

3rd (In Bid Book)

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**CERTIFIED DVBE SUMMARY**  
OES-OE-0102.5 (REV 3/2008)

**THIRD BIDDER**

DISTRICT-COUNTY-ROUTE: 07 - LA - 1, 10, 101, 187  
CONTRACT NO.: 07-1W3804  
TOTAL BID: 890,000  
BID OPENING DATE: 2/7/13  
BIDDER'S NAME: J Francis Company  
DVBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup>

Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE <sup>2</sup>	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	\$ Amount
1	Lead Compliance plan		Global Environmental Network Inc.	785-
2	Const. Area Signs (furnish)		(714)479-1199	20780.50
5	Prepare WPC P		#24765	7125-
8	Public Safety Plan			3270-
19	Structural Approach Slab (Trucking)		DVBE Trucking & Const. Co Inc. (408)71-4430 #41891	14,880-
Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.			<b>Total Claimed Participation</b>	\$ <u>46840.50</u> <u>5.3</u> %

- DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).
- If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Diana Hanna 2-7-13  
Signature of Bidder Date

(951)776-9100  
(Area Code) Telephone Number

Submit to:  
MSC 43  
OFFICE ENGINEER  
DEPARTMENT OF TRANSPORTATION  
1727 30TH STREET  
SACRAMENTO, CA 95816-7005

Diana Hanna  
Contact Person (Type or Print)

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**CERTIFIED SMALL BUSINESS LISTING FOR THE NON-SMALL BUSINESS PREFERENCE**

DES-OE-0102.8 (REV 2/2011)

**BIDDER NAME** J. Francis Company

**CONTRACT NO.** 07-1W3804

List the description of work, name, telephone number, certification number, and dollar amount of each certified small business who will be employed on this project. Failure to provide this information may be cause for denial of the non-small business subcontractor preference. Attach additional sheets if necessary.

Submit to:

MSC 43  
 OFFICE ENGINEER  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET  
 SACRAMENTO, CA 95816-7005

N/A

Bid Item Number	Description of Work, Service, or Materials	Certified Small Business (Name, Telephone No., and Certification No.)	\$ Amount
		N/A	

Person to Contact \_\_\_\_\_ (Please Type or Print)

**Total Claimed Participation**

\$ \_\_\_\_\_

(Area Code) Telephone Number \_\_\_\_\_

\_\_\_\_\_ % of Contract

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.



# GLOBAL ENVIRONMENTAL NETWORK, INC.

## ENVIRONMENTAL, SAFETY & CONSTRUCTION ENGINEERING SERVICES

Box 8068, Fountain Valley, CA. 92728-8068

8390 Capwell Drive, Oakland, CA 94621

[www.My-DVBE.com](http://www.My-DVBE.com)

License No. 878478 - A / Haz

Phone: 714-479-1199

email: [GENI@SafetyGeni.com](mailto:GENI@SafetyGeni.com)

Fax: 714-479-0809

CA DVBE / SBE No. 24765

DVBE / Est. \$890K

GENI Ref. No. 07-1W3804

Bid Date: 2/7/2013

February 1, 2013

Duration: 130 Working Days

**SUBJECT: CA DVBE / SBE QUOTATION - CALTRANS# 07-1W3804**

**07-LA, VEN-1,10,101,187-VAR;**

**TREAT BRIDGE DECK, REPAIR SPALLS AND REPLACE JOINT SEALS**

**\*\*\* Acceptance of This Bid is good for 30 Days from Bid Date. \*\*\***

**Item 1 > Lead Compliance Plan (LCP) for Aerially Deposited Lead (ADL) and Traffic Stripe Removal / Pavement Markings (TSR/PM) <sup>1,3,5,7</sup>**

Options:

- |  |              |
|--|--------------|
| 1) Lead Plan only (Certified by CIH); or                       | \$785.00 ✕ 1 |
| 2) Lead Plan (Certified by CIH) and Awareness Training; or     | \$1,465.00   |
| 3) Lump Sum (plan, training, air monitoring, analysis, report) | \$2,790.00   |

