

**Caltrans**

**STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION**

# BID

**FOR CONSTRUCTION ON STATE HIGHWAY IN SANTA BARBARA COUNTY NEAR  
SANTA YNEZ FROM 0.3 MILE NORTH OF ROUTE 246 TO 0.2 MILE SOUTH OF ROUTE  
246**

**In District 05 On Route 154**

**Under**

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*Notice to Bidders and Special Provisions* dated July 22, 2013

*Standard Specifications* dated 2010

Project plans approved May 20, 2013

*Standard Plans* dated 2010

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Identified by

Contract No. 05-0T0004

05-SB-154-R7.8/R8.3

Project ID 0500000471

Federal-Aid Project

ACHSSTP-P154(021)E

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JUL 24 2013

BY: .....

## Electronic Advertising Contract

Bids open Tuesday, August 13, 2013



Dated July 22, 2013  
AADD

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**BID TO THE DEPARTMENT OF TRANSPORTATION**  
DES-OE-0102.1 (REV. 3/2011)

CONTRACT NO. 05-0T0004

NAME OF BIDDER CalPortland Construction  
BUSINESS P.O. BOX PO Box 1280  
CITY, STATE, ZIP Santa Maria, CA 93456  
BUSINESS STREET ADDRESS 1625 E. Donovan Rd.  
(Include even if P.O. Box used)  
CITY, STATE, ZIP Santa Maria, CA 93454  
TELEPHONE NO: AREA CODE (805) 345-3581  
FAX NO: AREA CODE (805) 345-3538  
CONTRACTOR LICENSE NO. 523019 A

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.  
  
For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.  
  
For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.  
  
For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.
2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:
  - 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:
    - 2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.
    - 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
  - 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.
  - 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.
  - 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.
  - 2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**BID TO THE DEPARTMENT OF TRANSPORTATION**  
DES-OE-0102.1 (REV. 3/2011)

2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

Cash \$ \_\_\_\_\_, Cashiers Check, Certified Check, **Bidder's Bond**

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 5.1. Criminal prosecution
- 5.2. Rejection of the bid
- 5.3. Rescission of the award
- 5.4. Termination of the Contract

BY (Authorized Signature)



DATE SIGNED (Do not type)

7/31/13

PRINTED NAME AND TITLE OF PERSON SIGNING

**David McAuley**  
**Vice President**

**BID ITEM LIST**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	3119. <sup>00</sup>
2	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	14347. <sup>00</sup>
3	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	80,923. <sup>00</sup>
4	120149	TEMPORARY PAVEMENT MARKING (PAINT)	SQFT	390	2. <sup>10</sup>	819. <sup>00</sup>
5	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	LF	17,100	. <sup>10</sup>	1,710. <sup>00</sup>
6	120182	PORTABLE DELINEATOR	EA	110	10. <sup>40</sup>	1,144. <sup>00</sup>
7	120200	FLASHING BEACON (PORTABLE)	EA	6	520. <sup>00</sup>	3,120. <sup>00</sup>
8	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LS	LUMP SUM	LUMP SUM	59,886. <sup>00</sup>
9	026322	PORTABLE LIGHTING SYSTEMS	LS	LUMP SUM	LUMP SUM	28,698. <sup>00</sup>
10	129000	TEMPORARY RAILING (TYPE K)	LF	4,870	21. <sup>40</sup>	104,218. <sup>00</sup>
11	026323	TEMPORARY ALTERNATIVE CRASH CUSHION SYSTEM	EA	9	2,080. <sup>00</sup>	18,720. <sup>00</sup>
12	130100	JOB SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	13,757. <sup>00</sup>
13	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	LUMP SUM	LUMP SUM	1,323. <sup>00</sup>
14	130310	RAIN EVENT ACTION PLAN	EA	2	500.00	1,000.00
15	130320	STORM WATER SAMPLING AND ANALYSIS DAY	EA	2	730. <sup>00</sup>	1460. <sup>00</sup>
16	130330	STORM WATER ANNUAL REPORT	EA	1	2,000.00	2,000.00
17	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	SQYD	850	2. <sup>00</sup>	1,700. <sup>00</sup>
18	130610	TEMPORARY CHECK DAM	LF	40	22. <sup>00</sup>	880. <sup>00</sup>
19	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	17	305. <sup>00</sup>	5,185. <sup>00</sup>
20	130640	TEMPORARY FIBER ROLL	LF	100	4. <sup>00</sup>	400. <sup>00</sup>

