

**Caltrans**

**STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION**

A2

# BID

**FOR CONSTRUCTION ON STATE HIGHWAY IN SANTA CLARA COUNTY NEAR GILROY  
AT VARIOUS LOCATIONS FROM 0.2 MILE NORTH OF BELLA VISTA LANE TO 0.2 MILE  
EAST OF WATSONVILLE ROAD**

**In District 04 On Route 152**

**Under**

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*Notice to Bidders and Special Provisions* dated August 19, 2013

*Standard Specifications* dated 2010

Project plans approved June 17, 2013

*Standard Plans* dated 2010

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Identified by

Contract No. 04-2A2504

04-SCI-152-0.1/5.2

Project ID 0400000813

Federal-Aid Project

HSSTP-S152(080)E

## Electronic Advertising Contract

Bids open Tuesday, October 8, 2013



Dated August 19, 2013

46

**CONTRACT NO.** 04 - 2A2504

**NAME OF BIDDER** GRANITE ROCK COMPANY

**BUSINESS P.O. BOX** \_\_\_\_\_

**CITY, STATE, ZIP** \_\_\_\_\_

**BUSINESS STREET ADDRESS** 120 Granite Rock Way  
(Include even if P.O. Box used)

**CITY, STATE, ZIP** San Jose, CA 95136

**TELEPHONE NO:** AREA CODE ( 408 ) 574-1400

**FAX NO:** AREA CODE ( 408 ) 365-9548

**CONTRACTOR LICENSE NO.** 22

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:
  - 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:
    - 2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.
    - 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
  - 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.
  - 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.
  - 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.
  - 2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**BID TO THE DEPARTMENT OF TRANSPORTATION**  
DES-OE-0102.1 (REV. 3/2011)

- 2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.  
For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.  
For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:

1, 2, 3, 4, 5, 6

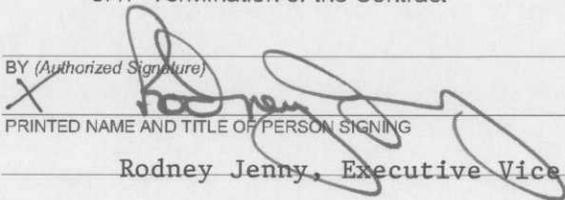
4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

Cash \$ \_\_\_\_\_, Cashiers Check, Certified Check, Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 5.1. Criminal prosecution
- 5.2. Rejection of the bid
- 5.3. Rescission of the award
- 5.4. Termination of the Contract

BY (Authorized Signature)

  
PRINTED NAME AND TITLE OF PERSON SIGNING

DATE SIGNED (Do not type)

4/25/14

Rodney Jenny, Executive Vice President

BID ITEM LIST  
 04-2A2504

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	2500-
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	LUMP SUM	LUMP SUM	7500-
3	090100	TIME-RELATED OVERHEAD (WDAY)	WDAY	500	2100-	1050000-
4	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	15000-
5	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	75000-
6	120149	TEMPORARY PAVEMENT MARKING (PAINT)	SQFT	790	5-	3950-
7	BLANK					
8	120165	CHANNELIZER (SURFACE MOUNTED)	EA	1,080	28-	30240-
9	BLANK					
10	026336	TEMPORARY SIGNAL SYSTEM (LOCATION 1)	LS	LUMP SUM	LUMP SUM	213000-
11	026337	TEMPORARY SIGNAL SYSTEM (LOCATION 2)	LS	LUMP SUM	LUMP SUM	167000-
12	026338	TEMPORARY SIGNAL SYSTEM (LOCATION 4) (STAGES 1 AND 2)	LS	LUMP SUM	LUMP SUM	268000-
13	026339	TEMPORARY SIGNAL SYSTEM (LOCATION 4) (STAGES 3 AND 4)	LS	LUMP SUM	LUMP SUM	220000-
14	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LS	LUMP SUM	LUMP SUM	15000-
15	129000	TEMPORARY RAILING (TYPE K)	LF	18,400	11.50	211600-
16	129100	TEMPORARY CRASH CUSHION MODULE	EA	66	850-	56100-
17	026340	TEMPORARY CRASH CUSHION MODULE (ABSORB 350 TL2)	EA	58	3500-	203000-
18	130100	JOB SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	75000-
19	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	LUMP SUM	LUMP SUM	2800-
20	130310	RAIN EVENT ACTION PLAN	EA	125	500.00	62,500.00

