

## Partners, Invitees and Attendees • DBE/LBE Overview and Networking Event • February 5, 2015, 2:30 p.m.

#	Invitee/Attendee	Organization or Affiliation	Email	Phone
41	Erica Schultz	Garcia and Associates	eschultz@garciaandassociates.com	(415) 458-5803
42	Marlene Jackson	GEC CONSULTING	mjackson@geconsulting.com	(925) 437-7897
43	Sandra Williamson	Geocadd, Inc.	sandra@geocaddsurveys.com	(510) 796-8555
44	Tom Donohoe	Ghilotti Bros., Inc.	TomD@ghilottibros.com	(415) 454-7011
45	Kristin Cooper Carter	Grant Management Associates	kcarter@grantmanagementassoc.com	
46	Jason Alandy	Green Valley Consulting Engineers	jasona@gvalley.com	(707) 579-0388
47	Sam Brathwaite	Ground Zone Environmental Services, LLC	sbrathwaite@groundzonees.com	
48	Bashar Dayoub	Harris & Associates	Bashar.Dayoub@weareharris.com	(925) 322-7540
49	Lindsay McNally	Hartley Construction Inc	lindsay_1701@sbcglobal.net	
50	Jeffery C. Bingham	JACOBS	Jeff.Bingham@Jacobs.com	(510) 457-0055
51	Joe Hill	Joe Hill Consulting Engineers	jhill@joehillce.com	
52	Aislinn Rocamora	Kal Krishnan Consulting Services, Inc.	aislinn.rocamora@kkcsworld.com	(510) 465-9800
53	Jack Kleytman	KLEYTMANPACIFIC	lekham@yahoo.com	(415) 586-3888
54	Steve Tsang	Lai & Associates Geotechnical Engineers	stsang.lai@gmail.com	(925) 360-2622
55	Brian Larkin	Larkin and Associates	brian@brianjlarkin.com	(415) 810-7840
56	Michael Blanks	Michael Blanks CPA	mblanks@mbfinancials.com	
57	Doug Humphreys	MKThink	humphreys@mkthink.com	(415) 321-8343
58	Cris Subrizi	MSA Design & Consulting, Inc.	cris@msa-sf.com	(415) 609-2067
59	Ken Castle	OJO TECHNOLOGY	ken.castle@ojotech.com	(510) 257-1804
60	Lee Taubeneck	Parsons	Lee.Taubeneck@parsons.com	(408) 572-1370



# Join Us...

## for a DBE/LBE Upcoming Opportunity Overview and Networking Event

### EVENT DESCRIPTION

Join the San Francisco County Transportation Authority for an informative presentation to the disadvantaged and local business community in its efforts to encourage participation and collaboration of small and large firms for upcoming procurements. Key projects and services to be highlighted at this special *Look-Ahead to 2015* presentation include:

- ▶ Engineering Services for I-280 Interchange Improvements at Balboa Park
- ▶ Planning Services for Freeway Performance Initiative Study
- ▶ On-call Planning Services
- ▶ Auditing Services
- ▶ State Legislative and Advocacy Services
- ▶ SamTrans ADA Paratransit Eligibility Assessment Services
- ▶ Transbay Transit Center Construction Contracts
- ▶ Presidio Parkway Contracting and Services for Bioswales, Building Upgrade, Landscaping, and Parking Lots
- ▶ Construction Manager/General Contractor Services for the Van Ness Corridor Transit Improvement Project

### WHEN

Thursday, February 5, 2015, 2:30–4:30 PM

### WHERE

San Francisco County Transportation Authority  
1455 Market Street (at 11th Street), 22nd Floor, Hearing Room  
San Francisco, CA 94103

### RSVP

Please RSVP to [info@sfcta.org](mailto:info@sfcta.org) by Thursday, January 29

### PUBLIC TRANSPORTATION

We encourage you to take public transportation to this meeting:  
BART: Exit at the San Francisco Civic Center Station  
MUNI METRO: Exit at the Van Ness Station (Van Ness/Market Streets)

### QUESTIONS

Please contact Erika Cheng at [erika.cheng@sfcta.org](mailto:erika.cheng@sfcta.org) or 415.522.4831

### SPECIAL THANKS TO OUR PARTNER AGENCIES





525 Jacoby St., San Rafael CA 94901  
 Phone: 415.454.7011 Fax: 415.454.8376  
 www.ghilottibros.com

CC (TB) + ME

JOB # / NAME: DBE Outreach  
 SHEET NO UCON/SCLA OF 11/20/14  
 CALCULATED BY \_\_\_\_\_ DATE \_\_\_\_\_  
 CHECKED BY \_\_\_\_\_ DATE \_\_\_\_\_  
 SCALE \_\_\_\_\_

12/31 → last day  
 12/18 → retirement dinner  
 Rome ASESA - #2 SPOT - CT ENGINEER  
 New Mission & Visson - STRATEGIC PLANNING  
 DESIGN BUILD - 10 PROJECTS - ALL OUT  
 CMGC - 6 PROJECTS - 4 OUT  
 DBB - 637 PROJECTS \$11.2B - 376 done \$2.6B 2014  
           350 2015 \$2.6B           BIDDING RIGHT NOW  
 DBE REGS CHANGED  
 MBGR END TREATMENT SUSPENSION  
 RUMBLE STRIPS  
 TESTING TURNAROUND  
 SAFETY DATA SHEET vs. MSDS  
 AUTOMATED MACHINE GUIDANCE - OPTIONAL  
 ALL FILES AVAILABLE ELECTRONICALLY 1/16  
 FILES AVAILABLE AT TIME OF BID - 3D MODEL  
 NON-STANDARD NOW (SOME JOBS)  
 CONST. SURVEY SPEC 5.126 OR 2.106 WILL TELL  
 JOBS MORE THAN 1 YEAR PLANT ESTABLISHMENT  
 2.109 REQUIRE MINIMUM BID AMOUNT  
 (PROPOSAL)

RP  
 RW  
 KT  
 RS  
 DP  
 TD  
 TB

SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_  
 ACCEPTED: \_\_\_\_\_ DATE: \_\_\_\_\_



525 Jacoby St., San Rafael CA 94901  
Phone: 415.454.7011 Fax: 415.454.8376  
www.ghilottibros.com

JOB # / NAME: \_\_\_\_\_

SHEET NO \_\_\_\_\_ OF \_\_\_\_\_

CALCULATED BY \_\_\_\_\_ DATE \_\_\_\_\_

CHECKED BY \_\_\_\_\_ DATE \_\_\_\_\_

SCALE \_\_\_\_\_

1.5" IC-RAIL SPALL - COMPROMISE TO SAFETY WILL  
REQUIRE REJECTION - REDIRECT VEHICLE IS CRITERIA

JOINT CHECKS ALLOWED BUT CAN'T USE THE PAYMENTS  
TOWARDS DBE CREDIT -

EXECUTE CHANGE ORDER TO GO BACK RETROACTIVELY TO  
PREVIOUS OCTOBER TO REPORT DBE UTILIZATION  
FOR PAYMENTS -

DON'T DOWNLOAD DATA BASES AND RELY ON OVER  
LONG TIME PERIOD -

DBE VS NON-DBE AND CHOOSE NON-DBE HAVE  
TO SUBMIT QUOTES & INCLUDE IN GFE

SUBMITTING GFE SUBSTITUTION IS 7 DAYS

NOW LOOKING AT GFE 1, 2ND & 3RD BIDDENS  
GFE (IT RELATES TO PRICE IS PROBLEM)

DBE TRUCKS NOW AVAILABLE TO USE NON-DBE  
EQUIPMENT BUT HAS TO BE THEIR DRIVERS

LOOKING AT 2 PART SUBMITTAL

CAN HAVE MORE THAN 1 USER BUT ONLY 1 TRANSMITTER

SUBCONTRACTOR LIST HAS TO HAVE DIR #  
DIR WILL BE REQUIRED TO HAVE LICENSED COUNT.

\* JOHN McMILLAN - PERSON IN CHARGE OF SUBSTITUTION

SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_

ACCEPTED: \_\_\_\_\_ DATE: \_\_\_\_\_

ISSUE?  
LAKE COUNTY JOB?



525 Jacoby St., San Rafael CA 94901  
Phone: 415.454.7011 Fax: 415.454.8376  
www.ghilottibros.com

JOB # / NAME: \_\_\_\_\_  
SHEET NO \_\_\_\_\_ OF \_\_\_\_\_  
CALCULATED BY \_\_\_\_\_ DATE \_\_\_\_\_  
CHECKED BY \_\_\_\_\_ DATE \_\_\_\_\_  
SCALE \_\_\_\_\_

PROVIDE ALL TEST RESULTS NOT JUST FAILURES  
HOW LONG TESTING TIME - SAMPLES 1 DAY W/1 <sup>MILES</sup> 50 ~~MINUTES~~  
- " 2 DAYS OUTSIDE SO  
- 1 DAY TO REVIEW R.E.  
- 2 DAYS TO NOTIFY

RUMBLE STRIPS - PLAN 213

REJECTING END PIECES ON GROUND RAIL  
WILL PAY IF ALREADY ALLOWED

CMS - NO CHANGES ANYTIME SOON  
STILL REVIEWING ASSESSMENT

SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_

ACCEPTED: \_\_\_\_\_ DATE: \_\_\_\_\_

**Melissa Daniels**

---

**From:** steve.stamos@sfcta.org on behalf of SFCTA Info [info@sfcta.org]  
**Sent:** Friday, January 31, 2014 10:10 AM  
**To:** Melissa Daniels  
**Subject:** Re: Attendance List for DBE/SBE/LBE Upcoming Opportunity Overview & Networking Event  
**Attachments:** Outreach Event 01.30.14 - Sign-In Sheet.pdf

Melissa,

I apologize for the delay. Attached is the sign-in sheet from yesterday's outreach event.

Thanks,

Steve

On Fri, Jan 31, 2014 at 8:31 AM, Melissa Daniels <[MelissaD@ghilottibros.com](mailto:MelissaD@ghilottibros.com)> wrote:

Following up on my request.

Thanks

---

**From:** Melissa Daniels  
**Sent:** Thursday, January 30, 2014 10:35 AM  
**To:** 'info@sfcta.org'  
**Cc:** Thomas G. Barr, P.E.; Kyle Jarmicki; Renee Powers  
**Subject:** Attendance List for DBE/SBE/LBE Upcoming Opportunity Overview & Networking Event

Good Morning,

We are interested in getting in touch with the DBE/SBE/LBE firms who attended today's networking event as soon as the list is available in order to encourage bidding participation on upcoming projects.

If there are future opportunities for networking with these DBE/SBE/LBE firms, please let us know.

Thank you,

**Melissa Daniels**

Bid Administrator

**Ghilotti Bros., Inc.**

525 Jacoby Street

San Rafael CA 94901

415.256.2217 • Direct

415.454.7011 • Main Office

415.454.8376 • Estimating Fax

www.ghilottibros.com



Like us on Facebook: www.facebook.com/GhilottiBrosInc

This message has been scanned for malware by Websense. www.websense.com

Click here to report this email as spam.

One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014, 10:00 a.m. \*  
 \* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
11 <i>AT</i>	Adrienne Taylor	KKCS	adrienne.taylor@kkcsworld.com	510 465-9800	DBE
1	Al Williams	Alfred Williams Consultancy, LLC	al@awconsul.com	415-467-4675	
66	Amanda	Davis & Associates			
19 <i>AW</i>	Angelica Woods		awoods@greenearthenergy-usa.com		
25	Angelina Leong	VSCE Support			
15	Annabel Chang	Flynn Riley	achang@flynnriley.com	415-461-1000	
49 <i>(Ar)</i>	Audry deLucia	ellaprint	Audry@ellaprint.com	415-205-2060	
60 <i>Barbara Scott</i>	Barbara Scott		scottconsultingteam@gmail.com		
58	Benny Gutierrez	US Small Business Administration			
34 <i>Camille Tsao</i>	Camille Tsao	HNTB	CTsao@hntb.com	510-587-8637	
57	Caroline Rodman	T.Y. Lin International	caroline.rodman@tylin.com		
36 <i>Christina Cho</i>	Christina Cho Yoo	Atelier Cho Thompson	christina@chothompson.com	415-425-5091	LBE pending
3	Daniel Reider	lowercase productions	daniel@lowercaseproductions.com	415-994-6653	
20 <i>Dave</i>	Dave Schwartz	Capital Partnerships Inc.	cpidave@attglobal.net	(415)377-0274	LBE
9 <i>Dawn</i>	Dawn Ma	Q-Architecture		415-695-2700	AU.

