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**** WARNING ** WARNING ** WARNING ** WARNING ****

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March 10, 2008

06-Tul-63-9.3/12.9
06-307004

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in TULARE COUNTY IN VISALIA FROM PACKWOOD CREEK TO ROUTE 63/198 SEPARATION.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on March 25, 2008.

This addendum is being issued to revise the Project Plans, and the Notice to Contractors and Special Provisions.

On Project Plan Sheet 87, the following note is added as follows:

"9. UTILITY LOCATION INFORMATION IS INCOMPLETE."

In the Special Provisions, Section 11, "MODIFIED STANDARD SPECIFICATION SECTIONS," and Section 11-1, "QUALITY CONTROL/QUALITY ASSURANCE," are added as attached.

To Proposal and Contract book holders:

Inquiries or questions in regard to this addendum must be communicated as a bidder inquiry and must be made as noted in the NOTICE TO CONTRACTORS section of the Notice to Contractors and Special Provisions.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Submit bids in the Proposal and Contract book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

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This office is sending this addendum by confirmed facsimile to all book holders to ensure that each receives it. A copy of this addendum is available for the contractor's use on the Internet Site:

http://www.dot.ca.gov/hq/esc/oe/weekly_ads/addendum_page.html

If you are not a Proposal and Contract book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

ORIGINAL SIGNED BY

REBECCA D. HARNAGEL, Chief
Office of Plans, Specifications & Estimates
Division of Engineering Services - Office Engineer

Attachment

SECTION 11. MODIFIED STANDARD SPECIFICATION SECTIONS

SECTION 11-1. QUALITY CONTROL / QUALITY ASSURANCE

Asphalt concrete shall conform to the provisions in this Section 11-1, "Quality Control / Quality Assurance," and the section entitled "Asphalt Concrete" in Section 10-1, "General," of these special provisions. Section 39, "Asphalt Concrete," of the Standard Specifications shall not apply to Type A and Type B asphalt concrete.

SECTION 39: ASPHALT CONCRETE

39-1 GENERAL

39-1.01 DESCRIPTION

This work shall consist of furnishing and mixing aggregate and asphalt binder at a central mixing plant, transporting, spreading and compacting the mixture, and furnishing and placing pavement reinforcing fabric, in conformance with the provisions in this Section 11-1, "Quality Control / Quality Assurance," and with "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

The Contractor shall be responsible for controlling the quality of the asphalt concrete product entering the work, including aggregate, asphalt binder, additives, and asphalt concrete mixture; for controlling the quality of the work performed, including mix design, and mixing, transporting, spreading, and compacting the asphalt concrete; for controlling the quality of the finished roadway surface; and for developing, implementing, and maintaining a quality control program. The Contractor shall be responsible for the inspection, sampling, and testing required to control the quality of the asphalt concrete and the work performed.

The inspection, sampling, and testing required to control the quality of the workmanship and the asphalt concrete shall conform to this Section 11-1. Sampling shall be in conformance with the provisions of this Section 11-1 and with the requirements in California Test 125. Testing shall be performed using California Test Methods unless otherwise directed by the Engineer or this Section 11-1.

Asphalt concrete is designated as Type A or Type B. The type of asphalt concrete will be shown on the plans or specified in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

39-2 MATERIALS

39-2.01 ASPHALTS

Asphalt binder to be mixed with aggregate shall be steam-refined paving asphalt conforming to the provisions in Section 92, "Asphalts," of the Standard Specifications. The grade of asphalt binder shall be designated in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

Liquid asphalt for prime coat shall conform to the provisions in Section 93, "Liquid Asphalts," of the Standard Specifications and shall be the grade designated by the contract item or the provisions in "Asphalt Concrete," in Section 10-1, "General," of these special provisions.

Asphalt emulsion for paint binder (tack coat) shall conform to the provisions in Section 94, "Asphaltic Emulsions," of the Standard Specifications for the rapid-setting or slow-setting type and grade approved by the Engineer.

Paving asphalt to be used as a binder for pavement reinforcing fabric shall be a steam-refined paving asphalt conforming to the provisions in Section 92, "Asphalts," of the Standard Specifications, and shall be the grade specified in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

39-2.02 AGGREGATE

Aggregate and combined aggregate shall conform to the quality and gradation provisions in this Section 11-1 for the asphalt concrete types and sizes conforming to the provisions in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

Aggregates shall be clean and free from decomposed or organic materials and other deleterious substances. Coarse aggregate is material retained on the 4.75-mm sieve, fine aggregate is material passing the 4.75-mm sieve, and supplemental fine aggregate is added fine material passing the 600- μ m sieve, including, but not limited to, lime, cement and stored fines from dust collectors.

The target value for the percent passing each designated sieve size for the aggregate blend used in the proposed asphalt concrete mix design, including supplemental fine aggregate, shall fall within the "Target Value Limits" of the following table:

Table 39-1 AGGREGATE GRADATION
Type A and Type B Asphalt Concrete
Percentage Passing

19-mm Maximum, Coarse		19-mm Maximum, Medium	
Sieve Sizes	Target Value Limits	Sieve Sizes	Target Value Limits
25-mm	100	25-mm	100
19-mm	90 - 100	19-mm	90 - 100
9.5-mm	60 - 75	9.5-mm	65 - 80
4.75-mm	45 - 50	4.75-mm	49 - 54
2.36-mm	32 - 36	2.36-mm	36 - 40
600-µm	15 - 18	600-µm	18 - 21
75-µm	3 - 7	75-µm	3 - 8

12.5-mm Maximum, Coarse		12.5-mm Maximum, Medium	
Sieve Sizes	Target Value Limits	Sieve Sizes	Target Value Limits
19-mm	100	19-mm	100
12.5-mm	95 - 100	12.5-mm	95 - 100
9.5-mm	75 - 90	9.5-mm	80 - 95
4.75-mm	55 - 61	4.75-mm	59 - 66
2.36-mm	40 - 45	2.36-mm	43 - 49
600-µm	20 - 25	600-µm	22 - 27
75-µm	3 - 7	75-µm	3 - 8

During asphalt concrete production, aggregate gradation shall be within the limits specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Conformance with the grading requirements shall be determined by California Test 202, modified by California Test 105, when there is a difference in specific gravity of 0.2 or more between the coarse and fine portions of the aggregate or between the blends of the different aggregates. The percent passing the 75-µm sieve shall be reported to the first decimal place (tenths).

The combined aggregate shall conform to the following quality requirements before the addition of the asphalt binder:

Table 39-2 AGGREGATE QUALITY REQUIREMENTS

Quality	California Test	Asphalt Concrete	
		Type A	Type B
Percent of Crushed Particles Coarse Aggregate (Min.) Fine Aggregate (Passing 4.75-mm , Retained on 2.36-mm) (Min.)	205	90% 70%	25% 20%
Los Angeles Rattler Loss at 100 Rev. (Max.) Loss at 500 Rev. (Max.)	211	10% 45%	50%
Sand Equivalent (Min.) ¹	217	47	42
Kc Factor (Max.)	303	1.7	1.7
Kf Factor (Max.)	303	1.7	1.7

Note:

1 Reported value shall be the average of 3 tests split from a single sample.

39-2.03 ASPHALT CONCRETE MIXTURE

The asphalt concrete mixture, composed of the proposed aggregate blend, antistrip additives, and the proposed asphalt binder content as determined by California Test 367, shall conform to the following requirements:

Table 39-3 ASPHALT CONCRETE MIXTURE REQUIREMENTS

Design Parameters	California Test	Asphalt Concrete Type and Location			
		Coast and Valley		Desert (per Engineer)	
		Type A	Type B	Type A	Type B
Hveem Stabilometer Value (Min)	366 ^{1,2}	37	35	37	35
Percent air voids (Mix Design) (3 briquettes at Mix Design) ⁴ (Start-Up Production Evaluation) ⁵	367 ¹	4 ³	4 ³	5 ³	5 ³
		3 - 5	3 - 5	4 - 6	4 - 6
		Design Value ±2.0			
Swell ⁴ (mm) (Max)	305	0.76	0.76	0.76	0.76

Notes:

1. Reported value shall be the average of 3 tests from a single split sample.
2. A set of 3 briquettes shall be prepared and tested separately. If the range of stability for the 3 briquettes is more than 12 points, the briquettes shall be discarded and new samples shall be fabricated and tested.
3. California Test 367, Paragraph C5, is modified to "4%" for Coast and Valley and "5%" for Desert. California Test 309 shall be used to determine the theoretical maximum specific gravity of each asphalt content in conformance with the requirements in "Determination of Theoretical Maximum Specific Gravity of Mixtures with Different Asphalt Contents" located at:

<http://www.dot.ca.gov/hq/esc/Translab/fpmlab.htm>

4. Mix design submittal shall include test results for percent air voids for 3 briquettes constructed using the submitted aggregate and asphalt blended at the proposed target values. Result shall be average for the 3 briquettes.
5. For production evaluation of air voids, California Test 309 shall be used to measure theoretical maximum specific gravity instead of calculating maximum specific gravity in California Test 367. Determine the theoretical maximum specific gravity once for a single split sample. For bulk specific gravity, the reported value shall be the average of 3 tests from a single split sample.

During production and placement, the asphalt concrete mixture shall conform to the requirements of Table 39-4, "Minimum Process Control Requirements," and Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Changes in cold feed or hot bin proportions to conform to the aggregate grading requirements shall not be considered changes in the mix design.

Whenever asphalt concrete production has been suspended for longer than 30 days, the Contractor, on the first day of resumption of production, shall sample and test the asphalt concrete to demonstrate conformance with the requirements of Table 39-3, "Asphalt Concrete Mixture Requirements," Table 39-4, "Minimum Process Control Requirements," and Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1.

The target value for asphalt content may be changed by as much as ±0.2 percent during the production start-up evaluation specified in Section 39-10.02A, "Production Start-Up Evaluation," of this Section 11-1 or after production start-up evaluation and before the first day of regular production with the Engineer's approval. The Contractor shall demonstrate that asphalt concrete that has been produced through the plant using the modified target value for asphalt content is in conformance with the provisions in this Section 11-1 by submitting test results for samples obtained from the first 500 tonnes of production. Stability and percent air voids shall be determined using 3 briquettes constructed from a single sample taken from 4 locations across the mat in conformance with the requirements of California Test 125.

Changes from one mix design to another shall not be made during the progress of the work, unless approved by the Engineer. Changes in target asphalt content of placed asphalt concrete (other than those allowed during the start-up evaluation process), in aggregate grading target values, or in aggregate sources shall be considered to be a change in the asphalt concrete mixture and shall require a new mix design proposal. Changes in the asphalt content or aggregate grading target values approved by the Engineer will not be applied retroactively for acceptance or payment. Adjustment to the control setting at the plant to achieve a target asphalt content in the field is allowed and shall not be considered as a change in the asphalt concrete mixture.

39-2.04 PAVEMENT REINFORCING FABRIC

Pavement reinforcing fabric shall conform to the provisions in Section 88, "Engineering Fabrics," of the Standard Specifications and these special provisions.

39-3 ASPHALT CONCRETE MIX DESIGN PROPOSAL AND REVIEW

39-3.01 CONTRACTOR MIX DESIGN PROPOSAL

The Contractor shall submit for the Engineer's review a proposed asphalt concrete mix design for each asphalt concrete mixture to be used at least 7 days before production of that asphalt concrete mixture. The asphalt concrete mix design shall be prepared by a laboratory (or laboratories) whose proficiency has been reviewed and qualified in conformance with the Department's Independent Assurance Program. Aggregate quality and asphalt concrete mix design test results shall be no more than one year old when production of the asphalt concrete mixture starts. For projects of more than one year's duration, asphalt concrete may be produced using the asphalt concrete mix design that was reviewed and accepted at the start of the project provided the asphalt concrete mixture continues to conform to the provisions of this Section 11-1.

The Contractor shall submit a mix design letter that indicates the target values proposed for gradation, asphalt content, and percent air voids. This submittal shall include test results and raw test data for aggregate quality and asphalt concrete mixture requirement; plots of the combined gradings showing the production tolerances; plots of unit mass, stability, and percent air voids versus asphalt content for the asphalt contents considered in the design process. In addition, this submittal shall include test results for stability, percent air voids, and swell for 3 briquettes constructed using the submitted aggregate and asphalt blended at the proposed target values for each asphalt concrete mixture to be used.

The Contractor shall submit the following for each asphalt concrete mixture proposed:

A. Aggregate and supplemental fine aggregate:

1. Target values for percent passing each sieve size for the aggregate blend;
2. Results of quality tests for aggregate;
3. Source of each aggregate to be used including producer, location, and California Mine Identification number;
4. Percentage of each aggregate stockpile, cold feed or hot bin to be used; and
5. Gradation of each aggregate stockpile, cold feed or hot bin to be used.

B. Asphalt binder:

1. Asphalt binder source and target value;
2. Type and grade of binder; and
3. Material Safety Data Sheets.

C. Antistrip additives, when applicable:

1. Name of product, manufacturer, manufacturer's designation and proposed rate, location, and method of addition; and
2. Material Safety Data Sheets.

The proposed asphalt concrete mix design submittal will be considered complete only when the submittal items, including the mix design letter, test results, and plots, have been received by the Engineer.

39-3.02 ENGINEER REVIEW OF ASPHALT CONCRETE MIX DESIGN

The Engineer will review the proposed aggregate and asphalt concrete mixture for conformance with this Section 11-1. The Engineer will have 7 days to review each submittal of a proposed mix design. Production of asphalt concrete shall not begin until written notification has been received from the Engineer that the submittal conforms to the requirements of this Section 11-1. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, the completion of work is delayed by reason of the failure to review, the Engineer will adjust payment and contract time in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

39-4 CONTRACTOR QUALITY CONTROL

39-4.01 GENERAL

The Contractor shall be responsible for the quality of the asphalt concrete entering into the work and of the work performed. In addition, the Contractor shall be responsible for the quality of asphalt concrete or ingredients procured from subcontractors or vendors. A quality control system shall be established, maintained, and modified, if needed, that will provide assurance that materials and completed work conform to contract requirements.

