low bidder

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

CERTIFIED DVBE SUMMARY

DES-OE-0102.5 (REV 3/2008)

Second Bidder

DISTRICT-COUNTY-ROUTE: 01 - Hum - 211-73.2/74.0

CONTRACT NO.: 01-0B8604

04-19-13P01:51 RCVD

TOTAL BID: $ 215,810.00

BID OPENING DATE: Wednesday April 17, 2013

BIDDER'S NAME: RAO Construction Co., Inc.

DVBE PRIME CONTRACTOR CERTIFICATION [1]

Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE [2]	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	$ Amount
	Trucking		DVBE Trucking & Construction Co., Inc. (408) 971-4430 # 41891	$ 10,200.00
	Lead Compliance Plan		Aurora Industrial Hygiene, Inc. (626) 403-4104 # 16230	$ 600.00

Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.

Total Claimed Participation	$ 10,800.00
	5 %

1. DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).

2. If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Signature of Bidder — Date: 4-16-13

(707) 443-2118
(Area Code) Telephone Number

Randy Olson
Contact Person (Type or Print)

Submit to:

MSC 43
OFFICE ENGINEER
DEPARTMENT OF TRANSPORTATION
1727 30TH STREET
SACRAMENTO, CA 95816-7005

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-69, Sacramento, CA 95814.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

CERTIFIED SMALL BUSINESS LISTING FOR THE NON–SMALL BUSINESS PREFERENCE

DES-OE-0102.8 (REV 2/2011)

BIDDER NAME RAO Construction Co., Inc. **CONTRACT NO.** 01 - 0B8604

List the description of work, name, telephone number, certification number, and dollar amount of each certified small business who will be employed on this project. Failure to provide this information may be cause for denial of the non-small business subcontractor preference. Attach additional sheets if necessary.

Submit to:

MSC 43
OFFICE ENGINEER
DEPARTMENT OF TRANSPORTATION
1727 30TH STREET
SACRAMENTO, CA 95816-7005

Bid Item Number	Description of Work, Service, or Materials	Certified Small Business (Name, Telephone No., and Certification No.)	$ Amount
10	Trucking	DVBE Trucking & Construction Co., Inc. (408) 971-4430 #41891	$10,200.00
1	Lead Compliance Plan	Aurora Industrial Hygiene, Inc. (626) 403-4104 #17774	$ 600.00
7	Water Pollution Control Program	Ashbaugh Consulting, Inc. (714) 895-3782 #1403260	$ 500.00

Randy Olson
Person to Contact (Please Type or Print)

(707) 499-2644
(Area Code) Telephone Number

Total Claimed Participation $ 11,300.00

5.2 % of Contract

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

Aurora
INDUSTRIAL HYGIENE

April 16, 2013

RAO Construction Co., Inc.
P.O. Box 28
Cutten, CA 95534

SUBJECT: Contract No. 01-0B8604

To Whom It May Concern,

Thank you for your interest in Aurora Industrial Hygiene, Inc. (Aurora). We are a small, woman-owned, disadvantaged, and disabled veteran owned business (DBE-UDBE, WBE and DVBE). Based upon the information provided, it is my understanding that you would like pricing for a Lead Compliance Plan for work involving disturbance of aerially deposited lead. Costs for lead monitoring and lead training are included and can be provided if requested. The following is a scope of work and quotation for services:

Aurora can provide the following:

1. A Certified Industrial Hygienist will write a site specific lead compliance plan.
2. Aurora can provide lead awareness training if requested.
3. Daily rates for providing air monitoring/sampling for lead dust monitoring. Travel rates are also provided in this document.

Fee Schedule:

1) Lead Compliance Plan	$ 600.00
2) Training – Lead Awareness	$ 600.00
3) Lead Air Monitoring (8-hr shift)	$ 600/8-hr shift
4) Laboratory[1]	
a. Lead in Air (AA)	$ 14/sample
b. Lead in Air (GF)	$ 65/sample
c. Lead in soil	$ 24/sample
5) Documentation	$ 400/report
6) Asbestos Compliance Plan	$ 600.00

Conditions:

a. Aurora is not responsible for laboratory delays.
b. Additional costs for other services, including laboratory analysis which may be requested will be billed at cost +20%.
c. Shift rates are for standard, 8-hr weekday shifts. Overtime rates of 150% will be applied for weekend, holiday, and time after 8 hours/shift.

