

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

6 D3

CONSTRUCTION ON STATE HIGHWAY IN  
FRESNO COUNTY NEAR COALINGA FROM 0.1 MILE WEST TO 0.1 MILE EAST OF JACALITOS  
CREEK BRIDGE  
In District 06 on Route 33

UNDER

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Notice to Bidders and Special Provisions dated September 29, 2014  
Project plans approved April 28, 2014  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 06-432604

06-Fre-33-10.9/11.1

Project ID 0600020388

FEDERAL-AID PROJECT  
ACSTP-P033(079)E

Bids open Thursday, November 6, 2014

Dated September 29, 2014

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Souza Construction, Inc.  
Business Street Address P.O. Box 3810  
(Include even if P.O. Box is used)  
Business P.O. Box 4027 Santa Fe Road  
City, State, Zip San Luis Obispo, CA, 93403  
Telephone No: AREA CODE 805-546-8288  
Fax No: AREA CODE 805-546-8288  
Contractor License No. 479476  
Checksum 9889964D

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda: 1  
10-24-14 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Steve A. Souza AND  
TITLE OF PERSON SIGNING: President

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BY (Authorized signature)

DATE SIGNED( Do not type)

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	1,650.00
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	3,300.00
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	30,000.00
4	120151	TEMPORARY TRAFFIC STRIPE (TAPE)	12,000.0 LF	1.71	20,520.00
5	120152	TEMPORARY PAVEMENT MARKING (TAPE)	24.0 SQFT	5.50	132.00
6	120165	CHANNELIZER (SURFACE MOUNTED)	90.0 EA	28.60	2,574.00
7	120300	TEMPORARY PAVEMENT MARKER	270.0 EA	4.00	1,080.00
8	128601	TEMPORARY SIGNAL SYSTEM	LUMP SUM LS	LUMP SUM	165,000.00
9	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	16,000.00
10	129000	TEMPORARY RAILING (TYPE K)	4,360.0 LF	12.50	54,500.00
11	129100	TEMPORARY CRASH CUSHION MODULE	120.0 EA	154.00	18,480.00
12	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	18,000.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	10,450.00
14	130330	STORM WATER ANNUAL REPORT	2.0 EA	2,000.00	4,000.00
15	130640	TEMPORARY FIBER ROLL	1,200.0 LF	4.22	5,064.00
16	130710	TEMPORARY CONSTRUCTION ENTRANCE	2.0 EA	4,000.00	8,000.00
17	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	8,200.00
18	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	3,300.00
19	131103	WATER QUALITY SAMPLING AND ANALYSIS DAY	2.0 EA	1,650.00	3,300.00
20	131104	WATER QUALITY MONITORING REPORT	2.0 EA	825.00	1,650.00
21	141000	TEMPORARY FENCE (TYPE ESA)	3,270.0 LF	5.00	16,350.00
22	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	1,590.0 LF	1.00	1,590.00
23	141120	TREATED WOOD WASTE	6,860.0 LB	0.05	343.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
24	150606	REMOVE FENCE (TYPE BW)	870.0 LF	6.00	5,220.00
25	150607	REMOVE FENCE (TYPE WM)	320.0 LF	8.00	2,560.00
26	028101	REMOVE SHEET PILE WALL	LUMP SUM LS	LUMP SUM	10,194.00
27	150661	REMOVE GUARDRAIL	710.0 LF	6.60	4,686.00
28	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	2,110.0 LF	0.44	928.40
29	150722	REMOVE PAVEMENT MARKER	110.0 EA	0.55	60.50
30	150814	REMOVE DOWNDRAIN (EA)	1.0 EA	845.00	845.00
31	152469	ADJUST UTILITY COVER TO GRADE	3.0 EA	810.00	2,430.00
32	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	130.0 SQYD	35.00	4,550.00
33	157550	BRIDGE REMOVAL	LUMP SUM LS	LUMP SUM	90,000.00
34	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	9,400.00
35	190101	ROADWAY EXCAVATION	4,870.0 CY	22.00	107,140.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