At least 14 days before the start of production of asphalt concrete, the Contractor shall submit a written Quality Control Plan. At the request of the Engineer or the Contractor, the Contractor shall discuss the Quality Control Plan with the Engineer.

39-4.02 QUALITY CONTROL PLAN

The Quality Control Plan shall describe the organization and procedures that will be used to administer the quality control system including the procedures used to control the production process, the procedures used to determine when changes to the production process are needed, and the procedures proposed to be used to implement the required changes. The Quality Control Plan shall meet the minimum standards set forth in the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement," available at:

<http://www.dot.ca.gov/hq/construc>

Asphalt concrete production and placement shall not begin until the Engineer has approved the Quality Control Plan. Approval of the Quality Control Plan does not imply a warranty by the Engineer that adherence to the plan will result in production of asphalt concrete that complies with this Section 11-1. It shall remain the responsibility of the Contractor to demonstrate such compliance.

The Quality Control Plan shall include the name and qualifications of a Quality Control Manager. The Quality Control Manager shall be responsible for the administration of the Quality Control Plan, including compliance with the plan and plan modifications. The Quality Control Manager shall be responsible to the Contractor, shall have the authority to make decisions concerning quality of the work or product, and shall be available to the project within less than 3 hours during paving. Except in cases of emergency and with the approval of the Engineer, the Quality Control Manager cannot be a foreman, member of the production or paving crew, an inspector or tester on this project during pavement production and placement.

The Quality Control Plan shall identify personnel, equipment and documentation required for a complete inspection, sampling and testing program. The Quality Control Plan shall include, but not be limited to, a list of inspectors, samplers and testers, their duties, their qualification if required, and their experience if no qualification is required. The Contractor shall maintain this list with current information. The Quality Control Plan shall also list the name and location of laboratories that shall be providing information to the Engineer, the testers who conducted the tests and their qualification and the name of the Laboratory Quality Control Manager responsible for oversight of the testing program. It shall also show examples of the test result forms (if different from those in the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement"), the roadway and plant inspection forms, the Quality Control Manager's daily summary form, and the compliance charts. It shall include the method by which random sampling shall be determined, a list of the testing and sampling equipment to be used and the current calibration dates and calibration charts, and copies of nuclear gauge licenses.

The Quality Control Plan shall include the name and qualification of a testing consultant to be an Independent Third Party in dispute resolution. By mutual agreement during dispute resolution, the Independent Third Party may be a District Independent Assurance Representative, the testing consultant, or both. The proficiency of the testing consultant shall be reviewed and qualified in conformance with the requirements of the Department's Independent Assurance Program before the test consultant participates in dispute resolution. Attention is directed to Section 39-6, "Dispute Resolution," of this Section 11-1.

The Quality Control Plan may be modified as work progresses. A supplement shall be submitted whenever there are changes to quality control procedures or personnel. Asphalt concrete production and placement shall not resume or continue until the Engineer approves revisions to the Quality Control Plan or personnel.

39-4.03 CONTRACTOR QUALITY CONTROL INSPECTION, SAMPLING, AND TESTING

The Contractor shall perform process and quality control sampling and testing, provide inspection, and exercise management control to ensure that asphalt concrete production and placement conforms to the provisions of this Section 11-1. Staffing for process and quality control shall meet the minimum requirements outlined in the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement."

Process and quality control, sampling, testing, and inspection shall be provided during the asphalt concrete work. Sampling, testing, and inspection shall be performed at a rate sufficient to ensure that asphalt concrete conforms to the provisions of this Section 11-1.

A roadway inspector shall be provided while asphalt concrete paving operations are in progress. The roadway inspector shall ensure that asphalt concrete placement conforms to industry standards and to the spreading, compacting, and finishing specifications of this Section 11-1. Plant inspection shall be performed as necessary to maintain control of the asphalt concrete production.

Minimum sampling and testing requirements for process and quality control are specified in Table 39-4, "Minimum Process Control Requirements," and Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Sampling shall be statistically based and random.

During production start-up evaluation, the Contractor shall sample and test in conformance with the provisions in Section 39-10.02A, "Production Start-Up Evaluation," of this Section 11-1.

A testing laboratory and personnel shall be provided for the performance of process and quality control testing. The Engineer shall have unrestricted access to mix design, sampling, and testing.

The proficiency of testing laboratories and sampling and testing personnel shall be reviewed, certified, and qualified by the Department's Independent Assurance Sampler and Tester before providing services to the project. Inspectors shall meet the standards set forth in the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement."

The Contractor shall retain for inspection the records generated as part of the Contractor's Quality Control, inspection, sampling, and testing for at least 3 years.

39-4.04 CONTRACTOR PROCESS CONTROL

Process control sampling and testing shall be performed and control shall be exercised to ensure that asphalt concrete production conforms to this Section 11-1.

Minimum process control sampling and testing shall be performed in compliance with Table 39-4

Table 39-4 MINIMUM PROCESS CONTROL REQUIREMENTS

Quality Characteristic	Action Limit	California Test	Minimum Sampling and Testing Frequency	Point of Sampling ⁶ ‡	Reporting Time Allowance
Sand Equivalent (Min)	47 (Type A) 42 (Type B)	217 (Reported value shall be the average of 3) ¹	One sample per 2,500 tonnes. Not less than one sample per 2 days	Batch plant - from hot bins. Drum plant - from composite cold feed.	24 hours
Stability	37 (Type A) 35 (Type B)	366 ^{2,3} (Reported value shall be the average of 3) ^{1,4}	See Note 5. Not less than one sample per 5 days		48 hours

Notes:

‡ In conformance with the requirements of California Test 125.

1. Samples used for the 3 tests to be averaged shall be from a single split sample and the average shall be reported.
2. Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60±3°C by allowing the briquettes to cool at room temperature for 0.5-hour, then place the briquettes in the oven at 60°C for a minimum of 2 hours and not more than 3 hours before applying the 56 kN leveling off load."
3. Modify California Test 304, Part 1.B.1. Paragraph 2, eliminate the requirement of curing sample for 15 hours.
4. Briquettes shall be fabricated from a single, combined sample obtained from at least 4 locations in a line transversely across the mat immediately behind the paver in conformance with the requirements of California Test 125. If the range of stability for the 3 briquettes is more than 12 points, the samples shall be discarded and new samples shall be obtained before the end of the following shift of paving and tested in conformance with the requirements of Table 39-3, "Asphalt Concrete Mixture Requirements."
5. Asphalt concrete shall be sampled daily. Asphalt concrete shall be tested each of the first 5 days of production and may be decreased to one for each 5 days thereafter unless stability falls below the action limit. When stability falls below the action limit, testing will be increased to one test for each of the following 5 days of production and may be decreased to one for each 5 days thereafter unless stability drops below the action limit. The sequence of the first 5 test results shall not be broken by more than 7 days of suspended operations.
6. Aggregate that has been lime-treated before asphalt concrete production shall be sampled and tested for sand equivalent before lime treatment.

Aggregate that will be lime-treated shall be sampled and tested for sand equivalent before asphalt concrete production and lime treatment.

The process control test results shall be plotted on specification compliance charts indicating the action limits for the quality characteristic. When one test result falls below the action limit for an individual measurement, the Contractor shall notify the Engineer, take corrective action, and sample and test within the next 500 tonnes of production. When 2 consecutive reported values of test results for an individual characteristic fall below the action limit, the asphalt concrete represented by the 2 tests shall be considered to be not in conformance with the provisions in this Section 11-1 and the Contractor shall suspend production, notify the Engineer, and take corrective action. The asphalt concrete represented by the 2 tests shall be considered to be the asphalt concrete placed after the last test that was in-conformance and the asphalt

concrete placed before the report date of the second test that was not in conformance. With the approval of the Engineer, up to 500 tonnes of asphalt concrete may be placed to demonstrate that the asphalt concrete is once again in conformance with the provisions of this Section 11-1. Production shall begin only after the Engineer has received test results confirming conformance.

If asphalt concrete has 2 consecutive stability test results below the specified action limits but above 26 for Type A and 24 for Type B, the Engineer will not consider that asphalt concrete in the quality factor calculation for individual quality characteristic and composite. The asphalt concrete quantity represented by these tests will be paid for at the contract price per tonne for the type of asphalt concrete in the Engineer's Estimate multiplied by a quality factor of 0.9.

The Engineer will reject asphalt concrete that has 2 consecutive stability test results less than or equal to 26 for Type A or 24 for Type B.

The Engineer will reject asphalt concrete placed to demonstrate conformance with the stability action limits that does not conform to the provisions of this Section 11-1.

39-4.05 CONTRACTOR QUALITY CONTROL

Quality control, sampling, testing, and inspection shall be provided during asphalt concrete work. Sampling, testing, and inspection shall be performed at a rate sufficient to ensure that the asphalt concrete product conforms to the requirements in this Section 11-1. Sampling for testing to be reported to the Engineer shall be performed at the minimum frequency specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1.

Quality control samples of aggregates and asphalt concrete mixture shall be obtained and split. One split portion of each sample shall be used for quality control testing and the other portion shall be reserved for possible retest during dispute resolution, in conformance with the provisions in Section 39-6, "Dispute Resolution," of this Section 11-1. Quality control samples shall be stored in a location listed in the Quality Control Plan until disposal has been approved by the Engineer.

The Contractor shall split samples taken for theoretical maximum density into 4 portions. The Contractor shall use one portion for determination of theoretical maximum density and submit the other 3 portions to the Engineer. The Contractor shall notify the Engineer when the sample was used to determine theoretical maximum density. The Engineer will store the 3 portions for other uses including dispute resolution.

The Contractor shall obtain a one-liter sample of the asphalt binder in conformance with the provisions in Section 39-7.01C, "Asphalt Binder Storage," of this Section 11-1 for each day of asphalt concrete production. Modified binders shall be canned in one-liter cylindrical cans with open top, friction lids. The Contractor shall send the samples to the Transportation Laboratory with a Sample Identification Form TL-101 on a weekly basis. A copy of the Sample Identification Form TL-101 and shipping documents shall be attached to the daily report of inspection.

When test results for a single quality characteristic deviate beyond the limits specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 the Contractor shall take corrective action and shall bring the asphalt concrete within the specification limits. The corrective action taken shall be documented in the records of inspection in conformance with the provisions in Section 39-4.06B, "Records of Inspection and Testing," of this Section 11-1. When a single quality characteristic deviates 3 consecutive times beyond the limits specified in Table 39-9, "Minimum Quality Control Test Requirements," of this Section 11-1, the Contractor shall suspend production, shall notify the Engineer, and shall take corrective action. With the approval of the Engineer, up to 1,000 tonnes of asphalt concrete may be placed and the requirements of Section 39-10.02A, "Production Start-Up Evaluation," of this Section 11-1 shall be used to demonstrate that the asphalt concrete is once again in compliance with this Section 11-1. Production of asphalt concrete shall start only after the Engineer has received test results confirming compliance. When an individual quality characteristic deviates 3 consecutive times beyond the specification limits and production of asphalt concrete has been suspended, the lot shall be terminated.

39-4.06 CHARTS AND RECORDS

The Contractor shall record sampling and testing results for both process control and for quality control on forms as provided in the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement" or on forms approved by the Engineer. Complete testing records shall be maintained and posted in the Contractor's laboratory. Models of forms that are different from those in the "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement" locations of postings, and times and means of submissions shall be provided in the Quality Control Plan.

The Contractor shall submit the process control and quality control test results using the Department's statistical evaluation program "PACRS" available as specified in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

Compliance charts and inspection and testing records, except stability test results used for process control, shall be submitted within 24 hours after completion of that shift of asphalt concrete production. If the record is incomplete or in

error, a copy of the record will be returned with the deficiencies noted by the Engineer. The Contractor shall correct deficiencies and resubmit the updated record by the start of the following working day. When errors or omissions in the inspection or testing records occur repeatedly as determined by the Engineer, asphalt concrete production and placement shall be suspended and the procedures by which the records are produced shall be corrected before production and placement will be restarted.

39-4.06A COMPLIANCE CHARTS

The Contractor shall develop and maintain time linear specification compliance charts. The compliance charts shall identify the project, test number, test parameter, applicable upper and lower specification limits, and test results.

Compliance charts shall be kept current and shall be posted at a location designated in the Quality Control Plan. Compliance charts shall be updated each day of asphalt concrete production, and up-to-date copies shall be included in the submittals to the Engineer of each day's test results.

39-4.06B RECORDS OF INSPECTION AND TESTING

For each day of asphalt concrete production, the Contractor shall prepare an "Asphalt Concrete Construction Daily Record of Inspection," on forms provided in the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement." A form shall be submitted for inspection at the plant and at the roadway.

For each day of asphalt concrete production, the Contractor shall prepare an "Asphalt Concrete Inspection and Testing Summary" in conformance with the Department's "Quality Control and Quality Assurance Manual for Asphalt Concrete Production and Placement." Plant and roadway inspection forms documenting the day's plant production and roadway placement shall be completed. Deviations from the specifications or the Contractor's regular practice shall be listed and explained. Individual inspection forms shall be signed by the inspector and initialed by the Quality Control Manager and attached to the summary at submittal. Test forms documenting test results shall be complete, signed by the tester, checked and initialed by the Quality Control Manager, and attached to the summary at submittal. Sampling and testing data and calculations that support a test result shall be made available to the Engineer within 48 hours when requested.

The "Asphalt Concrete Inspection and Testing Summary" shall include the following certification signed by the Quality Control Manager:

It is hereby certified that the information contained in this record is accurate, and that information, tests or calculations documented herein comply with the requirements of the contract and the standards set forth in the testing procedures. Exceptions to this certification are documented as a part of this record.

39-5 ENGINEER QUALITY ASSURANCE

39-5.01 GENERAL

The Engineer will assure conformance to contract specifications by review of the Contractor's mix design proposal, by inspection of the Contractor's procedures, by oversight of the Contractor's quality control inspection and records, by splitting and testing samples with the Contractor during evaluation of the plant production start-up and the nuclear density test strip, and by independent verification sampling and testing of the asphalt concrete and aggregates during asphalt concrete production.