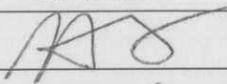
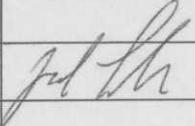
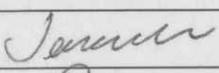
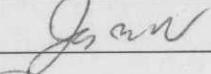
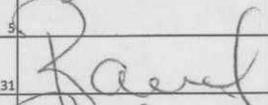
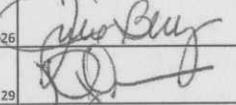
One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014, 10:00 a.m. \*  
\* DBE/SBE/LBE Overview and Networking Event \*

Signature or initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
50	Dawn R. Ackerman	OutSmart Office Solutions, Inc.	dawn@outsmartoffice.com		
53	Dennis Turchon	TJPA			
44	Diane Leider	City Source Rentals	diane@citysourcesf.com	(415) 397-4925	LBE/SBE
67	Doug Parrish	Red Dipper, LLC	doug@reddipper.com		
33	Dr. Elahe Essani				
54	Eddie Phillips	TJPA			
16	Eugene Ma	Macias, Gini & O'Connell, LLP			
2	Fiona McDougall	OneWorld Communications	Fiona.McDougall@owcom.com	415-355-1935	
32	Fred Acevedo	Manzanita Landscape Design & Maintenance	manzanita3565@gmail.com		
8	Fu-Lien (Henry) Chang	STRUCTUS, Inc.		415-399-1710	
17	Gulan Chhim	Macias, Gini & O'Connell, LLP	gchhim@mgcpa.com		
23	Harish Chinai	Ultimate in Success	harish@ultimateinsuccess.com		
22	Hayward Anderson	Urban Design Consulting Engineers	handerson@urbandesignce.com	415-658-5850	
65	Holli Thier	The Thier Group	hollithier@gmail.com	415-407-4843	DBE/LBE
40	Hossein Khoshkhoo	Delta Environmental Lab	deltahk1@sbcglobal.net		

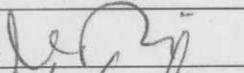
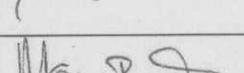
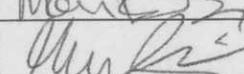
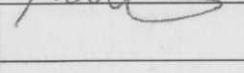
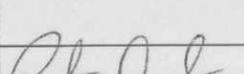
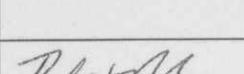
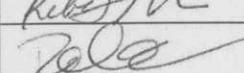
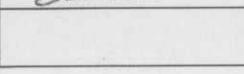
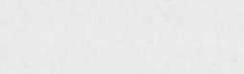
One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014, 10:00 a.m. \*  
\* DBE/SBE/LBE Overview and Networking Event \*

	Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
43		HT Tran	Anvil Builders	ht@anvilbuilders.com	415-285-5000	DBE/SBE/LBE
28		Ivette Santaella	Blackwell, Santaella & Jahangiri, LLP	ivette.santaella@blackwellsantaellalaw.com		SBE
63		Jack Lehner	Sebring Transport, Inc.	jack@sebringtransport.com		SBE
6		Jeffrey Enar	JPS Inc.	jeff@jps-inc.com	408-943-0111	
18		Jerome de la Vega		jdelavega@greeneearth-usa.com		
24		Jesus M. Vargas	VSCE Support			
5		Josephine Hughes	JPS Inc.			
31		Juan Maravilla	Bortolussi & Watkin, Inc.	bids@bwatkin.com		
26		Julie Berry	Cal-Con Pumping, Inc.	jb.calcon@me.com	415-401-9838	SBE DBE/LBE
29		K. Patrice Williams	BrandGov	Patrice@brandgov.com		
56		Karen Rupert	Rupert Construction Supply	karen@rupertsupply.com		
30		Keesha Henry	Our Father's SLE/Clean Lounge	keeshahenry@gmail.com	415-829-8270	
62		Leah Wade	Cal-Con Pumping, Inc.	Leah.Wade@calconpumping.com		
41		Liz Fetty	Greens Landscaping, Design and Construction	liz@greens-landscaping.com	925-248-0260	
59		Louis Waldron	The Job Shop	louis@jobshopsf.com		

One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014, 10:00 a.m. \*  
\* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
35 	Maria Rodriguez	Struthers Dias Architects	mrodriguez@struthersdias.com	510-924-0377	DBE/SBE/LBE
38 	Maritza Acosta	Acosta Engineering Solutions	macosta@acostaes.com	(415) 426-7826	DBE/SBE/LBE
47 	Matthew Aijake	Sonika Corporation			
27 	Maura Baldwin	Baldwin & Associates Landscape Architecture	mbaldwinla@gmail.com		
48 	Misha Palin	The Henne Group	mpalin@thehennegroup.com	845-348-1700	LBE
55 	Monique Martin		MONIQUE_MARTIN@comcast.net		
61 	Nan White	Red Bud Design	nannwhite56@gmail.com		
51 	Patricia Cantley	Parsons Brinckerhoff	cantleypr@pbworld.com	415 243 4710	
46 	Patrick Boyd	Design Lab 252			
45 	Paul Kephart	Rana Creek - Living Architecture	paul@ranacreekdesign.com	831-659-3820	
13 	Paul Menaker	Stantec	paul.menaker@stantec.com	925-296-2106	
7 	Peter Yu	STRUCTUS, Inc.		415-399-1710	
68 	Rebecca Mincio	Cordoba Corporation	rmincio@cordobacorp.com		
42 	Robert Wong	Aliquot Associates, Inc.	rwong@aliquot.com	925-476-2300	
52 	Sabine van der Sluis	Parsons Brinckerhoff			

One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014, 10:00 a.m. \*  
\* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
37	Scott Mears	DesignLab	scott@designlab252.com		
39	Shawn Murphy	Bleyco	smurphy@bleyco.com		
14 <i>SMC</i>	Spencer Chan	Value Fire Protection	valuefire@gmail.com	415-668-3398	LBE
12 <i>ST</i>	Stan Taylor	Nossaman	staylor@nossaman.com	415-398-3600	LBE
64	Steve Powell	BioMaAs			
4	Thea Selby	Next Steps Marketing	thea@nextstepsmarketing.com	415-773-1841	
10 <i>TR</i>	Theo Revlock	Ct-Architecture		415-695-2700	
21	Vincent Salimi	Salimi Construction Management	Vincent@SalimiCM.com		SBE
69					
70					
71					
72					
73					
74					
75					

One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014 10:00 a.m. \*  
 \* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
	SCOTT MEARS	DESIGNLAB 2502	SCOTT@DESIGNLAB2502.COM	415.622.3065	SBE
	MATTHEW AJIAKE	SONIKA CORP	MATIAKE@SONIKA.COM	415-424-5577	DBE/SBE
	MIKE GRIFFIN	GLC	Mike.Griffin@glc.com	415-921-1913	
	Adam Matthews	FKTV	amathews@flatironcorp.com	707-310-2113	
	Brian Stepper	CYSB	bsteppereg@bridge.com	925-372-8000	
		TJPA	ephillippe@tradingcenter.org		
		TJPA	dturchin@tradingcenter.org	597-4613	
		DA COMMUNITY CENTER	amanda@daus-pr.com	415-274-821	DBE/LBE
	Ryan Bata	Glucelli Associates	rbata@glucelli.com	510-708-7442	DBE/LBE
		APADANA ENGINEERING	THOMAS@APADANA.US	415-859-5915	WBE/LBE
	IIVETTE SANTARUA	BLACKWELL SANTARUA Legal	IIVETTE.SANTARUA@BLACKWELLSANTARUALAW.COM	925-698-3711	SBE
	Kevin Smith	Fidato	KSE@fidatocorp.com	707-758-4746	LBE
	Carmela Favale	MGI	cfavale@mgi.com	415-350-7044	LBE/SBE & DBE
	Alex Chiu	Law Office of Alex S. Chiu	achiua@alexchiu.com	415-562-3890	DBE/SBE LBE
	Chaska Berger		Chaska@gmail	309945824	



One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014 10:00 a.m. \*  
 \* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
AAA	ALFREDO ANDRADE	AA Pacific Builders	afredo@aa-pacificbuilders.com	415-368-2436	Metro LBE LBE
[Signature]	Oscar Zavala	Montez Group Inc.	ozavala@mg.ie fmail.com	415-430-5029	SBE/DBE SF LBE
[Signature]	Gwen KAPLAN	Ace Mailing	gwen.kaplan@acemailings.com	415-863-4223	DBE/SBE/ LBE
PR	Aislinn Rocamora	KKCC	aislinn.rocamora@kkccworld.com	510-465-9800	DBE/DBE
RC	Reenah Chinchilla	Westland Solutions	rchinchilla@westland-solutions.com	925-639-6035	
RW	Randy Winkler	WESTLAND Mgmt SOLUTIONS	RWINKLER@WESTLANDSOLUTIONS.COM	916-905-2307	SBE
NW	Nann White	PROBUD DESIGN	nannwhite56@gmail.com	415-553-9514	DBE/SBE/LBE
[Signature]	VIVIAN STONE	FULL CIRCLE	VSTONE@PACBELL.NET	415-987-9121	DBE/LBE
[Signature]	SAEED SHAH MIRZAH	Zoon Eng.	SSHAHMIRZAH@ZOOH-ENG.COM	510-456-3507	SBE
[Signature]	James A BRYANT JBR Partners LLC	JBR	james@jbrpartners.com	415-970-9051	DBE
[Signature]	Durf Butler	Butler Enterprise	derf@butlereng.com	415-724-1154	DBE
[Signature]	Julian Marshburn	Urban Fabric	Julian@UrbanFabric.com	415-215-2625	DBE
SO	Susan Oldroyd	Susan Oldroyd and	Susan-OLDROYD, FALA@gmail.com	415-553-0421	LBE SBE
DAS	Doug Johnson	Zoon Eng	djohnson@zoon-eng.com	510-926-0720	SBE
[Signature]	Yolanda Jones	YCAT Co. Inc.	yjones@ycat.com	(415) 647-2600	SBE/LBE

One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014 10:00 a.m. \*  
 \* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
76 <i>Dena Ene</i>	<i>DVE</i>	<i>Empire Eng'g</i>	<i>Dena@empireconstruction.com</i>		<i>LBE</i>
77 <i>Jan Boyker</i>	<i>JBS</i>	<i>Eastmorn Builders</i>	<i>eastmornbuilders@yahoo.com</i>	<i>570 827 6312</i>	<i>DBE</i>
78 <del><i>Robert DeLgado</i></del>	<i>ARCADIS</i>	<i>ARCADIS</i>	<del><i>Robert.DeLgado@arcadis-us.com</i></del>	<i>949.291.6867</i>	
79 <i>Judis Santos</i>	<i>Judis Santos</i>	<i>AECOM</i>	<i>judis.santos@aecom.com</i>		
80 <i>Jay Henney</i>	<i>Jay Henney</i>	<i>ACP</i>	<i>JayThePumpGuy@yahoo.com</i>	<i>916-877-3121</i>	<i>SBE</i>
81 <del><i>James L McH...</i></del>					
82 <i>James L McH...</i>	<i>JAMES L McH...</i>	<i>JLM Management Group</i>	<i>JamesLmch@earthlink.net</i>	<i>415-806-1940</i>	<i>DBE/LBE</i>
83 <i>Holl Thier</i>	<i>Holl Thier</i>	<i>Thier Group</i>	<i>hollthier@gmail.com</i>	<i>415-407-4843</i>	<i>DBE/LBE</i>
84					
85					
86					
87					
88					
89					
90					

One name per line, please.

