CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

CONSTRUCTION ON STATE HIGHWAY IN
ALAMEDA COUNTY IN ASHLAND
FROM 0.1 MILE EAST OF 164TH AVENUE UNDERCROSSING TO 0.2 MILE WEST OF 164TH AVENUE UNDERCROSSING
In District 04 on Route 580

UNDER

Notice to Bidders and Special Provisions dated August 11, 2014
Project plans approved April 7, 2014
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 04-1SS044

04-Ala-580-R31.7/R31.9

Project ID 0400020870

FEDERAL-AID PROJECT
ACNHPI-580-1(063)E

Bids open Wednesday, September 17, 2014 Dated August 11, 2014

AADD

Name of Bidder Proven Management, Inc.
Business Street Address 712 Sansome st
(Include even if P.O. Box is used)
Business P.O. Box
City, State, Zip San Francisco, CA, 94111
Telephone No: AREA CODE 4154219500
Fax No: AREA CODE 4154219600
Contractor License No.749370
Checksum 6BAAF3E3

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

   For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

   For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

   For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non◆plant establishment work within the number of working days bid for non◆plant establishment work.

2. Bidder has and acknowledges the following addenda:2
   09-11-14 Revise Project Plans, Notice to Bidders and Special Provisions, Bid

3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond

4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

   4.1 Criminal prosecution
   4.2 Rejection of the bid
   4.3 Rescission of the award
   4.4 Termination of the Contract

PRINTED NAME:William Gilmartin III AND
TITLE OF PERSON SIGNING:Vice President

BY (Authorized signature) DATE SIGNED(Do not type)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-1SS044 Project ID: 0400020870
Bidder Name: Proven Management, Inc. Bidder ID: VC0000019805

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM	LS	LUMP SUM	2,000.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM	LS	LUMP SUM	6,500.00
3	120090	CONSTRUCTION AREA SIGNS	LUMP SUM	LS	LUMP SUM	15,000.00
4	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM	LS	LUMP SUM	6,500.00
5	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	9,300.0	LF	1.00	9,300.00
6	120165	CHANNELIZER (SURFACE MOUNTED)	35.0	EA	7.00	245.00
7	120300	TEMPORARY PAVEMENT MARKER	810.0	EA	4.00	3,240.00
8	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM	LS	LUMP SUM	8,000.00
9	129000	TEMPORARY RAILING (TYPE K)	1,780.0	LF	8.00	14,240.00
10	129100	TEMPORARY CRASH CUSHION MODULE	14.0	EA	500.00	7,000.00
11	027782	TEMPORARY ALTERNATIVE CRASH CUSHION	1.0	EA	11,000.00	11,000.00
12	129150	TEMPORARY TRAFFIC SCREEN	1,780.0	LF	7.00	12,460.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-1SS044 Project ID: 0400020870
Bidder Name:Proven Management, Inc. Bidder ID:VC0000019805

