

DEPARTMENT OF TRANSPORTATION

DES-OE MS #43
1727 30TH Street, 2ND Floor
Sacramento, CA 95816



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July 10, 2003

06-Fre-99-32.5/50.9
06-499804
ACNH-P099(462)E

Addendum No. 3

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in FRESNO COUNTY IN FRESNO FROM VENTURA STREET OVERCROSSING TO FRESNO/MADERA COUNTY LINE.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on July 16, 2003.

This addendum is being issued to revise the Notice to Contractors and Special Provisions and Proposal and Contract.

In the Special Provisions, Section 2-1.02B, "SUBMISSION OF DBE INFORMATION," the first paragraph is revised as follows:

"The required DBE information shall be submitted on the "CALTRANS BIDDER – DBE INFORMATION" and "TELEPHONE LOG AND LIST OF REJECTED DBEs" forms included in the Proposal. If DBE information is not submitted with the bid, the DBE information shall be removed from the documents prior to submitting the bid."

In the Special Provisions, Section 2-1.02B, "SUBMISSION OF DBE INFORMATION," the following paragraph is added after the second paragraph:

"If DBE information is not submitted with the bid, the DBE information shall be submitted to the Department of Transportation, 1120 N Street, Room 0200, MS #26, Sacramento, California 95814 so the information is received by the Department no later than 4:00 p.m. the day, not including Saturdays, Sundays and legal holidays, following bid opening. Failure to submit the required DBE information by the time specified will be grounds for finding the bid proposal nonresponsive."

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In the Proposal and Contract, the "CALTRANS BIDDER – DBE INFORMATION" and "TELEPHONE LOG AND LIST OF REJECTED DBEs" forms are revised as attached.

In the Proposal and Contract, the Engineer's Estimate Item 60 is revised as attached.

To Proposal and Contract book holders:

Replace page 5 of the Engineer's Estimate in the Proposal with the attached revised page 5 of the Engineer's Estimate. The revised Engineer's Estimate is to be used in the bid.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Submit bids in the Proposal and Contract book you now possess.

Inform subcontractors and suppliers as necessary.

This office is sending this addendum by confirmed facsimile to Proposal and Contract book holders to ensure that each receives it. A copy of this addendum and the modified wage rates are available for the contractor's use on the Internet Site:

http://www.dot.ca.gov/hq/esc/oe/weekly_ads/addendum_page.html

Sincerely,

ORIGINAL SIGNED BY

REBECCA D. HARNAGEL, Chief
Office of Plans, Specifications & Estimates
Office Engineer

Attachments

CALTRANS BIDDER - DBE - INFORMATION

THIS INFORMATION MAY BE SUBMITTED WITH YOUR PROPOSAL. IF IT IS NOT, IT MUST BE SUBMITTED AND RECEIVED AS SPECIFIED IN SECTION 2-1.02B OF THE SPECIAL PROVISIONS. FAILURE TO SUBMIT THE REQUIRED DBE INFORMATION WILL BE GROUNDS FOR FINDING THE PROPOSAL NONRESPONSIVE.

DISTRICT-CO.-RTE.-K.P.: _____
DISTRICT-CONTRACT NO.: _____
BID AMOUNT: \$ _____
BID OPENING DATE: _____
BIDDER'S NAME: _____
DBE GOAL FROM CONTRACT, %: _____
DBE PRIME CONTRACTOR CERTIFICATION ¹: _____

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification # , DBE address and phone number)	DOLLAR AMOUNT DBE ³
<p>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Copies of the DBE quotes are required. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above shall be consistent, where applicable, with the names and items of work in the "List of Subcontractors" submitted with your bid pursuant to the Subcontractors Listing Law and Section 2-1.01, "General," of the Special Provisions.</p>			<p>Total Claimed Participation</p>	<p>\$ _____ _____ %</p>
<p>1. DBE prime contractors shall enter their DBE certification number. DBE prime contractors shall indicate all work to be performed by DBEs including work performed by its own forces.</p> <p>2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished by DBE.</p> <p>3. See Section 2-1.02, "Disadvantaged Business Enterprise," to determine the credit allowed for DBE firms.</p>			<p>_____ Signature of Bidder</p> <p>_____ Date (Area Code) Tel. No.</p> <p>_____ Person to Contact (Please Type or Print)</p>	

TELEPHONE LOG AND LIST OF REJECTED DBEs

Bidders shall submit the following information to demonstrate that a good faith effort to meet the DBE goal has been made if their CALTRANS BIDDER - DBE - INFORMATION form indicates that the DBE goal will not be met.

Even if the bidder's CALTRANS BIDDER - DBE - INFORMATION form indicates the DBE goal will be met, bidders should submit the following information to protect their eligibility for award of the contract. This is important because the submittal of only the CALTRANS BIDDER - DBE - INFORMATION form will not normally provide sufficient information to demonstrate that a good faith effort was made. A bidder may not meet the DBE goal after the submittal is analyzed by Caltrans for various reasons, e.g., if the subcontractor submitted by the bidder was not certified on the date bids were opened, or if the bidder made a mathematical error.

TELEPHONE LOG

The names, dates and times of notices of all certified DBEs solicited by telephone for this project and the dates, times and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested:

<u>Names of DBEs Solicited</u>	<u>Dates and Times of Solicitations</u>	<u>Follow Up Methods Dates and Times</u>
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LIST OF REJECTED DBEs

<u>Names of Rejected DBEs</u>	<u>Reasons for Rejection of DBEs:</u>
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**ENGINEERS ESTIMATE
06-49804**

Item	Item Code	Item	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	M3	5		
42	650465	300 MM REINFORCED CONCRETE PIPE (CLASS IV)	M	23		
43	650466	375 MM REINFORCED CONCRETE PIPE (CLASS IV)	M	1.3		
44	650470	450 MM REINFORCED CONCRETE PIPE (CLASS IV)	M	8		
45 (S)	681136	50 MM PLASTIC PIPE (EDGE DRAIN OUTLET)	M	10		
46 (S)	750007	FRAME AND GRATE	EA	9		
47	820101	MARKER	EA	31		
48	031534	CONCRETE BARRIER MARKER	EA	620		
49	820107	DELINEATOR (CLASS 1)	EA	6		
50 (S)	832001	METAL BEAM GUARD RAILING	M	150		
51 (S)	839302	SINGLE THRIE BEAM BARRIER (WOOD POST)	M	7		
52 (S)	839546	TERMINAL SECTION (THRIE BEAM BARRIER)	EA	1		
53 (S)	839551	TERMINAL SECTION (TYPE B)	EA	1		
54 (S)	839553	END SECTION	EA	3		
55 (S)	839559	TERMINAL SYSTEM (TYPE ET)	EA	1		
56 (S)	839565	TERMINAL SYSTEM (TYPE SRT)	EA	27		
57 (S)	839568	TERMINAL ANCHOR ASSEMBLY (TYPE SFT)	EA	20		
58 (S)	839591	CRASH CUSHION, SAND FILLED	EA	1		
59 (S)	840515	THERMOPLASTIC PAVEMENT MARKING	M2	430		
60 (S)	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	M	127000		