**Item 2 > Construction Area Signs Furnished (Unframed) <sup>1,3,9,10</sup>**

Lump Sum

\$20,780.50 ✕ 1

Price based on 1,806.75 sqft of unframed signage (CAS) from sheets 3-7 & 18; CS-1-5 & SQ-1

Note: Pricing does not include Lumber, Straps, Shipping or Sales Tax

**Item 3 > Traffic Control (partial)**

> Arrow Boards <sup>1,2,3,8</sup>

4 Weeks Rate (each unit) \$565.00\*

7 Days Rate (each unit) \$275.00\*

> Portable Changeable Message Signs (partial) <sup>1,2,3,8</sup>

4 Weeks Rate (each unit) \$1,225.00\*

7 Days Rate (each unit) \$575.00\*

\* Equipment delivery / pick-up rates for Items 3 and 4

Pick up or drop off by customer at GENI's Facility. No Fees

**Item 4 > Job Site Management (partial) <sup>1,3,6,7</sup>**

Lump Sum

\$185.00

JSM Package - Option 1

This Job Site Management package includes a project specific JSM binder that an experienced Water Pollution Control Manager can use to assist them in meeting the project's Job Site Management requirements.

OR

Lump Sum

\$1,485.00

JSM Package - Option 2

Instructor led, on-site training course, a "Train the Trainer" course and a "Training CD" for your future training requirements. The JSM package includes a project specific JSM binder with a full colored Employee Training section. Five student manuals are also included in this package for the required training

### SAFETY COURSES OFFERED BY SafetyGENI™

#### Crane Training

CCO Written Exams  
CCO Practical Exams

#### Traffic Control Coning & Flagging Awareness

#### Safety Orientation for Construction

#### Scaffold Safety Awareness

#### Fall Protection Awareness

#### Trench Safety Competent Person Awareness

#### Trench Rescue

#### Confined Space

#### Confined Space Rescue

#### Urban Search & Rescue

#### Respirator Training & Fit Testing

#### CPR & First Aid

#### Crane Operator Awareness

#### Forklift Training Awareness

#### Aerial Lift Awareness

#### Hearing Conservation Training

#### DOT HM 126F/181 Awareness

#### Emergency Response Awareness / Refresher Operational Technician Specialist

#### HAZWOPER Awareness / Refresher Supervisor Waste Site Worker

#### Household Hazardous Waste

#### Asbestos Training

#### Lead Training

#### 24 Hr SWPPP Training

**Item 5 > Prepare Water Pollution Control Program (WPCP)** <sup>1,3,6,7</sup>

Lump Sum (Est. 15 Engineering Drawings)

Option 1.A – *Plan Written by a QSP*

\$475.00 × 1

Pricing is when GENI is hired as the WPCM conducting inspections.  
 See Additional WPCM services below:

Option 1.B – *Plan Written by a QSP* and GENI is not the WPCM

\$925.00

Additional WPCP Services:

> **Water Pollution Control Manager (WPCM):**

GENI can be your WPCM for this project – Option 2 plus Set up fee \$345 plus: QSP field work @ \$78.50/hr Portal to Portal (6.0hr base rate plus process and mileage) from GENI's field office in Santa Ana, CA. For bidding purposes only estimate at \$475.00/wk. Price × 15 includes CEM 2040 and once per week inspection documented on CEM 2030. Pre/During/Post will be an additional cost at \$375.00/day.

× 15

**Item 8 > Public Safety Plan (PSP) / Airborne Emissions Monitoring Plan (AEMP) for High Molecular Weight Methacrylate (HMWM) (Partial)** <sup>1,3,5,7</sup>

Airborne Emissions Monitoring Plan

\$875.00

Lump Sum (package)

\$3,270.00<sup>#</sup> × 1

This estimate includes mobilization, 1 bridge needing one direction air monitoring for HMWM treatment and 0 weekends (non-consecutive days) an Airborne Emissions Monitoring Plan, pumps, test media, lab analysis and final report.