**BID ITEM LIST**  
**04-2A2504**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	130320	STORM WATER SAMPLING AND ANALYSIS DAY	EA	75	40-	3000-
22	130330	STORM WATER ANNUAL REPORT	EA	4	2,000.00	8,000.00
23	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	EA	10	520-	5200-
24	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	SQYD	21,500	0.50	10750-
25	130570	TEMPORARY COVER	SQYD	200	8-	1600-
26	130610	TEMPORARY CHECK DAM	LF	400	25-	10000-
27	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	74	270-	19980-
28	130640	TEMPORARY FIBER ROLL	LF	18,000	3.50	63000-
29	BLANK					
30	130680	TEMPORARY SILT FENCE	LF	900	6-	5400-
31	130710	TEMPORARY CONSTRUCTION ENTRANCE	EA	5	3800-	19000-
32	130730	STREET SWEEPING	LS	LUMP SUM	LUMP SUM	7500-
33	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM	LUMP SUM	7500-
34	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	LF	20,400	1-	20400-
35	150662	REMOVE METAL BEAM GUARD RAILING	LF	230	35-	8050-
36	150668	REMOVE FLARED END SECTION	EA	6	150-	900-
37	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	LF	20,400	0.80	16320-
38	150722	REMOVE PAVEMENT MARKER	EA	3,500	1-	3500-
39	150742	REMOVE ROADSIDE SIGN	EA	4	250-	1000-
40	150809	REMOVE CULVERT (LF)	LF	290	25-	7250-



**BID ITEM LIST**  
**04-2A2504**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	150820	REMOVE INLET	EA	11	1300-	14300-
42	150821	REMOVE HEADWALL	EA	4	800-	3200-
43	026341	RESET TEMPORARY CRASH CUSHION MODULE	EA	2	800-	1600-
44	152370	RELOCATE MAILBOX	EA	4	500-	2000-
45	152430	ADJUST INLET	EA	2	2200-	4400-
46	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	9,350	6-	56100-
47	153221	REMOVE CONCRETE BARRIER	LF	320	30-	9600-
48	153247	REMOVE CONCRETE (MISCELLANEOUS) (CY)	CY	3	300-	900-
49	158210	RESET TEMPORARY RAILING (TYPE K)	LF	1,800	7-	12600-
50	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM	LUMP SUM	257000-
51	190101	ROADWAY EXCAVATION	CY	33,500	27-	904500-
52 (F)	BLANK					
53 (F)	192055	STRUCTURE EXCAVATION (SOIL NAIL WALL)	CY	7,713	40-	308520-
54 (F)	BLANK					
55 (F)	193028	STRUCTURE BACKFILL (SOIL NAIL WALL)	CY	629	100-	62900-
56	200002	ROADSIDE CLEARING	LS	LUMP SUM	LUMP SUM	5000-
57	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	EA	6	1140-	6840-
58	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	SQFT	87,700	0.42	36834-
59	210300	HYDROMULCH	SQFT	239,000	0.04	9560-
60	210350	FIBER ROLLS	LF	4,100	6.13	25133-



**BID ITEM LIST**  
**04-2A2504**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61	210420	STRAW	SQFT	109,000	0.03	3270-
62	210430	HYDROSEED	SQFT	239,000	0.05	11950-
63	210600	COMPOST	SQFT	239,000	0.12	28680-
64	210630	INCORPORATE MATERIALS	SQFT	42,000	0.03	1260-
65	260203	CLASS 2 AGGREGATE BASE (CY)	CY	17,100	29-	495900-
66	290201	ASPHALT TREATED PERMEABLE BASE	CY	130	240-	31200-
67	390132	HOT MIX ASPHALT (TYPE A)	TON	13,800	87-	1200600-
68	390134	HOT MIX ASPHALT (OPEN GRADED)	TON	2,580	113-	291540-
69	026342	CENTERLINE RUMBLE STRIP (HMA, GROUND-IN INDENTATION)	STA	93	74-	6882-
70	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	LF	1,900	5-	9500-
71	395001	LIQUID ASPHALT, SC-70 (PRIME COAT)	TON	8	100-	800-
72	397005	TACK COAT	TON	55	100-	5500-
73	460300	SOIL NAIL	LF	47,015	20-	940300-
74	BLANK					
75 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	210	1400-	294000-
76	BLANK					
77 (F)	530200	STRUCTURAL SHOTCRETE	CY	891	655-	583605-
78 (F)	044447	SCULPTED SHOTCRETE	CY	1,472	515-	758080-
79	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	180	9-	1620-
80	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	SQFT	150	10-	1500-