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1. Laboratory costs are based upon a standard laboratory turnaround time of 5-7 days. Rush analysis is available with a surcharge of 100% for 24 hr analysis and 60% for 48 hour analysis.

9666 Businesspark Av., Ste. 102 San Diego, CA 92131 ◦ (619) 276-5901 ◦ Fax (619) 276-5904

d. Travel time ($75 per hour) and mileage (at current government rate) apply outside Los Angeles, Orange, or San Diego Counties.
e. Invoices are payable within 30 days of receipt. Invoices paid after 30 days are subject to a finance charge computed at a monthly rate of 1.5% (annual percentage rate of 18%). Client is responsible for legal and collection costs if necessary to collect past due amount(s).

All costs are based on Aurora maintaining $2,000,000 in liability insurance, with no special endorsements. If required, the following policy endorsements can be provided at no additional cost: Additional insured, Waiver of Subrogation, Primary and Non Contributing and 30 days notice. Other requirements may result in additional fees.

Also attached for your review and signature, and incorporated herein by reference, are Aurora Industrial Hygiene's Terms and Conditions. If this proposal is satisfactory please sign and email to my attention at kshockley@auroraih.com.

Sincerely,

Grace M Rinck, CIH

The above prices, specifications and conditions are satisfactory and are hereby accepted. You are authorized to do the work as specified. The signature below constitutes a legal and binding contract.

Signature/Date		Printed Name/Title

THENETWORK
Bringing You Government Bids Throughout Southern California

Certified Small Business Enterprise (SBE)

5/20/2011

Account #: 17774
Ms. Rinck
Aurora Industrial Hygiene, Inc.
1132 Mission Street, Suite B
South Pasadena, CA 91030

Dear Ms. Rinck:

Thank you for submitting your Vendor Application seeking Small Business Enterprise (SBE) recognition with *The Network*. Per our evaluation of the information you provided in your application and the North American Industry Classification System (NAICS) codes you identified, your status as a Small Business Enterprise (SBE) has been approved. This certification is recognized by the following agencies:

The Port of Long Beach* ***San Diego County Water Authority****

** There are currently six agencies participating in The Network; however, at the present time, only the Port of Long Beach and San Diego County Water Authority are administering a Small Business Enterprise (SBE) Program.*

The Port of Long Beach is pleased to issue this SBE Certificate subject to the terms and conditions identified below:

NAICS code(s) for which SBE status is recognized: 541611 541612 541613
SBE Certificate Effective Date: 5/17/2011
SBE Certificate Expiration Date: 5/17/2014

Work performed by your firm that falls within the above-mentioned NAICS code(s) will be counted as SBE participation for work performed on contracts procured by the above agencies.

The agencies reserve the right to withdraw this certification if at any time it is determined that certification was knowingly obtained by false, misleading, or incorrect information. The agencies reserve the right to audit all statements. If any firm attempts to falsify or misrepresent information to obtain certification, the firm may be disqualified from participating in any contracts for a period of up to five years.

SBE Certification is valid for a period of three (3) years. To maintain SBE status, firms must update their existing SBE Vendor Application on or before the expiration date mentioned above. All information is subject to verification.

If there are any changes in your status that may impact your certification, you are required to update your account information online. You may view your SBE qualifying information at any time, by logging into your main menu and selecting the "Small Business Certification Form" link.

Sincerely,

Sashi Muralidharan
SBE Administrator, Port of Long Beach

925 Harbor Plaza, P.O. Box 570, Long Beach, CA 90802, Ph. (562) 499-3472, Fax (562) 901-1763, www.polb.com/sbe

Lu burd

To: grinck@auroraih.com
Subject: RE: State of CA Notification Letter

From: CertBounceBack@dgs.ca.gov [mailto:CertBounceBack@dgs.ca.gov]
Sent: Tuesday, September 04, 2012 8:12 AM
To: grinck@auroraih.com
Subject: State of CA Notification Letter

PROCUREMENT DIVISIDN - Small Business & DVBE Services | *State of California* |*State Consumer Services Agency*
707 3rd Street, 1st Floor, Room 400 | West Sacramento, CA 95605 | t 916.375.4940 f 916.375.4950

