

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
CALTRANS BIDDER - DBE - COMMITMENT
 DES-OE-0102.10C (NEW 06/2012)

THIRD BIDDER

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in section 2 of the specifications. Failure to submit the required DBE information will be grounds for finding the bid nonresponsive.

CONTRACT NO: 03-3F4404

BID AMOUNT: \$ _____

BID OPENING DATE: 12-17-2013

BIDDER'S NAME: Aspen Developers Corp

DBE GOAL FROM CONTRACT, %: 10%

DBE PRIME CONTRACTOR CERTIFICATION¹: _____

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE ³
7-8-10-13-25 26-27-28 1-6	Excavation Control + Sloppin (Lead Compliance + topsoil)		Nitta Erosion Control 217 Loomis CA 916-652-7459 Appian, El Sobrante, CA 38334	\$54,906.80 \$2,650 \$2,900
2-3-4	Traffic Control		Buy Area Traffic, Fremont CA #37233	\$124,300
6	WPCP		L. F. Ferra - Nipomo CA #39322	\$650
5 partial	Soil Cutting		California Cut & Core Rancho Cordova, CA #48233	\$24,544.7

IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective items(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
3. See section 2-1.12 of the specifications to determine the credit allowed for DBE firms.

Total Claimed Participation
 \$ 216,399
12 %

[Signature]
 Signature of Bidder

12-17-2013 530-587-3310
 Date (Area Code) Tel. No.

Kevin Banzell
 Person to Contact (Please Type or Print)

Submit to:
 MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005

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GOOD FAITH EFFORTS DOCUMENTATION - DBE

DES-OE-0102.11A (NEW 06/2012)

1. List items of work the Bidder made available to DBE firms. Identify those items of work the Bidder might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of Work Offered	Bidder Normally Performs Item (Y/N)		Item Broken Down to Facilitate Participation (Y/N)		Amount (\$)	Percentage of Contract
LEAD Compliance Plan	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	\$2035 ⁰⁰	7 1/10%
Construction Signs	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	\$ 2,250 ⁰⁰	7 1/10%
TEMP. EROSION Control	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	50,000 ⁰⁰	2.5%
Water Pollution Control	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	40,000 ⁰⁰	2.0%
PERMANENT EROSION Cont.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	40,000 ⁰⁰	2.0%
STREET SWEEPING	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	58,000 ⁰⁰	2.5%
TRUCKING & HAULING	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	40,000 ⁰⁰	2.0%
WATER TRUCKS	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	8,000 ⁰⁰	.4%
CLEANING & GRADING	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	20,000 ⁰⁰	1%
COLD MILLING	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	201,450 ⁰⁰	10%
DRAINAGE INLETS	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	120,000 ⁰⁰	1.2%
PIPE	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	50,000 ⁰⁰	2.5%
CONCRETE WORK	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	225,000 ⁰⁰	11%
CULVERT MARKERS	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	3,000 ⁰⁰	.5%
ASPHALT	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	500,000 ⁰⁰	25%
PAVEMENT MARK/STRIPING	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	40,000 ⁰⁰	2%
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No		

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2. List the names of certified DBEs and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, telephone records, fax confirmations, etc.

Name of DBEs Solicited	Date of Initial Solicitation	Item(s) of Work	Follow Up Methods and Dates
Kelly Erosion	11-26-2013	EROSION CONTROL	Called - declined to bid
MARK WALLACE MASONRY	12-15-2013	CONCRETE WORK	Called - declined to bid

3. For each item of work made available, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.

Item(s) of Work	Name of Selected Firm	DBE or non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above.

