

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

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A4

CONSTRUCTION ON STATE HIGHWAY IN  
EL DORADO COUNTY NEAR SOUTH LAKE TAHOE FROM CASCADE ROAD TO UPPER  
EMERALD BAY ROAD  
In District 03 on Route 89

UNDER

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Notice to Bidders and Special Provisions dated May 11, 2015  
Project plans approved June 16, 2014  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 03-1A8434

03-ED-89-13.8/18

Project ID 0300000224

FEDERAL-AID PROJECT  
ACSTP-P089(112)

Bids open Tuesday, June 30, 2015

Dated May 11, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1A8434 Project ID: 0300000224

Bidder Name: Don Garcia Excavating & Paving, Inc. Bidder ID: VC0000014771

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Don Garcia Excavating & Paving, Inc.

Business Street Address P O Box 7176

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip South Lake Tahoe, CA, 96158

Telephone No: AREA CODE 530-577-0558

Fax No: AREA CODE 530-577-0221

Contractor License No. 305618

California Department of Industrial Relations' Registration No. 1000023055

Checksum 29362020

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the nonplant establishment work within the number of working days bid for nonplant establishment work.

2. Bidder has and acknowledges the following addenda:3  
06-26-15 Revise Project Plans, Notice to Bidders and Special Provisions, Bid
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Richard Garcia AND

TITLE OF PERSON SIGNING: Treasurer

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BY (Authorized signature)

DATE SIGNED( Do not type)

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	5,000.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM LS	LUMP SUM	35,000.00
3	090100	TIME-RELATED OVERHEAD (WDAY)	170.0 WDAY	3,000.00	510,000.00
4	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	4,000.00
5	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	530,000.00
6	120120	TYPE III BARRICADE	16.0 EA	50.00	800.00
7	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	30,000.00
8	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	55,000.00
9	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	5,000.00
10	130310	RAIN EVENT ACTION PLAN	20.0 EA	500.00	10,000.00
11	130320	STORM WATER SAMPLING AND ANALYSIS DAY	20.0 EA	500.00	10,000.00
12	130330	STORM WATER ANNUAL REPORT	3.0 EA	2,000.00	6,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	6.0 EA	1,045.00	6,270.00
14	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	2,230.0 SQYD	3.00	6,690.00
15	130570	TEMPORARY COVER	2,780.0 SQYD	5.00	13,900.00
16	130610	TEMPORARY CHECK DAM	540.0 LF	15.00	8,100.00
17	130620	TEMPORARY DRAINAGE INLET PROTECTION	72.0 EA	250.00	18,000.00
18	130640	TEMPORARY FIBER ROLL	10,800.0 LF	5.00	54,000.00
19	130650	TEMPORARY GRAVEL BAG BERM	1,020.0 LF	10.00	10,200.00
20	130670	TEMPORARY REINFORCED SILT FENCE	2,820.0 LF	8.00	22,560.00
21	130680	TEMPORARY SILT FENCE	5,000.0 LF	6.00	30,000.00
22	130710	TEMPORARY CONSTRUCTION ENTRANCE	9.0 EA	2,000.00	18,000.00
23	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	40,000.00
24	130800	TEMPORARY ACTIVE TREATMENT SYSTEM	LUMP SUM LS	LUMP SUM	35,000.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1A8434 Project ID: 0300000224

