the final increased quantity of time-related overhead exceeds 149 percent of the number of working days specified in the Engineer's Estimate, the Contractor shall, within 60 days of the Engineer's written request, submit to the Engineer an audit examination and report performed by an independent Certified Public Accountant of the Contractor's actual overhead costs. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.

Independent Certified Public Accountant's audit examinations shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. Audit examinations and reports shall determine if the rates of field office overhead and home office overhead are:

- A. Allowable in conformance with the requirements of the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.
- B. Adequately supported by reliable documentation.
- C. Related solely to the project under examination.

Within 20 days of receipt of the Engineer's written request, the Contractor shall make its financial records available for audit by the State for the purpose of verifying the actual rate of time-related overhead specified in the audit submitted by the Contractor. The actual rate of time-related overhead specified in the audit, submitted by the Contractor, will be subject to approval by the Engineer.

If the Engineer requests the independent Certified Public Accountant audit, or if it is requested in writing by the Contractor, the contract item payment rate for time-related overhead, in excess of 149 percent of the number of working days specified in the Engineer's Estimate, will be adjusted to reflect the actual rate.

The cost of performing an independent Certified Public Accountant audit examination and submitting the report, requested by the Engineer, will be borne equally by the State and the Contractor. The division of the cost will be made by determining the cost of providing an audit examination and report in conformance with the provisions of Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report for overhead claims other than for the purpose of verifying the actual rate of time-related overhead shall be entirely borne by the Contractor. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report to verify actual overhead costs incurred prior to the first working day shall be entirely borne by the Contractor.

The quantity of time-related overhead to be paid will be measured by the working day, designated in the Engineer's Estimate as WDAY. The estimated number of working days is the number of working days, excluding days for plant establishment, as specified in "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions. The quantity of time-related overhead will be increased or decreased only as a result of suspensions or adjustments of contract time which revise the current contract completion date, and which satisfy any of the following criteria:

- A. Suspensions of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications, except:
  - 1. Suspensions ordered due to weather conditions being unfavorable for the suitable prosecution of the controlling operation or operations.
  - 2. Suspensions ordered due to the failure on the part of the Contractor to carry out orders given, or to perform the provisions of the contract.
  - 3. Suspensions ordered due to factors beyond the control of and not caused by the State or the Contractor, for which the Contractor is granted extensions of time in conformance with the provisions of the third paragraph of Section 8-1.07, "Liquidated Damages," of the Standard Specifications.
  - 4. Other suspensions that mutually benefit the State and the Contractor.

- B. Extensions of contract time granted by the State in conformance with the provisions in the fifth paragraph in Section 8-1.07, "Liquidated Damages," of the Standard Specifications and set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.
- C. Reductions in contract time set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.

In the event an early completion progress schedule, as defined in "Progress Schedule (Critical Path Method)" of these special provisions, is submitted by the Contractor and approved by the Engineer, the amount of time-related overhead eligible for payment will be based on the total number of working days for the project, in conformance with the provisions in "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, rather than the Contractor's early completion progress schedule.

The contract price paid per working day for time-related overhead shall include full compensation for time-related overhead, including the Contractor's share of costs of the independent Certified Public Accountant audit of overhead costs requested by the Engineer, as specified in these special provisions, and as directed by the Engineer.

The provisions in Sections 4-1.03B, "Increased or Decreased Quantities," and 4-1.03C, "Changes in Character of the Work," of the Standard Specifications shall not apply to the contract item of time-related overhead.

Full compensation for additional overhead costs involved in incentive and disincentive provisions to satisfy internal milestone or multiple calendar requirements shall be considered as included in the contract items of work involved and no additional compensation will be allowed therefor.

Full compensation for additional overhead costs incurred during days of inclement weather when the contract work is extended into additional construction seasons due to delays caused by the State shall be considered as included in the time-related overhead paid during the contract working days, and no additional compensation will be allowed therefor.

Full compensation for additional overhead costs involved in performing additional contract item work that is not a controlling operation shall be considered as included in the contract items of work involved and no additional compensation will be allowed therefor.

Full compensation for overhead, other than time-related overhead measured and paid for as specified above, and other than overhead costs included in the markups specified in "Force Account Payment" of these special provisions, shall be considered as included in the various items of work and no additional compensation will be allowed therefor.

Overhead costs incurred by subcontractors of any tier, suppliers, fabricators, manufacturers, and other parties associated with the Contractor shall be considered as included in the various items of work and as specified in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

For the purpose of making partial payments pursuant to the provisions in Section 9-1.06, "Partial Payments," of the Standard Specifications, the number of working days to be paid for time-related overhead in each monthly partial payment will be the number of working days, specified above to be measured for payment that occurred during that monthly estimate period, including compensable suspensions and right of way delays. Working days granted by contract change order due to extra work or changes in character of the work, will be paid for upon completion of the contract. The amount earned per working day for time-related overhead shall be the lesser of the following amounts:

- A. The contract item price.
- B. Twenty percent of the original total contract amount divided by the number of working days specified in "Beginning of Work, Time of Completion and Liquidated Damages," of these special provisions.

After the work has been completed, except plant establishment work, as provided in Section 20-4.08, "Plant Establishment Work," of the Standard Specifications, the amount of the total contract item price for time-related overhead not yet paid will be included for payment in the first estimate made after completion of roadway construction work, in conformance with the provisions in Section 9-1.06, "Partial Payments," of the Standard Specifications.

#### **10-1.16 SMALL BUSINESS UTILIZATION REPORT**

Submit a completed Small Business Utilization Report form on or before the following dates for the prior reporting period:

- 1. January 15th
- 2. April 15th
- 3. July 15th
- 4. October 15th

Submit a completed final Small Business Utilization Report form within 30 days after contract acceptance.

The Department pays \$250 for each report submitted. The contract unit price paid for small business utilization report includes full compensation for doing all the work involved in submitting the completed Small Business Utilization Report form. If you fail to submit a completed form by the specified time, you will not receive payment for that report.

The Department does not adjust payment for an increase or decrease in the quantity of small business utilization reports submitted. Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications does not apply.

The work to complete the final Small Business Utilization Report contract item is excluded from Section 7-1.17, "Acceptance of Contract," of the Standard Specifications.

Failure to submit the Small Business Utilization Report is not considered a performance failure. Section 9-1.053, "Performance Failure Withholds," of the Standard Specifications does not apply.

### **10-1.17 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES**

Flagging, signs, and temporary traffic control devices furnished, installed, maintained, and removed when no longer required shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Category 1 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices. These devices shall be certified as crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 temporary traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.

If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 temporary traffic control devices at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use. Self-certification shall be provided by the manufacturer or Contractor and shall include the following:

- A. Date,
- B. Federal Aid number (if applicable),
- C. Contract number, district, county, route and kilometer post of project limits,
- D. Company name of certifying vendor, street address, city, state and zip code,
- E. Printed name, signature and title of certifying person; and
- F. Category 1 temporary traffic control devices that will be used on the project.

The Contractor may obtain a standard form for self-certification from the Engineer.

Category 2 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices that are not expected to produce significant vehicular velocity change, but may cause potential harm to impacting vehicles. Category 2 temporary traffic control devices include barricades and portable sign supports.

Category 2 temporary traffic control devices shall be on the Federal Highway Administration's (FHWA) list of Acceptable Crashworthy Category 2 Hardware for Work Zones. This list is maintained by FHWA and can be located at:

[http://safety.fhwa.dot.gov/roadway\\_dept/road\\_hardware/listing.cfm?code=workzone](http://safety.fhwa.dot.gov/roadway_dept/road_hardware/listing.cfm?code=workzone)

The Department also maintains this list at:

<http://www.dot.ca.gov/hq/traffops/signtech/signdel/pdf/Category2.pdf>

Category 2 temporary traffic control devices that have not received FHWA acceptance shall not be used. Category 2 temporary traffic control devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the manufacturer. The label shall be readable and permanently affixed by the manufacturer. Category 2 temporary traffic control devices without a label shall not be used.

If requested by the Engineer, the Contractor shall provide a written list of Category 2 temporary traffic control devices to be used on the project at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use.

Category 3 temporary traffic control devices consist of temporary traffic-handling equipment and devices that weigh 45 kg or more and are expected to produce significant vehicular velocity change to impacting vehicles. Temporary traffic-handling equipment and devices include crash cushions, truck-mounted attenuators, temporary railing, temporary barrier, and end treatments for temporary railing and barrier.

Type III barricades may be used as sign supports if the barricades have been successfully crash tested, meeting the NCHRP Report 350 criteria, as one unit with a construction area sign attached.

Category 3 temporary traffic control devices shall be shown on the plans or on the Department's Highway Safety Features list. This list is maintained by the Division of Engineering Services and can be found at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/HighwaySafe.htm](http://www.dot.ca.gov/hq/esc/approved_products_list/HighwaySafe.htm)

Category 3 temporary traffic control devices that are not shown on the plans or not listed on the Department's Highway Safety Features list shall not be used.

Full compensation for providing self-certification for crashworthiness of Category 1 temporary traffic control devices and for providing a list of Category 2 temporary traffic control devices used on the project shall be considered as included in the prices paid for the various items of work requiring the use of the Category 1 or Category 2 temporary traffic control devices and no additional compensation will be allowed therefor.

### 10-1.18 CONSTRUCTION AREA SIGNS

Construction area signs for temporary traffic control shall be furnished, installed, maintained, and removed when no longer required in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Furnish Sign" of these special provisions.

Attention is directed to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Type II retroreflective sheeting shall not be used on construction area sign panels. Type III, IV, VII, VIII, or IX retroreflective sheeting shall be used for stationary mounted construction area sign panels.

Attention is directed to "Construction Project Information Signs" of these special provisions regarding the number and type of construction project information signs to be furnished, erected, maintained, and removed and disposed of.

Unless otherwise shown on the plans or specified in these special provisions, the color of construction area warning and guide signs shall have black legend and border on orange background, except W10-1 or W47(CA) (Highway-Rail Grade Crossing Advance Warning) sign shall have black legend and border on yellow background.

Orange background on construction area signs shall be fluorescent orange.

Repair to construction area sign panels will not be allowed, except when approved by the Engineer. At nighttime under vehicular headlight illumination, sign panels that exhibit irregular luminance, shadowing or dark blotches shall be immediately replaced at the Contractor's expense.

The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least 2 business days, but not more than 14 days, prior to commencing excavation for construction area sign posts. The regional notification centers include, but are not limited to, the following:

| Notification Center       | Telephone Number |
|---------------------------|------------------|
| Underground Service Alert | 811              |

Excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the proposed post holes. The post hole diameter, if backfilled with portland cement concrete, shall be at least 100 mm greater than the longer dimension of the post cross-section.

Construction area signs placed within 4.6 m from the edge of the travel way shall be mounted on stationary mounted sign supports as specified in "Construction Area Traffic Control Devices" of these special provisions.

The Contractor shall maintain accurate information on construction area signs. Signs that are no longer required shall be immediately covered or removed. Signs that convey inaccurate information shall be immediately replaced or the information shall be corrected. Covers shall be replaced when they no longer cover the signs properly. The Contractor shall immediately restore to the original position and location any sign that is displaced or overturned, from any cause, during the progress of work.

### 10-1.19 MAINTAINING TRAFFIC

Maintaining traffic shall conform to the provisions in Sections 7-1.08, "Public Convenience," Section 7-1.09, "Public Safety," and Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Closure is defined as the closure of a traffic lane or lanes, including shoulder, ramp or connector lanes, within a single traffic control system.

Closures shall conform to the provisions in "Traffic Control System for Lane Closure" of these special provisions.

In addition to the provisions set forth in Section 7-1.09, "Public Safety," of the Standard Specifications, whenever work, including the work of installing, maintaining, and removing temporary railing (Type K) is to be performed on the freeway within 1.8 m of the adjacent traffic lane, the adjacent traffic lane shall be closed.

Except as listed above, closure of adjacent traffic lane will not be required for grinding operation, for installing loop detectors with an Impact Attenuator Vehicle (IAV) as a shadow vehicle, and for installing, maintaining and removing traffic control devices.

At locations where falsework pavement lighting or pedestrian openings through falsework are designated, falsework lighting shall be installed in conformance with the provisions in Section 86-6.11, "Falsework Lighting," of the Standard Specifications.

Openings shall be provided through bridge falsework for the use of public traffic at each location where falsework is constructed over the streets or routes listed in the following table. The type, minimum width, height, and number of openings at each location, and the location and maximum spacing of falsework lighting, if required for each opening, shall conform to the requirements in the table. The width of vehicular openings shall be the clear width between temporary railings or other protective work. The spacing shown for falsework pavement lighting is the maximum distance center to center in meters between fixtures.

Centinela Ave UC (Widen) (Bridge No. 53-1253)  
West Bound

|                             | Number   | Width               | Height |
|-----------------------------|----------|---------------------|--------|
| Vehicle Openings            | 1        | 7.3                 | 4.9    |
| Pedestrian Openings         | 1        | 1.5                 | 2.25   |
|                             |          |                     |        |
|                             | Location | Spacing             |        |
| Falsework Pavement Lighting | R and L  | 9 staggered ½ space |        |

(Width and Height in meters)  
(R = Right side of traffic. L = Left side of traffic)  
(C = Centered overhead)

Centinela Ave UC (Widen) (Bridge No. 53-1253)  
East Bound

|                             | Number   | Width               | Height |
|-----------------------------|----------|---------------------|--------|
| Vehicle Openings            | 1        | 7.3                 | 4.9    |
|                             |          |                     |        |
|                             | Location | Spacing             |        |
| Falsework Pavement Lighting | R and L  | 9 staggered ½ space |        |

(Width and Height in meters)  
(R = Right side of traffic. L = Left side of traffic)  
(C = Centered overhead)

Centinela Ave UC (Widen) (Bridge No. 53-1253)  
East Two-way

|                             | Number   | Width   | Height |
|-----------------------------|----------|---------|--------|
| Vehicle Openings            | 1        | 3.6     | 4.6    |
|                             |          |         |        |
|                             | Location | Spacing |        |
| Falsework Pavement Lighting | R        | 7       |        |

(Width and Height in meters)  
(R = Right side of traffic. L = Left side of traffic)  
(C = Centered overhead)

Sepulveda Blvd UC (Widen and Retrofit)  
(Bridge No. 53-1254)  
North Bound

|                             | Number   | Width               | Height |
|-----------------------------|----------|---------------------|--------|
| Vehicle Openings            | 1        | 7.3                 | 4.6    |
| Pedestrian Openings         | 1        | 1.5                 | 2.25   |
|                             |          |                     |        |
|                             | Location | Spacing             |        |
| Falsework Pavement Lighting | R and L  | 9 staggered ½ space |        |

(Width and Height in meters)  
(R = Right side of traffic. L = Left side of traffic)  
(C = Centered overhead)

Sepulveda Blvd UC (Widen and Retrofit)  
(Bridge No. 53-1254)  
South Bound

|                             | Number   | Width               | Height |
|-----------------------------|----------|---------------------|--------|
| Vehicle Openings            | 1        | 7.3                 | 4.6    |
|                             |          |                     |        |
|                             | Location | Spacing             |        |
| Falsework Pavement Lighting | R and L  | 9 staggered ½ space |        |

(Width and Height in meters)  
(R = Right side of traffic. L = Left side of traffic)  
(C = Centered overhead)

The exact location of openings will be determined by the Engineer.

Work that interferes with public traffic shall be limited to the hours when closures are allowed, except for work required under Sections 7-1.08, "Public Convenience" and Section 7-1.09, "Public Safety" or as shown on the Stage Construction and Traffic Handling Plans.

The full width of the ramp traveled way shall be open for use by public traffic on designated legal holidays.

Designated legal holidays are: January 1st, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, November 11th, Thanksgiving Day, and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday. When November 11th falls on a Saturday, the preceding Friday shall be a designated legal holiday.

Special days are: Martin Luther King Jr Day and Columbus Day.

Not more than one separate stationary lane closures will be allowed in each direction of travel at one time.

At the Contractor's option, work may be performed during the hours designated as "No work permitted" shown on Charts 2 and 3, provided temporary traffic screen is installed on top of temporary railing (Type K), as shown on the plans. Temporary traffic screen shall be furnished, installed, maintained, and removed at the Contractor's expense.

In addition to the requirements shown on the Impact Attenuator Vehicle (IAV) specifications of these special provisions, when performing traffic control for stationary lane closures in High Occupancy Vehicle (HOV) lanes, the Contractor shall conform to the requirements as shown on the Traffic Handling Details Plan titled "Traffic Control System For High Occupancy Vehicle Lanes With Median Shoulders Less Than 2.4 Meters."

High Occupancy Vehicle (HOV) lanes may be closed any time the adjacent freeway lane is permitted to be closed as shown on Charts 2 and 3.

Northbound Route 405 may be closed to public traffic for 2 post overhead sign structure installation and removal and loop detector installation in conformance with the hours and requirements as shown on Chart 4.

When Slauson Ave/Sepulveda Blvd off-ramp is closed as shown on the Traffic Handling Plans, a portable changeable message sign shall be placed at a location on the ramp or connector, as determined by the Engineer, 14 continuous days in advance of the date of the planned closure, with the message: "RAMP / WILL BE / CLOSED – MM-DD-YY / TO / MM-DD-YY."

Except as otherwise specified on Charts 7 through 13 in these special provisions, other ramps may be closed when the adjacent freeway lane is permitted to be closed as shown on Charts 1 and 2. When an on-ramp is closed and a ramp closure chart has not been included in this section "Maintaining Traffic", public traffic shall be detoured to the next available on-ramp downstream of the closed ramp in the direction of travel. A minimum of 10 special freeway detour signs (SP-2), as shown on the plans, shall be posted along the detour route and shall be removed at the end of each closure.

Except as otherwise specified in these special provisions, closure of on-ramps or off-ramps servicing 2 consecutive local street interchanges in the same direction of travel will not be allowed. Deviations from ramp closure requirements shall be requested in writing by the Contractor and submitted to the Engineer for approval. The Engineer may permit the deviations if public traffic will be better served and the work expedited. If 2 or more consecutive on-ramps are permitted or as specified in these special provisions to be closed, special signs for entrance ramp closures (SP-4) as shown on the plans shall be furnished and installed at the Contractor's expense. When an off-ramp is closed, the Contractor shall furnish and erect special signs for exit ramp closures (SP-3), as shown on the plans. This sign shall be placed on the right shoulder of freeway upstream of the preceding off-ramp.

Special advance notice publicity signs (SP-1), as shown on the plans, shall be posted at locations as determined by the Engineer, a minimum of 7 days prior to ramp or connector closures. Accurate information shall be maintained on the SP-1 signs. When work is not actively in progress, SP-1 signs shall be removed or covered.

Full compensation for furnishing, erecting, maintaining, and removing special advance notice publicity signs (SP-1), special portable freeway detour signs (SP-2) and special signs for exit ramp closures (SP-3) as shown on the plans shall be considered as included in the contract lump sum price paid for traffic control system and no separate payment will be made therefor.

Special signs shall be disposed of as provided in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way" of the Standard Specifications at the conclusion of the project.

Local authorities shall be notified at least 5 business days before work begins. The Contractor shall cooperate with local authorities to handle traffic through the work area and shall make arrangements to keep the work area clear of parked vehicles.

Personal vehicles of the Contractor's employees shall not be parked within the right of way .

When work vehicles or equipment are parked within 1.8 m of a traffic lane to perform active construction, the shoulder area shall be closed as shown on the plans.

If minor deviations from the requirements of this section concerning hours of work are required, a written request shall be submitted to the Engineer at least 15 days before the proposed date of the closure. The Engineer may approve the deviations if there is no significant increase in the cost to the State and if the work can be expedited and better serve the public traffic.

Pedestrian access facilities shall be provided through construction areas within the right of way as shown on the plans and as specified herein. Pedestrian walkways shall be surfaced with hot mix asphalt, portland cement concrete or timber. The surface shall be skid resistant and free of irregularities. Hand railings shall be provided on each side of pedestrian walkways as necessary to protect pedestrian traffic from hazards due to construction operations or adjacent vehicular traffic. Protective overhead covering shall be provided as necessary to insure protection from falling objects and drip from overhead structures.

In addition to the required openings through falsework, pedestrian facilities shall be provided during pile driving, footing, wall, and other bridge construction operations. At least one walkway shall be available at all times. If the Contractor's operations require the closure of one walkway, then another walkway shall be provided nearby, off the traveled roadway.

Railings shall be constructed of wood, S4S, and shall be painted white. Railings and walkways shall be maintained in good condition. Walkways shall be kept clear of obstructions.

Full compensation for providing pedestrian facilities shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

| Freeway or Connector Lane Closure Restriction for Designated Legal Holidays and Special Days |  |                |     |                 |                |                |                |     |     |     |
|--|--|----------------|-----|-----------------|----------------|----------------|----------------|-----|-----|-----|
| Thu  | Fri  | Sat            | Sun | Mon             | Tues           | Wed            | Thu            | Fri | Sat | Sun |
| x  | <b>H</b><br>xx   | xx             | xx  |                 |                |                |                |     |     |     |
| x  | xx   | <b>H</b><br>xx | xx  |                 |                |                |                |     |     |     |
|  | x  | xx             | xx  | <b>H</b><br>xx  | xxx            |                |                |     |     |     |
|  | x  |                |     | <b>SD</b><br>xx |                |                |                |     |     |     |
|  |  |                |     | x               | <b>H</b><br>xx |                |                |     |     |     |
|  |  |                |     |                 | x              | <b>H</b><br>xx |                |     |     |     |
|  |  |                |     |                 |                | x              | <b>H</b><br>xx | xx  |     | xx  |
| Legends:   |  |                |     |                 |                |                |                |     |     |     |
|  | Refer to Charts 1-6  |                |     |                 |                |                |                |     |     |     |
| x  | The full width of the traveled way shall be open for use by public traffic by 0500.    |                |     |                 |                |                |                |     |     |     |
| xx   | The full width of the traveled way shall be open for use by public traffic.            |                |     |                 |                |                |                |     |     |     |
| xxx  | The full width of the traveled way shall be open for use by public traffic until 0500. |                |     |                 |                |                |                |     |     |     |
| <b>H</b>   | Designated Legal Holiday   |                |     |                 |                |                |                |     |     |     |
| <b>SD</b>  | Special Day  |                |     |                 |                |                |                |     |     |     |

| <b>Chart No. 1</b>  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|----|---|---|---|----------------------------|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Freeway Lane Requirements and Hours of Work</b>                                  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA  |    |   |   |   | Route/Direction: 405/North |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: North of Manchester Blvd/La Cienega Blvd Off-ramp                   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   | 24 | 1 | 2 | 3 | 4                          | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays   | 3  | 3 | 3 | 3 | 3                          | S | N | N | N | S | S  | S  | S  | S  | S  | N  | N  | N  | N  | S  | 3  | 3  | 3  | 3  |    |
| Fridays   | 3  | 3 | 3 | 3 | 3                          | S | N | N | N | S | S  | S  | S  | S  | S  | N  | N  | N  | N  | S  | 3  | 3  | 3  | 3  |    |
| Saturdays   | 3  | 3 | 3 | 3 | 3                          | 3 | 3 | 3 | S | S | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | 3  | 3  | 3  | 3  |    |
| Sundays   | 3  | 3 | 3 | 3 | 3                          | 3 | 3 | 3 | 3 | 3 | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | 3  | 3  | 3  | 3  |    |
| Legend:   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 3 Provide at least three adjacent through freeway lanes open in direction of travel |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| N No work permitted   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS: Number of Through Traffic Lanes – 4 (Does not include HOV Lane)            |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| <b>Chart No. 2</b>   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|----|---|---|---|----------------------------|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Freeway Lane Requirements and Hours of Work</b>   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA   |    |   |   |   | Route/Direction: 405/North |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: La Tijera Blvd to Jefferson Blvd   |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|  | 24 | 1 | 2 | 3 | 4                          | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays  | 1  | 1 | 1 | 1 | 2                          | N | N | N | N | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 3  | 2  |
| Fridays  | 1  | 1 | 1 | 1 | 2                          | N | N | N | N | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 3  |
| Saturdays  | 2  | 1 | 1 | 1 | 1                          | 2 | 3 | N | N | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 3  |
| Sundays  | 2  | 2 | 1 | 1 | 1                          | 1 | 2 | 3 | 3 | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 3  | 2  |
| Legend:  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 1 Provide at least one through freeway lane open in direction of travel  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 2 Provide at least two adjacent through freeway lanes open in direction of travel  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 3 Provide at least three adjacent through freeway lanes open in direction of travel  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| N No work permitted  |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS: Number of Through Traffic Lanes – 4, 4*, 5 or 5* (Does not include HOV Lane)<br>* - Traffic lanes outside of the through traffic lanes delineated with a double line of pavement markers as shown on "Pavement Markers and Traffic Lines Typical Details (Detail 37 series)," may be closed as adjacent connector or ramp is allowed to be closed as shown on Charts 6, 9 and 12. |    |   |   |   |                            |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

**Chart No. 3  
Freeway Lane Requirements and Hours of Work**

|  |                            |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|----------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| County:LA  | Route/Direction: 405/South |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Jefferson Blvd to La Tijera Blvd |                            |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR                                | 24                         | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays                        | 2                          | 1 | 1 | 1 | 2 | 3 | N | N | N | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 4  | 3  |
| Fridays  | 2                          | 1 | 1 | 1 | 2 | 3 | N | N | N | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 4  | 3  |
| Saturdays  | 3                          | 2 | 2 | 1 | 1 | 2 | 3 | 4 | N | N | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 4  | 4  |
| Sundays  | 3                          | 2 | 2 | 1 | 1 | 2 | 2 | 3 | 4 | 4 | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | N  | 4  | 3  |

Legend:

- 1 Provide at least one through freeway lane open in direction of travel
- 2 Provide at least two adjacent through freeway lanes open in direction of travel
- 3 Provide at least three adjacent through freeway lanes open in direction of travel
- 4 Provide at least four adjacent through freeway lanes open in direction of travel
- N No work permitted

REMARKS: Number of Through Traffic Lanes – 4, 4\* or 5 (Does not include HOV Lane)  
 \* - Traffic lanes outside of the through traffic lanes delineated with a double line of pavement markers as shown on "Pavement Markers and Traffic Lines Typical Details (Detail 37 series)," may be closed as adjacent ramp is allowed to be closed as shown on Chart 11.

**Chart No. 4  
Complete Freeway Closure Hours**

|  |                            |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |
|--|----------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|--|
| County: LA   | Route/Direction: 405/North |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |
| Closure Limits: Howard Hughes Pkwy to Jefferson Blvd |                            |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |
| FROM HOUR TO HOUR                                    | 24                         | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |  |
| Mondays through Thursdays                            | C                          | C | C | C |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |
| Fridays  | C                          | C | C | C |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |
| Saturdays  |                            | C | C | C | C |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |
| Sundays  |                            |   | C | C | C | C |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |  |

**Legend:**

C Freeway or expressway may be closed completely

No complete freeway or expressway closure is permitted

**REMARKS:**

When the freeway is closed, detour traffic to exit at Howard Hughes Pkwy off-ramp, west on Howard Hughes Pkwy, north on Sepulveda Blvd, west on Slauson Ave; west on Jefferson Blvd to the on-ramp to northbound Route 405. Place a portable changeable message sign on the right shoulder 500 m in advance of Manchester Blvd/La Cienega Blvd off-ramp with the message; "FREEWAY / CLOSED – AT / HOWARD / HUGHES." Place a second portable changeable message sign on the island between the mainline and the Manchester Blvd on-ramp by the La Cienega Blvd OC with the message; "FREEWAY / CLOSED / AHEAD – H HUGHES / TO / JEFFERSON." A minimum of 10 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure.  
Close Howard Hughes Pkwy on-ramp and detour traffic in accordance to Chart 9.

**Chart No. 5  
Complete Connector Closure Hours**

|  |                          |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|--------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| County: LA   | Route/Direction: 90/East |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Eastbound Route 90 to Southbound Route 405 |                          |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR  | 24                       | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays                                  | C                        | C | C | C | C | C | S | S | S | C | C  | C  | C  | C  | C  | S  | S  | S  | S  | S  | C  | C  | C  | C  |    |
| Fridays  | C                        | C | C | C | C | C | S | S | S | C | C  | C  | C  | C  | C  | S  | S  | S  | S  | S  | C  | C  | C  | C  |    |
| Saturdays  | C                        | C | C | C | C | C | C | C | C | C | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |    |
| Sundays  | C                        | C | C | C | C | C | C | C | C | C | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |    |

Legend:

C Connector may be closed completely

S Shoulder closure permitted

REMARKS:

**DETOUR (Alternative 1)**  
 When the connector is closed, detour traffic to continue on eastbound Route 90 and exit at Slauson Ave off-ramp; west on Slauson Blvd; west on Jefferson Blvd to the on-ramp to southbound Route 405. Place a portable changeable message sign on the Frontage Road on the left shoulder east of Mindanao Way 500 m in advance of the on-ramp to eastbound Route 90 with the message: "S405 / EXIT / CLOSED." Place a second portable changeable message sign on the right shoulder of eastbound Route 90 in advance of the connector to Route 405 by Call Box # 22 with the message: "S405 / EXIT / CLOSED – DETOUR / USE / SLAUSON." A minimum of 7 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure.

**DETOUR (Alternative 2)**  
 When the connector is closed, detour traffic onto northbound Route 405 and exit at Culver Blvd off-ramp; west on Culver Blvd; south on Sawtelle Blvd; west on Braddock Dr to the on-ramp to southbound Route 405. Place a portable changeable message sign on the Frontage Road on the left shoulder east of Mindanao Way 500 m in advance of the on-ramp to eastbound Route 90 with the message: "S405 / EXIT / CLOSED." Place a second portable changeable message sign inside the connector closure with the message: "S405 / EXIT / CLOSED – DETOUR / N405 TO / CULVER." A minimum of 8 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure.

**DETOUR (Alternative 3)**  
 When the connector is closed, detour traffic to exit at Centinela Ave off-ramp; south on Centinela Ave; east on Jefferson Blvd to the on-ramp to southbound Route 405. Place a portable changeable message sign on the Frontage Road on the left shoulder east of Mindanao Way 500 m in advance of the on-ramp to eastbound Route 90 with the message: "S405 / EXIT / CLOSED." Place a second portable changeable message sign on the right shoulder of eastbound Route 90 in advance of the Centinela Blvd off-ramp by Call Box # 14 with the message: "S405 / EXIT / CLOSED – DETOUR / USE / CENTINELA." A minimum of 11 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure.

The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress.

**Chart No. 6  
Complete Connector Closure Hours**

|  |                            |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|----------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| County: LA   | Route/Direction: 405/North |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Northbound Route 405 to Westbound Route 90 |                            |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR  | 24                         | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays                                  | C                          | C | C | C | C | C | S | S | S | C | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Fridays  | C                          | C | C | C | C | C | S | S | S | C | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Saturdays  | C                          | C | C | C | C | C | C | C | C | C | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |
| Sundays  | C                          | C | C | C | C | C | C | C | C | C | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |

- Legend:
- C Connector may be closed completely
  - S Shoulder closure permitted

REMARKS:

**DETOUR (Alternative 1)**  
 When the connector is closed, detour traffic to exit at Slauson Ave off-ramp; north on Sepulveda Blvd; east on Slauson Ave to the on-ramp to westbound Route 90. Place a portable changeable message sign on the right shoulder of northbound Route 405 by Centinela Ave UC with the message: "WEST 90 / EXIT / CLOSED – DETOUR / USE / SLAUSON." A minimum of 6 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure.

**DETOUR (Alternative 2)**  
 When the connector is closed, detour traffic to exit at Jefferson Blvd off-ramp; west on Jefferson Blvd; north on Centinela Ave to the on-ramp to westbound Route 90. Place a portable changeable message sign inside the connector closure with the message: "WEST 90 / EXIT / CLOSED – DETOUR / USE / JEFFERSON." A minimum of 8 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure.

The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress..

| <b>Chart No. 7</b>   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|----|---|---|---|---|---|---|---|---|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Complete Ramp Closure Hours</b>   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA   |    |   |   |   |   |   |   |   |   | Route/Direction: 405/North |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: La Tijera Blvd on-ramp   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|  | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9                          | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays  | C  | C | C | C | C | C | S | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  | C  |
| Fridays  | C  | C | C | C | C | C | S | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  | C  |
| Saturdays  | C  | C | C | C | C | C | C | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  | C  |
| Sundays  | C  | C | C | C | C | C | C | C | C | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Legend:  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C Ramp may be closed completely  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| When the ramp is closed, detour traffic south on La Tijera Blvd; west on Manchester Ave; north on Sepulveda Blvd; east on Howard Hughes Pkwy to the on-ramp to northbound Route 405. A minimum of 12 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure. |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| <b>Chart No. 8</b>  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|----|---|---|---|---|---|---|---|---|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Complete Ramp Closure Hours</b>  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA  |    |   |   |   |   |   |   |   |   | Route/Direction: 405/North |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Howard Hughes Pkwy off-ramp   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9                          | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays   | C  | C | C | C | C | C | S | S | S | C                          | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Fridays   | C  | C | C | C | C | C | S | S | S | C                          | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Saturdays   | C  | C | C | C | C | C | C | C | C | C                          | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |
| Sundays   | C  | C | C | C | C | C | C | C | C | C                          | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |
| Legend:   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C Ramp may be closed completely   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress. |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| <b>Chart No. 9</b>   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|----|---|---|---|---|---|---|---|---|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Complete Ramp Closure Hours</b>   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA   |    |   |   |   |   |   |   |   |   | Route/Direction: 405/North |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Howard Hughes Pkwy on-ramp   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|  | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9                          | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays  | C  | C | C | C | C | C | S | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  |
| Fridays  | C  | C | C | C | C | C | S | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  |
| Saturdays  | C  | C | C | C | C | C | C | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  |
| Sundays  | C  | C | C | C | C | C | C | C | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  |
| Legend:  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C Ramp may be closed completely  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| When the ramp is closed, detour traffic north on Sepulveda Blvd; west on Slauson Ave; west on Jefferson Blvd to the on-ramp to northbound Route 405. A minimum of 10 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure. |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| <b>Chart No. 10</b>                         |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|----|---|---|---|---|---|---|---|---|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Complete Ramp Closure Hours</b>          |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA                                  |    |   |   |   |   |   |   |   |   | Route/Direction: 405/South |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Howard Hughes Pkwy off-ramp |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR                           |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9                          | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays                   | C  | C | C | C | C | C | S | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  |
| Fridays                                     | C  | C | C | C | C | C | S | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  |
| Saturdays                                   | C  | C | C | C | C | C | C | S | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  |
| Sundays                                     | C  | C | C | C | C | C | C | C | S | S                          | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | S  | C  | C  |
| Legend:                                     |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C Ramp may be closed completely             |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted                |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:                                    |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| <b>Chart No. 11</b>   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|----|---|---|---|---|---|---|---|---|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Complete Ramp Closure Hours</b>  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA  |    |   |   |   |   |   |   |   |   | Route/Direction: 405/South |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Howard Hughes Pkwy on-ramp  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9                          | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays   | C  | C | C | C | C | C | S | S | S | C                          | C  | C  | C  | C  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  |    |
| Fridays   | C  | C | C | C | C | C | S | S | S | C                          | C  | C  | C  | S  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  |    |
| Saturdays   | C  | C | C | C | C | C | C | C | C | C                          | C  | C  | C  | S  | S  | S  | S  | S  | S  | C  | C  | C  | C  | C  |    |
| Sundays   | C  | C | C | C | C | C | C | C | C | C                          | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |    |
| Legend:   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C Ramp may be closed completely   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| When the ramp is closed, detour traffic west on Center Dr; north on Sepulveda Blvd; north on Centinela Ave; west on Mesmer Ave; north on Jefferson Blvd to the on-ramp to southbound Route 405. A minimum of 10 special freeway detour signs (SP-2), as shown on plans, shall be posted along the detour route and shall be removed at the end of each closure. |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress.   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| <b>Chart No. 12</b>                                 |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|----|---|---|---|---|---|---|---|---|----------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Complete Ramp Closure Hours</b>                  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| County: LA  |    |   |   |   |   |   |   |   |   | Route/Direction: 405/North |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Slauson Ave/Sepulveda Blvd off-ramp |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR                                   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9                          | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays                           | C  | C | C | C | C | C | S | S | S | C                          | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  |    |
| Fridays   | C  | C | C | C | C | C | S | S | S | C                          | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  |    |
| Saturdays   | C  | C | C | C | C | C | C | C | C | S                          | S  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  | C  | C  | C  |    |
| Sundays   | C  | C | C | C | C | C | C | C | C | C                          | C  | C  | C  | S  | S  | S  | C  | C  | C  | C  | C  | C  | C  | C  |    |
| Legend:   |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C Ramp may be closed completely                     |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S Shoulder closure permitted                        |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:  |    |   |   |   |   |   |   |   |   |                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

| Chart No. 13<br>Complete Ramp Closure Hours   |  |                               |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|--|-------------------------------|---|---|---|---|---|---|---|----------------------------|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| County: LA  |  |                               |   |   |   |   |   |   |   | Route/Direction: 405/North |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| Closure Limits: Jefferson Blvd off-ramp   |  |                               |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| FROM HOUR TO HOUR   |  | 24                            | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8                          | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| Mondays through Thursdays   |  | C                             | C | C | C | C | C | S | S | S                          | C | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Fridays   |  | C                             | C | C | C | C | C | S | S | S                          | C | C  | C  | C  | C  | C  | S  | S  | S  | S  | C  | C  | C  | C  | C  | C  |
| Saturdays   |  | C                             | C | C | C | C | C | C | C | C                          | C | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |
| Sundays   |  | C                             | C | C | C | C | C | C | C | C                          | C | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  | C  |
| Legend:   |  |                               |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| C   |  | Ramp may be closed completely |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| S   |  | Shoulder closure permitted    |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| REMARKS:  |  |                               |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress. |  |                               |   |   |   |   |   |   |   |                            |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

Erection and removal of falsework at locations where falsework openings are required shall be undertaken one location at a time. During falsework erection and removal, public traffic in the lanes over which falsework is being erected or removed shall be detoured or stopped as specified in this section, "Maintaining Traffic." Falsework erection shall include adjustments or removal of components that contribute to the horizontal stability of the falsework system. Falsework removal shall include lowering falsework, blowing sand from sand jacks, turning screws on screw jacks, and removing wedges.

The Contractor shall have necessary materials and equipment on the site to erect or remove falsework over any one opening before detouring or stopping public traffic.

**10-1.20 CLOSURE REQUIREMENTS AND CONDITIONS**

Closures shall conform to the provisions in "Maintaining Traffic" of these special provisions and these special provisions.

**CLOSURE SCHEDULE**

A schedule of planned closures for the next week period, defined as Friday noon through the following Friday noon, shall be submitted by noon each Monday. A written schedule shall be submitted not less than 25 days and not more than 125 days before the anticipated start of any operation that will:

1. Reduce horizontal clearances, traveled way, including shoulders, to two lanes or less due to such operations as temporary barrier placement and paving
2. Reduce the vertical clearances available to the public due to such operations as pavement overlay, overhead sign installation, or falsework or girder erection

The Closure Schedule shall show the locations and times of the proposed closures. The online Lane Closure System (LCS) at <http://lcs.dot.ca.gov> shall be used. Closure Schedules submitted to the Engineer with incomplete or inaccurate information will be rejected and returned for correction and resubmittal online. The Contractor will be notified of disapproved closures or closures that require coordination with other parties as a condition of approval. The Contractor shall contact the Engineer to schedule required LCS training 2 weeks before submitting the first lane closure request.

Closure Schedule amendments, including adding additional closures, shall be submitted online by noon to the Engineer, at least 3 business days in advance of a planned closure. Approval of Closure Schedule amendments will be at the discretion of the Engineer.

The Contractor shall cancel lane closure requests that are not needed at least 2 business days via the online system before the date of closure.

Closures that are cancelled due to unsuitable weather may be rescheduled at the discretion of the Engineer.

## CONTINGENCY PLAN

A detailed contingency plan shall be prepared for reopening closures to public traffic. If required by "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, the contingency plan shall be submitted to the Engineer before work at the job site begins. Otherwise, the contingency plan shall be submitted to the Engineer within one business day of the Engineer's request.

## LATE REOPENING OF CLOSURES

If a closure is not reopened to public traffic by the specified time, work shall be suspended in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. No further closures are to be made until the Engineer has accepted a work plan, submitted by the Contractor, that will insure that future closures will be reopened to public traffic at the specified time. The Engineer will have 2 business days to accept or reject the Contractor's proposed work plan. The Contractor will not be entitled to compensation for the suspension of work resulting from the late reopening of closures.

For each 10-minute interval, or fraction thereof past the time specified to reopen the closure (except for ramps and shoulders), the Department will deduct the amount per interval shown below from moneys due or that may become due the Contractor under the contract. Damages are limited to 5 percent of project cost per occurrence and will not be assessed when the Engineer requests that the closure remain in place beyond the scheduled pickup time.

| Type of Facility | Route | Period              | Damages/interval (\$) |
|------------------|-------|---------------------|-----------------------|
| Mainline         | 405   | 1st half hour       | \$1,200 / 10 minutes  |
|                  |       | 2nd half hour       | \$1,800 / 10 minutes  |
|                  |       | 2nd hour and beyond | \$2,400 / 10 minutes  |

## COMPENSATION

The Engineer shall be notified of delays in the Contractor's operations due to the following conditions, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of those conditions, and the Contractor's loss due to that delay could not have been avoided by rescheduling the affected closure or by judicious handling of forces, equipment and plant, the delay will be considered a right of way delay and will be compensated in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications:

1. The Contractor's proposed Closure Schedule is denied and his planned closures are within the time frame allowed for closures in "Maintaining Traffic" of these special provisions, except that the Contractor will not be entitled to compensation for amendments to the Closure Schedule that are not approved.
2. The Contractor is denied a confirmed closure.

Should the Engineer direct the Contractor to remove a closure before the time designated in the approved Closure Schedule, delay to the Contractor's schedule due to removal of the closure will be considered a right of way delay and compensation for the delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

### 10-1.21 IMPACT ATTENUATOR VEHICLE

#### GENERAL

##### Summary

Work includes protecting traffic and workers by using impact attenuator vehicle as a shadow vehicle when placing and removing components of a traffic control system, and when performing a moving lane closure.

Comply with Section 12-3.03, "Flashing Arrow Signs," of the Standard Specifications.

Impact attenuator vehicle must comply with the following test levels under National Cooperative Highway Research Program 350:

1. Test level 3 for pre-construction posted speed limit of 80 km/hr or more
2. Test levels 2 or 3 for pre-construction posted speed limit of 70 km/hr or less

Comply with the attenuator manufacturer's recommendations for:

1. Support truck
2. Trailer-mounted operation
3. Truck-mounted operation

### **Definitions**

**impact attenuator vehicle:** Support truck towing a deployed attenuator mounted to a trailer or support truck with a deployed attenuator mounted to the support truck.

### **Submittals**

Upon request, submit a Certificate of Compliance for attenuator to the Engineer under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### **Quality Control and Assurance**

Attenuator must be a brand listed on the Department's pre-approved list at:  
[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/HighwaySafe.htm](http://www.dot.ca.gov/hq/esc/approved_products_list/HighwaySafe.htm)

### **MATERIALS**

The combined mass of the support truck and the attenuator must be at least 9000 kg, except the mass of the support truck must not be less than 7300 kg or greater than 12000 kg.

If using the Trinity MPS-350 truck-mounted attenuator, the support truck must not have any underneath fuel tank mounted within 3.2 m of the rear of the support truck.

Each impact attenuator vehicle must:

1. Have standard brake lights, taillights, sidelights, and turn signals
2. Have an inverted "V" chevron pattern placed across the entire rear of the attenuator composed of alternating 100 mm wide non-reflective black stripes and 100 mm wide yellow retroreflective stripes sloping at 45 degrees
3. Have a Type II flashing arrow sign
4. Have a flashing or rotating amber light
5. Have an operable 2-way communication system for maintaining contact with workers

### **CONSTRUCTION**

Use impact attenuator vehicle to follow behind equipment and workers who are placing and removing components of a traffic control system for a lane closure or a ramp closure. Flashing arrow sign must be operating in arrow mode during this activity. Follow at a distance to prevent intrusion into the workspace from passing traffic.

After placing components of a traffic control system for a lane closure or a ramp closure you may use impact attenuator vehicle in a closed lane and in advance of a work area to protect traffic and workers.

Use impact attenuator vehicle as a shadow vehicle under traffic control for a moving lane closure.

Secure objects including equipment, tools and ballast on impact attenuator vehicle to prevent loosening upon impact by an errant vehicle.

Do not use a damaged attenuator in the work. Replace, at your expense, an attenuator damaged from an impact during work.

### **MEASUREMENT AND PAYMENT**

Full compensation for furnishing and operating impact attenuator vehicle is included in the contract lump sum price paid for traffic control system, and no additional compensation will be allowed therefor.

### **10-1.22 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE**

A traffic control system shall consist of closing traffic lanes and ramps in conformance with the details shown on the plans, the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, the provisions under "Maintaining Traffic" and "Construction Area Signs" of these special provisions, and these special provisions.

The provisions in this section will not relieve the Contractor of responsibility for providing additional devices or taking measures as may be necessary to comply with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

During traffic stripe operations and pavement marker placement operations using bituminous adhesive, traffic shall be controlled, at the option of the Contractor, with either stationary or moving lane closures. During other operations, traffic shall be controlled with stationary lane closures. Attention is directed to the provisions in Section 84-1.04, "Protection From Damage," and Section 85-1.06, "Placement," of the Standard Specifications.

If components in the traffic control system are displaced or cease to operate or function as specified, from any cause, during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location.

### **STATIONARY LANE CLOSURE**

When lane and ramp closures are made for work periods only, at the end of each work period, components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, shall be removed from the traveled way and shoulder. If the Contractor so elects, the components may be stored at selected central locations, designated by the Engineer within the limits of the highway right of way.

Each vehicle used to place, maintain and remove components of a traffic control system on multilane highways shall be equipped with a Type II flashing arrow sign which shall be in operation when the vehicle is being used for placing, maintaining or removing the components. Vehicles equipped with Type II flashing arrow sign not involved in placing, maintaining or removing the components when operated within a stationary type lane closure shall only display the caution display mode. The sign shall be controllable by the operator of the vehicle while the vehicle is in motion. The flashing arrow sign shown on the plans shall not be used on the vehicles which are doing the placing, maintaining and removing of components of a traffic control system and shall be in place before a lane closure requiring the sign's use is completed.

The 500-m section of a lane closure, shown along lane lines between the 300-m lane closure tapers on the plans entitled "Traffic Control System for Lane Closures on Freeways and Expressways" and "Traffic Control System for Lane and Complete Closures on Freeways and Expressways" shall not be used.

### **MOVING LANE CLOSURE**

Flashing arrow signs used in moving lane closures shall be truck-mounted. Changeable message signs used in moving lane closure operations shall conform to the provisions in Section 12-3.12, "Portable Changeable Message Signs," of the Standard Specifications, except the signs shall be truck-mounted and the full operation height of the bottom of the sign may be less than 2.1 m above the ground, but should be as high as practicable.

Truck-mounted attenuators (TMA) for use in moving lane closures shall be any of the following approved models, or equal:

1. Hexfoam TMA Series 3000, Alpha 1000 TMA Series 1000, and Alpha 2001 TMA Series 2001, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:
  - 1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
  - 1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501
2. Cal T-001 Model 2 or Model 3, manufacturer and distributor: Hexcel Corporation, 11711 Dublin Boulevard, P.O. Box 2312, Dublin, CA 94568, telephone (925) 551-4900
3. Renco Rengard Model Nos. CAM 8-815 and RAM 8-815, manufacturer and distributor: Renco Inc., 1582 Pflugerville Loop Road, P.O. Box 730, Pflugerville, TX 78660-0730, telephone (800) 654-8182

Each TMA shall be individually identified with the manufacturer's name, address, TMA model number, and a specific serial number. The names and numbers shall each be a minimum 13 mm high and located on the left (street) side at the lower front corner. The TMA shall have a message next to the name and model number in 13 mm high letters which states, "The bottom of this TMA shall be \_\_\_\_\_ mm ± \_\_\_\_\_ mm above the ground at all points for proper impact performance." Any TMA which is damaged or appears to be in poor condition shall not be used unless recertified by the manufacturer. The Engineer shall be the sole judge as to whether used TMAs supplied under this contract need recertification. Each unit shall be certified by the manufacturer to meet the requirements for TMA in conformance with the standards established by the Transportation Laboratory.

Approvals for new TMA designs proposed as equal to the above approved models shall be in conformance with the procedures (including crash testing) established by the Transportation Laboratory. For information regarding submittal of new designs for evaluation contact: Transportation Laboratory, 5900 Folsom Boulevard, Sacramento, California 95819.

New TMAs proposed as equal to approved TMAs or approved TMAs determined by the Engineer to need recertification shall not be used until approved or recertified by the Transportation Laboratory.

## **PAYMENT**

The contract lump sum price paid for traffic control system shall include full compensation for furnishing all labor, materials (including signs), tools, equipment, and incidentals, and for doing all the work involved in placing, removing, storing, maintaining, moving to new locations, replacing and disposing of the components of the traffic control system shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The adjustment provisions in Section 4-1.03, "Changes," of the Standard Specifications shall not apply to the item of traffic control system. Adjustments in compensation for traffic control system will be made only for increased or decreased traffic control system required by changes ordered by the Engineer and will be made on the basis of the cost of the increased or decreased traffic control necessary. The adjustment will be made on a force account basis as provided in Section 9-1.03, "Force Account Payment," of the Standard Specifications for increased work and estimated on the same basis in the case of decreased work.

Traffic control system required by work which is classed as extra work, as provided in Section 4-1.03D of the Standard Specifications, will be paid for as a part of the extra work.

## **10-1.23 TEMPORARY PAVEMENT DELINEATION**

Temporary pavement delineation shall be furnished, placed, maintained, and removed in conformance with the provisions in Section 12-3.01, "General," of the Standard Specifications and these special provisions. Nothing in these special provisions shall be construed as reducing the minimum standards specified in the California MUTCD or as relieving the Contractor from the responsibilities specified in Section 7-1.09, "Public Safety," of the Standard Specifications.

## **GENERAL**

When the work causes obliteration of pavement delineation, temporary or permanent pavement delineation shall be in place before opening the traveled way to public traffic. Laneline or centerline pavement delineation shall be provided for traveled ways open to public traffic. On multilane roadways (freeways and expressways) edgeline delineation shall be provided for traveled ways open to public traffic.

The Contractor shall perform the work necessary to establish the alignment of temporary pavement delineation, including required lines or markers. Surfaces to receive application of paint or removable traffic tape temporary pavement delineation shall be dry and free of dirt and loose material. Temporary pavement delineation shall not be applied over existing pavement delineation or other temporary pavement delineation. Temporary pavement delineation shall be maintained until superseded or replaced with a new pattern of temporary pavement delineation or permanent pavement delineation, or as determined by the Engineer.

Temporary pavement markers, including underlying adhesive, and removable traffic tape that are applied to the final layer of surfacing or existing pavement to remain in place or that conflicts with a subsequent or new traffic pattern for the area shall be removed when no longer required for the direction of public traffic, as determined by the Engineer.

## **TEMPORARY LANELINE AND CENTERLINE DELINEATION**

When lanelines or centerlines are obliterated and temporary pavement delineation to replace the lines is not shown on the plans, the minimum laneline and centerline delineation to be provided for that area shall be temporary pavement markers placed at longitudinal intervals of not more than 7.3 m. The temporary pavement markers shall be the same color as the laneline or centerline the pavement markers replace. Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers listed for short term day/night use (14 days or less) or long term day/night use (180 days or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. The temporary pavement markers shall be placed in conformance with the manufacturer's instructions. Temporary pavement markers for long term day/night use (180 days or less) shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used to place the temporary pavement markers in areas where removal of the temporary pavement markers will be required.

Temporary laneline or centerline delineation consisting entirely of temporary pavement markers listed for short term day/night use (14 days or less), shall be placed on longitudinal intervals of not more than 7.3 m and shall be used for a maximum of 14 days on lanes opened to public traffic. Before the end of the 14 days the permanent pavement delineation shall be placed. If the permanent pavement delineation is not placed within the 14 days, the Contractor shall replace the temporary pavement markers and provide additional temporary pavement delineation and shall bear the cost thereof. The additional temporary pavement delineation to be provided shall be equivalent to the pattern specified for the permanent pavement delineation for the area, as determined by the Engineer.

#### **TEMPORARY EDGELINE DELINEATION**

On multilane roadways (freeways and expressways), when edgelines are obliterated and temporary pavement delineation to replace those edgelines is not shown on the plans, the edgeline delineation to be provided for those areas adjacent to lanes open to public traffic shall be as follows:

1. Temporary pavement delineation for right edgelines shall, at the option of the Contractor, consist of either a solid 100-mm wide traffic stripe tape of the same color as the stripe it replaces, traffic cones, portable delineators or channelizers placed at longitudinal intervals not to exceed 30 m.
2. Temporary pavement delineation for left edgelines shall, at the option of the Contractor, consist of either solid 100-mm wide traffic stripe tape of the same color as the stripe it replaces, traffic cones, portable delineators or channelizers placed at longitudinal intervals not to exceed 30 m or temporary pavement markers placed at longitudinal intervals of not more than 1.8 m.

Where removal of the 100-mm wide traffic stripe will not be required, painted traffic stripe conforming to the provisions of "Temporary Traffic Stripe (Paint)" of these special provisions may be used.

The lateral offset for traffic cones, portable delineators or channelizers used for temporary edgeline delineation shall be as determined by the Engineer. If traffic cones or portable delineators are used as temporary pavement delineation for edgelines, the Contractor shall provide personnel to remain at the project site to maintain the cones or delineators during the hours of the day that the portable delineators are in use.

Channelizers used for temporary edgeline delineation shall be the surface mounted type and shall be orange in color. Channelizer bases shall be cemented to the pavement in the same manner provided for cementing pavement markers to pavement in "Pavement Markers" of these special provisions, except epoxy adhesive shall not be used to place channelizers on the top layer of pavement. Channelizers shall be, at the Contractor's option, one of the surface mount types (900 mm) listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary edgeline delineation shall be removed when no longer required for the direction of public traffic as determined by the Engineer.

#### **TEMPORARY TRAFFIC STRIPE (PAINT)**

The painted temporary traffic stripe shall be complete in place at the location shown before opening the traveled way to public traffic. Removal of painted temporary traffic stripe will not be required.

Temporary painted traffic stripe shall conform to the provisions in "Paint Traffic Stripe and Pavement Marking" of these special provisions, except for payment. At the option of the Contractor, either one or 2 coats shall be applied regardless of whether on new or existing pavement.

#### **TEMPORARY PAVEMENT MARKING (PAINT)**

Temporary pavement marking consisting of painted pavement marking shall be applied and maintained at the locations shown on the plans. The painted temporary pavement marking shall be complete in place at the location shown before opening the traveled way to public traffic. Removal of painted temporary pavement marking will not be required.

Temporary painted pavement marking shall conform to the provisions in "Paint Traffic Stripe and Pavement Marking" of these special provisions, except for payment. At the option of the Contractor, either one or 2 coats shall be applied regardless whether on new or existing pavement.

At the Contractor's option, temporary removable pavement marking tape or permanent pavement marking tape listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be used instead of painted temporary pavement markings. When pavement marking tape is used, regardless of which type of tape is placed, the tape will be measured and paid for by the square meter as temporary pavement marking (paint).

## **TEMPORARY PAVEMENT MARKERS**

Temporary pavement markers shall be applied complete in place before opening the traveled way to public traffic.

Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers for long term day/night use (180 days or less) listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary pavement markers shall be placed in conformance with the manufacturer's instructions and shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used in areas where removal of the pavement markers will be required.

Retroreflective pavement markers conforming to the provisions in "Pavement Markers" of these special provisions may be used in place of temporary pavement markers for long term day/night use (180 days or less) except to simulate patterns of broken traffic stripe. Placement of the retroreflective pavement markers used for temporary pavement markers shall conform to the provisions in "Pavement Markers" of these special provisions except the waiting period provisions before placing the pavement markers on new hot mix asphalt surfacing as specified in Section 85-1.06, "Placement," of the Standard Specifications shall not apply and epoxy adhesive shall not be used to place pavement markers in areas where removal of the pavement markers will be required.

## **MEASUREMENT AND PAYMENT**

Temporary traffic stripe and temporary pavement marking shown on the plans will be measured and paid for in the same manner specified for paint traffic stripe and paint pavement marking in Section 84-3.06, "Measurement," and Section 84-3.07, "Payment," of the Standard Specifications.

Temporary pavement markers shown on the plans will be measured and paid for by the unit in the same manner specified for retroreflective pavement markers in Section 85-1.08, "Measurement," and Section 85-1.09, "Payment," of the Standard Specifications.

Full compensation for furnishing, placing, maintaining, and removing the temporary pavement markers (including underlying adhesive, layout (dribble) lines to establish alignment of temporary pavement markers or used for temporary laneline and centerline delineation ) for those areas where temporary laneline and centerline delineation is not shown on the plans and for providing equivalent patterns of permanent traffic lines for those areas when required, shall be considered as included in the contract prices paid for the items of work that obliterated the laneline and centerline pavement delineation and no separate payment will be made therefor.

Full compensation for furnishing, placing, maintaining, and removing temporary edgeline delineation not shown on the plans shall be considered as included in the contract prices paid for the items of work that obliterated the edgeline pavement delineation and no separate payment will be made therefor. The quantity of channelizers used as temporary edgeline delineation will not be included in the quantity of channelizer (surface mounted) to be paid for.

### **10-1.24 BARRICADE**

Barricades shall be furnished, placed and maintained at the locations shown on the plans, specified in the Standard Specifications or in these special provisions or where designated by the Engineer. Barricades shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Prequalified and Tested Signing and Delineation Materials" of these special provisions regarding retroreflective sheeting for barricades.

Construction area sign and marker panels conforming to the provisions in Section 12-3.06, "Construction Area Signs," of the Standard Specifications shall be installed on barricades in a manner determined by the Engineer at the locations shown on the plans.

Sign panels for construction area signs and marker panels installed on barricades shall conform to the provisions in Section 12-3.06A, "Stationary Mounted Signs," of the Standard Specifications.

Full compensation for furnishing, installing, maintaining, and removing construction area signs and marker panels on barricades shall be considered as included in the contract lump sum price paid for traffic control system and no separate payment will be made therefor.

Barricades shown on the plans as part of a traffic control system will be paid for as provided in "Traffic Control System for Lane Closure" of these special provisions and will not be included in the count for payment of barricades.

### **10-1.25 PORTABLE CHANGEABLE MESSAGE SIGNS**

#### **GENERAL**

##### **Summary**

Work includes furnishing, placing, operating, maintaining, and removing portable changeable message signs. Comply with Section 12-3.12 "Portable Changeable Message Signs," of the Standard Specifications.

## **Definitions**

**useable shoulder area:** Paved or unpaved contiguous surface adjacent to the traveled way with:

1. Sufficient weight bearing capacity to support portable changeable message sign
2. Slope not greater than 6:1 (horizontal:vertical)

## **Submittals**

Upon request, submit a Certificate of Compliance for each portable changeable message sign under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

## **Quality Control and Assurance**

Comply with the manufacturer's operating instructions for portable changeable message sign.

Approaching drivers must be able to read the entire message for all phases at least twice at the posted speed limit before passing portable changeable message sign. You may use more than 1 portable changeable message sign to meet this requirement.

Only display the message shown on the plans or ordered by the Engineer or specified in these special provisions.

## **MATERIALS**

Portable changeable message sign must have 24-hour timer control or remote control capability.

The text of the message displayed on portable changeable message sign must not scroll, or travel horizontally or vertically across the face of the message panel.

## **CONSTRUCTION**

Continuously repeat the entire message in no more than 2 phases of at least 3 seconds per phase.

If useable shoulder area is at least 4.6 m wide, the displayed message on portable changeable message sign must be minimum 450 mm character height. If useable shoulder area is less than 4.6 m, you may use a smaller message panel with minimum 450 mm character height to prevent encroachment in the traveled way.

You or your representative must be available by cell phone for operations that require portable changeable message signs. Give the Engineer your cell phone number. When the Engineer contacts you, immediately comply with the Engineer's request to modify the displayed message.

Start displaying the message on portable changeable message sign 5 minutes before closing the lane.

Place portable changeable message sign as far from the traveled way as practicable where it is legible to traffic and does not encroach on the traveled way. Place portable changeable sign before or at the crest of vertical roadway curvature where it is visible to approaching traffic. Avoid placing portable changeable message sign within or immediately after horizontal roadway curvature. Where possible, place portable changeable message sign behind guardrail or temporary railing (Type K).

Except where placed behind guardrail or temporary railing (Type K) use traffic control for shoulder closure to delineate portable changeable message sign.

Remove portable changeable message sign when not in use.

## **MEASUREMENT AND PAYMENT**

Full compensation for portable changeable message signs, including furnishing, placing, operating, modifying messages, maintaining, transporting from location to location, removing, and repairing or replacing defective or damaged portable changeable message signs is included in the contract lump sum price paid for traffic control system and no separate payment will be made therefor.

### **10-1.26 TEMPORARY RAILING**

Temporary railing (Type K) shall be placed as shown on the plans, as specified in the Standard Specifications or these special provisions or where ordered by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Reflectors on temporary railing (Type K) shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary railing (Type K) placed in conformance with the provisions in "Public Safety" of these special provisions will be neither measured nor paid for.

Temporary terminal section (Type K) for connecting temporary railing (Type K) to Type 50 concrete barrier shall consist of either new or undamaged used precast units, as shown on the plans. Fabricating, placing, painting, and removing the units shall conform to the provisions specified for temporary railing (Type K).

Closure plate for the temporary terminal section (Type K) shall be of a good commercial quality steel shaped to conform to cross section of the barriers. Mechanical expansion anchors for connecting closure plate to railings shall conform to the provisions specified for concrete anchorage devices in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Temporary terminal section (Type K) will be measured by the unit from actual count in place.

The contract unit price paid for temporary terminal section (Type K) shall include full compensation for furnishing all labor, materials (including reinforcement and concrete anchorage devices), tools, equipment, and incidentals, and for doing all the work involved in furnishing, placing, maintaining, repairing, replacing, and removing temporary terminal section (Type K), complete in place, including excavation, backfill, grout and concrete, and connecting to concrete barrier, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.27 RELOCATE TEMPORARY RAILING (TYPE K)**

Temporary railing (Type K) shall be relocated as shown on the plans, as specified in the Standard Specifications or these special provisions or where ordered by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Reflectors on temporary railing (Type K) shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

The contract price paid per meter for relocate temporary railing (Type K) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in relocate temporary railing (Type K), complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.28 CHANNELIZER**

Channelizers shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Channelizers shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

When no longer required for the work as determined by the Engineer, channelizers and underlying adhesive used to cement the channelizer bases to the pavement shall be removed. Removed channelizers and adhesive shall become the property of the Contractor and shall be removed from the site of work.

#### **10-1.29 TEMPORARY TRAFFIC SCREEN**

Temporary traffic screen shall be furnished, installed, and maintained on top of temporary railing (Type K) at the locations designated on the plans, specified in the special provisions or directed by the Engineer and shall conform to the provisions specified for traffic handling equipment and devices in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Temporary traffic screen panels shall be new or used CDX Grade, or better, plywood or weather resistant strandboard mounted and anchored on temporary railing (Type K). Wale boards shall be new or used Douglas fir, rough sawn, Construction Grade, or better. Pipe screen supports shall be new or used galvanized steel pipe, Schedule 40. Nuts, bolts, and washers shall be cadmium plated. Screws shall be black or cadmium plated flat head, cross slotted screws with full thread length.

When no longer required, as determined by the Engineer, temporary traffic screen shall be removed from the site of the work and shall become the property of the Contractor.

#### **10-1.30 DETOUR SIGNS**

Detour signs shall be furnished, installed, maintained and removed when no longer required at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, "Construction Area Signs" of these special provisions and these special provisions.

Detour signs will be measured by the unit from actual count regardless of post requirements or mounting method. One or more sign panels mounted on a sign post installation will be counted as one unit. Each sign overlay will be measured as a detour sign.

The contract unit price paid for detour sign shall include full compensation for furnishing all labor, materials (including sign panels and overlays), tools, equipment and incidentals, and for doing all the work involved in placing, maintaining and removing detour signs, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.31 TEMPORARY CRASH CUSHION (TYPE ADIEM)**

Crash cushion shall be furnished and installed as shown on the plans and in conformance with the provisions in the Standard Specifications and these special provisions.

Crash cushion shall be an ADIEM-350 as manufactured by Trinity Industries, Inc., and shall include the items detailed for crash cushion shown on the plans.

The successful bidder can obtain the crash cushion from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, Utah 84014, telephone 1-800-772-7976.

The price quoted by the manufacturer for ADIEM-350, FOB Centerville, Utah is \$13,425, not including sales tax.

The above price will be firm for orders placed on or before July 31, 2011, provided delivery is accepted within 90 days after the order is placed.

The Contractor shall furnish the Engineer one copy of the manufacturer's plan and parts list.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that the crash cushion conforms to the contract plans and specifications, conforms to the prequalified design and material requirements, and was manufactured in conformance with the approved quality control program.

Crash cushion shall be installed in conformance with the manufacturer's installation instructions.

Surplus excavated material remaining after the crash cushion has been installed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

Repairing crash cushion (TYPE ADIEM) damaged by public traffic will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. Temporary crash cushion (TYPE ADIEM) damaged beyond repair by public traffic, when ordered by the Engineer, shall be removed and replaced immediately by the Contractor.

Temporary crash cushion (TYPE ADIEM) will be measured by the unit as determined from actual count in place.

The contract unit price paid for temporary crash cushion (TYPE ADIEM) shall include full compensation for furnishing all labor, materials (including anchor bolts, nuts, washers, and marker panels), tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing the ADIEM type crash cushion, complete in place, including structure excavation, structure backfill, and disposing of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.32 TEMPORARY CRASH CUSHION MODULE**

This work shall consist of furnishing, installing, and maintaining sand filled temporary crash cushion modules in groupings or arrays at each location shown on the plans, as specified in these special provisions or where designated by the Engineer. The grouping or array of sand filled modules shall form a complete sand filled temporary crash cushion in conformance with the details shown on the plans and these special provisions.

Attention is directed to "Public Safety" and "Temporary Railing" of these special provisions.

Temporary crash cushions shall be secured in place prior to commencing work for which the temporary crash cushions are required.

Whenever the work or the Contractor's operations establishes a fixed obstacle, the exposed fixed obstacle shall be protected with a sand filled temporary crash cushion. The sand filled temporary crash cushion shall be in place prior to opening the lanes adjacent to the fixed obstacle to public traffic.

Sand filled temporary crash cushions shall be maintained in place at each location, including times when work is not actively in progress. Sand filled temporary crash cushions may be removed during a work period for access to the work provided that the exposed fixed obstacle is 4.6 m or more from a lane carrying public traffic and the temporary crash cushion is reset to protect the obstacle prior to the end of the work period in which the fixed obstacle was exposed. When no longer required, as determined by the Engineer, sand filled temporary crash cushions shall be removed from the site of the work.

At the Contractor's option, the modules for use in sand filled temporary crash cushions shall be either Energite III Inertial Modules, Fitch Inertial Modules or Traffix Sand Barrels manufactured after March 31, 1997, or equal:

1. Energite III and Fitch Inertial Modules, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:
  - 1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
  - 1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501
2. Traffix Sand Barrels, manufactured by Traffix Devices, Inc., 220 Calle Pintoresco, San Clemente, CA 92672, telephone (949) 361-5663, FAX (949) 361-9205
  - 2.1. Northern California: United Rentals, Inc., 1533 Berger Drive, San Jose, CA 95112, telephone (408) 287-4303, FAX (408) 287-1929
  - 2.2. Southern California: Statewide Safety & Sign, Inc., P.O. Box 1440, Pismo Beach, CA 93448, telephone (800) 559-7080, FAX (805) 929-5786

Modules contained in each temporary crash cushion shall be of the same type at each location. The color of the modules shall be the standard yellow color, as furnished by the vendor, with black lids. The modules shall exhibit good workmanship free from structural flaws and objectionable surface defects. The modules need not be new. Good used undamaged modules conforming to color and quality of the types specified herein may be utilized. If used Fitch modules requiring a seal are furnished, the top edge of the seal shall be securely fastened to the wall of the module by a continuous strip of heavy duty tape.

Modules shall be filled with sand in conformance with the manufacturer's directions, and to the sand capacity in kilograms for each module shown on the plans. Sand for filling the modules shall be clean washed concrete sand of commercial quality. At the time of placing in the modules, the sand shall contain not more than 7 percent water as determined by California Test 226.

Modules damaged due to the Contractor's operations shall be repaired immediately by the Contractor at the Contractor's expense. Modules damaged beyond repair, as determined by the Engineer, due to the Contractor's operations shall be removed and replaced by the Contractor at the Contractor's expense.

Temporary crash cushion modules may be placed on movable pallets or frames. Comply with dimensions shown on the plans. The pallets or frames shall provide a full bearing base beneath the modules. The modules and supporting pallets or frames shall not be moved by sliding or skidding along the pavement or bridge deck.

A Type R or P marker panel shall be attached to the front of the crash cushion as shown on the plans, when the closest point of the crash cushion array is within 3.6 m of the traveled way. The marker panel, when required, shall be firmly fastened to the crash cushion with commercial quality hardware or by other methods determined by the Engineer.

At the completion of the project, temporary crash cushion modules, sand filling, pallets or frames, and marker panels shall become the property of the Contractor and shall be removed from the site of the work. Temporary crash cushion modules shall not be installed in the permanent work.

Temporary crash cushion modules will be measured by the unit as determined from the actual count of modules used in the work or ordered by the Engineer at each location. Temporary crash cushion modules placed in conformance with the provisions in "Public Safety" of these special provisions and modules placed in excess of the number specified or shown will not be measured nor paid for.

Repairing modules damaged by public traffic will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. Modules damaged beyond repair by public traffic, when ordered by the Engineer, shall be removed and replaced immediately by the Contractor. Modules replaced due to damage by public traffic will be measured and paid for as temporary crash cushion module.

If the Engineer orders a lateral move of the sand filled temporary crash cushions and the repositioning is not shown on the plans, moving the sand filled temporary crash cushion will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications and these temporary crash cushion modules will not be counted for payment in the new position.

The contract unit price paid for temporary crash cushion module shall include full compensation for furnishing all labor, materials (including sand, pallets or frames and marker panels), tools, equipment, and incidentals, and for doing all the work involved in furnishing, installing, maintaining, moving, and resetting during a work period for access to the work, and removing from the site of the work when no longer required (including those damaged by public traffic) sand filled temporary crash cushion modules, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.33 REMOVE YELLOW TRAFFIC STRIPE AND PAVEMENT MARKING (HAZARDOUS WASTE)

### GENERAL

#### Summary

This work includes removing existing yellow thermoplastic and yellow painted traffic stripe and pavement marking at the locations shown on the plans. The residue from the removal of this material is a hazardous waste.

Residue from removal of yellow thermoplastic and yellow painted traffic stripe and pavement marking contains lead chromate. The average lead concentration is greater than or equal to 1000 mg/kg total lead or 5 mg/l soluble lead. When applied to the roadway, the yellow thermoplastic and yellow painted traffic stripe and pavement marking contained as much as 2.6 percent lead. Residue produced from the removal of this yellow thermoplastic and yellow painted traffic stripe and pavement marking contains heavy metals in concentrations that exceed thresholds established by the Health and Safety Code and 22 CA Code of Regs. For bidding purposes, assume that the residue is not regulated under the Federal Resource Conservation and Recovery Act (RCRA), 42 USC § 6901 et seq.. Yellow thermoplastic and yellow paint may produce toxic fumes when heated.

#### Submittals

**Lead Compliance Plan:** Prepare and submit a lead compliance plan to prevent or minimize worker exposure to lead while managing and handling traffic stripe residue, and pavement marking residue containing lead. Regulations containing specific Cal/OSHA requirements when working with lead include 8 CA Code of Regs § 1532.1.

The plan must contain the items listed in 8 CA Code of Regs § 1532.1(e)(2)(B). Before submittal, a CIH must sign and seal the plan. Submit the plan at least 7 days before starting any activity that presents the potential for lead exposure. The Engineer notifies you of the acceptability of the plan within 4 business days of receipt.

Before starting any activity that presents the potential for lead exposure to employees who have no prior training, including State employees, provide a safety training program to these employees that complies with 8 CA Code of Regs § 1532.1 and your lead compliance program.

Submit copies of air monitoring or job site inspection reports made by or under the direction of the CIH under 8 CA Code of Regs § 1532.1 within 10 days after the date of monitoring or inspection.

Supply personal protective equipment, training, and washing facilities required by your lead compliance plan for 5 State employees.

**Work Plan:** Submit a work plan for the removal, containment, storage, and disposal of yellow thermoplastic and yellow painted traffic stripe and pavement marking for acceptance not less than 15 days prior to the start of the removal operations. The work plan must include:

1. Objective of the operation
2. Removal equipment
3. Type of hazardous waste storage containers
4. Container storage location and how it will be secured
5. Hazardous waste sampling protocol and QA/QC requirements and procedures
6. Qualifications of sampling personnel
7. Analytical lab that will perform the analyses
8. Certification documentation of the hazardous waste hauler that will transport the hazardous waste
9. Disposal site that will accept the hazardous waste residue

The Engineer will review the work plan within 5 business days of receipt.

Do not perform work that generates hazardous waste residue until the work plan has been accepted by the Engineer. The Engineer's review and acceptance does not waive any contract requirements and does not relieve the Contractor from complying with Federal, State, and local laws, regulations, and requirements.

Correct any rejected work plan and resubmit a corrected work plan within 5 business days of notification by the Engineer; at which time a new review period of 5 business days will begin.

**Analytical Test Results:** Submit analytical test results of the residue from removal of yellow thermoplastic and yellow painted traffic stripe and pavement marking, including chain of custody documentation, for review and acceptance before:

1. Requesting the Engineer's signature on the waste profile requested by the disposal facility
2. Requesting the Engineer obtain an EPA ID no. for disposal
3. Removing the residue from the site

**United States Environmental Protection Agency Identification Number Request:** Submit a request for the U.S. EPA ID no. when the Engineer accepts analytical test results documenting that residue from removal of yellow thermoplastic and yellow painted traffic stripe and pavement marking is a hazardous waste.

**Disposal Documentation:** Submit receiving landfill documentation of proper disposal within 5 business days of residue transport from the project.

## CONSTRUCTION

Where grinding or other approved methods are used to remove yellow thermoplastic and yellow painted traffic stripe and pavement marking that will produce a hazardous waste residue, the removed residue, including dust, must be contained and collected immediately. Use a HEPA filter-equipped vacuum attachment operated concurrently with the removal operations or other equally effective approved methods for collection of the residue.

Store hazardous waste residue in labeled and covered containers. Labels must comply with the provisions of 22 CA Code of Regs §§66262.31 and 66262.32. Mark labels with:

1. Date the hazardous waste is generated
2. The words "Hazardous Waste"
3. Composition and physical state of the hazardous waste (for example, asphalt grindings with thermoplastic or paint)
4. The word "Toxic"
5. Name, address, and telephone no. of the Engineer
6. Contract no.
7. Contractor or subcontractor name

Use metal containers approved by the U.S. Department of Transportation for the transportation and temporary storage of the removed residue. Handle the containers such that no spillage occurs. Store containers in a secured enclosure. Acceptable secure enclosures include a locked chain link fenced area or a lockable shipping container located within the project limits until disposal as approved.

Make necessary arrangements to test the yellow thermoplastic and yellow paint hazardous waste residue as required by the disposal facility and these special provisions. Testing must include, at a minimum:

1. Total lead by EPA Method 6010C
2. Total chromium by US EPA Method 7000 series
3. Soluble lead by California Waste Extraction Test
4. Soluble chromium by California Waste Extraction Test
5. Soluble lead by Toxicity Characteristic Leaching Procedure
6. Soluble chromium by Toxicity Characteristic Leaching Procedure

From the 1st 840 L of hazardous waste or portion thereof if less than 840 L of hazardous waste are produced, a minimum of 4 randomly selected samples must be taken and analyzed individually. Samples must not be composited. From each additional 3360 L of hazardous waste or portion thereof if less than 3360 L are produced, a minimum of 1 additional random sample must be taken and analyzed. Use chain of custody procedures consistent with Chapter 9 of U.S. EPA Test Methods for Evaluating Solid Waste, Physical/Chemical Methods (SW-846) while transporting samples from the project to the laboratory. Each sample must be homogenized before analysis by the laboratory performing the analyses. A sample aliquot sufficient to cover the amount necessary for the total and the soluble analyses must then be taken. This aliquot must be homogenized a 2nd time and the total and soluble analyses run on this aliquot. The homogenization process must not include grinding of the samples. Submit the name and location of the disposal facility that will be accepting the hazardous waste and the analytical laboratory along with the testing requirements not less than 5 business days before the start of removal of yellow thermoplastic and yellow painted traffic stripe and pavement marking. The analytical laboratory must be certified by the CA Department of Public Health Environmental Laboratory Accreditation Program for all analyses to be performed.

After the Engineer accepts the analytical test results, dispose of yellow thermoplastic and yellow paint hazardous waste residue at a Class 1 disposal facility located in CA under the requirements of the disposal facility operator within 30 days after accumulating 100 kg of residue and dust.

If less than 100 kg of hazardous waste residue and dust is generated in total, it must be disposed of within 90 days after the start of accumulation of the residue and dust.

Use a hazardous waste manifest and a transporter registered with the CA Department of Toxic Substance Control. The Engineer will obtain the U.S. EPA ID no. and will sign all manifests as the generator within 2 business days of receiving and accepting the analytical test results and receiving your request for the U.S. EPA ID no.

If analytical test results demonstrate that the residue is a non-hazardous waste and the Engineer agrees, dispose of the residue at an appropriately permitted Class II or Class III facility under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

### **MEASUREMENT AND PAYMENT**

The contract price paid per meter for remove yellow thermoplastic traffic stripe and remove yellow painted traffic stripe or per square meter for remove yellow thermoplastic pavement marking and remove yellow painted pavement marking includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all of the work involved in removal, containment, storage, and disposal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for lead compliance plan includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in preparing and implementing the plan as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for (1) work plan for the removal, containment, storage, and disposal of yellow thermoplastic and yellow painted traffic stripe and pavement marking hazardous waste residue, (2) analytical test results, (3) US EPA ID no. request, and (4) receiving landfill documentation of proper disposal are included in the contract prices paid per meter for remove yellow thermoplastic traffic stripe and remove yellow painted traffic stripe or per square meter for remove yellow thermoplastic pavement marking and remove yellow painted pavement marking and no separate payment will be made therefor.

If analytical test results demonstrate that the residue is a non-hazardous waste and the Engineer agrees to disposal at a non-hazardous waste disposal facility, no cost adjustment will be made.

### **10-1.34 TREATED WOOD WASTE**

#### **GENERAL**

##### **Summary**

This work includes handling, storing, transporting, and disposing of treated wood waste.

Wood removed from metal beam guard railing and roadside sign is treated with one or more of the following:

1. Creosote
2. Pentachlorophenol
3. Copper azole
4. Copper boron azole
5. Chromated copper arsenate
6. Ammoniacal copper zinc arsenate
7. Copper naphthenate
8. Alkaline copper quaternary

Manage treated wood waste under Title 22 CA Code of Regulations, Division 4.5, Chapter 34.

##### **Submittals**

For disposal of treated wood waste submit a copy of each completed shipping record and weight receipt to the Engineer within 5 business days of disposal.

#### **CONSTRUCTION**

Provide training to personnel who handle treated wood waste or may come in contact with treated wood waste that includes:

1. All applicable requirements of Title 8 CA Code of Regulations
2. Procedures for identifying and segregating treated wood waste
3. Safe handling practices
4. Requirements of Title 22 CA Code of Regulations, Division 4.5, Chapter 34
5. Proper disposal methods

Store treated wood waste before disposal using any of the following methods:

1. Elevate on blocks above a reasonably foreseeable run-on elevation and protect from precipitation
2. Place in water-resistant containers designed for shipping or solid waste collection
3. Place on a containment surface protected from run-on and precipitation

Prevent unauthorized access to treated wood waste using a secured enclosure such as a locked chain link fenced area or a lockable shipping container located within the project limits.

Resize and segregate treated wood waste at a location where debris from the operation including sawdust and chips can be contained. Collect and manage the debris as treated wood waste.

Provide water-resistant labels, that comply with Title 22 CA Code of Regulations, Division 4.5, Chapter 34, § 67386.5., to clearly mark and identify treated wood waste and accumulation areas. Labels must include:

1. Caltrans, District number, Construction, contract number
2. District office address
3. Engineer's name, address, and telephone number
4. Contractor's contact name and telephone number

Before transporting treated wood waste, obtain agreement from the receiving facility that the treated wood waste will be accepted. Protect shipments of treated wood waste from loss and exposure to precipitation. Request a generator identification number from the Engineer at least 5 business days before the first shipment. Each shipment must be accompanied by a shipping record such as a manifest or bill of lading that includes:

1. Caltrans with district number
2. Construction contract number
3. District office address
4. Engineer name, address, and telephone number
5. Contractor contact name and telephone number
6. Receiving facility name and address
7. Waste description: Treated wood waste (preservative type if known or unknown/mixture)
8. Project location
9. Estimated quantity of shipment by weight or volume
10. Date of transport
11. Date of receipt by the receiving treated wood waste facility
12. Weight of shipment as measured by the receiving treated wood waste facility
13. Generator identification number

The shipping record must be at least a 4-part carbon or carbonless 216 mm x 279 mm form to allow retention of copies by the Engineer, transporter, and disposal facility.

Dispose of treated wood waste in an approved treated wood waste facility. A list of currently approved treated wood waste facilities may be viewed at:

[http://www.dtsc.ca.gov/HazardousWaste/upload/TWW\\_Confirmed\\_Landfill\\_List.pdf](http://www.dtsc.ca.gov/HazardousWaste/upload/TWW_Confirmed_Landfill_List.pdf)

Dispose of treated wood waste within:

1. 90 days of generation if stored on blocks
2. 90 days of filling a container if containerized
3. 180 days of generation if stored on a containment surface

#### **MEASUREMENT AND PAYMENT**

Full compensation for handling, storing, transporting, and disposing treated wood waste, including personnel training, is included in the contract price paid per meter or unit for remove metal beam guard railing or remove roadside sign respectively and no additional compensation will be allowed therefor.

#### **10-1.35 EXISTING HIGHWAY FACILITIES**

The work performed in connection with various existing highway facilities shall conform to the provisions in Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

The Contractor shall preserve the existing condition and prevent any damage to Centinela Creek Channel. If there is any damage to Centinela Creek Channel by reason of the Contractor's operations, the Contractor, at the Contractor's expense, shall replace or restore any damage, pending the approval of the Engineer, Los Angeles County Department of Public Works (LACDPW) and Los Angeles County Flood Control District (LACFCD).

No equipment in excess of H-20 highway loading (AASHTO) will be permitted to operate on the invert of Centinela Creek Channel. Only rubber-tired, legally loaded equipment shall be operated on the exposed channel invert. If steel crawler type equipment is used, wooden matting should be provided over the invert in operation area.

Attention is directed to Section 7-1.06, "Safety and Health Provisions," of the Standard Specifications. Work practices and worker health and safety shall conform to the California Division of Occupational Safety and Health Construction Safety Orders Title 8, of the California Code of Regulations including Section 5158, "Other Confined Space Operations."

### **ABANDON PIPE LINE**

Existing utility pipelines, where shown on the plans to be abandoned, shall be abandoned in place or, at the option of the Contractor, the pipelines shall be removed and disposed of. Resulting openings into existing structures that are to remain in place shall be plugged with concrete conforming to the provisions in Section 90-10 "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Abandoning pipelines in place shall conform to the following:

1. Pipelines that intersect the side slopes shall be removed to a depth of not less than one meter measured normal to the plane of the finished side slope, before being abandoned.
2. Pipelines 300 mm in diameter and larger, shall, at the Contractor's option, be backfilled with either sand, controlled low strength material or slurry cement backfill conforming to the provisions in Section 19-3.062, "Slurry Cement Backfill," of the Standard Specifications by any method acceptable to the Engineer that completely fills the pipe. Sand backfill material shall be clean, free draining, and free from roots and other deleterious substances.
3. The ends of pipelines shall be securely closed by a 150 mm thick tight fitting plug or wall of commercial quality concrete.

Pipelines shall not be abandoned until their use is no longer required. The Contractor shall notify the Engineer in advance of any intended pipeline abandonment.

If the Contractor elects to remove and dispose of a pipeline which is specified to be abandoned, as provided herein, backfill specified for the pipe will be measured and paid for in the same manner as if the pipeline has been abandoned in place.

Backfill will be measured by the cubic meter determined from the dimensions of the culverts and pipelines to be abandoned.

The contract price paid per cubic meter for sand backfill shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in backfilling culverts and pipelines with sand, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Controlled low strength material and slurry cement backfill, if used at the Contractor's option, will be measured and paid for by the cubic meter as sand backfill.

Full compensation for concrete plugs, pipe removal, structure excavation, and backfill shall be considered as included in the contract price paid per meter for abandon pipeline and no additional compensation will be allowed therefor.

### **REMOVE METAL BEAM GUARD RAILING**

Existing metal beam guard railing, where shown on the plans to be removed, shall be removed and disposed of.

Existing concrete anchors or steel foundation tubes shall be completely removed and disposed of. Full compensation for removing concrete anchors shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

Full compensation for removing cable anchor assemblies, terminal anchor assemblies or steel foundation tubes shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

### **REMOVE SIGN STRUCTURE**

Existing sign structures, where shown on the plans to be removed, shall be removed and disposed of.

Overhead sign structure removal shall consist of removing posts, frames, portions of foundations, sign panels, walkways with safety railings, and sign lighting electrical equipment.

A sign structure shall not be removed until the structure is no longer required for the direction of public traffic.

Concrete foundations may be abandoned in place, except that the top portion, including anchor bolts, reinforcing steel, and conduits shall be removed to a depth of not less than 1 m below the adjacent finished grade. The resulting holes shall be backfilled and compacted with material equivalent to the surrounding material.

Electrical wiring shall be removed to the nearest pull box. Fuses within spliced connections in the pull box shall be removed and disposed of.

Electrical equipment, where shown on the plans, shall be salvaged.

#### **REMOVE PAVEMENT MARKER**

Existing pavement markers, including underlying adhesive, when no longer required for traffic lane delineation as determined by the Engineer, shall be removed and disposed of.

#### **REMOVE DRAINAGE FACILITY**

Existing inlets and pipes where any portion of these structures is within one meter of the grading plane in excavation areas, or within 0.3-m of original ground in embankment areas, or where shown on the plans to be removed, shall be completely removed and disposed of.

#### **REMOVE ASPHALT CONCRETE DIKE**

Existing asphalt concrete dike, where shown on the plans to be removed, shall be removed.

Prior to removing the dike, the outside edge of the asphalt concrete to remain in place shall be cut on a neat line to a minimum depth of 50 mm.

The dike shall be removed in such a manner that the surfacing which is to remain in place is not damaged.

The dike shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13 of the Standard Specifications.

#### **REMOVE ROADSIDE SIGN**

Existing roadside signs, at those locations shown on the plans to be removed, shall be removed and disposed of.

Existing roadside signs shall not be removed until replacement signs have been installed or until the existing signs are no longer required for the direction of public traffic, unless otherwise directed by the Engineer.

#### **RECONSTRUCT CHAIN LINK FENCE**

Existing chain link fence, at the locations shown on the plans, shall be removed and reconstructed.

Fence removed in excess of that required for reconstructing chain link fence shall be disposed of. Full compensation for removing and disposing of excess fence shall be considered as included in the contract price paid per meter for reconstruct chain link fence and no separate payment will be made therefor.

#### **RELOCATE ROADSIDE SIGN**

Existing roadside signs shall be removed and relocated to the new locations shown on the plans.

Each roadside sign shall be installed at the new location on the same day that the sign is removed from its original location.

Two holes shall be drilled in each existing post as required to provide the breakaway feature shown on the plans.

#### **COLD PLANE ASPHALT CONCRETE PAVEMENT**

Existing asphalt concrete pavement shall be cold planed at the locations and to the dimensions shown on the plans.

Planing asphalt concrete pavement shall be performed by the cold planing method. Planing of the asphalt concrete pavement shall not be done by the heater planing method.

Cold planing machines shall be equipped with a cutter head not less than 750 mm in width and shall be operated so that no fumes or smoke will be produced. The cold planing machine shall plane the pavement without requiring the use of a heating device to soften the pavement during or prior to the planing operation.

The depth, width, and shape of the cut shall be as shown on the plans or as designated by the Engineer. The final cut shall result in a uniform surface conforming to the plans. The outside lines of the planed area shall be neat and uniform. Planing asphalt concrete pavement operations shall be performed without damage to the surfacing to remain in place.

Planed widths of pavement shall be continuous except for intersections at cross streets where the planing shall be carried around the corners and through the conform lines. Following planing operations, a drop-off of more than 45 mm will not be allowed between adjacent lanes open to public traffic.

Where transverse joints are planed in the pavement at conform lines no drop-off shall remain between the existing pavement and the planed area when the pavement is opened to public traffic. If Hot Mix Asphalt (HMA) has not been placed to the level of existing pavement before the pavement is to be opened to public traffic a temporary HMA taper shall be constructed. HMA for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 1:30 (Vertical: Horizontal) or flatter to the level of the planed area.

HMA for temporary tapers shall be the same quality as the HMA used elsewhere on the project or shall conform to the material requirements for minor HMA. HMA for tapers shall be compacted by any method that will produce a smooth riding surface. Temporary HMA tapers shall be completely removed, including the removal of loose material from the underlying surface, before placing the permanent surfacing. The removed material shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Operations shall be scheduled so that not more than 7 days shall elapse between the time when transverse joints are planed in the pavement at the conform lines and the permanent surfacing is placed at the conform lines.

The material planed from the roadway surface, including material deposited in existing gutters or on the adjacent traveled way, shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Removal operations of cold planed material shall be concurrent with planing operations and follow within 15 m of the planer, unless otherwise directed by the Engineer.

Cold plane asphalt concrete pavement will be measured by the square meter. The quantity to be paid for will be the actual area of surface cold planed irrespective of the number of passes required to obtain the depth shown on the plans.

The contract price paid per square meter for cold plane asphalt concrete pavement shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in cold planing asphalt concrete surfacing and disposing of planed material, including furnishing the HMA for and constructing, maintaining, removing, and disposing of temporary HMA tapers, as specified in the Standard Specifications and these special provisions and as directed by the Engineer.

### **CAP INLET**

Existing pipe inlets and concrete drainage inlets, where shown on the plans to be capped, shall be capped and the bottoms of the inlets shall be rounded with portland cement concrete as shown on the plans.

Concrete shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Inlets shall be removed to a depth of at least 0.3-m below the grading plane.

Concrete removal shall be performed without damage to portions of the inlet that are to remain in place. Damage to existing concrete, which is to remain in place, shall be repaired by the Contractor to a condition equal to that existing prior to the beginning of removal operations. The repair of existing concrete damaged by the Contractor's operations shall be at the Contractor's expense.

Existing reinforcement that is to be incorporated in the new work shall be protected from damage and shall be thoroughly cleaned of adhering material before being embedded in the new concrete.

The quantity of capping inlets will be determined as units from actual count.

The contract unit price paid for cap inlet shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in capping inlets, including removing portions of inlets, rounding bottoms of inlets, bar reinforcing steel, and structure excavation and structure backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **EXISTING HIGHWAY IRRIGATION FACILITIES**

Existing irrigation facilities within the limits of work shall remain in place. Irrigation facilities that are damaged by the Contractor's operation shall be reported immediately to the Engineer.

Existing below ground irrigation facilities will be marked by the Engineer. Marked Irrigation facilities damaged by the Contractor's operations shall be repaired or replaced at the Contractor's expense. The replacement and repair of damaged unmarked below ground irrigation facilities will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Water shall be maintained in conformance with the provisions in Section 20-5.025, "Maintain Existing Water Supply," of the Standard Specifications.

### **BRIDGE REMOVAL**

Removing portions of bridges shall conform to the provisions in Section 15-4, "Bridge Removal," of the Standard Specifications and these special provisions.

Removing portion of existing bridges shall be performed as shown on the plans at the following locations:

**BRIDGE REMOVAL (PORTION), LOCATION A  
CENTINELA AVENUE UNDERCROSSING (WIDEN)  
(Br. No. 53-1253)**

Bridge removal (portion), Location A, shall consist of removing existing barrier railing and top portions of retaining wall and retaining wall footing, portions of reinforced concrete slab, portion of channel wall and invert, portions of super structure, outrigger columns, and outrigger bents, portions of footings and piles, portion of abutment and wingwall, and sign foundation pedestal as shown on the plans.

**BRIDGE REMOVAL (PORTION), LOCATION B  
SEPULVEDA BOULEVARD UNDERCROSSING  
(WIDEN AND SEISMIC RETROFIT)  
(Br. No. 53-1254)**

Bridge removal (portion), Location B, shall consist of removing existing barrier, overhang, portion of abutment and wingwall as shown on the plans.

**BRIDGE REMOVAL (PORTION), LOCATION C  
RETAINING WALL NO. 402  
(Br. No. 53E0113)**

Bridge removal (portion), Location C, shall consist of removing existing concrete barrier, and top portion of existing Type 5 retaining wall as shown on the plans.

Removed materials that are not to be salvaged or used in the reconstruction shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

For removed materials that are contaminated with aerially deposited lead, handling, transferring and disposal of this material shall conform to the provisions "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" elsewhere in these special provisions.

The Contractor shall submit a complete bridge removal plan to the Engineer for each bridge listed above, detailing procedures, sequences, and all features required to perform the removal in a safe and controlled manner.

The bridge removal plan shall include, but not be limited to the following:

- A. The removal sequence, including staging of removal operations.
- B. Equipment locations on the structure during removal operations.
- C. Temporary support shoring or temporary bracing.
- D. Locations where work is to be performed over traffic, and utilities.
- E. Details, locations, and types of protective covers to be used.
- F. Measures to assure that people, property, utilities, and improvements will not be endangered.
- G. Details and measures for preventing material, equipment, and debris from falling onto public traffic.

When protective covers are required for removal of portions of a bridge, or when superstructure removal works on bridges are involved, the Contractor shall submit working drawings, with design calculations, to the Engineer for the proposed bridge removal plan, and the bridge removal plan shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California. The design calculations shall be adequate to demonstrate the stability of the structure during all stages of the removal operations. Calculations shall be provided for each stage of bridge removal and shall include dead and live load values assumed in the design of protective covers. At a minimum, a stage will be considered to be removal of the deck in any span; or walls, or columns at support locations.

Temporary support shoring, temporary bracing, and protective covers, as required, shall be designed and constructed in conformance with the provisions in Section 51-1.06, "Falsework," of the Standard Specifications and these special provisions.

The assumed horizontal load to be resisted by the temporary support shoring and temporary bracing, for removal operations only, shall be the sum of the actual horizontal loads due to equipment, construction sequence or other causes, and an allowance for wind, but in no case shall the assumed horizontal load to be resisted in any direction be less than 5 percent of the total dead load of the structure to be removed.

The bridge removal plan shall conform to the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The number of sets of drawings, design calculations, and unless otherwise specified in the following table, the time for reviewing bridge removal plans shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

Approval by the Engineer of the bridge removal plans for Centinela Avenue Undercrossing, bridge No. 53-1253, bents C through P1 will be contingent upon the drawings and design calculations being satisfactory to the Los Angeles County Department of Public Works (LACDPW).

Temporary support loading on top of Centinela Creek Channel wall or in the channel will not be allowed.

The time to be provided for the Engineer's review of the bridge removal plans for removing specific structures, or portions thereof, shall be as follows:

| Structure or Portion of Structure       | Review Time - Weeks |
|---|---------------------|
| Centinela Ave. UC<br>Bridge No. 53-1253 | 6                   |
| Sepulveda Blvd UC<br>Bridge No. 53-1254 | 6                   |

The following additional requirements apply to the removal of bridges or portions of bridges whenever the removal work is to be performed over public traffic:

- A. A protective cover shall be constructed before beginning bridge removal work. The protective cover shall be supported by shoring, falsework, or members of the existing structure. The Contractor shall be responsible for designing and constructing safe and adequate protective covers, shoring, and falsework with sufficient strength and rigidity to support the entire load to be imposed.
- B. The construction and removal of the protective cover, and the installation and removal of temporary railings shall conform to the provisions in "Order of Work," "Maintaining Traffic," "Temporary Railings" of these special provisions.
- C. Bridge removal methods shall be described in the working drawings, supported by calculations with sufficient details to substantiate live loads used in the protective cover design. Dead and live load values assumed for designing the protective cover shall be shown on the working drawings.
- D. The protective cover shall prevent any materials, equipment, or debris from falling onto public traffic. The protective cover shall have a minimum strength equivalent to that provided by good, sound Douglas fir planking having a nominal thickness of 50 mm. Additional layers of material shall be furnished as necessary to prevent fine materials or debris from sifting down upon the traveled way and shoulders.
- E. During the removal of bridge segments, and when portions of the bridge, such as deck slabs or box girder slabs, comply with the requirements for the protective cover, a separate protective cover need not be constructed.
- F. The protective cover shall extend at least 3 m beyond the outside face of the bridge railing, except that, at locations where the bridge railing is to be removed and new girders are not constructed, the protective cover shall extend from the face of the exterior girder or at least 0.6-m inside of the bridge railing to be removed, whichever is less, to at least 1.2 m beyond the outside face of the bridge railing.
- G. The protective cover shall provide the openings specified under "Maintaining Traffic" of these special provisions.
- H. Falsework or supports for protective covers shall not extend below the vertical clearance level nor to the ground line at any location within the roadbed.
- I. The construction of the protective cover as specified herein shall not relieve the Contractor of responsibilities specified in Section 7-1.12A, "Indemnification," and Section 7-1.12B, "Insurance," of the Standard Specifications.
- J. Before removal of the protective cover, the Contractor shall clean the protective cover of all debris and fine material.

For bridge removal that requires the Contractor's registered engineer to prepare and sign the bridge removal plan, the Contractor's registered engineer shall be present at all times when bridge removal operations are in progress. The Contractor's registered engineer shall inspect the bridge removal operation and report in writing on a daily basis the progress of the operation and the status of the remaining structure. A copy of the daily report shall be available at the site of the work at all times. Should an unplanned event occur or the bridge operation deviate from the approved bridge removal plan, the Contractor's registered engineer shall submit immediately to the Engineer for approval, the procedure of operation proposed to correct or remedy the occurrence.

Full compensation for saw-cutting the existing slab full depth at existing bent U1, the existing superstructure and outrigger girders at Bent 4 and 5 of Centinela Avenue Undercrossing, bridge No. 53-1253, as shown on the plans, shall be considered as included in the contract lump sum price paid for bridge removal (portion), location A, and no additional compensation will be allowed therefor.

Full compensation for removing, handling, transferring and disposal of contaminated material in conformance with the provisions in "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" shall be considered as included in the contract lump sum prices paid for bridge removals (portion) at various locations and no additional compensation will be allowed therefor.

### **REMOVE CONCRETE**

Concrete, where shown on the plans to be removed, shall be removed.

The pay quantities of concrete to be removed will be measured by the cubic meter, measured before and during removal operations.

Removing concrete curb and concrete barrier will be measured by the meter, measured along the curb or barrier before removal operations.

Concrete removed shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

### **10-1.36 CLEARING AND GRUBBING**

Clearing and grubbing shall conform to the provisions in Section 16, "Clearing and Grubbing," of the Standard Specifications and these special provisions.

Vegetation shall be cleared and grubbed only within the excavation and embankment slope lines.

### **10-1.37 EARTHWORK**

Earthwork shall conform to the provisions in Section 19, "Earthwork," of the Standard Specifications and these special provisions.

Attention is directed to "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" of these special provisions.

Lean concrete backfill shall conform to the provisions in Section 19-3.062, "Slurry Cement Backfill" of the Standard Specifications.

Surplus excavated material not designated as hazardous waste due to aerially deposited lead shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Where a portion of the existing surfacing is to be removed, the outline of the area to be removed shall be cut on a neat line with a power-driven saw to a minimum depth of 50 mm before removing the surfacing. Full compensation for cutting the existing surfacing shall be considered as included in the contract price paid per cubic meter for roadway excavation and no additional compensation will be allowed therefor.

Reinforcement or metal attached to reinforced concrete rubble placed in embankments shall not protrude above the grading plane. Prior to placement within 0.6-m below the grading plane of embankments, reinforcement or metal shall be trimmed to no greater than 20 mm from the face of reinforced concrete rubble. Full compensation for trimming reinforcement or metal shall be considered as included in the contract prices paid per cubic meter for the types of excavation shown in the Engineer's estimate, or the contract prices paid for furnishing and placing imported borrow or embankment material, as the case may be, and no additional compensation will be allowed therefor.

If the Contractor elects to use the "Weep Hole and Geocomposite Drain" alternative where permitted on the plans, the geocomposite drain shall conform to the details shown on the plans and the following:

- A. Attention is directed to "Engineering Fabrics" under "Materials" of these special provisions.
- B. Geocomposite drain shall consist of a manufactured core not less than 6.35 mm thick nor more than 50 mm thick with one or both sides covered with a layer of filter fabric that will provide a drainage void. The drain shall produce a flow rate, through the drainage void, of at least 25 liters per minute per meter of width at a hydraulic gradient of 1.0 and a minimum externally applied pressure of 168 kPa.
- C. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished for the geocomposite drain certifying that the drain produces the required flow rate and complies with these special provisions. The Certificate of Compliance shall be accompanied by a flow capability graph for the geocomposite drain showing flow rates for externally applied pressures and hydraulic gradients. The flow capability graph shall be stamped with the verification of an independent testing laboratory.
- D. Filter fabric for the geocomposite drain shall conform to the provisions for fabric for underdrains in Section 88, "Engineering Fabrics," of the Standard Specifications.
- E. The manufactured core shall be either a preformed grid of embossed plastic, a mat of random shapes of plastic fibers, a drainage net consisting of a uniform pattern of polymeric strands forming 2 sets of continuous flow channels, or a system of plastic pillars and interconnections forming a semirigid mat.

- F. The core material and filter fabric shall be capable of maintaining the drainage void for the entire height of geocomposite drain. Filter fabric shall be integrally bonded to the side of the core material with the drainage void. Core material manufactured from impermeable plastic sheeting having nonconnecting corrugations shall be placed with the corrugations approximately perpendicular to the drainage collection system.
- G. The geocomposite drain shall be installed with the drainage void and the filter fabric facing the embankment. The fabric facing the embankment side shall overlap a minimum of 75 mm at all joints and wrap around the exterior edges a minimum of 75 mm beyond the exterior edge. If additional fabric is needed to provide overlap at joints and wrap-around at edges, the added fabric shall overlap the fabric on the geocomposite drain at least 150 mm and be attached thereto.
- H. Should the fabric on the geocomposite drain be torn or punctured, the damaged section shall be replaced completely or repaired by placing a piece of fabric that is large enough to cover the damaged area and provide a minimum 150-mm overlap.
- I. Plastic pipe shall conform to the provisions for edge drain pipe and edge drain outlets in Section 68-3, "Edge Drains," of the Standard Specifications.
- J. Treated permeable base to be placed around the slotted plastic pipe at the bottom of the geocomposite drain shall be cement treated permeable base conforming to the provisions for cement treated permeable base in Section 29, "Treated Permeable Bases," of the Standard Specifications and these special provisions.
- K. The treated permeable base shall be enclosed with a high density polyethylene sheet or PVC geomembrane, not less than 250  $\mu$ m thick, which is bonded with a suitable adhesive to the concrete and geocomposite drain. Surfaces to receive the polyethylene sheet shall be cleaned before applying the adhesive. The treated permeable base shall be compacted with a vibrating shoe type compactor.

Pervious backfill material placed within the limits of payment for bridges will be measured and paid for as structure backfill (bridge).

At the location of Retaining Wall No. 402 and Retaining Wall No. 408, bridge number 53E0113 and 53E0114, respectively, pervious backfill material placed within the limits of payment for these retaining walls will be measured and paid for as pervious backfill material.

Pervious backfill material placed within the limits of payment for Retaining Wall No. 410, bridge number 53E0115 will be measured and paid for as structure backfill (retaining wall).

If structure excavation or structure backfill for bridges is not otherwise designated by type and payment for the structure excavation or structure backfill has not otherwise been provided for in the Standard Specifications or these special provisions, the structure excavation or structure backfill will be measured and paid for as structure excavation (bridge) or structure backfill (bridge), respectively.

Structure excavation designated as (Type D), for footings at the locations shown on the plans, will be measured and paid for as structure excavation (Type D). Ground water or surface water is expected to be encountered at these locations, but seal course concrete is not shown or specified. Structure excavation for footings at locations not designated on the plans as structure excavation (Type D), and where ground or surface water is encountered, will be measured and paid for as structure excavation (bridge).

Lean concrete backfill will be measured and paid for by the cubic meter as lean concrete backfill in the same manner specified for structure backfill in Section 19-3.07, "Measurement," and Section 19-3.08, "Payment," of the Standard Specifications.

Structure excavation (Type Y-1, Type Y-2) (Aerially Deposited Lead), will be measured and paid for by the cubic meter as for Structure excavation (Type Z-2) (Aerially Deposited Lead).

The contract price paid per cubic meter for structure excavation (Type Z-2) (Aerially Deposited Lead) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in planning for and excavation of said material including special handling and special disposal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.38 MATERIAL CONTAINING HAZARDOUS WASTE CONCENTRATIONS OF AERIALY DEPOSITED LEAD**

Earthwork involving material containing aerially deposited lead shall conform to the provisions in Section 19, "Earthwork," of the Standard Specifications and these special provisions.

Attention is directed to "Aerially Deposited Lead" of these special provisions.

Type Z-2 material contains aerially deposited lead in average concentrations (using the 95 percent Upper Confidence Limit) greater than or equal to 1000 mg/kg total lead; greater than or equal to 5.0 mg/L soluble lead (as tested using the California Waste Extraction Test) and the material is surplus; or greater than 3397 mg/kg total lead. Type Z-2 material exists as shown on the plans. This material is hazardous waste regulated by the State of California and shall be transported to and disposed of at a Class I Disposal Site. Material excavated from these areas shall be transported by a hazardous waste transporter registered with the DTSC using the required procedures for creating a manifest for the material. The vehicles used to transport the hazardous material shall conform to the current certifications of compliance of the DTSC.

### **LEAD COMPLIANCE PLAN**

Submit a lead compliance plan under Section 7-1.07, "Lead Compliance Plan," of the Standard Specifications.

The Lead Compliance Plan shall include perimeter air monitoring incorporating upwind and downwind locations as approved by the Engineer. Monitoring shall be by personal air samplers using National Institute of Safety and Health Method 7082. Sampling shall achieve a detection limit of 0.05  $\mu\text{g}/\text{m}^3$  of air per day. Daily monitoring shall take place while the Contractor clears and grubs and performs earthwork operations. A single representative daily sample shall be analyzed for lead. Results shall be analyzed and provided to the Engineer within 24 hours. Average lead concentrations shall not exceed 1.5  $\mu\text{g}/\text{m}^3$  of air per day. If concentrations exceed this level the Contractor shall stop work and modify the work to prevent release of lead. Monitoring shall be done under the direction of, and the data shall be reviewed by and signed by a Certified Industrial Hygienist.

### **EXCAVATION AND TRANSPORTATION PLAN**

Within 15 days after approval of the contract, the Contractor shall submit 3 copies of an Excavation and Transportation Plan to the Engineer. The Engineer will have 10 days to review the plan. If revisions are required, as determined by the Engineer, the Contractor shall revise and resubmit the plan within 7 days of receipt of the Engineer's comments. The Engineer will have 7 days to review the revisions. Upon the Engineer's approval of the plan, 3 additional copies incorporating the required changes shall be submitted to the Engineer. Minor changes to or clarifications of the initial submittal may be made and attached as amendments to the Excavation and Transportation Plan. In order to allow construction to proceed, the Engineer may conditionally approve the plan while minor revisions or amendments are being completed.

The Contractor shall prepare the written, project specific Excavation and Transportation Plan establishing the procedures the Contractor will use to comply with requirements for excavating, stockpiling, transporting, and placing (or disposing) of material containing aerially deposited lead. The plan shall conform to the regulations of the DTSC and Cal-OSHA. The sampling and analysis portions of the Excavation and Transportation Plan shall meet the requirements for the design and development of the sampling plan, statistical analysis, and reporting of test results contained in USEPA, SW 846, "Test Methods for Evaluating Solid Waste," Volume II: Field Manual Physical/Chemical, Chapter Nine, Section 9.1. The plan shall contain, but not be limited to the following elements:

- A. Excavation schedule (by location and date),
- B. Temporary locations of stockpiled material,
- C. Sampling and analysis plans for areas after removal of a stockpile,
  - 1. Location and number of samples,
  - 2. Analytical laboratory,
- D. Sampling and analysis plan for soil cover,
- E. Dust control measures,
- F. Air monitoring,
  - 1. Location and type of equipment,
  - 2. Sampling frequency,
  - 3. Analytical laboratory,
- G. Transportation equipment and routes,
- H. Method for preventing spills and tracking material onto public roads,
- I. Truck waiting and staging areas,
- J. Site for disposal of hazardous waste,

## **DUST CONTROL**

Excavation, transportation, placement, and handling of material containing aurally deposited lead shall result in no visible dust migration. The Contractor shall have a water truck or tank on the job site at all times while clearing and grubbing and performing earthwork operations in work areas containing aurally deposited lead.

## **STOCKPILING**

Stockpiles of material containing aurally deposited lead shall not be placed where affected by surface run-on or run-off. Stockpiles shall be covered with plastic sheeting 0.33 mm minimum thickness or 0.3 m of nonhazardous material. Stockpiles shall not be placed in environmentally sensitive areas. Stockpiled material shall not enter storm drains, inlets, or waters of the State.

## **MATERIAL TRANSPORTATION**

Prior to traveling on public roads, loose and extraneous material shall be removed from surfaces outside the cargo areas of the transporting vehicles and the cargo shall be covered with tarpaulins or other cover, as outlined in the approved Excavation and Transportation Plan. The Contractor shall be responsible for costs due to spillage of material containing lead during transport.

The Department will not consider the Contractor a generator of the hazardous material, and the Contractor will not be obligated for further cleanup, removal, or remedial action for such material handled or disposed of in conformance with the requirements specified in these special provisions and the appropriate State and Federal laws and regulations and county and municipal ordinances and regulations regarding hazardous waste.

## **DISPOSAL**

Surplus material for which the lead content is not known shall be analyzed for aurally deposited lead by the Contractor prior to removing the material from within the project limits. The Contractor shall submit a sampling and analysis plan and the name of the analytical laboratory to the Engineer at least 15 days prior to beginning sampling or analysis. The Contractor shall use a laboratory certified by the California Department of Health Services. Sampling shall be at a minimum rate of one sample for each 150 m<sup>3</sup> of surplus material and tested for lead using EPA Method 6010 or 7000 series.

Materials containing aurally deposited lead shall be disposed of within California. The disposal site shall be operating under a permit issued by the appropriate California Environmental Protection Agency board or department.

The Engineer will obtain the Environmental Protection Agency Generator Identification Number for hazardous waste disposal. The Engineer will sign all hazardous waste manifests. The Contractor shall notify the Engineer 5 business days before the manifests are to be signed.

Sampling, analyzing, transporting, and disposing of material containing aurally deposited lead excavated outside the pay limits of excavation will be at the Contractor's expense.

## **MEASUREMENT AND PAYMENT**

Quantities of roadway excavation (aurally deposited lead) and structure excavation (aurally deposited lead), of the types shown in the Engineer's Estimate, will be measured and paid for in the same manner specified for roadway excavation and structure excavation, respectively, in Section 19, "Earthwork," of the Standard Specifications.

Full compensation for preparing an approved Excavation and Transportation Plan, transporting material containing aurally deposited lead reused in the work from location to location, and transporting and disposing of material containing aurally deposited lead shall be considered as included in the contract prices paid per cubic meter for the items of roadway excavation (aurally deposited lead) and structure excavation (aurally deposited lead) of the types involved, and no additional compensation will be allowed therefor.

No payment for stockpiling of material containing aurally deposited lead will be made, unless the stockpiling is ordered by the Engineer.

Sampling, analyses, and reporting of results for surplus material not previously sampled will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

### **10-1.39 IRRIGATION CROSSOVERS**

Irrigation crossovers shall conform to the provisions in Section 20-5, "Irrigation Systems," of the Standard Specifications and these special provisions.

Conduits shall be placed in open trenches in conformance with the provisions in Section 20-5.03B, "Conduit for Irrigation Crossovers," of the Standard Specifications.

Conduits shall be corrugated high density polyethylene (CHDPE) pipe. Corrugated high density polyethylene pipe shall conform to the requirements in ASTM Designation: F 405 or F 667, or AASHTO Designation: M 252 or M 294 and shall be Type S. Couplings and fittings shall be as recommended by the pipe manufacturer.

Water line crossovers shall conform to the provisions in Section 20-5.03C, "Water Line Crossovers," of the Standard Specifications.

Sprinkler control crossovers shall conform to the provisions in Section 20-5.027D, "Sprinkler Control Crossovers," of the Standard Specifications.

Installation of pull boxes shall conform to the provisions in Section 20-5.027I, "Conductors, Electrical Conduit and Pull Boxes," of the Standard Specifications. When no conductors are installed in electrical conduits, pull boxes for irrigation crossovers shall be installed on a foundation of compacted soil.

#### 10-1.40 AGGREGATE SUBBASE

Aggregate subbase must comply with Section 25, "Aggregate Subbases," of the Standard Specifications and these special provisions.

Aggregate subbase must be Class 4.

Do not store reclaimed asphalt concrete or aggregate subbase with reclaimed asphalt concrete within 30 m measured horizontally of any culvert, watercourse, or bridge.

Class 4 aggregate subbase must comply with:

| Grading (Percentage Passing) |                 |                     |
|------------------------------|-----------------|---------------------|
| Sieve Sizes                  | Operating Range | Contract Compliance |
| 75 mm                        | 100             | 100                 |
| 63 mm                        | 87-100          | 90-100              |
| 4.75-mm                      | 30-100          | 35-100              |
| 75-µm                        | 0-23            | 0-20                |

| Quality              |                 |                     |
|----------------------|-----------------|---------------------|
| Test                 | Operating Range | Contract Compliance |
| Sand Equivalent      | 21 Min.         | 18 Min.             |
| Resistance (R-value) | ----            | 50 Min.             |

If tests show grading or sand equivalent does not comply with the "Operating Range" specifications but complies with the "Contract Compliance" specifications, you may place aggregate subbase for the remainder of that day. Do not start another day's work until tests or other information indicate the next day's material complies with the "Operating Range" specifications.

If tests show grading or sand equivalent does not comply with the "Contract Compliance" specifications, remove the aggregate subbase represented by the tests. If you request and the Engineer approves, that aggregate subbase may remain in place and the Department reduces payment by \$3.00 per cubic meter for that aggregate subbase. If the subbase remains in place and both the grading and sand equivalent do not comply with "Contract Compliance" specifications, the Department only makes one payment reduction.

No single grading or sand equivalent test may represent more than the smaller of 400 m<sup>3</sup> or one day's production.

Instead of Class 4 aggregate subbase, you may place Class 3 aggregate base complying with the aggregate grading and quality requirements in Section 26-1.02B, "Class 3 Aggregate Bases," of the Standard Specifications. If you place Class 3 aggregate base, do not change back to Class 4 subbase without written approval from the Engineer.

Regardless of the aggregate subbase class supplied, the Department pays for aggregate subbase as Class 4 aggregate subbase.

#### 10-1.41 AGGREGATE BASE

Aggregate bases must comply with Section 26, "Aggregate Bases," of the Standard Specifications and these special provisions.

Aggregate base must be Class 2 or Class 3 where specified.

Do not store reclaimed asphalt concrete or aggregate base with reclaimed asphalt concrete within 30 m measured horizontally of any culvert, watercourse, or bridge.

For Class 3 aggregate base, choose the 37.5-mm maximum or the 19-mm maximum grading.

Aggregate for Class 3 aggregate base must comply with:

Grading (Percentage Passing )

| Sieve Sizes | 37.5-mm Maximum |                     | 19-mm Maximum   |                     |
|-------------|-----------------|---------------------|-----------------|---------------------|
|             | Operating Range | Contract Compliance | Operating Range | Contract Compliance |
| 50-mm       | 100             | 100                 | -----           | -----               |
| 37.5-mm     | 90 - 100        | 87 - 100            | -----           | -----               |
| 25-mm       | -----           | -----               | 100             | 100                 |
| 19-mm       | 50 - 90         | 45 - 95             | 90 - 100        | 87 - 100            |
| 4.75-mm     | 25 - 60         | 20 - 65             | 40 - 70         | 35 - 75             |
| 600-µm      | 10 - 35         | 6 - 39              | 12 - 40         | 7 - 45              |
| 75-µm       | 3 - 15          | 0 - 19              | 3 - 15          | 0 - 19              |

Quality

| Tests                | Operating Range | Contract Compliance |
|----------------------|-----------------|---------------------|
| Sand Equivalent      | 21 min.         | 18 min.             |
| Resistance (R-value) | -----           | 50 min.             |

If tests show grading or sand equivalent does not comply with the "Operating Range" specifications but complies with the "Contract Compliance" specifications, you may place aggregate base for the remainder of that day. Do not start another day's work until tests or other information indicate the next day's material complies with the "Operating Range" specifications.

