

November 4, 2015

California Department of Transportation  
Division of Engineering Services  
Office Engineer  
1727 30<sup>th</sup> Street, MS-43  
Sacramento, CA 95816

Attn.: John C. McMillan, Deputy Division Chief

RE: 09-364504, B.O. 10/14/2015

Mr. McMillan,

Please consider this letter our formal protest of the award of this project to BC Rentals, Inc. DBA BC Traffic Specialist (BC) or Peterson-Chase General Engineering Construction, Inc. (Peterson).

BC is the apparent low bidder but did not make the required DBE goal of 7%. They submitted the DBE Commitment form listing Hercon Company (Hercon) to Furnish Sign Structure (Truss). Hercon does not have the correct Work Category Code to be eligible to supply sign structures as a DBE. The CUPC database instructions state in bullet point nine "DBE firms must be certified with appropriate NAICS and Work Codes prior to bid opening to qualify as a responsible/responsive bid." Also, see Step 2 of the CalTrans' Fact Sheet used to Evaluate DBE participation by the Contract Evaluation Unit. The first bullet point states "ensure that the DBEs are certified by checking the CUCP database [cross referencing DBE work category code(s) with contract bid items] (if the DBE is not certified, their participation will not be counted.)" It is very clear using CalTran's own evaluation guide that Hercon's DBE participation should not be allowed. Because of this Hercon is not eligible to participate as a DBE sign structure supplier and BC's total claimed participation is zero. BC did not submit a good faith effort either and should not be eligible for the award of this contract.

Peterson is the apparent second bidder but did not fill out their bid documents correctly. Peterson listed Alcorn Fence Company (Alcorn) for bid items 15, 16, 17, and 18. Peterson listed the percentage of each of the bid items that Alcorn will be performing as



# CORAL

CONSTRUCTION COMPANY  
SPECIALTY HIGHWAY AND GENERAL CONTRACTORS

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90%. Peterson did not list the description of the portion of these items Alcorn will be performing, instead the listed the actual bid item description, so there is no way to tell what work Alcorn will be performing. Caltrans allows the item descriptions or no description (letting the bid item number itself be the description) in the portion of work description field when a contractor lists a subcontractor for 100%. When less than 100% of an item is subcontracted Caltrans requires a description of work performed to be filled in. Since the bid item number is allowable as a substitute for the portion of work description for 100% subcontracted items it would make sense that the bid item number or blank field not being allowed as a description of items less than 100% would also indicate that the actual bid item description would not be allowed either. In other words, if Alcorn was used for 100% of bid item 15, either leaving the portion of work subcontract cell blank, in essence letting the bid item number be the description, or writing in the actual bid item description of Midwest Guardrail System are acceptable. When less than 100% of an item is subcontracted leaving the description of work cell blank, or letting the bid item number describe the work, is not acceptable. Since that method is not acceptable, writing the actual bid item description in the portion of work subcontracted cell is not acceptable either. The portion of work must be described as to what the subcontractor will actually be doing since they are not performing 100% of the work.

Peterson listed High Light Electric (HLE) for bid items 19 and 20. Peterson listed the percentage of each of the bid items that HLE will be performing as 90%. Peterson did not list the description of the portion of the items HLE will be performing, instead the listed the bid item description, so there is no way to tell what work HLE will be performing. The argument is the same as described above in the paragraph relating to the subcontractor listing of Alcorn.

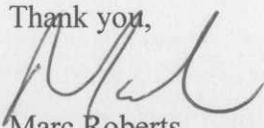
In addition, Peterson did not complete the DBE Commitment form correctly. The DBE Commitment form asks the contractor to list "Item of work and description of services to be subcontracted or materials to be provided<sup>2</sup>." Note 2 states "If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished." Peterson listed HLE for bid items 19 and 20 on this form and described the work as "Maintain Existing TMS" and "Changeable Message Sign System." These are the entire bid item descriptions and either Peterson is subcontracting 100% of these bid items, which would make them non-responsive in accordance with the subcontractor listing laws since they are not listed as performing 100% on the subcontractor listing at bid time, or they did not describe the exact portion of the item to



be performed. In either case their proposal should be considered non-responsive because of this.

Please call with any questions or if you require additional information.

