STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

NOTICE TO BIDDERS

AND

SPECIAL PROVISIONS

FOR CONSTRUCTION ON STATE HIGHWAY IN SONOMA COUNTY IN AND NEAR COTATI AND ROHNERT PARK FROM 2.8 KM SOUTH OF RAILROAD AVENUE UNDERCROSSING TO ROHNERT PARK EXPRESSWAY OVERCROSSING

In District 04 On Route 101

Under

Bid book dated June 15, 2009	Standard Specifications dated 1999
Project Plans approved February 2, 2009	Standard Plans dated 2004

Identified by

Contract No. 04-0A18U4

04-Son-101-14.4/22.4

Bids open Tuesday, August 25, 2009 OSD

Dated June 15, 2009 IH

****

SPECIAL NOTICES

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- The Department moved the Amendments to the Standard Specifications to the back of the book titled "Notice to Bidders and Special Provisions."

- The Department retitled the "Proposal and Contract" book to "Bid" book and:

  1. Simplified the language
  2. Moved clauses and the contract form from the "Proposal and Contract" book into the Amendments to the Standard Specifications
  3. Standardized the forms

- The Department retitled the "Notice to Contractors" to "Notice to Bidders" and:

  1. Simplified the language
  2. Moved clauses from the "Notice to Contractors" into the Amendments to the Standard Specifications
  3. Standardized instructions for bidders' inquiries

- The Department incorporated boilerplate special provisions into the Amendments to the Standard Specifications.

- See Section 5 of the special provisions for new specifications regarding retentions.

- The Department is implementing new contract requirements for submittal of Small Business Utilization Report forms. See section titled "Small Business Utilization Report" of these special provisions and bid item list.

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STANDARD PLANS LIST

The Standard Plan sheets applicable to this contract include, but are not limited to, those indicated below. Applicable Revised Standard Plans (RSP) and New Standard Plans (NSP) indicated below are included in the project plans as Standard Plan sheets.

A10A	Acronyms and Abbreviations (A-L)
A10B	Acronyms and Abbreviations (M-Z)
A10C	Symbols (Sheet 1 of 2)
A10D	Symbols (Sheet 2 of 2)
RSP T1A	Temporary Crash Cushion, Sand Filled (Unidirectional)
RSP T1B	Temporary Crash Cushion, Sand Filled (Bidirectional)
RSP T2	Temporary Crash Cushion, Sand Filled (Shoulder Installations)
T3	Temporary Railing (Type K)
RS1	Roadside Signs, Typical Installation Details No. 1
RS2	Roadside Signs - Wood Post, Typical Installation Details No. 2
RS4	Roadside Signs, Typical Installation Details No. 4
RSP S102	Overhead Sign – Truss, Single Post Type, Structural Frame Details, Unbalanced Butterfly Changeable Message Signs, Model 500
RSP ES-1A	Electrical Systems (Symbols And Abbreviations)
RSP ES-1B	Electrical Systems (Symbols And Abbreviations)
RSP ES-1C	Electrical Systems (Symbols And Abbreviations)
RSP ES-2A	Electrical Systems (Service Equipment)
RSP ES-2C	Electrical Systems (Service Equipment Notes, Type III Series)
RSP ES-2D	Electrical Systems (Service Equipment and Typical Wiring Diagram, Type III – A Series)
RSP ES-3C	Electrical Systems (Controller Cabinet Details)
RSP ES-3F	Electrical Systems (Telephone Demarcation Cabinet, Type C)
RSP ES-3G	Electrical Systems (Telephone Demarcation Cabinet, Type C Details)
RSP ES-4C	Electrical Systems (Signal Heads and Mountings)
RSP ES-4D	Electrical Systems (Signal Heads and Mountings)
RSP ES-5A	Electrical Systems (Detectors)
RSP ES-5D	Electrical Systems (Detectors)
RSP ES-6A	Electrical Systems (Lighting Standard Types 15 and 21)
RSP ES-7A	Electrical Systems (Signal Standards Push Button Posts and Type 15TS Standard))
RSP ES-7B	Electrical Systems (Signal And Lighting Standard – Type 1 Standard and Equipment Numbering)
RSP ES-7E	Electrical Systems (Signal and Lighting Standard – Case 3 Arm Loading, Wind Velocity = 161 km/h, Arm Lengths 4.6 m to 13.7 m)
RSP ES-7F	Electrical Systems (Signal and Lighting Standard – Case 4 Arm Loading, Wind Velocity = 161 km/h, Arm Lengths 7.6 m to 13.7 m)
RSP ES-7G	Electrical Systems (Signal and Lighting Standard – Case 5 Arm Loading, Wind Velocity = 161 km/h, Arm Lengths 15.2 m to 16.8 m)
RSP ES-7M	Electrical Systems (Signal and Lighting Standards – Details No. 1)
RSP ES-7P	Electrical Systems (Pedestrian Barricades)

RSP ES-8	Electrical Systems (Pull Box Details)
RSP ES-9A	Electrical Systems (Electrical Details, Structure Installations)
RSP ES-9B	Electrical Systems (Electrical Details, Structure Installations)
RSP ES-9C	Electrical Systems (Electrical Details, Structure Installations)
RSP ES-10	Electrical Systems (Isolux Diagrams)
RSP ES-11	Electrical Systems (Foundation Installations)
RSP ES-13A	Electrical Systems (Splicing Details)
RSP ES-13B	Electrical Systems (Wiring Details and Fuse Ratings)
RSP ES-14A	Electrical Systems (LED Extinguishable Message Sign, 250 mm Letter)
RSP ES-14B	Electrical Systems (LED Extinguishable Message Sign, Wiring Diagram)
RSP ES-15B	Electrical Systems (915 mm Fluorescent Sign Illumination Equipment)
RSP ES-15C	Electrical Systems (Sign Illumination Equipment)
RSP ES-15D	Electrical Systems (Lighting and Sign Illumination Control)
RSP ES-16A	Electrical Systems (Closed Circuit Television Pole Details)

NOTICE TO BIDDERS

Bids open Tuesday, August 25, 2009
Dated June 15, 2009
General work description: Widen Hwy and Br, add HOV lanes, and install signals and lightings.

The Department will receive sealed bids for CONSTRUCTION ON STATE HIGHWAY IN SONOMA COUNTY IN AND NEAR COTATI AND ROHNERT PARK FROM 2.8 KM SOUTH OF RAILROAD AVENUE UNDERCROSSING TO ROHNERT PARK EXPRESSWAY OVERCROSSING.

District-County-Route-Kilometer Post: 04-Son-101-14.4/22.4

Contract No. 04-0A18U4

The Contractor must have either a Class A license or any combination of the following Class C licenses which constitutes a majority of the work: C-8, C-12.

The DVBE Contract goal is 5 percent.

Bids must be on a cost+time basis.

Complete the work within the number of working days bid.
Do not bid more than 650 working days.
The estimated cost of the project is $77,000,000.
No prebid meeting is scheduled for this project.

The Department will receive bids until 2:00 p.m. on the bid open date at 1120 N Street, Room 0200, MS 26, Sacramento, CA 95814. Bids received after this time will not be accepted.

The Department will open and publicly read the bids at the above location immediately after the specified closing time.

District office addresses are provided in the Standard Specifications.

Bidders' inquiries may be presented to the Department by following the instructions at:
http://www.dot.ca.gov/hq/esc/oe/project_status/bid_inq.html

The Department posts responses to the questions at the District Web sites.
Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, such questions will not be treated as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

Under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq., the Department gives preference to certified small businesses and non-small businesses who commit to 25 percent certified small business participation.

Under Pub Cont Code § 6107, the Department gives a reciprocal preference to a California company for bid comparison purposes over a nonresident contractor from any state that provides a preference to contractors from that state on construction contracts.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, http://www.dir.ca.gov, or from the Department's Labor Compliance Office of the district in which the work is located.

DEPARTMENT OF TRANSPORTATION

PCF

COPY OF BID ITEM LIST

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
1	070012	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	LUMP SUM
2	070013	SMALL BUSINESS UTILIZATION REPORT	EA	11
3	070018	TIME-RELATED OVERHEAD	LS	LUMP SUM
4	071322	TEMPORARY FENCE (TYPE CL-1.8)	M	1750
5	071325	TEMPORARY FENCE (TYPE ESA)	M	6640
6	041856	JACKING SUPERSTRUCTURE	LS	LUMP SUM
7	073026	300 MM TEMPORARY CULVERT	M	13
8	015992	450 MM TEMPORARY CORRUGATED STEEL PIPE	M	32
9	073028	450 MM TEMPORARY CULVERT	M	130
10	073029	600 MM TEMPORARY CULVERT	M	3
11	015993	450 MM TEMPORARY FLARED END SECTION	EA	3
12	015994	600 MM TEMPORARY FLARED END SECTION	EA	1
13	015995	450 MM TEMPORARY SLOTTED CORRUGATED STEEL PIPE (1.63 MM THICK)	M	1990
14	015996	300 MM TEMPORARY WELDED STEEL PIPE (6.35 MM THICK)	M	14
15	074015	TEMPORARY ACTIVE TREATMENT SYSTEM	LS	LUMP SUM
16	074016	CONSTRUCTION SITE MANAGEMENT	LS	LUMP SUM
17	074019	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	LUMP SUM
18	074028	TEMPORARY FIBER ROLL	M	4000
19	074029	TEMPORARY SILT FENCE	M	4550
20	074032	TEMPORARY CONCRETE WASHOUT FACILITY	EA	6

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
21	074033	TEMPORARY CONSTRUCTION ENTRANCE	EA	6
22	074034	TEMPORARY COVER	M2	3400
23	074035	TEMPORARY CHECK DAM	M	300
24	074037	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	EA	8
25	074038	TEMPORARY DRAINAGE INLET PROTECTION	EA	50
26	015997	TEMPORARY CREEK DIVERSION SYSTEM	EA	6
27	074040	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	M2	26 100
28	074041	STREET SWEEPING	LS	LUMP SUM
29	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM
30	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM
31	120120	TYPE III BARRICADE	EA	14
32	120149	TEMPORARY PAVEMENT MARKING (PAINT)	M2	1150
33	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	M	165 000
34	120165	CHANNELIZER (SURFACE MOUNTED)	EA	490
35	120300	TEMPORARY PAVEMENT MARKER	EA	9210
36	128650	PORTABLE CHANGEABLE MESSAGE SIGN	LS	LUMP SUM
37	129000	TEMPORARY RAILING (TYPE K)	M	53 400
38	129100	TEMPORARY CRASH CUSHION MODULE	EA	860
39	129510	TEMPORARY RETAINING WALL	M2	4520
40	150206	ABANDON CULVERT	M	980

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
41	150221	ABANDON INLET	EA	21
42	150229	ABANDON WATER WELL	EA	5
43	150608	REMOVE CHAIN LINK FENCE	M	1840
44	150662	REMOVE METAL BEAM GUARD RAILING	M	2680
45	015998	REMOVE DOUBLE THRIE BEAM BARRIER	M	7890
46	150668	REMOVE FLARED END SECTION	EA	5
47	150704	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE	M	19 300
48	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	M	39 000
49	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	M2	230
50	150722	REMOVE PAVEMENT MARKER	EA	8590
51	150742	REMOVE ROADSIDE SIGN	EA	110
52	150760	REMOVE SIGN STRUCTURE	EA	4
53	150801	REMOVE OVERSIDE DRAIN	EA	7
54	150805	REMOVE CULVERT	M	480
55	150820	REMOVE INLET	EA	24
56	150821	REMOVE HEADWALL	EA	12
57	150826	REMOVE MANHOLE	EA	1
58	150860	REMOVE BASE AND SURFACING	M3	3790
59	015999	SALVAGE CITY SIGN	EA	1
60	152351	RELOCATE HYDRANT	EA	1

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
61	016000	RESET RAILING	LS	LUMP SUM
62	152370	RELOCATE MAILBOX	EA	1
63	152386	RELOCATE ROADSIDE SIGN-ONE POST	EA	21
64	152387	RELOCATE ROADSIDE SIGN-TWO POST	EA	13
65	152402	ADJUST WATER VALVE COVER TO GRADE	EA	4
66	152430	ADJUST INLET	EA	4
67	152440	ADJUST MANHOLE TO GRADE	EA	10
68	152604	MODIFY INLET	EA	15
69	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	M2	36 700
70	153210	REMOVE CONCRETE	M3	250
71	155003	CAP INLET	EA	9
72	157551	BRIDGE REMOVAL, LOCATION A	LS	LUMP SUM
73	157552	BRIDGE REMOVAL, LOCATION B	LS	LUMP SUM
74	157561	BRIDGE REMOVAL (PORTION), LOCATION A	LS	LUMP SUM
75	157562	BRIDGE REMOVAL (PORTION), LOCATION B	LS	LUMP SUM
76	157563	BRIDGE REMOVAL (PORTION), LOCATION C	LS	LUMP SUM
77	160101	CLEARING AND GRUBBING	LS	LUMP SUM
78	170101	DEVELOP WATER SUPPLY	LS	LUMP SUM
79	190101	ROADWAY EXCAVATION	M3	54 300
80	190107	ROADWAY EXCAVATION (TYPE Y-1) (AERIALLY DEPOSITED LEAD)	M3	4030

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
81	190108	ROADWAY EXCAVATION (TYPE Y-2) (AERIALLY DEPOSITED LEAD)	M3	16 900
82	190110	LEAD COMPLIANCE PLAN	LS	LUMP SUM
83 (F)	192003	STRUCTURE EXCAVATION (BRIDGE)	M3	2325
84 (F)	041857	STRUCTURE EXCAVATION (CHANNEL STRUCTURE) (TYPE D)	M3	390
85 (F)	192037	STRUCTURE EXCAVATION (RETAINING WALL)	M3	1371
86 (F)	193003	STRUCTURE BACKFILL (BRIDGE)	M3	1310
87 (F)	041858	STRUCTURE BACKFILL (CHANNEL STRUCTURE)	M3	275
88 (F)	193013	STRUCTURE BACKFILL (RETAINING WALL)	M3	2261
89 (F)	193030	PERVIOUS BACKFILL MATERIAL	M3	6
90 (F)	193031	PERVIOUS BACKFILL MATERIAL (RETAINING WALL)	M3	235
91	193114	SAND BACKFILL	M3	280
92	194001	DITCH EXCAVATION	M3	560
93 (F)	197020	EARTH RETAINING STRUCTURE	M2	2820
94	198001	IMPORTED BORROW	M3	6250
95	203016	EROSION CONTROL (TYPE D)	HA	6
96	203018	EROSION CONTROL (NETTING)	M2	39 100
97	016001	BIOFILTRATION SWALES	M2	470
98	203021	FIBER ROLLS	M	4640
99	016002	DRAINAGE INLET PROTECTION	EA	4
100	203025	COMPOST, INCORPORATE	M2	5470

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
101	203026	MOVE-IN/MOVE-OUT (EROSION CONTROL)	EA	7
102 (F)	208038	NPS 3 SUPPLY LINE (BRIDGE)	M	287
103	016003	75MM CORRUGATED HIGH DENSITY POLYETHYLENE PIPE CONDUIT	M	160
104	208731	200 MM CORRUGATED HIGH DENSITY POLYETHYLENE PIPE CONDUIT	M	97
105	250401	CLASS 4 AGGREGATE SUBBASE	M3	66 700
106	260301	CLASS 3 AGGREGATE BASE	M3	4990
107	280000	LEAN CONCRETE BASE	M3	30 500
108	390131	HOT MIX ASPHALT	TONN	97 000
109	390134	HOT MIX ASPHALT (OPEN GRADED)	TONN	15 600
110	390140	RUBBERIZED HOT MIX ASPHALT (GAP GRADED)	TONN	28 300
111	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	M	270
112	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	M	4330
113	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	M	1540
114	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	M2	15
115	397005	TACK COAT	TONN	130
116	041859	FURNISH PILING (CLASS 625) (ALTERNATIVE "W")	M	57
117	041860	DRIVE PILE (CLASS 625) (ALTERNATIVE "W")	EA	8
118	041861	FURNISH PILING (CLASS 625) (ALTERNATIVE "X")	M	3746
119	041862	DRIVE PILE (CLASS 625) (ALTERNATIVE "X")	EA	276
120	041863	FURNISH PILING (CLASS 400) (ALTERNATIVE "X")	M	422

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
121	041864	DRIVE PILE (CLASS 400) (ALTERNATIVE "X")	EA	38
122	498027	400 MM CAST-IN-DRILLED-HOLE CONCRETE PILING (SOUND WALL)	M	5780
123	498030	600 MM CAST-IN-DRILLED-HOLE CONCRETE PILING (SOUND WALL)	M	88
124	016004	900 MM CAST-IN-DRILLED-HOLE CONCRETE PILING (SOUND WALL)	M	52
125	500001	PRESTRESSING CAST-IN-PLACE CONCRETE	LS	LUMP SUM
126 (F)	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	M3	481
127 (F)	510053	STRUCTURAL CONCRETE, BRIDGE	M3	3378
128 (F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	M3	839
129 (F)	041865	STRUCTURAL CONCRETE, CHANNEL STRUCTURE	M3	305
130 (F)	510072	STRUCTURAL CONCRETE, BARRIER SLAB	M3	660
131 (F)	510086	STRUCTURAL CONCRETE, APPROACH SLAB (TYPE N)	M3	380
132 (F)	016005	CLASS 2 CONCRETE (WINGWALL)	M3	1
133 (F)	510129	CLASS 2 CONCRETE (BOX CULVERT)	M3	8
134 (F)	510135	CLASS 2 CONCRETE (HEADWALL)	M3	6
135 (F)	510413	CLASS 1 CONCRETE (BOX CULVERT)	M3	113
136 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	M3	260
137 (F)	510524	MINOR CONCRETE (SOUND WALL)	M3	175
138 (F)	510526	MINOR CONCRETE (BACKFILL)	M3	148
139 (F)	016006	ORNAMENTAL LOGO (GLASCRETE CONCRETE MEDALLION	EA	24
140	511106	DRILL AND BOND DOWEL	M	210

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
141	511110	DRILL AND BOND DOWEL (CHEMICAL ADHESIVE)	EA	204
142	515020	REFINISH BRIDGE DECK	M2	10
143	515072	CORE CONCRETE (0 - 50 MM)	M	3
144 (F)	517961	SOUND WALL (BARRIER) (MASONRY BLOCK)	M2	4680
145 (F)	518002	SOUND WALL (MASONRY BLOCK)	M2	3043
146	519120	JOINT SEAL (MR 15 MM)	M	23
147	519142	JOINT SEAL (MR 40 MM)	M	143
148 (F)	520101	BAR REINFORCING STEEL	KG	14 871
149 (F)	520102	BAR REINFORCING STEEL (BRIDGE)	KG	421 600
150 (F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	KG	41 801
151 (F)	041866	BAR REINFORCING STEEL (CHANNEL STRUCTURE)	KG	31 800
152 (F)	520107	BAR REINFORCING STEEL (BOX CULVERT)	KG	17 568
153 (F)	041867	BAR REINFORCING STEEL (BARRIER SLAB)	KG	30 600
154 (F)	041868	BAR REINFORCING STEEL (HEADWALL AND WINGWALL)	KG	220
155 (F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	KG	86 050
156 (F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	KG	86 050
157	560233	FURNISH FORMED PANEL SIGN (OVERHEAD)	M2	150
158	560238	FURNISH SINGLE SHEET ALUMINUM SIGN (1.6 MM-UNFRAMED)	M2	130
159	560239	FURNISH SINGLE SHEET ALUMINUM SIGN (2.0 MM-UNFRAMED)	M2	120
160	560241	FURNISH SINGLE SHEET ALUMINUM SIGN (1.6 MM-FRAMED)	M2	6

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
161	560242	FURNISH SINGLE SHEET ALUMINUM SIGN (2.0 MM-FRAMED)	M2	25
162	561015	1524 MM CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)	M	61
163	562001	METAL (ROADSIDE SIGN)	KG	32
164	016007	METAL (SOUNDWALL MOUNTED SIGN)	KG	350
165	562004	METAL (RAIL MOUNTED SIGN)	KG	2030
166	566011	ROADSIDE SIGN - ONE POST	EA	88
167	566012	ROADSIDE SIGN - TWO POST	EA	8
168	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	EA	17
169	568016	INSTALL SIGN PANEL ON EXISTING FRAME	M2	13
170	568023	INSTALL ROADSIDE SIGN (LAMINATED WOOD BOX POST)	EA	2
171	016008	PAINT POST MILE MARKINGS	EA	110
172	620904	300 MM ALTERNATIVE PIPE CULVERT	M	100
173	620908	375 MM ALTERNATIVE PIPE CULVERT	M	19
174	620909	450 MM ALTERNATIVE PIPE CULVERT	M	1770
175	620913	600 MM ALTERNATIVE PIPE CULVERT	M	400
176	620919	750 MM ALTERNATIVE PIPE CULVERT	M	15
177	620924	900 MM ALTERNATIVE PIPE CULVERT	M	69
178	655365	JACKED 450 MM REINFORCED CONCRETE PIPE (CLASS III)	M	200
179	664008	300 MM CORRUGATED STEEL PIPE	M	390
180	664016	450 MM CORRUGATED STEEL PIPE (2.77 MM THICK)	M	59

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
181	680933	200 MM PERFORATED PLASTIC PIPE UNDERDRAIN	M	3170
182	016009	200 MM PLASTIC PIPE	M	340
183	682020	CLASS 1 PERMEABLE MATERIAL	M3	3
184	692383	300 MM ANCHOR ASSEMBLY	EA	52
185	692385	450 MM ANCHOR ASSEMBLY	EA	8
186	700617	DRAINAGE INLET MARKER	EA	18
187	700659	900 MM CORRUGATED STEEL PIPE INLET (2.77 MM THICK)	M	9
188	703545	300 MM WELDED STEEL PIPE (6.35 MM THICK)	M	4
189	705334	300 MM ALTERNATIVE FLARED END SECTION	EA	3
190	705336	450 MM ALTERNATIVE FLARED END SECTION	EA	12
191	705337	600 MM ALTERNATIVE FLARED END SECTION	EA	5
192	016010	GRATE LOCKING DEVICE	EA	23
193	721008	ROCK SLOPE PROTECTION (LIGHT, METHOD B)	M3	720
194	721024	ROCK SLOPE PROTECTION (1/4T, METHOD B)	M3	28
195	721430	CONCRETE (CHANNEL LINING)	M3	73
196 (F)	721810	SLOPE PAVING (CONCRETE)	M3	89
197	729010	ROCK SLOPE PROTECTION FABRIC	M2	2580
198	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	M3	430
199	016011	MINOR CONCRETE (MEDIUM BROOM FINISH)	M2	2240
200 (F)	750001	MISCELLANEOUS IRON AND STEEL	KG	29 640

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
201	016012	TREE GRATE	EA	14
202 (F)	750501	MISCELLANEOUS METAL (BRIDGE)	KG	320
203 (F)	800381	CHAIN LINK FENCE (TYPE CL-0.9)	M	10
204	800382	CHAIN LINK FENCE (TYPE CL-0.9, VINYL-CLAD)	M	1600
205	800391	CHAIN LINK FENCE (TYPE CL-1.8)	M	210
206	800392	CHAIN LINK FENCE (TYPE CL-1.8, VINYL-CLAD)	M	90
207	802585	1.2 M CHAIN LINK GATE (TYPE CL-1.8)	EA	4
208	802596	3.7 M CHAIN LINK GATE (TYPE CL-1.8)	EA	3
209	820107	DELINEATOR (CLASS 1)	EA	200
210	832003	METAL BEAM GUARD RAILING (WOOD POST)	M	2560
211	839310	DOUBLE THRIE BEAM BARRIER	M	8
212	839541	TRANSITION RAILING (TYPE WB)	EA	26
213	839581	END ANCHOR ASSEMBLY (TYPE SFT)	EA	19
214	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	8
215	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	EA	12
216	839601	CRASH CUSHION (TYPE CAT)	EA	1
217	839602	CRASH CUSHION (TYPE CAT) BACKUP	EA	1
218 (F)	839701	CONCRETE BARRIER (TYPE 60)	M	1335
219 (F)	839702	CONCRETE BARRIER (TYPE 60A)	M	185
220 (F)	839703	CONCRETE BARRIER (TYPE 60C)	M	7745

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
221 (F)	839704	CONCRETE BARRIER (TYPE 60D)	M	204
222 (F)	016013	CONCRETE BARRIER (TYPE 60 R)	M	52
223 (F)	016014	CONCRETE BARRIER (TYPE 60 R MODIFIED)	M	89
224 (F)	839717	CONCRETE BARRIER (TYPE 732 MODIFIED)	M	252
225 (F)	839720	CONCRETE BARRIER (TYPE 732)	M	27
226 (F)	839726	CONCRETE BARRIER (TYPE 736A)	M	321
227 (F)	839727	CONCRETE BARRIER (TYPE 736 MODIFIED)	M	596
228 (F)	839734	CONCRETE BARRIER (TYPE 736SV)	M	1419
229	840515	THERMOPLASTIC PAVEMENT MARKING	M2	700
230	840561	100 MM THERMOPLASTIC TRAFFIC STRIPE	M	40 000
231	840562	150 MM THERMOPLASTIC TRAFFIC STRIPE	M	2350
232	840563	200 MM THERMOPLASTIC TRAFFIC STRIPE	M	5160
233	840564	200 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 3.66 M - 0.92 M)	M	1840
234	016015	150 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 2.44 M-1.22 M)	M	70
235	840570	100 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 10.98 M - 3.66 M)	M	300
236	840571	100 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 5.18 M - 2.14 M)	M	2110
237	840656	PAINT TRAFFIC STRIPE (2-COAT)	M	45
238	850101	PAVEMENT MARKER (NON-REFLECTIVE)	EA	9920
239	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	4970
240	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
241	016016	TRAFFIC OPERATION SYSTEM	LS	LUMP SUM
242	860251	SIGNAL AND LIGHTING (LOCATION 1)	LS	LUMP SUM
243	860252	SIGNAL AND LIGHTING (LOCATION 2)	LS	LUMP SUM
244	860253	SIGNAL AND LIGHTING (LOCATION 3)	LS	LUMP SUM
245	860254	SIGNAL AND LIGHTING (LOCATION 4)	LS	LUMP SUM
246	860257	SIGNAL AND LIGHTING (LOCATION 7)	LS	LUMP SUM
247	860259	SIGNAL AND LIGHTING (LOCATION 9)	LS	LUMP SUM
248	860298	SIGNAL AND LIGHTING (STAGE CONSTRUCTION)	LS	LUMP SUM
249	860305	SIGNAL AND LIGHTING (CITY STREET LOCATION 5)	LS	LUMP SUM
250	860306	SIGNAL AND LIGHTING (CITY STREET LOCATION 6)	LS	LUMP SUM
251	016066	SIGNAL AND LIGHTING (CITY STREET LOCATION 8)	LS	LUMP SUM
252	860415	LIGHTING (STAGE CONSTRUCTION)	LS	LUMP SUM
253	860460	LIGHTING AND SIGN ILLUMINATION	LS	LUMP SUM
254	860520	HIGHWAY ADVISORY RADIO SYSTEM	EA	1
255	860701	INTERCONNECTION CONDUIT AND CONDUCTOR	LS	LUMP SUM
256	016017	VEHICLE SENSOR NODE	EA	24
257	016018	EMERGENCY VEHICLE DETECTION SYSTEM	LS	LUMP SUM
258	016019	DIAL-UP MODEM FOR CHANGABLE MESSAGE SIGN	EA	1
259	016020	INTEGRATED CAMERA UNIT	EA	7
260	016021	CAMERA CONTROL UNIT (CCU)	EA	7

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
261	016022	VIDEO ENCODER UNIT (VEU)	EA	7
262	016023	EXTINGUISHABLE MESSAGE SIGN RADIO CONTROLLER ASSEMBLY	EA	3
263	016024	EXTINGUISHABLE MESSAGE SIGN PANEL (LED)	EA	8
264	016025	GENERAL PACKET RADIO SYSTEM (GPRS) WIRELESS MODEM ASSEMBLY	EA	11
265	016026	VIDEO IMAGE VEHICLE DETECTION SYSTEM	LS	LUMP SUM
266	999990	MOBILIZATION	LS	LUMP SUM

SPECIAL PROVISIONS

SECTION 1. (BLANK)

SECTION 2. BIDDING

Reserved

SECTION 3. CONTRACT AWARD AND EXECUTION

Reserved

SECTION 4. BEGINNING OF WORK, TIME OF COMPLETION, AND LIQUIDATED DAMAGES

The 1st working day is the 55th day after contract approval.

Do not start work at the job site, except for measuring controlling field dimensions and locating utilities, until the Engineer approves your submittal for:

1. Baseline Progress Schedule (Critical Path Method)
2. Storm Water Pollution Prevention Plan (SWPPP)

In addition to the above submittals, do not start work at the job site, except for measuring controlling field dimensions and locating utilities, until you submit:

1. Notice of Materials To Be Used.
2. Contingency plan for reopening closures to public traffic.
3. Written statement from the vendor that the order for the sign panels has been received and accepted by the vendor. The statement must show the dates that the materials will be shipped.
4. Written statement from the vendor that the order for electrical material has been received and accepted by the vendor. The statement must show the dates that the materials will be shipped.

You may start work at the job site before the 55th day after contract approval if:

1. You obtain required approval for each submittal before the 55th day
2. The Engineer authorizes it in writing

The Department grants a time extension if a delay is beyond your control and prevents you from starting work at the job site on the 1st working day.

Complete the work within the number of working days bid.

Liquidated damages are $17,800 per day starting on the 1st day after exceeding the number of working days bid and until work requiring lane or shoulder closures on State Highway Route 101 is complete.

If no further lane or shoulder closures are required on State Highway Route 101 to complete the work, liquidated damages are $15,800 per day starting on the 1st day after exceeding the number of working days bid.

It is anticipated that water will be available in sufficient quantities for the prosecution of the work. However, water shortages may occur during the life of the contract. Arrangements or commitments obtained by the Department are not a part of the contract. It is expressly understood and agreed that the Department assumes no responsibility to the bidder or Contractor whatsoever in respect to the arrangements made with the source. The Contractor shall assume all risks in connection with the use of the source and the terms upon which the use shall be made. There is no warranty or guaranty, either expressed or implied, to the quantity of water that can be obtained from the source. If the Department has compiled "Materials Information", as referred to in "Watering" of these special provisions, the bidder or Contractor is cautioned to make independent investigations and obtain the commitments or allocations as the bidder or Contractor deems necessary to verify the quantity of water available. The Contractor shall make arrangements or obtain commitments or allocations necessary to provide water for the project.

During the progress of the work, if water becomes unavailable or unavailable in the quantities needed for prosecution of the work, the unavailability of water will be considered a "shortage of materials" in conformance with the provisions in Section 8-1.07, "Liquidated Damages," of the Standard Specifications except for compensation. The Contractor will be granted an extension of time and will not be assessed with liquidated damages for any portion of the delay in completion of the work beyond the time shown above for the completion of the work caused by the unavailability of water, provided the

Contractor notifies the Engineer and furnishes proof of the "shortage of materials" as required in the third and fourth paragraphs in Section 8-1.07, "Liquidated Damages," of the Standard Specifications. If the Contractor sustains delay costs or damages which could not have been avoided by the judicious handling of forces, equipment and plant, there shall be paid to the Contractor the amount the Engineer may find to be a fair and reasonable compensation for the part of the Contractor's actual loss, as, in the opinion of the Engineer, was unavoidable, determined in the same manner as provided for right of way delays in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. The Contractor shall be entitled to no other compensation for such delay. The provisions in Section 5-1.116, "Differing Site Conditions," of the Standard Specifications shall not apply to the unavailability of water.

SECTION 5. GENERAL

5-1.01 PARTNERING DISPUTE RESOLUTION

The Department encourages the project team to exhaust the use of partnering in dispute resolution before engagement of an objective third party. Comply with Section 5-1.102, "Partnering," of the Standard Specifications.

For certain disputes, facilitated partnering session or facilitated dispute resolution session may be appropriate and effective in clarifying issues and resolving all or part of a dispute.

To afford the project team enough time to plan and hold the session, a maximum of 20 days may be added to the dispute review board (DRB) referral time following the Engineer's written response to a supplemental notice of potential claim as specified in Section 5-1.15, "Dispute Resolution," of the Standard Specifications.

To allow this additional referral time, the project team must document its agreement and intention in the dispute resolution plan of the partnering charter. The team may further document agreement of any associated criteria to be met for use of the additional referral time.

If the session is not held, the DRB referral time remains in effect as specified in Section 5-1.15, "Dispute Resolution," of the Standard Specifications.

5-1.02 RETENTION EXCLUSION

The Department does not retain moneys from progress payments due to the Contractor for work performed (Pub Cont Code § 7202). The 3rd paragraph in Section 9-1.06, "Partial Payments," of the Standard Specifications does not apply.

5-1.03 FORCE ACCOUNT PAYMENT

Payment for extra work at force account will be determined by either non-subcontracted or subcontracted force account payment unless otherwise specified.

Non-Subcontracted Force Account Payment

When extra work to be paid for on a force account basis is performed by the Contractor, compensation will be determined in accordance with Section 9-1.03, "Force Account Payment," of the Standard Specifications and these special provisions.

The second, third and fourth paragraphs of Section 9-1.03A, "Work Performed by Contractor," in the Standard Specifications, shall not apply.

Attention is directed to "Time-Related Overhead" of these special provisions.

To the total of the direct costs for work performed on a force account basis, computed as provided in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications, there will be added the following markups:

Cost	Percent Markup
Labor	28
Materials	10
Equipment Rental	10

The above markups shall be applied to work performed on a force account basis, regardless of whether the work revises the current contract completion date.

The above markups, together with payments made for time-related overhead pursuant to "Time-Related Overhead" of these special provisions, shall constitute full compensation for all overhead costs for work performed on a force account basis. These overhead costs shall be deemed to include all items of expense not specifically designated as cost or equipment rental in conformance with the provisions in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications. The total payment made as provided above and in the first paragraph of

Section 9-1.03A, "Work Performed by Contractor," of the Standard Specifications shall be deemed to be the actual cost of the work performed on a force account basis, and shall constitute full compensation therefor.

Full compensation for overhead costs for work performed on a force account basis, and for which no adjustment is made to the lump sum price bid for time-related overhead conforming to the provisions in "Time-Related Overhead" of these special provisions, shall be considered as included in the markups specified above, and no additional compensation will be allowed therefor.

Subcontracted Force Account Payment

When extra work to be paid for on a force account basis is performed by a subcontractor approved in conformance with the provisions in Section 8-1.01, "Subcontracting," of the Standard Specifications, compensation will be determined in accordance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

5-1.04 COMPENSATION ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

The provisions of this section shall apply only to the following contract items:

ITEM CODE	ITEM
390131	HOT MIX ASPHALT
390134	HOT MIX ASPHALT (OPENED GRADED)
390140	RUBBERIZED HOT MIX ASPHALT (GAP GRADED)
397005	TACK COAT

The compensation payable for asphalt binder used in hot mix asphalt and tack coat will be increased or decreased in conformance with the provisions of this section for asphalt binder price fluctuations exceeding 10 percent (Iu/Ib is greater than 1.10 or less than 0.90) which occur during performance of the work.

The quantity of asphalt binder used in tack coat will be determined by multiplying the item quantity for asphaltic tack coat included in a monthly estimate by the minimum percent residue specified in Section 94, "Asphaltic Emulsions," of the Standard Specifications. The asphaltic emulsion minimum percent residue will be based on the type of emulsion used by the Contractor.

At the Contractor's option, the Contractor may provide actual daily test results for asphalt binder residue for the tack coat used. Test results provided by the Contractor shall be from an independent testing laboratory that participates in the AASHTO Proficiency Sample Program. The Contractor shall take samples of asphaltic emulsion from the distributor truck at mid-load from a sampling tap or thief. Two separate 2-liter samples shall be taken in the presence of the Engineer. The Contractor shall provide one sample to the Contractor's independent testing laboratory within 24 hours of sampling. The second sample shall be given to the Engineer. The test results from the Contractor's independent testing laboratory shall be delivered to the Engineer within 10 days from sample date.

The adjustment in compensation will be determined in conformance with the following formulae when the item of hot mix asphalt or tack coat or both are included in a monthly estimate:

A. Total monthly adjustment = AQ

B. For an increase in asphalt binder price index exceeding 10 percent:

$$A = 0.90\ (1.1023)\ (Iu/Ib - 1.10)\ Ib$$

C. For a decrease in asphalt binder price index exceeding 10 percent:

$$A = 0.90\ (1.1023)\ (Iu/Ib - 0.90)\ Ib$$

D. Where:

A = Adjustment in dollars per tonne of asphalt binder used to produce hot mix asphalt and asphaltic emulsion residue used as tack coat rounded to the nearest $0.01.

Iu = The California Statewide Paving Asphalt Price Index which is in effect on the first business day of the month within the pay period in which the quantity subject to adjustment was included in the estimate.

Ib = The California Statewide Paving Asphalt Price Index for the month in which the bid opening for the project occurred.

Q = Quantity in tonnes of asphalt binder that was used in producing the quantity of hot mix asphalt shown under "This Estimate" on the monthly estimate using the amount of asphalt binder determined by the Engineer plus

the quantity in tonnes of asphalt binder that would have been used as residue in the tack coat shown under "This Estimate" on the monthly estimate.

The adjustment in compensation will also be subject to the following:

A. The compensation adjustments provided herein will be shown separately on payment estimates. The Contractor shall be liable to the State for decreased compensation adjustments and the Department may deduct the amount thereof from moneys due or that may become due the Contractor.
B. Compensation adjustments made under this section will be taken into account in making adjustments in conformance with the provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications.
C. In the event of an overrun of contract time, adjustment in compensation for asphalt binder included in estimates during the overrun period will be determined using the California Statewide Paving Asphalt Price Index in effect on the first business day of the month within the pay period in which the overrun began.

The California Statewide Paving Asphalt Price Index is determined each month on the first business day of the month by the Department using the median of posted prices in effect as posted by Chevron, ExxonMobil, and Union 76 for the Buena Vista, Huntington Beach, and Midway Sunset fields.

In the event that the companies discontinue posting their prices for a field, the Department will determine an index from the remaining posted prices. The Department reserves the right to include in the index determination the posted prices of additional fields.

The California Statewide Paving Asphalt Price Index is available on the Division of Engineering Services website at:

http://www.dot.ca.gov/hq/esc/oe/asphalt_index/astable.html

5-1.05 AREAS FOR CONTRACTOR'S USE

Attention is directed to the provisions in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications and these special provisions.

The highway right of way shall be used only for purposes that are necessary to perform the required work. The Contractor shall not occupy the right of way, or allow others to occupy the right of way, for purposes which are not necessary to perform the required work.

Residence trailers will not be allowed within the highway right of way, except that one trailer will be allowed for yard security purposes.

The Contractor shall remove equipment, materials, and rubbish from the work areas and other State-owned property which the Contractor occupies. The Contractor shall leave the areas in a presentable condition in conformance with the provisions in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials or for other purposes, if sufficient area is not available to the Contractor within the contract limits, or at the sites designated on the plans outside the contract limits.

5-1.06 PAYMENTS

Attention is directed to Sections 9-1.06, "Partial Payments," and 9-1.07, "Payment After Acceptance," of the Standard Specifications and these special provisions.

For the purpose of making partial payments pursuant to Section 9-1.06, "Partial Payments," of the Standard Specifications, the amount set forth for the contract items of work hereinafter listed shall be deemed to be the maximum value of the contract item of work which will be recognized for progress payment purposes:

A.	Clearing and Grubbing	$400,000
B.	Develop Water Supply	$ 25,000
C.	Progress Schedule (Critical Path Method)	$ 25,000
D.	Bridge Removal, Location A	$275,000
E.	Bridge Removal, Location B	$317,000
F.	Bridge Removal (Portion), Location A	$ 13,000
G.	Bridge Removal (Portion), Location B	$ 51,000
H.	Bridge Removal (Portion), Location C	$113,000
I.	Lead Compliance Plan	$ 10,000

After acceptance of the contract pursuant to the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, the amount, if any, payable for a contract item of work in excess of the maximum value for progress payment purposes hereinabove listed for the item, will be included for payment in the first estimate made after acceptance of the contract.

In determining the partial payments to be made to the Contractor, only the following listed materials will be considered for inclusion in the payment as materials furnished but not incorporated in the work:

A. Piling
B. Prestressing steel for cast-in-place members (sealed packages only
C. Prestress anchorages and ducts Precast concrete members
E. Sound wall masonry block
F. Type B Joint Seal and Joint Seal Assemblies
G. Bar reinforcing steel
H. Miscellaneous metal
I. Fences and Gates
J. Metal sign structure
K. Culvert pipe and appurtenances
L. Railing
M. Crash Cushions
N. Pavement marker
O. Lighting fixtures
P. Luminaries
Q. Signal and lighting standards
R. Signal heads and mounting brackets
S. Sign lighting fixtures
T. Corrugated high density polyethylene (CHDPE) pipe
U. Welded Steel Pipe

5-1.07 SUPPLEMENTAL PROJECT INFORMATION

Supplemental project information attached to the project plans is:

1. Various Log of Test Borings

Supplemental project information included in the Information Handout are:

1. Foundation Report for the Railroad Avenue Undercrossing (Replace), Bridge Number 20-0166;
2. Foundation Report for the Sierra Avenue Undercrossing (Widen), Bridge Number 20-0167;
3. Foundation Report for the Route 101/116 Separation (Replace), Bridge Number 20-0169;
4. Foundation Report for Laguna De Santa Rosa (Widen), Bridge Number 20-0016;
5. Foundation Report for Copeland Creek (Widen), Bridge Number 20-0015;
6. Foundation Report for Retaining Walls and Sound Walls for Highway 101 HOV Lane Project;
7. Excerpts of Geotechnical Design Report and Materials Report for Highway 101 HOV Lane Project;
8. Excerpts of Site Investigation Report for Highway 101 Central HOV Lanes Project;
9. Pre-Demolition Asbestos and Lead –Based Paint Sampling Report;
10. Hydraulic Report for Laguna De Santa Rosa (Widen), Bridge Number 20-0016;
11. Hydraulic Report for Copeland Creek (Widen), Bridge Number 20-0015.

Supplemental project information available for inspection at the District Office are:

1. Cross sections
2. Site Investigation Report for Highway 101 Central HOV Lanes Project
3. Biological Opinion issued by United States Fish And Wildlife Service
4. U.S. Army Corps of Engineers Permit
5. California Department of Fish And Game Permit
6. Regional Water Quality Control Board Permit

Bridge as-built drawings are available.

5-1.08 SOUND CONTROL REQUIREMENTS

Sound control shall conform to these special provisions.

The noise level from the Contractor's operations, between the hours of 9:00 p.m. and 6:00 a.m., shall not exceed 86 dBa (Lmax) at a distance of 15 m, except for the following work:

A. Pile driving from 8 PM to Midnight, Monday through Saturday
B. Bridge removal from 8 PM to Midnight, Monday through Saturday
C. Cold Plane AC Pavement from 8 PM to Midnight, Monday through Saturday

The Contractor shall provide one "Type 1" sound level meter and one acoustic calibrator which will be used by the Department during the life of the contract. The Contractor shall provide training by a person trained in noise monitoring to one Department employee designated by the Engineer. The sound level meter shall be calibrated and certified by the manufacturer or other independent acoustical laboratory prior to delivery to the Department. The Contractor shall provide annual recalibration by the manufacturer or other independent acoustical laboratory. All equipment shall be capable of taking measurements using the A-weighting network and the "slow" response of the sound level meter. The measurement microphone shall be fitted with an appropriate windscreen. All equipment shall be returned to the Contractor at the acceptance of the contract. Equipment damaged by actions of the Department or the public shall be paid for as extra work as provided in Section 4-1.03D for the Standard Specifications.

Each internal combustion engine, used for any purpose on the job or related to the job, shall be equipped with a muffler of a type recommended by the manufacturer. No internal combustion engine shall be operated on the project without the muffler.

The noise level requirement shall apply to the equipment on the job or related to the job, including but not limited to trucks, transit mixers or transient equipment that may or may not be owned by the Contractor. The use of loud sound signals shall be avoided in favor of light warnings except those required by safety laws for the protection of personnel.

Full compensation for conforming to the requirements of this section shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

5-1.09 RELATIONS WITH CALIFORNIA DEPARTMENT OF FISH AND GAME

A portion of this project is located within the jurisdiction of the California Department of Fish and Game. An agreement regarding a stream or lake has been entered into by the Department of Transportation and the Department of Fish and Game. The Contractor shall be fully informed of the requirements of this agreement as well as rules, regulations, and conditions that may govern the Contractor's operations in these areas and shall conduct the work accordingly.

Copies of the agreement may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone (916) 654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209.

It is unlawful for any person to divert, obstruct or change the natural flow of the bed, channel or bank of a stream, river or lake without first notifying the Department of Fish and Game, unless the project or activity is noticed and constructed in conformance with conditions imposed under Fish and Game Code Section 1602.

Attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.01G, "Water Pollution," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

Modifications to the agreement between the Department of Transportation and the Department of Fish and Game which are proposed by the Contractor shall be submitted in writing to the Engineer for transmittal to the Department of Fish and Game for their consideration.

When the Contractor is notified by the Engineer that a modification to the agreement is under consideration, no work shall be performed which is inconsistent with the original agreement or proposed modification until the Departments take action on the proposed modifications. Compensation for delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

Modifications to any agreement between the Department of Transportation and the Department of Fish and Game will be fully binding on the Contractor. The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

When the Contractor is notified by the Engineer that a modification to the agreement is under consideration, no work shall be performed which is inconsistent with the original agreement or proposed modification until the Departments take action on the proposed modifications. Compensation for delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

Modifications to any agreement between the Department of Transportation and the Department of Fish and Game will be fully binding on the Contractor. The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

5-1.10 BIRD PROTECTION

GENERAL

This work includes protecting migratory and nongame birds, their occupied nests, and their eggs.

Nesting or attempted nesting by migratory and nongame birds is anticipated to occur but is not limited to February 15 through August 15.

QUALITY ASSURANCE

Regulatory requirements

The Federal Migratory Bird Treaty Act (16 USC §703-711.), 50 CFR 10, and Fish & Game Code §3503, §3513, and §3800, protect migratory and nongame birds, their occupied nests, and their eggs.

The Federal Endangered Species Act of 1973 (16 USC §1531,§1543) and California Endangered Species Act (Fish & Game Code §2050-§2115.5) prohibit the take of listed species and protect occupied and unoccupied nests of threatened and endangered bird species.

The Bald Eagle Protection Act (16 USC §668) prohibits the destruction of bald and golden eagles occupied and unoccupied nests.

Permits are included in the Project Information handout.

CONSTRUCTION

When migratory or nongame bird nests are discovered which may be adversely affected by construction activity, or when a bird is found injured or killed as a result of construction activity, immediately stop work within 20 meters of the nest or bird and notify the Engineer. A 100 meter radius buffer zone should be provided in the case of hawks or owls. Work must not resume until the Engineer provides written notification that work may resume at that location.

When ordered by the Engineer, use exclusion devices, nesting prevention measures or remove and dispose of partially constructed and unoccupied nests of migratory or nongame birds on a regular basis to prevent their occupation.

Nest removal activities must not result in depositing into or allowing materials to enter waters of this state.

MEASUREMENT AND PAYMENT

Exclusion devices, nesting prevention measures and nest removal that are ordered by the Engineer will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

A delay to the controlling operation due to migratory or nongame birds or their nests will be considered a temporary suspension of work under Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. Adjustments will be made for delays that the Engineer determines are not due to the Contractor's failure to perform the provision of the contract in the same manner as for suspensions due to unsuitable weather in Section 8-1.05.

5-1.11 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD

This project lies within the boundaries of the San Francisco Bay and North Coast Regional Water Quality Control Board (RWQCB) regions.

The State Water Resources Control Board (SWRCB) has issued to the Department a permit that governs storm water and non-storm water discharges from the Department's properties, facilities, and activities. The Department's permit is entitled "Order No. 99 - 06 - DWQ, NPDES No. CAS000003, National Pollutant Discharge Elimination System (NPDES) Permit, Statewide Storm Water Permit and Waste Discharge Requirements (WDRs) for the State of California, Department of Transportation (Caltrans)." Copies of the Department's permit are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254, and may also be obtained at:

http://www.swrcb.ca.gov/stormwtr/caltrans.html

The Department's permit references and incorporates by reference the current statewide general permit issued by the SWRCB entitled "Order No. 99-08-DWQ, National Pollutant Discharge Elimination System (NPDES) General Permit No. CAS000002, Waste Discharge Requirements (WDRs) for Discharges of Storm Water Runoff Associated with Construction Activity" that regulates discharges of storm water and non-storm water from construction activities disturbing 0.4-hectare or more of soil in a common plan of development. Sampling and analysis requirements as specified in SWRCB

Resolution No. 2001-46 are added to the statewide general permit. Copies of the statewide permit and modifications thereto are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254 and may also be obtained at:

http://www.swrcb.ca.gov/stormwtr/construction.html

The North Coast Region RWQCB has issued a permit which governs storm water and non-storm water discharges resulting from construction activities in the project area. The RWQCB permit is entitled "Water Quality Certification for the California Department of Transportation – District 4, Highway 101 High Occupancy Vehicle Central Project: North of Pepper Road in Petaluma to Rohnert Expressway in Rohnert Park. WDID, Permit No. 1B08081WNSO." Copies of the RWQCB permit are available for review from Department of Transportation, District 4, Office of the Duty Senior, 111 Grand Avenue, Oakland, CA 94612, e-mail: duty_senior_district04@dot.ca.gov, telephone number: (510) 286-5209.

The NPDES permits that regulate this project, as referenced above, are collectively referred to in this section as the "permits."

This project shall conform to the permits and modifications thereto. The Contractor shall maintain copies of the permits at the project site and shall make them available during construction.

The Contractor shall know and comply with provisions of Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non-storm water discharges from the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.11, "Preservation of Property," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

The Contractor shall be responsible for penalties assessed on the Contractor or the Department as a result of the Contractor's failure to comply with the provisions in "Water Pollution Control" of these special provisions or with the applicable provisions of the Federal, State, and local regulations and requirements.

Penalties as used in this section shall include fines, penalties, and damages, whether proposed, assessed, or levied against the Department or the Contractor, including those levied under the Federal Clean Water Act and the State Porter-Cologne Water Quality Control Act, by governmental agencies or as a result of citizen suits. Penalties shall also include payments made or costs incurred in settlement for alleged violations of applicable laws, regulations, or requirements. Costs incurred could include sums spent instead of penalties, in mitigation or to remediate or correct violations.

WITHHOLDS

The Department will withhold money due the Contractor, in an amount estimated by the Department, to include the full amount of penalties and mitigation costs proposed, assessed, or levied as a result of the Contractor's violation of the permits, or Federal or State law, regulations, or requirements. Funds will be withheld by the Department until final disposition of these costs has been made. The Contractor shall remain liable for the full amount until the potential liability is finally resolved with the entity seeking the penalties. Instead of the withhold, the Contractor may provide a suitable bond in favor of the Department to cover the highest estimated liability for any disputed penalties proposed as a result of the Contractor's violation of the permits, law, regulations, or requirements.

If a regulatory agency identifies a failure to comply with the permits and modifications thereto, or other Federal, State, or local requirements, the Department will withhold money due the Contractor, subject to the following:

A. The Department will give the Contractor 30 days notice of the Department's intention to withhold funds from payments which may become due to the Contractor before acceptance of the contract. Funds withheld after acceptance of the contract will be made without prior notice to the Contractor.
B. No withholds of additional amounts out of payments will be made if the amount to be withheld does not exceed the amount being withheld from partial payments in accordance with Section 9-1.06, "Partial Payments," of the Standard Specifications.
C. If the Department has withheld funds and it is subsequently determined that the State is not subject to the entire amount of the costs and liabilities assessed or proposed in connection with the matter for which the withhold was made, the Department will return the excess amount withheld to the Contractor in the progress payment following the determination. If the matter is resolved for less than the amount withheld, the Department will pay interest at a rate of 6 percent per year on the excess withhold.

The Contractor shall notify the Engineer immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Department shall provide copies of correspondence, notices of violation, enforcement actions, or proposed fines by regulatory agencies to the requesting regulatory agency.

5-1.12 UNITED STATES FISH AND WILDLIFE SERVICE REQUIREMENTS

A portion of this project is located within the jurisdiction of the United States Fish and Wildlife Service (USFWS). The Department has entered into a programmatic agreement or has accepted a Biological Opinion (Reference No. 1-1-05-F-0300) for this project. The Contractor shall be fully informed of the requirements and all rules, regulations, and conditions that may govern the Contractor's operations in these areas and shall conduct the work accordingly.

The Contractor's attention is directed to the following terms or conditions that are among those established by the Biological Opinion (Reference No. 1-1-05-F-0300) for this project:

A The Contractor shall notify the Engineer if any staging and access areas are to be located outside State Right of Way, so the Department biologist can perform preliminary surveys.

B. To prevent entrapment of California tiger salamander and other wildlife, all pits and trenches shall be covered by plywood at the end of each workday.

C. USFWS personnel shall be allowed access to the project site for habitat inspections at all times.

Modifications to the agreement between the Department and USFWS that are proposed by the Contractor shall be submitted in writing to the Engineer for consideration for transmittal to the USFWS for their consideration.

No work shall be performed which is inconsistent with the original agreement prior to receiving written approval from the Engineer.

Modifications to the agreement between the Department and USFWS shall be fully binding on the Contractor.

The provisions of this section and approved modifications shall be made a part of every subcontract executed pursuant to this contract.

Copies of the agreement may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone (916) 654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209."

5-1.13 UNITED STATES ARMY CORPS OF ENGINEERS REQUIREMENTS

The Department and the Army Corps of Engineers (USACE) have entered into an agreement or received permits for project areas located within the jurisdiction of USACE. The Contractor shall be fully informed of the requirements of this agreement and all rules, regulations, and conditions that may govern the Contractor's operations in these areas and shall conduct the work accordingly.

Modifications to the agreement or permits between the Department and USACE that are proposed by the Contractor shall be submitted in writing to the Engineer for consideration for transmittal to the USACE for their consideration.

No work shall be performed which is inconsistent with the original agreement or permits prior to receiving written approval from the Engineer.

Modifications to the original agreement or permits between the Department and USACE shall be fully binding on the Contractor.

The provisions of this section and approved modifications shall be made a part of every subcontract executed pursuant to this contract.

Copies of the agreement may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone (916) 654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209.

5-1.14 COMPLIANCE WITH SPECIES REGULATIONS

Biological Monitoring will be required on this project to monitor for the presence of listed species protected under the Federal Endangered Species Act, the Migratory Bird Treaty Act, the California Endangered Species Act, and the Biological Opinion and permits issued for this project.

The Department will provide Biological Monitor(s) on this project that are required to monitor for the presence of the listed species. The Contractor shall allow biological monitor(s) access to the project site at all times.

If the listed species are encountered on the project site, the Contractor shall notify the Engineer immediately. All construction activities shall be halted immediately until the animal leaves the site voluntarily or is removed by the Biological Monitor(s). The Biological Monitor is the only person who will be authorized to handle listed species. Construction activities may resume only after the Engineer provides written approval.

EMPLOYEE BIOLOGICAL TRAINING CLASS

The Engineer, Contractor, and Subcontractors involved in construction operations shall attend a two-hour pre-construction Employee Biological Training Class presented by the Biological Monitor.

Employees who have not completed the Employee Biological Training Class shall not be allowed to work on the project.

The Contractor shall provide the Engineer with an attendance list including signatures of each employee who attended the Biological Training Class following completion of the course.

The Contractor shall notify the Engineer 20 working days prior to the start of any construction to schedule the Employee Biological Training Class.

PRE-CONSTRUCTION BIOLOGICAL SURVEY

The Contractor shall not begin work until the Biological Monitor(s) have conducted a pre-construction survey for listed species. Construction activities shall not begin until the Engineer provides written notification to begin work.

The Contractor shall notify the Engineer 20 working days prior to the start of any construction to schedule the pre-construction survey .

PAYMENT

Full compensation for conforming to "Compliance with Species Regulations" shall be considered included in the various contract items of work and no additional compensation will be allowed therefor.

5-1.15 AERIALLY DEPOSITED LEAD

Aerially deposited lead is present within the project limits. Aerially deposited lead is lead deposited within unpaved areas or formerly unpaved areas, primarily due to vehicle emissions.

Attention is directed to "Material Containing Aerially Deposited Lead" and "Project Information" of these special provisions.

Portions of the site investigation report are included in the "Material Information" handout. The complete report, entitled "Site Investigation Report - Highway 101 Central HOV Lanes Project," is available for inspection at the Department of Transportation, 111 Grand Avenue, Oakland, CA 94612.

The Department has received from the California Department of Toxic Substances Control (DTSC) a Variance regarding the use of material containing aerially deposited lead. This project is subject to the conditions of the Variance, as amended. The Variance is available for inspection at the Department of Transportation, District 04, 111 Grand Avenue, Oakland, CA 94612.

Once the Contractor has completed the placement of material containing aerially deposited lead in conformance with these special provisions and as directed by the Engineer, the Contractor shall have no responsibility for such materials. The Department will not consider the Contractor a generator of such contaminated materials.

Excavation, reuse, and disposal of material with aerially deposited lead shall be in conformance with all rules and regulations including, but not limited to, those of the following agencies:

A. United States Department of Transportation,
B. United States Environmental Protection Agency,
C. California Environmental Protection Agency,
D. California Department of Health Services,
E. Department of Toxic Substances Control,
F. California Division of Occupational Safety and Health Administration,
G. Integrated Waste Management Board,
H. Regional Water Quality Control Board, Region 1, Santa Rosa and Region 2 San Francisco Bay
I. State Air Resources Control Board, and
J. Bay Area Air Quality Management District.

Materials containing hazardous levels of lead shall be transported and disposed of in conformance with Federal and State laws and regulations, as amended, and county and municipal ordinances and regulations, as amended. Laws and regulations that govern this work include, but are not limited to:

A. Health and Safety Code, Division 20, Chapter 6.5 (California Hazardous Waste Control Act),
B. Title 22, California Code of Regulations, Division 4.5 (Environmental Health Standards for the Management of Hazardous Waste), and
C. Title 8, California Code of Regulations.

5-1.16 ENVIRONMENTALLY SENSITIVE AREA

An environmentally sensitive area (ESA) shall consist of an area within and near the limits of construction where access is prohibited or limited for the preservation of existing vegetation, or protection of biological habitat as shown on the plans. The Engineer will determine the exact location of the boundaries of the ESA. No work shall be conducted within the ESA.

Attention is directed to Section 7—1.01 "Laws to be Observed," and Section 7—1.04 "Permits and Licenses," of the Standard Specifications regarding State and Federal regulations, permits, or agreements which pertain to an ESA.

Prior to beginning work, the boundaries of the ESA shall be clearly delineated and by the placement of temporary fence (Type ESA).

Vehicle access, storage or transport of materials or equipment, or other project related activities are prohibited within the boundaries of ESA.

The Contractor shall mitigate damage or impacts to the ESA caused by the Contractor's operations, at the Contractor's expense. If the Engineer determines mitigation work will be performed by others, or if mitigation fees are assessed the Department, deductions from moneys due or to become due the Contractor will be made for the mitigation costs.

5-1.17 NONHIGHWAY FACILITIES (INCLUDING UTILITIES)

The utility owner will relocate a utility shown in the following table before the corresponding date shown:

Utility Relocation and Date of the Relocation

Utility	Location	Date
PG&E 115kv Overhead Electric	"ML" 107+20 Left "ML" 98+00 to 99+90 "CB" 10+30 to 10+90	5/31/2009
ATT Fiber Optics Manhole	"GNN" 97+20	5/31/2009
Comcast Underground Fiber Optics	"ML " 102+70	5/31/2009
Comcast Underground Fiber Optics and Boxes	"ML" 108+80 Right to "RD" 102+00	5/31/2009
PG&E 200 mm Gas Transmission	"ML" 108+50 to 108+70 Left	5/31/2009
PG&E 100 mm Gas	"ML" 102+70	5/31/2009
PG&E 12kv Overhead Electric Temporary Shoe Fly	"ML" 84+50	5/31/2009
PG&E 100 mm Gas Distribution	"ML" 102+70	5/31/2009

During the progress of the work under this Contract, the utility owner will remove a utility shown in the following table within the corresponding number of days shown. Notify the Engineer before you work within the approximate location of a utility shown. The days start on the notification date.

Utility Relocation and Department-Arranged Time for the Relocation

Utility	Location	Days
Call Boxes	Various	14
PG&E Light Standard Stage Construction 4	"G" 8+10 Right	14
Monitoring Wells MW-3, MW-6, EW-2, EW-1	"G" 8+00 +/- Right	30

Installation of the utilities shown in the following table requires coordination with your activities. Make the necessary arrangements with the utility company through the Engineer and submit a schedule:

1. Verified by a representative of the utility company
2. Allowing at least the time shown for the utility owner to complete its work

Utility Relocation and Contractor-Arranged Time for the Relocation

Utility	Utility Address	Location	Days
PG&E 12 kv Overhead Electric Line	-	"ML" 84+50	14
PG&E Extend 300 mm Gas Casing	-	"ML" 99+80	21
Monitoring Wells MW-2 and MW-8	-	"G" 8+00 +/- Right and ORH 9+00 +/-Left	21

The utilities shown in the following table may interfere with pile driving, drilling activities, or subsurface construction, but the utility owner will not rearrange them. If you want any of them rearranged or temporarily deactivated, make arrangements with the utility owner.

Utilities Not Rearranged for Pile Driving, Drilling Activities, or Subsurface Construction

Utility	Location
City of Cotati 150 mm Sewer Main	"ML"93+20 to 93+60
PG&E 300 mm Gas Transmission Line and 75 mm Gas Distribution Line	"ML" 90+70 to 91+10
ATT Telephone 2 100 mm Conduit	"ML" 90+90 to 91+10
ATT Telephone 2-25 mm and 6-88 mm Fiber Optics Conduit	"GNN" 97+40
ATT Telephone 1-50 mm Conduit	"ML" 98+70
City of Cotati 200 mm Water Main	"ML"88+60 to 88+80
City of Rohnert Park 200 mm Water Main City of Cotati 300 mm Water Main	"ML" 108+65 "RD" 98+00 to "109+00"
PG&E Underground 21kv 5 Conduits	"ML" 104+62
PG&E 50 mm Gas Distribution	"T" 5+80 to 6+30
ATT 2-75 mm telephone lines	"T" 5+60 to 6+40
ATT 2-25 mm and 6-88mm Fiber Optics	"T" 97+00 to 97+60

The Contractor shall notify the Engineer in writing at least 60 days in advance of the date that the electric service connection is needed to be completed by the utility companies

The Contractor shall notify the Engineer in writing at least 45 days in advance of the date that temporary de-energizing of the overhead power line is needed to be completed by the utility company

Full compensation for conforming to the requirements of this section, not otherwise provided for, shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

SECTION 6. (BLANK)

SECTION 7. (BLANK)

SECTION 8. MATERIALS

SECTION 8-1. MISCELLANEOUS

8-1.01 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS

Only materials and products conforming to the requirements of the specifications shall be incorporated in the work. When metric materials and products are not available, and when approved by the Engineer, and at no cost to the State, materials and products in the United States Standard Measures which are of equal quality and of the required properties and characteristics for the purpose intended, may be substituted for the equivalent metric materials and products, subject to the following provisions:

A. Materials and products shown on the plans or in the special provisions as being equivalent may be substituted for the metric materials and products specified or detailed on the plans.
B. Before other non-metric materials and products will be considered for use, the Contractor shall furnish, at the Contractor's expense, evidence satisfactory to the Engineer that the materials and products proposed for use are equal to or better than the materials and products specified or detailed on the plans. The burden of proof as to the quality and suitability of substitutions shall be upon the Contractor and the Contractor shall furnish necessary information as required by the Engineer. The Engineer will be the sole judge as to the quality and suitability of the substituted materials and products and the Engineer's decision will be final.
C. When the Contractor elects to substitute non-metric materials and products, including materials and products shown on the plans or in the special provisions as being equivalent, the list of sources of material specified in Section 6-1.01, "Source of Supply and Quality of Materials," of the Standard Specification shall include a list of substitutions to be made and contract items involved. In addition, for a change in design or details, the Contractor shall submit plans and working drawings in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The plans and working drawings shall be submitted at least 7 days before the Contractor intends to begin the work involved.

Unless otherwise specified, the following substitutions of materials and products will be allowed:

SUBSTITUTION TABLE FOR PLAIN WIRE REINFORCEMENT
ASTM Designation: A 82

METRIC SIZE SHOWN ON THE PLANS mm^2	SIZE TO BE SUBSTITUTED $inch^2$ x 100
MW9	W1.4
MW10	W1.6
MW13	W2.0
MW15	W2.3
MW19	W2.9
MW20	W3.1
MW22	W3.5
MW25	W3.9, except W3.5 in piles only
MW26	W4.0
MW30	W4.7
MW32	W5.0
MW35	W5.4
MW40	W6.2
MW45	W6.5
MW50	W7.8
MW55	W8.5, except W8.0 in piles only
MW60	W9.3
MW70	W10.9, except W11.0 in piles only
MW80	W12.4
MW90	W14.0
MW100	W15.5

SUBSTITUTION TABLE FOR BAR REINFORCEMENT

METRIC BAR DESIGNATION NUMBER[1] SHOWN ON THE PLANS	BAR DESIGNATION NUMBER[2] TO BE SUBSTITUTED
10	3
13	4
16	5
19	6
22	7
25	8
29	9
32	10
36	11
43	14
57	18

[1]Bar designation numbers approximate the number of millimeters of the nominal diameter of the bars.

[2]Bar numbers are based on the number of eighths of an inch included in the nominal diameter of the bars.

No adjustment will be required in spacing or total number of reinforcing bars due to a difference in minimum yield strength between metric and non-metric bars.

SUBSTITUTION TABLE FOR SIZES OF:

(1) STEEL FASTENERS FOR GENERAL APPLICATIONS (ASTM Designation: A 307 or AASHTO Designation: M 314, Grade 36 or 55), and

(2) HIGH STRENGTH STEEL FASTENERS (ASTM Designation: A 325 or A 449)

METRIC SIZE SHOWN ON THE PLANS mm	SIZE TO BE SUBSTITUTED inch
6 or 6.35	1/4
8 or 7.94	5/16
10 or 9.52	3/8
11 or 11.11	7/16
13, 12.70, or M12	1/2
14 or 14.29	9/16
16, 15.88, or M16	5/8
19, 19.05, or M20	3/4
22, 22.22, or M22	7/8
24, 25, 25.40, or M24	1
29, 28.58, or M27	1-1/8
32, 31.75, or M30	1-1/4
35 or 34.93	1-3/8
38, 38.10, or M36	1-1/2
44 or 44.45	1-3/4
51 or 50.80	2
57 or 57.15	2-1/4
64 or 63.50	2-1/2
70 or 69.85	2-3/4
76 or 76.20	3
83 or 82.55	3-1/4
89 or 88.90	3-1/2
95 or 95.25	3-3/4
102 or 101.60	4

SUBSTITUTION TABLE FOR NOMINAL THICKNESS OF SHEET METAL

UNCOATED HOT AND COLD ROLLED SHEETS		HOT-DIPPED ZINC COATED SHEETS (GALVANIZED)	
METRIC THICKNESS SHOWN ON THE PLANS mm	GAGE TO BE SUBSTITUTED inch	METRIC THICKNESS SHOWN ON THE PLANS mm	GAGE TO BE SUBSTITUTED inch
7.94	0.3125	4.270	0.1681
6.07	0.2391	3.891	0.1532
5.69	0.2242	3.510	0.1382
5.31	0.2092	3.132	0.1233
4.94	0.1943	2.753	0.1084
4.55	0.1793	2.372	0.0934
4.18	0.1644	1.994	0.0785
3.80	0.1495	1.803	0.0710
3.42	0.1345	1.613	0.0635
3.04	0.1196	1.461	0.0575
2.66	0.1046	1.311	0.0516
2.28	0.0897	1.158	0.0456
1.90	0.0747	1.006 or 1.016	0.0396
1.71	0.0673	0.930	0.0366
1.52	0.0598	0.853	0.0336
1.37	0.0538	0.777	0.0306
1.21	0.0478	0.701	0.0276
1.06	0.0418	0.627	0.0247
0.91	0.0359	0.551	0.0217
0.84	0.0329	0.513	0.0202
0.76	0.0299	0.475	0.0187
0.68	0.0269	--------	--------
0.61	0.0239	--------	--------
0.53	0.0209	--------	--------
0.45	0.0179	--------	--------
0.42	0.0164	--------	--------
0.38	0.0149	--------	--------

SUBSTITUTION TABLE FOR WIRE

METRIC THICKNESS SHOWN ON THE PLANS mm	WIRE THICKNESS TO BE SUBSTITUTED inch	GAGE NO.
6.20	0.244	3
5.72	0.225	4
5.26	0.207	5
4.88	0.192	6
4.50	0.177	7
4.11	0.162	8
3.76	0.148	9
3.43	0.135	10
3.05	0.120	11
2.69	0.106	12
2.34	0.092	13
2.03	0.080	14
1.83	0.072	15
1.57	0.062	16
1.37	0.054	17
1.22	0.048	18
1.04	0.041	19
0.89	0.035	20

SUBSTITUTION TABLE FOR PIPE PILES

METRIC SIZE SHOWN ON THE PLANS mm x mm	SIZE TO BE SUBSTITUTED inch x inch
PP 360 x 4.55	NPS 14 x 0.179
PP 360 x 6.35	NPS 14 x 0.250
PP 360 x 9.53	NPS 14 x 0.375
PP 360 x 11.12	NPS 14 x 0.438
PP 406 x 12.70	NPS 16 x 0.500
PP 460 x T	NPS 18 x T"
PP 508 x T	NPS 20 x T"
PP 559 x T	NPS 22 x T"
PP 610 x T	NPS 24 x T"
PP 660 x T	NPS 26 x T"
PP 711 x T	NPS 28 x T"
PP 762 x T	NPS 30 x T"
PP 813 x T	NPS 32 x T"
PP 864 x T	NPS 34 x T"
PP 914 x T	NPS 36 x T"
PP 965 x T	NPS 38 x T"
PP 1016 x T	NPS 40 x T"
PP 1067 x T	NPS 42 x T"
PP 1118 x T	NPS 44 x T"
PP 1219 x T	NPS 48 x T"
PP 1524 x T	NPS 60 x T"

The thickness in millimeters (T) represents an exact conversion of the thickness in inches (T").

SUBSTITUTION TABLE FOR CIDH CONCRETE PILING

METRIC SIZE SHOWN ON THE PLANS	ACTUAL AUGER SIZE TO BE SUBSTITUTED inches
350 mm	14
400 mm	16
450 mm	18
600 mm	24
750 mm	30
900 mm	36
1.0 m	42
1.2 m	48
1.5 m	60
1.8 m	72
2.1 m	84
2.4 m	96
2.7 m	108
3.0 m	120
3.3 m	132
3.6 m	144
4.0 m	156

SUBSTITUTION TABLE FOR STRUCTURAL TIMBER AND LUMBER

METRIC MINIMUM DRESSED DRY, SHOWN ON THE PLANS mm x mm	METRIC MINIMUM DRESSED GREEN, SHOWN ON THE PLANS mm x mm	NOMINAL SIZE TO BE SUBSTITUTED inch x inch
19x89	20x90	1x4
38x89	40x90	2x4
64x89	65x90	3x4
89x89	90x90	4x4
140x140	143x143	6x6
140x184	143x190	6x8
184x184	190x190	8x8
235x235	241x241	10x10
286x286	292x292	12x12

SUBSTITUTION TABLE FOR NAILS AND SPIKES

METRIC COMMON NAIL, SHOWN ON THE PLANS Length, mm Diameter, mm	METRIC BOX NAIL, SHOWN ON THE PLANS Length, mm Diameter, mm	METRIC SPIKE, SHOWN ON THE PLANS Length, mm Diameter, mm	SIZE TO BE SUBSTITUTED Penny-weight
50.80 2.87	50.80 2.51	_____	6d
63.50 3.33	63.50 2.87	_____	8d
76.20 3.76	76.20 3.25	76.20 4.88	10d
82.55 3.76	82.55 3.25	82.55 4.88	12d
88.90 4.11	88.90 3.43	88.90 5.26	16d
101.60 4.88	101.60 3.76	101.60 5.72	20d
114.30 5.26	114.30 3.76	114.30 6.20	30d
127.00 5.72	127.00 4.11	127.00 6.68	40d
_____	_____	139.70 7.19	50d
_____	_____	152.40 7.19	60d

SUBSTITUTION TABLE FOR IRRIGATION COMPONENTS

METRIC WATER METERS, TRUCK LOADING STANDPIPES, VALVES, BACKFLOW PREVENTERS, FLOW SENSORS, WYE STRAINERS, FILTER ASSEMBLY UNITS, PIPE SUPPLY LINES, AND PIPE IRRIGATION SUPPLY LINES SHOWN ON THE PLANS DIAMETER NOMINAL (DN) mm	NOMINAL SIZE TO BE SUBSTITUTED inch
15	1/2
20	3/4
25	1
32	1-1/4
40	1-1/2
50	2
65	2-1/2
75	3
100	4
150	6
200	8
250	10
300	12
350	14
400	16

Unless otherwise specified, substitutions of United States Standard Measures standard structural shapes corresponding to the metric designations shown on the plans and in conformance with the requirements in ASTM Designation: A 6/A 6M, Annex 2, will be allowed.

8-1.02 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each type of traffic product supplied.

For those categories of materials included on the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included on the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the Standard Specifications.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

PAVEMENT MARKERS, PERMANENT TYPE

Retroreflective With Abrasion Resistant Surface (ARS)

1. Apex, Model 921AR (100 mm x 100 mm)
2. Ennis Paint, Models C88 (100 mm x 100 mm), 911 (100 mm x 100 mm) and C80FH
3. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm) and ARC Round Shoulder (100 mm x 100 mm)
4. 3M Series 290 (89 mm x 100 mm)
5. 3M Series 290 PSA
6. Glowlite, Inc Model 988AR (100 mm x 100 mm)

Retroreflective With Abrasion Resistant Surface (ARS)

(for recessed applications only)

1. Ennis Paint, Model 948 (58 mm x 119 mm)
2. Ennis Paint, Model 944SB (51 mm x 100 mm)*
3. Ray-O-Lite, Model 2002 (51 mm x 117 mm)
4. Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)*

*For use only in 114 mm wide (older) recessed slots

Non-Reflective, 100-mm Round

1. Apex Universal (Ceramic)
2. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
3. Glowlite, Inc. (Ceramic) and PP (Polypropylene)
4. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
5. Interstate Sales, "Diamond Back" (Polypropylene)
6. Novabrite Models Cdot (White) Cdot-y (Yellow), Ceramic
7. Novabrite Models Pdot-w (White) Pdot-y (Yellow), Polypropylene
8. Three D Traffic Works TD10000 (ABS), TD10500 (Polypropylene)

PAVEMENT MARKERS, TEMPORARY TYPE

Temporary Markers For Long Term Day/Night Use (180 days or less)

1. Vega Molded Products "Temporary Road Marker" (75 mm x 100 mm)

Temporary Markers For Short Term Day/Night Use (14 days or less)

(For seal coat or chip seal applications, clear protective covers are required)

1. Apex Universal, Model 932
2. Filtrona Extrusion, Models T.O.M., T.R.P.M., and "HH" (High Heat)
3. Hi-Way Safety, Inc., Model 1280/1281
4. Glowlite, Inc., Model 932

STRIPING AND PAVEMENT MARKING MATERIAL

Permanent Traffic Striping and Pavement Marking Tape

1. Advanced Traffic Marking, Series 300 and 400
2. Brite-Line, Series 1000
3. Brite-Line, "DeltaLine XRP"
4. Swarco Industries, "Director 35" (For transverse application only)
5. Swarco Industries, "Director 60"
6. 3M, "Stamark" Series 380 and 5730
7. 3M, "Stamark" Series 420 (For transverse application only)

Temporary (Removable) Striping and Pavement Marking Tape (180 days or less)

1. Advanced Traffic Marking, Series 200
2. Brite-Line, Series 100
3. Garlock Rubber Technologies, Series 2000
4. P.B. Laminations, Aztec, Grade 102
5. Swarco Industries, "Director-2"

6. Trelleborg Industries, R140 Series
7. 3M Series 620 "CR", and Series A750
8. 3M Series A145, Removable Black Line Mask
   (Black Tape: for use only on Hot mix asphalt surfaces)
9. Advanced Traffic Marking Black "Hide-A-Line"
   (Black Tape: for use only on Hot mix asphalt surfaces)
10. Brite-Line "BTR" Black Removable Tape
    (Black Tape: for use only on Hot mix asphalt surfaces)
11. Trelleborg Industries, RB-140
    (Black Tape: for use only on Hot mix asphalt surfaces)

Preformed Thermoplastic (Heated in place)

1. Flint Trading Inc., "Hot Tape"
2. Flint Trading Inc., "Premark Plus"
3. Ennis Paint Inc., "Flametape"

Ceramic Surfacing Laminate, 150 mm x 150 mm

1. Highway Ceramics, Inc.

CLASS 1 DELINEATORS

One Piece Driveable Flexible Type, 1700-mm

1. Filtrona Extrusion, "Flexi-Guide Models 400 and 566"
2. Carsonite, Curve-Flex CFRM-400
3. Carsonite, Roadmarker CRM-375
4. FlexStake, Model 654 TM
5. GreenLine Model CGD1-66

Special Use Type, 1700-mm

1. Filtrona Extrusion, Model FG 560 (with 450-mm U-Channel base)
2. Carsonite, "Survivor" (with 450-mm U-Channel base)
3. Carsonite, Roadmarker CRM-375 (with 450-mm U-Channel base)
4. FlexStake, Model 604
5. GreenLine Model CGD (with 450-mm U-Channel base)
6. Impact Recovery Model D36, with #105 Driveable Base
7. Safe-Hit with 200-mm pavement anchor (SH248-GP1)
8. Safe-Hit with 380-mm soil anchor (SH248-GP2) and with 450-mm soil anchor (SH248-GP3)

Surface Mount Type, 1200-mm

1. Bent Manufacturing Company, Masterflex Model MF-180EX-48
2. Carsonite, "Channelizer"
3. FlexStake, Models 704, 754 TM, and EB4
4. Impact Recovery Model D48, with #101 Fixed (Surface-Mount) Base
5. Three D Traffic Works "Channelflex" ID No. 522248W

CHANNELIZERS

Surface Mount Type, 900-mm

1. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)
2. Filtrona Extrusion, Flexi-Guide Models FG300PE, FG300UR, and FG300EFX
3. Carsonite, "Super Duck" (Round SDR-336)
4. Carsonite, Model SDCF03601MB "Channelizer"
5. FlexStake, Models 703, 753 TM, and EB3
6. GreenLine, Model SMD-36
7. Hi-way Safety, Inc. "Channel Guide Channelizer" Model CGC36
8. Impact Recovery Model D36, with #101 Fixed (Surface-Mount) Base
9. Safe-Hit, Guide Post, Model SH236SMA and Dura-Post, Model SHL36SMA
10. Three D Traffic Works "Boomerang" 5200 Series

Lane Separation System

1. Filtrona Extrusion, "Flexi-Guide (FG) 300 Curb System"
2. Qwick Kurb, "Klemmfix Guide System"
3. Dura-Curb System
4. Tuff Curb

CONICAL DELINEATORS, 1070-mm

(For 700-mm Traffic Cones, see Standard Specifications)

1. Bent Manufacturing Company "T-Top"
2. Plastic Safety Systems "Navigator-1070 mm
3. TrafFix Devices "Grabber"
4. Three D Traffic Works "Ringtop" TD7000, ID No. 742143
5. Three D Traffic Works, TD7500
6. Work Area Protection Corp. C-42

OBJECT MARKERS

Type "K", 450-mm

1. Filtrona Extrusion, Model FG318PE
2. Carsonite, Model SMD 615
3. FlexStake, Model 701 KM
4. Safe-Hit, Model SH718SMA

Type "K-4" / "Q" Object Markers, 600-mm

1. Bent Manufacturing "Masterflex" Model MF-360-24
2. Filtrona Extrusion, Model FG324PE
3. Carsonite, "Channelizer"
4. FlexStake, Model 701KM
5. Safe-Hit, Models SH824SMA_WA and SH824GP3_WA
6. Three D Traffic Works ID No. 531702W and TD 5200
7. Three D Traffic Works ID No. 520896W

CONCRETE BARRIER MARKERS AND
TEMPORARY RAILING (TYPE K) REFLECTORS

Impactable Type

1. ARTUK, "FB"
2. Filtrona Extrusion, Models PCBM-12 and PCBM-T12
3. Duraflex Corp., "Flexx 2020" and "Electriflexx"
4. Hi-Way Safety, Inc., Model GMKRM100
5. Plastic Safety Systems "BAM" Models OM-BARR and OM-BWAR
6. Three D Traffic Works "Roadguide" Model TD 9304

Non-Impactable Type

1. ARTUK, JD Series
2. Plastic Safety Systems "BAM" Models OM-BITARW and OM-BITARA
3. Vega Molded Products, Models GBM and JD
4. Plastic Vacuum Forming, "Cap-It C400"

METAL BEAM GUARD RAIL POST MARKERS

(For use to the left of traffic)

1. Filtrona Extrusion, "Mini" (75 mm x 254 mm)
2. Creative Building Products, "Dura-Bull, Model 11201"
3. Duraflex Corp., "Railrider"
4. Plastic Vacuum Forming, "Cap-It C300"

CONCRETE BARRIER DELINEATORS, 400-mm

(For use to the right of traffic)

1. Filtrona Extrusion, Model PCBM T-16
2. Safe-Hit, Model SH216RBM

CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)

1. Stinson Equipment Company "SaddleMarker"

GUARD RAILING DELINEATOR

(Place top of reflective element at 1200 mm above plane of roadway)

Wood Post Type, 686-mm

1. Filtrona Extrusion, FG 427 and FG 527
2. Carsonite, Model 427
3. FlexStake, Model 102 GR
4. GreenLine GRD 27
5. Safe-Hit, Model SH227GRD
6. Three D Traffic Works "Guardflex" TD9100
7. New Directions Mfg, NDM27

Steel Post Type

1. Carsonite, Model CFGR-327

RETROREFLECTIVE SHEETING

Channelizers, Barrier Markers, and Delineators

1. Avery Dennison T-6500 Series (For rigid substrate devices only)
2. Avery Dennison WR-7100 Series
3. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
4. Reflexite, PC-1000 Metalized Polycarbonate
5. Reflexite, AC-1000 Acrylic
6. Reflexite, AP-1000 Metalized Polyester
7. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
8. 3M, High Intensity

Traffic Cones, 100-mm and 150-mm Sleeves

1. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
2. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight"
3. 3M Series 3840
4. Avery Dennison S-9000C

Drums

1. Avery Dennison WR-6100
2. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
3. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
4. 3M Series 3810

Barricades: Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)

1. Nippon Carbide Industries, CN8117
2. Avery Dennison, W 1100 series
3. 3M Series CW 44

Barricades: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)

1. Avery Dennison, W-2100 Series

Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)

1. Avery Dennison, T-2500 Series

2. Nippon Carbide Industries, Nikkalite 18000

Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)

1. Avery Dennison, T-5500A and T-6500 Series
2. Nippon Carbide Industries, Nikkalite Brand Ultralite Grade II
3. 3M 3870 and 3930 Series

Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)

1. Avery Dennison, T-6500 Series
2. Nippon Carbide Industries, Crystal Grade, 94000 Series
3. Nippon Carbide Industries, Model No. 94847 Fluorescent Orange
4. 3M Series 3930 and Series 3924S

Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive

1. Avery Dennison, WU-6014
2. Novabrite LLC, "Econobrite"
3. Reflexite "Vinyl"
4. Reflexite "SuperBright"
5. Reflexite "Marathon"
6. 3M Series RS20

Signs: Type VII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)

1. 3M Series 3924S, Fluorescent Orange
2. 3M LDP Series 3970

Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)

1. Avery Dennison, T-7500 Series
2. Avery Dennison, T-7511 Fluorescent Yellow
3. Avery Dennison, T-7513 Fluorescent Yellow Green
4. Avery Dennison, W-7514 Fluorescent Orange
5. Nippon Carbide Industries, Nikkalite Crystal Grade Series 92800
6. Nippon Carbide Industries, Nikkalite Crystal Grade Model 92847 Fluorescent Orange

Signs: Type IX, Very-High-Intensity (Typically Unmetallized Microprismatic Element)

1. 3M VIP Series 3981 Diamond Grade Fluorescent Yellow
2. 3M VIP Series 3983 Diamond Grade Fluorescent Yellow/Green
3. 3M VIP Series 3990 Diamond Grade
4. Avery Dennison T-9500 Series
5. Avery Dennison, T9513, Fluorescent Yellow Green
6. Avery Dennison, W9514, Fluorescent Orange
7. Avery Dennison, T-9511 Fluorescent Yellow

SPECIALTY SIGNS

1. Reflexite "Endurance" Work Zone Sign (with Semi-Rigid Plastic Substrate)

ALTERNATIVE SIGN SUBSTRATES

Fiberglass Reinforced Plastic (FRP) and Expanded Foam PVC

1. Fiber-Brite (FRP)
2. Sequentia, "Polyplate" (FRP)
3. Inteplast Group "InteCel" (13 mm for Post-Mounted CZ Signs, 1200 mm or less)(PVC)

Aluminum Composite, Temporary Construction Signs and Permanent Signs up to 1400 mm.

1. Alcan Composites "Dibond Material, 2 mm"
2. Mitsubishi Chemical America, Alpolic 350

8-1.03 STATE-FURNISHED MATERIALS

Attention is directed to Section 6-1.02, "State-Furnished Materials," of the Standard Specifications and these special provisions.

The following materials will be furnished to the Contractor:

A. Laminated wood box posts with metal caps for roadside signs.
B. Padlocks for walk gates, sound wall access gate.
C. Model 170 or 2070 controller assembly for traffic signal, ramp metering or CMS system, including controller unit, completely wired controller cabinet, and inductive loop detector sensor units.
D. Model 170 controller unit for other TOS systems.
E. Modems for traffic signal system
F Model 500 changeable message sign (CMS) panels including wiring harnesses and control isolation assembly (CIA).
G. Highway advisory radio antenna and lightning arrestor.

Completely wired controller cabinets, with auxiliary equipment but without controller unit, will be furnished to the Contractor at Caltrans Maintenance Yard at 30 Rickard Street, San Francisco, CA 94134.

Model 500 changeable message sign, wiring harness, and controller assembly, including the controller unit and completely wired cabinet, will be furnished to the Contractor at 30 Rickard Street, San Francisco, CA 94134, (415) 330-6509.

The Contractor shall notify the District Warehouse Manager, Telephone 510-231-7133 and the Engineer not less than 48 hours before State-furnished material is to be picked up by the Contractor. A full description of the material and the time the material will be picked up shall be provided. The contract number shall also be provided to the District Warehouse Manager.

8-1.04 ENGINEERING FABRICS

Engineering fabrics shall conform to the provisions in Section 88, "Engineering Fabrics," of the Standard Specifications and these special provisions.

Filter fabric for this project shall be ultraviolet (UV) ray protected.

SECTION 8-2. CONCRETE

8-2.01 PORTLAND CEMENT CONCRETE

Portland cement concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

The Department maintains a list of sources of fine and coarse aggregate that have been approved for use with a reduced amount of supplementary cementitious material in the total amount of cementitious material to be used. A source of aggregate will be considered for addition to the approved list if the producer of the aggregate submits to the Transportation Laboratory certified test results from a qualified testing laboratory that verify the aggregate complies with the requirements. Before the testing starts, the aggregate test shall be registered with the Department. A registration number can be obtained by calling (916) 227-7228. The registration number shall be used as the identification for the aggregate sample in correspondence with the Department. Upon request, a split of the tested sample shall be provided to the Department. Approval of aggregate will depend upon compliance with the specifications, based on the certified test results submitted, together with any replicate testing the Department may elect to perform. Approval will expire 3 years from the date the most recent registered and evaluated sample was collected from the aggregate source.

Qualified testing laboratories shall conform to the following requirements:

1. Laboratories performing ASTM Designation: C 1293 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Concrete Proficiency Sample Program and shall have received a score of 3 or better on each test of the previous 2 sets of concrete samples.
2. Laboratories performing ASTM Designation: C 1260 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Pozzolan Proficiency Sample Program and shall have received a score of 3 or better on the shrinkage and soundness tests of the previous 2 sets of pozzolan samples.

Aggregates on the list shall conform to one of the following requirements:

1. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1293, the average expansion at one year shall be less than or equal to 0.040 percent; or

2. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1260, the average of the expansion at 16 days shall be less than or equal to 0.15 percent.

If the aggregates used in the concrete are on the Department's list, the minimum amount of supplementary cementitious material shall conform to the following:

1. If fly ash or natural pozzolan conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 15 percent by mass of the total cementitious material; or
2. If silica fume conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 7 percent by mass of the total cementitious material.

The limitation on tricalcium silicate (C_3S) content in Type II cement specified in Section 90-2.01A, "Cement," of the Standard Specifications shall not apply.

8-2.02 PRECAST CONCRETE QUALITY CONTROL

GENERAL

Precast concrete quality control shall conform to these special provisions.

Unless otherwise specified, precast concrete quality control shall apply when any precast concrete members are fabricated in conformance with the provisions in Section 49, "Piling," or Section 51, "Concrete Structures," of the Standard Specifications.

Quality Control (QC) shall be the responsibility of the Contractor. The Contractor's QC inspectors shall perform inspection and testing prior to precasting, during precasting, and after precasting, and as specified in this section and additionally as necessary to ensure that materials and workmanship conform to the details shown on the plans and specifications.

Quality Assurance (QA) is the prerogative of the Engineer. Regardless of the acceptance for a given precast element by the Contractor, the Engineer will evaluate the precast element. The Engineer will reject any precast element that does not conform to the approved Precast Concrete Quality Control Plan (PCQCP), the details shown on the plans, and these special provisions.

The Contractor shall designate in writing a precast Quality Control Manager (QCM) for each precasting facility. The QCM shall be responsible directly to the Contractor for the quality of precasting, including materials and workmanship, performed by the Contractor and all subcontractors. The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall not be employed or compensated by any subcontractor, or other persons or entities hired by subcontractors, or suppliers, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Prior to submitting the PCQCP required herein, a meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing precast concrete operations for this project, shall be held to discuss the requirements for precast quality control.

QC Inspectors shall either be 1) licensed as Civil Engineers in the State of California, or 2) have a current Plant Quality Personnel Certification, Level II, from the Precast/Prestressed Concrete Institute. A QC Inspector shall witness all precast concrete operations.

PRECAST CONCRETE QUALIFICATION AUDIT

Unless otherwise specified, no Contractors or subcontractors performing precast concrete operations for the project shall commence work without having successfully completed the Department's Precast Fabrication Qualification Audit, hereinafter referred to as the audit. The Engineer will perform the audit, and copies of the audit form, along with procedures for requesting and completing the audit, are available at the Transportation Laboratory or the following website:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

An audit that was previously approved by the Engineer no more than three years prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the audit is for the same type of work that is to be performed on this contract.

Successful completion of an audit shall not relieve the Contractor of the responsibility for furnishing materials or producing finished work of the quality specified in these special provisions and as shown on the plans.

PRECAST CONCRETE QUALITY CONTROL PLAN

Prior to performing any precasting operations, the Contractor shall submit to the Engineer, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications, 3 copies of a separate PCQCP for each item of work which is to be precast. A separate PCQCP shall be submitted for each facility. As a minimum, each PCQCP shall include the following:

A. The name of the precasting firm, the concrete plants to be used, and any concrete testing firm to be used;
B. A manual prepared by the precasting firm that includes equipment, testing procedures, safety plan, and the names, qualifications, and documentation of certifications for all personnel to be used;
C. The name of the QCM and the names, qualifications, and documentation of certifications for all QC inspection personnel to be used;
D. An organizational chart showing all QC personnel and their assigned QC responsibilities;
E. The methods and frequencies for performing all required quality control procedures, including all inspections, material testing, and any required survey procedures for all components of the precast elements including prestressing systems, concrete, grout, reinforcement, steel components embedded or attached to the precast member, miscellaneous metal, and formwork;
F. A system for identification and tracking of required precast element repairs, and a procedure for the re-inspection of any repaired precast element. The system shall have provisions for a method of reporting nonconforming precast elements to the Engineer; and
G. Forms to be used for Certificates of Compliance, daily production logs, and daily reports.

The Engineer shall have 4 weeks to review the PCQCP submittal after a complete plan has been received. No precasting shall be performed until the PCQCP is approved in writing by the Engineer.

A PCQCP that was previously approved by the Engineer no more than one year prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the PCQCP is for the same type of work that is to be performed on this contract.

An amended PCQCP or addendum shall be submitted to, and approved in writing by the Engineer, for any proposed revisions to the approved PCQCP. An amended PCQCP or addendum will be required for any revisions to the PCQCP, including but not limited to changes in concrete plants or source materials, changes in material testing procedures and testing labs, changes in procedures and equipment, changes in QC personnel, or updated systems for tracking and identifying precast elements. The Engineer shall have 2 weeks to complete the review of the amended PCQCP or addendum, once a complete submittal has been received. Work that is affected by any of the proposed revisions shall not be performed until the amended PCQCP or addendum has been approved.

After final approval of the PCQCP, amended PCQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of each of these approved documents.

It is expressly understood that the Engineer's approval of the Contractor's PCQCP shall not relieve the Contractor of any responsibility under the contract for the successful completion of the work in conformance with the requirements of the plans and specifications. The Engineer's approval shall neither constitute a waiver of any of the requirements of the plans and specifications nor relieve the Contractor of any obligation thereunder, and defective work, materials, and equipment may be rejected notwithstanding approval of the PCQCP.

REPORTING

The QC Inspector shall provide reports to the QCM on a daily basis for each day that precasting operations are performed.

A daily production log for precasting shall be kept by the QCM for each day that precasting operations, including setting forms, placing reinforcement, setting prestressing steel, casting, curing, post tensioning, and form release, are performed. The log shall include the facility location, and shall include specific description of casting or related operations, any problems or deficiencies discovered, any testing or repair work performed, and the names of all QC personnel and the specific QC inspections they performed that day. The daily report from each QC Inspector shall also be included in the log. This daily log shall be available for viewing by the Engineer, at the precasting facility.

All reports regarding material tests and any required survey checks shall be signed by the person that performed the test or check, and then submitted directly to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures.

The Engineer shall be notified immediately in writing when any precasting problems or deficiencies are discovered and also of the proposed repair or process changes required to correct them. The Engineer shall have 4 weeks to review these procedures. No remedial work shall begin until the Engineer approves these procedures in writing.

The following items shall be included in a Precast Report that is to be submitted to the Engineer following the completion of any precast element:

A. Reports of all material tests and any required survey checks;
B. Documentation that the Contractor has evaluated all tests and corrected all rejected deficiencies, and all repairs have been re-examined with the required tests and found acceptable; and
C. Daily production log.

At the completion of any precast element, and if the QCM determines that element is in conformance with these special provisions, the QCM shall sign and furnish to the Engineer, a certificate of compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. This certificate of compliance shall be submitted with the Precast Report. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans and the provisions of the Standard Specifications and these special provisions.

PAYMENT

In the event the Engineer fails to complete the review of 1) a PCQCP, 2) an amended PCQCP or addendum, or 3) a proposed repair or process change, within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

All required repair work or process changes required to correct precasting operation deficiencies, whether discovered by the QCM, QC Inspector, or by the Engineer, and any associated delays or expenses to the Contractor caused by performing these repairs, shall be at the Contractor's expense.

Full compensation for conforming to the requirements of this section shall be considered as included in the contract prices paid for the various items of work involved, and no additional compensation will be allowed therefor.

SECTION 8-3. WELDING

8-3.01 WELDING

GENERAL

Flux cored welding electrodes conforming to the requirements of AWS A5.20 E6XT-4 or E7XT-4 shall not be used to perform welding for this project.

Wherever reference is made to the following AWS welding codes in the Standard Specifications, on the plans, or in these special provisions, the year of adoption for these codes shall be as listed:

AWS Code	Year of Adoption
D1.1	2006
D1.4	2005
D1.5	2002
D1.6	1999

Requirements of the AWS welding codes shall apply unless otherwise specified in the Standard Specifications, on the plans, or in these special provisions. Wherever the abbreviation AWS is used, it shall be equivalent to the abbreviations ANSI/AWS or AASHTO/AWS.

Section 6.1.1.1 of AWS D1.5 is replaced with the following:

Quality Control (QC) shall be the responsibility of the Contractor. As a minimum, the Contractor shall perform inspection and testing of each weld joint prior to welding, during welding, and after welding as specified in this section and as necessary to ensure that materials and workmanship conform to the requirements of the contract documents.

Unless otherwise specified, Sections 6.1.3 through 6.1.4.3 of AWS D1.1, Section 7.1.2 of AWS D1.4, and Sections 6.1.1.2 through 6.1.3.3 of AWS D1.5 are replaced with the following:

The QC Inspector shall be the duly designated person who acts for and on behalf of the Contractor for inspection, testing, and quality related matters for all welding.

Quality Assurance (QA) is the prerogative of the Engineer. The QA Inspector is the duly designated person who acts for and on behalf of the Engineer.

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship, and shall be currently certified as an AWS Certified Welding Inspector (CWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors."

The QC Inspector may be assisted by an Assistant QC Inspector provided that this individual is currently certified as an AWS Certified Associate Welding Inspector (CAWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors." The Assistant QC Inspector may perform inspection under the direct supervision of the QC Inspector provided the assistant is always within visible and audible range of the QC Inspector. The QC Inspector shall be responsible for signing all reports and for determining if welded materials conform to workmanship and acceptance criteria. The ratio of QC Assistants to QC Inspectors shall not exceed 5 to 1.

When the term "Inspector" is used without further qualification, it shall refer to the QC Inspector.

When any work is welded in conformance with the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications, not including Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications, Section 6.1.4 of AWS D1.1 is replaced with the following:

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship and shall be currently certified as an AWS CWI in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors," or as a Welding Inspector Specialist (WIS) in conformance with the requirements in AWS B5.2, "Specification for the Qualification of Welding Inspector Specialists and Welding Inspector Assistants."

Section 6.14.6, "Personnel Qualification," of AWS D1.1, Section 7.8, "Personnel Qualification," of AWS D1.4, and Section 6.1.3.4, "Personnel Qualification," of AWS D1.5 are replaced with the following:

Personnel performing nondestructive testing (NDT) shall be qualified and certified in conformance with the requirements of the American Society for Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A and the Written Practice of the NDT firm. The Written Practice of the NDT firm shall meet or exceed the guidelines of the ASNT Recommended Practice No. SNT-TC-1A. Individuals who perform NDT, review the results, and prepare the written reports shall be either:

A. Certified NDT Level II technicians, or;
B. Level III technicians who hold a current ASNT Level III certificate in that discipline and are authorized and certified to perform the work of Level II technicians.

Section 6.5.4 of AWS D1.5 is replaced with the following:

The QC Inspector shall inspect and approve each joint preparation, assembly practice, welding technique, joint fit-up, and the performance of each welder, welding operator, and tack welder to make certain that the applicable requirements of this code and the approved Welding Procedure Specification (WPS) are met. The QC Inspector shall examine the work to make certain that it meets the requirements of Sections 3 and 6.26. The size and contour of all welds shall be measured using suitable gages. Visual inspection for cracks in welds and base metal, and for other discontinuities shall be aided by strong light, magnifiers, or such other devices as may be helpful. Acceptance criteria different from those specified in this code may be used when approved by the Engineer.

Section 6.6.5, "Nonspecified NDT Other than Visual," of AWS D1.1, Section 7.6.5 of AWS D1.4 and Section 6.6.5 of AWS D1.5 shall not apply.

For any welding, the Engineer may direct the Contractor to perform NDT that is in addition to the visual inspection or NDT specified in the AWS or other specified welding codes, in the Standard Specifications, or in these special provisions. Except as provided for in these special provisions, additional NDT required by the Engineer, and associated repair work, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. Prior to release of welded material by the Engineer, if testing by NDT methods other than those originally specified discloses an attempt to defraud or reveals a gross nonconformance, all costs associated with the repair of the deficient area, including NDT of the weld and of the repair, and any delays caused by the repair, shall be at the Contractor's expense. A gross nonconformance is defined as the sum of planar type rejectable indications in more than 20 percent of the tested length.

When less than 100 percent of NDT is specified for any weld, it is expected that the entire length of weld meet the specified acceptance-rejection criteria. Should any welding deficiencies be discovered by additional NDT directed or performed by the Engineer that utilizes the same NDT method as that originally specified, all costs associated with the repair

of the deficient area, including NDT of the weld and of the weld repair, and any delays caused by the repair, shall be at the Contractor's expense.

Repair work to correct welding deficiencies discovered by visual inspection directed or performed by the Engineer, and any associated delays or expenses caused to the Contractor by performing these repairs, shall be at the Contractor's expense.

The Engineer shall have the authority to verify the qualifications or certifications of any welder, QC Inspector, or NDT personnel to specified levels by retests or other means approved by the Engineer.

Inspection and approval of all joint preparations, assembly practices, joint fit-ups, welding techniques, and the performance of each welder, welding operator, and tack welder shall be documented by the QC Inspector on a daily basis for each day welding is performed. For each inspection, including fit-up, Welding Procedure Specification (WPS) verification, and final weld inspection, the QC Inspector shall confirm and document compliance with the requirements of the AWS or other specified code criteria and the requirements of these special provisions on all welded joints before welding, during welding, and after the completion of each weld.

In addition to the requirements specified in the applicable code, the period of effectiveness for a welder's or welding operator's qualification shall be a maximum of 3 years for the same weld process, welding position, and weld type. If welding will be performed without gas shielding, then qualification shall also be without gas shielding. Excluding welding of fracture critical members, a valid qualification at the beginning of work on a contract will be acceptable for the entire period of the contract, as long as the welder's or welding operator's work remains satisfactory.

In addition to the requirements of AWS D1.1, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

A. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.1, Section 4.1.1, shall be consistent for each pass in a weld joint and shall in no case vary by more than ±10 percent for travel speed, ±10 percent for amperage, and ±7 percent for voltage as measured from a predetermined target value or average within each weld pass. The travel speed shall in no case vary by more than ±15 percent when using submerged arc welding.
B. When a nonstandard weld joint is to be made using a combination of WPSs, a single test may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 4.5.

In addition to the requirements of AWS D1.5, Section 5.12 or 5.13, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

A. Unless considered prequalified, fillet welds shall be qualified in each position. The fillet weld soundness test shall be conducted using the essential variables of the WPS as established by the Procedure Qualification Record (PQR).
B. For qualification of joints that do not conform to Figures 2.4 and 2.5 of AWS D1.5, a minimum of two WPS qualification tests are required. The tests shall be conducted using both Figure 5.1 and Figure 5.3. The test conforming to Figure 5.1 shall be conducted in conformance with AWS D1.5, Section 5.12 or 5.13. The test conforming to Figure 5.3 shall be conducted using the welding electrical parameters that were established for the test conducted conforming to Figure 5.1. The ranges of welding electrical parameters established during welding per Figure 5.1 in conformance with AWS D1.5, Section 5.12, shall be further restricted according to the limits in Table 5.3 during welding per Figure 5.3.
C. Multiple zones within a weld joint may be qualified. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.5 Section 5.13 shall be consistent for each pass in a weld joint, and shall in no case vary by more than ±10 percent for travel speed, ±10 percent for amperage, and ±7 percent for voltage as measured from a predetermined target value or average within each weld pass or zone. The travel speed shall in no case vary by more than ±15 percent when using submerged arc welding.
D. For a WPS qualified in conformance with AWS D1.5 Section 5.13, the values to be used for calculating ranges for current and voltage shall be based on the average of all weld passes made in the test. Heat input shall be calculated using the average of current and voltage of all weld passes made in the test for a WPS qualified in conformance with Section 5.12 or 5.13.
E. Macroetch tests are required for WPS qualification tests, and acceptance shall be per AWS D1.5 Section 5.19.3.
F. When a nonstandard weld joint is to be made using a combination of WPSs, a test conforming to Figure 5.3 may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 5.3.
G. Prior to preparing mechanical test specimens, the PQR welds shall be inspected by visual and radiographic tests. Backing bar shall be 75 mm in width and shall remain in place during NDT testing. Results of the visual and radiographic tests shall comply with AWS D1.5 Section 6.26.2, excluding Section 6.26.2.2. Test plates that do not comply with both tests shall not be used.

WELDING QUALITY CONTROL

Welding quality control shall conform to the requirements in the AWS or other specified welding codes, the Standard Specifications, and these special provisions.

Unless otherwise specified, welding quality control shall apply when any work is welded in conformance with the provisions in Section 49, "Piling," Section 52, "Reinforcement," Section 55, "Steel Structures," or Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications.

All welding will require inspection by the Engineer. The Contractor shall request inspection at least 3 working days prior to the beginning of welding for locations within California and 5 working days for locations outside of California. The Contractor shall request inspection at:

http://www.dot.ca.gov/hq/esc/Translab/smbforms.htm

Continuous inspection shall be provided when any welding is being performed. Continuous inspection, as a minimum, shall include having a QC Inspector within such close proximity of all welders or welding operators so that inspections by the QC Inspector of each welding operation at each welding location does not lapse for a period exceeding 30 minutes.

When joint weld details that are not prequalified to the details of Section 3 of AWS D1.1 or to the details of Figure 2.4 or 2.5 of AWS D1.5 are proposed for use in the work, the joint details, their intended locations, and the proposed welding parameters and essential variables, shall be approved by the Engineer. The Contractor shall allow the Engineer 2 weeks to complete the review of the proposed joint detail locations. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. Upon approval of the proposed joint detail locations and qualification of the proposed joint details, welders and welding operators using these details shall perform a qualification test plate using the WPS variables and the joint detail to be used in production. The test plate shall have the maximum thickness to be used in production and a minimum length of 460 mm. The test plate shall be mechanically and radiographically tested. Mechanical and radiographic testing and acceptance criteria shall be as specified in the applicable AWS codes.

The Engineer will witness all qualification tests for WPSs that were not previously approved by the Department. Unless otherwise specified, an approved independent third party will witness the qualification tests for welders or welding operators. The independent third party shall be a current CWI and shall not be an employee of the contractor performing the welding. The Contractor shall allow the Engineer 2 weeks to review the qualifications and copy of the current certification of the independent third party. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. The Contractor shall notify the Engineer one week prior to performing any qualification tests. Witnessing of qualification tests by the Engineer shall not constitute approval of the intended joint locations, welding parameters, or essential variables.

The Contractor shall designate in writing a welding Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for the quality of welding, including materials and workmanship, performed by the Contractor and subcontractors.

The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, reviewing, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall be a registered professional engineer or shall be currently certified as a CWI.

Unless the QCM is hired by a subcontractor providing only QC services, the QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for the following conditions:

A. The work is welded in conformance with AWS D1.5 and is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program, Category Cbr, Major Steel Bridges and Fracture Critical endorsement F, when applicable.
B. The welding is performed on pipe pile material at a permanent pipe manufacturing facility authorized to apply the American Petroleum Institute (API) monogram for API 5L pipe.

For welding performed at such facilities, the inspection personnel or NDT firms may be employed or compensated by the facility performing the welding provided the facility maintains a QC program that is independent from production.

Prior to submitting the Welding Quality Control Plan (WQCP) required herein, a prewelding meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing welding or inspection for this project, shall be held to discuss the requirements for the WQCP.

The Contractor shall submit to the Engineer, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications, 2 copies of a separate WQCP for each subcontractor or supplier for each item of work for which welding is to be performed.

The Contractor shall allow the Engineer 2 weeks to review the WQCP submittal after a complete plan has been received. No welding shall be performed until the WQCP is approved in writing by the Engineer. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

An amended WQCP or any addendum to the approved WQCP shall be submitted to, and approved in writing by the Engineer, for proposed revisions to the approved WQCP. An amended WQCP or addendum will be required for revisions to the WQCP, including but not limited to a revised WPS; additional welders; changes in NDT firms, QC, or NDT personnel or procedures; or updated systems for tracking and identifying welds. The Engineer shall have one week to complete the review of the amended WQCP or addendum. Work affected by the proposed revisions shall not be performed until the amended WQCP or addendum has been approved. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Information regarding the contents, format, and organization of a WQCP, is available at the Transportation Laboratory and at:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

After final approval of the WQCP, amended WQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of the approved documents. A copy of the Engineer approved document shall be available at each location where welding is to be performed.

A daily production log for welding shall be kept for each day that welding is performed. The log shall clearly indicate the locations of all welding. The log shall include the welders' names, amount of welding performed, any problems or deficiencies discovered, and any testing or repair work performed, at each location. The daily report from each QC Inspector shall also be included in the log.

The following items shall be included in a Welding Report that is to be submitted to the Engineer within 2 weeks following the performance of any welding:

A. A daily production log.
B. Reports of all visual weld inspections and NDT.
C. Radiographs and radiographic reports, and other required NDT reports.
D. A summary of welding and NDT activities that occurred during the reporting period.
E. Reports of each application of heat straightening.
F. A summarized log listing the rejected lengths of weld by welder, position, process, joint configuration, and piece number.
G. Documentation that the Contractor has evaluated all radiographs and other nondestructive tests and corrected all rejectable deficiencies, and that all repaired welds have been reexamined using the required NDT and found acceptable.

The following information shall be clearly written on the outside of radiographic envelopes: name of the QCM, name of the nondestructive testing firm, name of the radiographer, date, contract number, complete part description, and all included weld numbers, report numbers, and station markers or views, as detailed in the WQCP. In addition, all interleaves shall have clearly written on them the part description and all included weld numbers and station markers or views, as detailed in the WQCP. A maximum of 2 pieces of film shall be used for each interleave.

Reports of all visual inspections and NDT shall be signed by the inspector or technician and submitted daily to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures. Reports of all NDT, whether specified, additional, or informational, performed by the Contractor shall be submitted to the Engineer.

The Engineer will review the Welding Report to determine if the Contractor is in conformance with the WQCP. Unless otherwise specified, the Engineer shall be allowed 2 weeks to review the report and respond in writing after the complete Welding Report has been received. Prior to receiving notification from the Engineer of the Contractor's conformance with the WQCP, the Contractor may encase in concrete or cover welds for which the Welding Report has been submitted. However, should the Contractor elect to encase or cover those welds prior to receiving notification from the Engineer, it is expressly understood that the Contractor shall not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase or cover welds pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

In addition to the requirements in AWS D1.1 and AWS D1.5, second-time excavations of welds or base metal to repair unacceptable discontinuities, regardless of NDT method, and all repairs of cracks require prior approval of the Engineer.

The Engineer shall be notified immediately in writing when welding problems, deficiencies, base metal repairs, or any other type of repairs not submitted in the WQCP are discovered, and also of the proposed repair procedures to correct them. For requests to perform second-time repairs or repairs of cracks, the Contractor shall include an engineering evaluation of the proposed repair. The engineering evaluation, at a minimum, shall address the following:

A. What is causing each defect?
B. Why the repair will not degrade the material properties?
C. What steps are being taken to prevent similar defects from happening again?

The Contractor shall allow the Engineer one week to review these procedures. No remedial work shall begin until the repair procedures are approved in writing by the Engineer. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The QCM shall sign and furnish to the Engineer, a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each item of work for which welding was performed. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans, the Standard Specifications, and these special provisions.

WELDING FOR OVERHEAD SIGN AND POLE STRUCTURES

The Contractor shall meet the following requirements for any work welded in conformance with the provisions in Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for when the welding is performed at a permanent fabrication or manufacturing facility which is certified under the AISC Quality Certification Program, Category Sbd, Conventional Steel Building Structures.

Welding Qualification Audit

Contractors or subcontractors performing welding operations for overhead sign and pole structures shall not deliver materials to the project without having successfully completed the Department's "Manufacturing Qualification Audit for Overhead Sign and Pole Structures," hereinafter referred to as the audit, not more than one year prior to the delivery of the materials. The Engineer will perform the audit. Copies of the audit form, and procedures for requesting and completing the audit, are available at the Transportation Laboratory or at:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

An audit that was approved by the Engineer no more than one year prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the audit was for the same type of work that is to be performed on this contract.

Successful completion of an audit shall not relieve the Contractor of the responsibility for furnishing materials or producing finished work of the quality specified in these special provisions and as shown on the plans.

Welding Report

For work welded in conformance with the provisions in Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications, a Welding Report shall be submitted in conformance with the provisions in "Welding Quality Control" of these special provisions.

PAYMENT

Full compensation for conforming to the requirements of "Welding" shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

SECTION 9. DESCRIPTION OF BRIDGE WORK

The bridge work to be done consists, in general, of constructing the following structures as shown on the plans.

RAILROAD AVENUE UNDERCROSSING (REPLACE)
(Bridge Number 20-0289)

A stage-constructed single-span cast-in-place prestressed concrete box girder structure approximately 53 meters long and 35 meters wide.

SIERRA AVENUE UNDERCROSSING (WIDEN)
(Bridge Number 20-0167)

A stage-constructed median and east side widening of existing three-span cast-in-place reinforced concrete tee beam structures approximately 37 meters long and with a total final width of approximately 35 meters, and including masonry block sound wall construction.

ROUTE 101/116 SEPARATION (REPLACE)
(Bridge Number 20-0290)

A stage-constructed single-span cast-in-place prestressed concrete box girder structure approximately 49 meters long and 35 meters wide.

LAGUNA DE SANTA ROSA (WIDEN)
(Bridge Number 20-0016)

A stage-constructed cast-in-place reinforced concrete double box culvert with spans of approximately 3 meters and a height of approximately 2 meters.

COPELAND CREEK (WIDEN)
(Bridge Number 20-0015)

A stage-constructed cast-in-place reinforced concrete structure utilizing cantilever retaining walls and center wall, roof and channel lining with a width of approximately 10 meters.

RETAINING WALL NUMBER 74
(Bridge Number 20E0048)

A mechanically stabilized embankment retaining wall approximately 549 meters long and with a maximum design height of approximately 7 meters.

RETAINING WALL NUMBER 98

Cast-in-place reinforced concrete cantilever retaining wall approximately 204 meters long and with a maximum design height of approximately 3.6 meters.

RETAINING WALL NUMBER 99

Cast-in-place reinforced concrete cantilever retaining wall approximately 58 meters long and with a maximum design height of approximately 4.2 meters.

SOUND WALL NUMBERS 80, 86A, 86B, 90 AND 93A and 93B PILE CAPS AND PILES

Pile-supported cast-in-place reinforced concrete pile caps supporting masonry block sound walls; the supported sound walls are approximately 4 meters high.

SECTION 10. CONSTRUCTION DETAILS

SECTION 10-1. GENERAL

10-1.00 CONSTRUCTION PROJECT INFORMATION SIGNS

Before any major physical construction work readily visible to highway users is started on this contract, the Contractor shall furnish and erect 2 Type 2 Construction Project Information signs at the locations designated by the Engineer.

The signs and overlays shall be of a type and material consistent with the estimated time of completion of the project and shall conform to the details shown on the plans.

The sign letters, border and the Department's construction logos shall conform to the colors (non-reflective) and details shown on the plans, and shall be on a white background (non-reflective). The colors blue and orange shall conform to PR Color Number 3 and Number 6, respectively, as specified in the Federal Highway Administration's Color Tolerance Chart.

The sign message to be used for fund types shall consist of the following, in the order shown:

FEDERAL HIGHWAY TRUST FUNDS
STATE HIGHWAY FUNDS
SONOMA COUNTY TRANSPORTATION MEASURE "M" FUNDS

The sign message to be used for type of work shall consist of the following:

HIGHWAY CONSTRUCTION

The sign message to be used for the Year of Completion of Project Construction will be furnished by the Engineer. The Contractor shall furnish and install the "Year" sign overlay within 10 working days of notification of the year date to be used.

The letter sizes to be used shall be as shown on the plans. The information shown on the signs shall be limited to that shown on the plans.

The signs shall be kept clean and in good repair by the Contractor.

Upon completion of the work, the signs shall be removed and disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13 of the Standard Specifications.

Full compensation for furnishing, erecting, maintaining, and removing and disposing of the construction project information signs shall be considered as included in the contract lump sum price paid for construction area signs and no additional compensation will be allowed therefor.

10-1.01 ORDER OF WORK

Order of work shall conform to the provisions in Section 5-1.05, "Order of Work," of the Standard Specifications and these special provisions.

Work within Copeland and Laguna De Santa Rosa Creeks shall be confined to the period of June 15 to October 15. Any temporary structures used for diversion/dewatering of Copeland and Laguna De Santa Rosa Creeks shall utilize clean gravel, water bladders, sand bags or other non-erodible material and shall be completely removed from the work area at project completion. Downstream flow of Copeland and Laguna De Santa Rosa Creeks shall be maintained at all times. Attention is directed to "Relations with California Department of Fish and Game," "Relations with the United States Fish and Wildlife Service," "Relations with U.S. Army Corps of Engineers," "Environmentally Sensitive Area" and "Compliance with Species Regulations," of these special provisions.

Refueling and maintenance of vehicles and staging areas shall be not less than 20 meters from riparian areas or water body.

Attention is directed to "Bird Protection," of these special provisions. The work performed from February 15 to August 31 is subject to the requirements of these special provisions.

Any area that is cold planed in a work period shall be paved with the first layer of asphalt concrete within the same work period before the area is opened to public traffic.

Prior to construction of sound walls, the Contractor shall positively field locate the existing utilities that are crossing under the sound walls and submit working drawings at least 30 days before the Contractor intends to begin the sound wall construction. These working drawings shall include layout plans and details for installation of the CIDH concrete piles, procedures, sequences, and features required to perform all aspects of sound wall construction in a safe and controlled manner to avoid damage the existing utilities. The working drawings shall conform to the requirements for bridge removal plans under "Bridge Removal" of these special provisions. Full compensation for locating the existing utilities and working drawings, and for conforming to the applicable requirements for bridge removal plans, shall be considered as included in the various items of work involved for construction of the sound walls and no additional compensation will be allowed therefor.

Attention is directed to "Slope Paving" of these special provisions regarding constructing a 1.2 m by 1.8 m test panel prior to placing the permanent slope paving.

Attention is directed to "Miscellaneous Concrete Construction" of these special provisions regarding constructing a 600 mm by 600 mm test panel prior to constructing curb ramps with detectable warning surfaces.

Attention is directed to "Environmentally Sensitive Area" and "Temporary Fence (Type ESA)" of these special provisions. Prior to beginning work, the boundaries of the Environmentally Sensitive Areas (ESA) shall be clearly delineated in the field. The boundaries shall be delineated by the installation of temporary fence (Type ESA).

Attention is directed to Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions, regarding mix proportions for concrete being determined by the Contractor.

Attention is directed to "Move-In/ Move-Outs (Erosion Control)" of these special provisions. Application of erosion control may require several move-in/ move-outs of erosion control equipment.

Attention is directed to "Erosion Control (Netting)" of these special provisions regarding the installation of erosion control (netting) prior to the start of erosion control (Type D) work.

The first order of work shall be to place the order for the electrical equipment. The Engineer shall be furnished a statement from the vendor that the order for the electrical equipment has been received and accepted by the vendor.

The uppermost layer of new pavement shall not be placed until all underlying conduits and loop detectors have been installed.

Prior to commencement of the traffic signal, ramp metering or traffic operation system (TOS) functional test at any location, all items of work related to signal control, ramp metering or traffic operation system (TOS) shall be completed and all roadside signs, pavement delineation, and pavement markings shall be in place at that location.

No overhead sign panel shall be displayed until the overhead sign lighting is completely operational.

No above ground electrical work shall be performed on any system within the project limits until all Contractor-furnished electrical materials for that individual system have been tested and delivered to the Contractor.

The Contractor shall notify the Engineer 20 days in advance of performing any work in the vicinity of existing Service Authority for Freeways and Expressways (SAFE) call boxes.

The Contractor shall notify the Engineer and PG&E, telephone (707) 577-7072 a minimum of 72 hours prior to performing any work in the vicinity of existing electrical and gas transmission and distribution lines

The Contractor shall notify the Engineer and the Sonoma County Water Agency (SCWA), telephone (707) 521-1872 a minimum of 72 hours prior to performing any work in the vicinity of the 1200 mm water pipeline located along the West Sierra Avenue, known as the Russian River Cotati Intertie pipeline.

The Contractor shall notify the Engineer and ATT, telephone (707) 575-2220 a minimum of 72 hours prior to performing any work in the vicinity of Retaining Walls 98 and 99.

The Contractor shall notify the Engineer and the City of Cotati, telephone (707) 665-3620 a minimum of 72 hours prior to performing any work on 150 mm Sanitary Sewer Line located at "GSN" 93+36, Retaining Wall 98 and 99, Soundwalls 80, 86A, 86B, 90 AND 93A and 93B and Fire hydrant on Route 116.

The Contractor shall notify the Engineer and the City of Rohnert Park, telephone (707) 588-2243 a minimum of 72 hours prior to performing any work on Copeland Creek Bridge.

The Contractor shall maintain 24 hour continuous driveway access to the 76 Gas Station located on Route 116.

The Contractor shall provide a 5 working day advance written notice to all residences and businesses, within 150 meters of the Project Limits before affecting either pedestrian access, vehicular access, or interrupting utility services. Copies of the notices shall be submitted to the Engineer for approval, at least two working days prior to providing the notices to the residences and businesses.

Written notification to residences and businesses shall not be measured separately for payment but shall be considered as included in the various items of work and no additional compensation will be allowed therefor.

Existing traffic signal and street lighting systems shall be kept in operation for the benefit of the traveling public during progress of the work. Full compensation for complying with this requirements shall be considered as included in the contract prices paid for various items of work and no additional compensation will be allowed therefor.

Convenient access to driveways, houses, and buildings along the line of the work shall be provided and maintained in good condition. When the abutting property owner's access across the right-of-way line is to be eliminated, or to be replaced under the contract by the access facilities, the existing access shall not be closed until the replacement access facilities are usable.

Continuous driveway access from Route 116 to the 76 Gas Station located on Route 116 shall be maintained at all times,

The Contractor shall conduct construction operations as to offer the least possible obstruction and inconvenience to the public and shall have under construction no greater length or amount of work than can be prosecuted properly with due regard to the rights of the public.

Temporary railing (Type K) and temporary crash cushions shall be secured in place prior to commencing work for which the temporary railing and crash cushions are required.

Attention is directed to "Maintaining Traffic" and "Temporary Pavement Delineation" of these special provisions and to the stage construction sheets of the plans.

Attention is directed to "Progress Schedule (Critical Path Method)" of these special provisions regarding the submittal of a general time-scaled logic diagram within 10 days after approval of the contract. The diagram shall be submitted prior to performing any work that may be affected by any proposed deviations to the construction staging of the project.

The work shall be performed in conformance with the stages of construction shown on the plans. Nonconflicting work in subsequent stages may proceed concurrently with work in preceding stages, provided satisfactory progress is maintained in the preceding stages of construction.

In each stage, after completion of the preceding stage, the first order of work shall be the removal of existing pavement delineation as directed by the Engineer. Pavement delineation removal shall be coordinated with new delineation so that lane lines are provided at all times on traveled ways open to public traffic.

Before obliterating any pavement delineation (traffic stripes, pavement markings, and pavement markers) that is to be replaced on the same alignment and location, as determined by the Engineer, the pavement delineation shall be referenced by the Contractor, with a sufficient number of control points to reestablish the alignment and location of the new pavement delineation. The references shall include the limits or changes in striping pattern, including one- and 2-way barrier lines, limit lines, crosswalks and other pavement markings. Full compensation for referencing existing pavement delineation shall be considered as included in the contract prices paid for new pavement delineation and no additional compensation will be allowed therefor.

Prior to applying Hot Mix Asphalt (Type A), the Contractor shall cover all manholes, valve and monument covers, grates, or other exposed facilities located within the area of application, using a plastic or oil resistant construction paper secured to the facility being covered by tape or adhesive. The covered facilities shall be referenced by the Contractor, with a sufficient number of control points to relocate the facilities after the Hot Mix Asphalt (Type A) has been placed. After completion of the Hot Mix Asphalt (Type A) operation, all covers shall be removed and disposed of in a manner satisfactory to the Engineer. Full compensation for covering manholes, valve and monument covers, grates, or other exposed facilities, referencing, and removing temporary cover shall be considered as included in the contract price paid per tonne for Hot Mix Asphalt (Type A), and no additional compensation will be allowed therefor.

At the end of each working day if a difference in excess of 0.045 meter exists between the elevation of the existing pavement and the elevation of excavations within 2.4 m of the traveled way, material shall be placed and compacted against the vertical cuts adjacent to the traveled way. During excavation operations, native material may be used for this purpose; however, once placing of the structural section commences, structural material shall be used. The material shall be placed to the level of the elevation of the top of existing pavement and tapered at a slope of 1:4 (vertical:horizontal) or flatter to the bottom of the excavation. Treated base shall not be used for the taper. Full compensation for placing the material on a 1:4 slope, regardless of the number of times the material is required, and subsequent removing or reshaping of the material to the lines and grades shown on the plans shall be considered as included in the contract price paid for the materials involved and no additional compensation will be allowed therefor. No payment will be made for material placed in excess of that required for the structural section.

At those locations exposed to public traffic where guard railings or barriers are to be constructed, reconstructed, or removed and replaced, the Contractor shall schedule operations so that at the end of each working day there shall be no post holes open nor shall there be any railing or barrier posts installed without the blocks and rail elements assembled and mounted thereon.

Some plants required for this project may not be readily available and may have to be grown specifically for this project. Within 30 days after the contract has been approved, furnish to the Engineer a statement from the vendor that the order for the plants to be grown for this contract, including inspection plants and replacement plants, has been received and accepted by the vendor. The statement from the vendor must include the names, sizes, and quantities of plants ordered and the anticipated dates of delivery. Notify the Engineer in writing when the vendor has started to grow the plants.

At least 60 days before planting the plants, furnish the Engineer a statement from the vendor that the order for the plants required for this contract, including inspection plants, has been received and accepted by the vendor. The statement from the vendor must include the names, sizes, and quantities of plants ordered and the anticipated date of delivery.

Place orders for replacement plants with the vendor at the appropriate time so that the roots of the replacement plants are not in a root-bound condition.

At least 60 days before applying seeds, furnish the Engineer a statement from the vendor that the order for the seed required for this contract has been received and accepted by the vendor. The statement from the vendor must include the names and quantity of seed ordered and the anticipated date of delivery.

The Engineer designates ground locations of erosion control by directing the placing of stakes or other suitable markers before application of erosion control materials as specified under "Erosion Control (Type D)," "Biofiltration Swales" or "Compost, Incorporate" of these special provisions.

Unless otherwise shown on the plans or specified in these special provisions, conduits to be jacked or drilled or installed by the open trench method for water line crossovers and sprinkler control crossovers must be installed before the installation of other pipe supply lines.

Do not perform clearing, grubbing, and earthwork operations in areas where existing irrigation facilities are to remain in place until existing irrigation facilities have been checked for proper operation as specified under "Existing Highway Irrigation Facilities" of these special provisions.

10-1.02 JACKING SUPERSTRUCTURE

Jacking superstructure shall consist of lowering the new Route 101/116 Separation (Replace), Bridge Number 20-0290, superstructure into its permanent location. The Contractor shall design, furnish, construct, monitor, maintain, and remove the jacking system as shown on the plans and in conformance with the provisions in these special provisions.

Construction sequence and application of jacking loads shall be as shown on the plans. Proposed changes to the construction sequence and application of jacking loads shall be subject to the Engineer's approval.

Jacking systems shall include temporary supports, jacking assemblies and appurtenant items necessary to jack and support the structures.

Attention is directed to the sections "Order of Work" and "Maintaining Traffic" of these special provisions regarding the construction sequences and the required openings in jacking systems for the use of public traffic.

Approval by the Engineer of the jacking system working drawings or jacking system inspection performed by the Engineer will in no way relieve the Contractor of full responsibility for the jacking system.

JACKING SYSTEM DESIGN AND DRAWINGS

The Contractor shall submit to the Engineer working drawings and design calculations for the jacking system, including any temporary supports and jacking assemblies. Such drawings and design calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California. The working drawings and design calculations shall conform to the requirements in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The number of sets of drawings and design calculations and times for review for temporary supports shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

In addition to the requirements in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications, the following requirements shall apply:

A. The Bridge Number and associated time to be provided for the Engineer's review of the working drawings for specific structures, or portions thereof, shall be as follows:

Structure or Portion of Structure	Review Time - Weeks
20-0290	8

Working drawings for any part of the temporary supports or jacking assemblies shall include stress sheets, anchor bolt layouts, shop details, and erection and removal plans.

The working drawings shall include descriptions and values of all loads, including construction equipment loads, descriptions of equipment to be used, complete details and calculations for jacking and supporting the structure, and descriptions of the displacement monitoring system. The displacement monitoring system shall include equipment to be used, location of control points, method and schedule of taking measurements, and shall also include provisions to jack the structure should settlement occur in the temporary supports or jacking system.

A redundant system of supports shall be provided during the entire jacking operation for backup should any of the jacks fail. The redundant system shall include stacks of steel plates added as necessary to maintain the redundant supports at each jack location within 6 mm of the jacking sill or corbels.

When footing type foundations are to be used the Contractor shall determine the bearing value of the soil and shall show the values assumed in the design of the jacking system on the jacking system drawings. Anticipated temporary support and jacking system foundation settlement shall be shown on the jacking system drawings.

When pile type foundations are to be used the jacking system drawings shall show the maximum horizontal distance that the top of a jacking system pile may be pulled in order to position it under its cap. The jacking system plans shall also show the maximum allowed deviation of the top of the pile, in its final position, from a vertical line through the point of fixity of the pile.

The Contractor may use the permanent piles as part of the jacking system foundation. Permanent piles shall not be moved or adjusted from the locations shown on the plans. Any use of the permanent piles and the loads imposed on them shall be shown on the jacking system drawings. Should the Contractor propose to provide piles longer than required for the work in order to support the jacking system above the elevation of the top of the footing and later cut off the piles at their final elevation shear devices adequate to transfer all pile reactions into the footing will be required.

Jacking system footings shall be designed to carry the load imposed upon them without exceeding the estimated soil bearing values and anticipated settlements.

Bracing shall be provided, as necessary, to withstand all imposed loads during erection and removal of the jacking system and any temporary supports. The jacking system drawings shall show provisions for such temporary bracing or methods to be used to conform to these requirements during each phase of erection and removal. Wind loads shall be included in the design of such bracing or methods. Wind loads shall conform to the applicable provisions in Section 51-1.06A(1), "Design Loads," of the Standard Specifications.

The jacking system design calculations shall show a summary of computed stresses in the (1) jacking system, including any temporary supports, (2) connections between the jacking system and any temporary supports and the existing structure, (3) connections between the jacking system and any temporary supports and the new structure, (4) existing load supporting members, and (5) new load supporting members. The computed stresses shall include the effect of the jacking sequence. The jacking system design calculations shall also include a lateral stiffness assessment of the jacking system and conform to the design values shown on the plans.

The design of the jacking system will not be approved unless it is based on the use of loads and conditions which are no less severe than those described in "Jacking System Design Criteria," of these special provisions and on the use of allowable stresses which are no greater than those described in Section 51-1.06A(2), "Design Stresses, Loadings, and Deflections," of the Standard Specifications.

If falsework loads are imposed on jacking systems the jacking systems shall also satisfy the deflection criteria described in Section 51-1.06A(2), "Design Stresses, Loadings, and Deflections," of the Standard Specifications.

JACKING SYSTEM DESIGN CRITERIA

The jacking system shall support the initial jacking loads and the minimum jacking system design loads and the minimum lateral design forces shown on the plans. The vertical design loads shall be adjusted for the weight of temporary supports and jacks, construction equipment loads and additional loads imposed by the Contractor's operations. The construction equipment loads shall be the actual weight of the construction equipment, but in no case shall be less than 960 N/m^2 of deck surface area of the frame involved. A frame is defined as the portion of the bridge between expansion joints.

The structure shall be mechanically connected to the jacking system. The jacking system shall be mechanically connected to its foundations. The mechanical connections shall be capable of resisting the lateral jacking system design forces. Friction forces developed between the structure and jacking system shall not be used to reduce the lateral forces and shall not be considered as an effective mechanical connection. The mechanical connections shall be designed to tolerate adjustments to the temporary support and jacking system frame throughout the use of the jacking system.

The jacking system shall be designed to support any increased or readjusted loads caused by the prestressing forces.

Manufactured Assemblies

Manufactured assemblies shall conform to the provisions in Section 51-1.06A(2), "Design Stresses, Loadings, and Deflections," of the Standard Specifications and these special provisions.

Each jack shall be equipped with either a pressure gage or a load cell for determining the jacking force. Pressure gages shall have an accurately reading dial at least 150 mm in diameter. Each jack shall be calibrated by a private laboratory approved by the Transportation Laboratory within 6 months prior to use and after each repair. Each jack and its gage shall be calibrated as a unit with the cylinder extension in the approximate position that it will be at final jacking force and shall be

accompanied by a certified calibration chart. Load cells shall be calibrated and provided with an indicator by which the jacking force is determined.

SPECIAL LOCATIONS

Attention is directed to Section 51-1.06A(3), "Special Locations," of the Standard Specifications. All reference to falsework in this section shall also apply to jacking systems.

JACKING SYSTEM CONSTRUCTION

Attention is directed to paragraphs 1 through 7 of Section 51-1.06B, "Falsework Construction," of the Standard Specifications. All reference to falsework in these paragraphs shall also apply to jacking systems.

Welding, welder qualification, and inspection of welding for all steel members shall conform to the requirements of ANSI/AASHTO/AWS D1.1.

Prior to proceeding with operations to lower the bridge superstructure an engineer for the Contractor who is registered as a Civil Engineer in the State of California shall inspect the jacking system, including jacking and displacement monitoring systems, for conformity with the working drawings. The Contractor's registered engineer shall certify in writing that the jacking system, including jacking and displacement monitoring systems, conform to the working drawings, and that the material and workmanship are satisfactory for the purpose intended. A copy of this certification shall be available at the site of the work at all times.

The Contractor's registered engineer shall be present at the bridge site at all times when jacking operations or adjustments are in progress. The Contractor's registered engineer shall inspect the jacking operation and report in writing on a daily basis the progress of the operation and the status of the structure. A copy of the daily report shall be available at the site of the work at all times. Should an unplanned event occur the Contractor's registered engineer shall submit immediately to the Engineer for approval the procedure or proposed operation to correct or remedy the occurrence.

The Contractor shall perform an initial survey as part of the displacement monitoring system to record the location of the structure prior to the commencement of any work. Two copies of the survey shall be signed by an engineer who is registered as a Civil Engineer in the State of California and submitted to the Engineer.

Vandal-resistant displacement monitoring equipment shall be provided and maintained. Vertical and horizontal displacements of the jacking system and the structure shall be monitored continuously during jacking operations and shall be accurately measured and recorded at least daily. As a minimum elevations shall be taken prior to the start of jacking operations, immediately after jacking is complete, and after the jacking system has been removed. As a minimum the structure shall be monitored at the abutments and at mid span. Control points at each location shall be located near the center and at both edges of the superstructure. The records of vertical and horizontal displacement shall be signed by an engineer who is registered as a Civil Engineer in the State of California and available to the Engineer at the jobsite during normal working hours, and a copy of the record shall be delivered to the Engineer at the completion of operations to lower the bridge.

A force equal to the initial jacking load or the dead load shown on the plans shall be applied to the structure by the jacking system and held until all initial compression and settlement of the system is completed before operations to lower the bridge are begun.

Jacking operations shall be carefully controlled and monitored to ensure that the jacking loads are applied simultaneously to prevent distortion and excessive stresses that would damage the structure. The superstructure shall be jacked as necessary to maintain the total vertical displacements at control points to less than 6 mm from the elevations recorded prior to jacking or as modified by the Engineer.

Should unanticipated displacements, cracking or other damage occur construction shall be discontinued until corrective measures satisfactory to the Engineer are performed. Damage to the structure as a result of the Contractor's operations shall be repaired by the Contractor in conformance with the provisions in Section 7-1.11, "Preservation of Property," of the Standard Specifications.

REMOVING JACKING SYSTEM

Attention is directed to Section 51-1.06C, "Removing Falsework," of the Standard Specifications. All references to falsework in this section shall also apply to jacking systems.

Attachments shall be removed from the structure and concrete surfaces restored to original conditions except where permanent alterations are shown on the plans.

PAYMENT

The contract lump sum price paid for jacking superstructure shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in designing, constructing, maintaining, and

removing the jacking system, including jacking the structure and monitoring displacements, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.03 WATER POLLUTION CONTROL

GENERAL

Water pollution control work shall conform to the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications, section of these special provisions entitled "Relations With California Regional Water Quality Control Board," and these special provisions.

The Contractor may obtain other National Pollutant Discharge Elimination System (NPDES) permits that apply to activities and mobile operations within or outside of the project limits including hot mix asphalt batch plants, material borrow areas, concrete plants, staging areas, storage yards, or access roads.

The Contractor shall perform water pollution control work in conformance with the requirements in the "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" and its addenda in effect on the day the Notice to Contractors is dated. This manual is referred to as the "Preparation Manual." Copies of the Preparation Manual may be obtained from:

State of California
Department of Transportation
Publication Distribution Unit
1900 Royal Oaks Drive
Sacramento, California 95815
Telephone: (916) 445-3520

The Preparation Manual and other references for performing water pollution control work are available from the Department's Construction Storm Water and Water Pollution Control web site at:

http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm

Before the start of job site activities, the Contractor shall provide training for project managers, supervisory personnel, and employees involved with water pollution control work. The training shall include:

A. Rules and regulations
B. Implementation and maintenance for:

    1. Temporary Soil Stabilization
    2. Temporary Sediment Control
    3. Tracking Control
    4. Wind Erosion Control

The Contractor shall designate in writing a Water Pollution Control Manager (WPCM). The Contractor shall submit a statement of qualifications describing the training, work history, and expertise of the proposed WPCM. The qualifications shall include either:

A. A minimum of 24 hours of Department approved storm water management training described at Department's Construction Storm Water and Water Pollution Control web site.
B. Certification as a Certified Professional in Erosion and Sediment Control (CPESC).

The WPCM shall be:

A. Responsible for water pollution control work.
B. The primary contact for water pollution control work.
C. Have authority to mobilize crews to make immediate repairs to water pollution control practices.

The Contractor may designate one manager to prepare the SWPPP and a different manager to implement the plan. The WPCP preparer shall meet the training requirements for the WPCM.

STORM WATER POLLUTION PREVENTION PLAN

The Contractor shall submit a Storm Water Pollution Prevention Plan (SWPPP) to the Engineer for approval. The SWPPP shall conform to the requirements in the Preparation Manual, the NPDES permit, and these special provisions. The SWPPP shall be submitted in place of the water pollution control program required by the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications.

The SWPPP shall include water pollution control practices:

A. For storm water and non-storm water from areas outside of the job site related to construction activities for this contract such as:

   1. Staging areas.
   2. Storage yards.
   3. Access roads.

B. Appropriate for each season as described in "Implementation Requirements" of these special provisions.
C. For activities or mobile operations related to all NPDES permits.

The SWPPP shall include a schedule that:

A. Describes when work activities that could cause water pollution will be performed.
B. Identifies soil stabilization and sediment control practices for disturbed soil area.
C. Includes dates when these practices will be 25, 50, and 100 percent complete.
D. Shows 100 percent completion of these practices before the rainy season.

The SWPPP shall include the following temporary water pollution control practices and their associated contract items of work as shown on the plans or specified in these special provisions:

A. Temporary Soil Stabilization

   1. Temporary Hydraulic Mulch (Bonded Fiber Matrix)
   2. Temporary Cover

B. Temporary Sediment Control

   1. Temporary Silt Fence
   2. Temporary Drainage Inlet Protection
   3. Temporary Fiber Roll
   4. Temporary Check Dam

C. Tracking Control

   1. Temporary Construction Entrance
   2. Street Sweeping

D. Wind Erosion Control

   1. Construction Site Management

E. Non-Storm Water Management

   1. Temporary Active Treatment System
   2. Construction Site Management

F Waste Management and Materials Pollution Control

   1. Temporary Concrete Washout Facility
   2. Construction Site Management

The SWPPP shall include the following contract items of work for permanent water pollution control as shown on the plans or as specified in these special provisions:

A. Drainage Inlet Protection
B. Fiber Rolls
C. Erosion Control (Type D)
D. Biofiltration swales
E. Erosion Control (Netting)
F. Move-in/Move-out (Erosion control)

Within 10 days after contract approval, the Contractor shall submit 3 copies of the SWPPP to the Engineer. The Contractor shall allow 20 days for the Engineer's review. If revisions are required, the Engineer will provide comments and specify the date that the review stopped. The Contractor shall revise and resubmit the SWPPP within 5 days of receipt of the Engineer's comments. The Engineer's review will resume when the complete SWPPP is resubmitted. When the Engineer approves the SWPPP, the Contractor shall submit 4 copies of the approved SWPPP to the Engineer. After approval, the Engineer will submit one copy of the approved SWPPP to the North Coast RWQCB for their review and comment. If the North Coast RWQCB provides comments to the SWPPP, the Contractor shall amend the SWPPP. Construction activities shall begin no sooner than 20 days after the Engineer approves the SWPPP. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, completion of the work is delayed or interfered with because of the Engineer's or the RWQCB's review, the Contractor will be compensated for resulting losses, and an extension of time will be granted, as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The SWPPP shall include a copy of the Fish & Game permits, US Army Corps of Engineers permits, RWQCB Water Quality Certification, and United States Fish and Wildlife Service Biological Opinion.

The Contractor shall not perform work that may cause water pollution until the SWPPP has been approved by the Engineer. The Engineer's review and approval shall not waive any contract requirements and shall not relieve the Contractor from complying with Federal, State and local laws, regulations, and requirements.

The Contractor shall amend the SWPPP annually and shall resubmit it to the Engineer 25 days before the defined rainy season.

If there is a change in construction schedule or activities, the Contractor shall prepare an amendment to the SWPPP to identify additional or revised water pollution control practices. The Contractor shall submit the amendment to the Engineer for review within a time agreed to by the Engineer not to exceed the number of days specified for the initial submittal of the SWPPP. The Engineer will review the amendment within the same time allotted for the review of the initial submittal of the SWPPP.

If directed by the Engineer or requested in writing by the Contractor and approved by the Engineer, changes to the water pollution control work specified in these special provisions will be allowed. Changes may include addition of new water pollution control practices. The Contractor shall incorporate these changes in the SWPPP. Additional water pollution control work will be paid for as extra work in accordance with Section 4-1.03D, "Extra Work," of the Standard Specifications.

The Contractor shall keep a copy of the approved SWPPP at the job site. The SWPPP shall be made available when requested by a representative of the Regional Water Quality Control Board, State Water Resources Control Board, United States Environmental Protection Agency, or the local storm water management agency. Requests from the public shall be directed to the Engineer.

SAMPLING AND ANALYSIS

The Contractor shall include a Sampling and Analysis Plan (SAP) in the SWPPP to monitor the effectiveness of the water pollution control practices. The Contractor shall prepare the SAP in conformance with the Preparation Manual.

The Contractor shall designate trained personnel to collect water quality samples. The personnel and training shall be documented in the SAP. Training shall consist of the following elements:

A. SAP review,
B. Health and safety review, and
C. Sampling simulations.

In the SAP the Contractor shall describe the following water quality sampling procedures:

A. Sampling preparation,
B. Collection,
C. Quality assurance and quality control,

D. Sample labeling,
E. Collection documentation,
F. Sample shipping,
G. Chain of custody,
H. Sample numbering, and
I. Precautions from the construction site health and safety plan.

The Contractor shall document sample collection during precipitation.

Samples to be analyzed in the field shall be taken by the Contractor's designated sampling personnel using collection and analysis methods, and equipment calibration specified by the manufacturer of the sampling equipment. Samples to be analyzed by a laboratory, shall be sampled, preserved, and analyzed by a State-certified laboratory in conformance with the requirements in 40 CFR Part 136, "Guidelines Establishing Test Procedures for the Analysis of Pollutants." The Contractor shall identify the State-certified laboratory, sample containers, preservation requirements, holding times, and analysis method in the SAP. A list of State-certified laboratories that are approved by the Department is available at:

http://www.dhs.ca.gov/ps/ls/ELAP/html/lablist.htm

Pollutants of Concern

This project discharges directly into Laguna De Santa Rosa and Copeland Creek.

The Contractor shall implement the SAP during the rainy season between October 15 and April 15. The Contractor shall describe in the SAP the schedule and strategy for monitoring pollutants of concern in these bodies of water. The Contractor shall on a weekly basis, and during storm events that generate visible runoff from the project site:

A. Take photographs and document visual observations of Copeland Creek and/or Laguna de Santa Rosa
B. Collect samples at upstream and downstream locations described elsewhere in this section and analyze them for:

    1. Turbidity under EPA Test Method 180.12. Settleable solids under EPA Test Method 160.53. Total suspended solids (TSS) under EPA Test Method 160.2; or for suspended sediment concentration under ASTM Designation: D 39774. Measurement for pH

C. Prepare a weekly report documenting the observations and analysis results.

The SAP shall include all water quality sampling and testing requirements specified in the Regional Water Quality Control Board 401 Certification.

The Contractor shall develop the SAP schedule so that water quality samples are taken within 2 hours of discharge from precipitation during daylight hours (sunrise to sunset), regardless of the time of year, day of the week, or condition of the construction site. If precipitation occurs again after at least 72 hours of dry weather the Contractor shall take new samples, however, sampling will not be required more than 4 times in 30 days.

In the SAP the Contractor shall identify the locations where runoff sources on the construction site discharge directly into the listed body of water, and the locations where water flows onto the project with the potential to combine with runoff that discharges directly into the listed body of water. These locations shall also be shown on the SWPPP Water Pollution Control Drawings.

The Contractor shall identify locations for collecting water quality samples and the reason for their selection. Sampling locations shall also be shown on the SWPPP Water Pollution Control Drawings. The sampling locations shall include:

A. Within from 3 to 4.5 meters upstream of the discharge point to determine background turbidity. If other construction activity is being performed, measure at least 45 meters upstream of the discharge point.
B. Within 4.5 meters downstream of the discharge point, and
C. Immediately downhill from the locations where water flows onto the right of way.

The receiving water limitations for Laguna De Santa Rosa and Copeland Creek are exceeded when:

A. Turbidity measurements are 20% or more above background.
B. Measurements for pH are outside of the 6.5-8.5 range at a point 4.5 meters or more downstream of the discharge point.

If receiving water limitations are exceeded, then:

A. Measure the distance from the discharge point to the downstream extent of the increased turbidity, pH, or both.
B. Photograph the receiving waters upstream, downstream, and within working and disturbed areas.
C. Increase frequency of monitoring to hourly during periods of increased turbidity until measurements demonstrate compliance with receiving water limitations.

Non-Visible Pollutants

This project has the potential to discharge non-visible pollutants in storm water from the construction site. The Contractor shall include in the SAP a description of the sampling and analysis strategy to be implemented on the project for monitoring non-visible pollutants.

In the SAP the Contractor shall identify potential non-visible pollutants that will be present on the construction site associated with the following:

A. Construction materials and wastes;
B. Existing contamination due to historical site usage; or
C. Application of soil amendments, including soil stabilization products, with the potential to alter pH or contribute toxic pollutants to storm water.

The Contractor shall show the locations planned for storage and use of the potential non-visible pollutants on the SWPPP Water Pollution Control Drawings.

The Contractor shall include in the SAP the following list of conditions that require sampling when observed during a storm water inspection:

A. Materials or wastes containing potential non-visible pollutants are not stored under watertight conditions.
B. Materials or wastes containing potential non-visible pollutants are stored under watertight conditions, but:

    1. A breach, leakage, malfunction, or spill is observed;
    2. The leak or spill has not been cleaned up before precipitation; and
    3. There is the potential for discharge of non-visible pollutants to surface waters or drainage system.

C. Construction activities; such as application of fertilizer, pesticide, herbicide, methyl methacrylate concrete sealant, or non-pigmented curing compound; have occurred during precipitation or within 24 hours preceding precipitation, and have the potential to discharge pollutants to surface waters or drainage system.
D. Soil amendments, including soil stabilization products, with the potential to alter pH levels or contribute toxic pollutants to storm water runoff have been applied, and have the potential to discharge pollutants to surface waters or drainage system (unless independent test data are available that demonstrate acceptable concentrations of non-visible pollutants in the soil amendment).
E. Storm water runoff from an area contaminated by historical usage of the site has the potential to discharge pollutants to surface waters or drainage system.

The Contractor shall describe in the SAP the schedule for collecting a sample downhill from each non-visible pollutant source and an uncontaminated control sample, during the first 2 hours of discharge from precipitation during daylight hours that result in enough discharge for sample collection. If discharge flows to the non-visible pollutant source, a sample shall be collected immediately downhill from where the discharge enters the Department's right of way. If precipitation occurs again after at least 72 hours of dry weather the Contractor shall take new samples.

In the SAP the Contractor shall identify sampling locations for collecting downstream and control samples, and the reason for their selection. The control sampling location shall be selected so the sample does not come into contact with materials, wastes or areas associated with potential non-visible pollutants or disturbed soil areas. The Contractor shall show non-visible pollutant sampling locations on the SWPPP Water Pollution Control Drawings.

The Contractor shall identify in the SAP the analytical method to be used for downhill and control samples for potential non-visible pollutants on the project.

Analytical Results and Evaluation

The Contractor shall submit a hard copy and electronic copy of water quality analytical results, and quality assurance and quality control data to the Engineer within 5 days of sampling for field analyses, and within 30 days for laboratory analyses.

The Contractor shall also provide an evaluation of whether the downhill samples show levels of the tested parameter higher than in the control sample. If downhill or downstream samples show increased levels or show exceedances above the receiving water limitations described in this section, the Contractor will assess the water pollution control measures, site conditions, and surrounding influences to determine the probable cause for the increase. As determined by the assessment, the Contractor will repair or modify water pollution control measures to address increases and amend the SWPPP as necessary. The Contractor shall document the assessment of water pollution control measures and any repairs or modifications to them in the Weekly Monitoring Report and the Monthly Monitoring Report submitted to the Engineer.

Electronic results (in one of the following file formats: .xls, .txt, .csv, .dbs, or .mdb) shall have the following information:

A. Sample identification number.
B. Contract number.
C. Constituent.
D. Reported value.
E. Analytical method.
F. Method detection limit.
G. Reported limit.

The Contractor shall maintain the water quality sampling documentation and analytical results with the SWPPP on the project site.

Calibration logs for all field monitoring equipment shall be maintained and be available to the Engineer or RWQCB upon request.

If construction activities or knowledge of site conditions change such that discharges or sampling locations change, the Contractor shall amend the SAP in conformance with this section, "Water Pollution Control."

IMPLEMENTATION REQUIREMENTS

The Contractor's responsibility for SWPPP implementation shall continue throughout any temporary suspension of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications.

If the Contractor or the Engineer identifies a deficiency in the implementation of the approved SWPPP, the deficiency shall be corrected immediately, unless an agreed date for correction is approved in writing by the Engineer. The deficiency shall be corrected before the onset of precipitation. If the Contractor fails to correct the deficiency by the agreed date or before the onset of precipitation, the Department may correct the deficiency and deduct the cost of correcting deficiencies from payments.

If the Contractor fails to conform to the provisions of this section, "Water Pollution Control," the Engineer may order the suspension of work until the project complies with the requirements of this section.

The Contractor shall construct permanent water pollution control items identified in the SWPPP as specified in "Order of Work" of these special provisions. The Contractor shall maintain the permanent water pollution control items in the locations and condition shown on the plans throughout the duration of the project.

Year-Round

The Contractor shall monitor the National Weather Service weather forecast on a daily basis during the contract. The Contractor may use an alternative weather forecasting service if approved by the Engineer. Appropriate water pollution control practices shall be in place before precipitation.

The Contractor may discontinue earthwork operations for a disturbed area for up to 21 days and the disturbed soil area will still be considered active. When earthwork operations in the disturbed area have been completed, the Contractor shall implement appropriate water pollution control practices within 15 days, or before predicted precipitation, whichever occurs first.

Rainy Season

The Contractor shall provide soil stabilization and sediment control practices during the rainy season between October 15 and April 15.

The Contractor shall implement soil stabilization and sediment control practices a minimum of 10 days before the start of the rainy season.

During the defined rainy season, the active disturbed soil area of the project site shall be not more than 1 hectares. The Engineer may approve expansions of the active disturbed soil area limit if requested in writing. The Contractor shall maintain soil stabilization and sediment control materials on site to protect disturbed soil areas.

INSPECTION AND MAINTENANCE

The WPCM shall inspect the water pollution control practices identified in the SWPPP as follows:

A. Before a forecasted storm,
B. After precipitation that causes site runoff,
C. At 24-hour intervals during extended precipitation,
D. On a predetermined schedule, a minimum of once every 2 weeks outside of the defined rainy season, and
E. On a predetermined schedule, a minimum of once a week during the defined rainy season.

The WPCM shall oversee the maintenance of the water pollution control practices.

The WPCM shall use the Storm Water Quality Construction Site Inspection Checklist provided in the Preparation Manual or an alternative inspection checklist provided by the Engineer. A copy of the completed site inspection checklist shall be submitted to the Engineer within 24 hours of finishing the inspection.

REPORTING REQUIREMENTS

If the Contractor identifies discharges into surface waters or drainage systems causing or potentially causing pollution, or if the project receives a written notice or order from a regulatory agency, the Contractor shall immediately inform the Engineer. The Contractor shall submit a written report to the Engineer within 7 days of the discharge, notice or order. The report shall include the following information:

A. The date, time, location, and nature of the operation, type of discharge and quantity, and the cause of the notice or order.
B. The water pollution control practices used before the discharge, or before receiving the notice or order.
C. The date of placement and type of additional or altered water pollution control practices placed after the discharge, or after receiving the notice or order.
D. A maintenance schedule for affected water pollution control practices.

If there is an exceedance of receiving water limitations for Laguna de Santa Rosa, Copeland Creek, wetlands and drainage ditches then:

A. Notify the Engineer immediately for submittal of information to the RWQCB within one hour.
B. Submit documentation including photographs to the Engineer within 12 hours for submittal to the RWQCB within 24 hours.

The Contractor shall submit Weekly Monitoring Reports the Engineer within 7 days of the completion of the monitoring period. Weekly Monitoring Reports shall include:

A. Documentation of visual observations.
B. Monitoring results for all samples collected at the upstream and downstream locations.
C. If there is an exceedance:

    1. report the distance from the discharge point to the downstream extent of the increased turbidity, pH, or both .
    2. Photograph the receiving waters upstream, downstream, and within working and disturbed areas.

If there is an exceedance of the receiving water limitations, the Contractor shall also take the following steps and document in the Weekly Monitoring Report and Monthly Monitoring Report described:

A. Assessment of existing water pollution control measures
B. Steps taken to repair and modify existing water pollution control measures, and install new water pollution control measures.
C. Measurement of distance from the source of turbidity or increased pH to the downstream extent of increased turbidity or pH.

The Contractor shall submit First Rainfall Event Report to the Engineer within 30 days of the first rainfall event that generates runoff from the disturbed areas for submittal to the RWQCB within 60 days of the first rainfall event. The First Rainfall Event Report shall include at a minimum:

A. photographs of all areas disturbed by construction activities including all excess materials disposal areas
B. photographs of erosion control measures to demonstrate their performance.

The Contractor shall submit Monthly Monitoring Reports to the Engineer by the 5th day of the month for review and submittal to the RWQCB by the 15th day of the month. Monthly Monitoring Reports shall include at a minimum:

A. Summary of discharges
B. Summary of corrective actions taken
C. Field sampling measurements and results
D. Upcoming construction schedules and disturbed soil area updates
E. Proposed amendments to the SWPPP based on upcoming construction activities
F. Photographs documenting effective soil stabilization and sediment control BMPs
G. Biological monitoring reports
H. Photographs

Annual Certifications

By June 15 of each year, the Contractor shall complete and submit to the Engineer an Annual Certification of Compliance, as contained in the Preparation Manual.

PAYMENT

During each estimate period the Contractor fails to conform to the provisions in this section, "Water Pollution Control," or fails to implement the water pollution control practices shown on the plans or specified elsewhere in these special provisions as items of work, the Department will withhold 25 percent of the progress payment.

Withholds for failure to perform water pollution control work will be in addition to all other withholds provided for in the contract. The Department will return performance-failure withholds in the progress payment following the correction of noncompliance.

The contract lump sum price paid for prepare storm water pollution prevention plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing, obtaining approval of, and amending the SWPPP and inspecting water pollution control practices as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for prepare storm water pollution prevention plan will be made as follows:

A. After the SWPPP has been approved by the Engineer, 50 percent of the contract item price for prepare storm water pollution prevention plan will be included in the monthly progress estimate.
B. Forty percent of the contract item price for prepare storm water pollution prevention plan will be paid over the life of the contract.
C. After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, payment for the remaining 10 percent of the contract item price for prepare storm water pollution prevention plan will be made in conformance with the provisions in Section 9-1.07A, "Payment Prior to Proposed Final Estimate."

Storm water sampling and analysis will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. No payment will be made for the preparation, collection, analysis, and reporting of storm water samples where appropriate water pollution control practices are not implemented before precipitation or if a failure of a water pollution control practice is not corrected before precipitation.

Implementation of water pollution control practices in areas outside the highway right of way not specifically provided for in the SWPPP or in these special provisions will not be paid for.

Water pollution control practices for which there are separate contract items of work will be measured and paid for as those contract items of work.

10-1.04 CONSTRUCTION SITE MANAGEMENT

Construction site management shall consist of controlling potential sources of water pollution before they come in contact with storm water systems or watercourses. The Contractor shall control material pollution and manage waste and non-storm water existing at the construction site by implementing effective handling, storage, use, and disposal practices.

Attention is directed to "Water Pollution Control" of these special provisions regarding the Contractor's appointment of a water pollution control manager (WPCM) for the project.

The Contractor shall train all employees and subcontractors regarding:

A. Material pollution prevention and control;
B. Waste management;
C. Non-storm water management;
D. Identifying and handling hazardous substances; and
E. Potential dangers to humans and the environment from spills and leaks or exposure to toxic or hazardous substances.

Training shall take place before starting work on this project. New employees shall receive the complete training before starting work on this project. The Contractor shall have regular meetings to discuss and reinforce spill prevention and control; material delivery, storage, use, and disposal; waste management; and non-storm water management procedures.

Instructions for material and waste handling, storage, and spill reporting and cleanup shall be posted at all times in an open, conspicuous, and accessible location at the construction site.

Nonhazardous construction site waste and excess material shall be recycled when practical or disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, unless otherwise specified.

Vehicles and equipment at the construction site shall be inspected by the WPCM on a frequent, predetermined schedule, and by the operator each day of use. Leaks shall be repaired immediately, or the vehicle or equipment shall be removed from the construction site.

