A-2

CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

CONSTRUCTION ON STATE HIGHWAY IN
SANTA CRUZ COUNTY NEAR SANTA CRUZ FROM 0.2 MILE NORTH OF PASATIEMPO
OVERCROSSING TO BEULAH PARK UNDERCROSSING
In District 05 on Route 17

UNDER

Notice to Bidders and Special Provisions dated July 25, 2016
Project plans approved May 2, 2016
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 05-0Q6004

05-SCr-17-1.0/1.4

Project ID 0500020290

FEDERAL-AID PROJECT
ACNHP-P017(112)E

Bids open Wednesday, August 31, 2016 Dated July 25, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 05-0Q6004 Project ID: 0500020290
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
BID TO THE DEPARTMENT OF TRANSPORTATION
DES-OE-0102.1

Name of Bidder Gordon N. Ball, Inc.
Business Street Address 333 Camille Avenue
(Include even if P.O. Box is used)
Business P.O. Box
City, State, Zip Alamo, CA, 94507
Telephone No: AREA CODE 9258385675
Fax No: AREA CODE
Contractor License No. 710807
Public Works Contractor Registration No. 1000000786
Checksum 6C286915

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

   For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

   For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

   For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non◆plant establishment work within the number of working days bid for non◆plant establishment work.

2. Bidder has and acknowledges the following addenda:2
   08-22-16 Revise Federal Minimum Wages,

3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond

4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

   4.1 Criminal prosecution
   4.2 Rejection of the bid
   4.3 Rescission of the award
   4.4 Termination of the Contract

PRINTED NAME:HAL STOBER AND
TITLE OF PERSON SIGNING:PRESIDENT

BY (Authorized signature) DATE SIGNED(Do not type)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 05-0Q6004 Project ID: 0500020290
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM	LS	LUMP SUM	5,000.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM	LS	LUMP SUM	2,000.00
3	090100	TIME-RELATED OVERHEAD (WDAY)	440.0	WDAY	500.00	220,000.00
4	120090	CONSTRUCTION AREA SIGNS	LUMP SUM	LS	LUMP SUM	5,000.00
5	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM	LS	LUMP SUM	100,000.00
6	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	5,730.0	LF	1.00	5,730.00
7	120165	CHANNELIZER (SURFACE MOUNTED)	21.0	EA	45.00	945.00
8	120300	TEMPORARY PAVEMENT MARKER	370.0	EA	3.00	1,110.00
9	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM	LS	LUMP SUM	20,000.00
10	129000	TEMPORARY RAILING (TYPE K)	1,600.0	LF	25.00	40,000.00
11	129100	TEMPORARY CRASH CUSHION MODULE	14.0	EA	225.00	3,150.00
12	031380	TEMPORARY ALTERNATIVE CRASH CUSHION SYSTEM	1.0	EA	2,000.00	2,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
13	129150	TEMPORARY TRAFFIC SCREEN	1,600.0	LF	10.00	16,000.00
14	130100	JOB SITE MANAGEMENT	LUMP SUM	LS	LUMP SUM	80,000.00
15	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM	LS	LUMP SUM	5,000.00
16	130310	RAIN EVENT ACTION PLAN	50.0	EA	200.00	10,000.00
17	130320	STORM WATER SAMPLING AND ANALYSIS DAY	30.0	EA	200.00	6,000.00
18	130330	STORM WATER ANNUAL REPORT	3.0	EA	1,000.00	3,000.00
19	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	15.0	EA	1,000.00	15,000.00
20	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	29,800.0	SQYD	1.00	29,800.00
21	130610	TEMPORARY CHECK DAM	300.0	LF	10.00	3,000.00
22	130620	TEMPORARY DRAINAGE INLET PROTECTION	28.0	EA	100.00	2,800.00
23	130640	TEMPORARY FIBER ROLL	1,560.0	LF	6.00	9,360.00
24	130650	TEMPORARY GRAVEL BAG BERM	1,550.0	LF	10.00	15,500.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
25	130660	TEMPORARY LARGE SEDIMENT BARRIER	2,700.0	LF	10.00	27,000.00
26	130710	TEMPORARY CONSTRUCTION ENTRANCE	3.0	EA	1,000.00	3,000.00
27	130730	STREET SWEEPING	LUMP SUM	LS	LUMP SUM	5,000.00
28	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM	LS	LUMP SUM	1,000.00
29	141000	TEMPORARY FENCE (TYPE ESA)	1,950.0	LF	10.00	19,500.00
30	150668	REMOVE FLARED END SECTION	4.0	EA	500.00	2,000.00
31	150711	REMOVE PAINTED TRAFFIC STRIPE	5,730.0	LF	0.50	2,865.00
32	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	5,620.0	LF	0.50	2,810.00
33	150722	REMOVE PAVEMENT MARKER	420.0	EA	3.00	1,260.00
34	150771	REMOVE ASPHALT CONCRETE DIKE	420.0	LF	20.00	8,400.00
35	150809	REMOVE CULVERT (LF)	130.0	LF	65.00	8,450.00
