

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

4

*JS*

CONSTRUCTION ON STATE HIGHWAY IN  
SAN MATEO COUNTY  
IN WOODSIDE  
AT ROUTE 84/280 SEPARATION  
In District 04 on Route 84

UNDER

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Notice to Bidders and Special Provisions dated September 21, 2015  
Project plans approved June 1, 2015  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 04-4G6404

04-SM-84-21.6

Project ID 0412000622

FEDERAL-AID PROJECT  
ACNHP-P084(044)E

Bids open Tuesday, November 3, 2015

Dated September 21, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-4G6404 Project ID: 0412000622

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Gordon N. Ball, Inc.

Business Street Address 333 Camille Avenue

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Alamo, CA, 94507

Telephone No: AREA CODE 9258385675

Fax No: AREA CODE

Contractor License No. 710807

Public Works Contractor Registration No. 1000000786

Checksum E283E49E

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda: 2  
10-23-15 Revise Project Plans, Notice to Bidders and Special Provisions, Bid
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: HAL STOBER AND

TITLE OF PERSON SIGNING: PRESIDENT

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BY (Authorized signature)

DATE SIGNED (Do not type)

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	5,000.00
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	5,000.00
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	50,000.00
4	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	670.0 LF	6.00	4,020.00
5	120165	CHANNELIZER (SURFACE MOUNTED)	12.0 EA	65.00	780.00
6	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	3,000.00
7	129000	TEMPORARY RAILING (TYPE K)	700.0 LF	25.00	17,500.00
8	029897	TEMPORARY ALTERNATIVE CRASH CUSHION	1.0 EA	5,000.00	5,000.00
9	129150	TEMPORARY TRAFFIC SCREEN	700.0 LF	5.00	3,500.00
10	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	20,000.00
11	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	5,000.00
12	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	3,650.0 SQYD	0.80	2,920.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130570	TEMPORARY COVER	1,770.0 SQYD	3.00	5,310.00
14	130610	TEMPORARY CHECK DAM	170.0 LF	10.00	1,700.00
15	130620	TEMPORARY DRAINAGE INLET PROTECTION	4.0 EA	100.00	400.00
16	130640	TEMPORARY FIBER ROLL	1,750.0 LF	3.00	5,250.00
17	130670	TEMPORARY REINFORCED SILT FENCE	950.0 LF	10.00	9,500.00
18	130710	TEMPORARY CONSTRUCTION ENTRANCE	1.0 EA	1,000.00	1,000.00
19	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	2,000.00
20	130800	TEMPORARY ACTIVE TREATMENT SYSTEM	LUMP SUM LS	LUMP SUM	5,000.00
21	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	1,000.00
22	140003	ASBESTOS COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	2,000.00
23	141000	TEMPORARY FENCE (TYPE ESA)	130.0 LF	3.00	390.00