36(F)	192003	STRUCTURE EXCAVATION (BRIDGE)	870.0 CY	68.00	59,160.00
37(F)	193003	STRUCTURE BACKFILL (BRIDGE)	423.0 CY	120.00	50,760.00
38	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	60,000.0 SQFT	0.40	24,000.00
39	210300	HYDROMULCH	60,000.0 SQFT	0.04	2,400.00
40	210350	FIBER ROLLS	3,400.0 LF	4.18	14,212.00
41	210430	HYDROSEED	60,000.0 SQFT	0.06	3,600.00
42	210600	COMPOST	60,000.0 SQFT	0.20	12,000.00
43	260203	CLASS 2 AGGREGATE BASE (CY)	1,650.0 CY	48.00	79,200.00
44	390132	HOT MIX ASPHALT (TYPE A)	1,670.0 TON	104.00	173,680.00
45	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	260.0 LF	8.00	2,080.00
46	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	240.0 LF	8.00	1,920.00
47	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	7.0 SQYD	83.00	581.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	397005	TACK COAT	2.9 TON	1,770.00	5,133.00
49	495115	FURNISH 24" CAST-IN-STEEL SHELL CONCRETE PILING	2,767.0 LF	138.00	381,846.00
50	495116	DRIVE 24" CAST-IN-STEEL SHELL CONCRETE PILE	32.0 EA	10,643.00	340,576.00
51	500001	PRESTRESSING CAST-IN-PLACE CONCRETE	LUMP SUM LS	LUMP SUM	80,000.00
52(F)	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	120.0 CY	420.00	50,400.00
53(F)	510053	STRUCTURAL CONCRETE, BRIDGE	626.0 CY	1,194.00	747,444.00
54	519100	JOINT SEAL (MR 2")	82.0 LF	162.00	13,284.00
55(F)	520102	BAR REINFORCING STEEL (BRIDGE)	291,596.0 LB	1.27	370,326.92
56(F)	520120	HEADED BAR REINFORCEMENT	192.0 EA	35.00	6,720.00
57	692012	12" ALTERNATIVE ENTRANCE TAPER	3.0 EA	1,254.00	3,762.00
58	698060	12" ALTERNATIVE PIPE DOWNDRAIN	140.0 LF	36.00	5,040.00
59	705007	12" STEEL FLARED END SECTION	3.0 EA	598.00	1,794.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	721013	ROCK SLOPE PROTECTION (1/4 T, METHOD B) (CY)	1,350.0 CY	165.00	222,750.00
61	721026	ROCK SLOPE PROTECTION (NO. 1, METHOD B) (CY)	660.0 CY	89.00	58,740.00
62	721028	ROCK SLOPE PROTECTION (NO. 2, METHOD B) (CY)	9.0 CY	308.00	2,772.00
63	721030	ROCK SLOPE PROTECTION (1/2 T, METHOD B) (CY)	110.0 CY	84.00	9,240.00
64	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	1,990.0 SQYD	4.00	7,960.00
65	800001	FENCE (TYPE BW, METAL POST)	810.0 LF	11.50	9,315.00
66	820134	OBJECT MARKER (TYPE P)	4.0 EA	82.50	330.00
67	820190	SPECIAL MARKER	8.0 EA	93.50	748.00
68	832006	MIDWEST GUARDRAIL SYSTEM (STEEL POST)	140.0 LF	122.10	17,094.00
69	832070	VEGETATION CONTROL (MINOR CONCRETE)	250.0 SQYD	68.00	17,000.00
70	839543	TRANSITION RAILING (TYPE WB-31)	4.0 EA	4,290.00	17,160.00
71	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	4.0 EA	2,530.00	10,120.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72(F)	839720 (TYPE 732)	CONCRETE BARRIER	394.0 LF	130.00	51,220.00
73	840504	4" THERMOPLASTIC TRAFFIC STRIPE	4,080.0 LF	0.94	3,835.20
74	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	2,960.0 LF	0.83	2,456.80
75	850111	PAVEMENT MARKER (RETROREFLECTIVE)	120.0 EA	11.00	1,320.00
76	028102	ROADSIDE WEATHER INFORMATION SYSTEM	LUMP SUM LS	LUMP SUM	70,000.00
77	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	92,000.00
Total Bid					\$3,655,476.82

STAT Nov. 7, 2014, 1:33PM, PAR Souza Construction, Inc. ON

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

SUBCONTRACTOR LIST

DES-OE-0102.2B (REV 5/2014)

Bidding Firm: Souza Construction, Inc.

Under Public Contract Code § 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars (\$10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening the bid item numbers with percentages of the portion of work subcontracted.

a Not required on contracts with Federal-Aid.

