STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

# NOTICE TO BIDDERS

# AND

# SPECIAL PROVISIONS

**FOR BUILDING CONSTRUCTION ADJACENT TO STATE HIGHWAY IN ALAMEDA COUNTY IN LIVERMORE ON WESTBOUND ROUTE 580 AT THE LIVERMORE WEIGH STATION**

**In District 04 On Route 580**

**Under**

| | |
|---|---|
| Bid book dated May 26, 2009 | Standard Specifications dated 1999 |
| Project Plans approved December 1, 2008 | Standard Plans dated 2004 |

**Identified by**

**Contract No. 04-3A9704**

**04-Ala-580-R14.5**

Bids open Wednesday, June 24, 2009 OSD

Dated May 26, 2009 IH

**********

# SPECIAL NOTICES

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- The Department moved the Amendments to the Standard Specifications to the back of the book titled "Notice to Bidders and Special Provisions."

- The Department retitled the "Proposal and Contract" book to "Bid" book and:

  1. Simplified the language
  2. Moved clauses and the contract form from the "Proposal and Contract" book into the Amendments to the Standard Specifications
  3. Standardized the forms

- The Department retitled the "Notice to Contractors" to "Notice to Bidders" and:

  1. Simplified the language
  2. Moved clauses from the "Notice to Contractors" into the Amendments to the Standard Specifications
  3. Standardized instructions for bidders' inquiries

- The Department incorporated boilerplate special provisions into the Amendments to the Standard Specifications.

- The contract award period has been extended for this project.

- See Section 5 of the special provisions for new specifications regarding retentions.

- The Department is implementing new contract requirements for submittal of Small Business Utilization Report forms. See section titled "Small Business Utilization Report" of these special provisions and bid item list.

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# STANDARD PLANS LIST

The Standard Plan sheets applicable to this contract include, but are not limited to, those indicated below. Applicable Revised Standard Plans (RSP) and New Standard Plans (NSP) indicated below are included in the project plans as Standard Plan sheets.

| | |
|---|---|
| A10A | Acronyms and Abbreviations (A-L) |
| A10B | Acronyms and Abbreviations (M-Z) |
| A10C | Symbols (Sheet 1 of 2) |
| A10D | Symbols (Sheet 2 of 2) |
| A20A | Pavement Markers and Traffic Lines, Typical Details |
| A20B | Pavement Markers and Traffic Lines, Typical Details |
| A20C | Pavement Markers and Traffic Lines, Typical Details |
| A20D | Pavement Markers and Traffic Lines, Typical Details |
| A24A | Pavement Markings - Arrows |
| A24B | Pavement Markings - Arrows |
| RSP A24C | Pavement Markings – Symbols and Numerals |
| A24D | Pavement Markings – Words |
| A24E | Pavement Markings – Words and Crosswalks |
| A73C | Delineators, Channelizers and Barricades |
| RSP T1A | Temporary Crash Cushion, Sand Filled (Unidirectional) |
| RSP T1B | Temporary Crash Cushion, Sand Filled (Bidirectional) |
| RSP T2 | Temporary Crash Cushion, Sand Filled (Shoulder Installations) |
| T3 | Temporary Railing (Type K) |
| RSP T10 | Traffic Control System for Lane Closure on Freeways and Expressways |
| T51 | Temporary Water Pollution Control Details (Temporary Silt Fence) |
| T59 | Temporary Water Pollution Control Details (Temporary Concrete Washout Facility) |
| RS1 | Roadside Signs, Typical Installation Details No. 1 |
| RS2 | Roadside Signs - Wood Post, Typical Installation Details No. 2 |
| RS4 | Roadside Signs, Typical Installation Details No. 4 |
| S93 | Framing Details for Framed Single Sheet Aluminum Signs, Rectangular Shape |
| S94 | Roadside Single Sheet Aluminum Signs, Rectangular Shape |

# NOTICE TO BIDDERS

Bids open Wednesday, June 24, 2009
Dated May 26, 2009
General work description: Rebuild scale house.

The Department will receive sealed bids for BUILDING CONSTRUCTION ADJACENT TO STATE HIGHWAY IN ALAMEDA COUNTY IN LIVERMORE ON WESTBOUND ROUTE 580 AT THE LIVERMORE WEIGH STATION.

District-County-Route-Kilometer Post: 04-Ala-580-R14.5

Contract No. 04-3A9704

The Contractor must have either a Class A license or Class B license or a combination of Class C licenses which constitutes a majority of the work.

The DVBE Contract goal is 5 percent.

Bids must be on a unit price basis.
Complete the work within 150 working days.
The estimated cost of the project is $1,560,000.
No prebid meeting is scheduled for this project.

The Department will receive bids until 2:00 p.m. on the bid open date at 1120 N Street, Room 0200, MS 26, Sacramento, CA 95814. Bids received after this time will not be accepted.

The Department will open and publicly read the bids at the above location immediately after the specified closing time.

District office addresses are provided in the Standard Specifications.

Bidders' inquiries may be presented to the Department by following the instructions at:
http://www.dot.ca.gov/hq/esc/oe/project_status/bid_inq.html

The Department posts responses to the questions at the District Web sites.
Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, such questions will not be treated as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

Under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq., the Department gives preference to certified small businesses and non-small businesses who commit to 25 percent certified small business participation.

Under Pub Cont Code § 6107, the Department gives a reciprocal preference to a California company for bid comparison purposes over a nonresident contractor from any state that provides a preference to contractors from that state on construction contracts.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, http://www.dir.ca.gov, or from the Department's Labor Compliance Office of the district in which the work is located.

DEPARTMENT OF TRANSPORTATION

RS

## COPY OF BID ITEM LIST

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 1 | 070013 | SMALL BUSINESS UTILIZATION REPORT | EA | 3 |
| 2 | 074016 | CONSTRUCTION SITE MANAGEMENT | LS | LUMP SUM |
| 3 | 074017 | PREPARE WATER POLLUTION CONTROL PROGRAM | LS | LUMP SUM |
| 4 | 074029 | TEMPORARY SILT FENCE | M | 40 |
| 5 | 074032 | TEMPORARY CONCRETE WASHOUT FACILITY | LS | LUMP SUM |
| 6 | 074041 | STREET SWEEPING | LS | LUMP SUM |
| 7 | 120090 | CONSTRUCTION AREA SIGNS | LS | LUMP SUM |
| 8 | 120100 | TRAFFIC CONTROL SYSTEM | LS | LUMP SUM |
| 9 | 120120 | TYPE III BARRICADE | EA | 5 |
| 10 | 120199 | TRAFFIC PLASTIC DRUM | EA | 21 |
| 11 | 150714 | REMOVE THERMOPLASTIC TRAFFIC STRIPE | M | 620 |
| 12 | 150722 | REMOVE PAVEMENT MARKER | EA | 60 |
| 13 | 203016 | EROSION CONTROL (TYPE D) | M2 | 100 |
| 14 | 203018 | EROSION CONTROL (NETTING) | M2 | 60 |
| 15 | 840561 | 100 MM THERMOPLASTIC TRAFFIC STRIPE | M | 180 |
| 16 | 840564 | 200 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 3.66 M - 0.92 M) | M | 220 |
| 17 | 850112 | PAVEMENT MARKER (RETROREFLECTIVE-SPECIAL TYPE G) | EA | 60 |
| 18 | 994650 | BUILDING WORK | LS | LUMP SUM |
| 19 | 999990 | MOBILIZATION | LS | LUMP SUM |

# SPECIAL PROVISIONS

## SECTION 1 (BLANK)

## SECTION 2 BIDDING

Reserved

## SECTION 3 CONTRACT AWARD AND EXECUTION

### 3-1.01 CONTRACT AWARD

If the Department awards the Contract, the award is made to the lowest responsible bidder within 60 days.

## SECTION 4 BEGINNING OF WORK, TIME OF COMPLETION AND LIQUIDATED DAMAGES

Complete the work within 150 working days starting on the 15th day after contract approval or on the day you start work at the job site, whichever occurs first.

Liquidated damages are $2,300 per day starting on the 1st day after exceeding 150 working days.

## SECTION 5 GENERAL

### 5-1.01 RETENTION EXCLUSION

The Department does not retain moneys from progress payments due to the Contractor for work performed (Pub Cont Code § 7202). The 3rd paragraph in Section 9-1.06, "Partial Payments," of the Standard Specifications does not apply.

### 5-1.02 AREAS FOR CONTRACTOR'S USE

Attention is directed to the provisions in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications and these special provisions.

Areas available for the exclusive use of the Contractor are designated on the plans. Use of the Contractor's work areas and other State-owned property shall be at the Contractor's own risk, and the State shall not be held liable for damage to or loss of materials or equipment located within these areas.

The Contractor shall obtain encroachment permits prior to occupying State-owned parcels outside the contract limits. The required encroachment permits may be obtained from the Department of Transportation, Permit Engineer, 111 Grand Avenue, Oakland, California 94612, sixth floor.

Residence trailers will not be allowed within the highway right of way, except that one trailer will be allowed for yard security purposes.

The Contractor shall remove equipment, materials, and rubbish from the work areas and other State-owned property which the Contractor occupies. The Contractor shall leave the areas in a presentable condition in conformance with the provisions in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials or for other purposes, if sufficient area is not available to the Contractor within the contract limits, or at the sites designated on the plans outside the contract limits.

### 5-1.03 PAYMENTS

Attention is directed to Sections 9-1.06, "Partial Payments," and 9-1.07, "Payment After Acceptance," of the Standard Specifications and these special provisions.

No partial payment will be made for any materials on hand which are furnished but not incorporated in the work.

### 5-1.04 SUPPLEMENTAL PROJECT INFORMATION

Supplemental project information included in the Information Handout are:

1. Asbestos survey report
2. Potentially lead-containing paint survey report

### 5-1.05 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD

This project lies within the boundaries of the Region 2 Regional Water Quality Control Board (RWQCB).

The Contractor shall know and comply with provisions of Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non-storm water discharges from the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.11, "Preservation of Property," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

The Contractor shall be responsible for penalties assessed on the Contractor or the Department as a result of the Contractor's failure to comply with the provisions in "Water Pollution Control" of these special provisions or with the applicable provisions of the Federal, State, and local regulations and requirements.

Penalties as used in this section shall include fines, penalties, and damages, whether proposed, assessed, or levied against the Department or the Contractor, including those levied under the Federal Clean Water Act and the State Porter-Cologne Water Quality Control Act, by governmental agencies or as a result of citizen suits. Penalties shall also include payments made or costs incurred in settlement for alleged violations of applicable laws, regulations, or requirements. Costs incurred could include sums spent instead of penalties, in mitigation or to remediate or correct violations.

#### WITHHOLDS

The Department will withhold money due the Contractor, in an amount estimated by the Department, to include the full amount of penalties and mitigation costs proposed, assessed, or levied as a result of the Contractor's violation of the permits, or Federal or State law, regulations, or requirements. Funds will be withheld by the Department until final disposition of these costs has been made. The Contractor shall remain liable for the full amount until the potential liability is finally resolved with the entity seeking the penalties. Instead of the withhold, the Contractor may provide a suitable bond in favor of the Department to cover the highest estimated liability for any disputed penalties proposed as a result of the Contractor's violation of the permits, law, regulations, or requirements.

If a regulatory agency identifies a failure to comply with the permits and modifications thereto, or other Federal, State, or local requirements, the Department will withhold money due the Contractor, subject to the following:

A. The Department will give the Contractor 30 days notice of the Department's intention to withhold funds from payments which may become due to the Contractor before acceptance of the contract. Funds withheld after acceptance of the contract will be made without prior notice to the Contractor.
B. No withholds of additional amounts out of payments will be made if the amount to be withheld does not exceed the amount being withheld from partial payments in accordance with Section 9-1.06, "Partial Payments," of the Standard Specifications.
C. If the Department has withheld funds and it is subsequently determined that the State is not subject to the entire amount of the costs and liabilities assessed or proposed in connection with the matter for which the withhold was made, the Department will return the excess amount withheld to the Contractor in the progress payment following the determination. If the matter is resolved for less than the amount withheld, the Department will pay interest at a rate of 6 percent per year on the excess withhold.

The Contractor shall notify the Engineer immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Department shall provide copies of correspondence, notices of violation, enforcement actions, or proposed fines by regulatory agencies to the requesting regulatory agency.

## SECTION 6. (BLANK)

## SECTION 7. (BLANK)

## SECTION 8. MATERIALS

## SECTION 8-1. MISCELLANEOUS

### 8-1.01 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS

Only materials and products conforming to the requirements of the specifications shall be incorporated in the work. When metric materials and products are not available, and when approved by the Engineer, and at no cost to the State, materials and products in the United States Standard Measures which are of equal quality and of the required properties and

characteristics for the purpose intended, may be substituted for the equivalent metric materials and products, subject to the following provisions:

A. Materials and products shown on the plans or in the special provisions as being equivalent may be substituted for the metric materials and products specified or detailed on the plans.
B. Before other non-metric materials and products will be considered for use, the Contractor shall furnish, at the Contractor's expense, evidence satisfactory to the Engineer that the materials and products proposed for use are equal to or better than the materials and products specified or detailed on the plans. The burden of proof as to the quality and suitability of substitutions shall be upon the Contractor and the Contractor shall furnish necessary information as required by the Engineer. The Engineer will be the sole judge as to the quality and suitability of the substituted materials and products and the Engineer's decision will be final.
C. When the Contractor elects to substitute non-metric materials and products, including materials and products shown on the plans or in the special provisions as being equivalent, the list of sources of material specified in Section 6-1.01, "Source of Supply and Quality of Materials," of the Standard Specification shall include a list of substitutions to be made and contract items involved. In addition, for a change in design or details, the Contractor shall submit plans and working drawings in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The plans and working drawings shall be submitted at least 7 days before the Contractor intends to begin the work involved.

Unless otherwise specified, the following substitutions of materials and products will be allowed:

SUBSTITUTION TABLE FOR PLAIN WIRE REINFORCEMENT
ASTM Designation: A 82

| METRIC SIZE SHOWN ON THE PLANS $mm^2$ | SIZE TO BE SUBSTITUTED $inch^2$ x 100 |
|---|---|
| MW9 | W1.4 |
| MW10 | W1.6 |
| MW13 | W2.0 |
| MW15 | W2.3 |
| MW19 | W2.9 |
| MW20 | W3.1 |
| MW22 | W3.5 |
| MW25 | W3.9, except W3.5 in piles only |
| MW26 | W4.0 |
| MW30 | W4.7 |
| MW32 | W5.0 |
| MW35 | W5.4 |
| MW40 | W6.2 |
| MW45 | W6.5 |
| MW50 | W7.8 |
| MW55 | W8.5, except W8.0 in piles only |
| MW60 | W9.3 |
| MW70 | W10.9, except W11.0 in piles only |
| MW80 | W12.4 |
| MW90 | W14.0 |
| MW100 | W15.5 |

SUBSTITUTION TABLE FOR BAR REINFORCEMENT

| METRIC BAR DESIGNATION NUMBER[1] SHOWN ON THE PLANS | BAR DESIGNATION NUMBER[2] TO BE SUBSTITUTED |
|---|---|
| 10 | 3 |
| 13 | 4 |
| 16 | 5 |
| 19 | 6 |
| 22 | 7 |
| 25 | 8 |
| 29 | 9 |
| 32 | 10 |
| 36 | 11 |
| 43 | 14 |
| 57 | 18 |

[1]Bar designation numbers approximate the number of millimeters of the nominal diameter of the bars.

[2]Bar numbers are based on the number of eighths of an inch included in the nominal diameter of the bars.

No adjustment will be required in spacing or total number of reinforcing bars due to a difference in minimum yield strength between metric and non-metric bars.

SUBSTITUTION TABLE FOR SIZES OF:

(1) STEEL FASTENERS FOR GENERAL APPLICATIONS (ASTM Designation: A 307 or AASHTO Designation: M 314, Grade 36 or 55), and

(2) HIGH STRENGTH STEEL FASTENERS (ASTM Designation: A 325 or A 449)

| METRIC SIZE SHOWN ON THE PLANS mm | SIZE TO BE SUBSTITUTED inch |
|---|---|
| 6 or 6.35 | 1/4 |
| 8 or 7.94 | 5/16 |
| 10 or 9.52 | 3/8 |
| 11 or 11.11 | 7/16 |
| 13, 12.70, or M12 | 1/2 |
| 14 or 14.29 | 9/16 |
| 16, 15.88, or M16 | 5/8 |
| 19, 19.05, or M20 | 3/4 |
| 22, 22.22, or M22 | 7/8 |
| 24, 25, 25.40, or M24 | 1 |
| 29, 28.58, or M27 | 1-1/8 |
| 32, 31.75, or M30 | 1-1/4 |
| 35 or 34.93 | 1-3/8 |
| 38, 38.10, or M36 | 1-1/2 |
| 44 or 44.45 | 1-3/4 |
| 51 or 50.80 | 2 |
| 57 or 57.15 | 2-1/4 |
| 64 or 63.50 | 2-1/2 |
| 70 or 69.85 | 2-3/4 |
| 76 or 76.20 | 3 |
| 83 or 82.55 | 3-1/4 |
| 89 or 88.90 | 3-1/2 |
| 95 or 95.25 | 3-3/4 |
| 102 or 101.60 | 4 |

SUBSTITUTION TABLE FOR NOMINAL THICKNESS OF SHEET METAL

| UNCOATED HOT AND COLD ROLLED SHEETS | | HOT-DIPPED ZINC COATED SHEETS (GALVANIZED) | |
|---|---|---|---|
| METRIC THICKNESS SHOWN ON THE PLANS mm | GAGE TO BE SUBSTITUTED inch | METRIC THICKNESS SHOWN ON THE PLANS mm | GAGE TO BE SUBSTITUTED inch |
| 7.94 | 0.3125 | 4.270 | 0.1681 |
| 6.07 | 0.2391 | 3.891 | 0.1532 |
| 5.69 | 0.2242 | 3.510 | 0.1382 |
| 5.31 | 0.2092 | 3.132 | 0.1233 |
| 4.94 | 0.1943 | 2.753 | 0.1084 |
| 4.55 | 0.1793 | 2.372 | 0.0934 |
| 4.18 | 0.1644 | 1.994 | 0.0785 |
| 3.80 | 0.1495 | 1.803 | 0.0710 |
| 3.42 | 0.1345 | 1.613 | 0.0635 |
| 3.04 | 0.1196 | 1.461 | 0.0575 |
| 2.66 | 0.1046 | 1.311 | 0.0516 |
| 2.28 | 0.0897 | 1.158 | 0.0456 |
| 1.90 | 0.0747 | 1.006 or 1.016 | 0.0396 |
| 1.71 | 0.0673 | 0.930 | 0.0366 |
| 1.52 | 0.0598 | 0.853 | 0.0336 |
| 1.37 | 0.0538 | 0.777 | 0.0306 |
| 1.21 | 0.0478 | 0.701 | 0.0276 |
| 1.06 | 0.0418 | 0.627 | 0.0247 |
| 0.91 | 0.0359 | 0.551 | 0.0217 |
| 0.84 | 0.0329 | 0.513 | 0.0202 |
| 0.76 | 0.0299 | 0.475 | 0.0187 |
| 0.68 | 0.0269 | -------- | -------- |
| 0.61 | 0.0239 | -------- | -------- |
| 0.53 | 0.0209 | -------- | -------- |
| 0.45 | 0.0179 | -------- | -------- |
| 0.42 | 0.0164 | -------- | -------- |
| 0.38 | 0.0149 | -------- | -------- |

SUBSTITUTION TABLE FOR WIRE

| METRIC THICKNESS SHOWN ON THE PLANS mm | WIRE THICKNESS TO BE SUBSTITUTED inch | GAGE NO. |
|---|---|---|
| 6.20 | 0.244 | 3 |
| 5.72 | 0.225 | 4 |
| 5.26 | 0.207 | 5 |
| 4.88 | 0.192 | 6 |
| 4.50 | 0.177 | 7 |
| 4.11 | 0.162 | 8 |
| 3.76 | 0.148 | 9 |
| 3.43 | 0.135 | 10 |
| 3.05 | 0.120 | 11 |
| 2.69 | 0.106 | 12 |
| 2.34 | 0.092 | 13 |
| 2.03 | 0.080 | 14 |
| 1.83 | 0.072 | 15 |
| 1.57 | 0.062 | 16 |
| 1.37 | 0.054 | 17 |
| 1.22 | 0.048 | 18 |
| 1.04 | 0.041 | 19 |
| 0.89 | 0.035 | 20 |

SUBSTITUTION TABLE FOR PIPE PILES

| METRIC SIZE SHOWN ON THE PLANS mm x mm | SIZE TO BE SUBSTITUTED inch x inch |
|---|---|
| PP 360 x 4.55 | NPS 14 x 0.179 |
| PP 360 x 6.35 | NPS 14 x 0.250 |
| PP 360 x 9.53 | NPS 14 x 0.375 |
| PP 360 x 11.12 | NPS 14 x 0.438 |
| PP 406 x 12.70 | NPS 16 x 0.500 |
| PP 460 x T | NPS 18 x T" |
| PP 508 x T | NPS 20 x T" |
| PP 559 x T | NPS 22 x T" |
| PP 610 x T | NPS 24 x T" |
| PP 660 x T | NPS 26 x T" |
| PP 711 x T | NPS 28 x T" |
| PP 762 x T | NPS 30 x T" |
| PP 813 x T | NPS 32 x T" |
| PP 864 x T | NPS 34 x T" |
| PP 914 x T | NPS 36 x T" |
| PP 965 x T | NPS 38 x T" |
| PP 1016 x T | NPS 40 x T" |
| PP 1067 x T | NPS 42 x T" |
| PP 1118 x T | NPS 44 x T" |
| PP 1219 x T | NPS 48 x T" |
| PP 1524 x T | NPS 60 x T" |

The thickness in millimeters (T) represents an exact conversion of the thickness in inches (T").

SUBSTITUTION TABLE FOR CIDH CONCRETE PILING

| METRIC SIZE SHOWN ON THE PLANS | ACTUAL AUGER SIZE TO BE SUBSTITUTED inches |
|---|---|
| 350 mm | 14 |
| 400 mm | 16 |
| 450 mm | 18 |
| 600 mm | 24 |
| 750 mm | 30 |
| 900 mm | 36 |
| 1.0 m | 42 |
| 1.2 m | 48 |
| 1.5 m | 60 |
| 1.8 m | 72 |
| 2.1 m | 84 |
| 2.4 m | 96 |
| 2.7 m | 108 |
| 3.0 m | 120 |
| 3.3 m | 132 |
| 3.6 m | 144 |
| 4.0 m | 156 |
| | |
| | |
| | |
| | |

SUBSTITUTION TABLE FOR STRUCTURAL TIMBER AND LUMBER

| METRIC MINIMUM DRESSED DRY, SHOWN ON THE PLANS mm x mm | METRIC MINIMUM DRESSED GREEN, SHOWN ON THE PLANS mm x mm | NOMINAL SIZE TO BE SUBSTITUTED inch x inch |
|---|---|---|
| 19x89 | 20x90 | 1x4 |
| 38x89 | 40x90 | 2x4 |
| 64x89 | 65x90 | 3x4 |
| 89x89 | 90x90 | 4x4 |
| 140x140 | 143x143 | 6x6 |
| 140x184 | 143x190 | 6x8 |
| 184x184 | 190x190 | 8x8 |
| 235x235 | 241x241 | 10x10 |
| 286x286 | 292x292 | 12x12 |

SUBSTITUTION TABLE FOR NAILS AND SPIKES

| METRIC COMMON NAIL, SHOWN ON THE PLANS<br><br>Length, mm<br>Diameter, mm | METRIC BOX NAIL, SHOWN ON THE PLANS<br><br>Length, mm<br>Diameter, mm | METRIC SPIKE, SHOWN ON THE PLANS<br>Length, mm<br>Diameter, mm | SIZE TO BE SUBSTITUTED<br>Penny-weight |
|---|---|---|---|
| 50.80<br>2.87 | 50.80<br>2.51 | _____ | 6d |
| 63.50<br>3.33 | 63.50<br>2.87 | _____ | 8d |
| 76.20<br>3.76 | 76.20<br>3.25 | 76.20<br>4.88 | 10d |
| 82.55<br>3.76 | 82.55<br>3.25 | 82.55<br>4.88 | 12d |
| 88.90<br>4.11 | 88.90<br>3.43 | 88.90<br>5.26 | 16d |
| 101.60<br>4.88 | 101.60<br>3.76 | 101.60<br>5.72 | 20d |
| 114.30<br>5.26 | 114.30<br>3.76 | 114.30<br>6.20 | 30d |
| 127.00<br>5.72 | 127.00<br>4.11 | 127.00<br>6.68 | 40d |
| _____ | _____ | 139.70<br>7.19 | 50d |
| _____ | _____ | 152.40<br>7.19 | 60d |

SUBSTITUTION TABLE FOR IRRIGATION COMPONENTS

| METRIC WATER METERS, TRUCK LOADING STANDPIPES, VALVES, BACKFLOW PREVENTERS, FLOW SENSORS, WYE STRAINERS, FILTER ASSEMBLY UNITS, PIPE SUPPLY LINES, AND PIPE IRRIGATION SUPPLY LINES SHOWN ON THE PLANS DIAMETER NOMINAL (DN) mm | NOMINAL SIZE TO BE SUBSTITUTED inch |
|---|---|
| 15 | 1/2 |
| 20 | 3/4 |
| 25 | 1 |
| 32 | 1-1/4 |
| 40 | 1-1/2 |
| 50 | 2 |
| 65 | 2-1/2 |
| 75 | 3 |
| 100 | 4 |
| 150 | 6 |
| 200 | 8 |
| 250 | 10 |
| 300 | 12 |
| 350 | 14 |
| 400 | 16 |

Unless otherwise specified, substitutions of United States Standard Measures standard structural shapes corresponding to the metric designations shown on the plans and in conformance with the requirements in ASTM Designation: A 6/A 6M, Annex 2, will be allowed.

**8-1.02 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS**

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each type of traffic product supplied.

For those categories of materials included on the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included on the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the Standard Specifications.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

**PAVEMENT MARKERS, PERMANENT TYPE**

**Retroreflective With Abrasion Resistant Surface (ARS)**

1. Apex, Model 921AR (100 mm x 100 mm)

2. Ennis Paint, Models C88 (100 mm x 100 mm), 911 (100 mm x 100 mm) and C80FH
3. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm) and ARC Round Shoulder (100 mm x 100 mm)
4. 3M Series 290 (89 mm x 100 mm)
5. 3M Series 290 PSA
6. Glowlite, Inc Model 988AR (100 mm x 100 mm)

**Retroreflective With Abrasion Resistant Surface (ARS)**

(for recessed applications only)

1. Ennis Paint, Model 948 (58 mm x 119 mm)
2. Ennis Paint, Model 944SB (51 mm x 100 mm)*
3. Ray-O-Lite, Model 2002 (51 mm x 117 mm)
4. Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)*
   *For use only in 114 mm wide (older) recessed slots

**Non-Reflective, 100-mm Round**

1. Apex Universal (Ceramic)
2. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
3. Glowlite, Inc. (Ceramic) and PP (Polypropylene)
4. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
5. Interstate Sales, "Diamond Back" (Polypropylene)
6. Novabrite Models Cdot (White) Cdot-y (Yellow), Ceramic
7. Novabrite Models Pdot-w (White) Pdot-y (Yellow), Polypropylene
8. Three D Traffic Works TD10000 (ABS), TD10500 (Polypropylene)

**PAVEMENT MARKERS, TEMPORARY TYPE**

**Temporary Markers For Long Term Day/Night Use (180 days or less)**

1. Vega Molded Products "Temporary Road Marker" (75 mm x 100 mm)

**Temporary Markers For Short Term Day/Night Use (14 days or less)**

(For seal coat or chip seal applications, clear protective covers are required)

1. Apex Universal, Model 932
2. Filtrona Extrusion, Models T.O.M., T.R.P.M., and "HH" (High Heat)
3. Hi-Way Safety, Inc., Model 1280/1281
4. Glowlite, Inc., Model 932

**STRIPING AND PAVEMENT MARKING MATERIAL**

**Permanent Traffic Striping and Pavement Marking Tape**

1. Advanced Traffic Marking, Series 300 and 400
2. Brite-Line, Series 1000
3. Brite-Line, "DeltaLine XRP"
4. Swarco Industries, "Director 35" (For transverse application only)
5. Swarco Industries, "Director 60"
6. 3M, "Stamark" Series 380 and 5730
7. 3M, "Stamark" Series 420 (For transverse application only)

**Temporary (Removable) Striping and Pavement Marking Tape (180 days or less)**

1. Advanced Traffic Marking, Series 200
2. Brite-Line, Series 100
3. Garlock Rubber Technologies, Series 2000
4. P.B. Laminations, Aztec, Grade 102
5. Swarco Industries, "Director-2"
6. Trelleborg Industries, R140 Series
7. 3M Series 620 "CR", and Series A750
8. 3M Series A145, Removable Black Line Mask
   (Black Tape: for use only on Hot mix asphalt surfaces)
9. Advanced Traffic Marking Black "Hide-A-Line"

(Black Tape: for use only on Hot mix asphalt surfaces)
10. Brite-Line "BTR" Black Removable Tape
(Black Tape: for use only on Hot mix asphalt surfaces)
11. Trelleborg Industries, RB-140
(Black Tape: for use only on Hot mix asphalt surfaces)

**Preformed Thermoplastic (Heated in place)**

1. Flint Trading Inc., "Hot Tape"
2. Flint Trading Inc., "Premark Plus"
3. Ennis Paint Inc., "Flametape"

**Ceramic Surfacing Laminate, 150 mm x 150 mm**

1. Highway Ceramics, Inc.

**CLASS 1 DELINEATORS**

**One Piece Driveable Flexible Type, 1700-mm**

1. Filtrona Extrusion, "Flexi-Guide Models 400 and 566"
2. Carsonite, Curve-Flex CFRM-400
3. Carsonite, Roadmarker CRM-375
4. FlexStake, Model 654 TM
5. GreenLine Model CGD1-66

**Special Use Type, 1700-mm**

1. Filtrona Extrusion, Model FG 560 (with 450-mm U-Channel base)
2. Carsonite, "Survivor" (with 450-mm U-Channel base)
3. Carsonite, Roadmarker CRM-375 (with 450-mm U-Channel base)
4. FlexStake, Model 604
5. GreenLine Model CGD (with 450-mm U-Channel base)
6. Impact Recovery Model D36, with #105 Driveable Base
7. Safe-Hit with 200-mm pavement anchor (SH248-GP1)
8. Safe-Hit with 380-mm soil anchor (SH248-GP2) and with 450-mm soil anchor (SH248-GP3)

**Surface Mount Type, 1200-mm**

1. Bent Manufacturing Company, Masterflex Model MF-180EX-48
2. Carsonite, "Channelizer"
3. FlexStake, Models 704, 754 TM, and EB4
4. Impact Recovery Model D48, with #101 Fixed (Surface-Mount) Base
5. Three D Traffic Works "Channelflex" ID No. 522248W

**CHANNELIZERS**

**Surface Mount Type, 900-mm**

1. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)
2. Filtrona Extrusion, Flexi-Guide Models FG300PE, FG300UR, and FG300EFX
3. Carsonite, "Super Duck" (Round SDR-336)
4. Carsonite, Model SDCF03601MB "Channelizer"
5. FlexStake, Models 703, 753 TM, and EB3
6. GreenLine, Model SMD-36
7. Hi-way Safety, Inc. "Channel Guide Channelizer" Model CGC36
8. Impact Recovery Model D36, with #101 Fixed (Surface-Mount) Base
9. Safe-Hit, Guide Post, Model SH236SMA and Dura-Post, Model SHL36SMA
10. Three D Traffic Works "Boomerang" 5200 Series

**Lane Separation System**

1. Filtrona Extrusion, "Flexi-Guide (FG) 300 Curb System"
2. Qwick Kurb, "Klemmfix Guide System"
3. Dura-Curb System

4. Tuff Curb

**CONICAL DELINEATORS, 1070-mm**

(For 700-mm Traffic Cones, see Standard Specifications)

1. Bent Manufacturing Company "T-Top"
2. Plastic Safety Systems "Navigator-1070 mm
3. TrafFix Devices "Grabber"
4. Three D Traffic Works "Ringtop" TD7000, ID No. 742143
5. Three D Traffic Works, TD7500
6. Work Area Protection Corp. C-42

**OBJECT MARKERS**

**Type "K", 450-mm**

1. Filtrona Extrusion, Model FG318PE
2. Carsonite, Model SMD 615
3. FlexStake, Model 701 KM
4. Safe-Hit, Model SH718SMA

**Type "K-4" / "Q" Object Markers, 600-mm**

1. Bent Manufacturing "Masterflex" Model MF-360-24
2. Filtrona Extrusion, Model FG324PE
3. Carsonite, "Channelizer"
4. FlexStake, Model 701KM
5. Safe-Hit, Models SH824SMA_WA and SH824GP3_WA
6. Three D Traffic Works ID No. 531702W and TD 5200
7. Three D Traffic Works ID No. 520896W

**CONCRETE BARRIER MARKERS AND**
**TEMPORARY RAILING (TYPE K) REFLECTORS**

**Impactable Type**

1. ARTUK, "FB"
2. Filtrona Extrusion, Models PCBM-12 and PCBM-T12
3. Duraflex Corp., "Flexx 2020" and "Electriflexx"
4. Hi-Way Safety, Inc., Model GMKRM100
5. Plastic Safety Systems "BAM" Models OM-BARR and OM-BWAR
6. Three D Traffic Works "Roadguide" Model TD 9304

**Non-Impactable Type**

1. ARTUK, JD Series
2. Plastic Safety Systems "BAM" Models OM-BITARW and OM-BITARA
3. Vega Molded Products, Models GBM and JD
4. Plastic Vacuum Forming, "Cap-It C400"

**METAL BEAM GUARD RAIL POST MARKERS**

(For use to the left of traffic)

1. Filtrona Extrusion, "Mini" (75 mm x 254 mm)
2. Creative Building Products, "Dura-Bull, Model 11201"
3. Duraflex Corp., "Railrider"
4. Plastic Vacuum Forming, "Cap-It C300"

**CONCRETE BARRIER DELINEATORS, 400-mm**

(For use to the right of traffic)

1. Filtrona Extrusion, Model PCBM T-16
2. Safe-Hit, Model SH216RBM

**CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)**

1. Stinson Equipment Company "SaddleMarker"

**GUARD RAILING DELINEATOR**

(Place top of reflective element at 1200 mm above plane of roadway)

**Wood Post Type, 686-mm**

1. Filtrona Extrusion, FG 427 and FG 527
2. Carsonite, Model 427
3. FlexStake, Model 102 GR
4. GreenLine GRD 27
5. Safe-Hit, Model SH227GRD
6. Three D Traffic Works "Guardflex" TD9100
7. New Directions Mfg, NDM27

**Steel Post Type**

1. Carsonite, Model CFGR-327

**RETROREFLECTIVE SHEETING**

**Channelizers, Barrier Markers, and Delineators**

1. Avery Dennison T-6500 Series (For rigid substrate devices only)
2. Avery Dennison WR-7100 Series
3. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
4. Reflexite, PC-1000 Metalized Polycarbonate
5. Reflexite, AC-1000 Acrylic
6. Reflexite, AP-1000 Metalized Polyester
7. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
8. 3M, High Intensity

**Traffic Cones, 100-mm and 150-mm Sleeves**

1. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
2. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight"
3. 3M Series 3840
4. Avery Dennison S-9000C

**Drums**

1. Avery Dennison WR-6100
2. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
3. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
4. 3M Series 3810

**Barricades: Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Nippon Carbide Industries, CN8117
2. Avery Dennison, W 1100 series
3. 3M Series CW 44

**Barricades: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Avery Dennison, W-2100 Series

**Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Avery Dennison, T-2500 Series
2. Nippon Carbide Industries, Nikkalite 18000

**Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)**

1. Avery Dennison, T-5500A and T-6500 Series
2. Nippon Carbide Industries, Nikkalite Brand Ultralite Grade II

3. 3M 3870 and 3930 Series

**Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)**

1. Avery Dennison, T-6500 Series
2. Nippon Carbide Industries, Crystal Grade, 94000 Series
3. Nippon Carbide Industries, Model No. 94847 Fluorescent Orange
4. 3M Series 3930 and Series 3924S

**Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive**

1. Avery Dennison, WU-6014
2. Novabrite LLC, "Econobrite"
3. Reflexite "Vinyl"
4. Reflexite "SuperBright"
5. Reflexite "Marathon"
6. 3M Series RS20

**Signs: Type VII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. 3M Series 3924S, Fluorescent Orange
2. 3M LDP Series 3970

**Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. Avery Dennison, T-7500 Series
2. Avery Dennison, T-7511 Fluorescent Yellow
3. Avery Dennison, T-7513 Fluorescent Yellow Green
4. Avery Dennison, W-7514 Fluorescent Orange
5. Nippon Carbide Industries, Nikkalite Crystal Grade Series 92800
6. Nippon Carbide Industries, Nikkalite Crystal Grade Model 92847 Fluorescent Orange

**Signs: Type IX, Very-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. 3M VIP Series 3981 Diamond Grade Fluorescent Yellow
2. 3M VIP Series 3983 Diamond Grade Fluorescent Yellow/Green
3. 3M VIP Series 3990 Diamond Grade
4. Avery Dennison T-9500 Series
5. Avery Dennison, T9513, Fluorescent Yellow Green
6. Avery Dennison, W9514, Fluorescent Orange
7. Avery Dennison, T-9511 Fluorescent Yellow

**SPECIALTY SIGNS**

1. Reflexite "Endurance" Work Zone Sign (with Semi-Rigid Plastic Substrate)

**ALTERNATIVE SIGN SUBSTRATES**

**Fiberglass Reinforced Plastic (FRP) and Expanded Foam PVC**

1. Fiber-Brite (FRP)
2. Sequentia, "Polyplate" (FRP)
3. Inteplast Group "InteCel" (13 mm for Post-Mounted CZ Signs, 1200 mm or less)(PVC)

**Aluminum Composite, Temporary Construction Signs and Permanent Signs up to 1400 mm.**

1. Alcan Composites "Dibond Material, 2 mm"
2. Mitsubishi Chemical America, Alpolic 350

## SECTION 8-2. CONCRETE

### 8-2.01 PORTLAND CEMENT CONCRETE

Portland cement concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

The Department maintains a list of sources of fine and coarse aggregate that have been approved for use with a reduced amount of supplementary cementitious material in the total amount of cementitious material to be used. A source of aggregate will be considered for addition to the approved list if the producer of the aggregate submits to the Transportation Laboratory certified test results from a qualified testing laboratory that verify the aggregate complies with the requirements. Before the testing starts, the aggregate test shall be registered with the Department. A registration number can be obtained by calling (916) 227-7228. The registration number shall be used as the identification for the aggregate sample in correspondence with the Department. Upon request, a split of the tested sample shall be provided to the Department. Approval of aggregate will depend upon compliance with the specifications, based on the certified test results submitted, together with any replicate testing the Department may elect to perform. Approval will expire 3 years from the date the most recent registered and evaluated sample was collected from the aggregate source.

Qualified testing laboratories shall conform to the following requirements:

1. Laboratories performing ASTM Designation: C 1293 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Concrete Proficiency Sample Program and shall have received a score of 3 or better on each test of the previous 2 sets of concrete samples.
2. Laboratories performing ASTM Designation: C 1260 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Pozzolan Proficiency Sample Program and shall have received a score of 3 or better on the shrinkage and soundness tests of the previous 2 sets of pozzolan samples.

Aggregates on the list shall conform to one of the following requirements:

1. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1293, the average expansion at one year shall be less than or equal to 0.040 percent; or
2. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1260, the average of the expansion at 16 days shall be less than or equal to 0.15 percent.

If the aggregates used in the concrete are on the Department's list, the minimum amount of supplementary cementitious material shall conform to the following:

1. If fly ash or natural pozzolan conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 15 percent by mass of the total cementitious material; or
2. If silica fume conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 7 percent by mass of the total cementitious material.

The limitation on tricalcium silicate ($C_3S$) content in Type II cement specified in Section 90-2.01A, "Cement," of the Standard Specifications shall not apply.

## SECTION 8-3. WELDING

### 8-3.01 WELDING

**GENERAL**

Flux cored welding electrodes conforming to the requirements of AWS A5.20 E6XT-4 or E7XT-4 shall not be used to perform welding for this project.

Wherever reference is made to the following AWS welding codes in the Standard Specifications, on the plans, or in these special provisions, the year of adoption for these codes shall be as listed:

| AWS Code | Year of Adoption |
|---|---|
| D1.1 | 2006 |
| D1.4 | 2005 |
| D1.5 | 2002 |
| D1.6 | 1999 |

Requirements of the AWS welding codes shall apply unless otherwise specified in the Standard Specifications, on the plans, or in these special provisions. Wherever the abbreviation AWS is used, it shall be equivalent to the abbreviations ANSI/AWS or AASHTO/AWS.

Section 6.1.1.1 of AWS D1.5 is replaced with the following:

Quality Control (QC) shall be the responsibility of the Contractor. As a minimum, the Contractor shall perform inspection and testing of each weld joint prior to welding, during welding, and after welding as specified in this section and as necessary to ensure that materials and workmanship conform to the requirements of the contract documents.

Unless otherwise specified, Sections 6.1.3 through 6.1.4.3 of AWS D1.1, Section 7.1.2 of AWS D1.4, and Sections 6.1.1.2 through 6.1.3.3 of AWS D1.5 are replaced with the following:

The QC Inspector shall be the duly designated person who acts for and on behalf of the Contractor for inspection, testing, and quality related matters for all welding.

Quality Assurance (QA) is the prerogative of the Engineer. The QA Inspector is the duly designated person who acts for and on behalf of the Engineer.

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship, and shall be currently certified as an AWS Certified Welding Inspector (CWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors."

The QC Inspector may be assisted by an Assistant QC Inspector provided that this individual is currently certified as an AWS Certified Associate Welding Inspector (CAWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors." The Assistant QC Inspector may perform inspection under the direct supervision of the QC Inspector provided the assistant is always within visible and audible range of the QC Inspector. The QC Inspector shall be responsible for signing all reports and for determining if welded materials conform to workmanship and acceptance criteria. The ratio of QC Assistants to QC Inspectors shall not exceed 5 to 1.

When the term "Inspector" is used without further qualification, it shall refer to the QC Inspector.

When any work is welded in conformance with the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications, not including Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications, Section 6.1.4 of AWS D1.1 is replaced with the following:

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship and shall be currently certified as an AWS CWI in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors," or as a Welding Inspector Specialist (WIS) in conformance with the requirements in AWS B5.2, "Specification for the Qualification of Welding Inspector Specialists and Welding Inspector Assistants."

Section 6.14.6, "Personnel Qualification," of AWS D1.1, Section 7.8, "Personnel Qualification," of AWS D1.4, and Section 6.1.3.4, "Personnel Qualification," of AWS D1.5 are replaced with the following:

Personnel performing nondestructive testing (NDT) shall be qualified and certified in conformance with the requirements of the American Society for Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A and the Written Practice of the NDT firm. The Written Practice of the NDT firm shall meet or exceed the guidelines of the ASNT Recommended Practice No. SNT-TC-1A. Individuals who perform NDT, review the results, and prepare the written reports shall be either:

A. Certified NDT Level II technicians, or;
B. Level III technicians who hold a current ASNT Level III certificate in that discipline and are authorized and certified to perform the work of Level II technicians.

Section 6.5.4 of AWS D1.5 is replaced with the following:

The QC Inspector shall inspect and approve each joint preparation, assembly practice, welding technique, joint fit-up, and the performance of each welder, welding operator, and tack welder to make certain that the applicable requirements of this code and the approved Welding Procedure Specification (WPS) are met. The QC Inspector shall examine the work to make certain that it meets the requirements of Sections 3 and 6.26. The size and contour of all welds shall be measured using suitable gages. Visual inspection for cracks in welds and base metal, and for other discontinuities shall be aided by strong light, magnifiers, or such other devices as may be helpful. Acceptance criteria different from those specified in this code may be used when approved by the Engineer.

Section 6.6.5, "Nonspecified NDT Other than Visual," of AWS D1.1, Section 7.6.5 of AWS D1.4 and Section 6.6.5 of AWS D1.5 shall not apply.

For any welding, the Engineer may direct the Contractor to perform NDT that is in addition to the visual inspection or NDT specified in the AWS or other specified welding codes, in the Standard Specifications, or in these special provisions. Except as provided for in these special provisions, additional NDT required by the Engineer, and associated repair work, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. Prior to release of welded material by the Engineer, if testing by NDT methods other than those originally specified discloses an attempt to defraud or reveals a gross nonconformance, all costs associated with the repair of the deficient area, including NDT of the weld and of the repair, and any delays caused by the repair, shall be at the Contractor's expense. A gross nonconformance is defined as the sum of planar type rejectable indications in more than 20 percent of the tested length.

When less than 100 percent of NDT is specified for any weld, it is expected that the entire length of weld meet the specified acceptance-rejection criteria. Should any welding deficiencies be discovered by additional NDT directed or performed by the Engineer that utilizes the same NDT method as that originally specified, all costs associated with the repair of the deficient area, including NDT of the weld and of the weld repair, and any delays caused by the repair, shall be at the Contractor's expense.

Repair work to correct welding deficiencies discovered by visual inspection directed or performed by the Engineer, and any associated delays or expenses caused to the Contractor by performing these repairs, shall be at the Contractor's expense.

The Engineer shall have the authority to verify the qualifications or certifications of any welder, QC Inspector, or NDT personnel to specified levels by retests or other means approved by the Engineer.

Inspection and approval of all joint preparations, assembly practices, joint fit-ups, welding techniques, and the performance of each welder, welding operator, and tack welder shall be documented by the QC Inspector on a daily basis for each day welding is performed. For each inspection, including fit-up, Welding Procedure Specification (WPS) verification, and final weld inspection, the QC Inspector shall confirm and document compliance with the requirements of the AWS or other specified code criteria and the requirements of these special provisions on all welded joints before welding, during welding, and after the completion of each weld.

In addition to the requirements specified in the applicable code, the period of effectiveness for a welder's or welding operator's qualification shall be a maximum of 3 years for the same weld process, welding position, and weld type. If welding will be performed without gas shielding, then qualification shall also be without gas shielding. Excluding welding of fracture critical members, a valid qualification at the beginning of work on a contract will be acceptable for the entire period of the contract, as long as the welder's or welding operator's work remains satisfactory.

In addition to the requirements of AWS D1.1, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

A. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.1, Section 4.1.1, shall be consistent for each pass in a weld joint and shall in no case vary by more than ±10 percent for travel speed, ±10 percent for amperage, and ±7 percent for voltage as measured from a predetermined target value or average within each weld pass. The travel speed shall in no case vary by more than ±15 percent when using submerged arc welding.
B. When a nonstandard weld joint is to be made using a combination of WPSs, a single test may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 4.5.

In addition to the requirements of AWS D1.5, Section 5.12 or 5.13, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

A. Unless considered prequalified, fillet welds shall be qualified in each position. The fillet weld soundness test shall be conducted using the essential variables of the WPS as established by the Procedure Qualification Record (PQR).
B. For qualification of joints that do not conform to Figures 2.4 and 2.5 of AWS D1.5, a minimum of two WPS qualification tests are required. The tests shall be conducted using both Figure 5.1 and Figure 5.3. The test conforming to Figure 5.1 shall be conducted in conformance with AWS D1.5, Section 5.12 or 5.13. The test conforming to Figure 5.3 shall be conducted using the welding electrical parameters that were established for the test conducted conforming to Figure 5.1. The ranges of welding electrical parameters established during welding per Figure 5.1 in conformance with AWS D1.5, Section 5.12, shall be further restricted according to the limits in Table 5.3 during welding per Figure 5.3.
C. Multiple zones within a weld joint may be qualified. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.5 Section 5.13 shall be consistent for each pass in a weld joint, and shall in no case vary by more than ±10 percent for travel speed, ±10 percent for amperage, and ±7 percent for voltage as measured from a predetermined target value or average within each weld pass or zone. The travel speed shall in no case vary by more than ±15 percent when using submerged arc welding.

D. For a WPS qualified in conformance with AWS D1.5 Section 5.13, the values to be used for calculating ranges for current and voltage shall be based on the average of all weld passes made in the test. Heat input shall be calculated using the average of current and voltage of all weld passes made in the test for a WPS qualified in conformance with Section 5.12 or 5.13.
E. Macroetch tests are required for WPS qualification tests, and acceptance shall be per AWS D1.5 Section 5.19.3.
F. When a nonstandard weld joint is to be made using a combination of WPSs, a test conforming to Figure 5.3 may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 5.3.
G. Prior to preparing mechanical test specimens, the PQR welds shall be inspected by visual and radiographic tests. Backing bar shall be 75 mm in width and shall remain in place during NDT testing. Results of the visual and radiographic tests shall comply with AWS D1.5 Section 6.26.2, excluding Section 6.26.2.2. Test plates that do not comply with both tests shall not be used.

**PAYMENT**

Full compensation for conforming to the requirements of "Welding" shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

## SECTION 9. (BLANK)

## SECTION 10. CONSTRUCTION DETAILS

## SECTION 10-1. GENERAL

### 10-1.01 ORDER OF WORK

Order of work shall conform to the provisions in Section 5-1.05, "Order of Work," of the Standard Specifications and these special provisions.

Temporary railing (Type K) and temporary crash cushions shall be secured in place prior to commencing work for which the temporary railing and crash cushions are required.

Attention is directed to "Water Pollution Control" of these special provisions regarding the submittal and approval of the Water Pollution Control Program prior to performing work having potential to cause water pollution.

At least 10 days before applying seeds, furnish the Engineer a statement from the vendor that the order for the seed required for this contract has been received and accepted by the vendor. The statement from the vendor must include the names and quantity of seed ordered and the anticipated date of delivery.

The Engineer designates ground locations of erosion control by directing the placing of stakes or other suitable markers before application of erosion control materials as specified under "Erosion Control (Type D)" of these special provisions.

Preinstall irrigation components in the irrigation controller enclosure cabinet before field installation as specified under "Irrigation Controller Enclosure Cabinet" of these special provisions.

### 10-1.02 WATER POLLUTION CONTROL

**GENERAL**

Water pollution control work shall conform to the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications, section of these special provisions entitled "Relations With California Regional Water Quality Control Board," and these special provisions.

The Contractor shall perform water pollution control work in conformance with the requirements in the "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" and its addenda in effect on the day the Notice to Contractors is dated. This manual is referred to as the "Preparation Manual." Copies of the Preparation Manual may be obtained from:

State of California
Department of Transportation
Publication Distribution Unit
1900 Royal Oaks Drive
Sacramento, California 95815
Telephone: (916) 445-3520

The Preparation Manual and other references for performing water pollution control work are available from the Department's Construction Storm Water and Water Pollution Control web site at:

http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm

Before the start of job site activities, the Contractor shall provide training for project managers, supervisory personnel, and employees involved with water pollution control work. The training shall include:

A. Rules and regulations
B. Implementation and maintenance for:

    1. Temporary Soil Stabilization
    2. Temporary Sediment Control
    3. Tracking Control
    4. Wind Erosion Control

The Contractor shall designate in writing a Water Pollution Control Manager (WPCM). The Contractor shall submit a statement of qualifications describing the training, work history, and expertise of the proposed WPCM. The qualifications shall include either:

A. A minimum of 24 hours of Department approved storm water management training described at Department's Construction Storm Water and Water Pollution Control web site.
B. Certification as a Certified Professional in Erosion and Sediment Control (CPESC).

The WPCM shall be:

A. Responsible for water pollution control work.
B. The primary contact for water pollution control work.
C. Have authority to mobilize crews to make immediate repairs to water pollution control practices.

The Contractor may designate one manager to prepare the WPCP and a different manager to implement the plan. The WPCP preparer shall meet the training requirements for the WPCM.

**WATER POLLUTION CONTROL PROGRAM**

The Contractor shall submit a Water Pollution Control Program (WPCP) to the Engineer for approval. The WPCP shall conform to the requirements in the Preparation Manual and these special provisions.

The WPCP shall include water pollution control practices:

A. For storm water and non-storm water from areas outside of the job site related to construction activities for this contract such as:

    1. Staging areas.
    2. Storage yards.
    3. Access roads.

B. Appropriate for each season as described in "Implementation Requirements" of these special provisions.

The WPCP shall include a schedule that:

A. Describes when work activities that could cause water pollution will be performed.
B. Identifies soil stabilization and sediment control practices for disturbed soil area.
C. Includes dates when these practices will be 25, 50, and 100 percent complete.
D. Shows 100 percent completion of these practices before the rainy season.

The WPCP shall include the following temporary water pollution control practices and their associated contract items of work as shown on the plans or specified in these special provisions:

A. Sediment Control

1. Temporary Silt Fence

B. Tracking Control

1. Street Sweeping

C. Non-Storm Water Management

1. Construction Site Management

D. Waste Management and Materials Pollution Control

1. Construction Site Management
2. Temporary Concrete Washout Facility

The WPCP shall include the following contract items of work for permanent water pollution control as shown on the plans or as specified in these special provisions:

A. Erosion Control (Netting)
B. Erosion Control (Type D)

Within 7 days after contract approval, the Contractor shall submit 2 copies of the WPCP to the Engineer. The Contractor shall allow 15 days for the Engineer's review. If revisions are required, the Engineer will provide comments and specify the date that the review stopped. The Contractor shall revise and resubmit the WPCP within 7 days of receipt of the Engineer's comments. The Engineer's review will resume when the complete WPCP is resubmitted. When the Engineer approves the WPCP, the Contractor shall submit 3 copies of the approved WPCP to the Engineer. The Contractor may proceed with construction activities if the Engineer conditionally approves the WPCP while minor revisions are being completed. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay, the Contractor will be compensated for resulting losses, and an extension of time will be granted, as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Contractor shall not perform work that may cause water pollution until the WPCP has been approved by the Engineer. The Engineer's review and approval shall not waive any contract requirements and shall not relieve the Contractor from complying with Federal, State and local laws, regulations, and requirements.

If there is a change in construction schedule or activities, the Contractor shall prepare an amendment to the WPCP to identify additional or revised water pollution control practices. The Contractor shall submit the amendment to the Engineer for review within a time agreed to by the Engineer not to exceed the number of days specified for the initial submittal of the WPCP. The Engineer will review the amendment within the same time allotted for the review of the initial submittal of the WPCP.

If directed by the Engineer or requested in writing by the Contractor and approved by the Engineer, changes to the water pollution control work specified in these special provisions will be allowed. Changes may include addition of new water pollution control practices. The Contractor shall incorporate these changes in the WPCP. Additional water pollution control work will be paid for as extra work in accordance with Section 4-1.03D, "Extra Work," of the Standard Specifications.

The Contractor shall keep a copy of the approved WPCP at the job site. The WPCP shall be made available when requested by a representative of the Regional Water Quality Control Board, State Water Resources Control Board, United States Environmental Protection Agency, or the local storm water management agency. Requests from the public shall be directed to the Engineer.

**IMPLEMENTATION REQUIREMENTS**

The Contractor's responsibility for WPCP implementation shall continue throughout any temporary suspension of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications.

If the Contractor or the Engineer identifies a deficiency in the implementation of the approved WPCP, the deficiency shall be corrected immediately, unless an agreed date for correction is approved in writing by the Engineer. The deficiency shall be corrected before the onset of precipitation. If the Contractor fails to correct the deficiency by the agreed date or before the onset of precipitation, the Department may correct the deficiency and deduct the cost of correcting deficiencies from payments.

**Year-Round**

The Contractor shall monitor the National Weather Service weather forecast on a daily basis during the contract. The Contractor may use an alternative weather forecasting service if approved by the Engineer. Appropriate water pollution control practices shall be in place before precipitation.

The Contractor may discontinue earthwork operations for a disturbed area for up to 21 days and the disturbed soil area will still be considered active. When earthwork operations in the disturbed area have been completed, the Contractor shall implement appropriate water pollution control practices within 15 days or before predicted precipitation, whichever occurs first.

**Rainy Season**

The project has no defined rainy season.

Soil stabilization and sediment control practices conforming to these special provisions shall be in place during the rainy season between October 15 and April 15.

**Winter Shutdown**

**INSPECTION AND MAINTENANCE**

The WPCM shall inspect the water pollution control practices identified in the WPCP as follows:

A. Before a forecasted storm,
B. After precipitation that causes site runoff,
C. At 24-hour intervals during extended precipitation,
D. On a predetermined schedule, a minimum of once every 2 weeks outside of the defined rainy season, and
E. On a predetermined schedule, a minimum of once a week during the defined rainy season.

The WPCM shall oversee the maintenance of the water pollution control practices.

The WPCM shall use the Storm Water Quality Construction Site Inspection Checklist provided in the Preparation Manual or an alternative inspection checklist provided by the Engineer. A copy of the completed site inspection checklist shall be submitted to the Engineer within 24 hours of finishing the inspection.

**REPORTING REQUIREMENTS**

If the Contractor identifies discharges into surface waters or drainage systems causing or potentially causing pollution or if the project receives a written notice or order from a regulatory agency, the Contractor shall immediately inform the Engineer. The Contractor shall submit a written report to the Engineer within 7 days of the discharge, notice, or order. The report shall include the following information:

A. The date, time, location, and nature of the operation, type of discharge and quantity, and the cause of the notice or order.
B. The water pollution control practices used before the discharge, or before receiving the notice or order.
C. The date of placement and type of additional or altered water pollution control practices placed after the discharge, or after receiving the notice or order.
D. A maintenance schedule for affected water pollution control practices.

**PAYMENT**

During each estimate period the Contractor fails to conform to the provisions in this section, "Water Pollution Control," or fails to implement the water pollution control practices shown on the plans or specified elsewhere in these special provisions as items of work, the Department will withhold 25 percent of the progress payment.

Withholds for failure to perform water pollution control work will be in addition to all other withholds provided for in the contract. The Department will return performance-failure withholds in the progress payment following the correction for noncompliance.

The contract lump sum price paid for prepare water pollution control program shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing, obtaining approval of, and amending the WPCP, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for prepare water pollution control program will be made as follows:

A. After the WPCP has been approved by the Engineer, up to 75 percent of the contract item price for prepare water pollution control program will be included in the monthly progress estimate.
B. After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, payment for the remaining percentage of the contract item price for prepare water pollution control program will be made in conformance with the provisions in Section 9-1.07A, "Payment Prior to Proposed Final Estimate."

Implementation of water pollution control practices in areas outside the highway right of way not specifically provided for in the WPCP or in these special provisions will not be paid for.

Water pollution control practices for which there are separate contract items of work will be measured and paid for as those contract items of work.

**10-1.03 CONSTRUCTION SITE MANAGEMENT**

Construction site management shall consist of controlling potential sources of water pollution before they come in contact with storm water systems or watercourses. The Contractor shall control material pollution and manage waste and non-storm water existing at the construction site by implementing effective handling, storage, use, and disposal practices.

Attention is directed to "Water Pollution Control" of these special provisions regarding the Contractor's appointment of a water pollution control manager (WPCM) for the project.

The Contractor shall train all employees and subcontractors regarding:

A. Material pollution prevention and control;
B. Waste management;
C. Non-storm water management;
D. Identifying and handling hazardous substances; and
E. Potential dangers to humans and the environment from spills and leaks or exposure to toxic or hazardous substances.

Training shall take place before starting work on this project. New employees shall receive the complete training before starting work on this project. The Contractor shall have regular meetings to discuss and reinforce spill prevention and control; material delivery, storage, use, and disposal; waste management; and non-storm water management procedures.

Instructions for material and waste handling, storage, and spill reporting and cleanup shall be posted at all times in an open, conspicuous, and accessible location at the construction site.

Nonhazardous construction site waste and excess material shall be recycled when practical or disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, unless otherwise specified.

Vehicles and equipment at the construction site shall be inspected by the WPCM on a frequent, predetermined schedule, and by the operator each day of use. Leaks shall be repaired immediately, or the vehicle or equipment shall be removed from the construction site.

**SPILL PREVENTION AND CONTROL**

The Contractor shall implement spill and leak prevention procedures when chemicals or hazardous substances are stored. Spills of petroleum products; substances listed under CFR Title 40, Parts 110, 117, and 302; and sanitary and septic waste shall be contained and cleaned up as soon as is safe.

Minor spills involve small quantities of oil, gasoline, paint, or other material that can be controlled by the first responder upon discovery of the spill. Cleanup of minor spills includes:

A. Containing the spread of the spill,
B. Recovering the spilled material using absorption,
C. Cleaning the contaminated area, and
D. Disposing of contaminated material promptly and properly.

Semi-significant spills are those that can be controlled by the first responder with the help of other personnel. Cleanup of semi-significant spills shall be immediate. Cleanup of semi-significant spills includes:

A. Containing the spread of the spill;
B. Recovering the spilled material using absorption if the spill occurs on paved or an impermeable surface;
C. Containing the spill with an earthen dike and digging up contaminated soil for disposal if the spill occurs on dirt;
D. Covering the spill with plastic or other material to prevent contaminating runoff if the spill occurs during precipitation; and
E. Disposing of contaminated material promptly and properly.

Significant or hazardous spills are those that cannot be controlled by construction personnel. Notifications of these spills shall be immediate. The following steps shall be taken:

A. Construction personnel shall not attempt to cleanup the spill until qualified staff have arrived;
B. Notify the Engineer and follow up with a written report;
C. Obtain the services of a spills contractor or hazardous material team immediately;
D. Notify the local emergency response team by dialing 911 and county officials at the emergency phone numbers kept on the construction site;
E. Notify the Governor's Office of Emergency Services Warning Center at (805) 852-7550;
F. Notify the National Response Center at (800) 424-8802 regarding spills of Federal reportable quantities in conformance with CFR Title 40, Parts 110, 119, and 302;
G. Notify other agencies as appropriate, including:

    1. Fire Department,
    2. Public Works Department,
    3. Coast Guard,
    4. Highway Patrol,
    5. City Police or County Sheriff Department,
    6 Department of Toxic Substances,
    7. California Division of Oil and Gas,
    8. Cal OSHA, or
    9. Regional Water Resources Control Board.

The WPCM shall oversee and enforce proper spill prevention and control measures. Minor, semi-significant, and significant spills shall be reported to the Contractor's WPCM who shall notify the Engineer immediately.

The Contractor shall prevent spills from entering storm water runoff before and during cleanup. Spills shall not be buried or washed with water.

The Contractor shall keep material or waste storage areas clean, well organized, and equipped with enough cleanup supplies for the material being stored. Plastic shall be placed under paving equipment when not in use to catch drips.

**MATERIAL MANAGEMENT**

Material shall be delivered, used, and stored for this contract in a manner that minimizes or eliminates discharge of material into the air, storm drain systems, or watercourses.

The Contractor shall implement the practices described in this section when taking delivery of, using, or storing the following materials:

A. Hazardous chemicals including:

    1. Acids,
    2. Lime,
    3. Glues,
    4. Adhesives,
    5. Paints,
    6. Solvents, and
    7. Curing compounds;

B. Soil stabilizers and binders;
C. Fertilizers;

D. Detergents;
E. Plaster;
F. Petroleum products including:

1. Fuel,
2. Oil, and
3. Grease;

G. Asphalt components and concrete components; and
H. Pesticides and herbicides.

The Contractor shall supply the Material Safety Data Sheet to the Engineer for material used or stored. The Contractor shall keep an accurate inventory of material delivered and stored at the construction site.

Employees trained in emergency spill cleanup procedures shall be present when hazardous materials or chemicals are unloaded.

The Contractor shall use recycled or less hazardous products when practical.

**Material Storage**

The Contractor shall store liquids, petroleum products, and substances listed in CFR Title 40, Parts 110, 117, and 302 in containers or drums approved by the United States Environmental Protection Agency, and place them in secondary containment facilities.

Secondary containment facilities shall be impervious to the materials stored there for a minimum contact time of 72 hours.

Throughout the rainy season secondary containment facilities shall be covered during non-working days and when precipitation is predicted. Secondary containment facilities shall be adequately ventilated.

The Contractor shall keep the secondary containment facility free of accumulated rainwater or spills. After precipitation, or in the event of spills or leaks, accumulated liquid shall be collected and placed into drums within 24 hours. These liquids shall be handled as hazardous waste in accordance with the provisions in "Hazardous Waste" of these special provisions, unless testing determines them to be nonhazardous.

Incompatible materials, such as chlorine and ammonia, shall not be stored in the same secondary containment facility.

Materials shall be stored in the original containers with the original product labels maintained in legible condition. Damaged or illegible labels shall be replaced immediately.

The secondary containment facility shall have the capacity to contain precipitation from a 24-hour-long, 25-year storm; and 10 percent of the aggregate volume of all containers, or all of the volume of the largest container within the facility, whichever is greater.

The Contractor shall store bagged or boxed material on pallets. Throughout the rainy season, bagged or boxed material shall be protected from wind and rain during non-working days and when precipitation is predicted.

The Contractor shall provide sufficient separation between stored containers to allow for spill cleanup or emergency response access. Storage areas shall be kept clean, well organized, and equipped with cleanup supplies appropriate for the materials being stored.

The Contractor shall repair or replace perimeter controls, containment structures, covers, and liners as needed. Storage areas shall be inspected before and after precipitation, and at least weekly during other times.

**Stockpile Management**

The Contractor shall reduce or eliminate potential air and water pollution from stockpiled material including soil, paving material, or pressure treated wood. Stockpiles shall be located out of floodplains when possible, and at least 15 m from concentrated flows of storm water, drainage courses, or inlets unless written approval is obtained from the Engineer.

The Contractor may discontinue adding or removing material for up to 21 days and a stockpile will still be considered active.

The Contractor shall protect active stockpiles with plastic or geotextile cover, soil stabilization measures, or with linear sediment barrier when precipitation is predicted. Active stockpiles of cold mix asphalt concrete shall be placed on an impervious surface and covered with plastic when precipitation is predicted.

The Contractor shall protect inactive soil stockpiles with a plastic or geotextile cover, or with soil stabilization measures at all times during the rainy season. A linear sediment barrier around the perimeter of the stockpile shall also be used. During the non-rainy season soil stockpiles shall be covered and protected with a linear sediment barrier when precipitation is predicted. The Contractor shall control wind erosion during dry weather as provided in Section 10, "Dust Control," of the Standard Specifications.

Stockpiles of portland cement concrete rubble, asphalt concrete (AC), hot mix asphalt (HMA), AC and HMA rubble, aggregate base, or aggregate subbase shall be covered with plastic or geotextile, or protected with a linear sediment barrier at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of cold mix asphalt concrete shall be placed on and covered with impermeable material at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of pressure treated wood shall be covered with impermeable material and placed on pallets at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

The Contractor shall repair or replace linear sediment barriers and covers as needed or as directed by the Engineer to keep them functioning properly. Sediment shall be removed when it accumulates to 1/3 of the linear sediment barrier height.

## WASTE MANAGEMENT

### Solid Waste

The Contractor shall not allow litter or debris to accumulate anywhere on the construction site, including storm drain grates, trash racks, and ditch lines. The Contractor shall pick up and remove trash and debris from the construction site at least once a week. The WPCM shall monitor solid waste storage and disposal procedures on the construction site. The Contractor shall provide enough dumpsters of sufficient size to contain the solid waste generated by the project. Dumpsters shall be emptied when refuse reaches the fill line. Dumpsters shall be watertight. The Contractor shall not wash out dumpsters on the construction site. The Contractor shall provide additional containers and more frequent pickup during the demolition phase of construction

Solid waste includes:

A. Brick,
B. Mortar,
C. Timber,
D. Metal scraps,
E. Sawdust,
F. Pipe,
G. Electrical cuttings,
H. Non-hazardous equipment parts,
I. Styrofoam and other packaging materials,
J. Vegetative material and plant containers from highway planting, and
K. Litter and smoking material, including litter generated randomly by the public.

Trash receptacles shall be provided and used in the Contractor's yard, field trailers, and locations where workers gather for lunch and breaks.

### Hazardous Waste

The Contractor shall implement hazardous waste management practices when waste is generated on the construction site from the following substances:

A. Petroleum products,
B. Asphalt products,
C. Concrete curing compound,
D. Pesticides,
E. Acids,
F. Paints,
G. Stains,
H. Solvents,
I. Wood preservatives,
J. Roofing tar, and
K. Materials classified as hazardous by California Code of Regulations, Title 22, Division 4.5; or listed in CFR Title 40, Parts 110, 117, 261, or 302.

Nothing in these special provisions shall relieve the Contractor of the responsibility for compliance with Federal, State, and local laws regarding storage, handling, transportation, and disposal of hazardous wastes.

The WPCM shall oversee and enforce hazardous waste management practices. Production of hazardous materials and hazardous waste on the construction site shall be kept to a minimum. Perimeter controls, containment structures, covers, and liners shall be repaired or replaced when damaged.

The Contractor shall have a laboratory certified by the Department of Health Services (DHS) sample and test waste when hazardous material levels are unknown to determine safe methods for storage and disposal.

The Contractor shall segregate potentially hazardous waste from nonhazardous waste at the construction site. Hazardous waste shall be handled, stored, and disposed of as required in California Code of Regulations, Title 22, Division 4.5, Section 66262.34; and in CFR Title 49, Parts 261, 262, and 263.

The Contractor shall store hazardous waste in sealed containers constructed and labeled with the contents and date accumulated as required in California Code of Regulations, Title 22, Division 4.5; and in CFR Title 49, Parts 172, 173, 178, and 179. Hazardous waste containers shall be kept in temporary containment facilities conforming to the provisions in "Material Storage" of these special provisions.

There shall be adequate storage volume and containers shall be conveniently located for hazardous waste collection. Containers of hazardous waste shall not be overfilled and hazardous wastes shall not be mixed. Containers of dry waste that are not watertight shall be stored on pallets. The Contractor shall not allow potentially hazardous waste to accumulate on the ground. Hazardous waste shall be stored away from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall clean water based or oil based paint from brushes or equipment within a contained area and shall not contaminate soil, watercourses, or storm drain systems. Paints, thinners, solvents, residues, and sludges that cannot be recycled or reused shall be disposed of as hazardous waste. When thoroughly dry, latex paint and paint cans, used brushes, rags, absorbent materials, and drop cloths shall be disposed of as solid waste.

The Contractor shall dispose of hazardous waste within 90 days of being generated. Hazardous waste shall be disposed of by a licensed hazardous waste transporter using uniform hazardous waste manifest forms and taken to a Class I Disposal Site. A copy of the manifest shall be provided to the Engineer.

**Contaminated Soil**

The Contractor shall identify contaminated soil from spills or leaks by noticing discoloration, odors, or differences in soil properties. Soil with evidence of contamination shall be sampled and tested by a laboratory certified by DHS. If levels of contamination are found to be hazardous, the soil shall be handled and disposed of as hazardous waste.

The Contractor shall prevent the flow of water, including ground water, from mixing with contaminated soil by using one or a combination of the following measures:

A. Berms,
B. Cofferdams,
C. Grout curtains,
D. Freeze walls, or
E. Concrete seal course.

If water mixes with contaminated soil and becomes contaminated, the water shall be sampled and tested by a laboratory certified by the DHS. If levels of contamination are found to be hazardous, the water shall be handled and disposed of as hazardous waste.

**Concrete Waste**

The Contractor shall implement practices to prevent the discharge of portland cement concrete, AC, or HMA waste into storm drain systems or watercourses.

Portland cement concrete, AC, or HMA waste shall be collected at the following locations and disposed of:

A. Where concrete material, including grout, is used;
B. Where concrete dust and debris result from demolition;
C. Where sawcutting, coring, grinding, grooving, or hydro-concrete demolition of portland cement concrete, AC, or HMA creates a residue or slurry; or
D. Where concrete trucks or other concrete-coated equipment is cleaned at the construction site.

**Sanitary and Septic Waste**

Wastewater from sanitary or septic systems shall not be discharged or buried within the Department right of way. The WPCM shall inspect sanitary or septic waste storage and monitor disposal procedures at least weekly. Sanitary facilities that discharge to the sanitary sewer system shall be properly connected and free from leaks.

The Contractor shall obtain written approval from the local health agency, city, county, and sewer district before discharging from a sanitary or septic system directly into a sanitary sewer system, and provide a copy to the Engineer. The Contractor shall comply with local health agency requirements when using an on-site disposal system.

### Liquid Waste

The Contractor shall not allow construction site liquid waste, including the following, to enter storm drain systems or watercourses:

A. Drilling slurries or fluids,
B. Grease-free or oil-free wastewater or rinse water,
C. Dredgings,
D. Liquid waste running off a surface including wash or rinse water, or
E. Other non-storm water liquids not covered by separate permits.

The Contractor shall hold liquid waste in structurally sound, leak proof containers such as:

A. Sediment traps,
B. Roll-off bins, or
C. Portable tanks.

Liquid waste containers shall be of sufficient quantity and volume to prevent spills and leaks. The containers shall be stored at least 15 m from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall remove and dispose of deposited solids from sediment traps as provided in "Solid Waste" of these special provisions, unless determined infeasible by the Engineer.

Liquid waste may require testing to determine hazardous material content before disposal.

Drilling fluids and residue shall be disposed of outside the highway right of way. If the Engineer determines that an appropriate location is available, fluids and residue exempt under California Code of Regulations, Title 23, Section 2511(g) may be dried by infiltration and evaporation in a leak proof container. The remaining solid waste may be disposed of as provided in "Solid Waste" of these special provisions.

## NON-STORM WATER MANAGEMENT

### Water Control and Conservation

The Contractor shall prevent erosion or the discharge of pollutants into storm drain systems or watercourses by managing the water used for construction operations. The Contractor shall obtain the Engineer's approval before washing anything on the construction site with water that could discharge into a storm drain system or watercourse. Discharges shall be reported to the Engineer immediately.

The Contractor shall implement water conservation practices when water is used on the construction site. Irrigation areas shall be inspected and watering schedules shall be adjusted to prevent erosion, excess watering, or runoff. The Contractor shall shut off the water source to broken lines, sprinklers, or valves, and they shall be repaired as soon as possible. When possible, water from waterline flushing shall be reused for landscape irrigation. Paved areas shall be swept and vacuumed, not washed with water.

Construction water runoff, including water from water line repair, shall be directed to areas to infiltrate into the ground and shall not be allowed to enter storm drain systems or watercourses. Spilled water shall not be allowed to escape water truck filling areas. When possible, the Contractor shall direct water from off-site sources around the construction site, or shall minimize contact with the construction site.

### Illegal Connection and Discharge Detection and Reporting

The Contractor shall inspect the construction site and the site perimeter before beginning work for evidence of illegal connections, discharges, or dumping. Subsequently, the construction site and perimeter shall be inspected on a frequent, predetermined schedule.

The Contractor shall immediately notify the Engineer when illegal connections, discharges, or dumping are discovered. The Contractor shall take no further action unless directed by the Engineer. Unlabeled or unidentifiable material shall be assumed to be hazardous.

The Contractor shall look for the following evidence of illegal connections, discharges, or dumping:

A. Debris or trash piles,
B. Staining or discoloration on pavement or soils,

C. Pungent odors coming from drainage systems,
D. Discoloration or oily sheen on water,
E. Stains or residue in ditches, channels or drain boxes,
F. Abnormal water flow during dry weather,
G. Excessive sediment deposits,
H. Nonstandard drainage junction structures, or
I. Broken concrete or other disturbances near junction structures.

**Vehicle and Equipment Cleaning**

The Contractor shall limit vehicle and equipment cleaning or washing on the construction site to that necessary to control vehicle tracking or hazardous waste. Vehicles and equipment shall not be cleaned on the construction site with soap, solvents, or steam until the Engineer has been notified. The resulting waste shall be contained and recycled, or disposed of as provided in "Liquid Waste" or "Hazardous Waste" of these special provisions, whichever is applicable. The Contractor shall not use diesel to clean vehicles or equipment, and shall minimize the use of solvents.

The Contractor shall clean or wash vehicles and equipment in a structure equipped with disposal facilities. If using a structure is not possible, vehicles and equipment shall be cleaned or washed in an outside area with the following characteristics:

A. Located at least 15 m from storm drainage systems or watercourses,
B. Paved with AC, HMA or portland cement concrete,
C. Surrounded by a containment berm, and
D. Equipped with a sump to collect and dispose of wash water.

When washing vehicles or equipment with water, the Contractor shall use as little water as possible. Hoses shall be equipped with a positive shutoff valve.

Wash racks shall discharge to a recycle system or to another system approved by the Engineer. Sumps shall be inspected regularly, and liquids and sediments shall be removed as needed.

**Vehicle and Equipment Fueling and Maintenance**

The Contractor shall fuel or perform maintenance on vehicles and equipment off the construction site whenever practical. When fueling or maintenance must be done at the construction site, the Contractor shall designate a site, or sites, and obtain approval from the Engineer before using. The fueling or maintenance site shall be protected from storm water, shall be on level ground, and shall be located at least 15 m from drainage inlets or watercourses. The WPCM shall inspect the fueling or maintenance site regularly. Mobile fueling or maintenance shall be kept to a minimum.

The Contractor shall use containment berms or dikes around the fueling and maintenance area. Adequate amounts of absorbent spill cleanup material and spill kits shall be kept in the fueling and maintenance area and on fueling trucks. Spill cleanup material and kits shall be disposed of immediately after use. Drip pans or absorbent pads shall be used during fueling or maintenance unless performed over an impermeable surface.

Fueling or maintenance operations shall not be left unattended. Fueling nozzles shall be equipped with an automatic shutoff control. Vapor recovery fueling nozzles shall be used where required by the Air Quality Management District. Nozzles shall be secured upright when not in use. Fuel tanks shall not be topped-off.

The Contractor shall recycle or properly dispose of used batteries and tires.

**Material and Equipment Used Over Water**

Drip pans and absorbent pads shall be placed under vehicles or equipment used over water, and an adequate supply of spill cleanup material shall be kept with the vehicle or equipment. Drip pans or plastic sheeting shall be placed under vehicles or equipment on docks, barges, or other surfaces over water when the vehicle or equipment will be idle for more than one hour.

The Contractor shall provide watertight curbs or toe boards on barges, platforms, docks, or other surfaces over water to contain material, debris, and tools. Material shall be secured to prevent spills or discharge into water due to wind.

**Structure Removal Over or Adjacent to Water**

The Contractor shall not allow demolished material to enter storm water systems or watercourses. The Contractor shall use covers and platforms approved by the Engineer to collect debris. Attachments shall be used on equipment to catch debris on small demolition operations. Debris catching devices shall be emptied regularly and debris shall be handled as provided in "Waste Management" of these special provisions.

The WPCM shall inspect demolition sites within 15 m of storm water systems or watercourses every day.

### Paving, Sealing, Sawcutting, and Grinding Operations

The Contractor shall prevent the following material from entering storm drain systems or water courses:

A. Cementitious material,
B. Asphaltic material,
C. Aggregate or screenings,
D. Grinding or sawcutting residue,
E. Pavement chunks, or
F. Shoulder backing.

The Contractor shall cover drainage inlets and use linear sediment barriers to protect downhill watercourses until paving, sealing, sawcutting, or grinding operations are completed and excess material has been removed. Drainage inlets and manholes shall be covered during the application of seal coat, tack coat, slurry seal, or fog seal.

During the rainy season or when precipitation is predicted, paving, sawcutting, and grinding operations shall be limited to places where runoff can be captured. Seal coat, tack coat, slurry seal, or fog seal operations shall not begin if precipitation is predicted for the application or the curing period. The Contractor shall not excavate material from existing roadways during precipitation.

The Contractor shall vacuum up slurry from sawcutting operations immediately after the slurry is produced. Slurry shall not be allowed to run onto lanes open to public traffic or off the pavement.

The Contractor shall collect residue from portland cement concrete grinding operations with a vacuum attachment on the grinding machine. The residue shall not be left on the pavement or allowed to flow across the pavement.

Material excavated from existing roadways may be stockpiled as provided in "Stockpile Management" of these special provisions if approved by the Engineer. AC or HMA chunks used in embankment shall be placed above the water table and covered by at least 0.3-m of material.

Substances used to coat asphalt trucks and equipment shall not contain soap, foaming agents, or toxic chemicals.

### Thermoplastic Striping and Pavement Markers

Thermoplastic striping and preheating equipment shutoff valves shall work properly at all times when on the construction site. The Contractor shall not preheat, transfer, or load thermoplastic within 15 m of drainage inlets or watercourses. The Contractor shall not fill the preheating container to more than 150 mm from the top. Truck beds shall be cleaned daily of scraps or melted thermoplastic.

The Contractor shall not unload, transfer, or load bituminous material for pavement markers within 15 m of drainage inlets or watercourses. All pressure shall be released from melting tanks before removing the lid to fill or service. Melting tanks shall not be filled to more than 150 mm from the top.

The Contractor shall collect bituminous material from the roadway after marker removal.

### Pile Driving

The Contractor shall keep spill kits and cleanup material at pile driving locations. Pile driving equipment shall be parked over drip pans, absorbent pads, or plastic sheeting where possible. When not in use, pile driving equipment shall be stored at least 15 m from concentrated flows of storm water, drainage courses, or inlets. The Contractor shall protect pile driving equipment by parking it on plywood and covering it with plastic when precipitation is predicted. The WPCM shall inspect the pile driving area every day for leaks and spills.

The Contractor shall use vegetable oil instead of hydraulic fluid when practical.

### Concrete Curing

The Contractor shall not overspray chemical curing compound. Drift shall be minimized by spraying as close to the concrete as possible. Drainage inlets shall be covered before applying curing compound.

The Contractor shall minimize the use and discharge of water by using wet blankets or similar methods to maintain moisture when curing concrete.

### Concrete Finishing

The Contractor shall collect and dispose of water and solid waste from high-pressure water blasting. Drainage inlets within 15 m shall be covered before sandblasting. The nozzle shall be kept as close to the surface of the concrete as possible to minimize drift of dust and blast material. Blast residue may contain hazardous material.

Containment structures for concrete finishing operations shall be inspected for damage before each day of use and before predicted precipitation. Liquid and solid waste shall be removed from the containment structure after each work shift.

### PAYMENT

The contract lump sum price paid for construction site management shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in spill prevention and control, material management, waste management, non-storm water management, and dewatering and identifying, sampling, testing, handling, and disposing of hazardous waste, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.04 STREET SWEEPING

Street sweeping shall be conducted where sediment is tracked from the job site onto paved roads, as described in the approved Water Pollution Control Program (WPCP) in accordance with "Water Pollution Control" of these special provisions, and as directed by the Engineer.

Street sweeping shall be one of the water pollution control practices for sediment control. The WPCP shall include the use of street sweeping. Street sweeping shall be performed in accordance with Section 4, SC-7 in the Construction Site Best Management Practices Manual of the Caltrans Storm Water Quality Handbooks.

The number of street sweepers shall be as designated in the approved WPCP. The Contractor shall maintain at least one sweeper on the job site at all times during the period that sweeping work is required. Sweepers shall be self-loading, motorized, and shall have spray nozzles. Sweepers may include a vacuum apparatus.

Street sweeping shall start at the beginning of clearing and grubbing and shall continue until completion of the project, or as directed by the Engineer. Street sweeping shall be performed immediately after soil disturbing activities occur or offsite tracking of material is observed. Street sweeping shall be performed so that dust is minimized. If dust generation is excessive or sediment pickup is ineffective as determined by the Engineer, the use of water or a vacuum will be required.

At the option of the Contractor, collected material may be temporarily stockpiled in accordance with the approved WPCP. Collected material shall be disposed of at least once per week.

Material collected during street sweeping operations shall be disposed of in conformance with Section 7-1.13, "Disposal of Material Outside The Highway Right Of Way," of the Standard Specifications.

### MEASUREMENT AND PAYMENT

The contract lump sum price paid for street sweeping shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in street sweeping, including disposal of collected material, as shown on the plans, as specified in the Standard Specifications, these special provisions, and as directed by the Engineer.

## 10-1.05 TEMPORARY CONCRETE WASHOUT FACILITY

Temporary concrete washout facilities shall be constructed, maintained, and later removed at the locations shown on the approved Water Pollution Control Program (WPCP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary concrete washout facilities shall be one of the water pollution control practices for waste management and materials pollution control. The WPCP shall include the use of temporary concrete washout facilities.

### MATERIALS

#### Plastic Liner

Plastic liners shall be single ply, new polyethylene sheeting, a minimum of 0.25-mm thick and shall be free of holes, punctures, tears or other defects that compromise the impermeability of the material. Plastic liners shall not have seams or overlapping joints.

#### Gravel-filled Bags

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

| Specification | Requirements |
|---|---|
| Mass per unit area, grams per square meter, min.<br>ASTM Designation: D 5261 | 270 |
| Grab tensile strength (25-mm grip), kilonewtons, min.<br>ASTM Designation: D4632* | 0.89 |
| Ultraviolet stability, percent tensile strength retained after 500 hours,<br>ASTM Designation: D4355, xenon arc lamp method | 70 |

* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials.

The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

**Straw Bales**

Straw for straw bales shall conform to the provisions in Section 20-2.06, "Straw," of the Standard Specifications.

Straw bales shall be a minimum of 360 mm in width, 450 mm in height, 900 mm in length and shall have a minimum mass of 23 kg. The straw bale shall be composed entirely of vegetative matter, except for binding material.

Straw bales shall be bound by either wire, nylon or polypropylene string. Jute or cotton binding shall not be used. Baling wire shall be a minimum 1.57 mm in diameter. Nylon or polypropylene string shall be approximately 2 mm in diameter with 360 N of breaking strength.

**Stakes**

Stakes shall be wood or metal. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots or other defects which would render them unfit for the purpose intended. Wood stakes shall be a minimum 50 mm x 50 mm in size. Metal stakes may be used as an alternative, and shall be a minimum 13 mm in diameter. Stakes shall be a minimum 1.2 m in length. The tops of the metal stakes shall be bent at a 90-degree angle or capped with an orange or red plastic safety cap that fits snugly to the metal stake. The Contractor shall submit a sample of the metal stake and plastic cap, if used, for the Engineer's approval before installation.

**Staples**

Staples shall be as shown on the plans. An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

**Signs**

Wood posts for signs shall conform to the provisions in Section 56-2.02B, "Wood Posts," of the Standard Specifications. Lag screws shall conform to the provisions in Section 56-2.02D, "Sign Panel Fastening Hardware," of the Standard Specifications.

Plywood shall be freshly painted for each installation with not less than 2 applications of flat white paint. Sign letters shown on the plans shall be stenciled with commercial quality exterior black paint. Testing of paint will not be required.

**INSTALLATION**

Temporary concrete washout facilities shall be as follows:

1. Temporary concrete washout facilities shall be installed before beginning placement of concrete and located a minimum of 15 m from storm drain inlets, open drainage facilities, and water courses unless determined infeasible by the Engineer. Temporary concrete washout facilities shall be located away from construction traffic or access areas at a location determined by the Contractor and approved by the Engineer.
2. A sign shall be installed adjacent to each washout facility at a location determined by the Contractor and approved by the Engineer. Signs shall be installed in conformance with the provisions in Section 56-2.03, "Construction," and Section 56-2.04, "Sign Panel Installation," of the Standard Specifications.

3. The length and width of a temporary concrete washout facility may be increased from the minimum dimensions shown on the plans upon approval of the Engineer.
4. Temporary concrete washout facilities shall be constructed in sufficient quantity and size to contain liquid and concrete waste generated by washout operations for concrete wastes. These facilities shall be constructed to contain liquid and concrete waste without seepage, spills, or overflow.
5. Berms for below grade temporary concrete washout facilities shall be constructed from compacted native material. Gravel may be used in conjunction with compacted native material.
6. A plastic liner shall be installed in below grade temporary concrete washout facilities.

Details for an alternative temporary concrete washout facility shall be submitted to the Engineer for approval at least 7 days before installation.

When temporary concrete washout facilities are no longer required for the work, as determined by the Engineer, the hardened concrete and liquid residue shall be removed and disposed of in conformance with the provisions in Section 15-3.02, "Removal Methods," of the Standard Specifications. Temporary concrete washout facilities shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary concrete washout facilities shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

### MAINTENANCE

Temporary concrete washout facilities shall be maintained to provide adequate holding capacity with a minimum freeboard of 300 mm. Maintaining temporary concrete washout facilities shall include removing and disposing of hardened concrete and returning the facilities to a functional condition. Hardened concrete materials shall be removed and disposed of in conformance with the provisions in Section 15-3.02, "Removal Methods," of the Standard Specifications. Holes, rips, and voids in the plastic liner shall be patched and repaired by taping or the plastic liner shall be replaced. The plastic liner shall be replaced when patches or repairs compromise the impermeability of the material as determined by the Engineer.

Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out.

Temporary concrete washout facilities shall be repaired or replaced on the same day the damage occurs. Damage to temporary concrete washout facilities resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

### MEASUREMENT AND PAYMENT

Quantities of temporary concrete washout facilities will be measured as units determined from actual count in place.

The contract unit price paid for temporary concrete washout facility shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing a temporary concrete washout facility, complete in place, including excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.06 TEMPORARY SILT FENCE

Temporary silt fence shall be furnished, installed, maintained, and later removed at the locations shown on the approved Water Pollution Control Program (WPCP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary silt fence shall be one of the water pollution control practices for sediment control. The WPCP shall include the use of temporary silt fence.

### MATERIALS

Temporary silt fence shall either be prefabricated or constructed with silt fence fabric, posts, and fasteners.

#### Silt Fence Fabric

Silt fence fabric shall be geotextile manufactured from woven polypropylene or polymer material. Silt fence fabric may be virgin, recycled, or a combination of virgin and recycled polymer materials. No virgin or recycled polymer materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Silt fence fabric shall conform to the following requirements:

| Specification | Requirements |
|---|---|
| Width, mm, min. | 900 |
| Grab tensile strength (25-mm grip), kilonewtons, min. in each direction<br>ASTM Designation: D 4632* | 0.55 |
| Elongation, percent minimum in each direction<br>ASTM Designation: D 4632* | 15 |
| Permittivity, 1/sec., min.<br>ASTM Designation: D 4491 | 0.05 |
| Flow rate, liters per minute per square meter, min.<br>ASTM Designation: D 4491 | 400 |
| Ultraviolet stability, percent tensile strength retained after 500 hours, min.<br>ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method) | 70 |

* or appropriate test method for specific polymer

**Posts**

Posts for temporary silt fence shall be one of the following:

1. Untreated fir or pine, a minimum of 34 mm x 40 mm in size, and 1.2 m in length. One end of the post shall be pointed.
2. Steel and have a "U," "T," "L," or other cross sectional shape that can resist failure from lateral loads. The steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.2 m. One end of the steel posts shall be pointed and the other end shall be capped with an orange or red plastic safety cap which fits snugly to the steel post. The Contractor shall submit to the Engineer for approval a sample of the capped steel post before installation.

**Fasteners**

Fasteners for attaching silt fence fabric to posts shall be as follows:

1. When prefabricated silt fence is used, posts shall be inserted into sewn pockets.
2. Silt fence fabric shall be attached to wooden posts with nails or staples as shown on the plans or as recommended by the manufacturer or supplier. Tie wire or locking plastic fasteners shall be used to fasten the silt fence fabric to steel posts. Maximum spacing of fasteners shall be 200 mm along the length of the steel post.

**INSTALLATION**

Temporary silt fence shall be installed parallel with the slope contour in reaches not to exceed 150 m. A reach is considered a continuous run of temporary silt fence from end to end or from an end to an opening, including joined panels. Each reach shall be constructed so that the elevation at the base of the fence does not deviate from the contour more than 1/3 of the fence height.

The silt fence fabric shall be installed on the side of the posts facing the slope. The silt fence fabric shall be anchored in a trench as shown on the plans. The trench shall be backfilled and mechanically or hand tamped to secure the silt fence fabric in the bottom of the trench.

Mechanically pushing 300 mm of the silt fence fabric vertically through the soil may be allowed if the Contractor can demonstrate to the Engineer that the silt fence fabric will not be damaged and will not slip out of the soil resulting in sediment passing under the silt fence fabric.

The maximum post spacing may be increased to 3 m if the fence is reinforced by a wire or plastic material by prefabrication or by field installation. The field-assembled reinforced temporary silt fence shall be able to retain saturated sediment without collapsing.

Temporary silt fence shall be joined as shown on the plans. The tops of the posts shall be tied together by minimum of 2 wraps of tie wire of a minimum 1.5-mm diameter. The silt fence fabric shall be attached to the posts at the joint as specified in these special provisions.

When no longer required as determined by the Engineer, temporary silt fence shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Trimming the silt fence fabric and leaving it in place will not be allowed.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary silt fence shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

**MAINTENANCE**

Temporary silt fence shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the silt fence fabric above ground. When sediment exceeds this height or when directed by the Engineer, sediment shall be removed. The removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water.

Temporary silt fence shall be repaired or replaced the same day the damage occurs. Damage to the temporary silt fence resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

**MEASUREMENT AND PAYMENT**

Quantities of temporary silt fence to be paid for will be determined by the meter, measured parallel with the ground slope along the line of the installed temporary silt fence, deducting the widths of openings.

The contract price paid per meter for temporary silt fence shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary silt fence, complete in place, including trench excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.07 COOPERATION

It is anticipated that work by another contractor may be in progress adjacent to or within the limits of this project during progress of the work on this contract. The following table lists contracts anticipated to be in progress during this contract.

| Contract No. | Co-Rte-KP | Location | Type of Work |
|---|---|---|---|
| 04-219814 | Ala-580-R12.6/30.7 | EB 580 in Livermore | Roadway |

Comply with Section 7-1.14, "Cooperation," of the Standard Specifications.

The State will not occupy the site and the existing building during the entire period of construction.

The Weigh Station will be closed to operation throughout the duration of this project.. Contractor shall coordinate access to the site with the Engineer.

The Contractor shall plan his work to minimize interference with the public. Interruptions to any services for the purpose of making or breaking a connection shall be made only after consultation with and for such time periods as directed by the Engineer.

## 10-1.08 OBSTRUCTIONS

Attention is directed to Section 8-1.10, "Utility and Non-Highway Facilities," and Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

Attention is directed to the existence of certain underground facilities that may require special precautions be taken by the Contractor to protect the health, safety and welfare of workers and of the public. Facilities requiring special precautions include, but are not limited to: conductors of petroleum products, oxygen, chlorine, and toxic or flammable gases; natural gas in pipelines greater than 150 mm in diameter or pipelines operating at pressures greater than 415 kPa (gage); underground electric supply system conductors or cables, with potential to ground of more than 300 V, either directly buried or in a duct or conduit which do not have concentric grounded or other effectively grounded metal shields or sheaths.

The Contractor shall notify the Engineer and the appropriate regional notification center for operators of subsurface installations at least 2 business days, but not more than 14 days, prior to performing any excavation or other work close to any underground pipeline, conduit, duct, wire or other structure. Regional notification centers include, but are not limited to, the following:

| Notification Center | Telephone Number |
|---|---|
| Underground Service Alert | 811 |

## 10-1.09 PROGRESS SCHEDULE (CRITICAL PATH METHOD)

**GENERAL**

**Summary**

Critical path method (CPM) progress schedules are required for this project. Whenever the term "schedule" is used in this section it means CPM progress schedule.

The provisions in Section 8-1.04, "Progress Schedule," of the Standard Specifications do not apply.

**Definitions**

The following definitions apply to this section:

**activity:** A task, event or other project element on a schedule that contributes to completing the project. Activities have a description, start date, finish date, duration and one or more logic ties.

**baseline schedule:** The initial schedule representing the Contractor's work plan on the first working day of the project.

**contract completion date:** The current extended date for completion of the contract shown on the weekly statement of working days furnished by the Engineer as specified in Section 8-1.06, "Time of Completion," of the Standard Specifications.

**critical path:** The longest continuous chain of activities for the project that has the least amount of total float of all chains. In general, a delay on the critical path will extend the scheduled completion date.

**critical path method (CPM):** A network based planning technique using activity durations and the relationships between activities to mathematically calculate a schedule for the entire project.

**data date:** The day after the date through which a schedule is current. Everything occurring earlier than the data date is "as-built" and everything on or after the data date is "planned."

**float:** The difference between the earliest and latest allowable start or finish times for an activity.

**milestone:** An event activity that has zero duration and is typically used to represent the beginning or end of a certain stage of the project.

**near critical path:** A chain of activities with total float exceeding that of the critical path but having no more than 10 working days of total float.

**scheduled completion date:** The planned project finish date shown on the current accepted schedule.

**time-scaled network diagram:** A graphic depiction of a CPM schedule comprised of activity bars with relationships for each activity represented by arrows. The tail of each arrow connects to the activity bar for the predecessor and points to the successor.

**total float:** The amount of time that an activity or chain of activities can be delayed before extending the scheduled completion date.

**updated schedule:** A current schedule developed from the baseline or subsequent schedule through regular monthly review to incorporate as-built progress and any planned changes.

**Submittals**

**General Requirements**

Submit to the Engineer baseline, monthly updated, and final updated schedules, each consistent in all respects with the time and order of work requirements of the contract. Work must be executed in the sequence indicated on the current accepted schedule.

Schedules must show the order in which you propose to prosecute the work with logical links between time-scaled work activities and calculations made using the critical path method to determine the controlling activities. You are responsible for assuring that all activity sequences are logical and that each schedule shows a coordinated plan for complete performance of the work.

Produce schedules using computer software and submit compatible software for the Engineer's exclusive possession and use. Submit network diagrams and schedule data as parts of each schedule submittal.

Schedules must include applicable activities that show the following:

1. Project characteristics, salient features, or interfaces, including those with outside entities, that could affect time of completion
2. Project start date, scheduled completion date and other milestones
3. Work performed by you, your subcontractors, and suppliers
4. Submittal development, delivery, review and approval, including those from you, your subcontractors, and suppliers
5. Procurement, delivery, installation, and testing of materials, plants and equipment
6. Testing and settlement periods
7. Utility notification and relocation
8. Erection and removal of falsework and shoring
9. Major traffic stage switches
10. Finishing roadway and final cleanup

Schedule activities must include the following:

1. A clear and legible description.
2. Start and finish dates.
3. A duration of not less than one working day, except for event activities, and not more than 20 working days, unless otherwise authorized by the Engineer.
4. At least one predecessor and one successor activity, except for project start and finish milestones.
5. Required constraints. Constraints other than those required by the special provisions may be included only if authorized by the Engineer.

The Engineer's review and acceptance of schedules does not waive any contract requirements and does not relieve you of any obligation or responsibility for submitting complete and accurate information. Correct rejected schedules and resubmit corrected schedules to the Engineer within 7 days of notification by the Engineer, at which time a new review period of 7 days will begin.

Errors or omissions on schedules do not relieve you from finishing all work within the time limit specified for completion of the contract. If, after a schedule has been accepted by the Engineer, either you or the Engineer discover that any aspect of the schedule has an error or omission, you must correct it on the next updated schedule.

**Computer Software**

Submit to the Engineer for review a description of proposed schedule software to be used. After the Engineer accepts the proposed software, submit schedule software and all original software instruction manuals. All software must be compatible with the current version of the Windows operating system in use by the Engineer. The schedule software must include:

1. Latest version of Primavera SureTrak Project Manager for Windows, or equivalent
2. Latest version of schedule-comparing HST SureChange, or equivalent

If a schedule software equivalent to SureTrak is proposed, it must be capable of generating files that can be imported into SureTrak. The schedule-comparing software must be compatible with schedule software submitted and must be able to compare two schedules and provide reports of changes in activity ID, activity description, constraints, calendar assignments, durations, and logic ties.

The schedule software and schedule-comparing software will be returned to you before the final estimate. The Department will compensate you as specified in Section 4-1.03, "Extra Work," of the Standard Specifications for replacement of software or manuals damaged, lost, or stolen after delivery to the Engineer.

Instruct the Engineer in the use of the software and provide software support until the contract is accepted. Within 15 days of contract approval, provide a commercial 8-hour training session for 2 Department employees in the use of the software at a location acceptable to the Engineer. It is recommended that you also send at least 2 employees to the same training session to facilitate development of similar knowledge and skills in the use of the software. If schedule software other than SureTrak is submitted, then the training session must be a total of 16-hours for each Department employee.

**Network Diagrams, Reports, and Data**

Include the following with each schedule submittal:

1. Two sets of originally plotted, time-scaled network diagrams
2. One read-only compact disk or floppy diskette containing the schedule data

The time-scaled network diagrams must conform to the following:

1. Show a continuous flow of information from left to right
2. Be based on early start and early finish dates of activities
3. Clearly show the critical path using graphical presentation
4. Be prepared on 280 mm x 430 mm or larger size
5. Include a title block and a timeline on each page

**Baseline Schedule**

Submit to the Engineer a baseline schedule within 20 days of approval of the contract. Allow 20 days for the Engineer's review after the baseline schedule and all support data are submitted. Beginning the week the baseline schedule is first submitted, meet with the Engineer weekly to discuss and resolve schedule issues until the baseline schedule is accepted.

The baseline schedule must include the entire scope of work and must show how you plan to complete all work contemplated. The baseline schedule must show the activities that define the critical path. Multiple critical paths and near-critical paths must be kept to a minimum. A total of not more than 50 percent of the baseline schedule activities must be critical or near critical, unless otherwise authorized by the Engineer.

The baseline schedule must not extend beyond the number of working days originally provided in these special provisions. The baseline schedule must have a data date of the first working day of the contract and not include any completed work to date. The baseline schedule must not attribute negative float or negative lag to any activity.

**Updated Schedule**

Submit an updated schedule and meet with the Engineer to review contract progress on or before the first day of each month, beginning one month after the baseline schedule is accepted. Allow 15 days for the Engineer's review after the updated schedule and all support data are submitted, except that the review period will not start until any previous month's required schedule is accepted. Updated schedules that are not accepted or rejected within the review period are considered accepted by the Engineer.

The updated schedule must have a data date of the 21st day of the month or other date established by the Engineer. The updated schedule must show the status of work actually completed to date and the work yet to be performed as planned. In addition, the updated schedule must show any proposed schedule modifications including adding or deleting activities or changing activity constraints, durations, or logic. Justify in writing the reasons for any changes to activities and the critical path that result in a delay to the scheduled completion date compared to the previous accepted schedule.

**Final Updated Schedule**

Submit a final updated schedule with actual start and finish dates for the activities within 30 days after completion of contract work. Provide a written certificate with this submittal signed by your project manager or an officer of the company stating, "To my knowledge and belief, the enclosed final updated schedule reflects the actual start and finish dates of the actual activities for the project contained herein." An officer of the company may delegate in writing the authority to sign the certificate to a responsible manager.

**PAYMENT**

Full compensation for the required schedules and software is considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

## 10-1.10 SMALL BUSINESS UTILIZATION REPORT

Submit a completed Small Business Utilization Report form on or before the following dates for the prior reporting period:

1. January 15th
2. April 15th
3. July 15th
4. October 15th

Submit a completed final Small Business Utilization Report form within 30 days after contract acceptance.

The Department pays $250 for each report submitted. The contract unit price paid for small business utilization report includes full compensation for doing all the work involved in submitting the completed Small Business Utilization Report form. If you fail to submit a completed form by the specified time, you will not receive payment for that report.

The Department does not adjust payment for an increase or decrease in the quantity of small business utilization reports submitted. Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications does not apply.

The work to complete the final Small Business Utilization Report contract item is excluded from Section 7-1.17, "Acceptance of Contract," of the Standard Specifications.

Failure to submit the Small Business Utilization Report is not considered a performance failure. Section 9-1.053, "Performance Failure Withholds," of the Standard Specifications does not apply.

## 10-1.11 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES

Flagging, signs, and temporary traffic control devices furnished, installed, maintained, and removed when no longer required shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Category 1 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices. These devices shall be certified as crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 temporary traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.

If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 temporary traffic control devices at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use. Self-certification shall be provided by the manufacturer or Contractor and shall include the following:

A. Date,
B. Federal Aid number (if applicable),
C. Contract number, district, county, route and kilometer post of project limits,
D. Company name of certifying vendor, street address, city, state and zip code,
E. Printed name, signature and title of certifying person; and
F. Category 1 temporary traffic control devices that will be used on the project.

The Contractor may obtain a standard form for self-certification from the Engineer.

Category 2 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices that are not expected to produce significant vehicular velocity change, but may cause potential harm to impacting vehicles. Category 2 temporary traffic control devices include barricades and portable sign supports.

Category 2 temporary traffic control devices shall be on the Federal Highway Administration's (FHWA) list of Acceptable Crashworthy Category 2 Hardware for Work Zones. This list is maintained by FHWA and can be located at:

http://safety.fhwa.dot.gov/roadway_dept/road_hardware/listing.cfm?code=workzone

The Department also maintains this list at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/pdf/Category2.pdf

Category 2 temporary traffic control devices that have not received FHWA acceptance shall not be used. Category 2 temporary traffic control devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the manufacturer. The label shall be readable and permanently affixed by the manufacturer. Category 2 temporary traffic control devices without a label shall not be used.

If requested by the Engineer, the Contractor shall provide a written list of Category 2 temporary traffic control devices to be used on the project at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use.

Category 3 temporary traffic control devices consist of temporary traffic-handling equipment and devices that weigh 45 kg or more and are expected to produce significant vehicular velocity change to impacting vehicles. Temporary traffic-handling equipment and devices include crash cushions, truck-mounted attenuators, temporary railing, temporary barrier, and end treatments for temporary railing and barrier.

Type III barricades may be used as sign supports if the barricades have been successfully crash tested, meeting the NCHRP Report 350 criteria, as one unit with a construction area sign attached.

Category 3 temporary traffic control devices shall be shown on the plans or on the Department's Highway Safety Features list. This list is maintained by the Division of Engineering Services and can be found at:

http://www.dot.ca.gov/hq/esc/approved_products_list/HighwaySafe.htm

Category 3 temporary traffic control devices that are not shown on the plans or not listed on the Department's Highway Safety Features list shall not be used.

Full compensation for providing self-certification for crashworthiness of Category 1 temporary traffic control devices and for providing a list of Category 2 temporary traffic control devices used on the project shall be considered as included in the prices paid for the various items of work requiring the use of the Category 1 or Category 2 temporary traffic control devices and no additional compensation will be allowed therefor.

### 10-1.12 CONSTRUCTION AREA SIGNS

Construction area signs for temporary traffic control shall be furnished, installed, maintained, and removed when no longer required in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Furnish Sign" of these special provisions.

Attention is directed to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Type II retroreflective sheeting shall not be used on construction area sign panels. Type III, IV, VII, VIII, or IX retroreflective sheeting shall be used for stationary mounted construction area sign panels.

Unless otherwise shown on the plans or specified in these special provisions, the color of construction area warning and guide signs shall have black legend and border on orange background, except W10-1 or W47(CA) (Highway-Rail Grade Crossing Advance Warning) sign shall have black legend and border on yellow background.

Repair to construction area sign panels will not be allowed, except when approved by the Engineer. At nighttime under vehicular headlight illumination, sign panels that exhibit irregular luminance, shadowing or dark blotches shall be immediately replaced at the Contractor's expense.

The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least 2 business days, but not more than 14 days, prior to commencing excavation for construction area sign posts. The regional notification centers include, but are not limited to, the following:

| Notification Center | Telephone Number |
|---|---|
| Underground Service Alert | 811 |

Excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the proposed post holes. The post hole diameter, if backfilled with portland cement concrete, shall be at least 100 mm greater than the longer dimension of the post cross-section.

Construction area signs placed within 4.6 m from the edge of the travel way shall be mounted on stationary mounted sign supports as specified in "Construction Area Traffic Control Devices" of these special provisions.

The Contractor shall maintain accurate information on construction area signs. Signs that are no longer required shall be immediately covered or removed. Signs that convey inaccurate information shall be immediately replaced or the information shall be corrected. Covers shall be replaced when they no longer cover the signs properly. The Contractor shall immediately restore to the original position and location any sign that is displaced or overturned, from any cause, during the progress of work.

### 10-1.13 MAINTAINING TRAFFIC

Maintaining traffic shall conform to the provisions in Sections 7-1.08, "Public Convenience," Section 7-1.09, "Public Safety," and Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, "Public Safety" of these special provisions and these special provisions.

Closures shall conform to the provisions in "Traffic Control System for Lane Closure" of these special provisions.

Closures are only allowed during the hours shown in the lane requirement charts included in this section "Maintaining Traffic," except for work required under Sections 7-1.08, "Public Convenience," and Section 7-1.09, "Public Safety."

The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress.

Local authorities shall be notified at least 5 business days before work begins. The Contractor shall cooperate with local authorities to handle traffic through the work area and shall make arrangements to keep the work area clear of parked vehicles.

Ramps adjacent to the closed freeway lane may be closed.

Personal vehicles of the Contractor's employees shall not be parked on the traveled way or shoulders including sections closed to public traffic.

When work vehicles or equipment are parked on the shoulder within 1.8 m of a traffic lane, the shoulder area shall be closed with fluorescent orange traffic cones or portable delineators placed on a taper in advance of the parked vehicles or equipment and along the edge of the pavement at 7.5-m intervals to a point not less than 7.5 m past the last vehicle or piece of equipment. A minimum of 9 traffic cones or portable delineators shall be used for the taper. A W20-1 (ROAD WORK AHEAD) or W21-5b (RIGHT/LEFT SHOULDER CLOSED AHEAD) or C24(CA) (SHOULDER WORK AHEAD) sign shall be mounted on a crashworthy portable sign support with flags. The sign shall be placed where designated by the Engineer. The sign shall be a minimum of 1200 mm x 1200 mm in size. The Contractor shall immediately restore to the original position and location a traffic cone or delineator that is displaced or overturned, during the progress of work.

If minor deviations from the lane requirement charts are required, a written request shall be submitted to the Engineer at least 15 days before the proposed date of the closure. The Engineer may approve the deviations if there is no significant increase in the cost to the State and if the work can be expedited and better serve the public traffic.

Designated legal holidays are: January 1st, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, November 11th, Thanksgiving Day, and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday. When November 11th falls on a Saturday, the preceding Friday shall be a designated legal holiday.

Special Days are: the third Monday in January, Second Monday in February, March 31, the second Monday in October.

**Lane Closure Restriction for Designated Legal Holidays and Special Days**

| Thu | Fri | Sat | Sun | Mon | Tues | Wed | Thu | Fri | Sat | Sun |
|---|---|---|---|---|---|---|---|---|---|---|
| x | **H** xx | xx | xx | | | | | | | |
| | **SD** xx | | | | | | | | | |
| x | xx | **H** xx | xx | | | | | | | |
| | | **SD** xx | | | | | | | | |
| | x | xx | **H** xx | xx | | | | | | |
| | | | **SD** xx | | | | | | | |
| | x | xx | xx | **H** xx | | | | | | |
| | x | xx | xx | **SD** xx | | | | | | |
| | | | | x | **H** xx | | | | | |
| | | | | x | **SD** xx | | | | | |
| | | | | | x | **H** xx | | | | |
| | | | | | | **SD** xx | | | | |
| | | | | | | x | **H** xx | xx | | xx |
| | | | | | | | **SD** xx | | | |

Legends:

| | |
|---|---|
| | Refer to lane closure charts |
| x | The full width of the traveled way shall be open for use by public traffic after **4:00 AM**. |
| xx | The full width of the traveled way shall be open for use by public traffic. |
| **H** | Designated Legal Holiday |
| **SD** | Special Day |

Full compensation for furnishing, erecting, maintaining, and removing and disposing of the SC6-4(CA),and W20-1, signs shall be considered as included in the contract lump sum price paid for construction area signs and no additional compensation will be allowed therefor.

**Chart No. 1**
**Freeway/Expressway Lane Requirements**

County: Alameda | Route/Direction: I-580/Westbound | KP: 14.5 | PM: 9.0

Closure Limits: From east of Greenville on-ramp to Scale House

| FROM HOUR TO HOUR | 24 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Mondays through Thursdays | 3 | 3 | 3 | 3 | | | | | | | | | | | | | | | | | 3 | 3 | 3 | 3 | |
| Fridays | 3 | 3 | 3 | 3 | | | | | | | | | | | | | | | | | | | 3 | 3 | |
| Saturdays | 3 | 3 | 3 | 3 | 3 | 3 | | | | | | | | | | | | | | | | | | 3 | |
| Sundays | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | | | | | | | | | | | | | | | | 3 | |

Legend:

| | |
|---|---|
| 3 | Provide at least three adjacent through freeway lanes open in direction of travel. |
| | Work permitted within project right of way where shoulder or lane closure is not required. |

REMARKS: When the on-ramp and truck scale are closed at the same time, the Auxiliary lane may be closed during that time.

## 10-1.14 CLOSURE REQUIREMENTS AND CONDITIONS

Closures shall conform to the provisions in "Maintaining Traffic" of these special provisions and these special provisions.

### CLOSURE SCHEDULE

By noon Monday, the Contractor shall submit a written schedule of planned closures for the following week period, defined as Sunday noon through the following Sunday noon. Closures involving work (temporary barrier placement and paving operations) that will reduce horizontal clearances, traveled way inclusive of shoulders, to 2 lanes or less shall be submitted not less than 25 days and not more than 125 days before the anticipated start of operation. Closures involving work (pavement overlay, overhead sign installation, falsework and girder erection) that will reduce the vertical clearances available to the public, shall be submitted not less than 25 days and not more than 125 days before the anticipated start of operation.

The Closure Schedule shall show the locations and times of the proposed closures. The Closure Schedule request forms furnished by the Engineer shall be used. Closure Schedules submitted to the Engineer with incomplete or inaccurate information will be rejected and returned for correction and resubmittal. The Contractor will be notified of disapproved closures or closures that require coordination with other parties as a condition of approval.

Closure Schedule amendments, including adding additional closures, shall be submitted by noon to the Engineer, in writing, at least 3 business days in advance of a planned closure. Approval of Closure Schedule amendments will be at the discretion of the Engineer.

The Engineer shall be notified of cancelled closures 2 business days before the date of closure.

Closures that are cancelled due to unsuitable weather may be rescheduled at the discretion of the Engineer.

### CONTINGENCY PLAN

A detailed contingency plan shall be prepared for reopening closures to public traffic. If required by "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, the contingency plan shall be submitted to the Engineer before work at the job site begins. Otherwise, the contingency plan shall be submitted to the Engineer within one business day of the Engineer's request.

### LATE REOPENING OF CLOSURES

If a closure is not reopened to public traffic by the specified time, work shall be suspended in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. No further closures are to be made until the Engineer has accepted a work plan, submitted by the Contractor, that will insure that future closures will be reopened to public traffic at the specified time. The Engineer will have 2 business days to accept or reject the Contractor's

proposed work plan. The Contractor will not be entitled to compensation for the suspension of work resulting from the late reopening of closures.

For each 10-minute interval, or fraction thereof past the time specified to reopen the closure, the Department will deduct $$3,900 per interval from moneys due or that may become due the Contractor under the contract.

**COMPENSATION**

The Engineer shall be notified of delays in the Contractor's operations due to the following conditions, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of those conditions, and the Contractor's loss due to that delay could not have been avoided by rescheduling the affected closure or by judicious handling of forces, equipment and plant, the delay will be considered a right of way delay and will be compensated in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications:

1. The Contractor's proposed Closure Schedule is denied and his planned closures are within the time frame allowed for closures in "Maintaining Traffic" of these special provisions, except that the Contractor will not be entitled to compensation for amendments to the Closure Schedule that are not approved.
2. The Contractor is denied a confirmed closure.

Should the Engineer direct the Contractor to remove a closure before the time designated in the approved Closure Schedule, delay to the Contractor's schedule due to removal of the closure will be considered a right of way delay and compensation for the delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

## 10-1.15 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE

A traffic control system shall consist of closing traffic lanes and ramps in conformance with the details shown on the plans, the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, the provisions under "Maintaining Traffic" and "Construction Area Signs" of these special provisions, and these special provisions.

The provisions in this section will not relieve the Contractor from the responsibility to provide additional devices or take measures as may be necessary to comply with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

Each vehicle used to place, maintain and remove components of a traffic control system on multilane highways shall be equipped with a Type II flashing arrow sign which shall be in operation when the vehicle is being used for placing, maintaining or removing components. Vehicles equipped with Type II flashing arrow sign not involved in placing, maintaining or removing components when operated within a stationary lane closure shall only display the caution display mode. The sign shall be controllable by the operator of the vehicle while the vehicle is in motion. The flashing arrow sign shown on the plans shall not be used on vehicles which are being used to place, maintain and remove components of a traffic control system and shall be in place before a lane closure requiring its use is completed.

If components in the traffic control system are displaced or cease to operate or function as specified, from any cause, during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location.

When lane and ramp closures are made for work periods only, at the end of each work period, components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, shall be removed from the traveled way and shoulder. If the Contractor so elects, the components may be stored at selected central locations designated by the Engineer within the limits of the highway right of way.

Full compensation for providing the traffic control system shown on the plans (including signs) shall be considered as included in the contract prices paid for the various items of work and no separate payment will be made therefor.

Traffic control system required by work which is classed as extra work, as provided in Section 4-1.03D of the Standard Specifications, will be paid for as a part of the extra work.

## 10-1.16 BARRICADE

Barricades shall be furnished, placed and maintained at the locations shown on the plans, specified in the Standard Specifications or in these special provisions or where designated by the Engineer. Barricades shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Prequalified and Tested Signing and Delineation Materials" of these special provisions regarding retroreflective sheeting for barricades.

Construction area sign and marker panels conforming to the provisions in Section 12-3.06, "Construction Area Signs," of the Standard Specifications shall be installed on barricades in a manner determined by the Engineer at the locations shown on the plans.

Sign panels for construction area signs and marker panels installed on barricades shall conform to the provisions in Section 12-3.06A, "Stationary Mounted Signs," of the Standard Specifications.

Full compensation for furnishing, installing, maintaining, and removing construction area signs and marker panels on barricades shall be considered as included in the contract unit price paid for the type of barricade involved and no separate payment will be made therefor.

## 10-1.17 TRAFFIC PLASTIC DRUMS

### GENERAL

#### Summary

Work includes placing traffic plastic drums.

Comply with:

1. Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications
2. Section 6F.62, "Drums," of the California Manual On Uniform Traffic Control Devices
3. Traffic plastic drum manufacturer's recommendations for weight and ballast

#### Definitions

**orange-colored:** Orange-colored may be either orange, red-orange, fluorescent orange or fluorescent red-orange in color.

#### Submittals

Upon request, submit a Certificate of Compliance for Traffic Plastic Drum under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

#### Quality Control and Assurance

White and orange-colored retroreflective stripes must be a brand of retroreflective sheeting listed on the Department's "Prequalified and Tested Signing and Delineation Materials," of these special provisions. White and orange-colored stripe may be either Type III, Type IV, Type VI, Type VII, Type VIII, or Type IX retroreflective sheeting. Use the same type and brand of retroreflective sheeting for all traffic plastic drums.

### MATERIALS

Traffic plastic drum must:

1. Be orange-colored low-density polyethylene
2. Be flexible and collapsible upon vehicle impact
3. Have a weighted-base to maintain an upright position and prevent displacement under passing traffic

Weighted-base must be:

1. Detachable
2. Shaped to prevent rolling upon impact
3. 970-mm maximum outside diameter
4. 100-mm maximum height above the ground surface

### CONSTRUCTION

Place a traffic plastic drum on only one side of the traveled way, in a straight line on a tangent alignment, and in a true arc on a curved alignment.

Use only one type of traffic plastic drum on the job site. Do not intermix traffic plastic drums, portable delineators, tubular markers, traffic cones, and Type I and Type II barricades on the same alignment.

Do not use sandbags or comparable ballast.

Traffic plastic drum must be a minimum of 900 mm in height above the traveled way.

Immediately restore a displaced traffic plastic drum to its original location and upright position.

Upon completion of work, traffic plastic drums become your property and must be removed from the job site.

**MEASUREMENT AND PAYMENT**

Traffic plastic drum is measured by the unit from actual count designated on the plans or ordered by the Engineer.

After initial placement of traffic plastic drums, and if ordered by the Engineer, traffic plastic drums must be moved from location to location, the cost thereof will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

The contract unit price paid for traffic plastic drum includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing and maintaining traffic plastic drums, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.18 TEMPORARY CRASH CUSHION MODULE

This work shall consist of furnishing, installing, and maintaining sand filled temporary crash cushion modules in groupings or arrays at each location shown on the plans, as specified in these special provisions or where designated by the Engineer. The grouping or array of sand filled modules shall form a complete sand filled temporary crash cushion in conformance with the details shown on the plans and these special provisions.

Attention is directed to "Public Safety", of these special provisions.

Whenever the work or the Contractor's operations establishes a fixed obstacle, the exposed fixed obstacle shall be protected with a sand filled temporary crash cushion. The sand filled temporary crash cushion shall be in place prior to opening the lanes adjacent to the fixed obstacle to public traffic.

Sand filled temporary crash cushions shall be maintained in place at each location, including times when work is not actively in progress. Sand filled temporary crash cushions may be removed during a work period for access to the work provided that the exposed fixed obstacle is 4.6 m or more from a lane carrying public traffic and the temporary crash cushion is reset to protect the obstacle prior to the end of the work period in which the fixed obstacle was exposed. When no longer required, as determined by the Engineer, sand filled temporary crash cushions shall be removed from the site of the work.

At the Contractor's option, the modules for use in sand filled temporary crash cushions shall be either Energite III Inertial Modules, Fitch Inertial Modules or TrafFix Sand Barrels manufactured after March 31, 1997, or equal:

1. Energite III and Fitch Inertial Modules, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:

    1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
    1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501

2. TrafFix Sand Barrels, manufactured by TrafFix Devices, Inc., 220 Calle Pintoresco, San Clemente, CA 92672, telephone (949) 361-5663, FAX (949) 361-9205

    2.1. Northern California: United Rentals, Inc., 1533 Berger Drive, San Jose, CA 95112, telephone (408) 287-4303, FAX (408) 287-1929
    2.2. Southern California: Statewide Safety & Sign, Inc., P.O. Box 1440, Pismo Beach, CA 93448, telephone (800) 559-7080, FAX (805) 929-5786

Modules contained in each temporary crash cushion shall be of the same type at each location. The color of the modules shall be the standard yellow color, as furnished by the vendor, with black lids. The modules shall exhibit good workmanship free from structural flaws and objectionable surface defects. The modules need not be new. Good used undamaged modules conforming to color and quality of the types specified herein may be utilized. If used Fitch modules requiring a seal are furnished, the top edge of the seal shall be securely fastened to the wall of the module by a continuous strip of heavy duty tape.

Modules shall be filled with sand in conformance with the manufacturer's directions, and to the sand capacity in kilograms for each module shown on the plans. Sand for filling the modules shall be clean washed concrete sand of commercial quality. At the time of placing in the modules, the sand shall contain not more than 7 percent water as determined by California Test 226.

Modules damaged due to the Contractor's operations shall be repaired immediately by the Contractor at the Contractor's expense. Modules damaged beyond repair, as determined by the Engineer, due to the Contractor's operations shall be removed and replaced by the Contractor at the Contractor's expense.

Temporary crash cushion modules shall be placed on movable pallets or frames conforming to the dimensions shown on the plans. The pallets or frames shall provide a full bearing base beneath the modules. The modules and supporting pallets or frames shall not be moved by sliding or skidding along the pavement or bridge deck.

A Type R or P marker panel shall be attached to the front of the crash cushion as shown on the plans, when the closest point of the crash cushion array is within 3.6 m of the traveled way. The marker panel, when required, shall be firmly fastened to the crash cushion with commercial quality hardware or by other methods determined by the Engineer.

At the completion of the project, temporary crash cushion modules, sand filling, pallets or frames, and marker panels shall become the property of the Contractor and shall be removed from the site of the work. Temporary crash cushion modules shall not be installed in the permanent work.

Temporary crash cushion modules placed in conformance with the provisions in "Public Safety" of these special provisions will not be measured nor paid for.

## 10-1.19 WATERING

Developing a water supply and applying watering shall conform to the provisions in Section 17, "Watering," of the Standard Specifications and these special provisions.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions regarding availability of water.

## 10-1.20 EROSION CONTROL (NETTING)

Erosion control (netting) shall conform with the details as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

Erosion control (netting) work shall consist of furnishing, installing, and maintaining erosion control (netting) in ditches or swales.

Following the installation of erosion control (netting), erosion control materials shall be applied onto the netting face as specified in "Erosion Control (Type D)," of these special provisions.