\* Participant Sign-In Sheet \* January 30, 2014 10:00 a.m. \*  
\* DBE/SBE/LBE Overview and Networking Event \*

Signature or Initials	Name	Organization or Affiliation	E-mail address	Phone Number	DBE/SBE/LBE
31	LA	Lily Ann Villanera	Pendergast		SBE
32	JP	Jethro Patalingug	Pendergast		SBE
33	EB	Emilio Bustos	Suarez & Munez Construction emilio@suarezmunoz.com	510-782-6065	DBE
34	LV	Louis Wolden	Job Step Louis@jobstep.com	415 227 8610	
35	FJ	Fred Jordan	F.E. Jordan Assoc. fredjordan@fejordan.com	415 394-5900	DBE
36	D Hahn	David Hahn	Avalon Const avanconst@gmail.com	415-822-0822	SBE
37	CS	CHARU SHARMA	STEVENS + ASSOCIATES csharma@stevens-arch.com	415-397-6500 X205	SBE
38					
39					
40					
41					
42					
43					
44					
45					



# DISTRICT 4 SMALL BUSINESS OUTREACH EVENT

## WHEN

December 12, 2013  
11:00 am to 1:00 pm

## WHERE

SFCTA (22<sup>nd</sup> Floor, Hearing Room)  
1455 Market St (at 11<sup>th</sup> Street)  
San Francisco, CA 94103

## WHAT

Sneak Preview of upcoming Caltrans construction projects valued at over \$120 million will be discussed.

*Please register online by Dec 10th @*  
<http://www.dot.ca.gov/dist4/smallbusiness/registration.html>



**PRIME CONTRACTORS,  
SUB CONTRACTORS,  
DBEs, SMALL  
BUSINESSES AND DVBEs  
INVITED TO ATTEND!**



**Learn about the  
contracting  
process for  
SFCTA**

**FREE EVENT**

For assistance please  
contact:  
Adriana Harris @  
510.286.7110



General Engineering Contractors – Excavating, Paving, Grading, Concrete

525 JACOBY STREET • SAN RAFAEL, CA 94901 • TELEPHONE (415) 454-7011 • Fax (415) 454-8376

STATE CONTRACTORS LICENSE # 132128

Matt Powers attended the December 12, 2013 District 4 Small Business Outreach Event.

Caltrans projects valued at over \$120M were discussed.

Exchanged business cards with numerous DBEs.



**DBE Participation Committee  
Task Group B Status Update  
December 3, 2013**

<b>Task Group B Members</b>	
Mark Leja, Caltrans	Laura Bills, Nitta Inc.
Angela Shell, Caltrans	Mike Ghilotti, Ghilotti Bros.
Ramon Carlos, Caltrans	Mark Serrano, RE Serrano
John McMillan, Caltrans	Jeff Turner, Flatiron
Rita Ferguson, G & F Concrete	
Debbie Hunsaker, Alert-o-Lite	

The task group held a conference call on November 22, 2013 to discuss the remaining action items.

Action Item Updates

**Action item #1** – Attend outreach events to discuss post-award DBE information

**Status** – The fact sheet was completed by OBEO and Construction and is ready for hand out at outreach events where DBE firms will attend. The fact sheet was also handed out at the Caltrans-Industry meetings on December 2<sup>nd</sup> and 3<sup>rd</sup>.

OBEO and Construction are continuing to work on a fact sheet related to the DBE program as well as identifying appropriate outreach events for Construction staff to attend. The fact sheet will be handed out by Caltrans staff at outreach events to educate current and future DBE firms on pre/post award activities related to DBE use on projects.

**Action item #2** – Acknowledge Prime Contractors Who Use DBE Firms

**Status** - The Commitment at Award report has been updated to incorporate additional information requested by the task group. This report is being handed out at regular industry and SBC meetings.

OBEO is also working on a Utilization report to be used as another consideration tool for acknowledging prime contractors who consistently use DBE firms. This report should be ready sometime early in 2014.

The DBE Commitment at Award report is being updated by Ramon Carlos to add the following comments: (1) the data is only for federal-aid contracts and (2) what some of the columns mean – such as the contractor's column. Ramon is also working with the design-build team to capture DBE participation on those contracts.

This report will continue to be monitored over the next fiscal year and an evaluation will be conducted with respect to recognizing prime contractors with high participation numbers.

**Action item #3 – Notifying listed DBE firms of contract award – Completed**

**Status - An email was sent on November 7, 2013 to all DBE firms notifying them of the availability of contract award information on the Office Engineer website.**

The Award Tracker Report is now being updated regularly by Office Engineer. Ramon is working with the DBE Certification Unit to see when an email can be sent out notifying DBEs that they can check this Award Tracker Report to see if a project was awarded using their firm.

**Action item #4 – Improving Enforcement - Use of District Small Business Liaisons/Dispute Resolution**

**Status – 1) Training of district small business liaisons was completed in September. 2) The idea of Industry setting up its own dispute resolution processes was discussed during the December industry meetings for further action as necessary on Industry's part.**

An idea is to have Industry (Primes/Subs/Suppliers) arrange for their own DRB services. Construction would support a request to the industry associations for them to consider offering this service to their members and will bring it up at our liaison meetings.

**Action item #5 – Use of Joint Checks on Caltrans Projects**

**Status – OBEO sent out a joint check survey to all DBE firms in mid-October. The results have been tabulated and were discussed during the task group conference call. Initial results indicate most DBE firms are not currently using the joint check process. The task group believes the prime contractors should also weigh in on the use of joint checks outside the DBE program and the benefits to subcontractors and/or DBEs. OBEO and Construction will develop a survey for primes and then evaluate the results of both surveys before moving forward with additional discussions with FHWA on the use of joint checks.**

Construction and OBEO developed a draft survey and provided it to the task group for review. Edits are being made by Ramon Carlos and he is working on sending the survey to all DBE firms in the database. When the results of the survey come in, the group will include an analysis of the survey in its recommendation for moving forward with the use of joint checks on Caltrans projects.

**Disadvantaged Business Enterprise Committee**

**Task Group B – Utilization**

**November 22, 2013**

**10:30 AM – 12:00 Noon**

**Conference Call Summary**

Attendance

Angela Shell, Caltrans  
John McMillan, Caltrans  
Ramon Carlos, Caltrans  
Rita Ferguson, G&F Concrete  
Laura Bills, Nitta Erosion Control, Inc.  
Mike Ghilloti, Ghilotti Bros.  
Jeff Turner, Flatiron  
Mark Serrano, RE Serrano

Summary

Mark Leja was unable to attend the call due to a last minute schedule conflict so Angela Shell started the conference call with discussion of the remaining action items.

**Action item #1 – Attend outreach events to discuss post-award DBE information**

OBEO and Construction completed the fact sheet related to certification and monitoring and enforcement activities within the DBE program. The draft document was emailed to the members prior to the call. A suggestion was made to present the fact sheet at the upcoming construction industry meetings for informational purposes.

Construction now has a list of outreach events for the next few months and the fact sheets will be handout out at these events. Rita Ferguson asked if Caltrans was still interested in attending industry outreach events and Angela confirmed that Caltrans could with consideration of location and available resources. Rita will forward the list of events to Angela.

During the discussion of this topic, Laura Bills identified an issue with OBEO Contract Evaluation unit staff throwing out bids from DBEs as a result of staff confusion on appropriate work codes for DBE participation. Ramon Carlos indicated he would provide that feedback to OBEO staff.

**Action item #2 – Track DBE commitment at award**

The DBE commitment at award report is complete and is being updated on a monthly basis. Angela did not provide it to the task group members for this meeting but committed to providing it at all future meetings so the task group can evaluate how contractors are doing at meeting the DBE contract goals. Jeff Turner asked if the report can be posted in the internet for easily accessing the information. Ramon indicated the plan is to post it on OBEO's website.

Ramon also indicated he is working on a similar report for utilization at the end of the project. He expects the report to be ready for presentation sometime after January 1, 2014. It will be presented to the task group members at the next conference call.

**Action item #3 – Notifying listed DBE firms of contract award**

An email was sent to all DBE firms in November with information on how to review the Caltrans Award Tracker report to see if their firm has been listed for participation credit. Ramon received positive feedback from DBE firms on the content of the email. Several DBE emails came back as undeliverable and some DBEs have indicated they did not receive the email at all. Ramon is providing that feedback to the OBEO Certification Unit for updating of DBE records.

This item is complete and no further action is required from the task group.

**Action item #4 – Use of District Small Business Liaisons as a Resource/Dispute Resolution**

The district small business liaisons have been trained on basic construction processes such as payroll and progress payments/stop notices. The district staff is actively engaged in assisting DBE and small business firms with concerns or issues.

The group discussed bringing the issue of identifying an alternative process for dispute resolution between the prime and subcontractors to the December Caltrans-industry meetings for gathering ideas on how this concept might work. Prompt payment to both DBEs and subcontractors in general appears to be one of the main dispute topics. Both Rita and Laura brought forward perspectives on delayed payments and the availability of the stop notice process. The group agreed that if the project itself had some mechanism of partnering (dispute resolution or a partnering process) then disputes could be resolved faster.

**Action item #5 – Use of Joint Checks on Caltrans Projects**

A joint check survey was sent to all DBE firms in October. The results of the survey were provided to the task group prior to the conference call. The survey seems to suggest that many DBEs are either not familiar with the process or are not using it. The data also seems to suggest that many of the responding DBEs may not be operating in the construction industry. The task group suggested sending the survey out to prime contractors to get a full perspective on the benefit and use of joint checks. Mark Serrano suggested that not allowing a joint check process could result in some closed opportunities for use of DBE firms due to perceived risks for performance or payment issues and the inability of a DBE firm to provide bonding on a project. Rita agreed that there are some aspects of the joint check process that are relative to a DBE firm being able to participate on a project. Mike Ghilotti suggested sending the prime contractor survey to the industry associations as well.

Ramon and Angela will work on questions for a prime contractor joint check survey and report back to the task group during the next conference call.

Mark suggested revisiting the fraud discussion with FHWA to see if any additional clarification can be provided to help with moving the process forward.

**The next full committee meeting is December 3, 2013 at 1:30 pm. Mark will report on the progress of the action items.**

## FACT SHEET

### How to apply for DBE Certification? California Unified Certification Program Disadvantaged Business Enterprise Certification Program December 2013

The United States Department of Transportation (U.S. DOT) requires that a Unified Certification Program (UCP), governed by 49 Code of Federal Regulations (CFR), Part 26, be put into practice by each state. The purpose of the UCP is to provide "one-stop shopping" of certification services to socially and economically disadvantaged individuals. The California Department of Transportation (Caltrans) processes DBE applications statewide. There are other certifying agencies that certify geographically based on their region. The following is information regarding the DBE Certification Program:

#### What are the main eligibility requirements?

- ▶ **Social and Economic Disadvantage:** A disadvantaged owner must be a U.S. Citizen (or resident alien) and meet the federal definition of socially and economically disadvantaged as defined in 49 CFR, Part 26.67. Presumptive groups include women, African Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian Americans, or any individual found to be socially and economically disadvantaged on a case-by-case basis.
- ▶ **Personal Net Worth:** Only disadvantaged persons having personal net worth (PNW) of less than \$1.32 million can be considered as a potential qualified DBE.
- ▶ **Business Size Standard:** A firm (including affiliates) must be a small business as defined by the United States Small Business Administration. Average annual gross receipts over the previous three fiscal years may not exceed \$22,410,000 (\$52,470,000 for airport concessions in general, with some exceptions).
- ▶ **Ownership:** Must be a for-profit small business concern, where socially and economically disadvantaged individuals own at least 51 percent interest in the firm.
- ▶ **Management and Control:** The DBE owner(s) must possess the power to direct or cause the direction of the management and policies of the firm and to make day-to-day decisions, as well as long-term decisions on matters of management, policy, and operations.
- ▶ **Independence:** The business must not be affiliated with another firm in such a way as to compromise its independence and control.
- ▶ **On-Site Visit:** The Federal regulations require an On-Site Review be conducted for all DBE applicants.

How can I apply for DBE certification?	Where do I send my application once it has been completed and notarized?
<p>To begin the process, download the application from the Caltrans website at: <a href="http://www.dot.ca.gov/hq/bep/business_forms.htm">http://www.dot.ca.gov/hq/bep/business_forms.htm</a>. Under the Disadvantaged Business Enterprise Program (DBE) heading are Internet links to the DBE application, FAQ's, DBE brochure, and a Power Point tutorial. If you still have additional questions, please call the Caltrans DBE Certification Office at: (916) 324-1700, Monday through Friday, 8:00 a.m. to 5:00 p.m., or e-mail us at: <a href="mailto:DBE.Certification@dot.ca.gov">DBE.Certification@dot.ca.gov</a></p>	<p>Review the list of certifying agencies located on the back of the application packet and submit your application to the agency in your area.</p> <p>If you have any additional questions regarding DBE certification, please contact the nearest agency in the back of your application packet, and a certification analyst will help you with any question you have regarding the certification process/application.</p>

#### What are the benefits of being DBE certified?

- Certification is recognized by 800 local agencies in California, other states, and private companies.
- Expands opportunities to participate in federally-funded projects.
- Become accessible to prime contractors needing to fulfill DBE participation goal requirements.
- Listings in official directories such as the CUCP database used by prime contractors.
- Increases opportunities to network at events such as procurement fairs and pre-bids.
- Eligible for mentor protégé opportunities such as Caltrans' Cal Mentor programs.
- No fees to apply, except the cost of having your application notarized.