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	130710	TEMPORARY CONSTRUCTION ENTRANCE	EA	2	3,150. <sup>00</sup>	6,300. <sup>00</sup>
22	130730	STREET SWEEPING	LS	LUMP SUM	LUMP SUM	20,000. <sup>00</sup>
23	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM	LUMP SUM	2,000. <sup>00</sup>
24	141000	TEMPORARY FENCE (TYPE ESA)	LF	300	3.50	1,050. <sup>00</sup>
25	150221	ABANDON INLET	EA	1	2,790. <sup>00</sup>	2,790. <sup>00</sup>
26	150711	REMOVE PAINTED TRAFFIC STRIPE	LF	8,000	.78	6,240. <sup>00</sup>
27	150712	REMOVE PAINTED PAVEMENT MARKING	SQFT	200	1.30	260. <sup>00</sup>
28	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	LF	5,020	.85	4,267. <sup>00</sup>
29	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	SQFT	22	2.10	46. <sup>20</sup>
30	150742	REMOVE ROADSIDE SIGN	EA	20	15.60	312. <sup>00</sup>
31	150812	REMOVE PIPE (LF)	LF	410	30.60	12,546. <sup>00</sup>
32	150820	REMOVE INLET	EA	2	602. <sup>00</sup>	1,204. <sup>00</sup>
33	152299	RESET MILEPOST MARKER	EA	5	15.60	78. <sup>00</sup>
34	152320	RESET ROADSIDE SIGN	EA	2	203. <sup>00</sup>	406. <sup>00</sup>
35	152390	RELOCATE ROADSIDE SIGN	EA	2	203. <sup>00</sup>	406. <sup>00</sup>
36	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	7,330	3.20	23,456. <sup>00</sup>
37	155213	CLEANING, INSPECTING, AND PREPARING CULVERT (LF)	LF	110	13.50	1,518. <sup>00</sup>
38	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM	LUMP SUM	3,000. <sup>00</sup>
39	190101	ROADWAY EXCAVATION	CY	20,800	10.60	220,480. <sup>00</sup>
40	190185	SHOULDER BACKING	TON	37	109. <sup>00</sup>	4,033. <sup>00</sup>

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	194001	DITCH EXCAVATION	CY	20	75.20	1,504.00
42	198250	GEOSYNTHETIC REINFORCEMENT	SQYD	940	4.70	4,418.00
43	200114	ROCK BLANKET	SQYD	710	122.20	86,762.00
44	208310	IRRIGATION SLEEVE	LF	65	49.90	3,243.50
45	208465	SPRINKLER (TYPE A-5)	EA	48	104.00	4,992.00
46	208471	SPRINKLER (TYPE B-1)	EA	20	31.20	624.00
47	026324	1 1/2" FILTER ASSEMBLY UNIT	EA	2	1,040.00	2,080.00
48	208562	CAM COUPLER ASSEMBLY	EA	2	520.00	1,040.00
49 (F)	208595	1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	1,600	3.10	4,960.00
50 (F)	208597	1 1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	1,300	3.45	4,485.00
51	026325	1 1/2" PRESSURE REGULATING VALVE	EA	2	1,559.00	3,118.00
52	026326	1 1/2" BALL VALVE	EA	5	520.00	2,600.00
53	208738	8" CORRUGATED HIGH DENSITY POLYETHYLENE PIPE CONDUIT	LF	530	67.00	35,810.00
54	204099	PLANT ESTABLISHMENT WORK	LS	LUMP SUM	LUMP SUM	8,200.00
55	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	EA	3	520.00	1,560.00
56	210260	ROLLED EROSION CONTROL PRODUCT (JUTE MESH)	SQFT	5,000	.50	2,500.00
57	026327	COMPOST BERM	LF	800	4.00	3,200.00
58	210430	HYDROSEED	SQFT	238,000	.10	23,800.00
59	210600	COMPOST	SQFT	238,000	.13	30,940.00
60	260203	CLASS 2 AGGREGATE BASE (CY)	CY	7,070	39.80	281,386.00