See notes below to develop your own estimates

Additional work:

Airborne Emissions Monitoring Plan

\$875.00

Each additional evening (8 hours or less)

\$975.00

Each additional test for HMWM (includes pumps & 5 samples)

\$525.00

Each additional mobilization

\$1,195.00<sup>#</sup>

Note: Estimates are for bidding purposes only. The actual pricing may change upon requirements of RE or contractor.

Each additional lab report

\$675.00

(May be required if time between mobilization require a separate report)

<sup>#</sup> Pricing is with 2 wks written notice confirming date and time of required field work. Less than 2 week notice may increase the price of mobilization to increase by up to \$350.00 per mobilization / false starts.

**Item 27 > Furnish Single Sheet Aluminum Signs** <sup>1,3,9,10</sup>

Lump Sum

\$555.00

(0.063" – Unframed) 37 SQFT

Note: Pricing does not include Lumber, Straps, Shipping or Sales Tax



**GLOBAL ENVIRONMENTAL NETWORK, INC.**  
ENVIRONMENTAL, SAFETY, HEALTH, & CONSTRUCTION ENGINEERING  
4 Locations in CA: Irvine # 949-263-1000 - Corona # 951-778-1000 - Anaheim # 714-479-1199 - www.geni.com  
P.O. Box 8068 Fountain Valley, CA 92728 • Phone: 714-479-1199 • Fax: 714-479-0809  
**Santa Ana, CA | Oakland, CA | Guam/Marianas**

**Located in Santa Ana, Anaheim, Nipomo, Santa Cruz and Oakland California**

## **Leaders In CA General Permit Compliance**

Global Environmental Network, Inc. (GENI) specializes in SWPPP QSD / QSP Services and associated training requirements. GENI's Team consists of Civil, Biological, and Geological Engineers, as well as CPESC. We are experienced in all stages of development process from initial concept to final completion. We recognize that clients and projects are unique and therefore provide leadership, knowledge, and solutions that adapt to every situation and pride ourselves in our total commitment to our clients.

With critical changes in requirements under the new CA General Permit, you'll need to trust your Stormwater Management Team. Global Environmental Network, Inc. is a leader in providing Environmental Health & Safety Consulting and Training for over 18 years. As a benchmark in our industry, our SWPPP Training Program was the first approved Training Program by CalTrans and AGC of CA. Our reputable staffs are experts in their field and are ready to assist you with consulting, training, or SWPPP revisions to assure compliance

**Global Environmental Network, Inc. (GENI®)** is a regionally strong consulting firm providing environmental and safety consulting and support services to public and private clients throughout all of California. Elements of our services include:

### **Certifications-Qualifications**

Class A Contractor's License with Hazardous Waste Certificate (B78478)  
Site Safety Officer (OSHA 500 & EM 385 certified)  
Construction Management Oversight  
Biologist Field Technician  
Certified Industrial Hygienist (CIH)  
Certified Professional Geologist (PG)  
Certified Asbestos Consultant (CAC)  
Certified Lead Inspector / Assessor  
Certified Professional in Erosion & Sediment Control (CPESC)  
Qualified Storm Water Professional (QSP)  
Qualified Storm Water Developer (QSD)  
QSP/QSD Trainer of Record (Tor)

### **Safety and Environmental Plans:**

Hazardous Materials Mitigation Plans (HMMP)  
NPDES / SWPPP  
Sampling And Analysis Plans (SAP)  
Health & Safety Plans (HASP) for  
Naturally Occurring Asbestos  
Soil and Airborne hazard / non-hazard constituents  
Dust Plans and  
Material Transportation Plans

### **Additional services include**

Water and Soil Monitoring and Sampling Services and Training in over 100 Cal / Fed OSHA safety courses including  
EM 385, OSHA 10 and 30 Hr Construction  
24 Hr. SWPPP, Noise, Air and Soil Monitoring  
State Certified HAZWOPER (CSTI)  
Crane, Confined Space, Traffic Control  
Industrial Hygiene Equipment Usage  
All levels of Lead and Asbestos

