1912 - 2012
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03

Caltrans

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

BID

FOR CONSTRUCTION ON STATE HIGHWAY IN EL DORADO COUNTY AT PILOT HILL FROM 0.2 MILE SOUTH TO 0.1 MILE NORTH OF RATTLESNAKE BAR ROAD

In District 03 On Route 49

Under

Notice to Bidders and Special Provisions **dated August 26, 2013**	***Standard Specifications*** **dated 2010**
Project plans approved June 10, 2013	***Standard Plans*** **dated 2010**

Identified by
Contract No. 03-2F1504
03-ED-49-30.9/31.3
Project ID 0300020538

Federal-Aid Project
ACHSSTP-P049(154)E

SEP 05

TM

Electronic Advertising Contract

Bids open Wednesday, September 25, 2013

Dated August 26, 2013
AADD

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1 (REV. 3/2011)

CONTRACT NO. 03 - 2F1504

NAME OF BIDDER WESTERN ENGINERING CONTRACTORS, INC.

BUSINESS P.O. BOX P.O. BOX 1387

CITY, STATE, ZIP LOOMIS, CA 95650

BUSINESS STREET ADDRESS 3171 RIPPEYR ROAD

(Include even if P.O. Box used)

CITY, STATE, ZIP LOOMIS, CA 95650

TELEPHONE NO: **AREA CODE** (916) 652-3990

FAX NO: **AREA CODE** (916) 652-3995

CONTRACTOR LICENSE NO. 440681

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

   For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

   For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

   For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non–plant establishment work within the number of working days bid for non–plant establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non–plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:

   2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:

      2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

      2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

   2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

   2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.

   2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

   2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:

1-9/13/13

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

Cash $ BOND, Cashiers Check, Certified Check, Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1. Criminal prosecution

5.2. Rejection of the bid

5.3. Rescission of the award

5.4. Termination of the Contract

BY *(Authorized Signature)*	DATE SIGNED *(Do not type)*
	7-24-13

PRINTED NAME AND TITLE OF PERSON SIGNING
JOSH WERTZ-VICE PRESIDENT

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

BID ITEM LIST

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	1,200.00
2	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	2,500.00
3	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	56,455.00
4	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LS	LUMP SUM	LUMP SUM	3,000.00
5	130100	JOB SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	1,500.00
6	130300	PREPARE STORM WATER POLLUTION PREVENTIONPLAN	LS	LUMP SUM	LUMP SUM	2,800.00
7	130310	RAIN EVENT ACTION PLAN	EA	4	500.00	2,000.00
8	130320	STORM WATER SAMPLING AND ANALYSIS DAY	EA	2	300.00	600.00
9	130330	STORM WATER ANNUAL REPORT	EA	1	2,000.00	2,000.00
10	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	EA	6	500.00	3,000.00
11	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	SQYD	2,500	1.00	2,500.00
12	130570	TEMPORARY COVER	SQYD	2,500	2.00	5,000.00
13	130640	TEMPORARY FIBER ROLL	LF	5,400	3.00	16,200.00
14	130660	TEMPORARY LARGE SEDIMENT BARRIER	LF	2,700	5.00	13,500.00
15	130680	TEMPORARY SILT FENCE	LF	2,700	4.00	10,800.00
16	130730	STREET SWEEPING	LS	LUMP SUM	LUMP SUM	3,000.00
17	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM	LUMP SUM	500.00
18	141000	TEMPORARY FENCE (TYPE ESA)	LF	350	5.00	1,750.00
19	141120	TREATED WOOD WASTE	LB	500	2.00	1,000.00
20	150605	REMOVE FENCE	LF	810	2.00	1,620.00