SPILL PREVENTION AND CONTROL

The Contractor shall implement spill and leak prevention procedures when chemicals or hazardous substances are stored. Spills of petroleum products; substances listed under CFR Title 40, Parts 110, 117, and 302; and sanitary and septic waste shall be contained and cleaned up as soon as is safe.

Minor spills involve small quantities of oil, gasoline, paint, or other material that can be controlled by the first responder upon discovery of the spill. Cleanup of minor spills includes:

A. Containing the spread of the spill,
B. Recovering the spilled material using absorption,
C. Cleaning the contaminated area, and
D. Disposing of contaminated material promptly and properly.

Semi-significant spills are those that can be controlled by the first responder with the help of other personnel. Cleanup of semi-significant spills shall be immediate. Cleanup of semi-significant spills includes:

A. Containing the spread of the spill;
B. Recovering the spilled material using absorption if the spill occurs on paved or an impermeable surface;
C. Containing the spill with an earthen dike and digging up contaminated soil for disposal if the spill occurs on dirt;
D. Covering the spill with plastic or other material to prevent contaminating runoff if the spill occurs during precipitation; and
E. Disposing of contaminated material promptly and properly.

Significant or hazardous spills are those that cannot be controlled by construction personnel. Notifications of these spills shall be immediate. The following steps shall be taken:

A. Construction personnel shall not attempt to cleanup the spill until qualified staff have arrived;
B. Notify the Engineer and follow up with a written report;
C. Obtain the services of a spills contractor or hazardous material team immediately;
D. Notify the local emergency response team by dialing 911 and county officials at the emergency phone numbers kept on the construction site;
E. Notify the Governor's Office of Emergency Services Warning Center at (805) 852-7550;
F. Notify the National Response Center at (800) 424-8802 regarding spills of Federal reportable quantities in conformance with CFR Title 40, Parts 110, 119, and 302;

G. Notify other agencies as appropriate, including:

1. Fire Department,
2. Public Works Department,
3. Coast Guard,
4. Highway Patrol,
5. City Police or County Sheriff Department,
6 Department of Toxic Substances,
7. California Division of Oil and Gas,
8. Cal OSHA, or
9. Regional Water Resources Control Board.

The WPCM shall oversee and enforce proper spill prevention and control measures. Minor, semi-significant, and significant spills shall be reported to the Contractor's WPCM who shall notify the Engineer immediately.

The Contractor shall prevent spills from entering storm water runoff before and during cleanup. Spills shall not be buried or washed with water.

The Contractor shall keep material or waste storage areas clean, well organized, and equipped with enough cleanup supplies for the material being stored. Plastic shall be placed under paving equipment when not in use to catch drips.

MATERIAL MANAGEMENT

Material shall be delivered, used, and stored for this contract in a manner that minimizes or eliminates discharge of material into the air, storm drain systems, or watercourses.

The Contractor shall implement the practices described in this section when taking delivery of, using, or storing the following materials:

A. Hazardous chemicals including:

1. Acids,
2. Lime,
3. Glues,
4. Adhesives,
5. Paints,
6. Solvents, and
7. Curing compounds;

B. Soil stabilizers and binders;
C. Fertilizers;
D. Detergents;
E. Plaster;
F. Petroleum products including:

1. Fuel,
2. Oil, and
3. Grease;

G. Asphalt components and concrete components; and
H. Pesticides and herbicides.

The Contractor shall supply the Material Safety Data Sheet to the Engineer for material used or stored. The Contractor shall keep an accurate inventory of material delivered and stored at the construction site.

Employees trained in emergency spill cleanup procedures shall be present when hazardous materials or chemicals are unloaded.

The Contractor shall use recycled or less hazardous products when practical.

Material Storage

The Contractor shall store liquids, petroleum products, and substances listed in CFR Title 40, Parts 110, 117, and 302 in containers or drums approved by the United States Environmental Protection Agency, and place them in secondary containment facilities.

Secondary containment facilities shall be impervious to the materials stored there for a minimum contact time of 72 hours.

Throughout the rainy season secondary containment facilities shall be covered during non-working days and when precipitation is predicted. Secondary containment facilities shall be adequately ventilated.

The Contractor shall keep the secondary containment facility free of accumulated rainwater or spills. After precipitation, or in the event of spills or leaks, accumulated liquid shall be collected and placed into drums within 24 hours. These liquids shall be handled as hazardous waste in accordance with the provisions in "Hazardous Waste" of these special provisions, unless testing determines them to be nonhazardous.

Incompatible materials, such as chlorine and ammonia, shall not be stored in the same secondary containment facility.

Materials shall be stored in the original containers with the original product labels maintained in legible condition. Damaged or illegible labels shall be replaced immediately.

The secondary containment facility shall have the capacity to contain precipitation from a 24-hour-long, 25-year storm; and 10 percent of the aggregate volume of all containers, or all of the volume of the largest container within the facility, whichever is greater.

The Contractor shall store bagged or boxed material on pallets. Throughout the rainy season, bagged or boxed material shall be protected from wind and rain during non-working days and when precipitation is predicted.

The Contractor shall provide sufficient separation between stored containers to allow for spill cleanup or emergency response access. Storage areas shall be kept clean, well organized, and equipped with cleanup supplies appropriate for the materials being stored.

The Contractor shall repair or replace perimeter controls, containment structures, covers, and liners as needed. Storage areas shall be inspected before and after precipitation, and at least weekly during other times.

Stockpile Management

The Contractor shall reduce or eliminate potential air and water pollution from stockpiled material including soil, paving material, or pressure treated wood. Stockpiles shall be located out of floodplains when possible, and at least 15 m from concentrated flows of storm water, drainage courses, or inlets unless written approval is obtained from the Engineer.

The Contractor may discontinue adding or removing material for up to 21 days and a stockpile will still be considered active.

The Contractor shall protect active stockpiles with plastic or geotextile cover, soil stabilization measures, or with linear sediment barrier when precipitation is predicted. Active stockpiles of cold mix asphalt concrete shall be placed on an impervious surface and covered with plastic when precipitation is predicted.

The Contractor shall protect inactive soil stockpiles with a plastic or geotextile cover, or with soil stabilization measures at all times during the rainy season. A linear sediment barrier around the perimeter of the stockpile shall also be used. During the non-rainy season soil stockpiles shall be covered and protected with a linear sediment barrier when precipitation is predicted. The Contractor shall control wind erosion during dry weather as provided in Section 10, "Dust Control," of the Standard Specifications.

Stockpiles of portland cement concrete rubble, asphalt concrete (AC), hot mix asphalt (HMA), AC and HMA rubble, aggregate base, or aggregate subbase shall be covered with plastic or geotextile, or protected with a linear sediment barrier at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of cold mix asphalt concrete shall be placed on and covered with impermeable material at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of pressure treated wood shall be covered with impermeable material and placed on pallets at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

The Contractor shall repair or replace linear sediment barriers and covers as needed or as directed by the Engineer to keep them functioning properly. Sediment shall be removed when it accumulates to 1/3 of the linear sediment barrier height.

WASTE MANAGEMENT

Solid Waste

The Contractor shall not allow litter or debris to accumulate anywhere on the construction site, including storm drain grates, trash racks, and ditch lines. The Contractor shall pick up and remove trash and debris from the construction site at least once a week. The WPCM shall monitor solid waste storage and disposal procedures on the construction site. The Contractor shall provide enough dumpsters of sufficient size to contain the solid waste generated by the project. Dumpsters shall be emptied when refuse reaches the fill line. Dumpsters shall be watertight. The Contractor shall not wash out

dumpsters on the construction site. The Contractor shall provide additional containers and more frequent pickup during the demolition phase of construction

Solid waste includes:

A. Brick,
B. Mortar,
C. Timber,
D. Metal scraps,
E. Sawdust,
F. Pipe,
G. Electrical cuttings,
H. Non-hazardous equipment parts,
I. Styrofoam and other packaging materials,
J. Vegetative material and plant containers from highway planting, and
K. Litter and smoking material, including litter generated randomly by the public.

Trash receptacles shall be provided and used in the Contractor's yard, field trailers, and locations where workers gather for lunch and breaks.

Hazardous Waste

The Contractor shall implement hazardous waste management practices when waste is generated on the construction site from the following substances:

A. Petroleum products,
B. Asphalt products,
C. Concrete curing compound,
D. Pesticides,
E. Acids,
F. Paints,
G. Stains,
H. Solvents,
I. Wood preservatives,
J. Roofing tar, and
K. Materials classified as hazardous by California Code of Regulations, Title 22, Division 4.5; or listed in CFR Title 40, Parts 110, 117, 261, or 302.

Nothing in these special provisions shall relieve the Contractor of the responsibility for compliance with Federal, State, and local laws regarding storage, handling, transportation, and disposal of hazardous wastes.

Hazardous material existing on the construction site before mobilization shall be handled and disposed of in accordance with "Material Containing Aerially Deposited Lead," "Remove Traffic Stripe and Pavement Marking," and "Treated Wood Waste" of these special provisions.

The WPCM shall oversee and enforce hazardous waste management practices. Production of hazardous materials and hazardous waste on the construction site shall be kept to a minimum. Perimeter controls, containment structures, covers, and liners shall be repaired or replaced when damaged.

The Contractor shall have a laboratory certified by the Department of Health Services (DHS) sample and test waste when hazardous material levels are unknown to determine safe methods for storage and disposal.

The Contractor shall segregate potentially hazardous waste from nonhazardous waste at the construction site. Hazardous waste shall be handled, stored, and disposed of as required in California Code of Regulations, Title 22, Division 4.5, Section 66262.34; and in CFR Title 49, Parts 261, 262, and 263.

The Contractor shall store hazardous waste in sealed containers constructed and labeled with the contents and date accumulated as required in California Code of Regulations, Title 22, Division 4.5; and in CFR Title 49, Parts 172, 173, 178, and 179. Hazardous waste containers shall be kept in temporary containment facilities conforming to the provisions in "Material Storage" of these special provisions.

There shall be adequate storage volume and containers shall be conveniently located for hazardous waste collection. Containers of hazardous waste shall not be overfilled and hazardous wastes shall not be mixed. Containers of dry waste that are not watertight shall be stored on pallets. The Contractor shall not allow potentially hazardous waste to accumulate on the ground. Hazardous waste shall be stored away from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall clean water based or oil based paint from brushes or equipment within a contained area and shall not contaminate soil, watercourses, or storm drain systems. Paints, thinners, solvents, residues, and sludges that cannot be recycled or reused shall be disposed of as hazardous waste. When thoroughly dry, latex paint and paint cans, used brushes, rags, absorbent materials, and drop cloths shall be disposed of as solid waste.

The Contractor shall dispose of hazardous waste within 90 days of being generated. Hazardous waste shall be disposed of by a licensed hazardous waste transporter using uniform hazardous waste manifest forms and taken to a Class I Disposal Site. A copy of the manifest shall be provided to the Engineer.

Contaminated Soil

The Contractor shall identify contaminated soil from spills or leaks by noticing discoloration, odors, or differences in soil properties. Soil with evidence of contamination shall be sampled and tested by a laboratory certified by DHS. If levels of contamination are found to be hazardous, the soil shall be handled and disposed of as hazardous waste.

The Contractor shall prevent the flow of water, including ground water, from mixing with contaminated soil by using one or a combination of the following measures:

A. Berms,
B. Cofferdams,
C. Grout curtains,
D. Freeze walls, or
E. Concrete seal course.

If water mixes with contaminated soil and becomes contaminated, the water shall be sampled and tested by a laboratory certified by the DHS. If levels of contamination are found to be hazardous, the water shall be handled and disposed of as hazardous waste.

Concrete Waste

The Contractor shall implement practices to prevent the discharge of portland cement concrete, AC, or HMA waste into storm drain systems or watercourses.

Portland cement concrete, AC, or HMA waste shall be collected at the following locations and disposed of:

A. Where concrete material, including grout, is used;
B. Where concrete dust and debris result from demolition;
C. Where sawcutting, coring, grinding, grooving, or hydro-concrete demolition of portland cement concrete, AC, or HMA creates a residue or slurry; or
D. Where concrete trucks or other concrete-coated equipment is cleaned at the construction site.

Sanitary and Septic Waste

Wastewater from sanitary or septic systems shall not be discharged or buried within the Department right of way. The WPCM shall inspect sanitary or septic waste storage and monitor disposal procedures at least weekly. Sanitary facilities that discharge to the sanitary sewer system shall be properly connected and free from leaks.

The Contractor shall obtain written approval from the local health agency, city, county, and sewer district before discharging from a sanitary or septic system directly into a sanitary sewer system, and provide a copy to the Engineer. The Contractor shall comply with local health agency requirements when using an on-site disposal system.

Liquid Waste

The Contractor shall not allow construction site liquid waste, including the following, to enter storm drain systems or watercourses:

A. Drilling slurries or fluids,
B. Grease-free or oil-free wastewater or rinse water,
C. Dredgings,
D. Liquid waste running off a surface including wash or rinse water, or
E. Other non-storm water liquids not covered by separate permits.

The Contractor shall hold liquid waste in structurally sound, leak proof containers such as:

A. Sediment traps,
B. Roll-off bins, or
C. Portable tanks.

Liquid waste containers shall be of sufficient quantity and volume to prevent spills and leaks. The containers shall be stored at least 15 m from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall remove and dispose of deposited solids from sediment traps as provided in "Solid Waste" of these special provisions, unless determined infeasible by the Engineer.

Liquid waste may require testing to determine hazardous material content before disposal.

Drilling fluids and residue shall be disposed of outside the highway right of way. If the Engineer determines that an appropriate location is available, fluids and residue exempt under California Code of Regulations, Title 23, Section 2511(g) may be dried by infiltration and evaporation in a leak proof container. The remaining solid waste may be disposed of as provided in "Solid Waste" of these special provisions.

NON-STORM WATER MANAGEMENT

Water Control and Conservation

The Contractor shall prevent erosion or the discharge of pollutants into storm drain systems or watercourses by managing the water used for construction operations. The Contractor shall obtain the Engineer's approval before washing anything on the construction site with water that could discharge into a storm drain system or watercourse. Discharges shall be reported to the Engineer immediately.

The Contractor shall implement water conservation practices when water is used on the construction site. Irrigation areas shall be inspected and watering schedules shall be adjusted to prevent erosion, excess watering, or runoff. The Contractor shall shut off the water source to broken lines, sprinklers, or valves, and they shall be repaired as soon as possible. When possible, water from waterline flushing shall be reused for landscape irrigation. Paved areas shall be swept and vacuumed, not washed with water.

Construction water runoff, including water from water line repair, shall be directed to areas to infiltrate into the ground and shall not be allowed to enter storm drain systems or watercourses. Spilled water shall not be allowed to escape water truck filling areas. When possible, the Contractor shall direct water from off-site sources around the construction site, or shall minimize contact with the construction site.

Illegal Connection and Discharge Detection and Reporting

The Contractor shall inspect the construction site and the site perimeter before beginning work for evidence of illegal connections, discharges, or dumping. Subsequently, the construction site and perimeter shall be inspected on a frequent, predetermined schedule.

The Contractor shall immediately notify the Engineer when illegal connections, discharges, or dumping are discovered. The Contractor shall take no further action unless directed by the Engineer. Unlabeled or unidentifiable material shall be assumed to be hazardous.

The Contractor shall look for the following evidence of illegal connections, discharges, or dumping:

A. Debris or trash piles,
B. Staining or discoloration on pavement or soils,
C. Pungent odors coming from drainage systems,
D. Discoloration or oily sheen on water,
E. Stains or residue in ditches, channels or drain boxes,
F. Abnormal water flow during dry weather,
G. Excessive sediment deposits,
H. Nonstandard drainage junction structures, or
I. Broken concrete or other disturbances near junction structures.

Vehicle and Equipment Cleaning

The Contractor shall limit vehicle and equipment cleaning or washing on the construction site to that necessary to control vehicle tracking or hazardous waste. Vehicles and equipment shall not be cleaned on the construction site with soap, solvents, or steam until the Engineer has been notified. The resulting waste shall be contained and recycled, or disposed of as

provided in "Liquid Waste" or "Hazardous Waste" of these special provisions, whichever is applicable. The Contractor shall not use diesel to clean vehicles or equipment, and shall minimize the use of solvents.

The Contractor shall clean or wash vehicles and equipment in a structure equipped with disposal facilities. If using a structure is not possible, vehicles and equipment shall be cleaned or washed in an outside area with the following characteristics:

A. Located at least 15 m from storm drainage systems or watercourses,
B. Paved with AC, HMA or portland cement concrete,
C. Surrounded by a containment berm, and
D. Equipped with a sump to collect and dispose of wash water.

When washing vehicles or equipment with water, the Contractor shall use as little water as possible. Hoses shall be equipped with a positive shutoff valve.

Wash racks shall discharge to a recycle system or to another system approved by the Engineer. Sumps shall be inspected regularly, and liquids and sediments shall be removed as needed.

Vehicle and Equipment Fueling and Maintenance

The Contractor shall fuel or perform maintenance on vehicles and equipment off the construction site whenever practical. When fueling or maintenance must be done at the construction site, the Contractor shall designate a site, or sites, and obtain approval from the Engineer before using. The fueling or maintenance site shall be protected from storm water, shall be on level ground, and shall be located at least 15 m from drainage inlets or watercourses. The WPCM shall inspect the fueling or maintenance site regularly. Mobile fueling or maintenance shall be kept to a minimum.

The Contractor shall use containment berms or dikes around the fueling and maintenance area. Adequate amounts of absorbent spill cleanup material and spill kits shall be kept in the fueling and maintenance area and on fueling trucks. Spill cleanup material and kits shall be disposed of immediately after use. Drip pans or absorbent pads shall be used during fueling or maintenance unless performed over an impermeable surface.

Fueling or maintenance operations shall not be left unattended. Fueling nozzles shall be equipped with an automatic shutoff control. Vapor recovery fueling nozzles shall be used where required by the Air Quality Management District. Nozzles shall be secured upright when not in use. Fuel tanks shall not be topped-off.

The Contractor shall recycle or properly dispose of used batteries and tires.

Material and Equipment Used Over Water

Drip pans and absorbent pads shall be placed under vehicles or equipment used over water, and an adequate supply of spill cleanup material shall be kept with the vehicle or equipment. Drip pans or plastic sheeting shall be placed under vehicles or equipment on docks, barges, or other surfaces over water when the vehicle or equipment will be idle for more than one hour.

The Contractor shall provide watertight curbs or toe boards on barges, platforms, docks, or other surfaces over water to contain material, debris, and tools. Material shall be secured to prevent spills or discharge into water due to wind.

Structure Removal Over or Adjacent to Water

The Contractor shall not allow demolished material to enter storm water systems or watercourses. The Contractor shall use covers and platforms approved by the Engineer to collect debris. Attachments shall be used on equipment to catch debris on small demolition operations. Debris catching devices shall be emptied regularly and debris shall be handled as provided in "Waste Management" of these special provisions.

The WPCM shall inspect demolition sites within 15 m of storm water systems or watercourses every day.

Paving, Sealing, Sawcutting, and Grinding Operations

The Contractor shall prevent the following material from entering storm drain systems or water courses:

A. Cementitious material,
B. Asphaltic material,
C. Aggregate or screenings,
D. Grinding or sawcutting residue,
E. Pavement chunks, or
F. Shoulder backing.

The Contractor shall cover drainage inlets and use linear sediment barriers to protect downhill watercourses until paving, sealing, sawcutting, or grinding operations are completed and excess material has been removed. Drainage inlets and manholes shall be covered during the application of seal coat, tack coat, slurry seal, or fog seal.

During the rainy season or when precipitation is predicted, paving, sawcutting, and grinding operations shall be limited to places where runoff can be captured. Seal coat, tack coat, slurry seal, or fog seal operations shall not begin if precipitation is predicted for the application or the curing period. The Contractor shall not excavate material from existing roadways during precipitation.

The Contractor shall vacuum up slurry from sawcutting operations immediately after the slurry is produced. Slurry shall not be allowed to run onto lanes open to public traffic or off the pavement.

The Contractor shall collect residue from portland cement concrete grinding operations with a vacuum attachment on the grinding machine. The residue shall not be left on the pavement or allowed to flow across the pavement.

Material excavated from existing roadways may be stockpiled as provided in "Stockpile Management" of these special provisions if approved by the Engineer. AC or HMA chunks used in embankment shall be placed above the water table and covered by at least 0.3-m of material.

Substances used to coat asphalt trucks and equipment shall not contain soap, foaming agents, or toxic chemicals.

Thermoplastic Striping and Pavement Markers

Thermoplastic striping and preheating equipment shutoff valves shall work properly at all times when on the construction site. The Contractor shall not preheat, transfer, or load thermoplastic within 15 m of drainage inlets or watercourses. The Contractor shall not fill the preheating container to more than 150 mm from the top. Truck beds shall be cleaned daily of scraps or melted thermoplastic.

The Contractor shall not unload, transfer, or load bituminous material for pavement markers within 15 m of drainage inlets or watercourses. All pressure shall be released from melting tanks before removing the lid to fill or service. Melting tanks shall not be filled to more than 150 mm from the top.

The Contractor shall collect bituminous material from the roadway after marker removal.

Pile Driving

The Contractor shall keep spill kits and cleanup material at pile driving locations. Pile driving equipment shall be parked over drip pans, absorbent pads, or plastic sheeting where possible. When not in use, pile driving equipment shall be stored at least 15 m from concentrated flows of storm water, drainage courses, or inlets. The Contractor shall protect pile driving equipment by parking it on plywood and covering it with plastic when precipitation is predicted. The WPCM shall inspect the pile driving area every day for leaks and spills.

The Contractor shall use vegetable oil instead of hydraulic fluid when practical.

Concrete Curing

The Contractor shall not overspray chemical curing compound. Drift shall be minimized by spraying as close to the concrete as possible. Drainage inlets shall be covered before applying curing compound.

The Contractor shall minimize the use and discharge of water by using wet blankets or similar methods to maintain moisture when curing concrete.

Concrete Finishing

The Contractor shall collect and dispose of water and solid waste from high-pressure water blasting. Drainage inlets within 15 m shall be covered before sandblasting. The nozzle shall be kept as close to the surface of the concrete as possible to minimize drift of dust and blast material. Blast residue may contain hazardous material.

Containment structures for concrete finishing operations shall be inspected for damage before each day of use and before predicted precipitation. Liquid and solid waste shall be removed from the containment structure after each work shift.

PAYMENT

The contract lump sum price paid for construction site management shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in spill prevention and control, material management, waste management, non-storm water management, and identifying, sampling, testing, handling, and disposing of hazardous waste, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.05 TEMPORARY ACTIVE TREATMENT SYSTEM

GENERAL

Summary

This work includes designing, placing, operating, monitoring, maintaining, and later removing a temporary active treatment system. The active treatment system must be used to remove sediment, turbidity, and other pollutants from uncontaminated groundwater, accumulated stormwater within structure excavations, or both and then discharge the treated water.

The SWPPP must describe and include the use of temporary active treatment system as a water pollution control practice for non-stormwater management and materials pollution control.

For information on documents under these special provisions, refer to the Department's "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" (Preparation Manual) and "Field Guide to Construction Site Dewatering" (Dewatering Guide).

The Preparation Manual and Dewatering Guide are available from the Department's Construction Storm Water and Water Pollution Control web site at:

http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm

Groundwater discharges that are reused on-site, or discharged to the storm drain system shall be performed in conformance with the general waste discharge requirements for Order No. 93-61, NPDES General Permit No. CA0024902, issued by the North Coast Regional Water Quality Control Board (NCRWQCB) for "Discharges of Groundwater to Surface Water Related to Construction and Subsurface Seepage Dewatering Activities in the North Coast Region." This permit is hereafter referred to as "Order No 93-61" in this section, and is available by searching under "93-61" for Order Number at:

http://water100.waterboards.ca.gov/rb1/adopted_orders/

Non-Stormwater Information Package (Information Package) for this project is available as described in "Supplemental Project Information" of these special provisions. This Information Package includes the following:

1. Estimated groundwater seepage rates in the project area
2. Information on the Publicly Owned Treatment Works (POTW) facility
3. Order No. 93-61

If you do not use an active treatment system, discharge into a POTW system. If uncontaminated groundwater, stormwater, or both are discharged to a POTW, obtain a municipal batch discharge permit. You are responsible for all costs and requirements related to obtaining the municipal batch discharge permit and discharging the water.

Submittals

At least 70 days before dewatering activities start, submit:

1. Dewatering and discharge plan (DDP) that includes:

    1.1. Title sheet
    1.2. Table of contents
    1.3. Certification and approval sheet (Section 100 of the Preparation Manual)
    1.4. Amendment log and format (Section 200 of the Preparation Manual)
    1.5. Description and schedule of the dewatering and discharge operations
    1.6. Discharge alternatives, including:

        1.6.1. Reuse of treated water for construction activities such as dust control, irrigation, fill compaction, or concrete batch plant
        1.6.2. Percolation
        1.6.3. Storm sewers
        1.6.4. Surface waters

    1.7. Treatment system description and components
    1.8. Anticipated flow rates
    1.9. Operation and system maintenance procedures and example maintenance log

1.10. Field-recorded data, visual inspection, and calibration procedures and example logs
1.11. Measuring equipment descriptions
1.12. Working drawings for dewatering and discharge operations showing:

1.12.1. Section and plan views of storm water effluent treatment systems
1.12.2. Location of sampling points for water quality measurements
1.12.3. Flow path and placement of pipes, hoses, pumps, holding tanks, and other equipment used to convey water
1.12.4. General position of treatment dewatering and discharge components relative to excavations or other operations requiring dewatering
1.12.5. Point of storm water discharge

2. Daily Inspection Report. The report form must:

2.1. Be approved by the Engineer before use
2.2. Include discharge volumes
2.3. Include water quality monitoring records
2.4. Include discharge point information for:

2.4.1. Date and time
2.4.2. Weather conditions including wind direction and velocity
2.4.3. Presence or absence of water fowl or aquatic wildlife
2.4.4. Color and clarity of the effluent discharge
2.4.5. Erosion or ponding downstream of the discharge site
2.4.6. Photographs labeled with the time, date, and location

3. POTW municipal batch discharge permit, if used:

3.1. If you obtain coverage under the Order No. 93-61, include:

3.1.1. Copy of Permit (Order No. 93-61)
3.1.2 Notice of Intent (NOI)

4. If you use chemical coagulants, in-line flocculants, or both, in the treatment system, submit a Coagulant Prevention Plan (CPP) with the DDP. The CPP must include:

4.1. Description of the best management practices (BMPs) to prevent accidental spillage, overfeeding into the treatment system, or other mishandling of coagulant agents
4.2. Monitoring plan for all coagulant or flocculant agents to be used
4.3. Description of the agent (chemical and trade name description)
4.4. Determination of acute and chronic toxicity for aquatic organisms conforming to EPA methods for the agents
4.5. Monitoring proposal to detect residual agent at concentrations at or below established acute toxicity levels for freshwater and marine conditions for that agent
4.6. Copy of the documentation showing RWQCB approval of the CPP and approval of the chemical coagulants or in-line flocculants proposed

The CPP must be approved by the RWQCB. It is your responsibility to obtain approval of the CPP from the RWQCB. If the RWQCB does not approve your proposal to use chemical coagulants or in-line flocculants, you must propose an alternative treatment system component to comply with the receiving water and effluent discharge limitations.

Within 15 days after contract approval, submit 3 copies of the DDP. Allow 15 days for the Engineer's review. If revisions are required, the Engineer provides comments and specifies the date that the review stopped. Revise and resubmit the DDP within 7 days of receipt of the Engineer's comments. The Engineer's review resumes when the complete DDP is resubmitted. When the Engineer approves the DDP, submit 4 copies of the approved DDP to the Engineer. After approval, the Engineer submits a copy of the approved DDP to the North Coast Regional Water Quality Control Board (RWQCB) for their review and comment. If the RWQCB provides comments to the DDP, amend the DDP. Construction activities including structural excavations must start no sooner than 30 days after the Engineer approves the DDP. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, completion of the work is delayed or

interfered with because of the Engineer's or the RWQCB's review, you will be compensated for resulting losses, and an extension of time will be granted, under Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Quality Control and Assurance

Retain and submit records of temporary active treatment system including:

1. Delivery and removal of temporary active treatment system components.
2. Daily inspection report that includes all information and recorded data collected. This report must be certified as true and accurate and signed by those who gather the information.

MATERIALS

Active Treatment System

Design and implement an appropriate active water treatment system for the site conditions and anticipated flow rate. The active treatment system must include:

1. Treatment system
2. Collection and conveyance system
3. Discharge method

Treatment System

The treatment system must be capable of removing sediment and turbidity-producing suspended solids. Primary and secondary treatment may be required, or the design of the treatment system may require combined use of the various treatment components in series to achieve effective treatment. Treatment system must have components to:

1. Remove sediment and turbidity-producing suspended solids such as:

    1.1. Desilting basins
    1.2. Settling tanks
    1.3. Sediment traps
    1.4. Gravity bag filters
    1.5. Sand media filters
    1.6. Pressurized bag filters
    1.7. Cartridge filters
    1.8. Chemical coagulants including in-line flocculants
    1.9. Temporary holding tanks
    1.10. Any combination of these systems to provide primary and secondary treatment

2. Adjust pH or dissolved oxygen by:

    2.1. Addition of sulfuric, phosphoric, citric, or nitric acid in conformance with the supplier's specifications for treatment of water with high pH
    2.2. Filtration through a limestone bed or addition of sodium hydroxide for treatment of water with low pH
    2.3. Aeration for treatment of water with low dissolved oxygen

Collection and Conveyance System

Provide pumps and piping to convey the water from the point of dewatering to the treatment system and to the point of discharge. Pumps and piping must comply with Section 74-2, "Drainage Pump Equipment," of the Standard Specifications.

Use a flow meter to measure all discharges from dewatering operations.

Discharge Method

Provide a method for discharging treated water and include a discharge location. Do not discharge treated water in a way that impacts natural bedding or aquatic life.

Discharge treated water:

1. For dust control in active work areas.
2. To land where the grade allows sheet flow and the soil allows infiltration.

3. In a way that does not cause erosion or scour. If scour occurs, repair the damage and install a velocity dissipater.

CONSTRUCTION

Placement

Place active treatment system components at the job site:

1. Before dewatering work
2. In the immediate area of the dewatering work as approved by the Engineer
3. Away from construction traffic or public access areas

Operation

Divert stormwater away from excavations that would require dewatering.

Water quality limits must comply with the receiving water limitations monitoring and discharge effluent limitations monitoring requirements under section titled "Monitoring" of these special provisions. If observations and measurements confirm the water quality limits are exceeded:

1. Stop the discharge immediately
2. Notify the Engineer
3. Start corrective measures to modify, repair, or replace the equipment used to discharge treated water

After the Engineer inspects and accepts corrective measures:

1. Resume dewatering and discharge operations
2. Start startup-phase sampling requirements before regular-phase sampling requirements
3. Start regular-phase sampling requirements

Relocate active treatment system as needed for dewatering work.

Monitoring

Comply with the manufacturer's instructions for all calibrations of the flow meter. Perform calibrations in the presence of the Engineer.

While the active treatment system is operated, perform:

1. Flow rate monitoring to:

    1.1. Record daily discharge volumes
    1.2. Compute average daily volumes

2. Receiving water limitations monitoring. In the receiving storm water drainage system, the discharge must not cause:

    2.1. Downstream turbidity to increase to more than 50 Nephelometric Turbidity Units (NTU) if the natural background turbidity is less than 50 NTU
    2.2. Downstream turbidity to increase more than 10 percent above the natural background turbidity if the natural background turbidity is 50 NTU or greater
    2.3. Normal ambient temperature to be altered more than 5 degrees F
    2.4. Normal ambient pH to fall below 6.5, exceed 8.5, or change more than 0.5 units
    2.5. Dissolved oxygen concentration to fall below 5.0 mg/L

3. Discharge effluent limitations monitoring. The water to be discharged (effluent) must comply with the following:

    3.1. Discharged water turbidity must not be greater than 50 Nephelometric Turbidity Units (NTU)
    3.2. pH of the discharged water must be from 6.5 to 8.5
    3.3. Discharged water must not contain chlorine in excess of 0.02 mg/L (instantaneous maximum)

Follow these procedures to take water quality measurements to verify requirements for receiving water limitations and discharge effluent limitations:

1. For discharges of water that exceed 4 hours in duration occurring within a 24-hour period:

    1.1. If the discharge may affect the receiving body of water in a storm water drainage system, take measurements at the background and receiving water sampling locations no more than 1 hour before discharging treated water.
    1.2. Startup-phase sampling includes stormwater runoff, background, and receiving water measurements taken during the first 3 days of discharge. Start start-up phase sampling 10 to 30 minutes after measurable runoff occurs during a storm. Take samples on regular intervals during the storm. Take at least 4 samples for each discharge lasting 4 hours or more. The time between sampling must not exceed 4 hours.
    1.3. Regular-phase sampling includes effluent, background, and receiving water measurements that occur after the 3rd day of operation. Do regular-phase sampling at least twice daily. Take samples at regular intervals.
    1.4. If there is a noticeable change in color or clarity in the receiving body of water, take additional effluent, background, and downstream measurements.
    1.5. If an initial measurement shows that water quality limits are exceeded, take an additional measurement no less than 15 minutes and no more than 1 hour after the initial measurement.
    1.6. If the second test confirms the limits were exceeded, revert to the startup-phase sampling requirements before resuming regular-phase sampling.
    1.7. For cofferdam maintenance dewatering, regular-phase monitoring may be discontinued after 10 days if the effluent and receiving water measurements are consistently below the water quality limits.

2. For water discharges less than 4 hours in duration occurring within a 24-hr period:

    2.1. If the discharge may affect the receiving body of water in a storm water drainage system, take measurements at the background and receiving water sampling locations no more than 1 hour before discharging treated water.
    2.2. Take effluent, background, and receiving water measurements from 10 to 30 minutes after initiating discharge. Continue to take measurements every hour.
    2.3. If an initial measurement shows that water quality limits are exceeded, take an additional measurement no more than 15 minutes after the initial measurement.
    2.4. If there is a noticeable change in color or clarity in the receiving body of water, take additional effluent, background, and downstream measurements.

3. For all discharges of water:

    3.1. Measure for stormwater effluent turbidity and pH at the end of the outfall or in-line sampling port.
    3.2. Measure receiving water turbidity, pH, and dissolved oxygen at a point within 4.5 meters downstream of the discharge point.
    3.3. Measure for natural background turbidity, dissolved oxygen, and pH at a location that is within from 3 to 4.5 meters upstream of the discharge point. If other construction activity is being performed, measure at least 45 meters upstream of the discharge point.
    3.4. If the discharge is made into a surface body of water, or into a stormwater drainage system that produces an observable effect on a surface body of water, monitor the receiving water.

4. For receiving water and natural background measurements:

    4.1. If the receiving water is deeper than 1 meter, take depth-averaged measurement by taking samples from 3 points within the water column and averaging the 3 measurements:

        4.1.1. 300 mm below the surface.
        4.1.2. Mid-depth.
        4.1.3. 300 mm above the bottom.

    4.2. If the receiving water is less than 1 meter in depth, take measurement 300 mm below the surface.

Comply with the manufacturer's instructions for use and calibrations of meters and devices for taking water quality measurements. Perform calibrations in the presence of the Engineer.

Inspection

Inspect temporary active treatment system:

1. Daily if dewatering work occurs daily
2. Weekly if dewatering work does not occur daily

Reporting

If observations and measurements confirm the water quality limits are exceeded:

1. Submit a Notice of Discharge Report as shown in the Preparation Manual within 3 business days of exceeding the limits
2. Document the reasons and corrective work performed to prevent a reoccurrence in the Notice of Discharge

Maintenance

Maintain the various components to prevent leaks and provide proper function. If a component of the dewatering equipment is not functioning properly, discontinue the dewatering operation and repair or replace the component.

Sediments removed from uncontaminated areas during maintenance of the treatment system must be dried, distributed uniformly, and stabilized at a location within the project limits approved by the Engineer.

Removal

Backfill and repair ground disturbance, including holes and depressions, caused by the installation and removal of the temporary active treatment system. Comply with Section 15-1.02, "Preservation of Property," of the Standard Specifications.

PAYMENT

The contract lump sum price paid for temporary active treatment system includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in designing, implementing, monitoring, maintaining, and later removing the temporary active treatment system, including disposal of accumulated sediment, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.06 STREET SWEEPING

Street sweeping shall be conducted where sediment is tracked from the job site onto paved roads, as described in the approved Storm Water Pollution Prevention Plan (SWPPP) in accordance with "Water Pollution Control" of these special provisions, and as directed by the Engineer.

Street sweeping shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of street sweeping. Street sweeping shall be performed in accordance with Section 4, SC-7 in the Construction Site Best Management Practices Manual of the Caltrans Storm Water Quality Handbooks.

The number of street sweepers shall be as designated in the approved SWPPP. The Contractor shall maintain at least one sweeper on the job site at all times during the period that sweeping work is required. Sweepers shall be self-loading, motorized, and shall have spray nozzles. Sweepers may include a vacuum apparatus.

Street sweeping shall start at the beginning of clearing and grubbing and shall continue until completion of the project, or as directed by the Engineer. Street sweeping shall be performed immediately after soil disturbing activities occur or offsite tracking of material is observed. Street sweeping shall be performed so that dust is minimized. If dust generation is excessive or sediment pickup is ineffective as determined by the Engineer, the use of water or a vacuum will be required.

At the option of the Contractor, collected material may be temporarily stockpiled in accordance with the approved SWPPP. Collected material shall be disposed of at least once per week.

Material collected during street sweeping operations shall be disposed of in conformance with Section 7-1.13, "Disposal of Material Outside The Highway Right Of Way," of the Standard Specifications.

MEASUREMENT AND PAYMENT

The contract lump sum price paid for street sweeping shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in street sweeping, including disposal of collected material, as shown on the plans, as specified in the Standard Specifications, these special provisions, and as directed by the Engineer.

10-1.07 TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)

GENERAL

Summary

This work includes applying, maintaining, and removing temporary hydraulic mulch (bonded fiber matrix). Hydraulic mulch uses a mixture of fiber, tackifier, and water to stabilize active and nonactive disturbed soil areas.

The SWPPP must describe and include the use of temporary hydraulic mulch (bonded fiber matrix) as a water pollution control practice for soil stabilization.

Submittals

At least 5 business days before applying hydraulic mulch, submit:

1. Material Safety Data Sheet for the tackifier.
2. Product label describing the tackifier as an erosion control product.
3. List of pollutant indicators and potential pollutants for the use of temporary hydraulic mulch. Pollutant indicators are described under "Sampling and Analysis Plan for Non-Visible Pollutants" in the Preparation Manual.
4. Determination of acute and chronic toxicity for aquatic organisms conforming to EPA methods for the tackifier.
5. Composition of ingredients including chemical formulation.

Submit a Certificate of Compliance as specified in Section 6-1.07, "Certificates of Compliance" of the Standard Specifications for:

1. Tackifier
2. Fiber

Quality Control and Assurance

Retain and submit records of temporary hydraulic mulch applications including:

1. Compliance with specified rates
2. Application area
3. Application time
4. Quantity

MATERIALS

Tackifier

The tackifier must be:

1. Nonflammable
2. Nontoxic to aquatic organisms
3. Free from growth or germination inhibiting factors
4. Bonded to the fiber or prepackaged with the fiber by the manufacturer
5. At least 10 percent of the weight of the dry fiber and include the weight of the activating agents and additives
6. Organic, high viscosity colloidal polysaccharide with activating agents, or a blended hydrocolloid-based binder

Fiber

Fiber must be:

1. Long strand, whole wood fibers, thermo-mechanically processed from clean, whole wood chips
2. Not made from sawdust, cardboard, paper, or paper byproducts
3. At least 25 percent of fibers 10 mm long
4. At least 50 percent held on a 710 μm sieve
5. Free from lead paint, printing ink, varnish, petroleum products, seed germination inhibitors, or chlorine bleach
6. Free from synthetic or plastic materials
7. At most 7 percent ash

Coloring Agent

Use a biodegradable nontoxic coloring agent free from copper, mercury, and arsenic to ensure the hydraulic mulch contrasts with the application area.

CONSTRUCTION

Application

Dilute hydraulic mulch with water to spread the mulch evenly.
Use hydroseeding equipment to apply hydraulic mulch.
Apply hydraulic mulch:

1. In the proportions indicated in the table below. Successive applications or passes may be needed to achieve the required proportion rate:

Material	Application Rate kg/ha
Bonded Fiber (includes fiber and tackifier material)	3500

2. To form a continuous mat with no gaps between the mat and the soil surface.
3. From 2 or more directions to achieve a continuous mat.
4. In layers to avoid slumping and to aid drying.
5. During dry weather or at least 24 hours before predicted rain.

Do not apply hydraulic mulch if:

1. Water is standing on or moving across the soil surface
2. Soil is frozen
3. Air temperature is below 4 ºC during the tackifier curing period unless allowed by the tackifier manufacturer and approved by the Engineer

Do not over-spray hydraulic mulch onto the traveled way, sidewalks, lined drainage channels, or existing vegetation.

Maintenance

Reapply hydraulic mulch within 24 hours of discovering visible erosion unless the Engineer approves a longer period.

Removal

Remove hydraulic mulch by mechanically blending it into the soil with track laying equipment, disking, or other approved method.

Temporary hydraulic mulch disturbed or displaced by your vehicles, equipment, or operations must be reapplied at your expense.

Cleanup, repair, removal, disposal, or replacement due to improper installation or your negligence are not included in the cost for performing maintenance.

MEASUREMENT AND PAYMENT

Temporary hydraulic mulch (bonded fiber matrix) is measured by the square meter from measurements along the slope of the areas covered by the hydraulic mulch.

The contract price paid per square meter for temporary hydraulic mulch (bonded fiber matrix) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying temporary hydraulic mulch, complete in place, including removal of hydraulic mulch, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The State and you share the cost of maintaining the temporary hydraulic mulch. The State determines the maintenance cost under Section 9-1.03, "Force Account Payment," of the Standard Specifications and pays you one-half of that cost.

10-1.08 TEMPORARY COVER

Temporary cover shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary cover shall be one of the water pollution control practices for soil stabilization. The SWPPP shall include the use of temporary cover.

MATERIALS

Temporary Cover Fabric

Temporary cover fabric shall be either a geotextile (engineering fabric) or a geomembrane (plastic sheeting) conforming to the following requirements:

1. Geotextile shall be a woven, slit film fabric which is also known as woven tape. The fabric shall be nonbiodegradable, resistant to deterioration by sunlight, and inert to most soil chemicals. Edges of the film fabric shall be selvage or serge to prevent unraveling. The film fabric shall also conform to the following requirements:

Specification	Requirements
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Elongation at break, percent min. ASTM Designation: D4632*	15
Toughness, kilonewtons, min. (percent elongation x grab tensile strength)	13.3
Permittivity, 1/sec, max. (liters per minute per square meter) ASTM Designation: D 4491	0.08 (244)
Ultraviolet light stability, percent tensile strength retained after 500 hours, min. ASTM Designation: D 4355 (xenon arc lamp method)	70

* or appropriate test method for specific polymer

2. The geomembrane shall consist of 0.25-mm thick, single-ply material in conformance with the requirements in ASTM Designation: D 5199.

Temporary cover fabric shall be manufactured from polyethylene, polypropylene, or comparable polymers. The polymer materials may be virgin, recycled, or a combination of virgin and recycled materials. The polymer materials shall not contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance with the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Restrainers

Restrainers for securing the temporary cover fabric on slopes and stockpiles shall consist of one or a combination of the following:

1. Gravel-filled bags used as restrainers shall be knotted, roped, and placed at a maximum of 2 m apart on the temporary cover fabric as shown on the plans. Gravel-filled bags shall be between 13 kg and 22 kg in mass, between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width. Gravel bag fabric shall be nonwoven polypropylene geotextile with a minimum unit weight of 270 g/m^2. The fabric shall have a minimum grab tensile strength (25-mm grip) of 0.89-kN in conformance with the requirements in ASTM Designation: D 4632, and an ultraviolet (UV) stability of 70 percent tensile strength retained after 500 hours in conformance with the requirements in ASTM Designation: D 4355, xenon arc lamp method. Gravel shall consist of noncohesive material between 10 mm and 20 mm in diameter, free of clay balls, organic matter, and other deleterious material. The openings of gravel-filled bags shall be secured to prevent escape of gravel.
2. Restrainers consisting of a steel anchor with a wooden lath shall be fabricated and placed as shown on the plans. Wooden lath shall conform to the provisions in Section 20-2.12, "Lumber," of the Standard Specifications and shall be fir or pine, 38 mm x 89 mm in size, and 2.4 m in length. The wooden lath shall be secured to the temporary cover with steel anchors placed 1.2 m apart along the lath.

The Contractor may use an alternative restrainer if approved by the Engineer in writing. The Contractor shall submit details for an alternative restrainer to the Engineer before installation. The alternative restrainer shall be installed and maintained in conformance with these special provisions.

INSTALLATION

Temporary cover shall be installed as follows:

1. Temporary cover fabric shall be placed and anchored as shown on the plans.
2. Abutting edges of the temporary cover fabric shall overlap a minimum of 600 mm. Nonabutting edges shall be embedded in the soil a minimum of 150 mm.
3. Restrainers shall be placed at the overlap area and along the toe of the slope. Restrainers outside the overlap areas shall be placed at a maximum spacing of 2.4 m.
4. Steel anchors shall be installed to allow the leg of the steel anchor to pierce through the temporary cover fabric into the slope with the crown section securing the wooden lath firmly against the slope.
5. Earthen berm, a linear sediment barrier, shall be constructed adjacent to the toe of the slope with a minimum height of 200 mm and a minimum width of 940 mm. The earthen berm shall be hand or mechanically compacted. Alternative linear sediment barrier may be used if approved by the Engineer in writing.

If the Contractor removes the temporary cover in order to facilitate other work, the temporary cover shall be replaced and secured.

When no longer required as determined by the Engineer, temporary cover shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbances, including holes and depressions, caused by the installation and removal of the temporary cover shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

The Contractor shall maintain the temporary cover throughout the contract to prevent displacement or migration of the material on the slope or stockpiled.

Temporary cover shall be maintained to minimize exposure of the protected area. Restrainers shall be relocated and secured as needed to restrain the temporary cover fabric in place. Temporary cover that breaks free shall be immediately secured. Holes, tears, and voids in the temporary cover fabric shall be patched, repaired, or replaced. When patches or repairs are unacceptable as determined by the Engineer, the temporary cover shall be replaced.

Temporary cover shall be repaired or replaced on the same day when the damage occurs. Damage to the temporary cover resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor’s expense.

MEASUREMENT AND PAYMENT

The quantity of temporary cover to be paid for will be measured by the square meter for the actual area covered.

The contract price paid per square meter for temporary cover shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary cover, complete in place, including trench excavation and backfill, maintenance, and removal of temporary cover, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.09 TEMPORARY CULVERTS

Temporary culverts shall be furnished, installed, maintained, and later removed as shown on the plans, as specified in these special provisions and as directed by the Engineer.

The size and type of temporary culvert to be installed at each location shall be at the option of the Contractor; unless specific size and type of temporary culvert is shown on the plans.

The culvert shall be capable of sustaining the intended load and of discharging a quantity of water equivalent to the type and size of culvert shown on the plans. Adequacy as to equivalent strength and capacity shall be subject to approval, in writing, by the Engineer.

Used materials may be installed provided the used materials are good, sound and are suitable for the purpose intended, as determined by the Engineer.

Excavation and backfill for temporary culverts shall be performed in a manner that will provide adequate support for the culvert with a firm, nonsettling foundation for the roadbeds to be constructed over the culverts.

Temporary culverts that are damaged from any cause during the progress of the work shall be repaired or replaced by the Contractor at the Contractor's expense.

When no longer required for the work as determined by the Engineer, temporary culverts shall be removed. Removed facilities shall become the property of the Contractor and shall be removed from the site of the work, except as otherwise provided in this section.

Removed temporary culverts that are not damaged may be installed in the permanent work provided the culverts conform to the requirements specified for the permanent work and the culverts are new when installed as temporary culverts.

Trenches and pits caused by the removal of temporary culverts shall be backfilled in conformance with the provisions in the second paragraph of Section 15-1.02, "Preservation of Property," of the Standard Specifications.

Regardless of the sizes or kinds of temporary culverts installed, temporary culverts will be measured and paid for by the meter for the sizes of temporary culverts shown on the plans and listed in the Engineer's Estimate in the same manner specified for corrugated metal pipe in Section 66-4.01, "Measurement," and Section 66-4.02, "Payment," of the Standard Specifications.

Full compensation for maintaining, removing and disposing of temporary culverts shall be considered as included in the contract prices paid per meter for the various sizes or kinds of temporary culverts and no additional compensation will be allowed therefor.

Full compensation for maintaining, removing and disposing of temporary inlets and any other temporary drainage facilities that are not being measured and paid for separately shall be considered as included in the contract prices paid per meter for the various sizes or kinds of temporary culverts and no additional compensation will be allowed therefor.

10-1.10 TEMPORARY FLARED END SECTIONS

Temporary flared end sections shall be furnished, installed, maintained, and later removed as shown on the plans, as specified in these special provisions and as directed by the Engineer.

Temporary flared end sections shall conform to the provisions in Section 70, "Miscellaneous Facilities," of the Standard Specifications and these special provisions.

The size and type of temporary flared end sections to be installed at each location shall be at the option of the Contractor; however, the flared end sections shall be capable of sustaining the intended load and of discharging a quantity of water equivalent to the type and size of flared end sections shown on the plans. Adequacy as to equivalent strength and capacity shall be subject to approval, in writing, by the Engineer.

Used materials may be installed provided the used materials are good, sound and are suitable for the purpose intended, as determined by the Engineer.

Excavation and backfill for temporary flared end sections shall be performed in a manner that will provide adequate support for the flared end sections with a firm, nonsettling foundation for the roadbeds to be constructed over the flared end sections.

Temporary flared end sections that are damaged from any cause during the progress of the work shall be repaired or replaced by the Contractor at the Contractor's expense.

When no longer required for the work as determined by the Engineer, temporary flared end sections shall be removed. Removed facilities shall become the property of the Contractor and shall be removed from the site of the work, except as otherwise provided in this section.

Removed temporary flared end sections that are not damaged may be installed in the permanent work provided the flared end sections conform to the requirements specified for the permanent work and the flared end sections are new when installed as temporary culverts.

Trenches and pits caused by the removal of temporary flared end sections shall be backfilled in conformance with the provisions in the second paragraph of Section 15-1.02, "Preservation of Property," of the Standard Specifications.

Regardless of the sizes or kinds of temporary flared end sections installed, temporary flared end sections will be measured and paid for by the unit for the sizes of temporary flared end sections shown on the plans and listed in the Engineer's Estimate in the same manner specified for flared end sections in Section 70-1.04, "Measurement," and Section 70-1.05, "Payment," of the Standard Specifications.

The contract unit price paid for temporary flared end sections shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary flared end sections, complete in place, including maintaining, removing, and disposing of temporary flared end sections, and no additional compensation will be allowed therefor.

10-1.11 MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)

Move-in/move-out (temporary erosion control) shall include moving onto the project when an area is ready to receive temporary erosion control as determined by the Engineer, setting up required personnel and equipment for the application of erosion control materials, and moving out all personnel and equipment when temporary erosion control in that area is

completed. Temporary erosion control shall consist of any temporary soil stabilization practice specified elsewhere in these special provisions.

When areas are ready to receive applications of temporary erosion control, as determined by the Engineer, the Contractor shall begin erosion control work in that area within 5 working days of being notified by the Engineer.

Attention is directed to the requirements of temporary erosion control specified elsewhere in these special provisions.

Quantities of move-in/move-out (temporary erosion control) will be determined as units from actual count. A move-in followed by a move-out will be considered one unit.

The contract unit price paid for move-in/move-out (temporary erosion control) shall include full compensation for furnishing all labor, materials (excluding temporary erosion control materials), tools, equipment, and incidentals and for doing all the work involved in moving in and removing from the project all personnel and equipment necessary for application of temporary erosion control, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.12 TEMPORARY CORRUGATED METAL PIPE

Temporary corrugated steel culverts shall conform to the provisions in Section 66, "Corrugated Metal Pipe," of the Standard Specifications and in conformance with "Temporary Culverts" of these special provisions.

Corrugated steel pipe shall be fabricated from zinc-coated steel sheet.

10-1.13 TEMPORARY SLOTTED CORRUGATED STEEL PIPE

Temporary slotted corrugated steel pipe must comply with Section 66-3.09, "Slotted Pipe," of the Standard Specifications and in conformance with "Temporary Culverts" of these special provisions.

MATERIALS

Concrete Backfill

Where plans show cement treated structure backfill use minor concrete for backfill or Class 3 concrete conforming to the provisions of Section 90, "Portland Cement Concrete," of the Standard Specifications, except that minor concrete shall contain not less than 310 kg of cementious material per cubic meter.

Portland cement for concrete backfill must be Type III conforming to the provisions in Section 90-2.01A, "Cement," of the Standard Specifications. A Type C accelerating admixture conforming to the requirements in ASTM Designation: C 494 must be added to the concrete mix for concrete backfill. The admixture must be used at the rate recommended by the manufacturer of the admixture. The admixture must not contain chlorides as Cl in excess of one percent by weight as determined by California Test 415.

CONSTRUCTION

Excavation must comply with Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications.

When no longer required for the work as determined by the Engineer, temporary slotted corrugated steel pipe shall be removed. Removed facilities shall become the property of the Contractor and shall be removed from the site of the work, except as otherwise provided in this section.

MEASUREMENT AND PAYMENT

The length of temporary slotted corrugated steel pipe to be paid will be the slope length measured along the centerline of the pipe as designated by the Engineer. Temporary slotted corrugated steel pipe cut to fit a structure will be the length of pipe necessary to be placed before cutting, measured in one meter increments. Temporary slotted corrugated steel pipe placed in excess of the length designated will not be paid for.

The contract price paid per meter for the temporary slotted corrugated steel pipe includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all of the work involved in installing temporary slotted corrugated steel pipe, complete in place, including structure excavation and cement treated or concrete backfill and connecting slotted corrugated steel pipe to new or existing facilities, including concrete collars, reinforcement, or other connecting devices, specified in the Standard Specifications and these special provisions, as shown on the plans, and as directed by the Engineer.

Full compensation for maintaining, removing and disposing of temporary slotted corrugated steel pipe shall be considered as included in the contract price paid per meter for the temporary slotted corrugated steel pipe and no additional compensation will be allowed therefor.

10-1.14 TEMPORARY CONCRETE WASHOUT FACILITY

Temporary concrete washout facilities shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary concrete washout facilities shall be one of the water pollution control practices for waste management and materials pollution control. The SWPPP shall include the use of temporary concrete washout facilities.

MATERIALS

Plastic Liner

Plastic liners shall be single ply, new polyethylene sheeting, a minimum of 0.25-mm thick and shall be free of holes, punctures, tears or other defects that compromise the impermeability of the material. Plastic liners shall not have seams or overlapping joints.

Gravel-filled Bags

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, min. ASTM Designation: D 5261	270
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Ultraviolet stability, percent tensile strength retained after 500 hours, ASTM Designation: D4355, xenon arc lamp method	70

* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials.

The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

Straw Bales

Straw for straw bales shall conform to the provisions in Section 20-2.06, "Straw," of the Standard Specifications.

Straw bales shall be a minimum of 360 mm in width, 450 mm in height, 900 mm in length and shall have a minimum mass of 23 kg. The straw bale shall be composed entirely of vegetative matter, except for binding material.

Straw bales shall be bound by either wire, nylon or polypropylene string. Jute or cotton binding shall not be used. Baling wire shall be a minimum 1.57 mm in diameter. Nylon or polypropylene string shall be approximately 2 mm in diameter with 360 N of breaking strength.

Stakes

Stakes shall be wood or metal. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots or other defects which would render them unfit for the purpose intended. Wood stakes shall be a minimum 50 mm x 50 mm in size. Metal stakes may be used as an alternative, and shall be a minimum 13 mm in diameter. Stakes shall be a minimum 1.2 m in length. The tops of the metal stakes shall be bent at a 90-degree angle or capped with an orange or red plastic safety cap that fits snugly to the metal stake. The Contractor shall submit a sample of the metal stake and plastic cap, if used, for the Engineer's approval before installation.

Staples

Staples shall be as shown on the plans. An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

Signs

Wood posts for signs shall conform to the provisions in Section 56-2.02B, "Wood Posts," of the Standard Specifications. Lag screws shall conform to the provisions in Section 56-2.02D, "Sign Panel Fastening Hardware," of the Standard Specifications.

Plywood shall be freshly painted for each installation with not less than 2 applications of flat white paint. Sign letters shown on the plans shall be stenciled with commercial quality exterior black paint. Testing of paint will not be required.

INSTALLATION

Temporary concrete washout facilities shall be as follows:

1. Temporary concrete washout facilities shall be installed before beginning placement of concrete and located a minimum of 15 m from storm drain inlets, open drainage facilities, and water courses unless determined infeasible by the Engineer. Temporary concrete washout facilities shall be located away from construction traffic or access areas at a location determined by the Contractor and approved by the Engineer.
2. A sign shall be installed adjacent to each washout facility at a location determined by the Contractor and approved by the Engineer. Signs shall be installed in conformance with the provisions in Section 56-2.03, "Construction," and Section 56-2.04, "Sign Panel Installation," of the Standard Specifications.
3. The length and width of a temporary concrete washout facility may be increased from the minimum dimensions shown on the plans upon approval of the Engineer.
4. Temporary concrete washout facilities shall be constructed in sufficient quantity and size to contain liquid and concrete waste generated by washout operations for concrete wastes. These facilities shall be constructed to contain liquid and concrete waste without seepage, spills, or overflow.
5. Berms for below grade temporary concrete washout facilities shall be constructed from compacted native material. Gravel may be used in conjunction with compacted native material.
6. A plastic liner shall be installed in below grade temporary concrete washout facilities.

Details for an alternative temporary concrete washout facility shall be submitted to the Engineer for approval at least 7 days before installation.

When temporary concrete washout facilities are no longer required for the work, as determined by the Engineer, the hardened concrete and liquid residue shall be removed and disposed of in conformance with the provisions in Section 15-3.02, "Removal Methods," of the Standard Specifications. Temporary concrete washout facilities shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary concrete washout facilities shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary concrete washout facilities shall be maintained to provide adequate holding capacity with a minimum freeboard of 300 mm. Maintaining temporary concrete washout facilities shall include removing and disposing of hardened concrete and returning the facilities to a functional condition. Hardened concrete materials shall be removed and disposed of in conformance with the provisions in Section 15-3.02, "Removal Methods," of the Standard Specifications. Holes, rips, and voids in the plastic liner shall be patched and repaired by taping or the plastic liner shall be replaced. The plastic liner shall be replaced when patches or repairs compromise the impermeability of the material as determined by the Engineer.

Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out.

Temporary concrete washout facilities shall be repaired or replaced on the same day the damage occurs. Damage to temporary concrete washout facilities resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary concrete washout facilities will be measured as units determined from actual count in place.

The contract unit price paid for temporary concrete washout facility shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing a temporary concrete washout facility, complete in place, including excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.15 TEMPORARY CHECK DAM

Temporary check dams shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary check dams shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary check dams.

Temporary check dams shall be either Type 1 (fiber roll) or Type 2 (gravel bag).

MATERIALS

Fiber Roll

Fiber rolls shall be one of the following:

1. Constructed with a premanufactured blanket consisting of one material or a combination of materials consisting of wood excelsior, rice or wheat straw, or coconut fibers. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
2. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. Rolls shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length and shall weigh at least 1.6 kg/m. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the rolls.

Stakes

Wood stakes shall be a minimum of 19 mm x 38 mm x 450 mm. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots or other defects which would render them unfit for the purpose intended. Metal stakes may be used as an alternative. The Contractor shall submit a sample of the metal stake for the Engineer's approval before installation. The tops of the metal stakes shall be bent at a 90-degree angle.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

Gravel-filled Bag

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, min. ASTM Designation: D 5261	270
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Ultraviolet stability, percent tensile strength retained after 500 hours, ASTM Designation: D4355, xenon arc lamp method	70

* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used in construction of the gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

INSTALLATION

Temporary check dams shall be installed as follows:

1. Temporary check dam (Type 1): Rope and notched stakes shall be used to restrain the fiber rolls against the surface of the unlined ditch or swale. Stakes shall be driven into the slope until the notch is even with the top of the fiber roll. Rope shall be knotted at each stake and laced between stakes. After installation of the rope, stakes shall be driven into the slope so that the rope will hold the fiber roll tightly to the slope. Furrows will not be required. If metal stakes are used, the rope may be laced and knotted on the bend at the top of the metal stakes.
2. Temporary check dam (Type 2): A single layer of gravel bags shall be placed in lined or unlined ditches with ends abutted tightly and not overlapped.
3. The bedding area for the temporary check dam shall be cleared of obstructions including, rocks, clods, and debris greater than 25 mm in diameter before installation.
4. The temporary check dam shall be installed across and approximately perpendicular to the centerline of a ditch or drainage line.
5. The temporary check dam shall be installed with sufficient spillway depth to prevent flanking of concentrated flow around the ends of the check dam.
6. The temporary check dam shall be installed in an unlined ditch or swale before the application of other temporary erosion control or soil stabilization material in the same unlined ditch or swale.

Details for an alternative temporary check dam shall be submitted to the Engineer for approval at least 7 days before installation.

When the temporary check dam is no longer required, as determined by the Engineer, it shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbances including holes and depressions caused by the installation and removal of the temporary check dam shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary check dams shall be maintained to provide sediment holding capacity and to reduce runoff velocities. Split, torn, or unraveling rolls shall be repaired or replaced. Broken or split stakes shall be replaced. Sagging or slumping fiber rolls shall be repaired with additional stakes or replaced. Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out. Locations where rills and other evidence of concentrated runoff have occurred beneath the check dams shall be corrected.

When sediment exceeds 1/3 of the height of the check dam above ground, or when directed by the Engineer, sediment shall be removed. The removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water.

Temporary check dams shall be repaired or replaced the same day damage occurs. Washouts or scour beneath the temporary check dam shall be repaired. Temporary check dams damaged during the progress of work or resulting from the Contractor's vehicles, equipment, or operations shall be repaired or replaced at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary check dams to be paid for will be determined by the meter measured along the centerline of the installed check dam.

The contract price paid per meter for temporary check dam shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary check dams, complete in place, including maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.16 TEMPORARY FIBER ROLL

Temporary fiber roll shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary fiber roll shall be installed on excavation and embankment slopes and other disturbed soil areas, active or nonactive.

Temporary fiber roll shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary fiber roll.

Temporary fiber roll shall be either Type 1 or Type 2.

MATERIALS

Fiber Roll

Fiber roll shall be either:

1. Constructed with a premanufactured blanket consisting of either wood excelsior, rice or wheat straw, or coconut fibers or a combination of these materials. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a or biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, a minimum of 6 m in length, and shall weigh a minimum 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
2. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll. Rolls shall be between 200 mm and 300 mm in diameter. Rolls between 200 mm and 250 mm in diameter shall have a minimum weight of 1.6 kg/m and a minimum length of 6 m. Rolls between 250 mm and 300 mm in diameter shall have a minimum weight of 4.5 kg/m and a minimum length of 3 m.

Stakes

Wood stakes shall be a minimum of 19 mm x 19 mm x 450 mm in size for Type 1 installation, or a minimum of 19 mm x 38 mm x 450 mm in size for Type 2 installation. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended. Metal stakes shall not be used.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

INSTALLATION

Temporary fiber roll shall be installed as follows:

1. Temporary fiber roll (Type 1): Furrows shall be constructed to a depth between 50 mm and 100 mm, and to a sufficient width to hold the fiber roll. Stakes shall be installed 600 mm apart along the length of the fiber rolls and stopped at 300 mm from each end of the rolls. Stakes shall be driven to a maximum of 50 mm above, or flush with the top of the roll.
2. Temporary fiber roll (Type 2): Rope and notched stakes shall be used to restrain the fiber rolls against the slope. Stakes shall be driven into the slope until the notch is even with the top of the fiber roll. Rope shall be knotted at each stake and laced between stakes. After installation of the rope, stakes shall be driven into the slope such that the rope will hold the fiber roll tightly to the slope. Furrows will not be required.
3. Temporary fiber rolls shall be placed 3 m apart along the slope for slope inclination (vertical:horizontal) of 1:2 and steeper, 4.5 m apart along the slope for slope inclination between 1:2 and 1:4, 6 m apart along the slope for slope inclination between 1:4 and 1:10, and a maximum of 15 m apart along the slope for slope inclination of 1:10 and flatter.
4. The bedding area for the fiber roll shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
5. Temporary fiber rolls shall be installed approximately parallel to the slope contour.
6. Temporary fiber rolls shall be installed before the application of other temporary erosion control or soil stabilization materials in the same area.

When no longer required, as determined by the Engineer, temporary fiber rolls shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Temporary fiber rolls may be abandoned in place when approved in writing by the Engineer.

Ground disturbances including holes and depressions caused by the installation and removal of the temporary fiber roll shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary fiber rolls shall be maintained to disperse concentrated water runoff and to reduce runoff velocities. Split, torn, or unraveling rolls shall be repaired or replaced. Broken or split stakes shall be replaced. Sagging or slumping fiber rolls shall be repaired with additional stakes or replaced. Locations where rills and other evidence of concentrated runoff have occurred beneath the rolls shall be corrected. Temporary fiber rolls shall be repaired or replaced within 24 hours of identifying the deficiency.

MEASUREMENT AND PAYMENT

Quantities of temporary fiber rolls to be paid for will be determined by the meter measured along the centerline of the installed roll. Where temporary fiber rolls are joined and overlapped, the overlap will be measured as a single installed roll.

The contract price paid per meter for temporary fiber roll shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary fiber rolls, complete in place, including furrow excavation and backfill, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Damage to temporary fiber rolls resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

The cost of maintaining temporary fiber rolls will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost of maintaining temporary fiber rolls in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying half of that cost to the Contractor.

Cleanup, repair, removal, disposal, or replacement due to improper installation or the Contractor's negligence will not be considered as included in the cost for performing maintenance.

10-1.17 TEMPORARY SILT FENCE

Temporary silt fence shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary silt fence shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary silt fence.

MATERIALS

Temporary silt fence shall either be prefabricated or constructed with silt fence fabric, posts, and fasteners.

Silt Fence Fabric

Silt fence fabric shall be geotextile manufactured from woven polypropylene or polymer material. Silt fence fabric may be virgin, recycled, or a combination of virgin and recycled polymer materials. No virgin or recycled polymer materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Silt fence fabric shall conform to the following requirements:

Specification	Requirements
Width, mm, min.	900
Grab tensile strength (25-mm grip), kilonewtons, min. in each direction ASTM Designation: D 4632*	0.55
Elongation, percent minimum in each direction ASTM Designation: D 4632*	15
Permittivity, 1/sec., min. ASTM Designation: D 4491	0.05
Flow rate, liters per minute per square meter, min. ASTM Designation: D 4491	400
Ultraviolet stability, percent tensile strength retained after 500 hours, min. ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method)	70

* or appropriate test method for specific polymer

Posts

Posts for temporary silt fence shall be one of the following:

1. Untreated fir or pine, a minimum of 34 mm x 40 mm in size, and 1.2 m in length. One end of the post shall be pointed.
2. Steel and have a "U," "T," "L," or other cross sectional shape that can resist failure from lateral loads. The steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.2 m. One end of the steel posts shall be pointed and the other end shall be capped with an orange or red plastic safety cap which fits snugly to the steel post. The Contractor shall submit to the Engineer for approval a sample of the capped steel post before installation.

Fasteners

Fasteners for attaching silt fence fabric to posts shall be as follows:

1. When prefabricated silt fence is used, posts shall be inserted into sewn pockets.
2. Silt fence fabric shall be attached to wooden posts with nails or staples as shown on the plans or as recommended by the manufacturer or supplier. Tie wire or locking plastic fasteners shall be used to fasten the silt fence fabric to steel posts. Maximum spacing of fasteners shall be 200 mm along the length of the steel post.

INSTALLATION

Temporary silt fence shall be installed parallel with the slope contour in reaches not to exceed 150 m. A reach is considered a continuous run of temporary silt fence from end to end or from an end to an opening, including joined panels. Each reach shall be constructed so that the elevation at the base of the fence does not deviate from the contour more than 1/3 of the fence height.

The silt fence fabric shall be installed on the side of the posts facing the slope. The silt fence fabric shall be anchored in a trench as shown on the plans. The trench shall be backfilled and mechanically or hand tamped to secure the silt fence fabric in the bottom of the trench.

Mechanically pushing 300 mm of the silt fence fabric vertically through the soil may be allowed if the Contractor can demonstrate to the Engineer that the silt fence fabric will not be damaged and will not slip out of the soil resulting in sediment passing under the silt fence fabric.

The maximum post spacing may be increased to 3 m if the fence is reinforced by a wire or plastic material by prefabrication or by field installation. The field-assembled reinforced temporary silt fence shall be able to retain saturated sediment without collapsing.

Temporary silt fence shall be joined as shown on the plans. The tops of the posts shall be tied together by minimum of 2 wraps of tie wire of a minimum 1.5-mm diameter. The silt fence fabric shall be attached to the posts at the joint as specified in these special provisions.

When no longer required as determined by the Engineer, temporary silt fence shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Trimming the silt fence fabric and leaving it in place will not be allowed.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary silt fence shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary silt fence shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the silt fence fabric above ground. When sediment exceeds this height or when directed by the Engineer, sediment shall be removed. The removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water.

Temporary silt fence shall be repaired or replaced the same day the damage occurs. Damage to the temporary silt fence resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary silt fence to be paid for will be determined by the meter, measured parallel with the ground slope along the line of the installed temporary silt fence, deducting the widths of openings.

The contract price paid per meter for temporary silt fence shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary silt fence, complete in place, including trench excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.18 TEMPORARY FENCE

Temporary fence shall be furnished, constructed, maintained, and later removed as shown on the plans, as specified in these special provisions and as directed by the Engineer.

Except as otherwise specified in this section, temporary fence shall conform to the plan details and the specifications for permanent fence of similar character as provided in Section 80, "Fences," of the Standard Specifications.

Used materials may be installed provided the used materials are good, sound and are suitable for the purpose intended, as determined by the Engineer.

Materials may be commercial quality provided the dimensions and sizes of the materials are equal to, or greater than, the dimensions and sizes shown on the plans or specified herein.

Posts shall be either metal or wood at the Contractor's option.

Galvanizing and painting of steel items will not be required.

Treating wood with a wood preservative will not be required.

Concrete footings for metal posts will not be required.

Temporary fence that is damaged during the progress of the work shall be repaired or replaced by the Contractor at the Contractor's expense.

When no longer required for the work, as determined by the Engineer, temporary fence shall be removed. Removed facilities shall become the property of the Contractor and shall be removed from the site of the work, except as otherwise provided in this section.

Removed temporary fence materials that are not damaged may be constructed in the permanent work provided the materials conform to the requirements specified for the permanent work and such materials are new when used for the temporary fence.

Holes caused by the removal of temporary fence shall be backfilled in conformance with the provisions in the second paragraph of Section 15-1.02, "Preservation of Property," of the Standard Specifications.

The various types and kinds of temporary fence will be measured and paid for in the same manner specified for permanent fence of similar character as provided in Section 80, "Fences," of the Standard Specifications.

Full compensation for maintaining, removing, and disposing of temporary fence shall be considered as included in the contract prices paid per meter for the various types of temporary fence and no additional compensation will be allowed therefor.

10-1.19 TEMPORARY FENCE (TYPE ESA)

Temporary fence (Type ESA) shall be furnished, installed, maintained, and later removed in conformance with the details shown on the plans, as specified in these special provisions and as directed by the Engineer.

MATERIALS

Used materials may be installed provided the used materials conform to these special provisions. Materials for temporary fence (Type ESA) shall conform to the following:

High Visibility Fabric

High visibility fabric shall be machine produced, orange colored mesh manufactured from polypropylene or polyethylene. High visibility fabric may be made of recycled materials. Materials shall not contain biodegradable filler

materials that can degrade the physical or chemical characteristics of the finished fabric. High visibility fabric shall be fully stabilized ultraviolet resistant, shall be a minimum of 1.22 m in width with a maximum mesh opening of 50 mm x 50 mm. High visibility fabric shall be furnished in one continuous width and shall not be spliced to conform to the specified width dimension.

Posts

Posts for temporary fence (Type ESA) shall be of one of the following:

A. Wood posts shall be fir or pine, shall have a minimum cross section of 50 mm x 50 mm, and a minimum length of 1.6 m. The end of the post to be embedded in the soil shall be pointed. Wood posts shall not be treated with wood preservative.
B. Steel posts shall have a "U", "T", "L" or other cross sectional shape that resists failure by lateral loads. Steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.6 m. One end of the steel post shall be pointed and the other end shall have a high visibility colored top.

Fasteners

Fasteners for attaching high visibility fabric to the posts shall be as follows:

A. The high visibility fabric shall be attached to wooden posts with commercial quality nails or staples, or as recommended by the manufacturer or supplier.
B. Tie wire or locking plastic fasteners shall be used for attaching the high visibility fabric to steel posts. Maximum spacing of tie wire or fasteners shall be 600 mm along the length of the steel post.

Signs

The sign legend and dimensions shall be as shown on the plans. The sign shall be weatherproof and fade-proof and may include plastic laminated printed paper affixed to an inflexible weatherproof backer board. The sign panel shall be affixed to the high visibility fabric with tie wire or locking plastic fasteners. The top of the sign panel shall be flush with the top of the high visibility fabric. Sign panels shall be placed at 30 m apart along the length of the temporary fence (Type ESA), and at each end of the fence.

INSTALLATION

Temporary fence (Type ESA) shall be installed as follows:

A. All fence construction activities shall be conducted from outside the ESA as shown on the plans or as staked.
B. Posts shall be embedded in the soil a minimum of 380 mm. Post spacing shall be 2.5 m maximum from center to center and shall at all times support the fence in a vertical position.
C. Temporary fence (Type ESA) shall be constructed prior to clearing and grubbing work, shall enclose the foliage canopy (drip line) of protected plants, and shall not encroach upon visible roots of the plants.

When Type ESA temporary fence is no longer required, as determined by the Engineer, the temporary fence shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, except when reused as provided in this section.

Holes caused by the removal of temporary fence (Type ESA) shall be backfilled in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary fence (Type ESA) that is damaged during the progress of the work shall be repaired or replaced by the Contractor the same day the damage occurs.

MEASUREMENT AND PAYMENT

Temporary fence (Type ESA) shall be measured and paid for in the same manner specified for permanent fence as provided in Section 80, "Fences," of the Standard Specifications.

Full compensation for maintaining, removing, and disposing of temporary fence (Type ESA) shall be considered as included in the contract price paid per meter for temporary fence (Type ESA) and no additional compensation will be allowed therefor.

10-1.20 TEMPORARY CONSTRUCTION ENTRANCE

Temporary construction entrances shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary construction entrances shall be one of the water pollution control practices for tracking control. The SWPPP shall include the use of temporary construction entrances.

Temporary construction entrances shall be either Type 1 or Type 2.

MATERIALS

Temporary Entrance Fabric

Temporary entrance fabric shall be manufactured from polyester, nylon, or polypropylene material, or any combination thereof. Temporary entrance fabric shall be a nonwoven, needle-punched fabric, free of needles which may have broken off during the manufacturing process. Temporary entrance fabric shall be permeable and shall not act as a wicking agent.

Temporary entrance fabric shall be manufactured from virgin, recycled, or a combination of virgin and recycled polymer materials. No virgin or recycled materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Temporary entrance fabric shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, min. ASTM Designation: D 5261	235
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Elongation at break, percent min. ASTM Designation: D4632*	50
Toughness, kilonewtons, min. (percent elongation x grab tensile strength)	53

* or appropriate test method for specific polymer

Rocks

Rocks shall conform to the material quality requirements in Section 72-2.02, "Materials," of the Standard Specifications for shape and for apparent specific gravity, absorption, and durability index. Rocks used for the temporary entrance shall conform to the following sizes:

Square Screen Size (mm)	Percentage Passing	Percentage Retained
150	100	0
75	0	100

Corrugated Steel Panels

Corrugated steel panels shall be prefabricated and shall be pressed or shop welded, with a slot or hooked section to facilitate coupling at the ends of the panels.

INSTALLATION

Temporary construction entrances shall be installed as follows:

1. Before placing the temporary entrance fabric, the areas shall be cleared of all trash and debris. Vegetation shall be removed to the ground level. Trash, debris, and removed vegetation shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.
2. A sump shall be constructed within 6 m of each temporary construction entrance as shown on the plans.

3. Before placing the temporary entrance fabric, the ground shall be graded to a uniform plane. The relative compaction of the top 0.5-m shall be not less than 90 percent. The ground surface shall be free of sharp objects that may damage the temporary entrance fabric, and shall be graded to drain to the sump as shown on the plans.
4. Temporary entrance fabric shall be positioned longitudinally along the alignment of the entrance, as directed by the Engineer.
5. The adjacent ends of the fabric shall be overlapped a minimum length of 300 mm.
6. Rocks to be placed directly over the fabric shall be spread in the direction of traffic, longitudinally and along the alignment of the temporary construction entrance.
7. During spreading of the rocks, vehicles or equipment shall not be driven directly on the fabric. A layer of rocks a minimum 150 mm thick shall be placed between the fabric and the spreading equipment to prevent damage to the fabric.
8. For Type 2 temporary construction entrances, a minimum of 6 coupled panel sections shall be installed for each temporary construction entrance. Before installing the panels, the ground surface shall be cleared of all debris to ensure uniform contact with the ground surface.

Fabric damaged during rock placement shall be repaired by placing a new piece of fabric over the damaged area. The piece of fabric shall be large enough to cover the damaged area and provide a minimum 450-mm overlap on all edges.

Details for a proposed alternative temporary construction entrance or alternative sump shall be submitted to the Engineer for approval at least 7 days before installation. The Contractor may eliminate the sump if approved in writing by the Engineer.

When no longer required as determined by the Engineer, temporary construction entrances shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary construction entrance, including the sumps, shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

While the temporary construction entrance is in use, pavement shall be cleaned and sediment removed at least once a day, and as often as necessary when directed by the Engineer. Soil and sediment or other extraneous material tracked onto existing pavement shall not be allowed to enter drainage facilities.

MAINTENANCE

The Contractor shall maintain temporary construction entrances throughout the contract or until removed. The Contractor shall prevent displacement or migration of the rock surfacing or corrugated steel panels. Significant depressions resulting from settlement or heavy equipment shall be repaired by the Contractor, as directed by the Engineer.

Temporary construction entrances shall be maintained to minimize tracking of soil and sediment onto existing public roads.

If buildup of soil and sediment deter the function of the temporary construction entrance, the Contractor shall immediately remove and dispose of the soil and sediment, and install additional corrugated steel panels and spread additional rocks to increase the capacity of the temporary construction entrance.

Temporary construction entrances shall be repaired or replaced on the same day the damage occurs. Damage to the temporary construction entrance resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary construction entrances will be determined from actual count in place.

The contract unit price paid for temporary construction entrance shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing temporary construction entrance, complete in place, including excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The cost of maintaining the temporary construction entrance will be borne equally by the State and the Contractor. The division of cost will be made be determining the cost of maintaining temporary construction entrance in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying to the Contractor one-half of that cost.

Cleanup, repair, removal, disposal, or replacement due to improper installation or the Contractor's negligence will not be considered as included in the cost for performing maintenance.

10-1.21 TEMPORARY DRAINAGE INLET PROTECTION

Temporary drainage inlet protection shall be constructed, maintained, and removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in accordance with "Water Pollution Control" of these special provisions, and in accordance with the details shown on the plans and these special provisions.

Temporary drainage inlet protection shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary drainage inlet protection.

The Contractor shall select the appropriate drainage inlet protection in accordance with the details to meet the conditions around the drainage inlet. Throughout the duration of the contract, the Contractor shall provide protection to meet the changing conditions around the drainage inlet.

Temporary drainage inlet protection shall be Type 1, 2, 3A, 3B, 4A, 4B, and 5.

MATERIALS

Erosion Control Blanket

The erosion control blanket shall be a rolled erosion control product (RECP) and shall be classified either as temporary and degradable or long-term and nondegradable, and shall conform to one of the following:

A. Temporary and degradable:

1. Machine produced mats consisting of curled wood excelsior with 80 percent of the fiber 150 mm or longer. The excelsior blanket shall be of consistent thickness with wood fiber evenly distributed over the entire area of the blanket. The top surface of the blanket shall be covered with lightweight nonsynthetic netting. The blanket shall be smolder resistant without the use of chemical additives and shall be nontoxic and noninjurious to plant and animal life. The excelsior blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m^2.
2. Machine produced mats consisting of 70 percent straw and 30 percent coconut fiber with lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The straw and coconut shall adhere to the netting using thread or glue strip. The straw and coconut blanket shall be of consistent thickness, and straw and coconut fiber shall be evenly distributed over the entire area of the blanket. The straw and coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m^2.
3. Machine produced mats that are 100 percent coir consisting of coconut fiber with lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The coconut fiber shall adhere to the netting using thread or glue strip. The coconut blanket shall be of consistent thickness, with coconut fiber evenly distributed over the entire area of the blanket. The coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m^2.
4. Machine woven netting that is 100 percent spun coir consisting of coconut fiber with an average open area of 63 percent to 70 percent. Coconut coir netting shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m^2.

B. Long-term and nondegradable:

1. Geotextile blanket shall conform to the provisions for rock slope protection fabric (Type A) in Section 88-1.04, "Rock Slope Protection Fabric," of the Standard Specifications.

Staples

Staples shall be as shown on the plans. An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

Rocks

Rocks shall conform to the requirements in Section 72-2.02, "Materials," of the Standard Specifications except that grading shall conform to the following sizes:

Square Screen Size (mm)	Percentage Passing	Percentage Retained
150	100	0
75	0	100

Fiber Roll

A fiber roll shall be one of the following:

A. Constructed with a premanufactured blanket made of one material or a combination of materials consisting of wood excelsior, rice or wheat straw, or coconut fibers. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length, and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.

B. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. The rolls shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length, and shall weigh at least 1.6 kg/m. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll.

Wood Stakes

Wood stakes shall be a minimum of 19 mm x 19 mm x 450 mm in size for Type 1 installation, or shall be a minimum of 19 mm x 38 mm x 450 mm in size for Type 2 installation. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

Gravel-filled Bags

Gravel-filled bag fabric shall be nonwoven polypropylene geotextile or polymer material and shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, minimum. ASTM Designation: D 5261	270
Grab tensile strength (25-mm grip), kilonewtons, minimum. ASTM Designation: D4632*	0.89
Ultraviolet stability, percent tensile strength retained after 500 hours, ASTM Designation: D4355, xenon arc lamp method	70

* or appropriate test method for specific polymer

Gravel-filled bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

Silt Fence

At the Contractor's option, temporary silt fence shall be prefabricated or constructed with silt fence fabric, posts, and fasteners.

Silt fence fabric shall conform to the following requirements:

Specification	Requirements
Width, mm, min.	900
Grab tensile strength (25-mm grip), kilonewtons, minimum. in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	0.55
Elongation, percent minimum in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	15
Permittivity, 1/sec., minimum. ASTM Designation: D 4491	1.5
Flow rate, liters per minute per square meter, minimum. ASTM Designation: D 4491	400
Ultraviolet stability, percent tensile strength retained after 500 hours, minimum. ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method)	70

Silt fence fabric shall be geotextile manufactured from woven polypropylene or polymer material. Silt fence fabric may be made of recycled materials. No materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204.

Posts for temporary silt fences shall be one of the following:

A. Posts shall be untreated fir, redwood, cedar, or pine, shall be cut from sound timber, and shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended. Wood post shall be a minimum of 34 mm x 40 mm in size, and 4 feet in length. The end of the post to be embedded in the soil shall be pointed.
B. Posts shall be steel and have a "U," "T," "L," or other cross sectional shape that can resist failure from lateral loads. The steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.2 m. One end of the steel posts shall be pointed and the other end shall be capped with an orange or red plastic safety cap which fits snugly to the steel post. The Contractor shall submit to the Engineer for approval a sample of the capped steel post before installation.

Fasteners for attaching silt fence fabric to posts shall be as follows:

A. When prefabricated silt fence is used, posts shall be inserted into sewn pockets.
B. Silt fence fabric shall be attached to wooden posts with nails or staples as shown on the plans or as recommended by the manufacturer or supplier. Tie wire or locking plastic fasteners shall be used to fasten the silt fence fabric to steel posts. Maximum spacing of fasteners shall be 200 mm along the length of the steel post.

Foam Barriers

The foam barrier fabric cover and skirt shall be a woven polypropylene fabric with a minimum tensile strength of 0.44-kN, conforming to ASTM Designation: D 4632. The prefabricated fabric shall be high visibility orange in color that is integral to the fabric; painting shall not be allowed. The fabric shall have an ultraviolet stability exceeding 70 percent.

The foam core shall be urethane foam and shall be shaped and dimensioned as shown on the plans.

Adhesive for foam barriers shall be a solvent-free rubber modified asphalt emulsion. The color of the emulsion shall be brown when wet and shall have a drying period of not more than 3 hours.

Anchoring nails or spikes for foam barriers shall be a minimum of 25 mm in length and capable of penetrating concrete or asphalt surfaces.

Sediment Filter Bag

Sediment filter bag fabric shall be geotextile manufactured from woven polypropylene or polymer material. Sediment filter bag fabric may be made from recycled polymer materials. Polymer materials shall not contain biodegradable filler materials and shall conform to the requirements in ASTM Designation: E 204.

Sediment filter bag fabric shall conform to the following requirements:

Specification	Requirements
Grab tensile strength (25-mm grip), kilonewtons, minimum. in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	1.35
Elongation, percent minimum in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	15
Permittivity, 1/sec., minimum. ASTM Designation: D 4491	1.5
Flow rate, liters per minute per square meter, minimum. ASTM Designation: D 4491	8140
Ultraviolet stability, percent tensile strength retained after 500 hours, minimum. ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method)	80

The sediment filter bag shall be sized to fit the catch basin or drainage inlet and shall be complete with lifting loops and dump straps attached at the bottom to facilitate emptying of the sediment filter bag. The sediment filter bags shall have a restraint cord approximately halfway up the bag to keep the sides away from the catch basin walls.

INSTALLATION

Temporary drainage inlet protection shall be installed at drainage inlets in paved and unpaved areas as follows:

A. Temporary drainage inlet protection shall be installed such that ponded runoff does not encroach on the traveled way or overtop the curb or dike. Gravel-filled bags shall be placed to control ponding and prevent runoff from overtopping the curb or dike.
B. The bedding area for the temporary drainage inlet protection shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
C. A temporary linear sediment barrier shall be installed up-slope of the existing drainage inlet and parallel with the curb, dike, or flow line to prevent sediment from entering the drainage inlet.

Erosion Control Blanket and Geotextile Fabric

The erosion control blanket and geotextile fabric shall be secured to the surface of the excavated sediment trap with staples and embedded in a trench adjacent to the drainage inlet. The perimeter edge of the erosion control blanket and geotextile fabric shall be anchored in a trench.

Silt Fence

Silt fence shall be installed along the perimeter of the erosion control blanket or geotextile fabric, with the posts facing the drainage inlet. The trench shall be backfilled and tamped to secure the silt fence fabric in the bottom of the trench.

Gravel-filled Bags

Gravel-filled bags shall be stacked to form a gravel bag barrier. The gravel-filled bags shall be placed so that the bags are tightly abutted and overlap the joints in adjacent rows. A spillway shall be created by removing one or more gravel-filled bags from the upper layer of the gravel bag barrier.

Gravel-filled bags shall only be used within shoulder areas when placed behind temporary railing (Type K).

Fiber Rolls

Fiber rolls shall be placed over the erosion control blanket or geotextile fabric with the ends of the fiber roll abutted tightly together. Fiber rolls shall be secured with stakes installed along the length of the fiber rolls. Stakes shall not be installed within 300 mm of the end of the rolls.

Foam Barriers

Foam barriers shall be installed in individual sections adjacent to existing drainage inlets. Foam barriers shall be securely attached to the pavement according to the angle and spacing shown on the plans. Foam barriers shall be installed flush against the sides of concrete, asphalt concrete, or hot mix asphalt curbs, dikes, and pavement with the inner material and fabric cover cut smoothly and evenly to provide a tight flush joint.

Sediment Filter Bags

Sediment filter bags shall be installed by removing the drainage inlet grate, placing the sediment bag in the opening, and replacing the grate to secure the sediment filter bag in place.

MAINTENANCE

Temporary drainage inlet protection shall be maintained to provide sediment holding capacity and to reduce runoff velocities. Temporary drainage inlet protection shall be repaired or replaced immediately after the damage occurs.

Sediment deposits, trash, and debris shall be removed from temporary drainage inlet protection as needed or when directed by the Engineer. Removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water. Trash and debris shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

At locations where rills and other evidence of concentrated runoff have occurred beneath the drainage inlet protection, the protection shall be adjusted to prevent another occurrence.

Temporary silt fence shall be repaired or replaced when silt fence fabric becomes split, torn, or unraveled. Sagging or slumping silt fence shall be repaired with additional stakes or replaced. Broken or split stakes shall be replaced. Temporary silt fence shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the silt fence fabric above ground.

Sediment in excess of 50 mm above the surface of the erosion control blanket or geotextile fabric shall be removed.

Sediment shall be removed from the sediment trap when the volume has been reduced by approximately one-half.

Sediment deposits shall be removed when the deposit is 1/3 the height of the gravel bag barrier or one half the height of the spillway; whichever is less.

Gravel-filled bags shall be replaced when the bag material ruptures or when the binding fails.

Split, torn, unraveling, sagging, or slumping fiber rolls shall be replaced or repaired.

Foam barriers shall be repaired or replaced when the geotextile fabric cover becomes split, torn, or unraveled. Foam barriers that become detached or dislodged shall be reattached to the pavement. Sediment deposits shall be removed when the deposit reaches 1/3 of the foam barrier height.

Sediment filter bags shall be emptied when the restraint cords are no longer visible. Sediment filter bags shall be emptied by placing 25 mm steel reinforcing bars through the lifting loops. The bag shall be emptied of its contents and rinsed before replacement in the drainage inlet.

REMOVAL

When the temporary drainage inlet protection is no longer required the protection materials shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Holes, depressions, or other ground disturbance caused by the removal of the temporary drainage inlet protection shall be backfilled and repaired in accordance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MEASUREMENT

Quantities of temporary drainage inlet protection will be determined from actual count in place. The protection will be measured one time only and no additional measurement will be recognized.

PAYMENT

The contract unit price paid for temporary drainage inlet protection shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the temporary drainage inlet protection, complete in place, including removal of materials, including cleanup and disposal of retained sediment and debris, and backfilling and repairing holes, depressions and other ground disturbance, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No additional compensation will be made if the temporary drainage inlet protection changes during the course of construction.

The cost of maintaining temporary drainage inlet protection will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost of maintaining temporary drainage inlet protection in accordance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying to the Contractor one-half of that cost.

Cleanup, repair, removal, disposal, or replacement due to improper installation, or as a result of the Contractor's negligence will not be considered as included in the cost for performing maintenance.

10-1.22 TEMPORARY CREEK DIVERSION SYSTEM

Temporary creek diversion system shall be constructed, maintained, and later removed at the locations shown on the plans in conformance with "Water Pollution Control" of these special provisions, and in accordance with these special provisions.

Temporary creek diversion system shall be one of the water pollution control practices for non-storm water management control. The Storm Water Pollution Prevention Plan shall include the use of temporary creek diversion system.

Temporary creek diversion system shall consist of a temporary gravel coffer dam with impermeable plastic liner across the existing upstream channel, a sand bag barrier downstream from the proposed work area, and a plastic pipe to pass creek flows through the coffer dam, the Contractor's work area, and the sand bag barrier.

MATERIALS

Permeable Material (River Run Gravel)

Permeable material (river run gravel) used in the construction of the coffer dam shall conform to the requirements in Section 68-1.025, "Permeable Material," of the Standard Specifications except that it shall have less than 15 percent fines passing the 0.850 mm sieve. The material shall be clean, hard, sound, durable, uniform in quality, and free of any detrimental quantity of soft, thin, elongated or laminated pieces, disintegrated material, organic matter, or other deleterious substances. Gravel shall be composed entirely of particles that have no more than one fractured face.

Impermeable Plastic Sheet

Impermeable plastic sheet used in the construction of the coffer dam shall be single ply, commercial quality polyethylene with a minimum thickness of 0.25-mm and shall be free of holes, punctures, tears or other defects that compromise the impermeability of the material. The material shall be suitable for use as a protective liner. All joints between the edges shall be lapped and joined with commercial quality waterproof tape.

Plastic Pipe

Plastic pipe shall conform to the provisions in Section 64, "Plastic Pipe," of the Standard Specifications for Type C and Type S corrugated polyethylene pipe.

At the option of the Contractor, an alternative culvert may be used. Alternative pipe culvert shall meet the requirements in Section 62, "Alternative Culverts," of the Standard Specifications. The culverts shall be capable of sustaining the intended load and of discharging a quantity of water equivalent to the type and size of plastic pipe shown on the plans. Adequacy as to equivalent strength and capacity shall be subject to approval, in writing, by the Engineer.

Sand Bag Barrier

Sand bag barrier shall conform to the details shown on the plans and these special provisions. Sand bag barrier shall be furnished, installed, maintained and removed at the locations shown on the plans.

Preparation shall conform to the provisions in Section 20-3.02, "Preparation," of the Standard Specifications.

Attention is directed to "Water Pollution Control" of these special provisions.

Sand bag fabric shall be woven polypropylene, polyethylene, or polyamide with a minimum unit weight of 135g/m^2. The fabric shall have a mullen burst strength of at least 2067 kPa, conforming to the requirements in ASTM Designation: D3786 and an ultraviolet (UV) stability exceeding 70 percent.

Sand bags when filled shall have a length of 300 mm to 450 mm, width of 200 mm to 300 mm, thickness of 120 mm to 150 mm, and a mass of 20 kg to 30 kg.

Sand bag material shall be non-cohesive, coarse sand, free from deleterious material.

INSTALLATION

Temporary creek diversion system shall be constructed by placing river run gravel and impermeable plastic sheet around plastic pipe as shown on the plans. All joints between the impermeable plastic sheet and the flexible plastic pipe shall be sealed with commercial quality waterproof tape.

Sand bags placed in multiple layers shall be as shown on the plans and in conformance with Detail Sheet 1 in Appendix C, CD38 (2) in the Construction Contractors Guide and Specifications of the Caltrans Storm Water Quality Handbooks.

Use of the temporary creek diversion system is restricted to the period from June 15 to October 15. If the work requires more than one restricted period, the temporary creek diversion system shall be removed by the conclusion of the restricted period and repositioned during the following restricted period at the Contractor's expense.

The Contractor shall be responsible for preventing, at his expense, any leakage in the temporary creek diversion system that may interfere with his work.

The Contractor may use an alternative temporary creek diversion system if approved by the Engineer in writing. The Contractor shall submit details for an alternative temporary creek diversion system to the Engineer at least 10 40 working days prior to installation. The Engineer will have at least 30 working days to review and approve the alternative system. Any increase in cost for the alternative temporary creek diversion system shall be borne by the Contractor. The alternative temporary creek diversion system shall be installed and maintained in conformance with these special provisions.

When no longer required, as determined by the Engineer, temporary creek diversion system shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Holes, depressions or other ground disturbance to the creek bed and banks caused by the installation and removal of the temporary creek diversion system shall be backfilled and repaired with permeable material in conformance with the provisions of these special provisions.

MAINTENANCE

Temporary creek diversion system shall be maintained to provide adequate holding capacity with a minimum freeboard of 300 mm. Holes, rips, and voids in the Impermeable Plastic Sheet shall be patched and repaired by taping or the Impermeable Plastic Sheet shall be replaced. Impermeable Plastic Sheet shall be replaced when patches or repairs compromise the impermeability of the material as determined by the Engineer.

Temporary creek diversion system shall be repaired or replaced on the same day when the damage occurs. Damage to the temporary creek diversion system resulting from the Contractor’s vehicles, equipment, or operations shall be repaired at the Contractor’s expense.

If during the progress of the work it becomes necessary to reposition or relocate portions of the temporary creek diversion system, the work shall be done at the Contractor’s expense.

MEASUREMENT AND PAYMENT

Quantities of temporary creek diversion system will be measured as units determined from actual count in place.

The contract unit price paid for temporary creek diversion system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing and maintaining temporary creek diversion system, complete in place, including removal when no longer required, restoration of the line and grade of the creek bed and its banks to its original condition as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.23 TEMPORARY RETAINING WALL

Temporary retaining wall shall be designed, constructed, and maintained as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

The Contractor shall be responsible for designing and constructing temporary retaining wall which shall provide the necessary support of the embankment. The Engineer may reject any design which, in the Engineer's judgment, may not provide the necessary support of the embankment.

Within 30 days after the approval of the contract, the Contractor shall submit complete working drawings for the temporary retaining wall to the Engineer for review in accordance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. Four sets of drawings and 2 copies of the design calculations shall be furnished to the Engineer. The Contractor shall allow the Engineer 30 working days to review the drawings and design calculations after a complete submittal has been received. If revisions are required, as determined by the Engineer, the Contractor shall revise and resubmit the drawings and calculations within 15 working days of receipt of the Engineer's comments and shall allow 30 working days for the Engineer to review the revisions. Upon the Engineer's approval of the drawings and design calculations, 4 additional sets of drawings and 2 copies of the design calculations, incorporating the required changes, shall be submitted to the Engineer.

Working drawings shall be either 280 mm x 432 mm, or 560 mm x 864 mm in size and each drawing and calculation sheet shall include the State assigned designations for the contract number and District-County-Route-Kilometer Post. The design firm's name, address, and phone number shall be shown on the working drawings. Each sheet shall be numbered in the lower right hand corner and shall contain a blank space in the upper right hand corner for future contract sheet numbers.

The Contractor shall verify the existing ground elevations at the site prior to preparing the working drawings. Said working drawings shall contain all information required for the proper construction of the temporary retaining wall, including existing ground line at face of wall as verified at the site and any required revisions or additions to drainage systems or other facilities. The working drawings shall be supplemented as necessary with calculations for the particular installation. Said working drawings and calculations shall be stamped and signed by an engineer who is registered as a Civil Engineer in the State of California.

The Contractor shall not commence constructing temporary retaining wall until the Engineer has reviewed and approved the working drawings in writing.

Approval by the Engineer of the temporary retaining wall drawings or the inspection performed by the Engineer will in no way relieve the Contractor of full responsibility for the temporary retaining wall.

EARTHWORK

Excavation and backfill shall conform to the provisions in Section 19, "Earthwork," of the Standard Specifications, and these special provisions.

The Contractor shall substantiate all values used in the design of the temporary retaining wall shown on the working drawings.

CONCRETE

All concrete used in temporary retaining wall shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

REINFORCEMENT

All reinforcing steel used in temporary retaining wall shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications and these special provisions. All metal reinforcements shall have corrosion protection for at least 5 years. A Certificate of Compliance conforming to provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished for the metal reinforcement, certifying the compliance of the provisions for corrosion protection.

GALVANIZING

When soil reinforcement and connecting elements, and any other steel component that is in contact with the earth are used in the construction of the temporary retaining wall, the metal elements and components shall be galvanized in accordance with Section 75-1.05, "Galvanizing," of the Standard Specifications.

When no longer required for the work as determined by the Engineer, temporary retaining wall, at the option of the Contractor, may be removed or left in place, except all lumber, timber, and timber piles shall be removed and no portion of the retaining wall shall remain which is within 1 meter of finished grade. Removed retaining wall shall be disposed of outside the highway right of way in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside of the Highway Right of Way," of the Standard Specifications.

MEASUREMENT AND PAYMENT

Temporary retaining wall will be measured and paid for by the square meter. Regardless of the type of temporary retaining wall actually constructed, the square meter area for payment will be based on the vertical height and length of each section which was constructed. The vertical height of each section will be taken as the difference in elevation on the outer face, from the existing ground elevation to the top of wall profile complete in place.

The contract price paid per square meter for temporary retaining wall shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in temporary retaining wall, complete in place, including designing, constructing (including excavation and backfill), maintaining, removing and disposing of temporary retaining wall, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.24 COOPERATION

It is anticipated that work by another contractor may be in progress adjacent to or within the limits of this project during progress of the work on this contract. The following table lists contracts anticipated to be in progress during this contract.

Contract No.	Co-Rte-KP	Location	Type of Work
04-129654	Son-101-22.4/25.0	Rte 101 from Rohnert Park Expwy to Santa Rosa Ave Interchange	Highway widening and bridge Replacement
04-0A6324	Son-116-55.5/56.3	0.1 Km West of Alder Avenue to the 101/116 Separation	Highway widening
04-01A834	Son-101-8.9./14.4	From Old Redwood Highway to North of Pepper Road	Highway widening

Attention is directed to Section 7-1.14, "Cooperation," and Section 8-1.10,"Utility and Non-Highway Facilities," of the Standard Specifications and these special provisions.

It is anticipated that work by other contractors (Contract No 04-129654 and 04-0A6324) to widen Rte 101 from Rohnert Park Expwy to Santa Rosa Ave Interchange and widen Highway 116 from Alder Avenue to Redwood Drive may be in progress adjacent to or within the limits of this project during progress of the work on this contract.

The Contractor shall attend joint weekly meetings, to be organized by the Engineer with other contractors and/or operations managers on adjacent projects, to minimize potential conflicts and coordinate traffic control. The Contractor shall coordinate with and accommodate other segment contractors when preparing operations and work schedules.

The Engineer and the Contractor of each adjacent construction project shall hold weekly scheduling meetings to discuss the near term schedule activities, to address any long-term schedule issues, and to discuss any relevant technical issues. The Contractor shall develop a rolling 4-weeks schedule identifying the previous week worked and a 3-week look ahead. It shall provide sufficient detail to include the actual and planned activities of the Contractor and all the subcontractors for offsite and construction activities, addressing all activities to be performed and to identify issues requiring engineering action or input. Each activity in the 4 weeks rolling schedule should be identified by an associated CPM schedule, activity ID numbering system. This schedule shall not be hand written. The Contractor shall utilize a schedule layout as acceptable by the Engineer. The schedule shall be electronically submitted to the Engineer one day prior to the scheduled meeting date. The Contractor shall attend joint weekly meetings, to be organized by the Engineer with other contractors on the adjacent projects in order to minimize potential conflicts. Furthermore, the Contractor shall be responsible for coordinating with other contractors, agencies or their authorized personnel or representative performing work within their contract limits.

Full compensation for conforming to the requirements of this section shall be considered as included in the various contract items of work and no additional compensation will be allowed therefor.

10-1.25 PROGRESS SCHEDULE (CRITICAL PATH METHOD)

GENERAL

Summary

Critical path method (CPM) progress schedules are required for this project. Whenever the term "schedule" is used in this section, it means CPM progress schedule.

The provisions in Section 8-1.04, "Progress Schedule," of the Standard Specifications do not apply.

Definitions

The following definitions apply to this section:

activity: A task, event or other project element on a schedule that contributes to completing the project. Activities have a description, start date, finish date, duration and one or more logic ties.

baseline schedule: The initial schedule showing the original work plan beginning on the date of contract approval. This schedule shows no completed work to date and no negative float or negative lag to any activity.

contract completion date: The current extended date for completion of the contract shown on the weekly statement of working days furnished by the Engineer as specified in Section 8-1.06, "Time of Completion," of the Standard Specifications.

critical path: The longest continuous chain of activities for the project that has the least amount of total float of all chains. In general, a delay on the critical path will extend the scheduled completion date.

critical path method (CPM): A network based planning technique using activity durations and the relationships between activities to mathematically calculate a schedule for the entire project.

data date: The day after the date through which a schedule is current. Everything occurring earlier than the data date is "as-built" and everything on or after the data date is "planned."

early completion time: The difference in time between an early scheduled completion date and the contract completion date.

float: The difference between the earliest and latest allowable start or finish times for an activity.

milestone: An event activity that has zero duration and is typically used to represent the beginning or end of a certain stage of the project.

narrative report: A document submitted with each schedule that discusses topics related to project progress and scheduling.

near critical path: A chain of activities with total float exceeding that of the critical path but having no more than 10 working days of total float.

scheduled completion date: The planned project finish date shown on the current accepted schedule.

State owned float activity: The activity documenting time saved on the critical path by actions of the State. It is the last activity prior to the scheduled completion date.

time impact analysis: A schedule and narrative report developed specifically to demonstrate what effect a proposed change or delay has on the current scheduled completion date.

time-scaled network diagram: A graphic depiction of a CPM schedule comprised of activity bars with relationships for each activity represented by arrows. The tail of each arrow connects to the activity bar for the predecessor and points to the successor.

total float: The amount of time that an activity or chain of activities can be delayed before extending the scheduled completion date.

updated schedule: A current schedule developed from the baseline or subsequent schedule through regular monthly review to incorporate as-built progress and any planned changes.

Submittals

General Requirements

Submit to the Engineer baseline, monthly updated, and final updated schedules, each consistent in all respects with the time and order of work requirements of the contract. Work must be executed in the sequence indicated on the current accepted schedule.

Schedules must show the order in which you propose to prosecute the work with logical links between time-scaled work activities and calculations made using the critical path method to determine the controlling activities. You are responsible for assuring that all activity sequences are logical and that each schedule shows a coordinated plan for complete performance of the work.

Produce schedules using computer software and submit compatible software for the Engineer's exclusive possession and use. Submit network diagrams and schedule data as parts of each schedule submittal.

Schedule activities must include the following:

1. Project characteristics, salient features, or interfaces, including those with outside entities, that could affect time of completion
2. Project start date, scheduled completion date, and other milestones
3. Work performed by you, your subcontractors, and suppliers
4. Submittal development, delivery, review, and approval, including those from you, your subcontractors, and suppliers
5. Procurement, delivery, installation, and testing of materials, plants, and equipment
6. Testing and settlement periods
7. Utility notification and relocation
8. Erection and removal of falsework and shoring
9. Major traffic stage switches
10. Finishing roadway and final cleanup
11. State-owned float as the predecessor activity to the scheduled completion date

Schedules must have not less than 50 and not more than 500 activities, unless otherwise authorized by the Engineer. The number of activities must be sufficient to assure adequate planning of the project, to permit monitoring and evaluation of progress, and to do an analysis of time impacts.

Schedule activities must include the following:

1. A clear and legible description.
2. Start and finish dates.
3. A duration of not less than one working day, except for event activities, and not more than 20 working days, unless otherwise authorized by the Engineer.

4. At least one predecessor and one successor activity, except for project start and finish milestones.
5. Required constraints. Constraints other than those required by the special provisions may be included only if authorized by the Engineer.
6. Codes for responsibility, stage, work shifts, location, and contract pay item numbers.

You may show early completion time on any schedule provided that the requirements of the contract are met. Early completion time is considered a resource for your exclusive use. You may increase early completion time by improving production, reallocating resources to be more efficient, performing sequential activities concurrently, or by completing activities earlier than planned. You may also submit for approval a cost reduction incentive proposal as specified in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications that will reduce time of construction.

You may show a scheduled completion date that is later than the contract completion date on an update schedule, after the baseline schedule is accepted. Provide an explanation for a late scheduled completion date in the narrative report that is included with the schedule.

State-owned float is considered a resource for the exclusive use of the State. The Engineer may accrue State-owned float by the early completion of review of any type of required submittal when it saves time on the critical path. Prepare a time impact analysis, when requested by the Engineer, to determine the effect of the action as specified in "Time Impact Analysis." The Engineer documents State-owned float by directing you to update the State-owned float activity on the next updated schedule. Include a log of the action on the State-owned float activity and include a discussion of the action in the narrative report. The Engineer may use State-owned float to mitigate past, present, or future State delays by offsetting potential time extensions for contract change orders.

The Engineer may adjust contract working days for ordered changes that affect the scheduled completion date as specified in Section 4-1.03, "Changes," of the Standard Specifications. Prepare a time impact analysis to determine the effect of the change as specified in "Time Impact Analysis" and include the impacts acceptable to the Engineer in the next updated schedule. Changes that do not affect the controlling operation on the critical path will not be considered as the basis for a time adjustment. Changes that do affect the controlling operation on the critical path will be considered by the Engineer in decreasing time or granting an extension of time for completion of the contract. Time extensions will only be granted if the total float is absorbed and the scheduled completion date is delayed one or more working days because of the ordered change.

The Engineer's review and acceptance of schedules does not waive any contract requirements and does not relieve you of any obligation or responsibility for submitting complete and accurate information. Correct rejected schedules and resubmit corrected schedules to the Engineer within 7 days of notification by the Engineer, at which time a new review period of 7 days will begin.

Errors or omissions on schedules do not relieve you from finishing all work within the time limit specified for completion of the contract. If, after a schedule has been accepted by the Engineer, either you or the Engineer discover that any aspect of the schedule has an error or omission, you must correct it on the next updated schedule.

Computer Software

Submit to the Engineer for review a description of proposed schedule software to be used. After the Engineer accepts the proposed software, submit schedule software and all original software instruction manuals. All software must be compatible with the current version of the Windows operating system in use by the Engineer. The schedule software must include:

1. Latest version of Primavera SureTrak Project Manager for Windows, or equivalent
2. Latest version of schedule-comparing HST SureChange, or equivalent

If a schedule software equivalent to SureTrak is proposed, it must be capable of generating files that can be imported into SureTrak. The schedule-comparing software must be compatible with schedule software submitted and must be able to compare two schedules and provide reports of changes in activity ID, activity description, constraints, calendar assignments, durations, and logic ties.

The schedule software and schedule-comparing software will be returned to you before the final estimate. The Department will compensate you as specified in Section 4-1.03, "Extra Work," of the Standard Specifications for replacement of software or manuals damaged, lost, or stolen after delivery to the Engineer.

Instruct the Engineer in the use of the software and provide software support until the contract is accepted. Within 15 days of contract approval, provide a commercial 8-hour training session for 2 Department employees in the use of the software at a location acceptable to the Engineer. It is recommended that you also send at least 2 employees to the same training session to facilitate development of similar knowledge and skills in the use of the software. If schedule software other than SureTrak is submitted, then the training session must be a total of 16-hours for each Department employee.

Network Diagrams, Reports, and Data

Include the following with each schedule submittal:

1. Two sets of originally plotted, time-scaled network diagrams
2. Two copies of a narrative report
3. One read-only compact disk or floppy diskette containing the schedule data

The time-scaled network diagrams must conform to the following:

1. Show a continuous flow of information from left to right
2. Be based on early start and early finish dates of activities
3. Clearly show the primary paths of criticality using graphical presentation
4. Be prepared on 860 mm x 1120 mm (34" x 44")
5. Include a title block and a timeline on each page

The narrative report must be organized in the following sequence with all applicable documents included:

1. Transmittal letter
2. Work completed during the period
3. Identification of unusual conditions or restrictions regarding labor, equipment or material; including multiple shifts, 6-day work weeks, specified overtime or work at times other than regular days or hours
4. Description of the current critical path
5. Changes to the critical path and scheduled completion date since the last schedule submittal
6. Description of problem areas
7. Current and anticipated delays:

    7.1. Cause of delay
    7.2. Impact of delay on other activities, milestones, and completion dates
    7.3. Corrective action and schedule adjustments to correct the delay

8. Pending items and status thereof:

    8.1. Permits
    8.2. Change orders
    8.3. Time adjustments
    8.4. Noncompliance notices

9. Reasons for an early or late scheduled completion date in comparison to the contract completion date

Schedule submittals will only be considered complete when all documents and data have been submitted as described above.

Preconstruction Scheduling Conference

Schedule a preconstruction scheduling conference with your project manager and the Engineer within 15 days after contract approval. The Engineer will conduct the meeting and review the requirements of this section with you.

Submit a general time-scaled logic diagram displaying the major activities and sequence of planned operations and be prepared to discuss the proposed work plan and schedule methodology that comply with the requirements of this section. If you propose deviations to the construction staging, then the general time-scaled logic diagram must also display the deviations and resulting time impacts. Be prepared to discuss the proposal.

At this meeting, also submit the alphanumeric coding structure and activity identification system for labeling work activities. To easily identify relationships, each activity description must indicate its associated scope or location of work by including such terms as quantity of material, type of work, bridge number, station to station location, side of highway (such as left, right, northbound, southbound), lane number, shoulder, ramp name, ramp line descriptor, or mainline.

The Engineer reviews the logic diagram, coding structure, and activity identification system, and provide any required baseline schedule changes to you for implementation.

Baseline Schedule

Beginning the week following the preconstruction scheduling conference, meet with the Engineer weekly to discuss schedule development and resolve schedule issues until the baseline schedule is accepted.

Submit to the Engineer a baseline schedule within 20 days of approval of the contract. Allow 20 days for the Engineer's review after the baseline schedule and all support data are submitted. In addition, the baseline schedule submittal is not considered complete until the computer software is delivered and installed for use in review of the schedule.

The baseline schedule must include the entire scope of work and how you plan to complete all work contemplated. The baseline schedule must show the activities that define the critical path. Multiple critical paths and near-critical paths must be kept to a minimum. A total of not more than 50 percent of the baseline schedule activities must be critical or near critical, unless otherwise authorized by the Engineer.

The baseline schedule must not extend beyond the number of contract working days. The baseline schedule must have a data date of contract approval. If you start work before contract approval, the baseline schedule must have a data date of the 1st day you performed work at the job site.

If you submit an early completion baseline schedule that shows contract completion in less than 85 percent of the contract working days, the baseline schedule must be supplemented with resource allocations for every task activity and include time-scaled resource histograms. The resource allocations must be shown to a level of detail that facilitates report generation based on labor crafts and equipment classes for you and your subcontractors. Use average composite crews to display the labor loading of on-site construction activities. Optimize and level labor to reflect a reasonable plan for accomplishing the work of the contract and to assure that resources are not duplicated in concurrent activities. The time-scaled resource histograms must show labor crafts and equipment classes to be used. The Engineer may review the baseline schedule activity resource allocations using Means Productivity Standards or equivalent to determine if the schedule is practicable.

Updated Schedule

Submit an updated schedule and meet with the Engineer to review contract progress, on or before the 1st day of each month, beginning one month after the baseline schedule is accepted. Allow 15 days for the Engineer's review after the updated schedule and all support data are submitted, except that the review period will not start until the previous month's required schedule is accepted. Updated schedules that are not accepted or rejected within the review period are considered accepted by the Engineer.

The updated schedule must have a data date of the 21st day of the month or other date established by the Engineer. The updated schedule must show the status of work actually completed to date and the work yet to be performed as planned. Actual activity start dates, percent complete, and finish dates must be shown as applicable. Durations for work that has been completed must be shown on the updated schedule as the work actually occurred, including Engineer submittal review and your resubmittal times.

You may include modifications such as adding or deleting activities or changing activity constraints, durations, or logic that do not (1) alter the critical path(s) or near critical path(s) or (2) extend the scheduled completion date compared to that shown on the current accepted schedule. Justify in writing the reasons for any changes to planned work. If any proposed changes in planned work will result in (1) or (2) above, then submit a time impact analysis as specified in this section.

Time Impact Analysis

Submit a written time impact analysis (TIA) to the Engineer with each request for adjustment of contract time, or when you or the Engineer considers that an approved or anticipated change may impact the critical path or contract progress.

The TIA must illustrate the impacts of each change or delay on the current scheduled completion date or internal milestone, as appropriate. The analysis must use the accepted schedule that has a data date closest to and before the event. If the Engineer determines that the accepted schedule used does not appropriately represent the conditions before the event, the accepted schedule must be updated to the day before the event being analyzed. The TIA must include an impact schedule developed from incorporating the event into the accepted schedule by adding or deleting activities, or by changing durations or logic of existing activities. If the impact schedule shows that incorporating the event modifies the critical path and scheduled completion date of the accepted schedule, the difference between scheduled completion dates of the two schedules must be equal to the adjustment of contract time. The Engineer may construct and use an appropriate project schedule or other recognized method to determine adjustments in contract time until you provide the TIA.

Submit 2 copies of your TIA within 20 days of receiving a written request for a TIA from the Engineer. Allow the Engineer 15 days after receipt to review the submitted TIA. All approved TIA schedule changes must be shown on the next updated schedule.

If a TIA you submit is rejected, meet with the Engineer to discuss and resolve issues related to the TIA. If agreement is not reached, you are allowed 15 days from the meeting with the Engineer to give notice as specified in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications. Only show actual as-built work, not unapproved changes related

to the TIA, in subsequent updated schedules. If agreement is reached at a later date, approved TIA schedule changes must be shown on the next updated schedule. The Engineer withholds remaining payment on the schedule contract item if a TIA is requested and not submitted within 20 days. The schedule item payment resumes on the next estimate after the requested TIA is submitted. No other contract payment is retained regarding TIA submittals.

Final Updated Schedule

Submit a final update, as-built schedule with actual start and finish dates for the activities, within 30 days after completion of contract work. Provide a written certificate with this submittal signed by your project manager or an officer of the company stating, "To my knowledge and belief, the enclosed final update schedule reflects the actual start and finish dates of the actual activities for the project contained herein." An officer of the company may delegate in writing the authority to sign the certificate to a responsible manager.

PAYMENT

Progress schedule (critical path method) will be paid for at a lump sum price. The contract lump sum price paid for progress schedule (critical path method) includes full compensation for furnishing all labor, material, tools, equipment, and incidentals, including computer software, and for doing all the work involved in preparing, furnishing, and updating schedules, and instructing and assisting the Engineer in the use of computer software, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for the progress schedule (critical path method) contract item will be made progressively as follows:

1. A total of 25 percent of the item amount or a total of 25 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon achieving all of the following:

    1.1. Completion of 5 percent of all contract item work.
    1.2. Acceptance of all schedules and approval of all TIAs required to the time when 5 percent of all contract item work is complete.
    1.3. Delivery of schedule software to the Engineer.
    1.4. Completion of required schedule software training.

2. A total of 50 percent of the item amount or a total of 50 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon completion of 25 percent of all contract item work and acceptance of all schedules and approval of all TIAs required to the time when 25 percent of all contract item work is complete.
3. A total of 75 percent of the item amount or a total of 75 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon completion of 50 percent of all contract item work and acceptance of all schedules and approval of all TIAs required to the time when 50 percent of all contract item work is complete.
4. A total of 100 percent of the item amount or a total of 100 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon completion of all contract item work, acceptance of all schedules and approval of all TIAs required to the time when all contract item work is complete, and submittal of the certified final update schedule.

If you fail to complete any of the work or provide any of the schedules required by this section, the Engineer makes an adjustment in compensation as specified in Section 4-1.03C, "Changes in Character of Work," of the Standard Specifications for the work not performed. Adjustments in compensation for schedules will not be made for any increased or decreased work ordered by the Engineer in submitting schedules.

10-1.26 TIME-RELATED OVERHEAD

The Contractor will be compensated for time-related overhead as described below and in conformance with "Force Account Payment" of these special provisions. The Contractor will not be compensated for time-related overhead for delays to the controlling operations caused by the Engineer that occur prior to the first working day, but will be compensated for actual overhead costs incurred, as determined by an independent Certified Public Accountant audit examination and report.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages," "Force Account Payment," and "Progress Schedule (Critical Path Method)" of these special provisions.

The provisions in Section 9-1.08, "Adjustment of Overhead Costs," of the Standard Specifications shall not apply.

Time-related overhead shall consist of those overhead costs, including field and home office overhead, that are in proportion to the time required to complete the work. Time-related overhead shall not include costs that are not related to time, including but not limited to, mobilization, licenses, permits, and other charges incurred only once during the contract. Time-related overhead shall not apply to subcontractors of any tier, suppliers, fabricators, manufacturers, or other parties associated with the Contractor.

Field office overhead expenses include time-related costs associated with the normal and recurring operations of the construction project, and shall not include costs directly attributable to the work of the contract. Time-related costs of field office overhead include, but are not limited to, salaries, benefits, and equipment costs of project managers, general superintendents, field office managers and other field office staff assigned to the project, and rent, utilities, maintenance, security, supplies, and equipment costs of the project field office.

Home office overhead or general and administrative expenses refer to the fixed costs of operating the Contractor's business. These costs include, but are not limited to, general administration, insurance, personnel and subcontract administration, purchasing, accounting, and project engineering and estimating. Home office overhead costs shall exclude expenses specifically related to other contracts or other businesses of the Contractor, equipment coordination, material deliveries, and consultant and legal fees.

The amount of time-related overhead associated with a reduction in contract time for cost reduction incentive proposals accepted and executed in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications shall be considered a construction cost attributable to the resultant estimated net savings due to the cost reduction incentive.

If the final increased amount of time-related overhead exceeds 149 percent of the contract lump sum price bid, the Contractor shall, within 60 days of the Engineer's written request, submit to the Engineer an audit examination and report performed by an independent Certified Public Accountant of the Contractor's actual overhead costs. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.

Independent Certified Public Accountant's audit examinations shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. Audit examinations and reports shall determine if the rates of field office overhead and home office overhead are:

A. Allowable in conformance with the requirements of the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.
B. Adequately supported by reliable documentation.
C. Related solely to the project under examination.

Within 20 days of receipt of the Engineer's written request, the Contractor shall make its financial records available for audit by the State for the purpose of verifying the actual rate of time-related overhead specified in the audit submitted by the Contractor. The actual rate of time-related overhead specified in the audit, submitted by the Contractor, will be subject to approval by the Engineer.

If the Engineer requests the independent Certified Public Accountant audit, or if it is requested in writing by the Contractor, the contract lump sum payment for time-related overhead, in excess of 149 percent of the lump sum price bid, will be adjusted to reflect the actual rate.

The cost of performing an independent Certified Public Accountant audit examination and submitting the report, requested by the Engineer, will be borne equally by the State and the Contractor. The division of the cost will be made by determining the cost of providing an audit examination and report in conformance with the provisions of Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report for overhead claims other than for the purpose of verifying the actual rate of time-related overhead shall be entirely borne by the Contractor. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report to verify actual overhead costs incurred prior to the first working day shall be entirely borne by the Contractor.

Time-related overhead will be paid for at a lump sum price. The contract lump sum price bid for time-related overhead will be increased or decreased only as a result of suspensions or adjustments of contract time which revise the current contract completion date and which satisfy any of the following criteria:

A. Suspensions of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications, except:

1. Suspensions ordered due to weather conditions being unfavorable for the suitable prosecution of the controlling operation or operations.
2. Suspensions ordered due to the failure on the part of the Contractor to carry out orders given, or to perform the provisions of the contract.
3. Suspensions ordered due to factors beyond the control of and not caused by the State or the Contractor, for which the Contractor is granted extensions of time in conformance with the provisions of the third paragraph of Section 8-1.07, "Liquidated Damages," of the Standard Specifications.
4. Other suspensions that mutually benefit the State and the Contractor.

B. Extensions of contract time granted by the State in conformance with the provisions in the fifth paragraph in Section 8-1.07, "Liquidated Damages," of the Standard Specifications and set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.
C. Reductions in contract time set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.

For each day the number of working days bid to complete the contract, in conformance with the provisions in "Beginning Of Work, Time Of Completion And Liquidated Damages," of these special provisions, is increased or decreased due to suspensions or adjustments of contract time as specified above, the lump sum price for time-related overhead will be increased or decreased by an amount equal to the contract lump sum price bid for time-related overhead divided by the number of working days bid to complete the contract.

In the event an early completion progress schedule, as defined in "Progress Schedule (Critical Path Method)" of these special provisions, is submitted by the Contractor and approved by the Engineer, the amount of time-related overhead eligible for payment will be based on the total number of working days for the project, in conformance with the provisions in "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, rather than the Contractor's early completion progress schedule.

The contract lump sum price paid for time-related overhead shall include full compensation for time-related overhead, including the Contractor's share of costs of an independent Certified Public Accountant audit of overhead costs requested by the Engineer, as specified in these special provisions, and as directed by the Engineer.

The provisions in Sections 4-1.03B, "Increased or Decreased Quantities," and 4-1.03C, "Changes in Character of the Work," of the Standard Specifications shall not apply to the contract item of time-related overhead.

Full compensation for additional overhead costs incurred during days of inclement weather when the contract work is extended into additional construction seasons due to delays caused by the State shall be considered as included in the time-related overhead paid during the contract working days, and no additional compensation will be allowed therefor.

Full compensation for additional overhead costs involved in performing additional contract item work that is not a controlling operation shall be considered as included in the contract items of work involved, and no additional compensation will be allowed therefor.

Full compensation for overhead, other than time-related overhead measured and paid for as specified above, and other than overhead costs included in the markups specified in "Force Account Payment" of these special provisions, shall be considered as included in the various items of work and no additional compensation will be allowed therefor.

Overhead costs incurred by subcontractors of any tier, suppliers, fabricators, manufacturers, and other parties associated with the Contractor shall be considered as included in the various items of work and as specified in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

For the purpose of making partial payments pursuant to the provisions in Section 9-1.06, "Partial Payments," of the Standard Specifications, the amount of time-related overhead in each monthly partial payment will be based on the number of working days that occurred during that monthly estimate period, including compensable suspensions and right of way delays. Working days granted by contract change order due to extra work or changes in character of work, will be compensated upon completion of the contract. The amount earned per working day for time-related overhead shall be the lesser of the following amounts:

A. The contract lump sum price for time-related overhead, divided by the number of working days bid to complete the contract, in conformance with the provisions in "Beginning Of Work, Time Of Completion And Liquidated Damages," of these special provisions.
B. Twenty percent of the original total contract amount, divided by the number of working days bid to complete the contract, in conformance with the provisions in "Beginning Of Work, Time Of Completion And Liquidated Damages," of these special provisions.

After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, the amount of the contract lump sum price for time-related overhead not yet paid, will be included

for payment in the first estimate made after acceptance of the contract in conformance with the provisions in Section 9-1.07, "Payment After Acceptance," of the Standard Specifications.

10-1.27 SMALL BUSINESS UTILIZATION REPORT

Submit a completed Small Business Utilization Report form on or before the following dates for the prior reporting period:

1. January 15th
2. April 15th
3. July 15th
4. October 15th

Submit a completed final Small Business Utilization Report form within 30 days after contract acceptance.

The Department pays $250 for each report submitted. The contract unit price paid for small business utilization report includes full compensation for doing all the work involved in submitting the completed Small Business Utilization Report form. If you fail to submit a completed form by the specified time, you will not receive payment for that report.

The Department does not adjust payment for an increase or decrease in the quantity of small business utilization reports submitted. Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications does not apply.

The work to complete the final Small Business Utilization Report contract item is excluded from Section 7-1.17, "Acceptance of Contract," of the Standard Specifications.

Failure to submit the Small Business Utilization Report is not considered a performance failure. Section 9-1.053, "Performance Failure Withholds," of the Standard Specifications does not apply.

10-1.28 DUST CONTROL

Dust control shall conform to the provisions in Section 10, "Dust Control," of the Standard Specifications .

10-1.29 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES

Flagging, signs, and temporary traffic control devices furnished, installed, maintained, and removed when no longer required shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Category 1 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices. These devices shall be certified as crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 temporary traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.

If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 temporary traffic control devices at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use. Self-certification shall be provided by the manufacturer or Contractor and shall include the following:

A. Date,
B. Federal Aid number (if applicable),
C. Contract number, district, county, route and kilometer post of project limits,
D. Company name of certifying vendor, street address, city, state and zip code,
E. Printed name, signature and title of certifying person; and
F. Category 1 temporary traffic control devices that will be used on the project.

The Contractor may obtain a standard form for self-certification from the Engineer.

Category 2 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices that are not expected to produce significant vehicular velocity change, but may cause potential harm to impacting vehicles. Category 2 temporary traffic control devices include barricades and portable sign supports.

Category 2 temporary traffic control devices shall be on the Federal Highway Administration's (FHWA) list of Acceptable Crashworthy Category 2 Hardware for Work Zones. This list is maintained by FHWA and can be located at:

http://safety.fhwa.dot.gov/roadway_dept/road_hardware/listing.cfm?code=workzone

The Department also maintains this list at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/pdf/Category2.pdf

Category 2 temporary traffic control devices that have not received FHWA acceptance shall not be used. Category 2 temporary traffic control devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the manufacturer. The label shall be readable and permanently affixed by the manufacturer. Category 2 temporary traffic control devices without a label shall not be used.

If requested by the Engineer, the Contractor shall provide a written list of Category 2 temporary traffic control devices to be used on the project at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use.

Category 3 temporary traffic control devices consist of temporary traffic-handling equipment and devices that weigh 45 kg or more and are expected to produce significant vehicular velocity change to impacting vehicles. Temporary traffic-handling equipment and devices include crash cushions, truck-mounted attenuators, temporary railing, temporary barrier, and end treatments for temporary railing and barrier.

Type III barricades may be used as sign supports if the barricades have been successfully crash tested, meeting the NCHRP Report 350 criteria, as one unit with a construction area sign attached.

Category 3 temporary traffic control devices shall be shown on the plans or on the Department's Highway Safety Features list. This list is maintained by the Division of Engineering Services and can be found at:

http://www.dot.ca.gov/hq/esc/approved_products_list/HighwaySafe.htm

Category 3 temporary traffic control devices that are not shown on the plans or not listed on the Department's Highway Safety Features list shall not be used.

Full compensation for providing self-certification for crashworthiness of Category 1 temporary traffic control devices and for providing a list of Category 2 temporary traffic control devices used on the project shall be considered as included in the prices paid for the various items of work requiring the use of the Category 1 or Category 2 temporary traffic control devices and no additional compensation will be allowed therefor.

10-1.30 CONSTRUCTION AREA SIGNS

Construction area signs for temporary traffic control shall be furnished, installed, maintained, and removed when no longer required in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Furnish Sign" of these special provisions.

Attention is directed to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Type II retroreflective sheeting shall not be used on construction area sign panels. Type III, IV, VII, VIII, or IX retroreflective sheeting shall be used for stationary mounted construction area sign panels.

Attention is directed to "Construction Project Information Signs" of these special provisions regarding the number and type of construction project information signs to be furnished, erected, maintained, and removed and disposed of.

Unless otherwise shown on the plans or specified in these special provisions, the color of construction area warning and guide signs shall have black legend and border on orange background, except W10-1 or W47(CA) (Highway-Rail Grade Crossing Advance Warning) sign shall have black legend and border on yellow background.

Orange background on construction area signs shall be fluorescent orange.

Repair to construction area sign panels will not be allowed, except when approved by the Engineer. At nighttime under vehicular headlight illumination, sign panels that exhibit irregular luminance, shadowing or dark blotches shall be immediately replaced at the Contractor's expense.

The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least 2 business days, but not more than 14 days, prior to commencing excavation for construction area sign posts. The regional notification centers include, but are not limited to, the following:

Notification Center	Telephone Number
Underground Service Alert	811

Excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the

proposed post holes. The post hole diameter, if backfilled with portland cement concrete, shall be at least 100 mm greater than the longer dimension of the post cross-section.

Construction area signs placed within 4.6 m from the edge of the travel way shall be mounted on stationary mounted sign supports as specified in "Construction Area Traffic Control Devices" of these special provisions.

The Contractor shall maintain accurate information on construction area signs. Signs that are no longer required shall be immediately covered or removed. Signs that convey inaccurate information shall be immediately replaced or the information shall be corrected. Covers shall be replaced when they no longer cover the signs properly. The Contractor shall immediately restore to the original position and location any sign that is displaced or overturned, from any cause, during the progress of work.

The term "construction area signs" shall include temporary object markers required for the direction of public traffic through or around the work during construction. Object markers listed or designated on the plans as construction area signs shall be considered to be signs and shall be furnished, erected, maintained, and removed by the Contractor in the same manner specified for construction area signs.

Object markers shall be stationary mounted on wood or metal posts in conformance with the details shown on the plans and the provisions in Section 82, "Markers and Delineators," of the Standard Specifications.

Marker panels for Type P and Type R object markers shall conform to the provisions for sign panels for stationary mounted signs.

10-1.31 MAINTAINING TRAFFIC

Maintaining traffic shall conform to the provisions in Sections 7-1.08, "Public Convenience," Section 7-1.09, "Public Safety," and Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, "Public Safety" of these special provisions and these special provisions.

Closure is defined as the closure of a traffic lane or lanes, including shoulder, ramp or connector lanes, within a single traffic control system.

Closures shall conform to the provisions in "Traffic Control System for Lane Closure" of these special provisions.

All Contractors' vehicular traffic will be regulated when exiting and entering the work areas to and from Route 101 within the project limits as follows:

A. The Contractor shall prepare and submit a Contractor's vehicular traffic plan 5 working days in advance of the start of work to the Engineer for review and approval.
B. The Contractor shall make available acceleration and deceleration areas parallel with Route 101 traffic where construction vehicles are exiting and entering the work areas. These locations shall be a minimum 3.3-meter wide paved area with a minimum distance of 335 meters, including taper, and must have clear line of sight for Route 101 traffic.
C. Five-axle trucks or larger entering or existing center median without COZEEP support shall not be allowed unless approved by the Engineer.
D. Five-axle trucks or larger entering or existing center median shall not be allowed during 5:30 to 9:30 AM and 3 to 7 PM on weekdays.
E. The Contractor shall install all warning signs and traffic control devices as necessary and as ordered by the Engineer to inform the motorists of the movements of construction-related vehicles.
F. The Contractor shall be responsible for having all equipment and vehicle operators understand and follow the approved traffic plan as set forth in this section.

Failure to comply with these provisions and the approved Contractor's vehicular traffic plan will result in suspension of the work in that area by the Engineer. The work can be resumed only after corrections are made and approved by the Engineer.

Full compensation for the work involved in preparing and implementing the Contractor's vehicular traffic plan shall be considered as included in the contract lump sum price paid for traffic control system and no additional compensation will be allowed therefor.

In addition to the provisions set forth in "Public Safety" of these special provisions, whenever work, including the work of installing, maintaining, and removing temporary railing (Type K) is to be performed on the freeway within 1.8 m of the adjacent traffic lane, the adjacent traffic lane shall be closed.

Except as listed above, closure of adjacent traffic lane will not be required for grinding and grooving operations, and for installing, maintaining and removing traffic control devices.

At locations where falsework pavement lighting or pedestrian openings through falsework are designated, falsework lighting shall be installed in conformance with the provisions in Section 86-6.11, "Falsework Lighting," of the Standard Specifications.

Openings shall be provided through bridge falsework for the use of public traffic at each location where falsework is constructed over the streets or routes listed in the following table. The type, minimum width, height, and number of openings at each location, and the location and maximum spacing of falsework lighting, if required for each opening, shall conform to the requirements in the table. The width of vehicular openings shall be the clear width between temporary railings or other protective work. The spacing shown for falsework pavement lighting is the maximum distance center to center in meters between fixtures.

Railroad Avenue Undercrossing (Replace), Br No. 20-0289

	Number	Width	Height
Vehicle Openings	1	7.2	4.6
	Location	Spacing	
Falsework Pavement Lighting	R and L Staggered ½ Space	9	

(Width and Height in meters)
(R = Right side of traffic. L = Left side of traffic)
(C = Centered overhead)

Sierra Avenue Undercrossing (Widen), Br. No. 20-0167

	Number	Width	Height
Vehicle Openings	1	7.2	4.6
Pedestrian Openings	1	1.8	3.6
	Location	Spacing	
Falsework Pavement Lighting	R and L Staggered ½ Space	9	

(Width and Height in meters)
(R = Right side of traffic. L = Left side of traffic)
(C = Centered overhead)

Route 101/116 Separation (Replace), Br. No. 20-0290

	Number	Width	Height
Vehicle Openings	1	16.5	4.6
Pedestrian Openings	1	1.2	3.6
	Location	Spacing	
Falsework Pavement Lighting	R and L	7	

(Width and Height in meters)
(R = Right side of traffic. L = Left side of traffic)
(C = Centered overhead)

The exact location of openings will be determined by the Engineer.

Closures are only allowed during the hours shown in the lane requirement charts included in this section "Maintaining Traffic," except for work required under Sections 7-1.08, "Public Convenience," and Section 7-1.09, "Public Safety."

The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress.

Local authorities shall be notified at least 5 business days before work begins. The Contractor shall cooperate with local authorities to handle traffic through the work area and shall make arrangements to keep the work area clear of parked vehicles.

Adjacent ramps, in the same direction of travel, servicing 2 consecutive local streets shall not be closed simultaneously unless directed by the Engineer.

SC6-3(CA) (RAMP CLOSED) sign shall be used to inform motorists of the temporary closing of a connector, entrance ramp or exit ramp for one business day.

SC6-4(CA) (RAMP CLOSED) sign shall be used to inform motorists of the temporary closing of a connector, entrance ramp or exit ramp for more than one business day.

The SC6-3(CA) or SC6-4(CA) signs shall be installed at least 7 days before closing the connector or ramp, but not more than 14 days before the connector or ramp closure. The Contractor shall notify the Engineer at least 2 business days before installing the SC6-3(CA) or SC6-4(CA) signs. The SC6-3(CA) or SC6-4(CA) signs shall be stationary mounted at locations shown on the plans and as directed by the Engineer.

Accurate information shall be maintained on the SC6-3(CA) or SC6-4(CA) signs. The SC6-3(CA) or SC6-4(CA) signs, when no longer required, shall be immediately covered or removed.

Personal vehicles of the Contractor's employees shall not be parked on the traveled way or shoulders including sections closed to public traffic.

When work vehicles or equipment are parked on the shoulder within 1.8 m of a traffic lane, the shoulder area shall be closed as shown on the plans.

If minor deviations from the lane requirement charts are required, a written request shall be submitted to the Engineer at least 15 days before the proposed date of the closure. The Engineer may approve the deviations if there is no significant increase in the cost to the State and if the work can be expedited and better serve the public traffic.

When complete highway and street closure is required, only one detour for each direction of travel will be allowed for the following operations:

A. Bridge removal
B. Falsework erection and removal

Designated legal holidays are: January 1st, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, November 11th, Thanksgiving Day, and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday. When November 11th falls on a Saturday, the preceding Friday shall be a designated legal holiday.

Full compensation for furnishing, erecting, maintaining, and removing and disposing of the C43(CA), SC6-3(CA), SC6-4(CA), W20-1, W21-5b, and C24(CA) signs shall be considered as included in the contract lump sum price paid for construction area signs and no additional compensation will be allowed therefor.

Table Z
Lane Closure Restriction for Designated Legal Holidays and Special Days

Thu	Fri	Sat	Sun	Mon	Tues	Wed	Thu	Fri	Sat	Sun
x	**H** xx	xx	xx							
	SD xx									
x	xx	**H** xx	xx							
		SD xx								
	x	xx	**H** xx	xx						
			SD xx							
	x	xx	xx	**H** xx						
	x	xx	xx	**SD** xx						
				x	**H** xx					
				x	**SD** xx					
					x	**H** xx				
						SD xx				
						x	**H** xx	xx		xx
							SD xx			

Legends:

	Refer to lane closure charts
x	The full width of the traveled way shall be open for use by public traffic after 5 A.M.
xx	The full width of the traveled way shall be open for use by public traffic.
H	Designated Legal Holiday
SD	Special Day

Special days are: the third Monday in January, February 12th, the third Monday in February, March 31st, and the second Monday in October.

Pedestrian access facilities shall be provided through construction areas within the right of way as shown on the plans and as specified herein. Pedestrian walkways shall be surfaced with hot mix asphalt, portland cement concrete or timber. The surface shall be skid resistant and free of irregularities. Hand railings shall be provided on each side of pedestrian walkways as necessary to protect pedestrian traffic from hazards due to construction operations or adjacent vehicular traffic. Protective overhead covering shall be provided as necessary to insure protection from falling objects and drip from overhead structures.

In addition to the required openings through falsework, pedestrian facilities shall be provided during pile driving, footing, wall, and other bridge construction operations. At least one walkway shall be available at all times. If the Contractor's operations require the closure of one walkway, then another walkway shall be provided nearby, off the traveled roadway.

Railings shall be constructed of wood, S4S, and shall be painted white. Railings and walkways shall be maintained in good condition. Walkways shall be kept clear of obstructions.

Full compensation for providing pedestrian facilities shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

Chart No. 1
Freeway Lane Requirements

County: Sonoma	Route/Direction: Southbound Rte 101	KP:12.3 – 22.4

Closure Limits: Between Pepper Road and Rohnert Park Expressway

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	1	1	1	1	1																				1
Fridays	1	1	1	1	1																				
Saturdays	1	1	1	1	1	1	1																		
Sundays	1	1	1	1	1	1	1	1																	1

Legend:

1	Provide at least one through freeway lane open in direction of travel.
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1- See Construction Staging Plans

Chart No. 2
Freeway/Expressway Lane Requirements

County: Sonoma	Route/Direction: Southbound Rte 101	KP:14.3 – 22.4

Closure Limits: Between Rohnert Park Expressway and Pepper Road

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	1	1	1	1	1																				1
Fridays	1	1	1	1	1																				1
Saturdays	1	1	1	1	1	1																			1
Sundays	1	1	1	1	1	1	1	1																	1

Legend:

1	Provide at least one through freeway lane open in direction of travel.
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1- See Construction Staging Plans

Chart No. 3
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Northbound Route 101	KP: 17.2

Closure Limits: Northbound Off-Ramp to West Railroad Avenue

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C																C	C	C	
Fridays	C	C	C	C	C	C																C	C	C	
Saturdays	C	C	C	C	C	C	C	C	C												C	C	C	C	
Sundays	C	C	C	C	C	C	C	C	C												C	C	C	C	

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1- See Construction Staging Plans

Chart No. 4
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Northbound Route 101	KP: 19.3

Closure Limits: Northbound Off-Ramp to West Sierra Avenue

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C																C	C	C	
Fridays	C	C	C	C	C	C																C	C	C	
Saturdays	C	C	C	C	C	C	C	C	C											C	C	C	C	C	
Sundays	C	C	C	C	C	C	C	C	C											C	C	C	C	C	

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1- See Construction Staging Plans

Chart No. 5
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Southbound Route 101	KP: 19.3

Closure Limits: Southbound On-Ramp from West Sierra Avenue

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C																C	C	C	
Fridays	C	C	C	C	C	C																C	C	C	
Saturdays	C	C	C	C	C	C	C	C	C											C	C	C	C	C	
Sundays	C	C	C	C	C	C	C	C	C											C	C	C	C	C	

Legend:

C	Ramp may be closed completely.
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1. See Construction Staging Plans.

Chart No. 6
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Northbound Route 101	KP: 20.4

Closure Limits: Northbound Off-Ramp to Hwy 116

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C																	C	C	
Fridays	C	C	C	C	C	C																	C	C	
Saturdays	C	C	C	C	C	C	C	C															C	C	
Sundays	C	C	C	C	C	C	C	C															C	C	

Legend:

C	Ramp may be closed completely.
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1. See Construction Staging Plans.

Chart No. 7
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Northbound Route 101	KP: 20.4

Closure Limits: Northbound On-Ramp from Hwy 116

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																				C
Fridays	C	C	C	C	C																				C
Saturdays	C	C	C	C	C	C	C	C	C														C	C	C
Sundays	C	C	C	C	C	C	C	C	C														C	C	C

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Construction Staging Plans.

Chart No. 8
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Southbound Route 101	KP: 20.4

Closure Limits: Southbound Off-Ramp to Hwy 116

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																				C
Fridays	C	C	C	C	C																				C
Saturdays	C	C	C	C	C	C	C	C															C	C	C
Sundays	C	C	C	C	C	C	C	C															C	C	C

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Construction Staging Plans.

Chart No. 9
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Southbound Route 101	KP: 20.4

Closure Limits: Southbound On-Ramp from Hwy 116

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																	C	C	C	
Fridays	C	C	C	C	C																	C	C	C	
Saturdays	C	C	C	C	C	C	C	C														C	C	C	
Sundays	C	C	C	C	C	C	C	C														C	C	C	

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1. See Construction Staging Plans.

Chart No. 10
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Northbound Route 101	KP: 22.4

Closure Limits Northbound Off-Ramp to Rohnert Park Expressway

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C																	C	C	
Fridays	C	C	C	C	C	C																	C	C	
Saturdays	C	C	C	C	C	C																	C	C	
Sundays	C	C	C	C	C	C																	C	C	

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1 - See Construction Staging Plans.

Chart No. 11
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Southbound Route 101	KP: 22.4

Closure Limits Southbound On-Ramp from EB Rohnert Park Expressway

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																				C
Fridays	C	C	C	C	C																				C
Saturdays	C	C	C	C	C	C	C																		C
Sundays	C	C	C	C	C	C	C																		C

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1 - See Construction Staging Plans.

Chart No. 12
Complete Ramp Closure Hours

County: Sonoma	Route/Direction: Southbound Route 101	KP: 22.4

Closure Limits Southbound Loop On-Ramp from WB Rohnert Park Expressway

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C																		C	C
Fridays	C	C	C	C	C	C																		C	C
Saturdays	C	C	C	C	C	C	C	C																C	C
Sundays	C	C	C	C	C	C	C	C																C	C

Legend:

C Ramp may be closed completely.

Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1 - See Construction Staging Plans.

Chart No. 13
Complete Conventional Highway Closure Hours

County: Sonoma	Route/Direction: : Eastbound and Westbound of Hwy 116	KP:

Closure Limits: SR 116, Between Highway 101 Northbound Off-Ramp to Highway 101 Southbound Ramps

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Fridays	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Saturdays	C	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Sundays	C	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	

Legend:

C Highway full closure allowed.

N No Highway closure allowed.

REMARKS:
1- See Construction Staging Plans

Chart No. 14
Complete Street Closure Hours

County: Sonoma	Route/Direction: : Eastbound and Westbound Directions of West Sierra Avenue	KP:

Closure Limits: West Sierra Avenue, Between Highway 101 Northbound Off-Ramp to Highway 101 Southbound On-Ramp

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Fridays	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Saturdays	C	C	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	C	
Sundays	C	C	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	C	

Legend:

C Street full closure allowed.

N No Street closure allowed.

REMARKS:
1- See Construction Staging Plans

Chart No. 15
Complete Street Closure Hours

County: Sonoma	Route/Direction: : Eastbound and Westbound Directions of West Railroad Avenue	KP:

Closure Limits: West Railroad Avenue, Between Highway 101 Northbound Off-Ramp to Debbie Hill Road

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Fridays	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	
Saturdays	C	C	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	C	
Sundays	C	C	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N	N	N	N	N	C	C	C	

Legend:

C	Street full closure allowed.
N	No Street closure allowed.

REMARKS:
1- See Construction Staging Plans

Chart No. 16
Complete Ramp Closure Hours During Weekend

County: Sonoma	Route/Direction: Northbound Route 101	KP: 17.2

Closure Limits: Northbound Off-Ramp to West Railroad Avenue

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays	C	C	C	C	C																				
Fridays																									C
Saturdays	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	
Sundays	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	

Legend:

C	Ramp may be closed completely.
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:
1- See Construction Staging Plans
2- Full closure of Highway 101 northbound off-ramp to West Railroad Ave will be permitted for a one-time closure for a period not to exceed one weekend, starting 10:00 PM Friday until 5:00 AM the following Monday.

Chart No. 17
Complete Ramp Closure Hours During Weekend

County: Sonoma	Route/Direction: Northbound Route 101	KP: 19.3

Closure Limits: Northbound Off-Ramp to West Sierra Avenue

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays	C	C	C	C	C																				
Fridays																									C
Saturdays	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C
Sundays	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C	C

Legend:

C	Ramp may be closed completely.
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1- See Construction Staging Plans
2- Full closure of Highway 101 northbound off-ramp to West Sierra Avenue will be permitted for a one-time closure for a period not to exceed one weekend, starting 10:00 PM Friday until 5:00 AM the following Monday.

Full closure of Highway 101 northbound off-ramps at West Railroad Ave and West Sierra Avenue as presented in Lane Closure Chart Nos. 16 and 17 will be permitted for a one-time closure for a period not to exceed one weekend, starting 10:00 PM Friday until 5:00 AM the following Monday.

Failure of the Contractor to complete the construction activities and to open the off-ramps at the above locations within the number of calendar days specified, shall make the Contractor liable for liquidated damages. The Contractor shall pay to the State of California a late penalty of $15,000 or fraction thereof, for each and every calendar day of delay in finishing the work and opening the road in excess of the number of calendar days specified above. These liquidated damages are distinct and separate from the liquidated damages specified in Section 4, "Liquidated Damages," of these special provisions.

Erection and removal of falsework at locations where falsework openings are required shall be undertaken one location at a time. During falsework erection and removal public traffic in the lanes over which falsework is being erected or removed shall be detoured or stopped as specified in this section, "Maintaining Traffic." Falsework erection shall include adjustments or removal of components that contribute to the horizontal stability of the falsework system. Falsework removal shall include lowering falsework, blowing sand from sand jacks, turning screws on screw jacks, and removing wedges.

The Contractor shall have necessary materials and equipment on the site to erect or remove the falsework in any one span or over any one opening before detouring or stopping public traffic.

10-1.32 CLOSURE REQUIREMENTS AND CONDITIONS

Closures shall conform to the provisions in "Maintaining Traffic" of these special provisions and these special provisions.

CLOSURE SCHEDULE

By noon Monday, the Contractor shall submit a written schedule of planned closures for the following week period, defined as Sunday noon through the following Sunday noon. Closures involving work (temporary barrier placement and paving operations) that will reduce horizontal clearances, traveled way inclusive of shoulders, to 2 lanes or less shall be submitted not less than 25 days and not more than 125 days before the anticipated start of operation. Closures involving work (pavement overlay, overhead sign installation, falsework and girder erection) that will reduce the vertical clearances available to the public, shall be submitted not less than 25 days and not more than 125 days before the anticipated start of operation.

The Closure Schedule shall show the locations and times of the proposed closures. The Closure Schedule request forms furnished by the Engineer shall be used. Closure Schedules submitted to the Engineer with incomplete or inaccurate

information will be rejected and returned for correction and resubmittal. The Contractor will be notified of disapproved closures or closures that require coordination with other parties as a condition of approval.

Closure Schedule amendments, including adding additional closures, shall be submitted by noon to the Engineer, in writing, at least 3 business days in advance of a planned closure. Approval of Closure Schedule amendments will be at the discretion of the Engineer.

The Engineer shall be notified of cancelled closures 2 business days before the date of closure.

Closures that are cancelled due to unsuitable weather may be rescheduled at the discretion of the Engineer.

CONTINGENCY PLAN

A detailed contingency plan shall be prepared for reopening closures to public traffic. If required by "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, the contingency plan shall be submitted to the Engineer before work at the job site begins. Otherwise, the contingency plan shall be submitted to the Engineer within one business day of the Engineer's request.

LATE REOPENING OF CLOSURES

If a closure is not reopened to public traffic by the specified time, work shall be suspended in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. No further closures are to be made until the Engineer has accepted a work plan, submitted by the Contractor, that will insure that future closures will be reopened to public traffic at the specified time. The Engineer will have 2 business days to accept or reject the Contractor's proposed work plan. The Contractor will not be entitled to compensation for the suspension of work resulting from the late reopening of closures.

For each 10-minute interval, or fraction thereof past the time specified to reopen the closure, the Department will deduct $4,900 per interval from moneys due or that may become due the Contractor under the contract.

COMPENSATION

The Engineer shall be notified of delays in the Contractor's operations due to the following conditions, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of those conditions, and the Contractor's loss due to that delay could not have been avoided by rescheduling the affected closure or by judicious handling of forces, equipment and plant, the delay will be considered a right of way delay and will be compensated in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications:

1. The Contractor's proposed Closure Schedule is denied and his planned closures are within the time frame allowed for closures in "Maintaining Traffic" of these special provisions, except that the Contractor will not be entitled to compensation for amendments to the Closure Schedule that are not approved.
2. The Contractor is denied a confirmed closure.

Should the Engineer direct the Contractor to remove a closure before the time designated in the approved Closure Schedule, delay to the Contractor's schedule due to removal of the closure will be considered a right of way delay and compensation for the delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

10-1.33 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE

A traffic control system shall consist of closing traffic lanes and ramps in conformance with the details shown on the plans, the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, the provisions under "Maintaining Traffic" and "Construction Area Signs" of these special provisions, and these special provisions.

The provisions in this section will not relieve the Contractor of responsibility for providing additional devices or taking measures as may be necessary to comply with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

During traffic stripe operations and pavement marker placement operations using bituminous adhesive, traffic shall be controlled, at the option of the Contractor, with either stationary or moving lane closures. During other operations, traffic shall be controlled with stationary lane closures. Attention is directed to the provisions in Section 84-1.04, "Protection From Damage," and Section 85-1.06, "Placement," of the Standard Specifications.

If components in the traffic control system are displaced or cease to operate or function as specified, from any cause, during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location.

STATIONARY LANE CLOSURE

When lane and ramp closures are made for work periods only, at the end of each work period, components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, shall be removed from the traveled way and shoulder. If the Contractor so elects, the components may be stored at selected central locations, designated by the Engineer within the limits of the highway right of way.

The traffic cones shown to be placed transversely across closed traffic lanes and shoulders on the plans entitled "Traffic Control System for Lane Closures on Freeways and Expressways" and "Traffic Control System for Lane and Complete Closures on Freeways and Expressways" shall not be placed.

MOVING LANE CLOSURE

Flashing arrow signs used in moving lane closures shall be truck-mounted. Changeable message signs used in moving lane closure operations shall conform to the provisions in Section 12-3.12, "Portable Changeable Message Signs," of the Standard Specifications, except the signs shall be truck-mounted and the full operation height of the bottom of the sign may be less than 2.1 m above the ground, but should be as high as practicable.

Flashing arrow signs shall be in the caution display mode when used on 2-lane, 2-way highways.

Truck-mounted attenuators (TMA) for use in moving lane closures shall be any of the following approved models, or equal:

1. Hexfoam TMA Series 3000, Alpha 1000 TMA Series 1000, and Alpha 2001 TMA Series 2001, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:

    1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
    1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501

2. Cal T–001 Model 2 or Model 3, manufacturer and distributor: Hexcel Corporation, 11711 Dublin Boulevard, P.O. Box 2312, Dublin, CA 94568, telephone (925) 551–4900
3. Renco Rengard Model Nos. CAM 8–815 and RAM 8–815, manufacturer and distributor: Renco Inc., 1582 Pflugerville Loop Road, P.O. Box 730, Pflugerville, TX 78660–0730, telephone (800) 654–8182

Each TMA shall be individually identified with the manufacturer's name, address, TMA model number, and a specific serial number. The names and numbers shall each be a minimum 13 mm high and located on the left (street) side at the lower front corner. The TMA shall have a message next to the name and model number in 13 mm high letters which states, "The bottom of this TMA shall be _____ mm ± _____ mm above the ground at all points for proper impact performance." Any TMA which is damaged or appears to be in poor condition shall not be used unless recertified by the manufacturer. The Engineer shall be the sole judge as to whether used TMAs supplied under this contract need recertification. Each unit shall be certified by the manufacturer to meet the requirements for TMA in conformance with the standards established by the Transportation Laboratory.

Approvals for new TMA designs proposed as equal to the above approved models shall be in conformance with the procedures (including crash testing) established by the Transportation Laboratory. For information regarding submittal of new designs for evaluation contact: Transportation Laboratory, 5900 Folsom Boulevard, Sacramento, California 95819.

New TMAs proposed as equal to approved TMAs or approved TMAs determined by the Engineer to need recertification shall not be used until approved or recertified by the Transportation Laboratory.

PAYMENT

The contract lump sum price paid for traffic control system shall include full compensation for furnishing all labor, materials (including signs), tools, equipment, and incidentals, and for doing all the work involved in placing, removing, storing, maintaining, moving to new locations, replacing and disposing of the components of the traffic control system shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The adjustment provisions in Section 4-1.03, "Changes," of the Standard Specifications shall not apply to the item of traffic control system. Adjustments in compensation for traffic control system will be made only for increased or decreased traffic control system required by changes ordered by the Engineer and will be made on the basis of the cost of the increased or decreased traffic control necessary. The adjustment will be made on a force account basis as provided in Section 9-1.03, "Force Account Payment," of the Standard Specifications for increased work and estimated on the same basis in the case of decreased work.

Traffic control system required by work which is classed as extra work, as provided in Section 4-1.03D of the Standard Specifications, will be paid for as a part of the extra work.

10-1.34 TEMPORARY PAVEMENT DELINEATION

Temporary pavement delineation shall be furnished, placed, maintained, and removed in conformance with the provisions in Section 12-3.01, "General," of the Standard Specifications and these special provisions. Nothing in these special provisions shall be construed as reducing the minimum standards specified in the California MUTCD or as relieving the Contractor from the responsibilities specified in Section 7-1.09, "Public Safety," of the Standard Specifications.

GENERAL

When the work causes obliteration of pavement delineation, temporary or permanent pavement delineation shall be in place before opening the traveled way to public traffic. Laneline or centerline pavement delineation shall be provided for traveled ways open to public traffic. On multilane roadways (freeways and expressways) edgeline delineation shall be provided for traveled ways open to public traffic.

The Contractor shall perform the work necessary to establish the alignment of temporary pavement delineation, including required lines or markers. Surfaces to receive application of paint or removable traffic tape temporary pavement delineation shall be dry and free of dirt and loose material. Temporary pavement delineation shall not be applied over existing pavement delineation or other temporary pavement delineation. Temporary pavement delineation shall be maintained until superseded or replaced with a new pattern of temporary pavement delineation or permanent pavement delineation, or as determined by the Engineer.

Temporary pavement markers, including underlying adhesive, and removable traffic tape that are applied to the final layer of surfacing or existing pavement to remain in place or that conflicts with a subsequent or new traffic pattern for the area shall be removed when no longer required for the direction of public traffic, as determined by the Engineer.

TEMPORARY LANELINE AND CENTERLINE DELINEATION

When lanelines or centerlines are obliterated and temporary pavement delineation to replace the lines is not shown on the plans, the minimum laneline and centerline delineation to be provided for that area shall be temporary pavement markers placed at longitudinal intervals of not more than 7.3 m. The temporary pavement markers shall be the same color as the laneline or centerline the pavement markers replace. Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers listed for short term day/night use (14 days or less) or long term day/night use (180 days or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. The temporary pavement markers shall be placed in conformance with the manufacturer's instructions. Temporary pavement markers for long term day/night use (180 days or less) shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used to place the temporary pavement markers in areas where removal of the temporary pavement markers will be required.

Temporary laneline or centerline delineation consisting entirely of temporary pavement markers listed for short term day/night use (14 days or less), shall be placed on longitudinal intervals of not more than 7.3 m and shall be used for a maximum of 14 days on lanes opened to public traffic. Before the end of the 14 days the permanent pavement delineation shall be placed. If the permanent pavement delineation is not placed within the 14 days, the Contractor shall replace the temporary pavement markers and provide additional temporary pavement delineation and shall bear the cost thereof. The additional temporary pavement delineation to be provided shall be equivalent to the pattern specified for the permanent pavement delineation for the area, as determined by the Engineer.

