

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

1

CONSTRUCTION ON STATE HIGHWAY IN  
HUMBOLDT COUNTY ABOUT 14 MILES EAST OF BLUE LAKE FROM 0.3 MILE EAST TO 0.8  
MILE EAST OF BAIR ROAD  
In District 01 on Route 299

UNDER

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Notice to Bidders and Special Provisions dated April 7, 2014  
Project plans approved February 21, 2014  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 01-0A3604

01-Hum-299-19.3/19.8

Project ID 0100020289

FEDERAL-AID PROJECT  
ACHSNHP-P299(180)E

Bids open Wednesday, May 7, 2014

Dated April 7, 2014

AADD

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604 Project ID: 0100020289  
Bidder: VC0000014127 - R. Brown Construction Company, Inc.  
Contract No.:  
Name of Bidder: R. Brown Construction Company, Inc.  
Business P.O. Box:  
City, State, Zip: Willow Creek, CA, 95573-0406  
Business Street Address: P.O. Box 406  
Telephone Number: (530) 629-3702  
Fax Number: (530) 629-2863  
Contractor License Number: 634826

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STATE OF CALIFORNIA ♦ DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION

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1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
04-23-14 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

By: Roger Brown Title: President  
Bidder ID VC0000014127 Checksum 208BF42F

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Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price		Item Total	
					Dollars	Cts	Dollars	Cts
Section 1 BID ITEM LIST								
1	070030	LEAD COMPLIANCE PLAN		LUMP SUM		LUMP SUM		1,500.00
2	120090	CONSTRUCTION AREA SIGNS		LUMP SUM		LUMP SUM		10,000.00
3	120100	TRAFFIC CONTROL SYSTEM		LUMP SUM		LUMP SUM		100,000.00
4	120151	TEMPORARY TRAFFIC STRIPE (TAPE)		1,800.0		2.50		4,500.00
			LF					
5	120159	TEMPORARY TRAFFIC STRIPE (PAINT)		13,300.0		0.45		5,985.00
			LF					
6	120165	CHANNELIZER (SURFACE MOUNTED)		130.0		50.00		6,500.00
			EA					
7	120300	TEMPORARY PAVEMENT MARKER		350.0		5.00		1,750.00
			EA					
8	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)		LUMP SUM		LUMP SUM		20,000.00
9	129000	TEMPORARY RAILING (TYPE K)		1,200.0		25.00		30,000.00
			LF					
10	129110	TEMPORARY CRASH CUSHION		2.0		2,500.00		5,000.00
			EA					

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					Dollars	Cts	Dollars	Cts
11	130100	JOB SITE MANAGEMENT		LUMP SUM		LUMP SUM		10,000.00
12	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN		LUMP SUM		LUMP SUM		3,000.00
13	130310	RAIN EVENT ACTION PLAN	EA	4.0		500.00		2,000.00
14	130320	STORM WATER SAMPLING AND ANALYSIS DAY	EA	12.0		800.00		9,600.00
15	130330	STORM WATER ANNUAL REPORT	EA	2.0		2,000.00		4,000.00
16	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	EA	4.0		1,000.00		4,000.00
17	130520	TEMPORARY HYDRAULIC MULCH	SQYD	7,000.0		1.00		7,000.00
18	130570	TEMPORARY COVER	SQYD	7,000.0		1.00		7,000.00
19	130610	TEMPORARY CHECK DAM	LF	1,000.0		6.00		6,000.00
20	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	7.0		250.00		1,750.00

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					Dollars	Cts	Dollars	Cts
21	130640	TEMPORARY FIBER ROLL	LF	1,650.0		5.00		8,250.00
22	130650	TEMPORARY GRAVEL BAG BERM	LF	500.0		6.00		3,000.00
23	130670	TEMPORARY REINFORCED SILT FENCE	LF	500.0		8.15		4,075.00
24	130680	TEMPORARY SILT FENCE	LF	730.0		5.00		3,650.00
25	130710	TEMPORARY CONSTRUCTION ENTRANCE	EA	2.0		2,500.00		5,000.00
26	130730	STREET SWEEPING	LUMP SUM			LUMP SUM		5,000.00
27	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM			LUMP SUM		1,000.00
28	141120	TREATED WOOD WASTE	LB	1,010.0		1.00		1,010.00
29	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	LF	1,580.0		1.75		2,765.00
30	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	SQFT	130.0		6.25		812.50

