

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

<sup>2</sup> B-4

CONSTRUCTION ON STATE HIGHWAY IN  
SISKIYOU COUNTY NEAR HORN BROOK FROM 0.3 MILE SOUTH OF KLAMATH RIVER ROAD  
UNDERCROSSING TO 0.5 MILE NORTH OF KLAMATH RIVER ROAD UNDERCROSSING  
In District 02 on Route 5

UNDER

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Notice to Bidders and Special Provisions dated September 26, 2016  
Project plans approved June 15, 2016  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 02-4F58U4

02-Sis-5-R57.8/R58.5

Project ID 0215000021

FEDERAL-AID PROJECT  
ACIM-005-9(176)E

Bids open Tuesday, November 1, 2016

Dated September 26, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4F58U4 Project ID: 0215000021

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder J.F. Shea Construction, Inc.

Business Street Address P.O. Box 494519

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Redding, CA, 96049

Telephone No: AREA CODE 530-246-4292

Fax No: AREA CODE 530-246-9940

Contractor License No. 769989

Public Works Contractor Registration No. 1000001536

Checksum DC2D0AF6

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
10-19-16 Revise Bid Book, Federal Minimum Wages, Project Plans, Notice to Bi
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Ed Kernaghan AND

TITLE OF PERSON SIGNING: Vice President

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BY (Authorized signature)

DATE SIGNED( Do not type)

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	2,800.00
2	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	8,300.00
3	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	85,000.00
4	120149	TEMPORARY PAVEMENT MARKING (PAINT)	510.0 SQFT	7.20	3,672.00
5	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	16,000.0 LF	1.32	21,120.00
6	120165	CHANNELIZER (SURFACE MOUNTED)	260.0 EA	38.50	10,010.00
7	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	23,000.00
8	129000	TEMPORARY RAILING (TYPE K)	8,940.0 LF	14.66	131,060.40
9	032224	TEMPORARY CRASH CUSHION SYSTEM	4.0 EA	6,800.00	27,200.00
10	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	16,000.00
11	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	600.00
12	130620	TEMPORARY DRAINAGE INLET PROTECTION	3.0 EA	740.00	2,220.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130640	TEMPORARY FIBER ROLL	220.0 LF	20.00	4,400.00
14	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	4,755.00
15	140003	ASBESTOS COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	1,550.00
16	032225	ASBESTOS REMOVAL	LUMP SUM LS	LUMP SUM	37,850.00
17	141120	TREATED WOOD WASTE	2,580.0 LB	0.90	2,322.00
18	032226	BIRD EXCLUSION	LUMP SUM LS	LUMP SUM	100,000.00
19	032227	RUMBLE STRIP PATCH (CONCRETE)	52.0 SQYD	221.00	11,492.00
20	150661	REMOVE GUARDRAIL	220.0 LF	12.00	2,640.00
21	150711	REMOVE PAINTED TRAFFIC STRIPE	1,600.0 LF	1.45	2,320.00
22	150712	REMOVE PAINTED PAVEMENT MARKING	504.0 SQFT	9.00	4,536.00
23	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	7,650.0 LF	2.50	19,125.00
24	150722	REMOVE PAVEMENT MARKER	61.0 EA	6.00	366.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4F58U4 Project ID: 0215000021

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	151270	SALVAGE METAL BRIDGE RAILING	4,606.0 LF	1.00	4,606.00
26	151573	RECONSTRUCT GUARDRAIL	510.0 LF	26.50	13,515.00
27	032228	RECONSTRUCT GUARDRAIL (9' POST)	75.0 LF	90.00	6,750.00
28	032229	RECONSTRUCT TERMINAL SYSTEM	2.0 EA	3,006.00	6,012.00
29	032230	RECONSTRUCT TRANSITION RAILING	7.0 EA	4,090.00	28,630.00
30	032231	RECONSTRUCT END ANCHOR (TYPE SFT)	2.0 EA	902.00	1,804.00
31	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	200.0 SQYD	50.50	10,100.00
32	153221	REMOVE CONCRETE BARRIER	25.0 LF	295.00	7,375.00
33	153225	PREPARE CONCRETE BRIDGE DECK SURFACE	5,245.0 SQFT	1.00	5,245.00
34	153227	FURNISH POLYESTER CONCRETE OVERLAY	437.0 CF	103.00	45,011.00
35 (F)	153228	PLACE POLYESTER CONCRETE OVERLAY	5,245.0 SQFT	2.60	13,637.00
36	045560	CARBON FIBER REINFORCED POLYMER STRIP (DECK)	11,131.0 LF	30.00	333,930.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4F58U4 Project ID: 0215000021