All the temporary pavement delinations for short term day/night use on Route 101shall be one of the temporary pavement delinations listed for long term day/night use (180 days or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

TEMPORARY EDGELINE DELINEATION

On multilane roadways (freeways and expressways), when edgelines are obliterated and temporary pavement delineation to replace those edgelines is not shown on the plans, the edgeline delineation to be provided for those areas adjacent to lanes open to public traffic shall be as follows:

1. Temporary pavement delineation for right edgelines shall, at the option of the Contractor, consist of either a solid 100-mm wide traffic stripe tape of the same color as the stripe it replaces, traffic cones, portable delineators or channelizers placed at longitudinal intervals not to exceed 30 m.
2. Temporary pavement delineation for left edgelines shall, at the option of the Contractor, consist of either solid 100-mm wide traffic stripe tape of the same color as the stripe it replaces, traffic cones, portable delineators or

channelizers placed at longitudinal intervals not to exceed 30 m or temporary pavement markers placed at longitudinal intervals of not more than 1.8 m.

Where removal of the 100-mm wide traffic stripe will not be required, painted traffic stripe conforming to the provisions of "Temporary Traffic Stripe (Paint)" of these special provisions may be used.

The lateral offset for traffic cones, portable delineators or channelizers used for temporary edgeline delineation shall be as determined by the Engineer. If traffic cones or portable delineators are used as temporary pavement delineation for edgelines, the Contractor shall provide personnel to remain at the project site to maintain the cones or delineators during the hours of the day that the portable delineators are in use.

Channelizers used for temporary edgeline delineation shall be the surface mounted type and shall be orange in color. Channelizer bases shall be cemented to the pavement in the same manner provided for cementing pavement markers to pavement in "Pavement Markers" of these special provisions, except epoxy adhesive shall not be used to place channelizers on the top layer of pavement. Channelizers shall be, at the Contractor's option, one of the surface mount types (900 mm) listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary edgeline delineation shall be removed when no longer required for the direction of public traffic as determined by the Engineer.

TEMPORARY TRAFFIC STRIPE (PAINT)

The painted temporary traffic stripe shall be complete in place at the location shown before opening the traveled way to public traffic. Removal of painted temporary traffic stripe will not be required.

Temporary painted traffic stripe shall conform to the provisions in "Paint Traffic Stripe and Pavement Marking" of these special provisions, Section 84-3, "Painted Traffic Stripes and Pavement Markings," of the Standard Specifications, except for payment. At the option of the Contractor, either one or 2 coats shall be applied regardless of whether on new or existing pavement.

TEMPORARY PAVEMENT MARKING (PAINT)

Temporary pavement marking consisting of painted pavement marking shall be applied and maintained at the locations shown on the plans. The painted temporary pavement marking shall be complete in place at the location shown before opening the traveled way to public traffic. Removal of painted temporary pavement marking will not be required.

Temporary painted pavement marking shall conform to the provisions in "Paint Traffic Stripe and Pavement Marking" of these special provisions, except for payment. At the option of the Contractor, either one or 2 coats shall be applied regardless whether on new or existing pavement.

At the Contractor's option, temporary removable pavement marking tape or permanent pavement marking tape listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be used instead of painted temporary pavement markings. When pavement marking tape is used, regardless of which type of tape is placed, the tape will be measured and paid for by the square meter as temporary pavement marking (paint).

TEMPORARY PAVEMENT MARKERS

Temporary pavement markers shall be applied complete in place before opening the traveled way to public traffic.

Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers for long term day/night use (180 days or less) listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary pavement markers shall be placed in conformance with the manufacturer's instructions and shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used in areas where removal of the pavement markers will be required.

Retroreflective pavement markers conforming to the provisions in "Pavement Markers" of these special provisions may be used in place of temporary pavement markers for long term day/night use (180 days or less) except to simulate patterns of broken traffic stripe. Placement of the retroreflective pavement markers used for temporary pavement markers shall conform to the provisions in "Pavement Markers" of these special provisions except the waiting period provisions before placing the pavement markers on new hot mix asphalt surfacing as specified in Section 85-1.06, "Placement," of the Standard Specifications shall not apply and epoxy adhesive shall not be used to place pavement markers in areas where removal of the pavement markers will be required.

MEASUREMENT AND PAYMENT

Temporary traffic stripe and temporary pavement marking shown on the plans will be measured and paid for in the same manner specified for paint traffic stripe and paint pavement marking in Section 84-3.06, "Measurement," and Section 84-3.07, "Payment," of the Standard Specifications.

Temporary pavement markers shown on the plans will be measured and paid for by the unit in the same manner specified for retroreflective pavement markers in Section 85-1.08, "Measurement," and Section 85-1.09, "Payment," of the Standard Specifications.

Full compensation for furnishing, placing, maintaining, and removing the temporary pavement markers (including underlying adhesive, layout (dribble) lines to establish alignment of temporary pavement markers or used for temporary laneline and centerline delineation) for those areas where temporary laneline and centerline delineation is not shown on the plans and for providing equivalent patterns of permanent traffic lines for those areas when required, shall be considered as included in the contract prices paid for the items of work that obliterated the laneline and centerline pavement delineation and no separate payment will be made therefor.

Full compensation for furnishing, placing, maintaining, and removing temporary edgeline delineation not shown on the plans shall be considered as included in the contract prices paid for the items of work that obliterated the edgeline pavement delineation and no separate payment will be made therefor. The quantity of channelizers used as temporary edgeline delineation will not be included in the quantity of channelizer (surface mounted) to be paid for.

10-1.35 PORTABLE FLASHING BEACON

Portable flashing beacons conforming to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications shall be furnished, placed and maintained at the locations shown on the plans or where designated by the Engineer.

If flashing beacons are displaced or are not in an upright position from any cause, during the progress of the work, the Contractor shall immediately repair and repaint or replace the flashing beacons in their original locations.

After initial placement, if flashing beacons are moved from location to location as ordered by the Engineer, the cost of the moves will be paid for as extra work as provided in Section 4–1.03D of the Standard Specifications.

At the end of each night's work, portable flashing beacon units shall be removed from the traveled way. If the Contractor so elects, the flashing beacon units may be stored at selected central locations designated by the Engineer within the limits of the highway right of way. Full compensation for placing, removing and storing flashing beacon units daily as the work progresses shall be considered as included in the contract unit price paid for flashing beacon (portable) and no additional compensation will be allowed therefor.

The quantity of flashing beacon (portable) to be paid for will be measured by the unit as determined from actual count in place at the locations shown on the plans or at other locations designated by the Engineer. Each flashing beacon will be counted once at each location shown on the plans or at other locations determined by the Engineer. Repaired or replacement portable flashing beacons placed at the locations will not be considered as additional units for payment purposes. Portable flashing beacons shown on the plans as part of a traffic control system shall be considered as part of that traffic control system and will be paid for in conformance with the provisions in "Traffic Control System for Lane Closures" of these special provisions.

The contract unit price paid for flashing beacon (portable) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing, placing, operating, maintaining, repairing, replacing, and removing portable flashing beacons, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.36 BARRICADE

Barricades shall be furnished, placed and maintained at the locations shown on the plans, specified in the Standard Specifications or in these special provisions or where designated by the Engineer. Barricades shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Prequalified and Tested Signing and Delineation Materials" of these special provisions regarding retroreflective sheeting for barricades.

Barricades shown on the plans as part of a traffic control system will be paid for as provided in "Traffic Control System for Lane Closure" of these special provisions and will not be included in the count for payment of barricades.

10-1.37 PORTABLE CHANGEABLE MESSAGE SIGN

Portable changeable message signs shall be furnished, placed, operated, and maintained at locations shown on the plans or where designated by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions. Messages displayed on the portable changeable message signs shall be as specified on the plans and shall conform to Section 12-3.12, "Portable Changeable Message Signs," of the Standard Specifications and "Maintaining Traffic" of these special provisions."

A portable changeable message sign shall be placed in advance of the first warning sign for each stationary lane closure.

A portable changeable message sign shall be placed before and during ramp and connector closures.

A portable changeable message sign shall be placed during speed zone reductions. When used in conjunction with a lane closure, use one portable changeable message sign, with both the speed zone reduction and the lane closure messages.

The contract lump sum price paid for portable changeable message signs shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals and for doing all the work involved in furnishing, placing, operating, maintaining repairing, transporting from location to location and removing portable changeable message signs, complete in place as specified in the Standard Specifications and these special provisions, as shown in the plans and as directed by the Engineer.

10-1.38 TEMPORARY RAILING

Temporary railing (Type K) shall be placed as shown on the plans, as specified in the Standard Specifications or these special provisions or where ordered by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Reflectors on temporary railing (Type K) shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary railing (Type K) placed in conformance with the provisions in "Public Safety" of these special provisions will be neither measured nor paid for.

10-1.39 CHANNELIZER

Channelizers shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Channelizers shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

When no longer required for the work as determined by the Engineer, channelizers and underlying adhesive used to cement the channelizer bases to the pavement shall be removed. Removed channelizers, and adhesive shall become the property of the Contractor and shall be removed from the site of work.

10-1.40 TEMPORARY CRASH CUSHION MODULE

This work shall consist of furnishing, installing, and maintaining sand filled temporary crash cushion modules in groupings or arrays at each location shown on the plans, as specified in these special provisions or where designated by the Engineer. The grouping or array of sand filled modules shall form a complete sand filled temporary crash cushion in conformance with the details shown on the plans and these special provisions.

Attention is directed to "Public Safety" and "Temporary Railing" of these special provisions.

Temporary crash cushions shall be secured in place prior to commencing work for which the temporary crash cushions are required.

Whenever the work or the Contractor's operations establishes a fixed obstacle, the exposed fixed obstacle shall be protected with a sand filled temporary crash cushion. The sand filled temporary crash cushion shall be in place prior to opening the lanes adjacent to the fixed obstacle to public traffic.

Sand filled temporary crash cushions shall be maintained in place at each location, including times when work is not actively in progress. Sand filled temporary crash cushions may be removed during a work period for access to the work provided that the exposed fixed obstacle is 4.6 m or more from a lane carrying public traffic and the temporary crash cushion is reset to protect the obstacle prior to the end of the work period in which the fixed obstacle was exposed. When no longer required, as determined by the Engineer, sand filled temporary crash cushions shall be removed from the site of the work.

At the Contractor's option, the modules for use in sand filled temporary crash cushions shall be either Energite III Inertial Modules, Fitch Inertial Modules or TrafFix Sand Barrels manufactured after March 31, 1997, or equal:

1. Energite III and Fitch Inertial Modules, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:

    1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734

    1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501

2. TrafFix Sand Barrels, manufactured by TrafFix Devices, Inc., 220 Calle Pintoresco, San Clemente, CA 92672, telephone (949) 361-5663, FAX (949) 361-9205

   2.1. Northern California: United Rentals, Inc., 1533 Berger Drive, San Jose, CA 95112, telephone (408) 287-4303, FAX (408) 287-1929
   2.2. Southern California: Statewide Safety & Sign, Inc., P.O. Box 1440, Pismo Beach, CA 93448, telephone (800) 559-7080, FAX (805) 929-5786

Modules contained in each temporary crash cushion shall be of the same type at each location. The color of the modules shall be the standard yellow color, as furnished by the vendor, with black lids. The modules shall exhibit good workmanship free from structural flaws and objectionable surface defects. The modules need not be new. Good used undamaged modules conforming to color and quality of the types specified herein may be utilized. If used Fitch modules requiring a seal are furnished, the top edge of the seal shall be securely fastened to the wall of the module by a continuous strip of heavy duty tape.

Modules shall be filled with sand in conformance with the manufacturer's directions, and to the sand capacity in kilograms for each module shown on the plans. Sand for filling the modules shall be clean washed concrete sand of commercial quality. At the time of placing in the modules, the sand shall contain not more than 7 percent water as determined by California Test 226.

Modules damaged due to the Contractor's operations shall be repaired immediately by the Contractor at the Contractor's expense. Modules damaged beyond repair, as determined by the Engineer, due to the Contractor's operations shall be removed and replaced by the Contractor at the Contractor's expense.

Temporary crash cushion modules may be placed on movable pallets or frames. Comply with dimensions shown on the plans. The pallets or frames shall provide a full bearing base beneath the modules. The modules and supporting pallets or frames shall not be moved by sliding or skidding along the pavement or bridge deck.

A Type R or P marker panel shall be attached to the front of the crash cushion as shown on the plans, when the closest point of the crash cushion array is within 3.6 m of the traveled way. The marker panel, when required, shall be firmly fastened to the crash cushion with commercial quality hardware or by other methods determined by the Engineer.

At the completion of the project, temporary crash cushion modules, sand filling, pallets or frames, and marker panels shall become the property of the Contractor and shall be removed from the site of the work. Temporary crash cushion modules shall not be installed in the permanent work.

Temporary crash cushion modules will be measured by the unit as determined from the actual count of modules used in the work or ordered by the Engineer at each location. Temporary crash cushion modules placed in conformance with the provisions in "Public Safety" of these special provisions and modules placed in excess of the number specified or shown will not be measured nor paid for.

Repairing modules damaged by public traffic will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. Modules damaged beyond repair by public traffic, when ordered by the Engineer, shall be removed and replaced immediately by the Contractor. Modules replaced due to damage by public traffic will be measured and paid for as temporary crash cushion module.

If the Engineer orders a lateral move of the sand filled temporary crash cushions and the repositioning is not shown on the plans, moving the sand filled temporary crash cushion will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications and these temporary crash cushion modules will not be counted for payment in the new position.

The contract unit price paid for temporary crash cushion module shall include full compensation for furnishing all labor, materials (including sand, pallets or frames and marker panels), tools, equipment, and incidentals, and for doing all the work involved in furnishing, installing, maintaining, moving, and resetting during a work period for access to the work, and removing from the site of the work when no longer required (including those damaged by public traffic) sand filled temporary crash cushion modules, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.41 EXISTING HIGHWAY FACILITIES

The work performed in connection with various existing highway facilities shall conform to the provisions in Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

Attention is directed to Section 7-1.06, "Safety and Health Provisions," of the Standard Specifications. Work practices and worker health and safety shall conform to the California Division of Occupational Safety and Health Construction Safety Orders Title 8, of the California Code of Regulations including Section 5158, "Other Confined Space Operations."

Existing footing concrete that is below ground and outside of the footing limits shown on the contract plans or original contract plans shall be removed as directed by the Engineer and will be paid in conformance with Section 4-l.03D, "Extra Work," of the Standard Specifications.

ABANDON CULVERT

Existing culverts, including reinforced concrete box culvert, where shown on the plans to be abandoned, shall be abandoned in place or, at the option of the Contractor, the culverts shall be removed and disposed of. Resulting openings into existing structures that are to remain in place shall be plugged with concrete conforming to the provisions in Section 90-10 "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Abandoning culverts in place shall conform to the following:

1. Culverts that intersect the side slopes shall be removed to a depth of not less than one meter measured normal to the plane of the finished side slope, before being abandoned.
2. Culverts that fall under the footing of retaining walls and sound walls shall be removed to a distance of not less than 0.3 meter measured from the edge of the wall footing, before being abandoned.
3. Culverts 300 mm in diameter and larger, shall, at the Contractor's option, be backfilled with either sand, controlled low strength material or slurry cement backfill conforming to the provisions in Section 19-3.062, "Slurry Cement Backfill," of the Standard Specifications by any method acceptable to the Engineer that completely fills the pipe. Sand backfill material shall be clean, free draining, and free from roots and other deleterious substances.
4. The ends of culverts shall be securely closed by a 150 mm thick tight fitting plug or wall of commercial quality concrete.

Culverts shall not be abandoned until their use is no longer required. The Contractor shall notify the Engineer in advance of any intended culvert abandonment.

If the Contractor elects to remove and dispose of a culvert or pipeline which is specified to be abandoned, as provided herein, backfill specified for the pipe will be measured and paid for in the same manner as if the culvert or pipeline has been abandoned in place.

Backfill will be measured by the cubic meter determined from the dimensions of the culverts to be abandoned.

The contract price paid per cubic meter for sand backfill shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in backfilling culverts and pipelines with sand, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Controlled low strength material and slurry cement backfill, if used at the Contractor's option, will be measured and paid for by the cubic meter as sand backfill.

Full compensation for concrete plugs, pipe removal, structure excavation, and backfill shall be considered as included in the contract unit price paid per meter for abandon culvert and pipeline and no additional compensation will be allowed therefor.

ABANDON INLET

Existing pipe inlets and concrete drainage inlets, where shown on the plans to be abandoned, shall be abandoned.

The top portion of the inlets shall be removed to a depth of 1 m below finished grade.

Removed frames and grates shall be disposed of.

ABANDON WATER WELL

Existing water wells where shown on the plans to be abandoned, shall be abandoned as specified in these special provisions.

Wells shall be abandoned after completion of clearing and grubbing in the area of the well involved, but before starting earthwork operations, except as otherwise specified in these special provisions.

The Contractor shall submit a "Notice of Intent" to the Department of Water Resources before starting work and also submit the "Water Well Drillers Reports" to the Department of Water Resources within 30 days after completion of the work in conformance with the provisions of the California Water Code, Sections 13750 through 13755. A copy of the above reports shall be submitted to the Engineer concurrently with submittal to the Department of Water Resources.

If the Engineer orders preliminary work, such as removing obstructions or materials that would interfere with filling or sealing the well or removing casing or lining below the grading plane, the preliminary work will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

After completion of preliminary work, material permitted to enter the well that will obstruct or interfere with filling and sealing of the well involved shall be removed by the Contractor at the Contractor's expense.

Filler materials shall be clay, silt, sand, gravel, crushed stone, native soils or mixtures thereof. Material containing organic matter shall not be used.

Filler materials shall be placed in such a manner that will assure no jamming or bridging of the material.

Sealing materials shall be neat cement, cement grout, concrete, bentonite clays, silt and clays, well proportioned mixes of silts, sands, and clays (or cement) or native soils and natural material having a coefficient of permeability of less than 30 meters per year. Used drilling muds shall not be used.

Neat cement shall be composed of 50 kg of cement per 22 to 30 liters of clean water. Cement grout shall be composed of not more than 2 parts of sand to one part of cement with 22 to 30 liters of clean water per 50 kg of cement. Concrete shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Concrete shall be placed in one continuous operation by methods that prevent free fall, dilution, or separation of aggregates and cement.

At the time of placement, the volume of material placed in the well shall be verified to be at least equal to the volume of the empty hole.

Abandon water well will be measured and paid for by the unit from actual count of wells abandoned. Full compensation for compiling and furnishing reports shall be considered as included in the contract unit price paid for abandon water well and no additional compensation will be allowed therefor.

SALVAGE CITY SIGN

Existing city sign, where shown on the plans to be salvaged, shall be removed and salvaged.

Salvaged city sign shall be hauled to the City of Cotati maintenance yard at 1 Trebino Court, Cotati, CA and stockpiled. The Contractor shall notify the Engineer and Mr. Steve Nommsen at the City, telephone (707)792-4685 a minimum of 48 hours prior to hauling salvaged material to the City maintenance yard.

Existing concrete anchors shall be completely removed and disposed of. Full compensation for removing and disposing of concrete anchors shall be considered as included in the contract unit price paid for salvage city sign and no separate payment will be made therefor.

REMOVE METAL BEAM GUARD RAILING

Existing metal beam guard railing, where shown on the plans to be removed, shall be removed and disposed of.

Existing concrete anchors or steel foundation tubes shall be completely removed and disposed of. Full compensation for removing concrete anchors shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

Attention is directed to "Treated Wood Waste" of these special provisions for proper handling and disposal of treated wood posts removed from existing metal beam guard railing.

Full compensation for removing cable anchor assemblies, terminal anchor assemblies or steel foundation tubes shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

REMOVE DOUBLE THRIE BEAM BARRIER

Existing double thrie beam barrier, where shown on the plans to be removed, shall be removed and disposed of.

Existing concrete anchors or steel foundation tubes shall be completely removed and disposed of. Full compensation for removing concrete anchors shall be considered as included in the contract price paid per meter for remove double thrie beam barrier and no separate payment will be made therefor.

Attention is directed to "Treated Wood Waste" of these special provisions for proper handling and disposal of treated wood posts removed from existing double thrie beam barrier.

REMOVE SIGN STRUCTURE

Existing sign structures, where shown on the plans to be removed, shall be removed and disposed of.

Overhead sign structure removal shall consist of removing posts, frames, portions of foundations, sign panels, walkways with safety railings, and sign lighting electrical equipment.

A sign structure shall not be removed until the structure is no longer required for the direction of public traffic.

Concrete foundations may be abandoned in place, except that the top portion, including anchor bolts, reinforcing steel, and conduits shall be removed to a depth of not less than 1 m below the adjacent finished grade. The resulting holes shall be backfilled and compacted with material equivalent to the surrounding material.

Electrical wiring shall be removed to the nearest pull box. Fuses within spliced connections in the pull box shall be removed and disposed of.

Electrical equipment, where shown on the plans, shall be salvaged.

REMOVE PAVEMENT MARKER

Existing pavement markers, including underlying adhesive, when no longer required for traffic lane delineation as determined by the Engineer, shall be removed and disposed of.

REMOVE TRAFFIC STRIPE AND PAVEMENT MARKING

Traffic stripe and pavement marking shall be removed at the locations shown on the plans and as directed by the Engineer.

Attention is directed to "Water Pollution Control" of these special provisions.

Waste from removal of yellow thermoplastic contains lead chromate in average concentrations greater than or equal to 350 mg/kg and less than 1000 mg/kg Total Lead. Yellow thermoplastic exist on Route 101 from Sta ML 53+00 to 115+00, along State Hwy 116, along freeway ramps and along City streets. Residue produced when yellow thermoplastic is removed may contain heavy metals in concentrations that exceed thresholds established by the California Health and Safety Code and may produce toxic fumes when heated.

The removed yellow thermoplastic shall be disposed of at a Class 1 disposal facility or a Class 2 disposal facility permitted by the Regional Water Quality Control Board in conformance with the requirements of the disposal facility operator within 30 days after accumulating 100 kg of residue and dust. The Contractor shall make necessary arrangements with the operator of the disposal facility to test the yellow thermoplastic and yellow paint residue as required by the facility and these special provisions. Testing shall include, at a minimum, (1) Total Lead and Chromium by EPA Method 7000 series and (2) Soluble Lead and Chromium by California Waste Extraction Test. From the first 3360 L of waste or portion thereof, if less than 3360 L of waste are produced, a minimum of four randomly selected samples shall be taken and analyzed. From each additional 840 L of waste or portion thereof, if less than 840 L are produced, a minimum of one additional random sample shall be taken and analyzed. The Contractor shall submit the name and location of the disposal facility and analytical laboratory along with the testing requirements to the Engineer not less than 30 days prior to the start of removal of yellow thermoplastic traffic stripe. The analytical laboratory shall be certified by the Department of Health Services Environmental Laboratory Accreditation Program. Test results shall be provided to the Engineer for review prior to signing a waste profile as requested by the disposal facility, prior to issuing an EPA identification number, and prior to allowing removal of the waste from the site.

The Contractor shall prepare a project specific Lead Compliance Plan to prevent or minimize worker exposure to lead while handling removed yellow thermoplastic and yellow paint residue. Attention is directed to Title 8, California Code of Regulations, Section 1532.1, "Lead," for specific Cal-OSHA requirements when working with lead.

The Lead Compliance Plan shall contain the elements listed in Title 8, California Code of Regulations, Section 1532.1(e)(2)(B). Before submission to the Engineer, the Lead Compliance Plan shall be approved by an Industrial Hygienist certified in Comprehensive Practice by the American Board of Industrial Hygiene. The Plan shall be submitted to the Engineer at least 7 days prior to beginning removal of yellow thermoplastic.

Prior to removing yellow thermoplastic traffic stripe, personnel who have no prior training, including State personnel, shall complete a safety training program provided by the Contractor that meets the requirements of Title 8, California Code of Regulations, Section 1532.1, "Lead," and the Contractor's Lead Compliance Program.

Personal protective equipment, training, and washing facilities required by the Contractor's Lead Compliance Plan shall be supplied to State personnel by the Contractor. The number of State personnel will be 4.

Where grinding or other methods approved by the Engineer are used to remove yellow thermoplastic traffic stripe, the removed residue, including dust, shall be contained and collected immediately. Sweeping equipment shall not be used. Collection shall be by a high efficiency particulate air (HEPA) filter equipped vacuum attachment operated concurrently with the removal operations or other equally effective methods approved by the Engineer. The Contractor shall submit a written work plan for the removal, storage, and disposal of yellow thermoplastic stripe to the Engineer for approval not less than 30 days prior to the start of the removal operations. Removal operations shall not be started until the Engineer has approved the work plan.

The removed yellow thermoplastic traffic stripe residue shall be stored and labeled in covered containers. Labels shall conform to the provisions of Title 22, California Code of Regulations, Sections 66262.31 and 66262.32. Labels shall be marked with date when the waste is generated, the words "Hazardous Waste", composition and physical state of the waste (for example, asphalt grindings with thermoplastic or paint), the word "Toxic", the name and address of the Engineer, the Engineer's telephone number, contract number, and Contractor or subcontractor. The containers shall be a type approved by the United States Department of Transportation for the transportation and temporary storage of the removed residue. The containers shall be handled so that no spillage will occur. The containers shall be stored in a secured enclosure at a location within the project limits until disposal, as approved by the Engineer.

If the yellow thermoplastic traffic stripe residue is transported to a Class 1 disposal facility, a manifest shall be used, and the transporter shall be registered with the California Department of Toxic Substance Control. The Engineer will obtain the

United States Environmental Protection Agency Identification Number and sign all manifests as the generator within 2 working days of receiving sample test results and approving the test methods.

Nothing in these special provisions shall relieve the Contractor of the Contractor's responsibilities as specified in Section 7-1.09, "Public Safety," of the Standard Specifications.

Attention is directed to "Material Containing Aerially Deposited Lead" of these special provisions regarding payment for the Lead Compliance Plan.

Full compensation for providing a written work plan for the removal, storage, and disposal of yellow thermoplastic traffic stripe shall be considered as included in the contract prices paid per meter for remove yellow thermoplastic traffic stripe traffic stripe and no separate payment will be made therefor.

REMOVE DRAINAGE FACILITY

Existing box culverts, culverts, inlets, manholes, headwalls, overside drains and flared end sections, where any portion of these structures is within one meter of the grading plane in excavation areas, or within 0.3-m of original ground in embankment areas, or where shown on the plans to be removed, shall be completely removed and disposed of.

REMOVE CHAIN LINK FENCE

Existing chain link fence, where shown on the plans to be removed, shall be removed including post footings and disposed of.

Existing fence shall not be removed until replacement fence or temporary fence has been installed or until the existing fence is no longer required for access control, unless otherwise directed by the Engineer.

The contract price paid per meter for remove chain link fence shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in removing chain link fence, including removing and disposing of fence and post footings and for backfilling and compacting post holes, as shown on the plans and as specified in these special provisions, and as directed by the Engineer.

REMOVE ROADSIDE SIGN

Existing roadside signs, at those locations shown on the plans to be removed, shall be removed and disposed of.

Existing roadside signs shall not be removed until replacement signs have been installed or until the existing signs are no longer required for the direction of public traffic, unless otherwise directed by the Engineer.

RESET RAILING

Existing railing, where shown on the plans, shall be removed and reset in conformance with the details shown on the plans.

During construction operations, the railing shall be removed and stored to clear the way for the Contractor's operations.

The Contractor shall be responsible for protecting the condition of the railing, posts, and fasteners during the storage period.

Any components of the railing, which are damaged as a result of the Contractor's operation shall be repaired by the Contractor at his expense. Railing components which are lost for any reason or damaged beyond repair as a result of the Contractor's operation prior to re-installation shall be either replaced by the Contractor, at his expense, or at the discretion of the Engineer, the estimated cost of the replacement may be deducted from any moneys due the Contractor.

Replacements for lost or damaged railing components shall be of the same kind and of the same or better quality and condition as the lost or damaged materials prior to their removal.

When construction is complete, the railing shall be installed in the final position on a new foundation.

Concrete foundation shall be constructed of minor concrete, in conformance with the provisions in Section 51, "Concrete Structure," of the Standard Specifications.

Brackets, bolts, threaded studs, nuts, washers and other fittings shall be commercial quality structural steel, conform to the requirements in ASTM Designation: A 307.

Existing posts, mounts, and hardware shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Resetting of railing will be paid for on a lump sum basis.

The contract lump sum price paid for reset railing shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals and for doing all the work involved in disassembling,, removing, storing, reset railing, including excavation and backfill, complete in place as specified in these special provisions, as shown in the plans and as directed by the Engineer.

Full compensation for disposing of existing hardware; and for furnishing new hardware, anchor bolts and concrete foundation shall be considered as included in the contract lump sum price paid for reset railing, and no additional compensation will be allowed therefor.

RELOCATE MAILBOX

Existing mailboxes shall be removed and relocated to the new location as shown on the plans.

During construction operations, the mailboxes shall be moved as necessary to clear the way for the Contractor's operations, but shall be accessible for delivery at all times. During construction, the mailboxes shall be installed on posts set in the ground or the mailboxes may be installed on temporary supports approved by the Engineer.

When construction is complete, the mailboxes shall be installed in the final position on new redwood posts.

Redwood posts shall conform to the provisions for sign posts in Section 56-2.02B, "Wood Posts," of the Standard Specifications.

The space around the posts shall be backfilled with earthy material. The backfill material shall be placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted.

Existing posts, mounts, and hardware shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Newspaper boxes on individual posts will be considered as mailboxes for measurement and payment.

Newspaper boxes attached to existing mailbox posts shall be removed and fastened to the new mailbox posts and no separate payment will be made therefor.

Full compensation for disposing of existing posts, mounts, and hardware; moving and maintaining the mailboxes (regardless of the number of moves required); and for furnishing new posts, planks, and hardware shall be considered as included in the contract unit price paid for relocate mailbox and no additional compensation will be allowed therefor.

RELOCATE ROADSIDE SIGN

Existing roadside signs with one post or two posts shall be removed and relocated to the new locations shown on the plans.

Each roadside sign shall be installed at the new location on the same day that the sign is removed from its original location.

Each roadside sign not requiring storage prior to relocation shall be installed at the new location on the same day that the sign is removed from its original location. Existing roadside signs requiring storage prior to relocation shall not be removed until the existing signs are no longer required for the direction of public traffic, or unless otherwise directed by the Engineer.

The Contractor shall be responsible for protecting the condition of the roadside sign panels, sign posts, and fasteners during the storage period.

Sign panels, sign posts, and fasteners which are damaged as a result of the Contractor's operation shall be repaired by the Contractor at his expense.

Sign panels, sign posts, and fasteners which are lost for any reason or damaged beyond repair as a result of the Contractor's operation prior to re-installation shall be either replaced by the Contractor, at his expense, or at the discretion of the Engineer, the estimated cost of the replacement may be deducted from any moneys due the Contractor.

Replacements for lost or damaged sign panels, sign posts, and fasteners shall be of the same kind and of the same or better quality and condition as the lost or damaged materials prior to their removal.

Two holes shall be drilled in each existing post as required to provide the breakaway feature shown on the plans.

If Contractor's operations does not permit installation of the roadside sign to the new location on the same day, Contractor shall place the roadside sign on skids. Roadside signs on skids shall be placed on the same day that the sign is removed from its original location. When conditions permit, Contractor shall remove the temporary skids and place the new roadside signs on permanent posts.

Relocate roadside sign will be measured by the unit for one post and two posts from actual count.

The contract unit price paid for relocate roadside sign for one post and two posts shall include full compensation for furnishing all labor, materials (including excavation, backfill, concrete plugs, removing, storage of existing signs prior to relocation, disposal of materials, and new post.), tools, equipment, and incidentals, and for doing all the work involved in relocating roadside sign, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for providing, installing, and removing the temporary skids for the new roadside signs shall be considered as included in the contract unit price paid for relocate roadside sign and no separate payment will be made therefor.

RELOCATE GATE

An existing gate at Laguna De Santa Rosa (Widen), Bridge Number 20-0016, shall be removed and relocated to the new location shown on the plans.

The gate shall be installed at the new location on the same day that it is removed from its original location.

Full compensation for relocate gate shall be considered as included in the contract lump sum price paid for the associated item of bridge removal and no separate payment will be made therefor.

ADJUST INLET

Existing pipe inlets and concrete drainage inlets shall be adjusted as shown on the plans.

Concrete shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Adjustment of inlets shall be performed before paving and shall be limited to the area to be paved or surfaced during the working day in which the adjustment is performed. The top of the inlet grate or cover shall be protected from the hot mix asphalt during paving operations by means of heavy plywood covers, steel plate covers or by other methods approved by the Engineer. Excess paving material shall be removed before rolling.

ADJUST FRAME AND COVER TO GRADE

Frames and covers of existing manholes, junction structures or other facilities shall be adjusted to grade in conformance with the provisions in Section 15-2.05, "Reconstruction," of the Standard Specifications.

MODIFY INLET

Existing inlet shall be modified as shown on the plans, and as specified in the Standard Specifications and these special provisions.

Portland cement concrete shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications

Modify inlet will be measured by the unit as determined from the actual count. The contract unit price paid for modify inlet shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in modify inlet, including removing portions of inlet, bar reinforcing steel, concrete and structure excavation and structure backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

REMOVE PORTLAND CEMENT CONCRETE BASE

Removing portland cement concrete base and cement treated base encountered within the limits of roadway excavation and remove base and surfacing shall conform to the provisions in Section 15-3, "Removing Concrete," of the Standard Specifications.

Where no joint exists in the pavement on the line at which concrete is to be removed, a straight, neat cut with a power driven saw shall be made along the line to a minimum depth of 50 mm before removing the concrete.

Existing base surfacing shown on the plans to be removed, shall be removed to a depth of at least 300 mm below the grade of the existing surfacing. Resulting holes and depressions shall be backfilled with earthy material selected from excavation to the lines and grade established by the Engineer.

Full compensation for removing portland cement concrete base and cement treated base encountered within the limits of roadway excavation, shall be considered as included in the contract price paid per cubic meter for roadway excavation; and no additional compensation will be allowed therefor.

Full compensation for removing portland cement concrete base and cement treated base encountered within the limits of remove base and surfacing, shall be considered as included in the contract price paid per cubic meter for remove base and surfacing and no additional compensation will be allowed therefor.

REMOVE BASE AND SURFACING

Existing base and bituminous surfacing shown on the plans to be removed, shall be removed to a depth of at least 150 mm below the grade of the existing surfacing. Resulting holes and depressions shall be backfilled with earthy material selected from excavation to the lines and grade established by the Engineer.

The material removed shall be disposed of outside the highway right of way in conformance with the provisions in Section 15-2.03, "Disposal," of the Standard Specifications.

Removing base and surfacing will be measured by the cubic meter in the same manner specified for roadway excavation in conformance with the provisions in Section 19, "Earthwork," of the Standard Specifications and will be paid for at the contract price per cubic meter for remove base and surfacing.

COLD PLANE ASPHALT CONCRETE PAVEMENT

Existing asphalt concrete pavement shall be cold planed at the locations and to the dimensions shown on the plans.

Planing asphalt concrete pavement shall be performed by the cold planing method. Planing of the asphalt concrete pavement shall not be done by the heater planing method.

Cold planing machines shall be equipped with a cutter head not less than 750 mm in width and shall be operated so that no fumes or smoke will be produced. The cold planing machine shall plane the pavement without requiring the use of a heating device to soften the pavement during or prior to the planing operation.

The depth, width, and shape of the cut shall be as shown on the plans or as designated by the Engineer. The final cut shall result in a uniform surface conforming to the plans. The outside lines of the planed area shall be neat and uniform. Planing asphalt concrete pavement operations shall be performed without damage to the surfacing to remain in place.

Planed widths of pavement shall be continuous except for intersections at cross streets where the planing shall be carried around the corners and through the conform lines. Following planing operations, a drop-off of more than 45 mm will not be allowed between adjacent lanes open to public traffic.

Where transverse joints are planed in the pavement at conform lines no drop-off shall remain between the existing pavement and the planed area when the pavement is opened to public traffic. If Hot Mix Asphalt (HMA) has not been placed to the level of existing pavement before the pavement is to be opened to public traffic a temporary HMA taper shall be constructed. HMA for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 1:30 (Vertical: Horizontal) or flatter to the level of the planed area.

HMA for temporary tapers shall be the same quality as the HMA used elsewhere on the project. HMA for tapers shall be compacted by any method that will produce a smooth riding surface. Temporary HMA tapers shall be completely removed, including the removal of loose material from the underlying surface, before placing the permanent surfacing. The removed material shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Operations shall be scheduled so that not more than 7 days shall elapse between the time when transverse joints are planed in the pavement at the conform lines and the permanent surfacing is placed at the conform lines.

The material planed from the roadway surface, including material deposited in existing gutters or on the adjacent traveled way, shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Removal operations of cold planed material shall be concurrent with planing operations and follow within 15 m of the planer, unless otherwise directed by the Engineer.

Cold plane asphalt concrete pavement will be measured by the square meter. The quantity to be paid for will be the actual area of surface cold planed irrespective of the number of passes required to obtain the depth shown on the plans.

The contract price paid per square meter for cold plane asphalt concrete pavement shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in cold planing asphalt concrete surfacing and disposing of planed material, including furnishing the HMA for and constructing, maintaining, removing, and disposing of temporary HMA tapers, as specified in the Standard Specifications and these special provisions and as directed by the Engineer.

CAP INLET

Existing concrete drainage inlets, where shown on the plans to be capped, shall be capped and the bottoms of the inlets shall be rounded with portland cement concrete as shown on the plans.

Concrete shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Inlets shall be removed to a depth of at least 0.3-m below the grading plane.

Concrete removal shall be performed without damage to portions of the inlet that are to remain in place. Damage to existing concrete, which is to remain in place, shall be repaired by the Contractor to a condition equal to that existing prior to the beginning of removal operations. The repair of existing concrete damaged by the Contractor's operations shall be at the Contractor's expense.

Existing reinforcement that is to be incorporated in the new work shall be protected from damage and shall be thoroughly cleaned of adhering material before being embedded in the new concrete.

The quantity of capping inlets will be determined as units from actual count.

The contract unit price paid for cap inlet shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in capping inlets, including removing portions of inlets, rounding bottoms of inlets, bar reinforcing steel, and structure excavation and structure backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

RELOCATE HYDRANT

Existing fire hydrant, where shown on the plans shall be relocated in accordance with the details shown on the plans and provisions in these special provisions.

Existing fire hydrant assembly shall be disconnected at the existing valve. The existing valve shall remain in place; and shall be closed and capped.

Existing fire hydrant may be further disassembled at existing fittings at the option of the Contractor. The components to be relocated shall be cleaned of all earth and other foreign materials. All adhering concrete shall be removed from the components to be relocated.

Fire hydrant components that are to be relocated and which are damaged as a result of the Contractor's operations shall be repaired by the Contractor, at his expense.

Materials which are lost for any reason before relocation and components which are damaged beyond repair as a result of the Contractor's operations shall be either replaced by the Contractor, at his expense, or, at the discretion of the Engineer, the estimated cost of replacement may be deducted from any monies due the Contractor.

Replacement for lost or damaged components shall be of the same kind and of the same or better quality and condition as the lost or damaged materials were prior to their removal.

The relocated fire hydrant shall be connected to the new water main, where shown on the plans, with a new 150 mm (6") butterfly valve.

The butterfly valve shall have flanged end by mechanical joint; and shall be furnished and installed as shown on the plans.

The 150 mm hydrant lateral shall be extended to the necessary length to relocate the hydrant at the location shown on the plans. The lateral shall be extended with ductile iron pipe

The valve boxes shall be Brooks Products Incorporated, No. 4-TT with cast-iron traffic lid, or approved equal. The traffic lid shall be marked "Water."

Excavations shall be backfilled and compacted as shown on the plans, and as specified in "Earthwork" elsewhere in the Special Provisions. In street areas excavations shall be brought to existing pavement grade with a maximum of 300 mm of commercial quality asphalt concrete.

The face of curb and top of curb shall be painted red for 5 meters on both sides of the hydrant.

A blue reflective pavement marker shall be placed in the street in front of the relocated fire hydrant. The reflective pavement marker shall conform to the requirements of "Pavement Markers" elsewhere in the Special Provisions.

The contract unit price paid for relocate hydrant shall include full compensation for furnishing all labor, materials including additional piping, fittings, and minor concrete, disassembly and reassembly, any temporary storage, curb paint, reflective pavement marker, any required excavation or backfill, and hauling and stockpiling tools, equipment and incidentals and for doing all work involved in relocate hydrant as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

EXISTING HIGHWAY IRRIGATION FACILITIES

Existing irrigation facilities within the limits of work shall remain in place. Irrigation facilities that are damaged by the Contractor's operation shall be reported immediately to the Engineer.

Existing below ground irrigation facilities will be marked by the Engineer. Marked Irrigation facilities damaged by the Contractor's operations shall be repaired or replaced at the Contractor's expense. The replacement and repair of damaged unmarked below ground irrigation facilities will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Water shall be maintained in conformance with the provisions in Section 20-5.025, "Maintain Existing Water Supply," of the Standard Specifications.

BRIDGE REMOVAL

Removing bridges or portions of bridges shall conform to the provisions in Section 15-4, "Bridge Removal," of the Standard Specifications and these special provisions.

Bridge removal, Location A shall consist of removing the existing Railroad Avenue Undercrossing structures, Bridge Numbers 20-0289R and 20-0289L, in stages.

Bridge removal, Location B shall consist of removing the existing Route 101/116 Separation structures, Bridge Numbers 20-0290R and 20-0290L, in stages.

Bridge removal (portion), Location A shall consist, in general, of removing portions of the existing Sierra Avenue Undercrossing, Bridge Number 20-0167R/L, reinforced concrete bent caps, abutments and footings, along with existing reinforced concrete barriers, deck overhangs and wingwalls, and including portions of existing reinforced concrete retaining wall near Bent 2, as shown on the plans.

Bridge removal (portion), Location B shall consist, in general, of removing those portions of existing reinforced concrete identified on the Laguna De Santa Rosa (Widen), Bridge Number 20-0016, plans as bridge removal (portion) and channel removal (portion), and shall include relocation of the existing gate, as shown on the plans. Attention is directed to "Core Concrete" of these special provisions.

Bridge removal (portion), Location C shall consist, in general, of removing those portions of existing reinforced concrete identified on the Copeland Creek (Widen), Bridge Number 20-0015, plans as bridge removal (portion) and channel removal (portion).

Removed materials that are not to be used in the reconstruction shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The Contractor shall submit a complete bridge removal plan to the Engineer for each bridge listed above, detailing procedures, sequences, and all features required to perform the removal in a safe and controlled manner.

The bridge removal plan shall include, but not be limited to, the following:

A. The removal sequence, including staging of removal operations.
B. Equipment locations on the structure during removal operations.
C. Temporary support shoring or temporary bracing.
D. Locations where work is to be performed over traffic or utilities.
E. Details, locations, and types of protective covers to be used.
F. Measures to assure that people, property, utilities, and improvements will not be endangered.
G. Details and measures for preventing material, equipment, and debris from falling onto public traffic.

When protective covers are required for removal of portions of a bridge, or when superstructure removal works on bridges are involved, the Contractor shall submit working drawings, with design calculations, to the Engineer for the proposed bridge removal plan, and the bridge removal plan shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California. The design calculations shall be adequate to demonstrate the stability of the structure during all stages of the removal operations. Calculations shall be provided for each stage of bridge removal and shall include dead and live load values assumed in the design of protective covers. At a minimum, a stage will be considered to be removal of the deck, the soffit, or the girders, in any span; or walls, bent caps, or columns at support locations.

Temporary support shoring, temporary bracing, and protective covers, as required, shall be designed and constructed in conformance with the provisions in Section 51-1.06, "Falsework," of the Standard Specifications and these special provisions.

The assumed horizontal load to be resisted by the temporary support shoring and temporary bracing, for removal operations only, shall be the sum of the actual horizontal loads due to equipment, construction sequence or other causes, and an allowance for wind, but in no case shall the assumed horizontal load to be resisted in any direction be less than 5 percent of the total dead load of the structure to be removed.

The bridge removal plan shall conform to the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The number of sets of drawings, design calculations, and unless otherwise specified in the following table, the time for reviewing bridge removal plans, shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

The time to be provided for the Engineer's review of the bridge removal plans for removing specific structures, or portions thereof, shall be as follows:

Structure or Portion of Structure	Review Time - Weeks
Bridge Number 20-0289R/L	4
Bridge Number 20-0167R/L	4
Bridge Number 20-0290R/L	6
Bridge Number 20-0016R/L	4
Bridge Number 20-0015R/L	4

The following additional requirements apply to the removal of bridges or portions of bridges that are over or adjacent to roadways that may be closed to public traffic for only brief periods of time:

A. The closure of roadways to public traffic shall conform to the provisions in "Order of Work" and "Maintaining Traffic" of these special provisions.
B. Prior to closing a roadway to traffic to accommodate bridge removal operations, the Contractor shall have all necessary workers, materials, and equipment at the site as needed to proceed with the removal work in an expeditious manner. While the roadway is closed to public traffic, work shall be pursued promptly and without interruption until the roadway is reopened to public traffic.
C. Bridge removal operations shall be performed during periods of time that the roadway is closed to public traffic except as specified herein for preliminary work.

D. Preliminary work shall be limited to operations that will not reduce the structural strength or stability of the bridge, or any element thereof, to a level that in the judgment of the Engineer would constitute a hazard to the public. This preliminary work shall also be limited to operations that cannot cause debris or any other material to fall onto the roadway. Protective covers may be used to perform preliminary work such as chipping or cutting the superstructure into segments, provided the covers are of sufficient strength to support all loads and are sufficiently tight to prevent dust and fine material from sifting down onto the traveled way. Protective covers shall extend at least 1.2 m beyond the limit of the work underway. Bottom slabs of box girders may be considered to be protective covers for preliminary work performed on the top slab inside the limits of the exterior girders.
E. Temporary support shoring and temporary bracing shall be used in conjunction with preliminary work when necessary to insure the stability of the bridge.
F. Temporary support shoring, temporary bracing, and protective covers shall not encroach closer than 2.4 m horizontally from the edge or 4.6 m vertically above any traffic lane or shoulder that is open to public traffic.
G. During periods when the roadway is closed to public traffic, debris from bridge removal operations may be allowed to fall directly onto the lower roadway provided adequate protection is furnished for all highway facilities. The minimum protection for paved areas shall be a 0.6-m thick earthen pad or a 25-mm thick steel plate placed over the area where debris can fall. Prior to reopening the roadway to public traffic, all debris, protective pads, and devices shall be removed and the roadway swept clean with wet power sweepers or equivalent methods.
H. The removal operations shall be conducted in such a manner that the portion of the structure not yet removed remains in a stable condition at all times. For girder bridges, each girder shall be completely removed within a span before the removal of the adjacent girder is begun. For slab type bridges, removal operations within a span shall be performed along a front that roughly parallels the primary reinforcing steel.

The following additional requirements apply to the removal of bridges or portions of bridges whenever the removal work is to be performed over public traffic:

A. A protective cover shall be constructed before beginning bridge removal work. The protective cover shall be supported by shoring, falsework, or members of the existing structure. The Contractor shall be responsible for designing and constructing safe and adequate protective covers, shoring, and falsework with sufficient strength and rigidity to support the entire load to be imposed.
B. The construction and removal of the protective cover, and the installation and removal of temporary railings shall conform to the provisions in "Order of Work," "Maintaining Traffic," "Temporary Railings" of these special provisions.
C. Bridge removal methods shall be described in the working drawings, supported by calculations with sufficient details to substantiate live loads used in the protective cover design. Dead and live load values assumed for designing the protective cover shall be shown on the working drawings.
D. The protective cover shall prevent any materials, equipment, or debris from falling onto public traffic. The protective cover shall have a minimum strength equivalent to that provided by good, sound Douglas fir planking having a nominal thickness of 50 mm. Additional layers of material shall be furnished as necessary to prevent fine materials or debris from sifting down upon the traveled way and shoulders.
E. During the removal of bridge segments, and when portions of the bridge, such as deck slabs or box girder slabs, comply with the requirements for the protective cover, a separate protective cover need not be constructed.
F. At locations where entire girders are to be removed, the protective cover shall extend at least 3 m beyond the outside face of the bridge railing.
G. The protective cover shall provide the openings specified under "Maintaining Traffic" of these special provisions.
H. The construction of the protective cover as specified herein shall not relieve the Contractor of responsibilities specified in Section 7-1.12A, "Indemnification," and Section 7-1.12B, "Insurance," of the Standard Specifications.
I. Before removal of the protective cover, the Contractor shall clean the protective cover of all debris and fine material.

For bridge removal that requires the Contractor's registered engineer to prepare and sign the bridge removal plan the Contractor's registered engineer shall be present at all times when bridge removal operations are in progress. The Contractor's registered engineer shall inspect the bridge removal operation and report in writing on a daily basis the progress of the operation and the status of the remaining structure. A copy of the daily report shall be available at the site of the work at all times. Should an unplanned event occur or the bridge operation deviate from the approved bridge removal plan, the Contractor's registered engineer shall submit immediately to the Engineer for approval, the procedure of operation proposed to correct or remedy the occurrence.

REMOVE CONCRETE

Concrete, where shown on the plans to be removed, shall be removed.

The pay quantities of concrete to be removed will be measured by the cubic meter, measured before and during removal operations.

Concrete removed shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Concrete within construction limits, both inside and outside the highway right of way, shall be removed, except for curbs and sidewalks adjacent to frontage roads and through city streets.

Where no joint exists between concrete to be removed and concrete to remain in place, the concrete shall be cut on a neat line to a minimum depth of 50 mm with a power driven saw before the concrete is removed.

Where concrete has been removed outside the roadway prism, the backfilled areas shall be graded to drain and blend in with the surrounding terrain.

Concrete to be removed which has portions of the same structure both above and below ground will be considered as concrete above ground for compensation.

TREATED-WOOD WASTE

This work includes handling, storing, transporting, and disposing treated-wood waste.

Wood removed from metal beam guard railing, roadside signs, and double thrie beam barrier is treated with creosote, pentachlorophenol, copper azole, copper boron azole, chromated copper arsenate, ammoniacal copper zinc arsenate, copper naphthenate, or alkaline copper quaternary. Treated-wood waste must be disposed in an approved treated-wood-waste facility. A list of currently approved treated-wood-waste facilities may be viewed at:

http://www.dtsc.ca.gov/HazardousWaste/upload/TWW_Confirmed_Landfill_List.pdf

Manage treated-wood waste under Title 22 CA Code of Regulations, Division 4.5, Chapter 34.

Personnel who handle treated-wood waste or may come in contact with treated-wood waste must receive training that includes:

1. All applicable requirements of Title 8 CA Code of Regulations
2. Procedures for identifying and segregating treated-wood waste
3. Safe handling practices
4. Requirements of Title 22 CA Code of Regulations, Division 4.5, Chapter 34
5. Proper disposal methods

Store treated-wood waste before disposal using any of the following methods:

1. Elevated on blocks above a reasonably foreseeable run-on elevation and protected from precipitation
2. Placed in water-resistant containers designed for shipping or solid waste collection
3. Placed on a containment surface protected from run-on and precipitation

Prevent unauthorized access to treated-wood waste using a secured enclosure such as a locked chain link fenced area or a lockable shipping container. The enclosure must be located within the project limits.

Resizing or segregating treated-wood waste must be done at a location where debris from the operation including sawdust and chips can be contained. The debris must be collected and managed as treated-wood waste.

Provide water-resistant labels to clearly mark and identify treated-wood waste. Labels on treated-wood waste and accumulation areas must comply with Title 22 CA Code of Regulations, Division 4.5, Chapter 34, § 67386.5. The label must include:

1. In treated wood waste handler area:

    1.1. Caltrans, District number, Construction, contract number
    1.2 District office address
    1.3. Engineer's name, address, and telephone number
    1.4. Contractor's contact name and telephone number

Before transporting treated-wood waste, obtain agreement from the receiving facility that the treated-wood waste will be accepted. Protect shipments of treated-wood waste from loss and exposure to precipitation. Request a generator identification number from the Engineer at least 5 days before the first shipment. Each shipment must be accompanied by a shipping record such as a manifest or bill of lading that includes:

1. Caltrans, District number, Construction, contract number, generator identification number
2. District office address
3. Engineer name, address, and telephone number
4. Contractor contact name and telephone number
5. Receiving facility name and address
6. Waste description: Treated wood waste (preservative type if known or unknown/mixture)
7. Project location
8. Estimated quantity of shipment by weight or volume
9. Date of transport
10. Date of receipt
11. Weight of shipment as measured by the receiving treated-wood-waste facility

The shipping document must be at least a 4-part carbon or carbonless 8-1/2" x 11" form to allow retention of copies by the Engineer, transporter, and disposal facility. Submit a copy of each completed shipping record and weight receipt to the Engineer.

Dispose of treated-wood waste within:

1. 90 days of generation if stored on blocks
2. 90 days of filling a container if containerized
3. 180 days of generation if stored on a containment surface

Full compensation for handling, storing, transporting, and disposing treated-wood waste, including personnel training, is included in the contract items of work involved and no additional compensation will be allowed therefor.

10-1.42 CLEARING AND GRUBBING

Clearing and grubbing shall conform to the provisions in Section 16, "Clearing and Grubbing," of the Standard Specifications and these special provisions.

Vegetation shall be cleared and grubbed only within the excavation and embankment slope lines.

Existing trees as shown on the plans to be removed, shall be removed in accordance with "Clearing and Grubbing" of the Standard Specifications.

Before any trees are pruned or removed, the Contractor shall verify the locations of trees with the Engineer and ensure tree removal operation will be in conformance to "Bird Protection" of these special provisions.

Existing vegetation shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, with Federal regulation "Phytophthora Ramorum," "Quarantine and Regulations," Interim Rule, 72 Federal Register 8585-8604 (February 27, 2007) (to be codified at 7 C.F.R. Part 301) found at:

http://nature.berkeley.edu/comtf/html/federal.html

Disposing of existing vegetation shall also conform with California State Miscellaneous Ruling "Oak Mortality Disease Control" in the California Department Of Food And Agriculture Plant Quarantine Manual at 3700 found at:

http://nature.berkeley.edu/comtf/html/federal.html

Full compensation for disposing of existing vegetation in accordance with Federal and State regulations shall be considered as included in the contract price lump sum paid for clearing and grubbing and no additional compensation will be allowed therefor.

10-1.43 WATERING

Developing a water supply and applying watering shall conform to the provisions in Section 17, "Watering," of the Standard Specifications and these special provisions.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions regarding availability of water.

10-1.44 EARTHWORK

Earthwork shall conform to the provisions in Section 19, "Earthwork," of the Standard Specifications and these special provisions.

Structure backfill and roadway embankment, shown on the plans as low expansion material, within the limits of the bridge abutments, shall have an Expansion Index (EI) of less than 50 and a Sand Equivalent (SE) of greater than 20. The Expansion Index shall be determined in accordance with ASTM D 4829. The Sand Equivalent shall be determined in accordance with California Test 217.

Full compensation for conforming to the requirements for low expansion material as specified in these special provisions shall be considered as included in the contract prices for the various items of work and no additional compensation will be allowed therefor.

Where limits of excavation are shown on the plans no excavation will be permitted beyond the limits shown.

Surplus excavated material not designated as hazardous waste due to aerially deposited lead shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Where a portion of the existing surfacing is to be removed, the outline of the area to be removed shall be cut on a neat line with a power-driven saw to a minimum depth of 50 mm before removing the surfacing. Full compensation for cutting the existing surfacing shall be considered as included in the contract price paid per cubic meter for roadway excavation and no additional compensation will be allowed therefor.

The portion of imported borrow placed within 1.5 m of the finished grade shall have a Resistance (R-Value) of not less than 15.

Reinforcement or metal attached to reinforced concrete rubble placed in embankments shall not protrude above the grading plane. Prior to placement within 0.6-m below the grading plane of embankments, reinforcement or metal shall be trimmed to no greater than 20 mm from the face of reinforced concrete rubble. Full compensation for trimming reinforcement or metal shall be considered as included in the contract prices paid per cubic meter for the types of excavation shown in the Engineer's estimate, or the contract prices paid for furnishing and placing imported borrow or embankment material, as the case may be, and no additional compensation will be allowed therefor.

Imported borrow shall be mineral material including rock, sand, gravel, or earth. The Contractor shall not use man-made refuse in imported borrow including:

A. Portland cement concrete
B. Asphalt concrete
C. Hot mix asphalt
D. Material planed from roadway surfaces
E. Residue from grooving or grinding operations
F. Metal
G. Rubber
H. Mixed debris
I. Rubble

At the locations and to the limits shown on the plans material below the bottom of earth retaining structure leveling pads shall be removed and replaced with Class 2 aggregate base material in conformance with the placing and compacting requirements for structure backfill. The relative compaction shall be not less than 95 percent. Removed material and furnishing, placing, and compacting the replacement material shall be considered as part of the earthwork for the earth retaining structure involved and no separate payment will be made therefor.

No backfill material shall be deposited against the back of Type 6 retaining walls until the grout has developed a strength of not less than 10 MPa in compression or until the grout has been in place for 28 days, whichever occurs first.

Pervious backfill material placed within the limits of payment for retaining walls will be measured and paid for as structure backfill (retaining wall).

If structure excavation or structure backfill for bridges is not otherwise designated by type and payment for the structure excavation or structure backfill has not otherwise been provided for in the Standard Specifications or these special provisions, the structure excavation or structure backfill will be measured and paid for as structure excavation (bridge) or structure backfill (bridge), respectively.

Structure excavation designated as (Type D), for footings at the locations shown on the plans, will be measured and paid for as structure excavation (Type D) of the type or types shown in the Engineer's Estimate. Ground water or surface water is expected to be encountered at these locations, but seal course concrete is not shown or specified. Structure excavation for

footings at locations not designated on the plans as structure excavation (Type D), and where ground or surface water is encountered, will be measured and paid for as structure excavation (bridge).

10-1.45 EARTH RETAINING STRUCTURES

Earth retaining structure, consisting of Retaining Wall Number 74, shall conform to the details shown on the plans and these special provisions.

Attention is directed to "Precast Concrete Quality Control" of these special provisions.

At the Contractor's option, one of the following acceptable alternative earth retaining systems may be constructed:

A. Mechanically Stabilized Embankment;
B. One of the proprietary systems listed in the following table.

Proprietary Earth Retaining System	Address and Phone Number
Reinforced Earth – 1.52 m cruciform	The Reinforced Earth Company 1660 Hotel Circle North, Suite 304 San Diego, CA 92108 (619) 688-2400 www.reinforcedearth.com
Reinforced Earth – 1.52 m square	The Reinforced Earth Company 1660 Hotel Circle North, Suite 304 San Diego, CA 92108 (619) 688-2400 www.reinforcedearth.com
Retained Earth	The Reinforced Earth Company 1660 Hotel Circle North, Suite 304 San Diego, CA 92108 (619) 688-2400 www.reinforcedearth.com
MSE Plus - 1.52 m square	SSL 4740 Scotts Valley Drive, Suite E 209 Scotts Valley, CA 95066 (831) 430-9300 www.mseplus.com
MSE Plus – 1.52 by 1.82 m	SSL 4740 Scotts Valley Drive, Suite E 209 Scotts Valley, CA 95066 (831) 430-9300 www.mseplus.com
ARES – 2.74 by 1.52 m	Tensar International Corporation 34892 Calle Fortuna Capistrano Beach, CA 92624 (949) 488-7054 www.tensarcorp.com

Only one type of earth retaining system shall be used at any one location.

The above list of acceptable alternative earth retaining systems has been selected from the Department's current list of prequalified earth retaining systems and is limited only to those systems determined to have characteristics suitable for this project. Among the alternatives shown, some systems may be proprietary.

The list of prequalified earth retaining systems has been developed from data previously furnished by suppliers or manufacturers of each system. Approval of additional earth retaining systems is contingent on the system meeting the full range of parameters for which prequalification is required. The prequalification requirements can be obtained from the Office of Structure Design, Mail Station 9-2/9I, 1801 30th Street, Sacramento, CA 95816.

WORKING DRAWINGS

If the Contractor elects to use a proprietary earth retaining system from the list of acceptable alternative systems, the Contractor shall submit complete working drawings for each installation of the system to the Office of Structure Design (OSD) in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. For initial review, 4 sets of drawings shall be submitted. After review between 6 and 12 sets, as requested by the Engineer, shall be submitted to OSD for final approval and use during construction.

Working drawings shall be 279 mm x 432 mm in size, and each drawing and calculation sheet shall include the State assigned designations for the contract number, bridge number, full name of the structure as shown on the contract plans, and District-County-Route-Kilometer Post. The design firm's name, address, and phone number shall be shown on the working drawings. Each sheet shall be numbered in the lower right hand corner and shall contain a blank space in the upper right hand corner for future contract sheet numbers.

The Contractor shall verify the existing ground elevations at the site before preparing the working drawings. The working drawings shall contain all information required for the proper construction of the system at each location including existing ground line at face of wall as verified at the site and any required revisions or additions to drainage systems or other facilities. The working drawings shall include "General Notes" that contain design parameters, material notes, and wall construction procedures. The working drawings and calculations shall be stamped and signed by an engineer who is registered as a Civil Engineer in the State of California. The Contractor shall allow the Engineer 30 days to review the drawings after a complete set has been received.

Unless otherwise specified, at the completion of each structure for which working drawings were submitted, and if the work detailed in these working drawings is permanent, the Contractor shall submit to the Engineer one set of corrected as-built prints 279 mm x 432 mm in size and on 75 g/m^2 (minimum) bond paper, showing as built conditions. As-built drawings that are common to more than one structure shall be submitted for each structure.

MATERIALS

Earthwork

Excavation and backfill shall conform to the details shown on the plans, the provisions in Section 19, "Earthwork," of the Standard Specifications, and these special provisions.

Structure backfill for earth retaining structures with soil reinforcement shall be free of organic material and substantially free of shale or other soft materials of poor durability. Structure backfill shall not contain slag aggregate or recycled materials such as glass, shredded tires, portland cement concrete rubble, asphaltic concrete rubble, or other unsuitable material as determined by the Engineer.

Structure backfill for earth retaining structures with soil reinforcement shall conform to the following requirements:

Gradation Requirements		
Sieve Size	Percentage Passing	California Test
159 mm	100	202
75 mm	78 - 100	202
4.75 mm	----	202
600 µm	0 - 60	202
75 µm	0 - 15	202

Property Requirements		
Test	Requirement	California Test
Sand Equivalent	20 minimum	217
Plasticity Index	6 maximum	204
Minimum Resistivity	2000 ohm-cm	643
Chlorides	< 250 ppm	422
Sulfates	< 500 ppm	417
pH	5.5 to 10.0	643

If 12 percent or less passes the No. 75 µm sieve and 50 percent or less passes the No. 4.75 mm sieve, the Sand Equivalent and Plasticity Index requirements shall not apply.

Structure backfill for earth retaining structures with geosynthetic soil reinforcement shall conform to the following requirements:

Gradation Requirements		
Sieve Size	Percentage Passing	California Test
50 mm	100	202
4.75 mm	50-80	202
425 µm	0-30	202
75 µm	0-15	202

Property Requirements		
Test	Requirement	California Test
Sand Equivalent	30 minimum	217
Plasticity Index	6 maximum	204
Durability Index	35 minimum	229
pH	4.5 to 9.0	643

Permeable material shall be used for the portion of the structure backfill for earth retaining structures with soil reinforcement within the limits shown on the plans. Permeable material shall be Class 1, Type B, conforming to the provisions in Section 68-1.025, "Permeable Material," of the Standard Specifications.

Permeable material for earth retaining structures with metallic soil reinforcement shall conform to the following requirements:

Property Requirements		
Test	Requirement	California Test
Minimum Resistivity	2000 ohm-cm	643
Chlorides	< 250 ppm	422
Sulfates	< 500 ppm	417
pH	5.5 to 10.0	643

Permeable material for earth retaining structures with geosynthetic soil reinforcement shall conform to the following requirements:

Property Requirements		
Test	Requirement	California Test
pH	4.5 to 9.0	643

Water used for earthwork or dust control within 150 meters of earth retaining structures with metallic soil reinforcement shall conform to the provisions for water in Section 90-2.03, "Water," of the Standard Specifications.

Concrete

Concrete used in precast and cast-in-place reinforced concrete members of earth retaining structures shall conform to the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

The concrete leveling pads for the Mechanically Stabilized Embankment (MSE) system shall conform to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications.

Reinforcement

Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

Galvanizing

Soil reinforcement, connecting elements, and other steel components that are in contact with the earth shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing," of the Standard Specifications.

Inspection Elements

If a proprietary alternative system is selected, inspection elements representative of the particular soil reinforcement shall be furnished in the same number and approximate location as shown on the plans for the MSE system.

When metallic soil reinforcement is used, the threaded end of the inspection wire may be formed before or after galvanizing. The end 100 mm of the wire shall be coated with two applications of an approved unthinned commercial quality zinc-rich primer (organic vehicle type). The threaded end of the wire shall be encapsulated with corrosion inhibiting, mastic filled, round vinyl enclosure secured with a nylon tie as shown on the plans. If the threaded end is galvanized after threading, the threads shall be cleaned before painting. There shall be no damage to the unthreaded portion of the galvanized inspection wire.

Drainage System

The drainage system shall conform to the details shown on the plans and these special provisions.

Corrugated steel pipe shall conform to the provisions in Section 66, "Corrugated Metal Pipe," of the Standard Specifications.

Perforated steel pipe underdrains and underdrain outlets and risers shall conform to the provisions in Section 68-1, "Underdrains," of the Standard Specifications.

The class of rock used for rock slope protection at drain pipe outlets shall be No. 3 Backing and shall conform to the provisions in Section 72-2, "Rock Slope Protection," of the Standard Specifications.

Filter fabric shall be ultraviolet (UV) ray protected, and shall conform to the provisions for fabric for underdrains in Section 88-1.03, "Filter Fabric," of the Standard Specifications and these special provisions.

Adhesive for bonding filter fabric to concrete panels shall be commercial grade.

Soil Reinforcement

Soil reinforcement shall conform to the details shown on the contract plans, the approved working drawings, the preapproved proprietary system details, and these special provisions.

MW70 and MW130 steel wire shall conform to the requirements in ASTM Designation: A 82/A 82M. The welded wire mat shall conform to the requirements in ASTM Designation: A 185/A 185M. MD70 and MD130 deformed steel wire may be substituted for MW70 and MW130 steel wire, respectively. The welded wire mat utilizing deformed steel wire shall conform to the requirements in ASTM Designation: A 496/A 496M and ASTM Designation: A 497/A 497M.

The button on button-head wires shall conform to the provisions in Section 50-1.05, "Prestressing Steel," of the Standard Specifications.

The coupler at the wire mat connection shall be a seamless steel sleeve. The coupler shall be applied over the button-head wires and swaged by means of a hydraulic press. The coupler shall develop the minimum tensile strength of the wire without exceeding a total slip of the wires of 5.0 mm.

Sample button-head wire and coupler connectors shall develop the minimum tensile requirements for MW70 and MW130 steel wire in ASTM Designation: A 82/A 82M without exceeding a total slip of the wires of 5.0 mm when tested in conformance with the provisions for tension testing of round wire samples in ASTM Designation: A 370. When MD70 and MD130 deformed steel wire are substituted, samples shall develop the minimum tensile requirements contained in ASTM Designation: A 496/A 496M. An independent testing laboratory shall perform button-head wire and coupler connection testing. Samples shall consist of 2 button-head wires each 600 mm long connected by a swaged coupler.

Prior to the start of wall construction, the Contractor shall furnish test results to the Engineer from tension and slip tests conducted on 6 proposed button-head wire and coupler connections. Failure of any of the proposed button-head wire and coupler connector samples to meet the slip and tensile strength requirements herein shall require the connection be redesigned by the Contractor.

No installation of face panels shall be allowed until the Contractor has successfully completed tension and slip testing for proposed button-head wire and coupler connectors.

During wall construction, the Contractor shall furnish test results to the Engineer from tension and slip testing of 4 samples of production button-head wire and coupler connections for each lot of 500 individual mat wire connections incorporated into the work. Production testing shall consist of testing each of the 4 sample connections for both slip and tensile requirements herein. If 2 or more of the production samples fail to meet slip or tensile test requirements, the entire lot represented by these samples shall be rejected. If one of the production samples fails to meet slip or tensile test requirements, an additional 4 samples shall be tested. Should any of the additional samples fail to meet the slip or tensile requirements, the entire lot represented by these samples shall be rejected.

Splicing of the welded wire mat along its length shall be by mechanical coupler that shall develop the minimum tensile strength of the wire. The mechanical coupler shall be approved by the Engineer.

Geogrid soil reinforcement roll identification, storage, and handling shall be in accordance with ASTM Designation: D 4873, and as specified in the preapproved proprietary details. The geogrid shall be shipped and stored such

that the material is not placed directly on the ground. The geogrid shall be covered and protected at all times during shipment and storage such that it is fully protected from UV radiation including sunlight, site construction damage, precipitation, chemicals, flames including welding sparks, temperatures less than -29°C or greater than 60°C, or other conditions that may damage the physical property values of the geogrid. The Contractor shall prevent foreign materials from coming into contact with or affixing to the geogrid.

Miscellaneous

Resin bonded cork for horizontal joints shall conform to the requirements in ASTM Designation: D 1752, Type II, with a compressive load of not less than 690 kPa.

Pipe for the pipe pin shall conform to the requirements in ASTM Designation: A 53/A 53M, Standard weight, except the amount of the zinc coating per square meter of actual surface shall average not less than 610 g and no individual specimen shall be less than 550 g.

CONSTRUCTION

Earth retaining structures shall be constructed to the lines, grades, and details shown on the plans, and shall conform to these special provisions.

Earthwork

The foundation for the structure shall be graded level for a width equal to the length of soil reinforcement elements plus 300 mm or as shown on the contract plans. The foundation material shall be compacted to a relative compaction of not less than 95 percent. The Engineer shall approve the compacted foundation area prior to commencement of wall construction.

The Contractor shall remove unsuitable material as determined and directed by the Engineer. This work shall be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

Structure backfill material shall be placed and compacted simultaneously with the erection of the facing panels. Placement and compaction shall be accomplished without distortion of the soil reinforcement or displacement of facing panels. Structure backfill at the front of the wall shall be completed prior to backfilling more than 4 m above the bottom of the lowermost face element.

Vertical and horizontal alignment tolerances of panels shall not exceed 20 mm when measured along a 3 m straight edge. The maximum allowable offset in any panel joint shall not exceed 20 mm.

Structure backfill for earth retaining structures with soil reinforcement shall be compacted to a relative compaction of not less than 95 percent.

Soil reinforcement shall be tensioned in the direction perpendicular to the wall face with enough force to remove any slack in the connection or in the soil reinforcement itself. Soil reinforcement shall be secured in place to prevent movement during placement of additional soil reinforcement and structure backfill until the initial lift of structure backfill is compacted.

Geogrid soil reinforcement shall be placed in full-length sections.

Soil reinforcement shall be covered with structure backfill during the same work shift that it is placed.

Placement and compaction of structure backfill shall begin 300 mm from the back face of wall panels and progress towards the free end of the soil reinforcement. Compaction equipment shall be operated parallel to the wall facing. The remaining width of backfill behind the wall panels shall be placed and compacted after soil reinforcement has been covered to a depth of 150 mm.

Sheepsfoot or grid-type rollers shall not be used for compacting material within the limits of the soil reinforcement. Hand-held or hand-guided compacting equipment shall be used to compact structure backfill material within one meter of the facing panels.

Construction equipment shall not be operated directly on the soil reinforcement. A layer of structure backfill material not less than 150 mm in thickness shall be maintained between the soil reinforcement and construction equipment of any type.

Structure backfill material for earth retaining structures with geogrid soil reinforcement shall be placed in lifts not to exceed 150 mm where hand-operated compacting equipment is used and 200 mm where heavy compaction equipment is used.

At each level of the soil reinforcement the structure backfill shall be constructed to a plane 50 mm above the elevation of the soil reinforcement connection and shall start one meter from the back of the face panel and extend for at least the remaining length of soil reinforcement. This grading shall be complete before placing the next layer of soil reinforcement.

Permeable material and filter fabric shall be placed along with structure backfill as shown on the plans. Permeable material shall be placed in layers not exceeding 0.6 m in thickness. Compaction of the permeable material for the drainage system outside the limits of the soil reinforcement is not required, and equipment shall not be operated directly on the permeable material or filter fabric. If a sloped layer of permeable material is placed to facilitate the work or to satisfy safety

considerations, the vertical limits of permeable material shall remain unchanged and the thickness of the layer of permeable material shall be measured normal to the slope.

The Contractor shall grade the reinforced backfill to rapidly drain away from the wall face at the end of each work shift. Berms or ditches shall be provided to direct runoff away from the wall site. The Contractor shall not allow surface runoff from adjacent areas to enter the wall construction site.

Filter Fabric

Filter fabric shall be placed at the locations and in conformance with the details shown on the plans and these special provisions.

Immediately prior to placing filter fabric, the subgrade to receive the filter fabric shall conform to the compaction and elevation tolerance specified for the material involved and shall be free of loose or extraneous material and sharp objects that may damage the filter fabric during installation.

Concrete panel surfaces to receive filter fabric shall be dry and thoroughly cleaned of dust and deleterious materials.

Filter fabric shall be handled and placed in conformance with the manufacturer's recommendations.

Filter fabric shall be stretched, aligned, and placed in a wrinkle-free manner.

Adjacent borders of filter fabric shall be stitched or overlapped from 300 mm to 450 mm. The preceding roll shall overlap the following roll in the direction the material is being spread or shall be stitched. When filter fabric is joined by stitching it shall be stitched with yarn of a contrasting color. The size and composition of the yarn shall be as recommended by the filter fabric manufacturer. The stitches shall number 2 to 3 per centimeter of seam.

If the filter fabric is damaged during installation, it shall be repaired by placing a piece of filter fabric that is large enough to cover the damaged area and that meets the overlap requirement.

During spreading of the permeable material, a minimum of 150 mm of the material shall be maintained between the filter fabric and the Contractor's equipment. Where structure backfill material is to be placed on filter fabric, a minimum of 450 mm of structure backfill material shall be maintained between the filter fabric and the Contractor's equipment. Equipment or vehicles shall not be operated or driven directly on filter fabric.

Concrete

Concrete for the leveling pads shall be placed at least 24 hours prior to erecting face panels.

Exposed surfaces of precast and cast-in-place concrete members shall receive a surface finish conforming to the provisions in Section 51-1.18B, "Class 1 Surface Finish," of the Standard Specifications.

After placement of an inspection element and placement of backfill to a level at least 0.6 m above the inspection element, the void in the face panel shall be dry packed with mortar as shown on the plans. Dry pack shall conform to the provisions in Section 51-1.135, "Mortar," of the Standard Specifications, except that the proportion of cementitious material to sand shall be that required to achieve a 28-day mortar compressive strength of 7 MPa to 10 MPa.

Proprietary Earth Retaining Systems

If the Contractor elects to construct one of the acceptable proprietary alternative earth retaining systems, the structure shall be constructed to the lines and grades shown on the plans. Vertical and horizontal alignment shall be checked at every course throughout the erection process. The construction shall include a drainage system where shown on the plans, and shall conform to the details shown on the approved working drawings, approved proprietary system details, and these special provisions.

The Contractor shall supply a Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications stating the supplied material meets the respective index criteria set forth when the proprietary alternative earth retaining system was prequalified by the Department, as measured in accordance with all test methods and standards specified in the Standard Specifications, these special provisions, and the approved working drawings.

A qualified representative of the proprietary earth retaining system manufacturer shall be present during erection and backfill of the first 3 meters of height of the entire length of the wall and shall be available during any remaining installations. The manufacturer's representative shall not be an employee of the Contractor.

Alternative earth retaining structures shall be constructed to accommodate the drainpipe and drainage inlets in barrier slabs and through facing panels, as shown on the plans.

The top of wall profile of alternative earth retaining systems shall conform to the profile shown on the plans. The bottom of wall elevations shall be at or below the elevations shown on the plans. The height and length to be used for any system shall be the minimums for that system that will effectively retain the earth behind the structure for the loading conditions and the contours, profile, or slope lines shown on the plans. The length of soil reinforcement for any system shall be not less than that shown on the plans. In addition, if the plans or special provisions indicate limiting parameters for alternative systems the system shall conform to those parameters.

The top of face panels, assuming no leveling pad settlement, shall be covered by the coping lip or concrete barrier slab lip at a minimum of 170 mm.

The top level of soil reinforcement shall be placed parallel to the top of the concrete panel at a distance below the top of the wall as shown on the plans. The top level of soil reinforcement shall also be (1) placed a minimum of 75 mm below the bottom of the barrier slab lip or the bottom of the concrete gutter behind coping and (2) placed a minimum of 125 mm below the top edge of the concrete panel.

MEASUREMENT AND PAYMENT

Earth retaining structures will be measured and paid for by the square meter. Regardless of the type of earth retaining structure actually constructed the square meter area for payment will be based on the length and vertical height of each section of Mechanically Stabilized Embankment system shown on the plans that was or would have been constructed. The vertical height of each section will be taken as the difference in elevation on the outer face from the bottom of the lowermost face element to the top of wall profile.

The contract price paid per square meter for earth retaining structure at each location shown on the plans shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the earth retaining structure and inspection elements, including earthwork, leveling pad, bearing pads, and drainage systems, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract price paid per cubic meter for structural concrete, barrier slab shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the barrier slab, complete in place, including integral drainage inlets, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for furnishing and testing sample mechanical connectors shall be considered as included in the contract price paid per square meter for earth retaining structure, and no separate payment will be made therefor.

Full compensation for revisions to the barrier support, drainage system, or other facilities made necessary by the use of an alternative earth retaining system shall be considered as included in the contract price paid per square meter for earth retaining structure, and no separate payment will be made therefor.

10-1.46 CONTROLLED LOW STRENGTH MATERIAL

Controlled low strength material shall consist of a workable mixture of aggregate, cementitious materials, and water and shall conform to the provisions for slurry cement backfill in Section 19-3.062, "Slurry Cement Backfill," of the Standard Specifications and these special provisions.

At the option of the Contractor, controlled low strength material may be used as structure backfill for pipe culverts, except that controlled low strength material shall not be used as structure backfill for culverts having a diameter or span greater than 6.1 m.

When controlled low strength material is used for structure backfill, the width of the excavation shown on the plans may be reduced so that the clear distance between the outside of the pipe and the side of the excavation, on each side of the pipe, is a minimum of 300 mm. This minimum may be reduced to 150 mm when the height of cover is less than or equal to 6.1 m or the pipe diameter or span is less than 1050 mm.

Controlled low strength material in new construction shall not be permanently placed higher than the basement soil. For trenches in existing pavements, permanent placement shall be no higher than the bottom of the existing pavement permeable drainage layer. If a drainage layer does not exist, permanent placement in existing pavements shall be no higher than 25 mm below the bottom of the existing asphalt concrete surfacing or no higher than the top of base below the existing portland cement concrete pavement. The minimum height that controlled low strength material shall be placed, relative to the culvert invert, is 0.5 diameter or 0.5 height for rigid culverts and 0.7 diameter or 0.7 height for flexible culverts.

When controlled low strength material is proposed for use, the Contractor shall submit a mix design and test data to the Engineer for approval prior to excavating the trench for which controlled low strength material is proposed for use. The test data and mix design shall provide for the following:

A. A 28-day compressive strength between 345 kPa and 690 kPa for pipe culverts having a height of cover of 6.1 m or less and a minimum 28-day compressive strength of 690 kPa for pipe culverts having a height of cover greater than 6.1 m. Compressive strength shall be determined in conformance with the requirements in ASTM Designation: D 4832.
B. Cement shall be any type of portland cement conforming to the requirements in ASTM Designation: C 150; or any type of blended hydraulic cement conforming to the requirements in ASTM Designation: C 595M or the physical requirements in ASTM Designation: C 1157M. Testing of cement will not be required.

C. Admixtures may be used in conformance with the provisions in Section 90-4, "Admixtures," of the Standard Specifications. Chemical admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined in conformance with the requirements of California Test 415, shall not be used. If an air-entraining admixture is used, the maximum air content shall be limited to 20 percent. Mineral admixtures shall be used at the Contractor's option.

Materials for controlled low strength material shall be thoroughly machine-mixed in a pugmill, rotary drum or other approved mixer. Mixing shall continue until the cementitious material and water are thoroughly dispersed throughout the material. Controlled low strength material shall be placed in the work within 3 hours after introduction of the cement to the aggregates.

When controlled low strength material is to be placed within the traveled way or otherwise to be covered by paving or embankment materials, the material shall achieve a maximum indentation diameter of 76 mm prior to covering and opening to public traffic. Penetration resistance shall be measured in conformance with the requirements in ASTM Designation: D 6024.

Controlled low strength material used as structure backfill for pipe culverts will be considered structure backfill for compensation purposes.

10-1.47 MATERIAL CONTAINING AERIALLY DEPOSITED LEAD

Earthwork involving material containing aerially deposited lead shall conform to the provisions in Section 19, "Earthwork" of the Standard Specifications and these special provisions.

Attention is directed to "Aerially Deposited Lead" of these special provisions.

Type Y-1 material contains aerially deposited lead in average concentrations of 0.5 mg/L or less extractable lead (based on a modified waste extraction test using deionized water as the extractant) and 1411 mg/kg or less total lead. Type Y-1 material exists within the Contract limits as shown on the plans. This material shall be placed as shown on the plans, unless otherwise directed by the Engineer, and covered with a minimum 0.6 m layer of non-hazardous soil or pavement. This material is hazardous waste regulated by the State of California that may be reused as permitted under the Variance of the California Department of Toxic Substances Control (DTSC) provided that the lead contaminated soil is placed a minimum of 1.5 m above the maximum water table elevation and covered with at least 0.3 m of non-hazardous soil. Temporary surplus material may be generated on this project due to the requirements of stage construction. Temporary surplus material shall not be transported outside the State right of way. In order to conform to the requirements of these provisions it may be necessary to stockpile material for subsequent stages, to construct some embankments out of stage, or to handle temporary surplus material more than once.

Type Y-2 material contains aerially deposited lead in average concentrations that exceed either 0.5 mg/L extractable lead (based on a modified waste extraction test using deionized water as the extractant) or 1411 mg/kg total lead but are less than 50 mg/L extractable lead (based on a modified waste extraction test using deionized water as the extractant) and less than 3397 mg/kg of total lead. Type Y-2 material exists within the Contract limits as shown on the plans. This material shall be placed as shown on the plans, unless otherwise directed by the Engineer, and covered with a layer of pavement. This material is hazardous waste regulated by the State of California that may be reused as permitted under the Variance of DTSC provided that the lead contaminated soil is placed a minimum of 1.5 m above the maximum water table elevation and protected from infiltration by a pavement structure which will be maintained by the Department. Temporary surplus material may be generated on this project due to the requirements of stage construction. Temporary surplus material shall not be transported outside the State right of way. In order to conform to the requirements of these provisions, it may be necessary to stockpile material for subsequent stages, to construct some embankments out of stage, or to handle temporary surplus material more than once.

LEAD COMPLIANCE PLAN

The Contractor shall prepare a project specific Lead Compliance Plan to prevent or minimize worker exposure to lead while handling material containing aerially deposited lead. Attention is directed to Title 8, California Code of Regulations, Section 1532.1, "Lead," for specific California Department of Industrial Relations, Division of Occupational Safety and Health (Cal-OSHA) requirements when working with lead.

The Lead Compliance Plan shall contain the elements listed in Title 8, California Code of Regulations, Section 1532.1(e)(2)(B). Before submission to the Engineer, the Lead Compliance Plan shall be approved by an Industrial Hygienist certified in Comprehensive Practice by the American Board of Industrial Hygiene. The plan shall be submitted to the Engineer for review and acceptance at least 15 days prior to beginning work in areas containing aerially deposited lead.

The Contractor shall not work in areas containing aerially deposited lead within the project limits, unless authorized in writing by the Engineer, until the Engineer has accepted the Lead Compliance Plan.

Prior to performing work in areas containing aerially deposited lead, personnel who have no prior training or are not current in their training status, including Department personnel, shall complete a safety training program provided by the Contractor. The safety training program shall meet the requirements of Title 8, California Code of Regulations, Section 1532.1, "Lead."

Personal protective equipment, training, and washing facilities required by the Contractor's Lead Compliance Plan shall be supplied to Department personnel by the Contractor. The number of Department personnel will be 4.

The Engineer will notify the Contractor of acceptance or rejection of the submitted or revised Lead Compliance Plan not more than 10 days after submittal of the plan.

The contract lump sum price paid for Lead Compliance Plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing the Lead Compliance Plan, including paying the Certified Industrial Hygienist, and for providing personal protective equipment, training and medical surveillance, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

EXCAVATION AND TRANSPORTATION PLAN

Within 15 days after approval of the contract, the Contractor shall submit 3 copies of an Excavation and Transportation Plan to the Engineer. The Engineer will have 5 days to review the plan. If revisions are required, as determined by the Engineer, the Contractor shall revise and resubmit the plan within 7 days of receipt of the Engineer's comments. The Engineer will have 5 days to review the revisions. Upon the Engineer's approval of the plan, 3 additional copies incorporating the required changes shall be submitted to the Engineer. Minor changes to or clarifications of the initial submittal may be made and attached as amendments to the Excavation and Transportation Plan. In order to allow construction to proceed, the Engineer may conditionally approve the plan while minor revisions or amendments are being completed.

The Contractor shall prepare the written, project specific Excavation and Transportation Plan establishing the procedures the Contractor will use to comply with requirements for excavating, stockpiling, transporting, and placing (or disposing) of material containing aerially deposited lead. The plan shall conform to the regulations of the DTSC and Cal-OSHA. The sampling and analysis portions of the Excavation and Transportation Plan shall meet the requirements for the design and development of the sampling plan, statistical analysis, and reporting of test results contained in USEPA, SW 846, "Test Methods for Evaluating Solid Waste," Volume II: Field Manual Physical/Chemical, Chapter Nine, Section 9.1. The plan shall contain, but not be limited to the following elements:

A. Excavation schedule (by location and date),
B. Temporary locations of stockpiled material,
C. Sampling and analysis plans for areas after removal of a stockpile,

    1. Location and number of samples,
    2. Analytical laboratory,

D. Sampling and analysis plan for soil cover,
E. Dust control measures,
F. Air monitoring,

    1. Location and type of equipment,
    2. Sampling frequency,
    3. Analytical laboratory,

G. Transportation equipment and routes,
H. Method for preventing spills and tracking material onto public roads,
I. Truck waiting and staging areas,
J. Spill Contingency Plan for material containing aerially deposited lead.

DUST CONTROL

Excavation, transportation, placement, and handling of material containing aerially deposited lead shall result in no visible dust migration. The Contractor shall have a water truck or tank on the job site at all times while clearing and grubbing and performing earthwork operations in work areas containing aerially deposited lead.

STOCKPILING

Stockpiles of material containing aerially deposited lead shall not be placed where affected by surface run-on or run-off. Stockpiles shall be covered with plastic sheeting 0.33 mm minimum thickness or 0.3 m of non-hazardous material. Stockpiles shall not be placed in environmentally sensitive areas. Stockpiled material shall not enter storm drains, inlets, or waters of the State.

MATERIAL TRANSPORTATION

Prior to traveling on public roads, loose and extraneous material shall be removed from surfaces outside the cargo areas of the transporting vehicles and the cargo shall be covered with tarpaulins or other cover, as outlined in the approved Excavation and Transportation Plan. The Contractor shall be responsible for costs due to spillage of material containing lead during transport.

The Department will not consider the Contractor a generator of the hazardous material, and the Contractor will not be obligated for further cleanup, removal, or remedial action for such material handled or disposed of in conformance with the requirements specified in these special provisions and the appropriate State and Federal laws and regulations and county and municipal ordinances and regulations regarding hazardous waste.

MEASUREMENT AND PAYMENT

Quantities of roadway excavation (aerially deposited lead), of the types shown in the Engineer's Estimate, will be measured and paid for in the same manner specified for roadway excavation, in Section 19, "Earthwork," of the Standard Specifications.

Full compensation for preparing an approved Excavation and Transportation Plan, transporting material containing aerially deposited lead reused in the work from location to location, and transporting and disposing of material containing aerially deposited lead shall be considered as included in the contract prices paid per cubic meter for the items of roadway excavation (aerially deposited lead) of the types involved, and no additional compensation will be allowed therefor.

No payment for stockpiling of material containing aerially deposited lead will be made, unless the stockpiling is ordered by the Engineer.

10-1.48 EROSION CONTROL (NETTING)

Erosion control (netting) shall conform with the details as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

Erosion control (netting) work shall consist of furnishing, installing, and maintaining erosion control (netting) in ditches or swales, on embankment slopes, excavation slopes and other locations as shown on the plans.

Following the installation of erosion control (netting), erosion control materials shall be applied onto the netting face as specified in "Erosion Control (Type D)," of these special provisions, except straw will not be required.

MATERIALS

Materials for the erosion control (netting) shall conform to the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Erosion Control Netting

Erosion control netting shall consist of 100 percent spun coir fiber and shall conform to the following:

Specification	Requirement
Weight, grams per square meter ASTM Designation: D 3776	400
Minimum Tensile Strength, kilonewtons, ASTM Designation: D 4595	9.0 to 11.3 kN/m in longitudinal direction (dry) 5.0 to 10.7 kN/m in cross-direction (dry) 6.0 to 9.8 kN/m in longitudinal direction (wet) 4.0 to 9.4 kN/m in cross- direction (wet)
Roll Width, meters, min.	4
Area/Roll, square meters, min.	200
Open Area, percent	63-70

Staples

Staples shall be as shown on the plans.

INSTALLATION

Erosion control (netting) shall be installed in ditches or swales, on embankment slopes, or excavation slopes as follows:

A. Erosion control (netting) strips shall be placed loosely along the ditch or swale with the longitudinal edges and joints parallel to the centerline of the ditch or swale. Longitudinal joints of netting shall be overlapped and stapled. Transverse joints of netting shall be secured in intermediate joint trenches. Staples shall be driven perpendicular to the slopes. Ends of the netting shall be secured in place in key trenches.
B. Erosion control (netting) strips shall be placed loosely on the embankment or excavation slope with the longitudinal joints perpendicular to the slope contour lines. Longitudinal and transverse joints of netting shall be overlapped and stapled. Staples shall be driven perpendicular to the slopes. Ends of the netting shall be secured in place in key trenches.

MAINTENANCE

Damaged netting shall be replaced on the same day the damage occurs. Washouts between joints or beneath the netting shall be repaired on the same day damaged occurs. Erosion control (netting) damaged by the Contractor's vehicles, equipment, or operations shall be replaced or repaired by the Contractor at the Contractor's expense.

MEASUREMENT AND PAYMENT

The quantity of erosion control (netting) will be measured by the square meter as determined from actual slope measurements of the areas covered by the erosion control (netting) excluding overlaps.

The contract price paid per square meter for erosion control (netting) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing erosion control (netting), complete in place, including trench excavation and backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.49 MOVE-IN/MOVE-OUT (EROSION CONTROL)

Move-in/move-out (erosion control) shall include moving onto the project when an area is ready to receive erosion control as determined by the Engineer, setting up all required personnel and equipment for the application of erosion control materials and moving out all personnel and equipment when erosion control in that area is completed.

When areas are ready to receive applications of erosion control (Types D), as determined by the Engineer, the Contractor shall begin erosion control work in that area within 5 working days of the Engineer’s notification to perform the erosion control work.

Attention is directed to the requirements of erosion control (Types D) elsewhere in these special provisions.

Quantities of move-in/move-out (erosion control) will be determined as units from actual count as determined by the Engineer. For measurement purposes, a move-in followed by a move-out will be considered as one unit.

The contract unit price paid for move-in/move-out (erosion control) shall include full compensation for furnishing all labor, materials (excluding erosion control materials), tools, equipment, and incidentals and for doing all the work involved in moving in and removing from the project all personnel and equipment necessary for application of erosion control (Types D and biolfiltration swales), as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No adjustment of compensation will be made for any increase or decrease in the quantities of move-in/move-out (erosion control) required, regardless of the reason for the increase or decrease. The provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications shall not apply to the item of move-in/move-out (erosion control).

10-1.50 EROSION CONTROL (TYPE D)

Erosion control (Type D) includes applying erosion control materials to embankment and excavation slopes, unlined ditches and swales, erosion control (netting) areas, biofiltration swales, areas of compost, incorporate and other areas disturbed by construction activities. Erosion control (Type D) must comply with Section 20-3, "Erosion Control," of the Standard Specifications and these special provisions.

Apply erosion control (Type D) when an area is ready to receive erosion control as determined by the Engineer and under "Move-in/Move-out (Erosion Control)" of these special provisions.

Before applying erosion control materials, prepare soil surface under Section 19-2.05, "Slopes," of the Standard Specifications, except that rills and gullies exceeding 50 mm in depth or width must be leveled. Remove vegetative growth, temporary erosion control materials, and other debris from areas to receive erosion control.

Before applying erosion control materials, the Engineer designates the ground location of erosion control (Type D) in increments of one hectare or smaller for smaller areas. Place stakes or other suitable markers at the locations designated by the Engineer. Furnish all tools, labor and materials required to adequately indicate the various locations.

MATERIALS

Materials must comply with Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Seed

Seed must comply with Section 20-2.10, "Seed," of the Standard Specifications. Seed not required to be labeled under the California Food and Agricultural Code shall be tested for purity and germination by a seed laboratory certified by the Association of Official Seed Analysts or by a seed technologist certified by the Society of Commercial Seed Technologists. Measure and mix individual seed species in the presence of the Engineer.

Seed must contain at most 1.0 percent total weed seed by weight.

Deliver seed to the job site in unopened separate containers with the seed tag attached. Containers without a seed tag attached are not accepted. The Engineer takes a sample of approximately 30 g or 60 ml of seed for each seed lot greater than 1 kg.

Seed must comply with the following:

Seed

Botanical Name (Common Name)	Percent Germination (Minimum)	Kilograms Pure Live Seed Per Hectare (Slope Measurement)
Elymus glaucus (Blue Wild Rye)	40	10
Eschscholzia californica (California Poppy)	35	2
Festuca idahoensis (Idaho Fescue)	35	8
Hordeum californicum (California Barley)	40	8
Lupinus nanus (Sky Lupine)	40	6
Nassella cernua (Nodding needlegrass)	35	8
Nassella pulchra (Purple needlegrass)	35	12
Poa secunda* (Native Pine Bluegrass)	30	4
Trifolium wildenovii (Tomcat Clover)	50	4
Vulpia microstachys (Three Weeks Fescue)	45	8

*Seed produced in California only.

Seed Sampling Supplies

At the time of seed sampling, provide the Engineer a glassine lined bag and custody seal tag for each seed lot sample.

Commercial Fertilizer

Commercial fertilizer must comply with Section 20-2.02, "Commercial Fertilizer," of the Standard Specifications and have a guaranteed chemical analysis within 2 percent of 7 percent nitrogen, 2 percent phosphoric acid and 3 percent water soluble potash.

Straw

Straw must comply with Section 20-2.06, "Straw," of the Standard Specifications and these special provisions.

Straw must be derived from rice.

Straw must be free of plastic, glass, metal, rocks, and refuse or other deleterious material.

Compost

The compost producer must be fully permitted as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.

The compost producer must be a participant in United States Composting Council's Seal of Testing Assurance program.

Compost may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Compost feedstock materials to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3

Compost must not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Compost must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Compost must not possess objectionable odors.

Metal concentrations in compost must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Compost must comply with the following:

Physical/Chemical Requirements

Property	Test Method	Requirement
pH	*TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units	6.0–8.0
Soluble Salts	TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)	0-10.0
Moisture Content	TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis	N/A
Organic Matter Content	TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis	30–65
Maturity	TMECC 05.05-A, Germination and Vigor Seed Emergence Seedling Vigor % Relative to Positive Control	 80 or Above 80 or Above
Stability	TMECC 05.08-B, Carbon Dioxide Evolution Rate mg CO_2-C/g OM per day	8 or below
Particle Size	TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis	95% Passing 16 mm 70% Passing 9 mm
Pathogen	TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.	Pass
Pathogen	TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.	Pass
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Plastic, Glass and Metal, % > 4mm fraction	Combined Total: < 1.0
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction	None Detected

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Before compost application, provide the Engineer with a copy of the compost producer's compost technical data sheet and a copy of the compost producers Seal of Testing Assurance certification. The compost technical data sheet includes:

1. Laboratory analytical test results
2. Directions for product use
3. List of product ingredients

Before compost application, provide the Engineer with a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Stabilizing Emulsion

Stabilizing emulsion must comply with Section 20-2.11, "Stabilizing Emulsion," of the Standard Specifications and these special provisions.

Stabilizing emulsion:

1. Must be in a dry powder form
2. Must be a processed organic adhesive used as a soil tackifier
3. May be reemulsifiable

APPLICATION

Apply erosion control materials in separate applications in the following sequence:

1. Apply the following mixture with hydroseeding equipment at the rates indicated within 60 minutes after the seed has been added to the mixture:

Material	Kilograms Per Hectare (Slope Measurement)
Seed	70
Fiber	320
Commercial Fertilizer	560

Material	Cubic Meter Per Hectare (Slope Measurement)
Compost	10

2. Compost may be dry applied at the total of the rates specified in the preceding table instead of including it as part of the hydro-seeding operations. In areas where the compost is dry applied, all compost for that area must be applied before the next operation.
3. Apply straw at the rate of 4.0 tonnes per hectare based on slope measurements. Incorporation of straw will not be required. Distribute straw evenly without clumping or piling.
4. Apply the following mixture with hydro-seeding equipment at the corresponding rates:

Material	Kilograms Per Hectare (Slope Measurement)
Fiber	320
Stabilizing Emulsion (Solids)	150

The ratio of total water to total stabilizing emulsion in the mixture must be as recommended by the manufacturer.

Hydraulic application of materials for erosion control (netting) areas shall be applied by hose, from the ground. Erosion control (Type D) materials shall be applied onto the slope face such that the materials are well integrated into the erosion control (netting) and in contact with ground surface. Application shall be perpendicular to the slope face such that erosion control (netting) materials are not damaged or displaced. Erosion control (netting) damaged by the Contractor's operations shall be replaced by the Contractor at the Contractor's expense.

Once straw work is started in an area, complete stabilizing emulsion applications in that area on the same working day.

The Engineer may change the rates of erosion control materials to meet field conditions.

MEASUREMENT AND PAYMENT

Erosion control (Type D) will be measured by the square meter or by the hectare, whichever is designated in the Engineer's Estimate. The area will be calculated on the basis of actual or computed slope measurements.

The contract price paid per square meter or hectare for erosion control (Type D) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying erosion control (Type D) complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.51 COMPOST, INCORPORATE

This work includes incorporating compost into the surface of areas 1:4 (vertical:horizontal) or flatter where shown on the plans. Compost, incorporate must comply with Section 20-3, "Erosion Control," of the Standard Specifications and these special provisions.

Apply compost when an area is ready to receive it as determined by the Engineer.

Before applying compost, the Engineer designates the location of compost in increments of 1 acre or smaller for smaller areas. Place stakes or other suitable markers at the locations designated by the Engineer. Furnish tools, labor and materials required to designate the various locations.

MATERIALS

Compost

The compost producer must be fully permitted as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate solid waste facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.

The compost producer must be a participant in the United States Composting Council's Seal of Testing Assurance program.

Compost may be derived from any single or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Compost feedstock materials such that weed seeds, pathogens and deleterious materials are reduced as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3.

Compost must not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Compost must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Compost must not possess objectionable odors.

Metal concentrations in compost must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Compost must comply with the following:

Physical and Chemical Requirements

Property	Test Method	Requirement
pH	TMECC 04.11-A Elastometric pH 1:5 Slurry Method pH Units	6.0–8.0
Soluble Salts	TMECC 04.10-A Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)	0–10.0
Moisture Content	TMECC 03.09-A Total Solids & Moisture at 70+/- 5 deg C % Wet Weight Basis	30–60
Organic Matter Content	TMECC 05.07-A Loss-On-Ignition Organic Matter Method (LOI) % Dry Weight Basis	30–65
Maturity	TMECC 05.05-A Germination and Vigor Seed Emergence Seedling Vigor % Relative to Positive Control	 80 or Above 80 or Above
Stability	TMECC 05.08-B Carbon Dioxide Evolution Rate mg CO_2-C/g OM per day	8 or below
Particle Size	TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis	Millimeters % Passing 76.0 99% 9.5 < 25% Max. Length 100 millimeters
Pathogen	TMECC 07.01-B Fecal Coliform Bacteria < 1000 MPN/gram dry wt.	Pass
Pathogen	TMECC 07.01-B Salmonella < 3 MPN/4 grams dry wt.	Pass
Physical Contaminants	TMECC 02.02-C Man Made Inert Removal and Classification: Plastic, Glass and Metal % > 4mm fraction	Combined Total: < 1.0
Physical Contaminants	TMECC 02.02-C Man Made Inert Removal and Classification: Sharps (Sewing needles, straight pins and hypodermic needles) % > 4mm fraction	None Detected

NOTE: TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Before compost application, submit a copy of the compost producer's compost technical data sheet and a copy of the compost producers Seal of Testing Assurance certification. The compost technical data sheet must include:

1. Laboratory analytical test results
2. Directions for product use
3. List of product ingredients

Before compost application, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

APPLICATION

Before application of compost, soil surface preparation must comply with Section 19-2.05, "Slopes," of the Standard Specifications. Vegetative growth, temporary erosion control materials, and other debris must be removed from areas to receive compost, incorporate.

Apply and incorporate compost in separate applications in the following sequence to areas of infiltration swales as shown on the plans:

1. Apply compost to a depth of 100 millimeters by using specialized equipment such as a pneumatic blower or side discharge spreader.
2. You may incorporate the compost by hand using a backhoe, bulldozer, or grading blade to a depth of 150 millimeters. Do not incorporate compost to a strip 0.6 meters wide adjacent to the edge of pavement.
3. Following incorporation, compact the area to a relative compaction between 82 percent and 90 percent except as otherwise specified in Section 19-5 "Compaction," of the Standard Specifications.
4. Apply erosion control (Type D) specified and paid for elsewhere in these special provisions.

MEASUREMENT AND PAYMENT

Compost, incorporate will be measured by the square meter.

The contract price paid per square meter for compost, incorporate includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying compost, incorporate complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.52 BIOFILTRATION SWALES

Biofiltration swales includes applying erosion control materials and planting liner plants to unlined ditches, swales and slopes and other areas as shown on the plans. Erosion control materials for Biofiltration swales shall include seed, commercial fertilizer, fiber, compost, and stabilizing emulsion. Biofiltration swales must comply with Section 20-3, "Erosion Control," and Section 20-4, "Highway Planting," of the Standard Specifications and these special provisions.

Erosion control materials and liner plants shall be applied when an area is ready to receive erosion control and liner plants as determined by the Engineer and under "Move-in/Move-out (Erosion Control)" of these special provisions.

Before applying erosion control materials and planting liner plants, prepare soil surface under Section 19-2.05, "Slopes," of the Standard Specifications, except that rills and gullies exceeding 50 millimeters in depth or width must be leveled. Remove vegetative growth, temporary erosion control materials, and other debris from areas to receive erosion control.

Before applying erosion control materials and planting liner plants, the Engineer designates the ground location of biofiltration swales in increments of square meters. Place stakes or other suitable markers at the locations designated by the Engineer. Furnish all tools, labor and materials required to adequately indicate the various locations.

MATERIALS

Materials must comply with Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Seed

Seed for biofiltration swales must comply with "Erosion Control (Type D)" of these special provisions.

Commercial Fertilizer (Slow Release)

Commercial fertilizer (slow release) shall be a pelleted or granular form, shall be slow or controlled release with a nutrient release over an 8-month to 12-month period, and shall fall within the following guaranteed chemical analysis range:

Ingredient	Percentage
Nitrogen	16-21
Phosphoric Acid	6-8
Water Soluble Potash	4-10

Liner Plants

Liner plants shall be furnished in containers with a minimum size of either: 32 mm X 32 mm X 76 mm or 38 mm (Diameter) X 152 millimeters. Liner plant containers made of biodegradable material shall not be used. All liner plants shall be removed from their containers at the time of planting.

Compost

The compost producer must be fully permitted as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.

The compost producer must be a participant in United States Composting Council's Seal of Testing Assurance program.

Compost may be derived from any single, or mixture of any of the following feedstock materials:

A. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
B. Biosolids
C. Manure
D. Mixed food waste

Compost feedstock materials to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3

Compost must not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Compost must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Compost must not possess objectionable odors.

Metal concentrations in compost must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Compost must comply with the following:

Physical/Chemical Requirements

Property	Test Method	Requirement
pH	*TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units	6.0–8.0
Soluble Salts	TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)	0-10.0
Moisture Content	TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis	N/A
Organic Matter Content	TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis	30–65
Maturity	TMECC 05.05-A, Germination and Vigor Seed Emergence Seedling Vigor % Relative to Positive Control	 80 or Above 80 or Above
Stability	TMECC 05.08-B, Carbon Dioxide Evolution Rate mg CO_2-C/g OM per day	8 or below
Particle Size	TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis	95% Passing 16 mm 70% Passing 9 mm
Pathogen	TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.	Pass
Pathogen	TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.	Pass
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Plastic, Glass and Metal, % > 4mm fraction	Combined Total: < 1.0
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction	None Detected

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Before compost application, provide the Engineer with a copy of the compost producer's compost technical data sheet and a copy of the compost producers Seal of Testing Assurance certification. The compost technical data sheet includes:

A. Laboratory analytical test results
B. Directions for product use
C. List of product ingredients

Before compost application, provide the Engineer with a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Stabilizing Emulsion

Stabilizing emulsion must comply with Section 20-2.11, "Stabilizing Emulsion," of the Standard Specifications and these special provisions.

Stabilizing emulsion:

A. Must be in a dry powder form
B. Must be a processed organic adhesive used as a soil tackifier
C. May be reemulsifiable

APPLICATION

Erosion Control

Apply erosion control materials in separate applications in the following sequence:

A. Compost shall be dry applied at the total of the rates specified in the following table and must be applied before the next operation.

Material	Cubic Meter Per Hectare (Slope Measurement)
Compost	4

B. Apply the following mixture with hydroseeding equipment at the rates indicated within 60 minutes after the seed has been added to the mixture:

Material	Kilograms Per Hectare (Slope Measurement)
Seed	70
Fiber	320

C. Apply the following mixture with hydro-seeding equipment at the corresponding rates:

Material	Kilograms Per Hectare (Slope Measurement)
Fiber	320
Stabilizing Emulsion (Solids)	140

Material	Cubic Meter Per Hectare (Slope Measurement)
Compost	4

The ratio of total water to total stabilizing emulsion in the mixture must be as recommended by the manufacturer.

Once erosion control materials work is started in an area, complete stabilizing emulsion applications in that area on the same working day.

The Engineer may change the rates of erosion control materials to meet field conditions.

Liner Plants

Immediately prior to planting liner plants, the area within and extending 1 meter beyond the limits for biofiltration swales shall be cleared and cultivated.

After cultivation and excavation and backfilling of plant holes are completed, areas to be planted shall be fine graded and rolled. Areas to be planted shall be graded to drain and shall be smooth and uniform. If irregular or uneven areas appear before or during the plant maintenance period, these areas shall be restored to a smooth and even appearance.

Trash and debris and rocks greater than 50 millimeters shall be removed, and weeds shall be mowed as close to the ground as possible. Mowed material shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

After mowing and trash removal and just prior to planting liner plants, the liner plant area shall be cultivated. Compost shall be added at the rate of five cubic meters per 100 square meters and commercial fertilizer (slow release) shall be applied at the rate of ten kilograms per 100 square meters. Compost and fertilizer shall be thoroughly mixed with the soil.

Liner plants shall not be planted before November 15 or after March 15 and not until the soil is moist to a minimum depth of 200 millimeters, unless otherwise approved in writing by the Engineer.

Planting holes for liner plants shall be as shown on the plans.

MAINTENANCE

The Contractor shall maintain erosion control materials and liner plants for a period not less than 125 working days and provide water as needed in conformance with Sections 20-4.06, "Watering," and 20-4.08 "Type 2 Plant Establishment" of the Standard Specifications. The Contractor shall perform work to maintain biofiltration swale areas in a neat appearance and to promote healthy plant growth. The work shall include the following:

A. Weeds shall be controlled before the weeds reach the seed stage of growth or exceed 300 millimeters in length, whichever occurs first, except for weeds in wild flower seeding areas to be mowed. Weeds within liner plant areas shall be controlled by hand pulling. Weeds outside of liner plant areas shall be controlled by mowing.
B. Weeds within liner planting areas may be controlled by killing between April 16 and October 14. Pesticides used to control weeds shall conform to the provisions in Section 20-4.026, "Pesticides," of the Standard Specifications. Except as otherwise provided in these special provisions, pesticide use shall be limited to Triclopyr. If the Contractor elects to request the use of other pesticides on this project, the request shall be submitted, in writing, to the Engineer not less than 15 days prior to the intended use of the other pesticides. Except for the pesticides listed in these special provisions, no pesticides shall be used or applied without prior written approval of the Engineer.
C. Trash, debris and weeds shall be removed from biofiltration swale areas. Weeds shall be mowed or killed prior to removal. Trash, debris and weed removal shall extend 3-meter beyond the outer limits of biofiltration swale areas.
D. Watering of biofiltration swale areas will not be required unless directed by the Engineer. When directed by the Engineer, watering will be paid for as extra work as provided in Section 4-1.03D,"Extra Work," of the Standard Specifications.
E. Commercial fertilizer (slow release) shall be applied to liner plant areas not less than 20 working days prior to acceptance of the contract.. Commercial fertilizer shall be applied at the rates shown on the plans and shall be spread with a mechanical spreader wherever possible.

Unsuitable liner plants shall be replaced in conformance with Section 20-4.07, "Replacement" of the Standard Specifications not less than 20 working days prior to acceptance of the contract. Unsuitable or damaged plants shall be removed and disposed of outside the highway right of way in conformance with the provisions in Section 7-l.13 of the Standard Specifications. Replacement liner plants shall be watered as necessary to maintain the plants in a healthy condition.

MEASUREMENT AND PAYMENT

Biofiltration swales will be measured by the square meter . The area will be calculated on the basis of actual or computed slope measurements.

The contract price paid per square meter –for biofiltration swales includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying erosion control materials and planting liner plants complete in place, including commercial fertilizer (slow release), compost, cultivation, and maintenance, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.53 DRAINAGE INLET PROTECTION

Drainage inlet protection shall be constructed, and maintained at the locations shown on the plans in accordance with the details shown on the plans and these special provisions.

MATERIALS

Erosion Control Blanket

The erosion control blanket shall be a rolled erosion control product (RECP) and shall be classified either as temporary and degradable or long-term and nondegradable, and shall conform to one of the following:

A. Temporary and degradable:

1. Machine produced mats consisting of curled wood excelsior with 80 percent of the fiber 150 mm or longer. The excelsior blanket shall be of consistent thickness with wood fiber evenly distributed over the entire area of the blanket. The top surface of the blanket shall be covered with lightweight nonsynthetic netting. The blanket shall be smolder resistant without the use of chemical additives and shall be nontoxic and noninjurious to plant and animal life. The excelsior blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m^2.
2. Machine produced mats consisting of 70 percent straw and 30 percent coconut fiber with lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The straw and coconut shall adhere to the netting using thread or glue strip. The straw and coconut blanket shall be of consistent thickness, and straw and coconut fiber shall be evenly distributed over the entire area of the blanket. The straw and coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m^2.
3. Machine produced mats that are 100 percent coir consisting of coconut fiber with lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The coconut fiber shall adhere to the netting using thread or glue strip. The coconut blanket shall be of consistent thickness, with coconut fiber evenly distributed over the entire area of the blanket. The coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m^2.
4. Machine woven netting that is 100 percent spun coir consisting of coconut fiber with an average open area of 63 percent to 70 percent. Coconut coir netting shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m^2.

B. Long-term and nondegradable:

1. Geotextile blanket shall conform to the provisions for rock slope protection fabric (Type A) in Section 88-1.04, "Rock Slope Protection Fabric," of the Standard Specifications.

Staples

Staples shall be as shown on the plans. An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

Fiber Roll

A fiber roll shall be one of the following:

A. Constructed with a premanufactured blanket made of one material or a combination of materials consisting of wood excelsior, rice or wheat straw, or coconut fibers. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length, and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
B. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. The rolls shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length, and shall weigh at least 1.6 kg/m. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll.

Wood Stakes

Wood stakes shall be a minimum of 19 mm x 38 mm x 450 mm in size. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended.

INSTALLATION

Drainage inlet protection shall be installed at drainage inlets in paved and unpaved areas as follows:

A. Drainage inlet protection shall be installed such that ponded runoff does not encroach on the traveled way or overtop the curb or dike. Gravel-filled bags shall be placed to control ponding and prevent runoff from overtopping the curb or dike.
B. The bedding area for the drainage inlet protection shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
C. A linear sediment barrier shall be installed up-slope of the existing drainage inlet and parallel with the curb, dike, or flow line to prevent sediment from entering the drainage inlet.

Erosion Control Blanket and Geotextile Fabric

The erosion control blanket and geotextile fabric shall be secured to the surface of the excavated sediment trap with staples and embedded in a trench adjacent to the drainage inlet. The perimeter edge of the erosion control blanket and geotextile fabric shall be anchored in a trench.

Fiber Rolls

Fiber rolls shall be placed over the erosion control blanket or geotextile fabric with the ends of the fiber roll abutted tightly together. Fiber rolls shall be secured with stakes installed along the length of the fiber rolls. Stakes shall not be installed within 300 mm of the end of the rolls.

MAINTENANCE

Drainage inlet protection shall be maintained for the life of the Contract to provide sediment holding capacity and to reduce runoff velocities. Drainage inlet protection shall be repaired or replaced immediately after the damage occurs.

Sediment deposits, trash, and debris shall be removed from drainage inlet protection as needed or when directed by the Engineer. Removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water. Trash and debris shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

At locations where rills and other evidence of concentrated runoff have occurred beneath the drainage inlet protection, the protection shall be adjusted to prevent another occurrence.

Sediment in excess of 50 mm above the surface of the erosion control blanket or geotextile fabric shall be removed.

Split, torn, unraveling, sagging, or slumping fiber rolls shall be replaced or repaired.

MEASUREMENT

Quantities of drainage inlet protection will be determined from actual count in place. The protection will be measured one time only and no additional measurement will be recognized.

PAYMENT

The contract unit price paid for drainage inlet protection shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the drainage inlet protection, complete in place, including maintenance, removal of materials, including cleanup and disposal of retained sediment and debris, and backfilling and repairing holes, depressions and other ground disturbance, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No additional compensation will be made if the drainage inlet protection changes during the course of construction.

10-1.54 FIBER ROLLS

Fiber rolls shall be furnished and installed in conformance with details shown on the plans and these special provisions and as directed by the Engineer.

Fiber rolls shall be installed on excavation and embankment slopes and other disturbed soil areas.

At the option of the Contractor, fiber rolls shall be Type 1 or Type 2.

MATERIALS

Fiber Roll

Fiber roll shall be either:

1. Constructed with a premanufactured blanket consisting of either wood excelsior, rice or wheat straw, or coconut fibers or a combination of these materials. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, a minimum of 6 m in length, and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
2. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a biodegradable jute, sisal, or coir fiber netting. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll. Rolls shall be between 200 mm and 300 mm in diameter. Rolls between 200 mm and 250 mm in diameter shall have a minimum weight of 1.6 kg/m and a minimum length of 6 m. Rolls between 250 mm and 300 mm in diameter shall have a minimum weight of 4.5 kg/m and a minimum length of 3 m.

Stakes

Wood stakes shall be a minimum of 19 mm x 19 mm x 450 mm in size for Type 1 installation, or a minimum of 19 mm x 38 mm x 450 mm in size for Type 2 installation. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended. Metal stakes shall not be used.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

INSTALLATION

Fiber rolls shall be installed as follows:

1. Fiber rolls (Type 1): Furrows shall be constructed to a depth between 50 mm and 100 mm, and to a sufficient width to hold the fiber roll. Stakes shall be installed 600 mm apart along the length of the fiber rolls and stopped at 300 mm from each end of the rolls. Stakes shall be driven to a maximum of 50 mm above, or flush with the top of the roll.
2. Fiber rolls (Type 2): Rope and notched stakes shall be used to restrain the fiber rolls against the slope. Stakes shall be driven into the slope until the notch is even with the top of the fiber roll. Rope shall be knotted at each stake and laced between stakes. After installation of the rope, stakes shall be driven into the slope such that the rope will hold the fiber roll tightly to the slope. Furrows will not be required.
3. Fiber rolls shall be placed 3 m apart along the slope for slope inclination (vertical:horizontal) of 1:2 and steeper, 4.5 m apart along the slope for slope inclination between 1:2 and 1:4, 6.0 m apart along the slope for slope inclination between 1:4 and 1:10, and a maximum of 15 m apart along the slope for slope inclination of 1:10 and flatter.
4. The bedding area for the fiber rolls shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
5. Fiber rolls shall be installed approximately parallel to the slope contour.
6. Fiber rolls shall be installed before the application of other erosion control or soil stabilization materials in the same area.

If the intended function of the fiber rolls to disperse concentrated water runoff and to reduce runoff velocities is impaired, the Contractor shall take action to repair or replace the fiber rolls. Split, torn, or unraveling rolls shall be repaired or replaced. Broken or split stakes shall be replaced. Sagging or slumping fiber rolls shall be repaired with additional stakes or replaced. Locations where rills and other evidence of concentrated runoff have occurred beneath the rolls shall be corrected. Fiber rolls shall be repaired or replaced within 24 hours of identifying the deficiency.

MEASUREMENT AND PAYMENT

Quantities of fiber rolls to be paid for will be determined by the meter measured along the centerline of the installed roll. Where fiber rolls are joined and overlapped, the overlap will be measured as a single installed roll.

The contract price paid per meter for fiber roll shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing fiber rolls, complete in place, including furrow excavation and backfill, repairing or replacing fiber rolls as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Damage to fiber rolls resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

10-1.55 IRRIGATION CROSSOVERS

Irrigation crossovers shall conform to the provisions in Section 20-5, "Irrigation Systems," of the Standard Specifications and these special provisions.

Conduits shall be placed in open trenches in conformance with the provisions in Section 20-5.03B, "Conduit for Irrigation Crossovers," of the Standard Specifications.

Conduits shall be corrugated high density polyethylene (CHDPE) pipe. Corrugated high density polyethylene pipe shall conform to the requirements in ASTM Designation: F 405 or F 667, or AASHTO Designation: M 252 or M 294 and shall be Type S. Couplings and fittings shall be as recommended by the pipe manufacturer.

Water line crossovers shall conform to the provisions in Section 20-5.03C, "Water Line Crossovers," of the Standard Specifications.

Sprinkler control crossovers shall conform to the provisions in Section 20-5.027D, "Sprinkler Control Crossovers," of the Standard Specifications.

Installation of pull boxes shall conform to the provisions in Section 20-5.027I, "Conductors, Electrical Conduit and Pull Boxes," of the Standard Specifications. When no conductors are installed in electrical conduits, pull boxes for irrigation crossovers shall be installed on a foundation of compacted soil.

10-1.56 WATER SUPPLY LINE (BRIDGE)

Water supply lines identified on the plans as supply line (bridge) shall be of the size shown and shall conform to the details shown on the plans, the provisions in Section 20-5, "Irrigation Systems," of the Standard Specifications, and these special provisions.

GENERAL

Unless otherwise shown on the plans, casings shall be installed at each abutment and shall be extended to the greater of: (1) 1.5 m beyond the approach slab, (2) 1.5 m beyond the end of the adjacent wingwall, or (3) 6 m beyond the abutment.

Working Drawings

The Contractor shall submit complete working drawings for the temporary support of the casing at the abutments to the Offices of Structure Design (OSD) in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications.

For initial review, 5 sets of drawings shall be submitted. After review, between 6 and 12 sets, as requested by the Engineer, shall be submitted to the OSD for final approval and use during construction.

MATERIALS

Pipe and Fittings for Supply Lines Less Than NPS 4

Pipe and fittings for supply lines less than NPS 4 shall conform to the provisions in Section 20-2.15A, "Steel Pipe," of the Standard Specifications.

Air Release Valve Assemblies for Supply Lines Less Than NPS 4

Each air release valve assembly for supply lines less than NPS 4 shall consist of a threaded tee of the same diameter as the supply line or pipe saddle, an NPS 1 ball valve, an automatic air release valve, and a tank vent. The air release valve shall have a cast iron body with stainless steel trim and float, an NPS 1 inlet pipe connection, and a 4.8 mm orifice. The tank vent shall be the size of the air release valve outlet and shall have a double opening facing down with screen cover.

Casing Insulators for Supply Lines Less Than NPS 4

Casing insulators for supply lines less than NPS 4 shall be designed for the size of casing and the supply line shown on the plans. Casing insulators for supply lines shall be high density, injection molded polyethylene, 2-piece construction with

cadmium plated nuts and bolts and shall have a nonconductive inner liner. Casing insulators shall be factory constructed to ensure the supply line is centered in the casing to avoid any pipe to pipe contact and shall have at least 2 runners seated on the bottom of the casing.

Pipe End Seals for Supply Lines Less Than NPS 4

Pipe end seals for supply lines less than NPS 4 shall cover the space between the supply line and the end of the casing. Pipe end seals shall be made with 50 mm thick construction grade redwood and cut to fit the supply line.

Expansion Assemblies for Supply Lines Less Than NPS 4

Expansion assemblies for supply lines less than NPS 4 shall be the hose type. Hose shall be medium or heavy weight, oil resistant, flexible, reinforced with a minimum of 2-ply synthetic yarn or steel wire, equipped with steel flanges, and shall have rubber or synthetic rubber cover and tube. The hose and flange assembly shall have the same nominal inside diameter as the supply line and shall be rated for a minimum working pressure of 1.4 MPa. Hoses carrying potable water shall meet Food and Drug Administration standards.

Insulated Flange Connections

Each insulated flange connection shall consist of a dielectric flange gasket, insulating washers, and sleeves held in place with steel bolts and nuts. The gasket shall have a minimum dielectric rating of 500 V/0.025 mm.

Casings

Casings shall be welded steel pipe and shall conform to the provisions in Section 70-1.02B, "Welded Steel Pipe," of the Standard Specifications and these special provisions. Prior to shipping, exterior surfaces of welded steel pipe shall be cleaned and coated in conformance with the requirements in ANSI/AWWA C213, or at the option of the Contractor, cleaned, primed, and coated in conformance with the requirements in ANSI/AWWA C214.

Pipe Wrapping Tape

Wrapping tape for pipe in contact with the earth shall be a pressure sensitive polyvinyl chloride or polyethylene tape with a minimum thickness of 1.27 mm.

Concrete Pipe Supports

Each concrete pipe support shall consist of either a precast or cast-in-place concrete pipe cradle, a galvanized steel pipe clamp, anchor bolts, and where shown on the plans, a stainless steel pipe protection shield.

Concrete pipe supports and pipe stops shall conform to the dimensions shown on the plans and shall be constructed of minor concrete and commercial quality wire mesh. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications, except that it shall contain not less than 350 kilograms of cementitious material per cubic meter. The concrete for pipe supports and pipe stops shall be moist cured for not less than 3 days.

Steel anchor bolts, nuts, pipe clamps, pipe protection shields, and other fittings shall be suitable for the type and size of the supply line or casing and shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Epoxy Adhesive

Epoxy adhesive shall conform to the provisions in Section 95, "Epoxy," of the Standard Specifications and one of the following:

A. Section 95-2.01, "Binder (Adhesive), Epoxy Resin Base," for load bearing applications.
B. Section 95-2.04, "Rapid Set Epoxy Adhesive for Pavement Markers."
C. Section 95-2.05, "Standard Set Epoxy Adhesive for Pavement Markers."

INSTALLATION

Water supply lines in bridge structures shall be supported as shown on the plans and in conformance with these special provisions.

If a blockout is provided in the bridge abutment wall for casing, the space between the casing and bridge abutment wall shall be filled with mortar conforming to the provisions in Section 51-1.135, "Mortar," of the Standard Specifications.

When the bridge superstructure is to be prestressed, the space around supply lines through abutments shall not be filled until the prestressing has been completed.

Openings for supply lines through bridge superstructure concrete shall either be formed or shall consist of pipe sleeves.

Cleaning and Closing of Pipe

The interior of the pipe shall be cleaned before installation. Openings shall be capped or plugged as soon as the pipe is installed to prevent the entrance of foreign material. The caps or plugs shall remain in place until the adjacent pipe sections are to be installed.

Wrapping and Coating Pipe

Damaged coating on supply line pipe in contact with the earth shall be wrapped with tape as follows:

A. Pipe to be wrapped shall be thoroughly cleaned and primed as recommended by the tape manufacturer.
B. Tape shall be tightly applied with one-half uniform lap, free from wrinkles and voids to provide not less than a 2.5 mm thickness.
C. Field joints and fittings for wrapped pipe shall be covered by double wrapping 1.27 mm thick tape. Wrapping at joints shall extend a minimum of 150 mm over adjacent pipe coverings. Width of tape for wrapping fittings shall not exceed 50 mm. Adequate tension shall be applied so that the tape will conform closely to the contours of the joint.

TESTING

Water supply lines less than NPS 4 shall be tested in conformance with the provisions in Section 20-5.03H(1), "Method A," of the Standard Specifications, except that the testing period shall be 4 hours minimum with no leakage or pressure drop.

The Contractor shall furnish pipe anchorages to resist thrust forces occurring during testing. Leaks shall be repaired and defective materials shall be replaced by the Contractor at the Contractor's expense.

Pressure testing and necessary repairing of water lines shall be completed prior to backfilling, placing deck slabs over supply lines in box girder cells, or otherwise covering the supply lines.

Each end of the supply line shall be capped prior to and after the testing.

The supply line shall be tested as one unit. The limits of the unit shall be 1.5 m beyond the casing at each end of the bridge.

MEASUREMENT AND PAYMENT

Measurement and payment for NPS 3 supply line (bridge) shall be made in the same manner as galvanized steel pipe and plastic pipe supply lines in Section 20-5.04, "Measurement," and Section 20-5.05, "Payment," of the Standard Specifications.

Full compensation for furnishing and installing air release valve assemblies, casings and casing insulators, pipe end seals, concrete supports, pipe anchorages, concrete pipe stops, pipe wrapping tape, epoxy adhesives, and expansion assemblies; for cleaning, closing, wrapping, and coating pipe; and for pressure testing shall be considered as included in the contract prices paid per meter for the sizes of water supply line (bridge) involved, and no additional compensation will be allowed therefor.

10-1.57 AGGREGATE SUBBASE

Aggregate subbase must comply with Section 25, "Aggregate Subbases," of the Standard Specifications and these special provisions.

Aggregate subbase must be Class 4.

Do not store reclaimed asphalt concrete or aggregate subbase with reclaimed asphalt concrete within 30 m measured horizontally of any culvert, watercourse, or bridge.

Class 4 aggregate subbase must comply with:

Grading (Percentage Passing)

Sieve Sizes	Operating Range	Contract Compliance
63	100	100
4.75-mm	30-65	25-70
75-µm	0-15	0-18

Quality

Test	Operating Range	Contract Compliance
Sand Equivalent	21 Min.	18 Min.
Resistance (R-value)	----	50 Min.

If tests show grading or sand equivalent does not comply with the "Operating Range" specifications but complies with the "Contract Compliance" specifications, you may place aggregate subbase for the remainder of that day. Do not start another day's work until tests or other information indicate the next day's material complies with the "Operating Range" specifications.

If tests show grading or sand equivalent does not comply with the "Contract Compliance" specifications, remove the aggregate subbase represented by the tests. If you request and the Engineer approves, that aggregate subbase may remain in place and the Department reduces payment by $3.00 per cubic meter for that aggregate subbase. If the subbase remains in place and both the grading and sand equivalent do not comply with "Contract Compliance" specifications, the Department only makes one payment reduction.

No single grading or sand equivalent test may represent more than the smaller of 400 m^3 or one day's production.

Instead of Class 4 aggregate subbase, you may place Class 1 aggregate subbase complying with the aggregate grading and quality requirements in Section 25-1.02A, "Class 1Aggregate Subbases," of the Standard Specifications. If you place Class 1 aggregate subbase, do not change back to Class 4 subbase without written approval from the Engineer.

Regardless of the aggregate subbase class supplied, the Department pays for aggregate subbase as Class 4 aggregate subbase.

10-1.58 AGGREGATE BASE

Aggregate base must comply with Section 26, "Aggregate Bases," of the Standard Specifications and these special provisions.

Aggregate base must be Class 3.

Do not store reclaimed asphalt concrete or aggregate base with reclaimed asphalt concrete within 30 m measured horizontally of any culvert, watercourse, or bridge.

Class 3 aggregate base must comply with:

Grading (Percentage Passing)

Sieve Sizes	19 mm Maximum	
	Operating Range	Contract Compliance
50-mm	-------	-------
37.5-mm	-------	-------
25-mm	100	100
19-mm	90-100	87-100
4.75-mm	35-60	30-65
600-µm	10-30	5-35
75-µm	2-11	0-14

Quality

Tests	Operating Range	Contract Compliance
Sand Equivalent	25 mm	22 min
Resistance (R-value)		78 min

If tests show grading or sand equivalent does not comply with the "Operating Range" specifications but complies with the "Contract Compliance" specifications, you may place aggregate base for the remainder of that day. Do not start another day's work until tests or other information indicate the next day's material complies with the "Operating Range" specifications.

If tests show grading or sand equivalent does not comply with the "Contract Compliance" specifications, remove the aggregate base represented by the tests. If you request and the Engineer approves, that aggregate base may remain in place and the Department reduces payment by $3.25 per cubic meter for that aggregate base. If the base remains in place and both the grading and sand equivalent do not comply with "Contract Compliance" specifications, the Department only makes one payment reduction.

No single grading or sand equivalent test may represent more than the smaller of 400 m^3 or one day's production.

10-1.59 LEAN CONCRETE BASE

Lean concrete base shall conform to the provisions in Section 28, "Lean Concrete Base," of the Standard Specifications.

10-1.60 HOT MIX ASPHALT

GENERAL

Summary

This work includes producing and placing hot mix asphalt (HMA) Type A using the Quality Control / Quality Assurance process.

Comply with Section 39, "Hot Mix Asphalt," of the Standard Specifications.

Submittals

With the job mix formula (JMF) submittal, submit:

1. California Test 204 plasticity index results
2. California Test 371 tensile strength ratio results for untreated HMA
3. California Test 371 tensile strength ratio results for treated HMA if untreated HMA tensile strength ratio is below 70

With the JMF submittal, submit to the Engineer and the Transportation Laboratory, Attention: Moisture Test, samples for California Test 371 split from your mix design samples of:

1. Aggregate
2. Supplemental fines
3. Asphalt binder
4. Antistrip treatment

On the first production day, submit samples split from your HMA production sample for California Test 371 to the Engineer and the Transportation Laboratory, Attention: Moisture Test.

Submit the California Test 371 test results for mix design and production to the Engineer and electronically to:

Moisture_Tests@dot.ca.gov

Before beginning each paving segment, submit a plan to the Engineer describing each work shift's typical longitudinal paving length and the number of lanes paved, and the number of days anticipated to complete each segment. The length of a segment is the anticipated length of 1 work shift's paving.

Quality Control and Assurance

For the mix design, determine the plasticity index of the aggregate blend under California Test 204. Choose an antistrip treatment and use the corresponding laboratory procedure for the mix design in compliance with:

Antistrip Treatment Lab Procedures for Mix Design

Antistrip Treatment	Lab Procedure
Plasticity index from 4 to 10 [a, b]	
Dry hydrated lime with marination	LP-6
Lime slurry with marination	LP-7
Plasticity index less than 4	
Liquid	LP-5
Dry hydrated lime without marination	LP-6
Dry hydrated lime with marination	LP-6
Lime slurry with marination	LP-7

Notes:
[a] If the plasticity index is greater than 10, do not use that aggregate blend.
[b] If the plasticity index is from 4 to 10, use dry hydrated lime with marination or lime slurry with marination.

For the mix design, determine tensile strength ratio under California Test 371 on untreated HMA. If the tensile strength ratio is less than 70:

1. Choose from the antistrip treatments specified based on plasticity index.
2. Test treated HMA under California Test 371.

3. Treat to a minimum tensile strength ratio of 70.

On the first production day and at least every 4,500 tonnes, sample HMA and test under California Test 371.

The Department does not use California Test 371 test results for JMF verification and production to determine specification compliance.

MATERIALS

Asphalt Binder

The grade of asphalt binder mixed with aggregate for HMA Type A must be PG 64-10.

Aggregate

The aggregate for HMA Type A must comply with the 19 mm grading.

CONSTRUCTION

General

Place HMA in contiguous segments. Complete each segment's paving in both directions of the freeway before continuing to the next contiguous segment.

Vertical Joints

Before opening the lane to public traffic, pave shoulders and median borders adjacent to a lane being paved.

Do not leave a vertical joint more than 45 mm high between adjacent lanes open to public traffic.

Place HMA so that adjacent lanes are paved sequentially beginning from one side progressing to the other. You may pave one lane per work shift if, at the end of each work shift, the distance between the ends of adjacent surfaced lanes is not greater than can be completed in the following day of normal paving within 1.5 m to 3.0 m. Place temporary conforms of HMA along the transverse edge at each lane's end. Place the temporary conforms on a slope of 1:30 (Vertical: Horizontal) or flatter from the HMA placed. Hand rake and compact the additional HMA to form temporary conforms. You may place Kraft paper or another approved bond breaker under the conform tapers to facilitate the taper removal when paving operations resume.

Widening

If widening existing pavement, construct new structural section on both sides of the existing pavement to match the elevation of the existing pavement's edge at each location before placing HMA over the existing pavement.

Conform Tapers

Place additional HMA along the pavement's edge to conform to road connections and private drives. Hand rake, if necessary, and compact the additional HMA to form a smooth conform taper.

10-1.61 HOT MIX ASPHALT OPEN GRADED FRICTION COURSE

GENERAL

Summary

This work includes producing and placing hot mix asphalt (HMA) open graded friction course (OGFC) using the Standard process.

Comply with Section 39, "Hot Mix Asphalt," of the Standard Specifications.

Quality Control and Assurance

Do not test OGFC aggregate for tensile strength ratio.

MATERIALS

The grade of asphalt binder mixed with aggregate for OGFC must be PG 58-34 PM.

The aggregate for OGFC must comply with the 12.5 mm max grading.

CONSTRUCTION

General

Place HMA in contiguous segments. Complete each segment's paving in both directions of the freeway before continuing to the next contiguous segment.

Vertical Joints

Before opening the lane to public traffic, pave shoulders and median borders adjacent to a lane being paved.

Do not leave a vertical joint more than 45 mm high between adjacent lanes open to public traffic.

Place OGFC so that adjacent lanes are paved sequentially beginning from one side progressing to the other. You may pave one lane per work shift if, at the end of each work shift, the distance between the ends of adjacent surfaced lanes is not greater than can be completed in the following day of normal paving within 1.5 m to 3 m. Place temporary conforms of OGFC along the transverse edge at each lane's end. Place the temporary conforms on a slope of 1:30 (Vertical: Horizontal) or flatter from the OGFC placed. Hand rake and compact the additional OGFC to form temporary conforms. You may place Kraft paper, or another approved bond breaker, under the conform tapers to facilitate the taper removal when paving operations resume.

Conform Tapers

Place additional OGFC along the pavement's edge to conform to road connections and private drives. Hand rake, if necessary, and compact the additional OGFC to form a smooth conform taper.

10-1.62 RUBBERIZED HOT MIX ASPHALT (GAP GRADED)

GENERAL

Summary

This work includes producing and placing rubberized hot mix asphalt (gap graded) (RHMA-G) using the Quality Control / Quality Assurance process.

Comply with Section 39, "Hot Mix Asphalt," of the Standard Specifications.

Submittals

With the job mix formula (JMF) submittal, submit:

1. California Test 204 plasticity index results
2. California Test 371 tensile strength ratio results for untreated RHMA-G
3. California Test 371 tensile strength ratio results for treated RHMA-G if untreated RHMA-G tensile strength ratio is below 70

With the JMF submittal, submit to the Engineer and the Transportation Laboratory, Attention: Moisture Test, samples for California Test 371 split from your mix design samples of:

1. Aggregate
2. Supplemental fines
3. Asphalt rubber binder
4. Antistrip treatment

On the first production day, submit samples split from your RHMA-G production sample for California Test 371 to the Engineer and the Transportation Laboratory, Attention: Moisture Test.

Submit the California Test 371 test results for mix design and production to the Engineer and electronically to:

Moisture_Tests@dot.ca.gov

Quality Control and Assurance

For the mix design, determine the plasticity index of the aggregate blend under California Test 204. Choose an antistrip treatment and use the corresponding laboratory procedure for the mix design in compliance with:

Antistrip Treatment Lab Procedures for Mix Design

Antistrip Treatment	Lab Procedure
Plasticity index from 4 to 10 [a, b]	
Dry hydrated lime with marination	LP-6
Lime slurry with marination	LP-7
Plasticity index less than 4	
Liquid	LP-5
Dry hydrated lime without marination	LP-6
Dry hydrated lime with marination	LP-6
Lime slurry with marination	LP-7

Notes:
[a] If the plasticity index greater than 10, do not use that aggregate blend.
[b] If the plasticity index is from 4 to 10, use dry hydrated lime with marination or lime slurry with marination.

For the mix design, determine tensile strength ratio under California Test 371 on untreated RHMA-G. If the tensile strength ratio is less than 70:

1. Choose from the antistrip treatments specified based on plasticity index.
2. Test treated RHMA-G under California Test 371.
3. Treat to a minimum tensile strength ratio of 70.

On the first production day and at least every 4,500 tonnes, sample RHMA-G and test under California Test 371.
The Department does not use your California Test 371 test results to determine specification compliance.

MATERIALS

Asphalt Binder

Asphalt binder mixed with asphalt modifier and crumb rubber modifier (CRM) for asphalt rubber binder must be PG 64-16.

Aggregate

The aggregate for RHMA-G must comply with the 12.5 mm grading.

Asphalt Rubber Binder Content

Determine the amount of asphalt rubber binder to be mixed with the aggregate for RHMA-G under California Test 367 except:

1. Determine the specific gravity used in California Test 367, Section B, "Void Content of Specimen," using California Test 308, Method A.
2. California Test 367, Section C, "Optimum Bitumen Content," is revised as follows:

   2.1. Base the calculations on the average of 3 briquettes produced at each asphalt rubber binder content.
   2.2. Use California Test 309 to determine theoretical maximum specific gravity and density of the RHMA-G.
   2.3. Plot asphalt rubber binder content versus average air voids content based on California Test 309 for each set of three specimens on Form TL-306 (Figure 3), and connect adjacent points with a best-fit curve.
   2.4. Plot asphalt rubber binder content versus average Hveem stability for each set of three specimens and connect adjacent points with a best-fit curve.
   2.5. Calculate voids in mineral aggregate (VMA) and voids filled with asphalt (VFA) for each specimen, average each set, and plot the average versus asphalt rubber binder content.
   2.6. Calculate the dust proportion and plot versus asphalt rubber binder content.
   2.7. From the curve plotted in Step 2.3, select the theoretical asphalt rubber binder content that has 5 percent air voids.
   2.8. At the selected asphalt rubber binder content, evaluate corresponding voids in mineral aggregate, voids filled with asphalt, and dust proportion to verify compliance with requirements. If necessary, develop an alternate composite aggregate gradation to conform to the RHMA-G requirements.
   2.9. Record the asphalt rubber binder content in Step 2.7 as the Optimum Bitumen Content (OBC).

2.10. To establish a recommended range, use the OBC as the high value and 0.3 percent less as the low value. Notwithstanding, the recommended range must not extend below 7.0 percent. If the OBC is 7.0 percent, then there is no recommended range, and 7.0 percent is the recommended value.

3. Laboratory mixing and compaction must comply with California Test 304, except the mixing temperature of the aggregate must be between 150 °C and 163 °C. The mixing temperature of the asphalt-rubber binder must be between 177 °C and 218 °C. The compaction temperature of the combined mixture must be between 144 °C and 150 °C.

CONSTRUCTION

Vertical Joints

Before opening the lane to public traffic, pave shoulders and median borders adjacent to a lane being paved.

Do not leave a vertical joint more than 45 mm high between adjacent lanes open to public traffic.

Place RHMA-G so that adjacent lanes are paved sequentially beginning from one side progressing to the other. You may pave one lane per work shift if, at the end of each work shift, the distance between the ends of adjacent surfaced lanes is not greater than can be completed in the following day of normal paving within 1.5 m to 3.0 m. Place temporary conforms of RHMA-G along the transverse edge at each lane's end. Hand rake and compact the additional RHMA-G to form temporary conforms. You may place the temporary conforms on a slope of 1:30 (Vertical: Horizontal) or flatter from the RHMA-G placed. Kraft paper, or another approved bond breaker, under the conform tapers to facilitate the taper removal when paving operations resume.

Widening

If widening existing pavement, construct new structural section on both sides of the existing pavement to match the elevation of the existing pavement's edge at each location before placing RHMA-G over the existing pavement.

Conform Tapers

Place additional RHMA-G along the pavement's edge to conform to road connections and private drives. Hand rake, if necessary, and compact the additional RHMA-G to form a smooth conform taper.

10-1.63 HOT MIX ASPHALT (MISCELLANEOUS AREAS)

GENERAL

Summary

This work includes producing hot mix asphalt (HMA) and placing it on miscellaneous areas.

Comply with Section 39, "Hot Mix Asphalt," of the Standard Specifications.

MEASUREMENT AND PAYMENT

If there is a contract item for place hot mix asphalt (miscellaneous area) paid for by the square meter, this item is limited to the areas listed on the plans and is in addition to the contract items for the materials involved.

10-1.64 PILING

GENERAL

Piling shall conform to the provisions in Section 49, "Piling," of the Standard Specifications, and these special provisions.

Unless otherwise specified, welding of any work performed in conformance with the provisions in Section 49, "Piling," of the Standard Specifications, shall be in conformance with the requirements in AWS D1.1.

Attention is directed to "Project Information," "Precast Concrete Quality Control," and "Welding" of these special provisions.

Difficult pile installation is anticipated due to the presence of caving soils, ground water, sound control, and traffic control.

Alternative "X" type piles shall have a dimension, T, not less than 355 mm.

Driving System Submittal

Prior to installing driven piling, the Contractor shall provide a driving system submittal, including driveability analysis, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. A

submittal shall be made for each control location shown below. All proposed driving systems (i.e., each hammer that may be brought onto the site) shall be included in the submittal.

Bridge Number	Control Location
20-0289	Abutments
20-0167	Abutments
20-0167	Bent Retrofit Piles
20-0290	Abutments

The driving system submittal shall contain an analysis showing that the proposed driving systems will install piling to the specified tip elevation and specified bearing. Driving systems shall generate sufficient energy to drive the piles with stresses not more than 95 percent of the specified yield strength of the steel pile or unfilled steel shell. Submittals shall include the following:

A. Complete description of soil parameters used, including soil quake and damping coefficients, skin friction distribution, ratio of shaft resistance to nominal compression resistance, assumptions made regarding the formation of soil plugs, and assumptions made regarding drilling through the center of open ended steel shells.
B. List of all hammer operation parameters assumed in the analysis, including fuel settings, stroke limitations, and hammer efficiency.
C. Driveability studies that are based on a wave equation analysis using a computer program that has been approved by the Engineer. Driveability studies shall model the Contractor's proposed driving systems, including the hammers, capblocks, and pile cushions, as well as determine driving resistance and pile stresses for assumed site conditions. Separate analyses shall be completed at elevations above the specified tip elevations where difficult driving is anticipated. Studies shall include plots for a range of pile compression capacities above and below the nominal compression resistance shown on the plans. Plots shall include the following:

    1. Pile compressive stress versus blows per 0.30-m.
    2. Pile tensile stress versus blows per 0.30-m.
    3. Nominal compression resistance versus blows per 0.30-m.

    When the driveability analysis hammers indicate that open ended pipe pile and steel shell penetration rates are less than 0.30-m per 200 blows and the driving stresses will exceed 80 percent of the specified yield strength of the pipe and steel shell, the study shall include assumptions for drilling through the center of open ended pipe piles and steel shells.
D. Copies of all test results from any previous pile load tests, dynamic monitoring, and all driving records used in the analyses.
E. Completed "Pile and Driving Data Form," which is shown in these special provisions.

The driving system submittal shall be stamped and signed by an engineer who is registered as a Civil Engineer in the State of California. Prior to installing piling, the Contractor shall allow the Engineer 15 working days to review a driving system submittal after a complete set, as determined by the Engineer, has been received. Should the Engineer fail to complete his review within the time allowance, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in the driving system submittal review, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays" of the Standard Specifications.

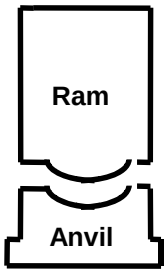
The Contractor shall use the driving system and installation methods described in the approved driving system submittal for a given control location. Any change in hammers from those submitted and approved by the Engineer shall also meet the requirements for driving system submittals. Revised and new driving system submittals shall be approved by the Engineer prior to using corresponding driving systems on production piling. The Contractor shall allow the Engineer 15 working days to review each revised and each new driving system submittal after a complete set, as determined by the Engineer, has been received.

Approval of pile driving equipment will not relieve the Contractor of his responsibility to drive piling, free of damage, to the specified penetration.

CALIFORNIA DEPARTMENT OF TRANSPORTATION
TRANSPORTATION LABORATORY

PILE AND DRIVING DATA FORM

Structure Name :_____ Contract No.:_____
_____ Project:_____
Structure No.:_____ Pile Driving Contractor or
Dist./Co./Rte./kilo.post:_____ Subcontractor_____(Pile Driven By)



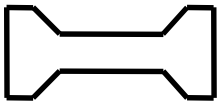
Hammer

Manufacturer:_____ Model:_____
Type:_____Serial No.:_____
Rated Energy:_____ at _____ Length of Stroke

Modifications: _____
_____
_____
_____
_____

Capblock (Hammer Cushion)

Material:_____
Thickness:_____mm Area:_____mm^2
Modulus of Elasticity - E:_____MPa
Coefficient of Restitution - e:_____



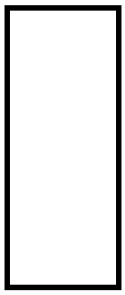
Pile Cap

Helmet
Bonnet
Anvil Block
Drivehead

Mass: k

Pile

Material:_____
Thickness:_____mm Area: _____mm^2
Modulus of Elasticity - E:_____MPa
Coefficient of Restitution - e:_____



Pile

Pile Type:_____
Length (In
Leads):_____m
kg/m.:_____Taper:_____
Wall Thickness:_____mm
Cross Sectional Area:_____ mm^2
Design Pile Capacity:_____kN
Description of Splice:_____
_____
Tip Treatment Description:_____

DISTRIBUTE one copy

- ☐ Translab, Foundation Testing
- ☐ Translab, Geotechnical Design
- ☐ Resident Engineer

Note: If mandrel is used to drive the pile, attach separate manufacturer's detail sheet(s) including mass (kg) and dimensions.

Submitted By:_____ Date:_____

CAST-IN-DRILLED-HOLE CONCRETE PILES

Cast-in-drilled-hole concrete piling shall conform to the provisions in Section 49-4, "Cast-In-Place Concrete Piles," of the Standard Specifications and these special provisions.

The provisions of "Welding" of these special provisions shall not apply to temporary steel casings.

Cast-in-drilled-hole concrete piles 600 mm in diameter or larger may be constructed by excavation and depositing concrete under slurry.

Materials

Concrete deposited under slurry shall have a nominal penetration equal to or greater than 90 mm. Concrete shall be proportioned to prevent excessive bleed water and segregation.

Concrete deposited under slurry shall contain not less than 400 kg of cementitious material per cubic meter.

The combined aggregate grading used in concrete for cast-in-drilled-hole concrete piling shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

Mineral Slurry

Mineral slurry shall be mixed and thoroughly hydrated in slurry tanks, and slurry shall be sampled from the slurry tanks and tested before placement in the drilled hole.

Slurry shall be recirculated or continuously agitated in the drilled hole to maintain the specified properties.

Recirculation shall include removal of drill cuttings from the slurry before discharging the slurry back into the drilled hole. When recirculation is used, the slurry shall be sampled and tested at least every 2 hours after beginning its use until tests show that the samples taken from the slurry tank and from near the bottom of the hole have consistent specified properties. Subsequently, slurry shall be sampled at least twice per shift as long as the specified properties remain consistent.

Slurry that is not recirculated in the drilled hole shall be sampled and tested at least every 2 hours after beginning its use. The slurry shall be sampled mid-height and near the bottom of the hole. Slurry shall be recirculated when tests show that the samples taken from mid-height and near the bottom of the hole do not have consistent specified properties.

Slurry shall also be sampled and tested prior to final cleaning of the bottom of the hole and again just prior to placing concrete. Samples shall be taken from mid-height and near the bottom of the hole. Cleaning of the bottom of the hole and placement of the concrete shall not start until tests show that the samples taken from mid-height and near the bottom of the hole have consistent specified properties.

Mineral slurry shall be tested for conformance to the requirements shown in the following table:

MINERAL SLURRY		
PROPERTY	REQUIREMENT	TEST
Density (kg/m^3) - before placement in the drilled hole - during drilling - prior to final cleaning - immediately prior to placing concrete	1030* to 1110* 1030* to 1200*	Mud Weight (Density) API 13B-1 Section 1
Viscosity (seconds/liter) bentonite attapulgite	29 to 53 29 to 42	Marsh Funnel and Cup API 13B-1 Section 2.2
pH	8 to 10.5	Glass Electrode pH Meter or pH Paper
Sand Content (percent) - prior to final cleaning - immediately prior to placing concrete	less than or equal to 4.0	Sand API 13B-1 Section 5
*When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m^3. Slurry temperature shall be at least 4°C when tested.		

Any caked slurry on the sides or bottom of hole shall be removed before placing reinforcement. If concrete is not placed immediately after placing reinforcement, the reinforcement shall be removed and cleaned of slurry, the sides of the drilled hole cleaned of caked slurry, and the reinforcement again placed in the hole for concrete placement.

Synthetic Slurry

Synthetic slurries shall be used in conformance with the manufacturer's recommendations and these special provisions. The following synthetic slurries may be used:

PRODUCT	MANUFACTURER
SlurryPro CDP	KB Technologies Ltd. 3648 FM 1960 West Suite 107 Houston, TX 77068 (800) 525-5237
Super Mud	PDS Company c/o Champion Equipment Company 8140 East Rosecrans Ave. Paramount, CA 90723 (562) 634-8180
Shore Pac GCV	CETCO Drilling Products Group 1350 West Shure Drive Arlington Heights, IL 60004 (847) 392-5800
Novagel Polymer	Geo-Tech Drilling Fluids 220 N. Zapata Hwy, Suite 11A Laredo, TX 78043 (210) 587-4758

Inclusion of a synthetic slurry on the above list may be obtained by meeting the Department's requirements for synthetic slurries. The requirements can be obtained from the Office of Structure Design, P.O. Box 942874, Sacramento, CA 94274-0001.

Synthetic slurries listed may not be appropriate for a given site.

Synthetic slurries shall not be used in holes drilled in primarily soft or very soft cohesive soils as determined by the Engineer.

A manufacturer's representative, as approved by the Engineer, shall provide technical assistance for the use of their product, shall be at the site prior to introduction of the synthetic slurry into a drilled hole, and shall remain at the site until released by the Engineer.

Synthetic slurries shall be sampled and tested at both mid-height and near the bottom of the drilled hole. Samples shall be taken and tested during drilling as necessary to verify the control of the properties of the slurry. Samples shall be taken and tested when drilling is complete, but prior to final cleaning of the bottom of the hole. When samples are in conformance with the requirements shown in the following tables for each slurry product, the bottom of the hole shall be cleaned and any loose or settled material removed. Samples shall be obtained and tested after final cleaning and immediately prior to placing concrete.

SlurryPro CDP synthetic slurries shall be tested for conformance to the requirements shown in the following table:

SLURRYPRO CDP KB Technologies Ltd.		
PROPERTY	REQUIREMENT	TEST
Density (kg/m^3) - during drilling - prior to final cleaning - just prior to placing concrete	 less than or equal to 1075* less than or equal to 1025*	Mud Weight (Density) API 13B-1 Section 1
Viscosity (seconds/liter) - during drilling -prior to final cleaning - just prior to placing concrete	 53 to 127 less than or equal to 74	Marsh Funnel and Cup API 13B-1 Section 2.2
pH	6 to 11.5	Glass Electrode pH Meter or pH Paper
Sand Content (percent) - prior to final cleaning - just prior to placing concrete	 less than or equal to 0.5	Sand API 13B-1 Section 5
*When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m^3. Slurry temperature shall be at least 4°C when tested.		

Super Mud synthetic slurries shall be tested for conformance to the requirements shown in the following table:

SUPER MUD PDS Company		
PROPERTY	REQUIREMENT	TEST
Density (kg/m^3) - prior to final cleaning - just prior to placing concrete	less than or equal to 1025*	Mud Weight (Density) API 13B-1 Section 1
Viscosity (seconds/liter) - during drilling - prior to final cleaning - just prior to placing concrete	34 to 64 less than or equal to 64	Marsh Funnel and Cup API 13B-1 Section 2.2
pH	8 to 10.0	Glass Electrode pH Meter or pH Paper
Sand Content (percent) - prior to final cleaning -just prior to placing concrete	less than or equal to 0.5	Sand API 13B-1 Section 5
*When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m^3. Slurry temperature shall be at least 4°C when tested.		

Shore Pac GCV synthetic slurries shall be tested for conformance to the requirements shown in the following table:

Shore Pac GCV CETCO Drilling Products Group		
PROPERTY	REQUIREMENT	TEST
Density (kg/m^3) - prior to final cleaning - just prior to placing concrete	less than or equal to 1025*	Mud Weight (Density) API 13B-1 Section 1
Viscosity (seconds/liter) - during drilling - prior to final cleaning - just prior to placing concrete	35 to 78 less than or equal to 60	Marsh Funnel and Cup API 13B-1 Section 2.2
pH	8.0 to 11.0	Glass Electrode pH Meter or pH Paper
Sand Content (percent) - prior to final cleaning -just prior to placing concrete	less than or equal to 0.5	Sand API 13B-1 Section 5
*When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m^3. Slurry temperature shall be at least 4°C when tested.		

Novagel Polymer synthetic slurries shall be tested for conformance to the requirements shown in the following table:

NOVAGEL POLYMER Geo-Tech Drilling Fluids		
PROPERTY	REQUIREMENT	TEST
Density (kg/m^3) - during drilling - prior to final cleaning - just prior to placing concrete	 less than or equal to 1075* less than or equal to 1025*	Mud Weight (Density) API 13B-1 Section 1
Viscosity (seconds/liter) - during drilling - prior to final cleaning - just prior to placing concrete	 48 to 110 less than or equal to 110	Marsh Funnel and Cup API 13B-1 Section 2.2
pH	6.0 to 11.5	Glass Electrode pH Meter or pH Paper
Sand Content (percent) - prior to final cleaning -just prior to placing concrete	 less than or equal to 0.5	Sand API 13B-1 Section 5
*When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m^3. Slurry temperature shall be at least 4°C when tested.		

Water Slurry

At the option of the Contractor, water may be used as slurry when casing is used for the entire length of the drilled hole. Water slurry shall be tested for conformance to the requirements shown in the following table:

WATER SLURRY		
PROPERTY	REQUIREMENT	TEST
Density (kg/m^3) - prior to final cleaning - just prior to placing concrete	1017 *	Mud Weight (Density) API 13B-1 Section 1
Sand Content (percent) - prior to final cleaning -just prior to placing concrete	less than or equal to 0.5	Sand API 13B-1 Section 5
*When approved by the Engineer, salt water slurry may be used, and the allowable densities may be increased up to 32 kg/m^3.		

Construction

The Contractor shall submit a placing plan to the Engineer for approval prior to producing the test batch for cast-in-drilled-hole concrete piling and at least 10 working days prior to constructing piling. The plan shall include complete descriptions, details, and supporting calculations as listed below:

A. Requirements for all cast-in-drilled-hole concrete piling:

1. Concrete mix design, certified test data, and trial batch reports.
2. Drilling or coring methods and equipment.
3. Proposed method for casing installation and removal when necessary.
4. Plan view drawing of pile showing reinforcement.
5. Methods for placing, positioning, and supporting bar reinforcement.
6. Methods and equipment for accurately determining the depth of concrete and actual and theoretical volume placed, including effects on volume of concrete when any casings are withdrawn.
7. Methods and equipment for verifying that the bottom of the drilled hole is clean prior to placing concrete.
8. Methods and equipment for preventing upward movement of reinforcement, including the Contractor's means of detecting and measuring upward movement during concrete placement operations.

B. Additional requirements when concrete is placed under slurry:

1. Plan view drawing of pile showing inspection pipes.
2. Concrete batching, delivery, and placing systems, including time schedules and capacities therefor. Time schedules shall include the time required for each concrete placing operation at each pile.
3. Concrete placing rate calculations. When requested by the Engineer, calculations shall be based on the initial pump pressures or static head on the concrete and losses throughout the placing system, including anticipated head of slurry and concrete to be displaced.
4. Suppliers' test reports on the physical and chemical properties of the slurry and any proposed slurry chemical additives, including Material Safety Data Sheet.
5. Slurry testing equipment and procedures.
6. Methods of removal and disposal of excavation, slurry, and contaminated concrete, including removal rates.
7. Methods and equipment for slurry agitating, recirculating, and cleaning.

In addition to compressive strength requirements, the consistency of the concrete to be deposited under slurry shall be verified before use by producing a test batch. The test batch shall be produced and delivered to the project under conditions

and in time periods similar to those expected during the placement of concrete in the piles. Concrete for the test batch shall be placed in an excavated hole or suitable container of adequate size to allow for testing as specified herein. Depositing of test batch concrete under slurry will not be required. In addition to meeting the specified nominal penetration, the test batch shall meet the following requirements:

A. For piles where the time required for each concrete placing operation, as submitted in the placing plan, will be 2 hours or less, the test batch shall demonstrate that the proposed concrete mix design achieves either a penetration of at least 50 mm or a slump of at least 125 mm after twice that time has elapsed.
B. For piles where the time required for each concrete placing operation, as submitted in the placing plan, will be more than 2 hours, the test batch shall demonstrate that the proposed concrete mix design achieves either a penetration of at least 50 mm or a slump of at least 125 mm after that time plus 2 hours has elapsed.

