

CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

10

A4

CONSTRUCTION ON STATE HIGHWAY IN
CALAVERAS COUNTY NEAR ARNOLD FROM 0.7 MILE WEST TO 0.2 MILE WEST OF BIG
TREES STATE PART ENTRANCE
In District 10 on Route 4

UNDER

Notice to Bidders and Special Provisions dated August 15, 2016
Project plans approved April 11, 2016
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 10-0F2804

10-Cal-4-43.8/44.3

Project ID 1000000033

FEDERAL-AID PROJECT
ACSTP-P004(152)E

Bids open Wednesday, September 21, 2016

Dated August 15, 2016

AADD

Name of Bidder K.W. Emerson, Inc.
Business Street Address P.O. Box 549
(Include even if P.O. Box is used)
Business P.O. Box 413 W. Saint Charles St.
City, State, Zip San Andreas, CA, 95249
Telephone No: AREA CODE 209-754-3839
Fax No: AREA CODE 209-754-3830
Contractor License No. 225085
Public Works Contractor Registration No. 1000002385
Checksum FE58A8FC

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the nonplant establishment work within the number of working days bid for nonplant establishment work.

2. Bidder has and acknowledges the following addenda:1
09-09-16 Revise Project Plans, Bid Book, Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
 - 4.1 Criminal prosecution
 - 4.2 Rejection of the bid
 - 4.3 Rescission of the award
 - 4.4 Termination of the Contract

PRINTED NAME:Dan Emerson AND
TITLE OF PERSON SIGNING:Vice-President

BY (Authorized signature)

DATE SIGNED(Do not type)