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					Dollars	Cts	Dollars	Cts
31	150742	REMOVE ROADSIDE SIGN	EA	20.0	105.00		2,100.00	
32	150809	REMOVE CULVERT (LF)	LF	270.0	20.00		5,400.00	
33	150820	REMOVE INLET	EA	3.0	600.00		1,800.00	
34	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	11,100.0	3.25		36,075.00	
35	160102	CLEARING AND GRUBBING (LS)	LUMP SUM		LUMP SUM		20,000.00	
36	170101	DEVELOP WATER SUPPLY	LUMP SUM		LUMP SUM		20,000.00	
37	190101	ROADWAY EXCAVATION	CY	10,500.0	15.35		161,175.00	
38	190185	SHOULDER BACKING	TON	370.0	45.00		16,650.00	
39	194001	DITCH EXCAVATION	CY	98.0	25.00		2,450.00	
40	027205	GEOSYNTHETIC REINFORCED EMBANKMENT	SQFT	28,300.0	34.95		989,085.00	

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					Dollars	Cts	Dollars	Cts
41	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	SQFT	57,900.0	1.55		89,745.00	
42	210300	HYDROMULCH	SQFT	96,500.0	0.35		33,775.00	
43	210350	FIBER ROLLS	LF	720.0	5.50		3,960.00	
44	210420	STRAW	SQFT	51,100.0	0.15		7,665.00	
45	210430	HYDROSEED	SQFT	96,500.0	0.40		38,600.00	
46	260203	CLASS 2 AGGREGATE BASE (CY)	CY	2,110.0	79.00		166,690.00	
47	390011	PREPAVING INERTIAL PROFILER	LUMP SUM		LUMP SUM		5,500.00	
48	390095	REPLACE ASPHALT CONCRETE SURFACING	CY	120.0	390.00		46,800.00	
49	390134	HOT MIX ASPHALT (OPEN GRADED)	TON	1,090.0	133.00		144,970.00	
50	390300	HOT MIX ASPHALT, SUPERPAVE (TYPE A)	TON	3,060.0	133.00		406,980.00	

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					Dollars	Cts	Dollars	Cts
51	391007	PAVING ASPHALT (BINDER, GEOSYNTHETIC PAVEMENT INTERLAYER)	TON	2.0	2,000.00		4,000.00	
52	393004	GEOSYNTHETIC PAVEMENT INTERLAYER (PAVING FABRIC)	SQYD	1,610.0	7.00		11,270.00	
53	394053	SHOULDER RUMBLE STRIP (HMA, GROUND-IN INDENTATIONS)	STA	49.0	65.00		3,185.00	
54	027206	CENTERLINE RUMBLE STRIP (HMA, GROUND-IN INDENTATIONS)	STA	27.0	65.00		1,755.00	
55	394060	DATA CORE	LUMP SUM		LUMP SUM		5,000.00	
56	394075	PLACE HOT MIX ASPHALT DIKE (TYPE D)	LF	79.0	12.50		987.50	
57	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	LF	700.0	12.50		8,750.00	
58	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	SQYD	510.0	20.00		10,200.00	
59	397005	TACK COAT	TON	5.0	1,000.00		5,000.00	
60 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	9.6	1,500.00		14,400.00	

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					Dollars	Cts	Dollars	Cts
61	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	130.0	10.75		1,397.50	
62	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	SQFT	70.0	12.10		847.00	
63	566011	ROADSIDE SIGN - ONE POST	EA	14.0	255.00		3,570.00	
64	665006	8" CORRUGATED STEEL PIPE (.079" THICK)	LF	24.0	110.00		2,640.00	
65	665025	24" CORRUGATED STEEL PIPE (.138" THICK)	LF	150.0	125.00		18,750.00	
66	665033	30" CORRUGATED STEEL PIPE (.138" THICK)	LF	71.0	113.00		8,023.00	
67	665038	36" CORRUGATED STEEL PIPE (.138" THICK)	LF	110.0	190.00		20,900.00	
68	692301	ANCHOR ASSEMBLY	EA	3.0	350.00		1,050.00	
69	705015	24" STEEL FLARED END SECTION	EA	2.0	250.00		500.00	
70	721028	ROCK SLOPE PROTECTION (NO. 2, METHOD B) (CY)	CY	16.0	62.00		992.00	

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					Dollars	Cts	Dollars	Cts
71	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	74.0	10.00		740.00	
72 (F)	750001	MISCELLANEOUS IRON AND STEEL	LB	1,190.0	2.00		2,380.00	
73	820108	DELINEATOR (CLASS 2)	EA	32.0	50.00		1,600.00	
74	820110	MILEPOST MARKER	EA	2.0	100.00		200.00	
75	820112	MARKER (CULVERT)	EA	9.0	100.00		900.00	
76	832017	MIDWEST GUARDRAIL SYSTEM (8' POST)	LF	980.0	40.00		39,200.00	
77	832070	VEGETATION CONTROL (MINOR CONCRETE)	SQYD	390.0	65.00		25,350.00	
78	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	2.0	3,500.00		7,000.00	
79	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	130.0	7.50		975.00	
80	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	10,700.0	0.80		8,560.00	

