

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

7 A-1

CONSTRUCTION ON STATE HIGHWAY IN  
LOS ANGELES COUNTY AT VARIOUS LOCATIONS FROM MAIN STREET UNDERCROSSING TO  
IMPERIAL HIGHWAY UNDERCROSSING  
In District 07 on Route 405

UNDER

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Notice to Bidders and Special Provisions dated October 26, 2015  
Project plans approved June 15, 2015  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 07-290004

07-LA-405-R12.6/R21.2

Project ID 0712000016

FEDERAL-AID PROJECT  
ACNHPI-405-2(977)E

Bids open Thursday, December 17, 2015

Dated October 26, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 07-290004 Project ID: 0712000016

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Peterson-Chase General Engineering Construction, Inc.

Business Street Address 1792 Kaiser Avenue

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Irvine, CA, 92614

Telephone No: AREA CODE 949-252-0441

Fax No: AREA CODE 949-252-0266

Contractor License No. 615876

Public Works Contractor Registration No. 1000004108

Checksum F5409BDD

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda: 2  
12-10-15 Revise Project Plans, Notice to Bidders and Special Provisions, Bid
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Gregory Chase AND

TITLE OF PERSON SIGNING: President

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BY (Authorized signature)

DATE SIGNED (Do not type)

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	2,500.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM LS	LUMP SUM	8,500.00
3	090100	TIME-RELATED OVERHEAD (WDAY)	250.0 WDAY	1,300.00	325,000.00
4	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	16,000.00
5	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	400,000.00
6	120165	CHANNELIZER (SURFACE MOUNTED)	330.0 EA	35.00	11,550.00
7	129000	TEMPORARY RAILING (TYPE K)	23,000.0 LF	14.00	322,000.00
8	129100	TEMPORARY CRASH CUSHION MODULE	450.0 EA	235.00	105,750.00
9	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	12,000.00
10	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	2,500.00
11	130310	RAIN EVENT ACTION PLAN	12.0 EA	500.00	6,000.00
12	130320	STORM WATER SAMPLING AND ANALYSIS DAY	3.0 EA	680.00	2,040.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130330	STORM WATER ANNUAL REPORT	1.0 EA	2,000.00	2,000.00
14	130570	TEMPORARY COVER	300.0 SQYD	10.00	3,000.00
15	130620	TEMPORARY DRAINAGE INLET PROTECTION	90.0 EA	50.00	4,500.00
16	130640	TEMPORARY FIBER ROLL	600.0 LF	4.00	2,400.00
17	130710	TEMPORARY CONSTRUCTION ENTRANCE	2.0 EA	2,500.00	5,000.00
18	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	6,000.00
19	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	10,000.00
20	141120	TREATED WOOD WASTE	159,000.0 LB	0.08	12,720.00
21	150221	ABANDON INLET	3.0 EA	650.00	1,950.00
22	150227	ABANDON PIPELINE	2.0 EA	2,200.00	4,400.00
23	150661	REMOVE GUARDRAIL	9,800.0 LF	8.50	83,300.00
24	150668	REMOVE FLARED END SECTION	2.0 EA	100.00	200.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	150711	REMOVE PAINTED TRAFFIC STRIPE	13,100.0 LF	0.60	7,860.00
26	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	13,100.0 LF	0.67	8,777.00
27	150722	REMOVE PAVEMENT MARKER	4,470.0 EA	1.10	4,917.00
28	150744	REMOVE ROADSIDE SIGN (WOOD POST)	12.0 EA	100.00	1,200.00