List this sub? Yes

- 1) Business Name Bentancourt Bros. Construction, Inc.
- Location City Livermore State CA
- California Contractor License Number (a) 854851
- Description of Portion of Work

Temp Signal

Bid Item Numbers 8,76

Percentage of Bid Item Subcontracted 100%

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

2) Business Name KCI Environmental  
Location City San Luis Obispo State CA  
California Contractor License Number (a) 813844  
Description of Portion of Work

Erosion Control

Bid Item Numbers 38, 39, 40, 41, 42

Percentage of Bid Item Subcontracted 100%

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

3) Business Name Tipco Engineering, Inc.  
Location City Gardena State CA  
California Contractor License Number (a) 928364  
Description of Portion of Work

Furn and Drive Piling

Bid Item Numbers 49

Percentage of Bid Item Subcontracted 90%

Bid Item Numbers 50

Percentage of Bid Item Subcontracted 87%

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

4) Business Name Safeguard Fence  
Location City Corona State CA  
California Contractor License Number (a) 849895  
Description of Portion of Work

Fence and Guard Rail

Bid Item Numbers 23, 24, 25, 27, 65, 68, 70, 71

Percentage of Bid Item Subcontracted 100%

Bid Item Numbers 77

Percentage of Bid Item Subcontracted 2.7%

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

5) Business Name Statewide Safety & Signs  
Location City Fresno State CA  
California Contractor License Number (a) 975518  
Description of Portion of Work

Signs and Markers

Bid Item Numbers 2, 6, 11, 66, 67

Percentage of Bid Item Subcontracted 100%

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

6) Business Name Harris Rebar

Location City Fresno State CA

California Contractor License Number (a) C50-695035

Description of Portion of Work

Bar Reinforcing Steel

Bid Item Numbers 55,56

Percentage of Bid Item Subcontracted 100%.

Bid Item Numbers 72

Percentage of Bid Item Subcontracted 8%.

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

7) Business Name ACL Construction, Inc.

Location City Ontario State CA

California Contractor License Number (a) 468840

Description of Portion of Work

Type 732 Barrier (Portion)

Bid Item Numbers 72

Percentage of Bid Item Subcontracted 54%.

Bid Item Numbers

Percentage of Bid Item Subcontracted

Nov. 7. 2014 1:34PM Souza Construction, Inc.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

8) Business Name Dywidag Systems International  
Location City Long Beach State CA  
California Contractor License Number (a) 273710  
Description of Portion of Work  
Prestressing CIP Concrete  
Bid Item Numbers 51

Percentage of Bid Item Subcontracted 100%

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

9) Business Name Safety Striping Service, Inc.  
Location City Goshen State CA  
California Contractor License Number (a) 308669  
Description of Portion of Work

Striping

Bid Item Numbers 1, 4, 5, 7, 22, 28, 29, 73, 74, 75

Percentage of Bid Item Subcontracted 100%

Bid Item Numbers 3

Percentage of Bid Item Subcontracted 25%

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted



**SOUZA CONSTRUCTION, INC.**  
 P.O. Box 3810, San Luis Obispo, CA 93403-3810  
 (805) 546-8288 • Fax (805) 546-8287 Lic. No. 479476

Date: 11/7/14  
 Attn: Bruce Cox  
 Firm: Caltrans  
 Ph: (916) 227-6292  
 Fax: (916) 327-1685  
       227-6282  
 From: Stuart Souza  
 Re: Contract No. 06-432604, Subcontractor List Items and Percentages

**Bid Date: 11/6/14 @ 2:00**

WE ARE TRANSMITTING:		THE FOLLOWING:		FOR:
<input type="checkbox"/>	Email	<input type="checkbox"/>	Other	
<input type="checkbox"/>	By Hand	<input type="checkbox"/>	Letter	Signature
<input type="checkbox"/>	By Mail	<input type="checkbox"/>	Contract Change Order	Review & Approval
<input checked="" type="checkbox"/>	FAX	<input type="checkbox"/>	Certificate of Compliance	Your Information & Use
<input type="checkbox"/>	Under Separate Cover	<input type="checkbox"/>	Plans	As Requested
<input type="checkbox"/>	By Delivery Service	<input type="checkbox"/>	Request	Review & Return (with comments)
<input type="checkbox"/>	CA Delivery Service	<input type="checkbox"/>	Cost Estimate	Re-submittal
<input type="checkbox"/>	Federal Express	<input type="checkbox"/>	Submittal(s)	Execution
<input type="checkbox"/>	Courier	<input type="checkbox"/>	Invitation to Bid	Your Records
<input type="checkbox"/>		<input checked="" type="checkbox"/>	Bid Items	<input checked="" type="checkbox"/> As Required

**REMARKS:**

Please see our attached completed Subcontractor List to include the Bid Item Numbers and Percentage of Bid Item Subcontracted.