If tests show grading or sand equivalent does not comply with the "Contract Compliance" specifications, remove the aggregate base represented by the tests. If you request and the Engineer approves, that aggregate base may remain in place and the Department reduces payment by \$3.25 per cubic meter for that aggregate base quantity left in place. If the base remains in place and both the grading and sand equivalent do not comply with "Contract Compliance" specifications, the Department only makes one payment reduction.

No single grading or sand equivalent test may represent more than the smaller of 400 m<sup>3</sup> or one day's production.

**10-1.42 LEAN CONCRETE BASE**

Lean concrete base shall conform to the provisions in Section 28, "Lean Concrete Base," of the Standard Specifications and these special provisions.

The finished surface of lean concrete base shall not be above the grade established by the Engineer, or more than 15 mm below the grade established by the Engineer.

**10-1.43 HOT MIX ASPHALT**

**GENERAL**

**Summary**

This work includes producing and placing hot mix asphalt (HMA) Type B using the Standard process. Comply with Section 39, "Hot Mix Asphalt," of the Standard Specifications.

**MATERIALS**

**Asphalt Binder**

The grade of asphalt binder mixed with aggregate for HMA Type B must be PG 64-10.

**Aggregate**

The aggregate for HMA Type B must comply with the 19-mm grading.

## **CONSTRUCTION**

### **Vertical Joints**

Before opening the lane to public traffic, pave shoulders and median borders adjacent to a lane being paved.

Place HMA on adjacent traveled way lanes so that at the end of each work shift, the distance between the ends of HMA layers on adjacent lanes is between 1.5 m and 3.0 m. Place additional HMA along the transverse edge at each lane's end and along the exposed longitudinal edges between adjacent lanes. Hand rake and compact the additional HMA to form temporary conforms. You may place Kraft paper or another approved bond breaker under the conform tapers to facilitate the taper removal when paving operations resume.

### **Widening**

If widening existing pavement, construct new structural section to match the elevation of the existing pavement's edge at each location before placing HMA over the existing pavement.

### **Conform Tapers**

Place shoulder conform tapers concurrently with the adjacent lane's paving.

## **10-1.44 MINOR HOT MIX ASPHALT**

### **GENERAL**

#### **Summary**

This work includes producing hot mix asphalt (HMA) at a central mixing plant and placing it as specified.

### **MATERIALS**

For minor HMA:

1. Do not submit a job mix formula.
2. Choose the 9.5-mm or 12.5-mm HMA Type A or Type B aggregate gradation under Section 39-1.02E, "Aggregate," of the Standard Specifications.
3. Minimum asphalt binder content must be 6.8 percent for 9.5-mm aggregate gradation and 6.0 percent for 12.5-mm aggregate gradation.
4. Choose asphalt binder Grade PG 64-10, PG 64-16, or PG 70-10 under Section 92, "Asphalts," of the Standard Specifications.

If you request and the Engineer authorizes, you may reduce the minimum asphalt binder content.

## **10-1.45 JOINTED PLAIN CONCRETE PAVEMENT**

### **GENERAL**

Jointed plain concrete pavement shall be constructed in conformance with the provisions in Section 40, "Portland Cement Concrete Pavement," of the Standard Specifications and these special provisions, and as shown on the plans.

Insert method for forming joints in pavement shall not be used.

### **PREPAVING CONFERENCE**

Supervisory personnel of the Contractor and subcontractors who are to be involved in the concrete paving work shall meet with the Engineer at a prepping conference, at a mutually agreed time, to discuss methods of accomplishing the paving work.

The Contractor shall provide a facility for the prepping conference within 5 km of the construction site or at a nearby location agreed to by the Engineer. Attendance at the prepping conference is mandatory for the Contractor's project superintendent, paving construction foreman, subcontractor's workers, including foremen and personnel performing saw cutting, joint sealing, concrete plant manager, and concrete plant operator. Conference attendees shall sign an attendance sheet provided by the Engineer. Production and placement shall not begin nor proceed unless the above-mentioned personnel have attended the mandatory prepping conference.

## **JUST-IN-TIME TRAINING**

Attending a 4-hour Just-In-Time Training (JITT) shall be mandatory, and consist of a formal joint training class on portland cement concrete and paving techniques. Construction operations for portland cement concrete paving shall not begin until the Contractor's and the Engineer's personnel have completed the mandatory JITT. The Contractor's personnel included in the list of participants for the prepaving conference as well as the Engineer's representatives shall attend JITT. JITT shall be in addition to the prepaving conference.

The JITT class will be conducted for not less than 4 hours on portland cement concrete pavement and paving techniques. The training class may be an extension of the prepaving conference and shall be conducted at a project field location convenient for both the Contractor and the Engineer. The JITT class shall be completed at least 15 days, not including Saturdays or holidays, prior to the start of portland cement concrete paving operations. The class shall be held during normal working hours.

The JITT instructor shall be experienced in the construction methods, materials, and test methods associated with construction of portland cement concrete pavement and paving techniques. The instructor shall not be an employee of the Contractor or a member of the Engineer's field staff. A copy of the course syllabus, handouts, and presentation material shall be submitted to the Engineer at least 7 days before the day of the training. The Contractor and the Engineer shall mutually agree to course instructor, the course content, and training site. The instructor shall issue a certificate of completion to the participants upon completion of the class. The certificate of completion shall include the course title, date and location of the class, the name of the participant, instructor's name, location and telephone number.

The Contractor's or Engineer's personnel involved with portland cement concrete paving operations will not be required to attend JITT if they have completed equivalent training within the previous 12 months of the date of the JITT for this project. The Contractor shall provide a certificate of class completion as described above for each staff member to be excluded from the JITT class. The Engineer will provide the final determination for exclusion of staff member's participation. Attendees of the JITT shall complete, and submit to the Engineer, an evaluation of the training. The Engineer will provide the course evaluation form.

Just-In-Time Training shall not relieve the Contractor of responsibility under the contract for the successful completion of the work in conformance with the requirements of the plans and specifications.

## **TEST STRIP**

At the beginning of paving operations, the Contractor shall construct a test strip of concrete pavement from 200 m to 300 m in length. The paving width for the test strip shall be the same as that intended by the Contractor for production work. The Contractor shall use the same equipment to construct the test strip for the remainder of the paving operations, except as specified in this section. The Contractor shall not begin paving operations until the test strip has been evaluated in conformance with the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications regarding surface straight edge requirements, and "Profile Index" in this section; for dowel and tie bar alignment verification; concrete quality (except modulus of rupture); and pavement thickness. Additional test strips will be required when:

1. A portion of a test strip fails to conform to the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications for straight edge requirements;
2. A portion of the test strip fails to conform to profile requirements;
3. The Contractor proposes different paving equipment, including a batch plant, paver, dowel bar inserter, tie bar inserter, tining, or curing equipment;
4. The dowel bar tolerances are not met;
5. The pavement thickness deficiency is greater than 15 mm after grinding; or
6. A change in concrete mix proportions has occurred.

The Contractor shall perform coring of the test strips as part of the dowel and tie bar placement tolerance verification, and pavement thickness verification. The Engineer will select a minimum of six dowel bars that will be cored for each test strip. The Engineer will have the option of selecting up to 6 tie bars that will be cored for each test strip. After removal of cores, voids in concrete pavement shall be cleaned and filled with hydraulic cement grout conforming to the provisions in "Core Drilling for Dowel Placement Alignment Assurance Testing" in this section.

Before mechanical dowel bar inserters are used, the Contractor shall demonstrate that the insertion equipment will not leave surface irregularities such as depressions, dips, or high areas adjacent to the dowel bar insertion point, or voids or segregation around dowel bars.

Before placement of the test strip, the Contractor shall submit a written procedure to locate transverse weakened plane joints that will coincide with the center of the dowel bars being placed and locating the tie bars along the longitudinal joints. This procedure shall be submitted prior to the prepaving conference, and shall describe the control of inadvertent covering of paint markings after applying curing compound, excessive paint spray producing too large a paint dot marking for the accuracy required, misalignment by transferring marking spots, and inadequate staking of joints.

Construction of concrete pavement shall not proceed until the Engineer has completed an evaluation of the test strip. The Engineer shall be allowed 3 business days to evaluate the test strip. If, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the Engineer not completing the evaluation of the test strip within the time specified, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. Test strips failing to conform to the specifications for concrete pavement shall be removed. Additional test strips shall be constructed until the Contractor constructs a test strip that conforms to the specifications for concrete pavement. Additional test strips shall conform to the requirements in this section, except the test strip shall be 200 m in length.

Prior to constructing additional test strips, the Contractor shall change methods or equipment to construct a test strip that conforms to the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications, "Profile Index" of this section, and dowel bar alignment verification, without grinding or other corrective work.

The Engineer may waive the initial test strip if the Contractor proposes to use a batch plant mixer and paving equipment with the same personnel that were satisfactorily used on a Department project within the preceding 12 months. The personnel shall be individuals listed in the prepaving conference used on a preceding Department project.

Materials resulting from the construction and removal of rejected test strips shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

## **MATERIALS**

### **Concrete**

Attention is directed to Section 90, "Portland Cement Concrete," of the Standard Specifications, regarding mix proportions for concrete being determined by the Contractor.

Primary aggregate gradings shall conform to the gradation requirements of Section 90-3, "Aggregate Gradings," of the Standard Specifications. When combined in the proportions determined by the Contractor, the percent passing the 9.5 mm sieve and retained on the 2.36 mm sieve shall not be less than 16 percent of the total aggregate.

The cementitious material content shall not exceed 400 kg/m<sup>3</sup>.

### **Tie Bars**

Tie bars shall be deformed reinforcing steel bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 280 or 420; ASTM Designation: A 615/A 615M (Grade 280 or 420), A996/A996M or A706/A706M. Tie bars shall be epoxy-coated in conformance with the requirements in ASTM Designation: A 934/A 934M or A 775/A 775M and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except the epoxy-coating thickness after curing shall be between 175 micrometers to 400 micrometers (7 mils to 16 mils). Fabrication, sampling and jobsite handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except the 2 samples shall be 750 mm long. Epoxy-coated tie bars shall not be bent.

### **Epoxy (Drill and Bond)**

Epoxy for bonding tie bars and dowel bars to portland cement concrete shall be a two-component, epoxy-resin, conforming to the requirements of ASTM Designation: C 881, Type V, Grade 3 (Non-Sagging), Class A, B or C. The class used shall be dependent on the internal temperature of the hardened concrete at the time the epoxy is to be applied. Class A shall be used when the internal temperature is below 4°C, but not lower than recommended by the manufacturer. Class B shall be used when the internal temperature is from 4°C to 15°C. Class C shall be used when the internal temperature is above 15°C, but not higher than recommended by the manufacturer. A Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished with the epoxy. A copy of the manufacturer's recommended installation procedure shall be provided to the Engineer at least 7 days prior to the start of work. Epoxy shall be applied in conformance with the manufacturer's recommendations.

### **Dowel Bars**

Dowel bars shall be plain round smooth, epoxy-coated steel conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420, the details shown on the plans and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except that the two samples required in ASTM Designation D 3963/D 3963M shall be 460 mm long. Epoxy coating of dowel bars shall conform to the provisions in ASTM Designation: A 884/A 884M, Class A, Type 1 or Type 2, except that the bend test shall not apply.

Dowel bars shall be free from burrs or other deformations detrimental to free movement of the bars in the concrete.

### **Bond Breaker**

Dowel bars shall be lubricated with a bond breaker over the entire bar. A bond breaker application of petroleum paraffin based lubricant or white-pigmented curing compound shall be used to coat the dowel bars completely prior to placement. Oil and asphalt based bond breakers shall not be used. Paraffin based lubricant shall be Dayton Superior DSC BB-Coat or Valvoline Tectyl 506 or an approved equal. Paraffin based lubricant shall be factory applied. White pigmented curing compound shall conform to the requirements of ASTM Designation: C 309, Type 2, Class A, and shall contain 22 percent minimum nonvolatile vehicles consisting of at least 50 percent paraffin wax. Curing compound shall be applied in 2 separate applications, the last application not more than 8 hours prior to placement of the dowel bars. Each application of curing compound shall be applied at the approximate rate of one liter per 3.7 m<sup>2</sup>.

### **Dowel Bar Baskets**

Dowel bar baskets shall be manufactured with a minimum welded wire gage number of MW 65. Baskets shall be either U-frame or A-frame shape. J-frame shapes shall not be used. Baskets shall be fabricated in conformance with the requirements in ASTM Designation: A 82. Welding of baskets shall conform to the requirements in AASHTO Designation: M 254. A broken weld will be a cause for rejection of the basket. Baskets shall be Class A, Type 1 epoxy-coated in conformance with the requirements in ASTM Designation: A 884/A 884M. Fabrication and job-site handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except that sampling of epoxy-coated wire reinforcement will not be required. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished for each shipment of epoxy-coated wire reinforcement certifying that the coated bars conform to the requirements in ASTM Designation: A 884/A 884M and the provisions in Section 52-1.02B, "Epoxy-coated Bar Reinforcement," of the Standard Specifications. The Certificate of Compliance shall include the certifications specified in ASTM Designation: A 884/A 884M and a statement that the coating material has been pre-qualified by acceptance testing performed by the Valley Forge Laboratories, Inc., Devon, Pennsylvania.

Concrete fasteners shall be used for anchoring dowel bar baskets to lean concrete base, hot mix asphalt used as base, asphalt treated permeable base, or cement treated permeable base. Concrete fasteners shall be driven fasteners such as concrete nails, used specifically for fastening to hardened concrete, or hot mix asphalt used as base. Concrete fasteners shall conform to the requirements of ASTM Designation: F 1667. Concrete nails used as fasteners on lean concrete base or hot mix asphalt used as base shall have a minimum shank diameter of 4 mm with a minimum shank length of 64 mm. Concrete nails used as fasteners on asphalt treated or cement treated permeable base shall have a minimum shank diameter of 4 mm with a minimum shank length of 120 mm. Shank length shall be the distance from the point to the bottom of the nail head. Clips and washers shall be commercial quality manufactured for use with dowel bar baskets. The surface of concrete fasteners, clips, and washers shall be either zinc electroplated or galvanized with a minimum coating thickness of 0.005-mm.

### **Tie Bar Baskets**

Tie bar baskets shall be manufactured with a minimum welded wire gage number of MW 65. Baskets shall be either U-frame or A-frame shape. J-frame shapes shall not be used. Tie bar baskets shall be fabricated in conformance with the requirements in ASTM Designation: A 82. Welding of baskets shall conform to the requirements in AASHTO Designation: M 254. A broken weld will be a cause for rejection of the basket. Baskets shall be Class A, Type 1 epoxy-coated in conformance with the requirements in ASTM Designation: A 884/A 884M. Fabrication and job-site handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except that sampling of epoxy-coated wire reinforcement will not be required. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished for each shipment of epoxy-coated wire reinforcement certifying that the coated bars conform to the requirements in ASTM Designation: A 884/A 884M and the provisions in Section 52-1.02B, "Epoxy-coated Bar Reinforcement," of the Standard Specifications. The Certificate of Compliance shall include the certifications specified in ASTM Designation: A 884/A 884M and a statement that the coating material has been pre-qualified by acceptance testing performed by the Valley Forge Laboratories, Inc., Devon, Pennsylvania.

Concrete fasteners shall be used for anchoring tie bar baskets to lean concrete base, hot mix asphalt used as base, asphalt treated permeable base, or cement treated permeable base. Concrete fasteners shall be driven fasteners such as concrete nails, used specifically for fastening to hardened concrete, or hot mix asphalt used as base. Concrete fasteners shall conform to the requirements of ASTM Designation: F 1667. Concrete nails used as fasteners on lean concrete base or hot mix asphalt used as base shall have a minimum shank diameter of 4 mm with a minimum shank length of 64 mm. Concrete nails used as fasteners on asphalt treated or cement treated permeable base shall have a minimum shank diameter of 4 mm with a minimum shank length of 120 mm. Shank length shall be the distance from the point to the bottom of the nail head. Clips and washers shall be commercial quality manufactured for use with tie bar baskets. The surface of concrete fasteners, clips, and washers shall be either zinc electroplated or galvanized with a minimum coating thickness of 0.005-mm.

### **Reinforcement**

Reinforcement shall be epoxy coated and shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications.

### **Preformed Compression Joint Sealant**

Preformed compression seals shall conform to the requirements of ASTM Designation: D 2628. Preformed compression seals shall have 5 or 6 cells. Preformed compression seals for Types A2 and B joints shall have 4 or more cells. Lubricant adhesive used with preformed compression seals shall conform to the requirements of ASTM Designation: D 2835. Compression seals and lubricant adhesive shall be installed in conformance with the manufacturer's recommendations and these special provisions. The manufacturer's recommendations shall be submitted to the Engineer at the prepping conference.

Each lot of compression seal and lubricant adhesive shall be accompanied by a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and shall be accompanied with storage instructions and precautionary instructions for use. The Certificate shall also be accompanied with a certified test report of the results of the required tests performed on the preformed compression joint sealant material within the previous 12 months prior to proposed use. The Certificate and accompanying test report shall be provided for each lot of joint seal prior to use on the project. The Contractor shall submit the manufacturer's data sheet with installation instructions and recommended type of preformed compression seal for the joint size and depth as shown on the plans. The manufacturer's selected compression seal shall show evidence that the seal is being compressed at level between 40 percent and 50 percent for the joint width and depth shown on the plans.

### **Joint Filler Material**

Joint filler material shall be preformed expansion joint filler for concrete (bituminous type), conforming to the requirements of ASTM Designation: D 994.

Joint filler material shall be Type 1 preformed expansion joint filler for concrete conforming to the requirements of ASTM Designation: D 1752.

Joint filler material shall be Type 2 preformed expansion joint filler for concrete conforming to the requirements of ASTM Designation: D 1752.

A Certificate of Compliance for the joint filler material shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The certificate shall be accompanied with a certified test report of the results of the required tests performed on the joint filler material within the previous 12 months prior to proposed use. The certificate and accompanying test report shall be provided for each lot of joint filler material prior to use on the project.

### **Hydraulic Cement Grout (non-shrink)**

Hydraulic cement grout (non-shrink) shall conform to the requirements in ASTM Designation: C 1107. At the Contractor's option, clean, uniformly rounded aggregate filler may be used to extend the grout. The extension of grout shall not exceed 60 percent of the mass of the grout or the maximum amount of grout extension recommended by the manufacturer, whichever is less. The moisture content of the aggregate filler shall not exceed 0.5-percent. Grading of the aggregate filler shall conform to the following:

| Sieve Size | Percentage Passing |
|------------|--------------------|
| 12.5 mm    | 100                |
| 9.5 mm     | 85-100             |
| 4.75 mm    | 10-30              |
| 2.36 mm    | 0-10               |
| 1.10 mm    | 0-5                |

### **PAVEMENT CONCRETE MIX PROPORTIONS**

The Contractor shall determine the mix proportions for pavement concrete. The laboratory used to develop the mix proportions shall meet the requirements of ASTM Designation: C 1077, and shall have current AASHTO accreditation for test methods AASHTO Designation: T 97 or ASTM Designation: C 78, and AASHTO Designation: T 126 or ASTM Designation: C 192.

The minimum cementitious materials content or the maximum water to cementitious materials ratio shall be determined in conformance with the requirements in California Test 559. Trial mixtures shall be made no more than 24 months before field qualification. The minimum cementitious materials content or the maximum water to cementitious materials ratio shall be that determined from the trial mixtures curve to produce a minimum modulus of rupture of 3.9 MPa at 28 days age and 4.5 MPa at 42 days age. To account for variances in materials, production of concrete, and modulus of rupture testing, the Contractor shall include as part of the proposed mix proportions an increase to the cementitious material content or a decrease to the water to cementitious materials ratio, determined from trial mixtures, to ensure that portland cement concrete produced during paving operations conforms to the requirements in "Modulus of Rupture," in this section.

At least 15 days prior to field qualification, the Contractor shall submit the proposed pavement concrete mix proportions with laboratory test reports. Laboratory test reports shall include modulus of rupture determined for each trial mixture at ages of 10, 21, 28 and 42 days in conformance with the applicable portions of California Test 559.

### **Field Qualification**

Field qualification of proposed mix proportions will be required prior to placement of pavement concrete. The Contractor shall perform field qualification and submit certified test data to the Engineer. Field qualification data shall be based upon the proposed use of materials, mix proportions, mixing equipment, procedures and size of batch.

Proposed concrete mix proportions will be field qualified when the test results of five beams from a single batch of concrete indicate the average modulus of rupture is at least 3.9 MPa with no single beam lower than 3.8 MPa at an age of the Contractor's choice but not later than 28 days. Beams shall be tested for modulus of rupture at a minimum of 10, 21, and 28 days of age. Test specimens shall be made and tested in conformance with the requirements in California Test 523.

The certified field qualification test data reports shall include the following:

1. Date of mixing,
2. Mixing equipment and procedures used,
3. Volume of batch in cubic meters and the mass or volume,
4. Type and source of ingredients used,
5. Penetration and slump of the concrete,
6. The air content of the concrete, and
7. The age at time of testing and strength of concrete specimens tested.

Field qualification test data reports shall be signed by a certified representative in charge of the laboratory that performed the tests.

If the Contractor changes a source of supply or proportions, the Contractor shall submit a new proposed mix design and furnish samples from the new source, or sources, at least 60 days prior to their intended use. The new mix proportions shall be trial batched and field qualified, unless, the Engineer determines the change is not substantive. No extension of contract time will be allowed for the time required to perform the sampling, testing, preparing and qualifying new mix proportions for new aggregate sources proposed by the Contractor.

### **MODULUS OF RUPTURE**

The Engineer will test portland cement concrete pavement for modulus of rupture in conformance with the requirements in California Test 523. Acceptance will be on a lot basis. Each lot shall not to exceed 750 m<sup>3</sup> of concrete pavement. The Engineer will determine sample locations. A minimum of six beam specimens shall be made from each sample. Beam specimens will be tested for modulus of rupture at 10, 21, and 28 days. The modulus of rupture for each lot will be calculated by averaging the results of two beams representing that lot tested at 28 days of age. The difference in modulus of rupture between each individual beam result shall not exceed 0.44-MPa.

The Contractor shall perform sampling and testing of beam specimens to determine if concrete pavement has achieved a modulus of rupture of 2.4 MPa when requesting early use of concrete pavement in conformance with the provisions in Section 90-8.03, "Protecting Concrete Pavement," of the Standard Specifications. Beam specimens shall be made and tested in conformance with the requirements in California Test 523.

### **INSTALLING TIE BARS**

Tie bars shall be installed at longitudinal contact joints and longitudinal weakened plane joints as shown on the plans. Contiguous width of new portland cement concrete pavement tied together with tie bars shall not exceed 15 m. Tie bars shall not be installed at joints between portland cement concrete and hot mix asphalt pavements.

Tie bars shall be installed at longitudinal joints by one of the following methods:

1. Drilling and bonding tie bars with two-component, epoxy-resin that conforms to this section. Drilled holes shall be cleaned in conformance with the epoxy manufacturer's instructions and shall be dry at the time of placing the epoxy and tie bars. Tie bars will be rotated 180° while being inserted into the epoxy filled holes. Immediately after inserting the tie bars into the epoxy, the tie bars shall be supported as necessary to prevent movement during curing and shall remain undisturbed until the epoxy has cured as specified by the manufacturer instructions. Tie bars that are improperly placed or bonded, as determined by the Engineer, will be rejected. If rejected, new holes shall be drilled and new tie bars shall be placed and securely bonded to the concrete. Rejected tie bars shall be cut flush with the joint face. Exposed ends of tie bars shall be epoxy coated. The center of the new holes shall be offset 75 mm horizontally from the center of the rejected hole to maintain the minimum clearance to the dowel bar. Work necessary to correct improperly bonded tie bars shall be performed at the Contractor's expense.
2. Inserting tie bars into the plastic slipformed concrete before finishing the concrete. Inserted tie bars shall have full contact between the bar and the concrete. When tie bars are inserted through the pavement surface, the concrete over the tie bars shall be reworked and refinished so that there is no evidence on the surface of the completed pavement that there has been an insertion performed. Loose tie bars shall be replaced by drilling and bonding as described in A above, at the Contractor's expense.
3. Using threaded dowel splice couplers fabricated from deformed bar reinforcement material, free of external welding or machining. Threaded dowel splice couplers shall be accompanied by a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and shall be accompanied with installation instructions. Installation of threaded dowel splice couplers shall conform to the requirements of the manufacturer's recommendations.
4. Using tie bar baskets that conform to these special provisions

Tie bars shall be oriented perpendicular to the pavement joint and parallel with the surface of the pavement at mid-slab depth. Tie bar alignment tolerances shall conform to the requirements for dowel bars except embedment length tolerance shall be  $\pm 50$  mm.

If tie bar baskets are used, they shall be anchored to the base to hold the tie bars at the specified depth and alignment during concrete placement without displacement. A minimum of 8 alternating, equally spaced, concrete fasteners with clips shall be used to anchor each basket (4 per lower runner wire). Temporary spacer wires shall be cut or removed after the baskets are anchored into position before concrete placement. Concrete pavement shall not be placed if the baskets are not in place at least 60 m in advance of the concrete placement operation. The Engineer may waive this requirement upon written request by the Contractor in areas where access is restricted or other construction limitations are encountered. The Contractor shall demonstrate that the baskets are anchored and shall not shift during concrete placement. The Contractor shall provide longer concrete nails than the minimum lengths for the varying bases beneath the portland cement concrete when baskets demonstrate movement.

Full compensation for providing longer concrete nails shall be considered as included in the contract unit price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

#### **DOWEL PLACEMENT**

Dowel bars shall be centered on the joint within a tolerance of  $\pm 50$  mm in the longitudinal direction directly over the contact joint or sawcut for the transverse weakened plane joints, as shown on the plans. Prior to placement of dowel bars, the Contractor shall submit to the Engineer a written procedure to identify the transverse weakened plane joint locations relative to the middle of the dowel bars and the procedure for consolidating concrete around the dowel bars.

Dowel bars shall be placed at transverse weakened plane joints within shoulder areas except at drainage inlets.

Dowel bars shall be placed at longitudinal joints as shown on the plans.

Dowel bars shall be placed as shown on the plans by using dowel bar baskets or by mechanical insertion.

When dowel bars are placed by mechanical insertion, the concrete over the dowel bars shall be reworked and refinished so that there is no evidence on the surface of the completed pavement that there has been any insertion performed. When drill and bonding of dowel bars is performed at contact joints, a grout retention ring shall be used. When dowel bar baskets are used, they shall be anchored to the base to hold the dowel bars at the specified depth and alignment during concrete placement without displacement. A minimum of 8 alternating, equally spaced, concrete fasteners with clips shall be used to anchor each 3.6 m dowel bar basket (4 per lower runner wire). At least 10 concrete fasteners shall be used for basket sections greater than 3.6 m and less than or equal to 4.9 m. Temporary spacer wires connecting dowel bar baskets shall be cut or removed after the dowel bar baskets are anchored into position prior to concrete placement. Paving shall be suspended when dowel bar baskets are not in place at least 60 m in advance of the concrete placement operation. The Engineer may waive this requirement upon written request by the Contractor, in areas, where access is restricted, or other construction limitations are encountered. The Contractor shall demonstrate to the Engineer's satisfaction that dowel bar baskets are adequately anchored and not shift during concrete placement. The Contractor shall provide longer concrete nails than the minimum lengths for the varying bases beneath the portland cement concrete when anchored dowel bar baskets demonstrate movement.

Full compensation for providing longer concrete nails shall be considered as included in the contract unit price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

| Dowel bar placement at transverse and longitudinal weakened plane joints |  |
|--|--|
| Horizontal offset  | ±25 mm   |
| Longitudinal translation   | ±50 mm   |
| Horizontal skew  | 9 mm   |
| Vertical skew  | 9 mm   |
| Vertical depth   | (d/3 +12 mm) from pavement surface to top of dowel bar or -15 mm below planned placement |

Note: d = pavement thickness in mm

#### **CORE DRILLING FOR DOWEL BAR AND TIE BAR PLACEMENT ALIGNMENT ASSURANCE TESTING**

Coring to confirm dowel bar and tie bar placement, alignment, and concrete consolidation shall be provided by the Contractor throughout the project, at locations determined by the Engineer. Each day's paving shall be cored within 2 days by performing a minimum of 2 and a maximum of 4 tests for dowel bar placement and position for every 1670 m<sup>2</sup> of doweled pavement or fraction thereof and one test for tie bar placement and position for every 3340 m<sup>2</sup> of pavement with tie bars. One test shall consist of drilling two cores, one on each end of a dowel bar to expose both ends and allow measurement for proper alignment. The minimum core hole diameter shall be 127 mm. If the cores indicate that dowel bars or tie bars are not within the allowable tolerances or if air voids exist surrounding the dowel bars or tie bars, additional cores will be required to determine the limits and severity of unacceptable work.

The holes shall be cored by methods that will not damage the concrete adjacent to the holes. Immediately after coring, the concrete cores shall be submitted to the Engineer for inspection, and the cores shall be identified by the Contractor with a location description.

After removal of cores, core hole voids in concrete pavement shall be cleaned and filled with hydraulic cement grout (non-shrink). After placement of hydraulic cement grout, the material while still plastic shall be finished and textured to match the adjacent pavement surface. The backfill material shall be the same level as the pavement surface.

Water for core drilling operations shall be from a local domestic water supply, and shall contain not more than 1000 parts per million of chlorides as CL, nor more than 1300 parts per million of sulfates as SO<sub>4</sub>, nor shall it contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water from core drilling operations shall not be permitted to fall on public traffic, to flow across shoulders or lanes occupied by public traffic, or to flow into gutters or other drainage facilities.

Dowel bar and tie bar alignment shall be within the specified tolerances. If dowel bars or tie bars are found to be installed improperly, the paving operations shall not continue until the Contractor has demonstrated to the Engineer that the problem which caused the improper dowel bar or tie bar positioning has been corrected.

Dowel bars in rejected joints shall be replaced by the Contractor by saw cutting on each side of the rejected joint a minimum of 0.9-m, lifting out concrete to be removed, installing new dowel bars at the new transverse joints, installing dowel bars and preformed sponge rubber expansion joint filler along the longitudinal joints, placing concrete, and installing new joints. Preformed sponge rubber expansion joint filler shall conform to the requirements in ASTM Designation: D 1752. New dowel bar holes shall be drilled, not more than 3 mm greater than the dowel bar diameter, by the use of an automatic dowel-drilling rig for the dowels to be installed at the contact joints. Dowel bars shall be placed, as shown on the plans, for the 2 new transverse contact joints. Original exposed tie bars, located within the slab replacement area, shall be cut flush with the lane or pavement edge and dowel bars shall be installed to replace the tie bars at an offset of 75 mm, horizontally from the tie bar location. Holes for dowel bars to be placed along the longitudinal joint shall be drilled, not more than 3 mm greater than the dowel bar diameter, by the use of an automatic dowel-drilling rig for the dowel bars to be installed at the contact joints.

When requested by the Contractor and approved by the Engineer, dowel bars which are more than  $\pm 50$  mm but less than  $\pm 75$  mm from being centered directly over the sawcut for the transverse weakened plane joint, may remain in place, and the Contractor shall pay to the State the amount of \$32.30 per square meter for the quantity of concrete pavement panels represented by the cores indicating incorrect dowel bar alignment or improper concrete consolidation around dowels. The quantity of concrete pavement area used to determine the amount of payment to the State will be calculated using the panel dimensions for panels adjacent to and inclusive of the joints with incorrect dowel bar alignment or improper concrete consolidation around dowel bars. The Department will reduce compensation from moneys due, or that may become due to the Contractor under the contract. This reduced compensation shall be in addition to other adjustments for incorrect tie bar alignment or improper concrete consolidation around tie bars as specified in these special provisions and for pavement thickness deficiency in conformance with the provisions in Section 40-1.135, "Pavement Thickness," of the Standard Specifications and in addition to other adjustments for deficient Cleanness Value and coarse aggregate grading; and for deficient Sand Equivalent and fine aggregate grading in conformance with the provisions in Section 90-2.02, "Aggregate," of the Standard Specifications.

Tie bars which are not within the specified tolerance for placement and position, as determined from inspection and measurements of cores, may remain in place when requested by the Contractor and approved by the Engineer. The Contractor shall pay to the State the amount of \$16.15 per square meter for the quantity of concrete pavement panels represented by the cores indicating incorrect tie bar alignment or improper concrete consolidation around tie bars. The quantity of concrete pavement area used to determine the amount of payment to the State will be calculated using the panel dimensions for panels adjacent to and inclusive of the joints with incorrect tie bar alignment or improper concrete consolidation around tie bars. The Department will reduce compensation from moneys due, or that may become due to the Contractor under the contract. This reduced compensation will be in addition to other adjustments for incorrect dowel bar alignment or improper concrete consolidation around dowel bars as specified in these special provisions and for pavement thickness deficiency in conformance with the provisions in Section 40-1.135, "Pavement Thickness," of the Standard Specifications and in addition to other adjustments for deficient Cleanness Value and coarse aggregate grading; and for deficient Sand Equivalent and fine aggregate grading in conformance with the provisions in Section 90-2.02, "Aggregate," of the Standard Specifications.

#### **PREFORMED COMPRESSION JOINT SEAL INSTALLATION**

The compression seal alternative joint detail for transverse and longitudinal joints, as shown on the plans, shall apply only to weakened plane joints. Weakened plane joints shall be constructed by the sawing method. Should grinding or grooving be required over or adjacent to any joint after the compression seal has been placed, the joint materials shall be removed and disposed of, and replaced at the Contractor's expense. Compression seals shall be recessed below the final finished surface as shown on the plans.

Transverse weakened plane joints shall be Type A1 or B as shown on the plans. Longitudinal weakened plane joints shall be Type A2 or B as shown on the plans.

Seven days after the concrete pavement placement and not more than 4 hours before placing preformed compression joint seals, the joint walls shall be cleaned by the dry sand blast method and other means as necessary to remove from the joint objectionable material such as soil, asphalt, curing compound, paint and rust. After cleaning the joint, traces of sand, dust and loose material shall be removed from and near the joint for a distance along the pavement surfaces of at least 50 mm on each side of the joint by the use of a vacuum device. Surface moisture or dampness shall be removed at the joints by means of compressed air or moderate hot compressed air or other means approved by the Engineer. Drying procedures that leave a residue or film on the joint wall shall not be used. Sandblasting equipment shall have a maximum nozzle diameter size of  $6 \pm 1$  mm and a minimum pressure of 0.62-MPa.

Longitudinal seals shall be installed before installing transverse seals. Longitudinal seals shall be continuous except at intersections with transverse seals. Transverse seals shall be installed in one continuous piece throughout each transverse joint. After the longitudinal seal is completed and the transverse seal is ready to be installed, a single cut with a sharp instrument or saw shall be made across the longitudinal seal at the middle of the intersection with the transverse seal. After the initial cut of the longitudinal seal, if the longitudinal joint material does not relax enough to allow proper installation of the transverse seal, the longitudinal joint material shall be trimmed precisely to accommodate the transverse seal and form a tight seal between the 2 joints.

An installation machine specifically designed for the installation of preformed compression joint seals shall be used to install the seal at the specified depth without cutting, nicking, or twisting the seal. The installation machine shall install the seal with no more than 4 percent stretch in the installed seal. Hand installation methods of installing seals will not be permitted.

The percentage of stretch shall be determined by laying a length of the preformed compression joint seal material cut to the exact length of the pavement joint to be sealed. The length shall then be measured. The cut length of preformed compression joint seal material shall then be installed in the joint. Excess amount of seal material remaining at the end of the joint shall be measured as the amount of stretch. The measured amount of stretch shall be divided by the original measured length to determine the percentage of stretch.

The completed seal shall not be twisted or have deformities that prevent the seal from making complete continuous contact with the joint walls. Seals installed that are twisted or deformed, or do not make continuous contact with joint walls or with greater than 4 percent stretch of the joint material will be rejected and removed.

### **CONSTRUCTING TRANSVERSE CONTACT JOINTS**

A transverse contact (construction) joint shall be constructed, including dowel bars, at the end of each day's work or where concrete placement is interrupted for more than 30 minutes, to coincide with the next weakened plane joint location.

If sufficient concrete has not been mixed to form a slab to match the next weakened plane joint, when an interruption occurs, the excess concrete shall be removed and disposed of back to the last preceding joint. The cost of removing and disposing of excess concrete shall be at the Contractor's expense. Excess material shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

A metal or wooden bulkhead (header) shall be used to form the joint. The bulkhead shall be designed to accommodate the installation of dowel bars.

### **CONSTRUCTING LONGITUDINAL ISOLATION JOINTS**

Final alignment of perpendicular transverse weakened plane joints in pavement shall not be made to match the spacing or skew of the weakened plane joints in the existing parallel concrete pavement. Tie bars shall not be placed across longitudinal isolation joints. The edge of the existing pavement shall be saw cut a width 3 mm and to the full depth of the existing concrete pavement to produce a flat vertical face. Prior to placing concrete, joint filler material shall be placed as shown on the plans. The joint filler shall be secured to the face of the existing pavement joint face by a method that will hold the joint filler in place and prevent the new concrete from adhering to the existing concrete, during placement of concrete.

Sealant for longitudinal isolation joints shall be compression seal and placed in conformance with the requirements for liquid joint sealant installation as specified above, except references to backer rods shall not apply.

### **CONSTRUCTING TRANSVERSE JOINT CONNECTIONS AND ANCHORS**

Concrete pavement joints at transitions to hot mix asphalt pavement, pavement end anchors and bridge approach slabs shall conform to the details as shown on the plans. Paint binder shall be applied to the concrete surface that hot mix asphalt pavement will contact. Paint binder shall be applied in conformance with the provisions in Section 39, "Hot Mix Asphalt," of the Standard Specifications.

### **PROFILE INDEX**

The pavement surface shall be profiled, by the Contractor not more than 10 days following concrete placement, in the presence of the Engineer, using a California Profilograph or equivalent in conformance with the requirements in California Test 526, except a blanking band of zero (null) shall be used to determine the Profile Index. Two profiles shall be made within each traffic lane, one meter from and parallel with each lane line.

Profiled pavement shall conform to the following Profile Index requirements:

1. Pavement on tangent alignment and pavement on horizontal curves having a centerline radius of curve 600 m or more shall have a Profile Index of 64 mm or less for each 0.1-km.

2. Pavement on horizontal curves having a centerline radius of curve 300 m or more but less than 600 m and pavement within the superelevation transition of those curves shall have a Profile Index of 128 mm or less for each 0.1-km.

Concrete shoulders shall be profiled. Two profiles shall be made within the shoulder, one meter from and parallel with each edge of the shoulder. Concrete shoulders profiled shall conform to the Profile Index requirements in this section.

Individual high points in excess of 7.5 mm, as determined by measurements of the profilogram in conformance with the requirements in California Test 526, except using a blanking band of zero (null), shall be reduced by grinding in conformance with the requirements in Section 40-1.10, "Final Finishing," of the Standard Specifications until the high points as indicated by reruns of the profilograph do not exceed 7.5 mm.

Pavement grinding shall not be performed before 10 days have elapsed after concrete placement, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa.

### **CONSTRUCTING WEAKENED PLANE JOINTS (EARLY ENTRY SAW METHOD)**

The Contractor may construct weakened plane joints using lighter weight concrete saws (early entry saws) specifically designed for sawing fresh concrete without the use of water. The early entry saws shall be capable of sawing joints within 2 hours of cure time after placement of the concrete pavement without raveling or tearing, as defined in Section 40-1.08B(1), "Sawing Method," of the Standard Specifications. Joints sawed with early entry saws that develop random cracking shall be removed to the nearest controlled joint and replaced with concrete pavement containing dowel bars and tie bars in conformance with these special provisions and as shown on the plans. The removal and replacement work shall be at the Contractor's expense. Weakened plane joints not sawed within 2 hours of placing concrete pavement shall be sawed by conventional power driven wet-type concrete saws in conformance with the requirements of Section 40-1.08B(1), "Sawing Method," of the Standard Specifications.

Sawed grooves shall be cut to a maximum of 3 mm in width for longitudinal and transverse weakened plane joints made with early entry saws. The minimum depth of cut shall be calculated utilizing the formula in Section 40-1.08B(1), "Sawing Method," of the Standard Specifications except  $d = t/4$ .

### **TIE BARS ALONG LONGITUDINAL JOINT FOR SHORT RADIUS CURVES**

When paving along short radius curves, the transverse joints shall be maintained in a single continuous straight line across lanes, through the radius point. Tie bars shall maintain minimum clearance from the transverse joint as shown on the plans. If the inside or outside curve of the panel does not allow equal uniform spacing of tie bars at 710 mm between tie bars, then the tie bars shall be equally spaced so that a minimum spacing of 375 mm to a maximum spacing of 710 mm is maintained between tie bars. Additional tie bars shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

If dowel bars are specified along longitudinal joint for short radius curves, then dowel bars shall conform to the requirements of this special provision for tie bars spacing and tolerance.

### **MEASUREMENT AND PAYMENT**

Sealing longitudinal and transverse weakened plane joints, and longitudinal isolation joints in portland cement concrete pavement will be measured by the meter. When a test strip conforms to the specifications for concrete pavement and remains a part of the project paving surface, the sealed pavement joints will be measured and paid for as seal pavement joint.

The contract price paid per meter for seal pavement joint shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in sealing pavement joints complete in place, including sawing, cleaning and preparing the joints in the concrete pavement, furnishing and installing compression seals, repairing and patching spalled or raveled sawed joints, and replacing or repairing rejected joints, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract price paid per meter for seal longitudinal isolation joint shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in sealing longitudinal isolation joints complete in place, including sawing, cleaning and preparing the joints in the concrete pavement, furnishing and installing joint filler material, repairing and patching spalled or raveled sawed joints, and replacing or repairing rejected joints, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Concrete pavement will be measured by the cubic meter in conformance with the provisions in Section 40-1.13, "Measurement," of the Standard Specifications. No deduction will be made for the volume of epoxy-coated dowel bars, epoxy-coated tie bars and, when used, tie bar baskets with fasteners and dowel bar baskets with fasteners, in the concrete pavement. When a test strip conforms to the specifications for concrete pavement and remains a part of the project paving surface, the concrete will be measured and paid for as concrete pavement.

The contract price paid per cubic meter for concrete pavement shall include full compensation for furnishing all labor, materials (including cementitious material in the amount determined by the Contractor), tools, equipment, and incidentals, and for doing all the work involved in constructing the portland cement concrete pavement complete in place, including furnishing and placing epoxy-coated dowel bars, epoxy-coated tie bars and, when used, any tie bar baskets and dowel bar baskets with fasteners, submittal to the Engineer all test data for determination of mix proportions of concrete for concrete pavement and for providing the facility, Contractor personnel and all the work involved in arranging and holding the prepaving conference, for constructing and repairing all joints; for performing all profile checks for Profile Index and furnishing final profilograms to the Engineer; for grooving and grinding required for final finishing; and for removing, and replacing pavement for deficient thickness, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for drilling holes and bonding tie bars with epoxy resin shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

Full compensation for coring test strips for evaluation by the Engineer and for backfilling core holes with hydraulic cement grout when the test strip remains in place as part of the concrete pavement; and for constructing, coring and removing and disposing of test strips that are rejected shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

Costs for providing JITT will be determined in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications, except no markups shall be added, and the Contractor will be paid for one half of the JITT cost. Costs for providing JITT shall include training materials, class site, and the JITT instructor including the JITT instructor's travel, lodging, meals and presentation materials. All costs incurred by the Contractor or Engineer for attending JITT shall be borne by the party incurring the costs.

Full compensation for core drilling for dowel bar or tie bar alignment and backfilling with hydraulic cement grout shall be considered as included in the contract price per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

If the initial cores show that dowel bars or tie bars are out of alignment tolerances and the Engineer orders additional dowel bar or tie bar coring, full compensation for drilling the additional cores shall be considered as included in the contract price per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

If the initial cores show that dowel bars or tie bars are within alignment tolerances and the Engineer orders more dowel bar coring the additional cores will be paid for as extra work in conformance with the provisions in Section 4-1.03D, "Extra Work," of the Standard Specifications.

Full compensation for drilling holes and bonding dowel bars with epoxy resin shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

Full compensation for furnishing and placing epoxy coated reinforcement for transition end panel shall be considered as included in the contract price paid per cubic yard for concrete pavement and no additional compensation will be allowed therefor.

Full compensation for furnishing and placing paint binder (tack coat) for transition end panel shall be considered as included in the contract price paid per cubic yard for concrete pavement and no additional compensation will be allowed therefor.

## **10-1.46 PILING**

### **GENERAL**

Piling shall conform to the provisions in Section 49, "Piling," of the Standard Specifications, and these special provisions.

Unless otherwise specified, welding of any work performed in conformance with the provisions in Section 49, "Piling," of the Standard Specifications, shall be in conformance with the requirements in AWS D1.1.

Attention is directed to "Supplemental Project Information," and "Welding" of these special provisions.

At the option of the Contractor, vibratory hammers, or oscillators may be used to install isolation casings at the locations listed in the following table:

| Bridge Name or Number                             | Abutment Number | Bent Number               |
|---|-----------------|---------------------------|
| 53-1253 Centinela Ave UC<br>Section 1-Slab Bridge |                 | 1B through 1M             |
| 53-1253 Centinela Ave UC<br>Section 2-Slab Bridge |                 | A, B, C, C1<br>through U1 |
| 53-1253 Centinela Ave UC CIP<br>Box Girder Bridge |                 | 1, 2, and 3               |
| 53-1254 Sepulveda Blvd UC                         |                 | 2, 3 and 4                |

Difficult pile installation is anticipated due to the presence of , caving soils, hazardous and contaminated materials, high ground water, low overhead clearance, underground utilities, overhead utilities, vibration monitoring and traffic control.

## **CAST-IN-DRILLED-HOLE CONCRETE PILES**

### **GENERAL**

#### **Summary**

Cast-in-drilled-hole (CIDH) concrete piling shall conform to the provisions in Section 49-4, "Cast-In-Place Concrete Piles," of the Standard Specifications and these special provisions.

The provisions of "Welding" of these special provisions shall not apply to temporary steel casings.

### **SUBMITTALS**

#### **Pile Installation Plan**

The Contractor shall submit a pile installation plan to the Engineer for approval for all CIDH concrete piling. The pile installation plan shall be submitted at least 15 days before constructing CIDH concrete piling and shall include complete descriptions, details, and supporting calculations for the following:

- A. Concrete mix design, certified test data, and trial batch reports.
- B. Drilling or coring methods and equipment.
- C. Proposed method for casing installation and removal when necessary.
- D. Plan view drawing of pile showing reinforcement. Include inspection pipes on the drawing, if inspection pipes are required.
- E. Methods for placing, positioning, and supporting bar reinforcement.
- F. Methods and equipment for determining the depth of concrete and actual and theoretical volume placed, including effects on volume of concrete when any casings are withdrawn.
- G. Methods and equipment for verifying that the bottom of the drilled hole is clean before placing concrete.
- H. Methods and equipment for preventing upward movement of reinforcement, including the Contractor's means of detecting and measuring upward movement during concrete placement operations.

For concrete placed under slurry, the pile installation plan shall also include complete descriptions, details, and supporting calculations for the following:

- A. Concrete batching, delivery, and placing systems, including time schedules and capacities. Time schedules shall include the time required for each concrete placing operation at each pile.
- B. Concrete placing rate calculations. When requested by the Engineer, calculations shall be based on the initial pump pressures or static head on the concrete and losses throughout the placing system, including anticipated head of slurry and concrete to be displaced.
- C. Suppliers' test reports on the physical and chemical properties of the slurry and any proposed slurry chemical additives, including Material Safety Data Sheet.
- D. Slurry testing equipment and procedures.
- E. Methods of removal and disposal of excavation, slurry, and contaminated concrete, including removal rates.
- F. Methods and equipment for slurry agitating, recirculating, and cleaning.

## **QUALITY ASSURANCE**

### **Concrete Test Batch**

Before concrete is deposited under slurry, a concrete test batch shall be produced and delivered to the project under conditions and in time periods similar to those expected during placement of concrete in the piles. Concrete shall be placed in an excavated hole or suitable container of adequate size to allow for testing as specified herein. Depositing of concrete under slurry will not be required. In addition to meeting the specified nominal slump, the concrete test batch shall meet the following requirements:

- A. For piles where the time required for each concrete placing operation, as submitted in the placing plan, will be 2 hours or less, the concrete test batch shall demonstrate that the proposed concrete mix design achieves a slump of at least 175 mm after twice that time has elapsed.
- B. For piles where the time required for each concrete placing operation, as submitted in the placing plan, will be more than 2 hours, the concrete test batch shall demonstrate that the proposed concrete mix design achieves a slump of at least 175 mm after that time plus 2 hours has elapsed.

The time period shall begin at the start of placement. Concrete shall not be vibrated or agitated during the test period. Slump tests will be performed in conformance with the requirements in California Test 556.

Upon completion of testing, concrete shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

## **MATERIALS**

### **Concrete**

Concrete deposited under slurry shall have a nominal slump equal to or greater than 175 mm, contain not less than 400 kilograms of cementitious material per cubic meter, and be proportioned to prevent excessive bleed water and segregation. The nominal and maximum slump and penetration requirements in Section 90-6.06, "Amount of Water and Penetration," of the Standard Specifications shall not apply.

### **Aggregate Grading**

The combined aggregate grading shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

At Centinela Avenue Undercrossing, bridge No. 53-1253 and Sepulveda Boulevard Undercrossing, bridge No. 53-1254, the combined aggregate grading used in concrete for CIDH concrete piling shall be the 9.5-mm maximum grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

When concrete is placed under slurry, the combined aggregate grading shall be either the 12.5-mm maximum grading or the 9.5-mm maximum grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

At Centinela Avenue Undercrossing, bridge No. 53-1253 and Sepulveda Boulevard Undercrossing, bridge No. 53-1254, when concrete is placed under slurry, the combined aggregate grading used in concrete for CIDH concrete piling shall be the 9.5-mm maximum grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

### **Grout**

Grout used to backfill casings shall conform to the provisions in Section 50-1.09, "Bonding and Grouting," of the Standard Specifications. Aggregate shall be used to extend the grout, but only to the extent that the cement content of the grout is not less than 501 kilograms per cubic meter of grout. California Test 541 will not be required nor will the grout be required to pass through a sieve with a 1.8-mm maximum clear opening before being introduced into the grout pump. Aggregate shall consist of at least 70 percent fine aggregate and approximately 30 percent pea gravel, by weight. Fine aggregate shall conform to the provisions of Section 90-2, "Materials," of the Standard Specifications. The size of pea gravel shall be such that 100 percent passes the 12.5-mm sieve, a minimum 90 percent passes the 9.5-mm sieve, and not more than 5 percent passes the No.2.36-mm sieve.

## Slurry

### Mineral Slurry

Mineral slurry shall be mixed and thoroughly hydrated in slurry tanks, and slurry shall be sampled from the slurry tanks and tested before placement in the drilled hole.

Slurry shall be recirculated or continuously agitated in the drilled hole to maintain the specified properties.

Recirculation shall include removal of drill cuttings from the slurry before discharging the slurry back into the drilled hole. When recirculation is used, the slurry shall be sampled and tested at least every 2 hours after beginning its use until tests show that the samples taken from the slurry tank and from near the bottom of the hole have consistent specified properties. Subsequently, slurry shall be sampled at least twice per shift as long as the specified properties remain consistent.

Slurry that is not recirculated in the drilled hole shall be sampled and tested at least every 2 hours after beginning its use. The slurry shall be sampled mid-height and near the bottom of the hole. Slurry shall be recirculated when tests show that the samples taken from mid-height and near the bottom of the hole do not have consistent specified properties.

Slurry shall also be sampled and tested prior to final cleaning of the bottom of the hole and again just prior to placing concrete. Samples shall be taken from mid-height and near the bottom of the hole. Cleaning of the bottom of the hole and placement of the concrete shall not start until tests show that the samples taken from mid-height and near the bottom of the hole have consistent specified properties.

Mineral slurry shall be tested for conformance to the requirements shown in the following table:

| MINERAL SLURRY   |  |  |
|--|--|--|
| PROPERTY   | REQUIREMENT                              | TEST   |
| Density (kg/m <sup>3</sup> )<br>- before placement in the drilled hole<br>- during drilling<br><br>- prior to final cleaning<br>- immediately prior to placing concrete                              | 1030* to 1110*<br><br><br>1030* to 1200* | Mud Weight (Density)<br>API 13B-1<br>Section 1   |
| Viscosity (seconds/liter)<br><br>bentonite<br><br>attapulgate  | <br><br>29 to 53<br><br>29 to 42         | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH   | 8 to 10.5                                | Glass Electrode pH Meter or pH Paper             |
| Sand Content (percent)<br>- prior to final cleaning<br>- immediately prior to placing concrete   | less than or equal to<br>4.0             | Sand<br>API 13B-1<br>Section 5                   |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m <sup>3</sup> .<br>Slurry temperature shall be at least 4°C when tested. |  |  |

Any caked slurry on the sides or bottom of hole shall be removed before placing reinforcement. If concrete is not placed immediately after placing reinforcement, the reinforcement shall be removed and cleaned of slurry, the sides of the drilled hole cleaned of caked slurry, and the reinforcement again placed in the hole for concrete placement.

### Synthetic Slurry

Synthetic slurries shall be used in conformance with the manufacturer's recommendations and these special provisions. The following synthetic slurries may be used:

| PRODUCT         | MANUFACTURER   |
|-----------------|--|
| SlurryPro CDP   | KB Technologies Ltd.<br>3648 FM 1960 West<br>Suite 107<br>Houston, TX 77068<br>(800) 525-5237                      |
| Super Mud       | PDS Company<br>c/o Champion Equipment Company<br>8140 East Rosecrans Ave.<br>Paramount, CA 90723<br>(562) 634-8180 |
| Shore Pac GCV   | CETCO Drilling Products Group<br>1350 West Shure Drive<br>Arlington Heights, IL 60004<br>(847) 392-5800            |
| Novagel Polymer | Geo-Tech Drilling Fluids<br>220 N. Zapata Hwy, Suite 11A<br>Laredo, TX 78043<br>(210) 587-4758                     |

Inclusion of a synthetic slurry on the above list may be obtained by meeting the Department's requirements for synthetic slurries. The requirements can be obtained from the Office of Structure Design, P.O. Box 942874, Sacramento, CA 94274-0001.

Synthetic slurries listed may not be appropriate for a given site.

Synthetic slurries shall not be used in holes drilled in primarily soft or very soft cohesive soils as determined by the Engineer.

A manufacturer's representative, as approved by the Engineer, shall provide technical assistance for the use of their product, shall be at the site prior to introduction of the synthetic slurry into a drilled hole, and shall remain at the site until released by the Engineer.

Synthetic slurries shall be sampled and tested at both mid-height and near the bottom of the drilled hole. Samples shall be taken and tested during drilling as necessary to verify the control of the properties of the slurry. Samples shall be taken and tested when drilling is complete, but prior to final cleaning of the bottom of the hole. When samples are in conformance with the requirements shown in the following tables for each slurry product, the bottom of the hole shall be cleaned and any loose or settled material removed. Samples shall be obtained and tested after final cleaning and immediately prior to placing concrete.

SlurryPro CDP synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| SLURRYPRO CDP<br>KB Technologies Ltd.  |  |  |
|--|--|--|
| PROPERTY   | REQUIREMENT  | TEST   |
| Density (kg/m <sup>3</sup> )<br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete   | less than or equal to 1075*<br><br>less than or equal to 1025* | Mud Weight (Density)<br>API 13B-1<br>Section 1   |
| Viscosity (seconds/liter)<br>- during drilling<br><br>-prior to final cleaning<br>- just prior to placing concrete   | 53 to 127<br><br>less than or equal to 74                      | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH   | 6 to 11.5  | Glass Electrode pH Meter or pH Paper             |
| Sand Content (percent)<br>- prior to final cleaning<br>- just prior to placing concrete  | less than or equal to 0.5                                      | Sand<br>API 13B-1<br>Section 5                   |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m <sup>3</sup> .<br>Slurry temperature shall be at least 4°C when tested. |  |  |

Super Mud synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| SUPER MUD<br>PDS Company   |  |  |
|--|--|--|
| PROPERTY   | REQUIREMENT                              | TEST   |
| Density (kg/m <sup>3</sup> )<br>- prior to final cleaning<br>- just prior to placing concrete  | less than or equal to 1025*              | Mud Weight (Density)<br>API 13B-1<br>Section 1   |
| Viscosity (seconds/liter)<br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete  | 34 to 64<br><br>less than or equal to 64 | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH   | 8 to 10.0                                | Glass Electrode pH Meter or pH Paper             |
| Sand Content (percent)<br>- prior to final cleaning<br>-just prior to placing concrete   | less than or equal to 0.5                | Sand<br>API 13B-1<br>Section 5                   |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m <sup>3</sup> .<br>Slurry temperature shall be at least 4°C when tested. |  |  |

Shore Pac GCV synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| Shore Pac GCV<br>CETCO Drilling Products Group   |  |  |
|--|--|--|
| PROPERTY   | REQUIREMENT                              | TEST   |
| Density (kg/m <sup>3</sup> )<br>- prior to final cleaning<br>- just prior to placing concrete  | less than or equal to 1025*              | Mud Weight (Density)<br>API 13B-1<br>Section 1   |
| Viscosity (seconds/liter)<br>- during drilling<br>- prior to final cleaning<br>- just prior to placing concrete  | 35 to 78<br><br>less than or equal to 60 | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH   | 8.0 to 11.0                              | Glass Electrode pH Meter or pH Paper             |
| Sand Content (percent)<br>- prior to final cleaning<br>-just prior to placing concrete   | less than or equal to 0.5                | Sand<br>API 13B-1<br>Section 5                   |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m <sup>3</sup> .<br>Slurry temperature shall be at least 4°C when tested. |  |  |

Novagel Polymer synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| NOVAGEL POLYMER<br>Geo-Tech Drilling Fluids  |  |  |
|--|--|--|
| PROPERTY   | REQUIREMENT  | TEST   |
| Density (kg/m <sup>3</sup> )<br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete   | less than or equal to 1075*<br><br>less than or equal to 1025* | Mud Weight (Density)<br>API 13B-1<br>Section 1   |
| Viscosity (seconds/liter)<br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete  | 48 to 110<br><br>less than or equal to 110                     | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH   | 6.0 to 11.5  | Glass Electrode pH Meter or pH Paper             |
| Sand Content (percent)<br>- prior to final cleaning<br>-just prior to placing concrete   | less than or equal to 0.5                                      | Sand<br>API 13B-1<br>Section 5                   |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m <sup>3</sup> .<br>Slurry temperature shall be at least 4°C when tested. |  |  |

### Water Slurry

At the option of the Contractor, water may be used as slurry when casing is used for the entire length of the drilled hole. Water slurry shall be tested for conformance to the requirements shown in the following table:

| WATER SLURRY   |                           |  |
|--|---------------------------|--|
| PROPERTY   | REQUIREMENT               | TEST   |
| Density (kg/m <sup>3</sup> )<br>- prior to final cleaning<br>- just prior to placing concrete  | 1017 *                    | Mud Weight (Density)<br>API 13B-1<br>Section 1 |
| Sand Content (percent)<br>- prior to final cleaning<br>-just prior to placing concrete   | less than or equal to 0.5 | Sand<br>API 13B-1<br>Section 5                 |
| *When approved by the Engineer, salt water slurry may be used, and the allowable densities may be increased up to 32 kg/m <sup>3</sup> . |                           |  |

## CONSTRUCTION

### General

CIDH concrete piling 600 mm in diameter or larger may be constructed by excavation and depositing concrete under slurry.

Disposal of drill cuttings shall conform to the provisions in "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" of these special provisions.

Portions of CIDH concrete piling shown on the plans to be formed shall be formed and finished in conformance with the provisions for concrete structures in Section 51, "Concrete Structures," of the Standard Specifications.

### Permanent Steel Casing Installation

When approved by the Engineer, permanent steel casings shall be installed by impact or vibratory hammers, oscillators, rotators, or by placing in a drilled hole. The provisions of Section 49-1.08, "Pile Driving Acceptance Criteria," of the Standard Specifications shall not apply to permanent steel casings.

Permanent steel casings placed in a drilled hole shall conform to the following requirements:

- A. Casings shall be positioned with spacers to center the casing inside the drilled hole. Spacers may be welded to the outside of the casing.
- B. Voids in the annular space between the casing and the soil shall be filled with grout.
- C. Grout shall be placed from the bottom of the casing using grout tubes. Placement of grout shall continue until all voids have been filled and the grout reaches the top of the casing. Free fall of the grout from the top to the bottom of the casing will not be allowed.
- D. Grout shall be pumped into the annular space such that the grout head is maintained uniformly around the casing and no visible evidence of water or air is ejected at the top of the grout.
- E. One grout tube shall be placed every 1.2 meters along the circumference of the casing with a minimum of 4 grout tubes per casing.
- F. Grout tubes shall extend down to no less than 1 foot from the bottom of the casing.

If the Contractor elects to construct the optional construction joint shown on the plans for CIDH concrete piling and a permanent steel casing is not shown on the plans, the Contractor shall furnish and install a casing that:

- A. Remains permanently in place.
- B. Is watertight and of sufficient strength to prevent damage and to withstand the loads from installation procedures, drilling and tooling equipment, lateral concrete pressures, and earth pressures.
- C. Extends to an elevation at least 1.5 meters below the construction joint.
- D. Does not extend above the top of the drilled hole or final grade which ever is lower.
- E. Conforms to the requirements in Section 5-1.02A, "Excavation Safety Plans," of the Standard Specifications.
- F. Does not increase the diameter of the CIDH concrete piling more than 0.6 meter.
- G. Is installed in conformance with the installation requirements for permanent steel casings.

### **Placing Concrete**

Concrete deposited under slurry shall be carefully placed in a compact, monolithic mass and by a method that will prevent washing of the concrete. Concrete deposited under slurry need not be vibrated. Placing concrete shall be a continuous operation lasting not more than the time required for each concrete placing operation at each pile, as submitted in the placing plan, unless otherwise approved in writing by the Engineer. Concrete shall be placed with concrete pumps and delivery tube system of adequate number and size to complete the placing of concrete in the time specified. The delivery tube system shall consist of one of the following:

- A. A tremie tube or tubes, each of which are at least 250 in diameter, fed by one or more concrete pumps.
- B. One or more concrete pump tubes, each fed by a single concrete pump.

The delivery tube system shall consist of watertight tubes with sufficient rigidity to keep the ends always in the mass of concrete placed. If only one delivery tube is utilized to place the concrete, the tube shall be placed near the center of the drilled hole. Multiple tubes shall be uniformly spaced in the hole. Internal bracing for the steel reinforcing cage shall accommodate the delivery tube system. Tremies shall not be used for piles without space for a 250-mm tube.

Spillage of concrete into the slurry during concrete placing operations shall not be allowed. Delivery tubes shall be capped with a watertight cap, or plugged above the slurry level with a good quality, tight fitting, moving plug that will expel the slurry from the tube as the tube is charged with concrete. The cap or plug shall be designed to be released as the tube is charged. The pump discharge or tremie tube shall extend to the bottom of the hole before charging the tube with concrete. After charging the delivery tube system with concrete, the flow of concrete through a tube shall be induced by slightly raising the discharge end. During concrete placement, the tip of the delivery tube shall be maintained as follows to prevent reentry of the slurry into the tube. Until at least 3 meters of concrete has been placed, the tip of the delivery tube shall be within 150 mm of the bottom of the drilled hole, and then the embedment of the tip shall be maintained at least 3 meters below the top surface of the concrete. Rapid raising or lowering of the delivery tube shall not be permitted. If the seal is lost or the delivery tube becomes plugged and must be removed, the tube shall be withdrawn, the tube cleaned, the tip of the tube capped to prevent entrance of the slurry, and the operation restarted by pushing the capped tube 3 meters into the concrete and then reinitiating the flow of concrete.

When slurry is used, a fully operational standby concrete pump, adequate to complete the work in the time specified, shall be provided at the site during concrete placement. The slurry level shall be maintained 3 meters above the piezometric head or within 300 mm of the top of the drilled hole, whichever is higher.

A log of concrete placement for each drilled hole shall be maintained by the Contractor when concrete is deposited under slurry. The log shall show the pile location, tip elevation, dates of excavation and concrete placement, total quantity of concrete deposited, length and tip elevation of any casing, and details of any hole stabilization method and materials used. The log shall include a 215 mm X 280 mm sized graph of the concrete placed versus depth of hole filled. The graph shall be plotted continuously throughout placing of concrete. The depth of drilled hole filled shall be plotted vertically with the pile tip oriented at the bottom and the quantity of concrete shall be plotted horizontally. Readings shall be made at each 1.5 meters of pile depth, and the time of the reading shall be indicated. The graph shall be labeled with the pile location, tip elevation, cutoff elevation, and the dates of excavation and concrete placement. The log shall be delivered to the Engineer within one working day of completion of placing concrete in the pile.

After placing reinforcement and before placing concrete in the drilled hole, if drill cuttings settle out of the slurry, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

If a temporary casing is used, concrete placed under slurry shall be maintained at a level at least 1.5 meters above the bottom of the casing. The withdrawal of the casing shall not cause contamination of the concrete with slurry. If slurry is not used, the temporary casing shall not be withdrawn until the concrete head in the casing is greater than the groundwater outside of the casing. This positive concrete head shall be maintained during the withdrawal of the casing.

Material resulting from using slurry shall be handled, transferred and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

At the locations that are contaminated with aurally deposited lead, handling, transferring and disposal of material resulting from using slurry shall conform to the provisions in "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" of these special provisions.

### **Acceptance Testing and Mitigation**

Vertical inspection pipes for acceptance testing shall be provided in all CIDH concrete piling 600 mm in diameter or larger, except when the holes are dry or when the holes are dewatered without the use of temporary casing in a manner that controls ground water.

The furnishing and placing of inspection pipes shall conform to the following:

- A. Inspection pipes shall be Schedule 40 PVC pipe with a nominal inside diameter of 50 mm. Watertight PVC couplers are permitted to facilitate pipe lengths in excess of those which are commercially available. The Contractor shall log the location of the inspection pipe couplers with respect to the plane of pile cut off, and these logs shall be delivered to the Engineer upon completion of the placement of concrete in the drilled hole.
- B. Each inspection pipe shall be capped at the bottom and shall extend from 0.9 meter above the pile cutoff down to the bottom of the reinforcing cage. A temporary top cap or similar means shall be provided to keep the pipes clean before testing. If pile cutoff is below the ground surface or working platform, inspection pipes shall be extended to 0.9 meter above the ground surface or working platform. Approved covers or railings shall be provided and inspection pipes shall be located as necessary to minimize exposure of testing personnel to potential falling hazards.
- C. Inspection pipes shall be completely clean, dry, and unobstructed at the time of testing providing a 50 mm diameter clear opening.
- D. The inspection pipes shall be installed in straight alignment, parallel to the main reinforcement, and securely fastened in place to prevent misalignment during installation of the reinforcement and placing of concrete in the hole. The CIDH concrete piling shall be constructed so that the relative distance of inspection pipes to vertical steel reinforcement shall remain constant.
- E. When any changes are made to the tip of CIDH concrete piling, the Contractor shall also extend the inspection pipes to the bottom of the reinforcing cage.

The following additional requirements apply if inspection pipes are not shown on the plans:

- A. Inspection pipes shall be placed radially around the pile, inside the outermost spiral or hoop reinforcement and no more than 25 mm clear of the outermost spiral or hoop reinforcement.
- B. Inspection pipes shall be placed around the pile at a uniform spacing not exceeding 840 mm measured along the circle passing through the centers of inspection pipes. A minimum of 2 inspection pipes per pile shall be used. Inspection pipes shall be placed to provide the maximum diameter circle that passes through the centers of the inspection pipes while maintaining the spacing required herein.
- C. Inspection pipes shall be placed a minimum of 75 mm clear of the vertical reinforcement. When the vertical reinforcement configuration does not permit this clearance while achieving radial location requirements, distance to vertical rebar shall be maximized while still maintaining the requirement for radial location.
- D. Where the dimensions of the pile reinforcement do not permit inspection pipes to be placed per these requirements, a plan for tube placement shall be submitted to the Engineer for approval in the Pile Placement Plan with a request for deviation before fabricating pile reinforcement.

After placing concrete and before requesting acceptance tests, each inspection pipe shall be tested by the Contractor in the presence of the Engineer by passing a 32 mm diameter rigid cylinder 1.375 meters long through the length of pipe. If an inspection pipe fails to pass the 32-mm-diameter cylinder, the Contractor shall immediately fill all inspection pipes in the pile with water.

For each inspection pipe that does not pass the 32-mm diameter cylinder, the Contractor shall core a nominal 50-mm diameter hole through the concrete for the entire length of the pile. Cored holes shall be located as close as possible to the inspection pipes they are replacing and shall be no more than 125 mm clear from the reinforcement.

Coring shall not damage the pile reinforcement. Cored holes shall be made with a double wall core barrel system utilizing a split tube type inner barrel. Coring with a solid type inner barrel will not be allowed. Coring methods and equipment shall provide intact cores for the entire length of the pile. The coring operation shall be logged by an Engineering Geologist or Civil Engineer licensed in the State of California and experienced in core logging. Coring logs shall be in conformance with the Department's "Soil and Rock Logging, Classification, and Presentation Manual." Coring logs shall include Core Recovery (REC), Rock Quality Designation (RQD), locations of breaks, and complete descriptions of inclusions and voids encountered during coring, and shall be delivered to the Engineer upon completion. Concrete cores shall be preserved, identified with the exact location the core was recovered from within the pile, and delivered to the Engineer upon completion. The Engineer will evaluate the portion of the pile represented by the cored hole based on the submitted core logs.

Acceptance tests of the concrete will be made by the Engineer, without cost to the Contractor. Acceptance tests will evaluate the homogeneity of the placed concrete. Tests will include gamma-gamma logging conducted in conformance with California Test 233. The Contractor shall not conduct operations within 8 meters of the gamma-gamma logging operations. The Contractor shall separate reinforcing steel as necessary to allow the Engineer access to the inspection pipes to perform gamma-gamma logging or other acceptance testing. After requesting acceptance tests and providing access to the piles, the Contractor shall allow 20 days for the Engineer to conduct these tests and make determination of acceptance. Should the Engineer fail to complete these tests within the time allowance, and if in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in inspection, the delay will be considered a right of way delay as specified in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Engineer may elect to perform additional tests to further evaluate a pile. These tests may include crosshole sonic logging and other means of inspection selected by the Engineer. When the Engineer elects to perform additional tests to further evaluate anomalies for a rejected pile, no time requirement exists for performing these tests. The Contractor may progress with the mitigation plan process without waiting for these supplemental results.

Inspection pipes and cored holes shall be dewatered and filled with grout after notification by the Engineer that the pile is acceptable. Grout shall conform to the provisions in Section 50-1.09, "Bonding and Grouting," of the Standard Specifications. Inspection pipes and holes shall be filled using grout tubes that extend to the bottom of the pipe or hole or into the grout already placed.

If acceptance testing performed by the Engineer determines that a pile does not meet the requirements of the specifications and California Test 233, Part 5C, then that pile will be rejected and all depositing of concrete under slurry or concrete placed using temporary casing for the purpose of controlling groundwater shall be suspended until written changes to the methods of pile construction are approved in writing by the Engineer.

The Engineer will determine whether the rejected pile requires mitigation due to structural, geotechnical, or corrosion concerns. The Engineer will consider the estimated size and location of the anomaly and potential effects upon the design. The Engineer will provide the conclusions of this analysis to the Contractor for development of a mitigation plan, if required. The Contractor shall allow 35 days for the Engineer to determine whether the pile requires mitigation and provide information to the Contractor. Day 1 of the 35 days shall be the first day after access has been provided to the Engineer to perform acceptance testing. If additional information is submitted to the Engineer that modifies the size, shape, or nature of the anomaly, the Contractor shall allow 15 additional days for the subsequent analysis.

If the Engineer determines that a rejected pile does not require mitigation, the Contractor may elect to 1) repair the pile per the approved mitigation plan, or 2) not repair anomalies found during acceptance testing of that pile. For such unrepaired piles, the Contractor shall pay to the State, \$400 per cubic meter for the portion of the pile affected by the anomalies. The volume, in cubic meter, of the portion of the pile affected by the anomalies, shall be calculated as the area of the cross section of the pile affected by each anomaly, in square meters, as determined by the Engineer, multiplied by the distance, in meters, from the top of each anomaly to the specified tip of the pile. If the volume calculated for one anomaly overlaps the volume calculated for additional anomalies within the pile, the calculated volume for the overlap shall only be counted once. In no case shall the amount of the payment to the State for any such pile be less than \$400. The Department may deduct the amount from any moneys due, or that may become due the Contractor under the contract.

If the Engineer determines that a rejected pile requires mitigation, the Contractor shall submit to the Engineer for approval a mitigation plan for repair, supplementation, or replacement for each rejected CIDH concrete pile conforming to the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. If the Engineer determines that it is not feasible to repair the rejected pile, the Contractor shall not include repair as a means of mitigation and shall proceed with the submittal of a mitigation plan for replacement or supplementation of the rejected pile.

Pile mitigation plans shall include the following:

- A. The designation and location of the pile addressed by the mitigation plan.
- B. A review of the structural, geotechnical, and corrosion design requirements of the rejected pile.
- C. A step by step description of the mitigation work to be performed, including drawings if necessary.
- D. An assessment of how the proposed mitigation work will address the structural, geotechnical, and corrosion design requirements of the rejected pile.
- E. Methods for preservation or restoration of existing earthen materials.
- F. A list of affected facilities, if any, with methods and equipment for protection of these facilities during mitigation.
- G. The State assigned contract number, bridge number, full name of the structure as shown on the contract plans, District-County-Route-Kilopost, and the Contractor's (and Subcontractor's if applicable) name on each sheet.
- H. A list of materials, with quantity estimates, and personnel, with qualifications, to be used to perform the mitigation work.
- I. The seal and signature of an engineer who is licensed as a Civil Engineer by the State of California. This requirement is waived for approved mitigation plans when either of the following conditions are present:
  1. The proposed mitigation will be performed in conformance with the most recent Department approved version of "ADSC Standard Mitigation Plan 'A' - Basic Repair" without exception or modification.
  2. The Engineer has determined that the rejected pile does not require mitigation due to structural, geotechnical, or corrosion concerns, and the Contractor elects to repair the pile using most recent Department approved version of "ADSC Standard Mitigation Plan 'B' - Grouting Repair" without exception or modification.

The most recent Department approved version of the "ADSC Standard Mitigation Plan" is available at:

<http://www.dot.ca.gov/hq/esc/geotech/ft/adscmitplan.htm>

For rejected piles to be repaired, the Contractor shall submit a pile mitigation plan that contains the following additional information:

- A. An assessment of the nature and size of the anomalies in the rejected pile.
- B. Provisions for access for additional pile testing if required by the Engineer.

For rejected piles to be replaced or supplemented, the Contractor shall submit a pile mitigation plan that contains the following additional information:

- A. The proposed location and size of additional piles.
- B. Structural details and calculations for any modification to the structure to accommodate the replacement or supplemental piles.

All provisions for CIDH concrete piling shall apply to replacement piles.

The Contractor shall allow the Engineer 20 days to review the mitigation plan after a complete submittal has been received.

Should the Engineer fail to review the complete pile mitigation submittal within the time specified, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the pile mitigation plan, an extension of time commensurate with the delay in completion of the work thus caused will be granted in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

When repairs are performed, the Contractor shall submit a mitigation report to the Engineer within 10 days of completion of the repair. This report shall state exactly what repair work was performed and quantify the success of the repairs relative to the submitted mitigation plan. The mitigation report shall be stamped and signed by an engineer that is licensed as a Civil Engineer by the State of California. The mitigation report shall show the State assigned contract number, bridge number, full name of the structure as shown on the contract plans, District-County-Route-Kilopost, and the Contractor (and subcontractor if applicable) name on each sheet. The Engineer will be the sole judge as to whether a mitigation proposal is acceptable, the mitigation efforts are successful, and to whether additional repairs, removal and replacement, or construction of a supplemental foundation is required.

## **MEASUREMENT AND PAYMENT (PILING)**

Measurement and payment for the various types and classes of piles shall conform to the provisions in Sections 49-6.01, "Measurement," and 49-6.02, "Payment," of the Standard Specifications and these special provisions.

Payment for cast-in-place concrete piling shall conform to the provisions in Section 49-6.02, "Payment," of the Standard Specifications and these special provisions except that when the diameter of cast-in-place concrete piling is shown on the plans as 600 mm or larger, reinforcement in the piling will be paid for by the kilogram as bar reinforcing steel (bridge). Payment for cast-in-drilled-hole concrete pile (Sign Foundation) shall conform to the provisions of Section 86-8.01, "Payment," of the Standard Specifications and these special provisions.

Full compensation for slurry, depositing concrete under slurry, test batches, inspection pipes, filling inspection holes and pipes with grout, drilling oversized cast-in-drilled-hole concrete piling, filling cave-ins, providing temporary casing and oversized piles with concrete, and redrilling through concrete shall be considered as included in the contract prices paid per meter for cast-in-drilled-hole concrete piling of the types and sizes listed in the Engineer's Estimate, and no additional compensation will be allowed therefor.

Full compensation for furnishing and installing permanent steel casing for bents 2, 3 and 4 at Sepulveda Boulevard Undercrossing, bridge No. 53-1254 due to the Contractor's choice to utilize the optional construction joint shown on the plans shall be considered as included in the contract prices paid per meter for cast-in-drilled-hole concrete piling of the types and sizes listed in the Engineer's Estimate, and no separate payment will be made therefor.

Full compensation for handling, transferring and disposal of materials resulted from drilling and using slurry in conformance with the provisions in "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" shall be considered as included in the contract price paid per meter for cast-in-drilled-hole concrete piling of the types and sizes listed in the Engineer's Estimate, and no separate payment will be made therefor.

Full compensation for any additional structure excavation, lean concrete backfill, or incidentals associated with using the corrugated metal pipe option as an isolation casing shall be considered as included in the contract unit paid per kilogram for isolation casing listed in the Engineer's Estimate, and no additional payment will be made therefor.

### **10-1.47 PRESTRESSING CONCRETE**

Prestressing concrete shall conform to the provisions in Section 50, "Prestressing Concrete," of the Standard Specifications and these special provisions.

The details shown on the plans for cast-in-place prestressed box girder bridges are based on a bonded full length draped tendon prestressing system. For these bridges the Contractor may, in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications, propose an alternative prestressing system utilizing bonded partial length tendons provided the proposed system and associated details meet the following requirements:

- A. The proposed system and details shall provide moment and shear resistances at least equal to those used for the design of the structure shown on the plans.
- B. The concrete strength shall not be less than that shown on the plans.
- C. Not less than 35 percent of the total prestressing force at any section shall be provided by full length draped tendons.
- D. Anchorage blocks for partial length tendons shall be located so that the blocks will not interfere with the placement of the utility facilities shown on the plans or of any future utilities to be placed through openings shown on the plans.
- E. Temporary prestressing tendons, if used, shall be detensioned, and the temporary ducts shall be filled with grout before completion of the work. Temporary tendons shall be either removed or fully encased in grout before completion of the work.
- F. All details of the proposed system, including supporting checked calculations, shall be included in the drawings submitted in conformance with the provisions in Section 50-1.02, "Drawings," of the Standard Specifications.

Moments and shears for loads used in the design shown on the plans will be made available to the Contractor upon written request to the Engineer.

### **10-1.48 CONCRETE STRUCTURES**

Portland cement concrete structures shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

## **GENERAL**

Shotcrete shall not be used as an alternative construction method for reinforced concrete members unless otherwise specified.

When a roughened concrete surface is shown on the plans, the existing concrete surface shall be roughened to a full amplitude of approximately 6 mm by abrasive blasting, water blasting, or mechanical equipment.

Neoprene strip shall be furnished and installed at abutments and wingwalls for Sepulveda Boulevard Undercrossing, bridge No 53-1254, and for Centinela Avenue Undercrossing, bridge No 53-1253 including bent U1 in conformance with the details shown on the plans, the provisions in the Standard Specifications, and these special provisions.

Furnishing and installing neoprene strip shall conform to the requirements for strip waterstops as provided in Section 51-1.145, "Strip Waterstops," of the Standard Specifications, except that the protective board will not be required.

Forms used to support the deck of cast-in-place box girders or to form the voids of precast members for the following structure may remain in place, provided the portions of the forms that obstruct access openings or conflict with utility facilities are removed, the forming system employed leaves no sharp projections into the cells or voids, and forms between hinges and 1.5 m beyond access openings adjacent to hinges are removed:

Sepulveda Boulevard Undercrossing, bridge No 53-1254.

At locations outside the channel of Centinela Avenue Undercrossing (Widen), bridge No 53-1253, the reinforced concrete slab portion, forms used for columns inside isolation casings may remain in place. However, lateral bracings for these column forms inside the isolation casing shall be removed prior to installing steel top plates.

Materials for access opening covers in soffits of new cast-in-place concrete box girder bridges shall conform to the provisions for materials in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Plastic pipe located at vertical drains used at barrier slabs and behind retaining walls, including horizontal or sloping drains down slopes and across sidewalk areas, shall be polyvinyl chloride (PVC) plastic pipe, Schedule 80, conforming to the provisions for pipe for edge drains and edge drain outlets in Section 68-3.02, "Materials," of the Standard Specifications. The vertical drain pipe shall be rigidly supported in place during backfilling operations.

## **DECK CRACK TREATMENT**

The Contractor shall use all means necessary to minimize the development of shrinkage cracks.

The Contractor shall remove all equipment and materials from the deck and clean the surface as necessary for the Engineer to measure the surface crack intensity. Surface crack intensity will be determined by the Engineer after completion of concrete cure, before prestressing, and before the release of falsework. In any 50 square meter portion of deck within the limits of the new concrete deck, should the intensity of cracking be such that there are more than 5 m of cracks whose width at any location exceeds 0.5 mm, the deck shall be treated with a high molecular weight methacrylate (HMWM) resin system. The area of deck to be treated shall have a width that extends for the entire width of new deck inside the concrete barriers and a length that extends at least 1.5 m beyond the furthest single continuous crack outside the 50 square meter portion, measured from where that crack exceeds 0.5 mm in width, as determined by the Engineer.

Deck crack treatment shall include furnishing, testing, and applying the HMWM resin system, with sand and absorbent material. If grinding is required, deck crack treatment shall take place before grinding.

### **Submittals**

Submit a HMWM resin system placement plan. When HMWM resin is to be applied within 100 feet of a residence, business, or public space including sidewalks under a structure, also submit a public safety plan. Submit plans under Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The review time is 15 days.

The HMWM resin system placement plan must include:

1. Schedule of work and testing for each bridge
2. Description of equipment for applying HMWM resin
3. Range of gel time and final cure time for HMWM resin
4. Absorbent material to be used
5. Description of equipment for applying and removing excess sand and absorbent material
6. Procedure for removing HMWM resin from the deck, including equipment
7. Storage and handling of HMWM resin components and absorbent material
8. Disposal of excess HMWM resin and containers

The public safety plan must include:

1. A public notification letter with a list of delivery and posting addresses. The letter must state HMWM resin work locations, dates, times, and what to expect. Deliver the letter to residences and businesses within 31 meters of HMWM resin work locations and to local fire and police officials at least 7 days before starting work. Post the letter at the job site.
2. An airborne emissions monitoring plan prepared and executed by a certified industrial hygienist (CIH) certified in comprehensive practice by the American Board of Industrial Hygiene. The plan must have at least 4 monitoring points including the mixing point, application point, and point of nearest public contact. Monitor airborne emissions during HMWM resin work and submit emissions monitoring results after completing the work.
3. An action plan for protection of the public when airborne emissions levels exceed permissible levels.
4. A copy of the CIH's certification.

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of HMWM resin, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

### **Quality Control and Assurance**

Submit samples of HMWM resin components 15 days before use under Section 6-3, "Testing," of the Standard Specifications. Notify the Engineer 15 days before delivery of HMWM resin components in containers over 55 gallons to the job site.

Complete a test area before starting work. Results from airborne emissions monitoring of the test area must be submitted to the Engineer before starting production work.

The test area must:

1. Be approximately 50 square meters
2. Be placed within the project limits outside the traveled way at an approved location
3. Be constructed using the same equipment as the production work
4. Replicate field conditions for the production work
5. Demonstrate proposed means and methods meet the acceptance criteria
6. Demonstrate production work will be completed within the time allowed
7. Demonstrate suitability of the airborne emissions monitoring plan

The test area will be acceptable if:

1. The treated deck surface is tack free and non-oily
2. The sand cover adheres and resists brushing by hand
3. Excess sand and absorbent material has been removed
4. The coefficient of friction is at least 0.35 when tested under California Test 342

### **Materials**

HMWM resin system consists of a resin, promoter, and initiator. HMWM resin must be low odor and comply with the following:

**HMWM Resin**

| Property                                      | Requirement  | Test Method                                      |
|---|--|--|
| Volatile Content*                             | 30 percent, maximum  | ASTM D 2369                                      |
| Viscosity*                                    | 0.025 Pa s, maximum,<br>(Brookfield RVT with<br>UL adaptor,<br>50 RPM at 25°C) | ASTM D 2196                                      |
| Specific Gravity*                             | 0.90 minimum, at<br>25°C   | ASTM D 1475                                      |
| Flash Point*                                  | 82°C, minimum  | ASTM D 3278                                      |
| Vapor Pressure*                               | 1.0 mm Hg, maximum,<br>at at 25°C  | ASTM D 323                                       |
| Tack-free Time                                | 400 minutes,<br>maximum, at 25°C   | Specimens prepared<br>per California<br>Test 551 |
| PCC Saturated<br>Surface-Dry Bond<br>Strength | 3.5 MPa, minimum at<br>24 hours and 21 ± 1°C                                   | California Test 551                              |

\*Test must be performed before adding initiator.

Sand for abrasive sand finish must:

1. Be commercial quality dry blast sand
2. Have at least 95 percent pass the 2.36-mm sieve and at least 95 percent retained on the 850-µm sieve when tested under California Test 205

Absorbent material must be diatomaceous earth, abrasive blast dust, or substitute recommended by the HMWM resin supplier and approved by the Engineer.

**Construction**

HMWM resin system applied by machine must be:

1. Combined in volumetric streams of promoted resin to initiated resin by static in-line mixers
2. Applied without atomization

HMWM resin system may be applied manually. Limit the quantity of resin mixed for manual application to 20 liters at a time.

Prepare the area to be treated by abrasive blasting. Curing compound, surface contaminants, and foreign material must be removed from the bridge deck surface. Sweep the deck surface clean after abrasive blasting and blow loose material from cracks using high-pressure air.

The deck surface must be dry when abrasive blast cleaning is performed. When abrasive blast cleaning within 3 meters of public traffic, remove dust and residue from abrasive blast cleaning using a vacuum attachment operating concurrently with blasting equipment. If the deck surface becomes contaminated before placing HMWM, abrasive blast clean the contaminated area and sweep the deck clean.

The deck must be dry before applying HMWM resin. The concrete surface must be at least 10°C and at most 38°C. Relative humidity must be expected to be at most 85 percent during the work shift.

Thoroughly mix all components of the HMWM resin system. Apply HMWM resin to the deck surface within 5 minutes of mixing at approximately 2.2 square meters per liter. The Engineer determines the exact application rate. The resin gel time must be between 40 and 90 minutes. HMWM resin that thickens during application is rejected.

Spread the HMWM resin system uniformly. Completely cover surfaces to be treated and fill all cracks. Redistribute excess resin using squeegees or brooms within 10 minutes of application. For textured or grooved deck surfaces, excess resin must be removed from the texture indentations.

Apply the abrasive sand finish of at least 1 kilogram per square meter or until saturation as determined by the Engineer, no sooner than 20 minutes after applying resin. Apply absorbent material before opening lane to traffic. Remove excess sand and absorbent material by vacuuming or power sweeping.

Traffic or equipment will be allowed on the overlay after the Engineer has determined:

1. The treated deck surface is tack free and non-oily
2. The sand cover adheres and resists brushing by hand
3. Excess sand and absorbent material has been removed
4. No material will be tracked beyond limits of treatment by traffic

### **CONCRETE**

Concrete for channel wall rebuilt and footing closure pour in the channel invert at Centinela Avenue Undercrossing, bridge No. 53-1253 shall conform to the requirements of structure concrete, bridge footing as shown on the plans.

### **AGGREGATE GRADINGS**

The aggregate grading of concrete for columns, bents, drop bent caps, girders and soffits at Centinela Avenue Undercrossing, bridge No. 53-1253 and Sepulveda Boulevard Undercrossing, bridge No. 53-1254 shall be the 9.5 mm maximum combined aggregate grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

### **ELASTOMERIC SEALANT**

The polyurethane elastomeric 2c NS sealant for use in the channel, as shown on the plans, shall be a 2-component, nonsag polyurethane sealant conforming to the requirements in ASTM Designation: C 920, Type M, Grade NS, Class 25, use T, NT, M, and O. The elastomeric 2c NS sealant shall be used in conformance with the manufacturer's recommendations.

### **TYPE AL JOINT SEALS**

Joint seal called for by the Movement Rating of 13 mm shown on the plans shall be Type AL joint seals. Type AL joint seals shall conform to the provisions in Section 51-1.12F, "Sealed Joints" of the Standard Specifications. The sealant may be mixed by hand-held, power-driven agitators and placed by hand methods.

### **FALSEWORK**

Falsework shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

In addition to the provisions in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications, the time to be provided for the Engineer's review of the working drawings for specific structures, or portions thereof, shall be as follows:

| Structure or Portion of Structure       | Total Review Time - Weeks |
|---|---------------------------|
| Centinela Ave UC<br>Bridge No. 53-1253  | 7                         |
| Sepulveda Blvd UC<br>Bridge No. 53-1254 | 6                         |

Falsework drawings shall include the existing Centinela Creek Channel stationing as shown on the plans.

Approval by the Engineer of the falsework plans for Centinela Avenue Undercrossing, bridge No. 53-1253, bents C trough P1, will be contingent upon the drawings and design calculations being satisfactory to the Los Angeles County Department of Public Works (LACDPW).

Falsework loading on top of Centinela Creek Channel wall will not be allowed.

Falsework footings for Centinela Avenue Undercrossing, bridge No. 53-1253, bents C trough P1, shall be designed to carry the load imposed upon the supporting concrete slab without exceeding the soil bearing value of 96 kN/m<sup>2</sup>.

The Contractor's engineer who signs the falsework drawings shall also certify in writing that the falsework is constructed in conformance with the approved drawings and the contract specifications prior to placing concrete. This certification shall include performing any testing necessary to verify the ability of the falsework members to sustain the stresses required by the falsework design. The engineer who signs the drawings may designate a representative to perform this certification. Where falsework contains openings for vehicular traffic, or pedestrians, the designated representative shall be qualified to perform this work, shall have at least three years of combined experience in falsework design or supervising falsework construction, and shall be registered as a Civil Engineer in the State of California. For other falsework, the designated representative shall be qualified to perform this work and shall have at least three years of combined experience in falsework design or supervising falsework construction. The Contractor shall certify the experience of the designated representative in writing and provide supporting documentation demonstrating the required experience if requested by the Engineer.

### **Welding and Nondestructive Testing**

Welding of steel members, except for previously welded splices and except for when fillet welds are used where load demands are less than or equal to 175 N/mm for each 3 mm of fillet weld, shall conform to AWS D1.1 or other recognized welding standard. The welding standard to be utilized shall be specified by the Contractor on the working drawings. Previously welded splices for falsework members are defined as splices made prior to the member being shipped to the project site.

Splices made by field welding of steel beams at the project site shall undergo nondestructive testing (NDT). At the option of the Contractor, either ultrasonic testing (UT) or radiographic testing (RT) shall be used as the method of NDT for each field weld and any repair made to a previously welded splice in a steel beam. Testing shall be performed at locations selected by the Contractor. The length of a splice weld where NDT is to be performed, shall be a cumulative weld length equal to 25 percent of the original splice weld length. The cover pass shall be ground smooth at the locations to be tested. The acceptance criteria shall conform to the requirements of AWS D1.1, Section 6, for cyclically loaded nontubular connections subject to tensile stress. If repairs are required in a portion of the weld, additional NDT shall be performed on the repaired sections. The NDT method chosen shall be used for an entire splice evaluation including any required repairs.

For all field welded splices, the Contractor shall furnish to the Engineer a letter of certification which certifies that all welding and NDT, including visual inspection, are in conformance with the specifications and the welding standard shown on the approved working drawings. This letter of certification shall be signed by an engineer who is registered as a Civil Engineer in the State of California and shall be provided prior to placing any concrete for which the falsework is being erected to support.

For previously welded splices, the Contractor shall determine and perform all necessary testing and inspection required to certify the ability of the falsework members to sustain the stresses required by the falsework design. This welding certification shall (1) itemize the testing and inspection methods used, (2) include the tracking and identifying documents for previously welded members, (3) be signed by an engineer who is registered as a Civil Engineer in the State of California, (4) and shall be provided prior to erecting the members.

### **COST REDUCTION INCENTIVE PROPOSALS FOR CAST-IN-PLACE PRESTRESSED BOX GIRDER BRIDGES**

Except as provided herein, cast-in-place prestressed box girder bridges shall be constructed in conformance with the details shown on the plans and the provisions in Section 50, "Prestressing Concrete," and Section 51, "Concrete Structures," of the Standard Specifications.

If the Contractor submits cost reduction incentive proposals for cast-in-place prestressed box girder bridges, the proposals shall be in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications and these special provisions.

The Engineer may reject any proposal which, in the Engineer's judgment, may not produce a structure which is at least equivalent to the planned structure.

At the time the cost reduction incentive proposal (CRIP) is submitted to the Engineer, the Contractor shall also submit 4 sets of the proposed revisions to the contract plans, design calculations, and calculations from an independent checker for all changes involved in the proposal, including revisions in camber, predicted deck profile at each construction stage, and falsework requirements to the Office of Structure Design, Documents Unit, P.O. Box 942874, Sacramento, CA 94274-0001 (1801 30th Street, Sacramento, CA 95816), telephone (916) 227-8230. When notified in writing by the Engineer, the Contractor shall submit 12 sets of the CRIP plan revisions and calculations to the Office of Structure Design for final approval and use during construction. The calculations shall verify that all requirements are satisfied. The CRIP plans and calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California.

The CRIP plans shall be either 279 mm x 432 mm, or 559 mm x 864 mm in size. Each CRIP plan sheet and calculation sheet shall include the State assigned designations for the contract number, bridge number, full name of the structure as shown on the contract plans, and District-County-Route-Kilometer Post. Each CRIP plan sheet shall be numbered in the lower right hand corner and shall contain a blank space in the upper right hand corner for future contract sheet numbers.

Within 3 weeks after final approval of the CRIP plan sheets, one set of the corrected good quality prints on 75-g/m<sup>2</sup> (minimum) bond paper, 559 mm x 864 mm in size, of all CRIP plan sheets prepared by the Contractor for each CRIP shall be furnished to the Office of Structure Design, Documents Unit.

Each CRIP shall be submitted prior to completion of 25 percent of the contract working days and sufficiently in advance of the start of the work that is proposed to be revised by the CRIP to allow time for review by the Engineer and correction by the Contractor of the CRIP plans and calculations without delaying the work. The Contractor shall allow a minimum of 7 weeks for the review of a CRIP. In the event that several CRIPs are submitted simultaneously, or an additional CRIP is submitted for review before the review of a previously submitted CRIP has been completed, the Contractor shall designate the sequence in which the CRIPs are to be reviewed. In this event, the time to be provided for the review of any proposal in the sequence shall be not less than the review time specified herein for that proposal, plus 2 weeks for each CRIP of higher priority which is still under review.

Should the review not be complete by the date specified in the Contractor's CRIP, or such other date as the Engineer and Contractor may subsequently have agreed to in writing and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in review of CRIP plans and calculations, an extension of time commensurate with the delay in completion of the work thus caused will be granted as provided in Section 8-1.07, "Liquidated Damages," of the Standard Specifications except that the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications shall not apply.

Permits and approvals required of the State have been obtained for the structures shown on the plans. Proposals which result in a deviation in configuration may require new permits or approvals. The Contractor shall be responsible for obtaining the new permits and approvals before the Engineer will reach a decision on the proposal. Delays in obtaining permits and approvals will not be reason for granting an extension of contract time.

All proposed modifications shall be designed in conformance with the bridge design specifications and procedures currently employed by the Department. The proposal shall include all related, dependent or incidental changes to the structure and other work affected by the proposal. The proposal will be considered only when all aspects of the design changes are included for the entire structure. Changes, such as but not limited to, additional reinforcement and changes in location of reinforcement, necessary to implement the CRIP after approval by the Engineer, shall be made at the Contractor's expense.

Modifications may be proposed in (1) the thickness of girder stems and deck slabs, (2) the number of girders, (3) the deck overhang dimensions as specified herein, (4) the amount and location of reinforcing steel, (5) the amount and location of prestressing force in the superstructure, and (6) the number of hinges, except that the number of hinges shall not be increased. The strength of the concrete used may be increased but the strength employed for design or analysis shall not exceed 42 MPa.

Modifications proposed to the minimum amount of prestressing force which must be provided by full length draped tendons are subject to the provisions in "Prestressing Concrete" of these special provisions.

No modifications will be permitted in (1) the foundation type, (2) the span lengths or (3) the exterior dimensions of columns or bridge superstructure, except that the overhang dimension from face of exterior girder to the outside edge of roadway deck may be uniformly increased or decreased by 25 percent on each side of the box girder section. Fixed connections at the tops and bottoms of columns shown on the plans shall not be eliminated.

The Contractor shall be responsible for determining construction camber and obtaining the final profile grade as shown on the plans.

The Contractor shall reimburse the State for the actual cost of investigating CRIPs for cast-in-place prestressed box girder bridges submitted by the Contractor. The Department will deduct this cost from any moneys due, or that may become due the Contractor under the contract, regardless of whether or not the proposal is approved or rejected.

### **DECK CLOSURE POURS**

Where a deck closure pour is shown on the plans, reinforcement protruding into the closure space and forms for the closure pour shall conform to the following:

- A. During the time of placement of concrete in the deck, other than for the closure pour itself, reinforcing steel which protrudes into the closure space shall be completely free from any connection to the reinforcing steel, concrete, or other attachments of the adjacent structure, including forms. The reinforcing steel shall remain free of any connection for a period of not less than 24 hours following completion of the pour.
- B. Forms for the closure pour shall be supported from the superstructure on both sides of the closure space.

## **SLIDING BEARINGS**

Sliding bearings consisting of elastomeric bearing pads lubricated with grease and covered with sheet metal shall conform to the following requirements:

- A. Grease shall conform to the requirements of Society of Automotive Engineers AS 8660. A uniform film of grease shall be applied to the upper surface of the pads prior to placing the sheet metal.
- B. Sheet metal shall be commercial quality galvanized sheet steel. The sheet metal shall be smooth and free of kinks, bends, or burrs.
- C. Construction methods and procedures shall prevent grout or concrete seepage into the sliding bearing assembly.

## **ELASTOMERIC BEARING PADS**

Elastomeric bearing pads shall be steel reinforced elastomeric bearing pads and shall conform to the provisions in Section 51-1.12H, "Elastomeric Bearing Pads," of the Standard Specifications.

## **MEASUREMENT AND PAYMENT**

Measurement and payment for concrete in structures shall conform to the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for roughening existing concrete surfaces to a full amplitude of approximately 6 mm, where shown on the plans, shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge and no separate payment will be made therefor.

Full compensation for furnishing and installing access opening covers in soffits of new cast-in-place box girder bridges shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge and no separate payment will be made therefor.

Full compensation for furnishing and installing plastic pipe located at vertical drains used behind retaining walls, barrier slabs and bridge abutments, including horizontal or sloping drains down slopes, including excavation and backfill involved in placing the plastic pipe, shall be considered as included in the contract price paid per cubic meter for the various items of concrete work involved and no separate payment will be made therefor.

Full compensation for public notification and airborne monitoring for deck crack treatment shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge, and no additional compensation will be allowed therefor.

Full compensation for furnishing and applying polyurethane elastomeric sealant 2c NS, where shown on the plans, shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge footing, and no separate payment will be made therefor.

Concrete for channel wall rebuilt and footing closure pour in the channel invert at Centinela Avenue Undercrossing, bridge No. 53-1253 will be measured and paid for by the cubic meter as structural concrete, bridge footing.

Full compensation for furnishing and applying Type AL joint seals, where shown on the plans, shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge, and no separate payment will be made therefor.

## **10-1.49 STRUCTURE APPROACH SLABS (TYPE N) AND (TYPE EQ)**

### **GENERAL**

#### **Summary**

This work includes constructing reinforced concrete approach slabs, structure approach drainage systems, and treated permeable base.

Reinforced concrete approach slabs must comply with Section 51, "Concrete Structures," of the Standard Specifications.

#### **Submittals**

Furnish a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the geocomposite drain certifying that the drain complies with these special provisions. The Certificate of Compliance must be accompanied by a flow capability graph for the geocomposite drain showing flow rates and the externally applied pressures and hydraulic gradients. The flow capability graph must be stamped with the verification of an independent testing laboratory.

Notify the Engineer of the type of treated permeable base to be furnished at least 30 days before the start of placement. Once you have notified the Engineer of the selection, the type to be furnished must not be changed without a prior written request to do so and approval thereof by the Engineer.

Notify the Engineer of the source of woven tape fabric at least 45 days before use.

## **MATERIALS**

### **Concrete**

Concrete for structure approach slabs must contain not less than 400 kilograms of cementitious material per cubic meter and must either:

1. Cure for not less than 5 days before opening to public traffic, or
2. Comply with "Rapid Strength Concrete for Structures" of these special provisions.

### **Drainage Pads**

Concrete for use in drainage pads must be minor concrete, except the concrete must contain not less than 300 kilograms of cementitious material per cubic meter.

### **Geocomposite Drain**

Geocomposite drain must consist of a manufactured core not less than 6.35 mm thick nor more than 50 mm thick with one or both sides covered with a layer of filter fabric that will provide a drainage void. The drain must produce a flow rate through the drainage void of at least 25 liters per minute per meter of width at a hydraulic gradient of 1.0 and a minimum externally applied pressure of 168 kPa.

The manufactured core must be one of the following:

1. Preformed grid of embossed plastic
2. Mat of random shapes of plastic fibers
3. Drainage net consisting of a uniform pattern of polymeric strands forming 2 sets of continuous flow channels
4. System of plastic pillars and interconnections forming a semirigid mat

The core material and filter fabric must be capable of maintaining the drainage void for the entire height of geocomposite drain. Filter fabric must be integrally bonded to the side of the core material with the drainage void.

### **Filter Fabric**

Filter fabric must comply with the specifications for filter fabric for underdrains in Section 88, "Engineering Fabrics," of the Standard Specifications.

### **Treated Permeable Base**

Treated permeable base under structure approach slabs must be an asphalt treated permeable base or a cement treated permeable base as specified in Section 29, "Treated Permeable Bases," of the Standard Specifications.

### **Miscellaneous Materials**

Steel components of abutment ties, including plates, nuts, washers, and rods, must comply with Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Steel angles, plates, and bars at the concrete barrier joints must comply with Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Hardboard and expanded polystyrene must comply with Section 51-1.12D, "Sheet Packing, Preformed Pads, and Board Fillers," of the Standard Specifications.

Building paper must be commercial quality No. 30 asphalt felt.

PVC conduit used to encase the abutment tie rod must be commercial quality.

## **CONSTRUCTION**

### **Geocomposite Drain**

Install the geocomposite drain with the drainage void and the filter fabric facing the embankment. The fabric facing the embankment side must overlap a minimum of 75 mm at all joints and wrap around the exterior edges a minimum of 75 mm beyond the exterior edge. If additional fabric is needed to provide overlap at joints and wraparound at edges, the added fabric must overlap at least 150 mm and be attached to the fabric on the geocomposite drain.

Place core material manufactured from impermeable plastic sheeting having non-connecting corrugations with the corrugations approximately perpendicular to the drainage collection system.

If the fabric on the geocomposite drain is torn or punctured, replace the damaged section completely or repair it by placing a piece of fabric that is large enough to cover the damaged area and provide a 150 mm overlap.

If asphalt treated permeable base is placed around the slotted plastic pipe at the bottom of the geocomposite drain, it must be placed at a temperature of not less than 82°C nor more than 110°C.

### **Filter Fabric**

Place filter fabric immediately after grading and compacting the subgrade to receive the filter fabric.

Align, handle, and place filter fabric in a wrinkle-free manner under the manufacturer's recommendations.

Adjacent borders of the filter fabric must be overlapped from 300 mm to 450 mm or stitched. The preceding roll must overlap the following roll in the direction the material is being spread or must be stitched. When the fabric is joined by stitching, it must be stitched with yarn of a contrasting color. The size and composition of the yarn must be as recommended by the fabric manufacturer. The number of stitches per 25 mm of seam must be 5 to 7.

Equipment or vehicles must not be operated or driven directly on the filter fabric.

### **Woven Tape Fabric**

Woven tape fabric to be placed between the treated permeable base and the approach slab must be a fabric made of woven strips or tapes and shall conform to the following:

| Property   | ASTM Designation | Requirement |
|--|------------------|-------------|
| Weight, grams per square meter, min.   | D 3776           | 100         |
| Grab Tensile Strength, kilonewtons, min.   | D 4632           | 0.22        |
| Elongation, percent, max.  | D 4632           | 35          |
| Toughness, kilonewtons, min.<br>(Percent elongation times grab tensile strength) | ----             | 5           |

Woven tape fabric must be treated to provide a minimum of 70 percent breaking strength retention after 500 hours exposure when tested under ASTM D 4355.

### **Treated Permeable Base**

Construct treated permeable base under Section 29, "Treated Permeable Bases," of the Standard Specifications and these special provisions.

Place asphalt treated permeable base at a temperature of not less than 93°C nor more than 121°C. Do not use material stored in excess of 2 hours in the work.

Asphalt treated permeable base may be spread in 1 layer. Compact with a vibrating shoe type compactor or a roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Begin compacting base as soon as the mixture has cooled sufficiently to support the weight of the equipment without undue displacement.

Cement treated permeable base may be spread in 1 layer. Compact base with a vibrating shoe type compactor or with a steel-drum roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Compaction must begin within one-half hour of spreading and must consist of 2 complete coverages of the cement treated permeable base.

### **Finishing Approach Slabs**

Finish and treat the top surface of approach slabs under Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications. Edges of slabs must be edger finished.

Cure approach slabs with pigmented curing compound (1) under the specifications for curing structures in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

### **Sealing Joints**

Type AL joint seals must comply with Section 51-1.12F, "Sealed Joints," of the Standard Specifications. The sealant may be mixed by hand-held power-driven agitators and placed by hand methods.

The pourable seal between the steel angle and concrete barrier must comply with the requirements for Type A and AL seals in Section 51-1.12F(3), "Materials and Installation," of the Standard Specifications.

The sealant may be mixed by hand-held power-driven agitators and placed by hand methods. Immediately before placing the seal, thoroughly clean the joint, including abrasive blast cleaning of the concrete surfaces, so that all foreign material and concrete spillage are removed from all joint surfaces. Joint surfaces must be dry at the time the seal is placed.

## **MEASUREMENT AND PAYMENT**

Structural concrete, approach slab (Type N(9D)) and structural concrete, approach slab (Type EQ(3)) will be measured and paid for in conformance with the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for treated permeable base, filter fabric, woven tape fabric, miscellaneous metal, pourable seals shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab of the type shown in the Engineer's Estimate, and no additional compensation will be allowed therefor.

### **10-1.50 STRUCTURE APPROACH SLABS (TYPE R)**

#### **GENERAL**

##### **Summary**

This work includes removing existing pavement and base including reinforced concrete approach slabs, asphalt concrete surfacing, portland cement concrete pavement, subsealing material, and cement treated base, and constructing new reinforced concrete approach slabs at structure approaches.

Reinforced concrete approach slabs must comply with Section 51, "Concrete Structures," of the Standard Specifications.

##### **Quality Control and Assurance**

###### **Trial Slab**

Before beginning work on approach slabs constructed using RSC, you must successfully complete one or more trial slabs for each concrete mix design to be used in constructing the approach slabs.

Trial slabs must be constructed, finished, cured, and tested with the materials, tools, equipment, personnel, and methods to be used in completing the approach slab. Trial slabs must demonstrate that you are capable of producing approach slabs in conformance with the provisions in this section, within anticipated time periods including delivery, placement, finishing, and curing times, and under similar atmospheric and temperature conditions expected during construction operations. Multiple trial slabs for each approach slab concrete mix design may be required to envelop variable atmospheric conditions.

The minimum trial slab dimensions must be 3 m x 6 m x 255 mm. Place trial slabs near the job site at a location acceptable to the Engineer except slabs must not be placed on the roadway or within the project limits.

Perform compressive strength testing under Section 90-9, "Compressive Strength," of the Standard Specifications. Trial slab concrete must develop compressive strengths of at least 8.3 MPa at the age of break used for prequalification of the concrete, and at least 17.2 MPa at 3 days.

#### **MATERIALS**

##### **Concrete**

Concrete for structure approach slabs must contain not less than 400 kilograms of cementitious material per cubic meter and must comply with "Rapid Strength Concrete for Structures" of these special provisions.

##### **Temporary Structural Section**

HMA must consist of commercial quality aggregate and asphalt binder. The grading of the aggregate must comply with the 19-mm HMA Types A and B grading specified in Section 39-1.02E, "Aggregate," of the Standard Specifications. The asphalt binder must comply with the requirements for liquid asphalt SC-800 in Section 93, "Liquid Asphalts," of the Standard Specifications. The amount of asphalt binder to be mixed with the aggregate must be approximately 0.3 percent less than the optimum bitumen content as determined by California Test 367.

##### **Aggregate Base (Approach Slab)**

Aggregate base (approach slab) for filling voids below the reinforced structure approach slab concrete must be produced from commercial quality aggregates consisting of broken stone, crushed gravel or natural rough-surfaced gravel, and sand, or any combination thereof. The grading of the aggregate base must comply with the 19-mm maximum grading specified in Section 26-1.02A, "Class 2 Aggregate Base," of the Standard Specifications.

##### **Miscellaneous Materials**

Steel components of abutment ties, including plates, nuts, washers, and rods, must comply with Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Steel angles, plates, and bars at the concrete barrier joints must comply with Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Hardboard and expanded polystyrene must comply with Section 51-1.12D, "Sheet Packing, Preformed Pads, and Board Fillers," of the Standard Specifications.

Building paper must be commercial quality No. 30 asphalt felt.

PVC conduit used to encase the abutment tie rod must be commercial quality.

## **CONSTRUCTION**

### **General**

The thickness shown on the plans for structure approach slabs is the minimum thickness. The thickness may vary depending on the thickness of the pavement and base materials removed.

Dispose of all materials no longer required in the work under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Schedule your work so that the pavement and base materials removed during a work period are replaced in that same work period with properly finished and cured approach slab concrete before the time the lane is to be opened to public traffic as specified in "Maintaining Traffic" of these special provisions.

If the existing pavement and base materials are removed, and you are unable to construct, finish, and cure the new approach slab by the time the lane is to be opened to public traffic, you must fill the excavation with a temporary roadway structural section as specified in this section, "Structure Approach Slabs (Type R)."

### **Temporary Roadway Structural Section**

Provide a standby quantity of hot mix asphalt (HMA) and aggregate base at the job site equal to the quantity of pavement removed during the work shift for construction of a temporary roadway structural section. The temporary structural section must consist of a 90 mm thick layer of HMA over aggregate base.

Spread and compact aggregate base and HMA by methods that will produce a well-compacted, uniform base, free from pockets of coarse or fine material and a surfacing of uniform smoothness, texture, and density. The aggregate base and the HMA may each be spread and compacted in one layer. The finished surface of the HMA must not vary more than 15 mm from the lower edge of a 3.6 m straightedge placed parallel with the centerline and must match the elevation of the existing pavement and structure along the joints between the existing pavement and structure and the temporary surfacing.

Maintain the temporary structural section until you are able to construct and cure the approach slab with the prescribed time limit.

### **Removing Existing Pavement And Base Materials**

Sawcut full depth the outline of portland cement concrete to be removed with a power-driven concrete saw.

Cut the outlines of excavations in asphalt concrete on a neat line to a minimum depth of 75 mm with a power-driven concrete saw or wheel-type rock cutting excavator before any asphalt concrete material is removed. These excavations must be permanently or temporarily backfilled to conform to the grade of the adjacent pavement before opening the lane to public traffic. Surplus excavated material may be used as temporary backfill material.

Regardless of the type of equipment used to remove concrete within the sawed outline, do not use power impact tools within 0.5 m of the pavement that is required to remain in place.

Uniformly grade and compact the existing base material remaining in place after removing the existing pavement and base materials to the required depth. The finished surface of the base material at any point must not extend above the grade approved by the Engineer.

Fill areas of base material that are low as a result of over excavation with structure approach slab concrete in the same operation that the new concrete is placed.

Where pavement subsealing has been performed under existing approach slabs, remove the full depth of subsealing material. Where removal of cement treated base is required to construct the approach slab, remove the full depth of the cement treated base.

Fill voids between the new structure approach slab and the base material remaining in place that are caused by removal of subsealing material or cement treated base with either aggregate base (approach slab) or structure approach slab concrete. If you choose to fill these voids with structure approach slab concrete, fill the voids in the same operation that the new concrete is placed.

Establish a grade line for the new approach slab that will provide a smooth profile grade. The profile grade will be subject to approval by the Engineer.

### **Aggregate Base (Approach Slab)**

Spread and compact aggregate base (approach slab) for filling voids below the reinforced structure approach slab concrete by methods that will produce a well-compacted, uniform base, free from pockets of coarse or fine material to the grade approved by the Engineer. Where the required thickness of aggregate base is 200 mm or less, the base may be spread and compacted in one layer. Where the required thickness of aggregate base is more than 200 mm, the base must be spread and compacted in 2 or more layers of approximately equal thickness. The maximum compacted thickness of any one layer shall not exceed 200 mm.

The finished surface of the base material at any point must not extend above the grade approved by the Engineer. Fill areas of base material that are lower than the grade approved by the Engineer with structure approach slab concrete in the same operation that the new concrete is placed.

### **Bonding Bar Reinforcement**

Bond bar reinforcement or abutment tie rods in drilled holes under the provisions for drilling and bonding dowels in Section 83-2.02D(1), "General," of the Standard Specifications.

If reinforcement is encountered during drilling before the specified depth is attained, notify the Engineer. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole must be drilled adjacent to the rejected hole to the depth shown on the plans.

### **Finishing Approach Slabs**

Finish the top surface of the approach slab under the provisions for decks in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications. The finished top surface must not vary more than than 6 mm from the lower edge of a 3.6 m straightedge placed parallel with the centerline. Edges of slabs must be edger finished. The provisions for deck crack treatment do not apply to Type R approach slabs.

The surface of the approach slab will not be profiled, and the Profile Index requirements do not apply.

Approach slab concrete shall be cured before the time the lane is to be opened to public traffic as specified in "Maintaining Traffic" of these special provisions.

### **Sealing Joints**

Type AL joint seals must comply with Section 51-1.12F, "Sealed Joints," of the Standard Specifications. The sealant may be mixed by hand-held power-driven agitators and placed by hand methods.

## **MEASUREMENT AND PAYMENT**

Structural concrete, approach slab (Type R) will be measured and paid for in conformance with the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for removing and disposing of pavement materials, and for furnishing and placing Type AL joint seals, shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R), and no separate payment will be made therefor.

The quantity of aggregate base (approach slab) to be paid for shall include the actual volume of aggregate base (approach slab) used to fill voids below the reinforced structure approach slab concrete, except for the volume of areas low as a result of over excavation. The volume to be paid for will be calculated on the basis of the constructed length, width, and thickness of the filled voids. Structure approach slab concrete used to fill voids lower than the approved grade of the base, except for the areas low as a result of over excavation, will be measured and paid for by the cubic meter as aggregate base (approach slab).

The contract price paid per cubic meter for aggregate base (approach slab) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing aggregate base (approach slab), complete in place, including excavation and removing and disposing of base and subsealing materials, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for furnishing, stockpiling, and disposing of standby material for construction of temporary structural sections; and for constructing, maintaining, removing, and disposing of temporary structural sections shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R), and no separate payment will be made therefor.

Full compensation for drilling and bonding of bar reinforcement or abutment tie rods shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R), and no separate payment will be made therefor.

Full compensation for constructing, testing, and removing trial slabs shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R), and no separate payment will be made therefor.

Full compensation for removing, handling, transferring and disposal of contaminated material in conformance with the provisions in "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R), and no separate payment will be made therefor.

**10-1.51 PAVING NOTCH EXTENSION**

This work shall consist of extending existing paving notches in conformance with the details shown on the plans and these special provisions.

Concrete for paving notch extension shall be a high-strength material consisting of either magnesium phosphate concrete, modified high alumina based concrete, or portland cement based concrete. Magnesium phosphate concrete shall conform to the provisions for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions. Modified high alumina based concrete and portland cement based concrete shall be water activated and shall conform to the provisions for single component (water activated) magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions.