29	150771	REMOVE ASPHALT CONCRETE DIKE	7,000.0 LF	3.00	21,000.00
30	150812	REMOVE PIPE (LF)	200.0 LF	35.00	7,000.00
31	150820	REMOVE INLET	10.0 EA	750.00	7,500.00
32	152430	ADJUST INLET	7.0 EA	1,950.00	13,650.00
33	153121	REMOVE CONCRETE (CY)	20.0 CY	225.00	4,500.00
34	153130	REMOVE CONCRETE CURB (LF)	6,960.0 LF	6.50	45,240.00
35	155003	CAP INLET	10.0 EA	1,700.00	17,000.00
36	155232	SAND BACKFILL	14.0 CY	125.00	1,750.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	190101	ROADWAY EXCAVATION	5,290.0 CY	55.00	290,950.00
38	190105	ROADWAY EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD)	4,400.0 CY	180.00	792,000.00
39	194001	DITCH EXCAVATION	110.0 CY	100.00	11,000.00
40	198010	IMPORTED BORROW (CY)	140.0 CY	60.00	8,400.00
41	200002	ROADSIDE CLEARING	LUMP SUM LS	LUMP SUM	18,000.00
42	202006	SOIL AMENDMENT	60.0 CY	70.00	4,200.00
43	202039	SLOW-RELEASE FERTILIZER	270.0 LB	11.00	2,970.00
44	204008	PLANT (GROUP H)	28,400.0 EA	0.80	22,720.00
45	204035	PLANT (GROUP A)	370.0 EA	16.00	5,920.00
46	204099	PLANT ESTABLISHMENT WORK	LUMP SUM LS	LUMP SUM	13,750.00
47	205035	WOOD MULCH	69.0 CY	66.00	4,554.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	206400	CHECK AND TEST EXISTING IRRIGATION FACILITIES	LUMP SUM LS	LUMP SUM	14,000.00
49	206560	CONTROL AND NEUTRAL CONDUCTORS	LUMP SUM LS	LUMP SUM	9,000.00
50	206562	1" REMOTE CONTROL VALVE	5.0 EA	520.00	2,600.00
51	206564	1 1/2" REMOTE CONTROL VALVE	36.0 EA	540.00	19,440.00
52	BLANK		LUMP SUM LS	LUMP SUM	0.00
53	206757	16-18 STATION IRRIGATION CONTROLLER (WALL MOUNTED)	2.0 EA	6,600.00	13,200.00
54	206758	24-32 STATION IRRIGATION CONTROLLER (WALL MOUNTED)	1.0 EA	10,000.00	10,000.00
55	208301	IRRIGATION CONTROLLER ENCLOSURE CABINET	3.0 EA	3,300.00	9,900.00
56	BLANK		LUMP SUM LS	LUMP SUM	0.00
57	BLANK		LUMP SUM LS	LUMP SUM	0.00
58	BLANK		LUMP SUM LS	LUMP SUM	0.00
59	208446	RISER SPRINKLER ASSEMBLY (GEAR DRIVEN)	570.0 EA	60.00	34,200.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	BLANK		LUMP SUM LS	LUMP SUM	0.00
61(F)	208594	3/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	7,070.0 LF	4.00	28,280.00
62(F)	208595	1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	4,390.0 LF	4.40	19,316.00
63(F)	208596	1 1/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	4,140.0 LF	4.50	18,630.00
64(F)	208597	1 1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	3,200.0 LF	4.70	15,040.00
65(F)	208598	2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	3,370.0 LF	5.50	18,535.00
66(F)	208599	2 1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	2,490.0 LF	7.20	17,928.00
67	208683	BALL VALVE	16.0 EA	220.00	3,520.00
68	260303	CLASS 3 AGGREGATE BASE (CY)	1,410.0 CY	80.00	112,800.00
69	280000	LEAN CONCRETE BASE	710.0 CY	170.00	120,700.00
70	390136	MINOR HOT MIX ASPHALT	1,620.0 TON	237.00	383,940.00
71	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	1,500.0 LF	7.00	10,500.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	760.0 LF	7.00	5,320.00
73	401050	JOINTED PLAIN CONCRETE PAVEMENT	1,810.0 CY	275.00	497,750.00
74	410097	DRILL AND BOND (TIE BAR)	2,190.0 EA	35.00	76,650.00
75	414240	ISOLATION JOINT SEAL (ASPHALT RUBBER)	6,160.0 LF	4.50	27,720.00
76 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	399.0 CY	1,885.00	752,115.00
77 (F)	510526	MINOR CONCRETE (BACKFILL)	134.0 CY	160.00	21,440.00
