

DEPARTMENT OF TRANSPORTATION

DIVISION OF ENGINEERING SERVICES

OFFICE ENGINEER

P.O. BOX 168041, MS-43

SACRAMENTO, CA 95816-8041

PHONE (916) 227-6299

FAX (916) 227-6282

TTY 711

www.dot.ca.gov



*Serious drought.
Help save water!*

September 30, 2014

Facsimile: (925) 838-5915

Hal Stober, President
Gordon N. Ball, Inc.
333 Camille Avenue
Alamo, CA 94507

05-0Q5904
05-SCr-9-3.8/18.7
B.O. 08/27/2014

Dear Mr. Stober:

The Department of Transportation (Caltrans) received mail confirmation of delivery dated September 16, 2014, from Gordon N. Ball, Inc. (Gordon Ball) for Contract No. 05-0Q5904, in response to a nonresponsive bid finding, for non-submittal of its Disadvantaged Business Enterprise (DBE) submittal.

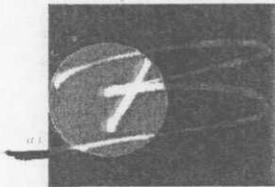
Upon further review, Caltrans confirms that it received the post bid submittal on September 2, 2014, which consisted of a cover page (indicating the numbers of pages enclosed) and the DBE Commitment form (2 pages, as indicated on the cover page), however no written confirmation or quotes were received. Therefore, it remains the finding of Caltrans that the bid submitted by Gordon Ball is nonresponsive.

If you have any questions, please contact Mulissa Smith, Contract Awards Branch Chief, at (916) 227-6228.

Sincerely,

A handwritten signature in black ink, appearing to read "John C. McMILLAN".

JOHN C. McMILLAN
Deputy Division Chief
Office Engineer
Division of Engineering Services



Low Bidder

09-02-14P:1:1 RCVD

Gordon N. Ball Inc. August 29, 2014

Correspondence No. 200-001

General Engineering Contractors

MSC 43, Office Engineer
Department of Transportation
1727 30th Street
Sacramento CA 95816-7005

Tel 925.838.5675

Fax 925.838.5915

Reference: Hwy 9 Retaining Wall & Viaduct
Caltrans Contract 05-0Q5904
GNB Job No. 200

333 Camille Avenue

Alamo, CA 94507

Enclosed please find the following:

1. DBE Commitment Submittal (2 Pages)

Please contact the undersigned if you have any questions.

Sincerely,

GORDON N. BALL, INC.

Trister Davis
Estimating

Low Bidder

09-02-14P11:11 RCVD

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in section 2 of the specifications. Failure to submit the required DBE information will be grounds for finding the bid nonresponsive.

CONTRACT NO: 05-0Q5904

BID AMOUNT:
 \$ 1,818,665.00

BID OPENING DATE: 8/28/14 8-27-14

BIDDER'S NAME: GORDON N. BALL, INC.

DBE GOAL FROM CONTRACT, %: 9.0%

DBE PRIME CONTRACTOR CERTIFICATION¹:
 NONE

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE ³
55 & 56	DRILLED HOLE (PARTIAL)		Hillside Drilling Co., Inc. PO Box 70130 Pt. Richmond, CA 94807 510.234.6532 DBE #42048	\$106,194.00
57 & 58	CIDH (PARTIAL)		Hillside Drilling Co., Inc. PO Box 70130 Pt. Richmond, CA 94807 510.234.6532 DBE #42048	\$51,070.00
2	CAS		K R C Safety Co., Inc. PO Box 6356 Visalia, CA 93290 559.732.0393 DBE #000447	\$20,000.00

IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective items(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
3. See section 2-1.12 of the specifications to determine the credit allowed for DBE firms.

Submit to:

MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005

Total Claimed Participation		\$
SEE NEXT PAGE		%
SEE NEXT PAGE		
Signature of Bidder		
Date	(Area Code) Tel. No.	
Person to Contact	(Please Type or Print)	

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
CALTRANS BIDDER - DBE - COMMITMENT
 DES-OE-0102.10C (NEW 06/2012)

09-03-14P01117 KEVD

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in section 2 of the specifications. Failure to submit the required DBE information will be grounds for finding the bid nonresponsive.

CONTRACT NO: 05-0Q5904

BID AMOUNT:
 \$ 1,818,665.00

BID OPENING DATE: 8/28/14

BIDDER'S NAME: GORDON N. BALL, INC.

DBE GOAL FROM CONTRACT, %: 9.0%

DBE PRIME CONTRACTOR CERTIFICATION¹:
 NONE

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE ³
14, 22, 83 & 85	MARKERS & SIGNS		K R C Safety Co., Inc. PO Box 6356 Visalia, CA 93290 559.732.0393 DBE #000447	\$640.00

IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective items(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
3. See section 2-1.12 of the specifications to determine the credit allowed for DBE firms.

Submit to:

MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005

Total Claimed Participation

\$ 177,904.00

9.78 %

Signature of Bidder

8/29/14

Date

925.838.5675

(Area Code) Tel. No.

TRISTEN DAVIS

Person to Contact

(Please Type or Print)

**** Transmit Conf. Report ****

P.1
CALTRANS CONTR AWARDS Fax 916-227-6282

Sep 30 2014 04:33pm

Fax/Phone Number	Mode	Start	Time	Page	Result	Note
919258385915	Normal	30:04:32pm	1'22"	4	# 0 K	

STATE OF CALIFORNIA—CALIFORNIA STATE TRANSPORTATION AGENCY

EDMUND G. BROWN Jr., Governor

DEPARTMENT OF TRANSPORTATION
DIVISION OF ENGINEERING SERVICES
OFFICE ENGINEER
P.O. BOX 168041, MS-43
SACRAMENTO, CA 95816-8041
PHONE (916) 227-6299
FAX (916) 227-6282
TTY 711
www.dot.ca.gov



*Serious drought.
Help save water!*

September 30, 2014

Facsimile: (925) 838-5915

Hal Stober, President
Gordon N. Ball, Inc.
333 Camille Avenue
Alamo, CA 94507

05-0Q5904
05-SCr-9-3.8/18.7
B.O. 08/27/2014

Dear Mr. Stober:

The Department of Transportation (Caltrans) received mail confirmation of delivery dated September 16, 2014, from Gordon N. Ball, Inc. (Gordon Ball) for Contract No. 05-0Q5904, in response to a nonresponsive bid finding, for non-submittal of its Disadvantaged Business Enterprise (DBE) submittal.

Upon further review, Caltrans confirms that it received the post bid submittal on September 2, 2014, which consisted of a cover page (indicating the numbers of pages enclosed) and the DBE Commitment form (2 pages, as indicated on the cover page), however no written confirmation or quotes were received. Therefore, it remains the finding of Caltrans that the bid submitted by Gordon Ball is nonresponsive.

If you have any questions, please contact Mulissa Smith, Contract Awards Branch Chief, at (916) 227-6228.

Sincerely,


JOHN C. McMILLAN
Deputy Division Chief
Office Engineer
Division of Engineering Services