### MATERIALS

Materials for the erosion control (netting) shall conform to the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions.

#### Erosion Control Netting

Erosion control netting shall consist of 100 percent spun coir fiber and shall conform to the following:

| Specification | Requirement |
|---|---|
| Weight, grams per square meter<br>ASTM Designation: D 3776 | 400 |
| Minimum Tensile Strength, kilonewtons,<br>ASTM Designation: D 4595 | 9.0 to 11.3 kN/m in longitudinal direction (dry)<br>5.0 to 10.7 kN/m in cross-direction (dry)<br>6.0 to 9.8 kN/m in longitudinal direction (wet)<br>4.0 to 9.4 kN/m in cross- direction (wet) |
| Roll Width, meters, min. | 4 |
| Area/Roll, square meters, min. | 200 |
| Open Area, percent | 63-70 |

#### Staples

Staples shall be as shown on the plans.

### INSTALLATION

Erosion control (netting) shall be installed in ditches or swales as follows:

Erosion control (netting) strips shall be placed loosely along the ditch or swale with the longitudinal edges and joints parallel to the centerline of the ditch or swale. Longitudinal joints of netting shall be overlapped and stapled. Transverse joints of netting shall be secured in intermediate joint trenches. Staples shall be driven perpendicular to the slopes. Ends of the netting shall be secured in place in key trenches.

### MAINTENANCE

Damaged netting shall be replaced on the same day the damage occurs. Washouts between joints or beneath the netting shall be repaired on the same day damaged occurs. Erosion control (netting) damaged by the Contractor's vehicles, equipment, or operations shall be replaced or repaired by the Contractor at the Contractor's expense.

### MEASUREMENT AND PAYMENT

The quantity of erosion control (netting) will be measured by the square meter as determined from actual slope measurements of the areas covered by the erosion control (netting) excluding overlaps.

The contract price paid per square meter for erosion control (netting) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing erosion control (netting), complete in place, including trench excavation and backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.21 EROSION CONTROL (TYPE D)

Erosion control (Type D) includes applying erosion control materials to unlined ditches or swales and other areas disturbed by construction activities. Erosion control (Type D) must comply with Section 20-3, "Erosion Control," of the Standard Specifications and these special provisions.

If the slope on which the erosion control to be placed is finished during the rainy season as specified under "Water Pollution Control" of these special provisions, apply erosion control to the slope immediately.

Before applying erosion control materials, prepare soil surface under Section 19-2.05, "Slopes," of the Standard Specifications, except that rills and gullies exceeding 50 mm in depth or width must be leveled. Remove vegetative growth, temporary erosion control materials, and other debris from areas to receive erosion control.

Before applying erosion control materials, the Engineer designates the ground location of erosion control (Type D) in increments of one hectare or smaller for smaller areas. Place stakes or other suitable markers at the locations designated by the Engineer. Furnish all tools, labor and materials required to adequately indicate the various locations.

### MATERIALS

Materials must comply with Section 20-2, "Materials," of the Standard Specifications and these special provisions.

#### Seed

Seed must comply with Section 20-2.10, "Seed," of the Standard Specifications. Seed not required to be labeled under the California Food and Agricultural Code shall be tested for purity and germination by a seed laboratory certified by the Association of Official Seed Analysts or by a seed technologist certified by the Society of Commercial Seed Technologists. Measure and mix individual seed species in the presence of the Engineer.

Seed must contain at most 1.0 percent total weed seed by weight.

Deliver seed to the job site in unopened separate containers with the seed tag attached. Containers without a seed tag attached are not accepted. The Engineer takes a sample of approximately 30 g or 60 ml of seed for each seed lot greater than 1 kg.

Seed must comply with the following:

Seed

| Botanical Name (Common Name) | Percent Germination (Minimum) | Kilograms Pure Live Seed Per Hectare (Slope Measurement) |
|---|---|---|
| Lupinus purshianus (Purshings Lotus) | 70 | 4 |
| Trifolium wildenovii (Tomcat Clover) | 50 | 5 |
| Lupinus bicolor (Pygmy-Leaf Lupine) | 50 | 8 |
| Hordeum californicum* (California Barley) | 40 | 8 |
| Bromus carinatus* (California Brome) | 40 | 8 |
| Melica californicum (California Oniongrass) | 40 | 6 |
| Festuca rubra Molate* (Native Red Fescue, Molate) | 40 | 10 |
| Nassella cernua* (Nodding Needlegrass) | 35 | 8 |

*Seed produced in California only.

**Seed Sampling Supplies**

At the time of seed sampling, provide the Engineer a glassine lined bag and custody seal tag for each seed lot sample.

**Compost**

The compost producer must be fully permitted as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.

The compost producer must be a participant in United States Composting Council's Seal of Testing Assurance program.

Compost may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Compost feedstock materials to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3

Compost must not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Compost must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Compost must not possess objectionable odors.

Metal concentrations in compost must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Compost must comply with the following:

Physical/Chemical Requirements

| Property | Test Method | Requirement |
|---|---|---|
| pH | *TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units | 6.0–8.0 |
| Soluble Salts | TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm) | 0-10.0 |
| Moisture Content | TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis | N/A |
| Organic Matter Content | TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis | 30–65 |
| Maturity | TMECC 05.05-A, Germination and Vigor<br>Seed Emergence<br>Seedling Vigor<br>% Relative to Positive Control | <br>80 or Above<br>80 or Above |
| Stability | TMECC 05.08-B, Carbon Dioxide Evolution Rate mg $CO_2$-C/g OM per day | 8 or below |
| Particle Size | TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis | 95% Passing 16 mm<br>70% Passing 9 mm |
| Pathogen | TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt. | Pass |
| Pathogen | TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt. | Pass |
| Physical Contaminants | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Plastic, Glass and Metal, % > 4mm fraction | Combined Total: < 1.0 |
| Physical Contaminants | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction | None Detected |

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Before compost application, provide the Engineer with a copy of the compost producer's compost technical data sheet and a copy of the compost producers Seal of Testing Assurance certification. The compost technical data sheet includes:

1. Laboratory analytical test results
2. Directions for product use
3. List of product ingredients

Before compost application, provide the Engineer with a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

**Stabilizing Emulsion**

Stabilizing emulsion must comply with Section 20-2.11, "Stabilizing Emulsion," of the Standard Specifications and these special provisions.

Stabilizing emulsion:

1. Must be in a dry powder form
2. Must be a processed organic adhesive used as a soil tackifier
3. May be reemulsifiable

**APPLICATION**

Apply erosion control materials in separate applications in the following sequence:

1. Apply the following mixture with hydroseeding equipment at the rates indicated within 60 minutes after the seed has been added to the mixture:

| Material | Kilograms Per Hectare (Slope Measurement) |
|---|---|
| Seed | 57 |
| Fiber | 320 |

| Material | Cubic Meter Per Hectare (Slope Measurement) |
|---|---|
| Compost | 8 |

2. Compost may be dry applied at the total of the rates specified in the preceding table and the following table instead of including it as part of the hydro-seeding operations. In areas where the compost is dry applied, all compost for that area must be applied before the next operation.
4. Apply the following mixture with hydro-seeding equipment at the corresponding rates:

| Material | Kilograms Per Hectare (Slope Measurement) |
|---|---|
| Fiber | 320 |
| Stabilizing Emulsion (Solids) | 140 |

| Material | Cubic Meter Per Hectare (Slope Measurement) |
|---|---|
| Compost | 8 |

The ratio of total water to total stabilizing emulsion in the mixture must be as recommended by the manufacturer.

Hydraulic application of materials for erosion control (netting) areas shall be applied by hose, from the ground. Erosion control (Type D) materials shall be applied onto the slope face such that the materials are well integrated into the erosion control (netting) and in contact with ground surface. Application shall be perpendicular to the slope face such that erosion control (netting) materials are not damaged or displaced. Erosion control (netting) damaged by the Contractor's operations shall be replaced by the Contractor at the Contractor's expense.

The Engineer may change the rates of erosion control materials to meet field conditions.

**MEASUREMENT AND PAYMENT**

Erosion control (Type D) will be measured by the square meter designated in the Engineer's Estimate. The area will be calculated on the basis of actual or computed slope measurements.

The contract price paid per square meter for erosion control (Type D) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying erosion control (Type D) complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**10-1.22 FURNISH SIGN**

Signs shall be fabricated and furnished in accordance with details shown on the plans, the Traffic Sign Specifications, and these special provisions.

Traffic Sign Specifications for California sign codes are available for review at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm

Traffic Sign Specifications for signs referenced with Federal MUTCD sign codes can be found in Standard Highway Signs Book, administered by the Federal Highway Administration, which is available for review at:

http://mutcd.fhwa.dot.gov/ser-shs_millennium.htm

Information on cross-referencing California sign codes with the Federal MUTCD sign codes is available at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm

Temporary or permanent signs shall be free from blemishes that may affect the serviceability and detract from the general sign color and appearance when viewing during daytime and nighttime from a distance of 8 m. The face of each finished sign shall be uniform, flat, smooth, and free of defects, scratches, wrinkles, gel, hard spots, streaks, extrusion marks, and air bubbles. The front, back, and edges of the sign panels shall be free of router chatter marks, burns, sharp edges, loose rivets, delaminated skins, excessive adhesive over spray and aluminum marks.

**SHEET ALUMINUM**

Alloy and temper designations for sheet aluminum shall be in accordance with ASTM Designation: B209.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the sheet aluminum.

Sheet aluminum shall be pretreated in accordance to ASTM Designation: B449. Surface of the sheet aluminum shall be cleaned, deoxidized, and coated with a light and tightly adherent chromate conversion coating free of powdery residue. The conversion coating shall be Class 2 with a mass between 108 $mg/m^2$ and 377 $mg/m^2$, and an average mass of 269 $mg/m^2$. Following the cleaning and coating process, the sheet aluminum shall be protected from exposure to grease, oils, dust, and contaminants.

Sheet aluminum shall be free of buckles, warps, dents, cockles, burrs, and defects resulting from fabrication.

Base plate for standard route marker shall be die cut.

**RETROREFLECTIVE SHEETING**

The Contractor shall furnish retroreflective sheeting for sign background and legend in accordance with ASTM Designation: D4956 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Retroreflective sheeting shall be applied to sign panels as recommended by the retroreflective sheeting manufacturer without stretching, tearing, and damage.

Class 1, 3, or 4 adhesive backing shall be used for Type II, III, IV, VII, VIII, and IX retroreflective sheeting. Class 2 adhesive backing may also be used for Type II retroreflective sheeting. The adhesive backing shall be pressure sensitive and fungus resistant.

When the color of the retroreflective sheeting determined from instrumental testing is in dispute, the Engineer's visual test will govern.

**PROCESS COLOR AND FILM**

The Contractor shall furnish and apply screened process color, non-reflective opaque black film, and protective overlay film of the type, kind, and product that are approved by the manufacturer of the retroreflective sheeting.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the screened process color, non-reflective opaque black film, and protective overlay film.

The surface of the screened process color shall be flat and smooth. When the screened process colors determined from the instrumental testing in accordance to ASTM Designation: D4956 are in dispute, the Engineer's visual test will govern.

The Contractor shall provide patterns, layouts, and set-ups necessary for the screened process.

The Contractor may use green, red, blue, and brown reverse-screened process colors for background and non-reflective opaque black film or black screened process color for legend. The coefficient of retroreflection for reverse-screened process colors on white retroreflective sheeting shall not be less than 70 percent of the coefficient of retroreflection specified in ASTM Designation: D4956.

The screened process colors and non-reflective opaque black film shall have the same outdoor weatherability as that of the retroreflective sheeting.

After curing, screened process colors shall withstand removal when tested by applying 3M Company Scotch Brand Cellophane Tape No. 600 or equivalent tape over the color and removing with one quick motion at 90°angle.

**SINGLE SHEET ALUMINUM SIGN**

Single sheet aluminum signs shall be fabricated and furnished with or without frame. The Contractor shall furnish the sheet aluminum in accordance to "Sheet Aluminum" of these special provisions. Single sheet aluminum signs shall be fabricated from sheet aluminum alloy 6061-T6 or 5052-H38.

Single sheet aluminum signs shall not have a vertical splice in the sheet aluminum. For signs with depth greater than 1220 mm, one horizontal splice will be allowed in the sheet aluminum.

Framing for single sheet aluminum sign shall consist of aluminum channel or rectangular aluminum tubing. The framing shall have a length tolerance of +3 mm. The face sheet shall be affixed to the frame with rivets of 5-mm diameter. Rivets shall be placed within the web of channels and shall not be placed less than 13 mm from edges of the sign panels. Rivets shall be made of aluminum alloy 5052 and shall be anodized or treated with conversion coating to prevent corrosion. The exposed portion of rivets on the face of signs shall be the same color as the background or legend where the rivets are placed.

Finished signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the detailed dimensions.

Aluminum channels or rectangular aluminum tubings shall be welded together with the inert gas shielded-arc welding process using E4043 aluminum electrode filler wires as shown on the plans. Width of the filler shall be equal to wall thickness of smallest welded channel or tubing.

### 10-1.23 THERMOPLASTIC TRAFFIC STRIPE

Thermoplastic traffic stripes (traffic lines) shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Thermoplastic material shall be free of lead and chromium, and shall conform to the requirements in State Specification PTH-02ALKYD.

Retroreflectivity of the thermoplastic traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. White thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. Yellow thermoplastic traffic stripes shall have a minimum initial retroreflectivity of 150 $mcd \cdot m^{-2} \cdot lx^{-1}$.

Where striping joins existing striping, as shown on the plans, the Contractor shall begin and end the transition from the existing striping pattern into or from the new striping pattern a sufficient distance to ensure continuity of the striping pattern.

Thermoplastic traffic stripes shall be applied at the minimum thickness and application rate as specified below. The minimum application rate is based on a solid stripe of 100 mm in width.

| Minimum Stripe Thickness (mm) | Minimum Application Rate (kg/m) |
|---|---|
| 2.5 | 0.5 |

Thermoplastic traffic stripes shall be free of runs, bubbles, craters, drag marks, stretch marks, and debris.

At the option of the Contractor, permanent traffic striping -tape conforming to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be placed instead of the thermoplastic traffic stripes specified herein. Permanent tape, if used, shall be installed in conformance with the manufacturer's specifications.

If permanent tape is placed instead of thermoplastic traffic stripes the tape will be measured and paid for by the meter as thermoplastic traffic stripe.

### 10-1.24 PAVEMENT MARKERS

Pavement markers shall be placed in conformance with the provisions in Section 85, "Pavement Markers," of the Standard Specifications and these special provisions.

Attention is directed to "Traffic Control System For Lane Closure" of these special provisions regarding the use of moving lane closures during placement of pavement markers with bituminous adhesive.

The Contractor shall furnish the Engineer certificates of compliance for the pavement markers in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Retroreflective pavement markers shall be marked as abrasion resistant on the body of the markers.

## SECTION 11. (BLANK)

## SECTION 12. BUILDING WORK

## SECTION 12-1. GENERAL REQUIREMENTS

**12-1.01 SCOPE**

Building work described herein and as shown on the plans shall conform to the requirements of these special provisions and Sections 1 through 9 of the Standard Specifications. Sections 10 through 95 of the Standard Specifications shall not apply to the work in this Section 12 except when specific reference is made thereto.

The building work to be done consists, in general, of the removal of an existing weigh station and constructing a new weigh station, planning, demolition, and disposal of existing buildings and appurtenant structures and utilities, abandoning portions of the existing waste disposal system and such other items or details, not mentioned above, that are required by the plans, Standard Specifications, or these special provisions shall be performed, placed, constructed or installed.

**12-1.02 ABBREVIATIONS**

Section 1-1.02, "Abbreviations," of the Standard Specifications is amended by adding the following:

| | |
|---|---|
| AAMA | American Architectural Manufacturers' Association |
| ACI | American Concrete Institute |
| AGA | American Gas Association |
| AITC | American Institute of Timber Construction |
| AMCA | Air Movement and Control Association |
| APA | American Plywood Association |
| ARI | American Refrigeration Institute |
| ASHRAE | American Society of Heating, Refrigeration and Air Conditioning Engineers |
| CBC | California Building Code (2007 Edition) |
| CEC | California Electrical Code (2007 Edition) |
| CMC | California Mechanical Code (2007 Edition) |
| CPC | California Plumbing Code (2007 Edition) |
| CS | Commercial Standards (US Department of Commerce) |
| ESO | Electrical Safety Orders |
| FGMA | Flat Glass Marketing Association |
| FM | Factory Mutual |
| FS | Federal Specification |
| NAAMM | National Association of Architectural Metal Manufacturers |
| NBFU | National Board Fire Underwriters |
| NEC | National Electrical Code |
| NFPA | National Fire Protection Association or National Forests Products Association |
| PEI | Porcelain Enamel Institute |
| PS | Product Standard (US Department of Commerce) |
| RIS | Redwood Inspection Service |
| SCPI | Structural Clay Products Institute |
| SMACNA | Sheet Metal and Air Conditioning Contractors' National Association |
| SSPC | Steel Structures Paint Council |
| TCA | Tile Council of America |
| TPI | Truss Plate Institute |
| IBC | International Building Code (2006 Edition) |
| WCLIB | West Coast Lumber Inspection Bureau (stamped WCLB) |
| WCLB | Grade stamp for WCLIB |
| WIC | Woodwork Institute of California |
| WWPA | Western Wood Products' Association |

**12-1.03 GUARANTEE**

The Contractor hereby unconditionally guarantees that the building work will be done in accordance with the requirements of the contract, and further guarantees the building work of the contract to be and remain free of defects in workmanship and materials for a period of one year from the date of acceptance of the contract, unless a longer guarantee period is required elsewhere in these special provisions. The Contractor hereby agrees to repair or replace any and all building work, together with any other adjacent work which may be displaced in so doing, that may prove to be not in accordance with the requirements of the contract or that may be defective in its workmanship or material within the guarantee period specified, without any expense whatsoever to the Department, ordinary wear and tear and unusual abuse or neglect excepted.

The performance bond for contract price of the building work, shall remain in full force and effect during the guarantee period.

The Contractor further agrees, that within 10 calendar days after being notified in writing by the Department of any building work not in accordance with the requirements of the contract or any defects in the building work, he shall commence and prosecute with due diligence all work necessary to fulfill the terms of this guarantee, and shall complete the work within a reasonable period of time, and, in the event he fails to comply, he does hereby authorize the Department to proceed to have such work done at the Contractor's expense and he shall honor and pay the cost and charges therefor upon demand. The Department shall be entitled to all costs and expenses, including reasonable attorney's fees, necessarily incurred upon the Contractor's refusal to honor and pay the above costs and charges.

**12-1.04 SUBMITTALS**

Working drawings, material lists, descriptive data, samples and other submittals specified in these special provisions shall be submitted for approval in accordance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications and these special provisions.

Unless otherwise permitted in writing by the Engineer, all submittals required by these special provisions shall be submitted within 35 days after the contract has been approved.

Attention is directed to the provisions in Section 5-1.01, "Authority of Engineer," of the Standard Specifications. The Engineer may request submittals for materials or products where submittals have not been specified in these special provisions, or may request that additional information be included in specified submittals, as necessary to determine the quality or acceptability of such materials or products.

Attention is directed to Section 6-1.05, "Trade Names and Alternatives," of the Standard Specifications. The second indented paragraph of the first paragraph of said Section 6-1.05 is amended to read:

> Whenever the specifications permit the substitution of a similar or equivalent material or article, no test or action relating to the approval of such substituted material will be made until the request for substitution is made in writing by the Contractor accompanied by complete data as to the equality of the material or article proposed. Such request shall be made within 35 days after the date the contract has been approved and in ample time to permit approval without delaying the work, but need not be made in less than 35 days after award of the contract.

Work requiring the submittal of working drawings, material lists, descriptive data, samples, or other submittals shall not begin prior to approval of said submittal by the Engineer. Fifteen working days shall be allowed for approval or return for correction of each submittal or resubmittal. Should the Engineer fail to complete his review within the time specified and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in review, an extension of time commensurate with the delay in completion of the work thus caused will be granted as provided in Section 8-1.07, "Liquidated Damages," of the Standard Specifications.

Submittals shall be delivered to the locations indicated in these special provisions. If a specific location is not indicated, the submittal shall be delivered to the Division of Structure Design, Documents Unit, Fourth Floor, Mail Station 9-4/4I, 1801 30th Street, Sacramento, California 95816, telephone (916) 227-8252, or the submittals shall be mailed to the Division of Structure Design, Documents Unit, Mail Station 9-4/4I, P. O. Box 942874, Sacramento, California 94274-0001.

Each submission of drawings, material lists and descriptive data shall consist of at least 5 copies. Two copies will be returned to the Contractor either approved for use or returned for correction and resubmittal.

Each separate item submitted shall bear a descriptive title, the name of the project, district, county, and contract number. Plans and detailed drawings shall be not larger than 559 mm x 914 mm.

The material list shall be complete as to name of manufacturer, catalog number, size, capacity, finish, all pertinent ratings, and identification symbols used on the plans and in the special provisions for each unit.

Parts lists and service instructions packaged with or accompanying the equipment installed in the work shall be delivered to the Engineer at the jobsite. Required operating and maintenance instructions shall be submitted in triplicate.

Manufacturer's warranties for products installed in the work shall be delivered to the Engineer at the jobsite.

Unapproved samples and samples not incorporated in the work shall be removed from State property, when directed by the Engineer.

**12-1.05 SCHEDULE OF VALUES**

The Contractor shall prepare and submit to the Engineer for approval 2 copies of a Schedule of Values within 15 working days of approval of the contract covering each lump sum item for building work. Fifteen working days shall be allowed for approval or return for correction of each submittal or resubmittal. Should the Engineer fail to complete his review within the time specified and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or

interfered with by reason of the delay in review, an extension of time commensurate with the delay in completion of the work thus caused will be granted as provided in Section 8-1.07, "Liquidated Damages," of the Standard Specifications.

The Schedule of Values must be accurately divided into sections representing the cost of each separate building or structure. All work that is not part of a separate building or structure, such as excavation, grading, curbs, gutters, sidewalks, paving, sewer and storm drainage and utility distribution lines are to be included under a specific section as General Work and not included in the building or structure cost. Indirect costs and general condition items are to be listed as a separate line item of work. The sections representing each building or structure must be identified as to the building or structure they represent and be broken down to show the corresponding value of each craft, trade or other significant portion of the work. A sub-total for each section shall be provided.

The Schedule of Values shall be approved by the Engineer before any partial payment estimate is prepared.

The sum of the items listed in the Schedule of Values shall equal the contract lump sum price for building work. Overhead, profit and bond premium are to be appropriately distributed across all line items of cost.

The sum of the items listed in the Schedule of Values shall equal the contract lump sum price for building work. Overhead and profit shall not be listed. Bond premium and other such items will not be paid for under the various building work items and shall be included in the mobilization bid item for the entire project.

### 12-1.06 INSPECTION

All items covered or all stages of work that are not to remain observable must be inspected and approved before progress of work conceals portions to be inspected. The Contractor shall notify the Engineer not less than 72 hours in advance of when such inspection is needed.

### 12-1.07 PRESERVATION OF PROPERTY

Attention is directed to Sections 7-1.11, "Preservation of Property," 7-1.12, "Responsibility for Damage," 7-1.16, "Contractor's Responsibility for the Work and Materials," and 8-1.10, "Utility and Non-Highway Facilities," of the Standard Specifications.

Operations shall be conducted in such a manner that existing facilities, surfacing, installations, and utilities which are to remain in place will not be damaged. Temporary surfacing, facilities, utilities and installations shall also be protected until they are no longer required. The Contractor, at his expense shall furnish and install piling, sheet piling, cribbing, bulkheads, shores, or whatever means may be necessary to adequately support material carrying such facilities, or to support the facilities themselves and shall maintain such support until they are no longer needed.

### 12-1.08 UTILITY CONNECTION

The Contractor shall make all arrangements, and obtain all permits and licenses required for the extension of and connection to each utility service applicable to this project, shall furnish all labor and materials necessary for such extensions which are not performed or provided by the utility, and shall furnish and install any intermediate equipment required by the serving utilities.

Upon written request by the Contractor, the State will pay all utility permits, licenses, connection charges, and excess length charges directly to the utility. Such request shall be submitted not less than 45 days before service connections are required.

The costs incurred by the Contractor for the extensions of utilities beyond the limits shown on the plans, and in furnishing and installing any intermediate equipment required by the serving utilities, will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Full compensation for any costs incurred by the Contractor to obtain the permits and licenses shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

### 12-1.09 TEMPORARY UTILITIES

The Contractor may obtain electrical power and water from existing State electrical power and water outlets within the contract limits free of charge for contract operations where such utilities exist, provided that such utility services are in service and are not required by the State for other purposes and subject to the provisions in the section "Cooperation" of these special provisions.

The Contractor shall make his own arrangements to obtain any additional electrical power and water or other utilities required for his operations and shall make and maintain the necessary service connections at his own expense.

When existing utility systems are being modified, periods of shutdown will be determined by the Engineer.

The Contractor shall provide adequate temporary lighting to perform the work and allow the Engineer to inspect the project as each portion is completed.

The Contractor shall provide and pay for telephone service he may require. State telephone facilities shall not be used.

### 12-1.10 SANITARY FACILITIES

State sanitary facilities will not be available for use by the Contractor's employees, during normal State working hours.

Separate toilet facilities shall be provided for Contractor personnel. Facilities shall include the periodic flushing, waste removal and cleaning of such facilities. Units shall be maintained in a clean and sanitary condition, including a supply of toilet tissue, toilet seat covers, paper towels and paper cups. Waste material shall be disposed of off site in a lawful manner. Temporary toilet units shall be single occupant units of the chemical type, properly vented and fully enclosed with a glass fiber reinforced polyester shell or similar nonabsorbent material.

### 12-1.11 MEASUREMENT AND PAYMENT

The contract lump sum price paid for building work shall include full compensation for the removal of an existing weigh station and constructing a new weigh station, planning, demolition, and disposal of existing buildings and appurtenant structures and utilities, abandoning portions of the existing waste disposal system and for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the building work, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for any incidental materials and labor, not shown on the plans or specified, which are necessary to complete the buildings and appurtenances shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

### 12-1.12 PROJECT RECORD DRAWINGS

The Contractor shall prepare and maintain one set of project record drawings, using an unaltered set of original project plans, to clearly show all as-constructed information for the project. As a minimum, the information to be shown shall include 1) any plan clarifications or change orders, 2) locations of any underground utilities, or 3) the location, size, type, and manufacturer of all major products or components selected by the Contractor for use in the work.

All markings shall be placed on the project record drawings using red ink or red pencil. Original figures shall not be eradicated nor written over and superseded material shall be neatly lined out. Additional drawings shall be submitted if the required information cannot be clearly shown on the original set of project plans. The additional drawings shall be not less than 279 mm x 432 mm in size and shall have the contract number on each sheet. The Contractor shall sign and date each sheet of the project record drawings to verify that all as-constructed information shown on the drawings is correct.

The Contractor shall periodically review the set of project record drawings with the Engineer during the progress of the work to assure that all changes and other required information are being recorded.

Before completion of the work, the Contractor shall request a review of the project record drawings to determine the completeness and adequacy of them. If the project record drawings are unacceptable, the Contractor shall inspect, measure, and survey the project as necessary to record the required additional information.

The set of completed project record drawings shall be delivered to the Engineer prior to acceptance of the contract.

### 12-1.13 ASBESTOS

Attention is directed to the Materials Information Handout for information regarding the absence of asbestos. A hazardous material survey report by Geocon, File No. 04-286600 dated May 6, 2002, is included as an Information Handout

## SECTION 12-2. SITEWORK

### 12-2.01 BUILDING DEMOLITION

#### PART 1.- GENERAL

#### SUMMARY

**Scope.—**The work consists of planning, demolition, and disposal of existing buildings and appurtenant structures and utilities.

**General.—**The Contractor shall not begin demolition until authorization is received from the Engineer. The work includes demolition, salvage of identified items and materials, and removal of resulting rubbish and debris. Rubbish and debris shall be removed from State property daily, unless otherwise directed, to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Engineer. The work shall be performed in

accordance with safety standards established by the State of California. Salvage shall be pursued to the maximum extent possible in the interest of conservation.

Related work.—The Contractor shall coordinate demolition procedures with the requirements of Section12-2.05, "LEAD RELATED CONSTRUCTION WORK," of these special provisions.

**SUBMITTALS**

**Demolition Plan.—**The Contractor shall submit the procedures proposed for the accomplishment of the work. The procedures shall provide for safe conduct of the work, including procedures and methods to provide necessary supports, lateral bracing and shoring when required, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress, and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations. The Contractor shall submit proposed salvage, demolition and removal procedures to the Engineer 10 days before work is started for review and approval.

**NOTIFICATIONS.—**The Contractor shall furnish timely notification of demolition to state, regional, and local authorities as required and the Engineer in writing 10 working days prior to the commencement of work.

**PART 2.- PRODUCTS** (Not applicable)

**PART 3.- EXECUTION**

**DUST AND DEBRIS CONTROL.--**The Contractor shall prevent the spread of dust and debris on roadway pavements or building compound and avoid the creation of a nuisance or hazard in the surrounding area. Water shall not be used if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. The work area shall be vacuumed and dusted frequently. Pavements shall be swept as often as necessary to control the spread of debris that may result in foreign object damage potential to vehicles.

**PROTECTION**

**Existing Work.--**Before beginning any demolition work, the Contractor shall survey the site and examine the drawings and specifications to determine the extent of the work. Necessary precautions shall be taken to avoid damage to existing items to remain in place, to be reused, or to remain the property of the State. Any damaged items shall be repaired or replaced as approved by the Engineer. The Contractor shall coordinate the work of this section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed as part of demolition work.

**Facilities.—**The Contractor shall protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, the Contractor shall provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities.

**Protection of Personnel.--**During the demolition work the Contractor shall continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the demolition site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element shall be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

**BURNING**.--The use of burning at the project site for the disposal of refuse and debris will not be permitted.

**USE OF EXPLOSIVES.—**The use of explosives will not be permitted.

**EXISTING FACILITIES TO BE REMOVED**

**Structures.--**Existing structures indicated shall be removed to grade.

**Utilities and Related Equipment.—**The Contractor shall remove existing utilities as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Engineer. When utility lines are encountered that are not indicated on the drawings, the Engineer shall be notified prior to further work in that area and extra work will be paid for as an ordered change in accordance with the requirements specified in Section 3, "Changes in the Work," of the General Conditions. Meters and related equipment shall be removed and delivered to a location in accordance with instructions of the applicable utility jurisdiction.

**Paving and Slabs.—**The Contractor shall sawcut concrete and asphaltic concrete paving and slabs, including aggregate base as required. Neat sawcuts shall be made at limits of pavement removal as indicated.

**DISPOSITION OF MATERIAL**

**Title to Materials.--**Except where specified in other sections, all materials and equipment removed, and not reused, shall become the property of the Contractor and shall be removed from State property. Title to materials resulting from demolition, and materials and equipment to be removed, is vested in the Contractor upon approval by the Engineer of the Contractor's demolition and removal procedures, and authorization by the Engineer to begin demolition. The State will not be responsible for the condition or loss of, or damage to, such property after contract award. Materials and equipment shall not be viewed by prospective purchasers or sold on the site.

## 12-2.02 RELOCATING MATERIALS AND EQUIPMENT

### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of relocating existing materials and equipment in accordance with the details shown on the plans and these special provisions.

### PART 2.- PRODUCTS (Not applicable)

### PART 3.- EXECUTION

**RELOCATION**

**General.--**Materials or equipment to be relocated shall be removed carefully to avoid damage to the materials or equipment or to the materials or equipment which are to remain. Assemblies to be relocated which require dismantling for removal shall be matchmarked before dismantling.

The Contractor shall notify the Engineer prior to the relocation work in order that the materials or equipment may be inspected for existing damage.

Materials or equipment to be relocated shall have all adhering concrete, mastics, earth or other deleterious materials removed and shall have all exterior surfaces cleaned.

Materials or equipment which are damaged by the Contractor's operations shall be replaced or restored to match the condition of the materials or equipment prior to the beginning of the Contractor's operations. Replacement or restoration of damaged materials or equipment shall be at the Contractor's expense.

Connections, anchorages and fasteners for relocated materials and equipment shall match existing and shall be furnished and installed by the Contractor. Assemblies which have been dismantled shall be reassembled to match the existing installation. Relocated materials and equipment shall be installed as required for new work.

Modifications to wiring and plumbing to accommodate relocated items shall be as shown on the plans. Ends of piping and conduits to be abandoned shall be capped.

Surfaces that are exposed to view upon removal or relocation of materials or equipment shall be patched. Bumps shall be removed and depressions filled, and the surface finished to match the existing surfaces. Depressions in concrete less than 25 mm deep shall be deepened to 25 mm minimum depth before filling with cement mortar.

**DISPOSAL**

**General.--**Materials from existing facilities to be reused in the work, in the opinion of the Engineer, is unsuitable for use shall become the property of the Contractor and disposed of as provided in Section 7-1.13, "Disposal of Material Outside of the Highway Right of Way." of the Standard Specifications. The unsuitable material shall be replaced as ordered by the Engineer and will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

## 12-2.03 ABANDON PORTIONS OF WASTE DISPOSAL SYSTEM

### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of abandoning portions of the existing waste disposal system in accordance with the details shown on the plans and these special provisions.

**Codes and standards.--**Attention is directed to Section 7-1.01, "Laws to be Observed," of the Standard Specifications.

### PART 2.- PRODUCTS (Not applicable)

### PART 3.- EXECUTION

**Staging of work.--**Work that will curtail the use of the waste disposal system shall not be done until the facilities utilizing the system are closed and are no longer required.

**Disposal.--**Sewage facilities to be abandoned shall be pumped out and the sewage and sediment removed from such facilities shall be disposed of away from the premises. Disposal shall conform to the laws, rules and regulations of the agency having jurisdiction of the disposal site.

**Abandoning facilities.--**Each pipe entering or exiting the sewage disposal system to be abandoned shall be closed by a tight fitting plug or wall of concrete not less than 150 mm thick. Such concrete shall be commercial quality concrete and shall contain not less than 300 kilograms of cement per cubic meter of concrete.

## 12-2.04 REMOVE WASTE DISPOSAL SYSTEM

### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of removing the existing waste disposal system in accordance with the details shown on the plans and these special provisions.

**Codes and standards.--**Attention is directed to Section 7-1.01, "Laws to be Observed," of the Standard Specifications.

### PART 2.- PRODUCTS (Not applicable)

### PART 3.- EXECUTION

**Staging of work.--**Work that will curtail the use of the waste disposal system shall not be done until the facilities utilizing the system are closed and are no longer required.

**Disposal.--**Sewage facilities to be removed shall be pumped out and the sewage and sediment removed from such facilities shall be disposed of away from the premises. Disposal shall conform to the laws, rules and regulations of the agency having jurisdiction of the disposal site.

**Abandoning piping.--**Each pipe entering or exiting the sewage disposal system to be removed or called out on the Plans to be abandoned, shall be closed by a tight fitting plug or wall of concrete not less than 150 mm thick. Such concrete shall be commercial quality concrete and shall contain not less than 300 kilograms of cement per cubic meter of concrete.

**Manhole frames and covers.--**Manhole frames and covers which are to be removed shall become the property of the Contractor and disposed of away from the premises. Such disposal shall conform to the laws, rules, and regulations of all agencies having jurisdiction at the disposal site.

## 12-2.05 LEAD RELATED CONSTRUCTION WORK

### GENERAL

#### SUMMARY

The work area includes surfaces painted with lead related construction materials which are designated on the plans or specified in these special provisions.

The Contractor shall take special precautions for that part of the work which involves the handling of materials which may contain lead, either during demolition or construction.

#### SITE CONDITIONS

The building areas to be removed are known to contain lead containing materials. A hazardous material survey report by Geocon, File No. 04-286600 dated May 6, 2002, is included as an Information Handout. The following items tested positive for Lead Based Paint material:

| Lead based paint | | |
|---|---|---|
| **Location** | **Description** | **Total Lead (mg/kg)** |
| Exterior | Beige intact | 16 and 17 |
| Interior | Beige intact | 18 and 110 |

#### SUBMITTALS

The Contractor shall submit to the Engineer a lead compliance plan. No work shall be done on any portion of the work which contains or may contain lead based materials until the Engineer has reviewed and accepted the submittal. The Contractor shall allow 15 days for the review of the submittal.

The plan shall be submitted as specified in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications.

**Lead Compliance Plan**

The Contractor shall prepare a project specific lead compliance plan to prevent or minimize worker exposure to lead.

The lead compliance plan shall contain the elements listed in Title 8, California Code of Regulations, Section 1532.1(e)(2)(B). The lead compliance plan shall be prepared, signed and stamped by an Industrial Hygienist certified in Comprehensive Practice by the American Board of Industrial Hygiene.

#### QUALITY ASSURANCE

Codes which govern removal and disposal of materials containing lead include, but are not limited to the following:

1. California Health and Safety Code, Division 20, Chapter 6.5, "Hazardous Waste Control."

2. California Code of Regulations, Title 17, Division 1, Chapter 11, "Occupational Lead Poisoning Prevention Program."

3. California Code of Regulations, Title 22, Division 4.5, Chapter 10, "Hazardous Waste Management System: General."

4. California Code of Regulations, Title 8, Division 1, Chapter 4, Subchapter 4, Article 4, "Lead."

5. Occupational Safety and Health Administration (OSHA), 29 Code of Federal Regulation (CFR) Part 1926.62, "Lead."

**NOTIFICATION**

The Contractor shall notify the Engineer 3 business days in advance of the start of removal operations of lead based material.

Prior to performing operations involving the removal of lead based material, the Contractor shall provide written notification to all Federal, State and local agencies that regulate the removal, handling, transporting and disposal of lead in construction.

The Contractor shall notify the Division of Occupational Safety and Health (CAL OSHA) 24 hours prior to performing removal operations of materials containing lead or lead based materials.

**QUALITY ASSURANCE**

The lead related construction work shall be supervised by a California Department of Health Services Certified Lead Supervisor. The supervisor shall be available as required by Title 17 California Code of Regulations 36100 (A1). Personnel for lead related construction work shall be California Department of Health Services Lead Worker Certified.

**REGULATORY REQUIREMENTS**

If measures being taken by the Contractor are inadequate to provide for worker safety and the containment and collection of residue from existing paint systems, the Engineer will direct the Contractor to revise operations and the compliance plans. Such directions will be in writing and will specify the items of work for which the Contractor's compliance plans are inadequate. No further work shall be performed on said items until the compliance plans are adequate and, if required, a revised compliance plan has been approved.

**SAFETY**

Construction activities (including demolition) that disturb materials or paints containing any amount of lead are subject to requirements in Title 8, California Code of Regulations Section 1532.1.
Any work that disturbs the lead based paint will expose workers to health hazards and will:

1. Produce debris containing heavy metal in amounts that may exceed the thresholds established in Titles 8 and 22 of the California Code of Regulations.

2. Produce toxic fumes when heated.

The Contractor shall be responsible for verifying that all employees, who are involved in removal operations, wear the required protective devices during removal operations.

Personal protective equipment, training, and washing facilities, required by the Contractor's health and safety plan shall be supplied to State personnel by the Contractor. The number of State personnel will be 4.

**MATERIAL**

**Not Used**

**CONSTRUCTION**

**HANDLING**

The Contractor shall comply with all Federal, State, and local regulations for the removal of material containing lead prior to demolition and place removed material in approved plastic containers (double ply, 0.15 mm minimum thickness, plastic bags) with caution labels affixed to said bags. Such caution labels shall have conspicuous, legible lettering which spells out the following, or equivalent warning:

**CAUTION**

**CONTAINS LEAD**

Temporary storage on the ground of material and residue produced when the existing paint system is disturbed will not be permitted. Material and residue shall be stored in leak proof containers and shall be handled in such a manner that no spillage will occur.

At the option of the Contractor, the removed lead based materials may be placed directly into a roll off or drop box which shall have the same caution label affixed on all sides.

**DISPOSAL**

All material and residue produced during removal operations shall be tested and profiled to determine hazardous waste characteristics. Dispose of residue and waste at an approved disposal facility in accordance with the requirements of the disposal facility operator.

The Contractor shall notify the proper authorities at the disposal site in advance of delivery of hazardous waste containing lead to the disposal site.

## 12-2.06 EARTHWORK FOR BUILDING WORK

### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of performing earthwork for building work in accordance with the details shown on the plans and these special provisions.

Earthwork for building work shall consist of structure excavation and structure backfill. Structure excavation shall include excavation for footings, foundations, walls, slabs, tanks, and trenches. Structure backfill shall include backfilling under slabs; backfilling under and around footings; backfilling for walls, backfilling for pipes and conduits; backfilling holes resulting from removal of existing facilities. In addition to structure excavation and structure backfill, earthwork for building work shall include any other earthwork, not mentioned, but necessary to complete the building work.

Attention is directed to the requirements of "Field Engineering" in Section 12-1, "General Requirements," of these special provisions.

**QUALITY ASSURANCE**

**Samples.--**Samples of sand, pea gravel, or crushed stone, weighing not less than 11 kg, shall be submitted to the Engineer at the jobsite for approval.

**SITE CONDITIONS**

**Existing underground piping and conduit.--**The location of existing underground piping and conduit is based on the best records available. Before beginning work, the Contractor shall accurately locate the piping and conduit involved in the work. If the location of the existing piping or conduit deviates from the location shown on the plans by more than 1.5 meters, or, if no elevations are indicated and the piping or conduit is more than 0.9 meter below grade, the cost of the additional excavation, backfill, piping or conduit, and removal and replacement of concrete, if any, will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

**Existing surfaced or planted areas.--**Existing surfaced or planted areas that are removed, broken or damaged by the Contractor's operations shall be restored to their original condition except as otherwise shown on the plans or specified herein.

Restoration materials shall be equal to or better than the original materials. Surfacing shall be replaced to match the material thickness, grades, and finish of the adjacent surrounding surfaces.

## PART 2.- PRODUCTS

### BACKFILL MATERIALS

#### Structure backfill

Structure and trench backfill shall be free of organic and other deleterious material and shall be suitable for the required compaction. Gravel without sand matrix shall not be used except as free draining granular material beneath slabs and footings.

#### Sand

Sand shall be clean, washed sand, free from clay or organic material graded such that 100 percent passes the 6 mm sieve, 90 percent to 100 percent passes the 4.75 mm sieve and not more than 5 percent passes the 75 µm sieve size.

#### Pea gravel (naturally rounded)

Pea gravel (naturally rounded) shall be clean, washed, dry density of not less than 1522 kg/m$^3$, free from clay or organic material and shall conform to the following grading as determined by California Test 202:

| Sieve or Screen Size | Percentage Passing |
|---|---|
| 19 mm | 100 |
| 13 mm | 90-100 |
| 9.5 mm | 40-70 |
| 4.75 mm | 0-15 |
| 2.36 mm | 0-3 |

Pea gravel shall conform to the following requirements:

| Test | California Test No. | Test Requirements |
|---|---|---|
| Durability Index | 229 | 35 Min. |

#### Crushed stone

Crushed stone shall be clean, washed, dry density of not less than 1522 kg/m$^3$, crushed stone or crushed gravel with an angular particle size not less than 3 mm or more than 13 mm.

| Sieve or Screen Size | Percentage Passing |
|---|---|
| 13 mm | 100 |
| 9.5 mm | 85-100 |
| 4.75 mm | 10-30 |
| 2.36 mm | 0-3 |

Crushed stone shall conform to the following requirements:

| Test | California Test No. | Test Requirements |
|---|---|---|
| Durability Index | 229 | 35 Min. |

## PART 3.- EXECUTION

### PREPARATION & RESTORATION

**Sawcutting.--**Prior to excavation or trenching, existing surfacing shall be removed to saw cut lines, or to existing wood dividers or expansion joints, if any. The saw cut shall be to a neat line and have a depth not less than 25 mm.

**Restoration.-**Surfacing shall be replaced to match the thickness, grades and finish of the adjacent surrounding surfaces.

### STRUCTURE EXCAVATION

**General.--**Unless otherwise noted, all excavation for building work shall be classified as structure excavation.

**Footing excavation.--**The bottom of excavation shall not be disturbed. The contractor shall excavate by hand to the final grade. The bottom of concrete footings shall be poured against undisturbed material. Unless otherwise noted, compaction of the bottom of footing excavation is not required unless the material is disturbed. The footing depths shown on the plans shall be changed to suit field conditions when directed by the Engineer. Solid rock at or near required depths shall not be disturbed. Unsuitable material shall be excavated down to firm bearing as directed by the Engineer. Work and materials required because of excavation in excess of the depths shown on the plans, when such excavation has been ordered by the Engineer, will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Excavate to the elevations and dimensions within a tolerance of ±12 mm. Limits of the excavation shall allow for adequate working space for installing materials and as required for safety of personnel. Such working space excavation shall be replaced in kind and compacted at the Contractor's expense.

Overdepth excavation for footings shall be backfilled with concrete or such other material recommended by the Contractor and approved by the Engineer. Relative compaction shall be not less than 95 percent.

At locations and to the limits shown on the plans, material below the bottom of the foundation or footing shall be removed and replaced with select backfill in accordance with the placing and compacting requirements for backfill.

**Excavation for pipes and conduits.--**Pipes or conduits in the same trench shall have a minimum clear distance between pipes or conduits of 150 mm. Pipes or conduits shall have not less than 0.75 meter of cover from top of pipes or conduits to finished grade unless otherwise shown on the plans or specified.

Trenching shall be of sufficient depth to permit placing a minimum depth of 100 mm of compacted sand under all pipes and conduits.

Excavation adjacent to trees shall be performed by hand methods where necessary to avoid injury to trees and roots. Roots 50 mm in diameter and larger shall be protected with heavy burlap. Roots smaller than 50 mm in diameter adjacent to trees shall be hand trimmed. Cuts through roots 13 mm in diameter and larger shall be sealed with tree trimmers' asphaltic emulsion. If trenches remain open more than 24 hours, the side of the trench adjacent to the tree shall be shaded with burlap and kept damp. Materials shall not be stockpiled within the drip line of trees.

**Dewatering.--**Excavations shall be kept clear of standing water. Water shall be removed by pumping if necessary. Water removed from excavation shall be carried away from the building site and disposed of in a manner that will not harm State or adjacent property.

### STRUCTURE BACKFILLING

**General.--**Unless otherwise noted, all backfill for building work shall be classified as structure backfill. Backfill shall be placed and compacted in horizontal layers, not more than 150 mm thick prior to compaction, and to the lines and grades shown on the plans or to original ground.

**Structure backfill.--**After structures are in place and forms are removed, wood and other debris shall be removed from excavations before placing structure backfill.

**Select backfill.--**At the locations and to the limits shown on the plans, materials below the bottom of footings or foundations shall be removed and replaced with select backfill material in accordance with the placing requirements of structure backfill.

**Backfilling pipes and conduits.--**Backfill placed under pipe and conduits shall be compacted sand, 100 mm minimum depth. Backfill material placed to a level 150 mm above tops of pipes and conduits shall be sand or fine earth and particles shall not exceed 13 mm in greatest dimension. For wrapped, coated, or plastic pipe or conduits, sand shall be used for backfill. Backfill material placed higher than 150 mm above tops of pipes or conduits shall consist of material free of stones or lumps exceeding 100 mm in greatest dimension except:

(a) The top 300 mm of backfill under roads, walks or paving shall consist of aggregate base material.
(b) The top 150 mm of backfill in planted areas shall consist of topsoil.

Unless otherwise shown on the plans, pipe under roads, with less than 0.75 m of cover over the top of pipe, shall be backfilled with concrete to a level 100 mm above the top of pipe. Concrete for backfill shall be commercial quality concrete containing not less than 350 kg/m$^3$ of cement.

**COMPACTION**

**General.--**Relative compaction shall be determined in accordance with California Test 216 or 231.
Unless otherwise noted below, all backfill shall be compacted to a minimum relative compaction of 90 percent.
Unless approved in writing by the Engineer, compaction by jetting or ponding will not be permitted.

**Compact original ground.--**Original ground surface under fill with surfacing of concrete and asphalt concrete shall be compacted to a relative compaction of not less than 95 percent for a minimum depth of 150 mm.

**Subgrade preparation.--**Preparation of subgrade material for placing aggregate base, surfacing, or slabs thereon shall include fine grading, compaction, reworking as necessary. The upper 150 mm of the subgrade shall have the same compaction as the fill to be placed over it.
The prism of backfill directly underneath the building foundation and sloping downward at 1:1 shall be compacted to 95 percent.

**Structure backfill.--**Structure backfill shall be compacted to not less than 95 percent relative compaction.

A relative compaction of not less than 95 percent shall be obtained for a minimum depth of 150 mm below the bottom of the excavation before placing select backfill.

**Trench backfill.--**Trench backfill placed beneath slabs or paved areas shall be compacted to a relative compaction of not less than 95 percent.

**DISPOSAL**

**Surplus material.--**Surplus material from the excavation shall be removed and disposed of outside the right-of-way in accordance with Section 7-1.13 of the Standard Specifications.

**FIELD QUALITY CONTROL**

**Inspection.--**When the excavation is substantially completed to grade, the Contractor shall notify the Engineer. No concrete shall be placed until the foundation has been approved by the Engineer.

**Testing.--**The State will conduct compaction tests during the backfilling and compacting operations.

## 12-2.07 AGGREGATE BASE

### PART 1.-GENERAL

### SUMMARY

**Scope.--**This work shall consist of furnishing, spreading and compacting aggregate base in accordance with the details shown on the plans and these special provisions.

### PART 2.-PRODUCTS

**Aggregate base**

Aggregate base shall be commercial quality aggregates consisting of broken stone; crushed gravel; natural, clean, rough-surfaced gravel and sand; or a combination thereof.

Aggregate base shall conform to the following grading as determined by California Test 202:

| Sieve or Screen Size | Percentage Passing |
|---|---|
| 25 mm | 100 |
| 19 mm | 90 - 100 |
| 4.75 mm | 35 - 60 |
| 600 µm | 10 - 30 |
| 75 µm | 2 - 9 |

Aggregate base shall also conform to the following quality requirements:

| Tests | California Test No. | Test Requirements |
|---|---|---|
| Durability Index | 229 | 35 Min. |
| Resistance (R-Value) | 301 | 78 Min. |
| Sand Equivalent | 217 | 22 Min. |

### PART 3.-EXECUTION

### SPREADING AND COMPACTING

**Spreading.--**Aggregate base shall be placed and compacted to the lines and grades shown on the plans.

Spreading and compacting shall be performed by methods that will produce a uniform base, free from pockets of coarse or fine material.

**Compaction.--**Relative compaction of each layer of compacted base material shall be not less than 95 percent, as determined by California Test 216 or 231.

## 12-2.08 FREE DRAINING GRANULAR MATERIAL

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and placing free draining granular material beneath slabs in accordance with the details shown on the plans and these special provisions.

### PART 2.- PRODUCTS

**Free draining granular material**

Free draining granular material shall be clean, hard, durable, free-draining rock. The material gradation shall be such that all passes the 25 mm screen, and not more than 10 percent passes the 4.75 mm sieve as determined by California Test 202. Granular material shall be free from organic material, clay balls or other deleterious substances.

### PART 3.- EXECUTION.--

#### SPREADING AND CONSOLIDATING

**General.--**Free draining granular material shall be placed, spread and consolidated by tamping or vibrating.

## 12-2.09 TERMITE CONTROL

### PART 1.-GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and applying soil treatment for termite control in accordance with these special provisions.

#### SUBMITTALS

**Product data.--**Product labels for the soil treatment material showing descriptive information on the active chemical ingredients, mixing instructions for concentration levels, directions for use, application rates, and precautionary information shall be submitted for approval. Labels shall include an EPA product registration number.

#### QUALITY ASSURANCE

Attention is directed to Section 7-1.01H, "Use of Pesticides," of the Standard Specifications.

Soil treatment operations for control of termites shall be performed by a pest control operator who is licensed by the State of California.

Insecticides used in termite control work shall be approved and registered by the United States Environmental Protection Agency (EPA) and the State of California.

#### DELIVERY, HANDLING AND STORAGE

**General.--**The storage, mixing and application of chemicals; the cleaning of equipment; and the disposal of product containers shall be in accordance with federal, state and local regulations.

## PART 2.-PRODUCTS

### Soil treatment material

Soil treatment materials for termite control shall be commercial quality and shall be water emulsifiable.

The active chemical ingredient of the termiticide shall be any EPA approved chemical or combinations of chemicals intended for the eradication and control of subterranean termites.

All product containers shall bear the manufacturer's EPA approved label with EPA registration number.

## PART 3.-EXECUTION

### PREPARATION

**General.--**The Engineer shall be notified not less than 3 working days in advance of the planned termite control work. Similar notice shall be given to any state or local agency which requires that their representative be present during the termite control work.

**Soil preparation.--**Excavation, embankment construction, backfilling and grading operations shall be completed prior to the application of the soil treatment solution. Deleterious matter that will decrease the effectiveness of the treatment shall be removed from all areas to be treated.

Soil to be treated, except under slabs and foundations and vertical surfaces of trenches, shall be cultivated a minimum of 50 mm in depth, and shall be graded as shown on the plans.

Termite control work shall be performed in the presence of the Engineer unless the Contractor has received written approval from the Engineer to proceed.

### MIXING

**General.--**The soil treatment emulsion shall be mixed in accordance with the manufacturer's instructions to achieve the chemical concentration level shown on the EPA approved product label for the type of soil and the area to be treated.

### APPLICATION

**General.--**The soil treatment emulsion shall be applied to all soil within the area of the building including floor slab areas, under foundations and footings, to the horizontal and vertical surfaces of excavations and to such other areas or surfaces, not mentioned, but which are required for the complete and total protection of the building. The application shall be in accordance with the instructions shown on the EPA approved product label.

Where free draining material has previously been placed, sufficient amount of dilution shall be added to reach the soil beneath the free draining material.

Treated areas that are subsequently excavated, graded, trenched or filled shall be given an additional application.

### PROTECTION

**General.--**Treated areas shall be protected in accordance with the instructions shown on the EPA approved product label until the treated surface is covered by subsequent construction.

If concrete slab cannot be placed over the soil the same day it has been treated, a vapor barrier shall be placed over the treated area to prevent disturbance of the treated soil.

## 12-2.10 ASPHALT CONCRETE

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work shall consist of furnishing, spreading, placing and compacting asphalt concrete for asphalt concrete surfacing, applying asphaltic emulsion paint binder and fog seal coat, in accordance with the details shown on the plans and these special provisions.

Asphalt concrete shall be commercial quality, produced at a central mixing plant, 13 mm maximum, medium grade, as specified herein.

Areas to be surfaced with asphalt concrete shall be as shown on the plans. Areas to be surfaced with asphalt concrete shall include those locations where existing bituminous surfacing has been removed to facilitate the required work.

### QUALITY ASSURANCE

**Certificates of Compliance.--**Certificates of Compliance shall be furnished for asphalt concrete and asphaltic emulsion in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### PART 2.- PRODUCTS

### MATERIALS

**Asphalts.--**

Asphalt binder for asphalt concrete shall be steam-refined paving asphalt Grade AR-4000 (asphalt graded by viscosity), conforming to the requirements in AASHTO Designation: M 226.

Asphalt emulsion for paint binder and fog seal coat shall be asphaltic emulsion Grade SS1h conforming to the requirements in AASHTO Designation: M 140.

**Aggregates**

Aggregate for asphalt concrete shall be commercial quality asphalt concrete aggregate, and shall have a Sand Equivalent value of 30 minimum, when determined by California Test 217.

The combined aggregate gradings for the respective asphalt concrete mixture shall conform to the following gradations when determined by California Test 202:

| 13 mm Maximum Grading | |
|---|---|
| Sieve Sizes | Percentage Passing |
| 19 mm | 100 |
| 13 mm | 95 - 100 |
| 4.75 mm | 55 - 72 |
| 600 µm | 18 - 33 |
| 75 µm | 4 - 8 |

### MIXING

**General.--**Asphalt binder to be mixed with the aggregate shall be between 5 percent and 8 percent by weight of the dry aggregate as determined by the Engineer.

### PART 3.- EXECUTION

#### PREPARATION

**Subgrade.--**Immediately prior to placing asphalt concrete thereon, the surface of the grading plane shall not vary more than 0.015 meter above or below the grade established by the Engineer.

At the time of placing the asphalt concrete material thereon, the moisture content of the subgrade shall not be more than 3 percent above or below the optimum moisture content of the subgrade material as determined by California Test 216.

**Paint binder.--**Paint binder shall be furnished and applied to all existing surfacing upon which asphalt concrete is to be placed, vertical surfaces against which additional asphalt concrete material is to be placed and other surfaces designated by the Engineer.

#### SPREADING AND COMPACTING

**Spreading.--**The mixture shall be spread at a temperature of not less than 121°C. Spreading shall be performed by methods that will produce an asphalt concrete surfacing of uniform smoothness and texture. Asphalt concrete shall be placed only when the atmospheric temperature is above 10°C.

Asphalt concrete for surfacing more than 1.5 meters in width shall be spread in one operation with an approved spreader and be ready for compaction without further shaping.

**Compacting.--**Asphalt concrete shall be placed in one or more layers of 0.04 meter or less in compacted thickness to match the thickness as shown on the plans. The first coverage of initial or breakdown compaction shall be performed when the temperature of the mixture is not less than 121°C, and all breakdown compaction shall be completed before the temperature of the mixture drops below 93°C.

Asphalt concrete shall be thoroughly compacted with a self-propelled tandem roller, weighing not less than 7260 kg. At locations where asphalt concrete is to be placed and which are inaccessible to rolling equipment, compaction shall be obtained by hand rollers, vibrating plates, impactors or other methods approved by the Engineer.

#### FOG SEAL

**General.--**A fog seal coat of asphaltic emulsion shall be applied to all new, completed, asphalt concrete. The fog seal coat shall be applied in one application at a rate between 0.22 liter and 0.45 liter of bituminous binder per square meter of surface covered. The exact rate of application will be determined by the Engineer.

The spraying equipment used for the application of the fog seal coat shall be suitable for the intended use and shall be capable of producing a uniform application of emulsion material in the amounts specified herein. Application shall be at the pressures and temperatures recommended by the spray equipment manufacturer. Hand spray equipment shall be approved by the Engineer.

## 12-2.11 SEPTIC SEWAGE DISPOSAL SYSTEM

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing, installing and constructing a septic sewage disposal system in accordance with the details shown on the plans and these special provisions.

Septic sewage disposal system shall include such other materials and appurtenances, not mentioned, which are required for the complete installation and proper operation of the system.

**Related work.--**Sewer pipes in buildings and to a point 1.5 meters beyond the building shall be as specified in Section 12-15, "Mechanical," of these special provisions.

**Order of Work.--**Work which will curtail the use of the existing sewage system shall not be done until the facilities utilizing the system are closed and are no longer required.

**SUBMITTALS**

**Product data.--**Material lists for materials to be used shall be submitted for approval and shall include the name of the manufacturer and the source, model number, description, and standard of manufacture.

Manufacturer's descriptive data and catalog cuts for the following shall be submitted for approval:

Underground tracer tape
Sewer and drain pipe and fittings
Leach line pipe and fittings
Septic tank
Manhole frames and covers
Cleanouts
Valve and meter boxes
Filter fabric
Monitor well caps
Septic tank outlet filter
Coatings

**Samples.—**Test results and a representative sample of the following products weighing approximately 11 kg shall be submitted to the Engineer at the jobsite for approval:

Sand
Leach line backfill
Leach line rock
Pea gravel

**Working drawings.--**Working drawings for each precast septic tank used in the work shall be submitted for approval. Complete bedding, assembly, installation and backfilling instructions shall be submitted for approval.

**QUALITY ASSURANCE**

**Codes and standards.--**All sanitary sewage work shall conform to the applicable portions of the California Plumbing Code, California Code of Regulations, Title 24, Part 5.

**Certificates of Compliance.--**Certificates of compliance shall be furnished for manhole frames and covers in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

## PART 2.- PRODUCTS

**MATERIALS**

**IDENTIFICATION**

**Underground tracer tape**

Underground tracer tape shall be permanent, detectable, bright colored, continuous printed plastic tape intended for direct burial service; not less than 50 mm wide; lettering shall read "CAUTION SEWER BURIED BELOW".

**PIPES AND PIPE FITTINGS**

**Sewer and drain pipe and fittings**

Sewer and drain pipe and fittings shall be polyvinyl chloride (PVC) gravity sewer plastic pipe and fittings conforming to ASTM Designation: D 3034, Standard Dimension Ratio (SDR) 35, with integral bell and bell and spigot rubber gasketed joints or conforming to ASTM Designation: D2665 with solvent welded fittings. Rubber gaskets shall conform to ASTM Designation: F 477. Stainless steel clamps with rubber boots shall not be used.

**Sewer pipe adapters**

Sewer pipe adapters for PVC to cast iron soil or clay pipe shall be appropriately sized PVC flexible coupling manufactured for connecting dissimilar pipes. Adapters shall be attached to piping with adjustable stainless steel band clamps with hex tightening screws. Rubber boots will not be allowed. Sewer pipe adapter shall be Indiana Seal; Fernco; or equal.

**Leach line pipe**

Leach line pipe shall be perforated PVC plastic sewer pipe and fittings, standard dimension ratio, (SDR) 35, conforming to ASTM Designation: D 3034; or perforated PVC drain, waste and vent pipe, (PVC-DWV), conforming to ASTM Designation: D 2665.

Perforations shall be 13 mm or 16 mm in diameter, located in 2 rows 120 degrees apart and spaced at intervals between 75 mm and 125 mm. Piping which is manufactured without the required drain holes shall have the holes drilled using approved drilling equipment and jigs. Burrs on the pipe surfaces resulting from the drilling operation shall be removed.

**MANHOLES, METER AND VALVE BOXES**

**Manholes**

Manholes and distribution box riser sections and cones shall be precast, reinforced concrete conforming to ASTM Designation: C 478M or precast reinforced concrete pipe conforming to ASTM Designation: C 76.

**Manhole frame and cover**

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Manhole frame and cover shall be gray cast iron, conforming to ASTM Designation: A 48, Class 30 or greater (traffic type). Cover shall be no bolt, closed pick hole and shall be marked "SS," "SEWER," or "SANITARY SEWER." The side or bottom of the cover shall be machined grooved for an integral O-ring gasket. The frame seat for the bottom O-ring gasket shall be a minimum of 22 mm in width.

**Valve box**

Valve box shall be precast concrete box with cast iron cover. Cover shall be factory marked "SEWER," "SS," or "SANITARY SEWER", and shall be traffic rated. Valve box shall be Cook Concrete Products, No. 10-T-12; Christy No. G-5C; Brooks, No. 3-RT; or equal with extensions as required.

**Septic tank**

Septic tank shall be a precast reinforced concrete tank of the size shown on the plans. All joints shall be above the normal operating water level. The septic tank shall be listed and approved by the International Association of Plumbing and Mechanical Officials (IAPMO) or the National Precast Concrete Association (NPCA) and tank shall be marked accordingly.

Cones and risers shall be precast, reinforced concrete, conforming to ASTM Designation: C 478M or precast reinforced concrete pipe conforming to ASTM Designation: C 76.

**Septic tank outlet filter**

Outlet filter shall be commercial quality PVC or Ethyl 7042 compound case with a removable, self-cleaning impact styrene filter capable of effectively removing all particles of 3 mm or greater in diameter. Filter shall be multi-purpose, have the equivalent of 2.5 square meters minimum filtering capacity and shall have a capacity of approximately 25 cubic meters per day.

## CLEANOUTS

### Cleanout to grade

Cleanout piping shall terminate with an appropriately sized flexible PVC access cap and stainless steel band coupler with hex tightening screw. Rubber coupling or cap will not be allowed. Access cap shall be Indiana Seal; Fernco; or equal.

## MONITOR WELLS

### Monitor wells

Monitor wells shall be sewer pipe, SDR 35, conforming to ASTM Designation: D 3034; or plastic drain, waste, and vent pipe, conforming to ASTM Designation: D 2665, perforated as shown on the plans, and terminating with an appropriately sized flexible PVC access cap and stainless steel band coupler with hex tightening screw threaded cap fitting.

## MISCELLANEOUS MATERIALS

### Cement mortar

Cement mortar shall be one part cement to 2 to 3 parts clean plaster or concrete sand mixed with just enough water for suitable consistency.

### Epoxy adhesive

Epoxy adhesive shall be commercial quality low viscosity paste polysulfide extended epoxy formulated primarily for use in bonding new portland cement concrete to existing portland cement concrete.

### Sand

Sand shall be clean, washed sand, free from clay or organic material graded such that 90 percent to 100 percent passes the 4.75 mm sieve size and not more than 20 passes the 300 µm sieve size.

### Leach line backfill

Leach line backfill shall be native material free of rocks greater than 50 mm in greatest dimension, vegetable matter, trash or other deleterious material.

### Leach line rock

Leach line rock shall be washed, clean, graded gravel, rock, or crushed rock varying in size between 19 mm and 64 mm in greatest dimension. Rock shall have not more than 10 percent loss when tested in accordance with California Test 214. Tests may be waived if rock is from an approved supply or is accompanied by a Certificate of Compliance conforming to the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### Pea gravel

Pea gravel shall be clean aggregate, free from clay or organic material and graded such that 90 percent to 100 percent passes the 4.75 mm sieve size, and not more than 50 percent shall pass the 600 µm sieve size.

### Filter fabric

Filter fabric shall be commercial quality, chemically stable, non-biodegradable, ultraviolet stabilized, 100 percent polyester, 100 percent polypropylene or 100 percent combined polyester and polypropylene, nonwoven, needle punched permeable geotextile.

Filter fabric shall be shipped in packaging that shall protect filter fabric from ultraviolet radiation and abrasion during storage and handling. Filter fabric shall be Mirafi, 140N; AMOCO Fabrics, 4506; or equal.

Filter fabric shall conform to the following requirements:

| Property | Value | Test Designation |
|---|---|---|
| Average roll weight | 135 grams per square meter, min. | ASTM D 1117 |
| Grab tensile strength, Newtons | 504 N ±66 N<br>XMD 490 N ±66 N | ASTM D 5034, 5035 25 mm grip |
| Grab elongation | MD 50 min. | ASTM D 5034, 5035 |
| Trapezoidal tear strength, Newtons | MD 223 N ±45<br>XMD 45 220 N ±45 | ASTM D 1117 |
| Water passage rate | 4000 liters per minute per square meter, min. | ASTM D 4491 (Constant head, 50 mm) |
| Thickness, mils | 0.06 mm | ASTM D 1777 |
| Permeability, cm per sec. | 0.3 cm per sec.±0.1 cm per sec. | ASTM D 4491 (Constant head, 50 mm) |
| AOS (Avg. opening size) | 0.21 mm, min. | ASTM D 4751 |

**Epoxy mortar**

Epoxy mortar shall be a commercial quality trowelable 3-component epoxy mortar consisting of 2 pourable epoxy components and a chemically resistant aggregate filler of silica quartz sand with maximum water absorption of 0.1 percent. Epoxy shall have a pull-off strength of not less than 6895 KPa and a 90 percent cure in 24 hours. Epoxy mortar shall be the type that requires no primer as bonding agent.

**COATINGS**

**Bituminous coating**

Bituminous coating shall conform to ASTM Designations: D 41 and D 449.

## PART 3.- EXECUTION

**PREPARATION**

**Existing system.--**The existing septic tank shall be pumped of solids and liquid, and the sewage and sediment disposed of away from the premises. Such disposal shall conform to the laws, rules, and regulations of all agencies having jurisdiction at the disposal site.

**INSTALLATION OF IDENTIFICATION**

**General.--**Continuous underground tracer tape shall be installed directly above the buried line and 150 mm to 200 mm below finished grade during backfilling operations.

**INSTALLATION OF PIPES AND FITTINGS**

**General.--**Sewer and drain pipe shall be installed upgrade (starting from utility connection back to the construction) unless otherwise permitted by the Engineer.

**Closing abandoned utilities.--**Open ends of abandoned underground utilities shall be closed. Sufficiently strong closures, either 150 mm of concrete or pipe cap with concrete thrust block, shall be placed to withstand hydro-static pressure which may result after the pipes are closed.

**Sewers near water lines.--**Sewers near water lines shall be installed below water lines in the same trench, in parallel trenches less than 3 meters apart, or at any crossing.

When water lines cross above a sewer line, a vertical separation of not less than 300 mm shall be maintained between the top of the sewer pipe and the bottom of the water line.

**Connections between differing pipe types.--**Joints between different types of pipes shall be made with sewer pipe adapters intended for that purpose.

**Damaged pipe.--**Damaged pipe shall be replaced prior to use. Misaligned pipe shall be corrected or replaced prior to use.

**Cleaning pipe.--**Interior of pipes shall be cleaned of dirt and other materials as the work progresses.

Lines between manholes shall be flushed as necessary to remove collected material.

**INSTALLATION OF SEPTIC TANKS, MANHOLES AND VALVE BOXES**

**Sewer structures.--**Manufactured sewer structures shall be installed in accordance with the manufacturer's recommendations and to the lines and grades shown on the plans.

All joints and penetrations of septic tanks, septic tank manholes and distribution boxes shall be sealed watertight, inside and outside, with epoxy mortar.

Interior of tank shall be cleaned of all debris after installation of tank, barrels and manhole frame and covers is complete and prior to testing. All debris from flushing and testing shall be removed prior to use.

Collars shall be broom surface finished. Collars shall match existing/finished grade. Compaction prior to form work shall be as specified elsewhere in these special provisions.

Where manholes, pipe inlets or cleanouts to grade are located in areas to be paved or surfaced, no individual structure shall be constructed to final grade until the paving or surfacing has been completed immediately adjacent to said structure.

**INSTALLATION OF CLEANOUTS**

**General.--**Cleanouts shall be installed 90 degrees to finished grade and shall terminate in a valve box. A concrete pad shall be provided full width of the trench under a wye branch.

Cleanouts to grade shall be a combination of fittings as shown on the plans. Piping and fittings for 100 mm pipe shall be sewer pipe and for 75 mm and smaller shall be drain pipe.

Collars shall be broom surface finished. Collars shall match existing/finished grade. Compaction prior to form work shall be as specified elsewhere in these special provisions.

**INSTALLATION OF LEACH LINES**

**Leach lines.--**Leach line construction shall be performed in dry weather. The excavation for leach lines shall not commence until the soil moisture condition is dry to a depth of 50 mm as defined in the following definition from Table 3, "Criteria for Describing Moisture Condition," in ASTM Designation: D 2488: "Absence of moisture, dusty, dry to the touch."

The trenches shall be prepared by carefully raking sidewalls and the bottom to remove any smeared or glazed soil surfaces. All loose material shall be removed from the trench. Sharp objects which may damage the filter fabric shall be removed during backfilling operations.

Leach line trenches shall not remain exposed to the elements for more than one day after excavation. Open trenches shall not, under any circumstances, be exposed to rainfall, or any other external source of moisture.

A single layer of filter fabric extending the full perimeter of the trench shall be placed immediately adjacent to the bottom and sides of the trench prior to placing leach line rock. All splices in the filter fabric shall have a 305 mm minimum overlap. Washed rock shall be placed in the center of the trench to minimize disturbance of the trench sidewalls.

Leach line pipe shall be installed on prepared rock layers to the invert elevations shown on the plans and to flat grades established by accurate survey methods. Pipes shall be within 6 mm of the required grade and installed with perforated sides down.

Washed rock fill material shall be placed evenly on both sides of the leach line pipe and above the pipe in such a manner as to prevent displacement or disturbance of the pipe system.

Leach line crossover inverts shall be placed by accurate survey methods and shall be within 3 mm of the required grade.

Sand and backfill placed above the leach line filter fabric shall be placed without adding water. The backfill material shall be placed in 150 mm maximum thickness lifts and, unless otherwise shown on the plans, mounded 75 mm above the trench. Backfill shall not be compacted.

**APPLICATION OF COATINGS**

**General.--**The interior and exterior surfaces of precast sewer structures shall be completely coated with 2 applications of bituminous coating, applied at a rate of 2.4 square meters per liter.

The preparation of surfaces to receive coatings shall be in accordance with the coating manufacturer's recommendations.

Concrete surfaces to be coated shall not be coated until 28 days after the last concrete for these structures has been poured.

The edge and bottom of manhole cover seat areas shall be coated with a uniform application of heavy duty, waterproof automotive or industrial grease.

**FIELD QUALITY CONTROL**

**TESTING**

**Testing pipes.--**All sewer and drain pipes shall be tested for obstructions before covering the pipes by balling and flushing the pipes with an approved commercial sewer cleaning ball. The ball shall be moved slowly through the sewer with a tag line. 100 mm sewer pipe shall be tested by pulling an appropriate sized inflatable plug through the pipe. Obstructions or irregularities shall be removed or repaired.

Sewer and drain pipes shall be tested for leakage for a minimum period of 4 hours by filling with water to an elevation of 1.22 meters above the average invert of sewer, or to the top of the manholes where less than 1.22 meters deep. The system shall show no visible leaks, and the leakage rate shall not exceed 13.25 liters per 24 hours, per 25 mm diameter, per 30.5 meters of pipe. Sewers may be tested in sections with the test water progressively passed down the sewers if feasible. Water shall be released at a rate which will not create water hammer or surge in the plugged section of sewer.

In lieu of hydrostatic test with water, the air test method, " Air Test," as outlined in the CPC, may be used.

**Testing septic tank.--**The septic tank shall be tested for leakage by filling the tank with water to the outlet flow line for a period of 24 hours. The tank shall remain watertight. Repairs, if necessary, shall be made at the Contractor's expense.

## SECTION 12-3. CONCRETE AND REINFORCEMENT

### 12-3.01 CAST-IN-PLACE CONCRETE

#### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of constructing cast-in-place concrete facilities in accordance with the details shown on the plans and these special provisions.

**Related work.--**Compressive strength concrete shall conform to the requirements in Section 90-9, “Compressive Strength,” of the Standard Specifications.

**SUBMITTALS.--**

**Product data.--**Manufacturer's descriptive data for admixtures, expansion joint material, vapor barrier, hardener, and sealer shall be submitted for approval.

Descriptive data shall be delivered to the Engineer at the jobsite.

**QUALITY ASSURANCE.--**

**Certificates of Compliance.--**Certificates of Compliance shall be furnished for cement, reinforcement, epoxy products, and admixtures in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

## PART 2.- PRODUCTS

### CONCRETE MIXES

**Concrete (structural work)**

Commercial quality concrete shall be proportioned to provide a workable mix suitable for the intended use; shall have not less than 350 kg/m$^3$ of cement; 0 to 50 mm penetration, inclusive, as determined by California Test 533.

**Concrete (minor work)**

Commercial quality concrete for concrete curbs, sidewalks, driveways, gutter depressions, new door openings, and collars shall be proportioned to provide a workable mix suitable for the intended use; shall have not less than 300 kg/m$^3$ of cement; 0 to 50 mm penetration, inclusive, as determined by California Test 533.

**Concrete (sewer structures)**

Commercial quality concrete for sewer structures, vehicle washracks and mudrinse slabs, shall be proportioned to provide a workable mix suitable for the intended use; shall have not less than 400 kg/m$^3$ total of a mixture of Type II cement and 15 percent by weight of a mineral admixture or Type IP (MS) Modified cement; 0 to 50 mm penetration, inclusive, as determined by California Test 533.

The air content of the freshly mixed concrete shall be 6 ± 1 1/2 percent, as determined by California Test 504.

### CONCRETE MATERIALS

**Cement**

Cement shall conform to ASTM Designation: C 150, Types II, or III portland cement; or Type IP (MS) Modified cement. Type IP (MS) Modified shall conform to ASTM Designation: C 595 and shall be comprised of an intimate mixture of Type II Modified cement and not more than 20 percent of a pozzolanic material.

**Aggregates**

Aggregates shall be free from deleterious coatings, clay balls and other extraneous materials.

**Admixtures**

Admixtures used in portland cement concrete shall be included on the Department's current list of approved admixtures, and shall conform to ASTM Designation: C 494, Types A, B, D, F or G for chemical admixtures; ASTM Designation: C 260 for air-entraining admixtures; and ASTM Designation: C 618 for mineral admixtures, except loss on ignition shall not exceed 4 percent. Properties of admixtures shall be uniform in each lot.

**Coloring for concrete**

Coloring for portland cement concrete shall be chemically inert, fade resistant mineral oxide or synthetic type.

## FORM MATERIALS

### Forms for exposed finish concrete

Forms for exposed surfaces shall be plywood, metal or other panel type materials. Plywood shall be not less than 16 mm thick and without scars, dents, and delaminations. Forms shall be furnished in largest practical pieces to minimize number of joints.

Plywood shall conform to the requirements of U. S. Product Standard PS-1 for Exterior B-B (Concrete Form) Class I.

Forms for edges of slabs shall be nominal 50 mm solid stock lumber, plywood, or metal forms.

### Forms for unexposed finish concrete

Forms for unexposed finish concrete surfaces shall be plywood, lumber, metal or other acceptable material.

### Form ties

Form ties shall be factory fabricated, removable or snapoff metal ties for use as necessary to prevent spreading of forms during concrete placement.

### Form oil

Form oil shall be commercial quality form oil which will permit the ready release of the forms and will not discolor the concrete.

## REINFORCING MATERIALS

### Bar reinforcement

Bar reinforcement shall conform to ASTM Designation: A 615/A 615M, Grade 60 [420], or ASTM Designation: A 706/A 706M.

### Bar supports

Bar supports for reinforcement shall be precast mortar blocks or ferrous metal chairs, spacers, metal hangers, supporting wires, and other approved devices of sufficient strength to resist crushing under applied loads.

## RELATED MATERIALS

### Anchor bolts, nuts, and washers

Nonheaded anchor bolts shall conform to ASTM Designation: A 36/A 36M, with a minimum hook length of 6.2 diameters.

Headed anchor bolts shall conform to ASTM Designation: A 307.

Threaded rods shall conform to ASTM Designation: A 572.

Nuts shall conform to ASTM Designation: A 563M, Grade A.

Washers for anchor bolts shall be commercial quality.
Exposed anchor bolts, nuts, and washers shall be hot dipped galvanized.

### Expansion joint material

Expansion joint material shall be commercial quality asphalt impregnated pressed fiber sheets, 13 mm minimum thickness.

### Vapor barrier

Vapor barrier shall be commercial quality polyethylene sheets not less than 0.15 mm thick.

**Bond breaker**

Bond breaker shall be Type I asphalt saturated organic felt or such other material approved by the Engineer.

**Nonskid abrasive aggregate**

Nonskid abrasive aggregate shall be commercial quality aluminum oxide, silicon carbide, or almandite garnet grit particles; screen size 12-30 or 14-36.

**Type A control joints**

Type A control joints shall be commercial quality, preformed, T-shaped plastic strips with detachable top flange.

**Keyed construction joint forms**

Keyed construction joint forms shall be commercial quality, galvanized metal or plastic, factory fabricated construction joint forms. Forms shall produce a rabbeted key type joint.

**Divider and edger strips**

Divider and edger strips shall be foundation grade redwood.

**Mortar**

Mortar shall consist of one part cement to 2 parts clean sand and only enough water to permit placing and packing.

**Curing compound**

Curing compound shall be a non-pigmented curing compound with fugitive dye conforming to the requirements of ASTM Designation: C 309, Type 1-D, Class A.

**Concrete hardener**

Concrete hardener shall be commercial quality water borne penetrating type magnesium fluosilicate, zinc fluosilicate or combination thereof.

**ADMIXTURES**

**General.--**Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option to conserve cement or to facilitate any construction operation.

Calcium chloride shall not be used in any concrete.

Admixtures shall be combined with concrete materials by methods that produce uniform properties throughout the concrete.

If more than one admixture is used, said admixtures shall be compatible with each other so that the desirable effects of all admixtures will be realized.

Mineral admixtures may be used to replace up to 15 percent of Type II portland cement provided the weight of mineral admixture used is not less than the weight of cement replaced. Mineral admixtures shall not be used to replace Type IP (MS) Modified or Type III cements. Chemical admixtures may be used to reduce up to 5 percent of the portland cement except that the cement content shall not be less than 300 $kg/m^3$. When both chemical and mineral admixtures are used with Type II cement, the weight of cement replaced by mineral admixture may be considered as cement in determining the resulting cement content.

Mineral admixtures will be required in the manufacture of concrete containing aggregates that are determined to be "deleterious" or "potentially deleterious" when tested in accordance with ASTM Designation: C 289. The use of mineral admixture in such concrete shall conform to the requirements in this section except that the use of set retarding admixtures will not be permitted.

When the use of a chemical admixture is specified or is ordered by the Engineer, the admixture shall be used at the rate specified or ordered. If no rate is specified or ordered, or if the Contractor uses a chemical admixture for his own convenience, the admixture shall be used at the dosage normally recommended by the admixture manufacturer.

When air-entrainment is specified or is ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce concrete having the specified or ordered air content as determined by California Test 504. If the Contractor uses air-entrainment for his own convenience, the average air content shall not exceed 4 percent and no single test shall exceed 5 1/2 percent.

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers shall have sufficient capacity to measure at one time the total quantity required for each batch. If more than one liquid admixture is used in the concrete, a separate measuring unit shall be provided for each liquid admixture and dispensing shall be such that the admixtures are not mixed at high concentrations. When air-entraining admixtures are used with other liquid admixtures, the air-entraining admixtures shall be the first to be incorporated into the mix. Unless liquid admixtures are added to premeasured water for the batch, they shall be discharged to flow into the stream of water so that the admixtures are well dispersed throughout the batch.

**BAR REINFORCING STEEL**

**Bending.--**Reinforcing steel bars shall accurately conform to the dimensions shown on the plans.

Bars shall be bent or straightened in a manner that will not crack or break the material. Bars with kinks or improper bends shall not be used.

Hooks, bends and splices shall conform to the provisions of the Building Code Requirements for Reinforced Concrete of the American Concrete Institute.

**MIXING AND TRANSPORTING CONCRETE**

**General.--**When a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be complete within 1 1/2 hours, or before 250 revolutions of the drum or blades, whichever comes first, after the introduction of cement to the aggregates.

The temperature of mixed concrete, immediately before placing, shall be not less than 10°C nor more than 32°C.

Truck mixers or agitator shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified. The counters shall be of the continuous-registering type, which accurately register the number of revolutions and shall be mounted on the truck so that the Engineer may safely and conveniently inspect them from alongside the truck. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, a time less than 1 1/2 hours may be required.

When non-agitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be complete within one hour after the introduction of cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C, or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete for the work shall be accompanied by a trip ticket, a copy of which shall be delivered to the Engineer at the jobsite. The trip ticket shall show volume of concrete, weight of cement and aggregates, quantity of each admixture, quantity of water including water added at the jobsite, time of day the concrete is batched, and revolution counter readings on transit mix trucks at the times the truck is charged and unloaded.

## PART 3.- EXECUTION

**PREPARATION**

**Existing concrete construction.--**Where fresh concrete joins existing or previously placed concrete or masonry, the contact surfaces of the existing or previously placed material shall be roughened, cleaned, flushed with water and allowed to dry to a surface dry condition immediately prior to placing the fresh concrete. The roughened surface shall be no smoother than a wood trowelled surface. Cleaning of the contact surfaces shall remove laitance, curing compounds, debris, dirt and such other substances or materials which would prevent bonding of the fresh concrete.

Abrasive blast methods shall be used to clean horizontal construction joints to the extent that clean aggregate is exposed.

Exposed reinforcing steel located at the contact surfaces which is to be encased in the fresh concrete shall be cleaned to remove any substance or material that would prevent bonding of the fresh concrete.

**Forms.--**Forms shall be mortar tight, true to the dimensions, lines, and grades shown on the plans, securely fastened and supported, and of adequate rigidity to prevent distortion during placing of concrete.

Forms for exposed surfaces shall be constructed with triangular fillets not less than 19 mm x 19 mm attached so as to prevent mortar runs and to produce smooth straight chamfers at all sharp edges of the concrete.

Form fasteners shall be removable without chipping, spalling, heating or otherwise damaging the concrete surface. Form ties shall be removed to a depth of at least 25 mm below the surface of the concrete.

The inside surfaces of forms shall be cleaned of all dirt, mortar and foreign material. Forms shall be thoroughly coated with form oil prior to use.

Forms shall not be stripped until at least 40 hours after placing concrete, except soffit forms and supports shall not be released or removed until at least 10 days after placing concrete.

Anchorages and embedded items shall be placed and rigidly secured at their planned locations prior to placing concrete.

Reglets or embedded flashing shall be installed on concrete forms before the concrete is placed.

Redwood dividers shall have 4 mm x 89 mm galvanized nails partially driven into both vertical faces at 450 mm on centers.

**Vapor barrier.--**Vapor barrier shall be lapped 150 mm and securely taped at splices. Vapor barrier shall be protected with a 75 mm layer of clean uncompacted sand cover.

Unless otherwise shown on the plans, vapor barrier shall be placed under portions of the floor slab scheduled to receive finish flooring.

**Placing reinforcing steel.--**Reinforcing steel bars shall be accurately placed to the dimensions shown on the plans.

Bar reinforcement conforming to ASTM Designation: A 615/A 615M, Grade 60 [420], or A 706//A 706M shall be lapped at least 45 diameters.

Bars shall be firmly and securely held in position by means of wiring and approved bar supports. The spacing of supports and ties shall prevent displacement of the reinforcing or crushing of supports.

Tie wire shall be clear of concrete formwork and concrete surfaces.

All reinforcing steel shall be in place and inspected before concrete placement begins. Placing of bars on fresh layers of concrete will not be permitted.

Within areas where epoxy-coated reinforcement is required, tie wire and bar chairs or other metallic devices used to secure or support the reinforcement shall be plastic-coated or epoxy-coated to prevent corrosion of the devices or damage to the coated reinforcement.

**Ground bar.--**A continuous reinforcing steel bar shall be installed in the building foundation at the location indicated on the plans for the electrical ground bar. The use of epoxy coated reinforcing bar is not permitted. The end of the ground bar shall extend beyond the concrete surface and shall be protected from damage by construction operations.

**PLACING CONCRETE**

**General.--**Concrete shall be placed and consolidated by means of internal vibrators to form dense, homogeneous concrete free of voids and rock pockets.

Forms and subgrade shall be thoroughly moistened with water immediately before placing concrete.

Concrete shall be placed as nearly as possible to its final location and the use of vibrators for extensive shifting of the concrete will not be permitted.

Concrete shall be deposited and consolidated in a continuous operation within limits of construction joints, until the placing of the panel or section is completed.

When concrete is to be placed in large areas requiring more than two pours, concrete shall be placed in alternate long strips between construction joints and the final slab infilled.

Vibrators used to consolidate concrete containing epoxy-coated bar reinforcement shall have a resilient covering to prevent damage to such reinforcement.

**FINISHING CONCRETE SURFACES**

**Finishing unformed surfaces.--**Slabs shall be placed full thickness to finish elevation and leveled to screeds by use of long straightedges. The screeds shall be set to grade at approximately 1.8 meter centers. After leveling, screeds shall be removed and the surface shall be floated with wooden floats.

Type A control joint strips shall be inserted into the floated concrete so that the bottom of the top flange is flush with the finish elevation. Strips shall be standard manufactured lengths and shall be placed on an approximate straight line. The top flange of the strips shall be removed after the concrete has set and cured.

The floated surface shall be trowelled with steel trowels. Troweling shall form a dense, smooth and true finish. Walkways, pedestrian ramps, stairs and outdoor slabs for pedestrian traffic shall be given a non-slip broom finish unless a different finish is called for on the plans or in these special provisions.

The application of cement dust coat will not be permitted.

Steel trowel finish and broom finish will not be required for slabs to receive exposed aggregate finish nor for slabs to be covered with ceramic tile.

Concrete floor surfaces to receive ceramic tile shall be floated to grade and then, before final set of the concrete, the floated surfaces shall be roughened with stiff bristled brushes or rakes.

Finished surfaces of floor slabs shall not deviate more than 3 mm from the lower edge of a 3-meter long straight edge.

**Finishing formed surfaces.--**Formed concrete surfaces shall be finished by filling holes or depressions in the surface, repairing all rock pockets, and removing fins. All surfaces of formed concrete exposed to view shall have stains and discolorations removed, unsightly bulges removed, and all areas which do not exhibit the required smooth, even surface of uniform texture and appearance shall be sanded with power sanders or other approved abrasive means until smooth, even surfaces of uniform texture and appearance are obtained.

Cement mortar, patching and finishing materials used to finish exposed surfaces of concrete shall closely match the color of surrounding surfaces.

**Nonskid abrasive aggregate finish.--**Where shown on the plans, walkways shall receive a nonskid abrasive aggregate (grit) finish. The grit shall be applied uniformly at the rate of not less than 1.5 $kg/m^2$ and tamped into the floated concrete surface while the concrete is plastic. The grit shall be buried about 0.7-diameter of each particle into the concrete.

**CURING CONCRETE**

**General.--**Freshly placed concrete shall be protected from premature drying and excessive cold or hot temperatures.

Initial curing of floor slabs shall start as soon as free water has disappeared from the concrete surface. The concrete shall be kept continuously wet by application of water for not less than 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or sand blankets may be used as a curing medium to retain the moisture during the curing period. Curing materials that will stain or discolor concrete shall not be used on surfaces exposed to view.

Prior to placing the curing medium, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing mediums.

Concrete surfaces, other than floor slabs, shall be kept moist for a period of at least 5 days by leaving the forms in place or by covering the exposed surfaces using moist rugs, cotton mats or other curing materials approved by the Engineer.

Concrete curbs, sidewalks, collars, and gutter depressions may be cured with a curing compound.

**PROTECTING CONCRETE**

**General.--**Concrete shall not be placed on frozen or frost covered surfaces.

Concrete shall be protected from damage due to rain, freezing or inclement weather, and shall be maintained at a temperature of not less than 4°C for 72 hours. When required by the Engineer, the Contractor shall provide a written outline of his proposed methods of protecting concrete.

Vehicles, equipment, or concentrated loads weighing more than 140 kg individually and material stockpiles weighing more than 240 $kg/m^2$ will not be permitted on the concrete within 10 calendar days after placing.

**SPECIAL TREATMENTS**

**Concrete hardener.--**Chemical concrete hardener shall be applied to the floor surfaces shown on the plans, prior to the application of concrete sealer. Surfaces shall be clean and dry before the application of hardener.

The solution shall be applied in accordance with the manufacturer's instructions.

After the hardener has dried, the surface shall be mopped with water to remove encrusted salts.

## SECTION 12-4. MASONRY

## 12-4.01 CONCRETE MASONRY UNITS

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work shall consist of constructing reinforced hollow concrete masonry units in accordance with the details shown on the plans and these special provisions.

**Related work.--**Water repellent coating shall be applied in accordance with the requirements specified under "Water Repellent Coating" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

Prefaced masonry units shall conform to the requirements specified under “Prefaced Masonry Units,” elsewhere in this Section 12-4.

### PERFORMANCE REQUIREMENTS

**Unit Strength**.--Provide masonry units that develop the following installed compressive strengths (f'm) at 28 days:

Based on net area f'm = 10.34 MPa

### SUBMITTALS

**Product data.--**Manufacturer’s descriptive data for each type of masonry unit, accessory, and other manufactured products shall be submitted for approval.

**Samples.--**Two samples of masonry units of each color and architectural finish shall be submitted for approval.

### QUALITY ASSURANCE

**Single source responsibility.--**Exposed masonry units of uniform color and texture shall be obtained from one manufacturer for each different product required for each continuous surface or visually related surfaces.

Mortar ingredients of uniform quality, including color for exposed masonry, shall be obtained from one manufacturer for each cementitious component and from one source and producer for each aggregate.

**Certificates of Compliance.--**Certificate of Compliance shall be furnished for masonry units, aggregate for grout and transit mixed grout in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### DELIVERY, HANDLING AND STORAGE.--

**Delivery.--**Masonry materials shall be delivered to the project in an undamaged condition.

**Storage and handling.--**Masonry units shall be stored and handled in order to prevent deterioration or damage due to moisture, temperature changes, contamination, corrosion or other causes.

### PART 2.- PRODUCTS

### CONCRETE MASONRY UNITS

**Concrete masonry units**

Concrete masonry units shall be nominal size, color and architectural finish as shown on plans; hollow load bearing, light weight or medium weight, Grade N, Type II, conforming to ASTM Designation: C 90; standard or open ended masonry units.

Special shapes shall be provided where required for lintels, corners, jambs, sash, control joints, headers, bonding and other special conditions.

## MORTAR AND GROUT MATERIALS

### Cement

Cement for mortar shall be Type II, low alkali portland cement conforming to ASTM Designation: C 150; or masonry cement conforming to ASTM Designation: C 91.

Cement for grout shall be Type II portland cement conforming to ASTM Designation: C 150 with maximum 15 percent Class N, F, or C mineral admixture conforming to ASTM Designation: C 618 except that the loss on ignition shall not exceed 4 percent; or Type IP(MS) blended hydraulic cement conforming to ASTM Designation: C 595.

### Aggregate

Aggregate for mortar shall conform to ASTM Designation: C 144, except not more than 10 percent shall pass the No. 100 sieve.

Aggregate for grout shall conform to ASTM Designation: C 404, except 100 percent of the coarse aggregate shall pass the 9.5 mm sieve. Soundness loss shall not exceed 10 percent as determined by California Test 214.

### Coloring for mortar

Coloring for mortar shall be chemically inert, fade resistant mineral oxide or synthetic type.

### Lime

Lime shall conform to ASTM Designation: C 207, Type S.

### Premixed mortar or grout

A premixed packaged blend of cement, lime, and sand, with or without color, that requires only water to prepare for use as masonry mortar or grout may be furnished. Packages of premix shall bear the manufacturer's name, brand, contents, weight, and color identification.

### Transit mixed grout

Transit mixed grout shall conform to ASTM Designation: C 94, except aggregate shall be as specified herein for aggregate for grout. The minimum compressive strength shall be 17236 kPa at 28 days when tested in accordance with ASTM Designation: C 39. Admixtures, if used, shall conform to ASTM Designation: C 494, Types A, E or F and shall not contain chlorides.

## REINFORCEMENT, TIES AND ANCHORING DEVICES

### Bar reinforcement

Bar reinforcement shall conform to ASTM Designation: A 615/A 615 M, Grade 60 [420], or ASTM Designation: A 706/A 706 M.

### Anchor bolts

Anchor bolts shall conform to ASTM Designation: A 36/A 6M with a minimum hook length of 6.2 diameters, and shall be 12 mm diameter unless otherwise shown on the plans.

### Anchors, ties, angles, and metal lath

Anchors, ties, angles, and metal lath shall be commercial quality, and shall be galvanized.

**Dry pack**

Dry pack to set items into masonry shall be one part portland cement to not over 3 parts of clean sand and with a minimum amount of water for hydration and packing.

**PROPORTIONING MORTAR AND GROUT**

**General.--**Mortar shall be proportioned by loose volume and shall have one part cement, one quarter part of hydrated lime and 2 1/4 to 3 parts aggregate. Mortar shall be tinted with coloring to match the masonry units.

Grout, except transit mixed and packaged premix grout, shall be proportioned by loose volume and shall have one part cement, not more than 1/10 part hydrated lime, 2 1/4 to 3 parts sand aggregate, and not more than 2 parts gravel aggregate.

Aggregate shall be measured in a damp loose condition.

Grout shall be mixed with sufficient water to produce a mix consistency suitable for pumping without segregation. Slump shall not exceed 229 mm.

## PART 3.- EXECUTION

**CONSTRUCTION**

**General.--**Masonry units shall be laid in running bond, except as otherwise shown on the plans.

Surfaces of metal, glass, wood, completed masonry, and other such materials exposed to view shall be protected from spillage, splatters and other deposits of cementitious materials from masonry construction. All such deposits shall be removed without damage to the materials or exposed surfaces.

Construction will comply with Section 2104, "Construction," of the CBC. Tolerances specified in Section 2104 shall be in affect unless otherwise shown on the plans.

Where fresh masonry joins concrete or masonry, the contact surfaces of existing material shall be roughened, cleaned and lightly wetted. The roughened surface shall be no smoother than a wood troweled surface. Cleaning shall remove laitance, curing compounds, debris, dirt and any substance which decreases bond to the fresh masonry.

Masonry shall not be erected when the ambient air temperature is below 5° C.

Surfaces of masonry erected when the ambient air temperature exceeds 38° C. shall be kept moist with water for a period of not less than 24 hours. Water shall be uniformly applied with a fog spray at the intervals required to keep the surfaces moist but not to exceed 3 hours unless otherwise approved by the Engineer.

All anchors, bolts, dowels, reglets and other miscellaneous items to be cast into the wall, shall be firmly secured in place before grout is poured.

Shoring for concrete masonry lintels shall remain in place a minimum of 15 days after the wall has been completed.

**Laying masonry units.--**Concrete masonry units shall be laid dry.

During laying of units all cells shall be kept dry in inclement weather by suitably covering incomplete walls. Wooden boards and planks shall not be used as covering materials. The covering shall extend down each side of masonry walls approximately 600 mm.

Chases shall be kept free from debris and mortar.

Bond beam units with an opening at each cross web shall be used at all horizontal reinforcing bars.

Where masonry unit cutting is necessary, all cuts shall be made with a masonry saw to neat and true lines. Blocks with excessive cracking or chipping of the finished surfaces exposed to view will not be acceptable.

**Lintels.--**Masonry lintels shall be as shown on the plans. Lintels shall be formed using U-shaped lintel units with reinforcing bars placed as shown on the plans. Formed-in-place lintels shall be temporarily supported.

**Bar reinforcement.--**Bar reinforcement shall be accurately positioned in the center of the cell and securely held in position with either wire ties or spacing devices near the ends of bars and at intervals not exceeding 192 bar diameters. Wire shall be 16-gage or heavier. Wooden, aluminum, or plastic spacing devices shall not be used. Tolerances for the placement of vertical reinforcement in walls and flexural elements shall be ± 12 mm. Tolerance for longitudinal reinforcement in walls shall be ±50 mm.

The minimum spacing for splices in vertical reinforcement for masonry walls shall be 1220 mm plus lap.

Bar reinforcement shall not be placed in the plane of mortar joints.

**Mortar.--**Mortar joints shall be approximately 9.5 mm wide. Units shall be laid with all head and bed joints filled solidly with mortar for the full width of masonry unit shell. Head joints shall be shoved tight. Exposed joints shall be concave, tooled smooth, unless otherwise shown on the plans.

Mortar that has been mixed more than one hour shall not be retempered.

Mortar placed in joints shall preserve the unobstructed vertical continuity of the concrete filling. Any overhanging mortar projecting more than 12 mm, or other obstruction or debris shall be removed from the inside of such cells.

**GROUTING**

**General.--**All cells shall be filled solidly with grout. All grout in the cells shall be consolidated at the time of placement by vibrating and reconsolidated after excess moisture has been absorbed but before plasticity is lost. Slicing with a trowel is not acceptable.

Masonry units may be placed full height of the masonry work before grouting, or they may be placed in increments for individual grout pours.

Cleanouts shall be provided for all grout pours over 1524 mm in height. Such cleanouts shall be provided in the bottom course at every cell containing vertical reinforcement. After cell inspection, the cleanouts shall be sealed before filling with grout.

Masonry units shall be placed full height of the grout pour. Grout shall be placed in a continuous pour in grout lifts not exceeding 1828 mm. The interruption between placing successive lifts of grout shall be not more than one hour.

Between grout pours, a horizontal construction joint shall be formed by stopping the grout a minimum of 38 mm below the top of the last course, except if the joint is at a bond beam, it shall be 12 mm below the top of the bond beam unit, or at the top of the wall.

**CLEANING AND PROTECTING MASONRY**

**General.--**Splashes, stains or spots on the faces of the masonry exposed to view shall be removed.

Completed masonry shall be protected from freezing for a period of at least 5 days.

**FIELD QUALITY CONTROL**

**General.--**The Contractor shall employ, at his own expense, a special inspector and testing laboratory to perform structural tests and inspections of masonry to verify that the construction conforms to the CBC in accordance with the requirements in Section 1701, “Special Inspections,” and Section 2105, “Quality Assurance,” of the CBC. The Contractor shall submit a written Field Quality Control Plan that identifies the inspector, the lab, and the procedures used. The Field Quality Control Plan shall conform to these specifications and the CBC. The Contractor's Field Quality Control Plan shall be submitted to the Engineer for approval. The Engineer shall have three weeks to approve the plan.

The Contractor shall designate in the Field Quality Control Plan a masonry Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for the quality of masonry, including materials and workmanship, performed by the Contractor and all subcontractors.

The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, and approving all correspondence, required submittals, and reports to and from the Engineer.

The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Masonry special inspection personnel or testing firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for the following conditions:

**Special Inspector**.--The special inspector shall be, as a minimum, an International Conference of Building Officials (ICBO) certified Special Masonry Inspector. The special masonry inspector shall perform the inspections required under Section 1701.5.7., "Structural masonry" of the CBC. The special inspector shall prepare a "Daily Field Report" providing information regarding the specific operations witnessed, including placing of masonry units and bar reinforcing, grouting, fabrication of test specimens, and other observations of importance to the work. A "Daily Field Report" is required for each day that the Special Inspector is on the jobsite. A copy of these reports shall be delivered to the Engineer on the day following the preparation. The special inspector shall submit a final signed report to the Engineer and Contractor stating whether the work requiring special inspection was, to the best of the inspector's knowledge, in conformance with the approved plans, specifications, and the applicable workmanship provisions of these specifications and the CBC.

**Testing**.--The testing laboratory shall comply with the requirements of ASTM Designation: E 329. Test results shall be reported in writing to the Engineer and the Contractor on the same day the tests are made. Testing shall be done in accordance with Section 2105.3,"Compliance with f'm" of the CBC. The Contractor can establish f'm by either Sections 2105.3.2, 2105.3.3, or 2105.3.4. A set of tests shall be done for each 465 $m^2$ of wall area, but not less than one test per project. Tests shall be performed on the following materials by the Unit Test Method as defined:

Concrete masonry units shall be tested in accordance with ASTM Designation: C 140.

Grout shall be tested in accordance with ASTM Designation: C 1019.

In addition:

Mortar shall be tested in accordance with UBC Standard: 21-16.

Any work not meeting the requirements of section 2105 shall be redone and retested. Sampling, inspecting, reworking and retesting of material will be done at the contractor's expense.

## SECTION 12-5. METALS

### 12-5.01 STRUCTURAL STEEL FOR BUILDINGS

### PART 1 - GENERAL

#### SUMMARY

Scope: This work shall consist of fabricating, assembling, furnishing, and erecting structural steel in accordance with the details shown on the plans and these special provisions.

Structural steel shall consist of the elements of the structural-steel frame, as classified by American Institute of Steel Construction (AISC) 303, "Code of Standard Practice for Steel Buildings and Bridges."

Source Quality Control: Materials and fabrication procedures are subject to inspection and tests in mill, shop and field, conducted by the Engineer or a qualified inspection agency. The Contractor or fabricator shall provide access to the Engineer or testing agency to places where the structural steel work is being fabricated or produced so that the required inspection and testing can be accomplished. Such inspections and tests will not relieve the Contractor of responsibility for providing materials and fabrication procedures in compliance with specified requirements. The testing agency may inspect the structural steel at the plant before shipment; however, the Engineer reserves the right, at any time before final acceptance to reject the material that does not conform to the contract requirements.

#### REFERENCES

Structural steel shall be fabricated, assembled, and erected in accordance with AISC, "Steel Construction Manual."

Welding shall be in accordance with American Welding Society (AWS) D1.1, "Structural Welding Code - Steel."

#### SUBMITTALS

Product Data: Product data for items to be incorporated into the work, including structural steel, high strength bolts, nuts and washers and alternative connectors, shall be submitted for approval.

Working Drawings:

Working drawings and calculations shall be submitted for approval.

Working drawings shall show any changes proposed in the work, details of connections and joints exposed to the weather, details for connections not dimensioned on the plans, the sequence of shop and field assembly and erection, welding sequences and procedures. If required, the location of butt welded splices on a layout drawing of the entire structure, and the location and details of any temporary supports that are to be used.

Calculations and working drawings for falsework to be used for the erection of structural steel shall be submitted for approval. The falsework shall be designed and constructed to provide the necessary rigidity and to support loads which will be applied. Working drawings and design calculations shall be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration shall be shown.

**CLOSEOUT SUBMITTALS**

Final Drawings:

At the completion of each building on the contract, one set of reduced prints on 27-kg (minimum) bond paper, 280 mm x 432 mm in size, of the corrected original tracings of all approved drawings for each building shall be furnished to the Engineer. An index prepared specifically for the drawings for each building containing sheet numbers and titles shall be included on the first reduced print in the set for each building. Reduced prints for each building shall be arranged in the order of drawing numbers shown in the index.

The edge of the corrected original tracing image shall be clearly visible and visually parallel with the edges of the page. A clear, legible symbol shall be provided on the upper left side of each page to show the amount of reduction and a horizontal and vertical scale shall be provided on each reduced print to facilitate enlargement to original scale.

**QUALITY ASSURANCE**

Qualifications for Welding: A certified copy of qualification test record for welders shall be submitted to the Engineer at the jobsite. Descriptive data for equipment for field welding structural steel, including type and electric power requirements, shall be submitted for approval.

Certificates of Compliance: Certificate of Compliance shall be furnished for structural steel products in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. Certificate of Compliance shall include mill test certificates for each heat number used in the work.

**DELIVERY, HANDLING AND STORAGE**

Structural materials shall be loaded, transported, unloaded, and stored so that they are kept clean and undamaged. Material shall be stored above ground on platforms, skids, or other supports. Covers and protection shall be provided to protect the materials from corrosion.

Anchorages and anchor bolts, which are to be embedded in concrete or masonry, shall be delivered in ample time to not delay the work.

## PART 2 - PRODUCTS

**MATERIALS**

Steel Bars, Plates, Channels, Angles, and Shapes (other than W-shapes): Steel bars, plates, channels, angles, and shapes shall conform to the following for each yield stress shown on the plans:

1. ASTM A 36/A 36M, when minimum yield stress is 250 Mpa.
2. ASTM A 572/A 572M, Grade 50, when minimum yield stress is 345 Mpa.

W-shapes: W-shapes shall conform to ASTM A 992/A 992M.

Pipe: Pipe shall conform to ASTM A 53/A 53M, Grade B, standard weight, unless otherwise shown on the plans.

Hollow Structural Sections: Hollow structural sections shall conform to the following for each yield stress shown on the plans:

1. ASTM A 501, when minimum yield stress is 250 Mpa.
2. ASTM A 500/A 500M, Grade B, when minimum yield stress is 290 Mpa for round shapes, and when minimum yield stress is 320 Mpa for square and rectangular shapes.
3. ASTM A 500/A 500M, Grade C, when minimum yield stress is 320 Mpa for round shapes, and when minimum yield stress is 345 Mpa for square and rectangular shapes.

Stud Connectors: Stud connectors shall conform to ASTM A 108, Grades 1018 through 1020, cold drawn, either semi- or fully kilned.

Anchor Bolts and Anchor Rods, Nuts and Washers:

Headed and unheaded anchor bolts and anchor rods shall conform to ASTM F 1554, Grade 36, when the grade is not shown on the plans. Headed and unheaded anchor bolts and anchor rods shall conform to the following when the grade is shown on the plans:

1. ASTM F 1554, Grade 55.
2. ASTM F 1554, Grade 105.

Nuts shall conform to ASTM A 563.

Washers bearing on wood surfaces shall be commercial quality. Washers bearing on steel surfaces shall conform to ASTM F 436.

Exposed anchor bolts and anchor rods, nuts and washers shall be hot-dipped galvanized.

Machine Bolts, Nuts, and Washers:

Machine bolts shall conform to ASTM A 307.

Nuts shall conform to ASTM A 563.

Washers for machine bolts shall be commercial quality.

High Strength (HS) Bolts, Nuts, and Washers:

High strength (HS) bolts shall conform to ASTM A 325 or A 490, as shown on the plans.

Nuts shall conform to ASTM A 563.

Washers shall conform to ASTM F 436.

Direct Tension Indicators: Direct tension indicators shall conform to ASTM F 959.

Tension Control Fasteners: Tension control bolts shall have a splined end extending beyond the threaded portion of the bolt and which shears off when the specified bolt tension is attained. Tension control fasteners shall conform to ASTM F 1852.

Mortar: Mortar shall consist of one part cement, measured by volume, to 2 parts clean sand and only enough water to permit placing and packing.

**FABRICATION**

Shop Fabrication and Assembly:

Workmanship and finish shall be equal to the best general practice in modern shops.

Cuts shall not deviate more than 2 mm from the intended line. Roughness, notches, or gouges shall be removed.

Bearing stiffeners at points of loading shall be square with the web and shall have at least 75 percent of the stiffener in contact with the flanges.

Finished members shall be true to line, shall have square corners and smooth bends, and shall be free from twists, kinks, warps, dents, and open joints.

Exposed edges and ends of metal shall be dressed smooth, with no sharp edges, and with corners slightly rounded.

Stud Connectors: Steel surfaces shall be prepared as recommended by the manufacturer of the stud connectors. Stud connectors shall be welded to the flanges of beams or girders as shown on the plans. Automatic end welding of headed stud connectors shall be in accordance with the manufacturer's instructions.

Connections:

Abutting surfaces at connections shall be clean.

Cutting and welding at the jobsite will not be allowed except as shown on the approved drawings or specifically approved by the Engineer.

Finished holes for bolts shall be cylindrical and perpendicular to the plane of the connection. Sub-punched and sub-drilled holes shall be 6 mm smaller in diameter than the diameter specified for the finished hole.

Bolted Connections:

Bolts for connecting steel to steel shall be machine bolts conforming to ASTM A 307 or high-strength bolts conforming to ASTM A 325 or A 490, as shown on the plans.

High-strength structural steel bolts, or equivalent fasteners, other bolts attached to structural steel, nuts, and washers shall be galvanized by mechanically deposited coating.

Holes for Other Work:

Holes for securing other work to structural steel and passage of other work through steel framing members shall be as shown on the approved drawings.

Threaded nuts or specialty items for securing other work to steel members shall be as shown on the approved drawings.

Holes shall be cut, drilled, or punched perpendicular to metal surfaces. Holes shall not be flame cut or enlarged by burning. Holes are to be drilled in bearing plates.

**SHOP PAINTING**

Structural steel members, except those to receive sprayed-fireproofing, shall be shop primed.

Cleaning and coating shall be in accordance with the requirements specified for the particular type of substrate material in Section 12-9, "Painting," of these special provisions.

Bolted Connections: Contact surfaces of high-strength bolted connections and ungalvanized anchorage assemblies shall be coated before assembly. The total thickness of primer on each surface shall be between 25 micrometers and 75 micrometers and may be applied in one application.

## PART 3 - EXECUTION

**ERECTION AND ASSEMBLY**

Field Splices:

Field splices shall be made only at the locations shown on approved working drawings.

The parts shall be accurately assembled in their final position as shown on the plans and in true alignment with related and adjoining work before final fastening.

All parts shall be supported adequately and at locations to provide a vibration free, rigid, and secure installation.

Bolted Connections:

All high strength bolted connections shall be made with high strength bolts installed with direct tension indicator washers or tension control fasteners.

When used, one mechanically galvanized direct tension washer shall be installed with each high strength bolt. Bolts shall be tightened until a direct tension indicator washer gap is 0.13 mm or less. A zero gap will not be cause for rejection.

During installation of tension control bolts, the torque required to turn the nut on the tension control bolt shall be counterbalanced by the torsion shear resistance of the splined end of the bolt.

The bolt head type and head location shall be consistent within a joint.

Nuts shall be on side of member least exposed to view.

Setting Bases and Bearing Plates:

Concrete and masonry surfaces shall be cleaned and roughened to improve bond. Bottom of base and bearing plates shall be clean.

Base plates and bearing plates for structural members shall be set on wedges or other adjusting devices.

Anchor bolts shall be wrench tightened after supported members have been positioned and plumbed.

Mortar shall be solidly packed between bearing surfaces and base or bearing plates to ensure that no voids remain. Exposed surfaces shall be finished and allowed to cure.

**FIELD PAINTING**

Touch-up Painting: After erection, the Contractor shall clean field welds, bolted connections, and abraded areas of shop primer and apply the same materials as applied for shop priming.

After erection, surfaces shall be coated with a second prime coat, and finish coats when specified, in accordance with the requirements specified under "Painting" in Section 12-9.

**QUALITY CONTROL**

Testing and inspection:

Ultrasonic examination shall be performed by the Contractor on at least 50 percent of all full penetration butt-welded splices in accordance with the requirements of AWS D1.1 and these special provisions.

Welding procedures and methods shall be subject to inspection for conformance with AWS D1.1.

Butt welds shall be tested in accordance with AWS D1.1, Chapter 6, Part C, Ultrasonic Testing of Groove Welds.

Examination, reporting and disposition of tests shall be in accordance with the provisions of 6.12, AWS D1.1.

In addition to ultrasonic examinations by the Contractor, welds may be subject to inspection or non-destructive testing by the Engineer.

When additional inspection or non-destructive testing is required by the Engineer, the Contractor shall provide sufficient access facilities in the shop and at the jobsite to permit the Engineer or his agent to perform such inspection and testing.

The Contractor shall correct all deficiencies in the structural steel work which inspections and laboratory test reports have indicated to be not in compliance with these special provisions. Additional tests shall be performed by the Contractor at the Contractor's expense to reconfirm any non-compliance of original work, and to show compliance of the corrected work.

## 12-5.02 BUILDING MISCELLANEOUS METAL

### PART 1 - GENERAL

Scope: This work shall consist of fabricating, furnishing, and installing building miscellaneous metal in accordance with the details shown on the plans and these special provisions.

Building miscellaneous metal shall consist of the following:

Bars, shapes, and plates

Including all anchors, fastenings, hardware, accessories, and other supplementary parts necessary to complete the work.

#### REFERENCES

Codes and Standards: Welding of steel shall be in accordance with American Welding Society (AWS) D 1.1, "Structural Welding Code - Steel" and D 1.3, “Structural Welding Code - Sheet Steel.”

#### SUBMITTALS

Product Data: Submit manufacturer's specifications, anchor details, and installation instructions for products used in miscellaneous metal fabrications.

Working Drawings: Working drawings of fabricated items shall be submitted for approval.

#### QUALITY ASSURANCE

Shop Assembly: Preassemble items in shop to the greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations. Clearly mark all units for reassembly and installation.

Inspection and Tests: Materials and fabrication procedures shall be subject to inspection and tests by the Engineer, in mill, shop, and field. Such tests will not relieve the Contractor of responsibility of providing materials and fabrication procedures in compliance with specified requirements.

### PART 2 - PRODUCTS

#### MATERIALS

Steel Bars, Plates, and Hot-rolled Shapes: Steel bars, plates, and hot-rolled shapes shall conform to ASTM A 36/A 36M.

Galvanized Sheet Steel: Galvanized sheet steel shall conform to ASTM A 653/A 653M, Grade 33. Galvanizing shall be G60.

Checkered Floor Plates: Checkered floor plates shall be commercial quality steel with standard raised pattern.

Pipe: Pipe shall be commercial quality standard steel pipe.

Hollow Structural Sections: Hollow structural sections shall conform to ASTM A 500/A 500M, Grade B, or A 501.

Bolts, Studs, Threaded Rods, Nuts, and Washers:

Bolts, studs, and threaded rods for general application shall conform to ASTM A 307 or F 1554, Grade 36.

Nuts shall conform to ASTM A 563.

Washers bearing on wood surfaces shall be commercial quality. Washers bearing on steel surfaces shall conform to ASTM F 844 or F 436.

Fittings: Brackets, bolt, threaded studs, nuts, washers, and other fittings for railings and handrailings shall be commercial quality pipe and fittings.

Expansion Anchors: Expansion anchors shall be ICC approved for the purpose intended, integral stud type anchor or internally threaded type with independent stud, hex nut, and washer.

Powder Driven Anchors: Powder driven anchors shall be plated, spring steel alloy drive pin or threaded stud type anchors for use in concrete or steel. Spring steel shall conform to ASTM A 227, Class 1. The diameter, length, and type of shank and the number and type of washer shall be as recommended by the manufacturer for the types and thickness of material being anchored or fastened.

Resin Capsule Anchors: Stud anchors for resin capsule anchors shall conform to ASTM A 307 or F 1554, Grade 36, threaded steel rod with hex nut and washer and sealed glass capsule or cartridge containing an adhesive composed of unsaturated polyester resin and benzol peroxide coated quartz sand. Resin capsule shall be Hilti; Molly; or equal.

Drainage Grates: Drainage grates shall be fabricated from steel bars as specified herein; ductile iron castings conforming to ASTM A 536, Grade 65-45-12; or carbon steel castings conforming to ASTM A 27, Grade 65-35.

Mortar: Mortar shall consist of one part cement, measured by volume, to 2 parts clean sand and only enough water to permit placing and packing.

**FABRICATION**

Workmanship and Finish:

Workmanship and finish shall be equal to the best general practice in modern shops.

Miscellaneous metal shall be clean and free from loose mill scale, flake rust and rust pitting, and shall be well formed and finished to shape and size with sharp lines and angles. Bends from shearing or punching shall be straightened.

The thickness of metal and details of assembly and support shall give ample strength and stiffness.

Built-up parts shall be true to line and without sharp bends, twists, and kinks. Exposed ends and edges of metal shall be milled or ground smooth, with corners slightly rounded.

Joints exposed to the weather shall be made up to exclude water.

Galvanizing: Items indicated on the plans to be galvanized shall be hot-dip galvanized after fabrication. The weight of galvanized coating shall be at least 460 grams per square meter of surface area, except drainage grates shall have at least 610 grams per square meter of surface area.

Painting: Building miscellaneous metal items that are not galvanized shall be cleaned and coated with one prime coat prior to erection in accordance with the requirements specified under "Painting" in Section 12-9 of these special provisions. After erection, surfaces shall be coated with a second prime coat, and finish coats when specified, in accordance with the requirements specified under "Painting" in Section 12-9.

Loose Bearing and Leveling Plates: Loose bearing and leveling plates shall be furnished for steel items bearing on masonry or concrete construction, made flat, free from warps or twists, and of required thickness and bearing area. Plates shall be drilled to receive anchor bolts. Galvanize after fabrication.

Drainage Pipes, Frames and Grates:

Drain piping shall have connections sealed watertight.

Drainage grates shall have end bars of the same cross section as support bars. Connections between end bars and support bars of structural steel shall be welded all around.

Drainage frames shall be angles and plates as shown on the plans.

Drainage grates and frames shall be match marked.

Steel Pipe Railings and Handrailings:

Pipe handrailing shall consist of handrailing elements supported by metal brackets (wall type) or handrailing elements supported by tubular steel posts (post type).

Ends of railing pipe shall be closed, except for a 3 mm diameter weep hole at the low point.

All corners on railings shall be rounded. Simple and compound curves shall be formed by bending pipe in jigs to produce uniform curvature; maintain cylindrical cross-section of pipe throughout the bend without buckling, twisting or otherwise deforming exposed surfaces of the pipe.

Wall brackets, end closures, flanges, miscellaneous fitting and anchors shall be provided for interconnections of pipe and attachment of railings and handrails to other work. Inserts and other anchorage devices shall be furnished for connecting railings and handrails to concrete or masonry.

Steel railing shall be galvanized after fabrication. After galvanizing, all elements of the railing shall be free of fins, abrasions, rough or sharp edges, and other surface defects and shall not be kinked, twisted, or bent.

## PART 3 - EXECUTION

### GENERAL

Anchorages:

Anchorage devices and fasteners shall be provided for securing miscellaneous metal in-place construction; including threaded fasteners for concrete and masonry inserts, toggle bolts, through bolts, lag bolts, wood screws, and other connectors.

Cutting, drilling, and fitting shall be performed as required for installation of miscellaneous metal fabrications. Work is to set accurately in location, alignment and elevation, plumb, level, true and free of rack, measured from established lines and levels.

Loose Leveling and Bearing Plates: Plates shall be set on wedges or other adjustable devices. Anchor bolts shall be wrench tightened after the plates have been positioned and plumbed. Mortar shall be packed solidly between bearing surfaces and plates to ensure that no voids remain.

Steel Pipe Railings and Handrailings:

Railings shall be adjusted prior to anchoring to ensure matching alignment at abutting joints. Secure posts and railing ends to building construction as shown on the plans.

Resin capsule anchors shall not to be used for anchoring railings and handrailings.

Powder Driven Anchors: Powder driven anchors shall be installed with low velocity powder actuated equipment in accordance with the manufacturer's instructions and State and Federal OSHA regulations.

Resin Capsule Anchors: Resin capsule anchors shall be installed in accordance with the manufacturer's instructions.

**DAMAGED SURFACES**

Galvanized surfaces that are abraded or damaged shall be repaired by thoroughly wire brushing the damaged areas and removing all loose and cracked coating. The clean areas shall then be painted with 2 spot applications of a coating conforming to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) and listed on MPI List Number 18, Primer, Zinc Rich, Organic.

## SECTION 12-6. WOOD AND PLASTICS

### 12-6.01 ROUGH CARPENTRY

#### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of furnishing and installing materials and performing rough carpentry work including wood framing, furring, and sheathing in accordance with the details shown on the plans and these special provisions.

Rough carpentry includes carpentry work not specified as part of other sections and which is generally not exposed.

**SUBMITTALS**

**Product Data.--**Manufacturer's material data and installation instructions shall be submitted for gypsum sheathing, framing hardware and underlayments.

**Wood treatment data.--**Chemical treatment manufacturer's instructions shall be submitted for the handling, sorting, installation, and finishing of treated materials.

For each type of preservative treatment used, certification by treating plant shall include type of preservative solution and pressure process used, net amount of preservative retained and conformance with the applicable standards of the American Wood Preservers Association.

For each type of fire-retardant treatment, include certification by treating plant that the treated material complies with the applicable standards and other requirements.

**DELIVERY, HANDLING AND STORAGE**

**Delivery and storage.--**Materials shall be kept under cover and dry. All materials shall be protected from exposure to weather and contact with damp or wet surfaces with blocking and stickers. All lumber, plywood and other panels shall be stacked in such a manner to provide air circulation within and around the stacks.

## PART 2.- PRODUCTS

### LUMBER

**General.--**Lumber shall be manufactured to comply with PS 20, "American Softwood Lumber Standard," and with applicable grading rules of inspection.

Softwood lumber shall be quality grade stamped or shall be accompanied by a certificate of inspection. Inspection certificates or grade stamps shall indicate compliance with the grading requirements of WWPA, WCLIB, RIS, or other approved lumber inspection agencies.

All lumber used shall be nominal sized and dressed S4S unless otherwise specified in these special provisions.

Framing lumber shall be solid stock lumber, Douglas Fir-Larch, and the grades indicated under WCLIB or WWPA rules. Moisture content shall not exceed 19 percent and shall be grade stamped "S-Dry."

### DIMENSION LUMBER

Except as otherwise shown on the plans, lumber shall have the following grades.

**Vertical framing lumber**

Vertical framing lumber, nominal 51 mm x 51 mm through 102 mm x 102 mm, shall be Construction grade or better.

Vertical framing lumber, nominal 51 mm x 152 mm through 102 mm x 152 mm shall be No. 2 or better.

**Horizontal framing lumber**

Horizontal framing lumber, nominal 51 mm x 102 mm and wider, including joists and rafters, shall be No. 2 or better.

Horizontal framing lumber, nominal 102 mm x 102 mm and wider, including joist and rafters, shall be No. 1 or better.

**Exposed framing lumber**

Exposed framing lumber which is not concealed and is to receive a stain or natural finish shall be the same grade and species as indicated for structural framing and hand selected for appearance.

**Miscellaneous lumber**

Miscellaneous lumber for support or attachment of other work including rooftop equipment curbs and support bases, cant strips, bucks, nailers, blocking, furring, grounds, stripping and similar members shall be not less than No. 2 or better.

Lumber in contact with concrete or masonry construction shall be pressure treated Douglas Fir-Larch.

### TIMBERS

**Timbers (nominal 127 mm or thicker)**

Timbers shall be No. 1 or better.

### PLYWOODPANELS

**General.—**Plywoodpanels shall comply with Voluntary Product Standard PS1, "U. S. Product Standard for Construction and Industrial Plywood."

Plywood panels shall be Group 1 unless otherwise noted.