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	045561	CARBON FIBER REINFORCED POLYMER SHEET (EXTERIOR)	9,100.0 SQFT	11.45	104,195.00
38	045562	CARBON FIBER REINFORCED POLYMER SHEET (INTERIOR)	3,803.0 SQFT	12.00	45,636.00
39	153531	ACCESS OPENING, SOFFIT	16.0 EA	2,000.00	32,000.00
40	157561	BRIDGE REMOVAL (PORTION), LOCATION A	LUMP SUM LS	LUMP SUM	195,000.00
41	157562	BRIDGE REMOVAL (PORTION), LOCATION B	LUMP SUM LS	LUMP SUM	545,000.00
42	170101	DEVELOP WATER SUPPLY	LUMP SUM LS	LUMP SUM	9,600.00
43	260203	CLASS 2 AGGREGATE BASE (CY)	7.0 CY	705.00	4,935.00
44	390132	HOT MIX ASPHALT (TYPE A)	68.0 TON	935.00	63,580.00
45	390136	MINOR HOT MIX ASPHALT	4.0 TON	1,366.00	5,464.00
46	032233	SHOULDER RUMBLE STRIP (HMA, GROUND-IN INDENTATIONS)	9.0 STA	288.00	2,592.00
47	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	240.0 LF	38.54	9,249.60
48	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	10.0 SQYD	475.00	4,750.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	032234	SHOULDER RUMBLE STRIP (CONCRETE PAVEMENT, GROUND-IN INDENTATIONS)	12.0 STA	360.00	4,320.00
50	032232	RAIL ELEMENT WALL	100.0 SQFT	60.00	6,000.00
51(F)	510053	STRUCTURAL CONCRETE, BRIDGE	7.0 CY	4,200.00	29,400.00
52(F)	510054	STRUCTURAL CONCRETE, BRIDGE (POLYMER FIBER)	325.0 CY	886.00	287,950.00
53(F)	510072	STRUCTURAL CONCRETE, BARRIER SLAB	53.0 CY	1,555.00	82,415.00
54	510081	AGGREGATE BASE (APPROACH SLAB)	27.0 CY	1,355.00	36,585.00
55	510087	STRUCTURAL CONCRETE, APPROACH SLAB (TYPE R)	258.0 CY	1,010.00	260,580.00
56	511106	DRILL AND BOND DOWEL	360.0 LF	80.00	28,800.00
57	511118	CLEAN EXPANSION JOINT	197.0 LF	55.00	10,835.00
58	045563	NEOPRENE GLAND (WABO STRIP SEAL SE-400)	41.0 LF	410.00	16,810.00
59	045564	NEOPRENE GLAND (WABO STRIP SEAL SE-500)	122.0 LF	225.00	27,450.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	045565	FABRICATED STRIP SEAL (WABO TYPE M)	4.0 LF	2,150.00	8,600.00
61	045566	FABRICATED STRIP SEAL (WABO TYPE R)	4.0 LF	2,500.00	10,000.00
62	519081	JOINT SEAL (MR 1/2")	176.0 LF	35.00	6,160.00
63	519100	JOINT SEAL (MR 2")	42.0 LF	490.00	20,580.00
64(F)	520110	BAR REINFORCING STEEL (EPOXY COATED) (BRIDGE)	100,448.0 LB	1.50	150,672.00
65(F)	045567	BAR REINFORCING STEEL (STAINLESS STEEL)	1,859.0 LB	6.00	11,154.00
66	690106	8" CORRUGATED STEEL PIPE DOWNDRAIN (.079" THICK)	60.0 LF	83.00	4,980.00
67	692003	8" ENTRANCE TAPER	2.0 EA	2,200.00	4,400.00
68	692303	8" ANCHOR ASSEMBLY	5.0 EA	855.00	4,275.00
69	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	2.0 CY	2,275.00	4,550.00
70	820134	OBJECT MARKER (TYPE P)	4.0 EA	90.00	360.00
71	832016	MIDWEST GUARDRAIL SYSTEM (7' POST)	220.0 LF	42.00	9,240.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72	839581	END ANCHOR ASSEMBLY (TYPE SFT)	2.0 EA	1,500.00	3,000.00
73	839701	CONCRETE BARRIER (TYPE 60)	13.0 LF	1,115.00	14,495.00
74(F)	839725	CONCRETE BARRIER (TYPE 736)	4,733.0 LF	150.00	709,950.00
75	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	14,600.0 LF	0.78	11,388.00
76	850111	PAVEMENT MARKER (RETROREFLECTIVE)	230.0 EA	16.00	3,680.00
77	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	2,400.00
78	872130	MODIFYING EXISTING ELECTRICAL SYSTEM	LUMP SUM LS	LUMP SUM	68,000.00
79	BLANK		LUMP SUM LS	LUMP SUM	0.00
80	090100	TIME RELATED OVERHEAD (WDAY)	340.0 WDAY	600.00	204,000.00
81	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	296,000.00
		Total Bid			\$4,359,984.00

