

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

CONSTRUCTION ON STATE HIGHWAY IN  
TRINITY COUNTY NEAR DOUGLAS CITY AT 0.3 MILE WEST OF GLENNISON GAP ROAD AND  
AT 0.9 MILE EAST OF GLENNISON GAP ROAD  
In District 02 on Route 299

UNDER

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Notice to Bidders and Special Provisions dated January 6, 2014  
Project plans approved September 9, 2013  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 02-4E6104

02-Tri-299-48.3, 49.5

Project ID 0212000002

FEDERAL-AID PROJECT  
ER-19A3(004)E

Bids open Wednesday, January 29, 2014

Dated January 6, 2014

AADD

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6104 Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction  
Contract No.:  
Name of Bidder: Robert J. Frank Construction  
Business P.O. Box:  
City, State, Zip: Redding, Ca, 96003  
Business Street Address: 2806 Tarmac Road  
Telephone Number: 530-222-1408  
Fax Number: 530-222-1408  
Contractor License Number:520207

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STATE OF CALIFORNIA ♦ DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION

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1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
01-16-14 Revise Federal Wage Rate
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

By:Robert J. Frank Title:President/Secretary  
Bidder ID VC0000013860 Checksum 008E5FC8



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

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| Item No.                                     | Item Code | Item Description                        | Unit Of Meas | Quant    | Unit Price |          | Item Total |           |
|----------------------------------------------|-----------|-----------------------------------------|--------------|----------|------------|----------|------------|-----------|
|                                              |           |                                         |              |          | Dollars    | Cts      | Dollars    | Cts       |
| Section 1                      BID ITEM LIST |           |                                         |              |          |            |          |            |           |
| 1                                            | 070030    | LEAD COMPLIANCE PLAN                    |              | LUMP SUM |            | LUMP SUM |            | 1,000.00  |
| 2                                            | 120090    | CONSTRUCTION AREA SIGNS                 |              | LUMP SUM |            | LUMP SUM |            | 1,000.00  |
| 3                                            | 120100    | TRAFFIC CONTROL SYSTEM                  |              | LUMP SUM |            | LUMP SUM |            | 10,000.00 |
| 4                                            | 120165    | CHANNELIZER (SURFACE MOUNTED)           | EA           | 35.0     |            | 60.00    |            | 2,100.00  |
| 5                                            | 128652    | PORTABLE CHANGEABLE MESSAGE SIGN (LS)   |              | LUMP SUM |            | LUMP SUM |            | 2,000.00  |
| 6                                            | 130100    | JOB SITE MANAGEMENT                     |              | LUMP SUM |            | LUMP SUM |            | 2,000.00  |
| 7                                            | 130200    | PREPARE WATER POLLUTION CONTROL PROGRAM |              | LUMP SUM |            | LUMP SUM |            | 1,200.00  |
| 8                                            | 141000    | TEMPORARY FENCE (TYPE ESA)              | LF           | 190.0    |            | 5.00     |            | 950.00    |
| 9                                            | 141120    | TREATED WOOD WASTE                      | LB           | 500.0    |            | 1.00     |            | 500.00    |
| 10                                           | 150771    | REMOVE ASPHALT CONCRETE DIKE            | LF           | 170.0    |            | 5.00     |            | 850.00    |

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| Item No. | Item Code | Item Description                         | Unit Of Meas | Quant    | Unit Price |     | Item Total |     |
|----------|-----------|------------------------------------------|--------------|----------|------------|-----|------------|-----|
|          |           |                                          |              |          | Dollars    | Cts | Dollars    | Cts |
| 11       | 150809    | REMOVE CULVERT (LF)                      | LF           | 75.0     | 20.00      |     | 1,500.00   |     |
| 12       | 150820    | REMOVE INLET                             | EA           | 1.0      | 500.00     |     | 500.00     |     |
| 13       | 026833    | RESET GUARDRAIL                          | LF           | 170.0    | 30.00      |     | 5,100.00   |     |
| 14       | 152604    | MODIFY INLET                             | EA           | 1.0      | 2,000.00   |     | 2,000.00   |     |
| 15       | 190101    | ROADWAY EXCAVATION                       | CY           | 10.0     | 60.00      |     | 600.00     |     |
| 16       | 194001    | DITCH EXCAVATION                         | CY           | 80.0     | 120.00     |     | 9,600.00   |     |
| 17       | 210210    | EROSION CONTROL (DRY SEED) (SQFT)        | SQFT         | 20,600.0 | 0.20       |     | 4,120.00   |     |
| 18       | 210280    | ROLLED EROSION CONTROL PRODUCT (BLANKET) | SQFT         | 20,600.0 | 0.87       |     | 17,922.00  |     |
| 19       | 390136    | MINOR HOT MIX ASPHALT                    | TON          | 2.2      | 500.00     |     | 1,100.00   |     |
| 20       | 394077    | PLACE HOT MIX ASPHALT DIKE (TYPE F)      | LF           | 170.0    | 52.00      |     | 8,840.00   |     |