**BID ITEM LIST**  
**04-2A2504**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
81	560252	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED)	SQFT	100	14-	1400-
82	566011	ROADSIDE SIGN - ONE POST	EA	36	170-	6120-
83	566012	ROADSIDE SIGN - TWO POST	EA	4	340-	1360-
84	026343	STAINING GALVANIZED SURFACES	LF	180	16.50	2970-
85	044448	PREPARE AND STAIN SCULPTED SHOTCRETE	SQFT	45,858	2-	91716-
86 (F)	598001	ANTI-GRAFFITI COATING	SQFT	10,947	1-	10947-
87	620060	12" ALTERNATIVE PIPE CULVERT	LF	380	60-	22800-
88	620100	18" ALTERNATIVE PIPE CULVERT	LF	3,330	92-	306360-
89	620140	24" ALTERNATIVE PIPE CULVERT	LF	25	120-	3000-
90	620180	30" ALTERNATIVE PIPE CULVERT	LF	170	150-	25500-
91	665003	6" CORRUGATED STEEL PIPE (.079" THICK)	LF	150	31-	4650-
92	667024	42" X 29" CORRUGATED STEEL PIPE ARCH (.109" THICK)	LF	8	185-	1480-
93	681103	3" PLASTIC PIPE (EDGE DRAIN)	LF	640	14-	8960-
94	681107	3" PLASTIC PIPE (EDGE DRAIN OUTLET)	LF	90	50-	4500-
95	700639	36" CORRUGATED STEEL PIPE INLET (.109" THICK)	LF	110	800-	88000-
96	703233	GRATED LINE DRAIN	LF	460	130-	59800-
97	705307	12" ALTERNATIVE FLARED END SECTION	EA	15	600-	9000-
98	705311	18" ALTERNATIVE FLARED END SECTION	EA	1	700-	700-
99	705319	30" ALTERNATIVE FLARED END SECTION	EA	2	1000-	2000-
100	705411	42" X 29" STEEL FLARED END PIPE ARCH SECTION	EA	2	1000-	2000-



**BID ITEM LIST**  
**04-2A2504**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
101	719589	MINOR CONCRETE (BACKFILL)	CY	5	132-	660-
102	721017	ROCK SLOPE PROTECTION (FACING, METHOD B) (CY)	CY	12	1200-	14400-
103	721431	CONCRETE (CONCRETE APRON)	CY	80	1740-	139200-
104	721810	SLOPE PAVING (CONCRETE)	CY	14	750-	10500-
105	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	38	2-	76-
106 (F)	750001	MISCELLANEOUS IRON AND STEEL	LB	18,422	1-	18422-
107	750008	FRAME AND COVER	EA	6	264-	1584-
108 (F)	044449	MISCELLANEOUS METAL (SAFETY HOOK)	LB	3,819	11-	42009-
109	820107	DELINEATOR (CLASS 1)	EA	220	35-	7700-
110	820130	OBJECT MARKER	EA	17	45-	765-
111 (F)	839527	CABLE RAILING (MODIFIED)	LF	3,649	17-	62033-
112	839541	TRANSITION RAILING (TYPE WB)	EA	2	5900-	11800-
113	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	2	5300-	10600-
114	044450	CONCRETE BARRIER (TYPE 60D MODIFIED)	LF	3,649	250-	912250-
115	026344	CONCRETE BARRIER (TYPE 60C MODIFIED)	LF	480	418-	200640-
116	BLANK					
117	840501	THERMOPLASTIC TRAFFIC STRIPE	LF	51,200	0.65	33280-
118	840506	8" THERMOPLASTIC TRAFFIC STRIPE	LF	410	1-	410-
119	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	610	4-	2440-
120	840523	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 12-3)	LF	480	0.65	312-