At least one hour shall elapse between the time of placing concrete for the paving notch extension and placing concrete for the structure approach slab.

A clean uniform rounded aggregate filler may be used to extend the concrete. The moisture content of the aggregate shall not exceed 0.5-percent. Grading of the aggregate shall conform to the following:

| Sieve Sizes | Percentage Passing |
|-------------|--------------------|
| 12.5-mm     | 100                |
| 1.18-mm     | 0-5                |

The amount of aggregate filler shall conform to the manufacturer's recommendation, but in no case shall the concrete strengths be less than that specified for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.

The components of dual component (with a prepackaged liquid activator) magnesium phosphate shall be combined by mixing complete units supplied by the manufacturer. Portions of units shall not be used. Water shall not be added to dual component magnesium phosphate.

Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum or copper. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.

Concrete shall not be retempered. Finishing tools that are cleaned with water shall be thoroughly dried before working the concrete.

When placing concrete on slopes exceeding 5 percent, the Engineer may require the Contractor to provide a flow controlled modified material.

Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.

The surface temperature of the areas to receive the concrete shall be 5°C or above when the concrete is placed. The contact surface to receive the magnesium phosphate concrete shall be dry. The contact surfaces to receive the modified high alumina concrete or portland cement based concrete may be damp but not saturated.

The construction joint between the paving notch extension and the existing abutment shall conform to the provisions for horizontal construction joints in Section 51-1.13, "Bonding," of the Standard Specifications. Concrete shall be placed in the spalled portions of the existing paving notch concurrently with the concrete for the paving notch extension.

Attention is directed to "Reinforcement" of these special provisions.

Structure excavation and backfill shall conform to the provisions in Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications, except for payment.

Drilling of holes and bonding of reinforcing steel dowels shall conform to the provisions for drilling and bonding dowels in Section 83-2.02D(1), "General," of the Standard Specifications. If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

The quantity of concrete for paving notch extension will be measured by the cubic meter as determined in conformance with the dimensions shown on the plans or other dimensions that may be ordered in writing by the Engineer.

The contract price paid per cubic meter for paving notch extension shall include full compensation for furnishing all labor, materials (including concrete for the paving notch spalled areas), tools, equipment, and incidentals, and for doing all the work involved in constructing the paving notch extension, complete in place, including structure excavation and backfill, reinforcement, and drilling and bonding dowels, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.52 DRILL AND BOND DOWEL (CHEMICAL ADHESIVE)**

Drilling and bonding dowels with chemical adhesives shall conform to the details shown on the plans and these special provisions.

Reinforcing steel dowels shall conform to the provisions in "Reinforcement" of these special provisions.

Threaded rods used as dowels shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications. The threaded rods shall be installed in conformance with the requirements for dowels specified herein.

Chemical adhesives to be used shall be selected from the Pre-Qualified Products List at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/](http://www.dot.ca.gov/hq/esc/approved_products_list/)

The Contractor may propose to use a chemical adhesive not on the Pre-Qualified Products List. Information regarding product qualification can be obtained at the Transportation Laboratory.

The chemical adhesive system used shall be appropriate for the concrete temperature and installation conditions in conformance with the requirements in the Department's prequalified list.

Chemical adhesive systems shall be accompanied by a Certificate of Compliance as provided in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The certificate shall state that the material complies in all respects to the requirements of ICBO AC58 and Caltrans Augmentation/Revisions to ICBO AC58 available at the Transportation Laboratory and at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/](http://www.dot.ca.gov/hq/esc/approved_products_list/)

At least 25 days prior to use, the Contractor shall submit one sample of each chemical adhesive system per lot to the Transportation Laboratory for testing. The sample shall consist of one unit of chemical adhesive, one mixing nozzle, and one retaining nut. A lot of chemical adhesives is defined as 100 units, or fraction thereof, of the same brand and product name.

Each chemical adhesive system shall be clearly and permanently marked with the manufacturer's name, model number of the system, manufacturing date, lot number, shelf life or expiration date, and current ICBO Evaluation Report (ER) number. Each carton of chemical adhesives shall contain the manufacturer's recommended installation procedures and warnings or precautions concerning the contents as may be required by State or Federal laws and regulations.

The holes shall be drilled by methods that will not shatter or damage the concrete adjacent to the holes. If reinforcement is encountered during drilling, before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole. The drilled holes shall be cleaned in conformance with the manufacturer's instructions and shall be dry at the time of placing the chemical adhesive. Unless otherwise specified, the diameter and depth of drilled holes shall conform to the values listed in the ICBO ER for the size of dowel or rod being installed.

Storage and installation procedures shall be as recommended by the manufacturer. A copy of the manufacturer's recommended installation procedure shall be provided to the Engineer at least 2 days prior to the start of work.

Immediately after inserting the dowels into the chemical adhesive, the dowels shall be supported as necessary to prevent movement during curing and shall remain undisturbed until the epoxy has cured a minimum time as specified in the Department's Pre-Qualified Products List. Dowels that are improperly bonded, as determined by the Engineer, will be rejected. Adjacent new holes shall be drilled, and new dowels shall be placed and securely bonded to the concrete. All work necessary to correct improperly bonded dowels shall be performed at the Contractor's expense.

Unless otherwise provided, dowels to be bonded into drilled holes will be measured and paid for as bar reinforcing steel (bridge).

Unless otherwise provided, drilling and bonding dowels with chemical adhesives will be measured and paid for by the unit as drill and bond dowel (chemical adhesive). The number of units to be paid for will be determined from actual count of the completed units in place.

The contract unit price paid for drill and bond dowel (chemical adhesive) shall include full compensation for furnishing all labor, materials (except dowels), tools, equipment, and incidentals, and for doing all the work involved in drilling the holes and bonding dowels with chemical adhesives, including coring through reinforcement when approved by the Engineer, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.53 DRILL AND BOND DOWELS**

Drilling and bonding dowels shall conform to the details shown on the plans, the provisions in Section 83-2.02D(1), "General," of the Standard Specifications, and these special provisions.

Dowels shall conform to the provisions for bar reinforcement in "Reinforcement" of these special provisions.

If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

Unless otherwise provided, dowels to be bonded into drilled holes will be paid for as bar reinforcing steel (bridge).

Unless otherwise provided, drilling and bonding dowels, including ones in outrigger bolsters, will be measured and paid for by the meter determined by the number and the required depth of holes as shown on the plans or as ordered by the Engineer.

The contract price paid per meter for drill and bond dowel shall include full compensation for furnishing all labor, materials (except reinforcing steel dowels), tools, equipment, and incidentals, and for doing all the work involved in drilling the holes, including coring through reinforcement when approved by the Engineer, and bonding the dowels, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.54 PRESSURE GROUT BENT DOWELS**

Pressure grouting bent dowels shall consist of furnishing and installing galvanized dowels in formed holes, and filling the holes with pressurized grout in conformance with the details shown on the plans and these special provisions.

Holes at bents shall be formed with tubular duct enclosures through the end diaphragms as shown on the plans. Tubular duct enclosures shall conform to the details shown on the plans, and the provisions in Section 50-1.07, "Ducts," of the Standard Specifications.

Dowels to be placed in formed holes shall be galvanized and shall conform to the provisions in "Reinforcement" of these special provisions.

Dowels shall be bonded in formed holes using pressure grouting methods.

Prestressing operations of the adjacent girders shall be completed prior to grouting the galvanized dowels at the bents.

Dowels shall be secured in the hole as shown on the plans prior to concrete placing and pressure grouting.

The ends of tubular duct enclosures shall be covered at all times as necessary to prevent the entry of water and debris. Steel and duct enclosure surfaces to be in contact with the grout shall be cleaned of all loose or foreign material that would in any way prevent bonding in formed holes. These surfaces shall be in the dry condition immediately before grouting.

Grout shall conform to the requirements of either ASTM Designation: C 1107, Grade B, or ASTM Designation: C 845, Type K, and shall provide a minimum compressive strength of 34.5 MPa at 28 days when tested by California Test 551. The grout shall be mixed in accordance with the manufacturer's recommendations. Water shall conform to the provisions for water for prestressed concrete work in Section 90-2.03, "Water," of the Standard Specifications.

Admixtures shall not contain more than 500 parts per million of chlorides as Cl, when tested by California Test 422, and shall not contain more than 2500 parts per million of sulfates as SO<sub>4</sub>, when tested by California Test 417.

The formed hole containing the dowel shall be sealed. The vent tube and injection feed tube shall be placed in the same end of formed holes. The tubes shall be placed in the hole so that the air vents and the hole to be completely filled with grout. Sufficient pressure shall be used so that the hole is free of voids. Grout shall be pumped into the holes and uniformly placed so there are no resulting voids in the hole.

Grout or water shall not flow into any waterway, on to public traffic, or into gutters or other drainage facilities.

Dowels to be pressure grouted in formed holes will be paid for as bar reinforcing steel (bridge).

The contract price paid per meter for pressure grout bent dowels shall include full compensation for furnishing all labor, materials, including tubular duct enclosures, grout or bonding material, tools, equipment and incidentals and for doing all the work involved in forming the holes and pressure grouting the holes, including control of water, as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

#### **10-1.55 CORE AND PRESSURE GROUT DOWELS**

Coring and pressure grouting dowels shall consist of coring holes through reinforced concrete, placing dowels, and filling the holes with pressurized grout, as shown on the plans and in conformance with the requirements in these special provisions.

Dowels to be placed in the cored holes shall conform to the provisions for bar reinforcement in "Reinforcement" of these special provisions.

Dowels to be pressure grouted in cored holes will be paid for as bar reinforcing steel (bridge).

The holes shall be cored by methods that will not shatter or damage the concrete adjacent to the holes.

Water for core drilling operations shall be from the local domestic water supply or shall not contain more than 1,000 parts per million of chlorides as Cl, nor more than 1,300 parts per million of sulfates as SO<sub>4</sub>, nor shall it contain any impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Concrete areas and steel surfaces to be in contact with the grout shall be cleaned of all loose or foreign material that would in any way prevent bonding, and concrete holes shall be flushed with water and allowed to dry to a surface dry condition immediately prior to grouting.

Grout shall conform to the requirements of either ASTM Designation: C 1107, Grade B, or ASTM Designation: C 845, Type K, and shall provide a minimum compressive strength of 34.5 MPa at 28 days when tested by California Test 551. The grout shall be mixed in accordance with the manufacturer's recommendations. Water shall conform to the provisions for water for prestressed concrete work in Section 90-2.03, "Water," of the Standard Specifications.

Admixtures shall not contain more than 500 parts per million of chlorides as Cl, when tested by California Test 422, and shall not contain more than 2500 parts per million of sulfates as SO<sub>4</sub>, when tested by California Test 417.

After dowel placement, the ends of the cored hole containing the dowel shall be sealed. A vent tube shall be placed at one end and one injection feed tube at the other end. The vent tube and injection feed tube shall be placed in the same end for cored holes that have only one end. The tubes shall be placed in the hole in a manner which will allow the air to vent and the hole to be completely filled with grout. Sufficient pressure shall be achieved to ensure that the hole is free of voids. Grout shall be pumped into the holes and continually wasted until no visible slugs or other visible evidence of water or air are ejected.

Grout or water shall not be permitted to flow into any waterway, on to public traffic, across shoulders or lanes occupied by public traffic, or into gutters or other drainage facilities.

Coring and pressure grouting dowels will be measured and paid for by the meter. The cored concrete will be measured along the centerline of the hole.

The contract price paid per meter for core and pressure grout dowels shall include full compensation for furnishing all labor, materials, except dowels, tools, equipment, and incidentals, and for doing all work involved in coring the holes, and pressure grouting the holes, including control of water from core drilling, as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

#### **10-1.56 OUTRIGGER BOLSTER**

Outrigger bolsters shall consist of reinforced concrete blocks constructed at existing outrigger bents 4 and 5, Centinela Avenue Undercrossing, bridge No. 53-1253, as shown on the plans and in conformance with the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Concrete for bolsters shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications except as follows:

- A. The maximum size of aggregate used shall be at the option of the Contractor but shall not be larger than 37.5 mm nor smaller than 9.5 mm.
- B. If the 9.5 mm maximum size aggregate grading is used, the concrete shall contain a minimum of 400 kilograms of cementitious material per cubic meter and not more than 0.50 kilograms of water per kilogram of cementitious material.
- C. Nonchloride Type C chemical admixtures may be used.

Holes cored in bridge decks may be filled with the same concrete used for bolsters as specified herein or with magnesium phosphate concrete conforming to the provisions in Section 83-2.02D(1), "General," of the Standard Specifications. Unless otherwise permitted in writing by the Engineer, public traffic shall not be allowed on the new concrete until at least one hour after final set.

Coring and pressure grouting dowels through existing girders and soffits at outriggers as shown on the plans shall conform to the provisions in "Core and Pressure Grout Dowels" of these special provisions.

Drilling and bonding dowels shall conform to the provisions in "Drill And Bond Dowel" of these special provisions. Drilling and bonding dowels (Chemical Adhesive) shall conform to the provisions in "Drill And Bond Dowel (Chemical Adhesive)" of these special provisions. If reinforcement is encountered during drilling, before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole in which reinforcement is not encountered shall be drilled adjacent to the rejected hole to the depth shown on the plans.

Cleaning construction joints between existing concrete and bolster concrete will not be required.

### **MEASUREMENT AND PAYMENT**

Concrete bolsters will be measured and paid for by the unit as outrigger bolster. Bolsters to be paid for will be determined from actual count of the completed units in place.

The contract unit price paid for outrigger bolster shall include full compensation for furnishing all labor, materials (including portland cement concrete and bar reinforcement), tools, equipment, and incidentals, for doing all the work involved in constructing the outrigger bolsters, including furnishing and testing cores, patching cored holes, coring and filling holes in bridge decks, complete in place, as shown on the plans, and as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Drilling and bonding dowels for outrigger bolsters will be paid for as drill and bond dowels

Drilling and bonding dowels (chemical adhesive), for outrigger bolsters will be paid for as drill and bond dowels (chemical adhesive).

Coring and pressure grouting dowels for outrigger bolsters will be paid for as core and pressure grout dowels.

### **10-1.57 SEALING JOINTS**

Joints in concrete bridge decks and joints between concrete structures and concrete approach slabs must be sealed in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

When ordered by the Engineer, a joint seal larger than called for by the Movement Rating shown on the plans must be furnished and installed. Payment to the Contractor for furnishing the larger seal and for saw cutting the increment of additional depth of groove required will be determined as provided in Section 4-1.03, "Changes," of the Standard Specifications.

### **10-1.58 REFINISHING BRIDGE DECKS**

Surfaces of bridge decks that are exposed when existing railings, curbs, or sidewalks are removed shall be prepared and refinished flush with the adjoining deck surface in conformance with these special provisions.

The Contractor may refinish the deck surface using Portland cement concrete or rapid setting concrete.

The exact area to be refinished will be designated by the Engineer.

When work is being performed within 3 meters of a traffic lane or performed over traffic, dust and residue from deck preparation and cleaning shall be removed or controlled by vacuum, water spray, or shield methods approved by the Engineer.

Concrete shall be removed without damage to concrete that is to remain in place. Damage to concrete that is to remain in place shall be repaired to a condition satisfactory to the Engineer.

The concrete in deck areas to be refinished shall be removed to a depth of approximately 20 mm below the adjoining deck surface. A 20 mm deep saw cut shall be made along the perimeter of deck areas to be refinished before removing the concrete.

Existing areas of the deck more than 20 mm below the adjoining deck surface shall be prepared by removing not less than 6 mm of surface material to expose sound aggregate.

Concrete removal may be done by abrasive blast cutting, abrasive sawing, impact tool cutting, machine rotary abrading, or by other methods, all to be approved by the Engineer. Cut areas shall be cleaned free of dust and all other loose and deleterious materials by brooming, abrasive blast cleaning, and high pressure air jets. Equipment shall be fitted with suitable traps, filters, drip pans, or other devices to prevent oil or other deleterious matter from being deposited on the deck.

Existing reinforcement, exposed during the removal of concrete, that is to remain in place shall be protected from damage.

Steel dowels shall be cut off 20 mm below the existing concrete deck surface or at the bottom of concrete removal, whichever is lower.

Where refinishing is not required, steel dowels shall be cut off 25 mm below the finished surface and the holes shall be patched with rapid setting concrete.

Refinishing isolated high areas in the existing deck may be accomplished by cutting the concrete down to be flush with the plane of the adjoining deck surface by abrasive sawing, grinding, impact tool cutting, or by other methods approved by the Engineer. When grinding is performed to bring the deck concrete flush with the adjoining deck surface, the resulting surface shall have a coefficient of friction of not less than 0.35 as determined by California Test 342.

### **PORTLAND CEMENT CONCRETE**

An epoxy adhesive shall be applied to the surfaces to be refinished before placing the portland cement concrete. Immediately before applying the adhesive, the area to receive the adhesive shall be cleaned by abrasive blasting and blown clean by compressed air to remove dust and any other loose material. The area to be covered shall be surface dry and the substrate temperature shall be 4.5°C or above when the adhesive is applied.

The epoxy adhesive shall be furnished and applied in conformance with the provisions in Section 95-1, "General," and Section 95-2.03, "Epoxy Resin Adhesive for Bonding New Concrete to Old Concrete," of the Standard Specifications. The exact rate of applying epoxy adhesive will be determined by the Engineer. The adhesive shall be worked onto the surface with stiff brushes or equal.

Portland cement concrete used to fill the prepared areas shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and the following:

- A. The concrete shall contain a minimum of 400 kilograms of cementitious material per cubic meter.
- B. The amount of free water used in concrete shall not exceed 166 kg/m<sup>3</sup>.
- C. The aggregate shall contain between 50 and 55 percent fine aggregate and the remainder shall be pea gravel. The grading of pea gravel shall be such that 100 percent passes the 12.5 mm sieve and not more than 5 percent passes the 1.18 mm sieve, unless a larger size is ordered by the Engineer.
- D. Admixtures shall be furnished and used if directed by the Engineer.
- E. Immediately after depositing on the newly placed adhesive, the portland cement concrete shall be thoroughly consolidated until all voids are filled and free mortar appears on the surface and then struck off to the required grade.
- F. Concrete shall be cured as provided in Section 90-7.03, "Curing Structures," of the Standard Specifications.
- G. No loads of any kind shall be applied to the portland cement concrete for at least 7 days after placing.

### **RAPID SETTING CONCRETE**

Rapid setting concrete used to fill the prepared areas shall be a high-strength material consisting of magnesium phosphate concrete, modified high alumina based concrete, or portland cement based concrete. Magnesium phosphate concrete shall conform to the requirements for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications. Modified high alumina based concrete and portland cement based concrete shall be water activated and shall conform to the requirements for single component (water activated) magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.

A clean uniform rounded aggregate filler may be used to extend the rapid setting concrete. The moisture content of the aggregate shall not exceed 0.5 percent. Grading of the aggregate shall conform to the following:

| Sieve Size | Percentage Passing |
|------------|--------------------|
| 12.5 mm    | 100                |
| 1.18 mm    | 0-5                |

The amount of aggregate filler shall conform to the manufacturer's recommendation, but in no case shall the concrete strengths be less than that specified for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.

Mixing of components of dual component (with a prepackaged liquid activator) magnesium phosphate shall be by complete units, supplied by the manufacturer. Portions of units shall not be used. Water shall not be added to dual component magnesium phosphate.

Immediately before applying the rapid setting concrete, the surface shall be dry and blown clean by compressed air to remove accumulated dust and any other loose material. If the surface becomes contaminated at any time before placing the concrete, the surface shall be cleaned by abrasive blasting. The surface temperature of the areas to be covered shall be 4°C or above when the concrete is applied. Methods proposed to heat said surfaces are subject to approval by the Engineer. The surface for the magnesium phosphate concrete shall be dry. The surfaces for modified high alumina based concrete or portland cement based concrete may be damp but not saturated.

Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum, or copper. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.

Concrete shall not be retempered. Finishing tools that are cleaned with water shall be thoroughly dried before working the concrete.

When placing concrete on slopes exceeding 5 percent, the Engineer may require the Contractor to provide a flow controlled modified material.

Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.

Unless otherwise permitted in writing by the Engineer, public traffic shall not be permitted on the new concrete until at least 24 hours after final set.

### **FINISHING REQUIREMENTS**

In advance of the curing operations, the surface of the concrete shall be textured by brooming with a stiff bristled broom or by other suitable devices that will result in uniform scoring. Brooming shall be performed transversely. The operation shall be performed at a time and in a manner that produces a hardened surface having a uniform texture and a coefficient of friction of not less than 0.35 as determined by California Test 342.

Refinished surfaces that are found to have a coefficient of friction less than 0.35 shall be ground or grooved by the Contractor at his expense in conformance with the applicable provisions in Section 42, "Groove and Grind Pavement," of the Standard Specifications.

In the longitudinal direction, refinished surfaces shall not vary more than 6 mm from the lower edge of a 3.6 m straightedge. The refinished surface shall be flush with the existing adjoining surface.

### **MEASUREMENT AND PAYMENT**

No adjustment of compensation will be made for any increase or decrease in the quantity of refinish bridge deck, regardless of the reason for the increase or decrease. The provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications shall not apply to the contract item of refinish bridge deck.

The quantity in square meters of refinish bridge deck to be paid for will be determined from the lengths and widths of the refinished areas, measured horizontally, plus 0.02 m<sup>2</sup> for patching around each dowel.

The contract price paid per square meter for refinish bridge deck shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in refinishing areas of the existing bridge deck, including cutting steel dowels, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.59 REFINISHING EXTERIOR GIRDER**

Refinishing exterior girder consists of repairing concrete surfaces of the existing exterior girders that are exposed when existing sign pedestal is removed by removing and disposing of unsound portland cement concrete, cleaning concrete surfaces and reinforcing steel, placing reinforcement, and filling spalled areas to the limits designated by the Engineer, as shown on the plans, and in conformance with the provisions in Sections 15, "Existing Highway Facilities," 51, and "Concrete Structures," of the Standard Specifications and these special provisions.

The Contractor will be permitted to use other methods and filler materials than those listed in Section 51-1.18A, "Ordinary Surface Finish," of the Standard Specifications for filling depressions or pockets. The method of placement shall be determined by the Contractor. The material for filling spalled areas and any bonding material between the existing concrete and filling material shall conform to the following requirements:

| PROPERTY                         | REQUIREMENT      | TEST METHOD |
|----------------------------------|------------------|-------------|
| Abrasion resistance at 28 days   | 25 grams, max.   | CA Test 550 |
| Modulus of elasticity at 28 days | 10.3 to 24.1 GPa | CA Test 551 |
| Water soluble chlorides          | 500 mg/kg, max.  | CA Test 422 |
| Water soluble sulfates           | 2500 mg/kg, max. | CA Test 417 |

A minimum of one complete unit of all materials for repairing concrete surfaces shall be submitted to the Engineer for testing. The Contractor shall allow 45 days for the testing.

Unsound concrete is generally that concrete which emits a relatively dead or hollow sound when its surface is tapped with a metal tool. Concrete encasing corroded reinforcing steel beyond the limits identified by the dead or hollow sound may be considered as unsound concrete. The Engineer will determine which concrete is unsound and which concrete is sound.

Equipment and tools shall not be used to remove unsound concrete that, in the opinion of the Engineer, cause the removal of excess quantities of sound concrete along with the unsound concrete.

After removal of unsound concrete has been completed, any existing reinforcing steel that has been exposed shall be restored to position and blocked and tied in conformance with the provisions in Section 52, "Reinforcement," of the Standard Specifications.

Reinforcing steel that has been damaged to the extent that its usefulness is destroyed as a result of the Contractor's operations shall be repaired or replaced by the Contractor at the Contractor's expense.

Prior to filling spalled areas, the concrete surfaces and exposed reinforcing steel shall be cleaned of all oil, soot, rust, and deleterious material by abrasive blasting.

When instructions for mixing, bonding, or curing are furnished by the filler or bonding material supplier, these instructions shall be followed except as modified in these special provisions.

Shotcrete may be applied by a dry mix process with hydration liquid applied separately and immediately following the material.

Within 14 days after placement, the patch shall emit a ringing sound similar to the sound obtained from the adjacent sound concrete when tapped with a metal tool.

The Contractor shall provide access to the Engineer to repaired areas.

Refinishing exterior girder will be measured and paid for by the square meter as refinishing bridge deck.

Measurement shall be made on the completed surface of the repaired areas. The measured area shall be agreed upon daily between the Contractor and the Engineer.

The contract prices paid per square meter for refinishing bridge deck at exterior girder location shall include full compensation for furnishing all labor and materials, including reinforcement, tools, equipment, and incidentals, and for doing all the work involved in refinishing exterior girders, complete in place, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.60 STRUCTURE BARRIER SLABS

### GENERAL

#### Summary

This work shall consist of removing existing asphalt concrete surfacing, existing base material and constructing reinforced concrete barrier slabs and treated permeable base in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

Removed material shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

For removed materials that are contaminated with aurally deposited lead, handling, transferring and disposal of this material shall conform to the provisions in "Material Containing Hazardous Waste Concentrations of Aurally Deposited Lead" elsewhere in these special provisions.

### **Submittals**

Notify the Engineer of the type of treated permeable base to be furnished at least 30 days before the start of placement. Once you have notified the Engineer of the selection, the type to be furnished must not be changed without a prior written request to do so and approval thereof by the Engineer.

### **MATERIALS**

#### **Concrete**

Concrete for structure barrier slabs must contain not less than 400 kilograms of cementitious material per cubic meter and must cure for not less than 5 days before opening to public traffic.

#### **Filter Fabric**

Filter fabric must comply with the specifications for filter fabric for underdrains in Section 88, "Engineering Fabrics," of the Standard Specifications.

#### **Treated Permeable Base**

Treated permeable base under structure approach slabs must be an asphalt treated permeable base or a cement treated permeable base as specified in Section 29, "Treated Permeable Bases," of the Standard Specifications.

### **CONSTRUCTION**

#### **Filter Fabric**

Place filter fabric immediately after grading and compacting the subgrade to receive the filter fabric.

Align, handle, and place filter fabric in a wrinkle-free manner under the manufacturer's recommendations.

Adjacent borders of the filter fabric must be overlapped from 300 mm to 450 mm or stitched. The preceding roll must overlap the following roll in the direction the material is being spread or must be stitched. When the fabric is joined by stitching, it must be stitched with yarn of a contrasting color. The size and composition of the yarn must be as recommended by the fabric manufacturer. The number of stitches per 25 mm of seam must be 5 to 7.

Equipment or vehicles must not be operated or driven directly on the filter fabric.

#### **Treated Permeable Base**

Construct treated permeable base under Section 29, "Treated Permeable Bases," of the Standard Specifications and these special provisions.

Place asphalt treated permeable base at a temperature of not less than 93°C nor more than 121°C. Do not use material stored in excess of 2 hours in the work.

Asphalt treated permeable base may be spread in 1 layer. Compact with a vibrating shoe type compactor or a roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Begin compacting base as soon as the mixture has cooled sufficiently to support the weight of the equipment without undue displacement.

Cement treated permeable base may be spread in 1 layer. Compact base with a vibrating shoe type compactor or with a steel-drum roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Compaction must begin within one-half hour of spreading and must consist of 2 complete coverages of the cement treated permeable base.

#### **Finishing Barrier Slabs**

Finish and treat the top surface of barrier slabs under Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications. Edges of slabs must be edger finished.

Barrier slabs with pigmented curing compound (1) under the specifications for curing structures in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

### **MEASUREMENT AND PAYMENT**

Structural concrete, barrier slab will be measured and paid for in the same manner specified for structural concrete, approach slab as specified in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications.

Full compensation for removing and disposing of existing asphalt concrete surfacing, for furnishing and placing plastic pipes, treated permeable base and filter fabric shall be considered as included in the contract price paid per cubic meter for structure concrete, barrier slab and no additional compensation will be allowed therefor.

Full compensation for removing, handling, transferring and disposal of contaminated material in conformance with the provisions in "Material Containing Hazardous Waste Concentrations of Aerially Deposited Lead" shall be considered as included in the contract price paid per cubic meter for structure concrete, barrier slab and no additional compensation will be allowed therefor.

**10-1.61 RAPID SETTING CONCRETE PATCHES**

This work shall consist of cleaning the surfaces and furnishing, placing, and finishing concrete patches. Concrete patches shall be placed in conformance with the details shown on the plans, the provisions of the Standard Specifications, and these special provisions.

The concrete material shall be a high-strength material consisting of either magnesium phosphate concrete, modified high alumina based concrete or portland cement based concrete. Magnesium phosphate concrete shall conform to the requirements for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions. Modified high alumina based concrete and portland cement based concrete shall be water activated and shall conform to the requirements for single component (water activated) magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions.

A clean uniform rounded aggregate filler may be used to extend the concrete. The moisture content of the aggregate shall not exceed 0.5 percent. Grading of the aggregate shall conform to the following:

| Sieve Size | Percentage Passing |
|------------|--------------------|
| 12.5 mm    | 100                |
| 1.18 mm    | 0-5                |

The amount of aggregate filler shall conform to the manufacturer's recommendations, but in no case shall the concrete strengths be less than that specified for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.

Mixing of components of dual component (with a prepackaged liquid activator) magnesium phosphate shall be by complete units, supplied by the manufacturer. Portions of units shall not be used. Water shall not be added to dual component magnesium phosphate.

Cleaning the contact surfaces of existing concrete shall be accomplished by abrasive blast cleaning the concrete and exposed reinforcing steel, as necessary, to remove all rust, paint, grease, asphalt or other foreign materials. A minimum of 3 mm of concrete shall be removed. Immediately prior to applying the new concrete, the surfaces shall be recleaned by sweeping and pressure jetting, or by other approved means, as necessary to remove debris which has accumulated during construction or after abrasive blast cleaning. The surface temperature of the areas to be covered shall be 4°C or above when the concrete is applied. Methods proposed to heat said surfaces are subject to approval by the Engineer. The contact surface for the magnesium phosphate concrete shall be dry. The contact surfaces for modified high alumina based concrete or portland cement based concrete may be damp but not saturated.

Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum or copper. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.

Concrete shall not be retempered. Finishing tools that are cleaned with water shall be thoroughly dried before working the concrete.

When placing concrete on slopes exceeding 5 percent, the Engineer may require the Contractor to provide a flow controlled modified material.

Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.

Unless otherwise permitted in writing by the Engineer, public traffic shall not be permitted on the new concrete until at least one hour after final set.

Full compensation for rapid setting concrete (patch) including furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing concrete patches, including cleaning contact surfaces, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer, shall be considered as included in the contract prices paid for various types of work involved, and no separate payment will be made therefor.

### 10-1.62 ARCHITECTURAL TREATMENT

Architectural treatment for concrete surfaces shall conform to the details shown on the plans and the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Architectural treatment listed below are required at concrete surfaces shown on the plans:

A. Fractured rib texture

The fractured rib texture shall be an architectural texture simulating the appearance of straight ribs of concrete with a fractured concrete texture imparted to the raised surface between the ribs. Grooves between ribs shall be continuous with no apparent curves or discontinuities. Variation of the groove from straightness shall not exceed 6 mm for each 3 m of groove. The architectural texture shall have random shadow patterns. Broken concrete at adjoining ribs and groups of ribs shall have a random pattern. The architectural texture shall not have secondary patterns imparted by shadows or repetitive fractured surfaces.

#### TEST PANEL

A test panel at least 1.25 m x 1.25 m in size shall be successfully completed at a location approved by the Engineer before beginning work on architectural textures. The test panel shall be constructed and finished with the materials, tools, equipment and methods to be used in constructing the architectural texture. If ordered by the Engineer, additional test panels shall be constructed and finished until the specified finish, texture and color are obtained, as determined by the Engineer.

The test panel approved by the Engineer shall be used as the standard of comparison in determining acceptability of architectural texture for concrete surfaces.

#### FORM LINERS

Form liners shall be used for textured concrete surfaces and shall be installed in conformance with the manufacturer's recommendations, unless other methods of forming textured concrete surfaces are approved by the Engineer. Form liners shall be manufactured from an elastomeric material or a semi-elastomeric polyurethane material by a manufacturer of commercially available concrete form liners. No substitution of other types of formliner material will be allowed. Form liners shall leave crisp, sharp definition of the architectural surface. Recurring textural configurations exhibited by repeating, recognizable shadow patterns shall be prevented by proper casting of form liner patterns. Textured concrete surfaces with such recurring textural configurations shall be reworked to remove such patterns as approved by the Engineer or the concrete shall be replaced.

Form liners shall have the following properties:

| Description                   | ASTM Designation: | Range      |
|-------------------------------|-------------------|------------|
| Elastomeric material          |                   |            |
| Shore A hardness              | D 2240            | 20 to 65   |
| Tensile strength (MPa)        | D 412             | 0.9 to 6.2 |
| Semi-elastomeric polyurethane |                   |            |
| Shore D hardness              | D 2240            | 55 to 65   |
| Tensile strength (MPa)        | D 2370            | 18 minimum |

Cuts and tears in form liners shall be sealed and repaired in conformance with the manufacturer's recommendations. Form liners that are delaminated from the form shall not be used. Form liners with deformations to the manufactured surface caused by improper storage practices or any other reason shall not be used.

Form liners shall extend the full length of texturing with transverse joints at 2.5 m minimum spacing. Small pieces of form liners shall not be used. Grooves shall be aligned straight and true. Grooves shall match at joints between form liners. Joints in the direction of grooves in grooved patterns shall be located only in the depressed portion of the textured concrete. Adjoining liners shall be butted together without distortion, open cracks or offsets at the joints. Joints between liners shall be cleaned before each use to remove any mortar in the joint.

Adhesives shall be compatible with the form liner material and with concrete. Adhesives shall be approved by the liner manufacturer. Adhesives shall not cause swelling of the liner material.

### **RELEASING FORM LINERS**

Products and application procedures for form release agents shall be approved by the form liner manufacturer. Release agents shall not cause swelling of the liner material or delamination from the forms. Release agents shall not stain the concrete or react with the liner material. For reliefs simulating fractured concrete or wood grain surfaces the application method shall include the scrubbing method using a natural bristle scrub brush in the direction of grooves or grain. The release agent shall coat the liner with a thin film. Following application of form release agent, the liner surfaces shall be cleaned of excess amounts of agent using compressed air. Buildup of form release agent caused by the reuse of a liner shall be removed at least every 5 uses.

Form liners shall release without leaving particles or pieces of liner material on the concrete and without pulling or breaking concrete from the textured surface. The concrete surfaces exposed by removing forms shall be protected from damage.

### **ABRASIVE BLASTING**

The architectural texture shall be abrasive blasted with fine abrasive to remove the sheen without exposing coarse aggregate.

### **CURING**

Concrete surfaces with architectural texture shall be cured only by the forms-in-place method. Seals and curing compounds shall not be used.

### **MEASUREMENT AND PAYMENT**

Architectural treatment will be measured and paid for by the square meter.

The contract price paid per square meter for architectural treatment of the types listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in architectural treatment, complete in place, including test panels, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.63 REINFORCEMENT**

Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

The Department's Pre-Qualified Products List for mechanical splices can be found at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/](http://www.dot.ca.gov/hq/esc/approved_products_list/)

The provisions in "Welding Quality Control" of these special provisions do not apply to resistance butt welding.

When joining new reinforcing bars to existing reinforcement, sample splices shall be made using only the deformation pattern of the new reinforcement to be spliced.

The following shall apply to ultimate splices for bar reinforcing cages of columns, cee bent drop caps and cast-in-place piles at locations as shown on the plans, where the longitudinal bars are spliced vertically at the job site in or above their final positions:

1. Instead of being removed from the completed lot, sample splices may be prepared in the same manner as specified in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices," of the Standard Specifications for service sample splices. These sample splices shall be tested in conformance with the requirements in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," of the Standard Specifications.
2. Splices may be encased in concrete prior to having the QCM review, approve, and forward each Production Test Report to the Engineer. Should the Contractor exercise this option, it is expressly understood that the Contractor will not be relieved of the Contractor's responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection.

For bar reinforcing cages measuring 1.2 meters in diameter and larger:

1. At least 4 vertical bars of each cage, equally spaced around the circumference, shall be tied at all reinforcement intersections with double wire ties.
2. At least 25 percent of remaining reinforcement intersections in each cage shall be tied with single wire ties. Tied intersections shall be staggered from adjacent ties.
3. Bracing shall be provided to avoid collapse of the cage during assembly, transportation, and installation.

Successful completion of these minimum baseline requirements for reinforcement cages 4 feet in diameter and larger will in no way relieve the Contractor of full responsibility for engineering the temporary support and bracing of the cages during construction.

Reinforcement shown on the plans to be galvanized shall be galvanized in conformance with the requirements in ASTM Designation: A 767/A 767M, Class 1, except that chromating will not be required.

Within areas where galvanized reinforcement is required, tie wire and bar chairs or other metallic devices used to secure or support the reinforcement shall be galvanized, plastic coated, or epoxy coated to prevent corrosion of the devices or damage to the galvanized reinforcement.

Galvanized surfaces that are abraded or damaged caused by shipping, handling, or installation shall be repaired as specified in Section 75-1.05, "Galvanizing," of the Standard Specifications.

## **MEASUREMENT AND PAYMENT**

Measurement and payment for reinforcement in structures shall conform to the provisions in Section 52-1.10, "Measurement," and Section 52-1.11, "Payment," of the Standard Specifications and these special provisions.

Full compensation for galvanizing steel reinforcement shall be considered as included in the prices paid for the various items of work involved and no additional compensation will be allowed therefor.

### **10-1.64 HEADED BAR REINFORCEMENT**

#### **GENERAL**

Headed bar reinforcement shall consist of bar reinforcement with heads attached to one or both ends and shall conform to the provisions of Section 52, "Reinforcement," of the Standard Specifications, the details shown on the plans, and these special provisions. The type of headed bar reinforcement to be used on this project shall be selected from the Department's Pre-Qualified Products List at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list](http://www.dot.ca.gov/hq/esc/approved_products_list)

The provisions of "Welding Quality Control" of these special provisions shall not apply to headed bar reinforcement.

The Contractor shall perform inspection and testing before, during, and after manufacturing headed bar reinforcement and as necessary to ensure that materials and workmanship conform to the requirements of the specifications.

A daily production log for the manufacture of headed bar reinforcement shall be maintained by the manufacturer for each production lot. The log shall clearly indicate the production lot numbers, the heats of bar material and head material used in the manufacture of each production lot, the number of bars in each production lot, and manufacturing records, including tracking and production parameters for welds or forgings. The data from the daily production log shall be available to the Engineer on request.

A production lot of headed bar reinforcement is defined as 150 reinforcing bars, or fraction thereof, of the same bar size, with heads of the same size and type, and manufactured by the same method, produced from bar material of a single heat number and head material of a single heat number. If one reinforcing bar has a head on both ends, it will be counted as 2 reinforcing bars for the purposes of establishing and testing production lots. A new production lot shall be started if the heat number of either the bar material or the head material changes before the maximum production lot size of 150 units is reached.

The Contractor shall furnish Certificates of Compliance accompanied by a copy of the mill test report, the Production Tests Reports specified herein, and the corresponding daily production logs to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each shipment of headed bar reinforcement delivered to the jobsite.

Welding, welder qualifications, and inspection of welding shall conform to the requirements for friction welding in ANSI/AWS C6.1.

Equipment used to perform friction welding shall be fitted with an effective in-process monitoring system to record essential production parameters that describe the process of welding the head onto the reinforcement. The parameters to be recorded shall include friction welding force, forge force, rotational speed, friction upset distance and time, and forge upset distance and time. The data from this monitoring shall be recorded and preserved by the manufacturer until acceptance of the contract and shall be provided to the Engineer upon request.

### **PRODUCTION TESTS**

Production tests shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The independent qualified testing laboratory used to perform the testing of headed bar reinforcement samples shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

1. A tensile testing machine capable of breaking the largest size of reinforcing bar to be tested.
2. Operators who have received formal training for performing the testing requirements of ASTM A 970/A 970M.
3. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

The Engineer shall be notified in writing when any lots of headed bar reinforcement are ready for testing. The notification shall include the number of lots to be tested and the location where the tests are to be conducted. After notification has been received, test samples will be randomly selected by the Engineer from each production lot of headed bar reinforcement that is ready for shipment to the jobsite.

A minimum of 3 samples from each production lot shall be tested. One tensile test shall be conducted on each sample.

Tensile tests shall conform to the requirements specified in ASTM A 970/A 970M, Section 6, Class A, except that at rupture, there shall be visible signs of necking in the reinforcing bar 1) at a minimum distance of one bar diameter away from the head to bar connection for friction welded headed bar reinforcement, or 2) outside the affected zone for integrally forged headed bar reinforcement.

The affected zone for integrally forged headed bar reinforcement is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered during the manufacturing process.

If one of the test specimens fails to meet the specified requirements, one retest shall be performed on one additional sample, selected by the Engineer, from the same production lot. If the additional test specimen, or if more than one of the original test specimens fail to meet these requirements, all headed bar reinforcement in the lot represented by the tests will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials," of the Standard Specifications.

A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory and submitted to the Engineer as specified herein. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include the following information for each set of samples: contract number, bridge number, lot number, bar size, type of headed bar reinforcement, physical condition of test sample, any notable defects, limits of affected zone, location of visible necking area, and the ultimate strength of each headed bar.

Each unit of headed bar reinforcement in a production lot to be shipped to the site shall be tagged in a manner such that production lots can be accurately identified at the jobsite. All unidentified headed bar reinforcement received at the jobsite will be rejected.

## MEASUREMENT AND PAYMENT

Quantities of headed bar reinforcement will be measured as units determined from the number of heads shown on the plans or as directed by the Engineer.

The contract unit price paid for headed bar reinforcement shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing headed bar reinforcement and conforming to all testing requirements, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.65 WATERPROOFING

Waterproofing shall conform to the provisions in Section 54, "Waterproofing," of the Standard Specifications and these special provisions.

Membrane waterproofing shall be applied to the painted undercoat of steel column casings in the same manner provided for waterproofing concrete surfaces.

The exposed surfaces of the membrane waterproofing applied to steel column casings shall be of uniform height above ground without unsightly bulges, depressions or other imperfections.

At the option of the Contractor, a preformed membrane waterproofing system may be furnished and applied in lieu of the asphalt membrane waterproofing specified above. Preformed membrane waterproofing shall conform to these special provisions.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished for the preformed membrane sheet. The Certificate of Compliance shall include the following information: (1) type of preformed membrane sheet, and (2) the conditioner or primer application rates.

The preformed membrane waterproofing system shall consist of an adhesive, conditioner or primer applied to a prepared surface; a preformed membrane sheet of rubberized asphalt or polymer modified bitumen; mastic or tape for sealing the edges of the sheet; and a protective covering over the sheet held by an adhesive.

The preformed membrane sheet shall be either permanently applied to a polyethylene film or reinforced with a polypropylene mesh fabric, polyester/polypropylene fabric or a fiberglass mesh fabric. The membrane sheet shall conform to the following requirements:

| Property   | Test           | Requirement       |                   |
|--|----------------|-------------------|-------------------|
|  |                | Polyethylene Film | Fabric Reinforced |
| Tensile Strength (Minimum)(1)                      | ASTM D 882 (2) | 3.5N/mm (3)       | 3.5N/mm (3)       |
| Percent Elongation at break (Minimum) (4)          | ASTM D 882 (2) | 150 percent (3)   | 25 percent (3)    |
| Pliability   | ASTM D 146 (5) | No cracks         | No cracks         |
| Thickness (Minimum) (6)                            | -----          | 1.5 mm            | 1.5 mm            |
| Rubberized Asphalt Softening Point (Minimum)       | AASHTO T 53    | 74°C              | 74°C              |
| Polymer Modified Bitumen Softening Point (Minimum) | AASHTO T 53    | 99°C              | 99°C              |

Notes:

- (1) Breaking factor in machine direction.
- (2) Method A, average 5 samples.
- (3) At 23°C ± 2°C
- (4) Machine direction.
- (5) 180-degree bend over a 25-mm mandrel at -12°C
- (6) Total thickness of preformed membrane sheet and polyethylene film or fabric reinforcement.

Adhesives, conditioners, primers, mastics and sealing tapes shall be manufactured for use with the respective preformed membrane sheet materials and shall be applied according to the manufacturer's recommendations.

The protective covering shall be 3-mm minimum thickness hardboard or other material that furnishes equivalent protection. Backfill material and equipment shall not cut, scratch, depress or cause any other damage to the preformed membrane.

Surfaces designated to receive preformed membrane waterproofing shall be thoroughly cleaned of dirt, dust, loose or unsound concrete, and other extraneous material and shall be free from fins, sharp edges, and protrusions that would, in the opinion of the Engineer, puncture or otherwise damage the membrane. Sharp corners to be covered shall be rounded (outside) or chamfered (inside).

Surfaces shall be dry when components of the preformed membrane waterproofing system are applied.

Preformed membrane waterproofing shall not be applied to any surface until the Contractor is prepared to follow its application with the placing of the protective covering and backfill within a sufficiently short time that the membrane will not be damaged by workers or equipment, exposure to weathering, or from any other cause. Damaged membrane or protective covering shall be repaired or replaced by the Contractor at the Contractor's expense.

All projecting pipe, conduits, sleeves or other facilities passing through the preformed membrane waterproofing shall be flashed with prefabricated or field-fabricated boots, fitted coverings or other devices as necessary to provide watertight construction.

All conditioner or primers shall be thoroughly mixed and continuously agitated during application. Conditioner, primers or adhesive shall be allowed to dry to a tack free condition prior to placing membrane sheets.

The surfaces shall be recoated if membrane sheets are not placed over primer, conditioner or adhesive within the time recommended by the manufacturer.

The preformed membrane sheet shall not be applied in wet or foggy weather, nor when the ambient temperature is below 4°C.

Preformed membrane material shall be placed starting at the bottom and lapped by a minimum of 150 mm at splices and at repairs to holes or tears.

Exposed edges of membrane sheets shall have a trowelled bead of manufacturer's recommended mastic or sealing tape applied after the membrane is placed.

The surface of the preformed membrane shall be cleaned free of dirt and other deleterious material before the protective covering is placed.

The protective covering shall be placed on a coating of adhesive of a type recommended by the manufacturer. The adhesive shall be applied at a rate sufficient to hold the protective covering in position until the backfill is placed.

Preformed membrane waterproofing will be measured and paid for by the square meter as asphalt membrane waterproofing.

#### 10-1.66 STEEL STRUCTURES

Construction of steel structures shall conform to the provisions in Section 55, "Steel Structures," of the Standard Specifications and these special provisions.

##### GENERAL

Attention is directed to "Welding" in Section 8, "Materials," of these special provisions.

The following substitutions of high-strength steel fasteners shall be made:

| METRIC SIZE SHOWN ON THE PLANS                           | SIZE TO BE SUBSTITUTED                                    |
|--|---|
| ASTM Designation: A 325M<br>(Nominal bolt diameter (mm)) | ASTM Designation: A 325<br>(Nominal bolt diameter (inch)) |
| 13, 12.70, or M12  | 1/2   |
| 16, 15.88, or M16  | 5/8   |
| 19, 19.05, or M20  | 3/4   |
| 22, 22.22, or M22  | 7/8   |
| 24, 25, 25.40, or M24                                    | 1   |
| 29, 28.58, or M27  | 1 1/8   |
| 32, 31.75, or M30  | 1 1/4   |
| 38, 38.10, or M36  | 1 1/2   |

##### MATERIALS

Structural steel rolled shapes used in modify lighting and sign illumination shall conform to the Charpy V-notch impact values specified for steel plate in Section 55-2, "Materials," of the Standard Specifications.

##### ROTATIONAL CAPACITY TESTING PRIOR TO SHIPMENT TO JOB SITE

Rotational capacity tests shall be performed on all lots of high-strength fastener assemblies prior to shipment of these lots to the project site. Zinc-coated assemblies shall be tested after all fabrication, coating, and lubrication of components has been completed. One hardened washer shall be used under each nut for the tests.

The requirements of this section do not apply to high-strength cap screws or high-strength bolts used for slip base plates. Each combination of bolt production lot, nut lot, and washer lot shall be tested as an assembly.

A rotational capacity lot number shall be assigned to each combination of lots tested. Each shipping unit of fastener assemblies shall be plainly marked with the rotational capacity lot number.

Two fastener assemblies from each rotational capacity lot shall be tested.

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of long bolts. Fasteners are considered to be long bolts when full nut thread engagement can be achieved when installed in a bolt tension measuring device:

A. Long Bolt Test Equipment:

1. Calibrated bolt tension measuring device with adequate tension capacity for the bolts being tested.
2. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Long Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel beam or member, such as a girder flange or cross frame, to which the bolt tension measuring device will be attached. The device shall be accessible from the ground.

B Long Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Insert the bolt into the bolt tension measuring device and install the required number of washers, and additional spacers as needed, directly beneath the nut to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug tension shall not be less than the Table A value but may exceed the Table A value by a maximum of 2 kips.

Table A

| High-Strength Fastener Assembly Tension Values to Approximate Snug-Tight Condition |                     |
|--|---------------------|
| Bolt Diameter (inches)   | Snug Tension (kips) |
| 1/2  | 1                   |
| 5/8  | 2                   |
| 3/4  | 3                   |
| 7/8  | 4                   |
| 1  | 5                   |
| 1 1/8  | 6                   |
| 1 1/4  | 7                   |
| 1 3/8  | 9                   |
| 1 1/2  | 10                  |

5. Match-mark the assembly by placing a heavy reference start line on the face plate of the bolt tension measuring device which aligns with 1) a mark placed on one corner of the nut, and 2) a radial line placed across the flat on the end of the bolt, or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make an additional mark on the face plate, either 2/3 of a turn, one turn, or 1 1/3 turn clockwise from the heavy reference start line, depending on the bolt length being tested as shown in Table B.

Table B

| Required Nut Rotation for Rotational Capacity Tests <sup>(a,b)</sup>  |                          |
|---|--------------------------|
| Bolt Length (measured in Step 1)  | Required Rotation (turn) |
| 4 bolt diameters or less  | 2/3                      |
| Greater than 4 bolt diameters but no more than 8 bolt diameters   | 1                        |
| Greater than 8 bolt diameters, but no more than 12 bolt diameters <sup>(c)</sup>  | 1 1/3                    |
| <p>(a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees; for bolts installed by 2/3 turn and more, the tolerance shall be plus or minus 45 degrees.</p> <p>(b) Applicable only to connections in which all material within grip of the bolt is steel.</p> <p>(c) When bolt length exceeds 12 diameters, the required rotation shall be determined by actual tests in a suitable tension device simulating the actual conditions.</p> |                          |

6. Turn the nut to achieve the applicable minimum bolt tension value listed in Table C. After reaching this tension, record the moving torque, in foot-pounds, required to turn the nut, and also record the corresponding bolt tension value in pounds. Torque shall be measured with the nut in motion. Calculate the value, T (in ft-lbs), where  $T = [( \text{the measured tension in pounds} ) \times ( \text{the bolt diameter in inches} ) / 48 \text{ in/ft}]$ .

Table C

| Minimum Tension Values for High-Strength Fastener Assemblies |                        |
|--|------------------------|
| Bolt Diameter (inches)                                       | Minimum Tension (kips) |
| 1/2  | 12                     |
| 5/8  | 19                     |
| 3/4  | 28                     |
| 7/8  | 39                     |
| 1  | 51                     |
| 1 1/8  | 56                     |
| 1 1/4  | 71                     |
| 1 3/8  | 85                     |
| 1 1/2  | 103                    |

7. Turn the nut further to increase bolt tension until the rotation listed in Table B is reached. The rotation is measured from the heavy reference line made on the face plate after the bolt was snug-tight. Record this bolt tension.
8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Long Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque (Step 6) shall be less than or equal to the calculated value, T (Step 6), 2) the bolt tension measured in Step 7 shall be greater than or equal to the applicable turn test tension value listed in Table D, 3) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been achieved, 4) the bolt does not shear from torsion or fail during the test, and 5) the assembly does not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head is expected and will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

Table D

| Turn Test Tension Values  |                             |
|---------------------------|-----------------------------|
| Bolt Diameter<br>(inches) | Turn Test Tension<br>(kips) |
| 1/2                       | 14                          |
| 5/8                       | 22                          |
| 3/4                       | 32                          |
| 7/8                       | 45                          |
| 1                         | 59                          |
| 1 1/8                     | 64                          |
| 1 1/4                     | 82                          |
| 1 3/8                     | 98                          |
| 1 1/2                     | 118                         |

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of short bolts. Fasteners are considered to be short bolts when full nut thread engagement cannot be achieved when installed in a bolt tension measuring device:

A. Short Bolt Test Equipment:

1. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Short Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
2. Spud wrench or equivalent.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel plate or girder with a hole to install bolt. The hole size shall be 1.6 mm greater than the nominal diameter of the bolt to be tested. The grip length, including any plates, washers, and additional spacers as needed, shall provide the proper number of threads within the grip, as required in Step 2 of the Short Bolt Test Procedure.

B. Short Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Install the bolt into a hole on the plate or girder and install the required number of washers and additional spacers as needed between the bearing face of the nut and the underside of the bolt head to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug condition shall be the full manual effort applied to the end of a 305 mm long wrench. This applied torque shall not exceed 20 percent of the maximum allowable torque in Table E.

Table E

| Maximum Allowable Torque for High-Strength Fastener Assemblies |                 |
|--|-----------------|
| Bolt Diameter (inches)   | Torque (ft-lbs) |
| 1/2  | 145             |
| 5/8  | 285             |
| 3/4  | 500             |
| 7/8  | 820             |
| 1  | 1220            |
| 1 1/8  | 1500            |
| 1 1/4  | 2130            |
| 1 3/8  | 2800            |
| 1 1/2  | 3700            |

5. Match-mark the assembly by placing a heavy reference start line on the steel plate or girder which aligns with 1) a mark placed on one corner of the nut and 2) a radial line placed across the flat on the end of the bolt or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make 2 additional small marks on the steel plate or girder, one 1/3 of a turn and one 2/3 of a turn clockwise from the heavy reference start line on the steel plate or girder.
6. Using the torque wrench, tighten the nut to the rotation value listed in Table F. The rotation is measured from the heavy reference line described in Step 5 made after the bolt was snug-tight. A second wrench shall be used to prevent rotation of the bolt head during tightening. Measure and record the moving torque after this rotation has been reached. The torque shall be measured with the nut in motion.

Table F

| Nut Rotation Required for Turn-of-Nut Installation <sup>(a,b)</sup>  |                          |
|--|--------------------------|
| Bolt Length (measured in Step 1)   | Required Rotation (turn) |
| 4 bolt diameters or less   | 1/3                      |
| (a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees. |                          |
| (b) Applicable only to connections in which all material within grip of the bolt is steel.   |                          |

7. Tighten the nut further to the 2/3-turn mark as indicated in Table G. The rotation is measured from the heavy reference start line made on the plate or girder when the bolt was snug-tight. Verify that the radial line on the bolt end or on the exposed portions of the threads of tension control bolts is still in alignment with the start line.

Table G

| Required Nut Rotation for Rotational Capacity Test |                          |
|--|--------------------------|
| Bolt Length (measured in Step 1)                   | Required Rotation (turn) |
| 4 bolt diameters or less                           | 2/3                      |

8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Short Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque from Step 6 shall be less than or equal to the maximum allowable torque from Table E, 2) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been achieved, 3) the bolt does not shear from torsion or fail during the test, and 4) the assembly shall not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

**INSTALLATION TENSION TESTING AND ROTATIONAL CAPACITY TESTING AFTER ARRIVAL ON THE JOB SITE**

Installation tension tests and rotational capacity tests on high-strength fastener assemblies shall be performed by the Contractor prior to acceptance or installation and after arrival of the fastener assemblies on the project site. Installation tension tests and rotational capacity tests shall be performed at the job-site, in the presence of the Engineer, on each rotational capacity lot of fastener assemblies.

The requirements of this section do not apply to high-strength cap screws or high-strength bolts used for slip base plates.

Installation tension tests shall be performed on 3 representative fastener assemblies in conformance with the provisions in Section 8, "Installation," of the RCSC Specification. For short bolts, Section 8.2, "Pretensioned Joints," of the RCSC Specification shall be replaced by the "Pre-Installation Testing Procedures," of the "Structural Bolting Handbook," published by the Steel Structures Technology Center, Incorporated.

The rotational capacity tests shall be performed in conformance with the requirements for rotational capacity tests in "Rotational Capacity Testing Prior to Shipment to Job Site" of these special provisions.

At the Contractor's expense, additional installation tension tests, tests required to determine job inspecting torque, and rotational capacity tests shall be performed by the Contractor on each rotational capacity lot, in the presence of the Engineer, if 1) any fastener is not used within 3 months after arrival on the jobsite, 2) fasteners are improperly handled, stored, or subjected to inclement weather prior to final tightening, 3) significant changes are noted in original surface condition of threads, washers, or nut lubricant, or 4) the Contractor's required inspection is not performed within 48 hours after all fasteners in a joint have been tensioned.

Failure of a job-site installation tension test or a rotational capacity test will be cause for rejection of unused fasteners that are part of the rotational capacity lot.

When direct tension indicators are used, installation verification tests shall be performed in conformance with Appendix Section X1.4 of ASTM Designation: F959, except that bolts shall be initially tensioned to a value 5 percent greater than the minimum required bolt tension.

**WELDING**

Table 2.2 of AWS D1.5 is superseded by the following table:

| Base Metal Thickness of the Thicker Part Joined,<br>mm | Minimum Effective Partial Joint<br>Penetration<br>Groove Weld Size, * mm |
|--|--|
| Over 6 to 13 inclusive                                 | 5  |
| Over 13 to 19 inclusive                                | 6  |
| Over 19 to 38 inclusive                                | 8  |
| Over 38 to 57 inclusive                                | 10   |
| Over 57 to 150 inclusive                               | 13   |
| Over 150   | 16   |

\* Except the weld size need not exceed the thickness of the thinner part

Dimensional details and workmanship for welded joints in tubular and pipe connections shall conform to the provisions in Part A, "Common Requirements of Nontubular and Tubular Connections," and Part D, "Specific Requirements for Tubular Connections," in Section 2 of AWS D1.1.

The requirement of conformance with AWS D1.5 shall not apply to work conforming to Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

### 10-1.67 COLUMN CASINGS

Column casings shall consist of cleaned and painted structural steel shells filled with grout as shown on the plans and conforming to the provisions in Section 55, "Steel Structures," of the Standard Specifications and these special provisions.

Attention is directed to "Welding Quality Control" of these special provisions.

For field welding of column casings, only visual inspection will be required, and the requirements of the second sentence of paragraph 3.13.2 and the first sentence of paragraph 3.13.3 of AWS D1.5 will not apply.

Structural steel for column casings shall conform to the requirements in ASTM Designation: A 36/A 36M, or, at the Contractor's option, ASTM Designation: A 709/A 709M, Grade 36.

Polyethylene shall have a compressive strength of at least 69 kPa at no more than 15 percent deflection determined in conformance with the requirements in ASTM Designation: D 3575, Test B. Polyethylene shall be bonded to the column using a suitable waterproof adhesive applied to the entire contact surface.

Drain extension pipe shall conform to the provisions for drainage piping in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

The spaces to be occupied by the column casing materials shall be cleared of plants and other materials prior to encasing the column.

Removed plants and other materials shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

#### CLEAN AND PAINT COLUMN CASING

New metal surfaces, except where galvanized, shall be cleaned and painted in conformance with the provisions in Sections 59-2, "Painting Structural Steel," and 91, "Paint," of the Standard Specifications and these special provisions.

Proof of certification under the SSPC QP Certification Program must be submitted with your bid. Required certifications are as follows:

1. SSPC-QP 3, Enclosed Shop Facility or AISC Sophisticated Paint Endorsement Quality Program, P1- Enclosed

Prior to performing any painting or paint removal, the Contractor shall submit to the Engineer, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications, 3 copies of a separate Painting Quality Work Plan (PQWP) for each item of work for which painting or paint removal is to be performed. As a minimum, each PQWP shall include the following:

- A. The name of each Contractor or subcontractor to be used.
- B. One copy each of all current "SSPC: The Society for Protective Coatings" specifications or qualification procedures which are applicable to the painting or paint removal to be performed. These documents shall become the permanent property of the Department.
- C. A copy of the coating manufacturer's guidelines and recommendations for surface preparation, painting, drying, curing, handling, shipping, and storage of painted structural steel, including testing methods and maximum allowable levels for soluble salts.
- D. Proposed methods and equipment to be used for any paint application.
- E. Proof of each of any required certifications, SSPC-QP 1, SSPC-QP 3. Where SSPC-QP 3 certification is required, an enclosed shop facility shall be required. Certification of AISC Sophisticated Paint Endorsement Quality Program, P-1 Enclosed endorsement, will be considered equivalent to SSPC-QP 3.

1. In lieu of certification in conformance with the requirements in SSPC-QP 1 for this project, the Contractor may submit written documentation showing conformance with the requirements in Section 3, "General Qualification Requirements," of SSPC-QP 1.

- F. Proposed methods to control environmental conditions in accordance with the manufacturer's recommendations and these special provisions.
- G. Proposed methods to protect the coating during curing, shipping, handling, and storage.
- H. Proposed rinse water collection plan.
- I. A detailed paint repair plan for the repair of damaged areas.
- J. Procedures for containing blast media and water during application of coatings and coating repair of erected steel.
- K. Examples of proposed daily reports for all testing to be performed, including type of testing, location, lot size, time, weather conditions, test personnel, and results.

Prior to submitting the PQWP, a pre-painting meeting between the Engineer, the Contractor, and a representative from each entity performing painting for this project shall be held to discuss the requirements for the PQWP.

The Contractor shall allow the Engineer 3 weeks to approve the PQWP submittal after a complete plan has been received. No painting or paint removal shall be performed until the PQWP for that work is approved by the Engineer. Should the Engineer fail to complete the review within this time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in approving the PQWP, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Engineer's approval of the Contractor's PQWP shall not relieve the Contractor of any responsibility under the contract for the successful completion of the work in conformity with the requirements of the plans and specifications.

The Contractor shall provide enclosures to permit cleaning and painting during inclement weather. Provisions shall be made to control atmospheric conditions inside the enclosures within specified limits during cleaning and painting operations, drying to solvent insolubility, and throughout the curing period in accordance with the manufacturer's recommendations and these special provisions. Full compensation for providing and maintaining such enclosures shall be considered as included in the prices paid for the various contract items of work requiring cleaning and painting, and no additional compensation will be allowed therefor.

Fresh, potable water with a maximum chloride content of 75 mg/L and a maximum sulfate content of 200 mg/L shall be used for water rinsing or pressure washing operations. No continuous recycling of rinse water will be permitted. If rinse water is collected into a tank and subsequent testing determines the collected water conforms to the specified requirements, reuse may be permitted by the Engineer if no collected water is added to the tank after sample collection for determination of conformance to specified requirements.

Column casing surfaces in contact with grout shall not be considered embedded in concrete.

Column casing surfaces to be painted with inorganic zinc coating shall be blast cleaned and painted with the single undercoat prior to shipment to the job site.

### **Cleaning**

The surfaces to be cleaned and painted shall be dry blast cleaned in conformance with the requirements of SSPC-SP 10, "Near White Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave surfaces with a dense, uniform, angular anchor pattern of not less than 40  $\mu\text{m}$  nor more than 86  $\mu\text{m}$  as measured in conformance with the requirements in ASTM Designation: D 4417.

Mineral and slag abrasives used for blast cleaning steel surfaces shall conform to the requirements for Class A, Grade 2 to 3 abrasives contained in SSPC-AB 1, "Mineral and Slag Abrasives," of the "SSPC: The Society for Protective Coatings," and shall not contain hazardous material.

Steel abrasives used for blast cleaning steel surfaces shall comply with the requirements of SSPC-AB 3, "Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings." If steel abrasive is recycled through shop or field abrasive blast cleaning units, the recycled abrasive shall conform to the requirements of SSPC-AB 2, "Specification for Cleanliness of Recycled Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings."

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications and a Material Safety Data Sheet shall be furnished prior to use for each shipment of blast cleaning material for steel.

Abrasive blast cleaned surfaces shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the coating manufacturer's written recommendations or 10 micrograms per square centimeter. Areas of abrasive blast cleaned steel shall be tested at the rate of 3 tests for the first 100 square meters prepared per day, and one test for each additional 100 square meters or portion thereof, at locations selected by the Engineer. When less than 100 square meters of surface area is prepared in a shift, at least 2 tests shall be performed. If levels of soluble salts exceed the maximum allowed by these special provisions, the entire area represented by the testing will be rejected. The Contractor shall perform additional cleaning and testing of rejected areas until soluble salt levels conform to these requirements.

Corners shall be chamfered to remove sharp edges.

Thermal cut edges (TCEs) to be painted shall be conditioned before blast cleaning by shallow grinding or other method approved by the Engineer to remove the thin, hardened layer of material resulting from resolidification during cooling.

Visually evident base metal surface irregularities and defects shall be removed in accordance with ASTM Designation: A 6 or AASHTO Designation: M 160 prior to blast cleaning steel. When material defects exposed by blast cleaning are removed, the blast profile shall be restored by either blast cleaning or by using mechanical tools in accordance with SSPC-SP 11, "Power Tool Cleaning to Bare Metal," of the "SSPC: The Society for Protective Coatings."

## Painting

Blast cleaned surfaces shall receive a single undercoat of an inorganic zinc coating, and exposed surfaces shall receive a minimum of 2 finish coats of an exterior grade latex paint supplied by the manufacturer of the inorganic zinc coating. The single undercoat shall consist of an inorganic zinc coating conforming to the requirements in AASHTO Designation: M 300, Type I or Type II, except that: 1) the first 3 sentences of Section 5.6, "Primer Field Performance Requirements," shall not apply for Type II coatings, and 2) the entire Section 4.7.1 shall not apply for either type of inorganic zinc coating.

If the Contractor proposes to use a Type I coating, the Contractor shall furnish to the Engineer for review documentation as required in Section 5.6 of AASHTO Designation: M 300. The Contractor shall allow the Engineer 4 weeks to review the proposal.

If the Contractor proposes to use a Type II coating, the coating shall be selected from the qualified products list, which may be obtained from the Transportation Laboratory.

Inorganic zinc coating shall be used within 12 hours of initial mixing.

Application of inorganic zinc coating shall conform to the provisions for applying zinc-rich coating in Section 59-2.13, "Application of Zinc-Rich Primer," of the Standard Specifications.

The single undercoat of inorganic zinc coating shall be applied to the required dry film thickness in 2 or more applications within 8 hours of the start of blast cleaning. Abrasive blast cleaned steel shall not be exposed to relative humidity exceeding 85 percent prior to application of inorganic zinc coating.

The total dry film thickness of all applications of the single undercoat of inorganic zinc coating shall be not less than 100  $\mu\text{m}$  nor more than 200  $\mu\text{m}$ .

Damaged areas and areas where mudcracking occurs in the inorganic zinc coating shall be blast cleaned and repainted with inorganic zinc coating to the specified thickness.

Steel surfaces coated with Type II inorganic zinc coating shall be protected from conditions that may cause the coating film to dissolve. The Contractor, at the Contractor's expense, shall repair areas where the coating has dissolved by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Dry spray, or overspray, as defined in the Steel Structures Painting Manual, Volume 1, "Good Painting Practice," of the "SSPC: The Society for Protective Coatings," shall be removed prior to application of subsequent coats or final acceptance. Removal of dry spray shall be by screening or other methods that minimize polishing of the inorganic zinc surface. The dry film thickness of the coating after removal of dry spray shall be in conformance with the provisions for applying the single undercoat, as specified herein.

The Contractor shall test the inorganic zinc coating prior to application of finish coats. The locations of the tests will be determined by the Engineer. The Contractor shall determine the sequence of the testing operations. The testing for adhesion and hardness will be performed no sooner than 72 hours after application of the single undercoat of inorganic zinc coating. At the Contractor's expense, satisfactory access shall be provided to allow the Engineer to determine the location of the tests.

The inorganic zinc coating shall pass the following tests:

- A. The inorganic zinc coating shall have a minimum adhesion to steel of 4 MPa when measured using a self-aligning adhesion tester in conformance with the requirements in ASTM Designation: D 4541. The Engineer will select 3 locations per column casing section for adhesion testing. If 2 or more of the locations tested fail to meet adhesion requirements, the section will be rejected. If one of the locations tested fails to meet adhesion requirements, an additional 3 locations shall be tested. Should any of the additional locations fail to meet adhesion requirements, the column casing section will be rejected. The Contractor, at the Contractor's expense, shall repair the rejected area by blast cleaning and repainting with inorganic zinc to the specified thickness. Test locations for areas of inorganic zinc meeting adhesion testing requirements shall be repaired by application of organic zinc primer as specified in Section 91-1.04, "Materials," of the Standard Specifications to the specified minimum dry film thickness.
- B. Areas of inorganic zinc coating where finish coats are to be applied shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the manufacturer's written recommendations or 10 micrograms per square centimeter. Areas of inorganic zinc coating shall be tested at the rate of 3 tests for the first 100 square meters to be painted per day and one test for each additional 100 square meters or portion thereof at locations selected by the Engineer. When less than 100 square meters of surface area is painted in a shift, at least 2 tests shall be performed. If levels of soluble salts exceed the maximum allowed by these special provisions, the entire area represented by the testing will be rejected. The Contractor shall perform additional cleaning and testing of rejected areas until soluble salt levels conform to these requirements.
- C. Prior to application of finish coats, the inorganic zinc coating shall exhibit a solid, hard, and polished metal surface when firmly scraped with the knurled edge of a quarter. Inorganic zinc coating that is powdery, soft, or does not exhibit a polished metal surface, as determined by the Engineer, shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

### **Additional Requirements for Water Borne Inorganic Zinc Primers**

- A. The surface pH of the inorganic zinc primer shall be tested by wetting the surface with de-ionized water for a minimum of 15 minutes but no longer than 30 minutes and applying pH paper with a capability of measuring in increments of 0.5 pH units. At least 2 surface pH readings shall be taken for every 50 square meters or portion thereof. If less than 50 square meters of steel is coated in a single shift or day, at least 2 surface pH readings shall be taken for primer applied during that period. Application of finish coats will not be permitted until the surface pH is less than or equal to 7.
- B. Dry to solvent insolubility for water borne inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752, except that water shall be the solvent. The resistance rating shall be not less than 4. Areas of inorganic zinc coating shall be tested for solvent insolubility at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating represented by the tested area that does not meet the solvent insolubility requirements will be rejected. The Contractor, at the Contractor's expense, shall repair rejected areas by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

### **Additional Requirements for Solvent Borne Inorganic Zinc Primers**

- A. Dry to solvent insolubility for solvent borne inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752. The resistance rating shall be not less than 4. Areas of inorganic zinc coating shall be tested for solvent insolubility at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating represented by the tested area that does not meet the solvent insolubility requirements will be rejected. The Contractor, at the Contractor's expense, shall repair rejected areas by blast cleaning and repainting with inorganic zinc coating to the specified thickness.
- B. Surface hardness of solvent borne inorganic zinc shall be a minimum 2H when measured in conformance with the requirements in ASTM Designation: D 3363. Areas of inorganic zinc coating shall be tested at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating that fails to meet the surface hardness requirements shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

The Contractor, at the Contractor's expense, shall retest all rejected areas of inorganic zinc coating after repairs have been completed.

Except as approved by the Engineer, a minimum curing time of 72 hours shall be allowed between application of inorganic zinc coating and water rinsing.

Exposed areas of inorganic zinc coating where finish coats are specified shall be thoroughly water rinsed.

The first finish coat shall be applied within 48 hours following water rinsing.

The finish coat paint shall be formulated for application to inorganic zinc coating, shall meet the requirements for SSPC-Paint 24, "Latex Semi-Gloss Exterior Topcoat," of the "SSPC: The Society for Protective Coatings," and shall conform to the following:

- A. No visible color change in the finish coats shall occur when tested for 800 hours in conformance with the requirements in ASTM Designation: D 4587, Test Cycle 2.
- B. The vehicle shall be an acrylic or modified acrylic copolymer with a minimum of necessary additives.

The first finish coat shall be applied in 2 applications. The first application shall consist of a spray applied mist application. The second application shall be applied after the mist application has dried to a set to touch condition as determined by the procedure described in Section 7 of ASTM Designation: D1640. The first finish coat color shall match Federal Standard 595B, No. 36628. The total dry film thickness of both applications of the first finish coat shall be not less than 50  $\mu\text{m}$ .

Except as approved by the Engineer, a minimum drying time of 12 hours shall be allowed between finish coats.

The second finish coat color shall match Federal Standard 595B, No. 36373. The total dry film thickness of all applications of the second finish coat shall be not less than 50  $\mu\text{m}$ .

The second finish coat color for the contrast paint shall closely match the color of the existing aesthetic treatment as approved by the Engineer.

The 2 finish coats shall be applied in 3 or more applications to a total dry film thickness of not less than 100  $\mu\text{m}$  nor more than 200  $\mu\text{m}$ .

The total dry film thickness of all applications of inorganic zinc coating and finish coat paint shall be not less than 200  $\mu\text{m}$  nor more than 350  $\mu\text{m}$ .

## **GROUTING**

Grouting shall conform to the provisions in Section 50-1.09, "Bonding and Grouting," of the Standard Specifications and these special provisions.

For non-circular columns where the minimum gap to be filled with grout is 25 mm and the maximum gap is greater than 100 mm, aggregate shall be used to extend the grout, but only to the extent that the cement content of the grout is not less than 500 kilograms per cubic meter of grout. California Test 541 will not be required nor will the grout be required to pass through a sieve with a 1.8-mm maximum clear opening prior to being introduced into the grout pump. Aggregate shall consist of at least 70 percent fine aggregate and approximately 30 percent pea gravel, by weight. Fine aggregate shall conform to the provisions of Section 90-2, "Materials," of the Standard Specifications. The size of pea gravel shall be such that 100 percent passes the 12.5-mm sieve, a minimum 90 percent passes the 9.5-mm sieve, and not more than 5 percent passes the 2.36-mm sieve.

The Contractor shall limit the height of each lift of grout to minimize undulations and displacements of the surface of the shell during grouting. Undulations in the shell surface, including undulations from fabrication and erection, shall not exceed 6 mm in 300 mm nor shall the total displacement from plan location exceed 50 mm at any point. At the Contractor's option, a bracing system or other means may be employed to restrain the casing within the specified tolerances. Except where shown on the plans, restraints shall not pass through the columns. The grout shall harden prior to placing the next lift of grout, unless a bracing system is used.

In addition to the above grout lift restrictions, the height of grout lifts for portions of column casings containing polyethylene shall not exceed 3 m.

Suitable external grout injection valves shall be installed for filling of the casings. The filling operation shall begin at the bottom of the casing. Spacing of the valves shall be such that the grout will fill the gap between the casing and the polyethylene or column.

Casings shall be sealed at the bottom. Grout shall be pumped into the casing such that the grout head is maintained uniformly around the column, and no visible evidence of water or air is ejected at the top of the grout. The grout at the casing top shall be covered with mortar and sloped to drain. Mortar shall conform to the provisions in Section 51-1.135, "Mortar," of the Standard Specifications.

Casings shall be positioned with spacers to center the casing around the existing column at the location shown on the plans. Spacers may be welded to the inside of the casing. Spacers shall not be used in areas occupied by the polyethylene.

Grout shall not be permitted to flow across shoulders or lanes occupied by public traffic, or to flow into gutters or other drainage facilities.

Clamps, valves, injection ports, lifting ears, and other accessories shall be completely removed not less than 24 hours after placing grout. Voids shall be filled with mortar and finished flush with the exterior surface of the casing.

## **MEASUREMENT AND PAYMENT**

Column casings will be measured and paid for in conformance with the provisions in Section 55-4, "Measurement and Payment," of the Standard Specifications and these special provisions.

The contract price paid per kilogram for column casing shall include full compensation for furnishing all labor, materials (including polyethylene and adhesive), tools, equipment, and incidentals, and for doing all the work involved in column casings filled with grout, complete in place, including cutting and re-fitting existing drainage piping to column casings as shown on the plans, cleaning and painting of structural steel, and testing, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## **10-1.68 SIGN STRUCTURES**

Sign structures and foundations for overhead signs shall conform to the provisions in Section 56-1, "Overhead Sign Structures," of the Standard Specifications, "Steel Structures" of these special provisions, and the following requirements.

Before commencing fabrication of sign structures, the Contractor shall submit 2 sets of working drawings to the Engineer in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The working drawings shall include sign panel dimensions, span lengths, post heights, anchorage layouts, proposed splice locations, a snugging and tensioning pattern for anchor bolts and high-strength bolted connections, and details for permanent steel anchor bolt templates. The working drawings shall be supplemented with a written quality control program that includes methods, equipment, and personnel necessary to satisfy the requirements specified herein.

Working drawings shall be 559 mm x 864 mm or 279 mm x 432 mm in size and each drawing and calculation sheet shall include the State assigned designations for the sign structure type and reference as shown on the contract plans, District-County-Route-Kilometer Post, and contract number.

The Engineer shall have 30 days to review the sign structure working drawings after a complete submittal has been received. No fabrication or installation of sign structures shall be performed until the working drawings are approved in writing by the Engineer.

Should the Engineer fail to complete the review within the time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the sign structure working drawings, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Steel bolts not designated on the plans as high strength (HS) or stainless steel shall be for general applications and shall conform to the requirements in ASTM Designation: A 307.

A permanent steel template shall be used to maintain the proper anchor bolt spacing.

One top nut, one leveling nut, and 2 washers shall be provided for the upper threaded portion of each anchor bolt.

Flatness of surfaces for the following shall conform to the requirements in ASTM Designation: A 6/A 6M:

1. Base plates that are to come in contact with concrete, grout, or washers and leveling nuts
2. Plates in high-strength bolted connections

No holes shall be made in members unless the holes are shown on the plans or are approved in writing by the Engineer.

Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be complete joint penetration (CJP) groove welds. In addition, longitudinal seam welds on structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.

Steel members used for overhead sign structures shall receive nondestructive testing (NDT) in conformance with AWS D1.1 and the following:

1.

| Weld Location  | Weld Type  | Minimum Required NDT   |
|--|--|--|
| Splice welds around the perimeter of tubular sections, poles, and arms.                        | CJP groove weld with backing ring                        | 100% UT <sup>a</sup> or RT <sup>b</sup>  |
| Longitudinal seam welds  | CJP or PJP <sup>c</sup> groove weld                      | Random 25% MT <sup>d</sup>   |
| Longitudinal seam welds within 150 mm of a circumferential splice.                             | CJP groove weld  | 100% UT or RT  |
| Welds attaching base plates, flange plates, or pole or mast arm plates, to poles or arm tubes. | CJP groove weld with backing ring and reinforcing fillet | t > 4.5 mm: 100%UT and MT<br>t < 4.5 mm: 100% MT after root weld pass & final weld pass<br>t = pole or arm thickness |
|  | External (top) fillet weld for socket-type connections   | 100% MT  |

<sup>a</sup> ultrasonic testing

<sup>b</sup> radiographic testing

<sup>c</sup> partial joint penetration

<sup>d</sup> magnetic particle testing

2. The acceptance and repair criteria for UT of welded joints where any of the members are less than 8 mm thick or where tubular sections are less than 325 mm in diameter shall conform to the requirements in AWS D1.1, Section 6.13.3.1. A written procedure approved by the Engineer shall be used when performing this UT. These written procedures shall conform to the requirements in AWS D1.1, Annex K. The acceptance and repair criteria for other welded joints receiving UT shall conform to the requirements in AWS D1.1, Section 6, Table 6.3 for cyclically loaded nontubular connections.
3. The acceptance and repair criteria for radiographic or real time image testing shall conform to the requirements of AWS D1.1 for tensile stress welds.
4. For longitudinal seam welds, the random locations for NDT will be selected by the Engineer. The cover pass shall be ground smooth at the locations to be tested. If repairs are required in a portion of a tested weld, the repaired portion shall receive NDT, and additional NDT shall be performed on untested portions of the weld. The additional NDT shall be performed on 25 percent of that longitudinal seam weld. After this additional NDT is performed and if more repairs are required, then that entire longitudinal seam weld shall receive NDT.

Circumferential welds and base plate to post welds may be repaired only one time without written permission from the Engineer.

All ferrous metal parts of tubular sign structures shall be galvanized and shall not be painted.

Full compensation for furnishing anchor bolt templates and for testing of welds shall be considered as included in the contract price paid per kilogram for furnish sign structure, and no additional compensation will be allowed therefor.

#### **10-1.69 ROADSIDE SIGNS**

Roadside signs shall be furnished and installed at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-2, "Roadside Signs," of the Standard Specifications and these special provisions.

The Contractor shall furnish roadside sign panels in conformance with the provisions in "Furnish Sign" of these special provisions.

Wood posts shall be pressure treated after fabrication in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," of the Standard Specifications and AWP A Use Category System: UC4A, Commodity Specification A or B. Type N, Type P, and Type R marker panels mounted on a post with a roadside sign shall be considered to be sign panels and will not be paid for as markers.

#### **10-1.70 FURNISH SIGN**

Signs shall be fabricated and furnished in accordance with details shown on the plans, the Traffic Sign Specifications, and these special provisions.

Traffic Sign Specifications for California sign codes are available for review at:

<http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm>

Traffic Sign Specifications for signs referenced with Federal MUTCD sign codes can be found in Standard Highway Signs Book, administered by the Federal Highway Administration, which is available for review at:

[http://mutcd.fhwa.dot.gov/ser-shs\\_millennium.htm](http://mutcd.fhwa.dot.gov/ser-shs_millennium.htm)

Information on cross-referencing California sign codes with the Federal MUTCD sign codes is available at:

<http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm>

Temporary or permanent signs shall be free from blemishes that may affect the serviceability and detract from the general sign color and appearance when viewing during daytime and nighttime from a distance of 8 m. The face of each finished sign shall be uniform, flat, smooth, and free of defects, scratches, wrinkles, gel, hard spots, streaks, extrusion marks, and air bubbles. The front, back, and edges of the sign panels shall be free of router chatter marks, burns, sharp edges, loose rivets, delaminated skins, excessive adhesive over spray and aluminum marks.

#### **QUALITY CONTROL FOR SIGNS**

The requirements of "Quality Control for Signs" in this section shall not apply to construction area signs.

No later than 14 days before sign fabrication, the Contractor shall submit a written copy of the quality control plan for signs to the Engineer for review. The Engineer will have 10 days to review the quality control plan. Sign fabrication shall not begin until the Engineer approves the Contractor's quality control plan in writing. The Contractor shall submit to the Engineer at least 3 copies of the approved quality control plan. The quality control plan shall include, but not be limited to the following requirements:

- A. Identification of the party responsible for quality control of signs,
- B. Basis of acceptance for incoming raw materials at the fabrication facility,
- C. Type, method and frequency of quality control testing at the fabrication facility,
- D. List (by manufacturer and product name) of process colors, protective overlay film, retroreflective sheeting and black non-reflective film,
- E. Recommended cleaning procedure for each product, and
- F. Method of packaging, transport and storage for signs.

No legend shall be installed at the project site. Legend shall include letters, numerals, tildes, bars, arrows, route shields, symbols, logos, borders, artwork, and miscellaneous characters. The style, font, size, and spacing of the legend shall conform to the Standard Alphabets published in the FHWA Standard Highway Signs Book. The legend shall be oriented in the same direction in accordance with the manufacturer's orientation marks found on the retroreflective sheeting.

On multiple panel signs, legend shall be placed across joints without affecting the size, shape, spacing, and appearance of the legend. Background and legend shall be wrapped around interior edges of formed panel signs as shown on plans to prevent delamination.

The following notation shall be placed on the lower right side of the back of each sign where the notation will not be blocked by the sign post or frame:

- A. PROPERTY OF STATE OF CALIFORNIA,
- B. Name of the sign manufacturer,
- C. Month and year of fabrication,
- D. Type of retroreflective sheeting, and
- E. Manufacturer's identification and lot number of retroreflective sheeting.

The above notation shall be applied directly to the aluminum sign panels in 6-mm upper case letters and numerals by die-stamp and applied by similar method to the fiberglass reinforced plastic signs. Painting, screening, or engraving the notation will not be allowed. The notation shall be applied without damaging the finish of the sign.

Signs with a protective overlay film shall be marked with a dot of 10 mm diameter. The dot placed on white border shall be black, while the dot placed on black border shall be white. The dot shall be placed on the lower border of the sign before application of the protective overlay film and shall not be placed over the legend and bolt holes. The application method and exact location of the dot shall be determined by the manufacturer of the signs.

For sign panels that have a minor dimension of 1220 mm or less, no splice will be allowed in the retroreflective sheet except for the splice produced during the manufacturing of the retroreflective sheeting. For sign panels that have a minor dimension greater than 1220 mm, only one horizontal splice will be allowed in the retroreflective sheeting.

Unless specified by the manufacturer of the retroreflective sheeting, splices in retroreflective sheeting shall overlap by a minimum of 25 mm. Splices shall not be placed within 50 mm from edges of the panels. Except at the horizontal borders, the splices shall overlap in the direction from top to bottom of the sign to prevent moisture penetration. The retroreflective sheeting at the overlap shall not exhibit a color difference under the incident and reflected light.

Signs exhibiting a significant color difference between daytime and nighttime shall be replaced immediately.

Repairing sign panels will not be allowed except when approved by the Engineer.

The Department will inspect signs at the Contractor's facility and delivery location, and in accordance with Section 6, "Control of Materials," of the Standard Specifications. The Engineer will inspect signs for damage and defects before and after installation.

Regardless of kind, size, type, or whether delivered by the Contractor or by a common carrier, signs shall be protected by thorough wrapping, tarping, or other methods to ensure that signs are not damaged by weather conditions and during transit. Signs shall be dry during transit and shipped on pallets, in crates, or tier racks. Padding and protective materials shall be placed between signs as appropriate. Finished sign panels shall be transported and stored by method that protects the face of signs from damage. The Contractor shall replace wet, damaged, and defective signs.

Signs shall be stored in dry environment at all times. Signs shall not rest directly on the ground or become wet during storage. Signs, whether stored indoor or outdoor, shall be free standing. In areas of high heat and humidity signs shall be stored in enclosed climate-controlled trailers or containers. Signs shall be stored indoor if duration of the storage will exceed 30 days.

Screen processed signs shall be protected, transported and stored as recommended by the manufacturer of the retroreflective sheeting.

When requested, the Contractor shall provide the Engineer test samples of signs and materials used at various stages of production. Sign samples shall be 300 mm x 300 mm in size with applied background, letter or numeral, and border strip.

The Contractor shall assume the costs and responsibilities resulting from the use of patented materials, equipment, devices, and processes for the Contractor's work.

## **SHEET ALUMINUM**

Alloy and temper designations for sheet aluminum shall be in accordance with ASTM Designation: B209.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the sheet aluminum.

Sheet aluminum shall be pretreated in accordance to ASTM Designation: B449. Surface of the sheet aluminum shall be cleaned, deoxidized, and coated with a light and tightly adherent chromate conversion coating free of powdery residue. The conversion coating shall be Class 2 with a mass between 108 mg/m<sup>2</sup> and 377 mg/m<sup>2</sup>, and an average mass of 269 mg/m<sup>2</sup>. Following the cleaning and coating process, the sheet aluminum shall be protected from exposure to grease, oils, dust, and contaminants.

Sheet aluminum shall be free of buckles, warps, dents, cockles, burrs, and defects resulting from fabrication.

Base plate for standard route marker shall be die cut.

## **RETROREFLECTIVE SHEETING**

The contractor shall furnish retroreflective sheeting for sign background and legend in accordance with ASTM Designation: D4956 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Retroreflective sheeting shall be applied to sign panels as recommended by the retroreflective sheeting manufacturer without stretching, tearing, and damage.

Class 1, 3, or 4 adhesive backing shall be used for Type II, III, IV, VII, VIII, and IX retroreflective sheeting. Class 2 adhesive backing may also be used for Type II retroreflective sheeting. The adhesive backing shall be pressure sensitive and fungus resistant.

When the color of the retroreflective sheeting determined from instrumental testing is in dispute, the Engineer's visual test will govern.

## **PROCESS COLOR AND FILM**

The Contractor shall furnish and apply screened process color, non-reflective opaque black film, and protective overlay film of the type, kind, and product that are approved by the manufacturer of the retroreflective sheeting.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the screened process color, non-reflective opaque black film, and protective overlay film.

The surface of the screened process color shall be flat and smooth. When the screened process colors determined from the instrumental testing in accordance to ASTM Designation: D4956 are in dispute, the Engineer's visual test will govern.

The Contractor shall provide patterns, layouts, and set-ups necessary for the screened process.

The Contractor may use green, red, blue, and brown reverse-screened process colors for background and non-reflective opaque black film or black screened process color for legend. The coefficient of retroreflection for reverse-screened process colors on white retroreflective sheeting shall not be less than 70 percent of the coefficient of retroreflection specified in ASTM Designation: D4956.

The screened process colors and non-reflective opaque black film shall have the same outdoor weatherability as that of the retroreflective sheeting.

After curing, screened process colors shall withstand removal when tested by applying 3M Company Scotch Brand Cellophane Tape No. 600 or equivalent tape over the color and removing with one quick motion at 90° angle.

## **SINGLE SHEET ALUMINUM SIGN**

Single Sheet aluminum signs shall be fabricated and furnished with or without frame. The Contractor shall furnish the sheet aluminum in accordance to "Sheet Aluminum" of these special provisions. Single sheet aluminum signs shall be fabricated from sheet aluminum alloy 6061-T6 or 5052-H38.

Single sheet aluminum signs shall not have a vertical splice in the sheet aluminum. For signs with depth greater than 1220 mm, one horizontal splice will be allowed in the sheet aluminum.

Framing for single sheet aluminum sign shall consist of aluminum channel or rectangular aluminum tubing. The framing shall have a length tolerance of +3 mm. The face sheet shall be affixed to the frame with rivets of 5-mm diameter. Rivets shall be placed within the web of channels and shall not be placed less than 13 mm from edges of the sign panels. Rivets shall be made of aluminum alloy 5052 and shall be anodized or treated with conversion coating to prevent corrosion. The exposed portion of rivets on the face of signs shall be the same color as the background or legend where the rivets are placed.

Finished signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the detailed dimensions.

Aluminum channels or rectangular aluminum tubings shall be welded together with the inert gas shielded-arc welding process using E4043 aluminum electrode filler wires as shown on the plans. Width of the filler shall be equal to wall thickness of smallest welded channel or tubing.

## **FIBERGLASS REINFORCED PLASTIC PANEL SIGN**

The contractor shall furnish fiberglass reinforced plastic panel sign in accordance with ASTM Designation: D3841 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Fiberglass reinforced plastic shall be acrylic modified and ultraviolet stabilized for outdoor weatherability. The plastic shall contain additives designed to suppress fire ignition and flame propagation. When tested in accordance with the requirements in the ASTM Designation: D635, the extent of burning shall not exceed 25 mm.

Fiberglass reinforced plastic shall be stabilized to prevent the release solvents and monomers. The front and back surfaces of the laminate shall be clean and free of constituents and releasing agents that can interfere with the bonding of retroreflective sheeting.

The fiberglass reinforced plastic panel sign shall be weather resistant Grade II thermoset polyester laminate.

The fiberglass reinforced plastic panels shall be minimum 3.4 mm thick. Finished fiberglass reinforced plastic panel signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the specified dimensions.

Color of fiberglass reinforced plastic panels shall be uniform gray within Munsel range of N7.5 to N8.5.

Fiberglass reinforced plastic panels shall be cut from a single piece of laminate. Bolt holes shall be predrilled. The predrilled bolt holes, panel edges, and the front and back surfaces of the panels shall be true and smooth. The panel surfaces shall be free of visible cracks, pinholes, foreign inclusions, warping and wrinkles that can affect performance and serviceability.

## **FORMED PANEL SIGN**

Formed panel signs shall be fabricated from one continuous sheet aluminum alloy 5052-H32 of 1.6-mm thickness. The Contractor shall furnish sheet aluminum as provided in "Sheet Aluminum" of these special provisions.

The aluminum frame shall be affixed to the panel with aluminum rivets through the face of the sign panels. Color of the exposed portion of the rivets shall be the same color as the sign background or legend on which the rivets are placed.

The face of finished formed panel sign shall be flat with a tolerance of 10 mm per meter when measured across the plane of each panel in all directions.

The Contractor shall furnish mounting hardware for roadside and overhead formed panel signs. Hardware for the overhead formed panel signs shall include bolts, nuts, and washers.

The length and depth of the overhead formed panel signs shall be within  $\pm 2$  mm of the detailed dimension.

The formed edges of the overhead panel signs shall be square. The mounting holes shall be straight and perpendicular to the front and back surfaces of the formed edges at the spacing shown on the plans. Holes that are improperly spaced and placed at the wrong angle will be rejected.

## **MEASUREMENT AND PAYMENT**

Furnishing signs (except for construction area signs) will be measured by the square meter and the quantity to be paid for will be the total area, in square meters, of the sign panel types installed in place.

The contract price paid per square meter for furnish sign of the types specified in the Engineer's estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in fabricating and furnishing the signs, including fastening hardware, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for furnishing and installing protective overlay on signs shall be considered as included in the contract price paid per square meter for furnish sign of the various types and no separate payment will be made therefor.

## **10-1.71 ANTI-GRAFFITI COATING**

This work includes applying anti-graffiti coating to concrete surfaces.

Comply with Section 59-6, "Painting Concrete," of the Standard Specifications.

Submit manufacturer's application and removal instructions 7 days before starting work.

## **MATERIALS**

Anti-graffiti coating must:

1. Be a nontoxic, sacrificial, nonflammable, water-based coating designed for protecting concrete from graffiti
2. Be compatible with the concrete surface treatment
3. Have a clear matte finish when dry
4. Be removable with a hot pressure washer

## CONSTRUCTION

Cure new concrete surfaces under Section 90-7.03, "Curing Structures," of the Standard Specifications.

Test concrete surfaces for acceptance of coating under the manufacturer's recommendations before coating. Areas that resist accepting coating must be cleaned and retested.

Apply anti-graffiti coating under the manufacturer's recommendations in at least 2 even coats.

## MEASUREMENT AND PAYMENT

The contract price paid per square meter for anti-graffiti coating includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and applying anti-graffiti coating to concrete surfaces, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.72 PLASTIC PIPE

Plastic pipe shall conform to the provisions in Section 64, "Plastic Pipe," of the Standard Specifications.

### 10-1.73 REINFORCED CONCRETE PIPE

Reinforced concrete pipe shall conform to the provisions in Section 65, "Reinforced Concrete Pipe," of the Standard Specifications and these special provisions.

## GENERAL

Except as otherwise designated by classification on the plans or in the specifications, joints for culvert and drainage pipes shall conform to the plans or specifications for standard joints.

When solid rock or other unyielding material is encountered at the planned elevation of the bottom of the bedding, shown on Standard Plan A62DA, the material below the bottom of the bedding shall be removed to a depth of 1/50 of the height of the embankment over the top of the culvert, but not less than 150 mm nor more than 300 mm. The resulting trench below the bottom of the bedding shall be backfilled with structure backfill material in conformance with the provisions in Section 19-3.06, "Structure Backfill," of the Standard Specifications. The Outer Bedding shall not be compacted prior to placement of the pipe.

## MATERIALS

The concrete for reinforced concrete pipe must contain not less than 280 kg of cementitious material per cubic meter with a water-cementitious material ratio not to exceed 0.35 by weight. Supplementary cementitious material is optional. Reinforcement shall have a minimum cover of 25 mm.

### 10-1.74 GRATED LINE DRAIN

This work shall consist of furnishing and installing precast grated line drain, with necessary fittings, coupling systems, frames, grates and associated items as shown on the plans and in conformance with these special provisions.

The interior surface of the grated line drain, below the level of the frame and grate and associated connections, shall be smooth. Grated line drain channel sections shall be manufactured of monolithic polymer concrete with no side extensions.

Monolithic polymer concrete shall be made from a composition of aggregate and polyester resin or vinylester resin and shall have the following properties when tested as follows:

| PROPERTY                                      | ASTM TEST METHOD | VALUE     |
|---|------------------|-----------|
| Tensile Strength, MPa                         | C 307            | 10 min.   |
| Compressive Strength, MPa                     | C 579            | 80 min.   |
| Bending Strength, MPa                         | C 580            | 20 min.   |
| Moisture Absorption, %                        | C 140            | 0.5 max.  |
| Chemical Resistance                           | C 267            | Pass      |
| Freeze/Thaw, number of cycles w/o weight loss | C 666            | 1600 min. |

The manufacturer of the grated line drain shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Grated line drain frames and grates shall be manufactured of ductile iron conforming to the provisions in Section 75-1.02, "Miscellaneous Iron and Steel," of the Standard Specifications. The frames and grates need not be galvanized or coated with asphalt paint. Bolts, nuts, frame anchors, and other connecting hardware shall conform to the provisions in Section 75-1.02, "Miscellaneous Iron and Steel," of the Standard Specifications.

Frames and grates, when installed in conformance with the manufacturer's recommendations and these special provisions, shall be classified as heavy duty (112 kN proof load) when tested in accordance with Commercial Item Description A-A-60005 for "Frames, Covers, Gratings, Steps, Manhole, Sump and Catch Basin." Frames and grates shall be matchmarked in pairs before delivery to the work and grates shall fit into the frames without rocking.

Frames shall be secured to the surrounding concrete backfill with steel anchoring rods as shown on the plans. Other methods may be used to secure the frame to the concrete backfill or grated line drain wall provided that a minimum pullout resistance of 10 kN per meter of length of grated line drain frame is maintained.

Grates and frames shall be one piece or the grates shall be removable. Removable grates shall be held in place by locking devices that are tamper resistant. Removable grates shall provide a minimum repetitive pullout resistance of 5 kN per meter of length after completion of 1000 hours of salt spray testing in conformance with the requirements in ASTM Designation: B 117. When a combination of one piece frame and grate and removable grates are used, the locations of the removable grates shall be shown on the plans.

Except for grates installed within designated pedestrian paths of travel, grates shall accept inflow of runoff through openings. The openings shall consist of a minimum of 60 percent of the total top surface area of the grate, with individual openings or slots having a dimension not greater than 50 mm measured in the direction of the grated line drain flow line. Grates installed within designated pedestrian paths of travel shall be certified as conforming to the requirements of the "Americans with Disabilities Act."

Grated line drains shall be installed in trenches excavated to the lines and grades established by the Engineer. The bottom of the trench shall be graded and prepared to provide a firm and uniform bearing throughout the entire length of the grated line drain.

Grated line drains shall be installed and jointed in conformance with the manufacturer's recommendations.

Grated line drains shall be installed to the lines and grades with sections closely jointed and secured to ensure that no separation of the line drains occurs during backfilling.

The frame or grate of the grated line drain shall not extend above the level of the surrounding concrete backfill.

Grated line drains shall be connected to new or existing drainage facilities as shown on the plans.

Excavation and backfill shall conform to the provisions in Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications.

Backfill for the grated line drains shall be either minor concrete or Class 3 concrete conforming to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications. Minor concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Concrete backfill shall be placed in the trench as shown on the plans. Concrete backfill shall be placed against undisturbed material at the sides and bottom of the trench and in a manner that will prevent floating or shifting of the grated line drain and voids in, or segregation of, the concrete. Foreign material which falls into the trench, before or during placement of the concrete, shall be immediately removed. Where necessary, earth plugs shall be constructed and compacted at the ends of the planned concrete backfill to contain the concrete within the trench.

Concrete backfill shall be finished flush with the adjacent surfacing.

The surface of the concrete shall be textured with a broom or burlap drag to produce a durable skid-resistant surface.

The length the grated line drain to be paid for will be the length measured by the meter along the pavement surface as designated by the Engineer. No payment will be made for grated line drain placed in excess of the designated length.

The contract price paid per meter for grated line drain shall include full compensation for furnishing all labor, materials (including frames and grates), tools, equipment, and incidentals, and for doing all the work involved in installing grated line drains, complete in place, including excavation and backfill, connecting grated line drains to new or existing facilities, concrete collars, reinforcement, and other connecting devices, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **10-1.75 SLOPE PAVING**

Slopes under the ends of bridges and other areas, where shown on the plans, shall be paved in conformance with the provisions in Section 72-6, "Slope Paving," of the Standard Specifications and these special provisions.

The location of construction joints shall be subject to the approval of the Engineer. Placement of slope paving shall be scheduled so that the work, including placement, finishing, and application of curing, is completed in any section bounded by permissible construction joints on the same day that the work is started in that section.

Areas of slope paving shown on the plans to have a grooved finish shall be scored by dragging a finishing tool over the struck-off surface or by any other means which will result in a surface conforming to the details shown on the plans.

One set of forming tools for aesthetic pattern element shall be delivered to the Engineer after completion of work.

Prior to placing the permanent slope paving, the Contractor shall construct a test panel at least 1.2 m by 1.8 m at the site for approval by the Engineer. The test panel shall be constructed of the same materials as are proposed for the permanent work and shall be finished and cured as specified for the permanent work. Additional test panels shall be constructed as necessary until a panel is produced which conforms to the requirements herein, before constructing other slope paving.

The contract price paid per square meter for slope paving (concrete) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in construction the slope paving, complete in place, including providing one set of forming tools for aesthetic pattern element, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.76 MISCELLANEOUS CONCRETE CONSTRUCTION**

Minor Concrete (Curb, Gutter, Sidewalk, and Driveway), Minor Concrete (Stamped Concrete) and Minor Concrete (Curb Ramp) shall conform to the provisions in Section 73, "Concrete Curbs and Sidewalks," of the Standard Specifications and these special provisions.

Curb ramp detectable warning surface shall consist of raised truncated domes constructed or installed on curb ramps in conformance with the details shown on the plans and these special provisions. At the option of the Contractor, the detectable warning surface shall be prefabricated, cast-in-place, or stamped into the surface of the curb ramp. The color of the detectable warning surface shall be yellow conforming to Federal Standard 595B, Color No. 33538.

Prefabricated detectable warning surface shall be in conformance with the requirements established by the Department of General Services, Division of State Architect and be attached in conformance with the manufacturer's recommendations.

Cast-in-place and stamped detectable warning surfaces shall be painted in conformance with the provisions in Section 59-6, "Painting Concrete," of the Standard Specifications.

The finished surfaces of the detectable warning surface shall be free from blemishes.

Prior to constructing the cast-in-place or stamping the detectable warning surface, the Contractor shall demonstrate the ability to produce a detectable warning surface conforming to the details shown on the plans and these special provisions by constructing a 600-mm by 600-mm test panel.

The manufacturer shall provide a written 5-year warranty for prefabricated detectable warning surfaces, guaranteeing replacement when there is defect in the dome shape, color fastness, sound-on-cane acoustic quality, resilience, or attachment. The warranty period shall begin upon acceptance of the contract.

Full compensation for constructing or furnishing and installing curb ramp detectable warning surfaces shall be considered as included in the contract price paid per cubic meter for minor concrete (curb ramp) and no separate payment will be made therefor.

Aggregate for minor concrete stamped concrete shall conform to the grading specified for fine aggregate in Section 90-3.03, "Fine Aggregate Grading," of the Standard Specifications.

Portland cement concrete closely conforming to the colors specified for stamped concrete are available through commercial concrete sources.

A sample of sufficient size to demonstrate the stamped concrete, including color hardener, curing and finishing compounds, shall be submitted to the Engineer for written approval.

Stamped concrete shall not be placed on the project prior to approval by the Engineer of the samples prepared and submitted by the Contractor. In the event more than one sample of each type and color of stamped concrete to be placed is required by the Engineer, each additional sample will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Welded wire fabric, of the size and type shown on the plans and conforming to the provisions in Section 52, "Reinforcement," of the Standard Specifications, shall be placed in the stamped concrete areas as shown on the plans.

Aggregate base shall be Class 2 and shall conform to the provisions in Section 26, "Aggregate Bases," of the Standard Specifications.

The respective pattern types and colors of concrete for stamped concrete shall be placed at the locations shown on the plans, struck off and compacted until a layer of mortar is brought to the surface. The concrete shall be screeded to the required grade and cross section and floated to a uniform surface.

Floor color hardener shall be applied to the plastic surface of the concrete by the "dry-shake" method using a minimum of 30 kg of hardener per 10 m<sup>2</sup>. Hardener shall be applied in 2 applications, shall be wood-floated after each application, and shall be trowelled only after the final floating. The resultant color of the floor hardener shall closely conform to the colors specified on the plans for the respective areas.

The forming tools for the stamped concrete shall be applied to form the patterned surfaces while the concrete is still in the plastic stage of set.

One set of forming tools for stamped concrete shall be delivered to the Engineer after completion of work.

Stamped concrete areas shall be cured by the curing compound method. The curing compound shall be curing compound (6) conforming to the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

For payment purposes, the area in square meters of minor concrete (stamped concrete) will be determined from horizontal measurements of the finished stamped concrete.

The contract price paid per square meter for minor concrete (stamped concrete) shall include full compensation for furnishing all labor, materials (including welded wire fabric, where required, and aggregate base), tools, equipment, and incidentals, and for doing all the work involved in constructing stamped concrete complete in place, including providing one set of forming tools for aesthetic pattern element, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.77 MISCELLANEOUS IRON AND STEEL**

Miscellaneous iron and steel shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

##### **NONSKID SURFACE**

Where shown on the plans, steel plates shall receive a nonskid surface consisting of epoxy mixed with grit. Epoxy shall conform to the provisions in Section 95, "Epoxy," of the Standard Specifications.

Epoxy shall consist of epoxy conforming to the provisions in either Section 95-2.01, "Binder (Adhesive), Epoxy Resin Base," Section 95-2.03, "Epoxy Resin Adhesive for Bonding New Concrete to Old Concrete," or Section 95-2.09, "Epoxy Sealant for Inductive Loops," of the Standard Specifications.

Grit shall consist of commercial quality aluminum oxide, silicon carbide, or almandite garnet grit particles, screen size 1.7 mm to 600  $\mu\text{m}$  or 1.4 mm to 500  $\mu\text{m}$ , applied uniformly at the rate of at least 1.5-kg per square meter of surface area.

The finish color of the nonskid surface shall be light gray.

Prior to applying epoxy and grit to galvanized surfaces, the surface to be coated shall be prepared in conformance with the provisions in Section 59-3.02, "Surface Preparation," of the Standard Specifications.

The Contractor shall submit to the Engineer for approval a method of application stating the spread rate of epoxy and grit and the number of coats. The Contractor shall demonstrate the method of application to the Engineer, prior to placing any nonskid material, by preparing a 0.1 square meter sample placed on 6 mm minimum thickness hardboard. The nonskid surface shall have a total thickness of between 3 mm and 5 mm.

At the option of the Contractor, a commercial quality nonskid surface, comprised of a 2-component ultra violet resistant epoxy and grit of quality equal to the above requirements, may be submitted to the Engineer for approval.

Full compensation for furnishing and placing nonskid surface shall be considered as included in the contract price paid for various types of work involved and no separate payment will be made therefor.

#### **10-1.78 MISCELLANEOUS METAL (BRIDGE)**

Miscellaneous metal (bridge) shall conform to the provisions for miscellaneous bridge metal in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

Attention is directed to "Welding" of these special provisions.

Miscellaneous metal (bridge) shall consist of the miscellaneous bridge metal items listed in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications, and the following:

- A. Sheet metal for conduit enclosure and cover

##### **PAINT GALVANIZED SURFACES**

Exposed galvanized surfaces shall be prepared and painted to the limits shown on the plans in conformance with the provisions in Section 59-3, "Painting Galvanized Surfaces," of the Standard Specifications and these special provisions.

Exposed areas of galvanized surfaces shall receive a minimum of 2 finish coats of paint conforming to either the requirements for White Tintable Finish Paint-Waterborne, Formula PWB-164B, or an exterior grade latex paint meeting the requirements for SSPC-Paint 24, "Latex Semi-Gloss Exterior Topcoat," of the "SSPC: The Society for Protective Coatings," and conforming to the following:

- A. No visible color change in the finish coats shall occur when tested in conformance with the requirements in ASTM Designation: G 53 using FS 40 UV-B bulbs for a minimum of 38 cycles. The cycle shall be 4 hours of ultraviolet (UV) exposure at 60°C and 4 hours of condensate exposure at 40°C.
- B. The vehicle shall be an acrylic or modified acrylic copolymer with a minimum of necessary additives.

The total dry film thickness of all applications of the first finish coat shall be not less than 50 µm.

Except as approved by the Engineer, a minimum drying time of 12 hours shall be allowed between finish coats.

The second finish coat color shall match Federal Standard 595B, No. 36373. The total dry film thickness of all applications of the second finish coat shall be not less than 50 µm.

The 2 finish coats shall be applied in 2 or more applications to a total dry film thickness of not less than 100 µm nor more than 200 µm.

#### **10-1.79 BRIDGE DECK DRAINAGE SYSTEM**

Bridge deck drainage systems shall conform to the provisions for miscellaneous bridge metal in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

Self-tapping screws used for sleeve connections shall be hex-head stainless steel, installed in holes drilled to fit the self-tapping screws, conforming to the requirements of ASTM Designation: A 276, Type 304.

Pipes and fittings-shown on the plans shall be galvanized-welded steel pipe in deck drain systems.

Pipe supports shall have a width of not less than 38 mm.

Paint shall be applied to exposed drainage pipe to the limits shown on the plans, to the thickness and in conformance with the provisions for finish coats on galvanized surfaces in "Paint Galvanized Surfaces" of these special provisions.

Bridge deck drainage systems will be measured and paid for by the kilogram in the same manner specified for miscellaneous metal (bridge) in Section 75-1.06, "Measurement," and Section 75-1.07, "Payment," of the Standard Specifications.

Full compensation for preparing and painting the exposed drainage pipes shall be considered as included in the contract price paid per kilogram for bridge deck drainage system and no additional compensation will be allowed therefor.

#### **10-1.80 ISOLATION CASING**

Isolation casing shall consist of furnishing and installing a galvanized steel plate isolation casing in conformance with the details shown on the plans, the provisions in Section 5-1.115, "Alternative Methods of Construction" and Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications and these special provisions.

#### **MATERIALS**

At the Contractor's option, except at Centinela Avenue UC, bridge No 53-1253, the reinforced concrete slab bridge portion, an isolation casing fabricated from galvanized corrugated steel pipe conforming to the following requirements may be used:

1. Corrugated steel pipe shall conform to Section 66, "Corrugated Metal Pipe," of the Standard Specifications.
2. Corrugated steel pipe shall be fabricated from zinc-coated steel sheet as shown on the plans.
3. Corrugated steel pipe edges shall be free of torn metal, burrs, and sharp edges. Sharp edges and edges that are marred, cut or roughened in handling or installation, shall be slightly rounded by grinding or other suitable means prior to cleaning and painting.
4. All edges of the corrugated steel pipe shall be cleaned as specified in Section 59-2.06 "Hand Cleaning," of the Standard Specifications and painted with at least 2 applications of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint." Aerosol cans shall not be used.

Paint shall be applied to galvanized steel surfaces to the limits shown on the plans in conformance with the provisions for finish coats on galvanized surfaces in "Paint Galvanized Surfaces" of these special provisions.

Neoprene strips shall conform to the provisions for strip waterstops in Section 51-1.145, "Strip Waterstops," of the Standard Specifications, except that protective board will not be required.

Waterproofing shall conform to the provisions in Section 54, "Waterproofing," of the Standard Specifications.

Steel cover plates, angle brackets, trim and concrete anchorage devices shall conform to the provisions in Section 75, "Miscellaneous Bridge Metal," of the Standard Specifications and shall be galvanized.

#### **MEASUREMENT AND PAYMENT**

Isolation casings will be measured and paid for in conformance with the provisions in Section 75-1.06, "Measurement," of the Standard Specifications and these special provisions.

At Centinela Avenue Undercrossing, bridge No 53-1253, the reinforced concrete slab bridge portion, where corrugated steel pipe is not an option, lean concrete backfill shown on the plans will be measured and paid for by the cubic meter as lean concrete backfill.

The contract price paid per kilogram for isolation casing shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in furnishing and installing isolation casing, complete in place, including neoprene strips, galvanized steel plates, angle brackets, trim, concrete anchorages, asphalt membrane water proofing and preparing and painting as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.81 MISCELLANEOUS METAL (RESTRAINER-CABLE TYPE)**

Miscellaneous metal (restrainer-cable type) shall conform to the provisions for bridge joint restrainer units in Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications and these special provisions.

New concrete adjacent to restrainers shall be placed prior to installing restrainers.

Miscellaneous metal (restrainer-cable type) will be measured and paid for by the kilogram in the same manner specified for miscellaneous metal (restrainer) in Sections 75-1.06, "Measurement," and 75-1.07, "Payment," of the Standard Specifications.

#### **10-1.82 METAL BEAM GUARD RAILING**

Metal beam guard railing shall be constructed in conformance with the provisions in Section 83-1, "Railings," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

Line posts shall be wood, steel, or plastic. Blocks shall be wood or plastic.

#### **ALTERNATIVE IN-LINE TERMINAL SYSTEM**

Alternative in-line terminal system shall be furnished and installed as shown on the plans and in conformance with these special provisions.

The allowable alternatives for an in-line terminal system shall consist of one of the following or a Department approved equal.

- (1) **TERMINAL SYSTEM (TYPE SKT)** - Terminal system (Type SKT) shall be a SKT 350 Sequential Kinking Terminal manufactured by Road Systems, Inc., located in Big Spring, Texas, and shall include items detailed for terminal system (Type SKT) shown on the plans. The SKT 350 Sequential Kinking Terminal can be obtained from the distributor, Universal Industrial Sales, P.O. Box 699, Pleasant Grove, UT 84062, Telephone (801) 785-0505 or from the distributor, Gregory Highway Products, 4100 13<sup>th</sup> Street, S.W., Canton, OH 44708, Telephone (330) 477-4800.
- (2) **TERMINAL SYSTEM (TYPE ET)** - Terminal system (Type ET) shall be an ET-2000 PLUS (4-tube system) extruder terminal as manufactured by Trinity Industries, Inc., and shall include items detailed for terminal system (Type ET) shown on the plans. The ET-2000 PLUS (4-tube system) extruder terminal can be obtained from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone (800) 772-7976.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that the terminal systems furnished conform to the contract plans and specifications, conform to the prequalified design and material requirements, and were manufactured in conformance with the approved quality control program.

Terminal systems shall be installed in conformance with the manufacturer's installation instructions and these requirements. Each terminal system installed shall be identified by painting the type of terminal system in neat black letters and figures 60 mm high on the backside of the rail element between system posts numbers 4 and 5.

For terminal system (Type ET) the steel foundation tubes with soil plates attached shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. The wood terminal posts shall be inserted into the steel foundation tubes by hand and shall not be driven. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

For terminal system (Type SKT) the soil tubes shall be, at the Contractor's option, driven with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood posts shall be inserted into the steel foundation tubes by hand. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the terminal system has been installed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

The contract unit price paid for alternative in-line terminal system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing alternative in-line terminal system, complete in place, including excavation, backfill and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.83 CONCRETE BARRIER**

Concrete barriers shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Concrete barrier (Type 736A(Mod)) will be measured and paid for as concrete barrier (Type 736(Mod)).

Full compensation for furnishing and constructing electrolier concrete pedestals, as shown on the plans, shall be considered as included in the contract price paid per meter for concrete barrier of the type or types listed in the Engineer's Estimate and no separate payment will be made therefor.

#### **10-1.84 CRASH CUSHION (TYPE CAT)**

Crash cushion (Type CAT) and crash cushion (Type CAT) backup shall be furnished and installed as shown on the plans and in conformance with these special provisions.

Crash cushion (Type CAT) shall be a CAT-350 Crash Cushion Attenuating Terminal as manufactured by Trinity Industries, Inc., and shall include all the items detailed for crash cushion (Type CAT) shown on the plans.

Crash cushion (Type CAT) backup shall consist of items detailed for crash cushion (Type CAT) backup shown on the plans and shall conform to the provisions in Section 83-1.02B, "Metal Beam Guard Railing," of the Standard Specifications.

Excluding the crash cushion (Type CAT) backup, arrangements have been made to ensure that any successful bidder can obtain the CAT-350 Crash Cushion Attenuating Terminal from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone 1-800-772-7976. The price quoted by the manufacturer for the CAT-350 Crash Cushion Attenuating Terminal, FOB Centerville, Utah is \$3,000, not including sales tax.

The above price will be firm for orders placed on or before June 1, 2011, provided delivery is accepted within 90 days after the order is placed.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that crash cushion (Type CAT) conforms with the contract plans and specifications, conforms to the prequalified design and material requirements, and was manufactured in conformance with the approved quality control program.

The crash cushion (Type CAT) shall be installed in conformance with the manufacturer's installation instructions and these requirements. The steel foundation tubes with soil plates attached, shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood posts shall be inserted into the steel foundation tubes by hand. Before the wood posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the crash cushion (Type CAT) and backup have been constructed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

Crash cushion (Type CAT) and crash cushion (Type CAT) backup will be measured as units determined from actual count in place in the completed work.

The contract unit prices paid for crash cushion (Type CAT) and for crash cushion (Type CAT) backup shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing crash cushion (Type CAT) and crash cushion (Type CAT) backup, complete in place, including excavation, backfill, and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**10-1.85 CRASH CUSHION (REACT)**

Crash cushion (REACT) shall be furnished and installed as shown on the plans and in conformance with the provisions in the Standard Specifications and these special provisions.