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61	280000	LEAN CONCRETE BASE	CY	3,070	151. <sup>00</sup>	463,570. <sup>00</sup>
62	390132	HOT MIX ASPHALT (TYPE A)	TON	8,540	102. <sup>30</sup>	873,642. <sup>00</sup>
63	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	LF	3,580	2. <sup>90</sup>	10,382. <sup>00</sup> *
64	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	SQFT	120	16. <sup>70</sup>	2,004. <sup>00</sup>
65	397005	TACK COAT	TON	4	572. <sup>00</sup>	2288. <sup>00</sup>
66	401050	JOINTED PLAIN CONCRETE PAVEMENT	CY	150	<del>590.<sup>00</sup></del> 304. <sup>00</sup>	<del>88500.<sup>00</sup></del> 54600. <sup>00</sup> (DM)
67 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	21.2	2125. <sup>00</sup>	45,050. <sup>00</sup> *
68	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	310	13. <sup>00</sup>	4,030. <sup>00</sup>
69	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	SQFT	48	14. <sup>60</sup>	700. <sup>80</sup> *
70	560252	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED)	SQFT	230	17. <sup>15</sup>	3944. <sup>50</sup>
71	566011	ROADSIDE SIGN - ONE POST	EA	35	229. <sup>00</sup>	8015. <sup>00</sup>
72	566012	ROADSIDE SIGN - TWO POST	EA	4	343. <sup>00</sup>	1372. <sup>00</sup>
73	620100	18" ALTERNATIVE PIPE CULVERT	LF	94	66. <sup>80</sup>	6279. <sup>20</sup>
74	620140	24" ALTERNATIVE PIPE CULVERT	LF	730	67. <sup>50</sup>	49,275. <sup>00</sup>
75	700617	DRAINAGE INLET MARKER	EA	12	130. <sup>00</sup>	1560. <sup>00</sup> *
76	705315	24" ALTERNATIVE FLARED END SECTION	EA	2	445. <sup>00</sup>	890. <sup>00</sup>
77	709522	INLET DEPRESSION	EA	2	751. <sup>00</sup>	1502. <sup>00</sup>
78 (F)	721015	ROCK SLOPE PROTECTION (LIGHT, METHOD B) (CY)	CY	35.5	136. <sup>00</sup>	4828. <sup>00</sup>
79	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQFT	870	.65	<del>565.<sup>50</sup></del> 565. <sup>50</sup> (DM)
80	730020	MINOR CONCRETE (CURB) (CY)	CY	260	438. <sup>00</sup>	113,880. <sup>00</sup> *

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
81	730070	DETECTABLE WARNING SURFACE	SQFT	400	38. <sup>00</sup>	15,200. <sup>00</sup>
82	731511	MINOR CONCRETE (ISLAND PAVING)	CY	90	440. <sup>00</sup>	39,600. <sup>00</sup>
83	731521	MINOR CONCRETE (SIDEWALK)	CY	110	466. <sup>50</sup>	51,315. <sup>00</sup>
84	731623	MINOR CONCRETE (CURB RAMP)	CY	18	737. <sup>00</sup>	13,266. <sup>00</sup>
85 (F)	750001	MISCELLANEOUS IRON AND STEEL	LB	2,809	2. <sup>00</sup>	5,618. <sup>00</sup>
86	820107	DELINEATOR (CLASS 1)	EA	16	83. <sup>20</sup>	1,331. <sup>20</sup>
87	820130	OBJECT MARKER	EA	11	104. <sup>00</sup>	1,144. <sup>00</sup>
88	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	2,210	4. <sup>15</sup>	9,171. <sup>50</sup>
89	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	17,600	.36	6,336. <sup>00</sup>
90	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	550	4. <sup>20</sup>	2,310. <sup>00</sup>
91	860401	LIGHTING	LS	LUMP SUM	LUMP SUM	119,260. <sup>00</sup>
92	860415	LIGHTING (STAGE CONSTRUCTION)	LS	LUMP SUM	LUMP SUM	22,562. <sup>00</sup>
93	026328	SOLAR FLASHING BEACON SYSTEM	LS	LUMP SUM	LUMP SUM	17,467. <sup>00</sup>
94	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	154,110. <sup>00</sup>