**SUPERSCRIPT NOTES**

- <sup>1.</sup> GENI carries the following insurance: \$2,000,000 General Liability / Pollution, \$1,000,000 Auto / Workman's Compensation insurance and an umbrella of \$2 Million over GL/Auto/WC.
  - A one time fifty dollar (\$50.00) processing fee will be added to all contracts **requiring insurance endorsements** for General Liability, Workman's Comp and / or Auto Insurance.
  - Insurance endorsements requiring CG2010#### or similar insurance and Primary wording will be charged an additional Two Hundred Seventy Five Dollar (\$275.00) processing fee.
- <sup>2.</sup> Lease / Rentals: All items property of GENI and its Subcontractors; Sign installation excludes any cutting or coring of asphalt; Prices based on quantities noted. Customer is responsible for all vandalized, damaged or loss of equipment and completion of our indemnification agreement form. GENI shall be named as an additional insured and loss payee under the end users insurance policy. All construction area sign bids include installation and removal of signs only when noted on our bid line item.
- <sup>3.</sup> Caltrans allocation of DVBE Credit 100%. Credit for participation of a DVBE subcontractor, supplier, or broker will be 100 percent provided such DVBE is performing a commercially useful function.
- <sup>5.</sup> **Option 1:** Lead Compliance Plan certified by a CIH; **Option 2:** Includes option 1 and 2 hr. lead training for up to 10 personnel; **Option 3:** includes options 1 & 2 and air monitoring on same day as training, laboratory testing for up to eight 8 hour TWA samples (48 hr turn-around if required); Report Preparation by the CIH.

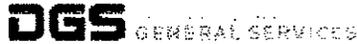
**Additional Work:** Air Monitoring for heavy metals (IH Technician @ \$75.00/Hr, CIH @ \$145.00/Hr both requiring 8 consecutive hrs min), Laboratory Analysis (at cost plus 20%); Price includes one move-in and rental of equipment. Additional move-in will be charged at **\$275.00** plus hourly personnel and equipment rates. **Call for published rate sheet.**
- <sup>6.</sup> Price includes submittal of Three (3) copies of the Final WPCP delivered via FedEx ground. NOTE: GENI does not provide draft copies of its WPCP. Occasionally corrections and or additions will be necessary due to a multitude of reasons, upon the contractor becoming aware of any potential or required correction they are to provide a written request to GENI for our review and plan update if necessary. Corrections / additions to the plan due to errors or omissions on behalf of the contractor or the Agency may be billed to the contractor as time and material as noted below as T&M. Engineered designs required by a registered Civil Engineer are not part of this contract. **This bid does not include any associated filing fees or the design / layout of dewatering, recycling or batch plant BMP systems / drawings.**

Maintenance of SWPPP billing rates will be billed as Time & Material (T&M): T&M will be billed at a standard rate of \$92.50/hour for non-Civil Engineering services and \$145.00 / hour for Registered Civil Engineering services with all services being billed in ½ hour increments. Additional manuals: billed at \$75.00 for the initial manual and \$55.00 for each consecutively assembled manual. Material / additional expenditures will be billed at cost plus \$50.00 or cost plus 20 percent which ever is greater.
- <sup>7.</sup> Unless specifically stated in this bid proposal the customer will pay for all additional shipping charges at cost plus a 15% handling fee.
- <sup>8.</sup> (2) week minimum, GENI does not hold a C-31 License and cannot place equipment on site. Delivery / pick-up are not included in base price. When contracted to deliver or pick up equipment it is to delivered / picked up at contractor's facility or project yard. Rental is time out, not time used. Delivery times cannot be guaranteed. ALL LEASES ARE SUBJECT TO AVAILABILITY. Prices are for this project only. Regular pricing will be \$1,375.00 (CMS) and \$585.00 (Arrow board) per month for each piece of rental equipment if the minimum weeks are not met or exceeded.
- <sup>9.</sup> Unless otherwise noted price does not include Sales Tax or F.O.B. costs if applicable.
- <sup>10.</sup> Please contact GENI to set up an account with our firm to lease or purchase items off our web site or listed within this bid. Accounts are due and payable within 30 days of purchase. Interest charged at the rate of 1.65% per month.