Each plywood panel shall be factory marked with APA or other trademark evidencing compliance with grade requirements.

**Structural plywood wall sheathing**

Structural plywood wall sheathing for walls shall be APA RATED SHEATHING, Exposure 1. Thickness and grade shall be as shown on the plans.

**Structural plywood roof sheathing**

Structure plywood roof sheathing shall be APA RATED SHEATHING, Exposure 1. Span rating, thickness and grade shall be as shown on the plans.

Structure plywood roof sheathing in exposed overhangs shall be APA RATED SHEATHING, A-C, Exterior, Group 1. Thickness shall be the same as the remainder of the sheathing.

## MISCELLANEOUS MATERIALS

**Rough Carpentry Hardware**

Steel plates and rolled sections shall be mild, weldable steel, conforming to AISI grades 1016 through 1030 except 1017.

Nails, screws, bolts, nuts, washers shall be commercial quality. Exposed fasteners shall be hot dipped galvanized or stainless steel.

Joist hangers, clips and other standard framing hardware shall be ICBO approved, commercial quality, galvanized sheet steel or hot dipped galvanized, of the size shown on the plans.

Expansion anchors and powder driven anchors shall be as specified under "Building Miscellaneous Metal," in Section 12-5, "Metals," of these special provisions.

**Nails**

Nails shall conform to ASTM F 1667-95. "Common" nails shall conform to the following table:

| Nail Size | Length (mm) | Diameter (mm) |
|---|---|---|
| 8d | 63.5 | 3.33 |
| 10d | 76.2 | 3.76 |
| 16d | 88.9 | 4.11 |

**Building paper**

Building paper shall be kraft type waterproofing building paper, Type I (No. 15) asphalt saturated roofing felt or high density, bonded polyethylene fiber building paper.

**Adhesive**

Adhesive for plywood glue-nailed systems shall conform to APA Specification: AFG-01.

## WOOD TREATMENT BY PRESSURE PROCESS

**Preservative treatment**

Preservative treatment shall be copper napthenate, pentachlorophenol or water-borne arsenicals (ACA, CCA or ACZA).

The following items shall be treated:

Wood cants, nailers, curbs, equipment support bases, blocking, stripping and similar members in connection with roofing, flashing, vapor barriers and waterproofing.

Wood sills, sleepers, blocking, furring and other similar members in contact with concrete or masonry.

All holes, daps and cut ends of treated lumber shall be thoroughly swabbed with 2 applications of copper napthenate.

**Fire retardant treatment**

Fire retardant treatment shall be paintable, odorless fire retardant preservative applied by pressure treating methods.

### PART 3.- EXECUTION

### INSTALLATION

**Wood framing.--**Wood framing shall be in accordance with Chapter 23 of the California Building Code.

Framing members shall be of sizes and spacing shown on the plans. Unless otherwise shown on the plans, structural members shall not be spliced between supports.

Wood framing shall be accurately cut and assembled to provide closely fitted members. Framing shall be erected true to the lines and grades shown on the plans and shall be rigidly secured in place as shown and as required by recognized standards. Bracing shall be placed wherever necessary to support all loads on the structure during erection.

The size and spacing of fasteners and the edge distance for nails shall be as shown on the plans.

Nailing schedule shall be as shown on the plans and shall comply with the California Building Code.

Wall coverings exposed to the weather shall have a backing of building paper applied weatherboard fashion to the framing or sheathing. Backing shall be lapped 50 mm at horizontal joints, 152 mm at vertical joints and 305 mm at building corners.

**Plywood panels.--**Plywood panels shall be attached to the framing as shown on the plans and these special provisions. All structural plywood sheathing (both roof and wall) shall be nailed with "Common" nails.

Plywood sheathing shall be nailed to the framing system and shall be continuous over 2 or more supports. Roof and floor panels shall be installed with the long dimension across the supports, with end joints staggered 1.22 m. Wall sheathing shall have all edges blocked. Spacing between panels shall be 3 mm.

## 12-6.02 GLUED LAMINATED MEMBERS

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work consists of furnishing and erecting pre-engineered, factory fabricated glued laminated members, including beams, headers, in accordance with the details shown on the plans and these special provisions.

### SUBMITTALS

**Product data.--**Manufacturer's data, specifications and installation instructions for lumber, adhesives, fabrication process, preservative and fire-retardant treatment, accessories and protection shall be submitted for approval.

**Working drawings.--**Working drawings for glued laminated members shall be submitted for approval.

Working drawings shall include erection drawings, if required, and a location plan which shows the position and identification of each glued laminated member.

### QUALITY ASSURANCE

**Codes and standards.--**Glued laminated members, including beams and headers, shall conform to American National Standards Institute (ANSI) Standard A190.1, "Structural Glued Laminated Timber."

Glued laminated decking shall conform to American Standards Committee and Voluntary Standards PS 20.

**Factory marks.--**Glued laminated structural members shall be stamped with a APA EWS or similar mark which indicates that the member conforms to the requirements of ANSI Standard A190.1.

Such marks shall be placed on surfaces that will not be exposed in the completed work.

**Certificates of Compliance.--**Certificates of Compliance shall be furnished for glued laminated members in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

**DELIVERY, STORAGE, HANDLING**

**Protection.--**Water resistant wrapping on glued laminated members shall remain in place until units are erected.

Laminated members that are to be stored prior to erection shall be stored on blocks well off the ground with individual member separated for air circulation. Wrapping shall remain intact, lower side of wrapping shall be slit or punctured to permit drainage of water which may accumulate.

## PART 2.- PRODUCTS

**GLUED LAMINATED MEMBERS**

**Lumber.--**

Glued laminated members shall be engineered, stress rated, factory laminated structural members with adhesive for wet use. Unless otherwise shown on the plans, structural glued laminated timber members shall be Combination 24F-V8 DF/DF for all cantilever beams and Combination 24F-V4 DF/DF for simple beam spans in accordance with AITC 117, "Design, Standard Specifications for Structural Glued Laminated Timber of Softwood Species."

Exposed members shall be of Architectural Grade and non-exposed members shall be of Industrial Grade complying with AITC 110.

**Penetrating sealers**

Penetrating sealers shall be the manufacturers standard translucent penetrating sealer which will not interfere with the application of wood stain and transparent finish or paint finish as shown on the plans.

**Connectors, anchors, accessories**

Steel plates and rolled sections shall be mild, weldable steel, conforming to ASTM Designation: A 36.

Nails, screws, bolts, nuts, washers shall be commercial quality. Fasteners for galvanized hardware shall be hot-dip galvanized.

Joist hangers, clips and other standard framing hardware shall be commercial quality, galvanized sheet steel or hot dipped zinc coated, manufacturer's standard units for timber sizes indicated.

Expansion anchors and powder driven anchors shall be ICBO approved for the purpose intended.

**FABRICATION**

**General.--**Glue laminated members shall comply with ANSI/AITC A190.1 as indicated.

Members shall be shop-cut for connections and connecting hardware to greatest extent feasible, including drilling of bolt holes.

Members shall have location placement identification marks or symbols which correspond to the approved location plan and shall have stamps or marks which indicate the top of each member.

**Camber.--**Unless otherwise shown on the plans, the camber shall be the manufacturer's standard camber, but shall not exceed a 610 m radius.

**Preservative treatment.--**The entire surface of the members, including ends, shall be sealed with a penetrating sealer immediately following manufacture.

**GLUED LAMINATED DECKING**

**PART 3.- EXECUTION**

**INSTALLATION**

**General.--**Miscellaneous steel connectors, anchors and accessories shall be installed as shown on the plans.
Members shall be erected so that a close fit and neat appearance of joints and structure as a whole will not be impaired.
Padded or non-marring slings shall be used when hoisting members. Corners shall be protected with wood blocking.

## 12-6.03 FINISH CARPENTRY

**PART 1.- GENERAL**

**SUMMARY**

**Scope.--**This work consists of furnishing and installing materials and performing finish carpentry, including exterior and interior trim, plywood soffits and panels and plywood and softwood paneling, as shown on the plans and these special provisions.
Finish carpentry includes carpentry work not specified as part of other sections and which is generally exposed to view.

**SUBMITTALS**

**Product data.--**Manufacturer's specifications and installation instructions for each item of factory-fabricated siding and paneling.

**Samples.--**One sample shall be submitted to the Engineer at the jobsite for each species and cut or pattern of finish carpentry as shown below:

Plywood paneling - 610 mm long x full panel width, finished on one side.

**QUALITY ASSURANCE**

**Factory marks.--**Each piece of lumber and plywood shall be marked with type, grade, mill and grading agency identification. Marks shall be omitted from surfaces to receive transparent finish. A mill certificate stating that material has been inspected and graded in accordance with requirements shall be furnished if marks cannot be placed on concealed surfaces.

**PRODUCT DELIVERY, STORAGE AND HANDLING**

**Delivery.--**Carpentry materials shall be delivered after painting, wet work and similar operations have been completed.

**Protection.--**Finish carpentry materials shall be protected during transit, delivery, storage and handling to prevent damage, soiling and deterioration.

**PART 2.- PRODUCTS**

**WOOD PRODUCT QUALITY STANDARDS**

**Softwood lumber.--**Softwood lumber shall conform to the requirements of PS 20, "American Softwood Lumber Standard," with applicable grading rules of inspection.

**Plywood.--**Plywood shall conform to the requirements of Voluntary Products Standard PS-1, "U. S. Product Standard for Construction and Industrial Plywood."

**Hardwood lumber.--**Hardwood lumber shall conform to the requirements of the National Hardwood Lumber Association (NHLA) rules.

**Woodworking.--**Woodworking shall conform to the requirements of Woodwork Institute of California (WIC), "Manual of Millwork."

**MATERIALS**

**General.--**Lumber sizes indicated shall be nominal sizes except as indicated by detailed dimensions. Lumber which is to be dressed or worked and dressed shall be manufactured to the actual sizes as required by PS 20.

Lumber that is to receive a transparent finish (stained or clear) shall be made of solid lumber stock.

Lumber that is to be painted may be solid or glued-up lumber at the contractor's option.

Glued-up lumber for exterior finish work shall comply with PS 56 for "wet use" and be so certified by the inspection agency.

**Plywood paneling and wainscotting**

Plywood paneling and wainscotting shall be APA Interior Grade A-C, Group 1, Exposure 1 plywood. Thickness shall be as shown on the plans.

**Open shelving**

Open shelving shall be 19 mm Grade A-C fir plywood with veneer core and 13 mm thick solid stock pine edge banding glued and nailed.

**Miscellaneous Materials**

Nails, screws and other anchoring devices of the type, size, material and finish required shall be provided for secure attachment, concealed where possible.

Fasteners and anchorages for exterior use shall be hot dip galvanized.

Screens for soffit vents shall be 4 x 4 or 8 x 8 mesh, galvanized screen. Open area shall be not less than 50 percent.

**Preservative treatment**

Preservative treatment shall be copper napthenate, pentachlorophenol or water-borne arsenicals (ACA, CCA or ACZA).

Wood members, except those of redwood, in contact with mortar setting beds, concrete block walls, slab on grade and other concrete work, and wood used for roofing cant and curbs shall be pressure treated with leach resistant preservative. Each piece of pressure treated lumber shall bear the AWPA label.

All holes, daps, or cuts made after treating shall be thoroughly swabbed with copper napthenate

**Fire retardant treatment**

Fire retardant treatment shall be paintable, odorless fire retardant preservative applied by pressure treating methods.

## PART 3.- EXECUTION

**INSTALLATION**

**General.--**All work shall be installed plumb, level and true with no distortions.

**Standing and running trim.--**Standing and running trim shall be installed with minimum number of joints possible, using full length pieces to the greatest extent possible.

Exterior joints shall be made water-resistant by careful fitting.

**Anchor finish carpentry.--**Finish carpentry shall be anchored to framing or blocking built in or attached directly to the substrate.

Interior carpentry shall be attached to grounds, stripping and blocking with countersunk, concealed fasteners and blind nailing where required for complete installation. Fine finish nails shall be used for exposed nailing, countersunk and filled flush with finished surface and matching final finish where transparent finish is indicated.

Finish exterior siding shall be fastened with corrosion resistant nails. The size and spacing of the siding fasteners shall be as shown on the plans. Nails shall be driven flush with the surrounding surfaces, not countersunk. Nails shall be located in the grooves of grooved siding whenever possible.

**ADJUSTMENT, CLEANING, FINISHING AND PROTECTION**

**General.--**Damaged and defective finish carpentry work shall be repaired or replaced.

All exposed or semi-exposed surfaces shall be cleaned.

Finish carpentry shall be finished in accordance with the requirements specified under "Painting" in Section 12-9, "Finishes," of these special provisions.

## 12-6.04 CABINETS

### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of furnishing and installing a swinging gate, wood cabinets and plastic laminate tops, splashes and returns as shown on the plans and in these special provisions.

**SUBMITTALS**

**Product data.--**Manufacturer's product data for plastic laminates and cabinet hardware shall be submitted for approval.

**Samples.--**Three samples shall be submitted for each of the items shown below:

Lumber with or for transparent finish: 152 mm x 19 mm x 457 mm, finished on one side and one edge.

Wood veneer faced panel products, with or for transparent finish, finished, 203 mm x 254 mm.

Plastic laminate, 203 mm x 254 mm for each type, color, pattern and surface finish.

**Working drawings.--**Working drawings for cabinets showing location of cabinets, dimensioned plans and elevations, attachment devices and other components shall be submitted for approval. Working drawings shall bear the "WIC Certified Compliance Label" on the first sheet of the drawings.

**QUALITY ASSURANCE**

**Codes and standards.--**Cabinets and swinging gate shall be manufactured and installed in accordance with the Manual of Millwork of the Woodwork Institute of California (WIC) requirements for the grade or grades specified or shown on the plans.

**Certificates of Compliance.--**Prior to delivery to the jobsite, the cabinet manufacturer shall issue a WIC Certified Compliance Certificate indicating that the products he will furnish for this job and certifying that they will fully meet all the requirements of the grade or grades specified.

WIC Certified Compliance Label shall be stamped on all cabinet work and swinging gate.

Each plastic laminate top shall bear the WIC Certified Compliance Label.

Prior to completion of the contract, a WIC Certified Compliance Certificate for Installation shall be delivered to the Engineer.

**DELIVERY, STORAGE AND HANDLING**

**Protection.--**Cabinets shall be protected during transit, delivery, storage and handling to prevent damage, soiling and deterioration.

**PART 2.- PRODUCTS**

**ACCEPTABLE MANUFACTURERS**

**Manufacturers.--**Subject to compliance with these specifications, high pressure decorative laminates shall be Consoweld Corp.; Formica Corp.; Nevamar Corp.; or equal.

**MANUFACTURED UNITS**

**General.--**Cabinets shall be fabricated to the dimensions, profiles, and details shown on the plans with openings and mortises precut, where possible to receive hardware and other items and work.

Fabrication, assembly, finishing, hardware application, and other work shall be completed to the maximum extent possible prior to shipment to the jobsite.

**Wood cabinets for transparent finish**

Wood cabinets for transparent finish shall be custom grade, exposed face frame construction. Unless otherwise shown on the plans, exposed surfaces shall be natural birch. Wood for semi-exposed surfaces shall match the wood for exposed surfaces.

**Wood cabinets for opaque finish**

Wood cabinets for opaque finish shall be custom grade, exposed face frame construction. Exposed and semi-exposed surfaces shall be any close grained hardwood.

Postformed surfaces shall conform to PF-42 (1.07 mm nominal thickness).

**Laminated counter tops and splashes**

Laminated counter tops and splashes shall be WIC custom grade.

Surface material shall be high pressure laminated plastic conforming to NEMA LD-3, 1.27 mm thickness.

Unless otherwise shown on the plans, splashes shall be 102 mm high from the surface of the deck. Back splashes shall be continuous formed and coved. Side splashes shall be top set.

The underside of tops and backsides of splashes shall be covered with an approved backing sheet.

**Swinging gate**

Swinging gate shall conform to the requirements for WIC “Custom” grade, solid core wood door. Faces shall be paint grade hardwood veneer.

**CABINET HARDWARE AND ACCESSORY MATERIALS**

**General.--**Cabinet hardware and accessory materials shall be provided for cabinets.

Hardware shall be provided with standard chrome metal plated finish or sprayed finish where indicated.

**Drawer slides**

Drawer slides shall be side mounting full extension with fully enclosed rolling balls and rollers. Concealed slides and bearings, and positive stop. Capacity shall be not less than 35 kg, except capacity shall be not less than 45 kg for heavy duty drawers.

**Door guides**

Sliding door guides shall be continuous, dual channel, metal guides, top and bottom. Bottom guide shall have crowned track.

**Shelf supports**

Shelf supports shall be adjustable, semi-recessed, chrome finished pressed metal, heavy duty standards and support clip, with one inch adjustment increments.

**Cabinet hinges**

Cabinet hinges shall be steel. Length of jamb leaf shall be 64 mm. The type of hinge shall be as shown on the plans.
Cabinet hinge manufacturers shall be Stanley, Hager, McKinney, or equal.

**Cabinet catches**

Cabinet catches shall be self aligning magnetic type in aluminum case with zinc plated steel strike.
Cabinet catch manufacturers shall be Stanley, Hager, McKinney, or equal.

**Cabinet pulls**

Cabinet pulls shall be 8 mm diameter rod, with 33 mm projection and 75 mm center to center fastening.
Cabinet pull manufacturers shall be Stanley, Hager, McKinney, or equal.

**Countertop Edges**

Countertop edges shall be integrally molded, semi-soft polyurethane. Countertop edges shall be continuous (4876 mm maximum) and shaped as shown on the drawings. Countertop edges shall be colored as shown on the drawings.

**Swinging gate hardware**

Swinging gate hardware shall consist of spring pivot, bolt type latch, and wall bumper.

Spring pivot shall be mortise ball bearing pivot with adjustable spring tension and flat jamb gate brackets.

Wall bumper shall be not less than 64 mm in diameter with a 25 mm projection.

**FABRICATION**

**Shop assembly.--**Nails shall be countersunk and the holes filled, molds shall be neatly mitered and all joints shall be tight and true.

As far as practicable, work shall be assembled at the mill and delivered to the building ready to be set in place. Parts shall be smoothly dressed and interior work shall be belt sanded at the mill and hand sanded at the building. After assembly, work shall be cleaned and made ready for the specified finish.

Veneer sequence matching shall be maintained of cabinets with transparent finish.

All work shall be prepared to receive finish hardware. Finish hardware shall be accurately fitted and securely fastened as recommended by the manufacturer. Finish hardware shall not be fastened with adhesives.

Drawers shall be fitted with dust covers of 6 mm plywood or hardboard above compartments and drawers except where located directly under tops.

**Precut openings.--**Openings for hardware, appliances, plumbing fixtures, and similar items shall be precut where possible. Openings shall be accurately located and templates used for proper size and shape. Edges of cutouts shall be smoothed and edges sealed with a water-resistant coating.

### PART 3.- EXECUTION

### INSTALLATION

**Cabinets.--**Cabinets shall be installed without distortion so that doors and drawers fit openings properly and are accurately aligned. Hardware shall be adjusted to center doors and drawers in openings and to provide unencumbered operation. Installation of hardware and accessory items shall be completed as indicated on the approved drawings.

**Laminate tops.--**Laminate tops shall be securely fastened to base units and other support systems as indicated on the approved drawings.

**Cabinet hardware.--**Doors for cabinets shall be equipped with one pair of hinges and one catch per leaf, unless otherwise shown on the plans. Each door leaf shall be equipped with one pull.

Drawers up to 610 mm wide shall have one pull.

## SECTION 12-7. THERMAL AND MOISTURE PROTECTION

## 12-7.01 BITUMINOUS WATERPROOFING

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work shall consist of furnishing and applying a bituminous waterproofing membrane in accordance with the details shown on the plans and these special provisions.

Bituminous waterproofing membrane shall consist of a coating of primer, a bonded, continuous membrane composed of 2 layers of asphalt saturated glass fabric and 3 moppings of waterproofing asphalt.

### SUBMITTALS

**Product data.--**Manufacturer's descriptive data, installation instructions and recommendations for each waterproofing material shall be submitted for approval.

### QUALITY ASSURANCE

**General.--**The Contractor shall obtain primary materials from a single manufacturer. Secondary materials shall be only as recommended by the primary manufacturer.

**Labels.--**Materials shall be furnished which have factory applied labels affixed to each container or roll of material certifying compliance with ASTM standards specified.

### PART 2.- PRODUCTS

**Asphalt primer**

Asphalt primer shall be cut-back type conforming to ASTM Designation: D 41.

**Waterproofing asphalt**

Waterproofing asphalt shall conform to ASTM Designation: D 449, Type I, suitable for vertical surfaces below grade.

**Glass fiber fabric**

Glass fiber fabric shall conform to ASTM Designation: D 1668, Type I, for woven glass fabric treated with asphalt and weighs about 0.73 kg per 10 square meters.

**Plastic cement**

Plastic cement shall be suitable for use with bituminous materials.

**Protection board**

Protection board shall be organic fiberboard treated for resistance to fungus and insects, asphalt impregnated and asphalt coated on both faces; 513 mm thick unless otherwise noted.

### PART 3.- EXECUTION

### PREPARATION

**Protection.--**Liquid or mastic compounds shall not be permitted to enter or clog drains and conductors. Spillage or migration onto other surfaces of work shall be prevented by masking or otherwise protect adjoining work.

**Surface Preparation.--**All concrete surfaces which are to be waterproofed shall be reasonably smooth and free from holes and projections which might puncture the membrane. The surface shall be dry and thoroughly cleaned of dust and loose materials.

The primer shall be applied to the surface and allowed to dry before applying asphalt.

### INSTALLATION

**General.--**Installation shall comply with manufacturer's instructions, except where more stringent requirements are shown or specified, and except where proper conditions require extra precautions or provisions to ensure satisfactory performance of work.

**Application.--**No primer or asphalt shall be applied in wet weather, nor when the temperature is below 18°C. Heating shall be in accordance with the manufacturer's instructions.

Multiply-courses of bitumen and felts or fabrics shall be installed in individual courses, unless manufacturer recommends shingle-fashion courses. Courses shall be laid in direction or directions recommended.

Membrane shall be extended as flashing at edges, openings and projections, so as to complete waterproof enclosure as required for leakproof installation.

**Protection board.--**Protection board shall be set into last course of asphalt before it cools.

## 12-7.02 SHEET WATERPROOFING

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work shall consist of furnishing and installing a premolded bituminous sheet waterproofing system in accordance with the details shown on the plans and these special provisions.

The premolded membrane waterproofing system shall consist of an adhesive or primer coating, a premolded bituminous sheet, mastic for sealing the edges of the sheet, and a protective covering attached to the exposed bituminous sheet.

### SUBMITTALS

**Product data.--**Manufacturer's descriptive data, installation instructions and recommendations for each waterproofing material shall be submitted for approval.

## QUALITY ASSURANCE

**Single source responsibility.--**Primary waterproofing materials shall be obtained from a single manufacturer and secondary materials shall be only as recommended by the manufacturer of the primary materials.

**Labels.--**Materials shall be furnished which have factory-applied labels affixed to each container or roll of material certifying compliance with ASTM standards specified.

## PART 2.- PRODUCTS

### Premolded membrane

Preformed membrane shall be premolded sheets of bitumen and other compounds, laminated between bituminous saturated felts, reinforced with glass fiber of similar fabrics or mats, coated with bitumen and covered with plastic anti-stick film. Vapor transmission rating shall be not more than 0.005 grains per square meter per hour when tested in accordance with ASTM Designation E 96 and weight approximately 29 kg per 10 meters square.

### Adhesives

Adhesives shall be types of adhesive compounds and tapes recommended by the waterproofing manufacturer, for bonding to substrate, for waterproof sealing of seams in membrane and for waterproof joints between membrane and flashings, adjoining surfaces and projections through membrane.

### Primer

Primer shall be type of concrete primer recommended by the manufacturer of the sheet waterproofing.

### Protective covering

Protective covering shall be hardboard, 3 mm thick or such other material that will furnish equivalent protection to the preformed membrane. Protective covering shall prevent cutting, scratching, depression or any other damage to the membrane caused by concrete, backfill material or equipment.

## PART 3.- EXECUTION

**General.--**Preformed membrane waterproofing shall not be applied to any surface until the Contractor is prepared to follow its application with the placing of the protective covering and concrete or backfill within a sufficiently short time that the membrane will not be damaged by workers, equipment, exposure to weathering or from any other cause. Damaged membrane or protective covering shall be repaired or replaced by the Contractor at his expense.

## PREPARATION

**Surface preparation.--**All surfaces which are to receive waterproofing shall be reasonably smooth and free from holes and projections which might puncture the membrane. The surface shall be dry and thoroughly cleaned of dust and loose materials.

**Prime coat.--**The primer shall be applied in one coat to the entire area to be sealed by spray or roller methods. The rate shall be as recommended by the primer manufacturer.

All primers shall be thoroughly mixed and continuously agitated during application. Primers and adhesive shall be allowed to dry to a tack free condition prior to placing membrane sheets.

## INSTALLATION

**Application --**Preformed membrane material shall be placed vertically with each successive sheet lapped to the preceding by a minimum of 75 mm. Horizontal splices shall be lapped by a minimum of 152 mm.

Exposed edges of membrane sheets shall have a trowelled bead of manufacturer's recommended mastic applied after the membrane is placed.

Holes or tears in the preformed membrane sheeting shall be patched with an additional layer of membrane sheet of sufficient size to provide a 127 mm minimum lap outside the edge of the defect.

**Protective covering.--**The surface of the waterproofing membrane shall be cleaned free of all dirt and other deleterious material before the protective covering is placed.

The protective covering shall be placed on a coating of adhesive of a type recommended by the manufacturer. The adhesive shall be applied at a rate sufficient to hold the protective covering in position until the concrete or backfill is placed.

## 12-7.03 WATER REPELLENT COATING

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and applying water repellent coating to concrete or masonry surfaces in accordance with the details shown on the plans and these special provisions.

The water repellent coating shall be applied to all exterior concrete or masonry surfaces and exposed aggregate surfaces as shown on the plans.

#### SUBMITTALS

**Product data.--**Manufacturer's descriptive data, application instructions and general recommendations for water repellents shall be submitted for approval.

#### QUALITY ASSURANCE

**Codes and standards.--**Water repellent coatings shall comply with all rules and regulations concerning air pollution in the State of California.

**Certificates of Compliance.--**Certificates of Compliance shall be furnished with each shipment of water repellent coating materials in accordance with Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### PART 2.- PRODUCTS

#### Water repellent coating

Water repellent coating shall be clear, colorless, water-based sealer. Water repellent coating shall be Hydrozo Inc., Clear Double 7; Euclid Chemical Co., Architectural Seal VOX; Tamms Industries Co., Chemstop; or equal.

### PART 3.- EXECUTION

**Preparation.--**All surfaces to receive water repellent coating shall be dry and cleaned by removing contaminants that block pores of the surface. Cleaning methods shall be as recommended by the water repellent manufacturer.

**Application.--**The water repellent solution shall be applied in accordance with the manufacturer’s printed instructions

The time period between applications of water repellent coating shall be not less than 24 hours.

**Protection.--**Surfaces of other materials surrounding or near the surfaces to receive the water repellent coating shall be protected from overspray or spillage from the waterproofing operation. Water repellent coating applied to surfaces not intended to be waterproofed shall be removed and the surfaces restored to their original condition.

## 12-7.04 INSULATION (GENERAL)

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and installing insulation in accordance with the details shown on the plans and these special provisions.

Insulation materials shall be as specified in these special provisions, and shall be compatible with existing or new materials incorporated in the building.

#### SUBMITTALS

**Product data.--**A list of materials, manufacturer's descriptive data, location schedule, and time schedule shall be submitted for approval.

The list of materials to be used shall include the trade name, manufacturer's name, smoke developed and flame spread classification, resistance rating and thickness for the insulation materials and accessories.

**Schedules.--**A location schedule and time schedule shall be submitted for approval.

The location schedule shall show where each material is to be installed.

The Contractor shall provide the Engineer at the jobsite with an accurate time schedule of the areas of the building to be insulated each day. The time schedule shall be submitted 3 working days in advance of the work.

**Samples.--**Samples of insulation material shall be submitted to the Engineer at the jobsite.

#### QUALITY ASSURANCE

**Codes and standards.--**All insulating materials shall be certified to comply with the California Quality Standards for Insulating Materials and shall be listed in the Department of Consumer Affairs publication "Consumer Guide and Directory of Certified Insulation Material."

#### DELIVERY, STORAGE AND HANDLING

**General.--**Insulating materials shall be delivered to the jobsite and stored in a safe dry location with labels intact and legible.

Insulating materials shall be protected from physical damage and from becoming wet or soiled.

In the event of damage, materials shall be repaired or replaced as necessary to comply with these specifications.

### PART 2.- PRODUCTS (Not applicable.)

### PART 3.- EXECUTION (Not applicable.)

## 12-7.05 BATT AND BLANKET INSULATION

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and installing batt or blanket insulation in accordance with the details shown on the plans and these special provisions.

Batt insulation shall include faced and unfaced batts in walls and ceilings, acoustical batts for sound control and exposed batt or blanket insulation for ceilings and walls.

## QUALITY ASSURANCE

**Laminator's qualifications.--**Laminator for bonding polyethylene vapor-retarder to insulating batts shall be approved by the insulation manufacturer.

The name of the laminator shall be submitted with the Product Data.

**Codes and standards.--**All batt or blanket insulation, including facings such as vapor barriers, shall have a flame-spread rating not to exceed 25 and a smoke density not to exceed 450 when tested in accordance with ASTM E-84.

The flame-spread and smoke density limitations do not apply to facings on batt insulation installed between ceiling joists, or in roof-ceiling or wall cavities, provided the facing is installed in substantial contact with the surface of the ceiling or wall finish.

# PART 2.- PRODUCTS

## INSULATING MATERIALS

**General.--**Fiberglass batts shall be thermal insulation produced by combining glass fibers with thermosetting resins to comply with ASTM Designation: C 665.

**Wall insulation.--**

Wall insulation shall be R-3.3 K• $m^2$/W fiberglass batts with paper-laminate vapor-retarder membrane on one face. Insulation shall conform to ASTM Designation: C 665, Type II, Class C.

**Acoustical insulation**

Acoustical insulation shall be 89 mm, unfaced fiberglass insulation batts. Insulation shall conform to ASTM Designation: C 665, Type I.

## VAPOR-RETARDERS

**Paper-laminate vapor-retarder**

Paper-laminate vapor-retarder shall be kraft paper sheets laminated together with asphalt or other vapor retarding compounds, scrim reinforced at edges of sheets.

## FABRICATION

**General.--**Polyethylene shall be factory laminated to fiberglass batts or blankets by an applicator approved by the manufacturer of the batts or blankets.

# PART 3.- EXECUTION

## INSTALLATION

**General.--**The vapor retarder on faced batts shall be toward the interior and shall be fastened to provide a sealed retarder. Punctures and holes in the retarder shall be repaired.

Unless otherwise shown on the plans or specified elsewhere in these special provisions, insulation shall be kept 75 mm to 100 mm clear of lighting fixtures and heat producing electrical appliances and equipment.

**Installing batt type insulation.--**Insulation batts shall be installed to completely fill the space between framing members. Apply a single layer of insulation of required thickness, unless otherwise shown on the plans or required to make up total thickness. Installation shall conform to the manufacturer's recommendations and these special provisions.

**Installing exposed insulation.--**Exposed insulation shall be installed on impaling pins adhered to the substrate at 406 mm centers each direction with a minimum distance of 102 mm to the edge of the batt. Retainer clips shall be placed onto the pins so that the batt is slightly compressed. Pins shall be cut within 13 mm of the retaining clips and protective rubber caps placed on the ends of the pins.

When line wire is shown on the plans, blankets shall be supported with line wire spaced at 405 mm on center.

Joints in exposed insulation shall be sealed by lapping not less than 100 mm. Exposed insulation shall be fastened to framing at top, end and bottom, at perimeter of wall openings and at lap joints.

Overlapping joints shall be sealed with insulation adhesives as recommended by vapor retarder manufacturer's printed directions. Butt joints and fastener penetrations shall be sealed with insulation tape of the type recommended by the vapor retarder manufacturer. Joints at pipes, conduits, electrical boxes and similar items penetrating the vapor retarder shall be sealed.

## 12-7.06 SINGLE-PLY ROOFING (THERMOPLASTIC)

### PART 1.--GENERAL

#### SUMMARY

**Scope.**--This work shall consist of furnishing and installing fully adhered single-ply sheet roofing system in accordance with the details shown on the plans and these special provisions.

The roof system shall include rigid insulation, membrane, bonding adhesive, flashing, fasteners and other materials required, but not necessarily mentioned, which provide a complete and waterproof assembly meeting the performance requirements specified herein.

#### PERFORMANCE REQUIREMENTS

**General.—**The roof cover assembly shall prevent the passage of water; and resist specified uplift pressures, thermally induced movement, and exposure to weather without failure. Roofing materials shall be compatible with one another under conditions of service and application required, as demonstrated by roofing membrane manufacturer based on testing and field experience.

**Fire Safety.--**The roof covering assembly shall comply with ASTM E 108 Class 1A or UL 790 Class A classification; and be listed as part of Fire-Classified roof deck construction in the UL RMSD or Class I roof deck construction in the FM P7825. UL approved components of the roof covering assembly shall bear the UL label.

**Wind Uplift.--**The roof covering assembly shall be rated Class I-90 in accordance with FM P7825 capable of withstanding an uplift pressure of 4.30 kilopascals per square.

#### SUBMITTALS

**Product data.—** Manufacturer's descriptive data, Factory Mutual test reports, product specifications, storage requirements and installation instructions shall be submitted for approval.

**Shop drawings.**--Shop drawings for roofing system shall include plans, elevations, sections, details, and attachments to other work. Include base flashings, membrane terminations, and insulation fastening pattern.

**Samples.**--Three samples of the following products shall be submitted for approval:

Roofing sheet of color specified, 300-by-300 mm square, including T-shaped side and end lap seam.

Roof insulation, 300-by-300 mm square.

## QUALITY ASSURANCE

**Certificates of Compliance.--**Certificates of Compliance shall be furnished for the roofing membrane in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

**Single source responsibility.--**Roofing materials shall be obtained from a single manufacturer. Secondary materials shall be as recommended by the thermoplastic roofing manufacturer.

**Installer's qualification.--**Membrane roofing installer shall be approved and certified by the thermoplastic roofing manufacturer as qualified to install this type of roofing. A copy of the manufacturer's certification shall be given to the Engineer prior to the installation of any roofing materials.

**Pre-roofing conference.—**After approval of submittals and prior to installation of roofing or associated work, the Contractor shall convene a pre-roofing conference with the installer, roofing manufacturer and the Engineer. Discussions and agreements shall be recorded and copies furnished to each participant. Advance notice of the meeting shall be given in writing to each participant at least 72 hours prior to the meeting.

## PROJECT CONDITIONS

**Weather.—**The Contractor shall not install membrane sheet roofing during high winds or inclement weather, or when there is ice, frost, moisture, or visible dampness on the substrate surface. Unless recommended otherwise by the membrane sheet manufacture, do not install membrane sheet when air temperature is below 4 degrees C of the dewpoint.

Roofing work shall proceed when existing and forecasted weather conditions permit the work to be performed in accordance with the manufacturer’s recommendations and warranty requirements.

## DELIVERY, STORAGE AND HANDLING

**Delivery, storage and handling.--**Materials shall be delivered to the job site in manufacturer's original unopened packages clearly labeled with manufacturer's name and identification numbers. Materials shall be stored in strict accordance with the manufacturer's printed storage requirements. Material shall be handled in such a manner as to prevent damage and premature curing.

## WARRANTY

The Contractor shall furnish the membrane sheet manufacturer’s 15 year warranty for the roofing system, including insulation, flashings, and accessories. The warranty shall run directly to the Department.

The warranty shall state that: When within the warranty period the membrane sheet roofing system becomes nonwatertight, splits, tears, or separates at the seams because of defective materials and workmanship, the repair or replacement of defective materials and correction of defective workmanship shall be the responsibility of the roofing manufacturer; When the manufacturer or the manufacturer’s approved applicator fail to perform repairs within 72 hours of notification, emergency repairs performed by others will not void the warranty. Damage to the thermoplastic roofing system caused by sustained winds having a velocity of 90 kilometers per hour or less is covered by the warranty.

# PART 2.- PRODUCTS

## GENERAL

**Performance.--**Roofing materials shall be provided which are recognized to be of generic type indicated and tested to show compliance with indicated performance.

**Compatibility.--**Products which are recommended by the manufacturer shall be fully compatible with the substrates used.

## MANUFACTURERS

**Available manufacturers.--**Subject to compliance with the specifications, manufacturers offering products which may be incorporated into the work include, but are not limited to, Flex Membrane International, Cooley Engineered Membrane; Firestone Building Products Company, or equal.

## MATERIALS

### Membrane

Roofing membrane shall be thermoplastic elvaloy alloy of keytone ethylene ester (KEE), polyester reinforced, 1.1 mm (45 mil) nominal thickness for fully adhered application.. Width and length of sheet shall be as recommended by the manufacturer. Color shall be white. Thermoplastic sheet shall have the following values when tested for the listed properties in accordance with the listed test methods:

| Property | Value | Test Method |
|---|---|---|
| Thickness, min., mm | 1.02 | ASTM D 75 |
| Breaking Strength, min., N | 668 | ASTM D 751 A-Grab Method |
| Elongation, min., percent | 15 | ASTM D 751 A-Grab Method |
| Tear Strength, min., N (Tongue tear 200 by 200 mm sample) | 267 | ASTM D 751 |
| Low Temperature Bend at-40 degrees C | Pass | ASTM D 2136 |
| Linear Dimension Change, max. percent (1 hour at 100 degrees C) | 2.0 | ASTM D 1204 |
| Ply Adhesion, min., N/m | .452 | ASTM D 413 Machine Method |
| Hydrostatic Resistance, min., MPa | 1.21 | ASTM D 751 Method A |
| Ozone Resistance (2 x Magnification) | No cracks | ASTM D 1149 Bent Loop Method, Method B Exposure Method D5181 |
| Accelerated Weather Resistance, 5,000 Hours (7 x Magnification) | No cracking or crazing, or discoloration negligible | ASTM D 2565 Type BH2 or ASTM G 53 Type UVB3 |
| Permeance, max., perms | 0.055 | ASTM E 96, Procedure BW |

### Auxiliary materials

#### Bonding Adhesive

Bonding adhesive shall be manufacturer's standard water based type for membrane, and solvent-based type for base flashings.

### Flashing and flashing accessories

Flashing, including perimeter flashing, flashing around roof penetrations, shall be thermoplastic alloy coated metal, thermoplastic membrane, or membrane premolded corners or boots for use around penetrations as recommended as standard by the membrane sheet manufacturer's printed instructions. Thermoplastic alloy coated metal base flashings shall be field fabricated where required. Sheet metal base flashings that will contact the membrane shall be turned under 13 mm to form a hem.

### Miscellaneous accessories

Miscellaneous items shall include but not be limited to pourable sealers, preformed cone and vent sheet flashings, preformed inside and outside corner sheet flashings, T-joint covers, termination reglets, fasteners, cover strips and pressure treated nailer strips.

### Rigid roof insulation

Rigid roof insulation shall be multilayer, preformed board roof insulation having thermal resistance or thickness as shown on the plans.

**Polyisocyanurate Board.—**Material shall conform to ASTM C 1289, Type II, fibrous felt or glass mat membrane both sides, minimum compressive strength shall be 140 kPa.

**Protection board**

Protection board shall be glass mat gypsum roof board comforming to ASTM C 1177, 0 flame spread and 0 smoke developed in accordance with ASTM E 84, compressive strength shall be a minimum of 3450 kPa.

**Insulation tape**

Insulation tape shall be as recommended by the insulation manufacturer.

**Wood nailers**

Wood nailers shall be douglas fir, pressure treated.

## PART 3.- EXECUTION

### PREPARATION

**General.--**The roof deck substrate shall be completely installed prior to installation of the roofing membrane. The roof deck surface shall be swept clean and be free of sharp edges, cracks, debris, oil and grease and otherwise suitably prepared to accept the roofing membrane.

**EXAMINATION**.—The Contractor shall examine substrates, areas, and conditions, with installer present, for compliance with the following requirements and other conditions affecting performance of roofing system:

Verify that roof openings and penetrations are in place and set and braced and that roof drains are securely clamped in place.

Verify that wood blocking, curbs, and nailers are securely anchored to roof deck at penetrations and terminations and that nailers match thicknesses of insulation.

### INSTALLATION

**Insulation installation**

The Contractor shall coordinate installing membrane roofing system components so insulation is not exposed to precipitation or left exposed at the end of the workday.

The membrane roofing system shall comply with manufacturer's written instructions for installing roof insulation.

The Contractor shall Install one or more layers of insulation under area of roofing to achieve required thickness. Where overall insulation thickness is 50 mm or greater, 2 or more layers shall be installed with joints of each succeeding layer staggered from joints of previous layer a minimum of 150 mm in each direction.

Surface of insulation shall be trimmed where necessary at roof drains so completed surface is flush and does not restrict flow of water.

The Contractor shall install insulation with long joints of insulation in a continuous straight line with end joints staggered between rows, abutting edges and ends between boards. Gaps exceeding 6 mm shall be filled with insulation.

The Contractor shall cut and fit insulation within 6 mm of nailers, projections, and penetrations.

**Mechanically Fastened Insulation.--**Each layer of insulation shall be installed and secured to deck using mechanical fasteners specifically designed and sized for fastening specified board-type roof insulation to deck type.

Insulation shall be installed according to requirements in FMG's "Approval Guide" for specified performance requirements.

Insulation shall be installed to resist uplift pressure at corners, perimeter, and field of roof.

Protection boards shall be installed over insulation with long joints in continuous straight lines with end joints staggered between rows. Stagger joints from joints in insulation below a minimum of 150 mm in each direction. Loosely butt cover boards together and fasten to roof deck.

Fastening shall meet the requirements of FMG's "Approval Guide" for specified performance requirements.

**Adhered roofing membrane installation**

**General.--**Roofing membrane shall be installed over area to receive roofing according to membrane roofing system manufacturer's written instructions. Unroll roofing membrane and allow to relax before installing.

Sheet shall be installed according to ASTM D 5036.

The Contractor shall start installation of roofing membrane in presence of membrane roofing system manufacturer's technical personnel.

Roofing membrane shall be accurately aligned and uniform side and end laps maintained of minimum dimensions required by manufacturer. Stagger end laps.

**Bonding Adhesive--**Bonding adhesive shall be applied to substrate and underside of roofing membrane at rate required by manufacturer and allow to partially dry before installing roofing membrane. Bonding adhesive shall not be applied to splice area of roofing membrane.

Roofing membrane shall be adhesively fastened securely at terminations, penetrations, and perimeter of roofing.

Roofing membrane shall be applied with side laps shingled with slope of roof deck where possible.

Seam areas, overlap roofing membrane, and hot-air weld side and end laps of roofing membrane shall be cleaned according to manufacturer's written instructions to ensure a watertight seam installation.

Lap edges shall be tested with probe to verify seam weld continuity. Apply lap sealant to seal cut edges of roofing membrane.

Field strength of seams shall be verified a minimum of twice daily and repair seam sample areas.

Tears, voids, and lapped seams in roofing membrane shall be repaired that do not meet requirements.

Sealant or mastic bed shall be spread over deck drain flange at deck drains and securely seal roofing membrane in place with clamping ring.

### Flashing installation

The Contractor shall install thermoplastic alloy coated metal base prior to installing thermoplastic membrane. Install flashing in accordance with printed application instructions of membrane sheet manufacturer. Fully adhere membrane base flashing to substrate using bonding adhesive. Extend base flashing not less than 200 mm above roofing surface. Where membrane flashing terminates under a metal reglet, caulk reglet with polyurethane or poly sulfide sealant. Provide premolded corners to complete flashings of curbs, parapets, and other vertical surfaces and prefabricated pipe boots for pipe penetrations where possible. Do not use pitch pockets.

### Expansion joints installation

Prefabricated covers or membrane flashing shall be installed over the expansion joints in accordance with membrane sheet manufacturer’s printed instructions.

## FIELD QUALITY CONTROL

### Final Roof Inspection

The Contractor shall arrange for roofing system manufacturer's technical personnel to inspect roofing installation on completion and submit report to the Engineer.

The Contractor shall notify the Engineer 48 hours in advance of date and time of inspection.

Repair or removal and replacement components of membrane roofing system shall be conducted where test results or inspections indicate that they do not comply with specified requirements.

The Contractor shall perform additional testing and inspecting, at Contractor's expense, to determine compliance of replaced or additional work with specified requirements.

## PROTECTING AND CLEANING

Membrane roofing system shall be protected from damage and wear during remainder of construction period. When remaining construction will not affect or endanger roofing, inspect roofing for deterioration and damage, describing its nature and extent in a written report, with copies to Architect and Owner.

The Contractor shall correct deficiencies in or remove in the membrane roofing system that do not comply with requirements. Repair substrates and repair or reinstall membrane roofing system to a condition free of damage and deterioration at time of Substantial Completion and according to warranty requirements.

Overspray and spillage shall be cleaned from adjacent construction using cleaning agents and procedures recommended by manufacturer of affected construction.

## 12-7.07 METAL SIDING

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and installing manufactured metal siding panels, in accordance with the details shown on the plans and these special provisions.

Metal siding system shall consist of underlayment, prefinished metal siding panels, gutters, downspouts, fasteners, sealants, and accessories and components, not mentioned, which are required for a complete, securely fastened and weathertight installation.

#### SYSTEM DESCRIPTION

**Design Requirements.--**The siding system shall conform to the wind design requirements for uplift or outward pressures in accordance with Chapter 16 of the CBC for the wind speed and exposure shown on the plans.

#### SUBMITTALS

**Product Data.--**Manufacturer's technical product data, installation instructions, and recommendations for each type of sheathing material shall be submitted for approval.

Product data shall include the manufacturer's name and a complete material description of all components of the metal sheathing system.

**Samples.--**Material samples shall include a 305 mm x 305 mm sample of the siding panels for each color to be installed and a sample of each anchor clip and fastening device.

**Working Drawings.--**Working drawings showing the layout and details of the roofing and siding system shall be submitted for approval.

Working drawings shall include the shape, size, thickness, and method of attachment for each component used in the work; the layout and spacing of fasteners; details of connections and closures; and details for expansion joints and weathertight joints.

Design calculations for the fastening system of the roof and wall panels with the substrate shown on the plans shall be submitted to verify compliance with the design requirements.

Working drawings and design calculations shall be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration shall be shown. The Engineer’s signature shall be original.

#### QUALITY ASSURANCE

**Certificates of Compliance.--**Certificates of compliance shall be furnished for the metal sheathing system in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

#### DELIVERY, HANDLING AND STORAGE

**Delivery and handling.--**Metal panels shall be protected against damage and discoloration.

**Storage.--**Metal panels shall be stored above ground, with one end elevated for drainage and protected against standing water and condensation between adjacent surfaces.

## PART 2.- PRODUCTS

### MATERIALS

### SHEET MATERIALS

**Base metal.--**

Base metal shall be cold formed, 0.71 mm (24-gage), galvanized sheet steel conforming to ASTM Designation: A 653/A 653M, Grade 33 [230] with G90 [Z275] coating, except where a higher strength is required for performance, extra smooth; or cold formed aluminum-zinc alloy-coated, commercial quality, sheet steel conforming to ASTM Designation: A 792/A 792M, Grade 40 [275] with G90 [Z275] coating, extra smooth.

**Configuration**

Metal siding system shall have symmetrical sine wave shaped corrugated ribs spaced 70 mm on center. The depth of the ribs shall be approximately 22 mm.

### METAL FINISHES

**General.--**Coatings shall be applied before or after forming and fabricating panels, as required for maximum coating performance capability.

Colors or color matches shall be as shown on the plans or, if not otherwise shown, shall be as selected by the Engineer from the manufacturer's standard color palette.

**Fluoropolymer coating**

Finish shall be the manufacturer's standard Kynar coating with a baked on primer (0.005 mm) and a finish coat of 0.02 mm nominal for a total dry film thickness of approximately 0.025 mm nominal.

### MISCELLANEOUS METAL SHAPES

**Flashings, gutters, and downspouts**

Flashings, gutters, and downspouts shall be formed from the same material, gage and in the same finish as the metal siding panels.

**Perforated soffit**

Perforated soffit shall be formed from the same material, gage and in the same finish as the metal sheathing panels.

### MISCELLANEOUS MATERIALS

**Fastener clips**

Fastener clips shall be noncorrosive, ferrous metal fasteners as recommended by the metal panel system manufacturer to resist the design loads.

**Fasteners**

Fasteners shall be as recommended by the metal panel system manufacturer. Sheet metal screws shall not be used except to fasten trim and flashings.

**Underlayment**

Underlayment shall be as recommended by the metal panel system manufacturer.

**Sealant and sealant tape**

Sealant and sealant tape shall be as recommended by the panel system manufacturer.

**Closures**

Closures shall be rubber, neoprene, closed cell plastic or prefinished metal.

**FABRICATION**

**General.--**Unless otherwise shown on the plans, wall panels shall be fabricated in continuous lengths for the length of the wall except such length shall not exceed the manufacturer's maximum production length.

Unless otherwise shown on the plans, or specified herein, siding panels shall be fabricated in continuous lengths for the height of the structure, from eaves to sill, except such length shall not exceed the manufacturer's maximum production length.

Flashings shall be fabricated in the longest practical lengths.

Siding panels shall be factory formed. Field formed panels are not acceptable.

## PART 3.- EXECUTION

**INSTALLATION**

**Underlayment.--**The roof and siding panels shall be installed over underlayment. Underlayment shall be laid parallel to the eaves, shingle fashion with 152 mm edge laps and 305 mm end laps and shall be fastened as recommended by the metal roofing system manufacturer.

**Siding panels.--**The siding shall be installed and fastened in accordance with the details shown on the plans and the approved working drawings. Cutting and fitting shall present a neat and true appearance with exposed burrs removed. Openings through wall panels shall be cut square and shall be reinforced as recommended by the metal roofing system manufacturer.

Metal panels shall be adjusted in place and properly aligned for the detailed conditions before fastening. Panels shall not be warped, bowed or twisted. The surface finish on the panels shall not be cracked, blemished or otherwise damaged.

Fasteners shall be installed per metal panel manufacturer's recommendations.

**Miscellaneous metal shapes.--**Trim, fascia, flashings, gutters, downspouts, scuppers, caps, and other prefinished metal work shall be positioned to the correct alignment for each detailed condition. Metal work shall be securely attached to backing construction using fasteners at the spacing shown on approved working drawings. Prefinished metal to be installed over concrete, masonry or plaster shall be back-coated with asphaltic paint as recommended by the metal siding system manufacturer.

Metal panels, trim, gutters, and other prefinished metal that are marred, punctured, incorrectly bent, or incorrectly installed will be considered damaged and shall be replaced with undamaged units.

Gutters shall be fabricated by the metal panel system manufacturer to the shape and lengths shown on the plans. Expansion joints shall conform to the manufacturer's recommendations and to SMACNA "Architectural Sheet Metal Manual."

The metal panel system shall be installed weathertight. Closures shall be tight fitting and shall be provided at the ends of panels, at the boundary of the wall, and as indicated on the approved working drawings.

**CLEAN UP AND CLOSE OUT**

**Clean up.--**Adjacent surfaces shall be protected during the siding system installation and sealant work. Excess sealant shall be removed as the installation progresses.

Roof panels, molding, trim, and other prefinished metal surfaces shall be cleaned after installation as recommended by the manufacturer. Exposed cuts shall be touched-up with a matching durable primer and paint as recommended by the metal siding system manufacturer.

**Touch up.--**Damaged paint surfaces shall be touched up by using an air dry touch up paint supplied by the metal siding system manufacturer. Only a small brush shall be used for touching up. No spraying of touch up paint is to be performed.

**Damaged units.--**Panels and other components of the work which have been damaged or have deteriorated beyond successful repair shall be removed and replaced.

## 12-7.08 SHEET METAL FLASHING

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of fabricating, furnishing and installing sheet metal flashing in accordance with the details shown on the plans and these special provisions.

Sheet metal shall include metal flashings, counterflashings, straps, gutters, downspouts, roof jacks, gravel stops, reglets, copings, scuppers, conductor heads, and screen type vents.

**Alternatives.--**Premolded roof flashings may be used in lieu of sheet metal flashings where shown on the plans.

#### QUALITY ASSURANCE

**Codes and standards.--**Sheet metal work shall in accordance with the requirements in the latest edition of the Sheet Metal and Air Conditioning Contractors National Association (SMACNA) "Standard Practice in Architectural Sheet Metal Work."

### PART 2.- PRODUCTS

#### MATERIALS

**Galvanized sheet steel**

Galvanized sheet steel shall conform to ASTM Designation: A 653/A 653M with G 90 [Z275] coating, not less than 0.71 mm (24-gage), unless otherwise shown on the plans. Surfaces to be painted shall not have factory coatings on galvanizing that cannot be removed by paint thinner.

**Sheet aluminum**

Sheet aluminum shall be not less than 0.81 mm thick, mill finish, 3003-H14 alloy, conforming to ASTM Designation: B 209M.

**Sheet lead**

Sheet lead shall be not less than 1.6 mm thick, conforming to ASTM Designation: B 749.

**Premolded roof flashing**

Premolded flashing shall be premolded neoprene or ethylene propylene diene monomer (EPDM) flashing, resistant to ozone and ultraviolet. Units shall have overlapping tab to flash the seam.

**Hardware and fastenings**

Hardware and fastening for premolded roof flashings shall be stainless steel.

**Solder**

Solder shall conform to ASTM Designation: B 32, Alloy Grade Sn50.

**Soldering flux**

Soldering flux shall be acid type, conforming to Federal Specification: O-F-506C, Type I, Form A.

**Insect screen**

Insect screen shall be industrial wire cloth and screen, medium grade, 18 mesh, 0.43 mm diameter, 1 mm openings, plain weave, galvanized steel conforming to ASTM Designation: E 437.

**Lap joint sealant**

Lap joint sealant for concealed locations shall be a non-drying butyl.

**Flashing cement**

Flashing cement shall be a bituminous plastic cement, asbestos free, conforming to ASTM Designation: D 4586, Type II.

**Sealant**

Sealant for exposed locations shall be a silicone sealant conforming to ASTM Designation: C 920.

**Primer**

Primer shall be as recommended by the sealant manufacturer.

**Coal tar paint**

Coal tar paint shall be coal-tar epoxy coating conforming to U.S. Corps of Engineers Specification: C-200 or Steel Structures Painting Council Paint Specification: SSPC-16-68T.

**FABRICATION**

**General.--**Sheet metal shall be assembled to Sheet Metal and Air Conditioning Contractors National Association Standards.

Sheet metal shall be formed to the sizes, shapes and dimensions shown on the plans or as specified herein with angles and lines straight, sharp and in true alignment. The number of joints shall be kept to a minimum.

Angle bends and folds for interlocking the metal shall be made with full regard for expansion and contraction to avoid buckling or fullness in the metal after it is installed.

Joints in sheet metal work shall be closed watertight unless slip joints are specifically required. Watertight joints shall be mechanically interlocked and then thoroughly soldered for metals other than aluminum. Watertight joints in aluminum or between aluminum and other metals shall be sealed with acrylic sealant.

Sheet metal joints to be soldered shall be cleaned with steel wool or other means, pre-tinned and soldered watertight.

All joints shall be wiped clean of flux after soldering. Acid flux shall be neutralized by washing the joints with sodium bicarbonate.

Flashings shall have a 45 degree drip return at bottom edges. Unless otherwise shown on the plans, counterflashing shall extend not less than 100 mm over roofing or other materials protected by the counterflashing and shall be arranged so that roofing or materials can be repaired without damage to the counterflashing. Where reglets are indicated, counterflashing shall be fastened by lead wedges or snap-in flashing.

## PART 3.- EXECUTION

**PREPARATION.--**Surfaces to receive sheet metal shall be clean, smooth and free from defects.

**PROTECTION.--**Aluminum surfaces to be in contact with concrete, mortar, or dissimilar metals shall be given a heavy coat of coal tar paint.

**INSTALLATION**

**Roof penetration flashings.--**All pipes, ducts, vents and flues passing through roofs shall be made waterproof with flashings of storm collars or counterflashings.

Roof penetration flashings shall be fabricated from galvanized sheet steel, not less than 0.71 mm (24-gage). Size and shape shall be as shown on the plans.

On built-up roofing, 2 flashings shall be furnished for each pipe, vent or flue through roof. Flashings shall be constructed so that the lower flashing shall sit directly on the roof deck, with the top flashing set over it on top of the roof felts.

The lower flashing shall be galvanized sheet metal, 0.71 mm (24-gage), and extend 150 mm minimum from outside of the pipe in all directions and 38 mm above the top of the roofing.

The top flashing shall be galvanized sheet steel or sheet lead as shown on the plans.

**Downspouts.--**Downspouts shall be fabricated from galvanized sheet steel, not less than 0.71 mm (24-gage). Size and shape shall be as shown on the plans.

Downspouts shall be installed as shown on the plans, secured to the wall with straps near top, bottom and at intermediate points not more than 2.4 meters apart. Straps shall extend 50 mm out on wall and be secured with suitable anchors.

Unless otherwise shown on the plans, the lower end of downspout shall terminate with mitered 45 degree elbow.

**Scuppers.--**Scuppers shall be fabricated from galvanized sheet steel, not less than 0.71 mm (24-gage). Size and shape shall be as shown on the plans.

**Premolded roof flashings.--**Premolded roof flashings shall be installed in accordance with the manufacturer's instructions.

## 12-7.09 SEALANTS AND CAULKING

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and applying sealants and caulking which are required for this project, but not specified elsewhere, in accordance with the details shown on the plans and these special provisions.

**Related work.--**Pourable polyurethane joint sealant shall conform to the requirements under "Joint Sealant" elsewhere in this Section 12-7.

#### QUALITY ASSURANCE

**Certificates of Compliance.--**Certificates of compliance shall be furnished for the sealants and caulking in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

#### SUBMITTALS

**Product data.--**Manufacturer's descriptive data and installation instructions for all sealants shall be submitted for approval.

**Samples.--**Color samples of all sealants shall be submitted for approval. Unless otherwise shown on the plans, colors will be selected by the Engineer from the manufacturer's standard colors.

### PART 2.- PRODUCTS

#### MATERIALS

**General.--**All sealants, primers and accessories shall be non-staining to adjacent exposed surfaces. Products having similar applications and usage shall be of the same type and same manufacturer. Gun consistency compound shall be used unless otherwise required by the job conditions.

**Acrylic sealant**

Acrylic sealant shall be one compound, solvent release acrylic sealant.

**Butyl sealant**

Butyl sealant shall be one component, skinning type.

**Silicone sealant**

Silicone sealant shall be one component, low modulus building sealant. Sealant shall be tack-free in one hour, shall not sag or flow, shall be ozone resistant and capable of 100 percent extension without failure.

**Joint sealant**

Joint sealant shall be a two-part, non sag polysulfide base, synthetic rubber sealant formulated from liquid polysulfide polymer.

**Backer rod**

Backer rod shall be round, open or closed cell polyurethane. Backer rod shall be sized such that it must be compressed between 25 and 75 percent of its uncompressed diameter during installation in the joint.

**Neoprene**

Neoprene shall conform to the requirements of ASTM Designation: C 542.

**PART 3.- EXECUTION**

**APPLICATION**

**General.--**Unless otherwise shown on the plans, sealants shall be applied in accordance with the manufacturer's instructions.

Silicone sealants shall not be used in locations where painting is required.

Butyl sealants shall not be used in exterior applications, and acrylic sealants shall not be used in interior applications.

Sealants shall be applied in a continuous operation for the full length of the joint. Immediately following the application of the sealant, the sealant shall be tooled smooth using a tool similar to that used to produce concave masonry joints. Following tooling, the sealant shall remain undisturbed for not less than 48 hours.

## SECTION 12-8. DOORS AND WINDOWS

### 12-8.01 HINGED DOORS

**GENERAL.--**This work shall consist of furnishing and installing hinged doors and frames in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data, installation instructions for fire rated assemblies and a door schedule shall be submitted for approval. The door schedule shall include a description of the type, location and size of each door and frame.

**PRODUCTS**

**Metal door**

Metal door shall be flush, seamless steel door factory prepared and reinforced to receive hardware and having cold rolled stretcher leveled sheet steel face sheets not less than 1.2 mm thick (18-gage). Face sheets shall be bonded with thermosetting adhesive to rigid board honeycomb or precured foam core; or face sheets shall be welded to all parts of an assembled grid of cold formed pressed metal stiffeners and framing members located around edges, ends, openings and at all locations necessary to prevent buckling of face sheets. Seams shall be tack welded, filled and ground smooth. Bottom edge and internal stiffeners of grid type core shall have moisture vents. Welds on exposed surfaces shall be ground smooth. Louvered or glazed openings shall be provided where shown on the plans.

Door shall be cleaned and treated by the bonderized process or approved phosphatizing process and then given one factory application of metal protective rust inhibitive primer. Primer shall not contain lead type pigments.

**Glazing for doors**

Glazing for doors shall be safety glass as specified under "Glazing" in Section 12-8, "Doors and Windows," of these special provisions. Glazing shall be not less than 5 mm thick.

**Door louvers**

Door louvers shall be inverted V-type factory primed, galvanized sheet steel louvers. Exterior door louvers shall not be removable from outside of the building. Louvers at exterior doors shall have inside mounted bronze insect screens.

**Pressed metal frame**

Pressed metal frame shall be not less than 1.5 mm thick (16-gage) sheet steel with integral stop, mitered corners, face welded and ground smooth corners. Frames shall be reinforced for all hardware and shall be cleaned and treated by the bonderized process or an approved phosphatizing process and then given one factory application of metal protective rust inhibitive primer. Primer shall not contain lead type pigments.

Frames for fire rated doors shall be listed for the same rating shown on the plans for fire rated doors.

**Sealants**

Sealants shall be ultraviolet and ozone resistant, gun grade polysulfide or polyurethane, multicomponent, Federal Specification: TT-S-227.

**EXECUTION**

**INSTALLATION.--**Doors and frames shall be installed rigidly, securely, plumb and true and in such a manner that the doors operate freely without rubbing or binding. Clearance between frame and door shall be not more than 3 mm. The exterior frame shall be sealed weathertight.

Pressed metal frames shall be secured with clips and anchors as shown on the plans.

Fire rated assemblies shall be installed according to the manufacturer's recommendations.

Fire rated assemblies shall include doors, door frames, automatic smoke-actuated closers, self-closing mechanisms, panic hardware, wire glass, and fire rated louvers. Assemblies shall be approved by the California State Fire Marshal.

**PAINTING.--**Except for the primer application specified herein, doors and frames shall be cleaned, prepared and painted in accordance with the requirements specified under "Painting" in Section 12-9, "Finishes," of these special provisions.

## 12-8.02 BULLET RESISTANT CURTAIN WALL SYSTEM

### PART 1.- GENERAL

**SCOPE.--**This work shall consist of furnishing and installing bullet resistant curtain wall and entrance doors, including aluminum door frames, complete with all hardware and accessories necessary for a complete weathertight and operable installation, in accordance with the details shown on the plans and these special provisions.

Bullet resistant curtain wall system shall include bullet resistant frames, bullet resistant glass, glazing accessories, fasteners, and frame anchors and such other components, not mentioned, but required for a rigid, secure, weatherproof, and complete installation.

**Related work.--**Sealants shall conform to the requirements specified under "Sealants and Caulking, Section 12-7, "Thermal and Moisture Protection," of these special provisions.

**SYSTEM PERFORMANCE**

**Thermal movement.—**System shall be capable of withstanding thermal movements resulting from an ambient temperature range of 49° C that cause buckling or cracking , opening of joints, undue stress on fasteners, or other detrimental effects.

**Curtain wall design requirements.--**The curtain wall system shall be designed to withstand a 2 kilopascals wind load in either direction. Deflections shall be shall be limited to a maximum of 1/175 of the span and an allowable stress with a safety factor of 1.65 when tested in accordance with ASTM Designation: E 330, or verified by design calculations.

The curtain wall system shall be designed to withstand a vertical self weight of at least 670 pascals.

When tested in accordance with ASTM Designation: E 283, air infiltration shall not exceed 2 cubic centimeters per minute per square centimeters of fixed area.

When tested in accordance with ASTM Designation: E 331, there shall be no water penetration at a test pressure of 380 pascals

System shall be certified to meet Level 2 ballistic rating when tested in accordance with UL 752, testing for ballistic resistance for High Powered Small Arms (HPSA).

**Entrance door design requirements.—**Entrance doors shall be tested for resistance to corner racking as follows:

Test section shall consist of a standard top door corner assembly. Side rail section shall be 600 mm long and top rail shall be 300 mm long.

The top rail shall be anchored to a test bench so that the corner protrudes 75 mm beyond the bench edge.

Lever arm shall be anchored positively to the side rail at a point 483 mm from the inside edge of the top rail. Weight support pad shall be attached at a point 483 mm from the inner edge of the side rail.

Test section shall withstand a load of 1.1 kN on the lever arm before reaching the point of failure , which shall be considered a rotation of the lever arm in excess of 45 degrees.

Single doors when tested in accordance with ASTM Designation: E 283 at a pressure differential of 75 Pa, the air infiltration shall not exceed .05 cubic meters per minute per linear meter of perimeter crack.

**SUBMITTALS**

**Product data.--**Manufacturer's descriptive data, a detailed list of glazing materials, installation instructions shall be submitted for approval.

Manufacturer’s descriptive data shall include fabrication methods, finishing, hardware, and accessories.

**Working drawings.--**Working drawings and design calculations for fabrication and installation of curtain wall framing shall be submitted for approval.

Working drawing shall include elevations, detailed sections, anchorages, and glazing details.

Working drawings and design calculations shall be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration shall be shown.

**Samples.--**Three samples for each of the following materials shall be submitted for approval. Material samples shall include a glass sample not less than 152 mm square, frame samples of perimeter and intermediate mullion not less than 305 mm in length, and fastener samples of each type of fastener to be used in the installation.

**QUALITY ASSURANCE**

**Certificates of Compliance.--**Certificates of Compliance shall be furnished for bullet resistant curtain walls in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

**Single source responsibility. –**Curtain wall, entrance doors, and accessories shall be obtained from a single manufacturer.

**Manufacturer.--**The manufacturer shall be responsible for the fabrication of the complete bullet resistant curtain wall system, including the bullet resistant frames, the bullet resistant glass, and the anchorage to the structure.

## DELIVERY, STORAGE AND HANDLING

**General.--**Framing members shall be delivered to the site in undamaged condition and stored off the ground in a well drained location, protected from damage, and easily accessible for inspection and handling. Covers shall be provided to protect the materials from corrosion.

Framing members shall be handled in such a manner as to prevent damage due to bending and warping.

## PART 2.- PRODUCTS

### Bullet resistant frames

Bullet resistant frames shall be manufacturer's standard framing, prefinished extruded 6063-T5 aluminum alloy with the ballistics requirements to resist UL 752 Level 2, high power small arms. Frames shall have all edges closed tightly together with full welded mitered joints and with all welds dressed smooth and flush.

Vertical and horizontal framing members shall have a nominal face dimension of 2 inches. Overall depth shall be a nominal 4 1/2 inches.

### Entrance doors

Entrance doors shall be prefinished extruded 6063 – T5 aluminum alloy, wide stile type.

### Hinges

Hinges shall be single acting top, intermediate, and bottom offset pivot ball bearing hinges.

### Bullet resistant glass

Bullet resistant glass shall be multiple laminated glass fused to plastic interlayers; 32 mm minimum thickness; UL rated for super power level 2 small arms.

A low emissivity coat film shall be sandwiched between two plastic interlayers in the laminated glass assembly in accordance with the manufacturer's recommendations.

Bullet resistant glass shall approximately meet the following performance criteria:

| | |
|---|---|
| Max. U-value | 1.00 |
| Daylight transmittance | 68 % |
| Exterior daylight reflectance | 8 % |
| Interior daylight reflectance | 8 % |
| Shading coefficient | 0.50 |
| Ultraviolet blockage | 99 % |

### Glazing seals, compounds, setting blocks, shims, tapes, and spacers

Seals, caulks, putties, setting blocks, shims, tapes, compression seals, felt, spacers, and channels shall be top grade, commercial quality, as recommended by the glass or sheet manufacturer and shall conform to the requirements in the publications of the Flat Glass Marketing Association.

### Anchors, accessories, and fasteners

Anchors, accessories and fasteners shall be noncorrosive metals compatible with aluminum and steel. Exposed finish shall match adjacent surfaces.

### Sealant

Sealant shall be as recommended by the manufacturer. Sealant color shall match framing color.

**FABRICATION**

**General.--**The framing system shall provide for flush glazing on all sides and no projecting stops. Framing members shall compatible with curtain wall framing in appearance.

Door stiles and rails shall be securely joined and reinforced be means of structural corner assemblies, accurately machined and fitted to hairline joinery that develops the members. Joints shall be factory sealed weathertight. Doors shall be adjustable vertically and front to back. All cut-out operation for door hardware operation shall be accurately made and reinforced at the factory. Glass stops for doors shall be snap-on type non-stretch neoprene beads.

Entrance door hardware, unless otherwise shown on the plans, shall be provided and installed as part of the work. Hardware shall be factory installed as practicable.

**FINISHES**

**General.--**Exposed areas of all components shall be factory finished with a polyvinylidene fluoride finish over primer as recommended by the manufacturer to provide a minimum one mil dry film thickness. The polyvinylidene fluoride finish shall consist of 2 color coats with a clear topcoat. The paint finish shall be oven baked. For color, see color schedule in drawings.

**PART 3.- EXECUTION**

**Protection.—**Materials shall be delivered to the site of the work in original, unopened, unbroken containers, wrappings, or bags with labels intact and legible. Labels shall show the product name, brand, manufacturer, direction, color, and quality, all of which shall be legible at the time of use.

Materials and accessories shall be undamaged and shall be carefully stored off the ground to provide proper ventilation, drainage, and protection against weather, dampness, staining, soiling contamination, deterioration, chipping, and breakage.

**Installation.--**Bullet resistant curtain walls shall be installed in accordance with the manufacturer's instructions, the approved working drawings, the details shown on the plans and these special provisions.

All broken or cracked glass and glass with scratches which reduce the strength shall be replaced before the completion of the project.

Frames shall be installed rigidly, securely, plumb and true. Frames shall be isolated from dissimilar metals and cementitious materials which could corrode or otherwise damage the frames. Installations shall be sealed watertight and weathertight. Backer rod shall be installed behind all sealant.

Exposed surfaces shall be free from defects, scratches, streaks, or other surface blemishes.

**Clean-up.--**All panes shall be cleaned just before the final inspection. Paint, dirt, stains, labels (except etched labels), and surplus glazing compound shall be removed without scratching or marring the surface of the panes or metal work.

**12-8.03 FINISH HARDWARE**

**PART 1.- GENERAL**

**SUMMARY**

This work shall consist of furnishing and installing hardware items for doors in accordance with the details shown on the plans and these special provisions.

Hardware for special doors and frames, if required, shall be as specified under "Hinged Doors" in Section 12-8, "Doors and Windows," of these special provisions.

Hardware assemblies shall comply with the fire code and the disabled accessibility requirements indicated on the plans and specified in these special provisions.

## SUBMITTALS

Manufacturer's technical information and catalog cuts for each item of door hardware and a door hardware schedule shall be submitted for approval prior to installation.

Manufacturer's catalog cuts shall include catalog numbers, material, grade, type, size, function, design, quality and finish of hardware.

The door hardware schedule shall indicate the location and size of door opening, the door and frame material, and the size, style, finish and quantity of the hardware components required.

## FINISHES

Hardware shall be provided with standard US 26D metal plated finish for interior hardware and US 32D satin stainless steel for exterior hardware.

## KEYING INSTRUCTIONS

New facilities shall have a building master key system established.

Locks shall have cylinders with figure eight interchangeable cores with six pin barrels. Permanent cores and keys shall be delivered to the Engineer for final installation at completion of project.

The Contractor shall also provide figure eight interchangeable cores for use during construction which shall remain the property of the State.

# PART 2.- PRODUCTS

## GENERAL

Door hardware equal in material, grade, type, size, function, design, quality and manufacture to that specified herein may be submitted for approval.

### Butt hinges

Butt hinges shall be steel, 1 1/2-pair per door unless otherwise specified or shown on the plans. Nonremovable pins shall be provided at outswing exterior doors. Hinge size shall be 114 mm x 114 mm unless otherwise noted.

Standard weight hinges shall be:

| | |
|---|---|
| Hager | BB 1279 |
| McKinney | TB 2714 |
| Stanley | BB 179 |
| or equal. | |

### Mortise locksets, latchsets and privacy sets

Mortise locksets, latchsets and privacy sets shall be steel case with 32 mm x 203 mm face plate and 70 mm backset. Door and frame preparation for mortise locksets, latchset and privacy sets shall conform to ANSI A115.1.

Lever operated lockset shall be:

| | |
|---|---|
| Best | 35H 6FW 15J |
| Falcon | LM521 DN |
| Schlage | L9453P x 0LA |
| or equal. | |

### Cylindrical privacy sets

Lever operated privacy set shall be:

| | |
|---|---|
| Best | 93KO L 15C |
| Falcon | TY301 DG |
| Schlage | ND40S RHO |
| or equal. | |

**Door closers**

Parallel arms for closers shall be installed at outswing exterior doors. Closers shall have sprayed finish to match other hardware on door.

Door closers shall be:

| | |
|---|---|
| LCN | 4040 |
| Norton | 85001 |
| Dorma | 9800 |
| or equal. | |

**Kickplates**

Kickplates shall be 254 mm in height x 51 mm less than door width x 1.52 mm (16-gage).

Kickplates shall be:

| | |
|---|---|
| Builders Brass | 37 |
| Hager | 223 S |
| Trimco | 6000 |
| or equal. | |

**Floor mounted stops**

Floor mounted stops shall be dome type. The height of the stop shall be determined by the clearance required when a threshold is used or not used.

Stops for openings with thresholds shall be:

| | |
|---|---|
| Builders Brass | 8063 |
| Quality | 431 |
| Trimco | 1213 |
| or equal. | |

**Wall or door mounted door stop**

Wall or door mounted door stop shall have a 95 mm projection and 3-point anchoring.

Wall or door mounted door stop shall be:

| | |
|---|---|
| Builders Brass | W96 |
| Quality | 38 |
| Trimco | 1236-1/4-2 |
| or equal. | |

**Wall bumpers**

Wall bumpers base diameter shall be 64 mm with a 25 mm projection.

Bumpers shall be:

| | |
|---|---|
| Builders Brass | WC9 |
| Hager | 236 W |
| Trimco | 1272-1/4-CCS |
| or equal. | |

**Thresholds, rain drips, door sweeps and door shoes**

Thresholds, rain drips, door sweeps and door shoes shall conform to the sizes and configurations shown on plans. Thresholds at door openings with accessibility requirements shall not exceed 13 mm in height.

Threshold, rain drip, door sweep and door shoe manufacturers shall be Pemko, Reese, Zero, or equal.

**Threshold bedding sealant**

Threshold bedding sealant shall conform to Federal Specification: SS-C-153.

**Weatherstrip and draft stop**

Weatherstrip and draft stop shall conform to the sizes and shapes shown on plans. Assemblies shall be UL listed and shall be provided where shown on the plans or as specified in these special provisions.

Weatherstrip and draft stop manufacturers shall be Pemko, Reese, Zero, or equal.

**Door signs and name plates**

Door signs and name plates shall be as specified under "Signs" in Section 12-10, "Specialties," of these special provisions.

## PART 3.- EXECUTION

**DOORS AND FRAMES.--**Doors and frames shall be set square and plumb and be properly prepared before the installation of hardware.

**INSTALLATION.--**Hardware items shall be accurately fitted, securely applied, and adjusted and lubricated in accordance with the manufacturer's instructions. Installation shall provide proper operation without bind or excessive play.

Hinges shall be installed at equal spacing with the center of the end hinges not more than 244 mm from the top and bottom of the door. Pushplates and door pulls shall be centered 1118 mm from the finished floor. Locksets, latchsets, privacy sets and panic exit mechanisms shall be 1024 mm from the finished floor. Kickplates shall be mounted on the push side of the doors, 25 mm clear of door edges.

Thresholds shall be set in a continuous bed of sealant material.

Door controls shall be set so that the effort required to operate doors with closers shall not exceed 37.8 N maximum for exterior doors and 22.3 N maximum for interior doors. The effort required to operate fire doors may be increased above the values shown for exterior and interior doors but shall not exceed 66.7 N maximum.

Door stops located on concrete surfaces shall be fastened rigidly and securely in place with expansion anchoring devices. Door stops mounted elsewhere shall be securely attached with wood screws or expansion devices as required.

Backing shall be provided in wall framing at wall bumper locations.

The location and inscriptions for door signs and name plates shall be as shown on the plans.

Hardware, except hinges, shall be removed from surfaces to be painted before painting.

Upon completion of installation and adjustment, the Contractor shall deliver to the Engineer all dogging keys, closer valve keys, lock spanner wrenches, and other factory furnished installation aids, instructions and maintenance guides.

**DOOR HARDWARE GROUPS AND SCHEDULE.--**Hardware groups specified herein shall correspond to those shown on the plans:

**GROUP 1: Exterior Exit : Doors 10A, 11A**

1 1/2-pair butt hinges, heavy duty
1 each door closer
2 each kickplates
1 each single cylindrical dead lock with lever handle with lever handle

frame weather stripping
wall mounted doorstop
aluminum threshold
door shoe with rain drip

**GROUP 2: Exterior Storage Door : Doors 14A**

1 1/2-pair butt hinges
1 each single cylindrical dead lock with lever handle
1 each kickplate (on exterior only)
1 each floor mounted doorstop
frame weather stripping
1 each door shoe with rain drip
1 each lever handle cylindrical lockset

**GROUP 3: Single Occupancy Restroom, Interior: Door 15A**

1 1/2-pair butt hinges
2 each kickplates
1 each cylindrical lever handle lever privacy set
1 each floor mounted door stop

**GROUP 4: Single Occupancy Restroom, Exterior: Door 16A**

1 1/2-pair butt hinges
2 each kickplates
1 each cylindrical lever handle lever privacy set
1 each floor mounted door stop
aluminum threshold
door shoe with rain drip

**GROUP 5: Office Gate: Door 10B**

1 1/2-pair butt hinges
1 each cylindrical lever handle lever lockset
1 each wall bumper

## SECTION 12-9. FINISHES

### 12-9.01 GYPSUM WALLBOARD

**GENERAL.--**This work shall consist of furnishing, installing and finishing gypsum wallboard in accordance with the details shown on the plans and these special provisions.

Wallboard backing for use in restroom and shower areas shall be water-resistant gypsum backing board.

**PRODUCTS**

**Gypsum wallboard**

Gypsum wallboard shall conform to ASTM Designation: C 36/C 36M.

**Water-resistant gypsum backing board**

Water-resistant gypsum backing board shall conform to ASTM Designation: C 630/C C 630M.

**Joint tape and joint and finishing compound**

Joint tape and joint and finishing compound shall conform to ASTM Designation: C 475.

**Corner beads, metal trim and control joints**

Corner beads, metal trim and control joints shall be galvanized steel of standard manufacture.

**Fasteners**

Fasteners shall be gypsum wallboard nails conforming to ASTM Designation: C 514 or steel drill screws conforming to ASTM Designation: C 1002.

## EXECUTION

**DELIVERY AND STORAGE.--**Materials shall be delivered in original packages, containers or bundles bearing brand name, applicable standard of manufacture, and name of manufacturer or supplier and shall be kept dry and fully protected from weather and direct sunlight exposure. Gypsum wallboard shall be stacked flat with adequate support to prevent sagging or damage to edges, ends and surfaces.

**INSTALLATION.--**Wallboard panels to be installed on ceilings and soffits shall be installed with the long dimension of the panels perpendicular to the framing members. Wallboard panels to be installed on walls may be installed with the long dimension of the panels either parallel or perpendicular to the framing members. The direction of placing the panels shall be the same on any one wall or partition assembly.

Edges of wallboard panels shall be butted loosely together. All cut edges and ends shall be smoothed as needed for neat fitting joints.

All edges and ends of gypsum wallboard panels shall coincide with the framing members, except those edges and ends which are perpendicular to the framing members. End joints on ceiling and on the opposite sides of a partition assembly shall be staggered.

Except where closer spacings are shown on the plans, the spacing of fasteners shall not exceed the following:

| | |
|---|---|
| Nails | 175 mm |
| Screws | 300 mm |
| Screws at perimeter of panels for fire resistive assemblies having metal framing | 200 mm |

Type S steel drill screws shall be used to fasten wallboard to metal framing. Nails or Type W steel drill screws shall be used to fasten wallboard to wood framing. Except as shown on the plans, screws shall not be used in fire resistive assemblies.

Adhesives shall not be used for securing wallboard to framing.

Gypsum wallboard panels shown on the plans for shear wall sheathing or for fire resistive assemblies shall be fastened to all framing members. Gypsum wallboard panels at other locations and gypsum wallboard finish over plywood sheathed shear walls shall be fastened to all framing members except at the following locations:

At internal angles formed by ceiling and walls; ceiling panels shall be installed first with the fasteners terminating at a row 175 mm from the walls, except for walls parallel to ceiling framing. Wall panels shall butt the ceiling panels. The top row of wall panel fasteners shall terminate 200 mm from the ceiling.

At internal vertical angles formed by the walls; fasteners shall not be installed along the edge or end of the panel that is installed first. Fasteners shall be installed only along the edge or end of the panel that butts and overlaps the panel installed first.

Fasteners shall be located at least 10 mm from wallboard panel edges and ends. Nails shall penetrate into wood framing at least 30 mm. Screws shall penetrate into wood framing at least 20 mm. All metal fasteners shall be driven slightly below surface level without breaking the paper or fracturing the core.

Metal trim shall be installed at all free edges of panels, at locations where wallboard panels abut dissimilar materials and at locations shown on the plans. Corner beads shall be installed at external corners. Control joints shall be installed at the locations shown on the plans.

Joints between face panels, the internal angles formed by ceiling and walls and the internal vertical angles formed by walls shall be filled and finished with joint tape and at least 3 coats of joint compound. Tape in the corners shall be folded to conform to the angle of the corner. Tape at joints and corners shall be embedded in joint compound.

Dimples at nail and screw heads, dents, and voids or surface irregularities shall be patched with joint compound. Each patch shall consist of at least 3 coats and each coat shall be applied in a different direction.

Flanges of corner beads, control joints and trim shall be finished with a least 3 coats of joint compound.

Each coat of joint compound shall be feathered out onto the panel surface and shall be dry and lightly sanded before applying the next coat. The finished surfaces of joint compound at the panel joints, internal angles, patches and at the flanges of trim, corner beads and control joints shall be flat and true to the plane of the surrounding surfaces and shall be lightly sanded.

Good lighting of the work area shall be provided during the final application and sanding of the joint compound.

Gypsum wallboard used as backing boards for tile or rigid sheet wall covering or wainscotting shall be water resistant. Joints in backing board shall not be taped or filled and dimples at the fastener heads shall not be patched. Edges of cuts and holes in backing board shall be sealed with a primer or sealer that is compatible with the wall covering or wainscotting adhesive to be used.

Surfaces of wallboard to be textured shall receive an orange peel texture, unless otherwise shown on the plans.

## 12-9.02 RESILIENT BASE

**GENERAL.--**This work shall consist of furnishing and installing resilient base in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data, installation instructions, color palette, and samples of resilient base shall be submitted for approval. Samples shall be not less than 50 mm in length.

### PRODUCTS

**Resilient base**

Resilient base shall be manufacturer's best grade, rubber base, with premolded internal and external corner pieces. The height and color shall be as shown on the plans.

**Adhesive**

Adhesive shall be as recommended by base manufacturer.

### EXECUTION

**INSTALLATION.--**Bases shall be firmly and totally attached to walls with adhesive and shall be accurately scribed to trim, molding and cabinets. All joints shall be tight fitting. Bases between premolded corners or other termini may be installed continuous or installed using one m minimum standard manufactured lengths. Filler pieces shall be not less than 0.5 m.

## 12-9.03 RESILIENT SHEET FLOORING

**GENERAL.--**This work shall consist of furnishing and installing resilient sheet flooring in accordance with the details shown on the plans and these special provisions.

Resilient sheet flooring shall also include cove molding, metal caps, edger strips, and flooring manufacturer's recommended primers and adhesives.

**SUBMITTALS.--**Manufacturer's descriptive data, installation instructions, color and pattern samples shall be submitted for approval. Samples of sheet flooring shall be 305 mm x 305 mm in size.

### PRODUCTS

**Resilient sheet flooring**

Resilient sheet flooring shall be flexible rubber sheet not less than 2 mm thick. Color and pattern shall be as shown on the plans. Shall be rated for commercial traffic and shall have a five year minimum wear warranty.

**Primer, leveling compound crack filler and adhesives**

Primer, leveling compound crack filler and adhesives shall be waterproof types as recommended by the flooring manufacturer.

**Wax**

Wax shall be water emulsion, self-polishing type containing not less than 16 percent wax solids, wetting agents, and a nonslip agent. The wax shall meet UL antislip standards.

**Cove molding**

Cove molding shall be commercial quality rubber.

**Edger strips**

Edger strips shall be commercial quality, stainless steel or aluminum.

**Metal caps**

Metal caps shall be commercial quality, noncorrosive metal.

**EXECUTION**

**PREPARATION.--**Before placing adhesives, all surfaces to receive resilient sheet flooring shall be made free of localized depressions or bumps. Bumps shall be ground flat. Holes, depressions and cracks shall be filled with crack filler or leveling compound.

Immediately prior to installation of the resilient sheet flooring, the surface to be covered shall be thoroughly dry, free of paint, oil, grease, mortar, plaster droppings, scaly surfaces or other irregularities and shall be broom clean. Primer, when recommended, shall be thoroughly brushed on the surface at the rate recommended by the adhesive manufacturer and shall be completely dry before application of adhesives.

The rooms where resilient sheet flooring is to be installed shall be maintained at a temperature of at least 21°C for not less than 72 hours before installation, during installation and for 5 days after installation.

**INSTALLATION.--**Resilient sheet flooring shall be laid to a true, straight, smooth and even finish surface in accordance with the manufacturer's instructions. Resilient sheet flooring shall be laid parallel to building lines with the minimum of seams using manufacturer's standard widths. Seams shall be tight fitting, fully bonded along their length and present a continuous pattern.

Resilient sheet flooring shall be placed before floor mounted fixtures are installed.

After resilient sheet flooring has been installed, the finished surface shall be rolled and crossrolled with a roller weighing 50 kg or more.

Edger strips shall be installed at free edges.

Upon completion of the flooring installation, all stains, surplus adhesive, dirt and debris resulting from the work shall be removed and the floor left broom clean. Resilient sheet flooring shall be protected from damage at all times during construction. As a last order of work, floor covering shall be washed with soap and warm water, and rinsed in accordance with the floor covering and wax manufacturers' printed instructions. Not less than 2 applications of wax shall be placed on the floor covering.

## 12-9.04 PAINTING

## PART 1 - GENERAL

Scope: This work shall consist of preparing surfaces to receive coatings, and furnishing and applying coatings, in conformance with the schedules and details shown on the plans and these special provisions.

The coatings specified in this section are in addition to any factory finishes, shop priming, or surface treatment specified elsewhere in these special provisions.

**DEFINITIONS**

Detergent Wash: Removal of dirt and water-soluble chemicals by scrubbing with a solution of detergent and water, and removal of all solution and residues with clean water.

Hand Cleaning: Removal of dirt, loose rust, mill scale, excess base material, filler, aluminum oxide, chalking paint, peeling paint, or paint that is not firmly bonded to the surfaces by using hand or powered wire brushes, hand scraping tools, power grinders, or sandpaper and removal of all loose particles and dust prior to coating.

Mildew Wash: Removal of mildew by scrubbing with a solution of detergent, hypochlorite-type household bleach, and warm water, and removal of all solution and residues with clean water.

Abrasive Blasting:

Removal of loosely adhering paint, dirt, rust, mill scale, efflorescence, weak concrete, or laitance, shall be by the use of airborne abrasives. Loose particles, dust, and abrasives shall be removed by blasting with clean, oil-free air.

Abrasives shall be limited to mineral grit, steel grit, or steel shot, and shall be graded to produce the surface profile recommended in the manufacturer's data sheet.

Steam Cleaning: Removal of oil, grease, dirt, or other foreign matter by using steam generated by commercial steam cleaning equipment, from a solution of water and steam cleaning compounds, and removal of all residues and cleaning compounds with clean water.

TSP Wash: Removal of oil, grease, dirt, paint gloss, and other foreign matter by scrubbing with a solution of trisodium phosphate and warm water, and removal of all solution and residues with clean water.

Water Blasting: Removal of dirt, loose scale, chalking, or peeling paint by low-pressure water cleaning. Water blasting shall be performed in conformance with the requirements in SSPC-SP12 and shall produce a surface cleanliness meeting the requirements of SSPC-SP12-WJ4. Equipment used shall have a minimum flow rate of 6.8 liters per minute. If a detergent solution is used, it shall be biodegradable and shall be removed from all surfaces with clean water.

Protection:

The Contractor shall provide protective devices, such as tarps, screens or covers, as necessary to prevent damage to the work and to other property or persons from all cleaning and painting operations.

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at the Contractor's expense and the original surface shall be restored.

**SUBMITTALS**

Manufacturer's descriptive data, a materials list, and color samples shall be submitted for approval.

Product descriptive data shall include product description, manufacturer's recommendations for product mixing, thinning, tinting, handling, site environmental requirements, product application, and drying time.

Materials list shall include manufacturer's name, trade name, and product numbers for each type coating to be applied.

Color samples shall be manufacturer's color cards, approximately 50 mm x 75 mm, for each color of coating shown on the plans. Color samples for stains shall be submitted on wood of the same species, color, and texture as the wood to receive the stain.

**QUALITY ASSURANCE**

Certificates of Compliance: Certificates of Compliance shall be furnished when products are required to conform with the requirements of The Society for Protective Coatings (SSPC) in conformance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### REGULATORY REQUIREMENTS

Coatings and applications shall conform to the rules for control of Volatile Organic Compound (VOC) emissions adopted by the air quality control district in the air basin in which the coatings are applied.

### SITE ENVIRONMENTAL REQUIREMENTS

Coatings shall be applied in conformance with the environmental constraints specified in the manufacturer's printed instructions. These conditions shall be maintained until the coating has cured and is ready for recoat.

Continuous ventilation shall be provided during application of the coatings.

Adequate lighting, as determined by the Engineer, shall be provided while surfaces are being prepared for coatings and during coating applications.

### DELIVERY, STORAGE, AND HANDLING

Products shall be delivered to the site in sealed, labeled containers and stored in a well-ventilated area at an ambient air temperature of not less than 7°C. Container labeling shall include manufacturer's name, type of coating, trade name, color designation, drying time, and instructions for tinting, mixing, and thinning.

### MAINTENANCE STOCK

Upon completion of coating work, a full 3.8 liter container of each type and color of finish coat and stain used shall be delivered to the location at the project site designated by the Engineer. Containers shall be tightly sealed and labeled with color, texture, and room locations where used, in addition to the manufacturer's standard product label.

## PART 2 - PRODUCTS

Products for each coating system shall be from a single manufacturer and shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI). Each product shall be shown on the MPI Approved Products List unless otherwise specified in these special provisions.

## PART 3 - EXECUTION

### INSPECTION

Coatings shall not be applied until surface preparation has been approved by the Engineer. The Contractor shall notify the Engineer at least 3 working days prior to the application of coatings.

### SURFACE PREPARATION

Surfaces scheduled to be coated shall be prepared in conformance with the coating manufacturer's printed instructions unless otherwise specified in these special provisions.

Hardware, cover plates, light fixture trim, and similar items shall be removed prior to preparing surfaces for coating. Following the application of the finish coating, the removed items shall be reinstalled in their original locations.

Wood:

Coatings for exterior applications shall have the surface lightly sanded no more than 24 hours prior to the coating application.

A sealer recommended by the coating manufacturer shall be spot applied to knots, sap, pitch, tar, creosote, and other bleeding substances.

After the application of the prime coat, all nail holes, cracks, open joints, dents, scars, and surface irregularities shall be filled, hand cleaned, and spot primed to provide smooth surfaces for the application of finish coats.

Irregularities in wood surfaces to receive a transparent stain finish shall be filled and hand cleaned after the first coat of stain has been applied. The color of the filler shall match the color of the stained wood.

Irregularities in wood surfaces to receive a clear finish shall be filled and hand cleaned before the application of coatings. The color of the filler shall match the color of the coated wood.

Galvanized Metal:

New surfaces shall be roughened by hand sanding or light abrasive blasting. Galvanizing shall not be removed during cleaning or roughening.

Damaged or corroded areas shall be cleaned and given 2 spot applications of a coating that conforms to the requirements in the Detailed Performance Standards of the MPI, and listed on MPI List "Number 18, Primer, Zinc Rich, Organic."

Steel and Other Ferrous Metals: Surface shall be cleaned in conformance with the requirements in SSPC-SP 1. Surface profile shall be as required for the coating system specified.

Aluminum and Other Non-ferrous Metals: Surface shall be cleaned in conformance with the requirements in SSPC-SP 1.

Gypsum Board: Holes, cracks, and other surface imperfections shall be filled with joint compound or suitable filler prior to application of coatings. Taped joints and filled areas shall be hand sanded to remove excess joint compound and filler.

Concrete and Concrete Masonry Unit: New material shall be cleaned and prepared in conformance with the requirements in SSPC-SP 13. Cracks and voids shall be filled with cement mortar patching material. Concrete shall be cured until the surface moisture is below the level specified in the coating manufacturer's printed instructions.

Previously Coated Surfaces:

Dirt, oil, grease, or other surface contaminants shall be removed by water blasting, steam cleaning, or TSP wash. Minor surface imperfections shall be filled as required for new work. Mildew shall be removed by mildew wash. Chalking paint shall be removed by hand cleaning. The surfaces of existing hard or glossy coatings shall be abraded to dull the finish by hand cleaning or light abrasive blasting. Abrasive blasting shall not be used on wood or non-ferrous metal surfaces.

Chipped, peeling, blistered, or loose coatings shall be removed by hand cleaning, water blasting, or abrasive blasting. Bare areas shall be pretreated and primed as required for new work.

**APPLICATION**

Coatings shall be applied in conformance with the printed instructions and at the application rates recommended by the manufacturer to achieve the dry film thickness stated in the coating technical data sheet.

Mixing, thinning and tinting shall conform to the manufacturer's printed instructions. After thinning, the coating shall conform to the regulatory requirements in these special provisions.

Coatings shall be applied only when surfaces are dry and properly prepared.

Cleaning and painting shall be scheduled so that dust and other contaminants from the cleaning process will not fall on wet, newly coated surfaces.

Materials required to be coated shall have coatings applied to all exposed surfaces, including the tops and bottoms of wood and metal doors, the insides of cabinets, and other surfaces not normally visible from eye level.

Surface Finish Application:

Each coat shall be applied to a uniform finish. Finished surfaces shall be free of surface deviations and imperfections such as skips, cloudiness, spotting, holidays, laps, brush marks, runs, sags, curtains, ropiness, improper cutting in, overspray, drips, ridges, waves, and variations in color and texture.

Each application of a multiple application finish system shall closely resemble the final color coat, except each application shall provide enough contrast in shade to distinguish the separate applications.

Work Required Between Applications:

Each application of material shall be cured in conformance with the coating manufacturer's printed instructions before applying the succeeding coating.

Enamels and clear finishes shall be lightly sanded, dusted, and wiped clean between applications.

Stain blocking primer shall be spot applied whenever bleeding substances are visible through the previous application of a coating.

Timing of Applications: The first application of the coating system shall be during the same work shift that the final surface preparation was performed. Additional coats shall be applied as soon as the required drying time of the preceding coat, specified in the coating manufacturer's printed instructions, has been met.

Application Methods:

Coatings shall be applied by brush, roller or spray. Rollers shall be of a type which do not leave a stippled texture in the paint film. Extension handles for rollers shall not be greater than 2 m in length.

If spray methods are used, surface deviations and imperfections such as overspray, thickness deviations, lap marks, and orange peel shall be considered as evidence the work is unsatisfactory and the Contractor shall apply the remainder of the coating by brush or roller, as approved by the Engineer.

Back Priming:

The first application of the coating system shall be applied to all wood surfaces (face, back, edges, and ends) of wood materials that are not factory coated, immediately upon delivery to the project site. Surfaces of interior finish woodwork that adjoin concrete or masonry shall be coated with one application of exterior wood primer before installation.

Patches in Previously Coated Surfaces: Where patches are made on surfaces of previously coated walls or ceilings, the entire surface to corners on every side of the patch shall be coated with a minimum of one application of the finish coat.

Finishing Mechanical and Electrical Components:

Shop primed mechanical and electrical components shall be finish coated in conformance with the coating system specified for the substrate material. Louvers, grilles, covers, and access panels on mechanical and electrical components shall be removed and coated separately.

Interior surfaces of air ducts which are visible through grilles or louvers shall be coated with one application of flat black enamel, to limit of the sight line.

Conduit, piping, and other mechanical and electrical components visible in the finished work shall be painted.

Both sides and all surfaces, including edges and back of wood mounting panels for electrical and telephone equipment shall be finish coated before installing equipment.

**CLEANING**

Upon completion of all operations, the coated surfaces shall be thoroughly cleaned of dust, dirt, grease, or other unsightly materials or substances.

Surfaces marred or damaged as a result of the Contractor's operations shall be repaired, at his expense, to match the condition of the surfaces prior to the beginning of the Contractor's operations.

**COATING SYSTEM**

The surfaces to be coated shall be as shown on the plans and as specified in these special provisions. When a coating system is not shown or specified for a surface to be finish coated, the coating system to be used shall be as specified below for the substrate material. The number of applications specified for each coating system listed herein is a minimum. Additional coats shall be applied if necessary to obtain a uniform color, texture, appearance, or required dry film thickness.

SYSTEM 1 - ALUMINUM AND OTHER NON-FERROUS METALS

2 Finish Coats:

Flat: Latex, exterior, MPI Gloss Level 1, MPI List Number 10
Eggshell-like: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 3, MPI List Number 161
Semi-Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 5, MPI List Number 163
Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 6, MPI List Number 164

SYSTEM 2 - GALVANIZED METAL

2 Finish Coats:

Flat: Latex, Exterior, MPI Gloss Level 1, MPI List Number 10
Eggshell-like: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 3, MPI List Number 161
Semi-Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 5, MPI List Number 163
Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 6, MPI List Number 164

SYSTEM 3 - GYPSUM BOARD

One Prime Coat:

Primer Sealer: Latex, Interior, MPI List Number 50

2 Finish Coats:

Flat: Latex, Interior, MPI Gloss Level 1, MPI List Number 53
Velvet-like: Latex, Interior, MPI Gloss Level 2, MPI List Number 44
Semi-Gloss: Latex, Interior, MPI Gloss Level 5, MPI List Number 54
Gloss: Latex, Interior, MPI Gloss Level 6, MPI List Number 114

SYSTEM 4 - PREVIOUSLY COATED EXTERIOR SURFACES

2 Finish Coats:

Flat: Latex, Exterior, MPI Gloss Level 1, MPI List Number 10
Low Sheen: Latex, Exterior, MPI Gloss Level 3/4, MPI List Number 15
Semi-Gloss: Latex, Exterior, MPI Gloss Level 5, MPI List Number 11
Gloss: Latex, Exterior, MPI Gloss Level 6, MPI List Number 119

SYSTEM 5 - STEEL AND OTHER FERROUS METALS, INTERIOR SURFACES

VISIBLE IN FINISHED WORK:

2 Prime Coats:

Shop Primer: Coating meeting the requirements of SSPC-Paint 15
Field Primer: Rust Inhibitive, Water Based, MPI List Number 107

2 Finish Coats:

Flat: Latex, Exterior, MPI Gloss Level 1, MPI List Number 10
Eggshell-like: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 3, MPI List Number 161
Semi-Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 5, MPI List Number 163
Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 6, MPI List Number 164

NOT VISIBLE IN FINISHED WORK:

2 Prime Coats:

Shop Primer: Coating meeting the requirements of SSPC-Paint 15
Field Primer: Rust Inhibitive, Water Based, MPI List Number 107

SYSTEM 6 - STEEL AND OTHER FERROUS METALS, EXTERIOR SURFACES

VISIBLE IN FINISHED WORK:

2 Prime Coats:

Primer: Rust Inhibitive, Water Based, MPI List Number 107

2 Finish Coats:

Flat: Latex, Exterior, MPI Gloss Level 1, MPI List Number 10
Eggshell-like: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 3, MPI List Number 161
Semi-Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 5, MPI List Number 163
Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 6, MPI List Number 164

NOT VISIBLE IN FINISHED WORK:

2 Prime Coats:

Primer: Rust Inhibitive, Water Based, MPI List Number 107

SYSTEM 7 - WOOD, PAINTED

1 Prime Coat:

Primer: Latex for Exterior Wood, MPI List Number 6

2 Finish Coats:

Flat: Latex, Exterior, MPI Gloss Level 1, MPI List Number 10
Low Sheen: Latex, Exterior, MPI Gloss Level 3/4, MPI List Number 15
Semi-Gloss: Latex, Exterior, MPI Gloss Level 5, MPI List Number 11
Gloss: Latex, Exterior, MPI Gloss Level 6, MPI List Number 119

**COLOR SCHEDULE**

Colors shall be as shown on the plans.

## 12-9.05 VINYL WALLCOVERING

**GENERAL.--**This work shall consist of furnishing and installing vinyl wallcovering in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Product descriptive data, installation instructions and samples of the vinyl wallcovering shall be submitted for approval. The size of samples shall be not less than 155 mm x 155 mm.

### PRODUCTS

**Vinyl wallcovering**

Vinyl wallcovering shall be scrubbable, colorfast, and stain resistant vinyl conforming to Federal Specification: CCC-W-408A, Type II. Vinyl shall have a maximum flame spread rating of 25 and a total weight of not less than 745 g/m of material that is 1370 mm wide. Color pattern and texture shall be as shown on the plans.

**Paste**

Paste shall be as recommended by the wallcovering manufacturer.

### EXECUTION

**PREPARATION.--**Surfaces to receive vinyl wallcovering shall be smooth, clean and free of irregularities. Cracks, holes and depressions shall be filled and bumps shall be removed. Fillers shall be compatible with the wallcovering and paste to be used.

**INSTALLATION.--**The vinyl wallcovering shall be installed in accordance with the manufacturer's recommendations.

The installed wallcovering shall have tight fitting butt joints and shall be free of air bubbles, wrinkles, open joints, mismatched sheets, loose edges, or other defects. Joints shall be located no closer than 105 mm from external corners. Internal corner joints shall be butt joined.

Paste shall be removed from the exposed surfaces of the installed wallcovering.

## 12-9.06 FIBERGLASS REINFORCED PLASTIC PANELS

**GENERAL.--**This work shall consist of furnishing and installing fiberglass reinforced plastic (FRP) panels and trim molding in accordance with details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data, installation instructions, and finish options shall be submitted for approval.

Product descriptive data shall show the manufacturer's name and shall indicate conformance to these special provisions.

Installation instructions shall show the FRP panel manufacturer's recommended method of installation.

Finish options shall show the manufacturer's standard color palette for FRP panels and trim molding. Color shall be as shown on the plans.

### PRODUCTS

**FRP panel**

FRP panel shall be Class I flame-spread, minimum nominal thickness of 2 mm; Marlite, Class A/I FRP; Kemlite, Fire-X Glasbord; or equal.

**Trim molding**

Trim molding shall be manufacturer's standard vinyl molding with nailing flanges and a 9 mm deep channel of sufficient width to receive panels and sealant.

**Adhesive and sealant**

Adhesive and sealant shall be as recommended by the FRP panel manufacturer.

**EXECUTION**

**INSTALLATION.--**The FRP panels and trim molding shall be installed in accordance with the manufacturer's installation instructions.

Trim molding shall be nailed through the flange into solid wood backing. All nails shall be concealed by FRP panels in the completed installation. Trim shall be one continuous piece along each wall unless the wall length exceeds the manufacturer's standard trim length. If more than one piece is used on one wall, the pieces shall be approximately equal length, with no piece less than 1 m in length. All FRP panel edges shall be covered by a trim molding.

Panels shall be one continuous piece along each wall unless the wall length exceeds the manufacturer's standard panel length. If more than one panel piece is used on one wall, the pieces shall be approximately equal length, with no piece less than one meter in length.

**CLEAN-UP.--**Adjacent surfaces shall be protected from adhesive or sealant. Excess adhesive and sealant shall be removed as the installation progresses using a solvent or cleaning agent recommended by the FRP panel manufacturer.

## 12-9.07 ACOUSTIC CEILING TILE

**GENERAL.--**This work consists of furnishing and installing acoustic tile on ceilings in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data, installation instructions and 2 samples of the acoustic tile shall be submitted for approval.

**PRODUCTS**

**Acoustic tile**

Acoustic tile shall be 305 mm x 305 mm x 15 mm minimum thickness, square edges, nondirectional natural fissured texture, factory applied, washable, color as in finish schedule vinyl latex finish. Tile shall conform to ASTM Designation: E 1264, Type III, Form 2. Noise Reduction Coefficient (NRC) shall be minimum 0.65. Panels shall have a flame spread rating not exceeding 25.

**Adhesives**

Adhesives shall be as recommended by acoustic tile manufacturer.

**EXECUTION**

**PREPARATION.--**Surfaces to receive acoustic tile shall be clean, dry and level and shall be prepared in accordance with the adhesive manufacturer's recommendations.

**INSTALLATION.--**Tile shall be installed in accordance with the manufacturer's recommendations. Installation of tile shall be restricted to periods when the ambient room temperature is between 13°C and 35°C.

## 12-9.08 SUSPENDED CEILINGS

**GENERAL.--**This work shall consist of furnishing and installing suspended ceilings in accordance with the details shown on the plans and these special provisions.

Suspended ceilings shall consist of lay-in acoustical ceilings panels and an exposed grid suspension system. Listed fire rated assemblies shall be installed where shown on the plans.

**DESIGN.--**The suspension system shall be designed to support the weight of ceiling panels, lighting fixtures, air terminals, service assemblies and such other items, not mentioned, which are supported by the suspended ceiling system.

The deflection of any component of the suspension system shall not exceed 1/360 of the span.

The suspension system shall be designed for seismic restraint in accordance with ASTM Designation: E 580.

Lighting fixture attachments shall be designed for a capacity of 100 percent of the lighting fixture weight acting in any direction.

**SUBMITTALS.--**Manufacturer's descriptive data and installation instructions and complete working drawings of all supporting details, lighting fixture attachments, lateral force bracing, partition bracing and runner and panel layouts shall be submitted for approval.

## PRODUCTS

### Acoustical panels

Acoustical panels shall be factory produced, lay-in panels, 610 mm x 1219 mm x 16 mm thick with non-directional natural fissured random perforated surface texture and factory applied, washable, off-white, vinyl latex finish. Panels shall conform to ASTM E 1264 Type III, form 2. Noise Reduction Coefficient (NRC) shall be minimum 0.65. Panels shall have a flame spread rating not exceeding 25.

### Suspension system

Suspension system shall be galvanized steel, tee shaped main runners and cross runners and wall molding angles or channels conforming to ASTM Designation: C 635, intermediate duty or heavy duty. Runners shall have exposed flanges approximately one inch wide and positive interlocks between main runners and cross runners. Wall moldings shall have a 19 mm wide exposed face. Runners and moldings shall be bonderized and shall have a flat off-white color, factory painted finish unless otherwise shown on the plans.

### Wire hangers

Wire hangers shall be 2.7 mm (12-gage) minimum, galvanized, soft-annealed, mild steel wire.

### Assembly devices, splices, intersection connectors and expansion devices

Assembly devices, splices, intersection connectors and expansion devices shall be as recommended by the suspension system manufacturer.

## EXECUTION

**INSTALLATION.--**The suspended ceiling shall be installed square, level and true in accordance with the approved working drawings, the manufacturer's installation instructions and the requirements of ASTM Designations: C 636 and E 580 and UBC Standard No. 25-2.

Hangers for the suspension system shall be spaced at not more than 1.2 m on centers and shall be saddle tied or wrapped around the main runner members.

Except as specified herein, all lighting fixtures, air terminals, services or other ceiling supported items shall be positively attached to the suspension system.

Lighting fixtures, air terminals, services or other items weighing less than 25 kg shall have, in addition to the requirements specified herein, two 2.7 mm (12-gage) hangers connected from the housing of the fixture, terminal, service or other items to the structure above. These hanger wires may be slack.

Lighting fixtures, air terminals, services or other items weighing more than 25 kg shall be supported directly from the structure above.

The ceiling shall be leveled to within 3 mm in 3.6 m.

**MAINTENANCE STOCK.--**Upon completion of the suspended ceiling work, one unopened carton of acoustical panels shall be delivered to a location at the project site designated by the Engineer.

## SECTION 12-10. SPECIALTIES

### 12-10.01 LOUVERS

**GENERAL.--**This work consists of furnishing and installing louvers in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data and installation instructions shall be submitted for approval.

#### PRODUCTS

**Louvers**

Louvers shall be factory fabricated units of extruded aluminum alloy not less than 2 mm thick (12-gage) or galvanized steel sheet not less than 1.63 mm thick (16-gage) with standard "Z" type blades, and removable bronze 16 x 16 mesh insect screens mounted on the inside of the units.

Louvers shall have integral caulking strips and retaining beads.

The finish on louvers shall be baked on primer and fluorocarbon polymeric resin.

#### EXECUTION

**INSTALLATION.--**Louvers shall be installed in accordance with the manufacturer's instructions. The completed louver installation shall be weather tight.

**PAINTING.--**Louvers shall be cleaned, prepared and painted in accordance with the requirements specified under "Painting" in Section 12-9, "Finishes," of these special provisions.

### 12-10.02 SIGNS

#### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of furnishing and installing signs in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS**

**Product data.--**Manufacturer's descriptive data for sign materials, colors and graphics, and for fastening hardware and material shall be submitted for approval.

#### PART 2.- PRODUCTS

**Plastic signs (permanent room identification)**

Plastic signs for permanent room identification for other than restrooms shall be scratch resistant, non-static, fire retardant, washable melamine laminate with a non-glare surface, not less than 3 mm thick. Letters and numbers shall be upper case Helvetica, 25 mm in height, 0.80 mm above and integral with sign material, accompanied by Grade 2 Braille.

Grade 2 Braille dots shall be 2.5 mm on centers in each cell with 5 mm space between cells. Dots shall be raised a minimum of 0.6 mm above the background.

**Plastic sign (restroom)**

Plastic sign for restroom, other rooms, and telephones shall be not less than 6 mm acrylic plastic. Sign background shall be blue and shall conform to Federal Standard 595B, Color No. 15090. Male/female symbol and lettering shall be white and shall conform to Federal Standard 595B, Color No. 17886.

Unisex restroom identification shall be a male and female symbol on a 305 mm equilateral triangle superimposed on a 305 mm diameter circle.

**Accessible building entrance sign**

Accessible building entrance sign shall be not less than 3 mm acrylic plastic, not less than 150 mm x 150 mm , with the international symbol of accessibility.

Sign background shall be blue and shall conform to Federal Standard 595B, Color No. 15090. Symbol and border shall be white and shall conform to Federal Standard 595B, Color No. 17886.

**Fastening hardware and material**

Fastening hardware and material shall be as recommended by the sign manufacturer. Fasteners shall be noncorrosive.

**PART 3.- EXECUTION**

**Inscription.--**Sign messages shall be as shown on the plans.

**Installation.--**Plastic signs for room identification and restrooms shall be fastened or secured to clean, finished surfaces in accordance with the sign manufacturer's instructions. Signs shall be installed at a location and height as shown on the plans.

Metal signs shall be attached securely with galvanized or cadmium plated fasteners.

Fastening hardware and material shall be installed within the sign as shown on the plans.

## 12-10.03 FIRE EXTINGUISHERS AND CABINETS

**PART 1.- GENERAL**

**SUMMARY**

**Scope.--**This work shall consist of furnishing and installing fire extinguishers with cabinets or mounting brackets in accordance with the details shown on the plans and these special provisions.

**REFERENCES**

**General.--**Fire Extinguishers shall conform to the requirements in California Code of Regulations, Title 19 Division 1, Chapter 3, “Portable Fire Extinguishers.”

**SUBMITTALS**

**Product data.--**Manufacturer's descriptive data and installation instructions shall be submitted for approval.

**QUALITY ASSURANCE**

**Codes and standards.--**Fire extinguishers shall be Underwriters Laboratories or Factory Mutual Laboratories approved for the type, rating and classification of extinguisher specified.

## PART 2.- PRODUCTS

### MANUFACTURER'S

**Acceptable manufacturers,--**Subject to contract compliance, manufacturers shall be J. L. Industries; Larsen's Manufacturing; Potter-Roemer; or equal.

### COMPONENTS

**Fire extinguisher.--**

Fire extinguisher shall be fully charged, multi-purpose dry chemical type, with charge indicator, hose and nozzle, and attached service record tag. Fire extinguisher shall be of the capacity and type rating shown on the plans.

**Fire extinguisher cabinet**

Fire extinguisher cabinet shall be factory fabricated, constructed of steel with a clear plastic panel in a steel door frame, and shall have a baked enamel finish. Color to be selected by the Engineer from the manufacturer's standard colors.

Fire extinguisher cabinet shall be semi-recessed -as shown on the plans.

## PART 3.- EXECUTION

### INSTALLATION

**General.--**Fire extinguishers shall be installed in locations and at mounting heights shown on the plans, or if not shown, at a height of 1220 mm from the finished floor to the top of the fire extinguisher.

Fire extinguisher mounting brackets and cabinets shall be attached to structure, square and plumb, in accordance with the manufacturer's recommendations.

### IDENTIFICATION

**Cabinet-mounted.--**Extinguishers in cabinets shall be identified with letter spelling "FIRE EXTINGUISHER" applied to the cabinet door. Letter size, styles, and color shall be selected by the Engineer from manufacturer's standard arrangements.

### SERVICING

**General.--**Fire extinguishers shall be serviced, charged, and tagged not more than 5 days prior to contract acceptance.

## 12-10.04 TOILET ROOM ACCESSORIES

**GENERAL.--**This work shall consist of furnishing and installing toilet room accessories in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data and installation instructions and details shall be submitted for approval.

## PRODUCTS

### Combination toilet tissue dispenser, toilet seat cover dispenser, and sanitary napkin disposal

Recessed toilet-seat-cover dispenser, sanitary napkin disposal, and toilet tissue dispenser shall be type-304 stainless steel with all-welded construction; exposed surfaces shall have satin finish. Toilet tissue dispensers shall be ABS plastic with a type-304 stainless steel waste deflector attached to the top tissue dispenser. Flange shall be drawn and beveled, one-piece, seamless construction. Unit shall mount flush against side wall of barrier-free toilet compartment to allow clearance for grab bar across front of unit. Door shall be one-piece, seamless construction; secured to cabinet with a full-length stainless steel piano-hinge; and equipped with a tumbler lock keyed like other washroom accessories. Toilet-seat-cover dispenser shall fill from below the internal shelf and hold 500 paper covers. Self-closing disposal panel shall be secured to door with a spring-loaded, full-length stainless steel piano-hinge and equipped with an international graphic symbol identifying napkin disposal. Sanitary napkin disposal shall be furnished with a removable, leak-proof, molded polyethylene receptacle with a capacity of 0.8 gal. (3.0 L). Unit shall be equipped with two theft-resistant, high-impact polystyrene toilet tissue spindles that hold standard-core rolls up to 5-1/4" (135mm) diameter (1800 sheets). Spindle shall have a concealed locking mechanism opened with special key furnished. Manufacturer's service and parts manual shall be provided to the building owner/manager upon completion of project.

### Combination paper towel dispenser and waste receptacle

Combination paper towel dispenser and waste receptacle shall be semi-recessed unit of stainless steel with satin finish. The approximate size shall be 390 mm x 1375 mm. The paper towel dispenser shall have a 600 C-fold or 800 multifold capacity. The waste receptacle shall have a capacity of not less than 68L. There shall be one unit per lavatory.

### Soap dispenser system

Soap dispenser system shall be sink-mounted and shall have gravity feed, plunger type spouts, and a remote stainless steel liquid soap reservoir equipped with soap level indicator, outlet valves, and brass tubing and fittings. Brass tubing and fittings shall be as recommended by the dispenser manufacturer. The stainless steel and chrome plated brass construction soap dispensing mechanisms shall be capable of delivering fixed amounts of liquid soap in lather form. The vandal resistant valves shall project not more than 89 mm from the wall and shall not be removable from within the restroom.

### Mirror, wall hung

Mirror, wall hung shall be Number 1 quality, 6 mm thick, electrolytically copper plated float or plate glass mirror with nonmoisture-absorbing filler. Mirror shall have a heavy gage galvanized steel back and stainless steel frame. The frame shall have a satin finish and shall be mitered and welded and the corners shall be ground smooth. Fasteners shall not penetrate surfaces of the frame exposed to view. Mirror shall conform to Federal Specification: DD-M-411b and shall be guaranteed against silver spoilage for not less than 10 years.

### Mirror, wall hung with shelf

Mirror, wall hung with shelf shall conform to the requirements specified for the wall hung mirror except the frame shall have an integral 127 mm wide stainless steel shelf.

### Grab bars

Grab bars shall be stainless steel, 38 mm diameter bars with integral mounting flanges concealed under integral escutcheons.

### Electric hand dryer

Electric hand dryer shall be recessed 120 volts, 20-ampere unit with a 25-second cycle time with infra-red light activation. Dryer cover and drying chamber shall be 18 ga stainless steel with concealed security screws. and mounting bolts. The dryer shall be labeled for ADA compliance.

Motor: Brushless, with sealed lifetime lubricated bearings, 75 watt, capacitor-initiated. Fan: Single inlet centrifugal type. Fan shall be mounted directly on the motor shaft, and the assembly shall be insulated from the housing by a resilient rubber mounting.

Heater: Wire heating element protected by an automatic resetting high temperature limit control switch circuit breaker which opens when air flow stops and automatically recloses when air flow resumes.

**EXECUTION.--**Toilet room accessories shall be installed in accordance with the manufacturer's recommendations. Fasteners for mounting toilet room accessories shall be concealed and vandal resistant.

Expansion anchors shall be used for mounting accessories on masonry or concrete walls.

Toilet room accessories shall be mounted after painting and wall finish work has been completed.

All toilet room accessories shall be mounted plumb, secure and rigid. Grab bars shall be supported adequately so the bars will withstand an applied load of 113 kg at any point.

**12-10.05 FOLD-UP BENCH**

**GENERAL.--**This work shall consist of furnishing and installing a fold-up bench in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive data and installation instructions shall be submitted for approval.

**PRODUCTS**

**Fold-up bench**

Fold-up bench shall be factory fabricated, wall mounted stainless steel support assembly, with dense hardwood slats. Edges of the slats shall be rounded and all surfaces shall be smooth and free of splinters which would snag clothing or skin.

Fasteners for securing the seat units to the support assemblies shall be stainless steel.

**EXECUTION**

**INSTALLATION.--**Support assembly shall bear solidly on the wall without rocking and shall be fastened rigidly and securely to the wall in accordance with the manufacturer's recommendations.

**FINISH.--**Wood slats shall be factory stained and varnished.

## SECTIONS 12-11. THROUGH 12-14. (BLANK)

## SECTION 12-15. MECHANICAL

**12-15.01 MECHANICAL WORK**

**GENERAL**

**Scope.--**This work shall consist of performing mechanical work in accordance with the details shown on the plans and these special provisions.

Mechanical work shall include furnishing all labor, materials, equipment and services required for providing heating, ventilating, air conditioning, and plumbing distribution systems.

Earthwork, foundations, sheet metal, painting, electrical, and such other work incidental and necessary to the proper installation and operation of the mechanical work shall be in accordance with the requirements specified for similar type work elsewhere in these special provisions.

System layouts are generally diagrammatic and location of equipment is approximate. Exact routing of pipes, ducts, etc., and location of equipment is to be governed by structural conditions and obstructions. Equipment requiring maintenance and inspection is to be readily accessible.

Roof penetrations shall be flashed and sealed watertight in accordance with the requirements specified under "Sheet Metal Flashing" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

**SUBMITTALS**

**Product data.--**A list of materials and equipment to be installed, manufacturer's descriptive data, and such other data as may be requested by the Engineer shall be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions for plumbing fixtures, and component layout shall be included where applicable.

Manufacturer's descriptive data shall be submitted for the following:

Air Handler
Balance damper
Clean out
Diffuser
Electric Water coolers
Electric water heater
Exhaust Fan
Faucet
Fire extinguisher
Flush valve
Heat Pump System
Kitchen sink
Lavatory
Lavatory chair
Mop sink
Register
Slot Diffuser
Thermostat
Valves
Valve box
Water closet
Water hammer Arrestor

**CLOSEOUT SUBMITTALS**

**Operation and maintenance manuals.--**Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be indexed and bound in a manual form and shall be complete and adequate for the equipment installed. Inadequate or incomplete material shall be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

Operation and maintenance manuals shall be submitted for the following equipment:

Air Handler
Heat Pump
Exhaust Fan
Thermostat

**QUALITY ASSURANCE**

**Codes and standards.--**Mechanical work, including equipment, materials and installation, shall conform to the CBC,CMC, and to the California Code of Regulations, Title 8, Chapter 4, Division of Industrial Safety (DIS).

**WARRANTY**

**Warranties and guarantees.--**Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

## 12-15.02 PIPE, FITTINGS AND VALVES

### PART 1.- GENERAL

**SUMMARY**

**Scope.--**This work shall consist of furnishing and installing pipes, fittings and valves in accordance with the details shown on the plans and these special provisions. Pipe, fittings and valves shall include such plumbing and piping accessories and appurtenances, not mentioned, that are required for the proper installation and operation of the plumbing and piping systems.

All piping insulation and wrapping material shall be in accordance with the requirements specified under "Mechanical Insulation," in this Section 12-15.

Cathodic protection for underground piping shall be in accordance with the requirements specified under "Cathodic Protection," in Section 12-16, "Mechanical," of these special provisions.

The pipe sizes shown on the plans are nominal pipe size. No change in the pipe size shown on the plans shall be permitted without written permission from the Engineer.

The pipe and fitting classes and material descriptions shall be as specified herein. No change in class or description shall be permitted without written permission from the Engineer.

**QUALITY ASSURANCE**

**Codes and standards.--**Pipe, fittings and valves shall be installed in accordance with the requirements in the CPC, the manufacturer's recommendations and the requirements specified herein.

### PART 2.- PRODUCTS

**MATERIALS**

**PIPE AND FITTINGS**

| Class | Description |
|---|---|
| **A1** | Schedule 40 galvanized steel pipe conforming to ASTM Designation: A 53, with 1040 kPa galvanized malleable iron banded screwed fittings and galvanized steel couplings. The weight of the zinc coating shall be not less than 90 percent of that specified in ASTM Designation: A 53. |
| **B1** | Schedule 40 black steel pipe conforming to ASTM Designation: A 53, with screwed fittings suitable for working pressure involved, but not less than 1210 kPa. |
| **B2** | Schedule 40 black steel pipe conforming to ASTM Designation: A 53, with 1040 kPa black malleable iron banded screwed fittings and black steel couplings. |

Steel pipe coating, where required, shall be factory applied plastic. Pipe coating shall be Standard Pipe Protection, X-Tru-Coat (0.50 mm thick); Pipe Line Service Corporation, Republic; 3M Company, Scotchkote 205 (0.30 mm thick); or equal.

**C1**

Hub and plain end cast iron soil pipe with neoprene gaskets conforming to Cast Iron Soil Pipe Institute's Standard 301. Pipe, fittings and gaskets shall be of one manufacturer.

**C2**

Hubless cast iron soil pipe with neoprene gaskets, corrugated stainless steel shields and stainless steel clamps conforming to Cast Iron Soil Pipe Institute's Standard 301. Joint materials shall be furnished by pipe manufacturer.

**H1**

Type DWV hard copper tubing conforming to ASTM Designation: B 306, with DWV drainage fittings, stop type couplings and threaded adapters.

