CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

CONSTRUCTION ON STATE HIGHWAY IN
SONOMA COUNTY NEAR CLOVERDALE ABOUT 3.1 MILES WEST OF NORTH CLOVERDALE BOULEVARD
In District 04 on Route 128

UNDER

Notice to Bidders and Special Provisions dated October 13, 2014
Project plans approved June 16, 2014
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 04-3G1204

04-Son-128-1.8

Project ID 0400021276

FEDERAL-AID PROJECT
ACSTP-P128(054)E

Bids open Wednesday, November 19, 2014 Dated October 13, 2014

Name of Bidder Gordon N. Ball, Inc.
Business Street Address 333 Camille Avenue
(Include even if P.O. Box is used)
Business P.O. Box
City, State, Zip Alamo, CA, 94507
Telephone No: AREA CODE 9258385675
Fax No: AREA CODE
Contractor License No.710807
Checksum BDAC17C4

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

   For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

   For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

   For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:0

3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond

4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

   4.1 Criminal prosecution
   4.2 Rejection of the bid
   4.3 Rescission of the award
   4.4 Termination of the Contract

PRINTED NAME:HAL STOBER AND
TITLE OF PERSON SIGNING:PRESIDENT

BY (Authorized signature) DATE SIGNED(Do not type)

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM	LS	LUMP SUM	5,000.00
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM	LS	LUMP SUM	4,000.00
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM	LS	LUMP SUM	25,000.00
4	120151	TEMPORARY TRAFFIC STRIPE (TAPE)	520.0	LF	4.00	2,080.00
5	120152	TEMPORARY PAVEMENT MARKING (TAPE)	24.0	SQFT	10.00	240.00
6	120165	CHANNELIZER (SURFACE MOUNTED)	26.0	EA	40.00	1,040.00
7	128601	TEMPORARY SIGNAL SYSTEM	LUMP SUM	LS	LUMP SUM	110,000.00
8	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM	LS	LUMP SUM	10,000.00
9	129000	TEMPORARY RAILING (TYPE K)	320.0	LF	25.00	8,000.00
10	129100	TEMPORARY CRASH CUSHION MODULE	22.0	EA	200.00	4,400.00
11	130100	JOB SITE MANAGEMENT	LUMP SUM	LS	LUMP SUM	10,000.00
12	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM	LS	LUMP SUM	5,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
13	130670	TEMPORARY REINFORCED SILT FENCE	260.0	LF	15.00	3,900.00
14	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM	LS	LUMP SUM	1,000.00
15	141000	TEMPORARY FENCE (TYPE ESA)	260.0	LF	4.00	1,040.00
16	141001	HEALTH AND SAFETY PLAN	LUMP SUM	LS	LUMP SUM	2,000.00
17	141101	REMOVE YELLOW PAINTED TRAFFIC STRIPE (HAZARDOUS WASTE)	1,030.0	LF	5.00	5,150.00
18	150661	REMOVE GUARDRAIL	240.0	LF	20.00	4,800.00
19	150722	REMOVE PAVEMENT MARKER	50.0	EA	3.00	150.00
20	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	910.0	SQYD	10.00	9,100.00
21	158210	RESET TEMPORARY RAILING (TYPE K)	320.0	LF	3.00	960.00