The time period shall begin at the start of placement. The concrete shall not be vibrated or agitated during the test period. Penetration tests shall be performed in conformance with the requirements in California Test 533. Slump tests shall be performed in conformance with the requirements in ASTM Designation: C 143. Upon completion of testing, the concrete shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The concrete deposited under slurry shall be carefully placed in a compact, monolithic mass and by a method that will prevent washing of the concrete. Concrete deposited under slurry need not be vibrated. Placing concrete shall be a continuous operation lasting not more than the time required for each concrete placing operation at each pile, as submitted in the placing plan, unless otherwise approved in writing by the Engineer. The concrete shall be placed with concrete pumps and delivery tube system of adequate number and size to complete the placing of concrete in the time specified. The delivery tube system shall consist of one of the following:

A. A tremie tube or tubes, each of which are at least 250 mm in diameter, fed by one or more concrete pumps.
B. One or more concrete pump tubes, each fed by a single concrete pump.

The delivery tube system shall consist of watertight tubes with sufficient rigidity to keep the ends always in the mass of concrete placed. If only one delivery tube is utilized to place the concrete, the tube shall be placed near the center of the drilled hole. Multiple tubes shall be uniformly spaced in the hole. Internal bracing for the steel reinforcing cage shall accommodate the delivery tube system. Tremies shall not be used for piles without space for a 250-mm tube.

Spillage of concrete into the slurry during concrete placing operations shall not be allowed. Delivery tubes shall be capped with a watertight cap, or plugged above the slurry level with a good quality, tight fitting, moving plug that will expel the slurry from the tube as the tube is charged with concrete. The cap or plug shall be designed to be released as the tube is charged. The pump discharge or tremie tube shall extend to the bottom of the hole before charging the tube with concrete. After charging the delivery tube system with concrete, the flow of concrete through a tube shall be induced by slightly raising the discharge end. During concrete placement, the tip of the delivery tube shall be maintained as follows to prevent reentry of the slurry into the tube. Until at least 3 m of concrete has been placed, the tip of the delivery tube shall be within 150 mm of the bottom of the drilled hole, and then the embedment of the tip shall be maintained at least 3 m below the top surface of the concrete. Rapid raising or lowering of the delivery tube shall not be permitted. If the seal is lost or the delivery tube becomes plugged and must be removed, the tube shall be withdrawn, the tube cleaned, the tip of the tube capped to prevent entrance of the slurry, and the operation restarted by pushing the capped tube 3 m into the concrete and then reinitiating the flow of concrete.

When slurry is used, a fully operational standby concrete pump, adequate to complete the work in the time specified, shall be provided at the site during concrete placement. The slurry level shall be maintained within 300 mm of the top of the drilled hole.

A log of concrete placement for each drilled hole shall be maintained by the Contractor when concrete is deposited under slurry. The log shall show the pile location, tip elevation, dates of excavation and concrete placement, total quantity of concrete deposited, length and tip elevation of any casing, and details of any hole stabilization method and materials used. The log shall include a 215 mm x 280 mm sized graph of the concrete placed versus depth of hole filled. The graph shall be plotted continuously throughout placing of concrete. The depth of drilled hole filled shall be plotted vertically with the pile tip oriented at the bottom and the quantity of concrete shall be plotted horizontally. Readings shall be made at least at each 1.5 m of pile depth, and the time of the reading shall be indicated. The graph shall be labeled with the pile location, tip elevation, cutoff elevation, and the dates of excavation and concrete placement. The log shall be delivered to the Engineer within one working day of completion of placing concrete in the pile.

After placing reinforcement and prior to placing concrete in the drilled hole, if drill cuttings settle out of the slurry, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

If temporary casing is used, concrete placed under slurry shall be maintained at a level at least 1.5 m above the bottom of the casing. The withdrawal of casings shall not cause contamination of the concrete with slurry.

Material resulting from using slurry shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Acceptance Testing and Mitigation

Vertical inspection pipes for acceptance testing shall be provided in all cast-in-drilled-hole concrete piles that are 600 mm in diameter or larger, except when the holes are dry or when the holes are dewatered without the use of temporary casing to control ground water.

Inspection pipes shall be Schedule 40 polyvinyl chloride pipes with a nominal inside diameter of 50 mm. Each inspection pipe shall be capped top and bottom and shall have watertight couplers to provide a clean, dry and unobstructed 50-mm diameter clear opening from 1.0 m above the pile cutoff down to the bottom of the reinforcing cage.

If the Contractor drills the hole below the specified tip elevation, the reinforcement and the inspection pipes shall be extended to 75 mm clear of the bottom of the drilled hole.

Inspection pipes shall be placed around the pile, inside the outermost spiral or hoop reinforcement, and 75 mm clear of the vertical reinforcement, at a uniform spacing not exceeding 840 mm measured along the circle passing through the centers of inspection pipes. A minimum of 2 inspection pipes per pile shall be used. When the vertical reinforcement is not bundled and each bar is not more than 26 mm in diameter, inspection pipes may be placed 50 mm clear of the vertical reinforcement. The inspection pipes shall be placed to provide the maximum diameter circle that passes through the centers of the inspection pipes while maintaining the clear spacing required herein. The pipes shall be installed in straight alignment, parallel to the main reinforcement, and securely fastened in place to prevent misalignment during installation of the reinforcement and placing of concrete in the hole.

The Contractor shall log the location of the inspection pipe couplers with respect to the plane of pile cut off, and these logs shall be delivered to the Engineer upon completion of the placement of concrete in the drilled hole.

After placing concrete and before requesting acceptance tests, each inspection pipe shall be tested by the Contractor in the presence of the Engineer by passing a 48.3-mm diameter rigid cylinder 610 mm long through the complete length of pipe. If the 48.3-mm diameter rigid cylinder fails to pass any of the inspection pipes, the Contractor shall attempt to pass a 32.0-mm diameter rigid cylinder 1.375 m long through the complete length of those pipes in the presence of the Engineer. If an inspection pipe fails to pass the 32.0-mm diameter cylinder, the Contractor shall immediately fill all inspection pipes in the pile with water.

The Contractor shall replace each inspection pipe that does not pass the 32.0-mm diameter cylinder with a 50.8-mm diameter hole cored through the concrete for the entire length of the pile. Cored holes shall be located as close as possible to the inspection pipes they are replacing and shall be no more than 150 mm inside the reinforcement. Coring shall not damage the pile reinforcement. Cored holes shall be made with a double wall core barrel system utilizing a split tube type inner barrel. Coring with a solid type inner barrel will not be allowed. Coring methods and equipment shall provide intact cores for the entire length of the pile concrete. The coring operation shall be logged by an Engineering Geologist or Civil Engineer licensed in the State of California and experienced in core logging. Coring logs shall include complete descriptions of inclusions and voids encountered during coring, and shall be delivered to the Engineer upon completion. Concrete cores shall be preserved, identified with the exact location the core was recovered from within the pile, and made available for inspection by the Engineer.

Acceptance tests of the concrete will be made by the Engineer, without cost to the Contractor. Acceptance tests will evaluate the homogeneity of the placed concrete. Tests will include gamma-gamma logging. Tests may also include crosshole sonic logging and other means of inspection selected by the Engineer. The Contractor shall not conduct operations within 8.0 m of the gamma-gamma logging operations. The Contractor shall separate reinforcing steel as necessary to allow the Engineer access to the inspection pipes to perform gamma-gamma logging or other acceptance testing. After requesting acceptance tests and providing access to the piling, the Contractor shall allow 25 days for the Engineer to conduct these tests and make determination of acceptance if the 48.3-mm diameter cylinder passed all inspection pipes, and 30 days if only the 32.0-mm diameter cylinder passed all inspection pipes. Should the Engineer fail to complete these tests within the time allowance, and if in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in inspection, the delay will be considered a right of way delay as specified in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

All inspection pipes and cored holes in a pile shall be dewatered and filled with grout after notification by the Engineer that the pile is acceptable. Placement and removal of water in the inspection pipes shall be at the Contractor’s expense. Grout shall conform to the provisions in Section 50-1.09, "Bonding and Grouting," of the Standard Specifications. The inspection pipes and holes shall be filled using grout tubes that extend to the bottom of the pipe or hole or into the grout already placed.

If acceptance testing performed by the Engineer determines that a pile does not meet the requirements of the specifications, then that pile will be rejected and all depositing of concrete under slurry or concrete placed using temporary

casing for the purpose of controlling groundwater shall be suspended until written changes to the methods of pile construction are approved in writing by the Engineer.

The Contractor shall submit to the Engineer for approval a mitigation plan for repair, supplementation, or replacement for each rejected cast-in-drilled-hole concrete pile, and this plan shall conform to the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. Prior to submitting this mitigation plan, the Engineer will hold a repair feasibility meeting with the Contractor to discuss the feasibility of repairing rejected piling. The Engineer will consider the size of the defect, the location of the defect, and the design information and corrosion protection considerations for the pile. This information will be made available to the Contractor, if appropriate, for the development of the mitigation plan. If the Engineer determines that it is not feasible to repair the rejected pile, the Contractor shall not include repair as a means of mitigation and shall proceed with the submittal of a mitigation plan for replacement or supplementation of the rejected pile.

If the Engineer determines that a rejected pile does not require mitigation due to structural, geotechnical, or corrosion concerns, the Contractor may elect to 1) repair the pile per the approved mitigation plan, or 2) not repair anomalies found during acceptance testing of that pile. For such unrepaired piles, the Contractor shall pay to the State, $400 per cubic meter for the portion of the pile affected by the anomalies. The volume, in cubic meters, of the portion of the pile affected by the anomalies, shall be calculated as the area of the cross section of the pile affected by each anomaly, in square meters, as determined by the Engineer, multiplied by the distance, in meters, from the top of each anomaly to the specified tip of the pile. If the volume calculated for one anomaly overlaps the volume calculated for additional anomalies within the pile, the calculated volume for the overlap shall only be counted once. In no case shall the amount of the payment to the State for any such pile be less than $400. The Department may deduct the amount from any moneys due, or that may become due the Contractor under the contract.

Pile mitigation plans shall include the following:

A. The designation and location of the pile addressed by the mitigation plan.
B. A review of the structural, geotechnical, and corrosion design requirements of the rejected pile.
C. A step by step description of the mitigation work to be performed, including drawings if necessary.
D. An assessment of how the proposed mitigation work will address the structural, geotechnical, and corrosion design requirements of the rejected pile.
E. Methods for preservation or restoration of existing earthen materials.
F. A list of affected facilities, if any, with methods and equipment for protection of these facilities during mitigation.
G. The State assigned contract number, bridge number, full name of the structure as shown on the contract plans, District-County-Route-Kilometer Post, and the Contractor's (and Subcontractor's if applicable) name on each sheet.
H. A list of materials, with quantity estimates, and personnel, with qualifications, to be used to perform the mitigation work.
I. The seal and signature of an engineer who is licensed as a Civil Engineer by the State of California.

For rejected piles to be repaired, the Contractor shall submit a pile mitigation plan that contains the following additional information:

A. An assessment of the nature and size of the anomalies in the rejected pile.
B. Provisions for access for additional pile testing if required by the Engineer.

For rejected piles to be replaced or supplemented, the Contractor shall submit a pile mitigation plan that contains the following additional information:

A. The proposed location and size of additional piling.
B. Structural details and calculations for any modification to the structure to accommodate the replacement or supplemental piling.

All provisions for cast-in-drilled-hole concrete piling shall apply to replacement piling.

The Contractor shall allow the Engineer 25 days to review the mitigation plan after a complete submittal has been received.

Should the Engineer fail to review the complete pile mitigation submittal within the time specified, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the pile mitigation plan, an extension of time commensurate with the delay in completion of the work thus caused will be granted in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

When repairs are performed, the Contractor shall submit a mitigation report to the Engineer within 10 days of completion of the repair. This report shall state exactly what repair work was performed and quantify the success of the repairs relative to the submitted mitigation plan. The mitigation report shall be stamped and signed by an engineer that is

licensed as a Civil Engineer by the State of California. The mitigation report shall show the State assigned contract number, bridge number, full name of the structure as shown on the contract plans, District-County-Route-Kilometer Post, and the Contractor (and subcontractor if applicable) name on each sheet. The Engineer will be the sole judge as to whether a mitigation proposal is acceptable, the mitigation efforts are successful, and to whether additional repairs, removal and replacement, or construction of a supplemental foundation is required.

STEEL PIPE PILING

General

Steel pipe piling shall consist of unfilled steel pipe piling for open ended piling. Steel pipe piling shall conform to the provisions in Section 49-5, "Steel Piles," of the Standard Specifications and these special provisions.

Except for field welding, as defined herein, the provisions of "Welding Quality Control" of these special provisions shall not apply to steel pipe piling.

Wherever reference is made to the American Petroleum Institute (API) specification 5L in the Standard Specifications, on the project plans, or in these special provisions, the year of adoption shall be 2000. All requirements of that code shall apply unless specified otherwise in the Standard Specifications, on the plans, or in these special provisions.

Only longitudinal and spiral seam welds in steel pipe piles may be made by the electric resistance welding method. Those welds shall be welded in conformance with the requirements in API 5L and any amendments to API 5L in the Standard Specifications or these special provisions.

Steel Pipe piling shall either conform to the requirements in API 5L or AWS D1.1, and the provisions specified in Section 49-5, "Steel Piles," of the Standard Specifications and these special provisions.

Handling devices may be attached to steel pipe piling. Welds attaching these devices shall be aligned parallel to the axis of the pile and shall conform to the requirements for field welding specified herein. Permanent bolted connections shall be corrosion resistant. Prior to making attachments, the Contractor shall submit a plan to the Engineer that includes the locations, handling and fitting device details, and connection details. Attachments shall not be made to the steel pipe piling until the plan is approved in writing by the Engineer. The Contractor shall allow the Engineer 7 days for the review of the plan. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

For steel pipe piling, including bar reinforcement in the piling, the Contractor shall allow the Engineer 48 hours to review the Welding Report, specified in "Welding Quality Control" of these special provisions, and respond in writing after the required items have been received. No field welded steel pipe piling shall be installed, and no reinforcement in the piling shall be encased in concrete until the Engineer has approved the above requirements in writing. In the event the Engineer fails to complete the review and provide within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Steel Pipe in Conformance with API 5L

Steel pipe piling conforming to the requirements in API 5L shall conform to the following additional requirements:

A. Each length of steel pipe piling shall be marked with the API monogram.
B. The product shall be capable of meeting the fit-up requirements of AWS D1.1, Section 5.22.3.1, "Girth Weld Alignment (Tubular)," when the project requires the material to be spliced utilizing a girth weld.
C. Welds made at a permanent facility shall be made by submerged arc welding or an electric resistance welding process.
D. Except for tack welding, the gas metal arc welding process (GMAW) shall not be used for welding of pipe pile material. When GMAW is used for tacking, the electrode shall not be deposited by short circuiting transfer.
E. The joining of pipe sections in a permanent facility utilizing a circumferential or jointer weld shall conform to the requirements in AWS D1.1.

Steel Pipe in Conformance with AWS D1.1

Steel pipe piling conforming to the requirements in AWS D1.1 shall conform to the following additional requirements:

A. Weld filler metal shall conform to the requirements in AWS D1.5 for the welding of ASTM Designation: A709/A709M, Grade 345 steel, except that the qualification, pretest, and verification test requirements need not be conducted if certified test reports are provided for the consumables to be used.

B. Except for tack welding, GMAW shall not be used for welding of pipe pile material. When GMAW is used for tacking, the electrode shall not be deposited by short circuiting transfer.
C. Pipe piling designated as ASTM Designation A252, which has a yield strength of less than or equal to 450 MPa, shall be treated as ASTM Designation A572/A572M, Grade 345 material for the purpose of welding and pre-qualification of base metal, in conformance with the requirements in AWS D1.1.
D. Each length of steel pipe piling shall be marked in conformance with the requirements in ASTM Designation: A252.
E. The outside circumference of the steel pipe piling end shall not vary by more than 10 mm from that corresponding to the diameter shown on the plans.

Field Welding

Field welding of steel piling is defined as welding performed after the certificate of compliance has been furnished by the manufacturer or fabricator and shall conform to the following requirements:

A. Match marking of pipe ends at the manufacturing or fabrication facility is recommended for piling to ensure weld joint fit-up. Prior to positioning any 2 sections of steel pipe to be spliced by field welding, including those that have been match marked at the manufacturing or fabrication facility, the Contractor shall equalize the offsets of the pipe ends to be joined and match mark the pipe ends.
B. Welds made in the flat position or vertical position (where the longitudinal pipe axis is horizontal) shall be single-vee or double-vee groove welds. Welds made in the horizontal position (where the longitudinal pipe axis is vertical) shall be single-bevel groove welds. Joint fit-ups shall conform to the requirements in AWS D1.1, Section 5.22.3.1, "Girth Weld Alignment (Tubular)," and these special provisions.
C. The minimum thickness of the backing ring shall be 6 mm, and the ring shall be continuous. Splices in the backing ring shall be made by complete penetration welds. These welds shall be completed, including visual inspection and any required nondestructive testing (NDT), prior to final insertion into a pipe end. The attachment of backing rings to pipe ends shall be done using the minimum size and spacing of tack welds that will securely hold the backing ring in place. Tack welding shall be done in the root area of the weld splice. Cracked tack welds shall be removed and replaced prior to subsequent weld passes. The gap between the backing ring and the steel pipe piling wall shall be no greater than 2 mm. One localized portion of the backing ring fit-up, that is equal to or less than a length that is 20 percent of the outside circumference of the pipe, as determined by the Engineer, may be offset by a gap equal to or less than 6 mm provided that this localized portion is first seal welded using shielded metal arc E7016 or E7018 electrodes. The Contractor shall mark this localized portion so that it can be referenced during any required NDT. Backing rings shall have a minimum width of 1 1/2 times the thickness of the pile to be welded or 65 mm, whichever is greater, so that the backing ring will not interfere with the interpretation of the NDT.
D. For steel pipe with an outside diameter greater than 1.1 m, and with a wall thickness greater than 25.4 mm, the root opening tolerances may be increased to a maximum of 5 mm over the specified tolerances.
E. Weld filler metal shall conform to the requirements shown in AWS D1.5 for the welding of ASTM Designation: A709/A709M, Grade 345 steel, except that the qualification, pretest, and verification test requirements need not be conducted if certified test reports are provided for the consumables to be used.
F. For field welding limited to attaching backing rings and handling devices, the preheat and interpass temperature shall be in conformance with the requirements in AWS D1.1, Section 3.5, "Minimum Preheat and Interpass Temperature Requirements," and with Table 3.2, Category C.
G. The minimum preheat and interpass temperature for production splice welding and for making repairs shall be 66°C, regardless of the pipe pile wall thickness or steel grade. In the event welding is disrupted, preheating to 66°C must occur before welding is resumed.
H. Welds shall not be water quenched. Welds shall be allowed to cool unassisted to ambient temperature.
I. Pipe piling designated as ASTM Designation A252, which has a yield strength of less than or equal to 450 MPa, shall be treated as ASTM Designation A572/A572M, Grade 345 material for the purposes of welding and prequalification of base metal, in conformance with the requirements in AWS D1.1.

MEASUREMENT AND PAYMENT (PILING)

Measurement and payment for the various types and classes of piles shall conform to the provisions in Sections 49-6.01, "Measurement," and 49-6.02, "Payment," of the Standard Specifications and these special provisions.

Payment for cast-in-place concrete piling shall conform to the provisions in Section 49-6.02, "Payment," of the Standard Specifications and these special provisions except that, when the diameter of cast-in-place concrete piling for bridges is shown on the plans as 600 mm or larger, reinforcement in the piling will be paid for by the kilogram as bar reinforcing steel (bridge).

Reinforcement in sound wall piling when the diameter of cast-in-place concrete piling is shown on the plans as 600 mm or larger will be paid for by the kilogram as bar reinforcing steel.

Payment for cast-in-place concrete piling for sign foundation, regardless of the size of the pile shall conform to the provisions in Section 56-1.10, "Payment," of the Standard Specifications.

Full compensation for slurry, depositing concrete under slurry, test batches, inspection pipes, filling inspection holes and pipes with grout, drilling oversized cast-in-drilled-hole concrete piling, filling cave-ins and oversized piles with concrete, and redrilling through concrete, shall be considered as included in the contract prices paid per meter for cast-in-drilled-hole concrete piling of the types and sizes listed in the Engineer's Estimate, and no additional compensation will be allowed therefor.

Full compensation for conforming to the provisions in "Steel Pipe Piling" of these special provisions shall be considered as included in the contract prices paid for the various items of work involved, and no additional compensation will be allowed therefor.

Full compensation for driving system submittals shall be considered as included in the contract unit price paid for drive pile, and no additional compensation will be allowed therefor.

10-1.65 PRESTRESSING CONCRETE

Prestressing concrete shall conform to the provisions in Section 50, "Prestressing Concrete," of the Standard Specifications and these special provisions.

The details shown on the plans for cast-in-place prestressed box girder bridges are based on a bonded full length draped tendon prestressing system. For these bridges the Contractor may, in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications, propose an alternative prestressing system utilizing bonded partial length tendons provided the proposed system and associated details meet the following requirements:

A. The proposed system and details shall provide moment and shear resistances at least equal to those used for the design of the structure shown on the plans.
B. The concrete strength shall not be less than that shown on the plans.
C. Not less than 35 percent of the total prestressing force at any section shall be provided by full length draped tendons.
D. Anchorage blocks for partial length tendons shall be located so that the blocks will not interfere with the placement of the utility facilities shown on the plans or of any future utilities to be placed through openings shown on the plans.
E. Temporary prestressing tendons, if used, shall be detensioned, and the temporary ducts shall be filled with grout before completion of the work. Temporary tendons shall be either removed or fully encased in grout before completion of the work.
F. All details of the proposed system, including supporting checked calculations, shall be included in the drawings submitted in conformance with the provisions in Section 50-1.02, "Drawings," of the Standard Specifications.

Moments and shears for loads used in the design shown on the plans will be made available to the Contractor upon written request to the Engineer.

10-1.66 CONCRETE STRUCTURES

Portland cement concrete structures shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Attention is directed to "Precast Concrete Quality Control" of these special provisions.

Shotcrete shall not be used as an alternative construction method for reinforced concrete members unless otherwise specified.

Neoprene strip shall be furnished and installed at abutment backwall joint protection in conformance with the details shown on the plans, the provisions in the Standard Specifications, and these special provisions.

Furnishing and installing neoprene strip shall conform to the requirements for strip waterstops as provided in Section 51-1.145, "Strip Waterstops," of the Standard Specifications, except that the protective board will not be required.

DECK CRACK TREATMENT

When methacrylate resin work is to be conducted within 31 meters of a residence, business, or public space, including sidewalks under a structure, the Contractor shall notify the public at least 7 days before starting work and monitor airborne emissions during the work. Public notification and monitoring of airborne emissions shall conform to the following:

A. The public safety plan required in Section 51-1.17A, "Deck Crack Treatment," of the Standard Specifications shall include a copy of the notification letter and a list of addresses and locations where the letter will be delivered and

posted. The letter shall state the methacrylate resin work locations, dates, times, and what to expect. The letter shall be delivered to each residence and each business within 31 meters of the methacrylate resin work. The letter shall be delivered to local fire and police responders, and it shall be posted at the job site.

B. The public safety plan shall include an airborne emissions monitoring plan prepared by a certified industrial hygienist and a copy of the hygienist's certification. Airborne emissions shall be monitored at a minimum of 4 points including the point of mixing, the point of application, and the point of nearest public contact, as determined by the Engineer. At the completion of methacrylate resin work, a report by the certified industrial hygienist with results of the airborne emissions monitoring plan shall be submitted to the Engineer.

CONCRETE

Minor concrete for pile caps shall contain not less than 350 kg of cementitious material per cubic meter.

FALSEWORK

Falsework shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

In addition to the provisions in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications, the time to be provided for the Engineer's review of the working drawings for specific structures, or portions thereof, shall be as follows:

Structure or Portion of Structure	Total Review Time - Weeks
Bridge Number 20-0289R/L	4
Bridge Number 20-0167R/L	4
Bridge Number 20-0290R/L	6

Temporary crash cushion modules, as shown on the plans and conforming to the provisions in "Temporary Crash Cushion Module" of these special provisions, shall be installed at the approach end of temporary railings which are located less than 4.6 m from the edge of a traffic lane. For 2-way traffic openings, temporary crash cushion modules shall be installed at the departing end of temporary railings which are located less than 1.8 m from the edge of a traffic lane.

The Contractor's engineer who signs the falsework drawings shall also certify in writing that the falsework is constructed in conformance with the approved drawings and the contract specifications prior to placing concrete. This certification shall include performing any testing necessary to verify the ability of the falsework members to sustain the stresses required by the falsework design. The engineer who signs the drawings may designate a representative to perform this certification. Where falsework contains openings for railroads, vehicular traffic, or pedestrians, the designated representative shall be qualified to perform this work, shall have at least three years of combined experience in falsework design or supervising falsework construction, and shall be registered as a Civil Engineer in the State of California. For other falsework, the designated representative shall be qualified to perform this work and shall have at least three years of combined experience in falsework design or supervising falsework construction. The Contractor shall certify the experience of the designated representative in writing and provide supporting documentation demonstrating the required experience if requested by the Engineer.

Welding and Nondestructive Testing

Welding of steel members, except for previously welded splices and except for when fillet welds are used where load demands are less than or equal to 175 N/mm for each 3 mm of fillet weld, shall conform to AWS D1.1 or other recognized welding standard. The welding standard to be utilized shall be specified by the Contractor on the working drawings. Previously welded splices for falsework members are defined as splices made prior to the member being shipped to the project site.

Splices made by field welding of steel beams at the project site shall undergo nondestructive testing (NDT). At the option of the Contractor, either ultrasonic testing (UT) or radiographic testing (RT) shall be used as the method of NDT for each field weld and any repair made to a previously welded splice in a steel beam. Testing shall be performed at locations selected by the Contractor. The length of a splice weld where NDT is to be performed, shall be a cumulative weld length equal to 25 percent of the original splice weld length. The cover pass shall be ground smooth at the locations to be tested. The acceptance criteria shall conform to the requirements of AWS D1.1, Section 6, for cyclically loaded nontubular connections subject to tensile stress. If repairs are required in a portion of the weld, additional NDT shall be performed on the repaired sections. The NDT method chosen shall be used for an entire splice evaluation including any required repairs.

For all field welded splices, the Contractor shall furnish to the Engineer a letter of certification which certifies that all welding and NDT, including visual inspection, are in conformance with the specifications and the welding standard shown on the approved working drawings. This letter of certification shall be signed by an engineer who is registered as a Civil

Engineer in the State of California and shall be provided prior to placing any concrete for which the falsework is being erected to support.

For previously welded splices, the Contractor shall determine and perform all necessary testing and inspection required to certify the ability of the falsework members to sustain the stresses required by the falsework design. This welding certification shall (1) itemize the testing and inspection methods used, (2) include the tracking and identifying documents for previously welded members, (3) be signed by an engineer who is registered as a Civil Engineer in the State of California, (4) and shall be provided prior to erecting the members.

COST REDUCTION INCENTIVE PROPOSALS FOR CAST-IN-PLACE PRESTRESSED BOX GIRDER BRIDGES

Except as provided herein, cast-in-place prestressed box girder bridges shall be constructed in conformance with the details shown on the plans and the provisions in Section 50, "Prestressing Concrete," and Section 51, "Concrete Structures," of the Standard Specifications.

If the Contractor submits cost reduction incentive proposals for cast-in-place prestressed box girder bridges, the proposals shall be in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications and these special provisions.

The Engineer may reject any proposal which, in the Engineer's judgment, may not produce a structure which is at least equivalent to the planned structure.

At the time the cost reduction incentive proposal (CRIP) is submitted to the Engineer, the Contractor shall also submit 4 sets of the proposed revisions to the contract plans, design calculations, and calculations from an independent checker for all changes involved in the proposal, including revisions in camber, predicted deck profile at each construction stage, and falsework requirements to the Office of Structure Design, Documents Unit, P.O. Box 942874, Sacramento, CA 94274-0001 (1801 30th Street, Sacramento, CA 95816), telephone (916) 227-8230. When notified in writing by the Engineer, the Contractor shall submit 12 sets of the CRIP plan revisions and calculations to the Office of Structure Design for final approval and use during construction. The calculations shall verify that all requirements are satisfied. The CRIP plans and calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California.

The CRIP plans shall be either 279 mm x 432 mm, or 559 mm x 864 mm in size. Each CRIP plan sheet and calculation sheet shall include the State assigned designations for the contract number, bridge number, full name of the structure as shown on the contract plans, and District-County-Route-Kilometer Post. Each CRIP plan sheet shall be numbered in the lower right hand corner and shall contain a blank space in the upper right hand corner for future contract sheet numbers.

Within 3 weeks after final approval of the CRIP plan sheets, one set of the corrected good quality prints on 75-g/m^2 (minimum) bond paper, 559 mm x 864 mm in size, of all CRIP plan sheets prepared by the Contractor for each CRIP shall be furnished to the Office of Structure Design, Documents Unit.

Each CRIP shall be submitted prior to completion of 25 percent of the contract working days and sufficiently in advance of the start of the work that is proposed to be revised by the CRIP to allow time for review by the Engineer and correction by the Contractor of the CRIP plans and calculations without delaying the work. The Contractor shall allow a minimum of 8 weeks for the review of a CRIP. In the event that several CRIPs are submitted simultaneously, or an additional CRIP is submitted for review before the review of a previously submitted CRIP has been completed, the Contractor shall designate the sequence in which the CRIPs are to be reviewed. In this event, the time to be provided for the review of any proposal in the sequence shall be not less than the review time specified herein for that proposal, plus 2 weeks for each CRIP of higher priority which is still under review.

Should the review not be complete by the date specified in the Contractor's CRIP, or such other date as the Engineer and Contractor may subsequently have agreed to in writing and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in review of CRIP plans and calculations, an extension of time commensurate with the delay in completion of the work thus caused will be granted as provided in Section 8-1.07, "Liquidated Damages," of the Standard Specifications except that the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications shall not apply.

Permits and approvals required of the State have been obtained for the structures shown on the plans. Proposals which result in a deviation in configuration may require new permits or approvals. The Contractor shall be responsible for obtaining the new permits and approvals before the Engineer will reach a decision on the proposal. Delays in obtaining permits and approvals will not be reason for granting an extension of contract time.

All proposed modifications shall be designed in conformance with the bridge design specifications and procedures currently employed by the Department. The proposal shall include all related, dependent or incidental changes to the structure and other work affected by the proposal. The proposal will be considered only when all aspects of the design changes are included for the entire structure. Changes, such as but not limited to, additional reinforcement and changes in location of reinforcement, necessary to implement the CRIP after approval by the Engineer, shall be made at the Contractor's expense.

Modifications may be proposed in (1) the thickness of girder stems and deck slabs, (2) the number of girders, (3) the deck overhang dimensions as specified herein, (4) the amount and location of reinforcing steel, (5) the amount and location of prestressing force in the superstructure, and (6) the number of hinges, except that the number of hinges shall not be increased. The strength of the concrete used may be increased but the strength employed for design or analysis shall not exceed 42 MPa.

Modifications proposed to the minimum amount of prestressing force which must be provided by full length draped tendons are subject to the provisions in "Prestressing Concrete" of these special provisions.

No modifications will be permitted in (1) the foundation type, (2) the span lengths or (3) the exterior dimensions of columns or bridge superstructure, except that the overhang dimension from face of exterior girder to the outside edge of roadway deck may be uniformly increased or decreased by 25 percent on each side of the box girder section. Fixed connections at the tops and bottoms of columns shown on the plans shall not be eliminated.

The Contractor shall be responsible for determining construction camber and obtaining the final profile grade as shown on the plans.

The Contractor shall reimburse the State for the actual cost of investigating CRIPs for cast-in-place prestressed box girder bridges submitted by the Contractor. The Department will deduct this cost from any moneys due, or that may become due the Contractor under the contract, regardless of whether or not the proposal is approved or rejected.

DECK CLOSURE POURS

Where a deck closure pour is shown on the plans, reinforcement protruding into the closure space and forms for the closure pour shall conform to the following:

A. During the time of placement of concrete in the deck, other than for the closure pour itself, reinforcing steel which protrudes into the closure space shall be completely free from any connection to the reinforcing steel, concrete, or other attachments of the adjacent structure, including forms. The reinforcing steel shall remain free of any connection for a period of not less than 24 hours following completion of the pour.
B. Forms for the closure pour shall be supported from the superstructure on both sides of the closure space.

SLIDING BEARINGS

Sliding bearings consisting of elastomeric bearing pads lubricated with grease and covered with sheet metal shall conform to the following requirements:

A. Grease shall conform to the requirements of Society of Automotive Engineers AS 8660. A uniform film of grease shall be applied to the upper surface of the pads prior to placing the sheet metal.
B. Sheet metal shall be commercial quality galvanized sheet steel. The sheet metal shall be smooth and free of kinks, bends, or burrs.
C. Construction methods and procedures shall prevent grout or concrete seepage into the sliding bearing assembly.

ELASTOMERIC BEARING PADS

Elastomeric bearing pads shall conform to the provisions in Section 51-1.12H, "Elastomeric Bearing Pads," of the Standard Specifications.

MEASUREMENT AND PAYMENT

Measurement and payment for concrete in structures shall conform to the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for public notification and airborne monitoring for deck crack treatment shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge, and no additional compensation will be allowed therefor.

10-1.67 TYPE 6 RETAINING WALL

Type 6 retaining wall, consisting of a reinforced concrete footing and a reinforced concrete stem, shall conform to the provisions in Section 51, "Concrete Structures," and Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

Wall stems shall be constructed of reinforced concrete.

10-1.68 STRUCTURE APPROACH SLABS (TYPE N)

This work shall consist of constructing reinforced concrete approach slabs, structure approach drainage system, and treated permeable base at structure approaches in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

GENERAL

Attention is directed to "Engineering Fabrics" of these special provisions.

STRUCTURE APPROACH DRAINAGE SYSTEM

Geocomposite Drain

Geocomposite drain shall consist of a manufactured core not less than 6.35 mm thick nor more than 50 mm thick with one or both sides covered with a layer of filter fabric that will provide a drainage void. The drain shall produce a flow rate through the drainage void of at least 25 liters per minute per meter of width at a hydraulic gradient of 1.0 and a minimum externally applied pressure of 168 kPa.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished for the geocomposite drain certifying that the drain complies with these special provisions. The Certificate of Compliance shall be accompanied by a flow capability graph for the geocomposite drain showing flow rates and the externally applied pressures and hydraulic gradients. The flow capability graph shall be stamped with the verification of an independent testing laboratory.

Filter fabric for the geocomposite drain shall conform to the provisions for filter fabric for underdrains in Section 88, "Engineering Fabrics," of the Standard Specifications.

The manufactured core shall be either a preformed grid of embossed plastic, a mat of random shapes of plastic fibers, a drainage net consisting of a uniform pattern of polymeric strands forming 2 sets of continuous flow channels, or a system of plastic pillars and interconnections forming a semirigid mat.

The core material and filter fabric shall be capable of maintaining the drainage void for the entire height of geocomposite drain. Filter fabric shall be integrally bonded to the side of the core material with the drainage void. Core material manufactured from impermeable plastic sheeting having non-connecting corrugations shall be placed with the corrugations approximately perpendicular to the drainage collection system.

The geocomposite drain shall be installed with the drainage void and the filter fabric facing the embankment. The fabric facing the embankment side shall overlap a minimum of 75 mm at all joints and wrap around the exterior edges a minimum of 75 mm beyond the exterior edge. If additional fabric is needed to provide overlap at joints and wraparound at edges, the added fabric shall overlap the fabric on the geocomposite drain at least 150 mm and be attached thereto.

Should the fabric on the geocomposite drain be torn or punctured, the damaged section shall be replaced completely or repaired by placing a piece of fabric that is large enough to cover the damaged area and provide a 150 mm overlap.

Plastic Pipe

Plastic pipe shall conform to the provisions for pipe for edge drains and edge drain outlets in Section 68-3, "Edge Drains," of the Standard Specifications.

Drainage Pads

Concrete for use in drainage pads shall be minor concrete, except the concrete shall contain not less than 300 kilograms of cementitious material per cubic meter.

Treated Permeable Base At Bottom Of Geocomposite Drains

Treated permeable base to be placed around the slotted plastic pipe at the bottom of geocomposite drains shall conform to the provisions in "Treated Permeable Base Under Approach Slab." If asphalt treated permeable base is used, it shall be placed at a temperature of not less than 82°C nor more than 110°C.

The filter fabric to be placed over the treated permeable base at the bottom of geocomposite drains shall conform to the provisions for filter fabric for edge drains in Section 88, "Engineering Fabrics," of the Standard Specifications.

ENGINEERING FABRICS

Filter fabric to be placed between the structure approach embankment material and the treated permeable base shall conform to the provisions for filter fabric for edge drains in Section 88, "Engineering Fabrics," of the Standard Specifications and these special provisions.

The subgrade to receive the filter fabric, immediately prior to placing, shall conform to the compaction and elevation tolerance specified for the material involved.

Filter fabric shall be aligned, handled, and placed in a wrinkle-free manner in conformance with the manufacturer's recommendations.

Adjacent borders of the filter fabric shall be overlapped from 300 to 450 mm or stitched. The preceding roll shall overlap the following roll in the direction the material is being spread or shall be stitched. When the fabric is joined by stitching, it shall be stitched with yarn of a contrasting color. The size and composition of the yarn shall be as recommended by the fabric manufacturer. The number of stitches per 25 mm of seam shall be 5 to 7.

Equipment or vehicles shall not be operated or driven directly on the filter fabric.

TREATED PERMEABLE BASE UNDER APPROACH SLAB

Treated permeable base under structure approach slabs shall consist of constructing either an asphalt treated permeable base or a cement treated permeable base in accordance with Section 29, "Treated Permeable Bases," of the Standard Specifications and these special provisions.

The type of treatment to be used shall be at the option of the Contractor.

The Contractor shall notify the Engineer in writing, not less than 30 days prior to the start of placing the treated permeable base, which type of treated permeable base will be furnished. Once the Contractor has notified the Engineer of the selection, the type to be furnished shall not be changed without a prior written request to do so and approval thereof in writing by the Engineer.

Asphalt treated permeable base shall be placed at a temperature of not less than 93°C nor more than 121°C. Material stored in excess of 2 hours shall not be used in the work.

Asphalt treated permeable base material may be spread in one layer. The base material shall be compacted with a vibrating shoe type compactor or rolled with a roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Rolling shall begin as soon as the mixture has cooled sufficiently to support the weight of the rolling equipment without undue displacement.

Cement treated permeable base material may be spread in one layer. The base material shall be compacted with either a vibrating shoe type compactor or with a steel-drum roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Compaction shall begin within one-half hour after the spreading operation and shall consist of 2 complete coverages of the treated material.

APPROACH SLABS

Concrete for use in approach slabs shall contain not less than 400 kilograms of cementitious material per cubic meter.

Structure approach slabs shall be cured for not less than 5 days prior to opening to public traffic, unless, at the option of the Contractor, the structure approach slabs are constructed using concrete with a nonchloride Type C chemical admixture conforming to these special provisions.

Portland cement for use in concrete using a nonchloride Type C chemical admixture shall be Type II or Type III conforming to the provisions in Section 90-2.01, "Cementitious Materials," of the Standard Specifications. Mortar containing the Type II portland cement to be used and Ottawa sand shall not contract in air more than 0.053 percent when tested in conformance with California Test 527.

The nonchloride Type C chemical admixture, approved by the Engineer, shall conform to the requirements in ASTM Designation: C 494/C 494M and Section 90-4, "Admixtures," of the Standard Specifications.

The concrete with nonchloride Type C chemical admixture shall be prequalified prior to placement in conformance with the provisions for prequalification of concrete specified by compressive strength in Section 90-9.01, "General," of the Standard Specifications and the following:

A. Immediately after fabrication of the 5 test cylinders, the cylinders shall be stored in a temperature medium of 21°C ± 1.5°C until the cylinders are tested.
B. The 6-hour average strength of the 5 test cylinders shall not be less than 5.85 MPa. Not more than 2 test cylinders shall have a strength of less than 5.5 MPa.

The top surface of approach slabs shall be finished and treated in conformance with the provisions for decks in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications. Edges of slabs shall be edger finished.

Approach slabs shall be cured with pigmented curing compound (1) in conformance with the provisions for curing structures in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

Structure approach slabs constructed using concrete with a nonchloride Type C chemical admixture shall be cured for not less than 6 hours prior to opening to public traffic. The curing period shall be considered to begin at the start of discharge of the last truckload of concrete to be used in the slab.

If the ambient temperature is below 18°C during the curing period for approach slabs using concrete with a nonchloride Type C chemical admixture, an insulating layer or blanket shall be used to cover the surface. The insulating layer or blanket

shall have an R-value rating given in the table below. At the Contractor's option, a heating tent may be used in lieu of or in combination with the insulating layer or blanket.

Temperature Range During Curing Period	R-value, minimum
13°C to 18°C	1
7°C to 13°C	2
4°C to 7°C	3

JOINTS

Hardboard and expanded polystyrene shall conform to the provisions in Section 51-1.12D, "Sheet Packing, Preformed Pads, and Board Fillers," of the Standard Specifications.

Type AL joint seals shall conform to the provisions in Section 51-1.12F, "Sealed Joints" of the Standard Specifications. The sealant may be mixed by hand-held, power-driven agitators and placed by hand methods.

MEASUREMENT AND PAYMENT

Structural concrete, approach slab (Type N) will be measured and paid for in conformance with the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for the structure approach drainage system including geocomposite drain, plastic pipe, and drainage pads, treated permeable base, filter fabric and bar reinforcement shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab of the type shown in the Engineer's Estimate, and no additional compensation will be allowed therefor.

10-1.69 SOUND WALL

DESCRIPTION

This work shall consist of constructing sound walls of masonry block. Sound walls shall be supported on concrete barriers as shown on the plans.

SOUND WALL (MASONRY BLOCK)

Sound wall (masonry block), consisting of a reinforced hollow unit masonry block stem, shall be constructed in conformance with the provisions in Section 19, "Earthwork," Section 52, "Reinforcement," and Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

Sound wall masonry unit stems shall be constructed with joints of mortar. Wall stems shall be constructed with hand laid block. Wall stems shall not be constructed with preassembled panels.

Concrete for sound wall footings, pile caps, and grade beams, if required, shall be minor concrete.

Concrete masonry units shall be hollow, load bearing, lightweight or medium weight class units conforming to the requirements in ASTM Designation: C 90. Standard or open-end units may be used. Open-end units, if used, shall not reduce the spacing of the bar reinforcement as shown on the plans.

The mass of concrete masonry units for walls on bridges shall not exceed 17.2 kg.

The masonry units shall be nominal size and texture and of uniform color. The color shall be tan, selected from the manufacturer's standards.

When high strength concrete masonry units with f'm=17.24 MPa are shown on the plans, the high strength masonry units shall have a minimum compressive strength of 25.86 MPa based on net area. When high strength concrete masonry units with f'm=13.79 MPa are shown on the plans, the high strength masonry units shall have a minimum compressive strength of 19.31 MPa based on net area. Each high strength concrete masonry unit shall be identified with a groove embedded in an interior corner. The groove shall extend from a mortar surface for a length of about 50 mm and shall have a depth of about 5 mm. When regular strength concrete masonry units with f'm=10.34 MPa are shown on the plans, the regular strength masonry units shall have a minimum compressive strength of 13.1 MPa based on net area.

Expansion joint filler shall conform to the requirements in ASTM Designation: D 1751 or ASTM Designation: D 2000 M2AA 805.

Mortar shall be colored to match the units. Coloring shall be chemically inert, fade resistant mineral oxide or synthetic type.

Cementitious material for wall stems shall conform to the provisions in Section 90-2.01, "Cementitious Materials," of the Standard Specifications.

Hydrated lime shall conform to the requirements in ASTM Designation: C 207, Type S.

Mortar sand shall be commercial quality.

Mortar for laying masonry units shall consist, by volume, of one part cementitious material, zero to 0.5 part hydrated lime, and 2.25 to 3 parts mortar sand. Sufficient water shall be added to make a workable mortar. Each batch of mortar shall be accurately measured and thoroughly mixed. Mortar shall be freshly mixed as required. Mortar shall not be retempered more than one hour after mixing.

Prepackaged mortar materials and mortar containing admixtures may be used when approved in writing by the Engineer, provided the mortar shall not contain more than 0.05 percent soluble chlorides when tested in conformance with California Test 422 or more than 0.25 percent soluble sulfates, as SO_4, when tested in conformance with California Test 417.

Before laying masonry units using prepackaged mortar materials or mortar containing admixtures, the Contractor shall submit to the Engineer the proposed sources of the materials together with test data from an independent testing laboratory for mortar tested in conformance with California Test 551. The test data shall be from specimens having a moist cure, except that the sample shall not be immersed in lime water. The average 28-day compressive strength of the mortar shall be not less than 17.2 MPa.

Aggregate for grout used to fill masonry units shall consist of fine aggregate and coarse aggregate conforming to the provisions in Section 90-2.02, "Aggregates," of the Standard Specifications. At least 20 percent of the aggregate shall be coarse aggregate. The Contractor shall determine the grading except that 100 percent of the combined grading shall pass the 12.5 mm sieve.

At the option of the Contractor, grout for filling masonry units may be proportioned either by volume or mass. Grout shall contain only enough water to cause the grout to flow and fill the voids without segregation. The maximum amount of free water shall not exceed 0.7 times the weight of the cementitious material for regular strength masonry. The maximum amount of free water shall not exceed 0.6 times the mass of the cementitious material for high strength masonry.

Grout proportioned by volume for regular strength masonry shall consist of at least one part cementitious material and 4.5 parts aggregate. Grout proportioned by volume for high strength masonry shall consist of at least one part cementitious material and 3.5 parts aggregate. Aggregate volumes shall be based on a loose, air-dry condition.

Grout proportioned by mass for regular strength masonry shall contain not less than 325 kilograms of cementitious material per cubic meter. Grout proportioned by mass for high strength masonry shall contain not less than 400 kilograms of cementitious material per cubic meter.

Reinforced concrete masonry unit wall stems shall be constructed with mortar joints in conformance with the following:

A. Concrete masonry unit construction shall be true and plumb in the lateral direction and shall conform to the grade shown on the plans in the longitudinal direction. Bond beam units or recesses for horizontal reinforcement shall be provided.
B. Mortar joints shall be approximately 10 mm wide. Walls and cross webs forming cells to be filled with grout shall be full bedded in mortar to prevent leakage of grout. All head and bed joints shall be solidly filled with mortar for a distance in from the face of the wall or unit not less than the thickness of the longitudinal face shells. Head joints shall be shoved tight.
C. Mortared joints around cells to be filled shall be placed so as to preserve the unobstructed vertical continuity of the grout filling. Any overhanging mortar or other obstruction or debris shall be removed from the inside of such cells.
D. Reinforcement shall be securely held in position at top and bottom with either wire ties or spacing devices and at intervals not exceeding 192 bar diameters before placing any grout. Wire shall be 16 gage(1.57 mm) or heavier. Wooden, aluminum, or plastic spacing devices shall not be used.
E. Splices in vertical reinforcement shall be made only at the locations shown on the plans.
F. Only those cells containing reinforcement shall be filled solidly with grout. All grout in the cells shall be consolidated at the time of placement by vibrating and reconsolidated after excess moisture has been absorbed but before plasticity is lost. Grout shall not be sliced with a trowel.
G. Walls shall be constructed in 1.2 m maximum height lifts. Grouting of each lift shall be completed before beginning masonry unit construction for the next lift. The top course of each lift shall consist of a bond beam.
H. A construction joint shall be constructed at the top of the top course to permit placement of the mortar cap. The mix design for the mortar cap shall be as approved by the Engineer.
I. Construction joints shall be made when the placing of grout, in grout filled cells, is stopped for more than one hour. The construction joint shall be approximately 12 mm below the top of the last course filled with grout.
J. Bond beams shall be continuous. The top of unfilled cells under horizontal bond beams shall be covered with metal or plastic lath.
K. When fresh masonry joins masonry that is partially or totally set, the contact surface shall be cleaned, roughened, and lightly wetted.
L. Surfaces of concrete on which the masonry walls are to be constructed shall be roughened and cleaned, exposing the aggregate, and shall be flushed with water and allowed to dry to a surface dry condition immediately before laying the masonry units.

M. Where cutting of masonry units is necessary, all cuts shall be made with a masonry saw to neat and true lines. Masonry units with cracking or chipping of the finished exposed surfaces will not be acceptable.
N. Masonry shall be protected in the same manner specified for concrete structures in Section 90-8, "Protecting Concrete," of the Standard Specifications and these special provisions.
O. During erection, all cells shall be kept dry in inclement weather by covering partially completed walls. The covering shall be waterproof fabric, plastic or paper sheeting, or other approved material. Wooden boards and planks shall not be used as covering materials. The covering shall extend down each side of masonry walls approximately 0.6 m.
P. Splashes, stains, or spots on the exposed faces of the wall shall be removed.

MEASUREMENT AND PAYMENT

Sound walls of the type designated in the Engineer's Estimate will be measured by the square meter of the area of wall projected on a vertical plane between the elevation lines shown on the plans and length of wall.

The contract price paid per square meter for sound wall of the types designated in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the sound wall, complete in place, including all anchorages, access gates, ladders,- and reinforcement, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer. Sound wall supports will be measured and paid for as separate items of work.

Sound wall footings, pile caps, and grade beams will be measured and paid for as minor concrete (sound wall).

The contract price paid per cubic meter for minor concrete (sound wall) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the footings, pile caps, and grade beams, complete in place, including excavation, backfill, and reinforcement, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.70 DRILL AND BOND DOWEL (CHEMICAL ADHESIVE)

Drilling and bonding dowels with chemical adhesives shall conform to the details shown on the plans and these special provisions.

Reinforcing steel dowels shall conform to the provisions in "Reinforcement" of these special provisions.

Threaded rods used as dowels shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications. The threaded rods shall be installed in conformance with the requirements for dowels specified herein.

Chemical adhesives to be used shall be selected from the Pre-Qualified Products List at:

http://www.dot.ca.gov/hq/esc/approved_products_list/

The Contractor may propose to use a chemical adhesive not on the Pre-Qualified Products List. Information regarding product qualification can be obtained at the Transportation Laboratory.

The chemical adhesive system used shall be appropriate for the concrete temperature and installation conditions in conformance with the requirements in the Department's prequalified list.

Chemical adhesive systems shall be accompanied by a Certificate of Compliance as provided in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The certificate shall state that the material complies in all respects to the requirements of ICBO AC58 and Caltrans Augmentation/Revisions to ICBO AC58 available at the Transportation Laboratory and at:

http://www.dot.ca.gov/hq/esc/approved_products_list/

At least 25 days prior to use, the Contractor shall submit one sample of each chemical adhesive system per lot to the Transportation Laboratory for testing. The sample shall consist of one unit of chemical adhesive, one mixing nozzle, and one retaining nut. A lot of chemical adhesives is defined as 100 units, or fraction thereof, of the same brand and product name.

Each chemical adhesive system shall be clearly and permanently marked with the manufacturer's name, model number of the system, manufacturing date, lot number, shelf life or expiration date, and current ICBO Evaluation Report (ER) number. Each carton of chemical adhesives shall contain the manufacturer's recommended installation procedures and warnings or precautions concerning the contents as may be required by State or Federal laws and regulations.

The holes shall be drilled by methods that will not shatter or damage the concrete adjacent to the holes. If reinforcement is encountered during drilling, before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole. The drilled holes shall be cleaned in conformance with the

manufacturer's instructions and shall be dry at the time of placing the chemical adhesive. Unless otherwise specified, the diameter and depth of drilled holes shall conform to the values listed in the ICBO ER for the size of dowel or rod being installed.

Storage and installation procedures shall be as recommended by the manufacturer. A copy of the manufacturer's recommended installation procedure shall be provided to the Engineer at least 2 days prior to the start of work.

Immediately after inserting the dowels into the chemical adhesive, the dowels shall be supported as necessary to prevent movement during curing and shall remain undisturbed until the epoxy has cured a minimum time as specified in the Department's Pre-Qualified Products List. Dowels that are improperly bonded, as determined by the Engineer, will be rejected. Adjacent new holes shall be drilled, and new dowels shall be placed and securely bonded to the concrete. All work necessary to correct improperly bonded dowels shall be performed at the Contractor's expense.

Unless otherwise provided, dowels to be bonded into drilled holes will be measured and paid for as bar reinforcing steel (bridge).

Unless otherwise provided, drilling and bonding dowels with chemical adhesives will be measured and paid for by the unit as drill and bond dowel (chemical adhesive). The number of units to be paid for will be determined from actual count of the completed units in place.

The contract unit price paid for drill and bond dowel (chemical adhesive) shall include full compensation for furnishing all labor, materials (except dowels), tools, equipment, and incidentals, and for doing all the work involved in drilling the holes and bonding dowels with chemical adhesives, including coring through reinforcement when approved by the Engineer, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.71 DRILL AND BOND DOWELS

Drilling and bonding dowels shall conform to the details shown on the plans, the provisions in Section 83-2.02D(1), "General," of the Standard Specifications, and these special provisions.

Dowels shall conform to the provisions for bar reinforcement in "Reinforcement" of these special provisions.

If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

Unless otherwise provided, dowels to be bonded into drilled holes will be paid for as bar reinforcing steel (bridge).

Unless otherwise provided, drilling and bonding dowels will be measured and paid for by the meter determined by the number and the required depth of holes as shown on the plans or as ordered by the Engineer.

The contract price paid per meter for drill and bond dowel shall include full compensation for furnishing all labor, materials (except reinforcing steel dowels), tools, equipment, and incidentals, and for doing all the work involved in drilling the holes, including coring through reinforcement when approved by the Engineer, and bonding the dowels, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.72 CORE CONCRETE

Coring concrete shall consist of coring holes through reinforced concrete bridge members as shown on the plans and in conformance with these special provisions.

The holes shall be cored by methods that will not shatter or damage the concrete adjacent to the holes.

Water for core drilling operations shall be from the local domestic water supply or shall not contain more than 1000 parts per million of chlorides as Cl, nor more than 1300 parts per million of sulfates as SO_4, nor shall the water contain any impurities in a sufficient amount that would cause discoloration of the concrete or produce etching of the surface.

Water from core drilling operations shall not be permitted to fall on public traffic, to flow across shoulders or lanes occupied by public traffic, or to flow into gutters or other drainage facilities.

Except as provided below coring concrete will be measured by the meter as core concrete of the sizes listed in the Engineer's Estimate. The cored concrete will be measured along the centerline of the hole without deduction for expansion joints.

The contract price paid per meter for core concrete of the sizes listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in coring the holes, including control of water from core drilling and repairing any damaged reinforcement, as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

Full compensation for coring holes for relocated gate pipe posts at Laguna De Santa Rosa (Widen) shall be considered as included in bridge removal (portion), Location B and no separate payment will be made therefor.

10-1.73 SEALING JOINTS

Joints in concrete bridge decks and joints between concrete structures and concrete approach slabs must be sealed in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

When ordered by the Engineer, a joint seal larger than called for by the Movement Rating shown on the plans must be furnished and installed. Payment to the Contractor for furnishing the larger seal and for saw cutting the increment of additional depth of groove required will be determined as provided in Section 4-1.03, "Changes," of the Standard Specifications.

10-1.74 REFINISHING BRIDGE DECKS

Surfaces of bridge decks that are exposed when existing railings, curbs, or sidewalks are removed shall be prepared and refinished flush with the adjoining deck surface with portland cement concrete or rapid setting concrete, at the option of the Contractor, in conformance with these special provisions.

The exact area to be refinished will be designated by the Engineer.

Attention is directed to "Public Safety" of these special provisions.

When work is being performed within 3 m of a traffic lane or performed over traffic, dust and residue from deck preparation and cleaning shall be removed or controlled by vacuum, water spray, or shield methods approved by the Engineer.

Concrete shall be removed without damage to concrete that is to remain in place. Damage to concrete that is to remain in place shall be repaired to a condition satisfactory to the Engineer.

The concrete in deck areas to be refinished shall be removed to a depth of approximately 20 mm below the adjoining deck surface. A 20 mm deep saw cut shall be made along the perimeter of areas prior to removing the concrete.

Existing areas of the deck more than 20 mm below the adjoining deck surface shall be prepared by removing not less than 6 mm of surface material to expose sound aggregate.

Concrete removal may be done by abrasive blast cutting, abrasive sawing, impact tool cutting, machine rotary abrading, or by other methods, all to be approved by the Engineer. Cut areas shall be cleaned free of dust and all other loose and deleterious materials by brooming, abrasive blast cleaning, and high pressure air jets. Equipment shall be fitted with suitable traps, filters, drip pans, or other devices to prevent oil or other deleterious matter from being deposited on the deck.

Existing reinforcement, exposed during the removal of concrete, that is to remain in place shall be protected from damage.

Steel dowels shall be cut off flush with the existing concrete or cut off at the bottom of concrete removal, whichever is lower. Patching around or over dowels in sound concrete will not be required. Existing voids around dowels, where refinishing is not required, shall be chipped back to sound concrete, the dowels shall be removed 25 mm below the finished surface, and the hole shall be filled with rapid setting concrete.

Refinishing isolated high areas in the existing deck may be accomplished by cutting the concrete down to be flush with the plane of the adjoining deck surface by abrasive sawing, grinding, impact tool cutting, or by other methods to be approved by the Engineer. When grinding is performed to bring the deck concrete flush with the adjoining deck surface, the resulting surface shall have a coefficient of friction of not less than 0.35 as determined by California Test 342.

PORTLAND CEMENT CONCRETE

An epoxy adhesive shall be applied to the surfaces to be refinished before placing the portland cement concrete. Immediately prior to applying the adhesive, the area to receive the adhesive shall be cleaned by abrasive blasting and blown clean by compressed air to remove dust and any other loose material. The area to be covered shall be surface dry and the ambient temperature shall be 10°C or above when the adhesive is applied.

The epoxy adhesive shall be furnished and applied in conformance with the provisions in Section 95-1, "General," and Section 95-2.03, "Epoxy Resin Adhesive for Bonding New Concrete to Old Concrete," of the Standard Specifications. The exact rate of applying epoxy adhesive will be as determined by the Engineer. The adhesive shall be worked onto the surface with stiff brushes or equal.

Portland cement concrete used to fill the prepared areas shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and the following:

A. The concrete shall contain a minimum of 400 kilograms of cementitious material per cubic meter.
B. The amount of free water used in concrete shall not exceed 166 kg/m^3.
C. The aggregate shall contain between 50 and 55 percent fine aggregate and the remainder shall be pea gravel. The grading of pea gravel shall be such that 100 percent passes the 12.5 mm screen and not more than 5 percent passes the 1.18 mm sieve, unless a larger size is ordered by the Engineer.
D. Admixtures shall be furnished and used if directed by the Engineer.

E. Immediately after depositing on the newly placed adhesive, the portland cement concrete shall be thoroughly consolidated until all voids are filled and free mortar appears on the surface and then struck off to the required grade.
F. Concrete shall be cured as provided in Section 90-7.03, "Curing Structures," of the Standard Specifications.
G. No loads of any kind shall be applied to the portland cement concrete for at least 7 days after placing, unless otherwise permitted by the Engineer.

RAPID SETTING CONCRETE

The concrete used to fill the prepared areas shall be a high-strength material consisting of either magnesium phosphate concrete, modified high alumina based concrete, or portland cement based concrete. Magnesium phosphate concrete shall conform to the requirements for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions. Modified high alumina based concrete and portland cement based concrete shall be water activated and shall conform to the requirements for single component (water activated) magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and the following:

A. A clean uniform rounded aggregate filler may be used to extend the concrete. The moisture content of the aggregate shall not exceed 0.5 percent. Grading of the aggregate shall conform to the following:

Sieve Size	Percentage Passing
12.5 mm	100
1.18 mm	0-5

B. The amount of aggregate filler shall conform to the manufacturer's recommendation, but in no case shall the concrete strengths be less than that specified for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.
C. Mixing of components of dual component (with a prepackaged liquid activator) magnesium phosphate shall be by complete units, supplied by the manufacturer. Portions of units shall not be used. Water shall not be added to dual component magnesium phosphate.
D. Immediately prior to applying the rapid setting concrete, the surface shall be dry and blown clean by compressed air to remove accumulated dust and any other loose material. If the surface becomes contaminated at any time prior to placing the concrete, the surface shall be cleaned by abrasive blasting. The surface temperature of the areas to be covered shall be 4°C or above when the concrete is applied. Methods proposed to heat said surfaces are subject to approval by the Engineer. The surface for the magnesium phosphate concrete shall be dry. The surfaces for modified high alumina based concrete or portland cement based concrete may be damp but not saturated.
E. Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum, or copper. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.
F. Concrete shall not be retempered. Finishing tools that are cleaned with water shall be thoroughly dried before working the concrete.
G. When placing concrete on slopes exceeding 5 percent, the Engineer may require the Contractor to provide a flow controlled modified material.
H. Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.
I. Unless otherwise permitted in writing by the Engineer, public traffic shall not be permitted on the new concrete until at least 24 hours after final set.

FINISHING REQUIREMENTS

In advance of the curing operations, the surface of the concrete shall be textured by brooming with a stiff bristled broom or by other suitable devices that will result in uniform scoring. Brooming shall be performed transversely. The operation shall be performed at a time and in a manner that produces a hardened surface having a uniform texture and a coefficient of friction of not less than 0.35 as determined by California Test 342.

Refinished surfaces that are found to have a coefficient of friction less than 0.35 shall be ground or grooved by the Contractor at his expense in conformance with the applicable provisions in Section 42, "Groove and Grind Pavement," of the Standard Specifications.

In the longitudinal direction, refinished surfaces shall not vary more than 6 mm from the lower edge of a 3.6 m straightedge. The refinished surface shall be flush with the existing adjoining surface.

MEASUREMENT AND PAYMENT

No adjustment of compensation will be made for any increase or decrease in the quantity of refinish bridge deck, regardless of the reason for the increase or decrease. The provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications shall not apply to the contract item of refinish bridge deck.

The quantity in square meters of refinish bridge deck to be paid for will be determined from the lengths and widths of the refinished areas, measured horizontally, plus 0.02 m^2 for patching around each dowel.

The contract price paid per square meter for refinish bridge deck shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in refinishing areas of the existing bridge deck (including cutting steel dowels), complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

ARCHITECTURAL SURFACE (TEXTURED CONCRETE)

Architectural textures for concrete surfaces shall conform to the details shown on the plans and the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions:

Architectural textures listed below are required at concrete surfaces shown on the plans:

A. Trapezoidal rib texture
B. Dry stack rock texture
C. Formed relief texture

The trapezoidal rib texture shall be an architectural texture simulating the appearance of straight ribs of concrete with a smooth concrete texture imparted to form liner ridges and valleys. Grooves between ribs shall be continuous with no apparent curves or discontinuities. Ribs shall be uniformly parallel, even and straight. Variation of the groove from straightness shall not exceed 6 mm for each 3 m of groove. The architectural texture shall have random shadow patterns. The architectural texture shall not have secondary patterns imparted by shadows or repetitive surfaces.

The dry stack rock texture shall be an architectural texture simulating the appearance of natural hewn stone masonry similar to the existing concrete barriers on the Redwood Landfill Overcrossing, Bridge Number 27-0115, on Highway 101 in Marin County, California.

The formed relief texture shall simulate a formed relief of raised musical notes among the trapezoidal rib texture, and shall be constructed to the dimensions and shapes shown on the plans. Corners at the intersection of plane surfaces shall be sharp and crisp without easing or rounding. A Class 1 surface finish shall be applied to the architectural texture.

Test Panels

A test panel at least 1.25 m x 1.25 m in size with the dry stack rock texture shall be successfully completed at a location approved by the Engineer before beginning work on dry stack rock architectural textures. The test panel shall be constructed and finished with the materials, tools, equipment and methods to be used in constructing the architectural texture. If ordered by the Engineer additional test panels shall be constructed and finished until the specified finish, texture and color are obtained, as determined by the Engineer.

A separate full-size test panel with the entire Treble Clef Note Pattern Set and trapezoidal rib texture shown on the plans shall be successfully completed at a location approved by the Engineer before beginning work on architectural textures. The test panel shall be constructed and finished with the materials, tools, equipment and methods to be used in constructing these two architectural textures. If ordered by the Engineer additional test panels shall be constructed and finished until the specified finish, texture and color are obtained, as determined by the Engineer.

Test panels approved by the Engineer shall be used as the standard of comparison in determining acceptability of architectural texture for concrete surfaces.

Form Liners

Form liners shall be used for textured concrete surfaces and shall be installed in conformance with the manufacturer's recommendations, unless other methods of forming textured concrete surfaces are approved by the Engineer. Form liners shall be manufactured from an elastomeric material or a semi-elastomeric polyurethane material by a manufacturer of commercially available concrete form liners. No substitution of other types of formliner material will be allowed. Form liners shall leave crisp, sharp definition of the architectural surface. Recurring textural configurations exhibited by repeating, recognizable shadow patterns shall be prevented by proper casting of form liner patterns. Textured concrete surfaces with such recurring textural configurations shall be reworked to remove such patterns as approved by the Engineer or the concrete shall be replaced.

Form liners shall have the following properties:

Description	ASTM Designation:	Range
Elastomeric material		
Shore A hardness	D 2240	20 to 65
Tensile strength (MPa)	D 412	0.9 to 6.2
Semi-elastomeric polyurethane		
Shore D hardness	D 2240	55 to 65
Tensile strength (MPa)	D 2370	18 minimum

Cuts and tears in form liners shall be sealed and repaired in conformance with the manufacturer's recommendations. Form liners that are delaminated from the form shall not be used. Form liners with deformations to the manufactured surface caused by improper storage practices or any other reason shall not be used.

Form liners shall extend the full length of texturing with transverse joints at 2.5 m minimum spacing. Small pieces of form liners shall not be used. Grooves shall be aligned straight and true. Grooves shall match at joints between form liners. Joints in the direction of grooves in grooved patterns shall be located only in the depressed portion of the textured concrete. Adjoining liners shall be butted together without distortion, open cracks or offsets at the joints. Joints between liners shall be cleaned before each use to remove any mortar in the joint.

Adhesives shall be compatible with the form liner material and with concrete. Adhesives shall be approved by the liner manufacturer. Adhesives shall not cause swelling of the liner material.

Releasing Form Liners

Products and application procedures for form release agents shall be approved by the form liner manufacturer. Release agents shall not cause swelling of the liner material or delamination from the forms. Release agents shall not stain the concrete or react with the liner material. For reliefs simulating fractured concrete or wood grain surfaces the application method shall include the scrubbing method using a natural bristle scrub brush in the direction of grooves or grain The release agent shall coat the liner with a thin film. Following application of form release agent, the liner surfaces shall be cleaned of excess amounts of agent using compressed air. Buildup of form release agent caused by the reuse of a liner shall be removed at least every 5 uses.

Form liners shall release without leaving particles or pieces of liner material on the concrete and without pulling or breaking concrete from the textured surface. The concrete surfaces exposed by removing forms shall be protected from damage.

Abrasive Blasting

The dry stack rock texture shall be abrasive blasted with fine abrasive to remove the sheen without exposing coarse aggregate.

Curing

Concrete surfaces with architectural texture shall be cured only by the forms-in-place or water methods. Seals and curing compounds shall not be used.

PAYMENT

Full compensation for architectural texture on concrete barriers shall be considered as included in the contract price paid per meter for concrete barrier of the type involved and no separate payment will be made therefor.

Full compensation for architectural texture on retaining walls shall be considered as included in the contract price paid per cubic meter for structural concrete, retaining wall and no separate payment will be made therefor.

10-1.75 ORNAMENTAL LOGOS (GLASCRETE CONCRETE MEDALLIONS)

Ornamental logos, "Glascrete" concrete medallions, to be attached to soundwalls shall be of "Glascrete" concrete as manufactured by Dura Art Stone or approved equal, no known equal, with concealed stainless steel metal attachment hardware, and shall be constructed according to the details and dimensions shown on the plans. Glass fiber reinforced concrete is not an acceptable substitute for "Glascrete."

The Contractor shall supply qualifications and evidence, satisfactory to the Engineer, of completion of a minimum of 10 years experience in regular and current engagement in "Glascrete" concrete manufacturing of similar structures and 3 satisfactory placements of "Glascrete" concrete work similar to the type of placement for this project within a 150 mile radius. In addition, the Contractor shall be responsible for determining lead time for submittals and fabrication to ensure "Glascrete" concrete medallions are completed at or before the required time for installation. All work shall be done in accordance with the practices of the Precast Concrete Institute.

MATERIALS

Ornamental logos, "Glascrete" concrete medallions shall be made from "Glascrete" concrete and shall be the same color as the integrally colored cement for concrete masonry blocks (cmu). The "Glascrete" concrete fabricator shall be responsible for the structural integrity of the "Glascrete" concrete medallions. The "Glascrete" concrete medallions shall be certified in writing by the fabricator as having long term durability – 20 years or longer, weather and uv-resistance and fully resistant to damage such as hammer holes.

Integral color for bid purposes shall be L.M. Scofield Company, "Chromix" admixture "Summer Beige" #5234. Note the exact color shall be determined after concrete masonry blocks (cmu) have been submitted and approved by the Engineer. The final admixture color selected shall match the light brown cmu block color. Submit 300 mm x 300 mm labeled "Glascrete" concrete samples with integral color as described below.

Sacrificial anti-graffiti finish shall be fully compatible with the anti-efflorescence sealer and integral colored "Glascrete" concrete medallions and non-yellowing. Sacrificial anti-graffiti finish shall be Surtec, Inc., "A.G.S.", Prosoco, Inc., "DefaceEraser", or approved equal. The anti-graffiti finish shall not alter the general integral concrete color, and it shall have a matt finish. The Contractor shall submit a 300 mm x 300 mm labeled concrete sample with anti-graffiti finish over anti-efflorescence sealer on integral color "Glascrete" concrete with light sandblast for Engineer's approval and to demonstrate full compatibility of all items being used.

Elastomeric caulk shall be non-staining, uv resistant, color fast, L.M. Scofield Company, "Lithoseal" 3 component joint sealer specially mixed to match color after the anti-graffiti finish has been applied to the medallions, "Chromix" admixture (matching color). The Contractor shall submit 1.25 mm x 300 mm long caulk sample for Engineer's approval.

Fiber reinforcing material shall be incorporated into concrete for minor concrete during mixing. Fibers shall not be visible on finished concrete surface.

The "Glascrete" concrete manufacturer shall be solely responsible for the proper determination of aggregate, concrete mix design with integral color, formwork and reinforcing, including fiber reinforcing, and methods of attachment to soundwalls, including but not limited to drilling and doweling into soundwalls, to meet the requirements of these specifications and contract documents.

The Contractor shall submit shop drawings of the "Glascrete" concrete medallion in sufficient detail and size to show all details of fabrication and construction, sizes, profiles, reveals, reinforcing, embeded items, site installation and layout with other associated elements, including but not limited to method of attachment to soundwalls using concealed stainless steel hardware. If drawings are incomplete or not drawn at a large enough scale, additional shop drawings shall be required at the Contractor's expense and to the satisfaction of the Engineer.

"Glascrete" concrete medallions shall not be fabricated prior to the Engineer's approval of samples and shop drawings. In the event samples or shop drawing are deemed unacceptable by the Engineer, the Contractor shall resubmit new samples or shop drawing until an approved sample or shop drawings are provided. One full-size finished sample "Glascrete" concrete medallion shall be delivered to the site for Engineer's approval prior to completion of fabrication order. If the full-size sample is approved, it may be used in the final installation provided it is not damaged prior to installation and fully match the remaining medallions.

All costs associated with this work shall be considered as included in the contract prices paid for the various items of work and no additional compensation will be allowed therefor.

FABRICATION

Forms shall have steel or plastic coated plywood facing. Boundary and engraving forms shall produce precise and accurate reliefs and logos with corners and junctures between forming medallions free of grout leaks. Engraving forms shall

be precisely located and fastened securely to accurately produce the logo shown on the plans. Intersections of form surfaces shall be sharp and square

Forms shall be accurately constructed, dimensionally stable and securely braced. Allow for removal parts that permit proper curing and surface treatment that will produce continuous, true, smooth, unwarped finish in exposed faces. "Glascrete" concrete fabricator shall be responsible for all details associated with fabrication and installation.

"Glascrete" concrete medallions shall be free of warps, cracks, pitting/air pockets or honeycombs. Surfaces and edges shall be level in plane, smooth, even and consistent with all edges true and straight and with intersections and reveals uniform in dimension. "Glascrete" concrete medallions shall be uniform in color and texture and be free of air pockets, spots, stains or discolorations. All exposed fibers on the surface of the "Glascrete" concrete medallions shall be completely removed prior to application of anti-graffiti finish.

Coordinate location, sizes and shapes of all required inserts, penetrations, openings, sleeves, stainless steel hardware and anchor bolts or embedded items in "Glascrete" concrete medallions prior to fabrication. Method of securing "Glascrete" concrete medallions shall not be visible, nor shall it penetrate through to the back of the soundwalls. Do not bolt through soundwalls.

Surface finish shall be a light sandblast sufficient to remove forming marks and rough surfaces. Apply sacrificial anti-graffiti finish, in accordance with the manufacturer's recommendations and specifications. Anti-graffiti finish shall be uniform and matching for all "Glascrete" concrete medallions. At the "Glascrete" concrete fabricators option and expense, field application of anti-graffiti finish will be allowed upon written request to the Engineer.

INSTALLATION

It is the responsibility of the Contractor and "Glascrete" concrete manufacturer and installer to coordinate all associated work so that final installation complies with the requirements of these special provision, including but not limited to, insuring that the proper locations and space are available for installing the "Glascrete" concrete medallions and that they correspond to the details and these contract documents. Provide a 20 mm thick plywood template of the "Glascrete" concrete medallion including the surrounding joint space to soundwall installers prior to soundwall installation. Failure to coordinate soundwall installation locations and size requirements, incorrect or improperly installed medallions, etc., shall be repaired and/or replaced at the Contractor's expense and to the satisfaction of the Engineer.

Notify Engineer in writing immediately for direction if discrepancies or differences in field conditions are found. Installation shall only be done during dry weather and when ground is completely dry.

"Glascrete" concrete medallions shall be installed so that medallions are square with the soundwall blocks. The Contractor shall be responsible for insuring medallions are securely attached to soundwalls and will not come off or become loose over time.

Seal joints between medallion and soundwall concrete block with approved caulk material. Protect exposed surfaces from excess caulk during application with tape along edges.

Protect all "Glascrete" concrete medallions and other improvements from chipping, spalling, distorting, staining, cracking, vandalism and other forms of damage during production, shipment and installation until final approval and acceptance of the work by the Engineer. Damaged items shall be replaced at the Contractor's expense and to the satisfaction of the Engineer. Do not use any cleaning material or process which will damage or change appearance or color of the "Glascrete" concrete medallions.

Ornamental logos, "Glascrete" concrete medallions, will be paid for at the contract unit price paid for each panel of ornamental logo.

The contract unit price paid for ornamental logo, "Glascrete" concrete medallion, shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in furnishing ornamental logos at the site and erecting ornamental logos, complete in place, including stainless steel metal attachment hardware, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.76 REINFORCEMENT

Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

The Department's mechanical splices prequalified list can be found at the following internet site:

http://www.dot.ca.gov/hq/esc/approved_products_list/

The provisions of "Welding Quality Control" of these special provisions shall not apply to resistance butt welding.

10-1.77 STEEL STRUCTURES

Construction of steel structures shall conform to the provisions in Section 55, "Steel Structures," of the Standard Specifications and these special provisions.

Attention is directed to "Welding" in Section 8, "Materials," of these special provisions.

The following substitutions of high-strength steel fasteners shall be made:

METRIC SIZE SHOWN ON THE PLANS	SIZE TO BE SUBSTITUTED
ASTM Designation: A 325M (Nominal bolt diameter (mm))	ASTM Designation: A 325 (Nominal bolt diameter (inch))
13, 12.70, or M12	1/2
16, 15.88, or M16	5/8
19, 19.05, or M20	3/4
22, 22.22, or M22	7/8
24, 25, 25.40, or M24	1
29, 28.58, or M27	1 1/8
32, 31.75, or M30	1 1/4
38, 38.10, or M36	1 1/2

MATERIALS

High-strength fastener assemblies and other bolts attached to structural steel with nuts and washers shall be zinc-coated. When direct tension indicators are used in these assemblies, the direct tension indicator and all components of the fastener assembly shall be zinc-coated by the mechanical deposition process.

ROTATIONAL CAPACITY TESTING PRIOR TO SHIPMENT TO JOB SITE

Rotational capacity tests shall be performed on all lots of high-strength fastener assemblies prior to shipment of these lots to the project site. Zinc-coated assemblies shall be tested after all fabrication, coating, and lubrication of components has been completed. One hardened washer shall be used under each nut for the tests.

The requirements of this section do not apply to high-strength cap screws or high-strength bolts used for slip base plates.

Each combination of bolt production lot, nut lot, and washer lot shall be tested as an assembly.

A rotational capacity lot number shall be assigned to each combination of lots tested. Each shipping unit of fastener assemblies shall be plainly marked with the rotational capacity lot number.

Two fastener assemblies from each rotational capacity lot shall be tested.

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of long bolts. Fasteners are considered to be long bolts when full nut thread engagement can be achieved when installed in a bolt tension measuring device:

A. Long Bolt Test Equipment:

1. Calibrated bolt tension measuring device with adequate tension capacity for the bolts being tested.
2. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Long Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel beam or member, such as a girder flange or cross frame, to which the bolt tension measuring device will be attached. The device shall be accessible from the ground.

B. Long Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.

3. Insert the bolt into the bolt tension measuring device and install the required number of washers, and additional spacers as needed, directly beneath the nut to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug tension shall not be less than the Table A value but may exceed the Table A value by a maximum of 2 kips.

Table A

High-Strength Fastener Assembly Tension Values to Approximate Snug-Tight Condition	
Bolt Diameter (inches)	Snug Tension (kips)
1/2	1
5/8	2
3/4	3
7/8	4
1	5
1 1/8	6
1 1/4	7
1 3/8	9
1 1/2	10

5. Match-mark the assembly by placing a heavy reference start line on the face plate of the bolt tension measuring device which aligns with 1) a mark placed on one corner of the nut, and 2) a radial line placed across the flat on the end of the bolt, or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make an additional mark on the face plate, either 2/3 of a turn, one turn, or 1 1/3 turn clockwise from the heavy reference start line, depending on the bolt length being tested as shown in Table B.

Table B

Required Nut Rotation for Rotational Capacity Tests[a,b]	
Bolt Length (measured in Step 1)	Required Rotation (turn)
4 bolt diameters or less	2/3
Greater than 4 bolt diameters but no more than 8 bolt diameters	1
Greater than 8 bolt diameters, but no more than 12 bolt diameters[c]	1 1/3
(a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees; for bolts installed by 2/3 turn and more, the tolerance shall be plus or minus 45 degrees. (b) Applicable only to connections in which all material within grip of the bolt is steel. (c) When bolt length exceeds 12 diameters, the required rotation shall be determined by actual tests in a suitable tension device simulating the actual conditions.	

6. Turn the nut to achieve the applicable minimum bolt tension value listed in Table C. After reaching this tension, record the moving torque, in foot-pounds, required to turn the nut, and also record the corresponding bolt tension value in pounds. Torque shall be measured with the nut in motion. Calculate the value, T (in ft-lbs), where T=[(the measured tension in pounds) x (the bolt diameter in inches) / 48 in/ft].

Table C

Minimum Tension Values for High-Strength Fastener Assemblies	
Bolt Diameter (inches)	Minimum Tension (kips)
1/2	12
5/8	19
3/4	28
7/8	39
1	51
1 1/8	56
1 1/4	71
1 3/8	85
1 1/2	103

7. Turn the nut further to increase bolt tension until the rotation listed in Table B is reached. The rotation is measured from the heavy reference line made on the face plate after the bolt was snug-tight. Record this bolt tension.
8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Long Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque (Step 6) shall be less than or equal to the calculated value, T (Step 6), 2) the bolt tension measured in Step 7 shall be greater than or equal to the applicable turn test tension value listed in Table D, 3) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been achieved, 4) the bolt does not shear from torsion or fail during the test, and 5) the assembly does not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head is expected and will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

Table D

Turn Test Tension Values	
Bolt Diameter (inches)	Turn Test Tension (kips)
1/2	14
5/8	22
3/4	32
7/8	45
1	59
1 1/8	64
1 1/4	82
1 3/8	98
1 1/2	118

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of short bolts. Fasteners are considered to be short bolts when full nut thread engagement cannot be achieved when installed in a bolt tension measuring device:

A. Short Bolt Test Equipment:

1. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Short Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
2. Spud wrench or equivalent.

3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel plate or girder with a hole to install bolt. The hole size shall be 1.6 mm greater than the nominal diameter of the bolt to be tested. The grip length, including any plates, washers, and additional spacers as needed, shall provide the proper number of threads within the grip, as required in Step 2 of the Short Bolt Test Procedure.

B. Short Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Install the bolt into a hole on the plate or girder and install the required number of washers and additional spacers as needed between the bearing face of the nut and the underside of the bolt head to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug condition shall be the full manual effort applied to the end of a 305 mm long wrench. This applied torque shall not exceed 20 percent of the maximum allowable torque in Table E.

Table E

Maximum Allowable Torque for High-Strength Fastener Assemblies	
Bolt Diameter (inches)	Torque (ft-lbs)
1/2	145
5/8	285
3/4	500
7/8	820
1	1220
1 1/8	1500
1 1/4	2130
1 3/8	2800
1 1/2	3700

5. Match-mark the assembly by placing a heavy reference start line on the steel plate or girder which aligns with 1) a mark placed on one corner of the nut and 2) a radial line placed across the flat on the end of the bolt or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make 2 additional small marks on the steel plate or girder, one 1/3 of a turn and one 2/3 of a turn clockwise from the heavy reference start line on the steel plate or girder.
6. Using the torque wrench, tighten the nut to the rotation value listed in Table F. The rotation is measured from the heavy reference line described in Step 5 made after the bolt was snug-tight. A second wrench shall be used to prevent rotation of the bolt head during tightening. Measure and record the moving torque after this rotation has been reached. The torque shall be measured with the nut in motion.

Table F

Nut Rotation Required for Turn-of-Nut Installation[a,b]	
Bolt Length (measured in Step 1)	Required Rotation (turn)
4 bolt diameters or less	1/3
(a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees. (b) Applicable only to connections in which all material within grip of the bolt is steel.	

7. Tighten the nut further to the 2/3-turn mark as indicated in Table G. The rotation is measured from the heavy reference start line made on the plate or girder when the bolt was snug-tight. Verify that the radial line on the bolt end or on the exposed portions of the threads of tension control bolts is still in alignment with the start line.

Table G

Required Nut Rotation for Rotational Capacity Test	
Bolt Length (measured in Step 1)	Required Rotation (turn)
4 bolt diameters or less	2/3

8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Short Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque from Step 6 shall be less than or equal to the maximum allowable torque from Table E, 2) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been achieved, 3) the bolt does not shear from torsion or fail during the test, and 4) the assembly shall not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

INSTALLATION TENSION TESTING AND ROTATIONAL CAPACITY TESTING AFTER ARRIVAL ON THE JOB SITE

Installation tension tests and rotational capacity tests on high-strength fastener assemblies shall be performed by the Contractor prior to acceptance or installation and after arrival of the fastener assemblies on the project site. Installation tension tests and rotational capacity tests shall be performed at the job-site, in the presence of the Engineer, on each rotational capacity lot of fastener assemblies.

The requirements of this section do not apply to high-strength cap screws or high-strength bolts used for slip base plates.

Installation tension tests shall be performed on 3 representative fastener assemblies in conformance with the provisions in Section 8, "Installation," of the RCSC Specification. For short bolts, Section 8.2, "Pretensioned Joints," of the RCSC Specification shall be replaced by the "Pre-Installation Testing Procedures," of the "Structural Bolting Handbook," published by the Steel Structures Technology Center, Incorporated.

The rotational capacity tests shall be performed in conformance with the requirements for rotational capacity tests in "Rotational Capacity Testing Prior to Shipment to Job Site" of these special provisions.

At the Contractor's expense, additional installation tension tests, tests required to determine job inspecting torque, and rotational capacity tests shall be performed by the Contractor on each rotational capacity lot, in the presence of the Engineer, if 1) any fastener is not used within 3 months after arrival on the jobsite, 2) fasteners are improperly handled, stored, or subjected to inclement weather prior to final tightening, 3) significant changes are noted in original surface condition of threads, washers, or nut lubricant, or 4) the Contractor's required inspection is not performed within 48 hours after all fasteners in a joint have been tensioned.

Failure of a job-site installation tension test or a rotational capacity test will be cause for rejection of unused fasteners that are part of the rotational capacity lot.

When direct tension indicators are used, installation verification tests shall be performed in conformance with Appendix Section X1.4 of ASTM Designation: F959, except that bolts shall be initially tensioned to a value 5 percent greater than the minimum required bolt tension.

SURFACE PREPARATION

For all bolted connections, the new contact surfaces and inside surfaces of bolt holes shall be cleaned and coated before assembly in conformance with the provisions for cleaning and painting structural steel of these special provisions.

SEALING

When zinc-coated tension control bolts are used, the sheared end of each fastener shall be completely sealed with non-silicone type sealing compound conforming to the provisions in Federal Specification TT-S-230, Type II. The sealant shall be gray in color and shall have a minimum thickness of 1.3 mm. The sealant shall be applied to a clean sheared surface on the same day that the splined end is sheared off.

WELDING

Table 2.2 of AWS D1.5 is superseded by the following table:

Base Metal Thickness of the Thicker Part Joined, mm	Minimum Effective Partial Joint Penetration Groove Weld Size, * mm
Over 6 to 13 inclusive	5
Over 13 to 19 inclusive	6
Over 19 to 38 inclusive	8
Over 38 to 57 inclusive	10
Over 57 to 150 inclusive	13
Over 150	16

* Except the weld size need not exceed the thickness of the thinner part

Dimensional details and workmanship for welded joints in tubular and pipe connections shall conform to the provisions in Part A, "Common Requirements of Nontubular and Tubular Connections," and Part D, "Specific Requirements for Tubular Connections," in Section 2 of AWS D1.1.

The requirement of conformance with AWS D1.5 shall not apply to work conforming to Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

10-1.78 SIGN STRUCTURES

Sign structures and foundations for overhead signs shall conform to the provisions in Section 56-1, "Overhead Sign Structures," of the Standard Specifications, "Steel Structures" of these special provisions, and the following requirements.

Before commencing fabrication of sign structures, the Contractor shall submit 2 sets of working drawings to the Engineer in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The working drawings shall include sign panel dimensions, span lengths, post heights, anchorage layouts, proposed splice locations, a snugging and tensioning pattern for anchor bolts and high-strength bolted connections, and details for permanent steel anchor bolt templates. The working drawings shall be supplemented with a written quality control program that includes methods, equipment, and personnel necessary to satisfy the requirements specified herein.

Working drawings shall be 559 mm x 864 mm or 279 mm x 432 mm in size and each drawing and calculation sheet shall include the State assigned designations for the sign structure type and reference as shown on the contract plans, District-County-Route-Kilometer Post, and contract number.

The Engineer shall have 30 days to review the sign structure working drawings after a complete submittal has been received. No fabrication or installation of sign structures shall be performed until the working drawings are approved in writing by the Engineer.

Should the Engineer fail to complete the review within the time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the sign structure working drawings, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Steel bolts not designated on the plans as high strength (HS) or stainless steel shall be for general applications and shall conform to the requirements in ASTM Designation: A 307.

A permanent steel template shall be used to maintain the proper anchor bolt spacing.

One top nut, one leveling nut, and 2 washers shall be provided for the upper threaded portion of each anchor bolt.

Flatness of surfaces for the following shall conform to the requirements in ASTM Designation: A 6/A 6M:

1. Base plates that are to come in contact with concrete, grout, or washers and leveling nuts
2. Plates in high-strength bolted connections

No holes shall be made in members unless the holes are shown on the plans or are approved in writing by the Engineer.

Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be complete joint penetration (CJP) groove welds. In addition, longitudinal seam welds on structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.

Steel members used for overhead sign structures shall receive nondestructive testing (NDT) in conformance with AWS D1.1 and the following:

1.

Weld Location	Weld Type	Minimum Required NDT
Splice welds around the perimeter of tubular sections, poles, and arms.	CJP groove weld with backing ring	100% UT[a] or RT[b]
Longitudinal seam welds	CJP or PJP[c] groove weld	Random 25% MT[d]
Longitudinal seam welds within 150 mm of a circumferential splice.	CJP groove weld	100% UT or RT
Welds attaching base plates, flange plates, or pole or mast arm plates, to poles or arm tubes.	CJP groove weld with backing ring and reinforcing fillet	t> 4.5 mm: 100%UT and MT t< 4.5 mm: 100% MT after root weld pass & final weld pass t = pole or arm thickness
	External (top) fillet weld for socket-type connections	100% MT

[a] ultrasonic testing
[b] radiographic testing
[c] partial joint penetration
[d] magnetic particle testing

2. The acceptance and repair criteria for UT of welded joints where any of the members are less than 8 mm thick or where tubular sections are less than 325 mm in diameter shall conform to the requirements in AWS D1.1, Section 6.13.3.1. A written procedure approved by the Engineer shall be used when performing this UT. These written procedures shall conform to the requirements in AWS D1.1, Annex K. The acceptance and repair criteria for other welded joints receiving UT shall conform to the requirements in AWS D1.1, Section 6, Table 6.3 for cyclically loaded nontubular connections.
3. The acceptance and repair criteria for radiographic or real time image testing shall conform to the requirements of AWS D1.1 for tensile stress welds.
4. For longitudinal seam welds, the random locations for NDT will be selected by the Engineer. The cover pass shall be ground smooth at the locations to be tested. If repairs are required in a portion of a tested weld, the repaired portion shall receive NDT, and additional NDT shall be performed on untested portions of the weld. The additional NDT shall be performed on 25 percent of that longitudinal seam weld. After this additional NDT is performed and if more repairs are required, then that entire longitudinal seam weld shall receive NDT.

Circumferential welds and base plate to post welds may be repaired only one time without written permission from the Engineer.

Full compensation for furnishing anchor bolt templates and for testing of welds shall be considered as included in the contract price paid per kilogram for furnish sign structure, and no additional compensation will be allowed therefor.

10-1.79 ROADSIDE SIGNS

Roadside signs shall be furnished and installed at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-2, "Roadside Signs," of the Standard Specifications and these special provisions.

The Contractor shall furnish roadside sign panels in conformance with the provisions in "Furnish Sign" of these special provisions.

Wood posts shall be pressure treated after fabrication in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," of the Standard Specifications and AWPA Use Category System: UC4A, Commodity Specification A or B.

Type N, Type P, and Type R marker panels mounted on a post with a roadside sign shall be considered to be sign panels and will not be paid for as markers.

10-1.80 INSTALL SIGN PANEL ON EXISTING FRAME

Sign panels shall be installed on existing frames at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-1.06, "Sign Panels and Fastening Hardware," of the Standard Specifications and these special provisions.

The Contractor shall furnish sign panels in conformance with the provisions in "Furnish Sign" of these special provisions.

Existing sign panels, as shown on the plans, shall be removed and salvaged or removed and disposed of as provided in Section 15, "Existing Highway Facilities," of the Standard Specifications.

Installing sign panels on existing frames will be measured by the square meter and the quantity to be paid for will be the total area, in square meters, of sign panels installed in place.

The contract price paid per square meter for install sign panel on existing frame shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing sign panels on existing frames, complete in place (including removing, salvaging, and disposing of existing sign panels), as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.81 FURNISH SIGN

Signs shall be fabricated and furnished in accordance with details shown on the plans, the Traffic Sign Specifications, and these special provisions.

Traffic Sign Specifications for California sign codes are available for review at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm

Traffic Sign Specifications for signs referenced with Federal MUTCD sign codes can be found in Standard Highway Signs Book, administered by the Federal Highway Administration, which is available for review at:

http://mutcd.fhwa.dot.gov/ser-shs_millennium.htm

Information on cross-referencing California sign codes with the Federal MUTCD sign codes is available at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm

Temporary or permanent signs shall be free from blemishes that may affect the serviceability and detract from the general sign color and appearance when viewing during daytime and nighttime from a distance of 8 m. The face of each finished sign shall be uniform, flat, smooth, and free of defects, scratches, wrinkles, gel, hard spots, streaks, extrusion marks, and air bubbles. The front, back, and edges of the sign panels shall be free of router chatter marks, burns, sharp edges, loose rivets, delaminated skins, excessive adhesive over spray and aluminum marks.

QUALITY CONTROL FOR SIGNS

The requirements of "Quality Control for Signs" in this section shall not apply to construction area signs.

No later than 14 days before sign fabrication, the Contractor shall submit a written copy of the quality control plan for signs to the Engineer for review. The Engineer will have 10 days to review the quality control plan. Sign fabrication shall not begin until the Engineer approves the Contractor's quality control plan in writing. The Contractor shall submit to the Engineer at least 3 copies of the approved quality control plan. The quality control plan shall include, but not be limited to the following requirements:

A. Identification of the party responsible for quality control of signs,
B. Basis of acceptance for incoming raw materials at the fabrication facility,
C. Type, method and frequency of quality control testing at the fabrication facility,

D. List (by manufacturer and product name) of process colors, protective overlay film, retroreflective sheeting and black non-reflective film,
E. Recommended cleaning procedure for each product, and
F. Method of packaging, transport and storage for signs.

No legend shall be installed at the project site. Legend shall include letters, numerals, tildes, bars, arrows, route shields, symbols, logos, borders, artwork, and miscellaneous characters. The style, font, size, and spacing of the legend shall conform to the Standard Alphabets published in the FHWA Standard Highway Signs Book. The legend shall be oriented in the same direction in accordance with the manufacturer's orientation marks found on the retroreflective sheeting.

On multiple panel signs, legend shall be placed across joints without affecting the size, shape, spacing, and appearance of the legend. Background and legend shall be wrapped around interior edges of formed panel signs as shown on plans to prevent delamination.

The following notation shall be placed on the lower right side of the back of each sign where the notation will not be blocked by the sign post or frame:

A. PROPERTY OF STATE OF CALIFORNIA,
B. Name of the sign manufacturer,
C. Month and year of fabrication,
D. Type of retroreflective sheeting, and
E. Manufacturer's identification and lot number of retroreflective sheeting.

The above notation shall be applied directly to the aluminum sign panels in 6-mm upper case letters and numerals by die-stamp and applied by similar method to the fiberglass reinforced plastic signs. Painting, screening, or engraving the notation will not be allowed. The notation shall be applied without damaging the finish of the sign.

Signs with a protective overlay film shall be marked with a dot of 10 mm diameter. The dot placed on white border shall be black, while the dot placed on black border shall be white. The dot shall be placed on the lower border of the sign before application of the protective overlay film and shall not be placed over the legend and bolt holes. The application method and exact location of the dot shall be determined by the manufacturer of the signs.

For sign panels that have a minor dimension of 1220 mm or less, no splice will be allowed in the retroreflective sheet except for the splice produced during the manufacturing of the retroreflective sheeting. For sign panels that have a minor dimension greater than 1220 mm, only one horizontal splice will be allowed in the retroreflective sheeting.

Unless specified by the manufacturer of the retroreflective sheeting, splices in retroreflective sheeting shall overlap by a minimum of 25 mm. Splices shall not be placed within 50 mm from edges of the panels. Except at the horizontal borders, the splices shall overlap in the direction from top to bottom of the sign to prevent moisture penetration. The retroreflective sheeting at the overlap shall not exhibit a color difference under the incident and reflected light.

Signs exhibiting a significant color difference between daytime and nighttime shall be replaced immediately.

Repairing sign panels will not be allowed except when approved by the Engineer.

The Department will inspect signs at the Contractor's facility and delivery location, and in accordance with Section 6, "Control of Materials," of the Standard Specifications. The Engineer will inspect signs for damage and defects before and after installation.

Regardless of kind, size, type, or whether delivered by the Contractor or by a common carrier, signs shall be protected by thorough wrapping, tarping, or other methods to ensure that signs are not damaged by weather conditions and during transit. Signs shall be dry during transit and shipped on palettes, in crates, or tier racks. Padding and protective materials shall be placed between signs as appropriate. Finished sign panels shall be transported and stored by method that protects the face of signs from damage. The Contractor shall replace wet, damaged, and defective signs.

Signs shall be stored in dry environment at all times. Signs shall not rest directly on the ground or become wet during storage. Signs, whether stored indoor or outdoor, shall be free standing. In areas of high heat and humidity signs shall be stored in enclosed climate-controlled trailers or containers. Signs shall be stored indoor if duration of the storage will exceed 30 days.

Screen processed signs shall be protected, transported and stored as recommended by the manufacturer of the retroreflective sheeting.

When requested, the Contractor shall provide the Engineer test samples of signs and materials used at various stages of production. Sign samples shall be 300 mm x 300 mm in size with applied background, letter or numeral, and border strip.

The Contractor shall assume the costs and responsibilities resulting from the use of patented materials, equipment, devices, and processes for the Contractor's work.

SHEET ALUMINUM

Alloy and temper designations for sheet aluminum shall be in accordance with ASTM Designation: B209.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the sheet aluminum.

Sheet aluminum shall be pretreated in accordance to ASTM Designation: B449. Surface of the sheet aluminum shall be cleaned, deoxidized, and coated with a light and tightly adherent chromate conversion coating free of powdery residue. The conversion coating shall be Class 2 with a mass between 108 mg/m^2 and 377 mg/m^2, and an average mass of 269 mg/m^2. Following the cleaning and coating process, the sheet aluminum shall be protected from exposure to grease, oils, dust, and contaminants.

Sheet aluminum shall be free of buckles, warps, dents, cockles, burrs, and defects resulting from fabrication.

Base plate for standard route marker shall be die cut.

RETROREFLECTIVE SHEETING

The Contractor shall furnish retroreflective sheeting for sign background and legend in accordance with ASTM Designation: D4956 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Retroreflective sheeting shall be applied to sign panels as recommended by the retroreflective sheeting manufacturer without stretching, tearing, and damage.

Class 1, 3, or 4 adhesive backing shall be used for Type II, III, IV, VII, VIII, and IX retroreflective sheeting. Class 2 adhesive backing may also be used for Type II retroreflective sheeting. The adhesive backing shall be pressure sensitive and fungus resistant.

When the color of the retroreflective sheeting determined from instrumental testing is in dispute, the Engineer's visual test will govern.

PROCESS COLOR AND FILM

The Contractor shall furnish and apply screened process color, non-reflective opaque black film, and protective overlay film of the type, kind, and product that are approved by the manufacturer of the retroreflective sheeting.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the screened process color, non-reflective opaque black film, and protective overlay film.

The surface of the screened process color shall be flat and smooth. When the screened process colors determined from the instrumental testing in accordance to ASTM Designation: D4956 are in dispute, the Engineer's visual test will govern.

The Contractor shall provide patterns, layouts, and set-ups necessary for the screened process.

The Contractor may use green, red, blue, and brown reverse-screened process colors for background and non-reflective opaque black film or black screened process color for legend. The coefficient of retroreflection for reverse-screened process colors on white retroreflective sheeting shall not be less than 70 percent of the coefficient of retroreflection specified in ASTM Designation: D4956.

The screened process colors and non-reflective opaque black film shall have the same outdoor weatherability as that of the retroreflective sheeting.

After curing, screened process colors shall withstand removal when tested by applying 3M Company Scotch Brand Cellophane Tape No. 600 or equivalent tape over the color and removing with one quick motion at 90°angle.

SINGLE SHEET ALUMINUM SIGN

Single sheet aluminum signs shall be fabricated and furnished with or without frame. The Contractor shall furnish the sheet aluminum in accordance to "Sheet Aluminum" of these special provisions. Single sheet aluminum signs shall be fabricated from sheet aluminum alloy 6061-T6 or 5052-H38.

Single sheet aluminum signs shall not have a vertical splice in the sheet aluminum. For signs with depth greater than 1220 mm, one horizontal splice will be allowed in the sheet aluminum.

Framing for single sheet aluminum sign shall consist of aluminum channel or rectangular aluminum tubing. The framing shall have a length tolerance of +3 mm. The face sheet shall be affixed to the frame with rivets of 5-mm diameter. Rivets shall be placed within the web of channels and shall not be placed less than 13 mm from edges of the sign panels. Rivets shall be made of aluminum alloy 5052 and shall be anodized or treated with conversion coating to prevent corrosion. The exposed portion of rivets on the face of signs shall be the same color as the background or legend where the rivets are placed.

Finished signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the detailed dimensions.

Aluminum channels or rectangular aluminum tubings shall be welded together with the inert gas shielded-arc welding process using E4043 aluminum electrode filler wires as shown on the plans. Width of the filler shall be equal to wall thickness of smallest welded channel or tubing.

FIBERGLASS REINFORCED PLASTIC PANEL SIGN

The Contractor shall furnish fiberglass reinforced plastic panel sign in accordance with ASTM Designation: D3841 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Fiberglass reinforced plastic shall be acrylic modified and ultraviolet stabilized for outdoor weatherability. The plastic shall contain additives designed to suppress fire ignition and flame propagation. When tested in accordance with the requirements in the ASTM Designation: D635, the extent of burning shall not exceed 25 mm.

Fiberglass reinforced plastic shall be stabilized to prevent the release solvents and monomers. The front and back surfaces of the laminate shall be clean and free of constituents and releasing agents that can interfere with the bonding of retroreflective sheeting.

The fiberglass reinforced plastic panel sign shall be weather resistant Grade II thermoset polyester laminate.

The fiberglass reinforced plastic panels shall be minimum 3.4 mm thick. Finished fiberglass reinforced plastic panel signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the specified dimensions.

Color of fiberglass reinforced plastic panels shall be uniform gray within Munsel range of N7.5 to N8.5.

Fiberglass reinforced plastic panels shall be cut from a single piece of laminate. Bolt holes shall be predrilled. The predrilled bolt holes, panel edges, and the front and back surfaces of the panels shall be true and smooth. The panel surfaces shall be free of visible cracks, pinholes, foreign inclusions, warping and wrinkles that can affect performance and serviceability.

FORMED PANEL SIGN

Formed panel signs shall be fabricated from one continuous sheet aluminum alloy 5052-H32 of 1.6-mm thickness. The Contractor shall furnish sheet aluminum as provided in "Sheet Aluminum" of these special provisions.

The aluminum frame shall be affixed to the panel with aluminum rivets through the face of the sign panels. Color of the exposed portion of the rivets shall be the same color as the sign background or legend on which the rivets are placed.

The face of finished formed panel sign shall be flat with a tolerance of 10 mm per meter when measured across the plane of each panel in all directions.

The Contractor shall furnish mounting hardware for roadside and overhead formed panel signs. Hardware for the overhead formed panel signs shall include bolts, nuts, and washers.

The length and depth of the overhead formed panel signs shall be within ±2 mm of the detailed dimension.

The formed edges of the overhead panel signs shall be square. The mounting holes shall be straight and perpendicular to the front and back surfaces of the formed edges at the spacing shown on the plans. Holes that are improperly spaced and placed at the wrong angle will be rejected.

MEASUREMENT AND PAYMENT

Furnishing signs (except for construction area signs) will be measured by the square meter and the quantity to be paid for will be the total area, in square meters, of the sign panel types installed in place.

The contract price paid per square meter for furnish sign of the types specified in the Engineer's estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in fabricating and furnishing the signs, including fastening hardware, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.82 PAINT POST MILE MARKINGS ON MEDIAN BARRIER

Post mile markings shall be painted on median barrier at locations shown on the plans in conformance with the provisions in Section 59-6, "Painting Concrete," of the Standard Specifications and these special provisions.

The post mile markings shall be painted at 0.1-mile intervals with black numerals on a painted white rectangular background. The numerals for whole miles shall be 200 mm tall, and the numerals for the decimal tenths shall be 100 mm tall. The top of the decimal numeral shall be aligned with the top of the whole numeral. The decimal numeral shall also be underline.

There should be a minimum 25 mm border of white paint around the numerals. The top of the white rectangle shall be 100 mm below the top of the barrier.

The quantity of paint post mile markings will be measured as units determined from count in place.

The contract unit price paid for paint post mile markings shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and placing pavement markers, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

10-1.83 ALTERNATIVE PIPE

Alternative pipe culverts must comply with Section 62, "Alternative Culverts," of the Standard Specifications.

Concrete backfill for alternative culverts shall be constructed in conformance with the provisions in Section 66-1.045, "Concrete Backfill," of the Standard Specifications and will be measured and paid for in conformance with the provisions in Section 66-4, "Measurement and Payment," of the Standard Specifications and the following:

A. The quantity of concrete backfill to be paid for, regardless of the kind of culvert and wall thickness of the culvert installed, will be based on the dimensions shown on the plans and the installation of corrugated steel pipe.

10-1.84 PLASTIC PIPE

Plastic pipe shall conform to the provisions in Section 64, "Plastic Pipe," of the Standard Specifications.

10-1.85 REINFORCED CONCRETE PIPE

Reinforced concrete pipe shall conform to the provisions in Section 65, "Reinforced Concrete Pipe," of the Standard Specifications and these special provisions.

GENERAL

Where embankment will not be placed over the top of the pipe, a relative compaction of not less than 85 percent shall be required below the pipe spring line for pipe installed using Method 1 backfill in trench, as shown on Standard Plan A62D. Where the pipe is to be placed under the traveled way, a relative compaction of not less than 90 percent shall be required unless the minimum distance between the top of the pipe and the pavement surface is the greater of 1.2 meters or one half of the outside diameter of the pipe.

Except as otherwise designated by classification on the plans or in the specifications, joints for culvert and drainage pipes shall conform to the plans or specifications for standard joints.

MATERIALS

The concrete for reinforced concrete pipe must contain not less than 280 kg of cementitious material per cubic meter with a water–cementitious material ratio not to exceed 0.35 by weight. Supplementary cementitious material is optional. Reinforcement shall have a minimum cover of 25 mm.

10-1.86 JACKED PIPE

This work consists of furnishing and installing or constructing jacked pipe using pipe jacking or auger boring methods.

Definitions

Pipe Jacking: a trenchless construction method for installing a prefabricated pipe through the ground behind a shield or a tunnel-boring machine from a drive shaft to a reception shaft.

Auger boring: a trenchless construction method for installing a steel casing pipe through the ground jacked behind a rotating cutterhead of an auger boring machine from a drive shaft to a reception shaft. A carrier pipe of any suitable material may be installed inside the jacked casing.

Submittals

The Engineer must review the submittals within 15 days of receipt. If the submittals are determined to be incomplete, you must re-submit completed submittals within 5 days and the Engineer subsequently must return comments back to you within 10 days from the reception date of the latest submission. Submittal format must be a three-ring binder, divided into sections listed below with the identified information. Jacked pipe work must not begin prior to acceptance of a complete submittal package. Required submittals include:

1. Manufacturers' data sheets and specifications describing in detail the pipe jacking or auger boring system including the equipment manufacturer's information for all power equipment to be used in the installation. Include the machine, jacking frame, and all ancillary equipment.
2. Detailed description of similar projects with references on which the proposed system had been successfully used by your or your operator.
3. Description of method to remove and dispose of spoil including location of disposal sites and sample log of maintaining the volume of spoil material removal relative to the advancement of the casing (e.g.: "Inject water inside the casing to facilitate spoil removal. The point of injection must be no closer than 610 mm from the leading edge of the casing"). Power distribution system and spoil removal capability must be identified.

4. Description of the grade and alignment control system and indication of where the leading edge of the casing is located with respect to line and grade and the intervals for checking line and grade. Include manufacturer's literature and drawings showing setup, support provisions, and other details for the water level and laser or theodolite systems. Indication may be provided by using a water gauge (Dutch level) or electronic transmitting and receiving devices. Other methods must have prior approval.
5. Description of surveying methods to confirm positions during construction. Include a description of methods to ensure that thrust block, guide rails, and jacking frame are installed on proper line and grade. Submit results of line and grade survey to ensure that the thrust block, jacking frame, and guide rails are installed properly prior to launch of each drive. Confirm that these systems can achieve the required pipeline line and grade within the specified tolerances. Provide sample of maintaining a record of the progress.
6. Method for centering the cutting head inside the borehole.
7. Calculations: Submit calculations in a neat, legible format. Assumptions used in calculations must be consistent with information provided in the Geotechnical Investigation Report. All calculations must be prepared by a professional engineer licensed in the State of California, who stamps and signs calculations.

    7.1. Design calculations demonstrating that the proposed jacking pipe is capable of supporting the maximum stresses to be imposed during jacking. The calculations must take into account earth and hydrostatic loads, jacking forces, external loads such as live loads due to traffic, and any other loads that may be reasonably anticipated during jacking. All loads must be shown and described. Include assumed maximum drive length. Additionally, provide an estimate of the maximum jacking force expected to complete the drive, accounting for both face pressures and frictional resistance along the pipe string.

    7.2. Calculations demonstrating that the soils behind the thrust block can transfer the maximum planned jacking forces exerted by the main jacks to the ground during pipe installation with a factor of safety of at least 2.0, without excessive deflection or displacement.

8. Method for preventing voids and methods for grouting.
9. Shaft specification including: dimensions, locations, surface construction, profile, depth, method of excavation, shoring, bracing, and thrust block design. Include design and construction of jacking and receiving pits, special requirements for jacking and receiving pit penetrations, thrust blocks, backstops or other reactions required for casing pipe jacking or any other jacking, full calculations supporting maximum jacking capacity that jacking pit will withstand without movement exceeding 12.5 mm with an appropriate factor of safety, methods to control and dispose of ground water, areas for storage, ground stabilization if required, backfilling and other materials encountered in the maintenance and construction of pits and shafts. Submit jacking shaft layout drawings detailing dimensions and locations of all equipment, including overall work area boundaries. Show that all equipment and operations are completely contained within the allowable work areas.
10. Pipe design data and specifications required to withstand jacking pressure.
11. Intermediate jacking station locations and design.
12. Description of lubrication injection system (i.e., bentonite slurry or other approved technique). Include manufacturer's literature and MSDS sheets. Include a description of proposed lubrication procedures during jacking, including estimated volumes of lubricant that will be pumped.
13. Layout plans and description of operational sequence including restoration of excavated areas.
14. A detailed plan for monitoring ground surface movement (settlement or heave) due to the jacking operation. The plan must address the method, locations, and frequency of survey measurement outlined under this special provision. At minimum, the plan must measure the ground movement of all structures, roadways, parking lots, and any other areas of concern within the calculated settlement trough of all jacked pipelines and include a description of how settlements will be monitored and excessive settlement will be avoided and contingency plan to mitigate any excessive settlement. Perform a pre-construction survey, accompanied by the Engineer, to document pre-construction conditions. Provide pre-construction and post-construction assessment for building and structures located within a distance of 30 meters from centerline of proposed pipeline, and jacking and receiving pits. Include photographs or a video of existing damage to structures near alignment. Plans and working drawings for jacking operations must be prepared and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California.
15. Contingency plans for approval for the following potential conditions: damage to pipeline structural integrity and repair; loss and return to line and grade; and loss of ground, boring machine becoming stuck, inoperable or if an obstruction or utility is encountered, jacking forces increase dramatically or suddenly, jacking forces reach design capacity of pipe, jacking frame, or thrust block, grade tolerances exceeded and measures required if storm is anticipated.

16. Procedures to meet all applicable OSHA requirements. These procedures must be submitted for a record purpose only and will not be subject to approval by the Engineer. At a minimum, provide:

    16.1. Protection against soil instability and ground water inflow.
    16.2. Safety for shaft access and exit, including ladders, stairs, walkways, and hoists.
    16.3. Protection against injury from mechanical and hydraulic equipment operations, and for lifting and hoisting equipment and material.
    16.4. Ventilation and lighting.
    16.5. Monitoring for hazardous gases.
    16.6. Protection against flooding and means for emergency evacuation.
    16.7. Protection of shaft, including traffic barriers, accidental or unauthorized entry, and falling objects.
    16.8. Emergency protection equipment.
    16.9 Safety supervising responsibilities.

17. Samples of Daily Jacking records.
18. Flow diversion plan showing the intended work, any calculations supporting the sizing of the system, and a schedule indicating the duration of the flow diversion.

Quality Control and Assurance

A representative who is thoroughly knowledgeable of the equipment and boring procedures must be present at the job site during the entire installation and available to address immediate concerns and emergency operations. Notify the Engineer 48 hours in advance of starting work. Do not begin the installation until the Engineer is present at the job site and agrees that proper preparations have been made.

Pre-Construction Conference: After all submittals are submitted and approved, a pre-construction conference will be held. The above mentioned representative and any other involved parties must attend. The Engineer will schedule the pre-construction conference at a mutually agreed time and place.

Subsurface monitoring: An independent Instrumentation Specialist must install and monitor settlement monitoring points. The survey accuracy of the settlement monitoring points must be within 3 mm. Evaluate settlements using methods developed by Birger Schimdt and Peck (1969), Bennett (1998), or equivalent that are approved by the Engineer.

Subsurface monitoring points must be installed at 1.5 m and 3 m above the crown of the proposed tunnel near the jacking shaft, above utilities, and on shoulders of roadways, before proceeding under critical locations. Additional points at non-critical locations must be monitored to gain an early indication of workmanship. Additional surface settlement monitoring points may be used to supplement subsurface points. Surface settlement points must be checked hourly when heading is within 7.6 m, otherwise daily. If 6.3 to 12.5 mm settlement is detected, corrective action must be taken, including but not limited to: filling voids with grout, limiting the radial overcut or filling the annulus with bentonite lubricant during tunneling. Changes in means and methods may be appropriate and should be considered, such as position of cutting tools with respect to leading edge of casing and discontinuing use of high pressure cutting nozzles or jets etc.

Surface settlement points located in traffic lanes must be checked before and after tunneling. If 6.3 mm settlement is detected, corrective action must be taken, including but not limited to: filling voids with grout. Monitoring points must be surveyed prior to tunneling to establish the baseline.

Product Locating and Tracking: Install all facilities such that their location can be readily determined by electronic designation after installation. For non-conductive installations, attach a minimum of two separate and continuous conductive tracking (tone wire) materials, either externally, internally, or integral with the product. Use either a continuous green sheathed solid conductor copper wire line (minimum #12 AWG for external placement or minimum #14 AWG for internal placement in the conduit/casing) or a coated conductive tape. Ensure that conductors are located on opposite sides when installed externally. Connect any break in the conductor line before construction with an electrical clamp or solder, and coat the connection with a rubber or plastic insulator to maintain the integrity of the connection from corrosion.

Clamp connections must be made of brass or copper and of the butt end type with wires secured by compression. Soldered connections must be made by tight spiral winding of each wire around the other with a finished length minimum of 76 mm overlap. Tracking conductors must extend 610 mm beyond bore termini. Conductors must be tested for continuity. Identify each conductor that passes by removing the last 150 mm of the sheath. No deductions are allowed for failed tracking conductors. Failed conductor ends must be wound into a small coil and left attached for future use.

MATERIALS

Select materials approved for installation based on their suitability for the construction method as defined in Table A provided in this special provision. After determining product suitability, individual material standards as contained in Table B in this special provision apply. Unless otherwise tested and approved by the State, only use encasement pipe or uncased carrier pipe material that is new and has smooth interior and exterior walls and straight outside pipe walls with no bell modification.

Table A
Product Suitability by Construction Method

Type	Pipe/Casing Installation Mode	Suitable Pipe/Casing
Pipe Jacking	Jacking	Steel, RCP, FRPM
Auger Boring	Jacking	Steel

Table B
Material Standards

Material Type	Non-Pressure	Pressure
Fiberglass Reinforced Polymer Mortar (FRPM)	ASTM D 3262-04	ASTM D 3517 AWWA C950
Reinforced Concrete Pipe (RCP)	AASHTO M 170	AASHTO M 170
Steel	ASTM A139 Grade B [a] API 2B [b]	AWWA C200 API 2B(2)
[a] No hydrostatic test required [b] Dimensional tolerances only		

Steel Pipe Casing and Welds

In addition to meeting or exceeding the standards referenced in Table B in these special provisions, steel pipe casing must meet the following requirements:

1. The size of the steel casing must be at least 150 mm larger than the largest outside diameter of the carrier.
2. The casing pipe must be spiral or straight seam welded pipe or seamless pipe.
3. All steel pipe may be bare inside and out, with the manufacturer's recommended minimum nominal wall thicknesses to meet the greater of either installation, loading or carrier requirements.
4. All steel casing pipe must be square cut and have dead-even lengths, which are compatible with the pipe jacking or auger boring equipment.

Use steel pipe casings that meet or exceed the following minimum wall thickness requirements:

Minimum Wall Thickness

Casing Diameter	Up to 45.7 meters length	Over to 45.7 meters length
150 mm - 710 mm	6.3 mm	6.3 mm
762 mm - 965 mm	9.5 mm	12.5 mm
1016 mm – 1524 mm	12.5 mm	19 mm
1575 mm – 1829 mm	19 mm	19 mm

Steel Pipe Couplings and Joints

In addition to meeting or exceeding the standards referenced in Table B of these special provisions, to minimize potential for bore failure, couplings must be flush and must not project at right angles from the casing diameter. Pipe lengths may be joined by a mechanical press fit design with no internal or external bells (use Permalok couplings or equivalent) or field welding in conformance with the provisions of Section 49.5.02B, "Splicing," of the Standard Specifications. Joints must be airtight and continuous over the entire circumference of the pipe with a bead equal to or exceeding the minimum thickness criteria in these special provisions. A qualified welder must perform all welding. When Permalok (or equivalent) couplings are used the casing joints do not need to be welded. Welded couplings must have a full bead weld such that the thickness,

when measured at an angle of 45 degrees to the casing and coupling interface, must be no less than the casing thickness. It is your responsibility to provide stress transfer across the joints, which is capable of resisting the jacking forces involved.

Reinforced Concrete Pipe Casing

In addition to meeting or exceeding the standards referenced in Table B, reinforced concrete pipe must meet the following requirements:

1. Concrete must be 34.5 MPa compressive strength, minimum.
2. Multiple layers of steel reinforcing cages, wire splices, laps and spacers must be permanently secured together by welding in place.
3. Do not use elliptical reinforcing steel.
4. Joints must be gasket type with flush bell.
5. Include additional joint reinforcement.

Slurry Fluids

Use a mixture of bentonite clay and potable water with a minimum pH of 6.0 with other additives subject to Engineer's approval to create the drilling fluid for soil stabilization. Fluid viscosity must be varied to best fit the soil conditions encountered. Other chemicals or polymer surfactant in the drilling fluid must not be used without written consent of the Engineer. You must certify in writing to the Engineer that any chemicals to be added are environmentally safe and not harmful or corrosive to the facility. Identify the source of water for mixing the drilling fluid. Approvals and permits are required for obtaining water from such sources as streams, rivers, ponds or fire hydrants. Any water source used other than potable water may require a pH test.

CONSTRUCTION

Water Pollution Control

Attention is directed to Section 7-1.01 G, "Water Pollution," of the Standard Specifications and these special provisions regarding handling and disposal of wastewater generated by the work. Wastewater resulting from the work must not be allowed to enter the waterway and must be disposed of outside the highway right of way in accordance with Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Site Preparation

Excavation for entry, exit, recovery pits, auger slurry sump pits, or any other excavation must comply with Section 19-2, "Roadway Excavation," of the Standard Specifications and these special provisions. Attention is directed to Section 5-1.02A, "Excavation Safety Plans," of the Standard Specifications.

Unless approved by the Engineer, sump pits are required to contain auger fluids if vacuum devices are not operated throughout the boring operation.

Bore and receiving pits must be protected by placement of 1.8 m chain link fence and temporary railing (Type K) and must be shored in accordance to Cal-OSHA requirements. Shoring materials of pits located within 4.6 m of the traveled way must not extend more than 910 mm height above the pavement grade. Reflectors must be affixed to the shoring on all sides facing traffic. Any shoring or temporary railing within 14.6 m of the traveled way must be protected with a temporary crash cushion. All pits must have crushed-rock or concrete slabs and sump areas to clear groundwater and water used to clean casings. Pits must be lined with filter fabric when groundwater is found and pumping is required in accordance with "Flow Control" of these special provisions.

Alignment and Grade Tolerances

Variations from planned alignment must not exceed 2.0% of the distance from the jacking point. Variations from planned grade must not exceed 0.2% of the distance from the jacking point.

Pipe Jacking Operations (includes auger boring)

Ensure that pits and shafts are of sufficient size to accommodate equipment, the pipe selected, and to allow for safe working practices. Use thrust blocks designed to distribute loads in a uniform manner so that any deflection of the thrust block is uniform and does not impart excessive loads on the shaft itself or cause the jacking frame to become misaligned.

The jacking system must have the capability of pushing the pipe for pipe jacking operations through the ground in a controlled manner and be compatible with the anticipated jacking loads and pipe capacity. Monitor the jacking force applied to the pipe and do not exceed the pipe manufacturer's recommendations.

Ensure that the pipe lubrication system is functional at all times and sufficient to reduce jacking loads. Use pipe lubrication systems and pumps to convey lubricant to application points. Maintain sufficient fluids on site to avoid loss of lubrication.

Once jacking operation has commenced, it must continue uninterrupted until the pipe has been jacked between the specified limits. This requirement may be modified if you submit to the Engineer for prior approval methods and details that will prevent the "freezing" of the pipe and ensure that the heading is stable at all times. The driving ends of the pipe must be properly protected against spalling and other damage. Any section of pipe showing failure must be, at the option of the Engineer, repaired or removed and replaced with a new section that is adequate to the carry loads imposed upon it, at your expense.

Auger Boring Operations

Auger and casing must be pushed simultaneously. The rear of the cutting head must not advance in front of the leading edge of the casing by more than 1/3 times the casing diameter. In stable cohesive conditions the rear of the cutting head must not advance in front of the leading edge of the casing by more than 200 mm.

In unstable conditions, such as granular soil, loose or flowable materials, the cutting head must be retracted into the casing a distance that permits a balance between pushing pressure, pipe advancement and soil conditions. A suitable band welded around the leading edge of the casing must be installed to provide additional strength in loose unstable materials when the cutting head has been retracted into the casing to reduce skin friction and to provide a method for the slurry lubricant to coat the outside of the casing.

If wing cutters are used, a maximum excavation of 25 mm to the outside diameter of the steel casing will be permitted. Voids in excess must be grouted.

Provide at least 6 meters of full diameter auger at the leading end of the casing. Subsequent auger size may be reduced, but the reduced auger diameter must be at least 75% of the full auger diameter. The length of auger strand must be equal to that of the section of steel casing.

Do not leave conduit open ended without approval of the Engineer to prevent the conduit from acting as a drainage structure prior to finished installation.

Auger boring equipment selected for the project must be suitable for and capable of efficiently advancing through the geologic conditions described in the Geotechnical Report. The machine must be capable of excavating cobbles or boulders or other objects up to 30% of the outside diameter of the augers.

Upon completion of the jacking operations, all voids generated in the course must be filled with grout. Grout ports, when placed inside of the pipe, must include predrilled grout holes filled with threaded fittings placed on 2.4 meters centers longitudinally and offset 22 degrees from vertical, and staggered to the left and right of the top longitudinal axis of the pipe. Upon completion of grouting, holes must be plugged with steel or PVC caps.

Upon installation, the Engineer may, at his discretion, require you to perform concrete wiping or injection of the joints if it is believed the joints have not maintained their water tightness during the jacking operation. No additional payment will be made for this operation.

Excess Material and Fluids

Monitor the pumping rate, pressures, viscosity and density of the boring fluids to ensure adequate removal of soil cuttings and the stability of the borehole. Contain excess drilling fluids, slurry and soil cuttings at entry and exit points in pits until they are recycled or removed from the site.

Ensure that all boring fluids are disposed of or recycled in a manner acceptable to the appropriate local, state or federal regulatory agencies. When jacking and boring in suspected contaminated ground, test the boring fluid for contamination and dispose of appropriately. Remove any excess material upon completion of the bore. If you suspect or it becomes evident that the soil is contaminated, contact the Engineer immediately. Do not continue boring without the Engineer's approval.

Boring Failure

If an obstruction is encountered which prevents completion of the installation in accordance with the design location and specifications; the pipe may be taken out of service and left in place at the discretion of the Engineer. Immediately fill the product left in place with controlled low strength material. Submit a new installation procedure and revised plans to the Engineer for approval before resuming work at another location. If damage is observed to any property, cease all work until a plan of action to minimize further damage and restore damaged property is submitted and approved by the Engineer. If conditions warrant removal of any materials installed in a failed bore path, as determined by the Engineer, it will be at no cost to the State.

In-Place Testing

When there is any indication that the installed product has sustained damage and may leak, stop the work, notify the Engineer, and investigate the damage. The Engineer may require a hydrostatic pressure test and reserves the right to be present during the test. Perform the hydrostatic pressure test within 24 hours unless otherwise approved by the Engineer and furnish a copy of the test results to the Engineer for review and approval. The Engineer must be allowed up to 72 hours to approve or determine if the product installation is not in compliance with specifications.

The test for a soil tight joint must allow up to 0.4 liters of water leakage at a sustained pressure of 0.014 MPa for one hour.

Finished Installation Inspection

Either a human or a CCTV inspection must be made of the finished jacked pipe installation. A copy of the recording must be given to the Engineer prior to project acceptance. Format of the video must be VHS or MPEG. For human entry inspections, a written log with photographs of any problem spots and notation of location must be given to the Engineer prior to project acceptance.

Documentation Requirements

Submit the following documentation to the Engineer:

1. Boring path report: Show the crown elevation, diameter and material type of all utilities located and physically observed during the subsoil investigation. For all other obstructions encountered during subsoil investigation or the installation, show the type of material, horizontal and vertical location, top elevation and lowest elevation observed, and note if the obstruction continues below the lowest point observed.
2. Daily logs and records: Daily logs and records (recorded electronically and manually) must be provided to the Engineer by noon on the day following the shift for which the data or records were taken. Daily logs and records must consist of:

    2.1. Jacking records including at a minimum date, time, name of operator, tunnel drive identification, installed casing/pipe and corresponding tunnel length, rate of advance, jacking forces, volume, and location of any lubricant pumped, problems encountered with the auger or tunnel boring machine or other components or equipment, and durations and reasons for delays. Observations should be recorded at intervals of not less than three times per pipe, as conditions change, and as directed by the Engineer.

    2.2. Lubrication records including the injection locations along the pipe string and amount, in gallons, of lubricant pumped throughout a drive. The records must also include the type of additive used and date, time, and drive distance when used.

Damage Restoration

Damaged State facilities caused by heaving, settlement, separation of pavement, escaping boring fluid (fracout) of the jacking operation must be replaced in-kind or repaired to their original state at no cost to the Department in accordance with Section 7-1.11, "Preservation of Property," of the Standard Specifications.

Site Cleanup

Within 48 hours of completing installation of the boring product, the site must be cleaned of all excess auger fluids or spoils and restored to pre-construction conditions or as identified on the plans. Dispose of excess fluids or spoils as specified in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Restore excavated areas in accordance with the plans approved by the Engineer as part of the submittals required under these special provisions.

MEASUREMENT AND PAYMENT

The length of jacked pipe to be paid for will be the slope length as designated on the plans and confirmed by the Engineer. Jacked pipe placed in excess of the length designated will not be paid for.

The contract price paid per meter for jacked pipe of the various sizes shown on the plans includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing, installing, inspecting and testing, complete in place, including casings, removal of excavated materials and spoils, removal and disposal of drilling fluids, backfilling, tracking conductors (wire or tape), complete restoration of the site, diverting existing stream or groundwater flow, CCTV inspections of and televising finished installation, and disposal of residue from cleaning, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The Department does not pay for failed bore path installations, injection of excavatable flowable fill into failed bore path installations, products taken out of service, or incomplete installations.

No payment will be made until a Bore Path Report has been delivered to and accepted by the Engineer.

10-1.87 CORRUGATED METAL PIPE

Corrugated steel culverts shall conform to the provisions in Section 66, "Corrugated Metal Pipe," of the Standard Specifications and these special provisions.

Asphaltic mastic coating or polymeric sheet coating substituted for bituminous coating shall be placed on the outside and inside surfaces of the pipe.

Corrugated steel pipe shall be fabricated from zinc-coated steel sheet.

300 mm corrugated steel pipe with tee will be measured and paid for as 300 mm corrugated steel pipe. 450 mm Corrugated steel pipe with tee will be measured and paid for as 450 mm Corrugated steel pipe.

10-1.88 UNDERDRAIN

Plastic pipe underdrains and plastic pipe underdrain oulets shall conform to the provisions in Section 68-1, "Underdrains," of the Standard Specifications and these special provisions.

Full compensation for removing the existing underdrain pipes that fall within the area of trench excavation for the new underdrain system shall be considered as included in the contract price paid per meter for the new underdrain pipes and no additional compensation will be allowed therefor.

10-1.89 MISCELLANEOUS FACILITIES

Alternative flared end sections and corrugated steel pipe inlet shall conform to the provisions in Section 70, "Miscellaneous Facilities," of the Standard Specifications.

10-1.90 GRATE LOCKING DEVICE

Grate locking device shall be placed as shown on the plans and in conformance with these special provisions.

Two grate locking devices shall be provided per grate where shown on the plans. Each grate locking device shall consist of a stainless steel saddle clip punched with a 7.9 mm hole to receive a 6 mm stainless steel locking bolt. The locking bolt shall be of sufficient length to securely fasten the grate to the frame per the manufacturer's guidelines.

The grate locking device will be measured by the unit from actual count designated on the plans.

The contract unit price for grate locking device shall include full compensation for furnishing all labor, materials, including locking bolts, tools, equipment, and incidentals and for doing all work involved in installing , complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.91 DRAINAGE INLET MARKER

The Contractor shall furnish and install plastic, or thermoplastic drainage inlet markers in conformance with the details and locations shown on the plans, as specified in these special provisions, and as directed by the Engineer.

The Contractor shall furnish a Certificate of Compliance to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for plastic and thermoplastic drainage inlet markers. In addition, samples of each type of drainage inlet marker shall be submitted to the Engineer 10 days before placement of the markers.

If allowed in the plans, drainage inlet markers shall be either plastic, or thermoplastic at the option of the Contractor. Once a type is selected, the type of drainage inlet marker shall not be changed without written approval from the Engineer.

Plastic drainage inlet markers shall conform to ASTM Designations: G-53 and D-60 and the requirements as follow. The plastic material shall be white with ultraviolet inhibitors. When placed on the vertical face of a concrete curb, the plastic drainage inlet markers shall have a dome-shaped cover consisting of clear and non-yellowing polyurethane cover. Plastic drainage inlet markers shall be cemented to the surface of drainage inlet with adhesives as recommended by the manufacturer of the marker.

Property	Specifications	Requirements
Thickness, mm		0.65 – 1.5
Thickness (with dome), mm		1.4 – 3.0
Legend color (non-reflective)	FHWA's Color Tolerance Chart	Blue or Green (PR Color Number 3 or 4)
Background color (non-reflective)	AASHTO Designation: M249-78	White
Weathering Resistance	ASTM Designation: G-53	1500 hours without yellowing or pit

Thermoplastic drainage inlet markers shall be prefabricated, free of lead and chromium, and conform to AASHTO Designation: M249-79 and the requirements as follow. Thermoplastic drainage inlet markers shall be adhered to the surface of the drainage inlet with adhesives or heat as recommended by the manufacturer of the marker.

Property	Specifications	Requirements
Thickness, mm		2.0 – 4.0
Legend color (non-reflective)	FHWA's Color Tolerance Chart	Blue or Green (PR Color Number 3 or 4)
Background color (non-reflective)	AASHTO Designation: M249-78	White
Skid Resistance	ASTM Designation: E-303	60 BPN

The Contractor shall mechanically clean the surface before placing plastic or thermoplastic drainage inlet markers.

Drainage inlet marker will be measured as units determined from actual count in place.

The contract unit price paid for drainage inlet marker shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing drainage inlet markers, complete in place, as shown on the plans, as specified in the Standard Specifications, and these special provisions, and as directed by the Engineer.

10-1.92 WELDED STEEL PIPE

Welded steel pipe shall conform to the provisions in Section 70, "Miscellaneous Facilities," of the Standard Specifications and these special provisions.

Coating and wrapping will not be required.

10-1.93 SLOPE PROTECTION

Slope protection shall be constructed in conformance with the provisions in Section 72, "Slope Protection," of the Standard Specifications and these special provisions.

Rock slope protection fabric shall be woven or nonwoven type fabric, Type A.

10-1.94 SLOPE PAVING

Slopes under the ends of bridges, where shown on the plans, shall be paved in conformance with the provisions in Section 72-6, "Slope Paving," of the Standard Specifications and these special provisions.

The location of construction joints shall be subject to the approval of the Engineer. Placement of slope paving shall be scheduled so that the work, including placement, finishing, and application of curing, is completed in any section bounded by permissible construction joints on the same day that the work is started in that section.

Areas of slope paving shown on the plans to have a grooved finish shall be scored by dragging a finishing tool over the struck-off surface or by any other means which will result in a surface conforming to the details shown on the plans.

Prior to placing the permanent slope paving, the Contractor shall construct a test panel at least 1.2 m by 1.8 m at the site for approval by the Engineer. The test panel shall be constructed of the same materials as are proposed for the permanent work and shall be finished and cured as specified for the permanent work. Additional test panels shall be constructed as necessary until a panel is produced which conforms to the requirements herein, before constructing other slope paving.

10-1.95 MISCELLANEOUS CONCRETE CONSTRUCTION

Sidewalks, driveways, curb ramps, curbs and gutters, island paving, and minor concrete (miscellaneous construction) shall conform to the provisions in Section 73, "Concrete Curbs and Sidewalks," of the Standard Specifications and these special provisions.

Curb ramp detectable warning surface shall consist of raised truncated domes constructed or installed on curb ramps in conformance with the details shown on the plans and these special provisions. At the option of the Contractor, the detectable

warning surface shall be prefabricated, cast-in-place, or stamped into the surface of the curb ramp. The color of the detectable warning surface shall be yellow conforming to Federal Standard 595B, Color No. 33538.

Prefabricated detectable warning surface shall be in conformance with the requirements established by the Department of General Services, Division of State Architect and be attached in conformance with the manufacturer's recommendations.

Cast-in-place and stamped detectable warning surfaces shall be painted in conformance with the provisions in Section 59-6, "Painting Concrete," of the Standard Specifications.

The finished surfaces of the detectable warning surface shall be free from blemishes.

Prior to constructing the cast-in-place or stamping the detectable warning surface, the Contractor shall demonstrate the ability to produce a detectable warning surface conforming to the details shown on the plans and these special provisions by constructing a 600-mm by 600-mm test panel.

The manufacturer shall provide a written 5-year warranty for prefabricated detectable warning surfaces, guaranteeing replacement when there is defect in the dome shape, color fastness, sound-on-cane acoustic quality, resilience, or attachment. The warranty period shall begin upon acceptance of the contract.

Full compensation for constructing or furnishing and installing curb ramp detectable warning surfaces shall be considered as included in the contract price paid per cubic meter for minor concrete (miscellaneous construction) and no separate payment will be made therefor.

10-1.96 MINOR CONCRETE (MEDIUM BROOM FINISH)

Minor concrete (medium broom finish) shall conform to the provisions in Section 73, "Concrete Curbs and Sidewalks," of the Standard Specifications and these special provisions.

Minor concrete (medium broom finish) shall be uncolored gray concrete.

A sample of the minor concrete (medium broom finish), to demonstrate the sealer, and finish shall be submitted to the Engineer for written approval. The size of sample will be determined by the Engineer.

Minor concrete (medium broom finish) shall not be placed on the project prior to approval by the Engineer of the samples prepared and submitted by the Contractor.

Welded wire fabric, of the size and type shown on the plans and conforming to the provisions in Section 52, "Reinforcement," of the Standard Specifications, shall be placed in the Minor concrete (medium broom finish) areas as shown on the plans.

Aggregate subbase shall be Class 4 and shall conform to the provisions in Section 25, "Aggregate Subbase," of the Standard Specifications.

The concrete for minor concrete (medium broom finish) shall be placed at the locations shown on the plans, struck off and compacted until a layer of mortar is brought to the surface. The concrete shall be screeded to the required grade and cross section and floated to a uniform surface.

Minor concrete (medium broom finish) areas shall be cured by the curing compound method. The curing compound shall be curing compound (6) conforming to the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

Site conditions within the minor concrete (medium broom finish) area may vary. The Contractor shall be responsible for the site preparation of minor concrete (medium broom finish) area. Site preparation shall include, but not be limited to, forming work, sleeving of signs or delineators, minor grading, saw cutting asphalt concrete to provide a clean edge, and adjusting existing pull boxes to grade.

Gaps between the cured concrete and existing asphalt concrete surfacing shall be filled with hot mix asphalt. Hot mix asphalt shall conform to the provisions in "Hot Mix Asphalt" elsewhere in these special provisions.

For payment purposes, the area in square meters of minor concrete (medium broom finish) will be determined from square meter measured in place of the finished minor concrete (medium broom finish).

The contract price paid per square meter for minor concrete (medium broom finish) shall include full compensation for furnishing all labor, materials (including welded wire fabric Portland cement concrete, broom finish, and hot mix asphalt), where required, and aggregate subbase, tools, equipment, and incidentals, and for doing all the work involved in constructing minor concrete (medium broom finish), including grouted areas, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.97 MISCELLANEOUS IRON AND STEEL

Miscellaneous iron and steel shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

Tree grates shall be cast-ductile-iron with finished powder coatings, capable of H20 loading, as manufactured by Urban Accessories, (877) 487-0488, or equal. Castings shall use high quality iron, ASTM A536 class 65-45-12 or better. Minimum tensile strength (kPa) shall be 448,000, minimum yield (kPa) shall be 310,000 with 12% elongation. Tree grate metal frames shall

be medium duty traffic rated frames for sidewalk applications and driveway applications where loads do not exceed 13,790 kPa. Metal frames shall be constructed of mild steel ASTM A36 angle iron and other shapes as required.

Tree grates and grate openings shall be ADA/Title 24 compliant, pedestrian safe and heel-proof.

Tree grates finish shall be factory applied slip resistant. The Contractor shall submit powder coat color samples to Engineer for final approval prior to placing orders.

Tree grates and frames shall be installed prior to installation of concrete paving to insure proper fit.

Quantities of tree grate will be measured as units determined from actual count in place.

The contract unit price paid for tree grate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, including metal frame, and for doing all the work involved in furnishing and installing tree grates and frames, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.98 MISCELLANEOUS METAL (BRIDGE)

Miscellaneous metal (bridge) shall conform to the provisions for miscellaneous bridge metal in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

Miscellaneous metal (bridge) shall consist of the miscellaneous bridge metal items listed in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications, and the following:

A. Steel angles;
B. Steel plates and threaded rods.

10-1.99 CHAIN LINK FENCE

Chain link fence shall be Type CL 0.9 and CL 1.8 and shall conform to the provisions in Section 80, "Fences," of the Standard Specifications

10-1.100 MARKERS AND DELINEATORS

Markers and delineators shall conform to the provisions in Section 82, "Markers and Delineators," of the Standard Specifications and these special provisions.

Markers and delineators on flexible posts shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Flexible posts shall be made from a flexible white plastic which shall be resistant to impact, ultraviolet light, ozone, and hydrocarbons. Flexible posts shall resist stiffening with age and shall be free of burns, discoloration, contamination, and other objectionable marks or defects which affect appearance or serviceability.

Retroreflective sheeting for metal and flexible target plates shall be the retroreflective sheeting designated for channelizers, markers, and delineators conforming to the requirements in ASTM Designation: D 4956-95 and in conformance with the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

10-1.101 METAL BEAM GUARD RAILING

Metal beam guard railing shall be constructed in conformance with the provisions in Section 83-1, "Railings," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

Line posts and blocks shall be wood. Blocks shall be wood.

ALTERNATIVE IN-LINE TERMINAL SYSTEM

Alternative in-line terminal system shall be furnished and installed as shown on the plans and in conformance with these special provisions.

The allowable alternatives for an in-line terminal system shall consist of one of the following or a Department approved equal.

(1) TERMINAL SYSTEM (TYPE SKT) - Terminal system (Type SKT) shall be a SKT 350 Sequential Kinking Terminal manufactured by Road Systems, Inc., located in Big Spring, Texas, and shall include items detailed for terminal system (Type SKT) shown on the plans. The SKT 350 Sequential Kinking Terminal can be obtained from the distributor, Universal Industrial Sales, P.O. Box 699, Pleasant Grove, UT 84062, Telephone (801) 785–0505 or from the distributor, Gregory Highway Products, 4100 13th Street, S.W., Canton, OH 44708, Telephone (330) 477–4800.

(2) TERMINAL SYSTEM (TYPE ET) - Terminal system (Type ET) shall be an ET-2000 PLUS (4-tube system) extruder terminal as manufactured by Trinity Industries, Inc., and shall include items detailed for terminal system (Type ET) shown on the plans. The ET-2000 PLUS (4-tube system) extruder terminal can be obtained from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone (800) 772–7976.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that the terminal systems furnished conform to the contract plans and specifications, conform to the prequalified design and material requirements, and were manufactured in conformance with the approved quality control program.

Terminal systems shall be installed in conformance with the manufacturer's installation instructions and these requirements. Each terminal system installed shall be identified by painting the type of terminal system in neat black letters and figures 60 mm high on the backside of the rail element between system posts numbers 4 and 5.

For terminal system (Type ET) the steel foundation tubes with soil plates attached shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. The wood terminal posts shall be inserted into the steel foundation tubes by hand and shall not be driven. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

For terminal system (Type SKT) the soil tubes shall be, at the Contractor's option, driven with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood posts shall be inserted into the steel foundation tubes by hand. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the terminal system has been installed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

The contract unit price paid for alternative in-line terminal system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing alternative in-line terminal system, complete in place, including excavation, backfill and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

ALTERNATIVE FLARED TERMINAL SYSTEM

Alternative flared terminal system shall be furnished and installed as shown on the plans and in conformance with these special provisions.

The allowable alternatives for a flared terminal system shall consist of one of the following or a Department approved equal.

(1) TERMINAL SYSTEM (TYPE FLEAT) - Terminal system (Type FLEAT) shall be a Flared Energy Absorbing Terminal 350 manufactured by Road Systems, Inc., located in Big Spring, Texas, and shall include items detailed for terminal system (Type FLEAT) shown on the plans. The Flared Energy Absorbing Terminal 350 can be obtained from the distributor, Universal Industrial Sales, P.O. Box 699, Pleasant Grove, UT 84062, Telephone (801) 785–0505 or from the distributor, Gregory Highway Products, 4100 13th Street, S.W., Canton, OH 44708, Telephone (330) 477–4800.

(2) TERMINAL SYSTEM (TYPE SRT) - Terminal system (Type SRT) shall be an SRT-350 Slotted Rail Terminal (8-post system) as manufactured by Trinity Industries, Inc., and shall include items detailed for terminal system (Type SRT) shown on the plans. The SRT-350 Slotted Rail Terminal (8-post system) can be obtained from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone (800) 772–7976.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that the terminal systems furnished conform to the contract plans and specifications, conform to the prequalified design and material requirements, and were manufactured in conformance with the approved quality control program.

Terminal systems shall be installed in conformance with the manufacturer's installation instructions and these requirements. Each terminal system installed shall be identified by painting the type of terminal system in neat black letters and figures 60 mm high on the backside of the rail element between system posts numbers 4 and 5.

For terminal system (Type SRT), the steel foundation tubes with soil plates attached shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. The wood terminal posts shall be inserted into the steel foundation tubes by hand and shall not be driven. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

For terminal system (Type FLEAT), the soil tubes shall be, at the Contractor's option, driven with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood posts shall be inserted into the steel foundation tubes by hand. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the terminal system has been installed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

The contract unit price paid for alternative flared terminal system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing alternative flared terminal system, complete in place, including excavation, backfill and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.102 CONCRETE BARRIER

Concrete barriers shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Attention is directed to "Architectural Surface (Textured Concrete)" of these special provisions.

Concrete barrier (Type 60A modified) will be measured and paid for as concrete barrier (Type 60A).

10-1.103 DOUBLE THRIE BEAM BARRIER

Double thrie beam barrier shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

Existing median planting shall be trimmed as directed by the Engineer to clear the work area. Trimmings shall be disposed of as provided in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Full compensation for trimming existing plants and disposing of the trimmings shall be considered as included in the contract price paid per meter for double thrie beam barrier and no separate payment will be made therefor.

10-1.104 TRANSITION RAILING (TYPE WB)

Transition railing (Type WB) shall be furnished and installed in conformance with details shown on the plans, the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

The 10-gage rail elements shall conform to the requirements of Class B, Type 1 thrie beam guard railing as shown in AASHTO Designation: M 180. End caps shall conform to the requirements of Class A, Type 1 thrie beam guard railing as shown in AASHTO Designation: M 180.

Surplus excavated material remaining after the transitional railing (Type WB) has been constructed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

The contract unit price paid for transition railing (Type WB) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing transition railing (Type WB), complete in place, including drilling holes for wood posts, driving posts, backfill, and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.105 CRASH CUSHION (TYPE CAT)

Crash cushion (Type CAT) and crash cushion (Type CAT) backup shall be furnished and installed as shown on the plans and in conformance with these special provisions.

Crash cushion (Type CAT) shall be a CAT-350 Crash Cushion Attenuating Terminal as manufactured by Trinity Industries, Inc., and shall include all the items detailed for crash cushion (Type CAT) shown on the plans.

Crash cushion (Type CAT) backup shall consist of items detailed for crash cushion (Type CAT) backup shown on the plans and shall conform to the provisions in Section 83-1.02B, "Metal Beam Guard Railing," of the Standard Specifications.

Excluding the crash cushion (Type CAT) backup, arrangements have been made to ensure that any successful bidder can obtain the CAT-350 Crash Cushion Attenuating Terminal from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone 1-800-772-7976. The price quoted by the manufacturer for the CAT-350 Crash Cushion Attenuating Terminal, FOB Centerville, Utah is $3,900, not including sales tax.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that crash cushion (Type CAT) conforms with the contract plans and specifications, conforms to the prequalified design and material requirements, and was manufactured in conformance with the approved quality control program.

The crash cushion (Type CAT) shall be installed in conformance with the manufacturer's installation instructions and these requirements. The steel foundation tubes with soil plates attached, shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood posts shall be inserted into the steel foundation tubes by hand. Before the wood posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the crash cushion (Type CAT) and backup have been constructed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

Crash cushion (Type CAT) and crash cushion (Type CAT) backup will be measured as units determined from actual count in place in the completed work.

The contract unit prices paid for crash cushion (Type CAT) and for crash cushion (Type CAT) backup shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing crash cushion (Type CAT) and crash cushion (Type CAT) backup, complete in place, including excavation, backfill, and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.106 THERMOPLASTIC TRAFFIC STRIPE AND PAVEMENT MARKING

Thermoplastic traffic stripes (traffic lines) and pavement markings shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Thermoplastic material shall be free of lead and chromium, and shall conform to the requirements in State Specification PTH-02ALKYD.

Retroreflectivity of the thermoplastic traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. White thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. Yellow thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 150 $mcd \cdot m^{-2} \cdot lx^{-1}$.

Where striping joins existing striping, as shown on the plans, the Contractor shall begin and end the transition from the existing striping pattern into or from the new striping pattern a sufficient distance to ensure continuity of the striping pattern.

Thermoplastic traffic stripes shall be applied at the minimum thickness and application rate as specified below. The minimum application rate is based on a solid stripe of 100 mm in width.

Minimum StripeThickness (mm)	Minimum Application Rate (kg/m)
2.5	0.5

Thermoplastic traffic stripes and pavement markings shall be free of runs, bubbles, craters, drag marks, stretch marks, and debris.

At the option of the Contractor, permanent traffic striping and pavement marking tape conforming to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be placed instead of the thermoplastic traffic stripes and pavement markings specified herein. Permanent tape, if used, shall be installed in conformance with the manufacturer's specifications.

If permanent tape is placed instead of thermoplastic traffic stripes and pavement markings, the tape will be measured and paid for by the meter as thermoplastic traffic stripe and by the square meter as thermoplastic pavement marking.

10-1.107 PAINT TRAFFIC STRIPE AND PAVEMENT MARKING

Painted traffic stripes (traffic lines) shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Traffic stripe paint shall conform to the requirements in State Specification No. PTWB-01.

The color of the painted traffic stripes shall conform to the requirements in ASTM Designation: D 6628-01.

Retroreflectivity of the paint traffic stripes shall conform to the requirements in ASTM Designation: D 6359-99. White painted traffic stripes shall have a minimum initial retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. Yellow painted traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 150 $mcd \cdot m^{-2} \cdot lx^{-1}$.

10-1.108 PAVEMENT MARKERS

Pavement markers shall be placed in conformance with the provisions in Section 85, "Pavement Markers," of the Standard Specifications and these special provisions.

Attention is directed to "Traffic Control System For Lane Closure" of these special provisions regarding the use of moving lane closures during placement of pavement markers with bituminous adhesive.

The Contractor shall furnish the Engineer certificates of compliance for the pavement markers in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Retroreflective pavement markers shall be marked as abrasion resistant on the body of the markers.

SECTION 10-2. (BLANK)

SECTION 10-3. SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS

10-3.01 DESCRIPTION

Traffic signals, lighting, sign illumination, traffic operation system, emergency vehicle detector system and maintaining existing traffic management system elements during construction shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

Traffic operation system shall consist of:

1. Changeable message sign system.
2. CCTV camera system.
3. Wireless magnetometer vehicle detection system.
4. Ramp metering system.
5. Extinguishable message sign system.
6. Traffic monitoring system.
7. Highway advisory radio system.
8. Solar CCTV camera system.

Traffic signal work shall be performed at the following locations:

A. West Sierra Avenue and West School Street and Route 101 southbound on-ramp intersection (Location 1).
B. West Sierra Avenue and Route 101 northbound off-ramp intersection (Location 2).
C. Route 116 (Gravenstein Highway) and Route 101 southbound on/off ramps intersection (Location 3).
D. Route 116 (Gravenstein Highway) and Route 101 northbound off-ramps intersection (Location 4).
E. Route 116 (Gravenstein Highway) and Old Redwood Highway intersection (Location 5) (City).
F. Old Redwood Highway and Commerce Boulevard and Route 101 northbound on-ramp intersection (Location 6).
G. Route 116 (Gravenstein Highway) and Redwood Drive intersection (Location 7).
H. Redwood Drive and Helman Lane intersection (Location 8) (City).
I. Rohnert Park Expressway and Route 101 southbound on-ramp intersection (Location 9).

10-3.02 COST BREAK-DOWN

Cost break-downs shall conform to the provisions in Section 86-1.03, "Cost Break-Down," of the Standard Specifications and these special provisions.

The Engineer shall be furnished a cost break-down for each contract lump sum item of work described in this Section 10-3.

The cost break-down shall be submitted to the Engineer for approval within 20 days after the contract has been approved. The cost break-down shall be approved, in writing, by the Engineer before any partial payment for the items of electrical work will be made.

The cost breakdown shall include the following items in addition to those listed in the Standard Specifications:

A. Photovoltaic (PV) Controller.
B. Photovoltaic (PV) Inverter.
C. Battery.
D. Photovoltaic (PV) Equipment Enclosure.

10-3.03 MAINTAINING EXISTING AND TEMPORARY ELECTRICAL SYSTEMS

Traffic signal system shutdowns shall be limited to periods between the hours of 10:00 a.m. and 2:00 p.m.

10-3.04 MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION

Traffic Management System (TMS) elements include, but are not limited to ramp metering (RM) system, communication system, traffic monitoring stations, video image vehicle detection system (VIVDS), microwave vehicle detection system (MVDS), loop detection system, changeable message sign (CMS) system, extinguishable message sign (EMS) system, highway advisory radio (HAR) system, closed circuit television (CCTV) camera system, roadway weather information system (RWIS), visibility sensor, and fiber optic system.

Existing TMS elements, including detection systems, identified on the plans and located within the project limits shall remain in place, and be protected from damage. If the construction activities require existing TMS elements to be nonoperational or off line, and if temporary or portable TMS elements are not shown on the plans, the Contractor shall provide for temporary or portable TMS elements. The Contractor shall receive the Engineer's approval on the type of temporary or portable TMS elements and installation method.

Before work is performed, the Engineer, the Contractor, and the Department's Traffic Operations Electrical representatives shall jointly conduct a pre-construction operational status check of all existing TMS elements and each element's communication status with the Traffic Management Center (TMC), including existing TMS elements that are not shown on the plans and elements that may not be impacted by the Contractor's activities. The Department's Traffic Operations Electrical representatives will certify the TMS elements' location and status, and provide a copy of the certified list of the existing TMS elements within the project limits to the Contractor. The status list will include the operational, defined as having full functionality, and the nonoperational components.

The Contractor shall obtain written approval from the Engineer, at least 72 hours before interrupting existing TMS elements' communication with the TMC that will result in the elements being nonoperational or off line. The Contractor shall notify the Engineer at least 72 hours before starting excavation activities.

Traffic monitoring stations and their associated communication systems which were verified to be operational during the pre-construction operational status check, shall remain operational on freeway/highway mainline at all times, except:

1. for a duration of up to 15 days on any continuous segment of the freeway/highway longer than 4.8 kilometers
2. for a duration of up to 60 days on any continuous segment of the freeway/highway shorter than 4.8 kilometers

If the construction activities require existing detection systems to be nonoperational or off line for a longer time period or the spacing between traffic monitoring stations is more than the specified criteria above, and temporary or portable detection operations are not shown on the plans, the Contractor shall provide provisions for temporary or portable detection operations. The Contractor shall receive the Engineer's approval on the type of detection and installation before installing the temporary or portable detection.

If existing TMS elements shown on the plans or identified during the pre-construction operational status check, except traffic monitoring stations, are damaged or fail due to the Contractor's activity, where the elements are not fully functional, the Engineer shall be notified immediately. If the Contractor is notified by the Engineer that existing TMS elements have been damaged, have failed or are not fully functional due to the Contractor's activity, the damaged or failed TMS elements, excluding Structure-related elements, shall be repaired or replaced, at the Contractor's expense, within 24 hours. For Structure-related elements, the Contractor shall install temporary or portable TMS elements within 24 hours. For nonstructure-related TMS elements, the Engineer may approve temporary or portable TMS elements for use during the construction activities.

The Contractor shall demonstrate that repaired or replaced elements operate in a manner equal to or better than the replaced equipment or as directed by the Engineer. If the Contractor fails to perform required repairs or replacement work, as

determined by the Engineer, the State may perform the repair or replacement work and the cost will be deducted from monies due to the Contractor.

A TMS element shall be considered nonoperational or off line for the duration of time that active communications with the TMC is disrupted, resulting in messages and commands not transmitted from or to the TMS element.

The Contractor shall provide provisions for replacing existing TMS elements within the project limits, including detection systems, that were not identified on the plans or during the pre-construction operational status check that became damaged due to Contractor's activities.

If the pre-construction operational status check identified existing TMS elements, then the Contractor, the Engineer, and the Department's Traffic Operations Electrical representatives shall jointly conduct a post construction operational status check of all existing TMS elements and each element's communication status with the TMC. The Department's Traffic Operations Electrical representatives will certify the TMS elements' status and provide a copy of the certified list of the existing TMS elements within the project limits to the Contractor. The status list will include the operational, defined as having full functionality, and the nonoperational components. TMS elements that cease to be functional between pre and post construction status checks shall be repaired at the Contractor's expense and as directed by the Engineer.

The Engineer will approve, in writing, the schedule for final replacement, the replacement methods and the replacement elements, including element types and installation methods before repair or replacement work is performed. The final TMS elements shall be new and of equal or better quality than the existing TMS elements.

PAYMENT

The contract lump sum price paid for maintaining existing traffic management system elements during construction shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in maintaining existing traffic management system elements as shown on the plans, specified in the Standard specifications and these special provisions, and as directed by the Engineer.

If no electrical work exists on the project and no TMS elements are identified within the project limits, the pre-construction operational status check will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

Furnishing and installing temporary or portable TMS elements that are not shown on the plans, but are required when an existing TMS element becomes nonoperational or off line due to construction activities, will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

Furnishing and installing temporary or portable TMS elements and replacing TMS elements that are not shown on the plans nor identified during the pre-construction operational status check and were damaged by construction activities will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

If the Contractor is required to submit provisions for the replacement of TMS elements that were not identified, the provisions will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

10-3.05 FOUNDATIONS

Reinforced cast-in-drilled-hole concrete pile foundations for traffic signal and lighting standards shall conform to the provisions in "Piling" of these special provisions.

Sleeve nuts shall be used on Type 1-B standard. Foundations for Type 1-B standards shall conform to the details on Revised Standard Plan ES-7B, "Anchor Bolts With Sleeve Nuts," except that the bottom of the base plate shall be flush with the finished grade.

10-3.06 STANDARDS, STEEL PEDESTALS, AND POSTS

Standards, steel pedestals, and posts for traffic signal and lighting standards shall conform to the provisions in Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications, "Steel Structures" of these special provisions, and the following requirements.

Steel bolts not designated on the plans as high-strength (HS) or stainless steel shall be for general applications and shall conform to the requirements in ASTM Designation: A 307.

Where the plans refer to the side tenon detail at the end of the signal mast arm, the applicable tip tenon detail may be substituted.

The sign mounting hardware shall be installed at the locations shown on the plans.

Handhole reinforcement rings for standards, steel pedestals, and posts shall be continuous around the handholes.

Type 1 standards shall be assembled and set with the handhole on the downstream side of the pole in relation to traffic or as shown on the plans.

10-3.07 CONDUIT

Conduit to be installed underground shall be Type 1 or Type 3 unless otherwise specified. Detector termination conduits shall be Type 3.

The conduit in a foundation and between a foundation and the nearest pull box shall be Type 1, Type 2, or Type 3.

Conduit sizes shown on the plans and specified in the Standard Specifications and these special provisions are referenced to metallic type conduit. When rigid non-metallic conduit is required or allowed, the nominal equivalent industry size shall be used as shown in the following table:

Size Designation for Metallic Type Conduit	Equivalent Size for Rigid Non-metallic Conduit
21	20
27	25
41	40
53	50
63	65
78	75
103	100

When Type 3 conduit is placed in a trench (not in pavement or under portland cement concrete sidewalk), after the bedding material is placed and the conduit is installed, the trench shall be backfilled to not less than 100 mm above the conduit with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 250 kg of cementitious material per cubic meter. The remaining trench shall be backfilled to finished grade with backfill material.

Conduit runs shown on the plans to be located behind curbs may be installed in the street, within 0.9-m of, and parallel with the face of the curb, by the "Trenching in Pavement Method" in conformance with the provisions in Section 86-2.05C, "Installation," of the Standard Specifications. Pull boxes shall be located behind the curb or at the locations shown on the plans.

After conductors have been installed, the ends of conduits terminating in pull boxes, service equipment enclosures, and controller cabinets shall be sealed with an approved type of sealing compound.

At those locations where conduit is required to be installed under pavement and existing underground facilities require special precautions in conformance with the provisions in "Obstructions" of these special provisions, conduit shall be placed by the "Trenching in Pavement Method" in conformance with the provisions in Section 86-2.05C, "Installation," of the Standard Specifications.

At other locations where conduit is required to be installed under pavement and if a delay to vehicles will not exceed 5 minutes, conduit may be installed by the "Trenching in Pavement Method."

