

December 1, 2015

California Department of Transportation
Division of Engineering Services
Office Engineer
1727 30th Street, MS-43
Sacramento, CA 95816

Attn.: John C. McMillan, Deputy Division Chief

RE: 09-356904, B.O. 10/15/2015

Mr. McMillan,

We submitted a formal protest to the award of this project to Peterson-Chase General Engineering Construction, Inc. (Peterson) in the attached letter dated 11/4/15. We stated Peterson did not meet the DBE commitment goal on this project nor did they submit a good faith effort in lieu of making the goal.

We made the following points;

1. Peterson's DBE Commitment form states the use of G&C Equipment Corp. (G&C) for guardrail and associated material supply and assigned the work category code C0600. There is a Work Category Code for guardrail supply, which G&C does not have on their DBE record page. Why are they allowed to supply guardrail when they do not have the correct work category code as REQUIRED by CalTrans. Why is CalTrans not enforcing their bidding and paperwork rules?
2. Peterson listed Sam's Equipment & Supplies (Sam's) for the rental of K-rail. Sam's does not manufacture barrier and is only eligible to participate at a 60% level in regards to the DBE commitment goal. We were informed by CalTrans' own DBE evaluation team that rental of equipment and materials is not going to be allowed to be used at a 100% commitment level any longer. Why are they allowed to use Sam's Equipment & Supplies for the rental of K-rail at 100%.



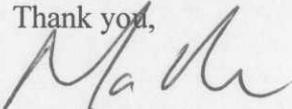
3. Peterson listed KRC Safety Co., Inc (KRC) on their DBE Commitment form for bid item 2 and listed the description as "Traffic control system". Peterson did not describe the exact portion of the item to be performed in accordance with note 2 so it must be inferred that KRC is performing all the required work in that bid item. Traffic control requires labor to be present on the project site which means KRC is a subcontractor. Peterson did not list KRC as a subcontractor, therefore should be considered non-responsive since they are in violation of the subcontractor listing laws. Why is Peterson allowed to ignore note 2 and fill out the paperwork incorrectly and still be awarded the project?

Because of the reasons stated above Peterson did not make the required DBE goal of 12%. They also did not submit a good faith effort and because of this should not be eligible to be awarded this contract.

We need to receive some clarity from CalTrans on these issues. There seems to be no consistency in how CalTrans reviews bid and DBE paperwork. Each determination relies on different interpretations of the rules and guidelines CalTrans has set forth.

Please let us know why it appears the award of this project is proceeding to Peterson even though we have pointed out three issues that should lead CalTrans to determine their bid is non-responsive.

Thank you,



Marc Roberts
Coral Construction Company

Attachments:

Coral Letter Dated 11/4/15



DEPARTMENT OF TRANSPORTATION

DIVISION OF ENGINEERING SERVICES

OFFICE ENGINEER

P.O. BOX 168041, MS-43

SACRAMENTO, CA 95816-8041

PHONE (916) 227-6299

FAX (916) 227-6282

TTY 711

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*Serious drought.
Help save water!*

November 12, 2015

Facsimile: (949) 252-0266

Gregory Chase, President
Peterson-Chase General Engineering
Construction, Inc.
1792 Kaiser Avenue
Irvine, Ca 92614

09-356904
09-Iny, Mno-6, Various,
B.O. 10/15/2015

Dear Mr. Chase:

The Department of Transportation (Caltrans) received the attached protests dated November 4, 2015 from Coral Construction Company (Coral) protesting the bid submitted by Peterson-Chase. The protest alleges that Peterson-Chase did not meet the Disadvantage Business Enterprise (DBE) goal for this contract and did not submit its good faith effort documentation. The protest further alleges that Peterson-Chase listed G&C Equipment Corp., as a guardrail supplier, however G&C does not have the supplier work code for this item. In addition, Sam's Equipment was listed to supply K-rail, and was not listed on the Subcontractor List form. Lastly, Peterson-Chase did not provide a specific description of work to be performed by KRC Safety Co. Inc.

Please provide your response to Coral no later than November 18, 2015.

If you have any questions, please contact Mulissa Smith, Contract Awards Manager, at (916) 227-6228.

Sincerely,

A handwritten signature in black ink, appearing to read "John C. McMILLAN".

JOHN C. McMILLAN
Deputy Division Chief
Office Engineer
Division of Engineering Services

Attachment

CORAL
CONSTRUCTION COMPANY
SPECIALTY HIGHWAY AND GENERAL CONTRACTORS

12-02-15A11:17 RCVD

11-05-15 A11:44 IN

November 4, 2015

California Department of Transportation
Division of Engineering Services
Office Engineer
1727 30th Street, MS-43
Sacramento, CA 95816

Attn.: John C. McMillan, Deputy Division Chief

RE: 09-356904, B.O. 10/15/2015

Mr. McMillan,

Please consider this letter our formal protest of the award of this project to Peterson-Chase General Engineering Construction, Inc. (Peterson). Peterson did not meet the DBE commitment goal on this project nor did they submit a good faith effort in lieu of making the goal.

Peterson's DBE Commitment form states the use of G&C Equipment Corp. (G&C) for guardrail and associated material supply and assigned the work category code C0600.

First, work code category C0600 is not part of the California Unified Certification Program database spreadsheet. On G&C's DBE record page work category code C0600 is present and described as "Misc Suppliers". Note 3 on the DBE Commitment form states to "Use Work Category Codes from the California Unified Certification Program (CUCP) database." Since this work category code is not part of the database this supplier's contribution to the DBE goal is not allowed. See Step 2 of the CalTrans' Fact Sheet used to Evaluate DBE participation by the Contract Evaluation Unit. The first bullet point states "ensure that the DBEs are certified by checking the CUCP database [cross referencing DBE work category code(s) with contract bid items] (if the DBE is not certified, their participation will not be counted.)" It is very clear using CalTran's own evaluation guide that this participation should not be allowed.