36	150820	REMOVE INLET	2.0	EA	1,000.00	2,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
37	151230	SALVAGE DOWNDRAIN	2.0	EA	4,000.00	8,000.00
38	152301	RESET MARKER	3.0	EA	35.00	105.00
39	152430	ADJUST INLET	1.0	EA	3,000.00	3,000.00
40	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	1,980.0	SQYD	10.00	19,800.00
41	153121	REMOVE CONCRETE (CY)	30.0	CY	1,000.00	30,000.00
42	155213	CLEANING, INSPECTING, AND PREPARING CULVERT (LF)	200.0	LF	115.00	23,000.00
43	155314	18" CURED-IN-PLACE PIPELINER	200.0	LF	120.00	24,000.00
44	160103	CLEARING AND GRUBBING (ACRE)	3.7	ACRE	160,000.00	592,000.00
45	170101	DEVELOP WATER SUPPLY	LUMP SUM	LS	LUMP SUM	10,000.00
46	190101	ROADWAY EXCAVATION	200.0	CY	100.00	20,000.00
47	190105	ROADWAY EXCAVATION (TYPE Z-2) (AERIALLY DEPOSITED LEAD)	750.0	CY	250.00	187,500.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
48	190112	ROADWAY EXCAVATION (TYPE A)	120.0	CY	150.00	18,000.00
49	190139	ROADWAY EXCAVATION (UNSUITABLE MATERIAL)	900.0	CY	100.00	90,000.00
50	194001	DITCH EXCAVATION	1,000.0	CY	150.00	150,000.00
51	031381	DITCH EXCAVATION (TYPE Z-2) (AERIALLY DEPOSITED LEAD)	18.0	CY	250.00	4,500.00
52	031382	VEGETATION CONTROL (TYPE 1)	1.9	ACRE	125,000.00	237,500.00
53	031383	VEGETATION CONTROL (TYPE 2)	6.6	ACRE	20,000.00	132,000.00
54	031384	WILLOW POLE CUTTINGS	1,040.0	EA	45.00	46,800.00
55	031385	WILLOW CUTTINGS	80.0	EA	65.00	5,200.00
56	031386	WILLOW FASCINES	500.0	LF	25.00	12,500.00
57	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	6.0	EA	3,000.00	18,000.00
58	031387	PERMANENT EROSION CONTROL ESTABLISHMENT WORK	LUMP SUM	LS	LUMP SUM	15,000.00
59	210110	IMPORTED TOPSOIL (CY)	390.0	CY	150.00	58,500.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
60	210130	DUFF	0.4	ACRE	50,000.00	20,000.00
61	031388	ROLLED EROSION CONTROL PRODUCT (NETTING) (TYPE A)	17,800.0	SQFT	0.60	10,680.00
62	031389	ROLLED EROSION CONTROL PRODUCT (NETTING) (TYPE B)	94,500.0	SQFT	0.70	66,150.00
63	210300	HYDROMULCH	11,300.0	SQFT	0.20	2,260.00
64	210350	FIBER ROLLS	15,600.0	LF	2.50	39,000.00
65	210360	COMPOST SOCK	6,730.0	LF	7.00	47,110.00
66	210430	HYDROSEED	119,000.0	SQFT	0.30	35,700.00
67	210600	COMPOST	98,900.0	SQFT	0.60	59,340.00
68	210630	INCORPORATE MATERIALS	17,800.0	SQFT	0.30	5,340.00
69	260203	CLASS 2 AGGREGATE BASE (CY)	280.0	CY	200.00	56,000.00
70	390132	HOT MIX ASPHALT (TYPE A)	310.0	TON	225.00	69,750.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
71	390401	HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)	230.0	TON	250.00	57,500.00
72	394075	PLACE HOT MIX ASPHALT DIKE (TYPE D)	1,340.0	LF	3.00	4,020.00
73	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	64.0	LF	3.00	192.00
74	397005	TACK COAT	1.0	TON	1,000.00	1,000.00
75	510502	MINOR CONCRETE (MINOR STRUCTURE)	19.0	CY	2,000.00	38,000.00
76	510526	MINOR CONCRETE (BACKFILL)	42.0	CY	400.00	16,800.00
77	591200	ROCK STAIN	6,380.0	SQFT	1.00	6,380.00
78	031390	18" HIGH DENSITY POLYETHYLENE PIPE	1,390.0	LF	100.00	139,000.00
79	650026	36" REINFORCED CONCRETE PIPE	53.0	LF	800.00	42,400.00
80	031391	18" PERFORATED PLASTIC PIPE UNDERDRAIN	1,280.0	LF	120.00	153,600.00
81	031392	18" HIGH DENSITY POLYETHYLENE PIPE DOWNDRAIN	550.0	LF	350.00	192,500.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
82	031393	ROCK ANCHORAGE SYSTEM	55.0	EA	3,000.00	165,000.00
83	720121	ROCK SLOPE PROTECTION (1/2 T, METHOD A) (CY)	1,190.0	CY	150.00	178,500.00
84	721028	ROCK SLOPE PROTECTION (NO. 2, METHOD B) (CY)	910.0	CY	150.00	136,500.00
85	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	4,470.0	SQYD	1.00	4,470.00
86(F)	750001	MISCELLANEOUS IRON AND STEEL	7,760.0	LB	3.00	23,280.00
87	031394	ANCHORED DOUBLE TWISTED WIRE MESH SYSTEM	9,860.0	SQYD	35.00	345,100.00
88	031395	ROCK DOWELS	1,130.0	EA	800.00	904,000.00
89	031396	WROUGHT IRON FENCE (8' HIGH)	80.0	LF	100.00	8,000.00
90	820107	DELINEATOR (CLASS 1)	10.0	EA	65.00	650.00
91	846000	4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 18-12)	2,500.0	LF	0.70	1,750.00
92	846001	4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)	5,000.0	LF	1.00	5,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity / Unit of Measure	Unit Price	Item Total
93	850101	PAVEMENT MARKER (NON-REFLECTIVE)	210.0 EA	3.00	630.00
94	850111	PAVEMENT MARKER (RETROREFLECTIVE)	110.0 EA	4.00	440.00
95	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	570,000.00
	Total Bid				$5,795,127.00