Crash cushion (REACT) shall be a multiple recoverable type, manufactured by Energy Absorption Systems, Inc. Crash cushion (REACT) and additional components shall conform to the descriptions as follows:

| Contract Item Description   | Manufacturer's Product Description |
|-----------------------------|------------------------------------|
| Crash Cushion (REACT 9SCBS) | REACT 350.9 Self Contained         |

The successful bidder can obtain from the following distributors the crash cushion (REACT) manufactured by Energy Absorption Systems, Inc. at 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:

1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501

The price quoted by the manufacturer for Crash Cushion (REACT 9SCBS), FOB Pell City, Alabama is \$37,130, not including sales tax.

The above prices will be firm for orders placed within 30 days of contract award, and provided delivery is accepted within 90 days after the order is placed.

The price quoted for crash cushion (REACT 9SCBS) includes the concrete anchorage devices, but does not include the concrete anchor slab or the W-Beam connection to the barrier.

Crash cushion shall be installed in conformance with the manufacturer's recommendations.

Concrete anchorage devices used for attaching the crash cushion to the base slab shall be limited to those which have been provided by the manufacturer.

The concrete anchor slab shall conform to the provisions in Section 51, "Concrete Structures," and Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

The concrete anchor slab shall be constructed of concrete containing not less than 350 kg of cementitious material per cubic meter.

For crash cushion (REACT 9SCBS), W-Beam connections to the barrier shall conform to the provisions in Section 83-1, "Railings," of the Standard Specifications. The high strength bolts and nuts for W-Beam connections to the barrier shall conform to the requirements in ASTM Designation: A 325/A 325M and A 563/A 563M, respectively.

The Contractor shall furnish the Engineer one copy of the manufacturer's plan and parts list for each model installed.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that crash cushion conforms with the contract plans and specifications, and conforms to the prequalified design and material requirements.

Crash cushion will be measured by the unit as determined from actual count in place in the completed work.

The contract unit prices paid for crash cushion (REACT 9SCBS) shall include full compensation for furnishing all labor, materials (including anchor bolts, nuts, washers, and marker panels), tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing the crash cushions, complete in place, including structure excavation, structure backfill, concrete anchor slab with bar reinforcing steel, transition plate and W-beam connector, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**10-1.86 THERMOPLASTIC TRAFFIC STRIPE AND PAVEMENT MARKING**

Thermoplastic traffic stripes (traffic lines) and pavement markings shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Thermoplastic material shall be free of lead and chromium, and shall conform to the requirements in State Specification PTH-02ALKYD.

Retroreflectivity of the thermoplastic traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. White thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 250 mcd·m<sup>-2</sup>·lx<sup>-1</sup>. Yellow thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 150 mcd·m<sup>-2</sup>·lx<sup>-1</sup>.

Where striping joins existing striping, as shown on the plans, the Contractor shall begin and end the transition from the existing striping pattern into or from the new striping pattern a sufficient distance to ensure continuity of the striping pattern.

Thermoplastic traffic stripes shall be applied at the minimum thickness and application rate as specified below. The minimum application rate is based on a solid stripe of 100 mm in width.

| Minimum Stripe Thickness (mm) | Minimum Application Rate (kg/m) |
|-------------------------------|---------------------------------|
| 2.0                           | 0.4                             |

Thermoplastic traffic stripes and pavement markings shall be free of runs, bubbles, craters, drag marks, stretch marks, and debris.

At the option of the Contractor, permanent traffic striping and pavement marking tape conforming to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be placed instead of the thermoplastic traffic stripes and pavement markings specified herein. Permanent tape, if used, shall be installed in conformance with the manufacturer's specifications.

If permanent tape is placed instead of thermoplastic traffic stripes and pavement markings, the tape will be measured and paid for by the meter as thermoplastic traffic stripe and by the square meter as thermoplastic pavement marking.

**10-1.87 PAINT TRAFFIC STRIPE AND PAVEMENT MARKING**

Painted traffic stripes (traffic lines) and pavement markings shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Traffic stripe and pavement marking paint shall conform to the requirements in State Specification No. PTWB-01.

The color of the painted traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6628-01.

Retroreflectivity of the paint traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. White painted traffic stripes and pavement markings shall have a minimum initial retroreflectivity of  $250 \text{ mcd}\cdot\text{m}^{-2}\cdot\text{lx}^{-1}$ . Yellow painted traffic stripes and pavement markings shall have a minimum initial retroreflectivity of  $150 \text{ mcd}\cdot\text{m}^{-2}\cdot\text{lx}^{-1}$ .

At the option of the Contractor, permanent traffic striping and pavement marking tape conforming to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be placed instead of painted traffic stripes and pavement markings. Permanent tape, if used, shall be placed in conformance with the manufacturer's specifications.

If permanent tape is placed instead of painted traffic stripes and pavement markings, the tape will be measured and paid for by the meter as paint traffic stripe and by the square meter as paint pavement marking of the number of coats designated in the Engineer's Estimate.

**10-1.88 PAVEMENT MARKERS**

Pavement markers shall be placed in conformance with the provisions in Section 85, "Pavement Markers," of the Standard Specifications and these special provisions.

Attention is directed to "Traffic Control System For Lane Closure" of these special provisions regarding the use of moving lane closures during placement of pavement markers with bituminous adhesive.

The Contractor shall furnish the Engineer certificates of compliance for the pavement markers in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Retroreflective pavement markers shall be marked as abrasion resistant on the body of the markers.

**SECTION 10-2 HIGHWAY PLANTING AND IRRIGATION SYSTEMS**

**10-2.01 GENERAL**

The work performed in connection with highway planting and irrigation systems shall conform to the provisions in Section 20, "Erosion Control and Highway Planting," of the Standard Specifications and these special provisions.

The Contractor shall notify the Engineer not less than 72 hours prior to requiring initial access to the existing irrigation controllers. When the Engineer determines that access to the controllers is required at other times, arrangements will be made to provide this access.

**PROGRESS INSPECTIONS**

Progress inspections will be performed by the Engineer for completed highway planting and irrigation system work at designated stages during the life of the contract.

Progress inspections will not relieve the Contractor of responsibility for installation in conformance with the special provisions, plans and Standard Specifications. Work within an area shall not progress beyond each stage until the inspection has been completed, corrective work has been performed, and the work is approved, unless otherwise permitted by the Engineer.

The requirements for progress inspections will not preclude additional inspections of work by the Engineer at other times during the life of the contract.

The Contractor shall notify the Engineer, in writing, at least 4 working days prior to completion of the work for each stage of an area and shall allow a minimum of 3 working days for the inspection.

Progress inspections will be performed at the following stages of work:

- A. During pressure testing of the pipelines on the supply side of control valves.
- B. During testing of low voltage conductors.
- C. Before planting begins and after completion of the work specified for planting in Section 20-4.03, "Preparing Planting Areas," of the Standard Specifications.
- D. Before plant establishment work begins and after completion of the work specified for planting in Section 20-4.05, "Planting," of the Standard Specifications.
- E. At intervals of one month during the plant establishment period.

### **COST BREAK-DOWN**

The Contractor shall furnish the Engineer a cost break-down for the contract lump sum items of highway planting and irrigation system. Cost break-down tables shall be submitted to the Engineer for approval within 15 working days after the contract has been approved. Cost break-down tables will be approved, in writing, by the Engineer before any partial payment will be made for the applicable items of highway planting and irrigation system involved.

Attention is directed to "Time-Related Overhead" of these special provisions regarding compensation for time-related overhead.

Cost break-downs shall be completed and furnished in the format shown in the samples of the cost break-downs included in this section. Line item descriptions of work shown in the samples are the minimum to be submitted. Additional line item descriptions of work may be designated by the Contractor. If the Contractor elects to designate additional line item descriptions of work, the quantity, value and amount for those line items shall be completed in the same manner as for the unit descriptions shown in the samples. The line items and quantities given in the samples are to show the manner of preparing the cost break-downs to be furnished by the Contractor.

The Contractor shall determine the quantities required to complete the work shown on the plans. The quantities and their values shall be included in the cost break-downs submitted to the Engineer for approval. The Contractor shall be responsible for the accuracy of the quantities and values used in the cost break-downs submitted for approval.

The sum of the amounts for the line items of work listed in each cost break-down table for highway planting and for irrigation system work shall be equal to the contract lump sum price bid for Highway Planting and Irrigation System, respectively. Overhead and profit, except for time-related overhead, shall be included in each individual line item of work listed in a cost break-down table.

No adjustment in compensation will be made in the contract lump sum prices paid for highway planting and irrigation system due to differences between the quantities shown in the cost break-downs furnished by the Contractor and the quantities required to complete the work as shown on the plans and as specified in these special provisions.

Individual line item values in the approved cost break-down tables will be used to determine partial payments during the progress of the work and as the basis for calculating an adjustment in compensation for the contract lump sum items of highway planting and irrigation system due to changes in line items of work ordered by the Engineer. When the total of ordered changes to line items of work increases or decreases the lump sum price bid for either Highway Planting or Irrigation System by more than 25 percent, the adjustment in compensation for the applicable lump sum item will be determined in the same manner specified for increases and decreases in the total pay quantity of an item of work in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications.

**HIGHWAY PLANTING COST BREAK-DOWN**

**Contract No. 07-241304**

| UNIT DESCRIPTION                     | UNIT           | APPROXIMATE QUANTITY | VALUE | AMOUNT |
|--------------------------------------|----------------|----------------------|-------|--------|
| ROADSIDE CLEARING                    | LS             | LUMP SUM             |       |        |
| REMOVE EXISTING PLANTS FOR TRENCHING | LS             | LUMP SUM             |       |        |
| MULCH                                | M <sup>3</sup> | 314                  |       |        |
| COMMERCIAL FERTILIZER (SLOW RELEASE) | KG             | 315                  |       |        |
| PLANT (GROUP A)                      | EA             | 108                  |       |        |
| PLANT (GROUP B)                      | EA             | 18                   |       |        |
| PLANT (GROUP F)                      | EA             | 58,400               |       |        |
| PLANT (GROUP H)                      | EA             | 6300                 |       |        |
| PLANT (GROUP U)                      | EA             | 28                   |       |        |
|                                      |                |                      |       |        |
|                                      |                |                      |       |        |
|                                      |                |                      |       |        |

**TOTAL** \_\_\_\_\_

**IRRIGATION SYSTEM COST BREAK-DOWN**

**Contract No. 07-241304**

|  |    |          |  |  |
|--|----|----------|--|--|
| REMOVE EXISTING IRRIGATION FACILITIES              | LS | LUMP SUM |  |  |
| IRRIGATION SYSTEMS FUNCTIONAL TEST                 | LS | LUMP SUM |  |  |
| CONTROL AND NEUTRAL CONDUCTORS                     | LS | LUMP SUM |  |  |
| 24 STATION IRRIGATION CONTROLLER<br>(WALL MOUNTED) | EA | 1        |  |  |
| IRRIGATION CONTROLLER ENCLOSURE<br>CABINET         | EA | 1        |  |  |
| 25 MM ELECTRIC REMOTE CONTROL VALVE                | EA | 3        |  |  |
| 40 MM ELECTRIC REMOTE CONTROL VALVE                | EA | 2        |  |  |
| 50 MM ELECTRIC REMOTE CONTROL VALVE                | EA | 10       |  |  |
| 75 MM ELECTRIC REMOTE CONTROL VALVE                | EA | 1        |  |  |
| 40 MM BALL VALVE                                   | EA | 2        |  |  |
| 50 MM BALL VALVE                                   | EA | 2        |  |  |
| 50 MM GATE VALVE                                   | EA | 1        |  |  |
| 65 MM GATE VALVE                                   | EA | 1        |  |  |
| 75 MM GATE VALVE                                   | EA | 2        |  |  |
| 20 MM PLASTIC PIPE (PR 200) (SUPPLY LINE)          | M  | 480      |  |  |
| 25 MM PLASTIC PIPE (PR 200) (SUPPLY LINE)          | M  | 430      |  |  |
| 32 MM PLASTIC PIPE (PR 200) (SUPPLY LINE)          | M  | 320      |  |  |
| 40 MM PLASTIC PIPE (PR 200) (SUPPLY LINE)          | M  | 250      |  |  |
| 50 MM PLASTIC PIPE (PR 200) (SUPPLY LINE)          | M  | 820      |  |  |
| 65 MM PLASTIC PIPE (PR 200) (SUPPLY LINE)          | M  | 390      |  |  |
|  |    |          |  |  |
| 65 MM GALVANIZED STEEL PIPE (SUPPLY<br>LINE)       | M  | 65       |  |  |
| SPRINKLER (TYPE A-5)                               | EA | 95       |  |  |
| SPRINKLER (TYPE A-6)                               | EA | 26       |  |  |

|  |    |    |  |  |
|--|----|----|--|--|
| SPRINKLER (TYPE B-5)                         | EA | 36 |  |  |
| SPRINKLER (TYPE C-2)                         | EA | 73 |  |  |
| SPRINKLER CONTROL CONDUIT                    | M  | 65 |  |  |
| PIPE AND CONDUIT ANCHOR (PIPE ANCHOR TYPE 1) | EA | 60 |  |  |
|  |    |    |  |  |
|  |    |    |  |  |
|  |    |    |  |  |

**TOTAL** \_\_\_\_\_

## **10-2.02 EXISTING HIGHWAY PLANTING**

In addition to the provisions in Section 20, "Erosion Control and Highway Planting," of the Standard Specifications, work performed in connection with existing highway planting shall conform to the provisions in "Existing Highway Facilities," of these special provisions.

### **MAINTAIN EXISTING PLANTED AREAS**

Existing planted areas, designated on the plans to be maintained, shall be maintained throughout the life of the contract in conformance with these special provisions.

Existing plants shall be watered in conformance with the provisions in Section 20-4.06, "Watering," of the Standard Specifications.

Existing planted areas to be maintained shall be inspected for deficiencies by the Contractor in the presence of the Engineer. Deficiencies requiring corrective action shall include weeds; dead, diseased, or unhealthy plants; missing plant stakes and tree ties; inadequate plant basins; and other deficiencies needing corrective action to promote healthy plant life. The inspection shall be completed within 15 days after the start of work.

Deficiencies found during the inspection shall be corrected within 15 days after the inspection ends. Correction of deficiencies, as directed by the Engineer, will be paid for as extra work in conformance with the provisions in Section 4-1.03D, "Extra Work," of the Standard Specifications.

After deficiencies have been corrected, the Contractor shall perform work to maintain existing planted areas in a neat appearance and to promote healthy plant growth. The work shall include the following:

- A. Weeds shall be killed before the weeds reach the seed stage of growth or exceed 150 mm in length.
- B. Trash, debris and weeds shall be removed from existing planted areas. Weeds shall be killed prior to removal. Trash, debris and weed removal in ground cover areas shall extend beyond the outer limits of ground cover areas to the adjacent edges of paving, fences and proposed plants and planting areas, and a 2-m diameter area centered at each existing tree and shrub outside of existing ground cover areas.
- C. Existing plant basins shall be kept well-formed and free of silt. If existing plant basins require repairs, and the plant basins contain mulch, the mulch shall be replaced after the plant basins have been repaired.
- D. When a portion of a new automatic irrigation system is completed, the existing plants to be watered by that portion of the irrigation system shall be watered automatically.
- E. Pesticides for maintaining existing planted areas shall conform to the provisions in "Pesticides" of these special provisions.

The contract lump sum price paid for maintain existing planted areas shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in maintain existing planted areas, complete in place, as shown on the plans, as specified in the standard specifications and these special provisions, and as directed by the Engineer.

### **REMOVE EXISTING PLANTS FOR TRENCHING**

Removing existing plants for trenching shall conform to the provisions in Section 20-5.026, "Remove Existing Plants for Trenching," of the Standard Specifications and these special provisions.

Removing existing plants for trenching work shall consist of removing and replacing ground cover, pruning trees and shrubs within trench locations, applying preemergents and disposing of removed ground cover and prunings.

Replacement of removed ground cover within the maximum 1.8-m width, as specified in Section 20-5.026, "Remove Existing Plants for Trenching," of the Standard Specifications, will be required, except for trenches within 1.8-m of fences, curbs, dikes or shoulders.

Trees and shrubs adjacent to dikes, walks, fences, guard railing, and pavement edges may be pruned back 3 m from these facilities to facilitate trenching work. When trenching is to be performed adjacent to other trees and shrubs that cannot be avoided, the trees and shrubs may be pruned upon receipt of prior written approval of the Engineer.

Pruning shall include removal of deadwood, suckers, and broken or bruised branches 25 mm or larger in diameter. Pruning shall conform to the provisions in Section 20-4.055, "Pruning," of the Standard Specifications.

Removed ground cover and pruned materials shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Shrubs adjacent to dikes, fences, guard railing, and the edge of pavement within the 3-m pruned area designated above, that in the opinion of the Engineer should be removed after pruning, shall be removed and disposed of. Removing and disposing of the shrubs not otherwise provided for will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

One application of a preemergent pesticide shall be applied to trenched areas in existing ground cover areas and to trenched areas adjacent to fences, curbs, dikes and shoulders. The Engineer will determine when the preemergent pesticide shall be applied.

### **10-2.03 EXISTING HIGHWAY IRRIGATION FACILITIES**

The work performed in connection with the various existing highway irrigation system facilities shall conform to the provisions in "Existing Highway Facilities," of these special provisions.

Water shall be maintained in conformance with the provisions in Section 20-5.025, "Maintain Existing Water Supply," of the Standard Specifications.

#### **CHECK AND TEST EXISTING IRRIGATION FACILITIES**

Existing irrigation facilities that are to remain or to be relocated, and that are within those areas where clearing and grubbing or earthwork operations are to be performed, shall be checked for missing or damaged components and proper operation prior to performing clearing and grubbing or earthwork operations. Existing irrigation facilities outside of work areas that are affected by the construction work shall also be checked for proper operation.

A written list of existing irrigation system deficiencies shall be submitted to the Engineer within 5 working days after checking the existing facilities.

Deficiencies found during checking of the existing facilities shall be corrected as directed by the Engineer. Corrective work ordered by the Engineer will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

When existing irrigation facilities are checked, existing backflow preventers shall be tested for proper operation in conformance with the provisions in Section 20-5.03J, "Check and Test Backflow Preventers," of the Standard Specifications.

Existing backflow preventers shall be retested one year after the satisfactory completion of the previous test, and each year thereafter until the plant establishment period is completed. An additional test shall be provided not more than 10 days prior to acceptance of the contract.

Length of watering cycles for use of potable water from water meters for checking or testing existing irrigation facilities shall be as determined by the Engineer.

Additional repairs required for the existing irrigation system as ordered by the Engineer, except as otherwise provided for in "Existing Highway Irrigation Facilities" of these special provisions, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

Additional repairs required for the existing irrigation system as ordered by the Engineer, except as otherwise provided for in "Maintain Existing Irrigation Facilities" of these special provisions, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

#### **MAINTAIN EXISTING IRRIGATION FACILITIES**

Existing irrigation facilities shall be maintained throughout the life of the contract. Prior to the start of maintaining existing irrigation facilities work, the facilities shall be checked for proper operation, and repaired in conformance with the provisions in "Check and Test Existing Irrigation Facilities" of these special provisions.

After the existing facilities have been checked and repaired, the Contractor shall be responsible for the routine maintenance of existing irrigation systems. The work shall include, but not limited to, checking irrigation systems for proper operation and adjusting, repairing or replacing valves, valve boxes, sprinklers, risers, swing joints, wye strainers, valve assembly units, and filter assembly units.

The Contractor will not be responsible for maintaining existing water meters, underground pipe supply lines, control and neutral conductors, and electrical conduits. Except as otherwise specified in "Existing Highway Irrigation Facilities" of these special provisions, repair work to these facilities ordered by the Engineer will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

Existing automatic irrigation systems shall be operated automatically during the life of the contract, except manual operation will be allowed for the work during plant replacement, fertilization, weed germination, and the repair of irrigation facilities.

Irrigation controllers shall be programmed by the Contractor for seasonal water requirements. During winter seasons irrigation systems shall be operated automatically a minimum of 2 minutes every 2 weeks.

Irrigation systems and facilities shall be checked for proper operation at least once every 30 days. When required, as determined by the Engineer, adjusting, repairing or replacing irrigation facilities shall be completed within 5 working days after checking the irrigation systems. Except as provided in these special provisions, repair and replacement of irrigation facilities shall conform to the provisions in "Existing Highway Irrigation Facilities" of these special provisions.

Except as provided in these special provisions, the contract lump sum price paid for maintain existing irrigation facilities shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in maintaining existing irrigation facilities, complete in place, including checking irrigation facilities, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### **REMOVE EXISTING IRRIGATION FACILITIES**

Existing irrigation facilities where shown on the plans to be removed, shall be removed. Facilities that are more than 150 mm below finished grade, excluding facilities to be salvaged, may be abandoned in place.

Immediately after disconnecting an existing irrigation facility to be removed or abandoned from an existing facility to remain, the remaining facility shall be capped or plugged, or shall be connected to a new or existing irrigation facility.

Facilities to be removed, excluding facilities to be salvaged, shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

### **10-2.04 HIGHWAY PLANTING**

The work performed in connection with highway planting shall conform to the provisions in Section 20-4, "Highway Planting," of the Standard Specifications and these special provisions.

#### **HIGHWAY PLANTING MATERIALS**

##### **Mulch**

Mulch must be green material and must comply with the following:

1. The mulch provider must be a compost producer and a participant in the United States Composting Council (USCC) Seal of Testing Assurance (STA) program.
2. The green material producer must be fully permitted as a compost producer in accordance with requirements of the California Integrated Waste Management Board (CIWMB), Local Enforcement Agencies (LEA) and any other State and Local Agencies that regulate solid waste facilities. If exempt from State permitting requirements, the composting facility must certify that it follows all guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.
3. Green material may be derived from any single or mixture of chipped, shredded, or ground vegetation; or clean processed recycled wood products.
4. Compost green materials such that weed seeds, pathogens and deleterious materials are reduced as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3.
5. Green material must not contain paint, petroleum products, herbicides, fungicides or other chemical residues harmful to animal life or plant growth. Compost must possess no objectionable odors.
6. Metal concentrations in green material must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.
7. Green material must comply with the following table:

**Physical and Chemical Requirements**

| Property               | Test Method  | Requirement   |
|------------------------|--|---|
| pH                     | TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units   | 6.0–8.5   |
| Soluble Salts          | TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)   | 0–10.0  |
| Moisture Content       | TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis  | N/A   |
| Organic Matter Content | TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis  | 30–100  |
| Maturity               | TMECC 05.05-A, Germination and Vigor<br>Seed Emergence<br>Seedling Vigor<br>% Relative to Positive Control                                   | N/A<br>N/A  |
| Stability              | TMECC 05.08-B, Carbon Dioxide Evolution Rate mg CO <sub>2</sub> -C/g OM per day  | N/A   |
| Particle Size          | TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis  | Millimeters %<br>Passing<br>76.0 99%<br>9.5 < 25%<br>Max. Length 100 mm |
| Pathogen               | TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.   | Pass  |
| Pathogen               | TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.  | Pass  |
| Physical Contaminants  | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Plastic, Glass and Metal, % > 4mm fraction                                      | Combined Total: < 1.0   |
| Physical Contaminants  | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction | None Detected   |

NOTE: TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

8. Before mulch application, submit a copy of the green material producer's Compost Technical Data Sheet and a copy of the compost producers STA certification. The Compost Technical Data Sheet must include laboratory analytical test results, directions for product use, and a list of product ingredients.
9. Before mulch application, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

**Commercial Fertilizer (Slow Release)**

Commercial fertilizer (slow release) shall be a pelleted or granular form, shall be slow or controlled release with a nutrient release over an 8- to 12-month period, and shall fall within the following guaranteed chemical analysis range:

| Ingredient           | Percentage |
|----------------------|------------|
| Nitrogen             | 16-21      |
| Phosphoric Acid      | 6-8        |
| Water Soluble Potash | 4-10       |

## **ROADSIDE CLEARING**

Prior to preparing planting areas or commencing irrigation trenching operations for planting areas, trash and debris shall be removed from these areas as required under Construction Site Management of these special provisions. In addition to removing trash and debris, the project area shall be cleared as specified herein:

- A. Weeds shall be killed and removed within proposed ground cover areas and within the area extending beyond the outer limits of the proposed ground cover areas to the adjacent edges of shoulders, dikes, curbs, sidewalks, walls, existing planting and fences. At those locations where proposed ground cover areas are 3.6 m or more from the adjacent edges of shoulders, dikes, curbs, sidewalks, walls, and fences, the clearing limit shall be 2 m beyond the outer limits of the proposed ground cover areas.
- B. Weeds shall be killed and removed within proposed mulch areas and within the area extending beyond the outer limits of the proposed mulch areas to the adjacent edges of shoulders, dikes, curbs, sidewalks, walls, existing planting and fences. At those locations where proposed mulch areas are 3.6 m or more from the adjacent edges of shoulders, dikes, curbs, sidewalks, walls, and fences, the clearing limit shall be 2 m beyond the outer limits of the proposed mulch areas.
- C. Weeds shall be killed and removed within planting areas where plants are to be planted in groups or rows 4.6 m or less apart and from within an area extending 2 m beyond the outer limits of the groups or rows of plants.
- D. Weeds shall be killed and removed within an area 2 m in diameter centered at each plant location where the plants are to be planted more than 4.6 m apart and are located outside of ground cover areas.
- E. Existing ground cover shall be killed and removed from within an area 2 m in diameter centered at each proposed plant location within existing ground cover areas.

After the initial roadside clearing is complete, additional roadside clearing work shall be performed as necessary to maintain the areas, as specified above, in a neat appearance until the start of the plant establishment period. This work shall include the following:

- A. Trash and debris shall be removed.
- B. Rodents shall be controlled.
- C. Weed growth shall be killed before the weeds reach the seed stage of growth or exceed 150 mm in length, whichever occurs first.
- D. Existing ground cover shall be killed and removed from within the 2 m diameter areas specified for each proposed plant location within the existing ground cover areas.
- E. Weeds in plant basins, including basin walls, shall be removed by hand pulling, after the plants have been planted.

### **Weed Control**

Weed control shall also conform to the following:

- A. Stolon type weeds shall be killed with glyphosate.
- B. Removed weeds and ground cover shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Roadside clearing work shall not include work required to be performed as clearing and grubbing as specified in Section 16, "Clearing and Grubbing," of the Standard Specifications.

## **PESTICIDES**

Pesticides used to control weeds shall conform to the provisions in Section 20-4.026, "Pesticides," of the Standard Specifications. Except as otherwise provided in these special provisions, pesticide use shall be limited to the following materials:

Cacodylic Acid  
Diquat  
Fluazifop-butyl  
Glyphosate  
Isoxaben (Preemergent)  
Sethoxydim

Oxadiazon - 50 percent WP (Preemergent)  
Oryzalin (Preemergent)  
Pendimethalin (Preemergent)  
Prodiamine (Preemergent)  
Trifluralin (Preemergent)  
Ammonium Sulfate  
Magnesium Chloride  
Napropamide (Preemergent)

Granular preemergents may be used when applied to areas that will be covered with mulch, excluding plant basins. Granular preemergents shall be limited to the following materials:

Dichlobenil (Preemergent)  
Oxadiazon (Preemergent)

Granular preemergents shall be applied prior to the application of mulch. Mulch applications shall be completed in these areas on the same working day. Photosensitive dye will not be required.

Glyphosate shall be used to kill stolon type weeds.

Oxadiazon shall be of the emulsifiable concentration or wettable powder type, except when Oxadiazon is used under mulch in conformance with these special provisions.

Prior to the application of preemergents, ground cover plants shall have been planted a minimum of 3 days and shall have been thoroughly watered.

A minimum of 100 days shall elapse between applications of preemergents.

Except for ground cover plants, preemergents shall not be applied within 450 mm of plants.

Growth regulators shall not be applied within 2 m of trees, shrubs or vines.

Ammonium sulfate and magnesium chloride shall be used only in areas planted to *Carpobrotus* or *Delosperma*. Ammonium sulfate and magnesium chloride shall not be applied in a manner that allows the pesticides to come in contact with trees or shrubs.

If the Contractor elects to request the use of other pesticides on this project, the request shall be submitted, in writing, to the Engineer not less than 15 days prior to the intended use of the other pesticides. Except for the pesticides listed in these special provisions, no pesticides shall be used or applied without prior written approval of the Engineer.

Pesticides shall not be applied within the limits of the plant basins. Pesticides shall not be applied in a manner that allows the pesticides to come in contact with the foliage and woody parts of the plants.

### **PREPARING PLANTING AREAS**

Plants adjacent to drainage ditches shall be located so that after construction of the basins, no portion of the basin walls shall be less than the minimum distance shown on the plans for each plant involved.

### **PLANTING**

Commercial fertilizer (granular and slow release) shall be applied or placed at the time of planting and at the rates shown on the Plant List.

A granular preemergent shall be applied to areas to be covered with mulch outside of plant basins.

Mulch placed in areas outside of plant basins shall be spread to a uniform depth of 100 mm.

Mulch shall be spread from the outside of the proposed plant basin to the adjacent edges of shoulders, dikes, curbs, sidewalks, walls, fences, and existing plantings. If the proposed plant material is 3.6 m or more from the adjacent edges of shoulders, dikes, curbs, sidewalks, walls, fences, and other existing plantings, the mulch shall be spread 2 m beyond the outside edge of the proposed plant basins.

Mulch shall not be placed within one meter of the centerline of earthen drainage ditches, within one meter of the edge of paved ditches, and within one meter of the centerline of drainage flow lines.

Attention is directed to "Irrigation Systems Functional Test" of these special provisions regarding functional tests of the irrigation systems. Planting shall not be performed in an area until the functional test has been completed for the irrigation system serving that area.

**PLANT ESTABLISHMENT WORK**

The plant establishment period shall be Type 2 and shall not be less than 250 working days.

Attention is directed to "Relief From Maintenance and Responsibility" in these special provisions regarding relief from maintenance and protection.

Commercial fertilizer (slow release) shall be applied to trees, shrubs, vines and ground cover during the first week of March and September of each year. Commercial fertilizer shall be applied at the rates shown on the plans and shall be spread with a mechanical spreader wherever possible.

The center to center spacing of replacement plants for unsuitable ground cover plants shall be determined by the number of completed plant establishment working days at the time of replacement and the original spacing in conformance with the following:

| ORIGINAL SPACING<br>(Millimeters) | SPACING OF REPLACEMENT GROUND COVER PLANTS<br>(Millimeters) |         |                                |
|-----------------------------------|---|---------|--------------------------------|
|                                   | Number of Completed Plant Establishment Working Days        |         |                                |
|                                   | 1-125   | 126-190 | 191-End of Plant Establishment |
| 230                               | 230   | 150     | 150                            |
| 300                               | 300   | 230     | 150                            |
| 460                               | 460   | 300     | 230                            |
| 600                               | 600   | 460     | 300                            |
| 910                               | 910   | 600     | 460                            |

Weeds within plant basins, including basin walls and ground cover, shall be controlled by hand pulling.

Weeds within mulched and ground cover areas and outside of plant basins shall be controlled by killing.

Weeds outside of mulched areas, plant basins, ground cover, the median, and paved areas shall be controlled by mowing. At locations where proposed planting areas are 3.6 m or more from the edges of existing plantings to remain and from shoulders, dikes, curbs, sidewalks, fences, and walls, the mowing limit shall be 2 m beyond the outer limits of the proposed planting area.

Vines shall be trained onto walls.

At the option of the Contractor, plants of a larger container size than those originally specified may be used for replacement plants during the first 125 working days of the plant establishment period.

After 125 working days of the plant establishment period have been completed, replacement of plants, except for ground cover plants, shall be No. 1 size for seedlings, pot and liner size plants; No. 5 size for No. 1 size plants; No. 15 size for No. 5 size plants; and other plant replacement plants shall be the same size as originally specified.

When ordered by the Engineer, one application of a preemergent pesticide conforming to the provisions in "Pesticides" of these special provisions, shall be applied between 40 and 50 working days prior to completion of the plant establishment period. This work will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Wye strainers shall be cleaned at least 15 days prior to the completion of the plant establishment period.

The final inspection shall be performed in conformance with the provisions in Section 5-1.13, "Final Inspection," of the Standard Specifications and shall be completed a minimum of 20 working days before the estimated completion of the contract.

**10-2.05 IRRIGATION SYSTEMS**

Irrigation systems shall be furnished and installed in conformance with the provisions in Section 20-5, "Irrigation Systems," of the Standard Specifications, except materials containing asbestos fibers shall not be used.

Method A pressure testing shall conform to the provisions in Section 20-5.03H(1), "Method A", of the Standard Specifications, except leaks that develop in the tested portion of the system shall be located and repaired after each test period when a drop of more than 35 kPa is indicated by the pressure gage. After the leaks have been repaired, the one hour pressure test shall be repeated and additional repairs made until the drop in pressure is 35 kPa or less.

Only pipeline trenches and excavation pits for supply lines being supplied from one water service point shall be open at one time. After pressure testing is complete, trenches and pits excavated for pipe supply lines, being supplied from one water service point, shall be backfilled prior to commencing excavations for pipe supply lines being supplied from another water service point.

## PIPE AND CONDUIT ANCHORS

Anchors used to attach galvanized steel pipe supply lines and sprinkler control conduits (electrical conduits) to the exterior surfaces of concrete structures and walls shall consist of metal straps and anchoring devices. Metal straps shall be made of steel and shall be fabricated to the details and dimensions shown on the plans. Anchoring devices shall consist of resin capsule anchor bolts or mechanical expansion stud anchors. Anchors shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Holes for anchorage devices shall conform to the following:

- A. Holes shall not be drilled closer than 150 mm to the edge of a concrete structure.
- B. Holes shall be drilled with drills approved by the Engineer.
- C. Holes shall be relocated if reinforcing steel is encountered. Abandoned holes shall be filled with portland cement concrete mortar conforming to the provisions in Section 51-1.135, "Mortar," of the Standard Specifications.
- D. Holes shall be drilled to a minimum depth of 8 times the diameter of the anchor bolt or stud anchor.

Full compensation for installing anchors and for attaching galvanized steel pipe supply lines and sprinkler control conduits to exterior surfaces shall be considered as included in the contract lump sum price paid for irrigation system and no additional compensation will be allowed therefor.

## VALVE BOXES

Valve boxes shall conform to the provisions in Section 20-2.24, "Valve Boxes," of the Standard Specifications, except as otherwise provided herein.

Valve boxes shall be precast portland cement concrete.

Covers for concrete valve boxes shall be cast iron or steel. Cast iron and steel covers shall be hinged with brass hinge pins for valve boxes containing valves smaller than 50 mm.

Valve boxes shall be identified on the top surface of the covers by labels containing the appropriate abbreviation for the irrigation facility contained in the valve box as shown on the plans. Valve boxes that contain remote control valves shall be identified by the appropriate letters and numbers (controller and station numbers). Labels for valve boxes shall conform to the provisions in Section 20-5.03F, "Valves and Valve Boxes," of the Standard Specifications.

Label material shall be polyurethane.

## BALL VALVES

Ball valves shall be furnished and installed as shown on the plans and in conformance with these special provisions.

Ball valves shall be manufactured from Chlorinated Polyvinyl Chloride (CPVC) or polyvinyl chloride (PVC) and shall conform to the following:

| Specification   | Minimum Requirement |
|---|---------------------|
| Non-shock cold water working pressure for 20 mm - 100 mm valves | 1623 kPa            |
| Non-shock cold water working pressure for 150 mm valves         | 1034 kPa            |
| Seats   | PTFE (Teflon)       |
| O-Ring Seals  | EPDM or Viton       |

Ball valves shall be of the same size as the pipeline which the valves serve, unless otherwise noted on the plans.

Ball valves shall be installed in a valve box.

## GATE VALVES

Gate valves shall be as shown on the plans and in conformance with the provisions in Section 20-2.28, "Gate Valves," of the Standard Specifications and these special provisions.

Gate valves shall have a solid bronze or brass wedge.

## **ELECTRIC AUTOMATIC IRRIGATION COMPONENTS**

### **Irrigation Controllers**

Irrigation controllers shall be single, solid-state independent controllers conforming to the following:

- A. Irrigation controllers shall be fully automatic and shall operate a complete 14-day or longer irrigation program.
- B. A switch or switches shall be provided on the face of the control panel that will turn the irrigation controller "on" or "off" and provide for automatic or manual operation. Manual operation shall allow cycle start at the desired station and shall allow activation of a single station.
- C. The watering time of each station shall be displayed on the face of the control panel.
- D. The irrigation controller and the low voltage output source shall be protected by fuses or circuit breakers.
- E. The irrigation controller mechanism, panel and circuit board shall be connected to the low voltage control and neutral conductors by means of plug and receptacle connectors located in the irrigation controller enclosure.
- F. Each station shall have a variable or incremental timing adjustment with a range of 12 hours to a minimum of one minute.
- G. Irrigation controllers shall be capable of a minimum of 4 program schedules.
- H. Irrigation controllers shall have an output that can energize a pump start circuit or a remote control valve (master).
- I. When 2 or more irrigation controllers operate the same electric remote control valve (master), an isolation relay shall be provided and installed per the controller manufacturer's instructions.
- J. Irrigation controllers shall be manufactured by the same company.
- K. Where direct burial conductors are to be connected to the terminals strip, the conductors shall be connected with the proper size open-end crimp-on wire terminals. No exposed wire shall extend beyond the crimp of the terminal and the wires shall be parallel on the terminal strip.

Attention is directed to the provisions in "Electric Service (Irrigation)" of these special provisions regarding electrical power for irrigation controllers and irrigation controller enclosure cabinets.

### **Electric Remote Control Valves**

Electric remote control valves shall conform to the provisions in Section 20-2.23, "Control Valves," of the Standard Specifications and the following:

- A. Valves shall be brass construction.
- B. Valves shall be angle pattern (bottom inlet) or straight pattern (side inlet) as shown on the plans.

### **Pull Boxes**

Pull box installations shall conform to the provisions in Section 20-5.027I, "Conductors, Electrical Conduits and Pull Boxes," of the Standard Specifications.

### **Conductors**

Low voltage, as used in this section "Conductors," shall mean 36 V or less.

Low voltage control and neutral conductors in pull boxes and valve boxes, at irrigation controller terminals, and at splices shall be marked as follows:

- A. Conductor terminations and splices shall be marked with adhesive backed paper markers or adhesive cloth wrap-around markers, with clear, heat-shrinkable sleeves sealed over the markers.
- B. Non-spliced conductors in pull boxes and valve boxes shall be marked with clip-on, "C" shaped, white extruded polyvinyl chloride sleeves. Marker sleeves shall have black, indented legends of uniform depth with transparent overlays over the legends and "chevron" cuts for alignment of 2 or more sleeves.

Markers for the control conductors shall be identified with the appropriate number or letter designations of irrigation controllers and station numbers. Markers for neutral conductors shall be identified with the appropriate number or letter designations of the irrigation controllers.

The color of low voltage neutral and control conductor insulation, except for the striped portions, shall be homogeneous throughout the entire thickness of the insulation.

Insulation for conductors may be UL listed polyethylene conforming to UL44 test standards with a minimum insulation thickness of 1.05 mm for wire sizes 10AWG and smaller.

## **IRRIGATION CONTROLLER ENCLOSURE CABINET**

Irrigation controller enclosure cabinets shall be constructed and equipment installed in the cabinets in conformance with the details shown on the plans, the provisions of Section 86-3.04A, "Cabinet Construction," of the Standard Specifications, and these special provisions.

Electric service shall be installed in accordance with "Electric Service (Irrigation)" of these special provisions.

Irrigation controller enclosure cabinets shall be provided with cross ventilation, roof ventilation or a combination of both. The ventilation shall not compromise the weather resistance properties of the irrigation controller enclosure cabinets and shall be fabricated by the manufacturer.

The anchorage arrangement shall be inside the cabinet as shown on the plans. Dimensions of the cabinet shall be suitable for the equipment to be installed as shown on the plans and specified in these special provisions.

Irrigation controller enclosure cabinet dimensions for a single irrigation controller shall be 890 mm (Height) x 584 mm (Width) x 280 mm (Depth).

Irrigation controller enclosure cabinets shall be fabricated in conformance with the provisions in Section 86-3.04A, "Cabinet Construction," of the Standard Specifications.

Irrigation controller enclosure cabinets shall be fabricated of stainless steel.

Irrigation controller enclosure cabinet doors shall not be furnished with integral door locks. Irrigation controller enclosure cabinet door handles shall have provisions for padlocking in the latched position. Padlocks will be State-furnished as provided under "State-Furnished Materials" of these special provisions.

Mounting panels shall be fabricated of 19 mm exterior AC grade veneer plywood. The panels shall be painted with one application of an exterior, latex based, wood primer and two applications of an exterior, vinyl acrylic enamel, white in color. The panels shall be painted on all sides and edges before installation of the panels in the cabinets and the equipment on the panels.

Inside of the doors shall have provisions for storage of the irrigation plans.

Solid-state automatic shut-off rain sensor units shall be installed for the irrigation controller enclosure cabinets. Rain sensor units shall automatically interrupt the master remote control valves when approximately 3 mm of rain has fallen. The irrigation system shall automatically be enabled again when the accumulated rainfall evaporates from the rain sensor unit collection cup. Rain sensor units shall be rated 24 V (ac) to 30 V (ac). Static charge protection shall be included to protect against lightning damage.

Equipment, except for field wiring, shall be installed in the cabinet in a shop prior to field installation.

## **IRRIGATION SYSTEMS FUNCTIONAL TEST**

Functional tests for the irrigation controllers and associated automatic irrigation systems shall conform to the provisions in Section 20-5.027J, "Testing," of the Standard Specifications and these special provisions.

Tests shall demonstrate to the Engineer, through one complete cycle of the irrigation controllers in the automatic mode, that the associated automatic components of the irrigation systems operate properly. If automatic components of the irrigation systems fail a functional test, these components shall be repaired at the Contractor's expense and the testing repeated until satisfactory operation is obtained.

Associated automatic components shall include, but not be limited to, remote control valves.

Upon completion of work on an irrigation system, including correction of deficiencies and satisfactory functional tests for the systems involved, the plants to be planted in the area watered by the irrigation system may be planted provided the planting areas have been prepared as specified in these special provisions.

## **PIPE**

### **Plastic Pipe**

Plastic pipe supply lines shall be polyvinyl chloride (PVC) 1120 or 1220 pressure rated pipe with the minimum pressure rating (PR) shown on the plans.

Plastic pipe supply lines less than 100 mm in diameter shall have solvent cemented type joints. Primers shall be used on the solvent cemented type joints.

Fittings for plastic pipe supply lines with a pressure rating (PR) of 315 shall be Schedule 80.

## **SPRINKLERS**

Sprinklers shall conform to the type, pattern, material, and operating characteristics listed in the "Sprinkler Schedule" shown on the plans.

Flow shutoff device on risers shall automatically and instantly stop the flow of water from a riser when the riser is broken on the downstream side of the device. The flow shutoff device shall be installed as recommended by the manufacturer of the device.

## **FINAL IRRIGATION SYSTEM CHECK**

A final check of existing and new irrigation facilities shall be performed not more than 40 working days and not less than 30 working days prior to acceptance of the contract.

The length of watering cycles using potable water measured by water meters for the final check of irrigation facilities will be determined by the Engineer.

Remote control valves connected to existing and new irrigation controllers shall be checked for automatic performance when the controllers are in automatic mode.

Unsatisfactory performance of irrigation facilities installed or modified by the Contractor shall be repaired and rechecked at the Contractor's expense until satisfactory performance is obtained, as determined by the Engineer.

Repair or replacement of existing irrigation facilities due to unsatisfactory performance shall conform to the provisions in "Existing Highway Irrigation Facilities" of these special provisions.

Nothing in this section "Final Irrigation System Check" shall relieve the Contractor of full responsibility for making good or repairing defective work or materials found before the formal written acceptance of the entire contract by the Director.

Full compensation for checking the irrigation systems prior to the acceptance of the contract shall be considered as included in the contract lump sum price paid for plant establishment work and no additional compensation will be allowed therefor.

## **SECTION 10-3. SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS**

### **10-3.01 DESCRIPTION**

Modify signals, modify lighting and sign illumination, electric service (irrigation), modify ramp metering systems, modify communication system, temporary lighting, modify lighting (city) and maintaining existing traffic management system elements during construction shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

Lighting equipment is included in the following structures:

- A. Centinela Avenue undercrossing, Bridge No. 53-1253
- B. Sepulveda Boulevard undercrossing, Bridge No. 53-1254

Communication conduit is included in the following structures:

- A. Centinela Avenue undercrossing, Bridge No. 53-1253
- B. Sepulveda Boulevard undercrossing, Bridge No. 53-1254

### **10-3.02 COST BREAK-DOWN**

Cost break-downs shall conform to the provisions in Section 86-1.03, "Cost Break-Down," of the Standard Specifications and these special provisions.

The Engineer shall be furnished a cost break-down for each contract lump sum item of work described in this Section 10-3.

The cost break-down shall be submitted to the Engineer for approval within 15 days after the contract has been approved. The cost break-down shall be approved, in writing, by the Engineer before any partial payment for the items of electrical work will be made.

The cost breakdown shall include the following items in addition to those listed in the Standard Specifications:

1. Communication pull box
2. Fiber optic cable
3. Fiber optic splice closure
4. Twisted pair cable
5. Twisted pair splice closure

### **10-3.03 MAINTAINING EXISTING AND TEMPORARY ELECTRICAL SYSTEMS**

Traffic signal system shutdowns shall be limited to periods between the hours of 9:00 a.m. and 3:00 p.m.

#### **10-3.04 MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION**

Traffic Management System (TMS) elements include, but are not limited to ramp metering (RM) system, communication system, traffic monitoring stations, video image vehicle detection system (VIVDS), microwave vehicle detection system (MVDS), loop detection system, changeable message sign (CMS) system, extinguishable message sign (EMS) system, highway advisory radio (HAR) system, closed circuit television (CCTV) camera system, roadway weather information system (RWIS), visibility sensor, and fiber optic system.

Existing TMS elements, including detection systems, identified on the plans and located within the project limits shall remain in place, and be protected from damage. If the construction activities require existing TMS elements to be nonoperational or off line, and if temporary or portable TMS elements are not shown on the plans, the Contractor shall provide for temporary or portable TMS elements. The Contractor shall receive the Engineer's approval on the type of temporary or portable TMS elements and installation method.

Before work is performed, the Engineer, the Contractor, and the Department's Traffic Operations Electrical representatives shall jointly conduct a pre-construction operational status check of all existing TMS elements and each element's communication status with the Traffic Management Center (TMC), including existing TMS elements that are not shown on the plans and elements that may not be impacted by the Contractor's activities. The Department's Traffic Operations Electrical representatives will certify the TMS elements' location and status, and provide a copy of the certified list of the existing TMS elements within the project limits to the Contractor. The status list will include the operational, defined as having full functionality, and the nonoperational components.

The Contractor shall obtain written approval from the Engineer, at least 72 hours before interrupting existing TMS elements' communication with the TMC that will result in the elements being nonoperational or off line. The Contractor shall notify the Engineer at least 72 hours before starting excavation activities.

Traffic monitoring stations and their associated communication systems which were verified to be operational during the pre-construction operational status check, shall remain operational on freeway/highway mainline at all times, except:

1. for a duration of up to 15 days on any continuous segment of the freeway/highway longer than 4.8 kilometers
2. for a duration of up to 60 days on any continuous segment of the freeway/highway shorter than 4.8 kilometers

If the construction activities require existing detection systems to be nonoperational or off line for a longer time period or the spacing between traffic monitoring stations is more than the specified criteria above, and temporary or portable detection operations are not shown on the plans, the Contractor shall provide provisions for temporary or portable detection operations. The Contractor shall receive the Engineer's approval on the type of detection and installation before installing the temporary or portable detection.

If existing TMS elements shown on the plans or identified during the pre-construction operational status check, except traffic monitoring stations, are damaged or fail due to the Contractor's activity, where the elements are not fully functional, the Engineer shall be notified immediately. If the Contractor is notified by the Engineer that existing TMS elements have been damaged, have failed or are not fully functional due to the Contractor's activity, the damaged or failed TMS elements, excluding Structure-related elements, shall be repaired or replaced, at the Contractor's expense, within 24 hours. For a Structure-related elements, the Contractor shall install temporary or portable TMS elements within 24 hours. For nonstructure-related TMS elements, the Engineer may approve temporary or portable TMS elements for use during the construction activities.

If fiber optic cables are damaged due to the Contractor's activities, the Contractor shall install new fiber optic cables from an original splice point or termination to an original splice point or termination, unless otherwise authorized in writing by the Engineer. Fiber optic cable shall be spliced at the splice vaults if available. The amount of new fiber optic cable slack in splice vaults and the number of new fiber optic cable splices shall be equivalent to the amount of slack and number of splices existing before the damage or as directed by the Engineer. Fusion splicing will be required.

The Contractor shall demonstrate that repaired or replaced elements operate in a manner equal to or better than the replaced equipment or as directed by the Engineer. If the Contractor fails to perform required repairs or replacement work, as determined by the Engineer, the State may perform the repair or replacement work and the cost will be deducted from monies due to the Contractor.

A TMS element shall be considered nonoperational or off line for the duration of time that active communications with the TMC is disrupted, resulting in messages and commands not transmitted from or to the TMS element.

The Contractor shall provide provisions for replacing existing TMS elements within the project limits, including detection systems, that were not identified on the plans or during the pre-construction operational status check that became damaged due to Contractor's activities.

Contractor, the Engineer, and the Department's Traffic Operations Electrical representatives shall jointly conduct a post construction operational status check of all existing TMS elements and each element's communication status with the TMC. The Department's Traffic Operations Electrical representatives will certify the TMS elements' status and provide a copy of the certified list of the existing TMS elements within the project limits to the Contractor. The status list will include the operational, defined as having full functionality, and the nonoperational components. TMS elements that cease to be functional between pre and post construction status checks shall be repaired at the Contractor's expense and as directed by the Engineer.

The Engineer will approve, in writing, the schedule for final replacement, the replacement methods and the replacement elements, including element types and installation methods before repair or replacement work is performed. The final TMS elements shall be new and of equal or better quality than the existing TMS elements.

## **PAYMENT**

The contract lump sum price paid for maintaining existing traffic management system elements during construction shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in maintaining existing traffic management system elements as shown on the plans, specified in the Standard specifications and these special provisions, and as directed by the Engineer.

If no electrical work exists on the project and no TMS elements are identified within the project limits, the pre-construction operational status check will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

Furnishing and installing temporary or portable TMS elements that are not shown on the plans, but are required when an existing TMS element becomes nonoperational or off line due to construction activities, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

Furnishing and installing temporary or portable TMS elements and replacing TMS elements that are not shown on the plans nor identified during the pre-construction operational status check and were damaged by construction activities will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

If the Contractor is required to submit provisions for the replacement of TMS elements that were not identified, the provisions will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

## **10-3.05 CAST-IN-DRILLED-HOLE CONCRETE PILE FOUNDATIONS**

### **GENERAL**

#### **Summary**

This work includes constructing cast-in-drilled-hole concrete pile foundations for traffic signal and lighting standards. Comply with Section 86-2.03, "Foundations," of the Standard Specifications and "Piling" of these special provisions.

### **MATERIALS**

Concrete must contain not less than 350 kilograms of cementitious material per cubic meter.

### **CONSTRUCTION**

For standards located in sidewalk areas, the pile foundation must be:

1. Placed to final sidewalk grade before the sidewalk is placed
2. Square for the top 100 mm

### **PAYMENT**

Payment for cast-in-drilled-hole concrete pile foundations shall conform to the provisions in Section 86-8, "Payment," of the Standard Specifications.

## **10-3.06 STANDARDS, STEEL PEDESTALS, AND POSTS**

Standards, steel pedestals, and posts for traffic signal and lighting standards shall conform to the provisions in Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications, "Steel Structures" of these special provisions, and the following requirements.

Steel bolts not designated on the plans as high-strength (HS) or stainless steel shall be for general applications and shall conform to the requirements in ASTM Designation: A 307.

Anchor bolts shall conform to the requirements in ASTM Designation: F 1554, Grade 36. High-strength (HS) anchor bolts shall conform to the requirements in ASTM Designation: F 1554, Grade 105.

Where the plans refer to the side tenon detail at the end of the signal mast arm, the applicable tip tenon detail may be substituted.

The sign mounting hardware shall be installed at the locations shown on the plans.

Non-illuminated street name signs shall be installed on signal mast arms using a minimum 19 mm x 0.53 mm round edge stainless steel strap and saddle bracket. The strap shall be wrapped at least twice around the mast arm, tightened, and secured with a 19 mm stainless strap seal. The sign panel shall be leveled and hardware securely tightened.

Handhole reinforcement rings for standards, steel pedestals, and posts shall be continuous around the handholes.

Type 1 standards shall be assembled and set with the handhole on the downstream side of the pole in relation to traffic or as shown on the plans.

### 10-3.07 CONDUIT

Conduit to be installed underground shall be Type 1 or Type 3 unless otherwise specified. Detector termination conduits shall be Type 1.

The conduit in a foundation and between a foundation and the nearest pull box shall be Type 1.

Conduit sizes shown on the plans and specified in the Standard Specifications and these special provisions are referenced to metallic type conduit. When rigid non-metallic conduit is required or allowed, the nominal equivalent industry size shall be used as shown in the following table:

| Size Designation for Metallic Type Conduit | Equivalent Size for Rigid Non-metallic Conduit |
|--|--|
| 78   | 75   |
| 103  | 100  |

When a standard coupling cannot be used for joining Type 1 conduit, a UL listed threaded union coupling conforming to the provisions in Section 86-2.05C, "Installation," of the Standard Specifications, or a concrete-tight split coupling, or concrete-tight set screw coupling shall be used.

When Type 3 conduit is placed in a trench (not in pavement or under portland cement concrete sidewalk), after the bedding material is placed and the conduit is installed, the trench shall be backfilled to not less than 100 mm above the conduit with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 250 kg of cementitious material per cubic meter. The remaining trench shall be backfilled to finished grade with backfill material.

Conduit runs shown on the plans to be located behind curbs may be installed in the street, within 0.9-m of, and parallel with the face of the curb, by the "Trenching in Pavement Method" in conformance with the provisions in Section 86-2.05C, "Installation," of the Standard Specifications. Pull boxes shall be located behind the curb or at the locations shown on the plans.

After conductors have been installed, the ends of conduits terminating in pull boxes, service equipment enclosures, splice vaults, and controller cabinets shall be sealed with an approved type of sealing compound.

At those locations where conduit is required to be installed under pavement and underground facilities designated as high priority subsurface installation under Govt Code § 4216 et seq. exist, conduit shall be placed by the "Trenching in Pavement Method" in conformance with the provisions in Section 86-2.05C, "Installation," of the Standard Specifications.

At other locations where conduit is required to be installed under pavement and if a delay to vehicles will not exceed 5 minutes, conduit may be installed by the "Trenching in Pavement Method."

At the option of the Contractor, the final 0.6-m of conduit entering a pull box in a reinforced concrete structure may be Type 4.

### 10-3.08 PULL BOXES

Grout shall not be placed in the bottom of pull boxes, except communication pull boxes.

Pull boxes shall be the traffic rated type, 5(T) or 6(T), except the ones proposed to be installed in concrete barriers. Pull boxes shall have tamper proof pinhead bolts to secure the metal lid to the box. Tamper proof pinhead bolts shall be approved by the Engineer before ordering and installing.

No. 9 pull boxes shall have tamper proof pinhead bolts to secure the metal lid to the box.

The size and the length of the tamper bolts are similar to the hold down bolts shown on the plans.

Pull boxes proposed to be installed in soil, shall be buried 150 mm to 200 mm below grade, except 5(T), 6(T), communications pull boxes that contain only fiber optics. Cover the pull box with plastic sheet (0.5 mm) before burying the pull box.

Plastic sheets, 0.5-mm thick, manufactured from high density polyethylene (HDPE) virgin compounds or polyvinyl chloride (PVC) virgin compounds, shall be furnished and installed over the tops of the pull box and surrounding concrete, as shown on the plans and as directed by the Engineer.

Electronic marker (EM) shall be placed in pull boxes before burying the pull box. EMs will be approved by the Engineer before ordering.

Submit an equipment and material list under Section 86-1.04, "Equipment List and Drawings," of the Standard Specifications. List shall include factory-tested requirements for the fittings, screws and fastener strengths.

Tamper resistant materials used must:

1. Be appropriate and compatible to the pull box type shown on plans
2. Maintain:
  - 2.1. Materials associated with the pull box intact
  - 2.2. Dimensions of the pull box
  - 2.3. Sufficient space for conduit and conductors inside pull boxes
3. Resist unauthorized removal of wires from the pull box
4. Not interfere with ADA requirements

At the end of the project, supply the Engineer with the specialty tools including bits, drivers and wrenches required for installing and removing tamper resistant bolts. Do not keep a duplicate of the specialty tools.

Tamper resistant bolts and EMs shall have a written warranty from the manufacturers against defects in material and workmanship, including expected life of 15 years.

Full compensation for burying pull boxes and special tools shall be included in the contract lump sum price paid for the items of work involved and no additional compensation will be allowed therefor.

Full compensation for 0.5-mm plastic sheets shall be included in contract price paid for the items of work involved and no additional compensation will be allowed therefor.

### **10-3.09 ELECTRONIC MARKERS**

#### **GENERAL**

Electronic marker (EM) and electronic marker locator (EML) together make a provision to electronically mark and locate underground facilities. The circuits of the EM, when excited by the compatible EML operating at standard industry frequencies, produces a uniform radio frequency (RF) field in all directions. The RF signal is picked up by the EML for precise location of the EM. Maximum signal strength appears when the EML is vertically above the EM.

The field and the range of the EM must be reliable for use in any terrain.

Electronic markers must be passive and contain no batteries.

#### **Passive Electronic Marker**

The EM when activated by the EML sends uniform RF field in all directions and the exact location of the EM is at the point where the signal strength is vertically maximum.

### **MATERIALS LIST AND DRAWINGS**

#### **Submittals**

The Contractor shall provide EM to mark underground facilities that can be located later with the help of an EML.

The Contractor shall provide one EM for every buried pull box.

#### **Training**

The Contractor must provide a copy of the training material to the Engineer for approval 15 days prior to the training. The content of the training shall include instruction on installing, and locating, the marker .

#### **Certificate of Compliance**

A Certificate of Compliance for EM shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

## FUNCTIONAL REQUIREMENTS

The EM must not require any particular orientation when buried and there shall not be any need for the EM to be leveled in the ground. The internal component must be capable of self-leveling and automatic orientation to a position providing maximum signal strength to the EML. The EM must be of the kind that it is just tossed in the trench or excavation and covered up with normal backfill.

The EM must be light weight, with no hazardous chemicals.

The EM must not require external or internal source of power and must be energized solely by electromagnetic energy received from the interrogating EML.

The EM must comply with the following features:

| Shape            | Spherical or geometrical shape                      |
|------------------|---|
| Weight           | 0.9 kg (Maximum)                                    |
| Diameter         | 152 mm (Maximum)                                    |
| Color            | Red for power and orange for communication          |
| Frequency        | Standard frequencies, compatible with EML devices   |
| Range            | Easily locatable up to 1.8 m between EML and the EM |
| Detection offset | 152 mm (Maximum)                                    |

The EM must conform to the following:

1. Housing should be leak tight, moisture resistant, pressure tested per industry standards applicable to buried enclosures, buried splices, and buried cables
2. Housing Material should be chemical resistant like high density polyethylene with no hazardous chemicals
3. Operating Temperature: From -20 °C to +50 °C
4. Storage Temperature: From -20 °C to +70 °C
5. Life: 15 years minimum

## WARRANTY

The Contractor shall provide a manufacturer's warranty for the EM including estimated expected life of 15 years.

## PAYMENT

Full compensation for electronic markers shall be included in the contract lump sum price paid for modify signal and lighting and no additional compensation will be allowed therefor.

### 10-3.10 CONDUCTORS AND WIRING

Splices shall be insulated by "Method B."

Conductors and cables shall be secured to the projecting end of conduit in pull boxes to prevent pulling of cables.

### 10-3.11 BONDING AND GROUNDING

Bonding and grounding shall conform to the provisions in Section 86-2.10, "Bonding and Grounding," of the Standard Specifications and these special provisions.

Bonding jumpers in standards with handholes and traffic pull box lid covers shall be attached by a UL listed lug using 4.5-mm diameter or larger brass or bronze bolts and shall run to the conduit or bonding wire in the adjacent pull box. The grounding jumper shall be visible after the standard has been installed and the mortar pad and cap have been placed on the foundation.

Standards without handholes shall have bonding accomplished by jumpers attached to UL listed ground clamps on each anchor bolt.

For slip base standards or slip base inserts, bonding shall be accomplished by jumpers attached to UL listed ground clamps on each anchor bolt, or a UL listed lug attached to the bottom slip base plate with a 4.5-mm diameter or larger brass or bronze bolt.

Equipment bonding and grounding conductors are required in conduits, except when the conduits contain only combinations of loop lead-in cable, fiber optic cable, or signal interconnect cable. A No. 8 minimum, bare copper wire shall run continuously in circuits. The bonding wire size shall be increased to match the circuit breaker size in conformance with the Code, or shall be as shown on the plans. Conduits to be installed for future conductors, may omit the copper wire.

Bonding of metallic conduits in metal pull boxes shall be by means of bonding bushings and bonding jumpers connected to the bonding wire running in the conduit system.

**10-3.12 RELOCATE EMERGENCY VEHICLE DETECTOR SYSTEM**

**Cable**

Optical detector cable (EV-C) shall meet the requirements of IPCEA-S-61-402/NEMA WC 5, Section 7.4, 600-V(ac) control cable, 75 °C, Type B, and the following:

- A. The cable shall contain 3 conductors, each of which shall be No. 20 (7 x 28) stranded, tinned copper with low-density polyethylene insulation. Minimum average insulation thickness shall be 0.63-mm. Insulation of individual conductors shall be color coded: 1-yellow, 1-blue, 1-orange.
- B. The shield shall be either tinned copper braid or aluminized polyester film with a nominal 20 percent overlap. Where film is used, a No. 20 (7 x 28) stranded, tinned, bare drain wire shall be placed between the insulated conductors and the shield and in contact with the conductive surface of the shield.
- C. The jacket shall be black polyvinyl chloride with minimum ratings of 600 V(ac) and 80 °C and a minimum average thickness of 1.1 mm. The jacket shall be marked as required by IPCEA/NEMA.
- D. The finished outside diameter of the cable shall not exceed 8.9 mm.
- E. The capacitance, as measured between any conductor and the other conductors and the shield, shall not exceed 157 pf per meter at 1000 Hz.
- F. The cable run between each detector and the controller cabinet shall be continuous without splices or shall be spliced only as directed by the detector manufacturer.

**Cabinet Wiring**

The Model 332 cabinet has provisions for connections between the optical detectors, the discriminator module and the Model 170 controller unit.

Wiring for a Model 332 cabinet shall conform to the following:

- A. Slots 12 and 13 of input file "J" have each been wired to accept a 2-channel module.
- B. Field wiring for the primary detectors, except 24-V (dc) power, shall terminate on either terminal board TB-9 in the controller cabinet or on the rear of input file "J," depending on cabinet configuration. Where TB-9 is used, position assignments shall be as follows:

| Position | Assignment                                       |
|----------|--|
| 4        | Channel A detector input, 1st module (Slot J-12) |
| 5        | Channel B detector input, 1st module (Slot J-12) |
| 7        | Channel A detector input, 2nd module (Slot J-13) |
| 8        | Channel B detector input, 2nd module (Slot J-13) |

**10-3.13 ELECTRIC SERVICE (IRRIGATION)**

Electric service (irrigation) shall be from the service points to the irrigation controllers (IC) and to the spaces provided in the irrigation controller enclosure cabinets (CEC) for irrigation controllers as shown on the plans.

Irrigation Controller (IC) "A": A single-pole, 15-A circuit breaker shall be installed in the existing service equipment enclosure. The circuit breaker shall be of the same manufacturer and model and interrupting capacity as the existing circuit breakers.

Electric service (irrigation) will be paid for on a lump sum basis.

**10-3.14 NUMBERING ELECTRICAL EQUIPMENT**

Self-adhesive retroreflective numbers and edge sealer shall be Contractor-furnished.

The numbers and edge sealer shall be placed on the equipment where designated by the Engineer.

Where new numbers are to be placed on existing or relocated equipment, the existing numbers shall be removed.

Retroreflective numbers shall be applied to a clean surface. Only the edges of the numbers shall be treated with edge sealer.

Five-digit, self-adhesive equipment numbers shall be placed for all electroliers, soffit lighting, sign lighting, and service pedestals. On service pedestals, the numbers shall be placed on the front door. On electroliers, the numbers shall be placed 3 m from the base of electrolier as shown on the plans and as directed by the Engineer.

Numbers for illuminated signs mounted on overcrossings or for soffit luminaires shall be placed on the nearest adjacent bent or abutment at approximately the same station as the sign or soffit luminaire. Where no bent or abutment exists near the sign or soffit luminaire, the number shall be placed on the underside of the structure adjacent to the sign or soffit luminaire. Arrangement of numbers shall be the same as those used for electroliers.

Numbers for overhead sign bridges shall be placed on both posts.

Numbers for wood poles shall be 75-mm embossed aluminum fastened to the pole with 30-mm aluminum nails. Numbers for wood poles shall be furnished by the Contractor.

Adhesive numbers for all locations except wood poles shall be white reflective adhesive sheeting, 76 mm in width, with 76 mm , Black Series D letters and numbers. The letters and numbers may be screened on to the reflective sheeting or may be die cut and adhesively attached. The labels for each location may be individual characters applied or a continuous strip applied. Reflective sheeting, numbers and letters shall comply with the respective specifications in the Department of Transportation publication, "Specifications for Aluminum Reflective Sheeting Signs."

Full compensation for retroreflective numbers and edge sealers is be included in the contract lump sum price paid for modify lighting and sign illumination and no additional compensation will be allowed therefor.

### **10-3.15 LIGHT EMITTING DIODE SIGNAL MODULE**

#### **GENERAL**

##### **Summary**

This work includes installing LED signal module.

Location of LED signal module is shown on the plans. The Engineer will approve exact location.

Use LED signal module as the light source for the following traffic signal faces:

1. 300-mm section
2. 200-mm section
3. 300-mm arrow section

##### **Submittals**

Before shipping LED signal modules to job site, submit the following to the Transportation Laboratory:

1. Delivery form including district number, EA, and contact information
2. List containing all LED signal module serial numbers anticipated for use
3. LED signal modules

##### **Quality Control and Assurance**

Module must be one listed on the Pre-Qualified Products List for LED traffic signals at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list](http://www.dot.ca.gov/hq/esc/approved_products_list)

The State will test LED signal module shipments as specified in ANSI/ASQ Z1.4.. Testing will be completed within 30 days of delivery to the Transportation Laboratory. LED signal modules tested or submitted for testing must be representative of typical production units. LED and circular LED signal modules will be tested as specified in California Test 604. Arrow, U-turn, and bicycle LED signal modules will be tested as specified in California Test 3001. All parameters of the specification may be tested on the modules. LEDs must be spread evenly across the module. LED arrow indication must provide the minimum initial luminous intensity listed. Measurements will be performed at the rated operating voltage of 120 V(ac).

Delays resulting from submittal of non-compliant materials do not relieve you from executing the contract within the allotted time. Non-compliant materials will be rejected. You must resubmit new LED for retesting and pick up the failed units within 7 days of notification. You must provide new LED signal modules and allow a minimum of 30 days for the retest. You must pay for all shipping and handling costs related to testing and retesting. Delays resulting from resubmittal and retesting are your responsibility and no extra time will be allowed.

After testing, you must pick up the tested LED signal modules from the Transportation Laboratory and deliver to the job site.

## Warranty

The manufacturer must provide a written warranty against defects in materials and workmanship for LED signal modules for a minimum period of 48 months after installation of LED signal modules. Replacement LED signal modules must be provided within 15 days after receipt of failed LED modules at your expense. The State pays for shipping the failed modules to you. All warranty documentation must be submitted to the Engineer before installation. Replacement LED signal modules must be delivered to State Maintenance Electrical Shop at Department of Transportation Maintenance Yard, 7310 East Bandini Boulevard, Commerce, CA 90040.

## MATERIALS

Minimum power consumption for LED signal module must be 5 W.

LED signal module must have an operational lifecycle rating of 48 months. During the operational lifecycle, LED signal module must meet all parameters of this specification.

LED signal module must be designed for installation in the door frame of standard traffic signal housing.

LED signal module must:

1. Be 1.8 kg maximum mass
2. Be manufactured for 300-mm circular, 200-mm circular, and arrow section
3. Be from the same manufacturer
4. Be the same model for each size
5. Be sealed units with:
  - 5.1. 2 color-coded conductors for power connection.
  - 5.2. Printed circuit board and power supply contained inside and complying with Chapter 1, Section 6 of TEES published by the Department.
  - 5.3. Lens that is:
    - 5.3.1. Integral to the units
    - 5.3.2. Convex or flat with a smooth outer surface
    - 5.3.3. Made of UV stabilized plastic or glass, and withstands UV exposure from direct sunlight for 48 months without exhibiting evidence of deterioration
  - 5.4. 1-piece EPDM gasket
6. Include 1-meter long conductors with quick disconnect terminals attached as specified in Section 86-4.01C, "Electrical Components," of the Standard Specifications
7. Be sealed in door frames
8. Fit into existing traffic signal section housing and comply with ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads"

Individual LEDs must be wired so catastrophic loss or failure of 1 LED will not result in loss of more than 5 percent of the signal module light output. Failure of an individual LED in a string must not result in loss of entire string or other indication.

No special tools for installation are allowed.

### 300-mm Arrow

Comply with Section 9.01 of ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads" for arrow indications.

LED signal module must:

1. Be weather tight and connect directly to electrical wiring.
2. Be capable of optical unit replacement.
3. Be a single, self-contained device, ready for installation into traffic signal housing.
4. Have manufacturer's name, trademark, model number, serial number, lot number, month and year of manufacture, and required operating characteristics, including rated voltage, power consumption, and volt-ampere, permanently marked on the back of the module.

5. Have a symbol of module type and color. Symbol must be 25 mm in diameter. Color must be written out in 13 mm high letters next to the symbol.
6. Be AlInGaP technology for red and yellow indications and gallium nitride technology for green indications.
7. Be ultra bright type rated for 100,000 hours of continuous operation from -40 °C to +74 °C.
8. Have a maximum power consumption as follows:

**Power Consumption Requirements**

| LED Signal Module Type | Power Consumption (Watts) |       |        |       |       |       |
|------------------------|---------------------------|-------|--------|-------|-------|-------|
|                        | Red                       |       | Yellow |       | Green |       |
|                        | 25 °C                     | 74 °C | 25 °C  | 74 °C | 25 °C | 74 °C |
| 300-mm circular        | 11                        | 17    | 22     | 25    | 15    | 15    |
| 200-mm circular        | 8                         | 13    | 13     | 16    | 12    | 12    |
| 300-mm arrow           | 9                         | 12    | 10     | 12    | 11    | 11    |

Lens may be tinted, or may use transparent film or materials with similar characteristics to enhance "ON/OFF" contrasts. Tinting or other materials to enhance "ON/OFF" contrast must not affect chromaticity and must be uniform across the face of the lens.

If polymeric lens is used, surface coating or chemical surface treatment must be applied for front surface abrasion resistance.

Power supply must be integral to the module.

Internal components must be adequately supported to withstand mechanical shock and vibration from high winds and other sources.

Lens and LED signal module material must comply with the ASTM specifications for that material.

Enclosures containing either the power supply or electronic components of LED signal module, except lenses, must be made of UL94VO flame-retardant material.

If a specific mounting orientation is required, the LED signal module must have prominent and permanent vertical markings for accurate indexing and orientation within the signal housing. Markings must include an up arrow, or the word "UP" or "TOP."

LED signal module must meet or exceed the following values when operating at 25 °C:

**Minimum Initial Intensities for Circular Indications (cd)**

| Angle (v,h) | 200-mm |        |       | 300-mm |        |       |
|-------------|--------|--------|-------|--------|--------|-------|
|             | Red    | Yellow | Green | Red    | Yellow | Green |
| 2.5, ±2.5   | 157    | 314    | 314   | 399    | 798    | 798   |
| 2.5, ±7.5   | 114    | 228    | 228   | 295    | 589    | 589   |
| 2.5, ±12.5  | 67     | 133    | 133   | 166    | 333    | 333   |
| 2.5, ±17.5  | 29     | 57     | 57    | 90     | 181    | 181   |
| 7.5, ±2.5   | 119    | 238    | 238   | 266    | 532    | 532   |
| 7.5, ±7.5   | 105    | 209    | 209   | 238    | 475    | 475   |
| 7.5, ±12.5  | 76     | 152    | 152   | 171    | 342    | 342   |
| 7.5, ±17.5  | 48     | 95     | 95    | 105    | 209    | 209   |
| 7.5, ±22.5  | 21     | 43     | 43    | 45     | 90     | 90    |
| 7.5, ±27.5  | 12     | 24     | 24    | 19     | 38     | 38    |
| 12.5, ±2.5  | 43     | 86     | 86    | 59     | 119    | 119   |
| 12.5, ±7.5  | 38     | 76     | 76    | 57     | 114    | 114   |
| 12.5, ±12.5 | 33     | 67     | 67    | 52     | 105    | 105   |
| 12.5, ±17.5 | 24     | 48     | 48    | 40     | 81     | 81    |
| 12.5, ±22.5 | 14     | 29     | 29    | 26     | 52     | 52    |
| 12.5, ±27.5 | 10     | 19     | 19    | 19     | 38     | 38    |
| 17.5, ±2.5  | 19     | 38     | 38    | 26     | 52     | 52    |
| 17.5, ±7.5  | 17     | 33     | 33    | 26     | 52     | 52    |
| 17.5, ±12.5 | 12     | 24     | 24    | 26     | 52     | 52    |
| 17.5, ±17.5 | 10     | 19     | 19    | 26     | 52     | 52    |
| 17.5, ±22.5 | 7      | 14     | 14    | 24     | 48     | 48    |
| 17.5, ±27.5 | 5      | 10     | 10    | 19     | 38     | 38    |

**Minimum Luminance for Arrows (cd/m<sup>2</sup>)**

|                  | Red   | Yellow | Green  |
|------------------|-------|--------|--------|
| Arrow Indication | 5,500 | 11,000 | 11,000 |

LED signal module must meet or exceed the following illumination values for 48 months when operating over a temperature range of -40 °C to + 74 °C. Yellow LED signal module must meet or exceed the following illumination values for 48 months, when operating at 25 °C:

**Minimum Maintained Intensities for Circular Indications (cd)**

| Angle (v,h) | 200-mm |        |       | 300-mm |        |       |
|-------------|--------|--------|-------|--------|--------|-------|
|             | Red    | Yellow | Green | Red    | Yellow | Green |
| 2.5, ±2.5   | 133    | 267    | 267   | 339    | 678    | 678   |
| 2.5, ±7.5   | 97     | 194    | 194   | 251    | 501    | 501   |
| 2.5, ±12.5  | 57     | 113    | 113   | 141    | 283    | 283   |
| 2.5, ±17.5  | 25     | 48     | 48    | 77     | 154    | 154   |
| 7.5, ±2.5   | 101    | 202    | 202   | 226    | 452    | 452   |
| 7.5, ±7.5   | 89     | 178    | 178   | 202    | 404    | 404   |
| 7.5, ±12.5  | 65     | 129    | 129   | 145    | 291    | 291   |
| 7.5, ±17.5  | 41     | 81     | 81    | 89     | 178    | 178   |
| 7.5, ±22.5  | 18     | 37     | 37    | 38     | 77     | 77    |
| 7.5, ±27.5  | 10     | 20     | 20    | 16     | 32     | 32    |
| 12.5, ±2.5  | 37     | 73     | 73    | 50     | 101    | 101   |
| 12.5, ±7.5  | 32     | 65     | 65    | 48     | 97     | 97    |
| 12.5, ±12.5 | 28     | 57     | 57    | 44     | 89     | 89    |
| 12.5, ±17.5 | 20     | 41     | 41    | 34     | 69     | 69    |
| 12.5, ±22.5 | 12     | 25     | 25    | 22     | 44     | 44    |
| 12.5, ±27.5 | 9      | 16     | 16    | 16     | 32     | 32    |
| 17.5, ±2.5  | 16     | 32     | 32    | 22     | 44     | 44    |
| 17.5, ±7.5  | 14     | 28     | 28    | 22     | 44     | 44    |
| 17.5, ±12.5 | 10     | 20     | 20    | 22     | 44     | 44    |
| 17.5, ±17.5 | 9      | 16     | 16    | 22     | 44     | 44    |
| 17.5, ±22.5 | 6      | 12     | 12    | 20     | 41     | 41    |
| 17.5, ±27.5 | 4      | 9      | 9     | 16     | 32     | 32    |

**Minimum Maintained Luminance for Arrow (cd/m<sup>2</sup>)**

|                  | Red   | Yellow | Green  |
|------------------|-------|--------|--------|
| Arrow Indication | 5,500 | 11,000 | 11,000 |

LED signal module must comply with the following chromaticity requirements for 48 months when operating over a temperature range of -40 °C to +74 °C.

**Chromaticity Standards (CIE Chart)**

|        |  |
|--------|--|
| Red    | Y: not greater than 0.308, or less than 0.998 - x                                      |
| Yellow | Y: not less than 0.411, nor less than 0.995 - x, nor greater than 0.452                |
| Green  | Y: not less than 0.506 - 0.519x, nor less than 0.150 + 1.068x, nor more than 0.730 - x |

LED signal module must operate:

1. At a frequency of 60 Hz ± 3 Hz, over a voltage range from 95 V(ac) to 135 V(ac), without perceptible flicker to the unaided eye. Fluctuations of line voltage must have no visible effect on luminous intensity of the indications. Rated voltage for measurements must be 120 V(ac).
2. Compatible with currently used controller assemblies, including solid state load switches, flashers, and conflict monitors. Comply with TEES Chapters 3 and 6. If a 20 mA alternating current or less is applied to the unit, the voltage read across the 2 leads must be 15 V(ac) or less.

Wiring and terminal block must comply with Section 13.02 of ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads." Electrical connection for each Type 1 LED signal module must be 2 secured, color-coded, 1-meter long, 600 V(ac), 20 AWG minimum stranded jacketed copper wires. Wires must comply with NEC, rated for service at +105 °C.

LED signal module on-board circuitry must:

1. Include voltage surge protection to withstand high-repetition noise transients. The voltage surge protection must comply with NEMA Standard TS2, Section 2.1.6.
2. Comply with FCC, Title 47, SubPart B, Section 15 regulations for Class A emission limits for electronic noise.

LED signal module must provide a power factor of 0.90 or greater.

Total harmonic distortion from current and voltage induced into an alternating current power line by LED signal module must not exceed 20 percent at an operating temperature of 25 °C.

When power is applied to LED signal module, light emission must occur within 90 ms.

### **10-3.16 BATTERY BACKUP SYSTEM**

#### **GENERAL**

##### **Summary**

This work includes installing battery backup system (BBS). Comply with TEES.

The State will furnish BBS components as listed in "Materials" of these special provisions.

You must furnish the external cabinet and batteries.

##### **Submittals**

Before shipping external cabinets to the jobsite, submit material list including contract number, cabinet serial numbers, and contact information to the Transportation Laboratory.

Submit a Certificate of Compliance for each external cabinet and batteries to the Engineer under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

##### **Quality Control and Assurance**

The State may test the cabinets.

##### **Functional Testing**

After complete installation, BBS functional test must be performed. Test for 30 minutes of continuous, satisfactory operation with utility power turned off. Perform test in the presence of the Engineer.

##### **Warranty**

Batteries must be warranted by the manufacturer to operate within a temperature range of -25 °C to +74 °C for 2 years.

Batteries must have a written warranty against defects in materials and workmanship from the manufacturer prorated for a period of 60 months after installation. You must provide the Engineer with all warranty documentation before installation. Replacement batteries must be available within 5 business days after receipt of failed batteries at no cost to the State except the cost of shipping the failed batteries. Replacement batteries must be delivered to Caltrans Maintenance Electrical Shop at Department of Transportation Maintenance Yard, 7310 East Bandini Boulevard, Commerce, CA 90040.

#### **MATERIALS**

Batteries must:

1. Be deep cycle, sealed prismatic, lead-calcium-based, absorbed-glass mat and valve-regulated lead acid (AGM/VRLA) type
2. Have voltage rating of 12 V
3. Be group size 24
4. Be commercially available and stocked locally
5. Have a carrying handle
6. Be marked with date code, maximum recharge data, and recharge cycles
7. Have 2 top-mounted, threaded, stud posts that include all washers and nuts required for attaching 9.5-mm ring lugs of a State-furnished BBS battery harness
8. Include rubber insulating protective covers for protecting the lugs, posts, and wiring - red for positive terminal and black for negative terminal
9. Be new and fully-charged when furnished
10. Be free from damage or deformities

External cabinet must be one listed on the Pre-Qualified Products List at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/](http://www.dot.ca.gov/hq/esc/approved_products_list/)

External cabinet must be capable of housing:

1. Four batteries
2. Inverter/charger unit
3. Power transfer relay
4. Manually-operated bypass switch
5. Required control panels
6. Wiring and harnesses

Dimensions and details for the external cabinet, for attaching the external cabinet to the Model 332A cabinet, and for wiring the State-furnished equipment will be available in an information handout as described in "Project Information" of these special provisions.

The following details must comply with Section 86-3.04, "Controller Cabinets," of the Standard Specifications and TEES:

1. Door construction, including material, thickness, coating, and welds
2. Frame
3. Door seals
4. Continuous stainless steel piano hinge or 4 leaves with 2 bolts on each side of each leaf, used to connect the door to external cabinet
5. Padlock clasp or latch and lock mechanism

The external cabinet must be ventilated by using louvered vents, filter, and a thermostatically controlled fan. Fan must be AC-operated from the same line output as the Model 332A cabinet. A 2-position terminal block must be provided on the fan panel, along with 3 meters of connected hookup wire.

The external cabinet surface must be anodized aluminum. Anti-graffiti paint must not be used.

The external cabinet must include all bolts, washers, nuts, and cabinet-to-cabinet coupler fittings necessary for mounting it to the Model 332A cabinet.

Fasteners for the external cabinet must include:

1. 8 cabinet mounting bolts that are 18-8 stainless steel hex head, fully-threaded, and 9.5 mm – 16 x 25.4 mm
2. 2 washers per bolt designed for 9.5-mm bolt and are 18-8 stainless steel 25.4-mm OD round flat type
3. K-lock nut per bolt: K-lock washer that is 18-8 stainless steel and hex-nut

External cabinet to Model 332A cabinet couplings must include a conduit for power connections between the 2 cabinets. Couplings must include:

1. 51-mm nylon-insulated steel chase nipple
2. 51-mm sealing, steel locknut
3. 51-mm nylon-insulated steel bushing

## **CONSTRUCTION**

Mount external cabinet to either the left or right side of Model 332A cabinet. The typical side-mounting location of external cabinet is flush with the bottom of the Model 332A cabinet and approximately equidistant from the front and rear door edges.

## **MEASUREMENT AND PAYMENT**

Full compensation for assembling and installing battery backup system is included in the contract lump sum price paid for modify signal and lighting. and no separate payment will be made therefor.

## **10-3.17 LIGHT EMITTING DIODE PEDESTRIAN SIGNAL FACE MODULES**

### **GENERAL**

#### **Summary**

This work includes installing LED pedestrian signal face (PSF) module into standard Type A pedestrian signal housing.

#### **Submittals**

Before shipping LED PSF modules to job site, submit the following to the Transportation Laboratory:

1. Delivery form including district number, EA, and contact information
2. List containing all LED PSF module serial numbers anticipated for use
3. LED PSF modules

#### **Quality Control and Assurance**

Module must be one listed on the Pre-Qualified Products List for LED traffic signals at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list](http://www.dot.ca.gov/hq/esc/approved_products_list)

The State will test LED PSF module shipments as specified in ANSI/ASQ Z1.4.. Testing will be completed within 30 days of delivery to the Transportation Laboratory. LED PSF modules tested or submitted for testing must be representative of typical production units. LED PSF modules will be tested as specified in California Test 606. All parameters of the specification may be tested on the modules.

Delays resulting from submittal of non-compliant materials do not relieve you from executing the contract within the allotted time. Non-compliant materials will be rejected. You must resubmit new LED for retesting and pick up the failed units within 7 days of notification. You must provide new LED PSF modules and allow a minimum of 30 days for the retest. You must pay for all shipping and handling costs related to testing and retesting. Delays resulting from resubmittal and retesting are your responsibility and no extra time will be allowed.

After successful testing, you must pick up the tested LED PSF modules from the Transportation Laboratory and deliver to the job site.

#### **Warranty**

The manufacturer must provide a written warranty against defects in materials and workmanship for LED PSF modules for a minimum period of 48 months after installation of LED PSF modules. Replacement LED PSF modules must be provided within 15 days after receipt of failed LED PSF modules at your expense. The State pays for shipping the failed modules to you. All warranty documentation must be submitted to the Engineer before installation. Replacement LED PSF modules must be delivered to State Maintenance Electrical Shop at Department of Transportation Maintenance Yard, 7310 East Bandini Boulevard, Commerce, CA 90040.

### **MATERIALS**

LED PSF module must:

1. Be from the same manufacturer.
2. Be installed in standard Type A pedestrian signal housing, "UPRAISED HAND" and "WALKING PERSON." Do not include reflectors.
3. Use LED as the light source.
4. Be designed to mount behind or replace face plates of standard Type A housing as specified in ITE publication, Equipment and Material Standards, Chapter 3, "Pedestrian Traffic Control Signal Indications" and the "California MUTCD."
5. Have a minimum power consumption of 10 W.
6. Use required color and be ultra bright type rated for 100,000 hours of continuous operation from -40 °C to +74 °C.
7. Be able to replace signal lamp optical units and pedestrian signal faces with both LED and incandescent light sources.
8. Fit into pedestrian signal section housings without modifications to the housing. The housing must comply with ITE publication, Equipment and Materials Standards, Chapter 3, "Pedestrian Traffic Control Signal Heads."
9. Be a single, self-contained device, not requiring on-site assembly for installation into standard Type A housing.
10. Have the following information permanently marked on the back of module:

- 10.1. Manufacturer's name
- 10.2. Trademark
- 10.3. Model number
- 10.4. Serial number
- 10.5. Lot number
- 10.6. Month and year of manufacture
- 10.7. Required operating characteristics, as follows:

- 10.7.1. Rated voltage
- 10.7.2. Power consumption
- 10.7.3. Volt-ampere (VA)
- 10.7.4. Power factor

11. Have prominent and permanent vertical markings for accurate indexing and orientation within the signal housing if a specific mounting orientation is required. Markings must include an up arrow, or the word "UP" or "TOP." Marking must be a minimum of 25-mm diameter.

Circuit board and power supply must be contained inside the LED PSF modules. Circuit board must comply with Chapter 1, Section 6 of TEES published by the Department.

Individual LEDs must be wired so catastrophic loss or failure of 1 LED will not result in loss of more than 5 percent of the PSF module light output. Failure of an individual LED in a string must not result in the loss of entire string or other indication.

LEDs must be evenly distributed in each indication. Do not use outline forms.

No special tools for installation are allowed.

Installation of the LED PSF module into pedestrian signal face must require only removal of lenses, reflectors, lamps, and existing LED modules.

Power supply for LED PSF module must be integral to the module. Power supply for each symbol must be isolated to avoid turn-on conflict.

Assembly and manufacturing processes for LED PSF module must assure that all internal components are adequately supported to withstand mechanical shock and vibration from high winds and other sources.

Material used for LED PSF module must comply with ASTM D 3935.

Enclosures containing either the power supply or electronic components of LED PSF module, except lenses, must be made of UL94VO flame-retardant material.

Color of "UPRAISED HAND" symbol must be portland orange.

Color of "WALKING PERSON" symbol must be lunar white.

Each symbol must not be less than 250 mm high and 165 mm wide. Uniformity ratio of illuminated symbols must not exceed 4 to 1 between highest and lowest luminance areas. Symbols must comply with ITE publication, Equipment and Material Standards, Chapter 3, "Pedestrian Traffic Control Signal Indications," and the "California MUTCD."

LED PSF module must maintain an average luminance value over 48 months of continuous use in signal operation for a temperature range of -40 °C to +74 °C. In addition, LED PSF modules must meet or exceed the following luminance values upon initial testing at 25 °C.

**Luminance Values**

| PSF module     | Luminance               |
|----------------|-------------------------|
| UPRAISED HAND  | 3,750 cd/m <sup>2</sup> |
| WALKING PERSON | 5,300 cd/m <sup>2</sup> |

Color output of LED PSF module must comply with chromaticity requirements in Section 5.3 of ITE publication, Equipment and Material Standards, Chapter 3, "Pedestrian Traffic Control Signal Indications."

Measured chromaticity coordinates of LED PSF module must comply with the following chromaticity requirements for 48 months when operating over a temperature range of -40 C to +74 °C.

**Chromaticity Standards (CIE Chart)**

|                                    |  |
|------------------------------------|--|
| UPRAISED HAND<br>(portland orange) | Not greater than 0.390, nor less than 0.331, nor less than 0.997-X   |
| WALKING PERSON<br>(lunar white)    | X: not less than 0.280, nor greater than 0.320<br>Y: not less than 1.055*X - 0.0128, nor greater than 1.055*X + 0.0072 |

LED PSF module maximum power consumption must not exceed the following values:

**Power Consumption Requirements**

| PSF module     | Power Consumption<br>@ 24 °C | Power Consumption<br>@ 74 °C |
|----------------|------------------------------|------------------------------|
| UPRAISED HAND  | 10.0 W                       | 12.0 W                       |
| WALKING PERSON | 9.0 W                        | 12.0 W                       |

Wiring and terminal block must comply with Section 13.02 of ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads." The LED PSF module must be supplied with spade lugs and 3 secured, color-coded, 1 meter long, 600 V(ac), 20 AWG minimum stranded jacketed copper wires. Wires must comply with NEC, rated for service at +105 °C.

LED PSF module must operate:

1. At a frequency of 60 Hz ± 3 Hz over a voltage range from 95 V(ac) to 135 V(ac) without perceptible flicker to the unaided eye. Fluctuations of line voltage must have no visible effect on luminous intensity of the indications. Rated voltage for measurements must be 120 V(ac).
2. Compatible with currently used State controller assemblies including solid state load switches, flashers, and conflict monitors. Comply with TEES Chapters 3 and 6. If a 20 ma alternating current or less is applied to the unit, the voltage read across the 2 leads must be 15 V(ac) or less.

LED PSF module on-board circuitry must:

1. Include voltage surge protection to withstand high-repetition noise transients. The voltage surge protection must comply with NEMA Standard TS2, Section 2.1.6.
2. Comply with FCC, Title 47, SubPart B, Section 15 regulations for Class A emission limits for electronic noise.

LED PSF module must provide a power factor of 0.90 or greater.

Total harmonic distortion from current and voltage induced into an alternating current power line by LED PSF module must not exceed 20 percent at an operating temperature of 2 °C.

The LED PSF module circuitry must prevent perceptible light emission to the unaided eye when a voltage, 50 V(ac) or less is applied to the unit.

When power is applied to LED PSF module, light emission must occur within 90 ms.

The "UPRAISED HAND" and "WALKING PERSON" symbol indications must be electrically isolated from each other. Sharing a power supply or interconnect circuitry between the 2 indications is not allowed.

**10-3.18 DETECTORS**

Loop detector sensor units will be State-furnished in conformance with the provisions in "Materials" of these special provisions.

Loop detector lead-in cable shall be Type B.

For Type E detector loops, sides of the slot shall be vertical and the minimum radius of the slot entering and leaving the circular part of the loop shall be 40 mm. Slot width shall be a maximum of 16 mm. Loop wire for circular loops shall be Type 2. Slots of circular loops shall be filled with elastomeric sealant or hot melt rubberized asphalt sealant.

The depth of loop sealant above the top of the uppermost loop wire in the sawed slots shall be 50 mm, minimum.

**10-3.19 LUMINAIRES**

Ballasts shall be the lag regulator type.

**10-3.20 SIGN LIGHTING FIXTURES-INDUCTION**

Induction sign lighting fixtures shall conform to the provisions for mercury sign lighting fixtures in Section 86-6.05, "Sign Lighting Fixtures-Mercury," of the Standard Specifications and these special provisions.

Each fixture shall consist of a housing with door, a reflector, refractor or a lens, a lamp, a power coupler, a high frequency generator and a fuse block.

Fixtures shall have a minimum average rating of 60 000 hours. Fixtures shall be for a wattage of 87 W, 120/240 V(ac). The power factor of the fixtures shall be greater than 90 percent and the total harmonic distortion shall be less than 10 percent. Fixtures shall be Underwriter's Laboratories (UL) approved for wet locations and be Federal Communications Commission (FCC) Class A listed.

The mass of the fixture shall not exceed 20 kg. The manufacturer's brand name, trademark, model number, serial number and date of manufacture shall be located on the packaged assembly and permanently marked on the outside and inside of the housing.

## **MATERIALS**

### **Mounting Assembly**

The mounting assembly may be either cast aluminum, hot-dip galvanized steel plate or steel plate that has been galvanized and finished with a polymeric coating system or the same finish that is used for the housing.

### **Housing**

Housings shall have a door designed to hold a refractor or lens. Housing doors shall be designed to be opened without the use of tools. Housings and doors shall have a powder coat or polyester paint finish of a gray color resembling unfinished fabricated aluminum.

### **Reflector**

Reflectors shall be designed to be removed as a unit that includes the lamp and power coupler.

### **Refractor**

Refractors or lenses shall have smooth exteriors. Lenses shall be flat or convex. Convex lenses shall be made from heat resistant, high-impact resistant, tempered glass.

Convex lenses shall be designed or shielded so that no fixture luminance is visible when the fixture is approached directly from the rear and the viewing level is the bottom of the fixture. When a shield is used it shall be an integral part of the door casting.

### **Lamp**

Each fixture shall be furnished with a 85-W induction lamp. Interior lamp walls shall be fluorescent phosphor coated. Lamp light output shall be at least 70 percent at 60 000 hours. Lamps shall have a minimum color-rendering index of 80. Lamps shall be rated at a color temperature of 4000 K. Lamps shall be removable without the use of tools.

### **Power Coupler**

Power couplers shall consist of a construction base with antenna, heat sink and electrical connection cable. The power coupler shall be designed so that it can be removed with common hand tools.

### **High Frequency Generator**

High frequency generators shall start and operate lamps at an ambient temperature of -25 °C or greater for the rated life of the lamp.

Generator output frequency shall be 2.65 MHz  $\pm$  10 percent. The generator radio frequency interference shall meet the requirements of the Federal Communications Commission Title 47, Part 18, regulations concerning harmful interference.

High frequency generators shall operate continuously at ambient air temperatures from -25 °C to +25 °C without reduction in generator life. High frequency generators shall have a design life of at least 100 000 hours at 55 °C.

High frequency generators shall be capable of being replaced with common hand tools. Conductor terminals shall be identified as to the component terminal to which they connect.

High frequency generators shall be mounted to use the fixture upon which they are mounted as a heat sink.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and a copy of the high frequency generator test methods and results shall be submitted by the manufacturer with each lot of fixtures. The certificate shall state that the high frequency generators meet the requirements of this section and the generator specifications of the lamp manufacturer.

## **10-3.21 PHOTOELECTRIC CONTROLS**

Contactors shall be the mechanical armature type.

## **10-3.22 MODIFY COMMUNICATION SYSTEM**

Modify communication system at various locations shall consist of, but not limited to:

- A. Removing, relocating and disposing pull boxes, and conduits, of various sizes and types,
- B. Installing:

1. Conduits, conductors and cables of various sizes, types and installation methods,
  2. Communication pull boxes and installation methods,
  3. Fiber optic splice closures and twisted pair splice closures inside existing splice vault or pull boxes of various sizes and types, and
  4. Other required incidental equipment,
  - 5 Twisted pair cable
- C. Installing, and connecting existing or new conductors of various sizes, types and installation methods, including, but not limited to, conductors, and singlemode fiber optic cables (12SMFO, 24SMFO and 48SMFO) cables, and incidentals to make the installed or connected equipment fully operational, complete in place, as shown on the plans, and as directed by the Engineer.

### **TWISTED PAIR CABLE**

Twisted pair cable shall be installed in the configurations shown on the plans and conform to the provisions in Section 86-2, "Materials and Installation," of the Standard Specifications, and as specified in these special provisions and the following requirements.

Twisted pair cables shall meet the requirements of Rural Utility Service (RUS) Bulletin 1753F-205 (PE-39) and the following:

- A. Conductors shall consist of a solid wire of plain annealed high conductivity copper, smoothly drawn, circular in section, uniform in quality, have a conductor size number 22 AWG and shall be insulated with colored, high density polyethylene jackets.
- B. Insulated conductors shall be uniformly twisted to form pairs with non-hygroscopic dielectric tape shall be wrapped around insulated pairs . The twisted length of pairs shall vary to minimize cross talk. A laid up core shall be wrapped with aluminum tape and bonded with an overlap to provide 100 percent shielding. Black, high molecular weight, medium or low density, polyethylene jackets shall be extruded over shields. Filling compound materials used in cables shall not support galvanic action.

Cables shall be color-coded using the RUS standard color code.

### **Packing**

Cables shall be supplied on reels. Reels shall have the following information labeled:

- A. Customer.
- B. Customer order number.
- C. Reel number.
- D. Destination.
- E. Ship date.
- F. Manufactured date.
- G. Manufacturer's name.
- H. Cable code.
- I. Length of cable.
- J. Manufacturers test Data

Replace damaged cable during installation at your expense.

### **Installation**

Install cables in conduits. Conduit ends must have rough edges smoothed to prevent scraping cables. Apply manufacturer's recommended lubricant to cables to reduce friction between cables and conduits. Protect exposed cable ends from moisture ingress.

Do not stress the cable beyond the manufacturer's minimum bending radius. Dynamometers shall be used to measure installation tension and tension-limiting devices shall be used to prevent exceeding the manufacturer's maximum pulling tension specification.

Provide a cable with a minimum length of 1.0 m at pull boxes. Train the cables to splice vault walls, tied with nylon ties and labeled with vinyl marking bands.

Provide a minimum 4m slack for unspliced cables at splice vaults.

Seal conduit entrances at pull boxes, vaults and cabinets with conduit sealing compound following installation of cables in conduits. Maintain the pair count and RUS color code during splicing. Identify cables and pair counts with cable markers. Field splices shall be made in twisted pair splice closures located in pull boxes. Secure cables in place within pull boxes and cabinets.

### **Testing**

Testing shall be in conformance with the provisions of Section 86-2.14, "Testing," of the Standard Specifications and these special provisions.

Test cables at the factory to ensure the cable complies with the manufacturer's specifications. Record reel numbers from which cables came, identification of pairs measured, and results of continuity and insulation tests. Half of twisted wire pairs in cable reels shall be tested for insulation breakdown and continuity prior to installation in conduits.

Measure and record the continuity and insulation resistance of cable pairs in individual cable length after installation and submit the results to the Engineer.

The Contractor shall arrange, at the Contractor's expense, to have a technician qualified to work on traffic signal and communication system materials and equipment present at the time these materials and equipment are installed, modified, connected, or reconnected.

### **COMMUNICATION CONDUIT**

Communication conduit shall conform to the details shown on the plans, the provisions in "Conduit" of these special provisions, and the following requirements.

Conduit shall enter splice vaults and communication pull boxes through knockouts. Conduits entering ends of communication pull boxes shall be vertically and horizontally aligned with conduits at the opposite end of communication pull boxes. Conduit ends shall not extend beyond interior wall of splice vaults and communication pull boxes. Space around conduits through end walls of splice vaults and communication pull boxes shall be filled with minor concrete cement mortar conforming to the provisions in Section 51-1.135, "Mortar," of the Standard Specifications. Conduit bodies or communication pull boxes shall not be used in lieu of specified bends to change the direction of communication conduit runs, except where specified.

Bends shall not be placed in sections of conduit in excess of those indicated on the plans without the approval of the Engineer. The total degrees of bending in a section of conduit between splice vaults and communication pull boxes shall not exceed a total of 180 degrees, except where specified.

Changes in indicated conduit bends may be made to suit field conditions if the change reduces the degree of bend or increases the radius of bend. The angle of the bend shall not be decreased without the approval of the Engineer.

Minimum bending radius for Size 78, and Size 103 communication conduits shall be, 915 mm, and 1220 mm, respectively. Bends greater than 22 degrees shall be factory bends and bends greater than 45 degrees shall be Type 1 with necessary adapters.

Deflections of communication conduit shall not exceed 83.3 mm/m when avoiding obstructions. Conduit from typical trench sections shall not deflect by more than 83.3 mm/m from the alignment preceding or following communication pull boxes and splice vaults.

Where edge drains are in the path of conduit routing, the Contractor shall first locate edge drains, then install conduit maintaining a minimum depth of ~~600~~ mm. If an edge drain is damaged by the Contractor's work, repairs shall be at the Contractor's expense.

Any changes to conduit routing shall be approved by the Engineer.

Conduit adjacent to overcrossings or bridge foundations shall be trenched and installed in shoulders as close as possible to the edge of traveled way.

New communication conduits shall not terminate in power pull boxes.

### **SIZE 25 INNERDUCT**

Innerducts shall be installed to protect fiber optic cables. Separate innerducts shall be installed for each fiber optic cable along communication mainlines, unless otherwise shown on the plans,

Yellow shall be used for the 24SMFO and 48SMFO fiber optic cables used for video/data and contrasting colors approved by the Engineer for the 12SMFO for video distribution. Exteriors of innerducts shall be marked with sequential measurement markings each meter.

Innerduct must be installed using manufacturer's recommended practices using cable pulling lubricants recommended by the innerduct manufacturer and pull ropes conforming to the requirements in Section 86-2.05C, "Installation," of the Standard Specifications. If innerduct is installed with adjacent cables in the same conduit, innerducts and cables must be installed together in one operation. Innerducts must be installed in continuous runs between communication pull boxes and splice vaults without splices or joints.

Ends shall be smooth to prevent scraping of cables. Dynamometers shall be used to record installation tensions and tension limiting devices shall be used to prevent exceeding maximum pulling tensions during installation. Breakaway devices shall be used to limit pulling tensions. One device shall be placed in series with every element rated for less than maximum pulling tensions of that element. Innerducts shall not be stressed beyond the minimum-bending radius allowed by the innerduct or fiber optic cable manufacturer.

Tension shall be set to the manufacturer's maximum limit. Maximum pulling tension shall be recorded for each innerduct run.

Immediately prior to installing cables, innerducts shall be blown out with compressed air until all foreign material is removed. After cables have been installed, ends of innerducts shall be sealed with an approved type of sealing compound.

**COLORED CEMENT BACKFILL**

Slurry cement backfill for installation of communication conduits that will contain fiber optic cables shall be a medium to dark, red or orange color. Concrete shall be pigmented by addition of commercial quality cement pigments to concrete mixes. Red or orange concrete pigment shall be LM Scofield Company; Orange Chromix Colorant; Davis Colors; or equal.

Excavation and slurry cement backfill shall conform to Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications. Slurry cement backfill shall reach initial set prior to placing reinforced concrete for approach slabs.

For trenches in pavement areas, the top 100-mm of slurry cement backfill shall be pigmented concrete.

The size of the aggregate shall not be larger than 9.5 mm.

**WARNING TAPE**

Warning tape shall be furnished, installed and placed in the trench over new conduits to receive reinstalled or new communication fiber optic conduit, as shown on the plans.

The warning tape shall be:

| DESCRIPTION               | PARAMETERS   |
|---------------------------|--|
| Thickness                 | not be less than 0.1 mm thick                                |
| Width                     | 150 mm   |
| Material                  | pigmented polyolefin film                                    |
| Tensile strength          | minimum of (2800 PSI) 300 N for 150 mm wide strip            |
| Elongation                | minimum of 500 percent elongation before breakage            |
| Printed Text height       | 19 mm  |
| Message background color  | bright orange color background                               |
| Message statement         | CAUTION: BURIED FIBER OPTIC CABLE - CALTRANS (213) 897-0340, |
| Message spacing intervals | approximately 1 meter  |

The printed warning shall not be removed by the normal handling and burial of the tape and must be rated to last the service life of the tape.

The construction of the warning tape shall be such that it will not delaminate when it is wet. It shall be resistant to insects, acid, alkaline and other corrosive elements in the soil.

**COMMUNICATION PULL BOXES**

Communication pull boxes shall comply with Section 86-2.07, "Traffic Pull Boxes," of the Standard Specifications and these special provisions.

Concrete placed around and under communication pull boxes shall contain a minimum of 325 kg of cement per cubic meter.

Steel covers shall be installed and bolted down.

Communication pull boxes shown on the plans in shoulders are shown for general location. The exact location shall be outside of paved shoulders and will be determined by the Engineer.

Additional communication pull boxes shall not be installed without the Engineer's written approval.

**TWISTED PAIR SPLICE CLOSURE**

Twisted pair splice closures shall be furnished in 305 mm and 610 mm sizes.

Closures shall be installed inside communications pull boxes or splice vaults for drops from twisted-pair trunk cables to equipment locations and at mid-span splices as shown on the plans.

Twisted-pair splice closures shall consist of neoprene sleeves secured with hose clamps.

Closures shall be mounted securely inside communications pull boxes or splice vaults and shall be properly grounded and cable sheaths bonded using bonding clamps. Trunk cables shall be identified as "IN" or "OUT" depending upon their location relative to splices (toward communications nodes or away from communications nodes). Tape collars shall be placed around the 2 trunk cables and drop cables at locations required by splice closures. Splice closures shall be fitted to splices and hose clamps tightened over cables.

Pairs of drop cables shall be spliced to designated pairs in trunk cables as indicated in twisted-pair splice tables. Splice conductors shall be crimped onto wires using manufacturer approved installation tools.

Splice kits shall contain hardware items, including, but is not limited to, closures, vinyl tape, bonding clamps, splice connectors, No. 22 AWG, insulated wire, spacer tapes and terminal lugs.

## **FIBER OPTIC CABLE**

Fiber optic cable shall conform to the details shown on the plans and these special provisions.

### **Definitions:**

The following definitions shall apply to fiber optics:

- A. Active Component Link Loss Budget - The difference between average transmitter launch power (in dBm) and receiver maximum sensitivity (in dBm).
- B. Backbone - Fiber cable that provides connections between the Transportation Management Center (TMC) and hubs, as well as between equipment rooms or buildings, and between hubs. The term is used interchangeably with "trunk" cable.
- C. Distribution Cable - Fiber cable that provides connections between hubs. Drop cables are typically spliced into distribution cables.
- D. Drop Cable - Fiber cable that provides connections between distribution cables to field elements. Typically these run from splice vaults to splice trays within field cabinets. Drop cables are usually short in length (less than 20 m) and are of the same construction as outside plant cable. "Breakout cable" is used interchangeably with drop cable.
- E. End-to-End Loss - The maximum permissible end-to-end system attenuation is the total loss in a given link. This loss could be actual measured loss or calculated using typical (or specified) values. This number will determine the amount of optical power (in dB) needed to meet the System Performance Margin.
- F. Fan Out Termination - Permits branching of fibers contained in optical cables into individual cables and can be done at field locations, allowing cables to be connectorized or terminated per system requirements. A kit provides pullout protection for individual bare fibers to support termination. It provides 3 layers of protection consisting of a Teflon inner tube, a dielectric strength member, and an outer protective PVC jacket. Fan out terminations shall not be used for more than 6 fibers. Use of a patch panel would be appropriate.
- G. F/O - Fiber optic.
- H. FOIP - Fiber optic inside plant cable.
- I. FOOP - Fiber optic outside plant cable.
- J. FOTP - Fiber optic test procedures as defined by TIA/EIA standards.
- K. Loose Tube Cable - Type of cable construction in which fibers are placed in buffer tubes to isolate them from outside forces (stress). A flooding compound or material is applied to the interstitial cable core to prevent water migration and penetration. This type of cable is primarily for outdoor applications.
- L. Mid-span Access Method - A procedure in which fibers from a single buffer tube are accessed and spliced to an adjoining cable without cutting unused fibers in buffer tubes, or disturbing remaining buffer tubes in cables.
- M. MMFO - Multimode Fiber Optic Cable.
- N. Optical Attenuator - An optical element that reduces the intensity of a signal passing through it.
- O. Patch Panel - A precision drilled metal or punched frame containing couplers used to mate 2 fiber optic connectors.
- P. Riser Cable - NEC approved cable installed in a riser (a vertical shaft in a building connecting floors).
- Q. SMFO - Singlemode Fiber Optic Cable.
- R. Splice - The permanent joining of 2 fiber ends using a fusion splicer.
- S. System Performance Margin - A calculation of the overall "End to End" permissible attenuation from the fiber optic transmitter (source) to the fiber optic receiver (detector). The system performance margin should be at least 6 dB. This includes the difference between the active component link loss budget, the passive cable attenuation (total fiber loss), and the total connector/splice loss.
- T. Tight Buffered, Non-Breakout Cable (Tight Buffer Cable) - Type of cable construction where glass fiber is tightly buffered (directly coated) with a protective thermoplastic coating to 900  $\mu\text{m}$  (compared to 250  $\mu\text{m}$  for loose tube fibers).

### Fiber optic outside plant cable

Fiber optic outside plant cable (FOOP) shall be dielectric, nongel filled or water-blocking material, duct type, with loose buffer tubes. Cables with singlemode fibers shall contain 12, 24 and 48 singlemode (SM) dual-window (1310 nm and 1550 nm) fibers. Optical fibers shall be contained within loose buffer tubes stranded around a dielectric central member. Aramid yarn or fiberglass shall be used as a primary strength member, and a polyethylene outside jacket shall provide protection.

Fiber optic (F/O) cable shall be from the same manufacturer who is regularly engaged in the production of fiber optic cables.

Cables shall be compliant with 7 CFR 1755.900 (RUS Bulletin 1753F-601), "RUS Specification for Filled Fiber Optic Cables."

| CABLE TYPE | DESCRIPTION |
|------------|-------------|
| E          | 12SMFO      |
| F          | 24SMFO      |
| H          | 48SMFO      |

### Fiber Characteristics

Optical fiber shall be glass and consist of a doped silica core surrounded by concentric silica cladding. Fibers in buffer tubes shall be usable fibers, and shall be free of surface imperfections and occlusions. Required fiber grade shall reflect the maximum individual fiber attenuation.

Coating shall be dual layered, UV cured acrylate, mechanically or chemically strippable without damaging fibers.

Cable shall comply with optical and mechanical requirements over an operating temperature range from -40 to +74 °C. Cable shall be tested in accordance with EIA-455-3A (FOTP-3), "Procedure to Measure Temperature Cycling Effects on Optical Fiber, Optical Cable, and Other Passive Fiber Optic Components." Change in attenuation at extreme operational temperatures (from -40 to +74 °C) for singlemode fiber shall not be greater than 0.20 dB/km, with 80 percent of measured values no greater than 0.10 dB/km. Singlemode fiber measurement shall be made at 1550 nm.

The attenuation specification for fibers shall be a maximum attenuation for each fiber over the entire operating temperature range of the cable.

Fibers within finished cables shall meet the following requirements:

| Fiber Characteristics Table   |  |
|---|--|
| Parameters  | Singlemode   |
| Type  | Step Index   |
| Core diameter   | 8.3 μm (nominal)   |
| Cladding diameter   | 125 μm ±1.0 μm   |
| Core to Cladding Offset   | ≤0.8 μm  |
| Coating Diameter  | 250 μm ±10 μm  |
| Cladding Non-circularity defined as:<br>[1-(min. cladding dia ÷max. cladding dia.)] x 100 | ≤ 1.0%   |
| Proof/Tensile Test  | 345 MPa, min.  |
| Attenuation: (-40°C to +74°C)<br>@1310 nm<br>@1550 nm                                     | ≤0.4 dB/km<br>≤0.3 dB/km   |
| Attenuation at the Water Peak   | ≤2.1 dB/km @ 1383 ±3 nm  |
| Chromatic Dispersion:<br>Zero Dispersion Wavelength<br>Zero Dispersion Slope              | 1301.5 to 1321.5 nm<br>≤0.092 ps/(nm <sup>2</sup> *km)           |
| Maximum Dispersion:   | ≤3.3 ps/(nm*km) for 1285 – 1330 nm<br><18 ps/(nm*km) for 1550 nm |
| Cut-Off Wavelength  | <1260 nm   |
| Numerical Aperture (measured in Accordance with EIA-455-47)                               | N/A  |
| Mode Field Diameter (Petermann II)  | 9.3 ±0.5 μm at 1310 nm<br>10.5 ±1.0 μm at 1550 nm                |

### Color Coding

In buffer tubes containing multiple fibers, length of fiber shall be distinguishable from others in the same tube by means of color-coding according to the following:

|                |                 |
|----------------|-----------------|
| 1. Blue (BL)   | 7. Red (RD)     |
| 2. Orange (OR) | 8. Black (BK)   |
| 3. Green (GR)  | 9. Yellow (YL)  |
| 4. Brown (BR)  | 10. Violet (VL) |
| 5. Slate (SL)  | 11. Rose (RS)   |
| 6. White (WT)  | 12. Aqua (AQ)   |

Buffer tubes containing fibers shall be color-coded with distinct and recognizable colors according to the table listed above for fibers.

Colors shall be in accordance with the Munsell color shades (ASTM D 1535) and shall meet EIA/TIA-598 "Color Coding of Fiber Optic Cables."

Color formulations shall be compatible with fiber coatings and buffer tube filling compounds, and be heat stable. Colors shall not fade or smear or be susceptible to migration and shall not affect transmission characteristics of optical fibers and shall not cause fibers to stick together.

### Cable Construction

Fiber optic cable shall consist of, but not limited to, the following components:

- A. Buffer tubes
- B. Central member
- C. Filler rods
- D. Stranding
- E. Core and cable flooding
- F. Tensile strength member
- G. Ripcord
- H. Outer jacket

### Buffer Tubes

Clearance shall be provided in loose buffer tubes between fibers and insides of tubes to allow for expansion without constraining fibers. Fibers shall be loose or suspended within tubes and shall not adhere to insides of buffer tubes. Buffer tubes shall contain a maximum of 12 fibers.

Loose buffer tubes shall be extruded from material having a coefficient of friction sufficiently low to allow free movement of fibers. Material shall be tough and abrasion resistant to provide protection of fibers and permit safe intentional "scoring" and breakout without damaging or degrading internal fibers.

Buffer tube filling compound shall be a homogeneous hydrocarbon-based gel with anti-oxidant additives used to prevent water intrusion and migration. Filling compound shall be non-toxic and dermatologically safe to exposed skin, chemically and mechanically compatible with cable components, non-nutritive to fungus, non-hygroscopic and electrically non-conductive. Filling compound shall be free from dirt and foreign matter and shall be readily removable with conventional nontoxic solvents.

Buffer tubes shall be stranded around a central member by a method, such as the reverse oscillation stranding process, which will prevent stress on fibers when the cable jacket is placed under strain.

### Central Member

The central member shall be a glass reinforced plastic rod with similar expansion and contraction characteristics as the optical fibers and buffer tubes. A symmetrical linear overcoat of polyethylene may be applied to central members to achieve optimum diameter to ensure proper spacing between buffer tubes during stranding.

### Filler Rods

Fillers shall be included in cables to maintain symmetry of cable cross-sections. Filler rods shall be solid medium or high-density polyethylene. The diameter of filler rods shall be the same as the outer diameter of buffer tubes.

## **Stranding**

Completed buffer tubes shall be stranded around the central member using stranding methods, lay lengths and positioning so cables meet mechanical, environmental and performance specifications. A polyester binding shall be applied over stranded buffer tubes to hold them in place. Binders shall be applied with sufficient tension to secure buffer tubes to central members without crushing buffer tubes. Binders shall be non-hygroscopic, non-wicking (or rendered so by the flooding compound) and dielectric with low shrinkage.

## **Core and Cable Flooding**

Cable core interstices shall contain a water blocking material to prevent water ingress and migration. Water blocking material shall be a polyolefin based compound, which fills the cable core interstices, or an absorbent polymer, which fills voids and swells to block ingress of water. Flooding compound or material shall be homogeneous, non-hygroscopic, electrically non-conductive, non-nutritive to fungus, nontoxic, dermatologically safe, and compatible with other cable components.

## **Tensile Strength Member**

Tensile strength shall be provided by high tensile strength aramid yarns or fiberglass helically stranded evenly around cable cores and shall not adhere to other cable components.

## **Ripcord**

Cables shall contain at least one ripcord under the jacket for easy sheath removal.

## **Outer Jacket**

Jackets shall be free of holes, splits, and blisters and shall be medium or high-density polyethylene (PE), or medium density cross-linked polyethylene with minimum nominal jacket thickness of  $1 \text{ mm} \pm 0.076 \text{ mm}$ . Jacketing material shall be applied directly over tensile strength members and water blocking materials and shall not adhere to Aramid strength materials. Polyethylene shall contain carbon black and shall not promote fungus growth.

Jackets or sheaths shall be marked with the manufacturer's name, the words "Optical Cable", the number of fibers, "SM", year of manufacture, and sequential measurement markings every meter. Actual cable lengths shall be within  $-0/+1$  percent of length markings. Markings shall be a contrasting color to cable jackets. Heights of markings shall be  $2.5 \text{ mm} \pm 0.2 \text{ mm}$ .