Bidder Name: Don Garcia Excavating &amp; Paving, Inc. Bidder ID: VC0000014771

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	027918	TEMPORARY CLEAR WATER DIVERSION SYSTEM	LUMP SUM LS	LUMP SUM	35,000.00
26	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	4,000.00
27	131103	WATER QUALITY SAMPLING AND ANALYSIS DAY	18.0 EA	500.00	9,000.00
28	131104	WATER QUALITY MONITORING REPORT	18.0 EA	500.00	9,000.00
29	131105	WATER QUALITY ANNUAL REPORT	2.0 EA	2,000.00	4,000.00
30	141000	TEMPORARY FENCE (TYPE ESA)	3,920.0 LF	5.00	19,600.00
31	141120	TREATED WOOD WASTE	63,600.0 LB	0.17	10,812.00
32	146001	CONTRACTOR-SUPPLIED BIOLOGIST (DAY)	100.0 WDAY	935.00	93,500.00
33	146003	NATURAL RESOURCE PROTECTION PLAN	LUMP SUM LS	LUMP SUM	4,400.00
34	150204	ABANDON CULVERT (LF)	71.0 LF	100.00	7,100.00
35	150661	REMOVE GUARDRAIL	4,120.0 LF	7.70	31,724.00
36	150668	REMOVE FLARED END SECTION	13.0 EA	500.00	6,500.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	150742	REMOVE ROADSIDE SIGN	11.0 EA	110.00	1,210.00
38	150771	REMOVE ASPHALT CONCRETE DIKE	4,650.0 LF	2.00	9,300.00
39	150809	REMOVE CULVERT (LF)	340.0 LF	20.00	6,800.00
40	150820	REMOVE INLET	3.0 EA	800.00	2,400.00
41	150821	REMOVE HEADWALL	2.0 EA	1,000.00	2,000.00
42	152320	RESET ROADSIDE SIGN	42.0 EA	220.00	9,240.00
43	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	63,300.0 SQYD	7.50	474,750.00
44	153121	REMOVE CONCRETE (CY)	0.1 CY	1,000.00	100.00
45	155213	CLEANING, INSPECTING, AND PREPARING CULVERT (LF)	450.0 LF	87.00	39,150.00
46	155232	SAND BACKFILL	5.0 CY	300.00	1,500.00
47	155314	18" CURED-IN-PLACE PIPELINER	370.0 LF	300.00	111,000.00
48	155316	24" CURED-IN-PLACE PIPELINER	80.0 LF	415.00	33,200.00

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Bidder Name: Don Garcia Excavating &amp; Paving, Inc. Bidder ID: VC0000014771