**BID ITEM LIST**  
**04-2A2504**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
121	BLANK					
122	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	4,130	3-	12390-
123	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM	LUMP SUM	1500-
124	026345	MODIFY VARIABLE MESSAGE SIGN	LS	LUMP SUM	LUMP SUM	151000-
125	BLANK					
126	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	LF	52,600	0.50	26300-
127	027319	TEMPORARY SIGNAL SYSTEM (LOCATION 3)	LS	LUMP SUM	LUMP SUM	180000-
128	027320	TEMPORARY FENCE (TYPE FROG)	LF	19,200	7.46	143232-
129	150711	REMOVE PAINTED TRAFFIC STRIPE	LF	55,100	0.50	27550-
130	150712	REMOVE PAINTED PAVEMENT MARKING	SQFT	790	3-	2370-
131 (F)	192049	STR. EXCAVATION (SOLDIER PILE WALL)	CY	17	140-	2380-
132 (F)	193029	STR. BACKFILL (SOLDIER PILE WALL)	CY	37	100-	3700-
133 (F)	193116	CONCRETE BACKFILL (SOLDIER PILE WALL)	CY	104	227-	23608-
134 (F)	193119	LEAN CONCRETE BACKFILL	CY	11	131-	1441-
135	390011	PREPAVING INERTIAL PROFILER	LS	LUMP SUM	LUMP SUM	30000-
136	394060	DATA CORE	LS	LUMP SUM	LUMP SUM	6000-
137	490316	STEEL SOLDIER PILE (HP14X73)	LF	660	40-	26400-
138	490403	30" INCH DRILLED HOLE	LF	614	70-	42980-
139 (F)	510072	STRUCTURAL CONCRET, BARRIER SLAB	CY	87	1000-	87000-
140 (F)	575004	TIMBER LAGGING	MFBM	3.6	5000-	18000-



BID ITEM LIST  
04-2A2504

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
141	590120	CLEAN AND PAINT STEEL SOLDIER PILING	LS	LUMP SUM	LUMP SUM	17000-
142 (F)	839725	CONCRETE BARRIER (TYPE 736)	LF	170	230-	39100-
143	BLANK					
144	520103	BAR REINFORCING STEEL (RETANING WALL)	LB	237,000	1.39	329430-
145	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	1121048-

TOTAL BID:

\$13,698,487-

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

**SUBCONTRACTOR LIST**

Bidder Name: Granite Rock Company

DES-OE-0102.2 (REV 3/2011)

04-2A2504

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code 4100 et seq.) Complete columns 1 and 4 and submit with the bid.

Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item No.(s)	Column 3: Percent Bid Item Subcontrac	Column 4: Description of Subcontracted Work
<b>Adanta, Inc.</b> Napa, CA	1 19, 20, 22	40% 100%	Work as described in bid item # 1 (partial), 19, 20, 22
<b>Maneri Traffic Control</b> Temecula, CA	5 17	84% 79%	5 (partial), 17 (partial)
<b>Central Striping</b> Rancho Cordova, CA	1 6, 34, 37, 38, 117-120, 122, 126, 129, 130	60% 100%	1 (partial), 6, 34, 37, 38, 117-120, 122, 126, 129, 130
<b>KRC Safety</b> Visalia, CA	4, 39, 44, 79-83, 109, 110	100%	4, 39, 44, 79-83, 109, 110
<b>B &amp; L Equipment</b> Visalia, CA	14	100%	14
<b>DeKay Demolition</b> Oakland, CA	47, 50, 56 145	100% 11%	47, 50, 56, 145 (partial)
<b>AC Dike Co.</b> Lincoln, CA	70	90%	70 (partial)
<b>J&amp;M Land Restoration</b> Bakersfield, CA	23, 24, 28, 30, 57-64	100%	23, 24, 28, 30, 57-64
<b>All Steel Fence</b> Lathrop, CA	111, 128 10, 11, 12, 13, 127	100% 1%	10, 11, 12, 13 (all partial), 111, 127 (partial), 128
<b>Avar</b> Fremont, CA	73, 77, 78, 85 138 145	100% 79% 19%	73, 77, 78, 85, 138 (partial), 145 (partial)
<b>Dirt &amp; Aggregate Interchange, Inc.</b> Fairview, OR	145 35, 84, 112, 113	3% 100%	35, 84, 112, 113, 145 (partial)

