

DEPARTMENT OF TRANSPORTATION
DIVISION OF ENGINEERING SERVICES
OFFICE ENGINEER, MS 43
1727 30th STREET
P. O. BOX 168041
SACRAMENTO, CA 95816-8041
PHONE (916) 227-6280
FAX (916) 227-6282
TTY 711



*Flex your power!
Be energy efficient!*

April 23, 2013

Facsimile: (775) 355-0535

Kevin L. Robertson
Sierra Nevada Construction, Inc.
P.O. Box 50760
Sparks, NV 89435

03-3F0304
03-Nev-80-R12.3/13.3-680-R4.3/R6.7
B.O. 02/27/2013

Dear Mr. Robertson:

The Department of Transportation (Caltrans) received the attached Disadvantaged Business Enterprise (DBE) Commitment form on March 4, 2013, for Contract No. 03-3F0304 from Sierra Nevada Construction, Inc. (Sierra Nevada). Caltrans established a Disadvantaged Business Enterprise (DBE) goal of 8.0 percent for this project. Sierra Nevada initially claimed a DBE commitment of 8.2 percent of the total bid amount.

Sierra Nevada listed (for credit toward the DBE goal) Appian UDBE SWPPPS (Appian) to provide a lead compliance plan for the amount of \$1900. Based on the information outlined in the attached memorandum from the Office of Business and Economic Opportunity, Contract Evaluation Unit (CEU), Appian's participation has been disallowed because Appian does not have the necessary work category code to provide a lead compliance plan. Therefore, the \$4,200 listed for Giron is not counted towards the DBE goal. The total dollar amount counted towards the DBE goal is \$102,214 or 8.01 percent of the total bid amount.

CEU staff determined that Sierra Nevada demonstrated a Good Faith Effort to meet the DBE participation goal for this contract.

If you have any questions, please contact John Myers, Contract Evaluation Analyst, at (916) 324-0988 or by electronic email at john_myers@dot.ca.gov or.

Sincerely,

A handwritten signature in black ink, appearing to read "John C. McMILLAN".

B JOHN C. McMILLAN
Deputy Division Chief
Office Engineer
Division of Engineering Services

Attachments

c: Appian UDBE SWPPPS

Memorandum

*Flex your power!
Be energy efficient!*

To: EARL SEABERG
Chief, Office of Contracts & Awards
Division of Engineering Services

Date: March 25, 2013

From: JEFFREY SKIDMORE 
Manager, Contract Evaluation Unit (CEU)
Office of Business and Economic Opportunity

Subject: Contract No.: 03-3F0304

The bid submitted by Sierra Nevada Construction, Inc. (Sierra) for the above referenced contract listed Appian UDBE SWPPPS (Appian) as a Disadvantaged Business Enterprise (DBE) subcontractor for this contract. The documentation received with the bid indicates Appian will provide a lead compliance plan.

After further review of the work items provided by Sierra, the CEU has determined that Appian does not have the necessary work category code to provide a lead compliance plan. Therefore, the dollar amount listed for Appian to provide this bid item will not be counted towards the DBE goal.

If you have any questions/concerns, you may contact John Myers, CEU Analyst, at (916) 324-0988 or by electronic mail at john_myers@dot.ca.gov.

Please notify the prime contractor and the subcontractor.

Sierra Nevada Construction
P. O. Box 50760
Sparks, Nevada 89435

Appian UDBE SWPPPS
5780 Olinda Road
El Sobrante, CA 94803

Low Bidder

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in section 2 of the specifications. Failure to submit the required DBE information will be grounds for finding the bid nonresponsive.

CONTRACT NO: 03-3F0304

BID AMOUNT: \$ 1,276,007⁰⁰

BID OPENING DATE: 2/27/13

BIDDER'S NAME: Sierra Nevada Construction, Inc.