## FACT SHEET

### Construction Contract

### Participation and Monitoring Requirements

Division of Construction  
December 2013

49 Code of Federal Regulations (CFR), Part 26.55 provides the requirements for counting DBE participation on contracts awarded by the Department. When a contractor lists a DBE firm on a construction contract, the Department ensures the DBE is used on the project or the process substitution process is followed. Following is information regarding participation and monitoring requirements on the Department's contracts:

**What are the main participation requirements?**

- ▶ **Perform a Commercially Useful Function (49 CFR 26.55 (c) 1, 2, 3):** A DBE firm must perform a commercially useful function by being responsible for execution of the work of the contract or subcontract including, but not limited to, the following :
  - Perform, manage , and supervise the work involved
  - Negotiate price, determine quality and quantity, order, and pay for materials and supplies used in the work
  - If applicable, install the materials
  - For trucking services, own and operate at least one fully licensed, insured, and operational truck and use it on the contract

**A commercially useful function does not include:**

- 1) A DBE's role that is limited to being an extra participant in a transaction or contract through which funds are passed in order to obtain the appearance of participation.
  - 2) A DBE that does not perform at least 30% of its contract work with its own forces or subcontracts out a greater portion than that expected for normal industry practices.
- ▶ **Submit Certified Payroll Records:** If the work performed requires payment of prevailing wages, submit weekly certified payroll records to the prime contractor. All certified payroll records are due to the Department by the 15<sup>th</sup> of the month for the previous month's work.
  - ▶ **Keep records of work performed and payments:** The Department may request information from the prime contractor regarding a DBE's participation on the contract. This may include requesting copies of invoices generated by the DBE, payments made to the DBE, cancelled checks substantiating actual payments, supplier invoices or purchase orders, delivery or freight receipts and any other documents supporting a commercially useful function. A DBE should keep these records on file should the Department request verification of DBE activities.
  - ▶ **Comply with a request for documents:** In response to a Department audit request or commercially useful function review, the prime contractor may request copies of documents to be submitted by the DBE. Normally a deadline for providing the documents is required.
  - ▶ **Notify the Department if your firm is listed for commitment, but not used on the project:** The Department publishes the award tracker report that lists all of the approved DBE firms committed for participation on a contract. This report can be used as a tool to confirm your quote was used by a prime contractor. Make regular contact with the prime contractor before work starts to establish contract details. If your firm is not used on the contract, contact the Department for assistance.
  - ▶ **Review the Department's contractor payment web page:** The Department publishes payments made to prime contractors on its website for all construction contracts. DBE firms should check this page regularly to identify when a contractor has been paid for items of work performed by DBE firms. The payment website is located at: <http://www.dot.ca.gov/hq/asc/oap/payments/>

What steps does the Department take to monitor participation?	What steps does the Department take for enforcement?
1) Conduct field reviews of DBE participation including monitoring the work sites and documenting contract activities. 2) Conduct interviews with DBE firm employees performing work on the project. 3) Disallow prime contractor termination or substitution of a listed DBE firm without following the substitution process identified in section 5-1.03, "Performance of Disadvantaged Business Enterprises" of the contract specifications. 4) Require the prime contractor to submit monthly reports of DBE trucking participation. 5) Require the prime contractor to submit a final report of DBE participation on the contract – including payments made to DBE firms.	1) The Department withholds the full amount of DBE participation from a prime contractor's payments for failure to use the DBE firms as committed to at bid time or failure to follow the substitution process identified in the contract. 2) The Department may recommend decertification of a DBE firm for failure to adhere to the certification and participation requirements of 49 CFR Part 26. 3) The Department withholds funds from the prime contractor for stop notices filed as a result of non-payment to subcontractors, suppliers, or other entities.



# Agenda

## DBE Participation Committee Task Group B - Utilization

Friday, November 22, 2013

10:30 AM – 12:00 Noon

Conference Call Number: 1-866-821-6939

Participant Code: 4505835

Called by Mark Leja

Invitees Angela Shell - Caltrans, Ramon Carlos - Caltrans, John McMillan – Caltrans, Rita Ferguson – G&F Concrete, Laura Bills – Nitta Inc., Mike Ghilloti – Ghilloti Bros., Debbie Hunsaker – Alert-o-Lite, Mark Serrano – RE Serrano, Jeff Turner - Flatiron

Time		Topic	Lead	Handouts
10:30	5	Welcome	Mark Leja	
10:35	70	Review and Discuss Task Group Action Items	Task Group	
11:45	10	Next Steps	Mark Leja	
11:55	5	Next Meeting/Closing Comments	Mark Leja	
12:00		Adjourn		

### Attachments

- 1) Draft Fact Sheets – Certification and Participation
- 2) Email to DBE firms regarding Award Tracker Report
- 3) Joint Check Survey Results

EJ

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**  
AGC Office – 3095 Beacon Boulevard, West Sacramento, CA 95691  
September 25, 2013 – 1:30 p.m. to 3:30 p.m.

**A. Welcome and Introductions – René Halverson**

1. Called to order at 1:38 p.m.

**B. Review - René Halverson**

1. Agenda and meeting record – reviewed; no changes.
2. Committee Charter
  - a. Committee members agreed to continue their work.
  - b. No changes to the charter at this time.
  - c. Per the charter, the committee will review again in July, the end of the fiscal year.
  - d. Re: inconsistent attendance – committee continues to support the need for members to be physically present; will evaluate individuals who have been inconsistent in their attendance on a case by case basis.
3. Committee structure
  - a. Suggested adding a trucking or supplier business to the committee; Co-Chair René Halverson will also talk further with Angela Shell, Construction, about this matter. This suggestion was supported by the committee. Greg Dineen has access to many trucking companies; stated it might be a good idea to have a trucker who has just received a contract to join the committee.
  - b. Co-Chair Halverson pointed out this committee was originally conceived as a smaller focus group –having reached the one-year mark, the chairs are open to changes the committee might suggest.
  - c. Report provided by Ramon Carlos' staff might also be considered a source for primes and subs.
  - d. Importance of consistent attendance by the industry representatives already on the committee – it was pointed out the committee does not need more primes, but needs those on the committee to participate.
  - e. According to Rita Ferguson, Kiewit is interested in joining the committee.
  - f. Importance of FHWA participation – Mike Duman, the committee's FHWA representative, is currently on assignment out of state; it may be necessary to make an exception to the "no alternates" policy of the committee in order to have another representative from FHWA attend.
4. New item for assignment: Caltrans consider revising the insurance language requirements for subcontractors proposed by Rebecca Llewellyn
  - a. This matter was initially raised by Ms. Llewellyn through the Construction Committee of the Statewide Small Business Council (SBC).
  - b. Sample put forth by Ms. Llewellyn is taken from the language used by SANDAG:  
*Insurance required of the Contractor shall be provided by or in behalf of all subcontractors to cover their operations performed under this Agreement. Contractor shall not require subcontractors to maintain insurance amounts that are disproportionate to the scope and dollar value of work subcontracted. Contractor shall be held responsible for all modifications, deviations, or omissions in these insurance requirements as they apply to subcontractors.*
  - c. Agreed this new task assignment will be handled by Task Group B chaired by Mr. Leja.

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**

AGC Office – 3095 Beacon Boulevard, West Sacramento, CA 95691

September 25, 2013 – 1:30 p.m. to 3:30 p.m.

- d. Co-Chair Halverson requested the task group work with the SBC Construction Committee through Ramon Carlos.
5. Reports from OBEO Small Business Unit – Ramon Carlos
- a. DBEs that have received contracts with Construction between 10/1/12-6/30/13 – 2-pages; quarterly report; this is new; first was distributed in January; next will be available in December.
  - b. A&E contracts from 10/1/12-6/30/13 – 1 page.
  - c. Other reports being developed: a breakdown of individual contract utilization of DBEs.

**C. Task Group B: Post-Construction Issues – Mark Leja**

[Refer to the handout distributed at the meeting for a more detailed report.]

**Action Item 1**, Attend outreach events to discuss post-award DBE information – work in progress.

**Action Item 2**, Acknowledge prime contractors who use DBE firms – using recognition as the incentive. Ms. Llewellyn mentioned District 11 uses this approach very successfully.

**Action Item 3**, Notifying listed DBE firms of contract award – Award Tracker Report online is being updated regularly and serves as a way for firms to check if a project has been awarded using particular DBEs.

**Action Item 4**, Improving enforcement; use of District Small Business Liaisons – see handout.

**Action Item 5**, Use of joint checks on Caltrans projects – per Ramon Carlos, the survey is being prepared and should go out in the first week of October; will be sent to over 4,500 DBEs. Ms. Llewellyn commented the banks are not taking two-party checks. The survey is an opportunity for DBEs to reveal their experiences such as this.

**Other discussion:**

1. DRB – utilize for dispute resolution; also suggested the American Arbitration Association [[www.abr.org](http://www.abr.org)]; best to have an independent third party to resolve issues.
2. Caltrans encourages primes to have a dispute resolution process in place for resolving issues with their subcontractors. David Jones, AGC, who was sitting in for Sam Hassoun, mentioned AGC has a list of dispute resolution resources.
3. Steve Rhoades asked who enforces the prompt payment act – after listening to Mr. Rhoades' situation, it was concluded he needed to file for a stop notice. In other cases, the matter might be managed through a dispute resolution board to possibly avoid a stop notice. Ms. Shell also suggested notifying the Contractors State Licensing Board.
4. Most problems are associated with primes new to doing business with the State – Ms. Ferguson noted new contractors, while new to contracting with the State, will sometimes withhold payment to the subs despite receiving payment from Caltrans. Co-Chair Halverson suggested matters such as these would fall under Action Item 4 regarding enforcement.

**D. Task Group C: Pre-Construction Issues – Sanjay Singh for Janice Salais**

[Refer to the meeting record as a reference for this report.]

The group was not able to meet over the past three months, so Mr. Singh's report was limited to what he had knowledge of through his work in Certification.

**Action Item 7**, Develop a chronological fact sheet on changes to 49 CFR Part 26, which will be placed on the OBEO website – on hold due to changes anticipated

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**

AGC Office – 3095 Beacon Boulevard, West Sacramento, CA 95691

September 25, 2013 – 1:30 p.m. to 3:30 p.m.

with the New Proposed Rule Making.

**Action Item 10** – Develop a DBE certification process flow chart – completed and posted on the Caltrans web page.

**Action Item 11** – Address outcome of the list of action items for this task group – ongoing; to be assessed in January 2014.

**Other discussion:**

1. Transitioning from NAICS to work code – discussions with IT have revealed a replacement code would have to be used.
2. Co-Chair Halverson said they are pursuing an IT solution to replace the database, but it is not certain what the outcome will be; the source holding the data is the main challenge, because it is built on an old Oracle database.

**E. Wrap-Up and Next Steps**

1. Decisions
  - a. Explore trucking companies for potential committee member; work with Ms. Shell and current data for suggestions.
  - b. Primes – review the participation of prime firm representatives currently serving on the committee.
  - c. FHWA presence at meetings – agreed this is necessary; while Mike Duman is on assignment out of state, the committee is willing to consider an alternate; Co-Chair Halverson will pursue this matter.
2. Next steps
  - a. Task groups will continue their work.
3. Next meeting scheduled for **Tuesday, December 3, 2013, 1:30 p.m. to 3:30 p.m., AGC Office in West Sacramento (to be confirmed).**

**F. Adjournment** – Meeting adjourned at 3:07 p.m.

**Handouts:**

- Meeting agenda
- Meeting record, June 4, 2013
- Task Group B update, September 25, 2013
- Report, spreadsheet: *DBEs that have received contracts with Construction between October 1, 2012 – June 30, 2013*
- Report, spreadsheet: *A&E contracts October 1, 2012-June 30, 2013*
- Sample language taken from SANDAG re: insurance requirements for subcontractors.
- DBE Participation Committee charter

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**

AGC Office – 3095 Beacon Boulevard, West Sacramento, CA 95691

September 25, 2013 – 1:30 p.m. to 3:30 p.m.