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Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	150668	REMOVE FLARED END SECTION	EA	3	150.00	450.00
22	150742	REMOVE ROADSIDE SIGN	EA	10	100.00	1,000.00
23	150809	REMOVE CULVERT (LF)	LF	60	20.00	1,200.00
24	026443	REMOVE UNDERDRAIN	LF	200	5.00	1,000.00
25	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	6,220	0.80	4,976.00
26	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM	LUMP SUM	60,000.00
27	190101	ROADWAY EXCAVATION	CY	4,850	35.00	169,750.00
28	190161	ROCK EXCAVATION	CY	840	35.00	29,400.00
29	210210	EROSION CONTROL (DRY SEED) (SQFT)	SQFT	7,160	0.15	1,074.00
30	210280	ROLLED EROSION CONTROL PRODUCT (BLANKET)	SQFT	7,160	0.55	3,938.00
31	210350	FIBER ROLLS	LF	2,050	3.30	6,765.00
32	210600	COMPOST	SQFT	46,600	0.50	23,300.00
33	260203	CLASS 2 AGGREGATE BASE (CY)	CY	1,360	75.00	102,000.00
34	374207	CRACK TREATMENT	LNMI	0.6	15,000.00	9,000.00
35	390095	REPLACE ASPHALT CONCRETE SURFACING	CY	170	210.00	35,700.00
36	390132	HOT MIX ASPHALT (TYPE A)	TON	1,800	85.00	153,000.00
37	390134	HOT MIX ASPHALT (OPEN GRADED)	TON	320	125.00	40,000.00
38	390136	MINOR HOT MIX ASPHALT	TON	9	200.00	1,800.00
39	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	LF	190	20.00	3,800.00
40	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	SQYD	58	70.00	4,060.00

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Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	397005	TACK COAT	TON	7	1.00	7.00
42	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	35	13.00	455.00
43	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	SQFT	8	14.00	112.00
44	560251	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED)	SQFT	28	15.00	420.00
45	560252	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED)	SQFT	52	17.00	884.00
46	566011	ROADSIDE SIGN - ONE POST	EA	6	240.00	1,440.00
47	566012	ROADSIDE SIGN - TWO POST	EA	5	500.00	2,500.00
48	620020	8" ALTERNATIVE PIPE CULVERT	LF	42	50.00	2,100.00
49	620060	12" ALTERNATIVE PIPE CULVERT	LF	41	60.00	2,460.00
50	620100	18" ALTERNATIVE PIPE CULVERT	LF	60	75.00	4,500.00
51 (F)	620800	CONCRETE BACKFILL (PIPE TRENCH)	CY	36	150.00	5,400.00
52	026444	21"X15" ALTERNATIVE PIPE ARCH CULVERT	LF	74	75.00	5,550.00
53	665016	18" CORRUGATED STEEL PIPE (.064" THICK)	LF	11	120.00	1,320.00
54	680404	8" PERFORATED STEEL PIPE UNDERDRAIN	LF	1,060	55.00	58,300.00
55	705011	18" STEEL FLARED END SECTION	EA	1	400.00	400.00
56	705307	12" ALTERNATIVE FLARED END SECTION	EA	2	350.00	700.00
57	705311	18" ALTERNATIVE FLARED END SECTION	EA	2	400.00	800.00
58	705376	21" X 15" ALTERNATIVE FLARED END PIPE ARCH SECTION	EA	2	550.00	1,100.00
59 (F)	721028	ROCK SLOPE PROTECTION (NO. 2, METHOD B) (CY)	CY	16	200.00	3,200.00
60	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	48	30.00	1,440.00

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Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61	800060	FENCE (TYPE WM AND BW)	LF	810	13.00	10,530.00
62	800100	TEMPORARY FENCE	LF	810	2.00	1,620.00
63	820107	DELINEATOR (CLASS 1)	EA	13	40.00	520.00
64	026445	HIGHWAY POST MARKER	EA	1	50.00	50.00
65	820111	UNDERDRAIN MARKER	EA	2	50.00	100.00
66	820112	MARKER (CULVERT)	EA	9	50.00	450.00
67	840504	4" THERMOPLASTIC TRAFFIC STRIPE	LF	7,100	1.00	7,100.00
68	840506	8" THERMOPLASTIC TRAFFIC STRIPE	LF	300	1.00	300.00
69	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	170	2.20	374.00
70	840523	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 12-3)	LF	370	1.00	370.00
71	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	LF	360	1.00	360.00
72	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	200	4.00	800.00

TOTAL BID: $ 898,800.00

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Bidder Name: WESTERN ENGINEERING CONTRACTORS, INC.