78	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063" - UNFRAMED)	160.0 SQFT	18.00	2,880.00
79	566011	ROADSIDE SIGN - ONE POST	14.0 EA	385.00	5,390.00
80	030215	10" PLASTIC PIPE	33.0 LF	60.00	1,980.00
81	650014	18" REINFORCED CONCRETE PIPE	6,180.0 LF	125.00	772,500.00
82	650018	24" REINFORCED CONCRETE PIPE	2,880.0 LF	148.00	426,240.00
83	665018	18" CORRUGATED STEEL PIPE (.109" THICK)	130.0 LF	110.00	14,300.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
84	703233	GRATED LINE DRAIN	3,570.0 LF	143.00	510,510.00
85	705204	18" CONCRETE FLARED END SECTION	3.0 EA	500.00	1,500.00
86	705206	24" CONCRETE FLARED END SECTION	2.0 EA	550.00	1,100.00
87	721017	ROCK SLOPE PROTECTION (FACING, METHOD B) (CY)	9.0 CY	320.00	2,880.00
88(F)	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	33.0 SQYD	10.00	330.00
89	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	6.0 CY	2,000.00	12,000.00
90(F)	750001	MISCELLANEOUS IRON AND STEEL	56,597.0 LB	1.35	76,405.95
91	820118	GUARD RAILING DELINEATOR	160.0 EA	20.00	3,200.00
92	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	4,000.0 LF	24.00	96,000.00
93	832070	VEGETATION CONTROL (MINOR CONCRETE)	2,550.0 SQYD	70.00	178,500.00
94	839543	TRANSITION RAILING (TYPE WB-31)	13.0 EA	3,350.00	43,550.00
95	839566	TERMINAL SYSTEM (TYPE CAT)	1.0 EA	5,500.00	5,500.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
96	839576	END CAP (TYPE A)	3.0 EA	80.00	240.00
97	839581	END ANCHOR ASSEMBLY (TYPE SFT)	9.0 EA	700.00	6,300.00
98	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	13.0 EA	3,650.00	47,450.00
99	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	5.0 EA	2,544.00	12,720.00
100	030216	CRASH CUSHION (TYPE SCI-100GM)	2.0 EA	42,900.00	85,800.00
101(F)	030217	CONCRETE BARRIER (TYPE 736B MOD 1)	13,190.0 LF	180.00	2,374,200.00
102(F)	030218	CONCRETE BARRIER (TYPE 736B MOD 2)	3,268.0 LF	172.00	562,096.00
103(F)	030219	CONCRETE BARRIER (TYPE 736B MOD 3)	4,030.0 LF	162.00	652,860.00
104	840504	4" THERMOPLASTIC TRAFFIC STRIPE	7,820.0 LF	0.60	4,692.00
105	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	20,900.0 LF	0.53	11,077.00
106	840656	PAINT TRAFFIC STRIPE (2-COAT)	28,700.0 LF	0.38	10,906.00
107	850101	PAVEMENT MARKER (NON-REFLECTIVE)	3,560.0 EA	1.30	4,628.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
108	850111	PAVEMENT MARKER (RETROREFLECTIVE)	920.0 EA	2.70	2,484.00
109	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	5,500.00
110	860889	MODIFY TRAFFIC MONITORING STATION	LUMP SUM LS	LUMP SUM	55,000.00
111	030220	MODIFY COMMUNICATION SYSTEM	LUMP SUM LS	LUMP SUM	1,391,000.00
112	030221	WORK AT NWK HUB	LUMP SUM LS	LUMP SUM	3,300.00
113	030222	WORK AT LAX HUB	LUMP SUM LS	LUMP SUM	11,000.00
114	030223	WORK AT LARTMC	LUMP SUM LS	LUMP SUM	85,000.00
115	861088	MODIFY RAMP METERING SYSTEM	LUMP SUM LS	LUMP SUM	110,000.00
116	861504	MODIFY LIGHTING AND SIGN ILLUMINATION	LUMP SUM LS	LUMP SUM	540,000.00
117	869075	SYSTEM TESTING AND DOCUMENTATION	LUMP SUM LS	LUMP SUM	16,500.00
118	BLANK		LUMP SUM LS	LUMP SUM	0.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
119	20448 ASSEMBLY	RISER SPRINKLER	480.0 EA	38.00	18,240.00
120	560248 (0-080"-UNFRAMED)	FURNISH SINGLE SHEET ALUMINUM SIGN	16.0 SQFT	25.00	400.00
121	839703 (TYPE 60c)	CONCRETE BARRIER	200.0 LF	190.00	38,000.00
122	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	100,000.00
Total Bid					\$13,210,850.95