**TOTAL BID:**

\$ + DM  
3,303,010.<sup>40</sup>

*B*



**CALPORTLAND**  
CONSTRUCTION

1625 E. Donovan Road, Santa Maria, CA 93454  
P. O. Box 1280, Santa Maria, CA 93456  
Phone: (805) 345-3581 Fax: (805) 345-3538  
Contractor's License #523019

August 14, 2013

DEPARTMENT OF TRANSPORTATION  
DIVISION OF ENGINEERING SERVICES  
OFFICE ENGINEER, MS 43  
DEPARTMENT OF TRANSPORTATION  
1727 30TH Street  
SACRAMENTO, CA 95816-8041

Re: Contract No. 05-0T0004

Subject: **SUBCONTRACTOR LIST**

Attached for your review please find our updated Subcontractor List indicating the percentages for all subcontractors listed. If you should have any questions please give me a call at (805) 345-3581.

Respectfully,

Mark Kalouner  
Estimator

STATE OF CALIFORNIA · DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DE8-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construct

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-8282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Super Seal + STRIPE, INC. FILLMORE, CA  ↓	1	48%	LEAD COMPLIANCE PLAN <del>EXP</del>
	3	14%	TRAFFIC CONTROL
	4	95%	TEMPORARY PAVEMENT MARKING (PAINT)
	5	100%	TEMPORARY TRAFFIC STRIP
	26	96%	REMOVE PAINTED TRAFFIC STRIPE
	27	96%	REMOVE PAINTED PAVEMENT MARKING
	28	94%	REMOVE THERMOPLASTIC TRAFFIC STRIPE
	29	95%	REMOVE THERMOPLASTIC PAVEMENT MARKING
	88	96%	THERMOPLASTIC PAVEMENT MARKING
	89	97%	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)
	90	95%	PAVEMENT MARKER (RETROREFLECTIVE)
	94	11%	MOBILIZATION <del>(PORTION)</del>

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-69, Sacramento, CA 95814.

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-DE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construc

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-8282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
AC DIKE LINCOLN, CA	63	60%	PLACE HOT MIX ASPHALT DIKE (TYPE E)
VANGUARD CONSTRUCTION LIVERMORE, CA	66 61 94	88% 95% 30%	3PC PAVEMENT LEAN CONCRETE BASE MOBILIZATION
Precision Concrete Inc., SANTA MARIA, CA	<del>66</del> 80 81 82 83 84	<del></del> 63% 100% 80% 61% 58%	<del>JOINTED PLAIN CONCRETE PAVEMENT DM</del> MINOR CONCRETE (CURB) DETECTABLE WARNING SURFACE MINOR CONCRETE (ISLAND PAVING) MINOR CONCRETE (SIDEWALK) MINOR CONCRETE (CURB)
UNDERGROUND SPECIALTIES GENERAL CONTRACTORS Templeton, CA	91 92 93 94	96% 96% 96% 3%	Lighting Lighting (STAGE CONSTRUCTION) SOLAR FLASHING BEACON MOBILIZATION

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 068-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construct

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-8282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
KRC SAFETY, INC. VISALIA, CA 	2	9690	CONSTRUCTION AREA Signs
	6	9690	PORTABLE DEWFACTOR.
	11	9690	TEMPORARY ALTERNATIVE
	10	9690	TEMPORARY K RAIL
	7	9690	CRASH CUSHION SYSTEM
	30	9690	FLASHING BEACON
	<del>8</del>		<del>REMOVE ROADSIDE SIGN</del>
	33	9690	<del>REMOVE CHANGEABLE DM</del> RESET MILEPOST MARKER
	34	9690	RESET ROADSIDE SIGN
	35	9690	RELOCATE ROADSIDE SIGN
	68	9690	FURNISH SINGLE SHEET ALUMINUM SIGN (.063" - UNFRAMED)
	69	9690	FURNISH SINGLE SHEET ALUMINUM SIGN (.080" - UNFRAMED)
	70	9690	FURNISH SINGLE SHEET ALUMINUM SIGN (.080" FRAMED)
	71	9690	ROADSIDE SIGN - ONE POST
	72	9690	ROADSIDE SIGN - TWO POST
	75	9690	DRAINAGE INLET MARKER
86	9690	DELINEATOR (CLASS 1)	
87	9690	OBJECT MARKER	