**H2**

Type K hard copper tubing conforming to ASTM Designation: B 88, with wrought copper or cast bronze solder joint pressure fittings, stop type couplings and threaded adapters. Solder shall be lead-free.

**H3**

Type L hard copper tubing conforming to ASTM Designation: B 88, with wrought copper or cast bronze solder joint pressure fittings, stop type couplings and threaded adapters. Solder shall be lead-free.

**P2**

Polyvinyl chloride (PVC) plastic pipe and fittings conforming to ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 1380 kPa working pressure at 23°C, National Sanitation Foundation approved. Pipe shall have bell ends conforming to ASTM Designation: D 3139 with triple edge rubber sealing ring. For pipe sizes 50 mm diameter and smaller, plain end pipe with solvent welded fittings ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 1380 kPa may be used.

**P3**

Polyvinyl chloride (PVC) standard weight pipe and fittings, Schedule 40, conforming to ASTM Designation: D 1785. Pipe shall meet or exceed requirements of National Sanitation Foundation Standard No. 14. Pipe shall have bell ends conforming to ASTM Designation: D 2672. For pipe sizes 75 mm and smaller, plain end pipe with solvent welded fittings conforming to ASTM Designation: D 2241, may be used.

**P4**

Polyvinyl chloride (PVC) plastic pipe and fittings shall conform to AWWA Designation: C900, class 150, Standard Dimension Ratio (SDR) 18. Pipe shall have bell end with a solid cross section elastomeric ring conforming to ASTM Designation: D 1869.

**Unions (for steel pipe)**

Unions (for steel pipe) shall be 1730 kPa, threaded malleable iron, ground joint, brass to iron seat, galvanized or black to match piping.

**Unions (for copper or brass pipe)**

Unions (for copper or brass pipe) shall be 1040 kPa cast bronze, ground joint, bronze to bronze seat with silver brazing threadless ends or 860 kPa cast brass, ground joint, brass to brass seat with threaded ends.

**Unions (for brass waste and flush pipes)**

Unions (for brass waste and flush pipes) shall be slip or flange joint unions with soft rubber or leather gaskets. Unions shall be placed on the fixture side of the traps.

**Insulating union**

Insulating union or flange as applicable shall be suitable for the service on which used. Connections shall be constructed such that the 2 pipes being connected are completely insulated from each other with no metal to metal contact. Insulating couplings shall not be used. Insulating union shall be F. H. Maloney; Central Plastics; EPCO; or equal.

## VALVES

**Gate valve (65 mm and smaller)**

Gate valve (65 mm and smaller) shall be bronze body and trim, removable bonnet and non rising stem, Class 125 and same size as pipe in which installed. Gate valve shall be Crane, 438; Nibco Scott, T-113; Jenkins, 370; or equal.

Gate valve in nonferrous water piping systems may be solder joint type with bronze body and trim. Valve shall be Kitz, 59; Nibco Scott, S-113; Jenkins, 1240; or equal.

**Ball valve**

Ball valve shall be two piece, minimum 2760 kPa WOG, bronze body and chrome plated or brass ball with full size port. Valve shall be Nibco Scott, T-580; Watts, B-6000; Kitz, 56; or equal.

## FAUCET AND HYDRANTS

**Wall hydrant**

Wall hydrant shall be 20 mm, non-freeze type, exposed, nickel bronze head with bronze casing, and integral vacuum breaker. Operating key shall be provided. Wall hydrant shall be J. R. Smith Model 5609 QT, Josam Model 71050, Zurn, Model 1310; or equal.

## CLEANOUTS

**Cleanout through wall**

Cleanout through wall shall be cast iron cleanout tee type with polished stainless access plates. Plug shall be countersunk brass or bronze with tapered threads. Cleanout shall be Wade, No. W-8460; Smith, No. 4532; Zurn, No. 1445; or equal.

**Cleanout to grade**

Cleanout to grade shall be cast iron ferrule type. Plug shall be countersunk brass or bronze with tapered threads. Cleanout to grade shall be Wade, No. W-8450; Smith, 4420; Zurn, No 1440; or equal.

## MISCELLANEOUS ITEMS

**Water hammer arrestor**

Water hammer arrestor shall be stainless steel body with bellows or piston. Arrestor compression chambers shall be pneumatically charged. Water hammer arrestors shall be tested and certified in accordance with the Plumbing and Drainage Institute Standard: PDI-WH201 and sized as shown on the plans.

**Access door**

Access door shall be 1.52 mm prime coated steel, face mounting square frame, minimum 300 mm x 300 mm door with concealed hinge and screwdriver latch.

**Pipe wrapping tape and primer**

Pipe wrapping tape shall be pressure sensitive polyvinyl chloride or pressure sensitive polyethylene tape having nominal thickness of 0.50 mm. Wrapping tape shall be Polyken, 922; Manville, Trantex VID-20; Scotchrap, 51; or equal.

Pipe wrapping primer shall be compatible with the pipe wrapping tape used.

**Floor, wall, and ceiling plates**

Floor, wall, and ceiling plates shall be chromium plated steel or plastic plates having screw or spring clamping devices and concealed hinges. Plates shall be sized to completely cover the hole.

**Valve box**

Valve box shall be precast high density concrete with polyethylene face and cast iron traffic rated cover marked "WATER," "GAS" or "CO-SS" as applicable. Extension shall be provided as required. Valve box shall be Christy, B3; Brooks Products Company, 3TL; Frazer, 3; or equal.

**Floor drain**

Floor drain shall be cast iron body and flashing collar, adjustable nickel bronze 150 mm strainer head with seepage openings and caulk or no-hub outlet. Floor drain shall be round or square as shown on the Architectural plans. Floor drain shall be J. R. Smith, 2005/2010; Wade, W-1100; Zurn, Z-415; or equal.

## PART 3.- EXECUTION

## INSTALLATION

## INSTALLATION OF PIPES AND FITTINGS

**Pipe and fittings.--**Pipe and fittings shall be installed in accordance with the following designated uses:

| Designated Use | Pipe and Fitting Class |
|---|---|
| Domestic water (CW and HW) in buildings | H3 or A1 |
| Domestic water underground within 1.5 m of the building | A1 or H2 |
| Domestic water underground 1.5 m beyond the building | P2, P3, P4, A1 or H2 |
| Sanitary drain piping above ground in building | H1, C1, or C2 |
| Sanitary drain and vent piping underground within 1.5 m of the building | J1, C1 or C2 |
| Sanitary vent piping above ground in building | A2, H1, C1, or C2 |

**Installing piping.--**Water piping shall be installed generally level, free of traps and bends, and arranged to conform to the building requirements.

Piping installed underground shall be tested as specified elsewhere in these special provisions before backfilling.

Piping shall not be run in floor fill, except as shown on the plans.

Piping shall be installed parallel to walls. All obstructions shall be cleared, headroom preserved and openings and passageways kept clear whether shown or not. Piping shall not interfere with other work.

Where pipes pass through exterior walls, a clear space around pipe shall be provided. Space shall be caulked water tight with silicone caulk.

Underground copper pipe shall have brazed joints. Underground plastic pipe shall be buried with No. 14 solid bare copper wire. Wire ends at pipe ends shall be brought up 200 mm and looped around pipe.

Exposed supply and drain piping in rest rooms shall be chrome finished.

Piping and tubing for hydronic heating shall be installed in accordance with the requirements specified under "Hydronic Heating System," elsewhere in Section 12-15.

Forty-five degree bends shall be used where offsets are required in venting. Vent pipe headers shall be sloped to eliminate any water or condensation.

Vent piping shall extend a minimum of 200 mm above the roof.

Horizontal sanitary sewer pipe inside buildings shall be installed on a uniform grade of not less than 2 percent unless shown otherwise on the plans.

Drainage pipe shall be run as straight as possible and shall have easy bends with long turns.

Wye fittings and 1/8 or 1/16 bends shall be used where possible. Long sweep bends and combination Wye and 1/8 bends may be used only for the connection of branch pipes to fixtures and on vertical runs of pipe.

**Water pipe near sewers.--**Water pipe shall not be installed below sewer pipe in the same trench or at any crossing, or below sewer pipe in parallel trenches less than 3 m apart.

When a water pipe crosses above a sewer pipe, a vertical separation of at least 300 mm between the top of the sewer and the bottom of the water pipe shall be maintained.

When water and sewer pipe is installed in the same trench, the water pipe shall be on a solid shelf at least 300 mm above the top of the sewer pipe and 300 mm to one side.

**Pipe sleeves.--**The Contractor shall provide sleeves, inserts and openings necessary for the installation of pipe, fittings and valves. Damage to surrounding surfaces shall be patched to match existing.

PVC pipe sleeves shall be provided where each pipe passes through concrete floors, footings, walls or ceilings. Inside diameter of sleeves shall be at least 20 mm larger than outside diameter of pipe. Sleeves shall be installed to provide at least 10 mm space all around pipe the full depth of concrete. Space between pipes and pipe sleeves shall be caulked watertight.

**Pipe penetrations in fire rated assemblies.--**Where pipes pass through fire rated wall, floor or ceiling assemblies, the penetration shall be protected in accordance with the requirements specified under "Through-Penetration Firestopping," in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

**Cutting pipe.--**All pipe shall be cut straight and true and the ends shall be reamed to the full inside diameter of the pipe after cutting.

**Damaged pipe.--**Pipe that is cracked, bent or otherwise damaged shall be removed from the work.

**Pipe joints and connections.--**Joints in threaded steel pipe shall be made with teflon tape or a pipe joint compound that is nonhardening and noncorrosive, placed on the pipe and not in the fittings.

The use of thread cement or caulking on threaded joints will not be permitted. Threaded joints shall be made tight. Long screw or other packed joints will not be permitted. Any leaky joints shall be remade with new material.

Exposed polished or enameled connections to fixtures or equipment shall be made with special care, showing no tool marks or threads.

**Cleaning and closing pipe.--**The interior of all pipe shall be cleaned before installation. All openings shall be capped or plugged as soon as the pipe is installed to prevent the entrance of any materials. The caps or plugs shall remain in place until their removal is necessary for completion of the installation.

**Securing pipe.--**Pipe in the buildings shall be held in place by iron hangers, supports, pipe rests, anchors, sway braces, guides or other special hangers. Material for hangers and supports shall be compatible with the piping or neoprene isolators shall be used. Allowances shall be made for expansion and contraction. Steel pipe shall have hangers or supports every 3 m. Copper pipe 25 mm or smaller shall have hangers or supports every 2 m and sizes larger than 25 mm shall have hangers or supports every 3 m. Plastic pipe shall have hangers or supports every 1 m. Cast iron soil pipe with neoprene gaskets shall be supported at each joint. Vertical pipes shall be supported with clamps or straps. Horizontal and vertical piping shall be securely supported and braced to prevent swaying, sagging or flexing of joints.

**Hangers and supports.--**Hangers and supports shall be selected to withstand all conditions of loading to which the piping and associated equipment may be subjected and within the manufacturer's load ratings. Hangers and supports shall be spaced and distributed so as to avoid load concentrations and to minimize the loading effect on the building structure.

Hangers and supports shall be sized to fit the outside diameter of pipe or pipe insulation. Hangers shall be removable from around pipe and shall have provisions for vertical adjustment after erection. Turnbuckles may be used.

Materials for holding pipe in place shall be compatible with piping material.

Hanger rods shall be provided with locknuts at all threaded connections. Hanger rods shall be sized as follows:

| Pipe Size | Minimum Hanger Rod Diameter |
|---|---|
| 15 mm to 50 mm | 10 mm |
| 65 mm to 87 mm | 13 mm |

**Wrapping and coating steel pipe.--**Steel pipe buried in the ground shall be wrapped or shall be plastic coated as specified herein:

1. Wrapped steel pipe shall be thoroughly cleaned and primed as recommended by the tape manufacturer.

2. Tapes shall be tightly applied with 1/2 uniform lap, free from wrinkles and voids with approved wrapping machines and experienced operators to provide not less than 1.00 mm thickness.

3. Plastic coating on steel pipe shall be factory applied. Coating imperfections and damage shall be repaired to the satisfaction of the Engineer.

4. Field joints, fittings and valves for wrapped and plastic coated steel pipe shall be covered to provide continuous protection by puttying and double wrapping with 0.50 mm thick tape. Wrapping at joints shall extend a minimum of 150 mm over the adjacent pipe covering. Width of tape for wrapping fittings shall not exceed 50 mm. Adequate tension shall be applied so tape will conform closely to contours of fittings. Putty tape insulation compounds approved by the Engineer shall be used to fill voids and provide a smooth even surface for the application of the tape wrap.

Wrapped or coated pipe, fittings, and filed joints shall be approved by the Engineer after assembly. Piping shall be placed on temporary blocks to allow for inspection. Deficiencies shall be repaired to the satisfaction of the Engineer before backfilling or closing in.

**Thrust blocks.--**Thrust blocks shall be formed by pouring concrete between pipe and trench wall. Thrust blocks shall be sized and so placed as to take all thrusts created by maximum internal water pressure.

Plastic pipe underground shall be provided with thrust blocks and clamps at changes in direction of piping, connections or branches from mains 50 mm and larger, and all capped connections.

**Union.--**Unions shall be installed where shown and at each threaded or soldered connection to equipment and tanks. Unions shall be located so piping can be easily disconnected for removal of equipment or tanks. Unions shall be omitted at compression stops.

**Insulating union and insulating connection.--**Insulating union and insulating connection shall be provided where shown and at the following locations:

1. In metallic water connections into each. Insulating connections shall be installed on the exterior of the building, above ground and after shut-off valve.

2. In waterconnections in ground at point where new metallic pipes connect to existing metallic pipes. Install valve box above insulating connection.

3. At points of connections of copper or steel water pipes to steel domestic water heaters and tanks.

**Bonding at insulating connections.--**Interior water piping and other interior piping that may be electrically energized and are connected with insulating connections shall be bonded in accordance with the CEC. Bonding shall all be coordinated with electrical work.

**Compression stop.--**Each fixture shall be equipped with a compression stop installed on water supply pipes to permit repairs without shutting off water mains. Ball valves may be installed where shown on the plans or otherwise permitted by the Engineer.

**INSTALLATION OF VALVES**

**Exterior valves.--**Exterior valves located underground shall be installed in a valve box marked "Water." Extensions shall be provided as required.

**INSTALLATION OF HYDRANTS**

**Hydrant.--**Hydrants shall be installed with outlets 0.5 m above finished grade.

**INSTALLATION OF CLEANOUTS**

**Cleanouts.--**A concrete pad 0.5 m long and 100 mm thick shall be placed across the full width of trench under cleanout Wye or 1/8 bend. Cast iron soil pipe (C1 or C2) and fittings shall be used from Wye to surface. Required clearance around cleanouts shall be maintained.

Cleanout risers outside of a building installed in a surface other than concrete shall terminate in a cleanout to grade. Cleanout to grade shall terminate in a valve box with cover marked "CO-SS". Top of box shall be set flush with finished grade. Cleanout plug shall be 100 mm below grade and shall be located in the box to provide sufficient room for rodding.

**INSTALLATION OF MISCELLANEOUS ITEMS**

**Water hammer arrestor.--**Water hammer arrestor shall be installed so that they are vertical and accessible for replacement. Water hammer arrestor shall be installed with access door when in walls or there is no access to ceiling crawl spaces. Access door location shall be as approved by the Engineer.

**Flushing completed systems.--**All completed systems shall be flushed and blown out.

**Chlorination.--**The Contractor shall flush and chlorinate all domestic water piping and fixtures.

Calcium hypochlorite granules or tablets, if used, shall not be applied in the dry form, but shall first be dissolved into a solution before application.

The Contractor shall take adequate precautions in handling chlorine so as not to endanger workmen or damage materials. All pipes and fittings shall be completely filled with water containing a minimum of 50 ppm available chlorine. Each outlet in the system shall be opened and water run to waste until a strong chlorine test is obtained. The line shall then be closed and the chlorine solution allowed to remain in the system for a minimum of 24 hours so that the line shall contain no less than 25 ppm chlorine throughout. After the retention period, the system shall be drained, flushed and refilled with fresh water.

**FIELD QUALITY CONTROL**

**Testing.--**The Contractor shall test piping at completion of roughing in, before backfilling, and at other times as directed by the Engineer.

The system shall be tested as a single unit, or in sections as approved by the Engineer. The Contractor shall furnish necessary materials, test pumps, instruments and labor and notify the Engineer at least 3 working days in advance of testing. After testing, the Contractor shall repair all leaks and retest to determine that leaks have been stopped. Surplus water shall be disposed of after testing as directed by the Engineer.

The Contractor shall take precautions to prevent joints from drawing while pipes and appurtenances are being tested. The Contractor shall repair damage to pipes and appurtenances or to other structures resulting from or caused by tests.

**General tests.--**All piping shall be tested after assembly and prior to backfill, pipe wrapping, connecting fixtures, wrapping joints and covering the pipe. Systems shall show no loss in pressure or visible leaks.

The Contractor shall test systems according to the following schedule for a period of not less than 4 hours:

| Test Schedule | | |
|---|---|---|
| Piping System | Test Pressure | Test Media |
| Sanitary sewer and vent | 3.0 m head | Water |
| Water | 860 kPa | Water |

During testing of water systems, valves shall be closed and pipeline filled with water. Provisions shall be made for release of air.

Sanitary sewers shall be cleared of obstructions before testing for leakage. The pipe shall be proved clear of obstructions by pulling an appropriate size inflatable plug through the pipe. The plug shall be moved slowly through the pipe with a tag line. The Contractor shall remove or repair any obstructions or irregularities.

## 12-15.03 MECHANICAL INSULATION

### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of furnishing and installing mechanical insulation in accordance with the details shown on the plans and these special provisions.

Piping insulation shall be installed on all domestic hot water piping, above grade, in non-conditioned spaces.

P-trap, hot water supply pipes and angle valves for lavatories and sinks shall be insulated.

Duct insulation shall be installed on all rigid ductwork installed in concealed non-conditioned spaces.

Duct liner shall be installed in all rectangular ductwork installed in exposed non-conditioned spaces. Plenum liner shall be installed in all plenums in non-conditioned spaces or in walls facing a non-conditioned space.

#### QUALITY ASSURANCE

**Codes and standards.--**Mechanical insulation shall conform to California State Energy Commission regulations and, where applicable, shall meet American Society of Testing and Materials (ASTM) standards.

All materials shall bear the label of the Underwriters Laboratory (UL) or other approved testing laboratory indicating that the materials proposed for use conform to the required fire hazard ratings.

Pipe safety insulation shall conform to Section 1504(b) of the CPC.

### PART 2.- PRODUCTS

#### MATERIAL

**General.--**All pipe insulation and wrapping material, including adhesives and jackets, located within buildings shall be certified to have a composite flame spread rating of not more than 25 and smoke development rating of not more than 450 when tested in accordance with ASTM Designation: E 84.

Duct insulation and wrapping material, including adhesives and jackets, located within buildings shall be certified to have a composite flame spread of not more than 25 and smoke development rating of not more than 50 when tested in accordance with ASTM Designation: E 84.

**Domestic water piping insulation**

Piping insulation shall be glass fiber molded pipe insulation with factory applied jacket suitable for service temperatures up to 175°C. Covering jacket shall have pressure sealing lap adhesive joints. Pipe insulation shall have a minimum thermal resistance of R-0.5 K•$m^2$/W. Insulation and jackets shall be Owens-Corning, Fiberglass 25 with ASJ/SSL All Service Jacket; Manville, Micro-Lok 650ML with AP-T All Purpose Jacket; or equal.

**Piping insulation cement**

Insulation cement shall be Fenco, All Purpose Cement; Manville, JM375; or equal.

**PVC jacket**

PCV jacket shall be rated for a service temperature of 80°C. PVC jacket shall include covers specifically designed to cover pipe fittings.

**Alternative pipe insulation**.--

Alternative pipe insulation shall be closed cell, elastomeric material in a flexible tubular form. Insulation shall have a service temperature range between -40°C and 93°C, a minimum vapor transmission rating of 0.29 Perm-m, and a minimum thermal resistance of R-0.5 K•$m^2$/W.

**Pipe safety insulation**

Pipe safety insulation for P-traps, hot water supply pipes and angle valves shall be molded closed cell vinyl or closed cell foam with exterior vinyl surface. Pipe safety insulation shall be configured to protect against contact. Pipe safety insulation shall be Truebro Inc., Handi Lav-guard; Plumberex Specialty Products, Handy Shield; or equal.

**Plenum and duct liner**

Plenum and duct liner shall be 25 mm minimum thickness. Material and coatings shall be fire resistive and shall be approved by the State Fire Marshal. Liner shall be Gustin-Bacon, Ultra-Liner duct insulation; Owens-Corning Fiberglas, Type CE; Gustin-Bacon, coated insulation Board No. 90-A; Owens-Corning Fiberglas 0.7 kg density coated flexible duct liner; Johns-Manville, MicroBar, or 0.7 kg density coated Microlite; Pittsburgh Plate Glass, Superfine 0.7 kg density coated interior duct insulation; or equal.

**Adhesive**

Adhesive shall be non-flammable type: Benjamin Foster Company, No. 85-20 Spark Safe; Goodloe E. Moore Company, Tuff Bond No. 6; Permacel, No. PA-3l0; 3M, No. 38 Insulation Adhesive; Swift's, No. 7228 brush type or No. 7336 spray type; Chicago Mastic, 17-461; or equal.

**Studs**

Studs shall be cement-in-place type, pneumatic driven type or percussive welding type, and shall have 25 mm minimum diameter washers.

**Insulation inserts**

Insulation inserts at pipe hangers supports for pipes NPS 2 or larger shall be calcium silicate, cellular glass, or other acceptable material of the same thickness as the adjacent insulation and not less than 6 kg density.

## PART 3.- EXECUTION

### INSTALLATION

**General.--**Insulation materials shall be neatly installed with smooth and even surfaces, jackets drawn tight and smoothly cemented down.

Insulation material shall not be installed until all pipes or surfaces to be covered are tested for leaks, cleaned and dried, and foreign materials, such as rust, have been removed.

**Piping insulation.**--Piping insulation shall be in accordance with the following, except that unions, unless integral with valves, and flexible connections shall not be insulated.

a. Where insulation butts against flanges or is discontinued, insulation shall be tapered to pipe to allow for covering jacket to completely seal off end of insulation.

   Insulation shall be extended on the valve bodies up to the valve bonnet.

   Extend insulation continuous through pipe hangers and pipe sleeves. At hangers where pipe is supported, provide an insulated protection shield.
   Insulating cement shall be applied to fittings, valves, and strainers and troweled smooth to thickness of adjacent covering. Strainer cleanout plugs shall remain accessible. Covers fabricated from molded pipe covering may be used in lieu of cement, provided covers are neat and well secured.

b. Jacket flap shall be sealed down with factory applied self-sealing lap. Seams shall be lapped not less than 40 mm. Jacket shall be secured with aluminum bands installed at 300 mm centers.

c. Exposed outdoor insulation shall have an additional 0.40 mm minimum thickness aluminum jacket applied over the completed insulation. The jacket shall have a factory applied moisture barrier and shall be Childers; Smith; or equal.

   End joints shall be lapped with aluminum holding traps located directly over the lap. Additional aluminum holding straps shall be placed at 200 mm centers. Jacket at ells and tees shall be mitered, or premanufactured fitting jackets shall be provided, with additional aluminum holding bands, as required. All joints shall be sealed watertight using silicon type, heat resistant sealant.

Alternate pipe insulation, where used, shall be installed on hot water piping before connections are made or the insulation may be slit lengthwise, applied to pipe and sealed with adhesive.

**Pipe safety insulation.**--Pipe safety insulation shall be installed in accordance with the manufacturer's recommendations.

**Duct insulation.**--Ragged edges shall be repaired or taped. Coverings shall be neatly finished at joints and edges. Each joint shall have a 50 mm minimum lap.

Where transitions are made between externally covered ducts and lined ducts, the lined duct shall be overlapped 200 mm with external covering.

Insulation shall be flush with but not cover control devices, damper controls or access doors.

Before insulation is wrapped around concealed ducts, an adhesive shall be spot applied at a maximum of 100 mm centers on each side of the ducts to prevent sagging of the insulation. Insulation shall be wrapped entirely around the ducts and shall be wired securely in place with No. 16 copper clad wire, metal bands at least 10 mm wide or plastic ties. Supports shall be spaced a maximum of 300 mm on centers. Metal bands shall be installed with the use of a banding machine. Seams in the insulation shall be taped.

The finished insulation covering shall be even and level and shall not contain humps.

**Plenum and duct liner.**--Plenums and exposed ducts shall be lined with plenum and duct liner. Plenums and ducts shall be sized to provide the clear inside dimensions shown on plans after the liner is installed .

The insulation shall be applied with coated side exposed to air stream to prevent surface erosion.

The lining shall be fastened in place with adhesive and with studs with washers spaced a maximum of 500 mm on center each way.

**Applying adhesive.**--The adhesive shall be liberally applied over entire interior surfaces of ducts or plenums.

**Stud installation.**--Studs shall be installed as follows:

a. Cement-In-Place Type Studs.--Cement-in-place type studs shall be cemented in place with adhesives manufactured for this purpose and shall be as recommended by the stud manufacturer. Cement-in-place type studs shall be used where concrete walls form part of plenum.

b. Percussive Welding Type Studs.--Percussive welding type studs shall be carefully welded in place with current settings that will not appreciably burn galvanizing on opposite side of the sheet metal.

## 12-15.04 PLUMBING FIXTURES

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work shall consist of furnishing and installing plumbing fixtures in accordance with the details shown on the plans and these special provisions.

### PART 2.- PRODUCTS

**General.--**Plumbing fixtures shall be white in color and shall meet the following requirements:

**Water closet (disabled accessible, floor mounted with flush valve)**

Disabled accessible water closet shall be vitreous china, floor mounted, siphon jet, 410 mm to 440 mm high elongated bowl, 40 mm top spud for exposed flush valve, with solid plastic open front elongated seat with check hinges. Water closet shall meet or exceed Americans with Disabilities Accessibility Act Guidelines (ADAAG) and ANSI Standards: A117.1 and A112.19.2. Closet and accessories shall be of the following types or equal:

| | American Standard | Crane | Kohler |
|---|---|---|---|
| Closet | “Cadet 17H EL1.6/FV"<br>3043.102 | "Hymont”<br>3H-701 | "Highcrest"<br>K-4271 |
| Seat | Church<br>5321.070 | Olsonite<br>95 | "Lustra"<br>K-4670-C |
| Flush valve | Exposed, diaphragm type, chrome plated, with oscillating handle, integral control stop, adjustable tail piece and vacuum breaker suitable for use with 40 mm spud water closets. | | |

**Lavatory (wall-mounted)**

Lavatory shall be vitreous china, with back, integral perforated grid drain, drilled for 102 mm centers, size 508 mm x 457 mm, with single extra long lever mixing faucet and chair carrier with concealed arms. Lavatory shall be equipped with temperature controls to limit the hot water supply to 43°C. Lavatory shall be equipped with a flow limiting device that limits the flow rate of hot water to no more than 2 liters per minute. Lavatory and accessories shall be of the following types or equal:

| | Eljer | Crane | Kohler |
|---|---|---|---|
| Lavatory | "Lucerne"<br>0355.012 | "Norwich"<br>1-194-V | "Greenwich"<br>K-2032 |
| Drain | -- | C-1065-G<br>or Moen<br>52659 | K-7715 |
| Supplies | Brass Craft<br>FR1711C | C-1151<br>or Moen<br>52664 | K-7605 |
| Faucet | 2385.130 | Moen<br>8400 | K-15592-5 |
| Trap | 32 mm chromium plated brass exposed bent tube adjustable<br>1.37 mm (17-gage) minimum thickness. | | |
| Carrier | Concealed wall mounted carrier with leveling screws and locking devices; Zurn, J.R. Smith, Josam, Wade, Jonespec, or equal. | | |

**Mop sink**

Mop sink shall be acid resisting enameled cast iron, 711 mm x 711 mm outside dimensions, 75 mm trap, vinyl coated rim guard, vacuum breaker faucet with hose and wall hook. Sink and accessories shall be of the following types or equal:

| | American Standard | Eljer | Kohler |
|---|---|---|---|
| Mop sink | "Florwell"<br>7740.020 | "Custodial"<br>242-0050 | "Whitby"<br>K-6710 |
| Strainer | 7721.038 | 803-0630 | K-9146 |
| Faucet | 8344.111 | 749-1450 | K-8928 |

**Water heater (electric)**

Water heater shall be minimum capacity as shown on plans, designed for minimum 860 kPa, interlocking (non-simultaneous) or single element, glass lined, and equipped with magnesium anodes, cold water drop tube, high temperature energy shut-off device, valved drain, high density R-1.4 K• $m^2$/W minimum foam insulation and finished with a steel jacket with baked enamel finish. Water heater shall meet the requirements of the California Energy Commission.

Water heater shall be equipped with an ASME labeled, tank mounted, pressure and temperature relief valve sized for maximum input.

**Electric water cooler (disabled accessible, wall mounted)**

Electric water cooler shall be wall mounted, wheelchair accessible, and shall produce a minimum of 28 liters of 10°C water per hour based upon an inlet water temperature of 27°C and an ambient room temperature of 32°C. Cooler shall have self closing, front and side mounted pushbar actuators, shielded bubbler, automatic stream regulator, loose key stop, adjustable thermostat and cast brass P-trap.

Compressor shall be hermetically sealed, positive start with fan cooled condenser and shall be mounted above the cooler top. Cooler shall be provided with 3-wire grounded plug and cord.

Electric water cooler shall be Haws, HWCA8D; Sunroc, HCWC-8S; Elkay, EHFS-8; or equal.

**Emergency eyewash and shower**

Emergency eyewash and shower shall be **Relocated and reconfigure as shown on the plans.**

### PART 3.- EXECUTION

### INSTALLATION

**General.--**All finish for exposed metal on any fixture, including wall flanges, bolts, nuts and washer, shall be polished chrome plated.

Fixtures shall be sealed to wall or floor with silicone caulk bead.

All exposed metal surfaces on fixture supports shall be enameled to harmonize with fixtures.

Wall mounted fixtures shall be installed on concealed chair carriers designed to support weight of fixture from the floor, made for the specific fixture to be supported and for the particular installation conditions.

All fixtures, including showers, shall be provided with accessible metal stop valves.

Hot water supply, trap and tailpiece on lavatories shall be wrapped with insulating material.

Flush valves for fixtures designated on the plans as disabled accessible shall be installed so that the valve handle is on the widest side of the toilet space.

### FIXTURE MOUNTING HEIGHTS

**General.--**Unless otherwise noted, fixtures shall be mounted at the heights shown on the plans.

**Mop sink.--**Mop sink double faucet shall be mounted on wall above sink back with spout outlet face one meter above the floor.

**Water heater.--**Water heater shall be installed with seismic restraints, inlet ball valve and insulating connections, and 20 mm pressure and temperature relief drain pipe.

**Emergency eyewash and shower.--**Emergency eyewash and shower shall be installed with a rigid bracket located 1.2 m above the floor. Bracket shall be minimum 1.52 mm (16-gage) steel and shall be braced to the wall.

### FIELD QUALITY CONTROL

**Testing.--**The Contractor shall test piping in accordance with the requirements specified elsewhere in these special provisions.

All installed fixtures shall be tested for proper operation after all plumbing work has been completed.

## 12-15.05 HEATING, VENTILATING AND AIR CONDITIONING EQUIPMENT AND SYSTEMS

### PART 1.- GENERAL

**Scope.--**This work shall consist of furnishing, installing and testing heating, ventilating and air conditioning (HVAC) equipment and systems in accordance with the details shown on the plans and these special provisions.

The performance rating and electric service of the HVAC equipment shall be as shown on the plans.

**Temperature controls.--**Thermostats, relays, timer switches, and other sensor type control devices required for this work shall be furnished and installed by the supplier of the heating, ventilating and air conditioning equipment. All temperature control wiring shall be furnished and installed in accordance with the requirements specified in Section 12-16, "Electrical," of these special provisions.

**Codes and standards.--**Equipment and systems shall conform to California State Energy Commission Regulations and, where applicable, shall be American Refrigeration Institute (ARI), American Gas Association (AGA), Sheet Metal and Air Conditioning Contractors National Association, Inc. (SMACNA), and Air Movement and Control Association (AMCA) approved for performance ratings and application shown on the plans.

Any appliance for which there is a California standard established in the Appliance Efficiency Standards may be installed only if the manufacturer has certified to the Commission, as specified in those regulations, that the appliance complies with the applicable standards for that appliance. Space conditioning equipment may be installed only if the manufacturer has certified that the equipment meets or exceeds all applicable efficiency requirements listed in the Energy Efficiency Standards.

## PART 2.- PRODUCTS

### HEATING AND COOLING UNITS

#### Heat pump (split system)

Heat pump (split system) shall be factory matched unit consisting of indoor fan coil unit with electrical resistance heating, outdoor condensing unit .

Refrigerant lines and condensate drain piping shall be as specified elsewhere in these special provisions.

Outdoor condensing unit shall be factory-assembled, air-cooled, charged and tested, factory-wired for single point power and control connections, and shall be enclosed in a weatherproof acoustically lined cabinet with convenient access panels and a baked-on enamel finish. The compressor shall be the hermetically sealed type, and shall be provided with quick start components, pressure relief valve, high and low pressure switches, liquid-line filter-dryer, crankcase heater, short cycling protection, and service valves.

Indoor fan coil unit shall be adjustable V-belt drive type or the multi-speed direct drive type. The motor shall be provided with thermal overload protection.

The indoor coils shall be provided with refrigerant metering devices, check valves, and filter-dryers.

Cabinet shall be fabricated of heavy-gage, mill galvanized steel , and shall be lined with fire retardant insulation, with baked-on enamel finish and condensate drain pan.

Fan-coil unit shall provide the required air flow against the external static pressure shown on the plans.

Electric resistance heater shall be UL listed for the intended use, factory installed and shall be pre-wired for convenient hookup

### FANS AND VENTILATORS

#### Exhaust fan (ceiling mounted)

Exhaust fan shall be ceiling mounted, AMCA certified and shall be equipped with grille, backdraft damper and metal housing. Exhaust fan motor shall have integral thermal overload protection. Ceiling exhaust fan shall be Breidert, ILG, Penn, or equal.

### HVAC CONTROLS

#### Thermostat (office only)

Thermostat shall be 24-volt, 7-day programmable, electronic heating/cooling thermostat, with the ability to program the fan-on mode during normal working hours, and fan-off mode during unoccupied periods. Thermostat shall be provided with sub-base selector switches for "AUTO-HEAT-OFF-COOL" and fan "AUTO-ON". Thermostat shall be auto-changeover type, and have full temperature range setback capacity. Thermostat shall be Robertshaw, 7900; Honeywell, T7300; or equal.

### AUXILIARY HVAC COMPONENTS

Unless specified herein, all components shall be sized and have the characteristics as shown on the plans.

**Rigid ductwork**

Rigid ductwork shall be galvanized steel sheet metal conforming to the latest edition of the SMACNA "Low Velocity Duct Construction Standards." Galvanized steel shall be cleaned by washing with mineral spirit solvent sufficient to remove any oil, grease or other materials foreign to the galvanized coating.

**Spiral duct**

Spiral duct shall be prefabricated type.

**Duct supports**

Duct supports shall be hot-dip galvanized steel.

**Flexible ductwork**

Flexible ductwork shall be UL 181, Class 1 air duct rated and shall meet the requirements of NFPA 90-A. Duct shall have steel helix wire, flexible insulation, minimum thermal resistance of R-0.7 ($m^2$*K/W), and flame resistant vapor barrier. Inner and outer surfaces shall be non-metallic. Outer surface shall be Copolymer or Mylar, factory applied.

**Flexible connection**

Flexible connection shall be prefabricated type and shall be commercial quality flexible glass fabric coated on both sides with neoprene or hypalon.

**Ceiling diffuser (for suspended ceilings)**

Ceiling diffuser for suspended ceilings shall be 610 mm square. Diffuser shall be steel with oven baked-on enamel bone white dull finish or extruded aluminum, perforated face hinged for easy access, and shall be fitted with fully adjustable air pattern controllers, a removable core, and a standard flanged frame; Titus, PAS; Air Mate, 700; or equal.

**Return register (for suspended ceilings)**

Return register for suspended ceilings shall be 610 mm square, steel or extruded aluminum, perforated face hinged for easy access; Air Mate, 700RA; Titus, PAR; or equal.

**Balance damper**

Balance damper shall be butterfly type, 1.52 mm (16-gage) minimum galvanized steel blade, end bearings with steel shaft and locking and indicator operator. Balance damper shall be Ventlock, Young, Anemostat, or equal.

**Air filter (for truck inspection facilities)**

Air filter for truck inspection facilities shall be throwaway type, 50 mm minimum thickness, shall be approved for Class 2 use, shall have an average efficiency rating of between 30 and 35 percent as determined when tested in accordance with ASHRAE Test Standard 52, and shall be mounted in 1.61 mm (16-gage) galvanized steel holding frames.

**Vents and flues (for heaters)**

Vents and flues for heaters shall be approved Type B or approved plastic vents for condensing furnaces.

**Refrigerant and condensate drain piping**

Refrigerant and condensate drain piping shall be rigid, Type L copper tubing with brazed solder fittings. The suction line shall be insulated, with vapor barrier and shall be weatherproofed for exterior installation. Factory sealed tubing shall not be used.

## PART 3.- EXECUTION

## INSTALLATION

**Ventilators**--Exhaust ducts connected to exhaust fans shall be routed as shown on the plans and shall terminate in a weatherproof cap. Duct sizes shall be as shown on the plans or as recommended by the manufacturer, whichever is larger.

**Condensate drains.**--Heat pump shall be provided with condensate drain trap and piping. Outdoor piping shall extend as shown on the plans. Air gap shall be installed where required by code. Interior condensate drain piping shall be insulated with foam insulation.

**Mounting heights.**--Thermostats shall be installed as shown on the plans.

**Vents .**--Vents shall be securely fastened to the building construction, shall be provided with a collar at all ceiling penetrations.

**Ducts and vents.**--Ductwork within the building shall be installed to clear lighting fixtures, doors, windows and other obstructions. Ductwork shall preserve head room and shall keep openings and passageways clear whether shown on plans or not.

Ductwork shall be installed and braced according to the latest edition of the SMACNA "HVAC Duct Construction Standards."

Slopes in sides at transitions shall be approximately one to five. The ductwork system shall not contain abrupt changes or offsets of any kind unless otherwise shown on the plans.

Where ducts pass through walls, floors or ceilings, galvanized sheet metal or steel angle collars shall be installed around the ducts.

Duct sections shall be connected by beaded sleeve-type couplings using joint sealer as recommended by the duct manufacturer. Duct sections shall be mechanically fastened with pop rivets or sheet metal screws and sealed with mastic or insulated, reinforced silver tape.

Flexible connections shall be provided at both inlet and outlet of fan coil and ventilating units.

Sheet metal plenums shall be adequately braced and supported from the floor or structure with structural steel angles to prevent sagging, flexing and vibration.

All standing seams and transverse joints of supply, return and exhaust ducts and seams around plenums, fan and coil housings shall be sealed with sealant and taped.

**Duct penetrations in fire rated assemblies.**--Where ductwork passes through fire rated wall, floor or ceiling assemblies, the penetration shall be protected in accordance with the requirements specified under "Through-Penetration Firestopping" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

**FIELD QUALITY CONTROL**

**Pre-test requirements.**--Before starting or operating systems, equipment shall be cleaned and checked for proper installation, lubrication and servicing.

In each system, at least one air path, from fan to final outlet, shall have all balance dampers open. The final air quantities shall be achieved by adjusting the volume dampers or the fan RPM.

Final adjustments and balancing of the systems shall be performed in such a manner that the systems will operate as specified and as shown on the plans.

The Contractor shall replace or revise any equipment, systems or work found deficient during tests.

All automatic operating devices which are pertinent to the adjustment of the aforementioned air systems shall be set and adjusted to deliver the required quantities of air and at temperatures specified by the Engineer. All control work shall be done in collaboration with the control manufacturer's representative.

**Project completion tests.**--The Engineer shall be notified at least 3 working days in advance of starting project completion tests.

The project completion tests shall consist of the following:

1. **Air Systems.**--All air systems shall be tested and balanced to the conditions set forth on the plans and in these special provisions. This work shall be performed by an Associated Air Balance Council (AABC) or National Environmental Balancing Bureau (NEBB) certified contractor. The air systems include, but are not necessarily limited to, the following:

a. Supply air systems
b. Return air systems
c. Exhaust air systems

2. **Operational Data.--**The tests shall include operation of the heating, cooling, and ventilating systems for not less than two 8-hour days, each system shall operate at not less than 90 percent of their full specified capacities.

The required data shall be accurately measured. The data shall be measured during one operational cycle in the presence of the Engineer and shall be submitted for approval.

The following data shall be measured and tabulated:

a. Ambient temperatures and conditions, °C
b. Supply and return air quantities, L/sec, each room
c. Thermostat set point, °C
d. Air temperatures at room center, °C
e. Fan motor amperages and voltages
f. System static pressures, Pa

## SECTION 12-16. ELECTRICAL

### 12-16.01 ELECTRICAL WORK

#### PART 1.- GENERAL

#### SUMMARY

**Scope.--**This work shall consist of performing electrical work in accordance with the details shown on the plans and these special provisions.

Electrical work shall include furnishing all labor, materials, equipment and services required to construct and install the complete electrical system shown on the plans and the work of installing electrical connections for the thermostats, motors, and controls specified elsewhere in these special provisions.

System layouts are generally diagrammatic and location of equipment is approximate. Exact routing of conduits and other facilities and location of equipment is to be governed by structural conditions and other obstructions, and shall be coordinated with the work of other trades. Equipment requiring maintenance and inspection shall be located where it is readily accessible for the performance of such maintenance and inspection.

**Related work.--**Earthwork, foundations, sheet metal, painting, mechanical and such other work incidental to and necessary for the proper installation and operation of the electrical work shall be done in accordance with the requirements specified for similar work elsewhere in these special provisions.

#### CLOSEOUT SUBMITTALS

**Operation and maintenance manuals.--**Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be in a bound manual form and shall be complete and adequate for the equipment installed. Inadequate or incomplete material will be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

Manuals shall be submitted for the following equipment:

Loop detector module
Programmable logic controller
Lane sorter signal head
CCTV system

## QUALITY ASSURANCE

**Codes and standards.--**All work performed and materials installed shall be in accordance with the CEC and the California Code of Regulations, Title 8, Chapter 4, "Electrical Safety Orders." .

**Warranties and guarantees.--**Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

## TESTING

After the electrical system installation work has been completed, the electrical system shall be tested in the presence of the Engineer to demonstrate that the electrical system functions properly. The Contractor shall make necessary repairs, replacements, adjustments and retests at his expense.

# 12-16.02 BASIC MATERIALS AND METHODS

## PART 1.- GENERAL

## SUMMARY

**Scope.--**This work shall consist of furnishing and installing conduits, conductors, fittings, and wiring devices in accordance with the details shown on the plans and these special provisions.

Conduits, conductors, fittings, and wiring devices shall include those accessories and appurtenances, not mentioned, that are required for the proper installation and operation of the electrical system.

**Related work.--**Roof penetrations shall be flashed and sealed watertight conforming to the requirements specified under "Sheet Metal Flashing" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

Where conduits pass through fire rated walls, floor or ceiling assemblies, the penetrations shall be protected in accordance with the requirements specified under "Through-Penetration Firestopping" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

## SUBMITTALS

**Product data.--**A list of materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval. Any other data as requested by the Engineer shall also be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions for recessed junction and pull boxes, and component layout shall be included where applicable. All control and power conductors on the shop drawings shall be identified with wire numbers.

## PART 2.- PRODUCTS

## CONDUITS AND FITTINGS

### Rigid steel conduit and fittings

Rigid steel conduit shall be threaded, full weight rigid steel, hot-dip galvanized inside and outside with steel or malleable iron fittings. Fittings shall be threaded unless otherwise specified or shown on the plans.

Split or three-piece couplings shall be electroplated, malleable cast iron couplings.

Insulated grounding bushings shall be threaded malleable cast iron body with plastic insulated throat and steel, lay-in ground lug with compression screw.

Insulated metallic bushings shall be threaded malleable cast iron body with plastic insulated throat.

**Electrical metallic tubing (EMT) and fittings**

Electrical metallic tubing shall be formed of cold rolled strip steel, electrical resistance welded continuously along the longitudinal seam with zinc coating outside and enamel or lacquer coating inside.

Couplings shall be electroplated, rain and concrete tight, gland compression type, steel body couplings with malleable iron nuts.

Connectors shall be electroplated, rain and concrete tight, gland compression type, steel body connectors with male hub, malleable iron nut and insulated plastic throat.

**Flexible metallic conduit and fittings**

Flexible metallic conduit shall be fabricated in continuous lengths from galvanized steel strip, spirally wound and formed to provide an interlocking design.

Fittings shall be electroplated screw-in type with malleable cast iron body and threaded male hub with insulated throat.

**Liquid tight flexible metallic conduit and fittings**

Liquid tight flexible metallic conduit shall be fabricated in continuous length from galvanized sheet steel, spirally wound and formed to provide an interlocking design with an extruded polyvinyl chloride cover.

Fittings shall be electroplated, malleable cast iron body, with cap nut, grounding ferrule, and connector body with insulated throat.

**Wireway**

Wireway for communication cables shall be a single compartment all aluminum raceway with a continuous snap-in removable cover. Finish shall be clear anodized aluminum. Provide end fittings and trim plates that are intended for the surfaces to which installed.

**CABLES AND CONDUCTORS**

**Cables**

Cables for CCTV system and PA system shall be as specified elsewhere in these special provisions. Cables for extending to existing load cell and loop detector systems shall match existing cables and shall be per recommendation of load cell and loop detector manufacturers respectively.

Telephone Cables: Telephone Cables from telephone terminal board to telephone outlets shall be twisted unshielded 4-pair (UTP) category 5e with #24 AWG solid copper conductors.

Telephone Cables from telephone terminal board to telephone service point shall be twisted in pairs unshielded 12-pair (STP) of #19 AWG solid copper conductors. The shield shall be a corrosion and oxidation resistant corrugated aluminum tape applied longitudinally overall. The outer jacket shall be a black, low density high molecular weight polyethylene material. Terminal block shall be provided to terminate the 12-pair telephone cable.

**Conductors**

Conductors shall be stranded copper wire.

Conductor insulation types unless otherwise shown or specified, shall be as follows:

1. Conductors across hinges of control panel enclosures shall be Type MTW.
2. Conductors shall be type XHHW-2 in wet, underground, and outdoor locations.
3. Conductors shall be type THHN in dry locations.

### Wire connections and devices

Wire connections and devices shall be pressure or compression type, except that connectors for No. 10 AWG and smaller conductors in dry locations may be preinsulated spring-pressure type.

## ELECTRICAL BOXES

### Outlet, device and junction boxes

Unless otherwise shown or specified, boxes shall be galvanized steel boxes with knock-outs and shall be the size and configuration best suited to the application indicated on the plans. Minimum size of outlet, receptacle, switch or junction boxes shall be 100 mm square by 40 mm deep, except that switch boxes for the installation of single switches and outlet boxes for flush-mounted light fixtures shall be 50 mm by 75 mm by 40 mm deep.

Multiple switches shall be installed in standard gang boxes, unless otherwise specified or shown on the plans.

Cast metal boxes shall be cast iron boxes with threaded hubs and shall be of the size and configuration best suited to the application shown on the plans.

Flush-mounted boxes shall have stainless steel covers, one mm thick. Cover screws shall be metal with finish to match cover finish.

Unless otherwise shown or specified, surface-mounted boxes shall have galvanized steel covers with metal screws.

Weatherproof junction boxes shall have cast metal covers with gaskets.

Weatherproof switch and receptacle boxes shall have gasketed covers with gasketed hinged flaps to cover switches and receptacles.

Sectional device plates will not be permitted.

### Underground pull boxes

Pull boxes shall be high density reinforced concrete box with ultraviolet inhibitor polyethylene etched face anchored in concrete and fiberglass cover with hold down bolts. The polyethylene and fiberglass material shall be fire resistant and show no appreciable change in physical properties with exposure to the weather. No. 3 1/2 pull box shall be Brooks Products, No. 3 1/2; Christy Concrete Products, N9; or equal. No. 5 pull box shall be Brooks Products No. 5; Christy Concrete Products, N30; or equal.

Traffic rated pull boxes shall be high density reinforced concrete box with steel cover with hold down bolts and bonding strap. Pull box and cover shall be designed for H20 loading. No. 3 1/2 pull box shall have inside dimensions of 270 mm by 440 mm and No. 5 pull box shall have inside dimensions of 335 mm by 610 mm.

## RECEPTACLES AND SWITCHES

### Ground fault circuit interrupter receptacles, (GFCI)

Ground fault circuit interrupter receptacles shall be NEMA Type 5-20R, feed-through type, ivory color, 3-wire, 20-ampere, 125-volt AC, grounding type, specification grade, duplex receptacle with ground fault interruption. Receptacle shall detect and trip at current leakage of 5 milliamperes and shall have front mounted test and reset buttons.

### Duplex receptacles

Duplex receptacles shall be NEMA Type 5-20R, 3-wire, 20-ampere, 125-volt AC, safety grounding, ivory color, specification grade receptacle suitable for wiring with stranded conductors.

**Multi-outlet assemblies**

Multi-outlet assemblies shall be 3-wire, 15-ampere, 125-volt AC, 1600 mm long strip, grounding type receptacles spaced 304 mm on center. Receptacles shall be wired on alternate circuits; circuit numbers shall be marked on the cover adjacent each receptacle outlet. The assembly shall be provided with the necessary entrance end fitting and blank end fitting. Multioutlet assembly shall be a single compartment all aluminum raceway with a continuous snap-in removable cover. Finish shall be clear anodized aluminum. Mounting shall be face up in the electrical chase as indicated on the plans.

**Snap switches**

Snap switches shall be 20-ampere, 120/277-volt AC, quiet type, specification grade, ivory color switch with silver cadmium alloy contacts. Switch shall be suitable for wiring with stranded conductors.

**Wall switch occupancy sensor, Type 1**

Wall switch occupancy, type 1, shall be wall-mounted, passive infrared (PIR) sensor switch with adjustable photocell override and time delay. Switch shall be rated at 800 W (minimum) incandescent or 1200 VA (minimum) fluorescent at 120 V (ac) and operate on 120/277 V (ac). The switch shall be capable of manual-on/automatic off mode. The sensor switch shall cover a minimum of 80 square meter of floor area, be suitable for installation in a single gang box, and shall have a field of view of not less than 180 degrees. The time delay off setting shall be adjustable from 30 seconds to 30 minutes, initially set at 10 minutes. Light level adjustment shall be adjustable from 30 lux to 2000 lux, initially set at 800 lux.

**Wall switch occupancy sensor, Type 2**

Wall switch occupancy sensor, type 2, shall be wall-mounted, passive infrared dual relay sensor switch with adjustable photocell override and time delay. Primary relay shall be rated at 800 W (minimum) incandescent or 1200 VA (minimum) fluorescent at 120 V (ac). Secondary relay shall be rated at 800 W (minimum) incandescent or 800 VA (minimum) fluorescent at 120 V. Switch shall operate on 120/277 V (ac). The relays in the sensor shall be capable of simultaneously controlling 2 different lighting loads or circuits. The second relay shall be independent allowing for two circuit control. The unit shall have dual manual override switches that can be used to toggle manual-on/automatic off mode for each lighting load. Sensor shall have audible alert to indicate impending light shut off. The sensor switch shall cover a minimum of 90 square meter of floor area, be suitable for installation in a single gang box, and shall have a field of view of not less than 180 degrees. The time delay off setting shall be adjustable from 30 seconds to 30 minutes, initially set at 10 minutes. Light level adjustment shall be adjustable from 30 lux to 2000 lux, initially set at 800 lux.

**MISCELLANEOUS MATERIALS**

**Warning Tape**

Warning tape shall be 100 mm wide and contain the printed warning "CAUTION ELECTRICAL CONDUIT" in bold 19 mm black letters at 760 mm intervals on bright orange or yellow background. The printed warning shall be non-erasable when submerged under water and resistant to insects, acids, alkali, and other corrosive elements in the soil. The tape shall have a tensile strength of not less than 70 kg per 100 mm wide strip and shall have a minimum elongation of 700 percent before breaking.

**Pull ropes**

Pull ropes shall be nylon or polypropylene with a minimum tensile strength of 225 kg.

**Watertight conduit plugs**

Watertight conduit plugs shall be a hollow or solid stem expansion plugs complete with inner and outer white polypropylene compression plates and red thermoplastic rubber seal. Seal material shall be non-stick type rubber resistant to oils, salt, and alkaline substances normally available at the construction sites.

**Anchorage devices**

Anchorage devices shall be corrosion resistant, toggle bolts, wood screws, bolts, machine screws, studs, expansion shields, and expansion anchors and inserts.

**Electrical supporting devices**

Electrical supporting devices shall be one hole conduit clamps with clamp backs, hot-dipped galvanized, malleable cast iron.

Construction channel shall be 41 mm x 41 mm, 2.66 mm (12-gage) galvanized steel channel with 13 mm diameter bolt holes, 40 mm on center in the base of the channel.

**Ground rod(s)**

Ground rod(s) shall be a 19 mm (minimum) galvanized or copper clad steel rod, 3 meters long.

**Telephone outlet boxes**

Telephone outlet boxes shall be 102 mm square boxes and plates with modular type telephone outlet. Boxes on stud walls shall have plaster ring.

**Telephone terminal board, TTB**

Telephone terminal board shall be a flush mounted, NEMA 1, galvanized steel enclosure with gray polyester powder finish, continuous hinged door, flush-locking latch, and wood mounting panel inside. Cabinet shall be 457 mm wide by 610 mm high by 102 mm deep.

Plates for flush mounting outlets in finished room shall be Type 430 stainless steel, one mm thick with satin finish.

## PART 3.- EXECUTION

## INSTALLATION

**Conduit, general.--**Rigid steel conduit shall be used unless otherwise shown on the plans or specified in these special provisions.

Electrical metallic tubing may be used in furred spaces and for exposed work indoors above the switch height.

Unless otherwise specified or shown on the plans, flexible metal conduit shall be used to connect suspended lighting fixtures, motors, HVAC equipment, and other equipment subject to vibration in dry locations.

Unless otherwise specified or shown on the plans, liquid-tight flexible metal conduit shall be used to connect motors, HVAC equipment, and other equipment subject to vibration in wet locations.

Rigid non-metallic conduit shall be used at the locations shown on the plans for direct underground burial 762 mm below grade. All risers and elbows through building floors shall be rigid steel.

**Conduit installation.--**Conduit trade sizes are shown on the plans. No deviation from the conduit size shown on the plans will be permitted without written permission from the Engineer.

Conduit shall be concealed unless otherwise shown on the plans.

Conduits shall be tightly covered and well protected during construction using metallic bushings and bushing "pennies" to seal open ends.

Rigid non-metallic conduit bends of 30 degrees or greater shall be factory-made long radius sweeps. Bends less than 30 degrees shall be made using an approved heat box.

A pull rope shall be installed in all empty conduits. At least one meter of pull rope shall be doubled back into the conduit at each termination.

Locations of conduit runs shall be planned in advance of the installation and coordinated with the ductwork, plumbing, ceiling and wall construction in the same areas and shall not unnecessarily cross other conduits or pipe, nor prevent removal of ceiling tiles or panels, nor block access to mechanical or electrical equipment.

Where practical, conduits shall be installed in groups in parallel, vertical or horizontal runs and at elevations that avoid unnecessary offsets.

Exposed conduit shall be installed parallel and at right angles to the building lines.

Conduits shall not be placed closer than 300 mm from a parallel hot water or steam pipe or 75 mm from such lines crossing perpendicular to the runs.

All raceway systems shall be secured to the building structures using specified fasteners, clamps and hangers.

All metal conduits, metal conduit risers, and metal conduit elbows in contact with soil or concrete shall be wrapped with a double layer of 0.5 mm thick pipe wrapping tape. Each individual layer shall be overlapped a minimum of 50%.

Single conduit runs shall be supported by using one hole pipe clamps. Where run horizontally on walls in damp or wet locations, conduit shall be installed with "clamp backs" to space conduit off the surface.

Multiple conduit runs shall be supported with construction channel secured to the building structure. Conduits shall be fastened to construction channel with channel compatible pipe clamps.

Raceways of different types shall be joined using approved couplings or transition fittings.

Expansion couplings shall be installed where conduit crosses a building separation or expansion joint.

All floor and wall penetrations shall be sealed water-tight.

Existing underground conduit to be incorporated into a new system shall be cleaned with a mandrel or cylindrical wire brush and blown out with compressed air.

**Conduit terminations.--**Rigid steel conduits shall be securely fastened to cabinets, boxes and gutters using 2 locknuts and specified insulating metallic bushing. Electrical metallic tubing shall be securely fastened to cabinets, boxes and gutters using specified connectors. Conduit terminations at exposed weatherproof enclosures and cast outlet boxes shall be made watertight using specified hubs.

Grounding bushings with bonding jumpers shall be installed on all type of conduits terminating at concentric knockouts and on all conduits containing service conductors, grounding electrode conductor, and conductors feeding separate buildings.

Rigid non-metallic conduits shall be terminated inside the underground pull boxes with an approved conduit bushings or fittings. All conduits shall enter the pull box at an angle of 45 degrees or more.

All future conduits terminated in underground pull boxes or exposed indoor and outdoor shall be provided with watertight conduit plugs.

**Warning Tape.--**Warning tape shall be placed over each conduit in a trench. Each warning tape shall be centered over the conduit and shall be placed over the 150 mm layer of sand covering the conduit as described elsewhere in these special provisions.

**Conductor and cable installation.--**Conductors shall not be installed in conduit until all work of any nature that may cause injury is completed. Care shall be taken in pulling conductors that insulation is not damaged. An approved non-petroleum base and insulating type pulling compound shall be used as needed.

All cables shall be installed and tested in accordance with manufacturer's recommendations.

Splices and joints shall be insulated with insulation equivalent to that of the conductor.

Provide 155 mm of slack at each outlet and device connection. If the outlet or device is not at the end of a run of wire, connection shall be made with correctly colored pigtails tapped to the runs with splices as specified herein.

Branch circuit conductors in panelboards and load centers shall be neatly trained along a path from the breaker terminals to their exit point. The conductors shall have ample length to transverse the path without strain, but shall not be so long as to require coiling, doubling back, or cramming. The path shall transverse the panelboard gutter spaces without entering a gutter containing service conductors and, unless otherwise shown on the plans, without entering the gutter space of any panelboard feeder.

All pressure type connectors and lugs shall be retightened after the initial set.

Splices in underground pull boxes and similar locations shall be made watertight.

Junction boxes in furred or accessible ceiling spaces shall be identified with felt-tip pen denoting the circuits contained in the box.

**Conductor identification.--**The neutral and equipment grounding conductors shall be identified as follows:

Neutral conductor shall have a white or natural gray insulation except that conductors No. 4 and larger may be identified by distinctive white marker such as paint or white tape at each termination.

Equipment grounding conductor shall be bare or insulated. If insulated, equipment grounding conductors shall have green or green with one or more yellow stripes insulation over its entire length except that conductors No. 4 and larger may be permanently identified by distinctive green markers such as paint or green tape over its entire exposed insulation.

Ungrounded feeder and branch circuit conductors shall be color coded by continuously colored insulation, except conductors No. 6 AWG or larger may be color coded by colored tape at each connection and where accessible. Ungrounded conductor color coding shall be as follows:

| SYSTEM | COLOR CODE |
|---|---|
| 120/240V-Single phase | Black, red |

Once an insulated circuit conductor, including grounded and ungrounded conductors, is identified with a specific color code, that color code shall be used for the entire length of the circuit.

Where more than one branch circuit enters or leaves a conduit, panel, gutter, or junction box, each conductor shall be identified by its panelboard and circuit number. All control conductors including control conductors of manufacturer supplied and field wired control devices shall be identified at each termination with the wire numbers shown on the plans, approved working drawings, and as directed by the Engineer where deemed necessary. Identification shall be made with one of the following:

1. Adhesive backed paper or cloth wrap-around markers with clear, heat shrinkable tubing sealed over either type of marker.
2. Self-laminating wrap around type, printable, transparent, permanent heat bonding type thermoplastic film markers.
3. Pre-printed, white, heat-shrinkable tubing.

Each terminal block shall have a molded marking strip attached with screws. The identifying numbers of the terminating conductors, as shown on the plans or on the submittal drawings, shall be engraved in the marking strip.

**Outlet, device and junction box installation.--**Where exposed threaded steel conduits are connected to an outlet, device, or junction box below switch height, the box shall be a cast metal box. Unless otherwise shown on the plans or specified in these special provisions, all other boxes shall be sheet steel boxes. Weatherproof outlet, device and junction boxes shall have cast metal covers with gaskets. Unless otherwise shown on the plans or specified in these special provisions, all other boxes shall have standard galvanized covers.

All boxes shall finish flush with building walls, ceiling and floors except where exposed work is called for.

Raised device covers (plaster rings) shall be installed on all boxes concealed in concrete, masonry or stud walls.

No unused openings shall be left in any box. Knockout seals shall be installed as required to close openings.

Outlet, device, and junction boxes shall be installed at the locations and elevations shown on the plans or specified herein. Adjustments to locations may be made as required by structural conditions and to suit coordination requirements of other trades.

Boxes in stud walls and partitions shall not be mounted back to back. Through-wall boxes shall not be used.

Boxes installed in metal stud walls shall be equipped with brackets designed for attaching directly to the studs or shall be mounted on heavy gauge galvanized steel, snap-in box supports.

Fixture outlet boxes installed in suspended ceilings of gypsum board or lath and plaster construction shall be mounted on 1.52 mm (16-gage) metal channel bars attached to main ceiling runners.

Fixture outlet boxes for pendant-mounted fixtures installed in suspended ceilings supporting acoustical tiles or panels shall be supported directly from the structures above.

**Underground pull box installation.--**Electrical pull box covers or lids shall be marked "ELECTRICAL." Telephone service pull box covers or lids shall have plain, unmarked covers.

Inside each pull box, install aluminum tag attached to the bundle of conductors with inscription as follows:

| Pull Box | Inscription |
|---|---|
| A | ELECTRICAL |
| B | COMMUNICATIONS |
| C | PA SPEAKERS |
| D | COMMUNICATIONS |
| E | LANE SORTER |
| F | COMMUNICATIONS |
| G | HEIGHT GAGE |
| H | TELEPHONE |
| I | ELECTRICAL |
| J | WSB |
| K | CCTV |
| L | WSMS |

The bottom of pull boxes shall be bedded in 155 mm of clean, crushed rock or gravel and shall be grouted with 40 mm thick grout prior to installation of conductors. Grout shall be sloped to a 25 mm PVC pipe drain hole. Conduit shall be sealed in place with grout.

Top of pull boxes shall be flush with surrounding grade or top of curb. In unpaved areas where pull box is not immediately adjacent to and protected by a concrete foundation, pole or other protective construction, the top of pull box shall be set at plus 30 mm above surrounding grade. Pull boxes shown on the plans in the vicinity of curbs shall be placed adjacent to the back of curb. Pull boxes shown on the plans adjacent to lighting standards shall be placed on the side of foundation facing away from traffic.

**Ground rod(s) installation.--**The ground rod(s) shall be driven vertically until the top is 155 mm above the surrounding surface. When vertical penetration of the ground rod cannot be obtained, an equivalent horizontal grounding system, approved by the Engineer, shall be installed.

**Anchorages.--**Hangers, brackets, conduit straps, supports, and electrical equipment shall be rigidly and securely fastened to surfaces by means of toggle bolts on hollow masonry; expansion shields and machine screws, or expansion anchors and studs or standard preset inserts on concrete or solid masonry; machine screws or bolts on metal surfaces; and wood or lag screws on wood construction.

Anchorage devices shall be installed in accordance with the anchorage manufacturer's recommendations.

**Mounting heights.--**Electrical system components shall be mounted at the following mounting heights, unless otherwise shown on the plans. The mounting height dimensions shall be measured above the finished floor to the bottom of the device or component.

| | |
|---|---|
| Thermostats | 1.22 m maximum |
| Wall switches | 1.22 m maximum |
| Convenience outlets | 381 mm minimum and 1.22 m maximum, |
| Electric water cooler outlet | 381 mm minimum and 1.22 m maximum . |
| Telephone and radio outlets | 381 mm minimum and 1.22 m maximum |

## 12-16.03 SERVICE AND DISTRIBUTION

### PART 1.- GENERAL

### SUMMARY

**Scope.--**This work shall consist of furnishing and installing service and distribution equipment in accordance with the requirements of the serving utilities, the details shown on the plans and these special provisions.

Attention is directed to "Utility Connection" in Section 12-1, "General Requirements," of these special provisions regarding arrangements, permits, licenses, charges, fees and costs for utility connections and extensions.

### SUBMITTALS

**Installation details.--**The Contractor shall submit complete service installation details to the serving utilities for approval. Prior to submitting installation details to the serving utility, the Contractor shall have said drawings reviewed and stamped "APPROVED" by the Engineer. Submittals shall be approved by the serving utility prior to commencing work.

**Product data.--**A list of materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval.. Any other data as requested by the Engineer shall also be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions, and component layout shall be included where applicable. All control and power conductors on the shop drawings shall be identified with wire numbers.

**PART 2.- PRODUCTS**

**Service equipment**

Service equipment shall be combination meter with metering compartment and main circuit breaker service disconnect switch for 120/240-volt, 200-ampere, single-phase, three-wire service.

**Enclosure**

Enclosure shall be NEMA 3R enclosure. Exterior shall be 2.66 mm (12-gage) and interior shall be 1.90 mm (14-gage) sheet steel. All screws, latches, hinge pins and similar hardware shall be galvanized steel. Exterior door shall be lockable with a padlock. Enclosure finish shall be baked enamel or baked thermosetting polyester finish.

**Main circuit breaker service disconnect switch**

Main circuit breaker service disconnect switch shall be 2-pole, 240-volt, 225-ampere frame, 200-ampere trip, molded case circuit breaker with AC magnetic trip adjusted to 1000 amperes. The interrupting capacity of the circuit breaker shall be 22000 amperes (symmetrical) at 240-volt. Breaker shall be Cutler-Hammer; Square D; General Electric, ; or equal.

**PART 3.- EXECUTION**

Installation of service equipment shall be in accordance with the requirements of the serving utilities as shown on the approved installation details.

## 12-16.04 ELECTRICAL EQUIPMENT

**PART 1.- GENERAL**

**SUMMARY**

**Scope.--**This work shall consist of furnishing and installing panelboards, starters, disconnect switches, transformers, and related accessories in conformance with the details shown on the plans and these special provisions.

**Related work.--**Anchorage devices shall be as specified under "Basic Materials and Methods" elsewhere in this Section 12-16.

**SUBMITTALS**

**Product data.--**A list of materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval.. Any other data as requested by the Engineer shall also be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions, and component layout shall be included where applicable. All control and power conductors on the shop drawings shall be identified with wire numbers.

**PART 2.- PRODUCTS**

**PANELBOARDS**

**Panelboard A**

Panelboard A shall be indoor type, flush-mounted, factory assembled, single-phase, three-wire, 120/240-volt, AC panelboard at least 508 mm wide with 200-ampere main circuit breaker, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans. Panel shall be Square D Company, NQOD; ; General Electric, AQ; or equal.

**SWITCHES**

**Heat pump disconnect switch**

Heat pump Disconnect switch shall be 2-pole, 240-volt, AC, 30-ampere, fused, general duty safety switch in a NEMA-3R enclosure. The fuses shall be sized to suit the air conditioning unit furnished.

**Air handler disconnect switch**

Air handler disconnect switch shall be two-pole, 240-volt, AC, 60-ampere, non-fusible, general duty safety switch in a NEMA-1 enclosure with provision for padlocking in the "OFF" position.

**MISCELLANEOUS MATERIALS**

**Nameplates**

Nameplates shall be laminated phenolic plastic with white core and black front and back. Nameplate inscription shall be in capitals letters etched through the outer layer of the nameplate material.

## PART 3.- EXECUTION

**INSTALLATION**

**Panelboard installation.**--Set cabinets plumb and symmetrical with building lines. Train interior wiring as specified under "Conductor and Cable Installation" in "Basic Materials and Methods" of these special provisions. Touch-up paint any marks, blemishes, or other finish damage suffered during installation. Replace cabinets, doors or trim exhibiting dents, bends, warps or poor fit which may impede ready access, security or integrity.

Mounting height shall be 1.67 meters to the highest circuit breaker handle, measured above the finished floor.

Provide two 21 mm empty conduit from flush panelboard enclosure to a point above furred ceiling for each 16 circuits or fraction thereof in each panelboard.

Where "Space" is indicated on the plans, branch connectors, mounting brackets, and other hardware shall be furnished and installed for future breaker.

A typewritten directory under transparent protective cover shall be provided and set in metal frame inside each cabinet door. Directory panel designation for each circuit breaker shall include complete information concerning equipment controlled, including room number or area designated on the plans.

**Equipment identification.--**Equipment shall be identified with nameplates fastened with self-tapping, cadmium-plated screws or nickel-plated bolts.

Nameplate inscriptions shall read as follows:

| Item | Letter height, mm | Inscription |
|---|---|---|
| Panel A | 6 | PANEL A<br>120/240V,1 PH, 3W |
| TTB | 6 | TELEPHONE TERMINAL |
| LCP | 6 | LIGHTING CONTROL |
| JB#2 | 6 | LOAD CELL |
| JB#3 | 6 | SPARE |
| JB#4 | 6 | CONTROL CONSOLE |
| JB#5 | 6 | PA SYSTEM |
| JB#6 | 6 | CCTV |
| JB#7 | 6 | SPARE |
| JB#8 | 6 | CONTROL CONSOLE |
| | | |

## 12-16.05 LIGHTING

**GENERAL.--**This work shall consist of furnishing, installing and connecting all lighting equipment in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS.--**Manufacturer's descriptive information, photometric curves, catalog cuts, and installation instructions shall be submitted for approval. Any other data as requested by the Engineer shall also be submitted for approval.

### PRODUCTS

**Lighting fixture lamps**

Lighting fixture lamps shall be type and size as shown on the plans. Lamps shall be General Electric, Phillips, Sylvania, or equal. Fluorescent lamps super T8, unless otherwise noted, shall be 3500K tri-phosphor with a CRI of 80 or greater.

**Ballasts**

All fixtures shall be equipped with high power factor ballasts suitable for the line voltage and for the type, size and number of lamps required by the fixture. Fluorescent ballasts shall be UL Listed, Class P and ETL Certified ballasts with sound rating A. Fluorescent ballasts shall be high-frequency electronic ballasts with power factor greater then 0.95, nominal ballast factor of 0.88 unless specified otherwise, total harmonic distortion less than 20 percent, crest factor less than or equal to 1.7, complying with ANSI C 62.41 Category A for surge protection, and FCC Part 18 for interference. Dimming ballasts shall be high frequency ballasts as specified above and shall be capable of dimming the light output from 100 percent to 20 percent of the rated light output.

**Lighting fixtures**

Lighting fixtures shall be as shown on the plans and as specified herein. Outdoor luminaires shall be listed and labeled "Fixture Suitable For Wet Locations."

**F1**

Recessed fluorescent downlight fixture with one 32-watt triple tube compact fluorescent lamp, electronic ballast and 203 mm aperture with black grooved baffle. Trim ring shall be painted black to match T-bar ceiling grid. The fixture shall be Lithonia, Series AFV; Prescolite, Series CFT; or equal

**F2**

Lay-in 600 mm x 1200 mm lensed troffer fluorescent fixture with two 32-watt T8 lamps, 265 MA electronic ballast. Diffuser shall be 19 mm x 19 mm x 13 mm plastic cube louver with silver finish. Fixture door trim color shall be black to match T-bar ceiling grid. The fixture shall be Day-Brite, Series DP; Lithonia, Series SP8; or equal.

**F3**

Ceiling-mounted fluorescent fixture with two 32-watt T8 lamps, electronic ballast and one-piece, clear acrylic, wrap-around diffuser. The fixture shall be Lithonia, Series LB; Day Brite, Series SWN; or equal.

**F4**

Bracket mounted outdoor fluorescent fixture with one 2438 mm 85-watt High Output T8 lamp, anodized aluminum housing, stainless steel mounting hardware, clear heavy gauge acrylic plastic diffuser, and rubber jacketed lampholders, suitable for outdoor use. Weatherproof splice box shall have neoprene gasket and shall allow for 360 degree rotation of fixture. Ballast shall be remotely mounted as indicated on the plans. The fixture shall be Columbia, Series SLA; Duray, Series DF; or equal.

**F5**

Outdoor, recessed in ceiling, downlight fixture with one 32-watt triple tube compact fluorescent lamp, 120-volt electronic ballast and 203 mm aperture with prismatic acrylic lens. Trim ring shall be painted to match metal soffit. The fixture shall be Prescolite, Series CFT; Lithonia, Series LGFV; or equal.

**H1**

Outdoor, wall mounted, 70-watt, 120-volt high pressure sodium luminaire with integral ballast. The luminaire shall be Holophane, Catalog No. TWA; General Electric series WM7M; or equal.

**M1**

Outdoor floodlight, two175-watt, 120-volt metal halide luminaire with integral ballast. Heavy duty die-cast aluminum housing, electrocoat paint finish, enclosed and gasketed charcoal filter, heat and shock resistant tempered glass lens, corrosion resistant external hardware, adjustable aiming, with photometrics as shown on the plans. The luminaire shall be General Electric, Series PF-154; Lithonia, Series TFL; or equal. Fixtures shall be enclosed in a floodlight housing as specified below and as shown on the plans.

The floodlight housing shall be a low profile NEMA 3R enclosure to protect floodlights when not in service. Housing shall be galvanized, 12-gage steel continuous welded to form a seamless exterior, reinforced to form a rigid box. The door shall have a high quality two-point locking mechanism to allow easy opening and closing with one hand. The lock shall be recessed and the door face shall be smooth except for three 12.7 mm thick rubber bumpers mounted near the lock and the two latches. The door interlock shall be a 10-ampere, 120-volt, NEMA 4 limit switch mounted on a flange welded to the housing, adjusted to open the circuit when the door is 100 mm from fully closed. The duplex weatherproof receptacle shall be mounted on a flange welded to the housing.

Finish shall be polyester dry powder electrostatically applied and baked on at 150 degrees C. Color for interior and exterior shall be as indicated on the architectural finish schedule on the plans. The painting process shall include five stages of metal preparation using dip tanks as follows: 1) alkaline cleaner, 2) clear water rinse, 3) zinc phosphate application, 4) clear water rinse, and 5) inhibitor rinse to seal phosphated surfaces.

**Photoelectric unit, PC**

Photoelectric unit shall be cadmium sulfide photoelectric control with capacity of 1800-watt incandescent or 1000-watt inductive or fluorescent load, mounting adapter, and EEI-NEMA twist lock receptacle; Fisher-Pierce, Ripley, or equal.

**Lighting control panelstation, LCP**

Lighting control panel shall consist of a lighting contactor, selector switch, time switch and pilot light in a surface mounted NEMA-12 enclosure with a hinged door.

**Lighting contactor, LC**

Lighting contactor shall be electrically held, 3-pole combination lighting contactor with 120-volt AC coil and 20-ampere, double-break, silver alloy contacts; Square D Company, I.T.E., Westinghouse, or equal.

**Selector switch, SS**

Selector switch shall be rotary action, double-pole, 3-position, 10-ampere, 120-volt switch. Switch contacts shall have an inductive pilot duty rating of 60 amperes (make), 6 amperes (break) and 10 amperes (continuous) at 120 volts and 35 percent power factor. Selector switch shall have legend plate marked MANUAL-OFF-AUTO.

**Time clock, TC**

Time clock shall be 120-volt, minimum one circuit, solid state, programmable time clock with power "on-off" and manual override switch. Timer shall be able to program for a minimum of three independent schedules for any day of the week, in addition to being able to skip selected days. Contact rating shall be not less than 20-ampere at 120-volt.

**Pilot light, PL**

Pilot light shall be panel mounted, heavy duty, oil tight indicating light with 120-volt, AC, LED lamp with green domed cap.

**EXECUTION**

**LIGHTING FIXTURES.--**Lighting fixtures shall be mounted securely in accordance with the manufacturer's recommendations. Mounting methods shall be suitable for the particular type of ceiling or support at each location.

The Contractor shall provide all supports, hangers, spacers, channels, fasteners and other hardware necessary to support the fixtures.

Fixtures shall be set at the mounting heights shown on the plans, except heights shown shall be adjusted to meet conditions.

**BALLASTS.--**All fluorescent fixtures shall be equipped with high power factor ballasts suitable for the line voltage and for the type, size and number of lamps required by fixture. The Contractor has the option to install low voltage dimming control provided that the Contractor submit plans and specifications with appropriate revisions for the low voltage dimming control to the Engineers for approval prior to installation.

All ballasts used in unheated areas inside the building shall be -20°C ballasts or less.

**12-16.06 EXISTING VEHICLE MONITORING AND SIGNAL SYSTEM**

**GENERAL.--**This work shall consist of rewiring the existing vehicle monitoring and signal system, including truck overheight indicator, public address (PA) system, truck lane signal system, lane sorter system, closed circuit television (CCTV) system, and changeable message sign (weigh station message sign); and providing new control panel and control console in accordance with the details shown on the plans and these special provisions.

**EXISTING TRUCK OVERHEIGHT INDICATOR.--**The existing truck overheight system consists of existing infrared energy transmitting device, existing energy receiver, and existing control units for detection of overheight vehicles and shall be rewired as shown on the plans.

**EXISTING PUBLIC ADDRESS SYSTEM.--**The existing public address system includes existing amplifier, existing microphone, existing speakers, existing and new PA speaker cables, new selector switch, and interconnecting cables. The existing public address system shall be rewired as shown on the plans.

**Speakers cables**

Speaker cables shall be twisted pair stranded, no. 14 copper complete with shielded foil, copper drain wire and plastic jacket suitable for direct burial.

**PA Selector Switch**

Selector switch shall be rotary action, double-pole, 2-position, 10-ampere, 120-volt switch. Switch contacts shall have an inductive pilot duty rating of 60 amperes (make), 6 amperes (break) and 10 amperes (continuous) at 120 volts and 35 percent power factor. Selector switch shall have knob lever operator handle.

**CONTROL PANEL.--**The control panel consists of truck counts, traffic signals, and overheight indicator in a flush-mounted NEMA Type 12 enclosure conforming to Joint Industrial Conference Standards, sized as shown on the plans. The enclosure shall be made of 1.91 mm(14-gage) steel, minimum, with all seams continuously welded. The enclosure shall be provided with an electrical mounting panel and a hinged door.

Programmable logic controller, loop detector module, DC power supply, control relays and terminal block shall be installed on the mounting panel in the enclosure.

**Programmable logic controller**

Programmable logic controller shall be 120 volt AC powered "brick" controller with 8 DC inputs and 6 relay outputs. The controller shall have a built-in 24 volt DC power supply for all the inputs requirements. Relay output shall have a 2 ampere contact rating. Programmable controller shall be IDEC, Micro$^3$; Toshiba, T1; or equal.

Programmable logic controller shall be programmed to provide the functions as specified below. Program shall be stored in non-volatile memory without the need of battery backup.

A disc copy of a similar ladder logic program can be furnished to the Contractor for use with IDEC, Micros$^3$ controller.

**Existing Overheight Detection.--**When the beam of the existing overheight detector is interrupted, the existing receiver unit of the overheight detector would be closed and caused the beeper to sound and the indicating light in the control console to be lit for a minimum of 5 seconds or until canceled by the operator by activating the reset push-button.

**Existing Traffic Signals.--**Whenever a color of the existing traffic signals head indication is desired by the activation of the corresponding push-button, the output of the programmable logic controller shall cause the connected signal head to be lit and the corresponding indicating light in the console to be lit. This action shall also cancel or reset the opposing indication of traffic signal. That is when green is selected, red shall be extinguished and correspondingly, when red is selected, the green indication shall be extinguished. When both the green and red push-button is activated simultaneously, both indication shall be extinguished.

**Existing Exit Signal**.--The exit signal push-button shall toggle the existing exit signal head to go on and off with each activation of the push-button. All indicating light shall match the actual output.

**24-Volt, DC, power supply**

The power supply shall be 23 to 25-volts, 0.32 ampere DC output with an 115-volt input. Power supply shall have overcurrent protection with LED indicator and suitable for DIN rail mounting.

**Loop detector module**

The loop detector module shall be 120 volt AC, enclosed self contain unit with connector for connecting to the loop lead in wire, power supply and output wire. The loop detector module shall be prewired with input and output circuits wired to the terminal block.

The detector card shall have a dry contact output rated at the voltage compatible with the counter.

**Control relays**

Control relays, CR1 through CR5 shall be solid-state relays with 3 - 32 volts, DC, input signals with 15-ampere, 120-volt, AC, normally open contacts with brass terminals. Relay shall have zero crossing unit switch in 1/2 cycle (max.) with internal snubber feature.

**Terminal block**

Terminal block, TB, shall conform to the requirements specified under "Control Console," elsewhere in this specification.

**CONTROL CONSOLE.--**The control console shall be an oil tight console designed to house electrical components as shown on the plans. The console shall be made from minimum 1.9 mm (14-gage) steel, and shall contain continuously welded seams with no holes or knockouts. The cover shall contain a continuous hinge, a gasket, and captive screws for securing. The following specified components shall be mounted on the hinged door of the control console except the terminal blocks shall be mounted on the inside of the control console and beeper shall be mounted on the side of the control console.

**Counters**

Vehicle Lane Counters shall be 6-digit figure, external pushbutton resettable type, self-powered electrical counters, with maximum counting speed of one 1000 counts per minute. Counter shall increment one each time the contact on the loop detector module closed. Counter shall be door-mounted, as shown on the plans. Counter shall include all door mounting brackets and adapters housed in a die-cast frame providing rigid support and accurate alignment of operating components.

**Integrated illuminated LED push-button, PB1 thru PB6**

The integrated illuminated LED push-button shall be extended round, 30-millimeters with momentary contact as shown on the plans and shall have red, amber, or green color lens with clear 24-volt, DC, LED lamps, Contact shall be rated one ampere, 24-volt, DC.

**Pilot lights**

Pilot lights shall be panel mounted, heavy duty, oil tight indicating light with 120-volt, AC, LED lamp with red or green domed cap as indicated.

**Overheight-beeper**

Overheight-beeper shall be 24-volt, DC operated solid-state beeper with a rating of 55 dB at 610 mm, and emitting a sound frequency of 2900 Hz.

**Terminal block**

Terminal blocks shall contain at least the number of terminal connections shown on the plans or specified in these special provisions. Terminal blocks shall be 300-volt, 20-ampere, molded plastic with 2 or more mounting holes and 2 or more terminals in each cast block. The molded plastic shall have a high resistance to heat, moisture, mechanical shock and electrical potential, and shall have a smooth even finish. Each block shall have a molded marking strip attached with screws. The identifying numbers of the terminating wires, as shown on the working drawings, shall be engraved in the marking strip. Terminal blocks shall have tubular, high-pressure clamp connectors.

**Selector Switches**

Selector switch for lane sorter control system shall be rotary action, double-pole, 3-position, 10-ampere, 120-volt switch. Switch contacts shall have an inductive pilot duty rating of 60 amperes (make), 6 amperes (break) and 10 amperes (continuous) at 120 volts and 35 percent power factor. Selector switch shall have knob lever operator handle. Selector switches for Weigh Station Message Sign and Speaker control shall be as specified elsewhere in this specification.

**LANE SORTER SIGNAL HEADS**

The lane sorter signal head shall consist of green arrow and red “X” signs. Each lane sorter signal head shall be the illuminated fiberoptic type and shall consist of the following:

1. A weatherproof, corrosion resistant, clear anodized aluminum housing
2. A set of high quality fiberoptic harnesses.
3. A set of high intensity quartz halogen lamps.
4. An electrical interface.
5. Terminal block disconnect switches for all control and power conductors.

The overall housing dimensions shall be as approximately 530 mm x 530 mm x 264 mm.

The front access door shall contain the fiber optic assembly, a 3 mm non-reflective polycarbonate sheet, and shall be hinged to enable easy access for maintenance purposes. Included in the door assembly shall be a device to hold the door in the open position during servicing or inspection.

The housing shall be equipped with screened ventilation holes to facilitate natural cooling, shall be fitted with drainage holes, and shall be capable of accepting the mounting of the existing signs to be removed at the sign bridge.

Screws, washers, nuts and bolts for the housing shall be stainless steel or aluminum. All other components shall be corrosion resistant.

The optical fiber utilized in the signal shall be of high quality step index glass. Each individual optical fiber bundle shall be protected from damage by an extruded PVC jacket.

All fiberoptic bundles shall be arranged on a flat black non-reflective faceplate.

Each fiberoptic harness in the signal shall contain spare bundles equivalent to 5 percent of the total bundles employed per legend.

Each optical light guide fitted onto the faceplate shall contain a convergence cone. The cone shall produce a small emission controlled angle and increase intensity axially.

Maximum lamp voltage shall not exceed 10 volts and the average lamp life shall be 6000 hours as rated by the lamp manufacturer.

The lamp and reflector shall be separate units. It shall be not necessary to replace the reflector with every lamp change. Lamps shall be serviced without the use of tools.

The lamps shall be mounted in an assembly which utilizes materials designed to reduce the mechanical transmission of shock forces. The lamps shall not be rigid mounted.

Color filters shall be supplied as an integral part of the light projection assembly. Filters shall cause emitted light to be red, green or white.

Nominal input voltage shall be 115 volts plus or minus 10 percent and power consumption shall not exceed 500 watts.

Each sign shall contain only one transformer and the transformer shall be equipped with taps to adjust the secondary voltage at the sign location.

The unit shall be capable of continuous operation over an ambient temperature range between -35 degrees F and +120 degrees F with a relative humidity of up to 95 percent.

For improved night visibility the signal light output shall be reduced. Dimming the signal shall be accomplished by reducing the voltage at the lamps. The dimming voltage shall be selectable during installation to accommodate local conditions.

Electrical means shall be provided from inside the building to insure that only one message is displayed at a time.

In the event of a lamp failure, the signal shall continue to display a discernible word. This graphics security feature shall be mandatory even in the night intensity mode.

The sign messages shall be clearly visible in all lighting conditions, including direct and back sunlight. The fiberoptic changeable message sign shall be capable of displaying the messages shown on the plans.

Each luminous dot shall consist of the end of a fiberoptic bundle.

The sign shall be not be readable when deenergized.

## CLOSED CIRCUIT TELEVISION SYSTEM (CCTV)

**GENERAL.--**Closed circuit television system shall be color type system consisting of one camera with enclosure, one monitor, and video coaxial cable, and such other equipment required by the CCTV manufacturer to install a complete system without any extra charge to the State.

## CAMERA

The camera assembly shall be assembled and tested in accordance with these specifications prior to delivery to the jobsite. Factory testing documentation shall be furnished to the Engineer. Camera assembly shall be delivered to the jobsite as a complete unit.

The camera shall be 12.7 mm (one-half inch), solid-state CCD (charged coupled device) color camera and shall meet or exceed the following specifications:

a. Signal format shall be compatible with all applicable National Television Standards Committee (NTSC), have 525 horizontal lines at 60 Hz and 30 full frames per second.

b. Color balance shall be of the through-the-lens type, with less than 10 IRE units unbalance from 2850 to 5800 degrees Kelvin.

c. External synchronization with color lock and burst phase adjustment along with horizontal phase adjustment shall be available.

d. Imaging system shall have Charged Coupled Device (CCD) solid-state image sensor. The minimum number of picture elements shall be 768(H) by 493(V) pixels. The image area shall be 6.4 mm by 4.8 mm (1/2-inch format).

e. Video output shall be 1.0 volt peak-to-peak ±3.0 dB (75-ohm balanced).

f. Minimum scene illumination necessary for full video output shall be 6.5 lux and a minimum of .50 lux for 80 percent video measured at the faceplate.

g. Camera resolution shall be a minimum of 460 horizontal television lines and a minimum of 350 vertical television lines when measured from the camera video output.

h. Signal-to-noise ratio with Automatic Gain Control (AGC) off shall be equal to or greater than 48 dB, Committee Consultation International Radio (CCIR) weighting.

i. Gamma shall be 0.5.

j. Camera shall operate on 115VAC.

k. Imaging system shall be designed to minimize the effect of blooming and smearing of high intensity light sources under nighttime viewing conditions.

l. Camera shall be equipped with a standard "C" type lens mount and shall be designed to operate and interconnect with industry standard auto iris lenses.

m. Automatic Gain Control (AGC) shall have a range of 20 dB with an internal peak-average adjustment.

n. Camera shall be capable of integrating multiple frames for increased sensitivity.

The camera shall be specifically designed to operate under low light conditions and shall function satisfactorily over a wide range of dynamic lighting conditions ranging from low light to full sunlight.

The camera shall have a built-in programmable electronic circuit character generator capable of superimposing a written camera identification message over the video display. The identification shall be programmable up to two lines of 24 characters per line. Text characters shall be white with a black border 28 horizontal TV lines in height. The camera identification shall be input via an RS-422 connector to the camera housing programmable from a computer or a dumb terminal. The character generator shall also superimpose a message indicating that the camera pressure is low if the pressure in the enclosure drops to 2 pounds per square inch and below.

The camera lens shall be equipped with automatic iris. The iris shall be controlled directly by the camera in automatic mode. Each lens shall incorporate an intra-spot neutral density filter and shall meet the following requirements:

Standard "C" type mount, half-inch format.
Focal length of 8 to 48 mm providing a 6:1 power zoom ratio.
Maximum aperture of no less than F1.0.

**CAMERA ENCLOSURE**

The camera and lens shall be contained in a sealed and pressurized cylindrical enclosure with outside dimension of approximately 559 mm (L) x 114.3 mm (Dia) (22"(L) x 4.5"(Dia)) maximum. The enclosure shall be provided with a mounting for attachment to the pan and tilt unit. The enclosure shall be fabricated from corrosion resistant aluminum and finished in a beige or neutral color of weather resistant enamel. The front of the enclosure shall be tempered glass. The enclosure shall be purged of air and pressurized with dry nitrogen to 5 ± 1 psi. A standard Schrader valve shall be located on the back plane of the environmental enclosure for pressurizing and purging. A decal shall be affixed permanently to the rear housing plate stating that the unit is pressurized and that safety precautions must be observed. A pressure relief valve, preset to 20 ± 5.0 psi shall be located on the back plate of the environmental enclosure. A safety pressure release bolt shall be incorporated such that the enclosure may not be opened without first releasing the internal pressure. Each housing shall have one package of desiccant placed in the enclosure. The camera connector for the environmental housing shall mount on the rear plate and seal to the plate with an O-Ring.

The complete camera assembly shall meet or exceed the following environmental specifications:

| | |
|---|---|
| Temperature | -40 to +50 degrees C<br>-40 to +122 degrees F |
| Humidity | 100% MIL-E-5400T, para 3.2.24.4 |
| Altitude | 0 to 10,000 feet,<br>MIL-E-5400T, para 3.2.24.2 |
| Vibration | Swept 5-60 Hz, .082-inch amplitude: (15 Gs at 60 Hz)<br>Random 60-1000 Hz .5 Gs RMS |
| Shock | 15 Gs, 3 axis. MIL-E-5400T, para 3.2.24.6 |
| Sand & Dust | MIL-E-5400T, para 3.2.24.7 |
| Fungus Salt | MIL-E-5400T, para 3.2.24.8 |
| Atmosphere | MIL-E-5400T, para 3.2.24.9 |
| Explosion | MIL-E-5400T, para 3.2.24.10 |
| EMI | FCC Rules, part 15, subpart J, for Class A devices |
| Acoustic Noise | +150 dB |

A sunshield shall be supplied and installed on the camera to protect the camera from direct sunlight.

**MONITOR**

The monitor shall 73.2 cm (17 inches) thin film transfer (TFT), liquid cristal display (LCD) and depth of 7.6 cm or less. The monitor shall have characteristics as follows:

| | |
|---|---|
| Input voltage | 100-240 VAC,60 Hz |
| Input/output signal | 1 Vp-p composite signal |
| System | NTSC, Color |
| Video input/output | RCA, S-VHS connectors |
| Contrast ratio | 300:1 or better |
| Brightness | 350 cd/m$^2$ |
| Native resolution | 1024 x 768 minimum |
| Operating temperature | 50 to 104 degree F |

A bracket shall be provided for mounting on column(or wall) and at location as shown on the plans.

**VIDEO COAXIAL CABLE**
Video coaxial cable shall be type RG6/U.

**INSTALLATION**

CCTV system shall be installed as shown on the plans. Camera and monitor shall be adjusted for both day and night operations. The contractor shall submit the installation and mounting details of the camera.

**EXECUTION**

The system shall be installed at locations shown on the plans and by methods recommended by the manufacturers. The Contractor shall provide all supports, fasteners and other hardware necessary to support the units.

# AMENDMENTS TO THE STANDARD SPECIFICATIONS

## DATED JULY 1999

# AMENDMENTS ISSUE DATE: 03-18-09

## SECTION 0 GLOBAL REVISIONS
**(Issued 07-01-08)**

Global revisions are changes to contract documents not specific to a section of the Standard Specifications.

In each contract document at each occurrence:

1. Except where existing asphalt concrete is described, replace "asphalt concrete" with "hot mix asphalt"
2. Except where existing AC is described, replace "AC" with "HMA" where AC means asphalt concrete
3. Replace "Engineer's Estimate" with "verified Bid Item List"
4. Replace "Notice to Contractors" with "Notice to Bidders"
5. Except in "Contract Project Information Signs," replace "Project Information" with "Supplemental Project Information"

Where Standard Specifications refer to the special provisions to describe the work, interpret the reference to the Bid Item List, the special provisions, or both.

Interpret a reference to the Standard Specifications as a reference to the Standard Specifications as amended in these Amendments to the Standard Specifications.

^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^

## SECTION 1 DEFINITIONS AND TERMS
**(Issued 07-01-08)**

**Replace Section 1 with:**

## SECTION 1 GENERAL
### 1-1 GENERAL
#### 1-1.01 GENERAL

Section 1 includes general rules of interpretation.

The Department is gradually standardizing the style and language of the specifications. The new style and language includes:

1. Use of:

    1.1. Imperative mood
    1.2. Introductory modifiers
    1.3. Conditional clauses

2. Elimination of:

    2.1. Language variations
    2.2. Definitions for industry-standard terms
    2.3. Redundant specifications
    2.4. Needless cross-references

The use of this new style does not change the meaning of a specification not yet using this style.

The specifications are written to the Bidder before award and the Contractor after. Before award, interpret sentences written in the imperative mood as starting with "The Bidder must" and interpret "you" as "the Bidder" and "your" as "the

Bidder's." After award, interpret sentences written in the imperative mood as starting with "The Contractor must" and interpret "you" as "the Contractor" and "your" as "the Contractor's."

Unless an object or activity is specified to be less than the total, the quantity or amount is all of the object or activity.

All items in a list apply unless the items are specified as choices.

Headings are included for the purposes of organization and referencing. Inclusion of a heading with no related content, "Reserved," or "Not Used" does not indicate that no specification exists for that subject; applicable specifications may be covered in a general or referenced specification.

## 1-2 REFERENCES

### 1-2.01 REFERENCES

A reference within parentheses to a law or regulation is included in the contract for convenience only and is not a comprehensive listing of related laws and regulations. Lack of a reference does not indicate no related laws or regulations exist.

If the version of a referenced document is not specified, use the current version in effect on the date of Notice to Bidders.

A reference to a subsection includes the section's general specifications of which the subsection is a part.

A code not specified as a Federal code is a California code.

## 1-3 ABBREVIATIONS AND MEASUREMENT UNITS

### 1-3.01 ABBREVIATIONS

**Abbreviations**

| Abbreviation | Meaning |
|---|---|
| AAN | American Association of Nurserymen |
| AASHTO | American Association of State Highway and Transportation Officials |
| AISC | American Institute of Steel Construction |
| AISI | American Iron and Steel Institute |
| ANSI | American National Standards Institute |
| APHA | American Public Health Association |
| API | American Petroleum Institute |
| AREMA | American Railway Engineering and Maintenance-of-Way Association |
| ASME | American Society of Mechanical Engineers |
| ASTM | American Society for Testing and Materials |
| AWG | American Wire Gage |
| AWPA | American Wood-Preservers' Association |
| AWS | American Welding Society |
| AWWA | American Water Works Association |
| CIH | Certified Industrial Hygienist |
| DBE | Disadvantaged Business Enterprise |
| DVBE | Disabled Veteran Business Enterprise |
| EIA | Electronic Industries Alliance |
| ETL | Electrical Testing Laboratories |
| FHWA | Federal Highway Administration |
| IEEE | Institute of Electrical and Electronics Engineers |
| NETA | National Electrical Testing Association, Inc. |
| NEMA | National Electrical Manufacturers Association |
| PLAC | permit, license, agreement, certification, or any combination of these |
| SSPC | The Society for Protective Coatings |
| UL | Underwriters' Laboratories Inc. |

### 1-3.02 MEASUREMENT UNITS

Some of the symbols for units of measurement used in the specifications and in the Bid Item List are defined as follows. The symbols for other units of measurement used in the specifications are as defined in ASTM E 380 or in the various specifications and test referenced in the specifications.

**Measurement Units**

| Symbols as used in the specifications | Symbols as used in the Bid Item List | Meaning |
|---|---|---|
| A | — | amperes |
| — | EA | each |
| g | G | gram |
| kg | KG | kilogram |
| ha | HA | hectare (10 000 $m^2$) |
| h | H | hour |
| J | — | joule |
| — | LNKM | lane kilometer |
| L | L | liter |
| — | LS | lump sum |
| m | M | meter |
| km | KM | kilometer |
| mm | MM | millimeter |
| µm | — | micrometer |
| nm | — | nanometer |
| $m^2$ | M2 | square meter |
| $m^3$ | M3 | cubic meter |
| N | — | newton |
| N·m | — | newton meter |
| Ω | — | ohm |
| Pa | — | pascal |
| kPa | — | kilopascal |
| MPa | — | megapascal |
| s | — | second |
| — | STA | station (100 m) |
| — | TAB | tablet |
| tonne | TONN | metric ton (1000 kg) |
| W | — | watt |

## 1-4 DEFINITIONS

### 1-4.01 GENERAL

Interpret terms as defined in the contract documents. A construction-industry term not defined in the contract documents has the meaning defined in Means Illustrated Construction Dictionary, Condensed Version, Second Edition.

### 1-4.02 GLOSSARY

**acceptance:** Formal written acceptance by the Director of an entire contract that has been completed in all respects in accordance with the plans and specifications and any modifications to them previously approved.

**base:** Layer of specified material of planned thickness placed immediately below the pavement or surfacing.

**basement material:** Material in excavation or embankments underlying the lowest layer of subbase, base, pavement, surfacing, or other specified layer to be placed.

**bid item:** Specific work unit for which the bidder provides a price.

**Bid Item List:** List of bid items and the associated quantities.

**Bid Item List, verified:** Bid Item List with verified prices. The Contract Proposal of Low Bidder at the Department's Web site is the verified Bid Item List.

**bridge:** Structure, with a bridge number, that carries a utility facility, or railroad, highway, pedestrian or other traffic, over a water course or over or under or around any obstruction.

**building-construction contract:** Contract that has "building construction" on the cover of the Notice to Bidders and Special Provisions.

**business day:** Day on the calendar except Saturday or holiday.

**California Manual on Uniform Traffic Control Devices:** The California Manual on Uniform Traffic Control Devices for Streets and Highways (California MUTCD) is issued by the Department of Transportation and is the Federal Highway Administration's MUTCD 2003 Edition, as amended for use in California.

**Certified Industrial Hygienist:** Industrial hygienist certified in comprehensive practice by the American Board of Industrial Hygiene.

**conduit:** Pipe or tube in which smaller pipes, tubes, or electrical conductors are inserted or are to be inserted.

**contract:** Written and executed contract between the Department and the Contractor.

**contract bonds:** Security for the payment of workers and suppliers furnishing materials, labor, and services and for guaranteeing the Contractor's work performance.

**contract item:** Bid item.

**Contractor:** Person or business or its legal representative entering into a contract with the Department for performance of the work.

**culvert:** Structure, other than a bridge, that provides an opening under a roadway for drainage or other purposes.

**day:** 24 consecutive hours running from midnight to midnight; calendar day.

**deduction:** Amount of money permanently taken from progress payment and final payment. Deductions are not retentions under Pub Cont Code § 7107.

**Department:** Department of Transportation as defined in St & Hwy Code § 20 and authorized in St & Hwy Code § 90; its authorized representatives.

**detour:** Temporary route for traffic around a closed road part. A passageway through a job site is not a detour.

**Director:** Department's Director.

**Disabled Veteran Business Enterprise:** Business certified as a DVBE by the Office of Small Business and DVBE Services, Department of General Services.

**divided highway:** Highway with separated traveled ways for traffic, generally in opposite directions.

**Engineer:** Department's Chief Engineer acting either directly or through properly authorized agents; the agents acting within the scope of the particular duties delegated to them.

**Federal-aid contract:** Contract that has a Federal-aid project number on the cover of the Notice to Bidders and Special Provisions.

**fixed costs:** Labor, material, or equipment cost directly incurred by the Contractor as a result of performing or supplying a particular bid item that remains constant regardless of the item's quantity.

**frontage road:** Local street or road auxiliary to and located generally on the side of an arterial highway for service to abutting property and adjacent areas and for control of access.

**grading plane:** Basement material surface on which the lowest layer of subbase, base, pavement, surfacing, or other specified layer is placed.

**highway:** Whole right of way or area that is reserved for and secured for use in constructing the roadway and its appurtenances.

**holiday:**

1. Every Sunday
2. January 1st, New Year's Day
3. 3rd Monday in January, Birthday of Martin Luther King, Jr.
4. February 12th, Lincoln's Birthday
5. 3rd Monday in February, Washington's Birthday
6. March 31st, Cesar Chavez Day
7. Last Monday in May, Memorial Day
8. July 4th, Independence Day
9. 1st Monday in September, Labor Day
10. 2nd Monday in October, Columbus Day
11. November 11th, Veterans Day
12. 4th Thursday in November, Thanksgiving Day
13. Day after Thanksgiving Day
14. December 25th, Christmas Day

If January 1st, February 12th, March 31st, July 4th, November 11th, or December 25th falls on a Sunday, the Monday following is a holiday. If November 11th falls on a Saturday, the preceding Friday is a holiday. Interpret "legal holiday" as "holiday."

**informal-bid contract:** Contract that has "Informal Bid Authorized by Pub Cont Code §10122" on the cover of the Notice to Bidders and Special Provisions.

**Information Handout:** Supplemental project information furnished to bidders as a handout.

**laboratory:** Laboratory authorized by the Department to test materials.

**liquidated damages:** Amount prescribed in the specifications, pursuant to the authority of Pub Cont Code § 10226, to be paid to the State or to be deducted for each day's delay in completing the whole or any specified portion of the work beyond the time allowed in the specifications.

**median:** Portion of a divided highway separating the traveled ways for traffic in opposite directions including inside shoulders.

**Notice to Bidders:** Document that provides a general work description, bidder and bid specifications, and the time and location the Department receives bids.

**pavement:** Uppermost layer of material placed on the traveled way or shoulders. This term is used interchangeably with surfacing.

**plans:** Official project plans and Standard Plans, profiles, typical cross sections, working drawings and supplemental drawings, or reproductions thereof, approved by the Engineer, which show the location, character, dimensions and details of the work to be performed. These documents are to be considered as a part of the plans.

In the above definition, the following terms are defined as follows:

**Standard Plans:** Standard Plans issued by the Department.

**project plans:** Specific details and dimensions peculiar to the work supplemented by the Standard Plans insofar as the same may apply.

**roadbed:** Area between the intersection of the upper surface of the roadway and the side slopes or curb lines. The roadbed rises in elevation as each increment or layer of subbase, base, surfacing or pavement is placed. Where the medians are so wide as to include areas of undisturbed land, a divided highway is considered as including 2 separate roadbeds.

**roadway:** Highway portion included between the outside lines of sidewalks, or curbs, slopes, ditches, channels, waterways, and including all the appertaining structures, and other features necessary to proper drainage and protection.

**shoulder:** Roadway portion contiguous with the traveled way for accommodation of stopped vehicles, for emergency use, and for lateral support of base and surface courses.

**special provisions:** Specific clauses setting forth conditions or requirements peculiar to the work and supplementary to these Standard Specifications. The Department's publication titled "Labor Surcharge And Equipment Rental Rates" is part of the special provisions.

**specifications:** Directions, provisions, and requirements contained in these Standard Specifications, Amendments to the Standard Specifications, and the special provisions. Where the term "these specifications" or "these Standard Specifications" is used in this book, it means the provisions set forth in this book.

**State:** State of California, including its agencies, departments, or divisions, whose conduct or action is related to the work.

**Structure Design:** Offices of Structure Design of the Department.

**subbase:** Layer of specified material of planned thickness between a base and the basement material.

**subgrade:** Roadbed portion on which pavement, surfacing, base, subbase, or a layer of any other material is placed.

**substructure:** Bridge portions below the bridge seats, tops of piers, haunches of rigid frames, or below the spring lines of arches. Backwalls and parapets of abutments and wingwalls of bridges are portions of the substructure.

**superstructure:** Bridge portion except the bridge substructure.

**supplemental project information:** Information relevant to the project, specified as supplemental project information, and made available to bidders.

**surfacing:** Uppermost layer of material placed on the traveled way, or shoulders. This term is used interchangeably with pavement.

**traffic lane:** Portion of a traveled way for the movement of a single line of vehicles.

**traveled way:** Portion of the roadway for the movement of vehicles, exclusive of shoulders.

**total bid:** Sum of the item totals as verified by the Department; original contract price.

**withhold:** Money temporarily or permanently taken from progress payment. Withholds are not retentions under Pub Cont Code § 7107.

**work:** All the work specified, indicated, shown or contemplated in the contract to construct the improvement, including all alterations, amendments, or extensions to it made by contract change order or other written orders of the Engineer.

## 1-5 DISTRICTS

**District Composition and Office Addresses**

| District | Counties | Location Address | Mailing Address |
|---|---|---|---|
| 1 | Del Norte (DN), Humboldt (Hum), Lake (Lak), Mendocino (Men) | 1656 UNION ST<br>EUREKA, CA | PO BOX 3700<br>EUREKA CA 95502 |
| 2 | Lassen (Las), Modoc (Mod), Plumas (Plu), Shasta (Sha), Siskiyou (Sis), Tehama (Teh), Trinity (Tri) | 1657 RIVERSIDE DR<br>REDDING, CA | PO BOX 496073<br>REDDING CA 96049-6073 |
| 3 | Butte (But), Colusa (Col), El Dorado (ED), Glenn (Gle), Nevada (Nev), Placer (Pla), Sacramento (Sac), Sierra (Sie), Sutter (Sut), Yolo (Yol), Yuba (Yub) | 703 B ST<br>MARYSVILLE, CA | PO BOX 911<br>MARYSVILLE CA 95901 |
| 4 | Alameda (Ala), Contra Costa (CC), Marin (Mrn), Napa (Nap), San Francisco (SF), San Mateo (SM), Santa Clara (SCl), Solano (Sol), Sonoma (Son) | 111 GRAND AVE<br>OAKLAND, CA | PO BOX 23660<br>OAKLAND CA 94623-0660 |
| 5 | Monterey (Mon), San Benito (SBt), San Luis Obispo (SLO), Santa Barbara (SB), Santa Cruz (SCr) | 50 HIGUERA ST<br>SAN LUIS OBISPO, CA | 50 HIGUERA ST<br>SAN LUIS OBISPO CA 93401-5415 |
| 6 | Fresno (Fre), Kern (Ker), Kings (Kin), Madera (Mad), Tulare (Tul) | 1352 W. OLIVE AVE<br>FRESNO, CA | PO BOX 12616<br>FRESNO CA 93728-2616 |
| 7 | Los Angeles (LA), Ventura (Ven) | 100 S. MAIN ST<br>LOS ANGELES | 100 S MAIN ST<br>LOS ANGELES CA 90012 |
| 8 | Riverside (Riv), San Bernardino (SBd) | 464 W 4TH ST<br>SAN BERNARDINO, CA | 464 W 4TH ST<br>SAN BERNARDINO CA 92401-1400 |
| 9 | Inyo (Iny), Mono (Mno) | 500 S MAIN ST<br>BISHOP, CA | 500 S MAIN ST<br>BISHOP CA 93514-3423 |
| 10 | Alpine (Alp), Amador (Ama), Calaveras (Cal), Mariposa (Mpa), Merced (Mer), San Joaquin (SJ), Stanislaus (Sta), Tuolumne (Tuo) | 1976 E CHARTER WAY<br>STOCKTON, CA | PO BOX 2048<br>STOCKTON CA 95201 |
| 11 | Imperial (Imp), San Diego (SD) | 4050 TAYLOR ST<br>SAN DIEGO, CA | 4050 TAYLOR ST<br>SAN DIEGO CA 92110-2737 |
| 12 | Orange (Ora) | 3347 MICHELSON DR<br>STE 100<br>IRVINE, CA | 3347 MICHELSON DR STE 100<br>IRVINE CA 92612-0661 |

A project with work in District 1, 2, or 3 is a North Region project. For Districts 1, 2, and 3, interpret each reference to the district office as the North Region office. The North Region office address is the District 3 address.

## 1-6 WEB SITES, ADDRESSES, AND TELEPHONE NUMBERS

**Web Sites, Addresses, and Telephone Numbers**

| Agency, Department Unit, or Reference | Web Site | Address | Telephone No. |
|---|---|---|---|
| Bid Document Unit | | MSC 26<br>BID DOCUMENT UNIT<br>DEPARTMENT OF TRANSPORTATION<br>1120 N ST RM 200<br>SACRAMENTO CA 95814-5605 | |
| Department | www.dot.ca.gov | | |
| Department of General Services, Office of Small Business and DVBE Services | www.pd.dgs.ca.gov/smbus/default.htm | OFFICE OF SMALL BUSINESS AND DVBE SERVICES<br>DEPARTMENT OF GENERAL SERVICES<br>707 3RD ST<br>WEST SACRAMENTO CA 95605-2811 | (800) 559-5529<br>(916) 375-4940 |
| Department of Industrial Relations | www.dir.ca.gov | | |
| Department of Industrial Relations, Division of Apprenticeship Standards | | 455 GOLDEN GATE AVENUE<br>SAN FRANCISCO, CA 94102 | |
| Office Engineer | | MSC 43<br>OFFICE ENGINEER<br>DEPARTMENT OF TRANSPORTATION<br>1727 30TH ST<br>SACRAMENTO CA 95816-7005 | |
| Office Engineer–Verified Bid Results | http://www.dot.ca.gov/hq/esc/oe/awards/bidsum_html/6week_list.html. | | |
| Offices of Structure Design, Documents Unit | | MSC 9-4/4I<br>DOCUMENTS UNIT OFFICES OF STRUCTURE DESIGN<br>DEPARTMENT OF TRANSPORTATION<br>1801 30TH ST<br>SACRAMENTO CA 95816-7006 | (916) 227-8252 |
| Publication Distribution Unit | | PUBLICATION UNIT<br>DEPARTMENT OF TRANSPORTATION<br>1900 ROYAL OAKS DRIVE<br>SACRAMENTO CA 95815-3800 | |
| Transportation Laboratory | | MATERIALS AND ENGINEERING TESTING SERVICES AND GEOTECHNICAL SERVICES<br>DEPARTMENT OF TRANSPORTATION<br>5900 FOLSOM BLVD<br>SACRAMENTO CA 95819-4612 | (916) 227-7000 |
| Department's Pre-Qualified Products List | http://www.dot.ca.gov/hq/esc/approved_products_list | | |

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## SECTION 2 PROPOSAL REQUIREMENTS AND CONDITIONS
**(Issued 02-06-09)**

**Replace Section 2 with:**
**SECTION 2 BIDDING**

### 2-1.01 GENERAL

Section 2, "Bidding," includes specifications related to bid eligibility and the bidding process.

### 2-1.02 BID INELIGIBILITY

A firm that has provided architectural or engineering services to the Department for this contract before bid submittal for this contract is prohibited from any of the following:

1. Submit a bid
2. Subcontract for a part of the work
3. Supply materials

### 2-1.03 BID DOCUMENTS

#### 2-1.03A General

Standard Specifications and Standard Plans may be viewed at the Department's Web site and may be purchased at the Publication Distribution Unit.

Special provisions, Amendments to the Standard Specifications, and project plans may be viewed at:

1. Department's Web site
2. District office of the district in which the work is located
3. Districts 4 and 12 offices
4. Bid Document Unit

Bid books, special provisions, Amendments to the Standard Specifications, and project plans may be obtained at the Bid Document Unit.

#### 2-1.03B Supplemental Project Information

If supplemental project information is available, it is specified in the special provisions.

The Information Handout may be viewed and obtained at the Bid Document Unit.

If rock cores are available, you may view them by sending a request to Coreroom@dot.ca.gov.

Make your request at least 7 days before viewing. Include in your request:

1. District-County-Route
2. Bridge number
3. Contract number
4. Viewing date
5. Contact information, including telephone number.

If bridge as-built drawings are available:

1. For a project in District 1 through 6 or 10, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357
2. For a project in District 7, 8, 9, 11, or 12, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357, and are available at the Office of Structure Maintenance and Investigations, Los Angeles, CA, telephone (213) 897-0877

As-built drawings may not show existing dimensions and conditions. Where new construction dimensions are dependent on existing bridge dimensions, verify the field dimensions and adjust dimensions of the work to fit existing conditions.

## 2-1.04 SMALL BUSINESS ENTERPRISE GOAL

The Department has established an overall 25 percent small business participation goal. To determine if the goal is achieved, the Department is tracking small business participation on all contracts.

Contractors, subcontractors, suppliers, and service providers who qualify as small business are encouraged to apply for certification as a small business by submitting their application to the Department of General Services, Office of Small Business and DVBE Services.

## 2-1.05 DISADVANTAGED BUSINESS ENTERPRISES

Section 2-1.05, "Disadvantaged Business Enterprises," applies to a Federal-aid contract.

Under 49 CFR 26.13(b):

> The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

In order to ensure the Department achieves its federally mandated statewide overall DBE goal, the Department encourages the participation of DBEs as defined in 49 CFR 26.

## 2-1.06 DISABLED VETERAN BUSINESS ENTERPRISES

### 2-1.06A General

Section 2-1.06, "Disabled Veteran Business Enterprises," applies to a non-Federal-aid contract.

Take necessary and reasonable steps to ensure that DVBEs have opportunity to participate in the contract.

Comply with Mil & Vet Code § 999 et seq.

### 2-1.06B No Goal

Section 2-1.06B, "No Goal," applies if no DVBE goal is shown in the Notice to Bidders.

The Department encourages bidders to obtain DVBE participation in order to ensure the Department achieves its State-mandated overall DVBE goal.

### 2-1.06C Goal

Section 2-1.06C, "Goal," applies if a DVBE goal is shown in the Notice to Bidders.

Make work available to DVBEs and select work parts consistent with available DVBE subcontractors and suppliers.

Meet the goal shown or demonstrate that you made good faith efforts to meet this goal.

If a DVBE joint venture is used, submit the joint venture agreement with the Certified DVBE Subcontractor Summary form.

The Department determines a bidder has made good faith efforts if it submits evidence within the specified time that it:

1. Contacted the Office of Small Business and DVBE Services, Department of General Services
2. Advertised in trade media and media focusing on DVBEs unless time limits the Department imposes do not allow the advertising
3. Submitted invitations to bid to potential DVBE contractors
4. Considered available DVBEs

## 2-1.07 SMALL BUSINESS AND NON–SMALL BUSINESS SUBCONTRACTOR PREFERENCES (GOVT CODE § 14835 ET SEQ. AND 2 CA CODE OF REGS § 1896 ET SEQ.)

### 2-1.07A General

Section 2-1.07, "Small Business and Non–Small Business Subcontractor Preferences (Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq.)," applies to a non-Federal-aid contract.

### 2-1.07B Small Business Preference

The Department allows a bidder certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. You completed a Request for Small Business Preference or Non–Small Business Preference form
2. You attached a copy of your Office of Small Business and DVBE Services small business certification to the form
3. The apparent low bidder is not certified as a small business

The bidder's signature on the Request for Small Business Preference or Non–Small Business Preference form certifies that the bidder is certified as a small business at the time and day of bid or has submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The small business preference is a reduction for bid comparison in the total bid submitted by the small business subcontractor by the lesser of:

1. 5 percent of the verified total bid of the apparent low bidder
2. $50,000

If this reduction results in the small business contractor becoming the low bidder, the contract award is based on the total bid, not the reduced bid.

### 2-1.07C Non–Small Business Subcontractor Preference

The Department allows a bidder not certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. You completed a Request for Small Business Preference or Non–Small Business Preference form
2. The apparent low bidder is not certified as a small business and has not requested preference

The listed subcontractors and suppliers must be certified as a small business at the time and day of bid or must have submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The non–small business subcontractor preference is a reduction for bid comparison in the total bid submitted by the non–small business contractor requesting the preference by the lesser of:

1. 5 percent of the verified total bid of the apparent low bidder
2. $50,000

If this reduction results in the non–small business contractor becoming the low bidder or a tie with a non-small business apparent low bidder not requesting the preference, the contract award is based on the total bid, not the reduced bid.

A non–small business bidder cannot displace a small business bidder.

## 2-1.08 DVBE INCENTIVE EVALUATION

Section 2-1.08, "DVBE Incentive Evaluation," applies to a non-Federal-aid contract.

The Department applies the Small Business and Non–Small Business preference during bid verification and proceeds with the following evaluation for DVBE incentive.

The Department grants a DVBE incentive to bidders who achieve a DVBE participation of 1 percent or greater of the value of their bid (Mil & Vet Code and Code of Regs § 1896.98 et seq).

The DVBE incentive is a reduction, for bid comparison only, in the total bid submitted by the lesser of:

1. Percentage of DVBE achievement, rounded to 2 decimal places, of the verified total bid of the low bidder
2. 5 percent of the verified total bid of the low bidder
3. $100,000

The Department applies DVBE incentive and determines if bid ranking changes.

A non-small business bidder cannot displace a small business bidder. However, a small business bidder with higher DVBE achievement can displace another small business bidder.

The Department proceeds with awarding the contract to the new low bidder and posts the new verified bid results at its Office Engineer Web site.

## 2-1.09 PREFERENCE HIERARCHY

Section 2-1.09, "Preference Hierarchy," applies to a non-Federal-aid contract.

If a small business bidder and a non–small business bidder request preferences and the reductions result in a tied bid, the Department awards the contract to the small business bidder.

If a DVBE bidder and a non-DVBE bidder request preferences and the reduction results in a tied bid, the Department awards the contract to the DVBE bidder.

## 2-1.10 CALIFORNIA COMPANIES

Section 2-1.10, "California Companies," applies to a non-Federal-aid contract.

Under Pub Cont Code § 6107, the Department gives preference to a "California company," as defined, for bid comparison purposes over a nonresident contractor from any state that gives or requires a preference to be given contractors from that state on its public entity construction contracts.

Complete a California Company Preference form.

The California company reciprocal preference amount is equal to the preference amount applied by the state of the nonresident contractor with the lowest responsive bid unless the California company is eligible for a small business preference or a non–small business subcontractor preference; in which case the preference amount is the greater of the two, but not both.

If the low bidder is not a California company and a California company's bid with reciprocal preference is equal to or less than the lowest bid, the Department awards the contract to the California company on the basis of its total bid, not the reduced bid used for comparison except as specified in Section 2-1.09, "Preference Hierarchy."

## 2-1.11 JOB SITE AND DOCUMENT EXAMINATION

Examine the job site and bid documents.

Bid submission is your acknowledgment that you have examined the job site and bid documents and are satisfied with:

1. General and local conditions to be encountered
2. Character, quality, and scope of work to be performed
3. Quantities of materials to be furnished
4. Character, quality, and quantity of surface and subsurface materials or obstacles
5. Requirements of the contract

## 2-1.12 BID DOCUMENT COMPLETION

### 2-1.12A General

Complete forms in the Bid book. Submit the forms with your bid except:

1. For the following 2 forms for non-federal-aid non-informal-bid contracts:

    1.1. Certified DVBE Summary form. You may submit this form with your bid. If you do not and you are the apparent low bidder or the second or third low bidder, submit it so that it is received at the Office Engineer no later than 4:00 p.m. on the 4th business day after bid opening. If you are not the apparent low bidder or the second or third low bidder, you are not required to submit this form unless the Department asks for it.

    1.2. Certified Small Business Subcontractor form. If you are applying for the non–small business subcontractor preference, you may submit this information with your bid. If you do not, submit it so that it is received at the Office Engineer no later than 4:00 p.m. on the 2nd business day after bid opening.

2. On the Subcontractor List you may either submit the percentage of each bid item subcontracted with your bid or fax the percentage to (916) 227-6282 within 24 hours after bid opening.

Except for the percentage of each bid item subcontracted, do not fax submittals.

### 2-1.12B Bid Item List and Bid Comparison

Submit a bid based on the work item quantities the Department shows in the Bid Item List.

For a lump sum based bid, the Department compares bids based on the total price.

For a unit price based bid, the Department compares bids based on the sum of the item totals.

For a cost plus time based bid, the Department compares bids based on the sum of the item totals and the total bid for time.

### 2-1.12C Subcontractor List

In the Subcontractor List, list each subcontractor to perform work:

1. In an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater (Pub Cont Code § 4100 et seq.)
2. That is a 1st tier DVBE subcontractor regardless of percentage of the total bid

The Subcontractor List must show the name, address, and work portions to be performed by each subcontractor listed. Show work portion by bid item number, description, and percentage of each bid item subcontracted.

## 2-1.13 BIDDER'S SECURITY

Submit your bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

1. Cash
2. Cashier's check
3. Certified check
4. Bidder's bond signed by a surety insurer who is licensed in California

Make checks and bonds payable to the Department of Transportation.

If paying with a bidder's bond, you may use the form in the Bid book. If you do not use the form in the Bid book, use a form containing the same information.

## 2-1.14 BID SUBMITTAL

Submit your bid:

1. Under sealed cover
2. Marked as a bid
3. Identifying the contract number and the bid opening date

If an agent other than the authorized corporation officer or a partnership member signs the bid, file a Power of Attorney with the Department either before opening bids or with the bid. Otherwise, the bid may be nonresponsive.

## 2-1.15 BID WITHDRAWAL

You or an authorized agent may withdraw a bid before the bid opening date and time by submitting a written bid withdrawal request at the location where the bid was submitted. Withdrawing a bid does not prevent you from submitting a new bid.

After the bid opening time, you cannot withdraw a bid.

## 2-1.16 BID OPENING

The Department publicly opens and reads bids at the time and place described in the Notice to Bidders. The Department invites bidders or their authorized agents to attend.

## 2-1.17 BID REJECTION

The Department may reject:

1. All bids
2. A nonresponsive bid

### 2-1.18 BID RELIEF

The Department may grant bid relief under Pub Cont Code § 5100 et seq. Submit any request for bid relief to the Office Engineer. For Relief of Bid Request form, go to:

http://www.dot.ca.gov/hq/esc/oe/contractor_info/relief.pdf

### 2-1.19 SUBMITTAL FAILURE HISTORY

The Department considers a bidder's past failure to submit documents required after bid opening in determining a bidder's responsibility.

### 2-1.20 BID RIGGING

Section 2-1.20, "Bid Rigging," applies to a Federal-aid contract.

The U.S. Department of Transportation (DOT) provides a toll-free hotline to report bid rigging activities. Use the hotline to report bid rigging, bidder collusion, and other fraudulent activities. The hotline number is (800) 424-9071. The service is available Monday through Friday between 11:00 a.m. and 8:00 p.m. and is confidential and anonymous. The hotline is part of the DOT's effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General.

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## SECTION 3 AWARD AND EXECUTION OF CONTRACT
**(Issued 11-21-08)**

**Replace Section 3 with:**

## SECTION 3 CONTRACT AWARD AND EXECUTION

### 3-1.01 SCOPE

Section 3, "Contract Award and Execution," includes specifications related to contract award and execution.

### 3-1.02 CONTRACT AWARD

Submit any bid protest to the Office Engineer.