Thank you,

  
 Stuart Souza  
 Estimator / Project Manager

SUBCONTRACTOR LIST

DES-OE-0102.2B (REV 5/2014)

Bidding Firm: Souza Construction, Inc.

Under Public Contract Code 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars (\$10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening the bid item numbers with percentages of the portion of work subcontracted.

a Not required on contracts with Federal-Aid.

List this sub? Yes

1) Business Name Bentancourt Bros. Construction, Inc.

Location City Livermore State CA

California Contractor License Number (a) 854851

Description of Portion of Work

Temp Signal

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

2) Business Name KCI Environmental  
Location City San Luis Obispo State CA  
California Contractor License Number (a) 813844  
Description of Portion of Work

Erosion Control

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

3) Business Name Tipco Engineering, Inc.  
Location City Gardena State CA  
California Contractor License Number (a) 928364  
Description of Portion of Work

Furn and Drive Piling

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

4) Business Name Safeguard Fence  
Location City Corona State CA  
California Contractor License Number (a) 849895  
Description of Portion of Work  
Fence and Guard Rail  
Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

5) Business Name Statewide Safety & Signs  
Location City Fresno State CA  
California Contractor License Number (a) 975518  
Description of Portion of Work  
Signs and Markers  
Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

6) Business Name Harris Rebar  
Location City Fresno State CA  
California Contractor License Number (a) C50-695035  
Description of Portion of Work  
Bar Reinforcing Steel  
Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

7) Business Name ACL Construction, Inc.  
Location City Ontario State CA  
California Contractor License Number (a) 468840  
Description of Portion of Work  
Type 732 Barrier (Portion)  
Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

List this sub? Yes

8) Business Name Dywidag Systems International

Location City Long Beach State CA

California Contractor License Number (a) 273710

Description of Portion of Work

Prestressing CIP Concrete

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

9) Business Name Safety Striping Service, Inc.

Location City Goshen State CA

California Contractor License Number (a) 308669

Description of Portion of Work

Striping

Bid Item Numbers

Percentage of Bid Item Subcontracted



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :0007290

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-432604 Project ID: 0600020388

Bidder Name: Souza Construction, Inc.

Bidder ID: VC0000015921

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Authorized for Local Reproduction  
Standard Form - LLL (Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Souza Engineering Contracting, Inc. dba Souza Construction, Inc., propos certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

N/A

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

N/A

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of San Luis Obispo

Name Steve A. Souza,

being first duly sworn, deposes and says that he or she is

President of

Souza Engineering Contracting, Inc. dba Souza Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN FRESNO COUNTY NEAR COALINGA FROM 0.1 MILE WEST TO 0.1 MILE EAST OF JACALITOS CREEK BRIDGE  
In District 06 on Route 33  
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 11-06-14.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)



State of California, Department of Transportation - Chris Rice

**Bond Number: SCA14547154**

**Contractor Information**

**Principal:** Souza Construction, Inc 805-546-8288  
**Address:** 4027 Santa Fe Road San Luis Obispo California 93401 United States  
**Contractor's State Vendor ID Number:** VC0000015921

**Owner/Obligee Information**

**Bond Form:** Bid Bond in accordance with Contract Specifications  
**Owner / obligee:** State of California, Department of Transportation  
**Address:** 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California 95816 United States

**Bond Information**

**Surety:** The Guarantee Company of North America USA  
**Rider Present:** [Click here to view](#)  
**Amount of Bid Security:** 10%  
**Contract ID Number:** 06-432604  
**Description of Job:** Construction on State Highway in Fresno County near Coalinga from 0.1 Mile West to 0.1 Mile East of Jacalitos Creek Bridge

[View AMBest Information](#)   [View Surety Profile](#)   [Treasury List](#)

**Primary Agency:**

McSherry & Hudson  
Power of Attorney Limited to: unlimited  
**Executed**

**Executed By:**

Charles M. Griswold - 11/3/2014 4:36:17 PM ET  
Phone: 831-724-3841  
Email: [psimicich@mcsherryandhudson.com](mailto:psimicich@mcsherryandhudson.com)

Know all men by these presents that The Guarantee Company of North America USA, a Corporation duly organized under the laws of the State of Michigan, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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