Second, there is a specific work category code for guardrail supply, C0683, which G&C does not have shown on their DBE record page. If they were truly a guardrail supplier they would have this work code. The CUCP database instructions state in bullet point nine "DBE firms must be certified with appropriate NAICS and Work Codes prior to bid opening to qualify as a responsible/responsive bid." This is the only bullet point



CORAL
CONSTRUCTION COMPANY
SPECIALTY HIGHWAY AND GENERAL CONTRACTORS

12-02-15A11:17 RCVD

11-05-15 A11:44 IN

high-lighted red stressing the importance of this note, also the word "must" is underlined again stressing the requirement that the DBE MUST have the appropriate work code. Since G&C does not have the appropriate work code to supply guardrail their contribution to the DBE goal is not allowed.

Peterson also listed Sam's Equipment & Supplies (Sam's) for the rental of K-rail. Sam's is not a subcontractor since they were not listed by Peterson on the subcontract listing at bid time. Peterson would be non-responsive if they consider Sam's to be a subcontractor because Sam's was not listed. Because Sam's is not considered a subcontractor they have to be considered a material supplier. Sam's does not manufacture concrete barrier therefore is only eligible to contribute 60% of their quote to the goal. This means that of the \$76,800.00 claimed participation only \$46,080.00 is eligible for DBE participation and Peterson did not meet the DBE goal for this project.

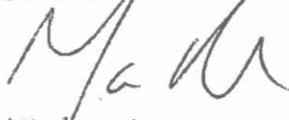
Peterson listed KRC Safety Co., Inc (KRC) on their DBE Commitment form for bid item 2 and listed the description as "Traffic control system". Peterson did not describe the exact portion of the item to be performed in accordance with note 2 so it must be inferred that KRC is performing all the required work in that bid item. Traffic control requires labor to be present on the project site which means KRC is a subcontractor. Peterson did not list KRC as a subcontractor, therefore should be considered non-responsive since they are in violation of the subcontractor listing laws.

Because of the reasons stated above Peterson did not make the required DBE goal of 12%. They also did not submit a good faith effort and because of this should not be eligible to be awarded this contract.

Please call with any questions or if you require additional information.

Thank you,

Marc Roberts
Coral Construction Company



Attachments:

Peterson's DBE Submittal
G&C's DBE page
CalTrans' Fact Sheet
CUPC Instructions
CUPC Database



10-21-15 A10:17 IN

12-02-15A11:17 RCVD

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
DBE - COMMITMENT
 DES-CE-0102.10D (REV 12/2014)

Low Bidder

CONTRACT NO: 09-356904

BID AMOUNT: \$ 2,124,870.00

BID OPENING DATE: October 15, 2015

BIDDER'S NAME: Peterson-Chase General Engineering Construction, Inc.

DBE GOAL FROM CONTRACT %: 12%

DBE PRIME CONTRACTOR CERTIFICATION ¹ :	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE)	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE)
N/A	1	\$741,372.00

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	WORK CATEGORY CODES ³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
23,25,27,28,30,31	Midwest Guardrail system, Tubular bicycle railing, cable railing, transition railing, end-ancher assembly, alternative flared terminal system	C0600	G & C Equipment Corp 1875 West Redondo Beach Blvd, Ste 102, Gardena, CA 90247 #820 (310) 515-6715	\$178,471.00
7	K-Rail Rental	C0683	Sams Equipment & Supplies P.O. Box 7797, Fresno, CA 93747 #34479 (559) 252-0354	\$76,800.00
2	Traffic control system	C1201	KRC Safety Co, Inc. 7821 W. Sunnyview Ave, Visalia, CA 93291 #447 (559) 732-0393	\$23,800.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use Work Category Codes from the California Unified Certification Program database.

Total Claimed Participation	\$279,071.00
	13 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

[Signature]
 Signature of Bidder
 10/20/15 Date
 (949) 292-0441 (Area Code) Tel. No.

Dick W. Vogels
 Person to Contact (Please Type or Print)

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Contract No. 09-356904

12-02-15 11:18 RCVD

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
DBE CONFIRMATION
 DEB-05-0102.13 (NSW 3/2015)

Contract no. 07-356904

Name of DBE business G & C Equipment Corp

Name of DBE representative John Brawdy

DBE certificate number 820

Name of bidder _____

Name of prime contractor if different from the bidder _____

Name of representative of bidder or prime contractor 10/15/15

Date quote was provided to the bidder or prime contractor _____

DBE Item number	Item of work and description of services to be subcontracted or materials to be provided	Amount (\$)
#23	Midwest Guardrail System	25,693.85
#25	Tubular Bicycle Railing	16,547.33
#27	Cable Railing	16,551.86
#28	Transition Railing - Type WB-31	139,497.54
#30	End Anchor Assembly	907.20
#31	Alternative Flared Terminal System Type 350	98,254.66

If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

Total - **\$297,452.44**

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.