If the Department awards the contract, the award is made to the lowest responsible bidder within the number of days shown in the following table:

**Contract Award Period**

| Days | Project Estimated Cost shown in the Notice to Bidders |
|---|---|
| 30 | < $200 million |
| 60 | ≥ $200 million |

The Department may extend the specified award period if the bidder agrees.

### 3-1.03 CONTRACT BONDS (PUB CONT CODE §§ 10221 AND 10222)

The successful bidder must furnish 2 bonds:

1. Payment bond to secure the claim payments of laborers, workers, mechanics, or materialmen providing goods, labor, or services under the contract. This bond must be equal to at least 100 percent of the total bid.
2. Performance bond to guarantee the faithful performance of the contract. This bond must be equal to at least 50 percent of the total bid.

The Department furnishes the successful bidder with bond forms.

### 3-1.04 CONTRACTOR LICENSE

For a Federal-aid contract, the Contractor must be properly licensed as a contractor (Pub Cont Code § 10164) from contract award through contract acceptance.

For a non-Federal-aid contract:

1. The Contractor must be properly licensed as a contractor from bid opening through contract acceptance (Bus & Prof Code § 7028.15)
2. Joint venture bidders must obtain a joint venture license before contract award (Bus & Prof Code § 7029.1)

### 3-1.05 INSURANCE POLICIES

The successful bidder must submit:

1. Copy of its commercial general liability policy and its excess policy or binder until such time as a policy is available, including the declarations page, applicable endorsements, riders, and other modifications in effect at the time of contract execution. Standard ISO form No. CG 0001 or similar exclusions are allowed if not inconsistent with Section 7-1.12, "Indemnification and Insurance." Allowance of additional exclusions is at the discretion of the Department.
2. Certificate of insurance showing all other required coverages. Certificates of insurance, as evidence of required insurance for the auto liability and any other required policy, shall set forth deductible amounts applicable to each policy and all exclusions that are added by endorsement to each policy. The evidence of insurance shall provide that no cancellation, lapse, or reduction of coverage will occur without 10 days prior written notice to the Department.
3. A declaration under the penalty of perjury by a CPA certifying the accountant has applied GAAP guidelines confirming the successful bidder has sufficient funds and resources to cover any self-insured retentions if the self-insured retention is $50,000 or higher.

If the successful bidder uses any form of self-insurance for workers compensation in lieu of an insurance policy, it shall submit a certificate of consent to self-insure under Labor Code § 3700.

### 3-1.06 SMALL BUSINESS PARTICIPATION REPORT

Complete and sign the Small Business (SB) Participation Report form included in the contract documents even if no small business participation is reported.

### 3-1.07 PAYEE DATA RECORD

Complete and sign the Payee Data Record form included in the contract documents.

### 3-1.08 CALTRANS BIDDER - DBE INFORMATION FORM

Section 3-1.08, "Caltrans Bidder - DBE Information Form," applies to a Federal-aid contract.

Complete and sign the Caltrans Bidder - DBE Information form included in the contract documents even if no DBE participation is reported.

Provide written confirmation from each DBE that the DBE is participating in the contract. A copy of a DBE's quote serves as written confirmation. If a DBE is participating as a joint venture partner, the Department encourages you to submit a copy of the joint venture agreement.

### 3-1.09 CONTRACT EXECUTION

The successful bidder must sign the contract.

Deliver to the Office Engineer:

1. Signed Contract form
2. Contract bonds
3. Documents identified in Section 3-1.05, "Insurance Policies"
4. Small Business Participation Report
5. Payee data record
6. For a Federal-aid contract, Caltrans Bidder - DBE Information form

For a non-informal-bid contract, the Office Engineer must receive these documents before the 10th business day after the bidder receives the contract.

For an informal-bid contract, the Office Engineer must receive these documents before the 5th business day after the bidder receives the contract.

The bidder's security may be forfeited for failure to execute the contract (Pub Cont Code §§ 10181 and 10182).

The following is a copy of the Contract form:

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**CONTRACT**

DES-OE-0103A (NEW 3/2008)

# STATE OF CALIFORNIA
# DEPARTMENT OF TRANSPORTATION
# CONTRACT NO. _____

This contract is entered into between the State of California's Department of Transportation and the Contractor named below:

_____

CONTRACTOR'S NAME

The parties agree to comply with the terms of the following exhibits that are by this reference made a part of this contract.

Exhibit A - Bid book dated _____

Exhibit B - Notice to Bidders and Special Provisions dated _____

Exhibit C - Project Plans approved _____

Exhibit D - Standard Specifications dated _____

Exhibit E - Standard Plans dated _____

Exhibit F - Addenda

Exhibits A, B, C, and F are those exhibits identified with the same contract number as this contract.

**This contract has been executed by the following parties:**

## CONTRACTOR

CONTRACTOR'S NAME *(if other than an individual, state whether a corporation, partnership, etc.)*

| BY *(Authorized Signature)* | DATE SIGNED *(Do not type)* |
|---|---|

PRINTED NAME AND TITLE OF PERSON SIGNING

FEDERAL EMPLOYER IDENTIFICATION NUMBER

## DEPARTMENT OF TRANSPORTATION

| BY *(Authorized Signature)* | DATE SIGNED *(Do not type)* |
|---|---|

PRINTED NAME AND TITLE OF PERSON SIGNING

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**3-1.10 BIDDERS' SECURITIES**

The Department keeps the securities of the 1st, 2nd, and 3rd lowest responsible bidders until the contract has been executed. The other bidders' securities, other than bidders' bonds, are returned upon determination of the 1st, 2nd, and 3rd apparent lowest bidders, and their bidders' bonds are of no further effect.

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## SECTION 4 SCOPE OF WORK
**(Issued 07-01-08)**

**Add to Section 4-1.01:**

Nothing in the specifications voids the Contractor's public safety responsibilities.

**Add:**

**4-1.015 PROJECT DESCRIPTION**

Construct the work described in the special provisions and on project plans and by the bid items. The special provisions, project plans, and bid item descriptions set forth the specifications that apply.

**Add:**

**4-1.035 VALUE ENGINEERING**

**4-1.035A General**

Reserved

**4-1.035B Value Engineering Change Proposal**

You may submit a VECP to reduce any of the following:

1. Total cost of construction
2. Construction activity duration
3. Traffic congestion

Before preparing a VECP, meet with the Engineer to discuss:

1. Proposal concept
2. Permit issues
3. Impact on other projects
4. Project impacts, including traffic, schedule, and later stages
5. Peer reviews
6. Overall proposal merits
7. Review times required by the Department and other agencies

The VECP must not impair the project's essential functions or characteristics, such as:

1. Service life
2. Operation economy
3. Maintenance ease
4. Desired appearance
5. Design and safety

The VECP must include:

1. Description of the contract specifications and drawing details for performing the work and the proposed changes.
2. Itemization of contract specifications and drawing details that would be changed.
3. Detailed cost estimate for performing the work under the existing contract and under the proposed change. Determine the estimates under Section 9-1.03, "Force Account Payment."
4. Deadline for the Engineer to decide on the changes.
5. Bid items affected and resulting quantity changes.

The Department is not required to consider a VECP. If a VECP is similar to a change in the plans or specifications being considered by the Department at the time the proposal is submitted or if the proposal is based on or similar to drawings or specifications adopted by the Department before Contract award, the Department does not accept the VECP and may make these changes without VECP payments.

Until the Department approves a change order incorporating the VECP or parts of it, continue to perform the work under the contract. If the Department does not approve a change order before the deadline stated in the VECP or other date you subsequently stated in writing, the VECP is rejected. The Department does not adjust time or payment for a rejected VECP.

The Department decides whether to accept a VECP and the estimated net construction-cost savings from adopting the VECP or parts of it.

The Department may require you to accept a share of the investigation cost as a condition of reviewing a VECP. After written acceptance, the Department considers the VECP and deducts the agreed cost.

If the Department accepts the VECP or parts of it, the Department issues a change order that:

1. Incorporates changes in the contract necessary to implement the VECP or the parts adopted
2. Includes the Department's acceptance conditions
3. States the estimated net construction-cost savings resulting from the VECP
4. Obligates the Department to pay you 50 percent of the estimated net savings

In determining the estimated net construction-cost savings, the Department excludes your VECP preparation cost and the Department's VECP investigation cost, including parts paid by you.

If a VECP providing for a reduction in working days is accepted by the Department, 50 percent of the reduction is deducted from contract time.

If a VECP providing for a reduction in traffic congestion or avoiding traffic congestion is accepted by the Department, the Department pays 60 percent of the estimated net savings in construction costs attributable to the VECP. Submit detailed traffic handling comparisons between the existing contract and the proposed change, including estimates of the traffic volumes and congestion.

The Department may apply an accepted VECP for general use on other contracts.

If an accepted VECP is adopted for general use, the Department pays only the contractor who first submitted the VECP and only to the contracts awarded to that contractor before the submission of the accepted VECP.

If the Department does not adopt a general-use VECP, an identical or similar submitted proposal is eligible for acceptance.

**4-1.035C Value Analysis Workshop**

Section 4-1.035C, "Value Analysis Workshop," applies to a non-building-work contract with a total bid of over $5 million.

You may request a value analysis workshop by submitting a request after contract approval.

The Department offers a value analysis workshop to:

1. Identify value enhancing opportunities
2. Consider changes to the contract that will reduce the total cost of construction, construction activity duration, or traffic congestion without impairing the essential functions specified for a VECP in Section 4-1.035B, "Value Engineering Change Proposal."

If the request is authorized, you and the Engineer:

1. Schedule a value analysis workshop
2. Select a facilitator and workshop site
3. Agree to other workshop administrative details

The workshop must be conducted under the methods described in the Department's Value Analysis Team Guide available at:

http://www.dot.ca.gov/hq/oppd/value/

The facilitator must be a certified value specialist as recognized by the Society of American Value Engineers.

The Department reimburses you for 1/2 of the workshop cost. The workshop cost is the sum of the workshop-facilitator cost and the workshop-site cost. The Department determines the workshop cost based on the facilitator and workshop-site invoice prices minus any available or offered discounts. The Department does not pay you for any other associated costs.

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## SECTION 5 CONTROL OF WORK
**(Issued 01-02-09)**

**Add:**

### 5-1.005 GENERAL

Failure to comply with any specification part is a waiver of your right to an adjustment of time and payment related to that part.

After contract approval, submit documents and direct questions to the Engineer. Orders, approvals, and requests to the Contractor are by the Engineer.

The Engineer furnishes the following in writing:

1. Approvals
2. Notifications
3. Orders

The Contractor must furnish the following in writing:

1. Assignments
2. Notifications
3. Proposals
4. Requests, sequentially numbered
5. Subcontracts
6. Test results

The Department rejects a form if it has any error or any omission.

Convert foreign language documents to English.

Use contract administration forms available at the Department's Web site.

If the last day for submitting a document falls on a Saturday or holiday, it may be submitted on the next business day with the same effect as if it had been submitted on the day specified.

**Add to 5-1.01:**

Failure to enforce a contract provision does not waive enforcement of any contract provision.

**Add:**

### 5-1.012 PARTNERING

#### 5-1.012A General

The Department strives to work cooperatively with all contractors; partnering is our way of doing business. The Department encourages project partnering among the project team, made up of significant contributors from the Department and the Contractor, and their invited stakeholders.

For a project with a total bid greater than $1 million, professionally facilitated project partnering is encouraged.

For a project with a total bid greater than $10 million, professionally facilitated project partnering is required.
In implementing project partnering, you and the Engineer manage the contract by:

1. Using early and regular communication with involved parties
2. Establishing and maintaining a relationship of shared trust, equity, and commitment
3. Identifying, quantifying, and supporting attainment of mutual goals
4. Developing strategies for using risk management concepts
5. Implementing timely communication and decision making
6. Resolving potential problems at the lowest possible level to avoid negative impacts
7. Holding periodic partnering meetings and workshops as appropriate to maintain partnering relationships and benefits throughout the life of the project
8. Establishing periodic joint evaluations of the partnering process and attainment of mutual goals

Partnering does not void any contract part.

The Department's "Field Guide to Partnering on Caltrans Construction Projects" current at the time of bid is available to the project team as reference. This guide provides structure, context, and clarity to the partnering process requirements. This guide is available at the Department's Partnering Program website:

http://www.dot.ca.gov/hq/construc/partnering.html

In implementing project partnering, the project team must:

1. Create a partnering charter that includes:

    1.1. Mutual goals, including core project goals and may also include project-specific goals and mutually supported individual goals.
    1.2. Partnering maintenance and close-out plan.
    1.3. Dispute resolution plan that includes a dispute resolution ladder and may also include use of facilitated dispute resolution sessions.
    1.4. Team commitment statement and signatures.

2. Participate in monthly partnering evaluation surveys to measure progress on mutual goals and may also measure short-term key issues as they arise.
3. Evaluate the partnering facilitator on Forms CEM-5501 and CEM-5502. The Engineer provides the evaluation forms to the project team and collects the results. The Department makes evaluation results available upon request. Facilitator evaluations must be completed:

    3.1. At the end of the initial partnering workshop on Form CEM-5501.
    3.2. At the end of the project close-out partnering workshop on Form CEM-5502.

4. Conduct a project close-out partnering workshop.
5. Document lessons learned before contract acceptance.

**5-1.012B Partnering Facilitator, Workshops, and Monthly Evaluation Surveys**

The Engineer sends you a written invitation to enter into a partnering relationship after contract approval. Respond within 15 days to accept the invitation and request the initial and additional partnering workshops. After the Engineer receives the request, you and the Engineer cooperatively:

1. Select a partnering facilitator that offers the service of a monthly partnering evaluation survey with a 5-point rating and agrees to follow the Department's "Partnering Facilitator Standards and Expectations" available at the Department's Partnering Program website
2. Schedule initial partnering workshop
3. Determine initial workshop site and duration
4. Agree to other workshop administrative details

Additional partnering workshops and sessions are encouraged throughout the life of the project as determined necessary by you and the Engineer, recommended quarterly.

### 5-1.012C Training in Partnering Skills Development

For a project with a total bid of $25 million or greater, training in partnering skills development is required.

For a project with a total bid between $10 million and $25 million, training in partnering skills is optional.

You and the Engineer cooperatively schedule the training session and select a professional trainer, training site, and 1 to 4 topics from the following list to be covered in the training:

1. Active Listening
2. Building Teams
3. Change Management
4. Communication
5. Conflict Resolution
6. Cultural Diversity
7. Dealing with Difficult People
8. Decision Making
9. Effective Escalation Ladders
10. Emotional Intelligence
11. Empathy
12. Ethics
13. Facilitation Skills
14. Leadership
15. Partnering Process and Concepts
16. Project Management
17. Project Organization
18. Problem Solving
19. Running Effective Meetings
20. Time Management
21. Win-Win Negotiation

Before the initial partnering workshop, the trainer conducts a 1-day training session in partnering skills development for the Contractor's and the Engineer's representatives. This training session must be a separate session from the initial partnering workshop and must be conducted locally. The training session must be consistent with the partnering principles under the Department's "Field Guide to Partnering on Caltrans Construction Projects."

Send at least 2 representatives to the training session. One of these must be your assigned representative as specified in Section 5-1.06, "Superintendence," of the Standard Specifications.

### 5-1.012D Payment

The Department pays you for:

1. 1/2 of partnering workshops and sessions based on facilitator and workshop site cost
2. 1/2 of monthly partnering evaluation survey service cost
3. Partnering skills development trainer and training site cost

The Department determines the costs based on invoice prices minus any available or offered discounts. The Department does not pay markups on these costs.

The Department does not pay for wages, travel expenses, or other costs associated with the partnering workshops and sessions, monthly partnering evaluation surveys, and training in partnering skills development.

**Add:**

## 5-1.015 RECORDS

### 5-1.015A General

Reserved

### 5-1.015B Record Retention

Retain project records from bid preparation through:

1. Final payment
2. Resolution of claims, if any

For at least 3 years after the later of these, retain cost records, including records of:

1. Bid preparation
2. Overhead
3. Payrolls
4. Payments to suppliers and subcontractors
5. Cost accounting

Maintain the records in an organized way in the original format, electronic and hard copy, conducive to professional review and audit.

**5-1.015C Record Inspection, Copying, and Auditing**

Make your records available for inspection, copying, and auditing by State representatives for the same time frame specified under Section 5-1.015B, "Record Retention." The records of subcontractors and suppliers must be made available for inspection, copying, and auditing by State representatives for the same period. Before contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier 5 business days before inspection, copying, or auditing.

If an audit is to start more than 30 days after contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier when the audit is to start.

**5-1.015D Cost Accounting Records**

Maintain cost accounting records for the project distinguishing between the following work cost categories:

1. Contract item work
2. Work character changes
3. Force account work
4. Extra work
5. Work performed under protests and claim notifications
6. Overhead
7. Subcontractors, suppliers, owner-operators, and professional services

Cost accounting records must include:

1. Final cost code lists and definitions
2. Itemization of the materials used and corresponding vendor's invoice copies
3. Direct cost of labor
4. Equipment rental charges
5. Workers' certified payrolls
6. Equipment:

    6.1. Size
    6.2. Type
    6.3. Identification number
    6.4. Hours operated

**5-1.015E Extra Work Bills**

Maintain separate records for force account costs.

Submit extra work bills using the Department's Internet extra work billing system.

The Contractor submitting and the Engineer approving an extra work bill using the Internet force account work billing system is the same as each party signing the report.

The Department provides billing system:

1. Training within 30 days of your written request
2. Accounts and user identification to your assigned representatives after a representative has received training

Each representative must maintain a unique password.

**Replace Section 5-1.02A with:**

**5-1.02A Excavation Safety Plans**

The Construction Safety Orders of the Division of Occupational Safety and Health shall apply to all excavations. For all excavations 1.5 m or more in depth, the Contractor shall submit to the Engineer a detailed plan showing the design and details of the protective systems to be provided for worker protection from the hazard of caving ground during excavation. The detailed plan shall include any tabulated data and any design calculations used in the preparation of the plan. Excavation shall not begin until the detailed plan has been reviewed and approved by the Engineer.

Detailed plans of protective systems for which the Construction Safety Orders require design by a registered professional engineer shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California, and shall include the soil classification, soil properties, soil design calculations that demonstrate adequate stability of the protective system, and any other design calculations used in the preparation of the plan.

No plan shall allow the use of a protective system less effective than that required by the Construction Safety Orders.

If the detailed plan includes designs of protective systems developed only from the allowable configurations and slopes, or Appendices, contained in the Construction Safety Orders, the plan shall be submitted at least 5 days before the Contractor intends to begin excavation. If the detailed plan includes designs of protective systems developed from tabulated data, or designs for which design by a registered professional engineer is required, the plan shall be submitted at least 3 weeks before the Contractor intends to begin excavation.

Attention is directed to Section 7-1.01E, "Trench Safety."

**Replace Section 5-1.04 with:**

**5-1.04 CONTRACT COMPONENTS**

A component in one contract part applies as if appearing in each. The parts are complementary and describe and provide for a complete work.

If a discrepancy exists:

1. The governing ranking of contract parts in descending order is:

    1.1. Special provisions
    1.2. Project plans
    1.3. Revised Standard Plans
    1.4. Standard Plans
    1.5. Amendments to the Standard Specifications
    1.6. Standard Specifications
    1.7. Supplemental project information

2. Written numbers and notes on a drawing govern over graphics
3. A detail drawing governs over a general drawing
4. A detail specification governs over a general specification
5. A specification in a section governs over a specification referenced by that section

If a discrepancy is found or confusion arises, request correction or clarification.

**Add:**

**5-1.055 SUBCONTRACTING**

**5-1.055A General**

No subcontract releases you from the contract or relieves you of your responsibility for a subcontractor's work.

If you violate Pub Cont Code § 4100 et seq., the Department may exercise the remedies provided under Pub Cont Code § 4110. The Department may refer the violation to the Contractors State License Board as provided under Pub Cont Code § 4111.

Perform work equaling at least 30 percent of the value of the original total bid with your employees and with equipment owned or rented by you, with or without operators.

Each subcontract must comply with the contract.

Each subcontractor must have an active and valid State contractor's license with a classification appropriate for the work to be performed (Bus & Prof Code, § 7000 et seq.).

Submit copies of subcontracts upon request.

Before subcontracted work starts, submit a Subcontracting Request form.

Do not use a debarred contractor; a current list of debarred contractors is available at the Department of Industrial Relations' Web site.

Upon request, immediately remove and not again use a subcontractor who fails to prosecute the work satisfactorily.

**5-1.055B Disadvantaged Business Enterprises**

Section 5-1.055B, "Disadvantaged Business Enterprises," applies to a Federal-aid contract.

Use each subcontractor as listed on the Subcontractor List form unless you receive authorization for a substitution.

The Department requests the Contractor to:

1. Notify the Engineer of any changes to its anticipated DBE participation
2. Provide this notification before starting the affected work

Maintain records including:

1. Name and business address of each 1st-tier subcontractor
2. Name and business address of each DBE subcontractor, DBE vendor, and DBE trucking company, regardless of tier
3. Date of payment and total amount paid to each business

If you are a DBE contractor, include the date of work performed by your own forces and the corresponding value of the work.

Before the 15th of each month, submit a Monthly DBE Trucking Verification form.

For a DBE that leases trucks from a non-DBE, count only the fee or commission the DBE receives as a result of the lease arrangement.

If a DBE subcontractor is decertified before completing subcontracted work, the subcontractor must notify you in writing of the decertification date. If a subcontractor becomes a certified DBE before completing subcontracted work, the subcontractor must notify you in writing of the certification date. Submit the notifications. On contract work completion, complete a Disadvantaged Business Enterprises (DBE) Certification Status Change form. Submit the form within 90 days of contract acceptance.

Upon contract work completion, complete a Final Report – Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors form. Submit it within 90 days of contract acceptance. The Department withholds $10,000 until the form is submitted. The Department releases the withhold upon submission of the completed form.

**5-1.055C Disabled Veteran Business Enterprises**

Section 5-1.055C, "Disabled Veteran Business Enterprises," applies to a non-Federal-aid contract.

If a DVBE goal is shown in the Notice to Bidders:

1. Use each DVBE as shown on the Certified DVBE Summary form unless you receive authorization for a substitution
2. The requirement that DVBEs be certified by the bid opening date does not apply to DVBE substitutions after contract award
3. Maintain records of subcontracts made with certified DVBEs. Include in the records:

    3.1. Name and business address of each business
    3.2. Total amount paid to each business

4. For the purpose of determining compliance with Pub Cont Code § 10115 et seq.:

    4.1. Provide the Department relevant information requested
    4.2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:

        4.2.1. Interviewing employees

4.2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

If no DVBE goal is shown in the Notice to Bidders and if you obtain DVBE participation, submit the participating DVBE names and value of work or supplies supplied by each DVBE transaction upon contract completion.

**5-1.055D Non–Small Businesses**

Section 5-1.055D, "Non–Small Businesses," applies to a non-Federal-aid contract.

Use each subcontractor as shown on the Certified Small Business Listing for the Non–Small Business Preference form unless you receive authorization for a substitution.

The requirement that small businesses be certified by the bid opening date does not apply to small business substitutions after contract award.

Maintain records of subcontracts made with certified small business subcontractors and records of materials purchased from certified small business suppliers. Include in the records:

1. Name and business address of each business
2. Total amount paid to each business

For the purpose of determining compliance with 2 CA Code of Regs § 1896 et seq.:

1. Provide the Department relevant information requested.
2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:

    2.1. Interviewing employees
    2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

**Replace Section 5-1.07 with:**

**5-1.07 LINES AND GRADES**

The Engineer places stakes and marks under Chapter 12, "Construction Surveys," of the Department's Surveys Manual.

Submit your request for Department-furnished stakes:

1. On a Request for Construction Stakes form. Ensure:

    1.1. Requested staking area is ready for stakes
    1.2. You use the stakes in a reasonable time

2. A reasonable time before starting an activity using the stakes

Establish priorities for stakes and note priorities on the request.

Preserve stakes and marks placed by the Engineer. If the stakes or marks are destroyed, the Engineer replaces them at the Engineer's earliest convenience and deducts the cost.

**Replace Section 5-1.116 with:**

**5-1.116 DIFFERING SITE CONDITIONS (23 CFR 635.109)**

**5-1.116A Contractor's Notification**

Promptly notify the Engineer if you find either of the following:

1. Physical conditions differing materially from either of the following:

    1.1. Contract documents
    1.2. Job site examination

2. Physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract

Include details explaining the information you relied on and the material differences you discovered.

If you fail to notify the Engineer promptly, you waive the differing site condition claim for the period between your discovery of the differing site condition and your notification to the Engineer.

If you disturb the site after discovery and before the Engineer's investigation, you waive the differing site condition claim.

**5-1.116B Engineer's Investigation and Decision**

Upon your notification, the Engineer investigates job site conditions and:

1. Notifies you whether to resume affected work
2. Decides whether the condition differs materially and is cause for an adjustment of time, payment, or both

**5-1.116C Protests**

You may protest the Engineer's decision by:

1. Submitting an Initial Notice of Potential Claim within 5 business days after receipt of the Engineer's notification
2. Complying with claim procedures

The Initial Notice of Potential Claim must detail the differences in your position from the Engineer's determination and support your position with additional information, including additional geotechnical data. Attach to the Initial Notice of Potential Claim a certification stating that you complied with Section 2-1.11, "Job Site and Document Examination."

Promptly submit supplementary information when obtained.

**Replace Section 5-1.14 with:**

**5-1.14 COST REDUCTION INCENTIVE**

Comply with Section 4-1.035B, "Value Engineering Change Proposal."

**Add:**

**5-1.15 DISPUTE RESOLUTION**

**5-1.15A General**

Section 5-1.15, "Dispute Resolution," applies to a contract with 100 or more working days.

In the Dispute Resolution Advisor Agreement and in the Dispute Review Board Agreement, interpret a reference to the special provisions as a reference to the Amendments to the Standard Specifications. In the Dispute Review Board Agreement, replace "Proposal and Contract" with "Bid book." Where the section title does not match the section number for a reference, refer to the referenced title.

**5-1.15B Dispute Resolution Advisor**

Section 5-1.15B, "Dispute Resolution Advisor," applies to a contract from $3 million to $10 million.

A dispute resolution advisor, hereinafter referred to as "DRA", is chosen by the Department and the Contractor to assist in the resolution of disputes. The DRA is a part of the contract administrative claims process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The DRA shall not serve as a substitute for filing a protest or a notice of potential claim.

The DRA shall be established by the Department and the Contractor within 30 days of contract approval.

The Department and the Contractor shall each propose 3 potential DRA candidates. Each potential candidate shall provide the Department and the Contractor with their disclosure statement. The disclosure statement shall include a resume of the potential candidate's experience and a declaration statement describing past, present, anticipated, and planned relationships with all parties involved in this contract.

The Department and the Contractor shall select one of the 6 nominees to be the DRA. If the Department and the Contractor cannot agree on one candidate, the Department and the Contractor shall each choose one of the 3 nominated by the other. The final selection of the DRA will be decided by a coin toss between the two candidates.

The Department and the Contractor shall complete and adhere to the Dispute Resolution Advisor Agreement. No DRA meeting shall take place until the Dispute Resolution Advisor Agreement has been signed by all parties, unless all parties agree to sign it at the first meeting.

If DRA needs outside technical services, technical services shall be preapproved by both the Department and the Contractor.

DRA recommendations are nonbinding.

The Contractor shall not use the DRA for disputes between subcontractors or suppliers that have no grounds for a lawsuit against the Department.

DRA replacement is selected in the same manner as the original selection. The appointment of a replacement DRA will begin promptly upon determination of the need for replacement. The Dispute Resolution Advisor Agreement shall be amended to reflect the change of the DRA.

Failure of the Contractor to participate in selecting DRA will result in the withhold of 25 percent of the estimated value of all work performed during each estimate period that the Contractor fails to comply. DRA withholds will be released for payment on the next monthly progress payment following the date that the Contractor has provided assistance in choosing the DRA and no interest will be due the Contractor.

The State and the Contractor shall bear the costs and expenses of the DRA equally.

The DRA shall be compensated at an agreed rate of $1,500 per day for time spent per meeting either at the start of the project or for a dispute. A member serving on more than one State DRA or Dispute Review Board, regardless the number of meetings per day shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel, and incidentals for each day or portion thereof that the DRA is at an authorized DRA meeting.

No additional compensation will be made for time spent by the DRA to review and research activities outside the official DRA meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRA, has been specifically agreed to in advance by the State and Contractor. Time away from the project that has been specifically agreed to in advance by the Department and the Contractor will be compensated at an agreed rate of $150 per hour. The agreed amount of $150 per hour shall include all incidentals including expenses for telephone, fax, and computer services.

The State will provide conference facilities for DRA meetings at no cost to the Contractor.

The Contractor shall make direct payments to the DRA for participation in authorized meetings and approved hourly rate charges from invoices submitted.

The State will reimburse the Contractor for the State's share of the costs.

There will be no markups applied to expenses associated with the DRA, either by the DRA or by the Contractor when requesting payment of the State's share of DRA expenses. Regardless of the DRA recommendation, neither party will be entitled to reimbursement of DRA costs from the other party.

The Contractor shall submit extra work bills and include invoices with original supporting documents for reimbursement of the State's share.

The cost of technical services will be borne equally by the State and Contractor. There will be no markups for these costs.

A copy of the "Dispute Resolution Advisor Agreement" to be executed by the Contractor, State and the DRA is as follows:

Form CEM 6206 Rev (04-06-07)

**DISPUTE RESOLUTION ADVISOR AGREEMENT**

_____
(Contract Identification)

Contract No. _____

**THIS DISPUTE RESOLUTION ADVISOR AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ hereinafter called the "CONTRACTOR," and _____, the Dispute Resolution Advisor, hereinafter called the "DRA." .

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRA to assist in resolving disputes; and

WHEREAS, the DRA is composed of one person, chosen by the CONTRACTOR and the STATE;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRA hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the timely resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRA. The DRA is to fairly and impartially consider disputes placed before it and provide recommendations for resolution of these disputes to the parties. The DRA shall provide recommendations based on the facts related to the dispute, the contract and applicable laws and regulations. The DRA shall perform the services necessary to participate in the DRA's actions as designated in Section III, Scope of Work.

**SECTION II DRA QUALIFICATIONS**

DRA shall be knowledgeable in the type of construction and contract documents anticipated by the contract and shall have completed training through the Dispute Review Board Foundation. In addition, it is desirable for the DRA to have served on several State Dispute Review Boards (DRB).

No DRA shall have prior direct involvement in this contract. No DRA shall have a financial interest in this contract or parties thereto, including but not limited to the CONTRACTOR, subcontractors, suppliers, consultants, and legal and business services, within a period 6 months prior to award and during this contract. Exceptions to above are compensation for services on this or other DRAs and DRBs or retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

DRA shall fully disclose all direct or indirect professional or personal relationships with all key members of the contract.

**SECTION III SCOPE OF WORK**

The Scope of Work of the DRA includes, but is not limited to, the following:

**A. PROCEDURES**

The DRA shall meet with the parties at the start of the project to establish procedures that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. The DRA established procedures shall only be implemented upon approval by the parties. Subsequent meetings shall be held only to hear disputes between the parties.

The DRA shall not meet with, or discuss contract issues with individual parties.

State shall provide the DRA with the contract and all written correspondence regarding the dispute between the parties and, if available, the Contractor's supplemental notice of potential claim, and the Engineer's response to the supplemental notice of potential claim.

The parties shall not call the DRA who served on this contract as a witness in arbitration proceedings, which may arise from this contract.

The DRA shall have no claim against the STATE or the CONTRACTOR, or both, from claimed harm arising out of the parties' evaluations of the DRA's opinions.

**B. DISPUTE MEETING**

The term "dispute meeting" as used in this subsection shall refer to both the informal and traditional dispute meeting processes, unless otherwise noted.

If the CONTRACTOR requests a dispute meeting with the DRA, the Contractor must simultaneously notify the STATE. Upon being notified of the need for a dispute meeting, the DRA shall review and consider the dispute. The DRA shall determine the time and location of the dispute meeting with due consideration for the needs and preferences of the parties, while recognizing the importance of a speedy resolution to the dispute.

Dispute meetings shall be conducted at any location that would be convenient and provide required facilities and access to necessary documentation.

Only the STATE's Resident Engineer or Area Construction Engineer and the CONTRACTOR's or subcontractor's, if the dispute involves a subcontractor, Superintendent or Project Manager may present information at a dispute meeting. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute. The exception to this is technical services, as described below:

> The DRA, with approval of the parties, may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the two parties as specified in an approved contract change order. The CONTRACTOR shall not be entitled to markups for the payments made for these services.

At the dispute meeting the DRA may ask questions, seek clarification, and request further clarification of data presented by either of the parties as may be necessary to assist in making a fully informed recommendation. However, the DRA shall refrain from expressing opinions on the merits of statements on matters under dispute during the parties' presentations. Each party will be given ample time to fully present its position, make rebuttals, provide relevant documents, and respond to DRA questions and requests.

There shall be no testimony under oath or cross-examination, during DRA dispute meetings. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRA in conformance with the rules and regulations established at the first meeting between the DRA and parties. These established rules and regulations need not comply with prescribed legal laws of evidence.

Failure to attend a dispute meeting by either of the parties shall be conclusively considered by the DRA as indication that the non-attending party considers all written documents and correspondence submitted as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals at the meeting until all aspects of the dispute are thoroughly covered.

**1. TRADITIONAL DISPUTE MEETING:**

The following procedure shall be used for the traditional dispute meeting:

a. Within 5 days, after receiving the STATE's written response to the CONTRACTOR's supplemental notice of potential claim, the CONTRACTOR shall refer the dispute to the DRA, if the CONTRACTOR wishes to further pursue the dispute. The CONTRACTOR shall make the referral in writing to the DRA, simultaneously copied to the STATE. The written dispute referral shall describe the disputed matter in individual discrete segments, so that it will be clear to both parties and the DRA what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.

b. The parties shall each be afforded an opportunity to be present and to be heard by the DRA, and to offer evidence. Either party furnishing written evidence or documentation to the DRA must furnish copies of such information to the other party a minimum of 10 days prior to the date the DRA is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRA may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRA. The DRA shall not consider evidence not furnished in conformance with the terms specified herein.

c. Upon receipt by the DRA of a written referral of a dispute, the DRA shall convene to review and consider the dispute. The dispute meeting shall be held no later than 25 days after receipt of the written referral unless otherwise agreed to by all parties.

d. The DRA shall furnish a written report to both parties. The DRA may request clarifying information of either party within 5 days after the DRA dispute meeting. Requested information shall be submitted to the DRA within 5 days of the DRA request. The DRA shall complete its report and submit it to the parties within 10 days of the DRA dispute meeting, except that time extensions may be granted at the request of the DRA with the written concurrence of both parties. The report shall summarize the facts considered, the contract language, law or regulation viewed by the DRA as pertinent to the dispute, and the DRA's interpretation and philosophy in arriving at its conclusions and recommendations and, if appropriate, recommends guidelines for determining compensation. The DRA's written opinion shall stand on its own, without attachments or appendices.

e. Within 10 days after receiving the DRA's report, both parties shall respond to the DRA in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRA's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRA recommendation. Immediately after responses have been received from both parties, the DRA shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRA's report from the DRA prior to responding to the report. The DRA shall consider any clarification request only if submitted within 5 days of receipt of the DRA's report, and if submitted simultaneously in writing to both the DRA and the other party. Each party may submit only one request for clarification for any individual DRA report. The DRA shall respond, in writing, to requests for clarification within 5 days of receipt of such requests.
f. Either party may seek a reconsideration of the DRA's recommendation. The DRA shall only grant reconsideration based upon submission of new evidence and if the request is submitted within the 10 day time limit specified for response to the DRA's written report. Each party may submit only one request for reconsideration regarding an individual DRA recommendation.
g. If the parties are able to settle their dispute with the aid of the DRA's report, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties. If the parties cannot agree on compensation within 30 days of the acceptance by both parties of the settlement, either party may request the DRA to make a recommendation regarding compensation.

**2. INFORMAL DISPUTE MEETING**

An informal dispute meeting shall be convened, only if, the parties and the DRA agree that this dispute resolution process is appropriate to settle the dispute.
The following procedure shall be used for the informal dispute meeting:

a. The parties shall furnish the DRA with one copy of pertinent documents requested by the DRA that are or may become necessary for the DRA to perform its function. The party furnishing documents shall furnish such documents to the other party at the same time the document is provided to the DRA.
b. After the dispute meeting has concluded; the DRA shall deliberate in private the same day, until a response to the parties is reached or as otherwise agreed to by the parties.
c. The DRA then verbally delivers its recommendation with findings to the parties.
d. After the recommendation is presented, the parties may ask for clarifications.
e. Occasionally the DRA on complex issues may be unable to formulate a recommendation based on the information given at a dispute meeting. However, the DRA may provide the parties with advice on strengths and weaknesses of their prospective positions, in the hope of the parties reaching settlement.
f. If the parties are able to settle their dispute with the aid of the DRA's opinion, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties.
g. The DRA will not be bound by its oral recommendation in the event that a dispute is later heard by the DRA in a traditional dispute meeting.

Unless the dispute is settled, use of the informal dispute meeting does not relive the parties of their responsibilities under Section 5-1.12, “Dispute Resolution Advisor,” of the Special Provisions or Subsection, “Traditional Dispute Meeting,” of this AGREEMENT. There will be no extension of time allowed for the process to permit the use of the informal dispute meeting, unless otherwise agreed to by the parties.

**SECTION IV TIME FOR BEGINNING AND COMPLETION**

Once established, the DRA shall be in operation until the day the Director accepts the contract. The DRA shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE or as agreed to by the parties.

**SECTION V PAYMENT**

DRA shall be compensated at an agreed rate of $1,500 per day for time spent per meeting, either at the start of the project or for a dispute. A member serving on more than one State DRA or DRB, regardless the number of meetings per day, shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for onsite time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof that the DRA is at an authorized DRA meeting. No additional compensation will be made for time spent by DRA to review and research activities outside the official DRA meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRA), has been specifically agreed to in advance by the parties. Time away from the project,

which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $150 per hour. The agreed amount of $150 per hour shall include all incidentals including expenses for telephone, fax, and computer services. The State will provide administrative services such as conference facilities to the DRA.

**A. PAYMENT PROCESSING**

CONTRACTOR shall make direct payments to DRA for their participation in authorized meetings and approved hourly rate charges, from invoices submitted by the DRA, and technical services.

DRA may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRA until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

**B. INSPECTION OF COSTS RECORDS**

DRA and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

**SECTION VI ASSIGNMENT OF TASKS OF WORK**

DRA shall not assign the work of this AGREEMENT.

**SECTION VII TERMINATION OF A DRA MEMBER**

DRA may resign after providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. The DRA may be terminated, by either party, for failing to fully comply at all times with all required employment or financial disclosure conditions of DRA membership in conformance with the terms of the contract and this AGREEMENT. Each party shall document the need for replacement and substantiate the replacement request in writing to the other party and the DRA.

**SECTION VIII LEGAL RELATIONS**

The parties hereto mutually understand and agree that the DRA in the performance of duties is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRA from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRA.

**SECTION IX CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRA, which documents and records are marked "Confidential - for use by the DRA only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRA findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of this AGREEMENT. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRA. However, the parties understand that such documents may be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

**SECTION X DISPUTES**

Disputes between the parties arising out of the work or other terms of this AGREEMENT that cannot be resolved by negotiation and mutual concurrence between the parties or through the administrative process provided in the contract shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications. Disputes between the

DRA and the parties that cannot be resolved by negotiation and mutual concurrence shall be resolved in the appropriate forum.

**SECTION XI VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party, including the DRA, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

**SECTION XII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRA in progress, except for private meetings or deliberations of the DRA.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIII CERTIFICATION OF CONTRACTOR, DRA, AND STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRA

By: _____

Title: _____

| CONTRACTOR | CALIFORNIA STATE DEPARTMENT OF TRANSPORTATION |
|---|---|
| By: _____ | By: _____ |
| Title: _____ | Title: _____ |

**5-1.15C Dispute Review Board**

- Section 5-1.15C, "Dispute Review Board," applies to a contract over $10 million.

**5-1.15C(1) General**

To assist in the resolution of disputes or potential claims arising out of the work of this project, a Dispute Review Board, hereinafter referred to as the "DRB," shall be established by the Engineer and Contractor cooperatively upon approval of the contract. The DRB is intended to assist the contract administrative claims resolution process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications and these special provisions. The DRB shall not serve as a substitute for provisions in the specifications in regard to filing potential claims. The requirements and procedures established in this section shall be a prerequisite to filing a claim, filing for arbitration, or filing for litigation prior or subsequent to project completion.

The DRB shall be utilized when dispute or potential claim resolution at the project level is unsuccessful. The DRB shall function as specified herein until the day of acceptance of the contract, at which time the work of the DRB will cease except for completion of unfinished reports. No DRB dispute meetings shall take place later than 30 days prior to acceptance of contract. After acceptance of contract, disputes or potential claims which have followed the dispute resolution processes of the Standard Specifications and these special provisions, but have not been resolved, shall be stated or restated by the Contractor, in response to the Proposed Final Estimate within the time limits provided in Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The State will review those claims in conformance with the provisions in Section 9-1.07B of the Standard Specifications. Following the adherence to and completion of the contractual administrative claims procedure, the Contractor may file for arbitration in conformance with the provisions in Section 9-1.10, "Arbitration," of the Standard Specifications and these special provisions.

Disputes, as used in this section, shall include differences of opinion, properly noticed as provided hereinafter, between the State and Contractor on matters related to the work and other subjects considered by the State or Contractor, or by both, to be of concern to the DRB on this project, except matters relating to Contractor, subcontractor or supplier potential claims

not actionable against the Department as specified in these special provisions or quantification of disputes for overhead type expenses or costs. Disputes for overhead type expenses or costs shall conform to the requirements of Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. Whenever the term "dispute" or "disputes" is used herein, it shall be deemed to include potential claims as well as disputes.

The DRB shall serve as an advisory body to assist in the resolution of disputes between the State and the Contractor, hereinafter referred to as the "parties." The DRB shall consider disputes referred to it, and furnish written reports containing findings and recommendations pertaining to those disputes, to the parties to aid in resolution of the differences between them. DRB findings and recommendations are not binding on the parties.

**5-1.15C(2) Selection Process, Disclosure and Appointments**

The DRB shall consist of one member selected by the State and approved by the Contractor, one member selected by the Contractor and approved by the State, and a third member selected by the first 2 members and approved by both the State and the Contractor. The third member shall act as the DRB Chairperson.

DRB members shall be especially knowledgeable in the type of construction and contract documents potentially anticipated by the contract. DRB members shall discharge their responsibilities impartially as an independent body, considering the facts and circumstances related to the matters under consideration, pertinent provisions of the contract and applicable laws and regulations.

The State and the Contractor shall nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions, within 45 days of the approval of the contract. Each party shall provide written notification to the other of the name of their selected DRB nominee along with the prospective member's complete written disclosure statement.

Disclosure statements shall include a resume of the prospective member's experience and a declaration statement describing past, present, anticipated, and planned relationships, including indirect relationships through the prospective member's primary or full-time employer, to this project and with the parties involved in this construction contract, including but not limited to, relevant subcontractors or suppliers to the parties, parties' principals, or parties' counsel. DRB members shall also include a full disclosure of close professional or personal relationships with all key members of the contract. Objections to nominees must be based on a specific breach or violation of nominee responsibilities or on nominee qualifications under these provisions unless otherwise specified. The Contractor or the State may, on a one-time basis, object to the other's nominee without specifying a reason and this person will not be selected for the DRB. Another person shall then be nominated within 15 days.

The first duty of the State and Contractor selected members of the DRB shall be to select and recommend a prospective third DRB member to the parties for final selection and approval. The first 2 DRB members shall proceed with the selection of the third DRB member immediately upon receiving written notification from the State of their selection, and shall provide their recommendation simultaneously to the parties within 15 days of the notification.

The first 2 DRB members shall select a third DRB member subject to mutual approval of the parties or may mutually concur on a list of potentially acceptable third DRB members and submit the list to the parties for final selection and approval of the third member. The goal in the selection of the third member is to complement the professional experience of the first 2 members and to provide leadership for the DRB's activities.

The third prospective DRB member shall supply a full disclosure statement to the first 2 DRB members and to the parties prior to appointment.

An impasse shall be considered to have been reached if the parties are unable to approve a third member within 15 days of receipt of the recommendation of the first 2 DRB members, or if the first 2 DRB members are unable to agree upon a recommendation within their 15 day time limit. In the event of an impasse in selection of third DRB member the State and the Contractor shall each propose 3 candidates for the third DRB member position. The parties shall select the candidates proposed under this paragraph from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 (commencing with Section 10245) of the State Contract Act. The first 2 DRB members shall then select one of the 6 proposed candidates in a blind draw.

No DRB member shall have prior direct involvement in this contract. No member shall have a financial interest in this contract or the parties thereto, within a period of 6 months prior to award of this contract or during the contract, except as follows:

A. Compensation for services on this DRB.
B. Ownership interest in a party or parties, documented by the prospective DRB member, that has been reviewed and determined in writing by the State to be sufficiently insignificant to render the prospective member acceptable to the State.
C. Service as a member of other Dispute Review Boards on other contracts.
D. Retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

E. The above provisions apply to parties having a financial interest in this contract, including but not limited to contractors, subcontractors, suppliers, consultants, and legal and business services.

The Contractor or the State may reject any of the 3 DRB members who fail to fully comply at all times with all required employment and financial disclosure conditions of DRB membership as described in the Dispute Review Board Agreement and as specified herein. A copy of the Dispute Review Board Agreement is included in this section.

The Contractor, the State, and the 3 members of the DRB shall complete and adhere to the Dispute Review Board Agreement in administration of this DRB within 15 days of the parties' concurrence in the selection of the third member. No DRB meeting shall take place until the Dispute Review Board Agreement has been signed by all parties. The State authorizes the Engineer to execute and administer the terms of the Agreement. The person(s) designated by the Contractor as authorized to execute contract change orders shall be authorized to execute and administer the terms of this agreement, or to delegate the authority in writing. The operation of the DRB shall be in conformance with the terms of the Dispute Review Board Agreement.

**5-1.15C(3) Compensation**

The State and the Contractor shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRB), has been specifically agreed to in advance by the State and Contractor. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the Department, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The State will provide, at no cost to the Contractor, administrative services such as conference facilities and secretarial services to the DRB. These special provisions and the Dispute Review Board Agreement state the provisions for compensation and expenses of the DRB. DRB members shall be compensated at the same daily and hourly rate. The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The State will reimburse the Contractor for the State's share of the costs. There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the State's share of DRB expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

**5-1.15C(4) Replacement of DRB Members**

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

A. The State may terminate service of the State appointed member.
B. The Contractor may terminate service of the Contractor appointed member.
C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
D. Upon resignation of a member.
E. The State or Contractor may terminate the service of any member who fails to fully comply with all required employment and financial disclosure conditions of DRB membership.

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the 2 parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Review Board Agreement shall be amended to reflect the change of a DRB member.

**5-1.15C(5) Operation**

The following procedure shall be used for dispute resolution:

A. If the Contractor objects to any decision, act or order of the Engineer, the Contractor shall give written notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and these special provisions, including the provision of applicable cost documentation; or file written protests or notices in conformance with the provisions in the Standard Specifications and these special provisions.
B. The Engineer will respond, in writing, to the Contractor's written supplemental notice of potential claim within 20 days of receipt of the notice.
C. Within 15 days after receipt of the Engineer's written response, the Contractor shall, if the Contractor still objects, file a written reply with the Engineer, stating clearly and in detail the basis of the objection.
D. Following an objection to the Engineer's written response, the Contractor shall refer the dispute to the DRB if the Contractor wishes to further pursue the objection to the Engineer's decision. The Contractor shall make the referral in writing to the DRB, simultaneously copied to the State, within 21 days after receipt of the written response from the Engineer. The written dispute referral shall describe the disputed matter in individual discrete segments so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
E. By failing to submit the written notice of referral to the DRB, within 21 days after receipt of the Engineer's written response to the supplemental notice of potential claim, the Contractor waives future claims and arbitration on the matter in contention.
F. The Contractor and the State shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.
G. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties. The DRB shall determine the time and location of the DRB dispute meeting, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of a timely hearing of the dispute.
H. There shall be no participation of either party's attorneys at DRB dispute meetings.
I. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute, including but not limited to consultants, except for expert testimony allowed at the discretion of the DRB and with approval prior to the dispute meeting by both parties.
J. The DRB shall furnish a report, containing findings and recommendations as described in the Dispute Review Board Agreement, in writing to both the State and the Contractor. The DRB may request clarifying information of either party within 10 days after the DRB dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request. The DRB shall complete its report, including minority opinion, if any, and submit it to the parties within 30 days of the DRB dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of both parties. The report shall include the facts and circumstances related to the matters under consideration, pertinent provisions of the contract, applicable laws and regulations, and actual costs and time incurred as shown on the Contractor's cost accounting records. The DRB shall make recommendations on the merit of the dispute and, if appropriate, recommend guidelines for determining compensation.
K. Within 30 days after receiving the DRB's report, both the State and the Contractor shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.

L. The DRB's recommendations, stated in the DRB's reports, are not binding on either party. Either party may seek a reconsideration of a recommendation of the DRB. The DRB shall only grant a reconsideration based upon submission of new evidence and if the request is submitted within the 30-day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.
M. If the State and the Contractor are able to resolve their dispute with the aid of the DRB's report, the State and Contractor shall promptly accept and implement the recommendations of the DRB. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.
N. The State or the Contractor shall not call DRB members who served on the DRB for this contract as witnesses in arbitration proceedings which may arise from this contract, and all documents created by the DRB shall be inadmissible as evidence in subsequent arbitration proceedings, except the DRB's final written reports on each issue brought before it.
O. The State and Contractor shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.
P. The DRB members shall have no claim against the State or the Contractor, or both, from claimed harm arising out of the parties' evaluations of the DRB's report.

**5-1.15C(6) Disputes Involving Subcontractor Potential Claims**

For purposes of this section, a "subcontractor potential claim" shall include any potential claim by a subcontractor (including also any pass through potential claims by a lower tier subcontractor or supplier) against the Contractor that is actionable by the Contractor against the Department which arises from the work, services, or materials provided or to be provided in connection with the contract. If the Contractor determines to pursue a dispute against the Department that includes a subcontractor potential claim, the dispute shall be processed and resolved in conformance with these special provisions and in conformance with the following:

A. The Contractor shall identify clearly in submissions pursuant to this section, that portion of the dispute that involves a subcontractor potential claim or potential claims.
B. The Contractor shall include, as part of its submission pursuant to Step D above, a certification (False Claims Act Certification) by the subcontractor's or supplier's officer, partner, or authorized representative with authority to bind the subcontractor and with direct knowledge of the facts underlying the subcontractor potential claim. The Contractor shall submit a certification that the subcontractor potential claim is acknowledged and forwarded by the Contractor. The form for these certifications is available from the Engineer.
C. At DRB dispute meetings involving one or more subcontractor potential claims, the Contractor shall require that each subcontractor involved in the dispute have present an authorized representative with actual knowledge of the facts underlying the subcontractor potential claim to assist in presenting the subcontractor potential claim and to answer questions raised by the DRB members or the Department's representatives.
D. Failure by the Contractor to declare a subcontractor potential claim on behalf of its subcontractor (including lower tier subcontractors' and suppliers' pass through potential claims) at the time of submission of the Contractor's potential claims, as provided hereunder, shall constitute a release of the State by the Contractor of such subcontractor potential claim.
E. The Contractor shall include in all subcontracts under this contract that subcontractors and suppliers of any tier (a) agree to submit subcontractor potential claims to the Contractor in a proper form and in sufficient time to allow processing by the Contractor in conformance with the Dispute Review Board resolution specifications; (b) agree to be bound by the terms of the Dispute Review Board provisions to the extent applicable to subcontractor potential claims; (c) agree that, to the extent a subcontractor potential claim is involved, completion of all steps required under these Dispute Review Board special provisions shall be a condition precedent to pursuit by the subcontractor of other remedies permitted by law, including without limitation of a lawsuit against the Contractor; and (d) agree that the existence of a dispute resolution process for disputes involving subcontractor potential claims shall not be deemed to create any claim, right, or cause of action by any subcontractor or supplier against the Department.

Notwithstanding the foregoing, this Dispute Review Board special provision shall not apply to, and the DRB shall not have the authority to consider, subcontractor potential claims between the subcontractor(s) or supplier(s) and the Contractor that are not actionable by the Contractor against the Department.

**5-1.15C(7) Dispute Review Board Agreement**

A copy of the "Dispute Review Board Agreement" to be executed by the Contractor, State and the 3 DRB members after approval of the contract follows:

Form 6202 Rev (09/01/02)

**DISPUTE REVIEW BOARD AGREEMENT**

_____
(Contract Identification)

Contract No. _____

**THIS DISPUTE REVIEW BOARD AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ _____ hereinafter called the "CONTRACTOR," and the Dispute Review Board, hereinafter called the "DRB" consisting of the following members:

_____ ,
(Contractor Appointee)

_____ ,
(State Appointee)

and _____
(Third Person)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The intent of the DRB is to fairly and impartially consider disputes placed before it and provide written recommendations for resolution of these disputes to both parties. The members of this DRB shall perform the services necessary to participate in the DRB's actions as designated in Section II, Scope of Work.

**SECTION II SCOPE OF WORK**

The scope of work of the DRB includes, but is not limited to, the following:

**A. OBJECTIVE**

The principal objective of the DRB is to assist in the timely resolution of disputes between the parties arising from performance of this contract. It is not intended for either party to default on their normal responsibility to amicably and fairly settle their differences by indiscriminately assigning them to the DRB. It is intended that the mere existence of the DRB will encourage the parties to resolve disputes without resorting to this review procedure. But when a dispute that is serious enough to warrant the DRB's review does develop, the process for prompt and efficient action will be in place.

## B. PROCEDURES

The DRB shall render written reports on disputes between the parties arising from the construction contract. Prior to consideration of a dispute, the DRB shall establish rules and regulations that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. DRB recommendations, resulting from its consideration of a dispute, shall be furnished in writing to both parties. The recommendations shall be based on facts and circumstances involved in the dispute, pertinent contract provisions, applicable laws and regulations. The recommendations shall find one responsible party in a dispute; shared or "jury" determinations shall not be rendered. The DRB shall make recommendations on the merit of the dispute, and if appropriate, recommend guidelines for determining compensation. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

The DRB shall refrain from officially giving advice or consulting services to anyone involved in the contract. The individual members shall act in a completely independent manner and while serving as members of the DRB shall have no consulting business connections with either party or its principals or attorneys or other affiliates (subcontractors, suppliers, etc.) who have a beneficial interest in the contract.

During scheduled meetings of the DRB as well as during dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties, except as directed by the DRB Chairperson. Such discussions or meetings shall be disclosed to both parties. Other discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

## C. CONSTRUCTION SITE VISITS, PROGRESS MEETINGS AND FIELD INSPECTIONS

The DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
5. An outline by the STATE's representative of the status of the work as the STATE views it.
6. A brief description by the CONTRACTOR's or STATE's representative of potential claims or disputes which have surfaced since the last meeting.
7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past disputes and potential claims.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

The field inspection shall cover all active segments of the work, the DRB being accompanied by both parties' representatives. The field inspection may be waived upon mutual agreement of the parties.

## D. DRB CONSIDERATION AND HANDLING OF DISPUTES

Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral, unless otherwise agreed to by all parties. The DRB shall determine the time and location of DRB dispute meetings, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of speedy resolution of issues. No dispute meetings shall take place later than 30 days prior to acceptance of contract.

Normally, dispute meetings shall be conducted at or near the project site. However, any location that would be more convenient and still provide required facilities and access to necessary documentation shall be satisfactory.

Both parties shall be given the opportunity to present their evidence at these dispute meetings. It is expressly understood that the DRB members are to act impartially and independently in the consideration of the contract provisions, applicable laws and regulations, and the facts and conditions surrounding any dispute presented by either party, and that the recommendations concerning any such dispute are advisory and nonbinding on the parties.

The DRB may request that written documentation and arguments from both parties be sent to each DRB member, through the DRB Chairperson, for review before the dispute meeting begins. A party furnishing written documentation to the DRB shall furnish copies of such information to the other party at the same time that such information is supplied to the DRB.

DRB dispute meetings shall be informal. There shall be no testimony under oath or cross-examination. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with acceptance standards established by the DRB. These standards need not comply with prescribed legal laws of evidence.

The third DRB member shall act as Chairperson for dispute meetings and all other DRB activities. The parties shall have a representative at all dispute meetings. Failure to attend a duly noticed dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers written submittals as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals until all aspects of the dispute are thoroughly covered. DRB members shall ask questions, seek clarification, and request further data from either of the parties as may be necessary to assist in making a fully informed recommendation. The DRB may request from either party documents or information that would assist the DRB in making its findings and recommendations including, but not limited to, documents used by the CONTRACTOR in preparing the bid for the project. A refusal by a party to provide information requested by the DRB may be considered by the DRB as an indication that the requested material would tend to disprove that party's position. In large or complex cases, additional dispute meetings may be necessary in order to consider all the evidence presented by both parties. All involved parties shall maintain the confidentiality of all documents and information, as provided in this AGREEMENT.

During dispute meetings, no DRB member shall express an opinion concerning the merit of any facet of the case. DRB deliberations shall be conducted in private, with interim individual views kept strictly confidential.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by 2 or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB's findings and recommendations, along with discussion of reasons therefor, shall then be submitted as a written report to both parties. Recommendations shall be based on the pertinent contract provisions, applicable laws and regulations, and facts and circumstances related to the dispute. The report shall be thorough in discussing the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the issues, and the DRB's interpretation and philosophy in arriving at its conclusions and recommendations. The DRB's report shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

With prior written approval of both parties, the DRB may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the 2 parties as specified in an approved contract change order. The CONTRACTOR will not be entitled to markups for the payments made for these services.

The DRB shall resist submittal of incremental portions of information by either party, in the interest of making a fully informed decision and recommendation.

The DRB shall make every effort to reach a unanimous decision. If this proves impossible, the dissenting member shall prepare a minority opinion, which shall be included in the DRB's report.

Although both parties should place weight upon the DRB's recommendations, they are not binding. Either party may appeal a recommendation to the DRB for reconsideration. However, reconsideration shall only be allowed when there is new evidence to present, and the DRB shall accept only one appeal from each party pertaining to an individual DRB recommendation. The DRB shall hear appeals in conformance with the terms described in the Section entitled "Dispute Review Board" in the special provisions.

**E. DRB MEMBER REPLACEMENT**

Should the need arise to appoint a replacement DRB member, the replacement DRB member shall be appointed in the same manner as the original DRB members were appointed. The selection of a replacement DRB member shall begin promptly upon notification of the necessity for a replacement and shall be completed within 15 days. This AGREEMENT shall be amended to indicate change in DRB membership.

**SECTION III CONTRACTOR RESPONSIBILITIES**

The CONTRACTOR shall furnish to each DRB member one copy of pertinent documents that are or may become necessary for the DRB to perform their function. Pertinent documents are written notices of potential claim, responses to those notices, drawings or sketches, calculations, procedures, schedules, estimates, or other documents which are used in the performance of the work or in justifying or substantiating the CONTRACTOR's position. The CONTRACTOR shall also furnish a copy of such pertinent documents to the STATE, in conformance with the terms outlined in the special provisions.

**SECTION IV STATE RESPONSIBILITIES**

The STATE will furnish the following services and items:

**A. CONTRACT RELATED DOCUMENTS**

The STATE will furnish to each DRB member one copy of Notice to Contractors and Special Provisions, Proposal and Contract, Plans, Standard Specifications, and Standard Plans, change orders, written instructions issued by the STATE to the CONTRACTOR, or other documents pertinent to any dispute that has been referred to the DRB and necessary for the DRB to perform its function.

**B. COORDINATION AND SERVICES**

The STATE, through the Engineer, will, in cooperation with the CONTRACTOR, coordinate the operations of the DRB. The Engineer will arrange or provide conference facilities at or near the project site and provide secretarial and copying services to the DRB without charge to the CONTRACTOR.

**SECTION V TIME FOR BEGINNING AND COMPLETION**

Once established, the DRB shall be in operation until the day of acceptance of the contract. The DRB members shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE.

**SECTION VI PAYMENT**

**A. ALL INCLUSIVE RATE PAYMENT**

The STATE and the CONTRACTOR shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time has been specifically agreed to in advance by the STATE and CONTRACTOR. Time away from the project that has been specifically agreed to in advance by the parties will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the State, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The STATE will provide, at no cost to the CONTRACTOR, administrative services such as conference facilities and secretarial services to the DRB.

**B. PAYMENTS**

DRB members shall be compensated at the same rate. The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The STATE will reimburse the CONTRACTOR for its share of the costs of the DRB.

The DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

Invoices shall be accompanied by original supporting documents, which the CONTRACTOR shall include with the extra work billing when submitting for reimbursement of the STATE's share of cost from the STATE. The CONTRACTOR will be reimbursed for one-half of approved costs of the DRB. No markups will be added to the CONTRACTOR's payment.

**C. INSPECTION OF COSTS RECORDS**

The DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

**SECTION VII ASSIGNMENT OF TASKS OF WORK**

The DRB members shall not assign the work of this AGREEMENT.

**SECTION VIII TERMINATION OF DRB MEMBERS**

DRB members may resign from the DRB by providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. DRB members may be terminated by their original appointing power or by either party, for failing to fully comply at all times with all required employment and financial disclosure conditions of DRB membership in conformance with the terms of the contract.

**SECTION IX LEGAL RELATIONS**

The parties hereto mutually understand and agree that the DRB member in the performance of duties on the DRB, is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

**SECTION X CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of the DRB. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents shall be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

**SECTION XI DISPUTES**

Disputes between the parties hereto, including disputes between the DRB members and either party or both parties, arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications.

**SECTION XII VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party, including an individual member of the DRB, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

**SECTION XIII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIV CERTIFICATION OF THE CONTRACTOR, THE DRB MEMBERS, AND THE STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

By: _____

Title: _____

DRB MEMBER

By: _____

Title :_____

DRB MEMBER

By :_____

Title :_____

CONTRACTOR

By: _____

Title: _____

CALIFORNIA STATE DEPARTMENT OF TRANSPORTATION

By: _____

Title: _____

**Add:**

**5-1.16–5-17 (BLANK)**

**Add:**

**5-1.18 PROPERTY AND FACILITY PRESERVATION**

**5-1.18A General**

Preserve property and facilities, including:

1. Adjacent property
2. Department's instrumentation
3. ESAs
4. Lands administered by other agencies
5. Railroads and railroad equipment
6. Roadside vegetation not to be removed
7. Utilities
8. Waterways

Immediately report damage to the Engineer.

If you cause damage, you are responsible.

Install sheet piling, cribbing, bulkheads, shores, or other supports necessary to support existing facilities or support material carrying the facilities.

Dispose of temporary facilities when they are no longer needed.

If you damage plants not to be removed:

1. Dispose of them outside the right of way unless the Engineer allows you to reduce them to chips and spread the chips within the highway at locations designated by the Engineer
2. Replace them

Replace plants with plants of the same species.

Replace trees with 600 mm-box trees.

Replace shrubs with No. 15 container shrubs.

Replace ground cover plants with plants from flats. Replace Carpobrutus ground cover plants with plants from cuttings. Plant ground cover plants 300 mm on center.

If a plant establishment period is specified, replace plants before the start of the plant establishment period; otherwise, replace plants at least 30 days before Contract acceptance.

Water each plant immediately after planting and saturate the backfill soil around and below the roots or ball of earth around the roots of each plant. Water as necessary to maintain plants in a healthy condition until Contract acceptance.

The Department may make a temporary repair to restore service to a damaged facility.

If working on or adjacent to railroad property, do not interfere with railroad operations.

For an excavation on or affecting railroad property, submit work plans showing the system to be used to protect railroad facilities. Allow 65 days for the Engineer's review of the plans. Do not perform work based on the plans until the Engineer notifies you they are accepted.

**5-1.18B Nonhighway Facilities (Including Utilities)**

The Department may rearrange a nonhighway facility during the Contract. Rearrangement of a nonhighway facility includes installation, relocation, alteration, or removal of the facility.The Department may authorize facility owners and their agents to enter the highway to perform rearrangement work for their facilities or to make connections or repairs to their property. Coordinate activities to avoid delays.

Notify the Engineer at least 3 business days before you contact the regional notification center under Govt Code § 4216 et seq. Failure to contact the notification center prohibits excavation.

Before starting work that could damage or interfere with underground infrastructure, locate the infrastructure described in the Contract, including laterals and other appurtenances, and determine the presence of other underground infrastructure inferred from visible facilities such as buildings, meters, or junction boxes.

Notify the Engineer if the infrastructure described in the Contract cannot be found. If after giving the notice, you find the infrastructure in a substantially different location than described, finding the infrastructure is paid for as extra work as specified in Section 4-1.03D, "Extra Work."

Underground infrastructure described in the Contract may be in different locations than described, and additional infrastructure may exist.

Upon discovering an underground main or trunk line not described in the Contract, immediately notify the Engineer and the infrastructure owner. The Engineer orders the locating and protecting of the infrastructure. The locating and protecting is paid for as extra work as specified in Section 4-1.03D, "Extra Work." If ordered, repair infrastructure damage. If the damage is not due to your negligence, the repair is paid for as extra work as specified in Section 4-1.03D, "Extra Work."

If necessary underground infrastructure rearrangement is not described in the Contract, the Engineer may order you to perform the work. The rearrangement is paid for as extra work as specified in Section 4-1.03D, "Extra Work."

If you want infrastructure rearrangement different from that described in the Contract:

1. Notify the Engineer
2. Make an arrangement with the infrastructure owner
3. Obtain authorization for the rearrangement
4. The Department does not adjust time or payment for rearrangement different from the Contract
5. Pay the infrastructure owner any additional cost

Immediately notify the Engineer of a delay due to the presence of main line underground infrastructure not described in the Contract or in a substantially different location or due to rearrangement different from the Contract. The Department pays for one of these delays in the same manner as specified for a right of way delay in Section 8-1.09, "Right of Way Delays."

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## SECTION 6 CONTROL OF MATERIALS
**(Issued 07-01-08)**

**Replace Section 6-1.05 with:**

**6-1.05 Specific Brand or Trade Name and Substitution**

A reference to a specific brand or trade name establishes a quality standard and is not intended to limit competition. You may use a product that is equal to or better than the specified brand or trade name if approved.

Submit a substitution request within a time period that:

1. Follows Contract award
2. Allows 30 days for review
3. Causes no delay

Include substantiating data with the substitution request that proves the substitution:

1. Is of equal or better quality and suitability
2. Causes no delay in product delivery and installation

**Add:**

**6-1.075 GUARANTEE**

Guarantee the work remains free from substantial defects for 1 year after contract acceptance except for work parts for which you were relieved of maintenance and protection. Guarantee each of these relieved work parts for 1 year after the relief date.

The guarantee excludes damage or displacement caused by an event outside your control including:

1. Normal wear and tear
2. Improper operation
3. Insufficient maintenance
4. Abuse
5. Unauthorized change
6. Act of God

During the guarantee period, repair or replace each work portion having a substantial defect.

The Department does not pay for corrective work.

During corrective work activities, provide insurance coverage specified for coverage before contract acceptance.

The contract bonds must be in full force and effect until the later of:

1. Expiration of guarantee period
2. Completion of corrective work

If a warranty specification conflicts with Section 6-1.075, "Guarantee," comply with the warranty specification.

During the guarantee period, the Engineer monitors the completed work. If the Engineer finds work having a substantial defect, the Engineer lists work parts and furnishes you the list.

Within 10 days of receipt of the list, submit for authorization a detailed plan for correcting the work. Include a schedule that includes:

1. Start and completion dates
2. List of labor, equipment, materials, and any special services you plan to use
3. Work related to the corrective work, including traffic control and temporary and permanent pavement markings

The Engineer notifies you when the plan is authorized. Start corrective work and related work within 15 days of notice.

If the Engineer determines corrective work is urgently required to prevent injury or property damage:

1. The Engineer furnishes you a request to start emergency repair work and a list of parts requiring corrective work
2. Mobilize within 24 hours and start work
3. Submit a corrective work plan within 5 days of starting emergency repair work

If you fail to perform work as specified, the Department may perform the work and bill you.

**Add:**

**6-1.085 BUY AMERICA (23 CFR 635.410)**

For a Federal-aid contract, furnish steel and iron materials to be incorporated into the work that are produced in the United States except:

1. Foreign pig iron and processed, pelletized, and reduced iron ore may be used in the domestic production of the steel and iron materials [60 Fed Reg 15478 (03/24/1995)]
2. If the total combined cost of the materials does not exceed the greater of 0.1 percent of the total bid or $2 500, material produced outside the United States may be used

Production includes:

1. Processing steel and iron materials, including smelting or other processes that alter the physical form or shape (such as rolling, extruding, machining, bending, grinding, and drilling) or chemical composition
2. Coating application, including epoxy coating, galvanizing, and painting, that protects or enhances the value of steel and iron materials

For steel and iron materials to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies all production processes occurred in the United States except for the above exceptions.

**Add:**

**6-1.087 BUY AMERICA (PUB RES CODE § 42703(d))**

Furnish crumb rubber to be incorporated into the work that is produced in the United States and is derived from waste tires taken from vehicles owned and operated in the United States.

For crumb rubber to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies only crumb rubber manufactured in the United States and derived from waste tires taken from vehicles owned and operated in the United States is used.

**In Section 6-2.01 delete the 4th paragraph.**

**In Section 6-2.01 replace the 7th paragraph with:**

Upon the Contractor's written request, the Department tests materials from an untested local source. If satisfactory material from that source is used in the work, the Department does not charge the Contractor for the tests; otherwise, the Department deducts the test cost.

**In Section 6-2.01 delete the 8th paragraph.**

**In Section 6-2.02 delete the 3rd paragraph.**

**In Section 6-2.02 in the 7th paragraph, replace the 2nd sentence with:**

The Department deducts the charges for the removed material.

**In Section 6-3.01 delete the 4th paragraph.**

**In Section 6-3.01 in the 6th paragraph, delete the 1st sentence.**

**In Section 6-3.01 add:**

As used in Section 6-3.01, "Testing," tests are tests to assure the quality and to determine the acceptability of the work. The Department deducts costs of testing work found to be noncompliant.

^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^

## SECTION 7 LEGAL RELATIONS AND RESPONSIBILITY
**(Issued 02-06-09)**

**Replace Section 7-1.01 with:**

### 7-1.01 LAWS TO BE OBSERVED

Comply with laws, regulations, orders, decrees, and PLACs applicable to the project. Indemnify and defend the State against any claim or liability arising from the violation of a law, regulation, order, decree, or PLAC by you or your employees. Immediately report to the Engineer in writing a discrepancy or inconsistency between the contract and a law, regulation, order, decree, or PLAC.

**In Section 7-1.01A replace the 1st clause with:**

Work on the job site must comply with Labor Code §§ 1727 and 1770-1815 and 8 CA Code of Regs § 16000 et seq. Work includes roadside production and processing of materials.

**In Section 7-1.01A(2) in the 1st paragraph, replace item 3 with:**

3. Upon becoming aware of the subcontractor's failure to pay the specified prevailing rate of wages to the subcontractor's workers, the Contractor must diligently take corrective action to stop or rectify the failure, including withholding sufficient funds due the subcontractor for work performed on the public works project.

**In Section 7-1.01A(2) replace the 2nd paragraph with:**

Pursuant to Section 1775 of the Labor Code, the Division of Labor Standards Enforcement must notify the Contractor on a public works project within 15 days of the receipt by the Division of Labor Standards Enforcement of a complaint of the failure of a subcontractor on that public works project to pay workers the general prevailing rate of per diem wages. If the Division of Labor Standards Enforcement determines that employees of a subcontractor were not paid the general prevailing rate of per diem wages and if the Department did not withhold sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the Contractor must withhold an amount of moneys due the subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by the Division of Labor Standards Enforcement. The Contractor must pay any money withheld from and owed to a subcontractor upon receipt of notification by the Division of Labor Standards Enforcement that the wage complaint has been resolved. If notice of the resolution of the wage complaint has not been received by the Contractor within 180 days of the filing of a valid notice of completion or acceptance of the public works project, whichever occurs later, the Contractor must pay all moneys withheld from the subcontractor to the Department. The Department withholds these moneys pending the final decision of an enforcement action.

**In Section 7-1.01A(2) replace the 7th paragraph with:**

Changes in general prevailing wage determinations apply to the contract when the Director of Industrial Relations has issued them at least 10 days before advertisement (Labor Code § 1773.6 and 8 CA Code of Regs 16204).

**In Section 7-1.01A(3) replace the 2nd paragraph with:**

The Department withholds the penalties specified in subdivision (g) of Labor Code § 1776 for noncompliance with the requirements in Section 1776.

**In Section 7-1.01A(3) replace the 4th paragraph with:**

The Department withholds for delinquent or inadequate payroll records (Labor Code § 1771.5). If the Contractor has not submitted an adequate payroll record by the month's 15th day for the period ending on or before the 1st of that month, the Department withholds 10 percent of the monthly progress estimate, exclusive of mobilization. The Department does not withhold more than $10 000 or less than $1000.

**In Section 7-1.01A(3) delete the 5th paragraph.**

**Replace Section 7-1.01A(6) with:**

**7-1.01A(6) (Blank)**

**Add:**

**7-1.01K Solid Waste Disposal and Recycling**

Submit an annual Solid Waste Disposal and Recycling Report between January 1 and 15 for each year work is performed under the Contract at any time during the previous calendar year. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 through December 31 of the previous calendar year.

Submit a final annual Solid Waste Disposal and Recycling Report within 5 business days after Contract acceptance. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 to contract acceptance.

For each failure to submit a completed form, the Department withholds $10,000.

**Add:**

**7-1.01L Asbestos and Hazardous Substances**

- Upon discovery, immediately stop working in and notify the Engineer of areas where asbestos or a hazardous substance is present if the:

1. Contractor reasonably believes the substance is asbestos as defined in Labor Code § 6501.7 or a hazardous substance as defined in Health & Safety Code §§ 25316 and 25317
2. Presence is not described in the contract
3. Substance has not been made harmless

**Add:**

**7-1.01M Archaeological Discoveries**

If archaeological materials are discovered at the job site, protect and leave them undisturbed in place and comply with:

1. Pub Res Code §§ 5097.5, 5097.98, and 5097.99
2. 14 CA Code of Regs § 4308
4. Penal Code § 622-1/2
5. Health & Safety Code § 7050.5

Archaeological materials are the remains of past human activity including historic-period archaeological materials and prehistoric Native American archaeological materials. Nonhuman fossils are not archaeological materials unless they show direct evidence of human use or alteration or when found in direct physical association with archaeological materials

Historic-period archaeological materials include cultural remains beginning with initial European contact in California but at least 50 years old and include:

1. Trash deposits or clearly defined disposal pits containing tin cans, bottles, ceramic dishes, or other refuse indicating previous occupation or use of the site
2. Structural remains of stone, brick, concrete, wood, or other building material found above or below ground
3. Human skeletal remains from the historic period, with or without coffins or caskets, including any associated grave goods

Prehistoric Native American archaeological materials include:

1. Human skeletal remains or associated burial goods such as beads or ornaments
2. Evidence of tool making or hunting such as arrowheads and associated chipping debris of fine-grained materials such as obsidian, chert, or basalt
3. Evidence of plant processing such as pestles, grinding slabs, or stone bowls
4. Evidence of habitation such as cooking pits, stone hearths, packed or burnt earth floors
5. Remains from food processing such as concentrations of discarded or burnt animal bone, shellfish remains, or burnt rocks used in cooking

Immediately upon discovering archaeological materials, stop all work within an 18.5-meter radius of the archaeological materials and notify the Engineer. Archaeological materials discovered are the property of the State. Do not resume work within the 18.5-meter radius of the discovery until the Engineer gives you written approval. If, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of an archeological discovery or investigation or recovery of archeological materials, you will be compensated for resulting losses and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Department may use other forces to investigate and recover archaeological materials from the location of the discovery. If ordered by the Engineer furnish labor, material, tools, and equipment to secure the location of the discovery and assist in the investigation or recovery of archaeological materials; the cost of this work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

**In Section 7-1.02 in the 2nd paragraph, replace the 4th sentence with:**

Trucks used to haul treated base, portland cement concrete, or hot mix asphalt shall enter onto the base to dump at the nearest practical entry point ahead of spreading equipment.

**In Section 7-1.02 between the 4th and 5th paragraphs, add:**

Loads imposed on existing, new, or partially completed structures shall not exceed the load carrying capacity of the structure or any portion of the structure as determined by AASHTO LRFD with interims and California Amendments, Design Strength Limit State II. The compressive strength of concrete ($f'_c$) to be used in computing the load carrying capacity shall be the smaller of the following:

1. Actual compressive strength at the time of loading
2. Value of $f'_c$ shown on the plans for that portion of the structure or 2.5 times the value of $f_c$ (extreme fiber compressive stress in concrete at service loads) shown on the plans for portions of the structure where no $f'_c$ is shown

**In Section 7-1.06 in the 1st paragraph, add:**

The Contractor's Injury and Illness Prevention Program shall be submitted to the Engineer. The program shall address the use of personal and company issued electronic devices during work. The use of entertainment and personal communication devices in the work zone shall not be allowed. Workers may use a communication device for business purposes in the work area, at a location where their safety and the safety of other workers and the traveling public is not compromised.

**In Section 7-1.09 replace the 8th paragraph with:**

Signs, lights, flags, and other warning and safety devices and their use shall conform to the requirements set forth in Part 6 of the California MUTCD except where a discrepancy exists between the California MUTCD and the specifications; for discrepancies, comply with the specifications. Signs or other protective devices furnished and erected by the Contractor, at the Contractor's expense, as above provided, shall not obscure the visibility of, nor conflict in intent, meaning and function of either existing signs, lights and traffic control devices or any construction area signs and traffic control devices for which furnishing of, or payment for, is provided elsewhere in the specifications. Signs furnished and erected by the Contractor, at the Contractor's expense, shall be approved by the Engineer as to size, wording and location.

**In Section 7-1.09 replace the 14th paragraph with:**

The Contractor shall notify the Engineer not less than 18 days and no more than 90 days prior to the anticipated start of an operation that will change the vertical or horizontal clearance available to public traffic (including shoulders).

**In Section 7-1.09 replace the 16th paragraph with:**

When vertical clearance is temporarily reduced to 4.72 m or less, low clearance warning signs shall be placed in accordance with Part 2 of the California MUTCD and as directed by the Engineer. Signs shall conform to the dimensions, color, and legend requirements of the California MUTCD and these specifications except that the signs shall have black letters and numbers on an orange retroreflective background. W12-2P signs shall be illuminated so that the signs are clearly visible.

**Add to Section 7-1.09:**

The Contractor shall install temporary railing (Type K) between a lane open to public traffic and an excavation, obstacle or storage area when the following conditions exist:

A. Excavations-The near edge of the excavation is 3.6 m or less from the edge of the lane, except:

   1. Excavations covered with sheet steel or concrete covers of adequate thickness to prevent accidental entry by traffic or the public.
   2. Excavations less than 0.3 m deep.
   3. Trenches less than 0.3 m wide for irrigation pipe or electrical conduit, or excavations less than 0.3 m in diameter.
   4. Excavations parallel to the lane for the purpose of pavement widening or reconstruction.
   5. Excavations in side slopes, where the slope is steeper than 4:1 (horizontal:vertical).
   6. Excavations protected by existing barrier or railing.

B. Temporarily Unprotected Permanent Obstacles-The work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and the Contractor elects to install the obstacle prior to installing the protective system; or the Contractor, for the Contractor's convenience and with permission of the Engineer, removes a portion of an existing protective railing at an obstacle and does not replace such railing complete in place during the same day.

C. Storage Areas-Material or equipment is stored within 3.6 m of the lane and the storage is not otherwise prohibited by the provisions of the Standard Specifications and these special provisions.

The approach end of temporary railing (Type K), installed in conformance with the provisions in this section "Public Safety" and in Section 7-1.09, "Public Safety," of the Standard Specifications, shall be offset a minimum of 4.6 m from the edge of the traffic lane open to public traffic. The temporary railing shall be installed on a skew toward the edge of the traffic lane of not more than 0.3 m transversely to 3 m longitudinally with respect to the edge of the traffic lane. If the 4.6 m minimum offset cannot be achieved, the temporary railing shall be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules shall be installed at the approach end of the temporary railing.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Except for installing, maintaining and removing traffic control devices, whenever work is performed or equipment is operated in the following work areas, the Contractor shall close the adjacent traffic lane unless otherwise provided in the Standard Specifications and these special provisions:

| Approach Speed of Public Traffic (Posted Limit) (Kilometers Per Hour) | Work Areas |
|---|---|
| Over 72 (45 Miles Per Hour) | Within 1.8 m of a traffic lane but not on a traffic lane |
| 56 to 72 (35 to 45 Miles Per Hour) | Within 0.9 m of a traffic lane but not on a traffic lane |

The lane closure provisions of this section shall not apply if the work area is protected by permanent or temporary railing or barrier.

When traffic cones or delineators are used to delineate a temporary edge of a traffic lane, the line of cones or delineators shall be considered to be the edge of the traffic lane, however, the Contractor shall not reduce the width of an existing lane to less than 3 m without written approval from the Engineer.

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure shall be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators shall be not more than the spacing used for the lane closure.

Suspended loads or equipment shall not be moved nor positioned over public traffic or pedestrians.

**Replace Section 7-1.11 with:**

## 7-1.11 PRESERVATION OF PROPERTY

Comply with Section 5-1.18, "Property and Facility Preservation."

**Replace Section 7-1.12 with:**

## 7-1.12 INDEMNIFICATION AND INSURANCE

The Contractor's obligations regarding indemnification of the State of California and the requirements for insurance shall conform to the provisions in Section 3-1.05, "Insurance Policies," and Sections 7-1.12A, "Indemnification," and 7-1.12B, "Insurance," of this Section 7-1.12.

### 7-1.12A Indemnification

The Contractor shall defend, indemnify, and save harmless the State, including its officers, employees, and agents (excluding agents who are design professionals) from any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, losses or liabilities, in law or in equity (Section 7-1.12A Claims) arising out of or in connection with the Contractor's performance of this contract for:

1. Bodily injury including, but not limited to, bodily injury, sickness or disease, emotional injury or death to persons, including, but not limited to, the public, any employees or agents of the Contractor, the State, or any other contractor; and
2. Damage to property of anyone including loss of use thereof; caused or alleged to be caused in whole or in part by any negligent or otherwise legally actionable act or omission of the Contractor or anyone directly or indirectly employed by the Contractor or anyone for whose acts the Contractor may be liable.

Except as otherwise provided by law, these requirements apply regardless of the existence or degree of fault of the State. The Contractor is not obligated to indemnify the State for Claims arising from conduct delineated in Civil Code Section 2782 and to Claims arising from any defective or substandard condition of the highway that existed at or before the start of work, unless this condition has been changed by the work or the scope of the work requires the Contractor to maintain existing highway facilities and the Claim arises from the Contractor's failure to maintain. The Contractor's defense and indemnity obligation shall extend to Claims arising after the work is completed and accepted if the Claims are directly related to alleged acts or omissions by the Contractor that occurred during the course of the work. State inspection is not a waiver of full compliance with these requirements.

The Contractor's obligation to defend and indemnify shall not be excused because of the Contractor's inability to evaluate liability or because the Contractor evaluates liability and determine that the Contractor is not liable. The Contractor shall respond within 30 days to the tender of any Claim for defense and indemnity by the State, unless this time has been extended by the State. If the Contractor fails to accept or reject a tender of defense and indemnity within 30 days, in addition to any other remedy authorized by law, the Department may withhold such funds the State reasonably considers necessary for its defense and indemnity until disposition has been made of the Claim or until the Contractor accepts or rejects the tender of defense, whichever occurs first.

With respect to third-party claims against the Contractor, the Contractor waives all rights of any type to express or implied indemnity against the State, its officers, employees, or agents (excluding agents who are design professionals).

Nothing in the Contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these indemnification specifications.

### 7-1.12B Insurance

#### 7-1.12B(1) General

Nothing in the contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these insurance specifications.

#### 7-1.12B(2) Casualty Insurance

The Contractor shall procure and maintain insurance on all of its operations with companies acceptable to the State as follows:

1. The Contractor shall keep all insurance in full force and effect from the beginning of the work through contract acceptance.
2. All insurance shall be with an insurance company with a rating from A.M. Best Financial Strength Rating of A- or better and a Financial Size Category of VII or better.
3. The Contractor shall maintain completed operations coverage with a carrier acceptable to the State through the expiration of the patent deficiency in construction statute of repose set forth in Code of Civil Procedure Section 337.1.

#### 7-1.12B(3) Workers' Compensation and Employer's Liability Insurance

In accordance with Labor Code Section 1860, the Contractor shall secure the payment of worker's compensation in accordance with Labor Code Section 3700.

In accordance with Labor Code Section 1861, the Contractor shall submit to the Department the following certification before performing the work:

> I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

Contract execution constitutes certification submittal.

The Contractor shall provide Employer's Liability Insurance in amounts not less than:

1. $1 000 000 for each accident for bodily injury by accident
2. $1 000 000 policy limit for bodily injury by disease
3. $1 000 000 for each employee for bodily injury by disease

If there is an exposure of injury to the Contractor's employees under the U.S. Longshoremen's and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations, or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

#### 7-1.12B(4) Liability Insurance

#### 7-1.12B(4)(a) General

The Contractor shall carry General Liability and Umbrella or Excess Liability Insurance covering all operations by or on behalf of the Contractor providing insurance for bodily injury liability and property damage liability for the following limits and including coverage for:

1. Premises, operations, and mobile equipment
2. Products and completed operations
3. Broad form property damage (including completed operations)
4. Explosion, collapse, and underground hazards
5. Personal injury
6. Contractual liability

**7-1.12B(4)(b) Liability Limits/Additional Insureds**

The limits of liability shall be at least the amounts shown in the following table:

| Total Bid | For Each Occurrence[1] | Aggregate for Products/Completed Operation | General Aggregate[2] | Umbrella or Excess Liability[3] |
|---|---|---|---|---|
| ≤$1 000 000 | $1 000 000 | $2 000 000 | $2 000 000 | $5 000 000 |
| >$1 000 000 ≤$5 000 000 | $1 000 000 | $2 000 000 | $2 000 000 | $10 000 000 |
| >$5 000 000 ≤$25 000 000 | $2 000 000 | $2 000 000 | $4 000 000 | $15 000 000 |
| >$25 000 000 | $2 000 000 | $2 000 000 | $4 000 000 | $25 000 000 |

1. Combined single limit for bodily injury and property damage.
2. This limit shall apply separately to the Contractor's work under this contract.
3. The umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted.

The Contractor shall not require certified Small Business subcontractors to carry Liability Insurance that exceeds the limits in the table above. Notwithstanding the limits specified herein, at the option of the Contractor, the liability insurance limits for certified Small Business subcontractors of any tier may be less than those limits specified in the table. For Small Business subcontracts, "Total Bid" shall be interpreted as the amount of subcontracted work to a certified Small Business.

The State, including its officers, directors, agents (excluding agents who are design professionals), and employees, shall be named as additional insureds under the General Liability and Umbrella Liability Policies with respect to liability arising out of or connected with work or operations performed by or on behalf of the Contractor under this contract. Coverage for such additional insureds does not extend to liability:

1. Arising from any defective or substandard condition of the roadway which existed at or before the time the Contractor started work, unless such condition has been changed by the work or the scope of the work requires the Contractor to maintain existing roadway facilities and the claim arises from the Contractor's failure to maintain;
2. For claims occurring after the work is completed and accepted unless these claims are directly related to alleged acts or omissions of the Contractor that occurred during the course of the work; or
3. To the extent prohibited by Insurance Code Section 11580.04

Additional insured coverage shall be provided by a policy provision or by an endorsement providing coverage at least as broad as Additional Insured (Form B) endorsement form CG 2010, as published by the Insurance Services Office (ISO), or other form designated by the Department.

**7-1.12B(4)(c) Contractor's Insurance Policy is Primary**

The policy shall stipulate that the insurance afforded the additional insureds applies as primary insurance. Any other insurance or self-insurance maintained by the State is excess only and shall not be called upon to contribute with this insurance.

**7-1.12B(5) Automobile Liability Insurance**

The Contractor shall carry automobile liability insurance, including coverage for all owned, hired, and nonowned automobiles. The primary limits of liability shall be not less than $1 000 000 combined single limit each accident for bodily injury and property damage. The umbrella or excess liability coverage required under Section 7-1.12B(4)(b) also applies to automobile liability.

**7-1.12B(6) Policy Forms, Endorsements, and Certificates**

The Contractor shall provide its General Liability Insurance under Commercial General Liability policy form No. CG0001 as published by the Insurance Services Office (ISO) or under a policy form at least as broad as policy form No. CG0001.

**7-1.12B(7) Deductibles**

The State may expressly allow deductible clauses, which it does not consider excessive, overly broad, or harmful to the interests of the State. Regardless of the allowance of exclusions or deductions by the State, the Contractor is responsible for any deductible amount and shall warrant that the coverage provided to the State is in accordance with Section 7-1.12B, "Insurance."

**7-1.12B(8) Enforcement**

The Department may assure the Contractor's compliance with its insurance obligations. Ten days before an insurance policy lapses or is canceled during the contract period, the Contractor shall submit to the Department evidence of renewal or replacement of the policy.

If the Contractor fails to maintain any required insurance coverage, the Department may maintain this coverage and withhold or charge the expense to the Contractor or terminate the Contractor's control of the work in accordance with Section 8-1.08, "Termination of Control."

The Contractor is not relieved of its duties and responsibilities to indemnify, defend, and hold harmless the State, its officers, agents, and employees by the Department's acceptance of insurance policies and certificates.

Minimum insurance coverage amounts do not relieve the Contractor for liability in excess of such coverage, nor do they preclude the State from taking other actions available to it, including the withholding of funds under this contract.

**7-1.12B(9) Self-Insurance**

Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the State.

If the Contractor uses a self-insurance program or self-insured retention, the Contractor shall provide the State with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor will be bound by all laws as if the Contractor were an insurer as defined under Insurance Code Section 23 and that the self-insurance program or self-insured retention shall operate as insurance as defined under Insurance Code Section 22.

**In Section 7-1.13 delete the 5th and 6th paragraphs.**

**Add:**

**7-1.50 FEDERAL LAWS FOR FEDERAL-AID CONTRACTS**

**7-1.50A General**

Section 7-1.50, "Federal Laws for Federal-Aid Contracts," includes specifications required in a Federal-aid construction contract and applies to a Federal-aid contract.

Form FHWA-1273 is included in the contract in Section 7-1.50B, "FHWA-1273." Some contract terms on the form are different than those used in other contract parts as shown in the following table:

**FHWA-1273 Terms and Department Equivalencies**

| FHWA-1273 Term | Equivalent Term Used in Other Contract Parts |
|---|---|
| SHA | Department |
| SHA contracting officer | Engineer |
| SHA resident engineer | Engineer |

**7-1.50B FHWA-1273**

FHWA-1273 Electronic version -- March 10, 1994
with revised Section VI

**REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS**

I. General
II. Nondiscrimination
III. Nonsegregated Facilities
IV. Payment of Predetermined Minimum Wage
V. Statements and Payrolls

VI. Record of Materials, Supplies, and Labor
VII. Subletting or Assigning the Contract
VIII. Safety: Accident Prevention
IX. False Statements Concerning Highway Projects
X. Implementation of Clean Air Act and Federal Water Pollution Control Act
XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion
XII. Certification Regarding Use of Contract Funds for Lobbying

**ATTACHMENTS**

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

## I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

   Section I, paragraph 2;
   Section IV, paragraphs 1, 2, 3, 4, and 7;
   Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

   a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
   b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

## II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq*.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

   a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
   b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

    a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
    b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
    c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
    d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
    e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

    a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
    b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
    c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

    a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
    b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. **Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions**: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.
b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.
d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these specifications, such contractor shall immediately notify the SHA.

8. **Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

a. The records kept by the contractor shall document the following:

1. The number of minority and non-minority group members and women employed in each work classification on the project;
2. The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
3. The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
4. The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

## III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of $10,000 or more and that it will retain such certifications in its files.

## IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. **General:**
   a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis- Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.
   b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.
   c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. **Classification:**

   a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.
   b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

      1. the work to be performed by the additional classification requested is not performed by a classification in the wage determination;
      2. the additional classification is utilized in the area by the construction industry;
      3. the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
      4. with respect to helpers, when such a classification prevails in the area in which the work is performed.

   c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
   d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. **Payment of Fringe Benefits:**

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.
b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. **Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:**

a. Apprentices:

1. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
2. The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
3. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
4. In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

1. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

2. The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.
3. Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.
4. In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. **Apprentices and Trainees (Programs of the U.S. DOT):**

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. **Withholding:**

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. **Overtime Requirements:**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. **Violation:**

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of $10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. **Withholding for Unpaid Wages and Liquidated Damages:**

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

## V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. **Compliance with Copeland Regulations (29 CFR 3):**

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. **Payrolls and Payroll Records:**

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.
b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.
c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029- 005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.
d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

1. that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
2. that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;
3. that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.
g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

## VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

(As of May 22, 2007, Form FHWA-47 is no longer required.)

## VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

   a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
   b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

## VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).
3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

## IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by Engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

**NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS**

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that $10,000 or imprisoned not more than 5 years or both."

## X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 *et seq*., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq*., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.
3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

## XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. **Instructions for Certification - Primary Covered Transactions:**

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.
f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions**

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

   a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
   b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
   c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
   d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

2. **Instructions for Certification - Lower Tier Covered Transactions:**

   (Applicable to all subcontracts, purchase orders and other lower tier transactions of $25,000 or more - 49 CFR 29)
   a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
   b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
   c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
   d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
   e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
   f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
   g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

## XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed $100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

   a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
   b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.
3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such recipients shall certify and disclose accordingly.

### 7-1.50C Female and Minority Goals

To comply with Section II, "Nondiscrimination," of "Required Contract Provisions Federal-Aid Construction Contracts," the Department is including in Section 7-1.50C, "Female and Minority Goals," female and minority utilization goals for Federal-aid construction contracts and subcontracts that exceed $10,000.

The nationwide goal for female utilization is 6.9 percent.

The goals for minority utilization [45 Fed Reg 65984 (10/3/1980)] are as follows:

**Minority Utilization Goals**

| | Economic Area | Goal (Percent) |
|---|---|---|
| 174 | Redding CA:<br>Non-SMSA Counties:<br>CA Lassen; CA Modoc; CA Plumas; CA Shasta; CA Siskiyou; CA Tehema | 6.8 |
| 175 | Eureka, CA<br>Non-SMSA Counties:<br>CA Del Norte; CA Humboldt; CA Trinity | 6.6 |
| 176 | San Francisco-Oakland-San Jose, CA:<br>SMSA Counties:<br>7120 Salinas-Seaside-Monterey, CA<br>CA Monterey<br>7360 San Francisco-Oakland<br>CA Alameda; CA Contra Costa; CA Marin; CA San Francisco; CA San Mateo<br>7400 San Jose, CA<br>CA Santa Clara, CA<br>7485 Santa Cruz, CA<br>CA Santa Cruz<br>7500 Santa Rosa<br>CA Sonoma<br>8720 Vallejo-Fairfield-Napa, CA<br>CA Napa; CA Solano<br>Non-SMSA Counties:<br>CA Lake; CA Mendocino; CA San Benito | <br><br>28.9<br><br>25.6<br><br><br>19.6<br><br>14.9<br><br>9.1<br><br>17.1<br><br>23.2 |
| 177 | Sacramento, CA:<br>SMSA Counties:<br>6920 Sacramento, CA<br>CA Placer; CA Sacramento; CA Yolo<br>Non-SMSA Counties<br>CA Butte; CA Colusa; CA El Dorado; CA Glenn; CA Nevada; CA Sierra; CA Sutter; CA Yuba | <br><br>16.1<br><br>14.3 |
| 178 | Stockton-Modesto, CA:<br>SMSA Counties:<br>5170 Modesto, CA<br>CA Stanislaus<br>8120 Stockton, CA<br>CA San Joaquin<br>Non-SMSA Counties<br>CA Alpine; CA Amador; CA Calaveras; CA Mariposa; CA Merced; CA Toulumne | <br><br>12.3<br><br>24.3<br><br>19.8 |
| 179 | Fresno-Bakersfield, CA<br>SMSA Counties:<br>0680 Bakersfield, CA<br>CA Kern<br>2840 Fresno, CA<br>CA Fresno<br>Non-SMSA Counties:<br>CA Kings; CA Madera; CA Tulare | <br><br>19.1<br><br>26.1<br><br>23.6 |
| 180 | Los Angeles, CA:<br>SMSA Counties:<br>0360 Anaheim-Santa Ana-Garden Grove, CA<br>CA Orange<br>4480 Los Angeles-Long Beach, CA<br>CA Los Angeles<br>6000 Oxnard-Simi Valley-Ventura, CA<br>CA Ventura | <br><br>11.9<br><br>28.3<br><br>21.5 |

| | | |
|---|---|---|
| | 6780 Riverside-San Bernardino-Ontario, CA<br>CA Riverside; CA San Bernardino<br>7480 Santa Barbara-Santa Maria-Lompoc, CA<br>CA Santa Barbara<br>Non-SMSA Counties<br>CA Inyo; CA Mono; CA San Luis Obispo | 19.0<br><br>19.7<br><br>24.6 |
| 181 | San Diego, CA:<br>SMSA Counties<br>7320 San Diego, CA<br>CA San Diego<br>Non-SMSA Counties<br>CA Imperial | <br><br>16.9<br><br>18.2 |

For each July during which work is performed under the contract, you and each non-material-supplier subcontractor with a subcontract of $10,000 or more must complete Form FHWA PR-1391 (Appendix C to 23 CFR 230). Submit the forms by August 15.

**7-1.50D Training**

Section 7-1.50D, "Training," applies if a number of trainees or apprentices is specified in the special provisions.

As part of your equal opportunity affirmative action program, provide on-the-job training to develop full journeymen in the types of trades or job classifications involved.

You have primary responsibility for meeting this training requirement.

If you subcontract a contract part, determine how many trainees or apprentices are to be trained by the subcontractor.

Include these training requirements in your subcontract.

Where feasible, 25 percent of apprentices or trainees in each occupation must be in their 1st year of apprenticeship or training.

Distribute the number of apprentices or trainees among the work classifications on the basis of your needs and the availability of journeymen in the various classifications within a reasonable recruitment area.

Before starting work, submit to the Department:

1. Number of apprentices or trainees to be trained for each classification
2. Training program to be used
3. Training starting date for each classification

Obtain the Department's approval for this submitted information before you start work. The Department credits you for each apprentice or trainee you employ on the work who is currently enrolled or becomes enrolled in an approved program.

The primary objective of Section 7-1.50D, "Training," is to train and upgrade minorities and women toward journeymen status. Make every effort to enroll minority and women apprentices or trainees, such as conducting systematic and direct recruitment through public and private sources likely to yield minority and women apprentices or trainees, to the extent they are available within a reasonable recruitment area. Show that you have made the efforts. In making these efforts, do not discriminate against any applicant for training.

Do not employ as an apprentice or trainee an employee:

1. In any classification in which the employee has successfully completed a training course leading to journeyman status or in which the employee has been employed as a journeyman
2. Who is not registered in a program approved by the US Department of Labor, Bureau of Apprenticeship and Training

Ask the employee if the employee has successfully completed a training course leading to journeyman status or has been employed as a journeyman. Your records must show the employee's answers to the questions.

In your training program, establish the minimum length and training type for each classification. The Department and FHWA approves a program if one of the following is met:

1. It is calculated to:

    1.1. Meet the your equal employment opportunity responsibilities

1.2. Qualify the average apprentice or trainee for journeyman status in the classification involved by the end of the training period

2. It is registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training and it is administered in a way consistent with the equal employment responsibilities of federal-aid highway construction contracts

Obtain the State's approval for your training program before you start work involving the classification covered by the program.

Provide training in the construction crafts, not in clerk-typist or secretarial-type positions. Training is allowed in lower level management positions such as office engineers, estimators, and timekeepers if the training is oriented toward construction applications. Training is allowed in the laborer classification if significant and meaningful training is provided and approved by the division office. Off-site training is allowed if the training is an integral part of an approved training program and does not make up a significant part of the overall training.

The Department reimburses you 80 cents per hour of training given an employee on this contract under an approved training program:

1. For on-site training
2. For off-site training if the apprentice or trainee is currently employed on a federal-aid project and you do at least one of the following:

   2.1. Contribute to the cost of the training
   2.2. Provide the instruction to the apprentice or trainee
   2.3. Pay the apprentice's or trainee's wages during the off-site training period

3. If you comply with Section 7-1.50D, "Training"

Each apprentice or trainee must:

1. Begin training on the project as soon as feasible after the start of work involving the apprentice's or trainee's skill
2. Remain on the project as long as training opportunities exist in the apprentice's or trainee's work classification or until the apprentice or trainee has completed the training program

Furnish the apprentice or trainee:

1. Copy of the program you will comply with in providing the training
2. Certification showing the type and length of training satisfactorily completed

Maintain records and submit reports documenting your performance under Section 7-1.50D, "Training."

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## SECTION 8 PROSECUTION AND PROGRESS
**(Issued 07-01-08)**

**Replace Section 8-1.01 with:**

**8-1.01 (Blank)**

**In Section 8-1.02 replace the 2nd paragraph with:**

If the Contractor assigns the right to receive contract payments, the Department accepts the assignment upon the Engineer's receipt of a notice. Assigned payments remain subject to deductions and withholds described in the contract. The Department may use withheld payments for work completion whether payments are assigned or not.

**Add to Section 8-1.08:**

For a Federal-aid contract, the Department may terminate your control of the work for failure to include "Required Contract Provisions, Federal-Aid Construction Contracts" in subcontracts.

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## SECTION 9 MEASUREMENT AND PAYMENT
**(Issued 02-06-09)**

**In Section 9-1.02 in the 1st paragraph, replace the last sentence with:**

Neither the payment of any estimate nor of any retained percentage or withhold relieves the Contractor of any obligation to make good any defective work or material.

**Add to Section 9-1.02:**

The Department pays 10 percent per year interest for unpaid and undisputed:

1. Progress payments
2. After-acceptance payment except for claims

For these payments, interest starts to accrue 30 days after the 1st working day following the 20th day of the month payment is due.

The Department pays 6 percent per year interest for accepted claims. Interest starts to accrue 61 days after the Department accepts a Final Claim Statement.

The Department pays 6 percent per year interest for awards in arbitration (Civ Code § 3289).

If the amount of a deduction or withhold exceeds final payment, the Department invoices you for the difference, to be paid upon receipt.

**In Section 9-1.03A replace the 2nd paragraph with:**

To the total of the direct costs computed as provided in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," there will be added a markup of 35 percent to the cost of labor, 15 percent to the cost of materials, and 15 percent to the cost of equipment rental.

**In Section 9-1.03A replace the 3rd and 4th paragraphs with:**

The above markups shall constitute full compensation for all delay costs, overhead costs and profit which shall be deemed to include all items of expense not specifically designated as cost or equipment rental in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental." The total payment made as provided above shall be deemed to be the actual cost of the work and shall constitute full compensation therefor.

If a subcontractor performs work at force account, accept an additional 10 percent markup to the total cost of that work paid at force account, including markups specified in Section 9-1.03, "Force Account Payment," as reimbursement for additional administrative costs.

**Replace Section 9-1.03B with:**

The Contractor, and all subcontractors obtained before or after contract execution, shall itemize the labor, material, and equipment rental costs, and shall not be deemed specialists unless the selected Contractor or available subcontractors on site are not capable of performing the specialty work and it is not the special service industry's established practice to provide cost itemization. In addition, the Engineer may approve work required to be performed at an off-site manufacturing plant or machine shop to be paid as a non-itemized specialist billing. To obtain approval as a specialist, the Contractor shall submit on behalf of the subcontractor a request to the Engineer prior to the start of the proposed specialist work.

If approval is granted, the Engineer will accept the non-itemized invoices for specialty work performed, provided the invoices are at current market rates. Markup percentages of Section 9-1.03A, "Work Performed by Contractor," will not apply. A markup of 10 percent will be added to the total cost of the extra work. The 10 percent markup shall reimburse the Contractor for additional administrative costs, and no other payment will be made by reason of performance of the extra work by a specialist.

If approval is not granted prior to the start of the proposed specialty work, the Contractor or subcontractor shall itemize labor, material, and equipment rental costs and apply percentage markups as required by Section 9-1.03A, "Work Performed by Contractor."

**In Section 9-1.03C delete the 6th paragraph.**

**Replace Section 9-1.04 with:**

## 9-1.04 NOTICE OF POTENTIAL CLAIM

It is the intention of this section that disputes between the parties arising under and by virtue of the contract be brought to the attention of the Engineer at the earliest possible time in order that the matters may be resolved, if possible, or other appropriate action promptly taken.

Disputes will not be considered unless the Contractor has first complied with specified notice or protest requirements, including Section 4-1.03, "Changes," Section 5-1.116, "Differing Site Conditions," Section 8-1.06, "Time of Completion," Section 8-1.07, "Liquidated Damages," and Section 8-1.10, "Utility and Non-Highway Facilities."

For disputes arising under and by virtue of the contract, including an act or failure to act by the Engineer, the Contractor shall provide a signed written initial notice of potential claim to the Engineer within 5 days from the date the dispute first arose. The initial notice of potential claim shall provide the nature and circumstances involved in the dispute which shall remain consistent through the dispute. The initial notice of potential claim shall be submitted on Form CEM-6201A furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Contractor shall assign an exclusive identification number for each dispute, determined by chronological sequencing, based on the date of the dispute.

The exclusive identification number for each dispute shall be used on the following corresponding documents:

1. Initial notice of potential claim
2. Supplemental notice of potential claim
3. Full and final documentation of potential claim
4. Corresponding claim included in the Contractor's written statement of claims

The Contractor shall provide the Engineer the opportunity to examine the site of work within 5 days from the date of the initial notice of potential claim. The Contractor shall proceed with the performance of contract work unless otherwise specified or directed by the Engineer.

Throughout the disputed work, the Contractor shall maintain records that provide a clear distinction between the incurred direct costs of disputed work and that of undisputed work. The Contractor shall allow the Engineer access to the Contractor's project records deemed necessary by the Engineer to evaluate the potential claim within 20 days of the date of the Engineer's written request.

Within 15 days of submitting the initial notice of potential claim, the Contractor shall provide a signed supplemental notice of potential claim to the Engineer that provides the following information:

1. The complete nature and circumstances of the dispute which caused the potential claim
2. The contract provisions that provide the basis of claim
3. The estimated cost of the potential claim, including an itemized breakdown of individual costs and how the estimate was determined
4. A time impact analysis of the project schedule that illustrates the effect on the scheduled completion date due to schedule changes or disruptions where a request for adjustment of contract time is made

The information provided in items 1 and 2 above shall provide the Contractor's complete reasoning for additional compensation or adjustments.

The supplemental notice of potential claim shall be submitted on Form CEM-6201B furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Engineer will evaluate the information presented in the supplemental notice of potential claim and provide a written response to the Contractor within 20 days of its receipt. If the estimated cost or effect on the scheduled completion date changes, the Contractor shall update information in items 3 and 4 above as soon as the change is recognized and submit this information to the Engineer.

Within 30 days of the completion of work related to the potential claim, the Contractor shall provide the full and final documentation of potential claim to the Engineer that provides the following information:

1. A detailed factual narration of events fully describing the nature and circumstances that caused the dispute, including, but not limited to, necessary dates, locations, and items of work affected by the dispute
2. The specific provisions of the contract that support the potential claim and a statement of the reasons these provisions support and provide a basis for entitlement of the potential claim
3. When additional monetary compensation is requested, the exact amount requested calculated in conformance with Section 9-1.03, "Force Account Payment," or Section 8-1.09, "Right of Way Delays," including an itemized breakdown of individual costs. These costs shall be segregated into the following cost categories:

    3.1. Labor – A listing of individuals, classifications, regular hours and overtime hours worked, dates worked, and other pertinent information related to the requested reimbursement of labor costs
    3.2. Materials – Invoices, purchase orders, location of materials either stored or incorporated into the work, dates materials were transported to the project or incorporated into the work, and other pertinent information related to the requested reimbursement of material costs
    3.3. Equipment – Listing of detailed description (make, model, and serial number), hours of use, dates of use and equipment rates. Equipment rates shall be at the applicable State rental rate as listed in the Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates," in effect when the affected work related to the dispute was performed.
    3.4. Other categories as specified by the Contractor or the Engineer

4. When an adjustment of contract time is requested the following information shall be provided:

    4.1. The specific dates for which contract time is being requested
    4.2. The specific reasons for entitlement to a contract time adjustment
    4.3. The specific provisions of the contract that provide the basis for the requested contract time adjustment
    4.4. A detailed time impact analysis of the project schedule. The time impact analysis shall show the effect of changes or disruptions on the scheduled completion date to demonstrate entitlement to a contract time adjustment.

5. The identification and copies of the Contractor's documents and the substance of oral communications that support the potential claim

The full and final documentation of the potential claim shall be submitted on Form CEM-6201C furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655.

Pertinent information, references, arguments, and data to support the potential claim shall be included in the full and final documentation of potential claim. Information submitted subsequent to the full and final documentation submittal will not be considered. Information required in the full and final documentation of potential claim, as listed in items 1 to 5 above, that is not applicable to the dispute may be exempted as determined by the Engineer. No full and final documentation of potential claim will be considered that does not have the same nature and circumstances, and basis of claim as those specified on the initial and supplemental notices of potential claim.

The Engineer will evaluate the information presented in the full and final documentation of potential claim and provide a written response to the Contractor within 30 days of its receipt unless otherwise specified. The Engineer's receipt of the full and final documentation of potential claim shall be evidenced by postal receipt or the Engineer's written receipt if delivered by hand. If the full and final documentation of potential claim is submitted by the Contractor after acceptance of the work by the Director, the Engineer need not provide a written response.

Provisions in this section shall not apply to those claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate. Administrative disputes are disputes of administrative deductions or withholds, contract item quantities, contract item adjustments, interest payments, protests of contract change orders as provided in Section 4-1.03A, "Procedure and Protest," and protests of the Weekly Statement of Working Days as provided in Section 8-

1.06, "Time of Completion." Administrative disputes that occur prior to issuance of the proposed final estimate shall follow applicable requirements of this section. Information listed in the supplemental notice and full and final documentation of potential claim that is not applicable to the administrative dispute may be exempted as determined by the Engineer.

Unless otherwise specified in the special provisions, the Contractor may pursue the administrative claim process pursuant to Section 9-1.07B, "Final Payment and Claims," for any potential claim found by the Engineer to be without merit.

Failure of the Contractor to conform to specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract, and is deemed as the Contractor's waiver of the potential claim and a waiver of the right to a corresponding claim for the disputed work in the administrative claim process in conformance with Section 9-1.07B, "Final Payment of Claims," and shall operate as a bar to arbitration pursuant to Section 10240.2 of the California Public Contract Code.

**Replace Section 9-1.05 with:**

**9-1.05 STOP NOTICE WITHHOLDS**

The Department may withhold payments to cover claims filed under Civ Code § 3179 et seq.

**Add:**

**9-1.053 PERFORMANCE FAILURE WITHHOLDS**

During each estimate period you fail to comply with a contract part, including submittal of a document as specified, the Department withholds a part of the progress payment. The documents include quality control plans, schedules, traffic control plans, and water pollution control submittals.

For 1 performance failure, the Department withholds 25 percent of the progress payment but does not withhold more than 10 percent of the total bid.

For multiple performance failures, the Department withholds 100 percent of the progress payment but does not withhold more than 10 percent of the total bid.

The Department returns performance-failure withholds in the progress payment following the correction of noncompliance.

**Add:**

**9-1.055 PENALTY WITHHOLDS**

Penalties include fines and damages that are proposed, assessed, or levied against you or the Department by a governmental agency or citizen lawsuit. Penalties are also payments made or costs incurred in settling alleged permit violations of Federal, State, or local laws, regulations, or requirements. The cost incurred may include the amount spent for mitigation or correcting a violation.

If you or the Department is assessed a penalty, the Department may withhold the penalty amount until the penalty disposition has been resolved. The Department may withhold penalty funds and notify you within 15 days of the withhold. If the penalty amount is less than the amount being withheld from progress payments for retentions, the Department will not withhold the penalty amount.

If the penalty is resolved for less than the amount withheld, the Department pays interest at a rate of 6 percent per year on the excess withhold. If the penalty is not resolved, the withhold becomes a deduction.

Instead of the withhold, you may provide a bond payable to the Department of Transportation equal to the highest estimated liability for any disputed penalties proposed.

**Add:**

**9-1.057 PROGRESS WITHHOLDS**

The Department withholds 10 percent of a partial payment for noncompliant progress. Noncompliant progress occurs when:

1. Total days to date exceed 75 percent of the revised contract working days
2. Percent of working days elapsed exceeds the percent of value of work completed by more than 15 percent

The Engineer determines the percent of working days elapsed by dividing the total days to date by the revised contract working days and converting the quotient to a percentage.

The Engineer determines the percent of value of work completed by summing payments made to date and the amount due on the current progress estimate, dividing this sum by the current total estimated value of the work, and converting the quotient to a percentage. These amounts are shown on the Progress Payment Voucher.

When the percent of working days elapsed minus the percent of value of work completed is less than or equal to 15 percent, the Department returns the withhold in the next progress payment.

**In Section 9-1.06 in the 4th paragraph, replace the 1st sentence with:**

The Department shall pay monthly to the Contractor, while carrying on the work, the balance not retained, as aforesaid, after deducting therefrom all previous payments and all sums to be deducted or withheld under the provisions of the contract.

**In Section 9-1.065 replace the title and the 1st and 2nd paragraphs with:**

**9-1.065 RELEASE OF RETAINED FUNDS**

The Department releases retained funds if you:

1. Request release of the retention (Pub Cont Code § 10263) in writing
2. Deposit securities equivalent to the funds you want released into escrow with the State Treasurer or with a bank acceptable to the Department
3. Are the beneficial owner of and receive interest on the deposited securities substituted for the retained funds

**In Section 9-1.07A replace the 2nd sentence with:**

The Department pays the balance due less previous payments, deductions, withholds, and retentions under the provisions of the contract and those further amounts that the Engineer determines to be necessary pending issuance of the proposed final estimate and payment thereon.

**Replace Section 9-1.07B with:**

**9-1.07B Final Payment and Claims**

After acceptance by the Director, the Engineer makes a proposed final estimate of the total amount payable to the Contractor, including an itemization of the total amount, segregated by contract item quantities, extra work, and other basis for payment, and shows each deduction made or to be made for prior payments and amounts to be deducted, withheld, or retained under the provisions of the contract. Prior estimates and payments are subject to correction in the proposed final estimate. The Contractor must submit written approval of the proposed final estimate or a written statement of claims arising under or by virtue of the contract so that the Engineer receives the written approval or statement of claims no later than close of business of the 30th day after receiving the proposed final estimate. The Contractor's receipt of the proposed final estimate must be evidenced by postal receipt. The Engineer's receipt of the Contractor's written approval or statement of claims must be evidenced by postal receipt or the Engineer's written receipt if delivered by hand.

On the Contractor's approval, or if the Contractor files no claim within the specified period of 30 days, the Engineer will issue a final estimate in writing in conformance with the proposed final estimate submitted to the Contractor, and within 30 days thereafter the State will pay the entire sum so found to be due. That final estimate and payment thereon shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

If the Contractor within the specified period of 30 days files claims, the Engineer will issue a semifinal estimate in conformance with the proposed final estimate submitted to the Contractor and within 30 days thereafter the State will pay the sum found to be due. The semifinal estimate and corresponding payment shall be conclusive and binding against both parties to the contract on each question relating to the amount of work done and the compensation payable therefor, except insofar as affected by the claims filed within the time and in the manner required hereunder and except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Except for claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate, the Contractor shall only provide the following two items of information for each claim:

1. The exclusive identification number that corresponds to the supporting full and final documentation of potential claim
2. The final amount of requested additional compensation

If the final amount of requested additional compensation is different than the amount of requested compensation included in the full and final documentation of potential claim, the Contractor shall provide in the written statement of claims the reasons for the changed amount, the specific provisions of the contract which support the changed amount, and a statement of the reasons the provisions support and provide a basis for the changed amount. If the Contractor's claim fails to provide an exclusive identification number or if there is a disparity in the provided exclusive identification number, the Engineer will notify the Contractor of the omission or disparity. The Contractor shall have 15 days after receiving notification from the Engineer to correct the omission or disparity. If after the 15 days has elapsed, there is still an omission or disparity of the exclusive identification number assigned to the claim, the Engineer will assign the number. No claim will be considered that has any of the following deficiencies:

1. The claim does not have the same nature, circumstances, and basis as the corresponding full and final documentation of potential claim.
2. The claim does not have a corresponding full and final documentation of potential claim.
3. The claim was not included in the written statement of claims.
4. The Contractor did not comply with applicable notice or protest requirements of Sections 4-1.03, "Changes," 5-1.116, "Differing Site Condition," 8-1.06, "Time of Completion," 8-1.07, "Liquidated Damages," 8-1.10, "Utility and Non-Highway Facilities," and 9-1.04, "Notice of Potential Claim."

Administrative disputes that occur after issuance of the proposed final estimate shall be included in the Contractor's written statement of claims in sufficient detail to enable the Engineer to ascertain the basis and amounts of those claims.

The Contractor shall keep full and complete records of the costs and additional time incurred for work for which a claim for additional compensation is made. The Engineer or designated claim investigators or auditors shall have access to those records and any other records as may be required by the Engineer to determine the facts or contentions involved in the claims. Failure to permit access to those records shall be sufficient cause for denying the claims.

The written statement of claims submitted by the Contractor shall be accompanied by a notarized certificate containing the following language:

Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code Section 12650 et. seq., the undersigned,

_____ .
*(name)*

_____ *of*
*(title)*

_____ .
*(company)*

hereby certifies that the claim for the additional compensation and time, if any, made herein for the work on this contract is a true statement of the actual costs incurred and time sought, and is fully documented and supported under the contract between parties.

Dated _____

/s/ _____

Subscribed and sworn before me this _____ day

of _____ .

_____
*(Notary Public)*
My Commission
Expires _____

Failure to submit the notarized certificate will be sufficient cause for denying the claim.

Any claim for overhead, in addition to being certified as stated above, shall be supported and accompanied by an audit report of an independent Certified Public Accountant. Omission of a supporting audit report of an independent Certified Public Accountant shall result in denial of the claim and shall operate as a bar to arbitration, as to the claim, in conformance

with the requirements in Section 10240.2 of the California Public Contract Code. Any claim for overhead shall be subject to audit by the State at its discretion. The costs of performing an audit examination and submitting the report shall be borne by the Contractor. The Department will deduct an offset amount for field and home office overhead paid on all added work from any claim for overhead as appropriate, as determined by the Department. The value of the added work equals the value of the work completed minus the total bid. The home office overhead offset equals 5 percent of the added work. The field office overhead offset equals 5-1/2 percent of the added work. The Certified Public Accountant's audit examination shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31. The audit examination and report shall determine if the rates of field and home office overhead are:

1. Allowable in conformance with the requirements in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31.
2. Adequately supported by reliable documentation.
3. Related solely to the project under examination.

Costs or expenses incurred by the State in reviewing or auditing claims that are not supported by the Contractor's cost accounting or other records shall be deemed to be damages incurred by the State within the meaning of the California False Claims Act.

If the Engineer determines that a claim requires additional analysis, the Engineer will schedule a board of review meeting. The Contractor shall meet with the review board or person and make a presentation in support of the claim. Attendance by the Contractor at the board of review meeting shall be mandatory.

The District Director of the District that administered the contract will make the final determination of any claims which remain in dispute after completion of claim review by the Engineer or board of review meeting.

The final determination of claims will be sent to the Contractor by hand delivery or deposit in the U.S. mail. The Engineer will then make and issue the Engineer's final estimate in writing and within 30 days thereafter the State will pay the entire sum, if any, found due thereon. That final estimate shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Failure of the Contractor to conform to the specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract and shall operate as a bar to arbitration in conformance with the requirements in Section 10240.2 of the California Public Contract Code.

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## SECTION 12 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES
**(Issued 10-06-06)**

**In Section 12-1.01 replace the 2nd paragraph with:**

Attention is directed to Part 6 of the California MUTCD. Nothing in this Section 12 is to be construed as to reduce the minimum standards in these manuals.

**Replace Section 12-2.01 with:**

### 12-2.01 FLAGGERS

Flaggers while on duty and assigned to traffic control or to give warning to the public that the highway is under construction and of any dangerous conditions to be encountered as a result thereof, shall perform their duties and shall be provided with the necessary equipment in conformance with Part 6 of the California MUTCD. The equipment shall be furnished and kept clean and in good repair by the Contractor at the Contractor's expense.

**In Section 12-3.01 replace the 1st paragraph with:**

In addition to the requirements in Part 6 of the California MUTCD, all devices used by the Contractor in the performance of the work shall conform to the provisions in this Section 12-3.

**In Section 12-3.01 replace the 5th paragraph with:**

Retroreflective sheeting shall conform to the requirements in ASTM Designation: D 4956 and to the special provisions.

**In Section 12-3.06 replace the 1st paragraph with:**

The term "Construction Area Signs" shall include all temporary signs required for the direction of public traffic through or around the work during construction. Construction area signs are shown in or referred to in Part 6 of the California MUTCD.

**In Section 12-3.06 replace the 4th paragraph with:**

All construction area signs shall conform to the dimensions, color and legend requirements of the plans, Part 6 of the California MUTCD and these specifications. All sign panels shall be the product of a commercial sign manufacturer, and shall be as specified in these specifications.

**In Section 12-3.06 replace the 8th paragraph with:**

Used signs with the specified sheeting material will be considered satisfactory if they conform to the requirements for visibility and legibility and the colors conform to the requirements in Part 6 of the California MUTCD. A significant difference between day and nighttime retroreflective color will be grounds for rejecting signs.

Section 12-3.06A, "Stationary Mounted Signs," of the Standard Specifications is amended by deleting the third, fourth, fifth, and sixth paragraphs.

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## SECTION 15 EXISTING HIGHWAY FACILITIES
**(Issued 11-02-04)**

**In Section 15-2.07 replace the 6th paragraph with:**

Full compensation for removing, salvaging, reconstructing, relocating or resetting end caps, return caps, terminal sections, and buried post anchors, for metal beam guard railings and thrie beam barriers, and for connecting reconstructed, relocated or reset railings and barriers to new and existing facilities, including connections to concrete, shall be considered as included in the contract price paid per meter for the type of railing or barrier work involved and no additional compensation will be allowed therefor.

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## SECTION 19 EARTHWORK
**(Issued 01-02-09)**

**Replace Section 19-1.02 with:**

**19-1.02 (BLANK)**

**Replace Section 19-1.03 with:**

**19-1.03 GRADE TOLERANCE**

Immediately prior to placing subsequent layers of material thereon, the grading plane shall conform to one of the following:

A. When hot mix asphalt is to be placed on the grading plane, the grading plane at any point shall not vary more than 15 mm above or below the grade established by the Engineer.
B. When subbase or base material to be placed on the grading plane is to be paid for by the tonne, the grading plane at any point shall not vary more than 30 mm above or below the grade established by the Engineer.
C. When the material to be placed on the grading plane is to be paid for by the cubic meter, the grading plane at any point shall be not more than 15 mm above the grade established by the Engineer.

**In Section 19-3.025C replace the 1st paragraph with:**

Cementitious material used in soil cement bedding shall conform to the provisions in Section 90-2.01, "Cementitious Materials." Supplementary cementitious material will not be required.

**In Section 19-3.025C replace the 4th paragraph with:**

The aggregate, cementitious material, and water shall be proportioned either by mass or by volume. Soil cement bedding shall contain not less than 175 kg of cementitious material per cubic meter. The water content shall be sufficient to produce a fluid, workable mix that will flow and can be pumped without segregation of the aggregate while being placed.