Thank you,



Marc Roberts  
Coral Construction Company

Attachments;

BC Rentals DBE Commitment Form  
CalTrans' Fact Sheet, Evaluating DBE Participation  
Hercon's DBE Report  
Peterson's Bid Book  
Peterson's DBE Commitment Form



STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
DBE - COMMITMENT  
DES-OE-0102.10D (REV 12/2014)

# Low Bidder

CONTRACT NO:  
09-364504

BID AMOUNT:  
\$ 775,855.60

BID OPENING DATE:  
10/14/15

BIDDER'S NAME:  
BC RENTALS Inc DBA BC TRAFFIC SPECIALIST

DBE GOAL FROM CONTRACT %:  
7%

DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>: \_\_\_\_\_

TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE): \_\_\_\_\_

TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE): \_\_\_\_\_

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
11	FURNISH SIGN STRUCTURE (TRUSS)	560218	HERCON COMPANY Inc UCP FIRM#: 35158 30211 AVENIDA DE LAS BANDERAS, RANCHO SANTA MARGARITA, CA 92688 P: 949-766-6770	\$204,392.80

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

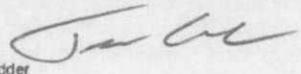
<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed Participation \$204,392.80

26.36%

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

  
Signature of Bidder

10/15/15 714-974-1190  
Date (Area Code) Tel. No.

TREVOR COUCH - ESTIMATOR  
Person to Contact (Please Type or Print)





STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
DES-OE-0102.11A (REV 12/2014)

Bidder's Name: BC Rentals Inc DBA BC TRAFFIC  
Contract No.: 09-364504 SPECIALIST

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
N/A			

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
	<input type="checkbox"/> YES <input type="checkbox"/> NO	N/A				
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
DES-OE-0102.11A (REV 12/2014)

Bidder's Name: BC RENTALS Inc DBA BCTRAFFIC SPECIALIST  
Contract No: 09-364504

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Date	Location (if applicable)	Results

N/A

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

N/A

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. Include additional data to support a demonstration of good faith efforts.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.



11-06-15A11:35 RCVD

October 14, 2015

BC TRAFFIC SPECIALIST  
638 W. Southern Avenue  
Orange, CA 92865

Attention: Mr. Trevor Couch  
Estimator

Reference: Caltrans  
Contract #09-364504

Subject: Material Quote  
Sign Structure (Truss)

Dear Mr. Couch:

Hercon Company, Inc. (HCI) is pleased to provide this material quotation per your request for the referenced contract number listed above. HCI will furnish to BC Traffic Specialist the following:

Bid Item 11 - Furnish Sign Structure (Truss) for Lump Sum of \$156,352.00  
Taxes Included

If you should have any questions or require any further information, please feel free to contact me at your earliest convenience. HCI is looking forward to your successful award and working with your firm in the future.

Respectfully,

Albert Hernandez, Jr.  
Hercon Company, Inc.  
CEO/President

UCP Firm Number 35158  
SUPPLIER CLEARINGHOUSE VON: 10DS0077  
DIR# 1000023931

# CALIFORNIA UNIFIED CERTIFICATION PROGRAM DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE

**HERCON COMPANY, INC.**

30211 AVENIDA DE LAS BANDERAS, STE 200  
RANCHO SANTA MARGARI, CA 92688

Owner: ALBERT HERNANDEZ JR.

Business Structure: CORPORATION

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

NAICS Code(s) \* Indicates primary NAICS code

\* 237110 Water and Sewer Line and Related Structures Construction

### Work Category Code(s)

C0670 PIPE  
C0698 BUILDING MATERIAL  
C1920 STRUCTURE EXCAVATION

C0686 ELECTRICAL & SIGNALS  
C1701 DEVELOP WATER SUPPLY  
C7160 ASBESTOS-CEMENT SEWER PIPE

### Licenses

A General Engineering Contractor

C10 Electrical Contractor

ASB Asbestos

C12 Earthwork and Paving Contractor

B General Building Contractor

C16 Fire Protection Contractor

### CERTIFYING AGENCY:

DEPARTMENT OF TRANSPORTATION  
1823 14TH STREET, MS 79  
SACRAMENTO, CA 95811 0000  
(916) 224-1700

UCP Firm Number : 35158

*Genice Salas*  
CUCP OFFICER

December 26, 2008

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.

DIR # 1000023931

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**SUPPLIER CLEARINGHOUSE  
CERTIFICATE OF ELIGIBILITY**

CERTIFICATE EXPIRATION DATE: 05-23-2016

The Supplier Clearinghouse for the Utility Supplier Diversity Program of the California Public Utilities Commission hereby certifies that it has audited and verified the eligibility of:

***Hercon Company, Inc  
of Rancho Santa Margarita, California as a MBE***

pursuant to Commission General Order 156, and the terms and conditions stipulated in the Verification Application Package. This Certificate shall be valid only with the Clearinghouse seal affixed hereto.

