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07 B-4

Caltrans

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

# BID

## FOR CONSTRUCTION ON STATE HIGHWAY IN LOS ANGELES COUNTY AT ROWLAND HEIGHTS AT WESTBOUND OFF-RAMP TO NOGALES STREET

**In District 07 On Route 60**

**Under**

| | |
|---|---|
| ***Notice to Bidders and Special Provisions*** **dated August 26, 2013** | ***Standard Specifications*** **dated 2010** |
| **Project plans approved July 22, 2013** | ***Standard Plans*** **dated 2010** |

**Identified by**
**Contract No. 07-4H9004**
**07-LA-60-20.6**
**Project ID 0700021079**

**Federal-Aid Project**
**NHP-P060(142)E**

## Electronic Advertising Contract

Bids open Thursday, October 17, 2013

Dated August 26, 2013
AADD

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1 (REV. 3/2011)

B-4

**CONTRACT NO.** 07 - 4H9004

**NAME OF BIDDER** PETERSON-CHASE

**BUSINESS P.O. BOX** GENERAL ENGINEERING CONSTRUCTION, INC.

**CITY, STATE, ZIP** 1792 KAISER AVENUE

**BUSINESS STREET ADDRESS** IRVINE, CA 92614 (include even if P.O. Box used)

**CITY, STATE, ZIP**

**TELEPHONE NO:** **AREA CODE** (949) 252-0441

**FAX NO:** **AREA CODE** (949) 252-0266

**CONTRACTOR LICENSE NO.** 615876

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

   For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

   For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

   For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non–plant establishment work within the number of working days bid for non–plant establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non–plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:

   2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:

      2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

      2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

   2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

   2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.

   2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

   2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:

1, 2

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

Cash $ _____, Cashiers Check, Certified Check, Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1. Criminal prosecution

5.2. Rejection of the bid

5.3. Rescission of the award

5.4. Termination of the Contract

BY *(Authorized Signature)* [signature] | DATE SIGNED *(Do not type)* 10/16/13

PRINTED NAME AND TITLE OF PERSON SIGNING
Dick W. Vogels, Vice President

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

## BID ITEM LIST

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity | Unit Price | Item Total |
|---|---|---|---|---|---|---|
| 1 | 070030 | LEAD COMPLIANCE PLAN | LS | LUMP SUM | LUMP SUM | 2750.- |
| 2 | 120090 | CONSTRUCTION AREA SIGNS | LS | LUMP SUM | LUMP SUM | 2000.- |
| 3 | 120100 | TRAFFIC CONTROL SYSTEM | LS | LUMP SUM | LUMP SUM | 154,000- |
| 4 | 120116 | TYPE II BARRICADE | EA | 5 | 50.- | 250.- |
| 5 | 120165 | CHANNELIZER (SURFACE MOUNTED) | EA | 13 | 40.- | 520.- |
| 6 | 129000 | TEMPORARY RAILING (TYPE K) | LF | 860 | 18.- | 15,480.- |
| 7 | 129100 | TEMPORARY CRASH CUSHION MODULE | EA | 14 | 250.- | 3500.- |
| 8 | 130100 | JOB SITE MANAGEMENT | LS | LUMP SUM | LUMP SUM | 2000.- |
| 9 | 130300 | PREPARE STORM WATER POLLUTION PREVENTIONPLAN | LS | LUMP SUM | LUMP SUM | 1650.- |
| 10 | 130330 | STORM WATER ANNUAL REPORT | EA | 2 | 2,000.00 | 4,000.00 |
| 11 | 130560 | TEMPORARY SOIL BINDER | SQYD | 1,400 | 1.50 | 2100- |
| 12 | 130570 | TEMPORARY COVER | SQYD | 1,680 | 1.- | 1680.- |
| 13 | 130620 | TEMPORARY DRAINAGE INLET PROTECTION | EA | 2 | 50.- | 100.- |
| 14 | 130650 | TEMPORARY GRAVEL BAG BERM | LF | 900 | 3.- | 2700.- |
| 15 | 130680 | TEMPORARY SILT FENCE | LF | 1,220 | 4.- | 4880.- |
| 16 | 130710 | TEMPORARY CONSTRUCTION ENTRANCE | EA | 2 | 2500.- | 5000.- |
| 17 | 130730 | STREET SWEEPING | LS | LUMP SUM | LUMP SUM | 1200.- |
| 18 | 130900 | TEMPORARY CONCRETE WASHOUT | LS | LUMP SUM | LUMP SUM | 3000.- |
| 19 | 141103 | REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE) | LF | 740 | 1.65 | 1221.- |
| 20 | 141120 | TREATED WOOD WASTE | LB | 180 | 3- | 540.- |