At the option of the Contractor, the final 0.6-m of conduit entering a pull box in a reinforced concrete structure may be Type 4.

10-3.08 PULL BOXES

Grout shall not be placed in the bottom of new or existing pull boxes.

Pull boxes for circuits labeled "(CITY) CIRCUIT" on the plans shall not have the "CALTRANS" cover marking. The covers shall be marked "CITY."

10-3.09 CABLES, CONDUCTORS AND WIRING

Splices shall be insulated by "Method B."

Signal Interconnect Cable (SIC) shall be the 6-pair type.

Signal conductors for ramp meters shall be color coded as follows:

Phase	Base	Stripe	Band Symbols
1	Red, Yellow, Brown	None	1
2	Red, Yellow, Brown	Black	2
3	Red, Yellow, Brown	Purple	3
4	Red, Yellow, Brown	Orange	4

Traffic signal conductors for phase overlaps shall be double striped as follows:

Signal Phase	Base Color	Double Stripe Color
OLA	Red, Yellow, Brown	Black/Blue
OLB	Red, Yellow, Brown	Black/Orange
OLC	Red, Yellow, Brown	Black/Purple
OLD	Red, Yellow, Brown	Black/Gray

Signal cable shall not be used.

Type TW insulation shall not be used for the CMS service feeder, nor for the CMS branch circuit conductors between the service pedestal and the CMS.

Splices for cables shall not be allowed, except where shown on the plans.

10-3.09.01 TELEPHONE CABLE

The telephone cable (TC) shall consist of 6 pairs of No. 19 solid copper conductors. Conductors shall be twisted in pairs. Each conductor shall be insulated with a high molecular weight, heat stabilized, color coded polyethylene material. The insulation shall be 440 µm nominal.

Color code for TC cable shall be as follows:

1. White/Blue
2. White/Orange
3. White/Green
4. White/Brown
5. White/Gray
6. Red/Blue

The core shall be protected by a non-hygroscopic polyester film with a single longitudinally applied 120 µm thick corrugated copper shield (or 190 µm thick plastic coated aluminum shield). A moisture barrier of petrolatum-polyethylene compound shall be applied over the core tape and over and under the cable shield to fill all cable interstices.

The cable shall be provided with an outer jacket of extruded, black, high molecular weight, heat stabilized polyethylene material. The outer jacket shall have a thickness of 1.5 mm nominal. The outer diameter of the cable shall be 15.25 mm maximum.

All conductors shall be terminated inside the telephone demarcation cabinet and the controller cabinet as shown on the plans. All connections from the TBO terminal block to the 8-position connecting block shall be via a cable consisting of 2 pairs of No. 22 solid conductors and shall meet the same specifications as the TC cable.

10-3.10 BONDING AND GROUNDING

Bonding and grounding shall conform to the provisions in Section 86-2.10, "Bonding and Grounding," of the Standard Specifications and these special provisions.

Bonding jumpers in standards with handholes and traffic pull box lid covers shall be attached by a UL listed lug using 4.5-mm diameter or larger brass or bronze bolts and shall run to the conduit or bonding wire in the adjacent pull box. The grounding jumper shall be visible after the standard has been installed and the mortar pad and cap have been placed on the foundation.

Standards without handholes shall have bonding accomplished by jumpers attached to UL listed ground clamps on each anchor bolt.

For slip base standards or slip base inserts, bonding shall be accomplished by jumpers attached to UL listed ground clamps on each anchor bolt, or a UL listed lug attached to the bottom slip base plate with a 4.5-mm diameter or larger brass or bronze bolt.

Equipment bonding and grounding conductors are required in conduits, except when the conduits contain only combinations of loop lead-in cable, fiber optic cable, or signal interconnect cable. A No. 8 minimum, bare copper wire shall run continuously in circuits. The bonding wire size shall be increased to match the circuit breaker size in conformance with the Code, or shall be as shown on the plans. Conduits to be installed for future conductors, may omit the copper wire.

Bonding of metallic conduits in metal pull boxes shall be by means of bonding bushings and bonding jumpers connected to the bonding wire running in the conduit system.

10-3.11 SERVICE

Service equipment enclosures shall be the aluminum type.

Circuit breakers shall be the cable-in/cable-out type, mounted on non-energized clips. All circuit breakers shall be mounted vertically with the up position of the handle being the "ON" position.

Circuits with Model 500 changeable message signs shall have service equipment enclosures which have main busses and terminal lugs rated for 100 A, minimum, and a No. 2 bare copper ground wire.

Type H service shall consist of a conduit and conductors with length and size as required by the serving utility company.

The neutral conductor shall run from the service equipment enclosure to the controller cabinet without splicing to any other neutral conductor.

The clearance between the bottom of the lowest circuit breaker and the bottom of the service equipment enclosure for a Type III-A series shall be 600 mm minimum.

Installation of a barrier type terminal block in service equipment enclosures is not required.

10-3.12 SIGN DISCONNECTS

Sign disconnects shall be fused switches.

10-3.13 NUMBERING ELECTRICAL EQUIPMENT

The placement of numbers on electrical equipment will be done by others.

10-3.14 MODEL 334 CONTROLLER CABINETS

The Model 334 cabinets shall conform to the provisions in Section 86-3.03, "Model 170 and Model 2070 Controller Assemblies," of the Standard Specifications and these special provisions.

Cabinets shall be Type 1 and shall consist of a Type 1 housing (A), a mounting cage 1, and the following listed equipment. The equipment shall conform to the provisions of Chapter 6 of the Traffic Signal Control Equipment Specifications (TSCES).

A. Service panel No. 1
B. Power distribution assembly
C. Input file No. 3, upper part
D. Police door with on/off control switches
E. C1 harness
F. Controller and other needed equipment shelves
G. Dual fan assembly with thermostatic control
H. Mechanical armature-type relays

Police panels will not be required.

Prior to shipping to the project site, each Model 334 cabinet shall be submitted to the Transportation Laboratory, for acceptance testing.

Foundations for Type 1 housing shall conform to the details in the plans for Model 332 and 334 cabinets. The Engineer shall be notified when each Model 334 cabinet is ready for the functional test. The functional test will be conducted by State forces.

The following equipment shall be provided with each power distribution assembly:

A. Two each of Duplex NEMA Type 5-20R controller receptacle
B. One each of 30 A, 1-pole, 120 V(ac) Main circuit breaker
C. One each of 15 A, 1- pole, 120 V(ac) circuit breaker
D. Two each of 20 A, 1- pole, 120 V(ac) circuit breaker

Three shelves shall be furnished as shown on the plans. Each shelf shall be attached to the tops of 2 supporting angles with 4 screws. Supporting angles shall extend from the front to the back rails. Six supporting angles shall be Contractor furnished. The front of the shelf shall abut the front member of the mounting cage. The shelves shall be arranged as shown on the plans. The angles shall be designed to support a minimum of 23 kilograms each. The horizontal side of each angle shall be a minimum of 76 mm. The angles shall be vertically adjustable.

Three terminal blocks shall be furnished as shown on the plans. Terminal blocks shall conform to the requirements in Chapter 6, Section 5, Subsection 6.5.3, Paragraph 5.3.1 of the TSCES, except that the screw size shall be 8-32.

10-3.15 STATE-FURNISHED CONTROLLER ASSEMBLIES

The Model 170 controller assemblies for ramp metering and CMS systems and 2070 controller assemblies for traffic signal systems, excluding anchor bolts, will be State-furnished as provided under "Materials" of these special provisions.

The Model 170 controller units for other TOS systems including TMS, CCTV, EMS and HAR will be State-furnished as provided under "Materials" of these special provisions.

The Contractor shall construct each controller cabinet foundation as shown on the plans for Model 332A and 334 cabinets (including furnishing and installing anchor bolts), shall install the controller cabinet on the foundation and shall make field wiring connections to the terminal blocks in the controller cabinet.

A listing of field conductor terminations, in each State-furnished controller cabinet, will be furnished free of charge to the Contractor at the site of the work.

State forces will maintain controller assemblies. The Contractor's responsibility for controller assemblies shall be limited to conforming to the provisions in Section 6-1.02, "State-Furnished Materials," of the Standard Specifications.

The Contractor shall furnish and install one rack mount surge power strip with a switch in each Model 334 controller cabinet. The power strip shall be plugged into the non-GFCI duplex outlet normally labeled with "Controller Unit Recp." in the back of the PDA unit. The power strip shall be mounted at the top of the standard EIA-310 rack cage and across the two vertical back rails with four stainless steel EIA mounting screws, two on each side. The power strip shall not hinder the accessibility to the back of all existing electrical equipment. All power cords for permanently field installed electrical equipment shall be plugged into the power strip.

The power strip, at a minimum, shall meet the following requirements:

1. The power strip shall have a maximum rating of 15 A, 120 V(ac), 60 Hz.
2. The power strip shall have a surge protection with UL 1449 Clamping Level of 400 V, an IEEE Let-Through Voltage rating of less than 336 V, a single-pulse energy rating of 210 J with an EMI and RFI noise protection rating of 40 dB.
3. The power strip shall be 46 mm (H) x 483 mm (W) x 70 mm (D) maximum and shall not weigh more than 2.0 kg.
4. The front plate of the power strip shall have four cut-off EIA mounting screw holes, two on each side.
5. The power strip shall have six rear outlets with 38 mm minimum apart, center to center. The power cord shall enter from the rear with a length of 2 meters minimum. The clearance between the power cord entrance and the nearest outlet shall be 90 mm minimum.
6. The power strip shall have a 15-ampere circuit breaker and an internally illuminated switch to cut off power to all outlets. Both the circuit breaker and the switch shall be front mounted.

10-3.16 TELEPHONE DEMARCATION CABINET

The telephone demarcation cabinet shall be Type C.

The Contractor shall furnish and install all cable assemblies, punch block, and connecting blocks inside the TDC, except those that are provided by the telephone company (TELCO), as shown on the plans and as directed by the Engineer.

Ground rod shall meet the requirements of NEC Article 250-84.

Padlockable drawer latch shall be padlock hasp.

Backboard C shall be secured by a retaining screw.

Duplex outlet and GFCI duplex outlet shall be separately connected to the main circuit breaker.

The bottom plate for TDC shall be 3.2 mm aluminum.

10-3.17 VEHICLE SIGNAL FACES AND SIGNAL HEADS

Type SV-1-T mountings with 5 sections and SV-2-TD mountings shall be bolted to the standard through the upper pipe fitting in the same manner shown for bolting the terminal compartment.

10-3.18 LIGHT EMITTING DIODE SIGNAL MODULE

GENERAL

Summary

This work includes installing LED signal module. Comply with Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications.

Location of LED signal module is shown on the plans. The Engineer will approve exact location.

Use LED signal module as the light source for the following traffic signal faces:

1. 300-mm section
2. 200-mm section

3. 300-mm arrow section

Submittals

Before shipping LED signal modules to job site, submit the following to the Transportation Laboratory:

1. Delivery form including district number, EA and contact information
2. List containing all LED signal module serial numbers anticipated for use
3. LED signal modules

Quality Control and Assurance

Module must be one listed on the Pre-Qualified Products List for LED traffic signals at:

http://www.dot.ca.gov/hq/esc/approved_products_list

The State will test LED signal module shipments as specified in ANSI/ASQ Z1.4. Testing will be completed within 30 days of delivery to the Transportation Laboratory. LED signal modules tested or submitted for testing must be representative of typical production units. LED and circular LED signal modules will be tested as specified in California Test 604. Arrow, U-turn and bicycle LED signal modules will be tested as specified in California Test 3001. All parameters of the specification may be tested on the modules. LEDs must be spread evenly across the module. LED arrow indication must provide the minimum initial luminous intensity listed. Measurements will be performed at the rated operating voltage of 120 V(ac).

Delays resulting from submittal of non-compliant materials do not relieve you from executing the contract within the allotted time. Non-compliant materials will be rejected. You must resubmit new LED for retesting and pick up the failed units within 7 days of notification. You must provide new LED signal modules and allow a minimum of 30 days for the retest. You must pay for all shipping and handling costs related to testing and retesting. Delays resulting from resubmittal and retesting are your responsibility and no extra time will be allowed.

After testing, you must pick up the tested LED signal modules from the Transportation Laboratory and deliver to the job site.

Warranty

The manufacturer must provide a written warranty against defects in materials and workmanship for LED signal modules for a minimum period of 48 months after installation of LED signal modules. Replacement LED signal modules must be provided within 15 days after receipt of failed LED modules at your expense. The State pays for shipping the failed modules to you. All warranty documentation must be submitted to the Engineer before installation. Replacement LED signal modules must be delivered to State Maintenance Electrical Shop at 30 Rickard Street, San Francisco, CA 94134.

MATERIALS

Minimum power consumption for LED signal module must be 5 W.

LED signal module must have an operational lifecycle rating of 48 months. During the operational lifecycle, LED signal module must meet all parameters of this specification.

LED signal module must be designed for installation in the door frame of standard traffic signal housing.

LED signal module must:

1. Be 1.8 kg maximum mass
2. Be manufactured for 300-mm circular, 200-mm circular and arrow section
3. Be from the same manufacturer
4. Be the same model for each size
5. Be sealed units with:

    5.1. 2 color-coded conductors for power connection, except for lane control LED signal modules use 3 color-coded conductors.

    5.2. Printed circuit board and power supply contained inside and complying with Chapter 1, Section 6 of TEES published by the Department.

    5.3. Lens that is:

        5.3.1. Integral to the units

        5.3.2. Convex or flat with a smooth outer surface

5.3.3. Made of UV stabilized plastic or glass, and withstands UV exposure from direct sunlight for 48 months without exhibiting evidence of deterioration

5.4. 1-piece EPDM gasket

6. Include 1-meter long conductors with quick disconnect terminals attached as specified in Section 86-4.01C, "Electrical Components," of the Standard Specifications
7. Be sealed in door frames
8. Fit into existing traffic signal section housing and comply with ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads"

Individual LEDs must be wired so catastrophic loss or failure of 1 LED will not result in loss of more than 5 percent of the signal module light output. Failure of an individual LED in a string must not result in loss of entire string or other indication.

No special tools for installation are allowed.

300-mm Arrow

Comply with Section 9.01 of ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads," for arrow indications.

LED signal module must:

1. Be weather tight and connect directly to electrical wiring.
2. Be capable of optical unit replacement.
3. Be a single, self-contained device, ready for installation into traffic signal housing.
4. Have manufacturer's name, trademark, model number, serial number, lot number, month and year of manufacture, and required operating characteristics, including rated voltage, power consumption, and volt-ampere, permanently marked on the back of the module.
5. Have a symbol of module type and color. Symbol must be 25 mm in diameter. Color must be written out in 13 mm high letters next to the symbol.
6. Be AlInGaP technology for red and yellow indications and gallium nitride technology for green indications.
7. Be ultra bright type rated for 100,000 hours of continuous operation from -40 °C to +74 °C.
8. Have a maximum power consumption as follows:

Power Consumption Requirements

LED Signal Module Type	Power Consumption (Watts)					
	Red		Yellow		Green	
	25 °C	74 °C	25 °C	74 °C	25 °C	74 °C
300-mm circular	11	17	22	25	15	15
200-mm circular	8	13	13	16	12	12
300-mm arrow	9	12	10	12	11	11

Lens may be tinted, or may use transparent film or may use materials with similar characteristics to enhance "ON/OFF" contrasts. Tinting or other materials to enhance "ON/OFF" contrast must not affect chromaticity and must be uniform across the face of the lens.

If polymeric lens is used, surface coating or chemical surface treatment must be applied for front surface abrasion resistance.

Power supply must be integral to the module.

Internal components must be adequately supported to withstand mechanical shock and vibration from high winds and other sources.

Lens and LED signal module material must comply with the ASTM specifications for that material.

Enclosures containing either the power supply or electronic components of LED signal module, except lenses, must be made of UL94VO flame-retardant material.

If a specific mounting orientation is required, the LED signal module must have prominent and permanent vertical markings for accurate indexing and orientation within the signal housing. Markings must include an up arrow, or the word "UP" or "TOP."

LED signal module must meet or exceed the following values when operating at 25 °C:

Minimum Initial Intensities for Circular Indications (cd)

	200-mm			300-mm		
Angle (v,h)	Red	Yellow	Green	Red	Yellow	Green
2.5, ±2.5	157	314	314	399	798	798
2.5, ±7.5	114	228	228	295	589	589
2.5, ±12.5	67	133	133	166	333	333
2.5, ±17.5	29	57	57	90	181	181
7.5, ±2.5	119	238	238	266	532	532
7.5, ±7.5	105	209	209	238	475	475
7.5, ±12.5	76	152	152	171	342	342
7.5, ±17.5	48	95	95	105	209	209
7.5, ±22.5	21	43	43	45	90	90
7.5, ±27.5	12	24	24	19	38	38
12.5, ±2.5	43	86	86	59	119	119
12.5, ±7.5	38	76	76	57	114	114
12.5, ±12.5	33	67	67	52	105	105
12.5, ±17.5	24	48	48	40	81	81
12.5, ±22.5	14	29	29	26	52	52
12.5, ±27.5	10	19	19	19	38	38
17.5, ±2.5	19	38	38	26	52	52
17.5, ±7.5	17	33	33	26	52	52
17.5, ±12.5	12	24	24	26	52	52
17.5, ±17.5	10	19	19	26	52	52
17.5, ±22.5	7	14	14	24	48	48
17.5, ±27.5	5	10	10	19	38	38

Minimum Luminance for Arrows, U-turn, Bicycle, Lane Control, and PV Indications (cd/m2)

	Red	Yellow	Green
Arrow Indication	5,500	11,000	11,000

LED signal module must meet or exceed the following illumination values for 48 months when operating over a temperature range of -40 °C to + 74 °C. Yellow LED signal module must meet or exceed the following illumination values for 48 months, when operating at 25 °C:

Minimum Maintained Intensities for Circular Indications (cd)

	200-mm			300-mm		
Angle (v,h)	Red	Yellow	Green	Red	Yellow	Green
2.5, ±2.5	133	267	267	339	678	678
2.5, ±7.5	97	194	194	251	501	501
2.5, ±12.5	57	113	113	141	283	283
2.5, ±17.5	25	48	48	77	154	154
7.5, ±2.5	101	202	202	226	452	452
7.5, ±7.5	89	178	178	202	404	404
7.5, ±12.5	65	129	129	145	291	291
7.5, ±17.5	41	81	81	89	178	178
7.5, ±22.5	18	37	37	38	77	77
7.5, ±27.5	10	20	20	16	32	32
12.5, ±2.5	37	73	73	50	101	101
12.5, ±7.5	32	65	65	48	97	97
12.5, ±12.5	28	57	57	44	89	89
12.5, ±17.5	20	41	41	34	69	69
12.5, ±22.5	12	25	25	22	44	44
12.5, ±27.5	9	16	16	16	32	32
17.5, ±2.5	16	32	32	22	44	44
17.5, ±7.5	14	28	28	22	44	44
17.5, ±12.5	10	20	20	22	44	44
17.5, ±17.5	9	16	16	22	44	44
17.5, ±22.5	6	12	12	20	41	41
17.5, ±27.5	4	9	9	16	32	32

Minimum Maintained Luminance for Arrow, U-turn, Bicycle, Lane Control, and PV Indications (cd/m2)

	Red	Yellow	Green
Arrow Indication	5,500	11,000	11,000

LED signal module must comply with the following chromaticity requirements for 48 months when operating over a temperature range of -40 °C to +74 °C.

Chromaticity Standards (CIE Chart)

Red	Y: not greater than 0.308, or less than 0.998 -x
Yellow	Y: not less than 0.411, nor less than 0.995 - x, nor greater than 0.452
Green	Y: not less than 0.506 - 0.519x, nor less than 0.150 + 1.068x, nor more than 0.730 - x

LED signal module must operate:

1. At a frequency of 60 Hz ± 3 Hz, over a voltage range from 95 V(ac) to 135 V(ac), without perceptible flicker to the unaided eye. Fluctuations of line voltage must have no visible effect on luminous intensity of the indications. Rated voltage for measurements must be 120 V(ac).
2. Compatible with currently used controller assemblies, including solid state load switches, flashers, and conflict monitors. Comply with TEES Chapters 3 and 6. If a 20 mA alternating current or less is applied to the unit, the voltage read across the 2 leads must be 15 V(ac) or less.

Wiring and terminal block must comply with Section 13.02 of ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads." Electrical connection for each Type 1 LED signal module must be 2 secured, color-coded, 1-meter long, 600 V(ac), 20 AWG minimum stranded jacketed copper wires. Wires must comply with NEC, rated for service at +105 °C.

LED signal module on-board circuitry must:

1. Include voltage surge protection to withstand high-repetition noise transients. The voltage surge protection must comply with NEMA Standard TS2, Section 2.1.6.
2. Comply with FCC, Title 47, Subpart B, Section 15 regulations for Class A emission limits for electronic noise.

LED signal module must provide a power factor of 0.90 or greater.

Total harmonic distortion from current and voltage induced into an alternating current power line by LED signal module must not exceed 20 percent at an operating temperature of 25 °C.

When power is applied to LED signal module, light emission must occur within 90 ms.

10-3.19 LIGHT EMITTING DIODE PEDESTRIAN SIGNAL FACE MODULES

GENERAL

Summary

This work includes installing LED pedestrian signal face (PSF) module into standard Type A pedestrian signal housing. Comply with Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications.

Submittals

Before shipping LED PSF modules to job site, submit the following to the Transportation Laboratory:

1. Delivery form including district number, EA and contact information
2. List containing all LED PSF module serial numbers anticipated for use
3. LED PSF modules

Quality Control and Assurance

Module must be one listed on the Pre-Qualified Products List for LED traffic signals at:

http://www.dot.ca.gov/hq/esc/approved_products_list

The State will test LED PSF module shipments as specified in ANSI/ASQ Z1.4. Testing will be completed within 30 days of delivery to the Transportation Laboratory. LED PSF modules tested or submitted for testing must be representative of typical production units. LED PSF modules will be tested as specified in California Test 606. All parameters of the specification may be tested on the modules.

Delays resulting from submittal of non-compliant materials do not relieve you from executing the contract within the allotted time. Non-compliant materials will be rejected. You must resubmit new LED for retesting and pick up the failed units within 7 days of notification. You must provide new LED PSF modules and allow a minimum of 30 days for the retest. You must pay for all shipping and handling costs related to testing and retesting. Delays resulting from resubmittal and retesting are your responsibility and no extra time will be allowed.

After successful testing, you must pick up the tested LED PSF modules from the Transportation Laboratory and deliver to the job site.

Warranty

The manufacturer must provide a written warranty against defects in materials and workmanship for LED PSF modules for a minimum period of 48 months after installation of LED PSF modules. Replacement LED PSF modules must be provided within 15 days after receipt of failed LED PSF modules at your expense. The State pays for shipping the failed modules to you. All warranty documentation must be submitted to the Engineer before installation. Replacement LED PSF modules must be delivered to State Maintenance Electrical Shop at 30 Rickard Street, San Francisco, CA 94134.

MATERIALS

LED PSF module must:

1. Be from the same manufacturer.
2. Be installed in standard Type A pedestrian signal housing, "UPRAISED HAND" and "WALKING PERSON." Do not include reflectors.
3. Use LED as the light source.

4. Be designed to mount behind or replace face plates of standard Type A housing as specified in ITE publication, Equipment and Material Standards, Chapter 3, "Pedestrian Traffic Control Signal Indications" and the "California MUTCD."
5. Have a minimum power consumption of 10 W.
6. Use required color and be ultra bright type rated for 100,000 hours of continuous operation from -40 °C to +74 °C.
7. Be able to replace signal lamp optical units and pedestrian signal faces with both LED and incandescent light sources.
8. Fit into pedestrian signal section housings without modifications to the housing. The housing must comply with ITE publication, Equipment and Materials Standards, Chapter 3, "Pedestrian Traffic Control Signal Heads."
9. Be a single, self-contained device, not requiring on-site assembly for installation into standard Type A housing.
10. Have the following information permanently marked on the back of module:

    10.1. Manufacturer's name
    10.2. Trademark
    10.3. Model number
    10.4. Serial number
    10.5. Lot number
    10.6. Month and year of manufacture
    10.7. Required operating characteristics, as follows:

        10.7.1. Rated voltage
        10.7.2. Power consumption
        10.7.3. Volt-ampere (VA)
        10.7.4. Power factor

11. Have prominent and permanent vertical markings for accurate indexing and orientation within the signal housing if a specific mounting orientation is required. Markings must include an up arrow, or the word "UP" or "TOP." Marking must be a minimum of 25-mm diameter.

Circuit board and power supply must be contained inside the LED PSF modules. Circuit board must comply with Chapter 1, Section 6 of TEES published by the Department.

Individual LEDs must be wired so catastrophic loss or failure of 1 LED will not result in loss of more than 5 percent of the PSF module light output. Failure of an individual LED in a string must not result in the loss of entire string or other indication.

LEDs must be evenly distributed in each indication. Do not use outline forms.

No special tools for installation are allowed.

Installation of the LED PSF module into pedestrian signal face must require only removal of lenses, reflectors, lamps and existing LED modules.

Power supply for LED PSF module must be integral to the module. Power supply for each symbol must be isolated to avoid turn-on conflict.

Assembly and manufacturing processes for LED PSF module must assure that all internal components are adequately supported to withstand mechanical shock and vibration from high winds and other sources.

Material used for LED PSF module must comply with ASTM D 3935.

Enclosures containing either the power supply or electronic components of LED PSF module, except lenses, must be made of UL94VO flame-retardant material.

Color of "UPRAISED HAND" symbol must be portland orange.

Color of "WALKING PERSON" symbol must be lunar white.

Each symbol must not be less than 250 mm high and 165 mm wide. Uniformity ratio of illuminated symbols must not exceed 4 to 1 between highest and lowest luminance areas. Symbols must comply with ITE publication, Equipment and Material Standards, Chapter 3, "Pedestrian Traffic Control Signal Indications," and the "California MUTCD."

LED PSF module must maintain an average luminance value over 48 months of continuous use in signal operation for a temperature range of -40 °C to +74 °C. In addition, LED PSF modules must meet or exceed the following luminance values upon initial testing at 25 °C.

Luminance Values

PSF module	Luminance
UPRAISED HAND	3,750 cd/m2
WALKING PERSON	5,300 cd/m2

Color output of LED PSF module must comply with chromaticity requirements in Section 5.3 of ITE publication, Equipment and Material Standards, Chapter 3, "Pedestrian Traffic Control Signal Indications."

Measured chromaticity coordinates of LED PSF module must comply with the following chromaticity requirements for 48 months when operating over a temperature range of -40 °C to +74 °C.

Chromaticity Standards (CIE Chart)

UPRAISED HAND (portland orange)	Not greater then 0.390, nor less than 0.331, nor less than 0.997-X
WALKING PERSON (lunar white)	X: not less than 0.280, nor greater than 0.320 Y: not less than 1.055*X - 0.0128, nor greater than 1.055*X + 0.0072

LED PSF module maximum power consumption must not exceed the following values:

Power Consumption Requirements

PSF module	Power Consumption @ 24 ºC	Power Consumption @ 74 ºC
UPRAISED HAND	10.0 W	12.0 W
WALKING PERSON	9.0 W	12.0 W

Wiring and terminal block must comply with Section 13.02 of ITE publication, Equipment and Material Standards, Chapter 2, "Vehicle Traffic Control Signal Heads." The LED PSF module must be supplied with spade lugs and 3 secured, color-coded, 1 meter long, 600 V(ac), 20 AWG minimum stranded jacketed copper wires. Wires must comply with NEC, rated for service at +105 °C.

LED PSF module must operate:

1. At a frequency of 60 Hz ± 3 Hz over a voltage range from 95 V(ac) to 135 V(ac) without perceptible flicker to the unaided eye. Fluctuations of line voltage must have no visible effect on luminous intensity of the indications. Rated voltage for measurements must be 120 V(ac).
2. Compatible with currently used State controller assemblies including solid state load switches, flashers and conflict monitors. Comply with TEES Chapters 3 and 6. If a 20-ma alternating current or less is applied to the unit, the voltage read across the 2 leads must be 15 V(ac) or less.

LED PSF module on-board circuitry must:

1. Include voltage surge protection to withstand high-repetition noise transients. The voltage surge protection must comply with NEMA Standard TS2, Section 2.1.6.
2. Comply with FCC, Title 47, Subpart B, Section 15 regulations for Class A emission limits for electronic noise.

LED PSF module must provide a power factor of 0.90 or greater.

Total harmonic distortion from current and voltage induced into an alternating current power line by LED PSF module must not exceed 20 percent at an operating temperature of 25 °C.

The LED PSF module circuitry must prevent perceptible light emission to the unaided eye when a voltage, 50 V(ac) or less is applied to the unit.

When power is applied to LED PSF module, light emission must occur within 90 ms.

The "UPRAISED HAND" and "WALKING PERSON" symbol indications must be electrically isolated from each other. Sharing a power supply or interconnect circuitry between the 2 indications is not allowed.

10-3.20 DETECTORS

Loop wire shall be Type 2.

Loop detector lead-in cable shall be Type B.

Slots shall be filled with elastomeric sealant or hot-melt rubberized asphalt sealant.

Slots in portland cement concrete shall be filled with elastomeric sealant or hot-melt rubberized asphalt sealant, or shall be filled with an epoxy sealant conforming to the provisions in Section 95-2.09, "Epoxy Sealant for Inductive Loops," of the Standard Specifications.

Where one traffic signal detector consists of a sequence of 4 loops in a single lane , the front loop closest to the limit line or crosswalk shall be located 0.3-m from the line. All 4 loops in each lane shall be connected in series.

10-3.21 VIDEO IMAGE VEHICLE DETECTION SYSTEM

GENERAL

Summary

This work includes installing video image vehicle detection system (VIVDS) for traffic signals.

Comply with Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications.

Submittals

Submit proposed list of materials before starting work. Submit drawings and other data before the completion of the contract. Submittals include:

Submittals

Item	Description
Certificate of compliance	For VIVDS as specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.
Site analysis report	Written analysis for each detection site, recommending the optimum video sensor placement approved by the manufacturer.
Lane configuration	Shop drawing showing detection zone setback, detection zone size, camera elevation, selected lens viewing angle, illustration of detection zone mapping to reporting contact output and illustration of output connector pin or wire terminal for lane assignment.
Configuration record	Windows XP or later version of PC compatible CD containing the final zone designs and calibration settings to allow reinstallation.
Mounting and wiring information	Approved wiring and service connection diagrams wrapped in clear self-adhesive plastic, placed in a heavy duty plastic envelope and secured to the inside of the cabinet door.
Communication protocol	Industry standard available in public domain. Document defining message structure organization, data packet length, message usability and necessary information to operate a system from a remote Windows based personal computer.
Programming software	CD containing set up and calibration software that observes and detects the vehicular traffic, including bicycles, motorcycles and sub-compact cars, with overlay of detection zones and allows adjustment of the detection sensitivity from a traffic signal application.
Detector performance DVD recordings and analysis	Performance analysis based on 24-hour DVD recording of contiguous activity for each approach. Include 2 contiguous hours of sunny condition, with visible shadows projected a minimum of 1.8 m into the adjacent lanes and two 1-hour night periods with vehicle headlights present.
Preventative maintenance parts documentation	Documentation containing equipment replacement parts list for preventative maintenance, including electrical parts, mechanical parts and assemblies.
Acceptance testing schedule	Submit schedule for approval 15 days before acceptance testing of VIVDS. Acceptance testing is separate from detector performance and analysis.
Acceptance testing documentation	Documentation for using support equipment to perform acceptance testing without assistance.
Training	Submit training material for approval 30 days before training.
Warranty	Manufacturer's written warranty against defects in material and workmanship for VIS assemblies and VDU, for 24-month period after VIVDS installation.

Quality Control and Assurance

Training

Provide a minimum of 16 hours of training by a factory authorized representative for up to 5 students. Training content must include instructions for aligning, programming, adjusting, calibrating and maintaining VIVDS. You must provide all materials and equipment for the training. Notify the Engineer 20 days before training and agree on a training time. If agreement cannot be reached, the Engineer will determine the time. Training area will be determined by the Engineer.

Warranty

After final acceptance of VIVDS, provide replacement video image sensor assembly (VIS) and video detection unit (VDU) within 10 days after receipt of failed units at no cost to the State, except the cost of shipping failed VIS and VDU. Deliver replacement VIS and VDU to 30 Rickard Street, San Francisco, CA 94134.

MATERIALS

Functional Requirements

VIVDS must include a VIS and mounting hardware assembly installed on a pole or mast-arm. Use a clamping device as mounting hardware on standards. Include VDU, image processor, extension module and communication card, power supply, surge suppression, cables, connectors, and wiring for connecting to the State-furnished Model 332A traffic controller cabinet.

VIS and zoom lens must be housed in an environmentally sealed enclosure, watertight and protected from dust, and must comply with NEMA 4 standards. Enclosure must include a thermostat controlled heater to prevent condensation and to ensure proper lens operation at low temperatures. Adjustable sun shield that diverts water from the camera's field of view must be included. Assembly must have waterproof connections for power, control and video signal cables, and wiring.

VIVDS must include necessary firmware, hardware and software for designing the detection patterns or zones at the intersection or approach. Detection zones must be created with a graphic user interface designed to allow trained State employee to configure and calibrate a lane in less than 15 minutes. System must support normal operation of existing detection zones while a zone is being added or modified. Zone must flash or change color on a viewing monitor when vehicular traffic is detected.

Software and firmware must detect vehicular traffic presence, provide vehicle counts, set up detection zones, test VIVDS performance and allow video scene and system operation viewing from a remote location. VIVDS must support a minimum of 2 separate detection patterns or zones that can be enacted by a remote operator.

VIVDS detection zone must detect vehicles by providing an output for presence and pulse. At least 1 detection output must be provided for each detection zone. One spare detection output must be provided for each approach. Detection performance must be achieved for each detection zone with a maximum of 8 user-defined zones for every camera's field of view.

VIVDS must detect the presence of vehicles under all types of adverse weather and environmental conditions, including snow, hail, fog, dirt, dust or contaminant buildup on the lens or faceplate, minor camera motion and excessive vibration. If less than 95 percent detection accuracy results from low visibility conditions, the VIVDS must respond by selecting a fail-safe default pattern, placing a constant call mode for all approaches. VIVDS outputs must assume a fail-safe "on" or "call" pattern for presence detection if video signal or power failure is not available and must recover from a power failure by restoring normal operations within 3 minutes without manual intervention. If powered off for more than 90 days, system must maintain the configuration and calibration information in memory.

Detection algorithm must be designed to accommodate naturally occurring lighting and environment changes, specifically the slow moving shadows cast by buildings, trees and other objects. These changes must not result in a false detection or mask a true detection. VIVDS must not require manual interventions for day-night transition or for reflections from poles, vehicles or pavement during rain and weather changes. VIVDS must suppress blooming effects from vehicle headlights and bright objects at night.

Vehicle detection must call service to a phase only if a demand exists and extend green service to the phase until the demand is taken care of or until the flow rates have reduced to levels for phase termination. VIVDS must detect the presence of vehicular traffic at the detection zone positions and provide the call contact outputs to the Model 170E or Model 2070 with the following performance:

Detector Performance

Requirements	Performance during AMBER and RED interval	Performance during GREEN interval
Average response time after vehicle enters 0.9-m into detection zone or after departing 0.9-m past detection zone	≤ 1 Sec	≤ 100 ms
Maximum number of MISSED CALLS in 24-hour duration, where MISSED CALLS are greater than 5 sec. during AMBER and RED intervals and greater than 1 sec. during GREEN intervals (upon entering 0.9-m of detection zone or after departing 0.9-m past detection zone).	0	10
Maximum number of FALSE CALLS in 24-hour duration (calls greater than 500 ms without a vehicle present)	20	20

Each camera and its mounting system must be less than 4.9 g and less than 1 square centimeter equivalent pressure area. Only 1 VIVDS camera must be mounted on a traffic signal or luminaire arm. Top of camera must not be more than 305 mm above top of arm.

VIVDS must be able to locally store, for each lane, vehicle count data in 5, 15, 30 and 60 minute intervals for a minimum period of 7 days and be remotely retrievable. VIVDS must count vehicular traffic in detection zone with a 95 percent accuracy or better over a 1-day period with a minimum range of 15.2 m to the limit line for each approach.

VDU front panel must have indicators for power, communication and presence of video input for each video sensor and a real time detector output operation. Hardware or software test switch must be included to allow the user to place either a constant or momentary call for each approach. Indicators must be visible in daylight from 1.5 m away.

A flat panel video display with a minimum 203 mm screen and that supports National Television Standards Committee (NTSC) video output must be furnished and installed in the Model 332A cabinet for viewing video detector images and for performing diagnostic testing. Display must be viewable in direct sunlight. Each VIVDS must have video system connections that support the NTSC video output format, can be seen in each camera's field of view and has a program to allow the user to switch to any video signal at an intersection. A metal shelf or pull-out document tray with metal top capable of supporting the VDU and monitor must be furnished and placed on an EIA 483 mm rack with 10-32 "Universal Spacing" threaded holes in the Model 332A cabinet. Furnished EIA 483 mm rack must comply with EIA standard EIA-310-B. System must allow independent viewing of a scene while video recording other scenes without interfering with the operation of the system's output.

VIVDS must have a serial communication port that supports sensor unit setup, diagnostics and operation from a local PC compatible laptop with Windows XP or later version operating system. VIVDS must have an ethernet communication environment. VIVDS must include central and field software to support remote real-time viewing and diagnostics for operational capabilities through wide area network (WAN) or wireless.

Technical Requirements

System elements must be designed to operate continuously in an outdoor traffic monitoring and control environment, all day, every day. Manufactured electronic components must support a minimum mean time between failures (MTBF) value of 10 years.

Video sensor must use a charge-coupled device (CCD) element and support NTSC and RS170 video output formats with resolution of not less than 360 horizontal lines. Video sensor must include an auto gain control (AGC) circuit, have a minimum sensitivity to scene luminance from 0.1-lux to 10,000 lux and produce a usable video image of vehicular traffic, under all roadway lighting conditions and regardless of the time of day. Video sensor must have a motorized lens with variable focus and zoom control with an aperture of f/1.4 or better. Focal length must allow ± 50 percent adjustment of the viewed detection scene.

Enclosed VIS must operate between –37 °C to +74 °C and zero percent to 95 percent relative humidity.

Sensor unit mounting hardware must be powder-coated aluminum, stainless steel, or treated to withstand 250 hours of salt fog exposure as specified in ASTM B 117 without any visible corrosion damage.

VDU, image processors, extension modules, and video output assemblies must be inserted into the controller input file slots using the edge connector to obtain limited 24V(dc) power and to provide contact closure outputs. Cabling the output file to a "D" connector on the front of the VDU is acceptable. No rewiring to the standard Model 332A cabinet is allowed.

Controller cabinet resident modules must comply with the requirements in Chapter 1 and Sections 5.2.8, 5.2.8.1, 5.2.8.2, 5.4.1, 5.4.5, 5.5.1, 5.5.5 and 5.5.6 of TEES.

VIVDS must operate between 90 to 135 V(ac) service as specified in NEMA TS-1. VIS, excluding the heater circuit, must draw less than 10 watts of power. Power supply or transformer for the VIVDS must meet the following minimum requirements:

Minimum Requirements for Power Supply and Transformers

Item	Power Supply	Transformer
Power Cord	Standard 120V(ac), 3 prong cord, 0.9-m minimum length (may be added by Contractor)	Standard 120V(ac), 3 prong cord, 0.9-m minimum length (may be added by Contractor)
Type	Switching mode type	Class 2
Rated Power	Two times (2x) full system load	Two times (2x) full system load
Operating Temperature	-37 °C to 74 °C	-37 °C to 74 °C
Operating Humidity Range	From 5 percent to 95 percent	From 5 percent to 95 percent
Input Voltage	From 90 V to 135 V(ac)	From 90 V to 135 V(ac)
Input Frequency	60 Hz ± 3 Hz	60 Hz ± 3 Hz
Inrush Current	Cold start, 25 A max. at 115 V	N/A
Output Voltage	As required by VIVDS	As required by VIVDS
Overload Protection	From 105 percent to 150 percent in output pulsing mode	Power limited at >150 percent
Over Voltage Protection	From 115 percent to 135 percent of rated output voltage	N/A
Setup, Rise, Hold Up	800 ms, 50 ms, 15 ms at 115V(ac)	N/A
Withstand Voltage	I/P-0/P: 3 kV, I/P-FG: 1.5 kV, for 60 sec.	I/P-0/P: 3 kV, I/P-FG: 1.5 kV, for 60 sec.
Working Temperature	Not to exceed 70 °C @ 30% load	Not to exceed 70 °C @ 30 percent load
Safety Standards	UL 1012, TUV EN60950	UL 1585
EMC Standards	EN55022 Class B, EN61000-4-2, 3, 4, 5	N/A

Field terminated circuits must include transient protection as specified in IEEE Standard 587-1980, Category C. Video connections must be isolated from ground.

Wiring must be routed through end caps or existing holes. New holes for mounting or wiring must be shop-drilled.

VIVDS and support equipment required for acceptance testing must be new and as specified in the manufacturer's recommendations. Date of manufacture, as shown by date codes or serial numbers of electronic circuit assemblies, must not be older than 12 months from the scheduled installation start date. Material substitutions must not deviate from the material list approved by the Engineer.

CONSTRUCTION

For materials and installation comply with the manufacturer's recommendations. All equipment, cables and hardware must be part of an engineered system that is designed by the manufacturer to fully inter-operate with all other system components. Mounting assemblies must be corrosion resistant. Connectors installed outside the cabinets and enclosures must be corrosion resistant, weather proof and watertight. Exposed cables must be sunlight and weather resistant. Label cables with permanent cable labels at each end.

Install VDU in a State-furnished Model 170E or Model 2070 controller assembly. Install VIS power supply or transformer on a standard DIN rail using standard mounting hardware and power conductors wired to DIN rail mounted terminal blocks in the controller cabinet.

Wire each VIS sensor assembly to the controller cabinet with a wiring harness that includes all power, control wiring and coaxial video cable. Attach harness with standard Mil type and rated plugs. Cable type and wire characteristics must comply with manufacturer's recommendations for the VIS to cabinet distance of the project. Wiring and cables must be continuous (without splices) between the VIS sensor and controller cabinet. Coil a minimum of 2.1 m of slack in the bottom of the controller cabinet. For setup and diagnostic access, terminate serial data communication output conductors at TB-0 and continue for a minimum of 3 m to a DB9F connector. Tape ends of unused and spare conductors to prevent accidental contact to other circuits. Label conductors inside the cabinet for the functions depicted the approved detailed diagrams.

Adjust the lens to view 110 percent of the largest detection area dimension. Zones or elements must be logically combined into reporting contact outputs that are equivalent to the detection loops and with the detection accuracy required.

Verify the performance of each unit, individually, and submit the recorded average and necessary material at the conclusion of the performance test. Determine and document the accuracy of each unit, individually, so that each unit may be approved or rejected separately. Failure to submit necessary material at the conclusion of testing invalidates the test. The recorded media serves as acceptance evidence and must not be use for calibration. Calibration must have been completed before testing and verification.

Verify the detection accuracy by observing the VIVDS performance and recorded video images for a contiguous 24-hour period. The recorded video images must show the viewed detection scene, the detector call operation, the signal phase status for each approach, the vehicular traffic count, and time-stamp to 1/100 of a second, all overlaid on the recorded video. Transfer the 24-hour analysis to DVD.

VIVDS must meet the detection acceptance criterion specified in table titled "Detector Performance."

Calculate the VIVDS's vehicular traffic count accuracy as 100[1-(|TC-DC|/TC)], where DC = detector's vehicular traffic count and TC = observed media-recorded vehicular traffic count.

The Engineer will review the data findings and accept or reject the results within 7 days. Vehicle anomalies or unusual occurrences will be decided by the Engineer. Data or counts not agreed by the Engineer will be considered errors and count against the unit's calibration. If the Engineer determines that the VIVDS does not meet the performance requirements, you must re-calibrate and retest the unit, and resubmit new test data within 7 days. After 3 failed attempts, you must replace the VIVDS with a new unit.

Notify the Engineer 20 days before the unit is ready for acceptance testing. Acceptance testing must be scheduled to be completed before the end of a normal work shift. You must demonstrate that all VIS cameras and VDUs satisfy the functional requirements.

10-3.22 EMERGENCY VEHICLE DETECTOR SYSTEM

Traffic signal at Location 6 and Location 8 shall have an emergency vehicle detector system which shall conform to the details shown on the plans and these special provisions.

GENERAL

Each emergency vehicle detector system shall consist of an optical emitter assembly or assemblies located on the appropriate vehicle and an optical detector/discriminator assembly or assemblies located at the traffic signal.

Emitter assemblies are not required for this project except units for testing purposes to demonstrate that the systems perform as specified. Tests shall be conducted in the presence of the Engineer as described below under "System Operation" during the signal test period. The Engineer shall be given a minimum of 2 working days notice prior to performing the tests.

EMITTER ASSEMBLY

Each emitter assembly, provided for testing purposes, shall consist of an emitter unit, an emitter control unit and connecting cables.

OPTICAL DETECTION/DISCRIMINATOR ASSEMBLY

General

Each optical detection/discriminator assembly shall consist of one or more optical detectors, connecting cable and a discriminator module.

Cable

Optical detector cable (EV-C) shall meet the requirements of IPCEA-S-61-402/NEMA WC 5, Section 7.4, 600-V(ac) control cable, 75 °C, Type B and the following:

A. The cable shall contain 3 conductors, each of which shall be No. 20 (7 x 28) stranded, tinned copper with low-density polyethylene insulation. Minimum average insulation thickness shall be 0.63-mm. Insulation of individual conductors shall be color coded: 1-yellow, 1-blue, 1-orange.
B. The shield shall be either tinned copper braid or aluminized polyester film with a nominal 20 percent overlap. Where film is used, a No. 20 (7 x 28) stranded, tinned, bare drain wire shall be placed between the insulated conductors and the shield and in contact with the conductive surface of the shield.
C. The jacket shall be black polyvinyl chloride with minimum ratings of 600 V(ac) and 80 °C and a minimum average thickness of 1.1 mm. The jacket shall be marked as required by IPCEA/NEMA.
D. The finished outside diameter of the cable shall not exceed 8.9 mm.
E. The capacitance, as measured between any conductor and the other conductors and the shield, shall not exceed 157 pF per meter at 1,000 Hz.

F. The cable run between each detector and the controller cabinet shall be continuous without splices or shall be spliced only as directed by the detector manufacturer.

Discriminator Module

Each discriminator module shall be designed to be compatible and usable with a Model 170 controller unit and to be mounted in the input file of a Model 332 or Model 336 controller cabinet, and shall conform to the requirements of Chapter I of the State of California, Department of Transportation, "Traffic Signal Control Equipment Specifications."

Cabinet Wiring

The Model 332 cabinet has provisions for connections between the optical detectors, the discriminator module and the Model 170 controller unit.

Wiring for a Model 332 cabinet shall conform to the following:

A. Slots 12 and 13 of input file "J" have each been wired to accept a 2-channel module.
B. Field wiring for the primary detectors, except 24-V(dc) power, shall terminate on either terminal board TB-9 in the controller cabinet or on the rear of input file "J", depending on cabinet configuration. Where TB-9 is used, position assignments shall be as follows:

Position	Assignment
4	Channel A detector input, 1st module (Slot J-12)
5	Channel B detector input, 1st module (Slot J-12)
7	Channel A detector input, 2nd module (Slot J-13)
8	Channel B detector input, 2nd module (Slot J-13)

The 24-V(dc) cabinet power will be available at Position 1 of terminal board TB-1 in the controller cabinet.

Field wiring for the auxiliary detectors shall terminate on terminal board TB-O in the controller cabinet. Position assignments are as follows:

FOR MODULE 1 (J-12)		FOR MODULE 2 (J-13)	
Position	Assignment	Position	Assignment
1	+24V(dc) from (J-12E)	7	+24V(dc) from (J-13E)
2	Detector ground From (J-12K)	8	Detector ground from (J-13K)
3	Channel A auxiliary detector input 1	9	Channel A auxiliary detector input 1
4	Channel A auxiliary detector input 2	10	Channel A auxiliary detector input 2
5	Channel B auxiliary detector input 1	11	Channel B auxiliary detector input 1
6	Channel B auxiliary detector input 2	12	Channel B auxiliary detector input 2

SYSTEM OPERATION

The Contractor shall demonstrate that the components of each system are compatible and will perform satisfactorily as a system. Satisfactory performance shall be determined using the following test procedure during the functional test period:

A. Each system to be used for testing shall consist of an optical emitter assembly, an optical detector, an optical detector cable and a discriminator module.
B. The discriminator modules shall be installed in the proper input file slot of the Model 170 controller assembly.
C. Two tests shall be conducted; one using a Class I signal emitter and a distance of 300 m between the emitter and the detector, the other using a Class II signal emitter and a distance of 550 m between the emitter and the detector. Range adjustments on the module shall be set to "Maximum" for each test.
D. Each test shall be conducted for a period of one hour, during which the emitter shall be operated for 30 cycles, each consisting of a one-minute "on" interval and a one-minute "off" interval. During the total test period, the emitter signal shall cause the proper response from the Model 170 controller unit during each "on" interval and there shall be no improper operation of either the Model 170 controller unit or the monitor during each "off" interval.

10-3.23 LUMINAIRES

Ballasts shall be the lag or lead regulator type.

10-3.24 SIGN LIGHTING FIXTURES-INDUCTION

Induction sign lighting fixtures shall conform to the provisions for mercury sign lighting fixtures in Section 86-6.05, "Sign Lighting Fixtures-Mercury," of the Standard Specifications and these special provisions.

Each fixture shall consist of a housing with door, a reflector, refractor or a lens, a lamp, a power coupler, a high frequency generator and a fuse block. Fixtures shall have a minimum average rating of 60,000 hours. Fixtures shall be for a wattage of 87 W, 120/240 V(ac). The power factor of the fixtures shall be greater than 90 percent and the total harmonic distortion shall be less than 10 percent. Fixtures shall be Underwriters Laboratories (UL) approved for wet locations and be Federal Communications Commission (FCC) Class A listed.

The mass of the fixture shall not exceed 20 kg. The manufacturer's brand name, trademark, model number, serial number and date of manufacture shall be located on the packaged assembly and permanently marked on the outside and inside of the housing.

MATERIALS

Mounting Assembly

The mounting assembly may be either cast aluminum, hot-dip galvanized steel plate or steel plate that has been galvanized and finished with a polymeric coating system or the same finish that is used for the housing.

Housing

Housings shall have a door designed to hold a refractor or lens. Housing doors shall be designed to be opened without the use of tools. Housings and doors shall have a powder coat or polyester paint finish of a gray color resembling unfinished fabricated aluminum.

Reflector

Reflectors shall be designed to be removed as a unit that includes the lamp and power coupler.

Refractor

Refractors or lenses shall have smooth exteriors. Lenses shall be flat or convex. Convex lenses shall be made from heat resistant, high-impact resistant, tempered glass.

Convex lenses shall be designed or shielded so that no fixture luminance is visible when the fixture is approached directly from the rear and the viewing level is the bottom of the fixture. When a shield is used, it shall be an integral part of the door casting.

Lamp

Each fixture shall be furnished with an 85-W induction lamp. Interior lamp walls shall be fluorescent phosphor coated. Lamp light output shall be at least 70 percent at 60,000 hours. Lamps shall have a minimum color-rendering index of 80. Lamps shall be rated at a color temperature of 4,000 K. Lamps shall be removable without the use of tools.

Power Coupler

Power couplers shall consist of a construction base with antenna, heat sink and electrical connection cable.

The power coupler shall be designed so that it can be removed with common hand tools.

High Frequency Generator

High frequency generators shall start and operate lamps at an ambient temperature of -25 °C or greater for the rated life of the lamp.

Generator output frequency shall be 2.65 MHz ± 10 percent. The generator radio frequency interference shall meet the requirements of the Federal Communications Commission Title 47, Part 18, regulations concerning harmful interference.

High frequency generators shall operate continuously at ambient air temperatures from -25 °C to +25 °C without reduction in generator life. High frequency generators shall have a design life of at least 100,000 hours at 55 °C.

High frequency generators shall be capable of being replaced with common hand tools. Conductor terminals shall be identified as to the component terminal to which they connect.

High frequency generators shall be mounted to use the fixture upon which they are mounted as a heat sink.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and a copy of the high frequency generator test methods and results shall be submitted by the manufacturer with each lot of fixtures. The certificate shall state that the high frequency generators meet the requirements of this section and the generator specifications of the lamp manufacturer.

10-3.25 INTERNALLY ILLUMINATED SIGNS

The "METER ON" sign shall be a Type A pedestrian signal modified so that the reflector shall be a single chamber with 2 incandescent lamps.

The message shall be white "METER ON" as shown on the plans. White color shall be in conformance with the provisions in Section 86-4.06, "Pedestrian Signal Faces," of the Standard Specifications.

Lenses shall be 4.8-mm, minimum thickness, clear acrylic, polycarbonate plastic, or 3-mm nominal thickness glass fiber reinforced plastic, with molded, one piece, neoprene gasket. Message lettering for "METER" shall be "Series C," 113 mm high, with uniform 13-mm stroke, and for "ON" shall be "Series C," 150 mm high, with uniform 25-mm stroke. Letters shall be clear, transparent or translucent, with black opaque background silk screened on to the second surface of the lens.

10-3.26 PHOTOELECTRIC CONTROLS

Contactors shall be the mechanical armature type.

10-3.27 EXTINGUISHABLE MESSAGE SIGN (LED)

Extinguishable message sign (EMS) shall use Light Emitting Diodes (LED) in pixels, the pixels forming a module, and the module forming legends in nominal 250 mm size letters. The LED messages shall automatically adjust its light output by means of photosensors installed in the EMS housing. Multiple EMS's may be controlled from the same photosensor. Light output shall be proportional to the ambient light (more ambient light / more output and less ambient light / less output). There shall be a minimum of three adjustable levels of luminance: 100 percent, 60 percent and 30 percent luminance. The signs shall have a 30 percent luminance manual control as shown on the plans.

The dimensions of the EMS panel shall be 2440 mm in length, 1210 mm in height and shall not exceed more than 200 mm in width.

10-3.27.01 CONSTRUCTION

The EMS's shall be constructed so that an anti-glare polycarbonate or hardened acrylic front face panel with anti-glare film shall be contained within an extruded aluminum frame.

The extruded aluminum frame shall be hinged to allow access to the interior of the sign and have fully welded seams with a high gloss textured black finish powder coat paint meeting the color standards of Federal specifications 595b, 17038. A 9.5 mm nominal black anodized aluminum hex cell louver having 95 percent open area and providing 60 degree shielding shall be installed between the LED pixels and the front face panel to enhance resistance to sun phantom. The louvers shall be secured in front of the LED pixels with captive type retainers.

The EMS's shall be gasketed with a closed cell neoprene gasket making the sign rain tight. Stainless steel latches shall provide for quick access to the interior of the sign. The sign shall be provided with devices to retain the face panel in a fully open mode assisting the servicing of the sign. Exterior hardware shall be of stainless steel or cadmium plated materials.

The EMS's shall be vented on the bottom and shall have an interior temperature controlled ventilation fan to ensure the interior of the housing remains below 55 °C without compromising the rain tight integrity. Vents shall have insects screen installed.

The LED pixels shall utilize Aluminum Indium Gallium Phosphide (AlInGaP), and shall be the ultra bright type rated for 100,000 hours of continuous operation from –37 °C to +74 °C. LED pixels shall be Ultra Violet stabilized. Individual LED's shall be wired so that a failure of one LED will not result in the loss of more than one third of a pixel.

The LED modules shall consist of multiple pixels in a 5(W)w x 7(H)h configuration. Each pixel shall consist of at least 12 high intensity LED's. Each pixel shall be 590 nanometers nominal amber in color having an initial nominal luminous intensity of 9.5 candela (cd) on the maximum setting. Each LED pixel shall consume no more than 16 watts of power. Each pixel shall be removable or replaceable on the module with a screwdriver, and each module shall be removable from the housing in the same manner.

The LED's shall be soldered in place, and the LED leads shall not be shortened or removed. LED's shall be from the same manufacturer and same color bin.

10-3.27.02 OPERATION

The LED messages shall be steadily lit when activated. EMS's shall have internal power supplies and dimming capability to fully operate the sign. The EMS power factor shall be greater than 90 percent, and current total harmonic distortion shall be less than 25 percent.

The EMS's shall operate at a frequency of 60 Hz ± 3 Hz AC line over a voltage ranging from 90 volts to 135 volts. The LED circuitry shall prevent perceptible flicker over the voltage range specified. Line voltage fluctuations shall have no visible effect on the luminous intensity of the EMS. The rated voltage for measurements shall be 120 volts AC.

The EMS's shall include voltage surge protection to withstand high repetition noise transient as stated in section 2.1.6 of NEMA Standard TS-2, 1992.

The EMS's shall meet Federal Communications Commission (FCC) Title 47, Subpart B, Section 15 regulations concerning the emission of electronic noise.

10-3.27.03 PHOTOMETRIC REQUIREMENTS

The EMS pixels shall meet at least 85 percent of the minimum intensity requirements while operating throughout the operating range of –37 °C to +74 °C.

The minimum initial luminous intensity values for LED pixels shall be 650 cd/m2 at 25 °C. Each EMS pixel shall have a viewing angle of 30 degrees to the sign.

The measured chromatic coordinates of the EMS pixels shall conform to the chromaticity requirements of section 5.3.2.1. and Figure C of the Equipment and Materials Standards of the Institute of Transportation Engineers ITE Publication No. ST-017A.

10-3.27.04 TERMINAL BLOCKS

Terminal blocks shall be installed in the interior bottom of the sign housing with sufficient number of terminals to accommodate the wiring. Wiring shall be bundled, wrapped and permanently labeled.

10-3.27.05 DOCUMENTATION

Complete shop drawings for the EMS' shall be submitted to the Engineers for testing, evaluation and approval, a minimum of 30 days before ordering or fabrication of equipment.

The following operating characteristics shall be identified: Rated Voltage, Power Consumption, Amperes and Volt-amperes. The EMS's shall have the manufacturer's name and trademark permanently marked on the side of sign. Individual EMS's shall be identified with a model, serial number and shipping date stamped on a tag attached to the sign for warranty purposes. The lettering shall be a minimum of 7 mm high. The information may be either depressed or raised, and shall be legible and durable.

10-3.27.06 WARRANTY

The manufacturer shall provide a Certificate of Compliance to this standard and shall replace or repair any EMS that exhibits a failure due to workmanship or material defects within 60 months of delivering the sign to the State or the date of acceptance whichever is later. The manufacturer shall replace or repair any sign that exhibits light degradation greater than 50 percent within the first 36 months of operation, or if more than 20 percent of the LED's fail during that same period.

10-3.28 EXTINGUISHABLE MESSAGE SIGN RADIO CONTROLLER ASSEMBLY

Each extinguishable message sign (EMS) radio controller assembly shall consist of an AM radio receiver unit with antenna and a DTMF decoder unit completely wired as a stand alone assembly.

Radio receiver unit shall conform to the following specifications:

Frequency range	530-1710 kHz
Modulation type	AM
IF rejection	60 dB
Image rejection	60 dB
Selectivity	60 dB
Signal-to-noise (S/N) ratio	50 dB
Usable sensitivity	20 μV (20 dB S/N)
Power source	12 V(dc) Negative Ground
Tone control effects	10 to 22 dB at 10 kHz
Speaker output impedance	4 or 8 Ω
Output power	8W (4 W per channel)

DTMF decoder unit shall consist of a MT8870 DTMF Decoder integrated circuit (IC). The audio signal is coupled to MT8870 with a capacitor-resistor network. The capacitor-resistor network will provide DC blocking and input biasing to the MT8870. The MT8870 DTMF Decoder shall decode all 16 DTMF tones and shall compensate for "twist" (Each tone is made up of one higher frequency tone and one lower frequency tone. "Twist" on radio link is caused by the fact that the higher frequencies travel through the air at a different speed than the lower frequencies).

The MT8870 Decoder gives a binary output on pins 11, 12, 13 and 14. The output is stored in a non-volatile Electrically Erasable Programmable Read Only Memory (EEPROM). The output relay shall have a nominal rating of 20 A at 120 V(ac) per output contact.

DTMF shall have the following codes:

Low Frequency (Hz)	High Frequency (Hz)	Digit
697	1209	1
697	1336	2
697	1477	3
770	1209	4
770	1336	5
770	1477	6
852	1209	7
852	1336	8
852	1477	9
941	1336	0
941	1209	*
941	1477	#
697	1633	A
770	1633	B
852	1633	C
941	1633	D

FRONT PANEL

The front panel of the EMS radio controller assembly shall have the following controls, display, battery tray and output jack:

a. A "STORE" push button switch which shall be used to teach the DTMF Decoder the code that will be used to energize the output relay.
b. A "STOP" push button switch which shall be used to manually de-energize the output relay.
c. A manual/automatic control switch which activates output relay ON/OFF while in manual mode, and tone remote control in automatic mode.
d. An audio level control nub.
e. A frequency selection nub.
f. A LED display panel which shall always display AM radio frequency in a minimum of four digits.
g. A 9 V battery tray which holds one 9 V battery to maintain the radio receiver frequency selection during a power outage.
h. An audio output jack which is used to monitor the radio reception and DTMF tone storage.

REAR PANEL

The rear panel of the EMS radio controller assembly shall provide antenna connection, power connection, and a fuse holder with a 2 A fuse.

PHYSICAL REQUIREMENTS

The assembly shall not exceed 49 mm x 176 mm x 134 mm in size and shall not weight more than 1.5 kg.

ENVIRONMENTAL REQUIREMENTS

The assembly shall operate over a temperature range from -37 °C to +74 °C, with up to 95 percent relative humidity.

10-3.29 EXTINGUISHABLE MESSAGE SIGN SYSTEM

The work to be done at EMS system, as shown on the plans, as a minimum, shall consist of the following:

1. Install EMS panels on wood posts.
2. Install NEMA 3R enclosure, conduits and conductors on the wood post.
3. Install conduits, conductors and pull boxes from controller cabinet to EMS.

4. Terminate conductors in the controller cabinet.
5. Terminate conductors in NEMA 3R enclosure and EMS panels.
6. Install EMS radio controller assembly.

10-3.30 MODEL 500 CHANGEABLE MESSAGE SIGN SYSTEM

Model 500 changeable message sign (CMS) systems consist of a Model 500 changeable message sign, a Model 170 controller assembly in a completely wired Model 334 controller cabinet and the required wiring and auxiliary equipment required to control the CMS shown on the plans and in conformance with these special provisions.

The Model 500 changeable message signs, wiring harness and Model 170 controller assembly including controller unit and completely wired cabinet, but without anchor bolts, will be State-furnished in conformance with the provisions in "Materials" of these special provisions.

Model 500 changeable message sign system components will conform to the requirements in "Specifications for Changeable Message Sign System," issued by the State of California, Department of Transportation, and to the addendums thereto current at the time of project advertising. Model 170 controller assemblies will conform to the requirements in "Traffic Signal Control Equipment Specifications," issued by the State of California, Department of Transportation, and to the addendums thereto current at the time of project advertising.

Attention is directed to "sign Structures" of these special provisions.

The sign assembly shall be installed on the sign structure. The controller cabinet foundation shall be constructed as shown on the plans for Model 334 cabinets (including furnishing and installing anchor bolts), the controller cabinet shall be installed on the foundation and the field wiring connections shall be made to the terminal blocks in the sign assembly and in the controller cabinet.

Field conductors, No. 12 and smaller shall terminate with spade terminals. Field conductors, No. 10 and larger shall terminate in spade or ring terminals.

A listing of field conductor terminations, in each State-furnished changeable message sign and controller cabinet, will be furnished free of charge to the Contractor at the site of the work.

The location of the foundation for each controller cabinet will be determined by the Engineer.

State forces will maintain the sign assemblies. The Contractor's responsibility shall be limited to conformance with the provisions in Section 6-1.02, "State-Furnished Materials," of the Standard Specifications.

10-3.31 CHANGEABLE MESSAGE SIGN (CMS) DISCONNECT SWITCH

Each CMS shall have a disconnect switch, which shall be a UL-listed 100 Ampere, 2 pole, non-fusible switch rated for 600 V maximum. It shall have an electrical interlock to prevent accidental operation and a line terminal shield to prevent accidental contact with the terminals. It shall be housed in a NEMA 3R enclosure with a door latch and provisions for a padlock. The housing shall have a front-operated handle for provisions to lock the handle in the OFF position. The housing shall be mounted 1.8 m high on the exterior of the sign post facing oncoming traffic.

10-3.32 DIAL-UP MODEM

The dial-up modem unit shall be Hayes compatible and directly connect to Model 170 controller unit. The unit allows communication between the controller and a host computer over the public switched telephone network. The unit shall offer up to 2400 baud communication, auto-dial answer, speed detect and Hayes command set compatibility.

Modems provided shall not be prototype units, but of current standard production units, new and not previously used. Each modem ordered shall be provided with a manual and meet the following specifications:

A. Compatibility to automatically adapt to calling or called modem at the following baud rates:

CCITT V.22 bis	2400 baud
CCITT V.22	1200 baud
Bell 212A	1200 baud
Bell 103	300 baud

B. Serial Data Format:

Character asynchronous.
7 data bits with any parity type +1 or 2 stop bits.
8 data bits with mark or no parity +1 or 2 stop bits.

C. Line conditioning shall be compatible with the public switched telephone network.

D. Line interface shall meet FCC Part 68 requirements with the maximum transmit level of -9 dBm at 600 Ω and be configured for 2-wire full duplex (Tip and Ring) operation.

E. Operation shall be capable of either: (i.) Asynchronous full or half duplex, and (ii.) Automatic and manual for call originate and answer.

F. Modulation shall be capable of producing either: (i.) V.22 bis - Quadrature Amplitude Modulation (QAM), (ii.) V.22 and 212A - Differential Phase Shift Keying (DPSK), and V.21 and 103 - Frequency Shift Keying (FSK).

G. NVRAM memory that allows storage of two user profiles and four 36-digit dial strings.

H. Command set shall be industry standard Hayes "AT" 2400B and 2400.

I. Equalization shall be: (i.) Fixed compromise equalization in transmitter, and (ii.) Adaptive equalizer for 1200 and 2400 bits per second (bps).

J. Performance shall have a bit error rate less than 1:100,000 bits for a Signal to Noise (S/N) ratio of 10 dB for TXD and 45 dB for RXD.

K. Interface signals shall be RS232C levels with CCITT V.24 protocols.

L. Autodialer type shall be DTMF or pulse type dialing, specified in commands.

M. DTMF tone pair balance shall be greater than 3 dB.

N. DTMF tone duration and spacing shall be variable from 50 ms to 255 ms (Register S11) with a default duration of 95 ms.

O. Command buffer size shall be 40 characters maximum with "AT" spaces and <CR><LF> not counted.

P. Guard tones of 1800 Hz or 550 Hz is transmitted by the answering modem, for echo suppression. Guard tone will not be transmitted in Bell 212A or 103 modes. Default mode shall have no guard tone.

Q. Result codes shall have the ability to limit, abbreviate, or suppress codes.

R. Receive carrier detection levels shall be:

off-to-on Threshold	-43 dBm
on-to-off Threshold	-48 dBm
Hysteresis	Greater than 2 dB

S. Timing for carrier detect response time shall be adjustable from 100 ms to 25.5 s with the default set at 600 ms.

T. Indications for Transmitted Data (TXD), Received Data (RXD), Off Hook (OH), Data Carrier Detected (DCD), Auto Answer (AA) and High Speed (HS) shall be mounted on the front edge of PCB.

U. Power requirements shall be:

Input Voltage	Maximum current consumption
+12 V(dc)	200 mA
-12 V(dc)	200 mA

V. Environmental operating temperature ranges shall be between -37 °C to +74 °C with 95 percent non-condensing humidity.

10-3.33 CHANGEABLE MESSAGE SIGN STATION

The changeable message sign (CMS) station shall consist of installing the following equipment, as shown on the plans and as directed by the Engineer:

1. One controller isolation assembly (CIA).
2. Cable harnesses 4 and 5.
3. One CMS panel.
4. One CMS disconnect switch.
5. One controller cabinet.
6. Dial-up Modem.

Items 1, 2, 3 and 5 are State-furnished.

10-3.34 CAMERA STATION

GENERAL

The Contractor shall furnish and install the following closed circuit television (CCTV) equipment at each camera station as described in these special provisions and as shown on the plans:

1. One integrated camera unit.
2. One CCTV pole and camera-mounting adapter if required
3. One camera control unit (CCU).
4. One video encoder unit (VEU).
5. Power strip.
6. Hybrid camera cable (HCC), connectors and fittings as required.
7. Interface cable and conductors as required.
8. Equipment shelf with brackets as required.

INSTALLATION OF CAMERA STATION

The Contractor shall install CCTV pole with foundation, conduits and pull boxes as required and as shown on the plans. The type of CCTV pole is shown on the plans and shall meet the specifications describe elsewhere in these special provisions. The Contractor shall install and terminate the HCC with connectors as shown on the plans. The HCC shall connect to camera pigtail cable and secure to the pole as shown on the plans for strain-relief.

The integrated camera unit shall be installed on camera mounting plate as shown on the plans. The integrated camera unit shall be secured to the mounting plate using the stainless steel bolts provided with the integrated camera unit. Before each bolt is fastened, a locking type coating shall be applied to the threads. The coating shall lock the bolt and nut in place, making it impossible to turn the bolt or nut without tools. This coating shall last through and be effective through at least ten insertions and withdrawals of the bolt or nut.

The Contractor shall install CCU, VEU, router, power strip, 8-position connecting block, equipment shelves and all the interface cables in the controller cabinet as shown on the plans. The power strip shall be mounted on the rear mounting rack of the controller cabinet.

The Contractor shall furnish all materials necessary to provide a complete and functional camera station in accordance with these special provisions. Miscellaneous equipment and materials not mentioned but necessary to provide a complete and fully operational camera station shall be furnished by the Contractor as incidental to the work for which no additional compensation will be allowed therefor.

All items furnished under this contract shall be new and shall be the latest version.

CAMERA STATION TESTING

Upon completion of work, each camera station shall be subjected to post-installation tests as outlined herein. All software shall be provided and loaded before the start of testing. The District Electrical Systems Branch personnel, arranged by the Engineer and in the presence of the Contractor, shall perform all tests. The Contractor shall notify the Engineer in writing fifteen days prior to the scheduled testing. Upon receipt of the notification, the Engineer shall contact Office of Electrical Systems at (510) 286-6142. The Contractor shall provide all necessary equipment required to access the CCTV equipment for testing.

The testing shall consist of five consecutive days of continuous satisfactory operation of each camera station. If any material and equipment furnished and installed by the Contractor in this project is found defective or otherwise unsuitable, or the workmanship does not conform to the accepted standards, the Contractor shall replace such defective material and equipment at no cost to the State.

The Contractor may offer rejected material or equipment for consideration provided all non-compliance has been corrected and pretested by the Contractor. After all defects have been corrected, the camera station shall be re-tested until five consecutive days of continuous satisfactory operation is obtained.

The post-installation tests shall consist of, but not be limited to, inspection and functional testing in accordance with these special provisions.

Inspection shall consist of, but not be limited to, verification of correct wiring terminations, correct cable interconnections, good workmanship and compliance with these special provisions.

Functional testing shall include, but not be limited to, the following:

a. Verify all local mode CCTV operations using the CCU front panel controls.
b. Verify video signal output from CCU with a National Television Systems Committee (NTSC) monitor.
c. Verify the correct operation of the auto/manual iris, auto/manual focus and zoom functions.
d. Verify the correct operation of the pan/tilt function. The pan/tilt function shall be tested over 355 degrees in the horizontal plane and ±85 degrees in the vertical plane
e. Verify the correct operation of the preset positions.

10-3.34.01 INTEGRATED CAMERA UNIT

GENERAL

Each integrated camera unit shall consist of a camera, lens, receiver/driver, pan/tilt assembly, environmental housing, sunshield and pigtail cable with connector. The integrated camera unit shall automatically switch to monochrome mode when ambient light level is at 215 Lux and switch back to color at 1938 Lux.

Each integrated camera unit shall be assembled, inspected and tested in accordance with these special provisions prior to delivery to the job site. Installation, operations and maintenance manuals shall also be submitted at the time of delivery. The Contractor shall submit the applicable documents of the U.S. Military Specification (MIL-SPEC), Underwriters Laboratory, Inc. (UL), Electronics Industries Association (EIA) Standards and other Standards form parts of the specification to the extent specified in these standards. In the event of a conflict between the content of this section and the content of the specification, the standards defined in this section shall supersede.

Military Specification Documents	
MIL-I-45208A	Inspection System Requirements, Dec. 16, 1963
MIL-C-45662	Calibration System Requirements, June 10, 1980
MIL-STD-416A	Electromagnetic Interface Characteristics Requirements for Equipment, Subsystems & Systems, Aug. 1, 1968
MIL-E-5400T	Electronic Equipment, Airborne General Specification
MIL-STD-810	Environmental Test Methods, 19 July 1983
MIL-C-5541	Chemical Conversion Coatings on Aluminum Alloys, June 3, 1970

Underwriters Laboratory, Inc. and other documents	
UL-796	Printed Circuit Boards
EIA-170A	Electrical Performance Standards Color Television Studio Facilities
EIA RS-330	Electrical Performance Standards for Closed Circuit Television (CCTV) Camera 525/60 Interlaced

CAMERA

Each camera shall meet the following specifications at a minimum:

Imager	Interline transfer Progressive Scan CCD with mosaic-type color compensating filter
Image Area	6.35 mm Format, 3.6 mm (H) x 2.7 mm (V)
Resolution	540 horizontal; 350 vertical (pixels)
Picture Elements	811 (H) x 508 (V) Total pixels
Video Output	NTSC, 1 V p-p @ 75 ohms, unbalanced
Lens	Aperture: f/1.4 (wide) to f/4.2 (telephoto)
Optical Zoom Range	35X, 3.4 mm to 119 mm
Digital Zoom Range	1X (Off) through 210X, Smooth transition from Optical to Digital Zoom
Horizontal Angle of View	Optical: 55.8° to 1.7°, at 10X Digital: 55.8° to 0.17°.
Focus Distance.	1016 mm in telephoto, 10.16 mm in wide angle

Digital Compass	8 or 16 direction point compass annotation with primary direction spelled out and intermediate directions abbreviated with two letters
Auto Focus	Selectable Auto/Manual
Manual Focus Speed	Approximately 2.0 seconds to full range
Minimum Scene Illumination	For Reliable Auto Focus, 30% video
Zoom & Focus Presets	64 preset positions with auto focus and ID
Flash Memory	Update firmware and new features via serial communication
Shutter speeds	1/60; 1/120; 1/180; 1/250; 1/500; 1/1,000; 1/2,000; 1/4,000; 1/10,000; 1/30,000 second
Auto Iris	Automatically adjusts to compensate for changes in scene illumination to maintain constant video level output within sensitivity specifications
Manual Iris	Changing the video level to give the effect of open iris/close iris
Gamma	0.45
Auto Gain Control	0 to 28 dB
Color Balance	Auto Tracking Color Balance/Manual with adjustable Red and Blue Levels
Signal to Noise Ratio	>50 dB
Synchronization	Crystal or Phase-Adjust Line Lock on 60 Hz
Sensitivity	@F1.4, Wide Angle 0.5 Lux @ 1/60 sec., F1.4, Shutter, Color I.R. Cut On 0.05 Lux @ 1/2 sec., F1.4, Shutter, Color I.R. Cut On 0.2 Lux @ 1/60 sec., F1.4, Shutter, monochrome mode I.R. Cut Off 0.01 Lux @ 1/4 sc., F1.4, Shutter, monochrome mode I.R. Cut Off

Pan & tilt function specifications:

1	Continuous rotation capability in either direction
2	180° of tilt movement, +90° to –90° unobstructed
3	Pan Speed (Operator Control): Variable from 0.1°/sec. to 80 °/sec.
4	Pan Speed (Preset Control): >140°/sec.
5	Tilt Speed (Operator Control): Variable from 0.1°/sec. to 40 °/sec.
6	Tilt Speed (Preset Control): 140°/sec.
7	64 Pan & Tilt preset positions with repeatability within ± 0.5°
8	The positioning system shall be invertible if inverse mounting is required

The integrated camera unit shall have eight programmable camera movement sequences. Each sequence is programmed by selecting the preset position by number, and then selecting a dwell time. The presets can be used in any order, and the same preset may be used more than once as long as the total number of preset positions used does not exceed 32. The dwell time defines the length of time paused at each preset position. It can be from 1 second to 60 seconds. The dwell time can be changed individually for all stops on the sequence. If the appropriate preset ID is programmed, it shall be displayed for each preset position used on the sequence. The sequence shall stop upon receipt of a pan command. All programmable functions shall be stored in non-volatile memory.

The camera housing shall be a corrosion resistant and tamper proof sealed and pressurized housing with 2.27 kg dry nitrogen with Schrader purge fitting and 14 KPa relief valve for each camera. The size of the housing shall be 89 mm diameter or smaller. The housing exterior shall be finished by pre-treatment with conversion coating and baked enamel paint. The camera enclosure shall be designed to withstand the effects of sand, dust and hose-directed water.

The internal humidity of the housing shall be less than 10 percent, when sealed and pressurized. Desiccant packs shall be securely placed inside the housing to absorb any residual moisture and maintain internal humidity at 10 percent or less. The housing shall include a thermostatically controlled heating pad rated at 115 V(ac), 100 W maximum.

A sun shield or visor shall be provided to shield the lens from direct sunlight.

The integrated camera unit shall include a character generator. The text characters shall be uppercase white with black border impose on the video stream. A maximum of six lines of user programmable alphanumeric text shall be displayed through serial communications. Messages can be positioned at either the top or the bottom of display. The right side positioning is accomplished by padding left side of message with spaces. Blank lines shall not be displayed. Any

programmed line being displayed shall fill in toward the top if top positioning is selected, or toward the bottom if bottom position is selected.

Camera ID shall be used for upper 2 lines with each up to 24 characters long. If both lines are programmed, line 1 of Camera ID shall always appear above line 2 of Camera ID regardless of top or bottom selection.

Preset ID shall be 1 line, up to 24 characters long, user programmable for each of the 64 preset positions. When a preset position is recalled the corresponding preset ID shall be displayed. The preset ID shall remain displayed until a pan, tilt, zoom, manual focus, auto focus select, or another preset command is received.

An 8-point or 16-point compass annotation shall be settable for a true north position. Display shall include North, NE, East, SE, South, SW, West and NW. Position shall be able to be group with the site location or separate from site location. Azimuth and elevation position shall be displayed in 0 - 359 degrees and +95 to –95, respectively. All display shall be user selectable for enable/disable, 3-second time out or permanent display. Sector Message of up to 16 sectors in 360° shall be defined with up to 24 characters long.

Low-pressure indicator shall use 1 line with messages displayed in "blinking" or "non-blinking" mode when activated by low internal pressure. Adjustable set points by altitude shall be provided via the serial port to activate low-pressure. Message shall be enabled or disabled. In maintenance mode readings of the internal pressure of the camera housing shall be displayed from 5 down to 7 KPa, in 0.7-KPa increments.

Internal temperature indicator shall use 1 line with messages displayed in "blinking" or "non-blinking" mode. Message shall be enabled or disabled. In maintenance mode, camera readings of the internal temperature of the camera housing shall be in 1-degree increments.

Video blanked for up to 8 privacy zones shall be provided. One line numeric messages shall be displayed. Message shall be displayed in "blinking" or "non-blinking" mode and be enabled or disabled. Privacy zones shall be programmed through serial communications.

Control and addressing the integrated camera unit shall be done through either RS422 or RS232 optically isolated serial communications. Additional protocols shall consist of Cohu, American Dynamics, Javelin, Philips/Bosch, Vicon and Pelco-D. The National Transportation Communications for ITS Protocol (NTCIP) 1205 protocol communications protocol shall be included as an option. Refer to NTCIP 1205 protocol for detailed description.

Upon receipt of any given command, the integrated camera unit shall response in not longer than 1.0 second.

All programmable functions including camera last operating position shall be stored in non-volatile memory and shall not be lost if a power failure occurs. Upon power restoration, the camera shall go through a series of self-testing/calibration and return to the same position it left before the power interruption. System configurations such as video privacy zones, preset text and sector I.D. shall be able to be stored in a computer file and a camera personality can be cloned or uploaded into a camera in the event that a camera replacement is necessary.

PHYSICAL AND MECHANICAL REQUIREMENTS

Each integrated camera unit shall not weight more than 9 kg. Its dimensions shall not exceed 356 mm in length, 178 mm in width and 305 mm in height (Including mounting base.) The integrated camera unit shall be pole mount version. There shall be four equal spaced mounting holes on the mounting base. Each integrated camera unit shall be provided with four stainless steel hex head bolts to secure the integrated camera unit to the camera mounting plate. All fasteners and nuts used in attaching the integrated camera unit to the mounting plate shall be of grade 18-8 stainless steel. A camera-mounting adapter shall be provided if the bolt hole pattern of the camera base is not match the camera mounting plate as shown on the plans. The camera-mounting adapter shall conform to the specifications for CAMERA-MOUNTING ADAPTER in these special provisions.

POWER REQUIREMENTS

The integrated camera unit shall operate between voltage 89 V(ac) to 135 V(ac), 120 V(ac) nominal voltage and 50 or 60 Hz (±3.0 Hz). The integrated camera unit shall conform to National Electrical Manufacturers Association (NEMA) standard TS-2 (1998) for traffic control system 2.1.2. The integrated camera unit shall meet the requirements of Section 2.1.6, "transients, power service," of the NEMA standard TS-2 (1998). The line variation and surge performance shall be tested to meet these specifications by an outside agency, other than the camera manufacturer. The tests shall be provided upon request. The power consumption shall not exceed a total of 200 watts, 100 watts for camera/receiver/pan and tilt driver in motion and 100 watts for heater on.

ENVIRONMENTAL REQUIREMENTS

The integrated camera unit shall operate in ambient temperature range from -34 °C to +74 °C, in relative humidity up to 100%. The unit shall operate when exposure to sand, dust, fungus, and salt atmosphere per MIL-E-5400T, paragraph 3.2.24.7, 3.2.24.8, 3.2.24.9 and with shock for up to 10 Gs, 11 ms, in any axis under non-operating conditions, per

MIL-E-5400T, para 3.2.24.6. The unit shall not be damaged with sine vibration from 5 to 30 Hz, 1/2 g, 3 axis in one hour. The unit shall operate in 123 km/hr wind.

CAMERA PIGTAIL AND CONNECTOR

The camera pigtail cable shall conform to the specifications for HYBRID CAMERA CABLE in these special provisions. The length of the camera pigtail cable shall not be less than 0.8-m. The terminating connector shall be equivalent to an Amphenol 206036-3 with back shell 206070-1.

The contact pin assignment of the connector shall be:

Position	Function	Position	Function
1	Video, 75 ohm	9	Not Used
2	Video Ground	10	Not Used
3	Data Ground	11	Not Used
4	Tx-	12	115 V(ac) Line (Hot)
5	Tx+	13	115 V(ac) Neutral
6	Dx	14	Not Used
7	Dx-	15	115 V(ac) Ground
8	Not Used	16	Not Used

The Contractor shall furnish a mating connector, Amphenol 206037-1 with back shell 206070-1 and sixteen contact crimping sockets for each integrated camera unit supplied in the contract.

10-3.34.02 CAMERA CONTROL UNIT

GENERAL

The Contractor shall furnish and install Camera Control Units (CCU) at each field CCTV assembly location. The camera control units shall consist of a rack-mounted field unit. The camera control unit shall have the same manufacturer as the integrated camera unit. The camera control unit shall be designed to provide on-site camera control functions. The control functions shall include pan/tilt positioning, zoom in/out control, auto/manual focus and auto/manual iris.

CCU shall include a local/remote switch that transfers control from the remote system to local. This shall allow the remote control system and the CCU to remain connected while transfer the control function without disconnection of the camera site equipment. The local function shall time-out and return to remote mode in 5 minutes.

LED indicators on the CCU shall provide positive feedback of the automatic and manual mode status of the cameras pan and tilt, focus and iris functions.

PHYSICAL AND MECHANICAL REQUIREMENTS

Each CCU shall mount in 51 mm (1 rack unit) of EIA-310 rack space with a maximum depth of 356 mm. The front panel shall be black gloss color Number 17986 as per Federal Standard Color Chart 595B. The front and rear panel lettering shall be white color Number 17886 as per Federal Standard Color Chart 595B.

A high-impedance front and rear panel jack, bayonet nut connector (BNC), shall be installed on the front and rear panel as shown on the plans. These connectors shall provide video input to a test monitor without affecting the remainder of the CCTV system. These connectors shall be directly monitoring the video input from the camera. The connectors shall be of copper material with bright nickel (tarnish resistant) finish for the body and silver finish for the contact.

A size 6.35 mm x 32 mm, automobile glass (AG) slow blow fuse shall be installed and replaceable from the outside of the unit.

Switches shall protrude no more than 12.7 mm from the front panel and shall be mounted as shown on the plans. Each switch shall be labeled as to their functions.

The rear panel connectors shall be mounted as shown on the plans and shall meet the following requirements:

1. Camera Connector shall be of the following type or equivalent: AMP 206037-1, Square Flange type. The socket contacts for camera connector shall be constructed with brass contact body material and with stainless steel spring that are sub-plated with 0.00127-mm nickel and plated with 0.000762-mm gold. Contact size shall be 16. AMP No. 305183 contact extraction tool shall be used to replace contact.
2. The RS232 connectors shall be a DB-9 pin connector and optional RS422 connector shall be a DB-9 socket connector.

3. One mating connector, AMP 206036-3 with a full set crimp contact pins and strain relief back shell, AMP 206070-1 shall be supplied with each CCU supplied in the contract.

The Contractor shall provide all necessary interface cables for CCU to connect to all other camera equipment.

Each LED shall be equal to Hewlett Packard High Intensity Untinted, Non-diffused LED. Each LED shall be mounted as shown on the plans.

An on/off switch to turn the CCU on/off shall be provided. An LED to indicate the AC power is on shall be provided.

Each CCU shall not weight more than 2.27 kg.

ELECTRICAL REQUIREMENTS

Camera Control Functions

Each CCU shall have circuitry to detect the absence and presence of video sync on its video input. Each CCU shall have auto-iris override. Each CCU shall have a transfer switch between local and remote mode. The local function shall time-out and return to the remote mode within 5 minutes. A system-reset switch with momentary-pushbutton type shall be mounted on the front panel to function as external reset input to the microprocessor. The system-reset shall exercise the pan and tilt movements through their ranges, and return the camera to the prior position. The system-reset function shall allow remote execution.

The CCU shall have, as a minimum, control and drive circuits for the following camera control functions:

Control Function	**Switch Position**
Pan momentary toggle switch	Left-Stop-Right
Tilt momentary toggle switch	Up-Stop-Down
Zoom In/Out momentary toggle switch	Telephoto-Stop-Wide
Focus Automatic/Manual momentary toggle Switch	Auto Focus -Manual
Manual Focus toggle Switch	Near-Stop-Far
Iris Automatic/Manual toggle Switch	Auto Iris -Manual Override
Manual Iris toggle Switch	Open-Stop-Close
Remote/Local momentary toggle Switch (Local function shall time-out and return to remote mode in 10 minutes)	Remote-Local
Reset momentary push button switch	Reset

Camera Connector Contact Assignment:

Position	Function	Position	Function
1	Video, 75 ohm	9	Not Used
2	Video Ground	10	Not Used
3	Data Ground	11	Not Used
4	Rx-	12	115 V(ac) Line (Hot)
5	Rx+	13	115 V(ac) Neutral
6	Tx+	14	Not Used
7	Tx-	15	115 V(ac) Ground
8	Not Used	16	Not Used

RS-232 Connector Contact Assignment (DB-9 Pins):

Position	Function	Position	Function
1	Not Used	6	Not Used
2	Receive Data, Rx	7	RTS
3	Transmit Data, Tx	8	CTS
4	Not Used	9	Not Used
5	Signal Ground		

Optional RS-422 Connector Contact Assignment (DB-9 Sockets):

Position	Function	Position	Function
1	Tx+	6	Signal Ground
2	Tx-	7	Not Used
3	Signal Ground	8	Not Used
4	Rx+	9	Not Used
5	Rx-		

Communication and Camera Addressing Protocol

The execution of CCU functions, other than the hardware controls on the front panel, shall be done through RS232 or optional RS422 optically isolated serial communications via a computer locally or remotely. A minimum 9600-baud data rate shall be used. The CCU shall have a front panel RS232 port at minimum for connection to a local laptop computer. If using optional RS422 for camera control and addressing, an RS422 to RS232 conversion adapter shall be provided at no additional cost to the State. The (NTCIP) 1205 MIB communications protocol shall be included.

The communications between CCU and the integrated camera unit shall be conducted through an EIA RS422 circuit with full handshake support A minimum 9600-baud data rate shall be used. The CCU shall be 100% compatible with the protocol and data backbone architecture.

Power Consumption

The maximum power consumption for the CCU shall not exceed 45 W. Power consumption of equipment attached to CCU shall not exceed 250 W.

CCU to Laptop PC Cable

The Contractor shall furnish and install a universal serial bus (USB) to RS232 serial adapter at each camera location. The adapter shall have a DB9 socket connector for RS232 and Type A plug connector for USB. The Contractor shall also supply a straight through and minimum of 1.8 m long USB extension cable. The USB should be conforming to version 2.0. The Contractor shall submit the adapter software in CD format.

ENVIRONMENTAL REQUIREMENTS

Each CCU shall operate in an ambient temperature environment of -34 °C to +74 °C and up to 90% relative humidity. Each CCU shall pass 5 Gs, 11 ms, in any axis under non-operating conditions, MIL-E-5400T, para 3.2.24.6, shock test. Each CCU shall pass vibration tests:

Sine vibration from 5 to 60 Hz with 2.083 mm total excursion without damage.
Random vibration from 60 to 1,000 Hz, 5 Gs RMS (0.027-g^2/Hz) without damage.

10-3.34.03 VIDEO ENCODER UNIT (VEU)

GENERAL

A prototype of the video encoder unit (VEU) is not acceptable. All equipment shall be off-the-shelf production units. All equipment shall be new and not previously used. The Contractor shall provide a Service and Operation manual describing the operation, maintenance of the VEU for each unit provided in the contract. The Contractor shall provide all necessary interface cables to connect communication equipment and the camera control unit (CCU) for a complete and successful installation and operation of the VEU and as shown on the plans.

ABBREVIATIONS

LED	Light Emitting Diode
AC	Alternating Current
SNMP	Simple Network Management Protocol
TELNET	TELecommunication NETwork
CLI	Command line interface
NTSC	National Television System Committee

SIF	Source Input Format
QSIF	Quarter Source Input Format
CIF	Common Intermediate Format
QCIF	Quarter Common Intermediate Format
BNC	Bayonet Nut Connector
RJ	Registered Jack
IP	Internet Protocol
DHCP	Dynamic Host Configuration Protocol
bps	Bits per second
fps	Frame per second
MPEG	Motion Picture Experts Group
ISO	International Organization for Standardization
IEC	International Electrotechnical Commission
DiffServ (QoS)	Differentiated Services (Quality of Service)
UDP	User Datagram Protocol
RTP	Real-time Transport Protocol
RTSP	Real Time Streaming Protocol
RTCP	Real-time Transport Control Protocol
HTTP	Hypertext Transfer Protocol
MIL	Military

PHYSICAL AND MECHANICAL REQUIREMENTS

The VEU shall be mountable in a standard EIA-310 equipment rack or can be a stand-alone unit which shall be mounted to a standard EIA-310 equipment shelve. The VEU and shelve if any shall fit in 133 mm of a standard EIA-310 equipment rack space. Each VEU shall have all the cable connections on the rear of the unit. A main power switch to turn the unit on/off shall be provided. An LED to indicate the AC power on shall be provided.

ELECTRICAL REQUIREMENTS

The VEU shall be able to be remotely managed, configured and maintained without the use of any third party software with the management and performed using SNMP, TELNET and CLI. The VEU shall operate with both color and black/white video input signal without modification to the hardware.

The input video resolution of the VEU shall be the following:

Video Resolution	NTSC (lines)
SIF	352 x 240
QSIF	176 x 128
CIF	N/A
QCIF	N/A
Custom	64 x 48
Custom	128 x 96
Custom	192 x 144
Custom	256 x 192
Custom	352 x 240

The input video formats of the VEU shall be composite NTSC with 525 lines at 60 Hz. The VEU shall have a minimum of one composite video input. The input video connector shall be compatible with EIA-170 at 75 ohms impedance with Bayonet Nut Connector (BNC) type.

The network communication interface of the VEU shall be Ethernet 10/100 Mbps through RJ-45 connector port, either in static IP or assigned through DHCP.

The camera control data interface shall include a Maintenance Serial Port for local maintenance and a Control Serial Port for Data transport. The port shall be EIA-232 at a user selectable data rate from 1,200 to 56,000 bps, asynchronous. The connector type for the port shall be a DB-9 pin type.

The VEU shall provide bandwidth for camera control within the bandwidth allocated for video only when bandwidth is needed for camera control/status data transmission.

The video compression of the VEU shall meet MPEG 4-ISO/IEC 14496-2 (1999) standard. The MPEG-4 compliant levels are:

Level 1 – up to 64 Kbps
Level 2 – up to 128 Kbps
Level 3 – up to 384 Kbps

The video rates of the VEU shall be scaleable from 1 fps to 30 fps and from 8 Kbps to 2 Mbps. User selectable options are:

Constant Bit rate at Constant Frame rate
Variable Bit rate at Constant Frame rate
Constant Bit rate at Variable Frame rate

The video delivery options of the VEU are either unicast or multicast with protocols DiffServ (QoS), UDP, IP, RTP, RTSP, RTCP, HTTP, SNMP, TELNET and CLI.

POWER REQUIREMENTS

The VEU shall operate between voltage 89 V(ac) to 135 V(ac), 120 V(ac) nominal voltage and 50 or 60 Hz (±3.0 Hz) The VEU shall conform to National Electrical Manufacturers Association (NEMA) standard TS-2 (1998) for traffic control system, 2.1.2. The VEU shall meet the requirements of section 2.1.6 "transients, power service," of the NEMA standard TS-2 (1998). The line variation and surge performance shall be tested to meet these specifications by an outside agency, other than the VEU manufacturer. The test results shall be provided upon request. The power consumption shall not exceed a total 25 watts.

ENVIRONMENTAL REQUIREMENTS

Each VEU shall operate in an ambient temperature environment of -20 °C to +70 °C and up to 90 % relative humidity. Each VEU shall pass 5 Gs, 11 ms, in any axis under non-operating conditions, MIL-E-5400T, para 3.2.24.6, shock test. Each VEU shall pass vibration tests:

Sine vibration from 5 to 60 Hz with 2.08 mm total excursion without damage.
Random vibration from 60 to 1,000 Hz, 5 Gs RMS (0.027-g^2/Hz) without damage.

10-3.34.04 HYBRID CAMERA CABLE AND CONNECTORS

The hybrid camera cable (HCC) shall consist of one RG-59/U type analog video coaxial cable, one Category 5E cable, one 3-No. 22 AWG conductor cable, one 4-No. 16 AWG conductor cable in a common outer jacket. The hybrid camera cable cross-section is shown on the plans.

The Coaxial cable shall conform to:

Electrical	Hybrid Coax
Capacitance (picofarads/m nominal)	56.7
Impedance (ohms-nominal)	75
Velocity of propagation (nominal)	78%
Nominal Diameter (mm)	6.15

The cable attenuation at 20 °C shall measure at maximum as:

Frequency (MHz)	Nominal dB/ 30 m
5.0	0.60
30	1.11
108	1.95

The coaxial cable physical measurements:

Component	Nominal O.D. (mm)
Copper-clad steel center conductor	1.016
Foam polyethylene dielectric	4.572
Sealed APA tape with 1.6 mm overlap	4.750
Woven aluminum braid	5.385
Sealed APA tape with 1.6 mm overlap	5.486
Woven aluminum braid	6.121
Flooding compound	
PVC outer jacket	7.544

(APA = Aluminum polyolefin and aluminum with adhesive)

The Category 5E cable shall consist of four unshielded twisted pairs (UTP) No. 24 AWG solid copper conductors insulated with high-density polyethylene (PE). The insulated conductors shall be tightly twisted into individual pairs and jacketed with PVC. The cable shall have a nominal O.D. of 0.66-mm. The cable shall be compliant with EIA/TIA-568B Category 5E standards. The maximum DC resistance shall be 0.089-Ω/m at 20 °C. The mutual capacitance shall be 44.77 pF/m nominal. The characteristics impedance shall be 100 Ω ±15 percent from 1 MHz to 100 MHz.

The cable shall be color coded as follows:

PAIR	COLOR CODE (TIP//RING)
1	White/Blue // Blue/White
2	White/Orange // Orange/White
3	White/Green // Green/White
4	White/Brown // Brown/White

The 3-No. 22 AWG shall be stranded 7 x 30, tinned copper insulated with 0.406-mm nominal wall of semi-rigid polyvinyl chloride (S-R PVC) and a nominal O.D. of 1.57 mm. The insulation rating of each conductor shall be 600 V. The 3 conductors shall be color coded as follows:

1. Red
2. Blue
3. Orange

The 4-No. 16 AWG shall be stranded 19 x 29, tinned copper insulated with 0.406-mm nominal wall of S-R PVC and a nominal O.D. of 2.235 mm. The 4 conductors shall be color coded as follows:

1. Black
2. White
3. Yellow
4. Green

The HCC shall also have a 36 AWG tinned copper braid with 90% coverage, an O/A binder of 0.025-mm polyester 25% overlap, and an outer jacket conforming to: color to match Fed-Std-595 color #24091, material 0.889-mm brown PVC to 12.19 mm O.D. and must pass the VW-1 vertical flame test. Fillers shall be used as required to form a uniform round cable. The manufacture identification shall be surface printed in white ink every 0.9-m along the length of the cable.

The HCC shall be continuous from the integrated camera unit to CCU in the controller cabinet without splicing, unless shown on the plans or approved by the Engineer. The maximum length of HCC is 230 m.

The HCC shall be terminated with cable connectors on both ends. Connector AMP 206036-3 with a full set crimp contact pins and strain relief back shell, AMP 206070-1 shall be installed on the cable end toward CCU. Connector AMP 206037-1 with a full set crimp contact sockets and strain relief back shell, AMP 206070-1 shall be installed on the cable end toward the integrated camera unit. All connector contact shall be constructed with brass contact body material and with stainless steel spring that are sub-plated with 0.00127-mm nickel and plated with 0.000762-mm gold. Contact size shall be 16. AMP No. 305183 contact extraction tool shall be used to replace contact AMP hand tool assembly 58495-1 with die

assembly 58495-2 shall be used to place contacts on to each conductor. No other tool, unless approved by the Engineer shall be used for this work.

INSPECTION AND TESTING

Testing of HCC and connectors shall be performed in accordance with provisions in Section 86-2.14B, "Field Testing," of the Standard Specifications and these special provisions. Any cable lengths found to have faults shall be replaced and retested. The Contractor shall dispose of the removed faulty cable. The cable termination shall be randomly inspected for contact crimping quality control. Any contact found not crimped with the correct crimping tool and is defect shall be rejected. The Contractor shall redo the termination until all defects are corrected.

Prior to the beginning of work, the coaxial cable length of HCC shall be tested for attenuation and faults to ensure compliance with specifications contained herein using a time domain reflectometer (TDR). For the purpose of these special provisions, one or more of the following defines a fault in a long length of cable:

a. Return loss measurements indicating that attenuation exceeds 3 dB at 5 MHz to 30 MHz in a portion of cable less than 3 m long.
b. A return loss measurement indicating that there is a short in the cable.
c. A return loss measurement indicating a cut or open circuit in the cable.
d. A visual inspection that reveals exposure of or damage to the cable shielding.

10-3.34.05 INTERFACE CABLES

GENERAL

All interface cables when required to interface with other equipment as shown on the plans shall be minimum of 1.8 m in length. All interface cables shall be commercially made high quality type with appropriate connectors on the cable ends as shown on the plans.

NETWORK STRAIGHT THROUGH DATA CABLE

The network straight through data cable shall be made of Ethernet twisted pair cable (ETPC) and terminated with an 8-conductor, RJ45 modular plug on both ends. ETPC shall consist of 4 unshielded twisted pair (UTP) No. 24 AWG stranded copper conductors insulated with high-density polyethylene (PE). The insulated conductors shall be tightly twisted into individual pairs and jacketed with PE or PVC. Each Ethernet cable shall be compliant with EIA/TIA-568B Category 5E standards. The maximum DC resistance shall be 0.089-Ω/m at 20 °C. The mutual capacitance shall be 44.77 pF/m nominal. The characteristics impedance shall be 100 Ω ±15 percent from 1 MHz to 100 MHz.

The data cable shall be color coded as follows:

PAIR	COLOR CODE (TIP//RING)	8-position Modular Plug-RJ45 No. (TIP//RING)
1	White/Blue // Blue/White	5//4
2	White/Orange // Orange/White	3//6
3	White/Green // Green/White	1//2
4	White/Brown // Brown/White	7//8

VIDEO PATCH CABLE

The video patch cable shall be RG-59/U coaxial cable terminated at both end with BNC connectors. The coaxial cable shall conform to:

Electrical	Hybrid Coax
Capacitance (picofarads/m nominal)	56.7
Impedance (ohms-nominal)	75
Velocity of propagation (nominal)	78%
Nominal Diameter (mm)	6.15

The cable attenuation at 20 °C shall measure at maximum as:

Frequency (MHz)	Nominal dB/ 30 m
5.0	0.60
30	1.11
108	1.95

The coaxial cable physical measurements:

Component	Nominal O.D. (mm)
Copper-clad steel center conductor	1.016
Foam polyethylene dielectric	4.572
Sealed APA tape with 1.6 mm overlap	4.750
Woven aluminum braid	5.385
Sealed APA tape with 1.6 mm overlap	5.486
Woven aluminum braid	6.121
Flooding compound	
PVC outer jacket	7.545

(APA = Aluminum polyolefin and aluminum with adhesive)

RS232 DATA PATCH CABLE

The RS232 data patch cable shall meet EIA RS-232 standard. The data cable shall have multiple No. 20 AWG conductors with (UL) Type CM shielded or AWM 2464 80C 300 Volts – C (UL). One end of data cable shall be terminated with a DB-9 female connector. All contact socket pins shall be gold plated. The contact pin assignment is shown on the plans. The other end of the data cable shall be either terminated with an 8-conductor, RJ45 modular plug or not terminated. When there is no connector required on the other end of cable, each conductor insulation shall be stripped 6.35 mm long from the end of cable and the bare conductor shall be tinned with solder.

10-3.34.06 CAMERA POLES

Each camera pole shall conform to the provisions in Section 86-2.04, "Standard, Steel Pedestals and Posts," of the Standard Specifications.

Each camera pole shall have an additional handhole, with the top edge of the handhole located 305 mm below the camera mounting plate. This handhole shall conform to the details shown on the Revised Standard Plan ES-7M, except the handhole cover screw shall be a stainless 7.94 mm hex head screw. A cable support J-hook shall be welded inside the pole directly opposite the handhole. The J-hook shall provide a minimum of 45 kg downward pull strength. The J-hook shall conform to the detail shown on the Revised Standard Plan S102. A safety chain, 305 mm in length, shall be provided to attach the handhole cover to the J-hook.

10-3.34.07 CAMERA-MOUNTING ADAPTER

Each camera-mounting adapter shall be constructed in aluminum casting and plate. The adapter exterior shall be finished by pre-treatment with conversion coating and baked semi-gloss beige enamel paint. Each camera-mounting adapter shall have a mounting base bolt hole pattern as shown on the plans. The camera-mounting adapter top plate shall have dimensions and bolt hole pattern match that of the integrated camera unit base.

The nuts and bolts securing the camera-mounting adapter to the camera-mounting plate shall be hex head and made of stainless steel. Before each bolt is fastened, a locking type coating shall be applied to the threads. The coating shall lock the bolts and nuts in place making it impossible to turn bolt or nut without tools. This coating shall last and be effective through at least 10 insertions and withdrawals of the bolt or nut.

10-3.34.08 RACK-MOUNT POWER STRIP

ELECTRICAL

Each rack-mount power strip shall meet the following requirements:

1. A maximum rating of 15 A, 120 V(ac), 60 Hz.

2. A surge protection with UL 1449 Clamping Level of 400 V, an IEEE Let-Through Voltage rating of less than 336 V, a single-pulse energy rating of 210 J and EMI/RFI noise protection rating of 40 dB.
3. One 15 A circuit breaker.
4. One internally illuminated switch to cut off power to all outlets.
5. Six NEMA 5-15R outlets.

MECHANICAL

Each rack-mount power strip shall meet the following requirements:

1. Dimensions of 51 mm (H) x 483 mm (W) x 71 mm (D) maximum and shall not weigh more than 2 kg.
2. The front plate of the power strip shall have two cut-off EIA mounting screw holes on each end.
3. Each outlet shall have 38 mm minimum spacing center-to-center to its adjacent outlet.
4. The power cord shall enter from the rear with a length of 2.1 m minimum.
5. The clearance between the power cord entrance and the nearest outlet shall be 85.7 mm minimum.
6. Both the circuit breaker and the switch shall be front-mounted.
7. Each outlet shall be rear-mounted.

The power strip shall be plugged into the non-GFCI duplex outlet normally labeled with "Controller Unit Receptacle" in the back of the PDA. The power strip shall be mounted on the rear of the standard EIA-310 rack cage and across the two vertical back rails with four stainless steel EIA mounting screws, two on each side. The power strip shall not hinder the accessibility to the back of all existing electrical equipment. All power cords for permanently field installed electrical equipment shall be plugged into the power strip.

10-3.34.09 EQUIPMENT SHELF WITH BRACKETS

The Contractor shall furnish and install each equipment shelf as shown on the plans.

Each shelf shall be furnished with 2 mounting brackets.

Each mounting bracket shall extend from the front to back mounting rails of the controller cabinet rack cage. Each bracket shall be designed to support a minimum of 23 kg. The horizontal side of each bracket shall be a minimum of 76 mm. Each bracket shall be attached to front and rear of the rack cage with four 10-32 stainless steel Phillips round head bolts.

Each shelf shall be fabricated of 3.175 mm cold rolled sheet or 3.175 mm aluminum sheet. Each shelf shall be the width of the control cabinet rack cage and 457 mm deep. Each shelf shall have equally distributed holes or slots throughout the shelf that shall provide 40% minimum open area for vertical flow-through ventilation. Each hole or slot shall not exceed 484 square millimeters in area. Each shelf shall be cadmium-plated or zinc-plated after cutting and drilling.

Each shelf shall be attached to the top of its pair of bracket in all four corners with stainless steel hardware, with the front of the shelf abutting against the front rail of the control cabinet rack cage.

10-3.35 SOLAR CAMERA STATION

GENERAL

The Contractor shall furnish and install the following photovoltaic and closed circuit television (CCTV) equipment at each solar camera station as described in these special provisions and as shown on the plans:

1. One integrated camera unit.
2. One CCTV pole and camera-mounting adapter if required.
3. One camera control unit (CCU).
4. One video encoder unit (VEU).
5. Power strip.
6. Hybrid camera cable (HCC), connectors and fittings as required.
7. Interface cable and conductors as required.
8. Equipment shelf with brackets as required.
9. PV controller.
10. Inverter.
11. Six batteries.
12. NEMA 3R enclosure for batteries, PV controller and inverter.
13. One General Packet Radio Service (GPRS) wireless modem assembly.
14. Pull boxes, grounding electrode, conductors, conduits, pull rope.
15. Miscellaneous wiring and devices.

10-3.35.01 PV CONTROLLER

The PV controller shall meet the following requirement:

1. The PV controller shall be designed to charge AGM/VRLA heavy-duty batteries
2. The PV controller shall utilize MPPT tracking
3. The PV controller shall be UL listed, NEC compliant
4. The PV controller shall have a maximum dimensions of 40 cm x 14 cm x 10 cm
5. The PV controller shall have controller that will accept #6 AWG wire

Parameter	Minimum Requirements
NEC maximum solar array short circuit current	35 A
System Voltage	24V(dc)
Operation power	1 watt
Digital Meter	Display Battery Voltage, PV Current, and Load Current
Self Test	Tests all load/charge controller internal circuits

10-3.35.02 INVERTER

The inverter shall meet the following requirement:

1. Min. rated power output of 600 W
2. Max standby load of 8 watts
3. Output voltage 120 V(ac) ±8%
4. Nominal input voltage of 24 V(dc)
5. Typical efficiency of at least 87%
6. Max size 203 mm x 102 mm x 305 mm
7. Over voltage protection
8. Under voltage protection
9. Thermal protection

10-3.35.03 BATTERY

Each battery shall be identical in make and model. All connections shall be marine-grade. Each battery shall be 100% recyclable and capable of a minimum of 1,000 cycles to 25% depth of discharge (DOD) and 300 cycles at 50% DOD at a 20-hour rate.

Each battery shall be maintenance-free, sealed prismatic lead-calcium based, absorbed glass mat/valve regulated lead acid (AGM/VRLA), deep-cycle and heavy-duty type. Each battery shall have the following characteristics:

1. The battery shall have a voltage rating of 12 V(dc).
2. The battery shall be group size 27 (or 330 mm x 178 mm x 254 mm).
3. The battery shall have a 100 Ampere-hour rating at a 100-hour discharge rate.
4. The battery shall have a carrying handle.
5. The battery shall be marked with date code and maximum recharge data and recharge cycles.
6. The battery connector shall be able to accept 6.35 mm diameter bolt as opposed to a battery that can have different sized posts.
7. The battery shall be fully charged when furnished.
8. The battery shall be free from damage or deformities.
9. The battery shall have a maximum weight of 32 kg.
10. The battery shall be certified by the manufacturer to operate over a temperature range of -25 °C to 60 °C.

10-3.35.04 PV EQUIPMENT ENCLOSURE

PV equipment enclosure shall meet the following requirement:

1. Six batteries and equipment shall be housed in a single enclosure, with the six batteries on the bottom and equipment on top.
2. Battery compartment shall be vented.
3. Barrier between equipment and batteries shall be sealed.

4. Enclosure shall be aluminum.
5. Enclosure shall be NEMA 3R.
6. Enclosure finish shall be white powder-coated paint.
7. Equipment compartment shall have a minimum volume of 0.16-cubic meter.
8. Fully sealed door with stainless steel screened louvers.
9. Enclosure shall have a padlock clasp or latch and locking mechanism.

10-3.36 GENERAL PACKET RADIO SYSTEM WIRELESS MODEM ASSEMBLY

The General Packet Radio System (GPRS) Wireless Modem Assembly shall be configured with the following major components:

A. Modem
B. Power supply
C. Modem mounting bracket and hardware
D. Serial communication cable
E. Antenna

MODEM

All modems shall be configurable remotely through the wireless network and through the modem serial port. The Contractor shall configure all modems prior to acceptance. The Contractor shall provide the Engineer with the modem serial and IMEI numbers, 30 days prior to requiring the PDP context. The Engineer will make available the PDP context comprising the IP (assigned), APN (obtained from service provider) and configuration parameters (if different from those listed below) after the serial and SIM numbers are provided to the Engineer by the Contractor. All modems shall be complete with all cables, conductors, hardware, antenna and other equipment as required to make the system completely operational. Location and mounting of the equipment shall be directed by the Engineer and details shown on the plans. The modems shall be fully compliant with PCCA STD-101.

Environmental Requirements

The operating temperature range of the modem shall range from -30 °C to +70 °C, with humidity from 5 percent to 95 percent (non-condensing) and have transmissions at 10 percent duty cycle above 60 °C.

Physical Characteristics

The modem shall weigh less than 0.91-kg and shall have overall dimensions of less than 181 mm x 89 mm x 29 mm (7 1/8 inches × 3 1/2 inches × 1 1/8 inches). The housing shall be constructed of anodized aluminum.

The modem shall have the following status indicators:

1. Power (on).
2. Channel acquired.
3. Link status.
4. Network registration.
5. Received signal strength indicator.
6. Transmit and receive data.
7. Block errors.

The modem for solar camera station shall be fully compatible with the existing GPRS network currently in use. The modem shall weigh less than 0.32-kg and shall have overall dimension of less than 117 mm x 36 mm x 64 mm.

The modem shall have the following LED indicators:

1. Network.
2. Signal.
3. Activity.
4. Service.
5. Power.

The antenna connector shall match with the modem connector. The Contractor shall provide adapter as necessary.

Operational Parameters

The modem shall operate in a dynamic IP addressing environment of GPRS Networks at 1900 or 850 MHz and meet the following operational parameters:

Transmit power at antenna port	1.0 W for 1900 MHz 0.8-W for 850 MHz
Transmit frequency	1850-1910 MHz and 824-849 MHz
Receiver frequency	1930-1990 MHz and 869-894 MHz
Receiver sensitivity	-107 dBm (2.439 % bit error rate)
Input voltage	10 V(dc) to 28 V(dc)
Input current	40 mA to 200 mA

Application Interfaces

The modem shall have the following standard interfaces:

1. The AT command serial character stream uses TCP/IP.
2. Host communicates with modem using either UDP or TCP packet modes.
3. Computer terminal platform using Windows 2000/NT/XP and Dial-Up Networking communicates with the modem using PPP.

Features

The modem shall have the following features:

1. 53.6 kbps raw data transfer rate minimum.
2. Full duplex transceiver.
3. 1900/850 MHz dual band networking.
4. Integrated TCP/IP protocol stack with UDP.
5. Security such to prevent unauthorized access.
6. Includes a DC power cable at least 1 meter in length with a connector compatible with the modem power connector.
7. Packet buffering and forwarding feature that provides discipline to the output of the serial port. The packet forwarding time interval shall be configurable from a rate of 0 (undisciplined) to 400 ms in increments of 100 ms or less.
8. Choice of "Friends Only" access mode.

System Compliance

The modem and associated firmware, software, hardware, protocol and other features shall be fully and completely compatible with the existing GPRS network currently in use. The existing GPRS network utilizes the AT&T Wireless cellular system (band compatible with this modem), the AirLink Raven GPRS modem and the AirLink Gateway. The Contractor shall demonstrate the compatibility to the Engineer by actual installation demonstration or by other means approved by the Engineer.

Installation

The installation of the modem shall be according to the plans, the manufacturer's instructions and adjusted per field conditions with the Engineer's approval.

Certificate of Compliance

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in accordance with the provisions of Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for all of the modems furnished for the project.

Warranty

The manufacturer shall provide a written warranty against defects in materials and workmanship for modems for a period of 12 months after installation for parts and labor. Replacement modems shall be provided within 5 days after receipt of failed modem at no cost to the State, except the cost of shipping the failed modem. All warranty documentation shall be given to the Engineer prior to installation. Replacement modems shall be delivered to Caltrans Maintenance Electrical Shop at 30 Rickard Street, San Francisco, CA 94134.

The software warranty shall be for one year, including upgrades and feature enhancements.

POWER SUPPLY

The power supply shall be vertically mountable on a 483 mm standard rack rail using two machine screws and two wing nuts. The power supply shall have provisions to attach the modem power cable securely without the need for modifying the modem power cable.

The power supply shall meet the following requirements:

Power Cord	Standard 120 V(ac), 3 prong cord, at least 1 meter in length
Type	Switching mode type
Power Rated	40 W minimum with no minimum load required
Operating Temperature Range	From -30 °C to +70 °C
Operating Humidity Range	From 5 percent to 95 percent non-condensing
Input Voltage	From 85 V(ac) to 264 V(ac) or 120 V(dc) to 370 V(dc)
Input Frequency	From 47 Hz to 63 Hz
Inrush Current	Cold start, 25 A at 115 V
Output Voltage	12 V (dc), adjustable over a ±10 percent range
Overload Protection	From 105 percent to 150 percent in output pulsing mode
Over Voltage Protection	From 115 percent to 135 percent of output voltage
Setup, Rise, Hold Up Time	800 ms, 50 ms, 15 ms at 115 V(ac)
Withstand Voltage	I/P-0/P: 3 kV, I/P-FG: 1.5 kV, for 60 seconds
Working Temperature*	70 °C @ 30%
Safety Standards	UL 1012, TUV EN60950
EMC Standards	EN55022 Class B, EN61000-4-2, 3, 4, 5 and EN61000-3-2, 3

- Note: A substitute may be proposed by the Contractor which meets the 70°C environmental rating at a lower load percentage as long as the temperature rating is maintained at the maximum modem load and all other electrical specifications are met.

Certificate of Compliance

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in accordance with the provisions of Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for all of the power supplies furnished for the project.

Warranty

The manufacturer shall provide a written warranty against defects in materials and workmanship for power supplies for a period of 12 months after installation for parts and labor. Replacement power supplies shall be provided within 5 days after receipt of failed power supply at no cost to the State, except the cost of shipping the failed power supply. All warranty documentation shall be given to the Engineer prior to installation. Replacement power supplies shall be delivered to Caltrans Maintenance Electrical Shop at 30 Rickard Street, San Francisco, CA 94134.

MODEM MOUNTING BRACKET AND HARDWARE

The mounting bracket and hardware shall be stainless steel. The mounting bracket shall securely hold the modem in a vertical attitude with all cables and conductors installed. The mounting bracket shall contain the modem using a method that allows the removal of the modem without tools or without removing the bracket from its attachment to the cabinet frame.

COMMUNICATION SERIAL CABLE – TYPE D

Where the modem is designed to interface with a Model 170E controller, the Contractor shall provide a communication cable known as the C2 cable. The C2 cable shall interface the Model 170E controller C2 connector and the GPRS modem and include all conductors and connectors required for that purpose. The GPRS modem connector shall meet EIA RS-232 standard using a 9 pin Type D connector. The Model 170E controller end connector shall comply with AMP 201360-2-ND or equivalent. All pins in both connectors shall be gold plated. The cable shall have four-No. 20 AWG conductors with (UL)

Type CM shielded or AWM 2464 80C 300 Volts – C (UL) CMG. The cable shall be at least 0.9-m long. The cable wiring shall comply with the following:

AMP 201360-2-ND -L to DB9-P - 2
AMP 201360-2-ND -K to DB9-P - 3
AMP 201360-2-ND -N to DB9-P - 5
AMP 201360-2-ND -D to AMP 201360-2-ND - H
AMP 201360-2-ND -J to AMP 201360-2-ND - M

ANTENNA

The antenna shall be the low profile disc type, and shall adhere to the cabinet using a factory installed double-sided waterproof acrylic foam adhesive. The coax cable shall be at least 1 meter in length and shall have a 50 Ω TNC connector on the modem end. In addition, the antenna shall meet the following requirements:

VSWR (at resonant point)	2:1 or less
Frequency	1850-1990 MHz and 824-894 MHz
Nominal Impedance	50 Ω
Gain	2 dB
Radiation Pattern	Omni-directional
Polarization	Vertical
Ground Plane Required	Yes, see note below

Ground plane requirements: The antenna shall require a reflective ground plane to function properly. The required ground plane shall extend beyond the antenna at least 200 mm in all directions.

10-3.37 SERVICE MANUAL REQUIREMENTS

The Contractor shall provide to the Engineer a minimum of two copies of service manuals for the integrated camera unit, camera control unit (CCU) and video encoder unit (VEU), under this special provisions. Each manual shall contain the following sections and sub-sections:

General information section

a. A list of applicable subassemblies that comprise the specified equipment.
b. Overall description of the equipment design features (including all enhance features if applicable), performance and applications.
c. Equipment specifications summary.
d. Equipment installation instructions.

Theory of operations section

a. Theory of operation of the standard equipment with unique or unusual circuitry described in detail.
b. Theory of operation reflecting any modifications to the standard equipment.

Maintenance section

a. Recommended test equipment and fixtures or minimum operational and performance requirements for appropriate test equipment.
b. Troubleshooting information and charts.
c. Removal and installation procedures for replacing assemblies and subassemblies, if not obvious or if improper sequencing of steps may result in component damage.

Replacement parts section

a. Each manual shall contain an equipment replacement parts list including electrical parts, mechanical parts and assemblies.
b. All semiconductors shall be identified by the supplier's numbers and by Joint Electron Device Council (JEDEC) numbers if applicable.

Diagram section

a. Schematic diagrams identifying all circuit components and showing normal test voltages and levels.
b. An overall functional block diagram.
c. Detailed interconnecting diagrams showing wiring between modules, circuit boards and major components.
d. Pictorial circuit board layout diagrams showing both component placement and printed wiring detail.
e. Diagrams showing location of circuit boards and other subassemblies.
f. Exploded view diagrams of complex mechanical assemblies.

Physical requirements

a. All pages, including latest revisions, shall be securely fastened together between protective covers (loose-leaf ring binding is acceptable).
b. No page shall be subject to fading from exposure to any normal source of ambient lighting (ozalid reproduced pages are not acceptable).

10-3.38 HIGHWAY ADVISORY RADIO SYSTEM

Highway advisory radio (HAR) equipment will be State-furnished as provided under "Materials" of these special provisions. The Contractor shall install the HAR system, including the State-furnished HAR equipment, and the following equipment, specified elsewhere in these special provisions, as described herein and as shown on the plans:

1. One HAR grounding system.
2. One fiberglass HAR pole.
3. One HAR arrestor enclosure.
4. One HAR antenna coaxial cable (ACC).
5. One HAR antenna feeding cable (AFC).
6. Coaxial cable connectors for type ACC and AFC.

GROUNDING SYSTEM

The grounding system shall consist of single ground rod system as specified in these special provisions and as shown on the plans. All hardware and connectors required to connect the grounding system to the HAR equipment shall be included. The grounding system shall allow the maximum Federal Communications Commission (FCC) approved field strength to be achieved on any frequency from 530 kHz to 1710 kHz with 10 W or less of output power.

SINGLE GROUND ROD SYSTEM

The single ground rod system shall consist of a 12.2 m ground rod placed in a 152 mm, minimum, vertically drilled hole. The hole shall be backfilled with bentonite slurry.

The ground rod shall be a UL listed ground electrode designed for the purpose. The Contractor shall provide the Engineer a certificate of compliance from the manufacturer in accordance with the provisions of Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the ground rod and bentonite backfill material. The certificate of compliance shall be provided to the Engineer for approval, prior to ordering or shipping the material.

The ground rod shall be a nominal 54 mm outside diameter hollow tube of Type K copper, with nominal 2.11 mm wall thickness, 12.2 m in length. A rod formed from two sections and joined with an outside threaded copper coupler will be acceptable. The top end of the rod shall have a shop welded ground connection with a 0.3-m, 4/0 gauge, minimum, copper pigtail. The ends of the rod shall have press-on end caps.

The breather and weep holes on the top and bottom of the rod shall be protected with tape until the installation of the rod. The Contractor shall remove the tapes and provide them to the Engineer before installation.

The drilled hole shall be backfilled with 100 percent bentonite clay slurry and consolidated around the rod. The bentonite slurry shall be placed in the presence of the Engineer. Two working days notice shall be provided to the Engineer prior to backfilling.

The bentonite backfill material shall be a natural volcanic, non-corrosive form of bentonite clay grout. The backfill material shall be capable of absorbing 50 liters of water per 22.78 kg to obtain an optimal 30 percent solids density. The pH value shall be between 8 to 10 with maximum resistivity of 3 Ω-cm at 30 percent solids density.

The ground rod shall be connected to a surge arrestor as shown on the plans. The ground wire splice to the pigtail shall be made by a UL listed exothermic (Cadweld, or similar) connection method. Soldering, brazing, or field welding will not be acceptable.

The ground rod shall be filled with non-hazardous Calsolyte to enhance grounding performance. The filler shall hygroscopically extract moisture from the air to activate the electrolytic process, improving ground performance. The ground rod system shall be 100 percent self-activating and maintenance free. No additions of chemicals or water solutions shall be required.

PROTECTIVE PULL BOX

The protective pull box shall be made of reinforced concrete with lift holes and a vented cast iron grate cover to permit air circulation into the "breather" holes of the ground rod.

ARRESTOR ENCLOSURE

The arrestor enclosure shall be a NEMA Type 3R with hinged cover, with dimensions of 380 mm x 300 mm x 150 mm, and shall have provisions for padlocking. A 200 mm x 200 mm x 3.2 mm aluminum plate shall be installed vertically, facing the door, in the enclosure. The Contractor shall terminate the ground conductor with an aluminum-copper NEMA one-bolt hold tongue. The ground conductor and lightning arrestor shall be mounted on the aluminum plate.

ANTENNA COAXIAL CABLE (ACC)

Type ACC shall consist of an RG-8/U, single foil, single braid, flexible coaxial cable with a solid bare copper center conductor, cellular polyethelene dielectric, 97 percent tinned copper braid, 100 percent shield coverage and shall conform to the following requirements:

Electrical Characteristics	
Capacitance	75 pF/m (nominal)
Impedance	50 Ω (nominal)
Velocity of propagation	78% (nominal)
DC loop resistance	0.004-Ω/m (nominal) @ 20 °C.

Attenuation at 20 °C.	
Frequency (MHz)	Nominal dB/100 m
10.0	0.016
50.0	0.039
100.0	0.052
200.0	0.079

Physical Dimensions	
	Nominal O.D. (mm)
Center conductor	2.62
Dielectric	7.24
Outer jacket	10.29

ANTENNA FEEDING CABLE (AFC)

The AFC shall consist of a No. 12 AWG solid copper conductor. The AFC shall have a length necessary to connect the lighting arrestor and the antenna without causing stress to the cable and shall terminate with a UG plug and a reducing adapter as specified elsewhere in these special provisions.

After installing the AFC between the arrestor enclosure and the antenna, the Contractor shall seal the 40 mm nipple near the top of the fiberglass pole.

COAXIAL CABLE CONNECTORS (FOR TYPE ACC AND AFC)

Coaxial cable connectors for attaching type ACC and AFC including the reducing adapter shall be UG Standard and meet the following requirements:

Electrical Characteristics	
Impedance:	50 Ω (nominal)
Frequency range:	0 to 300 MHz
Voltage rating:	500 V peak

Mechanical	
Mating:	Standard size: 5/4-24 threaded coupling. Push-on mates with any standard size threaded receptacle
Method of attachment:	Clamp and crimp.
Composition:	Bodies - Brass or die cast zinc Contacts - brass, silver plated Insulators - TFC, copolymer of styrene, polystyrene, mica-filled phenolic , PBT polyester or equal Plating - ASTRO plate and silver Other metal parts- Brass

Environmental	
Temperature	-55 °C to +165 °C.
Moisture	Weather resistant design

HAR SYSTEM INSTALLATION

The Contractor shall provide a crew with experience installing RF systems similar to the HAR and any work on the transmitter (adjustments) must be performed by, or under the immediate supervision of a person holding a general class radio telephone operators license.

The Contractor shall terminate the power conductors on the terminal block TBS and the telephone cable on the terminal block TB0 of the Model 334 controller cabinet.

GROUNDING SYSTEM TESTING

The Contractor shall take certified measurements before and after the installation of the grounding system.

The testing shall utilize an earth resistance meter and be conducted in accordance with IEEE Standard 3-point fall of potential method.

The Contractor provide all test equipment, take and document resistivity measurements on the grounding system as shown on the plans and these special provisions and submit them to the Engineer for approval.

CABLE TESTING

The ACC shall be tested by the Contractor. Any cable found to have faults shall be replaced. The testing shall utilize a time domain reflectormeter.

For the purpose of these special provisions, a fault in a length of cable is defined as any of the following:

1. A return loss measurement indicating that there is a short in the cable.
2. A return loss measurement indicating a cut or open circuit in the cable.
3. A visual inspection which reveals exposure or damage to the cable shielding.

HAR SYSTEM TESTING

After the completion of all work under "HAR SYSTEM INSTALLATION," each system shall be tested by the Contractor.

Minimum test equipment required for the testing shall consist of:

1. Dummy load, 50 Ω.
2. Power meter.
3. Communications monitor.

4. Field strength meter.

The Contractor shall tune the HAR system with the impedance matching network of the coupling unit and by adjusting the stainless steel tip of the antenna.

The HAR system shall be considered tuned when the system's voltage standing wave ratio (VSWR) is at a lowest possible value as directed by the Engineer.

After the system has been tuned, the Contractor shall record and transmit a test message with the output power level of the transmitter set at approximate 10 W or lower. Modulation shall be adjusted between 85 to 95 percent as specified by the FCC for the standard AM broadcast band.

The Contractor shall make actual on-the-air field strength measurements. A sufficient number of points must be selected to determine the distance at which the attenuated field of 2 mV/m exists, as measured with a calibrated standard field strength meter. This may be done in a 5 to 8 radial directions facilitating a plot of a 2 mV/m at a distance of 1.5 km from the antenna. If the measured field exceeds 2 mV/m at a distance of 1.5 km, the transmitter output power must be decreased accordingly and if the measured field is less than 2 mV/m at the same distance then the power may be increased as directed by the Engineer.

At the completion of all HAR system testing as specified in these special provisions, the Contractor shall submit a written report of all measurements to the Engineer for approval. The report shall include a map showing a 2 mV/m contour based on the actual on-the-air field strength measurements. The VSWR, percent modulation and transmitter output power, measurements shall be tabulated.

10-3.39 VEHICLE SENSOR NODES

Each vehicle sensor nodes (VSN) shall consist of a magnetometer sensor, a microprocessor with firmware in non-volatile memory, a wireless transceiver and a battery within a single housing.

The magnetometer sensor shall detect the presence of a vehicle by a change in the vertical component of the earth's magnetic field within the detection zone corresponding to a 1.8 m by 1.8 m Type A inductive loop, with the VSN at the center. Each VSN shall continuously report the presence of the vehicle until the vehicle leaves the detection zone, whereupon the VSN shall report the absence or presence within 5 ± 1 millisecond.

The housing shall be fully encapsulated to provide a minimum of 8 years of operation, over a temperature range of 1.11 °C to 73.89 °C.

Each VSN shall be individually addressable with a unique identifier, and capable of transmitting its data to the access point (AP) directly or via a repeater (RP). Each VSN shall also be capable of receiving detector parameters, microprocessor firmware and other commands from the access point (AP) directly or via a RP without loss of data.

Sealant

The sealant for the installation of each VSN shall be a two-component, 100% solids, polyurea-based joint sealant and approved by the manufacturer of the VSN. It shall be a self-leveling joint sealant and shall be applied at a minimum temperature of 0 °C.

Physical Properties:

Tensile Strength (kg/ cm^2)	ASTM D412	207.45
Elongation (%)	ASTM D412	350
Hardness (Shore A)	ASTM D2240	95A
Flexibility 3.175 mm Mandrel	ASTM D1737	Pass
Taber Abrasion (mg loss) CS18 Wheel 1 kg per 1,000 cycles	ASTM D4060	25

Gel Time	minutes	2
Tack Free Time	minutes	5
Open to Traffic	minutes	15

Installation and Calibration

All necessary software and equipment needed for the calibration of each VSN shall be provided by the Contractor. All software shall operate on a laptop computer running Windows 2000 or Windows XP.

1. The Contractor shall not proceed with the installation of any component without the written approval from the Engineer.
2. The Contractor shall provide personnel skilled in the installation and calibration of VSN components.

3. Prior to installation, the Contractor shall record the VSN ID, its lane number and lane position on the required documentation.
4. The Contractor shall install each VSN in the roadway per manufacturer's recommendations and as shown on the plans. Holes cored in the pavement shall be cleaned and thoroughly dried before installing VSN. Residue resulting from core drilling shall not be permitted to flow across shoulders or lanes occupied by public traffic and shall be removed from the pavement surface by vacuuming or other approved method before any residue flows off the pavement surface. Residue from core drilling shall be disposed of as provided in Section 7-1.13, "Disposal of Material outside the Highway Right-of-Way," of the Standard Specifications. The cored pavement shall be back-filled per manufacturer's recommendations. The Contractor shall remove any excess epoxy from the roadway without the use of solvents and dispose of as provided in Section 7-1.13, "Disposal of Material outside the Highway Right-of-Way," of the Standard Specifications.
5. After installation of both VSN in a lane, the Contractor shall record the center-to-center spacing between the VSN on the required documentation.

Acceptance Testing

The Contractor shall notify the Engineer 15 working days before the VSN is ready for acceptance testing. Acceptance testing shall be scheduled to be conducted in the presence of the Engineer during a normal work day (Monday to Friday, 0800 to 1600). The Contractor shall demonstrate the operation of each VNS satisfying the functional requirements of these special provisions. The Engineer has the right to reject the VNS if the demonstration fails.

All necessary software and equipment, including documentation, needed for the acceptance testing of each VSN shall be provided by the Contractor. All software shall operate on a laptop computer running Windows 2000 or Windows XP.

The Engineer will review the results from the acceptance testing and accept or reject the results within 7 days. Determination of any vehicle anomalies or unusual occurrences will be decided by the Engineer. Data or counts that are not agreed upon by the Engineer shall be considered errors and count against the unit's calibration. If the Engineer determines that the VSN does not meet the performance requirements, the Contractor shall have seven days to re-calibrate, re-test the unit and re-submit new test data. Following three failed attempts, the Contractor shall replace the VSN components with new components.

Repair, replacement and retesting of VSN components due to failure or rejection shall be at the Contractor's expense.

Warranty

The Contractor shall provide the manufacturer's written warranty against defects in material and workmanship for the VSN, for a period of 24 months after acceptance. After final acceptance of the VSN, all replacement assemblies covered under warranty shall be provided within 10 days after receipt of failed units at no cost to the State, except the cost of shipping. All warranty documentation shall be given to the Engineer prior to installation. All replacement VSN components shall be delivered to Caltrans Maintenance Electrical Shop at 30 Rickard St., San Francisco, CA 94134.

Standard updates to the VSN software shall be available from the manufacturer without charge to the State during the warranty period.

The contract lump sum price paid for Traffic Operation System shall include full compensation for furnishing all labor, materials, tools, equipment, warranty and incidentals, and for doing all the work involved in installing the VSN, complete in place, including site analysis, set-up and configuration of the system, calibration of the device performance, verification of detector accuracy, testing, and re-testing of failed units, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-3.40 TRAFFIC OPERATIONS SYSTEM EQUIPMENT TESTING

Prior to shipping to the project, the Contractor shall submit the following items to the State of California, Department of Transportation Laboratory, 5900 Folsom Blvd., Sacramento, CA 95819 for acceptance testing:

1. Integrated Camera Unit
2. Camera Control Unit (CCU)
3. Video Encoder Unit (VEU)
4. Extinguishable Message Sign Panel
5. Vehicle Sensor Node (VSD)

In addition to the above items, the Contractor shall submit all additional equipment listed elsewhere in these special provisions to the Laboratory for acceptance testing.

Approximately 30 days will be required for the testing. The Contractor will be notified upon completion of the testing and shall arrange for delivery of the equipment to a State-owned storage location designated by the Engineer. The Contractor

shall be responsible for the costs for such testing and the transportation to and from the Laboratory.

10-3.41 REMOVING, REINSTALLING OR SALVAGING ELECTRICAL EQUIPMENT

Salvaged electrical materials shall be hauled to Caltrans Electrical Maintenance Station, 30 Rickard Street, San Francisco, CA 94134, (415) 330-6509 and stockpiled.

The Contractor shall provide the equipment, as necessary, to safely unload and stockpile the material. A minimum notice of 2 business days shall be given prior to delivery.

10-3.42 PAYMENT

The contract lump sum prices paid for signal and lighting shall include highway lighting at intersections in connection with signals only.

Other roadway lighting on the project shall be considered as included in the contract lump sum price paid for lighting and sign illumination.

Full compensation for hauling and stockpiling electrical materials shall be considered as included in the contract price paid for the item requiring the material to be salvaged and no additional compensation will be allowed therefor.

If any of the fabrication sites for the materials listed are located more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impractical and difficult to determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing these listed materials from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $2,000:

1. Extinguishable message signs
2. Changeable message signs
3. Service equipment enclosures
4. Telephone demarcation cabinets
5. Closed circuit television cabinets furnished by the Contractor
6. Highway advisory radio cabinets furnished by the Contractor

The contract lump sum price paid for traffic operation system shall include full compensation for furnishing all labor, materials (except items covered by other bid items), tools, equipment, and incidentals, and for doing all the work involved in traffic operations system, complete in place, including all the foundations (except for the changeable message sign), poles, manuals and testing, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract unit price paid for the additional equipment as specified in these special provisions shall be paid for under separate bid items.

The contract unit price paid for each of the following items includes full compensation for furnishing all materials, tools, equipment, and incidentals, and for doing all the work involved in installing each of the following items, as shown on the plans, as specified in the Standard Specification and these special provisions, and as directed by the Engineer:

1. Integrated Camera unit.
2. Camera control unit (CCU).
3. Video encoder unit. (VEU)
4. Dial-up modem for changeable message sign
5. Extinguishable message sign radio controller assembly
6. Extinguishable message sign panel (LED)
7. Install highway advisory radio system
8. General packet radio system (GPRS) wireless modem assembly
9. Vehicle sensor node

The contract lump sum price paid for signal and lighting (stage construction) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing video image vehicle detection system, complete in place, including testing, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for the emergency vehicle detector system include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing the emergency vehicle detector system, complete in place, including testing and manuals, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

AMENDMENTS TO THE STANDARD SPECIFICATIONS

DATED JULY 1999

AMENDMENTS ISSUE DATE: 03-18-09

SECTION 0 GLOBAL REVISIONS
(Issued 07-01-08)

Global revisions are changes to contract documents not specific to a section of the Standard Specifications.

In each contract document at each occurrence:

1. Except where existing asphalt concrete is described, replace "asphalt concrete" with "hot mix asphalt"
2. Except where existing AC is described, replace "AC" with "HMA" where AC means asphalt concrete
3. Replace "Engineer's Estimate" with "verified Bid Item List"
4. Replace "Notice to Contractors" with "Notice to Bidders"
5. Except in "Contract Project Information Signs," replace "Project Information" with "Supplemental Project Information"

Where Standard Specifications refer to the special provisions to describe the work, interpret the reference to the Bid Item List, the special provisions, or both.

Interpret a reference to the Standard Specifications as a reference to the Standard Specifications as amended in these Amendments to the Standard Specifications.

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SECTION 1 DEFINITIONS AND TERMS
(Issued 07-01-08)

Replace Section 1 with:

SECTION 1 GENERAL
1-1 GENERAL
1-1.01 GENERAL

Section 1 includes general rules of interpretation.

The Department is gradually standardizing the style and language of the specifications. The new style and language includes:

1. Use of:

    1.1. Imperative mood
    1.2. Introductory modifiers
    1.3. Conditional clauses

2. Elimination of:

    2.1. Language variations
    2.2. Definitions for industry-standard terms
    2.3. Redundant specifications
    2.4. Needless cross-references

The use of this new style does not change the meaning of a specification not yet using this style.

The specifications are written to the Bidder before award and the Contractor after. Before award, interpret sentences written in the imperative mood as starting with "The Bidder must" and interpret "you" as "the Bidder" and "your" as "the

Bidder's." After award, interpret sentences written in the imperative mood as starting with "The Contractor must" and interpret "you" as "the Contractor" and "your" as "the Contractor's."

Unless an object or activity is specified to be less than the total, the quantity or amount is all of the object or activity.

All items in a list apply unless the items are specified as choices.

Headings are included for the purposes of organization and referencing. Inclusion of a heading with no related content, "Reserved," or "Not Used" does not indicate that no specification exists for that subject; applicable specifications may be covered in a general or referenced specification.

1-2 REFERENCES

1-2.01 REFERENCES

A reference within parentheses to a law or regulation is included in the contract for convenience only and is not a comprehensive listing of related laws and regulations. Lack of a reference does not indicate no related laws or regulations exist.

If the version of a referenced document is not specified, use the current version in effect on the date of Notice to Bidders.

A reference to a subsection includes the section's general specifications of which the subsection is a part.

A code not specified as a Federal code is a California code.

1-3 ABBREVIATIONS AND MEASUREMENT UNITS

1-3.01 ABBREVIATIONS

Abbreviations

Abbreviation	Meaning
AAN	American Association of Nurserymen
AASHTO	American Association of State Highway and Transportation Officials
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
ANSI	American National Standards Institute
APHA	American Public Health Association
API	American Petroleum Institute
AREMA	American Railway Engineering and Maintenance-of-Way Association
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
AWG	American Wire Gage
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
CIH	Certified Industrial Hygienist
DBE	Disadvantaged Business Enterprise
DVBE	Disabled Veteran Business Enterprise
EIA	Electronic Industries Alliance
ETL	Electrical Testing Laboratories
FHWA	Federal Highway Administration
IEEE	Institute of Electrical and Electronics Engineers
NETA	National Electrical Testing Association, Inc.
NEMA	National Electrical Manufacturers Association
PLAC	permit, license, agreement, certification, or any combination of these
SSPC	The Society for Protective Coatings
UL	Underwriters' Laboratories Inc.

1-3.02 MEASUREMENT UNITS

Some of the symbols for units of measurement used in the specifications and in the Bid Item List are defined as follows. The symbols for other units of measurement used in the specifications are as defined in ASTM E 380 or in the various specifications and test referenced in the specifications.

Measurement Units

Symbols as used in the specifications	Symbols as used in the Bid Item List	Meaning
A	—	amperes
—	EA	each
g	G	gram
kg	KG	kilogram
ha	HA	hectare (10 000 m^2)
h	H	hour
J	—	joule
—	LNKM	lane kilometer
L	L	liter
—	LS	lump sum
m	M	meter
km	KM	kilometer
mm	MM	millimeter
µm	—	micrometer
nm	—	nanometer
m^2	M2	square meter
m^3	M3	cubic meter
N	—	newton
N·m	—	newton meter
Ω	—	ohm
Pa	—	pascal
kPa	—	kilopascal
MPa	—	megapascal
s	—	second
—	STA	station (100 m)
—	TAB	tablet
tonne	TONN	metric ton (1000 kg)
W	—	watt

1-4 DEFINITIONS

1-4.01 GENERAL

Interpret terms as defined in the contract documents. A construction-industry term not defined in the contract documents has the meaning defined in Means Illustrated Construction Dictionary, Condensed Version, Second Edition.

1-4.02 GLOSSARY

acceptance: Formal written acceptance by the Director of an entire contract that has been completed in all respects in accordance with the plans and specifications and any modifications to them previously approved.

base: Layer of specified material of planned thickness placed immediately below the pavement or surfacing.

basement material: Material in excavation or embankments underlying the lowest layer of subbase, base, pavement, surfacing, or other specified layer to be placed.

bid item: Specific work unit for which the bidder provides a price.

Bid Item List: List of bid items and the associated quantities.

Bid Item List, verified: Bid Item List with verified prices. The Contract Proposal of Low Bidder at the Department's Web site is the verified Bid Item List.

bridge: Structure, with a bridge number, that carries a utility facility, or railroad, highway, pedestrian or other traffic, over a water course or over or under or around any obstruction.

building-construction contract: Contract that has "building construction" on the cover of the Notice to Bidders and Special Provisions.

business day: Day on the calendar except Saturday or holiday.

California Manual on Uniform Traffic Control Devices: The California Manual on Uniform Traffic Control Devices for Streets and Highways (California MUTCD) is issued by the Department of Transportation and is the Federal Highway Administration's MUTCD 2003 Edition, as amended for use in California.

Certified Industrial Hygienist: Industrial hygienist certified in comprehensive practice by the American Board of Industrial Hygiene.

conduit: Pipe or tube in which smaller pipes, tubes, or electrical conductors are inserted or are to be inserted.

contract: Written and executed contract between the Department and the Contractor.

contract bonds: Security for the payment of workers and suppliers furnishing materials, labor, and services and for guaranteeing the Contractor's work performance.

contract item: Bid item.

Contractor: Person or business or its legal representative entering into a contract with the Department for performance of the work.

culvert: Structure, other than a bridge, that provides an opening under a roadway for drainage or other purposes.

day: 24 consecutive hours running from midnight to midnight; calendar day.

deduction: Amount of money permanently taken from progress payment and final payment. Deductions are not retentions under Pub Cont Code § 7107.

Department: Department of Transportation as defined in St & Hwy Code § 20 and authorized in St & Hwy Code § 90; its authorized representatives.

detour: Temporary route for traffic around a closed road part. A passageway through a job site is not a detour.

Director: Department's Director.

Disabled Veteran Business Enterprise: Business certified as a DVBE by the Office of Small Business and DVBE Services, Department of General Services.

divided highway: Highway with separated traveled ways for traffic, generally in opposite directions.

Engineer: Department's Chief Engineer acting either directly or through properly authorized agents; the agents acting within the scope of the particular duties delegated to them.

Federal-aid contract: Contract that has a Federal-aid project number on the cover of the Notice to Bidders and Special Provisions.

fixed costs: Labor, material, or equipment cost directly incurred by the Contractor as a result of performing or supplying a particular bid item that remains constant regardless of the item's quantity.

frontage road: Local street or road auxiliary to and located generally on the side of an arterial highway for service to abutting property and adjacent areas and for control of access.

grading plane: Basement material surface on which the lowest layer of subbase, base, pavement, surfacing, or other specified layer is placed.

highway: Whole right of way or area that is reserved for and secured for use in constructing the roadway and its appurtenances.

holiday:

1. Every Sunday
2. January 1st, New Year's Day
3. 3rd Monday in January, Birthday of Martin Luther King, Jr.
4. February 12th, Lincoln's Birthday
5. 3rd Monday in February, Washington's Birthday
6. March 31st, Cesar Chavez Day
7. Last Monday in May, Memorial Day
8. July 4th, Independence Day
9. 1st Monday in September, Labor Day
10. 2nd Monday in October, Columbus Day
11. November 11th, Veterans Day
12. 4th Thursday in November, Thanksgiving Day
13. Day after Thanksgiving Day
14. December 25th, Christmas Day

If January 1st, February 12th, March 31st, July 4th, November 11th, or December 25th falls on a Sunday, the Monday following is a holiday. If November 11th falls on a Saturday, the preceding Friday is a holiday. Interpret "legal holiday" as "holiday."

informal-bid contract: Contract that has "Informal Bid Authorized by Pub Cont Code §10122" on the cover of the Notice to Bidders and Special Provisions.

Information Handout: Supplemental project information furnished to bidders as a handout.

laboratory: Laboratory authorized by the Department to test materials.

liquidated damages: Amount prescribed in the specifications, pursuant to the authority of Pub Cont Code § 10226, to be paid to the State or to be deducted for each day's delay in completing the whole or any specified portion of the work beyond the time allowed in the specifications.

median: Portion of a divided highway separating the traveled ways for traffic in opposite directions including inside shoulders.

Notice to Bidders: Document that provides a general work description, bidder and bid specifications, and the time and location the Department receives bids.

pavement: Uppermost layer of material placed on the traveled way or shoulders. This term is used interchangeably with surfacing.

plans: Official project plans and Standard Plans, profiles, typical cross sections, working drawings and supplemental drawings, or reproductions thereof, approved by the Engineer, which show the location, character, dimensions and details of the work to be performed. These documents are to be considered as a part of the plans.

In the above definition, the following terms are defined as follows:

Standard Plans: Standard Plans issued by the Department.

project plans: Specific details and dimensions peculiar to the work supplemented by the Standard Plans insofar as the same may apply.

roadbed: Area between the intersection of the upper surface of the roadway and the side slopes or curb lines. The roadbed rises in elevation as each increment or layer of subbase, base, surfacing or pavement is placed. Where the medians are so wide as to include areas of undisturbed land, a divided highway is considered as including 2 separate roadbeds.

roadway: Highway portion included between the outside lines of sidewalks, or curbs, slopes, ditches, channels, waterways, and including all the appertaining structures, and other features necessary to proper drainage and protection.

shoulder: Roadway portion contiguous with the traveled way for accommodation of stopped vehicles, for emergency use, and for lateral support of base and surface courses.

special provisions: Specific clauses setting forth conditions or requirements peculiar to the work and supplementary to these Standard Specifications. The Department's publication titled "Labor Surcharge And Equipment Rental Rates" is part of the special provisions.

specifications: Directions, provisions, and requirements contained in these Standard Specifications, Amendments to the Standard Specifications, and the special provisions. Where the term "these specifications" or "these Standard Specifications" is used in this book, it means the provisions set forth in this book.

State: State of California, including its agencies, departments, or divisions, whose conduct or action is related to the work.

Structure Design: Offices of Structure Design of the Department.

subbase: Layer of specified material of planned thickness between a base and the basement material.

subgrade: Roadbed portion on which pavement, surfacing, base, subbase, or a layer of any other material is placed.

substructure: Bridge portions below the bridge seats, tops of piers, haunches of rigid frames, or below the spring lines of arches. Backwalls and parapets of abutments and wingwalls of bridges are portions of the substructure.

superstructure: Bridge portion except the bridge substructure.

supplemental project information: Information relevant to the project, specified as supplemental project information, and made available to bidders.

surfacing: Uppermost layer of material placed on the traveled way, or shoulders. This term is used interchangeably with pavement.

traffic lane: Portion of a traveled way for the movement of a single line of vehicles.

traveled way: Portion of the roadway for the movement of vehicles, exclusive of shoulders.

total bid: Sum of the item totals as verified by the Department; original contract price.

withhold: Money temporarily or permanently taken from progress payment. Withholds are not retentions under Pub Cont Code § 7107.

work: All the work specified, indicated, shown or contemplated in the contract to construct the improvement, including all alterations, amendments, or extensions to it made by contract change order or other written orders of the Engineer.

1-5 DISTRICTS

District Composition and Office Addresses

District	Counties	Location Address	Mailing Address
1	Del Norte (DN), Humboldt (Hum), Lake (Lak), Mendocino (Men)	1656 UNION ST EUREKA, CA	PO BOX 3700 EUREKA CA 95502
2	Lassen (Las), Modoc (Mod), Plumas (Plu), Shasta (Sha), Siskiyou (Sis), Tehama (Teh), Trinity (Tri)	1657 RIVERSIDE DR REDDING, CA	PO BOX 496073 REDDING CA 96049-6073
3	Butte (But), Colusa (Col), El Dorado (ED), Glenn (Gle), Nevada (Nev), Placer (Pla), Sacramento (Sac), Sierra (Sie), Sutter (Sut), Yolo (Yol), Yuba (Yub)	703 B ST MARYSVILLE, CA	PO BOX 911 MARYSVILLE CA 95901
4	Alameda (Ala), Contra Costa (CC), Marin (Mrn), Napa (Nap), San Francisco (SF), San Mateo (SM), Santa Clara (SCl), Solano (Sol), Sonoma (Son)	111 GRAND AVE OAKLAND, CA	PO BOX 23660 OAKLAND CA 94623-0660
5	Monterey (Mon), San Benito (SBt), San Luis Obispo (SLO), Santa Barbara (SB), Santa Cruz (SCr)	50 HIGUERA ST SAN LUIS OBISPO, CA	50 HIGUERA ST SAN LUIS OBISPO CA 93401-5415
6	Fresno (Fre), Kern (Ker), Kings (Kin), Madera (Mad), Tulare (Tul)	1352 W. OLIVE AVE FRESNO, CA	PO BOX 12616 FRESNO CA 93728-2616
7	Los Angeles (LA), Ventura (Ven)	100 S. MAIN ST LOS ANGELES	100 S MAIN ST LOS ANGELES CA 90012
8	Riverside (Riv), San Bernardino (SBd)	464 W 4TH ST SAN BERNARDINO, CA	464 W 4TH ST SAN BERNARDINO CA 92401-1400
9	Inyo (Iny), Mono (Mno)	500 S MAIN ST BISHOP, CA	500 S MAIN ST BISHOP CA 93514-3423
10	Alpine (Alp), Amador (Ama), Calaveras (Cal), Mariposa (Mpa), Merced (Mer), San Joaquin (SJ), Stanislaus (Sta), Tuolumne (Tuo)	1976 E CHARTER WAY STOCKTON, CA	PO BOX 2048 STOCKTON CA 95201
11	Imperial (Imp), San Diego (SD)	4050 TAYLOR ST SAN DIEGO, CA	4050 TAYLOR ST SAN DIEGO CA 92110-2737
12	Orange (Ora)	3347 MICHELSON DR STE 100 IRVINE, CA	3347 MICHELSON DR STE 100 IRVINE CA 92612-0661

A project with work in District 1, 2, or 3 is a North Region project. For Districts 1, 2, and 3, interpret each reference to the district office as the North Region office. The North Region office address is the District 3 address.

1-6 WEB SITES, ADDRESSES, AND TELEPHONE NUMBERS

Web Sites, Addresses, and Telephone Numbers

Agency, Department Unit, or Reference	Web Site	Address	Telephone No.
Bid Document Unit		MSC 26 BID DOCUMENT UNIT DEPARTMENT OF TRANSPORTATION 1120 N ST RM 200 SACRAMENTO CA 95814-5605	
Department	www.dot.ca.gov		
Department of General Services, Office of Small Business and DVBE Services	www.pd.dgs.ca.gov/smbus/default.htm	OFFICE OF SMALL BUSINESS AND DVBE SERVICES DEPARTMENT OF GENERAL SERVICES 707 3RD ST WEST SACRAMENTO CA 95605-2811	(800) 559-5529 (916) 375-4940
Department of Industrial Relations	www.dir.ca.gov		
Department of Industrial Relations, Division of Apprenticeship Standards		455 GOLDEN GATE AVENUE SAN FRANCISCO, CA 94102	
Office Engineer		MSC 43 OFFICE ENGINEER DEPARTMENT OF TRANSPORTATION 1727 30TH ST SACRAMENTO CA 95816-7005	
Office Engineer–Verified Bid Results	http://www.dot.ca.gov/hq/esc/oe/awards/bidsum_html/6week_list.html.		
Offices of Structure Design, Documents Unit		MSC 9-4/4I DOCUMENTS UNIT OFFICES OF STRUCTURE DESIGN DEPARTMENT OF TRANSPORTATION 1801 30TH ST SACRAMENTO CA 95816-7006	(916) 227-8252
Publication Distribution Unit		PUBLICATION UNIT DEPARTMENT OF TRANSPORTATION 1900 ROYAL OAKS DRIVE SACRAMENTO CA 95815-3800	
Transportation Laboratory		MATERIALS AND ENGINEERING TESTING SERVICES AND GEOTECHNICAL SERVICES DEPARTMENT OF TRANSPORTATION 5900 FOLSOM BLVD SACRAMENTO CA 95819-4612	(916) 227-7000
Department's Pre-Qualified Products List	http://www.dot.ca.gov/hq/esc/approved_products_list		

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SECTION 2 PROPOSAL REQUIREMENTS AND CONDITIONS
(Issued 02-06-09)

Replace Section 2 with:

SECTION 2 BIDDING

2-1.01 GENERAL

Section 2, "Bidding," includes specifications related to bid eligibility and the bidding process.

2-1.02 BID INELIGIBILITY

A firm that has provided architectural or engineering services to the Department for this contract before bid submittal for this contract is prohibited from any of the following:

1. Submit a bid
2. Subcontract for a part of the work
3. Supply materials

2-1.03 BID DOCUMENTS

2-1.03A General

Standard Specifications and Standard Plans may be viewed at the Department's Web site and may be purchased at the Publication Distribution Unit.

Special provisions, Amendments to the Standard Specifications, and project plans may be viewed at:

1. Department's Web site
2. District office of the district in which the work is located
3. Districts 4 and 12 offices
4. Bid Document Unit

Bid books, special provisions, Amendments to the Standard Specifications, and project plans may be obtained at the Bid Document Unit.

2-1.03B Supplemental Project Information

If supplemental project information is available, it is specified in the special provisions.

The Information Handout may be viewed and obtained at the Bid Document Unit.

If rock cores are available, you may view them by sending a request to Coreroom@dot.ca.gov.

Make your request at least 7 days before viewing. Include in your request:

1. District-County-Route
2. Bridge number
3. Contract number
4. Viewing date
5. Contact information, including telephone number.

If bridge as-built drawings are available:

1. For a project in District 1 through 6 or 10, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357
2. For a project in District 7, 8, 9, 11, or 12, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357, and are available at the Office of Structure Maintenance and Investigations, Los Angeles, CA, telephone (213) 897-0877

As-built drawings may not show existing dimensions and conditions. Where new construction dimensions are dependent on existing bridge dimensions, verify the field dimensions and adjust dimensions of the work to fit existing conditions.

2-1.04 SMALL BUSINESS ENTERPRISE GOAL

The Department has established an overall 25 percent small business participation goal. To determine if the goal is achieved, the Department is tracking small business participation on all contracts.

Contractors, subcontractors, suppliers, and service providers who qualify as small business are encouraged to apply for certification as a small business by submitting their application to the Department of General Services, Office of Small Business and DVBE Services.

2-1.05 DISADVANTAGED BUSINESS ENTERPRISES

Section 2-1.05, "Disadvantaged Business Enterprises," applies to a Federal-aid contract.

Under 49 CFR 26.13(b):

> The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

In order to ensure the Department achieves its federally mandated statewide overall DBE goal, the Department encourages the participation of DBEs as defined in 49 CFR 26.

2-1.06 DISABLED VETERAN BUSINESS ENTERPRISES

2-1.06A General

Section 2-1.06, "Disabled Veteran Business Enterprises," applies to a non-Federal-aid contract.

Take necessary and reasonable steps to ensure that DVBEs have opportunity to participate in the contract.

Comply with Mil & Vet Code § 999 et seq.

2-1.06B No Goal

Section 2-1.06B, "No Goal," applies if no DVBE goal is shown in the Notice to Bidders.

The Department encourages bidders to obtain DVBE participation in order to ensure the Department achieves its State-mandated overall DVBE goal.

2-1.06C Goal

Section 2-1.06C, "Goal," applies if a DVBE goal is shown in the Notice to Bidders.

Make work available to DVBEs and select work parts consistent with available DVBE subcontractors and suppliers.

Meet the goal shown or demonstrate that you made good faith efforts to meet this goal.

If a DVBE joint venture is used, submit the joint venture agreement with the Certified DVBE Subcontractor Summary form.

The Department determines a bidder has made good faith efforts if it submits evidence within the specified time that it:

1. Contacted the Office of Small Business and DVBE Services, Department of General Services
2. Advertised in trade media and media focusing on DVBEs unless time limits the Department imposes do not allow the advertising
3. Submitted invitations to bid to potential DVBE contractors
4. Considered available DVBEs

2-1.07 SMALL BUSINESS AND NON–SMALL BUSINESS SUBCONTRACTOR PREFERENCES (GOVT CODE § 14835 ET SEQ. AND 2 CA CODE OF REGS § 1896 ET SEQ.)

2-1.07A General

Section 2-1.07, "Small Business and Non–Small Business Subcontractor Preferences (Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq.)," applies to a non-Federal-aid contract.

2-1.07B Small Business Preference

The Department allows a bidder certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. You completed a Request for Small Business Preference or Non–Small Business Preference form
2. You attached a copy of your Office of Small Business and DVBE Services small business certification to the form
3. The apparent low bidder is not certified as a small business

The bidder's signature on the Request for Small Business Preference or Non–Small Business Preference form certifies that the bidder is certified as a small business at the time and day of bid or has submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The small business preference is a reduction for bid comparison in the total bid submitted by the small business subcontractor by the lesser of:

1. 5 percent of the verified total bid of the apparent low bidder
2. $50,000

If this reduction results in the small business contractor becoming the low bidder, the contract award is based on the total bid, not the reduced bid.