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
13	130100	JOB SITE MANAGEMENT	LUMP SUM	LS	LUMP SUM	18,000.00
14	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM	LS	LUMP SUM	2,500.00
15	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	1,500.0	SQYD	2.00	3,000.00
16	130620	TEMPORARY DRAINAGE INLET PROTECTION	14.0	EA	400.00	5,600.00
17	130640	TEMPORARY FIBER ROLL	2,000.0	LF	4.00	8,000.00
18	130680	TEMPORARY SILT FENCE	800.0	LF	4.00	3,200.00
19	130710	TEMPORARY CONSTRUCTION ENTRANCE	2.0	EA	2,500.00	5,000.00
20	130730	STREET SWEEPING	LUMP SUM	LS	LUMP SUM	6,500.00
21	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM	LS	LUMP SUM	1,500.00
22	BLANK		LUMP SUM	LS	LUMP SUM	0.00
23	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	1,830.0	LF	1.00	1,830.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
24	148005	NOISE MONITORING	LUMP SUM	LS	LUMP SUM	7,000.00
25	150685	REMOVE IRRIGATION FACILITY	LUMP SUM	LS	LUMP SUM	4,000.00
26	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	6,915.0	LF	1.00	6,915.00
27	150722	REMOVE PAVEMENT MARKER	472.0	EA	7.00	3,304.00
28	150809	REMOVE CULVERT (LF)	53.0	LF	40.00	2,120.00
29	150820	REMOVE INLET	2.0	EA	800.00	1,600.00
30	160102	CLEARING AND GRUBBING (LS)	LUMP SUM	LS	LUMP SUM	36,086.00
31	190101	ROADWAY EXCAVATION	2,000.0	CY	10.00	20,000.00
32(F)	192049	STRUCTURE EXCAVATION (SOLDIER PILE WALL)	115.0	CY	75.00	8,625.00
33(F)	193029	STRUCTURE BACKFILL (SOLDIER PILE WALL)	15.0	CY	250.00	3,750.00
34(F)	193116	CONCRETE BACKFILL (SOLDIER PILE WALL)	79.0	CY	300.00	23,700.00
35(F)	193119	LEAN CONCRETE BACKFILL	30.0	CY	235.00	7,050.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
36	194001	DITCH EXCAVATION	21.0	CY	150.00	3,150.00
37	027783	IMPORTED BORROW (SHOULDER)	950.0	CY	60.00	57,000.00
38	198010	IMPORTED BORROW (CY)	160.0	CY	45.00	7,200.00
39	198209	SUBGRADE ENHANCEMENT GEOTEXTILE, CLASS B2	1,200.0	SQYD	20.00	24,000.00
40	200002	ROADSIDE CLEARING	LUMP SUM	LS	LUMP SUM	20,000.00
41	202006	SOIL AMENDMENT	2.0	CY	260.00	520.00
42	202028	RELOCATE IRRIGATION CONTROLLER	LUMP SUM	LS	LUMP SUM	2,500.00
43	202038	PACKET FERTILIZER	210.0	EA	2.50	525.00
44	204035	PLANT (GROUP A)	83.0	EA	20.00	1,660.00
45	204036	PLANT (GROUP B)	7.0	EA	70.00	490.00
46	204099	PLANT ESTABLISHMENT WORK	LUMP SUM	LS	LUMP SUM	15,000.00
47	205035	WOOD MULCH	220.0	CY	70.00	15,400.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
48	206400	CHECK AND TEST EXISTING IRRIGATION FACILITIES	LUMP SUM	LS	LUMP SUM	1,100.00
49	206402	OPERATE EXISTING IRRIGATION FACILITIES	LUMP SUM	LS	LUMP SUM	2,400.00
50	206560	CONTROL AND NEUTRAL CONDUCTORS	LUMP SUM	LS	LUMP SUM	4,500.00
51	206562	1" REMOTE CONTROL VALVE	1.0	EA	420.00	420.00
52	206563	1 1/4" REMOTE CONTROL VALVE	1.0	EA	450.00	450.00
53	206565	2" REMOTE CONTROL VALVE	1.0	EA	480.00	480.00
54	206634	2" WYE STRAINER ASSEMBLY	1.0	EA	700.00	700.00
55	206749	8 STATION IRRIGATION CONTROLLER (WALL MOUNTED)	1.0	EA	5,500.00	5,500.00
56	208301	IRRIGATION CONTROLLER ENCLOSURE CABINET	2.0	EA	5,000.00	10,000.00
57	208416	CERTIFY EXISTING BACKFLOW PREVENTERS	LUMP SUM	LS	LUMP SUM	130.00
58	208442	FLOW SENSOR	1.0	EA	780.00	780.00
59	208446	RISER SPRINKLER ASSEMBLY (GEAR DRIVEN)	2.0	EA	80.00	160.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
60	208448	RISER SPRINKLER ASSEMBLY	97.0	EA	25.00	2,425.00
61(F)	208594	3/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	961.0	LF	10.00	9,610.00
62(F)	208595	1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	661.0	LF	8.00	5,288.00