 Signature of DBE's authorized representative

John Brawdy
 Printed name of DBE's authorized representative

Project Manager
 Title of DBE's authorized representative

10/15/15
 Date

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12-02-15A11:18 RCVD

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
DBE GOOD FAITH EFFORTS DOCUMENTATION
 DES-OE-0102.11A (REV 12/2014)

Peterson-Chase General Engineering
 Construction, Inc.
 Bidder's Name: _____
 Contract No: 09-356904

1. List items of work the Bidder made available to DBE firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of Work Offered, Services Offered, or Materials Supplied	Bidder Normally Performs Same		Item Broken Down to Facilitate Participation		Established Flexible Timesframes for Performance and Delivery Schedules		Amount (\$)	Percentage of Total Bid
	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No		
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12-02-15A11:18 RCVD

STATE OF CALIFORNIA · DEPARTMENT OF TRANSPORTATION
DBE GOOD FAITH EFFORTS DOCUMENTATION
 DES-0E-0102.11A (REV 12/2014)

Peterson-Chase General
 Bidder's Name Engineering Construction, Inc.
 Contract No: 09-356904

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
NONE			

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
NONE	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
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If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

12-02-15A11:18 RCVD

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
DBE GOOD FAITH EFFORTS DOCUMENTATION
DES-06-0102.11A (REV 12/2014)

Peterson-Chase General
Bidder's Name: EngineeringConstruction, Inc.
Contract No.: 09-356904

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Date	Location (if applicable)	Results
NONE			

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

NONE

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

NONE

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

NONE

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

NONE

9. Include additional data to support a demonstration of good faith efforts.

NONE

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814



G & C Equipment Corp, Inc. (CA)
 1875 West Redondo Beach Blvd
 Ste 102
 Gardena, CA 90247

Phone: (310)515-6715 Fax: (310)515-5046

Quote

12-02-15A11:18 RCVD

Project	Date	Estimate #
	10/15/2015	QO-20607

Name / Address
Estimator - Alcorn Fence Co.

Ship To
04356904 Inyo, Mono Counties

P.O. No.	Terms	Valid Until	Rep	FOB
	Net 30	11/14/2015	JH	

Item	Qty	Description	Unit Price	Total
		Exclusions: Engineering and Staking Clearing and Grading Patching and Restoration Mow Curbs, Traffic Control Welding Inspection and Procedure Lead Compliance, Removal of Lead Contaminated Soil from Site. Railroad Insurance, Stripping Painting Layout and Grubbing, Permit, Bond Premium (0.75%) Location of Underground Utilities Concrete Coring, Asphalt Patching Spoils Removal from Site Temporary Fencing Wind Calculations, Tortoise Training,		
		*Clarifications: Bid contingent upon approval of surety on bonded projects. Price good for 60 days from bid date.		

60% =
\$178,471

Subtotal	\$275,418.94
Sales Tax (8.0%)	\$22,033.52
Total	\$297,452.46

Signature _____

12-02
11:18 RCVD



G & C Equipment Corp, Inc. (CA)

1875 West Redondo Beach Blvd
Ste 102
Gardena, CA 90247

Phone: (310)515-6715 Fax: (310)515-5046

Quote

Project	Date	Estimate #
09-356904	10/15/2015	QQ-20607

Name / Address
Estimator - Alcorn Fence Co.

Ship To
09-356904 Inyo, Mono Counties

P.O. No.	Terms	Valid Until	Rep	FOB
	Net 30	11/14/2015	JH	

Item	Qty	U/M	Description	Unit Price	Total
MATERIAL SUPPLIED ONLY					
			ITEM #23 MIDWEST GUARDRAIL SYSTEM		
CS (T)	324	ea	STEEL POSTS	33.83099	10,961.24T
CS (T)	160	ea	POSTS, PLATES, HARDWARE	95.76	15,321.60T
			ITEM #27 CABLE RAILING		
CS (T)	85	ea	RAIL, POSTS, HARDWARE	1,519.58106	129,164.39T
			ITEM #30 ENDANCHOR ASSEMBLY		
CS (T)	84	ea	TYPE 350	1,083.05405	90,976.54T

Subtotal
Sales Tax (8.0%)
Total

Signature

KRC SAFETY CO., INC.

BARRICADE RENTAL ~ TRAFFIC CONTROL

7821 W. Sunnyview Ave Visalia, CA 93291

Phone (559) 732-0393 ~ Fax (559) 732-2684

WWW.KRCSAFETY.COM

To: Estimator
 Project: 09-356904
 Bld Date: October 15, 2015

UN:292 / D:292

KRC BID # 15-878

UNION, LICENSE #507950 (A, C31), DBE (NATIVE AMERICAN) #000447, SBE #6897, MBE #91S00114

2	<p>Traffic Control System</p> <p>1 man lane/ramp closures - Per 8 hour shift</p> <p>3 man lane/ramp closures (Includes IAV) - Per 8 hour shift</p> <p>Additional crew members for any additional lane closures and pick up fees will be added</p> <p>Trucks are a 2000 model and are equipped with traffic control items:</p> <ul style="list-style-type: none"> - All traffic control trucks include up to 300 cones, (2) arrow boards, applicable equipment to close 2 lanes in same direction and or 1 lane in opposite directions, applicable on/off ramp closure equipment for up to 2 ramps within the closure, (6) beacons with batteries & (24) flag stands. All traffic control trucks are also equipped with (1) T13 set up. - Cable lanes made less than 8 hours notice or closed more than 8 hours will be charged at 100% of quoted rates with cancellations will be charged in full. - Impact attenuator vehicles (IAV) & traffic control crews are subject to availability - If contractor elects to use a man closure, a traffic control crew will be provided with 2 portable and 2 changeable traffic control units to manage set and pick up equipment. - Time starts when first piece of equipment is placed and ends when last piece of equipment is picked up. - There are 30 minutes on each side of site for placing and removing equipment. - Portable changeable message boards are not included in quoted traffic control rates. - All overtime rates will be charged at \$27.50 per hour per man - All double time (over 12 hours) will be charged at \$112.50 per hour per man - All Saturday traffic control rates multiply by 1.3 - All Sunday traffic control rates multiply by 1.7 - Month occupancy rate for all equipment listed above is 100% with minimum 1 month occupancy and maximum 12 months occupancy. <p>Rental Option Only For Equipment Listed Below</p> <p>Impact Attenuator Vehicle (IAV) - Rental Only - Subject To Availability</p> <p>\$350.00/Day : \$1,500.00/Week : <u>\$3,000.00/Month</u> (Month rate based on 30 calendar days)</p> <p>\$250.00 delivery fee will apply - \$250.00 pick up fee will apply</p> <p>Proper insurance certificate must be on file with KRC prior to pickup and delivery</p> <p>Impact Attenuator Vehicle (IAV) - Rental Only - Subject To Availability</p> <p>\$70.00/Day : \$280.00/Week : <u>\$1,120.00/Month</u> (Month rate based on 30 calendar days)</p> <p>\$200.00 delivery fee will apply - \$200.00 pick up fee will apply</p> <p>Up to (2) units can be delivered and or picked up at quoted price.</p>	<p>985.00</p> <p>1,500.00</p> <p>2,415.00</p> <p>3,500.00</p>
		<p>7 mo x \$3,000 = \$21,000</p> <p>7 mo x \$1,120 = \$7,840</p> <hr/> <p>TOTAL \$23,800</p>