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	125,000.00
50	190101	ROADWAY EXCAVATION	2,040.0 CY	200.00	408,000.00
51	194001	DITCH EXCAVATION	19.0 CY	200.00	3,800.00
52	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	3.0 EA	1,050.00	3,150.00
53	027919	IMPORTED TOPSOIL (MODIFIED)	420.0 CY	165.00	69,300.00
54	027920	BONDED FIBER MATRIX (TYPE 1)	259,000.0 SQFT	0.58	150,220.00
55	027921	BONDED FIBER MATRIX (TYPE 2)	3,570.0 SQFT	1.00	3,570.00
56	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	33,000.0 SQFT	1.80	59,400.00
57	210290	ROLLED EROSION CONTROL PRODUCT (TRM)	39,400.0 SQFT	6.40	252,160.00
58	027922	ROLLED EROSION CONTROL PRODUCT (TURF REINFORCEMENT MAT) TYPE D	16,400.0 SQFT	6.90	113,160.00
59	210420	STRAW	33,100.0 SQFT	0.30	9,930.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	027923	COMPOST (TYPE 1)	76,000.0 SQFT	0.95	72,200.00
61	027924	COMPOST (TYPE 2)	39,400.0 SQFT	0.35	13,790.00
62	210630	INCORPORATE MATERIALS	51,000.0 SQFT	0.25	12,750.00
63	027925	CORING	4,760.0 EA	11.00	52,360.00
64	260203	CLASS 2 AGGREGATE BASE (CY)	700.0 CY	150.00	105,000.00
65	374207	CRACK TREATMENT	8.0 LNMI	3,800.00	30,400.00
66	390095	REPLACE ASPHALT CONCRETE SURFACING	740.0 CY	500.00	370,000.00
67	390132	HOT MIX ASPHALT (TYPE A)	9,000.0 TON	153.00	1,377,000.00
68	394060	DATA CORE	LUMP SUM LS	LUMP SUM	5,000.00
69	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	30.0 SQYD	150.00	4,500.00
70	397005	TACK COAT	19.0 TON	1,000.00	19,000.00
71(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	17.0 CY	4,000.00	68,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	120.0 SQFT	33.00	3,960.00
73	566011	ROADSIDE SIGN - ONE POST	11.0 EA	550.00	6,050.00
74	591200	ROCK STAIN	8,870.0 SQFT	1.00	8,870.00
75	027926	CONCRETE STAIN	10,400.0 SQFT	1.10	11,440.00
76	620100	18" ALTERNATIVE PIPE CULVERT	740.0 LF	300.00	222,000.00
77	620140	24" ALTERNATIVE PIPE CULVERT	84.0 LF	350.00	29,400.00
78(F)	620800	CONCRETE BACKFILL (PIPE TRENCH)	8.0 CY	500.00	4,000.00
79	667030	49" X 33" CORRUGATED STEEL PIPE ARCH (.138" THICK)	55.0 LF	500.00	27,500.00
80	027927	CLASS 4 PERMEABLE MATERIAL (CY)	210.0 CY	250.00	52,500.00
81	700617	DRAINAGE INLET MARKER	21.0 EA	50.00	1,050.00
82	700641	36" CORRUGATED STEEL PIPE INLET (.168" THICK)	140.0 LF	800.00	112,000.00
83	705311	18" ALTERNATIVE FLARED END SECTION	16.0 EA	400.00	6,400.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
84	705386	49" X 33" ALTERNATIVE FLARED END PIPE ARCH SECTION	2.0 EA	1,200.00	2,400.00
85(F)	721017	ROCK SLOPE PROTECTION (FACING, METHOD B) (CY)	370.0 CY	325.00	120,250.00
86(F)	721019	ROCK SLOPE PROTECTION (NO. 3, METHOD B) (CY)	78.0 CY	300.00	23,400.00
87	721420	CONCRETE (DITCH LINING)	50.0 CY	800.00	40,000.00
88(F)	721512	CONCRETED-ROCK SLOPE PROTECTION (FACING, METHOD A) (CY)	201.0 CY	700.00	140,700.00
89	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	2,110.0 SQYD	2.00	4,220.00
90	027928	FILTER FABRIC	220.0 SQYD	4.00	880.00
91	730020	MINOR CONCRETE (CURB) (CY)	160.0 CY	765.00	122,400.00
92	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	7.0 CY	1,210.00	8,470.00
93(F)	750001	MISCELLANEOUS IRON AND STEEL	7,380.0 LB	3.00	22,140.00
94	820108	DELINEATOR (CLASS 2)	290.0 EA	60.00	17,400.00
95	820112	MARKER (CULVERT)	49.0 EA	60.00	2,940.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
96	820151 (TYPE L-1)	OBJECT MARKER	9.0 EA	60.00	540.00
97	832012	METAL BEAM GUARD RAILING (7' WOOD POST)	3,580.0 LF	55.00	196,900.00
98	832070	VEGETATION CONTROL (MINOR CONCRETE)	310.0 SQYD	90.00	27,900.00
99	839541	TRANSITION RAILING (TYPE WB)	2.0 EA	6,105.00	12,210.00
100	839543	TRANSITION RAILING (TYPE WB-31)	4.0 EA	6,545.00	26,180.00
101	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	4.0 EA	4,372.00	17,488.00
102	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	8.0 EA	4,040.00	32,320.00
103	027929	8" THERMOPLASTIC TRAFFIC STRIPE (RECESSED)	150.0 LF	6.00	900.00
104	027930	THERMOPLASTIC PAVEMENT MARKING (RECESSED)	150.0 SQFT	17.00	2,550.00
105	027931	4" THERMOPLASTIC TRAFFIC STRIPE (RECESSED, BROKEN 12-3)	650.0 LF	1.50	975.00
106	840581	4" THERMOPLASTIC TRAFFIC STRIPE (RECESSED)	77,800.0 LF	1.90	147,820.00
107	840582	4" TWO-COMPONENT PAINT TRAFFIC STRIPE	11,200.0 LF	0.50	5,600.00

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
108	840661	TWO-COMPONENT PAINT PAVEMENT MARKING	140.0 SQFT	17.00	2,380.00
109	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	800,000.00
Total Bid					\$7,980,629.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1A8434 Project ID: 0300000224

Bidder Name: Don Garcia Excavating & Paving, Inc. Bidder ID: VC0000014771

DES-OE-0102.2B (REV 5/2014)

Bidding Firm: Don Garcia Excavating & Paving, Inc.

List this sub? Yes

1) Business Name Central Striping Service, Inc.

Location City Rancho Cordova State CA

California Contractor License Number 403896

CA Department of Industrial Relations' Registration Number 1000006499

Portion of Work Subcontracted:

Item %	Description
103 100	
104 100	
105 100	
106 100	
107 100	

List this sub? Yes

2) Business Name Central Striping Service, Inc.