DBE GOAL FROM CONTRACT, %:

DBE PRIME CONTRACTOR CERTIFICATION¹:

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE ³
49	COLD MILL AC		ABSL CONSTRUCTION #5270 SACRAMENTO, CA 916-379-0942	4300 ⁰⁰
1	LEAD COMPLIANCE PLAN		APPAN U DBE SWPPP #38334 EL SOBRANTE CA 510-375-1927	1400⁰⁰
24+25	SUPPLY LIQUID ASPHALT		BLACK DIAMOND ASPHALT #2299 VACAVILLE, CA 707-448-9402	84,914 ⁰⁰
2	CONSTRUCTION AREA SIGNS		CONSTRUCTION AREA SIGNS #500 NEWCASTLE, CA 916-663-9097	3400 ⁰⁰
5	FURNISH CMS		S.T. RHOADES CONSTRUCTION #37693 REDDING, CA 530-223-9322	9600 ⁰⁰

IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

- DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
- If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
- See section 2-1.12 of the specifications to determine the credit allowed for DBE firms.

Submit to:

MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005

Total Claimed Participation

Im ~~6104,111⁰⁰~~
8.2%

Signature of Bidder

[Signature] 8.01%
 Date: 2/26/13 (Area Code) Tel. No. 715-355-0420

Person in Contact: Tim Morgan (Please Type or Print)

ADA Notice
APPROVED / MET GOAL

[Signature]
CONTRACT EVALUATION MANAGER
 DATE: 3/20/13

03-04-13A11:13 RCVD

**** Transmit Conf. Report ****

P. 1
CALTRANS CONTR AWARDS Fax 916-227-6282

Apr 23 2013 04:13pm

Fax/Phone Number	Mode	Start	Time	Page	Result	Note
917753550535	Normal	23:04:12pm	0'42"	3	# 0 K	

STATE OF CALIFORNIA—BUSINESS, TRANSPORTATION AND HOUSING AGENCY

EDMUND G. BROWN Jr., Governor

DEPARTMENT OF TRANSPORTATION
DIVISION OF ENGINEERING SERVICES
OFFICE ENGINEER, MS 43
1727 30th STREET
P. O. BOX 168041
SACRAMENTO, CA 95816-8041
PHONE (916) 227-6280
FAX (916) 227-6282
TTY 711



*Flex your power!
Be energy efficient!*

April 23, 2013

Facsimile: (775) 355-0535

Kevin L. Robertson
Sierra Nevada Construction, Inc.
P.O. Box 50760
Sparks, NV 89435

03-3F0304
03-Nev-80-R12.3/13.3-680-R4.3/R6.7
B.O. 02/27/2013

Dear Mr. Robertson:

The Department of Transportation (Caltrans) received the attached Disadvantaged Business Enterprise (DBE) Commitment form on March 4, 2013, for Contract No. 03-3F0304 from Sierra Nevada Construction, Inc. (Sierra Nevada). Caltrans established a Disadvantaged Business Enterprise (DBE) goal of 8.0 percent for this project. Sierra Nevada initially claimed a DBE commitment of 8.2 percent of the total bid amount.

Sierra Nevada listed (for credit toward the DBE goal) Appian UDBE SWPPPS (Appian) to provide a lead compliance plan for the amount of \$1900. Based on the information outlined in the attached memorandum from the Office of Business and Economic Opportunity, Contract Evaluation Unit (CEU), Appian's participation has been disallowed because Appian does not have the necessary work category code to provide a lead compliance plan. Therefore, the \$4,200 listed for Giron is not counted towards the DBE goal. The total dollar amount counted towards the DBE goal is \$102,214 or 8.01 percent of the total bid amount.

CEU staff determined that Sierra Nevada demonstrated a Good Faith Effort to meet the DBE participation goal for this contract.

If you have any questions, please contact John Myers, Contract Evaluation Analyst, at (916) 324-0988 or by electronic email at john_myers@dot.ca.gov or.

Sincerely,


JOHN C. McMILLAN
Deputy Division Chief
Office Engineer
Division of Engineering Services

Attachments

c: Appian UDBE SWPPPS