12-02-15A11:18 RCVD

Sam's Equipment & Supplies
 P.O. Box 7797
 Fresno, California 93747-7797
 Phone (559) 252-0354
 Fax (559) 251-1119
 S.B./D.V.B.E./D.B.E./U.D.B.E./M.B.E./S.D.V.O.S.B.C.



Date	Quote #
10/15/2015	2004155

Project
09-356904 CAL TRANS BID DATE 10-15-2015

Line Item #	Qty	Description	Unit	Cost	Total
7	6,800	NEW TEMPORARY RAILING (TYPE K) 20 LF JOINTS AVAILABLE CAL TRANS APPROVED	LF	26,07275	177,294.70T
OPTION FOR 7	2,300	KRAIL RENTAL FIRST MONTH	LF	7.00	16,100.00T
	2,300	EVERY MONTH AFTER	LF	3.00	6,900.00T
	20	DELIVERY CHARGE TO BISHOP	LOAD	1,000.00	20,000.00
		CONTRACTOR TO OFF LOAD BISHOP TO FRESNO 20 EA @ \$1,000			\$20,000
TOTAL \$76,800					
THANK YOU FOR ALLOWING US TO BID ON THESE ITEMS FOR YOU.				Subtotal	
				Sales Tax (8.0%)	
Quoted by				Total	

12-02-15A11:18 RCVD

Sam's Equipment & Supplies
 P.O. Box 7797
 Fresno, California 93747-7797
 Phone (559) 252-0354
 Fax (559) 251-1119
 S.B./D.V.B.E./D.B.E./U.D.B.E./M.B.E./S.D.V.O.S.B.C.



Date	Quote #
10/15/2015	2004155

Project
09-356904 CAL TRANS BID DATE 10-15-2015

Line Item #	Qty	Description	Unit	Cost	Total
		<p>TERMS 10TH PROX CONDITIONS: THIS IS NOT A CONTRACT. PRICES QUOTED ARE CURRENT. PRICES SUBJECT TO APPLICABLE SALES TAX, PRIOR SALES, AND/OR CHANGE WITHOUT NOTICE. PRICES QUOTED EXPIRE IN 30 DAYS AFTER BID OPENING FOR ACCEPTANCE. BID PRICES ARE FIRM FOR UP TO 120 DAYS TO TAKE DELIVERY. AGREEMENTS ARE CONTINGENT UPON STRIKES, ACCIDENTS AND OTHER CONDITIONS BEYOND OUR CONTROL. ACCEPTED BY: COMPANY _____ BY _____ PURCHASE ORDER NO. _____ DATE _____</p>		0.00	0.00T
THANK YOU FOR ALLOWING US TO BID ON THESE ITEMS FOR YOU.				Subtotal	
				Sales Tax (8.0%)	
				Total	

Quoted by

12-02-15A11:18 RCVD

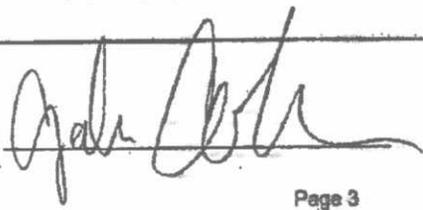
Sam's Equipment & Supplies
 P.O. Box 7797
 Fresno, California 93747-7797
 Phone (559) 252-0354
 Fax (559) 251-1119
 S.B./D.V.B.E./D.B.E./U.D.B.E./M.B.E./S.D.V.O.S.B.C.



Date	Quote #
10/15/2015	2004155

Project
09-356904 CAL TRANS BID DATE 10-15-2015

Line Item #	Qty	Description	Unit	Cost	Total
		<p>PRICE QUOTE ARE FIRM 30 DAYS SHIPPED IN FULL TRUCK LOAD QUANTITIES. UNLOADING IS BY OTHERS QUOTATION IS FOR SUPPLY ONLY. THE ABOVE QUANTITY IS ESTIMATED ONLY. INSTALLATION IS BY OTHERS. ADDITIONAL FREIGHT CHARGES MAY APPLY ON PARTIAL SHIPMENTS AND SUPPLEMENTAL ORDERS. IT IS THE CUSTOMER'S RESPONSIBILITY TO VERIFY THAT MATERIALS AND QUANTITIES MEET PLANS AND SPECIFICATIONS.</p>		0.00	0.00T
THANK YOU FOR ALLOWING US TO BID ON THESE ITEMS FOR YOU.				Subtotal	\$220,294.70
				Sales Tax (8.0%)	\$16,023.58
				Total	\$236,318.28