## **General Cable Performance Specifications**

F/O cable shall withstand water penetration when tested with one meter static head or equivalent continuous pressure applied at one end of a one meter length of filled cable for one hour. No water shall leak through open cable ends. Testing shall be in accordance with EIA-455-82 (FOTP-82), "Fluid Penetration Test for Fluid-Blocked Fiber Optic Cable."

A representative sample of cable shall be tested in accordance with EIA/TIA-455-81 (FOTP-81), "Compound Flow (Drip) Test for Filled Fiber Optic Cable". No preconditioning period shall be conducted. Cables shall exhibit no flow (drip or leak) at  $70^\circ\text{C}$  as defined in the test method.

Crush resistance of finished F/O cables shall be  $220 \text{ N/cm}$  applied uniformly over the length of cables without showing evidence of cracking or splitting when tested in accordance with EIA-455-41 (FOTP-41), "Compressive Loading Resistance of Fiber Optic Cables". The average increase in attenuation for fibers shall be  $0.10 \text{ dB}$  at  $1550 \text{ nm}$  (singlemode) for a cable subjected to this load. Cables shall not exhibit measurable increase in attenuation after removal of load. Testing shall be in accordance with EIA-455-41 (FOTP-41), except that loads shall be applied at the rate of  $3 \text{ mm}$  to  $20 \text{ mm}$  per minute and maintained for 10 minutes.

Cables shall withstand 25 cycles of mechanical flexing at a rate of  $30 \pm 1$  cycles/minute. The average increase in attenuation for fibers shall be less than or equal to  $0.20 \text{ dB}$  at  $1550 \text{ nm}$  (singlemode) at the completion of testing. Outer cable jacket cracking or splitting observed under  $10\times$  magnification constitute failure. Testing shall be conducted in accordance with EIA-455-104 (FOTP-104), "Fiber Optic Cable Cyclic Flexing Test," with sheave diameters a maximum of 20 times the outside diameter of cables. Cables shall be tested in accordance with Test Conditions I and II of FOTP-104.

Cables shall withstand 20 impact cycles, with a total impact energy of  $5.9 \text{ N}\cdot\text{m}$ . Impact testing shall be conducted in accordance with TIA/EIA-455-25B (FOTP-25) "Impact Testing of Fiber Optic Cables and Cable Assemblies." The average increase in attenuation for fibers shall be  $<0.20 \text{ dB}$  at  $1550 \text{ nm}$  for singlemode fiber. Cables shall not exhibit evidence of cracking or splitting.

Finished cable shall withstand a tensile load of  $2700 \text{ N}$  without exhibiting an average increase in attenuation of greater than  $0.20 \text{ dB}$  (singlemode). Testing shall be conducted in accordance with EIA-455-33 (FOTP-33), "Fiber Optic Cable Tensile Loading and Bending Test." Load shall be applied for 30 minutes in Test Condition II of the EIA-455-33 (FOTP-33) procedure.

## Packaging and Shipping Requirements

Documentation of compliance to specifications shall be provided to the Engineer prior to ordering materials.

Attention is directed to "Fiber Optic Testing," of these special provisions.

Completed cables shall be packaged for shipment on reels. Cables shall be wrapped in weather and temperature resistant covering. Ends of cables shall be sealed to prevent ingress of moisture.

Ends of cables shall be securely fastened to reels to prevent cables from coming loose during transit. Four meters of cable on ends of cables shall be accessible for testing.

Cable reels shall have durable, weatherproof labels or tags showing the manufacturer's name, cable type, the actual length of cable on reels, the Contractor's name, the contract number, and the reel number. A shipping record shall be included in a weatherproof envelope showing the above information, including the date of manufacture, cable characteristics (size, attenuation, bandwidth, etc.), factory test results, cable identification number and other pertinent information.

Minimum hub diameter of reels shall be at least 30 times the diameter of the cable. F/O cable shall be in one continuous length per reel with no factory splices in fibers. Reels shall be marked to indicate the direction reels should be rolled to prevent loosening of cables.

Installation procedures and technical support information shall be furnished at the time of delivery.

## LABELING

### General

The Contractor shall label fiber optic cabling in a permanent consistent manner. Tags shall be material designed for long term permanent labeling of fiber optic cables. Metal tags shall be stainless steel with embossed lettering. Non-metal label materials shall be approved by the Engineer and marked with permanent ink. Labels shall be affixed to cables per the manufacturer's recommendations and shall not be affixed in a manner, which will cause damage to fibers. Handwritten labels will not be allowed.

### Label Identification

#### Labeling of Cables

Labeling of backbones, distribution and drop fiber optic cables shall conform to the following unique identification code elements:

| UNIQUE IDENTIFICATION CODE ELEMENTS<br>For Backbone, Distribution or Drop Cables |   |                         |
|--|---|-------------------------|
| DESCRIPTION  | CODE  | NUMBER OF<br>CHARACTERS |
| District   | District number   | 2                       |
| Cable Type   | Fiber:<br>S: Singlemode   | 1                       |
| Cable fiber Count  | Number of fibers<br>(Examples: 144 fibers)                                | 3                       |
| Route Number   | Hwy. Rte (Example: 005)   | 3                       |
| Begin Function   | S: Splice Vault   | 1                       |
| Begin Function Number  | Unique ID number corresponds to Begin<br>Function (Example: H02 [Hub 02]) | 2                       |
| End Function   | S: Splice Vault   | 1                       |
| End Function Number  | Unique ID number corresponds to Begin<br>Function (Example: H03 [Hub 03]) | 2                       |
| Unique Identifier  | XX: If 2 or more cables of the same count are<br>in the same run          | 2                       |
| TOTAL  |   | 17                      |

Cables shall display one unique identification, regardless of where the cable is viewed. The begin function and end function correspond to end points of cables. The order of the begin and end functions follow the hierarchy listed below, where the lowest number corresponding to the begin/end function is listed first.

| List of Hierarchy |     |                 |                |            |             |     |                |            |                                  |              |
|-------------------|-----|-----------------|----------------|------------|-------------|-----|----------------|------------|----------------------------------|--------------|
| 1                 | 2   | 3               | 4              | 5          | 6           | 7   | 8              | 9          | 10                               | 11           |
| TMC               | HUB | Video Node (VN) | Data Node (DN) | Cable Node | CCTV Camera | CMS | Traffic Signal | Ramp Meter | Traffic Monitoring/Count Station | Splice Vault |

A cable between the TMC and a HUB will have the TMC listed as the start function and the HUB as the end function. Between a CMS and a Splice Vault, the start function will be listed as the CMS, and so on. If a cable is connected between HUBs, the lowest number, will be listed as the start function.

A cable labeled 07S060010H02H0302 would contain the following information:

| District | Mode | # of fibers | Route | Begin | End | Unique ID |
|----------|------|-------------|-------|-------|-----|-----------|
| 07       | S    | 060         | 010   | H02   | H03 | 02        |

Example: 07S060010H02H0302

This cable is located in District 7, identified as a singlemode fiber optic cable containing 60 fibers, installed along Route 10, beginning in Hub 2, and ending in Hub 3, with unique ID of number 2. The implication for the unique ID is that there may be another 60 fiber optic cable between those hubs. This is an example for a backbone cable.

### Label Placement

Label placement shall be as following:

- A. Cables - Cables shall be labeled with the unique identification code element method at terminations, even if no connections or splices are made, and at splice vault entrances and exits.
- B. Cable to Cable Splices - Cable jackets entering splice closures shall be labeled in accordance with the identification method.
- C. Fiber - Fiber labels shall be placed next to connectors of individual fibers.
- E. Copper Cable Labels - Twisted-pair communications cables shall be labeled in accordance with the unique identification code element method.

### CABLE INSTALLATION

Cable installation shall be in conformance with the procedures specified by the cable manufacturer. Submit the manufacturer's recommended procedures for pulling fiber optic cable at least 20 working days prior to installing cable. Mechanical aids may be used if a tension measuring device, and break-away swivel are placed in tension to the end of cables. Tension in cables shall not exceed 2225 N or the manufacturer's recommended pulling tension, whichever is less.

Bend radius shall be a minimum of 20 times the outside diameter during installation. Cable grips for installing fiber optic cables shall have a ball bearing swivel to prevent cables from twisting during installation.

F/O cable shall be installed using a cable pulling lubricant recommended by the F/O cable manufacturer.

F/O cable shall be installed without splices except where allowed on the plans and shall be limited to one cable splice every 6.4 km if splice locations are not shown on the plans. Midspan access splices or FDU terminations shall involve fibers being spliced as shown on the plans. Cable splices shall be located in splice closures installed in splice vaults. A minimum of 20 m of slack shall be provided for F/O cables at splice vaults. Slack shall be divided equally on each side of F/O splice closures.

### SPLICING

Field splices shall be done in splice vaults, or cabinets, in splice trays housed in splice closures. Splices in cabinets shall be done in splice trays housed in FDUs.

## **FIBER OPTIC SPLICE CLOSURES**

F/O field splices shall be enclosed in splice closures, complete with splice organizer trays, brackets, clips, cable ties, seals and sealant, as needed. Splice closures shall be suitable for direct burial or pull box applications. Manufacturer's installation instructions shall be supplied to the Engineer prior to installation of splice closures. Location of splice closures shall be where a splice is required as shown on the plans, where designated by the Engineer, or described in these special provisions.

Fiber splices shall be fusion type unless otherwise specified. Mean splice loss shall not exceed 0.07 dB per splice and shall be obtained by measuring loss through splices in both directions and averaging the resultant values.

Splices shall be protected with a metal reinforced thermal shrink sleeve.

The mid-span access method shall be used to access individual fibers in cables for splicing to other cables. Cable manufacturers recommended procedures and approved tools shall be used for mid-span access. Only fibers to be spliced shall be cut. Buffer tubes and individual fibers not being used in mid-span access shall not be modified or damaged.

Individual fibers shall be looped one full turn within splice trays to avoid micro bending. A 50 mm minimum bend radius shall be maintained during installation and after final assembly in optical fiber splice trays. Bare fibers shall be individually restrained in splice trays. Optical fibers in buffer tubes and placement of bare optical fibers in splice trays shall not produce tensile force on optical fibers.

The Contractor will be allowed to splice a total of 30 percent of fibers to repair damage done during mid-span access splicing without penalty. The Engineer will assess a fine of \$500.00 for each additional and unplanned splice. A single fiber may not have more than 3 unplanned splices. If a fiber requires more than 3 unplanned splices, the entire length of F/O cable shall be replaced at the Contractor's expense.

Fiber Optic splice closures shall conform to the following specifications:

- A. Non-filled thermoplastic case
- B. Rodent proof, water proof, re-enterable and moisture proof
- C. Cable entry ports shall accommodate 10-mm to 25-mm diameter cables
- D. Multiple grounding straps
- E. Accommodate a minimum of 6 splice trays
- F. Suitable for "butt" or "through" cable entry configurations
- G. Place no stress on finished splices within splice trays

Splice closures shall be bolted to side walls of splice vaults.

The Contractor shall verify the quality of splices prior to sealing splice closures. Splice closures shall not be sealed until link testing is performed and is approved by the Engineer.

## **SPLICE TRAYS**

Splice trays shall accommodate a minimum of 12 fusion splices and shall allow a minimum bend radius of 45 mm. Individual fibers shall be looped one full turn within splice trays to allow for future splicing. Stress shall not be applied on fibers when located in final position. Buffer tubes shall be secured near entrances of splice trays. Splice tray covers may be transparent.

Splice trays shall conform to the following:

- A. Accommodate up to 24 fusion splices
- B. Place no stress on completed splices within the tray
- C. Stackable with a snap-on hinge cover
- D. Buffer tubes securable with channel straps
- E. Accommodate a fusion splice with the addition of an alternative splice holder
- F. Be labeled after splicing is completed.

Only one splice tray may be secured by a bolt through the center of the tray in fiber termination units. Multiple trays shall be securely held in place per the manufacturer's recommendation.

## **PASSIVE CABLE ASSEMBLIES AND COMPONENTS**

F/O cable assemblies and components shall be compatible components, manufactured by a company regularly engaged in the production of material for the fiber optic industry. Components or assemblies shall be best quality, non-corroding-  
The cable assemblies and components manufacturer shall be ISO 9001 registered.

## **FIBER OPTIC CABLE TERMINATIONS**

### **General**

Cables shall continue within conduit to the designated cable termination point. Components shall be the size and type required for the specified fiber. Fiber optic cable terminations may take place in several locations such as data node, traffic signal and CCTV camera locations.

### **Cable Termination**

When applicable, moisture-blocking gel shall be removed from exposed buffer tubes and fibers. The transition from the buffer tube to the bundle of jacketed fibers shall be treated by an accepted procedure for sleeve tubing, shrink tube and silicone blocking of the transition to prevent future gel leak. Manufacturer directions shall be followed to ensure gel will not flow from ends of buffer tubes throughout the specified temperature range. Individual fibers shall be stripped and prepared for splicing.

Factory terminated pigtailed shall be spliced and placed in splice trays.

### **Fiber Optic Cable Assemblies and Pigtails**

Cables for cable assemblies shall be made of fiber meeting the performance requirements of these special provisions for the F/O cable being connected.

Pigtails shall be of simplex (one fiber) construction, in 900- $\mu\text{m}$  tight buffer form, surrounded by aramid yarn for strength, with a PVC jacket with manufacturer's identification information, and a nominal outer jacket diameter of 3 mm. Singlemode simplex cable jackets shall be yellow. Pigtails shall be factory terminated and tested and at least one meter in length.

Jumpers may be of simplex or duplex design. Duplex jumpers shall be duplex round cable construction and shall not have zipcord (Siamese) construction. Jumpers shall be at least 2 m in length.

Connectors shall be ceramic ferrule ST type for SMFO. Indoor ST connector body housings shall be nickel-plated zinc or glass reinforced polymer construction. Outdoor ST connector body housings shall be glass reinforced polymer.

Associated couplers shall be the same material as connector housings.

F/O connectors shall be the 2.5 mm connector ferrule type with Zirconia Ceramic material with a PC (Physical Contact) pre-radiused tip.

ST connector operating temperature range shall be from from  $-40^{\circ}\text{C}$  to  $+74^{\circ}\text{C}$ . Insertion loss shall not exceed 0.4 dB for singlemode and return reflection loss on singlemode connectors shall be at least -35 dB. Connection durability shall be less than a 0.2 dB change per 500 mating cycles per EIA-455-21A (FOTP-21). Terminations shall provide a minimum 222 N pull out strength. Factory test results shall be documented and submitted to the Engineer prior to installing connectors. Singlemode connectors shall have a yellow color on the body and boot.

Field terminations shall be limited to splicing of adjoining cable ends and cables to ST pigtails.

Connectors shall be factory-installed and tested.

Unmated connectors shall have protective caps installed.

Termination and distribution cable trays shall accommodate 12, 24 and 48 singlemode fiber optic cables and shall have sufficient tray areas for excess optical fiber storage with provisions to assure that optical fibers do not exceed a 51-mm bend radius. Termination and distribution cable tray assemblies shall include a designation strip for identification of 12, 24 and 48 singlemode optical fibers. Splice drawers shall include 2 splice trays with an individual splice tray capable of accommodating 24 fusion type splices. Splice drawers shall allow storage of excess lengths of optical fibers of fiber optic cables. Fiber distribution units shall be provided with cable clamps to secure fiber optic cables to the chassis.

All fibers shall be labeled in the splice tray with permanent vinyl markers. Fiber bonds shall also be labeled to identify the physical designation of each individual fiber strand

### **Installation**

Optical fibers shall be fusion spliced to the pigtails within splice trays.

Optical fibers shall be of appropriate lengths to allow future splicing with splice drawers and shall be appropriately identified. Splices shall be fusion type and shall be arranged within splice trays of fiber distribution units in accordance with the organizational design of splice trays. Appropriate protective coatings shall be applied to fusion splices.

## **FIBER OPTIC TESTING**

### **General**

Documentation of test results shall be provided to the Engineer within 2 working days after testing.

### **Factory Testing**

Documentation of compliance with fiber specifications as listed in the Fiber Characteristics Table shall be supplied by the original equipment manufacturer. . Copies of the results shall be attached to cable reels in waterproof pouches, and submitted to the Contractor and to the Engineer.

### **Arrival On Site**

Cables and reels shall be physically inspected on delivery and 100 percent of fibers shall be attenuation tested to confirm that cable meets requirements. Failure of a fiber in the cable shall be cause for rejection of the entire reel. Test results shall be recorded, dated, compared and filed with copies accompanying shipping reels in weatherproof envelopes. Attenuation deviations from shipping records of greater than 5 percent shall be brought to the attention of the Engineer. Cables shall not be installed until completion of testing and written approval of the Engineer. Copies of traces and test results shall be submitted to the Engineer. If test results are unsatisfactory, the reel of F/O cable shall be considered unacceptable and records corresponding to that reel of cable shall be marked accordingly. Unsatisfactory reels of cable shall be replaced with new reels of cable at the Contractor's expense. New reels of cable shall be tested to demonstrate acceptability. Copies of test results shall be submitted to the Engineer.

### **After Cable Installation**

Index matching gel will not be allowed in connectors during testing. After fiber optic cable has been pulled, but before breakout and termination, 100 percent of fibers shall be tested with an OTDR for attenuation. Test results shall be recorded, dated, compared, and filed with previous copies of these tests. Copies of traces and test results shall be submitted to the Engineer. If OTDR test results are unsatisfactory, the F/O cable segment of cable will be rejected. Unsatisfactory segments of cable shall be replaced with new segments, without additional splices, at the Contractor's expense. New cable segments shall be tested to demonstrate acceptability. Copies of test results shall be submitted to the Engineer.

### **System Cable Verification At Completion**

#### **Power Meter and Light Source**

At the conclusion of OTDR testing, 100 percent of fiber links shall be tested end-to-end with a power meter and light source, in accordance with EIA Optical Test Procedure 171 and in the same wavelengths specified for OTDR tests. Tests shall be conducted in one direction. As shown in Appendix A, the Insertion Loss (IC) shall be calculated. Test results shall be recorded, compared, and filed with the other recordings of the same links. Test results shall be submitted to the Engineer. These values shall be recorded in the Cable Verification Worksheet in Appendix A.

#### **OTDR Testing**

After passive cabling systems have been installed and are ready for activation, 100 percent of fibers shall be tested with OTDR for attenuation at wavelengths of 1310 nm and 1550 nm. OTDR testing shall be performed in both directions (bi-directional) on fibers. Test results shall be generated from software of test equipment, recorded, dated, compared and filed with previous copies. A hard copy printout and an electronic copy on a CD of traces and test results shall be submitted to the Engineer. The average of the 2 losses shall be calculated and recorded in the Cable Verification Worksheet in Appendix A. The OTDR shall be capable of recording and displaying anomalies of at least 0.02 dB. Connector losses shall be displayed on OTDR traces.

#### **Cable Verification Worksheet**

The Cable Verification Worksheet shown in Appendixes A and B shall be completed for links in fiber optic systems using data gathered during cable verification. Completed worksheets shall be included as part of system documentation.

### **Test Failures**

If link loss, measured from the power meter and light source, exceeds the calculated link loss or the actual location of fiber ends does not agree with the expected location of fiber ends, fiber optic links will not be accepted. Unsatisfactory segments of cable or splices shall be replaced with new segments of cables or splices at the Contractor's expense. OTDR testing, power meter and light source testing, and Cable Verification Worksheet shall be completed for repaired links to determine acceptability. Copies of test results shall be submitted to the Engineer. Removal and replacement of segments of cable shall be considered as removal and replacement of a single contiguous length of cable connecting 2 splices and 2 connectors. Removal of a section containing a failure will not be allowed.

### **Passive Component Package Testing and Documentation**

Components in the passive component package (FDUs, pigtails, jumpers, couplers, and splice trays) shall be from a manufacturer who is ISO 9001 registered.

Pigtails or jumpers shall be tested for insertion attenuation loss using optical power meters and light sources. Singlemode terminations shall be tested for return reflection loss. Values shall meet loss requirements specified and shall be recorded on tags attached to pigtails or jumpers.

After an assembly is complete, the Contractor shall visually verify that tagging of loss values is complete. The Contractor shall conduct an "end-to-end" optical power meter/light source test from pigtail ends to end of terminating points assuring continuity and overall attenuation loss values are acceptable.

### **Fiber Optic System Performance Margin Design Criteria**

Installed system performance margin shall be at least 6 dB for links. If the design system performance margin is less than 6 dB, the Engineer shall be notified of the Contractor's plan to meet this requirement.

Testing shall include tests on elements of passive components at the factory, after delivery to the project site but prior to installation, and after installation but prior to connection to other portions of the systems. The Contractor shall provide personnel, equipment, instrumentation, and materials necessary to perform testing. The Engineer shall be notified 2 working days prior to field tests. Notification shall include the exact location or portion of system to be tested.

System integration testing shall be performed to ensure that twisted-pair cables perform as specified when used in operation with installed equipment. Attention is directed to "System Testing" elsewhere in these special provisions.

**APPENDIX A**

**Cable Verification Worksheet**

*End-to-End Attenuation (Power Meter and Light Source) Testing  
and OTDR Testing*

Contract No. \_\_\_\_\_ Contractor: \_\_\_\_\_

Operator: \_\_\_\_\_ Date: \_\_\_\_\_

Link Number: \_\_\_\_\_ Fiber Number: \_\_\_\_\_

Test Wavelength (Circle one): 1310 nm      1550 nm

Expected Location of fiber ends: End 1: \_\_\_\_\_ End 2: \_\_\_\_\_

**Power Meter and Light Source Test Results:**

|                           |           |    |
|---------------------------|-----------|----|
| Power In:                 | _____ dBm | 1A |
| Output Power:             | _____ dBm | 1B |
| Insertion Loss [1A - 1B]: | _____ dB  | 1C |

**OTDR Test Results:**

|                             |          |    |
|-----------------------------|----------|----|
| Forward Loss:               | _____ dB | 2A |
| Reverse Loss:               | _____ dB | 2B |
| Average Loss [(2A + 2B)/2]: | _____ dB | 2C |

-----  
To Be Completed by Caltrans:

Resident Engineer's Signature: \_\_\_\_\_

Cable Link Accepted: \_\_\_\_\_

**APPENDIX B**  
**Fiber System Performance Margin Calculations Worksheet**

**A. Calculate the Passive Cable Attenuation**

|   |   |                         |
|---|---|-------------------------|
| 1. Calculate Fiber Loss at Operating Wavelength: _____ nm | Cable Distance (times)<br>Individual Fiber Loss (equal)<br>@ 1310 nm (0.4 dB/km)<br>@ 1550 nm (0.3 dB/km) | _____ km x ____ dB/km = |
| <b>Total Fiber Loss:</b>                                  |   | _____ dB                |

**B. Calculate the Total Connector/Splice Loss**

|  |  |                             |
|--|--|-----------------------------|
| 2. Calculate Connectors/couplers Loss:<br>(exclude Tx and Rx connectors) | Individual Connector Loss (times)<br>Number of Connector Pairs (equal)<br><b>Total Connector Loss:</b> | 0.4 dB x ____ =<br>_____ dB |
| 3. Calculate Splice Loss:  | Individual Splice Loss (times)<br>Number of Splices (equal)<br><b>Total Splice Loss:</b>               | 0.1 dB x ____ =<br>_____ dB |
| 4. Calculate Other Components Loss:                                      | <b>Total Components:</b>   | _____ dB                    |
| 5. Calculate Total Losses:   | Total Connector Loss (plus)<br>Total Splice Loss (plus)<br>Total Components (equal)                    | + dB<br>+ dB<br>+ dB =      |
| <b>Total Connector/Splice Loss:</b>                                      |  | _____ dB                    |

### **10-3.23 ORNAMENTAL LIGHTING POLES**

Ornamental lighting pole shall be furnished and installed as shown on the plans and in conformance with the provisions in the Standard Specifications and these special provisions.

The Contractor can obtain the ornamental lighting pole manufactured by Ameron Pole Products Division, at Pacific Lighting Sales, 23666 Birtcher Dr. Ste 100, Lake Forest CA 92630, Telephone (949)597-1633.

The price quoted by the manufacturer for the ornamental lighting pole shown on the plans is \$4,200.00, not including sales tax. This price includes anchor bolts, delivery and the post top fixture. The above price will be firm for orders placed within 30 days of contract award, and provided delivery is accepted within 60 days after the order is placed.

### **10-3.24 REMOVING, REINSTALLING OR SALVAGING ELECTRICAL EQUIPMENT**

Salvaged electrical materials shall be hauled to Department of Transportation Maintenance Yard, 7310 East Bandini Boulevard, Commerce, CA 90040 and stockpiled.

The Contractor shall provide the equipment, as necessary, to safely unload and stockpile the material. A minimum notice of 2 business days shall be given prior to delivery.

### **10-3.25 PAYMENT**

The contract lump sum price or prices paid for modify signal and lighting shall include highway lighting at intersections in connection with signals only.

Other roadway lighting on the project shall be considered as included in the contract lump sum price paid for modify lighting and sign illumination.

Full compensation for hauling and stockpiling electrical materials shall be considered as included in the contract price paid for the item requiring the material to be salvaged and no additional compensation will be allowed therefor.

Full compensation for relocate emergency vehicle detector system is included in the contract lump sum price paid for modify signal and lighting and no additional compensation will be allowed therefor.

The contract lump sum price paid for electric service (irrigation) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing electric service (irrigation) for irrigation controllers, complete in place, including conductors, conduit and pull boxes to the pull box adjacent to irrigation controller enclosure cabinets and irrigation controllers, as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

The contract lump sum price paid for modify communication system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in modify communication system, including removing existing fiber optic cables, twisted pair cables, pull boxes and installing various types and sizes communication conduits, fiber optic cables, twisted pair cables, pull boxes and incidentals to make the installed or connected equipment fully operational, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for modify lighting (city) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in modify lighting (city), complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**AMENDMENTS TO THE STANDARD SPECIFICATIONS  
DATED JULY 1999**



Bidder's." After award, interpret sentences written in the imperative mood as starting with "The Contractor must" and interpret "you" as "the Contractor" and "your" as "the Contractor's."

Unless an object or activity is specified to be less than the total, the quantity or amount is all of the object or activity.

All items in a list apply unless the items are specified as choices.

Headings are included for the purposes of organization and referencing. Inclusion of a heading with no related content, "Reserved," or "Not Used" does not indicate that no specification exists for that subject; applicable specifications may be covered in a general or referenced specification.

## **1-2 REFERENCES**

### **1-2.01 REFERENCES**

A reference within parentheses to a law or regulation is included in the contract for convenience only and is not a comprehensive listing of related laws and regulations. Lack of a reference does not indicate no related laws or regulations exist.

If the version of a referenced document is not specified, use the current version in effect on the date of Notice to Bidders.

A reference to a subsection includes the section's general specifications of which the subsection is a part.

A code not specified as a Federal code is a California code.

### 1-3 ABBREVIATIONS AND MEASUREMENT UNITS

#### 1-3.01 ABBREVIATIONS

| <b>Abbreviations</b> |  |
|----------------------|--|
| Abbreviation         | Meaning  |
| AAN                  | American Association of Nurserymen                                     |
| AASHTO               | American Association of State Highway and Transportation Officials     |
| AISC                 | American Institute of Steel Construction                               |
| AISI                 | American Iron and Steel Institute                                      |
| ANSI                 | American National Standards Institute                                  |
| APHA                 | American Public Health Association                                     |
| API                  | American Petroleum Institute   |
| AREMA                | American Railway Engineering and Maintenance-of-Way Association        |
| ASME                 | American Society of Mechanical Engineers                               |
| ASTM                 | American Society for Testing and Materials                             |
| AWG                  | American Wire Gage   |
| AWPA                 | American Wood-Preservers' Association                                  |
| AWS                  | American Welding Society   |
| AWWA                 | American Water Works Association                                       |
| CIH                  | Certified Industrial Hygienist   |
| DBE                  | Disadvantaged Business Enterprise                                      |
| DVBE                 | Disabled Veteran Business Enterprise                                   |
| EIA                  | Electronic Industries Alliance   |
| ETL                  | Electrical Testing Laboratories  |
| FHWA                 | Federal Highway Administration   |
| IEEE                 | Institute of Electrical and Electronics Engineers                      |
| NETA                 | National Electrical Testing Association, Inc.                          |
| NEMA                 | National Electrical Manufacturers Association                          |
| PLAC                 | permit, license, agreement, certification, or any combination of these |
| SSPC                 | The Society for Protective Coatings                                    |
| UL                   | Underwriters' Laboratories Inc.  |

### 1-3.02 MEASUREMENT UNITS

Some of the symbols for units of measurement used in the specifications and in the Bid Item List are defined as follows. The symbols for other units of measurement used in the specifications are as defined in ASTM E 380 or in the various specifications and test referenced in the specifications.

**Measurement Units**

| Symbols as used in the specifications | Symbols as used in the Bid Item List | Meaning                          |
|---------------------------------------|--------------------------------------|----------------------------------|
| A                                     | —                                    | amperes                          |
| —                                     | EA                                   | each                             |
| g                                     | G                                    | gram                             |
| kg                                    | KG                                   | kilogram                         |
| ha                                    | HA                                   | hectare (10 000 m <sup>2</sup> ) |
| h                                     | H                                    | hour                             |
| J                                     | —                                    | joule                            |
| —                                     | LNKM                                 | lane kilometer                   |
| L                                     | L                                    | liter                            |
| —                                     | LS                                   | lump sum                         |
| m                                     | M                                    | meter                            |
| km                                    | KM                                   | kilometer                        |
| mm                                    | MM                                   | millimeter                       |
| µm                                    | —                                    | micrometer                       |
| nm                                    | —                                    | nanometer                        |
| m <sup>2</sup>                        | M2                                   | square meter                     |
| m <sup>3</sup>                        | M3                                   | cubic meter                      |
| N                                     | —                                    | newton                           |
| N·m                                   | —                                    | newton meter                     |
| Ω                                     | —                                    | ohm                              |
| Pa                                    | —                                    | pascal                           |
| kPa                                   | —                                    | kilopascal                       |
| MPa                                   | —                                    | megapascal                       |
| s                                     | —                                    | second                           |
| —                                     | STA                                  | station (100 m)                  |
| —                                     | TAB                                  | tablet                           |
| tonne                                 | TONN                                 | metric ton (1000 kg)             |
| W                                     | —                                    | watt                             |

### 1-4 DEFINITIONS

#### 1-4.01 GENERAL

Interpret terms as defined in the contract documents. A construction-industry term not defined in the contract documents has the meaning defined in Means Illustrated Construction Dictionary, Condensed Version, Second Edition.

#### 1-4.02 GLOSSARY

**acceptance:** Formal written acceptance by the Director of an entire contract that has been completed in all respects in accordance with the plans and specifications and any modifications to them previously approved.

**base:** Layer of specified material of planned thickness placed immediately below the pavement or surfacing.

**basement material:** Material in excavation or embankments underlying the lowest layer of subbase, base, pavement, surfacing, or other specified layer to be placed.

**bid item:** Specific work unit for which the bidder provides a price.

**Bid Item List:** List of bid items and the associated quantities.

**Bid Item List, verified:** Bid Item List with verified prices. The Contract Proposal of Low Bidder at the Department's Web site is the verified Bid Item List.

**bridge:** Structure, with a bridge number, that carries a utility facility, or railroad, highway, pedestrian or other traffic, over a water course or over or under or around any obstruction.

**building-construction contract:** Contract that has "building construction" on the cover of the Notice to Bidders and Special Provisions.

**business day:** Day on the calendar except Saturday or holiday.

**California Manual on Uniform Traffic Control Devices:** The California Manual on Uniform Traffic Control Devices for Streets and Highways (California MUTCD) is issued by the Department of Transportation and is the Federal Highway Administration's MUTCD 2003 Edition, as amended for use in California.

**Certified Industrial Hygienist:** Industrial hygienist certified in comprehensive practice by the American Board of Industrial Hygiene.

**conduit:** Pipe or tube in which smaller pipes, tubes, or electrical conductors are inserted or are to be inserted.

**contract:** Written and executed contract between the Department and the Contractor.

**contract bonds:** Security for the payment of workers and suppliers furnishing materials, labor, and services and for guaranteeing the Contractor's work performance.

**contract item:** Bid item.

**Contractor:** Person or business or its legal representative entering into a contract with the Department for performance of the work.

**culvert:** Structure, other than a bridge, that provides an opening under a roadway for drainage or other purposes.

**day:** 24 consecutive hours running from midnight to midnight; calendar day.

**deduction:** Amount of money permanently taken from progress payment and final payment. Deductions are not retentions under Pub Cont Code § 7107.

**Department:** Department of Transportation as defined in St & Hwy Code § 20 and authorized in St & Hwy Code § 90; its authorized representatives.

**detour:** Temporary route for traffic around a closed road part. A passageway through a job site is not a detour.

**Director:** Department's Director.

**Disabled Veteran Business Enterprise:** Business certified as a DVBE by the Office of Small Business and DVBE Services, Department of General Services.

**divided highway:** Highway with separated traveled ways for traffic, generally in opposite directions.

**Engineer:** Department's Chief Engineer acting either directly or through properly authorized agents; the agents acting within the scope of the particular duties delegated to them.

**Federal-aid contract:** Contract that has a Federal-aid project number on the cover of the Notice to Bidders and Special Provisions.

**fixed costs:** Labor, material, or equipment cost directly incurred by the Contractor as a result of performing or supplying a particular bid item that remains constant regardless of the item's quantity.

**frontage road:** Local street or road auxiliary to and located generally on the side of an arterial highway for service to abutting property and adjacent areas and for control of access.

**grading plane:** Basement material surface on which the lowest layer of subbase, base, pavement, surfacing, or other specified layer is placed.

**highway:** Whole right of way or area that is reserved for and secured for use in constructing the roadway and its appurtenances.

**holiday:**

1. Every Sunday
2. January 1st, New Year's Day
3. 3rd Monday in January, Birthday of Martin Luther King, Jr.
4. February 12th, Lincoln's Birthday
5. 3rd Monday in February, Washington's Birthday
6. March 31st, Cesar Chavez Day
7. Last Monday in May, Memorial Day
8. July 4th, Independence Day
9. 1st Monday in September, Labor Day
10. 2nd Monday in October, Columbus Day
11. November 11th, Veterans Day
12. 4th Thursday in November, Thanksgiving Day
13. Day after Thanksgiving Day
14. December 25th, Christmas Day

If January 1st, February 12th, March 31st, July 4th, November 11th, or December 25th falls on a Sunday, the Monday following is a holiday. If November 11th falls on a Saturday, the preceding Friday is a holiday. Interpret "legal holiday" as "holiday."

**informal-bid contract:** Contract that has "Informal Bid Authorized by Pub Cont Code §10122" on the cover of the Notice to Bidders and Special Provisions.

**Information Handout:** Supplemental project information furnished to bidders as a handout.

**laboratory:** Laboratory authorized by the Department to test materials.

**liquidated damages:** Amount prescribed in the specifications, pursuant to the authority of Pub Cont Code § 10226, to be paid to the State or to be deducted for each day's delay in completing the whole or any specified portion of the work beyond the time allowed in the specifications.

**median:** Portion of a divided highway separating the traveled ways for traffic in opposite directions including inside shoulders.

**Notice to Bidders:** Document that provides a general work description, bidder and bid specifications, and the time and location the Department receives bids.

**pavement:** Uppermost layer of material placed on the traveled way or shoulders. This term is used interchangeably with surfacing.

**plans:** Official project plans and Standard Plans, profiles, typical cross sections, working drawings and supplemental drawings, or reproductions thereof, approved by the Engineer, which show the location, character, dimensions and details of the work to be performed. These documents are to be considered as a part of the plans.

In the above definition, the following terms are defined as follows:

**Standard Plans:** Standard Plans issued by the Department.

**project plans:** Specific details and dimensions peculiar to the work supplemented by the Standard Plans insofar as the same may apply.

**roadbed:** Area between the intersection of the upper surface of the roadway and the side slopes or curb lines. The roadbed rises in elevation as each increment or layer of subbase, base, surfacing or pavement is placed. Where the medians are so wide as to include areas of undisturbed land, a divided highway is considered as including 2 separate roadbeds.

**roadway:** Highway portion included between the outside lines of sidewalks, or curbs, slopes, ditches, channels, waterways, and including all the appertaining structures, and other features necessary to proper drainage and protection.

**shoulder:** Roadway portion contiguous with the traveled way for accommodation of stopped vehicles, for emergency use, and for lateral support of base and surface courses.

**special provisions:** Specific clauses setting forth conditions or requirements peculiar to the work and supplementary to these Standard Specifications. The Department's publication titled "Labor Surcharge And Equipment Rental Rates" is part of the special provisions.

**specifications:** Directions, provisions, and requirements contained in these Standard Specifications, Amendments to the Standard Specifications, and the special provisions. Where the term "these specifications" or "these Standard Specifications" is used in this book, it means the provisions set forth in this book.

**State:** State of California, including its agencies, departments, or divisions, whose conduct or action is related to the work.

**Structure Design:** Offices of Structure Design of the Department.

**subbase:** Layer of specified material of planned thickness between a base and the basement material.

**subgrade:** Roadbed portion on which pavement, surfacing, base, subbase, or a layer of any other material is placed.

**substructure:** Bridge portions below the bridge seats, tops of piers, haunches of rigid frames, or below the spring lines of arches. Backwalls and parapets of abutments and wingwalls of bridges are portions of the substructure.

**superstructure:** Bridge portion except the bridge substructure.

**supplemental project information:** Information relevant to the project, specified as supplemental project information, and made available to bidders.

**surfacing:** Uppermost layer of material placed on the traveled way, or shoulders. This term is used interchangeably with pavement.

**traffic lane:** Portion of a traveled way for the movement of a single line of vehicles.

**traveled way:** Portion of the roadway for the movement of vehicles, exclusive of shoulders.

**total bid:** Sum of the item totals as verified by the Department; original contract price.

**withhold:** Money temporarily or permanently taken from progress payment. Withholds are not retentions under Pub Cont Code § 7107.

**work:** All the work specified, indicated, shown or contemplated in the contract to construct the improvement, including all alterations, amendments, or extensions to it made by contract change order or other written orders of the Engineer.

## 1-5 DISTRICTS

### District Composition and Office Addresses

| District | Counties   | Location Address                           | Mailing Address                                   |
|----------|--|--|---|
| 1        | Del Norte (DN), Humboldt (Hum), Lake (Lak), Mendocino (Men)  | 1656 UNION ST<br>EUREKA, CA                | PO BOX 3700<br>EUREKA CA 95502                    |
| 2        | Lassen (Las), Modoc (Mod), Plumas (Plu), Shasta (Sha), Siskiyou (Sis), Tehama (Teh), Trinity (Tri)   | 1657 RIVERSIDE DR<br>REDDING, CA           | PO BOX 496073<br>REDDING CA 96049-6073            |
| 3        | Butte (But), Colusa (Col), El Dorado (ED), Glenn (Gle), Nevada (Nev), Placer (Pla), Sacramento (Sac), Sierra (Sie), Sutter (Sut), Yolo (Yol), Yuba (Yub) | 703 B ST<br>MARYSVILLE, CA                 | PO BOX 911<br>MARYSVILLE CA 95901                 |
| 4        | Alameda (Ala), Contra Costa (CC), Marin (Mrn), Napa (Nap), San Francisco (SF), San Mateo (SM), Santa Clara (SCI), Solano (Sol), Sonoma (Son)             | 111 GRAND AVE<br>OAKLAND, CA               | PO BOX 23660<br>OAKLAND CA 94623-0660             |
| 5        | Monterey (Mon), San Benito (SBt), San Luis Obispo (SLO), Santa Barbara (SB), Santa Cruz (SCr)  | 50 HIGUERA ST<br>SAN LUIS OBISPO, CA       | 50 HIGUERA ST<br>SAN LUIS OBISPO CA 93401-5415    |
| 6        | Fresno (Fre), Kern (Ker), Kings (Kin), Madera (Mad), Tulare (Tul)  | 1352 W. OLIVE AVE<br>FRESNO, CA            | PO BOX 12616<br>FRESNO CA 93728-2616              |
| 7        | Los Angeles (LA), Ventura (Ven)  | 100 S. MAIN ST<br>LOS ANGELES              | 100 S MAIN ST<br>LOS ANGELES CA 90012             |
| 8        | Riverside (Riv), San Bernardino (SBd)  | 464 W 4TH ST<br>SAN BERNARDINO, CA         | 464 W 4TH ST<br>SAN BERNARDINO CA<br>92401-1400   |
| 9        | Inyo (Iny), Mono (Mno)   | 500 S MAIN ST<br>BISHOP, CA                | 500 S MAIN ST<br>BISHOP CA 93514-3423             |
| 10       | Alpine (Alp), Amador (Ama), Calaveras (Cal), Mariposa (Mpa), Merced (Mer), San Joaquin (SJ), Stanislaus (Sta), Tuolumne (Tuo)                            | 1976 E CHARTER WAY<br>STOCKTON, CA         | PO BOX 2048<br>STOCKTON CA 95201                  |
| 11       | Imperial (Imp), San Diego (SD)   | 4050 TAYLOR ST<br>SAN DIEGO, CA            | 4050 TAYLOR ST<br>SAN DIEGO CA 92110-2737         |
| 12       | Orange (Ora)   | 3347 MICHELSON DR<br>STE 100<br>IRVINE, CA | 3347 MICHELSON DR STE 100<br>IRVINE CA 92612-0661 |

A project with work in District 1, 2, or 3 is a North Region project. For Districts 1, 2, and 3, interpret each reference to the district office as the North Region office. The North Region office address is the District 3 address.

## 1-6 WEB SITES, ADDRESSES, AND TELEPHONE NUMBERS

### Web Sites, Addresses, and Telephone Numbers

| Agency, Department Unit, or Reference                                      | Web Site  | Address  | Telephone No.                    |
|--|---|--|----------------------------------|
| Bidders' Exchange  | <a href="http://www.dot.ca.gov/hq/esc/oe/bidex">www.dot.ca.gov/hq/esc/oe/bidex</a>  | MSC 26<br>BIDDERS' EXCHANGE<br>DEPARTMENT OF<br>TRANSPORTATION<br>1727 30TH ST<br>SACRAMENTO CA 95816-7005   | (916) 227-6259                   |
| Department   | <a href="http://www.dot.ca.gov">www.dot.ca.gov</a>  |  |                                  |
| Department of General Services, Office of Small Business and DVBE Services | <a href="http://www.pd.dgs.ca.gov/smbus/default.htm">www.pd.dgs.ca.gov/smbus/default.htm</a>  | OFFICE OF SMALL BUSINESS AND DVBE SERVICES<br>DEPARTMENT OF GENERAL SERVICES<br>707 3RD ST<br>WEST SACRAMENTO CA 95605-2811  | (800) 559-5529<br>(916) 375-4940 |
| Department of Industrial Relations   | <a href="http://www.dir.ca.gov">www.dir.ca.gov</a>  |  |                                  |
| Department of Industrial Relations, Division of Apprenticeship Standards   |   | 455 GOLDEN GATE AVENUE<br>SAN FRANCISCO, CA 94102  |                                  |
| Division of Accounting, Office of External Accounts Payable                | <a href="http://www.dot.ca.gov/hq/asc/oap/payments/contact.htm#compets1">http://www.dot.ca.gov/hq/asc/oap/payments/contact.htm#compets1</a>         | MAJOR CONSTRUCTION PAYMENT AND INFORMATION UNIT<br>OFFICE OF EXTERNAL ACCOUNTS PAYABLE<br>DIVISION OF ACCOUNTING<br>DEPARTMENT OF TRANSPORTATION<br>P.O. BOX 168043<br>SACRAMENTO, CA 95816-8043 | (916) 227-9013                   |
| Office Engineer  |   | MSC 43<br>OFFICE ENGINEER<br>DEPARTMENT OF TRANSPORTATION<br>1727 30TH ST<br>SACRAMENTO CA 95816-7005  |                                  |
| Office Engineer– Verified Bid Results                                      | <a href="http://www.dot.ca.gov/hq/esc/oe/awards/bidsum_html/6week_list.html">http://www.dot.ca.gov/hq/esc/oe/awards/bidsum_html/6week_list.html</a> |  |                                  |
| Offices of Structure Design, Documents Unit                                |   | MSC 9-4/4I<br>DOCUMENTS UNIT OFFICES OF STRUCTURE DESIGN<br>DEPARTMENT OF TRANSPORTATION<br>1801 30TH ST<br>SACRAMENTO CA 95816-7006   | (916) 227-0716                   |



1. District-County-Route
2. Contract number
3. Viewing date
4. Contact information, including telephone number.

For rock cores, also include the bridge number in your request.

If bridge as-built drawings are available:

1. For a project in District 1 through 6 or 10, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357
2. For a project in District 7, 8, 9, 11, or 12, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357, and are available at the Office of Structure Maintenance and Investigations, Los Angeles, CA, telephone (213) 897-0877

As-built drawings may not show existing dimensions and conditions. Where new construction dimensions are dependent on existing bridge dimensions, verify the field dimensions and adjust dimensions of the work to fit existing conditions.

## **2-1.04–2-1.10 RESERVED**

### **2-1.11 JOB SITE AND DOCUMENT EXAMINATION**

Examine the job site and bid documents.

Bid submission is your acknowledgment that you have examined the job site and bid documents and are satisfied with:

1. General and local conditions to be encountered
2. Character, quality, and scope of work to be performed
3. Quantities of materials to be furnished
4. Character, quality, and quantity of surface and subsurface materials or obstacles
5. Requirements of the contract

### **2-1.12 BID DOCUMENT COMPLETION**

#### **2-1.12A General**

Complete forms in the Bid book.

On the Subcontractor List you may either submit each subcontracted bid item number and corresponding percentage with your bid or fax this information to (916) 227-6282 within 24 hours after bid opening. If you fail to submit this information within the time specified, your bid is nonresponsive.

Except for the bid item number and the percentage of each item subcontracted, do not fax submittals.

#### **2-1.12B Bid Item List and Bid Comparison**

Submit a bid based on the work item quantities the Department shows in the Bid Item List.

For a lump sum based bid, the Department compares bids based on the total price.

For a unit price based bid, the Department compares bids based on the sum of the item totals.

For a cost plus time based bid, the Department compares bids based on the sum of the item totals and the total bid for time. If your bid for time exceeds the number of working days described in the Notice to Bidders, your bid is nonresponsive.

#### **2-1.12C Subcontractor List**

In the Subcontractor List, list each subcontractor to perform work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.)

The Subcontractor List must show the name, address, and work portions to be performed by each subcontractor listed. Show work portion by bid item number, description, and percentage of each bid item subcontracted.

### **2-1.13 BIDDER'S SECURITY**

Submit your bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

1. Cash
2. Cashier's check



**SECTION 3 AWARD AND EXECUTION OF CONTRACT**

**(Issued 11-15-10)**

**Replace Section 3 with:**

**SECTION 3 CONTRACT AWARD AND EXECUTION**

**3-1.01 SCOPE**

Section 3, "Contract Award and Execution," includes specifications related to contract award and execution.

**3-1.02 CONTRACT AWARD**

Submit any bid protest to the Office Engineer.

If the Department awards the contract, the award is made to the lowest responsible bidder within the number of days shown in the following table:

| <b>Contract Award Period</b> |  |
|------------------------------|--|
| Days<br>(after bid opening)  | Project Estimated Cost shown in the<br>Notice to Bidders |
| 30                           | < \$200 million  |
| 60                           | ≥ \$200 million  |

The Department may extend the specified award period if the bidder agrees.

**3-1.03 CONTRACT BONDS (PUB CONT CODE §§ 10221 AND 10222)**

The successful bidder must furnish:

1. Payment bond to secure the claim payments of laborers, workers, mechanics, or materialmen providing goods, labor, or services under the contract. This bond must be equal to at least 100 percent of the total bid.
2. Performance bond to guarantee the faithful performance of the contract. This bond must be equal to at least 50 percent of the total bid.

The Department furnishes the successful bidder with the bond forms.

**3-1.04 CONTRACTOR LICENSE**

For a Federal-aid contract, the Bidder must be properly licensed (Pub Cont Code § 10164) from contract award through contract acceptance.

For a non-Federal-aid contract:

1. The Bidder must be properly licensed from bid opening through contract acceptance (Bus & Prof Code § 7028.15)
2. Joint venture bidders must obtain a joint venture license before contract award (Bus & Prof Code § 7029.1)

**3-1.05 INSURANCE POLICIES**

The successful bidder must submit:

1. Copy of its commercial general liability policy and its excess policy or binder until such time as a policy is available, including the declarations page, applicable endorsements, riders, and other modifications in effect at the time of contract execution. Standard ISO form No. CG 0001 or similar exclusions are allowed if not inconsistent with Section 7-1.12, "Indemnification and Insurance." Allowance of additional exclusions is at the discretion of the Department.
2. Certificate of insurance showing all other required coverages. Certificates of insurance, as evidence of required insurance for the auto liability and any other required policy, shall set forth deductible amounts applicable to each policy and all exclusions that are added by endorsement to each policy. The evidence of insurance shall provide that no cancellation, lapse, or reduction of coverage will occur without 10 days prior written notice to the Department.
3. A declaration under the penalty of perjury by a CPA certifying the accountant has applied GAAP guidelines confirming the successful bidder has sufficient funds and resources to cover any self-insured retentions if the self-insured retention is over \$50,000.

If the successful bidder uses any form of self-insurance for workers compensation in lieu of an insurance policy, it shall submit a certificate of consent to self-insure under Labor Code § 3700.

**3-1.06–3-1.08 RESERVED**

**3-1.09 CONTRACT EXECUTION**

The successful bidder must sign the contract and return it to the Office Engineer along with:

1. Contract bonds
2. Documents identified in Section 3-1.05, "Insurance Policies"

For an informal-bid contract, the Office Engineer must receive these documents before the 5th business day after the bidder receives the contract. For all other contracts, the Office Engineer must receive these documents before the 10th business day after the bidder receives the contract.

The bidder's security may be forfeited for failure to execute the contract within the time specified (Pub Cont Code §§ 10181, 10182, and 10183).

The following is a copy of the Contract form:



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
CONTRACT NO. \_\_\_\_\_

This contract is entered into between the State of California's Department of Transportation and the Contractor named below:

\_\_\_\_\_  
CONTRACTOR'S NAME

The parties agree to comply with the terms of the following exhibits that are by this reference made a part of this contract.

- Exhibit A - Bid book dated \_\_\_\_\_
- Exhibit B - Notice to Bidders and Special Provisions dated \_\_\_\_\_
- Exhibit C - Project Plans approved \_\_\_\_\_
- Exhibit D - Standard Specifications dated \_\_\_\_\_
- Exhibit E - Standard Plans dated \_\_\_\_\_
- Exhibit F - Addenda \_\_\_\_\_

Exhibits A, B, C, and F are those exhibits identified with the same contract number as this contract.

**This contract has been executed by the following parties:**

\_\_\_\_\_  
**CONTRACTOR**

CONTRACTOR'S NAME *(if other than an individual, state whether a corporation, partnership, etc.)*

BY *(Authorized Signature)* \_\_\_\_\_ DATE SIGNED *(Do not type)* \_\_\_\_\_

PRINTED NAME AND TITLE OF PERSON SIGNING \_\_\_\_\_

FEDERAL EMPLOYER IDENTIFICATION NUMBER \_\_\_\_\_ LICENSE NUMBER \_\_\_\_\_

\_\_\_\_\_  
**DEPARTMENT OF TRANSPORTATION**

BY *(Authorized Signature)* \_\_\_\_\_ DATE SIGNED *(Do not type)* \_\_\_\_\_

PRINTED NAME AND TITLE OF PERSON SIGNING \_\_\_\_\_

**This contract has been certified as complying with the State Contract Act:**

BY *(Authorized Signature)* \_\_\_\_\_ DATE SIGNED *(Do not type)* \_\_\_\_\_

PRINTED NAME AND TITLE OF PERSON SIGNING \_\_\_\_\_

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**3-1.10 BIDDERS' SECURITIES**

The Department keeps the securities of the 1st, 2nd, and 3rd low bidders until the contract has been executed. The other bidders' securities, other than bidders' bonds, are returned upon determination of the 1st, 2nd, and 3rd low bidders, and their bidders' bonds are of no further effect (Pub Cont Code § 10184).



The Department is not required to consider a VECP. If a VECP is similar to a change in the plans or specifications being considered by the Department at the time the proposal is submitted or if the proposal is based on or similar to drawings or specifications adopted by the Department before Contract award, the Department does not accept the VECP and may make these changes without VECP payments.

Until the Department approves a change order incorporating the VECP or parts of it, continue to perform the work under the contract. If the Department does not approve a change order before the deadline stated in the VECP or other date you subsequently stated in writing, the VECP is rejected. The Department does not adjust time or payment for a rejected VECP.

The Department decides whether to accept a VECP and the estimated net construction-cost savings from adopting the VECP or parts of it.

The Department may require you to accept a share of the investigation cost as a condition of reviewing a VECP. After written acceptance, the Department considers the VECP and deducts the agreed cost.

If the Department accepts the VECP or parts of it, the Department issues a change order that:

1. Incorporates changes in the contract necessary to implement the VECP or the parts adopted
2. Includes the Department's acceptance conditions
3. States the estimated net construction-cost savings resulting from the VECP
4. Obligates the Department to pay you 50 percent of the estimated net savings

In determining the estimated net construction-cost savings, the Department excludes your VECP preparation cost and the Department's VECP investigation cost, including parts paid by you.

If a VECP providing for a reduction in working days is accepted by the Department, 50 percent of the reduction is deducted from contract time.

If a VECP providing for a reduction in traffic congestion or avoiding traffic congestion is accepted by the Department, the Department pays 60 percent of the estimated net savings in construction costs attributable to the VECP. Submit detailed traffic handling comparisons between the existing contract and the proposed change, including estimates of the traffic volumes and congestion.

The Department may apply an accepted VECP for general use on other contracts.

If an accepted VECP is adopted for general use, the Department pays only the contractor who first submitted the VECP and only to the contracts awarded to that contractor before the submission of the accepted VECP.

If the Department does not adopt a general-use VECP, an identical or similar submitted proposal is eligible for acceptance.

#### **4-1.035C Value Analysis Workshop**

Section 4-1.035C, "Value Analysis Workshop," applies to a non-building-work contract with a total bid of over \$5 million.

You may request a value analysis workshop by submitting a request after contract approval.

The Department offers a value analysis workshop to:

1. Identify value enhancing opportunities
2. Consider changes to the contract that will reduce the total cost of construction, construction activity duration, or traffic congestion without impairing the essential functions specified for a VECP in Section 4-1.035B, "Value Engineering Change Proposal."

If the request is authorized, you and the Engineer:

1. Schedule a value analysis workshop
2. Select a facilitator and workshop site
3. Agree to other workshop administrative details

The workshop must be conducted under the methods described in the Department's Value Analysis Team Guide available at:

<http://www.dot.ca.gov/hq/oppd/value/>

The facilitator must be a certified value specialist as recognized by the Society of American Value Engineers.



4. Developing strategies for using risk management concepts
5. Implementing timely communication and decision making
6. Resolving potential problems at the lowest possible level to avoid negative impacts
7. Holding periodic partnering meetings and workshops as appropriate to maintain partnering relationships and benefits throughout the life of the project
8. Establishing periodic joint evaluations of the partnering process and attainment of mutual goals

Partnering does not void any contract part.

The Department's "Field Guide to Partnering on Caltrans Construction Projects" current at the time of bid is available to the project team as reference. This guide provides structure, context, and clarity to the partnering process requirements. This guide is available at the Department's Partnering Program website:

<http://www.dot.ca.gov/hq/construc/partnering.html>

In implementing project partnering, the project team must:

1. Create a partnering charter that includes:
  - 1.1. Mutual goals, including core project goals and may also include project-specific goals and mutually supported individual goals.
  - 1.2. Partnering maintenance and close-out plan.
  - 1.3. Dispute resolution plan that includes a dispute resolution ladder and may also include use of facilitated dispute resolution sessions.
  - 1.4. Team commitment statement and signatures.
2. Participate in monthly partnering evaluation surveys to measure progress on mutual goals and may also measure short-term key issues as they arise.
3. Evaluate the partnering facilitator on Forms CEM-5501 and CEM-5502. The Engineer provides the evaluation forms to the project team and collects the results. The Department makes evaluation results available upon request. Facilitator evaluations must be completed:
  - 3.1. At the end of the initial partnering workshop on Form CEM-5501.
  - 3.2. At the end of the project close-out partnering workshop on Form CEM-5502.
4. Conduct a project close-out partnering workshop.
5. Document lessons learned before contract acceptance.

#### **5-1.012B Partnering Facilitator, Workshops, and Monthly Evaluation Surveys**

The Engineer sends you a written invitation to enter into a partnering relationship after contract approval. Respond within 15 days to accept the invitation and request the initial and additional partnering workshops. After the Engineer receives the request, you and the Engineer cooperatively:

1. Select a partnering facilitator that offers the service of a monthly partnering evaluation survey with a 5-point rating and agrees to follow the Department's "Partnering Facilitator Standards and Expectations" available at the Department's Partnering Program website
2. Schedule initial partnering workshop
3. Determine initial workshop site and duration
4. Agree to other workshop administrative details

Additional partnering workshops and sessions are encouraged throughout the life of the project as determined necessary by you and the Engineer, recommended quarterly.

#### **5-1.012C Training in Partnering Skills Development**

For a project with a total bid of \$25 million or greater, training in partnering skills development is required.

For a project with a total bid between \$10 million and \$25 million, training in partnering skills is optional.

You and the Engineer cooperatively schedule the training session and select a professional trainer, training site, and 1 to 4 topics from the following list to be covered in the training:

1. Active Listening
2. Building Teams
3. Change Management
4. Communication
5. Conflict Resolution
6. Cultural Diversity
7. Dealing with Difficult People
8. Decision Making
9. Effective Escalation Ladders
10. Emotional Intelligence
11. Empathy
12. Ethics
13. Facilitation Skills
14. Leadership
15. Partnering Process and Concepts
16. Project Management
17. Project Organization
18. Problem Solving
19. Running Effective Meetings
20. Time Management
21. Win-Win Negotiation

Before the initial partnering workshop, the trainer conducts a 1-day training session in partnering skills development for the Contractor's and the Engineer's representatives. This training session must be a separate session from the initial partnering workshop and must be conducted locally. The training session must be consistent with the partnering principles under the Department's "Field Guide to Partnering on Caltrans Construction Projects."

Send at least 2 representatives to the training session. One of these must be your assigned representative as specified in Section 5-1.06, "Superintendence," of the Standard Specifications.

#### **5-1.012D Payment**

The Department pays you for:

1. 1/2 of partnering workshops and sessions based on facilitator and workshop site cost
2. 1/2 of monthly partnering evaluation survey service cost
3. Partnering skills development trainer and training site cost

The Department determines the costs based on invoice prices minus any available or offered discounts. The Department does not pay markups on these costs.

The Department does not pay for wages, travel expenses, or other costs associated with the partnering workshops and sessions, monthly partnering evaluation surveys, and training in partnering skills development.

#### **Add:**

#### **5-1.015 RECORDS**

##### **5-1.015A General**

Reserved

##### **5-1.015B Record Retention**

Retain project records from bid preparation through:

1. Final payment
2. Resolution of claims, if any

For at least 3 years after the later of these, retain cost records, including records of:

1. Bid preparation

2. Overhead
3. Payrolls
4. Payments to suppliers and subcontractors
5. Cost accounting

Maintain the records in an organized way in the original format, electronic and hard copy, conducive to professional review and audit.

#### **5-1.015C Record Inspection, Copying, and Auditing**

Make your records available for inspection, copying, and auditing by State representatives for the same time frame specified under Section 5-1.015B, "Record Retention." The records of subcontractors and suppliers must be made available for inspection, copying, and auditing by State representatives for the same period. Before contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier 5 business days before inspection, copying, or auditing.

If an audit is to start more than 30 days after contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier when the audit is to start.

#### **5-1.015D Cost Accounting Records**

Maintain cost accounting records for the project distinguishing between the following work cost categories:

1. Contract item work
2. Work character changes
3. Force account work
4. Extra work
5. Work performed under protests and claim notifications
6. Overhead
7. Subcontractors, suppliers, owner-operators, and professional services

Cost accounting records must include:

1. Final cost code lists and definitions
2. Itemization of the materials used and corresponding vendor's invoice copies
3. Direct cost of labor
4. Equipment rental charges
5. Workers' certified payrolls
6. Equipment:
  - 6.1. Size
  - 6.2. Type
  - 6.3. Identification number
  - 6.4. Hours operated

#### **5-1.015E Extra Work Bills**

Maintain separate records for force account costs.

Within 7 days after performing the work, submit extra work bills using the Department's Internet extra work billing system.

The Contractor submitting and the Engineer approving an extra work bill using the Internet force account work billing system is the same as each party signing the report.

The Department provides billing system:

1. Training within 30 days of your written request
2. Accounts and user identification to your assigned representatives after a representative has received training

Each representative must maintain a unique password.

**Replace Section 5-1.02A with:**

**5-1.02A Excavation Safety Plans**

The Construction Safety Orders of the Division of Occupational Safety and Health shall apply to all excavations. For all excavations 1.5 m or more in depth, the Contractor shall submit to the Engineer a detailed plan showing the design and details of the protective systems to be provided for worker protection from the hazard of caving ground during excavation. The detailed plan shall include any tabulated data and any design calculations used in the preparation of the plan. Excavation shall not begin until the detailed plan has been reviewed and approved by the Engineer.

Detailed plans of protective systems for which the Construction Safety Orders require design by a registered professional engineer shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California, and shall include the soil classification, soil properties, soil design calculations that demonstrate adequate stability of the protective system, and any other design calculations used in the preparation of the plan.

No plan shall allow the use of a protective system less effective than that required by the Construction Safety Orders.

If the detailed plan includes designs of protective systems developed only from the allowable configurations and slopes, or Appendices, contained in the Construction Safety Orders, the plan shall be submitted at least 5 days before the Contractor intends to begin excavation. If the detailed plan includes designs of protective systems developed from tabulated data, or designs for which design by a registered professional engineer is required, the plan shall be submitted at least 3 weeks before the Contractor intends to begin excavation.

Attention is directed to Section 7-1.01E, "Trench Safety."

**Replace Section 5-1.04 with:**

**5-1.04 CONTRACT COMPONENTS**

A component in one contract part applies as if appearing in each. The parts are complementary and describe and provide for a complete work.

If a discrepancy exists:

1. The governing ranking of contract parts in descending order is:
  - 1.1. Special provisions
  - 1.2. Project plans
  - 1.3. Revised Standard Plans
  - 1.4. Standard Plans
  - 1.5. Amendments to the Standard Specifications
  - 1.6. Standard Specifications
  - 1.7. Supplemental project information
2. Written numbers and notes on a drawing govern over graphics
3. A detail drawing governs over a general drawing
4. A detail specification governs over a general specification
5. A specification in a section governs over a specification referenced by that section

If a discrepancy is found or confusion arises, request correction or clarification.

**Add:**

**5-1.055 SUBCONTRACTING**

**5-1.055A General**

No subcontract releases you from the contract or relieves you of your responsibility for a subcontractor's work.

If you violate Pub Cont Code § 4100 et seq., the Department may exercise the remedies provided under Pub Cont Code § 4110. The Department may refer the violation to the Contractors State License Board as provided under Pub Cont Code § 4111.

Perform work equaling at least 30 percent of the value of the original total bid with your employees and with equipment owned or rented by you, with or without operators.

Each subcontract must comply with the contract.

Each subcontractor must have an active and valid State contractor's license with a classification appropriate for the work to be performed (Bus & Prof Code, § 7000 et seq.).

Submit copies of subcontracts upon request.

Before subcontracted work starts, submit a Subcontracting Request form.

Do not use a debarred contractor; a current list of debarred contractors is available at the Department of Industrial Relations' Web site.

Upon request, immediately remove and not again use a subcontractor who fails to prosecute the work satisfactorily.

**Replace Section 5-1.07 with:**

**5-1.07 LINES AND GRADES**

The Engineer places stakes and marks under Chapter 12, "Construction Surveys," of the Department's Surveys Manual. Submit your request for Department-furnished stakes:

1. On a Request for Construction Stakes form. Ensure:
  - 1.1. Requested staking area is ready for stakes
  - 1.2. You use the stakes in a reasonable time
2. A reasonable time before starting an activity using the stakes

Establish priorities for stakes and note priorities on the request.

Preserve stakes and marks placed by the Engineer. If the stakes or marks are destroyed, the Engineer replaces them at the Engineer's earliest convenience and deducts the cost.

**Replace Section 5-1.116 with:**

**5-1.116 DIFFERING SITE CONDITIONS (23 CFR 635.109)**

**5-1.116A Contractor's Notification**

Promptly notify the Engineer if you find either of the following:

1. Physical conditions differing materially from either of the following:
  - 1.1. Contract documents
  - 1.2. Job site examination
2. Physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract

Include details explaining the information you relied on and the material differences you discovered.

If you fail to notify the Engineer promptly, you waive the differing site condition claim for the period between your discovery of the differing site condition and your notification to the Engineer.

If you disturb the site after discovery and before the Engineer's investigation, you waive the differing site condition claim.

**5-1.116B Engineer's Investigation and Decision**

Upon your notification, the Engineer investigates job site conditions and:

1. Notifies you whether to resume affected work
2. Decides whether the condition differs materially and is cause for an adjustment of time, payment, or both

**5-1.116C Protests**

You may protest the Engineer's decision by:

1. Submitting an Initial Notice of Potential Claim within 5 business days after receipt of the Engineer's notification
2. Complying with claim procedures

The Initial Notice of Potential Claim must detail the differences in your position from the Engineer's determination and support your position with additional information, including additional geotechnical data. Attach to the Initial Notice of Potential Claim a certification stating that you complied with Section 2-1.11, "Job Site and Document Examination."  
Promptly submit supplementary information when obtained.

**Replace Section 5-1.14 with:**

**5-1.14 COST REDUCTION INCENTIVE**

Comply with Section 4-1.035B, "Value Engineering Change Proposal."

**Add:**

**5-1.15 DISPUTE RESOLUTION**

**5-1.15A General**

Section 5-1.15, "Dispute Resolution," applies to a contract with 100 or more working days.

In the Dispute Resolution Advisor Agreement and in the Dispute Review Board Agreement, interpret a reference to the special provisions as a reference to the Amendments to the Standard Specifications. In the Dispute Review Board Agreement, replace "Proposal and Contract" with "Bid book." Where the section title does not match the section number for a reference, refer to the referenced title.

**5-1.15B Dispute Resolution Advisor**

Section 5-1.15B, "Dispute Resolution Advisor," applies to a contract from \$3 million to \$10 million.

A dispute resolution advisor, hereinafter referred to as "DRA", is chosen by the Department and the Contractor to assist in the resolution of disputes. The DRA is a part of the contract administrative claims process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The DRA shall not serve as a substitute for filing a protest or a notice of potential claim.

The DRA shall be established by the Department and the Contractor within 30 days of contract approval.

The Department and the Contractor shall each propose 3 potential DRA candidates. Each potential candidate shall provide the Department and the Contractor with their disclosure statement. The disclosure statement shall include a resume of the potential candidate's experience and a declaration statement describing past, present, anticipated, and planned relationships with all parties involved in this contract.

The Department and the Contractor shall select one of the 6 nominees to be the DRA. If the Department and the Contractor cannot agree on one candidate, the Department and the Contractor shall each choose one of the 3 nominated by the other. The final selection of the DRA will be decided by a coin toss between the two candidates.

The Department and the Contractor shall complete and adhere to the Dispute Resolution Advisor Agreement. No DRA meeting shall take place until the Dispute Resolution Advisor Agreement has been signed by all parties, unless all parties agree to sign it at the first meeting.

If DRA needs outside technical services, technical services shall be preapproved by both the Department and the Contractor.

DRA recommendations are nonbinding.

The Contractor shall not use the DRA for disputes between subcontractors or suppliers that have no grounds for a lawsuit against the Department.

DRA replacement is selected in the same manner as the original selection. The appointment of a replacement DRA will begin promptly upon determination of the need for replacement. The Dispute Resolution Advisor Agreement shall be amended to reflect the change of the DRA.

Failure of the Contractor to participate in selecting DRA will result in the withhold of 25 percent of the estimated value of all work performed during each estimate period that the Contractor fails to comply. DRA withholds will be released for payment on the next monthly progress payment following the date that the Contractor has provided assistance in choosing the DRA and no interest will be due the Contractor.

The State and the Contractor shall bear the costs and expenses of the DRA equally.

The DRA shall be compensated at an agreed rate of \$1,500 per day for time spent per meeting either at the start of the project or for a dispute. A member serving on more than one State DRA or Dispute Review Board, regardless the number of meetings per day shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel, and incidentals for each day or portion thereof that the DRA is at an authorized DRA meeting.

No additional compensation will be made for time spent by the DRA to review and research activities outside the official DRA meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRA, has been specifically agreed to in advance by the State and Contractor. Time away from the project that has been

specifically agreed to in advance by the Department and the Contractor will be compensated at an agreed rate of \$150 per hour. The agreed amount of \$150 per hour shall include all incidentals including expenses for telephone, fax, and computer services.

The State will provide conference facilities for DRA meetings at no cost to the Contractor.

The Contractor shall make direct payments to the DRA for participation in authorized meetings and approved hourly rate charges from invoices submitted.

The State will reimburse the Contractor for the State's share of the costs.

There will be no markups applied to expenses associated with the DRA, either by the DRA or by the Contractor when requesting payment of the State's share of DRA expenses. Regardless of the DRA recommendation, neither party will be entitled to reimbursement of DRA costs from the other party.

The Contractor shall submit extra work bills and include invoices with original supporting documents for reimbursement of the State's share.

The cost of technical services will be borne equally by the State and Contractor. There will be no markups for these costs.

A copy of the "Dispute Resolution Advisor Agreement" to be executed by the Contractor, State and the DRA is as follows:

Form CEM 6206 Rev (04-06-07)

**DISPUTE RESOLUTION ADVISOR AGREEMENT**

\_\_\_\_\_  
(Contract Identification)

Contract No. \_\_\_\_\_

**THIS DISPUTE RESOLUTION ADVISOR AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," \_\_\_\_\_ hereinafter called the "CONTRACTOR," and \_\_\_\_\_, the Dispute Resolution Advisor, hereinafter called the "DRA." .

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRA to assist in resolving disputes; and

WHEREAS, the DRA is composed of one person, chosen by the CONTRACTOR and the STATE;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRA hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the timely resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRA. The DRA is to fairly and impartially consider disputes placed before it and provide recommendations for resolution of these disputes to the parties. The DRA shall provide recommendations based on the facts related to the dispute, the contract and applicable laws and regulations. The DRA shall perform the services necessary to participate in the DRA's actions as designated in Section III, Scope of Work.

**SECTION II DRA QUALIFICATIONS**

DRA shall be knowledgeable in the type of construction and contract documents anticipated by the contract and shall have completed training through the Dispute Review Board Foundation. In addition, it is desirable for the DRA to have served on several State Dispute Review Boards (DRB).

No DRA shall have prior direct involvement in this contract. No DRA shall have a financial interest in this contract or parties thereto, including but not limited to the CONTRACTOR, subcontractors, suppliers, consultants, and legal and

business services, within a period 6 months prior to award and during this contract. Exceptions to above are compensation for services on this or other DRAs and DRBs or retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

DRA shall fully disclose all direct or indirect professional or personal relationships with all key members of the contract.

### **SECTION III SCOPE OF WORK**

The Scope of Work of the DRA includes, but is not limited to, the following:

#### **A. PROCEDURES**

The DRA shall meet with the parties at the start of the project to establish procedures that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. The DRA established procedures shall only be implemented upon approval by the parties. Subsequent meetings shall be held only to hear disputes between the parties.

The DRA shall not meet with, or discuss contract issues with individual parties.

State shall provide the DRA with the contract and all written correspondence regarding the dispute between the parties and, if available, the Contractor's supplemental notice of potential claim, and the Engineer's response to the supplemental notice of potential claim.

The parties shall not call the DRA who served on this contract as a witness in arbitration proceedings, which may arise from this contract.

The DRA shall have no claim against the STATE or the CONTRACTOR, or both, from claimed harm arising out of the parties' evaluations of the DRA's opinions.

#### **B. DISPUTE MEETING**

The term "dispute meeting" as used in this subsection shall refer to both the informal and traditional dispute meeting processes, unless otherwise noted.

If the CONTRACTOR requests a dispute meeting with the DRA, the Contractor must simultaneously notify the STATE. Upon being notified of the need for a dispute meeting, the DRA shall review and consider the dispute. The DRA shall determine the time and location of the dispute meeting with due consideration for the needs and preferences of the parties, while recognizing the importance of a speedy resolution to the dispute.

Dispute meetings shall be conducted at any location that would be convenient and provide required facilities and access to necessary documentation.

Only the STATE's Resident Engineer or Area Construction Engineer and the CONTRACTOR's or subcontractor's, if the dispute involves a subcontractor, Superintendent or Project Manager may present information at a dispute meeting. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute. The exception to this is technical services, as described below:

The DRA, with approval of the parties, may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the two parties as specified in an approved contract change order. The CONTRACTOR shall not be entitled to markups for the payments made for these services.

At the dispute meeting the DRA may ask questions, seek clarification, and request further clarification of data presented by either of the parties as may be necessary to assist in making a fully informed recommendation. However, the DRA shall refrain from expressing opinions on the merits of statements on matters under dispute during the parties' presentations. Each party will be given ample time to fully present its position, make rebuttals, provide relevant documents, and respond to DRA questions and requests.

There shall be no testimony under oath or cross-examination, during DRA dispute meetings. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRA in conformance with the rules and regulations established at the first meeting between the DRA and parties. These established rules and regulations need not comply with prescribed legal laws of evidence.

Failure to attend a dispute meeting by either of the parties shall be conclusively considered by the DRA as indication that the non-attending party considers all written documents and correspondence submitted as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals at the meeting until all aspects of the dispute are thoroughly covered.

## **1. TRADITIONAL DISPUTE MEETING:**

The following procedure shall be used for the traditional dispute meeting:

- a. Within 5 days, after receiving the STATE's written response to the CONTRACTOR's supplemental notice of potential claim, the CONTRACTOR shall refer the dispute to the DRA, if the CONTRACTOR wishes to further pursue the dispute. The CONTRACTOR shall make the referral in writing to the DRA, simultaneously copied to the STATE. The written dispute referral shall describe the disputed matter in individual discrete segments, so that it will be clear to both parties and the DRA what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
- b. The parties shall each be afforded an opportunity to be present and to be heard by the DRA, and to offer evidence. Either party furnishing written evidence or documentation to the DRA must furnish copies of such information to the other party a minimum of 10 days prior to the date the DRA is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRA may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRA. The DRA shall not consider evidence not furnished in conformance with the terms specified herein.
- c. Upon receipt by the DRA of a written referral of a dispute, the DRA shall convene to review and consider the dispute. The dispute meeting shall be held no later than 25 days after receipt of the written referral unless otherwise agreed to by all parties.
- d. The DRA shall furnish a written report to both parties. The DRA may request clarifying information of either party within 5 days after the DRA dispute meeting. Requested information shall be submitted to the DRA within 5 days of the DRA request. The DRA shall complete its report and submit it to the parties within 10 days of the DRA dispute meeting, except that time extensions may be granted at the request of the DRA with the written concurrence of both parties. The report shall summarize the facts considered, the contract language, law or regulation viewed by the DRA as pertinent to the dispute, and the DRA's interpretation and philosophy in arriving at its conclusions and recommendations and, if appropriate, recommends guidelines for determining compensation. The DRA's written opinion shall stand on its own, without attachments or appendices.
- e. Within 10 days after receiving the DRA's report, both parties shall respond to the DRA in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRA's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRA recommendation. Immediately after responses have been received from both parties, the DRA shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRA's report from the DRA prior to responding to the report. The DRA shall consider any clarification request only if submitted within 5 days of receipt of the DRA's report, and if submitted simultaneously in writing to both the DRA and the other party. Each party may submit only one request for clarification for any individual DRA report. The DRA shall respond, in writing, to requests for clarification within 5 days of receipt of such requests.
- f. Either party may seek a reconsideration of the DRA's recommendation. The DRA shall only grant reconsideration based upon submission of new evidence and if the request is submitted within the 10 day time limit specified for response to the DRA's written report. Each party may submit only one request for reconsideration regarding an individual DRA recommendation.
- g. If the parties are able to settle their dispute with the aid of the DRA's report, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties. If the parties cannot agree on compensation within 30 days of the acceptance by both parties of the settlement, either party may request the DRA to make a recommendation regarding compensation.

## **2. INFORMAL DISPUTE MEETING**

An informal dispute meeting shall be convened, only if, the parties and the DRA agree that this dispute resolution process is appropriate to settle the dispute.

The following procedure shall be used for the informal dispute meeting:

- a. The parties shall furnish the DRA with one copy of pertinent documents requested by the DRA that are or may become necessary for the DRA to perform its function. The party furnishing documents shall furnish such documents to the other party at the same time the document is provided to the DRA.
- b. After the dispute meeting has concluded; the DRA shall deliberate in private the same day, until a response to the parties is reached or as otherwise agreed to by the parties.

- c. The DRA then verbally delivers its recommendation with findings to the parties.
- d. After the recommendation is presented, the parties may ask for clarifications.
- e. Occasionally the DRA on complex issues may be unable to formulate a recommendation based on the information given at a dispute meeting. However, the DRA may provide the parties with advice on strengths and weaknesses of their prospective positions, in the hope of the parties reaching settlement.
- f. If the parties are able to settle their dispute with the aid of the DRA's opinion, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties.
- g. The DRA will not be bound by its oral recommendation in the event that a dispute is later heard by the DRA in a traditional dispute meeting.

Unless the dispute is settled, use of the informal dispute meeting does not relieve the parties of their responsibilities under Section 5-1.12, "Dispute Resolution Advisor," of the Special Provisions or Subsection, "Traditional Dispute Meeting," of this AGREEMENT. There will be no extension of time allowed for the process to permit the use of the informal dispute meeting, unless otherwise agreed to by the parties.

#### **SECTION IV TIME FOR BEGINNING AND COMPLETION**

Once established, the DRA shall be in operation until the day the Director accepts the contract. The DRA shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE or as agreed to by the parties.

#### **SECTION V PAYMENT**

DRA shall be compensated at an agreed rate of \$1,500 per day for time spent per meeting, either at the start of the project or for a dispute. A member serving on more than one State DRA or DRB, regardless the number of meetings per day, shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for onsite time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof that the DRA is at an authorized DRA meeting. No additional compensation will be made for time spent by DRA to review and research activities outside the official DRA meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRA), has been specifically agreed to in advance by the parties. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of \$150 per hour. The agreed amount of \$150 per hour shall include all incidentals including expenses for telephone, fax, and computer services. The State will provide administrative services such as conference facilities to the DRA.

##### **A. PAYMENT PROCESSING**

CONTRACTOR shall make direct payments to DRA for their participation in authorized meetings and approved hourly rate charges, from invoices submitted by the DRA, and technical services.

DRA may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRA until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

##### **B. INSPECTION OF COSTS RECORDS**

DRA and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

#### **SECTION VI ASSIGNMENT OF TASKS OF WORK**

DRA shall not assign the work of this AGREEMENT.

#### **SECTION VII TERMINATION OF A DRA MEMBER**

DRA may resign after providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. The DRA may be terminated, by either party, for failing to fully comply at all times with all required employment or financial disclosure conditions of DRA membership in conformance with the terms of the contract and this AGREEMENT. Each party shall document the need for replacement and substantiate the replacement request in writing to the other party and the DRA.

## **SECTION VIII LEGAL RELATIONS**

The parties hereto mutually understand and agree that the DRA in the performance of duties is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRA from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRA.

## **SECTION IX CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRA, which documents and records are marked "Confidential - for use by the DRA only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRA findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of this AGREEMENT. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRA. However, the parties understand that such documents may be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

## **SECTION X DISPUTES**

Disputes between the parties arising out of the work or other terms of this AGREEMENT that cannot be resolved by negotiation and mutual concurrence between the parties or through the administrative process provided in the contract shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications. Disputes between the DRA and the parties that cannot be resolved by negotiation and mutual concurrence shall be resolved in the appropriate forum.

## **SECTION XI VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party, including the DRA, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

## **SECTION XII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRA in progress, except for private meetings or deliberations of the DRA.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIII CERTIFICATION OF CONTRACTOR, DRA, AND STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRA

By: \_\_\_\_\_

Title: \_\_\_\_\_

CONTRACTOR

CALIFORNIA STATE DEPARTMENT  
OF TRANSPORTATION

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

**5-1.15C Dispute Review Board**

- Section 5-1.15C, "Dispute Review Board," applies to a contract over \$10 million.

**5-1.15C(1) General**

To assist in the resolution of disputes or potential claims arising out of the work of this project, a Dispute Review Board, hereinafter referred to as the "DRB," shall be established by the Engineer and Contractor cooperatively upon approval of the contract. The DRB is intended to assist the contract administrative claims resolution process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications and these special provisions. The DRB shall not serve as a substitute for provisions in the specifications in regard to filing potential claims. The requirements and procedures established in this section shall be a prerequisite to filing a claim, filing for arbitration, or filing for litigation prior or subsequent to project completion.

The DRB shall be utilized when dispute or potential claim resolution at the project level is unsuccessful. The DRB shall function as specified herein until the day of acceptance of the contract, at which time the work of the DRB will cease except for completion of unfinished reports. No DRB dispute meetings shall take place later than 30 days prior to acceptance of contract. After acceptance of contract, disputes or potential claims which have followed the dispute resolution processes of the Standard Specifications and these special provisions, but have not been resolved, shall be stated or restated by the Contractor, in response to the Proposed Final Estimate within the time limits provided in Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The State will review those claims in conformance with the provisions in Section 9-1.07B of the Standard Specifications. Following the adherence to and completion of the contractual administrative claims procedure, the Contractor may file for arbitration in conformance with the provisions in Section 9-1.10, "Arbitration," of the Standard Specifications and these special provisions.

Disputes, as used in this section, shall include differences of opinion, properly noticed as provided hereinafter, between the State and Contractor on matters related to the work and other subjects considered by the State or Contractor, or by both, to be of concern to the DRB on this project, except matters relating to Contractor, subcontractor or supplier potential claims not actionable against the Department as specified in these special provisions or quantification of disputes for overhead type expenses or costs. Disputes for overhead type expenses or costs shall conform to the requirements of Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. Whenever the term "dispute" or "disputes" is used herein, it shall be deemed to include potential claims as well as disputes.

The DRB shall serve as an advisory body to assist in the resolution of disputes between the State and the Contractor, hereinafter referred to as the "parties." The DRB shall consider disputes referred to it, and furnish written reports containing findings and recommendations pertaining to those disputes, to the parties to aid in resolution of the differences between them. DRB findings and recommendations are not binding on the parties.

**5-1.15C(2) Selection Process, Disclosure and Appointments**

The DRB shall consist of one member selected by the State and approved by the Contractor, one member selected by the Contractor and approved by the State, and a third member selected by the first 2 members and approved by both the State and the Contractor. The third member shall act as the DRB Chairperson.

DRB members shall be especially knowledgeable in the type of construction and contract documents potentially anticipated by the contract. DRB members shall discharge their responsibilities impartially as an independent body,

considering the facts and circumstances related to the matters under consideration, pertinent provisions of the contract and applicable laws and regulations.

The State and the Contractor shall nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions, within 45 days of the approval of the contract. Each party shall provide written notification to the other of the name of their selected DRB nominee along with the prospective member's complete written disclosure statement.

Disclosure statements shall include a resume of the prospective member's experience and a declaration statement describing past, present, anticipated, and planned relationships, including indirect relationships through the prospective member's primary or full-time employer, to this project and with the parties involved in this construction contract, including but not limited to, relevant subcontractors or suppliers to the parties, parties' principals, or parties' counsel. DRB members shall also include a full disclosure of close professional or personal relationships with all key members of the contract. Objections to nominees must be based on a specific breach or violation of nominee responsibilities or on nominee qualifications under these provisions unless otherwise specified. The Contractor or the State may, on a one-time basis, object to the other's nominee without specifying a reason and this person will not be selected for the DRB. Another person shall then be nominated within 15 days.

The first duty of the State and Contractor selected members of the DRB shall be to select and recommend a prospective third DRB member to the parties for final selection and approval. The first 2 DRB members shall proceed with the selection of the third DRB member immediately upon receiving written notification from the State of their selection, and shall provide their recommendation simultaneously to the parties within 15 days of the notification.

The first 2 DRB members shall select a third DRB member subject to mutual approval of the parties or may mutually concur on a list of potentially acceptable third DRB members and submit the list to the parties for final selection and approval of the third member. The goal in the selection of the third member is to complement the professional experience of the first 2 members and to provide leadership for the DRB's activities.

The third prospective DRB member shall supply a full disclosure statement to the first 2 DRB members and to the parties prior to appointment.

An impasse shall be considered to have been reached if the parties are unable to approve a third member within 15 days of receipt of the recommendation of the first 2 DRB members, or if the first 2 DRB members are unable to agree upon a recommendation within their 15 day time limit. In the event of an impasse in selection of third DRB member the State and the Contractor shall each propose 3 candidates for the third DRB member position. The parties shall select the candidates proposed under this paragraph from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 (commencing with Section 10245) of the State Contract Act. The first 2 DRB members shall then select one of the 6 proposed candidates in a blind draw.

No DRB member shall have prior direct involvement in this contract. No member shall have a financial interest in this contract or the parties thereto, within a period of 6 months prior to award of this contract or during the contract, except as follows:

- A. Compensation for services on this DRB.
- B. Ownership interest in a party or parties, documented by the prospective DRB member, that has been reviewed and determined in writing by the State to be sufficiently insignificant to render the prospective member acceptable to the State.
- C. Service as a member of other Dispute Review Boards on other contracts.
- D. Retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.
- E. The above provisions apply to parties having a financial interest in this contract, including but not limited to contractors, subcontractors, suppliers, consultants, and legal and business services.

The Contractor or the State may reject any of the 3 DRB members who fail to fully comply at all times with all required employment and financial disclosure conditions of DRB membership as described in the Dispute Review Board Agreement and as specified herein. A copy of the Dispute Review Board Agreement is included in this section.

The Contractor, the State, and the 3 members of the DRB shall complete and adhere to the Dispute Review Board Agreement in administration of this DRB within 15 days of the parties' concurrence in the selection of the third member. No DRB meeting shall take place until the Dispute Review Board Agreement has been signed by all parties. The State authorizes the Engineer to execute and administer the terms of the Agreement. The person(s) designated by the Contractor as authorized to execute contract change orders shall be authorized to execute and administer the terms of this agreement, or to delegate the authority in writing. The operation of the DRB shall be in conformance with the terms of the Dispute Review Board Agreement.

### **5-1.15C(3) Compensation**

The State and the Contractor shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of \$1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of \$700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRB), has been specifically agreed to in advance by the State and Contractor. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of \$125 per hour. The agreed amount of \$125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the Department, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The State will provide, at no cost to the Contractor, administrative services such as conference facilities and secretarial services to the DRB. These special provisions and the Dispute Review Board Agreement state the provisions for compensation and expenses of the DRB. DRB members shall be compensated at the same daily and hourly rate. The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The State will reimburse the Contractor for the State's share of the costs. There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the State's share of DRB expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

### **5-1.15C(4) Replacement of DRB Members**

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

- A. The State may terminate service of the State appointed member.
- B. The Contractor may terminate service of the Contractor appointed member.
- C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
- D. Upon resignation of a member.
- E. The State or Contractor may terminate the service of any member who fails to fully comply with all required employment and financial disclosure conditions of DRB membership.

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the 2 parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Review Board Agreement shall be amended to reflect the change of a DRB member.

### **5-1.15C(5) Operation**

The following procedure shall be used for dispute resolution:

- A. If the Contractor objects to any decision, act or order of the Engineer, the Contractor shall give written notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and these special provisions, including the provision of applicable cost documentation; or file written protests or notices in conformance with the provisions in the Standard Specifications and these special provisions.
- B. The Engineer will respond, in writing, to the Contractor's written supplemental notice of potential claim within 20 days of receipt of the notice.
- C. Within 15 days after receipt of the Engineer's written response, the Contractor shall, if the Contractor still objects, file a written reply with the Engineer, stating clearly and in detail the basis of the objection.
- D. Following an objection to the Engineer's written response, the Contractor shall refer the dispute to the DRB if the Contractor wishes to further pursue the objection to the Engineer's decision. The Contractor shall make the referral in writing to the DRB, simultaneously copied to the State, within 21 days after receipt of the written response from the Engineer. The written dispute referral shall describe the disputed matter in individual discrete segments so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which

remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.

- E. By failing to submit the written notice of referral to the DRB, within 21 days after receipt of the Engineer's written response to the supplemental notice of potential claim, the Contractor waives future claims and arbitration on the matter in contention.
- F. The Contractor and the State shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.
- G. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties. The DRB shall determine the time and location of the DRB dispute meeting, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of a timely hearing of the dispute.
- H. There shall be no participation of either party's attorneys at DRB dispute meetings.
- I. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute, including but not limited to consultants, except for expert testimony allowed at the discretion of the DRB and with approval prior to the dispute meeting by both parties.
- J. The DRB shall furnish a report, containing findings and recommendations as described in the Dispute Review Board Agreement, in writing to both the State and the Contractor. The DRB may request clarifying information of either party within 10 days after the DRB dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request. The DRB shall complete its report, including minority opinion, if any, and submit it to the parties within 30 days of the DRB dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of both parties. The report shall include the facts and circumstances related to the matters under consideration, pertinent provisions of the contract, applicable laws and regulations, and actual costs and time incurred as shown on the Contractor's cost accounting records. The DRB shall make recommendations on the merit of the dispute and, if appropriate, recommend guidelines for determining compensation.
- K. Within 30 days after receiving the DRB's report, both the State and the Contractor shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.
- L. The DRB's recommendations, stated in the DRB's reports, are not binding on either party. Either party may seek a reconsideration of a recommendation of the DRB. The DRB shall only grant a reconsideration based upon submission of new evidence and if the request is submitted within the 30-day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.
- M. If the State and the Contractor are able to resolve their dispute with the aid of the DRB's report, the State and Contractor shall promptly accept and implement the recommendations of the DRB. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.
- N. The State or the Contractor shall not call DRB members who served on the DRB for this contract as witnesses in arbitration proceedings which may arise from this contract, and all documents created by the DRB shall be inadmissible as evidence in subsequent arbitration proceedings, except the DRB's final written reports on each issue brought before it.
- O. The State and Contractor shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

- P. The DRB members shall have no claim against the State or the Contractor, or both, from claimed harm arising out of the parties' evaluations of the DRB's report.

#### **5-1.15C(6) Disputes Involving Subcontractor Potential Claims**

For purposes of this section, a "subcontractor potential claim" shall include any potential claim by a subcontractor (including also any pass through potential claims by a lower tier subcontractor or supplier) against the Contractor that is actionable by the Contractor against the Department which arises from the work, services, or materials provided or to be provided in connection with the contract. If the Contractor determines to pursue a dispute against the Department that includes a subcontractor potential claim, the dispute shall be processed and resolved in conformance with these special provisions and in conformance with the following:

- A. The Contractor shall identify clearly in submissions pursuant to this section, that portion of the dispute that involves a subcontractor potential claim or potential claims.
- B. The Contractor shall include, as part of its submission pursuant to Step D above, a certification (False Claims Act Certification) by the subcontractor's or supplier's officer, partner, or authorized representative with authority to bind the subcontractor and with direct knowledge of the facts underlying the subcontractor potential claim. The Contractor shall submit a certification that the subcontractor potential claim is acknowledged and forwarded by the Contractor. The form for these certifications is available from the Engineer.
- C. At DRB dispute meetings involving one or more subcontractor potential claims, the Contractor shall require that each subcontractor involved in the dispute have present an authorized representative with actual knowledge of the facts underlying the subcontractor potential claim to assist in presenting the subcontractor potential claim and to answer questions raised by the DRB members or the Department's representatives.
- D. Failure by the Contractor to declare a subcontractor potential claim on behalf of its subcontractor (including lower tier subcontractors' and suppliers' pass through potential claims) at the time of submission of the Contractor's potential claims, as provided hereunder, shall constitute a release of the State by the Contractor of such subcontractor potential claim.
- E. The Contractor shall include in all subcontracts under this contract that subcontractors and suppliers of any tier (a) agree to submit subcontractor potential claims to the Contractor in a proper form and in sufficient time to allow processing by the Contractor in conformance with the Dispute Review Board resolution specifications; (b) agree to be bound by the terms of the Dispute Review Board provisions to the extent applicable to subcontractor potential claims; (c) agree that, to the extent a subcontractor potential claim is involved, completion of all steps required under these Dispute Review Board special provisions shall be a condition precedent to pursuit by the subcontractor of other remedies permitted by law, including without limitation of a lawsuit against the Contractor; and (d) agree that the existence of a dispute resolution process for disputes involving subcontractor potential claims shall not be deemed to create any claim, right, or cause of action by any subcontractor or supplier against the Department.

Notwithstanding the foregoing, this Dispute Review Board special provision shall not apply to, and the DRB shall not have the authority to consider, subcontractor potential claims between the subcontractor(s) or supplier(s) and the Contractor that are not actionable by the Contractor against the Department.

#### **5-1.15C(7) Dispute Review Board Agreement**

A copy of the "Dispute Review Board Agreement" to be executed by the Contractor, State and the 3 DRB members after approval of the contract follows:

**DISPUTE REVIEW BOARD AGREEMENT**

\_\_\_\_\_  
(Contract Identification)

Contract No. \_\_\_\_\_

**THIS DISPUTE REVIEW BOARD AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," \_\_\_\_\_ hereinafter called the "CONTRACTOR," and the Dispute Review Board, hereinafter called the "DRB" consisting of the following members:

\_\_\_\_\_  
(Contractor Appointee) ,

\_\_\_\_\_  
(State Appointee) ,

and \_\_\_\_\_  
(Third Person)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The intent of the DRB is to fairly and impartially consider disputes placed before it and provide written recommendations for resolution of these disputes to both parties. The members of this DRB shall perform the services necessary to participate in the DRB's actions as designated in Section II, Scope of Work.

**SECTION II SCOPE OF WORK**

The scope of work of the DRB includes, but is not limited to, the following:

**A. OBJECTIVE**

The principal objective of the DRB is to assist in the timely resolution of disputes between the parties arising from performance of this contract. It is not intended for either party to default on their normal responsibility to amicably and fairly settle their differences by indiscriminately assigning them to the DRB. It is intended that the mere existence of the DRB will encourage the parties to resolve disputes without resorting to this review procedure. But when a dispute that is serious enough to warrant the DRB's review does develop, the process for prompt and efficient action will be in place.

**B. PROCEDURES**

The DRB shall render written reports on disputes between the parties arising from the construction contract. Prior to consideration of a dispute, the DRB shall establish rules and regulations that will govern the conduct of its business and

reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. DRB recommendations, resulting from its consideration of a dispute, shall be furnished in writing to both parties. The recommendations shall be based on facts and circumstances involved in the dispute, pertinent contract provisions, applicable laws and regulations. The recommendations shall find one responsible party in a dispute; shared or "jury" determinations shall not be rendered. The DRB shall make recommendations on the merit of the dispute, and if appropriate, recommend guidelines for determining compensation. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

The DRB shall refrain from officially giving advice or consulting services to anyone involved in the contract. The individual members shall act in a completely independent manner and while serving as members of the DRB shall have no consulting business connections with either party or its principals or attorneys or other affiliates (subcontractors, suppliers, etc.) who have a beneficial interest in the contract.

During scheduled meetings of the DRB as well as during dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties, except as directed by the DRB Chairperson. Such discussions or meetings shall be disclosed to both parties. Other discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

### **C. CONSTRUCTION SITE VISITS, PROGRESS MEETINGS AND FIELD INSPECTIONS**

The DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
5. An outline by the STATE's representative of the status of the work as the STATE views it.
6. A brief description by the CONTRACTOR's or STATE's representative of potential claims or disputes which have surfaced since the last meeting.
7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past disputes and potential claims.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

The field inspection shall cover all active segments of the work, the DRB being accompanied by both parties' representatives. The field inspection may be waived upon mutual agreement of the parties.

### **D. DRB CONSIDERATION AND HANDLING OF DISPUTES**

Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral, unless otherwise agreed to by all parties. The DRB shall determine the time and location of DRB dispute meetings, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of speedy resolution of issues. No dispute meetings shall take place later than 30 days prior to acceptance of contract.

Normally, dispute meetings shall be conducted at or near the project site. However, any location that would be more convenient and still provide required facilities and access to necessary documentation shall be satisfactory.

Both parties shall be given the opportunity to present their evidence at these dispute meetings. It is expressly understood that the DRB members are to act impartially and independently in the consideration of the contract provisions, applicable laws and regulations, and the facts and conditions surrounding any dispute presented by either party, and that the recommendations concerning any such dispute are advisory and nonbinding on the parties.

The DRB may request that written documentation and arguments from both parties be sent to each DRB member, through the DRB Chairperson, for review before the dispute meeting begins. A party furnishing written documentation to the DRB shall furnish copies of such information to the other party at the same time that such information is supplied to the DRB.

DRB dispute meetings shall be informal. There shall be no testimony under oath or cross-examination. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with acceptance standards established by the DRB. These standards need not comply with prescribed legal laws of evidence.

The third DRB member shall act as Chairperson for dispute meetings and all other DRB activities. The parties shall have a representative at all dispute meetings. Failure to attend a duly noticed dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers written submittals as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals until all aspects of the dispute are thoroughly covered. DRB members shall ask questions, seek clarification, and request further data from either of the parties as may be necessary to assist in making a fully informed recommendation. The DRB may request from either party documents or information that would assist the DRB in making its findings and recommendations including, but not limited to, documents used by the CONTRACTOR in preparing the bid for the project. A refusal by a party to provide information requested by the DRB may be considered by the DRB as an indication that the requested material would tend to disprove that party's position. In large or complex cases, additional dispute meetings may be necessary in order to consider all the evidence presented by both parties. All involved parties shall maintain the confidentiality of all documents and information, as provided in this AGREEMENT.

During dispute meetings, no DRB member shall express an opinion concerning the merit of any facet of the case. DRB deliberations shall be conducted in private, with interim individual views kept strictly confidential.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by 2 or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB's findings and recommendations, along with discussion of reasons therefor, shall then be submitted as a written report to both parties. Recommendations shall be based on the pertinent contract provisions, applicable laws and regulations, and facts and circumstances related to the dispute. The report shall be thorough in discussing the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the issues, and the DRB's interpretation and philosophy in arriving at its conclusions and recommendations. The DRB's report shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

With prior written approval of both parties, the DRB may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the 2 parties as specified in an approved contract change order. The CONTRACTOR will not be entitled to markups for the payments made for these services.

The DRB shall resist submittal of incremental portions of information by either party, in the interest of making a fully informed decision and recommendation.

The DRB shall make every effort to reach a unanimous decision. If this proves impossible, the dissenting member shall prepare a minority opinion, which shall be included in the DRB's report.

Although both parties should place weight upon the DRB's recommendations, they are not binding. Either party may appeal a recommendation to the DRB for reconsideration. However, reconsideration shall only be allowed when there is new evidence to present, and the DRB shall accept only one appeal from each party pertaining to an individual DRB recommendation. The DRB shall hear appeals in conformance with the terms described in the Section entitled "Dispute Review Board" in the special provisions.

#### **E. DRB MEMBER REPLACEMENT**

Should the need arise to appoint a replacement DRB member, the replacement DRB member shall be appointed in the same manner as the original DRB members were appointed. The selection of a replacement DRB member shall begin promptly upon notification of the necessity for a replacement and shall be completed within 15 days. This AGREEMENT shall be amended to indicate change in DRB membership.

### **SECTION III CONTRACTOR RESPONSIBILITIES**

The CONTRACTOR shall furnish to each DRB member one copy of pertinent documents that are or may become necessary for the DRB to perform their function. Pertinent documents are written notices of potential claim, responses to those notices, drawings or sketches, calculations, procedures, schedules, estimates, or other documents which are used in the

performance of the work or in justifying or substantiating the CONTRACTOR's position. The CONTRACTOR shall also furnish a copy of such pertinent documents to the STATE, in conformance with the terms outlined in the special provisions.

#### **SECTION IV STATE RESPONSIBILITIES**

The STATE will furnish the following services and items:

##### **A. CONTRACT RELATED DOCUMENTS**

The STATE will furnish to each DRB member one copy of Notice to Contractors and Special Provisions, Proposal and Contract, Plans, Standard Specifications, and Standard Plans, change orders, written instructions issued by the STATE to the CONTRACTOR, or other documents pertinent to any dispute that has been referred to the DRB and necessary for the DRB to perform its function.

##### **B. COORDINATION AND SERVICES**

The STATE, through the Engineer, will, in cooperation with the CONTRACTOR, coordinate the operations of the DRB. The Engineer will arrange or provide conference facilities at or near the project site and provide secretarial and copying services to the DRB without charge to the CONTRACTOR.

#### **SECTION V TIME FOR BEGINNING AND COMPLETION**

Once established, the DRB shall be in operation until the day of acceptance of the contract. The DRB members shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE.

#### **SECTION VI PAYMENT**

##### **A. ALL INCLUSIVE RATE PAYMENT**

The STATE and the CONTRACTOR shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of \$1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of \$700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time has been specifically agreed to in advance by the STATE and CONTRACTOR. Time away from the project that has been specifically agreed to in advance by the parties will be compensated at an agreed rate of \$125 per hour. The agreed amount of \$125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the State, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The STATE will provide, at no cost to the CONTRACTOR, administrative services such as conference facilities and secretarial services to the DRB.

##### **B. PAYMENTS**

DRB members shall be compensated at the same rate. The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The STATE will reimburse the CONTRACTOR for its share of the costs of the DRB.

The DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

Invoices shall be accompanied by original supporting documents, which the CONTRACTOR shall include with the extra work billing when submitting for reimbursement of the STATE's share of cost from the STATE. The CONTRACTOR will be reimbursed for one-half of approved costs of the DRB. No markups will be added to the CONTRACTOR's payment.

##### **C. INSPECTION OF COSTS RECORDS**

The DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of

the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

#### **SECTION VII ASSIGNMENT OF TASKS OF WORK**

The DRB members shall not assign the work of this AGREEMENT.

#### **SECTION VIII TERMINATION OF DRB MEMBERS**

DRB members may resign from the DRB by providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. DRB members may be terminated by their original appointing power or by either party, for failing to fully comply at all times with all required employment and financial disclosure conditions of DRB membership in conformance with the terms of the contract.

#### **SECTION IX LEGAL RELATIONS**

The parties hereto mutually understand and agree that the DRB member in the performance of duties on the DRB, is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

#### **SECTION X CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of the DRB. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents shall be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

#### **SECTION XI DISPUTES**

Disputes between the parties hereto, including disputes between the DRB members and either party or both parties, arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications.

#### **SECTION XII VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party, including an individual member of the DRB, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

#### **SECTION XIII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIV CERTIFICATION OF THE CONTRACTOR, THE DRB MEMBERS, AND THE STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

DRB MEMBER

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title : \_\_\_\_\_

DRB MEMBER

By : \_\_\_\_\_

Title : \_\_\_\_\_

CONTRACTOR

CALIFORNIA STATE DEPARTMENT  
OF TRANSPORTATION

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

**Add:**

**5-1.16-5-17 (BLANK)**

**Add:**

**5-1.18 PROPERTY AND FACILITY PRESERVATION**

**5-1.18A General**

Preserve property and facilities, including:

1. Adjacent property
2. Department's instrumentation
3. ESAs
4. Lands administered by other agencies
5. Railroads and railroad equipment
6. Roadside vegetation not to be removed
7. Utilities
8. Waterways

Immediately report damage to the Engineer.

If you cause damage, you are responsible.

Install sheet piling, cribbing, bulkheads, shores, or other supports necessary to support existing facilities or support material carrying the facilities.

Dispose of temporary facilities when they are no longer needed.

If you damage plants not to be removed:

1. Dispose of them outside the right of way unless the Engineer allows you to reduce them to chips and spread the chips within the highway at locations designated by the Engineer
2. Replace them

Replace plants with plants of the same species.

Replace trees with 600 mm-box trees.

Replace shrubs with No. 15 container shrubs.



**Replace Section 6-1.05 with:**

**6-1.05 Specific Brand or Trade Name and Substitution**

A reference to a specific brand or trade name establishes a quality standard and is not intended to limit competition. You may use a product that is equal to or better than the specified brand or trade name if approved.

Submit a substitution request within a time period that:

1. Follows Contract award
2. Allows 30 days for review
3. Causes no delay

Include substantiating data with the substitution request that proves the substitution:

1. Is of equal or better quality and suitability
2. Causes no delay in product delivery and installation

**Add:**

**6-1.075 GUARANTEE**

Guarantee the work remains free from substantial defects for 1 year after contract acceptance except for work parts for which you were relieved of maintenance and protection. Guarantee each of these relieved work parts for 1 year after the relief date.

The guarantee excludes damage or displacement caused by an event outside your control including:

1. Normal wear and tear
2. Improper operation
3. Insufficient maintenance
4. Abuse
5. Unauthorized change
6. Act of God

During the guarantee period, repair or replace each work portion having a substantial defect.

The Department does not pay for corrective work.

During corrective work activities, provide insurance coverage specified for coverage before contract acceptance.

The contract bonds must be in full force and effect until the later of:

1. Expiration of guarantee period
2. Completion of corrective work

If a warranty specification conflicts with Section 6-1.075, "Guarantee," comply with the warranty specification.

During the guarantee period, the Engineer monitors the completed work. If the Engineer finds work having a substantial defect, the Engineer lists work parts and furnishes you the list.

Within 10 days of receipt of the list, submit for authorization a detailed plan for correcting the work. Include a schedule that includes:

1. Start and completion dates
2. List of labor, equipment, materials, and any special services you plan to use
3. Work related to the corrective work, including traffic control and temporary and permanent pavement markings

The Engineer notifies you when the plan is authorized. Start corrective work and related work within 15 days of notice.

If the Engineer determines corrective work is urgently required to prevent injury or property damage:

1. The Engineer furnishes you a request to start emergency repair work and a list of parts requiring corrective work
2. Mobilize within 24 hours and start work
3. Submit a corrective work plan within 5 days of starting emergency repair work

If you fail to perform work as specified, the Department may perform the work and bill you.

**Add:**

**6-1.085 BUY AMERICA (23 CFR 635.410)**

For a Federal-aid contract, furnish steel and iron materials to be incorporated into the work that are produced in the United States except:

1. Foreign pig iron and processed, pelletized, and reduced iron ore may be used in the domestic production of the steel and iron materials [60 Fed Reg 15478 (03/24/1995)]
2. If the total combined cost of the materials does not exceed the greater of 0.1 percent of the total bid or \$2 500, material produced outside the United States may be used

Production includes:

1. Processing steel and iron materials, including smelting or other processes that alter the physical form or shape (such as rolling, extruding, machining, bending, grinding, and drilling) or chemical composition
2. Coating application, including epoxy coating, galvanizing, and painting, that protects or enhances the value of steel and iron materials

For steel and iron materials to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies all production processes occurred in the United States except for the above exceptions.

**Add:**

**6-1.087 BUY AMERICA (PUB RES CODE § 42703(d))**

Furnish crumb rubber to be incorporated into the work that is produced in the United States and is derived from waste tires taken from vehicles owned and operated in the United States.

For crumb rubber to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies only crumb rubber manufactured in the United States and derived from waste tires taken from vehicles owned and operated in the United States is used.

**In Section 6-2.01 delete the 4th paragraph.**

**In Section 6-2.01 replace the 7th paragraph with:**

Upon the Contractor's written request, the Department tests materials from an untested local source. If satisfactory material from that source is used in the work, the Department does not charge the Contractor for the tests; otherwise, the Department deducts the test cost.

**In Section 6-2.01 delete the 8th paragraph.**

**In Section 6-2.02 delete the 3rd paragraph.**

**In Section 6-2.02 in the 7th paragraph, replace the 2nd sentence with:**

The Department deducts the charges for the removed material.

**In Section 6-3.01 delete the 4th paragraph.**



**In Section 7-1.01A(3) replace the 2nd paragraph with:**

The Department withholds the penalties specified in subdivision (g) of Labor Code § 1776 for noncompliance with the requirements in Section 1776.

**In Section 7-1.01A(3) replace the 4th paragraph with:**

The Department withholds for delinquent or inadequate payroll records (Labor Code § 1771.5). If the Contractor has not submitted an adequate payroll record by the month's 15th day for the period ending on or before the 1st of that month, the Department withholds 10 percent of the monthly progress estimate, exclusive of mobilization. The Department does not withhold more than \$10 000 or less than \$1000.

**In Section 7-1.01A(3) delete the 5th paragraph.**

**Replace Section 7-1.01A(6) with:**

**7-1.01A(6) (Blank)**

**Add:**

**7-1.01K Solid Waste Disposal and Recycling**

Submit an annual Solid Waste Disposal and Recycling Report between January 1 and 15 for each year work is performed under the Contract at any time during the previous calendar year. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 through December 31 of the previous calendar year.

Submit a final annual Solid Waste Disposal and Recycling Report within 5 business days after Contract acceptance. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 to contract acceptance.

For each failure to submit a completed form, the Department withholds \$10,000.

**Add:**

**7-1.01L Asbestos and Hazardous Substances**

• Upon discovery, immediately stop working in and notify the Engineer of areas where asbestos or a hazardous substance is present if the:

1. Contractor reasonably believes the substance is asbestos as defined in Labor Code § 6501.7 or a hazardous substance as defined in Health & Safety Code §§ 25316 and 25317
2. Presence is not described in the contract
3. Substance has not been made harmless

**Add:**

**7-1.01M Archaeological Discoveries**

If archaeological materials are discovered at the job site, protect and leave them undisturbed in place and comply with:

1. Pub Res Code §§ 5097.5, 5097.98, and 5097.99
2. 14 CA Code of Regs § 4308
4. Penal Code § 622-1/2
5. Health & Safety Code § 7050.5

Archaeological materials are the remains of past human activity including historic-period archaeological materials and prehistoric Native American archaeological materials. Nonhuman fossils are not archaeological materials unless they show direct evidence of human use or alteration or when found in direct physical association with archaeological materials

Historic-period archaeological materials include cultural remains beginning with initial European contact in California but at least 50 years old and include:

1. Trash deposits or clearly defined disposal pits containing tin cans, bottles, ceramic dishes, or other refuse indicating previous occupation or use of the site
2. Structural remains of stone, brick, concrete, wood, or other building material found above or below ground
3. Human skeletal remains from the historic period, with or without coffins or caskets, including any associated grave goods

Prehistoric Native American archaeological materials include:

1. Human skeletal remains or associated burial goods such as beads or ornaments
2. Evidence of tool making or hunting such as arrowheads and associated chipping debris of fine-grained materials such as obsidian, chert, or basalt
3. Evidence of plant processing such as pestles, grinding slabs, or stone bowls
4. Evidence of habitation such as cooking pits, stone hearths, packed or burnt earth floors
5. Remains from food processing such as concentrations of discarded or burnt animal bone, shellfish remains, or burnt rocks used in cooking

Immediately upon discovering archaeological materials, stop all work within an 18.5-meter radius of the archaeological materials and notify the Engineer. Archaeological materials discovered are the property of the State. Do not resume work within the 18.5-meter radius of the discovery until the Engineer gives you written approval. If, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of an archeological discovery or investigation or recovery of archeological materials, you will be compensated for resulting losses and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Department may use other forces to investigate and recover archaeological materials from the location of the discovery. If ordered by the Engineer furnish labor, material, tools, and equipment to secure the location of the discovery and assist in the investigation or recovery of archaeological materials; the cost of this work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

**In Section 7-1.02 in the 2nd paragraph, replace the 4th sentence with:**

Trucks used to haul treated base, portland cement concrete, or hot mix asphalt shall enter onto the base to dump at the nearest practical entry point ahead of spreading equipment.

**In Section 7-1.02 between the 4th and 5th paragraphs, add:**

Loads imposed on existing, new, or partially completed structures shall not exceed the load carrying capacity of the structure or any portion of the structure as determined by AASHTO LRFD with interims and California Amendments, Design Strength Limit State II. The compressive strength of concrete ( $f_c$ ) to be used in computing the load carrying capacity shall be the smaller of the following:

1. Actual compressive strength at the time of loading
2. Value of  $f_c$  shown on the plans for that portion of the structure or 2.5 times the value of  $f_c$  (extreme fiber compressive stress in concrete at service loads) shown on the plans for portions of the structure where no  $f_c$  is shown

**In Section 7-1.06 in the 1st paragraph, add:**

The Contractor's Injury and Illness Prevention Program shall be submitted to the Engineer. The program shall address the use of personal and company issued electronic devices during work. The use of entertainment and personal communication devices in the work zone shall not be allowed. Workers may use a communication device for business purposes in the work area, at a location where their safety and the safety of other workers and the traveling public is not compromised.

**In Section 7-1.09 replace the 8th paragraph with:**

Signs, lights, flags, and other warning and safety devices and their use shall conform to the requirements set forth in Part 6 of the California MUTCD except where a discrepancy exists between the California MUTCD and the specifications; for discrepancies, comply with the specifications. Signs or other protective devices furnished and erected by the Contractor, at the Contractor's expense, as above provided, shall not obscure the visibility of, nor conflict in intent, meaning and function of either existing signs, lights and traffic control devices or any construction area signs and traffic control devices for which furnishing of, or payment for, is provided elsewhere in the specifications. Signs furnished and erected by the Contractor, at the Contractor's expense, shall be approved by the Engineer as to size, wording and location.

**In Section 7-1.09 replace the 14th paragraph with:**

The Contractor shall notify the Engineer not less than 18 days and no more than 90 days prior to the anticipated start of an operation that will change the vertical or horizontal clearance available to public traffic (including shoulders).

**In Section 7-1.09 replace the 16th paragraph with:**

When vertical clearance is temporarily reduced to 4.72 m or less, low clearance warning signs shall be placed in accordance with Part 2 of the California MUTCD and as directed by the Engineer. Signs shall conform to the dimensions, color, and legend requirements of the California MUTCD and these specifications except that the signs shall have black letters and numbers on an orange retroreflective background. W12-2P signs shall be illuminated so that the signs are clearly visible.

**Add to Section 7-1.09:**

The Contractor shall install temporary railing (Type K) between a lane open to public traffic and an excavation, obstacle or storage area when the following conditions exist:

- A. Excavations-The near edge of the excavation is 3.6 m or less from the edge of the lane, except:
  - 1. Excavations covered with sheet steel or concrete covers of adequate thickness to prevent accidental entry by traffic or the public.
  - 2. Excavations less than 0.3 m deep.
  - 3. Trenches less than 0.3 m wide for irrigation pipe or electrical conduit, or excavations less than 0.3 m in diameter.
  - 4. Excavations parallel to the lane for the purpose of pavement widening or reconstruction.
  - 5. Excavations in side slopes, where the slope is steeper than 4:1 (horizontal:vertical).
  - 6. Excavations protected by existing barrier or railing.
- B. Temporarily Unprotected Permanent Obstacles-The work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and the Contractor elects to install the obstacle prior to installing the protective system; or the Contractor, for the Contractor's convenience and with permission of the Engineer, removes a portion of an existing protective railing at an obstacle and does not replace such railing complete in place during the same day.
- C. Storage Areas-Material or equipment is stored within 3.6 m of the lane and the storage is not otherwise prohibited by the provisions of the Standard Specifications and these special provisions.

The approach end of temporary railing (Type K), installed in conformance with the provisions in this section "Public Safety" and in Section 7-1.09, "Public Safety," of the Standard Specifications, shall be offset a minimum of 4.6 m from the edge of the traffic lane open to public traffic. The temporary railing shall be installed on a skew toward the edge of the traffic lane of not more than 0.3 m transversely to 3 m longitudinally with respect to the edge of the traffic lane. If the 4.6 m minimum offset cannot be achieved, the temporary railing shall be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules shall be installed at the approach end of the temporary railing.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Except for installing, maintaining and removing traffic control devices, whenever work is performed or equipment is operated in the following work areas, the Contractor shall close the adjacent traffic lane unless otherwise provided in the Standard Specifications and these special provisions:

| Approach Speed of Public Traffic (Posted Limit)<br>(Kilometers Per Hour) | Work Areas   |
|--|--|
| Over 72 (45 Miles Per Hour)  | Within 1.8 m of a traffic lane but not on a traffic lane |
| 56 to 72 (35 to 45 Miles Per Hour)                                       | Within 0.9 m of a traffic lane but not on a traffic lane |

The lane closure provisions of this section shall not apply if the work area is protected by permanent or temporary railing or barrier.

When traffic cones or delineators are used to delineate a temporary edge of a traffic lane, the line of cones or delineators shall be considered to be the edge of the traffic lane, however, the Contractor shall not reduce the width of an existing lane to less than 3 m without written approval from the Engineer.

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure shall be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators shall be not more than the spacing used for the lane closure.

Suspended loads or equipment shall not be moved nor positioned over public traffic or pedestrians.

**Replace Section 7-1.11 with:**

**7-1.11 PRESERVATION OF PROPERTY**

Comply with Section 5-1.18, "Property and Facility Preservation."