**BID ITEM LIST**
**07-4H9004**

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity | Unit Price | Item Total |
|---|---|---|---|---|---|---|
| 21 | 150711 | REMOVE PAINTED TRAFFIC STRIPE | LF | 2,090 | 1.10 | 2299.- |
| 22 | 150712 | REMOVE PAINTED PAVEMENT MARKING | SQFT | 300 | 2.20 | 660.- |
| 23 | 150714 | REMOVE THERMOPLASTIC TRAFFIC STRIPE | LF | 870 | 1.10 | 957.- |
| 24 | 150715 | REMOVE THERMOPLASTIC PAVEMENT MARKING | SQFT | 360 | 2.20 | 792.- |
| 25 | 150722 | REMOVE PAVEMENT MARKER | EA | 150 | 2.20 | 330.- |
| 26 | 150742 | REMOVE ROADSIDE SIGN | EA | 3 | 100.- | 300.- |
| 27 | 150771 | REMOVE ASPHALT CONCRETE DIKE | LF | 470 | 2.- | 940.- |
| 28 | 152386 | RELOCATE ROADSIDE SIGN-ONE POST | EA | 8 | 150.- | 1200.- |
| 29 | 152430 | ADJUST INLET | EA | 1 | 1500.- | 1500.- |
| 30 | 153215 | REMOVE CONCRETE (CURB AND GUTTER) | LF | 680 | 8.- | 5440.- |
| 31 | 160102 | CLEARING AND GRUBBING (LS) | LS | LUMP SUM | LUMP SUM | 10,500.- |
| 32 | 190101 | ROADWAY EXCAVATION | CY | 580 | 38.- | 22,040.- |
| 33 | 190105 | ROADWAY EXCAVATION (TYPE Z-2) (AERIALLY DEPOSITED LEAD) | CY | 380 | 170.- | 64,600.- |
| 34 (F) | 192001 | STRUCTURE EXCAVATION | CY | 54 | 65.- | 3510.- |
| 35 (F) | 192037 | STRUCTURE EXCAVATION (RETAINING WALL) | CY | 1,328 | 40.- | 53,120.- |
| 36 (F) | 193001 | STRUCTURE BACKFILL | CY | 15 | 125.- | 1875.- |
| 37 (F) | 193013 | STRUCTURE BACKFILL (RETAINING WALL) | CY | 1,466 | 60.- | 87,960.- |
| 38 (F) | 193031 | PERVIOUS BACKFILL MATERIAL (RETAINING WALL) | CY | 110 | 70.- | 7700.- |
| 39 | 200002 | ROADSIDE CLEARING | LS | LUMP SUM | LUMP SUM | 1000.- |
| 40 | 200120 | CULTIVATE | SQYD | 320 | 5.50 | 1760.- |



REPLACED PER ADDENDUM NO. 2 DATED OCTOBER 10, 2013

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity | Unit Price | Item Total |
|---|---|---|---|---|---|---|
| 41 | 202006 | SDIL AMENDMENT | CY | 18 | 66.- | 1188.- |
| 42 | 202011 | MULCH | CY | 180 | 44.- | 7920.- |
| 43 | 202036 | SLOW RELEASE OR CONTROLLED RELEASE FERTILIZER | LB | 71 | 5.50 | 390.50 |
| 44 | 204013 | PLANT (GROUP M) | EA | 2,480 | 1.75 | 4340.- |
| 45 | 204036 | PLANT (GROUP B) | EA | 62 | 22.- | 1364.- |
| 46 | 204099 | PLANT ESTABLISHMENT WDRK | LS | LUMP SUM | LUMP SUM | 3300.- |
| 47 | 206400 | CHECK AND TEST EXISTING IRRIGATION FACILITIES | LS | LUMP SUM | LUMP SUM | 1650.- |
| 48 | 206401 | MAINTAIN EXISTING IRRIGATION FACILITIES | LS | LUMP SUM | LUMP SUM | 950.- |
| 49 | 206560 | CONTROL AND NEUTRAL CONDUCTORS | LS | LUMP SUM | LUMP SUM | 850.- |
| 50 | 206602 | 1" ELECTRIC REMOTE CONTROL VALVE | EA | 2 | 450.- | 900.- |
| 51 | 026482 | PLASTIC PIPE IRRIGATIDN LINE WITH EMITTERS | LF | 2,860 | 1.60 | 4576.- |
| 52 | 208482 | SPRINKLER (TYPE C-2) | EA | 62 | 22.- | 1364.- |
| 53 | 208560 | FILTER ASSEMBLY UNIT | EA | 1 | 165.- | 165.- |
| 54 | 208575 | 2" GATE VALVE | EA | 1 | 500.- | 500.- |
| 55 (F) | 208595 | 1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE) | LF | 710 | 3.50 | 2485.- |
| 56 (F) | 208597 | 1 1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE) | LF | 25 | 4.40 | 110.- |
| 57 (F) | 208598 | 2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE) | LF | 880 | 5.30 | 4664.- |
| 58 | 026483 | AIR/VACUUM RELIEF VALVE | EA | 1 | 55.- | 55.- |
| 59 | 026484 | AUTOMATIC FLUSH VALVE | EA | 1 | 165.- | 165.- |
| 60 | 208683 | BALL VALVE | EA | 2 | 275.- | 550.- |