Quoted by 

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10-21-15 A10:30 IN

09



Caltrans

**STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION**

**FORMS FOR
BID**

**FOR CONSTRUCTION ON STATE HIGHWAY IN INYO AND MONO
COUNTIES AT VARIOUS LOCATIONS**

In District 09 On Routes 6, 108, 136, 158, 168, 182, 395

Under

<i>Notice to Bidders and Special Provisions</i> dated September 21, 2015	<i>Standard Specifications</i> dated 2010
<i>Project plans</i> approved June 29, 2015	<i>Standard Plans</i> dated 2010

To be submitted jointly with
Electronic *Bid* book dated September 21, 2015
Identified by
Contract No. 09-356904
09-Iny,Mno-6, 108, 136, 158, 168, 182, 395-var
Project ID 0912000064

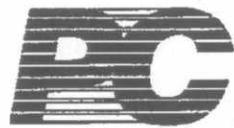
Federal-Aid Project
ACHSSTPG-000C(416)E

Bids open Thursday, October 15, 2015

Dated September 21, 2015
AADD

12-02-15A11:18 RCVD

Det 44



PETERSON/CHASE
GENERAL ENGINEERING
CONSTRUCTION, INC.

Via "GSO" Overnight

10-21-15 A10:30 IN

October 20, 2015

State of California
Department of Transportation
1727 30th Street, MS -43
Sacramento, CA 95816

Attn: **Office Engineer - BIDDER DBE COMMITMENT AND GOOD FAITH EFFORT DOCUMENTATION**

Re: Project Name: **CONSTRUCT CONCRETE BARRIER AND MIDWEST GUARDRAIL SYSTEM**
Location: **09-INC,MNO-6,108,136,158,168,182,395-VAR**
Contract #: **09-356904**
Bid Date: **10/15/2015**
P/C Bid #: **5278**

Gentlemen:

Please find enclosed the DBE Commitment and Good Faith Effort documentation for the above referenced contract.

If you have any questions please call Dick Vogels at 949-252-0441.

Sincerely,
Peterson-Chase Construction, Inc.

Kali Goglanian
Administrative Asst.

Enclosure

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[Back To Query Form](#)

Search Returned 1 Records

Sat Oct 31 09:02:01 PDT 2015

Query Criteria

Firm/DBA Name: G & C EQUIP

Firm Type: DBE

Firm ID	820
Firm/DBA Name	G & C EQUIPMENT CORP.
Address Line1	1875 W. REDONDO BEACH BLVD. #207
Address Line2	
City	GARDENA
State	CA
Zip Code1	90247
Zip Code2	
Mailing Address Line1	P. O. BOX 5419
Mailing Address Line2	
Mailing City	GARDENA
Mailing State	CA
Mailing Zip Code1	90249
Mailing Zip Code2	
Certification Type	DBE
EMail	gene@gandccorp.com
Contact Name	GENE HALE
Area Code	(310)
Phone Number	515-6715
Alt Area Code	()
Alt Phone Number	
Fax Area Code	(310)
Fax Phone Number	515-5046
Agency Name	LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA)
Counties	00;
Districts	00;
DBE NAICS	423310; 423320; 423390; 423510; 423610; 423720; 423730; 423830; 425120; 561320;

ACDBE NAICS

C0600 MISC SUPPLIERS; C0639 ASPHALT SUPPLIER; C0651 CONCRETE & CEMENT SUPPLIER; C0652 REINFORCING BAR SECTION SUPPLIER; C0655 STEEL SUPPLIER; C0670 PIPE SUPPLIER; C0686 ELECTRICAL & SIGNALS SUPPLIER; C0699 TOOLS Supplier; C9999 BROKER; D3620 ELECTRICAL INDUSTRIAL APPARATUS; F5070 HARDWARE, PLUMBING & HEATING EQUIPMENT; F5080 MACHINERY, EQUIPMENT, & SUPPLIES; F5210 LUMBER & OTHER BUILDING MATERIALS; I7360 PERSONNEL SUPPLY SERVICES;

Work Codes

Licenses

Trucks

Gender

Ethnicity

Firm Type

M
BLACK
DBE

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FACT SHEET

Federally Funded Contracts Evaluating DBE Participation Role of OBEO, Contract Evaluation Unit May 2013

The California Department of Transportation (Caltrans), Office of Business and Economic Opportunity (OBEO), Contract Evaluation Unit (CEU), is responsible for evaluating all Caltrans federally funded contracts and is responsible for counting Disadvantaged Business Enterprise (DBE) participation towards contract goals according to federal regulation. The CEU determines whether the goal has been met or an adequate good faith effort has been made by the contractor.