<b>San Jose Transport</b> Gilroy, CA	51	36%	Work as described in bid item # 51 (partial), 53 (partial), 65 (partial), 67 (partial)
	53	44%	
	65	48%	
	67	9%	
<b>Alamillo Rebar</b> Benicia, CA	78	2%	78 (partial), 114 (partial), 115 (partial), 139 (partial), 142 (partial), 144
	114	17%	
	115	6%	
	139	16%	
	142	9%	
	144	100%	
<b>ABSL Construction</b> Hayward, CA	46	36%	46 (partial), 51 (partial), 135 (partial)
	51	2%	
	135	43%	
<b>Roadway Engineering Works</b> Ceres, CA	10	83%	10-13 (partial), 123, 124, 127 (partial)
	11, 12, 13, 127	98%	
	123, 124	100%	



# Graniterock.

120 Granite Rock Way  
San Jose, CA 95136  
Phone 408.574.1400  
Fax 408.365.9548

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## FACSIMILE TRANSMITTAL SHEET

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TO: Office Engineer	FROM: Denise Henning
COMPANY: Caltrans	DATE: 4/30/14
FAX NUMBER: 916.227.6282	PAGES INCLUDING COVER SHEET: 3
PHONE NUMBER:	SENDER'S PHONE NUMBER 831.768.2771

RE:  
**Caltrans Contract No. 04-2A2504**  
**Bid Opening Date: 4/29/14**

*- Revised -*

URGENT  FOR REVIEW  PLEASE COMMENT  PLEASE REPLY  PLEASE RECYCLE

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NOTES/COMMENTS

Following is the Subcontractor List from Granite Rock Company for the above referenced project. The list completes columns #2 and #3 as required within 24 hours of the bid submission.

Should you have any questions or need any further information, please feel free to contact me.

*Denise Henning*  
Denise Henning,  
Estimating Administrator

**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Adanta Napa, CA			Work as described in bid item no. 1 partial, 19, 20, 22
Maneri Temecula, CA			Work as described in bid item no. PJ 5, 17 (partial) (partial)
<del>San Jose Transport Ritroy, CA PJ</del>			<del>Work as described in bid item no. 51, 53, 65, 67 PJ all partial</del>
<del>Alamillo Rebar Benicia, CA PJ</del>			<del>Work as described in bid item no. 78, 114, 115, 139, 142 (all partial) PJ 144 PJ</del>
Central Striping Rancho Cordova, CA			Work as described in bid item no. 1 partial, 6, 34, 37, 38, 117- 120, 122, 126, 129, 130
KRC Safety Visalia, CA			Work as described in bid item no. 4, 39, 44, 79-83, 109, 110
<del>VBSL Hayward, CA PJ</del>			<del>Work as described in bid item no. 46, 51, 135 (partial-all) PJ</del>
B&L Equip. Visalia, CA			Work as described in bid item no. 14
			Work as described in bid item no.

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business  
Name and LocationColumn 2: Bid  
Item Nos.Column 3: Percentage  
of Bid Item SubcontractedColumn 4: Description of  
Subcontracted Work

DeKay Demo Oakland, CA			Work as described in bid item no. 47, 50, 56, 145 partial
AC Dike Lincoln, CA			Work as described in bid item no. 70 partial
Mike Brown Electric Cotati, CA			Work as described in bid item no. 10, 11, 12, 13 > all partial RJ 123, 245 RJ 124, 127 partial
J&M Land Restoration Bakersfield, CA			Work as described in bid item no. 23, 24, 28, 30, 57-64
All Steel Fence Lathrop, CA			Work as described in bid item no. 10, 11, 12, 13 = all partial 111, 127 partial, 128
Avar Fremont, CA			Work as described in bid item no. 73, 77, 78, 85, 138 (partial), 145 (partial)
Dirt & Aggregate Interchange Inc. Fairview, Oregon			Work as described in bid item no. 35, 84, 112, 113, 145 (partial)
San Jose Transport Gilroy, CA			Work as described in bid item no. 51 partial, 53 partial, 65 partial, 67 partial
			Work as described in bid item no.