Bidding Firm: Peterson-Chase General Engineering Construction, Inc.

List this sub? Yes

- 1) Business Name Austin Enterprise  
Location City Bakersfield State CA  
California Contractor License Number 764893  
Public Works Contractor Registration Number 1000001896  
Portion of Work Subcontracted:

Item %	Description
75 95	Isolation Joint Seal

List this sub? Yes

- 2) Business Name Hardy & Harper, Inc.  
Location City Santa Ana State Ca  
California Contractor License Number 215952  
Public Works Contractor Registration Number 1000000076  
Portion of Work Subcontracted:

Item %	Description
70 95	Minor HMA
71 95	AC Dike
72 95	AC Dike

List this sub? Yes

- 3) Business Name Squires General Contractors, Inc.  
Location City Mission Viejo State CA  
California Contractor License Number 641471  
Public Works Contractor Registration Number 1000030985  
Portion of Work Subcontracted:

Item %	Description
20 95	Treated wood waste
23 95	Remove metal beam guardrail
91 95	Guardrail delineator
92 95	Midwest guardrail, wood post
94 95	Transition rail

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DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Peterson-Chase General Engineering Construction, Inc.

List this sub? Yes

- 4) Business Name Squires General Contractors, Inc.
- Location City Mission Viejo State CA
- California Contractor License Number 641471
- Public Works Contractor Registration Number 1000030985
- Portion of Work Subcontracted:

Item %	Description
95 95	Terminal system type CAT
96 95	End cap type A
97 95	End anchor
98 95	Alternative in-line terminal system
99 95	Alternative flared terminal system

List this sub? Yes

- 5) Business Name Gerdau
- Location City San Diego State CA
- California Contractor License Number 974202
- Public Works Contractor Registration Number 100000435
- Portion of Work Subcontracted:

Item %	Description
101 23	Concrete barrier
102 21	Concrete barrier
103 21	Concrete barrier

List this sub? Yes

- 6) Business Name Cal Stripe
- Location City Colton State CA
- California Contractor License Number 685387
- Public Works Contractor Registration Number 1000001100
- Portion of Work Subcontracted:

Item %	Description
25 95	Remove painted stripe
26 95	Remove thermo stripe
27 95	Remove pvmt marker
104 95	Thermo stripe
105 95	Thermo stripe

Bidding Firm: Peterson-Chase General Engineering Construction, Inc.

List this sub? Yes

7) Business Name Cal Stripe  
Location City Colton State CA  
California Contractor License Number 685387  
Public Works Contractor Registration Number 1000001100  
Portion of Work Subcontracted:

Item %	Description
106 95	Paint traffic stripe
107 95	Pvmt marker
108 95	Pvmt marker

List this sub? Yes

8) Business Name High-Light Electric, Inc.  
Location City Riverside State CA  
California Contractor License Number 806335  
Public Works Contractor Registration Number 1000002760  
Portion of Work Subcontracted:

Item %	Description
109 95	Maintain existing TMS elements during construction
110 95	Modify TMS
111 95	Modify communication system
112 95	Work at NWK HUB
113 95	Work at LAX HUB

List this sub? Yes

9) Business Name High-Light Electric, Inc.  
Location City Riverside State CA  
California Contractor License Number 806335  
Public Works Contractor Registration Number 1000002760  
Portion of Work Subcontracted:

Item %	Description
114 95	Work at LARTMC
115 95	Modify RMS
116 95	Modify lighting and sign illumination
117 95	System testing and documentation

Bidding Firm: Peterson-Chase General Engineering Construction, Inc.