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SUBCONTRACTOR LIST

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The bidder must identify each subcontractors performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater. (Pub Cont Code ♦ 4100 et seq.) Complete business name, location, and description of subcontracted work and submit with the bid. Complete bid item numbers and percentage of bid item subcontracted and submit with the bid, or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in all areas within the time specified will result in a nonresponsive bid.

List this sub? Yes

1) Business Name:Nitta Erosion Control

City:Loomis State:CA

Description of Subcontracted Work:

Apply erosion control dry seed and install rolled erosion control product

Bid Item Numbers:

17

Percentages of Bid items Subcontracted:

75

Bid Item Numbers:

18

Percentages of Bid items Subcontracted:

77

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

2) Business Name:A.C. Dike Co.

City:Lincoln State:CA

Description of Subcontracted Work:

Place only type F HMA dike

Bid Item Numbers:

20

Percentages of Bid items Subcontracted:

77

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

3) Business Name: Basilio Leal Trucking

City: Shasta Lake City State: CA

Description of Subcontracted Work:

Semi end dump for hauling of RSP

Bid Item Numbers:

23, 24

Percentages of Bid items Subcontracted:

1.7

Bid Item Numbers:

Percentages of Bid items Subcontracted:



STATE OF CALIFORNIA  
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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:        Name:

STATE OF CALIFORNIA  
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SMALL BUSINESS STATUS

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :0005721

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA  
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CALTRANS BIDDER - DBE - COMMITMENT

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IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed must be consistent, where applicable, with the names and items of work in the Subcontractor List. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.

Bid Item No.

17

Item of work and description of services to be subcontracted or materials to be provided.

Apply Erosion Control (Dry Seed)

Name of DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number)

(Indicate 2nd and lower tier subcontractors)

Nita Erosion Control Caltrans Certification #217

3778 Del Mar Avenue, Loomis, CA 95650

916-652-7459

Dollar amount DBE 3,090.00

Bid Item No.

18

Item of work and description of services to be subcontracted or materials to be provided.

Install rolled erosion control product (blanket)

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number)

(Indicate 2nd and lower tier subcontractors)

Nita Erosion Control Caltrans Certification #217

3778 Del Mar Avenue, Loomis, CA 95650

916-652-7459

Dollar amount DBE 13,802.00

STATE OF CALIFORNIA  
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Contract No.: 02-4E6104 Project ID: 0212000002  
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CALTRANS BIDDER - DBE - COMMITMENT (continued)

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Bid Item No.

21, 22

Item of work and description of services to be subcontracted or materials to be provided.

Supply 18" 10 GA CSP, bands and 3.5 LF of 36" 10GA OMP Inlet

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Sam's Equipment and Supplies Caltrans Certification #34479

5656 Columbia Drive, Fresno, CA 93727

559-252-0354

Dollar amount DBE 3,001.50

Bid Item No.

8, 25

Item of work and description of services to be subcontracted or materials to be provided.

Supply Temp. ESA Fence and RSP Fabric Class 8

Name of DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Sam's Equipment and Supplies Caltrans Certification #34479

5656 Columbia Drive, Fresno, CA 93727

559-252-0354

Dollar amount DBE 1,480.28

Bid Item No.

20

Item of work and description of services to be subcontracted or materials to be Place only 170 LF of Type F HMA Dike

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

A. C. Dike Co. Caltrans Certification # 000219

2788 Ventura Drive, Lincoln, CA 95648

916-652-0159

Dollar amount DBE 6,800.00

Bid Item No.

23, 24

Item of work and description of services to be subcontracted or materials to be Hauling of RSP No.2 Method b and 1/2 ton method B with Semi End Dump

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Basilio Leal Trucking Caltrans Certification #7692

PO Box 71017, Shasta Lake, CA 96079-1017

530-243-0914

Dollar amount DBE 4,480.00



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CALTRANS BIDDER - DBE - COMMITMENT (continued)

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Bid Item No.  
Item of work and description of services to be subcontracted or materials to be provided.

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.  
Item of work and description of services to be subcontracted or materials to be provided.