Should you have any questions about the quotation please contact the undersigned,

**Christopher Hann**  
Christopher Hann  
Apprentice Estimator

## GENI – PROPOSAL PROJECT NUMBER 07-1W3804



Feb 1, 2013

SB / DVBE APP

Supplier #24765  
GLOBAL ENVIRONMENTAL NETWORK INC  
P O BOX 8068  
FOUNTAIN VALLEY CA 92728-8068

Dear Business Person:

Congratulations on your Small Business / Disabled Veteran Business Enterprise (DVBE) certification with the State of California. Your business is now entitled to compete in the State's goal to spend three percent of its annual contracting dollars with SB / DVBE businesses. For more information or to verify certification status, visit [www.eprocure.dgs.ca.gov](http://www.eprocure.dgs.ca.gov)

### Certification Period

From Jan 28, 2013 to Feb 28, 2014

### Business Types

Construction  
Service  
Non-Manufacturer

### Classifications

321518 - Safety control devices	771017 - Environmental advisory services
411126 - Hygiene monitoring and testing equipment	771018 - Environmental auditing
422715 - Respiratory monitoring products	771115 - Environmental safety services
423015 - Aids for medical training	771215 - Air pollution
432325 - Educational or reference software	771216 - Soil pollution
461615 - Traffic control	771217 - Water pollution
461616 - Water safety	771315 - Oil pollution
461815 - Safety apparel	771316 - Noise pollution
461816 - Safety footwear	771317 - Toxic substances pollution
461817 - Face and head protection	801015 - Business and corporate management consultation services
461823 - Fall protection and rescue equipment	801115 - Human resource development
461824 - Decontamination aids and safety cleaning equipment	801116 - Temporary personnel services
551217 - Signage	811015 - Civil engineering
721517 - Safety and security system installation services	811022 - Transportation engineering
771015 - Environmental impact assessment	861018 - In service training and manpower development
771016 - Environmental planning	

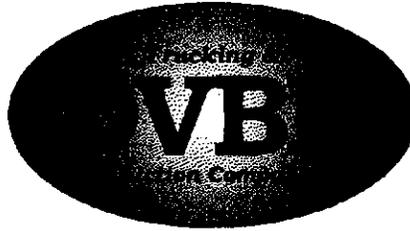
### Proof of Certification Status

To verify your firm's small business certification status go to <http://www.eprocure.dgs.ca.gov/default.htm> and select "SB/DVBE Search."

Sincerely,

Office of Small Business and DVBE Services

PROCUREMENT DIVISION - Small Business & DVBE Services | State of California | State Consumer Services Agency  
707 3rd Street, 1st Floor, Room 400 | West Sacramento, CA 95605 | t 916.375.4940 f 916.375.4950



General Engineering Contractors  
 Contractor's License #848175  
 1217 Dell Ave, Campbell CA 95008  
 Office 408-971-4430 Fax 408-370-3551

Disabled Veteran Business Enterprise #41891 Small Business Enterprise #41891

**Date:** 2/06/2013  
**Attention:** Estimator  
**From:** DVBE Construction & Trucking Email: [mark@dvbeconstruction.com](mailto:mark@dvbeconstruction.com)  
**Project:**  
Hourly Trucking

*8 hrs 5 days = 3960*  
*8 hrs x 3 trucks x 5 days = 10920*

<u>Equipment Type</u>	<u>Monday – Friday</u>	<u>Saturday</u>	<u>Sunday</u>
Semi End Dumps	\$95.00 per hour	<del>\$99.00 per hour</del>	\$104.00 per hour
Transfers	\$95.00 per hour	<del>\$99.00 per hour</del>	\$104.00 per hour
10 Wheelers	\$86.00 per hour	<del>\$91.00 per hour</del>	\$96.00 per hour
Bottom Dumps	\$95.00 per hour	\$99.00 per hour	\$104.00 per hour
Super Dumps	\$103.00 per hour	\$108.00 per hour	\$113.00 per hour
Low Bed	\$108.00 per hour	\$113.00 per hour	\$118.00 per hour
Water Trucks:			
2 Axel	\$105.00 per hour	\$110.00 per hour	\$115.00 per hour
3 Axel	\$109.00 per hour	\$114.00 per hour	\$119.00 per hour