**In Section 19-3.062 replace the 1st paragraph with:**

Slurry cement backfill shall consist of a fluid, workable mixture of aggregate, cementitious material, and water.

**In Section 19-3.062 replace the 5th paragraph with:**

Cementitious material shall conform to the provisions in Section 90-2.01, "Cementitious Materials." Supplementary cementitious material will not be required.

**In Section 19-3.062 replace the 8th paragraph with:**

The aggregate, cementitious material, and water shall be proportioned either by mass or by volume. Slurry cement backfill shall contain not less than 110 kg of cementitious material per cubic meter. The water content shall be sufficient to produce a fluid, workable mix that will flow and can be pumped without segregation of the aggregate while being placed.

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## SECTION 20 EROSION CONTROL AND HIGHWAY PLANTING
**(Issued 08-17-07)**

**Replace Section 20-2.03 with:**

**20-2.03 SOIL AMENDMENT**

Soil amendment shall comply with the requirements in the California Food and Agricultural Code.
Soil amendment producers shall comply with the following:

1. Be fully permitted to produce compost as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.
2. Be a participant in United States Composting Council's Seal of Testing Assurance program.

Soil amendment shall be composted and may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Soil amendment feedstock materials shall be composted to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3.

Soil amendment shall not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Soil amendment must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Soil amendment must not possess objectionable odors.

Metal concentrations in soil amendment must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Soil amendment must comply with the following:

Physical/Chemical Requirements

| Property | Test Method | Requirement |
|---|---|---|
| pH | *TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units | 6.0–8.0 |
| Soluble Salts | TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm) | 0-10.0 |
| Moisture Content | TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis | 30–60 |
| Organic Matter Content | TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis | 30–65 |
| Maturity | TMECC 05.05-A, Germination and Vigor<br>Seed Emergence<br>Seedling Vigor<br>% Relative to Positive Control | <br>80 or Above<br>80 or Above |
| Stability | TMECC 05.08-B, Carbon Dioxide Evolution Rate mg $CO_2$-C/g OM per day | 8 or below |
| Particle Size | TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis | 95% Passing 5/8 inch<br>70% Passing 3/8 inch |
| Pathogen | TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt. | Pass |
| Pathogen | TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt. | Pass |
| Physical Contaminants | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Plastic, Glass and Metal, % > 4mm fraction | Combined Total: < 1.0 |
| Physical Contaminants | TMECC 02.02-C, Man Made Inert Removal and Classification:<br>Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction | None Detected |

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Prior to application, the Contractor shall provide the Engineer with a copy of the soil amendment producer's Compost Technical Data Sheet and a copy of the compost producers STA certification. The Compost Technical Data Sheet shall include laboratory analytical test results, directions for product use, and a list of product ingredients.

Prior to application, the Contractor shall provide the Engineer with a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

**In Section 20-2.10 delete the 8th, 9th, and 10th paragraphs.**

**Replace Section 20-2.25 with:**

**20-2.25 BACKFLOW PREVENTERS**

Backflow preventers shall be one of the reduced pressure principle devices as specified in these specifications and the special provisions.

Backflow preventers shall be factory assembled and shall include 2 check valves, one pressure differential relief valve, 2 shut-off valves and 4 test cocks. Backflow preventer and valves shall be the same size as the pipeline in which they are installed, unless otherwise shown on the plans.

Backflow preventer shut-off valves shall be manufactured from iron or bronze and shall be either resilient wedged gate valves, resilient seated and fully ported ball valves, or resilient seated butterfly valves. Threaded type shut-off valves shall be provided with a union on one side of each valve. Unions shall be brass or malleable iron.

**In Section 20-3.04A delete the last paragraph.**

**Replace Section 20-4.055 with:**

**20-4.055 PRUNING**

Pruning of plants shall be consistent with American National Standards Institute (ANSI), "Tree, Shrub and Other Woody Plant Maintenance Standard Practices," ANSI 300 (Part 1)-2001 and "Best Management Practices Tree Pruning," 2002 (ISBN 1-881956318), published by the International Society of Arboriculture, P.O. Boc 3129, Champaign, IL 61826.

**Replace Section 20-5.03J with:**

**20-5.03J Check and Test Backflow Preventers**

Backflow preventers shall be checked and tested for proper operation by a certified Backflow Preventer Tester. The tester shall hold a valid certification as a Backflow Preventer Tester from the local governing authority in which the device to be tested is located. The local governing authority shall be the county, city or water purveyor having the governing authority over testing of backflow preventers involved. If the local governing authority does not have a certification program for Backflow Preventer Testers, the tester shall have a certificate from one of the following:

A. The American Water Works Association.
B. A county which has a certification program for Backflow Preventer Testers.

Tests for proper operation shall conform to the requirements of the governing authority.
The Engineer shall be notified at least 5 days prior to testing backflow preventers.
One copy of the test results for each backflow preventer tested shall be furnished to the Engineer.
Backflow preventers, installed by the Contractor, failing required tests shall be repaired at the Contractor's expense.

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## SECTION 25 AGGREGATE SUBBASES
**(Issued 02-16-07)**

**In Section 25-1.02A replace the 1st paragraph with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel

4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

**Replace Section 25-1.02B with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

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## SECTION 26 AGGREGATE BASE
**(Issued 02-16-07)**

**In Section 26-1.02A replace the 1st paragraph with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

**In Section 26-1.02B replace the 1st paragraph with:**

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel

4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

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## SECTION 27 CEMENT TREATED BASES
**(Issued 07-31-07)**

**In Section 27-1.02 replace the 1st paragraph with:**

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

**In Section 27-1.02 replace the 3rd and 4th paragraphs with:**

Aggregate for use in Class A cement treated base shall be of such quality that when mixed with cement in an amount not to exceed 5 percent by mass of the dry aggregate and compacted at optimum moisture content, the compressive strength of a sample of the compacted mixture shall not be less than 5.2 MPa at 7 days, when tested by California Test 312.

Aggregate for use in Class B cement treated base shall have a Resistance (R-value) of not less than 60 before mixing with cement and a Resistance (R-value) of not less than 80 after mixing with cement in an amount not to exceed 2.5 percent by mass of the dry aggregate.

**In Section 27-1.07 replace the 9th paragraph with:**

When surfacing material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as surfacing. This filling shall be done as a separate operation prior to placing the lowest layer of surfacing, and full compensation for this filling will be considered as included in the contract price paid for cement treated base and no additional compensation will be allowed therefor.

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## SECTION 28 LEAN CONCRETE BASE
**(Issued 07-31-07)**

**In Section 28-1.02 replace the 1st paragraph with:**

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

**In Section 28-1.02 replace the 6th paragraph with:**

Aggregate shall be of such quality that, when mixed with cement in an amount not to exceed 180 kg per cubic meter, and tested in conformance with the requirements in California Test 548, the compressive strength of a sample will be not less than 5.0 MPa at 7 days.

**In Section 28-1.06 replace the 2nd paragraph with:**

In advance of curing operations, lean concrete base to be surfaced with hot mix asphalt shall be textured with a drag strip of burlap, a broom or a spring steel tine device which will produce scoring in the finished surface. The scoring shall be parallel with the centerline or transverse thereto. The operation shall be performed at a time and in a manner to produce the coarsest texture practical for the method used.

**In Section 28-1.08 replace the 2nd paragraph with:**

Hardened lean concrete base with a surface lower than 15 mm below the grade established by the Engineer shall be removed and replaced with lean concrete base which complies with these specifications, or if permitted by the Engineer, the low areas shall be filled with pavement material as follows:

1. When pavement material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as pavement. This shall be done as a separate operation prior to placing the lowest layer of pavement, and full compensation for this filling will be considered as included in the contract price paid per cubic meter for lean concrete base and no additional compensation will be allowed therefor.
2 When pavement material is portland cement concrete, the low areas shall be filled with pavement concrete at the time and in the same operation that the pavement is placed. Full compensation for this filling will be considered as included in the contract price paid per cubic meter for lean concrete base and no additional compensation will be allowed therefor.

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## SECTION 29 TREATED PERMEABLE BASES
**(Issued 07-31-07)**

**In Section 29-1.02A replace the 4th paragraph with:**

The type and grade of asphalt binder to be mixed with aggregate will be specified in the special provisions.

**In Section 29-1.02B replace the 2nd paragraph with:**

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

**In Section 29-1.04A replace the 1st paragraph with:**

Aggregates and asphalt for asphalt treated permeable base shall be stored, proportioned and mixed in the same manner provided for storing, proportioning and mixing aggregates and asphalt for hot mix asphalt in Section 39-1.08, "Production," except as follows:

1. The aggregate need not be separated into sizes.
2. The temperature of the aggregate before adding the asphalt binder shall be not less than 135°C nor more than 165°C.
3. Asphalt treated permeable base stored in excess of 2 hours shall not be used in the work.
4. The aggregate shall be combined with 2.5 percent paving asphalt by mass of the dry aggregate. After testing samples of the Contractor's proposed aggregate supply, the Engineer may order an increase or decrease in the asphalt content. If an increase or decrease is ordered, and the increase or decrease exceeds the specified amount by more than 0.1-percent by mass of the dry aggregate, the compensation payable to the Contractor for the asphalt treated permeable base will be increased or decreased on the basis of the total increase or decrease in asphalt.
5. The asphalt content of the asphalt mixture will be determined, at the option of the Engineer, by extraction tests in conformance with the requirements in California Test 310 or 362, or will be determined in conformance with the requirements in California Test 379. The bitumen ratio kilograms of asphalt per 100 kg of dry aggregate shall not vary by more than 0.5-kg of asphalt above or 0.5-kg of asphalt below the amount designated by the Engineer. Compliance with this requirement will be determined either by taking samples from trucks at the plant or from the mat behind the paver before rolling. If the sample is taken from the mat behind the paver, the bitumen ratio shall be not less than the amount designated by the Engineer, less 0.7-kg of asphalt per 100 kg of dry aggregate.

**In Section 29-1.04B replace the 2nd paragraph with:**

Cement treated permeable base shall contain not less than 170 kg of cement per cubic meter.

**In Section 29-1.05 replace the 1st paragraph with:**

Asphalt treated permeable base shall be spread and compacted as specified for hot mix asphalt under the "Method" construction process in Section 39, "Hot Mix Asphalt," and these specifications.

**In Section 29-1.07 replace the 2nd paragraph with:**

Hardened treated permeable base with a surface lower than 15 mm below the grade established by the Engineer shall be removed and replaced with treated permeable base which complies with these specifications, or if permitted by the Engineer, the low areas shall be filled with pavement material as follows:

1. When pavement material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as pavement. This shall be done as a separate operation prior to placing the lowest layer of pavement.
2. When pavement material is portland cement concrete, the low areas shall be filled with pavement concrete at the time and in the same operation in which the pavement is placed.
3. Full compensation for filling low areas will be considered as included in the contract price paid per cubic meter for treated permeable base and no additional compensation will be allowed therefor.

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## SECTION 37 BITUMINOUS SEALS
**(Issued 08-17-07)**

**In Section 37-1.03 replace the 4th, 5th, and 6th paragraphs with:**

On 2-lane two-way roadways, W8-7 "LOOSE GRAVEL" signs and W13-1 (35) speed advisory signs shall be furnished and placed adjacent to both sides of the traveled way where screenings are being spread on a traffic lane. The first W8-7 sign in each direction shall be placed where traffic first encounters loose screenings, regardless of which lane the screenings are being spread on. The W13-1 (35) signs need not be placed in those areas with posted speed limits of less than 40 MPH. The signs shall be placed at maximum 600-m intervals along each side of the traveled way and at public roads or streets entering the seal coat area as directed by the Engineer.

On multilane roadways (freeways, expressways and multilane conventional highways) where screenings are being spread on a traffic lane, W8-7 "LOOSE GRAVEL" signs and W13-1 (35) speed advisory signs shall be furnished and placed adjacent to the outside edge of the traveled way nearest to the lane being worked on. The first W8-7 sign shall be placed where the screenings begin with respect to the direction of travel on that lane. The W13-1 (35) signs need not be placed in those areas with posted speed limits of less than 40 MPH. The signs shall be placed at maximum 600-m intervals along the edge of traveled way and at on-ramps, public roads or streets entering the seal coat area as directed by the Engineer.

The W8-7 and W13-1 signs shall be maintained in place at each location until final brooming of the seal coat surface at that location is completed. The W8-7 and W13-1 signs shall conform to the provisions for construction area signs in Section 12, "Construction Area Traffic Control Devices." The signs may be set on temporary portable supports with the W13-1 below the W8-7 or on barricades with the W13-1 sign alternating with the W8-7 sign.

**In Section 37-1.07 replace the 2nd paragraph with:**

Rollers shall be oscillating type pneumatic-tired rollers. A minimum of 2 pneumatic-tired rollers conforming to the provisions in Section 39-3.03 "Spreading and Compacting Equipment," shall be furnished.

**In Section 37-1.09 replace the 2nd paragraph with:**

The above prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in applying seal coat, complete in place, including furnishing, placing, maintaining, and removing W8-7 and W13-1 signs, when required, and temporary supports or barricades for the signs, as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

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## SECTION 39 ASPHALT CONCRETE
**(Issued 03-18-09)**

**Replace Section 39 with:**
## SECTION 39 HOT MIX ASPHALT

## 39-1 GENERAL

### 39-1.01 DESCRIPTION

Section 39 includes specifications for producing and placing hot mix asphalt (HMA) by mixing aggregate and asphalt binder at a mixing plant and spreading and compacting the HMA mixture.

The special provisions specify one or more type of HMA, including:

1. Type A
2. Type B
3. Open graded friction course (OGFC). OGFC includes hot mix asphalt (open graded), rubberized hot mix asphalt (open graded) (RHMA-O) and rubberized hot mix asphalt (open graded high binder) (RHMA-O-HB)
4. Rubberized hot mix asphalt (gap graded) (RHMA-G)

The special provisions specify the HMA construction process, including:

1. Standard
2. Method
3. Quality Control / Quality Assurance (QC / QA)

### 39-1.02 MATERIALS

#### 39-1.02A Geosynthetic Pavement Interlayer

Geosynthetic pavement interlayer must comply with the specifications for pavement reinforcing fabric in Section 88, "Engineering Fabrics."

#### 39-1.02B Tack Coat

Tack coat must comply with the specifications for asphaltic emulsion in Section 94, "Asphaltic Emulsion," or asphalt binder in Section 92, "Asphalts." Choose the type and grade.

Notify the Engineer if you dilute asphaltic emulsion with water. The mass ratio of added water to asphaltic emulsion must not exceed 1 to 1.

Measure added water either by mass or volume in compliance with the specifications for weighing, measuring, and metering devices under Section 9-1.01, "Measurement of Quantities," or you may use water meters from water districts, cities, or counties. If you measure water by volume, apply a conversion factor to determine the correct mass.

With each dilution, submit in writing:

1. The mass ratio of water to bituminous material in the original asphaltic emulsion
2. The mass of asphaltic emulsion before diluting
3. The mass of added water
4. The final dilution mass ratio of water to asphaltic emulsion

#### 39-1.02C Asphalt Binder

Asphalt binder in HMA must comply with Section 92, "Asphalts," or Section 39-1.02D, "Asphalt Rubber Binder." The special provisions specify the grade.

Asphalt binder for geosynthetic pavement interlayer must comply with Section 92, "Asphalts." Choose from Grades PG 64-10, PG 64-16, or PG 70-10.

### 39-1.02D Asphalt Rubber Binder

#### General

Use asphalt rubber binder in RHMA-G, RHMA-O, and RHMA-O-HB. Asphalt rubber binder must be a combination of:

1. Asphalt binder
2. Asphalt modifier
3. Crumb rubber modifier (CRM)

The combined asphalt binder and asphalt modifier must be 80.0 ± 2.0 percent by mass of the asphalt rubber binder.

#### Asphalt Modifier

Asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon, and comply with:

**Asphalt Modifier for Asphalt Rubber Binder**

| Quality Characteristic | ASTM | Specification |
|---|---|---|
| Viscosity, $m^2/s$ (x $10^{-6}$) at 100 °C | D 445 | X ± 3 [a] |
| Flash Point, CL.O.C., °C | D 92 | 207 minimum |
| Molecular Analysis | | |
| Asphaltenes, percent by mass | D 2007 | 0.1 maximum |
| Aromatics, percent by mass | D 2007 | 55 minimum |

Note:
[a] The symbol "X" is the proposed asphalt modifier viscosity. "X" must be between 19 and 36. A change in "X" requires a new asphalt rubber binder design.

Asphalt modifier must be from 2.0 percent to 6.0 percent by mass of the asphalt binder in the asphalt rubber binder.

#### Crumb Rubber Modifier

CRM consists of a ground or granulated combination of scrap tire CRM and high natural CRM. CRM must be 75.0 ± 2.0 percent scrap tire CRM and 25.0 ± 2.0 percent high natural CRM by total mass of CRM. Scrap tire CRM must be from any combination of automobile tires, truck tires, or tire buffings.

Sample and test scrap tire CRM and high natural CRM separately. CRM must comply with:

**Crumb Rubber Modifier for Asphalt Rubber Binder**

| Quality Characteristic | Test Method | Specification |
|---|---|---|
| Scrap tire CRM gradation (% passing 2.36-mm sieve) | LP-10 | 100 |
| High natural CRM gradation (% passing 2.00-mm sieve) | LP-10 | 100 |
| Wire in CRM (% max.) | LP-10 | 0.01 |
| Fabric in CRM (% max.) | LP-10 | 0.05 |
| CRM particle length (mm max.) [a] | -- | 4.75 |
| CRM specific gravity [a] | CT 208 | 1.1 – 1.2 |
| Natural rubber content in high natural CRM (%) [a] | ASTM D 297 | 40.0 – 48.0 |

Note:
[a] Test at mix design and for Certificate of Compliance.

Only use CRM ground and granulated at ambient temperature. If steel and fiber are cryogenically separated, it must occur before grinding and granulating. Only use cryogenically produced CRM particles that can be ground or granulated and not pass through the grinder or granulator.

CRM must be dry, free-flowing particles that do not stick together. CRM must not cause foaming when combined with the asphalt binder and asphalt modifier. You may add calcium carbonate or talc up to 3 percent by mass of CRM.

**Asphalt Rubber Binder Design and Profile**

Submit in writing an asphalt rubber binder design and profile. In the design, designate the asphalt, asphalt modifier, and CRM and their proportions. The profile is not a specification and only serves to indicate expected trends in asphalt rubber binder properties during binder production. The profile must include the same component sources for the asphalt rubber binder used.

Design the asphalt rubber binder from testing you perform for each quality characteristic and for the reaction temperatures expected during production. The 24-hour (1,440-minute) interaction period determines the design profile. At a minimum, mix asphalt rubber binder components, take samples, and perform and record the following tests:

**Asphalt Rubber Binder Reaction Design Profile**

| Test | Minutes of Reaction [a] | | | | | | | Limits |
|---|---|---|---|---|---|---|---|---|
| | 45 | 60 | 90 | 120 | 240 | 360 | 1440 | |
| Cone penetration @ 77 °F, 0.10 mm (ASTM D 217) | X [b] | | | | X | | X | 25 - 70 |
| Resilience @ 77 °F, percent rebound (ASTM D 5329) | X | | | | X | | X | 18 min. |
| Field softening point, °F (ASTM D 36) | X | | | | X | | X | 125 - 165 |
| Viscosity, centipoises (LP-11) | X | X | X | X | X | X | X | 1,500 - 4,000 |

Notes:
[a] Six hours (360 minutes) after CRM addition, reduce the oven temperature to 135 °C for a period of 16 hours. After the 16-hour (1320 minutes) cool-down after CRM addition, reheat the binder to the reaction temperature expected during production for sampling and testing at 24 hours (1440 minutes).
[b] "X" denotes required testing

**Asphalt Rubber Binder**

After interacting for a minimum of 45 minutes, asphalt rubber binder must comply with:

**Asphalt Rubber Binder**

| Quality Characteristic | Test for Quality Control or Acceptance | Test Method | Specification | |
|---|---|---|---|---|
| | | | Minimum | Maximum |
| Cone penetration @ 77 °F, 0.10 mm | Acceptance | ASTM D 217 | 25 | 70 |
| Resilience @ 77 °F, percent rebound | Acceptance | ASTM D 5329 | 18 | -- |
| Field softening point, °F | Acceptance | ASTM D 36 | 125 | 165 |
| Viscosity @ 177 °C, centipoises | Quality Control | LP-11 | 1,500 | 4,000 |

**39-1.02E Aggregate**

Aggregate must be clean and free from deleterious substances. Aggregate:

1. Retained on the 4.75-millimeter sieve is coarse
2. Passing the 4.75-millimeter sieve is fine
3. Added and passing the 0.6-millimeter sieve is supplemental fine, including:

   3.1. Hydrated lime
   3.2. Portland cement
   3.3. Fines from dust collectors

The special provisions specify the aggregate gradation for each HMA type.

The specified aggregate gradation is before the addition of asphalt binder and includes supplemental fines. The Engineer tests for aggregate grading under California Test 202, modified by California Test 105 if there is a difference in specific gravity of 0.2 or more between the coarse and fine parts of different aggregate blends.

Choose a sieve size target value (TV) within each target value limit presented in the aggregate gradation tables.

**Aggregate Gradation**
**(Percentage Passing)**
**HMA Types A and B**

19-mm HMA Types A and B

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 25-mm | 100 | — |
| 19-mm | 90 - 100 | TV ±5 |
| 12.5-mm | 70 - 90 | TV ±6 |
| 4.75-mm | 45 - 55 | TV ±7 |
| 2.36-mm | 32 - 40 | TV ±5 |
| 0.6-mm | 12 - 21 | TV ±4 |
| 0.075-mm | 2 - 7 | TV ±2 |

12.5-mm HMA Types A and B

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 19-mm | 100 | — |
| 12.5-mm | 95 - 99 | TV ±6 |
| 9.5-mm | 75 - 95 | TV ±6 |
| 4.75-mm | 55 - 66 | TV ±7 |
| 2.36-mm | 38 - 49 | TV ±5 |
| 0.6-mm | 15 - 27 | TV ±4 |
| 0.075-mm | 2 - 8 | TV ±2 |

9.5-mm HMA Types A and B

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 12.5-mm | 100 | — |
| 9.5-mm | 95 - 100 | TV ±6 |
| 4.75-mm | 58 - 72 | TV ±7 |
| 2.36-mm | 34 - 48 | TV ±6 |
| 0.6-mm | 18 - 32 | TV ±5 |
| 0.075-mm | 2 - 9 | TV ±2 |

4.75-mm HMA Types A and B

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 9.5-mm | 100 | — |
| 4.75-mm | 95 - 100 | TV ±7 |
| 2.36-mm | 72 - 77 | TV ±7 |
| 0.6-mm | 37 - 43 | TV ±7 |
| 0.075-mm | 2 - 12 | TV ±4 |

**Rubberized Hot Mix Asphalt - Gap Graded (RHMA-G)**

19-mm RHMA-G

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 25-mm | 100 | — |
| 19-mm | 95 - 100 | TV ±5 |
| 12.5-mm | 83 - 87 | TV ±6 |
| 9.5-mm | 65 - 70 | TV ±6 |
| 4.75-mm | 28 - 42 | TV ±7 |
| 2.36-mm | 14 - 22 | TV ±5 |
| 0.075-mm | 0 - 6 | TV ±2 |

12.5-mm RHMA-G

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 19-mm | 100 | — |
| 12.5-mm | 90 - 100 | TV ±6 |
| 9.5-mm | 83 - 87 | TV ±6 |
| 4.75-mm | 28 - 42 | TV ±7 |
| 2.36-mm | 14 - 22 | TV ±5 |
| 0.075-mm | 0 - 6 | TV ±2 |

**Open Graded Friction Course (OGFC)**

25-mm OGFC

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 37.5-mm | 100 | — |
| 25-mm | 99 - 100 | TV ±5 |
| 19-mm | 85 - 96 | TV ±5 |
| 12.5-mm | 55 - 71 | TV ±6 |
| 4.75-mm | 10 - 25 | TV ±7 |
| 2.36-mm | 6 - 16 | TV ±5 |
| 0.075-mm | 1 - 6 | TV ±2 |

12.5-mm OGFC

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 19-mm | 100 | — |
| 12.5-mm | 95 - 100 | TV ±6 |
| 9.5-mm | 78 - 89 | TV ±6 |
| 4.75-mm | 28 - 37 | TV ±7 |
| 2.36-mm | 7 - 18 | TV ±5 |
| 0.6-mm | 0 - 10 | TV ±4 |
| 0.075-mm | 0 - 3 | TV ±2 |

9.5-mm OGFC

| Sieve Sizes | Target Value Limits | Allowable Tolerance |
|---|---|---|
| 12.5-mm | 100 | — |
| 9.5-mm | 90 - 100 | TV ±6 |
| 4.75-mm | 29 - 36 | TV ±7 |
| 2.36-mm | 7 - 18 | TV ±6 |
| 0.6-mm | 0 - 10 | TV ±5 |
| 0.075-mm | 0 - 3 | TV ±2 |

Before the addition of asphalt binder and lime treatment, aggregate must comply with:

**Aggregate Quality**

| Quality Characteristic | Test Method | HMA Type | | | |
|---|---|---|---|---|---|
| | | A | B | RHMA-G | OGFC |
| Percent of crushed particles | CT 205 | | | | |
| Coarse aggregate (% min.) | | | | | |
| One fractured face | | 90 | 25 | -- | 90 |
| Two fractured faces | | 75 | -- | 90 | 75 |
| Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) | | | | | |
| One fractured face | | 70 | 20 | 70 | 90 |
| Los Angeles Rattler (% max.) | CT 211 | | | | |
| Loss at 100 Rev. | | 12 | -- | 12 | 12 |
| Loss at 500 Rev. | | 45 | 50 | 40 | 40 |
| Sand equivalent (min.) [a] | CT 217 | 47 | 42 | 47 | -- |
| Fine aggregate angularity (% min.) [b] | AASHTO T 304 Method A | 45 | 45 | 45 | -- |
| Flat and elongated particles (% max. by mass @ 5:1) | ASTM D 4791 | 10 | 10 | 10 | 10 |

Notes:
[a] Reported value must be the average of 3 tests from a single sample.
[b] The Engineer waives this specification if HMA contains less than 10 percent of nonmanufactured sand by mass of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

### 39-1.02F Reclaimed Asphalt Pavement

You may produce HMA using reclaimed asphalt pavement (RAP). HMA produced using RAP must comply with the specifications for HMA except aggregate quality specifications do not apply to RAP. You may substitute RAP aggregate for a part of the virgin aggregate in HMA in a quantity not exceeding 15.0 percent of the aggregate blend. Do not use RAP in OGFC and RHMA-G.

Assign the substitution rate of RAP aggregate for virgin aggregate with the job mix formula (JMF) submittal. The JMF must include the percent of RAP used. If you change your assigned RAP aggregate substitution rate by more than 5 percent (within the 15.0 percent limit), submit a new JMF.

Process RAP from asphalt concrete. You may process and stockpile RAP throughout the project's life. Prevent material contamination and segregation. Store RAP in stockpiles on smooth surfaces free of debris and organic material. Processed RAP stockpiles must consist only of homogeneous RAP.

## 39-1.03 HOT MIX ASPHALT MIX DESIGN REQUIREMENTS

### 39-1.03A General

A mix design consists of performing California Test 367 and laboratory procedures on combinations of aggregate gradations and asphalt binder contents to determine the optimum binder content (OBC) and HMA mixture qualities. If RAP is used, use Laboratory Procedure LP-9. The result of the mix design becomes the proposed JMF.

Use Form CEM-3512 to document aggregate quality and mix design data. Use Form CEM-3511 to present the JMF.

Laboratories testing aggregate qualities and preparing the mix design and JMF must be qualified under the Department's Independent Assurance Program. Take samples under California Test 125.

The Engineer reviews the aggregate qualities, mix design, and JMF and verifies and accepts the JMF.

You may change the JMF during production. Do not use the changed JMF until the Engineer accepts it. Except when adjusting the JMF in compliance with Section 39-1.03E, "Job Mix Formula Verification," perform a new mix design and submit in writing a new JMF submittal for changing any of the following:

1. Target asphalt binder percentage
2. Asphalt binder supplier
3. Asphalt rubber binder supplier
4. Component materials used in asphalt rubber binder or percentage of any component materials
5. Combined aggregate gradation
6. Aggregate sources
7. Substitution rate for RAP aggregate of more than 5 percent

8. Any material in the JMF

For OGFC, submit in writing a complete JMF submittal except asphalt binder content. The Engineer determines the asphalt binder content under California Test 368 within 20 days of your complete JMF submittal and provides you a Form CEM-3513.

**39-1.03B Hot Mix Asphalt Mix Design**

Perform a mix design that produces HMA in compliance with:

**Hot Mix Asphalt Mix Design Requirements**

| Quality Characteristic | Test Method | HMA Type | | |
|---|---|---|---|---|
| | | A | B | RHMA-G |
| Air voids content (%) | CT 367[a] | 4.0 | 4.0 | Special Provisions |
| Voids in mineral aggregate (% min.) | LP-2 | | | |
| 4.75-mm grading | | 17.0 | 17.0 | -- |
| 9.5-mm grading | | 15.0 | 15.0 | -- |
| 12.5-mm grading | | 14.0 | 14.0 | 18.0 – 23.0[b] |
| 19-mm grading | | 13.0 | 13.0 | 18.0 – 23.0[b] |
| Voids filled with asphalt (%) | LP-3 | | | |
| 4.75-mm grading | | 76.0 – 80.0 | 76.0 – 80.0 | Note d |
| 9.5-mm grading | | 73.0 – 76.0 | 73.0 – 76.0 | |
| 12.5-mm grading | | 65.0 – 75.0 | 65.0 – 75.0 | |
| 19-mm grading | | 65.0 – 75.0 | 65.0 – 75.0 | |
| Dust proportion | LP-4 | | | |
| 4.75-mm and 9.5-mm gradings | | 0.9 – 2.0 | 0.9 – 2.0 | Note d |
| 12.5-mm and 19-mm gradings | | 0.6 – 1.3 | 0.6 – 1.3 | |
| Stabilometer value (min.) [c] | CT 366 | | | |
| 4.75-mm and 9.5-mm gradings | | 30 | 30 | -- |
| 12.5-mm and 19-mm gradings | | 37 | 35 | 23 |

Notes:

[a] Calculate the air voids content of each specimen using California Test 309 and Lab Procedure LP-1. Modify California Test 367, Paragraph C5, to use the exact air voids content specified in the selection of OBC.
[b] Voids in mineral aggregate for RHMA-G must be within this range.
[c] Modify California Test 304, Part 2.B.2.c: "After compaction in the compactor, cool to 60 °± 3 °C by allowing the briquettes to cool at room temperature for 0.5-hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[d] Report this value in the JMF submittal.

For stability and air voids, prepare 3 briquettes at the OBC and test for compliance. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 8 points. The average air void content may vary from the specified air void content by ±0.5 percent.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use the same briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

**39-1.03C Job Mix Formula Submittal**

Each JMF submittal must consist of:

1. Proposed JMF on Form CEM-3511
2. Mix design documentation on Form CEM-3512 dated within 12 months of submittal
3. JMF verification on Form CEM-3513, if applicable
4. Materials Safety Data Sheets (MSDS) for:

   4.1. Asphalt binder
   4.2. Base asphalt binder used in asphalt rubber binder
   4.3. CRM and asphalt modifier used in asphalt rubber binder

4.4. Blended asphalt rubber binder mixture
4.5. Supplemental fine aggregate except fines from dust collectors
4.6. Antistrip additives

If the JMF must be verified or if the Engineer requests, submit samples of the following materials in labeled containers weighing no more than 22.5 kg each (notify the Engineer at least 2 business days before sampling materials):

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.
3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.
4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

**39-1.03D Job Mix Formula Review**

The Engineer reviews each mix design and proposed JMF within 5 business days from the complete JMF submittal. The review consists of reviewing the mix design procedures and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

**39-1.03E Job Mix Formula Verification**

If you cannot submit a Department-verified JMF on Form CEM-3513 dated within 12 months before HMA production, the Engineer verifies the JMF.

Based on your testing and production experience, you may submit on Form CEM-3511 an adjusted JMF before the Engineer's verification testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to ±0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

Test samples from the HMA plant to be used to determine possible JMF adjustments.

For HMA Type A, Type B, and RHMA-G, the Engineer verifies the JMF from samples taken from HMA produced by the plant to be used. The Engineer verifies each proposed JMF within 20 days of receiving verification samples. Verification is testing for compliance with the specifications for:

1. Aggregate quality
2. Aggregate gradation (JMF TV ± tolerance)
3. Asphalt binder content (JMF TV ± tolerance)
4. HMA quality specified in the table Hot Mix Asphalt Mix Design Requirements except:

   4.1. Air voids content (design value ± 2.0 percent)
   4.2. Voids filled with asphalt (report only if an adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC)
   4.3. Dust proportion (report only if an adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC)

If you request in writing, the Engineer verifies RHMA-G quality requirements within 3 business days of sampling.

In the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Sample RAP from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample from any of the following locations:

1. The plant
2. A truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

You may sample from a different project including a non-Department project if you make arrangements for the Engineer to be present during sampling.

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and use 1 part for your testing.

The Engineer prepares 3 briquettes from a single split sample. To verify the JMF for stability, the Engineer tests the 3 briquettes and reports the average of 3 tests. The Engineer prepares new briquettes if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

If the Engineer verifies the JMF, the Engineer provides you a Form CEM-3513.

If the Engineer's tests on plant-produced samples do not verify the JMF, the Engineer notifies you in writing and you must submit a new JMF submittal or submit an adjusted JMF based on your testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to ±0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

You may adjust the JMF only once due to a failed verification test. An adjusted JMF requires a new Form CEM-3511 and verification of a plant-produced sample.

The Engineer reverifies the JMF if HMA production has stopped for longer than 30 days and the verified JMF is older than 12 months.

For each HMA type and aggregate size specified, the Engineer verifies at the State's expense up to 2 proposed JMF including a JMF adjusted after verification failure. The Engineer deducts $3,000 from payments for each verification exceeding this limit. This deduction does not apply to verifications initiated by the Engineer or if a JMF expires while HMA production is stopped longer than 30 days.

**39-1.03F Job Mix Formula Renewal**

You may request a JMF renewal by submitting the following:

1. Proposed JMF on Form CEM-3511
2. A previously verified JMF documented on Form CEM-3513 dated within 12 months
3. Mix design documentation on Form CEM-3512 used for the previously verified JMF
4. Samples of aggregates, asphalt binder, and additives
5. Samples of the following materials obtained in the presence of the Engineer and placed in labeled containers weighing no more than 22.5 kg each:

    5.1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.

    5.2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.

    5.3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.

    5.4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

Notify the Engineer in writing at least 2 business days before sampling materials. Split samples into at least 2 parts. You must test 1 part and provide the Engineer a part. The Engineer retains samples until you provide test results for your part on Form CEM-3514.

The Engineer reviews each proposed JMF within 5 business days from the complete JMF submittal and test results. The review consists of reviewing the mix design procedures, test results from the split samples and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

The Engineer verifies the JMF under Section 39-1.03E, "Job Mix Formula Verification," except:

1. The Engineer verifies each proposed JMF within 30 days of receiving verification samples.
2. You may not adjust the JMF due to a failed verification.
3. For each HMA type and aggregate gradation specified, the Engineer verifies at the State's expense 1 proposed JMF.
4. If the Engineer verifies the JMF renewal, the Engineer provides you a Form CEM-3513.

### 39-1.03G Job Mix Formula Acceptance

You may start HMA production if:

1. The Engineer's review of the JMF shows compliance with the specifications.
2. The Department has verified the JMF within 12 months before HMA production.
3. The Engineer accepts the verified JMF.

## 39-1.04 CONTRACTOR QUALITY CONTROL

### 39-1.04A General

Establish, maintain, and change a quality control system to ensure materials and work comply with the specifications. Submit quality control test results to the Engineer within 3 days of a request except when QC / QA is specified.

You must identify the HMA sampling location in your Quality Control Plan. During production, take samples under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

### 39-1.04B Prepaving Conference

Meet with the Engineer at a prepaving conference at a mutually agreed time and place. Discuss methods of performing the production and paving work.

### 39-1.04C Asphalt Rubber Binder

Take asphalt rubber binder samples from the feed line connecting the asphalt rubber binder tank to the HMA plant. Sample and test asphalt rubber binder under Laboratory Procedure LP-11.

Test asphalt rubber binder for compliance with the viscosity specifications in Section 39-1.02, "Materials." During asphalt rubber binder production and HMA production using asphalt rubber binder, measure viscosity every hour with not less than 1 reading for each asphalt rubber binder batch. Log measurements with corresponding time and asphalt rubber binder temperature. Submit the log daily in writing.

Submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance." With the Certificate of Compliance, submit test results in writing for CRM and asphalt modifier with each truckload delivered to the HMA plant. A Certificate of Compliance for asphalt modifier must not represent more than 2250 kg. Use an AASHTO-certified laboratory for testing.

Sample and test gradation and wire and fabric content of CRM once per 4500 kg of scrap tire CRM and once per 1500 kg of high natural CRM. Sample and test scrap tire CRM and high natural CRM separately.

Submit certified weight slips in writing for the CRM and asphalt modifier furnished.

### 39-1.04D Aggregate

Determine the aggregate moisture content and RAP moisture content in continuous mixing plants at least twice a day during production and adjust the plant controller. Determine the RAP moisture content in batch mixing plants at least twice a day during production and adjust the plant controller.

### 39-1.04E Reclaimed Asphalt Pavement

Perform RAP quality control testing each day.

Sample RAP once daily and determine the RAP aggregate gradation under Laboratory Procedure LP-9 and submit the results to the Engineer in writing with the combined aggregate gradation.

### 39-1.04F Density Cores

For Standard and QC / QA projects, take 100-millimeter or 150-millimeter diameter cores at least once every 5 business days. Take 1 core for every 250 tonnes of HMA from random locations the Engineer designates. Take cores in the Engineer's presence and backfill and compact holes with material authorized by the Engineer. Before submitting a core to the Engineer, mark it with the core's location and place it in a protective container.

If a core is damaged, replace it with a core taken within 0.3 m longitudinally from the original core. Relocate any core located within 0.3 m of a rumble strip to 0.3 m transversely away from the rumble strip.

### 39-1.04G Briquettes

Prepare 3 briquettes for each stability and air voids determination. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 12 points.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use these briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

## 39-1.05 ENGINEER'S ACCEPTANCE

The Engineer's acceptance of HMA is specified in the sections for each HMA construction process.

The Engineer samples materials for testing under California Test 125 and the applicable test method except samples may be taken from:

1. The plant from:

    1.1. A truck
    1.2. An automatic sampling device

2. The mat behind the paver

Sampling must be independent of Contractor quality control, statistically-based, and random.

If you request, the Engineer splits samples and provides you with a part.

The Engineer accepts HMA based on:

1. Accepted JMF
2. Accepted QCP for Standard and QC / QA
3. Compliance with the HMA Acceptance tables
4. Acceptance of a lot for QC / QA
5. Visual inspection

The Engineer prepares 3 briquettes for each stability and air voids determination. The Engineer reports the average of 3 tests. The Engineer prepares new briquettes and test if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

## 39-1.06 DISPUTE RESOLUTION

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer in writing within 5 days of receiving a test result if you dispute the test result.

If you or the Engineer dispute each other's test results, submit written quality control test results and copies of paperwork including worksheets used to determine the disputed test results to the Engineer. An Independent Third Party (ITP) performs referee testing. Before the ITP participates in a dispute resolution, the ITP must be accredited under the Department's Independent Assurance Program. The ITP must be independent of the project. By mutual agreement, the ITP is chosen from:

1. A Department laboratory
2. A Department laboratory in a district or region not in the district or region the project is located
3. The Transportation Laboratory
4. A laboratory not currently employed by you or your HMA producer

If split quality control or acceptance samples are not available, the ITP uses any available material representing the disputed HMA for evaluation.

## 39-1.07 PRODUCTION START-UP EVALUATION

The Engineer evaluates HMA production and placement at production start-up.

Within the first 750 tonnes produced on the first day of HMA production, in the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Take RAP samples from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and keep 1 part.

For Standard and QC / QA projects, you and the Engineer must test the split samples and report test results in writing within 3 business days of sampling. If you proceed before receipt of the test results, the Engineer may consider the HMA placed to be represented by these test results.

For Standard and QC / QA projects, take 100-millimeter or 150-millimeter diameter first 750 tonnes on the first day of HMA production. For each density core, the Engineer reports the bulk specific gravity determined under California Test 308, Method A in addition to the percent of maximum theoretical density. You may test for in-place density at the density core locations and include them in your production tests for percent of maximum theoretical density.

## 39-1.08 PRODUCTION

### 39-1.08A General

Produce HMA in a batch mixing plant or a continuous mixing plant. Proportion aggregate by hot or cold feed control.

HMA plants must be Department-qualified. Before production, the HMA plant must have a current qualification under the Department's Materials Plant Quality Program.

During production, you may adjust:

1. Hot or cold feed proportion controls for virgin aggregate and RAP
2. The set point for asphalt binder content

### 39-1.08B Mixing

Mix HMA ingredients into a homogeneous mixture of coated aggregates.

Asphalt binder must be between 135 °C and 190 °C when mixed with aggregate.

Asphalt rubber binder must be between 177 °C and 218 °C when mixed with aggregate.

When mixed with asphalt binder, aggregate must not be more than 163 °C except aggregate for OGFC with unmodified asphalt binder must be not more than 135 °C. Aggregate temperature specifications do not apply when you use RAP.

HMA with or without RAP must not be more than 163 °C.

### 39-1.08C Asphalt Rubber Binder

Deliver scrap tire CRM and high natural CRM in separate bags.

Either proportion and mix asphalt binder, asphalt modifier, and CRM simultaneously or premix the asphalt binder and asphalt modifier before adding CRM. If you premix asphalt binder and asphalt modifier, mix them for at least 20 minutes. When you add CRM, the asphalt binder and asphalt modifier must be between 177 °C and 218 °C.

Do not use asphalt rubber binder during the first 45 minutes of the reaction period. During this period, the asphalt rubber binder mixture must be between 177 °C and the lower of 218 °C or 6 °C below the asphalt binder's flash point indicated in the MSDS.

If any asphalt rubber binder is not used within 4 hours after the reaction period, discontinue heating. If the asphalt rubber binder drops below 177 °C, reheat before use. If you add more scrap tire CRM to the reheated asphalt rubber binder, the binder must undergo a 45-minute reaction period. The added scrap tire CRM must not exceed 10 percent of the total asphalt rubber binder mass. Reheated and reacted asphalt rubber binder must comply with the viscosity specifications for asphalt rubber binder in Section 39-1.02, "Materials." Do not reheat asphalt rubber binder more than twice.

## 39-1.09 SUBGRADE, TACK COAT, AND GEOSYNTHETIC PAVEMENT INTERLAYER

### 39-1.09A General

Prepare subgrade or apply tack coat to surfaces receiving HMA. If specified, place geosynthetic pavement interlayer over a coat of asphalt binder.

### 39-1.09B Subgrade

Subgrade to receive HMA must comply with the compaction and elevation tolerance specifications in the sections for the material involved. Subgrade must be free of loose and extraneous material. If HMA is paved on existing base or pavement, remove loose paving particles, dirt, and other extraneous material by any means including flushing and sweeping.

### 39-1.09C Tack Coat

Apply tack coat:

1. To existing pavement including planed surfaces
2. Between HMA layers
3. To vertical surfaces of:

    3.1. Curbs
    3.2. Gutters
    3.3. Construction joints

Before placing HMA, apply tack coat in 1 application at the minimum residual rate specified for the condition of the underlying surface:

**Tack Coat Application Rates for HMA Type A, Type B, and RHMA-G**

| HMA over: | Minimum Residual Rates (liters per square meter) | | |
|---|---|---|---|
| | CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion | CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion | Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion |
| New HMA (between layers) | 0.09 | 0.14 | 0.09 |
| Existing AC and PCC pavement | 0.14 | 0.18 | 0.14 |
| Planed pavement | 0.23 | 0.27 | 0.18 |

**Tack Coat Application Rates for OGFC**

| OGFC over: | Minimum Residual Rates (liters per square meter) | | |
|---|---|---|---|
| | CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion | CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion | Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion |
| New HMA | 0.14 | 0.18 | 0.14 |
| Existing AC and PCC pavement | 0.23 | 0.27 | 0.18 |
| Planed pavement | 0.27 | 0.32 | 0.23 |

If you dilute asphaltic emulsion, mix until homogeneous before application.

Apply to vertical surfaces with a residual tack coat rate that will thoroughly coat the vertical face without running off.

If you request in writing and the Engineer authorizes, you may:

1. Change tack coat rates
2. Omit tack coat between layers of new HMA during the same work shift if:

    2.1. No dust, dirt, or extraneous material is present
    2.2. The surface is at least 60 °C

Immediately in advance of placing HMA, apply additional tack coat to damaged areas or where loose or extraneous material is removed.

Close areas receiving tack coat to traffic. Do not track tack coat onto pavement surfaces beyond the job site.

Asphalt binder tack coat must be between 140 °C and 175 °C when applied.

**39-1.09D Geosynthetic Pavement Interlayer**

Place geosynthetic pavement interlayer in compliance with the manufacturer's recommendations.

Before placing the geosynthetic pavement interlayer and asphalt binder:

1. Repair cracks 6.5 mm and wider, spalls, and holes in the pavement. The State pays for this repair work under Section 4-1.03D, "Extra Work."
2. Clean the pavement of loose and extraneous material.

Immediately before placing the interlayer, apply 1.13 L ± 0.14 L of asphalt binder per square meter of interlayer or until the fabric is saturated. Apply asphalt binder the width of the geosynthetic pavement interlayer plus 75 mm on each side. At interlayer overlaps, apply asphalt binder on the lower interlayer the same overlap distance as the upper interlayer.

Align and place the interlayer with no overlapping wrinkles, except a wrinkle that overlaps may remain if it is less than 12.5 mm thick. If the overlapping wrinkle is more than 12.5 mm thick, cut the wrinkle out and overlap the interlayer no more than 50 mm.

The minimum HMA thickness over the interlayer must be 35 mm thick including conform tapers. Do not place the interlayer on a wet or frozen surface.

Overlap the interlayer borders between 50 mm and 100 mm. In the direction of paving, overlap the following roll with the preceding roll at any break.

You may use rolling equipment to correct distortions or wrinkles in the interlayer.

If asphalt binder tracked onto the interlayer or brought to the surface by construction equipment causes interlayer displacement, cover it with a small quantity of HMA.

Before placing HMA on the interlayer, do not expose the interlayer to:

1. Traffic except for crossings under traffic control and only after you place a small HMA quantity
2. Sharp turns from construction equipment
3. Damaging elements

Pave HMA on the interlayer during the same work shift.

### 39-1.10 Spreading And Compacting Equipment

Paving equipment for spreading must be:

1. Self-propelled
2. Mechanical
3. Equipped with a screed or strike-off assembly that can distribute HMA the full width of a traffic lane
4. Equipped with a full-width compacting device
5. Equipped with automatic screed controls and sensing devices that control the thickness, longitudinal grade, and transverse screed slope

Install and maintain grade and slope references.

The screed must produce a uniform HMA surface texture without tearing, shoving, or gouging.

The paver must not leave marks such as ridges and indentations unless you can eliminate them by rolling.

Rollers must be equipped with a system that prevents HMA from sticking to the wheels. You may use a parting agent that does not damage the HMA or impede the bonding of layers.

In areas inaccessible to spreading and compacting equipment:

1. Spread the HMA by any means to obtain the specified lines, grades and cross sections.
2. Use a pneumatic tamper, plate compactor, or equivalent to achieve thorough compaction.

### 39-1.11 Transporting, Spreading, And Compacting

Do not pave HMA on a wet pavement or frozen surface.

You may deposit HMA in a windrow and load it in the paver if:

1. Paver is equipped with a hopper that automatically feeds the screed
2. Loading equipment can pick up the windrowed material and deposit it in the paver hopper without damaging base material
3. Activities for deposit, pick-up, loading, and paving are continuous
4. HMA temperature in the windrow does not fall below 127 °C

You may pave HMA in 1 or more layers on areas less than 1.5 m wide and outside the traveled way including shoulders. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture.

HMA handled, spread, or windrowed must not stain the finished surface of any improvement including pavement.

Do not use petroleum products such as kerosene or diesel fuel to release HMA from trucks, spreaders, or compactors.

HMA must be free of:

1. Segregation
2. Coarse or fine aggregate pockets
3. Hardened lumps

Longitudinal joints in the top layer must match specified lane edges. Alternate longitudinal joint offsets in lower layers at least 0.15 m from each side of the specified lane edges. You may request in writing other longitudinal joint placement patterns.

Until the adjoining through lane's top layer has been paved, do not pave the top layer of:

1. Shoulders
2. Tapers
3. Transitions
4. Road connections
5. Driveways
6. Curve widenings
7. Chain control lanes
8. Turnouts
9. Turn pockets

If the number of lanes change, pave each through lane's top layer before paving a tapering lane's top layer. Simultaneous to paving a through lane's top layer, you may pave an adjoining area's top layer including shoulders. Do not operate spreading equipment on any area's top layer until completing final compaction.

If HMA (leveling) is specified, fill and level irregularities and ruts with HMA before spreading HMA over base, existing surfaces, or bridge decks. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture. HMA used to change an existing surface's cross slope or profile is not HMA (leveling).

If placing HMA against the edge of existing pavement, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. If placing HMA against the edge of a longitudinal or transverse construction joint and the joint is damaged or not placed to a neat line, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. Repair or remove and replace damaged pavement at your expense.

Rolling must leave the completed surface compacted and smooth without tearing, cracking, or shoving. Complete finish rolling activities before the pavement surface temperature is:

1. Below 65 °C for HMA with unmodified binder
2. Below 60 °C for HMA with modified binder
3. Below 93 °C for RHMA-G

If a vibratory roller is used as a finish roller, turn the vibrator off.

Do not use a pneumatic tired roller to compact RHMA-G.

For Standard and QC/QA, if a 19-millimeter aggregate grading is specified, you may use a 12.5-millimeter aggregate grading if the specified paved thickness is from between 38 mm and 60 mm thick.

Spread and compact HMA under Section 39-3.03, "Spreading and Compacting Equipment," and Section 39-3.04, "Transporting, Spreading, and Compacting," for any of the following:

1. Specified paved thickness is less than 45 mm.
2. Specified paved thickness is less than 60 mm and a 19-millimeter aggregate grading is specified and used.
3. You spread and compact at:

    3.1. Asphalt concrete surfacing replacement areas
    3.2. Leveling courses
    3.3. Areas the Engineer determines conventional compaction and compaction measurement methods are impeded

Do not allow traffic on new HMA pavement until its mid-depth temperature is below 71 °C.

If you request in writing and the Engineer authorizes, you may cool HMA Type A and Type B with water when rolling activities are complete. Apply water under Section 17, "Watering."

Spread sand at a rate between 0.5 kg and 1 kg per square meter on new RHMA-G, RHMA-O, and RHMA-O-HB pavement when finish rolling is complete. Sand must be free of clay or organic matter. Sand must comply with Section 90-3.03, "Fine Aggregate Grading." Keep traffic off the pavement until spreading sand is complete.

## 39-1.12 SMOOTHNESS

### 39-1.12A General

Determine HMA smoothness with a profilograph and a straightedge.

Smoothness specifications do not apply to OGFC placed on existing pavement not constructed under the same project.

If portland cement concrete is placed on HMA:

1. Cold plane the HMA finished surface to within specified tolerances if it is higher than the grade specified by the Engineer.
2. Remove and replace HMA if the finished surface is lower than 15 mm below the grade specified by the Engineer.

**39-1.12B Straightedge**

The HMA pavement top layer must not vary from the lower edge of a 3.66-meter long straightedge:

1. More than 3 mm when the straight edge is laid parallel with the centerline
2. More than 6 mm when the straightedge is laid perpendicular to the centerline and extends from edge to edge of a traffic lane
3. More than 6 mm when the straightedge is laid within 7.3 m of a pavement conform

**39-1.12C Profilograph**

Under California Test 526, determine the zero (null) blanking band Profile Index ($PI_0$) and must-grinds on the top layer of HMA Type A, Type B, and RHMA-G pavement. Take 2 profiles within each traffic lane, 1 m from and parallel with the edge of each lane.

A must-grind is a deviation of 7.5 mm or more in a length of 7.5 m. You must correct must-grinds.

For OGFC, only determine must-grinds when placed over HMA constructed under the same project. The top layer of the underlying HMA must comply with the smoothness specifications before placing OGFC.

Profile pavement in the Engineer's presence. Choose the time of profiling.

On tangents and horizontal curves with a centerline radius of curvature 600 m or more, the $PI_0$ must be at most 75 mm per 160-meter section.

On horizontal curves with a centerline radius of curvature between 300 m and 600 m including pavement within the superelevation transitions, the $PI_0$ must be at most 150 mm per 160-meter section.

Before the Engineer accepts HMA pavement for smoothness, submit written final profilograms.

Submit 1 electronic copy of profile information in Microsoft Excel and 1 electronic copy of longitudinal pavement profiles in ".erd" format or other ProVAL compatible format to the Engineer and to:

Smoothness@dot.ca.gov

The following HMA pavement areas do not require a $PI_0$. You must measure these areas with a 3.6-meter straightedge and determine must-grinds with a profilograph:

1. New HMA with a total thickness less than or equal to 75 mm
2. HMA sections of city or county streets and roads, turn lanes and collector lanes that are less than 460 m in length

The following HMA pavement areas do not require a $PI_0$. You must measure these areas with a 3.6-meter straightedge:

1. Horizontal curves with a centerline radius of curvature less than 300 m including pavement within the superelevation transitions of those curves
2. Within 3.66 m of a transverse joint separating the pavement from:

    2.1. Existing pavement not constructed under the same project
    2.2. A bridge deck or approach slab

3. Exit ramp termini, truck weigh stations, and weigh-in-motion areas
4. If steep grades and superelevation rates greater than 6 percent are present on:

    4.1. Ramps
    4.2. Connectors

5. Turn lanes
6. Areas within 5 m of manholes or drainage transitions
7. Acceleration and deceleration lanes for at-grade intersections
8. Shoulders and miscellaneous areas

9. HMA pavement within 1 m from and parallel to the construction joints formed between curbs, gutters, or existing pavement

### 39-1.12D Smoothness Correction

If the top layer of HMA Type A, Type B, or RHMA-G pavement does not comply with the smoothness specifications, grind the pavement to within tolerances, remove and replace it, or place a layer of HMA. The Engineer must authorize your choice of correction before the work begins.

Remove and replace the areas of OGFC not in compliance with the must-grind and straightedge specifications, except you may grind OGFC for correcting smoothness:

1. At a transverse joint separating the pavement from pavement not constructed under the same project
2. Within 3.66 m of a transverse joint separating the pavement from a bridge deck or approach slab

Corrected HMA pavement areas must be uniform rectangles with edges:

1. Parallel to the nearest HMA pavement edge or lane line
2. Perpendicular to the pavement centerline

Measure the corrected HMA pavement surface with a profilograph and a 3.66-meter straightedge and correct the pavement to within specified tolerances. If a must-grind area or straightedged pavement cannot be corrected to within specified tolerances, remove and replace the pavement.

On ground areas not overlaid with OGFC, apply fog seal coat under Section 37-1, "Seal Coats."

## 39-1.13 MISCELLANEOUS AREAS AND DIKES

Miscellaneous areas are outside the traveled way and include:

1. Median areas not including inside shoulders
2. Island areas
3. Sidewalks
4. Gutters
5. Gutter flares
6. Ditches
7. Overside drains
8. Aprons at the ends of drainage structures

Spread miscellaneous areas in 1 layer and compact to the specified lines and grades.

For miscellaneous areas and dikes:

1. Do not submit a JMF.
2. Choose the 9.5-millimeter or 12.5-millimeter HMA Type A and Type B aggregate gradations.
3. Minimum asphalt binder content must be 6.8 percent for 9.5-millimeter aggregate and 6.0 percent for 12.5-millimeter aggregate. If you request in writing and the Engineer authorizes, you may reduce the minimum asphalt binder content.
4. Choose asphalt binder Grade PG 70-10 or the same grade specified for HMA.

## 39-1.14 RUMBLE STRIP

Construct rumble strips by rolling or grinding indentations in the top layer of new HMA surfacing.

Select the method and equipment for constructing ground-in indentations.

Do not construct rumble strips on structures or approach slabs.

Construct rumble strips within 50 mm of the specified alignment. Roller or grinding equipment must be equipped with a sighting device enabling the operator to maintain the rumble strip alignment.

Rolled-in indentations must not vary from the specified dimensions by more than 10 percent.

Ground-in indentations must comply with the specified dimensions within 1.5 mm in depth or 10 percent in length and width.

The Engineer orders grinding or removal and replacement of noncompliant rumble strips to bring them within specified tolerances. Ground surface areas must be neat and uniform in appearance.

The grinding equipment must be equipped with a vacuum attachment to remove residue.

Dispose of removed material under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way."
On ground areas, apply fog seal coat under Section 37-1, "Seal Coats."

## 39-2 STANDARD

### 39-2.01 DESCRIPTION

If HMA is specified as Standard, construct it under Section 39-1, "General," this Section 39-2, "Standard," and Section 39-5, "Measurement and Payment."

### 39-2.02 CONTRACTOR QUALITY CONTROL

#### 39-2.02A Quality Control Plan

Establish, implement, and maintain a Quality Control Plan (QCP) for HMA. The QCP must describe the organization and procedures you will use to:

1. Control the quality characteristics
2. Determine when corrective actions are needed (action limits)
3. Implement corrective actions

When you submit the proposed JMF, submit the written QCP. You and the Engineer must discuss the QCP during the prepaving conference.

The QCP must address the elements affecting HMA quality including:

1. Aggregate
2. Asphalt binder
3. Additives
4. Production
5. Paving

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

#### 39-2.02B Quality Control Testing

Perform sampling and testing at the specified frequency for the following quality characteristics:

**Minimum Quality Control – Standard**

| Quality Characteristic | Test Method | Minimum Sampling and Testing Frequency | HMA Type | | | |
|---|---|---|---|---|---|---|
| | | | A | B | RHMA-G | OGFC |
| Aggregate gradation[a] | CT 202 | 1 per 750 tonnes and any remaining part | JMF ± Tolerance [b] | JMF ± Tolerance [b] | JMF ± Tolerance [b] | JMF ± Tolerance [b] |
| Sand equivalent (min.) [c] | CT 217 | | 47 | 42 | 47 | -- |
| Asphalt binder content (%) | CT 379 or 382 | | JMF ± 0.45 | JMF ± 0.45 | JMF ± 0.50 | JMF ± 0.50 |
| HMA moisture content (%, max.) | CT 226 or CT 370 | 1 per 2500 tonnes but not less than 1 per paving day | 1.0 | 1.0 | 1.0 | 1.0 |
| Percent of maximum theoretical density (%) [d, e] | Quality control plan | 2 per business day (min.) | 91 - 97 | 91 - 97 | 91 - 97 | -- |
| Stabilometer value (min.) [c, f]<br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings | CT 366 | One per 4000 tonnes or 2 per 5 business days, which-ever is more | <br>30<br>37 | <br>30<br>35 | <br>--<br>23 | <br>--<br>-- |
| Air voids content (%) [c, g] | CT 367 | | 4 ± 2 | 4 ± 2 | Specification ± 2 | -- |
| Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants [h] | CT 226 or CT 370 | 2 per day during production | -- | -- | -- | -- |
| Percent of crushed particles coarse aggregate (%, min.)<br>One fractured face<br>Two fractured faces<br>Fine aggregate (%, min)<br>(Passing 4.75-mm sieve and retained on 2.36-mm sieve.)<br>One fractured face | CT 205 | As necessary and designat-ed in the QCP. At least once per project | <br>90<br>75<br><br><br>70 | <br>25<br>--<br><br><br>20 | <br>--<br>90<br><br><br>70 | <br>90<br>75<br><br><br>90 |
| Los Angeles Rattler (%, max.)<br>Loss at 100 rev.<br>Loss at 500 rev. | CT 211 | | <br>12<br>45 | <br>--<br>50 | <br>12<br>40 | <br>12<br>40 |

| | | | | | | |
|---|---|---|---|---|---|---|
| Flat and elongated particles (%, max. by mass @ 5:1) | ASTM D 4791 | | Report only | Report only | Report only | Report only |
| Fine aggregate angularity (%, min.) | AASHTO T 304, Method A | | 45 | 45 | 45 | -- |
| Voids filled with asphalt (%) [i]<br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | LP-3 | | <br><br>76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | <br><br>76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | Report only | -- |
| Voids in mineral aggregate (% min.) [i]<br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | LP-2 | | <br><br>17.0<br>15.0<br>14.0<br>13.0 | <br><br>17.0<br>15.0<br>14.0<br>13.0 | <br><br>--<br>--<br>18.0 – 23.0 [j]<br>18.0 – 23.0 [j] | -- |
| Dust proportion [i]<br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings | LP-4 | | <br><br>0.9 – 2.0<br><br>0.6 – 1.3 | <br><br>0.9 – 2.0<br><br>0.6 – 1.3 | Report only | -- |
| Smoothness | Section 39-1.12 | -- | 3.66-m straightedge, must-grind, and $PI_0$ | 3.66-m straightedge, must-grind, and $PI_0$ | 3.66-m straightedge, must-grind, and $PI_0$ | 3.66-m straightedge and must-grind |
| Asphalt rubber binder viscosity @ 177 °C, centipoises | Section 39-1.02D | Section 39-1.04C | -- | -- | 1500 – 4000 | 1500 – 4000 |
| Asphalt modifier | Section 39-1.02D | Section 39-1.04C | -- | -- | Section 39-1.02D | Section 39-1.02D |
| Crumb rubber modifier | Section 39-1.02D | Section 39-1.04C | -- | -- | Section 39-1.02D | Section 39-1.02D |

Notes:
[a] Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] Report the average of 3 tests from a single split sample.
[d] Required for HMA Type A, Type B, and RHMA-G if the specified paved thickness is at least 45 mm.
[e] Determine maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[f] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[g] Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[h] For adjusting the plant controller at the HMA plant.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

For any single quality characteristic except smoothness, if 2 consecutive quality control test results do not comply with the action limits or specifications:

1. Stop production.
2. Notify the Engineer in writing.
3. Take corrective action.

4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

## 39-2.03 ENGINEER'S ACCEPTANCE

### 39-2.03A Testing

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance - Standard**

| Quality Characteristic | Test Method | HMA Type | | | |
|---|---|---|---|---|---|
| | | A | B | RHMA-G | OGFC |
| Aggregate gradation [a] | CT 202 | JMF ± Tolerance [c] | JMF ± Tolerance [c] | JMF ± Tolerance [c] | JMF ± Tolerance [c] |
| Sieve / 19-mm / 12.5-mm / 9.5-mm | | | | | |
| 12.5-mm / X [b] / | | | | | |
| 9.5-mm / | X / | | | | |
| 2.36-mm / X / X / X | | | | | |
| 0.075-mm / X / X / X | | | | | |
| Sand equivalent (min.) [d] | CT 217 | 47 | 42 | 47 | -- |
| Asphalt binder content (%) | CT 379 or 382 | JMF ± 0.45 | JMF ± 0.45 | JMF ± 0.5 | JMF ± 0.50 |
| HMA moisture content (%, max.) | CT 226 or CT 370 | 1.0 | 1.0 | 1.0 | 1.0 |
| Percent of maximum theoretical density (%) [e, f] | CT 375 | 91 – 97 | 91 – 97 | 91 – 97 | -- |
| Stabilometer value (min.) [d, g] | CT 366 | | | | |
| 4.75-mm and 9.5-mm gradings | | 30 | 30 | -- | -- |
| 12.5-mm and 19-mm gradings | | 37 | 35 | 23 | -- |
| Air voids content (%) [d, h] | CT 367 | 4 ± 2 | 4 ± 2 | Specification ± 2 | -- |
| Percent of crushed particles | CT 205 | | | | |
| Coarse aggregate (%, min.) | | | | | |
| One fractured face | | 90 | 25 | -- | 90 |
| Two fractured faces | | 75 | -- | 90 | 75 |
| Fine aggregate (%, min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) | | | | | |
| One fractured face | | 70 | 20 | 70 | 90 |
| Percent of crushed particles | CT 205 | | | | |
| Coarse aggregate (%, min.) | | | | | |
| One fractured face | | 90 | 25 | -- | 90 |
| Two fractured faces | | 75 | -- | 90 | 75 |
| Los Angeles Rattler (%, max.) | CT 211 | | | | |
| Loss at 100 rev. | | 12 | -- | 12 | 12 |
| Loss at 500 rev. | | 45 | 50 | 40 | 40 |
| Fine aggregate angularity (%, min.) | AASHTO T 304, Method A | 45 | 45 | 45 | -- |
| Flat and elongated particles (%, max. by mass @ 5:1) | ASTM D 4791 | Report only | Report only | Report only | Report only |
| Voids filled with asphalt (%) [i] | LP-3 | | | | |
| 4.75-mm grading | | 76.0 – 80.0 | 76.0 – 80.0 | Report only | |
| 9.5-mm grading | | 73.0 – 76.0 | 73.0 – 76.0 | | -- |
| 12.5-mm grading | | 65.0 – 75.0 | 65.0 – 75.0 | | |
| 19-mm grading | | 65.0 – 75.0 | 65.0 – 75.0 | | |
| Voids in mineral aggregate (% min.) [i] | LP-2 | | | | |
| 4.75-mm grading | | 17.0 | 17.0 | -- | -- |
| 9.5-mm grading | | 15.0 | 15.0 | -- | |
| 12.5-mm grading | | 14.0 | 14.0 | 18.0 – 23.0 [j] | |
| 19-mm grading | | 13.0 | 13.0 | 18.0 – 23.0 [j] | |
| Dust proportion [i] 4.75-mm and 9.5-mm | LP-4 | | | | -- |

| | | | | | |
|---|---|---|---|---|---|
| gradings<br>12.5-mm and 19-mm gradings | | 0.9 – 2.0<br><br>0.6 – 1.3 | 0.9 – 2.0<br><br>0.6 – 1.3 | Report only | |
| Smoothness | Section 39-1.12 | 3.66-m straightedge, must-grind, and $PI_0$ | 3.66-m straightedge, must-grind, and $PI_0$ | 3.66-m straightedge, must-grind, and $PI_0$ | 3.66-m straightedge and must-grind |
| Asphalt binder | Various | Section 92 | Section 92 | Section 92 | Section 92 |
| Asphalt rubber binder | Various | -- | -- | Section 92-1.02(C) and Section 39-1.02D | Section 92-1.02(C) and Section 39-1.02D |
| Asphalt modifier | Various | -- | -- | Section 39-1.02D | Section 39-1.02D |
| Crumb rubber modifier | Various | -- | -- | Section 39-1.02D | Section 39-1.02D |

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] "X" denotes the sieves the Engineer considers for the specified aggregate gradation.
[c] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[d] The Engineer reports the average of 3 tests from a single split sample.
[e] The Engineer determines percent of maximum theoretical density if the specified paved thickness is at least 45-mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

[f] The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[h] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

The Engineer tests the density core you take from each 250 tonnes of HMA production. The Engineer determines the percent of maximum theoretical density for each density core by determining the density core's density and dividing by the maximum theoretical density.

If the specified total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness.

For percent of maximum theoretical density, the Engineer determines a deduction for each test result outside the specifications in compliance with:

**Reduced Payment Factors for Percent of Maximum Theoretical Density**

| HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density | Reduced Payment Factor | HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density | Reduced Payment Factor |
|---|---|---|---|
| 91.0 | 0.0000 | 97.0 | 0.0000 |
| 90.9 | 0.0125 | 97.1 | 0.0125 |
| 90.8 | 0.0250 | 97.2 | 0.0250 |
| 90.7 | 0.0375 | 97.3 | 0.0375 |
| 90.6 | 0.0500 | 97.4 | 0.0500 |
| 90.5 | 0.0625 | 97.5 | 0.0625 |
| 90.4 | 0.0750 | 97.6 | 0.0750 |
| 90.3 | 0.0875 | 97.7 | 0.0875 |
| 90.2 | 0.1000 | 97.8 | 0.1000 |
| 90.1 | 0.1125 | 97.9 | 0.1125 |
| 90.0 | 0.1250 | 98.0 | 0.1250 |
| 89.9 | 0.1375 | 98.1 | 0.1375 |
| 89.8 | 0.1500 | 98.2 | 0.1500 |
| 89.7 | 0.1625 | 98.3 | 0.1625 |
| 89.6 | 0.1750 | 98.4 | 0.1750 |
| 89.5 | 0.1875 | 98.5 | 0.1875 |
| 89.4 | 0.2000 | 98.6 | 0.2000 |
| 89.3 | 0.2125 | 98.7 | 0.2125 |
| 89.2 | 0.2250 | 98.8 | 0.2250 |
| 89.1 | 0.2375 | 98.9 | 0.2375 |
| 89.0 | 0.2500 | 99.0 | 0.2500 |
| < 89.0 | Remove and Replace | > 99.0 | Remove and Replace |

### 39-2.04 TRANSPORTING, SPREADING, AND COMPACTING

Determine the number of rollers needed to obtain the specified density and surface finish.

## 39-3 METHOD

### 39-3.01 DESCRIPTION

If HMA is specified as Method, construct it under Section 39-1, "General," this Section 39-3, "Method," and Section 39-5, "Measurement and Payment."

### 39-3.02 ENGINEER'S ACCEPTANCE

#### 39-3.02A Testing

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance - Method**

| Quality Characteristic | Test Method | HMA Type | | | |
|---|---|---|---|---|---|
| | | A | B | RHMA-G | OGFC |
| Aggregate gradation [a] | CT 202 | JMF ± Tolerance [b] | JMF ± Tolerance [b] | JMF ± Tolerance [b] | JMF ± Tolerance [b] |
| Sand equivalent (min.) [c] | CT 217 | 47 | 42 | 47 | -- |
| Asphalt binder content (%) | CT 379 or 382 | JMF ± 0.45 | JMF ± 0.45 | JMF ± 0.5 | JMF ± 0.50 |
| HMA moisture content (%, max.) | CT 226 or CT 370 | 1.0 | 1.0 | 1.0 | 1.0 |
| Stabilometer value (min.) [c, d] | CT 366 | | | | |
| 4.75-mm and 9.5-mm gradings | | 30 | 30 | -- | -- |
| 12.5-mm and 19-mm gradings | | 37 | 35 | 23 | -- |
| Percent of crushed particles | CT 205 | | | | |
| Coarse aggregate (% min.) | | | | | |
| One fractured face | | 90 | 25 | -- | 90 |
| Two fractured faces | | 75 | -- | 90 | 75 |
| Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) | | | | | |
| One fractured face | | 70 | 20 | 70 | 90 |
| Los Angeles Rattler (% max.) | CT 211 | | | | |
| Loss at 100 rev. | | 12 | -- | 12 | 12 |
| Loss at 500 rev. | | 45 | 50 | 40 | 40 |
| Air voids content (%) [c, e] | CT 367 | 4 ± 2 | 4 ± 2 | Specification ± 2 | -- |
| Fine aggregate angularity (% min.) | AASHTO T 304, Method A | 45 | 45 | 45 | -- |
| Flat and elongated particles (% max. by mass @ 5:1) | ASTM D 4791 | Report only | Report only | Report only | Report only |
| Voids filled with asphalt (%) [f] | LP-3 | | | Report only | |
| 4.75-mm grading | | 76.0 – 80.0 | 76.0 – 80.0 | | -- |
| 9.5-mm grading | | 73.0 – 76.0 | 73.0 – 76.0 | | |
| 12.5-mm grading | | 65.0 – 75.0 | 65.0 – 75.0 | | |
| 19-mm grading | | 65.0 – 75.0 | 65.0 – 75.0 | | |
| Voids in mineral aggregate (% min.) [f] | LP-2 | | | | |
| 4.75-mm grading | | 17.0 | 17.0 | -- | -- |
| 9.5-mm grading | | 15.0 | 15.0 | -- | |
| 12.5-mm grading | | 14.0 | 14.0 | 18.0 – 23.0 [g] | |
| 19-mm grading | | 13.0 | 13.0 | 18.0 – 23.0 [g] | |
| Dust proportion [f] | LP-4 | | | Report only | |
| 4.75-mm and 9.5-mm gradings | | 0.9 – 2.0 | 0.9 – 2.0 | | -- |
| 12.5-mm and 19-mm gradings | | 0.6 – 1.3 | 0.6 – 1.3 | | |
| Smoothness | Section 39-1.12 | 3.66-m straightedge and must-grind | 3.66-m straightedge and must-grind | 3.66-m straightedge and must-grind | 3.66-m straightedge and must-grind |

| | | | | | |
|---|---|---|---|---|---|
| Asphalt binder | Various | Section 92 | Section 92 | Section 92 | Section 92 |
| Asphalt rubber binder | Various | -- | -- | Section 92-1.02(C) and Section 39-1.02D | Section 92-1.02(C) and Section 39-1.02D |
| Asphalt modifier | Various | -- | -- | Section 39-1.02D | Section 39-1.02D |
| Crumb rubber modifier | Various | -- | -- | Section 39-1.02D | Section 39-1.02D |

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] The Engineer reports the average of 3 tests from a single split sample.
[d] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[e] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[f] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[g] Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### 39-3.03 SPREADING AND COMPACTING EQUIPMENT

Each paver spreading HMA Type A and Type B must be followed by 3 rollers:

1. One vibratory roller specifically designed to compact HMA. The roller must be capable of at least 2500 vibrations per minute and must be equipped with amplitude and frequency controls. The roller's gross static mass must be at least 6.8 tonnes.
2. One oscillating type pneumatic-tired roller at least 1.2 m wide. Pneumatic tires must be of equal size, diameter, type, and ply. The tires must be inflated to 415 kilopascals minimum and maintained so that the air pressure does not vary more than 35 kilopascals.
3. One steel-tired, 2-axle tandem roller. The roller's gross static mass must be at least 6.8 tonnes.

Each roller must have a separate operator. Rollers must be self-propelled and reversible.

Compact RHMA-G under the specifications for compacting HMA Type A and Type B except do not use pneumatic-tired rollers.

Compact OGFC with steel-tired, 2-axle tandem rollers. If placing over 275 tonnes of OGFC per hour, use at least 3 rollers for each paver. If placing less than 275 tonnes of OGFC per hour, use at least 2 rollers for each paver. Each roller must weigh between 2250 kilograms to 3075 kilograms per linear meter of drum width. Turn the vibrator off.

### 39-3.04 TRANSPORTING, SPREADING, AND COMPACTING

Pave HMA in maximum 75-millimeter thick compacted layers.

If the surface to be paved is both in sunlight and shade, pavement surface temperatures are taken in the shade.

Spread HMA Type A and Type B only if atmospheric and surface temperatures are:

**Minimum Atmospheric and Surface Temperatures**

| Compacted Layer Thickness, mm | | | | |
|---|---|---|---|---|
| | Unmodified Asphalt Binder | Modified Asphalt Binder[a] | Unmodified Asphalt Binder | Modified Asphalt Binder [a] |
| < 45 | 12.8 | 10 | 15.6 | 12.8 |
| 45 – 75 | 7.2 | 7.2 | 10 | 10 |

Note:
[a] Except asphalt rubber binder.

If the asphalt binder for HMA Type A and Type B is:

1. Unmodified asphalt binder, complete:

   1.1. First coverage of breakdown compaction before the surface temperature drops below 120 °C
   1.2. Breakdown and intermediate compaction before the surface temperature drops below 95 °C
   1.3. Finish compaction before the surface temperature drops below 65 °C

2. Modified asphalt binder, complete:

   2.1. First coverage of breakdown compaction before the surface temperature drops below 115 °C
   2.2. Breakdown and intermediate compaction before the surface temperature drops below 85 °C
   2.3. Finish compaction before the surface temperature drops below 60 °C

For RHMA-G:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete the first coverage of breakdown compaction before the surface temperature drops below 140 °C.
3. Complete breakdown and intermediate compaction before the surface temperature drops below 120 °C.
4. Complete finish compaction before the surface temperature drops below 95 °C.
5. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with unmodified asphalt binder:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 95 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with modified asphalt binder except asphalt rubber binder:

1. Only spread and compact if the atmospheric temperature is at least 10 °C and the surface temperature is at least 10 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 85 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For RHMA-O and RHMA-O-HB:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and surface temperature is at least 15.6 °C.
2 Complete the 1st coverage using 2 rollers before the surface temperature drops below 140 °C.

3. Complete compaction before the surface temperature drops below 120 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until the mixture is transferred to the paver's hopper or to the pavement surface.

For RHMA-G and OGFC, tarpaulins are not required if the time from discharge to truck until transfer to the paver's hopper or the pavement surface is less than 30 minutes.

HMA compaction coverage is the number of passes needed to cover the paving width. A pass is 1 roller's movement parallel to the paving in either direction. Overlapping passes are part of the coverage being made and are not a subsequent coverage. Do not start a coverage until completing the prior coverage.

Start rolling at the lower edge and progress toward the highest part.

Perform breakdown compaction of each layer of HMA Type A, Type B, and RHMA-G with 3 coverages using a vibratory roller. The speed of the vibratory roller in kilometers per hour must not exceed the vibrations per minute divided by 1600. If the HMA layer thickness is less than 25 mm, turn the vibrator off. The Engineer may order fewer coverages if the HMA layer thickness is less than 45 mm.

Perform intermediate compaction of each layer of HMA Type A and Type B with 3 coverages using a pneumatic-tired roller at a speed not to exceed 8 kilometers per hour.

Perform finish compaction of HMA Type A, Type B, and RHMA-G with 1 coverage using a steel-tired roller.

Compact OGFC with 2 coverages using steel-tired rollers.

## 39-4 QUALITY CONTROL / QUALITY ASSURANCE

### 39-4.01 DESCRIPTION

If HMA is specified as Quality Control / Quality Assurance, construct it under Section 39-1, "General," this Section 39-4, "Quality Control / Quality Assurance," and Section 39-5, "Measurement and Payment."

### 39-4.02 GENERAL

The QC / QA construction process consists of:

1. Establishing, maintaining, and changing if needed a quality control system providing assurance the HMA complies with the specifications
2. Sampling and testing at specified intervals, or sublots, to demonstrate compliance and to control process
3. The Engineer sampling and testing at specified intervals to verify testing process and HMA quality
4. The Engineer using test results, statistical evaluation of verified quality control tests, and inspection to accept HMA for payment

A lot is a quantity of HMA. The Engineer designates a new lot when:

1. 20 sublots are complete
2. The JMF changes
3. Production stops for more than 30 days

Each lot consists of no more than 20 sublots. A sublot is 750 tonnes except HMA paved at day's end greater than 250 tonnes is a sublot. If HMA paved at day's end is less than 250 tonnes, you may either make this quantity a sublot or include it in the previous sublot's test results for statistical evaluation.

### 39-4.03 CONTRACTOR QUALITY CONTROL

#### 39-4.03A General

Use a composite quality factor, $QF_C$, and individual quality factors, $QF_{QCi}$, to control your process and evaluate your quality control program. For quality characteristics without quality factors, use your quality control plan's action limits to control process.

Control HMA quality including:

1. Materials
2. Proportioning
3. Spreading and compacting
4. Finished roadway surface

Develop, implement, and maintain a quality control program that includes:

1. Inspection
2. Sampling
3. Testing

**39-4.03B Quality Control Plan**

With the JMF submittal, submit a written Quality Control Plan (QCP). The QCP must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement. Discuss the QCP with the Engineer during the prepaving conference.

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

The QCP must include the name and qualifications of a Quality Control Manager. The Quality Control Manager administers the QCP and during paving must be at the job site within 3 hours of receiving notice. The Quality Control Manager must not be any of the following on the project:

1. Foreman
2. Production or paving crewmember
3. Inspector
4. Tester

The QCP must include action limits and details of corrective action you will take if a test result for any quality characteristic falls outside an action limit.

As work progresses, you must submit a written QCP supplement to change quality control procedures, personnel, tester qualification status, or laboratory accreditation status.

**39-4.03C Quality Control Inspection, Sampling, And Testing**

Sample, test, inspect, and manage HMA quality control.

Provide a roadway inspector while HMA paving activities are in progress. Provide a plant inspector during HMA production.

Inspectors must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement.

Provide a testing laboratory and personnel for quality control testing. Provide the Engineer unrestricted access to the quality control activities. Before providing services for the project, the Engineer reviews, accredits, and qualifies the testing laboratory and personnel under the Department's Independent Assurance Program.

The minimum random sampling and testing for quality control is:

**Minimum Quality Control – QC / QA**

| Quality Characteristic | Test Method | Minimum Sampling and Testing Frequency | HMA Type | | | Location of Sampling | Max. Reporting Time Allowance |
|---|---|---|---|---|---|---|---|
| | | | A | B | RHMA-G | | |
| Aggregate gradation [a] | CT 202 | 1 per 750 tonnes | JMF ± Tolerance [b] | JMF ± Tolerance [b] | JMF ± Tolerance [b] | CT 125 | 24 hours |
| Asphalt binder content (%) | CT 379 or 382 | | JMF ±0.45 | JMF ±0.45 | JMF ±0.5 | Loose Mix Behind Paver See CT 125 | |
| Percent of maximum theoretical density (%) [c, d] | QC Plan | | 92 - 96 | 92 - 96 | 91 - 96 | QC Plan | |
| Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants [e] | CT 226 or CT 370 | 2 per day during production | -- | -- | -- | Stockpiles or cold feed belts | -- |
| Sand equivalent (min.) [f] | CT 217 | 1 per 750 tonnes | 47 | 42 | 47 | CT 125 | 24 hours |
| HMA moisture content (%,max.) | CT 226 or CT 370 | 1 per 2500 tonnes but not less than 1 per paving day | 1.0 | 1.0 | 1.0 | Loose Mix Behind Paver See CT 125 | 24 hours |
| Stabilometer Value (min.) [f, g]<br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings | CT 366 | 1 per 4000 tonnes or 2 per 5 business days, whichever is more | 30<br>37 | 30<br>35 | --<br>23 | | 48 hours |
| Air voids content (%)[f, h] | CT 367 | | 4 ± 2 | 4 ± 2 | Specification ± 2 | | |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Percent of crushed particles coarse aggregate (% min.)<br>One fractured face<br>Two fractured faces<br>Fine aggregate (% min)<br>(Passing 4.75-mm sieve and retained on 2.36-mm sieve.)<br>One fractured face | CT 205 | As neces-sary and designat-ed in QCP. At least once per project. | 90<br>75<br>70 | 25<br>--<br>20 | --<br>90<br>70 | CT 125 | 48 hours |
| Los Angeles Rattler (% max.)<br>Loss at 100 rev.<br>Loss at 500 rev. | CT 211 | | 12<br>45 | --<br>50 | 12<br>40 | CT 125 | |
| Fine aggregate angularity (% min.) | AASHTO T 304, Method A | | 45 | 45 | 45 | CT 125 | |
| Flat and elongated particle (% max. by mass @ 5:1) | ASTM D 4791 | | Report only | Report only | Report only | CT 125 | |
| Voids filled with asphalt (%) [i]<br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | LP-3 | | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | 76.0 – 80.0<br>73.0 – 76.0<br>65.0 – 75.0<br>65.0 – 75.0 | Report only | LP-3 | |
| Voids in mineral aggregate (% min.) [i]<br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | LP-2 | | 17.0<br>15.0<br>14.0<br>13.0 | 17.0<br>15.0<br>14.0<br>13.0 | --<br>--<br>18.0 – 23.0 [j]<br>18.0 – 23.0 [j] | LP-2 | |
| Dust proportion [i]<br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings | LP-4 | | 0.9 – 2.0<br>0.6 – 1.3 | 0.9 – 2.0<br>0.6 – 1.3 | Report only | LP-4 | |
| Smoothness | Section 39-1.12 | -- | 3.66-m straight-edge, must-grind, and $PI_0$ | 3.66-m straight-edge, must-grind, and $PI_0$ | 3.66-m straight-edge, must-grind, and $PI_0$ | -- | |
| Asphalt rubber binder viscosity @ 177 °C, centipoises | Section 39-1.02D | -- | -- | -- | 1500 – 4000 | Section 39-1.02D | 24 hours |
| Crumb rubber modifier | Section 39-1.02D | -- | -- | -- | Section 39-1.02D | Section 39-1.02D | 48 hours |

Notes:
[a] Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] Required for HMA Type A, Type B, and RHMA-G if the specified paved thickness is at least 45 mm.

[d] Determine maximum theoretical density (California Test 309) at the frequency specified for test maximum density under California Test 375, Part 5 D.
[e] For adjusting the plant controller at the HMA plant.
[f] Report the average of 3 tests from a single split sample.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[h] Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC
[j] Voids in mineral aggregate for RHMA-G must be within this range.

Within the specified reporting time, submit written test results including:

1. Sampling location, quantity, and time
2. Testing results
3. Supporting data and calculations

If test results for any quality characteristic are beyond the action limits in the QCP, take corrective actions. Document the corrective actions taken in the inspection records under Section 39-4.03E, "Records of Inspection and Testing."

Stop production, notify the Engineer in writing, take corrective action, and demonstrate compliance with the specifications before resuming production and placement on the State highway if:

1. A lot's composite quality factor, $Q_{FC}$, or an individual quality factor, $QF_{QCi}$ for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, $QF_{QCi}$ for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, $QF_{QCi}$, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

**39-4.03D Charts And Records**

Record sampling and testing results for quality control on forms provided in the "Quality Control Manual for Hot Mix Asphalt," or on forms you submit with the QCP. The QCP must also include form posting locations and submittal times.

Submit quality control test results using the Department's statistical evaluation program, HMAPay, available at

www.dot.ca.gov/hq/construc/hma/index.htm

**39-4.03E Records Of Inspection And Testing**

During HMA production, submit in writing a daily:

1. HMA Construction Daily Record of Inspection. Also make this record available at the HMA plant and job site each day.
2. HMA Inspection and Testing Summary. Include in the summary:

    2.1. Test forms with the testers' signatures and Quality Control Manager's initials.
    2.2. Inspection forms with the inspectors' signatures and Quality Control Manager's initials.
    2.3. A list and explanation of deviations from the specifications or regular practices.
    2.4. A signed statement by the Quality Control Manager that says:

    "It is hereby certified that the information contained in this record is accurate, and that information, tests, or calculations documented herein comply with the specifications of the contract and the standards set forth in the testing procedures. Exceptions to this certification are documented as part of this record."

Retain for inspection the records generated as part of quality control including inspection, sampling, and testing for at least 3 years after final acceptance.

### 39-4.03F Statistical Evaluation

#### General

Determine a lot's composite quality factor, $QF_C$, and the individual quality factors, $QF_{QCi}$. Perform statistical evaluation calculations to determine these quality factors based on quality control test results for:

1. Aggregate gradation
2. Asphalt binder content
3. Percent of maximum theoretical density

The Engineer grants a waiver and you must use 1.0 as the individual quality factor for percent of maximum theoretical density, $QF_{QC5}$, for HMA paved in:

1. Areas where the specified paved thickness is less than 45 mm
2. Areas where the specified paved thickness is less than 60 mm and a 19-millimeter grading is specified and used
3. Dig outs
4. Leveling courses
5. Areas where, in the opinion of the Engineer, compaction or compaction measurement by conventional methods is impeded

#### Statistical Evaluation Calculations

Use the Variability-Unknown / Standard Deviation Method to determine the percentage of a lot not in compliance with the specifications. The number of significant figures used in the calculations must comply with AASHTO R-11, Absolute Method.

Determine the percentage of work not in compliance with the specification limits for each quality characteristic as follows:

1. Calculate the arithmetic mean ($\overline{X}$) of the test values

$$\overline{X} = \frac{\Sigma x}{n}$$

where:

x = individual test values
n = number of test values

2. Calculate the standard deviation

$$s = \sqrt{\frac{n\,(\Sigma x^2)-(\Sigma x)^2}{n(n-1)}}$$

where:

$\sum(x^2)$ = sum of the squares of individual test values
$(\sum x)^2$ = sum of the individual test values squared
n = number of test values

3. Calculate the upper quality index (Qu)

$$Q_u = \frac{USL - \overline{X}}{s}$$

where:

USL = target value plus the production tolerance or upper specification limit
s = standard deviation
$\overline{X}$ = arithmetic mean

4. Calculate the lower quality index (QL);

$$Q_L = \frac{\overline{X} - LSL}{s}$$

where:

LSL = target value minus production tolerance or lower specification limit
s = standard deviation
$\overline{X}$ = arithmetic mean

5. From the table, Upper Quality Index $Q_U$ or Lower Quality Index $Q_L$, of this Section 39-4.03F, "Statistical Evaluation", determine $P_U$ ;

where:

$P_U$ = the estimated percentage of work outside the USL.
$P_U = 0$, when USL is not specified.

6. From the table, Upper Quality Index $Q_U$ or Lower Quality Index $Q_L$, of this Section 39-4.03F, "Statistical Evaluation," determine $P_L$;

where:

$P_L$ = the estimated percentage of work outside the LSL.
$P_L = 0$, when LSL is not specified.

7. Calculate the total estimated percentage of work outside the USL and LSL, percent defective

Percent defective = $P_U + P_L$

$P_U$ and $P_L$ are determined from:

| $P_U$ or $P_L$ | **Upper Quality Index $Q_U$ or Lower Quality Index $Q_L$** | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Sample Size (n) | | | | | | | | | | | | |
| | 5 | 6 | 7 | 8 | 9 | 10-11 | 12-14 | 15-17 | 18-22 | 23-29 | 30-42 | 43-66 | >66 |
| 0 | 1.72 | 1.88 | 1.99 | 2.07 | 2.13 | 2.20 | 2.28 | 2.34 | 2.39 | 2.44 | 2.48 | 2.51 | 2.56 |
| 1 | 1.64 | 1.75 | 1.82 | 1.88 | 1.91 | 1.96 | 2.01 | 2.04 | 2.07 | 2.09 | 2.12 | 2.14 | 2.16 |
| 2 | 1.58 | 1.66 | 1.72 | 1.75 | 1.78 | 1.81 | 1.84 | 1.87 | 1.89 | 1.91 | 1.93 | 1.94 | 1.95 |
| 3 | 1.52 | 1.59 | 1.63 | 1.66 | 1.68 | 1.71 | 1.73 | 1.75 | 1.76 | 1.78 | 1.79 | 1.80 | 1.81 |
| 4 | 1.47 | 1.52 | 1.56 | 1.58 | 1.60 | 1.62 | 1.64 | 1.65 | 1.66 | 1.67 | 1.68 | 1.69 | 1.70 |
| 5 | 1.42 | 1.47 | 1.49 | 1.51 | 1.52 | 1.54 | 1.55 | 1.56 | 1.57 | 1.58 | 1.59 | 1.59 | 1.60 |
| 6 | 1.38 | 1.41 | 1.43 | 1.45 | 1.46 | 1.47 | 1.48 | 1.49 | 1.50 | 1.50 | 1.51 | 1.51 | 1.52 |
| 7 | 1.33 | 1.36 | 1.38 | 1.39 | 1.40 | 1.41 | 1.41 | 1.42 | 1.43 | 1.43 | 1.44 | 1.44 | 1.44 |
| 8 | 1.29 | 1.31 | 1.33 | 1.33 | 1.34 | 1.35 | 1.35 | 1.36 | 1.36 | 1.37 | 1.37 | 1.37 | 1.38 |
| 9 | 1.25 | 1.27 | 1.28 | 1.28 | 1.29 | 1.29 | 1.30 | 1.30 | 1.30 | 1.31 | 1.31 | 1.31 | 1.31 |
| 10 | 1.21 | 1.23 | 1.23 | 1.24 | 1.24 | 1.24 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.26 | 1.26 |
| 11 | 1.18 | 1.18 | 1.19 | 1.19 | 1.19 | 1.19 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 |
| 12 | 1.14 | 1.14 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 |
| 13 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.11 | 1.11 | 1.11 | 1.11 | 1.11 | 1.11 | 1.11 |
| 14 | 1.07 | 1.07 | 1.07 | 1.06 | 1.06 | 1.06 | 1.06 | 1.06 | 1.06 | 1.06 | 1.06 | 1.06 | 1.06 |
| 15 | 1.03 | 1.03 | 1.03 | 1.03 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 |
| 16 | 1.00 | 0.99 | 0.99 | 0.99 | 0.99 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 |
| 17 | 0.97 | 0.96 | 0.95 | 0.95 | 0.95 | 0.95 | 0.94 | 0.94 | 0.94 | 0.94 | 0.94 | 0.94 | 0.94 |
| 18 | 0.93 | 0.92 | 0.92 | 0.92 | 0.91 | 0.91 | 0.91 | 0.91 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 |
| 19 | 0.90 | 0.89 | 0.88 | 0.88 | 0.88 | 0.87 | 0.87 | 0.87 | 0.87 | 0.87 | 0.87 | 0.87 | 0.87 |
| 20 | 0.87 | 0.86 | 0.85 | 0.85 | 0.84 | 0.84 | 0.84 | 0.83 | 0.83 | 0.83 | 0.83 | 0.83 | 0.83 |
| 21 | 0.84 | 0.82 | 0.82 | 0.81 | 0.81 | 0.81 | 0.80 | 0.80 | 0.80 | 0.80 | 0.80 | 0.80 | 0.79 |
| 22 | 0.81 | 0.79 | 0.79 | 0.78 | 0.78 | 0.77 | 0.77 | 0.77 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 |
| 23 | 0.77 | 0.76 | 0.75 | 0.75 | 0.74 | 0.74 | 0.74 | 0.73 | 0.73 | 0.73 | 0.73 | 0.73 | 0.73 |
| 24 | 0.74 | 0.73 | 0.72 | 0.72 | 0.71 | 0.71 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 |
| 25 | 0.71 | 0.70 | 0.69 | 0.69 | 0.68 | 0.68 | 0.67 | 0.67 | 0.67 | 0.67 | 0.67 | 0.67 | 0.66 |
| 26 | 0.68 | 0.67 | 0.67 | 0.65 | 0.65 | 0.65 | 0.64 | 0.64 | 0.64 | 0.64 | 0.64 | 0.64 | 0.63 |
| 27 | 0.65 | 0.64 | 0.63 | 0.62 | 0.62 | 0.62 | 0.61 | 0.61 | 0.61 | 0.61 | 0.61 | 0.61 | 0.60 |
| 28 | 0.62 | 0.61 | 0.60 | 0.59 | 0.59 | 0.59 | 0.58 | 0.58 | 0.58 | 0.58 | 0.58 | 0.58 | 0.57 |
| 29 | 0.59 | 0.58 | 0.57 | 0.57 | 0.56 | 0.56 | 0.55 | 0.55 | 0.55 | 0.55 | 0.55 | 0.55 | 0.54 |
| 30 | 0.56 | 0.55 | 0.54 | 0.54 | 0.53 | 0.53 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 |
| 31 | 0.53 | 0.52 | 0.51 | 0.51 | 0.50 | 0.50 | 0.50 | 0.49 | 0.49 | 0.49 | 0.49 | 0.49 | 0.49 |
| 32 | 0.50 | 0.49 | 0.48 | 0.48 | 0.48 | 0.47 | 0.47 | 0.47 | 0.46 | 0.46 | 0.46 | 0.46 | 0.46 |
| 33 | 0.47 | 0.48 | 0.45 | 0.45 | 0.45 | 0.44 | 0.44 | 0.44 | 0.44 | 0.43 | 0.43 | 0.43 | 0.43 |
| 34 | 0.45 | 0.43 | 0.43 | 0.42 | 0.42 | 0.42 | 0.41 | 0.41 | 0.41 | 0.41 | 0.41 | 0.41 | 0.40 |
| 35 | 0.42 | 0.40 | 0.40 | 0.39 | 0.39 | 0.39 | 0.38 | 0.38 | 0.38 | 0.38 | 0.38 | 0.38 | 0.38 |
| 36 | 0.39 | 0.38 | 0.37 | 0.37 | 0.36 | 0.36 | 0.36 | 0.36 | 0.36 | 0.36 | 0.36 | 0.36 | 0.36 |
| 37 | 0.36 | 0.35 | 0.34 | 0.34 | 0.34 | 0.33 | 0.33 | 0.33 | 0.33 | 0.33 | 0.33 | 0.33 | 0.32 |
| 38 | 0.33 | 0.32 | 0.32 | 0.31 | 0.31 | 0.31 | 0.30 | 0.30 | 0.30 | 0.30 | 0.30 | 0.30 | 0.30 |
| 39 | 0.30 | 0.30 | 0.29 | 0.28 | 0.28 | 0.28 | 0.28 | 0.28 | 0.28 | 0.28 | 0.28 | 0.28 | 0.28 |
| 40 | 0.28 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 |
| 41 | 0.25 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 | 0.23 |
| 42 | 0.23 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 | 0.20 |
| 43 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 | 0.18 |
| 44 | 0.16 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 | 0.15 |
| 45 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 | 0.13 |
| 46 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 | 0.10 |
| 47 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 |
| 48 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 |
| 49 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 |
| 50 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

1. If the value of $Q_U$ or $Q_L$ does not correspond to a value in the table, use the next lower value.
2. If $Q_U$ or $Q_L$ are negative values, $P_U$ or $P_L$ is equal to 100 minus the table value for $P_U$ or $P_L$.

**Quality Factor Determination**

Determine individual quality factors, $QF_{QCi}$, using percent defective = $P_U + P_L$ and:

**Quality Factors**

| Quality Factor | Maximum Allowable Percent Defective ($P_U + P_L$) | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Sample Size (n) | | | | | | | | | | | | |
| | 5 | 6 | 7 | 8 | 9 | 10-11 | 12-14 | 15-17 | 18-22 | 23-29 | 30-42 | 43-66 | >66 |
| 1.05 | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1.04 | | | 0 | 1 | 3 | 5 | 4 | 4 | 4 | 3 | 3 | 3 | 3 |
| 1.03 | | 0 | 2 | 4 | 6 | 8 | 7 | 7 | 6 | 5 | 5 | 4 | 4 |
| 1.02 | | 1 | 3 | 6 | 9 | 11 | 10 | 9 | 8 | 7 | 7 | 6 | 6 |
| 1.01 | 0 | 2 | 5 | 8 | 11 | 13 | 12 | 11 | 10 | 9 | 8 | 8 | 7 |
| 1.00 | 22 | 20 | 18 | 17 | 16 | 15 | 14 | 13 | 12 | 11 | 10 | 9 | 8 |
| 0.99 | 24 | 22 | 20 | 19 | 18 | 17 | 16 | 15 | 14 | 13 | 11 | 10 | 9 |
| 0.98 | 26 | 24 | 22 | 21 | 20 | 19 | 18 | 16 | 15 | 14 | 13 | 12 | 10 |
| 0.97 | 28 | 26 | 24 | 23 | 22 | 21 | 19 | 18 | 17 | 16 | 14 | 13 | 12 |
| 0.96 | 30 | 28 | 26 | 25 | 24 | 22 | 21 | 19 | 18 | 17 | 16 | 14 | 13 |
| 0.95 | 32 | 29 | 28 | 26 | 25 | 24 | 22 | 21 | 20 | 18 | 17 | 16 | 14 |
| 0.94 | 33 | 31 | 29 | 28 | 27 | 25 | 24 | 22 | 21 | 20 | 18 | 17 | 15 |
| 0.93 | 35 | 33 | 31 | 29 | 28 | 27 | 25 | 24 | 22 | 21 | 20 | 18 | 16 |
| 0.92 | 37 | 34 | 32 | 31 | 30 | 28 | 27 | 25 | 24 | 22 | 21 | 19 | 18 |
| 0.91 | 38 | 36 | 34 | 32 | 31 | 30 | 28 | 26 | 25 | 24 | 22 | 21 | 19 |
| 0.90 | 39 | 37 | 35 | 34 | 33 | 31 | 29 | 28 | 26 | 25 | 23 | 22 | 20 |
| 0.89 | 41 | 38 | 37 | 35 | 34 | 32 | 31 | 29 | 28 | 26 | 25 | 23 | 21 |
| 0.88 | 42 | 40 | 38 | 36 | 35 | 34 | 32 | 30 | 29 | 27 | 26 | 24 | 22 |
| 0.87 | 43 | 41 | 39 | 38 | 37 | 35 | 33 | 32 | 30 | 29 | 27 | 25 | 23 |
| 0.86 | 45 | 42 | 41 | 39 | 38 | 36 | 34 | 33 | 31 | 30 | 28 | 26 | 24 |
| 0.85 | 46 | 44 | 42 | 40 | 39 | 38 | 36 | 34 | 33 | 31 | 29 | 28 | 25 |
| 0.84 | 47 | 45 | 43 | 42 | 40 | 39 | 37 | 35 | 34 | 32 | 30 | 29 | 27 |
| 0.83 | 49 | 46 | 44 | 43 | 42 | 40 | 38 | 36 | 35 | 33 | 31 | 30 | 28 |
| 0.82 | 50 | 47 | 46 | 44 | 43 | 41 | 39 | 38 | 36 | 34 | 33 | 31 | 29 |
| 0.81 | 51 | 49 | 47 | 45 | 44 | 42 | 41 | 39 | 37 | 36 | 34 | 32 | 30 |
| 0.80 | 52 | 50 | 48 | 46 | 45 | 44 | 42 | 40 | 38 | 37 | 35 | 33 | 31 |
| 0.79 | 54 | 51 | 49 | 48 | 46 | 45 | 43 | 41 | 39 | 38 | 36 | 34 | 32 |
| 0.78 | 55 | 52 | 50 | 49 | 48 | 46 | 44 | 42 | 41 | 39 | 37 | 35 | 33 |
| 0.77 | 56 | 54 | 52 | 50 | 49 | 47 | 45 | 43 | 42 | 40 | 38 | 36 | 34 |
| 0.76 | 57 | 55 | 53 | 51 | 50 | 48 | 46 | 44 | 43 | 41 | 39 | 37 | 35 |
| 0.75 | 58 | 56 | 54 | 52 | 51 | 49 | 47 | 46 | 44 | 42 | 40 | 38 | 36 |
| | 60 | 57 | 55 | 53 | 52 | 51 | 48 | 47 | 45 | 43 | 41 | 40 | 37 |
| | 61 | 58 | 56 | 55 | 53 | 52 | 50 | 48 | 46 | 44 | 43 | 41 | 38 |
| Reject | 62 | 59 | 57 | 56 | 54 | 53 | 51 | 49 | 47 | 45 | 44 | 42 | 39 |
| | 63 | 61 | 58 | 57 | 55 | 54 | 52 | 50 | 48 | 47 | 45 | 43 | 40 |
| | 64 | 62 | 60 | 58 | 57 | 55 | 53 | 51 | 49 | 48 | 46 | 44 | 41 |
| Reject Values Greater Than Those Shown Above | | | | | | | | | | | | | |

Notes:

1. To obtain a quality factor when the estimated percent outside specification limits from table, "Upper Quality Index $Q_U$ or Lower Quality Index $Q_L$," does not correspond to a value in the table, use the next larger value.

Compute the composite of single quality factors, $QF_C$, for a lot using:

$$QF_C = \sum_{i=1}^{5} w_i \, QF_{QC_i}$$

where:

| | |
|---|---|
| $QF_C =$ | the composite quality factor for the lot rounded to 2 decimal places. |
| $QF_{QCi} =$ | the quality factor for the individual quality characteristic. |
| w = | the weighting factor listed in the table HMA Acceptance – QC / QA. |
| $i =$ | the quality characteristic index number in the table HMA Acceptance – QC / QA. |

## 39-4.04 ENGINEER'S QUALITY ASSURANCE

### 39-4.04A General

The Engineer assures quality by:

1. Reviewing mix designs and proposed JMF
2. Inspecting procedures
3. Conducting oversight of quality control inspection and records
4. Verification sampling and testing during production and paving

### 39-4.04B Verification Sampling And Testing

#### General

The Engineer samples:

1. Aggregate to verify gradation
2. HMA to verify asphalt binder content

#### Verification

For aggregate gradation and asphalt binder content, the ratio of verification testing frequency to the minimum quality control testing frequency is 1:5. The Engineer performs at least 3 verification tests per lot.

Using the t-test, the Engineer compares quality control tests results for aggregate gradation and asphalt binder content with corresponding verification test results. The Engineer uses the average and standard deviation of up to 20 sequential sublots for the comparison. The Engineer uses production start-up evaluation tests to represent the first sublot. When there are less than 20 sequential sublots, the Engineer uses the maximum number of sequential sublots available. The 21st sublot becomes the 1st sublot (n = 1) in the next lot.

The t-value for a group of test data is computed as follows:

$$t = \frac{\left|\overline{X}_c - \overline{X}_v\right|}{S_p\sqrt{\frac{1}{n_c} + \frac{1}{n_v}}} \qquad \text{and} \qquad S_p^2 = \frac{S_c^2(n_c - 1) + S_v^2(n_v - 1)}{n_c + n_v - 2}$$

where:

| | |
|---|---|
| $n_c =$ | Number of quality control tests (2 minimum, 20 maximum). |
| $n_v =$ | Number of verification tests (minimum of 1 required). |
| $\overline{X}_c =$ | Mean of quality control tests. |
| $\overline{X}_v =$ | Mean of verification tests. |
| $S_p =$ | Pooled standard deviation (When $n_v = 1$, $S_p = S_c$). |
| $S_c =$ | Standard deviation of quality control tests. |
| $S_v =$ | Standard deviation of verification tests (when $n_v > 1$). |

The comparison of quality control test results and the verification test results is at a level of significance of $\alpha = 0.025$. The Engineer computes t and compares it to the critical t-value, $t_{crit}$, from:

**Critical T-Value**

| Degrees of freedom ($n_c$+$n_v$-2) | $t_{crit}$ (for $\alpha$ = 0.025) | Degrees of freedom ($n_c$+$n_v$-2) | $t_{crit}$ (for $\alpha$ = 0.025) |
|---|---|---|---|
| 1 | 24.452 | 18 | 2.445 |
| 2 | 6.205 | 19 | 2.433 |
| 3 | 4.177 | 20 | 2.423 |
| 4 | 3.495 | 21 | 2.414 |
| 5 | 3.163 | 22 | 2.405 |
| 6 | 2.969 | 23 | 2.398 |
| 7 | 2.841 | 24 | 2.391 |
| 8 | 2.752 | 25 | 2.385 |
| 9 | 2.685 | 26 | 2.379 |
| 10 | 2.634 | 27 | 2.373 |
| 11 | 2.593 | 28 | 2.368 |
| 12 | 2.560 | 29 | 2.364 |
| 13 | 2.533 | 30 | 2.360 |
| 14 | 2.510 | 40 | 2.329 |
| 15 | 2.490 | 60 | 2.299 |
| 16 | 2.473 | 120 | 2.270 |
| 17 | 2.458 | $\infty$ | 2.241 |

If the t-value computed is less than or equal to $t_{crit}$, quality control test results are verified.

If the t-value computed is greater than $t_{crit}$ and both $\overline{X}_v$ and $\overline{X}_c$ comply with acceptance specifications, the quality control tests are verified. You may continue to produce and place HMA with the following allowable differences:

1. $\left|\overline{X}_v - \overline{X}_c\right| \leq 1.0$ percent for any grading
2. $\left|\overline{X}_v - \overline{X}_c\right| \leq 0.1$ percent for asphalt binder content

If the t-value computed is greater than $t_{crit}$ and the $\left|\overline{X}_v - \overline{X}_c\right|$ for grading and asphalt binder content are greater than the allowable differences, quality control test results are not verified and:

1. The Engineer notifies you in writing.
2. You and the Engineer must investigate why the difference exist.
3. If the reason for the difference cannot be found and corrected, the Engineer's test results are used for acceptance and pay.

## 39-4.05 ENGINEER'S ACCEPTANCE

### 39-4.05A Testing

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance – QC / QA**

| Index (i) | Quality Characteristic | | | | Weight-ing Factor (w) | Test Method | HMA Type | | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | A | B | RHMA-G |
| | | Aggregate gradation [a] | | | | | | | |
| | Sieve | 19-mm | 12.5-mm | 9.5-mm | | | | | |
| 1 | 12.5-mm | X [b] | -- | -- | 0.05 | CT 202 | JMF ± Tolerance [c] | | |
| 1 | 9.5-mm | -- | X | -- | 0.05 | | | | |
| 1 | 4.75-mm | -- | -- | X | 0.05 | | | | |
| 2 | 2.36-mm | X | X | X | 0.10 | | | | |
| 3 | 0.075-mm | X | X | X | 0.15 | | | | |
| 4 | Asphalt binder content (%) | | | | 0.30 | CT 379 or 382 | JMF ± 0.45 | JMF ± 0.45 | JMF ± 0.5 |
| 5 | Percent of maximum theoretical density (%) [d, e] | | | | 0.40 | CT 375 | 92 – 96 | 92 – 96 | 91 – 96 |
| | Sand equivalent (min.) [f] | | | | | CT 217 | 47 | 42 | 47 |
| | Stabilometer value (min.) [f,, g]<br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings | | | | | CT 366 | <br>30<br>37 | <br>30<br>35 | <br>--<br>23 |
| | Air voids content (%)[f, h] | | | | | CT 367 | 4 ± 2 | 4 ± 2 | Specification ± 2 |
| | Percent of crushed particles coarse aggregate (% min.)<br>One fractured face<br>Two fractured faces<br>Fine aggregate (% min)<br>(Passing 4.75-mm sieve and retained on 2.36-mm sieve.)<br>One fractured face | | | | | CT 205 | <br>90<br>70<br><br><br>70 | <br>25<br>--<br><br><br>20 | <br>--<br>90<br><br><br>70 |
| | HMA moisture content (%, max.) | | | | | CT 226 or CT 370 | 1.0 | 1.0 | 1.0 |
| | Los Angeles Rattler (% max.)<br>Loss at 100 rev.<br>Loss at 500 rev. | | | | | CT 211 | <br>12<br>45 | <br>--<br>50 | <br>12<br>45 |
| | Fine aggregate angularity (% min.) | | | | | AASHTO T 304, Method A | 45 | 45 | 45 |
| | Flat and elongated particle (% max. by mass @ 5:1) | | | | | ASTM D 4791 | Report only | Report only | Report only |
| | Voids in mineral aggregate (% min.) [i]<br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | | | | | LP-2 | <br>17.0<br>15.0<br>14.0<br>13.0 | <br>17.0<br>15.0<br>14.0<br>13.0 | (Note j)<br>--<br>--<br>18.0 - 23.0<br>18.0 - 23.0 |
| | Voids filled with asphalt (%) [i]<br>4.75-mm grading<br>9.5-mm grading<br>12.5-mm grading<br>19-mm grading | | | | | LP-3 | <br>76.0 - 80.0<br>73.0 - 76.0<br>65.0 - 75.0<br>65.0 - 75.0 | <br>76.0 - 80.0<br>73.0 - 76.0<br>65.0 - 75.0<br>65.0 - 75.0 | Report only |
| | Dust proportion [i]<br>4.75-mm and 9.5-mm gradings<br>12.5-mm and 19-mm gradings | | | | | LP-4 | <br>0.9 - 2.0<br>0.6 - 1.3 | <br>0.9 – 2.0<br>0.6 – 1.3 | Report only |

| | | | | | | |
|---|---|---|---|---|---|---|
| | Smoothness | | Section 39-1.12 | 3.66-m straight-edge, must-grind, and $PI_0$ | 3.66-m straight-edge, must-grind, and $PI_0$ | 3.66-m straight-edge, must-grind, and $PI_0$ |
| | Asphalt binder | | Various | Section 92 | Section 92 | Section 92 |
| | Asphalt rubber binder | | Various | -- | -- | Section 92-1.02(C) and Section 39-1.02D |
| | Asphalt modifier | | Various | -- | -- | Section 39-1.02D |
| | Crumb rubber modifier | | Various | -- | -- | Section 39-1.02D |

Notes:
[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] "X" denotes the sieves the Engineer considers for the specified aggregate gradation.
[c] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[d] The Engineer determines percent of maximum theoretical density if the specified paved thickness is at least 45 mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

[e] The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[f] The Engineer reports the average of 3 tests from a single split sample.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[h] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

The Engineer determines the percent of maximum theoretical density from the average density of 3 density cores you take from every 750 tonnes of production or part thereof divided by the maximum theoretical density.

If the specified total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness.

The Engineer stops production and terminates a lot if:

1. The lot's composite quality factor, $Q_{FC}$, or an individual quality factor, $QF_{QCi}$ for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, $QF_{QCi}$ for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, $QF_{QCi}$, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

For any single quality characteristic for which a quality factor, $QF_{QCi}$, is not determined, except smoothness, if 2 consecutive acceptance test results do not comply with specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### 39-4.05B Statistical Evaluation, Determination Of Quality Factors And Acceptance

#### Statistical Evaluation and Determination of Quality Factors

To determine the individual quality factor, $QF_{QCi,}$ for any quality factor i = 1 through 5 or a lot's composite quality factor, $QF_C$, for acceptance and payment adjustment, the Engineer uses the evaluation specifications under Section 39-4.03F, "Statistical Evaluation," and:

1. Verified quality control test results for aggregate gradation
2. Verified quality control test results for asphalt binder content
3. The Engineer's test results for percent of maximum theoretical density

#### Lot Acceptance Based on Quality Factors

The Engineer accepts a lot based on the quality factors determined for aggregate gradation and asphalt binder content, $QF_{QCi}$ for i = 1 through 4, using the total number of verified quality control test result values and the total percent defective ($P_U + P_L$).

The Engineer accepts a lot based on the quality factor determined for maximum theoretical density, $QF_{QC5}$, using the total number of test result values from density cores and the total percent defective ($P_U + P_L$).

The Engineer calculates the quality factor for the lot, $QF_C$, which is a composite of weighted individual quality factors, $QF_{QCi}$, determined for each quality characteristic in the HMA Acceptance – QC / QA table in Section 39-4.05A, "Testing."

The Engineer accepts a lot based on quality factors if:

1. The current composite quality factor, $QF_C$, is 0.90 or greater
2. Each individual quality factor, $QF_{QCi}$ for i = 3, 4, and 5, is 0.90 or greater
3. Each individual quality factor, $QF_{QCi}$ for i = 1 and 2, is 0.75 or greater

No single quality characteristic test may represent more than the smaller of 750 tonnes or 1 day's production.

#### Payment Adjustment

If a lot is accepted, the Engineer adjusts payment with the following formula:

$$PA = \sum_{i=1}^{n} HMACP * wi * \left[QFQCi * (HMATT - WHMATTi) + WHMATTi\right] - (HMACP * HMATT)$$

where:

| | |
|---|---|
| PA = | Payment adjustment rounded to 2 decimal places. |
| *HMACP* = | HMA contract price. |
| *HMATT* = | HMA total tonnes represented in the lot. |
| $WHMATT_i$ = | Total tonnes of waived quality characteristic HMA. |
| $QF_{QCi}$ = | Running quality factor for the individual quality characteristic. $QF_{QCi}$ for i = 1 through 4 must be from verified Contractor's QC results. $QF_{QC5}$ must be determined from the Engineer's results on density cores taken for percent of maximum theoretical density determination. |
| *w* = | Weighting factor listed in the HMA acceptance table. |
| *i* = | Quality characteristic index number in the HMA acceptance table. |

If the payment adjustment is a negative value, the Engineer deducts this amount from payment. If the payment adjustment is a positive value, the Engineer adds this amount to payment.

The 21st sublot becomes the 1st sublot (n = 1) in the next lot. When the 21st sequential sublot becomes the 1st sublot, the previous 20 sequential sublots become a lot for which the Engineer determines a quality factor. The Engineer uses this quality factor to pay for the HMA in the lot. If the next lot consists of less than 8 sublots, these sublots must be added to the previous lot for quality factor determination using 21 to 27 sublots.

**39-4.05C Dispute Resolution**

For a lot, if you or the Engineer dispute any quality factor, $QF_{QCi}$, or verification test result, every sublot in that lot must be retested.

Referee tests must be performed under the specifications for acceptance testing.

Any quality factor, $QF_{QCi}$, must be determined using the referee tests.

For any quality factor, $QF_{QCi}$, for i = 1 through 5, dispute resolution:

1. If the difference between the quality factors for $QF_{QCi}$ using the referee test result and the disputed test result is less than or equal to 0.01, the original test result is correct.
2. If the difference between the quality factor for $QF_{QCi}$ using the referee test result and the disputed test result is more than 0.01, the quality factor determined from the referee tests supersedes the previously determined quality factor.

## 39-5 MEASUREMENT AND PAYMENT

### 39-5.01 MEASUREMENT

The contract item for HMA is measured by mass. The mass of each HMA mixture designated in the Engineer's Estimate must be the combined mixture mass.

If tack coat, asphalt binder, and asphaltic emulsion are paid with separate contract items, their contract items are measured under Section 92, "Asphalts," or Section 94, "Asphaltic Emulsions," as the case may be.

If recorded batch masses are printed automatically, the contract item for HMA is measured by using the printed batch masses, provided:

1. Total aggregate and supplemental fine aggregate mass per batch is printed. If supplemental fine aggregate is weighed cumulatively with the aggregate, the total aggregate batch mass must include the supplemental fine aggregate mass.
2. Total asphalt binder mass per batch is printed.
3. Each truckload's zero tolerance mass is printed before weighing the first batch and after weighing the last batch.
4. Time, date, mix number, load number and truck identification is correlated with a load slip.
5. A copy of the recorded batch mass is certified by a licensed weighmaster and submitted to the Engineer.

The contract item for placing HMA dike is measured by the linear meter along the completed length. The contract item for placing HMA in miscellaneous areas is measured as the in-place compacted area in square meters. In addition to the quantities measured on a linear meter or square meter basis, the HMA for dike and miscellaneous areas are measured by mass.

The contract item for shoulder rumble strips is measured by the station along each shoulder on which the rumble strips are constructed without deductions for gaps between indentations.

The contract item for geosynthetic pavement interlayer is measured by the square meter for the actual pavement area covered.

### 39-5.02 PAYMENT

The contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing hot mix asphalt, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If HMA is specified to comply with Section 39-4, "Quality Control / Quality Assurance," the Engineer adjusts payment under that section.

Full compensation for the Quality Control Plan and prepaving conference is included in the contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for performing and submitting mix designs and for Contractor sampling, testing, inspection, testing facilities, and preparation and submittal of results is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for reclaimed asphalt pavement is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

The contract price paid per tonne for hot mix asphalt (leveling) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in hot mix asphalt (leveling), complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract prices paid per station for rumble strips as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing rumble strips, including fog seal coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State will pay for HMA dike at the contract price per linear meter for place HMA dike and by the tonne for HMA. The contract prices paid per linear meter for place hot mix asphalt dike as designated in the Engineer's Estimate include full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA dike, complete in place, including excavation, backfill, and preparation of the area to receive the dike, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State pays for HMA specified to be a miscellaneous area at the contract price per square meter for place hot mix asphalt (miscellaneous area) and per tonne for hot mix asphalt. The contract price paid per square meter for place hot mix asphalt (miscellaneous area) includes full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA (miscellaneous area) complete in place, including excavation, backfill, and preparation of the area to receive HMA (miscellaneous area), as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If the Quality Control / Quality Assurance construction process is specified, HMA placed in dikes and miscellaneous areas is paid for at the contract price per tonne for hot mix asphalt under Section 39-4, "Quality Control / Quality Assurance." Section 39-4.05B, "Statistical Evaluation, Determination of Quality Factors and Acceptance," does not apply to HMA placed in dikes and miscellaneous areas.

If there are no contract items for place hot mix asphalt dike and place hot mix asphalt (miscellaneous area) and the work is specified, full compensation for constructing HMA dikes and HMA (miscellaneous areas) including excavation, backfill, and preparation of the area to receive HMA dike or HMA (miscellaneous area) is included in the contract price paid per tonne for the hot mix asphalt designated in the Engineer's Estimate and no separate payment will be made therefor.

The contract price paid per square meter for geosynthetic pavement interlayer includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing geosynthetic pavement interlayer, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per tonne for paving asphalt (binder, geosynthetic pavement interlayer) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying paving asphalt (binder, geosynthetic pavement interlayer), complete in place, including spreading sand to cover exposed binder material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

Full compensation for small quantities of HMA placed on geosynthetic pavement interlayer to prevent displacement during construction is included in the contract price paid per tonne for the HMA being paved over the interlayer and no separate payment will be made therefor.

The contract price paid per tonne for tack coat includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying tack coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The Engineer does not adjust payment for increases or decreases in the quantities for tack coat, regardless of the reason for the increase or decrease. Section 4-1.03B, "Increased or Decreased Quantities," does not apply to the items for tack coat.

Full compensation for performing smoothness testing, submitting written and electronic copies of tests, and performing corrective work including applying fog seal coat is included in the contract price paid per tonne for the HMA designated in the Engineer's Estimate and no separate payment will be made therefor.

Full compensation for spreading sand on RHMA-G, RHMA-O, and RHMA-O-HB surfaces and for sweeping and removing excess sand is included in the contract price paid per tonne for rubberized hot mix asphalt as designated in the Engineer's Estimate and no separate payment will be made therefor.

If the Engineer fails to comply with a specification within a specified time, and if, in the opinion of the Engineer, work completion is delayed because of the failure, the Engineer adjusts payment and contract time under Section 8-1.09, "Right of Way Delays."

If the dispute resolution ITP determines the Engineer's test results are correct, the Engineer deducts the ITP's testing costs from payments. If the ITP determines your test results are correct, the State pays the ITP's testing costs. If, in the Engineer's opinion, work completion is delayed because of incorrect Engineer test results, the Engineer adjusts payment and contract time under Section 8-1.09, "Right of Way Delays."

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## SECTION 40 PORTLAND CEMENT CONCRETE PAVEMENT
**(Issued 01-05-07)**

**Delete Section 40-1.015.**

**Replace Section 40-1.05 with:**

### 40-1.05 PROPORTIONING

Aggregate and cementitious material proportioning shall conform to the provisions in Section 90-5, "Proportioning."

**Replace Section 40-1.105 with:**

### 40-1.105 EXIT RAMP TERMINI

Concrete pavement shall be constructed at the ends of exit ramps when required by the plans or the special provisions. Texturing for exit ramp termini shall be by means of heavy brooming in a direction normal to ramp centerline. The hardened surface shall have a coefficient of friction not less than 0.35 as determined by California Test 342. Minimum cementitious material content of concrete in pavement for exit ramp termini shall be 350 kg/m$^3$.

**In Section 40-1.08 replace the 4th paragraph with:**

Straight tie bars shall be deformed reinforcing steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420; ASTM Designation: A 996/A 996M, Grade 350 or 420; or ASTM Designation: A 706/A 706M.

**In Section 40-1.14 replace the 1st paragraph with:**

The contract price paid per cubic meter for concrete pavement shall include full compensation for furnishing all labor, materials (including cementitious material in the amount specified), tools, equipment, and incidentals, and for doing all the work involved in constructing the portland cement concrete pavement, complete in place, as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

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## SECTION 41 PAVEMENT SUBSEALING AND JACKING
**(Issued 01-05-07)**

**In Section 41-1.02 replace the 2nd and 3rd paragraphs with:**

Cement for grout shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

Fly ash shall conform to the requirements in AASHTO Designation: M 295 for either Class C or for Class F. The brand of fly ash used in the work shall conform to the provisions for approval of admixture brands in Section 90-4.03, "Admixture Approval."

**In Section 41-1.02 replace the 5th paragraph with:**

Chemical admixtures and calcium chloride may be used. Chemical admixtures in the grout mix shall conform to the provisions in Section 90-4, "Admixtures." Calcium chloride shall conform to ASTM Designation: D 98.

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## SECTION 42 GROOVE AND GRIND PAVEMENT
**(Issued 12-31-01)**

**In Section 42-2.02 in the 3rd paragraph in the 1st subparagraph, replace the last sentence with:**

After grinding has been completed, the pavement shall conform to the straightedge and profile requirements specified in Section 40-1.10, "Final Finishing."

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## SECTION 49 PILING
**(Issued 06-06-08)**

**In Section 49-1.03 replace the 1st paragraph with:**

Foundation piles of any material shall be of such length as is required to obtain the specified penetration, and to extend into the cap or footing block as shown on the plans, or specified in the special provisions.

**In Section 49-1.03 replace the 4th paragraph with:**

Modification to the specified installation methods and specified pile tip elevation will not be considered at locations where tension or lateral load demands control design pile tip elevations or when the plans state that specified pile tip elevation shall not be revised.