The Contractor may witness assurance sampling and testing. However, the Engineer will not be required to notify the Contractor of anticipated sampling schedules or locations and will not delay sampling or testing if the Contractor is unable to attend. The Contractor shall not use samples taken for assurance testing for testing and submittal as a quality control test result.

The Engineer will provide the Contractor with copies of the assurance test results not more than 2 business days after receipt of the results. Sampling and testing data and calculations that support a test result shall be made available to the Contractor within 2 business days when requested.

The Engineer may test the asphalt, aggregates or asphalt concrete mixture to determine conformance with the provisions in this Section 11-1 whenever an asphalt concrete mixture or ingredient appears defective or inconsistent or whenever a test result indicates a change in the characteristics of the asphalt concrete mixture or an ingredient. Asphalt, aggregates or asphalt concrete that does not conform to this Section 11-1 will be rejected in conformance with the provisions in Section 39-11, "Acceptance of Work," of this Section 11-1.

The Contractor, when directed by the Engineer, shall obtain representative samples of the asphalt concrete mixture or ingredients that appear defective or inconsistent. The samples shall be split into 4 portions. The Contractor shall retain 1 portion for testing if the Contractor chooses and 3 portions shall be delivered to the Engineer. The asphalt concrete or ingredient need not be sampled if the Contractor elects to remove and replace the asphalt concrete or if the Contractor uses a method of correcting the situation that has been approved by the Engineer. Test results from these additional samples shall not be included in the calculation of Quality Factor and compensation adjustment.

39-5.02 SAMPLING AND TESTING FOR VERIFICATION

Independent of the Contractor's quality control testing, the Engineer will obtain random samples of the aggregate and asphalt concrete mixture and test for in-place density.

Samples of aggregates and asphalt concrete will be obtained during asphalt concrete production and placement, and will be split into at least 4 portions. One of the split portions will be tested by the Engineer and used to verify quality control test results, one portion will be provided to the Contractor, and 2 portions will be reserved and stored for testing in conformance with the provisions in Section 39-6, "Dispute Resolution," of this Section 11-1.

The Engineer will test for material quality characteristics specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Verification tests will be at a frequency of not less than 10 percent of the minimum quality control sampling and testing frequency and will be performed in conformance with the test methods specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Verification tests will be performed using the same test methods used for quality control testing.

During production start-up evaluation, the Engineer will witness the sampling of asphalt concrete and aggregates and will perform tests on the materials in conformance with the provisions in Section 39-10.02A, "Production Start-Up Evaluation," of this Section 11-1.

39-5.03 VERIFICATION

The Engineer will determine the acceptability of the quality control test results by using the *t*-test for sample means to test whether or not the means of the quality control test results and verification test results are within an allowable testing difference. Quality control test results and verification test results for each indexed quality characteristic will be used in the verification process.

The *t*-value of the group of test data to be verified is computed as follows:

Where:

n_c	=	Number of Contractor's quality control tests (minimum of 2 required)
n_v	=	Number of Verification tests (minimum of 1 required)
\bar{X}_c	=	Mean of the Contractor's quality control tests
\bar{X}_v	=	Mean of the Verification tests
S_p	=	Pooled standard deviation (When $n_v = 1$, $S_p = S_c$)
S_c	=	Standard deviation of the Contractor's quality control tests
S_v	=	Standard deviation of the Verification tests (when $n_v > 1$)

The comparison of quality control test results and verification test results will be considered at a level of significance, $\alpha = 0.01$. Compute t using the equation above and compare to the critical t -value, t_{crit} , from the following table:

Table 39-5 CRITICAL t -VALUE FOR VERIFICATION OF QUALITY CONTROL TESTING

degrees of freedom (nc+nv-2)	t_{crit} (for $\alpha = 0.01$)	degrees of freedom (nc+nv-2)	t_{crit} (for $\alpha = 0.01$)
1	63.657	18	2.878
2	9.925	19	2.861
3	5.841	20	2.845
4	4.604	21	2.831
5	4.032	22	2.819
6	3.707	23	2.807
7	3.499	24	2.797
8	3.355	25	2.787
9	3.250	26	2.779
10	3.169	27	2.771
11	3.106	28	2.763
12	3.055	29	2.756
13	3.012	30	2.750
14	2.977	40	2.704
15	2.947	60	2.660
16	2.921	120	2.617
17	2.898	∞	2.576

Quality control test results are verified if the t -value computed is less than or equal to t_{crit} ($t \leq t_{crit}$). If the quality control test results of any asphalt quality characteristics are not verified by the t -value comparison, then the difference between the means of the quality control test results and verification test results will be compared with an allowable testing difference. Quality control test results are not verified if the difference between the means exceeds the allowable testing difference. The allowable testing difference in means attributable to normal test variation shall be determined as follows:

Where:

d_x = Allowable difference between means

S_r = Precision Index for the test method from Table 39-6

n_c = Number of Contractor's quality control tests (minimum of 2 required)

n_v = Number of Verification tests (minimum of one required)

Table 39-6 PRECISION INDEX

Quality	California Test	Precision Index
Sand Equivalent (min.)	217	8
Hveem Stabilometer Value (min.)	366	6.6
Percent Air Voids ¹	367	1.6
Asphalt Content	379	0.23%
	382	0.18%
Gradation	202	
	19-mm or 12.5-mm	0.9%
	9.5-mm	2.4%
	4.75-mm	2.0%
	2.36-mm	1.4%
	600- μ m	1.1%
	75- μ m	0.7%
Percent of Theoretical Maximum Density	375	0.88%
Theoretical Maximum Density	309	0.03 g/cc

1. Air voids will be tested only during production start-up evaluation.

If quality control test results of any asphalt quality characteristics are not verified, the Contractor will be notified of the difference. The Engineer will sample asphalt concrete production at a more frequent interval. Resolution of the problem shall be in conformance with the provisions in Section 39-6, "Dispute Resolution," of this Section 11-1.

39-6 DISPUTE RESOLUTION

39-6.01 GENERAL

The Contractor and the Engineer shall work together to avoid potential conflicts and to resolve differences that may arise from a disagreement regarding test result comparisons.

Should the results of the testing fail to meet the criteria of the stage at which the disagreement arose, production shall be suspended or continued conditionally in conformance with the provisions in Section 39-6.03, "During Production," of this Section 11-1. If suspended, production shall not start or resume nor shall asphalt concrete be accepted until the differences have been resolved and the Engineer is assured that the asphalt concrete conforms to this Section 11-1.

When the Engineer and the Contractor, together or separately, are unable to determine the source of error, an Independent Third Party shall act as witness and referee.

In disagreements, if the Engineer's testing process meets the requirements of this Section 11-1, costs related to the review shall be borne by the Contractor. The Contractor's sampling and testing program shall be modified as necessary. New test results shall be submitted to the Engineer. Test results judged to be in error shall be removed from consideration and the new test results shall be substituted. If split samples are not available and retesting is not possible, that portion of the asphalt concrete produced or placed before and during the disagreement will be evaluated based on the results of the Engineer's verification test results.

In disagreements, if the Engineer's testing process fails to meet the requirements of this Section 11-1, costs related to the review shall be borne by the State. The Engineer's sampling and testing program will be modified as necessary. Test results judged to be in error shall be removed from consideration and the new test results shall be substituted. Contractor's retesting due to errors in the Engineer's testing will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. If, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of delays or errors in the Engineer's testing, the delay will be considered a right of way delay as provided in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

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In disagreements, if both the Contractor's and the Engineer's testing processes have failed to meet the requirements of this Section 11-1 or if the cause cannot be determined, each party will bear the costs related to their own review. When appropriate, the Contractor's and the Engineer's sampling and testing programs shall be modified as necessary, split samples of the Contractor's quality control samples or the Engineer's verification samples shall be retested, and the new quality control test results shall be submitted to the Engineer. Test results judged to be in error shall be removed from consideration and the new test results shall be substituted. If split samples of aggregates or asphalt concrete mixture from the Contractor's testing are not available where retesting is required, that portion of the asphalt concrete produced or placed before and during the disagreement will be evaluated based on the results of the Engineer's verification test results.

39-6.02 DURING THE PRODUCTION START-UP EVALUATION

If a dispute occurs during production start-up, the Contractor and Engineer shall review their sampling, testing, and test results. If the Contractor's and the Engineer's review do not resolve the differences, the Contractor's and the Engineer's testing processes shall be witnessed by the Independent Third Party using the 2 remaining portions of the split samples. If necessary, a 500-tonne quantity of asphalt concrete shall be placed at a location agreed to by the Engineer to provide asphalt concrete and ingredients for sampling and testing for the Independent Third Party review.

If an error in the Contractor's testing is detected by the Independent Third Party, the Contractor shall take corrective action and, as appropriate, recalculate or retest the split portion of the trial quantity of asphalt concrete in question. The new test results shall be submitted to the Engineer. If an error in the Engineer's testing is detected by the Independent Third Party, the Engineer will take corrective action and, as appropriate, recalculate or retest the split portion of the first trial quantity.

Production shall not start nor shall asphalt concrete be accepted until the differences have been resolved and the test results meet the provisions in Section 39-10.02, "Production Start-Up Evaluation and Nuclear Density Test Strips," of this Section 11-1.

39-6.03 DURING PRODUCTION

When it is determined that the quality control test results could not be verified, both parties will review their sampling, testing, and test results, and shall share their findings. Testers and laboratories will be made available for witnessing. Calculations and results will be made available for review.

If an error in the quality control sampling or testing is detected during the Contractor's or the Engineer's review, the Contractor shall either recalculate or, if appropriate, retest using the reserved split portions of the quality control samples. These new test results shall be submitted to the Engineer. If an error in the verification sampling or testing is detected, the Engineer will recalculate or, if appropriate, retest using a reserved split portion of the verification samples. Using the new test results, the Engineer will verify the quality control test results in conformance with the provisions in Section 39-5.03, "Verification," of this Section 11-1.

If the verification test results do not verify the quality control test results 3 consecutive times, production and placement of asphalt concrete will be suspended and both the Contractor's and the Engineer's testers shall be witnessed by the Independent Third Party while sampling, splitting, and testing samples from the production unit or from the mat. During the review by the Independent Third Party, the Contractor may continue the production and placement if both means of quality control test results and verification test results conform to the specification limits in Table 39-9, "Minimum Quality Control Requirements," for any quality characteristic. If suspended, the Contractor may produce and place up to 500 tonnes of asphalt concrete to provide materials and sampling opportunities. Production and placement of asphalt concrete will be suspended until the Independent Third Party has completed the review of the Contractor's and the Engineer's sampling and testing and has resolved the differences.

If an error in the Contractor's testing is detected by the Independent Third Party, the Contractor shall take corrective action and, as appropriate, recalculate or retest the split portion of the quality control samples. The new test results shall be submitted to the Engineer. If an error in the Engineer's testing is detected by the Independent Third Party, the Engineer will take corrective action and, as appropriate, recalculate or retest a split portion of the verification samples. When the error has been detected and corrected, production shall resume and the services of the Independent Third Party will be discontinued.

If a problem is not identified during the Independent Third Party review, the Independent Third Party shall be retained for the duration of the project or until a problem has been identified. Until all asphalt concrete has been produced and placed, the Contractor shall sample and split quality control samples in the presence of the Independent Third Party. One portion of each sample shall be tested by the Contractor in conformance with the intervals specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 and the other portion shall be delivered to the Engineer by the Independent Third Party. The Engineer will test at least one of every 5 of the split samples for verification purposes. A new lot will be designated for asphalt concrete produced since the Independent Third Party was consulted. The pay factor for this lot will be determined in conformance with the provisions in Section 39-11.02, "Statistical Evaluation, Determination of Quality Factor and Compensation Adjustment," of this Section 11-1 with the exception that both the Contractor's quality control test results and the Engineer's verification test results will be combined and will be the basis for acceptance of that portion of the work.

The quality factor for the lot of asphalt concrete which brought about the dispute resolution shall be determined in conformance with the provisions in Section 39-11.02, "Statistical Evaluation, Determination of Quality Factor and Compensation Adjustment," of this Section 11-1 with the exception that both the Contractor's quality control test results and the Engineer's verification test results will be combined and will be the basis for acceptance of that portion of the work.

39-7 STORING, PROPORTIONING AND MIXING MATERIALS

39-7.01 STORAGE

The Contractor shall store the aggregate for asphalt concrete so that separately sized aggregates will not be intermingled and shall store asphalt binder so that different grades of asphalt will not be intermingled. Aggregate that has been intermingled with aggregate of another size shall be removed by the Contractor and replaced with aggregate of specified grading.

When the Contractor adds supplemental fine aggregate, each supplemental fine aggregate used shall be stored separately and kept thoroughly dry.

The measurement and storage provisions of this section shall not apply to the dust collected in skimmers and expansion chambers (knock-out boxes) or to the dust collected in centrifugal (cyclone) collectors. Dust from these collectors may be returned to the aggregate without being measured or stored separately, provided the dust is returned uniformly at a point in advance of the sampling device in batch-mixing plants or is returned at or before mixing in continuous mixing plants.

Aggregate and asphalt binder shall be stored in conformance with the following requirements.

39-7.01A AGGREGATE COLD STORAGE

Material shall be fed from storage with a mechanical feeder. Before being fed to the drier, aggregate shall be separated into 3 or more sizes and stored separately.

39-7.01B AGGREGATE HOT STORAGE

Aggregate for asphalt concrete to be mixed in batch mixing plants shall be stored, after being dried, in conformance with the following requirements:

- A. Aggregates for asphalt concrete shall be separated into 3 or more sizes.
- B. After the aggregate is separated, each size shall be stored in a separate bin, and shall be recombined in conformance with the provisions in Section 39-7.03A, "Proportioning for Batch Mixing," of this Section 11-1 in order to conform to the gradings specified in Section 39-2, "Materials," of this Section 11-1. Storage bins shall be provided with chutes to prevent overflow into adjacent bins.

39-7.01C ASPHALT BINDER STORAGE

Asphalt to be used as a binder for asphalt concrete shall be stored in heated tanks.