**PRESENT**

**Committee Members:**

1. Sutliff, Karla, Caltrans, Project Delivery
2. Halverson, René, Caltrans, OBEO
3. Carlos, Ramon, Caltrans, OBEO
4. Dineen, Greg, Greg Dineen & Associates
5. Effinger, Robert, Caltrans, Project Delivery
6. Ferguson, Rita, G & F Concrete Cutting, Inc.
7. Hunsaker, Debbie, Alert-O-Lite
8. Leja, Mark, Caltrans, Construction
9. Llewellyn, Rebecca, Payco Specialties, Inc.
10. McMillan, John, Caltrans, Office Engineer
11. Rhoades, Steve, S.T. Rhoades Construction
12. Seaberg, Earl, Caltrans, Office Engineer
13. Shell, Angela, Caltrans, Construction
14. Singh, Sanjay, Caltrans, OBEO

**Guest**

Jones, David, AGC of California

**Support Staff**

Ching, Carole, Caltrans OBEO

(OK) ERM - to Emily  
Was she aware of  
Item noted on 2<sup>nd</sup> page? sent 10/2

DBE Participation Committee  
Task Group B Update  
September 25, 2013

(mg)

(mg)

Task Group B Members	
Mark Leja, Caltrans	Laura Bills, Nitta Inc.
Angela Shell, Caltrans	Mike Ghilotti, Ghilotti Bros.
Ramon Carlos, Caltrans	Mark Serrano, RE Serrano
John McMillan, Caltrans	Jeff Turner, Flatiron
Rita Ferguson, G & F Concrete	
Debbie Hunsaker, Alert-o-Lite	

The task group held a conference call on August 30, 2013 to discuss the remaining action items.

Action Item Updates

**Action item #1** – Attend outreach events to discuss post-award DBE information

OBEO and Construction are continuing to work on a fact sheet related to the DBE program as well as identifying appropriate outreach events for Construction staff to attend. The fact sheet will be handed out by Caltrans staff at outreach events to educate current and future DBE firms on pre/post award activities related to DBE use on projects.

**Action item #2** – Acknowledge Prime Contractors Who Use DBE Firms

The DBE Commitment at Award report is being updated by Ramon Carlos to add the following comments: (1) the data is only for federal-aid contracts and (2) what some of the columns mean – such as the contractor's column. Ramon is also working with the design-build team to capture DBE participation on those contracts.

This report will continue to be monitored over the next fiscal year and an evaluation will be conducted with respect to recognizing prime contractors with high participation numbers.

**Action item #3** – Notifying listed DBE firms of contract award

The Award Tracker Report is now being updated regularly by Office Engineer. Ramon is working with the DBE Certification Unit to see when an email can be sent out notifying DBEs that they can check this Award Tracker Report to see if a project was awarded using their firm.

**Action item #4 – Improving Enforcement - Use of District Small Business Liaisons**

1) OBEO conducted a two day training/meeting with the Small Business Liaisons on September 11<sup>th</sup> and 12<sup>th</sup>. The Division of Construction provided information to staff on the stop notice process and other enforcement activities conducted in the districts.

2) During the task group conference call, a discussion occurred on identifying an alternative process for dispute resolution between the prime and subcontractors. The use of the informal dispute resolution advisor or board could provide an avenue for primes and subs to resolve issues before they get to the stop notice or claim process.

Another idea is to have Industry (Primes/Subs/Suppliers) arrange for their own DRB services. Construction would support a request to the industry associations for them to consider offering this service to their members and will bring it up at our liaison meetings.

**Action item #5 – Use of Joint Checks on Caltrans Projects**

Construction and OBEO developed a draft survey and provided it to the task group for review. Edits are being made by Ramon Carlos and he is working on sending the survey to all DBE firms in the database. When the results of the survey come in, the group will include an analysis of the survey in its recommendation for moving forward with the use of joint checks on Caltrans projects.

mg

**Disadvantaged Business Enterprise Committee  
Task Group B – Utilization  
August 30, 2013  
10:00 AM – 12:00 Noon  
Conference Call Summary**

Attendance

Mark Leja, Caltrans  
Angela Shell, Caltrans  
Mulissa Smith, Caltrans  
Ramon Carlos, Caltrans  
Mike Ghilotti, Ghilotti Bros.  
Jeff Turner, Flatiron  
Debbie Hunsaker, Alertolite

Summary

Mark Leja kicked off the conference call with a brief review of the minutes from the June 2013 full committee meeting. The task group then moved to discussions of the remaining action items.

**Action item #1 – Attend outreach events to discuss post-award DBE information**

OBEO and Construction are continuing to work on a fact sheet related to the DBE program as well as identifying appropriate outreach events for Construction staff to attend. The fact sheet will be handed out by Caltrans staff at outreach events to educate current and future DBE firms on pre/post award activities related to DBE use on projects. A final draft of the fact sheet will be sent to the task group members for review and comment.

**Action item #2 – Track DBE commitment at award**

Ramon Carlos provided an updated copy of the DBE Commitment at Award report. The task group asked that Ramon add some notes on the report identifying that (1) the data is only for federal-aid contracts and (2) that the prime contractor column relates to the number of contracts awarded. Debbie Hunsaker asked if the report could also capture design-build project information. Ramon advised that he is currently working with Ray Tritt's group to capture the data for design-build contracts.

**Action item #3 – Notifying listed DBE firms of contract award**

This issue still remains outstanding. Office Engineer suggested that perhaps a DBE corner could be added to the Office Engineer, Contractors Corner web page that DBE firms could click on and get to information that might assist with identifying if a firm was listed on a given project.

Angela will work directly with Office Engineer staff to see if a DBE link can be implemented.

**Action item #4 – Use of District Small Business Liaisons as a Resource**

The group discussed the use of the district small business liaisons for increased assistance with contractual issues and disputes. After some discussion, the group decided that the liaisons may not be the best avenue to resolve disputes; rather the project personnel would be better equipped to respond to contractual disputes.

OBE0 will be conducting a two day training/meeting with the Small Business Liaisons in September. The Division of Construction will provide information to staff on the stop notice process and other enforcement activities conducted in the districts.

The discussion turned to identifying an alternative process for dispute resolution between the prime and subcontractors. The use of the informal dispute resolution advisor or board could provide an avenue for primes and subs to resolve issues before they get to the stop notice or claim level. Mark agreed to look into current Caltrans policy on the use of the dispute resolution process and whether it could be applied to issues between primes and subs.

**Action item #5 – Use of Joint Checks on Caltrans Projects**

The group discussed the draft questions for the joint check survey. The recommended edits are as follows:

- 1) Remove question number 1 – Do you use joint checks on Caltrans projects?
- 2) Include a definition of joint checks as provided in FHWA guidance
- 3) Have you done work on Caltrans projects before?
- 4) Are you in favor of this practice; how has it benefitted you?

To solicit a broader response, the group also asked that the survey be sent to all DBE firms in the database rather than just those who regularly work on Caltrans projects. Ramon and Angela will edit the survey and work with OBE0 to have it sent out.

The next full committee meeting is September 25, 2013 at 1:30 pm. Mark will report on the progress of the action items.

Ciao For Now  
MG

Begin forwarded message:

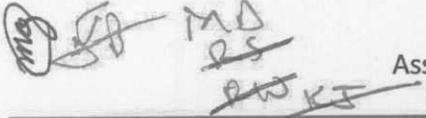
**From:** "Shell, Angela M@DOT" <[angela.shell@dot.ca.gov](mailto:angela.shell@dot.ca.gov)>  
**Date:** September 24, 2013, 2:28:30 PM PDT  
**To:** "Leja, Mark P@DOT" <[mark.leja@dot.ca.gov](mailto:mark.leja@dot.ca.gov)>, "Carlos Jr, Ramon@DOT" <[ramon.carlos@dot.ca.gov](mailto:ramon.carlos@dot.ca.gov)>, "McMillan, John C@DOT" <[john.mcmillan@dot.ca.gov](mailto:john.mcmillan@dot.ca.gov)>, "nittaec@gmail.com" <[nittaec@gmail.com](mailto:nittaec@gmail.com)>, "mikeg@ghilottibros.com" <[mikeg@ghilottibros.com](mailto:mikeg@ghilottibros.com)>, "debbieh@alertolite.com" <[debbieh@alertolite.com](mailto:debbieh@alertolite.com)>, "DebbieN@alertolite.com" <[DebbieN@alertolite.com](mailto:DebbieN@alertolite.com)>, "mserrano@cetechnologies.org" <[mserrano@cetechnologies.org](mailto:mserrano@cetechnologies.org)>, "JTurner@flatironcorp.com" <[JTurner@flatironcorp.com](mailto:JTurner@flatironcorp.com)>  
**Subject:** **DBE Participation Committee - Task Group B Conference Call Summary**

Hello – Attached is the summary of the August 30, 2013 conference call. I apologize for the delay in getting these out to everyone. The full DBE Participation Committee meeting is tomorrow at 1:30 pm at the AGC building in West Sacramento. We will summarize the status of the remaining action items at tomorrow's meeting. Please let me know if you have any questions. Thank you.

Angela Shell  
Office Chief, Construction Support  
Labor Compliance Program Manager  
Department of Transportation  
(916) 654-3501

Click [here](#) to report this email as spam.

This message has been scanned for malware by Websense. [www.websense.com](http://www.websense.com)


  
 MLD
   
 RS
   
 RW
   
 KI

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**  
 Associated General Contractors (AGC), 3095 Beacon Blvd. West Sacramento, CA 95691  
 March 5, 2013, 12:00 p.m. – 3:00 p.m.

Action/Task	Who is responsible/ presented	By when	Status
<b>A. Welcome and Introductions – René Halverson, Assistant Director, OBEO and Co-Chair</b> 1. Agenda review 2. Thank you to Sam Hassoun for hosting the meeting and providing a delicious lunch.			
<b>B. Recap of Last Meeting - René Halverson</b> 1. OCIP is being addressed by a pilot program – <b>Completed</b> ; we are removing this from the task list. 2. The 3 task groups discussed their progress and recommendations A. Protests and Complaints (John McMillan) – <b>Completed</b> ; this group will sunset. B. Utilization (Mark Leja) - <b>In progress</b> ; still has quite a bit of work to do. C. DBE Certification (Janice Salais) - <b>In progress</b> ; they will continue with their recommendations.			
<b>C. Task Group B: Utilization - Mark Leja &amp; Angela Shell</b>			
<b>Group Recommendations [See handout, “Task Group B – Utilization and Reporting Summary of Action Items”]</b> 1. Attend outreach events where DBE firms will be- <b>In Progress</b> . a. Provides an opportunity to educate and talk to DBE firms as well as inform them about our monitoring process b. Ramon Carlos gave three handouts [Two titled “Caltrans SB, DVBE, & DBE Outreach Activities 2012-2013 Cumulative PDR” and “Caltrans Annual Overall Outreach Events January 1, 2012 – December 31,2012”] showing various outreach events Caltrans has attended. c. Construction will determine which events are appropriate for Caltrans to attend and provide information, specifically for DBEs 2. Acknowledge prime contractors who use DBE firms - <b>In Progress</b> . a. The “Utilization Reports for FFY 2011-2012” [1. DBE Utilization FFY 2011-2012 Prime Awards \$0.00-\$1 Million, 2. DBE Utilization FFY 2011-2012 Prime Awards \$1 Million-\$10 Million and 3. DBE Utilization FFY 2011-2012 Prime Awards \$10 Million and Above] and “DBE Commitment at Award FFY 12-13” handouts show the top performing prime contractors with respect to meeting DBE goals for the last federal fiscal year. b. Ramon Carlos pointed out the prime contractor only receives DBE credit when a 2402 form is received. Caltrans does spot checking by confirming with payroll that the DBE was on site and only counting the DBEs who were used on a contract. c. Sam Hassoun stated the primes continue using the same DBEs who they know will help them get the lowest bid. d. Mark Leja suggested we keep gathering the data as a performance record and then determine if we want to provide recognition. 3. Notifying DBE firms of award of contract where DBE is listed - <b>In Progress</b> . a. Suggested sending an email to the DBE to let them know they were listed on a contract, so the DBE can reach out to the prime rather than wait for the prime to contact them. b. Still working on details. Construction is working with Office Engineer and OBEO on implementing the email notice.			