Location City Rancho Cordova State CA

California Contractor License Number 403896

CA Department of Industrial Relations' Registration Number 1000006499

Portion of Work Subcontracted:

Item %	Description
108 100	
109 .9	Mobilization

List this sub? Yes

3) Business Name Coral Construction Company

Location City Wilsonville State OR

California Contractor License Number 332441A

CA Department of Industrial Relations' Registration Number 1000005868

Portion of Work Subcontracted:

Item %	Description
31 100	
35 100	
97 100	
99 100	
100 100	

Bidding Firm: Don Garcia Excavating & Paving, Inc.

List this sub? Yes

- 4) Business Name Coral Construction Company  
Location City Wilsonville State OR  
California Contractor License Number 332441A  
CA Department of Industrial Relations' Registration Number 1000005868  
Portion of Work Subcontracted:

Item %	Description
101 100	
102 100	
109 4 Mobilization	

List this sub? Yes

- 5) Business Name Statewide Traffic Safety & Signs  
Location City Sacramento State CA  
California Contractor License Number 975518  
CA Department of Industrial Relations' Registration Number 1000001109  
Portion of Work Subcontracted:

Item %	Description
4 100	
6 100	
37 100	
42 100	
72 100	

List this sub? Yes

- 6) Business Name Statewide Traffic Safety & Signs  
Location City Sacramento State CA  
California Contractor License Number 975518  
CA Department of Industrial Relations' Registration Number 1000001109  
Portion of Work Subcontracted:

Item %	Description
73 100	
94 100	
95 100	
96 100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: Don Garcia Excavating & Paving, Inc. Bidder ID: VC0000014771

DES-OE-0102.2B (REV 5/2014)

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Bidding Firm: Don Garcia Excavating & Paving, Inc.

List this sub? Yes

- 7) Business Name Force Traffic Control, Inc.  
Location City Exeter State CA  
California Contractor License Number 790502  
CA Department of Industrial Relations' Registration Number 1000005521  
Portion of Work Subcontracted:

Item %	Description
5 78	Traffic Control

List this sub? Yes

- 8) Business Name Pavement Recycling Systems  
Location City Jurupa Valley State CA  
California Contractor License Number 369352  
CA Department of Industrial Relations' Registration Number 1000003363  
Portion of Work Subcontracted:

Item %	Description
38 40	Remove A/C Dike
43 34	Cold Mill A/C
66 4	Replace A/C Surface

List this sub? Yes

- 9) Business Name Nor-Cal Concrete, Inc.  
Location City Suisun State CA  
California Contractor License Number 271483  
CA Department of Industrial Relations' Registration Number 1000003831  
Portion of Work Subcontracted:

Item %	Description
87 100	
91 100	
92 100	
98 100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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DES-OE-0102.2B (REV 5/2014)

Bidding Firm: Don Garcia Excavating & Paving, Inc.

List this sub? Yes

10) Business Name Green Vista Landscape

Location City Rocklin State CA

California Contractor License Number 878017

CA Department of Industrial Relations' Registration Number 1000007433

Portion of Work Subcontracted:

Item %	Description
13 100	
14 100	
52 100	
53 100	
54 100	

List this sub? Yes

11) Business Name Green Vista Landscape

Location City Rocklin State CA

California Contractor License Number 878017

CA Department of Industrial Relations' Registration Number 1000007433

Portion of Work Subcontracted:

Item %	Description
55 100	
56 100	
57 100	
58 100	
59 100	

List this sub? Yes

12) Business Name Green Vista Landscape

Location City Rocklin State CA

California Contractor License Number 878017

CA Department of Industrial Relations' Registration Number 1000007433

Portion of Work Subcontracted:

Item %	Description
60 100	
61 100	
62 100	
63 100	

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DES-OE-0102.2B (REV 5/2014)

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Bidding Firm: Don Garcia Excavating & Paving, Inc.