List this sub? Yes

10) Business Name Sierra Landscape Development, Inc.  
Location City Arcadia State CA  
California Contractor License Number 514938  
Public Works Contractor Registration Number 1000004455  
Portion of Work Subcontracted:

Item %	Description
41 95	Roadside clearing
42 95	Soil amendment
43 95	Slow-Release Fertilizer
44 95	Plant group H
45 95	Plant group A

List this sub? Yes

11) Business Name Sierra Landscape Development, Inc.  
Location City Arcadia State CA  
California Contractor License Number 514938  
Public Works Contractor Registration Number 1000004455  
Portion of Work Subcontracted:

Item %	Description
46 95	Plant establishment work
47 95	Wood mulch
48 95	Check and test irrigation facilities
49 95	Control and neutral conductors
50 95	Remote control valve

List this sub? Yes

12) Business Name Sierra Landscape Development, Inc.  
Location City Arcadia State CA  
California Contractor License Number 514938  
Public Works Contractor Registration Number 1000004455  
Portion of Work Subcontracted:

Item %	Description
51 95	Remote control valve
53 95	Station irrigation controller
54 95	Station irrigation controller
55 95	Irrigation controller enclosure cabinet
59 95	Riser sprinkler assembly

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DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Peterson-Chase General Engineering Construction, Inc.

List this sub? Yes

13) Business Name Sierra Landscape Development, Inc.  
Location City Arcadia State CA  
California Contractor License Number 514938  
Public Works Contractor Registration Number 1000004455  
Portion of Work Subcontracted:

Item %	Description
61 95	Plastic pipe
62 95	Plastic pipe
63 95	Plastic pipe
64 95	Plastic pipe
65 95	Plastic pipe

List this sub? Yes

14) Business Name Sierra Landscape Development, Inc.  
Location City Arcadia State CA  
California Contractor License Number 514938  
Public Works Contractor Registration Number 1000004455  
Portion of Work Subcontracted:

Item %	Description
66 95	Plastic pipe
67 95	Ball valve
119 95	Riser sprinkler assembly



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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

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SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 07-290004 Project ID: 0712000016

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 07-290004 Project ID: 0712000016

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 07-290004 Project ID: 0712000016

Bidder Name: Peterson-Chase General Engineering Const Bidder ID: VC0000007931

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Peterson-Chase General Engineering Construction, Inc., proposed subcontract certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Orange

Name Gregory Chase,

being first duly sworn, deposes and says that he or she is

President of

Peterson-Chase General Engineering Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN LOS ANGELES COUNTY AT VARIOUS LOCATIONS FROM MAIN STREET UNDERCROSSING TO IMPERIAL HIGHWAY UNDERCROSSING In District 07 on Route 405 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 12-17-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

**Bond Details for: Bid Bond**

**Status:** In Effect  
**Bond Form:** CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)  
**Current Effective Date:** 11/2/2015  
**Expiration Date:** 1/31/2016  
**Subsidiary:** Peterson-Chase General Engineering Construction, Inc.  
**Description:** #07-290004 Construct Concrete Barriers and Install Midwest Guard Rails (07-LA-405-R12.6/R21.2)  
**Contract Date:**  
**Type of Bond:** Contract  
**SAA Code:** Bid Bond(000)  
**Initial Effective Date:** 11/2/2015

**Principal**

**Name:** Peterson-Chase General Engineering Construction, Inc.  
**Address:** 1792 Kaiser Avenue  
 Irvine, CA 92614  
**State of Incorporation:** CA

**Obligee**

**Name:** California Department of Transportation  
**Address:**  
 CA  
**State of Obligation:** CA  
**Obligee Type:** unknown

**Agency Information**

**Agency:** Culbertson Insurance Services, Inc.  
**Site:** Culbertson Insurance Services, Inc. (Anaheim, CA)  
**Attorney-in-fact:** David L. Culbertson  
**State of Execution:** CA

**Bond Form Custom Fields**

**Bid Location:** 1727 30th Street MS-26, Sacramento, CA 95816  
**Contract IO No. Required for Electronic Bidding:** 07-290004  
**Contractors ID No. Required for Electronic Bidding:** VC0000007931  
**Principal Signature Name:** Gregory Chase  
**Principal Signature Name Title:** President

**Other Information**

**Bid Date:** 12/17/2015  
**Bid Bond Amount:** 10%  
**SurePath ID (Long):** {5CEC8A19-3BCD-4FB3-A11E-63BC41023214}  
**Bond Validation Number(BVN):** WLWV-AWYA-8R33-AANL [E-Mail BVN](#)

**Issuing Carriers**

**Great American Insurance Company**

**Bond Number:** Bid Bond  
**Premium Amount:** \$0.00  
**State Surcharge:** \$0.00  
**Municipal Tax:** \$0.00

**Comments**