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	**Column 2:** Bid Item Nos.	**Column 3:** Percentage of Bid Item Subcontracted	**Column 4:** Description of Subcontracted Work
Soil Tech Sparks, NV	10, 11, 13-15, 29-32	100%	Erosion Control
Austin Enterprise Bakersfield, CA	34	100%	Crack Treatment
AL's Land Clearing Sacramento, CA	20 26	100% 25%	Clearing (Partial)
Construction Area Signs Newcastle, CA	2, 42-47, 63-66	100%	Signs, Construction Signs
Centerline Striping Elk Grove, CA	67-72	100%	Striping
Crusader Fence Rancho Cordova, CA	61	100%	Fence

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

BIDDER'S BOND

DES-OE-0102.3 (REV 3/2008)

Contract No. 03-2F1504

Bond No. N/A

We Western Engineering Contractors, Inc.

as Principal, and

Western Surety Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for Construction on State Highway in El Dorado County
(Copy here the exact description of work, including location, as it appears on the proposal)

at Pilot Hill from 0.2 mile south to 0.1 mile north of Rattlesnake Bar Road

for which bids are to be opened at Sacramento, CA
(Insert place where bids will be opened)

on September 25, 2013
(Insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: September 16, 20 13.

Correspondence or claims relating to this bond should be sent to the surety at the following address:
Western Surety Company
8880 Cal Center Drive, Suite 410
Sacramento, CA 95826

Western Engineering Contractors, Inc.
Principal

JOSH WERTZ–VICE PRESIDENT

Western Surety Company
Surety

By
Attorney-in-Fact
David K. Johnson

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California
County of Placer

On this Sept. 16, 2013 *Date* before me, Monica A. Hutchison, Notary Public *Here Insert Name and Title of the Officer*

personally appeared David K. Johnson *Name(s) of Signer(s)*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

(SEAL)

MONICA A. HUTCHISON
Commission # 1973612
Notary Public - California
Placer County
My Comm. Expires Apr 26, 2016

WITNESS my hand and official seal.

Signature
Signature of Notary Public

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Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Knaw All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Stephen D Bender, David K Johnson, Monica A Hutchison, Edward Johnson, Individually

of Roseville, CA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 19th day of October, 2012.

WESTERN SURETY COMPANY

Paul T. Bruflat, Vice President

State of South Dakota
County of Minnehaha } ss

On this 19th day of October, 2012, before me personally came Paul T. Bruflat, to me known, who, being by me duly swom, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires
June 23, 2015

J. MOHR
NOTARY PUBLIC
SOUTH DAKOTA

J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 16th day of September, 2013

WESTERN SURETY COMPANY

L. Nelson, Assistant Secretary

Form F4280-7-2012

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California
County of PLACER

On 9/24/13 (Date) before me, A. LESTER, NOTARY PUBLIC (Here Insert Name and Title of the Officer),

personally appeared JOSH WERTZ (Name(s) of Signer(s)),

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

A. LESTER
Commission # 1935093
Notary Public - California
Placer County
My Comm. Expires May 25, 2015

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature A. Lester (Signature of Notary Public)

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: BIDDERS BOND

Document Date: SEPTEMBER 16, 2013 Number of Pages: 1

Signer(s) Other Than Named Above:

Capacity(ies) Claimed by Signer(s)

Signer's Name: JOSH WERTZ
- ☐ Individual
- ☒ Corporate Officer — Title(s): VICE PRESIDENT
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other:

Signer Is Representing: WESTERN ENGINEERING CONTRACTORS, INC.