Eligibility must be maintained at all times, and renewed within 30 days of any changes in ownership or control. Failure to comply may result in a denial of eligibility. The Clearinghouse may reconsider certification if it is determined that such status was obtained by false, misleading or incorrect information. Decertification may occur if any verification criterion under which eligibility was awarded later becomes invalid due to Commission ruling. The Clearinghouse may request additional information or conduct on- site visits during the term of verification to verify eligibility.

This certification is valid only for the period that the above named firm remains eligible as determined by the Clearinghouse. Utility companies may direct inquiries concerning this Certificate to the Clearinghouse at 800-359-7998 in Los Angeles.

**VON: 10DS0077**

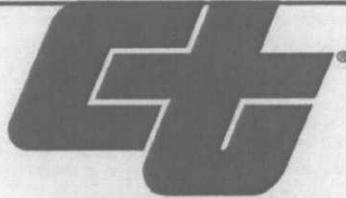
**Determination Date: 05-23-2013**

DIR# 10000 23931

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# Low Bidder 09

11-06-15A11:36 RCVD



**Caltrans**

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

## FORMS FOR BID

FOR CONSTRUCTION ON STATE HIGHWAY IN KERN AND  
INYO COUNTIES AT VARIOUS LOCATIONS

In District 09 On Routes 14, 58, 395

Under

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*Notice to Bidders and Special Provisions* dated September 21, 2015

*Standard Specifications* dated 2010

Project plans approved June 8, 2015

*Standard Plans* dated 2010

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To be submitted conjointly with  
Electronic *Bid* book dated September 21, 2015

Identified by

Contract No. 09-364504

09-Ker,Iny-14, 58, 395-various

Project ID 0914000051

Federal-Aid Project

ACNHP-000C(420)E

[Back To Query Form](#)

**Search Returned 1 Records**

Sat Oct 31 09:52:40 PDT 2015

11-06-15A11:36 RCY

**Query Criteria**

Firm/DBA Name: hercon

Firm Type: DBE

<b>Firm ID</b>	35158
<b>Firm/DBA Name</b>	HERCON COMPANY, INC.
<b>Address Line1</b>	30211 AVENIDA DE LAS BANDERAS, STE 200
<b>Address Line2</b>	
<b>City</b>	RANCHO SANTA MARGARI
<b>State</b>	CA
<b>Zip Code1</b>	92688
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>EEmail</b>	ahernandez@herconcompany.com
<b>Contact Name</b>	ALBERT HERNANDEZ JR.
<b>Area Code</b>	( 949 )
<b>Phone Number</b>	766-6770
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Fax Area Code</b>	( 949 )
<b>Fax Phone Number</b>	766-6768
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	00;
<b>Districts</b>	00;
<b>DBE NAICS</b>	237110;

**ACDBE NAICS**

**Work Codes** C1701 DEVELOP WATER SUPPLY; C1920 STRUCTURE EXCAVATION; C7160 ASBESTOS-CEMENT SEWER PIPE; C7191 SEWER MANHOLE; C8903 ELECTRICAL;  
**Licenses** A General Engineering Contractor; ASB Asbestos; B General Building Contractor; C10 Electrical Contractor; C12 Earthwork and Paving Contractor; C16 Fire Protection Contractor; C36 Plumbing Contractor; C42 Sanitation System Contractor; HAZ Hazardous Waste;

**Trucks**  
**Gender** M  
**Ethnicity** HISPANIC  
**Firm Type** DBE

[Back To Query Form](#)

**FACT SHEET**  
**Federally Funded Contracts**  
**Evaluating DBE Participation**  
**Role of OBEO, Contract Evaluation Unit**  
**May 2013**

11-06-15A11:36 RCVD

The California Department of Transportation (Caltrans), Office of Business and Economic Opportunity (OBEO), Contract Evaluation Unit (CEU), is responsible for evaluating all Caltrans federally funded contracts and is responsible for counting Disadvantaged Business Enterprise (DBE) participation towards contract goals according to federal regulation. The CEU determines whether the goal has been met or an adequate good faith effort has been made by the contractor.

**Bidder's Responsibility – For Meeting DBE Requirements**

Refer to the Special Provisions of the specific contract you are bidding on for your responsibilities. Contracts are advertised on the Office Engineer's (OE) website at [http://dot.ca.gov/hq/esc/oe/weekly\\_ads/index.php](http://dot.ca.gov/hq/esc/oe/weekly_ads/index.php) and the Division of Procurement and Contracts' (DPAC) website at <http://caltrans-opac.ca.gov/contract.htm>.