A suitable sampling device shall be provided in asphalt feed lines connecting plant storage tanks to the asphalt weighing system or spray bar. The sampling device shall consist of a valve with a nominal diameter between 10 mm and 20 mm, constructed in such a manner that a one-quart sample may be slowly withdrawn during plant operations. The valve shall be maintained in good condition and, if the valve fails to function properly, the valve shall be replaced. The sampling device shall be readily accessible and in an area free of dangerous obstructions and shall be between 600 mm and 750 mm above the platform. A drainage receptacle shall be provided for flushing the device before sampling.

The discharge end of the asphalt binder circulating pipe shall be maintained below the surface of the asphalt binder in the storage tank to prevent discharging hot asphalt binder into open air.

A temperature sensing device shall be installed in the asphalt feed line. The device shall measure the temperature of the asphalt and shall be accurate to 5°C increments. An automatic, continuous recording device shall be provided and used to maintain accurate records of the asphalt temperature during production. Where the plant controller has the capability of capturing production data electronically, including ingredient temperatures, and when this data represents the temperature at the time of production and is captured at intervals of not greater than 5 minutes, this process will be considered to be continuous recording. Captured data shall be retained for the duration of the contract and shall be submitted to the Engineer on request.

39-7.02 DRYING

Aggregate shall be fed directly to a drier-drum mixer or to a drier at a uniform rate.

Aggregate shall be dried such that, at the time of spreading, the moisture content of the completed asphalt concrete mixture shall not exceed one percent and the minimum and maximum asphalt concrete mixture temperatures are not exceeded. Moisture content will be determined in conformity with the requirements of California Test 370.

The drier or drier-drum mixer shall be provided with a device that senses the temperature of the material leaving the drier or the drier-drum mixer. The temperature-sensing device shall be accurate to the nearest 5°C. The indicator shall be located and maintained at the point where the proportioning operations are controlled. An automatic continuous recording device shall be provided and used to maintain accurate records of the temperatures during production. Where the plant controller has the capability of capturing production data electronically, including ingredient temperatures, and when this data represents the temperature at the time of production and is captured at intervals of not greater than 5 minutes, this process will be considered to be continuous recording. Captured data shall be retained for the duration of the contract and shall be submitted to the Engineer on request.

The burner used for heating the aggregate shall achieve complete combustion of the fuel.

39-7.03 PROPORTIONING

Proportioning shall be either by hot-feed control or cold-feed control. Hot-feed control and cold-feed control indicate the location of the measuring devices or controls.

The Contractor's mixing equipment shall be equipped with a suitable, safe sampling device that will provide a sample, representative of actual production, of the aggregate being incorporated into the asphalt concrete. The delivery point of samples shall be safe and convenient. When samples are taken from a location above ground level, a means shall be provided for lowering the aggregate samples to the ground.

39-7.03A PROPORTIONING FOR BATCH MIXING

When the Contractor elects to use batch mixing equipment, each aggregate hot storage bin shall be equipped with a sampling device that will provide a sample of the aggregate discharged into the weigh hopper.

Fine material collected in dust control systems, other than centrifugal collectors or knock-out boxes, shall be considered to be supplemental fine aggregate. When supplemental fine aggregate is used, it shall be proportioned by mass.

A sampling device for supplemental fine aggregate shall be installed in each feed line or surge tank preceding the weigh hopper.

39-7.03A(1) Batching Tolerances

Aggregate and asphalt shall be proportioned by mass as follows:

- A. The zero tolerance for aggregate scales shall be 0.5-percent of the total batch mass of the aggregate. The zero tolerance for separate scales for weighing supplemental fine aggregate or asphalt binder shall be 0.05-percent of the total batch mass of the aggregate.
- B. Unless otherwise approved by the Engineer, the indicated mass of material drawn from storage shall not vary from the preselected scale setting as defined by target values of the approved mix design by more than the following percentages of the total batch mass of the aggregate:
 1. Aggregate shall be within one percent, except that when supplemental fine aggregate is used and is weighed cumulatively with the aggregate, the draft of aggregate drawn immediately before the supplemental fine aggregate shall be within 0.5-percent.
 2. Supplemental fine aggregate shall be within 0.5-percent.

Adjustments to control settings at the plant to achieve the target asphalt content in the field is allowed and shall not be considered as a change in the asphalt concrete mixture. The asphalt binder shall be measured by a tank scale.

39-7.03A(2) Automatic Controls

Batch proportioning shall be by an automatic plant controller. The proportioning devices shall be automatic to the extent that the only manual operation required for proportioning materials for one batch shall be a single operation of a switch or starter.

Proportioning devices shall be of a type in which materials discharged from the several bins are controlled by gates or by mechanical conveyors. The batching devices shall be so interlocked that no new batch may be started until weigh hoppers are empty, the scales are at zero, and the discharge gates are closed. The means of withdrawal from the bins and of discharge from the weigh box shall be interlocked so that not more than one bin can discharge onto a given scale at one time, and so that the weigh box cannot be tripped until the required quantity from each of the bins has been deposited therein. In addition, automatic proportioning devices shall be interlocked so that the weighing cycle will be interrupted whenever the amount of material drawn from storage varies from the pre-selected amount by more than the tolerances specified in this Section 11-1.

Whenever the weighing cycle is interrupted, that specific batch shall not be used in the work unless it can be manually adjusted to meet the specified tolerances based on the total mass of the batch. When partial batches are batched, the interlock tolerances, except the zero tolerance, shall apply to the total mass of aggregate in the partial batch.

Proportioning devices shall be operated so that all mass increments required for a batch are preset at the same time. Controls shall be designed so that these settings may be changed without delay and the order of discharge from the several bins can be changed.

Proportioning controls shall be equipped with the means for inspection of the interlock tolerance settings. Instructions for performing the inspection shall be available at the point of operation.

The necessary means shall be provided to check the mass of various proportioned amounts on a separate vehicle scale located at the plant site.

39-7.03B PROPORTIONING FOR CONTINUOUS MIXING

Asphalt binder shall be introduced into the mixer through a meter conforming to the provisions in Section 9-1.01, "Measurement of Quantities," of the Standard Specifications. The asphalt meter shall automatically compensate for changes in the asphalt temperature, unless the meter is the mass flow, coriolis effect, type. The system shall be capable of varying the rate of delivery of binder proportionate with the delivery of aggregate. During a day's run, the temperature of asphalt binder shall not vary more than 30°C. The meter and lines shall be heated and insulated. The binder storage shall be equipped with a device for automatic plant cut-off when the level of binder is lowered sufficiently to expose the pump suction line.

When supplemental fine aggregate is used, it shall be proportioned by a method that uniformly feeds the material within 2 percent of the required amount. Supplemental fine aggregate shall be discharged from the proportioning device directly into the mixer.

The supplemental fine aggregate proportioning system shall function with a degree of accuracy such that, when operated between 30 percent and 100 percent of maximum operating capacity, the average difference between the indicated mass of material delivered and the actual mass delivered shall not exceed one percent of the actual mass for three individual 15-minute runs. For the 3 individual 15-minute runs, the indicated mass of material delivered shall not vary from the actual mass delivered by more than 2 percent of the actual mass.

The fine material collected in dust control systems may be returned to the aggregate production stream without proportioning if returned at a rate commensurate with overall plant production, and if returned at or before the mixer. A return rate of less than 100 percent of the collection rate shall be metered as specified above for supplemental fine aggregate.

The asphalt feeder, each of the aggregate feeders, the supplemental fine aggregate feeder, if used, and the combined aggregate feeder shall be equipped with devices by which the rate of feed can be determined while the plant is in full operation.

The combined aggregate shall be weighed using a belt scale. The belt scale shall be of such accuracy that, when the plant is operating between 30 percent and 100 percent of belt capacity, the average difference between the indicated mass of material delivered and the actual mass delivered shall not exceed one percent of the actual mass for three individual 3-minute runs. For the 3 individual 3-minute runs, the indicated mass of material delivered shall not vary from the actual mass delivered by more than 2 percent of the actual mass. Asphalt Meters used for proportioning at the continuous mixing asphalt concrete plants shall be accurate to within 0.5-percent of the test load for an average of three test runs provided no individual error exceeds one percent.

The actual mass of material delivered for proportioning device calibrations shall be determined by a vehicle scale located at the plant site conforming to the provisions in Section 9-1.01, "Measurement of Quantities," of the Standard Specifications. The vehicle scale shall be error checked within 24 hours of checking the plant's proportioning devices. The plant shall be equipped so that this accuracy check can be made before the first production operation for a project and at other times when requested by the Engineer.

The belt scale for the combined aggregate, the proportioning devices for supplemental fine aggregate, if used, and the asphalt proportioning meter shall be interlocked so that the rates of feed of the aggregates and asphalt will be adjusted automatically (at all production rates and production rate changes) to maintain the asphalt ratio (kilograms of asphalt per 100 kg of dry aggregate including supplemental fine aggregate, if used) designated in the mix design in conformance with the provisions in Section 39-2.03, "Asphalt Concrete Mixture," of this Section 11-1. The plant shall not be operated unless this automatic system is functioning and in good working condition.

Asphalt meters and aggregate belt scales used for proportioning aggregates and asphalt shall be equipped with rate-of-flow indicators to show the rates of delivery of asphalt and aggregate. Meters and scales shall be equipped with resettable totalizers so that the total amounts of asphalt and aggregate introduced into the asphalt concrete mixture can be determined. Rate-of-flow indicators and totalizers for like materials shall be accurate within one percent when compared directly. The asphalt cement totalizer shall not register when the asphalt metering system is not delivering material to the mixer.

The bin or bins containing the fine aggregate and supplemental fine aggregate, if used, shall be equipped with vibrating units or other equipment that will prevent hang-up of material while the plant is operating. Each belt feeder shall be equipped with a device to monitor the depth of aggregate between the troughing rollers. The device for monitoring depth of aggregate

shall automatically shut down the plant whenever the depth of aggregate is less than 70 percent of the target depth. To avoid erroneous shut down by normal fluctuations, a delay between sensing less than 70 percent flow and shutdown of the plant will be permitted, as determined by the Engineer, at the time of the initial California Test 109. A second device shall be located either in the stream of aggregate beyond the belt or where it will monitor movement of the belt by detecting revolutions of the tail pulley on the belt feeder. The device for monitoring no-flow or belt movement, as the case may be, shall stop the plant automatically and immediately when there is no flow. The plant shall not be operated unless both low-flow and no-flow monitoring devices are in good working condition and functioning properly.

For continuous pugmill mixing plants, an aggregate sampling device that will provide a 25-kg to 40-kg sample of the combined aggregate while the plant is in full operation shall be provided in advance of the point where the aggregate enters the mixer.

For drier-drum mixing plants, an aggregate sampling device that will provide a 25-kg to 40-kg sample of the combined aggregate while the plant is in full operation shall be provided in advance of the point where the aggregate enters the drier-drum mixer.

When supplemental fine aggregate is used, a sampling device shall be installed in each feed line or surge tank preceding the proportioning device for the supplemental fine aggregate.

39-7.04 (BLANK)

39-7.05 MIXING

Aggregate, supplemental fine aggregate, and asphalt binder shall be mixed in a batch mixer, continuous mixing pugmill mixer, or continuous mixing drier-drum. The charge in a batch mixer, or the rate of feed to a continuous mixer, shall not exceed that which will permit complete mixing of the material. Dead areas in the mixer, in which the material does not move or is not sufficiently agitated, shall be corrected by a reduction in the volume of material or by other adjustments.

Asphalt binder shall be at a temperature of not less than 120°C nor more than 190°C when added to the aggregate.

The temperature of the aggregate before adding the binder shall not be more than 165°C.

39-7.05A BATCH MIXING

When asphalt concrete is produced by batch mixing, the mixer shall be equipped with a sufficient number of paddles of a type and arrangement so as to produce a properly mixed batch.

The binder shall be introduced uniformly into the mixer along the center of the mixer parallel to the mixer shafts, or by pressure spraying. When a pan is used, it shall be equipped with movable vanes in order that the flow of binder may be directed across the width of the pan, as desired. The vanes shall be equipped with a means for quick adjustment, and a positive lock to prevent shifting.

The mixer platform shall be of ample size to provide safe and convenient access to the mixer and other equipment. The mixer housing and weigh-box housing shall be equipped with gates of ample size to permit ready sampling of the discharge of aggregate from each of the plant bins and from each feed line or surge tank of supplemental fine aggregate, if used. The Contractor shall provide a sampling device capable of delivering a representative sample of sufficient size to permit the required tests.

The mixer shall be equipped with a timing device that will indicate by a definite audible or visual signal the expiration of the mixing period. The device shall measure the time of mixing within 2 seconds.

The time of mixing a batch shall begin on the charging stroke of the weighhopper dumping mechanism and shall end when discharge is started. Mixing shall continue until a homogeneous asphalt concrete mixture of uniformly distributed and properly coated aggregates of unchanging appearance is produced. The time of mixing shall be not less than 30 seconds.

An interval timer shall control the time of mixing. The interval timer shall be interlocked so that the mixer cannot be discharged until the materials have been mixed for the full amount of time specified.

39-7.05B CONTINUOUS MIXING

Continuous mixing plants shall utilize pugmill or drier-drum mixers.

When asphalt concrete is produced by pugmill mixing, the mixer shall be equipped with paddles of a type and arrangement to provide sufficient mixing action and movement to the asphalt concrete mixture to produce properly mixed asphalt concrete. The combined aggregate shall be fed directly from the drier to the mixer at a uniform and controlled rate.

Mixing shall continue until a homogeneous asphalt concrete mixture of thoroughly and uniformly coated aggregates of unchanging appearance is produced at the discharge point from the mixer.

The temperature of the completed asphalt concrete mixture shall not exceed 165°C upon discharge from the mixer.

The mixer shall discharge into a storage silo with a capacity of not less than that specified in Section 39-7.06, "Asphalt Concrete Storage," of this Section 11-1. The Contractor shall provide a means of diverting the flow of asphalt concrete away from the silo to prevent incompletely mixed portions of the asphalt concrete mixture from entering the silo.