**BID ITEM LIST**
**07-4H9004**

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity | Unit Price | Item Total |
|---|---|---|---|---|---|---|
| 61 | 208906 | EXTEND 8" CONDUIT | LF | 15 | 165- | 2,475- |
| 62 | 209202 | RECYCLED WATER WARNING SIGNS | LS | LUMP SUM | LUMP SUM | 1,100- |
| 63 | 260303 | CLASS 3 AGGREGATE BASE (CY) | CY | 670 | 75- | 50,250- |
| 64 | 360200 | BASE BOND BREAKER | SQYD | 1,140 | 1.70 | 1,938- |
| 65 | 280000 | LEAN CONCRETE BASE | CY | 230 | 180- | 41,400- |
| 66 | 280015 | LEAN CONCRETE BASE RAPID SETTING | CY | 110 | 276- | 30,360- |
| 67 | 390132 | HOT MIX ASPHALT (TYPE A) | TON | 280 | 112- | 31,360- |
| 68 | 394073 | PLACE HOT MIX ASPHALT DIKE (TYPE A) | LF | 10 | 35- | 350- |
| 69 | 394074 | PLACE HOT MIX ASPHALT DIKE (TYPE C) | LF | 99 | 35- | 3,465- |
| 70 | 401050 | JOINTED PLAIN CONCRETE PAVEMENT | CY | 180 | 225- | 40,500- |
| 71 | 026485 | JOINTED PLAIN CONCRETE PAVEMENT (RAPID STRENGTH CONCRETE) | CY | 210 | 530- ~~350~~ DWV | 111,300- ~~111~~ DWV ~~73,500~~ DWV |
| 72 | 490508 | FURNISH STEEL PILING (HP 10 X 57) | LF | 5,968 | 28- | 167,104- |
| 73 | 490509 | DRIVE STEEL PILE (HP 10 X 57) | EA | 152 | 1,340- | 203,680- |
| 74 (F) | 510050 | STRUCTURAL CONCRETE | CY | 18 | 1,400- | 25,200- |
| 75 (F) | 510060 | STRUCTURAL CONCRETE, RETAINING WALL | CY | 556 | 520- | 289,120- |
| 76 (F) | 510502 | MINOR CONCRETE (MINOR STRUCTURE) | CY | 9 | 2,500- | 22,500- |
| 77 (F) | 510526 | MINOR CONCRETE (BACKFILL) | CY | 27 | 115- | 3,105- |
| 78 (F) | 520101 | BAR REINFORCING STEEL | LB | 2,070 | 1.30- | 2,691- |
| 79 (F) | 520103 | BAR REINFORCING STEEL (RETAINING WALL) | LB | 63,635 | 1- | 63,635- |
| 80 | 562002 | METAL (BARRIER MOUNTED SIGN) | LB | 190 | 12- | 2,280- |