**Terms and Conditions**

All night work will be billed at a 6 hour minimum plus clean out if applicable. Projects that require short shifts will be billed at a 6 hour minimum, plus applicable travel time. Open Grade or Rubberized Asphalt requires an additional hour cleanout at the end of each shift. Cancellations: DVBE must be notified at least 24 hours prior to scheduled start time. Anything after will be billed a 4 hour minimum plus applicable travel time. Travel time: unless otherwise specified, DVBE Trucks will be compensated at the hourly rate Portal to Portal. Per Diem Charges will apply on a per driver per night basis for any jobs that require trucks to remain further than 40 miles from the home station over night. Prevailing Wage: If the Contractor requires that DVBE Trucking and company owned trucks are to be used on the job and prevailing wage is required, the hourly rate will increase by 35% for certified payroll and payroll reporting requirements a nominal fee will apply. If Teamsters are required the hourly rate will increase by the pre negotiated percentage. Prices are good through June 2013. There will be a 5% increase annually thereafter. Please sign and Fax back to secure price. This quote is good for 30 days. All payments are due 30 days from the invoice date.

Thank You for the opportunity to do business with your company.

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Signature

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES PROCUREMENT DIVISION  
**DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS**

STD. 843 (Rev. 5/2006)

**Instructions:** The disabled veteran (DV) owner(s) and DV manager(s) of the Disabled Veteran Business Enterprise (DVBE) must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment [Military and Veterans Code Section 999.2]. Violations are misdemeanors and punishable by imprisonment or fine and violators are liable for civil penalties. All signatures are made under penalty of perjury.

**SECTION 1**

Name of certified DVBE: DVBE Trucking and Construction Co, Inc. DVBE Ref. Number: 41891

Description (materials/supplies/services/equipment proposed): Trucking Services

Solicitation/Contract Number: \_\_\_\_\_ SCPRS Ref. Number: \_\_\_\_\_  
(FOR STATE USE ONLY)

**SECTION 2**

**APPLIES TO ALL DVBEs. Check only one box in Section 2 and provide original signatures.**

- I (we) declare that the DVBE is not a broker or agent, as defined in Military and Veterans Code Section 999.2 (b), of materials, supplies, services or equipment listed above. Also, complete Section 3 below if renting equipment.
- Pursuant to Military and Veterans Code Section 999.2 (f), I (we) declare that the DVBE is a broker or agent for the principal(s) listed below or on an attached sheet(s). (Pursuant to Military and Veterans Code 999.2 (e), State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the 3-percent DVBE participation goal.)

All DV owners and managers of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

<u>Herbert R Lavender Jr-Owner</u> (Printed Name of DV Owner/Manager)	 (Signature of DV Owner/ Manager)	<u>5/11/12</u> (Date Signed)
_____ (Printed Name of DV Owner/Manager)	_____ (Signature of DV Owner/Manager)	_____ (Date Signed)

Firm/Principal for whom the DVBE is acting as a broker or agent: \_\_\_\_\_  
(If more than one firm, list on extra sheets.) (Print or Type Name)