39-7.06 ASPHALT CONCRETE STORAGE

When asphalt concrete is stored, it shall be stored only in silos. Asphalt concrete shall not be stockpiled. The minimum quantity of asphalt concrete in a silo during mixing shall be 18 tonnes except for the period immediately following a shutdown of the plant of 2 hours or more. A means shall be provided to indicate that storage in each silo is being maintained as required.

Storage silos shall be equipped with a surge-batcher sized to hold a minimum of 1800 kg of material. A surge-batcher consists of equipment placed at the top of the storage silo that catches the continuous delivery of the completed asphalt concrete mix and changes it to individual batch delivery to prevent the segregation of product ingredients as the completed asphalt concrete mix is placed into storage. The surge-batcher shall be center loading and shall be constructed to prevent material buildup. Rotary chutes shall not be used as surge-batchers.

The surge-batcher shall be independent and distinct from conveyors or chutes used to collect or direct the completed asphalt concrete mixture being discharged into storage silos and shall be the last device to handle the material before it enters the silo. Multiple storage silos shall be served by an individual surge-batcher for each silo. Material handling shall be free of oblique movement between the highest elevation (conveyor outfall) and subsequent placement in the silo. Discharge gates on surge-batchers shall be automatic in operation and shall discharge only after a minimum of 1800 kg of material has been collected and shall close before the last collected material leaves the device. Discharge gate design shall prevent the deflection of material during the opening and closing operation.

Asphalt concrete stored in excess of 18 hours shall not be used in the work. Asphalt concrete mixture containing hardened lumps shall not be used. A storage facility that contained the material with the hardened lumps shall not be used for further storage until the cause of the lumps is corrected.

39-7.07 ASPHALT CONCRETE PLANTS

Plants, including commercial plants, that produce asphalt concrete subject to these specifications shall conform to the provisions in Section 7-1.01F, "Air Pollution Control," of the Standard Specifications, and shall be equipped with a wet-tube dust washer or equal and other devices that will reduce the dust emission to the degree that adjacent property is not damaged. The washer and other equipment shall function efficiently when the plant is in operation.

During production, petroleum products such as diesel fuel and kerosene shall not be used as a release agent on belts, conveyors, hoppers, or hauling equipment.

Plants shall be equipped with an inspection dock constructed so that a quality control technician or inspector standing on the dock can inspect the completed asphalt concrete mixture and take samples, as necessary, from the hauling vehicle before the vehicle leaves the plant site. This inspection dock shall allow the hauling vehicle to pull alongside and shall meet applicable safety requirements of the California Division of Occupational Safety and Health. Haul vehicle drivers shall be instructed to stop at the dock whenever a quality control technician or inspector is on the dock and to remain there until directed to leave by that individual.

39-8 SUBGRADE, PRIME COAT, PAINT BINDER (TACK COAT), PAVEMENT REINFORCING FABRIC, AND RECLAIMED ASPHALT PAVEMENT

39-8.01 SUBGRADE

Immediately before applying prime coat or paint binder (tack coat), or immediately before placing the asphalt concrete when a prime coat or paint binder (tack coat) is not required, the subgrade to receive asphalt concrete shall conform to the compaction requirement and elevation tolerances specified for the material involved and shall be free of loose or extraneous material. If the asphalt concrete is to be placed on an existing base or pavement that was not constructed as part of the contract, the surface shall be cleaned by sweeping, flushing or other means to remove loose particles of paving, dirt, and other extraneous material immediately before applying the prime coat or paint binder (tack coat).

39-8.02 PRIME COAT AND PAINT BINDER (TACK COAT)

A prime coat of liquid asphalt shall be applied to the areas to be surfaced when there is a contract item for the work or when the work is required in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

Prime coat shall be applied only to those areas designated by the Engineer.

Prime coat shall be applied at the approximate total rate of 1.15 L per square meter of surface covered. The exact rate and number of applications will be determined by the Engineer.

Prime coat shall be applied at a temperature conforming to the range of temperatures specified in Section 93-1.03, "Mixing and Applying," of the Standard Specifications for distributor application of the grade of liquid asphalt being used.

A tack coat of asphaltic emulsion shall be furnished and applied in conformance with the provisions in Section 94, "Asphaltic Emulsions," of the Standard Specifications. Paint binder (tack coat) shall be applied to vertical surfaces of existing pavement, curbs, gutters, and construction joints against which asphalt concrete is to be placed in conformance with the provisions in Section 39, "Asphalt Concrete," in Section 10-1, "General," of these special provisions.

Paint binder (tack coat) shall be applied in one application at a rate between 0.10-L to 0.45-L per square meter of surface covered. The exact rate of application will be determined by the Engineer.

At the Contractor's option, paving asphalt may be used for paint binder (tack coat) instead of asphaltic emulsion. If paving asphalt is used, the grade and application rate will be determined by the Engineer. Paving asphalt shall be applied at a temperature of not less than 140°C or more than 175°C.

Prime coat or paint binder (tack coat) shall be applied in advance of placing the surfacing only as far as shall be approved by the Engineer. When asphaltic emulsion is used as paint binder (tack coat), asphalt concrete shall not be placed until the applied asphaltic emulsion has cured.

Immediately in advance of placing asphalt concrete, additional prime coat or paint binder (tack coat) shall be applied as directed by the Engineer to areas where the prime coat or paint binder (tack coat) has been damaged. Loose or extraneous material shall be removed and no additional compensation will be allowed therefore.

39-8.03 PAVEMENT REINFORCING FABRIC

Pavement reinforcing fabric shall be placed on existing pavement to be surfaced or between layers of asphalt concrete when such work is shown on the plans, or specified in "Asphalt Concrete" in Section 10-1 of these special provisions, or ordered by the Engineer.

Immediately before placing binder, pavement reinforcing fabric, and asphalt concrete surfacing, the pavement shall be cleaned of loose and extraneous materials such as, but not limited to, vegetation, sand, dirt, gravel and water.

Before placing the pavement reinforcing fabric, a binder of paving asphalt shall be applied uniformly to the surface to receive the pavement reinforcing fabric at a rate of not less than 1.15 L per square meter of surface covered. At the Contractor's option, the paving asphalt shall be Grade PG 64-10, PG 64-16, PG 64-28, or PG 70-10 conforming to the provisions in Section 92, "Asphalts," of the Standard Specifications. Pavement reinforcing fabric shall not be placed in areas of conform tapers when the thickness of the overlying asphalt concrete will be 40 mm or less. When pavement reinforcing fabric is placed in areas of conform tapers the binder shall be spread at the approximate rate of 1.4 L/m² of surface covered. The exact rate will be determined by the Engineer. The binder shall be applied to a width equal to the width of the fabric mat plus 75 mm on each side.

Asphaltic emulsion shall not be substituted for paving asphalt binder for pavement reinforcing fabric.

Before applying binder, large cracks, spalls, and depressions in existing pavement shall be repaired as directed by the Engineer and, if not included in the item, the repair work will be paid for as extra work in conformance with the provisions in Section 4-1.03D of the Standard Specifications.

The pavement reinforcing fabric shall be aligned and placed with no wrinkles that lap. The test for lapping shall be made by gathering together the pavement reinforcing fabric in a wrinkle. If the height of the doubled portion of extra fabric is 15 mm or more, the fabric shall be cut to remove the wrinkle, then lapped in the direction of paving. Lap in excess of 50 mm shall be removed.

If manual laydown methods are used, the pavement reinforcing fabric shall be unrolled, aligned, and placed in increments of approximately 9 m.

Adjacent borders of the pavement reinforcing fabric shall be lapped 50 mm to 100 mm. The preceding roll shall be lapped 50 mm to 100 mm over the following roll in the direction of paving at ends of rolls or at a break. At pavement reinforcing fabric overlays, both the binder and the fabric shall overlap previously placed fabric by the same amount.

Seating of the pavement reinforcing fabric with rolling equipment after placing will be permitted. Turning of the paving machine and other vehicles shall be gradual and kept to a minimum to avoid damage to the fabric.

A small quantity of asphalt concrete, to be determined by the Engineer, may be spread over the pavement reinforcing fabric immediately in advance of placing asphalt concrete surfacing in order to prevent fabric from being damaged by construction equipment.

Pavement reinforcing fabric shall not be exposed to public traffic, Contractor's equipment or elements that will damage the fabric before placement of asphalt concrete surfacing, as determined by the Engineer. Public access cross traffic may be allowed to cross the fabric under traffic control after the Contractor has placed a small quantity of asphalt concrete over the fabric.

Care shall be taken to avoid tracking binder material onto the pavement reinforcing fabric or distorting the fabric during seating of the fabric with rolling equipment. If necessary to protect the pavement reinforcing fabric, exposed binder material may be covered lightly with sand.

39-8.04 RECLAIMED ASPHALT PAVEMENT

The Contractor may produce asphalt concrete using reclaimed asphalt pavement (RAP). Asphalt concrete produced using RAP shall conform to the provisions for asphalt concrete in this Section 11-1 and these special provisions. The Contractor may substitute RAP for a portion of the virgin aggregate in asphalt concrete in an amount not exceeding 15 percent of the asphalt concrete dry aggregate mass.

Virgin aggregate shall conform to the provisions for aggregates in this Section 11-1.

RAP shall be processed from asphalt concrete removed from pavement surfaces. RAP shall be stored in stockpiles on smooth surfaces free of debris and organic material. RAP stockpiles shall consist only of homogeneous RAP. The Contractor may process and stockpile RAP throughout the project's life. Processing and stockpiling operations shall prevent material contamination and segregation.

The Contractor shall determine the amount of asphalt binder to be mixed with the combined virgin aggregate and RAP in conformance with the requirements in California Test 367 amended by Lab Procedure-9 (LP-9), "Hot Mix Asphalt (HMA) Using Up To 15% Reclaimed Asphalt Pavement (RAP)." LP-9 is available at:

<http://www.dot.ca.gov/hq/esc/Translab/fpmlab.htm>

At least 21 days before starting production of asphalt concrete using RAP, the Contractor shall submit a proposed asphalt concrete mix design in writing to the Engineer. The mix design submittal shall consist of the following:

A. RAP:

1. Processed stockpile locations.
2. LP-9 test results.
3. Correlation factor for aggregate gradations from California Test 382 and LP-9.
4. Three 32-kg samples of processed RAP representing the material to be used. The three samples shall be split from the sample the Contractor uses to determine the mix design. The Contractor shall obtain and split the samples in conformance with the requirements in California Test 125 and LP-9.
5. The substitution rate for virgin aggregate and percent RAP.

B. Virgin aggregate and supplemental fine aggregate blend:

1. Target values for each sieve size.
2. Aggregate quality tests results.
3. Each aggregate source to be used including producer, location, and California Mine Identification number.
4. Percentage of each aggregate stockpile, cold feed, and hot bin to be used.
5. Gradation of each aggregate stockpile, cold feed, and hot bin to be used.

C. Asphalt binder:

1. Source.
2. Material Safety Data Sheets.

D. Antistrip additives, if used:

1. Name of product.
2. Name of manufacturer.
3. Manufacturer's designation and proposed rate.
4. Location and method of addition.
5. Material Safety Data Sheets.

E. Asphalt concrete:

1. A completed mix design that reflects the percent of RAP to be used including the electronic worksheet identified in LP-9.
2. In graphical format, stability and air voids versus asphalt binder percentage of asphalt in conformance with the requirements in CTM 367.

Asphalt concrete production using RAP shall not begin until the Engineer approves the mix design. If the Engineer fails to review the mix design in 21 days, and if, in the opinion of the Engineer, work completion is delayed as a result of the failure to review, the Engineer will adjust payment and contract time in conformance with the requirements in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

If proposing a change in the RAP substitution rate, the Contractor shall notify the Engineer. If the substitution rate changes more than 5 percent by dry aggregate mass in the asphalt concrete mixture, the Contractor shall submit a new mix design.

The aggregate gradation for the asphalt concrete produced with RAP shall be calculated based on the mathematical combination of the virgin aggregate gradation during production and the daily RAP gradation. RAP shall be sampled and gradation shall be determined in conformance with the requirements in LP-9. RAP gradations shall be:

- A. Determined daily by the Contractor.
- B. Used for the mathematical combination of that day's asphalt concrete production.
- C. Reported to the Engineer.

The Contractor shall perform quality control testing of the RAP source each day asphalt concrete using RAP is produced. Daily, the Contractor shall submit to the Engineer:

- A. Results for RAP gradation and the asphalt binder content in RAP determined in conformance with the requirements in LP-9. The Contractor shall sample RAP from the weighhopper or pugmill.
- B. Mathematical calculation of the gradation of the virgin aggregate and RAP aggregate blend.
- C. Correlation factor for RAP burn-off determined in conformance with the requirements in LP-9.

RAP proportioning shall conform to the provisions for aggregate proportioning specified in Section 39-3.03, "Proportioning," of the Standard Specifications and these special provisions. The Contractor's mixing equipment shall have a device that safely provides a sample representative of the virgin aggregate and RAP incorporated into the asphalt concrete. The Contractor shall sample in conformance with the requirements in California Test 125 and LP-9.

The temperature of asphalt concrete using RAP shall not exceed 165°C.

If batch mixing is used, RAP shall be kept separate from the virgin aggregate until both ingredients enter the weighhopper or pugmill. After introduction to the pugmill and before asphalt binder is added, the mixing time for the virgin aggregate and RAP shall not be less than 5 seconds. After asphalt binder is added, the mixing time shall not be less than 30 seconds.

If continuous mixing is used, the RAP shall be protected from direct contact with the burner flame with a device such as a shield, separator, or second drum.

39-9 SPREADING AND COMPACTING EQUIPMENT

39-9.01 SPREADING EQUIPMENT

Asphalt pavers shall be self-propelled mechanical spreading and finishing equipment provided with a screed or strike-off assembly capable of distributing the material to not less than the full width of a traffic lane unless otherwise approved by the Engineer. Screed action shall include cutting, crowding or other practical action that is effective on the asphalt concrete mixture without tearing, shoving or gouging and that produces a surface texture of uniform appearance. The screed shall be adjustable to the required section and thickness. The screed shall be provided with a suitable full width compacting device. Pavers that leave ridges, indentations or other marks in the surface shall not be used unless the ridges, indentations or marks are eliminated by rolling or prevented by adjustment in the operation.