**In Section 49-1.03 replace the 6th and 7th paragraphs with:**

Indicator compression pile load testing shall conform to the requirements in ASTM Designation: D 1143-81. The pile shall sustain the first compression test load applied which is equal to the nominal resistance in compression, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of compression load testing.

Indicator tension pile load testing shall conform to the requirements in ASTM Designation: D 3689-90. The loading apparatus described as "Load Applied to Pile by Hydraulic Jack(s) Acting at One End of Test Beam(s) Anchored to the Pile" shall not be used. The pile shall sustain the first tension test load applied which is equal to the nominal resistance in tension, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of tension load testing.

**In Section 49-1.03 replace the 9th paragraph with:**

The Contractor shall furnish piling of sufficient length to obtain the specified tip elevation shown on the plans or specified in the special provisions.

**In Section 49-1.03, delete the 10th paragraph**

**In Section 49-1.04 replace the 4th, 5th, and 6th paragraphs with:**

Load test piles and anchor piles which are not to be incorporated in the completed structure shall be removed in conformance with the provisions in Section 15-4.02, "Removal Methods," and the remaining holes shall be backfilled with earth or other suitable material approved by the Engineer.

Load test anchorages in piles used as anchor piles shall conform to the following requirements:

A. High strength threaded steel rods shall conform to the provisions for bars in Section 50-1.05, "Prestressing Steel," except Type II bars shall be used.
B. High strength steel plates shall conform to the requirements in ASTM Designation: A 709/A 709M, Grade 345.
C. Anchor nuts shall conform to the provisions in the second paragraph in Section 50-1.06, "Anchorages and Distribution."

The Contractor may use additional cementitious material in the concrete for the load test and anchor piles.

**In Section 49-1.05 replace the 1st paragraph with:**

Driven piles shall be installed with impact hammers that are approved in writing by the Engineer. Impact hammers shall be steam, hydraulic, air or diesel hammers. Impact hammers shall develop sufficient energy to drive the piles at a penetration rate of not less than 3 mm per blow at the specified nominal resistance.

**In Section 49-1.05 replace the 7th paragraph with:**

When necessary to obtain the specified penetration and when authorized by the Engineer, the Contractor may supply and operate one or more water jets and pumps, or furnish the necessary drilling apparatus and drill holes not greater than the least dimension of the pile to the proper depth and drive the piles therein. Jets shall not be used at locations where the stability of embankments or other improvements would be endangered. In addition, for steel piles, steel shells, or steel casings, when necessary to obtain the specified penetration or to prevent damage to the pile during installation, the Contractor shall provide special driving tips or heavier pile sections or take other measures as approved by the Engineer.

The use of followers or underwater hammers for driving piles will be permitted if authorized in writing by the Engineer. When a follower or underwater hammer is used, its efficiency shall be verified by furnishing the first pile in each bent or footing sufficiently long and driving the pile without the use of a follower or underwater hammer.

**In Section 49-1.07 replace the 2nd paragraph with:**

Timber piles shall be fresh-headed and square and when permitted by the Engineer, the heads of the piles may be protected by means of heavy steel or wrought iron rings. During driving operations timber piling shall be restrained from lateral movement at intervals not to exceed 6 m over the length between the driving head and the ground surface. During driving operations, the timber pile shall be kept moving by continuous operation of the hammer. When the blow count exceeds either 2 times the blow count required in 300 mm, or 3 times the blow count required in 75 mm for the nominal resistance as shown on the plans, computed in conformance with the provisions in Section 49-1.08, "Pile Driving Acceptance Criteria," additional aids shall be used to obtain the specified penetration. These aids may include the use of water jets or drilling, where permitted, or the use of a larger hammer employing a heavy ram striking with a low velocity.

**Replace Section 49-1.08 with:**

**49-1.08 PILE DRIVING ACCEPTANCE CRITERIA**

Except for piles to be load tested, driven piles shall be driven to a value of not less than the nominal resistance shown on the plans unless otherwise specified in the special provisions or permitted in writing by the Engineer. In addition, when a pile tip elevation is specified, driven piles shall penetrate at least to the specified tip elevation, unless otherwise permitted in writing by the Engineer. Piles to be load tested shall be driven to the specified tip elevation.

When the pile nominal resistance is omitted from the plans or the special provisions, timber piles shall be driven to a nominal resistance of 800 kN, and steel and concrete piles shall be driven to a nominal resistance of 1250 kN.

The nominal resistance for driven piles shall be determined from the following formula in which "$R_u$" is the nominal resistance in kilonewtons, "$E_r$" is the manufacturer's rating for joules of energy developed by the hammer at the observed field drop height, and "N" is the number of hammer blows in the last 300 millimeters. (maximum value to be used for N is 100):

$$R_u = (7 * (E_r)^{1/2} * \log_{10} (0.83 * N)) - 550$$

**In Section 49-2.03 replace the 1st paragraph with:**

When preservative treatment of timber piles is required by the plans or specified in the special provisions, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and the applicable AWPA Use Category.

**In Section 49-2.04 replace the 1st paragraph with:**

A. An application of wood preservative conforming to the provisions in Section 58-1.04, "Wood Preservative for Manual Treatment," shall first be applied to the head of the pile and a protective cap shall then be built up by applying alternate layers of loosely woven fabric and hot asphalt or tar similar to membrane waterproofing, using 3 layers of asphalt or tar and 2 layers of fabric. The fabric shall measure at least 150 mm more in each direction than the diameter of the pile and shall be turned down over the pile and the edges secured by binding with 2 turns of No. 10 galvanized wire. The fabric shall be wired in advance of the application of the final layer of asphalt or tar, which shall extend down over the wiring.

B. The sawed surface shall be covered with 3 applications of a hot mixture of 60 percent creosote and 40 percent roofing pitch, or thoroughly brushcoated with 3 applications of hot creosote and covered with hot roofing pitch. A covering of 3.50-mm nominal thickness galvanized steel sheet shall be placed over the coating and bent down over the sides of each pile to shed water.

**In Section 49-3.01 the 5th paragraph is deleted**

**In Section 49-3.01 replace the 6th and 7th paragraphs with:**

Except for precast prestressed concrete piles in a corrosive environment, lifting anchors used in precast prestressed concrete piles shall be removed, and the holes filled in conformance with the provisions in Section 51-1.18A, "Ordinary Surface Finish."

Lifting anchors used in precast prestressed concrete piles in a corrosive environment shall be removed to a depth of at least 25 mm below the surface of the concrete, and the resulting hole shall be filled with epoxy adhesive before the piles are delivered to the job site. The epoxy adhesive shall conform to the provisions in Sections 95-1, "General," and 95-2.01, "Binder (Adhesive), Epoxy Resin Base (State Specification 8040-03)."

**In Section 49-4.01 replace the 1st and 2nd paragraphs with:**

Cast-in-place concrete piles shall consist of one of the following:

A. Steel shells driven permanently to the required nominal resistance and penetration and filled with concrete.
B. Steel casings installed permanently to the required penetration and filled with concrete.
C. Drilled holes filled with concrete.
D. Rock sockets filled with concrete.

The drilling of holes shall conform to the provisions in these specifications. Concrete filling for cast-in-place concrete piles is designated by compressive strength and shall have a minimum 28-day compressive strength of 25 MPa. At the option of the Contractor, the combined aggregate grading for the concrete shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading. Concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," and Section 51, "Concrete Structures." Reinforcement shall conform to the provisions in Section 52, "Reinforcement."

**In Section 49-4.03 replace the 4th paragraph with:**

After placing reinforcement and prior to placing concrete in the drilled hole, if caving occurs or deteriorated foundation material accumulates on the bottom of the hole, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

**In Section 49-4.04 replace the 1st and 2nd paragraphs with:**

Steel shells shall be sufficiently watertight to exclude water during the placing of concrete. The shells may be cylindrical or tapered, step-tapered, or a combination of either, with cylindrical sections.

**In Section 49-4.05 replace the 1st paragraph with:**

After being driven and prior to placing reinforcement and concrete therein, the steel shells shall be examined for collapse or reduced diameter at any point. Any shell which is improperly driven or broken or shows partial collapse to such an extent as to materially decrease its nominal resistance will be rejected. Rejected shells shall be removed and replaced, or a new shell shall be driven adjacent to the rejected shell. Rejected shells which cannot be removed shall be filled with concrete by the Contractor at the Contractor's expense. When a new shell is driven to replace a rejected shell, the Contractor, at the Contractor's expense, shall enlarge the footing as determined necessary by the Engineer.

**In Section 49-4.05 replace the 3rd paragraph with:**

Steel pipe piles shall conform to the following requirements:

1. Steel pipe piles less than 360 mm in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 2 or 3.
2. Steel pipe piles 360 mm and greater in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 3.
3. Steel pipe piles shall be of the nominal diameter and nominal wall thickness shown on the plans or specified in the special provisions.
4. The carbon equivalency (CE) of steel for steel pipe piles, as defined in AWS D 1.1, Section XI5.1, shall not exceed 0.45.
5. The sulfur content of steel for steel pipe piles shall not exceed 0.05-percent.
6. Seams in steel pipe piles shall be complete penetration welds.

**In Section 49-6.01 replace the 1st paragraph with:**

The length of timber, steel, and precast prestressed concrete piles, and of cast-in-place concrete piles consisting of driven shells filled with concrete, shall be measured along the longest side, from the tip elevation shown on the plans to the plane of pile cut-off.

**In Section 49-6.02 replace the 3rd paragraph with:**

The contract price paid per meter for cast-in-drilled-hole concrete piling shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in drilling holes, disposing of material resulting from drilling holes, temporarily casing holes and removing water when necessary, furnishing and placing concrete and reinforcement, and constructing reinforced concrete extensions, complete in place, to the required penetration, as shown on the plans, as specified in these specifications and in the special provisions, and as directed by the Engineer.

**In Section 49-6.02 replace the 7th paragraph with:**

The contract unit price paid for drive pile shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in driving timber, concrete and steel piles, driving steel shells for cast-in-place concrete piles, placing filling materials for cast-in-place concrete piles and cutting off piles, all complete in place to the required nominal resistance and penetration as shown on the plans and as specified in these specifications and the special provisions, and as directed by the Engineer.

**In Section 49-6.02 replace the 9th paragraph with:**

Full compensation for all jetting, drilling, providing special driving tips or heavier sections for steel piles or shells, or other work necessary to obtain the specified penetration and nominal resistance of the piles, for predrilling holes through embankment and filling the space remaining around the pile with sand or pea gravel, for disposing of material resulting from jetting, drilling or predrilling holes, and for all excavation and backfill involved in constructing concrete extensions as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer shall be considered as included in the contract unit price paid for drive pile or in the contract price paid per meter for cast-in-drilled-hole concrete piling, and no additional compensation will be allowed therefor.

**In Section 49-6.02, add:**

Full compensation for furnishing and placing additional testing reinforcement, for load test anchorages, and for cutting off test piles, shall be considered as included in the contract price paid for piling of the type or class shown in the Engineer's Estimate, and no additional compensation will be allowed.

No additional compensation or extension of time will be made for additional foundation investigation, installation and testing of indicator piling, cutting off piling and restoring the foundation investigation and indicator pile sites, and review of request by the Engineer.

When pile tips are revised by the Engineer for timber, steel, and precast prestressed concrete piles, and for cast-in-place concrete piles consisting of driven shells filled with concrete, the additional length required, including all materials, equipment, and labor for furnishing, splicing, and installing the piling, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

All remedial work required to achieve the required nominal resistance, including suspending driving operations above the required tip elevation and redriving piles at a later time, when directed by the Engineer, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

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## SECTION 50 PRESTRESSING CONCRETE
**(Issued 04-04-08)**

**In Section 50-1.02, between the 2nd and 3rd paragraphs add:**

Each working drawing submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate working drawing submittal.

**In Section 50-1.02 delete the 8th paragraph.**

**Replace Section 50-1.05 with:**

### 50-1.05 PRESTRESSING STEEL

Prestressing steel shall be high-tensile wire conforming to the requirements in ASTM Designation: A 421, including Supplement I; high-tensile seven-wire strand conforming to the requirements in ASTM Designation: A 416; or uncoated high-strength steel bars conforming to the requirements in ASTM Designation: A 722, including all supplementary requirements. The maximum mass requirement of ASTM Designation: A 722 will not apply.

In addition to the requirements of ASTM Designation: A 722, for deformed bars, the reduction of area shall be determined from a bar from which the deformations have been removed. The bar shall be machined no more than necessary to remove the deformations over a length of 300 mm, and reduction will be based on the area of the machined portion.

In addition to the requirements specified herein, epoxy-coated seven-wire prestressing steel strand shall be grit impregnated and filled in conformance with the requirements in ASTM Designation: A 882/A 882M, including Supplement I, and the following:

A. The film thickness of the coating after curing shall be 381 µm to 1143 µm.
B. Prior to coating the strand, the Contractor shall furnish to the Transportation Laboratory a representative 230-g sample from each batch of epoxy coating material to be used. Each sample shall be packaged in an airtight container identified with the manufacturer's name and batch number.
C. Prior to use of the epoxy-coated strand in the work, written certifications referenced in ASTM Designation: A 882/A 882M, including a representative load-elongation curve for each size and grade of strand to be used and a copy of the quality control tests performed by the manufacturer, shall be furnished to the Engineer.
D. In addition to the requirements in Section 50-1.10, "Samples for Testing," four 1.5-m long samples of coated strand and one 1.5-m long sample of uncoated strand of each size and reel shall be furnished to the Engineer for testing. These samples, as selected by the Engineer, shall be representative of the material to be used in the work.
E. Epoxy-coated strand shall be cut using an abrasive saw.
F. All visible damage to coatings caused by shipping and handling, or during installation, including cut ends, shall be repaired in conformance with the requirements in ASTM Designation: A 882/A 882M. The patching material shall be furnished by the manufacturer of the epoxy powder and shall be applied in conformance with the manufacturer's written recommendations. The patching material shall be compatible with the original epoxy coating material and shall be inert in concrete.

All bars in any individual member shall be of the same grade, unless otherwise permitted by the Engineer.

When bars are to be extended by the use of couplers, the assembled units shall have a tensile strength of not less than the manufacturer's minimum guaranteed ultimate tensile strength of the bars. Failure of any one sample to meet this requirement will be cause for rejection of the heat of bars and lot of couplers. The location of couplers in the member shall be subject to approval by the Engineer.

Wires shall be straightened if necessary to produce equal stress in all wires or wire groups or parallel lay cables that are to be stressed simultaneously or when necessary to ensure proper positioning in the ducts.

Where wires are to be button-headed, the buttons shall be cold formed symmetrically about the axes of the wires. The buttons shall develop the minimum guaranteed ultimate tensile strength of the wire. No cold forming process shall be used that causes indentations in the wire. Buttonheads shall not contain wide open splits, more than 2 splits per head, or splits not parallel with the axis of the wire.

Prestressing steel shall be protected against physical damage and rust or other results of corrosion at all times from manufacture to grouting or encasing in concrete. Prestressing steel that has sustained physical damage at any time shall be rejected. The development of visible rust or other results of corrosion shall be cause for rejection, when ordered by the Engineer.

Epoxy-coated prestressing steel strand shall be covered with an opaque polyethylene sheeting or other suitable protective material to protect the strand from exposure to sunlight, salt spray, and weather. For stacked coils, the protective covering shall be draped around the perimeter of the stack. The covering shall be adequately secured; however, it should allow for air circulation around the strand to prevent condensation under the covering. Epoxy-coated strand shall not be stored within 300 m of ocean or tidal water for more than 2 months.

Prestressing steel shall be packaged in containers or shipping forms for the protection of the steel against physical damage and corrosion during shipping and storage. Except for epoxy-coated strand, a corrosion inhibitor which prevents rust or other results of corrosion, shall be placed in the package or form, or shall be incorporated in a corrosion inhibitor carrier type packaging material, or when permitted by the Engineer, may be applied directly to the steel. The corrosion inhibitor shall have no deleterious effect on the steel or concrete or bond strength of steel to concrete. Packaging or forms damaged from any cause shall be immediately replaced or restored to original condition.

The shipping package or form shall be clearly marked with a statement that the package contains high-strength prestressing steel, and the type of corrosion inhibitor used, including the date packaged.

Prestressing steel for post-tensioning which is installed in members prior to placing and curing of the concrete, and which is not epoxy-coated, shall be continuously protected against rust or other results of corrosion, until grouted, by means of a corrosion inhibitor placed in the ducts or applied to the steel in the duct. The corrosion inhibitor shall conform to the provisions specified herein.

When steam curing is used, prestressing steel for post-tensioning shall not be installed until the steam curing is completed.

Water used for flushing ducts shall contain either quick lime (calcium oxide) or slaked lime (calcium hydroxide) in the amount of 0.01-kg/L. Compressed air used to blow out ducts shall be oil free.

When prestressing steel for post-tensioning is installed in the ducts after completion of concrete curing, and if stressing and grouting are completed within 10 days after the installation of the prestressing steel, rust which may form during those 10 days will not be cause for rejection of the steel. Prestressing steel installed, tensioned, and grouted in this manner, all within 10 days, will not require the use of a corrosion inhibitor in the duct following installation of the prestressing steel. Prestressing steel installed as above but not grouted within 10 days shall be subject to all the requirements in this section pertaining to corrosion protection and rejection because of rust. The requirements in this section pertaining to tensioning and grouting within 10 days shall not apply to epoxy-coated prestressing steel strand.

Any time prestressing steel for pretensioning is placed in the stressing bed and is exposed to the elements for more than 36 hours prior to encasement in concrete, adequate measures shall be taken by the Contractor, as approved by the Engineer, to protect the steel from contamination or corrosion.

After final fabrication of the seven-wire prestressing steel strand, no electric welding of any form shall be performed on the prestressing steel. Whenever electric welding is performed on or near members containing prestressing steel, the welding ground shall be attached directly to the steel being welded.

Pretensioned prestressing steel shall be cut off flush with the end of the member. For epoxy-coated prestressing steel, only abrasive saws shall be used to cut the steel. The exposed ends of the prestressing steel and a 25-mm strip of adjoining concrete shall be cleaned and painted. Cleaning shall be by wire brushing or abrasive blast cleaning to remove all dirt and residue on the metal or concrete surfaces. Immediately after cleaning, the surfaces shall be covered with one application of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint," except that 2 applications shall be applied to surfaces which will not be covered by concrete or mortar. Aerosol cans shall not be used. The paint shall be thoroughly mixed at the time of application and shall be worked into any voids in the prestressing tendons.

**In Section 50-1.07 replace the 2nd paragraph with:**

Ducts shall be fabricated with either welded or interlocked seams. Galvanizing of the welded seam will not be required. Ducts shall have sufficient strength to maintain their correct alignment during placing of concrete. Joints between sections of duct shall be positive metallic connections which do not result in angle changes at the joints. Waterproof tape shall be used at the connections. Ducts shall be bent without crimping or flattening. Transition couplings connecting the ducts to anchoring devices shall be either ferrous metal or polyolefin. Ferrous metal transition couplings need not be galvanized.

**In Section 50-1.07 replace the 7th paragraph with:**

All ducts with a total length of 120 m or more shall be vented. Vents shall be placed at intervals of not more than 120 m and shall be located within 2 m of every high point in the duct profile. Vents shall be 12 mm minimum diameter standard pipe or suitable plastic pipe. Connections to ducts shall be made with metallic or plastic structural fasteners. Plastic components, if selected, shall not react with the concrete or enhance corrosion of the prestressing steel and shall be free of water soluble chlorides. The vents shall be mortar tight, taped as necessary, and shall provide means for injection of grout through the vents and for sealing the vents. Ends of vents shall be removed 25 mm below the roadway surface after grouting has been completed.

**In Section 50-1.08 replace the 6th paragraph with:**

The following formula and friction coefficients shall be used in calculating friction losses in tendons:

$To = Txe\ (\mu\alpha + KL)$

Where:

To = steel stress at jacking end
Tx = steel stress at any point x
e = base of Naperian logarithms
$\mu$ = friction curvature coefficient
$\alpha$ = total angular change of prestressing steel profile in radians from jacking end to point x
K = friction wobble coefficient (=0.00066/m)
L = length of prestressing steel from jacking end to point x

| Type of Steel Tendon | Length of Tendon L(m) | Type of Duct | $\mu$ |
|---|---|---|---|
| Wire or Strand | 0 to less than 183 | Rigid or semi-rigid galvanized sheet metal | 0.15 |
| | 183 to less than 275 | | 0.20 |
| | 275 to less than 366 | | 0.25 |
| | Greater than or equal to 366 | | 0.25* |
| Wire or Strand | All | Plastic | 0.23 |
| | All | Rigid Steel Pipes | 0.25* |
| High Strength Bar | All | Rigid or semi-rigid galvanized sheet metal | 0.30 |

* With the use of lubrication

**In Section 50-1.08 in the 11th paragraph, replace item 2 with:**

2. When the concrete is designated by class or cementitious material content, either the concrete compressive strength shall have reached the strength shown on the plans at the time of stressing or at least 28 days shall have elapsed since the last concrete to be prestressed has been placed, whichever occurs first.

**In Section 50-1.08 replace the 13th and 14th paragraphs with:**

Prestressing steel in pretensioned members shall not be cut or released until the concrete in the member has attained a compressive strength of not less than the value shown on the plans or 28 MPa, whichever is greater. In addition to these concrete strength requirements, when epoxy-coated prestressing steel strand is used, the steel shall not be cut or released until the temperature of the concrete surrounding the strand is less than 65°C, and falling.

When ordered by the Engineer, prestressing steel strands in pretensioned members, if tensioned individually, shall be checked by the Contractor for loss of prestress not more than 48 hours prior to placing concrete for the members. The method and equipment for checking the loss of prestress shall be subject to approval by the Engineer. Strands which show a loss of prestress in excess of 3 percent shall be retensioned to the original computed jacking stress.

**In Section 50-1.09 replace the 2nd and 3rd paragraphs with:**

Grout shall consist of cement and water and may contain an admixture if approved by the Engineer.

Cement shall conform to the provisions in Section 90-2.01A, "Cement."

**In Section 50-1.10 replace the 5th paragraph with:**

The following samples of materials and tendons, selected by the Engineer from the prestressing steel at the plant or jobsite, shall be furnished by the Contractor to the Engineer well in advance of anticipated use:

A. For wire or bars, one 2-m long sample and for strand, one 1.5-m long sample, of each size shall be furnished for each heat or reel.
B. For epoxy-coated strand, one 1.5-m long sample of uncoated strand of each size shall be furnished for each reel.
C. If the prestressing tendon is a bar, one 2-m long sample shall be furnished and in addition, if couplers are to be used with the bar, two 1.25-m long samples of bar, equipped with one coupler and fabricated to fit the coupler, shall be furnished.

**In Section 50-1.11 replace the 1st paragraph with:**

No separate payment will be made for pretensioning precast concrete members. Payment for pretensioning precast concrete members shall be considered as included in the contract price paid for furnish precast members as provided for in Section 51, "Concrete Structures."

**In Section 50-1.11 replace the 2nd paragraph with:**

The contract lump sum prices paid for prestressing cast-in-place concrete of the types listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in furnishing, placing, and tensioning the prestressing steel in cast-in-place concrete structures, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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## SECTION 51 CONCRETE STRUCTURES
**(Issued 11-21-08)**

**In Section 51-1.05 replace the 11th paragraph with:**

Form panels for exposed surfaces shall be furnished and placed in uniform widths of not less than 0.9-m and in uniform lengths of not less than 1.8 m, except at the end of continuously formed surfaces where the final panel length required is less than 1.8 m. Where the width of the member formed is less than 0.9-m, the width of the panels shall be not less than the width of the member. Panels shall be arranged in symmetrical patterns conforming to the general lines of the structure. Except when otherwise provided herein or shown on the plans, panels for vertical surfaces shall be placed with the long dimension horizontal and with horizontal joints level and continuous. Form panels for curved surfaces of columns shall be continuous for a minimum of one quarter of the circumference, or 1.8 m. For walls with sloping footings which do not abut other walls,

panels may be placed with the long dimension parallel to the footing. Form panels on each side of the panel joint shall be precisely aligned, by means of supports or fasteners common to both panels, to result in a continuous unbroken concrete plane surface. When prefabricated soffit panels are used, form filler panels joining prefabricated panels shall have a uniform minimum width of 0.3-m and shall produce a smooth uniform surface with consistent longitudinal joint lines between the prefabricated panels.

**In Section 51-1.06A replace the 1st paragraph with:**

The Contractor shall submit to the Engineer working drawings and design calculations for falsework proposed for use at bridges. For bridges where the height of any portion of the falsework, as measured from the ground line to the soffit of the superstructure, exceeds 4.25 m; or where any individual falsework clear span length exceeds 4.85 m; or where provision for vehicular, pedestrian, or railroad traffic through the falsework is made; the drawings shall be signed by an engineer who is registered as a Civil Engineer in the State of California. Six sets of the working drawings and 2 copies of the design calculations shall be furnished. Additional working drawings and design calculations shall be submitted to the Engineer when specified in "Railroad Relations and Insurance" of the special provisions.

**In Section 51-1.06A replace the 2nd paragraph with:**

The falsework drawings shall include details of the falsework erection and removal operations showing the methods and sequences of erection and removal and the equipment to be used. The details of the falsework erection and removal operations shall demonstrate the stability of all or any portions of the falsework during all stages of the erection and removal operations.

**In Section 51-1.06A replace the 7th paragraph with:**

In the event that several falsework plans are submitted simultaneously, or an additional plan is submitted for review before the review of a previously submitted plan has been completed, the Contractor shall designate the sequence in which the plans are to be reviewed. In such event, the time to be provided for the review of any plan in the sequence shall be not less than the review time specified above for that plan, plus 2 weeks for each plan of higher priority which is still under review. A falsework plan submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate falsework plan submittal.

**In Section 51-1.06A, add:**

If structural composite lumber is proposed for use, the falsework drawings shall clearly identify the structural composite lumber members by grade (E value), species, and type. The Contractor shall provide technical data from the manufacturer showing the tabulated working stress values of the composite lumber. The Contractor shall furnish a certificate of compliance as specified in Section 6-1.07, "Certificates of Compliance," for each delivery of structural composite lumber to the project site.

For falsework piles with a calculated loading capacity greater than 900 kN, the falsework piles shall be designed by an engineer who is registered as either a Civil Engineer or a Geotechnical Engineer in the State of California, and the calculations shall be submitted to the Engineer.

**In Section 51-1.06A(1) replace the 1st paragraph with:**

The design load for falsework shall consist of the sum of dead and live vertical loads, and an assumed horizontal load. The minimum total design load for any falsework, including members that support walkways, shall be not less than 4800 $N/m^2$ for the combined live and dead load regardless of slab thickness.

**In Section 51-1.06A(1) replace the 8th paragraph with:**

In addition to the minimum requirements specified in this Section 51-1.06A, falsework for box girder structures with internal falsework bracing systems using flexible members capable of withstanding tensile forces only, shall be designed to include the vertical effects caused by the elongation of the flexible member and the design horizontal load combined with the dead and live loads imposed by concrete placement for the girder stems and connected bottom slabs. Falsework comprised of individual steel towers with bracing systems using flexible members capable of withstanding tensile forces only to resist overturning, shall be exempt from these additional requirements.

**In Section 51-1.06B replace the 3rd paragraph with:**

When falsework is supported on piles, the piles shall be driven and the actual nominal resistance assessed in conformance with the provisions in Section 49, "Piling."

**In Section 51-1.06B, add:**

For falsework piles with a calculated nominal resistance greater than 1800 kN, the Contractor shall conduct dynamic monitoring of pile driving and generate field acceptance criteria based on a wave equation analysis. These analyses shall be signed by an engineer who is registered as a Civil Engineer in the State of California and submitted to the Engineer prior to completion of falsework erection.

Prior to the placement of falsework members above the stringers, the final bracing system for the falsework shall be installed.

**In Section 51-1.06C, add:**

The falsework removal operation shall be conducted in such a manner that any portion of the falsework not yet removed remains in a stable condition at all times.

**In Section 51-1.09 replace the 6th paragraph with:**

Vibrators used to consolidate concrete containing epoxy-coated bar reinforcement or epoxy-coated prestressing steel shall have a resilient covering to prevent damage to the epoxy-coating on the reinforcement or prestressing steel.

**In Section 51-1.11 replace the 6th paragraph with:**

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

**In Section 51-1.12D replace the 4th paragraph with:**

Expanded polystyrene shall be a commercially available polystyrene board. Expanded polystyrene shall have a minimum flexural strength of 240 kPa determined in conformance with the requirements in ASTM Designation: C 203 and a compressive yield strength of between 110 and 275 kPa at 5 percent compression. Surfaces of expanded polystyrene against which concrete is placed shall be faced with hardboard. Hardboard shall be 3 mm minimum thickness, conforming to ANSI A135.4, any class. Other facing materials may be used provided they furnish equivalent protection. Boards shall be held in place by nails, waterproof adhesive, or other means approved by the Engineer.

**In Section 51-1.12F, add:**

The opening of the joints at the time of placing shall be that shown on the plans adjusted for temperature. Care shall be taken to avoid impairment of the clearance in any manner.

**In Section 51-1.12F replace the 1st and 2nd paragraphs with:**

Where shown on the plans, joints in structures shall be sealed with joint seals, joint seal assemblies, or seismic joints in conformance with the details shown on the plans, the provisions in these specifications, and the special provisions.

Type A and AL joint seals shall consist of a groove in the concrete that is filled with field-mixed silicone sealant.

**In Section 51-1.12F replace the 4th and 5th paragraphs with:**

Joint seal assemblies and seismic joints shall consist of metal or metal and elastomeric assemblies which are anchored or cast into a recess in the concrete over the joint. Strip seal joint seal assemblies consist of only one joint cell. Modular unit joint seal assemblies consist of more than one joint cell.

The Movement Rating (MR) shall be measured normal to the longitudinal axis of the joint. The type of seal to be used for the MR shown on the plans shall be as follows:

| Movement Rating (MR) | Seal Type |
|---|---|
| MR ≤ 25 mm | Type A or Type B |
| 25 mm < MR ≤ 50 mm | Type B |
| 50 mm < MR ≤ 100 mm | Joint Seal Assembly (Strip Seal) |
| MR > 100 mm | Joint Seal Assembly (Modular Unit) or Seismic Joint |

**In Section 51-1.12F(3)(a) replace the 1st and 2nd paragraphs with:**

The sealant must consist of a 2-component silicone sealant that will withstand up to ±50 percent movement. Silicone sealants must be tested under California Test 435 and must comply with the following:

| Specification | Requirement |
|---|---|
| Modulus at 150 percent elongation | 35–520 kPa |
| Recovery | 17 mm max. |
| Notch Test | Notched or loss of bond 6 mm, max. |
| Water Resistance | Notched or loss of bond 6 mm, max. |
| Ultraviolet Exposure<br>ASTM Designation: G 154, Table X2.1,Cycle 2. | No more than slight checking or cracking. |
| Cone Penetration | 4.5-12.0 mm |

**In Section 51-1.12F(3)(a) delete the 3rd and 8th paragraphs.**

**In Section 51-1.12F(3)(a) replace the 10th paragraph with:**

A Certificate of Compliance accompanied by a certified test report must be furnished for each batch of silicone sealant in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

**In Section 51-1.12F(3)(b) replace the 2nd paragraph with:**

The preformed elastomeric joint seal must conform to the requirements in ASTM D 2628 and the following:

1. The seal must consist of a multichannel, nonporous, homogeneous material furnished in a finished extruded form.
2. The minimum depth of the seal measured at the contact surface must be at least 95 percent of the minimum uncompressed width of the seal as designated by the manufacturer.
3. When tested in conformance with the requirements in California Test 673 for Type B seals, joint seals must provide a movement rating (MR) of not less than that shown on the plans.
4. The top and bottom edges of the joint seal must maintain continuous contact with the sides of the groove over the entire range of joint movement.
5. The seal must be furnished full length for each joint with no more than 1 shop splice in any 18 m length of seal.
6. The Contractor must demonstrate the adequacy of the procedures to be used in the work before installing seals in the joints.
7. One field splice per joint may be made at locations and by methods approved by the Engineer. The seals are to be manufactured full length for the intended joint, then cut at the approved splice section and rematched before splicing. The Contractor must submit splicing details prepared by the joint seal manufacturer for approval before beginning splicing work.
8. Shop splices and field splices must have no visible offset of exterior surfaces and must show no evidence of bond failure.
9. At all open ends of the seal that would admit water or debris, each cell must be filled to a depth of 80 mm with commercial quality open cell polyurethane foam or closed by other means subject to approval by the Engineer.

**In Section 51-1.12F(3)(b) replace the 7th paragraph with:**

The joint seal must be installed full length for each joint with equipment that does not twist or distort the seal, elongate the seal longitudinally, or otherwise cause damage to the seal or to the concrete forming the groove.

**Replace Section 51-1.12F(3)(c), with:**

**(c) Joint Seal Assemblies and Seismic Joints**

Joint seal assemblies and seismic joints shall be furnished and installed in joints in bridge decks as shown on the plans and as specified in the special provisions.

**In Section 51-1.12H(1) replace the 8th paragraph with:**

The elastomer, as determined from test specimens, shall conform to the following:

| Test | ASTM Designation | Requirement |
|---|---|---|
| Tensile strength, MPa | D 412 | 15.5 Min. |
| Elongation at break, percent | D 412 | 350 Min. |
| Compression set, 22 h at 70°C, percent | D 395 (Method B) | 25 Max. |
| Tear strength, kN/m | D 624 (Die C) | 31.5 Min. |
| Hardness (Type A) | D 2240 with 2 kg. mass | 55 ±5 |
| Ozone resistance 20% strain, 100 h at 40°C ±2°C | D 1149 (except 100 ±20 parts per 100 000 000) | No cracks |
| Instantaneous thermal stiffening at -40°C | D 1043 | Shall not exceed 4 times the stiffness measured at 23°C |
| Low temperature brittleness at -40°C | D 746 (Procedure B) | Pass |

**In Section 51-1.12H(1) in the 9th paragraph replace the table, with:**

| | |
|---|---|
| Tensile strength, percent | -15 |
| Elongation at break, percent | -40; but not less than 300% total elongation of the material |
| Hardness, points | +10 |

**In Section 51-1.12H(2) replace the 1st paragraph with:**

Steel reinforced elastomeric bearings shall conform to the requirements for steel-laminated elastomeric bearings in ASTM Designation: D 4014 and the following:

A. The bearings shall consist of alternating steel laminates and internal elastomer laminates with top and bottom elastomer covers. Steel laminates shall have a nominal thickness of 1.9 mm (14 gage). Internal elastomer laminates shall have a thickness of 12 mm, and top and bottom elastomer covers shall each have a thickness of 6 mm. The combined thickness of internal elastomer laminates and top and bottom elastomer covers shall be equal to the bearing pad thickness shown on the plans. The elastomer cover to the steel laminates at the sides of the bearing shall be 3 mm. If guide pins or other devices are used to control the side cover over the steel laminates, any exposed portions of the steel laminates shall be sealed by vulcanized patching. The length, width, or diameter of the bearings shall be as shown on the plans.

B. The total thickness of the bearings shall be equal to the thickness of elastomer laminates and covers plus the thickness of the steel laminates.

C. Elastomer for steel reinforced elastomeric bearings shall conform to the provisions for elastomer in Section 51-1.12H(1), "Plain and Fabric Reinforced Elastomeric Bearing Pads."

D. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished to the Engineer certifying that the bearings to be furnished conform to all of the above provisions. The Certificate of Compliance shall be supported by a certified copy of the results of tests performed by the manufacturer on the bearings.

E. One sample bearing shall be furnished to the Engineer from each lot of bearings to be furnished for the contract. Samples shall be available at least 3 weeks in advance of intended use. The sample bearing shall be one of the following:

| Bearing Pad Thickness as Shown on the Plans | Sample Bearing |
|---|---|
| ≤ 50 mm | Smallest complete bearing shown on the plans |
| > 50 mm | * 57 ± 3 mm thick sample not less than 200 mm x 305 mm in plan and cut by the manufacturer from the center of one of the thickest complete bearings |

* The sample bearing plus remnant parts of the complete bearing shall be furnished to the Engineer.

F. A test specimen taken from the sample furnished to the Engineer will be tested in conformance with the requirements in California Test 663. Specimens tested shall show no indication of loss of bond between the elastomer and steel laminates.

**In Section 51-1.135 replace the 1st paragraph with:**

Mortar shall be composed of cementitious material, sand, and water proportioned and mixed as specified in this Section 51-1.135.

**In Section 51-1.135 replace the 3rd paragraph with:**

The proportion of cementitious material to sand, measured by volume, shall be 1:2 unless otherwise specified.

**In Section 51-1.14 replace the 4th paragraph with:**

Neoprene shall be manufactured from a vulcanized elastomeric compound containing neoprene as the sole elastomer and shall conform to the following:

| Test | ASTM Designation | Requirement |
|---|---|---|
| Tensile strength, MPa | D 412 | 13.8 Min. |
| Elongation at break, percent | D 412 | 300 Min. |
| Compression set, 22 h at 70°C, percent | D 395 (Method B) | 30 Max. |
| Tear strength, kN/m | D 624 (Die C) | 26.3 Min. |
| Hardness (Type A) | D 2240 | 55±5 |
| Ozone resistance 20% strain, 100 h at 38°C ±1°C | D 1149 (except 100± 20 parts per 100 000 000) | No cracks |
| Low temperature brittleness at -40°C | D 746 (Procedure B) | Pass |
| Flame resistance | C 542 | Must not propagate flame |
| Oil Swell, ASTM Oil #3, 70 h at 100°C, volume change, percent | D 471 | 80 Max. |
| Water absorption, immersed 7 days at 70°C, change in mass, percent | D 471 | 15 Max. |

**In Section 51-1.17 in 4th paragraph, replace the 1st sentence with:**

The smoothness of completed roadway surfaces of structures, approach slabs and the adjacent 15 m of approach pavement, and the top surfaces of concrete decks which are to be covered with another material, will be tested by the Engineer with a bridge profilograph in conformance with the requirements in California Test 547 and the requirements herein.

**In Section 51-1.17 delete the 7th paragraph**

**In Section 51-1.17 delete the 13th paragraph**

**In Section 51-1.17 delete the 14th paragraph**

**Add Section:**

**51-1.17A DECK CRACK TREATMENT**

The Contractor shall use all means necessary to minimize the development of shrinkage cracks.

The Contractor shall remove all equipment and materials from the deck and clean the surface as necessary for the Engineer to measure the surface crack intensity. Surface crack intensity will be determined by the Engineer after completion of concrete cure, before prestressing, and before the release of falsework. In any 50 square meter portion of deck within the limits of the new concrete deck, should the intensity of cracking be such that there are more than 5 m of cracks whose width at any location exceeds 0.5 mm**,** the deck shall be treated with methacrylate resin. The area of deck to be treated shall have a width that extends for the entire width of new deck inside the concrete barriers and a length that extends at least 1.5 m beyond the furthest single continuous crack outside the 50 square meter portion, measured from where that crack exceeds 0.5 mm in width, as determined by the Engineer.

Deck crack treatment shall include furnishing, testing, and application of methacrylate resin and sand. If grinding is required, deck treatment shall take place before grinding.

**51-1.17A(1) Submittals**

Before starting deck treatment, the Contractor shall submit plans in conformance with Section 5-1.02, "Plans and Working Drawings," for the following:

1. Public safety plan for the use of methacrylate resin
2. Placement plan for the construction operation

The plans shall identify materials, equipment, and methods to be used.

The public safety plan for the use of methacrylate resin shall include details for the following:

1. Shipping
2. Storage
3. Handling
4. Disposal of residual methacrylate resin and the containers

The placement plan for construction shall include the following:

1. Schedule of deck treatment for each bridge. The schedule shall be consistent with "Maintaining Traffic," of the special provisions and shall include time for the Engineer to perform California Test 342.
2. Methods and materials to be used, including the following:

    2.1. Description of equipment for applying the resin
    2.2. Description of equipment for applying the sand
    2.3. Gel time range and final cure time for the resin

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of methacrylate resin, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

**51-1.17A(2) Materials**

Before using methacrylate resin, a Material Safety Data Sheet shall be submitted for each shipment of resin.

Methacrylate resin shall be low odor and have a high molecular weight. Before adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

| PROPERTY | REQUIREMENT | TEST METHOD |
|---|---|---|
| * Viscosity | 0.025 Pa·s, maximum, (Brookfield RVT with UL adaptor, 50 RPM at 25°C | ASTM D 2196 |
| * Specific Gravity | 0.90 minimum, at 25°C | ASTM D 1475 |
| * Flash Point | 82°C, minimum | ASTM D 3278 |
| * Vapor Pressure | 1.0 mm Hg, maximum, at 25°C | ASTM D 323 |
| Tack-free Time | 400 minutes, maximum, at 25°C | Specimen prepared per California Test 551 |
| PCC Saturated Surface-Dry Bond Strength | 3.5 MPa, minimum at 24 hours and 21±1°C | California Test 551 |
| * Test shall be performed before adding initiator. | | |

**51-1.17A(3) Testing**

The Contractor shall allow 20 days for sampling and testing by the Engineer of the methacrylate resin before proposed use. If bulk resin is to be used, the Contractor shall notify the Engineer in writing at least 15 days before the delivery of the bulk resin to the job site. Bulk resin is any resin stored in containers in excess of 209 liters.

Before starting production treatment, the Contractor shall treat a test area of approximately 50 square meters that is within the project limits and at a location approved by the Engineer. When available the test area shall be outside of the traveled way. Weather and pavement conditions during the test treatment shall be similar to those expected on the deck. Equipment used for testing shall be similar to those used for deck treating operations.

During test and production deck treatment, test tiles shall be used to evaluate the resin cure time. The Contractor shall coat at least one 102 mm x 102 mm commercial quality smooth glazed tile for each batch of methacrylate resin. The coated tile shall be placed adjacent to the corresponding treated area. Sand shall not be applied to the test tiles.

The acceptance criteria for a treated area is as follows:

1. The test tiles are dry to the touch.
2. The treated deck surface is tack free (non-oily).
3. The sand cover adheres and resists brushing by hand.
4. Excess sand has been removed by vacuuming or sweeping.
5. The coefficient of friction is at least 0.35 when tested in conformance with California Test 342.

If a test or production area fails to meet the acceptance criteria, as determined by the Engineer, the treatment will be rejected, and the treatment shall be removed and replaced until the area complies with the acceptance criteria.

**51-1.17A(4) Construction**

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices as necessary to prevent oil or other deleterious material from being deposited on the deck.

Before deck treatment with methacrylate resin, the bridge deck surface shall be cleaned by abrasive blasting, and all loose material shall be blown from visible cracks using high-pressure air. Concrete curing seals shall be cleaned from the deck surface to be treated, and the deck shall be dry when blast cleaning is performed. If the deck surface becomes contaminated at any time before placing the resin, the deck surface shall be cleaned by abrasive blasting.

Where abrasive blasting is being performed within 3 m of a lane occupied by public traffic, the residue including dust shall be removed immediately after contact between the abrasive and the surface being treated. The removal shall be by a vacuum attachment operating concurrently with the abrasive blasting operation.

A compatible promoter/initiator system shall be capable of providing the resin gel time range shown on the placement plan. Gel time shall be adjusted to compensate for the changes in temperature throughout treatment application.

Resin shall be applied by machine and by using a two-part resin system with a promoted resin for one part and an initiated resin for the other part. This two-part resin system shall be combined at equal volumes to the spray bars through separate positive displacement pumps. Combining of the 2 components shall be by either static in-line mixers or by external intersecting spray fans. The pump pressure at the spray bars shall not be great enough to cause appreciable atomization of the resin. Compressed air shall not be used to produce the spray. A shroud shall be used to enclose the spray bar apparatus.

At the Contractor's option, manual application may be used. For manual application, (1) the quantity of resin mixed with promoter and initiator shall be limited to 20 L at a time, and (2) the resin shall be distributed by squeegees and brooms within 10 minutes after application.

The Contractor shall apply methacrylate resin only to the specified area. Barriers, railing, joints, and drainage facilities shall be adequately protected to prevent contamination by the treatment material. Contaminated items shall be repaired at the Contractor's expense.

The relative humidity shall be less than 90 percent at the time of treatment. The prepared area shall be dry and the surface temperature shall be at least 10°C , and not more than 38°C when the resin is applied. The rate of application of promoted/initiated resin shall be 2.2 square meter per liter; the exact rate shall be determined by the Engineer.

The deck surfaces to be treated shall be completely covered with resin so the resin penetrates and fills all cracks. The resin shall be applied within 5 minutes after complete mixing. A significant increase in viscosity shall be cause for rejection. Excess material shall be redistributed by squeegees or brooms within 10 minutes after application. For textured deck surfaces, including grooved surfaces, excess material shall be removed from the texture indentations.

After the resin has been applied, at least 20 minutes shall elapse before applying sand. The sand shall be commercial quality dry blast sand. At least 95 percent of the sand shall pass the 2.36-mm sieve and at least 95 percent shall be retained on the 850-µm sieve. The sand shall be applied at a rate of approximately one kilogram per square meter or until refusal as determined by the Engineer.

Traffic will not be allowed on treated areas until the acceptance criteria has been met as determined by the Engineer.

**In Section 51-1.18C replace the 2nd paragraph with:**

When Class 2 surface finish (gun finish) is specified, ordinary surface finish shall first be completed. The concrete surfaces shall then be abrasive blasted to a rough texture and thoroughly washed down with water. While the washed surfaces are damp, but not wet, a finish coating of machine applied mortar, approximately 6 mm thick, shall be applied in not less than 2 passes. The coating shall be pneumatically applied and shall consist of either (1) sand, cementitious material, and water mechanically mixed prior to its introduction to the nozzle or (2) premixed sand and cementitious material to which water is added prior to its expulsion from the nozzle. The use of admixtures shall be subject to the approval of the Engineer as provided in Section 90, "Portland Cement Concrete." Unless otherwise specified, supplementary cementitious materials will not be required. The proportion of cementitious material to sand shall be not less than one to 4, unless otherwise directed by the Engineer. Sand shall be of a grading suitable for the purpose intended. The machines shall be operated and the coating shall be applied in conformance with standard practice. The coating shall be firmly bonded to the concrete surfaces on which it is applied.

**In Section 51-1.18C replace the 5th paragraph with:**

When surfaces to be finished are in pedestrian undercrossings, the sand shall be silica sand and the cementitious material shall be standard white portland cement.

**In Section 51-1.23 replace the 14th paragraph with:**

Full compensation for drilling holes for dowels and grouting dowels in drilled holes; furnishing and placing mortar for mortaring spaces and recesses in and between precast members; furnishing and placing grit for walkways, stair treads and landings; furnishing and placing expansion joint filler, sheet packing, board fillers, elastomeric bearing pads, sliding joints, sliding bearings and preformed fabric pads; and grinding or grooving, as required, shall be considered as included in the contract prices paid for the various items of concrete work and no additional compensation will be allowed therefor.

**In Section 51-1.23 add after the 16th paragraph:**

Full compensation for deck crack treatment, including execution of the public safety plan, shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge, and no additional compensation will be allowed therefor.

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## SECTION 52 REINFORCEMENT
**(Issued 12-07-07)**

**In Section 52-1.02A replace the 1st paragraph with:**

Reinforcing bars shall be low-alloy steel deformed bars conforming to the requirements in ASTM Designation: A 706/A 706M, except that deformed or plain billet-steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420, may be used as reinforcement in the following 5 categories:

A. Slope and channel paving,
B. Minor structures,
C. Sign and signal foundations (pile and spread footing types),
D. Roadside rest facilities, and
E. Concrete barrier Type 50 and Type 60 series and temporary railing.

**In Section 52-1.04 replace the 3rd paragraph with:**

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall also be furnished for each shipment of epoxy-coated bar reinforcement or wire reinforcement certifying that the coated reinforcement conforms to the requirements in ASTM Designation: A 775/A 775M or A 884/A 884M respectively, and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement." The Certificate of Compliance shall include all of the certifications specified in ASTM Designation: A 775/A 775M or A 884/A 884M respectively.

**In Section 52-1.06 replace the 3rd paragraph with:**

Hooks and bends shall conform to the provisions of the Building Code Requirements for Structural Concrete of the American Concrete Institute.

**In Section 52-1.07 in the 3rd paragraph, delete item C**

**In Section 52-1.07 replace the 11th paragraph with:**

Attention is directed to the provisions in Section 7-1.09, "Public Safety." Whenever a portion of an assemblage of bar reinforcing steel that is not encased in concrete exceeds 6 m in height, the Contractor shall submit to the Engineer for approval, in accordance with the provisions in Section 5-1.02, "Plans and Working Drawings," working drawings and design calculations for the temporary support system to be used. The working drawings and design calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California. The temporary support system shall be designed to resist all expected loads and shall be adequate to prevent collapse or overturning of the assemblage. If the installation of forms or other work requires revisions to or temporary release of any portion of the temporary support system, the working drawings shall show the support system to be used during each phase of construction. The minimum horizontal wind load to

be applied to the bar reinforcing steel assemblage, or to a combined assemblage of reinforcing steel and forms, shall be the sum of the products of the wind impact area and the applicable wind pressure value for each height zone. The wind impact area is the total projected area of the cage normal to the direction of the applied wind. Wind pressure values shall be determined from the following table:

| Height Zone (Meters above ground) | Wind Pressure Value (Pa) |
|---|---|
| 0-9.0 | 960 |
| 9.1-15.0 | 1200 |
| 15.1-30.0 | 1440 |
| Over 30 | 1675 |

**Replace Section 52-1.08 with:**

**52-1.08 SPLICING**

Splices of reinforcing bars shall consist of lap splices, service splices, or ultimate butt splices.

Splicing of reinforcing bars will not be permitted at a location designated on the plans as a "No-Splice Zone." At the option of the Contractor, reinforcing bars may be continuous at locations where splices are shown on the plans. The location of splices, except where shown on the plans, shall be determined by the Contractor using available commercial lengths where practicable.

Unless otherwise shown on the plans, splices in adjacent reinforcing bars at any particular section shall be staggered. The minimum distance between staggered lap splices or mechanical lap splices shall be the same as the length required for a lap splice in the largest bar. The minimum distance between staggered butt splices shall be 600 mm, measured between the midpoints of the splices along a line which is centered between the axes of the adjacent bars.

**52-1.08A Lap Splicing Requirements**

Splices made by lapping shall consist of placing reinforcing bars in contact and wiring them together, maintaining the alignment of the bars and the minimum clearances. Should the Contractor elect to use a butt welded or mechanical splice at a location not designated on the plans as requiring a service or ultimate butt splice, this splice shall conform to the testing requirements for service splice.

Reinforcing bars shall not be spliced by lapping at locations where the concrete section is not sufficient to provide a minimum clear distance of 50 mm between the splice and the nearest adjacent bar. The clearance to the surface of the concrete specified in Section 52-1.07, "Placing," shall not be reduced.

Reinforcing bars Nos. 43 and 57 shall not be spliced by lapping.

Where ASTM Designations: A 615/A 615M, Grade 420 or A 706/A 706M reinforcing bars are required, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 45 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 60 diameters of the smaller bar joined, except when otherwise shown on the plans.

Where ASTM Designation: A 615/A 615M, Grade 280 reinforcing bars are permitted, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 30 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 45 diameters of the smaller bar joined, except when otherwise shown on the plans.

Splices in bundled bars shall conform to the following:

A In bundles of 2 bars, the length of the lap splice shall be the same as the length of a single bar lap splice.
B. In bundles of 3 bars, the length of the lap splice shall be 1.2 times the length of a single bar lap splice.

Welded wire fabric shall be lapped such that the overlap between the outermost cross wires is not less than the larger of:

A. 150 mm,
B. The spacing of the cross wires plus 50 mm, or
C. The numerical value of the longitudinal wire size (MW-Size Number) times 370 divided by the spacing of the longitudinal wires in millimeters.

### 52-1.08B Service Splicing and Ultimate Butt Splicing Requirements

Service splices and ultimate butt splices shall be either butt welded or mechanical splices, shall be used at the locations shown on the plans, and shall conform to the requirements of these specifications and the special provisions.

#### 52-1.08B(1) Mechanical Splices

Mechanical splices to be used in the work shall be on the Department's current prequalified list before use. The prequalified list can be obtained from the Department's internet site listed in the special provisions or by contacting the Transportation Laboratory directly.

When tested in conformance with the requirements in California Test 670, the total slip shall not exceed the values listed in the following table:

| Reinforcing Bar Number | Total Slip (μm) |
|---|---|
| 13 | 250 |
| 16 | 250 |
| 19 | 250 |
| 22 | 350 |
| 25 | 350 |
| 29 | 350 |
| 32 | 450 |
| 36 | 450 |
| 43 | 600 |
| 57 | 750 |

Slip requirements shall not apply to mechanical lap splices, splices that are welded, or splices that are used on hoops.

Splicing procedures shall be in conformance with the manufacturer's recommendations, except as modified in this section. Splices shall be made using the manufacturer's standard equipment, jigs, clamps, and other required accessories.

Splice devices shall have a clear coverage of not less than 40 mm measured from the surface of the concrete to the outside of the splice device. Stirrups, ties, and other reinforcement shall be adjusted or relocated, and additional reinforcement shall be placed, if necessary, to provide the specified clear coverage to reinforcement.

The Contractor shall furnish the following information for each shipment of splice material in conformance with the provisions in Section 6-1.07, "Certificates of Compliance:"

A. The type or series identification of the splice material including tracking information for traceability.
B. The bar grade and size number to be spliced.
C. A copy of the manufacturer's product literature giving complete data on the splice material and installation procedures.
D. A statement that the splicing systems and materials used in conformance with the manufacturer's installation procedures will develop the required tensile strengths, based on the nominal bar area, and will conform to the total slip requirements and the other requirements in these specifications.
E. A statement that the splice material conforms to the type of mechanical splice in the Department's current prequalified list.

#### 52-1.08B(2) Butt Welded Splices

Except for resistance butt welds, butt welded splices of reinforcing bars shall be complete joint penetration butt welds conforming to the requirements in AWS D 1.4, and these specifications.

Welders and welding procedures shall be qualified in conformance with the requirements in AWS D 1.4.

Only the joint details and dimensions as shown in Figure 3.2, "Direct Butt Joints," of AWS D 1.4, shall be used for making complete joint penetration butt welds of bar reinforcement. Split pipe backing shall not be used.

Butt welds shall be made with multiple weld passes using a stringer bead without an appreciable weaving motion. The maximum stringer bead width shall be 2.5 times the diameter of the electrode and slagging shall be performed between each weld pass. Weld reinforcement shall not exceed 4 mm in convexity.

Electrodes used for welding shall meet the minimum Charpy V-notch impact requirement of 27°J at –20°C.

For welding of bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 280 or Grade 420, the requirements of Table 5.2, "Minimum Preheat and Interpass Temperatures," of AWS D 1.4 are superseded by the following:

The minimum preheat and interpass temperatures shall be 200°C for Grade 280 bars and 300°C for Grade 420 bars. Immediately after completing the welding, at least 150 mm of the bar on each side of the splice shall be covered by an insulated wrapping to control the rate of cooling. The insulated wrapping shall remain in place until the bar has cooled below 90°C.

When welding different grades of reinforcing bars, the electrode shall conform to Grade 280 bar requirements and the preheat shall conform to the Grade 420 bar requirements.

In the event that any of the specified preheat, interpass, and post weld cooling temperatures are not met, all weld and heat affected zone metal shall be removed and the splice rewelded.

Welding shall be protected from air currents, drafts, and precipitation to prevent loss of heat or loss of arc shielding. The method of protecting the welding area from loss of heat or loss of arc shielding shall be subject to approval by the Engineer.

Reinforcing bars shall not be direct butt spliced by thermite welding.

Procedures to be used in making welded splices in reinforcing bars, and welders employed to make splices in reinforcing bars, shall be qualified by tests performed by the Contractor on sample splices of the type to be used, before making splices to be used in the work.

**52-1.08B(3) Resistance Butt Welds**

Shop produced resistance butt welds shall be produced by a fabricator who is approved by the Transportation Laboratory. The list of approved fabricators can be obtained from the Department's internet site or by contacting the Transportation Laboratory directly.

Before manufacturing hoops using resistance butt welding, the Contractor shall submit to the Engineer the manufacturer's Quality Control (QC) manual for the fabrication of hoops. As a minimum, the QC manual shall include the following:

A. The pre-production procedures for the qualification of material and equipment.
B. The methods and frequencies for performing QC procedures during production.
C. The calibration procedures and calibration frequency for all equipment.
D. The welding procedure specification (WPS) for resistance welding.
E. The method for identifying and tracking lots.

**52-1.08C Service Splice and Ultimate Butt Splice Testing Requirements**

The Contractor shall designate in writing a splicing Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for 1) the quality of all service and ultimate butt splicing including the inspection of materials and workmanship performed by the Contractor and all subcontractors; and 2) submitting, receiving, and approving all correspondence, required submittals, and reports regarding service and ultimate splicing to and from the Engineer.

The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Testing on prequalification and production sample splices shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The laboratory shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

A. Proper facilities, including a calibrated tensile testing machine capable of breaking the largest size of reinforcing bar to be tested.
B. A device for measuring the total slip of the reinforcing bars across the splice to the nearest 25 µm, that, when placed parallel to the longitudinal axis of the bar is able to simultaneously measure movement across the splice at 2 locations 180 degrees apart.
C. Operators who have received formal training for performing the testing requirements of ASTM Designation: A 370 and California Test 670.
D. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

The Contractor shall provide samples for quality assurance testing in conformance with the provisions in these specifications and the special provisions.

Prequalification and production sample splices and testing shall conform to California Test 670 and these specifications.

The Contractor shall ensure that sample splices are properly secured and transported to the testing laboratory in such a manner that no alterations to the physical conditions occur during transportation. Sample splices shall be tested in the same condition as received. No modifications to the sample splices shall be made before testing.

Each set or sample splice, as defined herein, shall be identified as representing either a prequalification or production test sample splice.

For the purpose of production testing, a lot of either service splices or ultimate butt splices is defined as 1) 150, or fraction thereof, of the same type of mechanical splices used for each bar size and each bar deformation pattern that is used in the work, or 2) 150, or fraction thereof, of complete joint penetration butt welded splices or resistance butt welded splices for each bar size used in the work. If different diameters of hoop reinforcement are shown on the plans, separate lots shall be used for each different hoop diameter.

Whenever a lot of splices is rejected, the rejected lot and subsequent lots of splices shall not be used in the work until 1) the QCM performs a complete review of the Contractor's quality control process for these splices, 2) a written report is submitted to the Engineer describing the cause of failure for the splices in this lot and provisions for preventing similar failures in future lots, and 3) the Engineer has provided the Contractor with written notification that the report is acceptable. The Engineer shall have 3 working days after receipt of the report to provide notification to the Contractor. In the event the Engineer fails to provide notification within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in providing notification, the Contractor will be compensated for any resulting loss, and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

### 52-1.08C(1) Splice Prequalification Report

Before using any service splices or ultimate butt splices in the work, the Contractor shall submit a Splice Prequalification Report. The report shall include splice material information, names of the operators who will be performing the splicing, and descriptions of the positions, locations, equipment, and procedures that will be used in the work.

The Splice Prequalification Report shall also include certifications from the fabricator for prequalifications of operators and procedures based on sample tests performed no more than 2 years before submitting the report. Each operator shall be certified by performing 2 sample splices for each bar size of each splice type that the operator will be performing in the work. For deformation-dependent types of splice devices, each operator shall be certified by performing 2 additional samples for each bar size and deformation pattern that will be used in the work.

Prequalification sample splices shall be tested by an independent qualified testing laboratory and shall conform to the appropriate production test criteria and slip requirements specified herein. When epoxy-coated reinforcement is required, resistance butt welded sample splices shall have the weld flash removed by the same procedure as will be used in the work, before coating and testing. The Splice Prequalification Report shall include the certified test results for all prequalification sample splices.

The QCM shall review and approve the Splice Prequalification Report before submitting it to the Engineer for approval. The Contractor shall allow 2 weeks for the review and approval of a complete report before performing any service splicing or ultimate butt splicing in the work. In the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

### 52-1.08C(2) Service Splice Test Criteria

Service production and quality assurance sample splices shall be tensile tested in conformance with the requirements in ASTM Designation: A 370 and California Test 670 and shall develop a minimum tensile strength of not less than 550 MPa.

### 52-1.08C(2)(a) Production Test Requirements for Service Splices

Production tests shall be performed by the Contractor's independent laboratory for all service splices used in the work. A production test shall consist of testing 4 sample splices prepared for each lot of completed splices. The samples shall be prepared by the Contractor using the same splice material, position, operators, location, and equipment, and following the same procedure as used in the work.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

The 4 samples from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 samples of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 samples shall be tested for, and shall conform to, the requirements for total slip. Should this sample not meet the total slip requirements, one retest, in which the 3 remaining samples are tested for total slip, will be allowed. Should any of the 3 remaining samples not conform to the total slip requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in this Section 52-1.08C(2),"Service Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," one additional production test shall be performed on the same lot of splices. This additional production test shall consist of testing 4 samples splices that have been randomly selected by the Engineer and removed by the Contractor from the actual completed lot of splices. Should any of the 4 splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed before the Engineer selects additional splices from this lot for further testing.

### 52-1.08C(2)(b) Quality Assurance Test Requirements for Service Splices

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional service quality assurance sample splices. These service quality assurance sample splices shall be prepared in the same manner as specified herein for service production sample splices.

These 4 additional quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), for mechanical splices, or in Section 52-1.08B(3), for resistance butt welds, will not be tested.

Quality assurance testing will be performed in conformance with the requirements for service production sample splices in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices."

### 52-1.08C(3) Ultimate Butt Splice Test Criteria

Ultimate production and quality assurance sample splices shall be tensile tested in conformance with the requirements described in ASTM Designation: A 370 and California Test 670.

A minimum of 1 control bar shall be removed from the same bar as, and adjacent to, all ultimate prequalification, production, and quality assurance sample splices. The lengths of control bars shall conform to the lengths specified for sample splices in California Test 670. The portion of adjacent bar remaining in the work shall also be identified with weatherproof markings that correspond to its adjacent control bar.

Each sample splice and its associated control bar shall be identified and marked as a set. Each set shall be identified as representing a prequalification, production, or quality assurance sample splice.

The portion of hoop reinforcing bar, removed to obtain a sample splice and control bar, shall be replaced using a prequalified ultimate mechanical butt splice, or the hoop shall be replaced in kind.

Reinforcing bars, other than hoops, from which sample splices are removed, shall be repaired using ultimate mechanical butt splices conforming to the provisions in Section 52-1.08C(1), "Splice Prequalification Report," or the bars shall be replaced in kind. These bars shall be repaired or replaced such that no splices are located in any "No Splice Zone" shown on the plans.

Ultimate production and quality assurance sample splices shall rupture in the reinforcing bar either: 1) outside of the affected zone or 2) within the affected zone, provided that the sample splice has achieved at least 95 percent of the ultimate tensile strength of the control bar associated with the sample splice. In addition, necking of the bar, as defined in California Test 670, shall occur at rupture regardless of whether the bar breaks inside or outside the affected zone.

The affected zone is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered by fabrication or installation of the splice.

The ultimate tensile strength shall be determined for all control bars by tensile testing the bars to rupture, regardless of where each sample splice ruptures. If 2 control bars are tested for one sample splice, the bar with the lower ultimate tensile strength shall be considered the control bar.

### 52-1.08C(3)(a) Production Test Requirements for Ultimate Butt Splices

Production tests shall be performed for all ultimate butt splices used in the work. A production test shall consist of testing 4 sets of sample splices and control bars removed from each lot of completed splices, except when quality assurance tests are performed.

After the splices in a lot have been completed, and the bars have been epoxy-coated when required, the QCM shall notify the Engineer in writing that the splices in this lot conform to the specifications and are ready for testing. Except for hoops, sample splices will be selected by the Engineer at the job site. Sample splices for hoops will be selected by the Engineer either at the job site or a fabrication facility.

After notification has been received, the Engineer will randomly select the 4 sample splices to be removed from the lot and place tamper-proof markings or seals on them. The Contractor shall select the adjacent control bar for each sample splice bar, and the Engineer will place tamper-proof markings or seals on them. These ultimate production sample splices and control bars shall be removed by the Contractor, and tested by an independent qualified testing laboratory.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

A sample splice or control bar from any set will be rejected if a tamper-proof marking or seal is disturbed before testing.

The 4 sets from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 sets of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 sample splices shall be tested for, and shall conform to, the requirements for total slip. Should this sample splice not meet these requirements, one retest, in which the 3 remaining sample splices are tested for total slip, will be allowed. Should any of the 3 remaining sample splices not conform to these requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," one additional production test shall be performed on the same lot of splices. Should any of the 4 sample splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed, complete in place, before the Engineer selects additional splices from this lot for further testing.

Production tests will not be required on repaired splices from a lot, regardless of the type of prequalified ultimate mechanical butt splice used to make the repair. However, should an additional production test be required, the Engineer may select any repaired splice for the additional production test.

### 52-1.08C(3)(b) Quality Assurance Test Requirements for Ultimate Butt Splices

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional ultimate quality assurance sample splices along with associated control bars.

Each time 4 additional ultimate quality assurance sample splices are prepared, 2 of these quality assurance sample splice and associated control bar sets and 2 of the production sample splice and associated control bar sets, together, shall conform to the requirements for ultimate production sample splices in Section 52-1.08C(3)(a),"Production Test Requirements for Ultimate Butt Splices."

The 2 remaining quality assurance sample splice and associated control bar sets, along with the 2 remaining production sample splice and associated control bar sets shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sets shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 sets will not be tested.

Quality assurance testing will be performed in conformance with the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

### 52-1.08C(3)(c) Nondestructive Splice Tests

When the specifications allow for welded sample splices to be taken from other than the completed lot of splices, the Contractor shall meet the following additional requirements.

Except for resistance butt welded splices, radiographic examinations shall be performed on 25 percent of all complete joint penetration butt welded splices from a production lot. The size of a production lot will be a maximum of 150 splices. The Engineer will select the splices which will compose the production lot and also the splices within each production lot to be radiographically examined.

All required radiographic examinations of complete joint penetration butt welded splices shall be performed by the Contractor in conformance with the requirements in AWS D 1.4 and these specifications.

Before radiographic examination, welds shall conform to the requirements in Section 4.4, "Quality of Welds," of AWS D 1.4.

Should more than 12 percent of the splices which have been radiographically examined in any production lot be defective, an additional 25 percent of the splices, selected by the Engineer from the same production lot, shall be radiographically examined. Should more than 12 percent of the cumulative total of splices tested from the same production lot be defective, all remaining splices in the lot shall be radiographically examined.

Additional radiographic examinations performed due to the identification of defective splices shall be at the Contractor's expense.

All defects shall be repaired in conformance with the requirements in AWS D 1.4.

The Contractor shall notify the Engineer in writing 48 hours before performing any radiographic examinations.

The radiographic procedure used shall conform to the requirements in AWS D1.1, AWS D1.4, and the following:

A. Two exposures shall be made for each complete joint penetration butt welded splice. For each of the 2 exposures, the radiation source shall be centered on each bar to be radiographed. The first exposure shall be made with the radiation source placed at zero degrees from the top of the weld and perpendicular to the weld root and identified with a station mark of "0." The second exposure shall be at 90 degrees to the "0" station mark and shall be identified with a station mark of "90." When obstructions prevent a 90 degree placement of the radiation source for the second exposure, and when approved in writing by the Engineer, the source may be rotated, around the centerline of the reinforcing bar, a maximum of 25 degrees.
B. For field produced complete joint penetration butt welds, no more than one weld shall be radiographed during one exposure. For shop produced complete joint penetration butt welds, if more than one weld is to be radiographed during one exposure, the angle between the root line of each weld and the direction to the radiation source shall be not less than 65 degrees.
C. Radiographs shall be made by either X-ray or gamma ray. Radiographs made by X-ray or gamma rays shall have densities of not less than 2.3 nor more than 3.5 in the area of interest. A tolerance of 0.05 in density is allowed for densitometer variations. Gamma rays shall be from the iridium 192 isotope and the emitting specimen shall not exceed 4.45 mm in the greatest diagonal dimension.
D. The radiographic film shall be placed perpendicular to the radiation source at all times; parallel to the root line of the weld unless source placement determines that the film must be turned; and as close to the root of the weld as possible.
E. The minimum source to film distance shall be maintained so as to ensure that all radiographs maintain a maximum geometric unsharpness of 0.020 at all times, regardless of the size of the reinforcing bars.
F. Penetrameters shall be placed on the source side of the bar and perpendicular to the radiation source at all times. One penetrameter shall be placed in the center of each bar to be radiographed, perpendicular to the weld root, and adjacent to the weld. Penetrameter images shall not appear in the weld area.
G. When radiography of more than one weld is being performed per exposure, each exposure shall have a minimum of one penetrameter per bar, or 3 penetrameters per exposure. When 3 penetrameters per exposure are used, one penetrameter shall be placed on each of the 2 outermost bars of the exposure, and the remaining penetrameter shall be placed on a centrally located bar.
H. An allowable weld buildup of 4 mm may be added to the total material thickness when determining the proper penetrameter selection. No image quality indicator equivalency will be accepted. Wire penetrameters or penetrameter blocks shall not be used.
I. Penetrameters shall be sufficiently shimmed using a radiographically identical material. Penetrameter image densities shall be a minimum of 2.0 and a maximum of 3.6.
J. Radiographic film shall be Class 1, regardless of the size of reinforcing bars.
K. Radiographs shall be free of film artifacts and processing defects, including, but not limited to, streaks, scratches, pressure marks or marks made for the purpose of identifying film or welding indications.

L. Each splice shall be clearly identified on each radiograph and the radiograph identification and marking system shall be established between the Contractor and the Engineer before radiographic inspection begins. Film shall be identified by lead numbers only; etching, flashing or writing in identifications of any type will not be permitted. Each piece of film identification information shall be legible and shall include, as a minimum, the following information: Contractor's name, date, name of nondestructive testing firm, initials of radiographer, contract number, part number and weld number. The letter "R" and repair number shall be placed directly after the weld number to designate a radiograph of a repaired weld.
M. Radiographic film shall be developed within a time range of one minute less to one minute more than the film manufacturer's recommended maximum development time. Sight development will not be allowed.
N. Processing chemistry shall be done with a consistent mixture and quality, and processing rinses and tanks shall be clean to ensure proper results. Records of all developing processes and any chemical changes to the developing processes shall be kept and furnished to the Engineer upon request. The Engineer may request, at any time, that a sheet of unexposed film be processed in the presence of the Engineer to verify processing chemical and rinse quality.
O. The results of all radiographic interpretations shall be recorded on a signed certification and a copy kept with the film packet.
P. Technique sheets prepared in conformance with the requirements in ASME Boiler and Pressure Vessels Code, Section V, Article 2 Section T-291 shall also contain the developer temperature, developing time, fixing duration and all rinse times.

**52-1.08D Reporting Test Results**

A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory performing the testing and submitted to the QCM for review and approval. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include, as a minimum, the following information for each test: contract number, bridge number, lot number and location, bar size, type of splice, length of mechanical splice, length of test specimen, physical condition of test sample splice and any associated control bar, any notable defects, total measured slip, ultimate tensile strength of each splice, and for ultimate butt splices, limits of affected zone, location of visible necking area, ultimate tensile strength and 95 percent of this ultimate tensile strength for each control bar, and a comparison between 95 percent of the ultimate tensile strength of each control bar and the ultimate tensile strength of its associated splice.

The QCM must review, approve, and forward each Production Test Report to the Engineer for review before the splices represented by the report are encased in concrete. The Engineer will have 3 working days to review each Production Test Report and respond in writing after a complete report has been received. Should the Contractor elect to encase any splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review and provide notification within the time allowed, and if, in the opinion of the Engineer, the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

Quality assurance test results for each bundle of 4 sets or 4 samples of splices will be reported in writing to the Contractor within 3 working days after receipt of the bundle by the Transportation Laboratory. In the event that more than one bundle is received on the same day, 2 additional working days shall be allowed for providing test results for each additional bundle received. A test report will be made for each bundle received. Should the Contractor elect to encase splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

**In Section 52-1.11 after the 7th paragraph, add:**

If a portion or all of the reinforcing steel is epoxy-coated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the

Contractor for furnishing the epoxy-coated reinforcement will be reduced $5000 for each epoxy-coating facility located more than 480 air line kilometers from both Sacramento and Los Angeles and an additional $3000 ($8000 total) for each epoxy-coating facility located more than 4800 air line kilometers from both Sacramento and Los Angeles.

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## SECTION 53 SHOTCRETE
**(Issued 11-02-07)**

**In Section 53-1.01 replace the 3rd paragraph with:**

The dry-mix process shall consist of delivering dry mixed aggregate and cementitious material pneumatically or mechanically to the nozzle body and adding water and mixing the materials in the nozzle body. The wet-mix process shall consist of delivering mixed aggregate, cement, and water pneumatically to the nozzle and adding any admixture at the nozzle.

**In Section 53-1.02 replace the 1st, 2nd, 3rd and 4th paragraphs with:**

Cementitious material, fine aggregate, and mixing water shall conform to the provisions in Section 90, "Portland Cement Concrete."

Shotcrete to be mixed and applied by the dry-mix process shall consist of one part cementitious material to not more than 4.5 parts fine aggregate, thoroughly mixed in a dry state before being charged into the machine. Measurement may be either by volume or by mass. The fine aggregate shall contain not more than 6 percent moisture by mass.

Shotcrete to be mixed and applied by the wet-mix process shall consist of cementitious material, fine aggregate, and water and shall contain not less than 375 kilograms of cementitious material per cubic meter. A maximum of 30 percent pea gravel may be substituted for fine aggregate. The maximum size of pea gravel shall be such that 100 percent passes the 12.5 mm screen and at least 90 percent passes the 9.5 mm screen.

Admixtures may be added to shotcrete and shall conform to the provisions in Section 90-4, "Admixtures."

**In Section 53-1.04 in the 3rd paragraph, replace the 3rd subparagraph with:**

Aggregate and cementitious material that have been mixed for more than 45 minutes shall not be used unless otherwise permitted by the Engineer.

**Replace Section 53-1.07 with:**

### 53-1.07 MEASUREMENT

Quantities of shotcrete will be measured by the cubic meter computed from measurements, along the slope, of actual areas placed and the theoretical thickness shown on the plans. The Department does not pay for shotcrete placed outside the dimensions shown on the plans or to fill low foundation.

**Replace Section 53-1.08 with:**

### 53-1.08 PAYMENT

The contract price paid per cubic meter for shotcrete shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing shotcrete, including preparing the foundation, wire reinforcement, structure backfill, joint filling material, and if required by the plans, drains with sacked pervious backfill material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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## SECTION 55 STEEL STRUCTURES

**(Issued 05-02-08)**

**In Section 55-1.01 replace the 3rd paragraph with:**

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

**In Section 55-1.01 replace the 4th paragraph with:**

Details of connections for highway bridges selected for use by the Contractor shall conform to the AASHTO LRFD Bridge Design Specifications with Caltrans Amendments.

Details of design selected by the Contractor, fabrication and workmanship, for steel railway bridges shall conform to the requirements of the Specifications for Steel Railway Bridges, for Fixed Spans Not Exceeding 400 Feet in Length of the AREMA, as set forth in the special provisions.

**In Section 55-1.05 replace the 3rd paragraph with:**

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

**In Section 55-2.01 replace the 4th and 5th paragraphs with:**

All structural steel plate used for the fabrication of tension members, tension flanges, eyebars and hanger plates and for splice plates of tension members, tension flanges and eyebars shall meet the longitudinal Charpy V-notch impact value requirements specified herein. Sampling procedures shall conform to the requirements in ASTM Designation: A 673. The H (Heat) frequency of testing shall be used for structural steels conforming to the requirements in ASTM Designations: A 709/A 709M, Grades 36 [250], 50 [345], 50W [345W], and HPS 50W [345W]. The P (Piece) frequency of testing shall be used for structural steel conforming to the requirements in ASTM Designation: A 709/A 709M, Grades HPS 70W [485W], 100 [690], and 100W [690W]. Charpy V-notch impact values shall be determined in conformance with the requirements in ASTM Designation: E 23.

Charpy V-notch (CVN) impact values shall conform to the following minimum values for non fracture critical members:

| Material Conforming to ASTM Designation: A 709/A 709M | CVN Impact Value (Joules at Temp.) |
|---|---|
| Grade 36 [250] | 20 at 4°C |
| Grade 50 [345]* (50 mm and under in thickness) | 20 at 4°C |
| Grade 50W [345W]* (50 mm and under in thickness) | 20 at 4°C |
| Grade 50 [345]* (Over 50 mm to 100 mm in thickness) | 27 at 4°C |
| Grade 50W [345W]* (Over 50 mm to 100 mm in thickness) | 27 at 4°C |
| Grade HPS 50W [345W]* (100 mm and under in thickness) | 27 at -12°C |
| Grade HPS 70W [485]* (100 mm and under in thickness) | 34 at -23°C |
| Grade 100 [490] (65 mm and under in thickness) | 34 at -18°C |
| Grade 100W [490W] (Over 65 mm to 100 mm in thickness) | 48 at -18°C |

* If the yield point of the material exceeds 450 MPa, the temperature for the CVN impact value for acceptability shall be reduced 8°C for each increment of 70 MPa above 450 MPa.

Structural Steel Materials

| Material | Specification |
|---|---|
| Structural steel: | |
| Carbon steel | ASTM: A 709/A 709M, Grade 36 [250] or {A 36/A 36M}a |
| High strength low alloy columbium vanadium steel | ASTM: A 709/A 709M, Grade 50 [345]or {A 572/A 572M, Grade 50 [345]}a |
| High strength low alloy structural steel | ASTM: A 709/A 709M, Grade 50W [345W], Grade HPS 50W [HSP 345W], or {A 588/A 588M}a |
| High strength low alloy structural steel plate | ASTM: A 709/A 709M, Grade HPS 70W [HPS 485W] |
| High-yield strength, quenched and tempered alloy steel plate suitable for welding | ASTM: A 709/A 709M, Grade 100 [690] and Grade 100W [690W], or {A 514/A 514M}a |
| Steel fastener components for general applications: | |
| Bolts and studs | ASTM: A 307 |
| Headed anchor bolts | ASTM: A 307, Grade B, including S1 supplementary requirements |
| Nonheaded anchor bolts | ASTM: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO: M 314 supplementary requirements or AASHTO: M 314, Grade 36 or 55, including S1 supplementary requirements |
| High-strength bolts and studs | ASTM: A 449, Type 1 |
| High-strength threaded rods | ASTM: A 449, Type 1 |
| High-strength nonheaded anchor bolts | ASTM: A 449, Type 1 |
| Nuts | ASTM: A 563, including Appendix X1b |

| | |
|---|---|
| Washers | ASTM: F 844 |
| Components of high-strength steel fastener assemblies for use in structural steel joints: | |
| Bolts | ASTM: A 325, Type 1 |
| Tension control bolts | ASTM: F 1852, Type 1 |
| Nuts | ASTM: A 563, including Appendix X1b |
| Hardened washers | ASTM : F 436, Type 1, Circular, including S1 supplementary requirements |
| Direct tension indicators | ASTM: F 959, Type 325, zinc-coated |
| Carbon steel for forgings, pins and rollers | ASTM: A 668/A 668M, Class D |
| Alloy steel for forgings | ASTM: A 668/A 668M, Class G |
| Pin nuts | ASTM: A 36/A 36M |
| Carbon-steel castings | ASTM: A 27/A 27M, Grade 65-35, Class 1 |
| Malleable iron castings | ASTM: A 47, Grade 32510 or A 47M, Grade 22010 |
| Gray iron castings | ASTM: A 48, Class 30B |
| Carbon steel structural tubing | ASTM: A 500, Grade B or A 501 |
| Steel pipe (Hydrostatic testing will not apply) | ASTM: A 53, Type E or S, Grade B; A 106, Grade B; or A 139, Grade B |
| Stud connectors | ASTM: A 108 and AASHTO/AWS D1.5 |

a Grades that may be substituted for the equivalent ASTM Designation: A 709 steel, at the Contractor's option, subject to the modifications and additions specified and to the requirements of A 709.

b Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

**In Section 55-2.02 in the 1st paragraph, replace the 1st sentence with:**

Unless otherwise specified or shown on the plans, all structural steel plates, shapes, and bars shall conform to ASTM Designation: A 709/A 709M, Grade 50 [345].

**In Section 55-3.05 replace the 1st paragraph with:**

Surfaces of bearing and base plates and other metal surfaces that are to come in contact with each other or with ground concrete surfaces or with asbestos sheet packing shall be flat to within one mm tolerance in 305 mm and to within 2 mm tolerance overall. Surfaces of bearing and base plates and other metal bearing surfaces that are to come in contact with preformed fabric pads, elastomeric bearing pads, or mortar shall be flat to within 3 mm tolerance in 305 mm and to within 5 mm tolerance overall.

**In Section 55-3.14, after the 9th paragraph add:**

If a torque multiplier is used in conjunction with a calibrated wrench as a method for tightening fastener assemblies to the required tension, both the multiplier and the wrench shall be calibrated together as a system. The same length input and output sockets and extensions that will be used in the work shall also be included in the calibration of the system. The manufacturer's torque multiplication ratio shall be adjusted during calibration of the system, such that when this adjusted ratio is multiplied by the actual input calibrated wrench reading, the product is a calculated output torque that is within 2 percent of the true output torque. When this system is used in the work to perform any installation tension testing, rotational capacity testing, fastener tightening, or tension verification, it shall be used, intact as calibrated.

**In Section 55-3.17 replace the 2nd paragraph with:**

The minimum size of all fillet welds, except those to reinforce groove welds, shall be as shown in the following table:

| Base Metal Thickness of the Thicker Part Joined (Millimeters) | *Minimum Size of Fillet Weld (Millimeters) |
|---|---|
| To 19 inclusive | 6 |
| Over 19 | 8 |

* Except that the weld size need not exceed the thickness of the thinner part joined.

**In Section 55-3.19 replace the 3rd paragraph with:**

Immediately before setting bearing assemblies or masonry plates directly on ground concrete surfaces, the Contractor shall thoroughly clean the surfaces of the concrete and the metal to be in contact and shall apply a coating of nonsag polysulfide or polyurethane caulking conforming to the requirements in ASTM Designation: C 920 to contact areas to provide full bedding.

**In Section 55-3.19 replace the 5th paragraph with:**

Mortar to be placed below masonry plates or bearing plates of the bearing assemblies and in anchor bolt sleeves or canisters shall conform to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

**In Section 55-4.02 replace the 6th paragraph with:**

If a portion or all of the structural steel is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the structural steel from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000 or by an amount computed at $0.044 per kilogram of structural steel fabricated, whichever is greater, or in the case of each fabrication site located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced $8000 or by $0.079 per kilogram of structural steel fabricated, whichever is greater.

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## SECTION 56 SIGNS
**(Issued 03-16-07)**

**In Section 56-1.01 delete the 3rd paragraph.**

**Replace Section 56-1.02A with:**

### 56-1.02A Bars, Plates, Shapes, and Structural Tubing

Bars, plates, and shapes shall be structural steel conforming to the requirements in ASTM Designation: A 36/A 36M, except, at the option of the Contractor, the light fixture mounting channel shall be continuous-slot steel channel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230], or aluminum Alloy 6063-T6 extruded aluminum conforming to the requirements in ASTM Designation: B 221 or B 221M.

Structural tubing shall be structural steel conforming to the requirements in ASTM Designation: A 500, Grade B.

Removable sign panel frames shall be constructed of structural steel conforming to the requirements in ASTM Designation: A 36/A 36M.

**Replace Section 56-1.02B with:**

**56-1.02B Sheets**

Sheets shall be carbon-steel sheets conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230].

Ribbed sheet metal for box beam-closed truss sign structures shall be fabricated from galvanized sheet steel conforming to the requirements in ASTM Designation: A 653/A 653M, Designation SS, Grade 33[230]. Sheet metal panels shall be G 165 coating designation in conformance with the requirements in ASTM Designation: A 653/A 653M.

**Replace Section 56-1.02F with:**

**56-1.02F Steel Walkway Gratings**

Steel walkway gratings shall be furnished and installed in conformance with the details shown on the plans and the following provisions:

A. Gratings shall be the standard product of an established grating manufacturer.
B. Material for gratings shall be structural steel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation CS, Type B.
C. For welded type gratings, each joint shall be full resistance welded under pressure, to provide a sound, completely beaded joint.
D. For mechanically locked gratings, the method of fabrication and interlocking of the members shall be approved by the Engineer, and the fabricated grating shall be equal in strength to the welded type.
E. Gratings shall be accurately fabricated and free from warps, twists, or other defects affecting their appearance or serviceability. Ends of all rectangular panels shall be square. The tops of the bearing bars and cross members shall be in the same plane. Gratings distorted by the galvanizing process shall be straightened.

**In Section 56-1.03 replace the 5th through the 13th paragraphs with:**

Clips, eyes, or removable brackets shall be affixed to all signs and all posts and shall be used to secure the sign during shipping and for lifting and moving during erection as necessary to prevent damage to the finished galvanized or painted surfaces. Brackets on tubular sign structures shall be removed after erection. Details of the devices shall be shown on the working drawings.

High-strength bolted connections, where shown on the plans, shall conform to the provisions in Section 55-3.14, "Bolted Connections," except that only fastener assemblies consisting of a high-strength bolt, nut, hardened washer, and direct tension indicator shall be used.

High-strength fastener assemblies, and any other bolts, nuts, and washers attached to sign structures shall be zinc-coated by the mechanical deposition process.

Nuts for high-strength bolts designated as snug-tight shall not be lubricated.

An alternating snugging and tensioning pattern for anchor bolts and high-strength bolted splices shall be used. Once tensioned, high-strength fastener components and direct tension indicators shall not be reused.

For bolt diameters less than 10 mm, the diameter of the bolt hole shall be not more than 0.80-mm larger than the nominal bolt diameter. For bolt diameters greater than or equal to 10 mm, the diameter of the bolt hole shall be not more than 1.6 mm larger than the nominal bolt diameter.

Sign structures shall be fabricated into the largest practical sections prior to galvanizing.

Ribbed sheet metal panels for box beam closed truss sign structures shall be fastened to the truss members by cap screws or bolts as shown on the plans, or by 4.76 mm stainless steel blind rivets conforming to Industrial Fasteners Institute, Standard IFI-114, Grade 51. The outside diameter of the large flange rivet head shall be not less than 15.88 mm in diameter. Web splices in ribbed sheet metal panels may be made with similar type blind rivets of a size suitable for the thickness of material being connected.

Spalling or chipping of concrete structures shall be repaired by the Contractor at the Contractor's expense.

**In Section 56-1.03 after the 13th paragraph add:**

Overhead sign supports shall have an aluminum identification plate permanently attached near the base, adjacent to the traffic side on one of the vertical posts, using either stainless steel rivets or stainless steel screws. As a minimum, the information on the plate shall include the name of the manufacturer, the date of manufacture and the contract number.

**In Section 56-1.10 replace the 4th paragraph with:**

The contract price paid per kilogram for install sign structure of the type or types designated in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in installing sign structures, complete in place, including installing anchor bolt assemblies, removable sign panel frames, and sign panels and performing any welding, painting or galvanizing required during installation, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

**In Section 56-2.02B replace the 5th paragraph with:**

Douglas fir and Hem-Fir posts shall be treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and in conformance with AWPA Use Category System: UC4A, Commodity Specification A. Posts shall be incised and the minimum retention of preservative shall be as specified in AWPA Standards.

**In Section 56-2.03 replace the 4th paragraph with:**

Backfill material for metal posts shall consist of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 275 kilograms of cementitious material per cubic meter.

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## SECTION 57 TIMBER STRUCTURES
**(Issued 10-12-04)**

**In Section 57-1.02A replace the 2nd paragraph with:**

When preservative treatment of timber and lumber is required, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA's Use Category 4B. The type of treatment to be used will be shown on the plans or specified in the special provisions.

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## SECTION 58 PRESERVATIVE TREATMENT OF LUMBER, TIMBER AND PILING
**(Issued 11-18-05)**

**In Section 58-1.02 replace the 1st paragraph with:**

Timber, lumber, and piling shall be pressure treated after millwork is completed. Preservatives, treatment, and results of treatment shall conform to the requirements in AWPA Standards U1 and T1. Treatment of lumber and timber shall conform to the specified AWPA Use Category cited in the special provisions, on the plans, or elsewhere in these specifications.

**In Section 58-1.02 delete the 2nd paragraph**

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## SECTION 59 PAINTING
**(Issued 01-19-07)**

**In Section 59-1.02 replace the 1st paragraph with:**

Paint shall be applied only on thoroughly dry surfaces and during periods of favorable weather. Blast cleaning or application of solvent-borne paint will not be permitted when the atmospheric or surface temperature is at or below 2°C or

above 38°C, or when the relative humidity exceeds 85 percent at the site of the work. Application of water-borne paint will not be permitted when the atmospheric or surface temperature is at or below 10°C, or above 38°C, or when the relative humidity exceeds 75 percent at the site of the work. Application of paint will not be permitted when the steel surface temperature is less than 3°C above the dew point, or when freshly painted surfaces may become damaged by rain, fog or condensation, or when it can be anticipated that the atmospheric temperature or relative humidity will not remain within the specified application conditions during the drying period, except as provided in the following paragraph for enclosures. If uncured paint is damaged by the elements, it shall be replaced or repaired by the Contractor at the Contractor's expense.

**In Section 59-1.05 replace the 2nd paragraph with:**

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at the Contractor's expense and to the satisfaction of the Engineer.

**In Section 59-2.01, between the 1st and 2nd paragraph add:**

Unless otherwise specified, no painting Contractors or subcontractors will be permitted to commence work without having the following current "SSPC: The Society for Protective Coatings" (formerly the Steel Structures Painting Council) certifications in good standing:

A. For cleaning and painting structural steel in the field, certification in conformance with the requirements in Qualification Procedure No. 1, "Standard Procedure For Evaluating Painting Contractors (Field Application to Complex Industrial Structures)" (SSPC-QP 1).
B. For removing paint from structural steel, certification in conformance with the requirements in Qualification Procedure No. 2, "Standard Procedure For Evaluating Painting Contractors (Field Removal of Hazardous Coatings from Complex Structures)" (SSPC-QP 2).
C. For cleaning and painting structural steel in a permanent painting facility, certification in conformance with the requirements in Qualification Procedure No. 3, "Standard Procedure For Evaluating Qualifications of Shop Painting Applicators" (SSPC-QP 3). The AISC's Sophisticated Paint Endorsement (SPE) quality program will be considered equivalent to SSPC-QP 3.

**In Section 59-2.03 replace the 3rd paragraph with:**

Exposed steel or other metal surfaces to be blast cleaned shall be cleaned in conformance with the requirements in Surface Preparation Specification No. 6, "Commercial Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave all surfaces with a dense, uniform, angular anchor pattern of not less than 35 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

**In Section 59-2.06 replace the 1st paragraph with:**

Dirt, loose rust and mill scale, or paint which is not firmly bonded to the surfaces shall be removed in conformance with the requirements in Surface Preparation Specification No. 2, "Hand Tool Cleaning," of the "SSPC: The Society for Protective Coatings." Edges of old remaining paint shall be feathered.

**In Section 59-2.12 replace the 3rd and 4th paragraphs with:**

Contact surfaces of stiffeners, railings, built up members or open seam exceeding 6 mils in width that would retain moisture, shall be caulked with polysulfide or polyurethane sealing compound conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O, or other approved material.

The dry film thickness of the paint will be measured in place with a calibrated Type 2 magnetic film thickness gage in conformance with the requirements in SSPC-PA 2, "Measurement of Dry Coating Thickness with Magnetic Gages," of the "SSPC: The Society for Protective Coatings," except that there shall be no limit to the number or location of spot measurements to verify compliance with specified thickness requirements.

**In Section 59-2.13 replace the 3rd paragraph with:**

Mechanical mixers shall be used in mixing the primer. After mixing, the zinc-rich primer shall be strained through a 0.6 to 0.25 mm screen or a double layer of cheesecloth immediately prior to or during pouring into the spray pot.

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## SECTION 64 PLASTIC PIPE
**(Issued 07-31-07)**

**In Section 64-1.06 replace the 1st paragraph with:**

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete conforming to the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 250 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item or shown on the plans.

**In Section 64-1.06 replace the 3rd paragraph with:**

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

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## SECTION 65 REINFORCED CONCRETE PIPE
**(Issued 07-31-07)**

**In Section 65-1.02 replace the 1st paragraph with:**

Cementitious material and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplemental cementitious material shall conform to AASHTO Designation: M 170M.

**In Section 65-1.02A(1) in the 11th paragraph, replace item C with:**

c. Cementitious material and aggregate for non-reinforced concrete pipe shall conform to the provisions in Section 65-1.02, "Materials."

**In Section 65-1.035 replace the 1st paragraph with:**

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete in conformance with the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 225 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item.

**In Section 65-1.035 replace the 3rd paragraph with:**

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

**In Section 65-1.06 in the 2nd paragraph, replace the 1st subparagraph with:**

Cement Mortar.- Mortar shall be composed of one part cementitious material and 2 parts sand by volume. Supplementary cementitious material will not be required.

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## SECTION 66 CORRUGATED METAL PIPE
**(Issued 07-31-07)**

**In Section 66-1.045 replace the 1st paragraph with:**

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete conforming to the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 225 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item or shown on the plans.

**In Section 66-1.045 replace the 3rd paragraph with:**

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

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## SECTION 68 SUBSURFACE DRAINS
**(Issued 07-31-07)**

**In Section 68-3.02D replace the 1st and 2nd paragraphs with:**

Concrete for splash pads shall be produced from minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

Mortar placed where edge drain outlets and vents connect to drainage pipe and existing drainage inlets shall conform to the provisions in Section 51-1.135, "Mortar."

**In Section 68-3.03 replace the 13th paragraph with:**

Cement treated permeable material, which is not covered with hot mix asphalt within 12 hours after compaction of the permeable material, shall be cured by either sprinkling the material with a fine spray of water every 4 hours during daylight hours or covering the material with a white polyethylene sheet, not less than 6 mils thick. The above curing requirements shall begin at 7:00 a.m. on the morning following compaction of the cement treated permeable material and continue for the next 72 hours or until the material is covered with hot mix asphalt, whichever is less. The cement treated permeable material shall not be sprayed with water during the first 12 hours after compacting, but may be covered with the polyethylene sheet during the first 12 hours or prior to the beginning of the cure period.

**In Section 68-3.03 replace the 17th and 18th paragraphs with:**

Hot mix asphalt for backfilling trenches in existing paved areas shall be produced from commercial quality aggregates and asphalt and mixed at a central mixing plant. The aggregate shall conform to the 19 mm grading, or the 12.5 mm grading for Type A and Type B hot mix asphalt specified in Section 39-1.02E, "Aggregate." The amount of asphalt binder to be mixed with the aggregate shall be between 4 percent and 7 percent by weight of the dry aggregate, as determined by the Engineer.

Hot mix asphalt backfill shall be spread and compacted in approximately 2 equal layers by methods that will produce a hot mix asphalt surfacing of uniform smoothness, texture and density. Each layer shall be compacted before the temperature of the mixture drops below 120ºC. Prior to placing the hot mix asphalt backfill, a tack coat of asphaltic emulsion conforming to the provisions in Section 94, "Asphaltic Emulsions," shall be applied to the vertical edges of existing pavement at an approximate rate of 0.25 liters per square meter.

**In Section 68-3.03 replace the 20th paragraph with:**

Type A pavement markers conforming to the details shown on the plans and the provisions in Section 85, "Pavement Markers," shall be placed on paved shoulders or dikes at outlet, vent and cleanout locations as directed by the Engineer. The waiting period for placing pavement markers on new hot mix asphalt surfacing will not apply.

**Replace Section 68-3.05 with:**

**68-3.05 PAYMENT**

The contract price paid per meter for plastic pipe (edge drain) of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in installing edge drains complete in place, including excavation (and removal of any concrete deposits that may occur along the lower edge of the concrete pavement in Type 1 installations) and hot mix asphalt backfill for Type 1 edge drain installation, tack coat, filter fabric, and treated permeable material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per meter for plastic pipe (edge drain outlet) of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in installing edge drain outlets, vents and cleanouts complete in place, including outlet and vent covers, expansion plugs, pavement markers, concrete splash pads, connecting outlets and vents to drainage facilities, and excavation and backfill [aggregate base, hot mix asphalt, tack coat, and native material] for outlets, vents, and cleanouts to be installed in embankments and existing shoulders, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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## SECTION 69 OVERSIDE DRAINS
**(Issued 07-31-07)**

**In Section 69-1.01 replace the 1st paragraph with:**

This work shall consist of furnishing and installing entrance tapers, pipe downdrains, tapered inlets, flume downdrains, anchor assemblies, reducers, slip joints and hot mix asphalt overside drains to collect and carry surface drainage down the roadway slopes as shown on the plans or as directed by the Engineer and as specified in these specifications and the special provisions.

**Replace Section 69-1.02D with:**

**69-1.02D Hot Mix Asphalt**

Hot mix asphalt for overside drains shall conform to the provisions in Section 39-1.13, "Miscellaneous Areas."

**Replace Section 69-1.04 with:**

**69-1.04 HOT MIX ASPHALT OVERSIDE DRAINS**

Hot mix asphalt overside drains shall be constructed as shown on the plans or as directed by the Engineer. The hot mix asphalt shall be placed in conformance with the provisions in Section 39-1.13, "Miscellaneous Areas."

**In Section 69-1.06 replace the 2nd paragraph with:**

Quantities of hot mix asphalt placed for overside drains will be paid for as provided in Section 39-5, "Measurement and Payment," for hot mix asphalt placed in miscellaneous areas.

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## SECTION 70 MISCELLANEOUS FACILITIES
**(Issued 01-05-07)**

**In Section 70-1.02C replace the 2nd paragraph with:**

Precast concrete flared end sections shall conform to the requirements for Class III Reinforced Concrete Pipe in AASHTO Designation: M 170M. Cementitious materials and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplementary cementitious material shall conform to the requirements in AASHTO Designation: M 170M. The area of steel reinforcement per meter of flared end section shall be at least equal to the minimum steel requirements for circular reinforcement in circular pipe for the internal diameter of the circular portion of the flared end section. The basis of acceptance of the precast concrete flared end section shall conform to the requirements of Section 5.1.2 of AASHTO Designation: M 170M.

**In Section 70-1.02H replace the 1st paragraph with:**

Precast concrete pipe risers and pipe reducers, and precast concrete pipe sections, adjustment rings and tapered sections for pipe energy dissipators, pipe inlets and pipe manholes shall conform to the requirements in AASHTO Designation: M 199M, except that the cementitious material and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplementary cementitious material shall conform to the requirements in AASHTO Designation: M 170M.

**In Section 70-1.03 replace the 2nd paragraph with:**

Cutoff walls for precast concrete flared end sections shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

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## SECTION 72 SLOPE PROTECTION
**(Issued 11-18-05)**

**In Section 72-4.04 replace the 6th paragraph with:**

Pervious backfill material, if required by the plans, shall be placed as shown. A securely tied sack containing 0.03-$m^3$ of pervious backfill material shall be placed at each weep hole and drain hole. The sack material shall conform to the provisions in Section 88-1.03, "Filter Fabric."

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## SECTION 73 CONCRETE CURBS AND SIDEWALKS
**(Issued 07-31-07)**

**In Section 73-1.01 in the 2nd paragraph, replace item 2 with:**

2. Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter except that when extruded or slip-formed curbs are constructed using 9.5-mm maximum size aggregate, minor concrete shall contain not less than 325 kg of cementitious material per cubic meter.

**In Section 73-1.06 replace the 15th paragraph with:**

Where hot mix asphalt or portland cement concrete pavements are to be placed around or adjacent to manholes, pipe inlets or other miscellaneous structures in sidewalk, gutter depression, island paving, curb ramps or driveway areas, the structures shall not be constructed to final grade until after the pavements have been constructed for a reasonable distance on each side of the structures.

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## SECTION 74 PUMPING PLANT EQUIPMENT
**(Issued 07-01-08)**

**In Section 74-1.02 delete the 2nd paragraph.**

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## SECTION 75 MISCELLANEOUS METAL
**(Issued 01-18-08)**

**In Section 75-1.02 in the 10th paragraph, replace the table with:**

| Material | Specification |
|---|---|
| Steel bars, plates and shapes | ASTM Designation: A 36/A 36M or A 575, A 576 (AISI or M Grades 1016 through 1030) |
| Steel fastener components for general applications: | |
| Bolts and studs | ASTM Designation: A 307 |
| Headed anchor bolts | ASTM Designation: A 307, Grade B, including S1 supplementary requirements |
| Nonheaded anchor bolts | ASTM Designation: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO Designation: M 314 supplementary requirements<br>or AASHTO Designation: M 314, Grade 36 or 55, including S1 supplementary requirements |
| High-strength bolts and studs, threaded rods, and nonheaded anchor bolts | ASTM Designation: A 449, Type 1 |
| Nuts | ASTM Designation: A 563, including Appendix X1* |
| Washers | ASTM Designation: F 844 |
| Components of high-strength steel fastener assemblies for use in structural steel joints: | |
| Bolts | ASTM Designation: A 325, Type 1 |
| Tension control bolts | ASTM Designation: F 1852, Type 1 |
| Nuts | ASTM Designation: A 563, including Appendix X1* |
| Hardened washers | ASTM Designation: F 436, Type 1, Circular, including S1 supplementary requirements |
| Direct tension indicators | ASTM Designation: F 959, Type 325, zinc-coated |
| Stainless steel fasteners (Alloys 304 & 316) for general applications: | |
| Bolts, screws, studs, threaded rods, and nonheaded anchor bolts | ASTM Designation: F 593 or F 738M |
| Nuts | ASTM Designation: F 594 or F 836M |
| Washers | ASTM Designation: A 240/A 240M and ANSI B 18.22M |
| Carbon-steel castings | ASTM Designation: A 27/A 27M, Grade 65-35 [450-240], Class 1 |
| Malleable iron castings | ASTM Designation: A 47, Grade 32510 or A 47M, Grade 22010 |
| Gray iron castings | ASTM Designation: A 48, Class 30B |
| Ductile iron castings | ASTM Designation: A 536, Grade 65-45-12 |
| Cast iron pipe | Commercial quality |
| Steel pipe | Commercial quality, welded or extruded |
| Other parts for general Applications | Commercial quality |

* Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dyed dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

**In Section 75-1.03 replace the 2nd paragraph with:**

Miscellaneous bridge metal shall consist of the following, except as further provided in Section 51-1.19, "Utility Facilities," and in the special provisions:

A. Bearing assemblies, equalizing bolts and expansion joint armor in concrete structures.
B. Expansion joint armor in steel structures.
C. Manhole frames and covers, frames and grates, ladder rungs, guard posts and access door assemblies.
D. Deck drains, area drains, retaining wall drains, and drainage piping, except drainage items identified as "Bridge Deck Drainage System" in the special provisions.

**In Section 75-1.03 replace the 7th paragraph with:**

Sheet steel for access doors shall be galvanized sheet conforming to the requirements in ASTM Designation: A 653/A 653M, Coating Designation Z600 {G210}.

**In Section 75-1.03 replace the 13th paragraph with:**

Concrete anchorage devices shall be mechanical expansion or resin capsule types installed in drilled holes or cast-in-place insert types. The anchorage devices shall be selected from the Department's Pre-Qualified Products List at:

http://www.dot.ca.gov/hq/esc/approved_products_list

The anchorage devices shall be a complete system, including threaded studs, hex nuts, and cut washers. Thread dimensions for externally threaded concrete anchorage devices prior to zinc coating, shall conform to the requirements in ANSI Standard: B1.1 having Class 2A tolerances or ANSI Standard: B1.13M having Grade 6g tolerances. Thread dimensions for internally threaded concrete anchorage devices shall conform to the requirements in ASTM A 563.

**In Section 75-1.03 replace the 18th paragraph with:**

Mechanical expansion anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.90 mm:

| Stud Diameter (millimeters) | Sustained Tension Test Load (kilonewtons) |
|---|---|
| *18.01-21.00 | 22.2 |
| 15.01-18.00 | 18.2 |
| 12.01-15.00 | 14.2 |
| 9.01-12.00 | 9.34 |
| 6.00-9.00 | 4.23 |

* Maximum stud diameter permitted for mechanical expansion anchors.

Resin capsule anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.25 mm:

| Stud Diameter (millimeters) | Sustained Tension Test Load (kilonewtons) |
|---|---|
| 29.01-33.00 | 137.9 |
| 23.01-29.00 | 79.6 |
| 21.01-23.00 | 64.1 |
| 18.01-21.00 | 22.2 |
| 15.01-18.00 | 18.2 |
| 12.01-15.00 | 14.2 |
| 9.01-12.00 | 9.34 |
| 6.00-9.00 | 4.23 |

At least 25 days before use, the Contractor shall submit one sample of each resin capsule anchor per lot to the Transportation Laboratory for testing. A lot of resin capsule anchors is 100 units, or fraction thereof, of the same brand and product name.

**In Section 75-1.03 in the 19th paragraph, replace the table with:**

| Stud Diameter (millimeters) | Ultimate Tensile Load (kilonewtons) |
|---|---|
| 30.01-33.00 | 112.1 |
| 27.01-30.00 | 88.1 |
| 23.01-27.00 | 71.2 |
| 20.01-23.00 | 51.6 |
| 16.01-20.00 | 32.0 |
| 14.01-16.00 | 29.4 |
| 12.00-14.00 | 18.7 |

**In Section 75-1.03, replace the 20th paragraph with:**

The Pre-Qualified Products List for concrete anchorage devices has been developed from data previously furnished by suppliers or manufacturers for each type and size. Approval of additional anchorage device types and sizes is contingent upon the Contractor submitting to the Engineer one sample of each type of concrete anchorage device, manufacturer's installation instructions, and certified results of tests, either by a private testing laboratory or the manufacturer, indicating compliance with the above requirements.

**In Section 75-1.03 in the 22nd paragraph, replace the table with:**

Installation Torque Values, (newton meters)

| Stud Diameter (millimeters) | Shell Type Mechanical Expansion Anchors | Integral Stud Type Mechanical Expansion Anchors | Resin Capsule Anchors and Cast-in-Place Inserts |
|---|---|---|---|
| 29.01-33.00 | — | — | 540 |
| 23.01-29.00 | — | — | 315 |
| 21.01-23.00 | — | — | 235 |
| 18.01-21.00 | 110 | 235 | 200 |
| 15.01-18.00 | 45 | 120 | 100 |
| 12.01-15.00 | 30 | 65 | 40 |
| 9.01-12.00 | 15 | 35 | 24 |
| 6.00-9.00 | 5 | 10 | — |

**In Section 75-1.03, replace the 24th paragraph with:**

Sealing compound, for caulking and adhesive sealing, shall be a polysulfide or polyurethane material conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O.

**In Section 75-1.035 replace the 3rd paragraph with:**

Cables shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer.

**In Section 75-1.035 replace the 12th paragraph with:**

Concrete for filling cable drum units shall conform to the provisions in Section 90-10, "Minor Concrete," or at the option of the Contractor, may be a mix with 9.5 mm maximum size aggregate and not less than 400 kilograms of cementitious material per cubic meter.

**In Section 75-1.05 replace the 2nd paragraph with:**

At the option of the Contractor, material thinner than 3.2 mm shall be galvanized either before fabrication in conformance with the requirements of ASTM Designation: A 653/A 653M, Coating Designation Z600, or after fabrication in conformance with the requirements of ASTM Designation: A 123, except that the weight of zinc coating shall average not less than 365 g per square meter of actual surface area with no individual specimen having a coating weight of less than 305 g per square meter.

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## SECTION 80 FENCES
**(Issued 01-05-07)**

**In Section 80-3.01B(2) replace the 2nd paragraph with:**

Posts and braces to be treated shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4A, Commodity Specification A or B.

**In Section 80-3.01F replace the 4th paragraph with:**

Portland cement concrete for metal post and brace footings and for deadmen shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

**In Section 80-4.01C replace the 4th paragraph with:**

Portland cement concrete for metal post and for deadmen shall be produced from minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

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## SECTION 81 MONUMENTS
**(Issued 06-30-06)**

**In Section 81-1.02 replace the 5th paragraph with:**

At the option of the Contractor, the frame and cover for Type B and Type D survey monuments shall be fabricated from either cast steel or gray cast iron. The covers shall fit into the frames without rocking.

**In Section 81-1.02 replace the 7th paragraph with:**

Granular material for Type B and Type D survey monuments shall be gravel, crushed gravel, crushed rock or any combination thereof. Granular material shall not exceed 37.5 mm in greatest dimension.

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## SECTION 82 MARKERS AND DELINEATORS

**(Issued 06-30-06)**

**In Section 82-1.02B replace the 1st paragraph with:**

Steel for metal posts shall conform to the requirements in ASTM Designation: A 36/A 36M. The posts shall be galvanized in conformance with the requirements in Section 75-1.05, "Galvanizing."

**In Section 82-1.02D replace the 3rd paragraph with:**

The zinc-coated steel sheet shall conform to the requirements in ASTM Designation: A 653/A 653M, Classification: Commercial Steel (CS Types A, B and C). The steel sheets shall be galvanized in conformance with the requirements in Section 75-1.05, "Galvanizing." The zinc-coated surface shall be prepared for painting in a manner designed to produce optimum paint adherence. The surface preparation shall be accomplished without damaging or removing the zinc coating. Any evidence of damage or removal of the zinc coating shall be cause for rejection of the entire lot.

**In Section 82-1.02D replace the 11th paragraph with:**

When tested in conformance with the requirements in California Test 671, the painted metal target plates shall, in general, have satisfactory resistance to weathering, humidity, salt spray and chemicals; the enamel coating shall have satisfactory adherence and impact resistance, a pencil lead hardness of HB minimum, 60° specular gloss of 80 percent minimum, an excitation purity of 3 percent maximum as received and after 1000 hours in an artificial weathering device in conformance with the requirements in ASTM Designation: G 155, Table X3.1, Cycle 1, and a daylight luminous directional reflectance ("Y" value) of 70 minimum.

**In Section 82-1.02F replace the 2nd paragraph with:**

Reflectors for flexible target plates on Type K object markers and target plates on Class 2 delineators, and reflectors for Class 1 delineators shall be made from impact resistant retroreflective sheeting as specified in the special provisions. The color of the retroreflective sheeting shall conform to the color designated on the plans and the Chromaticity Coordinates specified in ASTM Designation: D 4956, or the PR color number specified by the Federal Highway Administration's Color Tolerance Chart.

**In Section 82-1.02F replace the 4th paragraph with:**

The instrumental method of determining color shall conform to the requirements specified in ASTM Designation: D 4956. In the event of any dispute concerning the test results of instrumental testing, the visual test shall prevail.

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## SECTION 83 RAILINGS AND BARRIERS

**(Issued 08-17-07)**

**In Section 83-1.02 replace the 7th paragraph with:**

Mortar shall conform to the provisions in Section 51-1.135, "Mortar," and shall consist of one part by volume of cementitious material and 3 parts of clean sand.

**In Section 83-1.02B replace the 1st paragraph with:**

The rail elements, backup plates, terminal sections, end and return caps, bolts, nuts and other fittings shall conform to the requirements in AASHTO Designation: M 180, except as modified in this Section 83-1.02B and as specified in Section 83-1.02. The rail elements, backup plates, terminal sections, end and return caps shall conform to Class A, Type 1 W-Beam guard railing as shown in AASHTO Designation: M 180. The edges and center of the rail element shall contact each post block. Rail element joints shall be lapped not less than 316 mm and bolted. The rail metal, in addition to conforming to the requirements in AASHTO Designation: M 180, shall withstand a cold bend, without cracking, of 180 degrees around a mandrel of a diameter equal to 2.5 times the thickness of the plate.

**In Section 83-1.02B replace the 9th paragraph with:**

The grades and species of wood posts and blocks shall be No. 1 timbers (also known as No. 1 structural) Douglas fir or No. 1 timbers Southern yellow pine. Wood posts and blocks shall be graded in conformance with the provisions in Section 57-2, "Structural Timber," of the Standard Specifications, except allowances for shrinkage after mill cutting shall in no case exceed 5 percent of the American Lumber Standards minimum sizes, at the time of installation.

**In Section 83-1.02B replace the 11th paragraph with:**

After fabrication, wood posts and blocks shall be pressure treated in conformance with Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4A, Commodity Specification A.

**In Section 83-1.02B replace the 12th paragraph with:**

If copper naphthenate, ammoniacal copper arsenate, chromated copper arsenate, ammoniacal copper zinc arsenate, ammoniacal copper quat or copper azole is used to treat the wood posts and blocks, the bolt holes shall be treated as follows:

A. Before the bolts are inserted, bolt holes shall be filled with a grease, recommended by the manufacturer for corrosion protection, which will not melt or run at a temperature of 65°C.

**In Section 83-1.02B replace the 24th paragraph with:**

End anchor assemblies and rail tensioning assemblies for metal beam guard railing shall be constructed as shown on the plans and shall conform to the following provisions:

1. An end anchor assembly (Type SFT) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a wood post, a steel foundation tube, a steel soil plate and hardware.
2. An end anchor assembly (Type CA) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a single anchor rod or double anchor rods, hardware and one concrete anchor.
3. A rail tensioning assembly for metal beam guard railing shall consist of an anchor cable, an anchor plate, and hardware.
4. The anchor plate, metal plates, steel foundation tubes and steel soil plate shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M.
5. The anchor rods shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M, A 441 or A 572, or ASTM Designation: A 576, Grades 1018, 1019, 1021 or 1026. The eyes shall be hot forged or formed with full penetration welds. After fabrication, anchor rods with eyes that have been formed with any part of the eye below 870°C during the forming operation or with eyes that have been closed by welding shall be thermally stress relieved prior to galvanizing. The completed anchor rod, after galvanizing, shall develop a strength of 220 kN.
6. In lieu of built-up fabrication of anchor plates as shown on the plans, anchor plates may be press-formed from steel plate, with or without welded seams.
7. All bolts and nuts shall conform to the requirements in ASTM Designation: A 307, unless otherwise specified in the special provisions or shown on the plans.
8. Anchor cable shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer. The overall length of each cable anchor assembly shall be as shown on the plans, but shall be a minimum of 2 m.
9. Where shown on the plans, cable clips and a cable thimble shall be used to attach cable to the anchor rod. Thimbles shall be commercial quality, galvanized steel. Cable clips shall be commercial quality drop forged galvanized steel.

10. The swaged fitting shall be machined from hot-rolled bars of steel conforming to AISI Designation: C 1035, and shall be annealed suitable for cold swaging. The swaged fitting shall be galvanized before swaging. A lock pin hole to accommodate a 6 mm, plated, spring steel pin shall be drilled through the head of the swage fitting to retain the stud in proper position. The manufacturer's identifying mark shall be stamped on the body of the swage fitting.
11. The 25 mm nominal diameter stud shall conform to the requirements in ASTM Designation: A 449 after galvanizing. Prior to galvanizing, a 10 mm slot for the locking pin shall be milled in the stud end.
12. The swaged fittings, stud and nut assembly shall develop the specified breaking strength of the cable.
13. The cable assemblies shall be shipped as a complete unit including stud and nut.
14. Clevises shall be drop forged galvanized steel and shall develop the specified breaking strength of the cable.
15. One sample of cable properly fitted with swaged fitting and right hand thread stud at both ends as specified above, including a clevis when shown on the plans, one meter in total length, shall be furnished the Engineer for testing.
16. The portion of the anchor rod to be buried in earth shall be coated with a minimum 0.5 mm thickness of coal tar enamel conforming to AWWA Standard: C203 or a coal tar epoxy conforming to the requirements in Steel Structures Painting Council Paint Specification No. 16, Coal-Tar Epoxy-Polymide Black Paint or Corps of Engineers Specification, Formula C-200a, Coal-Tar Epoxy Paint.
17. Metal components of the anchor assembly shall be fabricated in conformance with good shop practice and shall be hot-dip galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."
18. Anchor cables shall be tightened after the concrete anchor has cured for at least 5 days.
19. Concrete used to construct anchors for end anchor assemblies shall be Class 3 or minor concrete conforming to the provisions in Section 90, "Portland Cement Concrete."
20. Concrete shall be placed against undisturbed material of the excavated holes for end anchors. The top 300 mm of holes shall be formed, if required by the Engineer.
21. Reinforcing steel in concrete anchors for end anchor assemblies shall conform to the provisions in Section 52, "Reinforcement."

**In Section 83-1.02D replace the 2nd paragraph with:**

Structural shapes, tubing, plates, bars, bolts, nuts, and washers shall be structural steel conforming to the provisions in Section 55-2, "Materials." Other fittings shall be commercial quality.

**In Section 83-1.02E replace the 2nd paragraph with:**

Pipe for posts and braces shall be standard steel pipe or pipe that conforms to the provisions in Section 80-4.01A, "Posts and Braces."

**In Section 83-1.02E, delete the 3rd paragraph**

**In Section 83-1.02E in the 7th paragraph, replace the 2nd sentence with:**

Cable shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

**In Section 83-1.02I replace the 5th paragraph with:**

Where shown on the plans, cables used in the frame shall be 8 mm in diameter, wire rope, with a minimum breaking strength of 22 kN and shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

**In Section 83-1.02I replace the 14th paragraph with:**

Chain link fabric shall be either 11-gage Type I zinc-coated fabric conforming to the requirements in AASHTO M 181 or 11-gage Type IV polyvinyl chloride (PVC) coated fabric conforming to the requirements in Federal Specification RR-F-191/1.

**In Section 83-1.03 replace the 2nd paragraph with:**

Except for metal beam guard railing within the pay limits of a terminal system end treatment or transition railing (Type WB), metal beam guard railing will be measured by the meter along the face of the rail element from end post to end post of the completed railing at each installation. The point of measurement at each end post will be the center of the bolt attaching the rail element to the end post.

**In Section 83-1.03 replace the 7th and 8th paragraphs with:**

The quantities of end anchor assemblies (Type SFT or Type CA) and rail tensioning assemblies will be measured as units determined from actual count. An end anchor assembly (Type CA) with 2 cables attached to one concrete anchor will be counted as one terminal anchor assembly (Type CA) for measurement and payment.

The quantities of return and end caps and the various types of terminal sections for metal beam guard railing will be determined as units from actual count.

**In Section 83-1.04 replace the 3rd and 4th paragraphs with:**

The contract unit prices paid for end anchor assembly (Type SFT), end anchor assembly (Type CA), and rail tensioning assembly shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in constructing the end anchor assemblies, complete in place, including drilling anchor plate bolt holes in rail elements, driving steel foundation tubes, excavating for concrete anchor holes and disposing of surplus material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract unit prices paid for return caps, end caps, and the various types of terminal sections for metal beam guard railing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing terminal sections, return and end caps, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

**In Section 83-2.02B replace the 2nd paragraph with:**

Rail elements, backup plates, terminal connectors, terminal sections, and return caps shall conform to Class A, Type 1 thrie beam guard railing as shown in AASHTO Designation: M 180.

**In Section 83-2.02B replace the 14th paragraph with:**

All metal work shall be fabricated in the shop, and no punching, cutting or welding will be permitted in the field. Rail elements shall be lapped so that the exposed ends will not face approaching traffic. Terminal sections and return caps shall be installed in conformance with the manufacturer's recommendation.

**In Section 83-2.02D(2) replace the 1st paragraph with:**

Type 50 and 60 series concrete barriers shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," except as follows:

a. The maximum size of aggregate used for extruded or slip-formed concrete barriers shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5-mm or smaller than 9.5-mm.
b. If the 9.5 mm maximum size aggregate grading is used to construct extruded or slip-formed concrete barriers, the cementitious material content of the minor concrete shall be not less than 400 kilograms per cubic meter.

**In Section 83-2.02D(2) replace the 3rd paragraph with:**

The concrete paving between the tops of the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) and the optional concrete slab at the base between the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) shall be constructed of minor concrete conforming to the provisions of Section 90-10, "Minor Concrete," except that the minor concrete shall contain not less than 300 kilograms of cementitious material per cubic meter.

**In Section 83-2.03 replace the 1st and 2nd paragraphs with:**

Except for single thrie beam barrier within the pay limits of transition railing (Type STB), single thrie beam barrier will be measured by the meter from end post to end post along the face of the rail element of the installed barrier. Single thrie beam barriers constructed on each side of piers under structures or other obstructions will be measured for payment along each line of the installed barrier.

Except for double thrie beam barrier within the pay limits of transition railing (Type DTB), double thrie beam barrier will be measured by the meter from end post to end post along the center line of the installed barrier.

**In Section 83-2.03 replace the 5th and 6th paragraphs with:**

The quantity of return caps, terminal connectors and the various types of terminal sections for single and double thrie beam barriers will be determined as units from actual count.

The quantity of end anchor assemblies will be paid for as units determined from actual count.

**In Section 83-2.04 replace the 1st and 2nd paragraphs with:**

The various types of thrie beam barrier, measured as specified in Section 83-2.03, "Measurement," will be paid for at the contract price per meter for single or double thrie beam barrier, whichever applies, and the contract unit price or prices for end anchor assemblies, return caps, terminal connectors and the various types of terminal sections.

The above prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing the barrier, complete in place, including drilling holes for wood posts, driving posts, backfilling the space around posts, excavating and backfilling end anchor assembly holes, connecting thrie beam barrier to concrete surfaces and disposing of surplus excavated material, and for furnishing, placing, removing and disposing of the temporary railing for closing the gap between existing barrier and the barrier being constructed as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

**In Section 83-2.04 replace the 4th paragraph with:**

Steel plate barrier attached to concrete barrier at overhead sign foundations, electroliers, drainage structures, and other locations shown on the plans will be measured and paid for as the type of concrete barrier attached thereto.

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## SECTION 84 TRAFFIC STRIPES AND PAVEMENT MARKINGS
**(Issued 07-21-06)**

**In Section 84-2.02 replace the 1st paragraph with:**

The thermoplastic material shall conform to State Specification PTH-02SPRAY, PTH-02HYDRO or PTH-02ALKYD. Glass beads to be applied to the surface of the molten thermoplastic material shall conform to the requirements of State Specification 8010-004 (Type II).

**In Section 84-3.02 replace the 1st paragraph with:**

Paint for traffic stripes and pavement markings shall conform to the following State Specifications:

| Paint Type | Color | State Specification No. |
|---|---|---|
| Waterborne Traffic Line | White, Yellow and Black | PTWB-01 |
| Acetone-Based | White, Yellow and Black | PT-150VOC(A) |
| Waterborne Traffic Line for disabled persons' parking, and other curb markings | Blue, Red and Green | Federal Specification No. TT-P-1952D |

**In Section 84-3.02 replace the 4th paragraph with:**

The kind of paint to be used (waterborne or acetone-based) shall be determined by the Contractor based on the time of year the paint is applied and local air pollution control regulations.

**In Section 84-3.05 replace the 1st paragraph with:**

Traffic stripes and pavement markings shall be applied only on dry surfaces and only during periods of favorable weather. Painting shall not be performed when the atmospheric temperature is below 5°C when using acetone-based paint or below 10°C when using water borne paint; when freshly painted surfaces may become damaged by rain, fog, or condensation; nor when it can be anticipated that the atmospheric temperature will drop below the aforementioned 5°C or 10°C temperatures during the drying period.

**In Section 84-3.05, delete the 3rd paragraph.**

**In Section 84-3.05 replace the 10th paragraph with:**

Paint to be applied in 2 coats shall be applied approximately as follows:

| Paint Type | Square Meter Coverage Per Liter | |
|---|---|---|
| | First Coat | Second Coat |
| Waterborne Paint | 6 | 6 |
| Acetone-Based Paint | 10 | 5 |

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## SECTION 85 PAVEMENT MARKERS
**(Issued 07-31-07)**

**In Section 85-1.03 replace the 2nd through 5th paragraphs with:**

**Sampling**

Twenty markers selected at random will constitute a representative sample for each lot of markers.

The lot size shall not exceed 25 000 markers.

**Tolerances**

Three test specimens will be randomly selected from the sample for each test and tested in conformance with these specifications. Should any one of the 3 specimens fail to conform with the requirements in these specifications, 6 additional specimens will be tested. The failure of any one of these 6 specimens shall be cause for rejection of the entire lot or shipment represented by the sample.