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 1) Business Name Northern Industrial Electric  
Location City Redding State CA  
California Contractor License Number 291649  
Public Works Contractor Registration Number 1000008129  
Portion of Work Subcontracted:

Item	%	Description
77	100	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONST
78	100	MODIFYING EXISTING ELECTRICAL SYSTEM

List this sub? Yes

- 2) Business Name S.T. Rhoades Construction, Inc.  
Location City Redding State CA  
California Contractor License Number 930684  
Public Works Contractor Registration Number 1000008025  
Portion of Work Subcontracted:

Item	%	Description
44	100	HOT MIX ASPHALT (TYPE A)
48	100	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)
81	4.3	MOBILIZATION - Partial

List this sub? Yes

- 3) Business Name Apply-A-Line, Inc.  
Location City Anderson State CA  
California Contractor License Number 562762  
Public Works Contractor Registration Number 1000003300  
Portion of Work Subcontracted:

Item	%	Description
1	48	LEAD COMPLIANCE PLAN - Partial
4	100	TEMPORARY PAVEMENT MARKING (PAINT)
5	100	TEMPORARY TRAFFIC STRIPE (PAINT)
6	100	CHANNELIZER (SURFACE MOUNTED)
21	100	REMOVE PAINTED TRAFFIC STRIPE

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 4) Business Name Apply-A-Line, Inc.  
Location City Anderson State CA  
California Contractor License Number 562762  
Public Works Contractor Registration Number 1000003300  
Portion of Work Subcontracted:

Item %	Description
22 100	REMOVE PAINTED PAVEMENT MARKING
23 100	REMOVE THERMOPLASTIC TRAFFIC STRIPE
24 100	REMOVE PAVEMENT MARKER
46 100	SHOULDER RUMBLE STRIP (HMA, GROUND-IN INDENTATIONS)
49 100	SHOULDER RUMBLE STRIP (CONCRETE PAVEMENT, GROUND-IN INDENTATIONS)

List this sub? Yes

- 5) Business Name Apply-A-Line, Inc.  
Location City Anderson State CA  
California Contractor License Number 562762  
Public Works Contractor Registration Number 1000003300  
Portion of Work Subcontracted:

Item %	Description
75 100	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)
76 100	PAVEMENT MARKER (RETROREFLECTIVE)
81 1.4	MOBILIZATION - Partial

List this sub? Yes

- 6) Business Name JON K TAKATA CORPORATION DBA: Restoration Management Compan  
Location City Hayward State CA  
California Contractor License Number 765595  
Public Works Contractor Registration Number 1000008793  
Portion of Work Subcontracted:

Item %	Description
16 43	ASBESTOS REMOVAL - Partial

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

7) Business Name ASPHALT DIKE CONSTRUCTION INC DBA: APCO  
Location City Goshen State CA  
California Contractor License Number 283095  
Public Works Contractor Registration Number 1000004858  
Portion of Work Subcontracted:

Item	%	Description
47	100	PLACE HOT MIX ASPHALT DIKE (TYPE F)

List this sub? Yes

8) Business Name T and S DVBE, Inc.  
Location City Anderson State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 1000007688  
Portion of Work Subcontracted:

Item	%	Description
2	100	CONSTRUCTION AREA SIGNS
3	100	TRAFFIC CONTROL SYSTEM
7	100	PORTABLE CHANGEABLE MESSAGE SIGN
9	66	TEMPORARY CRASH CUSHION SYSTEM - Partial

List this sub? Yes

9) Business Name Dirt & Aggregate Interchange, Inc.  
Location City Fairview State OR  
California Contractor License Number 507828  
Public Works Contractor Registration Number 1000010905  
Portion of Work Subcontracted:

Item	%	Description
17	100	TREATED WOOD WASTE
20	100	REMOVE GUARDRAIL
26	100	RECONSTRUCT GUARDRAIL
27	100	RECONSTRUCT GUARDRAIL (9' POST)
28	100	RECONSTRUCT TERMINAL SYSTEM

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

10) Business Name Dirt & Aggregate Interchange, Inc.  
Location City Fairview State OR  
California Contractor License Number 507828  
Public Works Contractor Registration Number 1000010905  
Portion of Work Subcontracted:

Item	%	Description
29	100	RECONSTRUCT TRANSITION RAILING
30	100	RECONSTRUCT END ANCHOR (TYPE SFT)
50	100	RAIL ELEMENT WALL
71	100	MIDWEST GUARDRAIL SYSTEM (7' POST)
72	100	END ANCHOR ASSEMBLY (TYPE SFT)

List this sub? Yes

11) Business Name Dirt & Aggregate Interchange, Inc.  
Location City Fairview State OR  
California Contractor License Number 507828  
Public Works Contractor Registration Number 1000010905  
Portion of Work Subcontracted:

Item	%	Description
81	2.4	MOBILIZATION - Partial

List this sub? Yes

12) Business Name FD Thomas, Inc.  
Location City Oakland State CA  
California Contractor License Number 610403  
Public Works Contractor Registration Number 1000000093  
Portion of Work Subcontracted:

Item	%	Description
36	100	CARBON FIBER REINFORCED POLYMER STRIP (DECK)
37	100	CARBON FIBER REINFORCED POLYMER SHEET (EXTERIOR)
38	100	CARBON FIBER REINFORCED POLYMER SHEET (INTERIOR)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
Contract No.: 02-4F58U4 Project ID: 0215000021  
Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972  
DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

13) Business Name Camblin Steel Service, Inc.  
Location City Rocklin State CA  
California Contractor License Number 218839  
Public Works Contractor Registration Number 1000003852  
Portion of Work Subcontracted:

Item	%	Description
53	11.8	STRUCTURAL CONCRETE, BARRIER SLAB
55	30.3	STRUCTURAL CONCRETE, APPROACH SLAB (TYPE R)
64	100	BAR REINFORCING STEEL (EPOXY COATED) (BRIDGE)
65	100	BAR REINFORCING STEEL (STAINLESS STEEL)
74	16.66	CONCRETE BARRIER (TYPE 736)



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 11/01/16 Bidder's Name: Ed Kernaghan \* J.F. Shea Construction, Inc.

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4F58U4 Project ID: 0215000021

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4F58U4 Project ID: 0215000021

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4F58U4 Project ID: 0215000021

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name: Ed Kernaghan \* J.F. Shea Construction, Inc.

Title: Vice President

Telephone No.: 530-246-4292

DATE: 11/1/2016

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder J.F. Shea Construction, Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Vice President of

J.F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_  
\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN SISKIYOU COUNTY NEAR HORN BROOK FROM 0.3 MILE SOUTH OF KLAMATH RIVER ROAD UNDERCROSSING TO 0.5 MILE NORTH OF KLAMATH RIVER ROAD UNDERCROSSING In District 02 on Route 5 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 11-01-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

Address of Surety: \_\_\_\_\_

(for correspondence or claims)

By \_\_\_\_\_

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

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State of California, Department of Transportation - Chris Rice

Live chat by LivePerson

**Bond Number: SCA16230734**

**Contractor Information**

**Principal:** J. F. Shea Construction, Inc. 530-246-4292  
**Address:** P. O. Box 494519 Redding California 96049 United States  
**Contractor's State Vendor ID Number:** VC0000022972

**Owner/Obligee Information**

**Bond Form:** Bid Bond in accordance with Contract Specifications  
**Owner / Obligee:** State of California, Department of Transportation  
**Address:** 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California 95816 United States

**Bond Information**

**Surety:** Liberty Mutual Insurance Company

**Rider Present:** [Click here to view](#)

**Amount of Bid Security:** 10%

**Contract ID Number:** 02-4F58U4

**Description of Job:** Br strengthening, Barrier Rail, HMA, Polyester Conc, Approach Slabs. CONSTRUCTION ON STATE HIGHWAY IN SISKIYOU COUNTY NEAR HORN BROOK FROM KLAMATH RIVER ROAD UNDERCROSSING TO 0.4 MILE NORTH OF KLAMATH RIVER ROAD UNDERCROSSING. District-County-Route-Post Mile: 02-Sis-5-R57.8/R58.5.

[View AMBest Information](#)   [View Surety Profile](#)   [Treasury List](#)

**Primary Agency:**

Aon Risk Insurance Services West, Inc.  
 Power of Attorney Limited to: No Stated Limit

**Executed**

**Executed By:**

Simone Gerhard - 10/19/2016 2:53:24 PM ET  
 Phone: 213-630-1386  
 Email: simone.gerhard@aon.com

Know all men by these presents that Liberty Mutual Insurance Company, a Corporation duly organized under the laws of the State of Massachusetts, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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