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					Dollars	Cts	Dollars	Cts	
81	850122	PAVEMENT MARKER (RETROREFLECTIVE-RECESSE D)	EA	290.0		10.20		2,958.00	
82	999990	MOBILIZATION	LUMP SUM		LUMP SUM			200,000.00	
Section 1 Total									2,886,647.50
Total Bid									2,886,647.50

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SUBCONTRACTOR LIST

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The bidder must identify each subcontractors performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater. (Pub Cont Code ♦ 4100 et seq.) Complete business name, location, and description of subcontracted work and submit with the bid. Complete bid item numbers and percentage of bid item subcontracted and submit with the bid, or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in all areas within the time specified will result in a nonresponsive bid.

List this sub? Yes

1) Business Name:A.C. Dike Co.  
City:Lincoln State:CA

Description of Subcontracted Work:

Shoulder Backing (Partial), Asphalt Dike (Partial), Misc. Area (Partial)

Bid Item Numbers:

38

Percentages of Bid items Subcontracted:

20%

Bid Item Numbers:

56, 57

Percentages of Bid items Subcontracted:

77%

Bid Item Numbers:

58

Percentages of Bid items Subcontracted:

62%

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

2) Business Name:Statewide Traffic Safety & Signs  
City:Redding State:CA

Description of Subcontracted Work:

Construction Area Signs

Bid Item Numbers:

2

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

3) Business Name:Dirt & Aggregate Interchange, Inc.  
City:Fairview State:OR

Description of Subcontracted Work:

Guard Rail, Alt. In-Line Terminal

Bid Item Numbers:

76, 78

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

4) Business Name:J&M Land Restoration, Inc.  
City:Bakersfield State:CA

Description of Subcontracted Work:

Erosion Control

Bid Item Numbers:

16, 17, 21, 23, 24

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

42, 43

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

44, 45

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

5) Business Name:Apply-A-Line, Inc.

City:Anderson State:CA

Description of Subcontracted Work:

Lead Plan, Temp Striping, Channelizer, Remove Striping, Striping Rumble Strip

Bid Item Numbers:

1

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

4,5,6,7

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

29,30,

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

53,54

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

79,80,81

Percentages of Bid items Subcontracted:

100

List this sub? Yes

6) Business Name:Mercer Fraser Co.

City:Eureka State:CA

Description of Subcontracted Work:

Paving

Bid Item Numbers:

48, 49

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

50

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

59

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

7) Business Name:Trinity Valley Consulting Engineers

City:Willow Creek State:CA

Description of Subcontracted Work:

Job Site Management, SWPPP, REAP, Analysis, Annual SWPPP Report

Bid Item Numbers:

11  
Percentages of Bid items Subcontracted:  
100  
Bid Item Numbers:  
12,13,14,15  
Percentages of Bid items Subcontracted:  
100  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:



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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 05/07/14    Name: R. Brown Construction Company, Inc.

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SMALL BUSINESS STATUS

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :2278

Note: This small business questionnaire is included for statistical reporting only.

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FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

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This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

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DISCLOSURE OF LOBBYING ACTIVITIES

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Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:
  2. Status of Federal Action:
  3. Report Type:
    - For Material Change Only
    - Year:
    - Quarter:
    - Date of last report:
  4. Name and Address of Reporting Entity:
    - Tier: ,if known
    - Congressional District, if known:
  5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:
    - Congressional District, if known:
  6. Federal Department/Agency:
  7. Federal Program Name/Description:
    - CFDA Number, if applicable:
  8. Federal Action Number, if known:
  9. Award amount, if known:
  - 10 a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
  - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)
- 11 Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Name:  
Title:  
Telephone No.:  
DATE:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604                      Project ID: 0100020289  
Bidder: VC0000014127 - R. Brown Construction Company, Inc.  
CERTIFICATIONS

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

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Bidder R. Brown Construction Company, Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5 (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604

Project ID: 0100020289

Bidder: VC0000014127 - R. Brown Construction Company, Inc.

CERTIFICATIONS (continued)

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

---

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604 Project ID: 0100020289  
Bidder: VC0000014127 - R. Brown Construction Company, Inc.

CERTIFICATIONS (continued)

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

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NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of :Humboldt

Name: Roger Brown,

being first duly sworn, deposes and says that he or she is

Company Title: President of

Company Name: R. Brown Construction Company, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid."