List this sub? Yes

13) Business Name Michels Corporation

Location City Salem State OR

California Contractor License Number 553612

CA Department of Industrial Relations' Registration Number 1000005493

Portion of Work Subcontracted:

Item %	Description
45 100	
47 100	
48 100	
109 2	Mobilization



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1A8434 Project ID: 0300000224

Bidder Name: Don Garcia Excavating & Paving, Inc. Bidder ID: VC0000014771

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1A8434 Project ID: 0300000224

Bidder Name: Don Garcia Excavating & Paving, Inc. Bidder ID: VC0000014771

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
  - For Material Change Only:
  - Year
  - Quarter
  - Date of last report
4. Name and Address of Reporting Entity:
  - Tier ,if known
  - Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:
  - Congressional District, if known:
6. Federal Department/Agency:
7. Federal Program Name/Description:
  - CFDA Number, if applicable
8. Federal Action Number, if known:
9. Award amount, if known (\$):
10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
  - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:  
Title:  
Telephone No.:  
DATE:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Don Garcia Excavating & Paving, Inc., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

---

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of El Dorado

Name Richard Garcia,

being first duly sworn, deposes and says that he or she is

Treasurer of

Don Garcia Excavating & Paving, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

---

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

---

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN EL DORADO COUNTY NEAR SOUTH LAKE TAHOE FROM CASCADE ROAD TO UPPER EMERALD BAY ROAD

In District 03 on Route 89 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 06-30-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**BIDDER'S BOND**  
DES-OE-0102.3 (REV 3/2008)

Contract No. 03-1A8434

Bond No. 519634

We Don Garcia Excavating & Paving, Inc.

as Principal, and

SureTec Insurance Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for construction on state Highway in El Dorado County near  
*(Copy here the exact description of work, including location, as it appears on the proposal)*  
South Lake Tahoe from Cascade Road to Upper Emerald Bay Road, In District 03 on Route 89  
for which bids are to be opened at 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816  
*(Insert place where bids will be opened)*  
on June 30, 2015  
*(Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: June 29, 2015.

Correspondence or claims relating to this bond should be sent to the surety at the following address:  
9737 Great Hills Trail #320  
Austin, TX 78759

Don Garcia Excavating & Paving, Inc.  
*(Signature)*  
Principal  
SureTec Insurance Company  
Surety  
By *(Signature)*  
Attorney-in-Fact

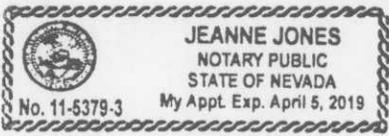
**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of ~~California~~ Nevada  
County of Douglas

On this June 29, 2015 before me, Jeanne Jones  
*(Date)* *(Here Insert Name and Title of the Officer)*  
personally appeared Michael J. Garcia, President, Don Garcia Excavating & Paving, Inc.  
*(Name(s) of Signer(s))*  
Janie Ferguson, Attorney-in-Fact, SureTec Insurance Company

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.  
Signature *(Signature)*  
Signature of Notary Public

# SureTec Insurance Company

## LIMITED POWER OF ATTORNEY

**Know All Men by These Presents**, That SURETEC INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Texas, and having its principal office in Houston, Harris County, Texas, does by these presents make, constitute and appoint

Janie Ferguson

its true and lawful Attorney-in-fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include waivers to the conditions of contracts and consents of surety for:

Five Million and 00/100 Dollars (\$5,000,000.00)

and to bind the Company thereby as fully and to the same extent as if such bond were signed by the President, sealed with the corporate seal of the Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney-in-Fact may do in the premises. Said appointment shall continue in force until 10/31/2015 and is made under and by authority of the following resolutions of the Board of Directors of the SureTec Insurance Company:

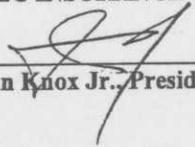
*Be it Resolved*, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

*Attorney-in-Fact* may be given full power and authority for and in the name of and of behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

*Be it Resolved*, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached. (Adopted at a meeting held on 20<sup>th</sup> of April, 1999.)

**In Witness Whereof**, SURETEC INSURANCE COMPANY has caused these presents to be signed by its President, and its corporate seal to be hereto affixed this 21st day of March, A.D. 2013.