DGS GENERAL SERVICES

Sep 4, 2012 DV

Supplier #16230
AURORA INDUSTRIAL HYGIENE INC
1132 Mission Street, Suite B
SOUTH PASADENA CA 91030

Dear Business Person:

Congratulations on your Disabled Veteran Business Enterprise (DVBE) certification with the State of California. Your business is now entitled to compete in the State's goal to spend three percent of its ar contracting dollars with DVBE businesses. For more information or to verify certification status, visit www.eprocure.dgs.ca.gov

Certification Period

From Sep 4, 2012 to Sep 30, 2014

Business Types

Service

Conflict of Interest for Current and Former State Employees

Prior to contract award, agencies will assure the vendor is in compliance with Public Contract Code, Sec 10410 et seq. addressing conflict of interest for State employees or former employees.

Annual Submission Requirement

Submit copies of the ENTIRE federal tax return to the Office of Small Business and DVBE Services (OS| addition to the business tax returns, each partner of a partnership business must also submit individual fed returns. Businesses that rent equipment to the State must submit individual federal tax returns for each dis veteran owner within 90 days of the individual's tax return filing due date. If you have been granted a tax extension with the Internal Revenue Service, submit a copy of the extension form and annual financial sta then, submit a copy of the tax return once filed.

Maintaining Your Online Certified Firm Profile

Visit www.eprocure.dgs.ca.gov/default.htm to update your certification profile. You may report changes following: mailing and principal office address; contact information; keywords and service areas; United Standard Products and Services Codes, North American Industry Classification System (applicable only t Manufacturers). This certification may be impacted if you update information beyond the aforementioned report changes by mail, complete a "Certification Information Change" form located at www.documents.dgs.ca.gov/pd/smallbus/certchange.pdf

Certification Renewal

Please complete an online application at www.eprocure.dgs.ca.gov 90 days prior to the expiration date wheth you receive a renewal notice. If you hold dual certifications, SB and DVBE certifications, you must renew bo certifications at the same time. Please contact us at 800.559.5529, 916.375.4940 or by email at OSDSHelp@dgs.ca.gov if you have any questions. Sincerely, Office of Small Business and DVBE Services

BIDSYNC

CALIFORNIA PROFILE

BidSync Supplier Name	Aurora Industrial Hygiene	Supplier Number	16230
Legal Business Name	AURORA INDUSTRIAL HYGIENE INC	DBA Business Name	AURORA INDUSTRIAL HYGIENE
Address	1132 Mission Street, Suite B SOUTH PASADENA, CA 91030	Phone FAX	(626) 403-4104 (562) 988-0010
Email	grinck@auroraih.com		
Web Page	http://www.auroraih.com		
Number of Employees	10		
Business Types	Service		
Service Areas	Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Lake, Lassen, Los Angeles, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, San Benito, San Bernardino, San Diego, San Francisco, San Joaquin, San Luis Obispo, San Mateo, Santa Barbara, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, Yuba,		
Keywords	Industrial hygiene environment consulting assessment technical scientific safety risk survey monitoring air quality indoor asbestos lead mold radon hazardous materials noise hearing conservation confined respiratory protection evaluation training DVBE		
Classifications	811418 - Facilities management		
View Options	View Application		
Edit Options	Amend Application		

Active Certifications

TYPE	STATUS	STATUS DATE	FROM	TO	ACTIONS
DVBE	Approved	Sep 4, 2012	Sep 4, 2012	Sep 30, 2013	
SB (Micro)	Approved	Sep 20, 2011	Sep 20, 2011	Sep 30, 2013	