Firm/Principal Phone: \_\_\_\_\_ Address: \_\_\_\_\_

**SECTION 3**

**APPLIES TO ALL DVBEs THAT RENT EQUIPMENT AND DECLARE THE DVBE IS NOT A BROKER.**

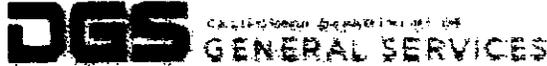
- Pursuant to Military and Veterans Code Section 999.2 (c), (d) and (g), I am (we are) the DV(s) with at least 51% ownership of the DVBE, or a DV manager(s) of the DVBE. The DVBE maintains certification requirements in accordance with Military and Veterans Code Section 999 et. seq.
- The undersigned owner(s) own(s) at least 51% of the quantity and value of each piece of equipment that will be rented for use in the contract identified above. I (we), the DV owners of the equipment, have submitted to the administering agency my (our) personal federal tax return(s) at time of certification and annually thereafter as defined in Military and Veterans Code 999.2, subsections (c) and (g). Failure by the disabled veteran equipment owner(s) to submit their personal federal tax return(s) to the administering agency as defined in Military and Veterans Code 999.2, subsections (c) and (g), will result in the DVBE being deemed an equipment broker.

Disabled Veteran Owner(s) of the DVBE (attach additional pages with signature blocks for each person to sign):

_____ (Printed Name)	_____ (Signature)	_____ (Date Signed)
_____ (Address of Owner)	_____ (Telephone)	_____ (Tax Identification Number of Owner)

Disabled Veteran Manager(s) of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

_____ (Printed Name of DV Manager)	_____ (Signature of DV Manager)	_____ (Date Signed)
---------------------------------------	------------------------------------	------------------------



Governor Edmund G. Brown, Jr.

Apr 30, 2012

DVBE APP

Supplier #41891  
 DVBE TRUCKING & CONSTRUCTION CO INC  
 1217 DELL AVE  
 CAMPBELL CA 95008

Dear Business Person:

Congratulations on your Disabled Veteran Business Enterprise (DVBE) certification with the State of California. Your business is now entitled to compete in the State's goal to spend three percent of its annual contracting dollars with DVBE businesses. For more information or to verify certification status, visit [www.eprocure.dgs.ca.gov](http://www.eprocure.dgs.ca.gov)

**Certification Period**

From Apr 30, 2012 to Apr 30, 2014

**Business Types**

Construction  
 Service

**Conflict of Interest for Current and Former State Employees**

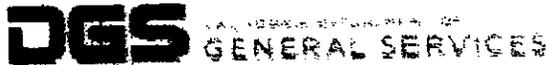
*Prior to contract award, agencies will assure the vendor is in compliance with Public Contract Code, Section 10410 et seq. addressing conflict of interest for State employees or former employees.*

**Annual Submission Requirement**

Submit copies of the ENTIRE federal tax return to the Office of Small Business and DVBE Services (OSDS). In addition to the business tax returns, each partner of a partnership business must also submit individual federal tax returns. Businesses that rent equipment to the State must submit individual federal tax returns for each disabled veteran owner within 90 days of the individual's tax return filing due date. If you have been granted a tax filing extension with the Internal Revenue Service, submit a copy of the extension form and annual financial statements; then, submit a copy of the tax return once filed.

**Maintaining Your Online Certified Firm Profile**

Visit [www.eprocure.dgs.ca.gov/default.htm](http://www.eprocure.dgs.ca.gov/default.htm) to update your certification profile. You may report changes to the following: mailing and principal office address; contact information; keywords and service areas; United Nations Standard Products and Services Codes, North American Industry Classification System (applicable only to Manufacturers). This certification may be impacted if you update information beyond the aforementioned. To report changes by mail, complete a "Certification Information Change" form located at [www.documents.dgs.ca.gov/pd/smallbus/certchange.pdf](http://www.documents.dgs.ca.gov/pd/smallbus/certchange.pdf)



Governor Edmund G. Brown Jr.

Apr 30, 2012

SB APP

Supplier #41891  
 DVBE TRUCKING & CONSTRUCTION CO INC  
 1217 DELL AVE  
 CAMPBELL CA 95008

**Dear Business Person:**

Congratulations on your Small Business (SB) certification with the State of California. Your business is now entitled to compete in the State's goal to spend 25 percent of its annual contracting dollars with small businesses. Each certified SB receives a five percent bid preference on applicable solicitations. This certification also guarantees higher interest penalties for late payment of undisputed invoices. You may purchase a rubber stamp by completing the Prompt Payment Rubber Stamp Order form at [www.documents.dgs.ca.gov/pd/smallbus/ppstampreq.pdf](http://www.documents.dgs.ca.gov/pd/smallbus/ppstampreq.pdf). For more information or to verify certification status, visit [www.eprocure.dgs.ca.gov](http://www.eprocure.dgs.ca.gov).