Bidder's Responsibility – For Meeting DBE Requirements	
<p>Refer to the Special Provisions of the specific contract you are bidding on for your responsibilities. Contracts are advertised on the Office Engineer's (OE) website at http://dot.ca.gov/hq/esc/oe/weekly_ads/index.php and the Division of Procurement and Contracts' (DPAC) website at http://caltrans-opac.ca.gov/contract.htm.</p>	
Contract Evaluation Unit's Responsibility – Counting DBE Participation	
Step 1	<p>A contract is received from Office Engineer (OE) or Division of Procurement and Contracts (DPAC) and the CALTRANS Bidder-DBE Commitment form from the prime contractor is reviewed to see if the prime contractor met the goal. If not, the good faith effort will be evaluated. See 49 CFR, Part 26, Appendix A, for good faith efforts guidance. This determination is based only on information timely submitted with the bid.</p>
Step 2	<p>Review the CALTRANS Bidder-DBE Commitment form from the prime contractor to:</p> <ul style="list-style-type: none"> • ensure that the DBEs are certified by checking the California Unified Certification Program (CUCP) database [cross referencing DBE work category code(s) with contract bid items] <i>(If the DBE is not certified, their participation will not be counted.)</i> • ensure that the DBEs possess the correct work category code for the type of work they are listed to perform http://www.dot.ca.gov/hq/bep/find_certified.htm <i>(If the DBE does not possess the correct work category code, their participation will not be counted.)</i> • verify the dollar amount claimed for credit is accurate <ul style="list-style-type: none"> ◦ amount claimed for credit on DBE Commitment form is consistent with written confirmation (quote) from DBE.** ◦ amount claimed for credit is consistent with the prime contractor's bid and subcontractor listing <p>Written confirmation (quotes) from the DBEs are reviewed to verify if the DBEs are committed to do the work on the Caltrans Bidder DBE Commitment form.**</p> <p>**Important Note: Rate sheets that are generic will not be accepted in lieu of written confirmation (quotes). This determination is based only on information timely submitted with the bid.</p>
Step 3	<p>Counting DBE participation: DBE participation is "counted" only where it is demonstrated that a certified DBE possessing the correct work codes will be actively participating on the contract. CEU will count DBE participation as follows:</p> <ul style="list-style-type: none"> • The entire amount of that portion of a construction contract that is performed by the DBE's own forces are counted. • DBE' participation will not be counted if the DBE is not certified at the time of bid or if a certified DBE does not possess the correct work codes. • The method for counting trucking towards the DBE goal requires the following additional documentation: motor carrier permit, truck title, registration, and proof of insurance, trailer or tanker registration, bills of lading, sub-hauling agreements, existing commitments of trucking on other jobs, and subcontract specific information (e.g., type of material hauled, number of trucks/trailers/tankers, load capacity, distance traveled, and schedule). Truckers need to own at least one, operable, insured truck. • DBE trucking firms receive 100 percent credit for the value of the hauling. DBE trucking firms who sublease to other DBE firms or DBE owner operators receive 100 percent of the value of the hauling. • DBE trucking firms who sublease to non-DBE trucking firms or non-DBE owner operators receive credit for the transportation arrangement or broker fees <u>only</u> – typically from 5 -20 percent of the value of the hauling. • Cost of material or supplies are counted at 60 percent for regular dealers. Those claiming to be regular dealers of bulk items must additionally demonstrate that they own and operate appropriate distribution equipment. <p>Important Note: There may be times when further clarification of commitment is requested for other types of work.</p>
Step 4	<p>Determination is made regarding whether goal was met. If goal was not met, the good faith effort documentation will be evaluated.</p> <p>Important Note: The steps above are not all inclusive. Other steps may be taken in the contract evaluation process.</p>
Step 5	<p>The contracts are returned to OE or DPAC, whichever is applicable.</p>

This Work Code Description Chart is a guide only and not a conclusive or comprehensive list of work performed by DBE firms. Certifying agencies use these descriptions, and the accompanying license and NAICS Code cross references, to assign codes that describe a firm's primary business activity. These codes distinguish DBE firms involved in the physically act of construction from those that provide support services and supplies to the construction industry. The tabulated spreadsheets have these various categories separated into specific workgroups. Please note that the issue of whether a firm performs Commercially Useful Function (CUF) is not reviewed at the time of certification (refer 49 CFR 26.73(a)(1));

Authority to Assign NAICS and Work Codes

- DBE certifying agencies get their authority from 49 CFR 26.71(n) to assign NAICS Codes and Work Codes
- Certifying agencies can, in addition to applying the appropriate NAICS code, apply a descriptor from a classification scheme of equivalent detail and specificity
- The use of Work Codes is a descriptor that supplements the NAICS Codes in order describe work items on contract bid summaries or scope of work
- Certifying agencies must certify firms as DBEs only with respect to specific types of work in which the certifying agency determines that the socially and disadvantaged owners have proven ability to control operations of the firm
- There is no such thing as a "generic" certification, that is, DBE firms are not certified in general terms, in ways that make them eligible to perform any sort of work. Put another way, DBE firms cannot base list their business activity as something they intend to do in future after certification.
- At the time of application a DBE firm must provide the primary business or professional activities the firm is engaged in to enable correct code assignment (primary business is what brings in the most revenue for the business)
- An applicant firm has the sole responsibility of providing detailed company information to a certifying agency to help make an appropriate NAICS and Work Code designations (Work Code designation is not based on the request of a prime contractor to facilitate DBE participation).
- Where a DBE firm intends to be certified in "additional work" after initial certification, the firm needs to demonstrate that its socially and economically disadvantaged owner(s), not employees or acquaintances, are able to control the firm regarding the additional type of work.
- DBE firms must be certified with appropriate NAICS and Work Codes prior to bid opening to qualify as a responsible/responsive bid. As indicated above, CUF is not reviewed during the certification process. Prime contractors must conduct their own due diligence to verify if a firm listed on the DBE database performs a CUF before they commit to use the firm. This prevents DBE firms from being disallowed post-bid commitment.
- NAICS Codes and Work Codes are assigned based on documentary evidence and site visit interview that support an applicant's ability to control technical and operational aspects of their firm, including the disadvantaged owner having all the license necessary to demonstrate control.
- In many instances, the ability to control operations may require specific qualification or license from accredited institution or state agency. Failure to provide proof of such qualification or license may prevent an applicant from obtaining NAICS and Work Codes