Certification History

TYPE	STATUS	STATUS DATE	FROM	TO
DVBE	Expired	Sep 4, 2012	Sep 22, 2011	Sep 30, 2012
DVBE	Expired	Oct 1, 2011	May 5, 2010	Sep 30, 2011
SB (Micro)	Expired	Sep 20, 2011	Sep 2, 2009	Sep 30, 2011
SB (Micro)	Expired	Sep 2, 2009	Nov 25, 2008	Nov 30, 2009
SB	Expired	Dec 17, 2007	Dec 17, 2007	Dec 31, 2008
SB	Denied	Dec 11, 2007	Dec 11, 2007	Dec 11, 2007
SB (Micro)	Expired	Dec 11, 2006	Dec 11, 2006	Dec 31, 2007
SB	Expired	Jan 5, 2004	Jan 12, 2004	Dec 31, 2006
SB	Denied	Nov 30, 2003	Nov 30, 2003	Nov 30, 2003
SB	Denied	Sep 30, 2003	Sep 30, 2003	Sep 30, 2003
SB	Expired	Jun 22, 2000	Jul 17, 2000	Jun 30, 2003
SB	Denied	Mar 31, 2000	Mar 31, 2000	Mar 31, 2000

ASHBAUGH CONSULTING, INC.

9151 Parliament Avenue,
Westminster, CA 92683
ph. 714.895.3782 // fx. 714.895.3792
robert@ashbaughconsulting.com

www.AshbaughConsulting.com

SBE (Micro) # 1403260
Bid Ref. No. 01-0B8604
APRIL 16, 2013

Bid Date: 04/17/13
EST. DURATION: 45 WRKG DAYS

SUBJECT: CA SBE (Micro) # 1403260 QUOTATION - CALTRANS # 01-0B8604

01-HUM-211; REPAIR SIDEWALK

***** Acceptance of This Bid is Good for 30 Days from Bid Date. *****

Item 7 > PREPARE WATER POLLUTION CONTROL PROGRAM (WPCP) [1,2,3]
Lump Sum (*Est. 7 Engineering Dwgs)* $500.00

SUPERSCRIPT NOTES

1. Ashbaugh Consulting, Inc. carries the following insurance: Professional Liability $1,000,000 each claim, $2,000,000 aggregate and $1,000,000 general liability each and $2,000,000 aggregate.
   - A onetime Fifty Dollars ($50.00) processing fee will be added to all contracts **requiring insurance endorsements** for General Liability.
   - Insurance endorsements requiring CG2010#### or similar insurance and Primary wording will be charged an additional Three Hundred Fifty Dollars ($350.00) processing fee.
2. Pricing is for a "Generic" Plan developed for scope of work. Ashbaugh Consulting will develop a "generic" plan for this project (up to 7 WPC Drawings), additional work caused by Caltrans or the Agency's lack of available drawings within the bid package or their need for additional site specific drawings/requirements will be priced at $75.00 per hour (approx. 1.0 sheet per hour). Price includes submittal of three (3) copies of the Final WPCP delivered via USPS ground. **This bid does not include any associated filing fees or the design / layout of dewatering, Recycling or Batch Plant BMP systems / drawings.**
   Maintenance of WPCP billing rates will be billed as Time & Material (T&M): T&M will be billed at a standard rate of $75.00/hour for non-Civil Engineering services and $150.00 / hour for Registered Civil Engineering services with all services being billed in ½ hour increments. Additional manuals: billed at $75.00 for the initial manual and $50.00 for each consecutively assembled manual. Additional material / expenditures will be billed at cost plus $50.00 or cost plus 20 percent which ever is greater.
3. Unless specifically stated in this bid proposal the customer will pay for all additional shipping charges at cost plus a 15% handling fee.

Should you have any questions please contact the undersigned,

Robert D. Ashbaugh

Robert D. Ashbaugh
Chief Operations Officer / Estimator

State of California • Department of General Services • Arnold Schwarzenegger, Governor
PROCUREMENT DIVISION
Office of Small Business and DVBE Services
707 Third Street, 1st Floor, Room 400 • PO Box 989052
West Sacramento, California 95798-9052 • (800) 559-5529

~~ASHBAUGH CONSULTING - PROPOSAL - CALTRANS # 01-0B8604~~

SB APP

July 20, 2012

Supplier #1403260
Ashbaugh Consulting
9151 Parliament Avenue
WESTMINSTER CA 92683

Dear Business Person:

Congratulations on your certified small business status with the State of California. Your certification entitles you to benefits under the state's Small Business Participation Program within state contracting, including a five percent bidding preference and special provisions under the Prompt Payment Act.