22	160102	CLEARING AND GRUBBING (LS)	LUMP SUM	LS	LUMP SUM	25,000.00
23	190101	ROADWAY EXCAVATION	65.0	CY	100.00	6,500.00
24	027628	GROUT INJECTION POINTS	50.0	EA	250.00	12,500.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
25	027629	COMPACTION GROUTING	180.0	CF	60.00	10,800.00
26(F)	192049	STRUCTURE EXCAVATION (SOLDIER PILE WALL)	61.0	CY	100.00	6,100.00
27(F)	193029	STRUCTURE BACKFILL (SOLDIER PILE WALL)	104.0	CY	50.00	5,200.00
28(F)	193116	CONCRETE BACKFILL (SOLDIER PILE WALL)	270.0	CY	200.00	54,000.00
29(F)	193119	LEAN CONCRETE BACKFILL	72.0	CY	150.00	10,800.00
30	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	2.0	EA	800.00	1,600.00
31	210300	HYDROMULCH	1,250.0	SQFT	0.50	625.00
32	210350	FIBER ROLLS	250.0	LF	5.00	1,250.00
33	210420	STRAW	1,250.0	SQFT	0.50	625.00
34	210430	HYDROSEED	1,250.0	SQFT	0.50	625.00
35	390132	HOT MIX ASPHALT (TYPE A)	260.0	TON	120.00	31,200.00
36	397005	TACK COAT	1.0	TON	1,000.00	1,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
37	044676	STEEL SOLDIER PILE(W18X211)	1,875.0	LF	155.00	290,625.00
38	490403	30" DRILLED HOLE	1,664.0	LF	125.00	208,000.00
39(F)	575004	TIMBER LAGGING	16.0	MFBM	3,000.00	48,000.00
40	590120	CLEAN AND PAINT STEEL SOLDIER PILING	LUMP SUM	LS	LUMP SUM	20,000.00
41	665023	24" CORRUGATED STEEL PIPE (.079" THICK)	44.0	LF	220.00	9,680.00
42	027630	8" NON-PERFORATED PLASTIC PIPE UNDERDRAIN	180.0	LF	25.00	4,500.00
43	680905	8" PERFORATED PLASTIC PIPE UNDERDRAIN	150.0	LF	25.00	3,750.00
44	682049	CLASS 3 PERMEABLE MATERIAL (BLANKET)	32.0	CY	50.00	1,600.00
45	027631	8"STEEL FLARED END SECTION	1.0	EA	200.00	200.00
46	705015	24" STEEL FLARED END SECTION	1.0	EA	400.00	400.00
47	721015	ROCK SLOPE PROTECTION (LIGHT, METHOD B) (CY)	12.0	CY	100.00	1,200.00
48	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	30.0	SQYD	2.00	60.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
49	820107	DELINEATOR (CLASS 1)	48.0	EA	50.00	2,400.00
50	832006	MIDWEST GUARDRAIL SYSTEM (STEEL POST)	240.0	LF	35.00	8,400.00
51(F)	839521	CABLE RAILING	247.0	LF	30.00	7,410.00
52	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	2.0	EA	2,800.00	5,600.00
53	840501	THERMOPLASTIC TRAFFIC STRIPE	2,940.0	LF	2.00	5,880.00
54	850111	PAVEMENT MARKER (RETROREFLECTIVE)	64.0	EA	10.00	640.00
55	999990	MOBILIZATION	LUMP SUM	LS	LUMP SUM	110,000.00
	Total Bid					$1,109,030.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-3G1204 Project ID: 0400021276
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
SUBCONTRACTOR LIST
DES-OE-0102.2B(REV 5/2014)

Bidding Firm: Gordon N. Ball, Inc.

Under Public Contract Code § 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars ($10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening the bid item numbers with percentages of the portion of work subcontracted.

a Not required on contracts with Federal-Aid.