**Replace Section 7-1.12 with:**

**7-1.12 INDEMNIFICATION AND INSURANCE**

The Contractor's obligations regarding indemnification of the State of California and the requirements for insurance shall conform to the provisions in Section 3-1.05, "Insurance Policies," and Sections 7-1.12A, "Indemnification," and 7-1.12B, "Insurance," of this Section 7-1.12.

**7-1.12A Indemnification**

The Contractor shall defend, indemnify, and save harmless the State, including its officers, employees, and agents (excluding agents who are design professionals) from any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, losses or liabilities, in law or in equity (Section 7-1.12A Claims) arising out of or in connection with the Contractor's performance of this contract for:

1. Bodily injury including, but not limited to, bodily injury, sickness or disease, emotional injury or death to persons, including, but not limited to, the public, any employees or agents of the Contractor, the State, or any other contractor; and
2. Damage to property of anyone including loss of use thereof; caused or alleged to be caused in whole or in part by any negligent or otherwise legally actionable act or omission of the Contractor or anyone directly or indirectly employed by the Contractor or anyone for whose acts the Contractor may be liable.

Except as otherwise provided by law, these requirements apply regardless of the existence or degree of fault of the State. The Contractor is not obligated to indemnify the State for Claims arising from conduct delineated in Civil Code Section 2782 and to Claims arising from any defective or substandard condition of the highway that existed at or before the start of work, unless this condition has been changed by the work or the scope of the work requires the Contractor to maintain existing highway facilities and the Claim arises from the Contractor's failure to maintain. The Contractor's defense and indemnity obligation shall extend to Claims arising after the work is completed and accepted if the Claims are directly related to alleged acts or omissions by the Contractor that occurred during the course of the work. State inspection is not a waiver of full compliance with these requirements.

The Contractor's obligation to defend and indemnify shall not be excused because of the Contractor's inability to evaluate liability or because the Contractor evaluates liability and determine that the Contractor is not liable. The Contractor shall respond within 30 days to the tender of any Claim for defense and indemnity by the State, unless this time has been extended by the State. If the Contractor fails to accept or reject a tender of defense and indemnity within 30 days, in addition to any other remedy authorized by law, the Department may withhold such funds the State reasonably considers necessary for its defense and indemnity until disposition has been made of the Claim or until the Contractor accepts or rejects the tender of defense, whichever occurs first.

With respect to third-party claims against the Contractor, the Contractor waives all rights of any type to express or implied indemnity against the State, its officers, employees, or agents (excluding agents who are design professionals).

Nothing in the Contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these indemnification specifications.

#### **7-1.12B Insurance**

##### **7-1.12B(1) General**

Nothing in the contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these insurance specifications.

##### **7-1.12B(2) Casualty Insurance**

The Contractor shall procure and maintain insurance on all of its operations with companies acceptable to the State as follows:

1. The Contractor shall keep all insurance in full force and effect from the beginning of the work through contract acceptance.
2. All insurance shall be with an insurance company with a rating from A.M. Best Financial Strength Rating of A- or better and a Financial Size Category of VII or better.
3. The Contractor shall maintain completed operations coverage with a carrier acceptable to the State through the expiration of the patent deficiency in construction statute of repose set forth in Code of Civil Procedure Section 337.1.

##### **7-1.12B(3) Workers' Compensation and Employer's Liability Insurance**

In accordance with Labor Code Section 1860, the Contractor shall secure the payment of worker's compensation in accordance with Labor Code Section 3700.

In accordance with Labor Code Section 1861, the Contractor shall submit to the Department the following certification before performing the work:

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

Contract execution constitutes certification submittal.

The Contractor shall provide Employer's Liability Insurance in amounts not less than:

1. \$1 000 000 for each accident for bodily injury by accident
2. \$1 000 000 policy limit for bodily injury by disease
3. \$1 000 000 for each employee for bodily injury by disease

If there is an exposure of injury to the Contractor's employees under the U.S. Longshoremen's and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations, or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

##### **7-1.12B(4) Liability Insurance**

###### **7-1.12B(4)(a) General**

The Contractor shall carry General Liability and Umbrella or Excess Liability Insurance covering all operations by or on behalf of the Contractor providing insurance for bodily injury liability and property damage liability for the following limits and including coverage for:

1. Premises, operations, and mobile equipment
2. Products and completed operations
3. Broad form property damage (including completed operations)
4. Explosion, collapse, and underground hazards
5. Personal injury
6. Contractual liability

**7-1.12B(4)(b) Liability Limits/Additional Insureds**

The limits of liability shall be at least the amounts shown in the following table:

| Total Bid   | For Each Occurrence <sup>1</sup> | Aggregate for Products/Completed Operation | General Aggregate <sup>2</sup> | Umbrella or Excess Liability <sup>3</sup> |
|---|----------------------------------|--|--------------------------------|---|
| ≤\$1 000 000  | \$1 000 000                      | \$2 000 000                                | \$2 000 000                    | \$5 000 000                               |
| >\$1 000 000<br>≤\$10 000 000   | \$1 000 000                      | \$2 000 000                                | \$2 000 000                    | \$10 000 000                              |
| >\$10 000 000<br>≤\$25 000 000  | \$2 000 000                      | \$2 000 000                                | \$4 000 000                    | \$15 000 000                              |
| >\$25 000 000   | \$2 000 000                      | \$2 000 000                                | \$4 000 000                    | \$25 000 000                              |
| 1. Combined single limit for bodily injury and property damage.<br>2. This limit shall apply separately to the Contractor's work under this contract.<br>3. The umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted. |                                  |  |                                |   |

The Contractor shall not require certified Small Business subcontractors to carry Liability Insurance that exceeds the limits in the table above. Notwithstanding the limits specified herein, at the option of the Contractor, the liability insurance limits for certified Small Business subcontractors of any tier may be less than those limits specified in the table. For Small Business subcontracts, "Total Bid" shall be interpreted as the amount of subcontracted work to a certified Small Business.

The State, including its officers, directors, agents (excluding agents who are design professionals), and employees, shall be named as additional insureds under the General Liability and Umbrella Liability Policies with respect to liability arising out of or connected with work or operations performed by or on behalf of the Contractor under this contract. Coverage for such additional insureds does not extend to liability:

1. Arising from any defective or substandard condition of the roadway which existed at or before the time the Contractor started work, unless such condition has been changed by the work or the scope of the work requires the Contractor to maintain existing roadway facilities and the claim arises from the Contractor's failure to maintain;
2. For claims occurring after the work is completed and accepted unless these claims are directly related to alleged acts or omissions of the Contractor that occurred during the course of the work; or
3. To the extent prohibited by Insurance Code Section 11580.04

Additional insured coverage shall be provided by a policy provision or by an endorsement providing coverage at least as broad as Additional Insured (Form B) endorsement form CG 2010, as published by the Insurance Services Office (ISO), or other form designated by the Department.

**7-1.12B(4)(c) Contractor's Insurance Policy is Primary**

The policy shall stipulate that the insurance afforded the additional insureds applies as primary insurance. Any other insurance or self-insurance maintained by the State is excess only and shall not be called upon to contribute with this insurance.

**7-1.12B(5) Automobile Liability Insurance**

The Contractor shall carry automobile liability insurance, including coverage for all owned, hired, and nonowned automobiles. The primary limits of liability shall be not less than \$1 000 000 combined single limit each accident for bodily injury and property damage. The umbrella or excess liability coverage required under Section 7-1.12B(4)(b) also applies to automobile liability.

**7-1.12B(6) Policy Forms, Endorsements, and Certificates**

The Contractor shall provide its General Liability Insurance under Commercial General Liability policy form No. CG0001 as published by the Insurance Services Office (ISO) or under a policy form at least as broad as policy form No. CG0001.

**7-1.12B(7) Deductibles**

The State may expressly allow deductible clauses, which it does not consider excessive, overly broad, or harmful to the interests of the State. Regardless of the allowance of exclusions or deductions by the State, the Contractor is responsible for

any deductible amount and shall warrant that the coverage provided to the State is in accordance with Section 7-1.12B, "Insurance."

**7-1.12B(8) Enforcement**

The Department may assure the Contractor's compliance with its insurance obligations. Ten days before an insurance policy lapses or is canceled during the contract period, the Contractor shall submit to the Department evidence of renewal or replacement of the policy.

If the Contractor fails to maintain any required insurance coverage, the Department may maintain this coverage and withhold or charge the expense to the Contractor or terminate the Contractor's control of the work in accordance with Section 8-1.08, "Termination of Control."

The Contractor is not relieved of its duties and responsibilities to indemnify, defend, and hold harmless the State, its officers, agents, and employees by the Department's acceptance of insurance policies and certificates.

Minimum insurance coverage amounts do not relieve the Contractor for liability in excess of such coverage, nor do they preclude the State from taking other actions available to it, including the withholding of funds under this contract.

**7-1.12B(9) Self-Insurance**

Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the State.

If the Contractor uses a self-insurance program or self-insured retention, the Contractor shall provide the State with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor will be bound by all laws as if the Contractor were an insurer as defined under Insurance Code Section 23 and that the self-insurance program or self-insured retention shall operate as insurance as defined under Insurance Code Section 22.

**In Section 7-1.13 delete the 5th and 6th paragraphs.**

**Add:**

**7-1.50 FEDERAL LAWS FOR FEDERAL-AID CONTRACTS**

**7-1.50A General**

Section 7-1.50, "Federal Laws for Federal-Aid Contracts," includes specifications required in a Federal-aid construction contract and applies to a Federal-aid contract.

Form FHWA-1273 is included in the contract in Section 7-1.50B, "FHWA-1273." Some contract terms on the form are different than those used in other contract parts as shown in the following table:

**FHWA-1273 Terms and Department Equivalencies**

| FHWA-1273 Term          | Equivalent Term Used in Other Contract Parts |
|-------------------------|--|
| SHA                     | Department                                   |
| SHA contracting officer | Engineer                                     |
| SHA resident engineer   | Engineer                                     |

**7-1.50B FHWA-1273**

FHWA-1273 Electronic version -- March 10, 1994  
with revised Section VI

**REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Payment of Predetermined Minimum Wage
- V. Statements and Payrolls
- VI. Record of Materials, Supplies, and Labor
- VII. Subletting or Assigning the Contract
- VIII. Safety: Accident Prevention
- IX. False Statements Concerning Highway Projects

- X. Implementation of Clean Air Act and Federal Water Pollution Control Act
- XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion
- XII. Certification Regarding Use of Contract Funds for Lobbying

## ATTACHMENTS

- A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

### I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

Section I, paragraph 2;  
Section IV, paragraphs 1, 2, 3, 4, and 7;  
Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
6. **Selection of Labor:** During the performance of this contract, the contractor shall not:
  - a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
  - b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

### II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq.*) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
- b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
  - a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
  - b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
  - c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
  - d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
  - e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.
  - a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
  - b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
  - c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.
5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
  - a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
  - b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
  - c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
  - d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may

affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

**6. Training and Promotion:**

- a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

- a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.
- b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
- c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.
- d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these specifications, such contractor shall immediately notify the SHA.

**8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

- a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
- b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
- c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

**9. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work

and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

- a. The records kept by the contractor shall document the following:
  1. The number of minority and non-minority group members and women employed in each work classification on the project;
  2. The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
  3. The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
  4. The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.
- b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

### **III. NONSEGREGATED FACILITIES**

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.
- b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).
- c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

### **IV. PAYMENT OF PREDETERMINED MINIMUM WAGE**

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. **General:**
  - a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b) (2) of the Davis- Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be

constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

- b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.
- c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

**2. Classification:**

- a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.
- b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:
  - 1. the work to be performed by the additional classification requested is not performed by a classification in the wage determination;
  - 2. the additional classification is utilized in the area by the construction industry;
  - 3. the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
  - 4. with respect to helpers, when such a classification prevails in the area in which the work is performed.
- c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

**3. Payment of Fringe Benefits:**

- a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.
- b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

**4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:**

a. Apprentices:

1. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
2. The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
3. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
4. In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

1. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.
2. The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.
3. Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.
4. In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

**5. Apprentices and Trainees (Programs of the U.S. DOT):**

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**6. Withholding:**

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

**7. Overtime Requirements:**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

**8. Violation:**

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

**9. Withholding for Unpaid Wages and Liquidated Damages:**

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

**V. STATEMENTS AND PAYROLLS**

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

**1. Compliance with Copeland Regulations (29 CFR 3):**

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

## 2. Payrolls and Payroll Records:

- a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.
- b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.
- c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029- 005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.
- d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
  1. that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
  2. that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;
  3. that each laborer or mechanic has been paid not less than the applicable wage rate and fringe benefits or cash equivalent for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
- f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.
- g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

## VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

(As of May 22, 2007, Form FHWA-47 is no longer required.)

## **VII. SUBLETTING OR ASSIGNING THE CONTRACT**

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).
  - a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
  - b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.
2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

## **VIII. SAFETY: ACCIDENT PREVENTION**

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).
3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

## **IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by Engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

**NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY  
PROJECTS**

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more than \$10,000 or imprisoned not more than 5 years or both."

**X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 *et seq.*, as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq.*, as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.
2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.
3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

**XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

**1. Instructions for Certification - Primary Covered Transactions:**

(Applicable to all Federal-aid contracts - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
- d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and  
Voluntary Exclusion--Primary Covered Transactions**

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
  - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
  - d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**2. Instructions for Certification - Lower Tier Covered Transactions:**

- (Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)
- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:**

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
  - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress,

an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

#### **7-1.50C Female and Minority Goals**

To comply with Section II, "Nondiscrimination," of "Required Contract Provisions Federal-Aid Construction Contracts," the Department is including in Section 7-1.50C, "Female and Minority Goals," female and minority utilization goals for Federal-aid construction contracts and subcontracts that exceed \$10,000.

The nationwide goal for female utilization is 6.9 percent.

The goals for minority utilization [45 Fed Reg 65984 (10/3/1980)] are as follows:

**Minority Utilization Goals**

| Economic Area |  | Goal<br>(Percent)   |
|---------------|--|---|
| 174           | Redding CA:<br>Non-SMSA Counties:<br>CA Lassen; CA Modoc; CA Plumas; CA Shasta; CA Siskiyou; CA Tehama   | 6.8   |
| 175           | Eureka, CA<br>Non-SMSA Counties:<br>CA Del Norte; CA Humboldt; CA Trinity  | 6.6   |
| 176           | San Francisco-Oakland-San Jose, CA:<br>SMSA Counties:<br>7120 Salinas-Seaside-Monterey, CA<br>CA Monterey<br>7360 San Francisco-Oakland<br>CA Alameda; CA Contra Costa; CA Marin; CA San Francisco; CA San Mateo<br>7400 San Jose, CA<br>CA Santa Clara, CA<br>7485 Santa Cruz, CA<br>CA Santa Cruz<br>7500 Santa Rosa<br>CA Sonoma<br>8720 Vallejo-Fairfield-Napa, CA<br>CA Napa; CA Solano<br>Non-SMSA Counties:<br>CA Lake; CA Mendocino; CA San Benito | 28.9<br><br>25.6<br><br><br>19.6<br><br>14.9<br><br>9.1<br><br>17.1<br><br>23.2 |
| 177           | Sacramento, CA:<br>SMSA Counties:<br>6920 Sacramento, CA<br>CA Placer; CA Sacramento; CA Yolo<br>Non-SMSA Counties<br>CA Butte; CA Colusa; CA El Dorado; CA Glenn; CA Nevada; CA Sierra; CA Sutter; CA Yuba  | 16.1<br><br>14.3  |
| 178           | Stockton-Modesto, CA:<br>SMSA Counties:<br>5170 Modesto, CA<br>CA Stanislaus<br>8120 Stockton, CA<br>CA San Joaquin<br>Non-SMSA Counties<br>CA Alpine; CA Amador; CA Calaveras; CA Mariposa; CA Merced; CA Toulumne  | 12.3<br><br>24.3<br><br>19.8  |
| 179           | Fresno-Bakersfield, CA<br>SMSA Counties:<br>0680 Bakersfield, CA<br>CA Kern<br>2840 Fresno, CA<br>CA Fresno<br>Non-SMSA Counties:<br>CA Kings; CA Madera; CA Tulare  | 19.1<br><br>26.1<br><br>23.6  |
| 180           | Los Angeles, CA:<br>SMSA Counties:<br>0360 Anaheim-Santa Ana-Garden Grove, CA<br>CA Orange<br>4480 Los Angeles-Long Beach, CA<br>CA Los Angeles<br>6000 Oxnard-Simi Valley-Ventura, CA<br>CA Ventura   | 11.9<br><br>28.3<br><br>21.5  |

|     |  |      |
|-----|--|------|
|     | 6780 Riverside-San Bernardino-Ontario, CA<br>CA Riverside; CA San Bernardino | 19.0 |
|     | 7480 Santa Barbara-Santa Maria-Lompoc, CA<br>CA Santa Barbara                | 19.7 |
|     | Non-SMSA Counties<br>CA Inyo; CA Mono; CA San Luis Obispo                    | 24.6 |
| 181 | San Diego, CA:<br>SMSA Counties<br>7320 San Diego, CA<br>CA San Diego        | 16.9 |
|     | Non-SMSA Counties<br>CA Imperial   | 18.2 |

For each July during which work is performed under the contract, you and each non-material-supplier subcontractor with a subcontract of \$10,000 or more must complete Form FHWA PR-1391 (Appendix C to 23 CFR 230). Submit the forms by August 15.

### 7-1.50D Training

Section 7-1.50D, "Training," applies if a number of trainees or apprentices is specified in the special provisions.

As part of your equal opportunity affirmative action program, provide on-the-job training to develop full journeymen in the types of trades or job classifications involved.

You have primary responsibility for meeting this training requirement.

If you subcontract a contract part, determine how many trainees or apprentices are to be trained by the subcontractor.

Include these training requirements in your subcontract.

Where feasible, 25 percent of apprentices or trainees in each occupation must be in their 1st year of apprenticeship or training.

Distribute the number of apprentices or trainees among the work classifications on the basis of your needs and the availability of journeymen in the various classifications within a reasonable recruitment area.

Before starting work, submit to the Department:

1. Number of apprentices or trainees to be trained for each classification
2. Training program to be used
3. Training starting date for each classification

Obtain the Department's approval for this submitted information before you start work. The Department credits you for each apprentice or trainee you employ on the work who is currently enrolled or becomes enrolled in an approved program.

The primary objective of Section 7-1.50D, "Training," is to train and upgrade minorities and women toward journeyman status. Make every effort to enroll minority and women apprentices or trainees, such as conducting systematic and direct recruitment through public and private sources likely to yield minority and women apprentices or trainees, to the extent they are available within a reasonable recruitment area. Show that you have made the efforts. In making these efforts, do not discriminate against any applicant for training.

Do not employ as an apprentice or trainee an employee:

1. In any classification in which the employee has successfully completed a training course leading to journeyman status or in which the employee has been employed as a journeyman
2. Who is not registered in a program approved by the US Department of Labor, Bureau of Apprenticeship and Training

Ask the employee if the employee has successfully completed a training course leading to journeyman status or has been employed as a journeyman. Your records must show the employee's answers to the questions.

In your training program, establish the minimum length and training type for each classification. The Department and FHWA approves a program if one of the following is met:

1. It is calculated to:
  - 1.1. Meet the your equal employment opportunity responsibilities
  - 1.2. Qualify the average apprentice or trainee for journeyman status in the classification involved by the end of the training period





apply. A markup of 10 percent will be added to the total cost of the extra work. The 10 percent markup shall reimburse the Contractor for additional administrative costs, and no other payment will be made by reason of performance of the extra work by a specialist.

If approval is not granted prior to the start of the proposed specialty work, the Contractor or subcontractor shall itemize labor, material, and equipment rental costs and apply percentage markups as required by Section 9-1.03A, "Work Performed by Contractor."

**In Section 9-1.03C delete the 6th paragraph.**

**Replace Section 9-1.04 with:**

#### **9-1.04 NOTICE OF POTENTIAL CLAIM**

It is the intention of this section that disputes between the parties arising under and by virtue of the contract be brought to the attention of the Engineer at the earliest possible time in order that the matters may be resolved, if possible, or other appropriate action promptly taken.

Disputes will not be considered unless the Contractor has first complied with specified notice or protest requirements, including Section 4-1.03, "Changes," Section 5-1.116, "Differing Site Conditions," Section 8-1.06, "Time of Completion," Section 8-1.07, "Liquidated Damages," and Section 8-1.10, "Utility and Non-Highway Facilities."

For disputes arising under and by virtue of the contract, including an act or failure to act by the Engineer, the Contractor shall provide a signed written initial notice of potential claim to the Engineer within 5 days from the date the dispute first arose. The initial notice of potential claim shall provide the nature and circumstances involved in the dispute which shall remain consistent through the dispute. The initial notice of potential claim shall be submitted on Form CEM-6201A furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Contractor shall assign an exclusive identification number for each dispute, determined by chronological sequencing, based on the date of the dispute.

The exclusive identification number for each dispute shall be used on the following corresponding documents:

1. Initial notice of potential claim
2. Supplemental notice of potential claim
3. Full and final documentation of potential claim
4. Corresponding claim included in the Contractor's written statement of claims

The Contractor shall provide the Engineer the opportunity to examine the site of work within 5 days from the date of the initial notice of potential claim. The Contractor shall proceed with the performance of contract work unless otherwise specified or directed by the Engineer.

Throughout the disputed work, the Contractor shall maintain records that provide a clear distinction between the incurred direct costs of disputed work and that of undisputed work. The Contractor shall allow the Engineer access to the Contractor's project records deemed necessary by the Engineer to evaluate the potential claim within 20 days of the date of the Engineer's written request.

Within 15 days of submitting the initial notice of potential claim, the Contractor shall provide a signed supplemental notice of potential claim to the Engineer that provides the following information:

1. The complete nature and circumstances of the dispute which caused the potential claim
2. The contract provisions that provide the basis of claim
3. The estimated cost of the potential claim, including an itemized breakdown of individual costs and how the estimate was determined
4. A time impact analysis of the project schedule that illustrates the effect on the scheduled completion date due to schedule changes or disruptions where a request for adjustment of contract time is made

The information provided in items 1 and 2 above shall provide the Contractor's complete reasoning for additional compensation or adjustments.

The supplemental notice of potential claim shall be submitted on Form CEM-6201B furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Engineer will evaluate the information presented in the supplemental notice of potential claim and provide a written response to the Contractor within 20 days of its receipt. If the estimated cost or effect on the scheduled completion date changes, the

Contractor shall update information in items 3 and 4 above as soon as the change is recognized and submit this information to the Engineer.

Within 30 days of the completion of work related to the potential claim, the Contractor shall provide the full and final documentation of potential claim to the Engineer that provides the following information:

1. A detailed factual narration of events fully describing the nature and circumstances that caused the dispute, including, but not limited to, necessary dates, locations, and items of work affected by the dispute
2. The specific provisions of the contract that support the potential claim and a statement of the reasons these provisions support and provide a basis for entitlement of the potential claim
3. When additional monetary compensation is requested, the exact amount requested calculated in conformance with Section 9-1.03, "Force Account Payment," or Section 8-1.09, "Right of Way Delays," including an itemized breakdown of individual costs. These costs shall be segregated into the following cost categories:
  - 3.1. Labor – A listing of individuals, classifications, regular hours and overtime hours worked, dates worked, and other pertinent information related to the requested reimbursement of labor costs
  - 3.2. Materials – Invoices, purchase orders, location of materials either stored or incorporated into the work, dates materials were transported to the project or incorporated into the work, and other pertinent information related to the requested reimbursement of material costs
  - 3.3. Equipment – Listing of detailed description (make, model, and serial number), hours of use, dates of use and equipment rates. Equipment rates shall be at the applicable State rental rate as listed in the Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates," in effect when the affected work related to the dispute was performed.
  - 3.4. Other categories as specified by the Contractor or the Engineer
4. When an adjustment of contract time is requested the following information shall be provided:
  - 4.1. The specific dates for which contract time is being requested
  - 4.2. The specific reasons for entitlement to a contract time adjustment
  - 4.3. The specific provisions of the contract that provide the basis for the requested contract time adjustment
  - 4.4. A detailed time impact analysis of the project schedule. The time impact analysis shall show the effect of changes or disruptions on the scheduled completion date to demonstrate entitlement to a contract time adjustment.
5. The identification and copies of the Contractor's documents and the substance of oral communications that support the potential claim

The full and final documentation of the potential claim shall be submitted on Form CEM-6201C furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655.

Pertinent information, references, arguments, and data to support the potential claim shall be included in the full and final documentation of potential claim. Information submitted subsequent to the full and final documentation submittal will not be considered. Information required in the full and final documentation of potential claim, as listed in items 1 to 5 above, that is not applicable to the dispute may be exempted as determined by the Engineer. No full and final documentation of potential claim will be considered that does not have the same nature and circumstances, and basis of claim as those specified on the initial and supplemental notices of potential claim.

The Engineer will evaluate the information presented in the full and final documentation of potential claim and provide a written response to the Contractor within 30 days of its receipt unless otherwise specified. The Engineer's receipt of the full and final documentation of potential claim shall be evidenced by postal receipt or the Engineer's written receipt if delivered by hand. If the full and final documentation of potential claim is submitted by the Contractor after acceptance of the work by the Director, the Engineer need not provide a written response.

Provisions in this section shall not apply to those claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate. Administrative disputes are disputes of administrative deductions or withholds, contract item quantities, contract item adjustments, interest payments, protests of contract change orders as provided in Section 4-1.03A, "Procedure and Protest," and protests of the Weekly Statement of Working Days as provided in Section 8-1.06, "Time of Completion." Administrative disputes that occur prior to issuance of the proposed final estimate shall follow applicable requirements of this section. Information listed in the supplemental notice and full and final documentation of potential claim that is not applicable to the administrative dispute may be exempted as determined by the Engineer.

Unless otherwise specified in the special provisions, the Contractor may pursue the administrative claim process pursuant to Section 9-1.07B, "Final Payment and Claims," for any potential claim found by the Engineer to be without merit.

Failure of the Contractor to conform to specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract, and is deemed as the Contractor's waiver of the potential claim and a waiver of the right to a corresponding claim for the disputed work in the administrative claim process in conformance with Section 9-1.07B, "Final Payment of Claims," and shall operate as a bar to arbitration pursuant to Section 10240.2 of the California Public Contract Code.

**Replace Section 9-1.05 with:**

**9-1.05 STOP NOTICE WITHHOLDS**

The Department may withhold payments to cover claims filed under Civ Code § 3179 et seq.  
Stop notice information may be obtained from the Office of External Accounts Payable, Division of Accounting.

**Add:**

**9-1.053 PERFORMANCE FAILURE WITHHOLDS**

During each estimate period you fail to comply with a contract part, including submittal of a document as specified, the Department withholds a part of the progress payment. The documents include quality control plans, schedules, traffic control plans, and water pollution control submittals.

For 1 performance failure, the Department withholds 25 percent of the progress payment but does not withhold more than 10 percent of the total bid.

For multiple performance failures, the Department withholds 100 percent of the progress payment but does not withhold more than 10 percent of the total bid.

The Department returns performance-failure withholds in the progress payment following the correction of noncompliance.

**Add:**

**9-1.055 PENALTY WITHHOLDS**

Penalties include fines and damages that are proposed, assessed, or levied against you or the Department by a governmental agency or citizen lawsuit. Penalties are also payments made or costs incurred in settling alleged permit violations of Federal, State, or local laws, regulations, or requirements. The cost incurred may include the amount spent for mitigation or correcting a violation.

If you or the Department is assessed a penalty, the Department may withhold the penalty amount until the penalty disposition has been resolved. The Department may withhold penalty funds and notify you within 15 days of the withhold. If the penalty amount is less than the amount being withheld from progress payments for retentions, the Department will not withhold the penalty amount.

If the penalty is resolved for less than the amount withheld, the Department pays interest at a rate of 6 percent per year on the excess withhold. If the penalty is not resolved, the withhold becomes a deduction.

Instead of the withhold, you may provide a bond payable to the Department of Transportation equal to the highest estimated liability for any disputed penalties proposed.

**Add:**

**9-1.057 PROGRESS WITHHOLDS**

The Department withholds 10 percent of a partial payment for noncompliant progress. Noncompliant progress occurs when:

1. Total days to date exceed 75 percent of the revised contract working days
2. Percent of working days elapsed exceeds the percent of value of work completed by more than 15 percent

The Engineer determines the percent of working days elapsed by dividing the total days to date by the revised contract working days and converting the quotient to a percentage.

The Engineer determines the percent of value of work completed by summing payments made to date and the amount due on the current progress estimate, dividing this sum by the current total estimated value of the work, and converting the quotient to a percentage. These amounts are shown on the Progress Payment Voucher.

When the percent of working days elapsed minus the percent of value of work completed is less than or equal to 15 percent, the Department returns the withhold in the next progress payment.

**In Section 9-1.06 in the 4th paragraph, replace the 1st sentence with:**

The Department shall pay monthly to the Contractor, while carrying on the work, the balance not retained, as aforesaid, after deducting therefrom all previous payments and all sums to be deducted or withheld under the provisions of the contract.

**In Section 9-1.065 replace the title and the 1st and 2nd paragraphs with:**

**9-1.065 RELEASE OF RETAINED FUNDS**

The Department releases retained funds if you:

1. Request release of the retention (Pub Cont Code § 10263) in writing
2. Deposit securities equivalent to the funds you want released into escrow with the State Treasurer or with a bank acceptable to the Department
3. Are the beneficial owner of and receive interest on the deposited securities substituted for the retained funds

**In Section 9-1.07A replace the 2nd sentence with:**

The Department pays the balance due less previous payments, deductions, withholds, and retentions under the provisions of the contract and those further amounts that the Engineer determines to be necessary pending issuance of the proposed final estimate and payment thereon.

**Replace Section 9-1.07B with:**

**9-1.07B Final Payment and Claims**

After acceptance by the Director, the Engineer makes a proposed final estimate of the total amount payable to the Contractor, including an itemization of the total amount, segregated by contract item quantities, extra work, and other basis for payment, and shows each deduction made or to be made for prior payments and amounts to be deducted, withheld, or retained under the provisions of the contract. Prior estimates and payments are subject to correction in the proposed final estimate. The Contractor must submit written approval of the proposed final estimate or a written statement of claims arising under or by virtue of the contract so that the Engineer receives the written approval or statement of claims no later than close of business of the 30th day after receiving the proposed final estimate. The Contractor's receipt of the proposed final estimate must be evidenced by postal receipt. The Engineer's receipt of the Contractor's written approval or statement of claims must be evidenced by postal receipt or the Engineer's written receipt if delivered by hand.

On the Contractor's approval, or if the Contractor files no claim within the specified period of 30 days, the Engineer will issue a final estimate in writing in conformance with the proposed final estimate submitted to the Contractor, and within 30 days thereafter the State will pay the entire sum so found to be due. That final estimate and payment thereon shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

If the Contractor within the specified period of 30 days files claims, the Engineer will issue a semifinal estimate in conformance with the proposed final estimate submitted to the Contractor and within 30 days thereafter the State will pay the sum found to be due. The semifinal estimate and corresponding payment shall be conclusive and binding against both parties to the contract on each question relating to the amount of work done and the compensation payable therefor, except insofar as affected by the claims filed within the time and in the manner required hereunder and except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Except for claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate, the Contractor shall only provide the following two items of information for each claim:

1. The exclusive identification number that corresponds to the supporting full and final documentation of potential claim
2. The final amount of requested additional compensation

If the final amount of requested additional compensation is different than the amount of requested compensation included in the full and final documentation of potential claim, the Contractor shall provide in the written statement of claims the reasons for the changed amount, the specific provisions of the contract which support the changed amount, and a statement of the reasons the provisions support and provide a basis for the changed amount. If the Contractor's claim fails to provide an exclusive identification number or if there is a disparity in the provided exclusive identification number, the Engineer will notify the Contractor of the omission or disparity. The Contractor shall have 15 days after receiving notification from the Engineer to correct the omission or disparity. If after the 15 days has elapsed, there is still an omission or disparity of the exclusive identification number assigned to the claim, the Engineer will assign the number. No claim will be considered that has any of the following deficiencies:

1. The claim does not have the same nature, circumstances, and basis as the corresponding full and final documentation of potential claim.
2. The claim does not have a corresponding full and final documentation of potential claim.
3. The claim was not included in the written statement of claims.
4. The Contractor did not comply with applicable notice or protest requirements of Sections 4-1.03, "Changes," 5-1.116, "Differing Site Condition," 8-1.06, "Time of Completion," 8-1.07, "Liquidated Damages," 8-1.10, "Utility and Non-Highway Facilities," and 9-1.04, "Notice of Potential Claim."

Administrative disputes that occur after issuance of the proposed final estimate shall be included in the Contractor's written statement of claims in sufficient detail to enable the Engineer to ascertain the basis and amounts of those claims.

The Contractor shall keep full and complete records of the costs and additional time incurred for work for which a claim for additional compensation is made. The Engineer or designated claim investigators or auditors shall have access to those records and any other records as may be required by the Engineer to determine the facts or contentions involved in the claims. Failure to permit access to those records shall be sufficient cause for denying the claims.

The written statement of claims submitted by the Contractor shall be accompanied by a notarized certificate containing the following language:

Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code Section 12650 et. seq., the undersigned,

\_\_\_\_\_  
*(name)* .  
 \_\_\_\_\_ of  
*(title)*  
 \_\_\_\_\_ .  
*(company)*

hereby certifies that the claim for the additional compensation and time, if any, made herein for the work on this contract is a true statement of the actual costs incurred and time sought, and is fully documented and supported under the contract between parties.

Dated \_\_\_\_\_

/s/ \_\_\_\_\_

Subscribed and sworn before me this \_\_\_\_\_ day

of \_\_\_\_\_ .

\_\_\_\_\_  
*(Notary Public)*  
 My Commission  
 Expires \_\_\_\_\_

Failure to submit the notarized certificate will be sufficient cause for denying the claim.

Any claim for overhead, in addition to being certified as stated above, shall be supported and accompanied by an audit report of an independent Certified Public Accountant. Omission of a supporting audit report of an independent Certified Public Accountant shall result in denial of the claim and shall operate as a bar to arbitration, as to the claim, in conformance with the requirements in Section 10240.2 of the California Public Contract Code. Any claim for overhead shall be subject to







Soil amendment shall be composted and may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Soil amendment feedstock materials shall be composted to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3.

Soil amendment shall not be derived from mixed municipal solid waste and must be reasonably free of visible contaminants. Soil amendment must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Soil amendment must not possess objectionable odors.

Metal concentrations in soil amendment must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Soil amendment must comply with the following:

**Physical/Chemical Requirements**

| Property               | Test Method  | Requirement                                  |
|------------------------|--|--|
| pH                     | *TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units  | 6.0–8.0                                      |
| Soluble Salts          | TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)   | 0-10.0                                       |
| Moisture Content       | TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis  | 30–60  |
| Organic Matter Content | TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis  | 30–65  |
| Maturity               | TMECC 05.05-A, Germination and Vigor<br>Seed Emergence<br>Seedling Vigor<br>% Relative to Positive Control                                   | 80 or Above<br>80 or Above                   |
| Stability              | TMECC 05.08-B, Carbon Dioxide Evolution Rate<br>mg CO <sub>2</sub> -C/g OM per day   | 8 or below                                   |
| Particle Size          | TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis  | 95% Passing 5/8 inch<br>70% Passing 3/8 inch |
| Pathogen               | TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.   | Pass   |
| Pathogen               | TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.  | Pass   |
| Physical Contaminants  | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Plastic, Glass and Metal, % > 4mm fraction                                      | Combined Total: < 1.0                        |
| Physical Contaminants  | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction | None Detected                                |

\*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Prior to application, the Contractor shall provide the Engineer with a copy of the soil amendment producer's Compost Technical Data Sheet and a copy of the compost producers STA certification. The Compost Technical Data Sheet shall include laboratory analytical test results, directions for product use, and a list of product ingredients.

Prior to application, the Contractor shall provide the Engineer with a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.



- 4. Sand
- 5. Up to 100 percent of any combination of processed:
  - 5.1. Asphalt concrete
  - 5.2. Portland cement concrete
  - 5.3. Lean concrete base
  - 5.4. Cement treated base

**Replace Section 25-1.02B with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

- 1. Broken stone
- 2. Crushed gravel
- 3. Natural rough surfaced gravel
- 4. Sand
- 5. Up to 100 percent of any combination of processed:
  - 5.1. Asphalt concrete
  - 5.2. Portland cement concrete
  - 5.3. Lean concrete base
  - 5.4. Cement treated base

^^

**SECTION 26 AGGREGATE BASE  
(Issued 02-16-07)**

**In Section 26-1.02A replace the 1st paragraph with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

- 1. Broken stone
- 2. Crushed gravel
- 3. Natural rough surfaced gravel
- 4. Sand
- 5. Up to 100 percent of any combination of processed:
  - 5.1. Asphalt concrete
  - 5.2. Portland cement concrete
  - 5.3. Lean concrete base
  - 5.4. Cement treated base

**In Section 26-1.02B replace the 1st paragraph with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

- 1. Broken stone
- 2. Crushed gravel
- 3. Natural rough surfaced gravel
- 4. Sand









### 39-1.02D Asphalt Rubber Binder

#### General

Use asphalt rubber binder in RHMA-G, RHMA-O, and RHMA-O-HB. Asphalt rubber binder must be a combination of:

1. Asphalt binder
2. Asphalt modifier
3. Crumb rubber modifier (CRM)

The combined asphalt binder and asphalt modifier must be  $80.0 \pm 2.0$  percent by mass of the asphalt rubber binder.

#### Asphalt Modifier

Asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon, and comply with:

##### Asphalt Modifier for Asphalt Rubber Binder

| Quality Characteristic                            | ASTM   | Specification |
|---|--------|---------------|
| Viscosity, $m^2/s$ ( $\times 10^{-6}$ ) at 100 °C | D 445  | $X \pm 3^a$   |
| Flash Point, CL.O.C., °C                          | D 92   | 207 minimum   |
| Molecular Analysis                                |        |               |
| Asphaltenes, percent by mass                      | D 2007 | 0.1 maximum   |
| Aromatics, percent by mass                        | D 2007 | 55 minimum    |

Note:

<sup>a</sup> The symbol "X" is the proposed asphalt modifier viscosity. "X" must be between 19 and 36. A change in "X" requires a new asphalt rubber binder design.

Asphalt modifier must be from 2.0 percent to 6.0 percent by mass of the asphalt binder in the asphalt rubber binder.

#### Crumb Rubber Modifier

CRM consists of a ground or granulated combination of scrap tire CRM and high natural CRM. CRM must be  $75.0 \pm 2.0$  percent scrap tire CRM and  $25.0 \pm 2.0$  percent high natural CRM by total mass of CRM. Scrap tire CRM must be from any combination of automobile tires, truck tires, or tire buffings.

Sample and test scrap tire CRM and high natural CRM separately. CRM must comply with:

##### Crumb Rubber Modifier for Asphalt Rubber Binder

| Quality Characteristic                                      | Test Method | Specification |
|---|-------------|---------------|
| Scrap tire CRM gradation<br>(% passing 2.36-mm sieve)       | LP-10       | 100           |
| High natural CRM gradation<br>(% passing 2.00-mm sieve)     | LP-10       | 100           |
| Wire in CRM (% max.)  | LP-10       | 0.01          |
| Fabric in CRM (% max.)                                      | LP-10       | 0.05          |
| CRM particle length (mm max.) <sup>a</sup>                  | --          | 4.75          |
| CRM specific gravity <sup>a</sup>                           | CT 208      | 1.1 – 1.2     |
| Natural rubber content in high natural CRM (%) <sup>a</sup> | ASTM D 297  | 40.0 – 48.0   |

Note:

<sup>a</sup> Test at mix design and for Certificate of Compliance.

Only use CRM ground and granulated at ambient temperature. If steel and fiber are cryogenically separated, it must occur before grinding and granulating. Only use cryogenically produced CRM particles that can be ground or granulated and not pass through the grinder or granulator.

CRM must be dry, free-flowing particles that do not stick together. CRM must not cause foaming when combined with the asphalt binder and asphalt modifier. You may add calcium carbonate or talc up to 3 percent by mass of CRM.

#### Asphalt Rubber Binder Design and Profile

Submit in writing an asphalt rubber binder design and profile that complies with the asphalt rubber binder specifications. In the design, designate the asphalt, asphalt modifier, and CRM and their proportions. The profile must include the same component sources for the asphalt rubber binder used.

Design the asphalt rubber binder from testing you perform for each quality characteristic and for the reaction temperatures expected during production. The 24-hour (1,440-minute) interaction period determines the design profile. At a minimum, mix asphalt rubber binder components, take samples, and perform and record the following tests:

**Asphalt Rubber Binder Reaction Design Profile**

| Test  | Minutes of Reaction <sup>a</sup> |    |    |     |     |     |      | Limits        |
|---|----------------------------------|----|----|-----|-----|-----|------|---------------|
|   | 45                               | 60 | 90 | 120 | 240 | 360 | 1440 |               |
| Cone penetration @ 77 °F, 0.10 mm (ASTM D 217)    | X <sup>b</sup>                   |    |    |     | X   |     | X    | 25 - 70       |
| Resilience @ 77 °F, percent rebound (ASTM D 5329) | X                                |    |    |     | X   |     | X    | 18 min.       |
| Field softening point, °F (ASTM D 36)             | X                                |    |    |     | X   |     | X    | 125 - 165     |
| Viscosity, centipoises (LP-11)                    | X                                | X  | X  | X   | X   | X   | X    | 1,500 - 4,000 |

Notes:

<sup>a</sup> Six hours (360 minutes) after CRM addition, reduce the oven temperature to 135 °C for a period of 16 hours. After the 16-hour (1320 minutes) cool-down after CRM addition, reheat the binder to the reaction temperature expected during production for sampling and testing at 24 hours (1440 minutes).

<sup>b</sup> "X" denotes required testing

**Asphalt Rubber Binder**

After interacting for a minimum of 45 minutes, asphalt rubber binder must comply with:

**Asphalt Rubber Binder**

| Quality Characteristic              | Test for Quality Control or Acceptance | Test Method | Specification |         |
|-------------------------------------|--|-------------|---------------|---------|
|                                     |  |             | Minimum       | Maximum |
| Cone penetration @ 77 °F, 0.10 mm   | Acceptance                             | ASTM D 217  | 25            | 70      |
| Resilience @ 77 °F, percent rebound | Acceptance                             | ASTM D 5329 | 18            | --      |
| Field softening point, °F           | Acceptance                             | ASTM D 36   | 125           | 165     |
| Viscosity @ 177 °C, centipoises     | Quality Control                        | LP-11       | 1,500         | 4,000   |

**39-1.02E Aggregate**

Aggregate must be clean and free from deleterious substances. Aggregate:

1. Retained on the 4.75-millimeter sieve is coarse
2. Passing the 4.75-millimeter sieve is fine
3. Added and passing the 0.6-millimeter sieve is supplemental fine, including:
  - 3.1. Hydrated lime
  - 3.2. Portland cement
  - 3.3. Fines from dust collectors

The special provisions specify the aggregate gradation for each HMA type.

The specified aggregate gradation is before the addition of asphalt binder and includes supplemental fines. The Engineer tests for aggregate grading under California Test 202, modified by California Test 105 if there is a difference in specific gravity of 0.2 or more between the coarse and fine parts of different aggregate blends.

Choose a sieve size target value (TV) within each target value limit presented in the aggregate gradation tables.

**Aggregate Gradation  
(Percentage Passing)  
HMA Types A and B**

**19-mm HMA Types A and B**

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 25-mm       | 100                 | —                   |
| 19-mm       | 90 - 100            | TV ±5               |
| 12.5-mm     | 70 - 90             | TV ±6               |
| 4.75-mm     | 45 - 55             | TV ±7               |
| 2.36-mm     | 32 - 40             | TV ±5               |
| 0.6-mm      | 12 - 21             | TV ±4               |
| 0.075-mm    | 2 - 7               | TV ±2               |

**12.5-mm HMA Types A and B**

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 19-mm       | 100                 | —                   |
| 12.5-mm     | 95 - 99             | TV ±6               |
| 9.5-mm      | 75 - 95             | TV ±6               |
| 4.75-mm     | 55 - 66             | TV ±7               |
| 2.36-mm     | 38 - 49             | TV ±5               |
| 0.6-mm      | 15 - 27             | TV ±4               |
| 0.075-mm    | 2 - 8               | TV ±2               |

**9.5-mm HMA Types A and B**

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 12.5-mm     | 100                 | —                   |
| 9.5-mm      | 95 - 100            | TV ±6               |
| 4.75-mm     | 58 - 72             | TV ±7               |
| 2.36-mm     | 34 - 48             | TV ±6               |
| 0.6-mm      | 18 - 32             | TV ±5               |
| 0.075-mm    | 2 - 9               | TV ±2               |

**4.75-mm HMA Types A and B**

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 9.5-mm      | 100                 | —                   |
| 4.75-mm     | 95 - 100            | TV ±7               |
| 2.36-mm     | 72 - 77             | TV ±7               |
| 0.6-mm      | 37 - 43             | TV ±7               |
| 0.075-mm    | 2 - 12              | TV ±4               |

**Rubberized Hot Mix Asphalt - Gap Graded (RHMA-G)**

19-mm RHMA-G

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 25-mm       | 100                 | —                   |
| 19-mm       | 95 - 100            | TV ±5               |
| 12.5-mm     | 83 - 87             | TV ±6               |
| 9.5-mm      | 65 - 70             | TV ±6               |
| 4.75-mm     | 28 - 42             | TV ±7               |
| 2.36-mm     | 14 - 22             | TV ±5               |
| 0.075-mm    | 0 - 6               | TV ±2               |

12.5-mm RHMA-G

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 19-mm       | 100                 | —                   |
| 12.5-mm     | 90 - 100            | TV ±6               |
| 9.5-mm      | 83 - 87             | TV ±6               |
| 4.75-mm     | 28 - 42             | TV ±7               |
| 2.36-mm     | 14 - 22             | TV ±5               |
| 0.075-mm    | 0 - 6               | TV ±2               |

**Open Graded Friction Course (OGFC)**

25-mm OGFC

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 37.5-mm     | 100                 | —                   |
| 25-mm       | 99 - 100            | TV ±5               |
| 19-mm       | 85 - 96             | TV ±5               |
| 12.5-mm     | 55 - 71             | TV ±6               |
| 4.75-mm     | 10 - 25             | TV ±7               |
| 2.36-mm     | 6 - 16              | TV ±5               |
| 0.075-mm    | 1 - 6               | TV ±2               |

12.5-mm OGFC

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 19-mm       | 100                 | —                   |
| 12.5-mm     | 95 - 100            | TV ±6               |
| 9.5-mm      | 78 - 89             | TV ±6               |
| 4.75-mm     | 28 - 37             | TV ±7               |
| 2.36-mm     | 7 - 18              | TV ±5               |
| 0.6-mm      | 0 - 10              | TV ±4               |
| 0.075-mm    | 0 - 3               | TV ±2               |

9.5-mm OGFC

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|-------------|---------------------|---------------------|
| 12.5-mm     | 100                 | —                   |
| 9.5-mm      | 90 - 100            | TV ±6               |
| 4.75-mm     | 29 - 36             | TV ±7               |
| 2.36-mm     | 7 - 18              | TV ±6               |
| 0.6-mm      | 0 - 10              | TV ±5               |
| 0.075-mm    | 0 - 3               | TV ±2               |

Before the addition of asphalt binder and lime treatment, aggregate must comply with:

### Aggregate Quality

| Quality Characteristic                                 | Test Method           | HMA Type |    |        |      |
|--|-----------------------|----------|----|--------|------|
|  |                       | A        | B  | RHMA-G | OGFC |
| Percent of crushed particles                           | CT 205                |          |    |        |      |
| Coarse aggregate (% min.)                              |                       |          |    |        |      |
| One fractured face                                     |                       | 90       | 25 | --     | 90   |
| Two fractured faces                                    |                       | 75       | -- | 90     | 75   |
| Fine aggregate (% min.)                                |                       |          |    |        |      |
| (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) |                       |          |    |        |      |
| One fractured face                                     |                       | 70       | 20 | 70     | 90   |
| Los Angeles Rattler (% max.)                           | CT 211                |          |    |        |      |
| Loss at 100 Rev.                                       |                       | 12       | -- | 12     | 12   |
| Loss at 500 Rev.                                       |                       | 45       | 50 | 40     | 40   |
| Sand equivalent (min.) <sup>a</sup>                    | CT 217                | 47       | 42 | 47     | --   |
| Fine aggregate angularity (% min.) <sup>b</sup>        | AASHTO T 304 Method A | 45       | 45 | 45     | --   |
| Flat and elongated particles (% max. by mass @ 5:1)    | ASTM D 4791           | 10       | 10 | 10     | 10   |

Notes:

<sup>a</sup> Reported value must be the average of 3 tests from a single sample.

<sup>b</sup> The Engineer waives this specification if HMA contains less than 10 percent of nonmanufactured sand by mass of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

#### 39-1.02F Reclaimed Asphalt Pavement

You may produce HMA using reclaimed asphalt pavement (RAP). HMA produced using RAP must comply with the specifications for HMA except aggregate quality specifications do not apply to RAP. You may substitute RAP aggregate for a part of the virgin aggregate in HMA in a quantity not exceeding 15.0 percent of the aggregate blend. Do not use RAP in OGFC and RHMA-G.

Assign the substitution rate of RAP aggregate for virgin aggregate with the job mix formula (JMF) submittal. The JMF must include the percent of RAP used. If you change your assigned RAP aggregate substitution rate by more than 5 percent (within the 15.0 percent limit), submit a new JMF.

Process RAP from asphalt concrete. You may process and stockpile RAP throughout the project's life. Prevent material contamination and segregation. Store RAP in stockpiles on smooth surfaces free of debris and organic material. Processed RAP stockpiles must consist only of homogeneous RAP.

#### 39-1.03 HOT MIX ASPHALT MIX DESIGN REQUIREMENTS

##### 39-1.03A General

A mix design consists of performing California Test 367 and laboratory procedures on combinations of aggregate gradations and asphalt binder contents to determine the optimum binder content (OBC) and HMA mixture qualities. If RAP is used, use Laboratory Procedure LP-9. The result of the mix design becomes the proposed JMF.

Use Form CEM-3512 to document aggregate quality and mix design data. Use Form CEM-3511 to present the JMF.

Laboratories testing aggregate qualities and preparing the mix design and JMF must be qualified under the Department's Independent Assurance Program. Take samples under California Test 125.

The Engineer reviews the aggregate qualities, mix design, and JMF and verifies and accepts the JMF.

You may change the JMF during production. Do not use the changed JMF until the Engineer accepts it. Except when adjusting the JMF in compliance with Section 39-1.03E, "Job Mix Formula Verification," perform a new mix design and submit in writing a new JMF submittal for changing any of the following:

1. Target asphalt binder percentage
2. Asphalt binder supplier
3. Asphalt rubber binder supplier
4. Component materials used in asphalt rubber binder or percentage of any component materials
5. Combined aggregate gradation
6. Aggregate sources
7. Substitution rate for RAP aggregate of more than 5 percent

8. Any material in the JMF

For OGFC, submit in writing a complete JMF submittal except asphalt binder content. The Engineer determines the asphalt binder content under California Test 368 within 20 days of your complete JMF submittal and provides you a Form CEM-3513.

**39-1.03B Hot Mix Asphalt Mix Design**

Perform a mix design that produces HMA in compliance with:

**Hot Mix Asphalt Mix Design Requirements**

| Quality Characteristic                 | Test Method         | HMA Type    |             |                          |
|--|---------------------|-------------|-------------|--------------------------|
|  |                     | A           | B           | RHMA-G                   |
| Air voids content (%)                  | CT 367 <sup>a</sup> | 4.0         | 4.0         | Special Provisions       |
| Voids in mineral aggregate (% min.)    | LP-2                |             |             |                          |
| 4.75-mm grading                        |                     | 17.0        | 17.0        | --                       |
| 9.5-mm grading                         |                     | 15.0        | 15.0        | --                       |
| 12.5-mm grading                        |                     | 14.0        | 14.0        | 18.0 – 23.0 <sup>b</sup> |
| 19-mm grading                          |                     | 13.0        | 13.0        | 18.0 – 23.0 <sup>b</sup> |
| Voids filled with asphalt (%)          | LP-3                |             |             |                          |
| 4.75-mm grading                        |                     | 76.0 – 80.0 | 76.0 – 80.0 | Note d                   |
| 9.5-mm grading                         |                     | 73.0 – 76.0 | 73.0 – 76.0 |                          |
| 12.5-mm grading                        |                     | 65.0 – 75.0 | 65.0 – 75.0 |                          |
| 19-mm grading                          |                     | 65.0 – 75.0 | 65.0 – 75.0 |                          |
| Dust proportion                        | LP-4                |             |             |                          |
| 4.75-mm and 9.5-mm gradings            |                     | 0.9 – 2.0   | 0.9 – 2.0   | Note d                   |
| 12.5-mm and 19-mm gradings             |                     | 0.6 – 1.3   | 0.6 – 1.3   |                          |
| Stabilometer value (min.) <sup>c</sup> | CT 366              |             |             |                          |
| 4.75-mm and 9.5-mm gradings            |                     | 30          | 30          | --                       |
| 12.5-mm and 19-mm gradings             |                     | 37          | 35          | 23                       |

Notes:

<sup>a</sup> Calculate the air voids content of each specimen using California Test 309 and Lab Procedure LP-1. Modify California Test 367, Paragraph C5, to use the exact air voids content specified in the selection of OBC.

<sup>b</sup> Voids in mineral aggregate for RHMA-G must be within this range.

<sup>c</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the compactor, cool to 60 ± 3 °C by allowing the briquettes to cool at room temperature for 0.5-hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."

<sup>d</sup> Report this value in the JMF submittal.

For stability and air voids, prepare 3 briquettes at the OBC and test for compliance. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 8 points. The average air void content may vary from the specified air void content by ±0.5 percent.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use the same briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

**39-1.03C Job Mix Formula Submittal**

Each JMF submittal must consist of:

1. Proposed JMF on Form CEM-3511
2. Mix design documentation on Form CEM-3512 dated within 12 months of submittal
3. JMF verification on Form CEM-3513, if applicable
4. JMF renewal on Form CEM-3514, if applicable
5. Materials Safety Data Sheets (MSDS) for:
  - 5.1. Asphalt binder
  - 5.2. Base asphalt binder used in asphalt rubber binder

- 5.3. CRM and asphalt modifier used in asphalt rubber binder
- 5.4. Blended asphalt rubber binder mixture
- 5.5. Supplemental fine aggregate except fines from dust collectors
- 5.6. Antistrip additives

If the Engineer requests in writing, sample the following materials in the presence of the Engineer and place in labeled containers weighing no more than 22.5 kg each:

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.
3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.
4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

Notify the Engineer in writing at least 2 business days before sampling materials. For aggregate and RAP, split the samples into at least 4 parts. Submit 3 parts to the Engineer and use 1 part for your testing.

#### **39-1.03D Job Mix Formula Review**

The Engineer reviews each mix design and proposed JMF within 5 business days from the complete JMF submittal. The review consists of reviewing the mix design procedures and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

#### **39-1.03E Job Mix Formula Verification**

If you cannot submit a Department-verified JMF on Form CEM-3513 dated within 12 months before HMA production, the Engineer verifies the JMF.

Based on your testing and production experience, you may submit on Form CEM-3511 an adjusted JMF before the Engineer's verification testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to  $\pm 0.6$  percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

For HMA Type A, Type B, and RHMA-G, the Engineer verifies the JMF from samples taken from HMA produced by the plant to be used. Notify the Engineer in writing at least 2 business days before sampling materials.

In the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Sample RAP from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample from any of the following locations:

1. The plant
2. A truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

You may sample from a different project including a non-Department project if you make arrangements for the Engineer to be present during sampling.

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and use 1 part for your testing.

The Engineer verifies each proposed JMF within 20 days of receiving verification samples. If you request in writing, the Engineer verifies RHMA-G quality requirements within 3 business days of sampling. Verification is testing for compliance with the specifications for:

1. Aggregate quality
2. Aggregate gradation (JMF TV  $\pm$  tolerance)
3. Asphalt binder content (JMF TV  $\pm$  tolerance)
4. HMA quality specified in the table Hot Mix Asphalt Mix Design Requirements except:
  - 4.1. Air voids content (design value  $\pm$  2.0 percent)
  - 4.2. Voids filled with asphalt (report only if an adjustment for asphalt binder content target value is less than or equal to  $\pm$  0.3 percent from OBC)
  - 4.3. Dust proportion (report only if an adjustment for asphalt binder content target value is less than or equal to  $\pm$  0.3 percent from OBC)

The Engineer prepares 3 briquettes from a single split sample. To verify the JMF for stability and air voids content, the Engineer tests the 3 briquettes and reports the average of 3 tests. The Engineer prepares new briquettes if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

If the Engineer verifies the JMF, the Engineer provides you a Form CEM-3513.

If the Engineer's tests on plant-produced samples do not verify the JMF, the Engineer notifies you in writing and you must submit a new JMF submittal or submit an adjusted JMF based on your testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to  $\pm$ 0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

You may adjust the JMF only once due to a failed verification test. An adjusted JMF requires a new Form CEM-3511 and verification of a plant-produced sample.

The Engineer reverifies the JMF if HMA production has stopped for longer than 30 days and the verified JMF is older than 12 months.

For each HMA type and aggregate size specified, the Engineer verifies at the State's expense up to 2 proposed JMF including a JMF adjusted after verification failure. The Engineer deducts \$3,000 from payments for each verification exceeding this limit. This deduction does not apply to verifications initiated by the Engineer or if a JMF expires while HMA production is stopped longer than 30 days.

### **39-1.03F Job Mix Formula Renewal**

You may request a JMF renewal by submitting the following:

1. Proposed JMF on Form CEM-3511
2. A previously verified JMF documented on Form CEM-3513 dated within 12 months
3. Mix design documentation on Form CEM-3512 used for the previously verified JMF

If the Engineer request in writing, sample the following materials obtained in the presence of the Engineer and place in labeled containers weighing no more than 22.5 kg each:

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.
3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.
4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

Notify the Engineer in writing at least 2 business days before sampling materials. For aggregate and RAP, split samples into at least 4 parts. Submit 3 parts to the Engineer and use 1 part for your testing.

The Engineer reviews each complete JMF renewal submittal within 5 business days.

The Engineer may verify aggregate qualities during this review period.

Notify the Engineer in writing at least 2 business days before sampling materials. For aggregate, RAP, and HMA, split the samples into at least 4 parts. Submit 3 parts to the Engineer and use 1 part for your testing.

The Engineer verifies the JMF renewal submittal under Section 39-1.03E, "Job Mix Formula Verification," except:

1. The Engineer retains samples until you provide test results for your part on Form CEM-3514.
2. The Engineer tests samples of materials obtained from the HMA production unit after you submit test results that comply with the specifications for the quality characteristics under Section 39-1.03E, "Job Mix Formula Verification."
3. The Engineer verifies each proposed JMF within 30 days of receiving verification samples.
4. You may not adjust the JMF due to a failed verification.
5. For each HMA type and aggregate gradation specified, the Engineer verifies at the State's expense 1 proposed JMF.

If the Engineer verifies the JMF renewal, the Engineer provides you a Form CEM-3513.

### **39-1.03G Job Mix Formula Acceptance**

You may start HMA production if:

1. The Engineer's review of the JMF shows compliance with the specifications.
2. The Department has verified the JMF within 12 months before HMA production.
3. The Engineer accepts the verified JMF.

## **39-1.04 CONTRACTOR QUALITY CONTROL**

### **39-1.04A General**

Establish, maintain, and change a quality control system to ensure materials and work comply with the specifications. Submit quality control test results to the Engineer within 3 days of a request except when QC / QA is specified.

You must identify the HMA sampling location in your Quality Control Plan. During production, take samples under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

### **39-1.04B Prepaving Conference**

Meet with the Engineer at a prepaving conference at a mutually agreed time and place. Discuss methods of performing the production and paving work.

### **39-1.04C Asphalt Rubber Binder**

Take asphalt rubber binder samples from the feed line connecting the asphalt rubber binder tank to the HMA plant. Sample and test asphalt rubber binder under Laboratory Procedure LP-11.

Test asphalt rubber binder for compliance with the viscosity specifications in Section 39-1.02, "Materials." During asphalt rubber binder production and HMA production using asphalt rubber binder, measure viscosity every hour with not less than 1 reading for each asphalt rubber binder batch. Log measurements with corresponding time and asphalt rubber binder temperature. Submit the log daily in writing.

Submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance." With the Certificate of Compliance, submit test results in writing for CRM and asphalt modifier with each truckload delivered to the HMA plant. A Certificate of Compliance for asphalt modifier must not represent more than 2250 kg. Use an AASHTO-certified laboratory for testing.

Sample and test gradation and wire and fabric content of CRM once per 4500 kg of scrap tire CRM and once per 1500 kg of high natural CRM. Sample and test scrap tire CRM and high natural CRM separately.

Submit certified weight slips in writing for the CRM and asphalt modifier furnished.

#### **39-1.04D Aggregate**

Determine the aggregate moisture content and RAP moisture content in continuous mixing plants at least twice a day during production and adjust the plant controller. Determine the RAP moisture content in batch mixing plants at least twice a day during production and adjust the plant controller.

#### **39-1.04E Reclaimed Asphalt Pavement**

Perform RAP quality control testing each day.

Sample RAP once daily and determine the RAP aggregate gradation under Laboratory Procedure LP-9 and submit the results to the Engineer in writing with the combined aggregate gradation.

#### **39-1.04F Density Cores**

For Standard and QC / QA projects, take 100-millimeter or 150-millimeter diameter cores at least once every 5 business days. Take 1 core for every 250 tonnes of HMA from random locations the Engineer designates. Take cores in the Engineer's presence and backfill and compact holes with material authorized by the Engineer. Before submitting a core to the Engineer, mark it with the core's location and place it in a protective container.

If a core is damaged, replace it with a core taken within 0.3 m longitudinally from the original core. Relocate any core located within 0.3 m of a rumble strip to 0.3 m transversely away from the rumble strip.

#### **39-1.04G Briquettes**

Prepare 3 briquettes for each stability and air voids determination. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 12 points.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use these briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

#### **39-1.05 ENGINEER'S ACCEPTANCE**

The Engineer's acceptance of HMA is specified in the sections for each HMA construction process.

The Engineer samples materials for testing under California Test 125 and the applicable test method except samples may be taken from:

1. The plant from:
  - 1.1. A truck
  - 1.2. An automatic sampling device
2. The mat behind the paver

Sampling must be independent of Contractor quality control, statistically-based, and random. If you request, the Engineer splits samples and provides you with a part.

The Engineer accepts HMA based on:

1. Accepted JMF
2. Accepted QCP for Standard and QC / QA
3. Compliance with the HMA Acceptance tables
4. Acceptance of a lot for QC / QA
5. Visual inspection

The Engineer prepares 3 briquettes for each stability and air voids determination. The Engineer reports the average of 3 tests. The Engineer prepares new briquettes and test if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

### **39-1.06 DISPUTE RESOLUTION**

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer in writing within 5 days of receiving a test result if you dispute the test result.

If you or the Engineer dispute each other's test results, submit written quality control test results and copies of paperwork including worksheets used to determine the disputed test results to the Engineer. An Independent Third Party (ITP) performs referee testing. Before the ITP participates in a dispute resolution, the ITP must be accredited under the Department's Independent Assurance Program. The ITP must be independent of the project. By mutual agreement, the ITP is chosen from:

1. A Department laboratory
2. A Department laboratory in a district or region not in the district or region the project is located
3. The Transportation Laboratory
4. A laboratory not currently employed by you or your HMA producer

If split quality control or acceptance samples are not available, the ITP uses any available material representing the disputed HMA for evaluation.

### **39-1.07 PRODUCTION START-UP EVALUATION**

The Engineer evaluates HMA production and placement at production start-up.

Within the first 750 tonnes produced on the first day of HMA production, in the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Take RAP samples from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and keep 1 part.

For Standard and QC / QA projects, you and the Engineer must test the split samples and report test results in writing within 3 business days of sampling. If you proceed before receipt of the test results, the Engineer may consider the HMA placed to be represented by these test results.

For Standard and QC / QA projects, take 100-millimeter or 150-millimeter diameter first 750 tonnes on the first day of HMA production. For each density core, the Engineer reports the bulk specific gravity determined under California Test 308, Method A in addition to the percent of maximum theoretical density. You may test for in-place density at the density core locations and include them in your production tests for percent of maximum theoretical density.

### **39-1.08 PRODUCTION**

#### **39-1.08A General**

Produce HMA in a batch mixing plant or a continuous mixing plant. Proportion aggregate by hot or cold feed control.

HMA plants must be Department-qualified. Before production, the HMA plant must have a current qualification under the Department's Materials Plant Quality Program.

During production, you may adjust:

1. Hot or cold feed proportion controls for virgin aggregate and RAP
2. The set point for asphalt binder content

### **39-1.08B Mixing**

Mix HMA ingredients into a homogeneous mixture of coated aggregates.

Asphalt binder must be between 135 °C and 190 °C when mixed with aggregate.

Asphalt rubber binder must be between 177 °C and 218 °C when mixed with aggregate.

When mixed with asphalt binder, aggregate must not be more than 163 °C except aggregate for OGFC with unmodified asphalt binder must be not more than 135 °C. Aggregate temperature specifications do not apply when you use RAP.

HMA with or without RAP must not be more than 163 °C.

### **39-1.08C Asphalt Rubber Binder**

Deliver scrap tire CRM and high natural CRM in separate bags.

Either proportion and mix asphalt binder, asphalt modifier, and CRM simultaneously or pre-mix the asphalt binder and asphalt modifier before adding CRM. If you pre-mix asphalt binder and asphalt modifier, mix them for at least 20 minutes. When you add CRM, the asphalt binder and asphalt modifier must be between 177 °C and 218 °C.

Do not use asphalt rubber binder during the first 45 minutes of the reaction period. During this period, the asphalt rubber binder mixture must be between 177 °C and the lower of 218 °C or 6 °C below the asphalt binder's flash point indicated in the MSDS.

If any asphalt rubber binder is not used within 4 hours after the reaction period, discontinue heating. If the asphalt rubber binder drops below 177 °C, reheat before use. If you add more scrap tire CRM to the reheated asphalt rubber binder, the binder must undergo a 45-minute reaction period. The added scrap tire CRM must not exceed 10 percent of the total asphalt rubber binder mass. Reheated and reacted asphalt rubber binder must comply with the viscosity specifications for asphalt rubber binder in Section 39-1.02, "Materials." Do not reheat asphalt rubber binder more than twice.

## **39-1.09 SUBGRADE, TACK COAT, AND GEOSYNTHETIC PAVEMENT INTERLAYER**

### **39-1.09A General**

Prepare subgrade or apply tack coat to surfaces receiving HMA. If specified, place geosynthetic pavement interlayer over a coat of asphalt binder.

### **39-1.09B Subgrade**

Subgrade to receive HMA must comply with the compaction and elevation tolerance specifications in the sections for the material involved. Subgrade must be free of loose and extraneous material. If HMA is paved on existing base or pavement, remove loose paving particles, dirt, and other extraneous material by any means including flushing and sweeping.

### **39-1.09C Tack Coat**

Apply tack coat:

1. To existing pavement including planed surfaces
2. Between HMA layers
3. To vertical surfaces of:
  - 3.1. Curbs
  - 3.2. Gutters
  - 3.3. Construction joints

Before placing HMA, apply tack coat in 1 application at the minimum residual rate specified for the condition of the underlying surface:

**Tack Coat Application Rates for HMA Type A, Type B, and RHMA-G**

| HMA over:                    | Minimum Residual Rates (liters per square meter)       |  |   |
|------------------------------|--|--|---|
|                              | CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion | CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion | Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion |
| New HMA (between layers)     | 0.09   | 0.14   | 0.09  |
| Existing AC and PCC pavement | 0.14   | 0.18   | 0.14  |
| Planed pavement              | 0.23   | 0.27   | 0.18  |

**Tack Coat Application Rates for OGFC**

| OGFC over:                   | Minimum Residual Rates (liters per square meter)       |  |   |
|------------------------------|--|--|---|
|                              | CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion | CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion | Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion |
| New HMA                      | 0.14   | 0.18   | 0.14  |
| Existing AC and PCC pavement | 0.23   | 0.27   | 0.18  |
| Planed pavement              | 0.27   | 0.32   | 0.23  |

If you dilute asphaltic emulsion, mix until homogeneous before application.

Apply to vertical surfaces with a residual tack coat rate that will thoroughly coat the vertical face without running off.

If you request in writing and the Engineer authorizes, you may:

1. Change tack coat rates
2. Omit tack coat between layers of new HMA during the same work shift if:
  - 2.1. No dust, dirt, or extraneous material is present
  - 2.2. The surface is at least 60 °C

Immediately in advance of placing HMA, apply additional tack coat to damaged areas or where loose or extraneous material is removed.

Close areas receiving tack coat to traffic. Do not track tack coat onto pavement surfaces beyond the job site.

Asphalt binder tack coat must be between 140 °C and 175 °C when applied.

**39-1.09D Geosynthetic Pavement Interlayer**

Place geosynthetic pavement interlayer in compliance with the manufacturer's recommendations.

Before placing the geosynthetic pavement interlayer and asphalt binder:

1. Repair cracks 6.5 mm and wider, spalls, and holes in the pavement. The State pays for this repair work under Section 4-1.03D, "Extra Work."
2. Clean the pavement of loose and extraneous material.

Immediately before placing the interlayer, apply 1.13 L ± 0.14 L of asphalt binder per square meter of interlayer or until the fabric is saturated. Apply asphalt binder the width of the geosynthetic pavement interlayer plus 75 mm on each side. At interlayer overlaps, apply asphalt binder on the lower interlayer the same overlap distance as the upper interlayer.

Align and place the interlayer with no overlapping wrinkles, except a wrinkle that overlaps may remain if it is less than 12.5 mm thick. If the overlapping wrinkle is more than 12.5 mm thick, cut the wrinkle out and overlap the interlayer no more than 50 mm.

The minimum HMA thickness over the interlayer must be 35 mm thick including conform tapers. Do not place the interlayer on a wet or frozen surface.

Overlap the interlayer borders between 50 mm and 100 mm. In the direction of paving, overlap the following roll with the preceding roll at any break.

You may use rolling equipment to correct distortions or wrinkles in the interlayer.

If asphalt binder tracked onto the interlayer or brought to the surface by construction equipment causes interlayer displacement, cover it with a small quantity of HMA.

Before placing HMA on the interlayer, do not expose the interlayer to:

1. Traffic except for crossings under traffic control and only after you place a small HMA quantity
2. Sharp turns from construction equipment
3. Damaging elements

Pave HMA on the interlayer during the same work shift.

### **39-1.10 SPREADING AND COMPACTING EQUIPMENT**

Paving equipment for spreading must be:

1. Self-propelled
2. Mechanical
3. Equipped with a screed or strike-off assembly that can distribute HMA the full width of a traffic lane
4. Equipped with a full-width compacting device
5. Equipped with automatic screed controls and sensing devices that control the thickness, longitudinal grade, and transverse screed slope

Install and maintain grade and slope references.

The screed must produce a uniform HMA surface texture without tearing, shoving, or gouging.

The paver must not leave marks such as ridges and indentations unless you can eliminate them by rolling.

Rollers must be equipped with a system that prevents HMA from sticking to the wheels. You may use a parting agent that does not damage the HMA or impede the bonding of layers.

In areas inaccessible to spreading and compacting equipment:

1. Spread the HMA by any means to obtain the specified lines, grades and cross sections.
2. Use a pneumatic tamper, plate compactor, or equivalent to achieve thorough compaction.

### **39-1.11 TRANSPORTING, SPREADING, AND COMPACTING**

Do not pave HMA on a wet pavement or frozen surface.

You may deposit HMA in a windrow and load it in the paver if:

1. Paver is equipped with a hopper that automatically feeds the screed
2. Loading equipment can pick up the windrowed material and deposit it in the paver hopper without damaging base material
3. Activities for deposit, pick-up, loading, and paving are continuous
4. HMA temperature in the windrow does not fall below 127 °C

You may pave HMA in 1 or more layers on areas less than 1.5 m wide and outside the traveled way including shoulders. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture.

HMA handled, spread, or windrowed must not stain the finished surface of any improvement including pavement.

Do not use petroleum products such as kerosene or diesel fuel to release HMA from trucks, spreaders, or compactors.

HMA must be free of:

1. Segregation
2. Coarse or fine aggregate pockets
3. Hardened lumps

Longitudinal joints in the top layer must match specified lane edges. Alternate longitudinal joint offsets in lower layers at least 0.15 m from each side of the specified lane edges. You may request in writing other longitudinal joint placement patterns.

Until the adjoining through lane's top layer has been paved, do not pave the top layer of:

1. Shoulders
2. Tapers

3. Transitions
4. Road connections
5. Driveways
6. Curve widenings
7. Chain control lanes
8. Turnouts
9. Turn pockets

If the number of lanes change, pave each through lane's top layer before paving a tapering lane's top layer. Simultaneous to paving a through lane's top layer, you may pave an adjoining area's top layer including shoulders. Do not operate spreading equipment on any area's top layer until completing final compaction.

If HMA (leveling) is specified, fill and level irregularities and ruts with HMA before spreading HMA over base, existing surfaces, or bridge decks. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture. HMA used to change an existing surface's cross slope or profile is not HMA (leveling).

If placing HMA against the edge of existing pavement, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. If placing HMA against the edge of a longitudinal or transverse construction joint and the joint is damaged or not placed to a neat line, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. Repair or remove and replace damaged pavement at your expense.

Rolling must leave the completed surface compacted and smooth without tearing, cracking, or shoving. Complete finish rolling activities before the pavement surface temperature is:

1. Below 65 °C for HMA with unmodified binder
2. Below 60 °C for HMA with modified binder
3. Below 93 °C for RHMA-G

If a vibratory roller is used as a finish roller, turn the vibrator off.

Do not use a pneumatic tired roller to compact RHMA-G.

For Standard and QC/QA, if a 19-millimeter aggregate grading is specified, you may use a 12.5-millimeter aggregate grading if the specified paved thickness is from between 38 mm and 60 mm thick.

Spread and compact HMA under Section 39-3.03, "Spreading and Compacting Equipment," and Section 39-3.04, "Transporting, Spreading, and Compacting," for any of the following:

1. Specified paved thickness is less than 45 mm.
2. Specified paved thickness is less than 60 mm and a 19-millimeter aggregate grading is specified and used.
3. You spread and compact at:
  - 3.1. Asphalt concrete surfacing replacement areas
  - 3.2. Leveling courses
  - 3.3. Areas the Engineer determines conventional compaction and compaction measurement methods are impeded

Do not allow traffic on new HMA pavement until its mid-depth temperature is below 71 °C.

If you request in writing and the Engineer authorizes, you may cool HMA Type A and Type B with water when rolling activities are complete. Apply water under Section 17, "Watering."

Spread sand at a rate between 0.5 kg and 1 kg per square meter on new RHMA-G, RHMA-O, and RHMA-O-HB pavement when finish rolling is complete. Sand must be free of clay or organic matter. Sand must comply with Section 90-3.03, "Fine Aggregate Grading." Keep traffic off the pavement until spreading sand is complete.

### **39-1.12 SMOOTHNESS**

#### **39-1.12A General**

Determine HMA smoothness with a profilograph and a straightedge.

Smoothness specifications do not apply to OGFC placed on existing pavement not constructed under the same project.

If portland cement concrete is placed on HMA:

1. Cold plane the HMA finished surface to within specified tolerances if it is higher than the grade specified by the Engineer.
2. Remove and replace HMA if the finished surface is lower than 15 mm below the grade specified by the Engineer.

### 39-1.12B Straightedge

The HMA pavement top layer must not vary from the lower edge of a 3.66-meter long straightedge:

1. More than 3 mm when the straight edge is laid parallel with the centerline
2. More than 6 mm when the straightedge is laid perpendicular to the centerline and extends from edge to edge of a traffic lane
3. More than 6 mm when the straightedge is laid within 7.3 m of a pavement conform

### 39-1.12C Profilograph

Under California Test 526, determine the zero (null) blanking band Profile Index ( $PI_0$ ) and must-grinds on the top layer of HMA Type A, Type B, and RHMA-G pavement. Take 2 profiles within each traffic lane, 1 m from and parallel with the edge of each lane.

A must-grind is a deviation of 7.5 mm or more in a length of 7.5 m. You must correct must-grinds.

For OGFC, only determine must-grinds when placed over HMA constructed under the same project. The top layer of the underlying HMA must comply with the smoothness specifications before placing OGFC.

Profile pavement in the Engineer's presence. Choose the time of profiling.

On tangents and horizontal curves with a centerline radius of curvature 600 m or more, the  $PI_0$  must be at most 75 mm per 160-meter section.

On horizontal curves with a centerline radius of curvature between 300 m and 600 m including pavement within the superelevation transitions, the  $PI_0$  must be at most 150 mm per 160-meter section.

Before the Engineer accepts HMA pavement for smoothness, submit written final profilograms.

Submit 1 electronic copy of profile information in Microsoft Excel and 1 electronic copy of longitudinal pavement profiles in ".erd" format or other ProVAL compatible format to the Engineer and to:

Smoothness@dot.ca.gov

The following HMA pavement areas do not require a  $PI_0$ . You must measure these areas with a 3.6-meter straightedge and determine must-grinds with a profilograph:

1. New HMA with a total thickness less than or equal to 75 mm
2. HMA sections of city or county streets and roads, turn lanes and collector lanes that are less than 460 m in length

The following HMA pavement areas do not require a  $PI_0$ . You must measure these areas with a 3.6-meter straightedge:

1. Horizontal curves with a centerline radius of curvature less than 300 m including pavement within the superelevation transitions of those curves
2. Within 3.66 m of a transverse joint separating the pavement from:
  - 2.1. Existing pavement not constructed under the same project
  - 2.2. A bridge deck or approach slab
3. Exit ramp termini, truck weigh stations, and weigh-in-motion areas
4. If steep grades and superelevation rates greater than 6 percent are present on:
  - 4.1. Ramps
  - 4.2. Connectors
5. Turn lanes
6. Areas within 5 m of manholes or drainage transitions
7. Acceleration and deceleration lanes for at-grade intersections
8. Shoulders and miscellaneous areas
9. HMA pavement within 1 m from and parallel to the construction joints formed between curbs, gutters, or existing pavement

### **39-1.12D Smoothness Correction**

If the top layer of HMA Type A, Type B, or RHMA-G pavement does not comply with the smoothness specifications, grind the pavement to within tolerances, remove and replace it, or place a layer of HMA. The Engineer must authorize your choice of correction before the work begins.

Remove and replace the areas of OGFC not in compliance with the must-grind and straightedge specifications, except you may grind OGFC for correcting smoothness:

1. At a transverse joint separating the pavement from pavement not constructed under the same project
2. Within 3.66 m of a transverse joint separating the pavement from a bridge deck or approach slab

Corrected HMA pavement areas must be uniform rectangles with edges:

1. Parallel to the nearest HMA pavement edge or lane line
2. Perpendicular to the pavement centerline

Measure the corrected HMA pavement surface with a profilograph and a 3.66-meter straightedge and correct the pavement to within specified tolerances. If a must-grind area or straightedged pavement cannot be corrected to within specified tolerances, remove and replace the pavement.

On ground areas not overlaid with OGFC, apply fog seal coat under Section 37-1, "Seal Coats."

### **39-1.13 MISCELLANEOUS AREAS AND DIKES**

Miscellaneous areas are outside the traveled way and include:

1. Median areas not including inside shoulders
2. Island areas
3. Sidewalks
4. Gutters
5. Gutter flares
6. Ditches
7. Overside drains
8. Aprons at the ends of drainage structures

Spread miscellaneous areas in 1 layer and compact to the specified lines and grades.

For miscellaneous areas and dikes:

1. Do not submit a JMF.
2. Choose the 9.5-millimeter or 12.5-millimeter HMA Type A and Type B aggregate gradations.
3. Minimum asphalt binder content must be 6.8 percent for 9.5-millimeter aggregate and 6.0 percent for 12.5-millimeter aggregate. If you request in writing and the Engineer authorizes, you may reduce the minimum asphalt binder content.
4. Choose asphalt binder Grade PG 70-10 or the same grade specified for HMA.

## **39-2 STANDARD**

### **39-2.01 DESCRIPTION**

If HMA is specified as Standard, construct it under Section 39-1, "General," this Section 39-2, "Standard," and Section 39-5, "Measurement and Payment."

### **39-2.02 CONTRACTOR QUALITY CONTROL**

#### **39-2.02A Quality Control Plan**

Establish, implement, and maintain a Quality Control Plan (QCP) for HMA. The QCP must describe the organization and procedures you will use to:

1. Control the quality characteristics
2. Determine when corrective actions are needed (action limits)
3. Implement corrective actions

When you submit the proposed JMF, submit the written QCP. You and the Engineer must discuss the QCP during the prepaving conference.

The QCP must address the elements affecting HMA quality including:

1. Aggregate
2. Asphalt binder
3. Additives
4. Production
5. Paving

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

**39-2.02B Quality Control Testing**

Perform sampling and testing at the specified frequency for the following quality characteristics:

**Minimum Quality Control – Standard**

| Quality Characteristic  | Test Method          | Minimum Sampling and Testing Frequency                            | HMA Type                     |                              |                              |                              |
|---|----------------------|---|------------------------------|------------------------------|------------------------------|------------------------------|
|   |                      |   | A                            | B                            | RHMA-G                       | OGFC                         |
| Aggregate gradation <sup>a</sup>  | CT 202               | 1 per 750 tonnes and any remaining part                           | JMF ± Tolerance <sup>b</sup> |
| Sand equivalent (min.) <sup>c</sup>   | CT 217               |   | 47                           | 42                           | 47                           | --                           |
| Asphalt binder content (%)  | CT 379 or 382        |   | JMF ± 0.45                   | JMF ± 0.45                   | JMF ± 0.50                   | JMF ± 0.50                   |
| HMA moisture content (% max.)   | CT 226 or CT 370     | 1 per 2500 tonnes but not less than 1 per paving day              | 1.0                          | 1.0                          | 1.0                          | 1.0                          |
| Percent of maximum theoretical density (%) <sup>d,e</sup>   | Quality control plan | 2 per business day (min.)   | 91 - 97                      | 91 - 97                      | 91 - 97                      | --                           |
| Stabilometer value (min.) <sup>e,f</sup><br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings   | CT 366               | One per 4000 tonnes or 2 per 5 business days, whichever is more   | 30                           | 30                           | --                           | --                           |
|   |                      |   | 37                           | 35                           | 23                           | --                           |
| Air voids content (%) <sup>e,g</sup>  | CT 367               |   | 4 ± 2                        | 4 ± 2                        | Specification ± 2            | --                           |
| Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants <sup>h</sup>  | CT 226 or CT 370     | 2 per day during production                                       | --                           | --                           | --                           | --                           |
| Percent of crushed particles coarse aggregate (% min.)<br>One fractured face<br>Two fractured faces<br>Fine aggregate (% min)<br>(Passing 4.75-mm sieve and retained on 2.36-mm sieve.)<br>One fractured face | CT 205               | As necessary and designated in the QCP. At least once per project | 90                           | 25                           | --                           | 90                           |
|   |                      |   | 75                           | --                           | 90                           | 75                           |
|   |                      |   | 70                           | 20                           | 70                           | 90                           |
| Los Angeles Rattler (% max.)<br>Loss at 100 rev.<br>Loss at 500 rev.  | CT 211               |   | 12<br>45                     | --<br>50                     | 12<br>40                     | 12<br>40                     |

|   |                        |                  |  |  |  |                                    |
|---|------------------------|------------------|--|--|--|------------------------------------|
| Flat and elongated particles (% max. by mass @ 5:1)   | ASTM D 4791            |                  | Report only  | Report only  | Report only  | Report only                        |
| Fine aggregate angularity (% min.)  | AASHTO T 304, Method A |                  | 45   | 45   | 45   | --                                 |
| Voids filled with asphalt (%) <sup>i</sup><br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading       | LP-3                   |                  | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | Report only  | --                                 |
| Voids in mineral aggregate (% min.) <sup>i</sup><br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | LP-2                   |                  | 17.0<br>15.0<br>14.0<br>13.0                             | 17.0<br>15.0<br>14.0<br>13.0                             | --<br>--<br>18.0 – 23.0 <sup>j</sup><br>18.0 – 23.0 <sup>j</sup> | --                                 |
| Dust proportion <sup>1</sup><br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings                                 | LP-4                   |                  | 0.9 – 2.0<br>0.6 – 1.3                                   | 0.9 – 2.0<br>0.6 – 1.3                                   | Report only  | --                                 |
| Smoothness  | Section 39-1.12        | --               | 3.66-m straightedge, must-grind, and PI <sub>0</sub>     | 3.66-m straightedge, must-grind, and PI <sub>0</sub>     | 3.66-m straightedge, must-grind, and PI <sub>0</sub>             | 3.66-m straightedge and must-grind |
| Asphalt rubber binder viscosity @ 177 °C, centipoises   | Section 39-1.02D       | Section 39-1.04C | --   | --   | 1500 – 4000  | 1500 – 4000                        |
| Asphalt modifier  | Section 39-1.02D       | Section 39-1.04C | --   | --   | Section 39-1.02D   | Section 39-1.02D                   |
| Crumb rubber modifier   | Section 39-1.02D       | Section 39-1.04C | --   | --   | Section 39-1.02D   | Section 39-1.02D                   |

Notes:

<sup>a</sup> Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>c</sup> Report the average of 3 tests from a single split sample.

<sup>d</sup> Required for HMA Type A, Type B, and RHMA-G if the specified paved thickness is at least 45 mm.

<sup>e</sup> Determine maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.

<sup>f</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."

<sup>g</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>h</sup> For adjusting the plant controller at the HMA plant.

<sup>i</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>j</sup> Voids in mineral aggregate for RHMA-G must be within this range.

For any single quality characteristic except smoothness, if 2 consecutive quality control test results do not comply with the action limits or specifications:

1. Stop production.
2. Notify the Engineer in writing.
3. Take corrective action.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### **39-2.03 ENGINEER'S ACCEPTANCE**

#### **39-2.03A Testing**

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance - Standard**

| Quality Characteristic   |                |         |        | Test Method            | HMA Type   |  |  |                              |
|--|----------------|---------|--------|------------------------|--|--|--|------------------------------|
|  |                |         |        |                        | A  | B  | RHMA-G   | OGFC                         |
| Aggregate gradation <sup>a</sup>   |                |         |        | CT 202                 | JMF ± Tolerance <sup>c</sup>                             | JMF ± Tolerance <sup>c</sup>                             | JMF ± Tolerance <sup>c</sup>                                     | JMF ± Tolerance <sup>c</sup> |
| Sieve  | 19-mm          | 12.5-mm | 9.5-mm |                        |  |  |  |                              |
| 12.5-mm  | X <sup>b</sup> |         |        |                        |  |  |  |                              |
| 9.5-mm   |                | X       |        |                        |  |  |  |                              |
| 2.36-mm  | X              | X       | X      |                        |  |  |  |                              |
| 0.075-mm   | X              | X       | X      |                        |  |  |  |                              |
| Sand equivalent (min.) <sup>d</sup>  |                |         |        | CT 217                 | 47   | 42   | 47   | --                           |
| Asphalt binder content (%)   |                |         |        | CT 379 or 382          | JMF ± 0.45   | JMF ± 0.45   | JMF ± 0.5  | JMF ± 0.50                   |
| HMA moisture content (% max.)  |                |         |        | CT 226 or CT 370       | 1.0  | 1.0  | 1.0  | 1.0                          |
| Percent of maximum theoretical density (%) <sup>e, f</sup>                     |                |         |        | CT 375                 | 91 – 97  | 91 – 97  | 91 – 97  | --                           |
| Stabilometer value (min.) <sup>d, g</sup>                                      |                |         |        | CT 366                 | 30<br>37   | 30<br>35   | --<br>23   | --<br>--                     |
| 4.75-mm and 9.5-mm gradings  |                |         |        |                        |  |  |  |                              |
| 12.5-mm and 19-mm gradings   |                |         |        |                        |  |  |  |                              |
| Air voids content (%) <sup>d, h</sup>  |                |         |        | CT 367                 | 4 ± 2  | 4 ± 2  | Specification ± 2  | --                           |
| Percent of crushed particles Coarse aggregate (% min.)                         |                |         |        | CT 205                 | 90<br>75   | 25<br>--   | --<br>90   | 90<br>75                     |
| One fractured face   |                |         |        |                        |  |  |  |                              |
| Two fractured faces  |                |         |        |                        |  |  |  |                              |
| Fine aggregate (% min.) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) |                |         |        | CT 205                 | 70   | 20   | 70   | 90                           |
| One fractured face   |                |         |        |                        |  |  |  |                              |
| Percent of crushed particles Coarse aggregate (% min.)                         |                |         |        | CT 205                 | 90<br>75   | 25<br>--   | --<br>90   | 90<br>75                     |
| One fractured face   |                |         |        |                        |  |  |  |                              |
| Two fractured faces  |                |         |        |                        |  |  |  |                              |
| Los Angeles Rattler (% max.)   |                |         |        | CT 211                 | 12<br>45   | --<br>50   | 12<br>40   | 12<br>40                     |
| Loss at 100 rev.   |                |         |        |                        |  |  |  |                              |
| Loss at 500 rev.   |                |         |        |                        |  |  |  |                              |
| Fine aggregate angularity (% min.)   |                |         |        | AASHTO T 304, Method A | 45   | 45   | 45   | --                           |
| Flat and elongated particles (% max. by mass @ 5:1)                            |                |         |        | ASTM D 4791            | Report only  | Report only  | Report only  | Report only                  |
| Voids filled with asphalt (%) <sup>1</sup>                                     |                |         |        | LP-3                   | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | Report only  | --                           |
| 4.75-mm grading  |                |         |        |                        |  |  |  |                              |
| 9.5-mm grading   |                |         |        |                        |  |  |  |                              |
| 12.5-mm grading  |                |         |        |                        |  |  |  |                              |
| 19-mm grading  |                |         |        |                        |  |  |  |                              |
| Voids in mineral aggregate (% min.) <sup>i</sup>                               |                |         |        | LP-2                   | 17.0<br>15.0<br>14.0<br>13.0                             | 17.0<br>15.0<br>14.0<br>13.0                             | --<br>--<br>18.0 – 23.0 <sup>j</sup><br>18.0 – 23.0 <sup>j</sup> | --                           |
| 4.75-mm grading  |                |         |        |                        |  |  |  |                              |
| 9.5-mm grading   |                |         |        |                        |  |  |  |                              |
| 12.5-mm grading  |                |         |        |                        |  |  |  |                              |
| 19-mm grading  |                |         |        |                        |  |  |  |                              |
| Dust proportion <sup>1</sup>   |                |         |        | LP-4                   |  |  |  | --                           |
| 4.75-mm and 9.5-mm   |                |         |        |                        |  |  |  |                              |

|   |                    |   |   |   |  |
|---|--------------------|---|---|---|--|
| gradings<br>12.5-mm and 19-mm<br>gradings |                    | 0.9 – 2.0   | 0.9 – 2.0   | Report only   |  |
|   |                    | 0.6 – 1.3   | 0.6 – 1.3   |   |  |
| Smoothness                                | Section<br>39-1.12 | 3.66-m<br>straightedge,<br>must-grind,<br>and PI <sub>0</sub> | 3.66-m<br>straightedge,<br>must-grind, and<br>PI <sub>0</sub> | 3.66-m<br>straightedge,<br>must-grind, and<br>PI <sub>0</sub> | 3.66-m<br>straightedge<br>and must-grind           |
| Asphalt binder                            | Various            | Section 92  | Section 92  | Section 92  | Section 92   |
| Asphalt rubber binder                     | Various            | --  | --  | Section 92-<br>1.02(C) and<br>Section 39-<br>1.02D            | Section 92-<br>1.02(C) and<br>Section 39-<br>1.02D |
| Asphalt modifier                          | Various            | --  | --  | Section 39-<br>1.02D  | Section 39-<br>1.02D                               |
| Crumb rubber modifier                     | Various            | --  | --  | Section 39-<br>1.02D  | Section 39-<br>1.02D                               |

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> "X" denotes the sieves the Engineer considers for the specified aggregate gradation.

<sup>c</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>d</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>e</sup> The Engineer determines percent of maximum theoretical density if the specified paved thickness is at least 45-mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

<sup>f</sup> The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.

<sup>g</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."

<sup>h</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>j</sup> Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

The Engineer tests the density core you take from each 250 tonnes of HMA production. The Engineer determines the percent of maximum theoretical density for each density core by determining the density core's density and dividing by the maximum theoretical density.

If the specified total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness.

For percent of maximum theoretical density, the Engineer determines a deduction for each test result outside the specifications in compliance with:

**Reduced Payment Factors for Percent of Maximum Theoretical Density**

| HMA Type A and B<br>and RHMA-G<br>Percent of Maximum<br>Theoretical Density | Reduced Payment<br>Factor | HMA Type A and B<br>and RHMA-G<br>Percent of Maximum<br>Theoretical Density | Reduced Payment<br>Factor |
|---|---------------------------|---|---------------------------|
| 91.0  | 0.0000                    | 97.0  | 0.0000                    |
| 90.9  | 0.0125                    | 97.1  | 0.0125                    |
| 90.8  | 0.0250                    | 97.2  | 0.0250                    |
| 90.7  | 0.0375                    | 97.3  | 0.0375                    |
| 90.6  | 0.0500                    | 97.4  | 0.0500                    |
| 90.5  | 0.0625                    | 97.5  | 0.0625                    |
| 90.4  | 0.0750                    | 97.6  | 0.0750                    |
| 90.3  | 0.0875                    | 97.7  | 0.0875                    |
| 90.2  | 0.1000                    | 97.8  | 0.1000                    |
| 90.1  | 0.1125                    | 97.9  | 0.1125                    |
| 90.0  | 0.1250                    | 98.0  | 0.1250                    |
| 89.9  | 0.1375                    | 98.1  | 0.1375                    |
| 89.8  | 0.1500                    | 98.2  | 0.1500                    |
| 89.7  | 0.1625                    | 98.3  | 0.1625                    |
| 89.6  | 0.1750                    | 98.4  | 0.1750                    |
| 89.5  | 0.1875                    | 98.5  | 0.1875                    |
| 89.4  | 0.2000                    | 98.6  | 0.2000                    |
| 89.3  | 0.2125                    | 98.7  | 0.2125                    |
| 89.2  | 0.2250                    | 98.8  | 0.2250                    |
| 89.1  | 0.2375                    | 98.9  | 0.2375                    |
| 89.0  | 0.2500                    | 99.0  | 0.2500                    |
| < 89.0  | Remove and Replace        | > 99.0  | Remove and Replace        |

**39-2.04 TRANSPORTING, SPREADING, AND COMPACTING**

Determine the number of rollers needed to obtain the specified density and surface finish.

**39-3 METHOD**

**39-3.01 DESCRIPTION**

If HMA is specified as Method, construct it under Section 39-1, "General," this Section 39-3, "Method," and Section 39-5, "Measurement and Payment."

**39-3.02 ENGINEER'S ACCEPTANCE**

**39-3.02A Testing**

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance - Method**

| Quality Characteristic  | Test Method            | HMA Type                           |                                    |                                    |                                    |
|---|------------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|
|   |                        | A                                  | B                                  | RHMA-G                             | OGFC                               |
| Aggregate gradation <sup>a</sup>  | CT 202                 | JMF ± Tolerance <sup>b</sup>       |
| Sand equivalent (min.) <sup>c</sup>   | CT 217                 | 47                                 | 42                                 | 47                                 | --                                 |
| Asphalt binder content (%)  | CT 379 or 382          | JMF ± 0.45                         | JMF ± 0.45                         | JMF ± 0.5                          | JMF ± 0.50                         |
| HMA moisture content (% max.)   | CT 226 or CT 370       | 1.0                                | 1.0                                | 1.0                                | 1.0                                |
| Stabilometer value (min.) <sup>c, d</sup>                                     | CT 366                 |                                    |                                    |                                    |                                    |
| 4.75-mm and 9.5-mm gradings   |                        | 30                                 | 30                                 | --                                 | --                                 |
| 12.5-mm and 19-mm gradings  |                        | 37                                 | 35                                 | 23                                 | --                                 |
| Percent of crushed particles  | CT 205                 |                                    |                                    |                                    |                                    |
| Coarse aggregate (% min.)   |                        |                                    |                                    |                                    |                                    |
| One fractured face  |                        | 90                                 | 25                                 | --                                 | 90                                 |
| Two fractured faces   |                        | 75                                 | --                                 | 90                                 | 75                                 |
| Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) |                        |                                    |                                    |                                    |                                    |
| One fractured face  |                        | 70                                 | 20                                 | 70                                 | 90                                 |
| Los Angeles Rattler (% max.)  | CT 211                 |                                    |                                    |                                    |                                    |
| Loss at 100 rev.  |                        | 12                                 | --                                 | 12                                 | 12                                 |
| Loss at 500 rev.  |                        | 45                                 | 50                                 | 40                                 | 40                                 |
| Air voids content (%) <sup>c, e</sup>   | CT 367                 | 4 ± 2                              | 4 ± 2                              | Specification ± 2                  | --                                 |
| Fine aggregate angularity (% min.)  | AASHTO T 304, Method A | 45                                 | 45                                 | 45                                 | --                                 |
| Flat and elongated particles (% max. by mass @ 5:1)                           | ASTM D 4791            | Report only                        | Report only                        | Report only                        | Report only                        |
| Voids filled with asphalt (%) <sup>f</sup>                                    | LP-3                   |                                    |                                    | Report only                        |                                    |
| 4.75-mm grading   |                        | 76.0 – 80.0                        | 76.0 – 80.0                        |                                    | --                                 |
| 9.5-mm grading  |                        | 73.0 – 76.0                        | 73.0 – 76.0                        |                                    |                                    |
| 12.5-mm grading   |                        | 65.0 – 75.0                        | 65.0 – 75.0                        |                                    |                                    |
| 19-mm grading   |                        | 65.0 – 75.0                        | 65.0 – 75.0                        |                                    |                                    |
| Voids in mineral aggregate (% min.) <sup>f</sup>                              | LP-2                   |                                    |                                    |                                    |                                    |
| 4.75-mm grading   |                        | 17.0                               | 17.0                               | --                                 | --                                 |
| 9.5-mm grading  |                        | 15.0                               | 15.0                               | --                                 |                                    |
| 12.5-mm grading   |                        | 14.0                               | 14.0                               | 18.0 – 23.0 <sup>g</sup>           |                                    |
| 19-mm grading   |                        | 13.0                               | 13.0                               | 18.0 – 23.0 <sup>g</sup>           |                                    |
| Dust proportion <sup>f</sup>  | LP-4                   |                                    |                                    | Report only                        |                                    |
| 4.75-mm and 9.5-mm gradings   |                        | 0.9 – 2.0                          | 0.9 – 2.0                          |                                    | --                                 |
| 12.5-mm and 19-mm gradings  |                        | 0.6 – 1.3                          | 0.6 – 1.3                          |                                    |                                    |
| Smoothness  | Section 39-1.12        | 3.66-m straightedge and must-grind |

|                       |         |            |            |   |   |
|-----------------------|---------|------------|------------|---|---|
| Asphalt binder        | Various | Section 92 | Section 92 | Section 92                              | Section 92                              |
| Asphalt rubber binder | Various | --         | --         | Section 92-1.02(C) and Section 39-1.02D | Section 92-1.02(C) and Section 39-1.02D |
| Asphalt modifier      | Various | --         | --         | Section 39-1.02D                        | Section 39-1.02D                        |
| Crumb rubber modifier | Various | --         | --         | Section 39-1.02D                        | Section 39-1.02D                        |

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>c</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>d</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."

<sup>e</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>f</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>g</sup> Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### 39-3.03 SPREADING AND COMPACTING EQUIPMENT

Each paver spreading HMA Type A and Type B must be followed by 3 rollers:

1. One vibratory roller specifically designed to compact HMA. The roller must be capable of at least 2500 vibrations per minute and must be equipped with amplitude and frequency controls. The roller's gross static mass must be at least 6.8 tonnes.
2. One oscillating type pneumatic-tired roller at least 1.2 m wide. Pneumatic tires must be of equal size, diameter, type, and ply. The tires must be inflated to 415 kilopascals minimum and maintained so that the air pressure does not vary more than 35 kilopascals.
3. One steel-tired, 2-axle tandem roller. The roller's gross static mass must be at least 6.8 tonnes.

Each roller must have a separate operator. Rollers must be self-propelled and reversible.

Compact RHMA-G under the specifications for compacting HMA Type A and Type B except do not use pneumatic-tired rollers.

Compact OGFC with steel-tired, 2-axle tandem rollers. If placing over 275 tonnes of OGFC per hour, use at least 3 rollers for each paver. If placing less than 275 tonnes of OGFC per hour, use at least 2 rollers for each paver. Each roller must weigh between 2250 kilograms to 3075 kilograms per linear meter of drum width. Turn the vibrator off.

### 39-3.04 TRANSPORTING, SPREADING, AND COMPACTING

Pave HMA in maximum 75-millimeter thick compacted layers.

If the surface to be paved is both in sunlight and shade, pavement surface temperatures are taken in the shade.

Spread HMA Type A and Type B only if atmospheric and surface temperatures are:

### Minimum Atmospheric and Surface Temperatures

| Compacted Layer Thickness, mm |                           |                                      |                           |                                      |
|-------------------------------|---------------------------|--------------------------------------|---------------------------|--------------------------------------|
|                               | Unmodified Asphalt Binder | Modified Asphalt Binder <sup>a</sup> | Unmodified Asphalt Binder | Modified Asphalt Binder <sup>a</sup> |
| < 45                          | 12.8                      | 10                                   | 15.6                      | 12.8                                 |
| 45 – 75                       | 7.2                       | 7.2                                  | 10                        | 10                                   |

Note:

<sup>a</sup> Except asphalt rubber binder.

If the asphalt binder for HMA Type A and Type B is:

1. Unmodified asphalt binder, complete:

- 1.1. First coverage of breakdown compaction before the surface temperature drops below 120 °C
- 1.2. Breakdown and intermediate compaction before the surface temperature drops below 95 °C
- 1.3. Finish compaction before the surface temperature drops below 65 °C

2. Modified asphalt binder, complete:

- 2.1. First coverage of breakdown compaction before the surface temperature drops below 115 °C
- 2.2. Breakdown and intermediate compaction before the surface temperature drops below 85 °C
- 2.3. Finish compaction before the surface temperature drops below 60 °C

For RHMA-G:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete the first coverage of breakdown compaction before the surface temperature drops below 140 °C.
3. Complete breakdown and intermediate compaction before the surface temperature drops below 120 °C.
4. Complete finish compaction before the surface temperature drops below 95 °C.
5. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with unmodified asphalt binder:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 95 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with modified asphalt binder except asphalt rubber binder:

1. Only spread and compact if the atmospheric temperature is at least 10 °C and the surface temperature is at least 10 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 85 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For RHMA-O and RHMA-O-HB:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and surface temperature is at least 15.6 °C.
2. Complete the 1st coverage using 2 rollers before the surface temperature drops below 140 °C.
3. Complete compaction before the surface temperature drops below 120 °C.

4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until the mixture is transferred to the paver's hopper or to the pavement surface.

For RHMA-G and OGFC, tarpaulins are not required if the time from discharge to truck until transfer to the paver's hopper or the pavement surface is less than 30 minutes.

HMA compaction coverage is the number of passes needed to cover the paving width. A pass is 1 roller's movement parallel to the paving in either direction. Overlapping passes are part of the coverage being made and are not a subsequent coverage. Do not start a coverage until completing the prior coverage.

Start rolling at the lower edge and progress toward the highest part.

Perform breakdown compaction of each layer of HMA Type A, Type B, and RHMA-G with 3 coverages using a vibratory roller. The speed of the vibratory roller in kilometers per hour must not exceed the vibrations per minute divided by 1600. If the HMA layer thickness is less than 25 mm, turn the vibrator off. The Engineer may order fewer coverages if the HMA layer thickness is less than 45 mm.

Perform intermediate compaction of each layer of HMA Type A and Type B with 3 coverages using a pneumatic-tired roller at a speed not to exceed 8 kilometers per hour.

Perform finish compaction of HMA Type A, Type B, and RHMA-G with 1 coverage using a steel-tired roller.

Compact OGFC with 2 coverages using steel-tired rollers.

### **39-4 QUALITY CONTROL / QUALITY ASSURANCE**

#### **39-4.01 DESCRIPTION**

If HMA is specified as Quality Control / Quality Assurance, construct it under Section 39-1, "General," this Section 39-4, "Quality Control / Quality Assurance," and Section 39-5, "Measurement and Payment."

#### **39-4.02 GENERAL**

The QC / QA construction process consists of:

1. Establishing, maintaining, and changing if needed a quality control system providing assurance the HMA complies with the specifications
2. Sampling and testing at specified intervals, or sublots, to demonstrate compliance and to control process
3. The Engineer sampling and testing at specified intervals to verify testing process and HMA quality
4. The Engineer using test results, statistical evaluation of verified quality control tests, and inspection to accept HMA for payment

A lot is a quantity of HMA. The Engineer designates a new lot when:

1. 20 sublots are complete
2. The JMF changes
3. Production stops for more than 30 days

Each lot consists of no more than 20 sublots. A subplot is 750 tonnes except HMA paved at day's end greater than 250 tonnes is a subplot. If HMA paved at day's end is less than 250 tonnes, you may either make this quantity a subplot or include it in the previous subplot's test results for statistical evaluation.

#### **39-4.03 CONTRACTOR QUALITY CONTROL**

##### **39-4.03A General**

Use a composite quality factor,  $QF_C$ , and individual quality factors,  $QF_{QCi}$ , to control your process and evaluate your quality control program. For quality characteristics without quality factors, use your quality control plan's action limits to control process.

Control HMA quality including:

1. Materials
2. Proportioning
3. Spreading and compacting
4. Finished roadway surface

Develop, implement, and maintain a quality control program that includes:

1. Inspection
2. Sampling
3. Testing

#### **39-4.03B Quality Control Plan**

With the JMF submittal, submit a written Quality Control Plan (QCP). The QCP must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement. Discuss the QCP with the Engineer during the prepaving conference.

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

The QCP must include the name and qualifications of a Quality Control Manager. The Quality Control Manager administers the QCP and during paving must be at the job site within 3 hours of receiving notice. The Quality Control Manager must not be any of the following on the project:

1. Foreman
2. Production or paving crewmember
3. Inspector
4. Tester

The QCP must include action limits and details of corrective action you will take if a test result for any quality characteristic falls outside an action limit.

As work progresses, you must submit a written QCP supplement to change quality control procedures, personnel, tester qualification status, or laboratory accreditation status.

#### **39-4.03C Quality Control Inspection, Sampling, And Testing**

Sample, test, inspect, and manage HMA quality control.

Provide a roadway inspector while HMA paving activities are in progress. Provide a plant inspector during HMA production.

Inspectors must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement.

Provide a testing laboratory and personnel for quality control testing. Provide the Engineer unrestricted access to the quality control activities. Before providing services for the project, the Engineer reviews, accredits, and qualifies the testing laboratory and personnel under the Department's Independent Assurance Program.

The minimum random sampling and testing for quality control is:

**Minimum Quality Control – QC / QA**

| Quality Characteristic   | Test Method      | Minimum Sampling and Testing Frequency                        | HMA Type                     |                              |                              | Location of Sampling              | Max. Reporting Time Allowance |
|--|------------------|---|------------------------------|------------------------------|------------------------------|-----------------------------------|-------------------------------|
|  |                  |   | A                            | B                            | RHMA-G                       |                                   |                               |
| Aggregate gradation <sup>a</sup>   | CT 202           | 1 per 750 tonnes  | JMF ± Tolerance <sup>b</sup> | JMF ± Tolerance <sup>b</sup> | JMF ± Tolerance <sup>b</sup> | CT 125                            | 24 hours                      |
| Asphalt binder content (%)   | CT 379 or 382    |   | JMF ±0.45                    | JMF ±0.45                    | JMF ±0.5                     | Loose Mix Behind Paver See CT 125 |                               |
| Percent of maximum theoretical density (%) <sup>c, d</sup>   | QC Plan          |   | 92 - 96                      | 92 - 96                      | 91 - 96                      | QC Plan                           |                               |
| Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants <sup>e</sup> | CT 226 or CT 370 | 2 per day during production                                   | --                           | --                           | --                           | Stock-piles or cold feed belts    | --                            |
| Sand equivalent (min.) <sup>f</sup>  | CT 217           | 1 per 750 tonnes  | 47                           | 42                           | 47                           | CT 125                            | 24 hours                      |
| HMA moisture content (% max.)  | CT 226 or CT 370 | 1 per 2500 tonnes but not less than 1 per paving day          | 1.0                          | 1.0                          | 1.0                          | Loose Mix Behind Paver See CT 125 | 24 hours                      |
| Stabilometer Value (min.) <sup>f, g</sup><br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings   | CT 366           | 1 per 4000 tonnes or 2 per 5 business days, whichever is more | 30                           | 30                           | --                           |                                   | 48 hours                      |
|  |                  |   | 37                           | 35                           | 23                           |                                   |                               |
| Air voids content (%) <sup>f, h</sup>  | CT 367           |   | 4 ± 2                        | 4 ± 2                        | Specification ± 2            |                                   |                               |

|   |                        |  |  |  |  |   |          |                  |
|---|------------------------|--|--|--|--|---|----------|------------------|
| Percent of crushed particles coarse aggregate (% min.)<br>One fractured face<br>Two fractured faces                       | CT 205                 | As necessary and designated in QCP. At least once per project. | 90   | 25   | --   | CT 125  | 48 hours |                  |
| Fine aggregate (% min)<br>(Passing 4.75-mm sieve and retained on 2.36-mm sieve.)<br>One fractured face                    |                        |  | 75   | --   | 90   |   |          |                  |
| Los Angeles Rattler (% max.)<br>Loss at 100 rev.<br>Loss at 500 rev.  | CT 211                 |  | 12<br>45   | --<br>50   | 12<br>40   |   |          | CT 125           |
| Fine aggregate angularity (% min.)  | AASHTO T 304, Method A |  | 45   | 45   | 45   | CT 125  |          |                  |
| Flat and elongated particle (% max. by mass @ 5:1)  | ASTM D 4791            |  | Report only  | Report only  | Report only  | CT 125  |          |                  |
| Voids filled with asphalt (%) <sup>i</sup><br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading       | LP-3                   |  | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | Report only  | LP-3  |          |                  |
| Voids in mineral aggregate (% min.) <sup>i</sup><br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | LP-2                   |  | 17.0<br>15.0<br>14.0<br>13.0                             | 17.0<br>15.0<br>14.0<br>13.0                             | --<br>--<br>18.0 – 23.0 <sup>j</sup><br>18.0 – 23.0 <sup>j</sup> | LP-2  |          |                  |
| Dust proportion <sup>1</sup><br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings                                 | LP-4                   |  | 0.9 – 2.0<br>0.6 – 1.3                                   | 0.9 – 2.0<br>0.6 – 1.3                                   | Report only  | LP-4  |          |                  |
| Smoothness  | Section 39-1.12        |  | --   | 3.66-m straight-edge, must-grind, and PI <sub>0</sub>    | 3.66-m straight-edge, must-grind, and PI <sub>0</sub>            | 3.66-m straight-edge, must-grind, and PI <sub>0</sub> |          | --               |
| Asphalt rubber binder viscosity @ 177 °C, centipoises   | Section 39-1.02D       |  | --   | --   | --   | 1500 – 4000   |          | Section 39-1.02D |
| Crumb rubber modifier   | Section 39-1.02D       | --   | --   | --   | Section 39-1.02D   | Section 39-1.02D                                      | 48 hours |                  |

Notes:

<sup>a</sup> Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>c</sup> Required for HMA Type A, Type B, and RHMA-G if the specified paved thickness is at least 45 mm.

<sup>d</sup> Determine maximum theoretical density (California Test 309) at the frequency specified for test maximum density under California Test 375, Part 5 D.

<sup>e</sup> For adjusting the plant controller at the HMA plant.

<sup>f</sup> Report the average of 3 tests from a single split sample.

<sup>g</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to  $60\text{ }^{\circ}\text{C} \pm 3\text{ }^{\circ}\text{C}$  by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at  $69\text{ }^{\circ}\text{C}$  for a minimum of 2 hours and not more than 3 hours."

<sup>h</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to  $\pm 0.3$  percent from OBC

<sup>j</sup> Voids in mineral aggregate for RHMA-G must be within this range.

Within the specified reporting time, submit written test results including:

1. Sampling location, quantity, and time
2. Testing results
3. Supporting data and calculations

If test results for any quality characteristic are beyond the action limits in the QCP, take corrective actions. Document the corrective actions taken in the inspection records under Section 39-4.03E, "Records of Inspection and Testing."

Stop production, notify the Engineer in writing, take corrective action, and demonstrate compliance with the specifications before resuming production and placement on the State highway if:

1. A lot's composite quality factor,  $Q_{FC}$ , or an individual quality factor,  $Q_{F_{QCi}}$  for  $i = 3, 4, \text{ or } 5$ , is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor,  $Q_{F_{QCi}}$  for  $i = 1 \text{ or } 2$ , is below 0.75
3. Quality characteristics for which a quality factor,  $Q_{F_{QCi}}$ , is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

#### **39-4.03D Charts And Records**

Record sampling and testing results for quality control on forms provided in the "Quality Control Manual for Hot Mix Asphalt," or on forms you submit with the QCP. The QCP must also include form posting locations and submittal times.

Submit quality control test results using the Department's statistical evaluation program, HMAPay, available at

[www.dot.ca.gov/hq/construc/hma/index.htm](http://www.dot.ca.gov/hq/construc/hma/index.htm)

#### **39-4.03E Records Of Inspection And Testing**

During HMA production, submit in writing a daily:

1. HMA Construction Daily Record of Inspection. Also make this record available at the HMA plant and job site each day.
2. HMA Inspection and Testing Summary. Include in the summary:
  - 2.1. Test forms with the testers' signatures and Quality Control Manager's initials.
  - 2.2. Inspection forms with the inspectors' signatures and Quality Control Manager's initials.
  - 2.3. A list and explanation of deviations from the specifications or regular practices.
  - 2.4. A signed statement by the Quality Control Manager that says:

"It is hereby certified that the information contained in this record is accurate, and that information, tests, or calculations documented herein comply with the specifications of the contract and the standards set forth in the testing procedures. Exceptions to this certification are documented as part of this record."

Retain for inspection the records generated as part of quality control including inspection, sampling, and testing for at least 3 years after final acceptance.

### 39-4.03F Statistical Evaluation

#### General

Determine a lot's composite quality factor,  $QF_C$ , and the individual quality factors,  $QF_{QC_i}$ . Perform statistical evaluation calculations to determine these quality factors based on quality control test results for:

1. Aggregate gradation
2. Asphalt binder content
3. Percent of maximum theoretical density

The Engineer grants a waiver and you must use 1.0 as the individual quality factor for percent of maximum theoretical density,  $QF_{QCS}$ , for HMA paved in:

1. Areas where the specified paved thickness is less than 45 mm
2. Areas where the specified paved thickness is less than 60 mm and a 19-millimeter grading is specified and used
3. Dig outs
4. Leveling courses
5. Areas where, in the opinion of the Engineer, compaction or compaction measurement by conventional methods is impeded

#### Statistical Evaluation Calculations

Use the Variability-Unknown / Standard Deviation Method to determine the percentage of a lot not in compliance with the specifications. The number of significant figures used in the calculations must comply with AASHTO R-11, Absolute Method.

Determine the percentage of work not in compliance with the specification limits for each quality characteristic as follows:

1. Calculate the arithmetic mean ( $\bar{X}$ ) of the test values

$$\bar{X} = \frac{\sum x}{n}$$

where:

$x$  = individual test values  
 $n$  = number of test values

2. Calculate the standard deviation

$$s = \sqrt{\frac{n(\sum x^2) - (\sum x)^2}{n(n-1)}}$$

where:

$\sum(x^2)$  = sum of the squares of individual test values  
 $(\sum x)^2$  = sum of the individual test values squared  
 $n$  = number of test values

3. Calculate the upper quality index ( $Q_u$ )

$$Q_u = \frac{USL - \bar{X}}{s}$$

where:

USL = target value plus the production tolerance or upper specification limit  
 $s$  = standard deviation  
 $\bar{X}$  = arithmetic mean

4. Calculate the lower quality index ( $Q_L$ );

$$Q_L = \frac{\bar{X} - LSL}{s}$$

where:

LSL = target value minus production tolerance or lower specification limit  
s = standard deviation  
 $\bar{X}$  = arithmetic mean

- From the table, Upper Quality Index  $Q_U$  or Lower Quality Index  $Q_L$ , of this Section 39-4.03F, "Statistical Evaluation", determine  $P_U$ ;

where:

$P_U$  = the estimated percentage of work outside the USL.  
 $P_U = 0$ , when USL is not specified.

- From the table, Upper Quality Index  $Q_U$  or Lower Quality Index  $Q_L$ , of this Section 39-4.03F, "Statistical Evaluation," determine  $P_L$ ;

where:

$P_L$  = the estimated percentage of work outside the LSL.  
 $P_L = 0$ , when LSL is not specified.