When end dump haul vehicles are used, the asphalt paver shall operate independently of the vehicle being unloaded or shall be capable of propelling the vehicle being unloaded. The load of the haul vehicle shall be limited to that which will insure satisfactory spreading. While being unloaded, the haul vehicle shall be in contact with the machine and the brakes on the haul vehicle shall not be depended upon to maintain contact between the vehicle and the machine.

No portion of the mass of hauling or loading equipment, other than the connection, shall be supported by the asphalt paver. No vibrations or other motions of the loader that could have a detrimental effect on the riding quality of the completed pavement shall be transmitted to the paver.

When asphalt concrete is placed directly upon asphalt treated permeable base, the asphalt concrete shall be placed in a manner and with equipment that will not disturb or displace the asphalt treated permeable base.

39-9.02 COMPACTING EQUIPMENT

A sufficient number of rollers shall be provided to obtain the specified compaction and surface finish required by this Section 11-1. Rollers shall be sized to achieve the required results.

Rollers shall be equipped with pads and water systems that prevent sticking of the asphalt concrete mixtures to the pneumatic or steel-tired wheels. A parting agent that will not damage the asphalt concrete mixture may be used to aid in preventing the asphalt concrete mixture from sticking to the wheels.

39-10 SPREADING AND COMPACTING

39-10.01 GENERAL REQUIREMENTS

Asphalt concrete shall be handled, spread, and compacted in a manner which is in conformance with the provisions in this Section 11-1.

Asphalt concrete shall be placed in such a manner that cracking, shoving, and displacement will be avoided.

Type A and Type B asphalt concrete shall be placed only when the ambient temperature is above 10°C.

Asphalt concrete shall not be placed when the underlying layer or surface is frozen or not dry or when weather conditions will prevent proper handling, finishing or compaction of the mixture.

Asphalt concrete shall be spread and compacted in the layers and thicknesses indicated in the following table:

Asphalt Concrete Layers and Thickness

Total Thickness Shown on the Plans*	Minimum Number of Layers	Top Layer Thickness (Millimeters)		Next Lower Layer Thickness (Millimeters)		Other Lower Layers Thickness (Millimeters)	
		Min.	Max.	Min.	Max.	Min.	Max.
75 mm or less	1	----	-----	----	----	----	----
76 through 89 mm	2	35	45	35	45	----	----
90 through 135 mm	2	45	60	45	75	----	----
136 mm or more	**	45	60	45	75	45	120

Notes:

* When pavement reinforcing fabric is shown to be placed between layers of asphalt concrete, the thickness of asphalt concrete above the pavement reinforcing fabric shall be considered to be the "Total Thickness Shown on the Plans" for the purpose of spreading and compacting the asphalt concrete above the pavement reinforcing fabric.

** At least 3 layers if total thickness is more than 135 mm and less than 255 mm. At least 4 layers if total thickness 255 mm or more.

A layer shall not be placed over a layer that exceeds 75 mm in compacted thickness until the temperature of the layer being covered is less than 70°C at mid-depth unless approved by the Engineer.

Asphalt concrete to be placed on shoulders, and on other areas off the traveled way having a width of 1.50 m or more, shall be spread in the same manner as specified above.

The completed mixture shall be deposited on the roadbed at a uniform quantity per linear meter, as necessary to provide the required compacted thickness without resorting to spotting, picking-up or otherwise shifting the mixture. During transporting, spreading and compacting, petroleum products such as diesel fuel and kerosene shall not be used as a release agent on trucks, spreaders or compactors in contact with the asphalt concrete.

Segregation shall be avoided. Surfacing shall be free from pockets of coarse or fine material. Asphalt concrete containing hardened lumps shall not be used.

Longitudinal joints in the top layer of Type A or Type B asphalt concrete shall correspond with the edges of planned traffic lanes. Longitudinal joints in other layers shall be offset not less than 150 mm alternately each side of the edges of traffic lanes.

Unless otherwise provided herein or approved by the Engineer, the top layer of asphalt concrete for shoulders, tapers, transitions, road connections, private drives, curve widenings, chain control lanes, turnouts, left-turn pockets, and other areas shall not be spread before the top layer of asphalt concrete for the adjoining through lane has been spread and compacted. At locations where the number of lanes is changed, the top layer for the through lanes shall be paved first. When existing pavement is to be surfaced and the specified thickness of asphalt concrete to be spread and compacted on the existing pavement is 75 mm or less, the shoulders or other adjoining areas may be spread simultaneously with the through lane provided the completed surfacing conforms to the provisions of this Section 11-1. Tracks or wheels of spreading equipment shall not be operated on the top layer of asphalt concrete until final compaction has been completed.

At those locations shown on the plans, as specified in "Asphalt Concrete" in Section 10-1, "General," of these special provisions, or as directed by the Engineer, the asphalt concrete shall be tapered or feathered to conform to existing surfacing or to other highway and non-highway facilities.

At locations where the asphalt concrete is to be placed over areas inaccessible to spreading and rolling equipment, the asphalt concrete shall be spread by practical means to obtain the specified results and shall be compacted thoroughly to the required lines, grades, and cross sections by means of pneumatic tampers or by other methods that will produce the same degree of compaction as pneumatic tampers.

39-10.02 PRODUCTION START-UP EVALUATION AND NUCLEAR DENSITY TEST STRIPS

The Contractor shall demonstrate that the proposed asphalt concrete mixture is being produced and placed on the roadway in conformance with the provisions in this Section 11-1. The production start-up evaluation shall demonstrate that the aggregates and asphalt concrete mixture conform to the requirements of Table 39-3, "Asphalt Concrete Mixture Requirements," and of Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 when produced using the plant proposed for this project. The nuclear density test strip serves to provide the Contractor with a location to develop a correlation between cores taken from the test strip and the Contractor's and Engineer's nuclear density gage readings taken from the same locations on the test strip and for the Contractor to demonstrate the ability to achieve a minimum density of 92 percent and a maximum density of 97 percent of theoretical maximum density.

Production start-up evaluation and the nuclear density test strip may be constructed separately or at the same time to serve both purposes. Asphalt concrete used in the nuclear density test strip shall be representative of the asphalt concrete that shall be placed in the project.

Should the test results or testing program fail to meet these criteria, production will be suspended and the Contractor shall resolve the problem in conformance with the provisions in Section 39-6, "Dispute Resolution," of this Section 11-1.

Attention is directed to longitudinal and transverse construction joint requirements specified in "Asphalt Concrete" in Section 10-1, "General," of these special provisions.

Test data used for the production start up evaluation and the nuclear gage test strips shall not be included with the test data used for acceptance of the work in conformance with the provisions in Section 39-11, "Acceptance of Work," of this Section 11-1.

A production start-up evaluation and a nuclear density test strip shall be used when production of asphalt concrete has been resumed following a suspension of production due to unsatisfactory material quality as specified in Section 39-4.04, "Contractor Process Control," Section 39-4.05, "Contractor Quality Control," and Section 39-11.02A, "General" of this Section 11-1.

39-10.02A PRODUCTION START-UP EVALUATION

Before or on the first day of asphalt concrete production, the Contractor shall produce a trial quantity of between 250 tonnes and 500 tonnes of asphalt concrete to demonstrate that asphalt concrete produced for this project conforms to the quality characteristics of this Section 11-1. The location of the production start-up evaluation shall be approved by the Engineer.

Asphalt concrete shall be produced by production procedures intended for the entire project. Production of asphalt concrete shall stop after placement of the trial quantity of asphalt concrete. Asphalt concrete production and placement may resume after the quality characteristics of the asphalt concrete mixture have been tested and found to be in conformance with the quality requirements of this Section 11-1.

The Contractor shall randomly obtain 3 aggregate samples from the plant and 3 asphalt concrete mixture samples from the mat behind the paver. Each sample from the plant shall be split into 4 portions; each sample from the mat shall be split into 4 portions. One portion of each sample shall be tested by the Contractor and one portion of each sample shall be provided to the Engineer for testing. The remaining portions shall be delivered to the Engineer and stored for dispute resolution should the test results not conform to this Section 11-1. The Contractor and the Engineer shall evaluate the samples for conformance to the requirements in Table 39-2, "Aggregate Quality Requirements," Table 39-3, "Asphalt Concrete Mixture Requirement" for Theoretical Maximum Specific Gravity, sand equivalent, stability, and the quality characteristics designated in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. The percent air voids of the asphalt concrete mixture shall be within ± 2.0 percent of the percent air voids designated in the Contractor's mix design.

The trial quantity of asphalt concrete will be accepted if:

- A. Not more than 3 of the test results from the combined 6 test results from the Contractor's and Engineer's samples for quality characteristics indexed 2, 3, 4, and 5 in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 are outside the specified limits.

- B. Not more than one of the test results from the combined 6 test results from the Contractor's and the Engineer's samples for sand equivalent, stability, percent air voids or critical start-up characteristics designated in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 are outside the specified limits.

If the test results from the combined 6 test results fail to meet the conditions above, corrective action shall be taken, and a new trial quantity of asphalt concrete shall be placed and evaluated in conformance with the provisions in this section to demonstrate conformance. If the test results from the combined 6 test results fail to meet the requirements above, then the trial quantity of asphalt concrete will be rejected.

The testing program will be considered adequate only if the average of the Contractor's test results and the average of the Engineer's test results for sand equivalent, stability, percent air voids, and the quality characteristics specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 are within the calculated allowable testing difference using the precision index specified in Table 39-6, "Precision Index," of this Section 11-1.

The Contractor shall not proceed to regular production until conforming with the provisions of this Section 39-10.02A, "Production Start-Up Evaluation." At the request of the Contractor, the Engineer may elect to leave the asphalt concrete which does not meet the provisions of this Section 39-10.02A in place if mitigation can be agreed to. If this quantity of asphalt concrete is left in place, the Contractor will be paid 50 percent of the contract price paid per tonne for the type of asphalt concrete designated in the Engineer's Estimate.

39-10.02B NUCLEAR DENSITY TEST STRIP

On the first day of placement of each layer of asphalt concrete, the Contractor shall place a test strip of up to 500 tonnes of asphalt concrete and the in-place density and relative compaction shall be determined in conformance with the requirements of California Test 375, with modifications as follows:

- A. Part 2B, "Test Strip," shall require one core from each test position and the requirement for an average of 2 cores for determining core density at each test site shall not apply. The correlation value for each test site will be determined by subtracting the nuclear density from the core density for the site.
- B. Part 6, "Calculating Relative Compaction" shall use theoretical maximum density in conformance with the requirements in California Test 309 instead of test maximum density (TMD) from California Test 375, Part 5, "Determining Test Maximum Density."

The Contractor shall remove 2 cores at each test site witnessed by the Engineer and shall give one core to the Engineer on the same day. The Contractor and Engineer shall each calculate gage bias independently.

The purpose of the test strip is to determine a correlation between the densities of cores taken from the test strip and the nuclear density gage readings taken at the core locations and to demonstrate that the asphalt concrete can be placed and compacted in conformance with the provisions in this Section 11-1. Asphalt concrete used in the nuclear density test strip shall be representative of the asphalt concrete that shall be placed in the project. The location for the nuclear density test strip shall be approved by the Engineer.

The Contractor shall place nuclear density test strips until conditions of the test method and this Section 11-1 have been met. The requirements of this section and the test method shall apply for the correlation of each gage that is used to determine percent of theoretical maximum density for this project. Percent theoretical maximum density results will not be accepted if they have been determined using a nuclear gage that has not been correlated using a test strip. A nuclear density test strip shall be required when the nuclear gages used on the project are replaced, modified, repaired, or recalibrated.

Asphalt concrete in test strips when placed as part of production start-up evaluation may be left in place under the following conditions:

- A. If the test strip density is greater than or equal to 92 percent and less than 97 percent of theoretical maximum density, the Contractor will be paid at the contract price per tonne of the type of asphalt concrete designated in the Engineer's Estimate.
- B. If the test strip density is determined to be less than 92 percent but greater than 90 percent of the theoretical maximum density, the Contractor will be paid at 50 percent of the contract price per tonne of the type of asphalt concrete designated in the Engineer's Estimate. A new test strip will be required and mitigation measures shall be taken. The State will not pay for the mitigation measures, but will pay for asphalt concrete in the test strip in conformance with these specifications.

Asphalt concrete in test strips will be rejected when the density for the test strip is less than 90 percent or greater than 97 percent of theoretical maximum density. Production and placement shall not begin until the Contractor has demonstrated the ability to achieve 92 percent to 97 percent of theoretical maximum density in conformance with the provisions in this Section 11-1.

If asphalt concrete from the same mix design was tested and placed under this contract and if the thickness of the previously placed asphalt concrete is greater than 75 mm, a test strip will not be required for subsequent layers of asphalt concrete.

39-10.03 SPREADING

Layers shall be spread with an asphalt paver, unless otherwise specified or approved by the Engineer. Asphalt pavers shall be operated in such a manner as to insure continuous and uniform movement of the paver.

In advance of spreading asphalt concrete over an existing base, surfacing or bridge deck, if there is a contract item for asphalt concrete (leveling) or if ordered by the Engineer, asphalt concrete shall be spread by mechanical means that will produce a uniform smoothness and texture. Asphalt concrete (leveling) shall include, but not be limited to, the filling and leveling of irregularities and ruts. Asphalt concrete used to change the cross slope or profile of an existing surface shall not be considered as asphalt concrete (leveling).

Paint binder (tack coat) shall be applied to each layer in advance of spreading the next layer.

Before placing the top layer adjacent to cold transverse construction joints, the joints shall be trimmed to a vertical face on a neat line. Transverse joints shall be tested with a 3.6-m \pm 0.06-m straightedge and shall be cut back for surface smoothness as required in conformance with the provisions in Section 39-10.04, "Compacting," of this Section 11-1. Connections to existing surfacing shall be feathered to conform to the requirements for smoothness. Longitudinal joints shall be trimmed to a vertical face and on a neat line if the edges of the previously laid surfacing are, in the opinion of the Engineer, in such a condition that the quality of the completed joint will be affected.