63(F)	208597	1 1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	169.0	LF	10.00	1,690.00
64(F)	208598	2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	100.0	LF	20.00	2,000.00
65	208683	BALL VALVE	2.0	EA	700.00	1,400.00
66	208819	8" WELDED STEEL PIPE CONDUIT	36.0	LF	550.00	19,800.00
67	210350	FIBER ROLLS	1,160.0	LF	6.00	6,960.00
68	260203	CLASS 2 AGGREGATE BASE (CY)	610.0	CY	85.00	51,850.00
69	390132	HOT MIX ASPHALT (TYPE A)	750.0	TON	150.00	112,500.00
70	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	200.0	LF	10.00	2,000.00
71	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	710.0	LF	10.00	7,100.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
72	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	25.0	LF	11.00	275.00
73	410096	DRILL AND BOND (DOWEL BAR)	117.0	EA	50.00	5,850.00
74	411105	INDIVIDUAL SLAB REPLACEMENT (RSC)	80.0	CY	300.00	24,000.00
75	044705	STEEL SOLDIER PILE (W 14 X 211)	592.0	LF	250.00	148,000.00
76	490403	30" DRILLED HOLE	597.0	LF	40.00	23,880.00
77(F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	26.0	CY	1,300.00	33,800.00
78(F)	510072	STRUCTURAL CONCRETE, BARRIER SLAB	54.0	CY	820.00	44,280.00
79(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	4.0	CY	1,160.00	4,640.00
80(F)	044706	FLUTED RIB SINE WAVE TEXTURE	350.0	SQFT	40.00	14,000.00
81(F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	5,320.0	LB	2.00	10,640.00
82(F)	575004	TIMBER LAGGING	6.0	MFBM	4,500.00	27,000.00
83(F)	590120	CLEAN AND PAINT STEEL SOLDIER PILING	LUMP SUM	LS	LUMP SUM	24,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
84	650014	18" REINFORCED CONCRETE PIPE	4.0	LF	200.00	800.00
85	665011	12" CORRUGATED STEEL PIPE (.064" THICK)	49.0	LF	65.00	3,185.00
86	681501	FURNISH AND INSTALL DRAIN PIPE (HORIZONTAL DRAIN)	160.0	LF	35.00	5,600.00
87	681502	DRILL HOLE (HORIZONTAL DRAIN)	160.0	LF	32.00	5,120.00
88	721019	ROCK SLOPE PROTECTION (NO. 3, METHOD B) (CY)	12.0	CY	170.00	2,040.00
89	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	40.0	SQYD	55.00	2,200.00
90(F)	750001	MISCELLANEOUS IRON AND STEEL	652.0	LB	2.00	1,304.00
91	820107	DELINEATOR (CLASS 1)	10.0	EA	65.00	650.00
92	820118	GUARD RAILING DELINEATOR	7.0	EA	25.00	175.00
93	820135	OBJECT MARKER (TYPE R)	1.0	EA	100.00	100.00
94	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	125.0	LF	45.00	5,625.00
95	832070	VEGETATION CONTROL (MINOR CONCRETE)	140.0	SQYD	65.00	9,100.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity	Unit of Measure	Unit Price	Item Total
96(F)	833032	CHAIN LINK RAILING (TYPE 7)	100.0	LF	100.00	10,000.00
97	839543	TRANSITION RAILING (TYPE WB-31)	1.0	EA	5,000.00	5,000.00
98	839581	END ANCHOR ASSEMBLY (TYPE SFT)	1.0	EA	680.00	680.00
99	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	1.0	EA	4,000.00	4,000.00
100(F)	839720	CONCRETE BARRIER (TYPE 732)	100.0	LF	110.00	11,000.00
101	840504	4" THERMOPLASTIC TRAFFIC STRIPE	7,300.0	LF	3.00	21,900.00
102	840506	8" THERMOPLASTIC TRAFFIC STRIPE	550.0	LF	5.00	2,750.00
103	840508	8" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 12-3)	1,500.0	LF	2.00	3,000.00
104	850101	PAVEMENT MARKER (NON-REFLECTIVE)	340.0	EA	4.00	1,360.00
105	850111	PAVEMENT MARKER (RETROREFLECTIVE)	180.0	EA	5.00	900.00
106	860797	ELECTRIC SERVICE (IRRIGATION)	LUMP SUM	LS	LUMP SUM	10,000.00
107	BLANK		LUMP SUM	LS	LUMP SUM	0.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-1SS044 Project ID: 0400020870
Bidder Name:Proven Management, Inc. Bidder ID:VC0000019805