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Work Code	Category	Description	License Requirement	NAICS Code(s)
C0612	SAFETY EQUIPMENT SUPPLIER	Supplies vests, hard hats, goggles, masks, signs and cones.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423850 - Service Establishment Equipment and Supplies Merchant Wholesalers
C0620	LANDSCAPING & NURSERY SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of flowers, florists' supplies, and/or nursery stock (except plant seeds and plant bulbs).	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	424930 - Flower, Nursery Stock, and Florists' Supplies Merchant Wholesalers
C0621	LANDSCAPING MATERIALS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of Geotextiles, paving fabrics, geogrids, erosion control blankets, turf reinforcement mats, channel liners, SWPPPS materials, fiber rolls, wattles, silt fences, fabric pins, waterproofing membranes,	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423990 - Other Miscellaneous Durable Goods Merchant Wholesalers
C0624	PETROLEUM, OIL, LUBRICANTS SUPPLIER (BULK ITEM)	This industry comprises establishments with bulk liquid storage facilities primarily engaged in the sale & distribution of crude petroleum and petroleum products, including liquefied petroleum gas.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must own and operate distribution equipment to supply bulk materials. Supplementing of own equipment to be on long term lease, not ad hoc, and be open to public, both within and outside the context of the DBE program.	424710 -Petroleum Bulk Stations and Terminals
C0625	SAND & GRAVEL SUPPLIER (BULK ITEM)	This industry comprises establishments primarily engaged in the sale & distribution of stone, cement, lime, construction sand, and gravel; brick; asphalt and concrete mixtures; and/or concrete, stone, and structural clay products.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must own and operate distribution equipment to supply bulk materials. Supplementing of own equipment to be on long term lease, not ad hoc, and be open to public, both within and outside the context of the DBE program.	423320 - Brick, Stone, and Related Construction Material Merchant Wholesalers
C0626	GRANITE & MARBLE SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of granite and marble products.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423320 - Brick, Stone, and Related Construction Material Merchant Wholesalers
C0639	ASPHALT SUPPLIER(BULK ITEM)	This industry comprises establishments primarily engaged in the sale & distribution of stone, cement, lime, construction sand, and gravel; brick; asphalt and concrete mixtures; and/or concrete, stone, and structural clay products.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must own and operate distribution equipment to supply bulk materials. Supplementing of own equipment to be on long term lease, not ad hoc, and be open to public, both within and outside the context of the DBE program.	423320 - Brick, Stone, and Related Construction Material Merchant Wholesalers
C0649	PILINGS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of column of wood or steel or concrete that is driven into the ground to provide support for a structure	NO LICENSE REQUIRED - Must possess a warehouse and have inventory (not just token amounts or catalogued) and be open to public	423320 - Brick, Stone, and Related Construction Material Merchant Wholesalers

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Work Code	Category	Description	License Requirement	NAICS Code(s)
C0651	CONCRETE & CEMENT SUPPLIER(BULK ITEM)	This industry comprises establishments primarily engaged in the sale & distribution of stone, cement, lime, construction sand, and gravel; brick; asphalt and concrete mixtures; and/or concrete, stone, and structural clay products.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must own and operate distribution equipment to supply bulk materials. Supplementing of own equipment to be on long term lease, not ad hoc, and be open to public, both within and outside the context of the DBE program.	423320 - Brick, Stone, and Related Construction Material Merchant Wholesalers
C0652	REINFORCING BAR SECTION SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of reinforcing rod or bar used for reinforcement in concrete or asphalt pouring.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must own and operate distribution equipment to supply bulk materials. Supplementing of own equipment to be on long term lease, not ad hoc, and be open to public, both within and outside the context of the DBE program.	423510 - Metal Service Centers and Other Metal Merchant Wholesalers
C0655	STEEL SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of products of the primary metals industries. Service centers maintain inventory and may perform functions, such as sawing, shearing, bending, leveling, cleaning, or edging, on a custom basis as part of sales transactions.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must own and operate distribution equipment to supply bulk materials. Supplementing of own equipment to be on long term lease, not ad hoc, and be open to public, both within and outside the context of the DBE program.	423510 - Metal Service Centers and Other Metal Merchant Wholesalers
C0656	DOORS & FRAMES SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444190 - Other Building Material Dealers
C0657	LUMBER SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, , glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444190 - Other Building Material Dealers
C0658	PAPER SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of bulk printing and/or writing paper generally on rolls for further processing.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	424110 - Printing and Writing Paper Merchant Wholesalers
C0659	PAINT SUPPLIER	This industry comprises establishments known as paint and wallpaper stores primarily engaged in retailing paint, wallpaper, and related supplies.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444120 - Paint and Wallpaper Stores

Work Code	Category	Description	License Requirement	NAICS Code(s)
C0670	PIPE SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of products of the primary metals industries. Service centers maintain inventory and may perform functions, such as sawing, shearing, bending, leveling, cleaning, or edging, on a custom basis as part of sales transactions.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423510 - Metal Service Centers and Other Metal Merchant Wholesalers
C0671	DRAINAGE SYSTEMS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of drain pipes, storm drain pipes, gravity sewerage pipes, highway drains, bridge drains, curb drains.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423990 - Other Miscellaneous Durable Goods Merchant Wholesalers
C0672	STORM WATER SYSTEMS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of filtration systems, volumetric separation systems, screening products, catch basin inserts, hydrodynamic systems,	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423990 - Other Miscellaneous Durable Goods Merchant Wholesalers
C0680	FENCING SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444190 - Other Building Material Dealers
C0683	GUARD RAILING & BARRIERS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of galvanized steel guard rails, railings, barriers, accessories and systems, to improve the safety of highway and other sites.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423510 - Metal Service Centers and Other Metal Merchant Wholesalers
C0685	PAVEMENT MARKERS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of pavement makers of various types and colors	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423990 - Other Miscellaneous Durable Goods Merchant Wholesalers
C0686	ELECTRICAL & SIGNALS SUPPLIER	This industry comprises establishments primarily engaged in the sale & distribution of electrical construction materials; wiring supplies; electric light fixtures; light bulbs; and/or electrical power equipment for the generation, transmission, distribution, or control of electric energy.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423610 - Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers
C0687	GLASS & GLASS BLOCK SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444190 - Other Building Material Dealers