39-10.04 COMPACTING

Compacting equipment shall conform to the provisions in Section 39-9.02, "Compacting Equipment," of this Section 11-1.

Rolling shall commence at the lower edge and shall progress toward the highest portion. When compacting layers that exceed 75 mm in compacted thickness, rolling shall commence at the center and shall progress outwards.

Asphalt concrete shall be compacted to not less than 92 percent or more than 97 percent of theoretical maximum density and shall be finished to the lines, grades, and cross sections shown on the plans. In-place density of asphalt concrete will be determined before opening the pavement to public traffic. No rolling will be permitted after the asphalt concrete temperature is below 60°C.

Percent of theoretical maximum density shall be determined in conformance with the requirements in California Test 375 except the test maximum density requirements in Part 5, "Determining Test Maximum Density," shall be replaced with the California Test 309 requirements for theoretical maximum density. If the Contractor compacts the asphalt concrete in any form or quantity after sites for testing have been chosen, the quality control tester shall choose a new set of random numbers for locating test sites.

Asphalt concrete placed in dig outs, as a leveling course, for slope correction, for detours not included in the finished roadway prism, in areas where in the judgment of the Engineer compaction or compaction measurement by conventional methods is impeded or on the uppermost lift of shoulders with rumble strips shall be compacted by a method approved by the Engineer.

Upon completion of rolling operations, if ordered by the Engineer, the asphalt concrete shall be cooled by applying water. Applying water shall conform to the provisions in Section 17, "Watering," of the Standard Specifications.

The completed surfacing shall be thoroughly compacted, smooth, and free from ruts, humps, depressions, or irregularities. Ridges, indentations or other objectionable marks left in the surface of the asphalt concrete by blading or other equipment shall be eliminated by rolling or other suitable means. The use of equipment that leaves ridges, indentations or other objectionable marks in the asphalt concrete shall be discontinued.

When a straightedge 3.6 m \pm 0.06 m long is laid on the finished surface and parallel with the centerline, the surface shall not vary more than 3 mm from the lower edge of the straightedge. The transverse slope of the finished surface shall be uniform to a degree such that no depressions greater than 6 mm are present when tested with a straightedge 3.6 m \pm 0.06 m long in a direction transverse to the centerline and extending from edge to edge of a 3.6-m wide traffic lane.

Pavement within 15 m of a structure or approach slab shall conform to the smoothness tolerances provisions in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications.

39-11 ACCEPTANCE OF WORK

39-11.01 GENERAL

The Engineer shall select the procedure used to determine the quantities of asphalt concrete for acceptance and payment determination in conformance with the provisions of this Section 11-1.

Quality control test results that have been verified shall form the basis for statistical evaluation of the work in conformance with the provisions in Section 39-11.02, "Statistical Evaluation, Determination of Quality Factor and

Compensation Adjustment," of this Section 11-1. The quality requirements on which statistical evaluation will be based are specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1.

Work determined to be in conformance with the provisions of this Section 11-1 will be accepted and paid for at the contract price per tonne for asphalt concrete and may be subject to compensation adjustment in conformance with the provisions in Section 39-11.02C, "Compensation Adjustment," of this Section 11-1.

When there are fewer than 5 verified quality control tests, the work will be accepted or rejected based on whether the individual test results conform to the quality requirements in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Section 39-11.02, "Statistical Evaluation, Determination of Quality Factor and Compensation Adjustment," of this Section 11-1 shall not apply.

The Engineer may reject a quantity of material that is determined to be defective based on visual inspection.

39-11.02 STATISTICAL EVALUATION, DETERMINATION OF QUALITY FACTOR AND COMPENSATION ADJUSTMENT

39-11.02A GENERAL

Statistical evaluation of the work shall be used to verify the Contractor's quality control test results and to determine conformance with the provisions in this Section 11-1. The number of significant figures used in the calculations including the calculation for compensation adjustment will be in conformance with the requirements of AASHTO Designation: R-11, Absolute Method.

The quality characteristics to be evaluated and the specification limits are specified in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. Asphalt content, aggregate gradation (600- μ m and 75- μ m sieves), and percent of theoretical maximum density shall be considered for purposes of this Section 11-1 to be critical quality characteristics.

A "lot" is asphalt concrete that has been produced using a single mix design and has been placed without suspending production due to failure to meet the specifications. If the production and placement have been suspended for longer than 30 days due to seasonal suspension of phases of work, the Engineer may designate a new lot. More than one lot will be designated if changes in the target values, material sources, or mix design are requested by the Contractor and made in conformance with the provisions in this Section 11-1. If production of asphalt concrete is suspended due to unsatisfactory performance, a new lot may be designated by the Engineer. Asphalt concrete shall be considered part of a lot and the compensation adjustment will be determined at the end of the lot in conformance with the provisions in Section 39-11.02C, "Compensation Adjustment," if it is placed in:

- A. Dig outs.
- B. Leveling courses.
- C. Slope corrections.
- D. Detours not part of the finished roadway prism.
- E. Areas where, in the judgment of the Engineer, compaction or compaction measurement by conventional methods is impeded.
- F. The uppermost lift of shoulders with rolled-in indentations rumble strips.
- G. Any asphalt concrete with a quality characteristic waiver.

Each lot consists of sublots. A subplot is the quantity of asphalt concrete being sampled and tested for quality control, generally 500 tonnes or less. Asphalt concrete placed at the end of the day or the lot will be considered as a separate subplot. Asphalt concrete less than the quantity needed for testing will be considered as a separate subplot.

39-11.02B STATISTICAL EVALUATION

The Variability-Unknown/Standard Deviation Method will be used to determine the estimated percentage of the lot that is outside specification limits. The number of significant figures used in the calculations will be in conformance with the requirements of AASHTO Designation: R-11, Absolute Method.

The estimated percentage of work that is outside of the specification limits for each quality characteristic will be determined as follows:

- A. Calculate the arithmetic mean (\bar{X}) of the test values;

$$\bar{X} = \frac{\sum x}{n}$$

where:

\sum	=	summation of
x	=	individual test values
n	=	total number of test values

- B. Calculate the standard deviation (s);

$$s = \sqrt{\frac{n\sum (x^2) - (\sum x)^2}{n(n-1)}}$$

where:

$\sum(x^2)$	=	summation of the squares of individual test values
$(\sum x)^2$	=	summation of the individual test values squared
n	=	total number of test values

- C. Calculate the upper quality index (Q_u);

$$Q_u = \frac{USL - \bar{X}}{s}$$

where:

USL	=	upper specification limit
s	=	standard deviation
\bar{X}	=	arithmetic mean

(Note: The USL is equal to the upper specification limit or the target value plus the production tolerance.)

- D. Calculate the lower quality index (Q_L);

$$Q_L = \frac{\bar{X} - LSL}{s}$$

where:

LSL	=	lower specification limit or target value minus production tolerance
s	=	standard deviation
\bar{X}	=	arithmetic mean

- E. From Table 39-7, "Estimated Percent of Work Outside Specification Limits," of this Section 11-1, determine P_U ;

where:

P_U	=	the estimated percentage of work outside the USL. ($P_U = 0$, when USL is not specified.)
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F. From Table 39-7, "Estimated Percent of Work Outside Specification Limits," of this Section 11-1, determine P_L ;

where:

P_L = the estimated percentage of work outside the LSL.
($P_L = 0$, when LSL is not specified.)

G. Calculate the total estimated percentage of work outside the USL and LSL, Percent Defective;

Percent Defective = $P_U + P_L$

where:

P_U = the estimated percentage of work outside the USL
 P_L = the estimated percentage of work outside the LSL

H. Repeat Steps A through G for each quality characteristic listed for acceptance.

A minimum of 5 samples shall be required to perform a statistical evaluation. If the sampling frequencies and quantity of work would otherwise result in fewer than 5 samples, the Contractor may submit a written request to increase the sampling frequency to provide a minimum of 5 samples. The request shall be included in the Quality Control Plan.

39-11.02C QUALITY FACTOR DETERMINATION AND ACCEPTANCE OF LOT

The lot will be accepted based on the quality factors determined as follows:

1. From Table 39-8, "Quality factors," of this Section 11-1, the quality factor will be determined for each quality characteristic (QF_{QC}) using the total number of test result values and the total estimated percentage outside the specification limits ($P_U + P_L$) from Step 7 in Section 39-11.02B, "Statistical Evaluation," of this Section 11-1. For asphalt concrete with a quality characteristic waiver, the single quality factor for that quality characteristic shall be one.
2. The quality factor shall be calculated for the lot (QF_C). The quality factor for the lot is a composite of single quality factors determined for each quality characteristic designated in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1. The following formula is used:

Where:

QF_C = the Quality factor for the Lot rounded to 2 decimals for acceptance.
 QF_{QC_i} = the quality factor for the individual quality characteristic.
 w = the weighting factor listed in Table 39-9.
 i = the quality characteristic index number in Table 39-9.

A quality factor will be determined when the Contractor's sampling, inspection, and test results are completed, have been submitted and evaluated, and the Engineer has visually inspected the pavement. Quality control test results shall be verified using the t -test in conformance with the provisions of Section 39-5.03, "Verification," of this Section 11-1 before the results will be used in accepting asphalt concrete.

If the current quality factor of a lot is greater than 0.90, the lot will be accepted, provided the lowest single quality factor is not within the reject portion of Table 39-8, "Quality Factors," of this Section 11-1. If the lowest single quality factor is within the reject portion of Table 39-8, "Quality Factors," of this Section 11-1, the lot will be terminated and the representative quantity of asphalt concrete within the lot will be rejected. The quantity of rejected asphalt concrete will be determined by the Contractor and submitted to the Engineer for verification and approval.

If the current quality factor of a lot is less than 0.90, production of asphalt concrete shall be suspended and corrective action taken. Upon approval of the Engineer, up to 500 tonnes of asphalt concrete may be placed in conformance with the provisions in Section 39-10.02, "Production Start-Up Evaluation and Nuclear Density Test Strips," to demonstrate that the asphalt concrete is once again in conformance with the provisions in this Section 11-1. Production of asphalt concrete shall not start until the Engineer has received test results confirming conformance with the provisions in this Section 11-1. A new lot will be established when production resumes.

If a quality factor for a critical quality characteristic designated in Table 39-9, "Minimum Quality Control Requirements," of this Section 11-1 is less than 0.90 for the lot or is within the rejection range for the last 5 tests, production

of asphalt concrete shall be suspended and corrective action taken. Upon approval of the Engineer, the Contractor may use the provisions in Section 39-10.02, "Production Start-Up Evaluation and Nuclear Density Test Strips," to demonstrate that the asphalt concrete is once again in conformance with the provisions in this Section 11-1. Production of asphalt concrete shall not start until the Engineer has received test results confirming conformance with the provisions in this Section 11-1. A new lot will be established when production resumes.

39-11.02D COMPENSATION ADJUSTMENT

Upon acceptance of lot, payment to the Contractor for the lot of asphalt concrete will be subject to a compensation adjustment. The amount of the compensation adjustment for the lot will be calculated using the following equation:

Where:

- CA = Compensation Adjustment rounded to 2 decimal,
- ACCP = Asphalt Concrete Contract Price
- ACTT = Asphalt Concrete Total Tonnage represented in the lot
- WACTT_i = Total Tonnage of waived quality characteristic Asphalt Concrete
- QF_{QC_i} = the running quality factor for the individual quality characteristic as calculated according to Section 11-02C
- w = the weighting factor listed in Table 39-9, and
- i = the quality characteristic index number in Table 39-9.

If the compensation adjustment is a negative value, the compensation adjustment will be deducted from moneys due, or that may become due, the Contractor under the contract. If the compensation adjustment is a positive value, the compensation adjustment will be added to moneys due, or that may become due, the Contractor under the contract.

Asphalt concrete that has been assigned a fixed quality factor will not be included in the compensation adjustment calculation.

Table 39-7. ESTIMATED PERCENT OF WORK OUTSIDE SPECIFICATION LIMITS

P _U and/or P _L	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
	Upper Quality Index Q _U or Lower Quality Index Q _L												
0	1.72	1.88	1.99	2.07	2.13	2.20	2.28	2.34	2.39	2.44	2.48	2.51	2.56
1	1.64	1.75	1.82	1.88	1.91	1.96	2.01	2.04	2.07	2.09	2.12	2.14	2.16
2	1.58	1.66	1.72	1.75	1.78	1.81	1.84	1.87	1.89	1.91	1.93	1.94	1.95
3	1.52	1.59	1.63	1.66	1.68	1.71	1.73	1.75	1.76	1.78	1.79	1.80	1.81
4	1.47	1.52	1.56	1.58	1.60	1.62	1.64	1.65	1.66	1.67	1.68	1.69	1.70
5	1.42	1.47	1.49	1.51	1.52	1.54	1.55	1.56	1.57	1.58	1.59	1.59	1.60
6	1.38	1.41	1.43	1.45	1.46	1.47	1.48	1.49	1.50	1.50	1.51	1.51	1.52
7	1.33	1.36	1.38	1.39	1.40	1.41	1.41	1.42	1.43	1.43	1.44	1.44	1.44
8	1.29	1.31	1.33	1.33	1.34	1.35	1.35	1.36	1.36	1.37	1.37	1.37	1.38
9	1.25	1.27	1.28	1.28	1.29	1.29	1.30	1.30	1.30	1.31	1.31	1.31	1.31
10	1.21	1.23	1.23	1.24	1.24	1.24	1.25	1.25	1.25	1.25	1.25	1.26	1.26
11	1.18	1.18	1.19	1.19	1.19	1.19	1.20	1.20	1.20	1.20	1.20	1.20	1.20
12	1.14	1.14	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15
13	1.10	1.10	1.10	1.10	1.10	1.10	1.11	1.11	1.11	1.11	1.11	1.11	1.11
14	1.07	1.07	1.07	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06
15	1.03	1.03	1.03	1.03	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02
16	1.00	0.99	0.99	0.99	0.99	0.98	0.98	0.98	0.98	0.98	0.98	0.98	0.98
17	0.97	0.96	0.95	0.95	0.95	0.95	0.94	0.94	0.94	0.94	0.94	0.94	0.94
18	0.93	0.92	0.92	0.92	0.91	0.91	0.91	0.91	0.90	0.90	0.90	0.90	0.90
19	0.90	0.89	0.88	0.88	0.88	0.87	0.87	0.87	0.87	0.87	0.87	0.87	0.87
20	0.87	0.86	0.85	0.85	0.84	0.84	0.84	0.83	0.83	0.83	0.83	0.83	0.83
21	0.84	0.82	0.82	0.81	0.81	0.81	0.80	0.80	0.80	0.80	0.80	0.80	0.79
22	0.81	0.79	0.79	0.78	0.78	0.77	0.77	0.77	0.76	0.76	0.76	0.76	0.76
23	0.77	0.76	0.75	0.75	0.74	0.74	0.74	0.73	0.73	0.73	0.73	0.73	0.73
24	0.74	0.73	0.72	0.72	0.71	0.71	0.70	0.70	0.70	0.70	0.70	0.70	0.70
25	0.71	0.70	0.69	0.69	0.68	0.68	0.67	0.67	0.67	0.67	0.67	0.67	0.66