**Certification Period**

From Apr 30, 2012 to Apr 30, 2014

**Business Types**

Construction  
 Service

**Conflict of Interest for Current and Former State Employees**

*Prior to contract award, agencies will assure the vendor is in compliance with Public Contract Code, Section 10410 et seq. addressing conflict of interest for State employees or former employees.*

**Annual Submission Requirement**

Submit copies of the ENTIRE federal tax return to the Office of Small Business and DVBE Services (OSDS). If you have been granted a tax filing extension with the Internal Revenue Service, submit a copy of the extension form and annual financial statements; then, submit a copy of the tax return once filed. If you have employees, include the California Employment Development Department's "Quarterly Contribution Return and Report of Wages (Continuation)" (Form DE9C). If you have out-of-state employees, submit the employee documentation comparable to Form DE9C. These annual submissions also apply to all affiliated businesses.

**Maintaining Your Online Certified Firm Profile**

Visit [www.eprocure.dgs.ca.gov/default.htm](http://www.eprocure.dgs.ca.gov/default.htm) to update your certification profile. You may report changes to the following: mailing and principal office address; contact information; keywords and service areas; United Nations Standard Products and Services Codes, North American Industry Classification System (applicable only to

PROCUREMENT DIVISION - Small Business & DVBE Services | State of California | State Consumer Services Agency  
 707 3rd Street, 1st Floor, Room 400 | West Sacramento, CA 95605 | t 916.375.4940 f 916.375.4950

STATE OF CALIFORNIA—BUSINESS, TRANSPORTATION AND HOUSING AGENCY

EDMUND G. BROWN, Jr., Governor

**DEPARTMENT OF TRANSPORTATION**  
 OFFICE OF BUSINESS AND ECONOMIC OPPORTUNITY  
 1823 14<sup>TH</sup> STREET  
 SACRAMENTO, CA 95811  
 PHONE: (916) 324-1700  
 TOLL FREE (866) 810-6346  
 FAX (916) 324-8760  
 TTY 711



*Flex your power!  
 Be energy efficient!*

April 26, 2011

Herb Lavender  
 DVBE TRUCKING  
 1605 Almaden Road  
 San Jose, CA 95172

RE: Disabled Veterans Business Enterprise (DVBE) Number 41891

Dear Mr. Lavender:

Congratulations! We are pleased to advise you of the results of the site visit conducted by the Department of Transportation (Caltrans), Contract Evaluation Unit (CEU) staff on February 01, 2011. The purpose of a site visit is to ensure all certified DVBE firms that bid and provide services on Caltrans State funded projects are performing a commercial useful function (CUF). Caltrans initiated the affirmative step to verify CUF for DVBEs on Caltrans State funded projects and your firm was selected for a CUF review. After careful evaluation of the information and documentation obtained as a result of the site visit, pursuant to Military & Veterans Code §999(b)(5)(B) (i) and (ii), your firm performs a CUF when providing the following type of service.

Classification Number	Description
781018	Road cargo transport

You may review your firm's information on the Department of General Services (DGS) website at <http://www.bidsync.com/DPXBisCASB>. It is your responsibility to maintain correct information regarding your firm's name, address, phone/fax number, and e-mail address on the DGS website.

Please notify us immediately if any changes occur regarding your classification numbers or the types of services you provide. Failure to do so may result in your firm being listed on a project for a service that does not constitute a CUF. Consequently, your firm's DVBE participation on a contract may be disallowed and would not be counted toward a DVBE goal.

For information on contracting opportunities with Caltrans, please visit our website at <http://www.dot.ca.gov/hq/esc/oe/>.

Sincerely,

PEG A. BERGMANN  
 Manager, Contract Evaluation Unit

c: Department of General Services

*"Caltrans improves mobility across California"*