Work Code	Category	Description	License Requirement	NAICS Code(s)
C0698	BUILDING MATERIAL SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444110 - Building Material and Supplies Dealer
C0699	TOOLS SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	444190 - Other Building Material Dealers 444110 - Building Material and Supplies Dealer
C0700	CONSTRUCTION STAKING SUPPLIER	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in retailing specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed.	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423490 - Surveying Equipment and Supplies Merchant Wholesalers
C0800	TRAFFIC STRIPES, MARKING AND TRAFFIC CONTROL MATERIALS SUPPLIER	Firm that supplies materials for traffic striping and markings	NO LICENSE REQUIRED - However to get credit as a regular dealer, must possess a warehouse and have inventory (not just a token location or minimal inventory, catalogue) and be open to public, both within and outside the context of the DBE program.	423390-Other Construction Material Merchant Wholesaler
C1000	WHOLESALE BROKER OF CONSTRUCTION MATERIALS (No Inventory)	This industry comprises establishments (except those known as home centers, paint and wallpaper stores, and hardware stores) primarily engaged in brokering specialized lines of new building materials, such as lumber, fencing, glass, doors, plumbing fixtures and supplies, electrical supplies, prefabricated buildings and kits, and kitchen and bath cabinets and countertops to be installed. This includes businesses with token inventory or catalogue but involved in finding and arranging drop shipping of goods.	NO LICENSE REQUIRED	425120 - Wholesale Trade Agents and Broker 423990 - Other Miscellaneous Durable Goods
C9999	BROKER	This industry comprises establishments primarily engaged in e-commerce, or drop shipment of all and sundry materials without taking ownership of the materials being sold, for a fee.	NO LICENSE REQUIRED	425120 - Wholesale Trade Agents and Brokers
G1000	Non-Store Wholesale Merchant	Business establishments primarily engaged in wholesale of merchandise, including construction and electrical without owning a warehouse	No License Required	425120 - Wholesale Trade Agents and Brokers
G1001	Drop-Shipper	Business establishments primarily engaged in sales of merchandise without taking possession of the products; these are generally businesses that source products on behalf of business and have the manufacturer ship it directly to the buyer. Payments are either made directly to the manufacturer by the buyer or through the drop-shipper who charges a commission.	No License Required	425120 - Wholesale Trade Agents and Brokers
G1002	Online Sales Merchant	Business establishments primarily engaged in sales of types of products including construction and electrical however the entire operation is via the email.	No License Required	425120 - Wholesale Trade Agents and Brokers

Work Code	Category	Description	License Requirement	NAICS Code(s)
G1003	Wholesale Trade Agents and Brokers	This industry comprises wholesale trade agents and brokers acting on behalf of buyers or sellers in the wholesale distribution of goods. Agents and brokers do not take title to the goods being sold but rather receive a commission or fee for their service. Agents and brokers for all durable and nondurable goods are included in this industry.	No License Required	425120 - Wholesale Trade Agents and Brokers

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 406-1047
 GREEN, MY
 SACRAMENTO, CA 95816-7095
 1727 30TH ST
 15-1100-1271



Calif Dept of Transp
 Division of Engineering Services
 Office Engineer
 1727 30th St MS-4B
 Sacramento, CA 95816
 attn: John C McMillan
 503.682.2252

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**** Transmit Conf. Report ****

P. 1
CAL/TRANS PLANS COUNTER

Nov 12 2015 01:45pm

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STATE OF CALIFORNIA—CALIFORNIA STATE TRANSPORTATION AGENCY

EDMUND G. BROWN Jr., Governor

DEPARTMENT OF TRANSPORTATION

DIVISION OF ENGINEERING SERVICES

OFFICE ENGINEER
P.O. BOX 168041, MS-43
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November 12, 2015

Facsimile: (949) 252-0266

Gregory Chase, President
Peterson-Chase General Engineering
Construction, Inc.
1792 Kaiser Avenue
Irvine, Ca 92614

09-356904
09-Iny, Mno-6, Various,
B.O. 10/15/2015

Dear Mr. Chase:

The Department of Transportation (Caltrans) received the attached protests dated November 4, 2015 from Coral Construction Company (Coral) protesting the bid submitted by Peterson-Chase. The protest alleges that Peterson-Chase did not meet the Disadvantage Business Enterprise (DBE) goal for this contract and did not submit its good faith effort documentation. The protest further alleges that Peterson-Chase listed G&C Equipment Corp., as a guardrail supplier, however G&C does not have the supplier work code for this item. In addition, Sam's Equipment was listed to supply K-rail, and was not listed on the Subcontractor List form. Lastly Peterson-Chase did not provide a specific description of work to be performed by KRC Safety Co. Inc.

Please provide your response to Coral no later than November 18, 2015.

If you have any questions, please contact Mulissa Smith, Contract Awards Manager, at (916) 227-6228.

Sincerely,

JOHN C. McMILLAN
Deputy Division Chief
Office Engineer
Division of Engineering Services

Attachment