**Contract Evaluation Unit's Responsibility – Counting DBE Participation**

Step 1	A contract is received from Office Engineer (OE) or Division of Procurement and Contracts (DPAC) and the CALTRANS Bidder-DBE Commitment form from the prime contractor is reviewed to see if the prime contractor met the goal. If not, the good faith effort will be evaluated. See 49 CFR, Part 26, Appendix A, for good faith efforts guidance. This determination is based only on information timely submitted with the bid.
Step 2	<p>Review the CALTRANS Bidder-DBE Commitment form from the prime contractor to:</p> <ul style="list-style-type: none"> <li>• ensure that the DBEs are certified by checking the California Unified Certification Program (CUCP) database [cross referencing DBE work category code(s) with contract bid items] <i>(If the DBE is not certified, their participation will not be counted.)</i></li> <li>• ensure that the DBEs possess the correct work category code for the type of work they are listed to perform <a href="http://www.dot.ca.gov/hq/bep/find_certified.htm">http://www.dot.ca.gov/hq/bep/find_certified.htm</a> <i>(If the DBE does not possess the correct work category code, their participation will not be counted.)</i></li> <li>• verify the dollar amount claimed for credit is accurate             <ul style="list-style-type: none"> <li>◦ amount claimed for credit on DBE Commitment form is consistent with written confirmation (quote) from DBE.**</li> <li>◦ amount claimed for credit is consistent with the prime contractor's bid and subcontractor listing</li> </ul> </li> </ul> <p>Written confirmation (quotes) from the DBEs are reviewed to verify if the DBEs are committed to do the work on the Caltrans Bidder DBE Commitment form.**</p> <p><b>**Important Note:</b> Rate sheets that are generic will not be accepted in lieu of written confirmation (quotes). This determination is based only on information timely submitted with the bid.</p>
Step 3	<p>Counting DBE participation: DBE participation is "counted" only where it is demonstrated that a certified DBE possessing the correct work codes will be actively participating on the contract. CEU will count DBE participation as follows:</p> <ul style="list-style-type: none"> <li>• The entire amount of that portion of a construction contract that is performed by the DBE's own forces are counted.</li> <li>• DBE' participation will not be counted if the DBE is not certified at the time of bid or if a certified DBE does not possess the correct work codes.</li> <li>• The method for counting trucking towards the DBE goal requires the following additional documentation: motor carrier permit, truck title, registration, and proof of insurance, trailer or tanker registration, bills of lading, sub-hauling agreements, existing commitments of trucking on other jobs, and subcontract specific information (e.g., type of material hauled, number of trucks/trailers/tankers, load capacity, distance traveled, and schedule). Truckers need to own at least one, operable, insured truck.</li> <li>• DBE trucking firms receive 100 percent credit for the value of the hauling. DBE trucking firms who sublease to other DBE firms or DBE owner operators receive 100 percent of the value of the hauling.</li> <li>• DBE trucking firms who sublease to non-DBE trucking firms or non-DBE owner operators receive credit for the transportation arrangement or broker fees <b>only</b> – typically from 5 -20 percent of the value of the hauling.</li> <li>• Cost of material or supplies are counted at 60 percent for regular dealers. Those claiming to be regular dealers of bulk items must additionally demonstrate that they own and operate appropriate distribution equipment.</li> </ul> <p><b>Important Note:</b> There may be times when further clarification of commitment is requested for other types of work.</p>
Step 4	<p>Determination is made regarding whether goal was met. If goal was not met, the good faith effort documentation will be evaluated.</p> <p><b>Important Note:</b> The steps above are not all inclusive. Other steps may be taken in the contract evaluation process.</p>
Step 5	The contracts are returned to OE or DPAC, whichever is applicable.

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

9

11-06-15A11:36 RCVD  
GT

CONSTRUCTION ON STATE HIGHWAY IN \_\_\_\_\_  
KERN AND INYO COUNTIES AT VARIOUS LOCATIONS \_\_\_\_\_  
In District 09 on Route 14, 58, 395

UNDER \_\_\_\_\_

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Notice to Bidders and Special Provisions dated September 21, 2015  
Project plans approved June 8, 2015  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 09-364504

09-Ker, Iny-14, 58, 395-various

Project ID 0914000051

FEDERAL-AID PROJECT  
ACNHP-000C(420)E

Bids open Wednesday, October 14, 2015

Dated September 21, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

11-06-15A 11:36 RCVD

Name of Bidder Peterson-Chase General Engineering Construction, Inc.