Table continues below

Table 39-7 (cont.).—ESTIMATED PERCENT OF WORK OUTSIDE SPECIFICATION LIMITS

P _U and/or P _L	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
	Upper Quality Index Q _U or Lower Quality Index Q _L												
26	0.68	0.67	0.67	0.65	0.65	0.65	0.64	0.64	0.64	0.64	0.64	0.64	0.63
27	0.65	0.64	0.63	0.62	0.62	0.62	0.61	0.61	0.61	0.61	0.61	0.61	0.60
28	0.62	0.61	0.60	0.59	0.59	0.59	0.58	0.58	0.58	0.58	0.58	0.58	0.57
29	0.59	0.58	0.57	0.57	0.56	0.56	0.55	0.55	0.55	0.55	0.55	0.55	0.54
30	0.56	0.55	0.54	0.54	0.53	0.53	0.52	0.52	0.52	0.52	0.52	0.52	0.52
31	0.53	0.52	0.51	0.51	0.50	0.50	0.50	0.49	0.49	0.49	0.49	0.49	0.49
32	0.50	0.49	0.48	0.48	0.48	0.47	0.47	0.47	0.46	0.46	0.46	0.46	0.46
33	0.47	0.48	0.45	0.45	0.45	0.44	0.44	0.44	0.44	0.43	0.43	0.43	0.43
34	0.45	0.43	0.43	0.42	0.42	0.42	0.41	0.41	0.41	0.41	0.41	0.41	0.40
35	0.42	0.40	0.40	0.39	0.39	0.39	0.38	0.38	0.38	0.38	0.38	0.38	0.38
36	0.39	0.38	0.37	0.37	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36
37	0.36	0.35	0.34	0.34	0.34	0.33	0.33	0.33	0.33	0.33	0.33	0.33	0.32
38	0.33	0.32	0.32	0.31	0.31	0.31	0.30	0.30	0.30	0.30	0.30	0.30	0.30
39	0.30	0.30	0.29	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28
40	0.28	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
41	0.25	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23
42	0.23	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20
43	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18
44	0.16	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15
45	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13
46	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10
47	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
48	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05
49	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Notes:

1. If the value of Q_U or Q_L does not correspond to a value in the table, the next lower value will be used.
2. If Q_U or Q_L are negative values, P_U or P_L shall be equal to 100 minus the table value for P_U or P_L.

Table 39-8.—QUALITY FACTOR

Pay Factor	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
	Maximum Allowable Percent of Work Outside Specification Limits for A Given Quality Factor ($P_U + P_L$)												
1.05				0	0	0	0	0	0	0	0	0	0
1.04			0	1	3	5	4	4	4	3	3	3	3
1.03		0	2	4	6	8	7	7	6	5	5	4	4
1.02		1	3	6	9	11	10	9	8	7	7	6	6
1.01	0	2	5	8	11	13	12	11	10	9	8	8	7
1.00	22	20	18	17	16	15	14	13	12	11	10	9	8
0.99	24	22	20	19	18	17	16	15	14	13	11	10	9
0.98	26	24	22	21	20	19	18	16	15	14	13	12	10
0.97	28	26	24	23	22	21	19	18	17	16	14	13	12
0.96	30	28	26	25	24	22	21	19	18	17	16	14	13
0.95	32	29	28	26	25	24	22	21	20	18	17	16	14
0.94	33	31	29	28	27	25	24	22	21	20	18	17	15
0.93	35	33	31	29	28	27	25	24	22	21	20	18	16
0.92	37	34	32	31	30	28	27	25	24	22	21	19	18
0.91	38	36	34	32	31	30	28	26	25	24	22	21	19
0.90	39	37	35	34	33	31	29	28	26	25	23	22	20
0.89	41	38	37	35	34	32	31	29	28	26	25	23	21
0.88	42	40	38	36	35	34	32	30	29	27	26	24	22
0.87	43	41	39	38	37	35	33	32	30	29	27	25	23
0.86	45	42	41	39	38	36	34	33	31	30	28	26	24
0.85	46	44	42	40	39	38	36	34	33	31	29	28	25
0.84	47	45	43	42	40	39	37	35	34	32	30	29	27
0.83	49	46	44	43	42	40	38	36	35	33	31	30	28
0.82	50	47	46	44	43	41	39	38	36	34	33	31	29
0.81	51	49	47	45	44	42	41	39	37	36	34	32	30
0.80	52	50	48	46	45	44	42	40	38	37	35	33	31
0.79	54	51	49	48	46	45	43	41	39	38	36	34	32
0.78	55	52	50	49	48	46	44	42	41	39	37	35	33
0.77	56	54	52	50	49	47	45	43	42	40	38	36	34
0.76	57	55	53	51	50	48	46	44	43	41	39	37	35
0.75	58	56	54	52	51	49	47	46	44	42	40	38	36
Reject	60	57	55	53	52	51	48	47	45	43	41	40	37
	61	58	56	55	53	52	50	48	46	44	43	41	38
	62	59	57	56	54	53	51	49	47	45	44	42	39
	63	61	58	57	55	54	52	50	48	47	45	43	40
	64	62	60	58	57	55	53	51	49	48	46	44	41

Reject Values Greater Than Those Shown Above

Notes:

1. If the estimated percent outside specification limits from Table 39-7, "Estimated Percent of Work Outside Specification Limits," does not correspond to a value in the table, the next larger value will be used to obtain a quality factor.
2. The maximum obtainable quality factor is 1.05 (with a minimum of 5 test values).

Table 39-9. MINIMUM QUALITY CONTROL REQUIREMENTS

Index (i)	Quality Characteristic	Specification Limits	Weighting Factor (w)	California Test	Minimum Sampling and Testing Frequency	Point of Sampling
1	Asphalt Content ^{3,4}	TV ¹ ±0.45%	0.30	379 or 382	One sample per 500 tonnes or part thereof Not less than one sample per day	Mat behind paver
2	Gradation 25-mm	100%		202	One sample per 500 tonnes or part thereof Not less than one	Batch Plant - from hot bins
3	19-mm or 12.5-mm ⁴	TV ±5	0.01		sample per day	Drum Plant - from cold feed
4	9.5-mm	TV ±6	0.01			
5	4.75-mm	TV ±7	0.05			
6	2.36-mm	TV ±5	0.05			
7	600-µm ^{3,4}	TV ±4	0.08			
	75-µm ^{3,4}	TV ±2	0.10			
8	Percent of Theoretical Maximum Density ²	92% Min. 97% Max.	0.40	375 ²	One sample per 500 tonnes or part thereof. Not less than one test per day.	Finished mat after final rolling
	Theoretical Maximum Density			309 ²	In conformance with CT 375 per day	Mat behind the paver
9	Mix Moisture Content	≤1%		370	One sample per 1,000 tonnes or part thereof Not less than one sample per day	
	Asphalt and Mix Temperature	120°C to 190°C (Asphalt) ≤165°C (Mix)			Continuous using an automated recording device.	Plant

Notes:

1. TV = Target Value from contractor's proposed mix design.
2. CT 375: Part 5, "Determining Test Maximum Density" shall be replaced with Theoretical Maximum Density in conformance with the requirements in CT 309.
3. Quality characteristics 1, 6, 7, and 8 are defined as critical quality characteristics in the verification testing process.
4. Quality characteristics 1, 6, and 7 are defined as critical start-up characteristics in the Production Start-Up Evaluation.

39-12 MEASUREMENT AND PAYMENT

39-12.01 MEASUREMENT

The quantity asphalt concrete of the types designated in the Engineer's Estimate to be paid for shall be the combined mass of the mixture determined in conformance with the provisions in Section 9-1.01, "Measurement of Quantities," of the Standard Specifications.

Quantities of paving asphalt, liquid asphalt, and asphaltic emulsion to be paid for as contract items of work will be determined in conformance with the provisions in Section 92, "Asphalts," Section 93, "Liquid Asphalts," or Section 94, "Asphaltic Emulsions," of the Standard Specifications.

When recorded batch masses are printed automatically, these masses may be used for determining pay quantities if the printed batch masses conform to the following:

- A. Total aggregate and supplemental fine aggregate mass per batch shall be printed. When supplemental fine aggregate is weighed cumulatively with the aggregate, the total batch mass of aggregate shall include the supplemental fine aggregate.
- B. The total bitumen mass per batch shall be printed.
- C. Zero-tolerance mass shall be printed before weighing the first batch and after weighing the last batch of each truckload.
- D. The time, date, mix number, load number, and truck identification shall be correlated with the load slip.
- E. A copy of the recorded batch masses shall be certified by a licensed weighmaster and submitted to the Engineer.

Pavement reinforcing fabric will be measured by the square meter for the actual pavement area covered.

39-12.02 PAYMENT

Asphalt concrete placed in the work, unless otherwise specified, will be paid for at the contract price per tonne for asphalt concrete of the types designated in the Engineer's Estimate.

Compensation adjustments for asphalt concrete will be made in conformance with the provisions in Section 39-11.02C, "Quality Factor Determination and Compensation Adjustment," of this Section 11-1.

When there is a contract item for asphalt concrete (leveling), quantities of asphalt concrete placed for leveling will be paid for at the contract price per tonne for asphalt concrete (leveling). When there is no contract item for asphalt concrete (leveling), and leveling is ordered by the Engineer, asphalt concrete so used will be paid for as extra work in conformance with the provisions in Section 4-1.03D, "Extra Work," of the Standard Specifications.

For the following asphalt concrete locations, the percent theoretical maximum density provisions of Section 39-11.02, "Statistical Evaluation, Determination of Quality Factor and Compensation Adjustment," of this Section 11-1 shall not apply for the quality factor (QF_C) for the lot and the compensation adjustment computation, and an individual quality factor of 1.0 for the percent theoretical maximum density (QF_{QCS}) shall be used:

- A. Dig outs.
- B. Leveling courses.
- C. Slope corrections.
- D. Detours not included in the finished roadway prism.
- E. In areas where, in the judgment of the Engineer, compaction or compaction measurement by conventional methods is impeded.
- F. The uppermost lift of shoulders with rumble strips.

Full compensation for developing the Contractor's Quality Control Plan and fulfilling the requirements of the Quality Control Plan shall be considered as included in the contract price paid per tonne for asphalt concrete of the types designated in the Engineer's Estimate and no additional compensation will be allowed therefore.

Full compensation for Contractor sampling, testing, inspection, testing facilities, and preparation and submission of results shall be considered as included in the contract price paid per tonne for asphalt concrete of the types designated in the Engineer's Estimate and no additional compensation will be allowed therefore.

Full compensation for using reclaimed asphalt pavement shall be considered as included in the contract price paid per tonne for asphalt concrete of the types designated in the Engineer's Estimate and no additional compensation will be allowed therefore.

Quantities of pavement reinforcing fabric placed and paving asphalt applied as a binder for the pavement reinforcing fabric will be paid for at the contract price per square meter for pavement reinforcing fabric and per tonne for paving asphalt

(binder-pavement reinforcing fabric). Full compensation for furnishing and spreading sand to cover exposed binder material, if necessary, shall be considered as included in the contract price paid per tonne for paving asphalt (binder-pavement reinforcing fabric), and no separate payment will be made therefore.

Small quantities of asphalt concrete placed on pavement reinforcing fabric to prevent the fabric from being displaced by construction equipment or to allow public traffic to cross over the fabric shall be considered as part of the layer of asphalt concrete to be placed over the fabric and will be measured and paid for by the tonne as asphalt concrete.

When there is a contract item for liquid asphalt (prime coat), the quantity of prime coat will be paid for at the contract price per tonne for the designated grade of liquid asphalt (prime coat). When there is no contract item for liquid asphalt (prime coat) and the special provisions require the application of a prime coat, full compensation for furnishing and applying the prime coat shall be considered as included in the contract price paid per tonne for asphalt concrete of the types designated in the Engineer's Estimate and no separate payment will be made therefore.

When there is a contract item for asphaltic emulsion (paint binder), the quantity of asphaltic emulsion or paving asphalt used as paint binder (tack coat) will be paid for at the contract price per tonne for asphaltic emulsion (paint binder). When there is no contract item for asphaltic emulsion (paint binder), full compensation for furnishing and applying paint binder (tack coat) shall be considered as included in the contract price paid per tonne for asphalt concrete of the types designated in the Engineer's Estimate and no separate payment will be made therefore.

Fog seal coat will be paid for as provided in Section 37-1, "Seal Coats," of the Standard Specifications.

No adjustment of compensation will be made for an increase or decrease in the quantities of paint binder (tack coat) or fog seal coat required, regardless of the reason for such increase or decrease. The provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications shall not apply to the items of paint binder or fog seal coat.

The above contract prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing asphalt concrete, complete in place, as shown on the plans, as specified in this Section 11-1, "Quality Control / Quality Assurance," and "Asphalt Concrete" in Section 10-1, "General," of these special provisions, and as directed by the Engineer.