24	141120	TREATED WOOD WASTE	7,560.0 LB	0.30	2,268.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	045071 CLEANOUT	ABANDON DRAINAGE	3.0 EA	500.00	1,500.00
26	150230	DESTROY WELL	3.0 EA	8,000.00	24,000.00
27	150608 LINK FENCE	REMOVE CHAIN	120.0 LF	10.00	1,200.00
28	150661	REMOVE GUARDRAIL	590.0 LF	20.00	11,800.00
29	150685 IRRIGATION FACILITY	REMOVE	LUMP SUM LS	LUMP SUM	5,000.00
30	150714 STRIPE	REMOVE THERMOPLASTIC TRAFFIC	100.0 LF	5.00	500.00
31	150742 SIGN	REMOVE ROADSIDE	1.0 EA	225.00	225.00
32	150771 CONCRETE DIKE	REMOVE ASPHALT	250.0 LF	5.00	1,250.00
33	150809 (LF)	REMOVE CULVERT	14.0 LF	50.00	700.00
34	150820	REMOVE INLET	1.0 EA	1,000.00	1,000.00
35	152386 ROADSIDE SIGN-ONE POST	RELOCATE	1.0 EA	325.00	325.00
36	152609 MANHOLE	MODIFY INLET TO	1.0 EA	2,000.00	2,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	1,920.0 SQYD	10.00	19,200.00
38	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	50,000.00
39	190101	ROADWAY EXCAVATION	930.0 CY	50.00	46,500.00
40(F)	192049	STRUCTURE EXCAVATION (SOLDIER PILE WALL)	480.0 CY	550.00	264,000.00
41(F)	193029	STRUCTURE BACKFILL (SOLDIER PILE WALL)	317.0 CY	50.00	15,850.00
42(F)	193116	CONCRETE BACKFILL (SOLDIER PILE WALL)	695.0 CY	200.00	139,000.00
43(F)	193119	LEAN CONCRETE BACKFILL	344.0 CY	180.00	61,920.00
44	200002	ROADSIDE CLEARING	LUMP SUM LS	LUMP SUM	5,000.00
45	202006	SOIL AMENDMENT	4.0 CY	200.00	800.00
46	202039	SLOW-RELEASE FERTILIZER	30.0 LB	10.00	300.00
47	204009	PLANT (GROUP I)	20.0 EA	25.00	500.00
48	204036	PLANT (GROUP B)	19.0 EA	150.00	2,850.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	204099	PLANT ESTABLISHMENT WORK	LUMP SUM LS	LUMP SUM	12,000.00
50	205035	WOOD MULCH	3.0 CY	200.00	600.00
51	205051	FOLIAGE PROTECTOR	39.0 EA	100.00	3,900.00
52	206564	1 1/2" REMOTE CONTROL VALVE	1.0 EA	800.00	800.00
53	206920	BATTERY POWERED IRRIGATION CONTROLLER	3.0 EA	1,000.00	3,000.00
54		BLANK	LUMP SUM LS	LUMP SUM	0.00
55		BLANK	LUMP SUM LS	LUMP SUM	0.00
56	208448	RISER SPRINKLER ASSEMBLY	39.0 EA	50.00	1,950.00
57	208450	DRIP VALVE ASSEMBLY	2.0 EA	1,000.00	2,000.00
58(F)	208594	3/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	740.0 LF	8.00	5,920.00
59(F)	208595	1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	490.0 LF	9.00	4,410.00
60(F)	208596	1 1/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	30.0 LF	15.00	450.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
61	208649	QUICK COUPLING VALVE	1.0 EA	250.00	250.00
62	208670	CHECK VALVE	4.0 EA	100.00	400.00
63	208683	BALL VALVE	1.0 EA	250.00	250.00
64	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	4.0 EA	500.00	2,000.00
65	210300	HYDROMULCH	30,000.0 SQFT	0.05	1,500.00
66	210350	FIBER ROLLS	1,380.0 LF	3.00	4,140.00
67	210430	HYDROSEED	30,000.0 SQFT	0.15	4,500.00
68	210600	COMPOST	30,000.0 SQFT	0.30	9,000.00
69	210630	INCORPORATE MATERIALS	30,000.0 SQFT	0.10	3,000.00
