

# Third Bidder

10-24-16A11:25 RCVD

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

CONTRACT NO: 02-463804

BID AMOUNT: \$ 6,707,725.90

BID OPENING DATE: 10/20/2016

BIDDER'S NAME: GR Sundberg, Inc.

DBE GOAL FROM CONTRACT %: 11

DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> : <u>31060</u>	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) <u>6</u>	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) <u>860,105.90</u>
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
<u>32</u>	<u>Clear &amp; Grub</u>	<u>C1601</u>	<u>GR Sundberg, Inc.</u>	<u>80,000.00</u>
<u>34</u>	<u>Roadway Lx</u>	<u>C1901</u>	<u>5211 Boyd Road</u>	<u>84,000.00</u>
<u>39</u>	<u>Rubberized HWT GAP</u>	<u>C3901</u>	<u>Arcata, CA 95521</u>	<u>425,000.00</u>
<u>3</u>	<u>Traffic Control</u>	<u>C1201</u>	<u>707 825 6565</u>	<u>150,000.00</u>
			<u>31060</u>	

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed Participation \$ 11 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

R  
 Signature of Bidder

10/20/2016 707 825 6565  
 Date (Area Code) Tel. No.

Randy Sundberg  
 Person to Contact (Please Type or Print)

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE CONFIRMATION**  
 DES-OE-0102.13 (NEW 05/2015)

Contract no.: 02-063804  
 Name of DBE business: GR Sundberg Inc.  
 Name of DBE representative: Garth R. Sundberg JR.  
 DBE certification number: 31060  
 Name of bidder: GR Sundberg Inc.  
 Name of prime contractor if different from the bidder: \_\_\_\_\_  
 Name of representative of bidder or prime contractor: Garth R. Sundberg JR.  
 Date: 10/20/2016

Bid item number	Item of work and description of services to be subcontracted or materials to be provided <sup>1</sup>	Amount (\$)
32	Clear and grub	80,000.00
34	Roadway Ex	84,000.00
39	Rubberized HWT Gap graded	425,000.00
3	Traffic Control	150,000.00

<sup>1</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<b>Total</b>	<b>739,000.00</b>
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As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's authorized representative: [Signature]  
 Printed name of DBE's authorized representative: Garth R. Sundberg JR.  
 Title of DBE's authorized representative: President  
 Date: 10/20/2016

# Third Bidder

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: GR Sundberg Inc.  
 Contract No.: 02 4G 3804

1. List items of work the Bidder made available to DBE firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of Work Offered, Services Offered, or Materials Supplied	Bidder Normally Performs Item Yes/No	Item Broken Down to Facilitate Participation Yes/No	Established Flexible Timeframes for Performance and Delivery Schedules Yes/No	Amount (\$)	Percentage of Total Bid
N/A	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
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	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		



4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

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6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

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7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

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8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

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9. Include additional data to support a demonstration of good faith efforts.

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**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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# Third Bidder

02



**Caltrans**®

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

## FORMS FOR BID

FOR CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY AT AND NEAR  
SALYER FROM HUMBOLDT COUNTY LINE TO 0.6 MILE EAST OF GRAY CREEK ROAD

In District 02 On Route 299

Under

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*Notice to Bidders and Special Provisions* dated September 12, 2016

*Standard Specifications* dated 2010

Project plans approved June 15, 2016

*Standard Plans* dated 2010

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Applicable to

Electronic *Bid* book dated September 12, 2016

Identified by

Contract No. 02-4G3804

02-Tri-299-0.0/8.3

Project ID 0214000006

Federal-Aid Project

ACST-P299(198)E