Business Street Address 1792 Kaiser Avenue

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Irvine, CA, 92614

Telephone No: AREA CODE 949-252-0441

Fax No: AREA CODE 949-252-0266

Contractor License No. 615876

Public Works Contractor Registration No. 1000004108

Checksum 94701BF3

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
09-30-15 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Gregory Chase AND

TITLE OF PERSON SIGNING: President

BY (Authorized signature)

DATE SIGNED ( Do not type)

Contract No. 09-364504

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## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

## BID ITEM LIST

11-06-15A 11:36 RCVD

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	5,000.00
2	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	55,000.00
3	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	2,000.00
4	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	2,000.00
5	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	700.00
6	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	350.00
7	150771	REMOVE ASPHALT CONCRETE DIKE	180.0 LF	3.00	540.00
8	390136	MINOR HOT MIX ASPHALT	2.0 TON	450.00	900.00
9	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	180.0 LF	20.00	3,600.00
10	498052	60" CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)	88.0 LF	1,200.00	105,600.00
11(F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	55,840.0 LB	3.80	212,192.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

## BID ITEM LIST

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
12(F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	55,840.0 LB	0.23	12,843.20
13	590115	CLEAN AND PAINT STRUCTURAL STEEL	LUMP SUM LS	LUMP SUM	20,000.00
14	820132	OBJECT MARKER (TYPE L)	4.0 EA	50.00	200.00
15	832006	MIDWEST GUARDRAIL SYSTEM (STEEL POST)	340.0 LF	64.00	21,760.00
16	839581	END ANCHOR ASSEMBLY (TYPE SFT)	4.0 EA	870.00	3,480.00
17	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	1.0 EA	4,500.00	4,500.00
18	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	3.0 EA	4,547.00	13,641.00
19	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	4,400.00
20	860532	CHANGEABLE MESSAGE SIGN SYSTEM (LS)	LUMP SUM LS	LUMP SUM	315,000.00
21	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	60,000.00
Total Bid					\$843,706.20

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

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Bidding Firm: Peterson-Chase General Engineering Construction, Inc.

List this sub? Yes

1) Business Name Alcorn Fence Company

Location City Riverside State CA

California Contractor License Number 122954

Public Works Contractor Registration Number 1000001986

Portion of Work Subcontracted:

Item %	Description
15 90	Midwest Guadrail
16 90	end anchor assembly
17 90	Alt In-line Terminal system
18 90	alt flared terminal system

List this sub? Yes

2) Business Name High-light Electric

Location City Riverside State CA

California Contractor License Number 806335

Public Works Contractor Registration Number 1000002760

Portion of Work Subcontracted:

Item %	Description
19 90	Maintain Ex. Traffic Mgmt System Elements During Constuction
20 90	Changeable Message Sign



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

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This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier, if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction  
Standard Form - LLL (Rev. 7-97)

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

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EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Peterson-Chase General Engineering Construction, Inc. , proposed subcont certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

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TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

---

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 09-364504 Project ID: 0914000051

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

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NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Orange

Name Gregory Chase,

being first duly sworn, deposes and says that he or she is

President of

Peterson-Chase General Engineering Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

---

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

---

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

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VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

---

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

---

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

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BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

- 4.1. Date of each nonresponsibility determination
- 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
BIDDER'S BOND  
DES-OE 0102.3 (REV 3/2008)  
Contract No. 09-364504

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Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN KERN AND INYO COUNTIES AT VARIOUS LOCATIONS

In District 09 on Route 14, 58, 395 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 10-14-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

**Bond Details for: Bid Bond**

**Status:** In Effect  
**Bond Form:** CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)  
**Current Effective Date:** 10/1/2015  
**Expiration Date:** 12/30/2015  
**Subsidiary:** Peterson-Chase General Engineering Construction, Inc.  
**Description:** #09-364504 Install Changeable Message Sign 09-KER-INV-14,58,395-VAr  
**Contract Date:**  
**Type of Bond:** Contract  
**SAA Code:** Bid Bond(000)  
**Initial Effective Date:** 10/1/2015

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**Principal**

**Name:** Peterson-Chase General Engineering Construction, Inc.  
**Address:** 1792 Kaiser Avenue  
 Irvine, CA 92614  
**State of Incorporation:** CA

**Obligee**

**Name:** California Department of Transportation  
**Address:**  
 CA  
**State of Obligation:** CA  
**Obligee Type:** unknown