REPLACED PER ADDENDUM NO. 2 DATED OCTOBER 10, 2013

**BID ITEM LIST**
**07-4H9004**

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity | Unit Price | Item Total |
|---|---|---|---|---|---|---|
| 81 | 566011 | ROADSIDE SIGN - ONE POST | EA | 2 | 350- | 700- |
| 82 | 650018 | 24" REINFORCED CONCRETE PIPE | LF | 42 | 95- | 3,990- |
| 83 | 665017 | 18" CORRUGATED STEEL PIPE (.079" THICK) | LF | 300 | 75- | 22,500- |
| 84 (F) | 721017 | ROCK SLOPE PROTECTION (FACING, METHOD B) (CY) | CY | 6 | 275- | 1,650- |
| 85 | 731504 | MINOR CONCRETE (CURB AND GUTTER) | CY | 12 | 550- | 6,600- |
| 86 (F) | 750001 | MISCELLANEOUS IRON AND STEEL | LB | 2,191 | 1.50 | 3,286.50 |
| 87 | 750007 | FRAME AND GRATE | EA | 7 | 805- | 5,635- |
| 88 (F) | 750500 | MISCELLANEOUS METAL | LB | 1,365 | 5.80 & DWV | 7,917- |
| 89 (F) | 026486 | MISCELLANEOUS METAL (SCREENED PIPE) | LB | 1,091 | 21- | 22,911- |
| 90 | 832003 | METAL BEAM GUARD RAILING (WOOD POST) | LF | 50 | 45- | 2,250- |
| 91 | 832070 | VEGETATION CONTROL (MINOR CONCRETE) | SQYD | 56 | 85- | 4,760- |
| 92 | 839541 | TRANSITION RAILING (TYPE WB) | EA | 1 | 3,700- | 3,700- |
| 93 | 839584 | ALTERNATIVE IN-LINE TERMINAL SYSTEM | EA | 1 | 3,600- | 3,600- |
| 94 | 839585 | ALTERNATIVE FLARED TERMINAL SYSTEM | EA | 1 | 3,200- | 3,200- |
| 95 (F) | 839721 | CONCRETE BARRIER (TYPE 732A) | LF | 479 | 112- | 53,648- |
| 96 (F) | 839723 | CONCRETE BARRIER (TYPE 732B) | LF | 59 | 163- | 9,617- |
| 97 | 840504 | 4" THERMOPLASTIC TRAFFIC STRIPE | LF | 1,830 | .95 | 1,738.50 |
| 98 | 840515 | THERMOPLASTIC PAVEMENT MARKING | SQFT | 780 | 3.40 | 2,652- |
| 99 | 026487 | 4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 16-8) | LF | 1,080 | .90 | 972- |
| 100 | 840656 | PAINT TRAFFIC STRIPE (2-COAT) | LF | 2,320 | .50 | 1,160- |



REPLACED PER ADDENDUM NO. 2 DATED OCTOBER 10, 2013

B-4

| Item No. | Item Code | Item Description | Unit of Measure | Estimated Quantity | Unit Price | Item Total |
|---|---|---|---|---|---|---|
| 101 | 840666 | PAINT PAVEMENT MARKING (2-COAT) | SQFT | 300 | 2.30 | 690- |
| 102 | 850101 | PAVEMENT MARKER (NON-REFLECTIVE) | EA | 140 | 4.40 | 616- |
| 103 | 850111 | PAVEMENT MARKER (RETROREFLECTIVE) | EA | 57 | 5.50 | 313.50 |
| 104 | 860090 | MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION | LS | LUMP SUM | LUMP SUM | 1,100- |
| 105 | 861088 | MODIFY RAMP METERING SYSTEM | LS | LUMP SUM | LUMP SUM | 18,700- |
| 106 | 861501 | MODIFY SIGNAL AND LIGHTING | LS | LUMP SUM | LUMP SUM | 13,200- |
| 107 | 999990 | MOBILIZATION | LS | LUMP SUM | LUMP SUM | 110,000- |

**TOTAL BID:** $1,915,460-

DKH

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

PETERSON-CHASE GENERAL ENGINEERING CONSTRUCTION INC.

**Bidder Name:** _____

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

| **Column 1:** Business Name and Location | **Column 2:** Bid Item Nos. | **Column 3:** Percentage of Bid Item Subcontracted | **Column 4:** Description of Subcontracted Work |
|---|---|---|---|
| Abrasive Blasting Riverside, CA | 19, 21-25 | 100% | Stripe Removal |
| Orange County Striping Service Orange, CA | 97-103 | 100% | Striping |
| Sierra Landscape Development Arcadia, CA | 39-62 | 100% | Landscaping & Irrigation |
| Gerdau Santa Fe Springs, CA | 78, 79<br>76<br>96<br>95 | 100%<br>10%<br>10%<br>30% | Rebar |
| Quality Hydroseeding Ramona, CA | 11 | 100% | Soil Binder |
| Calmex Engineering Bloomington, CA | 67-69 | 100% | Paving |