70	390132	HOT MIX ASPHALT (TYPE A)	560.0 TON	135.00	75,600.00
71	391006	ASPHALT BINDER (GEOSYNTHETIC PAVEMENT INTERLAYER)	1.7 TON	2,000.00	3,400.00
72	393006	GEOSYNTHETIC PAVEMENT INTERLAYER (PAVING GRID)	1,620.0 SQYD	3.00	4,860.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
73	394060	DATA CORE	LUMP SUM LS	LUMP SUM	1,000.00
74	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	83.0 LF	10.00	830.00
75	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	120.0 LF	10.00	1,200.00
76	397005	TACK COAT	0.7 TON	1,000.00	700.00
77	460210	GROUND ANCHOR (SUBHORIZONTAL)	146.0 EA	4,275.00	624,150.00
78	045072	STEEL SOLDIER PILE (2-HP 12 X 84)	2,571.0 LF	135.00	347,085.00
79	045073	STEEL SOLDIER PILE (W 18 X 106)	336.0 LF	85.00	28,560.00
80	490409	42" DRILLED HOLE	3,041.0 LF	155.00	471,355.00
81(F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	9.0 CY	5,000.00	45,000.00
82(F)	510072	STRUCTURAL CONCRETE, BARRIER SLAB	288.0 CY	700.00	201,600.00
83	510502	MINOR CONCRETE (MINOR STRUCTURE)	3.2 CY	2,000.00	6,400.00
84(F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	778.0 LB	2.00	1,556.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
85	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	15.0 SQFT	25.00	375.00
86	566011	ROADSIDE SIGN - ONE POST	1.0 EA	300.00	300.00
87	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	1.0 EA	200.00	200.00
88 (F)	575004	TIMBER LAGGING	42.0 MFBM	4,000.00	168,000.00
89	590120	CLEAN AND PAINT STEEL SOLDIER PILING	LUMP SUM LS	LUMP SUM	125,000.00
90	597601	PREPARE AND STAIN CONCRETE	127.0 SQFT	20.00	2,540.00
91	620020	8" ALTERNATIVE PIPE CULVERT	59.0 LF	85.00	5,015.00
92	650014	18" REINFORCED CONCRETE PIPE	15.0 LF	180.00	2,700.00
93	650018	24" REINFORCED CONCRETE PIPE	2.5 LF	500.00	1,250.00
94	681132	GEOCOMPOSITE DRAIN	5,468.0 SQFT	1.00	5,468.00
95	681501	FURNISH AND INSTALL DRAIN PIPE (HORIZONTAL DRAIN)	1,844.0 LF	2.00	3,688.00
96	681502	DRILL HOLE (HORIZONTAL DRAIN)	1,844.0 LF	30.00	55,320.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
97	731507	MINOR CONCRETE (GUTTER DEPRESSION)	2.3 CY	600.00	1,380.00
98	750001	MISCELLANEOUS IRON AND STEEL	920.0 LB	1.00	920.00
99	800360	CHAIN LINK FENCE (TYPE CL-6)	93.0 LF	35.00	3,255.00
100	820107	DELINEATOR (CLASS 1)	17.0 EA	45.00	765.00
101	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	130.0 LF	75.00	9,750.00
102	832070	VEGETATION CONTROL (MINOR CONCRETE)	56.0 SQYD	65.00	3,640.00
103(F)	045074	TUBULAR BICYCLE RAILING	353.0 LF	110.00	38,830.00
104	029898	ALTERNATIVE CRASH CUSHION (TEST LEVEL 2)	1.0 EA	30,000.00	30,000.00
105	839701	CONCRETE BARRIER (TYPE 60)	49.0 LF	150.00	7,350.00
106(F)	839727	CONCRETE BARRIER (TYPE 736 MODIFIED)	454.0 LF	100.00	45,400.00
107	840504	4" THERMOPLASTIC TRAFFIC STRIPE	1,240.0 LF	1.00	1,240.00
108	840505	6" THERMOPLASTIC TRAFFIC STRIPE	320.0 LF	2.00	640.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-4G6404 Project ID: 0412000622