List this sub? Yes
1) Business NameAPPLY-A-LINE
Location CityANDERSON StateCA
California Contractor License Number (a) 562762
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
1(P), 4, 5, 6, 17, 19,
Percentage of Bid Item Subcontracted
25%, 100%, 100%, 100%, 100%, 100%
Bid Item Numbers
53, 54
Percentage of Bid Item Subcontracted
100%, 100%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-3G1204 Project ID: 0400021276
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
List this sub? Yes
2) Business NameBENTANTCOURT
Location CityLIVERMORE StateCA
California Contractor License Number (a) 854851
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
7(P)
Percentage of Bid Item Subcontracted
87%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
3) Business NameSTATEWIDE SAFETY
Location CityFAIRFIELD StateCA
California Contractor License Number (a) 975518
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
2
Percentage of Bid Item Subcontracted
100%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-3G1204 Project ID: 0400021276
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
List this sub? Yes
4) Business NameSTATEWIDE SAFETY
Location CityFAIRFIELD StateCA
California Contractor License Number (a) 075518
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
10
Percentage of Bid Item Subcontracted
100%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
5) Business NameMIDSTATE BARRIER, INC.
Location CitySTOCKTON StateCA
California Contractor License Number (a) 529261
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
18, 50-52
Percentage of Bid Item Subcontracted
100%, 100%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
7) Business NamePACIFIC COAST DRILLING CO.
Location CitySANTA ROSA StateCA
California Contractor License Number (a) 539855
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
38(P)
Percentage of Bid Item Subcontracted
46%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-3G1204 Project ID: 0400021276
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
List this sub? Yes
8) Business NameACACIA
Location CitySANTA BARBARA StateCA
California Contractor License Number (a) 813236
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
30, 31, 33, 34
Percentage of Bid Item Subcontracted
100%, 100%, 100%, 100%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
9) Business NameTHE PRESSURE GROUT CO.
Location CityHAYWARD StateCA
California Contractor License Number (a) 267916
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
24, 25
Percentage of Bid Item Subcontracted
100%, 100%
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Gordon N. Ball Inc.

General Engineering Contractors

Tel 925.838.5675

Fax 925.838.5915

333 Camille Avenue

Alamo, CA 94507

November 19, 2014 – VIA FACSIMILE 916.227.6282

MSC 43 – Office Engineer
Department of Transportation
1727 30th Street
Sacramento, CA 95816-7005

Reference:	Cloverdale Soldier Pile Wall / Culvert Contract No. 04-3G1204
Bid Date:	November 19, 2014 @ 2:00 PM

Enclosed please find the following bid documents for the above referenced project.

1. 24 Hour Subcontractor Submittal – 5 Pages Total (Page 8-12)

If you have any questions regarding these submittals, please contact the undersigned directly at 925.838.5675 or email at tdavis@ballconco.com.

Sincerely,
GORDON N. BALL, INC.

Tristen Davis
Estimating

Bidding Firm: Gordon N. Ball, Inc.

Under Public Contract Code ◆ 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars ($10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening the bid item numbers with percentages of the portion of work subcontracted.

a Not required on contracts with Federal-Aid.

List this sub? Yes
1) Business NameAPPLY-A-LINE
Location CityANDERSON StateCA
California Contractor License Number (a) 562762
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
1(P), 4, 5, 6, 17, 19,
Percentage of Bid Item Subcontracted

Bid Item Numbers
53, 54
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
2) Business NameBENTANTCOURT
Location CityLIVERMORE StateCA
California Contractor License Number (a) 854851
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
7(P)
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
3) Business NameSTATEWIDE SAFETY
Location CityFAIRFIELD StateCA
California Contractor License Number (a) 975518
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
2
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
4) Business NameSTATEWIDE SAFETY
Location CityFAIRFIELD StateCA
California Contractor License Number (a) 075518
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
10
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
5) Business NameMIDSTATE BARRIER, INC.
Location CitySTOCKTON StateCA
California Contractor License Number (a) 529261
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
18, 50-52
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
7) Business NamePACIFIC COAST DRILLING CO.
Location CitySANTA ROSA StateCA
California Contractor License Number (a) 539855
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
38(P)
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-3G1204 Project ID: 0400021276
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
List this sub? Yes
8) Business NameACACIA
Location CitySANTA BARBARA StateCA
California Contractor License Number (a) 813236
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
30, 31, 33, 34
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
9) Business NameTHE PRESSURE GROUT CO.
Location CityHAYWARD StateCA
California Contractor License Number (a) 267916
Description of Portion of Work
WORK AS DESCRIBED WITHIN THE BID ITEMS LISTED
Bid Item Numbers
24, 25
Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature:_____

SMALL BUSINESS STATUS
DES-OE-0102.4(REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :N/A