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

**Bidder Name:** PETERSON-CHASE GENERAL ENGINEERING CONSTRUCTION INC.

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

| **Column 1:** Business Name and Location | **Column 2:** Bid Item Nos. | **Column 3:** Percentage of Bid Item Subcontracted | **Column 4:** Description of Subcontracted Work |
|---|---|---|---|
| ~~Ferreira Construction~~ DWV ~~Chino, CA~~ DWV Ace Fence, La Puente, CA | ~~90,92-94~~ DWV 90,92-94 | 100% | MBGR |
| DWV ~~Foundation Pile, Inc~~ ~~Oakley, CA~~ DWV | ~~72,73~~ | ~~100%~~ | ~~Piles~~ DWV |
| ~~John S. Meek Co.~~ ~~Gardena, CA~~ DWV | ~~72,73~~ | ~~100%~~ | ~~Piles~~ DWV |
| California Professional Engineering La Puente, CA | 104,105, 106 | 100% | Ramp meter, signal & lighting |
| Full Traffic Maintenance Corona, CA | 3 | 100% | Traffic control |
| Tipco Engineering Bellflower | 72,73 | 100% | Furnish & Drive pile |

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**BIDDER'S BOND**

DES-OE-0102.3 (REV 3/2008)

Contract No. 07-4H9004

Bond No. 0284226 Premium Nil

We Peterson-Chase General Engineering Construction, Inc.

as Principal, and

Great American Insurance Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for Construct Retaining Wall & Widen Off-Ramp
*(Copy here the exact description of work, including location, as it appears on the proposal)*
07-LA-60-33.1

for which bids are to be opened at 3347 Michelson Dr. #100 Irvine, CA 92612
*(Insert place where bids will be opened)*

on October 17, 2013.
*(Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: September 6, 20 13.

Correspondence or claims relating to this bond should be sent to the surety at the following address:
750 The City Drive South #470
Orange, CA 92868

Peterson-Chase General Engineering Construction, Inc.

By: [signature]
*Principal*

Great American Insurance Company
*Surety*

By [signature]
Richard A. Coon *Attorney-in-Fact*

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }
County of _____ }

On this _____ *Date* before me, _____ *Here Insert Name and Title of the Officer*,

personally appeared _____ *Name(s) of Signer(s)*,

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature _____
*Signature of Notary Public*

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# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

STATE OF CALIFORNIA

County of Orange }

On 9/6/13 (Date) before me, Lexie Sherwood, Notary Public (Here Insert Name and Title of the Officer),

personally appeared Richard A. Coon (Name(s) of Signer(s)),

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature Lexie Sherwood
Signature of Notary Public

LEXIE SHERWOOD
COMM. # 2031782
NOTARY PUBLIC • CALIFORNIA
ORANGE COUNTY
Comm. Exp. JULY 27, 2017
MGC1

Place Notary Seal Above

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: _____
☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing:

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

Signer's Name: _____
☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing:

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

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# GREAT AMERICAN INSURANCE COMPANY®

**Administrative Office: 301 E 4TH STREET • CINCINNATI, OHIO 45202 • 513-369-5000 • FAX 513-723-2740**

The number of persons authorized by
this power of attorney is not more than FOUR

No. 0 14406

**POWER OF ATTORNEY**

**KNOW ALL MEN BY THESE PRESENTS:** That the GREAT AMERICAN INSURANCE COMPANY, a corporation organized and existing under and by virtue of the laws of the State of Ohio, does hereby nominate, constitute and appoint the person or persons named below, each individually if more than one is named, its true and lawful attorney-in-fact, for it and in its name, place and stead to execute on behalf of the said Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; provided that the liability of the said Company on any such bond, undertaking or contract of suretyship executed under this authority shall not exceed the limit stated below.

| | Name | Address | Limit of Power |
|---|---|---|---|
| DAVID L. CULBERTSON | RICHARD A. COON | ALL OF | ALL |
| CHARLES L. FLAKE | LEXIE SHERWOOD | ANAHEIM, | $75,000,000.00 |
| | | CALIFORNIA | |

This Power of Attorney revokes all previous powers issued on behalf of the attorney(s)-in-fact named above.

IN WITNESS WHEREOF the GREAT AMERICAN INSURANCE COMPANY has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this 20TH day of OCTOBER , 2012 .