**Agency Information**

**Agency:** Culbertson Insurance Services, Inc.  
**Site:** Culbertson Insurance Services, Inc. (Anaheim, CA)  
**Attorney-in-fact:** David L. Culbertson  
**State of Execution:** CA

**Bond Form Custom Fields**

**Bid Location:** 1727 30th Street MS-26, Sacramento, CA 95816  
**Contract ID No. Required for Electronic Bidding:** 09-364504  
**Contractors ID No. Required for Electronic Bidding:** VC0000007931  
**Principal Signature Name:** Gregory Chase  
**Principal Signature Name Title:** President

**Other Information**

**Bid Date:** 10/14/2015  
**Bid Bond Amount:** 10%  
**SurePath ID (Long):** {34D6975F-C576-40EF-A5C3-4D41E259042E}  
**Bond Validation Number(BVN):** DNF2-719U-C6JB-P7YC **E-Mail BVN**

**Issuing Carriers**

Great American Insurance Company

**Bond Number:** Bid Bond  
**Premium Amount:** \$0.00  
**State Surcharge:** \$0.00  
**Municipal Tax:** \$0.00

**Comments**

# Second Bidder

10-20-15A11:54 RCVD

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

11-06-15A11:37 RCVD

CONTRACT NO: 09-364504  
 BID AMOUNT: \$843,706.20  
 BID OPENING DATE: October 14, 2015  
 BIDDER'S NAME: Peterson-Chase General Engineering Construction, Inc.  
 DBE GOAL FROM CONTRACT %: 7%

DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> : N/A	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) 2	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) \$349,380.00
--	---	---

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>2</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
19,20	Maintain Existing TMS, Changeable Message Sign System		High-Light Electric, Inc. #28842 P.O. Box, 7339 Riverside, CA 92513 (951) 352-9646	\$310,000.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

Total Claimed Participation: \$310,000.00

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.)

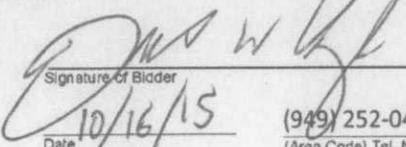
36.74 %

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (48 CFR 26.53).

  
 Signature of Bidder  
 Date: 10/16/15  
 (949) 252-0441  
 (Area Code) Tel. No.  
 Dick W. Vogels  
 Person to Contact (Please Type or Print)

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.





STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Peterson-Chase General Engineering  
 Bidder's Name: Construction, Inc.  
 Contract No. 09-364504

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
NONE			

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3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered. List the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
NONE	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

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Peterson-Chase General Engineering

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
DES-OE-0102.11A (REV 12/2014)

Bidder's Name Construction, Inc.  
Contract No. 09-364504

Page 3 of 3

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results
NONE			

11-05-15A11:37 RCVD

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

NONE

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

NONE

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

NONE

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

NONE

9. Include additional data to support a demonstration of good faith efforts.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814

960 134

We are a Union Contractor. Union Labor and Operators.



**HLE** HIGH-LIGHT ELECTRIC INC.

**Mailing Address:**  
P.O. Box 7339  
Riverside, CA 92513

**Main Office Information:**  
Phone: (951) 352-9646  
Main Fax: (951) 352-2498

**LA Metro SBE Cert #5730**  
**License #806335 A, C-10**  
**DIR Registration #1000002760**  
EMAIL: ESTIMATING@HLEINCUSA.COM

Name of Project: INSTALL CMS ON ROUTES 14, 58, 395

Contract/Project No.: 09-364504

Addendums(s) Noted: 1

Bid Date: 10/14/2015 Bid Time: 2:00 PM

Quote Revision No.: 0

Item #	Bid Item Description	Unit	Qty	Unit Price	Extension Price
19	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	1	\$4,000.00	\$4,000.00
20	CHANGEABLE MESSAGE SIGN SYSTEM (LS)	LS	1	\$290,000.00	\$290,000.00
21	MOBILIZATION (HLE'S PORTION)	LS	1	\$16,000.00	\$16,000.00
<b>GRAND TOTAL</b>					<b>\$310,000.00</b>

\*\*\* CT DBE CERTIFICATION #28842 \*\*\*

Estimator: Serban / Erwin  
Phone Extension: 120 / 121

Please review attached sheets for accurate and complete details. Quote is not valid unless general terms and conditions sheet is sent with quote.

