

**DEPARTMENT OF TRANSPORTATION**

DES-OE MS #43  
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**\*\* WARNING \*\* WARNING \*\* WARNING \*\* WARNING \*\***  
**This document is intended for informational purposes only.**

*Users are cautioned that Caltrans does not assume any liability or responsibility based on these electronic files or for any defective or incomplete copying, excerpting, scanning, faxing or downloading of the contract documents. As always, for the official paper versions of the bidders and non-bidder packages, write to the California Department of Transportation, Plans and Bid Documents, Room 0200, P.O. Box 942874, Sacramento, CA 94272-0001, telephone (916) 654-4490 or fax (916) 654-7028. Office hours are 7:30 a.m. to 4:15 p.m. When ordering bidder or non-bidder packages it is important that you include a telephone and fax number, P.O. Box and street address so that you can receive addenda.*

*Note: Addenda information is NOT included with the electronic documents available via electronic file transfer. Only bidder or non-bidder package holders listed with the Caltrans Plans and Bid Documents section as described above will receive addenda information.*

August 22, 2002

06-Fre-33-R100.4/111.7  
06-343534  
ACSTP-P033(058)E

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in FRESNO COUNTY IN MENDOTA AND FIREBAUGH FROM 0.1 KM SOUTH OF BASS AVENUE TO 0.4 NORTH OF MAIN CANAL BRIDGE.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on September 4, 2002.

This addendum is being issued to revise the Proposal and Contract and the Federal Minimum Wages with Modification Number 14 dated 8-9-02. A copy of the modified wage rates are available for the contractor's use on the Internet Site:

**[http://www.dot.ca.gov/hq/esc/oe/weekly\\_ads/addendum\\_page.html](http://www.dot.ca.gov/hq/esc/oe/weekly_ads/addendum_page.html)**

In the Proposal and Contract, the CALTRANS BIDDER – DBE – INFORMATION AND GOOD FAITH EFFORT (pages 1, 2, and 3 of 3) are added as attached.

To Proposal and Contract book holders:

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Submit bids in the Proposal and Contract book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

This office is sending this addendum by confirmed facsimile to all book holders to ensure that each receives it.

If you are not a Proposal and Contract book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

ORIGINAL SIGNED BY

REBECCA D. HARNAGEL, Chief  
Office of Plans, Specifications & Estimates  
Office Engineer

Attachments

**CALTRANS BIDDER - DBE - INFORMATION**

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in Section 2-1.02B of the Special Provisions. Failure to submit the required DBE information will be grounds for finding the proposal nonresponsive.

**DISTRICT-CO.-RTE.-K.P.:** \_\_\_\_\_  
**DISTRICT-CONTRACT NO.:** \_\_\_\_\_  
**BID AMOUNT: \$** \_\_\_\_\_  
**BID OPENING DATE:** \_\_\_\_\_  
**BIDDER'S NAME:** \_\_\_\_\_  
**DBE GOAL FROM CONTRACT, %:** \_\_\_\_\_  
**DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup>:** \_\_\_\_\_

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification # , DBE address and phone number)	DOLLAR AMOUNT DBE <sup>3</sup>
<p><b>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Copies of the DBE quotes are required. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above shall be consistent, where applicable, with the names and items of work in the "List of Subcontractors" submitted with your bid pursuant to the Subcontractors Listing Law and Section 2-1.01, "General," of the Special Provisions.</b></p> <p>1. DBE prime contractors shall enter their DBE certification number. DBE prime contractors shall indicate all work to be performed by DBEs including work performed by its own forces.</p> <p>2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished by DBE.</p> <p>3. See Section 2-1.02, "Disadvantaged Business Enterprise," to determine the credit allowed for DBE firms.</p>			<p align="center"><b>Total Claimed Participation</b></p> <p>\$ _____</p> <p>_____ %</p>	
			<p>_____ Signature of Bidder</p> <p>_____ Date (Area Code) Tel. No.</p> <p>_____ Person to Contact (Please Type or Print)</p>	

CT Bidder - DBE Information (12-01-99)

**DBE INFORMATION**  
**GOOD FAITH EFFORTS**

Low, second low and third low bidders shall submit the following information to document adequate good faith efforts. Bidders should submit the following information even if the CALTRANS BIDDER - DBE INFORMATION form indicates that the bidder has met the DBE goal. This will protect the bidder's eligibility for award of the contract if Caltrans determines that the bidder failed to meet the goal for various reasons, e.g., a DBE firm was not certified at bid opening, or the bidder made a mathematical error.

Submittal of only the CALTRANS BIDDER - DBE INFORMATION form may not provide sufficient documentation to demonstrate that an adequate good faith effort was made.

The following items are listed in the Section entitled \"Submission of DBE Information\" of the Special Provisions:

- A. The names and dates of each publication in which a request for DBE participation for this project was placed by the bidder (please attach copies of advertisements or proofs of publication):

Publications	Dates of Advertisement
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- B. The names and dates of written notices sent to certified DBEs soliciting bids for this project and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested (please attach copies of solicitations, telephone records, fax confirmations, etc.):

Names of DBEs Solicited	Date of Initial Solicitation	Follow Up Methods and Dates
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- C. The items of work which the bidder made available to DBE firms, including, where appropriate, any breaking down of the contract work items (including those items normally performed by the bidder with its own forces) into economically feasible units to facilitate DBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation was made available to DBE firms.

Items of Work:

Breakdown of Items:

D. The names, addresses and phone numbers of rejected DBE firms, the reasons for the bidder's rejection of the DBEs, and the firms selected for that work (please attach copies of quotes from the firms involved):

Names, addresses and phone numbers of rejected DBEs and the reasons for the bidder's rejection of the DBEs:

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Names, addresses and phone numbers of firms selected for the work above:

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E. Efforts made to assist interested DBEs in obtaining bonding, lines of credit or insurance, and any technical assistance or information related to the plans, specifications and requirements for the work which was provided to DBEs:

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F. Efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate.

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G. The names of agencies, organizations or groups contacted to provide assistance in contacting, recruiting and using DBE firms (please attach copies of requests to agencies and any responses received, i.e., lists, Internet page download, etc.).

Name of Agency/Organization	Method/Date of Contact	Results
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H. Any additional data to support a demonstration of good faith efforts:

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**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.