- Calculate the total estimated percentage of work outside the USL and LSL, percent defective

$$\text{Percent defective} = P_U + P_L$$

$P_U$  and  $P_L$  are determined from:

| $P_U$<br>or<br>$P_L$ | Upper Quality Index $Q_U$ or Lower Quality Index $Q_L$ |      |      |      |      |       |       |       |       |       |       |       |      |
|----------------------|--|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|------|
|                      | Sample Size (n)  |      |      |      |      |       |       |       |       |       |       |       |      |
|                      | 5  | 6    | 7    | 8    | 9    | 10-11 | 12-14 | 15-17 | 18-22 | 23-29 | 30-42 | 43-66 | >66  |
| 0                    | 1.72   | 1.88 | 1.99 | 2.07 | 2.13 | 2.20  | 2.28  | 2.34  | 2.39  | 2.44  | 2.48  | 2.51  | 2.56 |
| 1                    | 1.64   | 1.75 | 1.82 | 1.88 | 1.91 | 1.96  | 2.01  | 2.04  | 2.07  | 2.09  | 2.12  | 2.14  | 2.16 |
| 2                    | 1.58   | 1.66 | 1.72 | 1.75 | 1.78 | 1.81  | 1.84  | 1.87  | 1.89  | 1.91  | 1.93  | 1.94  | 1.95 |
| 3                    | 1.52   | 1.59 | 1.63 | 1.66 | 1.68 | 1.71  | 1.73  | 1.75  | 1.76  | 1.78  | 1.79  | 1.80  | 1.81 |
| 4                    | 1.47   | 1.52 | 1.56 | 1.58 | 1.60 | 1.62  | 1.64  | 1.65  | 1.66  | 1.67  | 1.68  | 1.69  | 1.70 |
| 5                    | 1.42   | 1.47 | 1.49 | 1.51 | 1.52 | 1.54  | 1.55  | 1.56  | 1.57  | 1.58  | 1.59  | 1.59  | 1.60 |
| 6                    | 1.38   | 1.41 | 1.43 | 1.45 | 1.46 | 1.47  | 1.48  | 1.49  | 1.50  | 1.50  | 1.51  | 1.51  | 1.52 |
| 7                    | 1.33   | 1.36 | 1.38 | 1.39 | 1.40 | 1.41  | 1.41  | 1.42  | 1.43  | 1.43  | 1.44  | 1.44  | 1.44 |
| 8                    | 1.29   | 1.31 | 1.33 | 1.33 | 1.34 | 1.35  | 1.35  | 1.36  | 1.36  | 1.37  | 1.37  | 1.37  | 1.38 |
| 9                    | 1.25   | 1.27 | 1.28 | 1.28 | 1.29 | 1.29  | 1.30  | 1.30  | 1.30  | 1.31  | 1.31  | 1.31  | 1.31 |
| 10                   | 1.21   | 1.23 | 1.23 | 1.24 | 1.24 | 1.24  | 1.25  | 1.25  | 1.25  | 1.25  | 1.25  | 1.26  | 1.26 |
| 11                   | 1.18   | 1.18 | 1.19 | 1.19 | 1.19 | 1.19  | 1.20  | 1.20  | 1.20  | 1.20  | 1.20  | 1.20  | 1.20 |
| 12                   | 1.14   | 1.14 | 1.15 | 1.15 | 1.15 | 1.15  | 1.15  | 1.15  | 1.15  | 1.15  | 1.15  | 1.15  | 1.15 |
| 13                   | 1.10   | 1.10 | 1.10 | 1.10 | 1.10 | 1.10  | 1.11  | 1.11  | 1.11  | 1.11  | 1.11  | 1.11  | 1.11 |
| 14                   | 1.07   | 1.07 | 1.07 | 1.06 | 1.06 | 1.06  | 1.06  | 1.06  | 1.06  | 1.06  | 1.06  | 1.06  | 1.06 |
| 15                   | 1.03   | 1.03 | 1.03 | 1.03 | 1.02 | 1.02  | 1.02  | 1.02  | 1.02  | 1.02  | 1.02  | 1.02  | 1.02 |
| 16                   | 1.00   | 0.99 | 0.99 | 0.99 | 0.99 | 0.98  | 0.98  | 0.98  | 0.98  | 0.98  | 0.98  | 0.98  | 0.98 |
| 17                   | 0.97   | 0.96 | 0.95 | 0.95 | 0.95 | 0.95  | 0.94  | 0.94  | 0.94  | 0.94  | 0.94  | 0.94  | 0.94 |
| 18                   | 0.93   | 0.92 | 0.92 | 0.92 | 0.91 | 0.91  | 0.91  | 0.91  | 0.90  | 0.90  | 0.90  | 0.90  | 0.90 |
| 19                   | 0.90   | 0.89 | 0.88 | 0.88 | 0.88 | 0.87  | 0.87  | 0.87  | 0.87  | 0.87  | 0.87  | 0.87  | 0.87 |
| 20                   | 0.87   | 0.86 | 0.85 | 0.85 | 0.84 | 0.84  | 0.84  | 0.83  | 0.83  | 0.83  | 0.83  | 0.83  | 0.83 |
| 21                   | 0.84   | 0.82 | 0.82 | 0.81 | 0.81 | 0.81  | 0.80  | 0.80  | 0.80  | 0.80  | 0.80  | 0.80  | 0.79 |
| 22                   | 0.81   | 0.79 | 0.79 | 0.78 | 0.78 | 0.77  | 0.77  | 0.77  | 0.76  | 0.76  | 0.76  | 0.76  | 0.76 |
| 23                   | 0.77   | 0.76 | 0.75 | 0.75 | 0.74 | 0.74  | 0.74  | 0.73  | 0.73  | 0.73  | 0.73  | 0.73  | 0.73 |
| 24                   | 0.74   | 0.73 | 0.72 | 0.72 | 0.71 | 0.71  | 0.70  | 0.70  | 0.70  | 0.70  | 0.70  | 0.70  | 0.70 |
| 25                   | 0.71   | 0.70 | 0.69 | 0.69 | 0.68 | 0.68  | 0.67  | 0.67  | 0.67  | 0.67  | 0.67  | 0.67  | 0.66 |
| 26                   | 0.68   | 0.67 | 0.67 | 0.65 | 0.65 | 0.65  | 0.64  | 0.64  | 0.64  | 0.64  | 0.64  | 0.64  | 0.63 |
| 27                   | 0.65   | 0.64 | 0.63 | 0.62 | 0.62 | 0.62  | 0.61  | 0.61  | 0.61  | 0.61  | 0.61  | 0.61  | 0.60 |
| 28                   | 0.62   | 0.61 | 0.60 | 0.59 | 0.59 | 0.59  | 0.58  | 0.58  | 0.58  | 0.58  | 0.58  | 0.58  | 0.57 |
| 29                   | 0.59   | 0.58 | 0.57 | 0.57 | 0.56 | 0.56  | 0.55  | 0.55  | 0.55  | 0.55  | 0.55  | 0.55  | 0.54 |
| 30                   | 0.56   | 0.55 | 0.54 | 0.54 | 0.53 | 0.53  | 0.52  | 0.52  | 0.52  | 0.52  | 0.52  | 0.52  | 0.52 |
| 31                   | 0.53   | 0.52 | 0.51 | 0.51 | 0.50 | 0.50  | 0.50  | 0.49  | 0.49  | 0.49  | 0.49  | 0.49  | 0.49 |
| 32                   | 0.50   | 0.49 | 0.48 | 0.48 | 0.48 | 0.47  | 0.47  | 0.47  | 0.46  | 0.46  | 0.46  | 0.46  | 0.46 |
| 33                   | 0.47   | 0.48 | 0.45 | 0.45 | 0.45 | 0.44  | 0.44  | 0.44  | 0.44  | 0.43  | 0.43  | 0.43  | 0.43 |
| 34                   | 0.45   | 0.43 | 0.43 | 0.42 | 0.42 | 0.42  | 0.41  | 0.41  | 0.41  | 0.41  | 0.41  | 0.41  | 0.40 |
| 35                   | 0.42   | 0.40 | 0.40 | 0.39 | 0.39 | 0.39  | 0.38  | 0.38  | 0.38  | 0.38  | 0.38  | 0.38  | 0.38 |
| 36                   | 0.39   | 0.38 | 0.37 | 0.37 | 0.36 | 0.36  | 0.36  | 0.36  | 0.36  | 0.36  | 0.36  | 0.36  | 0.36 |
| 37                   | 0.36   | 0.35 | 0.34 | 0.34 | 0.34 | 0.33  | 0.33  | 0.33  | 0.33  | 0.33  | 0.33  | 0.33  | 0.32 |
| 38                   | 0.33   | 0.32 | 0.32 | 0.31 | 0.31 | 0.31  | 0.30  | 0.30  | 0.30  | 0.30  | 0.30  | 0.30  | 0.30 |
| 39                   | 0.30   | 0.30 | 0.29 | 0.28 | 0.28 | 0.28  | 0.28  | 0.28  | 0.28  | 0.28  | 0.28  | 0.28  | 0.28 |
| 40                   | 0.28   | 0.25 | 0.25 | 0.25 | 0.25 | 0.25  | 0.25  | 0.25  | 0.25  | 0.25  | 0.25  | 0.25  | 0.25 |
| 41                   | 0.25   | 0.23 | 0.23 | 0.23 | 0.23 | 0.23  | 0.23  | 0.23  | 0.23  | 0.23  | 0.23  | 0.23  | 0.23 |
| 42                   | 0.23   | 0.20 | 0.20 | 0.20 | 0.20 | 0.20  | 0.20  | 0.20  | 0.20  | 0.20  | 0.20  | 0.20  | 0.20 |
| 43                   | 0.18   | 0.18 | 0.18 | 0.18 | 0.18 | 0.18  | 0.18  | 0.18  | 0.18  | 0.18  | 0.18  | 0.18  | 0.18 |
| 44                   | 0.16   | 0.15 | 0.15 | 0.15 | 0.15 | 0.15  | 0.15  | 0.15  | 0.15  | 0.15  | 0.15  | 0.15  | 0.15 |
| 45                   | 0.13   | 0.13 | 0.13 | 0.13 | 0.13 | 0.13  | 0.13  | 0.13  | 0.13  | 0.13  | 0.13  | 0.13  | 0.13 |
| 46                   | 0.10   | 0.10 | 0.10 | 0.10 | 0.10 | 0.10  | 0.10  | 0.10  | 0.10  | 0.10  | 0.10  | 0.10  | 0.10 |
| 47                   | 0.08   | 0.08 | 0.08 | 0.08 | 0.08 | 0.08  | 0.08  | 0.08  | 0.08  | 0.08  | 0.08  | 0.08  | 0.08 |
| 48                   | 0.05   | 0.05 | 0.05 | 0.05 | 0.05 | 0.05  | 0.05  | 0.05  | 0.05  | 0.05  | 0.05  | 0.05  | 0.05 |
| 49                   | 0.03   | 0.03 | 0.03 | 0.03 | 0.03 | 0.03  | 0.03  | 0.03  | 0.03  | 0.03  | 0.03  | 0.03  | 0.03 |
| 50                   | 0.00   | 0.00 | 0.00 | 0.00 | 0.00 | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00 |

1. If the value of  $Q_U$  or  $Q_L$  does not correspond to a value in the table, use the next lower value.
2. If  $Q_U$  or  $Q_L$  are negative values,  $P_U$  or  $P_L$  is equal to 100 minus the table value for  $P_U$  or  $P_L$ .

### Quality Factor Determination

Determine individual quality factors,  $QF_{QC_i}$ , using percent defective =  $P_U + P_L$  and:

| Quality Factor | Quality Factors                                     |    |    |    |    |       |       |       |       |       |       |       |     |
|----------------|---|----|----|----|----|-------|-------|-------|-------|-------|-------|-------|-----|
|                | Maximum Allowable Percent Defective ( $P_U + P_L$ ) |    |    |    |    |       |       |       |       |       |       |       |     |
|                | Sample Size (n)                                     |    |    |    |    |       |       |       |       |       |       |       |     |
|                | 5   | 6  | 7  | 8  | 9  | 10-11 | 12-14 | 15-17 | 18-22 | 23-29 | 30-42 | 43-66 | >66 |
| 1.05           |   |    |    | 0  | 0  | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0   |
| 1.04           |   |    | 0  | 1  | 3  | 5     | 4     | 4     | 4     | 3     | 3     | 3     | 3   |
| 1.03           |   | 0  | 2  | 4  | 6  | 8     | 7     | 7     | 6     | 5     | 5     | 4     | 4   |
| 1.02           |   | 1  | 3  | 6  | 9  | 11    | 10    | 9     | 8     | 7     | 7     | 6     | 6   |
| 1.01           | 0   | 2  | 5  | 8  | 11 | 13    | 12    | 11    | 10    | 9     | 8     | 8     | 7   |
| 1.00           | 22  | 20 | 18 | 17 | 16 | 15    | 14    | 13    | 12    | 11    | 10    | 9     | 8   |
| 0.99           | 24  | 22 | 20 | 19 | 18 | 17    | 16    | 15    | 14    | 13    | 11    | 10    | 9   |
| 0.98           | 26  | 24 | 22 | 21 | 20 | 19    | 18    | 16    | 15    | 14    | 13    | 12    | 10  |
| 0.97           | 28  | 26 | 24 | 23 | 22 | 21    | 19    | 18    | 17    | 16    | 14    | 13    | 12  |
| 0.96           | 30  | 28 | 26 | 25 | 24 | 22    | 21    | 19    | 18    | 17    | 16    | 14    | 13  |
| 0.95           | 32  | 29 | 28 | 26 | 25 | 24    | 22    | 21    | 20    | 18    | 17    | 16    | 14  |
| 0.94           | 33  | 31 | 29 | 28 | 27 | 25    | 24    | 22    | 21    | 20    | 18    | 17    | 15  |
| 0.93           | 35  | 33 | 31 | 29 | 28 | 27    | 25    | 24    | 22    | 21    | 20    | 18    | 16  |
| 0.92           | 37  | 34 | 32 | 31 | 30 | 28    | 27    | 25    | 24    | 22    | 21    | 19    | 18  |
| 0.91           | 38  | 36 | 34 | 32 | 31 | 30    | 28    | 26    | 25    | 24    | 22    | 21    | 19  |
| 0.90           | 39  | 37 | 35 | 34 | 33 | 31    | 29    | 28    | 26    | 25    | 23    | 22    | 20  |
| 0.89           | 41  | 38 | 37 | 35 | 34 | 32    | 31    | 29    | 28    | 26    | 25    | 23    | 21  |
| 0.88           | 42  | 40 | 38 | 36 | 35 | 34    | 32    | 30    | 29    | 27    | 26    | 24    | 22  |
| 0.87           | 43  | 41 | 39 | 38 | 37 | 35    | 33    | 32    | 30    | 29    | 27    | 25    | 23  |
| 0.86           | 45  | 42 | 41 | 39 | 38 | 36    | 34    | 33    | 31    | 30    | 28    | 26    | 24  |
| 0.85           | 46  | 44 | 42 | 40 | 39 | 38    | 36    | 34    | 33    | 31    | 29    | 28    | 25  |
| 0.84           | 47  | 45 | 43 | 42 | 40 | 39    | 37    | 35    | 34    | 32    | 30    | 29    | 27  |
| 0.83           | 49  | 46 | 44 | 43 | 42 | 40    | 38    | 36    | 35    | 33    | 31    | 30    | 28  |
| 0.82           | 50  | 47 | 46 | 44 | 43 | 41    | 39    | 38    | 36    | 34    | 33    | 31    | 29  |
| 0.81           | 51  | 49 | 47 | 45 | 44 | 42    | 41    | 39    | 37    | 36    | 34    | 32    | 30  |
| 0.80           | 52  | 50 | 48 | 46 | 45 | 44    | 42    | 40    | 38    | 37    | 35    | 33    | 31  |
| 0.79           | 54  | 51 | 49 | 48 | 46 | 45    | 43    | 41    | 39    | 38    | 36    | 34    | 32  |
| 0.78           | 55  | 52 | 50 | 49 | 48 | 46    | 44    | 42    | 41    | 39    | 37    | 35    | 33  |
| 0.77           | 56  | 54 | 52 | 50 | 49 | 47    | 45    | 43    | 42    | 40    | 38    | 36    | 34  |
| 0.76           | 57  | 55 | 53 | 51 | 50 | 48    | 46    | 44    | 43    | 41    | 39    | 37    | 35  |
| 0.75           | 58  | 56 | 54 | 52 | 51 | 49    | 47    | 46    | 44    | 42    | 40    | 38    | 36  |
| Reject         | 60  | 57 | 55 | 53 | 52 | 51    | 48    | 47    | 45    | 43    | 41    | 40    | 37  |
|                | 61  | 58 | 56 | 55 | 53 | 52    | 50    | 48    | 46    | 44    | 43    | 41    | 38  |
|                | 62  | 59 | 57 | 56 | 54 | 53    | 51    | 49    | 47    | 45    | 44    | 42    | 39  |
|                | 63  | 61 | 58 | 57 | 55 | 54    | 52    | 50    | 48    | 47    | 45    | 43    | 40  |
|                | 64  | 62 | 60 | 58 | 57 | 55    | 53    | 51    | 49    | 48    | 46    | 44    | 41  |

Reject Values Greater Than Those Shown Above

Notes:

- To obtain a quality factor when the estimated percent outside specification limits from table, "Upper Quality Index  $Q_U$  or Lower Quality Index  $Q_L$ ," does not correspond to a value in the table, use the next larger value.

Compute the composite of single quality factors,  $QF_C$ , for a lot using:

$$QF_C = \sum_{i=1}^5 w_i QF_{QC_i}$$

where:

- $QF_C$  = the composite quality factor for the lot rounded to 2 decimal places.  
 $QF_{QC_i}$  = the quality factor for the individual quality characteristic.

- w = the weighting factor listed in the table HMA Acceptance – QC / QA.  
 i = the quality characteristic index number in the table HMA Acceptance – QC / QA.

### 39-4.04 ENGINEER'S QUALITY ASSURANCE

#### 39-4.04A General

The Engineer assures quality by:

1. Reviewing mix designs and proposed JMF
2. Inspecting procedures
3. Conducting oversight of quality control inspection and records
4. Verification sampling and testing during production and paving

#### 39-4.04B Verification Sampling And Testing

##### General

The Engineer samples:

1. Aggregate to verify gradation
2. HMA to verify asphalt binder content

##### Verification

For aggregate gradation and asphalt binder content, the ratio of verification testing frequency to the minimum quality control testing frequency is 1:5. The Engineer performs at least 3 verification tests per lot.

Using the t-test, the Engineer compares quality control tests results for aggregate gradation and asphalt binder content with corresponding verification test results. The Engineer uses the average and standard deviation of up to 20 sequential sublots for the comparison. The Engineer uses production start-up evaluation tests to represent the first subplot. When there are less than 20 sequential sublots, the Engineer uses the maximum number of sequential sublots available. The 21st subplot becomes the 1st subplot (n = 1) in the next lot.

The t-value for a group of test data is computed as follows:

$$t = \frac{|\bar{X}_c - \bar{X}_v|}{S_p \sqrt{\frac{1}{n_c} + \frac{1}{n_v}}} \quad \text{and} \quad S_p^2 = \frac{S_c^2(n_c - 1) + S_v^2(n_v - 1)}{n_c + n_v - 2}$$

where:

- $n_c$  = Number of quality control tests (2 minimum, 20 maximum).  
 $n_v$  = Number of verification tests (minimum of 1 required).  
 $\bar{X}_c$  = Mean of quality control tests.  
 $\bar{X}_v$  = Mean of verification tests.  
 $S_p$  = Pooled standard deviation (When  $n_v = 1$ ,  $S_p = S_c$ ).  
 $S_c$  = Standard deviation of quality control tests.  
 $S_v$  = Standard deviation of verification tests (when  $n_v > 1$ ).

The comparison of quality control test results and the verification test results is at a level of significance of  $\alpha = 0.025$ . The Engineer computes t and compares it to the critical t-value,  $t_{crit}$ , from:

**Critical T-Value**

| Degrees of freedom<br>( $n_c+n_v-2$ ) | $t_{crit}$<br>(for $\alpha = 0.025$ ) | Degrees of freedom<br>( $n_c+n_v-2$ ) | $t_{crit}$<br>(for $\alpha = 0.025$ ) |
|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 1                                     | 24.452                                | 18                                    | 2.445                                 |
| 2                                     | 6.205                                 | 19                                    | 2.433                                 |
| 3                                     | 4.177                                 | 20                                    | 2.423                                 |
| 4                                     | 3.495                                 | 21                                    | 2.414                                 |
| 5                                     | 3.163                                 | 22                                    | 2.405                                 |
| 6                                     | 2.969                                 | 23                                    | 2.398                                 |
| 7                                     | 2.841                                 | 24                                    | 2.391                                 |
| 8                                     | 2.752                                 | 25                                    | 2.385                                 |
| 9                                     | 2.685                                 | 26                                    | 2.379                                 |
| 10                                    | 2.634                                 | 27                                    | 2.373                                 |
| 11                                    | 2.593                                 | 28                                    | 2.368                                 |
| 12                                    | 2.560                                 | 29                                    | 2.364                                 |
| 13                                    | 2.533                                 | 30                                    | 2.360                                 |
| 14                                    | 2.510                                 | 40                                    | 2.329                                 |
| 15                                    | 2.490                                 | 60                                    | 2.299                                 |
| 16                                    | 2.473                                 | 120                                   | 2.270                                 |
| 17                                    | 2.458                                 | $\infty$                              | 2.241                                 |

If the t-value computed is less than or equal to  $t_{crit}$ , quality control test results are verified.

If the t-value computed is greater than  $t_{crit}$  and both  $\bar{X}_v$  and  $\bar{X}_c$  comply with acceptance specifications, the quality control tests are verified. You may continue to produce and place HMA with the following allowable differences:

1.  $|\bar{X}_v - \bar{X}_c| \leq 1.0$  percent for any grading
2.  $|\bar{X}_v - \bar{X}_c| \leq 0.1$  percent for asphalt binder content

If the t-value computed is greater than  $t_{crit}$  and the  $|\bar{X}_v - \bar{X}_c|$  for grading and asphalt binder content are greater than the allowable differences, quality control test results are not verified and:

1. The Engineer notifies you in writing.
2. You and the Engineer must investigate why the difference exist.
3. If the reason for the difference cannot be found and corrected, the Engineer's test results are used for acceptance and pay.

**39-4.05 ENGINEER'S ACCEPTANCE**

**39-4.05A Testing**

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance – QC / QA**

| Index (i) | Quality Characteristic   |                |         |        | Weight -ing Factor (w) | Test Method            | HMA Type   |  |  |
|-----------|--|----------------|---------|--------|------------------------|------------------------|--|--|--|
|           |  |                |         |        |                        |                        | A  | B  | RHMA-G   |
|           | Aggregate gradation <sup>a</sup>   |                |         |        |                        | CT 202                 | JMF ± Tolerance <sup>c</sup>                             |  |  |
|           | Sieve  | 19-mm          | 12.5-mm | 9.5-mm |                        |                        |  |  |  |
| 1         | 12.5-mm  | X <sup>b</sup> | --      | --     | 0.05                   |                        |  |  |  |
| 1         | 9.5-mm   | --             | X       | --     | 0.05                   |                        |  |  |  |
| 1         | 4.75-mm  | --             | --      | X      | 0.05                   |                        |  |  |  |
| 2         | 2.36-mm  | X              | X       | X      | 0.10                   |                        |  |  |  |
| 3         | 0.075-mm   | X              | X       | X      | 0.15                   |                        |  |  |  |
| 4         | Asphalt binder content (%)   |                |         |        | 0.30                   | CT 379 or 382          | JMF ± 0.45   | JMF ± 0.45   | JMF ± 0.5  |
| 5         | Percent of maximum theoretical density (%) <sup>d, e</sup>   |                |         |        | 0.40                   | CT 375                 | 92 – 96  | 92 – 96  | 91 – 96  |
|           | Sand equivalent (min.) <sup>f</sup>  |                |         |        |                        | CT 217                 | 47   | 42   | 47   |
|           | Stabilometer value (min.) <sup>f, g</sup><br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings   |                |         |        |                        | CT 366                 | 30<br>37   | 30<br>35   | --<br>23   |
|           | Air voids content (%) <sup>f, h</sup>  |                |         |        |                        | CT 367                 | 4 ± 2  | 4 ± 2  | Specification ± 2                                  |
|           | Percent of crushed particles coarse aggregate (% min.)<br>One fractured face<br>Two fractured faces<br>Fine aggregate (% min.)<br>(Passing 4.75-mm sieve and retained on 2.36-mm sieve.)<br>One fractured face |                |         |        |                        | CT 205                 | 90<br>70   | 25<br>--   | --<br>90   |
|           | HMA moisture content (% max.)  |                |         |        |                        | CT 226 or CT 370       | 1.0  | 1.0  | 1.0  |
|           | Los Angeles Rattler (% max.)<br>Loss at 100 rev.<br>Loss at 500 rev.   |                |         |        |                        | CT 211                 | 12<br>45   | --<br>50   | 12<br>45   |
|           | Fine aggregate angularity (% min.)   |                |         |        |                        | AASHTO T 304, Method A | 45   | 45   | 45   |
|           | Flat and elongated particle (% max. by mass @ 5:1)   |                |         |        |                        | ASTM D 4791            | Report only  | Report only  | Report only  |
|           | Voids in mineral aggregate (% min.) <sup>i</sup><br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading  |                |         |        |                        | LP-2                   | 17.0<br>15.0<br>14.0<br>13.0                             | 17.0<br>15.0<br>14.0<br>13.0                             | (Note j)<br>--<br>--<br>18.0 - 23.0<br>18.0 - 23.0 |
|           | Voids filled with asphalt (%) <sup>i</sup><br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading  |                |         |        |                        | LP-3                   | 76.0 - 80.0<br>73.0 - 76.0<br>65.0 - 75.0<br>65.0 - 75.0 | 76.0 - 80.0<br>73.0 - 76.0<br>65.0 - 75.0<br>65.0 - 75.0 | Report only  |
|           | Dust proportion <sup>i</sup><br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings  |                |         |        |                        | LP-4                   | 0.9 - 2.0<br>0.6 - 1.3                                   | 0.9 - 2.0<br>0.6 - 1.3                                   | Report only  |

|  |                       |  |                 |   |   |   |
|--|-----------------------|--|-----------------|---|---|---|
|  | Smoothness            |  | Section 39-1.12 | 3.66-m straight-edge, must-grind, and PI <sub>0</sub> | 3.66-m straight-edge, must-grind, and PI <sub>0</sub> | 3.66-m straight-edge, must-grind, and PI <sub>0</sub> |
|  | Asphalt binder        |  | Various         | Section 92  | Section 92  | Section 92  |
|  | Asphalt rubber binder |  | Various         | --  | --  | Section 92-1.02(C) and Section 39-1.02D               |
|  | Asphalt modifier      |  | Various         | --  | --  | Section 39-1.02D                                      |
|  | Crumb rubber modifier |  | Various         | --  | --  | Section 39-1.02D                                      |

Notes:

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> "X" denotes the sieves the Engineer considers for the specified aggregate gradation.

<sup>c</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>d</sup> The Engineer determines percent of maximum theoretical density if the specified paved thickness is at least 45 mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

<sup>e</sup> The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.

<sup>f</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>g</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."

<sup>h</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>j</sup> Voids in mineral aggregate for RHMA-G must be within this range.

The Engineer determines the percent of maximum theoretical density from the average density of 3 density cores you take from every 750 tonnes of production or part thereof divided by the maximum theoretical density.

If the specified total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness.

The Engineer stops production and terminates a lot if:

1. The lot's composite quality factor, Q<sub>FC</sub>, or an individual quality factor, QF<sub>QC<sub>i</sub></sub> for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, QF<sub>QC<sub>i</sub></sub> for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, QF<sub>QC<sub>i</sub></sub>, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

For any single quality characteristic for which a quality factor, QF<sub>QC<sub>i</sub></sub>, is not determined, except smoothness, if 2 consecutive acceptance test results do not comply with specifications:

1. Stop production.
2. Take corrective action.

3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### 39-4.05B Statistical Evaluation, Determination Of Quality Factors And Acceptance

#### Statistical Evaluation and Determination of Quality Factors

To determine the individual quality factor,  $QF_{QC_i}$ , for any quality factor  $i = 1$  through 5 or a lot's composite quality factor,  $QF_C$ , for acceptance and payment adjustment, the Engineer uses the evaluation specifications under Section 39-4.03F, "Statistical Evaluation," and:

1. Verified quality control test results for aggregate gradation
2. Verified quality control test results for asphalt binder content
3. The Engineer's test results for percent of maximum theoretical density

#### Lot Acceptance Based on Quality Factors

The Engineer accepts a lot based on the quality factors determined for aggregate gradation and asphalt binder content,  $QF_{QC_i}$  for  $i = 1$  through 4, using the total number of verified quality control test result values and the total percent defective ( $P_U + P_L$ ).

The Engineer accepts a lot based on the quality factor determined for maximum theoretical density,  $QF_{QC_5}$ , using the total number of test result values from density cores and the total percent defective ( $P_U + P_L$ ).

The Engineer calculates the quality factor for the lot,  $QF_C$ , which is a composite of weighted individual quality factors,  $QF_{QC_i}$ , determined for each quality characteristic in the HMA Acceptance – QC / QA table in Section 39-4.05A, "Testing."

The Engineer accepts a lot based on quality factors if:

1. The current composite quality factor,  $QF_C$ , is 0.90 or greater
2. Each individual quality factor,  $QF_{QC_i}$  for  $i = 3, 4,$  and  $5,$  is 0.90 or greater
3. Each individual quality factor,  $QF_{QC_i}$  for  $i = 1$  and  $2,$  is 0.75 or greater

No single quality characteristic test may represent more than the smaller of 750 tonnes or 1 day's production.

#### Payment Adjustment

If a lot is accepted, the Engineer adjusts payment with the following formula:

$$PA = \sum_{i=1}^n HMACP * w_i * [QF_{QC_i} * (HMATT - WHMATT_i) + WHMATT_i] - (HMACP * HMATT)$$

where:

|               |   |
|---------------|---|
| $PA =$        | Payment adjustment rounded to 2 decimal places.   |
| $HMACP =$     | HMA contract price.   |
| $HMATT =$     | HMA total tonnes represented in the lot.  |
| $WHMATT_i =$  | Total tonnes of waived quality characteristic HMA.  |
| $QF_{QC_i} =$ | Running quality factor for the individual quality characteristic.<br>$QF_{QC_i}$ for $i = 1$ through 4 must be from verified Contractor's QC results. $QF_{QC_5}$ must be determined from the Engineer's results on density cores taken for percent of maximum theoretical density determination. |
| $w =$         | Weighting factor listed in the HMA acceptance table.  |
| $i =$         | Quality characteristic index number in the HMA acceptance table.  |

If the payment adjustment is a negative value, the Engineer deducts this amount from payment. If the payment adjustment is a positive value, the Engineer adds this amount to payment.

The 21st subplot becomes the 1st subplot ( $n = 1$ ) in the next lot. When the 21st sequential subplot becomes the 1st subplot, the previous 20 sequential sublots become a lot for which the Engineer determines a quality factor. The Engineer uses this quality factor to pay for the HMA in the lot. If the next lot consists of less than 8 sublots, these sublots must be added to the previous lot for quality factor determination using 21 to 27 sublots.

### **39-4.05C Dispute Resolution**

For a lot, if you or the Engineer dispute any quality factor,  $QF_{QCi}$ , or verification test result, every subplot in that lot must be retested.

Referee tests must be performed under the specifications for acceptance testing.

Any quality factor,  $QF_{QCi}$ , must be determined using the referee tests.

For any quality factor,  $QF_{QCi}$ , for  $i = 1$  through 5, dispute resolution:

1. If the difference between the quality factors for  $QF_{QCi}$  using the referee test result and the disputed test result is less than or equal to 0.01, the original test result is correct.
2. If the difference between the quality factor for  $QF_{QCi}$  using the referee test result and the disputed test result is more than 0.01, the quality factor determined from the referee tests supersedes the previously determined quality factor.

## **39-5 MEASUREMENT AND PAYMENT**

### **39-5.01 MEASUREMENT**

The contract item for HMA is measured by mass. The mass of each HMA mixture designated in the Engineer's Estimate must be the combined mixture mass.

If tack coat, asphalt binder, and asphaltic emulsion are paid with separate contract items, their contract items are measured under Section 92, "Asphalts," or Section 94, "Asphaltic Emulsions," as the case may be.

If recorded batch masses are printed automatically, the contract item for HMA is measured by using the printed batch masses, provided:

1. Total aggregate and supplemental fine aggregate mass per batch is printed. If supplemental fine aggregate is weighed cumulatively with the aggregate, the total aggregate batch mass must include the supplemental fine aggregate mass.
2. Total asphalt binder mass per batch is printed.
3. Each truckload's zero tolerance mass is printed before weighing the first batch and after weighing the last batch.
4. Time, date, mix number, load number and truck identification is correlated with a load slip.
5. A copy of the recorded batch mass is certified by a licensed weighmaster and submitted to the Engineer.

The contract item for placing HMA dike is measured by the meter along the completed length. The contract item for placing HMA in miscellaneous areas is measured as the in-place compacted area in square meters. In addition to the quantities measured on a meter or square meter basis, the HMA for dike and miscellaneous areas are measured by mass.

The contract item for geosynthetic pavement interlayer is measured by the square meter for the actual pavement area covered.

### **39-5.02 PAYMENT**

The contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing hot mix asphalt, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If HMA is specified to comply with Section 39-4, "Quality Control / Quality Assurance," the Engineer adjusts payment under that section.

Full compensation for the Quality Control Plan and prepaving conference is included in the contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for performing and submitting mix designs and for Contractor sampling, testing, inspection, testing facilities, and preparation and submittal of results is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for reclaimed asphalt pavement is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

The contract price paid per tonne for hot mix asphalt (leveling) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in hot mix asphalt (leveling), complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State will pay for HMA dike at the contract price per meter for place HMA dike and by the tonne for HMA. The contract prices paid per meter for place hot mix asphalt dike as designated in the Engineer's Estimate include full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA dike, complete in place, including excavation, backfill, and preparation of the area to receive the dike, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.







**In Section 49-1.05 replace the 7th paragraph with:**

When necessary to obtain the specified penetration and when authorized by the Engineer, the Contractor may supply and operate one or more water jets and pumps, or furnish the necessary drilling apparatus and drill holes not greater than the least dimension of the pile to the proper depth and drive the piles therein. Jets shall not be used at locations where the stability of embankments or other improvements would be endangered. In addition, for steel piles, steel shells, or steel casings, when necessary to obtain the specified penetration or to prevent damage to the pile during installation, the Contractor shall provide special driving tips or heavier pile sections or take other measures as approved by the Engineer.

The use of followers or underwater hammers for driving piles will be permitted if authorized in writing by the Engineer. When a follower or underwater hammer is used, its efficiency shall be verified by furnishing the first pile in each bent or footing sufficiently long and driving the pile without the use of a follower or underwater hammer.

**In Section 49-1.07 replace the 2nd paragraph with:**

Timber piles shall be fresh-headed and square and when permitted by the Engineer, the heads of the piles may be protected by means of heavy steel or wrought iron rings. During driving operations timber piling shall be restrained from lateral movement at intervals not to exceed 6 m over the length between the driving head and the ground surface. During driving operations, the timber pile shall be kept moving by continuous operation of the hammer. When the blow count exceeds either 2 times the blow count required in 300 mm, or 3 times the blow count required in 75 mm for the nominal resistance as shown on the plans, computed in conformance with the provisions in Section 49-1.08, "Pile Driving Acceptance Criteria," additional aids shall be used to obtain the specified penetration. These aids may include the use of water jets or drilling, where permitted, or the use of a larger hammer employing a heavy ram striking with a low velocity.

**Replace Section 49-1.08 with:**

**49-1.08 PILE DRIVING ACCEPTANCE CRITERIA**

Except for piles to be load tested, driven piles shall be driven to a value of not less than the nominal resistance shown on the plans unless otherwise specified in the special provisions or permitted in writing by the Engineer. In addition, when a pile tip elevation is specified, driven piles shall penetrate at least to the specified tip elevation, unless otherwise permitted in writing by the Engineer. Piles to be load tested shall be driven to the specified tip elevation.

When the pile nominal resistance is omitted from the plans or the special provisions, timber piles shall be driven to a nominal resistance of 800 kN, and steel and concrete piles shall be driven to a nominal resistance of 1250 kN.

The nominal resistance for driven piles shall be determined from the following formula in which " $R_u$ " is the nominal resistance in kilonewtons, " $E_r$ " is the manufacturer's rating for joules of energy developed by the hammer at the observed field drop height, and " $N$ " is the number of hammer blows in the last 300 millimeters. (maximum value to be used for  $N$  is 100):

$$R_u = (7 * (E_r)^{1/2} * \log_{10} (0.83 * N)) - 550$$

**In Section 49-2.03 replace the 1st paragraph with:**

When preservative treatment of timber piles is required by the plans or specified in the special provisions, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and the applicable AWP Use Category.

**In Section 49-2.04 replace the 1st paragraph with:**

- A. An application of wood preservative conforming to the provisions in Section 58-1.04, "Wood Preservative for Manual Treatment," shall first be applied to the head of the pile and a protective cap shall then be built up by applying alternate layers of loosely woven fabric and hot asphalt or tar similar to membrane waterproofing, using 3 layers of asphalt or tar and 2 layers of fabric. The fabric shall measure at least 150 mm more in each direction than the diameter of the pile and shall be turned down over the pile and the edges secured by binding with 2 turns of No. 10 galvanized wire. The fabric shall be wired in advance of the application of the final layer of asphalt or tar, which shall extend down over the wiring.
- B. The sawed surface shall be covered with 3 applications of a hot mixture of 60 percent creosote and 40 percent roofing pitch, or thoroughly brushcoated with 3 applications of hot creosote and covered with hot roofing pitch. A

covering of 3.50-mm nominal thickness galvanized steel sheet shall be placed over the coating and bent down over the sides of each pile to shed water.

**In Section 49-3.01 the 5th paragraph is deleted**

**In Section 49-3.01 replace the 6th and 7th paragraphs with:**

Except for precast prestressed concrete piles in a corrosive environment, lifting anchors used in precast prestressed concrete piles shall be removed, and the holes filled in conformance with the provisions in Section 51-1.18A, "Ordinary Surface Finish."

Lifting anchors used in precast prestressed concrete piles in a corrosive environment shall be removed to a depth of at least 25 mm below the surface of the concrete, and the resulting hole shall be filled with epoxy adhesive before the piles are delivered to the job site. The epoxy adhesive shall conform to the provisions in Sections 95-1, "General," and 95-2.01, "Binder (Adhesive), Epoxy Resin Base (State Specification 8040-03)."

**In Section 49-4.01 replace the 1st and 2nd paragraphs with:**

Cast-in-place concrete piles shall consist of one of the following:

- A. Steel shells driven permanently to the required nominal resistance and penetration and filled with concrete.
- B. Steel casings installed permanently to the required penetration and filled with concrete.
- C. Drilled holes filled with concrete.
- D. Rock sockets filled with concrete.

The drilling of holes shall conform to the provisions in these specifications. Concrete filling for cast-in-place concrete piles is designated by compressive strength and shall have a minimum 28-day compressive strength of 25 MPa. At the option of the Contractor, the combined aggregate grading for the concrete shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading. Concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," and Section 51, "Concrete Structures." Reinforcement shall conform to the provisions in Section 52, "Reinforcement."

**In Section 49-4.03 replace the 4th paragraph with:**

After placing reinforcement and prior to placing concrete in the drilled hole, if caving occurs or deteriorated foundation material accumulates on the bottom of the hole, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

**In Section 49-4.04 replace the 1st and 2nd paragraphs with:**

Steel shells shall be sufficiently watertight to exclude water during the placing of concrete. The shells may be cylindrical or tapered, step-tapered, or a combination of either, with cylindrical sections.

**In Section 49-4.05 replace the 1st paragraph with:**

After being driven and prior to placing reinforcement and concrete therein, the steel shells shall be examined for collapse or reduced diameter at any point. Any shell which is improperly driven or broken or shows partial collapse to such an extent as to materially decrease its nominal resistance will be rejected. Rejected shells shall be removed and replaced, or a new shell shall be driven adjacent to the rejected shell. Rejected shells which cannot be removed shall be filled with concrete by the Contractor at the Contractor's expense. When a new shell is driven to replace a rejected shell, the Contractor, at the Contractor's expense, shall enlarge the footing as determined necessary by the Engineer.

**In Section 49-4.05 replace the 3rd paragraph with:**

Steel pipe piles shall conform to the following requirements:

1. Steel pipe piles less than 360 mm in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 2 or 3.

2. Steel pipe piles 360 mm and greater in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 3.
3. Steel pipe piles shall be of the nominal diameter and nominal wall thickness shown on the plans or specified in the special provisions.
4. The carbon equivalency (CE) of steel for steel pipe piles, as defined in AWS D 1.1, Section XI5.1, shall not exceed 0.45.
5. The sulfur content of steel for steel pipe piles shall not exceed 0.05-percent.
6. Seams in steel pipe piles shall be complete penetration welds.

**In Section 49-6.01 replace the 1st paragraph with:**

The length of timber, steel, and precast prestressed concrete piles, and of cast-in-place concrete piles consisting of driven shells filled with concrete, shall be measured along the longest side, from the tip elevation shown on the plans to the plane of pile cut-off.

**In Section 49-6.02 replace the 3rd paragraph with:**

The contract price paid per meter for cast-in-drilled-hole concrete piling shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in drilling holes, disposing of material resulting from drilling holes, temporarily casing holes and removing water when necessary, furnishing and placing concrete and reinforcement, and constructing reinforced concrete extensions, complete in place, to the required penetration, as shown on the plans, as specified in these specifications and in the special provisions, and as directed by the Engineer.

**In Section 49-6.02 replace the 7th paragraph with:**

The contract unit price paid for drive pile shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in driving timber, concrete and steel piles, driving steel shells for cast-in-place concrete piles, placing filling materials for cast-in-place concrete piles and cutting off piles, all complete in place to the required nominal resistance and penetration as shown on the plans and as specified in these specifications and the special provisions, and as directed by the Engineer.

**In Section 49-6.02 replace the 9th paragraph with:**

Full compensation for all jetting, drilling, providing special driving tips or heavier sections for steel piles or shells, or other work necessary to obtain the specified penetration and nominal resistance of the piles, for predrilling holes through embankment and filling the space remaining around the pile with sand or pea gravel, for disposing of material resulting from jetting, drilling or predrilling holes, and for all excavation and backfill involved in constructing concrete extensions as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer shall be considered as included in the contract unit price paid for drive pile or in the contract price paid per meter for cast-in-drilled-hole concrete piling, and no additional compensation will be allowed therefor.

**In Section 49-6.02, add:**

Full compensation for furnishing and placing additional testing reinforcement, for load test anchorages, and for cutting off test piles, shall be considered as included in the contract price paid for piling of the type or class shown in the Engineer's Estimate, and no additional compensation will be allowed.

No additional compensation or extension of time will be made for additional foundation investigation, installation and testing of indicator piling, cutting off piling and restoring the foundation investigation and indicator pile sites, and review of request by the Engineer.

When pile tips are revised by the Engineer for timber, steel, and precast prestressed concrete piles, and for cast-in-place concrete piles consisting of driven shells filled with concrete, the additional length required, including all materials, equipment, and labor for furnishing, splicing, and installing the piling, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

All remedial work required to achieve the required nominal resistance, including suspending driving operations above the required tip elevation and redriving piles at a later time, when directed by the Engineer, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."



rejected. The development of visible rust or other results of corrosion shall be cause for rejection, when ordered by the Engineer.

Epoxy-coated prestressing steel strand shall be covered with an opaque polyethylene sheeting or other suitable protective material to protect the strand from exposure to sunlight, salt spray, and weather. For stacked coils, the protective covering shall be draped around the perimeter of the stack. The covering shall be adequately secured; however, it should allow for air circulation around the strand to prevent condensation under the covering. Epoxy-coated strand shall not be stored within 300 m of ocean or tidal water for more than 2 months.

Prestressing steel shall be packaged in containers or shipping forms for the protection of the steel against physical damage and corrosion during shipping and storage. Except for epoxy-coated strand, a corrosion inhibitor which prevents rust or other results of corrosion, shall be placed in the package or form, or shall be incorporated in a corrosion inhibitor carrier type packaging material, or when permitted by the Engineer, may be applied directly to the steel. The corrosion inhibitor shall have no deleterious effect on the steel or concrete or bond strength of steel to concrete. Packaging or forms damaged from any cause shall be immediately replaced or restored to original condition.

The shipping package or form shall be clearly marked with a statement that the package contains high-strength prestressing steel, and the type of corrosion inhibitor used, including the date packaged.

Prestressing steel for post-tensioning which is installed in members prior to placing and curing of the concrete, and which is not epoxy-coated, shall be continuously protected against rust or other results of corrosion, until grouted, by means of a corrosion inhibitor placed in the ducts or applied to the steel in the duct. The corrosion inhibitor shall conform to the provisions specified herein.

When steam curing is used, prestressing steel for post-tensioning shall not be installed until the steam curing is completed.

Water used for flushing ducts shall contain either quick lime (calcium oxide) or slaked lime (calcium hydroxide) in the amount of 0.01-kg/L. Compressed air used to blow out ducts shall be oil free.

When prestressing steel for post-tensioning is installed in the ducts after completion of concrete curing, and if stressing and grouting are completed within 10 days after the installation of the prestressing steel, rust which may form during those 10 days will not be cause for rejection of the steel. Prestressing steel installed, tensioned, and grouted in this manner, all within 10 days, will not require the use of a corrosion inhibitor in the duct following installation of the prestressing steel. Prestressing steel installed as above but not grouted within 10 days shall be subject to all the requirements in this section pertaining to corrosion protection and rejection because of rust. The requirements in this section pertaining to tensioning and grouting within 10 days shall not apply to epoxy-coated prestressing steel strand.

Any time prestressing steel for pretensioning is placed in the stressing bed and is exposed to the elements for more than 36 hours prior to encasement in concrete, adequate measures shall be taken by the Contractor, as approved by the Engineer, to protect the steel from contamination or corrosion.

After final fabrication of the seven-wire prestressing steel strand, no electric welding of any form shall be performed on the prestressing steel. Whenever electric welding is performed on or near members containing prestressing steel, the welding ground shall be attached directly to the steel being welded.

Pretensioned prestressing steel shall be cut off flush with the end of the member. For epoxy-coated prestressing steel, only abrasive saws shall be used to cut the steel. The exposed ends of the prestressing steel and a 25-mm strip of adjoining concrete shall be cleaned and painted. Cleaning shall be by wire brushing or abrasive blast cleaning to remove all dirt and residue on the metal or concrete surfaces. Immediately after cleaning, the surfaces shall be covered with one application of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint," except that 2 applications shall be applied to surfaces which will not be covered by concrete or mortar. Aerosol cans shall not be used. The paint shall be thoroughly mixed at the time of application and shall be worked into any voids in the prestressing tendons.

**In Section 50-1.07 replace the 2nd paragraph with:**

Ducts shall be fabricated with either welded or interlocked seams. Galvanizing of the welded seam will not be required. Ducts shall have sufficient strength to maintain their correct alignment during placing of concrete. Joints between sections of duct shall be positive metallic connections which do not result in angle changes at the joints. Waterproof tape shall be used at the connections. Ducts shall be bent without crimping or flattening. Transition couplings connecting the ducts to anchoring devices shall be either ferrous metal or polyolefin. Ferrous metal transition couplings need not be galvanized.

**In Section 50-1.07 replace the 7th paragraph with:**

All ducts with a total length of 120 m or more shall be vented. Vents shall be placed at intervals of not more than 120 m and shall be located within 2 m of every high point in the duct profile. Vents shall be 12 mm minimum diameter standard pipe or suitable plastic pipe. Connections to ducts shall be made with metallic or plastic structural fasteners. Plastic components, if selected, shall not react with the concrete or enhance corrosion of the prestressing steel and shall be free of water soluble chlorides. The vents shall be mortar tight, taped as necessary, and shall provide means for injection of grout

through the vents and for sealing the vents. Ends of vents shall be removed 25 mm below the roadway surface after grouting has been completed.

**In Section 50-1.08 replace the 6th paragraph with:**

The following formula and friction coefficients shall be used in calculating friction losses in tendons:

$$T_o = T_x e^{(\mu\alpha + KL)}$$

Where:

$T_o$  = steel stress at jacking end

$T_x$  = steel stress at any point x

e = base of Napierian logarithms

$\mu$  = friction curvature coefficient

$\alpha$  = total angular change of prestressing steel profile in radians from jacking end to point x

K = friction wobble coefficient (=0.00066/m)

L = length of prestressing steel from jacking end to point x

| Type of Steel Tendon | Length of Tendon L(m)        | Type of Duct                               | $\mu$ |
|----------------------|------------------------------|--|-------|
| Wire or Strand       | 0 to less than 183           | Rigid or semi-rigid galvanized sheet metal | 0.15  |
|                      | 183 to less than 275         |  | 0.20  |
|                      | 275 to less than 366         |  | 0.25  |
|                      | Greater than or equal to 366 |  | 0.25* |
| Wire or Strand       | All                          | Plastic                                    | 0.23  |
|                      | All                          | Rigid Steel Pipes                          | 0.25* |
| High Strength Bar    | All                          | Rigid or semi-rigid galvanized sheet metal | 0.30  |

\* With the use of lubrication

**In Section 50-1.08 in the 11th paragraph, replace item 2 with:**

- When the concrete is designated by class or cementitious material content, either the concrete compressive strength shall have reached the strength shown on the plans at the time of stressing or at least 28 days shall have elapsed since the last concrete to be prestressed has been placed, whichever occurs first.

**In Section 50-1.08 replace the 13th and 14th paragraphs with:**

Prestressing steel in pretensioned members shall not be cut or released until the concrete in the member has attained a compressive strength of not less than the value shown on the plans or 28 MPa, whichever is greater. In addition to these concrete strength requirements, when epoxy-coated prestressing steel strand is used, the steel shall not be cut or released until the temperature of the concrete surrounding the strand is less than 65°C, and falling.

When ordered by the Engineer, prestressing steel strands in pretensioned members, if tensioned individually, shall be checked by the Contractor for loss of prestress not more than 48 hours prior to placing concrete for the members. The method and equipment for checking the loss of prestress shall be subject to approval by the Engineer. Strands which show a loss of prestress in excess of 3 percent shall be retensioned to the original computed jacking stress.

**In Section 50-1.09 replace the 2nd and 3rd paragraphs with:**

Grout shall consist of cement and water and may contain an admixture if approved by the Engineer.



calculations shall be furnished. Additional working drawings and design calculations shall be submitted to the Engineer when specified in "Railroad Relations and Insurance" of the special provisions.

**In Section 51-1.06A replace the 2nd paragraph with:**

The falsework drawings shall include details of the falsework erection and removal operations showing the methods and sequences of erection and removal and the equipment to be used. The details of the falsework erection and removal operations shall demonstrate the stability of all or any portions of the falsework during all stages of the erection and removal operations.

**In Section 51-1.06A replace the 7th paragraph with:**

In the event that several falsework plans are submitted simultaneously, or an additional plan is submitted for review before the review of a previously submitted plan has been completed, the Contractor shall designate the sequence in which the plans are to be reviewed. In such event, the time to be provided for the review of any plan in the sequence shall be not less than the review time specified above for that plan, plus 2 weeks for each plan of higher priority which is still under review. A falsework plan submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate falsework plan submittal.

**In Section 51-1.06A, add:**

If structural composite lumber is proposed for use, the falsework drawings shall clearly identify the structural composite lumber members by grade (E value), species, and type. The Contractor shall provide technical data from the manufacturer showing the tabulated working stress values of the composite lumber. The Contractor shall furnish a certificate of compliance as specified in Section 6-1.07, "Certificates of Compliance," for each delivery of structural composite lumber to the project site.

For falsework piles with a calculated loading capacity greater than 900 kN, the falsework piles shall be designed by an engineer who is registered as either a Civil Engineer or a Geotechnical Engineer in the State of California, and the calculations shall be submitted to the Engineer.

**In Section 51-1.06A(1) replace the 1st paragraph with:**

The design load for falsework shall consist of the sum of dead and live vertical loads, and an assumed horizontal load. The minimum total design load for any falsework, including members that support walkways, shall be not less than 4800 N/m<sup>2</sup> for the combined live and dead load regardless of slab thickness.

**In Section 51-1.06A(1) replace the 8th paragraph with:**

In addition to the minimum requirements specified in this Section 51-1.06A, falsework for box girder structures with internal falsework bracing systems using flexible members capable of withstanding tensile forces only, shall be designed to include the vertical effects caused by the elongation of the flexible member and the design horizontal load combined with the dead and live loads imposed by concrete placement for the girder stems and connected bottom slabs. Falsework comprised of individual steel towers with bracing systems using flexible members capable of withstanding tensile forces only to resist overturning, shall be exempt from these additional requirements.

**In Section 51-1.06B replace the 3rd paragraph with:**

When falsework is supported on piles, the piles shall be driven and the actual nominal resistance assessed in conformance with the provisions in Section 49, "Piling."

**In Section 51-1.06B, add:**

For falsework piles with a calculated nominal resistance greater than 1800 kN, the Contractor shall conduct dynamic monitoring of pile driving and generate field acceptance criteria based on a wave equation analysis. These analyses shall be signed by an engineer who is registered as a Civil Engineer in the State of California and submitted to the Engineer prior to completion of falsework erection.

Prior to the placement of falsework members above the stringers, the final bracing system for the falsework shall be installed.

**In Section 51-1.06C, add:**

The falsework removal operation shall be conducted in such a manner that any portion of the falsework not yet removed remains in a stable condition at all times.

**In Section 51-1.09 replace the 6th paragraph with:**

Vibrators used to consolidate concrete containing epoxy-coated bar reinforcement or epoxy-coated prestressing steel shall have a resilient covering to prevent damage to the epoxy-coating on the reinforcement or prestressing steel.

**In Section 51-1.11 replace the 6th paragraph with:**

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

**In Section 51-1.12D replace the 4th paragraph with:**

Expanded polystyrene shall be a commercially available polystyrene board. Expanded polystyrene shall have a minimum flexural strength of 240 kPa determined in conformance with the requirements in ASTM Designation: C 203 and a compressive yield strength of between 110 and 275 kPa at 5 percent compression. Surfaces of expanded polystyrene against which concrete is placed shall be faced with hardboard. Hardboard shall be 3 mm minimum thickness, conforming to ANSI A135.4, any class. Other facing materials may be used provided they furnish equivalent protection. Boards shall be held in place by nails, waterproof adhesive, or other means approved by the Engineer.

**In Section 51-1.12F, add:**

The opening of the joints at the time of placing shall be that shown on the plans adjusted for temperature. Care shall be taken to avoid impairment of the clearance in any manner.

**In Section 51-1.12F replace the 1st and 2nd paragraphs with:**

Where shown on the plans, joints in structures shall be sealed with joint seals, joint seal assemblies, or seismic joints in conformance with the details shown on the plans, the provisions in these specifications, and the special provisions.

Type A and AL joint seals shall consist of a groove in the concrete that is filled with field-mixed silicone sealant.

**In Section 51-1.12F replace the 4th and 5th paragraphs with:**

Joint seal assemblies and seismic joints shall consist of metal or metal and elastomeric assemblies which are anchored or cast into a recess in the concrete over the joint. Strip seal joint seal assemblies consist of only one joint cell. Modular unit joint seal assemblies consist of more than one joint cell.

The Movement Rating (MR) shall be measured normal to the longitudinal axis of the joint. The type of seal to be used for the MR shown on the plans shall be as follows:

| Movement Rating (MR) | Seal Type   |
|----------------------|---|
| MR ≤ 25 mm           | Type A or Type B                                    |
| 25 mm < MR ≤ 50 mm   | Type B  |
| 50 mm < MR ≤ 100 mm  | Joint Seal Assembly (Strip Seal)                    |
| MR > 100 mm          | Joint Seal Assembly (Modular Unit) or Seismic Joint |

**In Section 51-1.12F(3)(a) replace the 1st and 2nd paragraphs with:**

The sealant must consist of a 2-component silicone sealant that will withstand up to ±50 percent movement. Silicone sealants must be tested under California Test 435 and must comply with the following:

| Specification   | Requirement                               |
|---|---|
| Modulus at 150 percent elongation                                     | 35–520 kPa                                |
| Recovery  | 17 mm max.                                |
| Notch Test  | Notched or loss of bond 6 mm, max.        |
| Water Resistance  | Notched or loss of bond 6 mm, max.        |
| Ultraviolet Exposure<br>ASTM Designation: G 154, Table X2.1, Cycle 2. | No more than slight checking or cracking. |
| Cone Penetration  | 4.5-12.0 mm                               |

**In Section 51-1.12F(3)(a) delete the 3rd and 8th paragraphs.**

**In Section 51-1.12F(3)(a) replace the 10th paragraph with:**

A Certificate of Compliance accompanied by a certified test report must be furnished for each batch of silicone sealant in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

**In Section 51-1.12F(3)(b) replace the 2nd paragraph with:**

The preformed elastomeric joint seal must conform to the requirements in ASTM D 2628 and the following:

1. The seal must consist of a multichannel, nonporous, homogeneous material furnished in a finished extruded form.
2. The minimum depth of the seal measured at the contact surface must be at least 95 percent of the minimum uncompressed width of the seal as designated by the manufacturer.
3. When tested in conformance with the requirements in California Test 673 for Type B seals, joint seals must provide a movement rating (MR) of not less than that shown on the plans.
4. The top and bottom edges of the joint seal must maintain continuous contact with the sides of the groove over the entire range of joint movement.
5. The seal must be furnished full length for each joint with no more than 1 shop splice in any 18 m length of seal.
6. The Contractor must demonstrate the adequacy of the procedures to be used in the work before installing seals in the joints.
7. One field splice per joint may be made at locations and by methods approved by the Engineer. The seals are to be manufactured full length for the intended joint, then cut at the approved splice section and rematched before splicing. The Contractor must submit splicing details prepared by the joint seal manufacturer for approval before beginning splicing work.
8. Shop splices and field splices must have no visible offset of exterior surfaces and must show no evidence of bond failure.
9. At all open ends of the seal that would admit water or debris, each cell must be filled to a depth of 80 mm with commercial quality open cell polyurethane foam or closed by other means subject to approval by the Engineer.

**In Section 51-1.12F(3)(b) replace the 7th paragraph with:**

The joint seal must be installed full length for each joint with equipment that does not twist or distort the seal, elongate the seal longitudinally, or otherwise cause damage to the seal or to the concrete forming the groove.

**Replace Section 51-1.12F(3)(c), with:**

**(c) Joint Seal Assemblies and Seismic Joints**

Joint seal assemblies and seismic joints shall be furnished and installed in joints in bridge decks as shown on the plans and as specified in the special provisions.

**In Section 51-1.12H(1) replace the 8th paragraph with:**

The elastomer, as determined from test specimens, shall conform to the following:

| Test  | ASTM Designation                              | Requirement   |
|---|---|---|
| Tensile strength, MPa                           | D 412   | 15.5 Min.   |
| Elongation at break, percent                    | D 412   | 350 Min.  |
| Compression set, 22 h at 70°C, percent          | D 395 (Method B)                              | 25 Max.   |
| Tear strength, kN/m                             | D 624 (Die C)                                 | 31.5 Min.   |
| Hardness (Type A)                               | D 2240 with 2 kg. mass                        | 55 ±5   |
| Ozone resistance 20% strain, 100 h at 40°C ±2°C | D 1149 (except 100 ±20 parts per 100 000 000) | No cracks   |
| Instantaneous thermal stiffening at -40°C       | D 1043  | Shall not exceed 4 times the stiffness measured at 23°C |
| Low temperature brittleness at -40°C            | D 746 (Procedure B)                           | Pass  |

**In Section 51-1.12H(1) in the 9th paragraph replace the table, with:**

|                              |  |
|------------------------------|--|
| Tensile strength, percent    | -15  |
| Elongation at break, percent | -40; but not less than 300% total elongation of the material |
| Hardness, points             | +10  |

**In Section 51-1.12H(2) replace the 1st paragraph with:**

Steel reinforced elastomeric bearings shall conform to the requirements for steel-laminated elastomeric bearings in ASTM Designation: D 4014 and the following:

- A. The bearings shall consist of alternating steel laminates and internal elastomer laminates with top and bottom elastomer covers. Steel laminates shall have a nominal thickness of 1.9 mm (14 gage). Internal elastomer laminates shall have a thickness of 12 mm, and top and bottom elastomer covers shall each have a thickness of 6 mm. The combined thickness of internal elastomer laminates and top and bottom elastomer covers shall be equal to the bearing pad thickness shown on the plans. The elastomer cover to the steel laminates at the sides of the bearing shall be 3 mm. If guide pins or other devices are used to control the side cover over the steel laminates, any exposed portions of the steel laminates shall be sealed by vulcanized patching. The length, width, or diameter of the bearings shall be as shown on the plans.
- B. The total thickness of the bearings shall be equal to the thickness of elastomer laminates and covers plus the thickness of the steel laminates.
- C. Elastomer for steel reinforced elastomeric bearings shall conform to the provisions for elastomer in Section 51-1.12H(1), "Plain and Fabric Reinforced Elastomeric Bearing Pads."
- D. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished to the Engineer certifying that the bearings to be furnished conform to all of the above provisions. The Certificate of Compliance shall be supported by a certified copy of the results of tests performed by the manufacturer on the bearings.
- E. One sample bearing shall be furnished to the Engineer from each lot of bearings to be furnished for the contract. Samples shall be available at least 3 weeks in advance of intended use. The sample bearing shall be one of the following:

| Bearing Pad Thickness as Shown on the Plans | Sample Bearing  |
|---|---|
| ≤ 50 mm                                     | Smallest complete bearing shown on the plans  |
| > 50 mm                                     | * 57 ± 3 mm thick sample not less than 200 mm x 305 mm in plan and cut by the manufacturer from the center of one of the thickest complete bearings |

\* The sample bearing plus remnant parts of the complete bearing shall be furnished to the Engineer.

- F. A test specimen taken from the sample furnished to the Engineer will be tested in conformance with the requirements in California Test 663. Specimens tested shall show no indication of loss of bond between the elastomer and steel laminates.

**In Section 51-1.135 replace the 1st paragraph with:**

Mortar shall be composed of cementitious material, sand, and water proportioned and mixed as specified in this Section 51-1.135.

**In Section 51-1.135 replace the 3rd paragraph with:**

The proportion of cementitious material to sand, measured by volume, shall be 1:2 unless otherwise specified.

**In Section 51-1.14 replace the 4th paragraph with:**

Neoprene shall be manufactured from a vulcanized elastomeric compound containing neoprene as the sole elastomer and shall conform to the following:

| Test   | ASTM Designation                             | Requirement              |
|--|--|--------------------------|
| Tensile strength, MPa  | D 412  | 13.8 Min.                |
| Elongation at break, percent                                       | D 412  | 300 Min.                 |
| Compression set, 22 h at 70°C, percent                             | D 395 (Method B)                             | 30 Max.                  |
| Tear strength, kN/m  | D 624 (Die C)                                | 26.3 Min.                |
| Hardness (Type A)  | D 2240                                       | 55±5                     |
| Ozone resistance 20% strain, 100 h at 38°C ±1°C                    | D 1149 (except 100±20 parts per 100 000 000) | No cracks                |
| Low temperature brittleness at -40°C                               | D 746 (Procedure B)                          | Pass                     |
| Flame resistance   | C 542  | Must not propagate flame |
| Oil Swell, ASTM Oil #3, 70 h at 100°C, volume change, percent      | D 471  | 80 Max.                  |
| Water absorption, immersed 7 days at 70°C, change in mass, percent | D 471  | 15 Max.                  |

**In Section 51-1.17 in 4th paragraph, replace the 1st sentence with:**

The smoothness of completed roadway surfaces of structures, approach slabs and the adjacent 15 m of approach pavement, and the top surfaces of concrete decks which are to be covered with another material, will be tested by the Engineer with a bridge profilograph in conformance with the requirements in California Test 547 and the requirements herein.

**In Section 51-1.17 delete the 7th paragraph**

**In Section 51-1.17 delete the 13th paragraph**

**In Section 51-1.17 delete the 14th paragraph**

**Add Section:**

**51-1.17A DECK CRACK TREATMENT**

The Contractor shall use all means necessary to minimize the development of shrinkage cracks.

The Contractor shall remove all equipment and materials from the deck and clean the surface as necessary for the Engineer to measure the surface crack intensity. Surface crack intensity will be determined by the Engineer after completion of concrete cure, before prestressing, and before the release of falsework. In any 50 square meter portion of deck within the limits of the new concrete deck, should the intensity of cracking be such that there are more than 5 m of cracks whose width at any location exceeds 0.5 mm, the deck shall be treated with methacrylate resin. The area of deck to be treated shall have a width that extends for the entire width of new deck inside the concrete barriers and a length that extends at least 1.5 m beyond the furthest single continuous crack outside the 50 square meter portion, measured from where that crack exceeds 0.5 mm in width, as determined by the Engineer.

Deck crack treatment shall include furnishing, testing, and application of methacrylate resin and sand. If grinding is required, deck treatment shall take place before grinding.

#### **51-1.17A(1) Submittals**

Before starting deck treatment, the Contractor shall submit plans in conformance with Section 5-1.02, "Plans and Working Drawings," for the following:

1. Public safety plan for the use of methacrylate resin
2. Placement plan for the construction operation

The plans shall identify materials, equipment, and methods to be used.

The public safety plan for the use of methacrylate resin shall include details for the following:

1. Shipping
2. Storage
3. Handling
4. Disposal of residual methacrylate resin and the containers

The placement plan for construction shall include the following:

1. Schedule of deck treatment for each bridge. The schedule shall be consistent with "Maintaining Traffic," of the special provisions and shall include time for the Engineer to perform California Test 342.
2. Methods and materials to be used, including the following:
  - 2.1. Description of equipment for applying the resin
  - 2.2. Description of equipment for applying the sand
  - 2.3. Gel time range and final cure time for the resin

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of methacrylate resin, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

#### **51-1.17A(2) Materials**

Before using methacrylate resin, a Material Safety Data Sheet shall be submitted for each shipment of resin.

Methacrylate resin shall be low odor and have a high molecular weight. Before adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

| PROPERTY   | REQUIREMENT  | TEST METHOD                                     |
|--|--|---|
| * Viscosity  | 0.025 Pa·s,<br>maximum,<br>(Brookfield RVT<br>with UL adaptor,<br>50 RPM at 25°C | ASTM D 2196                                     |
| * Specific Gravity                                 | 0.90 minimum,<br>at 25°C   | ASTM D 1475                                     |
| * Flash Point                                      | 82°C, minimum  | ASTM D 3278                                     |
| * Vapor Pressure                                   | 1.0 mm Hg,<br>maximum, at 25°C   | ASTM D 323                                      |
| Tack-free Time                                     | 400 minutes,<br>maximum, at 25°C   | Specimen prepared<br>per California<br>Test 551 |
| PCC Saturated<br>Surface-Dry Bond<br>Strength      | 3.5 MPa, minimum<br>at 24 hours and<br>21±1°C                                    | California Test 551                             |
| * Test shall be performed before adding initiator. |  |   |

### 51-1.17A(3) Testing

The Contractor shall allow 20 days for sampling and testing by the Engineer of the methacrylate resin before proposed use. If bulk resin is to be used, the Contractor shall notify the Engineer in writing at least 15 days before the delivery of the bulk resin to the job site. Bulk resin is any resin stored in containers in excess of 209 liters.

Before starting production treatment, the Contractor shall treat a test area of approximately 50 square meters that is within the project limits and at a location approved by the Engineer. When available the test area shall be outside of the traveled way. Weather and pavement conditions during the test treatment shall be similar to those expected on the deck. Equipment used for testing shall be similar to those used for deck treating operations.

During test and production deck treatment, test tiles shall be used to evaluate the resin cure time. The Contractor shall coat at least one 102 mm x 102 mm commercial quality smooth glazed tile for each batch of methacrylate resin. The coated tile shall be placed adjacent to the corresponding treated area. Sand shall not be applied to the test tiles.

The acceptance criteria for a treated area is as follows:

1. The test tiles are dry to the touch.
2. The treated deck surface is tack free (non-oily).
3. The sand cover adheres and resists brushing by hand.
4. Excess sand has been removed by vacuuming or sweeping.
5. The coefficient of friction is at least 0.35 when tested in conformance with California Test 342.

If a test or production area fails to meet the acceptance criteria, as determined by the Engineer, the treatment will be rejected, and the treatment shall be removed and replaced until the area complies with the acceptance criteria.

### 51-1.17A(4) Construction

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices as necessary to prevent oil or other deleterious material from being deposited on the deck.

Before deck treatment with methacrylate resin, the bridge deck surface shall be cleaned by abrasive blasting, and all loose material shall be blown from visible cracks using high-pressure air. Concrete curing seals shall be cleaned from the deck surface to be treated, and the deck shall be dry when blast cleaning is performed. If the deck surface becomes contaminated at any time before placing the resin, the deck surface shall be cleaned by abrasive blasting.

Where abrasive blasting is being performed within 3 m of a lane occupied by public traffic, the residue including dust shall be removed immediately after contact between the abrasive and the surface being treated. The removal shall be by a vacuum attachment operating concurrently with the abrasive blasting operation.

A compatible promoter/initiator system shall be capable of providing the resin gel time range shown on the placement plan. Gel time shall be adjusted to compensate for the changes in temperature throughout treatment application.

Resin shall be applied by machine and by using a two-part resin system with a promoted resin for one part and an initiated resin for the other part. This two-part resin system shall be combined at equal volumes to the spray bars through



## SECTION 52 REINFORCEMENT

(Issued 12-07-07)

### In Section 52-1.02A replace the 1st paragraph with:

Reinforcing bars shall be low-alloy steel deformed bars conforming to the requirements in ASTM Designation: A 706/A 706M, except that deformed or plain billet-steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420, may be used as reinforcement in the following 5 categories:

- A. Slope and channel paving,
- B. Minor structures,
- C. Sign and signal foundations (pile and spread footing types),
- D. Roadside rest facilities, and
- E. Concrete barrier Type 50 and Type 60 series and temporary railing.

### In Section 52-1.04 replace the 3rd paragraph with:

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall also be furnished for each shipment of epoxy-coated bar reinforcement or wire reinforcement certifying that the coated reinforcement conforms to the requirements in ASTM Designation: A 775/A 775M or A 884/A 884M respectively, and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement." The Certificate of Compliance shall include all of the certifications specified in ASTM Designation: A 775/A 775M or A 884/A 884M respectively.

### In Section 52-1.06 replace the 3rd paragraph with:

Hooks and bends shall conform to the provisions of the Building Code Requirements for Structural Concrete of the American Concrete Institute.

### In Section 52-1.07 in the 3rd paragraph, delete item C

### In Section 52-1.07 replace the 11th paragraph with:

Attention is directed to the provisions in Section 7-1.09, "Public Safety." Whenever a portion of an assemblage of bar reinforcing steel that is not encased in concrete exceeds 6 m in height, the Contractor shall submit to the Engineer for approval, in accordance with the provisions in Section 5-1.02, "Plans and Working Drawings," working drawings and design calculations for the temporary support system to be used. The working drawings and design calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California. The temporary support system shall be designed to resist all expected loads and shall be adequate to prevent collapse or overturning of the assemblage. If the installation of forms or other work requires revisions to or temporary release of any portion of the temporary support system, the working drawings shall show the support system to be used during each phase of construction. The minimum horizontal wind load to be applied to the bar reinforcing steel assemblage, or to a combined assemblage of reinforcing steel and forms, shall be the sum of the products of the wind impact area and the applicable wind pressure value for each height zone. The wind impact area is the total projected area of the cage normal to the direction of the applied wind. Wind pressure values shall be determined from the following table:

| Height Zone<br>(Meters above ground) | Wind Pressure Value<br>(Pa) |
|--------------------------------------|-----------------------------|
| 0-9.0                                | 960                         |
| 9.1-15.0                             | 1200                        |
| 15.1-30.0                            | 1440                        |
| Over 30                              | 1675                        |

### Replace Section 52-1.08 with:

#### 52-1.08 SPLICING

Splices of reinforcing bars shall consist of lap splices, service splices, or ultimate butt splices.

Splicing of reinforcing bars will not be permitted at a location designated on the plans as a "No-Splice Zone." At the option of the Contractor, reinforcing bars may be continuous at locations where splices are shown on the plans. The location of splices, except where shown on the plans, shall be determined by the Contractor using available commercial lengths where practicable.

Unless otherwise shown on the plans, splices in adjacent reinforcing bars at any particular section shall be staggered. The minimum distance between staggered lap splices or mechanical lap splices shall be the same as the length required for a lap splice in the largest bar. The minimum distance between staggered butt splices shall be 600 mm, measured between the midpoints of the splices along a line which is centered between the axes of the adjacent bars.

#### **52-1.08A Lap Splicing Requirements**

Splices made by lapping shall consist of placing reinforcing bars in contact and wiring them together, maintaining the alignment of the bars and the minimum clearances. Should the Contractor elect to use a butt welded or mechanical splice at a location not designated on the plans as requiring a service or ultimate butt splice, this splice shall conform to the testing requirements for service splice.

Reinforcing bars shall not be spliced by lapping at locations where the concrete section is not sufficient to provide a minimum clear distance of 50 mm between the splice and the nearest adjacent bar. The clearance to the surface of the concrete specified in Section 52-1.07, "Placing," shall not be reduced.

Reinforcing bars Nos. 43 and 57 shall not be spliced by lapping.

Where ASTM Designations: A 615/A 615M, Grade 420 or A 706/A 706M reinforcing bars are required, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 45 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 60 diameters of the smaller bar joined, except when otherwise shown on the plans.

Where ASTM Designation: A 615/A 615M, Grade 280 reinforcing bars are permitted, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 30 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 45 diameters of the smaller bar joined, except when otherwise shown on the plans.

Splices in bundled bars shall conform to the following:

- A. In bundles of 2 bars, the length of the lap splice shall be the same as the length of a single bar lap splice.
- B. In bundles of 3 bars, the length of the lap splice shall be 1.2 times the length of a single bar lap splice.

Welded wire fabric shall be lapped such that the overlap between the outermost cross wires is not less than the larger of:

- A. 150 mm,
- B. The spacing of the cross wires plus 50 mm, or
- C. The numerical value of the longitudinal wire size (MW-Size Number) times 370 divided by the spacing of the longitudinal wires in millimeters.

#### **52-1.08B Service Splicing and Ultimate Butt Splicing Requirements**

Service splices and ultimate butt splices shall be either butt welded or mechanical splices, shall be used at the locations shown on the plans, and shall conform to the requirements of these specifications and the special provisions.

##### **52-1.08B(1) Mechanical Splices**

Mechanical splices to be used in the work shall be on the Department's current prequalified list before use. The prequalified list can be obtained from the Department's internet site listed in the special provisions or by contacting the Transportation Laboratory directly.

When tested in conformance with the requirements in California Test 670, the total slip shall not exceed the values listed in the following table:

| Reinforcing Bar Number | Total Slip (μm) |
|------------------------|-----------------|
| 13                     | 250             |
| 16                     | 250             |
| 19                     | 250             |
| 22                     | 350             |
| 25                     | 350             |
| 29                     | 350             |
| 32                     | 450             |
| 36                     | 450             |
| 43                     | 600             |
| 57                     | 750             |

Slip requirements shall not apply to mechanical lap splices, splices that are welded, or splices that are used on hoops.

Splicing procedures shall be in conformance with the manufacturer's recommendations, except as modified in this section. Splices shall be made using the manufacturer's standard equipment, jigs, clamps, and other required accessories.

Splice devices shall have a clear coverage of not less than 40 mm measured from the surface of the concrete to the outside of the splice device. Stirrups, ties, and other reinforcement shall be adjusted or relocated, and additional reinforcement shall be placed, if necessary, to provide the specified clear coverage to reinforcement.

The Contractor shall furnish the following information for each shipment of splice material in conformance with the provisions in Section 6-1.07, "Certificates of Compliance:"

- A. The type or series identification of the splice material including tracking information for traceability.
- B. The bar grade and size number to be spliced.
- C. A copy of the manufacturer's product literature giving complete data on the splice material and installation procedures.
- D. A statement that the splicing systems and materials used in conformance with the manufacturer's installation procedures will develop the required tensile strengths, based on the nominal bar area, and will conform to the total slip requirements and the other requirements in these specifications.
- E. A statement that the splice material conforms to the type of mechanical splice in the Department's current prequalified list.

#### **52-1.08B(2) Butt Welded Splices**

Except for resistance butt welds, butt welded splices of reinforcing bars shall be complete joint penetration butt welds conforming to the requirements in AWS D 1.4, and these specifications.

Welders and welding procedures shall be qualified in conformance with the requirements in AWS D 1.4.

Only the joint details and dimensions as shown in Figure 3.2, "Direct Butt Joints," of AWS D 1.4, shall be used for making complete joint penetration butt welds of bar reinforcement. Split pipe backing shall not be used.

Butt welds shall be made with multiple weld passes using a stringer bead without an appreciable weaving motion. The maximum stringer bead width shall be 2.5 times the diameter of the electrode and slagging shall be performed between each weld pass. Weld reinforcement shall not exceed 4 mm in convexity.

Electrodes used for welding shall meet the minimum Charpy V-notch impact requirement of 27°J at -20°C.

For welding of bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 280 or Grade 420, the requirements of Table 5.2, "Minimum Preheat and Interpass Temperatures," of AWS D 1.4 are superseded by the following:

The minimum preheat and interpass temperatures shall be 200°C for Grade 280 bars and 300°C for Grade 420 bars. Immediately after completing the welding, at least 150 mm of the bar on each side of the splice shall be covered by an insulated wrapping to control the rate of cooling. The insulated wrapping shall remain in place until the bar has cooled below 90°C.

When welding different grades of reinforcing bars, the electrode shall conform to Grade 280 bar requirements and the preheat shall conform to the Grade 420 bar requirements.

In the event that any of the specified preheat, interpass, and post weld cooling temperatures are not met, all weld and heat affected zone metal shall be removed and the splice rewelded.

Welding shall be protected from air currents, drafts, and precipitation to prevent loss of heat or loss of arc shielding. The method of protecting the welding area from loss of heat or loss of arc shielding shall be subject to approval by the Engineer.

Reinforcing bars shall not be direct butt spliced by thermite welding.

Procedures to be used in making welded splices in reinforcing bars, and welders employed to make splices in reinforcing bars, shall be qualified by tests performed by the Contractor on sample splices of the type to be used, before making splices to be used in the work.

### **52-1.08B(3) Resistance Butt Welds**

Shop produced resistance butt welds shall be produced by a fabricator who is approved by the Transportation Laboratory. The list of approved fabricators can be obtained from the Department's internet site or by contacting the Transportation Laboratory directly.

Before manufacturing hoops using resistance butt welding, the Contractor shall submit to the Engineer the manufacturer's Quality Control (QC) manual for the fabrication of hoops. As a minimum, the QC manual shall include the following:

- A. The pre-production procedures for the qualification of material and equipment.
- B. The methods and frequencies for performing QC procedures during production.
- C. The calibration procedures and calibration frequency for all equipment.
- D. The welding procedure specification (WPS) for resistance welding.
- E. The method for identifying and tracking lots.

### **52-1.08C Service Splice and Ultimate Butt Splice Testing Requirements**

The Contractor shall designate in writing a splicing Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for 1) the quality of all service and ultimate butt splicing including the inspection of materials and workmanship performed by the Contractor and all subcontractors; and 2) submitting, receiving, and approving all correspondence, required submittals, and reports regarding service and ultimate splicing to and from the Engineer.

The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Testing on prequalification and production sample splices shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The laboratory shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

- A. Proper facilities, including a calibrated tensile testing machine capable of breaking the largest size of reinforcing bar to be tested.
- B. A device for measuring the total slip of the reinforcing bars across the splice to the nearest 25  $\mu\text{m}$ , that, when placed parallel to the longitudinal axis of the bar is able to simultaneously measure movement across the splice at 2 locations 180 degrees apart.
- C. Operators who have received formal training for performing the testing requirements of ASTM Designation: A 370 and California Test 670.
- D. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

The Contractor shall provide samples for quality assurance testing in conformance with the provisions in these specifications and the special provisions.

Prequalification and production sample splices and testing shall conform to California Test 670 and these specifications.

The Contractor shall ensure that sample splices are properly secured and transported to the testing laboratory in such a manner that no alterations to the physical conditions occur during transportation. Sample splices shall be tested in the same condition as received. No modifications to the sample splices shall be made before testing.

Each set or sample splice, as defined herein, shall be identified as representing either a prequalification or production test sample splice.

For the purpose of production testing, a lot of either service splices or ultimate butt splices is defined as 1) 150, or fraction thereof, of the same type of mechanical splices used for each bar size and each bar deformation pattern that is used in the work, or 2) 150, or fraction thereof, of complete joint penetration butt welded splices or resistance butt welded splices for each bar size used in the work. If different diameters of hoop reinforcement are shown on the plans, separate lots shall be used for each different hoop diameter.

Whenever a lot of splices is rejected, the rejected lot and subsequent lots of splices shall not be used in the work until 1) the QCM performs a complete review of the Contractor's quality control process for these splices, 2) a written report is submitted to the Engineer describing the cause of failure for the splices in this lot and provisions for preventing similar

failures in future lots, and 3) the Engineer has provided the Contractor with written notification that the report is acceptable. The Engineer shall have 3 working days after receipt of the report to provide notification to the Contractor. In the event the Engineer fails to provide notification within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in providing notification, the Contractor will be compensated for any resulting loss, and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

#### **52-1.08C(1) Splice Prequalification Report**

Before using any service splices or ultimate butt splices in the work, the Contractor shall submit a Splice Prequalification Report. The report shall include splice material information, names of the operators who will be performing the splicing, and descriptions of the positions, locations, equipment, and procedures that will be used in the work.

The Splice Prequalification Report shall also include certifications from the fabricator for prequalifications of operators and procedures based on sample tests performed no more than 2 years before submitting the report. Each operator shall be certified by performing 2 sample splices for each bar size of each splice type that the operator will be performing in the work. For deformation-dependent types of splice devices, each operator shall be certified by performing 2 additional samples for each bar size and deformation pattern that will be used in the work.

Prequalification sample splices shall be tested by an independent qualified testing laboratory and shall conform to the appropriate production test criteria and slip requirements specified herein. When epoxy-coated reinforcement is required, resistance butt welded sample splices shall have the weld flash removed by the same procedure as will be used in the work, before coating and testing. The Splice Prequalification Report shall include the certified test results for all prequalification sample splices.

The QCM shall review and approve the Splice Prequalification Report before submitting it to the Engineer for approval. The Contractor shall allow 2 weeks for the review and approval of a complete report before performing any service splicing or ultimate butt splicing in the work. In the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

#### **52-1.08C(2) Service Splice Test Criteria**

Service production and quality assurance sample splices shall be tensile tested in conformance with the requirements in ASTM Designation: A 370 and California Test 670 and shall develop a minimum tensile strength of not less than 550 MPa.

##### **52-1.08C(2)(a) Production Test Requirements for Service Splices**

Production tests shall be performed by the Contractor's independent laboratory for all service splices used in the work. A production test shall consist of testing 4 sample splices prepared for each lot of completed splices. The samples shall be prepared by the Contractor using the same splice material, position, operators, location, and equipment, and following the same procedure as used in the work.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

The 4 samples from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 samples of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 samples shall be tested for, and shall conform to, the requirements for total slip. Should this sample not meet the total slip requirements, one retest, in which the 3 remaining samples are tested for total slip, will be allowed. Should any of the 3 remaining samples not conform to the total slip requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," one additional production test shall be performed on the same lot of splices. This additional production test shall consist of testing 4 samples splices that have been randomly selected by the Engineer and removed by the Contractor from the actual completed lot of splices. Should any of the 4 splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed before the Engineer selects additional splices from this lot for further testing.

### **52-1.08C(2)(b) Quality Assurance Test Requirements for Service Splices**

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional service quality assurance sample splices. These service quality assurance sample splices shall be prepared in the same manner as specified herein for service production sample splices.

These 4 additional quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), for mechanical splices, or in Section 52-1.08B(3), for resistance butt welds, will not be tested.

Quality assurance testing will be performed in conformance with the requirements for service production sample splices in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices."

### **52-1.08C(3) Ultimate Butt Splice Test Criteria**

Ultimate production and quality assurance sample splices shall be tensile tested in conformance with the requirements described in ASTM Designation: A 370 and California Test 670.

A minimum of 1 control bar shall be removed from the same bar as, and adjacent to, all ultimate prequalification, production, and quality assurance sample splices. The lengths of control bars shall conform to the lengths specified for sample splices in California Test 670. The portion of adjacent bar remaining in the work shall also be identified with weatherproof markings that correspond to its adjacent control bar.

Each sample splice and its associated control bar shall be identified and marked as a set. Each set shall be identified as representing a prequalification, production, or quality assurance sample splice.

The portion of hoop reinforcing bar, removed to obtain a sample splice and control bar, shall be replaced using a prequalified ultimate mechanical butt splice, or the hoop shall be replaced in kind.

Reinforcing bars, other than hoops, from which sample splices are removed, shall be repaired using ultimate mechanical butt splices conforming to the provisions in Section 52-1.08C(1), "Splice Prequalification Report," or the bars shall be replaced in kind. These bars shall be repaired or replaced such that no splices are located in any "No Splice Zone" shown on the plans.

Ultimate production and quality assurance sample splices shall rupture in the reinforcing bar either: 1) outside of the affected zone or 2) within the affected zone, provided that the sample splice has achieved at least 95 percent of the ultimate tensile strength of the control bar associated with the sample splice. In addition, necking of the bar, as defined in California Test 670, shall occur at rupture regardless of whether the bar breaks inside or outside the affected zone.

The affected zone is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered by fabrication or installation of the splice.

The ultimate tensile strength shall be determined for all control bars by tensile testing the bars to rupture, regardless of where each sample splice ruptures. If 2 control bars are tested for one sample splice, the bar with the lower ultimate tensile strength shall be considered the control bar.

### **52-1.08C(3)(a) Production Test Requirements for Ultimate Butt Splices**

Production tests shall be performed for all ultimate butt splices used in the work. A production test shall consist of testing 4 sets of sample splices and control bars removed from each lot of completed splices, except when quality assurance tests are performed.

After the splices in a lot have been completed, and the bars have been epoxy-coated when required, the QCM shall notify the Engineer in writing that the splices in this lot conform to the specifications and are ready for testing. Except for hoops, sample splices will be selected by the Engineer at the job site. Sample splices for hoops will be selected by the Engineer either at the job site or a fabrication facility.

After notification has been received, the Engineer will randomly select the 4 sample splices to be removed from the lot and place tamper-proof markings or seals on them. The Contractor shall select the adjacent control bar for each sample splice bar, and the Engineer will place tamper-proof markings or seals on them. These ultimate production sample splices and control bars shall be removed by the Contractor, and tested by an independent qualified testing laboratory.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

A sample splice or control bar from any set will be rejected if a tamper-proof marking or seal is disturbed before testing.

The 4 sets from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 sets of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 sample splices shall be tested for, and shall conform to, the requirements for total slip. Should this sample splice not meet these requirements, one retest, in which the 3 remaining sample splices are tested for total slip, will be allowed. Should any of the 3 remaining sample splices not conform to these requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," one additional production test shall be performed on the same lot of splices. Should any of the 4 sample splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed, complete in place, before the Engineer selects additional splices from this lot for further testing.

Production tests will not be required on repaired splices from a lot, regardless of the type of prequalified ultimate mechanical butt splice used to make the repair. However, should an additional production test be required, the Engineer may select any repaired splice for the additional production test.

#### **52-1.08C(3)(b) Quality Assurance Test Requirements for Ultimate Butt Splices**

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional ultimate quality assurance sample splices along with associated control bars.

Each time 4 additional ultimate quality assurance sample splices are prepared, 2 of these quality assurance sample splice and associated control bar sets and 2 of the production sample splice and associated control bar sets, together, shall conform to the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

The 2 remaining quality assurance sample splice and associated control bar sets, along with the 2 remaining production sample splice and associated control bar sets shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sets shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 sets will not be tested.

Quality assurance testing will be performed in conformance with the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

#### **52-1.08C(3)(c) Nondestructive Splice Tests**

When the specifications allow for welded sample splices to be taken from other than the completed lot of splices, the Contractor shall meet the following additional requirements.

Except for resistance butt welded splices, radiographic examinations shall be performed on 25 percent of all complete joint penetration butt welded splices from a production lot. The size of a production lot will be a maximum of 150 splices. The Engineer will select the splices which will compose the production lot and also the splices within each production lot to be radiographically examined.

All required radiographic examinations of complete joint penetration butt welded splices shall be performed by the Contractor in conformance with the requirements in AWS D 1.4 and these specifications.

Before radiographic examination, welds shall conform to the requirements in Section 4.4, "Quality of Welds," of AWS D 1.4.

Should more than 12 percent of the splices which have been radiographically examined in any production lot be defective, an additional 25 percent of the splices, selected by the Engineer from the same production lot, shall be radiographically examined. Should more than 12 percent of the cumulative total of splices tested from the same production lot be defective, all remaining splices in the lot shall be radiographically examined.

Additional radiographic examinations performed due to the identification of defective splices shall be at the Contractor's expense.

All defects shall be repaired in conformance with the requirements in AWS D 1.4.

The Contractor shall notify the Engineer in writing 48 hours before performing any radiographic examinations.

The radiographic procedure used shall conform to the requirements in AWS D1.1, AWS D1.4, and the following:

- A. Two exposures shall be made for each complete joint penetration butt welded splice. For each of the 2 exposures, the radiation source shall be centered on each bar to be radiographed. The first exposure shall be made with the radiation source placed at zero degrees from the top of the weld and perpendicular to the weld root and identified with a station mark of "0." The second exposure shall be at 90 degrees to the "0" station mark and shall be identified

with a station mark of "90." When obstructions prevent a 90 degree placement of the radiation source for the second exposure, and when approved in writing by the Engineer, the source may be rotated, around the centerline of the reinforcing bar, a maximum of 25 degrees.

- B. For field produced complete joint penetration butt welds, no more than one weld shall be radiographed during one exposure. For shop produced complete joint penetration butt welds, if more than one weld is to be radiographed during one exposure, the angle between the root line of each weld and the direction to the radiation source shall be not less than 65 degrees.
- C. Radiographs shall be made by either X-ray or gamma ray. Radiographs made by X-ray or gamma rays shall have densities of not less than 2.3 nor more than 3.5 in the area of interest. A tolerance of 0.05 in density is allowed for densitometer variations. Gamma rays shall be from the iridium 192 isotope and the emitting specimen shall not exceed 4.45 mm in the greatest diagonal dimension.
- D. The radiographic film shall be placed perpendicular to the radiation source at all times; parallel to the root line of the weld unless source placement determines that the film must be turned; and as close to the root of the weld as possible.
- E. The minimum source to film distance shall be maintained so as to ensure that all radiographs maintain a maximum geometric unsharpness of 0.020 at all times, regardless of the size of the reinforcing bars.
- F. Penetrators shall be placed on the source side of the bar and perpendicular to the radiation source at all times. One penetrator shall be placed in the center of each bar to be radiographed, perpendicular to the weld root, and adjacent to the weld. Penetrator images shall not appear in the weld area.
- G. When radiography of more than one weld is being performed per exposure, each exposure shall have a minimum of one penetrator per bar, or 3 penetrators per exposure. When 3 penetrators per exposure are used, one penetrator shall be placed on each of the 2 outermost bars of the exposure, and the remaining penetrator shall be placed on a centrally located bar.
- H. An allowable weld buildup of 4 mm may be added to the total material thickness when determining the proper penetrator selection. No image quality indicator equivalency will be accepted. Wire penetrators or penetrator blocks shall not be used.
- I. Penetrators shall be sufficiently shimmed using a radiographically identical material. Penetrator image densities shall be a minimum of 2.0 and a maximum of 3.6.
- J. Radiographic film shall be Class 1, regardless of the size of reinforcing bars.
- K. Radiographs shall be free of film artifacts and processing defects, including, but not limited to, streaks, scratches, pressure marks or marks made for the purpose of identifying film or welding indications.
- L. Each splice shall be clearly identified on each radiograph and the radiograph identification and marking system shall be established between the Contractor and the Engineer before radiographic inspection begins. Film shall be identified by lead numbers only; etching, flashing or writing in identifications of any type will not be permitted. Each piece of film identification information shall be legible and shall include, as a minimum, the following information: Contractor's name, date, name of nondestructive testing firm, initials of radiographer, contract number, part number and weld number. The letter "R" and repair number shall be placed directly after the weld number to designate a radiograph of a repaired weld.
- M. Radiographic film shall be developed within a time range of one minute less to one minute more than the film manufacturer's recommended maximum development time. Sight development will not be allowed.
- N. Processing chemistry shall be done with a consistent mixture and quality, and processing rinses and tanks shall be clean to ensure proper results. Records of all developing processes and any chemical changes to the developing processes shall be kept and furnished to the Engineer upon request. The Engineer may request, at any time, that a sheet of unexposed film be processed in the presence of the Engineer to verify processing chemical and rinse quality.
- O. The results of all radiographic interpretations shall be recorded on a signed certification and a copy kept with the film packet.
- P. Technique sheets prepared in conformance with the requirements in ASME Boiler and Pressure Vessels Code, Section V, Article 2 Section T-291 shall also contain the developer temperature, developing time, fixing duration and all rinse times.

#### **52-1.08D Reporting Test Results**

A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory performing the testing and submitted to the QCM for review and approval. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include, as a minimum, the following information for each test: contract number, bridge number, lot number and location, bar size, type of splice, length of mechanical splice, length of test specimen, physical condition of test sample splice and any associated control bar, any notable defects, total measured slip, ultimate tensile strength of each splice, and for ultimate butt splices,





100 [690], and 100W [690W]. Charpy V-notch impact values shall be determined in conformance with the requirements in ASTM Designation: E 23.

Charpy V-notch (CVN) impact values shall conform to the following minimum values for non fracture critical members:

| Material Conforming to<br>ASTM Designation: A 709/A 709M | CVN Impact Value<br>(Joules at Temp.) |
|--|---------------------------------------|
| Grade 36 [250]   | 20 at 4°C                             |
| Grade 50 [345]* (50 mm and under in thickness)           | 20 at 4°C                             |
| Grade 50W [345W]* (50 mm and under in thickness)         | 20 at 4°C                             |
| Grade 50 [345]* (Over 50 mm to 100 mm in thickness)      | 27 at 4°C                             |
| Grade 50W [345W]* (Over 50 mm to 100 mm in thickness)    | 27 at 4°C                             |
| Grade HPS 50W [345W]* (100 mm and under in thickness)    | 27 at -12°C                           |
| Grade HPS 70W [485]* (100 mm and under in thickness)     | 34 at -23°C                           |
| Grade 100 [490] (65 mm and under in thickness)           | 34 at -18°C                           |
| Grade 100W [490W] (Over 65 mm to 100 mm in thickness)    | 48 at -18°C                           |

\* If the yield point of the material exceeds 450 MPa, the temperature for the CVN impact value for acceptability shall be reduced 8°C for each increment of 70 MPa above 450 MPa.

#### Structural Steel Materials

| Material  | Specification  |
|---|--|
| Structural steel:   |  |
| Carbon steel  | ASTM: A 709/A 709M, Grade 36 [250] or {A 36/A 36M}a  |
| High strength low alloy columbium vanadium steel                                  | ASTM: A 709/A 709M, Grade 50 [345] or {A 572/A 572M, Grade 50 [345]}a  |
| High strength low alloy structural steel  | ASTM: A 709/A 709M, Grade 50W [345W], Grade HPS 50W [HSP 345W], or {A 588/A 588M}a   |
| High strength low alloy structural steel plate                                    | ASTM: A 709/A 709M, Grade HPS 70W [HPS 485W]   |
| High-yield strength, quenched and tempered alloy steel plate suitable for welding | ASTM: A 709/A 709M, Grade 100 [690] and Grade 100W [690W], or {A 514/A 514M}a  |
| Steel fastener components for general applications:                               |  |
| Bolts and studs   | ASTM: A 307  |
| Headed anchor bolts   | ASTM: A 307, Grade B, including S1 supplementary requirements  |
| Nonheaded anchor bolts  | ASTM: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO: M 314 supplementary requirements or AASHTO: M 314, Grade 36 or 55, including S1 supplementary requirements |
| High-strength bolts and studs   | ASTM: A 449, Type 1  |
| High-strength threaded rods   | ASTM: A 449, Type 1  |
| High-strength nonheaded anchor bolts  | ASTM: A 449, Type 1  |
| Nuts  | ASTM: A 563, including Appendix X1b  |
| Washers   | ASTM: F 844  |

|   |  |
|---|--|
| Components of high-strength steel fastener assemblies for use in structural steel joints: |  |
| Bolts   | ASTM: A 325, Type 1  |
| Tension control bolts   | ASTM: F 1852, Type 1   |
| Nuts  | ASTM: A 563, including Appendix X1b                                    |
| Hardened washers  | ASTM: F 436, Type 1, Circular, including S1 supplementary requirements |
| Direct tension indicators   | ASTM: F 959, Type 325, zinc-coated                                     |
| Carbon steel for forgings, pins and rollers   | ASTM: A 668/A 668M, Class D  |
| Alloy steel for forgings  | ASTM: A 668/A 668M, Class G  |
| Pin nuts  | ASTM: A 36/A 36M   |
| Carbon-steel castings   | ASTM: A 27/A 27M, Grade 65-35, Class 1                                 |
| Malleable iron castings   | ASTM: A 47, Grade 32510 or A 47M, Grade 22010                          |
| Gray iron castings  | ASTM: A 48, Class 30B  |
| Carbon steel structural tubing  | ASTM: A 500, Grade B or A 501  |
| Steel pipe (Hydrostatic testing will not apply)   | ASTM: A 53, Type E or S, Grade B; A 106, Grade B; or A 139, Grade B    |
| Stud connectors   | ASTM: A 108 and AASHTO/AWS D1.5  |

- a Grades that may be substituted for the equivalent ASTM Designation: A 709 steel, at the Contractor's option, subject to the modifications and additions specified and to the requirements of A 709.
- b Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

**In Section 55-2.02 in the 1st paragraph, replace the 1st sentence with:**

Unless otherwise specified or shown on the plans, all structural steel plates, shapes, and bars shall conform to ASTM Designation: A 709/A 709M, Grade 50 [345].

**In Section 55-3.05 replace the 1st paragraph with:**

Surfaces of bearing and base plates and other metal surfaces that are to come in contact with each other or with ground concrete surfaces or with asbestos sheet packing shall be flat to within one mm tolerance in 305 mm and to within 2 mm tolerance overall. Surfaces of bearing and base plates and other metal bearing surfaces that are to come in contact with preformed fabric pads, elastomeric bearing pads, or mortar shall be flat to within 3 mm tolerance in 305 mm and to within 5 mm tolerance overall.

**In Section 55-3.14, after the 9th paragraph add:**

If a torque multiplier is used in conjunction with a calibrated wrench as a method for tightening fastener assemblies to the required tension, both the multiplier and the wrench shall be calibrated together as a system. The same length input and output sockets and extensions that will be used in the work shall also be included in the calibration of the system. The manufacturer's torque multiplication ratio shall be adjusted during calibration of the system, such that when this adjusted ratio is multiplied by the actual input calibrated wrench reading, the product is a calculated output torque that is within 2 percent of the true output torque. When this system is used in the work to perform any installation tension testing, rotational capacity testing, fastener tightening, or tension verification, it shall be used, intact as calibrated.

**In Section 55-3.17 replace the 2nd paragraph with:**

The minimum size of all fillet welds, except those to reinforce groove welds, shall be as shown in the following table:



**Replace Section 56-1.02B with:**

**56-1.02B Sheets**

Sheets shall be carbon-steel sheets conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230].

Ribbed sheet metal for box beam-closed truss sign structures shall be fabricated from galvanized sheet steel conforming to the requirements in ASTM Designation: A 653/A 653M, Designation SS, Grade 33[230]. Sheet metal panels shall be G 165 coating designation in conformance with the requirements in ASTM Designation: A 653/A 653M.

**Replace Section 56-1.02F with:**

**56-1.02F Steel Walkway Gratings**

Steel walkway gratings shall be furnished and installed in conformance with the details shown on the plans and the following provisions:

- A. Gratings shall be the standard product of an established grating manufacturer.
- B. Material for gratings shall be structural steel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation CS, Type B.
- C. For welded type gratings, each joint shall be full resistance welded under pressure, to provide a sound, completely beaded joint.
- D. For mechanically locked gratings, the method of fabrication and interlocking of the members shall be approved by the Engineer, and the fabricated grating shall be equal in strength to the welded type.
- E. Gratings shall be accurately fabricated and free from warps, twists, or other defects affecting their appearance or serviceability. Ends of all rectangular panels shall be square. The tops of the bearing bars and cross members shall be in the same plane. Gratings distorted by the galvanizing process shall be straightened.

**In Section 56-1.03 replace the 5th through the 13th paragraphs with:**

Clips, eyes, or removable brackets shall be affixed to all signs and all posts and shall be used to secure the sign during shipping and for lifting and moving during erection as necessary to prevent damage to the finished galvanized or painted surfaces. Brackets on tubular sign structures shall be removed after erection. Details of the devices shall be shown on the working drawings.

High-strength bolted connections, where shown on the plans, shall conform to the provisions in Section 55-3.14, "Bolted Connections," except that only fastener assemblies consisting of a high-strength bolt, nut, hardened washer, and direct tension indicator shall be used.

High-strength fastener assemblies, and any other bolts, nuts, and washers attached to sign structures shall be zinc-coated by the mechanical deposition process.

Nuts for high-strength bolts designated as snug-tight shall not be lubricated.

An alternating snugging and tensioning pattern for anchor bolts and high-strength bolted splices shall be used. Once tensioned, high-strength fastener components and direct tension indicators shall not be reused.

For bolt diameters less than 10 mm, the diameter of the bolt hole shall be not more than 0.80-mm larger than the nominal bolt diameter. For bolt diameters greater than or equal to 10 mm, the diameter of the bolt hole shall be not more than 1.6 mm larger than the nominal bolt diameter.

Sign structures shall be fabricated into the largest practical sections prior to galvanizing.

Ribbed sheet metal panels for box beam closed truss sign structures shall be fastened to the truss members by cap screws or bolts as shown on the plans, or by 4.76 mm stainless steel blind rivets conforming to Industrial Fasteners Institute, Standard IFI-114, Grade 51. The outside diameter of the large flange rivet head shall be not less than 15.88 mm in diameter. Web splices in ribbed sheet metal panels may be made with similar type blind rivets of a size suitable for the thickness of material being connected.

Spalling or chipping of concrete structures shall be repaired by the Contractor at the Contractor's expense.

**In Section 56-1.03 after the 13th paragraph add:**

Overhead sign supports shall have an aluminum identification plate permanently attached near the base, adjacent to the traffic side on one of the vertical posts, using either stainless steel rivets or stainless steel screws. As a minimum, the information on the plate shall include the name of the manufacturer, the date of manufacture and the contract number.



above 38°C, or when the relative humidity exceeds 85 percent at the site of the work. Application of water-borne paint will not be permitted when the atmospheric or surface temperature is at or below 10°C, or above 38°C, or when the relative humidity exceeds 75 percent at the site of the work. Application of paint will not be permitted when the steel surface temperature is less than 3°C above the dew point, or when freshly painted surfaces may become damaged by rain, fog or condensation, or when it can be anticipated that the atmospheric temperature or relative humidity will not remain within the specified application conditions during the drying period, except as provided in the following paragraph for enclosures. If uncured paint is damaged by the elements, it shall be replaced or repaired by the Contractor at the Contractor's expense.

**In Section 59-1.05 replace the 2nd paragraph with:**

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at the Contractor's expense and to the satisfaction of the Engineer.

**In Section 59-2.01, between the 1st and 2nd paragraph add:**

Unless otherwise specified, no painting Contractors or subcontractors will be permitted to commence work without having the following current "SSPC: The Society for Protective Coatings" (formerly the Steel Structures Painting Council) certifications in good standing:

- A. For cleaning and painting structural steel in the field, certification in conformance with the requirements in Qualification Procedure No. 1, "Standard Procedure For Evaluating Painting Contractors (Field Application to Complex Industrial Structures)" (SSPC-QP 1).
- B. For removing paint from structural steel, certification in conformance with the requirements in Qualification Procedure No. 2, "Standard Procedure For Evaluating Painting Contractors (Field Removal of Hazardous Coatings from Complex Structures)" (SSPC-QP 2).
- C. For cleaning and painting structural steel in a permanent painting facility, certification in conformance with the requirements in Qualification Procedure No. 3, "Standard Procedure For Evaluating Qualifications of Shop Painting Applicators" (SSPC-QP 3). The AISC's Sophisticated Paint Endorsement (SPE) quality program will be considered equivalent to SSPC-QP 3.

**In Section 59-2.03 replace the 3rd paragraph with:**

Exposed steel or other metal surfaces to be blast cleaned shall be cleaned in conformance with the requirements in Surface Preparation Specification No. 6, "Commercial Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave all surfaces with a dense, uniform, angular anchor pattern of not less than 35 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

**In Section 59-2.06 replace the 1st paragraph with:**

Dirt, loose rust and mill scale, or paint which is not firmly bonded to the surfaces shall be removed in conformance with the requirements in Surface Preparation Specification No. 2, "Hand Tool Cleaning," of the "SSPC: The Society for Protective Coatings." Edges of old remaining paint shall be feathered.

**In Section 59-2.12 replace the 3rd and 4th paragraphs with:**

Contact surfaces of stiffeners, railings, built up members or open seam exceeding 6 mils in width that would retain moisture, shall be caulked with polysulfide or polyurethane sealing compound conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O, or other approved material.

The dry film thickness of the paint will be measured in place with a calibrated Type 2 magnetic film thickness gage in conformance with the requirements in SSPC-PA 2, "Measurement of Dry Coating Thickness with Magnetic Gages," of the "SSPC: The Society for Protective Coatings," except that there shall be no limit to the number or location of spot measurements to verify compliance with specified thickness requirements.











**In Section 75-1.02 in the 10th paragraph, replace the table with:**

| Material  | Specification  |
|---|--|
| Steel bars, plates and shapes   | ASTM Designation: A 36/A 36M or A 575, A 576 (AISI or M Grades 1016 through 1030)  |
| Steel fastener components for general applications:                                       |  |
| Bolts and studs   | ASTM Designation: A 307  |
| Headed anchor bolts   | ASTM Designation: A 307, Grade B, including S1 supplementary requirements  |
| Nonheaded anchor bolts  | ASTM Designation: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO Designation: M 314 supplementary requirements or AASHTO Designation: M 314, Grade 36 or 55, including S1 supplementary requirements |
| High-strength bolts and studs, threaded rods, and nonheaded anchor bolts                  | ASTM Designation: A 449, Type 1  |
| Nuts  | ASTM Designation: A 563, including Appendix X1*  |
| Washers   | ASTM Designation: F 844  |
| Components of high-strength steel fastener assemblies for use in structural steel joints: |  |
| Bolts   | ASTM Designation: A 325, Type 1  |
| Tension control bolts   | ASTM Designation: F 1852, Type 1   |
| Nuts  | ASTM Designation: A 563, including Appendix X1*  |
| Hardened washers  | ASTM Designation: F 436, Type 1, Circular, including S1 supplementary requirements   |
| Direct tension indicators   | ASTM Designation: F 959, Type 325, zinc-coated   |
| Stainless steel fasteners (Alloys 304 & 316) for general applications:                    |  |
| Bolts, screws, studs, threaded rods, and nonheaded anchor bolts                           | ASTM Designation: F 593 or F 738M  |
| Nuts  | ASTM Designation: F 594 or F 836M  |
| Washers   | ASTM Designation: A 240/A 240M and ANSI B 18.22M   |
| Carbon-steel castings   | ASTM Designation: A 27/A 27M, Grade 65-35 [450-240], Class 1   |
| Malleable iron castings   | ASTM Designation: A 47, Grade 32510 or A 47M, Grade 22010  |
| Gray iron castings  | ASTM Designation: A 48, Class 30B  |
| Ductile iron castings   | ASTM Designation: A 536, Grade 65-45-12  |
| Cast iron pipe  | Commercial quality   |
| Steel pipe  | Commercial quality, welded or extruded   |
| Other parts for general Applications  | Commercial quality   |

\* Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dyed dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

**In Section 75-1.03 replace the 2nd paragraph with:**

Miscellaneous bridge metal shall consist of the following, except as further provided in Section 51-1.19, "Utility Facilities," and in the special provisions:

- A. Bearing assemblies, equalizing bolts and expansion joint armor in concrete structures.
- B. Expansion joint armor in steel structures.
- C. Manhole frames and covers, frames and grates, ladder rungs, guard posts and access door assemblies.
- D. Deck drains, area drains, retaining wall drains, and drainage piping, except drainage items identified as "Bridge Deck Drainage System" in the special provisions.

**In Section 75-1.03 replace the 7th paragraph with:**

Sheet steel for access doors shall be galvanized sheet conforming to the requirements in ASTM Designation: A 653/A 653M, Coating Designation Z600 {G210}.

**In Section 75-1.03 replace the 13th paragraph with:**

Concrete anchorage devices shall be mechanical expansion or resin capsule types installed in drilled holes or cast-in-place insert types. The anchorage devices shall be selected from the Department's Pre-Qualified Products List at:

[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list](http://www.dot.ca.gov/hq/esc/approved_products_list)

The anchorage devices shall be a complete system, including threaded studs, hex nuts, and cut washers. Thread dimensions for externally threaded concrete anchorage devices prior to zinc coating, shall conform to the requirements in ANSI Standard: B1.1 having Class 2A tolerances or ANSI Standard: B1.13M having Grade 6g tolerances. Thread dimensions for internally threaded concrete anchorage devices shall conform to the requirements in ASTM A 563.

**In Section 75-1.03 replace the 18th paragraph with:**

Mechanical expansion anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.90 mm:

| Stud Diameter<br>(millimeters) | Sustained<br>Tension Test<br>Load<br>(kilonewtons) |
|--------------------------------|--|
| *18.01-21.00                   | 22.2   |
| 15.01-18.00                    | 18.2   |
| 12.01-15.00                    | 14.2   |
| 9.01-12.00                     | 9.34   |
| 6.00-9.00                      | 4.23   |

\* Maximum stud diameter permitted for mechanical expansion anchors.

Resin capsule anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.25 mm:

| Stud Diameter<br>(millimeters) | Sustained<br>Tension Test<br>Load<br>(kilonewtons) |
|--------------------------------|--|
| 29.01-33.00                    | 137.9  |
| 23.01-29.00                    | 79.6   |
| 21.01-23.00                    | 64.1   |
| 18.01-21.00                    | 22.2   |
| 15.01-18.00                    | 18.2   |
| 12.01-15.00                    | 14.2   |
| 9.01-12.00                     | 9.34   |
| 6.00-9.00                      | 4.23   |

At least 25 days before use, the Contractor shall submit one sample of each resin capsule anchor per lot to the Transportation Laboratory for testing. A lot of resin capsule anchors is 100 units, or fraction thereof, of the same brand and product name.

**In Section 75-1.03 in the 19th paragraph, replace the table with:**

| Stud Diameter<br>(millimeters) | Ultimate<br>Tensile Load<br>(kilonewtons) |
|--------------------------------|---|
| 30.01-33.00                    | 112.1                                     |
| 27.01-30.00                    | 88.1                                      |
| 23.01-27.00                    | 71.2                                      |
| 20.01-23.00                    | 51.6                                      |
| 16.01-20.00                    | 32.0                                      |
| 14.01-16.00                    | 29.4                                      |
| 12.00-14.00                    | 18.7                                      |

**In Section 75-1.03, replace the 20th paragraph with:**

The Pre-Qualified Products List for concrete anchorage devices has been developed from data previously furnished by suppliers or manufacturers for each type and size. Approval of additional anchorage device types and sizes is contingent upon the Contractor submitting to the Engineer one sample of each type of concrete anchorage device, manufacturer's installation instructions, and certified results of tests, either by a private testing laboratory or the manufacturer, indicating compliance with the above requirements.

**In Section 75-1.03 in the 22nd paragraph, replace the table with:**

Installation Torque Values, (newton meters)

| Stud Diameter<br>(millimeters) | Shell Type<br>Mechanical<br>Expansion<br>Anchors | Integral Stud Type<br>Mechanical<br>Expansion<br>Anchors | Resin Capsule<br>Anchors<br>and<br>Cast-in-Place Inserts |
|--------------------------------|--|--|--|
| 29.01-33.00                    | —  | —  | 540  |
| 23.01-29.00                    | —  | —  | 315  |
| 21.01-23.00                    | —  | —  | 235  |
| 18.01-21.00                    | 110  | 235  | 200  |
| 15.01-18.00                    | 45   | 120  | 100  |
| 12.01-15.00                    | 30   | 65   | 40   |
| 9.01-12.00                     | 15   | 35   | 24   |
| 6.00-9.00                      | 5  | 10   | —  |

**In Section 75-1.03, replace the 24th paragraph with:**

Sealing compound, for caulking and adhesive sealing, shall be a polysulfide or polyurethane material conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O.

**In Section 75-1.035 replace the 3rd paragraph with:**

Cables shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer.

**In Section 75-1.035 replace the 12th paragraph with:**

Concrete for filling cable drum units shall conform to the provisions in Section 90-10, "Minor Concrete," or at the option of the Contractor, may be a mix with 9.5 mm maximum size aggregate and not less than 400 kilograms of cementitious material per cubic meter.





**In Section 83-1.02B replace the 9th paragraph with:**

The grades and species of wood posts and blocks shall be No. 1 timbers (also known as No. 1 structural) Douglas fir or No. 1 timbers Southern yellow pine. Wood posts and blocks shall be graded in conformance with the provisions in Section 57-2, "Structural Timber," of the Standard Specifications, except allowances for shrinkage after mill cutting shall in no case exceed 5 percent of the American Lumber Standards minimum sizes, at the time of installation.

**In Section 83-1.02B replace the 11th paragraph with:**

After fabrication, wood posts and blocks shall be pressure treated in conformance with Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPAs Use Category System: UC4A, Commodity Specification A.

**In Section 83-1.02B replace the 12th paragraph with:**

If copper naphthenate, ammoniacal copper arsenate, chromated copper arsenate, ammoniacal copper zinc arsenate, ammoniacal copper quat or copper azole is used to treat the wood posts and blocks, the bolt holes shall be treated as follows:

- A. Before the bolts are inserted, bolt holes shall be filled with a grease, recommended by the manufacturer for corrosion protection, which will not melt or run at a temperature of 65°C.

**In Section 83-1.02B replace the 24th paragraph with:**

End anchor assemblies and rail tensioning assemblies for metal beam guard railing shall be constructed as shown on the plans and shall conform to the following provisions:

1. An end anchor assembly (Type SFT) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a wood post, a steel foundation tube, a steel soil plate and hardware.
2. An end anchor assembly (Type CA) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a single anchor rod or double anchor rods, hardware and one concrete anchor.
3. A rail tensioning assembly for metal beam guard railing shall consist of an anchor cable, an anchor plate, and hardware.
4. The anchor plate, metal plates, steel foundation tubes and steel soil plate shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M.
5. The anchor rods shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M, A 441 or A 572, or ASTM Designation: A 576, Grades 1018, 1019, 1021 or 1026. The eyes shall be hot forged or formed with full penetration welds. After fabrication, anchor rods with eyes that have been formed with any part of the eye below 870°C during the forming operation or with eyes that have been closed by welding shall be thermally stress relieved prior to galvanizing. The completed anchor rod, after galvanizing, shall develop a strength of 220 kN.
6. In lieu of built-up fabrication of anchor plates as shown on the plans, anchor plates may be press-formed from steel plate, with or without welded seams.
7. All bolts and nuts shall conform to the requirements in ASTM Designation: A 307, unless otherwise specified in the special provisions or shown on the plans.
8. Anchor cable shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer. The overall length of each cable anchor assembly shall be as shown on the plans, but shall be a minimum of 2 m.
9. Where shown on the plans, cable clips and a cable thimble shall be used to attach cable to the anchor rod. Thimbles shall be commercial quality, galvanized steel. Cable clips shall be commercial quality drop forged galvanized steel.
10. The swaged fitting shall be machined from hot-rolled bars of steel conforming to AISI Designation: C 1035, and shall be annealed suitable for cold swaging. The swaged fitting shall be galvanized before swaging. A lock pin hole to accommodate a 6 mm, plated, spring steel pin shall be drilled through the head of the swage fitting to retain the stud in proper position. The manufacturer's identifying mark shall be stamped on the body of the swage fitting.
11. The 25 mm nominal diameter stud shall conform to the requirements in ASTM Designation: A 449 after galvanizing. Prior to galvanizing, a 10 mm slot for the locking pin shall be milled in the stud end.
12. The swaged fittings, stud and nut assembly shall develop the specified breaking strength of the cable.
13. The cable assemblies shall be shipped as a complete unit including stud and nut.
14. Clevises shall be drop forged galvanized steel and shall develop the specified breaking strength of the cable.
15. One sample of cable properly fitted with swaged fitting and right hand thread stud at both ends as specified above, including a clevis when shown on the plans, one meter in total length, shall be furnished the Engineer for testing.