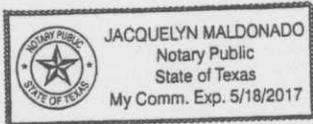
SURETEC INSURANCE COMPANY

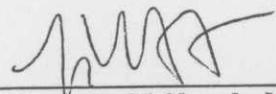
By:   
John Knox Jr., President



State of Texas                    ss:  
County of Harris

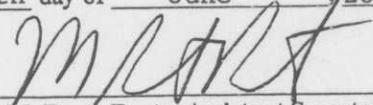
On this 21st day of March, A.D. 2013 before me personally came John Knox Jr., to me known, who, being by me duly sworn, did depose and say, that he resides in Houston, Texas, that he is President of SURETEC INSURANCE COMPANY, the company described in and which executed the above instrument; that he knows the seal of said Company; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said Company; and that he signed his name thereto by like order.



  
Jacquelyn Maldonado, Notary Public  
My commission expires May 18, 2017

I, M. Brent Beaty, Assistant Secretary of SURETEC INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Company, which is still in full force and effect; and furthermore, the resolutions of the Board of Directors, set out in the Power of Attorney are in full force and effect.

Given under my hand and the seal of said Company at Houston, Texas this 29th day of June, 2015, A.D.

  
M. Brent Beaty, Assistant Secretary

Any instrument issued in excess of the penalty stated above is totally void and without any validity.  
For verification of the authority of this power you may call (713) 812-0800 any business day between 8:00 am and 5:00 pm CST.



06-29-15P02:59 RCVD

**THIS RIDER MODIFIES COVERAGE TERMS IN THE BOND TO WHICH IT IS ATTACHED**

To obtain information, make a complaint or assert a claim, or if you have a dispute concerning your premium, you should call the Surety's toll free telephone number for information at: (866) 732-0099. You may also write to the Surety at:

**SureTec Insurance Company**  
9737 Great Hills Trail, Suite 320  
Austin, Tx 78759  
512-732-0099

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**Terrorism Risk Exclusions**

Notwithstanding anything to the contrary in the Construction Contract and Bond, the Bond to which this Rider is attached does not provide coverage for, and the surety thereon shall not be liable for, acts of terrorism, riot, civil insurrection, acts of war or armed hostilities or other national or international calamity, directly or indirectly frustrating performance of, or directly or indirectly causing any loss or damage under, the Construction Contract for which this Bond was issued.

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**Warranty Time Limitation**

Notwithstanding anything to the contrary in the Bond or bonded contract, in no event shall Surety have any obligation for any loss occurring or claim made by Obligee more than twenty-five (25) months after the date on which Principal has substantially completed the work under such contract.

---

**Exclusion of Liability for  
Mold & Environmental Hazards**

Notwithstanding anything to the contrary in the Construction Contract and Bond, the Bond to which this Rider is attached does not provide coverage for, and the Surety thereon shall not be liable for, molds, living or dead fungi, bacteria, allergens, histamines, spores, hyphae, or mycotoxins, or their related products or parts, nor for any environmental hazards, bio-hazards, hazardous materials, environmental spills, contamination, or cleanup, nor the remediation thereof, nor the consequences to persons, property, or the performance of the bonded obligations, of the occurrence, existence, or appearance thereof.

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**Important Notice Regarding  
Calculation of Premium**

Adjustments to the contract price entitle the Surety to adjust premium charged. Adjustments in premium charged reflect the adjustment in risk to Surety, not a change in the bond amount. Notwithstanding anything to the contrary in the Construction Contract and Bond, adjustments to the contract price or the premium charged shall not change the bond amount.

**03**



**Caltrans**

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

**NOTICE TO BIDDERS  
AND  
SPECIAL PROVISIONS**

FOR CONSTRUCTION ON STATE HIGHWAY IN EL DORADO COUNTY NEAR  
SOUTH LAKE TAHOE FROM CASCADE ROAD TO UPPER EMERALD BAY  
ROAD

In District 03 On Route 89

Under

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*Bid book dated May 11, 2015*

*Standard Specifications dated 2010*

*Project plans approved June 16, 2014*

*Standard Plans dated 2010*

---

Identified by

Contract No. 03-1A8434

03-ED-89-13.8/18

Project ID 0300000224

Federal-Aid Project

ACSTP-P089(112)

**Electronic Bidding Contract**

Bids open Tuesday, June 30, 2015

Dated May 11, 2015

XS  
AADD

IH