-06-15A11:38 RCVD



**Mailing Address:**  
P.O. Box 7339  
Riverside, CA 92513

**Main Office Information:**  
Phone: (951) 352-9646  
Main Fax: (951) 352-2498

**LA Metro SBE Cert #5730**  
**License #806335 A, C-10**  
**DIR Registration #1000002760**  
EMAIL: ESTIMATING@HLEINCUSA.COM

**HLE's GENERAL TERMS AND CONDITIONS TO THE BID PROPOSAL FOR OUR WORK ARE:**

**SECTION A - EXCLUSIONS FROM BID:**

- |   |   |
|---|---|
| 1 | Overtime/Acceleration costs, Traffic Control, Permits, Inspection/Engineering Costs, Striping with Layout, Staking/Survey, Structure Work, Sign Work, Any Restoration, Pour watch, Grading/Re-grading, Gamma Ray or Compaction Testing, Removal and Disposal of Existing Conduits/Foundations/PCC or AC Surface, Clearing and Grubbing, Irrigation Work, Painting, Street Sweeping, Mitigation of Dust/Animal/Hazardous Materials/Archeological/Rock/Dewatering Scopes of Work, SWPPP/BMP Work Sand Blasting, Block-Outs, Temp Electrical, Falsework Lighting, Railroad/Pullution/Builder's Risk Insurance/Waterway Insurances, and Contractor Imposed Safety Program |
| 2 | Bonding is unavailable at this time unless specifically noted in Section E (In the event bond is available, Contractor agrees to pay 2.5% of the total cost of such bond)   |

**SECTION B - SPECIAL TERMS AND CONDITIONS:**

- |   |   |
|---|---|
| 1 | HLE is only signatory to the Laborers and Operators unions, HLE will not sign any other craft agreements unless otherwise explicitly noted in this proposal   |
| 2 | A mutually approved baseline schedule is required and HLE items must be clearly identified. Any "Time Impact Analysis" required including cost by the Contractor.   |
| 3 | Irrespective of Owner payment and the Contract requirements for such, Contractor shall compensate HLE for following Long-Lead Items upon receipt of invoices from HLE as "Materials on Hand": Poles Assemblies, Controllers/Service Cabinet Assemblies, Cameras Assemblies, F/O Materials, Signals Gear and Fixtures. |
| 4 | If the Contractor secures a yard for the project, HLE shall be permitted to store tools, materials, and equipment at Contractor's yard at no cost under the care of the Contractor.   |
| 5 | All DRB, Arbitration or Partnering Costs related to HLE's claims or disputes will be prorated; Contractor may not impose offsets of HLE's payments or admin fees  |
| 6 | Extended Home Office Overhead: Contractor shall pay HLE \$750.00 per day the project completion date is extended for any reason   |
| 7 | Contractor protects work the work when completed, or when HLE is not required to be onsite for more than 4 days   |
| 8 | Contractor shall allow HLE 15 days to respond to any Owner's proposed final estimate "PFE" or contractors request for final amounts due   |

**SECTION C - SCOPE OF WORK AND SCHEDULING:**

- |   |   |
|---|---|
| 1 | HLE bid and accounted for only 4 move-ins for the project, Contractor shall compensate HLE \$3,750.00 per each additional move in that HLE incurs. A move-in shall be counted where HLE does not work on the Project for 3 or more consecutive working days for any cause.  |
| 2 | Add \$4,500.00 per each Falsework Lighting opening required by the specifications; Contractor shall provide power within 10ft of falsework structure (plans by others)  |
| 3 | Contractor shall confirm and schedule HLE's work 15-working days advance except for loop detector work where a 20-working days notice is required.  |
| 4 | All work shall be scheduled in a manner that all conduit is installed during fill or subgrade (as approved by HLE) and equipment or boxes prior to any surfacing; All existing systems will be removed and deactivated once the permanent or subsequent stage electrical is activated unless otherwise agreed to by HLE |

**SECTION D - PAYMENT PROVISIONS:**

- |   |  |
|---|--|
| 1 | Payment is to be made by Contractor within 5-days upon Contractor's receipt of the funds from the Owner and Joint Checks may be issued to HLE suppliers at no cost |
| 2 | Retention % is to be withheld only as per the Owner's requirements and released 30-days after HLE completion of the work   |

**SECTION E - OTHER NOTES OR CONDITIONS:**

- |   |   |
|---|---|
| 1 | ADDITIONAL EXCLUSIONS: CMS LOCATIONS CONCRETE PADS, CCTV POLE ADAPTOR PROVISIONS TO CMS STRUCTURE, CMS SIGN PANEL INSTALL TO STRUCTURE. |
| 2 |   |
| 3 |   |
| 4 |   |
| 5 |   |

**Proposal Disclaimer:**

The proposal is only valid for 90 days from the quoted date or 30 days after the award by owner, whichever is later. Subject to re-quote after.  
 \* All Options (add or deduct) must be exercised upon receipt of subcontract or purchase order agreement.  
 \* The language and terms used in this exhibit supersede any conflicting terms in the Subcontract

Please review attached sheets for accurate and complete details. Quote is not valid unless general terms and conditions sheet is sent with quote.