2-1.07C Non–Small Business Subcontractor Preference

The Department allows a bidder not certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. You completed a Request for Small Business Preference or Non–Small Business Preference form
2. The apparent low bidder is not certified as a small business and has not requested preference

The listed subcontractors and suppliers must be certified as a small business at the time and day of bid or must have submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The non–small business subcontractor preference is a reduction for bid comparison in the total bid submitted by the non–small business contractor requesting the preference by the lesser of:

1. 5 percent of the verified total bid of the apparent low bidder
2. $50,000

If this reduction results in the non–small business contractor becoming the low bidder or a tie with a non-small business apparent low bidder not requesting the preference, the contract award is based on the total bid, not the reduced bid.

A non–small business bidder cannot displace a small business bidder.

2-1.08 DVBE INCENTIVE EVALUATION

Section 2-1.08, "DVBE Incentive Evaluation," applies to a non-Federal-aid contract.

The Department applies the Small Business and Non–Small Business preference during bid verification and proceeds with the following evaluation for DVBE incentive.

The Department grants a DVBE incentive to bidders who achieve a DVBE participation of 1 percent or greater of the value of their bid (Mil & Vet Code and Code of Regs § 1896.98 et seq).

The DVBE incentive is a reduction, for bid comparison only, in the total bid submitted by the lesser of:

1. Percentage of DVBE achievement, rounded to 2 decimal places, of the verified total bid of the low bidder
2. 5 percent of the verified total bid of the low bidder
3. $100,000

The Department applies DVBE incentive and determines if bid ranking changes.

A non-small business bidder cannot displace a small business bidder. However, a small business bidder with higher DVBE achievement can displace another small business bidder.

The Department proceeds with awarding the contract to the new low bidder and posts the new verified bid results at its Office Engineer Web site.

2-1.09 PREFERENCE HIERARCHY

Section 2-1.09, "Preference Hierarchy," applies to a non-Federal-aid contract.

If a small business bidder and a non–small business bidder request preferences and the reductions result in a tied bid, the Department awards the contract to the small business bidder.

If a DVBE bidder and a non-DVBE bidder request preferences and the reduction results in a tied bid, the Department awards the contract to the DVBE bidder.

2-1.10 CALIFORNIA COMPANIES

Section 2-1.10, "California Companies," applies to a non-Federal-aid contract.

Under Pub Cont Code § 6107, the Department gives preference to a "California company," as defined, for bid comparison purposes over a nonresident contractor from any state that gives or requires a preference to be given contractors from that state on its public entity construction contracts.

Complete a California Company Preference form.

The California company reciprocal preference amount is equal to the preference amount applied by the state of the nonresident contractor with the lowest responsive bid unless the California company is eligible for a small business preference or a non–small business subcontractor preference; in which case the preference amount is the greater of the two, but not both.

If the low bidder is not a California company and a California company's bid with reciprocal preference is equal to or less than the lowest bid, the Department awards the contract to the California company on the basis of its total bid, not the reduced bid used for comparison except as specified in Section 2-1.09, "Preference Hierarchy."

2-1.11 JOB SITE AND DOCUMENT EXAMINATION

Examine the job site and bid documents.

Bid submission is your acknowledgment that you have examined the job site and bid documents and are satisfied with:

1. General and local conditions to be encountered
2. Character, quality, and scope of work to be performed
3. Quantities of materials to be furnished
4. Character, quality, and quantity of surface and subsurface materials or obstacles
5. Requirements of the contract

2-1.12 BID DOCUMENT COMPLETION

2-1.12A General

Complete forms in the Bid book. Submit the forms with your bid except:

1. For the following 2 forms for non-federal-aid non-informal-bid contracts:

    1.1. Certified DVBE Summary form. You may submit this form with your bid. If you do not and you are the apparent low bidder or the second or third low bidder, submit it so that it is received at the Office Engineer no later than 4:00 p.m. on the 4th business day after bid opening. If you are not the apparent low bidder or the second or third low bidder, you are not required to submit this form unless the Department asks for it.

    1.2. Certified Small Business Subcontractor form. If you are applying for the non–small business subcontractor preference, you may submit this information with your bid. If you do not, submit it so that it is received at the Office Engineer no later than 4:00 p.m. on the 2nd business day after bid opening.

2. On the Subcontractor List you may either submit the percentage of each bid item subcontracted with your bid or fax the percentage to (916) 227-6282 within 24 hours after bid opening.

Except for the percentage of each bid item subcontracted, do not fax submittals.

2-1.12B Bid Item List and Bid Comparison

Submit a bid based on the work item quantities the Department shows in the Bid Item List.

For a lump sum based bid, the Department compares bids based on the total price.

For a unit price based bid, the Department compares bids based on the sum of the item totals.

For a cost plus time based bid, the Department compares bids based on the sum of the item totals and the total bid for time.

2-1.12C Subcontractor List

In the Subcontractor List, list each subcontractor to perform work:

1. In an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater (Pub Cont Code § 4100 et seq.)
2. That is a 1st tier DVBE subcontractor regardless of percentage of the total bid

The Subcontractor List must show the name, address, and work portions to be performed by each subcontractor listed. Show work portion by bid item number, description, and percentage of each bid item subcontracted.

2-1.13 BIDDER'S SECURITY

Submit your bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

1. Cash
2. Cashier's check
3. Certified check
4. Bidder's bond signed by a surety insurer who is licensed in California

Make checks and bonds payable to the Department of Transportation.

If paying with a bidder's bond, you may use the form in the Bid book. If you do not use the form in the Bid book, use a form containing the same information.

2-1.14 BID SUBMITTAL

Submit your bid:

1. Under sealed cover
2. Marked as a bid
3. Identifying the contract number and the bid opening date

If an agent other than the authorized corporation officer or a partnership member signs the bid, file a Power of Attorney with the Department either before opening bids or with the bid. Otherwise, the bid may be nonresponsive.

2-1.15 BID WITHDRAWAL

You or an authorized agent may withdraw a bid before the bid opening date and time by submitting a written bid withdrawal request at the location where the bid was submitted. Withdrawing a bid does not prevent you from submitting a new bid.

After the bid opening time, you cannot withdraw a bid.

2-1.16 BID OPENING

The Department publicly opens and reads bids at the time and place described in the Notice to Bidders. The Department invites bidders or their authorized agents to attend.

2-1.17 BID REJECTION

The Department may reject:

1. All bids
2. A nonresponsive bid

2-1.18 BID RELIEF

The Department may grant bid relief under Pub Cont Code § 5100 et seq. Submit any request for bid relief to the Office Engineer. For Relief of Bid Request form, go to:

http://www.dot.ca.gov/hq/esc/oe/contractor_info/relief.pdf

2-1.19 SUBMITTAL FAILURE HISTORY

The Department considers a bidder's past failure to submit documents required after bid opening in determining a bidder's responsibility.

2-1.20 BID RIGGING

Section 2-1.20, "Bid Rigging," applies to a Federal-aid contract.

The U.S. Department of Transportation (DOT) provides a toll-free hotline to report bid rigging activities. Use the hotline to report bid rigging, bidder collusion, and other fraudulent activities. The hotline number is (800) 424-9071. The service is available Monday through Friday between 11:00 a.m. and 8:00 p.m. and is confidential and anonymous. The hotline is part of the DOT's effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General.

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SECTION 3 AWARD AND EXECUTION OF CONTRACT
(Issued 11-21-08)

Replace Section 3 with:

SECTION 3 CONTRACT AWARD AND EXECUTION

3-1.01 SCOPE

Section 3, "Contract Award and Execution," includes specifications related to contract award and execution.

3-1.02 CONTRACT AWARD

Submit any bid protest to the Office Engineer.

If the Department awards the contract, the award is made to the lowest responsible bidder within the number of days shown in the following table:

Contract Award Period

Days	Project Estimated Cost shown in the Notice to Bidders
30	< $200 million
60	≥ $200 million

The Department may extend the specified award period if the bidder agrees.

3-1.03 CONTRACT BONDS (PUB CONT CODE §§ 10221 AND 10222)

The successful bidder must furnish 2 bonds:

1. Payment bond to secure the claim payments of laborers, workers, mechanics, or materialmen providing goods, labor, or services under the contract. This bond must be equal to at least 100 percent of the total bid.
2. Performance bond to guarantee the faithful performance of the contract. This bond must be equal to at least 50 percent of the total bid.

The Department furnishes the successful bidder with bond forms.

3-1.04 CONTRACTOR LICENSE

For a Federal-aid contract, the Contractor must be properly licensed as a contractor (Pub Cont Code § 10164) from contract award through contract acceptance.

For a non-Federal-aid contract:

1. The Contractor must be properly licensed as a contractor from bid opening through contract acceptance (Bus & Prof Code § 7028.15)
2. Joint venture bidders must obtain a joint venture license before contract award (Bus & Prof Code § 7029.1)

3-1.05 INSURANCE POLICIES

The successful bidder must submit:

1. Copy of its commercial general liability policy and its excess policy or binder until such time as a policy is available, including the declarations page, applicable endorsements, riders, and other modifications in effect at the time of contract execution. Standard ISO form No. CG 0001 or similar exclusions are allowed if not inconsistent with Section 7-1.12, "Indemnification and Insurance." Allowance of additional exclusions is at the discretion of the Department.
2. Certificate of insurance showing all other required coverages. Certificates of insurance, as evidence of required insurance for the auto liability and any other required policy, shall set forth deductible amounts applicable to each policy and all exclusions that are added by endorsement to each policy. The evidence of insurance shall provide that no cancellation, lapse, or reduction of coverage will occur without 10 days prior written notice to the Department.
3. A declaration under the penalty of perjury by a CPA certifying the accountant has applied GAAP guidelines confirming the successful bidder has sufficient funds and resources to cover any self-insured retentions if the self-insured retention is $50,000 or higher.

If the successful bidder uses any form of self-insurance for workers compensation in lieu of an insurance policy, it shall submit a certificate of consent to self-insure under Labor Code § 3700.

3-1.06 SMALL BUSINESS PARTICIPATION REPORT

Complete and sign the Small Business (SB) Participation Report form included in the contract documents even if no small business participation is reported.

3-1.07 PAYEE DATA RECORD

Complete and sign the Payee Data Record form included in the contract documents.

3-1.08 CALTRANS BIDDER - DBE INFORMATION FORM

Section 3-1.08, "Caltrans Bidder - DBE Information Form," applies to a Federal-aid contract.

Complete and sign the Caltrans Bidder - DBE Information form included in the contract documents even if no DBE participation is reported.

Provide written confirmation from each DBE that the DBE is participating in the contract. A copy of a DBE's quote serves as written confirmation. If a DBE is participating as a joint venture partner, the Department encourages you to submit a copy of the joint venture agreement.

3-1.09 CONTRACT EXECUTION

The successful bidder must sign the contract.

Deliver to the Office Engineer:

1. Signed Contract form
2. Contract bonds
3. Documents identified in Section 3-1.05, "Insurance Policies"
4. Small Business Participation Report
5. Payee data record
6. For a Federal-aid contract, Caltrans Bidder - DBE Information form

For a non-informal-bid contract, the Office Engineer must receive these documents before the 10th business day after the bidder receives the contract.

For an informal-bid contract, the Office Engineer must receive these documents before the 5th business day after the bidder receives the contract.

The bidder's security may be forfeited for failure to execute the contract (Pub Cont Code §§ 10181 and 10182).

The following is a copy of the Contract form:

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
CONTRACT
DES-OE-0103A (NEW 3/2008)

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
CONTRACT NO. _____

This contract is entered into between the State of California's Department of Transportation and the Contractor named below:

_____
CONTRACTOR'S NAME

The parties agree to comply with the terms of the following exhibits that are by this reference made a part of this contract.

Exhibit A - Bid book dated _____

Exhibit B - Notice to Bidders and Special Provisions dated _____

Exhibit C - Project Plans approved _____

Exhibit D - Standard Specifications dated _____

Exhibit E - Standard Plans dated _____

Exhibit F - Addenda

Exhibits A, B, C, and F are those exhibits identified with the same contract number as this contract.

This contract has been executed by the following parties:

CONTRACTOR

CONTRACTOR'S NAME *(if other than an individual, state whether a corporation, partnership, etc.)*

BY *(Authorized Signature)*	DATE SIGNED *(Do not type)*

PRINTED NAME AND TITLE OF PERSON SIGNING

FEDERAL EMPLOYER IDENTIFICATION NUMBER

DEPARTMENT OF TRANSPORTATION

BY *(Authorized Signature)*	DATE SIGNED *(Do not type)*

PRINTED NAME AND TITLE OF PERSON SIGNING

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

3-1.10 BIDDERS' SECURITIES

The Department keeps the securities of the 1st, 2nd, and 3rd lowest responsible bidders until the contract has been executed. The other bidders' securities, other than bidders' bonds, are returned upon determination of the 1st, 2nd, and 3rd apparent lowest bidders, and their bidders' bonds are of no further effect.

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SECTION 4 SCOPE OF WORK
(Issued 07-01-08)

Add to Section 4-1.01:

Nothing in the specifications voids the Contractor's public safety responsibilities.

Add:

4-1.015 PROJECT DESCRIPTION

Construct the work described in the special provisions and on project plans and by the bid items. The special provisions, project plans, and bid item descriptions set forth the specifications that apply.

Add:

4-1.035 VALUE ENGINEERING

4-1.035A General

Reserved

4-1.035B Value Engineering Change Proposal

You may submit a VECP to reduce any of the following:

1. Total cost of construction
2. Construction activity duration
3. Traffic congestion

Before preparing a VECP, meet with the Engineer to discuss:

1. Proposal concept
2. Permit issues
3. Impact on other projects
4. Project impacts, including traffic, schedule, and later stages
5. Peer reviews
6. Overall proposal merits
7. Review times required by the Department and other agencies

The VECP must not impair the project's essential functions or characteristics, such as:

1. Service life
2. Operation economy
3. Maintenance ease
4. Desired appearance
5. Design and safety

The VECP must include:

1. Description of the contract specifications and drawing details for performing the work and the proposed changes.

2. Itemization of contract specifications and drawing details that would be changed.
3. Detailed cost estimate for performing the work under the existing contract and under the proposed change. Determine the estimates under Section 9-1.03, "Force Account Payment."
4. Deadline for the Engineer to decide on the changes.
5. Bid items affected and resulting quantity changes.

The Department is not required to consider a VECP. If a VECP is similar to a change in the plans or specifications being considered by the Department at the time the proposal is submitted or if the proposal is based on or similar to drawings or specifications adopted by the Department before Contract award, the Department does not accept the VECP and may make these changes without VECP payments.

Until the Department approves a change order incorporating the VECP or parts of it, continue to perform the work under the contract. If the Department does not approve a change order before the deadline stated in the VECP or other date you subsequently stated in writing, the VECP is rejected. The Department does not adjust time or payment for a rejected VECP.

The Department decides whether to accept a VECP and the estimated net construction-cost savings from adopting the VECP or parts of it.

The Department may require you to accept a share of the investigation cost as a condition of reviewing a VECP. After written acceptance, the Department considers the VECP and deducts the agreed cost.

If the Department accepts the VECP or parts of it, the Department issues a change order that:

1. Incorporates changes in the contract necessary to implement the VECP or the parts adopted
2. Includes the Department's acceptance conditions
3. States the estimated net construction-cost savings resulting from the VECP
4. Obligates the Department to pay you 50 percent of the estimated net savings

In determining the estimated net construction-cost savings, the Department excludes your VECP preparation cost and the Department's VECP investigation cost, including parts paid by you.

If a VECP providing for a reduction in working days is accepted by the Department, 50 percent of the reduction is deducted from contract time.

If a VECP providing for a reduction in traffic congestion or avoiding traffic congestion is accepted by the Department, the Department pays 60 percent of the estimated net savings in construction costs attributable to the VECP. Submit detailed traffic handling comparisons between the existing contract and the proposed change, including estimates of the traffic volumes and congestion.

The Department may apply an accepted VECP for general use on other contracts.

If an accepted VECP is adopted for general use, the Department pays only the contractor who first submitted the VECP and only to the contracts awarded to that contractor before the submission of the accepted VECP.

If the Department does not adopt a general-use VECP, an identical or similar submitted proposal is eligible for acceptance.

4-1.035C Value Analysis Workshop

Section 4-1.035C, "Value Analysis Workshop," applies to a non-building-work contract with a total bid of over $5 million.

You may request a value analysis workshop by submitting a request after contract approval.

The Department offers a value analysis workshop to:

1. Identify value enhancing opportunities
2. Consider changes to the contract that will reduce the total cost of construction, construction activity duration, or traffic congestion without impairing the essential functions specified for a VECP in Section 4-1.035B, "Value Engineering Change Proposal."

If the request is authorized, you and the Engineer:

1. Schedule a value analysis workshop
2. Select a facilitator and workshop site
3. Agree to other workshop administrative details

The workshop must be conducted under the methods described in the Department's Value Analysis Team Guide available at:

http://www.dot.ca.gov/hq/oppd/value/

The facilitator must be a certified value specialist as recognized by the Society of American Value Engineers.

The Department reimburses you for 1/2 of the workshop cost. The workshop cost is the sum of the workshop-facilitator cost and the workshop-site cost. The Department determines the workshop cost based on the facilitator and workshop-site invoice prices minus any available or offered discounts. The Department does not pay you for any other associated costs.

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SECTION 5 CONTROL OF WORK
(Issued 01-02-09)

Add:

5-1.005 GENERAL

Failure to comply with any specification part is a waiver of your right to an adjustment of time and payment related to that part.

After contract approval, submit documents and direct questions to the Engineer. Orders, approvals, and requests to the Contractor are by the Engineer.

The Engineer furnishes the following in writing:

1. Approvals
2. Notifications
3. Orders

The Contractor must furnish the following in writing:

1. Assignments
2. Notifications
3. Proposals
4. Requests, sequentially numbered
5. Subcontracts
6. Test results

The Department rejects a form if it has any error or any omission.

Convert foreign language documents to English.

Use contract administration forms available at the Department's Web site.

If the last day for submitting a document falls on a Saturday or holiday, it may be submitted on the next business day with the same effect as if it had been submitted on the day specified.

Add to 5-1.01:

Failure to enforce a contract provision does not waive enforcement of any contract provision.

Add:

5-1.012 PARTNERING

5-1.012A General

The Department strives to work cooperatively with all contractors; partnering is our way of doing business. The Department encourages project partnering among the project team, made up of significant contributors from the Department and the Contractor, and their invited stakeholders.

For a project with a total bid greater than $1 million, professionally facilitated project partnering is encouraged.

For a project with a total bid greater than $10 million, professionally facilitated project partnering is required.

In implementing project partnering, you and the Engineer manage the contract by:

1. Using early and regular communication with involved parties
2. Establishing and maintaining a relationship of shared trust, equity, and commitment
3. Identifying, quantifying, and supporting attainment of mutual goals
4. Developing strategies for using risk management concepts
5. Implementing timely communication and decision making
6. Resolving potential problems at the lowest possible level to avoid negative impacts
7. Holding periodic partnering meetings and workshops as appropriate to maintain partnering relationships and benefits throughout the life of the project
8. Establishing periodic joint evaluations of the partnering process and attainment of mutual goals

Partnering does not void any contract part.

The Department's "Field Guide to Partnering on Caltrans Construction Projects" current at the time of bid is available to the project team as reference. This guide provides structure, context, and clarity to the partnering process requirements. This guide is available at the Department's Partnering Program website:

http://www.dot.ca.gov/hq/construc/partnering.html

In implementing project partnering, the project team must:

1. Create a partnering charter that includes:

    1.1. Mutual goals, including core project goals and may also include project-specific goals and mutually supported individual goals.
    1.2. Partnering maintenance and close-out plan.
    1.3. Dispute resolution plan that includes a dispute resolution ladder and may also include use of facilitated dispute resolution sessions.
    1.4. Team commitment statement and signatures.

2. Participate in monthly partnering evaluation surveys to measure progress on mutual goals and may also measure short-term key issues as they arise.
3. Evaluate the partnering facilitator on Forms CEM-5501 and CEM-5502. The Engineer provides the evaluation forms to the project team and collects the results. The Department makes evaluation results available upon request. Facilitator evaluations must be completed:

    3.1. At the end of the initial partnering workshop on Form CEM-5501.
    3.2. At the end of the project close-out partnering workshop on Form CEM-5502.

4. Conduct a project close-out partnering workshop.
5. Document lessons learned before contract acceptance.

5-1.012B Partnering Facilitator, Workshops, and Monthly Evaluation Surveys

The Engineer sends you a written invitation to enter into a partnering relationship after contract approval. Respond within 15 days to accept the invitation and request the initial and additional partnering workshops. After the Engineer receives the request, you and the Engineer cooperatively:

1. Select a partnering facilitator that offers the service of a monthly partnering evaluation survey with a 5-point rating and agrees to follow the Department's "Partnering Facilitator Standards and Expectations" available at the Department's Partnering Program website
2. Schedule initial partnering workshop
3. Determine initial workshop site and duration
4. Agree to other workshop administrative details

Additional partnering workshops and sessions are encouraged throughout the life of the project as determined necessary by you and the Engineer, recommended quarterly.

5-1.012C Training in Partnering Skills Development

For a project with a total bid of $25 million or greater, training in partnering skills development is required.

For a project with a total bid between $10 million and $25 million, training in partnering skills is optional.

You and the Engineer cooperatively schedule the training session and select a professional trainer, training site, and 1 to 4 topics from the following list to be covered in the training:

1. Active Listening
2. Building Teams
3. Change Management
4. Communication
5. Conflict Resolution
6. Cultural Diversity
7. Dealing with Difficult People
8. Decision Making
9. Effective Escalation Ladders
10. Emotional Intelligence
11. Empathy
12. Ethics
13. Facilitation Skills
14. Leadership
15. Partnering Process and Concepts
16. Project Management
17. Project Organization
18. Problem Solving
19. Running Effective Meetings
20. Time Management
21. Win-Win Negotiation

Before the initial partnering workshop, the trainer conducts a 1-day training session in partnering skills development for the Contractor's and the Engineer's representatives. This training session must be a separate session from the initial partnering workshop and must be conducted locally. The training session must be consistent with the partnering principles under the Department's "Field Guide to Partnering on Caltrans Construction Projects."

Send at least 2 representatives to the training session. One of these must be your assigned representative as specified in Section 5-1.06, "Superintendence," of the Standard Specifications.

5-1.012D Payment

The Department pays you for:

1. 1/2 of partnering workshops and sessions based on facilitator and workshop site cost
2. 1/2 of monthly partnering evaluation survey service cost
3. Partnering skills development trainer and training site cost

The Department determines the costs based on invoice prices minus any available or offered discounts. The Department does not pay markups on these costs.

The Department does not pay for wages, travel expenses, or other costs associated with the partnering workshops and sessions, monthly partnering evaluation surveys, and training in partnering skills development.

Add:

5-1.015 RECORDS

5-1.015A General

Reserved

5-1.015B Record Retention

Retain project records from bid preparation through:

1. Final payment
2. Resolution of claims, if any

For at least 3 years after the later of these, retain cost records, including records of:

1. Bid preparation
2. Overhead
3. Payrolls
4. Payments to suppliers and subcontractors
5. Cost accounting

Maintain the records in an organized way in the original format, electronic and hard copy, conducive to professional review and audit.

5-1.015C Record Inspection, Copying, and Auditing

Make your records available for inspection, copying, and auditing by State representatives for the same time frame specified under Section 5-1.015B, "Record Retention." The records of subcontractors and suppliers must be made available for inspection, copying, and auditing by State representatives for the same period. Before contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier 5 business days before inspection, copying, or auditing.

If an audit is to start more than 30 days after contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier when the audit is to start.

5-1.015D Cost Accounting Records

Maintain cost accounting records for the project distinguishing between the following work cost categories:

1. Contract item work
2. Work character changes
3. Force account work
4. Extra work
5. Work performed under protests and claim notifications
6. Overhead
7. Subcontractors, suppliers, owner-operators, and professional services

Cost accounting records must include:

1. Final cost code lists and definitions
2. Itemization of the materials used and corresponding vendor's invoice copies
3. Direct cost of labor
4. Equipment rental charges
5. Workers' certified payrolls
6. Equipment:

    6.1. Size
    6.2. Type
    6.3. Identification number
    6.4. Hours operated

5-1.015E Extra Work Bills

Maintain separate records for force account costs.

Submit extra work bills using the Department's Internet extra work billing system.

The Contractor submitting and the Engineer approving an extra work bill using the Internet force account work billing system is the same as each party signing the report.

The Department provides billing system:

1. Training within 30 days of your written request
2. Accounts and user identification to your assigned representatives after a representative has received training

Each representative must maintain a unique password.

Replace Section 5-1.02A with:

5-1.02A Excavation Safety Plans

The Construction Safety Orders of the Division of Occupational Safety and Health shall apply to all excavations. For all excavations 1.5 m or more in depth, the Contractor shall submit to the Engineer a detailed plan showing the design and details of the protective systems to be provided for worker protection from the hazard of caving ground during excavation. The detailed plan shall include any tabulated data and any design calculations used in the preparation of the plan. Excavation shall not begin until the detailed plan has been reviewed and approved by the Engineer.

Detailed plans of protective systems for which the Construction Safety Orders require design by a registered professional engineer shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California, and shall include the soil classification, soil properties, soil design calculations that demonstrate adequate stability of the protective system, and any other design calculations used in the preparation of the plan.

No plan shall allow the use of a protective system less effective than that required by the Construction Safety Orders.

If the detailed plan includes designs of protective systems developed only from the allowable configurations and slopes, or Appendices, contained in the Construction Safety Orders, the plan shall be submitted at least 5 days before the Contractor intends to begin excavation. If the detailed plan includes designs of protective systems developed from tabulated data, or designs for which design by a registered professional engineer is required, the plan shall be submitted at least 3 weeks before the Contractor intends to begin excavation.

Attention is directed to Section 7-1.01E, "Trench Safety."

Replace Section 5-1.04 with:

5-1.04 CONTRACT COMPONENTS

A component in one contract part applies as if appearing in each. The parts are complementary and describe and provide for a complete work.

If a discrepancy exists:

1. The governing ranking of contract parts in descending order is:

    1.1. Special provisions
    1.2. Project plans
    1.3. Revised Standard Plans
    1.4. Standard Plans
    1.5. Amendments to the Standard Specifications
    1.6. Standard Specifications
    1.7. Supplemental project information

2. Written numbers and notes on a drawing govern over graphics
3. A detail drawing governs over a general drawing
4. A detail specification governs over a general specification
5. A specification in a section governs over a specification referenced by that section

If a discrepancy is found or confusion arises, request correction or clarification.

Add:

5-1.055 SUBCONTRACTING

5-1.055A General

No subcontract releases you from the contract or relieves you of your responsibility for a subcontractor's work.

If you violate Pub Cont Code § 4100 et seq., the Department may exercise the remedies provided under Pub Cont Code § 4110. The Department may refer the violation to the Contractors State License Board as provided under Pub Cont Code § 4111.

Perform work equaling at least 30 percent of the value of the original total bid with your employees and with equipment owned or rented by you, with or without operators.

Each subcontract must comply with the contract.

Each subcontractor must have an active and valid State contractor's license with a classification appropriate for the work to be performed (Bus & Prof Code, § 7000 et seq.).

Submit copies of subcontracts upon request.

Before subcontracted work starts, submit a Subcontracting Request form.

Do not use a debarred contractor; a current list of debarred contractors is available at the Department of Industrial Relations' Web site.

Upon request, immediately remove and not again use a subcontractor who fails to prosecute the work satisfactorily.

5-1.055B Disadvantaged Business Enterprises

Section 5-1.055B, "Disadvantaged Business Enterprises," applies to a Federal-aid contract.

Use each subcontractor as listed on the Subcontractor List form unless you receive authorization for a substitution.

The Department requests the Contractor to:

1. Notify the Engineer of any changes to its anticipated DBE participation
2. Provide this notification before starting the affected work

Maintain records including:

1. Name and business address of each 1st-tier subcontractor
2. Name and business address of each DBE subcontractor, DBE vendor, and DBE trucking company, regardless of tier
3. Date of payment and total amount paid to each business

If you are a DBE contractor, include the date of work performed by your own forces and the corresponding value of the work.

Before the 15th of each month, submit a Monthly DBE Trucking Verification form.

For a DBE that leases trucks from a non-DBE, count only the fee or commission the DBE receives as a result of the lease arrangement.

If a DBE subcontractor is decertified before completing subcontracted work, the subcontractor must notify you in writing of the decertification date. If a subcontractor becomes a certified DBE before completing subcontracted work, the subcontractor must notify you in writing of the certification date. Submit the notifications. On contract work completion, complete a Disadvantaged Business Enterprises (DBE) Certification Status Change form. Submit the form within 90 days of contract acceptance.

Upon contract work completion, complete a Final Report – Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors form. Submit it within 90 days of contract acceptance. The Department withholds $10,000 until the form is submitted. The Department releases the withhold upon submission of the completed form.

5-1.055C Disabled Veteran Business Enterprises

Section 5-1.055C, "Disabled Veteran Business Enterprises," applies to a non-Federal-aid contract.

If a DVBE goal is shown in the Notice to Bidders:

1. Use each DVBE as shown on the Certified DVBE Summary form unless you receive authorization for a substitution
2. The requirement that DVBEs be certified by the bid opening date does not apply to DVBE substitutions after contract award
3. Maintain records of subcontracts made with certified DVBEs. Include in the records:

    3.1. Name and business address of each business
    3.2. Total amount paid to each business

4. For the purpose of determining compliance with Pub Cont Code § 10115 et seq.:

    4.1. Provide the Department relevant information requested
    4.2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:

        4.2.1. Interviewing employees
        4.2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

If no DVBE goal is shown in the Notice to Bidders and if you obtain DVBE participation, submit the participating DVBE names and value of work or supplies supplied by each DVBE transaction upon contract completion.

5-1.055D Non–Small Businesses

Section 5-1.055D, "Non–Small Businesses," applies to a non-Federal-aid contract.

Use each subcontractor as shown on the Certified Small Business Listing for the Non–Small Business Preference form unless you receive authorization for a substitution.

The requirement that small businesses be certified by the bid opening date does not apply to small business substitutions after contract award.

Maintain records of subcontracts made with certified small business subcontractors and records of materials purchased from certified small business suppliers. Include in the records:

1. Name and business address of each business
2. Total amount paid to each business

For the purpose of determining compliance with 2 CA Code of Regs § 1896 et seq.:

1. Provide the Department relevant information requested.
2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:

    2.1. Interviewing employees
    2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

Replace Section 5-1.07 with:

5-1.07 LINES AND GRADES

The Engineer places stakes and marks under Chapter 12, "Construction Surveys," of the Department's Surveys Manual.

Submit your request for Department-furnished stakes:

1. On a Request for Construction Stakes form. Ensure:

    1.1. Requested staking area is ready for stakes
    1.2. You use the stakes in a reasonable time

2. A reasonable time before starting an activity using the stakes

Establish priorities for stakes and note priorities on the request.

Preserve stakes and marks placed by the Engineer. If the stakes or marks are destroyed, the Engineer replaces them at the Engineer's earliest convenience and deducts the cost.

Replace Section 5-1.116 with:

5-1.116 DIFFERING SITE CONDITIONS (23 CFR 635.109)

5-1.116A Contractor's Notification

Promptly notify the Engineer if you find either of the following:

1. Physical conditions differing materially from either of the following:

    1.1. Contract documents
    1.2. Job site examination

2. Physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract

Include details explaining the information you relied on and the material differences you discovered.

If you fail to notify the Engineer promptly, you waive the differing site condition claim for the period between your discovery of the differing site condition and your notification to the Engineer.

If you disturb the site after discovery and before the Engineer's investigation, you waive the differing site condition claim.

5-1.116B Engineer's Investigation and Decision

Upon your notification, the Engineer investigates job site conditions and:

1. Notifies you whether to resume affected work
2. Decides whether the condition differs materially and is cause for an adjustment of time, payment, or both

5-1.116C Protests

You may protest the Engineer's decision by:

1. Submitting an Initial Notice of Potential Claim within 5 business days after receipt of the Engineer's notification
2. Complying with claim procedures

The Initial Notice of Potential Claim must detail the differences in your position from the Engineer's determination and support your position with additional information, including additional geotechnical data. Attach to the Initial Notice of Potential Claim a certification stating that you complied with Section 2-1.11, "Job Site and Document Examination."

Promptly submit supplementary information when obtained.

Replace Section 5-1.14 with:

5-1.14 COST REDUCTION INCENTIVE

Comply with Section 4-1.035B, "Value Engineering Change Proposal."

Add:

5-1.15 DISPUTE RESOLUTION

5-1.15A General

Section 5-1.15, "Dispute Resolution," applies to a contract with 100 or more working days.

In the Dispute Resolution Advisor Agreement and in the Dispute Review Board Agreement, interpret a reference to the special provisions as a reference to the Amendments to the Standard Specifications. In the Dispute Review Board Agreement, replace "Proposal and Contract" with "Bid book." Where the section title does not match the section number for a reference, refer to the referenced title.

5-1.15B Dispute Resolution Advisor

Section 5-1.15B, "Dispute Resolution Advisor," applies to a contract from $3 million to $10 million.

A dispute resolution advisor, hereinafter referred to as "DRA", is chosen by the Department and the Contractor to assist in the resolution of disputes. The DRA is a part of the contract administrative claims process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The DRA shall not serve as a substitute for filing a protest or a notice of potential claim.

The DRA shall be established by the Department and the Contractor within 30 days of contract approval.

The Department and the Contractor shall each propose 3 potential DRA candidates. Each potential candidate shall provide the Department and the Contractor with their disclosure statement. The disclosure statement shall include a resume of the potential candidate's experience and a declaration statement describing past, present, anticipated, and planned relationships with all parties involved in this contract.

The Department and the Contractor shall select one of the 6 nominees to be the DRA. If the Department and the Contractor cannot agree on one candidate, the Department and the Contractor shall each choose one of the 3 nominated by the other. The final selection of the DRA will be decided by a coin toss between the two candidates.

The Department and the Contractor shall complete and adhere to the Dispute Resolution Advisor Agreement. No DRA meeting shall take place until the Dispute Resolution Advisor Agreement has been signed by all parties, unless all parties agree to sign it at the first meeting.

If DRA needs outside technical services, technical services shall be preapproved by both the Department and the Contractor.

DRA recommendations are nonbinding.

The Contractor shall not use the DRA for disputes between subcontractors or suppliers that have no grounds for a lawsuit against the Department.

DRA replacement is selected in the same manner as the original selection. The appointment of a replacement DRA will begin promptly upon determination of the need for replacement. The Dispute Resolution Advisor Agreement shall be amended to reflect the change of the DRA.

Failure of the Contractor to participate in selecting DRA will result in the withhold of 25 percent of the estimated value of all work performed during each estimate period that the Contractor fails to comply. DRA withholds will be released for payment on the next monthly progress payment following the date that the Contractor has provided assistance in choosing the DRA and no interest will be due the Contractor.

The State and the Contractor shall bear the costs and expenses of the DRA equally.

The DRA shall be compensated at an agreed rate of $1,500 per day for time spent per meeting either at the start of the project or for a dispute. A member serving on more than one State DRA or Dispute Review Board, regardless the number of meetings per day shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel, and incidentals for each day or portion thereof that the DRA is at an authorized DRA meeting.

No additional compensation will be made for time spent by the DRA to review and research activities outside the official DRA meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRA, has been specifically agreed to in advance by the State and Contractor. Time away from the project that has been specifically agreed to in advance by the Department and the Contractor will be compensated at an agreed rate of $150 per hour. The agreed amount of $150 per hour shall include all incidentals including expenses for telephone, fax, and computer services.

The State will provide conference facilities for DRA meetings at no cost to the Contractor.

The Contractor shall make direct payments to the DRA for participation in authorized meetings and approved hourly rate charges from invoices submitted.

The State will reimburse the Contractor for the State's share of the costs.

There will be no markups applied to expenses associated with the DRA, either by the DRA or by the Contractor when requesting payment of the State's share of DRA expenses. Regardless of the DRA recommendation, neither party will be entitled to reimbursement of DRA costs from the other party.

The Contractor shall submit extra work bills and include invoices with original supporting documents for reimbursement of the State's share.

The cost of technical services will be borne equally by the State and Contractor. There will be no markups for these costs.

A copy of the "Dispute Resolution Advisor Agreement" to be executed by the Contractor, State and the DRA is as follows:

Form CEM 6206 Rev (04-06-07)

DISPUTE RESOLUTION ADVISOR AGREEMENT

_____
(Contract Identification)

Contract No. _____

THIS DISPUTE RESOLUTION ADVISOR AGREEMENT, hereinafter called "AGREEMENT", made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ hereinafter called the "CONTRACTOR," and _____, the Dispute Resolution Advisor, hereinafter called the "DRA." .

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRA to assist in resolving disputes; and

WHEREAS, the DRA is composed of one person, chosen by the CONTRACTOR and the STATE;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRA hereto agree as follows:

SECTION I DESCRIPTION OF WORK

To assist in the timely resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRA. The DRA is to fairly and impartially consider disputes placed before it and provide recommendations for resolution of these disputes to the parties. The DRA shall provide recommendations based on the facts related to the dispute, the contract and applicable laws and regulations. The DRA shall perform the services necessary to participate in the DRA's actions as designated in Section III, Scope of Work.

SECTION II DRA QUALIFICATIONS

DRA shall be knowledgeable in the type of construction and contract documents anticipated by the contract and shall have completed training through the Dispute Review Board Foundation. In addition, it is desirable for the DRA to have served on several State Dispute Review Boards (DRB).

No DRA shall have prior direct involvement in this contract. No DRA shall have a financial interest in this contract or parties thereto, including but not limited to the CONTRACTOR, subcontractors, suppliers, consultants, and legal and business services, within a period 6 months prior to award and during this contract. Exceptions to above are compensation for services on this or other DRAs and DRBs or retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

DRA shall fully disclose all direct or indirect professional or personal relationships with all key members of the contract.

SECTION III SCOPE OF WORK

The Scope of Work of the DRA includes, but is not limited to, the following:

A. PROCEDURES

The DRA shall meet with the parties at the start of the project to establish procedures that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. The DRA established procedures shall only be implemented upon approval by the parties. Subsequent meetings shall be held only to hear disputes between the parties.

The DRA shall not meet with, or discuss contract issues with individual parties.

State shall provide the DRA with the contract and all written correspondence regarding the dispute between the parties and, if available, the Contractor's supplemental notice of potential claim, and the Engineer's response to the supplemental notice of potential claim.

The parties shall not call the DRA who served on this contract as a witness in arbitration proceedings, which may arise from this contract.

The DRA shall have no claim against the STATE or the CONTRACTOR, or both, from claimed harm arising out of the parties' evaluations of the DRA's opinions.

B. DISPUTE MEETING

The term "dispute meeting" as used in this subsection shall refer to both the informal and traditional dispute meeting processes, unless otherwise noted.

If the CONTRACTOR requests a dispute meeting with the DRA, the Contractor must simultaneously notify the STATE. Upon being notified of the need for a dispute meeting, the DRA shall review and consider the dispute. The DRA shall determine the time and location of the dispute meeting with due consideration for the needs and preferences of the parties, while recognizing the importance of a speedy resolution to the dispute.

Dispute meetings shall be conducted at any location that would be convenient and provide required facilities and access to necessary documentation.

Only the STATE's Resident Engineer or Area Construction Engineer and the CONTRACTOR's or subcontractor's, if the dispute involves a subcontractor, Superintendent or Project Manager may present information at a dispute meeting. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute. The exception to this is technical services, as described below:

The DRA, with approval of the parties, may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff

may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the two parties as specified in an approved contract change order. The CONTRACTOR shall not be entitled to markups for the payments made for these services.

At the dispute meeting the DRA may ask questions, seek clarification, and request further clarification of data presented by either of the parties as may be necessary to assist in making a fully informed recommendation. However, the DRA shall refrain from expressing opinions on the merits of statements on matters under dispute during the parties' presentations. Each party will be given ample time to fully present its position, make rebuttals, provide relevant documents, and respond to DRA questions and requests.

There shall be no testimony under oath or cross-examination, during DRA dispute meetings. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRA in conformance with the rules and regulations established at the first meeting between the DRA and parties. These established rules and regulations need not comply with prescribed legal laws of evidence.

Failure to attend a dispute meeting by either of the parties shall be conclusively considered by the DRA as indication that the non-attending party considers all written documents and correspondence submitted as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals at the meeting until all aspects of the dispute are thoroughly covered.

1. TRADITIONAL DISPUTE MEETING:

The following procedure shall be used for the traditional dispute meeting:

a. Within 5 days, after receiving the STATE's written response to the CONTRACTOR's supplemental notice of potential claim, the CONTRACTOR shall refer the dispute to the DRA, if the CONTRACTOR wishes to further pursue the dispute. The CONTRACTOR shall make the referral in writing to the DRA, simultaneously copied to the STATE. The written dispute referral shall describe the disputed matter in individual discrete segments, so that it will be clear to both parties and the DRA what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.

b. The parties shall each be afforded an opportunity to be present and to be heard by the DRA, and to offer evidence. Either party furnishing written evidence or documentation to the DRA must furnish copies of such information to the other party a minimum of 10 days prior to the date the DRA is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRA may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRA. The DRA shall not consider evidence not furnished in conformance with the terms specified herein.

c. Upon receipt by the DRA of a written referral of a dispute, the DRA shall convene to review and consider the dispute. The dispute meeting shall be held no later than 25 days after receipt of the written referral unless otherwise agreed to by all parties.

d. The DRA shall furnish a written report to both parties. The DRA may request clarifying information of either party within 5 days after the DRA dispute meeting. Requested information shall be submitted to the DRA within 5 days of the DRA request. The DRA shall complete its report and submit it to the parties within 10 days of the DRA dispute meeting, except that time extensions may be granted at the request of the DRA with the written concurrence of both parties. The report shall summarize the facts considered, the contract language, law or regulation viewed by the DRA as pertinent to the dispute, and the DRA's interpretation and philosophy in arriving at its conclusions and recommendations and, if appropriate, recommends guidelines for determining compensation. The DRA's written opinion shall stand on its own, without attachments or appendices.

e. Within 10 days after receiving the DRA's report, both parties shall respond to the DRA in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRA's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRA recommendation. Immediately after responses have been received from both parties, the DRA shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRA's report from the DRA prior to responding to the report. The DRA shall consider any clarification request only if submitted within 5 days of receipt of the DRA's report, and if submitted simultaneously in writing to both the DRA and the other party. Each party may submit only one request for clarification for any individual DRA report. The DRA shall respond, in writing, to requests for clarification within 5 days of receipt of such requests.

f. Either party may seek a reconsideration of the DRA's recommendation. The DRA shall only grant reconsideration based upon submission of new evidence and if the request is submitted within the 10 day time limit specified for response to the DRA's written report. Each party may submit only one request for reconsideration regarding an individual DRA recommendation.
g. If the parties are able to settle their dispute with the aid of the DRA's report, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties. If the parties cannot agree on compensation within 30 days of the acceptance by both parties of the settlement, either party may request the DRA to make a recommendation regarding compensation.

2. INFORMAL DISPUTE MEETING

An informal dispute meeting shall be convened, only if, the parties and the DRA agree that this dispute resolution process is appropriate to settle the dispute.
The following procedure shall be used for the informal dispute meeting:

a. The parties shall furnish the DRA with one copy of pertinent documents requested by the DRA that are or may become necessary for the DRA to perform its function. The party furnishing documents shall furnish such documents to the other party at the same time the document is provided to the DRA.
b. After the dispute meeting has concluded; the DRA shall deliberate in private the same day, until a response to the parties is reached or as otherwise agreed to by the parties.
c. The DRA then verbally delivers its recommendation with findings to the parties.
d. After the recommendation is presented, the parties may ask for clarifications.
e. Occasionally the DRA on complex issues may be unable to formulate a recommendation based on the information given at a dispute meeting. However, the DRA may provide the parties with advice on strengths and weaknesses of their prospective positions, in the hope of the parties reaching settlement.
f. If the parties are able to settle their dispute with the aid of the DRA's opinion, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties.
g. The DRA will not be bound by its oral recommendation in the event that a dispute is later heard by the DRA in a traditional dispute meeting.

Unless the dispute is settled, use of the informal dispute meeting does not relive the parties of their responsibilities under Section 5-1.12, "Dispute Resolution Advisor," of the Special Provisions or Subsection, "Traditional Dispute Meeting," of this AGREEMENT. There will be no extension of time allowed for the process to permit the use of the informal dispute meeting, unless otherwise agreed to by the parties.

SECTION IV TIME FOR BEGINNING AND COMPLETION

Once established, the DRA shall be in operation until the day the Director accepts the contract. The DRA shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE or as agreed to by the parties.

SECTION V PAYMENT

DRA shall be compensated at an agreed rate of $1,500 per day for time spent per meeting, either at the start of the project or for a dispute. A member serving on more than one State DRA or DRB, regardless the number of meetings per day, shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for onsite time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof that the DRA is at an authorized DRA meeting. No additional compensation will be made for time spent by DRA to review and research activities outside the official DRA meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRA), has been specifically agreed to in advance by the parties. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $150 per hour. The agreed amount of $150 per hour shall include all incidentals including expenses for telephone, fax, and computer services. The State will provide administrative services such as conference facilities to the DRA.

A. PAYMENT PROCESSING

CONTRACTOR shall make direct payments to DRA for their participation in authorized meetings and approved hourly rate charges, from invoices submitted by the DRA, and technical services.

DRA may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that

billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRA until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

B. INSPECTION OF COSTS RECORDS

DRA and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

SECTION VI ASSIGNMENT OF TASKS OF WORK

DRA shall not assign the work of this AGREEMENT.

SECTION VII TERMINATION OF A DRA MEMBER

DRA may resign after providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. The DRA may be terminated, by either party, for failing to fully comply at all times with all required employment or financial disclosure conditions of DRA membership in conformance with the terms of the contract and this AGREEMENT. Each party shall document the need for replacement and substantiate the replacement request in writing to the other party and the DRA.

SECTION VIII LEGAL RELATIONS

The parties hereto mutually understand and agree that the DRA in the performance of duties is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRA from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRA.

SECTION IX CONFIDENTIALITY

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRA, which documents and records are marked "Confidential - for use by the DRA only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRA findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of this AGREEMENT. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRA. However, the parties understand that such documents may be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

SECTION X DISPUTES

Disputes between the parties arising out of the work or other terms of this AGREEMENT that cannot be resolved by negotiation and mutual concurrence between the parties or through the administrative process provided in the contract shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications. Disputes between the DRA and the parties that cannot be resolved by negotiation and mutual concurrence shall be resolved in the appropriate forum.

SECTION XI VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION

In the event that any party, including the DRA, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

SECTION XII FEDERAL REVIEW AND REQUIREMENTS

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRA in progress, except for private meetings or deliberations of the DRA.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

SECTION XIII CERTIFICATION OF CONTRACTOR, DRA, AND STATE

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRA

By: _____

Title: _____

CONTRACTOR	CALIFORNIA STATE DEPARTMENT OF TRANSPORTATION
By: _____	By: _____
Title: _____	Title: _____

5-1.15C Dispute Review Board

- Section 5-1.15C, "Dispute Review Board," applies to a contract over $10 million.

5-1.15C(1) General

To assist in the resolution of disputes or potential claims arising out of the work of this project, a Dispute Review Board, hereinafter referred to as the "DRB," shall be established by the Engineer and Contractor cooperatively upon approval of the contract. The DRB is intended to assist the contract administrative claims resolution process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications and these special provisions. The DRB shall not serve as a substitute for provisions in the specifications in regard to filing potential claims. The requirements and procedures established in this section shall be a prerequisite to filing a claim, filing for arbitration, or filing for litigation prior or subsequent to project completion.

The DRB shall be utilized when dispute or potential claim resolution at the project level is unsuccessful. The DRB shall function as specified herein until the day of acceptance of the contract, at which time the work of the DRB will cease except for completion of unfinished reports. No DRB dispute meetings shall take place later than 30 days prior to acceptance of contract. After acceptance of contract, disputes or potential claims which have followed the dispute resolution processes of the Standard Specifications and these special provisions, but have not been resolved, shall be stated or restated by the Contractor, in response to the Proposed Final Estimate within the time limits provided in Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The State will review those claims in conformance with the provisions in Section 9-1.07B of the Standard Specifications. Following the adherence to and completion of the contractual administrative claims procedure, the Contractor may file for arbitration in conformance with the provisions in Section 9-1.10, "Arbitration," of the Standard Specifications and these special provisions.

Disputes, as used in this section, shall include differences of opinion, properly noticed as provided hereinafter, between the State and Contractor on matters related to the work and other subjects considered by the State or Contractor, or by both, to be of concern to the DRB on this project, except matters relating to Contractor, subcontractor or supplier potential claims not actionable against the Department as specified in these special provisions or quantification of disputes for overhead type expenses or costs. Disputes for overhead type expenses or costs shall conform to the requirements of Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. Whenever the term "dispute" or "disputes" is used herein, it shall be deemed to include potential claims as well as disputes.

The DRB shall serve as an advisory body to assist in the resolution of disputes between the State and the Contractor, hereinafter referred to as the "parties." The DRB shall consider disputes referred to it, and furnish written reports containing findings and recommendations pertaining to those disputes, to the parties to aid in resolution of the differences between them. DRB findings and recommendations are not binding on the parties.

5-1.15C(2) Selection Process, Disclosure and Appointments

The DRB shall consist of one member selected by the State and approved by the Contractor, one member selected by the Contractor and approved by the State, and a third member selected by the first 2 members and approved by both the State and the Contractor. The third member shall act as the DRB Chairperson.

DRB members shall be especially knowledgeable in the type of construction and contract documents potentially anticipated by the contract. DRB members shall discharge their responsibilities impartially as an independent body, considering the facts and circumstances related to the matters under consideration, pertinent provisions of the contract and applicable laws and regulations.

The State and the Contractor shall nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions, within 45 days of the approval of the contract. Each party shall provide written notification to the other of the name of their selected DRB nominee along with the prospective member's complete written disclosure statement.

Disclosure statements shall include a resume of the prospective member's experience and a declaration statement describing past, present, anticipated, and planned relationships, including indirect relationships through the prospective member's primary or full-time employer, to this project and with the parties involved in this construction contract, including but not limited to, relevant subcontractors or suppliers to the parties, parties' principals, or parties' counsel. DRB members shall also include a full disclosure of close professional or personal relationships with all key members of the contract. Objections to nominees must be based on a specific breach or violation of nominee responsibilities or on nominee qualifications under these provisions unless otherwise specified. The Contractor or the State may, on a one-time basis, object to the other's nominee without specifying a reason and this person will not be selected for the DRB. Another person shall then be nominated within 15 days.

The first duty of the State and Contractor selected members of the DRB shall be to select and recommend a prospective third DRB member to the parties for final selection and approval. The first 2 DRB members shall proceed with the selection of the third DRB member immediately upon receiving written notification from the State of their selection, and shall provide their recommendation simultaneously to the parties within 15 days of the notification.

The first 2 DRB members shall select a third DRB member subject to mutual approval of the parties or may mutually concur on a list of potentially acceptable third DRB members and submit the list to the parties for final selection and approval of the third member. The goal in the selection of the third member is to complement the professional experience of the first 2 members and to provide leadership for the DRB's activities.

The third prospective DRB member shall supply a full disclosure statement to the first 2 DRB members and to the parties prior to appointment.

An impasse shall be considered to have been reached if the parties are unable to approve a third member within 15 days of receipt of the recommendation of the first 2 DRB members, or if the first 2 DRB members are unable to agree upon a recommendation within their 15 day time limit. In the event of an impasse in selection of third DRB member the State and the Contractor shall each propose 3 candidates for the third DRB member position. The parties shall select the candidates proposed under this paragraph from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 (commencing with Section 10245) of the State Contract Act. The first 2 DRB members shall then select one of the 6 proposed candidates in a blind draw.

No DRB member shall have prior direct involvement in this contract. No member shall have a financial interest in this contract or the parties thereto, within a period of 6 months prior to award of this contract or during the contract, except as follows:

A. Compensation for services on this DRB.
B. Ownership interest in a party or parties, documented by the prospective DRB member, that has been reviewed and determined in writing by the State to be sufficiently insignificant to render the prospective member acceptable to the State.
C. Service as a member of other Dispute Review Boards on other contracts.
D. Retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.
E. The above provisions apply to parties having a financial interest in this contract, including but not limited to contractors, subcontractors, suppliers, consultants, and legal and business services.

The Contractor or the State may reject any of the 3 DRB members who fail to fully comply at all times with all required employment and financial disclosure conditions of DRB membership as described in the Dispute Review Board Agreement and as specified herein. A copy of the Dispute Review Board Agreement is included in this section.

The Contractor, the State, and the 3 members of the DRB shall complete and adhere to the Dispute Review Board Agreement in administration of this DRB within 15 days of the parties' concurrence in the selection of the third member. No DRB meeting shall take place until the Dispute Review Board Agreement has been signed by all parties. The State

authorizes the Engineer to execute and administer the terms of the Agreement. The person(s) designated by the Contractor as authorized to execute contract change orders shall be authorized to execute and administer the terms of this agreement, or to delegate the authority in writing. The operation of the DRB shall be in conformance with the terms of the Dispute Review Board Agreement.

5-1.15C(3) Compensation

The State and the Contractor shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRB), has been specifically agreed to in advance by the State and Contractor. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the Department, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The State will provide, at no cost to the Contractor, administrative services such as conference facilities and secretarial services to the DRB. These special provisions and the Dispute Review Board Agreement state the provisions for compensation and expenses of the DRB. DRB members shall be compensated at the same daily and hourly rate. The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The State will reimburse the Contractor for the State's share of the costs. There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the State's share of DRB expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

5-1.15C(4) Replacement of DRB Members

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

A. The State may terminate service of the State appointed member.
B. The Contractor may terminate service of the Contractor appointed member.
C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
D. Upon resignation of a member.
E. The State or Contractor may terminate the service of any member who fails to fully comply with all required employment and financial disclosure conditions of DRB membership.

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the 2 parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Review Board Agreement shall be amended to reflect the change of a DRB member.

5-1.15C(5) Operation

The following procedure shall be used for dispute resolution:

A. If the Contractor objects to any decision, act or order of the Engineer, the Contractor shall give written notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and these special provisions, including the provision of applicable cost documentation; or file written protests or notices in conformance with the provisions in the Standard Specifications and these special provisions.
B. The Engineer will respond, in writing, to the Contractor's written supplemental notice of potential claim within 20 days of receipt of the notice.
C. Within 15 days after receipt of the Engineer's written response, the Contractor shall, if the Contractor still objects, file a written reply with the Engineer, stating clearly and in detail the basis of the objection.

D. Following an objection to the Engineer's written response, the Contractor shall refer the dispute to the DRB if the Contractor wishes to further pursue the objection to the Engineer's decision. The Contractor shall make the referral in writing to the DRB, simultaneously copied to the State, within 21 days after receipt of the written response from the Engineer. The written dispute referral shall describe the disputed matter in individual discrete segments so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.

E. By failing to submit the written notice of referral to the DRB, within 21 days after receipt of the Engineer's written response to the supplemental notice of potential claim, the Contractor waives future claims and arbitration on the matter in contention.

F. The Contractor and the State shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.

G. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties. The DRB shall determine the time and location of the DRB dispute meeting, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of a timely hearing of the dispute.

H. There shall be no participation of either party's attorneys at DRB dispute meetings.

I. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute, including but not limited to consultants, except for expert testimony allowed at the discretion of the DRB and with approval prior to the dispute meeting by both parties.

J. The DRB shall furnish a report, containing findings and recommendations as described in the Dispute Review Board Agreement, in writing to both the State and the Contractor. The DRB may request clarifying information of either party within 10 days after the DRB dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request. The DRB shall complete its report, including minority opinion, if any, and submit it to the parties within 30 days of the DRB dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of both parties. The report shall include the facts and circumstances related to the matters under consideration, pertinent provisions of the contract, applicable laws and regulations, and actual costs and time incurred as shown on the Contractor's cost accounting records. The DRB shall make recommendations on the merit of the dispute and, if appropriate, recommend guidelines for determining compensation.

K. Within 30 days after receiving the DRB's report, both the State and the Contractor shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.

L. The DRB's recommendations, stated in the DRB's reports, are not binding on either party. Either party may seek a reconsideration of a recommendation of the DRB. The DRB shall only grant a reconsideration based upon submission of new evidence and if the request is submitted within the 30-day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.

M. If the State and the Contractor are able to resolve their dispute with the aid of the DRB's report, the State and Contractor shall promptly accept and implement the recommendations of the DRB. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

N. The State or the Contractor shall not call DRB members who served on the DRB for this contract as witnesses in arbitration proceedings which may arise from this contract, and all documents created by the DRB shall be

inadmissible as evidence in subsequent arbitration proceedings, except the DRB's final written reports on each issue brought before it.

O. The State and Contractor shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

P. The DRB members shall have no claim against the State or the Contractor, or both, from claimed harm arising out of the parties' evaluations of the DRB's report.

5-1.15C(6) Disputes Involving Subcontractor Potential Claims

For purposes of this section, a "subcontractor potential claim" shall include any potential claim by a subcontractor (including also any pass through potential claims by a lower tier subcontractor or supplier) against the Contractor that is actionable by the Contractor against the Department which arises from the work, services, or materials provided or to be provided in connection with the contract. If the Contractor determines to pursue a dispute against the Department that includes a subcontractor potential claim, the dispute shall be processed and resolved in conformance with these special provisions and in conformance with the following:

A. The Contractor shall identify clearly in submissions pursuant to this section, that portion of the dispute that involves a subcontractor potential claim or potential claims.

B. The Contractor shall include, as part of its submission pursuant to Step D above, a certification (False Claims Act Certification) by the subcontractor's or supplier's officer, partner, or authorized representative with authority to bind the subcontractor and with direct knowledge of the facts underlying the subcontractor potential claim. The Contractor shall submit a certification that the subcontractor potential claim is acknowledged and forwarded by the Contractor. The form for these certifications is available from the Engineer.

C. At DRB dispute meetings involving one or more subcontractor potential claims, the Contractor shall require that each subcontractor involved in the dispute have present an authorized representative with actual knowledge of the facts underlying the subcontractor potential claim to assist in presenting the subcontractor potential claim and to answer questions raised by the DRB members or the Department's representatives.

D. Failure by the Contractor to declare a subcontractor potential claim on behalf of its subcontractor (including lower tier subcontractors' and suppliers' pass through potential claims) at the time of submission of the Contractor's potential claims, as provided hereunder, shall constitute a release of the State by the Contractor of such subcontractor potential claim.

E. The Contractor shall include in all subcontracts under this contract that subcontractors and suppliers of any tier (a) agree to submit subcontractor potential claims to the Contractor in a proper form and in sufficient time to allow processing by the Contractor in conformance with the Dispute Review Board resolution specifications; (b) agree to be bound by the terms of the Dispute Review Board provisions to the extent applicable to subcontractor potential claims; (c) agree that, to the extent a subcontractor potential claim is involved, completion of all steps required under these Dispute Review Board special provisions shall be a condition precedent to pursuit by the subcontractor of other remedies permitted by law, including without limitation of a lawsuit against the Contractor; and (d) agree that the existence of a dispute resolution process for disputes involving subcontractor potential claims shall not be deemed to create any claim, right, or cause of action by any subcontractor or supplier against the Department.

Notwithstanding the foregoing, this Dispute Review Board special provision shall not apply to, and the DRB shall not have the authority to consider, subcontractor potential claims between the subcontractor(s) or supplier(s) and the Contractor that are not actionable by the Contractor against the Department.

5-1.15C(7) Dispute Review Board Agreement

A copy of the "Dispute Review Board Agreement" to be executed by the Contractor, State and the 3 DRB members after approval of the contract follows:

Form 6202 Rev (09/01/02)

DISPUTE REVIEW BOARD AGREEMENT

_____
(Contract Identification)

Contract No. _____

THIS DISPUTE REVIEW BOARD AGREEMENT, hereinafter called "AGREEMENT", made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ _____ hereinafter called the "CONTRACTOR," and the Dispute Review Board, hereinafter called the "DRB" consisting of the following members:

_____ ,
(Contractor Appointee)

_____ ,
(State Appointee)

and _____
(Third Person)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

SECTION I DESCRIPTION OF WORK

To assist in the resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The intent of the DRB is to fairly and impartially consider disputes placed before it and provide written recommendations for resolution of these disputes to both parties. The members of this DRB shall perform the services necessary to participate in the DRB's actions as designated in Section II, Scope of Work.

SECTION II SCOPE OF WORK

The scope of work of the DRB includes, but is not limited to, the following:

A. OBJECTIVE

The principal objective of the DRB is to assist in the timely resolution of disputes between the parties arising from performance of this contract. It is not intended for either party to default on their normal responsibility to amicably and fairly settle their differences by indiscriminately assigning them to the DRB. It is intended that the mere existence of the DRB will encourage the parties to resolve disputes without resorting to this review procedure. But when a dispute that is serious enough to warrant the DRB's review does develop, the process for prompt and efficient action will be in place.

B. PROCEDURES

The DRB shall render written reports on disputes between the parties arising from the construction contract. Prior to consideration of a dispute, the DRB shall establish rules and regulations that will govern the conduct of its business and

reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. DRB recommendations, resulting from its consideration of a dispute, shall be furnished in writing to both parties. The recommendations shall be based on facts and circumstances involved in the dispute, pertinent contract provisions, applicable laws and regulations. The recommendations shall find one responsible party in a dispute; shared or "jury" determinations shall not be rendered. The DRB shall make recommendations on the merit of the dispute, and if appropriate, recommend guidelines for determining compensation. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

The DRB shall refrain from officially giving advice or consulting services to anyone involved in the contract. The individual members shall act in a completely independent manner and while serving as members of the DRB shall have no consulting business connections with either party or its principals or attorneys or other affiliates (subcontractors, suppliers, etc.) who have a beneficial interest in the contract.

During scheduled meetings of the DRB as well as during dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties, except as directed by the DRB Chairperson. Such discussions or meetings shall be disclosed to both parties. Other discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

C. CONSTRUCTION SITE VISITS, PROGRESS MEETINGS AND FIELD INSPECTIONS

The DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
5. An outline by the STATE's representative of the status of the work as the STATE views it.
6. A brief description by the CONTRACTOR's or STATE's representative of potential claims or disputes which have surfaced since the last meeting.
7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past disputes and potential claims.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

The field inspection shall cover all active segments of the work, the DRB being accompanied by both parties' representatives. The field inspection may be waived upon mutual agreement of the parties.

D. DRB CONSIDERATION AND HANDLING OF DISPUTES

Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral, unless otherwise agreed to by all parties. The DRB shall determine the time and location of DRB dispute meetings, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of speedy resolution of issues. No dispute meetings shall take place later than 30 days prior to acceptance of contract.

Normally, dispute meetings shall be conducted at or near the project site. However, any location that would be more convenient and still provide required facilities and access to necessary documentation shall be satisfactory.

Both parties shall be given the opportunity to present their evidence at these dispute meetings. It is expressly understood that the DRB members are to act impartially and independently in the consideration of the contract provisions, applicable

laws and regulations, and the facts and conditions surrounding any dispute presented by either party, and that the recommendations concerning any such dispute are advisory and nonbinding on the parties.

The DRB may request that written documentation and arguments from both parties be sent to each DRB member, through the DRB Chairperson, for review before the dispute meeting begins. A party furnishing written documentation to the DRB shall furnish copies of such information to the other party at the same time that such information is supplied to the DRB.

DRB dispute meetings shall be informal. There shall be no testimony under oath or cross-examination. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with acceptance standards established by the DRB. These standards need not comply with prescribed legal laws of evidence.

The third DRB member shall act as Chairperson for dispute meetings and all other DRB activities. The parties shall have a representative at all dispute meetings. Failure to attend a duly noticed dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers written submittals as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals until all aspects of the dispute are thoroughly covered. DRB members shall ask questions, seek clarification, and request further data from either of the parties as may be necessary to assist in making a fully informed recommendation. The DRB may request from either party documents or information that would assist the DRB in making its findings and recommendations including, but not limited to, documents used by the CONTRACTOR in preparing the bid for the project. A refusal by a party to provide information requested by the DRB may be considered by the DRB as an indication that the requested material would tend to disprove that party's position. In large or complex cases, additional dispute meetings may be necessary in order to consider all the evidence presented by both parties. All involved parties shall maintain the confidentiality of all documents and information, as provided in this AGREEMENT.

During dispute meetings, no DRB member shall express an opinion concerning the merit of any facet of the case. DRB deliberations shall be conducted in private, with interim individual views kept strictly confidential.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by 2 or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB's findings and recommendations, along with discussion of reasons therefor, shall then be submitted as a written report to both parties. Recommendations shall be based on the pertinent contract provisions, applicable laws and regulations, and facts and circumstances related to the dispute. The report shall be thorough in discussing the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the issues, and the DRB's interpretation and philosophy in arriving at its conclusions and recommendations. The DRB's report shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

With prior written approval of both parties, the DRB may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the 2 parties as specified in an approved contract change order. The CONTRACTOR will not be entitled to markups for the payments made for these services.

The DRB shall resist submittal of incremental portions of information by either party, in the interest of making a fully informed decision and recommendation.

The DRB shall make every effort to reach a unanimous decision. If this proves impossible, the dissenting member shall prepare a minority opinion, which shall be included in the DRB's report.

Although both parties should place weight upon the DRB's recommendations, they are not binding. Either party may appeal a recommendation to the DRB for reconsideration. However, reconsideration shall only be allowed when there is new evidence to present, and the DRB shall accept only one appeal from each party pertaining to an individual DRB recommendation. The DRB shall hear appeals in conformance with the terms described in the Section entitled "Dispute Review Board" in the special provisions.

E. DRB MEMBER REPLACEMENT

Should the need arise to appoint a replacement DRB member, the replacement DRB member shall be appointed in the same manner as the original DRB members were appointed. The selection of a replacement DRB member shall begin promptly upon notification of the necessity for a replacement and shall be completed within 15 days. This AGREEMENT shall be amended to indicate change in DRB membership.

SECTION III CONTRACTOR RESPONSIBILITIES

The CONTRACTOR shall furnish to each DRB member one copy of pertinent documents that are or may become necessary for the DRB to perform their function. Pertinent documents are written notices of potential claim, responses to those notices, drawings or sketches, calculations, procedures, schedules, estimates, or other documents which are used in the performance of the work or in justifying or substantiating the CONTRACTOR's position. The CONTRACTOR shall also furnish a copy of such pertinent documents to the STATE, in conformance with the terms outlined in the special provisions.

SECTION IV STATE RESPONSIBILITIES

The STATE will furnish the following services and items:

A. CONTRACT RELATED DOCUMENTS

The STATE will furnish to each DRB member one copy of Notice to Contractors and Special Provisions, Proposal and Contract, Plans, Standard Specifications, and Standard Plans, change orders, written instructions issued by the STATE to the CONTRACTOR, or other documents pertinent to any dispute that has been referred to the DRB and necessary for the DRB to perform its function.

B. COORDINATION AND SERVICES

The STATE, through the Engineer, will, in cooperation with the CONTRACTOR, coordinate the operations of the DRB. The Engineer will arrange or provide conference facilities at or near the project site and provide secretarial and copying services to the DRB without charge to the CONTRACTOR.

SECTION V TIME FOR BEGINNING AND COMPLETION

Once established, the DRB shall be in operation until the day of acceptance of the contract. The DRB members shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE.

SECTION VI PAYMENT

A. ALL INCLUSIVE RATE PAYMENT

The STATE and the CONTRACTOR shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time has been specifically agreed to in advance by the STATE and CONTRACTOR. Time away from the project that has been specifically agreed to in advance by the parties will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the State, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The STATE will provide, at no cost to the CONTRACTOR, administrative services such as conference facilities and secretarial services to the DRB.

B. PAYMENTS

DRB members shall be compensated at the same rate. The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The STATE will reimburse the CONTRACTOR for its share of the costs of the DRB.

The DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

Invoices shall be accompanied by original supporting documents, which the CONTRACTOR shall include with the extra work billing when submitting for reimbursement of the STATE's share of cost from the STATE. The CONTRACTOR will be reimbursed for one-half of approved costs of the DRB. No markups will be added to the CONTRACTOR's payment.

C. INSPECTION OF COSTS RECORDS

The DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

SECTION VII ASSIGNMENT OF TASKS OF WORK

The DRB members shall not assign the work of this AGREEMENT.

SECTION VIII TERMINATION OF DRB MEMBERS

DRB members may resign from the DRB by providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. DRB members may be terminated by their original appointing power or by either party, for failing to fully comply at all times with all required employment and financial disclosure conditions of DRB membership in conformance with the terms of the contract.

SECTION IX LEGAL RELATIONS

The parties hereto mutually understand and agree that the DRB member in the performance of duties on the DRB, is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

SECTION X CONFIDENTIALITY

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of the DRB. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents shall be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

SECTION XI DISPUTES

Disputes between the parties hereto, including disputes between the DRB members and either party or both parties, arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications.

SECTION XII VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION

In the event that any party, including an individual member of the DRB, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

SECTION XIII FEDERAL REVIEW AND REQUIREMENTS

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

SECTION XIV CERTIFICATION OF THE CONTRACTOR, THE DRB MEMBERS, AND THE STATE

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

By: _____

Title: _____

DRB MEMBER

By: _____

Title :_____

DRB MEMBER

By :_____

Title :_____

CONTRACTOR

By: _____

Title: _____

CALIFORNIA STATE DEPARTMENT
OF TRANSPORTATION

By: _____

Title: _____

Add:

5-1.16–5-17 (BLANK)

Add:

5-1.18 PROPERTY AND FACILITY PRESERVATION

5-1.18A General

Preserve property and facilities, including:

1. Adjacent property
2. Department's instrumentation
3. ESAs
4. Lands administered by other agencies
5. Railroads and railroad equipment
6. Roadside vegetation not to be removed
7. Utilities
8. Waterways

Immediately report damage to the Engineer.

If you cause damage, you are responsible.

Install sheet piling, cribbing, bulkheads, shores, or other supports necessary to support existing facilities or support material carrying the facilities.

Dispose of temporary facilities when they are no longer needed.

If you damage plants not to be removed:

1. Dispose of them outside the right of way unless the Engineer allows you to reduce them to chips and spread the chips within the highway at locations designated by the Engineer
2. Replace them

Replace plants with plants of the same species.

Replace trees with 600 mm-box trees.

Replace shrubs with No. 15 container shrubs.

Replace ground cover plants with plants from flats. Replace Carpobrutus ground cover plants with plants from cuttings. Plant ground cover plants 300 mm on center.

If a plant establishment period is specified, replace plants before the start of the plant establishment period; otherwise, replace plants at least 30 days before Contract acceptance.

Water each plant immediately after planting and saturate the backfill soil around and below the roots or ball of earth around the roots of each plant. Water as necessary to maintain plants in a healthy condition until Contract acceptance.

The Department may make a temporary repair to restore service to a damaged facility.

If working on or adjacent to railroad property, do not interfere with railroad operations.

For an excavation on or affecting railroad property, submit work plans showing the system to be used to protect railroad facilities. Allow 65 days for the Engineer's review of the plans. Do not perform work based on the plans until the Engineer notifies you they are accepted.

5-1.18B Nonhighway Facilities (Including Utilities)

The Department may rearrange a nonhighway facility during the Contract. Rearrangement of a nonhighway facility includes installation, relocation, alteration, or removal of the facility.The Department may authorize facility owners and their agents to enter the highway to perform rearrangement work for their facilities or to make connections or repairs to their property. Coordinate activities to avoid delays.

Notify the Engineer at least 3 business days before you contact the regional notification center under Govt Code § 4216 et seq. Failure to contact the notification center prohibits excavation.

Before starting work that could damage or interfere with underground infrastructure, locate the infrastructure described in the Contract, including laterals and other appurtenances, and determine the presence of other underground infrastructure inferred from visible facilities such as buildings, meters, or junction boxes.

Notify the Engineer if the infrastructure described in the Contract cannot be found. If after giving the notice, you find the infrastructure in a substantially different location than described, finding the infrastructure is paid for as extra work as specified in Section 4-1.03D, "Extra Work."

Underground infrastructure described in the Contract may be in different locations than described, and additional infrastructure may exist.

Upon discovering an underground main or trunk line not described in the Contract, immediately notify the Engineer and the infrastructure owner. The Engineer orders the locating and protecting of the infrastructure. The locating and protecting is paid for as extra work as specified in Section 4-1.03D, "Extra Work." If ordered, repair infrastructure damage. If the damage is not due to your negligence, the repair is paid for as extra work as specified in Section 4-1.03D, "Extra Work."

If necessary underground infrastructure rearrangement is not described in the Contract, the Engineer may order you to perform the work. The rearrangement is paid for as extra work as specified in Section 4-1.03D, "Extra Work."

If you want infrastructure rearrangement different from that described in the Contract:

1. Notify the Engineer
2. Make an arrangement with the infrastructure owner
3. Obtain authorization for the rearrangement
4. The Department does not adjust time or payment for rearrangement different from the Contract
5. Pay the infrastructure owner any additional cost

Immediately notify the Engineer of a delay due to the presence of main line underground infrastructure not described in the Contract or in a substantially different location or due to rearrangement different from the Contract. The Department pays for one of these delays in the same manner as specified for a right of way delay in Section 8-1.09, "Right of Way Delays."

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SECTION 6 CONTROL OF MATERIALS
(Issued 07-01-08)

Replace Section 6-1.05 with:

6-1.05 Specific Brand or Trade Name and Substitution

A reference to a specific brand or trade name establishes a quality standard and is not intended to limit competition. You may use a product that is equal to or better than the specified brand or trade name if approved.

Submit a substitution request within a time period that:

1. Follows Contract award
2. Allows 30 days for review
3. Causes no delay

Include substantiating data with the substitution request that proves the substitution:

1. Is of equal or better quality and suitability
2. Causes no delay in product delivery and installation

Add:

6-1.075 GUARANTEE

Guarantee the work remains free from substantial defects for 1 year after contract acceptance except for work parts for which you were relieved of maintenance and protection. Guarantee each of these relieved work parts for 1 year after the relief date.

The guarantee excludes damage or displacement caused by an event outside your control including:

1. Normal wear and tear
2. Improper operation
3. Insufficient maintenance
4. Abuse
5. Unauthorized change
6. Act of God

During the guarantee period, repair or replace each work portion having a substantial defect.

The Department does not pay for corrective work.

During corrective work activities, provide insurance coverage specified for coverage before contract acceptance.

The contract bonds must be in full force and effect until the later of:

1. Expiration of guarantee period
2. Completion of corrective work

If a warranty specification conflicts with Section 6-1.075, "Guarantee," comply with the warranty specification.

During the guarantee period, the Engineer monitors the completed work. If the Engineer finds work having a substantial defect, the Engineer lists work parts and furnishes you the list.

Within 10 days of receipt of the list, submit for authorization a detailed plan for correcting the work. Include a schedule that includes:

1. Start and completion dates
2. List of labor, equipment, materials, and any special services you plan to use
3. Work related to the corrective work, including traffic control and temporary and permanent pavement markings

The Engineer notifies you when the plan is authorized. Start corrective work and related work within 15 days of notice.

If the Engineer determines corrective work is urgently required to prevent injury or property damage:

1. The Engineer furnishes you a request to start emergency repair work and a list of parts requiring corrective work
2. Mobilize within 24 hours and start work
3. Submit a corrective work plan within 5 days of starting emergency repair work

If you fail to perform work as specified, the Department may perform the work and bill you.

Add:

6-1.085 BUY AMERICA (23 CFR 635.410)

For a Federal-aid contract, furnish steel and iron materials to be incorporated into the work that are produced in the United States except:

1. Foreign pig iron and processed, pelletized, and reduced iron ore may be used in the domestic production of the steel and iron materials [60 Fed Reg 15478 (03/24/1995)]
2. If the total combined cost of the materials does not exceed the greater of 0.1 percent of the total bid or $2 500, material produced outside the United States may be used

Production includes:

1. Processing steel and iron materials, including smelting or other processes that alter the physical form or shape (such as rolling, extruding, machining, bending, grinding, and drilling) or chemical composition
2. Coating application, including epoxy coating, galvanizing, and painting, that protects or enhances the value of steel and iron materials

For steel and iron materials to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies all production processes occurred in the United States except for the above exceptions.

Add:

6-1.087 BUY AMERICA (PUB RES CODE § 42703(d))

Furnish crumb rubber to be incorporated into the work that is produced in the United States and is derived from waste tires taken from vehicles owned and operated in the United States.

For crumb rubber to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies only crumb rubber manufactured in the United States and derived from waste tires taken from vehicles owned and operated in the United States is used.

In Section 6-2.01 delete the 4th paragraph.

In Section 6-2.01 replace the 7th paragraph with:

Upon the Contractor's written request, the Department tests materials from an untested local source. If satisfactory material from that source is used in the work, the Department does not charge the Contractor for the tests; otherwise, the Department deducts the test cost.

In Section 6-2.01 delete the 8th paragraph.

In Section 6-2.02 delete the 3rd paragraph.

In Section 6-2.02 in the 7th paragraph, replace the 2nd sentence with:

The Department deducts the charges for the removed material.

In Section 6-3.01 delete the 4th paragraph.

In Section 6-3.01 in the 6th paragraph, delete the 1st sentence.

In Section 6-3.01 add:

As used in Section 6-3.01, "Testing," tests are tests to assure the quality and to determine the acceptability of the work. The Department deducts costs of testing work found to be noncompliant.

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SECTION 7 LEGAL RELATIONS AND RESPONSIBILITY
(Issued 02-06-09)

Replace Section 7-1.01 with:

7-1.01 LAWS TO BE OBSERVED

Comply with laws, regulations, orders, decrees, and PLACs applicable to the project. Indemnify and defend the State against any claim or liability arising from the violation of a law, regulation, order, decree, or PLAC by you or your employees. Immediately report to the Engineer in writing a discrepancy or inconsistency between the contract and a law, regulation, order, decree, or PLAC.

In Section 7-1.01A replace the 1st clause with:

Work on the job site must comply with Labor Code §§ 1727 and 1770-1815 and 8 CA Code of Regs § 16000 et seq. Work includes roadside production and processing of materials.

In Section 7-1.01A(2) in the 1st paragraph, replace item 3 with:

3. Upon becoming aware of the subcontractor's failure to pay the specified prevailing rate of wages to the subcontractor's workers, the Contractor must diligently take corrective action to stop or rectify the failure, including withholding sufficient funds due the subcontractor for work performed on the public works project.

In Section 7-1.01A(2) replace the 2nd paragraph with:

Pursuant to Section 1775 of the Labor Code, the Division of Labor Standards Enforcement must notify the Contractor on a public works project within 15 days of the receipt by the Division of Labor Standards Enforcement of a complaint of the failure of a subcontractor on that public works project to pay workers the general prevailing rate of per diem wages. If the Division of Labor Standards Enforcement determines that employees of a subcontractor were not paid the general prevailing rate of per diem wages and if the Department did not withhold sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the Contractor must withhold an amount of moneys due the subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by the Division of Labor Standards Enforcement. The Contractor must pay any money withheld from and owed to a subcontractor upon receipt of notification by the Division of Labor Standards Enforcement that the wage complaint has been resolved. If notice of the resolution of the wage complaint has not been received by the Contractor within 180 days of the filing of a valid notice of completion or acceptance of the public works project, whichever occurs later, the Contractor must pay all moneys withheld from the subcontractor to the Department. The Department withholds these moneys pending the final decision of an enforcement action.

In Section 7-1.01A(2) replace the 7th paragraph with:

Changes in general prevailing wage determinations apply to the contract when the Director of Industrial Relations has issued them at least 10 days before advertisement (Labor Code § 1773.6 and 8 CA Code of Regs 16204).

In Section 7-1.01A(3) replace the 2nd paragraph with:

The Department withholds the penalties specified in subdivision (g) of Labor Code § 1776 for noncompliance with the requirements in Section 1776.

In Section 7-1.01A(3) replace the 4th paragraph with:

The Department withholds for delinquent or inadequate payroll records (Labor Code § 1771.5). If the Contractor has not submitted an adequate payroll record by the month's 15th day for the period ending on or before the 1st of that month, the Department withholds 10 percent of the monthly progress estimate, exclusive of mobilization. The Department does not withhold more than $10 000 or less than $1000.

In Section 7-1.01A(3) delete the 5th paragraph.

Replace Section 7-1.01A(6) with:

7-1.01A(6) (Blank)

Add:

7-1.01K Solid Waste Disposal and Recycling

Submit an annual Solid Waste Disposal and Recycling Report between January 1 and 15 for each year work is performed under the Contract at any time during the previous calendar year. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 through December 31 of the previous calendar year.

Submit a final annual Solid Waste Disposal and Recycling Report within 5 business days after Contract acceptance. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 to contract acceptance.

For each failure to submit a completed form, the Department withholds $10,000.

Add:

7-1.01L Asbestos and Hazardous Substances

- Upon discovery, immediately stop working in and notify the Engineer of areas where asbestos or a hazardous substance is present if the:

1. Contractor reasonably believes the substance is asbestos as defined in Labor Code § 6501.7 or a hazardous substance as defined in Health & Safety Code §§ 25316 and 25317
2. Presence is not described in the contract
3. Substance has not been made harmless

Add:

7-1.01M Archaeological Discoveries

If archaeological materials are discovered at the job site, protect and leave them undisturbed in place and comply with:

1. Pub Res Code §§ 5097.5, 5097.98, and 5097.99
2. 14 CA Code of Regs § 4308
4. Penal Code § 622-1/2
5. Health & Safety Code § 7050.5

Archaeological materials are the remains of past human activity including historic-period archaeological materials and prehistoric Native American archaeological materials. Nonhuman fossils are not archaeological materials unless they show direct evidence of human use or alteration or when found in direct physical association with archaeological materials

Historic-period archaeological materials include cultural remains beginning with initial European contact in California but at least 50 years old and include:

1. Trash deposits or clearly defined disposal pits containing tin cans, bottles, ceramic dishes, or other refuse indicating previous occupation or use of the site
2. Structural remains of stone, brick, concrete, wood, or other building material found above or below ground
3. Human skeletal remains from the historic period, with or without coffins or caskets, including any associated grave goods

Prehistoric Native American archaeological materials include:

1. Human skeletal remains or associated burial goods such as beads or ornaments
2. Evidence of tool making or hunting such as arrowheads and associated chipping debris of fine-grained materials such as obsidian, chert, or basalt
3. Evidence of plant processing such as pestles, grinding slabs, or stone bowls
4. Evidence of habitation such as cooking pits, stone hearths, packed or burnt earth floors
5. Remains from food processing such as concentrations of discarded or burnt animal bone, shellfish remains, or burnt rocks used in cooking

Immediately upon discovering archaeological materials, stop all work within an 18.5-meter radius of the archaeological materials and notify the Engineer. Archaeological materials discovered are the property of the State. Do not resume work within the 18.5-meter radius of the discovery until the Engineer gives you written approval. If, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of an archeological discovery or investigation or recovery of archeological materials, you will be compensated for resulting losses and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Department may use other forces to investigate and recover archaeological materials from the location of the discovery. If ordered by the Engineer furnish labor, material, tools, and equipment to secure the location of the discovery and assist in the investigation or recovery of archaeological materials; the cost of this work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

In Section 7-1.02 in the 2nd paragraph, replace the 4th sentence with:

Trucks used to haul treated base, portland cement concrete, or hot mix asphalt shall enter onto the base to dump at the nearest practical entry point ahead of spreading equipment.

In Section 7-1.02 between the 4th and 5th paragraphs, add:

Loads imposed on existing, new, or partially completed structures shall not exceed the load carrying capacity of the structure or any portion of the structure as determined by AASHTO LRFD with interims and California Amendments, Design Strength Limit State II. The compressive strength of concrete (f'_c) to be used in computing the load carrying capacity shall be the smaller of the following:

1. Actual compressive strength at the time of loading
2. Value of f'_c shown on the plans for that portion of the structure or 2.5 times the value of f_c (extreme fiber compressive stress in concrete at service loads) shown on the plans for portions of the structure where no f'_c is shown

In Section 7-1.06 in the 1st paragraph, add:

The Contractor's Injury and Illness Prevention Program shall be submitted to the Engineer. The program shall address the use of personal and company issued electronic devices during work. The use of entertainment and personal communication devices in the work zone shall not be allowed. Workers may use a communication device for business purposes in the work area, at a location where their safety and the safety of other workers and the traveling public is not compromised.

In Section 7-1.09 replace the 8th paragraph with:

Signs, lights, flags, and other warning and safety devices and their use shall conform to the requirements set forth in Part 6 of the California MUTCD except where a discrepancy exists between the California MUTCD and the specifications; for discrepancies, comply with the specifications. Signs or other protective devices furnished and erected by the Contractor, at the Contractor's expense, as above provided, shall not obscure the visibility of, nor conflict in intent, meaning and function of either existing signs, lights and traffic control devices or any construction area signs and traffic control devices for which furnishing of, or payment for, is provided elsewhere in the specifications. Signs furnished and erected by the Contractor, at the Contractor's expense, shall be approved by the Engineer as to size, wording and location.

In Section 7-1.09 replace the 14th paragraph with:

The Contractor shall notify the Engineer not less than 18 days and no more than 90 days prior to the anticipated start of an operation that will change the vertical or horizontal clearance available to public traffic (including shoulders).

In Section 7-1.09 replace the 16th paragraph with:

When vertical clearance is temporarily reduced to 4.72 m or less, low clearance warning signs shall be placed in accordance with Part 2 of the California MUTCD and as directed by the Engineer. Signs shall conform to the dimensions, color, and legend requirements of the California MUTCD and these specifications except that the signs shall have black letters and numbers on an orange retroreflective background. W12-2P signs shall be illuminated so that the signs are clearly visible.

Add to Section 7-1.09:

The Contractor shall install temporary railing (Type K) between a lane open to public traffic and an excavation, obstacle or storage area when the following conditions exist:

A. Excavations-The near edge of the excavation is 3.6 m or less from the edge of the lane, except:

   1. Excavations covered with sheet steel or concrete covers of adequate thickness to prevent accidental entry by traffic or the public.
   2. Excavations less than 0.3 m deep.
   3. Trenches less than 0.3 m wide for irrigation pipe or electrical conduit, or excavations less than 0.3 m in diameter.
   4. Excavations parallel to the lane for the purpose of pavement widening or reconstruction.
   5. Excavations in side slopes, where the slope is steeper than 4:1 (horizontal:vertical).
   6. Excavations protected by existing barrier or railing.

B. Temporarily Unprotected Permanent Obstacles-The work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and the Contractor elects to install the obstacle prior to installing the protective system; or the Contractor, for the Contractor's convenience and with permission of the Engineer, removes a portion of an existing protective railing at an obstacle and does not replace such railing complete in place during the same day.

C. Storage Areas-Material or equipment is stored within 3.6 m of the lane and the storage is not otherwise prohibited by the provisions of the Standard Specifications and these special provisions.

The approach end of temporary railing (Type K), installed in conformance with the provisions in this section "Public Safety" and in Section 7-1.09, "Public Safety," of the Standard Specifications, shall be offset a minimum of 4.6 m from the edge of the traffic lane open to public traffic. The temporary railing shall be installed on a skew toward the edge of the traffic lane of not more than 0.3 m transversely to 3 m longitudinally with respect to the edge of the traffic lane. If the 4.6 m minimum offset cannot be achieved, the temporary railing shall be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules shall be installed at the approach end of the temporary railing.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Except for installing, maintaining and removing traffic control devices, whenever work is performed or equipment is operated in the following work areas, the Contractor shall close the adjacent traffic lane unless otherwise provided in the Standard Specifications and these special provisions:

Approach Speed of Public Traffic (Posted Limit) (Kilometers Per Hour)	Work Areas
Over 72 (45 Miles Per Hour)	Within 1.8 m of a traffic lane but not on a traffic lane
56 to 72 (35 to 45 Miles Per Hour)	Within 0.9 m of a traffic lane but not on a traffic lane

The lane closure provisions of this section shall not apply if the work area is protected by permanent or temporary railing or barrier.

When traffic cones or delineators are used to delineate a temporary edge of a traffic lane, the line of cones or delineators shall be considered to be the edge of the traffic lane, however, the Contractor shall not reduce the width of an existing lane to less than 3 m without written approval from the Engineer.

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure shall be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators shall be not more than the spacing used for the lane closure.

Suspended loads or equipment shall not be moved nor positioned over public traffic or pedestrians.

Replace Section 7-1.11 with:

7-1.11 PRESERVATION OF PROPERTY

Comply with Section 5-1.18, "Property and Facility Preservation."

Replace Section 7-1.12 with:

7-1.12 INDEMNIFICATION AND INSURANCE

The Contractor's obligations regarding indemnification of the State of California and the requirements for insurance shall conform to the provisions in Section 3-1.05, "Insurance Policies," and Sections 7-1.12A, "Indemnification," and 7-1.12B, "Insurance," of this Section 7-1.12.

7-1.12A Indemnification

The Contractor shall defend, indemnify, and save harmless the State, including its officers, employees, and agents (excluding agents who are design professionals) from any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, losses or liabilities, in law or in equity (Section 7-1.12A Claims) arising out of or in connection with the Contractor's performance of this contract for:

1. Bodily injury including, but not limited to, bodily injury, sickness or disease, emotional injury or death to persons, including, but not limited to, the public, any employees or agents of the Contractor, the State, or any other contractor; and
2. Damage to property of anyone including loss of use thereof; caused or alleged to be caused in whole or in part by any negligent or otherwise legally actionable act or omission of the Contractor or anyone directly or indirectly employed by the Contractor or anyone for whose acts the Contractor may be liable.

Except as otherwise provided by law, these requirements apply regardless of the existence or degree of fault of the State. The Contractor is not obligated to indemnify the State for Claims arising from conduct delineated in Civil Code Section 2782 and to Claims arising from any defective or substandard condition of the highway that existed at or before the start of work, unless this condition has been changed by the work or the scope of the work requires the Contractor to maintain existing highway facilities and the Claim arises from the Contractor's failure to maintain. The Contractor's defense and indemnity obligation shall extend to Claims arising after the work is completed and accepted if the Claims are directly related to alleged acts or omissions by the Contractor that occurred during the course of the work. State inspection is not a waiver of full compliance with these requirements.

The Contractor's obligation to defend and indemnify shall not be excused because of the Contractor's inability to evaluate liability or because the Contractor evaluates liability and determine that the Contractor is not liable. The Contractor shall respond within 30 days to the tender of any Claim for defense and indemnity by the State, unless this time has been extended by the State. If the Contractor fails to accept or reject a tender of defense and indemnity within 30 days, in addition to any other remedy authorized by law, the Department may withhold such funds the State reasonably considers necessary for its defense and indemnity until disposition has been made of the Claim or until the Contractor accepts or rejects the tender of defense, whichever occurs first.

With respect to third-party claims against the Contractor, the Contractor waives all rights of any type to express or implied indemnity against the State, its officers, employees, or agents (excluding agents who are design professionals).

Nothing in the Contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these indemnification specifications.

7-1.12B Insurance

7-1.12B(1) General

Nothing in the contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these insurance specifications.

7-1.12B(2) Casualty Insurance

The Contractor shall procure and maintain insurance on all of its operations with companies acceptable to the State as follows:

1. The Contractor shall keep all insurance in full force and effect from the beginning of the work through contract acceptance.
2. All insurance shall be with an insurance company with a rating from A.M. Best Financial Strength Rating of A- or better and a Financial Size Category of VII or better.
3. The Contractor shall maintain completed operations coverage with a carrier acceptable to the State through the expiration of the patent deficiency in construction statute of repose set forth in Code of Civil Procedure Section 337.1.

7-1.12B(3) Workers' Compensation and Employer's Liability Insurance

In accordance with Labor Code Section 1860, the Contractor shall secure the payment of worker's compensation in accordance with Labor Code Section 3700.

In accordance with Labor Code Section 1861, the Contractor shall submit to the Department the following certification before performing the work:

> I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

Contract execution constitutes certification submittal.

The Contractor shall provide Employer's Liability Insurance in amounts not less than:

1. $1 000 000 for each accident for bodily injury by accident
2. $1 000 000 policy limit for bodily injury by disease
3. $1 000 000 for each employee for bodily injury by disease

If there is an exposure of injury to the Contractor's employees under the U.S. Longshoremen's and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations, or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

7-1.12B(4) Liability Insurance

7-1.12B(4)(a) General

The Contractor shall carry General Liability and Umbrella or Excess Liability Insurance covering all operations by or on behalf of the Contractor providing insurance for bodily injury liability and property damage liability for the following limits and including coverage for:

1. Premises, operations, and mobile equipment
2. Products and completed operations
3. Broad form property damage (including completed operations)
4. Explosion, collapse, and underground hazards
5. Personal injury
6. Contractual liability

7-1.12B(4)(b) Liability Limits/Additional Insureds

The limits of liability shall be at least the amounts shown in the following table:

Total Bid	For Each Occurrence[1]	Aggregate for Products/Completed Operation	General Aggregate[2]	Umbrella or Excess Liability[3]
≤$1 000 000	$1 000 000	$2 000 000	$2 000 000	$5 000 000
>$1 000 000 ≤$5 000 000	$1 000 000	$2 000 000	$2 000 000	$10 000 000
>$5 000 000 ≤$25 000 000	$2 000 000	$2 000 000	$4 000 000	$15 000 000
>$25 000 000	$2 000 000	$2 000 000	$4 000 000	$25 000 000

1. Combined single limit for bodily injury and property damage.
2. This limit shall apply separately to the Contractor's work under this contract.
3. The umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted.

The Contractor shall not require certified Small Business subcontractors to carry Liability Insurance that exceeds the limits in the table above. Notwithstanding the limits specified herein, at the option of the Contractor, the liability insurance limits for certified Small Business subcontractors of any tier may be less than those limits specified in the table. For Small Business subcontracts, "Total Bid" shall be interpreted as the amount of subcontracted work to a certified Small Business.

The State, including its officers, directors, agents (excluding agents who are design professionals), and employees, shall be named as additional insureds under the General Liability and Umbrella Liability Policies with respect to liability arising out of or connected with work or operations performed by or on behalf of the Contractor under this contract. Coverage for such additional insureds does not extend to liability:

1. Arising from any defective or substandard condition of the roadway which existed at or before the time the Contractor started work, unless such condition has been changed by the work or the scope of the work requires the Contractor to maintain existing roadway facilities and the claim arises from the Contractor's failure to maintain;
2. For claims occurring after the work is completed and accepted unless these claims are directly related to alleged acts or omissions of the Contractor that occurred during the course of the work; or
3. To the extent prohibited by Insurance Code Section 11580.04

Additional insured coverage shall be provided by a policy provision or by an endorsement providing coverage at least as broad as Additional Insured (Form B) endorsement form CG 2010, as published by the Insurance Services Office (ISO), or other form designated by the Department.

7-1.12B(4)(c) Contractor's Insurance Policy is Primary

The policy shall stipulate that the insurance afforded the additional insureds applies as primary insurance. Any other insurance or self-insurance maintained by the State is excess only and shall not be called upon to contribute with this insurance.

7-1.12B(5) Automobile Liability Insurance

The Contractor shall carry automobile liability insurance, including coverage for all owned, hired, and nonowned automobiles. The primary limits of liability shall be not less than $1 000 000 combined single limit each accident for bodily injury and property damage. The umbrella or excess liability coverage required under Section 7-1.12B(4)(b) also applies to automobile liability.

7-1.12B(6) Policy Forms, Endorsements, and Certificates

The Contractor shall provide its General Liability Insurance under Commercial General Liability policy form No. CG0001 as published by the Insurance Services Office (ISO) or under a policy form at least as broad as policy form No. CG0001.

7-1.12B(7) Deductibles

The State may expressly allow deductible clauses, which it does not consider excessive, overly broad, or harmful to the interests of the State. Regardless of the allowance of exclusions or deductions by the State, the Contractor is responsible for

any deductible amount and shall warrant that the coverage provided to the State is in accordance with Section 7-1.12B, "Insurance."

7-1.12B(8) Enforcement

The Department may assure the Contractor's compliance with its insurance obligations. Ten days before an insurance policy lapses or is canceled during the contract period, the Contractor shall submit to the Department evidence of renewal or replacement of the policy.

If the Contractor fails to maintain any required insurance coverage, the Department may maintain this coverage and withhold or charge the expense to the Contractor or terminate the Contractor's control of the work in accordance with Section 8-1.08, "Termination of Control."

The Contractor is not relieved of its duties and responsibilities to indemnify, defend, and hold harmless the State, its officers, agents, and employees by the Department's acceptance of insurance policies and certificates.

Minimum insurance coverage amounts do not relieve the Contractor for liability in excess of such coverage, nor do they preclude the State from taking other actions available to it, including the withholding of funds under this contract.

7-1.12B(9) Self-Insurance

Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the State.

If the Contractor uses a self-insurance program or self-insured retention, the Contractor shall provide the State with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor will be bound by all laws as if the Contractor were an insurer as defined under Insurance Code Section 23 and that the self-insurance program or self-insured retention shall operate as insurance as defined under Insurance Code Section 22.

In Section 7-1.13 delete the 5th and 6th paragraphs.

Add:

7-1.50 FEDERAL LAWS FOR FEDERAL-AID CONTRACTS

7-1.50A General

Section 7-1.50, "Federal Laws for Federal-Aid Contracts," includes specifications required in a Federal-aid construction contract and applies to a Federal-aid contract.

Form FHWA-1273 is included in the contract in Section 7-1.50B, "FHWA-1273." Some contract terms on the form are different than those used in other contract parts as shown in the following table:

FHWA-1273 Terms and Department Equivalencies

FHWA-1273 Term	Equivalent Term Used in Other Contract Parts
SHA	Department
SHA contracting officer	Engineer
SHA resident engineer	Engineer

7-1.50B FHWA-1273

FHWA-1273 Electronic version -- March 10, 1994
with revised Section VI

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

I. General
II. Nondiscrimination
III. Nonsegregated Facilities
IV. Payment of Predetermined Minimum Wage
V. Statements and Payrolls
VI. Record of Materials, Supplies, and Labor
VII. Subletting or Assigning the Contract
VIII. Safety: Accident Prevention

IX. False Statements Concerning Highway Projects
X. Implementation of Clean Air Act and Federal Water Pollution Control Act
XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion
XII. Certification Regarding Use of Contract Funds for Lobbying

ATTACHMENTS

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

   Section I, paragraph 2;
   Section IV, paragraphs 1, 2, 3, 4, and 7;
   Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

   a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
   b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq*.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

   a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
   b. The contractor will accept as his operating policy the following statement:

      "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or

termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

    a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
    b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
    c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
    d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
    e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

    a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
    b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
    c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

    a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
    b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
    c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
    d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take

appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. **Training and Promotion:**

   a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
   b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
   c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
   d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions**: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

   a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.
   b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
   c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.
   d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these specifications, such contractor shall immediately notify the SHA.

8. **Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

   a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
   b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
   c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

   a. The records kept by the contractor shall document the following:

      1. The number of minority and non-minority group members and women employed in each work classification on the project;
      2. The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
      3. The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
      4. The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

   b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of $10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. **General:**

   a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis- Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to

such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. **Classification:**

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

1. the work to be performed by the additional classification requested is not performed by a classification in the wage determination;
2. the additional classification is utilized in the area by the construction industry;
3. the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
4. with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. **Payment of Fringe Benefits:**

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have

been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. **Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:**

a. Apprentices:

1. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
2. The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
3. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
4. In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

1. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.
2. The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.
3. Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

4. In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. **Apprentices and Trainees (Programs of the U.S. DOT):**

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. **Withholding:**

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. **Overtime Requirements:**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. **Violation:**

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of $10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. **Withholding for Unpaid Wages and Liquidated Damages:**

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. **Compliance with Copeland Regulations (29 CFR 3):**

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. **Payrolls and Payroll Records:**

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029- 005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

1. that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
2. that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;
3. that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or

all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

(As of May 22, 2007, Form FHWA-47 is no longer required.)

VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

    a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
    b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).
3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by Engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as

carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that $10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 *et seq*., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq*., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.
2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.
3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. **Instructions for Certification - Primary Covered Transactions:**

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective

primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.
f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

*** * * * ***

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

   a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
   b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
   c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
   d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

2. **Instructions for Certification - Lower Tier Covered Transactions:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions of $25,000 or more - 49 CFR 29)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed $100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

   a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
   b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.
3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such recipients shall certify and disclose accordingly.

7-1.50C Female and Minority Goals

To comply with Section II, "Nondiscrimination," of "Required Contract Provisions Federal-Aid Construction Contracts," the Department is including in Section 7-1.50C, "Female and Minority Goals," female and minority utilization goals for Federal-aid construction contracts and subcontracts that exceed $10,000.

The nationwide goal for female utilization is 6.9 percent.

The goals for minority utilization [45 Fed Reg 65984 (10/3/1980)] are as follows:

Minority Utilization Goals

	Economic Area	Goal (Percent)
174	Redding CA: Non-SMSA Counties: CA Lassen; CA Modoc; CA Plumas; CA Shasta; CA Siskiyou; CA Tehema	6.8
175	Eureka, CA Non-SMSA Counties: CA Del Norte; CA Humboldt; CA Trinity	6.6
176	San Francisco-Oakland-San Jose, CA: SMSA Counties: 7120 Salinas-Seaside-Monterey, CA CA Monterey 7360 San Francisco-Oakland CA Alameda; CA Contra Costa; CA Marin; CA San Francisco; CA San Mateo 7400 San Jose, CA CA Santa Clara, CA 7485 Santa Cruz, CA CA Santa Cruz 7500 Santa Rosa CA Sonoma 8720 Vallejo-Fairfield-Napa, CA CA Napa; CA Solano Non-SMSA Counties: CA Lake; CA Mendocino; CA San Benito	 28.9 25.6 19.6 14.9 9.1 17.1 23.2
177	Sacramento, CA: SMSA Counties: 6920 Sacramento, CA CA Placer; CA Sacramento; CA Yolo Non-SMSA Counties CA Butte; CA Colusa; CA El Dorado; CA Glenn; CA Nevada; CA Sierra; CA Sutter; CA Yuba	 16.1 14.3
178	Stockton-Modesto, CA: SMSA Counties: 5170 Modesto, CA CA Stanislaus 8120 Stockton, CA CA San Joaquin Non-SMSA Counties CA Alpine; CA Amador; CA Calaveras; CA Mariposa; CA Merced; CA Toulumne	 12.3 24.3 19.8
179	Fresno-Bakersfield, CA SMSA Counties: 0680 Bakersfield, CA CA Kern 2840 Fresno, CA CA Fresno Non-SMSA Counties: CA Kings; CA Madera; CA Tulare	 19.1 26.1 23.6
180	Los Angeles, CA: SMSA Counties: 0360 Anaheim-Santa Ana-Garden Grove, CA CA Orange 4480 Los Angeles-Long Beach, CA CA Los Angeles 6000 Oxnard-Simi Valley-Ventura, CA CA Ventura	 11.9 28.3 21.5

	6780 Riverside-San Bernardino-Ontario, CA CA Riverside; CA San Bernardino 7480 Santa Barbara-Santa Maria-Lompoc, CA CA Santa Barbara Non-SMSA Counties CA Inyo; CA Mono; CA San Luis Obispo	19.0 19.7 24.6
181	San Diego, CA: SMSA Counties 7320 San Diego, CA CA San Diego Non-SMSA Counties CA Imperial	 16.9 18.2

For each July during which work is performed under the contract, you and each non-material-supplier subcontractor with a subcontract of $10,000 or more must complete Form FHWA PR-1391 (Appendix C to 23 CFR 230). Submit the forms by August 15.

7-1.50D Training

Section 7-1.50D, "Training," applies if a number of trainees or apprentices is specified in the special provisions.

As part of your equal opportunity affirmative action program, provide on-the-job training to develop full journeymen in the types of trades or job classifications involved.

You have primary responsibility for meeting this training requirement.

If you subcontract a contract part, determine how many trainees or apprentices are to be trained by the subcontractor.

Include these training requirements in your subcontract.

Where feasible, 25 percent of apprentices or trainees in each occupation must be in their 1st year of apprenticeship or training.

Distribute the number of apprentices or trainees among the work classifications on the basis of your needs and the availability of journeymen in the various classifications within a reasonable recruitment area.

Before starting work, submit to the Department:

1. Number of apprentices or trainees to be trained for each classification
2. Training program to be used
3. Training starting date for each classification

Obtain the Department's approval for this submitted information before you start work. The Department credits you for each apprentice or trainee you employ on the work who is currently enrolled or becomes enrolled in an approved program.

The primary objective of Section 7-1.50D, "Training," is to train and upgrade minorities and women toward journeymen status. Make every effort to enroll minority and women apprentices or trainees, such as conducting systematic and direct recruitment through public and private sources likely to yield minority and women apprentices or trainees, to the extent they are available within a reasonable recruitment area. Show that you have made the efforts. In making these efforts, do not discriminate against any applicant for training.

Do not employ as an apprentice or trainee an employee:

1. In any classification in which the employee has successfully completed a training course leading to journeyman status or in which the employee has been employed as a journeyman
2. Who is not registered in a program approved by the US Department of Labor, Bureau of Apprenticeship and Training

Ask the employee if the employee has successfully completed a training course leading to journeyman status or has been employed as a journeyman. Your records must show the employee's answers to the questions.

In your training program, establish the minimum length and training type for each classification. The Department and FHWA approves a program if one of the following is met:

1. It is calculated to:

    1.1. Meet the your equal employment opportunity responsibilities

1.2. Qualify the average apprentice or trainee for journeyman status in the classification involved by the end of the training period

2. It is registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training and it is administered in a way consistent with the equal employment responsibilities of federal-aid highway construction contracts

Obtain the State's approval for your training program before you start work involving the classification covered by the program.

Provide training in the construction crafts, not in clerk-typist or secretarial-type positions. Training is allowed in lower level management positions such as office engineers, estimators, and timekeepers if the training is oriented toward construction applications. Training is allowed in the laborer classification if significant and meaningful training is provided and approved by the division office. Off-site training is allowed if the training is an integral part of an approved training program and does not make up a significant part of the overall training.

The Department reimburses you 80 cents per hour of training given an employee on this contract under an approved training program:

1. For on-site training
2. For off-site training if the apprentice or trainee is currently employed on a federal-aid project and you do at least one of the following:

   2.1. Contribute to the cost of the training
   2.2. Provide the instruction to the apprentice or trainee
   2.3. Pay the apprentice's or trainee's wages during the off-site training period

3. If you comply with Section 7-1.50D, "Training"

Each apprentice or trainee must:

1. Begin training on the project as soon as feasible after the start of work involving the apprentice's or trainee's skill
2. Remain on the project as long as training opportunities exist in the apprentice's or trainee's work classification or until the apprentice or trainee has completed the training program

Furnish the apprentice or trainee:

1. Copy of the program you will comply with in providing the training
2. Certification showing the type and length of training satisfactorily completed

Maintain records and submit reports documenting your performance under Section 7-1.50D, "Training."

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SECTION 8 PROSECUTION AND PROGRESS
(Issued 07-01-08)

Replace Section 8-1.01 with:

8-1.01 (Blank)

In Section 8-1.02 replace the 2nd paragraph with:

If the Contractor assigns the right to receive contract payments, the Department accepts the assignment upon the Engineer's receipt of a notice. Assigned payments remain subject to deductions and withholds described in the contract. The Department may use withheld payments for work completion whether payments are assigned or not.

Add to Section 8-1.08:

For a Federal-aid contract, the Department may terminate your control of the work for failure to include "Required Contract Provisions, Federal-Aid Construction Contracts" in subcontracts.

^^

SECTION 9 MEASUREMENT AND PAYMENT
(Issued 02-06-09)

In Section 9-1.02 in the 1st paragraph, replace the last sentence with:

Neither the payment of any estimate nor of any retained percentage or withhold relieves the Contractor of any obligation to make good any defective work or material.

Add to Section 9-1.02:

The Department pays 10 percent per year interest for unpaid and undisputed:

1. Progress payments
2. After-acceptance payment except for claims

For these payments, interest starts to accrue 30 days after the 1st working day following the 20th day of the month payment is due.

The Department pays 6 percent per year interest for accepted claims. Interest starts to accrue 61 days after the Department accepts a Final Claim Statement.

The Department pays 6 percent per year interest for awards in arbitration (Civ Code § 3289).

If the amount of a deduction or withhold exceeds final payment, the Department invoices you for the difference, to be paid upon receipt.

In Section 9-1.03A replace the 2nd paragraph with:

To the total of the direct costs computed as provided in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," there will be added a markup of 35 percent to the cost of labor, 15 percent to the cost of materials, and 15 percent to the cost of equipment rental.

In Section 9-1.03A replace the 3rd and 4th paragraphs with:

The above markups shall constitute full compensation for all delay costs, overhead costs and profit which shall be deemed to include all items of expense not specifically designated as cost or equipment rental in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental." The total payment made as provided above shall be deemed to be the actual cost of the work and shall constitute full compensation therefor.

If a subcontractor performs work at force account, accept an additional 10 percent markup to the total cost of that work paid at force account, including markups specified in Section 9-1.03, "Force Account Payment," as reimbursement for additional administrative costs.

Replace Section 9-1.03B with:

The Contractor, and all subcontractors obtained before or after contract execution, shall itemize the labor, material, and equipment rental costs, and shall not be deemed specialists unless the selected Contractor or available subcontractors on site are not capable of performing the specialty work and it is not the special service industry's established practice to provide cost itemization. In addition, the Engineer may approve work required to be performed at an off-site manufacturing plant or machine shop to be paid as a non-itemized specialist billing. To obtain approval as a specialist, the Contractor shall submit on behalf of the subcontractor a request to the Engineer prior to the start of the proposed specialist work.

If approval is granted, the Engineer will accept the non-itemized invoices for specialty work performed, provided the invoices are at current market rates. Markup percentages of Section 9-1.03A, "Work Performed by Contractor," will not

apply. A markup of 10 percent will be added to the total cost of the extra work. The 10 percent markup shall reimburse the Contractor for additional administrative costs, and no other payment will be made by reason of performance of the extra work by a specialist.

If approval is not granted prior to the start of the proposed specialty work, the Contractor or subcontractor shall itemize labor, material, and equipment rental costs and apply percentage markups as required by Section 9-1.03A, "Work Performed by Contractor."

In Section 9-1.03C delete the 6th paragraph.

Replace Section 9-1.04 with:

9-1.04 NOTICE OF POTENTIAL CLAIM

It is the intention of this section that disputes between the parties arising under and by virtue of the contract be brought to the attention of the Engineer at the earliest possible time in order that the matters may be resolved, if possible, or other appropriate action promptly taken.

Disputes will not be considered unless the Contractor has first complied with specified notice or protest requirements, including Section 4-1.03, "Changes," Section 5-1.116, "Differing Site Conditions," Section 8-1.06, "Time of Completion," Section 8-1.07, "Liquidated Damages," and Section 8-1.10, "Utility and Non-Highway Facilities."

For disputes arising under and by virtue of the contract, including an act or failure to act by the Engineer, the Contractor shall provide a signed written initial notice of potential claim to the Engineer within 5 days from the date the dispute first arose. The initial notice of potential claim shall provide the nature and circumstances involved in the dispute which shall remain consistent through the dispute. The initial notice of potential claim shall be submitted on Form CEM-6201A furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Contractor shall assign an exclusive identification number for each dispute, determined by chronological sequencing, based on the date of the dispute.

The exclusive identification number for each dispute shall be used on the following corresponding documents:

1. Initial notice of potential claim
2. Supplemental notice of potential claim
3. Full and final documentation of potential claim
4. Corresponding claim included in the Contractor's written statement of claims

The Contractor shall provide the Engineer the opportunity to examine the site of work within 5 days from the date of the initial notice of potential claim. The Contractor shall proceed with the performance of contract work unless otherwise specified or directed by the Engineer.

Throughout the disputed work, the Contractor shall maintain records that provide a clear distinction between the incurred direct costs of disputed work and that of undisputed work. The Contractor shall allow the Engineer access to the Contractor's project records deemed necessary by the Engineer to evaluate the potential claim within 20 days of the date of the Engineer's written request.

Within 15 days of submitting the initial notice of potential claim, the Contractor shall provide a signed supplemental notice of potential claim to the Engineer that provides the following information:

1. The complete nature and circumstances of the dispute which caused the potential claim
2. The contract provisions that provide the basis of claim
3. The estimated cost of the potential claim, including an itemized breakdown of individual costs and how the estimate was determined
4. A time impact analysis of the project schedule that illustrates the effect on the scheduled completion date due to schedule changes or disruptions where a request for adjustment of contract time is made

The information provided in items 1 and 2 above shall provide the Contractor's complete reasoning for additional compensation or adjustments.

The supplemental notice of potential claim shall be submitted on Form CEM-6201B furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Engineer will evaluate the information presented in the supplemental notice of potential claim and provide a written response to the Contractor within 20 days of its receipt. If the estimated cost or effect on the scheduled completion date changes, the

Contractor shall update information in items 3 and 4 above as soon as the change is recognized and submit this information to the Engineer.

Within 30 days of the completion of work related to the potential claim, the Contractor shall provide the full and final documentation of potential claim to the Engineer that provides the following information:

1. A detailed factual narration of events fully describing the nature and circumstances that caused the dispute, including, but not limited to, necessary dates, locations, and items of work affected by the dispute
2. The specific provisions of the contract that support the potential claim and a statement of the reasons these provisions support and provide a basis for entitlement of the potential claim
3. When additional monetary compensation is requested, the exact amount requested calculated in conformance with Section 9-1.03, "Force Account Payment," or Section 8-1.09, "Right of Way Delays," including an itemized breakdown of individual costs. These costs shall be segregated into the following cost categories:

    3.1. Labor – A listing of individuals, classifications, regular hours and overtime hours worked, dates worked, and other pertinent information related to the requested reimbursement of labor costs
    3.2. Materials – Invoices, purchase orders, location of materials either stored or incorporated into the work, dates materials were transported to the project or incorporated into the work, and other pertinent information related to the requested reimbursement of material costs
    3.3. Equipment – Listing of detailed description (make, model, and serial number), hours of use, dates of use and equipment rates. Equipment rates shall be at the applicable State rental rate as listed in the Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates," in effect when the affected work related to the dispute was performed.
    3.4. Other categories as specified by the Contractor or the Engineer

4. When an adjustment of contract time is requested the following information shall be provided:

    4.1. The specific dates for which contract time is being requested
    4.2. The specific reasons for entitlement to a contract time adjustment
    4.3. The specific provisions of the contract that provide the basis for the requested contract time adjustment
    4.4. A detailed time impact analysis of the project schedule. The time impact analysis shall show the effect of changes or disruptions on the scheduled completion date to demonstrate entitlement to a contract time adjustment.

5. The identification and copies of the Contractor's documents and the substance of oral communications that support the potential claim

The full and final documentation of the potential claim shall be submitted on Form CEM-6201C furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655.

Pertinent information, references, arguments, and data to support the potential claim shall be included in the full and final documentation of potential claim. Information submitted subsequent to the full and final documentation submittal will not be considered. Information required in the full and final documentation of potential claim, as listed in items 1 to 5 above, that is not applicable to the dispute may be exempted as determined by the Engineer. No full and final documentation of potential claim will be considered that does not have the same nature and circumstances, and basis of claim as those specified on the initial and supplemental notices of potential claim.

The Engineer will evaluate the information presented in the full and final documentation of potential claim and provide a written response to the Contractor within 30 days of its receipt unless otherwise specified. The Engineer's receipt of the full and final documentation of potential claim shall be evidenced by postal receipt or the Engineer's written receipt if delivered by hand. If the full and final documentation of potential claim is submitted by the Contractor after acceptance of the work by the Director, the Engineer need not provide a written response.

Provisions in this section shall not apply to those claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate. Administrative disputes are disputes of administrative deductions or withholds, contract item quantities, contract item adjustments, interest payments, protests of contract change orders as provided in Section 4-1.03A, "Procedure and Protest," and protests of the Weekly Statement of Working Days as provided in Section 8-1.06, "Time of Completion." Administrative disputes that occur prior to issuance of the proposed final estimate shall follow applicable requirements of this section. Information listed in the supplemental notice and full and final documentation of potential claim that is not applicable to the administrative dispute may be exempted as determined by the Engineer.

Unless otherwise specified in the special provisions, the Contractor may pursue the administrative claim process pursuant to Section 9-1.07B, "Final Payment and Claims," for any potential claim found by the Engineer to be without merit.

Failure of the Contractor to conform to specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract, and is deemed as the Contractor's waiver of the potential claim and a waiver of the right to a corresponding claim for the disputed work in the administrative claim process in conformance with Section 9-1.07B, "Final Payment of Claims," and shall operate as a bar to arbitration pursuant to Section 10240.2 of the California Public Contract Code.

Replace Section 9-1.05 with:

9-1.05 STOP NOTICE WITHHOLDS

The Department may withhold payments to cover claims filed under Civ Code § 3179 et seq.

Add:

9-1.053 PERFORMANCE FAILURE WITHHOLDS

During each estimate period you fail to comply with a contract part, including submittal of a document as specified, the Department withholds a part of the progress payment. The documents include quality control plans, schedules, traffic control plans, and water pollution control submittals.

For 1 performance failure, the Department withholds 25 percent of the progress payment but does not withhold more than 10 percent of the total bid.

For multiple performance failures, the Department withholds 100 percent of the progress payment but does not withhold more than 10 percent of the total bid.

The Department returns performance-failure withholds in the progress payment following the correction of noncompliance.

Add:

9-1.055 PENALTY WITHHOLDS

Penalties include fines and damages that are proposed, assessed, or levied against you or the Department by a governmental agency or citizen lawsuit. Penalties are also payments made or costs incurred in settling alleged permit violations of Federal, State, or local laws, regulations, or requirements. The cost incurred may include the amount spent for mitigation or correcting a violation.

If you or the Department is assessed a penalty, the Department may withhold the penalty amount until the penalty disposition has been resolved. The Department may withhold penalty funds and notify you within 15 days of the withhold. If the penalty amount is less than the amount being withheld from progress payments for retentions, the Department will not withhold the penalty amount.

If the penalty is resolved for less than the amount withheld, the Department pays interest at a rate of 6 percent per year on the excess withhold. If the penalty is not resolved, the withhold becomes a deduction.

Instead of the withhold, you may provide a bond payable to the Department of Transportation equal to the highest estimated liability for any disputed penalties proposed.

Add:

9-1.057 PROGRESS WITHHOLDS

The Department withholds 10 percent of a partial payment for noncompliant progress. Noncompliant progress occurs when:

1. Total days to date exceed 75 percent of the revised contract working days
2. Percent of working days elapsed exceeds the percent of value of work completed by more than 15 percent

The Engineer determines the percent of working days elapsed by dividing the total days to date by the revised contract working days and converting the quotient to a percentage.

The Engineer determines the percent of value of work completed by summing payments made to date and the amount due on the current progress estimate, dividing this sum by the current total estimated value of the work, and converting the quotient to a percentage. These amounts are shown on the Progress Payment Voucher.

When the percent of working days elapsed minus the percent of value of work completed is less than or equal to 15 percent, the Department returns the withhold in the next progress payment.

In Section 9-1.06 in the 4th paragraph, replace the 1st sentence with:

The Department shall pay monthly to the Contractor, while carrying on the work, the balance not retained, as aforesaid, after deducting therefrom all previous payments and all sums to be deducted or withheld under the provisions of the contract.

In Section 9-1.065 replace the title and the 1st and 2nd paragraphs with:

9-1.065 RELEASE OF RETAINED FUNDS

The Department releases retained funds if you:

1. Request release of the retention (Pub Cont Code § 10263) in writing
2. Deposit securities equivalent to the funds you want released into escrow with the State Treasurer or with a bank acceptable to the Department
3. Are the beneficial owner of and receive interest on the deposited securities substituted for the retained funds

In Section 9-1.07A replace the 2nd sentence with:

The Department pays the balance due less previous payments, deductions, withholds, and retentions under the provisions of the contract and those further amounts that the Engineer determines to be necessary pending issuance of the proposed final estimate and payment thereon.

Replace Section 9-1.07B with:

9-1.07B Final Payment and Claims

After acceptance by the Director, the Engineer makes a proposed final estimate of the total amount payable to the Contractor, including an itemization of the total amount, segregated by contract item quantities, extra work, and other basis for payment, and shows each deduction made or to be made for prior payments and amounts to be deducted, withheld, or retained under the provisions of the contract. Prior estimates and payments are subject to correction in the proposed final estimate. The Contractor must submit written approval of the proposed final estimate or a written statement of claims arising under or by virtue of the contract so that the Engineer receives the written approval or statement of claims no later than close of business of the 30th day after receiving the proposed final estimate. The Contractor's receipt of the proposed final estimate must be evidenced by postal receipt. The Engineer's receipt of the Contractor's written approval or statement of claims must be evidenced by postal receipt or the Engineer's written receipt if delivered by hand.

On the Contractor's approval, or if the Contractor files no claim within the specified period of 30 days, the Engineer will issue a final estimate in writing in conformance with the proposed final estimate submitted to the Contractor, and within 30 days thereafter the State will pay the entire sum so found to be due. That final estimate and payment thereon shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

If the Contractor within the specified period of 30 days files claims, the Engineer will issue a semifinal estimate in conformance with the proposed final estimate submitted to the Contractor and within 30 days thereafter the State will pay the sum found to be due. The semifinal estimate and corresponding payment shall be conclusive and binding against both parties to the contract on each question relating to the amount of work done and the compensation payable therefor, except insofar as affected by the claims filed within the time and in the manner required hereunder and except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Except for claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate, the Contractor shall only provide the following two items of information for each claim:

1. The exclusive identification number that corresponds to the supporting full and final documentation of potential claim
2. The final amount of requested additional compensation

If the final amount of requested additional compensation is different than the amount of requested compensation included in the full and final documentation of potential claim, the Contractor shall provide in the written statement of claims

the reasons for the changed amount, the specific provisions of the contract which support the changed amount, and a statement of the reasons the provisions support and provide a basis for the changed amount. If the Contractor's claim fails to provide an exclusive identification number or if there is a disparity in the provided exclusive identification number, the Engineer will notify the Contractor of the omission or disparity. The Contractor shall have 15 days after receiving notification from the Engineer to correct the omission or disparity. If after the 15 days has elapsed, there is still an omission or disparity of the exclusive identification number assigned to the claim, the Engineer will assign the number. No claim will be considered that has any of the following deficiencies:

1. The claim does not have the same nature, circumstances, and basis as the corresponding full and final documentation of potential claim.
2. The claim does not have a corresponding full and final documentation of potential claim.
3. The claim was not included in the written statement of claims.
4. The Contractor did not comply with applicable notice or protest requirements of Sections 4-1.03, "Changes," 5-1.116, "Differing Site Condition," 8-1.06, "Time of Completion," 8-1.07, "Liquidated Damages," 8-1.10, "Utility and Non-Highway Facilities," and 9-1.04, "Notice of Potential Claim."

Administrative disputes that occur after issuance of the proposed final estimate shall be included in the Contractor's written statement of claims in sufficient detail to enable the Engineer to ascertain the basis and amounts of those claims.

The Contractor shall keep full and complete records of the costs and additional time incurred for work for which a claim for additional compensation is made. The Engineer or designated claim investigators or auditors shall have access to those records and any other records as may be required by the Engineer to determine the facts or contentions involved in the claims. Failure to permit access to those records shall be sufficient cause for denying the claims.

The written statement of claims submitted by the Contractor shall be accompanied by a notarized certificate containing the following language:

Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code Section 12650 et. seq., the undersigned,

_____ .
(name)

_____ *of*
(title)

_____ .
(company)

hereby certifies that the claim for the additional compensation and time, if any, made herein for the work on this contract is a true statement of the actual costs incurred and time sought, and is fully documented and supported under the contract between parties.

Dated _____

/s/ _____

Subscribed and sworn before me this _____ day

of _____ .

_____
(Notary Public)
My Commission
Expires _____

Failure to submit the notarized certificate will be sufficient cause for denying the claim.

Any claim for overhead, in addition to being certified as stated above, shall be supported and accompanied by an audit report of an independent Certified Public Accountant. Omission of a supporting audit report of an independent Certified Public Accountant shall result in denial of the claim and shall operate as a bar to arbitration, as to the claim, in conformance with the requirements in Section 10240.2 of the California Public Contract Code. Any claim for overhead shall be subject to audit by the State at its discretion. The costs of performing an audit examination and submitting the report shall be borne by the Contractor. The Department will deduct an offset amount for field and home office overhead paid on all added work

from any claim for overhead as appropriate, as determined by the Department. The value of the added work equals the value of the work completed minus the total bid. The home office overhead offset equals 5 percent of the added work. The field office overhead offset equals 5-1/2 percent of the added work. The Certified Public Accountant's audit examination shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31. The audit examination and report shall determine if the rates of field and home office overhead are:

1. Allowable in conformance with the requirements in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31.
2. Adequately supported by reliable documentation.
3. Related solely to the project under examination.

Costs or expenses incurred by the State in reviewing or auditing claims that are not supported by the Contractor's cost accounting or other records shall be deemed to be damages incurred by the State within the meaning of the California False Claims Act.

If the Engineer determines that a claim requires additional analysis, the Engineer will schedule a board of review meeting. The Contractor shall meet with the review board or person and make a presentation in support of the claim. Attendance by the Contractor at the board of review meeting shall be mandatory.

The District Director of the District that administered the contract will make the final determination of any claims which remain in dispute after completion of claim review by the Engineer or board of review meeting.

The final determination of claims will be sent to the Contractor by hand delivery or deposit in the U.S. mail. The Engineer will then make and issue the Engineer's final estimate in writing and within 30 days thereafter the State will pay the entire sum, if any, found due thereon. That final estimate shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Failure of the Contractor to conform to the specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract and shall operate as a bar to arbitration in conformance with the requirements in Section 10240.2 of the California Public Contract Code.

^^

SECTION 12 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES
(Issued 10-06-06)

In Section 12-1.01 replace the 2nd paragraph with:

Attention is directed to Part 6 of the California MUTCD. Nothing in this Section 12 is to be construed as to reduce the minimum standards in these manuals.

Replace Section 12-2.01 with:

12-2.01 FLAGGERS

Flaggers while on duty and assigned to traffic control or to give warning to the public that the highway is under construction and of any dangerous conditions to be encountered as a result thereof, shall perform their duties and shall be provided with the necessary equipment in conformance with Part 6 of the California MUTCD. The equipment shall be furnished and kept clean and in good repair by the Contractor at the Contractor's expense.

In Section 12-3.01 replace the 1st paragraph with:

In addition to the requirements in Part 6 of the California MUTCD, all devices used by the Contractor in the performance of the work shall conform to the provisions in this Section 12-3.

In Section 12-3.01 replace the 5th paragraph with:

Retroreflective sheeting shall conform to the requirements in ASTM Designation: D 4956 and to the special provisions.

In Section 12-3.06 replace the 1st paragraph with:

The term "Construction Area Signs" shall include all temporary signs required for the direction of public traffic through or around the work during construction. Construction area signs are shown in or referred to in Part 6 of the California MUTCD.

In Section 12-3.06 replace the 4th paragraph with:

All construction area signs shall conform to the dimensions, color and legend requirements of the plans, Part 6 of the California MUTCD and these specifications. All sign panels shall be the product of a commercial sign manufacturer, and shall be as specified in these specifications.

In Section 12-3.06 replace the 8th paragraph with:

Used signs with the specified sheeting material will be considered satisfactory if they conform to the requirements for visibility and legibility and the colors conform to the requirements in Part 6 of the California MUTCD. A significant difference between day and nighttime retroreflective color will be grounds for rejecting signs.

Section 12-3.06A, "Stationary Mounted Signs," of the Standard Specifications is amended by deleting the third, fourth, fifth, and sixth paragraphs.

^^

SECTION 15 EXISTING HIGHWAY FACILITIES
(Issued 11-02-04)

In Section 15-2.07 replace the 6th paragraph with:

Full compensation for removing, salvaging, reconstructing, relocating or resetting end caps, return caps, terminal sections, and buried post anchors, for metal beam guard railings and thrie beam barriers, and for connecting reconstructed, relocated or reset railings and barriers to new and existing facilities, including connections to concrete, shall be considered as included in the contract price paid per meter for the type of railing or barrier work involved and no additional compensation will be allowed therefor.

^^

SECTION 19 EARTHWORK
(Issued 01-02-09)

Replace Section 19-1.02 with:

19-1.02 (BLANK)

Replace Section 19-1.03 with:

19-1.03 GRADE TOLERANCE

Immediately prior to placing subsequent layers of material thereon, the grading plane shall conform to one of the following:

A. When hot mix asphalt is to be placed on the grading plane, the grading plane at any point shall not vary more than 15 mm above or below the grade established by the Engineer.
B. When subbase or base material to be placed on the grading plane is to be paid for by the tonne, the grading plane at any point shall not vary more than 30 mm above or below the grade established by the Engineer.
C. When the material to be placed on the grading plane is to be paid for by the cubic meter, the grading plane at any point shall be not more than 15 mm above the grade established by the Engineer.

In Section 19-3.025C replace the 1st paragraph with:

Cementitious material used in soil cement bedding shall conform to the provisions in Section 90-2.01, "Cementitious Materials." Supplementary cementitious material will not be required.

In Section 19-3.025C replace the 4th paragraph with:

The aggregate, cementitious material, and water shall be proportioned either by mass or by volume. Soil cement bedding shall contain not less than 175 kg of cementitious material per cubic meter. The water content shall be sufficient to produce a fluid, workable mix that will flow and can be pumped without segregation of the aggregate while being placed.

In Section 19-3.062 replace the 1st paragraph with:

Slurry cement backfill shall consist of a fluid, workable mixture of aggregate, cementitious material, and water.

In Section 19-3.062 replace the 5th paragraph with:

Cementitious material shall conform to the provisions in Section 90-2.01, "Cementitious Materials." Supplementary cementitious material will not be required.

In Section 19-3.062 replace the 8th paragraph with:

The aggregate, cementitious material, and water shall be proportioned either by mass or by volume. Slurry cement backfill shall contain not less than 110 kg of cementitious material per cubic meter. The water content shall be sufficient to produce a fluid, workable mix that will flow and can be pumped without segregation of the aggregate while being placed.

^^

SECTION 20 EROSION CONTROL AND HIGHWAY PLANTING
(Issued 08-17-07)

Replace Section 20-2.03 with:

20-2.03 SOIL AMENDMENT

Soil amendment shall comply with the requirements in the California Food and Agricultural Code.
Soil amendment producers shall comply with the following:

1. Be fully permitted to produce compost as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.
2. Be a participant in United States Composting Council's Seal of Testing Assurance program.

Soil amendment shall be composted and may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids

3. Manure
4. Mixed food waste

Soil amendment feedstock materials shall be composted to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3.

Soil amendment shall not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Soil amendment must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Soil amendment must not possess objectionable odors.

Metal concentrations in soil amendment must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Soil amendment must comply with the following:

Physical/Chemical Requirements

Property	Test Method	Requirement
pH	*TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units	6.0–8.0
Soluble Salts	TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)	0-10.0
Moisture Content	TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis	30–60
Organic Matter Content	TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis	30–65
Maturity	TMECC 05.05-A, Germination and Vigor Seed Emergence Seedling Vigor % Relative to Positive Control	 80 or Above 80 or Above
Stability	TMECC 05.08-B, Carbon Dioxide Evolution Rate mg CO_2-C/g OM per day	8 or below
Particle Size	TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis	95% Passing 5/8 inch 70% Passing 3/8 inch
Pathogen	TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.	Pass
Pathogen	TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.	Pass
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Plastic, Glass and Metal, % > 4mm fraction	Combined Total: < 1.0
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction	None Detected

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Prior to application, the Contractor shall provide the Engineer with a copy of the soil amendment producer's Compost Technical Data Sheet and a copy of the compost producers STA certification. The Compost Technical Data Sheet shall include laboratory analytical test results, directions for product use, and a list of product ingredients.

Prior to application, the Contractor shall provide the Engineer with a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

In Section 20-2.10 delete the 8th, 9th, and 10th paragraphs.

Replace Section 20-2.25 with:

20-2.25 BACKFLOW PREVENTERS

Backflow preventers shall be one of the reduced pressure principle devices as specified in these specifications and the special provisions.

Backflow preventers shall be factory assembled and shall include 2 check valves, one pressure differential relief valve, 2 shut-off valves and 4 test cocks. Backflow preventer and valves shall be the same size as the pipeline in which they are installed, unless otherwise shown on the plans.

Backflow preventer shut-off valves shall be manufactured from iron or bronze and shall be either resilient wedged gate valves, resilient seated and fully ported ball valves, or resilient seated butterfly valves. Threaded type shut-off valves shall be provided with a union on one side of each valve. Unions shall be brass or malleable iron.

In Section 20-3.04A delete the last paragraph.

Replace Section 20-4.055 with:

20-4.055 PRUNING

Pruning of plants shall be consistent with American National Standards Institute (ANSI), "Tree, Shrub and Other Woody Plant Maintenance Standard Practices," ANSI 300 (Part 1)-2001 and "Best Management Practices Tree Pruning," 2002 (ISBN 1-881956318), published by the International Society of Arboriculture, P.O. Boc 3129, Champaign, IL 61826.

Replace Section 20-5.03J with:

20-5.03J Check and Test Backflow Preventers

Backflow preventers shall be checked and tested for proper operation by a certified Backflow Preventer Tester. The tester shall hold a valid certification as a Backflow Preventer Tester from the local governing authority in which the device to be tested is located. The local governing authority shall be the county, city or water purveyor having the governing authority over testing of backflow preventers involved. If the local governing authority does not have a certification program for Backflow Preventer Testers, the tester shall have a certificate from one of the following:

A. The American Water Works Association.
B. A county which has a certification program for Backflow Preventer Testers.

Tests for proper operation shall conform to the requirements of the governing authority.
The Engineer shall be notified at least 5 days prior to testing backflow preventers.
One copy of the test results for each backflow preventer tested shall be furnished to the Engineer.
Backflow preventers, installed by the Contractor, failing required tests shall be repaired at the Contractor's expense.

^^

SECTION 25 AGGREGATE SUBBASES
(Issued 02-16-07)

In Section 25-1.02A replace the 1st paragraph with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

5.1. Asphalt concrete
5.2. Portland cement concrete
5.3. Lean concrete base
5.4. Cement treated base

Replace Section 25-1.02B with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

   5.1. Asphalt concrete
   5.2. Portland cement concrete
   5.3. Lean concrete base
   5.4. Cement treated base

^^

SECTION 26 AGGREGATE BASE
(Issued 02-16-07)

In Section 26-1.02A replace the 1st paragraph with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

   5.1. Asphalt concrete
   5.2. Portland cement concrete
   5.3. Lean concrete base
   5.4. Cement treated base

In Section 26-1.02B replace the 1st paragraph with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

5.1. Asphalt concrete
5.2. Portland cement concrete
5.3. Lean concrete base
5.4. Cement treated base

^^

SECTION 27 CEMENT TREATED BASES
(Issued 07-31-07)

In Section 27-1.02 replace the 1st paragraph with:

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

In Section 27-1.02 replace the 3rd and 4th paragraphs with:

Aggregate for use in Class A cement treated base shall be of such quality that when mixed with cement in an amount not to exceed 5 percent by mass of the dry aggregate and compacted at optimum moisture content, the compressive strength of a sample of the compacted mixture shall not be less than 5.2 MPa at 7 days, when tested by California Test 312.

Aggregate for use in Class B cement treated base shall have a Resistance (R-value) of not less than 60 before mixing with cement and a Resistance (R-value) of not less than 80 after mixing with cement in an amount not to exceed 2.5 percent by mass of the dry aggregate.

In Section 27-1.07 replace the 9th paragraph with:

When surfacing material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as surfacing. This filling shall be done as a separate operation prior to placing the lowest layer of surfacing, and full compensation for this filling will be considered as included in the contract price paid for cement treated base and no additional compensation will be allowed therefor.

^^

SECTION 28 LEAN CONCRETE BASE
(Issued 07-31-07)

In Section 28-1.02 replace the 1st paragraph with:

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

In Section 28-1.02 replace the 6th paragraph with:

Aggregate shall be of such quality that, when mixed with cement in an amount not to exceed 180 kg per cubic meter, and tested in conformance with the requirements in California Test 548, the compressive strength of a sample will be not less than 5.0 MPa at 7 days.

In Section 28-1.06 replace the 2nd paragraph with:

In advance of curing operations, lean concrete base to be surfaced with hot mix asphalt shall be textured with a drag strip of burlap, a broom or a spring steel tine device which will produce scoring in the finished surface. The scoring shall be parallel with the centerline or transverse thereto. The operation shall be performed at a time and in a manner to produce the coarsest texture practical for the method used.

In Section 28-1.08 replace the 2nd paragraph with:

Hardened lean concrete base with a surface lower than 15 mm below the grade established by the Engineer shall be removed and replaced with lean concrete base which complies with these specifications, or if permitted by the Engineer, the low areas shall be filled with pavement material as follows:

1. When pavement material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as pavement. This shall be done as a separate operation prior to placing the lowest layer of pavement, and full compensation for this filling will be considered as included in the contract price paid per cubic meter for lean concrete base and no additional compensation will be allowed therefor.
2 When pavement material is portland cement concrete, the low areas shall be filled with pavement concrete at the time and in the same operation that the pavement is placed. Full compensation for this filling will be considered as included in the contract price paid per cubic meter for lean concrete base and no additional compensation will be allowed therefor.

^^

SECTION 29 TREATED PERMEABLE BASES
(Issued 07-31-07)

In Section 29-1.02A replace the 4th paragraph with:

The type and grade of asphalt binder to be mixed with aggregate will be specified in the special provisions.

In Section 29-1.02B replace the 2nd paragraph with:

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

In Section 29-1.04A replace the 1st paragraph with:

Aggregates and asphalt for asphalt treated permeable base shall be stored, proportioned and mixed in the same manner provided for storing, proportioning and mixing aggregates and asphalt for hot mix asphalt in Section 39-1.08, "Production," except as follows:

1. The aggregate need not be separated into sizes.
2. The temperature of the aggregate before adding the asphalt binder shall be not less than 135°C nor more than 165°C.
3. Asphalt treated permeable base stored in excess of 2 hours shall not be used in the work.
4. The aggregate shall be combined with 2.5 percent paving asphalt by mass of the dry aggregate. After testing samples of the Contractor's proposed aggregate supply, the Engineer may order an increase or decrease in the asphalt content. If an increase or decrease is ordered, and the increase or decrease exceeds the specified amount by more than 0.1-percent by mass of the dry aggregate, the compensation payable to the Contractor for the asphalt treated permeable base will be increased or decreased on the basis of the total increase or decrease in asphalt.
5. The asphalt content of the asphalt mixture will be determined, at the option of the Engineer, by extraction tests in conformance with the requirements in California Test 310 or 362, or will be determined in conformance with the requirements in California Test 379. The bitumen ratio kilograms of asphalt per 100 kg of dry aggregate shall not vary by more than 0.5-kg of asphalt above or 0.5-kg of asphalt below the amount designated by the Engineer. Compliance with this requirement will be determined either by taking samples from trucks at the plant or from the mat behind the paver before rolling. If the sample is taken from the mat behind the paver, the bitumen ratio shall be not less than the amount designated by the Engineer, less 0.7-kg of asphalt per 100 kg of dry aggregate.

In Section 29-1.04B replace the 2nd paragraph with:

Cement treated permeable base shall contain not less than 170 kg of cement per cubic meter.

In Section 29-1.05 replace the 1st paragraph with:

Asphalt treated permeable base shall be spread and compacted as specified for hot mix asphalt under the "Method" construction process in Section 39, "Hot Mix Asphalt," and these specifications.

In Section 29-1.07 replace the 2nd paragraph with:

Hardened treated permeable base with a surface lower than 15 mm below the grade established by the Engineer shall be removed and replaced with treated permeable base which complies with these specifications, or if permitted by the Engineer, the low areas shall be filled with pavement material as follows:

1. When pavement material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as pavement. This shall be done as a separate operation prior to placing the lowest layer of pavement.
2. When pavement material is portland cement concrete, the low areas shall be filled with pavement concrete at the time and in the same operation in which the pavement is placed.
3. Full compensation for filling low areas will be considered as included in the contract price paid per cubic meter for treated permeable base and no additional compensation will be allowed therefor.

^^

SECTION 37 BITUMINOUS SEALS
(Issued 08-17-07)

In Section 37-1.03 replace the 4th, 5th, and 6th paragraphs with:

On 2-lane two-way roadways, W8-7 "LOOSE GRAVEL" signs and W13-1 (35) speed advisory signs shall be furnished and placed adjacent to both sides of the traveled way where screenings are being spread on a traffic lane. The first W8-7 sign in each direction shall be placed where traffic first encounters loose screenings, regardless of which lane the screenings are being spread on. The W13-1 (35) signs need not be placed in those areas with posted speed limits of less than 40 MPH. The signs shall be placed at maximum 600-m intervals along each side of the traveled way and at public roads or streets entering the seal coat area as directed by the Engineer.

On multilane roadways (freeways, expressways and multilane conventional highways) where screenings are being spread on a traffic lane, W8-7 "LOOSE GRAVEL" signs and W13-1 (35) speed advisory signs shall be furnished and placed adjacent to the outside edge of the traveled way nearest to the lane being worked on. The first W8-7 sign shall be placed where the screenings begin with respect to the direction of travel on that lane. The W13-1 (35) signs need not be placed in those areas with posted speed limits of less than 40 MPH. The signs shall be placed at maximum 600-m intervals along the edge of traveled way and at on-ramps, public roads or streets entering the seal coat area as directed by the Engineer.

The W8-7 and W13-1 signs shall be maintained in place at each location until final brooming of the seal coat surface at that location is completed. The W8-7 and W13-1 signs shall conform to the provisions for construction area signs in Section 12, "Construction Area Traffic Control Devices." The signs may be set on temporary portable supports with the W13-1 below the W8-7 or on barricades with the W13-1 sign alternating with the W8-7 sign.

In Section 37-1.07 replace the 2nd paragraph with:

Rollers shall be oscillating type pneumatic-tired rollers. A minimum of 2 pneumatic-tired rollers conforming to the provisions in Section 39-3.03 "Spreading and Compacting Equipment," shall be furnished.

In Section 37-1.09 replace the 2nd paragraph with:

The above prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in applying seal coat, complete in place, including furnishing, placing, maintaining, and removing W8-7 and W13-1 signs, when required, and temporary supports or barricades for the signs, as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

SECTION 39 ASPHALT CONCRETE
(Issued 03-18-09)

Replace Section 39 with:
SECTION 39 HOT MIX ASPHALT

39-1 GENERAL

39-1.01 DESCRIPTION

Section 39 includes specifications for producing and placing hot mix asphalt (HMA) by mixing aggregate and asphalt binder at a mixing plant and spreading and compacting the HMA mixture.

The special provisions specify one or more type of HMA, including:

1. Type A
2. Type B
3. Open graded friction course (OGFC). OGFC includes hot mix asphalt (open graded), rubberized hot mix asphalt (open graded) (RHMA-O) and rubberized hot mix asphalt (open graded high binder) (RHMA-O-HB)
4. Rubberized hot mix asphalt (gap graded) (RHMA-G)

The special provisions specify the HMA construction process, including:

1. Standard
2. Method
3. Quality Control / Quality Assurance (QC / QA)

39-1.02 MATERIALS

39-1.02A Geosynthetic Pavement Interlayer

Geosynthetic pavement interlayer must comply with the specifications for pavement reinforcing fabric in Section 88, "Engineering Fabrics."

39-1.02B Tack Coat

Tack coat must comply with the specifications for asphaltic emulsion in Section 94, "Asphaltic Emulsion," or asphalt binder in Section 92, "Asphalts." Choose the type and grade.

Notify the Engineer if you dilute asphaltic emulsion with water. The mass ratio of added water to asphaltic emulsion must not exceed 1 to 1.

Measure added water either by mass or volume in compliance with the specifications for weighing, measuring, and metering devices under Section 9-1.01, "Measurement of Quantities," or you may use water meters from water districts, cities, or counties. If you measure water by volume, apply a conversion factor to determine the correct mass.

With each dilution, submit in writing:

1. The mass ratio of water to bituminous material in the original asphaltic emulsion
2. The mass of asphaltic emulsion before diluting
3. The mass of added water
4. The final dilution mass ratio of water to asphaltic emulsion

39-1.02C Asphalt Binder

Asphalt binder in HMA must comply with Section 92, "Asphalts," or Section 39-1.02D, "Asphalt Rubber Binder." The special provisions specify the grade.

Asphalt binder for geosynthetic pavement interlayer must comply with Section 92, "Asphalts." Choose from Grades PG 64-10, PG 64-16, or PG 70-10.

39-1.02D Asphalt Rubber Binder

General

Use asphalt rubber binder in RHMA-G, RHMA-O, and RHMA-O-HB. Asphalt rubber binder must be a combination of:

1. Asphalt binder
2. Asphalt modifier
3. Crumb rubber modifier (CRM)

The combined asphalt binder and asphalt modifier must be 80.0 ± 2.0 percent by mass of the asphalt rubber binder.

Asphalt Modifier

Asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon, and comply with:

Asphalt Modifier for Asphalt Rubber Binder

Quality Characteristic	ASTM	Specification
Viscosity, m^2/s (x 10^{-6}) at 100 °C	D 445	X ± 3 [a]
Flash Point, CL.O.C., °C	D 92	207 minimum
Molecular Analysis		
Asphaltenes, percent by mass	D 2007	0.1 maximum
Aromatics, percent by mass	D 2007	55 minimum

Note:
[a] The symbol "X" is the proposed asphalt modifier viscosity. "X" must be between 19 and 36. A change in "X" requires a new asphalt rubber binder design.

Asphalt modifier must be from 2.0 percent to 6.0 percent by mass of the asphalt binder in the asphalt rubber binder.

Crumb Rubber Modifier

CRM consists of a ground or granulated combination of scrap tire CRM and high natural CRM. CRM must be 75.0 ± 2.0 percent scrap tire CRM and 25.0 ± 2.0 percent high natural CRM by total mass of CRM. Scrap tire CRM must be from any combination of automobile tires, truck tires, or tire buffings.

Sample and test scrap tire CRM and high natural CRM separately. CRM must comply with:

Crumb Rubber Modifier for Asphalt Rubber Binder

Quality Characteristic	Test Method	Specification
Scrap tire CRM gradation (% passing 2.36-mm sieve)	LP-10	100
High natural CRM gradation (% passing 2.00-mm sieve)	LP-10	100
Wire in CRM (% max.)	LP-10	0.01
Fabric in CRM (% max.)	LP-10	0.05
CRM particle length (mm max.) [a]	--	4.75
CRM specific gravity [a]	CT 208	1.1 – 1.2
Natural rubber content in high natural CRM (%) [a]	ASTM D 297	40.0 – 48.0

Note:
[a] Test at mix design and for Certificate of Compliance.

Only use CRM ground and granulated at ambient temperature. If steel and fiber are cryogenically separated, it must occur before grinding and granulating. Only use cryogenically produced CRM particles that can be ground or granulated and not pass through the grinder or granulator.

CRM must be dry, free-flowing particles that do not stick together. CRM must not cause foaming when combined with the asphalt binder and asphalt modifier. You may add calcium carbonate or talc up to 3 percent by mass of CRM.

Asphalt Rubber Binder Design and Profile

Submit in writing an asphalt rubber binder design and profile. In the design, designate the asphalt, asphalt modifier, and CRM and their proportions. The profile is not a specification and only serves to indicate expected trends in asphalt rubber

binder properties during binder production. The profile must include the same component sources for the asphalt rubber binder used.

Design the asphalt rubber binder from testing you perform for each quality characteristic and for the reaction temperatures expected during production. The 24-hour (1,440-minute) interaction period determines the design profile. At a minimum, mix asphalt rubber binder components, take samples, and perform and record the following tests:

Asphalt Rubber Binder Reaction Design Profile

Test	Minutes of Reaction [a]							Limits
	45	60	90	120	240	360	1440	
Cone penetration @ 77 °F, 0.10 mm (ASTM D 217)	X [b]				X		X	25 - 70
Resilience @ 77 °F, percent rebound (ASTM D 5329)	X				X		X	18 min.
Field softening point, °F (ASTM D 36)	X				X		X	125 - 165
Viscosity, centipoises (LP-11)	X	X	X	X	X	X	X	1,500 - 4,000

Notes:
[a] Six hours (360 minutes) after CRM addition, reduce the oven temperature to 135 °C for a period of 16 hours. After the 16-hour (1320 minutes) cool-down after CRM addition, reheat the binder to the reaction temperature expected during production for sampling and testing at 24 hours (1440 minutes).
[b] "X" denotes required testing

Asphalt Rubber Binder

After interacting for a minimum of 45 minutes, asphalt rubber binder must comply with:

Asphalt Rubber Binder

Quality Characteristic	Test for Quality Control or Acceptance	Test Method	Specification	
			Minimum	Maximum
Cone penetration @ 77 °F, 0.10 mm	Acceptance	ASTM D 217	25	70
Resilience @ 77 °F, percent rebound	Acceptance	ASTM D 5329	18	--
Field softening point, °F	Acceptance	ASTM D 36	125	165
Viscosity @ 177 °C, centipoises	Quality Control	LP-11	1,500	4,000

39-1.02E Aggregate

Aggregate must be clean and free from deleterious substances. Aggregate:

1. Retained on the 4.75-millimeter sieve is coarse
2. Passing the 4.75-millimeter sieve is fine
3. Added and passing the 0.6-millimeter sieve is supplemental fine, including:

   3.1. Hydrated lime
   3.2. Portland cement
   3.3. Fines from dust collectors

The special provisions specify the aggregate gradation for each HMA type.

The specified aggregate gradation is before the addition of asphalt binder and includes supplemental fines. The Engineer tests for aggregate grading under California Test 202, modified by California Test 105 if there is a difference in specific gravity of 0.2 or more between the coarse and fine parts of different aggregate blends.

Choose a sieve size target value (TV) within each target value limit presented in the aggregate gradation tables.

Aggregate Gradation
(Percentage Passing)
HMA Types A and B

19-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
25-mm	100	—
19-mm	90 - 100	TV ±5
12.5-mm	70 - 90	TV ±6
4.75-mm	45 - 55	TV ±7
2.36-mm	32 - 40	TV ±5
0.6-mm	12 - 21	TV ±4
0.075-mm	2 - 7	TV ±2

12.5-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
19-mm	100	—
12.5-mm	95 - 99	TV ±6
9.5-mm	75 - 95	TV ±6
4.75-mm	55 - 66	TV ±7
2.36-mm	38 - 49	TV ±5
0.6-mm	15 - 27	TV ±4
0.075-mm	2 - 8	TV ±2

9.5-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
12.5-mm	100	—
9.5-mm	95 - 100	TV ±6
4.75-mm	58 - 72	TV ±7
2.36-mm	34 - 48	TV ±6
0.6-mm	18 - 32	TV ±5
0.075-mm	2 - 9	TV ±2

4.75-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
9.5-mm	100	—
4.75-mm	95 - 100	TV ±7
2.36-mm	72 - 77	TV ±7
0.6-mm	37 - 43	TV ±7
0.075-mm	2 - 12	TV ±4

Rubberized Hot Mix Asphalt - Gap Graded (RHMA-G)

19-mm RHMA-G

Sieve Sizes	Target Value Limits	Allowable Tolerance
25-mm	100	—
19-mm	95 - 100	TV ±5
12.5-mm	83 - 87	TV ±6
9.5-mm	65 - 70	TV ±6
4.75-mm	28 - 42	TV ±7
2.36-mm	14 - 22	TV ±5
0.075-mm	0 - 6	TV ±2

12.5-mm RHMA-G

Sieve Sizes	Target Value Limits	Allowable Tolerance
19-mm	100	—
12.5-mm	90 - 100	TV ±6
9.5-mm	83 - 87	TV ±6
4.75-mm	28 - 42	TV ±7
2.36-mm	14 - 22	TV ±5
0.075-mm	0 - 6	TV ±2

Open Graded Friction Course (OGFC)

25-mm OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
37.5-mm	100	—
25-mm	99 - 100	TV ±5
19-mm	85 - 96	TV ±5
12.5-mm	55 - 71	TV ±6
4.75-mm	10 - 25	TV ±7
2.36-mm	6 - 16	TV ±5
0.075-mm	1 - 6	TV ±2

12.5-mm OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
19-mm	100	—
12.5-mm	95 - 100	TV ±6
9.5-mm	78 - 89	TV ±6
4.75-mm	28 - 37	TV ±7
2.36-mm	7 - 18	TV ±5
0.6-mm	0 - 10	TV ±4
0.075-mm	0 - 3	TV ±2

9.5-mm OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
12.5-mm	100	—
9.5-mm	90 - 100	TV ±6
4.75-mm	29 - 36	TV ±7
2.36-mm	7 - 18	TV ±6
0.6-mm	0 - 10	TV ±5
0.075-mm	0 - 3	TV ±2

Before the addition of asphalt binder and lime treatment, aggregate must comply with:

Aggregate Quality

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Percent of crushed particles	CT 205				
Coarse aggregate (% min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.)					
One fractured face		70	20	70	90
Los Angeles Rattler (% max.)	CT 211				
Loss at 100 Rev.		12	--	12	12
Loss at 500 Rev.		45	50	40	40
Sand equivalent (min.) [a]	CT 217	47	42	47	--
Fine aggregate angularity (% min.) [b]	AASHTO T 304 Method A	45	45	45	--
Flat and elongated particles (% max. by mass @ 5:1)	ASTM D 4791	10	10	10	10

Notes:
[a] Reported value must be the average of 3 tests from a single sample.
[b] The Engineer waives this specification if HMA contains less than 10 percent of nonmanufactured sand by mass of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

39-1.02F Reclaimed Asphalt Pavement

You may produce HMA using reclaimed asphalt pavement (RAP). HMA produced using RAP must comply with the specifications for HMA except aggregate quality specifications do not apply to RAP. You may substitute RAP aggregate for a part of the virgin aggregate in HMA in a quantity not exceeding 15.0 percent of the aggregate blend. Do not use RAP in OGFC and RHMA-G.

Assign the substitution rate of RAP aggregate for virgin aggregate with the job mix formula (JMF) submittal. The JMF must include the percent of RAP used. If you change your assigned RAP aggregate substitution rate by more than 5 percent (within the 15.0 percent limit), submit a new JMF.

Process RAP from asphalt concrete. You may process and stockpile RAP throughout the project's life. Prevent material contamination and segregation. Store RAP in stockpiles on smooth surfaces free of debris and organic material. Processed RAP stockpiles must consist only of homogeneous RAP.

39-1.03 HOT MIX ASPHALT MIX DESIGN REQUIREMENTS

39-1.03A General

A mix design consists of performing California Test 367 and laboratory procedures on combinations of aggregate gradations and asphalt binder contents to determine the optimum binder content (OBC) and HMA mixture qualities. If RAP is used, use Laboratory Procedure LP-9. The result of the mix design becomes the proposed JMF.

Use Form CEM-3512 to document aggregate quality and mix design data. Use Form CEM-3511 to present the JMF.

Laboratories testing aggregate qualities and preparing the mix design and JMF must be qualified under the Department's Independent Assurance Program. Take samples under California Test 125.

The Engineer reviews the aggregate qualities, mix design, and JMF and verifies and accepts the JMF.

You may change the JMF during production. Do not use the changed JMF until the Engineer accepts it. Except when adjusting the JMF in compliance with Section 39-1.03E, "Job Mix Formula Verification," perform a new mix design and submit in writing a new JMF submittal for changing any of the following:

1. Target asphalt binder percentage
2. Asphalt binder supplier
3. Asphalt rubber binder supplier
4. Component materials used in asphalt rubber binder or percentage of any component materials
5. Combined aggregate gradation
6. Aggregate sources
7. Substitution rate for RAP aggregate of more than 5 percent

8. Any material in the JMF

For OGFC, submit in writing a complete JMF submittal except asphalt binder content. The Engineer determines the asphalt binder content under California Test 368 within 20 days of your complete JMF submittal and provides you a Form CEM-3513.

39-1.03B Hot Mix Asphalt Mix Design

Perform a mix design that produces HMA in compliance with:

Hot Mix Asphalt Mix Design Requirements

Quality Characteristic	Test Method	HMA Type		
		A	B	RHMA-G
Air voids content (%)	CT 367[a]	4.0	4.0	Special Provisions
Voids in mineral aggregate (% min.)	LP-2			
4.75-mm grading		17.0	17.0	--
9.5-mm grading		15.0	15.0	--
12.5-mm grading		14.0	14.0	18.0 – 23.0[b]
19-mm grading		13.0	13.0	18.0 – 23.0[b]
Voids filled with asphalt (%)	LP-3			
4.75-mm grading		76.0 – 80.0	76.0 – 80.0	Note d
9.5-mm grading		73.0 – 76.0	73.0 – 76.0	
12.5-mm grading		65.0 – 75.0	65.0 – 75.0	
19-mm grading		65.0 – 75.0	65.0 – 75.0	
Dust proportion	LP-4			
4.75-mm and 9.5-mm gradings		0.9 – 2.0	0.9 – 2.0	Note d
12.5-mm and 19-mm gradings		0.6 – 1.3	0.6 – 1.3	
Stabilometer value (min.) [c]	CT 366			
4.75-mm and 9.5-mm gradings		30	30	--
12.5-mm and 19-mm gradings		37	35	23

Notes:

[a] Calculate the air voids content of each specimen using California Test 309 and Lab Procedure LP-1. Modify California Test 367, Paragraph C5, to use the exact air voids content specified in the selection of OBC.
[b] Voids in mineral aggregate for RHMA-G must be within this range.
[c] Modify California Test 304, Part 2.B.2.c: "After compaction in the compactor, cool to 60 °± 3 °C by allowing the briquettes to cool at room temperature for 0.5-hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[d] Report this value in the JMF submittal.

For stability and air voids, prepare 3 briquettes at the OBC and test for compliance. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 8 points. The average air void content may vary from the specified air void content by ±0.5 percent.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use the same briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

39-1.03C Job Mix Formula Submittal

Each JMF submittal must consist of:

1. Proposed JMF on Form CEM-3511
2. Mix design documentation on Form CEM-3512 dated within 12 months of submittal
3. JMF verification on Form CEM-3513, if applicable
4. Materials Safety Data Sheets (MSDS) for:

   4.1. Asphalt binder
   4.2. Base asphalt binder used in asphalt rubber binder
   4.3. CRM and asphalt modifier used in asphalt rubber binder

4.4. Blended asphalt rubber binder mixture
4.5. Supplemental fine aggregate except fines from dust collectors
4.6. Antistrip additives

If the JMF must be verified or if the Engineer requests, submit samples of the following materials in labeled containers weighing no more than 22.5 kg each (notify the Engineer at least 2 business days before sampling materials):

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.
3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.
4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

39-1.03D Job Mix Formula Review

The Engineer reviews each mix design and proposed JMF within 5 business days from the complete JMF submittal. The review consists of reviewing the mix design procedures and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

39-1.03E Job Mix Formula Verification

If you cannot submit a Department-verified JMF on Form CEM-3513 dated within 12 months before HMA production, the Engineer verifies the JMF.