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
108	130670	TEMPORARY REINFORCED SILT FENCE	210.0 LF	7.00	1,470.00
109	99990	MOBILIZATION	LUMP SUM LS	LUMP SUM	127,000.00
		Total Bid			$1,586,777.00

TOTAL BID

TOTAL BID FOR BID ITEMS:	$1,266,777.00
TOTAL BID FOR TIME: 100 X $3,200.00 =	$320,000.00
WORKING DAYS BID (Not to exceed 140 Days)	
TOTAL BID FOR COMPARISON (COST PLUS TIME):	$1,586,777.00

Bidding Firm: Proven Management, Inc.

Under Public Contract Code ♦ 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars ($10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening the bid item numbers with percentages of the portion of work subcontracted.

a Not required on contracts with Federal-Aid.

List this sub? Yes
1) Business NameCamblin Steel
Location CityRocklin StateCAA
California Contractor License Number (a) 218839
Description of Portion of Work
Rebar
Bid Item Numbers
78
Percentage of Bid Item Subcontracted
20
Bid Item Numbers
81
Percentage of Bid Item Subcontracted
100
Bid Item Numbers
100
Percentage of Bid Item Subcontracted
25
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
2) Business NameA.C. Dike
Location CityLincoln StateCA
California Contractor License Number (a) 407417
Description of Portion of Work
A/C Dikes
Bid Item Numbers
70, 71 & 72
Percentage of Bid Item Subcontracted
33
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
3) Business NameNitta Erosion Control
Location CityLoomis StateCA
California Contractor License Number (a) 401640
Description of Portion of Work
Erosion Control
Bid Item Numbers
15 & 57
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
4) Business NameSchotka Construction
Location CityAmerican Canyon StateCA
California Contractor License Number (a) 723309
Description of Portion of Work
Signs and Traffic Control Devices
Bid Item Numbers
3,6,10 & 11
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
5) Business NameChrisp Company
Location CityFremont StateCA
California Contractor License Number (a) 374600
Description of Portion of Work
Striping/Pavement Markings
Bid Item Numbers
6,7,23-27 & 101-105
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
6) Business NameMike Brown Electric
Location CityCotati StateCA
California Contractor License Number (a) 306767
Description of Portion of Work
Electrical Work
Bid Item Numbers
106
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
7) Business NameBullseye Boring
Location CityCalimesa StateCA
California Contractor License Number (a) 926356
Description of Portion of Work
Boring & Horizontal Drains
Bid Item Numbers
86 & 87
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
8) Business NameJJ Nguyen
Location CitySan Jose StateCA
California Contractor License Number (a) 857202
Description of Portion of Work
Landscaping
Bid Item Numbers
41 through 66
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes
9) Business NameGL Engineering
Location CityElk Grove StateCA
California Contractor License Number (a) 897393
Description of Portion of Work
Clearing, Removals & Earthwork
Bid Item Numbers
28-31,36, 37, 38, 39, 40
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-1SS044 Project ID: 0400020870
Bidder Name:Proven Management, Inc. Bidder ID:VC0000019805
List this sub? Yes
10) Business NameFrank Medina
Location CityOrangevale StateCA
California Contractor License Number (a) 644373
Description of Portion of Work
Metal Beam Guard Railings
Bid Item Numbers
94, 97, 98& 99
Percentage of Bid Item Subcontracted
100
Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-1SS044 Project ID: 0400020870
Bidder Name:Proven Management, Inc. Bidder ID:VC0000019805
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 09/17/14 Bidder's Name: Proven Management, Inc.