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604 Project ID: 0100020289  
Bidder: VC0000014127 - R. Brown Construction Company, Inc.

CERTIFICATIONS (continued)

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
  2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.
- 

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

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VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

Explanation:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604

Project ID: 0100020289

Bidder: VC0000014127 - R. Brown Construction Company, Inc.

CERTIFICATIONS (continued)

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

Explanation:

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document entitled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

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STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 01-0A3604 Project ID: 0100020289  
Bidder: VC0000014127 - R. Brown Construction Company, Inc.

CERTIFICATIONS (continued)

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BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer is to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

Explanation:

END CERTIFICATIONS

BIDDER'S BOND  
State of California - Department of Transportation

Contract No. 01-0A3604

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for  
CONSTRUCTION ON STATE HIGHWAY IN  
HUMBOLDT COUNTY ABOUT 14 MILES EAST OF BLUE LAKE FROM 0.3 MILE EAST TO 0.8  
MILE EAST OF BAIR ROAD  
In District 01 on Route 299  
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on  
05-07-14

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

By: \_\_\_\_\_

Attorney-in-Fact

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Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature \_\_\_\_\_

Signature of Notary Public

(SEAL)

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**BIDDER'S BOND**  
DES-OE-0102.3 (REV 3/2008)

Contract No. 01-0A3604

Bond No. N/A

We R. BROWN CONSTRUCTION CO., INC.

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for

CONSTRUCTION ON STATE HIGHWAY IN HUMBOLDT COUNTY ABOUT 14 MILES EAST OF BLUE LAKE FROM 0.3 MILE EAST TO 0.8 MILE EAST OF BAIR ROAD. GEOSYNTHETIC REINFORCED EMBANKMENT, ROADWAY EX, BASE, AND PMA PAVING.

01-HUM-299-19.3/19.8

for which bids are to be opened at

SACRAMENTO, CALIFORNIA

(insert place where bids will be opened)

on

MAY 7TH, 2014

(insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: APRIL 15TH, 20 14.

Correspondence or claims relating to this bond should be sent to the surety at the following address:

1400 AMERICAN LANE  
SCHAUMBURG, IL. 60196

R. BROWN CONSTRUCTION CO., INC.

Principal

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

Surety

By DANIKA L. MOTT  
Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature \_\_\_\_\_

Signature of Notary Public

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89 Sacramento, CA 95814.

05-06-14A11:28 RCVD

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

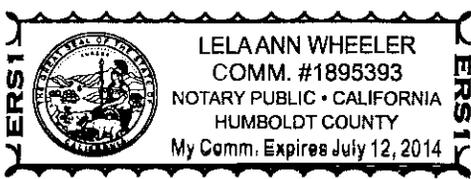
CIVIL CODE § 1189

State of California }  
County of Humboldt }

On April 15th, 2014 before me, Lela Ann Wheeler, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared Danika L. Mott  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/it/they~~ executed the same in ~~his/her/their~~ authorized capacity~~(ies)~~, and that by ~~his/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: Lela Ann Wheeler  
Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

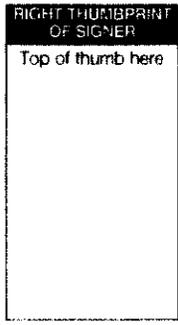
Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- Corporate Officer — Title(s): \_\_\_\_\_
- Individual
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_



Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

- Corporate Officer — Title(s): \_\_\_\_\_
- Individual
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_



Signer Is Representing: \_\_\_\_\_

05-05-14A11:28 RCVD

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by **JAMES M. CARROLL, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint **M. O. SHAW, JR., Karin Y. SHAW, Danika L. MOTT and Toni FARRAR, all of Eureka, California, EACH** its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 12th day of April, A.D. 2013.

ATTEST:

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**



By: *Eric D. Barnes*  
*Assistant Secretary*  
*Eric D. Barnes*

*James M. Carroll*  
*Vice President*  
*James M. Carroll*

State of Maryland  
City of Baltimore

On this 12th day of April, A.D. 2013, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **JAMES M. CARROLL, Vice President, and ERIC D. BARNES, Assistant Secretary**, of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

*Constance A. Dunn*



Constance A. Dunn, Notary Public  
My Commission Expires: July 14, 2015

**EXTRACT FROM BY-LAWS OF THE COMPANIES**

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

**CERTIFICATE**

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 15<sup>TH</sup> day of APRIL, 2014.



A handwritten signature in cursive script, reading "Thomas O. McClellan".

Thomas O. McClellan, Vice President