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	2,155.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM LS	LUMP SUM	37,403.00
3	090100	TIME-RELATED OVERHEAD (WDAY)	220.0 WDAY	580.00	127,600.00
4	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	3,414.00
5	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	305,272.00
6	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	15,593.00
7	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	385,376.00
8	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	2,734.00
9	130310	RAIN EVENT ACTION PLAN	74.0 EA	500.00	37,000.00
10	130320	STORM WATER SAMPLING AND ANALYSIS DAY	35.0 EA	510.00	17,850.00
11	130330	STORM WATER ANNUAL REPORT	2.0 EA	2,000.00	4,000.00
12	130520	TEMPORARY HYDRAULIC MULCH	8,410.0 SQYD	0.50	4,205.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130620	TEMPORARY DRAINAGE INLET PROTECTION	18.0 EA	106.00	1,908.00
14	130640	TEMPORARY FIBER ROLL	5,710.0 LF	3.20	18,272.00
15	130670	TEMPORARY REINFORCED SILT FENCE	2,780.0 LF	2.20	6,116.00
16	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	115,668.00
17	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	40,509.00
18	131103	WATER QUALITY SAMPLING AND ANALYSIS DAY	10.0 EA	737.00	7,370.00
19	141000	TEMPORARY FENCE (TYPE ESA)	950.0 LF	2.40	2,280.00
20	141120	TREATED WOOD WASTE	4,240.0 LB	0.30	1,272.00
21	031591	REMOVE SNOW POLE MARKER	10.0 EA	47.00	470.00
22	150661	REMOVE GUARDRAIL	330.0 LF	13.60	4,488.00
23	150742	REMOVE ROADSIDE SIGN	8.0 EA	10.00	80.00
24	150745	REMOVE ROADSIDE SIGN (METAL POST)	1.0 EA	61.00	61.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	150771	REMOVE ASPHALT CONCRETE DIKE	420.0 LF	4.00	1,680.00
26	150809 (LF)	REMOVE CULVERT	230.0 LF	33.50	7,705.00
27	150820	REMOVE INLET	4.0 EA	337.50	1,350.00
28	150821	REMOVE HEADWALL	3.0 EA	400.00	1,200.00
29	152299 MARKER	RESET MILEPOST	2.0 EA	45.00	90.00
30	031592 MARKER	RESET SNOW POLE	16.0 EA	57.50	920.00
31	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	184,704.00
32	190101	ROADWAY EXCAVATION	9,050.0 CY	81.90	741,195.00
33	190161	ROCK EXCAVATION	3,880.0 CY	82.80	321,264.00
34(F)	192037	STRUCTURE EXCAVATION (RETAINING WALL)	2,593.0 CY	48.50	125,760.50
35(F)	193013	STRUCTURE BACKFILL (RETAINING WALL)	2,056.0 CY	74.00	152,144.00
36(F)	193031	PERVIOUS BACKFILL MATERIAL (RETAINING WALL)	166.0 CY	162.00	26,892.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	6.0 EA	1,701.00	10,206.00
38	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	39,500.0 SQFT	1.05	41,475.00
39	210300	HYDROMULCH	65,200.0 SQFT	0.12	7,824.00
40	210350	FIBER ROLLS	5,590.0 LF	6.50	36,335.00
41	210600	COMPOST	65,200.0 SQFT	0.27	17,604.00
42	260203	CLASS 2 AGGREGATE BASE (CY)	1,090.0 CY	94.20	102,678.00
43	390011	PREPAVING INERTIAL PROFILER	LUMP SUM LS	LUMP SUM	3,957.00
44	390132	HOT MIX ASPHALT (TYPE A)	2,180.0 TON	130.00	283,400.00
45	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	210.0 LF	32.10	6,741.00
46	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	1,840.0 SQYD	5.10	9,384.00
47	397005	TACK COAT	5.0 TON	425.00	2,125.00
48(F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	861.0 CY	2,228.00	1,918,308.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	35.0 CY	1,756.00	61,460.00
50(F)	511035	ARCHITECTURAL TREATMENT	548.0 SQFT	47.00	25,756.00
51(F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	118,051.0 LB	1.30	153,466.30
52	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	5.0 SQFT	9.00	45.00
53	566011	ROADSIDE SIGN - ONE POST	8.0 EA	283.50	2,268.00
54	566012	ROADSIDE SIGN - TWO POST	1.0 EA	595.00	595.00
55	031593	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	48.0 SQFT	9.00	432.00
56	031594	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	20.0 SQFT	21.00	420.00
57	031595	RETROREFLECTIVE SHEETING (TYPE XI)	68.0 SQFT	5.50	374.00
58	597601	PREPARE AND STAIN CONCRETE	16,900.0 SQFT	6.50	109,850.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
59	652311	18" REINFORCED CONCRETE PIPE (CLASS III, RUBBER GASKET JOINT)	68.0 LF	252.00	17,136.00
60	652316	24" REINFORCED CONCRETE PIPE (CLASS III, RUBBER GASKET JOINT)	280.0 LF	143.00	40,040.00
61	652324	36" REINFORCED CONCRETE PIPE (CLASS III, RUBBER GASKET JOINT)	70.0 LF	259.00	18,130.00
62	031596	CLASS 1 PERMEABLE MATERIAL	8.0 CY	168.00	1,344.00
63	031597	FILTER FABRIC (CLASS A)	93.0 SQYD	5.00	465.00
64	705206	24" CONCRETE FLARED END SECTION	4.0 EA	984.50	3,938.00
65	705210	36" CONCRETE FLARED END SECTION	1.0 EA	1,320.00	1,320.00
66	720121	ROCK SLOPE PROTECTION (1/2 T, METHOD A) (CY)	620.0 CY	95.40	59,148.00
67	721015	ROCK SLOPE PROTECTION (LIGHT, METHOD B) (CY)	45.0 CY	139.00	6,255.00
68	721026	ROCK SLOPE PROTECTION (NO. 1, METHOD B) (CY)	140.0 CY	99.00	13,860.00
69	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	790.0 SQYD	5.40	4,266.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
70	730045 (GUTTER)	MINOR CONCRETE (CY)	83.0 CY	397.00	32,951.00
71	731504 (CURB AND GUTTER)	MINOR CONCRETE	160.0 CY	440.00	70,400.00
72	750001 IRON AND STEEL	MISCELLANEOUS	3,460.0 LB	2.30	7,958.00
73	820108 (CLASS 2)	DELINEATOR	38.0 EA	57.00	2,166.00
74	820118 DELINEATOR	GUARD RAILING	6.0 EA	34.00	204.00
75	820132 (TYPE L)	OBJECT MARKER	3.0 EA	57.00	171.00
76	832006 POST)	MIDWEST GUARDRAIL SYSTEM (STEEL	250.0 LF	75.00	18,750.00
77	832070 CONTROL	VEGETATION (MINOR CONCRETE)	250.0 SQYD	204.00	51,000.00
78	839543 RAILING	TRANSITION (TYPE WB-31)	2.0 EA	3,969.00	7,938.00
79	839584 IN-LINE	ALTERNATIVE TERMINAL SYSTEM	2.0 EA	3,288.00	6,576.00
80	839585 FLARED	ALTERNATIVE TERMINAL SYSTEM	2.0 EA	2,835.00	5,670.00
81(F)	031598 (TYPE 80 MODIFIED)	CONCRETE BARRIER	2,223.0 LF	271.00	602,433.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
82	840656	PAINT TRAFFIC STRIPE (2-COAT)	9,860.0 LF	0.40	3,944.00
83		BLANK	LUMP SUM LS	LUMP SUM	0.00
84	130650	TEMPORARY GRAVEL BAG BERM	770.0 LF	7.40	5,698.00
85	130690	TEMPORARY STRAW BALE BARRIER	580.0 LF	7.80	4,524.00
86	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	122,300.00
Total Bid					\$6,609,288.80