Based on your testing and production experience, you may submit on Form CEM-3511 an adjusted JMF before the Engineer's verification testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to ±0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

Test samples from the HMA plant to be used to determine possible JMF adjustments.

For HMA Type A, Type B, and RHMA-G, the Engineer verifies the JMF from samples taken from HMA produced by the plant to be used. The Engineer verifies each proposed JMF within 20 days of receiving verification samples. Verification is testing for compliance with the specifications for:

1. Aggregate quality
2. Aggregate gradation (JMF TV ± tolerance)
3. Asphalt binder content (JMF TV ± tolerance)
4. HMA quality specified in the table Hot Mix Asphalt Mix Design Requirements except:

   4.1. Air voids content (design value ± 2.0 percent)
   4.2. Voids filled with asphalt (report only if an adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC)
   4.3. Dust proportion (report only if an adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC)

If you request in writing, the Engineer verifies RHMA-G quality requirements within 3 business days of sampling.

In the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Sample RAP from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample from any of the following locations:

1. The plant
2. A truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

You may sample from a different project including a non-Department project if you make arrangements for the Engineer to be present during sampling.

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and use 1 part for your testing.

The Engineer prepares 3 briquettes from a single split sample. To verify the JMF for stability, the Engineer tests the 3 briquettes and reports the average of 3 tests. The Engineer prepares new briquettes if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

If the Engineer verifies the JMF, the Engineer provides you a Form CEM-3513.

If the Engineer's tests on plant-produced samples do not verify the JMF, the Engineer notifies you in writing and you must submit a new JMF submittal or submit an adjusted JMF based on your testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to ±0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

You may adjust the JMF only once due to a failed verification test. An adjusted JMF requires a new Form CEM-3511 and verification of a plant-produced sample.

The Engineer reverifies the JMF if HMA production has stopped for longer than 30 days and the verified JMF is older than 12 months.

For each HMA type and aggregate size specified, the Engineer verifies at the State's expense up to 2 proposed JMF including a JMF adjusted after verification failure. The Engineer deducts $3,000 from payments for each verification exceeding this limit. This deduction does not apply to verifications initiated by the Engineer or if a JMF expires while HMA production is stopped longer than 30 days.

39-1.03F Job Mix Formula Renewal

You may request a JMF renewal by submitting the following:

1. Proposed JMF on Form CEM-3511
2. A previously verified JMF documented on Form CEM-3513 dated within 12 months
3. Mix design documentation on Form CEM-3512 used for the previously verified JMF
4. Samples of aggregates, asphalt binder, and additives
5. Samples of the following materials obtained in the presence of the Engineer and placed in labeled containers weighing no more than 22.5 kg each:

    5.1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.

    5.2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.

    5.3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.

    5.4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

Notify the Engineer in writing at least 2 business days before sampling materials. Split samples into at least 2 parts. You must test 1 part and provide the Engineer a part. The Engineer retains samples until you provide test results for your part on Form CEM-3514.

The Engineer reviews each proposed JMF within 5 business days from the complete JMF submittal and test results. The review consists of reviewing the mix design procedures, test results from the split samples and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

The Engineer verifies the JMF under Section 39-1.03E, "Job Mix Formula Verification," except:

1. The Engineer verifies each proposed JMF within 30 days of receiving verification samples.
2. You may not adjust the JMF due to a failed verification.
3. For each HMA type and aggregate gradation specified, the Engineer verifies at the State's expense 1 proposed JMF.
4. If the Engineer verifies the JMF renewal, the Engineer provides you a Form CEM-3513.

39-1.03G Job Mix Formula Acceptance

You may start HMA production if:

1. The Engineer's review of the JMF shows compliance with the specifications.
2. The Department has verified the JMF within 12 months before HMA production.
3. The Engineer accepts the verified JMF.

39-1.04 CONTRACTOR QUALITY CONTROL

39-1.04A General

Establish, maintain, and change a quality control system to ensure materials and work comply with the specifications. Submit quality control test results to the Engineer within 3 days of a request except when QC / QA is specified.

You must identify the HMA sampling location in your Quality Control Plan. During production, take samples under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

39-1.04B Prepaving Conference

Meet with the Engineer at a prepaving conference at a mutually agreed time and place. Discuss methods of performing the production and paving work.

39-1.04C Asphalt Rubber Binder

Take asphalt rubber binder samples from the feed line connecting the asphalt rubber binder tank to the HMA plant. Sample and test asphalt rubber binder under Laboratory Procedure LP-11.

Test asphalt rubber binder for compliance with the viscosity specifications in Section 39-1.02, "Materials." During asphalt rubber binder production and HMA production using asphalt rubber binder, measure viscosity every hour with not less than 1 reading for each asphalt rubber binder batch. Log measurements with corresponding time and asphalt rubber binder temperature. Submit the log daily in writing.

Submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance." With the Certificate of Compliance, submit test results in writing for CRM and asphalt modifier with each truckload delivered to the HMA plant. A Certificate of Compliance for asphalt modifier must not represent more than 2250 kg. Use an AASHTO-certified laboratory for testing.

Sample and test gradation and wire and fabric content of CRM once per 4500 kg of scrap tire CRM and once per 1500 kg of high natural CRM. Sample and test scrap tire CRM and high natural CRM separately.

Submit certified weight slips in writing for the CRM and asphalt modifier furnished.

39-1.04D Aggregate

Determine the aggregate moisture content and RAP moisture content in continuous mixing plants at least twice a day during production and adjust the plant controller. Determine the RAP moisture content in batch mixing plants at least twice a day during production and adjust the plant controller.

39-1.04E Reclaimed Asphalt Pavement

Perform RAP quality control testing each day.

Sample RAP once daily and determine the RAP aggregate gradation under Laboratory Procedure LP-9 and submit the results to the Engineer in writing with the combined aggregate gradation.

39-1.04F Density Cores

For Standard and QC / QA projects, take 100-millimeter or 150-millimeter diameter cores at least once every 5 business days. Take 1 core for every 250 tonnes of HMA from random locations the Engineer designates. Take cores in the Engineer's presence and backfill and compact holes with material authorized by the Engineer. Before submitting a core to the Engineer, mark it with the core's location and place it in a protective container.

If a core is damaged, replace it with a core taken within 0.3 m longitudinally from the original core. Relocate any core located within 0.3 m of a rumble strip to 0.3 m transversely away from the rumble strip.

39-1.04G Briquettes

Prepare 3 briquettes for each stability and air voids determination. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 12 points.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use these briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

39-1.05 ENGINEER'S ACCEPTANCE

The Engineer's acceptance of HMA is specified in the sections for each HMA construction process.

The Engineer samples materials for testing under California Test 125 and the applicable test method except samples may be taken from:

1. The plant from:

    1.1. A truck
    1.2. An automatic sampling device

2. The mat behind the paver

Sampling must be independent of Contractor quality control, statistically-based, and random.

If you request, the Engineer splits samples and provides you with a part.

The Engineer accepts HMA based on:

1. Accepted JMF
2. Accepted QCP for Standard and QC / QA
3. Compliance with the HMA Acceptance tables
4. Acceptance of a lot for QC / QA
5. Visual inspection

The Engineer prepares 3 briquettes for each stability and air voids determination. The Engineer reports the average of 3 tests. The Engineer prepares new briquettes and test if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

39-1.06 DISPUTE RESOLUTION

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer in writing within 5 days of receiving a test result if you dispute the test result.

If you or the Engineer dispute each other's test results, submit written quality control test results and copies of paperwork including worksheets used to determine the disputed test results to the Engineer. An Independent Third Party (ITP) performs referee testing. Before the ITP participates in a dispute resolution, the ITP must be accredited under the Department's Independent Assurance Program. The ITP must be independent of the project. By mutual agreement, the ITP is chosen from:

1. A Department laboratory
2. A Department laboratory in a district or region not in the district or region the project is located
3. The Transportation Laboratory
4. A laboratory not currently employed by you or your HMA producer

If split quality control or acceptance samples are not available, the ITP uses any available material representing the disputed HMA for evaluation.

39-1.07 PRODUCTION START-UP EVALUATION

The Engineer evaluates HMA production and placement at production start-up.

Within the first 750 tonnes produced on the first day of HMA production, in the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Take RAP samples from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and keep 1 part.

For Standard and QC / QA projects, you and the Engineer must test the split samples and report test results in writing within 3 business days of sampling. If you proceed before receipt of the test results, the Engineer may consider the HMA placed to be represented by these test results.

For Standard and QC / QA projects, take 100-millimeter or 150-millimeter diameter first 750 tonnes on the first day of HMA production. For each density core, the Engineer reports the bulk specific gravity determined under California Test 308, Method A in addition to the percent of maximum theoretical density. You may test for in-place density at the density core locations and include them in your production tests for percent of maximum theoretical density.

39-1.08 PRODUCTION

39-1.08A General

Produce HMA in a batch mixing plant or a continuous mixing plant. Proportion aggregate by hot or cold feed control.

HMA plants must be Department-qualified. Before production, the HMA plant must have a current qualification under the Department's Materials Plant Quality Program.

During production, you may adjust:

1. Hot or cold feed proportion controls for virgin aggregate and RAP
2. The set point for asphalt binder content

39-1.08B Mixing

Mix HMA ingredients into a homogeneous mixture of coated aggregates.

Asphalt binder must be between 135 °C and 190 °C when mixed with aggregate.

Asphalt rubber binder must be between 177 °C and 218 °C when mixed with aggregate.

When mixed with asphalt binder, aggregate must not be more than 163 °C except aggregate for OGFC with unmodified asphalt binder must be not more than 135 °C. Aggregate temperature specifications do not apply when you use RAP.

HMA with or without RAP must not be more than 163 °C.

39-1.08C Asphalt Rubber Binder

Deliver scrap tire CRM and high natural CRM in separate bags.

Either proportion and mix asphalt binder, asphalt modifier, and CRM simultaneously or premix the asphalt binder and asphalt modifier before adding CRM. If you premix asphalt binder and asphalt modifier, mix them for at least 20 minutes. When you add CRM, the asphalt binder and asphalt modifier must be between 177 °C and 218 °C.

Do not use asphalt rubber binder during the first 45 minutes of the reaction period. During this period, the asphalt rubber binder mixture must be between 177 °C and the lower of 218 °C or 6 °C below the asphalt binder's flash point indicated in the MSDS.

If any asphalt rubber binder is not used within 4 hours after the reaction period, discontinue heating. If the asphalt rubber binder drops below 177 °C, reheat before use. If you add more scrap tire CRM to the reheated asphalt rubber binder, the binder must undergo a 45-minute reaction period. The added scrap tire CRM must not exceed 10 percent of the total asphalt rubber binder mass. Reheated and reacted asphalt rubber binder must comply with the viscosity specifications for asphalt rubber binder in Section 39-1.02, "Materials." Do not reheat asphalt rubber binder more than twice.

39-1.09 SUBGRADE, TACK COAT, AND GEOSYNTHETIC PAVEMENT INTERLAYER

39-1.09A General

Prepare subgrade or apply tack coat to surfaces receiving HMA. If specified, place geosynthetic pavement interlayer over a coat of asphalt binder.

39-1.09B Subgrade

Subgrade to receive HMA must comply with the compaction and elevation tolerance specifications in the sections for the material involved. Subgrade must be free of loose and extraneous material. If HMA is paved on existing base or pavement, remove loose paving particles, dirt, and other extraneous material by any means including flushing and sweeping.

39-1.09C Tack Coat

Apply tack coat:

1. To existing pavement including planed surfaces
2. Between HMA layers
3. To vertical surfaces of:

    3.1. Curbs
    3.2. Gutters
    3.3. Construction joints

Before placing HMA, apply tack coat in 1 application at the minimum residual rate specified for the condition of the underlying surface:

Tack Coat Application Rates for HMA Type A, Type B, and RHMA-G

HMA over:	Minimum Residual Rates (liters per square meter)		
	CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion	CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion	Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion
New HMA (between layers)	0.09	0.14	0.09
Existing AC and PCC pavement	0.14	0.18	0.14
Planed pavement	0.23	0.27	0.18

Tack Coat Application Rates for OGFC

OGFC over:	Minimum Residual Rates (liters per square meter)		
	CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion	CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion	Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion
New HMA	0.14	0.18	0.14
Existing AC and PCC pavement	0.23	0.27	0.18
Planed pavement	0.27	0.32	0.23

If you dilute asphaltic emulsion, mix until homogeneous before application.

Apply to vertical surfaces with a residual tack coat rate that will thoroughly coat the vertical face without running off.

If you request in writing and the Engineer authorizes, you may:

1. Change tack coat rates
2. Omit tack coat between layers of new HMA during the same work shift if:

    2.1. No dust, dirt, or extraneous material is present
    2.2. The surface is at least 60 °C

Immediately in advance of placing HMA, apply additional tack coat to damaged areas or where loose or extraneous material is removed.

Close areas receiving tack coat to traffic. Do not track tack coat onto pavement surfaces beyond the job site.

Asphalt binder tack coat must be between 140 °C and 175 °C when applied.

39-1.09D Geosynthetic Pavement Interlayer

Place geosynthetic pavement interlayer in compliance with the manufacturer's recommendations.

Before placing the geosynthetic pavement interlayer and asphalt binder:

1. Repair cracks 6.5 mm and wider, spalls, and holes in the pavement. The State pays for this repair work under Section 4-1.03D, "Extra Work."
2. Clean the pavement of loose and extraneous material.

Immediately before placing the interlayer, apply 1.13 L ± 0.14 L of asphalt binder per square meter of interlayer or until the fabric is saturated. Apply asphalt binder the width of the geosynthetic pavement interlayer plus 75 mm on each side. At interlayer overlaps, apply asphalt binder on the lower interlayer the same overlap distance as the upper interlayer.

Align and place the interlayer with no overlapping wrinkles, except a wrinkle that overlaps may remain if it is less than 12.5 mm thick. If the overlapping wrinkle is more than 12.5 mm thick, cut the wrinkle out and overlap the interlayer no more than 50 mm.

The minimum HMA thickness over the interlayer must be 35 mm thick including conform tapers. Do not place the interlayer on a wet or frozen surface.

Overlap the interlayer borders between 50 mm and 100 mm. In the direction of paving, overlap the following roll with the preceding roll at any break.

You may use rolling equipment to correct distortions or wrinkles in the interlayer.

If asphalt binder tracked onto the interlayer or brought to the surface by construction equipment causes interlayer displacement, cover it with a small quantity of HMA.

Before placing HMA on the interlayer, do not expose the interlayer to:

1. Traffic except for crossings under traffic control and only after you place a small HMA quantity
2. Sharp turns from construction equipment
3. Damaging elements

Pave HMA on the interlayer during the same work shift.

39-1.10 Spreading And Compacting Equipment

Paving equipment for spreading must be:

1. Self-propelled
2. Mechanical
3. Equipped with a screed or strike-off assembly that can distribute HMA the full width of a traffic lane
4. Equipped with a full-width compacting device
5. Equipped with automatic screed controls and sensing devices that control the thickness, longitudinal grade, and transverse screed slope

Install and maintain grade and slope references.

The screed must produce a uniform HMA surface texture without tearing, shoving, or gouging.

The paver must not leave marks such as ridges and indentations unless you can eliminate them by rolling.

Rollers must be equipped with a system that prevents HMA from sticking to the wheels. You may use a parting agent that does not damage the HMA or impede the bonding of layers.

In areas inaccessible to spreading and compacting equipment:

1. Spread the HMA by any means to obtain the specified lines, grades and cross sections.
2. Use a pneumatic tamper, plate compactor, or equivalent to achieve thorough compaction.

39-1.11 Transporting, Spreading, And Compacting

Do not pave HMA on a wet pavement or frozen surface.

You may deposit HMA in a windrow and load it in the paver if:

1. Paver is equipped with a hopper that automatically feeds the screed
2. Loading equipment can pick up the windrowed material and deposit it in the paver hopper without damaging base material
3. Activities for deposit, pick-up, loading, and paving are continuous
4. HMA temperature in the windrow does not fall below 127 °C

You may pave HMA in 1 or more layers on areas less than 1.5 m wide and outside the traveled way including shoulders. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture.

HMA handled, spread, or windrowed must not stain the finished surface of any improvement including pavement.

Do not use petroleum products such as kerosene or diesel fuel to release HMA from trucks, spreaders, or compactors.

HMA must be free of:

1. Segregation
2. Coarse or fine aggregate pockets
3. Hardened lumps

Longitudinal joints in the top layer must match specified lane edges. Alternate longitudinal joint offsets in lower layers at least 0.15 m from each side of the specified lane edges. You may request in writing other longitudinal joint placement patterns.

Until the adjoining through lane's top layer has been paved, do not pave the top layer of:

1. Shoulders

2. Tapers
3. Transitions
4. Road connections
5. Driveways
6. Curve widenings
7. Chain control lanes
8. Turnouts
9. Turn pockets

If the number of lanes change, pave each through lane's top layer before paving a tapering lane's top layer. Simultaneous to paving a through lane's top layer, you may pave an adjoining area's top layer including shoulders. Do not operate spreading equipment on any area's top layer until completing final compaction.

If HMA (leveling) is specified, fill and level irregularities and ruts with HMA before spreading HMA over base, existing surfaces, or bridge decks. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture. HMA used to change an existing surface's cross slope or profile is not HMA (leveling).

If placing HMA against the edge of existing pavement, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. If placing HMA against the edge of a longitudinal or transverse construction joint and the joint is damaged or not placed to a neat line, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. Repair or remove and replace damaged pavement at your expense.

Rolling must leave the completed surface compacted and smooth without tearing, cracking, or shoving. Complete finish rolling activities before the pavement surface temperature is:

1. Below 65 °C for HMA with unmodified binder
2. Below 60 °C for HMA with modified binder
3. Below 93 °C for RHMA-G

If a vibratory roller is used as a finish roller, turn the vibrator off.

Do not use a pneumatic tired roller to compact RHMA-G.

For Standard and QC/QA, if a 19-millimeter aggregate grading is specified, you may use a 12.5-millimeter aggregate grading if the specified paved thickness is from between 38 mm and 60 mm thick.

Spread and compact HMA under Section 39-3.03, "Spreading and Compacting Equipment," and Section 39-3.04, "Transporting, Spreading, and Compacting," for any of the following:

1. Specified paved thickness is less than 45 mm.
2. Specified paved thickness is less than 60 mm and a 19-millimeter aggregate grading is specified and used.
3. You spread and compact at:

    3.1. Asphalt concrete surfacing replacement areas
    3.2. Leveling courses
    3.3. Areas the Engineer determines conventional compaction and compaction measurement methods are impeded

Do not allow traffic on new HMA pavement until its mid-depth temperature is below 71 °C.

If you request in writing and the Engineer authorizes, you may cool HMA Type A and Type B with water when rolling activities are complete. Apply water under Section 17, "Watering."

Spread sand at a rate between 0.5 kg and 1 kg per square meter on new RHMA-G, RHMA-O, and RHMA-O-HB pavement when finish rolling is complete. Sand must be free of clay or organic matter. Sand must comply with Section 90-3.03, "Fine Aggregate Grading." Keep traffic off the pavement until spreading sand is complete.

39-1.12 SMOOTHNESS

39-1.12A General

Determine HMA smoothness with a profilograph and a straightedge.

Smoothness specifications do not apply to OGFC placed on existing pavement not constructed under the same project.

If portland cement concrete is placed on HMA:

1. Cold plane the HMA finished surface to within specified tolerances if it is higher than the grade specified by the Engineer.
2. Remove and replace HMA if the finished surface is lower than 15 mm below the grade specified by the Engineer.

39-1.12B Straightedge

The HMA pavement top layer must not vary from the lower edge of a 3.66-meter long straightedge:

1. More than 3 mm when the straight edge is laid parallel with the centerline
2. More than 6 mm when the straightedge is laid perpendicular to the centerline and extends from edge to edge of a traffic lane
3. More than 6 mm when the straightedge is laid within 7.3 m of a pavement conform

39-1.12C Profilograph

Under California Test 526, determine the zero (null) blanking band Profile Index (PI_0) and must-grinds on the top layer of HMA Type A, Type B, and RHMA-G pavement. Take 2 profiles within each traffic lane, 1 m from and parallel with the edge of each lane.

A must-grind is a deviation of 7.5 mm or more in a length of 7.5 m. You must correct must-grinds.

For OGFC, only determine must-grinds when placed over HMA constructed under the same project. The top layer of the underlying HMA must comply with the smoothness specifications before placing OGFC.

Profile pavement in the Engineer's presence. Choose the time of profiling.

On tangents and horizontal curves with a centerline radius of curvature 600 m or more, the PI_0 must be at most 75 mm per 160-meter section.

On horizontal curves with a centerline radius of curvature between 300 m and 600 m including pavement within the superelevation transitions, the PI_0 must be at most 150 mm per 160-meter section.

Before the Engineer accepts HMA pavement for smoothness, submit written final profilograms.

Submit 1 electronic copy of profile information in Microsoft Excel and 1 electronic copy of longitudinal pavement profiles in ".erd" format or other ProVAL compatible format to the Engineer and to:

Smoothness@dot.ca.gov

The following HMA pavement areas do not require a PI_0. You must measure these areas with a 3.6-meter straightedge and determine must-grinds with a profilograph:

1. New HMA with a total thickness less than or equal to 75 mm
2. HMA sections of city or county streets and roads, turn lanes and collector lanes that are less than 460 m in length

The following HMA pavement areas do not require a PI_0. You must measure these areas with a 3.6-meter straightedge:

1. Horizontal curves with a centerline radius of curvature less than 300 m including pavement within the superelevation transitions of those curves
2. Within 3.66 m of a transverse joint separating the pavement from:

    2.1. Existing pavement not constructed under the same project
    2.2. A bridge deck or approach slab

3. Exit ramp termini, truck weigh stations, and weigh-in-motion areas
4. If steep grades and superelevation rates greater than 6 percent are present on:

    4.1. Ramps
    4.2. Connectors

5. Turn lanes
6. Areas within 5 m of manholes or drainage transitions
7. Acceleration and deceleration lanes for at-grade intersections
8. Shoulders and miscellaneous areas
9. HMA pavement within 1 m from and parallel to the construction joints formed between curbs, gutters, or existing pavement

39-1.12D Smoothness Correction

If the top layer of HMA Type A, Type B, or RHMA-G pavement does not comply with the smoothness specifications, grind the pavement to within tolerances, remove and replace it, or place a layer of HMA. The Engineer must authorize your choice of correction before the work begins.

Remove and replace the areas of OGFC not in compliance with the must-grind and straightedge specifications, except you may grind OGFC for correcting smoothness:

1. At a transverse joint separating the pavement from pavement not constructed under the same project
2. Within 3.66 m of a transverse joint separating the pavement from a bridge deck or approach slab

Corrected HMA pavement areas must be uniform rectangles with edges:

1. Parallel to the nearest HMA pavement edge or lane line
2. Perpendicular to the pavement centerline

Measure the corrected HMA pavement surface with a profilograph and a 3.66-meter straightedge and correct the pavement to within specified tolerances. If a must-grind area or straightedged pavement cannot be corrected to within specified tolerances, remove and replace the pavement.

On ground areas not overlaid with OGFC, apply fog seal coat under Section 37-1, "Seal Coats."

39-1.13 MISCELLANEOUS AREAS AND DIKES

Miscellaneous areas are outside the traveled way and include:

1. Median areas not including inside shoulders
2. Island areas
3. Sidewalks
4. Gutters
5. Gutter flares
6. Ditches
7. Overside drains
8. Aprons at the ends of drainage structures

Spread miscellaneous areas in 1 layer and compact to the specified lines and grades.

For miscellaneous areas and dikes:

1. Do not submit a JMF.
2. Choose the 9.5-millimeter or 12.5-millimeter HMA Type A and Type B aggregate gradations.
3. Minimum asphalt binder content must be 6.8 percent for 9.5-millimeter aggregate and 6.0 percent for 12.5-millimeter aggregate. If you request in writing and the Engineer authorizes, you may reduce the minimum asphalt binder content.
4. Choose asphalt binder Grade PG 70-10 or the same grade specified for HMA.

39-1.14 RUMBLE STRIP

Construct rumble strips by rolling or grinding indentations in the top layer of new HMA surfacing.

Select the method and equipment for constructing ground-in indentations.

Do not construct rumble strips on structures or approach slabs.

Construct rumble strips within 50 mm of the specified alignment. Roller or grinding equipment must be equipped with a sighting device enabling the operator to maintain the rumble strip alignment.

Rolled-in indentations must not vary from the specified dimensions by more than 10 percent.

Ground-in indentations must comply with the specified dimensions within 1.5 mm in depth or 10 percent in length and width.

The Engineer orders grinding or removal and replacement of noncompliant rumble strips to bring them within specified tolerances. Ground surface areas must be neat and uniform in appearance.

The grinding equipment must be equipped with a vacuum attachment to remove residue.

Dispose of removed material under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way."

On ground areas, apply fog seal coat under Section 37-1, "Seal Coats."

39-2 STANDARD

39-2.01 DESCRIPTION

If HMA is specified as Standard, construct it under Section 39-1, "General," this Section 39-2, "Standard," and Section 39-5, "Measurement and Payment."

39-2.02 CONTRACTOR QUALITY CONTROL

39-2.02A Quality Control Plan

Establish, implement, and maintain a Quality Control Plan (QCP) for HMA. The QCP must describe the organization and procedures you will use to:

1. Control the quality characteristics
2. Determine when corrective actions are needed (action limits)
3. Implement corrective actions

When you submit the proposed JMF, submit the written QCP. You and the Engineer must discuss the QCP during the prepaving conference.

The QCP must address the elements affecting HMA quality including:

1. Aggregate
2. Asphalt binder
3. Additives
4. Production
5. Paving

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

39-2.02B Quality Control Testing

Perform sampling and testing at the specified frequency for the following quality characteristics:

Minimum Quality Control – Standard

Quality Characteristic	Test Method	Minimum Sampling and Testing Frequency	HMA Type			
			A	B	RHMA-G	OGFC
Aggregate gradation[a]	CT 202	1 per 750 tonnes and any remaining part	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]
Sand equivalent (min.) [c]	CT 217		47	42	47	--
Asphalt binder content (%)	CT 379 or 382		JMF ± 0.45	JMF ± 0.45	JMF ± 0.50	JMF ± 0.50
HMA moisture content (%, max.)	CT 226 or CT 370	1 per 2500 tonnes but not less than 1 per paving day	1.0	1.0	1.0	1.0
Percent of maximum theoretical density (%) [d, e]	Quality control plan	2 per business day (min.)	91 - 97	91 - 97	91 - 97	--
Stabilometer value (min.) [c, f] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	CT 366	One per 4000 tonnes or 2 per 5 business days, which-ever is more	 30 37	 30 35	 -- 23	 -- --
Air voids content (%) [c, g]	CT 367		4 ± 2	4 ± 2	Specification ± 2	--
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants [h]	CT 226 or CT 370	2 per day during production	--	--	--	--
Percent of crushed particles coarse aggregate (%, min.) One fractured face Two fractured faces Fine aggregate (%, min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) One fractured face	CT 205	As necessary and designat-ed in the QCP. At least once per project	 90 75 70	 25 -- 20	 -- 90 70	 90 75 90
Los Angeles Rattler (%, max.) Loss at 100 rev. Loss at 500 rev.	CT 211		 12 45	 -- 50	 12 40	 12 40

Flat and elongated particles (%, max. by mass @ 5:1)	ASTM D 4791		Report only	Report only	Report only	Report only
Fine aggregate angularity (%, min.)	AASHTO T 304, Method A		45	45	45	--
Voids filled with asphalt (%) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-3		 76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	 76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	Report only	--
Voids in mineral aggregate (% min.) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-2		 17.0 15.0 14.0 13.0	 17.0 15.0 14.0 13.0	 -- -- 18.0 – 23.0 [j] 18.0 – 23.0 [j]	--
Dust proportion [i] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	LP-4		 0.9 – 2.0 0.6 – 1.3	 0.9 – 2.0 0.6 – 1.3	Report only	--
Smoothness	Section 39-1.12	--	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge and must-grind
Asphalt rubber binder viscosity @ 177 °C, centipoises	Section 39-1.02D	Section 39-1.04C	--	--	1500 – 4000	1500 – 4000
Asphalt modifier	Section 39-1.02D	Section 39-1.04C	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Section 39-1.02D	Section 39-1.04C	--	--	Section 39-1.02D	Section 39-1.02D

Notes:
[a] Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] Report the average of 3 tests from a single split sample.
[d] Required for HMA Type A, Type B, and RHMA-G if the specified paved thickness is at least 45 mm.
[e] Determine maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[f] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[g] Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[h] For adjusting the plant controller at the HMA plant.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

For any single quality characteristic except smoothness, if 2 consecutive quality control test results do not comply with the action limits or specifications:

1. Stop production.
2. Notify the Engineer in writing.
3. Take corrective action.

4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

39-2.03 ENGINEER'S ACCEPTANCE

39-2.03A Testing

The Engineer samples for acceptance testing and tests for:

HMA Acceptance - Standard

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Aggregate gradation [a]	CT 202	JMF ± Tolerance [c]	JMF ± Tolerance [c]	JMF ± Tolerance [c]	JMF ± Tolerance [c]
Sieve / 19-mm / 12.5-mm / 9.5-mm					
12.5-mm / X [b] /					
9.5-mm /	X /				
2.36-mm / X / X / X					
0.075-mm / X / X / X					
Sand equivalent (min.) [d]	CT 217	47	42	47	--
Asphalt binder content (%)	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5	JMF ± 0.50
HMA moisture content (%, max.)	CT 226 or CT 370	1.0	1.0	1.0	1.0
Percent of maximum theoretical density (%) [e, f]	CT 375	91 – 97	91 – 97	91 – 97	--
Stabilometer value (min.) [d, g]	CT 366				
4.75-mm and 9.5-mm gradings		30	30	--	--
12.5-mm and 19-mm gradings		37	35	23	--
Air voids content (%) [d, h]	CT 367	4 ± 2	4 ± 2	Specification ± 2	--
Percent of crushed particles	CT 205				
Coarse aggregate (%, min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (%, min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.)					
One fractured face		70	20	70	90
Percent of crushed particles	CT 205				
Coarse aggregate (%, min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Los Angeles Rattler (%, max.)	CT 211				
Loss at 100 rev.		12	--	12	12
Loss at 500 rev.		45	50	40	40
Fine aggregate angularity (%, min.)	AASHTO T 304, Method A	45	45	45	--
Flat and elongated particles (%, max. by mass @ 5:1)	ASTM D 4791	Report only	Report only	Report only	Report only
Voids filled with asphalt (%) [i]	LP-3				
4.75-mm grading		76.0 – 80.0	76.0 – 80.0	Report only	
9.5-mm grading		73.0 – 76.0	73.0 – 76.0		--
12.5-mm grading		65.0 – 75.0	65.0 – 75.0		
19-mm grading		65.0 – 75.0	65.0 – 75.0		
Voids in mineral aggregate (% min.) [i]	LP-2				
4.75-mm grading		17.0	17.0	--	--
9.5-mm grading		15.0	15.0	--	
12.5-mm grading		14.0	14.0	18.0 – 23.0 [j]	
19-mm grading		13.0	13.0	18.0 – 23.0 [j]	
Dust proportion [i] 4.75-mm and 9.5-mm	LP-4				--

gradings 12.5-mm and 19-mm gradings		0.9 – 2.0 0.6 – 1.3	0.9 – 2.0 0.6 – 1.3	Report only	
Smoothness	Section 39-1.12	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge and must-grind
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92-1.02(C) and Section 39-1.02D	Section 92-1.02(C) and Section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] "X" denotes the sieves the Engineer considers for the specified aggregate gradation.
[c] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[d] The Engineer reports the average of 3 tests from a single split sample.
[e] The Engineer determines percent of maximum theoretical density if the specified paved thickness is at least 45-mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

[f] The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[h] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

The Engineer tests the density core you take from each 250 tonnes of HMA production. The Engineer determines the percent of maximum theoretical density for each density core by determining the density core's density and dividing by the maximum theoretical density.

If the specified total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness.

For percent of maximum theoretical density, the Engineer determines a deduction for each test result outside the specifications in compliance with:

Reduced Payment Factors for Percent of Maximum Theoretical Density

HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density	Reduced Payment Factor	HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density	Reduced Payment Factor
91.0	0.0000	97.0	0.0000
90.9	0.0125	97.1	0.0125
90.8	0.0250	97.2	0.0250
90.7	0.0375	97.3	0.0375
90.6	0.0500	97.4	0.0500
90.5	0.0625	97.5	0.0625
90.4	0.0750	97.6	0.0750
90.3	0.0875	97.7	0.0875
90.2	0.1000	97.8	0.1000
90.1	0.1125	97.9	0.1125
90.0	0.1250	98.0	0.1250
89.9	0.1375	98.1	0.1375
89.8	0.1500	98.2	0.1500
89.7	0.1625	98.3	0.1625
89.6	0.1750	98.4	0.1750
89.5	0.1875	98.5	0.1875
89.4	0.2000	98.6	0.2000
89.3	0.2125	98.7	0.2125
89.2	0.2250	98.8	0.2250
89.1	0.2375	98.9	0.2375
89.0	0.2500	99.0	0.2500
< 89.0	Remove and Replace	> 99.0	Remove and Replace

39-2.04 TRANSPORTING, SPREADING, AND COMPACTING

Determine the number of rollers needed to obtain the specified density and surface finish.

39-3 METHOD

39-3.01 DESCRIPTION

If HMA is specified as Method, construct it under Section 39-1, "General," this Section 39-3, "Method," and Section 39-5, "Measurement and Payment."

39-3.02 ENGINEER'S ACCEPTANCE

39-3.02A Testing

The Engineer samples for acceptance testing and tests for:

HMA Acceptance - Method

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Aggregate gradation [a]	CT 202	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]
Sand equivalent (min.) [c]	CT 217	47	42	47	--
Asphalt binder content (%)	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5	JMF ± 0.50
HMA moisture content (%, max.)	CT 226 or CT 370	1.0	1.0	1.0	1.0
Stabilometer value (min.) [c, d]	CT 366				
4.75-mm and 9.5-mm gradings		30	30	--	--
12.5-mm and 19-mm gradings		37	35	23	--
Percent of crushed particles	CT 205				
Coarse aggregate (% min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.)					
One fractured face		70	20	70	90
Los Angeles Rattler (% max.)	CT 211				
Loss at 100 rev.		12	--	12	12
Loss at 500 rev.		45	50	40	40
Air voids content (%) [c, e]	CT 367	4 ± 2	4 ± 2	Specification ± 2	--
Fine aggregate angularity (% min.)	AASHTO T 304, Method A	45	45	45	--
Flat and elongated particles (% max. by mass @ 5:1)	ASTM D 4791	Report only	Report only	Report only	Report only
Voids filled with asphalt (%) [f]	LP-3			Report only	
4.75-mm grading		76.0 – 80.0	76.0 – 80.0		--
9.5-mm grading		73.0 – 76.0	73.0 – 76.0		
12.5-mm grading		65.0 – 75.0	65.0 – 75.0		
19-mm grading		65.0 – 75.0	65.0 – 75.0		
Voids in mineral aggregate (% min.) [f]	LP-2				
4.75-mm grading		17.0	17.0	--	--
9.5-mm grading		15.0	15.0	--	
12.5-mm grading		14.0	14.0	18.0 – 23.0 [g]	
19-mm grading		13.0	13.0	18.0 – 23.0 [g]	
Dust proportion [f]	LP-4			Report only	
4.75-mm and 9.5-mm gradings		0.9 – 2.0	0.9 – 2.0		--
12.5-mm and 19-mm gradings		0.6 – 1.3	0.6 – 1.3		
Smoothness	Section 39-1.12	3.66-m straightedge and must-grind	3.66-m straightedge and must-grind	3.66-m straightedge and must-grind	3.66-m straightedge and must-grind

Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92-1.02(C) and Section 39-1.02D	Section 92-1.02(C) and Section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] The Engineer reports the average of 3 tests from a single split sample.
[d] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[e] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[f] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.
[g] Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

39-3.03 SPREADING AND COMPACTING EQUIPMENT

Each paver spreading HMA Type A and Type B must be followed by 3 rollers:

1. One vibratory roller specifically designed to compact HMA. The roller must be capable of at least 2500 vibrations per minute and must be equipped with amplitude and frequency controls. The roller's gross static mass must be at least 6.8 tonnes.
2. One oscillating type pneumatic-tired roller at least 1.2 m wide. Pneumatic tires must be of equal size, diameter, type, and ply. The tires must be inflated to 415 kilopascals minimum and maintained so that the air pressure does not vary more than 35 kilopascals.
3. One steel-tired, 2-axle tandem roller. The roller's gross static mass must be at least 6.8 tonnes.

Each roller must have a separate operator. Rollers must be self-propelled and reversible.

Compact RHMA-G under the specifications for compacting HMA Type A and Type B except do not use pneumatic-tired rollers.

Compact OGFC with steel-tired, 2-axle tandem rollers. If placing over 275 tonnes of OGFC per hour, use at least 3 rollers for each paver. If placing less than 275 tonnes of OGFC per hour, use at least 2 rollers for each paver. Each roller must weigh between 2250 kilograms to 3075 kilograms per linear meter of drum width. Turn the vibrator off.

39-3.04 TRANSPORTING, SPREADING, AND COMPACTING

Pave HMA in maximum 75-millimeter thick compacted layers.

If the surface to be paved is both in sunlight and shade, pavement surface temperatures are taken in the shade.

Spread HMA Type A and Type B only if atmospheric and surface temperatures are:

Minimum Atmospheric and Surface Temperatures

Compacted Layer Thickness, mm				
	Unmodified Asphalt Binder	Modified Asphalt Binder[a]	Unmodified Asphalt Binder	Modified Asphalt Binder [a]
< 45	12.8	10	15.6	12.8
45 – 75	7.2	7.2	10	10

Note:
[a] Except asphalt rubber binder.

If the asphalt binder for HMA Type A and Type B is:

1. Unmodified asphalt binder, complete:

   1.1. First coverage of breakdown compaction before the surface temperature drops below 120 °C
   1.2. Breakdown and intermediate compaction before the surface temperature drops below 95 °C
   1.3. Finish compaction before the surface temperature drops below 65 °C

2. Modified asphalt binder, complete:

   2.1. First coverage of breakdown compaction before the surface temperature drops below 115 °C
   2.2. Breakdown and intermediate compaction before the surface temperature drops below 85 °C
   2.3. Finish compaction before the surface temperature drops below 60 °C

For RHMA-G:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete the first coverage of breakdown compaction before the surface temperature drops below 140 °C.
3. Complete breakdown and intermediate compaction before the surface temperature drops below 120 °C.
4. Complete finish compaction before the surface temperature drops below 95 °C.
5. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with unmodified asphalt binder:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 95 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with modified asphalt binder except asphalt rubber binder:

1. Only spread and compact if the atmospheric temperature is at least 10 °C and the surface temperature is at least 10 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 85 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For RHMA-O and RHMA-O-HB:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and surface temperature is at least 15.6 °C.
2 Complete the 1st coverage using 2 rollers before the surface temperature drops below 140 °C.
3. Complete compaction before the surface temperature drops below 120 °C.

4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until the mixture is transferred to the paver's hopper or to the pavement surface.

For RHMA-G and OGFC, tarpaulins are not required if the time from discharge to truck until transfer to the paver's hopper or the pavement surface is less than 30 minutes.

HMA compaction coverage is the number of passes needed to cover the paving width. A pass is 1 roller's movement parallel to the paving in either direction. Overlapping passes are part of the coverage being made and are not a subsequent coverage. Do not start a coverage until completing the prior coverage.

Start rolling at the lower edge and progress toward the highest part.

Perform breakdown compaction of each layer of HMA Type A, Type B, and RHMA-G with 3 coverages using a vibratory roller. The speed of the vibratory roller in kilometers per hour must not exceed the vibrations per minute divided by 1600. If the HMA layer thickness is less than 25 mm, turn the vibrator off. The Engineer may order fewer coverages if the HMA layer thickness is less than 45 mm.

Perform intermediate compaction of each layer of HMA Type A and Type B with 3 coverages using a pneumatic-tired roller at a speed not to exceed 8 kilometers per hour.

Perform finish compaction of HMA Type A, Type B, and RHMA-G with 1 coverage using a steel-tired roller.

Compact OGFC with 2 coverages using steel-tired rollers.

39-4 QUALITY CONTROL / QUALITY ASSURANCE

39-4.01 DESCRIPTION

If HMA is specified as Quality Control / Quality Assurance, construct it under Section 39-1, "General," this Section 39-4, "Quality Control / Quality Assurance," and Section 39-5, "Measurement and Payment."

39-4.02 GENERAL

The QC / QA construction process consists of:

1. Establishing, maintaining, and changing if needed a quality control system providing assurance the HMA complies with the specifications
2. Sampling and testing at specified intervals, or sublots, to demonstrate compliance and to control process
3. The Engineer sampling and testing at specified intervals to verify testing process and HMA quality
4. The Engineer using test results, statistical evaluation of verified quality control tests, and inspection to accept HMA for payment

A lot is a quantity of HMA. The Engineer designates a new lot when:

1. 20 sublots are complete
2. The JMF changes
3. Production stops for more than 30 days

Each lot consists of no more than 20 sublots. A sublot is 750 tonnes except HMA paved at day's end greater than 250 tonnes is a sublot. If HMA paved at day's end is less than 250 tonnes, you may either make this quantity a sublot or include it in the previous sublot's test results for statistical evaluation.

39-4.03 CONTRACTOR QUALITY CONTROL

39-4.03A General

Use a composite quality factor, QF_C, and individual quality factors, QF_{QCi}, to control your process and evaluate your quality control program. For quality characteristics without quality factors, use your quality control plan's action limits to control process.

Control HMA quality including:

1. Materials
2. Proportioning
3. Spreading and compacting
4. Finished roadway surface

Develop, implement, and maintain a quality control program that includes:

1. Inspection
2. Sampling
3. Testing

39-4.03B Quality Control Plan

With the JMF submittal, submit a written Quality Control Plan (QCP). The QCP must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement. Discuss the QCP with the Engineer during the prepaving conference.

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

The QCP must include the name and qualifications of a Quality Control Manager. The Quality Control Manager administers the QCP and during paving must be at the job site within 3 hours of receiving notice. The Quality Control Manager must not be any of the following on the project:

1. Foreman
2. Production or paving crewmember
3. Inspector
4. Tester

The QCP must include action limits and details of corrective action you will take if a test result for any quality characteristic falls outside an action limit.

As work progresses, you must submit a written QCP supplement to change quality control procedures, personnel, tester qualification status, or laboratory accreditation status.

39-4.03C Quality Control Inspection, Sampling, And Testing

Sample, test, inspect, and manage HMA quality control.

Provide a roadway inspector while HMA paving activities are in progress. Provide a plant inspector during HMA production.

Inspectors must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement.

Provide a testing laboratory and personnel for quality control testing. Provide the Engineer unrestricted access to the quality control activities. Before providing services for the project, the Engineer reviews, accredits, and qualifies the testing laboratory and personnel under the Department's Independent Assurance Program.

The minimum random sampling and testing for quality control is:

Minimum Quality Control – QC / QA

Quality Characteristic	Test Method	Minimum Sampling and Testing Frequency	HMA Type			Location of Sampling	Max. Reporting Time Allowance
			A	B	RHMA-G		
Aggregate gradation [a]	CT 202	1 per 750 tonnes	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]	CT 125	24 hours
Asphalt binder content (%)	CT 379 or 382		JMF ±0.45	JMF ±0.45	JMF ±0.5	Loose Mix Behind Paver See CT 125	
Percent of maximum theoretical density (%) [c, d]	QC Plan		92 - 96	92 - 96	91 - 96	QC Plan	
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants [e]	CT 226 or CT 370	2 per day during production	--	--	--	Stockpiles or cold feed belts	--
Sand equivalent (min.) [f]	CT 217	1 per 750 tonnes	47	42	47	CT 125	24 hours
HMA moisture content (%,max.)	CT 226 or CT 370	1 per 2500 tonnes but not less than 1 per paving day	1.0	1.0	1.0	Loose Mix Behind Paver See CT 125	24 hours
Stabilometer Value (min.) [f, g] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	CT 366	1 per 4000 tonnes or 2 per 5 business days, whichever is more	30 37	30 35	-- 23		48 hours
Air voids content (%)[f, h]	CT 367		4 ± 2	4 ± 2	Specification ± 2		

Percent of crushed particles coarse aggregate (% min.) One fractured face Two fractured faces Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) One fractured face	CT 205	As neces-sary and designat-ed in QCP. At least once per project.	90 75 70	25 -- 20	-- 90 70	CT 125	48 hours
Los Angeles Rattler (% max.) Loss at 100 rev. Loss at 500 rev.	CT 211		12 45	-- 50	12 40	CT 125	
Fine aggregate angularity (% min.)	AASHTO T 304, Method A		45	45	45	CT 125	
Flat and elongated particle (% max. by mass @ 5:1)	ASTM D 4791		Report only	Report only	Report only	CT 125	
Voids filled with asphalt (%) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-3		76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	Report only	LP-3	
Voids in mineral aggregate (% min.) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-2		17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0 – 23.0 [j] 18.0 – 23.0 [j]	LP-2	
Dust proportion [i] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	LP-4		0.9 – 2.0 0.6 – 1.3	0.9 – 2.0 0.6 – 1.3	Report only	LP-4	
Smoothness	Section 39-1.12	--	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0	--	
Asphalt rubber binder viscosity @ 177 °C, centipoises	Section 39-1.02D	--	--	--	1500 – 4000	Section 39-1.02D	24 hours
Crumb rubber modifier	Section 39-1.02D	--	--	--	Section 39-1.02D	Section 39-1.02D	48 hours

Notes:
[a] Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] Required for HMA Type A, Type B, and RHMA-G if the specified paved thickness is at least 45 mm.

[d] Determine maximum theoretical density (California Test 309) at the frequency specified for test maximum density under California Test 375, Part 5 D.
[e] For adjusting the plant controller at the HMA plant.
[f] Report the average of 3 tests from a single split sample.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[h] Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC
[j] Voids in mineral aggregate for RHMA-G must be within this range.

Within the specified reporting time, submit written test results including:

1. Sampling location, quantity, and time
2. Testing results
3. Supporting data and calculations

If test results for any quality characteristic are beyond the action limits in the QCP, take corrective actions. Document the corrective actions taken in the inspection records under Section 39-4.03E, "Records of Inspection and Testing."

Stop production, notify the Engineer in writing, take corrective action, and demonstrate compliance with the specifications before resuming production and placement on the State highway if:

1. A lot's composite quality factor, Q_{FC}, or an individual quality factor, QF_{QCi} for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, QF_{QCi} for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, QF_{QCi}, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

39-4.03D Charts And Records

Record sampling and testing results for quality control on forms provided in the "Quality Control Manual for Hot Mix Asphalt," or on forms you submit with the QCP. The QCP must also include form posting locations and submittal times.

Submit quality control test results using the Department's statistical evaluation program, HMAPay, available at

www.dot.ca.gov/hq/construc/hma/index.htm

39-4.03E Records Of Inspection And Testing

During HMA production, submit in writing a daily:

1. HMA Construction Daily Record of Inspection. Also make this record available at the HMA plant and job site each day.
2. HMA Inspection and Testing Summary. Include in the summary:

    2.1. Test forms with the testers' signatures and Quality Control Manager's initials.
    2.2. Inspection forms with the inspectors' signatures and Quality Control Manager's initials.
    2.3. A list and explanation of deviations from the specifications or regular practices.
    2.4. A signed statement by the Quality Control Manager that says:

    "It is hereby certified that the information contained in this record is accurate, and that information, tests, or calculations documented herein comply with the specifications of the contract and the standards set forth in the testing procedures. Exceptions to this certification are documented as part of this record."

Retain for inspection the records generated as part of quality control including inspection, sampling, and testing for at least 3 years after final acceptance.

39-4.03F Statistical Evaluation

General

Determine a lot's composite quality factor, QF_C, and the individual quality factors, QF_{QCi}. Perform statistical evaluation calculations to determine these quality factors based on quality control test results for:

1. Aggregate gradation
2. Asphalt binder content
3. Percent of maximum theoretical density

The Engineer grants a waiver and you must use 1.0 as the individual quality factor for percent of maximum theoretical density, QF_{QC5}, for HMA paved in:

1. Areas where the specified paved thickness is less than 45 mm
2. Areas where the specified paved thickness is less than 60 mm and a 19-millimeter grading is specified and used
3. Dig outs
4. Leveling courses
5. Areas where, in the opinion of the Engineer, compaction or compaction measurement by conventional methods is impeded

Statistical Evaluation Calculations

Use the Variability-Unknown / Standard Deviation Method to determine the percentage of a lot not in compliance with the specifications. The number of significant figures used in the calculations must comply with AASHTO R-11, Absolute Method.

Determine the percentage of work not in compliance with the specification limits for each quality characteristic as follows:

1. Calculate the arithmetic mean ($\overline{X}$) of the test values

$$\overline{X} = \frac{\Sigma x}{n}$$

where:

x = individual test values
n = number of test values

2. Calculate the standard deviation

$$s = \sqrt{\frac{n\,(\Sigma x^2)-(\Sigma x)^2}{n(n-1)}}$$

where:

$\sum(x^2)$ = sum of the squares of individual test values
$(\sum x)^2$ = sum of the individual test values squared
n = number of test values

3. Calculate the upper quality index (Qu)

$$Q_u = \frac{USL - \overline{X}}{s}$$

where:

USL = target value plus the production tolerance or upper specification limit
s = standard deviation
$\overline{X}$ = arithmetic mean

4. Calculate the lower quality index (QL);

$$Q_L = \frac{\overline{X} - LSL}{s}$$

where:

LSL = target value minus production tolerance or lower specification limit
s = standard deviation
$\overline{X}$ = arithmetic mean

5. From the table, Upper Quality Index Q_U or Lower Quality Index Q_L, of this Section 39-4.03F, "Statistical Evaluation", determine P_U ;

where:

P_U = the estimated percentage of work outside the USL.
$P_U = 0$, when USL is not specified.

6. From the table, Upper Quality Index Q_U or Lower Quality Index Q_L, of this Section 39-4.03F, "Statistical Evaluation," determine P_L;

where:

P_L = the estimated percentage of work outside the LSL.
$P_L = 0$, when LSL is not specified.

7. Calculate the total estimated percentage of work outside the USL and LSL, percent defective

Percent defective $= P_U + P_L$

P_U and P_L are determined from:

P_U or P_L	**Upper Quality Index Q_U or Lower Quality Index Q_L**												
	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
0	1.72	1.88	1.99	2.07	2.13	2.20	2.28	2.34	2.39	2.44	2.48	2.51	2.56
1	1.64	1.75	1.82	1.88	1.91	1.96	2.01	2.04	2.07	2.09	2.12	2.14	2.16
2	1.58	1.66	1.72	1.75	1.78	1.81	1.84	1.87	1.89	1.91	1.93	1.94	1.95
3	1.52	1.59	1.63	1.66	1.68	1.71	1.73	1.75	1.76	1.78	1.79	1.80	1.81
4	1.47	1.52	1.56	1.58	1.60	1.62	1.64	1.65	1.66	1.67	1.68	1.69	1.70
5	1.42	1.47	1.49	1.51	1.52	1.54	1.55	1.56	1.57	1.58	1.59	1.59	1.60
6	1.38	1.41	1.43	1.45	1.46	1.47	1.48	1.49	1.50	1.50	1.51	1.51	1.52
7	1.33	1.36	1.38	1.39	1.40	1.41	1.41	1.42	1.43	1.43	1.44	1.44	1.44
8	1.29	1.31	1.33	1.33	1.34	1.35	1.35	1.36	1.36	1.37	1.37	1.37	1.38
9	1.25	1.27	1.28	1.28	1.29	1.29	1.30	1.30	1.30	1.31	1.31	1.31	1.31
10	1.21	1.23	1.23	1.24	1.24	1.24	1.25	1.25	1.25	1.25	1.25	1.26	1.26
11	1.18	1.18	1.19	1.19	1.19	1.19	1.20	1.20	1.20	1.20	1.20	1.20	1.20
12	1.14	1.14	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15
13	1.10	1.10	1.10	1.10	1.10	1.10	1.11	1.11	1.11	1.11	1.11	1.11	1.11
14	1.07	1.07	1.07	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06
15	1.03	1.03	1.03	1.03	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02
16	1.00	0.99	0.99	0.99	0.99	0.98	0.98	0.98	0.98	0.98	0.98	0.98	0.98
17	0.97	0.96	0.95	0.95	0.95	0.95	0.94	0.94	0.94	0.94	0.94	0.94	0.94
18	0.93	0.92	0.92	0.92	0.91	0.91	0.91	0.91	0.90	0.90	0.90	0.90	0.90
19	0.90	0.89	0.88	0.88	0.88	0.87	0.87	0.87	0.87	0.87	0.87	0.87	0.87
20	0.87	0.86	0.85	0.85	0.84	0.84	0.84	0.83	0.83	0.83	0.83	0.83	0.83
21	0.84	0.82	0.82	0.81	0.81	0.81	0.80	0.80	0.80	0.80	0.80	0.80	0.79
22	0.81	0.79	0.79	0.78	0.78	0.77	0.77	0.77	0.76	0.76	0.76	0.76	0.76
23	0.77	0.76	0.75	0.75	0.74	0.74	0.74	0.73	0.73	0.73	0.73	0.73	0.73
24	0.74	0.73	0.72	0.72	0.71	0.71	0.70	0.70	0.70	0.70	0.70	0.70	0.70
25	0.71	0.70	0.69	0.69	0.68	0.68	0.67	0.67	0.67	0.67	0.67	0.67	0.66
26	0.68	0.67	0.67	0.65	0.65	0.65	0.64	0.64	0.64	0.64	0.64	0.64	0.63
27	0.65	0.64	0.63	0.62	0.62	0.62	0.61	0.61	0.61	0.61	0.61	0.61	0.60
28	0.62	0.61	0.60	0.59	0.59	0.59	0.58	0.58	0.58	0.58	0.58	0.58	0.57
29	0.59	0.58	0.57	0.57	0.56	0.56	0.55	0.55	0.55	0.55	0.55	0.55	0.54
30	0.56	0.55	0.54	0.54	0.53	0.53	0.52	0.52	0.52	0.52	0.52	0.52	0.52
31	0.53	0.52	0.51	0.51	0.50	0.50	0.50	0.49	0.49	0.49	0.49	0.49	0.49
32	0.50	0.49	0.48	0.48	0.48	0.47	0.47	0.47	0.46	0.46	0.46	0.46	0.46
33	0.47	0.48	0.45	0.45	0.45	0.44	0.44	0.44	0.44	0.43	0.43	0.43	0.43
34	0.45	0.43	0.43	0.42	0.42	0.42	0.41	0.41	0.41	0.41	0.41	0.41	0.40
35	0.42	0.40	0.40	0.39	0.39	0.39	0.38	0.38	0.38	0.38	0.38	0.38	0.38
36	0.39	0.38	0.37	0.37	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36
37	0.36	0.35	0.34	0.34	0.34	0.33	0.33	0.33	0.33	0.33	0.33	0.33	0.32
38	0.33	0.32	0.32	0.31	0.31	0.31	0.30	0.30	0.30	0.30	0.30	0.30	0.30
39	0.30	0.30	0.29	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28
40	0.28	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
41	0.25	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23
42	0.23	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20
43	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18
44	0.16	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15
45	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13
46	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10
47	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
48	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05
49	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

1. If the value of Q_U or Q_L does not correspond to a value in the table, use the next lower value.
2. If Q_U or Q_L are negative values, P_U or P_L is equal to 100 minus the table value for P_U or P_L.

Quality Factor Determination

Determine individual quality factors, QF_{QCi}, using percent defective = $P_U + P_L$ and:

Quality Factors

Quality Factor	Maximum Allowable Percent Defective ($P_U + P_L$)												
	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
1.05				0	0	0	0	0	0	0	0	0	0
1.04			0	1	3	5	4	4	4	3	3	3	3
1.03		0	2	4	6	8	7	7	6	5	5	4	4
1.02		1	3	6	9	11	10	9	8	7	7	6	6
1.01	0	2	5	8	11	13	12	11	10	9	8	8	7
1.00	22	20	18	17	16	15	14	13	12	11	10	9	8
0.99	24	22	20	19	18	17	16	15	14	13	11	10	9
0.98	26	24	22	21	20	19	18	16	15	14	13	12	10
0.97	28	26	24	23	22	21	19	18	17	16	14	13	12
0.96	30	28	26	25	24	22	21	19	18	17	16	14	13
0.95	32	29	28	26	25	24	22	21	20	18	17	16	14
0.94	33	31	29	28	27	25	24	22	21	20	18	17	15
0.93	35	33	31	29	28	27	25	24	22	21	20	18	16
0.92	37	34	32	31	30	28	27	25	24	22	21	19	18
0.91	38	36	34	32	31	30	28	26	25	24	22	21	19
0.90	39	37	35	34	33	31	29	28	26	25	23	22	20
0.89	41	38	37	35	34	32	31	29	28	26	25	23	21
0.88	42	40	38	36	35	34	32	30	29	27	26	24	22
0.87	43	41	39	38	37	35	33	32	30	29	27	25	23
0.86	45	42	41	39	38	36	34	33	31	30	28	26	24
0.85	46	44	42	40	39	38	36	34	33	31	29	28	25
0.84	47	45	43	42	40	39	37	35	34	32	30	29	27
0.83	49	46	44	43	42	40	38	36	35	33	31	30	28
0.82	50	47	46	44	43	41	39	38	36	34	33	31	29
0.81	51	49	47	45	44	42	41	39	37	36	34	32	30
0.80	52	50	48	46	45	44	42	40	38	37	35	33	31
0.79	54	51	49	48	46	45	43	41	39	38	36	34	32
0.78	55	52	50	49	48	46	44	42	41	39	37	35	33
0.77	56	54	52	50	49	47	45	43	42	40	38	36	34
0.76	57	55	53	51	50	48	46	44	43	41	39	37	35
0.75	58	56	54	52	51	49	47	46	44	42	40	38	36
	60	57	55	53	52	51	48	47	45	43	41	40	37
	61	58	56	55	53	52	50	48	46	44	43	41	38
Reject	62	59	57	56	54	53	51	49	47	45	44	42	39
	63	61	58	57	55	54	52	50	48	47	45	43	40
	64	62	60	58	57	55	53	51	49	48	46	44	41
Reject Values Greater Than Those Shown Above													

Notes:

1. To obtain a quality factor when the estimated percent outside specification limits from table, "Upper Quality Index Q_U or Lower Quality Index Q_L," does not correspond to a value in the table, use the next larger value.

Compute the composite of single quality factors, QF_C, for a lot using:

$$QF_C = \sum_{i=1}^{5} w_i \, QF_{QC_i}$$

where:

QF_C = the composite quality factor for the lot rounded to 2 decimal places.

QF_{QCi} = the quality factor for the individual quality characteristic.

w = the weighting factor listed in the table HMA Acceptance – QC / QA.
i = the quality characteristic index number in the table HMA Acceptance – QC / QA.

39-4.04 ENGINEER'S QUALITY ASSURANCE

39-4.04A General

The Engineer assures quality by:

1. Reviewing mix designs and proposed JMF
2. Inspecting procedures
3. Conducting oversight of quality control inspection and records
4. Verification sampling and testing during production and paving

39-4.04B Verification Sampling And Testing

General

The Engineer samples:

1. Aggregate to verify gradation
2. HMA to verify asphalt binder content

Verification

For aggregate gradation and asphalt binder content, the ratio of verification testing frequency to the minimum quality control testing frequency is 1:5. The Engineer performs at least 3 verification tests per lot.

Using the t-test, the Engineer compares quality control tests results for aggregate gradation and asphalt binder content with corresponding verification test results. The Engineer uses the average and standard deviation of up to 20 sequential sublots for the comparison. The Engineer uses production start-up evaluation tests to represent the first sublot. When there are less than 20 sequential sublots, the Engineer uses the maximum number of sequential sublots available. The 21st sublot becomes the 1st sublot (n = 1) in the next lot.

The t-value for a group of test data is computed as follows:

$$t = \frac{\left|\overline{X}_c - \overline{X}_v\right|}{S_p\sqrt{\frac{1}{n_c} + \frac{1}{n_v}}} \quad \text{and} \quad S_p^2 = \frac{S_c^2(n_c - 1) + S_v^2(n_v - 1)}{n_c + n_v - 2}$$

where:

n_c = Number of quality control tests (2 minimum, 20 maximum).
n_v = Number of verification tests (minimum of 1 required).
$\overline{X}_c$ = Mean of quality control tests.
$\overline{X}_v$ = Mean of verification tests.
S_p = Pooled standard deviation (When $n_v = 1$, $S_p = S_c$).
S_c = Standard deviation of quality control tests.
S_v = Standard deviation of verification tests (when $n_v > 1$).

The comparison of quality control test results and the verification test results is at a level of significance of $\alpha = 0.025$. The Engineer computes t and compares it to the critical t-value, t_{crit}, from:

Critical T-Value

Degrees of freedom (n_c+n_v-2)	t_{crit} (for α = 0.025)	Degrees of freedom (n_c+n_v-2)	t_{crit} (for α = 0.025)
1	24.452	18	2.445
2	6.205	19	2.433
3	4.177	20	2.423
4	3.495	21	2.414
5	3.163	22	2.405
6	2.969	23	2.398
7	2.841	24	2.391
8	2.752	25	2.385
9	2.685	26	2.379
10	2.634	27	2.373
11	2.593	28	2.368
12	2.560	29	2.364
13	2.533	30	2.360
14	2.510	40	2.329
15	2.490	60	2.299
16	2.473	120	2.270
17	2.458	∞	2.241

If the t-value computed is less than or equal to t_{crit}, quality control test results are verified.

If the t-value computed is greater than t_{crit} and both $\overline{X}_v$ and $\overline{X}_c$ comply with acceptance specifications, the quality control tests are verified. You may continue to produce and place HMA with the following allowable differences:

1. $\left|\overline{X}_v - \overline{X}_c\right| \leq 1.0$ percent for any grading
2. $\left|\overline{X}_v - \overline{X}_c\right| \leq 0.1$ percent for asphalt binder content

If the t-value computed is greater than t_{crit} and the $\left|\overline{X}_v - \overline{X}_c\right|$ for grading and asphalt binder content are greater than the allowable differences, quality control test results are not verified and:

1. The Engineer notifies you in writing.
2. You and the Engineer must investigate why the difference exist.
3. If the reason for the difference cannot be found and corrected, the Engineer's test results are used for acceptance and pay.

39-4.05 ENGINEER'S ACCEPTANCE

39-4.05A Testing

The Engineer samples for acceptance testing and tests for:

HMA Acceptance – QC / QA

Index (i)	Quality Characteristic				Weight-ing Factor (w)	Test Method	HMA Type		
							A	B	RHMA-G
		Aggregate gradation [a]							
	Sieve	19-mm	12.5-mm	9.5-mm					
1	12.5-mm	X [b]	--	--	0.05	CT 202	JMF ± Tolerance [c]		
1	9.5-mm	--	X	--	0.05				
1	4.75-mm	--	--	X	0.05				
2	2.36-mm	X	X	X	0.10				
3	0.075-mm	X	X	X	0.15				
4	Asphalt binder content (%)				0.30	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5
5	Percent of maximum theoretical density (%) [d, e]				0.40	CT 375	92 – 96	92 – 96	91 – 96
	Sand equivalent (min.) [f]					CT 217	47	42	47
	Stabilometer value (min.) [f,, g] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings					CT 366	 30 37	 30 35	 -- 23
	Air voids content (%)[f, h]					CT 367	4 ± 2	4 ± 2	Specifica-tion ± 2
	Percent of crushed particles coarse aggregate (% min.) One fractured face Two fractured faces Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) One fractured face					CT 205	 90 70 70	 25 -- 20	 -- 90 70
	HMA moisture content (%, max.)					CT 226 or CT 370	1.0	1.0	1.0
	Los Angeles Rattler (% max.) Loss at 100 rev. Loss at 500 rev.					CT 211	 12 45	 -- 50	 12 45
	Fine aggregate angularity (% min.)					AASHTO T 304, Method A	45	45	45
	Flat and elongated particle (% max. by mass @ 5:1)					ASTM D 4791	Report only	Report only	Report only
	Voids in mineral aggregate (% min.) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading					LP-2	 17.0 15.0 14.0 13.0	 17.0 15.0 14.0 13.0	(Note j) -- -- 18.0 - 23.0 18.0 - 23.0
	Voids filled with asphalt (%) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading					LP-3	 76.0 - 80.0 73.0 - 76.0 65.0 - 75.0 65.0 - 75.0	 76.0 - 80.0 73.0 - 76.0 65.0 - 75.0 65.0 - 75.0	Report only
	Dust proportion [i] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings					LP-4	 0.9 - 2.0 0.6 - 1.3	 0.9 – 2.0 0.6 – 1.3	Report only

	Smoothness		Section 39-1.12	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0
	Asphalt binder		Various	Section 92	Section 92	Section 92
	Asphalt rubber binder		Various	--	--	Section 92-1.02(C) and Section 39-1.02D
	Asphalt modifier		Various	--	--	Section 39-1.02D
	Crumb rubber modifier		Various	--	--	Section 39-1.02D

Notes:

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

[b] "X" denotes the sieves the Engineer considers for the specified aggregate gradation.

[c] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

[d] The Engineer determines percent of maximum theoretical density if the specified paved thickness is at least 45 mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

[e] The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.

[f] The Engineer reports the average of 3 tests from a single split sample.

[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."

[h] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

[i] Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

[j] Voids in mineral aggregate for RHMA-G must be within this range.

The Engineer determines the percent of maximum theoretical density from the average density of 3 density cores you take from every 750 tonnes of production or part thereof divided by the maximum theoretical density.

If the specified total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness.

The Engineer stops production and terminates a lot if:

1. The lot's composite quality factor, Q_{FC}, or an individual quality factor, QF_{QCi} for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, QF_{QCi} for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, QF_{QCi}, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

For any single quality characteristic for which a quality factor, QF_{QCi}, is not determined, except smoothness, if 2 consecutive acceptance test results do not comply with specifications:

1. Stop production.
2. Take corrective action.

3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

39-4.05B Statistical Evaluation, Determination Of Quality Factors And Acceptance

Statistical Evaluation and Determination of Quality Factors

To determine the individual quality factor, $QF_{QCi,}$ for any quality factor i = 1 through 5 or a lot's composite quality factor, QF_C, for acceptance and payment adjustment, the Engineer uses the evaluation specifications under Section 39-4.03F, "Statistical Evaluation," and:

1. Verified quality control test results for aggregate gradation
2. Verified quality control test results for asphalt binder content
3. The Engineer's test results for percent of maximum theoretical density

Lot Acceptance Based on Quality Factors

The Engineer accepts a lot based on the quality factors determined for aggregate gradation and asphalt binder content, QF_{QCi} for i = 1 through 4, using the total number of verified quality control test result values and the total percent defective ($P_U + P_L$).

The Engineer accepts a lot based on the quality factor determined for maximum theoretical density, QF_{QC5}, using the total number of test result values from density cores and the total percent defective ($P_U + P_L$).

The Engineer calculates the quality factor for the lot, QF_C, which is a composite of weighted individual quality factors, QF_{QCi}, determined for each quality characteristic in the HMA Acceptance – QC / QA table in Section 39-4.05A, "Testing."

The Engineer accepts a lot based on quality factors if:

1. The current composite quality factor, QF_C, is 0.90 or greater
2. Each individual quality factor, QF_{QCi} for i = 3, 4, and 5, is 0.90 or greater
3. Each individual quality factor, QF_{QCi} for i = 1 and 2, is 0.75 or greater

No single quality characteristic test may represent more than the smaller of 750 tonnes or 1 day's production.

Payment Adjustment

If a lot is accepted, the Engineer adjusts payment with the following formula:

$$PA = \sum_{i=1}^{n} HMACP * wi * \left[QFQCi * (HMATT - WHMATTi) + WHMATTi\right] - (HMACP * HMATT)$$

where:

PA =	Payment adjustment rounded to 2 decimal places.
HMACP =	HMA contract price.
HMATT =	HMA total tonnes represented in the lot.
$WHMATT_i$ =	Total tonnes of waived quality characteristic HMA.
QF_{QCi} =	Running quality factor for the individual quality characteristic. QF_{QCi} for i = 1 through 4 must be from verified Contractor's QC results. QF_{QC5} must be determined from the Engineer's results on density cores taken for percent of maximum theoretical density determination.
w =	Weighting factor listed in the HMA acceptance table.
i =	Quality characteristic index number in the HMA acceptance table.

If the payment adjustment is a negative value, the Engineer deducts this amount from payment. If the payment adjustment is a positive value, the Engineer adds this amount to payment.

The 21st sublot becomes the 1st sublot (n = 1) in the next lot. When the 21st sequential sublot becomes the 1st sublot, the previous 20 sequential sublots become a lot for which the Engineer determines a quality factor. The Engineer uses this quality factor to pay for the HMA in the lot. If the next lot consists of less than 8 sublots, these sublots must be added to the previous lot for quality factor determination using 21 to 27 sublots.

39-4.05C Dispute Resolution

For a lot, if you or the Engineer dispute any quality factor, QF_{QCi}, or verification test result, every sublot in that lot must be retested.

Referee tests must be performed under the specifications for acceptance testing.

Any quality factor, QF_{QCi}, must be determined using the referee tests.

For any quality factor, QF_{QCi}, for i = 1 through 5, dispute resolution:

1. If the difference between the quality factors for QF_{QCi} using the referee test result and the disputed test result is less than or equal to 0.01, the original test result is correct.
2. If the difference between the quality factor for QF_{QCi} using the referee test result and the disputed test result is more than 0.01, the quality factor determined from the referee tests supersedes the previously determined quality factor.

39-5 MEASUREMENT AND PAYMENT

39-5.01 MEASUREMENT

The contract item for HMA is measured by mass. The mass of each HMA mixture designated in the Engineer's Estimate must be the combined mixture mass.

If tack coat, asphalt binder, and asphaltic emulsion are paid with separate contract items, their contract items are measured under Section 92, "Asphalts," or Section 94, "Asphaltic Emulsions," as the case may be.

If recorded batch masses are printed automatically, the contract item for HMA is measured by using the printed batch masses, provided:

1. Total aggregate and supplemental fine aggregate mass per batch is printed. If supplemental fine aggregate is weighed cumulatively with the aggregate, the total aggregate batch mass must include the supplemental fine aggregate mass.
2. Total asphalt binder mass per batch is printed.
3. Each truckload's zero tolerance mass is printed before weighing the first batch and after weighing the last batch.
4. Time, date, mix number, load number and truck identification is correlated with a load slip.
5. A copy of the recorded batch mass is certified by a licensed weighmaster and submitted to the Engineer.

The contract item for placing HMA dike is measured by the linear meter along the completed length. The contract item for placing HMA in miscellaneous areas is measured as the in-place compacted area in square meters. In addition to the quantities measured on a linear meter or square meter basis, the HMA for dike and miscellaneous areas are measured by mass.

The contract item for shoulder rumble strips is measured by the station along each shoulder on which the rumble strips are constructed without deductions for gaps between indentations.

The contract item for geosynthetic pavement interlayer is measured by the square meter for the actual pavement area covered.

39-5.02 PAYMENT

The contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing hot mix asphalt, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If HMA is specified to comply with Section 39-4, "Quality Control / Quality Assurance," the Engineer adjusts payment under that section.

Full compensation for the Quality Control Plan and prepaving conference is included in the contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for performing and submitting mix designs and for Contractor sampling, testing, inspection, testing facilities, and preparation and submittal of results is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for reclaimed asphalt pavement is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

The contract price paid per tonne for hot mix asphalt (leveling) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in hot mix asphalt (leveling), complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract prices paid per station for rumble strips as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing rumble

strips, including fog seal coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State will pay for HMA dike at the contract price per linear meter for place HMA dike and by the tonne for HMA. The contract prices paid per linear meter for place hot mix asphalt dike as designated in the Engineer's Estimate include full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA dike, complete in place, including excavation, backfill, and preparation of the area to receive the dike, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State pays for HMA specified to be a miscellaneous area at the contract price per square meter for place hot mix asphalt (miscellaneous area) and per tonne for hot mix asphalt. The contract price paid per square meter for place hot mix asphalt (miscellaneous area) includes full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA (miscellaneous area) complete in place, including excavation, backfill, and preparation of the area to receive HMA (miscellaneous area), as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If the Quality Control / Quality Assurance construction process is specified, HMA placed in dikes and miscellaneous areas is paid for at the contract price per tonne for hot mix asphalt under Section 39-4, "Quality Control / Quality Assurance." Section 39-4.05B, "Statistical Evaluation, Determination of Quality Factors and Acceptance," does not apply to HMA placed in dikes and miscellaneous areas.

If there are no contract items for place hot mix asphalt dike and place hot mix asphalt (miscellaneous area) and the work is specified, full compensation for constructing HMA dikes and HMA (miscellaneous areas) including excavation, backfill, and preparation of the area to receive HMA dike or HMA (miscellaneous area) is included in the contract price paid per tonne for the hot mix asphalt designated in the Engineer's Estimate and no separate payment will be made therefor.

The contract price paid per square meter for geosynthetic pavement interlayer includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing geosynthetic pavement interlayer, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per tonne for paving asphalt (binder, geosynthetic pavement interlayer) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying paving asphalt (binder, geosynthetic pavement interlayer), complete in place, including spreading sand to cover exposed binder material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

Full compensation for small quantities of HMA placed on geosynthetic pavement interlayer to prevent displacement during construction is included in the contract price paid per tonne for the HMA being paved over the interlayer and no separate payment will be made therefor.

The contract price paid per tonne for tack coat includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying tack coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The Engineer does not adjust payment for increases or decreases in the quantities for tack coat, regardless of the reason for the increase or decrease. Section 4-1.03B, "Increased or Decreased Quantities," does not apply to the items for tack coat.

Full compensation for performing smoothness testing, submitting written and electronic copies of tests, and performing corrective work including applying fog seal coat is included in the contract price paid per tonne for the HMA designated in the Engineer's Estimate and no separate payment will be made therefor.

Full compensation for spreading sand on RHMA-G, RHMA-O, and RHMA-O-HB surfaces and for sweeping and removing excess sand is included in the contract price paid per tonne for rubberized hot mix asphalt as designated in the Engineer's Estimate and no separate payment will be made therefor.

If the Engineer fails to comply with a specification within a specified time, and if, in the opinion of the Engineer, work completion is delayed because of the failure, the Engineer adjusts payment and contract time under Section 8-1.09, "Right of Way Delays."

If the dispute resolution ITP determines the Engineer's test results are correct, the Engineer deducts the ITP's testing costs from payments. If the ITP determines your test results are correct, the State pays the ITP's testing costs. If, in the Engineer's opinion, work completion is delayed because of incorrect Engineer test results, the Engineer adjusts payment and contract time under Section 8-1.09, "Right of Way Delays."

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SECTION 40 PORTLAND CEMENT CONCRETE PAVEMENT
(Issued 01-05-07)

Delete Section 40-1.015.

Replace Section 40-1.05 with:

40-1.05 PROPORTIONING

Aggregate and cementitious material proportioning shall conform to the provisions in Section 90-5, "Proportioning."

Replace Section 40-1.105 with:

40-1.105 EXIT RAMP TERMINI

Concrete pavement shall be constructed at the ends of exit ramps when required by the plans or the special provisions. Texturing for exit ramp termini shall be by means of heavy brooming in a direction normal to ramp centerline. The hardened surface shall have a coefficient of friction not less than 0.35 as determined by California Test 342. Minimum cementitious material content of concrete in pavement for exit ramp termini shall be 350 kg/m^3.

In Section 40-1.08 replace the 4th paragraph with:

Straight tie bars shall be deformed reinforcing steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420; ASTM Designation: A 996/A 996M, Grade 350 or 420; or ASTM Designation: A 706/A 706M.

In Section 40-1.14 replace the 1st paragraph with:

The contract price paid per cubic meter for concrete pavement shall include full compensation for furnishing all labor, materials (including cementitious material in the amount specified), tools, equipment, and incidentals, and for doing all the work involved in constructing the portland cement concrete pavement, complete in place, as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

^^

SECTION 41 PAVEMENT SUBSEALING AND JACKING
(Issued 01-05-07)

In Section 41-1.02 replace the 2nd and 3rd paragraphs with:

Cement for grout shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

Fly ash shall conform to the requirements in AASHTO Designation: M 295 for either Class C or for Class F. The brand of fly ash used in the work shall conform to the provisions for approval of admixture brands in Section 90-4.03, "Admixture Approval."

In Section 41-1.02 replace the 5th paragraph with:

Chemical admixtures and calcium chloride may be used. Chemical admixtures in the grout mix shall conform to the provisions in Section 90-4, "Admixtures." Calcium chloride shall conform to ASTM Designation: D 98.

^^

SECTION 42 GROOVE AND GRIND PAVEMENT
(Issued 12-31-01)

In Section 42-2.02 in the 3rd paragraph in the 1st subparagraph, replace the last sentence with:

After grinding has been completed, the pavement shall conform to the straightedge and profile requirements specified in Section 40-1.10, "Final Finishing."

^^

SECTION 49 PILING
(Issued 06-06-08)

In Section 49-1.03 replace the 1st paragraph with:

Foundation piles of any material shall be of such length as is required to obtain the specified penetration, and to extend into the cap or footing block as shown on the plans, or specified in the special provisions.

In Section 49-1.03 replace the 4th paragraph with:

Modification to the specified installation methods and specified pile tip elevation will not be considered at locations where tension or lateral load demands control design pile tip elevations or when the plans state that specified pile tip elevation shall not be revised.

In Section 49-1.03 replace the 6th and 7th paragraphs with:

Indicator compression pile load testing shall conform to the requirements in ASTM Designation: D 1143-81. The pile shall sustain the first compression test load applied which is equal to the nominal resistance in compression, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of compression load testing.

Indicator tension pile load testing shall conform to the requirements in ASTM Designation: D 3689-90. The loading apparatus described as "Load Applied to Pile by Hydraulic Jack(s) Acting at One End of Test Beam(s) Anchored to the Pile" shall not be used. The pile shall sustain the first tension test load applied which is equal to the nominal resistance in tension, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of tension load testing.

In Section 49-1.03 replace the 9th paragraph with:

The Contractor shall furnish piling of sufficient length to obtain the specified tip elevation shown on the plans or specified in the special provisions.

In Section 49-1.03, delete the 10th paragraph

In Section 49-1.04 replace the 4th, 5th, and 6th paragraphs with:

Load test piles and anchor piles which are not to be incorporated in the completed structure shall be removed in conformance with the provisions in Section 15-4.02, "Removal Methods," and the remaining holes shall be backfilled with earth or other suitable material approved by the Engineer.

Load test anchorages in piles used as anchor piles shall conform to the following requirements:

A. High strength threaded steel rods shall conform to the provisions for bars in Section 50-1.05, "Prestressing Steel," except Type II bars shall be used.
B. High strength steel plates shall conform to the requirements in ASTM Designation: A 709/A 709M, Grade 345.
C. Anchor nuts shall conform to the provisions in the second paragraph in Section 50-1.06, "Anchorages and Distribution."

The Contractor may use additional cementitious material in the concrete for the load test and anchor piles.

In Section 49-1.05 replace the 1st paragraph with:

Driven piles shall be installed with impact hammers that are approved in writing by the Engineer. Impact hammers shall be steam, hydraulic, air or diesel hammers. Impact hammers shall develop sufficient energy to drive the piles at a penetration rate of not less than 3 mm per blow at the specified nominal resistance.

In Section 49-1.05 replace the 7th paragraph with:

When necessary to obtain the specified penetration and when authorized by the Engineer, the Contractor may supply and operate one or more water jets and pumps, or furnish the necessary drilling apparatus and drill holes not greater than the least dimension of the pile to the proper depth and drive the piles therein. Jets shall not be used at locations where the stability of embankments or other improvements would be endangered. In addition, for steel piles, steel shells, or steel casings, when necessary to obtain the specified penetration or to prevent damage to the pile during installation, the Contractor shall provide special driving tips or heavier pile sections or take other measures as approved by the Engineer.

The use of followers or underwater hammers for driving piles will be permitted if authorized in writing by the Engineer. When a follower or underwater hammer is used, its efficiency shall be verified by furnishing the first pile in each bent or footing sufficiently long and driving the pile without the use of a follower or underwater hammer.

In Section 49-1.07 replace the 2nd paragraph with:

Timber piles shall be fresh-headed and square and when permitted by the Engineer, the heads of the piles may be protected by means of heavy steel or wrought iron rings. During driving operations timber piling shall be restrained from lateral movement at intervals not to exceed 6 m over the length between the driving head and the ground surface. During driving operations, the timber pile shall be kept moving by continuous operation of the hammer. When the blow count exceeds either 2 times the blow count required in 300 mm, or 3 times the blow count required in 75 mm for the nominal resistance as shown on the plans, computed in conformance with the provisions in Section 49-1.08, "Pile Driving Acceptance Criteria," additional aids shall be used to obtain the specified penetration. These aids may include the use of water jets or drilling, where permitted, or the use of a larger hammer employing a heavy ram striking with a low velocity.

Replace Section 49-1.08 with:

49-1.08 PILE DRIVING ACCEPTANCE CRITERIA

Except for piles to be load tested, driven piles shall be driven to a value of not less than the nominal resistance shown on the plans unless otherwise specified in the special provisions or permitted in writing by the Engineer. In addition, when a pile tip elevation is specified, driven piles shall penetrate at least to the specified tip elevation, unless otherwise permitted in writing by the Engineer. Piles to be load tested shall be driven to the specified tip elevation.

When the pile nominal resistance is omitted from the plans or the special provisions, timber piles shall be driven to a nominal resistance of 800 kN, and steel and concrete piles shall be driven to a nominal resistance of 1250 kN.

The nominal resistance for driven piles shall be determined from the following formula in which "R_u" is the nominal resistance in kilonewtons, "E_r" is the manufacturer's rating for joules of energy developed by the hammer at the observed field drop height, and "N" is the number of hammer blows in the last 300 millimeters. (maximum value to be used for N is 100):

$$R_u = (7 * (E_r)^{1/2} * \log_{10} (0.83 * N)) - 550$$

In Section 49-2.03 replace the 1st paragraph with:

When preservative treatment of timber piles is required by the plans or specified in the special provisions, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and the applicable AWPA Use Category.

In Section 49-2.04 replace the 1st paragraph with:

A. An application of wood preservative conforming to the provisions in Section 58-1.04, "Wood Preservative for Manual Treatment," shall first be applied to the head of the pile and a protective cap shall then be built up by applying alternate layers of loosely woven fabric and hot asphalt or tar similar to membrane waterproofing, using 3 layers of asphalt or tar and 2 layers of fabric. The fabric shall measure at least 150 mm more in each direction than

the diameter of the pile and shall be turned down over the pile and the edges secured by binding with 2 turns of No. 10 galvanized wire. The fabric shall be wired in advance of the application of the final layer of asphalt or tar, which shall extend down over the wiring.

B. The sawed surface shall be covered with 3 applications of a hot mixture of 60 percent creosote and 40 percent roofing pitch, or thoroughly brushcoated with 3 applications of hot creosote and covered with hot roofing pitch. A covering of 3.50-mm nominal thickness galvanized steel sheet shall be placed over the coating and bent down over the sides of each pile to shed water.

In Section 49-3.01 the 5th paragraph is deleted

In Section 49-3.01 replace the 6th and 7th paragraphs with:

Except for precast prestressed concrete piles in a corrosive environment, lifting anchors used in precast prestressed concrete piles shall be removed, and the holes filled in conformance with the provisions in Section 51-1.18A, "Ordinary Surface Finish."

Lifting anchors used in precast prestressed concrete piles in a corrosive environment shall be removed to a depth of at least 25 mm below the surface of the concrete, and the resulting hole shall be filled with epoxy adhesive before the piles are delivered to the job site. The epoxy adhesive shall conform to the provisions in Sections 95-1, "General," and 95-2.01, "Binder (Adhesive), Epoxy Resin Base (State Specification 8040-03)."

In Section 49-4.01 replace the 1st and 2nd paragraphs with:

Cast-in-place concrete piles shall consist of one of the following:

A. Steel shells driven permanently to the required nominal resistance and penetration and filled with concrete.
B. Steel casings installed permanently to the required penetration and filled with concrete.
C. Drilled holes filled with concrete.
D. Rock sockets filled with concrete.

The drilling of holes shall conform to the provisions in these specifications. Concrete filling for cast-in-place concrete piles is designated by compressive strength and shall have a minimum 28-day compressive strength of 25 MPa. At the option of the Contractor, the combined aggregate grading for the concrete shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading. Concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," and Section 51, "Concrete Structures." Reinforcement shall conform to the provisions in Section 52, "Reinforcement."

In Section 49-4.03 replace the 4th paragraph with:

After placing reinforcement and prior to placing concrete in the drilled hole, if caving occurs or deteriorated foundation material accumulates on the bottom of the hole, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

In Section 49-4.04 replace the 1st and 2nd paragraphs with:

Steel shells shall be sufficiently watertight to exclude water during the placing of concrete. The shells may be cylindrical or tapered, step-tapered, or a combination of either, with cylindrical sections.

In Section 49-4.05 replace the 1st paragraph with:

After being driven and prior to placing reinforcement and concrete therein, the steel shells shall be examined for collapse or reduced diameter at any point. Any shell which is improperly driven or broken or shows partial collapse to such an extent as to materially decrease its nominal resistance will be rejected. Rejected shells shall be removed and replaced, or a new shell shall be driven adjacent to the rejected shell. Rejected shells which cannot be removed shall be filled with concrete by the Contractor at the Contractor's expense. When a new shell is driven to replace a rejected shell, the Contractor, at the Contractor's expense, shall enlarge the footing as determined necessary by the Engineer.

In Section 49-4.05 replace the 3rd paragraph with:

Steel pipe piles shall conform to the following requirements:

1. Steel pipe piles less than 360 mm in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 2 or 3.
2. Steel pipe piles 360 mm and greater in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 3.
3. Steel pipe piles shall be of the nominal diameter and nominal wall thickness shown on the plans or specified in the special provisions.
4. The carbon equivalency (CE) of steel for steel pipe piles, as defined in AWS D 1.1, Section XI5.1, shall not exceed 0.45.
5. The sulfur content of steel for steel pipe piles shall not exceed 0.05-percent.
6. Seams in steel pipe piles shall be complete penetration welds.

In Section 49-6.01 replace the 1st paragraph with:

The length of timber, steel, and precast prestressed concrete piles, and of cast-in-place concrete piles consisting of driven shells filled with concrete, shall be measured along the longest side, from the tip elevation shown on the plans to the plane of pile cut-off.

In Section 49-6.02 replace the 3rd paragraph with:

The contract price paid per meter for cast-in-drilled-hole concrete piling shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in drilling holes, disposing of material resulting from drilling holes, temporarily casing holes and removing water when necessary, furnishing and placing concrete and reinforcement, and constructing reinforced concrete extensions, complete in place, to the required penetration, as shown on the plans, as specified in these specifications and in the special provisions, and as directed by the Engineer.

In Section 49-6.02 replace the 7th paragraph with:

The contract unit price paid for drive pile shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in driving timber, concrete and steel piles, driving steel shells for cast-in-place concrete piles, placing filling materials for cast-in-place concrete piles and cutting off piles, all complete in place to the required nominal resistance and penetration as shown on the plans and as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 49-6.02 replace the 9th paragraph with:

Full compensation for all jetting, drilling, providing special driving tips or heavier sections for steel piles or shells, or other work necessary to obtain the specified penetration and nominal resistance of the piles, for predrilling holes through embankment and filling the space remaining around the pile with sand or pea gravel, for disposing of material resulting from jetting, drilling or predrilling holes, and for all excavation and backfill involved in constructing concrete extensions as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer shall be considered as included in the contract unit price paid for drive pile or in the contract price paid per meter for cast-in-drilled-hole concrete piling, and no additional compensation will be allowed therefor.

In Section 49-6.02, add:

Full compensation for furnishing and placing additional testing reinforcement, for load test anchorages, and for cutting off test piles, shall be considered as included in the contract price paid for piling of the type or class shown in the Engineer's Estimate, and no additional compensation will be allowed.

No additional compensation or extension of time will be made for additional foundation investigation, installation and testing of indicator piling, cutting off piling and restoring the foundation investigation and indicator pile sites, and review of request by the Engineer.

When pile tips are revised by the Engineer for timber, steel, and precast prestressed concrete piles, and for cast-in-place concrete piles consisting of driven shells filled with concrete, the additional length required, including all materials, equipment, and labor for furnishing, splicing, and installing the piling, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

All remedial work required to achieve the required nominal resistance, including suspending driving operations above the required tip elevation and redriving piles at a later time, when directed by the Engineer, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

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SECTION 50 PRESTRESSING CONCRETE
(Issued 04-04-08)

In Section 50-1.02, between the 2nd and 3rd paragraphs add:

Each working drawing submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate working drawing submittal.

In Section 50-1.02 delete the 8th paragraph.

Replace Section 50-1.05 with:

50-1.05 PRESTRESSING STEEL

Prestressing steel shall be high-tensile wire conforming to the requirements in ASTM Designation: A 421, including Supplement I; high-tensile seven-wire strand conforming to the requirements in ASTM Designation: A 416; or uncoated high-strength steel bars conforming to the requirements in ASTM Designation: A 722, including all supplementary requirements. The maximum mass requirement of ASTM Designation: A 722 will not apply.

In addition to the requirements of ASTM Designation: A 722, for deformed bars, the reduction of area shall be determined from a bar from which the deformations have been removed. The bar shall be machined no more than necessary to remove the deformations over a length of 300 mm, and reduction will be based on the area of the machined portion.

In addition to the requirements specified herein, epoxy-coated seven-wire prestressing steel strand shall be grit impregnated and filled in conformance with the requirements in ASTM Designation: A 882/A 882M, including Supplement I, and the following:

A. The film thickness of the coating after curing shall be 381 µm to 1143 µm.
B. Prior to coating the strand, the Contractor shall furnish to the Transportation Laboratory a representative 230-g sample from each batch of epoxy coating material to be used. Each sample shall be packaged in an airtight container identified with the manufacturer's name and batch number.
C. Prior to use of the epoxy-coated strand in the work, written certifications referenced in ASTM Designation: A 882/A 882M, including a representative load-elongation curve for each size and grade of strand to be used and a copy of the quality control tests performed by the manufacturer, shall be furnished to the Engineer.
D. In addition to the requirements in Section 50-1.10, "Samples for Testing," four 1.5-m long samples of coated strand and one 1.5-m long sample of uncoated strand of each size and reel shall be furnished to the Engineer for testing. These samples, as selected by the Engineer, shall be representative of the material to be used in the work.
E. Epoxy-coated strand shall be cut using an abrasive saw.
F. All visible damage to coatings caused by shipping and handling, or during installation, including cut ends, shall be repaired in conformance with the requirements in ASTM Designation: A 882/A 882M. The patching material shall be furnished by the manufacturer of the epoxy powder and shall be applied in conformance with the manufacturer's written recommendations. The patching material shall be compatible with the original epoxy coating material and shall be inert in concrete.

All bars in any individual member shall be of the same grade, unless otherwise permitted by the Engineer.

When bars are to be extended by the use of couplers, the assembled units shall have a tensile strength of not less than the manufacturer's minimum guaranteed ultimate tensile strength of the bars. Failure of any one sample to meet this requirement will be cause for rejection of the heat of bars and lot of couplers. The location of couplers in the member shall be subject to approval by the Engineer.

Wires shall be straightened if necessary to produce equal stress in all wires or wire groups or parallel lay cables that are to be stressed simultaneously or when necessary to ensure proper positioning in the ducts.

Where wires are to be button-headed, the buttons shall be cold formed symmetrically about the axes of the wires. The buttons shall develop the minimum guaranteed ultimate tensile strength of the wire. No cold forming process shall be used that causes indentations in the wire. Buttonheads shall not contain wide open splits, more than 2 splits per head, or splits not parallel with the axis of the wire.

Prestressing steel shall be protected against physical damage and rust or other results of corrosion at all times from manufacture to grouting or encasing in concrete. Prestressing steel that has sustained physical damage at any time shall be rejected. The development of visible rust or other results of corrosion shall be cause for rejection, when ordered by the Engineer.

Epoxy-coated prestressing steel strand shall be covered with an opaque polyethylene sheeting or other suitable protective material to protect the strand from exposure to sunlight, salt spray, and weather. For stacked coils, the protective covering shall be draped around the perimeter of the stack. The covering shall be adequately secured; however, it should allow for air circulation around the strand to prevent condensation under the covering. Epoxy-coated strand shall not be stored within 300 m of ocean or tidal water for more than 2 months.

Prestressing steel shall be packaged in containers or shipping forms for the protection of the steel against physical damage and corrosion during shipping and storage. Except for epoxy-coated strand, a corrosion inhibitor which prevents rust or other results of corrosion, shall be placed in the package or form, or shall be incorporated in a corrosion inhibitor carrier type packaging material, or when permitted by the Engineer, may be applied directly to the steel. The corrosion inhibitor shall have no deleterious effect on the steel or concrete or bond strength of steel to concrete. Packaging or forms damaged from any cause shall be immediately replaced or restored to original condition.

The shipping package or form shall be clearly marked with a statement that the package contains high-strength prestressing steel, and the type of corrosion inhibitor used, including the date packaged.

Prestressing steel for post-tensioning which is installed in members prior to placing and curing of the concrete, and which is not epoxy-coated, shall be continuously protected against rust or other results of corrosion, until grouted, by means of a corrosion inhibitor placed in the ducts or applied to the steel in the duct. The corrosion inhibitor shall conform to the provisions specified herein.

When steam curing is used, prestressing steel for post-tensioning shall not be installed until the steam curing is completed.

Water used for flushing ducts shall contain either quick lime (calcium oxide) or slaked lime (calcium hydroxide) in the amount of 0.01-kg/L. Compressed air used to blow out ducts shall be oil free.

When prestressing steel for post-tensioning is installed in the ducts after completion of concrete curing, and if stressing and grouting are completed within 10 days after the installation of the prestressing steel, rust which may form during those 10 days will not be cause for rejection of the steel. Prestressing steel installed, tensioned, and grouted in this manner, all within 10 days, will not require the use of a corrosion inhibitor in the duct following installation of the prestressing steel. Prestressing steel installed as above but not grouted within 10 days shall be subject to all the requirements in this section pertaining to corrosion protection and rejection because of rust. The requirements in this section pertaining to tensioning and grouting within 10 days shall not apply to epoxy-coated prestressing steel strand.

Any time prestressing steel for pretensioning is placed in the stressing bed and is exposed to the elements for more than 36 hours prior to encasement in concrete, adequate measures shall be taken by the Contractor, as approved by the Engineer, to protect the steel from contamination or corrosion.

After final fabrication of the seven-wire prestressing steel strand, no electric welding of any form shall be performed on the prestressing steel. Whenever electric welding is performed on or near members containing prestressing steel, the welding ground shall be attached directly to the steel being welded.

Pretensioned prestressing steel shall be cut off flush with the end of the member. For epoxy-coated prestressing steel, only abrasive saws shall be used to cut the steel. The exposed ends of the prestressing steel and a 25-mm strip of adjoining concrete shall be cleaned and painted. Cleaning shall be by wire brushing or abrasive blast cleaning to remove all dirt and residue on the metal or concrete surfaces. Immediately after cleaning, the surfaces shall be covered with one application of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint," except that 2 applications shall be applied to surfaces which will not be covered by concrete or mortar. Aerosol cans shall not be used. The paint shall be thoroughly mixed at the time of application and shall be worked into any voids in the prestressing tendons.

In Section 50-1.07 replace the 2nd paragraph with:

Ducts shall be fabricated with either welded or interlocked seams. Galvanizing of the welded seam will not be required. Ducts shall have sufficient strength to maintain their correct alignment during placing of concrete. Joints between sections of duct shall be positive metallic connections which do not result in angle changes at the joints. Waterproof tape shall be used at the connections. Ducts shall be bent without crimping or flattening. Transition couplings connecting the ducts to anchoring devices shall be either ferrous metal or polyolefin. Ferrous metal transition couplings need not be galvanized.

In Section 50-1.07 replace the 7th paragraph with:

All ducts with a total length of 120 m or more shall be vented. Vents shall be placed at intervals of not more than 120 m and shall be located within 2 m of every high point in the duct profile. Vents shall be 12 mm minimum diameter standard pipe or suitable plastic pipe. Connections to ducts shall be made with metallic or plastic structural fasteners. Plastic components, if selected, shall not react with the concrete or enhance corrosion of the prestressing steel and shall be free of water soluble chlorides. The vents shall be mortar tight, taped as necessary, and shall provide means for injection of grout through the vents and for sealing the vents. Ends of vents shall be removed 25 mm below the roadway surface after grouting has been completed.

In Section 50-1.08 replace the 6th paragraph with:

The following formula and friction coefficients shall be used in calculating friction losses in tendons:

$To = Txe\ (\mu\alpha + KL)$

Where:

To = steel stress at jacking end
Tx = steel stress at any point x
e = base of Naperian logarithms
μ = friction curvature coefficient
α = total angular change of prestressing steel profile in radians from jacking end to point x
K = friction wobble coefficient (=0.00066/m)
L = length of prestressing steel from jacking end to point x

Type of Steel Tendon	Length of Tendon L(m)	Type of Duct	μ
Wire or Strand	0 to less than 183	Rigid or semi-rigid galvanized sheet metal	0.15
	183 to less than 275		0.20
	275 to less than 366		0.25
	Greater than or equal to 366		0.25*
Wire or Strand	All	Plastic	0.23
	All	Rigid Steel Pipes	0.25*
High Strength Bar	All	Rigid or semi-rigid galvanized sheet metal	0.30

* With the use of lubrication

In Section 50-1.08 in the 11th paragraph, replace item 2 with:

2. When the concrete is designated by class or cementitious material content, either the concrete compressive strength shall have reached the strength shown on the plans at the time of stressing or at least 28 days shall have elapsed since the last concrete to be prestressed has been placed, whichever occurs first.

In Section 50-1.08 replace the 13th and 14th paragraphs with:

Prestressing steel in pretensioned members shall not be cut or released until the concrete in the member has attained a compressive strength of not less than the value shown on the plans or 28 MPa, whichever is greater. In addition to these concrete strength requirements, when epoxy-coated prestressing steel strand is used, the steel shall not be cut or released until the temperature of the concrete surrounding the strand is less than 65°C, and falling.

When ordered by the Engineer, prestressing steel strands in pretensioned members, if tensioned individually, shall be checked by the Contractor for loss of prestress not more than 48 hours prior to placing concrete for the members. The

method and equipment for checking the loss of prestress shall be subject to approval by the Engineer. Strands which show a loss of prestress in excess of 3 percent shall be retensioned to the original computed jacking stress.

In Section 50-1.09 replace the 2nd and 3rd paragraphs with:

Grout shall consist of cement and water and may contain an admixture if approved by the Engineer.
Cement shall conform to the provisions in Section 90-2.01A, "Cement."

In Section 50-1.10 replace the 5th paragraph with:

The following samples of materials and tendons, selected by the Engineer from the prestressing steel at the plant or jobsite, shall be furnished by the Contractor to the Engineer well in advance of anticipated use:

A. For wire or bars, one 2-m long sample and for strand, one 1.5-m long sample, of each size shall be furnished for each heat or reel.
B. For epoxy-coated strand, one 1.5-m long sample of uncoated strand of each size shall be furnished for each reel.
C. If the prestressing tendon is a bar, one 2-m long sample shall be furnished and in addition, if couplers are to be used with the bar, two 1.25-m long samples of bar, equipped with one coupler and fabricated to fit the coupler, shall be furnished.

In Section 50-1.11 replace the 1st paragraph with:

No separate payment will be made for pretensioning precast concrete members. Payment for pretensioning precast concrete members shall be considered as included in the contract price paid for furnish precast members as provided for in Section 51, "Concrete Structures."

In Section 50-1.11 replace the 2nd paragraph with:

The contract lump sum prices paid for prestressing cast-in-place concrete of the types listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in furnishing, placing, and tensioning the prestressing steel in cast-in-place concrete structures, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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SECTION 51 CONCRETE STRUCTURES
(Issued 11-21-08)

In Section 51-1.05 replace the 11th paragraph with:

Form panels for exposed surfaces shall be furnished and placed in uniform widths of not less than 0.9-m and in uniform lengths of not less than 1.8 m, except at the end of continuously formed surfaces where the final panel length required is less than 1.8 m. Where the width of the member formed is less than 0.9-m, the width of the panels shall be not less than the width of the member. Panels shall be arranged in symmetrical patterns conforming to the general lines of the structure. Except when otherwise provided herein or shown on the plans, panels for vertical surfaces shall be placed with the long dimension horizontal and with horizontal joints level and continuous. Form panels for curved surfaces of columns shall be continuous for a minimum of one quarter of the circumference, or 1.8 m. For walls with sloping footings which do not abut other walls, panels may be placed with the long dimension parallel to the footing. Form panels on each side of the panel joint shall be precisely aligned, by means of supports or fasteners common to both panels, to result in a continuous unbroken concrete plane surface. When prefabricated soffit panels are used, form filler panels joining prefabricated panels shall have a uniform minimum width of 0.3-m and shall produce a smooth uniform surface with consistent longitudinal joint lines between the prefabricated panels.

In Section 51-1.06A replace the 1st paragraph with:

The Contractor shall submit to the Engineer working drawings and design calculations for falsework proposed for use at bridges. For bridges where the height of any portion of the falsework, as measured from the ground line to the soffit of the superstructure, exceeds 4.25 m; or where any individual falsework clear span length exceeds 4.85 m; or where provision for vehicular, pedestrian, or railroad traffic through the falsework is made; the drawings shall be signed by an engineer who is registered as a Civil Engineer in the State of California. Six sets of the working drawings and 2 copies of the design calculations shall be furnished. Additional working drawings and design calculations shall be submitted to the Engineer when specified in "Railroad Relations and Insurance" of the special provisions.

In Section 51-1.06A replace the 2nd paragraph with:

The falsework drawings shall include details of the falsework erection and removal operations showing the methods and sequences of erection and removal and the equipment to be used. The details of the falsework erection and removal operations shall demonstrate the stability of all or any portions of the falsework during all stages of the erection and removal operations.

In Section 51-1.06A replace the 7th paragraph with:

In the event that several falsework plans are submitted simultaneously, or an additional plan is submitted for review before the review of a previously submitted plan has been completed, the Contractor shall designate the sequence in which the plans are to be reviewed. In such event, the time to be provided for the review of any plan in the sequence shall be not less than the review time specified above for that plan, plus 2 weeks for each plan of higher priority which is still under review. A falsework plan submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate falsework plan submittal.

In Section 51-1.06A, add:

If structural composite lumber is proposed for use, the falsework drawings shall clearly identify the structural composite lumber members by grade (E value), species, and type. The Contractor shall provide technical data from the manufacturer showing the tabulated working stress values of the composite lumber. The Contractor shall furnish a certificate of compliance as specified in Section 6-1.07, "Certificates of Compliance," for each delivery of structural composite lumber to the project site.

For falsework piles with a calculated loading capacity greater than 900 kN, the falsework piles shall be designed by an engineer who is registered as either a Civil Engineer or a Geotechnical Engineer in the State of California, and the calculations shall be submitted to the Engineer.

In Section 51-1.06A(1) replace the 1st paragraph with:

The design load for falsework shall consist of the sum of dead and live vertical loads, and an assumed horizontal load. The minimum total design load for any falsework, including members that support walkways, shall be not less than 4800 N/m^2 for the combined live and dead load regardless of slab thickness.

In Section 51-1.06A(1) replace the 8th paragraph with:

In addition to the minimum requirements specified in this Section 51-1.06A, falsework for box girder structures with internal falsework bracing systems using flexible members capable of withstanding tensile forces only, shall be designed to include the vertical effects caused by the elongation of the flexible member and the design horizontal load combined with the dead and live loads imposed by concrete placement for the girder stems and connected bottom slabs. Falsework comprised of individual steel towers with bracing systems using flexible members capable of withstanding tensile forces only to resist overturning, shall be exempt from these additional requirements.

In Section 51-1.06B replace the 3rd paragraph with:

When falsework is supported on piles, the piles shall be driven and the actual nominal resistance assessed in conformance with the provisions in Section 49, "Piling."

In Section 51-1.06B, add:

For falsework piles with a calculated nominal resistance greater than 1800 kN, the Contractor shall conduct dynamic monitoring of pile driving and generate field acceptance criteria based on a wave equation analysis. These analyses shall be

signed by an engineer who is registered as a Civil Engineer in the State of California and submitted to the Engineer prior to completion of falsework erection.

Prior to the placement of falsework members above the stringers, the final bracing system for the falsework shall be installed.

In Section 51-1.06C, add:

The falsework removal operation shall be conducted in such a manner that any portion of the falsework not yet removed remains in a stable condition at all times.

In Section 51-1.09 replace the 6th paragraph with:

Vibrators used to consolidate concrete containing epoxy-coated bar reinforcement or epoxy-coated prestressing steel shall have a resilient covering to prevent damage to the epoxy-coating on the reinforcement or prestressing steel.

In Section 51-1.11 replace the 6th paragraph with:

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

In Section 51-1.12D replace the 4th paragraph with:

Expanded polystyrene shall be a commercially available polystyrene board. Expanded polystyrene shall have a minimum flexural strength of 240 kPa determined in conformance with the requirements in ASTM Designation: C 203 and a compressive yield strength of between 110 and 275 kPa at 5 percent compression. Surfaces of expanded polystyrene against which concrete is placed shall be faced with hardboard. Hardboard shall be 3 mm minimum thickness, conforming to ANSI A135.4, any class. Other facing materials may be used provided they furnish equivalent protection. Boards shall be held in place by nails, waterproof adhesive, or other means approved by the Engineer.

In Section 51-1.12F, add:

The opening of the joints at the time of placing shall be that shown on the plans adjusted for temperature. Care shall be taken to avoid impairment of the clearance in any manner.

In Section 51-1.12F replace the 1st and 2nd paragraphs with:

Where shown on the plans, joints in structures shall be sealed with joint seals, joint seal assemblies, or seismic joints in conformance with the details shown on the plans, the provisions in these specifications, and the special provisions.

Type A and AL joint seals shall consist of a groove in the concrete that is filled with field-mixed silicone sealant.

In Section 51-1.12F replace the 4th and 5th paragraphs with:

Joint seal assemblies and seismic joints shall consist of metal or metal and elastomeric assemblies which are anchored or cast into a recess in the concrete over the joint. Strip seal joint seal assemblies consist of only one joint cell. Modular unit joint seal assemblies consist of more than one joint cell.

The Movement Rating (MR) shall be measured normal to the longitudinal axis of the joint. The type of seal to be used for the MR shown on the plans shall be as follows:

Movement Rating (MR)	Seal Type
MR ≤ 25 mm	Type A or Type B
25 mm < MR ≤ 50 mm	Type B
50 mm < MR ≤ 100 mm	Joint Seal Assembly (Strip Seal)
MR > 100 mm	Joint Seal Assembly (Modular Unit) or Seismic Joint

In Section 51-1.12F(3)(a) replace the 1st and 2nd paragraphs with:

The sealant must consist of a 2-component silicone sealant that will withstand up to ±50 percent movement.

Silicone sealants must be tested under California Test 435 and must comply with the following:

Specification	Requirement
Modulus at 150 percent elongation	35–520 kPa
Recovery	17 mm max.
Notch Test	Notched or loss of bond 6 mm, max.
Water Resistance	Notched or loss of bond 6 mm, max.
Ultraviolet Exposure ASTM Designation: G 154, Table X2.1,Cycle 2.	No more than slight checking or cracking.
Cone Penetration	4.5-12.0 mm

In Section 51-1.12F(3)(a) delete the 3rd and 8th paragraphs.

In Section 51-1.12F(3)(a) replace the 10th paragraph with:

A Certificate of Compliance accompanied by a certified test report must be furnished for each batch of silicone sealant in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

In Section 51-1.12F(3)(b) replace the 2nd paragraph with:

The preformed elastomeric joint seal must conform to the requirements in ASTM D 2628 and the following:

1. The seal must consist of a multichannel, nonporous, homogeneous material furnished in a finished extruded form.
2. The minimum depth of the seal measured at the contact surface must be at least 95 percent of the minimum uncompressed width of the seal as designated by the manufacturer.
3. When tested in conformance with the requirements in California Test 673 for Type B seals, joint seals must provide a movement rating (MR) of not less than that shown on the plans.
4. The top and bottom edges of the joint seal must maintain continuous contact with the sides of the groove over the entire range of joint movement.
5. The seal must be furnished full length for each joint with no more than 1 shop splice in any 18 m length of seal.
6. The Contractor must demonstrate the adequacy of the procedures to be used in the work before installing seals in the joints.
7. One field splice per joint may be made at locations and by methods approved by the Engineer. The seals are to be manufactured full length for the intended joint, then cut at the approved splice section and rematched before splicing. The Contractor must submit splicing details prepared by the joint seal manufacturer for approval before beginning splicing work.
8. Shop splices and field splices must have no visible offset of exterior surfaces and must show no evidence of bond failure.
9. At all open ends of the seal that would admit water or debris, each cell must be filled to a depth of 80 mm with commercial quality open cell polyurethane foam or closed by other means subject to approval by the Engineer.

In Section 51-1.12F(3)(b) replace the 7th paragraph with:

The joint seal must be installed full length for each joint with equipment that does not twist or distort the seal, elongate the seal longitudinally, or otherwise cause damage to the seal or to the concrete forming the groove.

Replace Section 51-1.12F(3)(c), with:

(c) Joint Seal Assemblies and Seismic Joints

Joint seal assemblies and seismic joints shall be furnished and installed in joints in bridge decks as shown on the plans and as specified in the special provisions.

In Section 51-1.12H(1) replace the 8th paragraph with:

The elastomer, as determined from test specimens, shall conform to the following:

Test	ASTM Designation	Requirement
Tensile strength, MPa	D 412	15.5 Min.
Elongation at break, percent	D 412	350 Min.
Compression set, 22 h at 70°C, percent	D 395 (Method B)	25 Max.
Tear strength, kN/m	D 624 (Die C)	31.5 Min.
Hardness (Type A)	D 2240 with 2 kg. mass	55 ±5
Ozone resistance 20% strain, 100 h at 40°C ±2°C	D 1149 (except 100 ±20 parts per 100 000 000)	No cracks
Instantaneous thermal stiffening at -40°C	D 1043	Shall not exceed 4 times the stiffness measured at 23°C
Low temperature brittleness at -40°C	D 746 (Procedure B)	Pass

In Section 51-1.12H(1) in the 9th paragraph replace the table, with:

Tensile strength, percent	-15
Elongation at break, percent	-40; but not less than 300% total elongation of the material
Hardness, points	+10

In Section 51-1.12H(2) replace the 1st paragraph with:

Steel reinforced elastomeric bearings shall conform to the requirements for steel-laminated elastomeric bearings in ASTM Designation: D 4014 and the following:

A. The bearings shall consist of alternating steel laminates and internal elastomer laminates with top and bottom elastomer covers. Steel laminates shall have a nominal thickness of 1.9 mm (14 gage). Internal elastomer laminates shall have a thickness of 12 mm, and top and bottom elastomer covers shall each have a thickness of 6 mm. The combined thickness of internal elastomer laminates and top and bottom elastomer covers shall be equal to the bearing pad thickness shown on the plans. The elastomer cover to the steel laminates at the sides of the bearing shall be 3 mm. If guide pins or other devices are used to control the side cover over the steel laminates, any exposed portions of the steel laminates shall be sealed by vulcanized patching. The length, width, or diameter of the bearings shall be as shown on the plans.
B. The total thickness of the bearings shall be equal to the thickness of elastomer laminates and covers plus the thickness of the steel laminates.
C. Elastomer for steel reinforced elastomeric bearings shall conform to the provisions for elastomer in Section 51-1.12H(1), "Plain and Fabric Reinforced Elastomeric Bearing Pads."
D. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished to the Engineer certifying that the bearings to be furnished conform to all of the above provisions. The Certificate of Compliance shall be supported by a certified copy of the results of tests performed by the manufacturer on the bearings.
E. One sample bearing shall be furnished to the Engineer from each lot of bearings to be furnished for the contract. Samples shall be available at least 3 weeks in advance of intended use. The sample bearing shall be one of the following:

Bearing Pad Thickness as Shown on the Plans	Sample Bearing
≤ 50 mm	Smallest complete bearing shown on the plans
> 50 mm	* 57 ± 3 mm thick sample not less than 200 mm x 305 mm in plan and cut by the manufacturer from the center of one of the thickest complete bearings

* The sample bearing plus remnant parts of the complete bearing shall be furnished to the Engineer.

F. A test specimen taken from the sample furnished to the Engineer will be tested in conformance with the requirements in California Test 663. Specimens tested shall show no indication of loss of bond between the elastomer and steel laminates.

In Section 51-1.135 replace the 1st paragraph with:

Mortar shall be composed of cementitious material, sand, and water proportioned and mixed as specified in this Section 51-1.135.

In Section 51-1.135 replace the 3rd paragraph with:

The proportion of cementitious material to sand, measured by volume, shall be 1:2 unless otherwise specified.

In Section 51-1.14 replace the 4th paragraph with:

Neoprene shall be manufactured from a vulcanized elastomeric compound containing neoprene as the sole elastomer and shall conform to the following:

Test	ASTM Designation	Requirement
Tensile strength, MPa	D 412	13.8 Min.
Elongation at break, percent	D 412	300 Min.
Compression set, 22 h at 70°C, percent	D 395 (Method B)	30 Max.
Tear strength, kN/m	D 624 (Die C)	26.3 Min.
Hardness (Type A)	D 2240	55±5
Ozone resistance 20% strain, 100 h at 38°C ±1°C	D 1149 (except 100± 20 parts per 100 000 000)	No cracks
Low temperature brittleness at -40°C	D 746 (Procedure B)	Pass
Flame resistance	C 542	Must not propagate flame
Oil Swell, ASTM Oil #3, 70 h at 100°C, volume change, percent	D 471	80 Max.
Water absorption, immersed 7 days at 70°C, change in mass, percent	D 471	15 Max.

In Section 51-1.17 in 4th paragraph, replace the 1st sentence with:

The smoothness of completed roadway surfaces of structures, approach slabs and the adjacent 15 m of approach pavement, and the top surfaces of concrete decks which are to be covered with another material, will be tested by the Engineer with a bridge profilograph in conformance with the requirements in California Test 547 and the requirements herein.

In Section 51-1.17 delete the 7th paragraph

In Section 51-1.17 delete the 13th paragraph

In Section 51-1.17 delete the 14th paragraph

Add Section:

51-1.17A DECK CRACK TREATMENT

The Contractor shall use all means necessary to minimize the development of shrinkage cracks.

The Contractor shall remove all equipment and materials from the deck and clean the surface as necessary for the Engineer to measure the surface crack intensity. Surface crack intensity will be determined by the Engineer after completion of concrete cure, before prestressing, and before the release of falsework. In any 50 square meter portion of deck within the limits of the new concrete deck, should the intensity of cracking be such that there are more than 5 m of cracks whose width at any location exceeds 0.5 mm**,** the deck shall be treated with methacrylate resin. The area of deck to be treated shall have a width that extends for the entire width of new deck inside the concrete barriers and a length that extends at least 1.5 m beyond the furthest single continuous crack outside the 50 square meter portion, measured from where that crack exceeds 0.5 mm in width, as determined by the Engineer.

Deck crack treatment shall include furnishing, testing, and application of methacrylate resin and sand. If grinding is required, deck treatment shall take place before grinding.

51-1.17A(1) Submittals

Before starting deck treatment, the Contractor shall submit plans in conformance with Section 5-1.02, "Plans and Working Drawings," for the following:

1. Public safety plan for the use of methacrylate resin
2. Placement plan for the construction operation

The plans shall identify materials, equipment, and methods to be used.

The public safety plan for the use of methacrylate resin shall include details for the following:

1. Shipping
2. Storage
3. Handling
4. Disposal of residual methacrylate resin and the containers

The placement plan for construction shall include the following:

1. Schedule of deck treatment for each bridge. The schedule shall be consistent with "Maintaining Traffic," of the special provisions and shall include time for the Engineer to perform California Test 342.
2. Methods and materials to be used, including the following:

    2.1. Description of equipment for applying the resin
    2.2. Description of equipment for applying the sand
    2.3. Gel time range and final cure time for the resin

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of methacrylate resin, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

51-1.17A(2) Materials

Before using methacrylate resin, a Material Safety Data Sheet shall be submitted for each shipment of resin.

Methacrylate resin shall be low odor and have a high molecular weight. Before adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

PROPERTY	REQUIREMENT	TEST METHOD
* Viscosity	0.025 Pa·s, maximum, (Brookfield RVT with UL adaptor, 50 RPM at 25°C	ASTM D 2196
* Specific Gravity	0.90 minimum, at 25°C	ASTM D 1475
* Flash Point	82°C, minimum	ASTM D 3278
* Vapor Pressure	1.0 mm Hg, maximum, at 25°C	ASTM D 323
Tack-free Time	400 minutes, maximum, at 25°C	Specimen prepared per California Test 551
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21±1°C	California Test 551
* Test shall be performed before adding initiator.		

51-1.17A(3) Testing

The Contractor shall allow 20 days for sampling and testing by the Engineer of the methacrylate resin before proposed use. If bulk resin is to be used, the Contractor shall notify the Engineer in writing at least 15 days before the delivery of the bulk resin to the job site. Bulk resin is any resin stored in containers in excess of 209 liters.

Before starting production treatment, the Contractor shall treat a test area of approximately 50 square meters that is within the project limits and at a location approved by the Engineer. When available the test area shall be outside of the traveled way. Weather and pavement conditions during the test treatment shall be similar to those expected on the deck. Equipment used for testing shall be similar to those used for deck treating operations.

During test and production deck treatment, test tiles shall be used to evaluate the resin cure time. The Contractor shall coat at least one 102 mm x 102 mm commercial quality smooth glazed tile for each batch of methacrylate resin. The coated tile shall be placed adjacent to the corresponding treated area. Sand shall not be applied to the test tiles.

The acceptance criteria for a treated area is as follows:

1. The test tiles are dry to the touch.
2. The treated deck surface is tack free (non-oily).
3. The sand cover adheres and resists brushing by hand.
4. Excess sand has been removed by vacuuming or sweeping.
5. The coefficient of friction is at least 0.35 when tested in conformance with California Test 342.

If a test or production area fails to meet the acceptance criteria, as determined by the Engineer, the treatment will be rejected, and the treatment shall be removed and replaced until the area complies with the acceptance criteria.

51-1.17A(4) Construction

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices as necessary to prevent oil or other deleterious material from being deposited on the deck.

Before deck treatment with methacrylate resin, the bridge deck surface shall be cleaned by abrasive blasting, and all loose material shall be blown from visible cracks using high-pressure air. Concrete curing seals shall be cleaned from the deck surface to be treated, and the deck shall be dry when blast cleaning is performed. If the deck surface becomes contaminated at any time before placing the resin, the deck surface shall be cleaned by abrasive blasting.

Where abrasive blasting is being performed within 3 m of a lane occupied by public traffic, the residue including dust shall be removed immediately after contact between the abrasive and the surface being treated. The removal shall be by a vacuum attachment operating concurrently with the abrasive blasting operation.

A compatible promoter/initiator system shall be capable of providing the resin gel time range shown on the placement plan. Gel time shall be adjusted to compensate for the changes in temperature throughout treatment application.

Resin shall be applied by machine and by using a two-part resin system with a promoted resin for one part and an initiated resin for the other part. This two-part resin system shall be combined at equal volumes to the spray bars through separate positive displacement pumps. Combining of the 2 components shall be by either static in-line mixers or by external intersecting spray fans. The pump pressure at the spray bars shall not be great enough to cause appreciable atomization of the resin. Compressed air shall not be used to produce the spray. A shroud shall be used to enclose the spray bar apparatus.

At the Contractor's option, manual application may be used. For manual application, (1) the quantity of resin mixed with promoter and initiator shall be limited to 20 L at a time, and (2) the resin shall be distributed by squeegees and brooms within 10 minutes after application.

The Contractor shall apply methacrylate resin only to the specified area. Barriers, railing, joints, and drainage facilities shall be adequately protected to prevent contamination by the treatment material. Contaminated items shall be repaired at the Contractor's expense.

The relative humidity shall be less than 90 percent at the time of treatment. The prepared area shall be dry and the surface temperature shall be at least 10°C , and not more than 38°C when the resin is applied. The rate of application of promoted/initiated resin shall be 2.2 square meter per liter; the exact rate shall be determined by the Engineer.

The deck surfaces to be treated shall be completely covered with resin so the resin penetrates and fills all cracks. The resin shall be applied within 5 minutes after complete mixing. A significant increase in viscosity shall be cause for rejection. Excess material shall be redistributed by squeegees or brooms within 10 minutes after application. For textured deck surfaces, including grooved surfaces, excess material shall be removed from the texture indentations.

After the resin has been applied, at least 20 minutes shall elapse before applying sand. The sand shall be commercial quality dry blast sand. At least 95 percent of the sand shall pass the 2.36-mm sieve and at least 95 percent shall be retained on the 850-µm sieve. The sand shall be applied at a rate of approximately one kilogram per square meter or until refusal as determined by the Engineer.

Traffic will not be allowed on treated areas until the acceptance criteria has been met as determined by the Engineer.

In Section 51-1.18C replace the 2nd paragraph with:

When Class 2 surface finish (gun finish) is specified, ordinary surface finish shall first be completed. The concrete surfaces shall then be abrasive blasted to a rough texture and thoroughly washed down with water. While the washed surfaces are damp, but not wet, a finish coating of machine applied mortar, approximately 6 mm thick, shall be applied in not less than 2 passes. The coating shall be pneumatically applied and shall consist of either (1) sand, cementitious material, and water mechanically mixed prior to its introduction to the nozzle or (2) premixed sand and cementitious material to which water is added prior to its expulsion from the nozzle. The use of admixtures shall be subject to the approval of the Engineer as provided in Section 90, "Portland Cement Concrete." Unless otherwise specified, supplementary cementitious materials will not be required. The proportion of cementitious material to sand shall be not less than one to 4, unless otherwise directed by the Engineer. Sand shall be of a grading suitable for the purpose intended. The machines shall be operated and the coating shall be applied in conformance with standard practice. The coating shall be firmly bonded to the concrete surfaces on which it is applied.

In Section 51-1.18C replace the 5th paragraph with:

When surfaces to be finished are in pedestrian undercrossings, the sand shall be silica sand and the cementitious material shall be standard white portland cement.

In Section 51-1.23 replace the 14th paragraph with:

Full compensation for drilling holes for dowels and grouting dowels in drilled holes; furnishing and placing mortar for mortaring spaces and recesses in and between precast members; furnishing and placing grit for walkways, stair treads and landings; furnishing and placing expansion joint filler, sheet packing, board fillers, elastomeric bearing pads, sliding joints, sliding bearings and preformed fabric pads; and grinding or grooving, as required, shall be considered as included in the contract prices paid for the various items of concrete work and no additional compensation will be allowed therefor.

In Section 51-1.23 add after the 16th paragraph:

Full compensation for deck crack treatment, including execution of the public safety plan, shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge, and no additional compensation will be allowed therefor.

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SECTION 52 REINFORCEMENT
(Issued 12-07-07)

In Section 52-1.02A replace the 1st paragraph with:

Reinforcing bars shall be low-alloy steel deformed bars conforming to the requirements in ASTM Designation: A 706/A 706M, except that deformed or plain billet-steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420, may be used as reinforcement in the following 5 categories:

A. Slope and channel paving,
B. Minor structures,
C. Sign and signal foundations (pile and spread footing types),
D. Roadside rest facilities, and
E. Concrete barrier Type 50 and Type 60 series and temporary railing.

In Section 52-1.04 replace the 3rd paragraph with:

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall also be furnished for each shipment of epoxy-coated bar reinforcement or wire reinforcement certifying that the coated reinforcement conforms to the requirements in ASTM Designation: A 775/A 775M or A 884/A 884M respectively, and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement." The Certificate of Compliance shall include all of the certifications specified in ASTM Designation: A 775/A 775M or A 884/A 884M respectively.

In Section 52-1.06 replace the 3rd paragraph with:

Hooks and bends shall conform to the provisions of the Building Code Requirements for Structural Concrete of the American Concrete Institute.

In Section 52-1.07 in the 3rd paragraph, delete item C

In Section 52-1.07 replace the 11th paragraph with:

Attention is directed to the provisions in Section 7-1.09, "Public Safety." Whenever a portion of an assemblage of bar reinforcing steel that is not encased in concrete exceeds 6 m in height, the Contractor shall submit to the Engineer for approval, in accordance with the provisions in Section 5-1.02, "Plans and Working Drawings," working drawings and design calculations for the temporary support system to be used. The working drawings and design calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California. The temporary support system shall be designed to resist all expected loads and shall be adequate to prevent collapse or overturning of the assemblage. If the installation of forms or other work requires revisions to or temporary release of any portion of the temporary support system, the working drawings shall show the support system to be used during each phase of construction. The minimum horizontal wind load to be applied to the bar reinforcing steel assemblage, or to a combined assemblage of reinforcing steel and forms, shall be the sum of the products of the wind impact area and the applicable wind pressure value for each height zone. The wind impact area is the total projected area of the cage normal to the direction of the applied wind. Wind pressure values shall be determined from the following table:

Height Zone (Meters above ground)	Wind Pressure Value (Pa)
0-9.0	960
9.1-15.0	1200
15.1-30.0	1440
Over 30	1675

Replace Section 52-1.08 with:

52-1.08 SPLICING

Splices of reinforcing bars shall consist of lap splices, service splices, or ultimate butt splices.

Splicing of reinforcing bars will not be permitted at a location designated on the plans as a "No-Splice Zone." At the option of the Contractor, reinforcing bars may be continuous at locations where splices are shown on the plans. The location of splices, except where shown on the plans, shall be determined by the Contractor using available commercial lengths where practicable.

Unless otherwise shown on the plans, splices in adjacent reinforcing bars at any particular section shall be staggered. The minimum distance between staggered lap splices or mechanical lap splices shall be the same as the length required for a lap splice in the largest bar. The minimum distance between staggered butt splices shall be 600 mm, measured between the midpoints of the splices along a line which is centered between the axes of the adjacent bars.

52-1.08A Lap Splicing Requirements

Splices made by lapping shall consist of placing reinforcing bars in contact and wiring them together, maintaining the alignment of the bars and the minimum clearances. Should the Contractor elect to use a butt welded or mechanical splice at a location not designated on the plans as requiring a service or ultimate butt splice, this splice shall conform to the testing requirements for service splice.

Reinforcing bars shall not be spliced by lapping at locations where the concrete section is not sufficient to provide a minimum clear distance of 50 mm between the splice and the nearest adjacent bar. The clearance to the surface of the concrete specified in Section 52-1.07, "Placing," shall not be reduced.

Reinforcing bars Nos. 43 and 57 shall not be spliced by lapping.

Where ASTM Designations: A 615/A 615M, Grade 420 or A 706/A 706M reinforcing bars are required, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 45 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 60 diameters of the smaller bar joined, except when otherwise shown on the plans.

Where ASTM Designation: A 615/A 615M, Grade 280 reinforcing bars are permitted, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 30 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 45 diameters of the smaller bar joined, except when otherwise shown on the plans.

Splices in bundled bars shall conform to the following:

A In bundles of 2 bars, the length of the lap splice shall be the same as the length of a single bar lap splice.
B. In bundles of 3 bars, the length of the lap splice shall be 1.2 times the length of a single bar lap splice.

Welded wire fabric shall be lapped such that the overlap between the outermost cross wires is not less than the larger of:

A. 150 mm,
B. The spacing of the cross wires plus 50 mm, or
C. The numerical value of the longitudinal wire size (MW-Size Number) times 370 divided by the spacing of the longitudinal wires in millimeters.

52-1.08B Service Splicing and Ultimate Butt Splicing Requirements

Service splices and ultimate butt splices shall be either butt welded or mechanical splices, shall be used at the locations shown on the plans, and shall conform to the requirements of these specifications and the special provisions.

52-1.08B(1) Mechanical Splices

Mechanical splices to be used in the work shall be on the Department's current prequalified list before use. The prequalified list can be obtained from the Department's internet site listed in the special provisions or by contacting the Transportation Laboratory directly.

When tested in conformance with the requirements in California Test 670, the total slip shall not exceed the values listed in the following table:

Reinforcing Bar Number	Total Slip (μm)
13	250
16	250
19	250
22	350
25	350
29	350
32	450
36	450
43	600
57	750

Slip requirements shall not apply to mechanical lap splices, splices that are welded, or splices that are used on hoops.

Splicing procedures shall be in conformance with the manufacturer's recommendations, except as modified in this section. Splices shall be made using the manufacturer's standard equipment, jigs, clamps, and other required accessories.

Splice devices shall have a clear coverage of not less than 40 mm measured from the surface of the concrete to the outside of the splice device. Stirrups, ties, and other reinforcement shall be adjusted or relocated, and additional reinforcement shall be placed, if necessary, to provide the specified clear coverage to reinforcement.

The Contractor shall furnish the following information for each shipment of splice material in conformance with the provisions in Section 6-1.07, "Certificates of Compliance:"

A. The type or series identification of the splice material including tracking information for traceability.
B. The bar grade and size number to be spliced.
C. A copy of the manufacturer's product literature giving complete data on the splice material and installation procedures.
D. A statement that the splicing systems and materials used in conformance with the manufacturer's installation procedures will develop the required tensile strengths, based on the nominal bar area, and will conform to the total slip requirements and the other requirements in these specifications.
E. A statement that the splice material conforms to the type of mechanical splice in the Department's current prequalified list.

52-1.08B(2) Butt Welded Splices

Except for resistance butt welds, butt welded splices of reinforcing bars shall be complete joint penetration butt welds conforming to the requirements in AWS D 1.4, and these specifications.

Welders and welding procedures shall be qualified in conformance with the requirements in AWS D 1.4.

Only the joint details and dimensions as shown in Figure 3.2, "Direct Butt Joints," of AWS D 1.4, shall be used for making complete joint penetration butt welds of bar reinforcement. Split pipe backing shall not be used.

Butt welds shall be made with multiple weld passes using a stringer bead without an appreciable weaving motion. The maximum stringer bead width shall be 2.5 times the diameter of the electrode and slagging shall be performed between each weld pass. Weld reinforcement shall not exceed 4 mm in convexity.

Electrodes used for welding shall meet the minimum Charpy V-notch impact requirement of 27°J at –20°C.

For welding of bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 280 or Grade 420, the requirements of Table 5.2, "Minimum Preheat and Interpass Temperatures," of AWS D 1.4 are superseded by the following:

> The minimum preheat and interpass temperatures shall be 200°C for Grade 280 bars and 300°C for Grade 420 bars. Immediately after completing the welding, at least 150 mm of the bar on each side of the splice shall be covered by an insulated wrapping to control the rate of cooling. The insulated wrapping shall remain in place until the bar has cooled below 90°C.

When welding different grades of reinforcing bars, the electrode shall conform to Grade 280 bar requirements and the preheat shall conform to the Grade 420 bar requirements.

In the event that any of the specified preheat, interpass, and post weld cooling temperatures are not met, all weld and heat affected zone metal shall be removed and the splice rewelded.

Welding shall be protected from air currents, drafts, and precipitation to prevent loss of heat or loss of arc shielding. The method of protecting the welding area from loss of heat or loss of arc shielding shall be subject to approval by the Engineer.

Reinforcing bars shall not be direct butt spliced by thermite welding.

Procedures to be used in making welded splices in reinforcing bars, and welders employed to make splices in reinforcing bars, shall be qualified by tests performed by the Contractor on sample splices of the type to be used, before making splices to be used in the work.

52-1.08B(3) Resistance Butt Welds

Shop produced resistance butt welds shall be produced by a fabricator who is approved by the Transportation Laboratory. The list of approved fabricators can be obtained from the Department's internet site or by contacting the Transportation Laboratory directly.

Before manufacturing hoops using resistance butt welding, the Contractor shall submit to the Engineer the manufacturer's Quality Control (QC) manual for the fabrication of hoops. As a minimum, the QC manual shall include the following:

A. The pre-production procedures for the qualification of material and equipment.
B. The methods and frequencies for performing QC procedures during production.
C. The calibration procedures and calibration frequency for all equipment.
D. The welding procedure specification (WPS) for resistance welding.
E. The method for identifying and tracking lots.

52-1.08C Service Splice and Ultimate Butt Splice Testing Requirements

The Contractor shall designate in writing a splicing Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for 1) the quality of all service and ultimate butt splicing including the inspection of materials and workmanship performed by the Contractor and all subcontractors; and 2) submitting, receiving, and approving all correspondence, required submittals, and reports regarding service and ultimate splicing to and from the Engineer.

The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Testing on prequalification and production sample splices shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The laboratory shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

A. Proper facilities, including a calibrated tensile testing machine capable of breaking the largest size of reinforcing bar to be tested.
B. A device for measuring the total slip of the reinforcing bars across the splice to the nearest 25 µm, that, when placed parallel to the longitudinal axis of the bar is able to simultaneously measure movement across the splice at 2 locations 180 degrees apart.
C. Operators who have received formal training for performing the testing requirements of ASTM Designation: A 370 and California Test 670.
D. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

The Contractor shall provide samples for quality assurance testing in conformance with the provisions in these specifications and the special provisions.

Prequalification and production sample splices and testing shall conform to California Test 670 and these specifications.

The Contractor shall ensure that sample splices are properly secured and transported to the testing laboratory in such a manner that no alterations to the physical conditions occur during transportation. Sample splices shall be tested in the same condition as received. No modifications to the sample splices shall be made before testing.

Each set or sample splice, as defined herein, shall be identified as representing either a prequalification or production test sample splice.

For the purpose of production testing, a lot of either service splices or ultimate butt splices is defined as 1) 150, or fraction thereof, of the same type of mechanical splices used for each bar size and each bar deformation pattern that is used in the work, or 2) 150, or fraction thereof, of complete joint penetration butt welded splices or resistance butt welded splices for each bar size used in the work. If different diameters of hoop reinforcement are shown on the plans, separate lots shall be used for each different hoop diameter.

Whenever a lot of splices is rejected, the rejected lot and subsequent lots of splices shall not be used in the work until 1) the QCM performs a complete review of the Contractor's quality control process for these splices, 2) a written report is

submitted to the Engineer describing the cause of failure for the splices in this lot and provisions for preventing similar failures in future lots, and 3) the Engineer has provided the Contractor with written notification that the report is acceptable. The Engineer shall have 3 working days after receipt of the report to provide notification to the Contractor. In the event the Engineer fails to provide notification within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in providing notification, the Contractor will be compensated for any resulting loss, and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

52-1.08C(1) Splice Prequalification Report

Before using any service splices or ultimate butt splices in the work, the Contractor shall submit a Splice Prequalification Report. The report shall include splice material information, names of the operators who will be performing the splicing, and descriptions of the positions, locations, equipment, and procedures that will be used in the work.

The Splice Prequalification Report shall also include certifications from the fabricator for prequalifications of operators and procedures based on sample tests performed no more than 2 years before submitting the report. Each operator shall be certified by performing 2 sample splices for each bar size of each splice type that the operator will be performing in the work. For deformation-dependent types of splice devices, each operator shall be certified by performing 2 additional samples for each bar size and deformation pattern that will be used in the work.

Prequalification sample splices shall be tested by an independent qualified testing laboratory and shall conform to the appropriate production test criteria and slip requirements specified herein. When epoxy-coated reinforcement is required, resistance butt welded sample splices shall have the weld flash removed by the same procedure as will be used in the work, before coating and testing. The Splice Prequalification Report shall include the certified test results for all prequalification sample splices.

The QCM shall review and approve the Splice Prequalification Report before submitting it to the Engineer for approval. The Contractor shall allow 2 weeks for the review and approval of a complete report before performing any service splicing or ultimate butt splicing in the work. In the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

52-1.08C(2) Service Splice Test Criteria

Service production and quality assurance sample splices shall be tensile tested in conformance with the requirements in ASTM Designation: A 370 and California Test 670 and shall develop a minimum tensile strength of not less than 550 MPa.

52-1.08C(2)(a) Production Test Requirements for Service Splices

Production tests shall be performed by the Contractor's independent laboratory for all service splices used in the work. A production test shall consist of testing 4 sample splices prepared for each lot of completed splices. The samples shall be prepared by the Contractor using the same splice material, position, operators, location, and equipment, and following the same procedure as used in the work.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

The 4 samples from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 samples of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 samples shall be tested for, and shall conform to, the requirements for total slip. Should this sample not meet the total slip requirements, one retest, in which the 3 remaining samples are tested for total slip, will be allowed. Should any of the 3 remaining samples not conform to the total slip requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in this Section 52-1.08C(2),"Service Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," one additional production test shall be performed on the same lot of splices. This additional production test shall consist of testing 4 samples splices that have been randomly selected by the Engineer and removed by the Contractor from the actual completed lot of splices. Should any of the 4 splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed before the Engineer selects additional splices from this lot for further testing.

52-1.08C(2)(b) Quality Assurance Test Requirements for Service Splices

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional service quality assurance sample splices. These service quality assurance sample splices shall be prepared in the same manner as specified herein for service production sample splices.

These 4 additional quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), for mechanical splices, or in Section 52-1.08B(3), for resistance butt welds, will not be tested.

Quality assurance testing will be performed in conformance with the requirements for service production sample splices in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices."

52-1.08C(3) Ultimate Butt Splice Test Criteria

Ultimate production and quality assurance sample splices shall be tensile tested in conformance with the requirements described in ASTM Designation: A 370 and California Test 670.

A minimum of 1 control bar shall be removed from the same bar as, and adjacent to, all ultimate prequalification, production, and quality assurance sample splices. The lengths of control bars shall conform to the lengths specified for sample splices in California Test 670. The portion of adjacent bar remaining in the work shall also be identified with weatherproof markings that correspond to its adjacent control bar.

Each sample splice and its associated control bar shall be identified and marked as a set. Each set shall be identified as representing a prequalification, production, or quality assurance sample splice.

The portion of hoop reinforcing bar, removed to obtain a sample splice and control bar, shall be replaced using a prequalified ultimate mechanical butt splice, or the hoop shall be replaced in kind.

Reinforcing bars, other than hoops, from which sample splices are removed, shall be repaired using ultimate mechanical butt splices conforming to the provisions in Section 52-1.08C(1), "Splice Prequalification Report," or the bars shall be replaced in kind. These bars shall be repaired or replaced such that no splices are located in any "No Splice Zone" shown on the plans.

Ultimate production and quality assurance sample splices shall rupture in the reinforcing bar either: 1) outside of the affected zone or 2) within the affected zone, provided that the sample splice has achieved at least 95 percent of the ultimate tensile strength of the control bar associated with the sample splice. In addition, necking of the bar, as defined in California Test 670, shall occur at rupture regardless of whether the bar breaks inside or outside the affected zone.

The affected zone is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered by fabrication or installation of the splice.

The ultimate tensile strength shall be determined for all control bars by tensile testing the bars to rupture, regardless of where each sample splice ruptures. If 2 control bars are tested for one sample splice, the bar with the lower ultimate tensile strength shall be considered the control bar.

52-1.08C(3)(a) Production Test Requirements for Ultimate Butt Splices

Production tests shall be performed for all ultimate butt splices used in the work. A production test shall consist of testing 4 sets of sample splices and control bars removed from each lot of completed splices, except when quality assurance tests are performed.

After the splices in a lot have been completed, and the bars have been epoxy-coated when required, the QCM shall notify the Engineer in writing that the splices in this lot conform to the specifications and are ready for testing. Except for hoops, sample splices will be selected by the Engineer at the job site. Sample splices for hoops will be selected by the Engineer either at the job site or a fabrication facility.

After notification has been received, the Engineer will randomly select the 4 sample splices to be removed from the lot and place tamper-proof markings or seals on them. The Contractor shall select the adjacent control bar for each sample splice bar, and the Engineer will place tamper-proof markings or seals on them. These ultimate production sample splices and control bars shall be removed by the Contractor, and tested by an independent qualified testing laboratory.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

A sample splice or control bar from any set will be rejected if a tamper-proof marking or seal is disturbed before testing.

The 4 sets from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 sets of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 sample splices shall be tested for, and shall conform to, the requirements for total slip. Should this sample splice not meet these requirements, one retest, in which the 3 remaining sample splices are tested for total slip, will be allowed. Should any of the 3 remaining sample splices not conform to these requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," one additional production test shall be performed on the same lot of splices. Should any of the 4 sample splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed, complete in place, before the Engineer selects additional splices from this lot for further testing.

Production tests will not be required on repaired splices from a lot, regardless of the type of prequalified ultimate mechanical butt splice used to make the repair. However, should an additional production test be required, the Engineer may select any repaired splice for the additional production test.

52-1.08C(3)(b) Quality Assurance Test Requirements for Ultimate Butt Splices

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional ultimate quality assurance sample splices along with associated control bars.

Each time 4 additional ultimate quality assurance sample splices are prepared, 2 of these quality assurance sample splice and associated control bar sets and 2 of the production sample splice and associated control bar sets, together, shall conform to the requirements for ultimate production sample splices in Section 52-1.08C(3)(a),"Production Test Requirements for Ultimate Butt Splices."

The 2 remaining quality assurance sample splice and associated control bar sets, along with the 2 remaining production sample splice and associated control bar sets shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sets shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 sets will not be tested.

Quality assurance testing will be performed in conformance with the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

52-1.08C(3)(c) Nondestructive Splice Tests

When the specifications allow for welded sample splices to be taken from other than the completed lot of splices, the Contractor shall meet the following additional requirements.

Except for resistance butt welded splices, radiographic examinations shall be performed on 25 percent of all complete joint penetration butt welded splices from a production lot. The size of a production lot will be a maximum of 150 splices. The Engineer will select the splices which will compose the production lot and also the splices within each production lot to be radiographically examined.

All required radiographic examinations of complete joint penetration butt welded splices shall be performed by the Contractor in conformance with the requirements in AWS D 1.4 and these specifications.

Before radiographic examination, welds shall conform to the requirements in Section 4.4, "Quality of Welds," of AWS D 1.4.

Should more than 12 percent of the splices which have been radiographically examined in any production lot be defective, an additional 25 percent of the splices, selected by the Engineer from the same production lot, shall be radiographically examined. Should more than 12 percent of the cumulative total of splices tested from the same production lot be defective, all remaining splices in the lot shall be radiographically examined.

Additional radiographic examinations performed due to the identification of defective splices shall be at the Contractor's expense.

All defects shall be repaired in conformance with the requirements in AWS D 1.4.

The Contractor shall notify the Engineer in writing 48 hours before performing any radiographic examinations.

The radiographic procedure used shall conform to the requirements in AWS D1.1, AWS D1.4, and the following:

A. Two exposures shall be made for each complete joint penetration butt welded splice. For each of the 2 exposures, the radiation source shall be centered on each bar to be radiographed. The first exposure shall be made with the radiation source placed at zero degrees from the top of the weld and perpendicular to the weld root and identified with a station mark of "0." The second exposure shall be at 90 degrees to the "0" station mark and shall be identified with a station mark of "90." When obstructions prevent a 90 degree placement of the radiation source for the second exposure, and when approved in writing by the Engineer, the source may be rotated, around the centerline of the reinforcing bar, a maximum of 25 degrees.
B. For field produced complete joint penetration butt welds, no more than one weld shall be radiographed during one exposure. For shop produced complete joint penetration butt welds, if more than one weld is to be radiographed during one exposure, the angle between the root line of each weld and the direction to the radiation source shall be not less than 65 degrees.
C. Radiographs shall be made by either X-ray or gamma ray. Radiographs made by X-ray or gamma rays shall have densities of not less than 2.3 nor more than 3.5 in the area of interest. A tolerance of 0.05 in density is allowed for densitometer variations. Gamma rays shall be from the iridium 192 isotope and the emitting specimen shall not exceed 4.45 mm in the greatest diagonal dimension.
D. The radiographic film shall be placed perpendicular to the radiation source at all times; parallel to the root line of the weld unless source placement determines that the film must be turned; and as close to the root of the weld as possible.
E. The minimum source to film distance shall be maintained so as to ensure that all radiographs maintain a maximum geometric unsharpness of 0.020 at all times, regardless of the size of the reinforcing bars.
F. Penetrameters shall be placed on the source side of the bar and perpendicular to the radiation source at all times. One penetrameter shall be placed in the center of each bar to be radiographed, perpendicular to the weld root, and adjacent to the weld. Penetrameter images shall not appear in the weld area.
G. When radiography of more than one weld is being performed per exposure, each exposure shall have a minimum of one penetrameter per bar, or 3 penetrameters per exposure. When 3 penetrameters per exposure are used, one penetrameter shall be placed on each of the 2 outermost bars of the exposure, and the remaining penetrameter shall be placed on a centrally located bar.
H. An allowable weld buildup of 4 mm may be added to the total material thickness when determining the proper penetrameter selection. No image quality indicator equivalency will be accepted. Wire penetrameters or penetrameter blocks shall not be used.
I. Penetrameters shall be sufficiently shimmed using a radiographically identical material. Penetrameter image densities shall be a minimum of 2.0 and a maximum of 3.6.
J. Radiographic film shall be Class 1, regardless of the size of reinforcing bars.
K. Radiographs shall be free of film artifacts and processing defects, including, but not limited to, streaks, scratches, pressure marks or marks made for the purpose of identifying film or welding indications.
L. Each splice shall be clearly identified on each radiograph and the radiograph identification and marking system shall be established between the Contractor and the Engineer before radiographic inspection begins. Film shall be identified by lead numbers only; etching, flashing or writing in identifications of any type will not be permitted. Each piece of film identification information shall be legible and shall include, as a minimum, the following information: Contractor's name, date, name of nondestructive testing firm, initials of radiographer, contract number, part number and weld number. The letter "R" and repair number shall be placed directly after the weld number to designate a radiograph of a repaired weld.
M. Radiographic film shall be developed within a time range of one minute less to one minute more than the film manufacturer's recommended maximum development time. Sight development will not be allowed.
N. Processing chemistry shall be done with a consistent mixture and quality, and processing rinses and tanks shall be clean to ensure proper results. Records of all developing processes and any chemical changes to the developing processes shall be kept and furnished to the Engineer upon request. The Engineer may request, at any time, that a sheet of unexposed film be processed in the presence of the Engineer to verify processing chemical and rinse quality.
O. The results of all radiographic interpretations shall be recorded on a signed certification and a copy kept with the film packet.
P. Technique sheets prepared in conformance with the requirements in ASME Boiler and Pressure Vessels Code, Section V, Article 2 Section T-291 shall also contain the developer temperature, developing time, fixing duration and all rinse times.

52-1.08D Reporting Test Results

A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory performing the testing and submitted to the QCM for review and approval. The report shall be signed by an engineer who

represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include, as a minimum, the following information for each test: contract number, bridge number, lot number and location, bar size, type of splice, length of mechanical splice, length of test specimen, physical condition of test sample splice and any associated control bar, any notable defects, total measured slip, ultimate tensile strength of each splice, and for ultimate butt splices, limits of affected zone, location of visible necking area, ultimate tensile strength and 95 percent of this ultimate tensile strength for each control bar, and a comparison between 95 percent of the ultimate tensile strength of each control bar and the ultimate tensile strength of its associated splice.

The QCM must review, approve, and forward each Production Test Report to the Engineer for review before the splices represented by the report are encased in concrete. The Engineer will have 3 working days to review each Production Test Report and respond in writing after a complete report has been received. Should the Contractor elect to encase any splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review and provide notification within the time allowed, and if, in the opinion of the Engineer, the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

Quality assurance test results for each bundle of 4 sets or 4 samples of splices will be reported in writing to the Contractor within 3 working days after receipt of the bundle by the Transportation Laboratory. In the event that more than one bundle is received on the same day, 2 additional working days shall be allowed for providing test results for each additional bundle received. A test report will be made for each bundle received. Should the Contractor elect to encase splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

In Section 52-1.11 after the 7th paragraph, add:

If a portion or all of the reinforcing steel is epoxy-coated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the epoxy-coated reinforcement will be reduced $5000 for each epoxy-coating facility located more than 480 air line kilometers from both Sacramento and Los Angeles and an additional $3000 ($8000 total) for each epoxy-coating facility located more than 4800 air line kilometers from both Sacramento and Los Angeles.

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SECTION 53 SHOTCRETE
(Issued 11-02-07)

In Section 53-1.01 replace the 3rd paragraph with:

The dry-mix process shall consist of delivering dry mixed aggregate and cementitious material pneumatically or mechanically to the nozzle body and adding water and mixing the materials in the nozzle body. The wet-mix process shall consist of delivering mixed aggregate, cement, and water pneumatically to the nozzle and adding any admixture at the nozzle.

In Section 53-1.02 replace the 1st, 2nd, 3rd and 4th paragraphs with:

Cementitious material, fine aggregate, and mixing water shall conform to the provisions in Section 90, "Portland Cement Concrete."

Shotcrete to be mixed and applied by the dry-mix process shall consist of one part cementitious material to not more than 4.5 parts fine aggregate, thoroughly mixed in a dry state before being charged into the machine. Measurement may be either by volume or by mass. The fine aggregate shall contain not more than 6 percent moisture by mass.

Shotcrete to be mixed and applied by the wet-mix process shall consist of cementitious material, fine aggregate, and water and shall contain not less than 375 kilograms of cementitious material per cubic meter. A maximum of 30 percent pea gravel may be substituted for fine aggregate. The maximum size of pea gravel shall be such that 100 percent passes the 12.5 mm screen and at least 90 percent passes the 9.5 mm screen.

Admixtures may be added to shotcrete and shall conform to the provisions in Section 90-4, "Admixtures."

In Section 53-1.04 in the 3rd paragraph, replace the 3rd subparagraph with:

Aggregate and cementitious material that have been mixed for more than 45 minutes shall not be used unless otherwise permitted by the Engineer.

Replace Section 53-1.07 with:

53-1.07 MEASUREMENT

Quantities of shotcrete will be measured by the cubic meter computed from measurements, along the slope, of actual areas placed and the theoretical thickness shown on the plans. The Department does not pay for shotcrete placed outside the dimensions shown on the plans or to fill low foundation.

Replace Section 53-1.08 with:

53-1.08 PAYMENT

The contract price paid per cubic meter for shotcrete shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing shotcrete, including preparing the foundation, wire reinforcement, structure backfill, joint filling material, and if required by the plans, drains with sacked pervious backfill material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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SECTION 55 STEEL STRUCTURES
(Issued 05-02-08)

In Section 55-1.01 replace the 3rd paragraph with:

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

In Section 55-1.01 replace the 4th paragraph with:

Details of connections for highway bridges selected for use by the Contractor shall conform to the AASHTO LRFD Bridge Design Specifications with Caltrans Amendments.

Details of design selected by the Contractor, fabrication and workmanship, for steel railway bridges shall conform to the requirements of the Specifications for Steel Railway Bridges, for Fixed Spans Not Exceeding 400 Feet in Length of the AREMA, as set forth in the special provisions.

In Section 55-1.05 replace the 3rd paragraph with:

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

In Section 55-2.01 replace the 4th and 5th paragraphs with:

All structural steel plate used for the fabrication of tension members, tension flanges, eyebars and hanger plates and for splice plates of tension members, tension flanges and eyebars shall meet the longitudinal Charpy V-notch impact value requirements specified herein. Sampling procedures shall conform to the requirements in ASTM Designation: A 673. The H (Heat) frequency of testing shall be used for structural steels conforming to the requirements in ASTM Designations: A 709/A 709M, Grades 36 [250], 50 [345], 50W [345W], and HPS 50W [345W]. The P (Piece) frequency of testing shall be used for structural steel conforming to the requirements in ASTM Designation: A 709/A 709M, Grades HPS 70W [485W], 100 [690], and 100W [690W]. Charpy V-notch impact values shall be determined in conformance with the requirements in ASTM Designation: E 23.

Charpy V-notch (CVN) impact values shall conform to the following minimum values for non fracture critical members:

Material Conforming to ASTM Designation: A 709/A 709M	CVN Impact Value (Joules at Temp.)
Grade 36 [250]	20 at 4°C
Grade 50 [345]* (50 mm and under in thickness)	20 at 4°C
Grade 50W [345W]* (50 mm and under in thickness)	20 at 4°C
Grade 50 [345]* (Over 50 mm to 100 mm in thickness)	27 at 4°C
Grade 50W [345W]* (Over 50 mm to 100 mm in thickness)	27 at 4°C
Grade HPS 50W [345W]* (100 mm and under in thickness)	27 at -12°C
Grade HPS 70W [485]* (100 mm and under in thickness)	34 at -23°C
Grade 100 [490] (65 mm and under in thickness)	34 at -18°C
Grade 100W [490W] (Over 65 mm to 100 mm in thickness)	48 at -18°C

* If the yield point of the material exceeds 450 MPa, the temperature for the CVN impact value for acceptability shall be reduced 8°C for each increment of 70 MPa above 450 MPa.

Structural Steel Materials

Material	Specification
Structural steel:	
Carbon steel	ASTM: A 709/A 709M, Grade 36 [250] or {A 36/A 36M}a
High strength low alloy columbium vanadium steel	ASTM: A 709/A 709M, Grade 50 [345]or {A 572/A 572M, Grade 50 [345]}a
High strength low alloy structural steel	ASTM: A 709/A 709M, Grade 50W [345W], Grade HPS 50W [HSP 345W], or {A 588/A 588M}a
High strength low alloy structural steel plate	ASTM: A 709/A 709M, Grade HPS 70W [HPS 485W]
High-yield strength, quenched and tempered alloy steel plate suitable for welding	ASTM: A 709/A 709M, Grade 100 [690] and Grade 100W [690W], or {A 514/A 514M}a
Steel fastener components for general applications:	
Bolts and studs	ASTM: A 307
Headed anchor bolts	ASTM: A 307, Grade B, including S1 supplementary requirements
Nonheaded anchor bolts	ASTM: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO: M 314 supplementary requirements or AASHTO: M 314, Grade 36 or 55, including S1 supplementary requirements
High-strength bolts and studs	ASTM: A 449, Type 1
High-strength threaded rods	ASTM: A 449, Type 1
High-strength nonheaded anchor bolts	ASTM: A 449, Type 1
Nuts	ASTM: A 563, including Appendix X1b

Washers	ASTM: F 844
Components of high-strength steel fastener assemblies for use in structural steel joints:	
Bolts	ASTM: A 325, Type 1
Tension control bolts	ASTM: F 1852, Type 1
Nuts	ASTM: A 563, including Appendix X1b
Hardened washers	ASTM : F 436, Type 1, Circular, including S1 supplementary requirements
Direct tension indicators	ASTM: F 959, Type 325, zinc-coated
Carbon steel for forgings, pins and rollers	ASTM: A 668/A 668M, Class D
Alloy steel for forgings	ASTM: A 668/A 668M, Class G
Pin nuts	ASTM: A 36/A 36M
Carbon-steel castings	ASTM: A 27/A 27M, Grade 65-35, Class 1
Malleable iron castings	ASTM: A 47, Grade 32510 or A 47M, Grade 22010
Gray iron castings	ASTM: A 48, Class 30B
Carbon steel structural tubing	ASTM: A 500, Grade B or A 501
Steel pipe (Hydrostatic testing will not apply)	ASTM: A 53, Type E or S, Grade B; A 106, Grade B; or A 139, Grade B
Stud connectors	ASTM: A 108 and AASHTO/AWS D1.5

a Grades that may be substituted for the equivalent ASTM Designation: A 709 steel, at the Contractor's option, subject to the modifications and additions specified and to the requirements of A 709.

b Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

In Section 55-2.02 in the 1st paragraph, replace the 1st sentence with:

Unless otherwise specified or shown on the plans, all structural steel plates, shapes, and bars shall conform to ASTM Designation: A 709/A 709M, Grade 50 [345].

In Section 55-3.05 replace the 1st paragraph with:

Surfaces of bearing and base plates and other metal surfaces that are to come in contact with each other or with ground concrete surfaces or with asbestos sheet packing shall be flat to within one mm tolerance in 305 mm and to within 2 mm tolerance overall. Surfaces of bearing and base plates and other metal bearing surfaces that are to come in contact with preformed fabric pads, elastomeric bearing pads, or mortar shall be flat to within 3 mm tolerance in 305 mm and to within 5 mm tolerance overall.

In Section 55-3.14, after the 9th paragraph add:

If a torque multiplier is used in conjunction with a calibrated wrench as a method for tightening fastener assemblies to the required tension, both the multiplier and the wrench shall be calibrated together as a system. The same length input and output sockets and extensions that will be used in the work shall also be included in the calibration of the system. The manufacturer's torque multiplication ratio shall be adjusted during calibration of the system, such that when this adjusted ratio is multiplied by the actual input calibrated wrench reading, the product is a calculated output torque that is within 2 percent of the true output torque. When this system is used in the work to perform any installation tension testing, rotational capacity testing, fastener tightening, or tension verification, it shall be used, intact as calibrated.

In Section 55-3.17 replace the 2nd paragraph with:

The minimum size of all fillet welds, except those to reinforce groove welds, shall be as shown in the following table:

Base Metal Thickness of the Thicker Part Joined (Millimeters)	*Minimum Size of Fillet Weld (Millimeters)
To 19 inclusive	6
Over 19	8

* Except that the weld size need not exceed the thickness of the thinner part joined.

In Section 55-3.19 replace the 3rd paragraph with:

Immediately before setting bearing assemblies or masonry plates directly on ground concrete surfaces, the Contractor shall thoroughly clean the surfaces of the concrete and the metal to be in contact and shall apply a coating of nonsag polysulfide or polyurethane caulking conforming to the requirements in ASTM Designation: C 920 to contact areas to provide full bedding.

In Section 55-3.19 replace the 5th paragraph with:

Mortar to be placed below masonry plates or bearing plates of the bearing assemblies and in anchor bolt sleeves or canisters shall conform to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

In Section 55-4.02 replace the 6th paragraph with:

If a portion or all of the structural steel is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the structural steel from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000 or by an amount computed at $0.044 per kilogram of structural steel fabricated, whichever is greater, or in the case of each fabrication site located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced $8000 or by $0.079 per kilogram of structural steel fabricated, whichever is greater.

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SECTION 56 SIGNS
(Issued 03-16-07)

In Section 56-1.01 delete the 3rd paragraph.

Replace Section 56-1.02A with:

56-1.02A Bars, Plates, Shapes, and Structural Tubing

Bars, plates, and shapes shall be structural steel conforming to the requirements in ASTM Designation: A 36/A 36M, except, at the option of the Contractor, the light fixture mounting channel shall be continuous-slot steel channel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230], or aluminum Alloy 6063-T6 extruded aluminum conforming to the requirements in ASTM Designation: B 221 or B 221M.