16. The portion of the anchor rod to be buried in earth shall be coated with a minimum 0.5 mm thickness of coal tar enamel conforming to AWWA Standard: C203 or a coal tar epoxy conforming to the requirements in Steel Structures Painting Council Paint Specification No. 16, Coal-Tar Epoxy-Polyimide Black Paint or Corps of Engineers Specification, Formula C-200a, Coal-Tar Epoxy Paint.
17. Metal components of the anchor assembly shall be fabricated in conformance with good shop practice and shall be hot-dip galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."
18. Anchor cables shall be tightened after the concrete anchor has cured for at least 5 days.
19. Concrete used to construct anchors for end anchor assemblies shall be Class 3 or minor concrete conforming to the provisions in Section 90, "Portland Cement Concrete."
20. Concrete shall be placed against undisturbed material of the excavated holes for end anchors. The top 300 mm of holes shall be formed, if required by the Engineer.
21. Reinforcing steel in concrete anchors for end anchor assemblies shall conform to the provisions in Section 52, "Reinforcement."

**In Section 83-1.02D replace the 2nd paragraph with:**

Structural shapes, tubing, plates, bars, bolts, nuts, and washers shall be structural steel conforming to the provisions in Section 55-2, "Materials." Other fittings shall be commercial quality.

**In Section 83-1.02E replace the 2nd paragraph with:**

Pipe for posts and braces shall be standard steel pipe or pipe that conforms to the provisions in Section 80-4.01A, "Posts and Braces."

**In Section 83-1.02E, delete the 3rd paragraph**

**In Section 83-1.02E in the 7th paragraph, replace the 2nd sentence with:**

Cable shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

**In Section 83-1.02I replace the 5th paragraph with:**

Where shown on the plans, cables used in the frame shall be 8 mm in diameter, wire rope, with a minimum breaking strength of 22 kN and shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

**In Section 83-1.02I replace the 14th paragraph with:**

Chain link fabric shall be either 11-gage Type I zinc-coated fabric conforming to the requirements in AASHTO M 181 or 11-gage Type IV polyvinyl chloride (PVC) coated fabric conforming to the requirements in Federal Specification RR-F-191/1.

**In Section 83-1.03 replace the 2nd paragraph with:**

Except for metal beam guard railing within the pay limits of a terminal system end treatment or transition railing (Type WB), metal beam guard railing will be measured by the meter along the face of the rail element from end post to end post of the completed railing at each installation. The point of measurement at each end post will be the center of the bolt attaching the rail element to the end post.

**In Section 83-1.03 replace the 7th and 8th paragraphs with:**

The quantities of end anchor assemblies (Type SFT or Type CA) and rail tensioning assemblies will be measured as units determined from actual count. An end anchor assembly (Type CA) with 2 cables attached to one concrete anchor will be counted as one terminal anchor assembly (Type CA) for measurement and payment.

The quantities of return and end caps and the various types of terminal sections for metal beam guard railing will be determined as units from actual count.

**In Section 83-1.04 replace the 3rd and 4th paragraphs with:**

The contract unit prices paid for end anchor assembly (Type SFT), end anchor assembly (Type CA), and rail tensioning assembly shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in constructing the end anchor assemblies, complete in place, including drilling anchor plate bolt holes in rail elements, driving steel foundation tubes, excavating for concrete anchor holes and disposing of surplus material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract unit prices paid for return caps, end caps, and the various types of terminal sections for metal beam guard railing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing terminal sections, return and end caps, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

**In Section 83-2.02B replace the 2nd paragraph with:**

Rail elements, backup plates, terminal connectors, terminal sections, and return caps shall conform to Class A, Type 1 thrie beam guard railing as shown in AASHTO Designation: M 180.

**In Section 83-2.02B replace the 14th paragraph with:**

All metal work shall be fabricated in the shop, and no punching, cutting or welding will be permitted in the field. Rail elements shall be lapped so that the exposed ends will not face approaching traffic. Terminal sections and return caps shall be installed in conformance with the manufacturer's recommendation.

**In Section 83-2.02D(2) replace the 1st paragraph with:**

Type 50 and 60 series concrete barriers shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," except as follows:

- a. The maximum size of aggregate used for extruded or slip-formed concrete barriers shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5-mm or smaller than 9.5-mm.
- b. If the 9.5 mm maximum size aggregate grading is used to construct extruded or slip-formed concrete barriers, the cementitious material content of the minor concrete shall be not less than 400 kilograms per cubic meter.

**In Section 83-2.02D(2) replace the 3rd paragraph with:**

The concrete paving between the tops of the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) and the optional concrete slab at the base between the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) shall be constructed of minor concrete conforming to the provisions of Section 90-10, "Minor Concrete," except that the minor concrete shall contain not less than 300 kilograms of cementitious material per cubic meter.

**In Section 83-2.03 replace the 1st and 2nd paragraphs with:**

Except for single thrie beam barrier within the pay limits of transition railing (Type STB), single thrie beam barrier will be measured by the meter from end post to end post along the face of the rail element of the installed barrier. Single thrie beam barriers constructed on each side of piers under structures or other obstructions will be measured for payment along each line of the installed barrier.

Except for double thrie beam barrier within the pay limits of transition railing (Type DTB), double thrie beam barrier will be measured by the meter from end post to end post along the center line of the installed barrier.

**In Section 83-2.03 replace the 5th and 6th paragraphs with:**

The quantity of return caps, terminal connectors and the various types of terminal sections for single and double thrie beam barriers will be determined as units from actual count.

The quantity of end anchor assemblies will be paid for as units determined from actual count.

**In Section 83-2.04 replace the 1st and 2nd paragraphs with:**

The various types of thrie beam barrier, measured as specified in Section 83-2.03, "Measurement," will be paid for at the contract price per meter for single or double thrie beam barrier, whichever applies, and the contract unit price or prices for end anchor assemblies, return caps, terminal connectors and the various types of terminal sections.





| Test | Test Description  | Requirement   |
|------|---|---------------|
| a    | Bond strength   | 4.8 MPa, min. |
| b    | Glaze thickness   | 180 µm, min.  |
| c    | Hardness  | 6 Moh, min.   |
| d    | Luminance factor, Type A, white markers only, glazed surface  | 75, min.      |
| e    | Yellowness index, Type A, white markers only, glazed surface  | 7, max.       |
| f    | Color-yellow, Type AY, yellow markers only. The chromaticity coordinates shall be within a color box defined in CTM 669 | Pass          |
| g    | Compressive strength  | 6700 N, min.  |
| h    | Water absorption  | 2.0 %, max.   |
| i    | Artificial weathering, 500 hours exposure, yellowness index   | 20, max.      |

**Replace Section 85-1.04B with:**

**85-1.04B Non-Reflective Pavement Markers (Plastic)**

Plastic non-reflective pavement markers Types A and AY shall be, at the option of the Contractor, either polypropylene or acrylonitrile-butadiene-styrene (ABS) plastic type.

Plastic markers shall conform to the testing requirements specified in Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," except that Tests a, b, c, and h shall not apply. The plastic markers shall not be coated with substances that interfere with the ability of the adhesive bonding to the marker.

**In Section 85-1.05 replace the 6th and 7th paragraphs with:**

**Testing**

Tests shall be performed in conformance with the requirements in California Test 669.

| Test Description   | Requirement  |        |      |
|--|--|--------|------|
| Bond strength <sup>a</sup>   | 3.4 MPa, min.  |        |      |
| Compressive strength <sup>b</sup>  | 8900 N, min.   |        |      |
| Abrasion resistance, marker must meet the respective specific intensity minimum requirements after abrasion. | Pass   |        |      |
| Water Soak Resistance  | No delamination of the body or lens system of the marker nor loss of reflectance |        |      |
| Reflectance  | Specific Intensity   |        |      |
|  | Clear  | Yellow | Red  |
| 0° Incidence Angle, min.   | 3.0  | 1.5    | 0.75 |
| 20° Incidence Angle, min.  | 1.2  | 0.60   | 0.30 |
| After one year field evaluation  | 0.30   | 0.15   | 0.08 |

- a. Failure of the marker body or filler material prior to reaching 3.4 MPa shall constitute a failing bond strength test.
- b. Deformation of the marker of more than 3 mm at a load of less than 8900 N or delamination of the shell and the filler material of more than 3 mm regardless of the load required to break the marker shall be cause for rejection of the markers as specified in Section 85-1.03, "Sampling, Tolerances and Packaging."

Pavement markers to be placed in pavement recesses shall conform to the above requirements for retroreflective pavement markers except that the minimum compressive strength requirement shall be 5338 N.



After each post, standard, and pedestal is in proper position, mortar shall be placed under the base plate as shown on the plans. The exposed portions shall be finished to present a neat appearance. Mortar shall conform to Section 51-1.135, "Mortar," except the mortar shall consist of one part by volume of cementitious material and 3 parts of clean sand.

Reinforced cast-in-drilled-hole concrete pile foundations shall conform to the provisions in Section 49, "Piling," with the following exceptions:

- A. Material resulting from drilling holes shall be disposed of in conformance with the provisions in Section 86-2.01, "Excavating and Backfilling,"
- B. Concrete for cast-in-drilled-hole concrete piles will not be considered as designated by compressive strength.

**In Section 86-2.03 replace the 7th paragraph with:**

Forms shall be true to line and grade. Tops of foundations for posts and standards, except special foundations, shall be finished to curb or sidewalk grade or as directed by the Engineer. Forms shall be rigid and securely braced in place. Conduit ends and anchor bolts shall be placed in proper position and to proper height, and anchor bolts shall be held in place by means of rigid top and bottom templates. The bottom template shall be made of steel. The bottom template shall provide proper spacing and alignment of the anchor bolts near their bottom embedded end. The bottom template shall be installed before placing footing concrete. Anchor bolts shall not be installed more than 1:40 from vertical.

**In Section 86-2.03, delete the 8th paragraph.**

**In Section 86-2.03 replace the 12th paragraph with:**

Plumbing of the standards shall be accomplished by adjusting the leveling nuts before placing the mortar or before the foundation is finished to final grade. Shims or other similar devices shall not be used for plumbing or raking of posts, standards, or pedestals. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made, firm contact shall exist between all bearing surfaces of the anchor bolt nuts, washers, and the base plates.

**In Section 86-2.04 replace the 1st and 2nd paragraphs with:**

Bolts, nuts and washers, and anchor bolts for use in signal and lighting support structures shall conform to the provisions in Section 55-2, "Materials." Except when bearing-type connections or slipbases are specified, high-strength bolted connections shall conform to the provisions in Section 55-3.14, "Bolted Connections." Welding, nondestructive testing (NDT) of welds, and acceptance and repair criteria for NDT of steel members shall conform to the requirements of AWS D1.1 and the special provisions.

On each lighting standard except Type 1, one rectangular corrosion resistant metal identification tag shall be permanently attached above the hand hole, near the base of the standard, using stainless steel rivets. On each signal pole support, two corrosion resistant metal identification tags shall be attached, one above the hand hole near the base of the vertical standard and one on the underside of the signal mast arm near the arm plate. As a minimum, the information on each identification tag shall include the name of the manufacturer, the date of manufacture, the identification number as shown on the plans, the contract number, and a unique identification code assigned by the fabricator. This number shall be traceable to a particular contract and the welds on that component, and shall be readable after the support structure is coated and installed. The lettering shall be a minimum of 7 mm high. The information may be either depressed or raised, and shall be legible.

**In Section 86-2.04 replace the 4th paragraph with:**

Ferrous metal parts of standards, with shaft length of 4.6 m and longer, shall conform to the details shown on the plans, the provisions in Section 55-2, "Materials," except as otherwise noted, and the following requirements:

- A. Except as otherwise specified, standards shall be fabricated from sheet steel of weldable grade having a minimum yield strength, after fabrication, of 276 MPa.
- B. Certified test reports which verify conformance to the minimum yield strength requirements shall be submitted to the Engineer. The test reports may be the mill test reports for the as-received steel or, when the as-received steel has a lower yield strength than required, the Contractor shall provide supportive test data which provides assurance that the Contractor's method of cold forming will consistently increase the tensile properties of the steel to meet the specified minimum yield strength. The supportive test data shall include tensile properties of the steel after cold forming for specific heats and thicknesses.
- C. When a single-ply 8-mm thick pole is specified, a 2-ply pole with equivalent section modulus may be substituted.
- D. Standards may be fabricated of full-length sheets or shorter sections. Each section shall be fabricated from not more than 2 pieces of sheet steel. Where 2 pieces are used, the longitudinal welded seams shall be directly opposite one

another. When the sections are butt-welded together, the longitudinal welded seams on adjacent sections shall be placed to form continuous straight seams from base to top of standard.

- E. Butt-welded circumferential joints of tubular sections requiring CJP groove welds shall be made using a metal sleeve backing ring inside each joint. The sleeve shall be 3-mm nominal thickness, or thicker, and manufactured from steel having the same chemical composition as the steel in the tubular sections to be joined. When the sections to be joined have different specified minimum yield strengths, the steel in the sleeve shall have the same chemical composition as the tubular section having the higher minimum yield strength. The width of the metal sleeve shall be consistent with the type of NDT chosen and shall be a minimum width of 25 mm. The sleeve shall be centered at the joint and be in contact with the tubular section at the point of the weld at time of fit-up.
- F. Welds shall be continuous.
- G. The weld metal at the transverse joint shall extend to the sleeve, making the sleeve an integral part of the joint.
- H. During fabrication, longitudinal seams on vertical tubular members of cantilevered support structures shall be centered on and along the side of the pole that the pole plate is located. Longitudinal seams on horizontal tubular members, including signal and luminaire arms, shall be within +/-45 degrees of the bottom of the arm.
- I. The longitudinal seam welds in steel tubular sections may be made by the electric resistance welding process.
- J. Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be CJP groove welds. In addition, longitudinal seam welds on lighting support structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.
- K. Exposed circumferential welds, except fillet and fatigue-resistant welds, shall be ground flush (-0, +2 mm) with the base metal prior to galvanizing or painting.
- L. Circumferential welds and base plate-to-pole welds may be repaired only one time without written permission from the Engineer.
- M. Exposed edges of the plates that make up the base assembly shall be finished smooth and exposed corners of the plates shall be broken unless otherwise shown on the plans. Shafts shall be provided with slip-fitter shaft caps.
- N. Flatness of surfaces of 1) base plates that are to come in contact with concrete, grout, or washers and leveling nuts; 2) plates in high-strength bolted connections; 3) plates in joints where cap screws are used to secure luminaire and signal arms; and 4) plates used for breakaway slip base assemblies shall conform to the requirements in ASTM A6.
- O. Standards shall be straight, with a permissive variation not to exceed 25 mm measured at the midpoint of a 9-m or 11-m standard and not to exceed 20 mm measured at the midpoint of a 5-m through 6-m standard. Variation shall not exceed 25 mm at a point 4.5 m above the base plate for Type 35 and Type 36 standards.
- P. Zinc-coated nuts used on fastener assemblies having a specified preload (obtained by specifying a prescribed tension, torque value, or degree of turn) shall be provided with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the zinc coating on the nut so that the presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.
- Q. No holes shall be made in structural members unless the holes are shown on the plans or are approved in writing by the Engineer.
- R. Standards with an outside diameter of 300 mm or less shall be round. Standards with an outside diameter greater than 300 mm shall be round or multisided. Multisided standards shall have a minimum of 12 sides which shall be convex and shall have a minimum bend radius of 100 mm.
- S. Mast arms for standards shall be fabricated from material as specified for standards, and shall conform to the dimensions shown on the plans.
- T. The cast steel option for slip bases shall be fabricated from material conforming to the requirements in ASTM Designation: A 27/A 27M, Grade 70-40. Other comparable material may be used if written permission is given by the Engineer. The casting tolerances shall be in conformance with the Steel Founder's Society of America recommendations (green sand molding).
- U. One casting from each lot of 50 castings or less shall be subject to radiographic inspection, in conformance with the requirements in ASTM Designation: E 94. The castings shall comply with the acceptance criteria severity level 3 or better for the types and categories of discontinuities in conformance with the requirements in ASTM Designations: E 186 and E 446. If the one casting fails to pass the inspection, 2 additional castings shall be radiographed. Both of these castings shall pass the inspection, or the entire lot of 50 will be rejected.
- V. Material certifications, consisting of physical and chemical properties, and radiographic films of the castings shall be filed at the manufacturer's office. These certifications and films shall be available for inspection upon request.
- W. High-strength bolts, nuts, and flat washers used to connect slip base plates shall conform to the requirements in ASTM Designation: A 325 or A 325M and shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."

- X. Plate washers shall be fabricated by saw cutting and drilling steel plate conforming to the requirements in AISI Designation: 1018, and be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." Prior to galvanizing, burrs and sharp edges shall be removed and holes shall be chamfered sufficiently on each side to allow the bolt head to make full contact with the washer without tension on the bolt.
- Y. High-strength cap screws shown on the plans for attaching arms to standards shall conform to the requirements in ASTM Designation: A 325, A 325M, or A 449, and shall comply with the mechanical requirements in ASTM Designation: A 325 or A 325M after galvanizing. The cap screws shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." The threads of the cap screws shall be coated with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the color of the zinc coating on the cap screw so that presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.
- Z. Unless otherwise specified, bolted connections attaching signal or luminaire arms to poles shall be considered slip critical. Galvanized faying surfaces on plates on luminaire and signal arms and matching plate surfaces on poles shall be roughened by hand using a wire brush prior to assembly and shall conform to the requirements for Class C surface conditions for slip-critical connections in "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts," a specification approved by the Research Council on Structural Connections (RCSC) of the Engineering Foundation. For faying surfaces required to be painted, the paint shall be an approved type, brand, and thickness that has been tested and approved according to the RCSC Specification as a Class B coating.
- AA. Samples of fastener components will be randomly taken from each production lot by the Engineer and submitted, along with test reports required by appropriate ASTM fastener specifications, for QA testing and evaluation. Sample sizes for each fastener component shall be as determined by the Engineer.

**In Section 86-2.04 replace the 7th paragraph with:**

To avoid interference of arm plate-to-tube welds with cap screw heads, and to ensure cap screw heads can be turned using conventional installation tools, fabricators shall make necessary adjustments to details prior to fabrication and properly locate the position of arm tubes on arm plates during fabrication.

**In Section 86-2.05C in the 18th paragraph, replace the 4th and 5th subparagraphs with:**

The conduit shall be placed in the bottom of the trench, and the trench shall be backfilled with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 350 kilograms of cementitious material per cubic meter. Concrete backfill shall be placed to the pavement surface except, when the trench is in hot mix asphalt pavement and additional pavement is not being placed, the top 30 mm of the trench shall be backfilled with hot mix asphalt produced from commercial quality paving asphalt and aggregates.

Prior to spreading hot mix asphalt, tack coat shall be applied in conformance with the provisions in Section 39, "Hot Mix Asphalt." Spreading and compacting of hot mix asphalt shall be performed by any method which will produce a hot mix asphalt surfacing of uniform smoothness, texture and density.

**In Section 86-2.05C in the 23rd paragraph, replace the 3rd subparagraph with:**

Precast concrete conduit cradles shall conform to the dimensions shown on the plans and shall be constructed of minor concrete and commercial quality welded wire fabric. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 350 kilograms of cementitious material per cubic meter. The cradles shall be moist cured for not less than 3 days.

**In Section 86-2.05C in the 23rd paragraph, replace the 7th subparagraph with:**

The space around conduits through bridge abutment walls shall be filled with mortar conforming to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

**In Section 86-2.07 replace the 5th paragraph with:**

Concrete placed around and under traffic pull boxes as shown on the plans shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete."

**In Section 86-2.08A in the 1st paragraph in the table, after the heading replace the 4th row with:**

|                    |                              |     |      |       |   |
|--------------------|------------------------------|-----|------|-------|---|
| Traffic Signal     | Ungrounded Circuit Conductor | Blk | None | CON-1 | 6 |
| Controller Cabinet | Grounded Circuit Conductor   | Wht | None | CON-2 | 6 |

**In Section 86-2.08B replace the 2nd paragraph with:**

At any point, the minimum insulation thickness of any Type USE, RHH, or RHW insulation shall be 1.0 mm for conductor sizes No. 14 to No. 10, inclusive; and 1.3 mm for No. 8 to No. 2, inclusive.

At any point, the minimum insulation thickness of any Type THW or TW wires shall be 0.7 mm for conductor sizes No. 14 to No. 10, inclusive; 1.0 mm for No. 8; and 1.4 mm for No. 6 to No. 2, inclusive.

**In Section 86-2.12 replace the 6 and 7th paragraphs with:**

After fabrication, wood poles shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWWPA Use Category System: UC4B, Commodity Specification D.

Wood poles, when specified in the special provisions to be painted, shall be treated with waterborne wood preservatives.

**In Section 86-2.15 replace the 1st paragraph with:**

Galvanizing shall be in conformance with the provisions in Section 75-1.05, "Galvanizing," except that cabinets may be constructed of material galvanized prior to fabrication in conformance with the requirements in ASTM Designation: A 653/653M, Coating Designation G 90, in which case all cut or damaged edges shall be painted with at least 2 applications of approved unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint." Aerosol cans shall not be used. Other types of protective coating must be approved by the Engineer prior to installation.

**In Section 86-2.16, in the 13th paragraph, replace item B with:**

- B. Salt Spray Resistance - The undercutting of the film of the coating system shall not exceed 3 mm average, from lines scored diagonally and deep enough to expose the base metal, after 336 hours exposure in a salt spray cabinet in conformance with the requirements in ASTM Designation: B 117.

**In Section 86-4.01 replace the 1st paragraph with:**

Each vehicle signal face shall be of the adjustable type conforming to the requirements in Institute of Transportation Engineers (ITE) Publication: ST-017B, "Vehicle Traffic Control Signal Heads."

**In Section 86-4.01A in the 1st paragraph, replace the 1st and 3rd subparagraphs with:**

Lenses, reflectors, reflector assemblies, lamp receptacles, lamps, wiring and light distribution shall conform to the requirements in ITE Publication: ST-017B.

All reflectors shall conform to the requirements in ITE Publication: ST-017B except that reflectors shall be made of silvered glass or of specular aluminum with an anodic coating. Reflector ring holder shall be made of cast aluminum.

**In Section 86-4.01B replace the 1st paragraph with:**

Each signal section housing shall be either die-cast or permanent mold-cast aluminum conforming to ITE Publication: ST-017B or, when specified in the special provisions, shall be structural plastic.

**In Section 86-4.01C replace the 1st paragraph with:**

Lamp receptacles and wiring shall conform to ITE Publication: ST-017B. The metal portion of the medium base lamp socket shall be brass, copper or phosphor bronze.

**In Section 86-4.01D replace the 1st paragraph with:**

Each signal section shall be provided with a removable visor conforming to the requirements in ITE Publication: ST-017B. Visors are classified, on the basis of lens enclosure, as full circle, tunnel (bottom open), or cap (bottom and lower sides open). Unless otherwise specified, visors shall be the tunnel type.

**In Section 86-4.02A replace the 1st paragraph with:**

Light emitting diode signal modules shall be designed as retrofit replacements for optical units of standard traffic signal sections and shall not require special tools for installation. Light emitting diode signal modules shall fit into existing traffic signal section housings built in conformance with the requirements in the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" without modification to the housing.

**In Section 86-4.02A replace the 7th paragraph with:**

Light emitting diode signal modules shall be protected against dust and moisture intrusion in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures to protect the internal components.

**In Section 86-4.02B replace the 1st paragraph with:**

The minimum initial luminous intensity values for light emitting diode signal modules shall conform to the requirements in Section 11.04 of the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" at 25°C.

**In Section 86-4.02C replace the 3rd paragraph with:**

The light emitting diode signal module on-board circuitry shall include voltage surge protection to withstand high-repetition noise transients as specified in Section 2.1.6 of NEMA Standard TS2.

**In Section 86-4.02D(1), in the 4th paragraph, replace the 7th subparagraph with:**

Moisture resistance testing shall be performed on light emitting diode signal modules in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

**In Section 86-4.05 replace the 2nd paragraph with:**

Each programmed visibility signal section shall provide a nominal 300-mm diameter circular or arrow indication. Color and arrow configuration shall conform to the requirements in ITE Publication: ST-017B.

**In Section 86-4.06 replace the 1st paragraph with:**

Message symbols for pedestrian signal faces shall be white WALKING PERSON and Portland orange UPRaised HAND conforming to the requirements in the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

**In Section 86-4.06(A) in the 1st paragraph, replace the 3rd subparagraph with:**

Each reflector assembly shall consist of a double reflector or 2 single reflectors. Each reflector shall be made of either aluminum or plastic. Reflectors shall conform to the requirements in Institute of Transportation Engineers Publication: ST-017B, "Vehicle Traffic Control Signal Heads." Plastic reflectors shall consist of molded or vacuum-formed plastic with a vacuum-deposited aluminum reflecting surface. The plastic material shall not distort when the reflector is used with the lamp of the wattage normally furnished with the signal. In addition, the UL nonmechanical loading temperature of the material shall exceed, by at least 10°C, the maximum temperature in the signal section with the lamp "ON" and measured in an ambient air temperature of

25°C in conformance with the requirements in UL Publication UL 746B. Each completed reflector shall, when operated with the appropriate lamp and lens, provide the message brightness specified.

**In Section 86-4.07 replace the 10th paragraph with:**

The luminance of the "UPRAISED HAND" symbol shall be 3750 cd/m<sup>2</sup> minimum. The color of "UPRAISED HAND" shall be Portland orange conforming to the requirements of the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

**In Section 86-4.07C replace the 2nd paragraph with:**

On-board circuitry of the light emitting diode pedestrian signal modules shall include voltage surge protection to withstand high-repetition noise transients as stated in Section 2.1.6 of NEMA Standard TS2.

**In Section 86-4.07D(1) replace the 2nd paragraph with:**

A quantity of 2 units for each design shall be submitted for Design Qualification Testing. Test units shall be submitted to the Transportation Laboratory, after manufacturer's testing is complete.

**In Section 86-4.07D(1) in the 4th paragraph, replace the 5th and 7th subparagraphs with:**

Mechanical vibration testing shall be in conformance with the requirements in Military Specification MIL-STD-883, Test Method 2007, using three 4-minute cycles along each x, y and z axis, at a force of 2.5 Gs, with a frequency sweep from 2 Hz to 120 Hz. The loosening of the lens or of internal components, or other physical damage shall be cause for rejection.

Moisture resistance testing shall be performed on modules mounted in a standard pedestrian signal housing in conformance to the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

**In Section 86-5.07A(5) in Section "Elastomeric Sealant" in the 1st paragraph, replace the 2nd sentence with:**

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

**In Section 86-5.07A(5) in Section "Asphatic Emulsion Sealant" in the 1st paragraph, replace the 1st sentence with:**

Asphatic emulsion sealant shall conform to the requirements in State Specification 8040-41A-15 and shall be used only for filling slots in hot mix asphalt pavement.

**In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 1st paragraph, replace the 3rd sentence with:**

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

**In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 2nd paragraph in the table, after the heading replace rows 1 through 3 with:**

|                                    |                 |              |
|------------------------------------|-----------------|--------------|
| Cone Penetration, 25°C, 150 g, 5 s | D 5329, Sec. 6  | 3.5 mm, max. |
| Flow, 60°C                         | D 5329, Sec. 8  | 5 mm, max.   |
| Resilience, 25°C                   | D 5329, Sec. 12 | 25%, min.    |

**In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant", replace the 10th paragraph with:**

If hot mix asphalt surfacing is to be placed, the loop conductors shall be installed prior to placing the uppermost layer of hot mix asphalt. The conductors shall be installed, as shown on the plans, in the compacted layer of hot mix asphalt immediately below the uppermost layer. Installation details shall be as shown on the plans, except the sealant shall fill the slot flush to the surface.





Concrete designated by compressive strength shall be proportioned such that the concrete will attain the strength shown on the plans or specified in the special provisions.

Before using concrete for which the mix proportions have been determined by the Contractor, or in advance of revising those mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design.

Compliance with cementitious material content requirements will be verified in conformance with procedures described in California Test 518 for cement content. For testing purposes, supplementary cementitious material shall be considered to be cement. Batch proportions shall be adjusted as necessary to produce concrete having the specified cementitious material content.

If any concrete has a cementitious material, portland cement, or supplementary cementitious material content that is less than the minimum required, the concrete shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State \$0.55 for each kilogram of cementitious material, portland cement, or supplementary cementitious material that is less than the minimum required. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract. The deductions will not be made unless the difference between the contents required and those actually provided exceeds the batching tolerances permitted by Section 90-5, "Proportioning." No deductions will be made based on the results of California Test 518.

The requirements of the preceding paragraph shall not apply to minor concrete or commercial quality concrete.

## **90-2 MATERIALS**

### **90-2.01 CEMENTITIOUS MATERIALS**

Unless otherwise specified, cementitious material shall be either a combination of Type II or Type V portland cement and a supplementary cementitious material, or a blended cement.

Cementitious materials used in cast-in-place concrete for exposed surfaces of like elements of a structure shall be from the same sources and of the same proportions.

Cementitious materials shall be protected from moisture until used. Sacked cementitious materials shall be piled to permit access for tallying, inspecting, and identifying each shipment.

Facilities shall be provided to ensure that cementitious materials meeting this Section 90-2.01 are kept separate from other cementitious materials. Sampling cementitious materials shall be in conformance with California Test 125.

The Contractor shall furnish a Certificate of Compliance for cementitious materials in conformance with the provisions in Section 6-1.07, "Certificates of Compliance." The Certificate of Compliance shall indicate the source by name and location (including country, state, and city). If cementitious material is delivered directly to the job site, the Certificate of Compliance shall be signed by the cementitious material supplier. If the cementitious material is used in ready-mixed concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product.

#### **90-2.01A CEMENT**

Portland cement shall conform to the requirements in ASTM Designation: C 150 except, using a 10-sample moving average, limestone shall not exceed 2.5 percent. The  $C_3S$  content of Type II cement shall not exceed 65 percent.

Blended cement shall conform to the requirements for Portland Blast-Furnace Slag, Cement Type IS (MS) or Portland-Pozzolan Cement, Type IP (MS) in AASHTO Designation: M 240 and shall be comprised of an intimate and uniform blend of Type II or Type V cement and supplementary cementitious material in an amount conforming to the requirements in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials."

In addition, blended cement, Type II portland cement, and Type V portland cement shall conform to the following requirements:

- A. The cement shall not contain more than 0.60-percent by mass of alkalis, calculated as the percentage of  $Na_2O$  plus 0.658 times the percentage of  $K_2O$ , when determined by methods as required in AASHTO Designation: T 105;
- B. The autoclave expansion shall not exceed 0.50-percent; and
- C. Mortar, containing the cement to be used and Ottawa sand, when tested in conformance with California Test 527, shall not expand in water more than 0.010-percent and shall not contract in air more than 0.048-percent, except that when cement is to be used for precast prestressed concrete piling, precast prestressed concrete members, or steam cured concrete products, the mortar shall not contract in air more than 0.053-percent.

Type III portland cement shall be used only as specified in the special provisions or with the approval of the Engineer. Type III portland cement shall conform to the additional requirements listed above for Type II portland cement, except when tested in conformance with California Test 527, mortar containing Type III portland cement shall not contract in air more than 0.075-percent.

### **90-2.01B SUPPLEMENTARY CEMENTITIOUS MATERIALS (SCM)**

Fly ash shall conform to the requirements in AASHTO Designation: M 295, Class F, and the following:

- A. Calcium oxide content shall not exceed 10 percent.
- B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.
- C. Commingling of fly ash from different sources at uncontrolled ratios is permissible only if the following criteria are satisfied:
  1. Sources of fly ash to be commingled shall be on the approved list of materials for use in concrete.
  2. Testing of the commingled product is the responsibility of the fly ash supplier.
  3. Each fly ash's running average of density shall not differ from any other by more than  $0.25\text{g/cm}^3$  at the time of commingling.
  4. Each fly ash's running average of loss on ignition shall not differ from any other by more than one percent at the time of commingling.
  5. The final product of commingled fly ash shall conform to the requirement in AASHTO Designation: M 295.

Raw or calcined natural pozzolans shall conform to the requirements in AASHTO Designation: M 295, Class N and the following requirements:

- A. Calcium oxide content shall not exceed 10 percent.
- B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.

Ground Granulated Blast Furnace Slag (GGBFS) shall conform to the requirements in AASHTO Designation: M 302, Grade 100 or Grade 120.

Silica Fume shall conform to the requirements of AASHTO Designation: M 307 with reduction in mortar expansion of 80 percent, minimum, using the cement from the proposed mix design.

### **90-2.01C REQUIRED USE OF SUPPLEMENTARY CEMENTITIOUS MATERIALS**

The amount of portland cement and SCM used in portland cement concrete shall conform to the minimum cementitious material content provisions in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," and the following:

- A. If a blended cement conforming to the provisions in Section 90-2.01A, "Cement," is used, the minimum amount of SCM incorporated into the cement shall conform to the provisions in this Section 90-2.01C.
- B. Fly ash or natural pozzolan, silica fume, or GGBFS shall not be used with Type IP or Type IS cements.

Use of SCMs shall conform to the following:

- A. If fly ash or natural pozzolan is used:
  1. The minimum amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
  2. The minimum amount of fly ash or natural pozzolan shall be:
    - a. Fifteen percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is equal to or less than 2 percent by mass;
    - b. Twenty-five percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is greater than 2 percent by mass.
- B. The total amount of fly ash or natural pozzolan shall not exceed 35 percent by mass of the total amount of cementitious material to be used in the mix. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and fly ash or natural pozzolan per cubic meter shall not exceed the specified maximum cementitious material content.
- C. If silica fume is used:

1. The amount of silica fume shall not be less than 10 percent by mass of the total amount of cementitious material.
2. The amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
3. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and silica fume per cubic meter shall not exceed the specified maximum cementitious material content.

D. If GGBFS is used:

1. The minimum amount of GGBFS shall be either:
  - a. Forty percent of the total cementitious material to be used, if the aggregates used in the concrete are on the Department's list of "Approved Aggregates For Use in Concrete with Reduced Fly Ash."
  - b. No less than 50 percent.
2. The amount of GGBFS shall not exceed 60 percent by mass of the total amount of cementitious materials to be used.

## **90-2.02 AGGREGATES**

Aggregates shall be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

The Contractor shall provide safe and suitable facilities, including necessary splitting devices for obtaining samples of aggregates, in conformance with California Test 125.

Aggregates shall be of such character that it will be possible to produce workable concrete within the limits of water content provided in Section 90-6.06, "Amount of Water and Penetration."

Aggregates shall have not more than 10 percent loss when tested for soundness in conformance with the requirements in California Test 214. The soundness requirement for fine aggregate will be waived, provided that the durability index,  $D_f$ , of the fine aggregate is 60 or greater when tested for durability in conformance with California Test 229.

If the results of any one or more of the Cleanness Value, Sand Equivalent, or aggregate grading tests do not meet the requirements specified for "Operating Range" but all meet the "Contract Compliance" requirements, the placement of concrete shall be suspended at the completion of the current pour until tests or other information indicate that the next material to be used in the work will comply with the requirements specified for "Operating Range."

If the results of either or both the Cleanness Value and coarse aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State \$4.60 per cubic meter for paving concrete and \$7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

If the results of either or both the Sand Equivalent and fine aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State \$4.60 per cubic meter for paving concrete and \$7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

The 2 preceding paragraphs apply individually to the "Contract Compliance" requirements for coarse aggregate and fine aggregate. When both coarse aggregate and fine aggregate do not conform to the "Contract Compliance" requirements, both paragraphs shall apply. The payments specified in those paragraphs are in addition to any payments made in conformance with the provisions in Section 90-1.01, "Description."

No single Cleanness Value, Sand Equivalent, or aggregate grading test shall represent more than 250 m<sup>3</sup> of concrete or one day's pour, whichever is smaller.

When the source of an aggregate is changed, the Contractor shall adjust the mix proportions and submit in writing to the Engineer a copy of the mix design before using the aggregates.

### **90-2.02A COARSE AGGREGATE**

Coarse aggregate shall consist of gravel, crushed gravel, crushed rock, reclaimed aggregate, crushed air-cooled iron blast furnace slag or combinations thereof. Crushed air-cooled blast furnace slag shall not be used in reinforced or prestressed concrete.

Reclaimed aggregate is aggregate that has been recovered from plastic concrete by washing away the cementitious material. Reclaimed aggregate shall conform to all aggregate requirements.

Coarse aggregate shall conform to the following quality requirements:

| Tests   | California Test | Requirements |
|---|-----------------|--------------|
| Loss in Los Angeles Rattler (after 500 revolutions) | 211             | 45% max.     |
| Cleanness Value                                     |                 |              |
| Operating Range                                     | 227             | 75 min.      |
| Contract Compliance                                 | 227             | 71 min.      |

In lieu of the above Cleanness Value requirements, a Cleanness Value "Operating Range" limit of 71, minimum, and a Cleanness Value "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the coarse aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

- A. Coarse aggregate sampled at the completion of processing at the aggregate production plant had a Cleanness Value of not less than 82 when tested in conformance with the requirements in California Test 227; and
- B. Prequalification tests performed in conformance with the requirements in California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

**90-2.02B FINE AGGREGATE**

Fine aggregate shall consist of natural sand, manufactured sand produced from larger aggregate or a combination thereof. Manufactured sand shall be well graded.

Fine aggregate shall conform to the following quality requirements:

| Test                                     | California Test | Requirements              |
|--|-----------------|---------------------------|
| Organic Impurities                       | 213             | Satisfactory <sup>a</sup> |
| Mortar Strengths Relative to Ottawa Sand | 515             | 95%, min.                 |
| Sand Equivalent:                         |                 |                           |
| Operating Range                          | 217             | 75, min.                  |
| Contract Compliance                      | 217             | 71, min.                  |

- a Fine aggregate developing a color darker than the reference standard color solution may be accepted if it is determined by the Engineer, from mortar strength tests, that a darker color is acceptable.

In lieu of the above Sand Equivalent requirements, a Sand Equivalent "Operating Range" limit of 71, minimum, and a Sand Equivalent "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the fine aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

- A. Fine aggregate sampled at the completion of processing at the aggregate production plant had a Sand Equivalent value of not less than 82 when tested by California Test 217; and
- B. Prequalification tests performed in conformance with California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

**90-2.03 WATER**

In conventionally reinforced concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 1000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as SO<sub>4</sub>, when tested in conformance with California Test 417. In prestressed concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 650 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as SO<sub>4</sub>, when tested in conformance with California Test 417. In

no case shall the water contain an amount of impurities that will cause either: 1) a change in the setting time of cement of more than 25 percent when tested in conformance with the requirements in ASTM Designation: C 191 or ASTM Designation: C 266 or 2) a reduction in the compressive strength of mortar at 14 days of more than 5 percent, when tested in conformance with the requirements in ASTM Designation: C 109, when compared to the results obtained with distilled water or deionized water, tested in conformance with the requirements in ASTM Designation: C 109.

In nonreinforced concrete work, the water for curing, for washing aggregates and for mixing shall be free from oil and shall not contain more than 2000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, or more than 1500 parts per million of sulfates as SO<sub>4</sub>, when tested in conformance with California Test 417.

In addition to the above provisions, water for curing concrete shall not contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water reclaimed from mixer wash-out operations may be used in mixing concrete. The water shall not contain coloring agents or more than 300 parts per million of alkalis (Na<sub>2</sub>O + 0.658 K<sub>2</sub>O) as determined on the filtrate. The specific gravity of the water shall not exceed 1.03 and shall not vary more than ±0.010 during a day's operations.

**90-2.04 ADMIXTURE MATERIALS**

Admixture materials shall conform to the requirements in the following ASTM Designations:

- A. Chemical Admixtures—ASTM Designation: C 494.
- B. Air-entraining Admixtures—ASTM Designation: C 260.

**90-3 AGGREGATE GRADINGS**

**90-3.01 GENERAL**

Before beginning concrete work, the Contractor shall submit in writing to the Engineer the gradation of the primary aggregate nominal sizes that the Contractor proposes to furnish. If a primary coarse aggregate or the fine aggregate is separated into 2 or more sizes, the proposed gradation shall consist of the gradation for each individual size, and the proposed proportions of each individual size, combined mathematically to indicate one proposed gradation. The proposed gradation shall meet the grading requirements shown in the table in this section, and shall show the percentage passing each of the sieve sizes used in determining the end result.

The Engineer may waive, in writing, the gradation requirements in this Section 90-3.01 and in Sections 90-3.02, "Coarse Aggregate Grading," 90-3.03, "Fine Aggregate Grading," and 90-3.04, "Combined Aggregate Gradings," if, in the Engineer's opinion, furnishing the gradation is not necessary for the type or amount of concrete work to be constructed.

Gradations proposed by the Contractor shall be within the following percentage passing limits:

| Primary Aggregate Nominal Size | Sieve Size | Limits of Proposed Gradation |
|--------------------------------|------------|------------------------------|
| 37.5-mm x 19-mm                | 25-mm      | 19 - 41                      |
| 25-mm x 4.75-mm                | 19-mm      | 52 - 85                      |
| 25-mm x 4.75-mm                | 9.5-mm     | 15 - 38                      |
| 12.5-mm x 4.75-mm              | 9.5-mm     | 40 - 78                      |
| 9.5-mm x 2.36-mm               | 9.5-mm     | 50 - 85                      |
| Fine Aggregate                 | 1.18-mm    | 55 - 75                      |
| Fine Aggregate                 | 600-µm     | 34 - 46                      |
| Fine Aggregate                 | 300-µm     | 16 - 29                      |

Should the Contractor change the source of supply, the Contractor shall submit in writing to the Engineer the new gradations before their intended use.

**90-3.02 COARSE AGGREGATE GRADING**

The grading requirements for coarse aggregates are shown in the following table for each size of coarse aggregate:

| Sieve Sizes | Percentage Passing Primary Aggregate Nominal Sizes |                     |                 |                     |                   |                     |                  |                     |
|-------------|--|---------------------|-----------------|---------------------|-------------------|---------------------|------------------|---------------------|
|             | 37.5-mm x 19-mm                                    |                     | 25-mm x 4.75-mm |                     | 12.5-mm x 4.75-mm |                     | 9.5-mm x 2.36-mm |                     |
|             | Operating Range                                    | Contract Compliance | Operating Range | Contract Compliance | Operating Range   | Contract Compliance | Operating Range  | Contract Compliance |
| 50-mm       | 100  | 100                 | —               | —                   | —                 | —                   | —                | —                   |
| 37.5-mm     | 88 - 100   | 85 - 100            | 100             | 100                 | —                 | —                   | —                | —                   |
| 25-mm       | X ±18  | X ±25               | 88 - 100        | 86 - 100            | —                 | —                   | —                | —                   |
| 19-mm       | 0 - 17   | 0 - 20              | X ±15           | X ±22               | 100               | 100                 | —                | —                   |
| 12.5-mm     | —  | —                   | —               | —                   | 82 - 100          | 80 - 100            | 100              | 100                 |
| 9.5-mm      | 0 - 7  | 0 - 9               | X ±15           | X ±22               | X ±15             | X ±22               | X ±15            | X ±20               |
| 4.75-mm     | —  | —                   | 0 - 16          | 0 - 18              | 0 - 15            | 0 - 18              | 0 - 25           | 0 - 28              |
| 2.36-mm     | —  | —                   | 0 - 6           | 0 - 7               | 0 - 6             | 0 - 7               | 0 - 6            | 0 - 7               |

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

Coarse aggregate for the 37.5-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," shall be furnished in 2 or more primary aggregate nominal sizes. Each primary aggregate nominal size may be separated into 2 sizes and stored separately, provided that the combined material conforms to the grading requirements for that particular primary aggregate nominal size.

When the 25-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," is to be used, the coarse aggregate may be separated into 2 sizes and stored separately, provided that the combined material shall conform to the grading requirements for the 25-mm x 4.75-mm primary aggregate nominal size.

### 90-3.03 FINE AGGREGATE GRADING

Fine aggregate shall be graded within the following limits:

| Sieve Sizes | Percentage Passing |                     |
|-------------|--------------------|---------------------|
|             | Operating Range    | Contract Compliance |
| 9.5-mm      | 100                | 100                 |
| 4.75-mm     | 95 - 100           | 93 - 100            |
| 2.36-mm     | 65 - 95            | 61 - 99             |
| 1.18-mm     | X ±10              | X ±13               |
| 600-µm      | X ±9               | X ±12               |
| 300-µm      | X ±6               | X ±9                |
| 150-µm      | 2 - 12             | 1 - 15              |
| 75-µm       | 0 - 8              | 0 - 10              |

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

In addition to the above required grading analysis, the distribution of the fine aggregate sizes shall be such that the difference between the total percentage passing the 1.18-mm sieve and the total percentage passing the 600-µm sieve shall be between 10 and 40, and the difference between the percentage passing the 600-µm and 300-µm sieves shall be between 10 and 40.

Fine aggregate may be separated into 2 or more sizes and stored separately, provided that the combined material conforms to the grading requirements specified in this Section 90-3.03.

### 90-3.04 COMBINED AGGREGATE GRADINGS

Combined aggregate grading limits shall be used only for the design of concrete mixes. Concrete mixes shall be designed so that aggregates are combined in proportions that shall produce a mixture within the grading limits for combined aggregates as specified herein.

The combined aggregate grading, except when otherwise specified in these specifications or the special provisions, shall be either the 37.5-mm, maximum grading, or the 25-mm, maximum grading, at the option of the Contractor.

**Grading Limits of Combined Aggregates**

| Sieve Sizes | Percentage Passing |            |              |             |
|-------------|--------------------|------------|--------------|-------------|
|             | 37.5-mm Max.       | 25-mm Max. | 12.5-mm Max. | 9.5-mm Max. |
| 50-mm       | 100                | —          | —            | —           |
| 37.5-mm     | 90 - 100           | 100        | —            | —           |
| 25-mm       | 50 - 86            | 90 - 100   | —            | —           |
| 19-mm       | 45 - 75            | 55 - 100   | 100          | —           |
| 12.5-mm     | —                  | —          | 90-100       | 100         |
| 9.5-mm      | 38 - 55            | 45 - 75    | 55 - 86      | 50 - 100    |
| 4.75-mm     | 30 - 45            | 35 - 60    | 45 - 63      | 45 - 63     |
| 2.36-mm     | 23 - 38            | 27 - 45    | 35 - 49      | 35 - 49     |
| 1.18-mm     | 17 - 33            | 20 - 35    | 25 - 37      | 25 - 37     |
| 600-µm      | 10 - 22            | 12 - 25    | 15 - 25      | 15 - 25     |
| 300-µm      | 4 - 10             | 5 - 15     | 5 - 15       | 5 - 15      |
| 150-µm      | 1 - 6              | 1 - 8      | 1 - 8        | 1 - 8       |
| 75-µm       | 0 - 3              | 0 - 4      | 0 - 4        | 0 - 4       |

Changes from one grading to another shall not be made during the progress of the work unless permitted by the Engineer.

**90-4 ADMIXTURES**

**90-4.01 GENERAL**

Admixtures used in portland cement concrete shall conform to and be used in conformance with the provisions in this Section 90-4 and the special provisions. Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option as provided herein.

Chemical admixtures and air-entraining admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined by California Test 415, shall not be used.

Admixtures shall be uniform in properties throughout their use in the work. Should it be found that an admixture as furnished is not uniform in properties, its use shall be discontinued.

If more than one admixture is used, the admixtures shall be compatible with each other so that the desirable effects of all admixtures used will be realized.

Chemical admixtures shall be used in conformance with the manufacturer's written recommendations.

**90-4.02 MATERIALS**

Admixture materials shall conform to the provisions in Section 90-2.04, "Admixture Materials."

**90-4.03 ADMIXTURE APPROVAL**

No admixture brand shall be used in the work unless it is on the Department's current list of approved brands for the type of admixture involved.

Admixture brands will be considered for addition to the approved list if the manufacturer of the admixture submits to the Transportation Laboratory a sample of the admixture accompanied by certified test results demonstrating that the admixture complies with the requirements in the appropriate ASTM Designation and these specifications. The sample shall be sufficient to permit performance of all required tests. Approval of admixture brands will be dependent upon a determination as to compliance with the requirements, based on the certified test results submitted, together with tests the Department may elect to perform.

If the Contractor proposes to use an admixture of a brand and type on the current list of approved admixture brands, the Contractor shall furnish a Certificate of Compliance from the manufacturer, as provided in Section 6-1.07, "Certificates of Compliance," certifying that the admixture furnished is the same as that previously approved. If a previously approved admixture is not accompanied by a Certificate of Compliance, the admixture shall not be used in the work until the Engineer has had sufficient time to make the appropriate tests and has approved the admixture for use. The Engineer may take samples for testing at any time, whether or not the admixture has been accompanied by a Certificate of Compliance.

**90-4.04 REQUIRED USE OF CHEMICAL ADMIXTURES**

If the use of a chemical admixture is specified, the admixture shall be used at the dosage specified, except that if no dosage is specified, the admixture shall be used at the dosage normally recommended by the manufacturer of the admixture.

#### **90-4.05 OPTIONAL USE OF CHEMICAL ADMIXTURES**

The Contractor may use Type A or F, water-reducing; Type B, retarding; or Type D or G, water-reducing and retarding admixtures as described in ASTM Designation: C 494 to conserve cementitious material or to facilitate any concrete construction application subject to the following conditions:

- A. If a water-reducing admixture or a water-reducing and retarding admixture is used, the cementitious material content specified or ordered may be reduced by a maximum of 5 percent by mass, except that the resultant cementitious material content shall be not less than 300 kilograms per cubic meter; and
- B. When a reduction in cementitious material content is made, the dosage of admixture used shall be the dosage used in determining approval of the admixture.

Unless otherwise specified, a Type C accelerating chemical admixture conforming to the requirements in ASTM Designation: C 494, may be used in portland cement concrete. Inclusion in the mix design submitted for approval will not be required provided that the admixture is added to counteract changing conditions that contribute to delayed setting of the portland cement concrete, and the use or change in dosage of the admixture is approved in writing by the Engineer.

#### **90-4.06 REQUIRED USE OF AIR-ENTRAINING ADMIXTURES**

When air-entrainment is specified or ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce a concrete having the specified air content as determined by California Test 504.

#### **90-4.07 OPTIONAL USE OF AIR-ENTRAINING ADMIXTURES**

When air-entrainment has not been specified or ordered by the Engineer, the Contractor will be permitted to use an air-entraining admixture to facilitate the use of any construction procedure or equipment provided that the average air content, as determined by California Test 504, of 3 successive tests does not exceed 4 percent, and no single test value exceeds 5.5 percent. If the Contractor elects to use an air-entraining admixture in concrete for pavement, the Contractor shall so indicate at the time the Contractor designates the source of aggregate.

#### **90-4.08 BLANK**

#### **90-4.09 BLANK**

#### **90-4.10 PROPORTIONING AND DISPENSING LIQUID ADMIXTURES**

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers for liquid admixtures shall have sufficient capacity to measure at one time the prescribed quantity required for each batch of concrete. Each dispenser shall include a graduated measuring unit into which liquid admixtures are measured to within  $\pm 5$  percent of the prescribed quantity for each batch. Dispensers shall be located and maintained so that the graduations can be accurately read from the point at which proportioning operations are controlled to permit a visual check of batching accuracy prior to discharge. Each measuring unit shall be clearly marked for the type and quantity of admixture.

Each liquid admixture dispensing system shall be equipped with a sampling device consisting of a valve located in a safe and readily accessible position such that a sample of the admixture may be withdrawn slowly by the Engineer.

If more than one liquid admixture is used in the concrete mix, each liquid admixture shall have a separate measuring unit and shall be dispensed by injecting equipment located in such a manner that the admixtures are not mixed at high concentrations and do not interfere with the effectiveness of each other. When air-entraining admixtures are used in conjunction with other liquid admixtures, the air-entraining admixture shall be the first to be incorporated into the mix, unless it is demonstrated that a different sequence improves performance.

When automatic proportioning devices are required for concrete pavement, dispensers for liquid admixtures shall operate automatically with the batching control equipment. The dispensers shall be equipped with an automatic warning system in good operating condition that will provide a visible or audible signal at the point at which proportioning operations are controlled when the quantity of admixture measured for each batch of concrete varies from the preselected dosage by more than 5 percent, or when the entire contents of the measuring unit are not emptied from the dispenser into each batch of concrete.

Unless liquid admixtures are added to premeasured water for the batch, their discharge into the batch shall be arranged to flow into the stream of water so that the admixtures are well dispersed throughout the batch, except that air-entraining admixtures may be dispensed directly into moist sand in the batching bins provided that adequate control of the air content of the concrete can be maintained.

Liquid admixtures requiring dosages greater than  $2.5 \text{ L/m}^3$  shall be considered to be water when determining the total amount of free water as specified in Section 90-6.06, "Amount of Water and Penetration."

## 90-4.11 BLANK

### 90-5 PROPORTIONING

#### 90-5.01 STORAGE OF AGGREGATES

Aggregates shall be stored or stockpiled in such a manner that separation of coarse and fine particles of each size shall be avoided and the various sizes shall not become intermixed before proportioning.

Aggregates shall be stored or stockpiled and handled in a manner that prevent contamination by foreign materials. In addition, storage of aggregates at batching or mixing facilities that are erected subsequent to the award of the contract and that furnish concrete to the project shall conform to the following:

- A. Intermingling of the different sizes of aggregates shall be positively prevented. The Contractor shall take the necessary measures to prevent intermingling. The preventive measures may include, but are not necessarily limited to, physical separation of stockpiles or construction of bulkheads of adequate length and height; and
- B. Contamination of aggregates by contact with the ground shall be positively prevented. The Contractor shall take the necessary measures to prevent contamination. The preventive measures shall include, but are not necessarily limited to, placing aggregates on wooden platforms or on hardened surfaces consisting of portland cement concrete, asphalt concrete, or cement treated material.

In placing aggregates in storage or in moving the aggregates from storage to the weigh hopper of the batching plant, any method that may cause segregation, degradation, or the combining of materials of different gradings that will result in any size of aggregate at the weigh hopper failing to meet the grading requirements shall be discontinued. Any method of handling aggregates that results in excessive breakage of particles shall be discontinued. The use of suitable devices to reduce impact of falling aggregates may be required by the Engineer.

#### 90-5.02 PROPORTIONING DEVICES

Weighing, measuring, or metering devices used for proportioning materials shall conform to the requirements in Section 9-1.01, "Measurement of Quantities," and this Section 90-5.02. In addition, automatic weighing systems shall comply with the requirements for automatic proportioning devices in Section 90-5.03A, "Proportioning for Pavement." Automatic devices shall be automatic to the extent that the only manual operation required for proportioning the aggregates, cement, and supplementary cementitious material for one batch of concrete is a single operation of a switch or starter.

Proportioning devices shall be tested as frequently as the Engineer may deem necessary to ensure their accuracy.

Weighing equipment shall be insulated against vibration or movement of other operating equipment in the plant. When the plant is in operation, the mass of each batch of material shall not vary from the mass designated by the Engineer by more than the tolerances specified herein.

Equipment for cumulative weighing of aggregate shall have a zero tolerance of  $\pm 0.5$  percent of the designated total batch mass of the aggregate. For systems with individual weigh hoppers for the various sizes of aggregate, the zero tolerance shall be  $\pm 0.5$  percent of the individual batch mass designated for each size of aggregate. Equipment for cumulative weighing of cement and supplementary cementitious material shall have a zero tolerance of  $\pm 0.5$  percent of the designated total batch mass of the cement and supplementary cementitious material. Equipment for weighing cement or supplementary cementitious material separately shall have a zero tolerance of  $\pm 0.5$  percent of their designated individual batch masses. Equipment for measuring water shall have a zero tolerance of  $\pm 0.5$  percent of its designated mass or volume.

The mass indicated for any batch of material shall not vary from the preselected scale setting by more than the following:

- A. Aggregate weighed cumulatively shall be within 1.0 percent of the designated total batch mass of the aggregate. Aggregates weighed individually shall be within 1.5 percent of their respective designated batch masses; and
- B. Cement shall be 99 to 102 percent of its designated batch mass. When weighed individually, supplementary cementitious material shall be 99 to 102 percent of its designated batch mass. When supplementary cementitious material and cement are permitted to be weighed cumulatively, cement shall be weighed first to 99 to 102 percent of its designated batch mass, and the total for cement and supplementary cementitious material shall be 99 to 102 percent of the sum of their designated batch masses; and
- C. Water shall be within 1.5 percent of its designated mass or volume.

Each scale graduation shall be approximately 0.001 of the total capacity of the scale. The capacity of scales for weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregates shall not exceed that of commercially available scales having single graduations indicating a mass not exceeding the maximum

permissible mass variation above, except that no scale shall be required having a capacity of less than 500 kg, with 0.5-kg graduations.

### **90-5.03 PROPORTIONING**

Proportioning shall consist of dividing the aggregates into the specified sizes, each stored in a separate bin, and combining them with cementitious material and water as provided in these specifications. Aggregates shall be proportioned by mass.

At the time of batching, aggregates shall have been dried or drained sufficiently to result in a stable moisture content such that no visible separation of water from aggregate will take place during transportation from the proportioning plant to the point of mixing. In no event shall the free moisture content of the fine aggregate at the time of batching exceed 8 percent of its saturated, surface-dry mass.

Should separate supplies of aggregate material of the same size group, but of different moisture content or specific gravity or surface characteristics affecting workability, be available at the proportioning plant, withdrawals shall be made from one supply exclusively and the materials therein completely exhausted before starting upon another.

Bulk Type IP (MS) cement shall be weighed in an individual hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer.

Bulk cement and supplementary cementitious material may be weighed in separate, individual weigh hoppers or may be weighed in the same weigh hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer. If the cement and supplementary cementitious material are weighed cumulatively, the cement shall be weighed first.

If cement and supplementary cementitious material are weighed in separate weigh hoppers, the weigh systems for the proportioning of the aggregate, the cement, and the supplementary cementitious material shall be individual and distinct from all other weigh systems. Each weigh system shall be equipped with a hopper, a lever system, and an indicator to constitute an individual and independent material weighing device. The cement and the supplementary cementitious material shall be discharged into the mixer simultaneously with the aggregate.

The scales and weigh hoppers for bulk weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material shall be separate and distinct from the aggregate weighing equipment.

For batches of one cubic meter or more, the batching equipment shall conform to one of the following combinations:

- A. Separate boxes and separate scale and indicator for weighing each size of aggregate.
- B. Single box and scale indicator for all aggregates.
- C. Single box or separate boxes and automatic weighing mechanism for all aggregates.

In order to check the accuracy of batch masses, the gross mass and tare mass of batch trucks, truck mixers, truck agitators, and non-agitating hauling equipment shall be determined when ordered by the Engineer. The equipment shall be weighed on scales designated by the Engineer.

#### **90-5.03A PROPORTIONING FOR PAVEMENT**

Aggregates and bulk supplementary cementitious material for use in pavement shall be proportioned by mass by means of automatic proportioning devices of approved type conforming to these specifications.

The Contractor shall install and maintain in operating condition an electronically actuated moisture meter that will indicate, on a readily visible scale, changes in the moisture content of the fine aggregate as it is batched within a sensitivity of 0.5 percent by mass of the fine aggregate.

The batching of cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregate shall be interlocked so that a new batch cannot be started until all weigh hoppers are empty, the proportioning devices are within zero tolerance, and the discharge gates are closed. The interlock shall permit no part of the batch to be discharged until all aggregate hoppers and the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper are charged with masses that are within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If interlocks are required for cement and supplementary cementitious material charging mechanisms and cement and supplementary cementitious material are weighed cumulatively, their charging mechanisms shall be interlocked to prevent the introduction of mineral admixture until the mass of cement in the cement weigh hopper is within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If concrete is completely mixed in stationary paving mixers, the supplementary cementitious materials shall be weighed in a separate weigh hopper and the supplementary cementitious material and cement shall be introduced simultaneously into the mixer proportionately with the aggregate. If the Contractor provides certification that the stationary mixer is capable of mixing the cement, supplementary cementitious material, aggregates, and water uniformly before discharge, weighing the supplementary cementitious material cumulatively with the cement is permitted. Certification shall contain the following:

- A. Test results for 2 compressive strength test cylinders of concrete taken within the first one-third and 2 compressive strength test cylinders of concrete taken within the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength";
- B. Calculations demonstrating that the difference in the averages of 2 compressive strengths taken in the first one-third is no greater than 7.5 percent different than the averages of 2 compressive strengths taken in the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength;" and
- C. The mixer rotation speed and time of mixing before discharge that are required to produce a mix that meets the requirements above.

The discharge gate on the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper shall be designed to permit regulating the flow of cement, supplementary cementitious material, or cement plus supplementary cementitious material into the aggregate as directed by the Engineer.

If separate weigh boxes are used for each size of aggregate, the discharge gates shall permit regulating the flow of each size of aggregate as directed by the Engineer.

Material discharged from the several bins shall be controlled by gates or by mechanical conveyors. The means of withdrawal from the several bins, and of discharge from the weigh box, shall be interlocked so that not more than one bin can discharge at a time, and so that the weigh box cannot be tripped until the required quantity from each of the several bins has been deposited therein. Should a separate weigh box be used for each size of aggregate, all may be operated and discharged simultaneously.

If the discharge from the several bins is controlled by gates, each gate shall be actuated automatically so that the required mass is discharged into the weigh box, after which the gate shall automatically close and lock.

The automatic weighing system shall be designed so that all proportions required may be set on the weighing controller at the same time.

## **90-6 MIXING AND TRANSPORTING**

### **90-6.01 GENERAL**

Concrete shall be mixed in mechanically operated mixers, except that when permitted by the Engineer, batches not exceeding 0.25-m<sup>3</sup> may be mixed by hand methods in conformance with the provisions in Section 90-6.05, "Hand-Mixing."

Equipment having components made of aluminum or magnesium alloys that would have contact with plastic concrete during mixing, transporting, or pumping of portland cement concrete shall not be used.

Concrete shall be homogeneous and thoroughly mixed, and there shall be no lumps or evidence of undispersed cementitious material.

Uniformity of concrete mixtures will be determined by differences in penetration as determined by California Test 533, or slump as determined by ASTM Designation: C 143, and by variations in the proportion of coarse aggregate as determined by California Test 529.

When the mix design specifies a penetration value, the difference in penetration, determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed 10 mm. When the mix design specifies a slump value, the difference in slump, determined by comparing slump tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed the values given in the table below. Variation in the proportion of coarse aggregate will be determined by comparing the results of tests of 2 samples of mixed concrete from the same batch or truck mixer load and the difference between the 2 results shall not exceed 100 kg per cubic meter of concrete.

| Average Slump                 | Maximum Permissible Difference |
|-------------------------------|--------------------------------|
| Less than 100-mm              | 25-mm                          |
| 100-mm to 150-mm              | 38-mm                          |
| Greater than 150-mm to 225-mm | 50-mm                          |

The Contractor shall furnish samples of the freshly mixed concrete and provide satisfactory facilities for obtaining the samples.

### **90-6.02 MACHINE MIXING**

Concrete mixers may be of the revolving drum or the revolving blade type, and the mixing drum or blades shall be operated uniformly at the mixing speed recommended by the manufacturer. Mixers and agitators that have an accumulation of hard concrete or mortar shall not be used.

The temperature of mixed concrete, immediately before placing, shall be not less than 10°C or more than 32°C. Aggregates and water shall be heated or cooled as necessary to produce concrete within these temperature limits. Neither aggregates nor mixing water shall be heated to exceed 65°C. If ice is used to cool the concrete, discharge of the mixer will not be permitted until all ice is melted.

The batch shall be so charged into the mixer that some water will enter in advance of cementitious materials and aggregates. All water shall be in the drum by the end of the first one-fourth of the specified mixing time.

Cementitious materials shall be batched and charged into the mixer by means that will not result either in loss of cementitious materials due to the effect of wind, in accumulation of cementitious materials on surfaces of conveyors or hoppers, or in other conditions that reduce or vary the required quantity of cementitious material in the concrete mixture.

Paving and stationary mixers shall be operated with an automatic timing device. The timing device and discharge mechanism shall be interlocked so that during normal operation no part of the batch will be discharged until the specified mixing time has elapsed.

The total elapsed time between the intermingling of damp aggregates and all cementitious materials and the start of mixing shall not exceed 30 minutes.

The size of batch shall not exceed the manufacturer's guaranteed capacity.

When producing concrete for pavement or base, suitable batch counters shall be installed and maintained in good operating condition at job site batching plants and stationary mixers. The batch counters shall indicate the exact number of batches proportioned and mixed.

Concrete shall be mixed and delivered to the job site by means of one of the following combinations of operations:

- A. Mixed completely in a stationary mixer and the mixed concrete transported to the point of delivery in truck agitators or in nonagitating hauling equipment (central-mixed concrete).
- B. Mixed partially in a stationary mixer, and the mixing completed in a truck mixer (shrink-mixed concrete).
- C. Mixed completely in a truck mixer (transit-mixed concrete).
- D. Mixed completely in a paving mixer.

Agitators may be truck mixers operating at agitating speed or truck agitators. Each mixer and agitator shall have attached thereto in a prominent place a metal plate or plates on which is plainly marked the various uses for which the equipment is designed, the manufacturer's guaranteed capacity of the drum or container in terms of the volume of mixed concrete and the speed of rotation of the mixing drum or blades.

Truck mixers shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified.

When shrink-mixed concrete is furnished, concrete that has been partially mixed at a central plant shall be transferred to a truck mixer and all requirements for transit-mixed concrete shall apply. No credit in the number of revolutions at mixing speed will be allowed for partial mixing in a central plant.

### **90-6.03 TRANSPORTING MIXED CONCRETE**

Mixed concrete may be transported to the delivery point in truck agitators or truck mixers operating at the speed designated by the manufacturer of the equipment as agitating speed, or in nonagitating hauling equipment, provided the consistency and workability of the mixed concrete upon discharge at the delivery point is suitable for adequate placement and consolidation in place, and provided the mixed concrete after hauling to the delivery point conforms to the provisions in Section 90-6.01, "General."

Truck agitators shall be loaded not to exceed the manufacturer's guaranteed capacity and shall maintain the mixed concrete in a thoroughly mixed and uniform mass during hauling.

Bodies of nonagitating hauling equipment shall be constructed so that leakage of the concrete mix, or any part thereof, will not occur at any time.

Concrete hauled in open-top vehicles shall be protected during hauling against rain or against exposure to the sun for more than 20 minutes when the ambient temperature exceeds 24°C.

No additional mixing water shall be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer. If the Engineer authorizes additional water to be incorporated into the concrete, the drum shall be revolved not less than 30 revolutions at mixing speed after the water is added and before discharge is commenced.

The rate of discharge of mixed concrete from truck mixer-agitators shall be controlled by the speed of rotation of the drum in the discharge direction with the discharge gate fully open.

If a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be completed within 1.5 hours or before 250 revolutions of the drum or blades, whichever occurs first, after the introduction of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or if the temperature of the concrete is 30°C or above, the time allowed may be less than 1.5 hours. If an admixture is used to retard the set time, the temperature of the concrete shall not exceed 30°C, the time limit shall be 2 hours, and the revolution limitation shall be 300.

If nonagitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be completed within one hour after the addition of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete delivered at the job site shall be accompanied by a weighmaster certificate showing the mix identification number, nonrepeating load number, date and time at which the materials were batched, the total amount of water added to the load, and for transit-mixed concrete, the reading of the revolution counter at the time the truck mixer is charged with cement. This weighmaster certificate shall also show the actual scale masses (kilograms) for the ingredients batched. Theoretical or target batch masses shall not be used as a substitute for actual scale masses.

Weighmaster certificates shall be provided in printed form, or if approved by the Engineer, the data may be submitted in electronic media. Electronic media shall be presented in a tab-delimited format on a 90 mm diskette with a capacity of at least 1.4 megabytes. Captured data, for the ingredients represented by each batch shall be "line feed, carriage return" (LFCR) and "one line, separate record" with allowances for sufficient fields to satisfy the amount of data required by these specifications.

The Contractor may furnish a weighmaster certificate accompanied by a separate certificate that lists the actual batch masses or measurements for a load of concrete provided that both certificates are imprinted with the same nonrepeating load number that is unique to the contract and delivered to the job site with the load.

Weighmaster certificates furnished by the Contractor shall conform to the provisions in Section 9-1.01, "Measurement of Quantities."

#### **90-6.04 TIME OR AMOUNT OF MIXING**

Mixing of concrete in paving or stationary mixers shall continue for the required mixing time after all ingredients, except water and admixture, if added with the water, are in the mixing compartment of the mixer before any part of the batch is released. Transfer time in multiple drum mixers shall not be counted as part of the required mixing time.

The required mixing time, in paving or stationary mixers, of concrete used for concrete structures, except minor structures, shall be not less than 90 seconds or more than 5 minutes, except that when directed by the Engineer in writing, the requirements of the following paragraph shall apply.

The required mixing time, in paving or stationary mixers, except as provided in the preceding paragraph, shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at the mixing speed for transit-mixed concrete shall not be less than that recommended by the mixer manufacturer, but in no case shall the number of revolutions be less than that required to consistently produce concrete conforming to the provisions for uniformity in Section 90-6.01, "General."

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

#### **90-6.05 HAND-MIXING**

Hand-mixed concrete shall be made in batches of not more than 0.25-m<sup>3</sup> and shall be mixed on a watertight, level platform. The proper amount of coarse aggregate shall be measured in measuring boxes and spread on the platform and the fine aggregate shall be spread on this layer, the 2 layers being not more than 0.3-meters in total depth. On this mixture shall be spread the dry cementitious materials and the whole mass turned no fewer than 2 times dry; then sufficient clean water shall be added, evenly distributed, and the whole mass again turned no fewer than 3 times, not including placing in the carriers or forms.

#### **90-6.06 AMOUNT OF WATER AND PENETRATION**

The amount of water used in concrete mixes shall be regulated so that the penetration of the concrete as determined by California Test 533 or the slump of the concrete as determined by ASTM Designation: C 143 is within the nominal values shown in the following table. When the penetration or slump of the concrete is found to exceed the nominal values listed, the mixture of subsequent batches shall be adjusted to reduce the penetration or slump to a value within the nominal range shown. Batches of concrete with a penetration or slump exceeding the maximum values listed shall not be used in the work. If Type F or Type G chemical admixtures are added to the mix, the penetration requirements shall not apply and the slump shall not exceed 225 mm after the chemical admixtures are added.

| Type of Work                       | Nominal          |            | Maximum          |            |
|------------------------------------|------------------|------------|------------------|------------|
|                                    | Penetration (mm) | Slump (mm) | Penetration (mm) | Slump (mm) |
| Concrete Pavement                  | 0 - 25           | —          | 40               | —          |
| Non-reinforced concrete facilities | 0 - 35           | —          | 50               | —          |
| Reinforced concrete structures     |                  |            |                  |            |
| Sections over 300-mm thick         | 0 - 35           | —          | 65               | —          |
| Sections 300-mm thick or less      | 0 - 50           | —          | 75               | —          |
| Concrete placed under water        | —                | 150 - 200  | —                | 225        |
| Cast-in-place concrete piles       | 65 - 90          | 130 - 180  | 100              | 200        |

The amount of free water used in concrete shall not exceed  $183 \text{ kg/m}^3$ , plus 20 kg for each required 100 kg of cementitious material in excess of  $325 \text{ kg/m}^3$ .

The term free water is defined as the total water in the mixture minus the water absorbed by the aggregates in reaching a saturated surface-dry condition.

If there are adverse or difficult conditions that affect the placing of concrete, the above specified penetration and free water content limitations may be exceeded providing the Contractor is granted permission by the Engineer in writing to increase the cementitious material content per cubic meter of concrete. The increase in water and cementitious material shall be at a ratio not to exceed 30 kg of water per added 100 kg of cementitious material per cubic meter. Full compensation for additional cementitious material and water added under these conditions shall be considered as included in the contract price paid for the concrete work involved and no additional compensation will be allowed therefor.

The equipment for supplying water to the mixer shall be constructed and arranged so that the amount of water added can be measured accurately. Any method of discharging water into the mixer for a batch shall be accurate within 1.5 percent of the quantity of water required to be added to the mix for any position of the mixer. Tanks used to measure water shall be designed so that water cannot enter while water is being discharged into the mixer and discharge into the mixer shall be made rapidly in one operation without dribbling. All equipment shall be arranged so as to permit checking the amount of water delivered by discharging into measured containers.

## 90-7 CURING CONCRETE

### 90-7.01 METHODS OF CURING

Newly placed concrete shall be cured by the methods specified in this Section 90-7.01 and the special provisions.

#### 90-7.01A WATER METHOD

The concrete shall be kept continuously wet by the application of water for a minimum curing period of 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or earth or sand blankets may be used as a curing medium to retain the moisture during the curing period.

If a curing medium consisting of cotton mats, rugs, carpets, polyethylene sheeting, polyethylene sheeting on burlap, or earth or sand blankets is to be used to retain the moisture, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. The moisture from the nozzle shall not be applied under pressure directly upon the concrete and shall not be allowed to accumulate on the concrete in a quantity sufficient to cause a flow or wash the surface. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing media.

At the option of the Contractor, a curing medium consisting of white opaque polyethylene sheeting extruded onto burlap may be used to cure concrete structures. The polyethylene sheeting shall have a minimum thickness of  $100 \mu\text{m}$ , and shall be extruded onto 283.5-gram burlap.

At the option of the Contractor, a curing medium consisting of polyethylene sheeting may be used to cure concrete columns. The polyethylene sheeting shall have a minimum thickness of  $250 \mu\text{m}$  achieved in a single layer of material.

If the Contractor chooses to use polyethylene sheeting or polyethylene sheeting on burlap as a curing medium, these media and any joints therein shall be secured as necessary to provide moisture retention and shall be within 75 mm of the concrete at all points along the surface being cured. When these media are used, the temperature of the concrete shall be monitored during curing. If the temperature of the concrete cannot be maintained below  $60^\circ\text{C}$ , use of these curing media shall be disallowed.

When concrete bridge decks and flat slabs are to be cured without the use of a curing medium, the entire surface of the bridge deck or slab shall be kept damp by the application of water with an atomizing nozzle as specified above, until the concrete has set, after which the entire surface of the concrete shall be sprinkled continuously with water for a period of not less than 7 days.

## 90-7.01B CURING COMPOUND METHOD

Surfaces of the concrete that are exposed to the air shall be sprayed uniformly with a curing compound. Curing compounds to be used shall be as follows:

1. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B, except the resin type shall be poly-alpha-methylstyrene.
2. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B.
3. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class A.
4. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class B.
5. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class A.
6. Nonpigmented curing compound with fugitive dye conforming to the requirements in ASTM Designation: C 309, Type 1-D, Class A.

The infrared scan for the dried vehicle from curing compound (1) shall match the infrared scan on file at the Transportation Laboratory.

The loss of water for each type of curing compound, when tested in conformance with the requirements in California Test 534, shall not be more than 0.15-kg/m<sup>2</sup> in 24 hours.

The curing compound to be used will be specified elsewhere in these specifications or in the special provisions.

If the use of curing compound is required or permitted elsewhere in these specifications or in the special provisions and no specific kind is specified, any of the curing compounds listed above may be used.

Curing compound shall be applied at a nominal rate of 3.7 m<sup>2</sup>/L, unless otherwise specified.

At any point, the application rate shall be within  $\pm 1.2$  m<sup>2</sup>/L of the nominal rate specified, and the average application rate shall be within  $\pm 0.5$  m<sup>2</sup>/L of the nominal rate specified when tested in conformance with the requirements in California Test 535. Runs, sags, thin areas, skips, or holidays in the applied curing compound shall be evidence that the application is not satisfactory.

Curing compounds shall be applied using power operated spray equipment. The power operated spraying equipment shall be equipped with an operational pressure gage and a means of controlling the pressure. Hand spraying of small and irregular areas that are not reasonably accessible to mechanical spraying equipment, in the opinion of the Engineer, may be permitted.

The curing compound shall be applied to the concrete following the surface finishing operation, immediately before the moisture sheen disappears from the surface, but before any drying shrinkage or craze cracks begin to appear. In the event of any drying or cracking of the surface, application of water with an atomizing nozzle as specified in Section 90-7.01A, "Water Method," shall be started immediately and shall be continued until application of the compound is resumed or started; however, the compound shall not be applied over any resulting freestanding water. Should the film of compound be damaged from any cause before the expiration of 7 days after the concrete is placed in the case of structures and 72 hours in the case of pavement, the damaged portion shall be repaired immediately with additional compound.

At the time of use, compounds containing pigments shall be in a thoroughly mixed condition with the pigment uniformly dispersed throughout the vehicle. A paddle shall be used to loosen all settled pigment from the bottom of the container, and a power driven agitator shall be used to disperse the pigment uniformly throughout the vehicle.

Agitation shall not introduce air or other foreign substance into the curing compound.

The manufacturer shall include in the curing compound the necessary additives for control of sagging, pigment settling, leveling, de-emulsification, or other requisite qualities of a satisfactory working material. Pigmented curing compounds shall be manufactured so that the pigment does not settle badly, does not cake or thicken in the container, and does not become granular or curdled. Settlement of pigment shall be a thoroughly wetted, soft, mushy mass permitting the complete and easy vertical penetration of a paddle. Settled pigment shall be easily redispersed, with minimum resistance to the sideways manual motion of the paddle across the bottom of the container, to form a smooth uniform product of the proper consistency.

Curing compounds shall remain sprayable at temperatures above 4°C and shall not be diluted or altered after manufacture.

The curing compound shall be packaged in clean 1040-L totes, 210-L barrels, or 19-L pails, or shall be supplied from a suitable storage tank located at the job site. The containers shall comply with "Title 49, Code of Federal Regulations, Hazardous Materials Regulations." The 1040-L totes and the 210-L barrels shall have removable lids and airtight fasteners. The 19-L pails shall be round and have standard full open head and bail. Lids with bungholes will not be permitted. Settling or separation of solids in containers, except tanks, must be completely redispersed with low speed mixing prior to use, in conformance with these specifications and the manufacturer's recommendations. Mixing shall be accomplished either manually by use of a paddle or by use of a mixing blade driven by a drill motor, at low speed. Mixing blades shall be the type used for mixing paint. On-site storage tanks shall be kept clean and free of contaminants. Each tank shall have a permanent system designed to completely redisperse settled material without introducing air or other foreign substances.

Steel containers and lids shall be lined with a coating that will prevent destructive action by the compound or chemical agents in the air space above the compound. The coating shall not come off the container or lid as skins. Containers shall be filled in a manner that will prevent skinning. Plastic containers shall not react with the compound.

Each container shall be labeled with the manufacturer's name, kind of curing compound, batch number, volume, date of manufacture, and volatile organic compound (VOC) content. The label shall also warn that the curing compound containing pigment shall be well stirred before use. Precautions concerning the handling and the application of curing compound shall be shown on the label of the curing compound containers in conformance with the Construction Safety Orders and General Industry Safety Orders of the State.

Containers of curing compound shall be labeled to indicate that the contents fully comply with the rules and regulations concerning air pollution control in the State.

When the curing compound is shipped in tanks or tank trucks, a shipping invoice shall accompany each load. The invoice shall contain the same information as that required herein for container labels.

Curing compound will be sampled by the Engineer at the source of supply, at the job site, or at both locations.

Curing compound shall be formulated so as to maintain the specified properties for a minimum of one year. The Engineer may require additional testing before use to determine compliance with these specifications if the compound has not been used within one year or whenever the Engineer has reason to believe the compound is no longer satisfactory.

Tests will be conducted in conformance with the latest ASTM test methods and methods in use by the Transportation Laboratory.

#### **90-7.01C WATERPROOF MEMBRANE METHOD**

The exposed finished surfaces of concrete shall be sprayed with water, using a nozzle that so atomizes the flow that a mist and not a spray is formed, until the concrete has set, after which the curing membrane, shall be placed. The curing membrane shall remain in place for a period of not less than 72 hours.

Sheeting material for curing concrete shall conform to the requirements in AASHTO Designation: M 171 for white reflective materials.

The sheeting material shall be fabricated into sheets of such width as to provide a complete cover for the entire concrete surface. Joints in the sheets shall be securely cemented together in such a manner as to provide a waterproof joint. The joint seams shall have a minimum lap of 100 mm.

The sheets shall be securely weighted down by placing a bank of earth on the edges of the sheets or by other means satisfactory to the Engineer.

Should any portion of the sheets be broken or damaged before the expiration of 72 hours after being placed, the broken or damaged portions shall be immediately repaired with new sheets properly cemented into place.

Sections of membrane that have lost their waterproof qualities or have been damaged to such an extent as to render them unfit for curing the concrete shall not be used.

#### **90-7.01D FORMS-IN-PLACE METHOD**

Formed surfaces of concrete may be cured by retaining the forms in place. The forms shall remain in place for a minimum period of 7 days after the concrete has been placed, except that for members over 0.5-m in least dimension the forms shall remain in place for a minimum period of 5 days.

Joints in the forms and the joints between the end of forms and concrete shall be kept moisture tight during the curing period. Cracks in the forms and cracks between the forms and the concrete shall be resealed by methods subject to the approval of the Engineer.

#### **90-7.02 CURING PAVEMENT**

The entire exposed area of the pavement, including edges, shall be cured by the waterproof membrane method, or curing compound method using curing compound (1) or (2) as the Contractor may elect. Should the side forms be removed before the expiration of 72 hours following the start of curing, the exposed pavement edges shall also be cured. If the pavement is cured by means of the curing compound method, the sawcut and all portions of the curing compound that have been disturbed by sawing operations shall be restored by spraying with additional curing compound.

Curing shall commence as soon as the finishing process provided in Section 40-1.10, "Final Finishing," has been completed. The method selected shall conform to the provisions in Section 90-7.01, "Methods of Curing."

When the curing compound method is used, the compound shall be applied to the entire pavement surface by mechanical sprayers. Spraying equipment shall be of the fully atomizing type equipped with a tank agitator that provides for continual agitation of the curing compound during the time of application. The spray shall be adequately protected against wind, and the nozzles shall be so oriented or moved mechanically transversely as to result in the minimum specified rate of coverage being applied uniformly on exposed faces. Hand spraying of small and irregular areas, and areas inaccessible to mechanical spraying equipment, in the opinion of the Engineer, will be permitted. When the ambient air temperature is above 15°C, the

Contractor shall fog the surface of the concrete with a fine spray of water as specified in Section 90-7.01A, "Water Method." The surface of the pavement shall be kept moist between the hours of 10:00 a.m. and 4:30 p.m. on the day the concrete is placed. However, the fogging done after the curing compound has been applied shall not begin until the compound has set sufficiently to prevent displacement. Fogging shall be discontinued if ordered in writing by the Engineer.

### **90-7.03 CURING STRUCTURES**

Newly placed concrete for cast-in-place structures, other than highway bridge decks, shall be cured by the water method, the forms-in-place method, or, as permitted herein, by the curing compound method, in conformance with the provisions in Section 90-7.01, "Methods of Curing."

The curing compound method using a pigmented curing compound may be used on concrete surfaces of construction joints, surfaces that are to be buried underground, and surfaces where only ordinary surface finish is to be applied and on which a uniform color is not required and that will not be visible from a public traveled way. If the Contractor elects to use the curing compound method on the bottom slab of box girder spans, the curing compound shall be curing compound (1).

The top surface of highway bridge decks shall be cured by both the curing compound method and the water method. The curing compound shall be curing compound (1).

Concrete surfaces of minor structures, as defined in Section 51-1.02, "Minor Structures," shall be cured by the water method, the forms-in-place method or the curing compound method.

When deemed necessary by the Engineer during periods of hot weather, water shall be applied to concrete surfaces being cured by the curing compound method or by the forms-in-place method, until the Engineer determines that a cooling effect is no longer required. Application of water for this purpose will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

### **90-7.04 CURING PRECAST CONCRETE MEMBERS**

Precast concrete members shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing." Curing shall be provided for the minimum time specified for each method or until the concrete reaches its design strength, whichever is less. Steam curing may also be used for precast members and shall conform to the following provisions:

- A. After placement of the concrete, members shall be held for a minimum 4-hour presteaming period. If the ambient air temperature is below 10°C, steam shall be applied during the presteaming period to hold the air surrounding the member at a temperature between 10°C and 32°C.
- B. To prevent moisture loss on exposed surfaces during the presteaming period, members shall be covered as soon as possible after casting or the exposed surfaces shall be kept wet by fog spray or wet blankets.
- C. Enclosures for steam curing shall allow free circulation of steam about the member and shall be constructed to contain the live steam with a minimum moisture loss. The use of tarpaulins or similar flexible covers will be permitted, provided they are kept in good repair and secured in such a manner as to prevent the loss of steam and moisture.
- D. Steam at the jets shall be at low pressure and in a saturated condition. Steam jets shall not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure shall not exceed 22°C per hour. The curing temperature throughout the enclosure shall not exceed 65°C and shall be maintained at a constant level for a sufficient time necessary to develop the required transfer strength. Control cylinders shall be covered to prevent moisture loss and shall be placed in a location where temperature is representative of the average temperature of the enclosure.
- E. Temperature recording devices that will provide an accurate, continuous, permanent record of the curing temperature shall be provided. A minimum of one temperature recording device per 60 m of continuous bed length will be required for checking temperature.
- F. Members in pretension beds shall be detensioned immediately after the termination of steam curing while the concrete and forms are still warm, or the temperature under the enclosure shall be maintained above 15°C until the stress is transferred to the concrete.
- G. Curing of precast concrete will be considered completed after termination of the steam curing cycle.

### **90-7.05 CURING PRECAST PRESTRESSED CONCRETE PILES**

Newly placed concrete for precast prestressed concrete piles shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," except that piles in a corrosive environment shall be cured as follows:

- A. Piles shall be either steam cured or water cured. If water curing is used, the piles shall be kept continuously wet by the application of water in conformance with the provisions in Section 90-7.01A, "Water Method."

- B. If steam curing is used, the steam curing provisions in Section 90-7.04, "Curing Precast Concrete Members," shall apply except that the piles shall be kept continuously wet for their entire length for a period of not less than 3 days, including the holding and steam curing periods.

#### **90-7.06 CURING SLOPE PROTECTION**

Concrete slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Concreted-rock slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing," with a blanket of earth kept wet for 72 hours, or by sprinkling with a fine spray of water every 2 hours during the daytime for a period of 3 days.

#### **90-7.07 CURING MISCELLANEOUS CONCRETE WORK**

Exposed surfaces of curbs shall be cured by pigmented curing compounds as specified in Section 90-7.01B, "Curing Compound Method."

Concrete sidewalks, gutter depressions, island paving, curb ramps, driveways, and other miscellaneous concrete areas shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Shotcrete shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

Mortar and grout shall be cured by keeping the surface damp for 3 days.

After placing, the exposed surfaces of sign structure foundations, including pedestal portions, if constructed, shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

### **90-8 PROTECTING CONCRETE**

#### **90-8.01 GENERAL**

In addition to the provisions in Section 7-1.16, "Contractor's Responsibility for the Work and Materials," the Contractor shall protect concrete as provided in this Section 90-8. If required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.

The Contractor shall protect concrete from damage from any cause, which shall include, but not be limited to: rain, heat, cold, wind, Contractor's actions, and actions of others.

Concrete shall not be placed on frozen or ice-coated ground or subgrade nor on ice-coated forms, reinforcing steel, structural steel, conduits, precast members, or construction joints.

Under rainy conditions, placing of concrete shall be stopped before the quantity of surface water is sufficient to damage surface mortar or cause a flow or wash of the concrete surface, unless the Contractor provides adequate protection against damage.

Concrete that has been frozen or damaged by other causes, as determined by the Engineer, shall be removed and replaced by the Contractor at the Contractor's expense.

#### **90-8.02 PROTECTING CONCRETE STRUCTURES**

Structure concrete and shotcrete used as structure concrete shall be maintained at a temperature of not less than 7°C for 72 hours after placing and at not less than 4°C for an additional 4 days.

#### **90-8.03 PROTECTING CONCRETE PAVEMENT**

Pavement concrete shall be maintained at a temperature of not less than 4°C for 72 hours.

Except as provided in Section 7-1.08, "Public Convenience," the Contractor shall protect concrete pavement against construction and other activities that abrade, scar, discolor, reduce texture depth, lower coefficient of friction, or otherwise damage the surface. Stockpiling, drifting, or excessive spillage of soil, gravel, petroleum products, and concrete or asphalt mixes on the surface of concrete pavement is prohibited unless otherwise specified in these specifications, the special provisions or permitted by the Engineer.

If ordered by the Engineer or shown on the plans or specified in the special provisions, pavement crossings shall be constructed for the convenience of public traffic. The material and work necessary for the construction of the crossings, and their subsequent removal and disposal, will be paid for at the contract unit prices for the items of work involved and if there are no contract items for the work involved, payment for pavement crossings will be made by extra work as provided in Section 4-1.03D, "Extra Work." Where public traffic will be required to cross over the new pavement, Type III portland cement may be used in concrete, if permitted in writing by the Engineer. The pavement may be opened to traffic as soon as the concrete has developed a modulus of rupture of 3.8 MPa. The modulus of rupture will be determined by California Test 523.

No traffic or Contractor's equipment, except as hereinafter provided, will be permitted on the pavement before a period of 10 days has elapsed after the concrete has been placed, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa. Concrete that fails to attain a modulus of rupture of 3.8 MPa within 10 days shall not be opened to traffic until directed by the Engineer.

Equipment for sawing weakened plane joints will be permitted on the pavement as specified in Section 40-1.08B, "Weakened Plane Joints."

When requested in writing by the Contractor, the tracks on one side of paving equipment will be permitted on the pavement after a modulus of rupture of 2.4 MPa has been attained, provided that:

- A. Unit pressure exerted on the pavement by the paver shall not exceed 135 kPa;
- B. Tracks with cleats, grousers, or similar protuberances shall be modified or shall travel on planks or equivalent protective material, so that the pavement is not damaged; and
- C. No part of the track shall be closer than 0.3-m from the edge of pavement.

In case of visible cracking of, or other damage to the pavement, operation of the paving equipment on the pavement shall be immediately discontinued.

Damage to the pavement resulting from early use of pavement by the Contractor's equipment as provided above shall be repaired by the Contractor.

The State will furnish the molds and machines for testing the concrete for modulus of rupture, and the Contractor, at the Contractor's expense, shall furnish the material and whatever labor the Engineer may require.

## **90-9 COMPRESSIVE STRENGTH**

### **90-9.01 GENERAL**

Concrete compressive strength requirements consist of a minimum strength that shall be attained before various loads or stresses are applied to the concrete and, for concrete designated by strength, a minimum strength at the age of 28 days or at the age otherwise allowed in Section 90-1.01, "Description." The various strengths required are specified in these specifications or the special provisions or are shown on the plans.

The compressive strength of concrete will be determined from test cylinders that have been fabricated from concrete sampled in conformance with the requirements of California Test 539. Test cylinders will be molded and initially field cured in conformance with California Test 540. Test cylinders will be cured and tested after receipt at the testing laboratory in conformance with the requirements of California Test 521. A strength test shall consist of the average strength of 2 cylinders fabricated from material taken from a single load of concrete, except that, if any cylinder should show evidence of improper sampling, molding, or testing, that cylinder shall be discarded and the strength test shall consist of the strength of the remaining cylinder.

When concrete compressive strength is specified as a prerequisite to applying loads or stresses to a concrete structure or member, test cylinders for other than steam cured concrete will be cured in conformance with Method 1 of California Test 540. The compressive strength of concrete determined for these purposes will be evaluated on the basis of individual tests.

When concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete strength to be used as a basis for acceptance of other than steam cured concrete will be determined from cylinders cured in conformance with Method 1 of California Test 540. If the result of a single compressive strength test at the maximum age specified or allowed is below the specified strength but is 95 percent or more of the specified strength, the Contractor shall make corrective changes, subject to approval of the Engineer, in the mix proportions or in the concrete fabrication procedures, before placing additional concrete, and shall pay to the State \$14 for each in-place cubic meter of concrete represented by the deficient test. If the result of a single compressive strength test at the maximum age specified or allowed is below 95 percent of the specified strength, but is 85 percent or more of the specified strength, the Contractor shall make the corrective changes specified above, and shall pay to the State \$20 for each in place cubic meter of concrete represented by the deficient test. In addition, such corrective changes shall be made when the compressive strength of concrete tested at 7 days indicates, in the judgment of the Engineer, that the concrete will not attain the required compressive strength at the maximum age specified or allowed. Concrete represented by a single test that indicates a compressive strength of less than 85 percent of the specified 28-day compressive strength will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials."

If the test result indicates that the compressive strength at the maximum curing age specified or allowed is below the specified strength, but is 85 percent or more of the specified strength, payments to the State as required above shall be made, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work meets or exceeds the specified 28-day compressive strength. If the test result indicates a compressive strength at the maximum curing age specified or allowed below 85 percent, the concrete represented by that test will be rejected, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer

that the strength and quality of the concrete placed in the work are acceptable. If the evidence consists of tests made on cores taken from the work, the cores shall be obtained and tested in conformance with the requirements in ASTM Designation: C 42.

No single compressive strength test shall represent more than 250 m<sup>3</sup>.

If a precast concrete member is steam cured, the compressive strength of the concrete will be determined from test cylinders that have been handled and stored in conformance with Method 3 of California Test 540. The compressive strength of steam cured concrete will be evaluated on the basis of individual tests representing specific portions of production. If the concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete shall be considered to be acceptable whenever its compressive strength reaches the specified 28-day compressive strength provided that strength is reached in not more than the maximum number of days specified or allowed after the member is cast.

When concrete is specified by compressive strength, prequalification of materials, mix proportions, mixing equipment, and procedures proposed for use will be required prior to placement of the concrete. Prequalification shall be accomplished by the submission of acceptable certified test data or trial batch reports by the Contractor. Prequalification data shall be based on the use of materials, mix proportions, mixing equipment, procedures, and size of batch proposed for use in the work.

Certified test data, in order to be acceptable, shall indicate that not less than 90 percent of at least 20 consecutive tests exceed the specified strength at the maximum number of cure days specified or allowed, and none of those tests are less than 95 percent of specified strength. Strength tests included in the data shall be the most recent tests made on concrete of the proposed mix design and all shall have been made within one year of the proposed use of the concrete.

Trial batch test reports, in order to be acceptable, shall indicate that the average compressive strength of 5 consecutive concrete cylinders, taken from a single batch, at not more than 28 days (or the maximum age allowed) after molding shall be at least 4 MPa greater than the specified 28-day compressive strength, and no individual cylinder shall have a strength less than the specified strength at the maximum age specified or allowed. Data contained in the report shall be from trial batches that were produced within one year of the proposed use of specified strength concrete in the project. Whenever air-entrainment is required, the air content of trial batches shall be equal to or greater than the air content specified for the concrete without reduction due to tolerances.

Tests shall be performed in conformance with either the appropriate California Test methods or the comparable ASTM test methods. Equipment employed in testing shall be in good condition and shall be properly calibrated. If the tests are performed during the life of the contract, the Engineer shall be notified sufficiently in advance of performing the tests in order to witness the test procedures.

The certified test data and trial batch test reports shall include the following information:

- A. Date of mixing.
- B. Mixing equipment and procedures used.
- C. The size of batch in cubic meters and the mass, type, and source of all ingredients used.
- D. Penetration or slump (if the concrete will be placed under water or placed in cast-in-place concrete piles) of the concrete.
- E. The air content of the concrete if an air-entraining admixture is used.
- F. The age at time of testing and strength of all concrete cylinders tested.

Certified test data and trial batch test reports shall be signed by an official of the firm that performed the tests.

When approved by the Engineer, concrete from trial batches may be used in the work at locations where concrete of a lower quality is required and the concrete will be paid for as the type or class of concrete required at that location.

After materials, mix proportions, mixing equipment, and procedures for concrete have been prequalified for use, additional prequalification by testing of trial batches will be required prior to making changes that, in the judgment of the Engineer, could result in a strength of concrete below that specified.

The Contractor's attention is directed to the time required to test trial batches and the Contractor shall be responsible for production of trial batches at a sufficiently early date so that the progress of the work is not delayed.

When precast concrete members are manufactured at the plant of an established manufacturer of precast concrete members, the mix proportions of the concrete shall be determined by the Contractor, and a trial batch and prequalification of the materials, mix proportions, mixing equipment, and procedures will not be required.

## **90-10 MINOR CONCRETE**

### **90-10.01 GENERAL**

Concrete for minor structures, slope paving, curbs, sidewalks and other concrete work, when designated as minor concrete on the plans, in the specifications, or in the contract item, shall conform to the provisions specified herein.

The Engineer, at the Engineer's discretion, will inspect and test the facilities, materials and methods for producing the concrete to ensure that minor concrete of the quality suitable for use in the work is obtained.

## **90-10.02 MATERIALS**

Minor concrete shall conform to the following requirements:

### **90-10.02A CEMENTITIOUS MATERIAL**

Cementitious material shall conform to the provisions in Section 90-1.01, "Description."

### **90-10.02B AGGREGATE**

Aggregate shall be clean and free from deleterious coatings, clay balls, roots, and other extraneous materials.

Use of crushed concrete or reclaimed aggregate is acceptable only if the aggregate satisfies all aggregate requirements.

The Contractor shall submit to the Engineer for approval, a grading of the combined aggregate proposed for use in the minor concrete. After acceptance of the grading, aggregate furnished for minor concrete shall conform to that grading, unless a change is authorized in writing by the Engineer.

The Engineer may require the Contractor to furnish periodic test reports of the aggregate grading furnished. The maximum size of aggregate used shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5 mm or smaller than 19 mm.

The Engineer may waive, in writing, the gradation requirements in this Section 90-10.02B, if, in the Engineer's opinion, the furnishing of the gradation is not necessary for the type or amount of concrete work to be constructed.

### **90-10.02C WATER**

Water used for washing, mixing, and curing shall be free from oil, salts, and other impurities that would discolor or etch the surface or have an adverse affect on the quality of the concrete.

### **90-10.02D ADMIXTURES**

The use of admixtures shall conform to the provisions in Section 90-4, "Admixtures."

## **90-10.03 PRODUCTION**

Cementitious material, water, aggregate, and admixtures shall be stored, proportioned, mixed, transported, and discharged in conformance with recognized standards of good practice that will result in concrete that is thoroughly and uniformly mixed, that is suitable for the use intended, and that conforms to requirements specified herein. Recognized standards of good practice are outlined in various industry publications such as are issued by American Concrete Institute, AASHTO, or the Department.

The cementitious material content of minor concrete shall conform to the provisions in Section 90-1.01, "Description."

The amount of water used shall result in a consistency of concrete conforming to the provisions in Section 90-6.06, "Amount of Water and Penetration." Additional mixing water shall not be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer.

Discharge of ready-mixed concrete from the transporting vehicle shall be made while the concrete is still plastic and before stiffening occurs. An elapsed time of 1.5 hours (one hour in nonagitating hauling equipment), or more than 250 revolutions of the drum or blades, after the introduction of the cementitious material to the aggregates, or a temperature of concrete of more than 32°C will be considered conditions contributing to the quick stiffening of concrete. The Contractor shall take whatever action is necessary to eliminate quick stiffening, except that the addition of water will not be permitted.

The required mixing time in stationary mixers shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at mixing speed for transit-mixed concrete shall be not less than that recommended by the mixer manufacturer, and shall be increased, if necessary, to produce thoroughly and uniformly mixed concrete.

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

Each load of ready-mixed concrete shall be accompanied by a weighmaster certificate that shall be delivered to the Engineer at the discharge location of the concrete, unless otherwise directed by the Engineer. The weighmaster certificate shall be clearly marked with the date and time of day when the load left the batching plant and, if hauled in truck mixers or agitators, the time the mixing cycle started.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished to the Engineer, prior to placing minor concrete from a source not previously used on the contract, stating that minor concrete to be furnished meets contract requirements, including minimum cementitious material content specified.

## **90-10.04 CURING MINOR CONCRETE**

Curing minor concrete shall conform to the provisions in Section 90-7, "Curing Concrete."





Performance Graded Asphalt Binder

| Property  | AASHTO Test Method | Specification           |                         |                         |                         |                         |
|---|--------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
|   |                    | Grade                   |                         |                         |                         |                         |
|   |                    | PG 58-22 <sup>a</sup>   | PG 64-10                | PG 64-16                | PG 64-28                | PG 70-10                |
| Original Binder   |                    |                         |                         |                         |                         |                         |
| Flash Point, Minimum °C   | T 48               | 230                     | 230                     | 230                     | 230                     | 230                     |
| Solubility, Minimum % <sup>b</sup>  | T 44               | 99                      | 99                      | 99                      | 99                      | 99                      |
| Viscosity at 135°C, <sup>c</sup><br>Maximum, Pa·s                                   | T 316              | 3.0                     | 3.0                     | 3.0                     | 3.0                     | 3.0                     |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Minimum G*/sin(delta), kPa          | T 315              | 58<br>1.00              | 64<br>1.00              | 64<br>1.00              | 64<br>1.00              | 70<br>1.00              |
| RTFO Test, <sup>e</sup><br>Mass Loss, Maximum, %                                    | T 240              | 1.00                    | 1.00                    | 1.00                    | 1.00                    | 1.00                    |
| RTFO Test Aged Binder   |                    |                         |                         |                         |                         |                         |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Minimum G*/sin(delta), kPa          | T 315              | 58<br>2.20              | 64<br>2.20              | 64<br>2.20              | 64<br>2.20              | 70<br>2.20              |
| Ductility at 25°C<br>Minimum, cm  | T 51               | 75                      | 75                      | 75                      | 75                      | 75                      |
| PAV <sup>f</sup> Aging,<br>Temperature, °C  | R 28               | 100                     | 100                     | 100                     | 100                     | 110                     |
| RTFO Test and PAV Aged Binder   |                    |                         |                         |                         |                         |                         |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Maximum G*/sin(delta), kPa          | T 315              | 22 <sup>d</sup><br>5000 | 31 <sup>d</sup><br>5000 | 28 <sup>d</sup><br>5000 | 22 <sup>d</sup><br>5000 | 34 <sup>d</sup><br>5000 |
| Creep Stiffness,<br>Test Temperature, °C<br>Maximum S-value, Mpa<br>Minimum M-value | T 313              | -12<br>300<br>0.300     | 0<br>300<br>0.300       | -6<br>300<br>0.300      | -18<br>300<br>0.300     | 0<br>300<br>0.300       |

Notes:

- a. Use as asphalt rubber base stock for high mountain and high desert area.
- b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."
- c. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.
- d. Test the sample at 3°C higher if it fails at the specified test temperature. G\*/sin(delta) remains 5000 kPa maximum.
- e. "RTFO Test" means the asphaltic residue obtained using the Rolling Thin Film Oven Test, AASHTO Test Method T 240 or ASTM Designation: D 2872. The residue from mass change determination may be used for other tests.
- f. "PAV" means Pressurized Aging Vessel.

Performance graded polymer modified asphalt binder (PG Polymer Modified) is:

Performance Graded Polymer Modified Asphalt Binder <sup>a</sup>

| Property  | AASHTO Test Method | Specification Grade |                     |                     |
|---|--------------------|---------------------|---------------------|---------------------|
|   |                    | PG 58-34 PM         | PG 64-28 PM         | PG 76-22 PM         |
| Original Binder   |                    |                     |                     |                     |
| Flash Point, Minimum °C   | T 48               | 230                 | 230                 | 230                 |
| Solubility, Minimum % <sup>b</sup>  | T 44 <sup>c</sup>  | 98.5                | 98.5                | 98.5                |
| Viscosity at 135°C, <sup>d</sup><br>Maximum, Pa·s                                   | T 316              | 3.0                 | 3.0                 | 3.0                 |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Minimum G*/sin(delta), kPa          | T 315              | 58<br>1.00          | 64<br>1.00          | 76<br>1.00          |
| RTFO Test ,<br>Mass Loss, Maximum, %  | T 240              | 1.00                | 1.00                | 1.00                |
| RTFO Test Aged Binder   |                    |                     |                     |                     |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Minimum G*/sin(delta), kPa          | T 315              | 58<br>2.20          | 64<br>2.20          | 76<br>2.20          |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Maximum (delta), %                  | T 315              | Note e<br>80        | Note e<br>80        | Note e<br>80        |
| Elastic Recovery <sup>f</sup> ,<br>Test Temp., °C<br>Minimum recovery, %            | T 301              | 25<br>75            | 25<br>75            | 25<br>65            |
| PAV <sup>g</sup> Aging,<br>Temperature, °C  | R 28               | 100                 | 100                 | 110                 |
| RTFO Test and PAV Aged Binder   |                    |                     |                     |                     |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Maximum G* sin(delta), kPa          | T 315              | 16<br>5000          | 22<br>5000          | 31<br>5000          |
| Creep Stiffness,<br>Test Temperature, °C<br>Maximum S-value, MPa<br>Minimum M-value | T 313              | -24<br>300<br>0.300 | -18<br>300<br>0.300 | -12<br>300<br>0.300 |

Notes:

- a. Do not modify PG Polymer Modified using acid modification.
- b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."
- c. The Department allows ASTM D 5546 instead of AASHTO T 44
- d. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.
- e. Test temperature is the temperature at which G\*/sin(delta) is 2.2 kPa. A graph of log G\*/sin(delta) plotted against temperature may be used to determine the test temperature when G\*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G\*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G\*/sin(delta) is 2.2 kPa.
- f. Tests without a force ductility clamp may be performed.
- g. "PAV" means Pressurized Aging Vessel.

**SAMPLING**

Provide a sampling device in the asphalt feed line connecting the plant storage tanks to the asphalt weighing system or spray bar. Make the sampling device accessible between 600 and 750 mm above the platform. Provide a receptacle for flushing the sampling device.

Include with the sampling device a valve:

1. Between 10 and 20 mm in diameter



**Replace Section 95 with:**

**SECTION 95 EPOXY**

**95-1 GENERAL**

**95-1.01 DESCRIPTION**

These specifications are intended to specify epoxy that will meet service requirements for highway construction. Epoxy shall be furnished as 2 components, which shall be mixed together at the site of the work.

**95-1.02 SAMPLING AND TESTING**

Epoxy shall not be used prior to sampling and testing unless its use is permitted prior to sampling and testing in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

Tests will be conducted in conformance with the latest test methods of the American Society for Testing and Materials, and California Test Methods in use by the Transportation Laboratory.

Epoxy components shall be formulated to maintain the specified properties for a minimum of one year. The Engineer may require additional testing of any epoxy component that has not been used within one year of manufacture.

**95-1.03 PACKAGING, LABELING AND STORING**

Each component shall be packaged in containers of size proportional to the amount of that component in the mix so that one container of each component is used in mixing one batch of epoxy. The containers shall be of such design that all of the contents may be readily removed and shall be well sealed to prevent leakage. The containers and labeling shall meet U.S. Department of Transportation Hazardous Material Shipping Regulations, and the containers shall be of a material, or lined with a material, of such character as to resist any action by the components. Each container shall be clearly labeled with the ASTM Designation: C881 Class and Type; designation (Component A or B); manufacturer's name; date of manufacture; batch number (a batch shall consist of a single charge of all components in a mixing chamber); all directions for use (as specified elsewhere) and such warning or precautions concerning the contents as may be required by State or Federal Laws and Regulations. The manufacturer of the finished epoxy components shall furnish a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," and a copy of the label for each material. The certificate shall include a list, by Title and Section, of the State and Federal packaging and labeling laws and regulations that the manufacturer has complied with.

Attention is directed to the characteristic of some epoxy components to crystallize or thicken excessively prior to use when stored at temperatures below 2°C. Any material which shows evidence of crystallization or a permanent increase in viscosity or settling of pigments which cannot be readily redispersed with a paddle shall not be used.

**95-1.04 DIRECTIONS FOR USE**

At the time of mixing, components A and B shall be at a temperature between 15°C and 30°C, unless otherwise specified. Any heating of the adhesive components shall be done by application of indirect heat. Immediately prior to mixing, each component shall be thoroughly mixed with a paddle. Separate paddles shall be used to stir each component. Immediately prior to use, the 2 components shall be thoroughly mixed together in the specified ratios. No solvent shall be added to any epoxy.

After mixing, epoxies shall be placed in the work and any overlaying or inserted material which is to be bonded to the work by the epoxy shall also be placed before thickening of the epoxy has begun. Surfaces upon which epoxy is to be placed shall be free of rust, paint, grease, asphalt, and loose and deleterious material. When epoxy is used as a binder to make epoxy concrete or mortar, the 2 components of epoxy shall be thoroughly mixed together before the aggregate is added and, unless otherwise specified, the mix proportions shall consist of one part of binder to approximately 4 parts of aggregate, by volume. Aggregate for use in epoxy concrete and mortar shall be clean and shall have a moisture content of not more than 0.50-percent when tested by California Test 226. Surfaces against which epoxy concrete and mortar are to be placed shall be primed with a coat of the epoxy used just prior to placing the concrete or mortar.

**95-2 TYPES OF EPOXIES**

**95-2.01 BINDER (ADHESIVE), EPOXY RESIN BASE**

**Classification:**

This specification covers a low viscosity epoxy formulated primarily for use in making high-strength epoxy concrete and epoxy mortar and in pressure grouting of cracks in concrete. For load bearing applications, use ASTM Designation: C 881, Type IV, Grade 1, Class B or C. Class B or C shall be used depending on the substrate and ambient temperatures. Use Grade B for atmospheric and surface temperatures as low as 4°C. Use Class C when temperatures are 15°C or higher. For non-load bearing applications use ASTM Designation: C881, Type I, Grade 1, Class B or C. Apply no thicker than

recommended by the manufacturer. Thick sections of this epoxy are not suitable for use in freeze thaw environments. In a freeze-thaw environment, increase the aggregate loading to improve the properties of the epoxy concrete.

**Directions for Use:**

Mix in conformance with the manufacturer's written recommendations. No more material shall be mixed than can be used within the pot-life from the time mixing operations are started.

**95-2.02 (BLANK)**

**95-2.03 EPOXY RESIN ADHESIVE FOR BONDING NEW CONCRETE TO OLD CONCRETE**

**Classification:**

This specification covers a low viscosity paste epoxy formulated primarily for use in bonding new portland cement concrete to hardened portland cement concrete. The epoxy shall meet the specification requirements of ASTM Designation: C 881, Type V, Grade 2. This epoxy is available in 2 Classes: Class C for general use at temperature greater than 15°C and Class B for use when cure temperatures are below 15°C and above 4°C, or when a faster cure is required.

**Directions for Use:**

The mixing ratio and use shall be in conformance with the manufacturer's written recommendations. When measuring as individual Components A and B, stir and tap the measuring containers to remove possible air voids. The ingredients in Components A and B shall be thoroughly dispersed such that each component forms a uniform paste. Do not mix more material than can be spread within the pot life from the time mixing operations are started. The spreading rate shall be sufficient to thoroughly coat the surface. Spread the mixed adhesive by brush or roller over blast-cleaned concrete at a rate recommended by the manufacturer. The new concrete shall be placed against the adhesive coating on the old concrete before the adhesive has set. If the adhesive has set and is not tacky prior to placing the new concrete, a new coating of adhesive shall be applied.

**95-2.04 RAPID SET EPOXY ADHESIVE FOR PAVEMENT MARKERS**

**Classification:**

This specification covers a high viscosity paste, rapid set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The adhesive shall meet ASTM Designation: C 881, Type IV, Grade 3, Class B and C except that the gel time may be shorter than 30 minutes. The adhesive shall conform to these requirements and the following.

**Characteristics of Combined Components:**

All tests shall be performed in conformance with the requirements in California Test 434.

| Property   | Requirement |
|--|-------------|
| Gel time, minutes, maximum, at 25°C  | 30          |
| Bond Strength to Concrete, Time, minutes (maximum) to reach not less than 1.4 MPa                    |             |
| at 25°C ±1°C   | 35          |
| at 10°C ±1°C   | 45          |
| Slant Shear Strength   |             |
| 2 days at 25°C ±1°C, MPa   | 7           |
| 14 days at 25°C ±1°C, plus water soak, MPa   | 10.5        |
| Tensile Adhesion and Cohesion  |             |
| Ceramic marker bottom, MPa   | 4.8 min.    |
| Ceramic marker bottom, including post cure, MPa  | 4.8 min.    |
| Retroreflective pavement marker bottom, MPa  | 3.4 min.    |
| Color of mixed epoxy   | gray        |
| Glass transition temperature, Tg, samples conditioned at 25°C for 24 hours, ASTM Designation: D 4065 | 30°C min.   |

**Directions for Use:**

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

**95-2.05 STANDARD SET EPOXY ADHESIVE FOR PAVEMENT MARKERS**

**Classification:**

This specification covers a high viscosity paste standard set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The epoxy shall meet ASTM Designation: C 881, Type IV, Viscosity Grade 3, Classes B or C, except that the gel time may be shorter than 30 minutes.

**Characteristics of Combined Components:**

All tests shall be performed in conformance with the requirements in California Test 434.

| Property   | Requirement |
|--|-------------|
| Gel time, minutes, maximum, at 25°C  | 30          |
| Bond Strength to Concrete, Time (maximum) to reach not less than 1.4 MPa                             |             |
| at 25°C ±1°C   | 3.5 hours   |
| at 13°C ±1°C   | 24 hours    |
| Slant Shear Strength   |             |
| 2 days at 25°C ±1°C, MPa   | 7 min.      |
| 14 days at 25°C ±1°C, plus water soak, MPa   | 10.5 min.   |
| Tensile Adhesion and Cohesion  |             |
| Ceramic marker bottom, MPa   | 4.8 min.    |
| Ceramic marker bottom, including post cure, MPa  | 4.8 min.    |
| Reflective pavement marker bottom, MPa   | 3.4 min.    |
| Color of Mixed Components  | gray        |
| Glass transition temperature, Tg, samples conditioned at 25°C for 24 hours, ASTM Designation: D 4065 | 30°C min.   |

**Directions for Use:**

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

**95-2.06 (BLANK)**

**95-2.07 (BLANK)**

**95-2.08 (BLANK)**

**95-2.09 EPOXY SEALANT FOR INDUCTIVE LOOPS**

**Classification:**

This specification covers a high viscosity liquid epoxy formulated primarily for use in sealing inductive wire loops and leads imbedded in asphalt concrete and portland cement concrete for traffic signal controls and vehicle counters. This epoxy is to be used for repair work on existing spalls, cracks and other deformations in and around saw cuts housing inductor loops and leads. The rapid cure allows minimum traffic delay. This sealant is suitable for use in freeze-thaw areas. The epoxy shall meet ASTM Designation: C 881, Type I, Grade 2 and the following requirements.

**Characteristics of Combined Components:**

All tests shall be performed in conformance with the requirements in California Test 434.

| Property  | Requirement |
|---|-------------|
| Gel time, minutes, maximum                                    | 30          |
| On 3-mm cast sheet, cured 18 hours at 25°C, + 5 hours at 70°C |             |
| Tensile Strength, MPa   | 2.7 min.    |
| Elongation, percent   | 90 min.     |
| Shore D Hardness  | 45 min.     |

**Directions for Use:**

Saw cuts shall be cleaned with compressed air to remove all excess moisture and debris. For repairing damaged saw cuts, all loose spalled material shall be cleaned away from the saw cut, chipping back to sound asphalt concrete or portland cement concrete and all loose material cleaned from loop wires.

The mixing ratio shall be in conformance with the manufacturer's recommendations. No more material shall be mixed than can be used within the gel time from the time mixing operations are started.

When automatic mixing equipment is used for mixing the sealant, the provisions in the twelfth paragraph in Section 85-1.06, "Placement," shall apply.

**95-2.10 (BLANK)**

**95-2.11 EPOXY RESIN ADHESIVE FOR INJECTION GROUTING OF PORTLAND CEMENT CONCRETE PAVEMENTS**

**Directions for Use:**

Both components and the mixed material shall contain no solvents. The mixing ratio of the components in terms of volume and mass shall be clearly stated. The material shall be suitable for use in the mixing equipment used by the applicator. Epoxy adhesive samples shall be furnished to the Engineer for testing at least 12 days before the expected time of use.

**Characteristics of Adhesive:**

| Test <sup>a</sup>   | California Test  | Requirement |
|---|--|-------------|
| Brookfield Viscosity, No. 3 Spindle at 20 rpm, Pa·s at 25°C                         | 434, Part 4  | 0.9 max.    |
| Gel time, minutes   | 434, Part 1  | 2 to 15     |
| Slant Shear Strength on Dry Concrete, MPa, after 4 days of cure in air at 25°C ±1°C | 434, Part 5 <sup>b</sup>                                   | 41.4 min.   |
| Slant Shear Strength on Wet Concrete, MPa, after 4 days of cure in air at 25°C ±1°C | 434, Part 5 <sup>b</sup>                                   | 21.1 min.   |
| Tensile Strength, Mpa   | 434, Part 7, except test after 4 days of cure at 25°C ±1°C | 31.0 min.   |
| Elongation, %   | 434, Part 7, except test after 4 days of cure at 25°C ±1°C | 10 max.     |

a The mixing ratio used will be that recommended by the manufacturer.

b For slant shear strength on concrete, delete Sections B-1 and B-5 of California Test 434, Part 5. For dry concrete, use Step "2" below only. For wet concrete, use both Steps "1" & "2":

1 Soak blocks in water for 24 hours at 25°C ±1°C. Remove and wipe off excess water.

2 Mix epoxy as described in California Test 434, Part 1, and apply a coat approximately 250 µm thick to each diagonal surface. Place four 3-mm square pieces of shim stock 305 µm thick on one block to control final film thickness. Before pressing the coated surfaces together, leave the blocks so that the coated surfaces are horizontal until the epoxy reacts slightly to prevent excessive flow.

**END OF AMENDMENTS**