Certification Period

From July 20, 2012 to July 31, 2014

Business Types

Service

Classifications

601042 - Water testing and conservation and ecology
701715 - Development
721411 - Infrastructure building and surfacing and paving services
761220 - Landfill services
771019 - Pollution investigation services
771216 - Soil pollution
771217 - Water pollution
781021 - Pipeline services
811015 - Civil engineering
831015 - Water and sewer utilities

Proof of Certification Status

General Engineering Contractors
Contractor's License #848175
1217 Dell Ave, Campbell CA 95008
Office 408-971-4430 Fax 408-370-3551

Disabled Veteran Business Enterprise #41891 Small Business Enterprise #41891

Date: 4/16/2013
Attention: Estimator
From: DVBE Construction & Trucking Email: mark@dvbeconstruction.com
Project: **01-0B8604-Repair Sidewalk, Humboldt County**

Hourly Trucking

Equipment Type	Monday – Friday	Saturday/Nights	Sunday
Semi End Dumps	$100.00 per hour	$105.00 per hour	$110.00 per hour
Transfers	$100.00 per hour	$105.00 per hour	$110.00 per hour
10 Wheelers	$90.00 per hour	$95.00 per hour	$99.00 per hour
Bottom Dumps	$100.00 per hour	$105.00 per hour	$110.00 per hour
Super Dumps	$106.00 per hour	$111.00 per hour	$115.00 per hour
Water Trucks:			
2 Axel	$110.00 per hour	$116.00 per hour	$121.00 per hour
3 Axel	$114.00 per hour	$120.00 per hour	$125.00 per hour

Per Diem and Travel Time will apply

Per Diem will be assessed at based on location, $125.00 Per Driver, Per Night.

Travel time for this location will be assessed at the hourly rate portal to portal. Approximate trip time Is 13 hour and 20 min round trip.

Terms and Conditions All night work will be billed at a 6 hour minimum plus clean out if applicable. Projects that require short shifts will be billed at a 6 hour minimum, plus applicable travel time. Open Grade or Rubberized Asphalt requires an additional hour cleanout at the end of each shift. Cancellations: DVBE must be notified at least 24 hours prior to scheduled start time. Anything after will be billed a 4 hour minimum plus applicable travel time. Travel time: unless otherwise specified DVBE Trucks will be compensated at the hourly rate Portal to Portal. Contractor is responsible for any tolls incurred. Per Diem Charges will apply on a per driver per night basis for any jobs that require trucks to remain further than 40 miles from the home station over night. Prevailing Wage: If the Contractor requires that DVBE Trucking and company owned trucks are to be used on the job and prevailing wage is required, the hourly rate will be increase by 35% for Prevailing Wage, and for payroll reporting requirements a nominal fee will apply. If Teamsters are required the hourly rate will increase by the pre negotiated percentage. Prices are good through **Sep**, 2013. There will be a 5% increase annually thereafter. Please sign and Fax back to secure price. This quote is good for 30 days. All payments are due 30 days from the invoice date.

Thank You for the opportunity to do business with your company.

Date **Signature**

STATE OF CALIFORNIA – DEPARTMENT OF GENERAL SERVICES PROCUREMENT DIVISION

DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS

STD. 843 (Rev. 5/2006)

Instructions: The disabled veteran (DV) owner(s) and DV manager(s) of the Disabled Veteran Business Enterprise (DVBE) must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment [Military and Veterans Code Section 999.2]. Violations are misdemeanors and punishable by imprisonment or fine and violators are liable for civil penalties. All signatures are made under penalty of perjury.

SECTION 1

Name of certified DVBE: OVBE Trucking and Construction Co, Inc. DVBE Ref. Number: 41891

Description (materials/supplies/services/equipment proposed): Trucking Services

Solicitation/Contract Number: _____ SCPRS Ref. Number: _____ (FOR STATE USE ONLY)

SECTION 2

APPLIES TO ALL DVBEs. Check only one box in Section 2 and provide original signatures.

☑ I (we) declare that the DVBE is not a broker or agent, as defined in Military and Veterans Code Section 999.2 (b), of materials, supplies, services or equipment listed above. Also, complete Section 3 below if renting equipment.