Structural tubing shall be structural steel conforming to the requirements in ASTM Designation: A 500, Grade B.

Removable sign panel frames shall be constructed of structural steel conforming to the requirements in ASTM Designation: A 36/A 36M.

Replace Section 56-1.02B with:

56-1.02B Sheets

Sheets shall be carbon-steel sheets conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230].

Ribbed sheet metal for box beam-closed truss sign structures shall be fabricated from galvanized sheet steel conforming to the requirements in ASTM Designation: A 653/A 653M, Designation SS, Grade 33[230]. Sheet metal panels shall be G 165 coating designation in conformance with the requirements in ASTM Designation: A 653/A 653M.

Replace Section 56-1.02F with:

56-1.02F Steel Walkway Gratings

Steel walkway gratings shall be furnished and installed in conformance with the details shown on the plans and the following provisions:

A. Gratings shall be the standard product of an established grating manufacturer.
B. Material for gratings shall be structural steel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation CS, Type B.
C. For welded type gratings, each joint shall be full resistance welded under pressure, to provide a sound, completely beaded joint.
D. For mechanically locked gratings, the method of fabrication and interlocking of the members shall be approved by the Engineer, and the fabricated grating shall be equal in strength to the welded type.
E. Gratings shall be accurately fabricated and free from warps, twists, or other defects affecting their appearance or serviceability. Ends of all rectangular panels shall be square. The tops of the bearing bars and cross members shall be in the same plane. Gratings distorted by the galvanizing process shall be straightened.

In Section 56-1.03 replace the 5th through the 13th paragraphs with:

Clips, eyes, or removable brackets shall be affixed to all signs and all posts and shall be used to secure the sign during shipping and for lifting and moving during erection as necessary to prevent damage to the finished galvanized or painted surfaces. Brackets on tubular sign structures shall be removed after erection. Details of the devices shall be shown on the working drawings.

High-strength bolted connections, where shown on the plans, shall conform to the provisions in Section 55-3.14, "Bolted Connections," except that only fastener assemblies consisting of a high-strength bolt, nut, hardened washer, and direct tension indicator shall be used.

High-strength fastener assemblies, and any other bolts, nuts, and washers attached to sign structures shall be zinc-coated by the mechanical deposition process.

Nuts for high-strength bolts designated as snug-tight shall not be lubricated.

An alternating snugging and tensioning pattern for anchor bolts and high-strength bolted splices shall be used. Once tensioned, high-strength fastener components and direct tension indicators shall not be reused.

For bolt diameters less than 10 mm, the diameter of the bolt hole shall be not more than 0.80-mm larger than the nominal bolt diameter. For bolt diameters greater than or equal to 10 mm, the diameter of the bolt hole shall be not more than 1.6 mm larger than the nominal bolt diameter.

Sign structures shall be fabricated into the largest practical sections prior to galvanizing.

Ribbed sheet metal panels for box beam closed truss sign structures shall be fastened to the truss members by cap screws or bolts as shown on the plans, or by 4.76 mm stainless steel blind rivets conforming to Industrial Fasteners Institute, Standard IFI-114, Grade 51. The outside diameter of the large flange rivet head shall be not less than 15.88 mm in diameter. Web splices in ribbed sheet metal panels may be made with similar type blind rivets of a size suitable for the thickness of material being connected.

Spalling or chipping of concrete structures shall be repaired by the Contractor at the Contractor's expense.

In Section 56-1.03 after the 13th paragraph add:

Overhead sign supports shall have an aluminum identification plate permanently attached near the base, adjacent to the traffic side on one of the vertical posts, using either stainless steel rivets or stainless steel screws. As a minimum, the information on the plate shall include the name of the manufacturer, the date of manufacture and the contract number.

In Section 56-1.10 replace the 4th paragraph with:

The contract price paid per kilogram for install sign structure of the type or types designated in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in installing sign structures, complete in place, including installing anchor bolt assemblies, removable sign panel frames, and sign panels and performing any welding, painting or galvanizing required during installation, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 56-2.02B replace the 5th paragraph with:

Douglas fir and Hem-Fir posts shall be treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and in conformance with AWPA Use Category System: UC4A, Commodity Specification A. Posts shall be incised and the minimum retention of preservative shall be as specified in AWPA Standards.

In Section 56-2.03 replace the 4th paragraph with:

Backfill material for metal posts shall consist of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 275 kilograms of cementitious material per cubic meter.

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SECTION 57 TIMBER STRUCTURES
(Issued 10-12-04)

In Section 57-1.02A replace the 2nd paragraph with:

When preservative treatment of timber and lumber is required, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA's Use Category 4B. The type of treatment to be used will be shown on the plans or specified in the special provisions.

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SECTION 58 PRESERVATIVE TREATMENT OF LUMBER, TIMBER AND PILING
(Issued 11-18-05)

In Section 58-1.02 replace the 1st paragraph with:

Timber, lumber, and piling shall be pressure treated after millwork is completed. Preservatives, treatment, and results of treatment shall conform to the requirements in AWPA Standards U1 and T1. Treatment of lumber and timber shall conform to the specified AWPA Use Category cited in the special provisions, on the plans, or elsewhere in these specifications.

In Section 58-1.02 delete the 2nd paragraph

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SECTION 59 PAINTING
(Issued 01-19-07)

In Section 59-1.02 replace the 1st paragraph with:

Paint shall be applied only on thoroughly dry surfaces and during periods of favorable weather. Blast cleaning or application of solvent-borne paint will not be permitted when the atmospheric or surface temperature is at or below 2°C or

above 38°C, or when the relative humidity exceeds 85 percent at the site of the work. Application of water-borne paint will not be permitted when the atmospheric or surface temperature is at or below 10°C, or above 38°C, or when the relative humidity exceeds 75 percent at the site of the work. Application of paint will not be permitted when the steel surface temperature is less than 3°C above the dew point, or when freshly painted surfaces may become damaged by rain, fog or condensation, or when it can be anticipated that the atmospheric temperature or relative humidity will not remain within the specified application conditions during the drying period, except as provided in the following paragraph for enclosures. If uncured paint is damaged by the elements, it shall be replaced or repaired by the Contractor at the Contractor's expense.

In Section 59-1.05 replace the 2nd paragraph with:

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at the Contractor's expense and to the satisfaction of the Engineer.

In Section 59-2.01, between the 1st and 2nd paragraph add:

Unless otherwise specified, no painting Contractors or subcontractors will be permitted to commence work without having the following current "SSPC: The Society for Protective Coatings" (formerly the Steel Structures Painting Council) certifications in good standing:

A. For cleaning and painting structural steel in the field, certification in conformance with the requirements in Qualification Procedure No. 1, "Standard Procedure For Evaluating Painting Contractors (Field Application to Complex Industrial Structures)" (SSPC-QP 1).
B. For removing paint from structural steel, certification in conformance with the requirements in Qualification Procedure No. 2, "Standard Procedure For Evaluating Painting Contractors (Field Removal of Hazardous Coatings from Complex Structures)" (SSPC-QP 2).
C. For cleaning and painting structural steel in a permanent painting facility, certification in conformance with the requirements in Qualification Procedure No. 3, "Standard Procedure For Evaluating Qualifications of Shop Painting Applicators" (SSPC-QP 3). The AISC's Sophisticated Paint Endorsement (SPE) quality program will be considered equivalent to SSPC-QP 3.

In Section 59-2.03 replace the 3rd paragraph with:

Exposed steel or other metal surfaces to be blast cleaned shall be cleaned in conformance with the requirements in Surface Preparation Specification No. 6, "Commercial Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave all surfaces with a dense, uniform, angular anchor pattern of not less than 35 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

In Section 59-2.06 replace the 1st paragraph with:

Dirt, loose rust and mill scale, or paint which is not firmly bonded to the surfaces shall be removed in conformance with the requirements in Surface Preparation Specification No. 2, "Hand Tool Cleaning," of the "SSPC: The Society for Protective Coatings." Edges of old remaining paint shall be feathered.

In Section 59-2.12 replace the 3rd and 4th paragraphs with:

Contact surfaces of stiffeners, railings, built up members or open seam exceeding 6 mils in width that would retain moisture, shall be caulked with polysulfide or polyurethane sealing compound conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O, or other approved material.

The dry film thickness of the paint will be measured in place with a calibrated Type 2 magnetic film thickness gage in conformance with the requirements in SSPC-PA 2, "Measurement of Dry Coating Thickness with Magnetic Gages," of the "SSPC: The Society for Protective Coatings," except that there shall be no limit to the number or location of spot measurements to verify compliance with specified thickness requirements.

In Section 59-2.13 replace the 3rd paragraph with:

Mechanical mixers shall be used in mixing the primer. After mixing, the zinc-rich primer shall be strained through a 0.6 to 0.25 mm screen or a double layer of cheesecloth immediately prior to or during pouring into the spray pot.

^^

SECTION 64 PLASTIC PIPE
(Issued 07-31-07)

In Section 64-1.06 replace the 1st paragraph with:

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete conforming to the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 250 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item or shown on the plans.

In Section 64-1.06 replace the 3rd paragraph with:

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

^^

SECTION 65 REINFORCED CONCRETE PIPE
(Issued 07-31-07)

In Section 65-1.02 replace the 1st paragraph with:

Cementitious material and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplemental cementitious material shall conform to AASHTO Designation: M 170M.

In Section 65-1.02A(1) in the 11th paragraph, replace item C with:

c. Cementitious material and aggregate for non-reinforced concrete pipe shall conform to the provisions in Section 65-1.02, "Materials."

In Section 65-1.035 replace the 1st paragraph with:

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete in conformance with the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 225 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item.

In Section 65-1.035 replace the 3rd paragraph with:

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

In Section 65-1.06 in the 2nd paragraph, replace the 1st subparagraph with:

Cement Mortar.- Mortar shall be composed of one part cementitious material and 2 parts sand by volume. Supplementary cementitious material will not be required.

^^

SECTION 66 CORRUGATED METAL PIPE
(Issued 07-31-07)

In Section 66-1.045 replace the 1st paragraph with:

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete conforming to the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 225 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item or shown on the plans.

In Section 66-1.045 replace the 3rd paragraph with:

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

^^

SECTION 68 SUBSURFACE DRAINS
(Issued 07-31-07)

In Section 68-3.02D replace the 1st and 2nd paragraphs with:

Concrete for splash pads shall be produced from minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

Mortar placed where edge drain outlets and vents connect to drainage pipe and existing drainage inlets shall conform to the provisions in Section 51-1.135, "Mortar."

In Section 68-3.03 replace the 13th paragraph with:

Cement treated permeable material, which is not covered with hot mix asphalt within 12 hours after compaction of the permeable material, shall be cured by either sprinkling the material with a fine spray of water every 4 hours during daylight hours or covering the material with a white polyethylene sheet, not less than 6 mils thick. The above curing requirements shall begin at 7:00 a.m. on the morning following compaction of the cement treated permeable material and continue for the next 72 hours or until the material is covered with hot mix asphalt, whichever is less. The cement treated permeable material shall not be sprayed with water during the first 12 hours after compacting, but may be covered with the polyethylene sheet during the first 12 hours or prior to the beginning of the cure period.

In Section 68-3.03 replace the 17th and 18th paragraphs with:

Hot mix asphalt for backfilling trenches in existing paved areas shall be produced from commercial quality aggregates and asphalt and mixed at a central mixing plant. The aggregate shall conform to the 19 mm grading, or the 12.5 mm grading for Type A and Type B hot mix asphalt specified in Section 39-1.02E, "Aggregate." The amount of asphalt binder to be mixed with the aggregate shall be between 4 percent and 7 percent by weight of the dry aggregate, as determined by the Engineer.

Hot mix asphalt backfill shall be spread and compacted in approximately 2 equal layers by methods that will produce a hot mix asphalt surfacing of uniform smoothness, texture and density. Each layer shall be compacted before the temperature of the mixture drops below 120ºC. Prior to placing the hot mix asphalt backfill, a tack coat of asphaltic emulsion conforming to the provisions in Section 94, "Asphaltic Emulsions," shall be applied to the vertical edges of existing pavement at an approximate rate of 0.25 liters per square meter.

In Section 68-3.03 replace the 20th paragraph with:

Type A pavement markers conforming to the details shown on the plans and the provisions in Section 85, "Pavement Markers," shall be placed on paved shoulders or dikes at outlet, vent and cleanout locations as directed by the Engineer. The waiting period for placing pavement markers on new hot mix asphalt surfacing will not apply.

Replace Section 68-3.05 with:

68-3.05 PAYMENT

The contract price paid per meter for plastic pipe (edge drain) of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in installing edge drains complete in place, including excavation (and removal of any concrete deposits that may occur along the lower edge of the concrete pavement in Type 1 installations) and hot mix asphalt backfill for Type 1 edge drain installation, tack coat, filter fabric, and treated permeable material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per meter for plastic pipe (edge drain outlet) of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in installing edge drain outlets, vents and cleanouts complete in place, including outlet and vent covers, expansion plugs, pavement markers, concrete splash pads, connecting outlets and vents to drainage facilities, and excavation and backfill [aggregate base, hot mix asphalt, tack coat, and native material] for outlets, vents, and cleanouts to be installed in embankments and existing shoulders, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

^^

SECTION 69 OVERSIDE DRAINS
(Issued 07-31-07)

In Section 69-1.01 replace the 1st paragraph with:

This work shall consist of furnishing and installing entrance tapers, pipe downdrains, tapered inlets, flume downdrains, anchor assemblies, reducers, slip joints and hot mix asphalt overside drains to collect and carry surface drainage down the roadway slopes as shown on the plans or as directed by the Engineer and as specified in these specifications and the special provisions.

Replace Section 69-1.02D with:

69-1.02D Hot Mix Asphalt

Hot mix asphalt for overside drains shall conform to the provisions in Section 39-1.13, "Miscellaneous Areas."

Replace Section 69-1.04 with:

69-1.04 HOT MIX ASPHALT OVERSIDE DRAINS

Hot mix asphalt overside drains shall be constructed as shown on the plans or as directed by the Engineer. The hot mix asphalt shall be placed in conformance with the provisions in Section 39-1.13, "Miscellaneous Areas."

In Section 69-1.06 replace the 2nd paragraph with:

Quantities of hot mix asphalt placed for overside drains will be paid for as provided in Section 39-5, "Measurement and Payment," for hot mix asphalt placed in miscellaneous areas.

^^

SECTION 70 MISCELLANEOUS FACILITIES
(Issued 01-05-07)

In Section 70-1.02C replace the 2nd paragraph with:

Precast concrete flared end sections shall conform to the requirements for Class III Reinforced Concrete Pipe in AASHTO Designation: M 170M. Cementitious materials and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplementary cementitious material shall conform to the requirements in AASHTO Designation: M 170M. The area of steel reinforcement per meter of flared end section shall be at least equal to the minimum steel requirements for circular reinforcement in circular pipe for the internal diameter of the circular portion of the flared end section. The basis of acceptance of the precast concrete flared end section shall conform to the requirements of Section 5.1.2 of AASHTO Designation: M 170M.

In Section 70-1.02H replace the 1st paragraph with:

Precast concrete pipe risers and pipe reducers, and precast concrete pipe sections, adjustment rings and tapered sections for pipe energy dissipators, pipe inlets and pipe manholes shall conform to the requirements in AASHTO Designation: M 199M, except that the cementitious material and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplementary cementitious material shall conform to the requirements in AASHTO Designation: M 170M.

In Section 70-1.03 replace the 2nd paragraph with:

Cutoff walls for precast concrete flared end sections shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

^^

SECTION 72 SLOPE PROTECTION
(Issued 11-18-05)

In Section 72-4.04 replace the 6th paragraph with:

Pervious backfill material, if required by the plans, shall be placed as shown. A securely tied sack containing 0.03-m^3 of pervious backfill material shall be placed at each weep hole and drain hole. The sack material shall conform to the provisions in Section 88-1.03, "Filter Fabric."

^^

SECTION 73 CONCRETE CURBS AND SIDEWALKS
(Issued 07-31-07)

In Section 73-1.01 in the 2nd paragraph, replace item 2 with:

2. Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter except that when extruded or slip-formed curbs are constructed using 9.5-mm maximum size aggregate, minor concrete shall contain not less than 325 kg of cementitious material per cubic meter.

In Section 73-1.06 replace the 15th paragraph with:

Where hot mix asphalt or portland cement concrete pavements are to be placed around or adjacent to manholes, pipe inlets or other miscellaneous structures in sidewalk, gutter depression, island paving, curb ramps or driveway areas, the structures shall not be constructed to final grade until after the pavements have been constructed for a reasonable distance on each side of the structures.

^^

SECTION 74 PUMPING PLANT EQUIPMENT
(Issued 07-01-08)

In Section 74-1.02 delete the 2nd paragraph.

^^

SECTION 75 MISCELLANEOUS METAL
(Issued 01-18-08)

In Section 75-1.02 in the 10th paragraph, replace the table with:

Material	Specification
Steel bars, plates and shapes	ASTM Designation: A 36/A 36M or A 575, A 576 (AISI or M Grades 1016 through 1030)
Steel fastener components for general applications:	
Bolts and studs	ASTM Designation: A 307
Headed anchor bolts	ASTM Designation: A 307, Grade B, including S1 supplementary requirements
Nonheaded anchor bolts	ASTM Designation: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO Designation: M 314 supplementary requirements or AASHTO Designation: M 314, Grade 36 or 55, including S1 supplementary requirements
High-strength bolts and studs, threaded rods, and nonheaded anchor bolts	ASTM Designation: A 449, Type 1
Nuts	ASTM Designation: A 563, including Appendix X1*
Washers	ASTM Designation: F 844
Components of high-strength steel fastener assemblies for use in structural steel joints:	
Bolts	ASTM Designation: A 325, Type 1
Tension control bolts	ASTM Designation: F 1852, Type 1
Nuts	ASTM Designation: A 563, including Appendix X1*
Hardened washers	ASTM Designation: F 436, Type 1, Circular, including S1 supplementary requirements
Direct tension indicators	ASTM Designation: F 959, Type 325, zinc-coated
Stainless steel fasteners (Alloys 304 & 316) for general applications:	
Bolts, screws, studs, threaded rods, and nonheaded anchor bolts	ASTM Designation: F 593 or F 738M
Nuts	ASTM Designation: F 594 or F 836M
Washers	ASTM Designation: A 240/A 240M and ANSI B 18.22M
Carbon-steel castings	ASTM Designation: A 27/A 27M, Grade 65-35 [450-240], Class 1
Malleable iron castings	ASTM Designation: A 47, Grade 32510 or A 47M, Grade 22010
Gray iron castings	ASTM Designation: A 48, Class 30B
Ductile iron castings	ASTM Designation: A 536, Grade 65-45-12
Cast iron pipe	Commercial quality
Steel pipe	Commercial quality, welded or extruded
Other parts for general Applications	Commercial quality

* Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dyed dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

In Section 75-1.03 replace the 2nd paragraph with:

Miscellaneous bridge metal shall consist of the following, except as further provided in Section 51-1.19, "Utility Facilities," and in the special provisions:

A. Bearing assemblies, equalizing bolts and expansion joint armor in concrete structures.
B. Expansion joint armor in steel structures.
C. Manhole frames and covers, frames and grates, ladder rungs, guard posts and access door assemblies.
D. Deck drains, area drains, retaining wall drains, and drainage piping, except drainage items identified as "Bridge Deck Drainage System" in the special provisions.

In Section 75-1.03 replace the 7th paragraph with:

Sheet steel for access doors shall be galvanized sheet conforming to the requirements in ASTM Designation: A 653/A 653M, Coating Designation Z600 {G210}.

In Section 75-1.03 replace the 13th paragraph with:

Concrete anchorage devices shall be mechanical expansion or resin capsule types installed in drilled holes or cast-in-place insert types. The anchorage devices shall be selected from the Department's Pre-Qualified Products List at:

http://www.dot.ca.gov/hq/esc/approved_products_list

The anchorage devices shall be a complete system, including threaded studs, hex nuts, and cut washers. Thread dimensions for externally threaded concrete anchorage devices prior to zinc coating, shall conform to the requirements in ANSI Standard: B1.1 having Class 2A tolerances or ANSI Standard: B1.13M having Grade 6g tolerances. Thread dimensions for internally threaded concrete anchorage devices shall conform to the requirements in ASTM A 563.

In Section 75-1.03 replace the 18th paragraph with:

Mechanical expansion anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.90 mm:

Stud Diameter (millimeters)	Sustained Tension Test Load (kilonewtons)
*18.01-21.00	22.2
15.01-18.00	18.2
12.01-15.00	14.2
9.01-12.00	9.34
6.00-9.00	4.23

* Maximum stud diameter permitted for mechanical expansion anchors.

Resin capsule anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.25 mm:

Stud Diameter (millimeters)	Sustained Tension Test Load (kilonewtons)
29.01-33.00	137.9
23.01-29.00	79.6
21.01-23.00	64.1
18.01-21.00	22.2
15.01-18.00	18.2
12.01-15.00	14.2
9.01-12.00	9.34
6.00-9.00	4.23

At least 25 days before use, the Contractor shall submit one sample of each resin capsule anchor per lot to the Transportation Laboratory for testing. A lot of resin capsule anchors is 100 units, or fraction thereof, of the same brand and product name.

In Section 75-1.03 in the 19th paragraph, replace the table with:

Stud Diameter (millimeters)	Ultimate Tensile Load (kilonewtons)
30.01-33.00	112.1
27.01-30.00	88.1
23.01-27.00	71.2
20.01-23.00	51.6
16.01-20.00	32.0
14.01-16.00	29.4
12.00-14.00	18.7

In Section 75-1.03, replace the 20th paragraph with:

The Pre-Qualified Products List for concrete anchorage devices has been developed from data previously furnished by suppliers or manufacturers for each type and size. Approval of additional anchorage device types and sizes is contingent upon the Contractor submitting to the Engineer one sample of each type of concrete anchorage device, manufacturer's installation instructions, and certified results of tests, either by a private testing laboratory or the manufacturer, indicating compliance with the above requirements.

In Section 75-1.03 in the 22nd paragraph, replace the table with:

Installation Torque Values, (newton meters)

Stud Diameter (millimeters)	Shell Type Mechanical Expansion Anchors	Integral Stud Type Mechanical Expansion Anchors	Resin Capsule Anchors and Cast-in-Place Inserts
29.01-33.00	—	—	540
23.01-29.00	—	—	315
21.01-23.00	—	—	235
18.01-21.00	110	235	200
15.01-18.00	45	120	100
12.01-15.00	30	65	40
9.01-12.00	15	35	24
6.00-9.00	5	10	—

In Section 75-1.03, replace the 24th paragraph with:

Sealing compound, for caulking and adhesive sealing, shall be a polysulfide or polyurethane material conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O.

In Section 75-1.035 replace the 3rd paragraph with:

Cables shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer.

In Section 75-1.035 replace the 12th paragraph with:

Concrete for filling cable drum units shall conform to the provisions in Section 90-10, "Minor Concrete," or at the option of the Contractor, may be a mix with 9.5 mm maximum size aggregate and not less than 400 kilograms of cementitious material per cubic meter.

In Section 75-1.05 replace the 2nd paragraph with:

At the option of the Contractor, material thinner than 3.2 mm shall be galvanized either before fabrication in conformance with the requirements of ASTM Designation: A 653/A 653M, Coating Designation Z600, or after fabrication in conformance with the requirements of ASTM Designation: A 123, except that the weight of zinc coating shall average not less than 365 g per square meter of actual surface area with no individual specimen having a coating weight of less than 305 g per square meter.

^^

SECTION 80 FENCES
(Issued 01-05-07)

In Section 80-3.01B(2) replace the 2nd paragraph with:

Posts and braces to be treated shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4A, Commodity Specification A or B.

In Section 80-3.01F replace the 4th paragraph with:

Portland cement concrete for metal post and brace footings and for deadmen shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

In Section 80-4.01C replace the 4th paragraph with:

Portland cement concrete for metal post and for deadmen shall be produced from minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

^^

SECTION 81 MONUMENTS
(Issued 06-30-06)

In Section 81-1.02 replace the 5th paragraph with:

At the option of the Contractor, the frame and cover for Type B and Type D survey monuments shall be fabricated from either cast steel or gray cast iron. The covers shall fit into the frames without rocking.

In Section 81-1.02 replace the 7th paragraph with:

Granular material for Type B and Type D survey monuments shall be gravel, crushed gravel, crushed rock or any combination thereof. Granular material shall not exceed 37.5 mm in greatest dimension.

^^

SECTION 82 MARKERS AND DELINEATORS

(Issued 06-30-06)

In Section 82-1.02B replace the 1st paragraph with:

Steel for metal posts shall conform to the requirements in ASTM Designation: A 36/A 36M. The posts shall be galvanized in conformance with the requirements in Section 75-1.05, "Galvanizing."

In Section 82-1.02D replace the 3rd paragraph with:

The zinc-coated steel sheet shall conform to the requirements in ASTM Designation: A 653/A 653M, Classification: Commercial Steel (CS Types A, B and C). The steel sheets shall be galvanized in conformance with the requirements in Section 75-1.05, "Galvanizing." The zinc-coated surface shall be prepared for painting in a manner designed to produce optimum paint adherence. The surface preparation shall be accomplished without damaging or removing the zinc coating. Any evidence of damage or removal of the zinc coating shall be cause for rejection of the entire lot.

In Section 82-1.02D replace the 11th paragraph with:

When tested in conformance with the requirements in California Test 671, the painted metal target plates shall, in general, have satisfactory resistance to weathering, humidity, salt spray and chemicals; the enamel coating shall have satisfactory adherence and impact resistance, a pencil lead hardness of HB minimum, 60° specular gloss of 80 percent minimum, an excitation purity of 3 percent maximum as received and after 1000 hours in an artificial weathering device in conformance with the requirements in ASTM Designation: G 155, Table X3.1, Cycle 1, and a daylight luminous directional reflectance ("Y" value) of 70 minimum.

In Section 82-1.02F replace the 2nd paragraph with:

Reflectors for flexible target plates on Type K object markers and target plates on Class 2 delineators, and reflectors for Class 1 delineators shall be made from impact resistant retroreflective sheeting as specified in the special provisions. The color of the retroreflective sheeting shall conform to the color designated on the plans and the Chromaticity Coordinates specified in ASTM Designation: D 4956, or the PR color number specified by the Federal Highway Administration's Color Tolerance Chart.

In Section 82-1.02F replace the 4th paragraph with:

The instrumental method of determining color shall conform to the requirements specified in ASTM Designation: D 4956. In the event of any dispute concerning the test results of instrumental testing, the visual test shall prevail.

^^

SECTION 83 RAILINGS AND BARRIERS

(Issued 08-17-07)

In Section 83-1.02 replace the 7th paragraph with:

Mortar shall conform to the provisions in Section 51-1.135, "Mortar," and shall consist of one part by volume of cementitious material and 3 parts of clean sand.

In Section 83-1.02B replace the 1st paragraph with:

The rail elements, backup plates, terminal sections, end and return caps, bolts, nuts and other fittings shall conform to the requirements in AASHTO Designation: M 180, except as modified in this Section 83-1.02B and as specified in Section 83-1.02. The rail elements, backup plates, terminal sections, end and return caps shall conform to Class A, Type 1 W-Beam guard railing as shown in AASHTO Designation: M 180. The edges and center of the rail element shall contact each post block. Rail element joints shall be lapped not less than 316 mm and bolted. The rail metal, in addition to conforming to the requirements in AASHTO Designation: M 180, shall withstand a cold bend, without cracking, of 180 degrees around a mandrel of a diameter equal to 2.5 times the thickness of the plate.

In Section 83-1.02B replace the 9th paragraph with:

The grades and species of wood posts and blocks shall be No. 1 timbers (also known as No. 1 structural) Douglas fir or No. 1 timbers Southern yellow pine. Wood posts and blocks shall be graded in conformance with the provisions in Section 57-2, "Structural Timber," of the Standard Specifications, except allowances for shrinkage after mill cutting shall in no case exceed 5 percent of the American Lumber Standards minimum sizes, at the time of installation.

In Section 83-1.02B replace the 11th paragraph with:

After fabrication, wood posts and blocks shall be pressure treated in conformance with Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4A, Commodity Specification A.

In Section 83-1.02B replace the 12th paragraph with:

If copper naphthenate, ammoniacal copper arsenate, chromated copper arsenate, ammoniacal copper zinc arsenate, ammoniacal copper quat or copper azole is used to treat the wood posts and blocks, the bolt holes shall be treated as follows:

A. Before the bolts are inserted, bolt holes shall be filled with a grease, recommended by the manufacturer for corrosion protection, which will not melt or run at a temperature of 65°C.

In Section 83-1.02B replace the 24th paragraph with:

End anchor assemblies and rail tensioning assemblies for metal beam guard railing shall be constructed as shown on the plans and shall conform to the following provisions:

1. An end anchor assembly (Type SFT) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a wood post, a steel foundation tube, a steel soil plate and hardware.
2. An end anchor assembly (Type CA) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a single anchor rod or double anchor rods, hardware and one concrete anchor.
3. A rail tensioning assembly for metal beam guard railing shall consist of an anchor cable, an anchor plate, and hardware.
4. The anchor plate, metal plates, steel foundation tubes and steel soil plate shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M.
5. The anchor rods shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M, A 441 or A 572, or ASTM Designation: A 576, Grades 1018, 1019, 1021 or 1026. The eyes shall be hot forged or formed with full penetration welds. After fabrication, anchor rods with eyes that have been formed with any part of the eye below 870°C during the forming operation or with eyes that have been closed by welding shall be thermally stress relieved prior to galvanizing. The completed anchor rod, after galvanizing, shall develop a strength of 220 kN.
6. In lieu of built-up fabrication of anchor plates as shown on the plans, anchor plates may be press-formed from steel plate, with or without welded seams.
7. All bolts and nuts shall conform to the requirements in ASTM Designation: A 307, unless otherwise specified in the special provisions or shown on the plans.
8. Anchor cable shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer. The overall length of each cable anchor assembly shall be as shown on the plans, but shall be a minimum of 2 m.
9. Where shown on the plans, cable clips and a cable thimble shall be used to attach cable to the anchor rod. Thimbles shall be commercial quality, galvanized steel. Cable clips shall be commercial quality drop forged galvanized steel.

10. The swaged fitting shall be machined from hot-rolled bars of steel conforming to AISI Designation: C 1035, and shall be annealed suitable for cold swaging. The swaged fitting shall be galvanized before swaging. A lock pin hole to accommodate a 6 mm, plated, spring steel pin shall be drilled through the head of the swage fitting to retain the stud in proper position. The manufacturer's identifying mark shall be stamped on the body of the swage fitting.
11. The 25 mm nominal diameter stud shall conform to the requirements in ASTM Designation: A 449 after galvanizing. Prior to galvanizing, a 10 mm slot for the locking pin shall be milled in the stud end.
12. The swaged fittings, stud and nut assembly shall develop the specified breaking strength of the cable.
13. The cable assemblies shall be shipped as a complete unit including stud and nut.
14. Clevises shall be drop forged galvanized steel and shall develop the specified breaking strength of the cable.
15. One sample of cable properly fitted with swaged fitting and right hand thread stud at both ends as specified above, including a clevis when shown on the plans, one meter in total length, shall be furnished the Engineer for testing.
16. The portion of the anchor rod to be buried in earth shall be coated with a minimum 0.5 mm thickness of coal tar enamel conforming to AWWA Standard: C203 or a coal tar epoxy conforming to the requirements in Steel Structures Painting Council Paint Specification No. 16, Coal-Tar Epoxy-Polymide Black Paint or Corps of Engineers Specification, Formula C-200a, Coal-Tar Epoxy Paint.
17. Metal components of the anchor assembly shall be fabricated in conformance with good shop practice and shall be hot-dip galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."
18. Anchor cables shall be tightened after the concrete anchor has cured for at least 5 days.
19. Concrete used to construct anchors for end anchor assemblies shall be Class 3 or minor concrete conforming to the provisions in Section 90, "Portland Cement Concrete."
20. Concrete shall be placed against undisturbed material of the excavated holes for end anchors. The top 300 mm of holes shall be formed, if required by the Engineer.
21. Reinforcing steel in concrete anchors for end anchor assemblies shall conform to the provisions in Section 52, "Reinforcement."

In Section 83-1.02D replace the 2nd paragraph with:

Structural shapes, tubing, plates, bars, bolts, nuts, and washers shall be structural steel conforming to the provisions in Section 55-2, "Materials." Other fittings shall be commercial quality.

In Section 83-1.02E replace the 2nd paragraph with:

Pipe for posts and braces shall be standard steel pipe or pipe that conforms to the provisions in Section 80-4.01A, "Posts and Braces."

In Section 83-1.02E, delete the 3rd paragraph

In Section 83-1.02E in the 7th paragraph, replace the 2nd sentence with:

Cable shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

In Section 83-1.02I replace the 5th paragraph with:

Where shown on the plans, cables used in the frame shall be 8 mm in diameter, wire rope, with a minimum breaking strength of 22 kN and shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

In Section 83-1.02I replace the 14th paragraph with:

Chain link fabric shall be either 11-gage Type I zinc-coated fabric conforming to the requirements in AASHTO M 181 or 11-gage Type IV polyvinyl chloride (PVC) coated fabric conforming to the requirements in Federal Specification RR-F-191/1.

In Section 83-1.03 replace the 2nd paragraph with:

Except for metal beam guard railing within the pay limits of a terminal system end treatment or transition railing (Type WB), metal beam guard railing will be measured by the meter along the face of the rail element from end post to end post of the completed railing at each installation. The point of measurement at each end post will be the center of the bolt attaching the rail element to the end post.

In Section 83-1.03 replace the 7th and 8th paragraphs with:

The quantities of end anchor assemblies (Type SFT or Type CA) and rail tensioning assemblies will be measured as units determined from actual count. An end anchor assembly (Type CA) with 2 cables attached to one concrete anchor will be counted as one terminal anchor assembly (Type CA) for measurement and payment.

The quantities of return and end caps and the various types of terminal sections for metal beam guard railing will be determined as units from actual count.

In Section 83-1.04 replace the 3rd and 4th paragraphs with:

The contract unit prices paid for end anchor assembly (Type SFT), end anchor assembly (Type CA), and rail tensioning assembly shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in constructing the end anchor assemblies, complete in place, including drilling anchor plate bolt holes in rail elements, driving steel foundation tubes, excavating for concrete anchor holes and disposing of surplus material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract unit prices paid for return caps, end caps, and the various types of terminal sections for metal beam guard railing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing terminal sections, return and end caps, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 83-2.02B replace the 2nd paragraph with:

Rail elements, backup plates, terminal connectors, terminal sections, and return caps shall conform to Class A, Type 1 thrie beam guard railing as shown in AASHTO Designation: M 180.

In Section 83-2.02B replace the 14th paragraph with:

All metal work shall be fabricated in the shop, and no punching, cutting or welding will be permitted in the field. Rail elements shall be lapped so that the exposed ends will not face approaching traffic. Terminal sections and return caps shall be installed in conformance with the manufacturer's recommendation.

In Section 83-2.02D(2) replace the 1st paragraph with:

Type 50 and 60 series concrete barriers shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," except as follows:

a. The maximum size of aggregate used for extruded or slip-formed concrete barriers shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5-mm or smaller than 9.5-mm.
b. If the 9.5 mm maximum size aggregate grading is used to construct extruded or slip-formed concrete barriers, the cementitious material content of the minor concrete shall be not less than 400 kilograms per cubic meter.

In Section 83-2.02D(2) replace the 3rd paragraph with:

The concrete paving between the tops of the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) and the optional concrete slab at the base between the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) shall be constructed of minor concrete conforming to the provisions of Section 90-10, "Minor Concrete," except that the minor concrete shall contain not less than 300 kilograms of cementitious material per cubic meter.

In Section 83-2.03 replace the 1st and 2nd paragraphs with:

Except for single thrie beam barrier within the pay limits of transition railing (Type STB), single thrie beam barrier will be measured by the meter from end post to end post along the face of the rail element of the installed barrier. Single thrie beam barriers constructed on each side of piers under structures or other obstructions will be measured for payment along each line of the installed barrier.

Except for double thrie beam barrier within the pay limits of transition railing (Type DTB), double thrie beam barrier will be measured by the meter from end post to end post along the center line of the installed barrier.

In Section 83-2.03 replace the 5th and 6th paragraphs with:

The quantity of return caps, terminal connectors and the various types of terminal sections for single and double thrie beam barriers will be determined as units from actual count.

The quantity of end anchor assemblies will be paid for as units determined from actual count.

In Section 83-2.04 replace the 1st and 2nd paragraphs with:

The various types of thrie beam barrier, measured as specified in Section 83-2.03, "Measurement," will be paid for at the contract price per meter for single or double thrie beam barrier, whichever applies, and the contract unit price or prices for end anchor assemblies, return caps, terminal connectors and the various types of terminal sections.

The above prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing the barrier, complete in place, including drilling holes for wood posts, driving posts, backfilling the space around posts, excavating and backfilling end anchor assembly holes, connecting thrie beam barrier to concrete surfaces and disposing of surplus excavated material, and for furnishing, placing, removing and disposing of the temporary railing for closing the gap between existing barrier and the barrier being constructed as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 83-2.04 replace the 4th paragraph with:

Steel plate barrier attached to concrete barrier at overhead sign foundations, electroliers, drainage structures, and other locations shown on the plans will be measured and paid for as the type of concrete barrier attached thereto.

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SECTION 84 TRAFFIC STRIPES AND PAVEMENT MARKINGS
(Issued 07-21-06)

In Section 84-2.02 replace the 1st paragraph with:

The thermoplastic material shall conform to State Specification PTH-02SPRAY, PTH-02HYDRO or PTH-02ALKYD. Glass beads to be applied to the surface of the molten thermoplastic material shall conform to the requirements of State Specification 8010-004 (Type II).

In Section 84-3.02 replace the 1st paragraph with:

Paint for traffic stripes and pavement markings shall conform to the following State Specifications:

Paint Type	Color	State Specification No.
Waterborne Traffic Line	White, Yellow and Black	PTWB-01
Acetone-Based	White, Yellow and Black	PT-150VOC(A)
Waterborne Traffic Line for disabled persons' parking, and other curb markings	Blue, Red and Green	Federal Specification No. TT-P-1952D

In Section 84-3.02 replace the 4th paragraph with:

The kind of paint to be used (waterborne or acetone-based) shall be determined by the Contractor based on the time of year the paint is applied and local air pollution control regulations.

In Section 84-3.05 replace the 1st paragraph with:

Traffic stripes and pavement markings shall be applied only on dry surfaces and only during periods of favorable weather. Painting shall not be performed when the atmospheric temperature is below 5°C when using acetone-based paint or below 10°C when using water borne paint; when freshly painted surfaces may become damaged by rain, fog, or condensation; nor when it can be anticipated that the atmospheric temperature will drop below the aforementioned 5°C or 10°C temperatures during the drying period.

In Section 84-3.05, delete the 3rd paragraph.

In Section 84-3.05 replace the 10th paragraph with:

Paint to be applied in 2 coats shall be applied approximately as follows:

Paint Type	Square Meter Coverage Per Liter	
	First Coat	Second Coat
Waterborne Paint	6	6
Acetone-Based Paint	10	5

^^

SECTION 85 PAVEMENT MARKERS
(Issued 07-31-07)

In Section 85-1.03 replace the 2nd through 5th paragraphs with:

Sampling

Twenty markers selected at random will constitute a representative sample for each lot of markers.

The lot size shall not exceed 25 000 markers.

Tolerances

Three test specimens will be randomly selected from the sample for each test and tested in conformance with these specifications. Should any one of the 3 specimens fail to conform with the requirements in these specifications, 6 additional specimens will be tested. The failure of any one of these 6 specimens shall be cause for rejection of the entire lot or shipment represented by the sample.

The entire sample of retroreflective pavement markers will be tested for reflectance. The failure of 10 percent or more of the original sampling shall be cause for rejection.

Replace Section 85-1.04 with:

85-1.04 NON-REFLECTIVE PAVEMENT MARKERS

Non-reflective pavement markers (Types A and AY) shall be, at the option of the Contractor, either ceramic or plastic conforming to these specifications.

The top surface of the marker shall be convex with a gradual change in curvature. The top, bottom and sides shall be free of objectionable marks or discoloration that will affect adhesion or appearance.

The bottom of markers shall have areas of integrally formed protrusions or indentations, which will increase the effective bonding surface area of adhesive. The bottom surface of the marker shall not deviate more than 1.5 mm from a flat surface. The areas of protrusion shall have faces parallel to the bottom of the marker and shall project approximately one mm from the bottom.

In Section 85-1.04A, delete the 2nd through 4th paragraphs.

In Section 85-1.04A replace the 5th paragraph with:

Testing

Tests shall be performed in conformance with the requirements in California Test 669.

Test	Test Description	Requirement
a	Bond strength	4.8 MPa, min.
b	Glaze thickness	180 µm, min.
c	Hardness	6 Moh, min.
d	Luminance factor, Type A, white markers only, glazed surface	75, min.
e	Yellowness index, Type A, white markers only, glazed surface	7, max.
f	Color-yellow, Type AY, yellow markers only. The chromaticity coordinates shall be within a color box defined in CTM 669	Pass
g	Compressive strength	6700 N, min.
h	Water absorption	2.0 %, max.
i	Artificial weathering, 500 hours exposure, yellowness index	20, max.

Replace Section 85-1.04B with:

85-1.04B Non-Reflective Pavement Markers (Plastic)

Plastic non-reflective pavement markers Types A and AY shall be, at the option of the Contractor, either polypropylene or acrylonitrile-butadiene-styrene (ABS) plastic type.

Plastic markers shall conform to the testing requirements specified in Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," except that Tests a, b, c, and h shall not apply. The plastic markers shall not be coated with substances that interfere with the ability of the adhesive bonding to the marker.

In Section 85-1.05 replace the 6th and 7th paragraphs with:

Testing

Tests shall be performed in conformance with the requirements in California Test 669.

Test Description	Requirement		
Bond strength[a]	3.4 MPa, min.		
Compressive strength[b]	8900 N, min.		
Abrasion resistance, marker must meet the respective specific intensity minimum requirements after abrasion.	Pass		
Water Soak Resistance	No delamination of the body or lens system of the marker nor loss of reflectance		
	Specific Intensity		
Reflectance	Clear	Yellow	Red
0° Incidence Angle, min.	3.0	1.5	0.75
20° Incidence Angle, min.	1.2	0.60	0.30
After one year field evaluation	0.30	0.15	0.08

a. Failure of the marker body or filler material prior to reaching 3.4 MPa shall constitute a failing bond strength test.

b. Deformation of the marker of more than 3 mm at a load of less than 8900 N or delamination of the shell and the filler material of more than 3 mm regardless of the load required to break the marker shall be cause for rejection of the markers as specified in Section 85-1.03, "Sampling, Tolerances and Packaging."

Pavement markers to be placed in pavement recesses shall conform to the above requirements for retroreflective pavement markers except that the minimum compressive strength requirement shall be 5338 N.

In Section 85-1.05 delete the 8th paragraph.

In Section 85-1.06 replace the 6th paragraph with:

Pavement markers shall not be placed on new hot mix asphalt surfacing or seal coat until the surfacing or seal coat has been opened to public traffic for a period of not less than 7 days when hot melt bituminous adhesive is used, and not less than 14 days when epoxy adhesive is used.

In Section 85-1.06 replace the 8th paragraph with:

Epoxy adhesive shall not be used to apply non-reflective plastic pavement markers.

In Section 85-1.06 in the 14th paragraph, replace the 2nd sentence with:

Cleaning shall be done by blast cleaning on all surfaces regardless of age or type, except that blast cleaning of clean, new hot mix asphalt and clean, new seal coat surfaces will not be required when hot melt bituminous adhesive is used.

In Section 85-1.06 in the 14th paragraph, replace the 7th sentence with:

Soft rags moistened with mineral spirits conforming to Army Mil-PRF-680A(1) or kerosene may be used, if necessary, to remove adhesive from exposed faces of pavement markers.

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SECTION 86 SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS
(Issued 08-15-08)

In Section 86-1.01 replace the 2nd paragraph with:

The locations of signals, beacons, standards, lighting fixtures, signs, controls, services and appurtenances shown on the plans are approximate and the exact locations will be approved by the Engineer in the field.

In Section 86-1.02 delete the 2nd paragraph.

In Section 86-1.06 replace the 10th paragraph with:

These provisions will not relieve the Contractor in any manner of the Contractor's responsibilities as provided in Section 7-1.12, "Indemnification and Insurance," and Section 7-1.16, "Contractor's Responsibility for the Work and Materials."

In Section 86-2.02 in the 1st paragraph, replace the 1st sentence with:

Improvements such as sidewalks, curbs, gutters, portland cement concrete and hot mix asphalt pavement, underlying material, lawns and plants and any other improvements removed, broken or damaged by the Contractor's operations, shall be replaced or reconstructed with the same kind of material as found on the work or with materials of equal quality.

In Section 86-2.03 replace the 1st paragraph with:

Except for concrete for cast-in-drilled-hole concrete pile foundations, portland cement concrete shall conform to Section 90-10, "Minor Concrete."

In Section 86-2.03 replace the 3rd, 4th, and 5th paragraph with:

Except when located on structures, foundations for posts, standards, and pedestals shall be placed "in the solid" and monolithic.

After each post, standard, and pedestal is in proper position, mortar shall be placed under the base plate as shown on the plans. The exposed portions shall be finished to present a neat appearance. Mortar shall conform to Section 51-1.135, "Mortar," except the mortar shall consist of one part by volume of cementitious material and 3 parts of clean sand.

Reinforced cast-in-drilled-hole concrete pile foundations shall conform to the provisions in Section 49, "Piling," with the following exceptions:

A. Material resulting from drilling holes shall be disposed of in conformance with the provisions in Section 86-2.01, "Excavating and Backfilling,"
B. Concrete for cast-in-drilled-hole concrete piles will not be considered as designated by compressive strength.

In Section 86-2.03 replace the 7th paragraph with:

Forms shall be true to line and grade. Tops of foundations for posts and standards, except special foundations, shall be finished to curb or sidewalk grade or as directed by the Engineer. Forms shall be rigid and securely braced in place. Conduit ends and anchor bolts shall be placed in proper position and to proper height, and anchor bolts shall be held in place by means of rigid top and bottom templates. The bottom template shall be made of steel. The bottom template shall provide proper spacing and alignment of the anchor bolts near their bottom embedded end. The bottom template shall be installed before placing footing concrete. Anchor bolts shall not be installed more than 1:40 from vertical.

In Section 86-2.03, delete the 8th paragraph.

In Section 86-2.03 replace the 12th paragraph with:

Plumbing of the standards shall be accomplished by adjusting the leveling nuts before placing the mortar or before the foundation is finished to final grade. Shims or other similar devices shall not be used for plumbing or raking of posts, standards, or pedestals. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made, firm contact shall exist between all bearing surfaces of the anchor bolt nuts, washers, and the base plates.

In Section 86-2.04 replace the 1st and 2nd paragraphs with:

Bolts, nuts and washers, and anchor bolts for use in signal and lighting support structures shall conform to the provisions in Section 55-2, "Materials." Except when bearing-type connections or slipbases are specified, high-strength bolted connections shall conform to the provisions in Section 55-3.14, "Bolted Connections." Welding, nondestructive testing (NDT) of welds, and acceptance and repair criteria for NDT of steel members shall conform to the requirements of AWS D1.1 and the special provisions.

On each lighting standard except Type 1, one rectangular corrosion resistant metal identification tag shall be permanently attached above the hand hole, near the base of the standard, using stainless steel rivets. On each signal pole support, two corrosion resistant metal identification tags shall be attached, one above the hand hole near the base of the vertical standard and one on the underside of the signal mast arm near the arm plate. As a minimum, the information on each identification tag shall include the name of the manufacturer, the date of manufacture, the identification number as shown on the plans, the contract number, and a unique identification code assigned by the fabricator. This number shall be traceable to a particular contract and the welds on that component, and shall be readable after the support structure is coated and installed. The lettering shall be a minimum of 7 mm high. The information may be either depressed or raised, and shall be legible.

In Section 86-2.04 replace the 4th paragraph with:

Ferrous metal parts of standards, with shaft length of 4.6 m and longer, shall conform to the details shown on the plans, the provisions in Section 55-2, "Materials," except as otherwise noted, and the following requirements:

A. Except as otherwise specified, standards shall be fabricated from sheet steel of weldable grade having a minimum yield strength, after fabrication, of 276 MPa.
B. Certified test reports which verify conformance to the minimum yield strength requirements shall be submitted to the Engineer. The test reports may be the mill test reports for the as-received steel or, when the as-received steel has a lower yield strength than required, the Contractor shall provide supportive test data which provides assurance that the Contractor's method of cold forming will consistently increase the tensile properties of the steel to meet the specified minimum yield strength. The supportive test data shall include tensile properties of the steel after cold forming for specific heats and thicknesses.
C. When a single-ply 8-mm thick pole is specified, a 2-ply pole with equivalent section modulus may be substituted.
D. Standards may be fabricated of full-length sheets or shorter sections. Each section shall be fabricated from not more than 2 pieces of sheet steel. Where 2 pieces are used, the longitudinal welded seams shall be directly opposite one another. When the sections are butt-welded together, the longitudinal welded seams on adjacent sections shall be placed to form continuous straight seams from base to top of standard.
E. Butt-welded circumferential joints of tubular sections requiring CJP groove welds shall be made using a metal sleeve backing ring inside each joint. The sleeve shall be 3-mm nominal thickness, or thicker, and manufactured from steel having the same chemical composition as the steel in the tubular sections to be joined. When the sections to be joined have different specified minimum yield strengths, the steel in the sleeve shall have the same chemical composition as the tubular section having the higher minimum yield strength. The width of the metal sleeve shall be consistent with the type of NDT chosen and shall be a minimum width of 25 mm. The sleeve shall be centered at the joint and be in contact with the tubular section at the point of the weld at time of fit-up.
F. Welds shall be continuous.
G. The weld metal at the transverse joint shall extend to the sleeve, making the sleeve an integral part of the joint.
H. During fabrication, longitudinal seams on vertical tubular members of cantilevered support structures shall be centered on and along the side of the pole that the pole plate is located. Longitudinal seams on horizontal tubular members, including signal and luminaire arms, shall be within +/-45 degrees of the bottom of the arm.
I. The longitudinal seam welds in steel tubular sections may be made by the electric resistance welding process.
J. Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be CJP groove welds. In addition, longitudinal seam welds on lighting support structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.
K. Exposed circumferential welds, except fillet and fatigue-resistant welds, shall be ground flush (-0, +2 mm) with the base metal prior to galvanizing or painting.
L. Circumferential welds and base plate-to-pole welds may be repaired only one time without written permission from the Engineer.
M. Exposed edges of the plates that make up the base assembly shall be finished smooth and exposed corners of the plates shall be broken unless otherwise shown on the plans. Shafts shall be provided with slip-fitter shaft caps.
N. Flatness of surfaces of 1) base plates that are to come in contact with concrete, grout, or washers and leveling nuts; 2) plates in high-strength bolted connections; 3) plates in joints where cap screws are used to secure luminaire and signal arms; and 4) plates used for breakaway slip base assemblies shall conform to the requirements in ASTM A6.
O. Standards shall be straight, with a permissive variation not to exceed 25 mm measured at the midpoint of a 9-m or 11-m standard and not to exceed 20 mm measured at the midpoint of a 5-m through 6-m standard. Variation shall not exceed 25 mm at a point 4.5 m above the base plate for Type 35 and Type 36 standards.
P. Zinc-coated nuts used on fastener assemblies having a specified preload (obtained by specifying a prescribed tension, torque value, or degree of turn) shall be provided with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the zinc coating on the nut so that the presence of the lubricant is

visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Q. No holes shall be made in structural members unless the holes are shown on the plans or are approved in writing by the Engineer.

R. Standards with an outside diameter of 300 mm or less shall be round. Standards with an outside diameter greater than 300 mm shall be round or multisided. Multisided standards shall have a minimum of 12 sides which shall be convex and shall have a minimum bend radius of 100 mm.

S. Mast arms for standards shall be fabricated from material as specified for standards, and shall conform to the dimensions shown on the plans.

T. The cast steel option for slip bases shall be fabricated from material conforming to the requirements in ASTM Designation: A 27/A 27M, Grade 70-40. Other comparable material may be used if written permission is given by the Engineer. The casting tolerances shall be in conformance with the Steel Founder's Society of America recommendations (green sand molding).

U. One casting from each lot of 50 castings or less shall be subject to radiographic inspection, in conformance with the requirements in ASTM Designation: E 94. The castings shall comply with the acceptance criteria severity level 3 or better for the types and categories of discontinuities in conformance with the requirements in ASTM Designations: E 186 and E 446. If the one casting fails to pass the inspection, 2 additional castings shall be radiographed. Both of these castings shall pass the inspection, or the entire lot of 50 will be rejected.

V. Material certifications, consisting of physical and chemical properties, and radiographic films of the castings shall be filed at the manufacturer's office. These certifications and films shall be available for inspection upon request.

W. High-strength bolts, nuts, and flat washers used to connect slip base plates shall conform to the requirements in ASTM Designation: A 325 or A 325M and shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."

X. Plate washers shall be fabricated by saw cutting and drilling steel plate conforming to the requirements in AISI Designation: 1018, and be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." Prior to galvanizing, burrs and sharp edges shall be removed and holes shall be chamfered sufficiently on each side to allow the bolt head to make full contact with the washer without tension on the bolt.

Y. High-strength cap screws shown on the plans for attaching arms to standards shall conform to the requirements in ASTM Designation: A 325, A 325M, or A 449, and shall comply with the mechanical requirements in ASTM Designation: A 325 or A 325M after galvanizing. The cap screws shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." The threads of the cap screws shall be coated with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the color of the zinc coating on the cap screw so that presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Z. Unless otherwise specified, bolted connections attaching signal or luminaire arms to poles shall be considered slip critical. Galvanized faying surfaces on plates on luminaire and signal arms and matching plate surfaces on poles shall be roughened by hand using a wire brush prior to assembly and shall conform to the requirements for Class C surface conditions for slip-critical connections in "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts," a specification approved by the Research Council on Structural Connections (RCSC) of the Engineering Foundation. For faying surfaces required to be painted, the paint shall be an approved type, brand, and thickness that has been tested and approved according to the RCSC Specification as a Class B coating.

AA. Samples of fastener components will be randomly taken from each production lot by the Engineer and submitted, along with test reports required by appropriate ASTM fastener specifications, for QA testing and evaluation. Sample sizes for each fastener component shall be as determined by the Engineer.

In Section 86-2.04 replace the 7th paragraph with:

To avoid interference of arm plate-to-tube welds with cap screw heads, and to ensure cap screw heads can be turned using conventional installation tools, fabricators shall make necessary adjustments to details prior to fabrication and properly locate the position of arm tubes on arm plates during fabrication.

In Section 86-2.05C in the 18th paragraph, replace the 4th and 5th subparagraphs with:

The conduit shall be placed in the bottom of the trench, and the trench shall be backfilled with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 350 kilograms of cementitious material per cubic meter. Concrete backfill shall be placed to the pavement surface except, when the trench is in hot mix asphalt pavement and additional pavement is not being placed, the top 30 mm of the trench shall be backfilled with hot mix asphalt produced from commercial quality paving asphalt and aggregates.

Prior to spreading hot mix asphalt, tack coat shall be applied in conformance with the provisions in Section 39, "Hot Mix Asphalt." Spreading and compacting of hot mix asphalt shall be performed by any method which will produce a hot mix asphalt surfacing of uniform smoothness, texture and density.

In Section 86-2.05C in the 23rd paragraph, replace the 3rd subparagraph with:

Precast concrete conduit cradles shall conform to the dimensions shown on the plans and shall be constructed of minor concrete and commercial quality welded wire fabric. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 350 kilograms of cementitious material per cubic meter. The cradles shall be moist cured for not less than 3 days.

In Section 86-2.05C in the 23rd paragraph, replace the 7th subparagraph with:

The space around conduits through bridge abutment walls shall be filled with mortar conforming to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

In Section 86-2.07 replace the 5th paragraph with:

Concrete placed around and under traffic pull boxes as shown on the plans shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete."

In Section 86-2.08A in the 1st paragraph in the table, after the heading replace the 4th row with:

Traffic Signal Controller Cabinet	Ungrounded Circuit Conductor	Blk	None	CON-1	6
	Grounded Circuit Conductor	Wht	None	CON-2	6

In Section 86-2.08B replace the 2nd paragraph with:

At any point, the minimum insulation thickness of any Type USE, RHH, or RHW insulation shall be 1.0 mm for conductor sizes No. 14 to No. 10, inclusive; and 1.3 mm for No. 8 to No. 2, inclusive.

At any point, the minimum insulation thickness of any Type THW or TW wires shall be 0.7 mm for conductor sizes No. 14 to No. 10, inclusive; 1.0 mm for No. 8; and 1.4 mm for No. 6 to No. 2, inclusive.

In Section 86-2.12 replace the 6 and 7th paragraphs with:

After fabrication, wood poles shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4B, Commodity Specification D.

Wood poles, when specified in the special provisions to be painted, shall be treated with waterborne wood preservatives.

In Section 86-2.15 replace the 1st paragraph with:

Galvanizing shall be in conformance with the provisions in Section 75-1.05, "Galvanizing," except that cabinets may be constructed of material galvanized prior to fabrication in conformance with the requirements in ASTM Designation: A 653/653M, Coating Designation G 90, in which case all cut or damaged edges shall be painted with at least 2 applications of approved unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint." Aerosol cans shall not be used. Other types of protective coating must be approved by the Engineer prior to installation.

In Section 86-2.16, in the 13th paragraph, replace item B with:

B. Salt Spray Resistance - The undercutting of the film of the coating system shall not exceed 3 mm average, from lines scored diagonally and deep enough to expose the base metal, after 336 hours exposure in a salt spray cabinet in conformance with the requirements in ASTM Designation: B 117.

In Section 86-4.01 replace the 1st paragraph with:

Each vehicle signal face shall be of the adjustable type conforming to the requirements in Institute of Transportation Engineers (ITE) Publication: ST-017B, "Vehicle Traffic Control Signal Heads."

In Section 86-4.01A in the 1st paragraph, replace the 1st and 3rd subparagraphs with:

Lenses, reflectors, reflector assemblies, lamp receptacles, lamps, wiring and light distribution shall conform to the requirements in ITE Publication: ST-017B.

All reflectors shall conform to the requirements in ITE Publication: ST-017B except that reflectors shall be made of silvered glass or of specular aluminum with an anodic coating. Reflector ring holder shall be made of cast aluminum.

In Section 86-4.01B replace the 1st paragraph with:

Each signal section housing shall be either die-cast or permanent mold-cast aluminum conforming to ITE Publication: ST-017B or, when specified in the special provisions, shall be structural plastic.

In Section 86-4.01C replace the 1st paragraph with:

Lamp receptacles and wiring shall conform to ITE Publication: ST-017B. The metal portion of the medium base lamp socket shall be brass, copper or phosphor bronze.

In Section 86-4.01D replace the 1st paragraph with:

Each signal section shall be provided with a removable visor conforming to the requirements in ITE Publication: ST-017B. Visors are classified, on the basis of lens enclosure, as full circle, tunnel (bottom open), or cap (bottom and lower sides open). Unless otherwise specified, visors shall be the tunnel type.

In Section 86-4.02A replace the 1st paragraph with:

Light emitting diode signal modules shall be designed as retrofit replacements for optical units of standard traffic signal sections and shall not require special tools for installation. Light emitting diode signal modules shall fit into existing traffic signal section housings built in conformance with the requirements in the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" without modification to the housing.

In Section 86-4.02A replace the 7th paragraph with:

Light emitting diode signal modules shall be protected against dust and moisture intrusion in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures to protect the internal components.

In Section 86-4.02B replace the 1st paragraph with:

The minimum initial luminous intensity values for light emitting diode signal modules shall conform to the requirements in Section 11.04 of the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" at 25°C.

In Section 86-4.02C replace the 3rd paragraph with:

The light emitting diode signal module on-board circuitry shall include voltage surge protection to withstand high-repetition noise transients as specified in Section 2.1.6 of NEMA Standard TS2.

In Section 86-4.02D(1), in the 4th paragraph, replace the 7th subparagraph with:

Moisture resistance testing shall be performed on light emitting diode signal modules in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

In Section 86-4.05 replace the 2nd paragraph with:

Each programmed visibility signal section shall provide a nominal 300-mm diameter circular or arrow indication. Color and arrow configuration shall conform to the requirements in ITE Publication: ST-017B.

In Section 86-4.06 replace the 1st paragraph with:

Message symbols for pedestrian signal faces shall be white WALKING PERSON and Portland orange UPRAISED HAND conforming to the requirements in the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

In Section 86-4.06(A) in the 1st paragraph, replace the 3rd subparagraph with:

Each reflector assembly shall consist of a double reflector or 2 single reflectors. Each reflector shall be made of either aluminum or plastic. Reflectors shall conform to the requirements in Institute of Transportation Engineers Publication: ST-017B, "Vehicle Traffic Control Signal Heads." Plastic reflectors shall consist of molded or vacuum-formed plastic with a vacuum-deposited aluminum reflecting surface. The plastic material shall not distort when the reflector is used with the lamp of the wattage normally furnished with the signal. In addition, the UL nonmechanical loading temperature of the material shall exceed, by at least 10°C, the maximum temperature in the signal section with the lamp "ON" and measured in an ambient air temperature of 25°C in conformance with the requirements in UL Publication UL 746B. Each completed reflector shall, when operated with the appropriate lamp and lens, provide the message brightness specified.

In Section 86-4.07 replace the 10th paragraph with:

The luminance of the "UPRAISED HAND" symbol shall be 3750 cd/m^2 minimum. The color of "UPRAISED HAND" shall be Portland orange conforming to the requirements of the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

In Section 86-4.07C replace the 2nd paragraph with:

On-board circuitry of the light emitting diode pedestrian signal modules shall include voltage surge protection to withstand high-repetition noise transients as stated in Section 2.1.6 of NEMA Standard TS2.

In Section 86-4.07D(1) replace the 2nd paragraph with:

A quantity of 2 units for each design shall be submitted for Design Qualification Testing. Test units shall be submitted to the Transportation Laboratory, after manufacturer's testing is complete.

In Section 86-4.07D(1) in the 4th paragraph, replace the 5th and 7th subparagraphs with:

Mechanical vibration testing shall be in conformance with the requirements in Military Specification MIL-STD-883, Test Method 2007, using three 4-minute cycles along each x, y and z axis, at a force of 2.5 Gs, with a frequency sweep from 2 Hz to 120 Hz. The loosening of the lens or of internal components, or other physical damage shall be cause for rejection.

Moisture resistance testing shall be performed on modules mounted in a standard pedestrian signal housing in conformance to the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

In Section 86-5.07A(5) in Section "Elastomeric Sealant" in the 1st paragraph, replace the 2nd sentence with:

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

In Section 86-5.07A(5) in Section "Asphatic Emulsion Sealant" in the 1st paragraph, replace the 1st sentence with:

Asphaltic emulsion sealant shall conform to the requirements in State Specification 8040-41A-15 and shall be used only for filling slots in hot mix asphalt pavement.

In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 1st paragraph, replace the 3rd sentence with:

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 2nd paragraph in the table, after the heading replace rows 1 through 3 with:

Cone Penetration, 25°C, 150 g, 5 s	D 5329, Sec. 6	3.5 mm, max.
Flow, 60°C	D 5329, Sec. 8	5 mm, max.
Resilience, 25°C	D 5329, Sec. 12	25%, min.

In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant", replace the 10th paragraph with:

If hot mix asphalt surfacing is to be placed, the loop conductors shall be installed prior to placing the uppermost layer of hot mix asphalt. The conductors shall be installed, as shown on the plans, in the compacted layer of hot mix asphalt immediately below the uppermost layer. Installation details shall be as shown on the plans, except the sealant shall fill the slot flush to the surface.

In Section 86-5.01D replace the 1st paragraph with:

When a foundation for a pressure-sensitive vehicle detector is to be removed, the hole left by removing the detector frame and foundation shall be filled with minor concrete, except the roadway surface shall be reconstructed with material to match existing surfacing. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," except that the concrete shall contain not less than 250 kilograms of cementitious material per cubic meter for hot mix asphalt surfaced roadways and not less than 350 kilograms of cementitious material per cubic meter for portland cement concrete surfaced roadways.

In Section 86-6.065 in Section "Mounting Assemblies", replace the 3rd paragraph with:

At least 4.9 m of clearance shall be provided between the bottom of the fixture and the roadway.

In Section 86-8.01 replace the 1st paragraph with:

The contract lump sum price or prices paid for signal, ramp metering, flashing beacon, lighting, sign illumination, traffic monitoring station, highway advisory radio systems, closed circuit television systems, or combinations thereof; for modifying or removing those systems; for temporary systems; or the lump sum or unit prices paid for various units of those systems; or the lump sum or per meter price paid for conduit of the various sizes, types and installation methods listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in furnishing and installing, modifying, or removing the systems, combinations or units thereof, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer, including any necessary pull boxes (except when the type required is shown as a separate contract item); excavation and backfill; concrete foundations (except when shown as a separate contract item); pedestrian barricades; furnishing and installing illuminated street name signs; installing sign panels on pedestrian barricades, on flashing beacon standards, and on traffic signal mast arms; restoring sidewalk, pavement and appurtenances damaged or destroyed during construction; salvaging existing materials; and making all required tests.

In Section 86-8.01, between the 1st and 2nd paragraph add:

If a portion or all of the poles for signal, lighting and electrical systems pursuant to Standard Specification Section 86, "Signals, Lighting and Electrical Systems," is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in such expenses, it is agreed that payment to the Contractor for furnishing such items from each fabrication site located more than 480 air line kilometers from both Sacramento and Los

Angeles will be reduced $5000; in addition, in the case where a fabrication site is located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced an additional $3000 per each fabrication site ($8000 total per site).

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SECTION 88 ENGINEERING FABRIC
(Issued 01-15-02)

Replace Section 88-1.02 with:

88-1.02 Pavement Reinforcing Fabric

Pavement reinforcing fabric shall be 100 percent polypropylene staple fiber fabric material, needle-punched, thermally bonded on one side, and conform to the following:

Specification	Requirement
Weight, grams per square meter ASTM Designation: D 5261	140
Grab tensile strength (25-mm grip), kilonewtons, min. in each direction ASTM Designation: D 4632	0.45
Elongation at break, percent min. ASTM Designation: D 4632	50
Asphalt retention by fabric, grams per square meter. (Residual Minimum) ASTM Designation: D 6140	900

Note: Weight, grab, elongation and asphalt retention are based on Minimum Average Roll Value (MARV)

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SECTION 90 PORTLAND CEMENT CONCRETE
(Issued 03-16-07)

Replace Section 90 with:

SECTION 90 PORTLAND CEMENT CONCRETE
90-1 GENERAL

90-1.01 DESCRIPTION

Portland cement concrete shall be composed of cementitious material, fine aggregate, coarse aggregate, admixtures if used, and water, proportioned and mixed as specified in these specifications.

The Contractor shall determine the mix proportions for concrete in conformance with these specifications.

Class 1 concrete shall contain not less than 400 kg of cementitious material per cubic meter.

Class 2 concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Class 3 concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Class 4 concrete shall contain not less than 250 kg of cementitious material per cubic meter.

Minor concrete shall contain not less than 325 kg of cementitious material per cubic meter unless otherwise specified in these specifications or the special provisions.

Unless otherwise designated on the plans or specified in these specifications or the special provisions, the amount of cementitious material used per cubic meter of concrete in structures or portions of structures shall conform to the following:

Use	Cementitious Material Content (kg/m^3)
Concrete designated by compressive strength:	
Deck slabs and slab spans of bridges	400 min., 475 max.
Roof sections of exposed top box culverts	400 min., 475 max.
Other portions of structures	350 min., 475 max.
Concrete not designated by compressive strength:	
Deck slabs and slab spans of bridges	400 min.
Roof sections of exposed top box culverts	400 min.
Prestressed members	400 min.
Seal courses	400 min.
Other portions of structures	350 min.
Concrete for precast members	350 min., 550 max.

Whenever the 28-day compressive strength shown on the plans is greater than 25 MPa, the concrete shall be designated by compressive strength. If the plans show a 28-day compressive strength that is 28 MPa or greater, an additional 14 days will be allowed to obtain the specified strength. The 28-day compressive strengths shown on the plans that are 25 MPa or less are shown for design information only and are not a requirement for acceptance of the concrete.

Concrete designated by compressive strength shall be proportioned such that the concrete will attain the strength shown on the plans or specified in the special provisions.

Before using concrete for which the mix proportions have been determined by the Contractor, or in advance of revising those mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design.

Compliance with cementitious material content requirements will be verified in conformance with procedures described in California Test 518 for cement content. For testing purposes, supplementary cementitious material shall be considered to be cement. Batch proportions shall be adjusted as necessary to produce concrete having the specified cementitious material content.

If any concrete has a cementitious material, portland cement, or supplementary cementitious material content that is less than the minimum required, the concrete shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $0.55 for each kilogram of cementitious material, portland cement, or supplementary cementitious material that is less than the minimum required. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract. The deductions will not be made unless the difference between the contents required and those actually provided exceeds the batching tolerances permitted by Section 90-5, "Proportioning." No deductions will be made based on the results of California Test 518.

The requirements of the preceding paragraph shall not apply to minor concrete or commercial quality concrete.

90-2 MATERIALS

90-2.01 CEMENTITIOUS MATERIALS

Unless otherwise specified, cementitious material shall be either a combination of Type II or Type V portland cement and a supplementary cementitious material, or a blended cement.

Cementitious materials used in cast-in-place concrete for exposed surfaces of like elements of a structure shall be from the same sources and of the same proportions.

Cementitious materials shall be protected from moisture until used. Sacked cementitious materials shall be piled to permit access for tallying, inspecting, and identifying each shipment.

Facilities shall be provided to ensure that cementitious materials meeting this Section 90-2.01 are kept separate from other cementitious materials. Sampling cementitious materials shall be in conformance with California Test 125.

The Contractor shall furnish a Certificate of Compliance for cementitious materials in conformance with the provisions in Section 6-1.07, "Certificates of Compliance." The Certificate of Compliance shall indicate the source by name and location (including country, state, and city). If cementitious material is delivered directly to the job site, the Certificate of Compliance shall be signed by the cementitious material supplier. If the cementitious material is used in ready-mixed concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product.

90-2.01A CEMENT

Portland cement shall conform to the requirements in ASTM Designation: C 150 except, using a 10-sample moving average, limestone shall not exceed 2.5 percent. The C_3S content of Type II cement shall not exceed 65 percent.

Blended cement shall conform to the requirements for Portland Blast-Furnace Slag, Cement Type IS (MS) or Portland-Pozzolan Cement, Type IP (MS) in AASHTO Designation: M 240 and shall be comprised of an intimate and uniform blend of Type II or Type V cement and supplementary cementitious material in an amount conforming to the requirements in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials."

In addition, blended cement, Type II portland cement, and Type V portland cement shall conform to the following requirements:

A. The cement shall not contain more than 0.60-percent by mass of alkalies, calculated as the percentage of Na_2O plus 0.658 times the percentage of K_2O, when determined by methods as required in AASHTO Designation: T 105;
B. The autoclave expansion shall not exceed 0.50-percent; and
C. Mortar, containing the cement to be used and Ottawa sand, when tested in conformance with California Test 527, shall not expand in water more than 0.010-percent and shall not contract in air more than 0.048-percent, except that when cement is to be used for precast prestressed concrete piling, precast prestressed concrete members, or steam cured concrete products, the mortar shall not contract in air more than 0.053-percent.

Type III portland cement shall be used only as specified in the special provisions or with the approval of the Engineer. Type III portland cement shall conform to the additional requirements listed above for Type II portland cement, except when tested in conformance with California Test 527, mortar containing Type III portland cement shall not contract in air more than 0.075-percent.

90-2.01B SUPPLEMENTARY CEMENTITIOUS MATERIALS (SCM)

Fly ash shall conform to the requirements in AASHTO Designation: M 295, Class F, and the following:

A. Calcium oxide content shall not exceed 10 percent.
B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.
C. Commingling of fly ash from different sources at uncontrolled ratios is permissible only if the following criteria are satisfied:

    1. Sources of fly ash to be commingled shall be on the approved list of materials for use in concrete.
    2. Testing of the commingled product is the responsibility of the fly ash supplier.
    3. Each fly ash's running average of density shall not differ from any other by more than $0.25 g/cm^3$ at the time of commingling.
    4. Each fly ash's running average of loss on ignition shall not differ from any other by more than one percent at the time of commingling.
    5. The final product of commingled fly ash shall conform to the requirement in AASHTO Designation: M 295.

Raw or calcined natural pozzolans shall conform to the requirements in AASHTO Designation: M 295, Class N and the following requirements:

A. Calcium oxide content shall not exceed 10 percent.
B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.

Ground Granulated Blast Furnace Slag (GGBFS) shall conform to the requirements in AASHTO Designation: M 302, Grade 100 or Grade 120.

Silica Fume shall conform to the requirements of AASHTO Designation: M 307 with reduction in mortar expansion of 80 percent, minimum, using the cement from the proposed mix design.

90-2.01C REQUIRED USE OF SUPPLEMENTARY CEMENTITIOUS MATERIALS

The amount of portland cement and SCM used in portland cement concrete shall conform to the minimum cementitious material content provisions in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," and the following:

A. If a blended cement conforming to the provisions in Section 90-2.01A, "Cement," is used, the minimum amount of SCM incorporated into the cement shall conform to the provisions in this Section 90-2.01C.
B. Fly ash or natural pozzolan, silica fume, or GGBFS shall not be used with Type IP or Type IS cements.

Use of SCMs shall conform to the following:

A. If fly ash or natural pozzolan is used:

1. The minimum amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
2. The minimum amount of fly ash or natural pozzolan shall be:
   a. Fifteen percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is equal to or less than 2 percent by mass;
   b. Twenty-five percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is greater than 2 percent by mass.

B. The total amount of fly ash or natural pozzolan shall not exceed 35 percent by mass of the total amount of cementitious material to be used in the mix. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and fly ash or natural pozzolan per cubic meter shall not exceed the specified maximum cementitious material content.
C. If silica fume is used:

1. The amount of silica fume shall not be less than 10 percent by mass of the total amount of cementitious material.
2. The amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
3. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and silica fume per cubic meter shall not exceed the specified maximum cementitious material content.

D. If GGBFS is used:

1. The minimum amount of GGBFS shall be either:
   a. Forty percent of the total cementitious material to be used, if the aggregates used in the concrete are on the Department's list of "Approved Aggregates For Use in Concrete with Reduced Fly Ash."
   b. No less than 50 percent.
2. The amount of GGBFS shall not exceed 60 percent by mass of the total amount of cementitious materials to be used.

90-2.02 AGGREGATES

Aggregates shall be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

The Contractor shall provide safe and suitable facilities, including necessary splitting devices for obtaining samples of aggregates, in conformance with California Test 125.

Aggregates shall be of such character that it will be possible to produce workable concrete within the limits of water content provided in Section 90-6.06, "Amount of Water and Penetration."

Aggregates shall have not more than 10 percent loss when tested for soundness in conformance with the requirements in California Test 214. The soundness requirement for fine aggregate will be waived, provided that the durability index, D_f, of the fine aggregate is 60 or greater when tested for durability in conformance with California Test 229.

If the results of any one or more of the Cleanness Value, Sand Equivalent, or aggregate grading tests do not meet the requirements specified for "Operating Range" but all meet the "Contract Compliance" requirements, the placement of concrete shall be suspended at the completion of the current pour until tests or other information indicate that the next material to be used in the work will comply with the requirements specified for "Operating Range."

If the results of either or both the Cleanness Value and coarse aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented

by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

If the results of either or both the Sand Equivalent and fine aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

The 2 preceding paragraphs apply individually to the "Contract Compliance" requirements for coarse aggregate and fine aggregate. When both coarse aggregate and fine aggregate do not conform to the "Contract Compliance" requirements, both paragraphs shall apply. The payments specified in those paragraphs are in addition to any payments made in conformance with the provisions in Section 90-1.01, "Description."

No single Cleanness Value, Sand Equivalent, or aggregate grading test shall represent more than 250 m^3 of concrete or one day's pour, whichever is smaller.

When the source of an aggregate is changed, the Contractor shall adjust the mix proportions and submit in writing to the Engineer a copy of the mix design before using the aggregates.

90-2.02A COARSE AGGREGATE

Coarse aggregate shall consist of gravel, crushed gravel, crushed rock, reclaimed aggregate, crushed air-cooled iron blast furnace slag or combinations thereof. Crushed air-cooled blast furnace slag shall not be used in reinforced or prestressed concrete.

Reclaimed aggregate is aggregate that has been recovered from plastic concrete by washing away the cementitious material. Reclaimed aggregate shall conform to all aggregate requirements.

Coarse aggregate shall conform to the following quality requirements:

Tests	California Test	Requirements
Loss in Los Angeles Rattler (after 500 revolutions)	211	45% max.
Cleanness Value		
Operating Range	227	75 min.
Contract Compliance	227	71 min.

In lieu of the above Cleanness Value requirements, a Cleanness Value "Operating Range" limit of 71, minimum, and a Cleanness Value "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the coarse aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

A. Coarse aggregate sampled at the completion of processing at the aggregate production plant had a Cleanness Value of not less than 82 when tested in conformance with the requirements in California Test 227; and
B. Prequalification tests performed in conformance with the requirements in California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

90-2.02B FINE AGGREGATE

Fine aggregate shall consist of natural sand, manufactured sand produced from larger aggregate or a combination thereof. Manufactured sand shall be well graded.

Fine aggregate shall conform to the following quality requirements:

Test	California Test	Requirements
Organic Impurities	213	Satisfactory[a]
Mortar Strengths Relative to Ottawa Sand	515	95%, min.
Sand Equivalent:		
Operating Range	217	75, min.
Contract Compliance	217	71, min.

a Fine aggregate developing a color darker than the reference standard color solution may be accepted if it is determined by the Engineer, from mortar strength tests, that a darker color is acceptable.

In lieu of the above Sand Equivalent requirements, a Sand Equivalent "Operating Range" limit of 71, minimum, and a Sand Equivalent "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the fine aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

A. Fine aggregate sampled at the completion of processing at the aggregate production plant had a Sand Equivalent value of not less than 82 when tested by California Test 217; and
B. Prequalification tests performed in conformance with California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

90-2.03 WATER

In conventionally reinforced concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 1000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as SO_4, when tested in conformance with California Test 417. In prestressed concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 650 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as SO_4, when tested in conformance with California Test 417. In no case shall the water contain an amount of impurities that will cause either: 1) a change in the setting time of cement of more than 25 percent when tested in conformance with the requirements in ASTM Designation: C 191 or ASTM Designation: C 266 or 2) a reduction in the compressive strength of mortar at 14 days of more than 5 percent, when tested in conformance with the requirements in ASTM Designation: C 109, when compared to the results obtained with distilled water or deionized water, tested in conformance with the requirements in ASTM Designation: C 109.

In nonreinforced concrete work, the water for curing, for washing aggregates and for mixing shall be free from oil and shall not contain more than 2000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, or more than 1500 parts per million of sulfates as SO_4, when tested in conformance with California Test 417.

In addition to the above provisions, water for curing concrete shall not contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water reclaimed from mixer wash-out operations may be used in mixing concrete. The water shall not contain coloring agents or more than 300 parts per million of alkalis ($Na_2O + 0.658\ K_2O$) as determined on the filtrate. The specific gravity of the water shall not exceed 1.03 and shall not vary more than ±0.010 during a day's operations.

90-2.04 ADMIXTURE MATERIALS

Admixture materials shall conform to the requirements in the following ASTM Designations:

A. Chemical Admixtures—ASTM Designation: C 494.
B. Air-entraining Admixtures—ASTM Designation: C 260.

90-3 AGGREGATE GRADINGS

90-3.01 GENERAL

Before beginning concrete work, the Contractor shall submit in writing to the Engineer the gradation of the primary aggregate nominal sizes that the Contractor proposes to furnish. If a primary coarse aggregate or the fine aggregate is separated into 2 or more sizes, the proposed gradation shall consist of the gradation for each individual size, and the proposed proportions of each individual size, combined mathematically to indicate one proposed gradation. The proposed gradation shall meet the grading requirements shown in the table in this section, and shall show the percentage passing each of the sieve sizes used in determining the end result.

The Engineer may waive, in writing, the gradation requirements in this Section 90-3.01 and in Sections 90-3.02, "Coarse Aggregate Grading," 90-3.03, "Fine Aggregate Grading," and 90-3.04, "Combined Aggregate Gradings," if, in the Engineer's opinion, furnishing the gradation is not necessary for the type or amount of concrete work to be constructed.

Gradations proposed by the Contractor shall be within the following percentage passing limits:

Primary Aggregate Nominal Size	Sieve Size	Limits of Proposed Gradation
37.5-mm x 19-mm	25-mm	19 - 41
25-mm x 4.75-mm	19-mm	52 - 85
25-mm x 4.75-mm	9.5-mm	15 - 38
12.5-mm x 4.75-mm	9.5-mm	40 - 78
9.5-mm x 2.36-mm	9.5-mm	50 - 85
Fine Aggregate	1.18-mm	55 - 75
Fine Aggregate	600-µm	34 - 46
Fine Aggregate	300-µm	16 - 29

Should the Contractor change the source of supply, the Contractor shall submit in writing to the Engineer the new gradations before their intended use.

90-3.02 COARSE AGGREGATE GRADING

The grading requirements for coarse aggregates are shown in the following table for each size of coarse aggregate:

	Percentage Passing Primary Aggregate Nominal Sizes							
	37.5-mm x 19-mm		25-mm x 4.75-mm		12.5-mm x 4.75-mm		9.5-mm x 2.36-mm	
Sieve Sizes	Operating Range	Contract Compliance	Operating Range	Contract Compliance	Operating Range	Contract Compliance	Operating Range	Contract Compliance
50-mm	100	100	—	—	—	—	—	—
37.5-mm	88 - 100	85 - 100	100	100	—	—	—	—
25-mm	X ±18	X ±25	88 - 100	86 - 100	—	—	—	—
19-mm	0 - 17	0 - 20	X ±15	X ±22	100	100	—	—
12.5-mm	—	—	—	—	82 - 100	80 - 100	100	100
9.5-mm	0 - 7	0 - 9	X ±15	X ±22	X ±15	X ±22	X ±15	X ±20
4.75-mm	—	—	0 - 16	0 - 18	0 - 15	0 - 18	0 - 25	0 - 28
2.36-mm	—	—	0 - 6	0 - 7	0 - 6	0 - 7	0 - 6	0 - 7

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

Coarse aggregate for the 37.5-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," shall be furnished in 2 or more primary aggregate nominal sizes. Each primary aggregate nominal size may be separated into 2 sizes and stored separately, provided that the combined material conforms to the grading requirements for that particular primary aggregate nominal size.

When the 25-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," is to be used, the coarse aggregate may be separated into 2 sizes and stored separately, provided that the combined material shall conform to the grading requirements for the 25-mm x 4.75-mm primary aggregate nominal size.

90-3.03 FINE AGGREGATE GRADING

Fine aggregate shall be graded within the following limits:

Sieve Sizes	Percentage Passing	
	Operating Range	Contract Compliance
9.5-mm	100	100
4.75-mm	95 - 100	93 - 100
2.36-mm	65 - 95	61 - 99
1.18-mm	X ±10	X ±13
600-µm	X ±9	X ±12
300-µm	X ±6	X ±9
150-µm	2 - 12	1 - 15
75-µm	0 - 8	0 - 10

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

In addition to the above required grading analysis, the distribution of the fine aggregate sizes shall be such that the difference between the total percentage passing the 1.18-mm sieve and the total percentage passing the 600-µm sieve shall be between 10 and 40, and the difference between the percentage passing the 600-µm and 300-µm sieves shall be between 10 and 40.

Fine aggregate may be separated into 2 or more sizes and stored separately, provided that the combined material conforms to the grading requirements specified in this Section 90-3.03.

90-3.04 COMBINED AGGREGATE GRADINGS

Combined aggregate grading limits shall be used only for the design of concrete mixes. Concrete mixes shall be designed so that aggregates are combined in proportions that shall produce a mixture within the grading limits for combined aggregates as specified herein.

The combined aggregate grading, except when otherwise specified in these specifications or the special provisions, shall be either the 37.5-mm, maximum grading, or the 25-mm, maximum grading, at the option of the Contractor.

Grading Limits of Combined Aggregates

Sieve Sizes	Percentage Passing			
	37.5-mm Max.	25-mm Max.	12.5-mm Max.	9.5-mm Max.
50-mm	100	—	—	—
37.5-mm	90 - 100	100	—	—
25-mm	50 - 86	90 - 100	—	—
19-mm	45 - 75	55 - 100	100	—
12.5-mm	—	—	90-100	100
9.5-mm	38 - 55	45 - 75	55 - 86	50 - 100
4.75-mm	30 - 45	35 - 60	45 - 63	45 - 63
2.36-mm	23 - 38	27 - 45	35 - 49	35 - 49
1.18-mm	17 - 33	20 - 35	25 - 37	25 - 37
600-µm	10 - 22	12 - 25	15 - 25	15 - 25
300-µm	4 - 10	5 - 15	5 - 15	5 - 15
150-µm	1 - 6	1 - 8	1 - 8	1 - 8
75-µm	0 - 3	0 - 4	0 - 4	0 - 4

Changes from one grading to another shall not be made during the progress of the work unless permitted by the Engineer.

90-4 ADMIXTURES

90-4.01 GENERAL

Admixtures used in portland cement concrete shall conform to and be used in conformance with the provisions in this Section 90-4 and the special provisions. Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option as provided herein.

Chemical admixtures and air-entraining admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined by California Test 415, shall not be used.

Admixtures shall be uniform in properties throughout their use in the work. Should it be found that an admixture as furnished is not uniform in properties, its use shall be discontinued.

If more than one admixture is used, the admixtures shall be compatible with each other so that the desirable effects of all admixtures used will be realized.

Chemical admixtures shall be used in conformance with the manufacturer's written recommendations.

90-4.02 MATERIALS

Admixture materials shall conform to the provisions in Section 90–2.04, "Admixture Materials."

90-4.03 ADMIXTURE APPROVAL

No admixture brand shall be used in the work unless it is on the Department's current list of approved brands for the type of admixture involved.

Admixture brands will be considered for addition to the approved list if the manufacturer of the admixture submits to the Transportation Laboratory a sample of the admixture accompanied by certified test results demonstrating that the admixture complies with the requirements in the appropriate ASTM Designation and these specifications. The sample shall be sufficient to permit performance of all required tests. Approval of admixture brands will be dependent upon a determination as to compliance with the requirements, based on the certified test results submitted, together with tests the Department may elect to perform.

If the Contractor proposes to use an admixture of a brand and type on the current list of approved admixture brands, the Contractor shall furnish a Certificate of Compliance from the manufacturer, as provided in Section 6-1.07, "Certificates of Compliance," certifying that the admixture furnished is the same as that previously approved. If a previously approved admixture is not accompanied by a Certificate of Compliance, the admixture shall not be used in the work until the Engineer has had sufficient time to make the appropriate tests and has approved the admixture for use. The Engineer may take samples for testing at any time, whether or not the admixture has been accompanied by a Certificate of Compliance.

90-4.04 REQUIRED USE OF CHEMICAL ADMIXTURES

If the use of a chemical admixture is specified, the admixture shall be used at the dosage specified, except that if no dosage is specified, the admixture shall be used at the dosage normally recommended by the manufacturer of the admixture.

90-4.05 OPTIONAL USE OF CHEMICAL ADMIXTURES

The Contractor may use Type A or F, water-reducing; Type B, retarding; or Type D or G, water-reducing and retarding admixtures as described in ASTM Designation: C 494 to conserve cementitious material or to facilitate any concrete construction application subject to the following conditions:

A. If a water-reducing admixture or a water-reducing and retarding admixture is used, the cementitious material content specified or ordered may be reduced by a maximum of 5 percent by mass, except that the resultant cementitious material content shall be not less than 300 kilograms per cubic meter; and
B. When a reduction in cementitious material content is made, the dosage of admixture used shall be the dosage used in determining approval of the admixture.

Unless otherwise specified, a Type C accelerating chemical admixture conforming to the requirements in ASTM Designation: C 494, may be used in portland cement concrete. Inclusion in the mix design submitted for approval will not be required provided that the admixture is added to counteract changing conditions that contribute to delayed setting of the portland cement concrete, and the use or change in dosage of the admixture is approved in writing by the Engineer.

90-4.06 REQUIRED USE OF AIR-ENTRAINING ADMIXTURES

When air-entrainment is specified or ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce a concrete having the specified air content as determined by California Test 504.

90-4.07 OPTIONAL USE OF AIR-ENTRAINING ADMIXTURES

When air-entrainment has not been specified or ordered by the Engineer, the Contractor will be permitted to use an air-entraining admixture to facilitate the use of any construction procedure or equipment provided that the average air content, as determined by California Test 504, of 3 successive tests does not exceed 4 percent, and no single test value exceeds 5.5 percent. If the Contractor elects to use an air-entraining admixture in concrete for pavement, the Contractor shall so indicate at the time the Contractor designates the source of aggregate.

90-4.08 BLANK

90-4.09 BLANK

90-4.10 PROPORTIONING AND DISPENSING LIQUID ADMIXTURES

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers for liquid admixtures shall have sufficient capacity to measure at one time the prescribed quantity required for each batch of concrete. Each dispenser shall include a graduated measuring unit into which liquid admixtures are measured to within ±5 percent of the prescribed quantity for each batch. Dispensers shall be located and maintained so that the graduations can be accurately read from the point at which proportioning operations are controlled to permit a visual check of batching accuracy prior to discharge. Each measuring unit shall be clearly marked for the type and quantity of admixture.

Each liquid admixture dispensing system shall be equipped with a sampling device consisting of a valve located in a safe and readily accessible position such that a sample of the admixture may be withdrawn slowly by the Engineer.

If more than one liquid admixture is used in the concrete mix, each liquid admixture shall have a separate measuring unit and shall be dispensed by injecting equipment located in such a manner that the admixtures are not mixed at high concentrations and do not interfere with the effectiveness of each other. When air-entraining admixtures are used in conjunction with other liquid admixtures, the air-entraining admixture shall be the first to be incorporated into the mix, unless it is demonstrated that a different sequence improves performance.

When automatic proportioning devices are required for concrete pavement, dispensers for liquid admixtures shall operate automatically with the batching control equipment. The dispensers shall be equipped with an automatic warning system in good operating condition that will provide a visible or audible signal at the point at which proportioning operations are controlled when the quantity of admixture measured for each batch of concrete varies from the preselected dosage by more than 5 percent, or when the entire contents of the measuring unit are not emptied from the dispenser into each batch of concrete.

Unless liquid admixtures are added to premeasured water for the batch, their discharge into the batch shall be arranged to flow into the stream of water so that the admixtures are well dispersed throughout the batch, except that air-entraining admixtures may be dispensed directly into moist sand in the batching bins provided that adequate control of the air content of the concrete can be maintained.

Liquid admixtures requiring dosages greater than 2.5 L/m^3 shall be considered to be water when determining the total amount of free water as specified in Section 90-6.06, "Amount of Water and Penetration."

90-4.11 BLANK

90-5 PROPORTIONING

90-5.01 STORAGE OF AGGREGATES

Aggregates shall be stored or stockpiled in such a manner that separation of coarse and fine particles of each size shall be avoided and the various sizes shall not become intermixed before proportioning.

Aggregates shall be stored or stockpiled and handled in a manner that prevent contamination by foreign materials. In addition, storage of aggregates at batching or mixing facilities that are erected subsequent to the award of the contract and that furnish concrete to the project shall conform to the following:

A. Intermingling of the different sizes of aggregates shall be positively prevented. The Contractor shall take the necessary measures to prevent intermingling. The preventive measures may include, but are not necessarily limited to, physical separation of stockpiles or construction of bulkheads of adequate length and height; and
B. Contamination of aggregates by contact with the ground shall be positively prevented. The Contractor shall take the necessary measures to prevent contamination. The preventive measures shall include, but are not necessarily limited to, placing aggregates on wooden platforms or on hardened surfaces consisting of portland cement concrete, asphalt concrete, or cement treated material.

In placing aggregates in storage or in moving the aggregates from storage to the weigh hopper of the batching plant, any method that may cause segregation, degradation, or the combining of materials of different gradings that will result in any size of aggregate at the weigh hopper failing to meet the grading requirements shall be discontinued. Any method of handling aggregates that results in excessive breakage of particles shall be discontinued. The use of suitable devices to reduce impact of falling aggregates may be required by the Engineer.

90-5.02 PROPORTIONING DEVICES

Weighing, measuring, or metering devices used for proportioning materials shall conform to the requirements in Section 9-1.01, "Measurement of Quantities," and this Section 90-5.02. In addition, automatic weighing systems shall

comply with the requirements for automatic proportioning devices in Section 90-5.03A, "Proportioning for Pavement." Automatic devices shall be automatic to the extent that the only manual operation required for proportioning the aggregates, cement, and supplementary cementitious material for one batch of concrete is a single operation of a switch or starter.

Proportioning devices shall be tested as frequently as the Engineer may deem necessary to ensure their accuracy.

Weighing equipment shall be insulated against vibration or movement of other operating equipment in the plant. When the plant is in operation, the mass of each batch of material shall not vary from the mass designated by the Engineer by more than the tolerances specified herein.

Equipment for cumulative weighing of aggregate shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the aggregate. For systems with individual weigh hoppers for the various sizes of aggregate, the zero tolerance shall be ±0.5 percent of the individual batch mass designated for each size of aggregate. Equipment for cumulative weighing of cement and supplementary cementitious material shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the cement and supplementary cementitious material. Equipment for weighing cement or supplementary cementitious material separately shall have a zero tolerance of ±0.5 percent of their designated individual batch masses. Equipment for measuring water shall have a zero tolerance of ±0.5 percent of its designated mass or volume.

The mass indicated for any batch of material shall not vary from the preselected scale setting by more than the following:

A. Aggregate weighed cumulatively shall be within 1.0 percent of the designated total batch mass of the aggregate. Aggregates weighed individually shall be within 1.5 percent of their respective designated batch masses; and
B. Cement shall be 99 to 102 percent of its designated batch mass. When weighed individually, supplementary cementitious material shall be 99 to 102 percent of its designated batch mass. When supplementary cementitious material and cement are permitted to be weighed cumulatively, cement shall be weighed first to 99 to 102 percent of its designated batch mass, and the total for cement and supplementary cementitious material shall be 99 to 102 percent of the sum of their designated batch masses; and
C. Water shall be within 1.5 percent of its designated mass or volume.

Each scale graduation shall be approximately 0.001 of the total capacity of the scale. The capacity of scales for weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregates shall not exceed that of commercially available scales having single graduations indicating a mass not exceeding the maximum permissible mass variation above, except that no scale shall be required having a capacity of less than 500 kg, with 0.5-kg graduations.

90-5.03 PROPORTIONING

Proportioning shall consist of dividing the aggregates into the specified sizes, each stored in a separate bin, and combining them with cementitious material and water as provided in these specifications. Aggregates shall be proportioned by mass.

At the time of batching, aggregates shall have been dried or drained sufficiently to result in a stable moisture content such that no visible separation of water from aggregate will take place during transportation from the proportioning plant to the point of mixing. In no event shall the free moisture content of the fine aggregate at the time of batching exceed 8 percent of its saturated, surface-dry mass.

Should separate supplies of aggregate material of the same size group, but of different moisture content or specific gravity or surface characteristics affecting workability, be available at the proportioning plant, withdrawals shall be made from one supply exclusively and the materials therein completely exhausted before starting upon another.

Bulk Type IP (MS) cement shall be weighed in an individual hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer.

Bulk cement and supplementary cementitious material may be weighed in separate, individual weigh hoppers or may be weighed in the same weigh hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer. If the cement and supplementary cementitious material are weighed cumulatively, the cement shall be weighed first.

If cement and supplementary cementitious material are weighed in separate weigh hoppers, the weigh systems for the proportioning of the aggregate, the cement, and the supplementary cementitious material shall be individual and distinct from all other weigh systems. Each weigh system shall be equipped with a hopper, a lever system, and an indicator to constitute an individual and independent material weighing device. The cement and the supplementary cementitious material shall be discharged into the mixer simultaneously with the aggregate.

The scales and weigh hoppers for bulk weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material shall be separate and distinct from the aggregate weighing equipment.

For batches of one cubic meter or more, the batching equipment shall conform to one of the following combinations:

A. Separate boxes and separate scale and indicator for weighing each size of aggregate.

B. Single box and scale indicator for all aggregates.
C. Single box or separate boxes and automatic weighing mechanism for all aggregates.

In order to check the accuracy of batch masses, the gross mass and tare mass of batch trucks, truck mixers, truck agitators, and non-agitating hauling equipment shall be determined when ordered by the Engineer. The equipment shall be weighed on scales designated by the Engineer.

90-5.03A PROPORTIONING FOR PAVEMENT

Aggregates and bulk supplementary cementitious material for use in pavement shall be proportioned by mass by means of automatic proportioning devices of approved type conforming to these specifications.

The Contractor shall install and maintain in operating condition an electronically actuated moisture meter that will indicate, on a readily visible scale, changes in the moisture content of the fine aggregate as it is batched within a sensitivity of 0.5 percent by mass of the fine aggregate.

The batching of cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregate shall be interlocked so that a new batch cannot be started until all weigh hoppers are empty, the proportioning devices are within zero tolerance, and the discharge gates are closed. The interlock shall permit no part of the batch to be discharged until all aggregate hoppers and the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper are charged with masses that are within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If interlocks are required for cement and supplementary cementitious material charging mechanisms and cement and supplementary cementitious material are weighed cumulatively, their charging mechanisms shall be interlocked to prevent the introduction of mineral admixture until the mass of cement in the cement weigh hopper is within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If concrete is completely mixed in stationary paving mixers, the supplementary cementitious materials shall be weighed in a separate weigh hopper and the supplementary cementitious material and cement shall be introduced simultaneously into the mixer proportionately with the aggregate. If the Contractor provides certification that the stationary mixer is capable of mixing the cement, supplementary cementitious material, aggregates, and water uniformly before discharge, weighing the supplementary cementitious material cumulatively with the cement is permitted. Certification shall contain the following:

A. Test results for 2 compressive strength test cylinders of concrete taken within the first one-third and 2 compressive strength test cylinders of concrete taken within the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength";
B. Calculations demonstrating that the difference in the averages of 2 compressive strengths taken in the first one-third is no greater than 7.5 percent different than the averages of 2 compressive strengths taken in the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength;" and
C. The mixer rotation speed and time of mixing before discharge that are required to produce a mix that meets the requirements above.

The discharge gate on the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper shall be designed to permit regulating the flow of cement, supplementary cementitious material, or cement plus supplementary cementitious material into the aggregate as directed by the Engineer.

If separate weigh boxes are used for each size of aggregate, the discharge gates shall permit regulating the flow of each size of aggregate as directed by the Engineer.

Material discharged from the several bins shall be controlled by gates or by mechanical conveyors. The means of withdrawal from the several bins, and of discharge from the weigh box, shall be interlocked so that not more than one bin can discharge at a time, and so that the weigh box cannot be tripped until the required quantity from each of the several bins has been deposited therein. Should a separate weigh box be used for each size of aggregate, all may be operated and discharged simultaneously.

If the discharge from the several bins is controlled by gates, each gate shall be actuated automatically so that the required mass is discharged into the weigh box, after which the gate shall automatically close and lock.

The automatic weighing system shall be designed so that all proportions required may be set on the weighing controller at the same time.

90-6 MIXING AND TRANSPORTING

90-6.01 GENERAL

Concrete shall be mixed in mechanically operated mixers, except that when permitted by the Engineer, batches not exceeding 0.25-m^3 may be mixed by hand methods in conformance with the provisions in Section 90-6.05, "Hand-Mixing."

Equipment having components made of aluminum or magnesium alloys that would have contact with plastic concrete during mixing, transporting, or pumping of portland cement concrete shall not be used.

Concrete shall be homogeneous and thoroughly mixed, and there shall be no lumps or evidence of undispersed cementitious material.

Uniformity of concrete mixtures will be determined by differences in penetration as determined by California Test 533, or slump as determined by ASTM Designation: C 143, and by variations in the proportion of coarse aggregate as determined by California Test 529.

When the mix design specifies a penetration value, the difference in penetration, determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed 10 mm. When the mix design specifies a slump value, the difference in slump, determined by comparing slump tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed the values given in the table below. Variation in the proportion of coarse aggregate will be determined by comparing the results of tests of 2 samples of mixed concrete from the same batch or truck mixer load and the difference between the 2 results shall not exceed 100 kg per cubic meter of concrete.

Average Slump	Maximum Permissible Difference
Less than 100-mm	25-mm
100-mm to 150-mm	38-mm
Greater than 150-mm to 225-mm	50-mm

The Contractor shall furnish samples of the freshly mixed concrete and provide satisfactory facilities for obtaining the samples.

90-6.02 MACHINE MIXING

Concrete mixers may be of the revolving drum or the revolving blade type, and the mixing drum or blades shall be operated uniformly at the mixing speed recommended by the manufacturer. Mixers and agitators that have an accumulation of hard concrete or mortar shall not be used.

The temperature of mixed concrete, immediately before placing, shall be not less than 10°C or more than 32°C. Aggregates and water shall be heated or cooled as necessary to produce concrete within these temperature limits. Neither aggregates nor mixing water shall be heated to exceed 65°C. If ice is used to cool the concrete, discharge of the mixer will not be permitted until all ice is melted.

The batch shall be so charged into the mixer that some water will enter in advance of cementitious materials and aggregates. All water shall be in the drum by the end of the first one-fourth of the specified mixing time.

Cementitious materials shall be batched and charged into the mixer by means that will not result either in loss of cementitious materials due to the effect of wind, in accumulation of cementitious materials on surfaces of conveyors or hoppers, or in other conditions that reduce or vary the required quantity of cementitious material in the concrete mixture.

Paving and stationary mixers shall be operated with an automatic timing device. The timing device and discharge mechanism shall be interlocked so that during normal operation no part of the batch will be discharged until the specified mixing time has elapsed.

The total elapsed time between the intermingling of damp aggregates and all cementitious materials and the start of mixing shall not exceed 30 minutes.

The size of batch shall not exceed the manufacturer's guaranteed capacity.

When producing concrete for pavement or base, suitable batch counters shall be installed and maintained in good operating condition at job site batching plants and stationary mixers. The batch counters shall indicate the exact number of batches proportioned and mixed.

Concrete shall be mixed and delivered to the job site by means of one of the following combinations of operations:

A. Mixed completely in a stationary mixer and the mixed concrete transported to the point of delivery in truck agitators or in nonagitating hauling equipment (central-mixed concrete).
B. Mixed partially in a stationary mixer, and the mixing completed in a truck mixer (shrink-mixed concrete).
C. Mixed completely in a truck mixer (transit-mixed concrete).
D. Mixed completely in a paving mixer.

Agitators may be truck mixers operating at agitating speed or truck agitators. Each mixer and agitator shall have attached thereto in a prominent place a metal plate or plates on which is plainly marked the various uses for which the equipment is designed, the manufacturer's guaranteed capacity of the drum or container in terms of the volume of mixed concrete and the speed of rotation of the mixing drum or blades.

Truck mixers shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified.

When shrink-mixed concrete is furnished, concrete that has been partially mixed at a central plant shall be transferred to a truck mixer and all requirements for transit-mixed concrete shall apply. No credit in the number of revolutions at mixing speed will be allowed for partial mixing in a central plant.

90-6.03 TRANSPORTING MIXED CONCRETE

Mixed concrete may be transported to the delivery point in truck agitators or truck mixers operating at the speed designated by the manufacturer of the equipment as agitating speed, or in nonagitating hauling equipment, provided the consistency and workability of the mixed concrete upon discharge at the delivery point is suitable for adequate placement and consolidation in place, and provided the mixed concrete after hauling to the delivery point conforms to the provisions in Section 90-6.01, "General."

Truck agitators shall be loaded not to exceed the manufacturer's guaranteed capacity and shall maintain the mixed concrete in a thoroughly mixed and uniform mass during hauling.

Bodies of nonagitating hauling equipment shall be constructed so that leakage of the concrete mix, or any part thereof, will not occur at any time.

Concrete hauled in open-top vehicles shall be protected during hauling against rain or against exposure to the sun for more than 20 minutes when the ambient temperature exceeds 24°C.

No additional mixing water shall be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer. If the Engineer authorizes additional water to be incorporated into the concrete, the drum shall be revolved not less than 30 revolutions at mixing speed after the water is added and before discharge is commenced.

The rate of discharge of mixed concrete from truck mixer-agitators shall be controlled by the speed of rotation of the drum in the discharge direction with the discharge gate fully open.

If a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be completed within 1.5 hours or before 250 revolutions of the drum or blades, whichever occurs first, after the introduction of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or if the temperature of the concrete is 30°C or above, the time allowed may be less than 1.5 hours. If an admixture is used to retard the set time, the temperature of the concrete shall not exceed 30°C, the time limit shall be 2 hours, and the revolution limitation shall be 300.

If nonagitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be completed within one hour after the addition of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete delivered at the job site shall be accompanied by a weighmaster certificate showing the mix identification number, nonrepeating load number, date and time at which the materials were batched, the total amount of water added to the load, and for transit-mixed concrete, the reading of the revolution counter at the time the truck mixer is charged with cement. This weighmaster certificate shall also show the actual scale masses (kilograms) for the ingredients batched. Theoretical or target batch masses shall not be used as a substitute for actual scale masses.

Weighmaster certificates shall be provided in printed form, or if approved by the Engineer, the data may be submitted in electronic media. Electronic media shall be presented in a tab-delimited format on a 90 mm diskette with a capacity of at least 1.4 megabytes. Captured data, for the ingredients represented by each batch shall be "line feed, carriage return" (LFCR) and "one line, separate record" with allowances for sufficient fields to satisfy the amount of data required by these specifications.

The Contractor may furnish a weighmaster certificate accompanied by a separate certificate that lists the actual batch masses or measurements for a load of concrete provided that both certificates are imprinted with the same nonrepeating load number that is unique to the contract and delivered to the job site with the load.

Weighmaster certificates furnished by the Contractor shall conform to the provisions in Section 9-1.01, "Measurement of Quantities."

90-6.04 TIME OR AMOUNT OF MIXING

Mixing of concrete in paving or stationary mixers shall continue for the required mixing time after all ingredients, except water and admixture, if added with the water, are in the mixing compartment of the mixer before any part of the batch is released. Transfer time in multiple drum mixers shall not be counted as part of the required mixing time.

The required mixing time, in paving or stationary mixers, of concrete used for concrete structures, except minor structures, shall be not less than 90 seconds or more than 5 minutes, except that when directed by the Engineer in writing, the requirements of the following paragraph shall apply.

The required mixing time, in paving or stationary mixers, except as provided in the preceding paragraph, shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at the mixing speed for transit-mixed concrete shall not be less than that recommended by the mixer manufacturer, but in no case shall the number of revolutions be less than that required to consistently produce concrete conforming to the provisions for uniformity in Section 90-6.01, "General."

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

90-6.05 HAND-MIXING

Hand-mixed concrete shall be made in batches of not more than 0.25-m^3 and shall be mixed on a watertight, level platform. The proper amount of coarse aggregate shall be measured in measuring boxes and spread on the platform and the fine aggregate shall be spread on this layer, the 2 layers being not more than 0.3-meters in total depth. On this mixture shall be spread the dry cementitious materials and the whole mass turned no fewer than 2 times dry; then sufficient clean water shall be added, evenly distributed, and the whole mass again turned no fewer than 3 times, not including placing in the carriers or forms.

90-6.06 AMOUNT OF WATER AND PENETRATION

The amount of water used in concrete mixes shall be regulated so that the penetration of the concrete as determined by California Test 533 or the slump of the concrete as determined by ASTM Designation: C 143 is within the nominal values shown in the following table. When the penetration or slump of the concrete is found to exceed the nominal values listed, the mixture of subsequent batches shall be adjusted to reduce the penetration or slump to a value within the nominal range shown. Batches of concrete with a penetration or slump exceeding the maximum values listed shall not be used in the work. If Type F or Type G chemical admixtures are added to the mix, the penetration requirements shall not apply and the slump shall not exceed 225 mm after the chemical admixtures are added.

Type of Work	Nominal		Maximum	
	Penetration (mm)	Slump (mm)	Penetration (mm)	Slump (mm)
Concrete Pavement	0 - 25	—	40	—
Non-reinforced concrete facilities	0 - 35	—	50	—
Reinforced concrete structures				
Sections over 300-mm thick	0 - 35	—	65	—
Sections 300-mm thick or less	0 - 50	—	75	—
Concrete placed under water	—	150 - 200	—	225
Cast-in-place concrete piles	65 - 90	130 - 180	100	200

The amount of free water used in concrete shall not exceed 183 kg/m^3, plus 20 kg for each required 100 kg of cementitious material in excess of 325 kg/m^3.

The term free water is defined as the total water in the mixture minus the water absorbed by the aggregates in reaching a saturated surface-dry condition.

If there are adverse or difficult conditions that affect the placing of concrete, the above specified penetration and free water content limitations may be exceeded providing the Contractor is granted permission by the Engineer in writing to increase the cementitious material content per cubic meter of concrete. The increase in water and cementitious material shall be at a ratio not to exceed 30 kg of water per added 100 kg of cementitious material per cubic meter. Full compensation for additional cementitious material and water added under these conditions shall be considered as included in the contract price paid for the concrete work involved and no additional compensation will be allowed therefor.

The equipment for supplying water to the mixer shall be constructed and arranged so that the amount of water added can be measured accurately. Any method of discharging water into the mixer for a batch shall be accurate within 1.5 percent of the quantity of water required to be added to the mix for any position of the mixer. Tanks used to measure water shall be designed so that water cannot enter while water is being discharged into the mixer and discharge into the mixer shall be made rapidly in one operation without dribbling. All equipment shall be arranged so as to permit checking the amount of water delivered by discharging into measured containers.

90-7 CURING CONCRETE

90-7.01 METHODS OF CURING

Newly placed concrete shall be cured by the methods specified in this Section 90-7.01 and the special provisions.

90-7.01A WATER METHOD

The concrete shall be kept continuously wet by the application of water for a minimum curing period of 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or earth or sand blankets may be used as a curing medium to retain the moisture during the curing period.

If a curing medium consisting of cotton mats, rugs, carpets, polyethylene sheeting, polyethylene sheeting on burlap, or earth or sand blankets is to be used to retain the moisture, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. The moisture from the nozzle shall not be applied under pressure directly upon the concrete and shall not be allowed to accumulate on the concrete in a quantity sufficient to cause a flow or wash the surface. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing media.

At the option of the Contractor, a curing medium consisting of white opaque polyethylene sheeting extruded onto burlap may be used to cure concrete structures. The polyethylene sheeting shall have a minimum thickness of 100 µm, and shall be extruded onto 283.5-gram burlap.

At the option of the Contractor, a curing medium consisting of polyethylene sheeting may be used to cure concrete columns. The polyethylene sheeting shall have a minimum thickness of 250 µm achieved in a single layer of material.

If the Contractor chooses to use polyethylene sheeting or polyethylene sheeting on burlap as a curing medium, these media and any joints therein shall be secured as necessary to provide moisture retention and shall be within 75 mm of the concrete at all points along the surface being cured. When these media are used, the temperature of the concrete shall be monitored during curing. If the temperature of the concrete cannot be maintained below 60°C, use of these curing media shall be disallowed.

When concrete bridge decks and flat slabs are to be cured without the use of a curing medium, the entire surface of the bridge deck or slab shall be kept damp by the application of water with an atomizing nozzle as specified above, until the concrete has set, after which the entire surface of the concrete shall be sprinkled continuously with water for a period of not less than 7 days.

90-7.01B CURING COMPOUND METHOD

Surfaces of the concrete that are exposed to the air shall be sprayed uniformly with a curing compound.

Curing compounds to be used shall be as follows:

1. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B, except the resin type shall be poly-alpha-methylstyrene.
2. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B.
3. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class A.
4. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class B.
5. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class A.
6. Nonpigmented curing compound with fugitive dye conforming to the requirements in ASTM Designation: C 309, Type 1-D, Class A.

The infrared scan for the dried vehicle from curing compound (1) shall match the infrared scan on file at the Transportation Laboratory.

The loss of water for each type of curing compound, when tested in conformance with the requirements in California Test 534, shall not be more than 0.15-kg/m^2 in 24 hours.

The curing compound to be used will be specified elsewhere in these specifications or in the special provisions.

If the use of curing compound is required or permitted elsewhere in these specifications or in the special provisions and no specific kind is specified, any of the curing compounds listed above may be used.

Curing compound shall be applied at a nominal rate of 3.7 m^2/L, unless otherwise specified.

At any point, the application rate shall be within ±1.2 m^2/L of the nominal rate specified, and the average application rate shall be within ±0.5 m^2/L of the nominal rate specified when tested in conformance with the requirements in California Test 535. Runs, sags, thin areas, skips, or holidays in the applied curing compound shall be evidence that the application is not satisfactory.

Curing compounds shall be applied using power operated spray equipment. The power operated spraying equipment shall be equipped with an operational pressure gage and a means of controlling the pressure. Hand spraying of small and

irregular areas that are not reasonably accessible to mechanical spraying equipment, in the opinion of the Engineer, may be permitted.

The curing compound shall be applied to the concrete following the surface finishing operation, immediately before the moisture sheen disappears from the surface, but before any drying shrinkage or craze cracks begin to appear. In the event of any drying or cracking of the surface, application of water with an atomizing nozzle as specified in Section 90-7.01A, "Water Method," shall be started immediately and shall be continued until application of the compound is resumed or started; however, the compound shall not be applied over any resulting freestanding water. Should the film of compound be damaged from any cause before the expiration of 7 days after the concrete is placed in the case of structures and 72 hours in the case of pavement, the damaged portion shall be repaired immediately with additional compound.

At the time of use, compounds containing pigments shall be in a thoroughly mixed condition with the pigment uniformly dispersed throughout the vehicle. A paddle shall be used to loosen all settled pigment from the bottom of the container, and a power driven agitator shall be used to disperse the pigment uniformly throughout the vehicle.

Agitation shall not introduce air or other foreign substance into the curing compound.

The manufacturer shall include in the curing compound the necessary additives for control of sagging, pigment settling, leveling, de-emulsification, or other requisite qualities of a satisfactory working material. Pigmented curing compounds shall be manufactured so that the pigment does not settle badly, does not cake or thicken in the container, and does not become granular or curdled. Settlement of pigment shall be a thoroughly wetted, soft, mushy mass permitting the complete and easy vertical penetration of a paddle. Settled pigment shall be easily redispersed, with minimum resistance to the sideways manual motion of the paddle across the bottom of the container, to form a smooth uniform product of the proper consistency.

Curing compounds shall remain sprayable at temperatures above 4°C and shall not be diluted or altered after manufacture.

The curing compound shall be packaged in clean 1040-L totes, 210-L barrels, or 19-L pails, or shall be supplied from a suitable storage tank located at the job site. The containers shall comply with "Title 49, Code of Federal Regulations, Hazardous Materials Regulations." The 1040-L totes and the 210-L barrels shall have removable lids and airtight fasteners. The 19-L pails shall be round and have standard full open head and bail. Lids with bungholes will not be permitted. Settling or separation of solids in containers, except tanks, must be completely redispersed with low speed mixing prior to use, in conformance with these specifications and the manufacturer's recommendations. Mixing shall be accomplished either manually by use of a paddle or by use of a mixing blade driven by a drill motor, at low speed. Mixing blades shall be the type used for mixing paint. On-site storage tanks shall be kept clean and free of contaminants. Each tank shall have a permanent system designed to completely redisperse settled material without introducing air or other foreign substances.

Steel containers and lids shall be lined with a coating that will prevent destructive action by the compound or chemical agents in the air space above the compound. The coating shall not come off the container or lid as skins. Containers shall be filled in a manner that will prevent skinning. Plastic containers shall not react with the compound.

Each container shall be labeled with the manufacturer's name, kind of curing compound, batch number, volume, date of manufacture, and volatile organic compound (VOC) content. The label shall also warn that the curing compound containing pigment shall be well stirred before use. Precautions concerning the handling and the application of curing compound shall be shown on the label of the curing compound containers in conformance with the Construction Safety Orders and General Industry Safety Orders of the State.

Containers of curing compound shall be labeled to indicate that the contents fully comply with the rules and regulations concerning air pollution control in the State.

When the curing compound is shipped in tanks or tank trucks, a shipping invoice shall accompany each load. The invoice shall contain the same information as that required herein for container labels.

Curing compound will be sampled by the Engineer at the source of supply, at the job site, or at both locations.

Curing compound shall be formulated so as to maintain the specified properties for a minimum of one year. The Engineer may require additional testing before use to determine compliance with these specifications if the compound has not been used within one year or whenever the Engineer has reason to believe the compound is no longer satisfactory.

Tests will be conducted in conformance with the latest ASTM test methods and methods in use by the Transportation Laboratory.

90-7.01C WATERPROOF MEMBRANE METHOD

The exposed finished surfaces of concrete shall be sprayed with water, using a nozzle that so atomizes the flow that a mist and not a spray is formed, until the concrete has set, after which the curing membrane, shall be placed. The curing membrane shall remain in place for a period of not less than 72 hours.

Sheeting material for curing concrete shall conform to the requirements in AASHTO Designation: M 171 for white reflective materials.

The sheeting material shall be fabricated into sheets of such width as to provide a complete cover for the entire concrete surface. Joints in the sheets shall be securely cemented together in such a manner as to provide a waterproof joint. The joint seams shall have a minimum lap of 100 mm.

The sheets shall be securely weighted down by placing a bank of earth on the edges of the sheets or by other means satisfactory to the Engineer.

Should any portion of the sheets be broken or damaged before the expiration of 72 hours after being placed, the broken or damaged portions shall be immediately repaired with new sheets properly cemented into place.

Sections of membrane that have lost their waterproof qualities or have been damaged to such an extent as to render them unfit for curing the concrete shall not be used.

90-7.01D FORMS-IN-PLACE METHOD

Formed surfaces of concrete may be cured by retaining the forms in place. The forms shall remain in place for a minimum period of 7 days after the concrete has been placed, except that for members over 0.5-m in least dimension the forms shall remain in place for a minimum period of 5 days.

Joints in the forms and the joints between the end of forms and concrete shall be kept moisture tight during the curing period. Cracks in the forms and cracks between the forms and the concrete shall be resealed by methods subject to the approval of the Engineer.

90-7.02 CURING PAVEMENT

The entire exposed area of the pavement, including edges, shall be cured by the waterproof membrane method, or curing compound method using curing compound (1) or (2) as the Contractor may elect. Should the side forms be removed before the expiration of 72 hours following the start of curing, the exposed pavement edges shall also be cured. If the pavement is cured by means of the curing compound method, the sawcut and all portions of the curing compound that have been disturbed by sawing operations shall be restored by spraying with additional curing compound.

Curing shall commence as soon as the finishing process provided in Section 40-1.10, "Final Finishing," has been completed. The method selected shall conform to the provisions in Section 90-7.01, "Methods of Curing."

When the curing compound method is used, the compound shall be applied to the entire pavement surface by mechanical sprayers. Spraying equipment shall be of the fully atomizing type equipped with a tank agitator that provides for continual agitation of the curing compound during the time of application. The spray shall be adequately protected against wind, and the nozzles shall be so oriented or moved mechanically transversely as to result in the minimum specified rate of coverage being applied uniformly on exposed faces. Hand spraying of small and irregular areas, and areas inaccessible to mechanical spraying equipment, in the opinion of the Engineer, will be permitted. When the ambient air temperature is above 15°C, the Contractor shall fog the surface of the concrete with a fine spray of water as specified in Section 90-7.01A, "Water Method." The surface of the pavement shall be kept moist between the hours of 10:00 a.m. and 4:30 p.m. on the day the concrete is placed. However, the fogging done after the curing compound has been applied shall not begin until the compound has set sufficiently to prevent displacement. Fogging shall be discontinued if ordered in writing by the Engineer.

90-7.03 CURING STRUCTURES

Newly placed concrete for cast-in-place structures, other than highway bridge decks, shall be cured by the water method, the forms-in-place method, or, as permitted herein, by the curing compound method, in conformance with the provisions in Section 90-7.01, "Methods of Curing."

The curing compound method using a pigmented curing compound may be used on concrete surfaces of construction joints, surfaces that are to be buried underground, and surfaces where only ordinary surface finish is to be applied and on which a uniform color is not required and that will not be visible from a public traveled way. If the Contractor elects to use the curing compound method on the bottom slab of box girder spans, the curing compound shall be curing compound (1).

The top surface of highway bridge decks shall be cured by both the curing compound method and the water method. The curing compound shall be curing compound (1).

Concrete surfaces of minor structures, as defined in Section 51-1.02, "Minor Structures," shall be cured by the water method, the forms-in-place method or the curing compound method.

When deemed necessary by the Engineer during periods of hot weather, water shall be applied to concrete surfaces being cured by the curing compound method or by the forms-in-place method, until the Engineer determines that a cooling effect is no longer required. Application of water for this purpose will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

90-7.04 CURING PRECAST CONCRETE MEMBERS

Precast concrete members shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing." Curing shall be provided for the minimum time specified for each method or until the concrete reaches its design strength, whichever is less. Steam curing may also be used for precast members and shall conform to the following provisions:

A. After placement of the concrete, members shall be held for a minimum 4-hour presteaming period. If the ambient air temperature is below 10°C, steam shall be applied during the presteaming period to hold the air surrounding the member at a temperature between 10°C and 32°C.
B. To prevent moisture loss on exposed surfaces during the presteaming period, members shall be covered as soon as possible after casting or the exposed surfaces shall be kept wet by fog spray or wet blankets.
C. Enclosures for steam curing shall allow free circulation of steam about the member and shall be constructed to contain the live steam with a minimum moisture loss. The use of tarpaulins or similar flexible covers will be permitted, provided they are kept in good repair and secured in such a manner as to prevent the loss of steam and moisture.
D. Steam at the jets shall be at low pressure and in a saturated condition. Steam jets shall not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure shall not exceed 22°C per hour. The curing temperature throughout the enclosure shall not exceed 65°C and shall be maintained at a constant level for a sufficient time necessary to develop the required transfer strength. Control cylinders shall be covered to prevent moisture loss and shall be placed in a location where temperature is representative of the average temperature of the enclosure.
E. Temperature recording devices that will provide an accurate, continuous, permanent record of the curing temperature shall be provided. A minimum of one temperature recording device per 60 m of continuous bed length will be required for checking temperature.
F. Members in pretension beds shall be detensioned immediately after the termination of steam curing while the concrete and forms are still warm, or the temperature under the enclosure shall be maintained above 15°C until the stress is transferred to the concrete.
G. Curing of precast concrete will be considered completed after termination of the steam curing cycle.

90-7.05 CURING PRECAST PRESTRESSED CONCRETE PILES

Newly placed concrete for precast prestressed concrete piles shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," except that piles in a corrosive environment shall be cured as follows:

A. Piles shall be either steam cured or water cured. If water curing is used, the piles shall be kept continuously wet by the application of water in conformance with the provisions in Section 90-7.01A, "Water Method."
B. If steam curing is used, the steam curing provisions in Section 90-7.04, "Curing Precast Concrete Members," shall apply except that the piles shall be kept continuously wet for their entire length for a period of not less than 3 days, including the holding and steam curing periods.

90-7.06 CURING SLOPE PROTECTION

Concrete slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Concreted-rock slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing," with a blanket of earth kept wet for 72 hours, or by sprinkling with a fine spray of water every 2 hours during the daytime for a period of 3 days.

90-7.07 CURING MISCELLANEOUS CONCRETE WORK

Exposed surfaces of curbs shall be cured by pigmented curing compounds as specified in Section 90-7.01B, "Curing Compound Method."

Concrete sidewalks, gutter depressions, island paving, curb ramps, driveways, and other miscellaneous concrete areas shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Shotcrete shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

Mortar and grout shall be cured by keeping the surface damp for 3 days.

After placing, the exposed surfaces of sign structure foundations, including pedestal portions, if constructed, shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

90-8 PROTECTING CONCRETE

90-8.01 GENERAL

In addition to the provisions in Section 7-1.16, "Contractor's Responsibility for the Work and Materials," the Contractor shall protect concrete as provided in this Section 90-8. If required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.

The Contractor shall protect concrete from damage from any cause, which shall include, but not be limited to: rain, heat, cold, wind, Contractor's actions, and actions of others.

Concrete shall not be placed on frozen or ice-coated ground or subgrade nor on ice-coated forms, reinforcing steel, structural steel, conduits, precast members, or construction joints.

Under rainy conditions, placing of concrete shall be stopped before the quantity of surface water is sufficient to damage surface mortar or cause a flow or wash of the concrete surface, unless the Contractor provides adequate protection against damage.

Concrete that has been frozen or damaged by other causes, as determined by the Engineer, shall be removed and replaced by the Contractor at the Contractor's expense.

90-8.02 PROTECTING CONCRETE STRUCTURES

Structure concrete and shotcrete used as structure concrete shall be maintained at a temperature of not less than 7°C for 72 hours after placing and at not less than 4°C for an additional 4 days.

90-8.03 PROTECTING CONCRETE PAVEMENT

Pavement concrete shall be maintained at a temperature of not less than 4°C for 72 hours.

Except as provided in Section 7-1.08, "Public Convenience," the Contractor shall protect concrete pavement against construction and other activities that abrade, scar, discolor, reduce texture depth, lower coefficient of friction, or otherwise damage the surface. Stockpiling, drifting, or excessive spillage of soil, gravel, petroleum products, and concrete or asphalt mixes on the surface of concrete pavement is prohibited unless otherwise specified in these specifications, the special provisions or permitted by the Engineer.

If ordered by the Engineer or shown on the plans or specified in the special provisions, pavement crossings shall be constructed for the convenience of public traffic. The material and work necessary for the construction of the crossings, and their subsequent removal and disposal, will be paid for at the contract unit prices for the items of work involved and if there are no contract items for the work involved, payment for pavement crossings will be made by extra work as provided in Section 4-1.03D, "Extra Work.". Where public traffic will be required to cross over the new pavement, Type III portland cement may be used in concrete, if permitted in writing by the Engineer. The pavement may be opened to traffic as soon as the concrete has developed a modulus of rupture of 3.8 MPa. The modulus of rupture will be determined by California Test 523.

No traffic or Contractor's equipment, except as hereinafter provided, will be permitted on the pavement before a period of 10 days has elapsed after the concrete has been placed, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa. Concrete that fails to attain a modulus of rupture of 3.8 MPa within 10 days shall not be opened to traffic until directed by the Engineer.

Equipment for sawing weakened plane joints will be permitted on the pavement as specified in Section 40-1.08B, "Weakened Plane Joints."

When requested in writing by the Contractor, the tracks on one side of paving equipment will be permitted on the pavement after a modulus of rupture of 2.4 MPa has been attained, provided that:

A. Unit pressure exerted on the pavement by the paver shall not exceed 135 kPa;
B. Tracks with cleats, grousers, or similar protuberances shall be modified or shall travel on planks or equivalent protective material, so that the pavement is not damaged; and
C. No part of the track shall be closer than 0.3-m from the edge of pavement.

In case of visible cracking of, or other damage to the pavement, operation of the paving equipment on the pavement shall be immediately discontinued.

Damage to the pavement resulting from early use of pavement by the Contractor's equipment as provided above shall be repaired by the Contractor.

The State will furnish the molds and machines for testing the concrete for modulus of rupture, and the Contractor, at the Contractor's expense, shall furnish the material and whatever labor the Engineer may require.

90-9 COMPRESSIVE STRENGTH

90-9.01 GENERAL

Concrete compressive strength requirements consist of a minimum strength that shall be attained before various loads or stresses are applied to the concrete and, for concrete designated by strength, a minimum strength at the age of 28 days or at the age otherwise allowed in Section 90-1.01, "Description." The various strengths required are specified in these specifications or the special provisions or are shown on the plans.

The compressive strength of concrete will be determined from test cylinders that have been fabricated from concrete sampled in conformance with the requirements of California Test 539. Test cylinders will be molded and initially field cured in conformance with California Test 540. Test cylinders will be cured and tested after receipt at the testing laboratory in conformance with the requirements of California Test 521. A strength test shall consist of the average strength of 2 cylinders fabricated from material taken from a single load of concrete, except that, if any cylinder should show evidence of improper sampling, molding, or testing, that cylinder shall be discarded and the strength test shall consist of the strength of the remaining cylinder.

When concrete compressive strength is specified as a prerequisite to applying loads or stresses to a concrete structure or member, test cylinders for other than steam cured concrete will be cured in conformance with Method 1 of California Test 540. The compressive strength of concrete determined for these purposes will be evaluated on the basis of individual tests.

When concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete strength to be used as a basis for acceptance of other than steam cured concrete will be determined from cylinders cured in conformance with Method 1 of California Test 540. If the result of a single compressive strength test at the maximum age specified or allowed is below the specified strength but is 95 percent or more of the specified strength, the Contractor shall make corrective changes, subject to approval of the Engineer, in the mix proportions or in the concrete fabrication procedures, before placing additional concrete, and shall pay to the State $14 for each in-place cubic meter of concrete represented by the deficient test. If the result of a single compressive strength test at the maximum age specified or allowed is below 95 percent of the specified strength, but is 85 percent or more of the specified strength, the Contractor shall make the corrective changes specified above, and shall pay to the State $20 for each in place cubic meter of concrete represented by the deficient test. In addition, such corrective changes shall be made when the compressive strength of concrete tested at 7 days indicates, in the judgment of the Engineer, that the concrete will not attain the required compressive strength at the maximum age specified or allowed. Concrete represented by a single test that indicates a compressive strength of less than 85 percent of the specified 28-day compressive strength will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials."

If the test result indicates that the compressive strength at the maximum curing age specified or allowed is below the specified strength, but is 85 percent or more of the specified strength, payments to the State as required above shall be made, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work meets or exceeds the specified 28-day compressive strength. If the test result indicates a compressive strength at the maximum curing age specified or allowed below 85 percent, the concrete represented by that test will be rejected, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength and quality of the concrete placed in the work are acceptable. If the evidence consists of tests made on cores taken from the work, the cores shall be obtained and tested in conformance with the requirements in ASTM Designation: C 42.

No single compressive strength test shall represent more than 250 m^3.

If a precast concrete member is steam cured, the compressive strength of the concrete will be determined from test cylinders that have been handled and stored in conformance with Method 3 of California Test 540. The compressive strength of steam cured concrete will be evaluated on the basis of individual tests representing specific portions of production. If the concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete shall be considered to be acceptable whenever its compressive strength reaches the specified 28-day compressive strength provided that strength is reached in not more than the maximum number of days specified or allowed after the member is cast.

When concrete is specified by compressive strength, prequalification of materials, mix proportions, mixing equipment, and procedures proposed for use will be required prior to placement of the concrete. Prequalification shall be accomplished by the submission of acceptable certified test data or trial batch reports by the Contractor. Prequalification data shall be based on the use of materials, mix proportions, mixing equipment, procedures, and size of batch proposed for use in the work.

Certified test data, in order to be acceptable, shall indicate that not less than 90 percent of at least 20 consecutive tests exceed the specified strength at the maximum number of cure days specified or allowed, and none of those tests are less than 95 percent of specified strength. Strength tests included in the data shall be the most recent tests made on concrete of the proposed mix design and all shall have been made within one year of the proposed use of the concrete.

Trial batch test reports, in order to be acceptable, shall indicate that the average compressive strength of 5 consecutive concrete cylinders, taken from a single batch, at not more than 28 days (or the maximum age allowed) after molding shall be at least 4 MPa greater than the specified 28-day compressive strength, and no individual cylinder shall have a strength less than the specified strength at the maximum age specified or allowed. Data contained in the report shall be from trial batches that were produced within one year of the proposed use of specified strength concrete in the project. Whenever air-entrainment is required, the air content of trial batches shall be equal to or greater than the air content specified for the concrete without reduction due to tolerances.

Tests shall be performed in conformance with either the appropriate California Test methods or the comparable ASTM test methods. Equipment employed in testing shall be in good condition and shall be properly calibrated. If the tests are performed during the life of the contract, the Engineer shall be notified sufficiently in advance of performing the tests in order to witness the test procedures.

The certified test data and trial batch test reports shall include the following information:

A. Date of mixing.
B. Mixing equipment and procedures used.
C. The size of batch in cubic meters and the mass, type, and source of all ingredients used.
D. Penetration or slump (if the concrete will be placed under water or placed in cast-in-place concrete piles) of the concrete.
E. The air content of the concrete if an air-entraining admixture is used.
F. The age at time of testing and strength of all concrete cylinders tested.

Certified test data and trial batch test reports shall be signed by an official of the firm that performed the tests.

When approved by the Engineer, concrete from trial batches may be used in the work at locations where concrete of a lower quality is required and the concrete will be paid for as the type or class of concrete required at that location.

After materials, mix proportions, mixing equipment, and procedures for concrete have been prequalified for use, additional prequalification by testing of trial batches will be required prior to making changes that, in the judgment of the Engineer, could result in a strength of concrete below that specified.

The Contractor's attention is directed to the time required to test trial batches and the Contractor shall be responsible for production of trial batches at a sufficiently early date so that the progress of the work is not delayed.

When precast concrete members are manufactured at the plant of an established manufacturer of precast concrete members, the mix proportions of the concrete shall be determined by the Contractor, and a trial batch and prequalification of the materials, mix proportions, mixing equipment, and procedures will not be required.

90-10 MINOR CONCRETE

90-10.01 GENERAL

Concrete for minor structures, slope paving, curbs, sidewalks and other concrete work, when designated as minor concrete on the plans, in the specifications, or in the contract item, shall conform to the provisions specified herein.

The Engineer, at the Engineer's discretion, will inspect and test the facilities, materials and methods for producing the concrete to ensure that minor concrete of the quality suitable for use in the work is obtained.

90-10.02 MATERIALS

Minor concrete shall conform to the following requirements:

90-10.02A CEMENTITIOUS MATERIAL

Cementitious material shall conform to the provisions in Section 90-1.01, "Description."

90-10.02B AGGREGATE

Aggregate shall be clean and free from deleterious coatings, clay balls, roots, and other extraneous materials.

Use of crushed concrete or reclaimed aggregate is acceptable only if the aggregate satisfies all aggregate requirements.

The Contractor shall submit to the Engineer for approval, a grading of the combined aggregate proposed for use in the minor concrete. After acceptance of the grading, aggregate furnished for minor concrete shall conform to that grading, unless a change is authorized in writing by the Engineer.

The Engineer may require the Contractor to furnish periodic test reports of the aggregate grading furnished. The maximum size of aggregate used shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5 mm or smaller than 19 mm.

The Engineer may waive, in writing, the gradation requirements in this Section 90-10.02B, if, in the Engineer's opinion, the furnishing of the gradation is not necessary for the type or amount of concrete work to be constructed.

90-10.02C WATER

Water used for washing, mixing, and curing shall be free from oil, salts, and other impurities that would discolor or etch the surface or have an adverse affect on the quality of the concrete.

90-10.02D ADMIXTURES

The use of admixtures shall conform to the provisions in Section 90-4, "Admixtures."

90-10.03 PRODUCTION

Cementitious material, water, aggregate, and admixtures shall be stored, proportioned, mixed, transported, and discharged in conformance with recognized standards of good practice that will result in concrete that is thoroughly and uniformly mixed, that is suitable for the use intended, and that conforms to requirements specified herein. Recognized standards of good practice are outlined in various industry publications such as are issued by American Concrete Institute, AASHTO, or the Department.

The cementitious material content of minor concrete shall conform to the provisions in Section 90-1.01, "Description."

The amount of water used shall result in a consistency of concrete conforming to the provisions in Section 90-6.06, "Amount of Water and Penetration." Additional mixing water shall not be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer.

Discharge of ready-mixed concrete from the transporting vehicle shall be made while the concrete is still plastic and before stiffening occurs. An elapsed time of 1.5 hours (one hour in nonagitating hauling equipment), or more than 250 revolutions of the drum or blades, after the introduction of the cementitious material to the aggregates, or a temperature of concrete of more than 32°C will be considered conditions contributing to the quick stiffening of concrete. The Contractor shall take whatever action is necessary to eliminate quick stiffening, except that the addition of water will not be permitted.

The required mixing time in stationary mixers shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at mixing speed for transit-mixed concrete shall be not less than that recommended by the mixer manufacturer, and shall be increased, if necessary, to produce thoroughly and uniformly mixed concrete.

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

Each load of ready-mixed concrete shall be accompanied by a weighmaster certificate that shall be delivered to the Engineer at the discharge location of the concrete, unless otherwise directed by the Engineer. The weighmaster certificate shall be clearly marked with the date and time of day when the load left the batching plant and, if hauled in truck mixers or agitators, the time the mixing cycle started.

A Certificate of Compliance conforming to the provisions in Section 6–1.07, "Certificates of Compliance," shall be furnished to the Engineer, prior to placing minor concrete from a source not previously used on the contract, stating that minor concrete to be furnished meets contract requirements, including minimum cementitious material content specified.

90-10.04 CURING MINOR CONCRETE

Curing minor concrete shall conform to the provisions in Section 90-7, "Curing Concrete."

90-10.05 PROTECTING MINOR CONCRETE

Protecting minor concrete shall conform to the provisions in Section 90-8, "Protecting Concrete," except the concrete shall be maintained at a temperature of not less than 4°C for 72 hours after placing.

90-10.06 MEASUREMENT AND PAYMENT

Minor concrete will be measured and paid for in conformance with the provisions specified in the various sections of these specifications covering concrete construction when minor concrete is specified in the specifications, shown on the plans, or indicated by contract item in the Engineer's Estimate.

90-11 MEASUREMENT AND PAYMENT

90-11.01 MEASUREMENT

Portland cement concrete will be measured in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

For concrete measured at the mixer, the volume in cubic meters shall be computed as the total mass of the batch in kilograms divided by the density of the concrete in kilograms per cubic meter. The total mass of the batch shall be calculated as the sum of all materials, including water, entering the batch. The density of the concrete will be determined in conformance with the requirements in California Test 518.

90-11.02 PAYMENT

Portland cement concrete will be paid for in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

Full compensation for furnishing and incorporating admixtures required by these specifications or the special provisions will be considered as included in the contract prices paid for the concrete involved and no additional compensation will be allowed therefor.

Should the Engineer order the Contractor to incorporate any admixtures in the concrete when their use is not required by these specifications or the special provisions, furnishing the admixtures and adding them to the concrete will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

Should the Contractor use admixtures in conformance with the provisions in Section 90-4.05, "Optional Use of Chemical Admixtures," or Section 90-4.07, "Optional Use of Air-entraining Admixtures," or should the Contractor request and obtain permission to use other admixtures for the Contractor's benefit, the Contractor shall furnish those admixtures and incorporate them into the concrete at the Contractor's expense and no additional compensation will be allowed therefor.

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SECTION 91 PAINT
(Issued 11-18-05)

Replace Section 91-3 with:

91-3 PAINTS FOR TIMBER

91-3.01 WOOD PRIMER, LATEX-BASE

Classification:

This specification covers a ready-mixed priming paint for use on unpainted wood or exterior woodwork. It shall conform with the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for exterior wood primers, and be listed on the Exterior Latex Wood Primer MPI List Number 6.

91-3.02 PAINT; LATEX-BASE FOR EXTERIOR WOOD, WHITE AND TINTS

Classification:

This specification covers a ready-mixed paint for use on wood surfaces subject to outside exposures. This paint shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for Paint, Latex, Exterior, and shall be listed on the following MPI Approved Products List:

A. Exterior Latex, Flat MPI Gloss Level 1, MPI List Number 10.
B. Exterior Latex, Semi-Gloss, MPI Gloss Level 5, MPI List Number 11.
C. Exterior Latex, Gloss, MPI Gloss Level 6, MPI List Number 119.

Unpainted wood shall first be primed with wood primer conforming to the provisions in Section 91-3.01, "Wood Primer, Latex-Base."

Replace Section 91-4 with:

91-4 MISCELLANEOUS PAINTS

91-4.01 THROUGH 91-4.04 (BLANK)

91-4.05 PAINT; ACRYLIC EMULSION, EXTERIOR WHITE AND LIGHT AND MEDIUM TINTS

Classification:

This specification covers an acrylic emulsion paint designed for use on exterior masonry. This paint shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for Paint, Latex, Exterior, and shall be listed on the following MPI Approved Products Lists:

A. Exterior Latex, Flat MPI Gloss Level 1, MPI List Number 10.
B. Exterior Latex, Semi-Gloss, MPI Gloss Level 5, MPI List Number 11.
C. Exterior Latex, Gloss, MPI Gloss Level 6, MPI List Number 119.

This paint may be tinted by using "universal" or "all purpose" concentrates.

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SECTION 92 ASPHALTS
(Issued 03-21-08)

Replace Section 92 with:

SECTION 92 ASPHALTS

92-1.01 DESCRIPTION

Asphalt is refined petroleum or a mixture of refined liquid asphalt and refined solid asphalt that are prepared from crude petroleum. Asphalt is:

1. Free from residues caused by the artificial distillation of coal, coal tar, or paraffin
2. Free from water
3. Homogeneous

92-1.02 MATERIALS

GENERAL

Furnish asphalt under the Department's "Certification Program for Suppliers of Asphalt." The Department maintains the program requirements, procedures, and a list of approved suppliers at:

http://www.dot.ca.gov/hq/esc/Translab/fpm/fpmcoc.htm

Transport, store, use, and dispose of asphalt safely.
Prevent the formation of carbonized particles caused by overheating asphalt during manufacturing or construction.

GRADES

Performance graded (PG) asphalt binder is:

Performance Graded Asphalt Binder

Property	AASHTO Test Method	Specification Grade				
		PG 58-22 [a]	PG 64-10	PG 64-16	PG 64-28	PG 70-10
Original Binder						
Flash Point, Minimum °C	T 48	230	230	230	230	230
Solubility, Minimum % [b]	T 44	99	99	99	99	99
Viscosity at 135°C, [c] Maximum, Pa·s	T 316	3.0	3.0	3.0	3.0	3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C	T 315	58	64	64	64	70
Minimum G*/sin(delta), kPa		1.00	1.00	1.00	1.00	1.00
RTFO Test, [e] Mass Loss, Maximum, %	T 240	1.00	1.00	1.00	1.00	1.00
RTFO Test Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C	T 315	58	64	64	64	70
Minimum G*/sin(delta), kPa		2.20	2.20	2.20	2.20	2.20
Ductility at 25°C Minimum, cm	T 51	75	75	75	75	75
PAV [f] Aging, Temperature, °C	R 28	100	100	100	100	110
RTFO Test and PAV Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C	T 315	22 [d]	31 [d]	28 [d]	22 [d]	34 [d]
Maximum G*sin(delta), kPa		5000	5000	5000	5000	5000
Creep Stiffness, Test Temperature, °C	T 313	-12	0	-6	-18	0
Maximum S-value, Mpa		300	300	300	300	300
Minimum M-value		0.300	0.300	0.300	0.300	0.300

Notes:

a. Use as asphalt rubber base stock for high mountain and high desert area.

b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."

c. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.

d. Test the sample at 3°C higher if it fails at the specified test temperature. G*sin(delta) remains 5000 kPa maximum.

e. "RTFO Test" means the asphaltic residue obtained using the Rolling Thin Film Oven Test, AASHTO Test Method T 240 or ASTM Designation: D 2872. The residue from mass change determination may be used for other tests.

f. "PAV" means Pressurized Aging Vessel.

Performance graded polymer modified asphalt binder (PG Polymer Modified) is:

Performance Graded Polymer Modified Asphalt Binder [a]

Property	AASHTO Test Method	Specification Grade		
		PG 58-34 PM	PG 64-28 PM	PG 76-22 PM
Original Binder				
Flash Point, Minimum °C	T 48	230	230	230
Solubility, Minimum % [b]	T 44[c]	98.5	98.5	98.5
Viscosity at 135°C, [d] Maximum, Pa·s	T 316	3.0	3.0	3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	58 1.00	64 1.00	76 1.00
RTFO Test , Mass Loss, Maximum, %	T 240	1.00	1.00	1.00
RTFO Test Aged Binder				
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	58 2.20	64 2.20	76 2.20
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum (delta), %	T 315	Note e 80	Note e 80	Note e 80
Elastic Recovery[f], Test Temp., °C Minimum recovery, %	T 301	25 75	25 75	25 65
PAV[g] Aging, Temperature, °C	R 28	100	100	110
RTFO Test and PAV Aged Binder				
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum G*sin(delta), kPa	T 315	16 5000	22 5000	31 5000
Creep Stiffness, Test Temperature, °C Maximum S-value, MPa Minimum M-value	T 313	-24 300 0.300	-18 300 0.300	-12 300 0.300

Notes:

a. Do not modify PG Polymer Modified using acid modification.

b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."

c. The Department allows ASTM D 5546 instead of AASHTO T 44

d. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.

e. Test temperature is the temperature at which G*/sin(delta) is 2.2 kPa. A graph of log G*/sin(delta) plotted against temperature may be used to determine the test temperature when G*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G*/sin(delta) is 2.2 kPa.

f. Tests without a force ductility clamp may be performed.

g. "PAV" means Pressurized Aging Vessel.

SAMPLING

Provide a sampling device in the asphalt feed line connecting the plant storage tanks to the asphalt weighing system or spray bar. Make the sampling device accessible between 600 and 750 mm above the platform. Provide a receptacle for flushing the sampling device.

Include with the sampling device a valve:

1. Between 10 and 20 mm in diameter

2. Manufactured in a manner that a one-liter sample may be taken slowly at any time during plant operations
3. Maintained in good condition

Replace failed valves.

In the Engineer's presence, take 2 one-liter samples per operating day. Provide round, friction top, one-liter containers for storing samples.

92-1.03 EXECUTION

If asphalt is applied, you must comply with the heating and application specifications for liquid asphalt in Section 93, "Liquid Asphalts."

92-1.04 MEASUREMENT

If the contract work item for asphalt is paid by mass, the Department measures asphalt tonnes by complying with the specifications for mass determination of liquid asphalt in Section 93, "Liquid Asphalts."

The Engineer determines the asphalt mass from volumetric measurements if you:

1. Use a partial asphalt load.
2. Use asphalt at a location other than a mixing plant and no scales within 35 km are available and suitable.
3. Deliver asphalt in either of the following:

   3.1. A calibrated truck with each tank accompanied by its measuring stick and calibration card.
   3.2. A truck equipped with a calibrated thermometer that determines the asphalt temperature at the delivery time and with a vehicle tank meter complying with the specifications for weighing, measuring, and metering devices in Section 9-1.01, "Measurement of Quantities."

If you furnish hot mix asphalt from a mixing plant producing material for only one project, the Engineer determines the asphalt quantity by measuring the volume in the tank at the project's start and end provided the tank is calibrated and equipped with its measuring stick and calibration card.

The Engineer determines pay quantities from volumetric measurements as follows:

1. Before converting the volume to mass, the Engineer reduces the measured volume to that which the asphalt would occupy at 15°C.
2. The Engineer uses 981 L/tonne and 1020 g/L for the average mass and volume for PG and PG Polymer Modified asphalt grades at 15°C.
3. The Engineer uses the Conversion Table in Section 93, "Liquid Asphalts."

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SECTION 93 LIQUID ASPHALTS
(Issued 11-03-06)

In Section 93-1.04 replace the 9th paragraph with:

The following Legend and Conversion Table is to be used for converting volumes of liquid asphalt products, Grades 70 to 3000, inclusive, and paving asphalt Grades PG 58-22, PG 64-10, PG 64-16, PG 64-28, and PG 70-10, and Grades PG 58-34 PM, PG 64-28 PM, and PG 76-22 PM.

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SECTION 95 EPOXY
(Issued 03-16-07)

Replace Section 95 with:

SECTION 95 EPOXY

95-1 GENERAL

95-1.01 DESCRIPTION

These specifications are intended to specify epoxy that will meet service requirements for highway construction.

Epoxy shall be furnished as 2 components, which shall be mixed together at the site of the work.

95-1.02 SAMPLING AND TESTING

Epoxy shall not be used prior to sampling and testing unless its use is permitted prior to sampling and testing in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

Tests will be conducted in conformance with the latest test methods of the American Society for Testing and Materials, and California Test Methods in use by the Transportation Laboratory.

Epoxy components shall be formulated to maintain the specified properties for a minimum of one year. The Engineer may require additional testing of any epoxy component that has not been used within one year of manufacture.

95-1.03 PACKAGING, LABELING AND STORING

Each component shall be packaged in containers of size proportional to the amount of that component in the mix so that one container of each component is used in mixing one batch of epoxy. The containers shall be of such design that all of the contents may be readily removed and shall be well sealed to prevent leakage. The containers and labeling shall meet U.S. Department of Transportation Hazardous Material Shipping Regulations, and the containers shall be of a material, or lined with a material, of such character as to resist any action by the components. Each container shall be clearly labeled with the ASTM Designation: C881 Class and Type; designation (Component A or B); manufacturer's name; date of manufacture; batch number (a batch shall consist of a single charge of all components in a mixing chamber); all directions for use (as specified elsewhere) and such warning or precautions concerning the contents as may be required by State or Federal Laws and Regulations. The manufacturer of the finished epoxy components shall furnish a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," and a copy of the label for each material. The certificate shall include a list, by Title and Section, of the State and Federal packaging and labeling laws and regulations that the manufacturer has complied with.

Attention is directed to the characteristic of some epoxy components to crystallize or thicken excessively prior to use when stored at temperatures below 2ºC. Any material which shows evidence of crystallization or a permanent increase in viscosity or settling of pigments which cannot be readily redispersed with a paddle shall not be used.

95-1.04 DIRECTIONS FOR USE

At the time of mixing, components A and B shall be at a temperature between 15°C and 30°C, unless otherwise specified. Any heating of the adhesive components shall be done by application of indirect heat. Immediately prior to mixing, each component shall be thoroughly mixed with a paddle. Separate paddles shall be used to stir each component. Immediately prior to use, the 2 components shall be thoroughly mixed together in the specified ratios. No solvent shall be added to any epoxy.

After mixing, epoxies shall be placed in the work and any overlaying or inserted material which is to be bonded to the work by the epoxy shall also be placed before thickening of the epoxy has begun. Surfaces upon which epoxy is to be placed shall be free of rust, paint, grease, asphalt, and loose and deleterious material. When epoxy is used as a binder to make epoxy concrete or mortar, the 2 components of epoxy shall be thoroughly mixed together before the aggregate is added and, unless otherwise specified, the mix proportions shall consist of one part of binder to approximately 4 parts of aggregate, by volume. Aggregate for use in epoxy concrete and mortar shall be clean and shall have a moisture content of not more than 0.50-percent when tested by California Test 226. Surfaces against which epoxy concrete and mortar are to be placed shall be primed with a coat of the epoxy used just prior to placing the concrete or mortar.

95-2 TYPES OF EPOXIES

95-2.01 BINDER (ADHESIVE), EPOXY RESIN BASE

Classification:

This specification covers a low viscosity epoxy formulated primarily for use in making high-strength epoxy concrete and epoxy mortar and in pressure grouting of cracks in concrete. For load bearing applications, use ASTM Designation: C 881, Type IV, Grade 1, Class B or C. Class B or C shall be used depending on the substrate and ambient temperatures. Use Grade B for atmospheric and surface temperatures as low as 4°C. Use Class C when temperatures are 15°C or higher. For

non-load bearing applications use ASTM Designation: C881, Type I, Grade 1, Class B or C. Apply no thicker than recommended by the manufacturer. Thick sections of this epoxy are not suitable for use in freeze thaw environments. In a freeze-thaw environment, increase the aggregate loading to improve the properties of the epoxy concrete.

Directions for Use:

Mix in conformance with the manufacturer's written recommendations. No more material shall be mixed than can be used within the pot-life from the time mixing operations are started.

95-2.02 (BLANK)

95-2.03 EPOXY RESIN ADHESIVE FOR BONDING NEW CONCRETE TO OLD CONCRETE

Classification:

This specification covers a low viscosity paste epoxy formulated primarily for use in bonding new portland cement concrete to hardened portland cement concrete. The epoxy shall meet the specification requirements of ASTM Designation: C 881, Type V, Grade 2. This epoxy is available in 2 Classes: Class C for general use at temperature greater than 15°C and Class B for use when cure temperatures are below 15°C and above 4°C, or when a faster cure is required.

Directions for Use:

The mixing ratio and use shall be in conformance with the manufacturer's written recommendations. When measuring as individual Components A and B, stir and tap the measuring containers to remove possible air voids. The ingredients in Components A and B shall be thoroughly dispersed such that each component forms a uniform paste. Do not mix more material than can be spread within the pot life from the time mixing operations are started. The spreading rate shall be sufficient to thoroughly coat the surface. Spread the mixed adhesive by brush or roller over blast-cleaned concrete at a rate recommended by the manufacturer. The new concrete shall be placed against the adhesive coating on the old concrete before the adhesive has set. If the adhesive has set and is not tacky prior to placing the new concrete, a new coating of adhesive shall be applied.

95-2.04 RAPID SET EPOXY ADHESIVE FOR PAVEMENT MARKERS

Classification:

This specification covers a high viscosity paste, rapid set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The adhesive shall meet ASTM Designation: C 881, Type IV, Grade 3, Class B and C except that the gel time may be shorter than 30 minutes. The adhesive shall conform to these requirements and the following.

Characteristics of Combined Components:

All tests shall be performed in conformance with the requirements in California Test 434.

Property	Requirement
Gel time, minutes, maximum, at 25°C	30
Bond Strength to Concrete, Time, minutes (maximum) to reach not less than 1.4 MPa	
at 25ºC ±1ºC	35
at 10ºC ±1ºC	45
Slant Shear Strength	
2 days at 25ºC ±1ºC, MPa	7
14 days at 25ºC ±1ºC, plus water soak, MPa	10.5
Tensile Adhesion and Cohesion	
Ceramic marker bottom, MPa	4.8 min.
Ceramic marker bottom, including post cure, MPa	4.8 min.
Retroreflective pavement marker bottom, MPa	3.4 min.
Color of mixed epoxy	gray
Glass transition temperature, Tg, samples conditioned at 25°C for 24 hours, ASTM Designation: D 4065	30ºC min.

Directions for Use:

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

95-2.05 STANDARD SET EPOXY ADHESIVE FOR PAVEMENT MARKERS

Classification:

This specification covers a high viscosity paste standard set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The epoxy shall meet ASTM Designation: C 881, Type IV, Viscosity Grade 3, Classes B or C, except that the gel time may be shorter than 30 minutes.

Characteristics of Combined Components:

All tests shall be performed in conformance with the requirements in California Test 434.

Property	Requirement
Gel time, minutes, maximum, at 25ºC	30
Bond Strength to Concrete, Time (maximum) to reach not less than 1.4 MPa	
at 25ºC ±1ºC	3.5 hours
at 13ºC ±1ºC	24 hours
Slant Shear Strength	
2 days at 25ºC ±1ºC, MPa	7 min.
14 days at 25ºC ±1ºC, plus water soak, MPa	10.5 min.
Tensile Adhesion and Cohesion	
Ceramic marker bottom, MPa	4.8 min.
Ceramic marker bottom, including post cure, MPa	4.8 min.
Reflective pavement marker bottom, MPa	3.4 min.
Color of Mixed Components	gray
Glass transition temperature, Tg, samples conditioned at 25ºC for 24 hours, ASTM Designation: D 4065	30ºC min.

Directions for Use:

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

95-2.06 (BLANK)

95-2.07 (BLANK)

95-2.08 (BLANK)

95-2.09 EPOXY SEALANT FOR INDUCTIVE LOOPS

Classification:

This specification covers a high viscosity liquid epoxy formulated primarily for use in sealing inductive wire loops and leads imbedded in asphalt concrete and portland cement concrete for traffic signal controls and vehicle counters. This epoxy is to be used for repair work on existing spalls, cracks and other deformations in and around saw cuts housing inductor loops and leads. The rapid cure allows minimum traffic delay. This sealant is suitable for use in freeze-thaw areas. The epoxy shall meet ASTM Designation: C 881, Type I, Grade 2 and the following requirements.

Characteristics of Combined Components:

All tests shall be performed in conformance with the requirements in California Test 434.

Property	Requirement
Gel time, minutes, maximum	30
On 3-mm cast sheet, cured 18 hours at 25ºC, + 5 hours at 70ºC	
Tensile Strength, MPa	2.7 min.
Elongation, percent	90 min.
Shore D Hardness	45 min.

Directions for Use:

Saw cuts shall be cleaned with compressed air to remove all excess moisture and debris. For repairing damaged saw cuts, all loose spalled material shall be cleaned away from the saw cut, chipping back to sound asphalt concrete or portland cement concrete and all loose material cleaned from loop wires.

The mixing ratio shall be in conformance with the manufacturer's recommendations. No more material shall be mixed than can be used within the gel time from the time mixing operations are started.

When automatic mixing equipment is used for mixing the sealant, the provisions in the twelfth paragraph in Section 85-1.06, "Placement," shall apply.

95-2.10 (BLANK)

95-2.11 EPOXY RESIN ADHESIVE FOR INJECTION GROUTING OF PORTLAND CEMENT CONCRETE PAVEMENTS

Directions for Use:

Both components and the mixed material shall contain no solvents. The mixing ratio of the components in terms of volume and mass shall be clearly stated. The material shall be suitable for use in the mixing equipment used by the applicator. Epoxy adhesive samples shall be furnished to the Engineer for testing at least 12 days before the expected time of use.

Characteristics of Adhesive:

Test[a]	California Test	Requirement
Brookfield Viscosity, No. 3 Spindle at 20 rpm, Pa·s at 25ºC	434, Part 4	0.9 max.
Gel time, minutes	434, Part 1	2 to 15
Slant Shear Strength on Dry Concrete, MPa, after 4 days of cure in air at 25ºC ±1ºC	434, Part 5[b]	41.4 min.
Slant Shear Strength on Wet Concrete, MPa, after 4 days of cure in air at 25ºC ±1ºC	434, Part 5[b]	21.1 min.
Tensile Strength, Mpa	434, Part 7, except test after 4 days of cure at 25ºC ±1ºC	31.0 min.
Elongation, %	434, Part 7, except test after 4 days of cure at 25ºC ±1ºC	10 max.

a The mixing ratio used will be that recommended by the manufacturer.

b For slant shear strength on concrete, delete Sections B-1 and B-5 of California Test 434, Part 5. For dry concrete, use Step "2" below only. For wet concrete, use both Steps "1" & "2":

1 Soak blocks in water for 24 hours at 25ºC ±1ºC. Remove and wipe off excess water.

2 Mix epoxy as described in California Test 434, Part 1, and apply a coat approximately 250 µm thick to each diagonal surface. Place four 3-mm square pieces of shim stock 305 µm thick on one block to control final film thickness. Before pressing the coated surfaces together, leave the blocks so that the coated surfaces are horizontal until the epoxy reacts slightly to prevent excessive flow.

END OF AMENDMENTS