**ADA Notice**

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**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

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Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Alamillo Rebar Benicia, CA			Work as described in bid item no. 78 partial, 114 partial, 115 partial, 139 partial, 142 partial, 144
ABSL Hayward, CA			Work as described in bid item no. 46 partial, 51 partial, 135 partial
<del>Mike Brown Electric PJ Cotati, CA PJ</del>		<del>Flat Iron Electric PJ roadway Ceres, CA</del>	Work as described in bid item no. 10-13 partial, 123, 124, 127 partial
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.

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46

**SUBCONTRACTOR LIST**

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

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We Granite Rock Company

as Principal, and

Western Surety Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for Roadway Realignment & Construct Retaining Walls in Santa Clara County near Gilroy at Various Locations from 0.2 mile North of Bella Vista Lane to 0.2 mile East of Watsonville Road  
(Copy here the exact description of work, including location, as it appears on the proposal)  
for which bids are to be opened at \_\_\_\_\_  
(Insert place where bids will be opened)

1727 30th Street, Bidders' Exchange, Sacramento, CA on April 29, 2014  
(Insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: April 21, 20 14

Correspondence or claims relating to this bond should be sent to the surety at the following address:

555 Mission Street, Suite 200  
San Francisco, California 94105

Granite Rock Company

Gregory D. Diehl, Vice President  
Western Surety Company

By Stacy M. Clinton  
Attorney-in-Fact

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

\*\*\*PLEASE SEE ATTACHED ACKNOWLEDGMENT\*\*\*

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me,

Date

Here Insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature \_\_\_\_\_

Signature of Notary Public

# Western Surety Company

## POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**Catherine A Pinney, Nancy L Wallis, K Dixon Wright, Stacy M Clinton, Venetia G Johnson, Donnalyn Revis, Kandace L Reeves, Natalie Ann Horder, Michael Landucci, Teresa Rose, Robert Lee Murphy, Individually**

of Petaluma, CA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

### - In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 26th day of March, 2014.



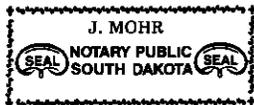
WESTERN SURETY COMPANY

Paul T. Brufat  
Paul T. Brufat, Vice President

State of South Dakota }  
County of Minnehaha } ss

On this 26th day of March, 2014, before me personally came Paul T. Brufat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires  
June 23, 2015



J. Mohr  
J. Mohr, Notary Public

### CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 21st day of April, 2014.



WESTERN SURETY COMPANY

L. Nelson  
L. Nelson, Assistant Secretary

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Sonoma



On April 21, 2014 before me, Nancy L. Wallis, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared Stacy M. Clinton  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Nancy L. Wallis  
Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

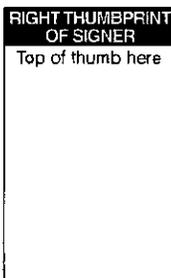
Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Stacy M. Clinton

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

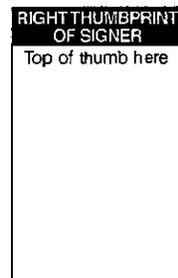


Signer Is Representing: \_\_\_\_\_

\_\_\_\_\_

Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_



Signer Is Representing: \_\_\_\_\_

\_\_\_\_\_

Not Applicable

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

**OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

Bidder's Name: \_\_\_\_\_ Contract No. \_\_\_\_ - \_\_\_\_\_

**I opt out of the payment adjustments for price index fluctuations.**

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

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**SMALL BUSINESS STATUS**

DES-OE-0102.4 (REV 3/2008)

**CONTRACT NO. 04 - 2A2504**

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

Yes: Certification number? \_\_\_\_\_  No

Note: This small business questionnaire is included for statistical reporting only.