☐ Pursuant to Military and Veterans Code Section 999.2 (f), I (we) declare that the DVBE is a broker or agent for the principal(s) listed below or on an attached sheet(s). *(Pursuant to Military and Veterans Code 999.2 (e), State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the 3-percent DVBE participation goal.)*

All DV owners and managers of the DVBE **(attach additional pages with sufficient signature blocks for each person to sign)**:

(Printed Name of DV Owner/Manager)	(Signature of DV Owner/ Manager)	(Date Signed)
Herbert R Lavender Jr-Owner		5/1/12

Firm/Principal for whom the DVBE is acting as a broker or agent: _____ (Print or Type Name)
(If more than one firm, list on extra sheets.)

Firm/Principal Phone: _____ Address: _____

SECTION 3

APPLIES TO ALL DVBEs THAT RENT EQUIPMENT AND DECLARE THE DVBE IS NOT A BROKER.

☐ Pursuant to Military and Veterans Code Section 999.2 (c), (d) and (g), I am (we are) the DV(s) with at least 51% ownership of the DVBE, or a DV manager(s) of the DVBE. The DVBE maintains certification requirements in accordance with Military and Veterans Code Section 999 et. seq.

☐ The undersigned owner(s) own(s) at least 51% of the quantity and value of each piece of equipment that will be rented for use in the contract identified above. I (we), the DV owners of the equipment, have submitted to the administering agency my (our) personal federal tax return(s) at time of certification and annually thereafter as defined in *Military and Veterans Code 999.2*, subsections (c) and (g). *Failure by the disabled veteran equipment owner(s) to submit their personal federal tax return(s) to the administering agency as defined in Military and Veterans Code 999.2, subsections (c) and (g), will result in the DVBE being deemed an equipment broker.*

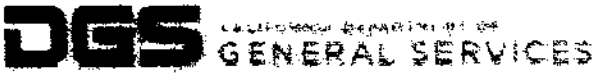
Disabled Veteran Owner(s) of the DVBE **(attach additional pages with signature blocks for each person to sign)**:

(Printed Name)	(Signature)	(Date Signed)

(Address of Owner)	(Telephone)	(Tax Identification Number of Owner)

Disabled Veteran Manager(s) of the DVBE **(attach additional pages with sufficient signature blocks for each person to sign)**:

(Printed Name of DV Manager)	(Signature of DV Manager)	(Date Signed)



Governor Edmund G. Brown Jr.

Apr 30, 2012

DVBE APP

Supplier #41891
DVBE TRUCKING & CONSTRUCTION CO INC
1217 DELL AVE
CAMPBELL CA 95008

Dear Business Person:

Congratulations on your Disabled Veteran Business Enterprise (DVBE) certification with the State of California. Your business is now entitled to compete in the State's goal to spend three percent of its annual contracting dollars with DVBE businesses. For more information or to verify certification status, visit www.eprocure.dgs.ca.gov

Certification Period

From Apr 30, 2012 to Apr 30, 2014

Business Types

Construction
Service

Conflict of Interest for Current and Former State Employees

Prior to contract award, agencies will assure the vendor is in compliance with Public Contract Code, Section 10410 et seq. addressing conflict of interest for State employees or former employees.

Annual Submission Requirement

Submit copies of the ENTIRE federal tax return to the Office of Small Business and DVBE Services (OSDS). In addition to the business tax returns, each partner of a partnership business must also submit individual federal tax returns. Businesses that rent equipment to the State must submit individual federal tax returns for each disabled veteran owner within 90 days of the individual's tax return filing due date. If you have been granted a tax filing extension with the Internal Revenue Service, submit a copy of the extension form and annual financial statements; then, submit a copy of the tax return once filed.

Maintaining Your Online Certified Firm Profile

Visit www.eprocure.dgs.ca.gov/default.htm to update your certification profile. You may report changes to the following: mailing and principal office address; contact information; keywords and service areas; United Nations Standard Products and Services Codes, North American Industry Classification System (applicable only to Manufacturers). This certification may be impacted if you update information beyond the aforementioned. To report changes by mail, complete a "Certification Information Change" form located at www.documents.dgs.ca.gov/pd/smallbus/certchange.pdf

PROCUREMENT DIVISION - Small Business & DVBE Services | *State of California | State Consumer Services Agency*
707 3rd Street, 1st Floor, Room 400 | West Sacramento, CA 95605 | t 916.375.4940 f 916.375.4950

DGS GENERAL SERVICES

Governor Edmund G. Brown Jr.