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STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DE8-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construc

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-8282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
J MACK ENTERPRISES VISALIA, CA	36	23%	COLD PLANNING <del>Asph</del> Aspha Concrete Pavement
	<del>43</del>		<del>ROCK BLANKET</del> DM
KCI ENVIRONMENTAL SAN LUIS OBISPO, CA  ↓	17	100%	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)
	44	100%	Irrigation Sleeve
	45	100%	Sprinkler (Type A)
	46	100%	Sprinkler (Type B)
	47	100%	1 1/2" Filter Assembl Unit
	48	100%	CAM Coupler Assemb
	49	100%	1" Plastic Pipe (schedule 40)(supply line)
50	100%	1 1/2" Plastic Pipe (schedule 40)(supply line)	
51	100%	1 1/2" Pressure Regulating Valve	

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construc

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
KCI Environmental, Inc. San Luis Obispo, CA	52	100%	1 1/2" Ball Valve
	53	100%	8" Corrugated High Density Polyethylene Pipe Conduit
	54	100%	Plant Establishment work
	55	100%	Move in/Move Out (Erosion Control)
	56	100%	Rolled Erosion Control Product (Jute Mesh)
	57	100%	Compost Berm
	58	100%	Hydroseed
	59	100%	Compost

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 864-6410 or TDD (916) 864-5001 write Records and Forms Management, 1120 N Street, MS-69, Sacramento, CA 95814.

OKAY

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construct

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item No.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
<p>Super Seal &amp;                      STRIPE, INC.                      FILLMORE, CA</p> 	1		LEAD Compliance PLAN
	3		TRAFFIC CONTROL
	4		TEMPORARY PAVEMENT MARKING (PAINT)
	5		TEMPORARY TRAFFIC STRIP
	26		REMOVE PAINTED TRAFFIC STRIPE
	27		REMOVE PAINTED PAVEMENT MARKING
	28		REMOVE THERMOPLASTIC TRAFFIC STRIPE
	29		REMOVE THERMOPLASTIC PAVEMENT MARKING
	88		THERMOPLASTIC PAVEMENT MARKING
	89		THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)
	90		PAVEMENT MARKER (RETROREFLECTIVE)
	94		MOBILIZATION <del>OPERATION</del>

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construct

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
A C DIKE LINCOLN CA	63		PLACE HOT MIX ASPHALT DIKE (TYPE E)
Vanguard Const. Livermore CA.	60 61 <del>62</del>		APC Pavement LEAN Concrete BASE MOBILIZATION
Precision Concrete Inc Santa MARIA, CA ↓ ✓	66		JOINTED PLAIN Concrete PAVEMENT
	80		MINOR CONCRETE (CURB)
	81		DETECTABLE WARNING SURFACE
	82		MINOR CONCRETE (ISLAND PAVING)
	83		MINOR CONCRETE (SIDEWALK)
	84		MINOR CONCRETE (CURB)
UNDERGROUND Specialties CENTRAL COAST, INC. Templeton, CA	91		Lighting
	92		Lighting (STAGE CONSTRUCTION)
	93		SOLAR FLASHING BEACON
	94		MOBILIZATION