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.  
Item of work and description of services to be subcontracted or materials to be

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.  
Item of work and description of services to be subcontracted or materials to be

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

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Signature of Bidder \_\_\_\_\_ Date \_\_\_\_\_

STATE OF CALIFORNIA  
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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 1 OF 3

1. List items of work the Bidder made available to DBE firms. Identify those items of work the Bidder might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

STATE OF CALIFORNIA  
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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 1 OF 3 (cont'd)

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

STATE OF CALIFORNIA  
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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 2 OF 3

- List the names of certified DBE and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBE were interested. Submit copies of solicitations, telephone records, fax confirmations, etc.

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

STATE OF CALIFORNIA  
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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 2 OF 3 (cont'd)

3. For each item of work made available, list the selected firm and its status as a DBE, the DBE that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :

DBE or Non-DBE :

Name of Rejected Firm :

Quote : Price Difference :

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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 3 OF 3

4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Submit copies of published advertisements or proofs of publication.

Publication :  
Dates of Advertisement :

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 3 OF 3 (cont'd)

6. List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Submit copies of supporting documents.
  
7. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.
  
8. Include additional data to support a demonstration of good faith efforts. Submit additional information if necessary.

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FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

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This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6104 Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

DISCLOSURE OF LOBBYING ACTIVITIES

---

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
  - For Material Change Only
  - Year:
  - Quarter:
  - Date of last report:
4. Name and Address of Reporting Entity:
  - Tier: ,if known
  - Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:
  - Congressional District, if known:
6. Federal Department/Agency:
7. Federal Program Name/Description:
  - CFDA Number, if applicable:
8. Federal Action Number, if known:
9. Award amount, if known:
- 10 a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
- b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)
- 11 Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.  
  
Name:  
Title:  
Telephone No.:  
DATE:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6104  
Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

CERTIFICATIONS

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

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Bidder Robert J. Frank Construction Inc., proposed subcontractor Nitta Erosion C certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5 (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6104 Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

CERTIFICATIONS (continued)

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

---

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6104 Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

CERTIFICATIONS (continued)

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

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NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of :Shasta

Name: Robert J. Frank,

being first duly sworn, deposes and says that he or she is

Company Title: President/Secretary of

Company Name: Robert J. Frank Construction Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid."

Contract No.: 02-4E6104 Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

CERTIFICATIONS (continued)

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
  2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.
- 

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

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VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

Explanation:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6104                      Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

CERTIFICATIONS (continued)

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

Explanation:

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document entitled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

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Contract No.: 02-4E6104 Project ID: 0212000002  
Bidder: VC0000013860 - Robert J. Frank Construction

CERTIFICATIONS (continued)

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BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer is to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

Explanation:

END CERTIFICATIONS



State of California, Department of Transportation - Chris Rice



Live chat by LivePerson

**Bond Number: SCA14548376**

**Contractor Information**

**Principal:** Robert J. Frank Construction Inc. 530-222-1408  
**Address:** 2806 Tarmac Rd Redding California 96003 United States  
**Contractor's State Vendor ID Number:** VC0000013860

**Owner/Obligee Information**

**Bond Form:** Bid Bond in accordance with Contract Specifications  
**Owner / Obligee:** State of California, Department of Transportation  
**Address:** 1727 30th Street, Bidders` Exchange, MS 26 Sacramento California 95816 United States

**Bond Information**

**Surety:** Great American Insurance Company

**Rider Present:** [Click here to view](#)

**Amount of Bid Security:** 10%

**Contract ID Number:** 02-4E6104

**Description of Job:** Place RSP and modify drainage

[View AMBest Information](#) [View Surety Profile](#) [Treasury List](#)

**Primary Agency:**

Nor Cal Pacific Insurance Services  
Power of Attorney Limited to: \$100,000,000

**Executed**

**Executed By:**

Colleen McHugh - 1/23/2014 12:57:15 PM ET   
Phone: 530-221-2300  
Email: tina-baughman@norcalpacific.com

Know all men by these presents that Great American Insurance Company, a Corporation duly organized under the laws of the State of Ohio, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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[Privacy Policy](#)

BIDDER'S BOND  
State of California - Department of Transportation

Contract No. 02-4E6104

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY NEAR DOUGLAS CITY AT 0.3 MILE WEST OF GLENNISON GAP ROAD AND AT 0.9 MILE EAST OF GLENNISON GAP ROAD In District 02 on Route 299 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 01-29-14

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

By: \_\_\_\_\_

Attorney-in-Fact

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Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature \_\_\_\_\_

Signature of Notary Public

(SEAL)