Attest GREAT AMERICAN INSURANCE COMPANY

*Assistant Secretary*

*Divisional Senior Vice President*

DAVID C. KITCHIN (877-377-2405)

STATE OF OHIO, COUNTY OF HAMILTON - ss:

On this 20TH day of OCTOBER , 2012 , before me personally appeared DAVID C. KITCHIN, to me known, being duly sworn, deposes and says that he resides in Cincinnati, Ohio, that he is a Divisional Senior Vice President of the Bond Division of Great American Insurance Company, the Company described in and which executed the above instrument; that he knows the seal of the said Company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed by authority of his office under the By-Laws of said Company, and that he signed his name thereto by like authority.

**KAREN L. GROSHEIM**
**NOTARY PUBLIC, STATE OF OHIO**
**MY COMMISSION EXPIRES 02-20-16**

This Power of Attorney is granted by authority of the following resolutions adopted by the Board of Directors of Great American Insurance Company by unanimous written consent dated June 9, 2008.

*RESOLVED: That the Divisional President, the several Divisional Senior Vice Presidents, Divisional Vice Presidents and Divisonal Assistant Vice Presidents, or any one of them, be and hereby is authorized, from time to time, to appoint one or more Attorneys-in-Fact to execute on behalf of the Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; to prescribe their respective duties and the respective limits of their authority; and to revoke any such appointment at any time.*

*RESOLVED FURTHER: That the Company seal and the signature of any of the aforesaid officers and any Secretary or Assistant Secretary of the Company may be affixed by facsimile to any power of attorney or certificate of either given for the execution of any bond, undertaking, contract of suretyship, or other written obligation in the nature thereof, such signature and seal when so used being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.*

## CERTIFICATION

I, STEPHEN C. BERAHA, Assistant Secretary of Great American Insurance Company, do hereby certify that the foregoing Power of Attorney and the Resolutions of the Board of Directors of June 9, 2008 have not been revoked and are now in full force and effect.

Signed and sealed this 6TH day of SEPTEMBER , 2013 .

*Assistant Secretary*

S1029AC (4/11)

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

## OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

Bidder's Name: _____ Contract No. ___ - _____

**I opt out of the payment adjustments for price index fluctuations.**

Date: _____ Signature: _____

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Managament, 1120 N Street, MS-89, Sacramento, CA 95814.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

**CONTRACT NO.** 07 - 4H9004

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

☐ Yes: Certification number? _____ ☑ No

Note: This small business questionnaire is included for statistical reporting only.

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

## CERTIFICATIONS

### FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
    (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

## DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

**Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352**

| | | |
|---|---|---|
| **1. Type of Federal Action:**<br>☐ a. contract<br>b. grant<br>c. cooperative agreement<br>d. loan<br>e. loan guarantee<br>f. loan insurance | **2. Status of Federal Action:**<br>☐ a. bid/offer/application<br>b. initial award<br>c. post-award | **3. Report Type:**<br>☐ a. initial<br>b. material change<br>For Material Change Only:<br>year _____ quarter _____<br>date of last report _____ |
| **4. Name and Address of Reporting Entity:**<br>☐ Prime ☐ Subawardee<br>Tier_____, *if known*<br>Congressional District, *if known*: | **5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:**<br>Congressional District, *if known:* | |
| **6. Federal Department/Agency:** | **7. Federal Program Name/Description:**<br>CFDA Number, if applicable _____ | |
| **8. Federal Action Number, *if known*:** | **9. Award Amount, *if known*:**<br>$ | |
| **10 a. Name and Address of Lobby Registrant**<br>(*if individual, last name, first name, MI*): | **b. Individuals Performing Services (*including address if different from No. 10a*)**<br>(*last name, first name, MI*): | |
| **11.** Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure. | Signature: _____<br>Print Name: _____<br>Title: _____<br>Telephone No.: _____ Date: _____ | |
| **Federal Use Only:** | **Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)** | |

No lobbying

## EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder ✓, proposed subcontractor _____, certifies that he ☑ has ☐ has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

## TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

## UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

## NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of Orange

Dick W. Vogels, being first duly sworn, deposes and says that he or she is Vice President of Peterson-Chase G.E.C., Inc. the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

## CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

## VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

☐ Yes ☑ No If the answer is yes, explain the circumstances in the following space.

## ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder ☐ has ☑ has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

## PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

## BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
   ☐ Yes ☑ No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
   ☐ Yes ☑ No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
   ☐ Yes ☑ No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
   - 4.1. Date of each nonresponsibility determination
   - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
   - 4.3. Contract number for each nonresponsibility determination

**END CERTIFICATIONS**