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

Signer's Name:
- ☐ Individual
- ☐ Corporate Officer — Title(s):
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other:

Signer Is Representing:

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-DE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

Bidder's Name: _____ Contract No. ___ - _____

I opt out of the payment adjustments for price index fluctuations.

Date: _____ Signature: _____

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO. 03 - 2F1504

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

☐ Yes: Certification number? _____ ☒ No

Note: This small business questionnaire is included for statistical reporting only.

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $100,000 and these subcontractors shall certify and disclose.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
    (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

1. Type of Federal Action:	2. Status of Federal Action:	3. Report Type:
☐ a. contract N/A b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	☐ a. bid/offer/application b. initial award N/A c. post-award	☐ a. initial N/A b. material change For Material Change Only: year _____ quarter _____ date of last report _____

4. Name and Address of Reporting Entity:	5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:
☐ Prime ☐ Subawardee Tier N/A, *if known* Congressional District, *if known*:	NONE Congressional District, *if known:*
6. Federal Department/Agency: NONE	**7. Federal Program Name/Description:** NONE CFDA Number, if applicable _____
8. Federal Action Number, *if known*: NONE	**9. Award Amount, *if known*:** $ NONE
10 a. Name and Address of Lobby Registrant (*if individual, last name, first name, MI*): NONE	**b. Individuals Performing Services (*including address if different from No. 10a*)** (*last name, first name, MI*): NONE
11. information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.	Signature: [signature] Print Name: JOSH WERTZ Title: VICE PRESIDENT Telephone No.: 916-652-3990 Date: 9/24/13
Federal Use Only:	Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder WESTERN ENGINEERING CONTRACTORS, proposed subcontractor N/A, certifies that he ☒ has ☐ has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

NONE

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of PLACER

JOSH WERTZ, being first duly sworn, deposes and says that he or she is

VICE PRESIDENT of WESTERN ENGINEERING CONTRACTORS the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

☐ Yes ☒ No If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder ☐ has ☒ has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

NONE

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
   ☐ Yes ☒ No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
   ☐ Yes ☒ No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
   ☐ Yes ☒ No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
   - 4.1. Date of each nonresponsibility determination
   - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
   - 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

DEPARTMENT OF TRANSPORTATION
DIVISION OF ENGINEERING SERVICES
OFFICE ENGINEER
1727 30th Street MS-43
P.O. BOX 168041
SACRAMENTO, CA 95816-8041
FAX (916) 227-6214
www.dot.ca.gov/hq/esc/oe

Flex your power!
Be energy efficient!

September 12, 2013

Addendum Acknowledged by:
Western Engineering Contractors, Inc.

Josh Wertz
Vice President

03-ED-49-30.9/31.3
03-2F1504
Project ID 0300020538
ACHSSTP-P049(154)E

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for CONSTRUCTION ON STATE HIGHWAY IN EL DORADO COUNTY AT PILOT HILL FROM 0.2 MILE SOUTH TO 0.1 MILE NORTH OF RATTLESNAKE BAR ROAD.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on Wednesday, September 25, 2013.

This addendum is being issued to revise the Federal Minimum Wages with Modification Number 16 dated 09/06/2013.

To *Bid* book holders:

Inquiries or questions in regard to this addendum must be communicated as a bidder inquiry and must be made as noted in the *Notice to Bidders* section of the *Notice to Bidders and Special Provisions.*

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the *Bid* book.

Submit bids in the *Bid* book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

This addendum and the modified wage rates are available for the Contractors' download on the Web site:

http://www.dot.ca.gov/hq/esc/oe/project_ads_addenda/03/03-2F1504

If you are not a *Bid* book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

REBECCA D. HARNAGEL
Chief, Office of Plans, Specifications & Estimates
Office Engineer
Division of Engineering Services