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
109	840506	8" THERMOPLASTIC TRAFFIC STRIPE	530.0 LF	2.00	1,060.00
110	840507	6" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 8-4)	300.0 LF	1.00	300.00
111	840515	THERMOPLASTIC PAVEMENT MARKING	230.0 SQFT	8.00	1,840.00
112	840526	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 17-7)	470.0 LF	1.00	470.00
113	850111	PAVEMENT MARKER (RETROREFLECTIVE)	46.0 EA	3.00	138.00
114	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	1,000.00
115	860400	LIGHTING (TEMPORARY)	LUMP SUM LS	LUMP SUM	55,000.00
116	861503	MODIFY LIGHTING	LUMP SUM LS	LUMP SUM	75,000.00
117	995100	WATER METER CHARGES	LUMP SUM LS	LUMP SUM	5,000.00
118	995200	IRRIGATION WATER SERVICE CHARGES	LUMP SUM LS	LUMP SUM	5,000.00
119		BLANK	LUMP SUM LS	LUMP SUM	0.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
120	208424	1 1/4" BACKFLOW PREVENTER ASSEMBLY	1.0 EA	5,000.00	5,000.00
121	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	360,000.00
Total Bid					\$4,039,158.00

TOTAL BID

TOTAL BID FOR BID ITEMS:		\$3,709,158.00
TOTAL BID FOR TIME: 110 X	\$3,000.00 =	\$330,000.00
WORKING DAYS BID (Not to exceed 150 days)	WORKING DAYS BID (Not to exc)	
TOTAL BID FOR COMPARISON (COST PLUS TIME):		\$4,039,158.00

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

- 1) Business Name FRANK MEDINA GENERAL ENGINEERING  
Location City OROVILLE State CA  
California Contractor License Number 644373  
Public Works Contractor Registration Number 10000005534  
Portion of Work Subcontracted:

Item %	Description
27 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
31 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
35 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
85-87 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
99-103 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

List this sub? Yes

- 2) Business Name STATWEWIDE SAFETY  
Location City FAIRFIELD State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item %	Description
2 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

List this sub? Yes

- 3) Business Name CMC TRAFFIC CONTROL SPECIALISTS  
Location City SAN FRANCISCO State CA  
California Contractor License Number 792059  
Public Works Contractor Registration Number 1000006503  
Portion of Work Subcontracted:

Item %	Description
3 20	TRAFFIC CONTROL (PARTIAL)

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

- 4) Business Name SUPER STRIPE & SEAL  
Location City FILLMORE State CA  
California Contractor License Number 396627  
Public Works Contractor Registration Number 1000000752  
Portion of Work Subcontracted:

Item %	Description
4 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
30 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
107-113 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

List this sub? Yes

- 5) Business Name TEAM EES  
Location City ALAMEDA State CA  
California Contractor License Number 386026  
Public Works Contractor Registration Number 1000005623  
Portion of Work Subcontracted:

Item %	Description
64-69 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

List this sub? Yes

- 6) Business Name MARINA LANDSCAPE  
Location City ORANGE State CA  
California Contractor License Number 492862  
Public Works Contractor Registration Number 1000000079  
Portion of Work Subcontracted:

Item %	Description
29 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
45-53 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
56-63 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
120 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
Contract No.: 04-4G6404 Project ID: 0412000622  
Bidder Name: Gordon N. Ball, Inc. Bidder ID: VC0000101123  
DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

7) Business Name TELFER HIGHWAY TECHNOLOGIES  
Location City PITTSBURG State CA  
California Contractor License Number 984141  
Public Works Contractor Registration Number 1000002627  
Portion of Work Subcontracted:

Item %	Description
71 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
72 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

List this sub? Yes

8) Business Name JENSEN DRILLING  
Location City EUGENE State OR  
California Contractor License Number 340115  
Public Works Contractor Registration Number 1000003450  
Portion of Work Subcontracted:

Item %	Description
95 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
96 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
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Bidder Name: Gordon N. Ball, Inc. Bidder ID: VC0000101123  
DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

10) Business Name MIKE BROWN ELECTRIC  
Location City COTATI State CA

California Contractor License Number 306767  
Public Works Contractor Registration Number 1000000469  
Portion of Work Subcontracted:

Item %	Description
114-116	100 WORK AS DESCRIBED BY BID ITEM(S) LISTED

List this sub? Yes

12) Business Name AVAR  
Location City FREMONT State CA  
California Contractor License Number 906815  
Public Works Contractor Registration Number 1000002298  
Portion of Work Subcontracted:

Item %	Description
77	100 WORK AS DESCRIBED BY BID ITEM(S) LISTED

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

13) Business Name BALDRY BROTHERS DRILLING  
Location City COTATI State CA  
California Contractor License Number 711367  
Public Works Contractor Registration Number 1000027553  
Portion of Work Subcontracted:

Item %	Description
80 19	DRILL HOLE (PARTIAL)

List this sub? Yes

14) Business Name CAMBLIN STEEL  
Location City ROCKLIN State CA  
California Contractor License Number 218839  
Public Works Contractor Registration Number 1000003852  
Portion of Work Subcontracted:

Item %	Description
82 18	REBAR
84 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED
105 3	REBAR
106 19	REBAR

List this sub? Yes

15) Business Name JEFFCO  
Location City VALLEJO State CA  
California Contractor License Number 364702  
Public Works Contractor Registration Number 1000001665  
Portion of Work Subcontracted:

Item %	Description
89 23	FIELD COAT (PARTIAL)
90 100	WORK AS DESCRIBED BY BID ITEM(S) LISTED



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-4G6404 Project ID: 0412000622

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-4G6404 Project ID: 0412000622

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :N/A

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-4G6404 Project ID: 0412000622

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-4G6404 Project ID: 0412000622

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name: NO LOBBYING ACTIVITIES

Title: NO LOBBYING ACTIVITIES

Telephone No.: NO LOBBYING ACTIVITIES

DATE: NO LOBBYING ACTIVITIES

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder GORDON N. BALL, INC., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

NO EXCEPTIONS

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

N/A

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:  
State of CA County of CONTRA COSTA

Name HAL STOBER,

being first duly sworn, deposes and says that he or she is

PRESIDENT of

GORDON N. BALL, INC.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.  
N/A

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.  
N/A

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

Yes

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

Yes

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

Yes

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

05-0Q5904, DATED 09/16/14, CALTRANS, MULISSA SMITH OR JOHN MCMILLAN

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN SAN MATEO COUNTY IN WOODSIDE AT ROUTE 84/280 SEPARATION In District 04 on Route 84 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 11-03-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

**Bond Details for: Bid Bond**

**Status:** In Effect  
**Bond Form:** CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)  
**Current Effective Date:** 10/28/2015  
**Expiration Date:** 1/26/2016  
**Subsidiary:** Gordon N. Ball, Inc.  
**Description:** Construction on State Highway in San Mateo County in Woodside at Route 84/280 Separation; In District 04 On Route 84  
**Contract Date:**  
**Type of Bond:** Contract  
**SAA Code:** Bid Bond(000)  
**Initial Effective Date:** 10/28/2015

**Principal**

**Name:** Gordon N. Ball, Inc.  
**Address:** 333 Camille Avenue  
 Alamo, CA 94507  
**State of Incorporation:** CA

**Obligee**

**Name:** State of California, Department of Transportation  
**Address:** 1727 30th Street, Bidders' Exchange, MS 26  
 Sacramento, CA 95816  
**State of Obligation:** CA  
**Obligee Type:** all other

**Agency Information**

**Agency:** Edgewood Partners Insurance Center (EPIC)  
**Site:** Edgewood Partners Insurance Center (EPIC) (San Francisco, CA)  
**Attorney-in-fact:** Jessica Nowlin  
**State of Execution:** CA

**Bond Form Custom Fields**

**Bid Location:** 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816  
**Contract ID No. Required for Electronic Bidding:** 04-4G6404  
**Contractors ID No. Required for Electronic Bidding:** VC0000101123  
**Principal Signature Name:** Hal Stober  
**Principal Signature Name Title:** President

**Other Information**

**Bid Date:** 11/3/2015  
**Bid Bond Amount:** 10%  
**SurePath ID (Long):** {DD4A12AB-3464-48D0-ADDB-E87A3ABD86A3}  
**Bond Validation Number(BVN):** 4W89-AGEU-MLWN-1QV6 E-Mail BVN

**Issuing Carriers**

**Travelers Casualty and Surety Company of America**  
**Bond Number:** Bid Bond  
**Premium Amount:** \$0.00  
**State Surcharge:** \$0.00  
**Municipal Tax:** \$0.00

**Comments**