Note: This small business questionnaire is included for statistical reporting only.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $100,000 and these subcontractors shall certify and disclose.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes,e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a)Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
    (b)Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-3G1204 Project ID: 0400021276
Bidder Name:Gordon N. Ball, Inc. Bidder ID:VC0000101123
DISCLOSURE OF LOBBYING ACTIVITIES Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
No
1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
For Material Change Only:
Year
Quarter
Date of last report
4. Name and Address of Reporting Entity:

Tier ,if known
Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:
6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable
8. Federal Action Number, if known:
9. Award amount, if known ($):
10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature:_____

Print Name: NO LOBBYING ACTIVITIES
Title: NO LOBBYING ACTIVITIES
Telephone No.: NO LOBBYING ACTIVITIES
DATE: NO LOBBYING ACTIVITIES

Federal Use Only:

Authorized for Local Reproduction
Standard Form - LLL(Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder GORDON N. BALL, ONC., proposed subcontractor ,
certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.
NO EXCEPTIONS

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.
N/A

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:
State of CA County of CONTRA COSTA
Name HAL STOBER,
being first duly sworn, deposes and says that he or she is
PRESIDENT of
GORDON N. BALL, INC.
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.
N/A

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.
N/A

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
Yes

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
Yes

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
   4.1. Date of each nonresponsibility determination
   4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
   4.3. Contract number for each nonresponsibility determination

05-0Q5904, DATED 09/16/14, CALTRANS, MULISSA SMITH OR JOHN MCMILLAN

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE 0102.3(REV 3/2008)
Contract No. 04-3G1204

Bond No. _____

We _____

_____

_____ as Principal, and

_____

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN
SONOMA COUNTY NEAR CLOVERDALE ABOUT 3.1 MILES WEST OF NORTH CLOVERDALE BOULEVARD
In District 04 on Route 128
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 11-19-14.

NOW,THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated:_____, 20_____. _____

Principal_____

Surety_____

By_____

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

_____

_____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

---

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)

Bond Details for: Bid Bond

Status: In Effect
Bond Form: CA DOT eBond Bid Bond Only (Bid Bond)
Current Effective Date: 11/17/2014
Expiration Date: 2/15/2015
Subsidiary: Gordon N. Ball, Inc.
Description: Construction on State Highway in Sonoma County near Cloverdale about 3.1 Miles West of North Cloverdale Boulevard; In District 04 On Route 128
Contract Date:
Type of Bond: Contract
SAA Code: Bid Bond(000)
Initial Effective Date: 11/17/2014

Principal

Name: Gordon N. Ball, Inc.
Address: 333 Camille Avenue
Alamo, CA 94507
State of Incorporation: CA

Obligee

Name: State of California, Department of Transportation
Address: 1727 30th Street, Bidders' Exchange, MS 26
Sacramento, CA 95816
State of Obligation: CA
Obligee Type: all other

Agency Information

Agency: Edgewood Partners Insurance Center (EPIC)
Site: Edgewood Partners Insurance Center (EPIC) (San Francisco, CA)
Attorney-in-fact: Jessica Nowlin
State of Execution: CA

Bond Form Custom Fields

Bid Location: 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816
Contract ID No. Required for Electronic Bidding: 04-3G1204
Contractors ID No. Required for Electronic Bidding: VC0000101123
Local Surety Address: 100 California Street, Suite 300
Local Surety City: San Francisco
Local Surety State: CA
Local Surety Zip: 94111
Principal Signature Name: Hal Stober
Principal Signature Name Title: President

Other Information

Bid Date: 11/19/2014
Bid Bond Amount: 10%
SurePath ID (Long): {04AFD5C3-B72F-4339-A233-5EE082FC7FCB}
Bond Validation Number(BVN): YANV-K3PR-8QKR-28WE E-Mail BVN

Issuing Carriers

Travelers Casualty and Surety Company of America
Bond Number: Bid Bond
Premium Amount: $0.00
State Surcharge: $0.00
Municipal Tax: $0.00

Comments