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name: K.W. Emerson, Inc.

Bidder ID: VC1300004128

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: K.W. Emerson, Inc.

List this sub? Yes

- 1) Business Name Andrew L. Lee, inc.
- Location City Lodi State CA
- California Contractor License Number 849170
- Public Works Contractor Registration Number 1000005384
- Portion of Work Subcontracted:

Item %	Description
48 75	Structural Concrete, Retaining Wall

List this sub? Yes

- 2) Business Name George Reed, Inc.
- Location City Modesto State CA
- California Contractor License Number 211337
- Public Works Contractor Registration Number 1000001042
- Portion of Work Subcontracted:

Item %	Description
5 10	Traffic Control
43 100	Inertial Profiler
44 100	Hot Mix Asphalt
47 100	Tack Coat
70 100	Minor Concrete (Gutter)

List this sub? Yes

- 3) Business Name George Reed, Inc.
- Location City Modesto State CA
- California Contractor License Number 211337
- Public Works Contractor Registration Number 1000001042
- Portion of Work Subcontracted:

Item %	Description
71 100	Minor Concrete(Curb & Gutter)
83 10	Mobilization

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Bidder Name: K.W. Emerson, Inc.

Bidder ID: VC1300004128

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: K.W. Emerson, Inc.

List this sub? Yes

4) Business Name Techno Coatings
 Location City Anaheim State CA
 California Contractor License Number 296517
 Public Works Contractor Registration Number 1000005841
 Portion of Work Subcontracted:

Item %	Description
58 100	Prepare & Stain Concrete

List this sub? Yes

5) Business Name Dees Burke Engineering Constructors
 Location City Atladena State CA
 California Contractor License Number 1012305
 Public Works Contractor Registration Number 1000036375
 Portion of Work Subcontracted:

Item %	Description
81 40	Concrete Barrier

List this sub? Yes

6) Business Name Midstate Barrier, Inc.
 Location City Stockton State CA
 California Contractor License Number 529261
 Public Works Contractor Registration Number 1000000538
 Portion of Work Subcontracted:

Item %	Description
20 75	Treated Wood Waste
22 100	Remove Guardrail
76 100	Midwest Guardrail
78 100	Transition Railing
79 100	Alt. In-line Terminal Sys

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: K.W. Emerson, Inc.

Bidder ID: VC1300004128

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: K.W. Emerson, Inc.

List this sub? Yes

7) Business Name Midstate Barrier, Inc.
 Location City Stockton State CA
 California Contractor License Number 529261
 Public Works Contractor Registration Number 1000000538
 Portion of Work Subcontracted:

Item %	Description
80 100	Alt. Flared Terminal Sys
77 100	Vegetation Control (Minor Concrete)

List this sub? Yes

8) Business Name Nitta Erosion Control
 Location City Loomis State CA
 California Contractor License Number 401640
 Public Works Contractor Registration Number 1000000478
 Portion of Work Subcontracted:

Item %	Description
12 100	Temp. Mulch
37 100	Move In/Move Out (Erosion Control)
38 100	Rolled Erosion Control
39 100	Hydromulch
40 100	Fiber Rolls

List this sub? Yes

9) Business Name Nitta Erosion Control
 Location City Loomis State CA
 California Contractor License Number 401640
 Public Works Contractor Registration Number 1000000478
 Portion of Work Subcontracted:

Item %	Description
41 100	Compost

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name: K.W. Emerson, Inc.

Bidder ID: VC1300004128

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: K.W. Emerson, Inc.