Signature:_____

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $100,000 and these subcontractors shall certify and disclose.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes,e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a)Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
    (b)Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 04-1SS044 Project ID: 0400020870
Bidder Name: Proven Management, Inc. Bidder ID: VC0000019805
DISCLOSURE OF LOBBYING ACTIVITIES Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
No
1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
For Material Change Only:
Year
Quarter
Date of last report
4. Name and Address of Reporting Entity:

Tier ,if known
Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:
6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable
8. Federal Action Number, if known:
9. Award amount, if known ($):
10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature:_____

Print Name: William Gilmartin III
Title: Vice President
Telephone No.: 415-421-9500
DATE: 09/17/2014

Authorized for Local Reproduction
Federal Use Only: Standard Form - LLL(Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Proven Management, Inc., proposed subcontractor William Gilmartin III, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.
none

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.
none

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:
State of CA County of Alameda
Name William Gilmartin III,
being first duly sworn, deposes and says that he or she is
Vice President of
Proven Management, Inc.
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.
n/a

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.
n/a

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
   4.1. Date of each nonresponsibility determination
   4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
   4.3. Contract number for each nonresponsibility determination

n/a

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE 0102.3(REV 3/2008)
Contract No. 04-1SS044

Bond No. _____

We_____

_____

_____ as Principal, and

_____

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN
ALAMEDA COUNTY IN ASHLAND
FROM 0.1 MILE EAST OF 164TH AVENUE UNDERCROSSING TO 0.2 MILE WEST OF 164TH AVENUE UNDERCROSSING
In District 04 on Route 580
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 09-17-14.

NOW,THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated:_____, 20_____. _____

Principal_____

Surety_____

By_____

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

_____

_____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

---

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)

Bond Details for: Bid Bond

Status:	In Effect
Bond Form:	CA DOT eBond Bid Bond Only (Bid Bond)
Current Effective Date:	9/4/2014
Expiration Date:	12/3/2014
Subsidiary:	Proven Management, Inc.
Description:	Construction on State Highway in Alameda County in Ashland from 0.1 Mile East of 164th Avenue Undercrossing to 0.2 Mile West of 164th Avenue Undercrossing.
Contract Date:	
Type of Bond:	Contract
SAA Code:	Bid Bond(000)
Initial Effective Date:	9/4/2014

Principal

Name:	Proven Management, Inc.
Address:	2000 Fifth Street Berkeley, CA 94710
State of Incorporation:	CA

Obligee

Name:	State of California, Department of Transportation
Address:	
	CA
State of Obligation:	CA
Obligee Type:	all other

Agency Information

Agency:	Arthur J. Gallagher & Co.
Site:	Arthur J. Gallagher & Co.- SF (San Francisco, CA)
Attorney-in-fact:	Monica A Moody
State of Execution:	CA

Bond Form Custom Fields

Bid Location:	Sacramento, California
Contract ID No. Required for Electronic Bidding:	04-1SS044
Contractors ID No. Required for Electronic Bidding:	VC0000019805
Local Surety Address:	1340 Treat Blvd.
Local Surety City:	Walnut Creek
Local Surety State:	CA
Local Surety Zip:	94597

Other Information

Bid Date:	9/17/2014
Bid Bond Amount:	10%
SurePath ID (Long):	{AFF983FA-C8BA-4881-82C4-2AEA25BFBC09}
Bond Validation Number(BVN):	YJBF-L8NF-NFNG-4J8K E-Mail BVN

Issuing Carriers

Liberty Mutual Insurance Company

Bond Number:	Bid Bond
Premium Amount:	$0.00
State Surcharge:	$0.00
Municipal Tax:	$0.00

Comments