The entire sample of retroreflective pavement markers will be tested for reflectance. The failure of 10 percent or more of the original sampling shall be cause for rejection.

**Replace Section 85-1.04 with:**

### 85-1.04 NON-REFLECTIVE PAVEMENT MARKERS

Non-reflective pavement markers (Types A and AY) shall be, at the option of the Contractor, either ceramic or plastic conforming to these specifications.

The top surface of the marker shall be convex with a gradual change in curvature. The top, bottom and sides shall be free of objectionable marks or discoloration that will affect adhesion or appearance.

The bottom of markers shall have areas of integrally formed protrusions or indentations, which will increase the effective bonding surface area of adhesive. The bottom surface of the marker shall not deviate more than 1.5 mm from a flat surface. The areas of protrusion shall have faces parallel to the bottom of the marker and shall project approximately one mm from the bottom.

**In Section 85-1.04A, delete the 2nd through 4th paragraphs.**

**In Section 85-1.04A replace the 5th paragraph with:**

**Testing**

Tests shall be performed in conformance with the requirements in California Test 669.

| Test | Test Description | Requirement |
|---|---|---|
| a | Bond strength | 4.8 MPa, min. |
| b | Glaze thickness | 180 µm, min. |
| c | Hardness | 6 Moh, min. |
| d | Luminance factor, Type A, white markers only, glazed surface | 75, min. |
| e | Yellowness index, Type A, white markers only, glazed surface | 7, max. |
| f | Color-yellow, Type AY, yellow markers only. The chromaticity coordinates shall be within a color box defined in CTM 669 | Pass |
| g | Compressive strength | 6700 N, min. |
| h | Water absorption | 2.0 %, max. |
| i | Artificial weathering, 500 hours exposure, yellowness index | 20, max. |

**Replace Section 85-1.04B with:**

**85-1.04B Non-Reflective Pavement Markers (Plastic)**

Plastic non-reflective pavement markers Types A and AY shall be, at the option of the Contractor, either polypropylene or acrylonitrile-butadiene-styrene (ABS) plastic type.

Plastic markers shall conform to the testing requirements specified in Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," except that Tests a, b, c, and h shall not apply. The plastic markers shall not be coated with substances that interfere with the ability of the adhesive bonding to the marker.

**In Section 85-1.05 replace the 6th and 7th paragraphs with:**

**Testing**

Tests shall be performed in conformance with the requirements in California Test 669.

| Test Description | Requirement | | |
|---|---|---|---|
| Bond strength[a] | 3.4 MPa, min. | | |
| Compressive strength[b] | 8900 N, min. | | |
| Abrasion resistance, marker must meet the respective specific intensity minimum requirements after abrasion. | Pass | | |
| Water Soak Resistance | No delamination of the body or lens system of the marker nor loss of reflectance | | |
| | Specific Intensity | | |
| Reflectance | Clear | Yellow | Red |
| 0° Incidence Angle, min. | 3.0 | 1.5 | 0.75 |
| 20° Incidence Angle, min. | 1.2 | 0.60 | 0.30 |
| After one year field evaluation | 0.30 | 0.15 | 0.08 |

a. Failure of the marker body or filler material prior to reaching 3.4 MPa shall constitute a failing bond strength test.

b. Deformation of the marker of more than 3 mm at a load of less than 8900 N or delamination of the shell and the filler material of more than 3 mm regardless of the load required to break the marker shall be cause for rejection of the markers as specified in Section 85-1.03, "Sampling, Tolerances and Packaging."

Pavement markers to be placed in pavement recesses shall conform to the above requirements for retroreflective pavement markers except that the minimum compressive strength requirement shall be 5338 N.

**In Section 85-1.05 delete the 8th paragraph.**

**In Section 85-1.06 replace the 6th paragraph with:**

Pavement markers shall not be placed on new hot mix asphalt surfacing or seal coat until the surfacing or seal coat has been opened to public traffic for a period of not less than 7 days when hot melt bituminous adhesive is used, and not less than 14 days when epoxy adhesive is used.

**In Section 85-1.06 replace the 8th paragraph with:**

Epoxy adhesive shall not be used to apply non-reflective plastic pavement markers.

**In Section 85-1.06 in the 14th paragraph, replace the 2nd sentence with:**

Cleaning shall be done by blast cleaning on all surfaces regardless of age or type, except that blast cleaning of clean, new hot mix asphalt and clean, new seal coat surfaces will not be required when hot melt bituminous adhesive is used.

**In Section 85-1.06 in the 14th paragraph, replace the 7th sentence with:**

Soft rags moistened with mineral spirits conforming to Army Mil-PRF-680A(1) or kerosene may be used, if necessary, to remove adhesive from exposed faces of pavement markers.

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## SECTION 86 SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS
**(Issued 08-15-08)**

**In Section 86-1.01 replace the 2nd paragraph with:**

The locations of signals, beacons, standards, lighting fixtures, signs, controls, services and appurtenances shown on the plans are approximate and the exact locations will be approved by the Engineer in the field.

**In Section 86-1.02 delete the 2nd paragraph.**

**In Section 86-1.06 replace the 10th paragraph with:**

These provisions will not relieve the Contractor in any manner of the Contractor's responsibilities as provided in Section 7-1.12, "Indemnification and Insurance," and Section 7-1.16, "Contractor's Responsibility for the Work and Materials."

**In Section 86-2.02 in the 1st paragraph, replace the 1st sentence with:**

Improvements such as sidewalks, curbs, gutters, portland cement concrete and hot mix asphalt pavement, underlying material, lawns and plants and any other improvements removed, broken or damaged by the Contractor's operations, shall be replaced or reconstructed with the same kind of material as found on the work or with materials of equal quality.

**In Section 86-2.03 replace the 1st paragraph with:**

Except for concrete for cast-in-drilled-hole concrete pile foundations, portland cement concrete shall conform to Section 90-10, "Minor Concrete."

**In Section 86-2.03 replace the 3rd, 4th, and 5th paragraph with:**

Except when located on structures, foundations for posts, standards, and pedestals shall be placed "in the solid" and monolithic.

After each post, standard, and pedestal is in proper position, mortar shall be placed under the base plate as shown on the plans. The exposed portions shall be finished to present a neat appearance. Mortar shall conform to Section 51-1.135, "Mortar," except the mortar shall consist of one part by volume of cementitious material and 3 parts of clean sand.

Reinforced cast-in-drilled-hole concrete pile foundations shall conform to the provisions in Section 49, "Piling," with the following exceptions:

A. Material resulting from drilling holes shall be disposed of in conformance with the provisions in Section 86-2.01, "Excavating and Backfilling,"
B. Concrete for cast-in-drilled-hole concrete piles will not be considered as designated by compressive strength.

**In Section 86-2.03 replace the 7th paragraph with:**

Forms shall be true to line and grade. Tops of foundations for posts and standards, except special foundations, shall be finished to curb or sidewalk grade or as directed by the Engineer. Forms shall be rigid and securely braced in place. Conduit ends and anchor bolts shall be placed in proper position and to proper height, and anchor bolts shall be held in place by means of rigid top and bottom templates. The bottom template shall be made of steel. The bottom template shall provide proper spacing and alignment of the anchor bolts near their bottom embedded end. The bottom template shall be installed before placing footing concrete. Anchor bolts shall not be installed more than 1:40 from vertical.

**In Section 86-2.03, delete the 8th paragraph.**

**In Section 86-2.03 replace the 12th paragraph with:**

Plumbing of the standards shall be accomplished by adjusting the leveling nuts before placing the mortar or before the foundation is finished to final grade. Shims or other similar devices shall not be used for plumbing or raking of posts, standards, or pedestals. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made, firm contact shall exist between all bearing surfaces of the anchor bolt nuts, washers, and the base plates.

**In Section 86-2.04 replace the 1st and 2nd paragraphs with:**

Bolts, nuts and washers, and anchor bolts for use in signal and lighting support structures shall conform to the provisions in Section 55-2, "Materials." Except when bearing-type connections or slipbases are specified, high-strength bolted connections shall conform to the provisions in Section 55-3.14, "Bolted Connections." Welding, nondestructive testing (NDT) of welds, and acceptance and repair criteria for NDT of steel members shall conform to the requirements of AWS D1.1 and the special provisions.

On each lighting standard except Type 1, one rectangular corrosion resistant metal identification tag shall be permanently attached above the hand hole, near the base of the standard, using stainless steel rivets. On each signal pole support, two corrosion resistant metal identification tags shall be attached, one above the hand hole near the base of the vertical standard and one on the underside of the signal mast arm near the arm plate. As a minimum, the information on each identification tag shall include the name of the manufacturer, the date of manufacture, the identification number as shown on the plans, the contract number, and a unique identification code assigned by the fabricator. This number shall be traceable to a particular contract and the welds on that component, and shall be readable after the support structure is coated and installed. The lettering shall be a minimum of 7 mm high. The information may be either depressed or raised, and shall be legible.

**In Section 86-2.04 replace the 4th paragraph with:**

Ferrous metal parts of standards, with shaft length of 4.6 m and longer, shall conform to the details shown on the plans, the provisions in Section 55-2, "Materials," except as otherwise noted, and the following requirements:

A. Except as otherwise specified, standards shall be fabricated from sheet steel of weldable grade having a minimum yield strength, after fabrication, of 276 MPa.
B. Certified test reports which verify conformance to the minimum yield strength requirements shall be submitted to the Engineer. The test reports may be the mill test reports for the as-received steel or, when the as-received steel has a lower yield strength than required, the Contractor shall provide supportive test data which provides assurance that the Contractor's method of cold forming will consistently increase the tensile properties of the steel to meet the specified minimum yield strength. The supportive test data shall include tensile properties of the steel after cold forming for specific heats and thicknesses.
C. When a single-ply 8-mm thick pole is specified, a 2-ply pole with equivalent section modulus may be substituted.
D. Standards may be fabricated of full-length sheets or shorter sections. Each section shall be fabricated from not more than 2 pieces of sheet steel. Where 2 pieces are used, the longitudinal welded seams shall be directly opposite one another. When the sections are butt-welded together, the longitudinal welded seams on adjacent sections shall be placed to form continuous straight seams from base to top of standard.
E. Butt-welded circumferential joints of tubular sections requiring CJP groove welds shall be made using a metal sleeve backing ring inside each joint. The sleeve shall be 3-mm nominal thickness, or thicker, and manufactured from steel having the same chemical composition as the steel in the tubular sections to be joined. When the sections to be joined have different specified minimum yield strengths, the steel in the sleeve shall have the same chemical composition as the tubular section having the higher minimum yield strength. The width of the metal sleeve shall be consistent with the type of NDT chosen and shall be a minimum width of 25 mm. The sleeve shall be centered at the joint and be in contact with the tubular section at the point of the weld at time of fit-up.
F. Welds shall be continuous.
G. The weld metal at the transverse joint shall extend to the sleeve, making the sleeve an integral part of the joint.
H. During fabrication, longitudinal seams on vertical tubular members of cantilevered support structures shall be centered on and along the side of the pole that the pole plate is located. Longitudinal seams on horizontal tubular members, including signal and luminaire arms, shall be within +/-45 degrees of the bottom of the arm.
I. The longitudinal seam welds in steel tubular sections may be made by the electric resistance welding process.
J. Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be CJP groove welds. In addition, longitudinal seam welds on lighting support structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.
K. Exposed circumferential welds, except fillet and fatigue-resistant welds, shall be ground flush (-0, +2 mm) with the base metal prior to galvanizing or painting.
L. Circumferential welds and base plate-to-pole welds may be repaired only one time without written permission from the Engineer.
M. Exposed edges of the plates that make up the base assembly shall be finished smooth and exposed corners of the plates shall be broken unless otherwise shown on the plans. Shafts shall be provided with slip-fitter shaft caps.
N. Flatness of surfaces of 1) base plates that are to come in contact with concrete, grout, or washers and leveling nuts; 2) plates in high-strength bolted connections; 3) plates in joints where cap screws are used to secure luminaire and signal arms; and 4) plates used for breakaway slip base assemblies shall conform to the requirements in ASTM A6.
O. Standards shall be straight, with a permissive variation not to exceed 25 mm measured at the midpoint of a 9-m or 11-m standard and not to exceed 20 mm measured at the midpoint of a 5-m through 6-m standard. Variation shall not exceed 25 mm at a point 4.5 m above the base plate for Type 35 and Type 36 standards.

P. Zinc-coated nuts used on fastener assemblies having a specified preload (obtained by specifying a prescribed tension, torque value, or degree of turn) shall be provided with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the zinc coating on the nut so that the presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Q. No holes shall be made in structural members unless the holes are shown on the plans or are approved in writing by the Engineer.

R. Standards with an outside diameter of 300 mm or less shall be round. Standards with an outside diameter greater than 300 mm shall be round or multisided. Multisided standards shall have a minimum of 12 sides which shall be convex and shall have a minimum bend radius of 100 mm.

S. Mast arms for standards shall be fabricated from material as specified for standards, and shall conform to the dimensions shown on the plans.

T. The cast steel option for slip bases shall be fabricated from material conforming to the requirements in ASTM Designation: A 27/A 27M, Grade 70-40. Other comparable material may be used if written permission is given by the Engineer. The casting tolerances shall be in conformance with the Steel Founder's Society of America recommendations (green sand molding).

U. One casting from each lot of 50 castings or less shall be subject to radiographic inspection, in conformance with the requirements in ASTM Designation: E 94. The castings shall comply with the acceptance criteria severity level 3 or better for the types and categories of discontinuities in conformance with the requirements in ASTM Designations: E 186 and E 446. If the one casting fails to pass the inspection, 2 additional castings shall be radiographed. Both of these castings shall pass the inspection, or the entire lot of 50 will be rejected.

V. Material certifications, consisting of physical and chemical properties, and radiographic films of the castings shall be filed at the manufacturer's office. These certifications and films shall be available for inspection upon request.

W. High-strength bolts, nuts, and flat washers used to connect slip base plates shall conform to the requirements in ASTM Designation: A 325 or A 325M and shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."

X. Plate washers shall be fabricated by saw cutting and drilling steel plate conforming to the requirements in AISI Designation: 1018, and be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." Prior to galvanizing, burrs and sharp edges shall be removed and holes shall be chamfered sufficiently on each side to allow the bolt head to make full contact with the washer without tension on the bolt.

Y. High-strength cap screws shown on the plans for attaching arms to standards shall conform to the requirements in ASTM Designation: A 325, A 325M, or A 449, and shall comply with the mechanical requirements in ASTM Designation: A 325 or A 325M after galvanizing. The cap screws shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." The threads of the cap screws shall be coated with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the color of the zinc coating on the cap screw so that presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Z. Unless otherwise specified, bolted connections attaching signal or luminaire arms to poles shall be considered slip critical. Galvanized faying surfaces on plates on luminaire and signal arms and matching plate surfaces on poles shall be roughened by hand using a wire brush prior to assembly and shall conform to the requirements for Class C surface conditions for slip-critical connections in "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts," a specification approved by the Research Council on Structural Connections (RCSC) of the Engineering Foundation. For faying surfaces required to be painted, the paint shall be an approved type, brand, and thickness that has been tested and approved according to the RCSC Specification as a Class B coating.

AA. Samples of fastener components will be randomly taken from each production lot by the Engineer and submitted, along with test reports required by appropriate ASTM fastener specifications, for QA testing and evaluation. Sample sizes for each fastener component shall be as determined by the Engineer.

**In Section 86-2.04 replace the 7th paragraph with:**

To avoid interference of arm plate-to-tube welds with cap screw heads, and to ensure cap screw heads can be turned using conventional installation tools, fabricators shall make necessary adjustments to details prior to fabrication and properly locate the position of arm tubes on arm plates during fabrication.

**In Section 86-2.05C in the 18th paragraph, replace the 4th and 5th subparagraphs with:**

The conduit shall be placed in the bottom of the trench, and the trench shall be backfilled with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 350 kilograms

of cementitious material per cubic meter. Concrete backfill shall be placed to the pavement surface except, when the trench is in hot mix asphalt pavement and additional pavement is not being placed, the top 30 mm of the trench shall be backfilled with hot mix asphalt produced from commercial quality paving asphalt and aggregates.

Prior to spreading hot mix asphalt, tack coat shall be applied in conformance with the provisions in Section 39, "Hot Mix Asphalt." Spreading and compacting of hot mix asphalt shall be performed by any method which will produce a hot mix asphalt surfacing of uniform smoothness, texture and density.

**In Section 86-2.05C in the 23rd paragraph, replace the 3rd subparagraph with:**

Precast concrete conduit cradles shall conform to the dimensions shown on the plans and shall be constructed of minor concrete and commercial quality welded wire fabric. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 350 kilograms of cementitious material per cubic meter. The cradles shall be moist cured for not less than 3 days.

**In Section 86-2.05C in the 23rd paragraph, replace the 7th subparagraph with:**

The space around conduits through bridge abutment walls shall be filled with mortar conforming to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

**In Section 86-2.07 replace the 5th paragraph with:**

Concrete placed around and under traffic pull boxes as shown on the plans shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete."

**In Section 86-2.08A in the 1st paragraph in the table, after the heading replace the 4th row with:**

| | | | | | |
|---|---|---|---|---|---|
| Traffic Signal Controller Cabinet | Ungrounded Circuit Conductor | Blk | None | CON-1 | 6 |
| | Grounded Circuit Conductor | Wht | None | CON-2 | 6 |

**In Section 86-2.08B replace the 2nd paragraph with:**

At any point, the minimum insulation thickness of any Type USE, RHH, or RHW insulation shall be 1.0 mm for conductor sizes No. 14 to No. 10, inclusive; and 1.3 mm for No. 8 to No. 2, inclusive.

At any point, the minimum insulation thickness of any Type THW or TW wires shall be 0.7 mm for conductor sizes No. 14 to No. 10, inclusive; 1.0 mm for No. 8; and 1.4 mm for No. 6 to No. 2, inclusive.

**In Section 86-2.12 replace the 6 and 7th paragraphs with:**

After fabrication, wood poles shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4B, Commodity Specification D.

Wood poles, when specified in the special provisions to be painted, shall be treated with waterborne wood preservatives.

**In Section 86-2.15 replace the 1st paragraph with:**

Galvanizing shall be in conformance with the provisions in Section 75-1.05, "Galvanizing," except that cabinets may be constructed of material galvanized prior to fabrication in conformance with the requirements in ASTM Designation: A 653/653M, Coating Designation G 90, in which case all cut or damaged edges shall be painted with at least 2 applications of approved unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint." Aerosol cans shall not be used. Other types of protective coating must be approved by the Engineer prior to installation.

**In Section 86-2.16, in the 13th paragraph, replace item B with:**

B. Salt Spray Resistance - The undercutting of the film of the coating system shall not exceed 3 mm average, from lines scored diagonally and deep enough to expose the base metal, after 336 hours exposure in a salt spray cabinet in conformance with the requirements in ASTM Designation: B 117.

**In Section 86-4.01 replace the 1st paragraph with:**

Each vehicle signal face shall be of the adjustable type conforming to the requirements in Institute of Transportation Engineers (ITE) Publication: ST-017B, "Vehicle Traffic Control Signal Heads."

**In Section 86-4.01A in the 1st paragraph, replace the 1st and 3rd subparagraphs with:**

Lenses, reflectors, reflector assemblies, lamp receptacles, lamps, wiring and light distribution shall conform to the requirements in ITE Publication: ST-017B.

All reflectors shall conform to the requirements in ITE Publication: ST-017B except that reflectors shall be made of silvered glass or of specular aluminum with an anodic coating. Reflector ring holder shall be made of cast aluminum.

**In Section 86-4.01B replace the 1st paragraph with:**

Each signal section housing shall be either die-cast or permanent mold-cast aluminum conforming to ITE Publication: ST-017B or, when specified in the special provisions, shall be structural plastic.

**In Section 86-4.01C replace the 1st paragraph with:**

Lamp receptacles and wiring shall conform to ITE Publication: ST-017B. The metal portion of the medium base lamp socket shall be brass, copper or phosphor bronze.

**In Section 86-4.01D replace the 1st paragraph with:**

Each signal section shall be provided with a removable visor conforming to the requirements in ITE Publication: ST-017B. Visors are classified, on the basis of lens enclosure, as full circle, tunnel (bottom open), or cap (bottom and lower sides open). Unless otherwise specified, visors shall be the tunnel type.

**In Section 86-4.02A replace the 1st paragraph with:**

Light emitting diode signal modules shall be designed as retrofit replacements for optical units of standard traffic signal sections and shall not require special tools for installation. Light emitting diode signal modules shall fit into existing traffic signal section housings built in conformance with the requirements in the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" without modification to the housing.

**In Section 86-4.02A replace the 7th paragraph with:**

Light emitting diode signal modules shall be protected against dust and moisture intrusion in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures to protect the internal components.

**In Section 86-4.02B replace the 1st paragraph with:**

The minimum initial luminous intensity values for light emitting diode signal modules shall conform to the requirements in Section 11.04 of the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" at 25°C.

**In Section 86-4.02C replace the 3rd paragraph with:**

The light emitting diode signal module on-board circuitry shall include voltage surge protection to withstand high-repetition noise transients as specified in Section 2.1.6 of NEMA Standard TS2.

**In Section 86-4.02D(1), in the 4th paragraph, replace the 7th subparagraph with:**

Moisture resistance testing shall be performed on light emitting diode signal modules in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

**In Section 86-4.05 replace the 2nd paragraph with:**

Each programmed visibility signal section shall provide a nominal 300-mm diameter circular or arrow indication. Color and arrow configuration shall conform to the requirements in ITE Publication: ST-017B.

**In Section 86-4.06 replace the 1st paragraph with:**

Message symbols for pedestrian signal faces shall be white WALKING PERSON and Portland orange UPRAISED HAND conforming to the requirements in the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

**In Section 86-4.06(A) in the 1st paragraph, replace the 3rd subparagraph with:**

Each reflector assembly shall consist of a double reflector or 2 single reflectors. Each reflector shall be made of either aluminum or plastic. Reflectors shall conform to the requirements in Institute of Transportation Engineers Publication: ST-017B, "Vehicle Traffic Control Signal Heads." Plastic reflectors shall consist of molded or vacuum-formed plastic with a vacuum-deposited aluminum reflecting surface. The plastic material shall not distort when the reflector is used with the lamp of the wattage normally furnished with the signal. In addition, the UL nonmechanical loading temperature of the material shall exceed, by at least 10°C, the maximum temperature in the signal section with the lamp "ON" and measured in an ambient air temperature of 25°C in conformance with the requirements in UL Publication UL 746B. Each completed reflector shall, when operated with the appropriate lamp and lens, provide the message brightness specified.

**In Section 86-4.07 replace the 10th paragraph with:**

The luminance of the "UPRAISED HAND" symbol shall be 3750 cd/m$^2$ minimum. The color of "UPRAISED HAND" shall be Portland orange conforming to the requirements of the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

**In Section 86-4.07C replace the 2nd paragraph with:**

On-board circuitry of the light emitting diode pedestrian signal modules shall include voltage surge protection to withstand high-repetition noise transients as stated in Section 2.1.6 of NEMA Standard TS2.

**In Section 86-4.07D(1) replace the 2nd paragraph with:**

A quantity of 2 units for each design shall be submitted for Design Qualification Testing. Test units shall be submitted to the Transportation Laboratory, after manufacturer's testing is complete.

**In Section 86-4.07D(1) in the 4th paragraph, replace the 5th and 7th subparagraphs with:**

Mechanical vibration testing shall be in conformance with the requirements in Military Specification MIL-STD-883, Test Method 2007, using three 4-minute cycles along each x, y and z axis, at a force of 2.5 Gs, with a frequency sweep from 2 Hz to 120 Hz. The loosening of the lens or of internal components, or other physical damage shall be cause for rejection.

Moisture resistance testing shall be performed on modules mounted in a standard pedestrian signal housing in conformance to the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

**In Section 86-5.07A(5) in Section "Elastomeric Sealant" in the 1st paragraph, replace the 2nd sentence with:**

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

**In Section 86-5.07A(5) in Section "Asphatic Emulsion Sealant" in the 1st paragraph, replace the 1st sentence with:**

Asphaltic emulsion sealant shall conform to the requirements in State Specification 8040-41A-15 and shall be used only for filling slots in hot mix asphalt pavement.

**In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 1st paragraph, replace the 3rd sentence with:**

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

**In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 2nd paragraph in the table, after the heading replace rows 1 through 3 with:**

| | | |
|---|---|---|
| Cone Penetration, 25°C, 150 g, 5 s | D 5329, Sec. 6 | 3.5 mm, max. |
| Flow, 60°C | D 5329, Sec. 8 | 5 mm, max. |
| Resilience, 25°C | D 5329, Sec. 12 | 25%, min. |

**In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant", replace the 10th paragraph with:**

If hot mix asphalt surfacing is to be placed, the loop conductors shall be installed prior to placing the uppermost layer of hot mix asphalt. The conductors shall be installed, as shown on the plans, in the compacted layer of hot mix asphalt immediately below the uppermost layer. Installation details shall be as shown on the plans, except the sealant shall fill the slot flush to the surface.

**In Section 86-5.01D replace the 1st paragraph with:**

When a foundation for a pressure-sensitive vehicle detector is to be removed, the hole left by removing the detector frame and foundation shall be filled with minor concrete, except the roadway surface shall be reconstructed with material to match existing surfacing. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," except that the concrete shall contain not less than 250 kilograms of cementitious material per cubic meter for hot mix asphalt surfaced roadways and not less than 350 kilograms of cementitious material per cubic meter for portland cement concrete surfaced roadways.

**In Section 86-6.065 in Section "Mounting Assemblies", replace the 3rd paragraph with:**

At least 4.9 m of clearance shall be provided between the bottom of the fixture and the roadway.

**In Section 86-8.01 replace the 1st paragraph with:**

The contract lump sum price or prices paid for signal, ramp metering, flashing beacon, lighting, sign illumination, traffic monitoring station, highway advisory radio systems, closed circuit television systems, or combinations thereof; for modifying or removing those systems; for temporary systems; or the lump sum or unit prices paid for various units of those systems; or the lump sum or per meter price paid for conduit of the various sizes, types and installation methods listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in furnishing and installing, modifying, or removing the systems, combinations or units thereof, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer, including any necessary pull boxes (except when the type required is shown as a separate contract item); excavation and backfill; concrete foundations (except when shown as a separate contract item); pedestrian barricades; furnishing and installing illuminated street name signs; installing sign panels on pedestrian barricades, on flashing beacon standards, and on traffic signal mast arms; restoring sidewalk, pavement and appurtenances damaged or destroyed during construction; salvaging existing materials; and making all required tests.

**In Section 86-8.01, between the 1st and 2nd paragraph add:**

If a portion or all of the poles for signal, lighting and electrical systems pursuant to Standard Specification Section 86, "Signals, Lighting and Electrical Systems," is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in such expenses, it is agreed that payment to the Contractor for furnishing such items from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000; in addition, in the case where a fabrication site is located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced an additional $3000 per each fabrication site ($8000 total per site).

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## SECTION 88 ENGINEERING FABRIC
**(Issued 01-15-02)**

**Replace Section 88-1.02 with:**

### 88-1.02 Pavement Reinforcing Fabric

Pavement reinforcing fabric shall be 100 percent polypropylene staple fiber fabric material, needle-punched, thermally bonded on one side, and conform to the following:

| Specification | Requirement |
|---|---|
| Weight, grams per square meter<br>ASTM Designation: D 5261 | 140 |
| Grab tensile strength<br>(25-mm grip), kilonewtons, min. in each direction<br>ASTM Designation: D 4632 | 0.45 |
| Elongation at break, percent min.<br>ASTM Designation: D 4632 | 50 |
| Asphalt retention by fabric, grams per square meter. (Residual Minimum)<br>ASTM Designation: D 6140 | 900 |

Note: Weight, grab, elongation and asphalt retention are based on Minimum Average Roll Value (MARV)

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## SECTION 90 PORTLAND CEMENT CONCRETE
**(Issued 03-16-07)**

**Replace Section 90 with:**

## SECTION 90 PORTLAND CEMENT CONCRETE
## 90-1 GENERAL

### 90-1.01 DESCRIPTION

Portland cement concrete shall be composed of cementitious material, fine aggregate, coarse aggregate, admixtures if used, and water, proportioned and mixed as specified in these specifications.

The Contractor shall determine the mix proportions for concrete in conformance with these specifications.

Class 1 concrete shall contain not less than 400 kg of cementitious material per cubic meter.

Class 2 concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Class 3 concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Class 4 concrete shall contain not less than 250 kg of cementitious material per cubic meter.

Minor concrete shall contain not less than 325 kg of cementitious material per cubic meter unless otherwise specified in these specifications or the special provisions.

Unless otherwise designated on the plans or specified in these specifications or the special provisions, the amount of cementitious material used per cubic meter of concrete in structures or portions of structures shall conform to the following:

| Use | Cementitious Material Content ($kg/m^3$) |
|---|---|
| Concrete designated by compressive strength: | |
| Deck slabs and slab spans of bridges | 400 min., 475 max. |
| Roof sections of exposed top box culverts | 400 min., 475 max. |
| Other portions of structures | 350 min., 475 max. |
| Concrete not designated by compressive strength: | |
| Deck slabs and slab spans of bridges | 400 min. |
| Roof sections of exposed top box culverts | 400 min. |
| Prestressed members | 400 min. |
| Seal courses | 400 min. |
| Other portions of structures | 350 min. |
| Concrete for precast members | 350 min., 550 max. |

Whenever the 28-day compressive strength shown on the plans is greater than 25 MPa, the concrete shall be designated by compressive strength. If the plans show a 28-day compressive strength that is 28 MPa or greater, an additional 14 days will be allowed to obtain the specified strength. The 28-day compressive strengths shown on the plans that are 25 MPa or less are shown for design information only and are not a requirement for acceptance of the concrete.

Concrete designated by compressive strength shall be proportioned such that the concrete will attain the strength shown on the plans or specified in the special provisions.

Before using concrete for which the mix proportions have been determined by the Contractor, or in advance of revising those mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design.

Compliance with cementitious material content requirements will be verified in conformance with procedures described in California Test 518 for cement content. For testing purposes, supplementary cementitious material shall be considered to be cement. Batch proportions shall be adjusted as necessary to produce concrete having the specified cementitious material content.

If any concrete has a cementitious material, portland cement, or supplementary cementitious material content that is less than the minimum required, the concrete shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $0.55 for each kilogram of cementitious material, portland cement, or supplementary cementitious material that is less than the minimum required. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract. The deductions will not be made unless the difference between the contents required and those actually provided exceeds the batching tolerances permitted by Section 90-5, "Proportioning." No deductions will be made based on the results of California Test 518.

The requirements of the preceding paragraph shall not apply to minor concrete or commercial quality concrete.

## 90-2 MATERIALS

### 90-2.01 CEMENTITIOUS MATERIALS

Unless otherwise specified, cementitious material shall be either a combination of Type II or Type V portland cement and a supplementary cementitious material, or a blended cement.

Cementitious materials used in cast-in-place concrete for exposed surfaces of like elements of a structure shall be from the same sources and of the same proportions.

Cementitious materials shall be protected from moisture until used. Sacked cementitious materials shall be piled to permit access for tallying, inspecting, and identifying each shipment.

Facilities shall be provided to ensure that cementitious materials meeting this Section 90-2.01 are kept separate from other cementitious materials. Sampling cementitious materials shall be in conformance with California Test 125.

The Contractor shall furnish a Certificate of Compliance for cementitious materials in conformance with the provisions in Section 6-1.07, "Certificates of Compliance." The Certificate of Compliance shall indicate the source by name and location (including country, state, and city). If cementitious material is delivered directly to the job site, the Certificate of Compliance shall be signed by the cementitious material supplier. If the cementitious material is used in ready-mixed concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product.

**90-2.01A CEMENT**

Portland cement shall conform to the requirements in ASTM Designation: C 150 except, using a 10-sample moving average, limestone shall not exceed 2.5 percent. The $C_3S$ content of Type II cement shall not exceed 65 percent.

Blended cement shall conform to the requirements for Portland Blast-Furnace Slag, Cement Type IS (MS) or Portland-Pozzolan Cement, Type IP (MS) in AASHTO Designation: M 240 and shall be comprised of an intimate and uniform blend of Type II or Type V cement and supplementary cementitious material in an amount conforming to the requirements in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials."

In addition, blended cement, Type II portland cement, and Type V portland cement shall conform to the following requirements:

A. The cement shall not contain more than 0.60-percent by mass of alkalies, calculated as the percentage of $Na_2O$ plus 0.658 times the percentage of $K_2O$, when determined by methods as required in AASHTO Designation: T 105;
B. The autoclave expansion shall not exceed 0.50-percent; and
C. Mortar, containing the cement to be used and Ottawa sand, when tested in conformance with California Test 527, shall not expand in water more than 0.010-percent and shall not contract in air more than 0.048-percent, except that when cement is to be used for precast prestressed concrete piling, precast prestressed concrete members, or steam cured concrete products, the mortar shall not contract in air more than 0.053-percent.

Type III portland cement shall be used only as specified in the special provisions or with the approval of the Engineer. Type III portland cement shall conform to the additional requirements listed above for Type II portland cement, except when tested in conformance with California Test 527, mortar containing Type III portland cement shall not contract in air more than 0.075-percent.

**90-2.01B SUPPLEMENTARY CEMENTITIOUS MATERIALS (SCM)**

Fly ash shall conform to the requirements in AASHTO Designation: M 295, Class F, and the following:

A. Calcium oxide content shall not exceed 10 percent.
B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.
C. Commingling of fly ash from different sources at uncontrolled ratios is permissible only if the following criteria are satisfied:

    1. Sources of fly ash to be commingled shall be on the approved list of materials for use in concrete.
    2. Testing of the commingled product is the responsibility of the fly ash supplier.
    3. Each fly ash's running average of density shall not differ from any other by more than $0.25 g/cm^3$ at the time of commingling.
    4. Each fly ash's running average of loss on ignition shall not differ from any other by more than one percent at the time of commingling.
    5. The final product of commingled fly ash shall conform to the requirement in AASHTO Designation: M 295.

Raw or calcined natural pozzolans shall conform to the requirements in AASHTO Designation: M 295, Class N and the following requirements:

A. Calcium oxide content shall not exceed 10 percent.
B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.

Ground Granulated Blast Furnace Slag (GGBFS) shall conform to the requirements in AASHTO Designation: M 302, Grade 100 or Grade 120.

Silica Fume shall conform to the requirements of AASHTO Designation: M 307 with reduction in mortar expansion of 80 percent, minimum, using the cement from the proposed mix design.

### 90-2.01C REQUIRED USE OF SUPPLEMENTARY CEMENTITIOUS MATERIALS

The amount of portland cement and SCM used in portland cement concrete shall conform to the minimum cementitious material content provisions in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," and the following:

A. If a blended cement conforming to the provisions in Section 90-2.01A, "Cement," is used, the minimum amount of SCM incorporated into the cement shall conform to the provisions in this Section 90-2.01C.
B. Fly ash or natural pozzolan, silica fume, or GGBFS shall not be used with Type IP or Type IS cements.

Use of SCMs shall conform to the following:

A. If fly ash or natural pozzolan is used:

    1. The minimum amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
    2. The minimum amount of fly ash or natural pozzolan shall be:

        a. Fifteen percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is equal to or less than 2 percent by mass;
        b. Twenty-five percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is greater than 2 percent by mass.

B. The total amount of fly ash or natural pozzolan shall not exceed 35 percent by mass of the total amount of cementitious material to be used in the mix. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and fly ash or natural pozzolan per cubic meter shall not exceed the specified maximum cementitious material content.
C. If silica fume is used:

    1. The amount of silica fume shall not be less than 10 percent by mass of the total amount of cementitious material.
    2. The amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
    3. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and silica fume per cubic meter shall not exceed the specified maximum cementitious material content.

D. If GGBFS is used:

    1. The minimum amount of GGBFS shall be either:

        a. Forty percent of the total cementitious material to be used, if the aggregates used in the concrete are on the Department's list of "Approved Aggregates For Use in Concrete with Reduced Fly Ash."
        b. No less than 50 percent.

    2. The amount of GGBFS shall not exceed 60 percent by mass of the total amount of cementitious materials to be used.

### 90-2.02 AGGREGATES

Aggregates shall be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

The Contractor shall provide safe and suitable facilities, including necessary splitting devices for obtaining samples of aggregates, in conformance with California Test 125.

Aggregates shall be of such character that it will be possible to produce workable concrete within the limits of water content provided in Section 90-6.06, "Amount of Water and Penetration."

Aggregates shall have not more than 10 percent loss when tested for soundness in conformance with the requirements in California Test 214. The soundness requirement for fine aggregate will be waived, provided that the durability index, $D_f$, of the fine aggregate is 60 or greater when tested for durability in conformance with California Test 229.

If the results of any one or more of the Cleanness Value, Sand Equivalent, or aggregate grading tests do not meet the requirements specified for "Operating Range" but all meet the "Contract Compliance" requirements, the placement of concrete shall be suspended at the completion of the current pour until tests or other information indicate that the next material to be used in the work will comply with the requirements specified for "Operating Range."

If the results of either or both the Cleanness Value and coarse aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

If the results of either or both the Sand Equivalent and fine aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

The 2 preceding paragraphs apply individually to the "Contract Compliance" requirements for coarse aggregate and fine aggregate. When both coarse aggregate and fine aggregate do not conform to the "Contract Compliance" requirements, both paragraphs shall apply. The payments specified in those paragraphs are in addition to any payments made in conformance with the provisions in Section 90-1.01, "Description."

No single Cleanness Value, Sand Equivalent, or aggregate grading test shall represent more than 250 $m^3$ of concrete or one day's pour, whichever is smaller.

When the source of an aggregate is changed, the Contractor shall adjust the mix proportions and submit in writing to the Engineer a copy of the mix design before using the aggregates.

### 90-2.02A COARSE AGGREGATE

Coarse aggregate shall consist of gravel, crushed gravel, crushed rock, reclaimed aggregate, crushed air-cooled iron blast furnace slag or combinations thereof. Crushed air-cooled blast furnace slag shall not be used in reinforced or prestressed concrete.

Reclaimed aggregate is aggregate that has been recovered from plastic concrete by washing away the cementitious material. Reclaimed aggregate shall conform to all aggregate requirements.

Coarse aggregate shall conform to the following quality requirements:

| Tests | California Test | Requirements |
|---|---|---|
| Loss in Los Angeles Rattler (after 500 revolutions) | 211 | 45% max. |
| Cleanness Value | | |
| Operating Range | 227 | 75 min. |
| Contract Compliance | 227 | 71 min. |

In lieu of the above Cleanness Value requirements, a Cleanness Value "Operating Range" limit of 71, minimum, and a Cleanness Value "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the coarse aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

A. Coarse aggregate sampled at the completion of processing at the aggregate production plant had a Cleanness Value of not less than 82 when tested in conformance with the requirements in California Test 227; and
B. Prequalification tests performed in conformance with the requirements in California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

### 90-2.02B FINE AGGREGATE

Fine aggregate shall consist of natural sand, manufactured sand produced from larger aggregate or a combination thereof. Manufactured sand shall be well graded.

Fine aggregate shall conform to the following quality requirements:

| Test | California Test | Requirements |
|---|---|---|
| Organic Impurities | 213 | Satisfactory[a] |
| Mortar Strengths Relative to Ottawa Sand | 515 | 95%, min. |
| Sand Equivalent: | | |
| Operating Range | 217 | 75, min. |
| Contract Compliance | 217 | 71, min. |

a Fine aggregate developing a color darker than the reference standard color solution may be accepted if it is determined by the Engineer, from mortar strength tests, that a darker color is acceptable.

In lieu of the above Sand Equivalent requirements, a Sand Equivalent "Operating Range" limit of 71, minimum, and a Sand Equivalent "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the fine aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

A. Fine aggregate sampled at the completion of processing at the aggregate production plant had a Sand Equivalent value of not less than 82 when tested by California Test 217; and
B. Prequalification tests performed in conformance with California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

### 90-2.03 WATER

In conventionally reinforced concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 1000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as $SO_4$, when tested in conformance with California Test 417. In prestressed concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 650 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as $SO_4$, when tested in conformance with California Test 417. In no case shall the water contain an amount of impurities that will cause either: 1) a change in the setting time of cement of more than 25 percent when tested in conformance with the requirements in ASTM Designation: C 191 or ASTM Designation: C 266 or 2) a reduction in the compressive strength of mortar at 14 days of more than 5 percent, when tested in conformance with the requirements in ASTM Designation: C 109, when compared to the results obtained with distilled water or deionized water, tested in conformance with the requirements in ASTM Designation: C 109.

In nonreinforced concrete work, the water for curing, for washing aggregates and for mixing shall be free from oil and shall not contain more than 2000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, or more than 1500 parts per million of sulfates as $SO_4$, when tested in conformance with California Test 417.

In addition to the above provisions, water for curing concrete shall not contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water reclaimed from mixer wash-out operations may be used in mixing concrete. The water shall not contain coloring agents or more than 300 parts per million of alkalis ($Na_2O + 0.658\ K_2O$) as determined on the filtrate. The specific gravity of the water shall not exceed 1.03 and shall not vary more than ±0.010 during a day's operations.

### 90-2.04 ADMIXTURE MATERIALS

Admixture materials shall conform to the requirements in the following ASTM Designations:

A. Chemical Admixtures—ASTM Designation: C 494.
B. Air-entraining Admixtures—ASTM Designation: C 260.

## 90-3 AGGREGATE GRADINGS

### 90-3.01 GENERAL

Before beginning concrete work, the Contractor shall submit in writing to the Engineer the gradation of the primary aggregate nominal sizes that the Contractor proposes to furnish. If a primary coarse aggregate or the fine aggregate is separated into 2 or more sizes, the proposed gradation shall consist of the gradation for each individual size, and the proposed proportions of each individual size, combined mathematically to indicate one proposed gradation. The proposed gradation shall meet the grading requirements shown in the table in this section, and shall show the percentage passing each of the sieve sizes used in determining the end result.

The Engineer may waive, in writing, the gradation requirements in this Section 90-3.01 and in Sections 90-3.02, "Coarse Aggregate Grading," 90-3.03, "Fine Aggregate Grading," and 90-3.04, "Combined Aggregate Gradings," if, in the Engineer's opinion, furnishing the gradation is not necessary for the type or amount of concrete work to be constructed.

Gradations proposed by the Contractor shall be within the following percentage passing limits:

| Primary Aggregate Nominal Size | Sieve Size | Limits of Proposed Gradation |
|---|---|---|
| 37.5-mm x 19-mm | 25-mm | 19 - 41 |
| 25-mm x 4.75-mm | 19-mm | 52 - 85 |
| 25-mm x 4.75-mm | 9.5-mm | 15 - 38 |
| 12.5-mm x 4.75-mm | 9.5-mm | 40 - 78 |
| 9.5-mm x 2.36-mm | 9.5-mm | 50 - 85 |
| Fine Aggregate | 1.18-mm | 55 - 75 |
| Fine Aggregate | 600-µm | 34 - 46 |
| Fine Aggregate | 300-µm | 16 - 29 |

Should the Contractor change the source of supply, the Contractor shall submit in writing to the Engineer the new gradations before their intended use.

### 90-3.02 COARSE AGGREGATE GRADING

The grading requirements for coarse aggregates are shown in the following table for each size of coarse aggregate:

| | Percentage Passing Primary Aggregate Nominal Sizes | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | 37.5-mm x 19-mm | | 25-mm x 4.75-mm | | 12.5-mm x 4.75-mm | | 9.5-mm x 2.36-mm | |
| Sieve Sizes | Operating Range | Contract Compliance | Operating Range | Contract Compliance | Operating Range | Contract Compliance | Operating Range | Contract Compliance |
| 50-mm | 100 | 100 | — | — | — | — | — | — |
| 37.5-mm | 88 - 100 | 85 - 100 | 100 | 100 | — | — | — | — |
| 25-mm | X ±18 | X ±25 | 88 - 100 | 86 - 100 | — | — | — | — |
| 19-mm | 0 - 17 | 0 - 20 | X ±15 | X ±22 | 100 | 100 | — | — |
| 12.5-mm | — | — | — | — | 82 - 100 | 80 - 100 | 100 | 100 |
| 9.5-mm | 0 - 7 | 0 - 9 | X ±15 | X ±22 | X ±15 | X ±22 | X ±15 | X ±20 |
| 4.75-mm | — | — | 0 - 16 | 0 - 18 | 0 - 15 | 0 - 18 | 0 - 25 | 0 - 28 |
| 2.36-mm | — | — | 0 - 6 | 0 - 7 | 0 - 6 | 0 - 7 | 0 - 6 | 0 - 7 |

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

Coarse aggregate for the 37.5-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," shall be furnished in 2 or more primary aggregate nominal sizes. Each primary aggregate nominal size may be separated into 2 sizes and stored separately, provided that the combined material conforms to the grading requirements for that particular primary aggregate nominal size.

When the 25-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," is to be used, the coarse aggregate may be separated into 2 sizes and stored separately, provided that the combined material shall conform to the grading requirements for the 25-mm x 4.75-mm primary aggregate nominal size.

### 90-3.03 FINE AGGREGATE GRADING

Fine aggregate shall be graded within the following limits:

| Sieve Sizes | Percentage Passing | |
|---|---|---|
| | Operating Range | Contract Compliance |
| 9.5-mm | 100 | 100 |
| 4.75-mm | 95 - 100 | 93 - 100 |
| 2.36-mm | 65 - 95 | 61 - 99 |
| 1.18-mm | X ±10 | X ±13 |
| 600-µm | X ±9 | X ±12 |
| 300-µm | X ±6 | X ±9 |
| 150-µm | 2 - 12 | 1 - 15 |
| 75-µm | 0 - 8 | 0 - 10 |

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

In addition to the above required grading analysis, the distribution of the fine aggregate sizes shall be such that the difference between the total percentage passing the 1.18-mm sieve and the total percentage passing the 600-µm sieve shall be between 10 and 40, and the difference between the percentage passing the 600-µm and 300-µm sieves shall be between 10 and 40.

Fine aggregate may be separated into 2 or more sizes and stored separately, provided that the combined material conforms to the grading requirements specified in this Section 90-3.03.

### 90-3.04 COMBINED AGGREGATE GRADINGS

Combined aggregate grading limits shall be used only for the design of concrete mixes. Concrete mixes shall be designed so that aggregates are combined in proportions that shall produce a mixture within the grading limits for combined aggregates as specified herein.

The combined aggregate grading, except when otherwise specified in these specifications or the special provisions, shall be either the 37.5-mm, maximum grading, or the 25-mm, maximum grading, at the option of the Contractor.

Grading Limits of Combined Aggregates

| Sieve Sizes | Percentage Passing | | | |
|---|---|---|---|---|
| | 37.5-mm Max. | 25-mm Max. | 12.5-mm Max. | 9.5-mm Max. |
| 50-mm | 100 | — | — | — |
| 37.5-mm | 90 - 100 | 100 | — | — |
| 25-mm | 50 - 86 | 90 - 100 | — | — |
| 19-mm | 45 - 75 | 55 - 100 | 100 | — |
| 12.5-mm | — | — | 90-100 | 100 |
| 9.5-mm | 38 - 55 | 45 - 75 | 55 - 86 | 50 - 100 |
| 4.75-mm | 30 - 45 | 35 - 60 | 45 - 63 | 45 - 63 |
| 2.36-mm | 23 - 38 | 27 - 45 | 35 - 49 | 35 - 49 |
| 1.18-mm | 17 - 33 | 20 - 35 | 25 - 37 | 25 - 37 |
| 600-µm | 10 - 22 | 12 - 25 | 15 - 25 | 15 - 25 |
| 300-µm | 4 - 10 | 5 - 15 | 5 - 15 | 5 - 15 |
| 150-µm | 1 - 6 | 1 - 8 | 1 - 8 | 1 - 8 |
| 75-µm | 0 - 3 | 0 - 4 | 0 - 4 | 0 - 4 |

Changes from one grading to another shall not be made during the progress of the work unless permitted by the Engineer.

## 90-4 ADMIXTURES

### 90-4.01 GENERAL

Admixtures used in portland cement concrete shall conform to and be used in conformance with the provisions in this Section 90-4 and the special provisions. Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option as provided herein.

Chemical admixtures and air-entraining admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined by California Test 415, shall not be used.

Admixtures shall be uniform in properties throughout their use in the work. Should it be found that an admixture as furnished is not uniform in properties, its use shall be discontinued.

If more than one admixture is used, the admixtures shall be compatible with each other so that the desirable effects of all admixtures used will be realized.

Chemical admixtures shall be used in conformance with the manufacturer's written recommendations.

### 90-4.02 MATERIALS

Admixture materials shall conform to the provisions in Section 90–2.04, "Admixture Materials."

### 90-4.03 ADMIXTURE APPROVAL

No admixture brand shall be used in the work unless it is on the Department's current list of approved brands for the type of admixture involved.

Admixture brands will be considered for addition to the approved list if the manufacturer of the admixture submits to the Transportation Laboratory a sample of the admixture accompanied by certified test results demonstrating that the admixture complies with the requirements in the appropriate ASTM Designation and these specifications. The sample shall be sufficient to permit performance of all required tests. Approval of admixture brands will be dependent upon a determination as to compliance with the requirements, based on the certified test results submitted, together with tests the Department may elect to perform.

If the Contractor proposes to use an admixture of a brand and type on the current list of approved admixture brands, the Contractor shall furnish a Certificate of Compliance from the manufacturer, as provided in Section 6-1.07, "Certificates of Compliance," certifying that the admixture furnished is the same as that previously approved. If a previously approved admixture is not accompanied by a Certificate of Compliance, the admixture shall not be used in the work until the Engineer has had sufficient time to make the appropriate tests and has approved the admixture for use. The Engineer may take samples for testing at any time, whether or not the admixture has been accompanied by a Certificate of Compliance.

### 90-4.04 REQUIRED USE OF CHEMICAL ADMIXTURES

If the use of a chemical admixture is specified, the admixture shall be used at the dosage specified, except that if no dosage is specified, the admixture shall be used at the dosage normally recommended by the manufacturer of the admixture.

### 90-4.05 OPTIONAL USE OF CHEMICAL ADMIXTURES

The Contractor may use Type A or F, water-reducing; Type B, retarding; or Type D or G, water-reducing and retarding admixtures as described in ASTM Designation: C 494 to conserve cementitious material or to facilitate any concrete construction application subject to the following conditions:

A. If a water-reducing admixture or a water-reducing and retarding admixture is used, the cementitious material content specified or ordered may be reduced by a maximum of 5 percent by mass, except that the resultant cementitious material content shall be not less than 300 kilograms per cubic meter; and
B. When a reduction in cementitious material content is made, the dosage of admixture used shall be the dosage used in determining approval of the admixture.

Unless otherwise specified, a Type C accelerating chemical admixture conforming to the requirements in ASTM Designation: C 494, may be used in portland cement concrete. Inclusion in the mix design submitted for approval will not be required provided that the admixture is added to counteract changing conditions that contribute to delayed setting of the portland cement concrete, and the use or change in dosage of the admixture is approved in writing by the Engineer.

### 90-4.06 REQUIRED USE OF AIR-ENTRAINING ADMIXTURES

When air-entrainment is specified or ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce a concrete having the specified air content as determined by California Test 504.

### 90-4.07 OPTIONAL USE OF AIR-ENTRAINING ADMIXTURES

When air-entrainment has not been specified or ordered by the Engineer, the Contractor will be permitted to use an air-entraining admixture to facilitate the use of any construction procedure or equipment provided that the average air content, as determined by California Test 504, of 3 successive tests does not exceed 4 percent, and no single test value exceeds 5.5 percent. If the Contractor elects to use an air-entraining admixture in concrete for pavement, the Contractor shall so indicate at the time the Contractor designates the source of aggregate.

### 90-4.08 BLANK

**90-4.09 BLANK**

**90-4.10 PROPORTIONING AND DISPENSING LIQUID ADMIXTURES**

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers for liquid admixtures shall have sufficient capacity to measure at one time the prescribed quantity required for each batch of concrete. Each dispenser shall include a graduated measuring unit into which liquid admixtures are measured to within ±5 percent of the prescribed quantity for each batch. Dispensers shall be located and maintained so that the graduations can be accurately read from the point at which proportioning operations are controlled to permit a visual check of batching accuracy prior to discharge. Each measuring unit shall be clearly marked for the type and quantity of admixture.

Each liquid admixture dispensing system shall be equipped with a sampling device consisting of a valve located in a safe and readily accessible position such that a sample of the admixture may be withdrawn slowly by the Engineer.

If more than one liquid admixture is used in the concrete mix, each liquid admixture shall have a separate measuring unit and shall be dispensed by injecting equipment located in such a manner that the admixtures are not mixed at high concentrations and do not interfere with the effectiveness of each other. When air-entraining admixtures are used in conjunction with other liquid admixtures, the air-entraining admixture shall be the first to be incorporated into the mix, unless it is demonstrated that a different sequence improves performance.

When automatic proportioning devices are required for concrete pavement, dispensers for liquid admixtures shall operate automatically with the batching control equipment. The dispensers shall be equipped with an automatic warning system in good operating condition that will provide a visible or audible signal at the point at which proportioning operations are controlled when the quantity of admixture measured for each batch of concrete varies from the preselected dosage by more than 5 percent, or when the entire contents of the measuring unit are not emptied from the dispenser into each batch of concrete.

Unless liquid admixtures are added to premeasured water for the batch, their discharge into the batch shall be arranged to flow into the stream of water so that the admixtures are well dispersed throughout the batch, except that air-entraining admixtures may be dispensed directly into moist sand in the batching bins provided that adequate control of the air content of the concrete can be maintained.

Liquid admixtures requiring dosages greater than 2.5 $L/m^3$ shall be considered to be water when determining the total amount of free water as specified in Section 90-6.06, "Amount of Water and Penetration."

**90-4.11 BLANK**

## 90-5 PROPORTIONING

**90-5.01 STORAGE OF AGGREGATES**

Aggregates shall be stored or stockpiled in such a manner that separation of coarse and fine particles of each size shall be avoided and the various sizes shall not become intermixed before proportioning.

Aggregates shall be stored or stockpiled and handled in a manner that prevent contamination by foreign materials. In addition, storage of aggregates at batching or mixing facilities that are erected subsequent to the award of the contract and that furnish concrete to the project shall conform to the following:

A. Intermingling of the different sizes of aggregates shall be positively prevented. The Contractor shall take the necessary measures to prevent intermingling. The preventive measures may include, but are not necessarily limited to, physical separation of stockpiles or construction of bulkheads of adequate length and height; and
B. Contamination of aggregates by contact with the ground shall be positively prevented. The Contractor shall take the necessary measures to prevent contamination. The preventive measures shall include, but are not necessarily limited to, placing aggregates on wooden platforms or on hardened surfaces consisting of portland cement concrete, asphalt concrete, or cement treated material.

In placing aggregates in storage or in moving the aggregates from storage to the weigh hopper of the batching plant, any method that may cause segregation, degradation, or the combining of materials of different gradings that will result in any size of aggregate at the weigh hopper failing to meet the grading requirements shall be discontinued. Any method of handling aggregates that results in excessive breakage of particles shall be discontinued. The use of suitable devices to reduce impact of falling aggregates may be required by the Engineer.

## 90-5.02 PROPORTIONING DEVICES

Weighing, measuring, or metering devices used for proportioning materials shall conform to the requirements in Section 9-1.01, "Measurement of Quantities," and this Section 90-5.02. In addition, automatic weighing systems shall comply with the requirements for automatic proportioning devices in Section 90-5.03A, "Proportioning for Pavement." Automatic devices shall be automatic to the extent that the only manual operation required for proportioning the aggregates, cement, and supplementary cementitious material for one batch of concrete is a single operation of a switch or starter.

Proportioning devices shall be tested as frequently as the Engineer may deem necessary to ensure their accuracy.

Weighing equipment shall be insulated against vibration or movement of other operating equipment in the plant. When the plant is in operation, the mass of each batch of material shall not vary from the mass designated by the Engineer by more than the tolerances specified herein.

Equipment for cumulative weighing of aggregate shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the aggregate. For systems with individual weigh hoppers for the various sizes of aggregate, the zero tolerance shall be ±0.5 percent of the individual batch mass designated for each size of aggregate. Equipment for cumulative weighing of cement and supplementary cementitious material shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the cement and supplementary cementitious material. Equipment for weighing cement or supplementary cementitious material separately shall have a zero tolerance of ±0.5 percent of their designated individual batch masses. Equipment for measuring water shall have a zero tolerance of ±0.5 percent of its designated mass or volume.

The mass indicated for any batch of material shall not vary from the preselected scale setting by more than the following:

A. Aggregate weighed cumulatively shall be within 1.0 percent of the designated total batch mass of the aggregate. Aggregates weighed individually shall be within 1.5 percent of their respective designated batch masses; and
B. Cement shall be 99 to 102 percent of its designated batch mass. When weighed individually, supplementary cementitious material shall be 99 to 102 percent of its designated batch mass. When supplementary cementitious material and cement are permitted to be weighed cumulatively, cement shall be weighed first to 99 to 102 percent of its designated batch mass, and the total for cement and supplementary cementitious material shall be 99 to 102 percent of the sum of their designated batch masses; and
C. Water shall be within 1.5 percent of its designated mass or volume.

Each scale graduation shall be approximately 0.001 of the total capacity of the scale. The capacity of scales for weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregates shall not exceed that of commercially available scales having single graduations indicating a mass not exceeding the maximum permissible mass variation above, except that no scale shall be required having a capacity of less than 500 kg, with 0.5-kg graduations.

## 90-5.03 PROPORTIONING

Proportioning shall consist of dividing the aggregates into the specified sizes, each stored in a separate bin, and combining them with cementitious material and water as provided in these specifications. Aggregates shall be proportioned by mass.

At the time of batching, aggregates shall have been dried or drained sufficiently to result in a stable moisture content such that no visible separation of water from aggregate will take place during transportation from the proportioning plant to the point of mixing. In no event shall the free moisture content of the fine aggregate at the time of batching exceed 8 percent of its saturated, surface-dry mass.

Should separate supplies of aggregate material of the same size group, but of different moisture content or specific gravity or surface characteristics affecting workability, be available at the proportioning plant, withdrawals shall be made from one supply exclusively and the materials therein completely exhausted before starting upon another.

Bulk Type IP (MS) cement shall be weighed in an individual hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer.

Bulk cement and supplementary cementitious material may be weighed in separate, individual weigh hoppers or may be weighed in the same weigh hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer. If the cement and supplementary cementitious material are weighed cumulatively, the cement shall be weighed first.

If cement and supplementary cementitious material are weighed in separate weigh hoppers, the weigh systems for the proportioning of the aggregate, the cement, and the supplementary cementitious material shall be individual and distinct from all other weigh systems. Each weigh system shall be equipped with a hopper, a lever system, and an indicator to constitute an individual and independent material weighing device. The cement and the supplementary cementitious material shall be discharged into the mixer simultaneously with the aggregate.

The scales and weigh hoppers for bulk weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material shall be separate and distinct from the aggregate weighing equipment.

For batches of one cubic meter or more, the batching equipment shall conform to one of the following combinations:

A. Separate boxes and separate scale and indicator for weighing each size of aggregate.
B. Single box and scale indicator for all aggregates.
C. Single box or separate boxes and automatic weighing mechanism for all aggregates.

In order to check the accuracy of batch masses, the gross mass and tare mass of batch trucks, truck mixers, truck agitators, and non-agitating hauling equipment shall be determined when ordered by the Engineer. The equipment shall be weighed on scales designated by the Engineer.

**90-5.03A PROPORTIONING FOR PAVEMENT**

Aggregates and bulk supplementary cementitious material for use in pavement shall be proportioned by mass by means of automatic proportioning devices of approved type conforming to these specifications.

The Contractor shall install and maintain in operating condition an electronically actuated moisture meter that will indicate, on a readily visible scale, changes in the moisture content of the fine aggregate as it is batched within a sensitivity of 0.5 percent by mass of the fine aggregate.

The batching of cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregate shall be interlocked so that a new batch cannot be started until all weigh hoppers are empty, the proportioning devices are within zero tolerance, and the discharge gates are closed. The interlock shall permit no part of the batch to be discharged until all aggregate hoppers and the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper are charged with masses that are within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If interlocks are required for cement and supplementary cementitious material charging mechanisms and cement and supplementary cementitious material are weighed cumulatively, their charging mechanisms shall be interlocked to prevent the introduction of mineral admixture until the mass of cement in the cement weigh hopper is within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If concrete is completely mixed in stationary paving mixers, the supplementary cementitious materials shall be weighed in a separate weigh hopper and the supplementary cementitious material and cement shall be introduced simultaneously into the mixer proportionately with the aggregate. If the Contractor provides certification that the stationary mixer is capable of mixing the cement, supplementary cementitious material, aggregates, and water uniformly before discharge, weighing the supplementary cementitious material cumulatively with the cement is permitted. Certification shall contain the following:

A. Test results for 2 compressive strength test cylinders of concrete taken within the first one-third and 2 compressive strength test cylinders of concrete taken within the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength";
B. Calculations demonstrating that the difference in the averages of 2 compressive strengths taken in the first one-third is no greater than 7.5 percent different than the averages of 2 compressive strengths taken in the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength;" and
C. The mixer rotation speed and time of mixing before discharge that are required to produce a mix that meets the requirements above.

The discharge gate on the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper shall be designed to permit regulating the flow of cement, supplementary cementitious material, or cement plus supplementary cementitious material into the aggregate as directed by the Engineer.

If separate weigh boxes are used for each size of aggregate, the discharge gates shall permit regulating the flow of each size of aggregate as directed by the Engineer.

Material discharged from the several bins shall be controlled by gates or by mechanical conveyors. The means of withdrawal from the several bins, and of discharge from the weigh box, shall be interlocked so that not more than one bin can discharge at a time, and so that the weigh box cannot be tripped until the required quantity from each of the several bins has been deposited therein. Should a separate weigh box be used for each size of aggregate, all may be operated and discharged simultaneously.

If the discharge from the several bins is controlled by gates, each gate shall be actuated automatically so that the required mass is discharged into the weigh box, after which the gate shall automatically close and lock.

The automatic weighing system shall be designed so that all proportions required may be set on the weighing controller at the same time.

## 90-6 MIXING AND TRANSPORTING

### 90-6.01 GENERAL

Concrete shall be mixed in mechanically operated mixers, except that when permitted by the Engineer, batches not exceeding 0.25-$m^3$ may be mixed by hand methods in conformance with the provisions in Section 90-6.05, "Hand-Mixing."

Equipment having components made of aluminum or magnesium alloys that would have contact with plastic concrete during mixing, transporting, or pumping of portland cement concrete shall not be used.

Concrete shall be homogeneous and thoroughly mixed, and there shall be no lumps or evidence of undispersed cementitious material.

Uniformity of concrete mixtures will be determined by differences in penetration as determined by California Test 533, or slump as determined by ASTM Designation: C 143, and by variations in the proportion of coarse aggregate as determined by California Test 529.

When the mix design specifies a penetration value, the difference in penetration, determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed 10 mm. When the mix design specifies a slump value, the difference in slump, determined by comparing slump tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed the values given in the table below. Variation in the proportion of coarse aggregate will be determined by comparing the results of tests of 2 samples of mixed concrete from the same batch or truck mixer load and the difference between the 2 results shall not exceed 100 kg per cubic meter of concrete.

| Average Slump | Maximum Permissible Difference |
|---|---|
| Less than 100-mm | 25-mm |
| 100-mm to 150-mm | 38-mm |
| Greater than 150-mm to 225-mm | 50-mm |

The Contractor shall furnish samples of the freshly mixed concrete and provide satisfactory facilities for obtaining the samples.

### 90-6.02 MACHINE MIXING

Concrete mixers may be of the revolving drum or the revolving blade type, and the mixing drum or blades shall be operated uniformly at the mixing speed recommended by the manufacturer. Mixers and agitators that have an accumulation of hard concrete or mortar shall not be used.

The temperature of mixed concrete, immediately before placing, shall be not less than 10°C or more than 32°C. Aggregates and water shall be heated or cooled as necessary to produce concrete within these temperature limits. Neither aggregates nor mixing water shall be heated to exceed 65°C. If ice is used to cool the concrete, discharge of the mixer will not be permitted until all ice is melted.

The batch shall be so charged into the mixer that some water will enter in advance of cementitious materials and aggregates. All water shall be in the drum by the end of the first one-fourth of the specified mixing time.

Cementitious materials shall be batched and charged into the mixer by means that will not result either in loss of cementitious materials due to the effect of wind, in accumulation of cementitious materials on surfaces of conveyors or hoppers, or in other conditions that reduce or vary the required quantity of cementitious material in the concrete mixture.

Paving and stationary mixers shall be operated with an automatic timing device. The timing device and discharge mechanism shall be interlocked so that during normal operation no part of the batch will be discharged until the specified mixing time has elapsed.

The total elapsed time between the intermingling of damp aggregates and all cementitious materials and the start of mixing shall not exceed 30 minutes.

The size of batch shall not exceed the manufacturer's guaranteed capacity.

When producing concrete for pavement or base, suitable batch counters shall be installed and maintained in good operating condition at job site batching plants and stationary mixers. The batch counters shall indicate the exact number of batches proportioned and mixed.

Concrete shall be mixed and delivered to the job site by means of one of the following combinations of operations:

A. Mixed completely in a stationary mixer and the mixed concrete transported to the point of delivery in truck agitators or in nonagitating hauling equipment (central-mixed concrete).
B. Mixed partially in a stationary mixer, and the mixing completed in a truck mixer (shrink-mixed concrete).
C. Mixed completely in a truck mixer (transit-mixed concrete).

D. Mixed completely in a paving mixer.

Agitators may be truck mixers operating at agitating speed or truck agitators. Each mixer and agitator shall have attached thereto in a prominent place a metal plate or plates on which is plainly marked the various uses for which the equipment is designed, the manufacturer's guaranteed capacity of the drum or container in terms of the volume of mixed concrete and the speed of rotation of the mixing drum or blades.

Truck mixers shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified.

When shrink-mixed concrete is furnished, concrete that has been partially mixed at a central plant shall be transferred to a truck mixer and all requirements for transit-mixed concrete shall apply. No credit in the number of revolutions at mixing speed will be allowed for partial mixing in a central plant.

### 90-6.03 TRANSPORTING MIXED CONCRETE

Mixed concrete may be transported to the delivery point in truck agitators or truck mixers operating at the speed designated by the manufacturer of the equipment as agitating speed, or in nonagitating hauling equipment, provided the consistency and workability of the mixed concrete upon discharge at the delivery point is suitable for adequate placement and consolidation in place, and provided the mixed concrete after hauling to the delivery point conforms to the provisions in Section 90-6.01, "General."

Truck agitators shall be loaded not to exceed the manufacturer's guaranteed capacity and shall maintain the mixed concrete in a thoroughly mixed and uniform mass during hauling.

Bodies of nonagitating hauling equipment shall be constructed so that leakage of the concrete mix, or any part thereof, will not occur at any time.

Concrete hauled in open-top vehicles shall be protected during hauling against rain or against exposure to the sun for more than 20 minutes when the ambient temperature exceeds 24°C.

No additional mixing water shall be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer. If the Engineer authorizes additional water to be incorporated into the concrete, the drum shall be revolved not less than 30 revolutions at mixing speed after the water is added and before discharge is commenced.

The rate of discharge of mixed concrete from truck mixer-agitators shall be controlled by the speed of rotation of the drum in the discharge direction with the discharge gate fully open.

If a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be completed within 1.5 hours or before 250 revolutions of the drum or blades, whichever occurs first, after the introduction of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or if the temperature of the concrete is 30°C or above, the time allowed may be less than 1.5 hours. If an admixture is used to retard the set time, the temperature of the concrete shall not exceed 30°C, the time limit shall be 2 hours, and the revolution limitation shall be 300.

If nonagitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be completed within one hour after the addition of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete delivered at the job site shall be accompanied by a weighmaster certificate showing the mix identification number, nonrepeating load number, date and time at which the materials were batched, the total amount of water added to the load, and for transit-mixed concrete, the reading of the revolution counter at the time the truck mixer is charged with cement. This weighmaster certificate shall also show the actual scale masses (kilograms) for the ingredients batched. Theoretical or target batch masses shall not be used as a substitute for actual scale masses.

Weighmaster certificates shall be provided in printed form, or if approved by the Engineer, the data may be submitted in electronic media. Electronic media shall be presented in a tab-delimited format on a 90 mm diskette with a capacity of at least 1.4 megabytes. Captured data, for the ingredients represented by each batch shall be "line feed, carriage return" (LFCR) and "one line, separate record" with allowances for sufficient fields to satisfy the amount of data required by these specifications.

The Contractor may furnish a weighmaster certificate accompanied by a separate certificate that lists the actual batch masses or measurements for a load of concrete provided that both certificates are imprinted with the same nonrepeating load number that is unique to the contract and delivered to the job site with the load.

Weighmaster certificates furnished by the Contractor shall conform to the provisions in Section 9-1.01, "Measurement of Quantities."

### 90-6.04 TIME OR AMOUNT OF MIXING

Mixing of concrete in paving or stationary mixers shall continue for the required mixing time after all ingredients, except water and admixture, if added with the water, are in the mixing compartment of the mixer before any part of the batch is released. Transfer time in multiple drum mixers shall not be counted as part of the required mixing time.

The required mixing time, in paving or stationary mixers, of concrete used for concrete structures, except minor structures, shall be not less than 90 seconds or more than 5 minutes, except that when directed by the Engineer in writing, the requirements of the following paragraph shall apply.

The required mixing time, in paving or stationary mixers, except as provided in the preceding paragraph, shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at the mixing speed for transit-mixed concrete shall not be less than that recommended by the mixer manufacturer, but in no case shall the number of revolutions be less than that required to consistently produce concrete conforming to the provisions for uniformity in Section 90-6.01, "General."

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

### 90-6.05 HAND-MIXING

Hand-mixed concrete shall be made in batches of not more than 0.25-$m^3$ and shall be mixed on a watertight, level platform. The proper amount of coarse aggregate shall be measured in measuring boxes and spread on the platform and the fine aggregate shall be spread on this layer, the 2 layers being not more than 0.3-meters in total depth. On this mixture shall be spread the dry cementitious materials and the whole mass turned no fewer than 2 times dry; then sufficient clean water shall be added, evenly distributed, and the whole mass again turned no fewer than 3 times, not including placing in the carriers or forms.

### 90-6.06 AMOUNT OF WATER AND PENETRATION

The amount of water used in concrete mixes shall be regulated so that the penetration of the concrete as determined by California Test 533 or the slump of the concrete as determined by ASTM Designation: C 143 is within the nominal values shown in the following table. When the penetration or slump of the concrete is found to exceed the nominal values listed, the mixture of subsequent batches shall be adjusted to reduce the penetration or slump to a value within the nominal range shown. Batches of concrete with a penetration or slump exceeding the maximum values listed shall not be used in the work. If Type F or Type G chemical admixtures are added to the mix, the penetration requirements shall not apply and the slump shall not exceed 225 mm after the chemical admixtures are added.

| Type of Work | Nominal | | Maximum | |
|---|---|---|---|---|
| | Penetration (mm) | Slump (mm) | Penetration (mm) | Slump (mm) |
| Concrete Pavement | 0 - 25 | — | 40 | — |
| Non-reinforced concrete facilities | 0 - 35 | — | 50 | — |
| Reinforced concrete structures | | | | |
| Sections over 300-mm thick | 0 - 35 | — | 65 | — |
| Sections 300-mm thick or less | 0 - 50 | — | 75 | — |
| Concrete placed under water | — | 150 - 200 | — | 225 |
| Cast-in-place concrete piles | 65 - 90 | 130 - 180 | 100 | 200 |

The amount of free water used in concrete shall not exceed 183 kg/$m^3$, plus 20 kg for each required 100 kg of cementitious material in excess of 325 kg/$m^3$.

The term free water is defined as the total water in the mixture minus the water absorbed by the aggregates in reaching a saturated surface-dry condition.

If there are adverse or difficult conditions that affect the placing of concrete, the above specified penetration and free water content limitations may be exceeded providing the Contractor is granted permission by the Engineer in writing to increase the cementitious material content per cubic meter of concrete. The increase in water and cementitious material shall be at a ratio not to exceed 30 kg of water per added 100 kg of cementitious material per cubic meter. Full compensation for additional cementitious material and water added under these conditions shall be considered as included in the contract price paid for the concrete work involved and no additional compensation will be allowed therefor.

The equipment for supplying water to the mixer shall be constructed and arranged so that the amount of water added can be measured accurately. Any method of discharging water into the mixer for a batch shall be accurate within 1.5 percent of the quantity of water required to be added to the mix for any position of the mixer. Tanks used to measure water shall be designed so that water cannot enter while water is being discharged into the mixer and discharge into the mixer shall be made rapidly in one operation without dribbling. All equipment shall be arranged so as to permit checking the amount of water delivered by discharging into measured containers.

## 90-7 CURING CONCRETE

### 90-7.01 METHODS OF CURING

Newly placed concrete shall be cured by the methods specified in this Section 90-7.01 and the special provisions.

#### 90-7.01A WATER METHOD

The concrete shall be kept continuously wet by the application of water for a minimum curing period of 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or earth or sand blankets may be used as a curing medium to retain the moisture during the curing period.

If a curing medium consisting of cotton mats, rugs, carpets, polyethylene sheeting, polyethylene sheeting on burlap, or earth or sand blankets is to be used to retain the moisture, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. The moisture from the nozzle shall not be applied under pressure directly upon the concrete and shall not be allowed to accumulate on the concrete in a quantity sufficient to cause a flow or wash the surface. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing media.

At the option of the Contractor, a curing medium consisting of white opaque polyethylene sheeting extruded onto burlap may be used to cure concrete structures. The polyethylene sheeting shall have a minimum thickness of 100 µm, and shall be extruded onto 283.5-gram burlap.

At the option of the Contractor, a curing medium consisting of polyethylene sheeting may be used to cure concrete columns. The polyethylene sheeting shall have a minimum thickness of 250 µm achieved in a single layer of material.

If the Contractor chooses to use polyethylene sheeting or polyethylene sheeting on burlap as a curing medium, these media and any joints therein shall be secured as necessary to provide moisture retention and shall be within 75 mm of the concrete at all points along the surface being cured. When these media are used, the temperature of the concrete shall be monitored during curing. If the temperature of the concrete cannot be maintained below 60°C, use of these curing media shall be disallowed.

When concrete bridge decks and flat slabs are to be cured without the use of a curing medium, the entire surface of the bridge deck or slab shall be kept damp by the application of water with an atomizing nozzle as specified above, until the concrete has set, after which the entire surface of the concrete shall be sprinkled continuously with water for a period of not less than 7 days.

#### 90-7.01B CURING COMPOUND METHOD

Surfaces of the concrete that are exposed to the air shall be sprayed uniformly with a curing compound.

Curing compounds to be used shall be as follows:

1. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B, except the resin type shall be poly-alpha-methylstyrene.
2. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B.
3. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class A.
4. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class B.
5. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class A.
6. Nonpigmented curing compound with fugitive dye conforming to the requirements in ASTM Designation: C 309, Type 1-D, Class A.

The infrared scan for the dried vehicle from curing compound (1) shall match the infrared scan on file at the Transportation Laboratory.

The loss of water for each type of curing compound, when tested in conformance with the requirements in California Test 534, shall not be more than 0.15-kg/$m^2$ in 24 hours.

The curing compound to be used will be specified elsewhere in these specifications or in the special provisions.

If the use of curing compound is required or permitted elsewhere in these specifications or in the special provisions and no specific kind is specified, any of the curing compounds listed above may be used.

Curing compound shall be applied at a nominal rate of 3.7 $m^2$/L, unless otherwise specified.

At any point, the application rate shall be within ±1.2 $m^2$/L of the nominal rate specified, and the average application rate shall be within ±0.5 $m^2$/L of the nominal rate specified when tested in conformance with the requirements in California Test 535. Runs, sags, thin areas, skips, or holidays in the applied curing compound shall be evidence that the application is not satisfactory.

Curing compounds shall be applied using power operated spray equipment. The power operated spraying equipment shall be equipped with an operational pressure gage and a means of controlling the pressure. Hand spraying of small and irregular areas that are not reasonably accessible to mechanical spraying equipment, in the opinion of the Engineer, may be permitted.

The curing compound shall be applied to the concrete following the surface finishing operation, immediately before the moisture sheen disappears from the surface, but before any drying shrinkage or craze cracks begin to appear. In the event of any drying or cracking of the surface, application of water with an atomizing nozzle as specified in Section 90-7.01A, "Water Method," shall be started immediately and shall be continued until application of the compound is resumed or started; however, the compound shall not be applied over any resulting freestanding water. Should the film of compound be damaged from any cause before the expiration of 7 days after the concrete is placed in the case of structures and 72 hours in the case of pavement, the damaged portion shall be repaired immediately with additional compound.

At the time of use, compounds containing pigments shall be in a thoroughly mixed condition with the pigment uniformly dispersed throughout the vehicle. A paddle shall be used to loosen all settled pigment from the bottom of the container, and a power driven agitator shall be used to disperse the pigment uniformly throughout the vehicle.

Agitation shall not introduce air or other foreign substance into the curing compound.

The manufacturer shall include in the curing compound the necessary additives for control of sagging, pigment settling, leveling, de-emulsification, or other requisite qualities of a satisfactory working material. Pigmented curing compounds shall be manufactured so that the pigment does not settle badly, does not cake or thicken in the container, and does not become granular or curdled. Settlement of pigment shall be a thoroughly wetted, soft, mushy mass permitting the complete and easy vertical penetration of a paddle. Settled pigment shall be easily redispersed, with minimum resistance to the sideways manual motion of the paddle across the bottom of the container, to form a smooth uniform product of the proper consistency.

Curing compounds shall remain sprayable at temperatures above 4°C and shall not be diluted or altered after manufacture.

The curing compound shall be packaged in clean 1040-L totes, 210-L barrels, or 19-L pails, or shall be supplied from a suitable storage tank located at the job site. The containers shall comply with "Title 49, Code of Federal Regulations, Hazardous Materials Regulations." The 1040-L totes and the 210-L barrels shall have removable lids and airtight fasteners. The 19-L pails shall be round and have standard full open head and bail. Lids with bungholes will not be permitted. Settling or separation of solids in containers, except tanks, must be completely redispersed with low speed mixing prior to use, in conformance with these specifications and the manufacturer's recommendations. Mixing shall be accomplished either manually by use of a paddle or by use of a mixing blade driven by a drill motor, at low speed. Mixing blades shall be the type used for mixing paint. On-site storage tanks shall be kept clean and free of contaminants. Each tank shall have a permanent system designed to completely redisperse settled material without introducing air or other foreign substances.

Steel containers and lids shall be lined with a coating that will prevent destructive action by the compound or chemical agents in the air space above the compound. The coating shall not come off the container or lid as skins. Containers shall be filled in a manner that will prevent skinning. Plastic containers shall not react with the compound.

Each container shall be labeled with the manufacturer's name, kind of curing compound, batch number, volume, date of manufacture, and volatile organic compound (VOC) content. The label shall also warn that the curing compound containing pigment shall be well stirred before use. Precautions concerning the handling and the application of curing compound shall be shown on the label of the curing compound containers in conformance with the Construction Safety Orders and General Industry Safety Orders of the State.

Containers of curing compound shall be labeled to indicate that the contents fully comply with the rules and regulations concerning air pollution control in the State.

When the curing compound is shipped in tanks or tank trucks, a shipping invoice shall accompany each load. The invoice shall contain the same information as that required herein for container labels.

Curing compound will be sampled by the Engineer at the source of supply, at the job site, or at both locations.

Curing compound shall be formulated so as to maintain the specified properties for a minimum of one year. The Engineer may require additional testing before use to determine compliance with these specifications if the compound has not been used within one year or whenever the Engineer has reason to believe the compound is no longer satisfactory.

Tests will be conducted in conformance with the latest ASTM test methods and methods in use by the Transportation Laboratory.

### 90-7.01C WATERPROOF MEMBRANE METHOD

The exposed finished surfaces of concrete shall be sprayed with water, using a nozzle that so atomizes the flow that a mist and not a spray is formed, until the concrete has set, after which the curing membrane, shall be placed. The curing membrane shall remain in place for a period of not less than 72 hours.

Sheeting material for curing concrete shall conform to the requirements in AASHTO Designation: M 171 for white reflective materials.

The sheeting material shall be fabricated into sheets of such width as to provide a complete cover for the entire concrete surface. Joints in the sheets shall be securely cemented together in such a manner as to provide a waterproof joint. The joint seams shall have a minimum lap of 100 mm.

The sheets shall be securely weighted down by placing a bank of earth on the edges of the sheets or by other means satisfactory to the Engineer.

Should any portion of the sheets be broken or damaged before the expiration of 72 hours after being placed, the broken or damaged portions shall be immediately repaired with new sheets properly cemented into place.

Sections of membrane that have lost their waterproof qualities or have been damaged to such an extent as to render them unfit for curing the concrete shall not be used.

### 90-7.01D FORMS-IN-PLACE METHOD

Formed surfaces of concrete may be cured by retaining the forms in place. The forms shall remain in place for a minimum period of 7 days after the concrete has been placed, except that for members over 0.5-m in least dimension the forms shall remain in place for a minimum period of 5 days.

Joints in the forms and the joints between the end of forms and concrete shall be kept moisture tight during the curing period. Cracks in the forms and cracks between the forms and the concrete shall be resealed by methods subject to the approval of the Engineer.

## 90-7.02 CURING PAVEMENT

The entire exposed area of the pavement, including edges, shall be cured by the waterproof membrane method, or curing compound method using curing compound (1) or (2) as the Contractor may elect. Should the side forms be removed before the expiration of 72 hours following the start of curing, the exposed pavement edges shall also be cured. If the pavement is cured by means of the curing compound method, the sawcut and all portions of the curing compound that have been disturbed by sawing operations shall be restored by spraying with additional curing compound.

Curing shall commence as soon as the finishing process provided in Section 40-1.10, "Final Finishing," has been completed. The method selected shall conform to the provisions in Section 90-7.01, "Methods of Curing."

When the curing compound method is used, the compound shall be applied to the entire pavement surface by mechanical sprayers. Spraying equipment shall be of the fully atomizing type equipped with a tank agitator that provides for continual agitation of the curing compound during the time of application. The spray shall be adequately protected against wind, and the nozzles shall be so oriented or moved mechanically transversely as to result in the minimum specified rate of coverage being applied uniformly on exposed faces. Hand spraying of small and irregular areas, and areas inaccessible to mechanical spraying equipment, in the opinion of the Engineer, will be permitted. When the ambient air temperature is above 15°C, the Contractor shall fog the surface of the concrete with a fine spray of water as specified in Section 90-7.01A, "Water Method." The surface of the pavement shall be kept moist between the hours of 10:00 a.m. and 4:30 p.m. on the day the concrete is placed. However, the fogging done after the curing compound has been applied shall not begin until the compound has set sufficiently to prevent displacement. Fogging shall be discontinued if ordered in writing by the Engineer.

## 90-7.03 CURING STRUCTURES

Newly placed concrete for cast-in-place structures, other than highway bridge decks, shall be cured by the water method, the forms-in-place method, or, as permitted herein, by the curing compound method, in conformance with the provisions in Section 90-7.01, "Methods of Curing."

The curing compound method using a pigmented curing compound may be used on concrete surfaces of construction joints, surfaces that are to be buried underground, and surfaces where only ordinary surface finish is to be applied and on which a uniform color is not required and that will not be visible from a public traveled way. If the Contractor elects to use the curing compound method on the bottom slab of box girder spans, the curing compound shall be curing compound (1).

The top surface of highway bridge decks shall be cured by both the curing compound method and the water method. The curing compound shall be curing compound (1).

Concrete surfaces of minor structures, as defined in Section 51-1.02, "Minor Structures," shall be cured by the water method, the forms-in-place method or the curing compound method.

When deemed necessary by the Engineer during periods of hot weather, water shall be applied to concrete surfaces being cured by the curing compound method or by the forms-in-place method, until the Engineer determines that a cooling effect is no longer required. Application of water for this purpose will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

## 90-7.04 CURING PRECAST CONCRETE MEMBERS

Precast concrete members shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing." Curing shall be provided for the minimum time specified for each method or until the concrete reaches its design strength, whichever is less. Steam curing may also be used for precast members and shall conform to the following provisions:

A. After placement of the concrete, members shall be held for a minimum 4-hour presteaming period. If the ambient air temperature is below 10°C, steam shall be applied during the presteaming period to hold the air surrounding the member at a temperature between 10°C and 32°C.
B. To prevent moisture loss on exposed surfaces during the presteaming period, members shall be covered as soon as possible after casting or the exposed surfaces shall be kept wet by fog spray or wet blankets.
C. Enclosures for steam curing shall allow free circulation of steam about the member and shall be constructed to contain the live steam with a minimum moisture loss. The use of tarpaulins or similar flexible covers will be permitted, provided they are kept in good repair and secured in such a manner as to prevent the loss of steam and moisture.
D. Steam at the jets shall be at low pressure and in a saturated condition. Steam jets shall not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure shall not exceed 22°C per hour. The curing temperature throughout the enclosure shall not exceed 65°C and shall be maintained at a constant level for a sufficient time necessary to develop the required transfer strength. Control cylinders shall be covered to prevent moisture loss and shall be placed in a location where temperature is representative of the average temperature of the enclosure.
E. Temperature recording devices that will provide an accurate, continuous, permanent record of the curing temperature shall be provided. A minimum of one temperature recording device per 60 m of continuous bed length will be required for checking temperature.
F. Members in pretension beds shall be detensioned immediately after the termination of steam curing while the concrete and forms are still warm, or the temperature under the enclosure shall be maintained above 15°C until the stress is transferred to the concrete.
G. Curing of precast concrete will be considered completed after termination of the steam curing cycle.

## 90-7.05 CURING PRECAST PRESTRESSED CONCRETE PILES

Newly placed concrete for precast prestressed concrete piles shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," except that piles in a corrosive environment shall be cured as follows:

A. Piles shall be either steam cured or water cured. If water curing is used, the piles shall be kept continuously wet by the application of water in conformance with the provisions in Section 90-7.01A, "Water Method."
B. If steam curing is used, the steam curing provisions in Section 90-7.04, "Curing Precast Concrete Members," shall apply except that the piles shall be kept continuously wet for their entire length for a period of not less than 3 days, including the holding and steam curing periods.

## 90-7.06 CURING SLOPE PROTECTION

Concrete slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Concreted-rock slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing," with a blanket of earth kept wet for 72 hours, or by sprinkling with a fine spray of water every 2 hours during the daytime for a period of 3 days.

## 90-7.07 CURING MISCELLANEOUS CONCRETE WORK

Exposed surfaces of curbs shall be cured by pigmented curing compounds as specified in Section 90-7.01B, "Curing Compound Method."

Concrete sidewalks, gutter depressions, island paving, curb ramps, driveways, and other miscellaneous concrete areas shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Shotcrete shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

Mortar and grout shall be cured by keeping the surface damp for 3 days.

After placing, the exposed surfaces of sign structure foundations, including pedestal portions, if constructed, shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

## 90-8 PROTECTING CONCRETE

### 90-8.01 GENERAL

In addition to the provisions in Section 7-1.16, "Contractor's Responsibility for the Work and Materials," the Contractor shall protect concrete as provided in this Section 90-8. If required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.

The Contractor shall protect concrete from damage from any cause, which shall include, but not be limited to: rain, heat, cold, wind, Contractor's actions, and actions of others.

Concrete shall not be placed on frozen or ice-coated ground or subgrade nor on ice-coated forms, reinforcing steel, structural steel, conduits, precast members, or construction joints.

Under rainy conditions, placing of concrete shall be stopped before the quantity of surface water is sufficient to damage surface mortar or cause a flow or wash of the concrete surface, unless the Contractor provides adequate protection against damage.

Concrete that has been frozen or damaged by other causes, as determined by the Engineer, shall be removed and replaced by the Contractor at the Contractor's expense.

### 90-8.02 PROTECTING CONCRETE STRUCTURES

Structure concrete and shotcrete used as structure concrete shall be maintained at a temperature of not less than 7°C for 72 hours after placing and at not less than 4°C for an additional 4 days.

### 90-8.03 PROTECTING CONCRETE PAVEMENT

Pavement concrete shall be maintained at a temperature of not less than 4°C for 72 hours.

Except as provided in Section 7-1.08, "Public Convenience," the Contractor shall protect concrete pavement against construction and other activities that abrade, scar, discolor, reduce texture depth, lower coefficient of friction, or otherwise damage the surface. Stockpiling, drifting, or excessive spillage of soil, gravel, petroleum products, and concrete or asphalt mixes on the surface of concrete pavement is prohibited unless otherwise specified in these specifications, the special provisions or permitted by the Engineer.

If ordered by the Engineer or shown on the plans or specified in the special provisions, pavement crossings shall be constructed for the convenience of public traffic. The material and work necessary for the construction of the crossings, and their subsequent removal and disposal, will be paid for at the contract unit prices for the items of work involved and if there are no contract items for the work involved, payment for pavement crossings will be made by extra work as provided in Section 4-1.03D, "Extra Work.". Where public traffic will be required to cross over the new pavement, Type III portland cement may be used in concrete, if permitted in writing by the Engineer. The pavement may be opened to traffic as soon as the concrete has developed a modulus of rupture of 3.8 MPa. The modulus of rupture will be determined by California Test 523.

No traffic or Contractor's equipment, except as hereinafter provided, will be permitted on the pavement before a period of 10 days has elapsed after the concrete has been placed, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa. Concrete that fails to attain a modulus of rupture of 3.8 MPa within 10 days shall not be opened to traffic until directed by the Engineer.

Equipment for sawing weakened plane joints will be permitted on the pavement as specified in Section 40-1.08B, "Weakened Plane Joints."

When requested in writing by the Contractor, the tracks on one side of paving equipment will be permitted on the pavement after a modulus of rupture of 2.4 MPa has been attained, provided that:

A. Unit pressure exerted on the pavement by the paver shall not exceed 135 kPa;
B. Tracks with cleats, grousers, or similar protuberances shall be modified or shall travel on planks or equivalent protective material, so that the pavement is not damaged; and
C. No part of the track shall be closer than 0.3-m from the edge of pavement.

In case of visible cracking of, or other damage to the pavement, operation of the paving equipment on the pavement shall be immediately discontinued.

Damage to the pavement resulting from early use of pavement by the Contractor's equipment as provided above shall be repaired by the Contractor.

The State will furnish the molds and machines for testing the concrete for modulus of rupture, and the Contractor, at the Contractor's expense, shall furnish the material and whatever labor the Engineer may require.

## 90-9 COMPRESSIVE STRENGTH

### 90-9.01 GENERAL

Concrete compressive strength requirements consist of a minimum strength that shall be attained before various loads or stresses are applied to the concrete and, for concrete designated by strength, a minimum strength at the age of 28 days or at the age otherwise allowed in Section 90-1.01, "Description." The various strengths required are specified in these specifications or the special provisions or are shown on the plans.

The compressive strength of concrete will be determined from test cylinders that have been fabricated from concrete sampled in conformance with the requirements of California Test 539. Test cylinders will be molded and initially field cured in conformance with California Test 540. Test cylinders will be cured and tested after receipt at the testing laboratory in conformance with the requirements of California Test 521. A strength test shall consist of the average strength of 2 cylinders fabricated from material taken from a single load of concrete, except that, if any cylinder should show evidence of improper sampling, molding, or testing, that cylinder shall be discarded and the strength test shall consist of the strength of the remaining cylinder.

When concrete compressive strength is specified as a prerequisite to applying loads or stresses to a concrete structure or member, test cylinders for other than steam cured concrete will be cured in conformance with Method 1 of California Test 540. The compressive strength of concrete determined for these purposes will be evaluated on the basis of individual tests.

When concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete strength to be used as a basis for acceptance of other than steam cured concrete will be determined from cylinders cured in conformance with Method 1 of California Test 540. If the result of a single compressive strength test at the maximum age specified or allowed is below the specified strength but is 95 percent or more of the specified strength, the Contractor shall make corrective changes, subject to approval of the Engineer, in the mix proportions or in the concrete fabrication procedures, before placing additional concrete, and shall pay to the State $14 for each in-place cubic meter of concrete represented by the deficient test. If the result of a single compressive strength test at the maximum age specified or allowed is below 95 percent of the specified strength, but is 85 percent or more of the specified strength, the Contractor shall make the corrective changes specified above, and shall pay to the State $20 for each in place cubic meter of concrete represented by the deficient test. In addition, such corrective changes shall be made when the compressive strength of concrete tested at 7 days indicates, in the judgment of the Engineer, that the concrete will not attain the required compressive strength at the maximum age specified or allowed. Concrete represented by a single test that indicates a compressive strength of less than 85 percent of the specified 28-day compressive strength will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials."

If the test result indicates that the compressive strength at the maximum curing age specified or allowed is below the specified strength, but is 85 percent or more of the specified strength, payments to the State as required above shall be made, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work meets or exceeds the specified 28-day compressive strength. If the test result indicates a compressive strength at the maximum curing age specified or allowed below 85 percent, the concrete represented by that test will be rejected, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength and quality of the concrete placed in the work are acceptable. If the evidence consists of tests made on cores taken from the work, the cores shall be obtained and tested in conformance with the requirements in ASTM Designation: C 42.

No single compressive strength test shall represent more than 250 $m^3$.

If a precast concrete member is steam cured, the compressive strength of the concrete will be determined from test cylinders that have been handled and stored in conformance with Method 3 of California Test 540. The compressive strength of steam cured concrete will be evaluated on the basis of individual tests representing specific portions of production. If the concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete shall be considered to be acceptable whenever its compressive strength reaches the specified 28-day compressive strength provided that strength is reached in not more than the maximum number of days specified or allowed after the member is cast.

When concrete is specified by compressive strength, prequalification of materials, mix proportions, mixing equipment, and procedures proposed for use will be required prior to placement of the concrete. Prequalification shall be accomplished by the submission of acceptable certified test data or trial batch reports by the Contractor. Prequalification data shall be based on the use of materials, mix proportions, mixing equipment, procedures, and size of batch proposed for use in the work.

Certified test data, in order to be acceptable, shall indicate that not less than 90 percent of at least 20 consecutive tests exceed the specified strength at the maximum number of cure days specified or allowed, and none of those tests are less than 95 percent of specified strength. Strength tests included in the data shall be the most recent tests made on concrete of the proposed mix design and all shall have been made within one year of the proposed use of the concrete.

Trial batch test reports, in order to be acceptable, shall indicate that the average compressive strength of 5 consecutive concrete cylinders, taken from a single batch, at not more than 28 days (or the maximum age allowed) after molding shall be at least 4 MPa greater than the specified 28-day compressive strength, and no individual cylinder shall have a strength less than the specified strength at the maximum age specified or allowed. Data contained in the report shall be from trial batches that were produced within one year of the proposed use of specified strength concrete in the project. Whenever air-entrainment is required, the air content of trial batches shall be equal to or greater than the air content specified for the concrete without reduction due to tolerances.

Tests shall be performed in conformance with either the appropriate California Test methods or the comparable ASTM test methods. Equipment employed in testing shall be in good condition and shall be properly calibrated. If the tests are performed during the life of the contract, the Engineer shall be notified sufficiently in advance of performing the tests in order to witness the test procedures.

The certified test data and trial batch test reports shall include the following information:

A. Date of mixing.
B. Mixing equipment and procedures used.
C. The size of batch in cubic meters and the mass, type, and source of all ingredients used.
D. Penetration or slump (if the concrete will be placed under water or placed in cast-in-place concrete piles) of the concrete.
E. The air content of the concrete if an air-entraining admixture is used.
F. The age at time of testing and strength of all concrete cylinders tested.

Certified test data and trial batch test reports shall be signed by an official of the firm that performed the tests.

When approved by the Engineer, concrete from trial batches may be used in the work at locations where concrete of a lower quality is required and the concrete will be paid for as the type or class of concrete required at that location.

After materials, mix proportions, mixing equipment, and procedures for concrete have been prequalified for use, additional prequalification by testing of trial batches will be required prior to making changes that, in the judgment of the Engineer, could result in a strength of concrete below that specified.

The Contractor's attention is directed to the time required to test trial batches and the Contractor shall be responsible for production of trial batches at a sufficiently early date so that the progress of the work is not delayed.

When precast concrete members are manufactured at the plant of an established manufacturer of precast concrete members, the mix proportions of the concrete shall be determined by the Contractor, and a trial batch and prequalification of the materials, mix proportions, mixing equipment, and procedures will not be required.

## 90-10 MINOR CONCRETE

### 90-10.01 GENERAL

Concrete for minor structures, slope paving, curbs, sidewalks and other concrete work, when designated as minor concrete on the plans, in the specifications, or in the contract item, shall conform to the provisions specified herein.

The Engineer, at the Engineer's discretion, will inspect and test the facilities, materials and methods for producing the concrete to ensure that minor concrete of the quality suitable for use in the work is obtained.

### 90-10.02 MATERIALS

Minor concrete shall conform to the following requirements:

#### 90-10.02A CEMENTITIOUS MATERIAL

Cementitious material shall conform to the provisions in Section 90-1.01, "Description."

#### 90-10.02B AGGREGATE

Aggregate shall be clean and free from deleterious coatings, clay balls, roots, and other extraneous materials.

Use of crushed concrete or reclaimed aggregate is acceptable only if the aggregate satisfies all aggregate requirements.

The Contractor shall submit to the Engineer for approval, a grading of the combined aggregate proposed for use in the minor concrete. After acceptance of the grading, aggregate furnished for minor concrete shall conform to that grading, unless a change is authorized in writing by the Engineer.

The Engineer may require the Contractor to furnish periodic test reports of the aggregate grading furnished. The maximum size of aggregate used shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5 mm or smaller than 19 mm.

The Engineer may waive, in writing, the gradation requirements in this Section 90-10.02B, if, in the Engineer's opinion, the furnishing of the gradation is not necessary for the type or amount of concrete work to be constructed.

### 90-10.02C WATER

Water used for washing, mixing, and curing shall be free from oil, salts, and other impurities that would discolor or etch the surface or have an adverse affect on the quality of the concrete.

### 90-10.02D ADMIXTURES

The use of admixtures shall conform to the provisions in Section 90-4, "Admixtures."

## 90-10.03 PRODUCTION

Cementitious material, water, aggregate, and admixtures shall be stored, proportioned, mixed, transported, and discharged in conformance with recognized standards of good practice that will result in concrete that is thoroughly and uniformly mixed, that is suitable for the use intended, and that conforms to requirements specified herein. Recognized standards of good practice are outlined in various industry publications such as are issued by American Concrete Institute, AASHTO, or the Department.

The cementitious material content of minor concrete shall conform to the provisions in Section 90-1.01, "Description."

The amount of water used shall result in a consistency of concrete conforming to the provisions in Section 90-6.06, "Amount of Water and Penetration." Additional mixing water shall not be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer.

Discharge of ready-mixed concrete from the transporting vehicle shall be made while the concrete is still plastic and before stiffening occurs. An elapsed time of 1.5 hours (one hour in nonagitating hauling equipment), or more than 250 revolutions of the drum or blades, after the introduction of the cementitious material to the aggregates, or a temperature of concrete of more than 32°C will be considered conditions contributing to the quick stiffening of concrete. The Contractor shall take whatever action is necessary to eliminate quick stiffening, except that the addition of water will not be permitted.

The required mixing time in stationary mixers shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at mixing speed for transit-mixed concrete shall be not less than that recommended by the mixer manufacturer, and shall be increased, if necessary, to produce thoroughly and uniformly mixed concrete.

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

Each load of ready-mixed concrete shall be accompanied by a weighmaster certificate that shall be delivered to the Engineer at the discharge location of the concrete, unless otherwise directed by the Engineer. The weighmaster certificate shall be clearly marked with the date and time of day when the load left the batching plant and, if hauled in truck mixers or agitators, the time the mixing cycle started.

A Certificate of Compliance conforming to the provisions in Section 6–1.07, "Certificates of Compliance," shall be furnished to the Engineer, prior to placing minor concrete from a source not previously used on the contract, stating that minor concrete to be furnished meets contract requirements, including minimum cementitious material content specified.

## 90-10.04 CURING MINOR CONCRETE

Curing minor concrete shall conform to the provisions in Section 90-7, "Curing Concrete."

## 90-10.05 PROTECTING MINOR CONCRETE

Protecting minor concrete shall conform to the provisions in Section 90-8, "Protecting Concrete," except the concrete shall be maintained at a temperature of not less than 4°C for 72 hours after placing.

## 90-10.06 MEASUREMENT AND PAYMENT

Minor concrete will be measured and paid for in conformance with the provisions specified in the various sections of these specifications covering concrete construction when minor concrete is specified in the specifications, shown on the plans, or indicated by contract item in the Engineer's Estimate.

# 90-11 MEASUREMENT AND PAYMENT

## 90-11.01 MEASUREMENT

Portland cement concrete will be measured in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

For concrete measured at the mixer, the volume in cubic meters shall be computed as the total mass of the batch in kilograms divided by the density of the concrete in kilograms per cubic meter. The total mass of the batch shall be calculated as the sum of all materials, including water, entering the batch. The density of the concrete will be determined in conformance with the requirements in California Test 518.

**90-11.02 PAYMENT**

Portland cement concrete will be paid for in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

Full compensation for furnishing and incorporating admixtures required by these specifications or the special provisions will be considered as included in the contract prices paid for the concrete involved and no additional compensation will be allowed therefor.

Should the Engineer order the Contractor to incorporate any admixtures in the concrete when their use is not required by these specifications or the special provisions, furnishing the admixtures and adding them to the concrete will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

Should the Contractor use admixtures in conformance with the provisions in Section 90-4.05, "Optional Use of Chemical Admixtures," or Section 90-4.07, "Optional Use of Air-entraining Admixtures," or should the Contractor request and obtain permission to use other admixtures for the Contractor's benefit, the Contractor shall furnish those admixtures and incorporate them into the concrete at the Contractor's expense and no additional compensation will be allowed therefor.

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## SECTION 91 PAINT
**(Issued 11-18-05)**

**Replace Section 91-3 with:**

## 91-3 PAINTS FOR TIMBER

**91-3.01 WOOD PRIMER, LATEX-BASE**

**Classification:**

This specification covers a ready-mixed priming paint for use on unpainted wood or exterior woodwork. It shall conform with the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for exterior wood primers, and be listed on the Exterior Latex Wood Primer MPI List Number 6.

**91-3.02 PAINT; LATEX-BASE FOR EXTERIOR WOOD, WHITE AND TINTS**

**Classification:**

This specification covers a ready-mixed paint for use on wood surfaces subject to outside exposures. This paint shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for Paint, Latex, Exterior, and shall be listed on the following MPI Approved Products List:

A. Exterior Latex, Flat MPI Gloss Level 1, MPI List Number 10.
B. Exterior Latex, Semi-Gloss, MPI Gloss Level 5, MPI List Number 11.
C. Exterior Latex, Gloss, MPI Gloss Level 6, MPI List Number 119.

Unpainted wood shall first be primed with wood primer conforming to the provisions in Section 91-3.01, "Wood Primer, Latex-Base."

**Replace Section 91-4 with:**

## 91-4 MISCELLANEOUS PAINTS

**91-4.01 THROUGH 91-4.04 (BLANK)**

**91-4.05 PAINT; ACRYLIC EMULSION, EXTERIOR WHITE AND LIGHT AND MEDIUM TINTS**

**Classification:**

This specification covers an acrylic emulsion paint designed for use on exterior masonry. This paint shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for Paint, Latex, Exterior, and shall be listed on the following MPI Approved Products Lists:

A. Exterior Latex, Flat MPI Gloss Level 1, MPI List Number 10.
B. Exterior Latex, Semi-Gloss, MPI Gloss Level 5, MPI List Number 11.
C. Exterior Latex, Gloss, MPI Gloss Level 6, MPI List Number 119.

This paint may be tinted by using "universal" or "all purpose" concentrates.

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## SECTION 92 ASPHALTS
**(Issued 03-21-08)**

**Replace Section 92 with:**

## SECTION 92 ASPHALTS

### 92-1.01 DESCRIPTION

Asphalt is refined petroleum or a mixture of refined liquid asphalt and refined solid asphalt that are prepared from crude petroleum. Asphalt is:

1. Free from residues caused by the artificial distillation of coal, coal tar, or paraffin
2. Free from water
3. Homogeneous

### 92-1.02 MATERIALS

**GENERAL**

Furnish asphalt under the Department's "Certification Program for Suppliers of Asphalt." The Department maintains the program requirements, procedures, and a list of approved suppliers at:

http://www.dot.ca.gov/hq/esc/Translab/fpm/fpmcoc.htm

Transport, store, use, and dispose of asphalt safely.
Prevent the formation of carbonized particles caused by overheating asphalt during manufacturing or construction.

**GRADES**

Performance graded (PG) asphalt binder is:

Performance Graded Asphalt Binder

| Property | AASHTO Test Method | Specification Grade | | | | |
|---|---|---|---|---|---|---|
| | | PG 58-22 [a] | PG 64-10 | PG 64-16 | PG 64-28 | PG 70-10 |
| Original Binder | | | | | | |
| Flash Point, Minimum °C | T 48 | 230 | 230 | 230 | 230 | 230 |
| Solubility, Minimum % [b] | T 44 | 99 | 99 | 99 | 99 | 99 |
| Viscosity at 135°C, [c] Maximum, Pa·s | T 316 | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 |
| Dynamic Shear, Test Temp. at 10 rad/s, °C | T 315 | 58 | 64 | 64 | 64 | 70 |
| Minimum G*/sin(delta), kPa | | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |
| RTFO Test, [e] Mass Loss, Maximum, % | T 240 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |
| RTFO Test Aged Binder | | | | | | |
| Dynamic Shear, Test Temp. at 10 rad/s, °C | T 315 | 58 | 64 | 64 | 64 | 70 |
| Minimum G*/sin(delta), kPa | | 2.20 | 2.20 | 2.20 | 2.20 | 2.20 |
| Ductility at 25°C Minimum, cm | T 51 | 75 | 75 | 75 | 75 | 75 |
| PAV [f] Aging, Temperature, °C | R 28 | 100 | 100 | 100 | 100 | 110 |
| RTFO Test and PAV Aged Binder | | | | | | |
| Dynamic Shear, Test Temp. at 10 rad/s, °C | T 315 | 22 [d] | 31 [d] | 28 [d] | 22 [d] | 34 [d] |
| Maximum G*sin(delta), kPa | | 5000 | 5000 | 5000 | 5000 | 5000 |
| Creep Stiffness, Test Temperature, °C | T 313 | -12 | 0 | -6 | -18 | 0 |
| Maximum S-value, Mpa | | 300 | 300 | 300 | 300 | 300 |
| Minimum M-value | | 0.300 | 0.300 | 0.300 | 0.300 | 0.300 |

Notes:

a. Use as asphalt rubber base stock for high mountain and high desert area.

b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."

c. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.

d. Test the sample at 3°C higher if it fails at the specified test temperature. G*sin(delta) remains 5000 kPa maximum.

e. "RTFO Test" means the asphaltic residue obtained using the Rolling Thin Film Oven Test, AASHTO Test Method T 240 or ASTM Designation: D 2872. The residue from mass change determination may be used for other tests.

f. "PAV" means Pressurized Aging Vessel.

Performance graded polymer modified asphalt binder (PG Polymer Modified) is:

Performance Graded Polymer Modified Asphalt Binder [a]

| Property | AASHTO Test Method | Specification Grade | | |
|---|---|---|---|---|
| | | PG 58-34 PM | PG 64-28 PM | PG 76-22 PM |
| Original Binder | | | | |
| Flash Point, Minimum °C | T 48 | 230 | 230 | 230 |
| Solubility, Minimum % [b] | T 44[c] | 98.5 | 98.5 | 98.5 |
| Viscosity at 135°C, [d]<br>Maximum, Pa·s | T 316 | <br>3.0 | <br>3.0 | <br>3.0 |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Minimum G*/sin(delta), kPa | T 315 | <br>58<br>1.00 | <br>64<br>1.00 | <br>76<br>1.00 |
| RTFO Test ,<br>Mass Loss, Maximum, % | T 240 | <br>1.00 | <br>1.00 | <br>1.00 |
| RTFO Test Aged Binder | | | | |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Minimum G*/sin(delta), kPa | T 315 | <br>58<br>2.20 | <br>64<br>2.20 | <br>76<br>2.20 |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Maximum (delta), % | T 315 | <br>Note e<br>80 | <br>Note e<br>80 | <br>Note e<br>80 |
| Elastic Recovery[f],<br>Test Temp., °C<br>Minimum recovery, % | T 301 | <br>25<br>75 | <br>25<br>75 | <br>25<br>65 |
| PAV[g] Aging,<br>Temperature, °C | R 28 | <br>100 | <br>100 | <br>110 |
| RTFO Test and PAV Aged Binder | | | | |
| Dynamic Shear,<br>Test Temp. at 10 rad/s, °C<br>Maximum G*sin(delta), kPa | T 315 | <br>16<br>5000 | <br>22<br>5000 | <br>31<br>5000 |
| Creep Stiffness,<br>Test Temperature, °C<br>Maximum S-value, MPa<br>Minimum M-value | T 313 | <br>-24<br>300<br>0.300 | <br>-18<br>300<br>0.300 | <br>-12<br>300<br>0.300 |

Notes:

a. Do not modify PG Polymer Modified using acid modification.

b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."

c. The Department allows ASTM D 5546 instead of AASHTO T 44

d. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.

e. Test temperature is the temperature at which G*/sin(delta) is 2.2 kPa. A graph of log G*/sin(delta) plotted against temperature may be used to determine the test temperature when G*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G*/sin(delta) is 2.2 kPa.

f. Tests without a force ductility clamp may be performed.

g. "PAV" means Pressurized Aging Vessel.

**SAMPLING**

Provide a sampling device in the asphalt feed line connecting the plant storage tanks to the asphalt weighing system or spray bar. Make the sampling device accessible between 600 and 750 mm above the platform. Provide a receptacle for flushing the sampling device.

Include with the sampling device a valve:

1. Between 10 and 20 mm in diameter
2. Manufactured in a manner that a one-liter sample may be taken slowly at any time during plant operations
3. Maintained in good condition

Replace failed valves.

In the Engineer's presence, take 2 one-liter samples per operating day. Provide round, friction top, one-liter containers for storing samples.

**92-1.03 EXECUTION**

If asphalt is applied, you must comply with the heating and application specifications for liquid asphalt in Section 93, "Liquid Asphalts."

**92-1.04 MEASUREMENT**

If the contract work item for asphalt is paid by mass, the Department measures asphalt tonnes by complying with the specifications for mass determination of liquid asphalt in Section 93, "Liquid Asphalts."

The Engineer determines the asphalt mass from volumetric measurements if you:

1. Use a partial asphalt load.
2. Use asphalt at a location other than a mixing plant and no scales within 35 km are available and suitable.
3. Deliver asphalt in either of the following:

    3.1. A calibrated truck with each tank accompanied by its measuring stick and calibration card.
    3.2. A truck equipped with a calibrated thermometer that determines the asphalt temperature at the delivery time and with a vehicle tank meter complying with the specifications for weighing, measuring, and metering devices in Section 9-1.01, "Measurement of Quantities."

If you furnish hot mix asphalt from a mixing plant producing material for only one project, the Engineer determines the asphalt quantity by measuring the volume in the tank at the project's start and end provided the tank is calibrated and equipped with its measuring stick and calibration card.

The Engineer determines pay quantities from volumetric measurements as follows:

1. Before converting the volume to mass, the Engineer reduces the measured volume to that which the asphalt would occupy at 15°C.
2. The Engineer uses 981 L/tonne and 1020 g/L for the average mass and volume for PG and PG Polymer Modified asphalt grades at 15°C.
3. The Engineer uses the Conversion Table in Section 93, "Liquid Asphalts."

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## SECTION 93 LIQUID ASPHALTS
**(Issued 11-03-06)**

**In Section 93-1.04 replace the 9th paragraph with:**

The following Legend and Conversion Table is to be used for converting volumes of liquid asphalt products, Grades 70 to 3000, inclusive, and paving asphalt Grades PG 58-22, PG 64-10, PG 64-16, PG 64-28, and PG 70-10, and Grades PG 58-34 PM, PG 64-28 PM, and PG 76-22 PM.

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## SECTION 95 EPOXY
**(Issued 03-16-07)**

**Replace Section 95 with:**

## SECTION 95 EPOXY

## 95-1 GENERAL

### 95-1.01 DESCRIPTION

These specifications are intended to specify epoxy that will meet service requirements for highway construction.

Epoxy shall be furnished as 2 components, which shall be mixed together at the site of the work.

### 95-1.02 SAMPLING AND TESTING

Epoxy shall not be used prior to sampling and testing unless its use is permitted prior to sampling and testing in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

Tests will be conducted in conformance with the latest test methods of the American Society for Testing and Materials, and California Test Methods in use by the Transportation Laboratory.

Epoxy components shall be formulated to maintain the specified properties for a minimum of one year. The Engineer may require additional testing of any epoxy component that has not been used within one year of manufacture.

### 95-1.03 PACKAGING, LABELING AND STORING

Each component shall be packaged in containers of size proportional to the amount of that component in the mix so that one container of each component is used in mixing one batch of epoxy. The containers shall be of such design that all of the contents may be readily removed and shall be well sealed to prevent leakage. The containers and labeling shall meet U.S. Department of Transportation Hazardous Material Shipping Regulations, and the containers shall be of a material, or lined with a material, of such character as to resist any action by the components. Each container shall be clearly labeled with the ASTM Designation: C881 Class and Type; designation (Component A or B); manufacturer's name; date of manufacture; batch number (a batch shall consist of a single charge of all components in a mixing chamber); all directions for use (as specified elsewhere) and such warning or precautions concerning the contents as may be required by State or Federal Laws and Regulations. The manufacturer of the finished epoxy components shall furnish a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," and a copy of the label for each material. The certificate shall include a list, by Title and Section, of the State and Federal packaging and labeling laws and regulations that the manufacturer has complied with.

Attention is directed to the characteristic of some epoxy components to crystallize or thicken excessively prior to use when stored at temperatures below 2ºC. Any material which shows evidence of crystallization or a permanent increase in viscosity or settling of pigments which cannot be readily redispersed with a paddle shall not be used.

### 95-1.04 DIRECTIONS FOR USE

At the time of mixing, components A and B shall be at a temperature between 15°C and 30°C, unless otherwise specified. Any heating of the adhesive components shall be done by application of indirect heat. Immediately prior to mixing, each component shall be thoroughly mixed with a paddle. Separate paddles shall be used to stir each component. Immediately prior to use, the 2 components shall be thoroughly mixed together in the specified ratios. No solvent shall be added to any epoxy.

After mixing, epoxies shall be placed in the work and any overlaying or inserted material which is to be bonded to the work by the epoxy shall also be placed before thickening of the epoxy has begun. Surfaces upon which epoxy is to be placed shall be free of rust, paint, grease, asphalt, and loose and deleterious material. When epoxy is used as a binder to make epoxy concrete or mortar, the 2 components of epoxy shall be thoroughly mixed together before the aggregate is added and, unless otherwise specified, the mix proportions shall consist of one part of binder to approximately 4 parts of aggregate, by volume. Aggregate for use in epoxy concrete and mortar shall be clean and shall have a moisture content of not more than 0.50-percent when tested by California Test 226. Surfaces against which epoxy concrete and mortar are to be placed shall be primed with a coat of the epoxy used just prior to placing the concrete or mortar.

## 95-2 TYPES OF EPOXIES

## 95-2.01 BINDER (ADHESIVE), EPOXY RESIN BASE

**Classification:**

This specification covers a low viscosity epoxy formulated primarily for use in making high-strength epoxy concrete and epoxy mortar and in pressure grouting of cracks in concrete. For load bearing applications, use ASTM Designation: C 881, Type IV, Grade 1, Class B or C. Class B or C shall be used depending on the substrate and ambient temperatures. Use Grade B for atmospheric and surface temperatures as low as 4°C. Use Class C when temperatures are 15°C or higher. For non-load bearing applications use ASTM Designation: C881, Type I, Grade 1, Class B or C. Apply no thicker than recommended by the manufacturer. Thick sections of this epoxy are not suitable for use in freeze thaw environments. In a freeze-thaw environment, increase the aggregate loading to improve the properties of the epoxy concrete.

**Directions for Use:**

Mix in conformance with the manufacturer's written recommendations. No more material shall be mixed than can be used within the pot-life from the time mixing operations are started.

## 95-2.02 (BLANK)

## 95-2.03 EPOXY RESIN ADHESIVE FOR BONDING NEW CONCRETE TO OLD CONCRETE

**Classification:**

This specification covers a low viscosity paste epoxy formulated primarily for use in bonding new portland cement concrete to hardened portland cement concrete. The epoxy shall meet the specification requirements of ASTM Designation: C 881, Type V, Grade 2. This epoxy is available in 2 Classes: Class C for general use at temperature greater than 15°C and Class B for use when cure temperatures are below 15°C and above 4°C, or when a faster cure is required.

**Directions for Use:**

The mixing ratio and use shall be in conformance with the manufacturer's written recommendations. When measuring as individual Components A and B, stir and tap the measuring containers to remove possible air voids. The ingredients in Components A and B shall be thoroughly dispersed such that each component forms a uniform paste. Do not mix more material than can be spread within the pot life from the time mixing operations are started. The spreading rate shall be sufficient to thoroughly coat the surface. Spread the mixed adhesive by brush or roller over blast-cleaned concrete at a rate recommended by the manufacturer. The new concrete shall be placed against the adhesive coating on the old concrete before the adhesive has set. If the adhesive has set and is not tacky prior to placing the new concrete, a new coating of adhesive shall be applied.

## 95-2.04 RAPID SET EPOXY ADHESIVE FOR PAVEMENT MARKERS

**Classification:**

This specification covers a high viscosity paste, rapid set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The adhesive shall meet ASTM Designation: C 881, Type IV, Grade 3, Class B and C except that the gel time may be shorter than 30 minutes. The adhesive shall conform to these requirements and the following.

**Characteristics of Combined Components:**

All tests shall be performed in conformance with the requirements in California Test 434.

| Property | Requirement |
|---|---|
| Gel time, minutes, maximum, at 25°C | 30 |
| Bond Strength to Concrete, Time, minutes (maximum) to reach not less than 1.4 MPa | |
| at 25ºC ±1ºC | 35 |
| at 10ºC ±1ºC | 45 |
| Slant Shear Strength | |
| 2 days at 25ºC ±1ºC, MPa | 7 |
| 14 days at 25ºC ±1ºC, plus water soak, MPa | 10.5 |
| Tensile Adhesion and Cohesion | |
| Ceramic marker bottom, MPa | 4.8 min. |
| Ceramic marker bottom, including post cure, MPa | 4.8 min. |
| Retroreflective pavement marker bottom, MPa | 3.4 min. |
| Color of mixed epoxy | gray |
| Glass transition temperature, Tg, samples conditioned at 25°C for 24 hours, ASTM Designation: D 4065 | 30ºC min. |

**Directions for Use:**

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

## 95-2.05 STANDARD SET EPOXY ADHESIVE FOR PAVEMENT MARKERS

**Classification:**

This specification covers a high viscosity paste standard set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The epoxy shall meet ASTM Designation: C 881, Type IV, Viscosity Grade 3, Classes B or C, except that the gel time may be shorter than 30 minutes.

**Characteristics of Combined Components:**

All tests shall be performed in conformance with the requirements in California Test 434.

| Property | Requirement |
|---|---|
| Gel time, minutes, maximum, at 25ºC | 30 |
| Bond Strength to Concrete, Time (maximum) to reach not less than 1.4 MPa | |
| at 25ºC ±1ºC | 3.5 hours |
| at 13ºC ±1ºC | 24 hours |
| Slant Shear Strength | |
| 2 days at 25ºC ±1ºC, MPa | 7 min. |
| 14 days at 25ºC ±1ºC, plus water soak, MPa | 10.5 min. |
| Tensile Adhesion and Cohesion | |
| Ceramic marker bottom, MPa | 4.8 min. |
| Ceramic marker bottom, including post cure, MPa | 4.8 min. |
| Reflective pavement marker bottom, MPa | 3.4 min. |
| Color of Mixed Components | gray |
| Glass transition temperature, Tg, samples conditioned at 25ºC for 24 hours, ASTM Designation: D 4065 | 30ºC min. |

**Directions for Use:**

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

**95-2.06 (BLANK)**

**95-2.07 (BLANK)**

**95-2.08 (BLANK)**

**95-2.09 EPOXY SEALANT FOR INDUCTIVE LOOPS**

**Classification:**

This specification covers a high viscosity liquid epoxy formulated primarily for use in sealing inductive wire loops and leads imbedded in asphalt concrete and portland cement concrete for traffic signal controls and vehicle counters. This epoxy is to be used for repair work on existing spalls, cracks and other deformations in and around saw cuts housing inductor loops and leads. The rapid cure allows minimum traffic delay. This sealant is suitable for use in freeze-thaw areas. The epoxy shall meet ASTM Designation: C 881, Type I, Grade 2 and the following requirements.

**Characteristics of Combined Components:**

All tests shall be performed in conformance with the requirements in California Test 434.

| Property | Requirement |
|---|---|
| Gel time, minutes, maximum | 30 |
| On 3-mm cast sheet, cured 18 hours at 25ºC, + 5 hours at 70ºC | |
| Tensile Strength, MPa | 2.7 min. |
| Elongation, percent | 90 min. |
| Shore D Hardness | 45 min. |

**Directions for Use:**

Saw cuts shall be cleaned with compressed air to remove all excess moisture and debris. For repairing damaged saw cuts, all loose spalled material shall be cleaned away from the saw cut, chipping back to sound asphalt concrete or portland cement concrete and all loose material cleaned from loop wires.

The mixing ratio shall be in conformance with the manufacturer's recommendations. No more material shall be mixed than can be used within the gel time from the time mixing operations are started.

When automatic mixing equipment is used for mixing the sealant, the provisions in the twelfth paragraph in Section 85-1.06, "Placement," shall apply.

**95-2.10 (BLANK)**

**95-2.11 EPOXY RESIN ADHESIVE FOR INJECTION GROUTING OF PORTLAND CEMENT CONCRETE PAVEMENTS**

**Directions for Use:**

Both components and the mixed material shall contain no solvents. The mixing ratio of the components in terms of volume and mass shall be clearly stated. The material shall be suitable for use in the mixing equipment used by the applicator. Epoxy adhesive samples shall be furnished to the Engineer for testing at least 12 days before the expected time of use.

**Characteristics of Adhesive:**

| Test[a] | California Test | Requirement |
|---|---|---|
| Brookfield Viscosity, No. 3 Spindle at 20 rpm, Pa·s at 25ºC | 434, Part 4 | 0.9 max. |
| Gel time, minutes | 434, Part 1 | 2 to 15 |
| Slant Shear Strength on Dry Concrete, MPa, after 4 days of cure in air at 25ºC ±1ºC | 434, Part 5[b] | 41.4 min. |
| Slant Shear Strength on Wet Concrete, MPa, after 4 days of cure in air at 25ºC ±1ºC | 434, Part 5[b] | 21.1 min. |
| Tensile Strength, Mpa | 434, Part 7, except test after 4 days of cure at 25ºC ±1ºC | 31.0 min. |
| Elongation, % | 434, Part 7, except test after 4 days of cure at 25ºC ±1ºC | 10 max. |

a The mixing ratio used will be that recommended by the manufacturer.

b For slant shear strength on concrete, delete Sections B-1 and B-5 of California Test 434, Part 5. For dry concrete, use Step "2" below only. For wet concrete, use both Steps "1" & "2":

1 Soak blocks in water for 24 hours at 25ºC ±1ºC. Remove and wipe off excess water.

2 Mix epoxy as described in California Test 434, Part 1, and apply a coat approximately 250 µm thick to each diagonal surface. Place four 3-mm square pieces of shim stock 305 µm thick on one block to control final film thickness. Before pressing the coated surfaces together, leave the blocks so that the coated surfaces are horizontal until the epoxy reacts slightly to prevent excessive flow.

# END OF AMENDMENTS