List this sub? Yes

10) Business Name Centerline Striping Company, Inc.
Location City Elk Grove State CA
California Contractor License Number 499345
Public Works Contractor Registration Number 1000004030
Portion of Work Subcontracted:

Item %	Description
05 5	Traffic Control
82 100	Paint Traffic Stripe

List this sub? Yes

11) Business Name Statewide Traffic Safety & Signs
Location City Sacramento State CA
California Contractor License Number 975518
Public Works Contractor Registration Number 1000001109
Portion of Work Subcontracted:

Item %	Description
4 100	Construction Area Signs
52 100	Furnish Single Sheet Alum. Sign
53 100	Roadside Sign
54 100	Roadside Sign
55 100	Furnish Single Sheet Alum. Sign

List this sub? Yes

12) Business Name Statewide Traffic Safety & Signs
Location City Sacramento State CA
California Contractor License Number 975518
Public Works Contractor Registration Number 1000001109
Portion of Work Subcontracted:

Item %	Description
57 100	Retroreflective Sheeting
73 100	Delineator
74 100	Guardrail Delineator
75 100	Object Marker

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name: K.W. Emerson, Inc.

Bidder ID: VC1300004128

DES-OE-C102.2C (REV 03/2015)

Bidding Firm: K.W. Emerson, Inc.

List this sub? Yes

13) Business Name AC Dike Co.

Location City Lincoln State CA

California Contractor License Number 407417

Public Works Contractor Registration Number 1000005929

Portion of Work Subcontracted:

Item	%	Description
45	50	Place Hot Mix Asphalt Dike

List this sub? Yes

14) Business Name CMC Steel Fabricators, Inc.

Location City Tracy State CA

California Contractor License Number 778010

Public Works Contractor Registration Number 1000000298

Portion of Work Subcontracted:

Item	%	Description
51	90	Bar Reinforcing Steel
81	40	Concrete Barrier

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name: K.W. Emerson, Inc.

Bidder ID: VC1300004128

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: _____

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name:K.W. Emerson, Inc.

Bidder ID:VC1300004128

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :58851

Note: This small business questionnaire is included for statistical reporting only.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name:K.W. Emerson, Inc.

Bidder ID:VC1300004128

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 10-0F2804 Project ID: 1000000033

Bidder Name:K.W. Emerson, Inc.

Bidder ID:VC1300004128

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
 - For Material Change Only:
 - Year
 - Quarter
 - Date of last report
4. Name and Address of Reporting Entity:
 - Tier ,if known
 - Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:
 - Congressional District, if known:
6. Federal Department/Agency:
7. Federal Program Name/Description:
 - CFDA Number, if applicable
8. Federal Action Number, if known:
9. Award amount, if known (\$):
10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
 - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: _____

Print Name:
Title:
Telephone No.:
DATE:

Authorized for Local Reproduction
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder K.W. Emerson, Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Calaveras

Name Dan Emerson,

being first duly sworn, deposes and says that he or she is

Vice-President of

K.W. Emerson, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. _____

We _____
_____ as Principal, and

_____ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN CALAVERAS COUNTY NEAR ARNOLD FROM 0.7 MILE WEST TO 0.2 MILE WEST OF BIG TREES STATE PART ENTRANCE In District 10 on Route 4 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 09-21-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: _____, 20____.

Principal: _____

Surety: _____

Address of Surety: _____

(for correspondence or claims)

By _____

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)



State of California, Department of Transportation - Chris Rice

Bond Number: SCA16638725

Contractor Information

Principal: K.W. Emerson, Inc. 209-754-3839

Address: PO Box 549 San Andreas California 95249 United States

Contractor's State Vendor ID Number: VC1300004128

Owner/Obligee Information

Bond Form: Bid Bond in accordance with Contract Specifications

Owner / Obligee: State of California, Department of Transportation

Address: 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California 95816 United States

Bond Information

Surety: The Ohio Casualty Insurance Company

Amount of Bid Security: 10%

Contract ID Number: 10-0F2804

Description of Job: Construction on State Highway in Calaveras County near Arnold from 0.7 Mile West to 0.2 Mile West of Big Trees State Part Entrance.

[View AMBest Information](#) [View Surety Profile](#) [Treasury List](#)

Primary Agency:

Buschmann, Buschmann & Laux Surety Insurance Services, LLC
Power of Attorney Limited to: UNLIMITED

Executed

Executed By:

Kathy Rangel - 9/19/2016 4:18:57 PM ET

Phone: 916-782-6637

Email: krangel@bbbsurety.com

Know all men by these presents that The Ohio Casualty Insurance Company, a Corporation duly organized under the laws of the State of New Hampshire, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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