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construc

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Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
KRC SAFETY, INC. VISALIA, CA 	2		CONSTRUCTION AREA Sign
	6		PORTABLE DELINEATOR.
	11		TEMPORARY ALTERNATIVE
	10		TEMPORARY K RAIL
	7		CRASH CUSHION SYSTEM
	30		FLASHING BEACON
	8		REMOVE Roadside Sign
	33		<del>PORTABLE CHANGEABLE MESSAGE SIGN</del> RESET MILEPOST MARKER
	34		RESET Roadside Sign
	35		RELOCATE Roadside Sign
	68		FURNISH Single sheet Aluminum sign (.063" - UNFRAMED)
	69		FURNISH Single sheet Aluminum sign (.080" - UNFRAMED)
	70		FURNISH Single Sheet Aluminum sign (.080" FRAMED)
	71		ROADSIDE Sign - one post
	72		ROADSIDE Sign - Two po
75		DRAINAGE Inlet MARKER	
86		DELINATOR (CLASS 1)	
87		OBJECT MARKER	

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construc

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-8282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
J MACK ENTERPRISES VISALIA, CA	36		COLD PLANNING ASPHALT CONCRETE PAVEMENT
	<del>43</del>		<del>ROCK BLANKET</del> (DM)
KCI Environmental SAN LUIS OBISPO, CA	17		TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)
	44		Irrigation Sleeve
	45		Sprinkler (Type A)
	46		Sprinkler (Type B-i)
	47		1 1/2" Filter Assembl Unit
	48		CAM Coupler Assemb
	49		1" Plastic Pipe (schedule 40)(supply line)
	50		1 1/2" Plastic Pipe (schedule 40)(supply line)
	51		1 1/2" Pressure Regulating Valve

ADA Notice For Individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 854-8410 or TDD (916) 854-3860 write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construc

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Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
KCI Environmental San Luis Obispo, CA	52		1/2" Ball Valve
	53		8" Corrugated High Density Polyethylene Pipe Conduit
	54		Plant Establishment work
	55		Move in/Move Out (Erosion Control)
	56		Rolled Erosion Control Product (Jute Mesh)
	57		Compost Berm
	58		Hydro seed
	59		Compost

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**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construction

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**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

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DES-OE-0102.2 (REV 3/2011)

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**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

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**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

Bidder Name: CalPortland Construction

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We CalPortland Construction

as Principal, and

National Union Fire Insurance Company of Pittsburgh, PA

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for construction on State Hwy in Santa Barbara County  
(Copy here the exact description of work, including location, as it appears on the proposal)  
near Santa Ynez from 0.3 mile north of Route 246 to 0.2 mile south of Route 246  
for which bids are to be opened at CalTrans, 1727 30th St., Room #104, Sacramento, CA 95816  
(Insert place where bids will be opened)  
on August 13, 2013  
(Insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: July 30, 2013

Correspondence or claims relating to this bond should be sent to the surety at the following address: 175 Water Street, New York, NY 10038

CalPortland Construction

*[Signature]*  
Principal

National Union Fire Insurance Company of Pittsburgh, PA

By *[Signature]*  
Natalie K. Trofimoff  
Attorney-in-Fact

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of see attached }

On this \_\_\_\_\_ before me, \_\_\_\_\_  
Date Here Insert Name and Title of the Officer  
personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature \_\_\_\_\_  
Signature of Notary Public

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Los Angeles

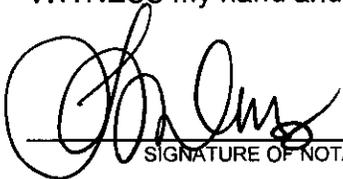
On JUL 30 2013 before me, B. Wong, Notary Public

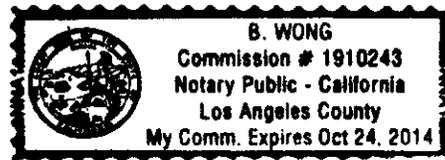
personally appeared, Natalie K. Trofimoff

who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

  
SIGNATURE OF NOTARY



Notary Public Seal

**OPTIONAL**

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

**DESCRIPTION OF ATTACHED DOCUMENT:**

**TITLE OR TYPE OF DOCUMENT:** \_\_\_\_\_

**NUMBER OF PAGES:** \_\_\_\_\_ **DOCUMENT DATE:** \_\_\_\_\_

**CAPACITY(IES) CLAIMED BY SIGNER(S)**

**Signer's Name:** \_\_\_\_\_

**Signer's Name** \_\_\_\_\_

- INDIVIDUAL
- CORPORATE OFFICER  
Title(s) \_\_\_\_\_

- INDIVIDUAL
- CORPORATE OFFICER  
Title(s) \_\_\_\_\_

- PARTNER(S)  LIMITED  GENERAL

- PARTNER(S)  LIMITED  GENERAL

ATTORNEY-IN-FACT

ATTORNEY-IN-FACT

TRUSTEE(S)