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

1) Business Name KRC SAFETY CO., INC.
Location City VISALIA State CA
California Contractor License Number 507950
Public Works Contractor Registration Number 1000004730
Portion of Work Subcontracted:

Item	%	Description
4	100	WORK AS DESCRIBED BY BID ITEM LISTED
11	100	WORK AS DESCRIBED BY BID ITEM LISTED
12	100	WORK AS DESCRIBED BY BID ITEM LISTED
38	100	WORK AS DESCRIBED BY BID ITEM LISTED
90	100	WORK AS DESCRIBED BY BID ITEM LISTED

List this sub? Yes

2) Business Name SUPER SEAL & STRIPE
Location City FILLMORE State CA
California Contractor License Number 396627
Public Works Contractor Registration Number 1000000752
Portion of Work Subcontracted:

Item	%	Description
5	2	TRAFFIC CONTROL (PARTIAL)
6	100	WORK AS DESCRIBED BY BID ITEM LISTED
8	100	WORK AS DESCRIBED BY BID ITEM LISTED
31-33	100	WORK AS DESCRIBED BY BID ITEM LISTED
91-94	100	WORK AS DESCRIBED BY BID ITEM LISTED

List this sub? Yes

6) Business Name KRC SAFETY CO., INC.
Location City VISALIA State CA
California Contractor License Number 507950
Public Works Contractor Registration Number 1000004730
Portion of Work Subcontracted:

Item	%	Description
5	26	TRAFFIC CONTROL(PARTIAL)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 05-0Q6004 Project ID: 0500020290
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

7) Business Name GREEN VISTA LANDSCAPE
Location City ROCKLIN State CA
California Contractor License Number 878017
Public Works Contractor Registration Number 1000007433
Portion of Work Subcontracted:

Item	%	Description
53-61	100	WORK AS DESCRIBED BY BID ITEM LISTED
63-68	100	WORK AS DESCRIBED BY BID ITEM LISTED
19-25	100	WORK AS DESCRIBED BY BID ITEM LISTED
29	100	WORK AS DESCRIBED BY BID ITEM LISTED

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 05-0Q6004 Project ID: 0500020290
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

10) Business Name APEX ROCKFALL MITIGATION LLC
Location City GRAND JUNCTION State CO
California Contractor License Number 745354
Public Works Contractor Registration Number 1000004866
Portion of Work Subcontracted:

Item	%	Description
87	100	WORK AS DESCRIBED BY BID ITEM LISTED

List this sub? Yes

11) Business Name APEX ROCKFALL MITIGATION LLC

Location City GRAND JUNCTION State CO
California Contractor License Number 745354
Public Works Contractor Registration Number 1000004866
Portion of Work Subcontracted:

Item	%	Description
88	100	WORK AS DESCRIBED BY BID ITEM LISTED

List this sub? Yes

12) Business Name COMMUNITY TREE SERVICE, INC.
Location City WATSONVILLE State CA
California Contractor License Number 801657
Public Works Contractor Registration Number 1000007267
Portion of Work Subcontracted:

Item	%	Description
44	100	WORK AS DESCRIBED BY BID ITEM LISTED

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature:_____

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :N/A

Note: This small business questionnaire is included for statistical reporting only.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $100,000 and these subcontractors shall certify and disclose.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes,e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
    (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 05-0Q6004 Project ID: 0500020290
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
DISCLOSURE OF LOBBYING ACTIVITIES Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
No
1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
For Material Change Only:
Year
Quarter
Date of last report
4. Name and Address of Reporting Entity:

Tier ,if known
Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:
6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable
8. Federal Action Number, if known:
9. Award amount, if known ($):
10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature:_____

Print Name: NO LOBBYING ACTIVITIES
Title: NO LOBBYING ACTIVITIES
Telephone No.: NO LOBBYING ACTIVITIES
DATE: NO LOBBYING ACTIVITIES

Federal Use Only:

Authorized for Local Reproduction
Standard Form - LLL(Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder GORDON N. BALL, INC. , proposed subcontractor ,
certifies that he has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:
State of CA County of CONTRA COSTA
Name HAL STOBER ,
being first duly sworn, deposes and says that he or she is
PRESIDENT of
GORDON N. BALL, INC.
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.
N/A

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.
N/A

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
Yes

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
Yes

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
Yes

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
 4.1. Date of each nonresponsibility determination
 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
 4.3. Contract number for each nonresponsibility determination
05-0Q5904, DATED 09/16/14, CALTRANS, MULISSA SMITH OR JOHN MCMILLAN

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE 0102.3(REV 3/2008)
Contract No. 05-0Q6004

Bond No. _____

We_____

_____

_____ as Principal, and

_____

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN
SANTA CRUZ COUNTY NEAR SANTA CRUZ FROM 0.2 MILE NORTH OF PASATIEMPO
OVERCROSSING TO BEULAH PARK UNDERCROSSING
In District 05 on Route 17
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 08-31-16.

NOW,THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated:_____, 20_____. _____

Principal_____

Surety_____

By_____

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

_____

_____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

---

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)

Bond Details for: Bid Bond

Status:	In Effect
Bond Form:	CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)
Current Effective Date:	8/31/2016
Expiration Date:	11/24/2016
Subsidiary:	Gordon N. Ball, Inc.
Description:	Construction on State Highway in Santa Cruz County near Santa Cruz from 0.2 Mile North of Pasatiempo Overcrossing to Beulah Park Undercrossing
Contract Date:	
Type of Bond:	Contract
SAA Code:	Bid Bond(000)
Initial Effective Date:	8/31/2016

Principal

Name:	Gordon N. Ball, Inc.
Address:	333 Camille Avenue Alamo, CA 94507
State of Incorporation:	CA

Obligee

Name:	California Department of Transportation
Address:	1727 30th Street, Bidders' Exchange, MS 26 Sacramento, CA 95816
State of Obligation:	CA
Obligee Type:	state

Agency Information

Agency:	ABD Insurance & Financial Services, Inc.
Site:	ABD Insurance & Financial Services, Inc. (San Mateo, CA)
Attorney-in-fact:	Jean L. Neu
State of Execution:	CA

Bond Form Custom Fields

Bid Location:	Sacramento, CA
Contract ID No. Required for Electronic Bidding:	05-0Q6004
Contractors ID No. Required for Electronic Bidding:	VC0000101123

Public Custom Fields (Viewable by All Users)

# of Originals:	1
Approved by::	Dave Gillis
Requested by::	Serina Sirna

Other Information

Bid Date:	8/31/2016
Bid Bond Amount:	10%
Liquidated Damages:	$5,400.00
MaintenanceTerm:	0 Months
Warranty Term:	12 Months
Project Duration:	440 (Working Days)
SurePath ID (Long):	{C53628F8-8809-4C5D-A3E2-54294D6E0FB5}
Bond Validation Number(BVN):	M9BV-L4HD-QHME-UXKL E-Mail BVN

Issuing Carriers

Fidelity and Deposit Company of Maryland

Bond Number:	Bid Bond
Premium Amount:	$0.00
State Surcharge:	$0.00
Municipal Tax:	$0.00

Comments