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**  
 Associated General Contractors (AGC), 3095 Beacon Blvd. West Sacramento, CA 95691  
 March 5, 2013, 12:00 p.m. – 3:00 p.m.

Action/Task	Who is responsible/ presented	By when	Status
<p>4. More frequent reporting of DBE participation - <b>In Progress.</b></p> <ul style="list-style-type: none"> <li>a. Suggested a pilot quarterly reporting requirement on 10 construction projects to capture committed DBE participation. See handout, "Disadvantaged Business Enterprise Participation Quarterly Report".</li> <li>b. This form would show real time. Currently this information is provided at the end of a project.</li> <li>c. Concerns relating to incentives as well as performance failure were also brought up and discussed.</li> </ul>			
<p><b>D. Task Group C: DBE Certification - Janice Salais</b></p>			
<p><b>Group Recommendations</b></p> <ol style="list-style-type: none"> <li>1. DBE Certification brochure – <b>Completed</b>; on OBEO website; shows the benefits of applying and who to apply with.</li> <li>2. Sample DBE application – <b>Completed</b>; on OBEO website; gives examples of documents needed and how a completed application looks</li> <li>3. DBE Certification PowerPoint and interactive training video – <b>Completed</b>; updated PowerPoint and is being used in workshops. Will be replaced by training video</li> <li>4. Small Business Program’s teleconference with District Small Business Liaisons – <b>Completed</b>; updates on Certification activities/issues are given at meetings every other month.</li> <li>5. Outreach plan for the coming year - <b>In progress</b>; travel and resources are limited.</li> <li>6. Chronological fact sheet on changes to 49 CFR Part 26 - <b>In progress</b>; OBEO’s Small Business Program Unit is working on this with a completion date of June 2013.</li> <li>7. Reorganize the DBE Certification Information on the OBEO website - <b>In progress</b>; information will be listed in bullet form.</li> <li>8. Create peer-to-peer communication - <b>In progress</b>; training will coincide with this.</li> <li>9. DBE Certification process flow chart - <b>In progress</b>; OBEO has one but it needs to be updated.</li> <li>10. Identify ways to market the tools above - <b>In progress.</b></li> <li>11. Address outcomes of above activities - <b>In progress</b>; see if there are changes in behavior and this will be measured by January 2014</li> </ol>			
<p><b>Comments</b></p> <ol style="list-style-type: none"> <li>1. Handout “Caltrans DBE Participation Committee March 5, 2013” was provided showing Group C’s updates. The certification process is regulatory, so they focused on educating.</li> <li>2. Handout “DBEs that have received contracts with Construction between Oct 1 2012-Dec 31 2012” was given showing DBEs who have received contracts with Construction between 10/1/12 &amp; 12/31/12. Mark Leja suggested looking at all the available firms and then looking at who has applied. The handout is a good start on keeping track of who has worked with us; however it only shows firms who are receiving the work and not everyone who is bidding on the project. Only the 1<sup>st</sup> three bidders are shown on projects but it doesn’t show the ones showing Good Faith effort. Mike Ghilotti suggested contacting firms to find out why they are not bidding.</li> <li>3. OBEO has a list of 1700 firms who are eligible for DBE certification and show an interest in working on Caltrans’ projects. OBEO is working on</li> </ol>			

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**  
 Associated General Contractors (AGC), 3095 Beacon Blvd. West Sacramento, CA 95691  
 March 5, 2013, 12:00 p.m. – 3:00 p.m.

Action/Task	Who is responsible/ presented	By when	Status
contacting these firms and sending invites out to certification workshops. Mark Serrano pointed out that the certification process isn't hard, but it's hard for a DBE firm to get started based on the economy and to be competitive.			
<b>E. Review and Prioritize the Remaining Issues From our September 2012 Kick-off Meeting [See handout, "Issues Identified at the September 6, 2012 kick-off meeting"]</b>			
<ul style="list-style-type: none"> <li>• The issue of joint checks was added to the list of issues as Issue #15.</li> <li>• #2, 6, 7, and 13 were combined as they all fall under pre-construction issues.</li> <li>• #1, 8 and 15 are post construction issues.</li> <li>• #11 is tabled until a later date.</li> </ul>			
<b>Task Group B: Issue #1, 8, and 15</b> #1: How can we decrease the need for preliminary notices and/or stop notices? <ul style="list-style-type: none"> <li>• No bonding around policy</li> <li>• Subcontractors do not know progress pay amounts or get pay estimates</li> <li>• Caltrans does not know when prime contractors withhold money</li> </ul> #8: How do we improve enforcement? <ul style="list-style-type: none"> <li>• How do we protect subcontractors when the prime contractor is not performing?</li> <li>• How do we protect prime contractors when the subcontractor is not performing?</li> <li>• How do we resolve disputes between sub and prime contractors during construction?</li> </ul> #15: Joint Checks	Mark Leja (lead), Laura Bills, Ramon Carlos, Mike Duman, Rita Ferguson, Mike Ghilotti, Debbie Hunsaker, John McMillan, Mark Serrano, Angela Shell, & Jeff Turner	June 4, 2013	Assigned – will provide update at next meeting
<b>Task Group C: Issue #2, 6, 7, and 13</b> #2. How can we make OBEO data (CUCP) database more accurate and relevant? #6. How can we make outreach more effective? #7. How do we align expectations, knowledge, and communication among all parties?	Janice Salais (lead), Greg Dineen, Rob Effinger, Sam Hassoun, Bernard Johnson, Rebecca Llewellyn,	June 4, 2013	Assigned – will provide update at next meeting

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**  
 Associated General Contractors (AGC), 3095 Beacon Blvd. West Sacramento, CA 95691  
 March 5, 2013, 12:00 p.m. – 3:00 p.m.

Action/Task	Who is responsible/ presented	By when	Status
#13. How can we increase capacity and interaction in the SB/DBE community?	Leonard Ortiz, Steve Rhoades, Earl Seaberg		
<b>F. Wrap-Up and Next Steps</b>			
At our next meeting, Task Groups B and C will share their recommendations. <b>Next meeting:</b> Tuesday, June 4, 2013 at AGC, West Sacramento from 12:30 p.m. – 2:30 p.m. (with a working lunch)			

**Handouts:**

- DBE Committee Meeting Minutes from December 4, 2012
- DBEs that have received contracts with Construction between Oct 1 2012 – Dec 31 2012 spreadsheet
- DBE Participation Quarterly Report (Task Group B Pilot Project)
- List of Issues Identified at the September 6, 2012 kick-off meeting
- Task Group B Summary of Action Items
- Task Group C Update Sheet
- Utilization Reports for FFY 2011-2012 [DBE Utilization FFY 2011-2012 Prime Awards \$0.00-\$1 Million, DBE Utilization FFY 2011-2012 Prime Awards \$1 Million-\$10 Million and DBE Utilization FFY 2011-2012 Prime Awards \$10 Million and Above] and DBE Commitment at Award FFY 12-13 (Task Group B)

**Disadvantaged Business Enterprise Participation Committee – Meeting Record**  
Associated General Contractors (AGC), 3095 Beacon Blvd. West Sacramento, CA 95691  
March 5, 2013, 12:00 p.m. – 3:00 p.m.

**PRESENT**

**Committee Members:**

1. Halverson, René, Assistant Director, OBEO & Co-Chair
2. Pieplow, Bob, Division Chief, Engineering Services (acting for Karla Sutliff, Co-Chair)
3. Carlos, Ramon, OBEO
4. Duman, Mike
5. Effinger, Robert, Project Delivery
6. Ghilotti, Mike
7. Hassoun, Sam
8. Hunsaker, Debbie
9. Leja, Mark, Construction
10. McMillan, John, Office Engineer
11. Rhoades, Steve
12. Salais, Janice, OBEO
13. Seaberg, Earl, Office Engineer
14. Shell, Angela, Construction
15. Serrano, Mark

**Support Staff:**

1. Ching, Carole, OBEO
2. Fong, Kelli, OBEO

*GBI/RMH SMR15054*

Home → Contract Awards and Services → Opt-in Records

# Opt-in Records

## Search Results: Opt-in's on 04-235624

47 Opt-in records found.

04-235624	Golden State Bridge, Inc.
	2990 Bay Vista Court, Suite D Benicia, CA 94510
	Phone: 925-372-8000 Fax Number: 925-372-8001
	Disadvantaged status: <i>Not DBE</i>
	Services offered:
04-235624	Statewide Traffic Safety & Signs, Inc.
	130 Grobric Ct. Fairfield, CA, 94534
	Phone: 707-864-9952 Fax Number: 707-864-9956
	Disadvantaged status: <i>Not ABE</i>
	Services offered: Statewide Traffic Safety and Signs designs, manufactures, sells, rents and installs active and passive traffic control systems. We have the capabilities to remove, reset, relocate, furnish and install these systems in all temporary or permanent applications. Our scope includes Construction Area Signs, Traffic Control Flagging, Flashing Arrow Signs, Changeable Message Signs, Extinguishable Message Signs, Rapid Flash Beacons (RRFB), Flashing LED Signs, Crosswalk Systems, Pedestrian Safety Systems, Guardrail Material, Midwest Guardrail System (MGS), Flared Terminal Systems, In-Line Terminal Systems, Alternative Temporary Crash Cushion Systems, Alternative Permanent Crash Cushion Systems, Armor Guard Barrier, Armor Guard Gate, Roadside Signs/Posts/Structures, Overhead Signs/Structures, Traffic Cones, Barricades, Channelizers, Delineators, Object Markers, and Pavement Markers. Locations Servicing California and Nevada: Arcata, Redding, Fairfield, San Jose, Sacramento, Fresno, Bakersfield, Nipomo, Garden Grove, Poway, and Las Vegas.
04-235624	Nancy Davis / Brennen Davis
	27801 Hale Court Tehachapi, CA, 93561
	Phone: 6618215402 Fax Number:
	Disadvantaged status: <i>SBE / DBE 14391</i>
	Services offered: We are a certified small business / road preservation specialists / licensed contractors who can act as a sub contractor for the potential awarding contractor in order to meet the small business requirement. Our services include fog seal, slurry seal, micro surfacing, chip seal, tack coat, HMA, and Crafcro road preservation <i>* Broker Only per Brennen Davis</i>

*03/16/15  
Nancy Davis*

	materials (distributors). Call or e-mail brennen.envconcepts@bak.rr.com
04-235624	<p>Cook Concrete Products, Inc.</p> <p>5461 Eastside Road Redding, CA 96001</p> <p>Phone: 530-243-2562 Fax Number: 530-243-6881</p> <p>Disadvantaged status: SBE <i>Not DBE</i></p> <p>Services offered: Minor Concrete (Minor Structures) PRECAST and Misc. Iron and Steel.</p>
04-235624	<p>Wells Sweeping <i>left message for Fassilly 916-439-3785</i></p> <p>5425 Marmith Avenue Sacramento, CA 95841</p> <p>Phone: 9165680104 Fax Number: 9166463760</p> <p>Disadvantaged status: DVBE SBE</p> <p>Services offered: Wells Sweeping is a DVBE &amp; SBE Certified Street Sweeping Company. We have over 30 years of experience in the construction sweeping industry and have worked with some of the largest construction companies on the largest projects in Northern California. www.wellssweeping.com</p>
04-235624	<p>JAM Services Inc</p> <p>E Airway Blvd Livermore, CA 94550</p> <p>Phone: 925-455-5267 Fax Number: 925-455-5348</p> <p>Disadvantaged status: <i>Not DBE</i></p> <p>Services offered:</p>
04-235624	<p>Imperial Sprinkler Supply</p> <p>1485 North Manassero Street Anaheim, Ca 92807</p> <p>Phone: 7605590078 Fax Number: 7147922921</p> <p>Disadvantaged status: <i>Not DBE</i></p> <p>Services offered: Services offered: Imperial is a large family owned distributor of Caltrans Approved Erosion Control, Construction &amp; Stabilization Fabrics, Landscape, Irrigation, Controllers, Large Diameter Pipe and more. Imperial has 16 fully stocked branches with the largest delivery fleet in California to serve your company. Imperial also offers correct product specification &amp; identification, installation education, submittal packages &amp; certificates of compliance to complete your RFI, RFQ, and RFP. Please contact Kent Garner at (760) 559-0078 or KentG@ImperialSprinkler.com for Erosion Control, Sediment Control and Construction Fabrics. For Landscape, Irrigation &amp; Pipe, please contact our Customer Service and Quote Department Main (714)792-2920 Fax (714)982-5095 QuoteDepartment@ImperialSprinkler.com CustomerService@ImperialSprinkler.com</p>