TRUSTEE(S)

GUARDIAN/CONSERVATOR

GUARDIAN/CONSERVATOR

OTHER: \_\_\_\_\_

OTHER: \_\_\_\_\_

**Signer is representing:**  
NAME OF PERSON(S) OR ENTITY(IES)

**Signer is representing:**  
NAME OF PERSON(S) OR ENTITY(IES)

**POWER OF ATTORNEY**

**American Home Assurance Company**  
**National Union Fire Insurance Company of Pittsburgh, PA.**  
Principal Bond Office: 175 Water Street, New York, NY 10038

Power No. 28862

No. 05-B-04094

**KNOW ALL MEN BY THESE PRESENTS:**

That American Home Assurance Company, a New York corporation; and National Union Fire Insurance Company of Pittsburgh, PA., a Pennsylvania corporation, does each hereby appoint

---Jeffrey Strassner, Cesar F. Javier, Tenzer V. Cunningham, Natalie K. Trofimoff,  
Patricia S. Talavera, Brenda Wong: of Los Angeles, California---

its true and lawful Attorney(s)-in-Fact, with full authority to execute on its behalf bonds, undertakings, recognizances and other contracts of indemnity and writings obligatory in the nature thereof, issued in the course of its business, and to bind the respective company thereby.

IN WITNESS WHEREOF, American Home Assurance Company and National Union Fire Insurance Company of Pittsburgh, PA. have each executed these presents

this 6th day of August, 2012



Anthony Romano, Vice President

STATE OF NEW YORK    }  
COUNTY OF NEW YORK } ss.

On this 6th day of August, 2012 before me came the above named officer of American Home Assurance Company and National Union Fire Insurance Company of Pittsburgh, PA., to me personally known to be the individual and officer described herein, and acknowledged that he executed the foregoing instrument and affixed the seals of said corporations thereto by authority of his office.

**JULIANA HALLENBECK**  
Notary Public - State of New York  
No. 01HA8125871  
Qualified in Bronx County  
My Commission Expires April 18, 2013

**CERTIFICATE**

Exerpts of Resolutions adopted by the Boards of Directors of American Home Assurance Company and National Union Fire Insurance Company of Pittsburgh, PA. on May 18, 1976:

"RESOLVED, that the Chairman of the Board, the President, or any Vice President be, and hereby is, authorized to appoint Attorneys-in-Fact to represent and act for and on behalf of the Company to execute bonds, undertakings, recognizances and other contracts of indemnity and writings obligatory in the nature thereof, and to attach thereto the corporate seal of the Company, in the transaction of its surety business;

"RESOLVED, that the signatures and attestations of such officers and the seal of the Company may be affixed to any such Power of Attomey or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Company when so affixed with respect to any bond, undertaking, recognizance and other contract of indemnity and writing obligatory in the nature thereof;

"RESOLVED, that any such Attorney-in-Fact delivering a secretarial certification that the foregoing resolutions still be in effect may insert in such certification the date thereof, said date to be not later than the date of delivery thereof by such Attorney-in-Fact."

I, Denis Butkovic, Secretary of American Home Assurance Company and of National Union Fire Insurance Company of Pittsburgh, PA. do hereby certify that the foregoing exerpts of Resolutions adopted by the Boards of Directors of these corporations, and the Powers of Attomey issued pursuant thereto, are true and correct, and that both the Resolutions and the Powers of Attomey are in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the facsimile seal of each corporation

**JUL 30 2013**

this        day of



Denis Butkovic, Secretary

**OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

Bidder's Name: CalPortland Construction Contract No. 05 - 0T0004

**I opt out of the payment adjustments for price index fluctuations.**

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

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**SMALL BUSINESS STATUS**

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO. 05 - OT0004

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

Yes: Certification number? \_\_\_\_\_  No

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**CALTRANS BIDDER - DBE - COMMITMENT**  
 DES-OE-0102.10C (NEW 06/2012)

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in section 2 of the specifications. Failure to submit the required DBE information will be grounds for finding the bid nonresponsive.