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4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication:

Publications	Dates of Advertisement
Caltrans	11-26-2013

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency	Date of Contact	Method of Contact	Results
See Advertisement			

6. List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.

Discussed project - made sure all that requested had plan access
See Advertisements

7. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

See Advertisements

8. Include additional data to support a demonstration of good faith efforts.

See attached

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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Contractor: Granite Construction Company **Contact:** John O'Day

Address: 1900 Glendale Ave Sparks, NV 89431

Phone: 775-358-8792 **Fax:** 775-358-0372 **Email:** john.o'day@gcinc.com

Services needed: Quotes will be accepted for all bid items, however, the following items are needed: Lead Compliance Plan, Construction Area Signs, Water Pollution Control plan, Temporary Erosion Control, Permanent Erosion Control, Street Sweeping, Trucking and Hauling, Water Trucks, Clearing & Grubbing, Excavation, Coldmilling, Drainage Inlets, Pipe, Concrete, Culvert Markers, Asphalt Hot-Mix, Pavement Markings/Striping, Traffic Management System

Requirements: Granite is an Equal Opportunity Employer and signatory to the Unions. Payment and Performance Bonds may be required, assistance with bonds and insurance may be available. Copies of Plans and Specifications are available, please contact John O'Day for copies or with questions. Items of work may be broken down into economically feasible packages to encourage participation.

Date posted: 11/18/2013

Contractor: Burdick Excavating Co., Inc **Contact:** Ralph Kellogg

Address: 5 Brown Drive Moundhouse, NV 89706

Phone: 7752974566 **Fax:** 7752970974 **Email:** rkellogg@burdickexc.com

Services needed: Burdick Excavating Co. Inc is seeking qualified subcontractors and suppliers including certified DBE/WBE/MBE/DVBE firms for the following items of work, including but not limited to the following: Water pollution control, clear water diversion, cold plane Asphalt, Erosion Control Materials, Asphalt, AC Paving, Rebar, Concrete Materials, Aggregate Materials, Pipe Materials, Sign, Striping, Misc Iron and Steel materials. We are an equal opportunity employer. We will require that all participating companies possess a current contractor's license, carry Workers Compensation & Liability Insurance and be bondable. See specifications for exact insurance requirements and verbiage. Plans/Specs avail for viewing at our office located at Caltrans Office Engineer, or at the regional Builders Exchanges.

Requirements:

Date posted: 11/19/2013

Contractor: Aspen Developers, Corp. **Contact:** Kevin Brazell

Address: 40169 Truckee Airport Road, #202 Truckee, CA 96161

Phone: (530) 587-3310 **Fax:** (530) 587-1579 **Email:** kevin@aspensdevs.com

Services needed: Quotes will be accepted for all bid items, however, the following items are needed: Lead Compliance Plan, Construction Area Signs, Water Pollution Control plan, Temporary Erosion Control, Permanent Erosion Control, Street Sweeping, Trucking and Hauling, Water Trucks, Clearing & Grubbing, Excavation, Coldmilling, Drainage Inlets, Pipe, Concrete, Culvert Markers, Asphalt Hot-Mix, Pavement Markings/Striping, Traffic Management System

Requirements: Aspen Developer Corp is an Equal Opportunity Employer and signatory to the Union. Payment and Performance Bonds may be required, assistance with bonds and insurance may be available. Copies of Plans and Specifications are available, please contact Kevin Brazell for copies or with questions. Items of work may be broken down into economically feasible packages to encourage participation.

Date posted: 11/26/2013

Contractor: Road and Highway Builders, LLC **Contact:** Justin Costa

Address: 96 Glen Carran Circle, Suite 106 Sparks, NV, 89431

Phone: 775 852-7283 **Fax:** 775 359-7248 **Email:** jcosta@strlco.com

Services needed: Road and Highway Builders, LLC. is seeking quotes from certified DBE / UDBE / MBE / WBE / SBE subcontractors and suppliers for the Caltrans 03-3F4404 project. We are seeking quotes for the following items of work, including but not limited to the following: Construction Area Signs; WPCP; Temporary Erosion Control; Permanent Erosion Control; Street Sweeping; Aggregates; Bedding Materials; Construction Staking; Corrugated Metal Pipe; Plastic Pipe; Drain Rock; Fabric; Hydroseeding; Iron & Steel Frame; Milling and Grinding; Painted Traffic Striping and Marking;