**CERTIFICATIONS**

**FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION**

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

**INSTRUCTIONS FOR COMPLETION OF SF-LLL,  
DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

**DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

<p><b>1. Type of Federal Action:</b></p> <p><input type="checkbox"/> a. contract</p> <p>b. grant</p> <p>c. cooperative agreement</p> <p>d. loan</p> <p>e. loan guarantee</p> <p>f. loan insurance</p>	<p><b>2. Status of Federal Action:</b></p> <p><input type="checkbox"/> a. bid/offer/application</p> <p>b. Initial award</p> <p>c. post-award</p>	<p><b>3. Report Type:</b></p> <p><input type="checkbox"/> a. initial</p> <p>b. material change</p> <p>For Material Change Only:</p> <p>year _____ quarter _____</p> <p>date of last report _____</p>
<p><b>4. Name and Address of Reporting Entity:</b></p> <p><input type="checkbox"/> Prime                      <input type="checkbox"/> Subawardee</p> <p>Tier _____, <i>if known</i></p> <p>Congressional District, <i>if known</i>:</p>	<p><b>5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:</b></p> <p>Congressional District, <i>if known</i>:</p>	
<p><b>6. Federal Department/Agency:</b></p>	<p><b>7. Federal Program Name/Description:</b></p> <p>CFDA Number, if applicable _____</p>	
<p><b>8. Federal Action Number, if known:</b></p>	<p><b>9. Award Amount, if known:</b></p> <p>\$ _____</p>	
<p><b>10 a. Name and Address of Lobby Registrant</b> <i>(if individual, last name, first name, MI):</i></p>	<p><b>b. Individuals Performing Services (including address if different from No. 10a)</b> <i>(last name, first name, MI):</i></p>	
<p><b>11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b></p>	<p><b>Signature:</b> _____</p> <p><b>Print Name:</b> _____</p> <p><b>Title:</b> _____</p> <p><b>Telephone No.:</b> _____ <b>Date:</b> _____</p>	
<p><b>Federal Use Only:</b></p>		<p><b>Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)</b></p>

**EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION**

Bidder , ~~proposed subcontractor~~ \_\_\_\_\_, certifies that he  has  has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

**TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION**

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

**UNDOCUMENTED ALIENS EMPLOYMENT**

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

**NONCOLLUSION**

**NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID**

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of Santa Clara

Rodney Jenny, being first duly sworn, deposes and says that he ~~or she~~ is

Executive Vice President of Granite Rock Company the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

**CHILD SUPPORT COMPLIANCE ACT**

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

**NATIONAL LABOR RELATIONS BOARD**

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

**VIOLATION OF LAW OR A SAFETY REGULATION**

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes

No

If the answer is yes, explain the circumstances in the following space.

**ANTITRUST LAW**

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder  has  has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

**PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS**

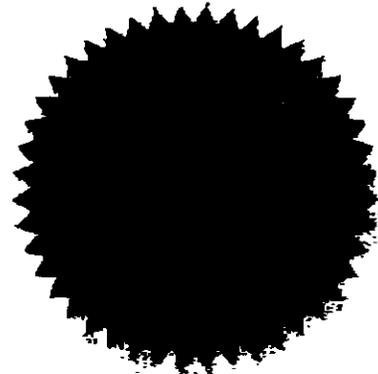
Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

**BIDDER RESPONSIBILITY QUESTIONNAIRE**

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?  
 Yes  No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?  
 Yes  No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?  
 Yes  No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
  - 4.1. Date of each nonresponsibility determination
  - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
  - 4.3. Contract number for each nonresponsibility determination

**END CERTIFICATIONS**



**R & B Protective Coatings, Inc**  
*of* Linden, CA

*has met or exceeded the requirements*  
 SSPC Painting Contractor Certification Program for

**SHOP APPLICATION OF  
 PROTECTIVE COATING  
 SSPC-C 3**

**Enclosed Shop**

*Greg A. Lutz*

.....  
 President, SSPC

March 31, 2014 – March 31, 2015

.....  
 Validation Period



Owners are advised to contact SSPC at 412-281-2337 (ext. 2235 or ext. 2309 to verify authenticity of certification.