*916-439-3785*  
*03/10*

04-235624	M & B ENTERPRISES
	6676 Harrington Avenue. ARBUCKLE, CA 95952
	Phone: 530-682-8032 Fax Number: 530-473-2249
	Disadvantaged status: SBE Services offered: K-RAILS
<p style="text-align: center;"><i>DBE w/ KSH 12,000 Broker Only - <del>XXXXXXXXXX</del> LF</i></p>	
04-235624	Columbia Electric, Inc.
	1980 Davis Street San Leandro, CA 94577
	Phone: 510-430-9505 Fax Number: 510-430-1860
	Disadvantaged status: SBE Services offered: ELECTRICAL
04-235624	Austin Enterprise
	P O Box 81926, Bakersfield California 93380
	Phone: 661-589-1001 Fax Number: 661-589-9509
	Disadvantaged status: DBE SBE Services offered: Bridge Joints
04-235624	Mike Brown Electric Co.
	561-A Mercantile Drive Cotati, CA 94931
	Phone: 707-792-8100 Fax Number: 707-792-8110
	Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	A. C. Dike Co.
	2788 Venture Dr Lincoln Ca 95648
	Phone: (916) 645-7747 Fax Number: (916) 645-9618
	Disadvantaged status: DBE Services offered: Place asphalt dike.
04-235624	CMC REBAR
	120 W. Larch Road Tracy, CA 95304
	Phone: 209-834-9330 Fax Number: 209-836-1457
	Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Midstate Barrier, Inc.
	3291 S. Hwy 99 Stockton, CA 95215
	Phone: 209-944-9565 Fax Number: 209-944-9569
	Disadvantaged status: <i>Not DBE</i>

03/10  
Boomer  
available

03/10  
Confirmed  
our FAX

	Services offered:
04-235624	O'Grady Paving Inc. 2513 Wyandotte St. Mountain View, CA 94043 Phone: 650 966-1926 Fax Number: 650 966-1946 Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Flatiron Electric Group 7911-A Pine Ave. Chino, Ca. 91708 Phone: (714) 228-9631 Fax Number: (909) 597-9151 Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Central California EHS, LLC P.O. Box 9744 Fresno, CA, 93794 Phone: 559-623-2418 Fax Number: 510-350-9031 Disadvantaged status: SBE Services offered: Central California EHS, LLC is a full-service industrial hygiene and safety-consulting firm owned and operated by a Certified Industrial Hygienist (CIH). We can perform a wide range of industrial hygiene sampling and analysis of various occupational stressors. We can also help with lead compliance plans. We can be reached at the following: Phone: 559.623.2418 FAX: 510.350.9031 e-mail: michael@cencalehs.com Website: cencalehs.com
04-235624	Linear Options, Inc. <i>Tanya - left message for estimator</i> 792 Durham Dayton Highway Durham, CA 95938 Phone: 530.896.1800 Fax Number: 530.896.1814 Disadvantaged status: SBE / <i>DBE # N/A</i> Services offered:
04-235624	Foundation Constructors 81 Big Break Road Oakley, CA 94561 Phone: 925-754-6633 Fax Number: 925-625-5783 Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Pipe & Piling Supplies (USA) 3506 A Street SE Auburn, WA 98002 Phone: (877) 217-0276 Fax Number: (425) 650-6842 Disadvantaged status: <i>Not DBE</i>

*03/10  
left  
message*

*03/18  
Mike confirmed  
not-bidding*

*estimator*

*03/10  
03/18 NON-DBE*

	Services offered: Steel Piling
04-235624	Drill Tech Drilling & Shoring, Inc, 2200 Wymore Way Antioch, CA 94509 Phone: 925-978-2060 Fax Number: 925-978-2063 Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Alamillo Rebar, Inc. 325 West Channel Road Benicia, CA 94510 Phone: 707-297-8930 Fax Number: 707-554-3458 Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Stroer & Graff, Inc. 1830 Phillips Lane Antioch, CA 94509 Phone: (925) 778-0200 Fax Number: (925) 778-6766 Disadvantaged status: <i>Not DBE</i> Services offered: Pile Driving
04-235624	HSI Engineering Inc. 3987 First st suite E Livermore, Ca. 94551 Phone: 925-988-9200 Fax Number: 925-960-1356 Disadvantaged status: SBE <i>Not DBE</i> Services offered: Soil stabilization engineering, construction materials testing laboratory. CalTrans certified technicians in soil, lime/cement, concrete, asphalt and data coring. Storm water prevention plan, rain event action plan, storm water sampling and storm water annual report. Water pollution control plan. Value engineering. CRIP cost reduction incentive proposal.
04-235624	Chrisp Company 43650 Osgood Road Fremont, Ca. 94539 Phone: 510.656.2840 Fax Number: 510.656.2397 Disadvantaged status: <i>Not DBE</i> Services offered:
04-235624	Western Traffic Supply, Inc. 3942 Valley Ave., Unit M Pleasanton, CA 94566 Phone: (925) 249-1854 Fax Number: (925) 249-1863 Disadvantaged status: DBE SBE

*left 1/2  
3/14*

Disadvantaged status: *Not DBE*  
 Services offered: Flatiron intends to bid as a Prime on this project. We are excepting quotes from all subs, suppliers and trucking firms that are DBE and Non-DBE to assist us in preparing a competitive bid.

04-235624 Alluvion Biological Consulting  
 2784 Homestead Road Santa Clara  
 Phone: 9259897900 Fax Number: *510.373.6606*  
 Disadvantaged status: DBE SBE  
 Services offered: Water Quality Sampling and Analysis Day, Water Quality Monitoring Report: Species Protection: Alluvion Biological Consulting (Alluvion) is a Caltrans-certified ethnic minority business enterprise (MBE) based in Alameda, California that is skilled in performing biological monitoring and assessment, mitigation, hydrology/water quality monitoring and reporting, construction monitoring, special status species surveys, and preparing environmental impact reports, technical studies, and permit applications. *jeneno.lockhart@alluvionbc.com*

*Jeneno*

04-235624 Blankinship & Associates, Inc.  
 1590 Drew Ave, Ste 120 Davis, CA 95618  
 Phone: 530 757 0941 Fax Number: 530 757 0940  
 Disadvantaged status: SBE *NON-DBE*  
 Services offered: Water Pollution Control Programs, Spill Prevention Control and Countermeasure (SPCC) Plans, Stormwater Pollution Prevention Plans (SWPPPs), Erosion Control Plans, Water Quality Sampling and Analysis, Water quality monitoring Reports, Vegetation Mgt, biological monitoring, CDFW, RWQCB and local environmental agency compliance.

*Alexa*

04-235624 Blankinship & Associates, Inc. *Repeat*  
 1590 Drew Ave, Ste 120 Davis, CA 95618  
 Phone: 530 757 0941 Fax Number: 530 757 0940  
 Disadvantaged status: SBE  
 Services offered: Rain Event Action Plans (REAPs), Water Pollution Control Programs, Spill Prevention Control and Countermeasure (SPCC) Plans, Stormwater Pollution Prevention Plans (SWPPPs), Erosion Control Plans, Natural Resources Protection Plans, Water Quality Sampling and Analysis, Water Quality Monitoring Reports, Invasive Species & Vegetation Mgt, Biological Monitoring, CDFW, RWQCB and local environmental agency compliance.

04-235624 AKA Environmental  
 6650 Montresor Place, Alta Loma, CA 91737  
 Phone: 323-547-9605 Fax Number: 323-589-2430  
 Disadvantaged status: SBE

*left 24m - msg 3/14*

Services offered: We are the SWPPP Specialists in business since 2005, an SBE Company. We proudly serve the Golden State from San Diego County to Del Norte County and everywhere in between. We Specialize in Storm Water Pollution Prevention Plans, SWPPP, Water Pollution Control Plan, WPCP, WQMP, SUSMP, Dust Control Plans with PM-10, Erosion Control Plan, ESCP, Erosion Sediment Control Plan, Lead Compliance plan. QSP/QSD training, BMP Training, please call for prices.

*Prev page -*

04-235624 k-rail.com dba KSH Trading, LLC  
 6607 Deerfield Dr. Vallejo  
 Phone: 4159393961 Fax Number: 8883502609  
 Disadvantaged status: DBE  
 Services offered: Buying and selling used k-rail type K

*03/10  
Not Applicable*

04-235624 Delta Environmental Laboratories, LLC  
 685 Stone Road #11 & 12 Benicia, CA 94510  
 Phone: (707)747-6081 Fax Number: (707)747-6082  
 Disadvantaged status: SBE *NON-DBE*  
 Services offered: Storm water sampling and analysis day. Storm water annual report. Water quality sampling and analysis day. Water quality monitoring report. More then 22 years of experience performing sampling and analysis of water, wastewater, soil and hazardous materials. Soil characterization for disposal purposes or reuse based on EPA screening level criteria.

04-235624 Maneri Traffic Control  
 47423 Rainbow Canyon Temecula, CA 92592  
 Phone: (951) 695-5104 Fax Number: (951) 695-5105  
 Disadvantaged status: DBE SBE  
 Services offered: MANERI TRAFFIC CONTROL offers construction area traffic control, pcms, attenuator trucks with and without driver, pilot car, flagging, crash cushions, ramp notification signs, construction area signs, delineators, channelizers, and much more. E-mail ottly\_mtc@yahoo.com if you have any questions. MANERI TRAFFIC CONTROL is certified DBE and SBE.

*OTTLY  
NOT BIDDING*

04-235624 C2 Aerial Photography *No work for photography*  
 12078 Abingdon Street Norwalk, CA 90650  
 Phone: (562) 706-1260 Fax Number: (562)202-5423  
 Disadvantaged status: DBE  
 Services offered: C2 AERIAL PHOTOGRAPHY is a commercial photographer and aerial photography DBE firm. We offer Pre Construction Aerial Photography for project planning or Monthly Progress Photo or over the project area and the project right of way. Aerial Photos can help the project team to track progress, document

	SWPPP BMPs, and use it as historical document with the time/date stamps. We can cover any project within the state of California.
04-235624	L.B. Foster Company 32970 Alvarado-Niles, Ste. 736 Union City, CA, 94587 Phone: (510) 240-4400 Fax Number: (510) 240-4410 Disadvantaged status: <i>NOT DBE</i> Services offered: Material supplier of Sheet Pile, H Beams, Wide Flange, and Pipe Pile for use as shoring, cofferdams, beam and lagging, and steel foundation.
04-235624	Sam's Equipment and Supplies P.O. BOX 7797 FRESNO, CA. 93747 <i>Broker?</i> Phone: 5592520354 Fax Number: 559251119 Disadvantaged status: DBE DVBE SBE Services offered: We are happy to supply materials and equipment for this or any other Project. Don't hesitate to contact Sam's Equipment & Supplies. www.samsequipmentandsupplies.com MBE/DBE/DVBE/UDBE/SBE Sam's Equipment & Supplies
04-235624	Linear Options, Inc. 792 Durham Dayton Hwy. Durham, CA. 95938 Phone: 530-896-1800 Fax Number: 530-896-1814 Disadvantaged status: SBE / <i>NON-DBE</i> Services offered:
04-235624	Pavement Management Solutions, Inc. 38340 Innovation Ct. Ste E-506 Murrieta, CA 92563 Phone: (951) 600-1110 Fax Number: (951) 600-1112 Disadvantaged status: DBE SBE Services offered: INERTIAL PROFILING DBE SBE
04-235624	West Coast Under Bridge Platforms P.o Box 2837 Rohnert Park, Ca 94927 Phone: 866-984-9526 Fax Number: 707-521-9045 Disadvantaged status: SBE Services offered: We rent truck and trailer mounted under bridge access platforms bare or operated. We also can provide negative reach or below grade man lifts See us on the web at www.westcoastunderbridgeplatform.com E-mail: platform@sonic.net
04-235624	CONSTRUCTION SCIENCE 5701 Lonetree Boulevard, Suite 319 Rocklin, CA 95765

*03/16  
Confirmed  
Bidding*

*left msg  
w/ Ken*

*N/A  
In-House Svcs*

*prev page -*

Phone: (916) 779-4145	Fax Number:
Disadvantaged status: SBE	
Services offered: [1] Primavera P6 software sales and training [2] CPM baseline schedule development, [3] schedule updates, and [4] time extension requests in accordance with the Special Provisions. We have 31 years of scheduling experience and a successful track record negotiating additional compensation with Caltrans. Oracle Gold Partner. Learn more at <a href="http://www.constructionscience.com">www.constructionscience.com</a> or <a href="http://www.primaverascheduling.com">www.primaverascheduling.com</a>	

04-235624	Davey Resource Group	
	75 Apricot St Oak View, CA 93022	
	Phone: 805-451-3504	Fax Number:
	Disadvantaged status: <i>NOT DBE</i>	
	Services offered: Biological services: Contractor-supplied biologist, pre-construction surveys, biological monitoring, Natural Resource Protection Plans, Biological Resource Information Programs, Arborist Services, Native Plant Restoration.	