11-06-15 11:38 RCVD

[Back To Query Form](#)**Search Returned 1 Records**

Thu Oct 15 10:43:00 PDT 2015

**Query Criteria**

Firm ID: 28842

Firm Type: DBE

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Firm ID	28842
Firm/DBA Name	HIGH LIGHT ELECTRIC, INC.
Address Line1	7000 JURUPA AVE.
Address Line2	
City	RIVERSIDE
State	CA
Zip Code1	92504
Zip Code2	
Mailing Address Line1	PO BOX 7339
Mailing Address Line2	
Mailing City	RIVERSIDE
Mailing State	CA
Mailing Zip Code1	92513
Mailing Zip Code2	
Certification Type	DBE
Email	erwin.mendoza@hleincusa.com
Contact Name	ERWIN MENDOZA
Area Code	( 951 )
Phone Number	352-9646
Alt Area Code	( 951 )
Alt Phone Number	427-2850
Fax Area Code	( 951 )
Fax Phone Number	352-2498
Agency Name	DEPARTMENT OF TRANSPORTATION
Counties	19; 30; 33; 36;
Districts	07; 08; 12;
DBE NAICS	237130; 237310; 237990; 238210; 238990;

**ACDBE NAICS**

Work Codes	C8602 SIGNAL & LIGHTING; C8604 LIGHTING; C8605 MESSAGE SIGNS, LIGHTING & SIGN ILLUMINATION; C8700 CONSULTANT, NON ENGINEERING; C9859 Commercial Electrical;
Licenses	A General Engineering Contractor; C10 Electrical Contractor;
Trucks	
Gender	M
Ethnicity	HISPANIC
Firm Type	DBE

[Back To Query Form](#)

# Second Bidder

10-20-15A11:54 RCVD

# 09



**Caltrans**

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

11-06-15A11:38 RCVD

## FORMS FOR BID

FOR CONSTRUCTION ON STATE HIGHWAY IN KERN AND  
INYO COUNTIES AT VARIOUS LOCATIONS

In District 09 On Routes 14, 58, 395

Under

---

*Notice to Bidders and Special Provisions* dated September 21, 2015

*Standard Specifications* dated 2010

Project plans approved June 8, 2015

*Standard Plans* dated 2010

---

To be submitted conjointly with  
Electronic *Bid* book dated September 21, 2015

Identified by

Contract No. 09-364504

09-Ker,Iny-14, 58, 395-various

Project ID 0914000051

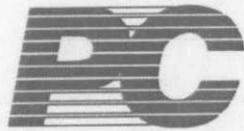
Federal-Aid Project

ACNHP-000C(420)E

Bids open Wednesday, October 14, 2015

Dated September 21, 2015  
AADD

10-20-15A11:54 RCVD



**PETERSON/CHASE**  
GENERAL ENGINEERING  
CONSTRUCTION, INC.

Via "GSO" Overnight

October 19, 2015

# Second Bidder

11-06-15A11:38 RCVD

State of California  
Department of Transportation  
1727 30<sup>th</sup> Street, MS -43  
Sacramento, CA 95816

Attn: **Office Engineer - BIDDER DBE COMMITMENT AND GOOD FAITH EFFORT DOCUMENTATION**

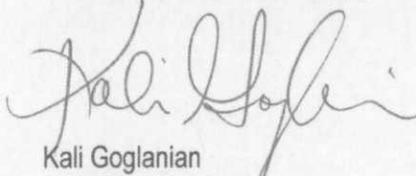
Re: Project Name: **INSTALL CHANGEABLE MESSAGE SIGN**  
Location: **09-KER-INV-14,58,395-VAR**  
Contract #: **09-364504**  
Bid Date: **10/14/2015**  
P/C Bid #: **5276**

Gentlemen:

Please find enclosed the DBE Commitment and Good Faith Effort documentation for the above referenced contract.

If you have any questions please call Dick Vogels at 949-252-0441.

Sincerely,  
Peterson-Chase Construction, Inc.



Kali Goglanian  
Administrative Asst.

Enclosure

Dbeform.ltr

1792 Kaiser Avenue • Irvine, California 92614-5706 • 949/252-0441 • FAX 949/252-0266  
License No. 615876