120 Granite Rock Way  
 San Jose, CA 95136  
 Phone 408.574.1400  
 Fax 408.365.9548

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**FACSIMILE TRANSMITTAL SHEET**

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<b>TO:</b> Office Engineer	<b>FROM:</b> Denise Henning
<b>COMPANY:</b> Caltrans	<b>DATE:</b> 4/30/14
<b>FAX NUMBER:</b> 916.227.6282	<b>PAGES INCLUDING COVER SHEET:</b> 2
<b>PHONE NUMBER:</b>	<b>SENDER'S PHONE NUMBER:</b> 831.768.2771

**RE:**  
 Caltrans Contract No. 04-2A2504  
 SSPC Certification  
 Bid Opening Date: 4/29/14

URGENT     FOR REVIEW     PLEASE COMMENT     PLEASE REPLY     PLEASE RECYCLE

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**NOTES/COMMENTS**

Please find following this cover page the SSPC QP certification for R & B Protective Coatings, Inc. as required in the Special Provisions for contract no. 04-2A2504, Section 2-1.35.

Should you have any questions or need any further information, please feel free to contact me.

*Denise Henning*  
 Denise Henning,  
 Estimating Administrator



## Granite Rock Company

Incorporated in the State of California

### OFFICERS OF THE COMPANY



#### Chairman of the Board

Mark Kaminski  
350 Technology Drive  
Watsonville, CA 95076

#### President & CEO

Thomas Squeri  
350 Technology Drive  
Watsonville, CA 95076

#### Vice President & CFO

Stephen Snodgrass  
350 Technology Drive  
Watsonville, CA 95076

#### Vice President, General Counsel Secretary

Kevin Jeffery  
350 Technology Drive  
Watsonville, CA 95076

#### Executive Vice President, Construction Division

Rodney Jenny  
120 Granite Rock Way  
San Jose, CA 95136

#### Executive Vice President, Aggregate Division

Jack Leemaster  
350 Technology Drive  
Watsonville, CA 95076

#### Executive Vice President, Material Plants Division

Rich Sacher  
350 Technology Drive  
Watsonville, CA 95076

#### Vice President Building Materials

Gregory D. Diehl  
350 Technology Drive  
Watsonville, CA 95076

#### Vice President Human Resource Services

Shirley Ow  
350 Technology Drive  
Watsonville, CA 95076

• Monterey County

• San Benito County

• San Mateo County

• Santa Clara County

• Santa Cruz County

• Alameda County

• City and County of San Francisco

Vice President  
Environmental,  
Quality, Safety,  
Geology

Charles A. Johnston  
350 Technology Drive  
Watsonville, CA 95076

Material Supplier / Engineering Contractor  
License #22

P.O. Box 50001 Watsonville, CA 95077-5001 (831) 768-2000 Fax (831) 768-2201

[www.graniterock.com](http://www.graniterock.com)



## CERTIFICATION OF CORPORATE RESOLUTION

The directors of Granite Rock Company, a corporation organized and existing under the laws of the State of California, in an action by unanimous written consent of the directors, duly executed in accordance with the Articles of Incorporation and Bylaws of the corporation on March 9, 2013, resolved that:

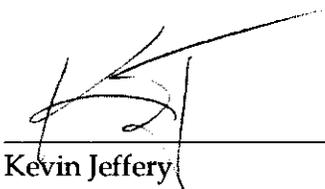
Each of the following persons is fully authorized to sign bid and contract documents on behalf of Granite Rock Company and to bind the corporation with respect to such documents:

Greg Diehl	Jack Leemaster
Shirley Ow	Kevin Jeffery
Rich Sacher	Rodney Jenny
Thomas Squeri	Steve Snodgrass
Aaron Johnston	Mark Kaminski

I, Kevin Jeffery, Vice President and Secretary of Granite Rock Company, do hereby certify that I am the Vice President and Secretary of said corporation, and that the above resolutions of the Board of Directors of said corporation were duly adopted by unanimous written consent of the directors, and that said resolutions have not been revoked or rescinded.

In witness whereof, I have hereunto subscribed my name and affixed the seal of said corporation.

Dated: 4/25/14

  
\_\_\_\_\_  
Kevin Jeffery  
Vice President and Secretary

Material Supplier / Engineering Contractor  
License #22

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Fax 650.615.0338

**Oakland Office**  
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Oakland, CA 94621-3025  
Phone 510.729.5075  
Fax 510.729.5079

**Monterey Bay Region**  
P.O. Box 50001, Watsonville, CA 95077  
411 Walker Street, Watsonville, CA 95076  
Phone 831.768.2700  
Fax 831.768.2701