Apr 30, 2012

SB APP

Supplier #41891
DVBE TRUCKING & CONSTRUCTION CO INC
1217 DELL AVE
CAMPBELL CA 95008

Dear Business Person:

Congratulations on your Small Business (SB) certification with the State of California. Your business is now entitled to compete in the State's goal to spend 25 percent of its annual contracting dollars with small businesses. Each certified SB receives a five percent bid preference on applicable solicitations. This certification also guarantees higher interest penalties for late payment of undisputed invoices. You may purchase a rubber stamp by completing the Prompt Payment Rubber Stamp Order form at www.documents.dgs.ca.gov/pd/smallbus/ppstampreq.pdf. For more information or to verify certification status, visit www.eprocure.dgs.ca.gov.

Certification Period

From Apr 30, 2012 to Apr 30, 2014

Business Types

Construction
Service

Conflict of Interest for Current and Former State Employees

Prior to cantract award, agencies will assure the vendor is in compliance with Public Contract Code, Section 10410 et seq. addressing conflict of interest for State employees or former employees.

Annual Submission Requirement

Submit copies of the ENTIRE federal tax return to the Office of Small Business and DVBE Services (OSDS). If you have been granted a tax filing extension with the Internal Revenue Service, submit a copy of the extension form and annual financial statements; then, submit a copy of the tax return once filed. If you have employees, include the California Employment Development Department's "Quarterly Contribution Return and Report of Wages (Continuation)" (Form DE9C). If you have out-of-state employees, submit the employee documentation comparable to Form DE9C. These annual submissions also apply to all affiliated businesses.

Maintaining Your Online Certified Firm Profile

Visit www.eprocure.dgs.ca.gov/default.htm to update your certification profile. You may report changes to the following: mailing and principal office address; contact information; keywords and service areas; United Nations Standard Products and Services Codes, North American Industry Classification System (applicable only to

Received Time Apr. 16. 2013 10:49AM No. 6601 ...viewletter&batchid=844122&recipientid=74 4/30/2012

STATE OF CALIFORNIA—BUSINESS, TRANSPORTATION AND HOUSING AGENCY EDMUND G. BROWN JR., Governor

DEPARTMENT OF TRANSPORTATION
OFFICE OF BUSINESS AND ECONOMIC OPPORTUNITY
1823 14TH STREET
SACRAMENTO, CA 95811
PHONE (916) 324-1700
TOLL FREE (866) 810-6346
FAX (916) 324-8700
TTY 711

Flex your power!
Be energy efficient!

April 26, 2011

Herb Lavender
DVBE TRUCKING
1605 Almaden Road
San Jose, CA 95172

RE: Disabled Veterans Business Enterprise (DVBE) Number 41891

Dear Mr. Lavender:

Congratulations! We are pleased to advise you of the results of the site visit conducted by the Department of Transportation (Caltrans), Contract Evaluation Unit (CEU) staff on February 01, 2011. The purpose of a site visit is to ensure all certified DVBE firms that bid and provide services on Caltrans State funded projects are performing a commercial useful function (CUF). Caltrans initiated the affirmative step to verify CUF for DVBEs on Caltrans State funded projects and your firm was selected for a CUF review. After careful evaluation of the information and documentation obtained as a result of the site visit, pursuant to Military & Veterans Code §999(b)(3)(B)(i) and (ii), your firm performs a CUF when providing the following type of service.

[illegible]	[illegible]
78101B	Road cargo transport

You may review your firm's information on the Department of General Services (DGS) website at http://www.bidsync.com/DPXBisCASB. It is your responsibility to maintain correct information regarding your firm's name, address, phone/fax number, and e-mail address on the DGS website.

Please notify us immediately if any changes occur regarding your classification numbers or the types of services you provide. Failure to do so may result in your firm being listed on a project for a service that does not contribute a CUF. Consequently, your firm's DVBE participation on a contract may be disallowed and would not be counted toward a DVBE goal.

For information on contracting opportunities with Caltrans, please visit our website at http://www.dot.ca.gov/hq/esc/oe/.

Sincerely,

REG A. BERGMANN
Manager, Contract Evaluation Unit

c: Department of General Services

"Caltrans improves mobility across California"