CONTRACT NO:

BID AMOUNT:

\$

BID OPENING DATE:

BIDDER'S NAME:

DBE GOAL FROM CONTRACT, %:

DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>:

BID ITEM NO	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE <sup>3</sup>

**IMPORTANT:** Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

- DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
- If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
- See section 2-1.12 of the specifications to determine the credit allowed for DBE firms.

Submit to:

MSC 43  
 OFFICE ENGINEER  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET  
 SACRAMENTO, CA 95816-7005

<b>Total Claimed Participation</b>	\$
	%
Signature of Bidder _____	
Date _____	(Area Code) Tel. No. _____
Person to Contact _____ (Please Type or Print)	

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4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication:

Publications	Dates of Advertisement

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency	Date of Contact	Method of Contact	Results

6. List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.

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7. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

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8. Include additional data to support a demonstration of good faith efforts.

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**NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.**

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**CERTIFICATIONS**

**FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION**

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## **INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

**DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

<p><b>1. Type of Federal Action:</b></p> <p><input type="checkbox"/> a. contract</p> <p>b. grant</p> <p>c. cooperative agreement</p> <p>d. loan</p> <p>e. loan guarantee</p> <p>f. loan insurance</p>	<p><b>2. Status of Federal Action:</b></p> <p><input type="checkbox"/> a. bid/offer/application</p> <p>b. initial award</p> <p>c. post-award</p>	<p><b>3. Report Type:</b></p> <p><input type="checkbox"/> a. Initial</p> <p>b. material change</p> <p>For Material Change Only:</p> <p>year _____ quarter _____</p> <p>date of last report _____</p>
<p><b>4. Name and Address of Reporting Entity:</b></p> <p><input type="checkbox"/> Prime                      <input type="checkbox"/> Subawardee</p> <p>Tier _____, if known</p> <p>Congressional District, if known:</p>	<p><b>5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:</b></p> <p>Congressional District, if known:</p>	
<p><b>6. Federal Department/Agency:</b></p> <p align="center">N/A</p>	<p><b>7. Federal Program Name/Description:</b></p> <p>CFDA Number, if applicable _____</p>	
<p><b>8. Federal Action Number, if known:</b></p>	<p><b>9. Award Amount, if known:</b></p> <p>\$ _____</p>	
<p><b>10 a. Name and Address of Lobby Registrant</b></p> <p>(if individual, last name, first name, MI):</p>	<p><b>b. Individuals Performing Services (including address if different from No. 10a)</b></p> <p>(last name, first name, MI):</p>	
<p><b>11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b></p>	<p>Signature: <u>David McAuley</u></p> <p>Print Name: <u>David McAuley</u></p> <p>Title: <u>Vice President</u></p> <p>Telephone No.: <u>805-345-3681</u> Date: _____</p>	
<p><b>Federal Use Only:</b></p>		<p align="center">Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)</p>

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### EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder CA Portland proposed subcontractor \_\_\_\_\_, certifies that he  has  has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

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- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)
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Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

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Bidder Carl Potlund proposed subcontractor \_\_\_\_\_, certifies that he  has  has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

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**UNDOCUMENTED ALIENS EMPLOYMENT**

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

**NONCOLLUSION**

**NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID**

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of Santa Barbara

David McAuley, being first duly sworn, deposes and says that he or she is

Vice President of CalPortland Construction the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

**CHILD SUPPORT COMPLIANCE ACT**

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

**NATIONAL LABOR RELATIONS BOARD**

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

**VIOLATION OF LAW OR A SAFETY REGULATION**

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes

No

If the answer is yes, explain the circumstances in the following space.

### ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder  has  has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

### PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

### BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?  
 Yes  No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?  
 Yes  No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?  
 Yes  No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
  - 4.1. Date of each nonresponsibility determination
  - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
  - 4.3. Contract number for each nonresponsibility determination

### END CERTIFICATIONS