04-235624	ADH Environmental	
	P.O. Box 494457 Redding, Ca. 96049	
	Phone: 530-524-2633	Fax Number: <i>- NA -</i>
	Disadvantaged status: DBE SBE	
	Services offered: Prepare Stormwater Pollution Prevention Plan, Prepare Water Pollution Prevention Program, Rain Event Action Plan, Stormwater Annual Report, Water Quality Monitor, Water Quality Monitoring Report, Environmental Reports and Field Inspections.	

*dskinner@adhenvironmental.com*

	Services offered: Over 25 years of Traffic Control & Highway Improvement Experience: Specializing in Traffic Control Lane Closures, Flagging Operations, sales/rentals of portable changeable message signs / arrow boards/ light towers, crash cushions, attenuator trucks, cones, barricades, delineators, channelizers, sign shop (construction area, vinyl roll up), K-rail markers/chip seal markers/pavement markers/paint/temporary striping tape, safety apparel and rain gear. (CA Lic. #974188 C-31, C-32 Contractor - CERTIFIED DBE #40681 SBE #35779) visit us at <a href="http://www.westerntraffic.com">www.westerntraffic.com</a>
--	--

*See prev page*

04-235624	TENNYSON ELECTRIC, INC
	7275 NATIONAL DRIVE STE A2 LIVERMORE CA 94550
	Phone: 925 606-1038 Fax Number: 925 606-7655
	Disadvantaged status: SBE
	Services offered:

*3/16  
ft msg.*

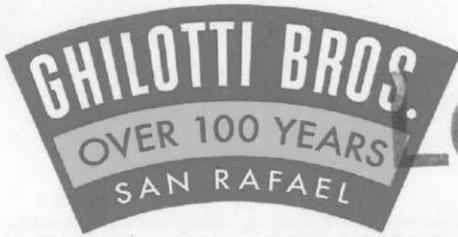
04-235624	CMC Traffic Control Specialists
	3450 Third St. Unit 3 G San Francisco
	Phone: 415-206-1700 Fax Number: 415-206-1711
	Disadvantaged status: DBE SBE
	Services offered: Engineered Stamped Traffic Plans, Certified Flaggers for Traffic Control & Lane Closures, SALES/RENTALS- K-Rail, Custom Signage, Arrowboards, CMS Boards, Light Towers, Water Barriers, Crash Cushions, Channelizers, Cones, Barricades, Delineators, Pavement Markers, Chip Seal Markers, Painted Temp Striping

*TT Sue -  
Yes Bidding*

04-235624	TENNYSON ELECTRIC, INC <i>Repeat</i>
	7275 NATIONAL DRIVE STE A2 LIVERMORE CA 94550
	Phone: 925 606-1038 Fax Number: 925 606-7655
	Disadvantaged status: SBE
	Services offered:

04-235624	Acacia Environmental
	604 S. San Marcos Rd. Santa Barbara, California, 93111
	Phone: 8053640362 Fax Number: 8059646169
	Disadvantaged status: SBE <i>NON-DBE</i>
	Services offered: ITEMS 19, 74-75. TEMPORARY HYDRAULIC MULCH, HYDROMULCH, HYDROSEED.

04-235624	Flatiron West Inc
	2100 Goodyear Road Benicia, CA 94510
	Phone: 707-742-6000 Fax Number: 707-746-1603



# Low Bidder

Ghilotti Bros., Inc.

525 Jacoby Street • San Rafael, CA 94901

P 415.454.7011 • F 415.454.8376

State Contractors License #132128

03-24-15P03:20 RCVD

## Transmittal

**Project:** Contract No. **04-235624**

**To:** MSC 43 - Office Engineer

Caltrans - Dept of Transportation

1727 - 30th Street

Sacramento, CA

**GBI Bid No.:** SMR15054

**Attn.:** Mark Taniguchi

**Date:** March 24, 2015

Attached please find:

- ADDITIONAL GFE DOCUMENTATION - ITEM 8
- SUBCONTRACTOR OPT-IN CONTACT LOG (FROM CALTRANS WEBSITE)

Plans/Drawings

Specifications

Other - See Description Below

Copies	Date	Pages	Description of Attached
1		42	ADDITIONAL GFE DOCUMENTATION - ITEM 8
1		10	SUB OPT-IN PHONE CONTACT CALL LOG

The attached are transmitted as indicated below:

- For Your Approval
- For Your Review
- For Your Signature & Return
- For Your Records
- For Your Information
- Returned as Requested
- Returned, Signed as Requested

**Remarks:**

**PLEASE NOTE THAT THIS INFORMATION/DOCUMENTATION IS TO BE ADDED TO OUR DBE/GFE SUBMITTAL DELIVERED BY COURIER THIS MORNING AS CONFIRMED BY MARK TANIGUCHI.**

Respectfully,

Renée Powers, Bid Administrator



Ghilotti Bros., Inc.

525 JACOBY STREET • SAN RAFAEL, CA 94901

P 415.454.7011 • F 415.454.8376

STATE CONTRACTORS LICENSE # 132128

## Additional Good Faith Efforts

Ghilotti Bros., Inc. (GBI) has a long history of meeting or exceeding contract expectations in our projects for safety, schedule, budget and quality, but we regularly go beyond that when working towards the Departments overall goals and aspirations for utilization of DBE's by participating over and above a project specific requirement. While we routinely solicit vendors and subcontractors through our comprehensive and systematic outreach on a job by job basis, our companies 3<sup>rd</sup> generation Ownership, President Mike Ghilotti, serves on the Department's DBE Participation Committee, Task Group B, with Mark Leja and other Caltrans leadership and industry members to provide feedback and guidance to the Department regarding the construction marketplace and DBE participation. GBI regularly attends various Caltrans, county, city and agency outreach, networking, and pre-bid meetings to network with potential DBE's and explain the opportunities working with GBI can provide.

GBI has had the pleasure of working with Pendergast Consulting Group over the past five years on small and disadvantaged business participation programs for large and small construction projects, most notably the Doyle Drive reconstruction program in San Francisco. On that particular project, GBI teamed with Environova Consulting, a small local business headed by Basil Falcone, for Environmental Health and Safety Services. GBI fostered the relationship and has continued working with Environova well beyond San Francisco and the Doyle Drive program. Environova's mentored success with GBI's assistance now has them servicing large contractors including Hathaway Dinwiddie, Pankkow, and Swinerton.

Mr. Pendergast has shared with us the significant ways that GBI could work with numerous agencies such as Caltrans, the Golden Gate Business Administration, San Francisco Human Rights Commission, and San Francisco County Transportation Authority to name a few, introducing us to dozens of vendors that routinely go undocumented as outreach. We often "meet and greet" subcontractors by having them come into our office to see how we operate and meet our GFE administrator and the Estimators as well as Company leaders.

GBI intends to continue to be an industry and Department resource for DBE vendor implementation. In the spirit of our past success with emerging businesses, we've recently engaged and offer the following firms as additional examples of our outreach efforts.

- Anvil Builders
- Azul Works
- D-Line Constructors
- Empire Engineering and Construction
- The Their Group

03-24-15P03:20 RCVD

**YOU ARE INVITED  
TO THE BUSINESS OUTREACH COMMITTEE (BOC)  
MEET THE BUYERS  
PROCUREMENT FORUM**

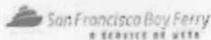
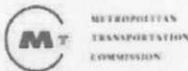
**Wednesday, March 25, 2015**

**1:30 p.m. to 3:30 p.m.**

**at the Metropolitan Transportation Commission (MTC),  
101 8<sup>th</sup> Street, Oakland, California**

**(Conveniently located next to the Lake Merritt BART Station, see attached directions)**

This event will provide the opportunity for Small, Local and Disadvantaged businesses to introduce their products and services and receive information from participating San Francisco Bay Area Transit and Transportation Agencies and the following Contractors:



**MCK Services, Inc.**

**Asphalt Paving/Resurfacing/Rehabilitation**

**Don't miss your opportunity to show your products to prospective buyers. Please complete and bring copies of the attached Business Profile Form to distribute to the buyers.**

RSVP is not required



[DBEGoodFaith.com](http://DBEGoodFaith.com)'s

## Monthly Newsletter

February 2015 || California Edition

Latest News & Information

### Caltrans DBE study finds disparity on FTA contracts

A recently released California Department of Transportation (Caltrans) report has found that substantial disparity exists between the expected and actual participation of some disadvantaged groups on contracts funded by the Federal Transportation Administration (FTA).

[Th...Continue reading](#)

### Hollister School District Public Notice

NOTICE INVITING INTERESTED CONTRACTORS FOR THE 2015 CALIFORNIA UNIFORM PUBLIC CONSTRUCTION COST ACCOUNTING (CUPCCA) ACT INFORMAL BID LIST FOR HOLLISTER SCHOOL DISTRICT

In accordance with the State of California Uniform Public Construction Cost Accounting (CUPCCA) Act, the District is

[i...Continue reading](#)

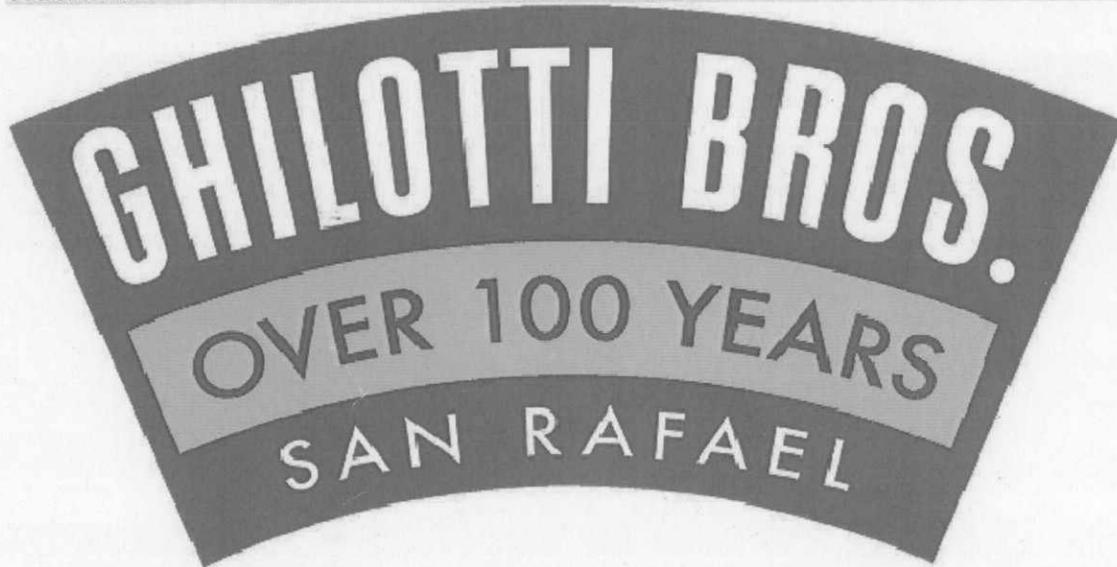
### Getting to know California's DBE program

Most businesses involved in bidding on public contracts in California will encounter disadvantaged business enterprise (DBE) participation goals and good faith effort requirements.

In California, the DBE program is administered by the California Department of Transp...[Continue reading](#)

[More news »](#)

[Outreach Ads](#)



Ghilotti Bros., Inc. is seeking qualified DBE for a contract in Rio Vista, CA.

[Learn more »](#)