

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

# Low Bidder

CONTRACT NO: 01-0A3204

BID AMOUNT: \$ 1,858,087.50

BID OPENING DATE: July 28, 2015

BIDDER'S NAME: R. Brown Construction Company, Inc.

DBE GOAL FROM CONTRACT %: 12%

DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> :	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) <u>6</u>	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) <u>668,782.00</u>
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
58 & 59	Place HMA Dike Type A & Type D		A.C. Dike Co., 2788 Venture Dr. Lincoln, CA 95648 (916) 652-0159 DBE# 000219	7917.00
5, 6, 7, 8 & 9	Jobsite mgmt, Prepare SWPPP, REAP, Sampling & Analysis, Annual Report		TVCE PO Box 1567 Willow Creek CA 95573 (530) 629-3000 DBE# 40665	29,750.00
#39 Partial 10, 11, 40, 41, 42 43, 44, 45, 46, 47, 48	Temporary Erosion Control & All other Erosion Control *see Quote		M&S Environmental Landscapes, Inc. 12192 Mace's Rd, Redding, CA 96003 (530) 241-1418 DBE# 30736	207,053.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Conf Code § 4100 et seq.).

Total Claimed Participation	\$ <u>244,720.00</u>
	<u>13.17</u> %

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

R. Brown  
Signature of Bidder

07/28/2015 (530) 629-3702  
Date (Area Code) Tel. No.

Roger Brown  
Person to Contact (Please Type or Print)

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**A. C. DIKE CO.**  
*Specialty Paving Inc.*  
**SPECIALTY PAVING CONTRACTOR**

**QUOTATION: CDOT RT 299 HUMBOLDT CO. 01-0A3204**

**BIDS : 7/28/15**

**"PLACE ONLY"\* 2030 LF ASPHALT DIKE TYPE (A,D) @ \$3.90 LF**

**PLACE IMPORTED SHOULDER BACKING @ \$2480.00 8 HR SHIFT.\*\***

**\*\* PRICE INCLUDES PLACING AND SCREEDING.  
EXCLUDES WATERING AND COMPACTION.  
MOBILIZATION FOR SHOULDER MACHINE \$1000.00**

**PRICE INCLUDES TACK AND ONE MOBILIZATION FOR DIKE CREW.  
ASPHALT MATERIAL AND HAULING BY PRIME CONTRACTOR.  
TRAFFIC CONTROL BY PRIME CONTRACTOR.**

**\* PLACE ONLY ASPHALT DIKE. SPECIFICALLY EXCLUDES SURFACE  
PREPARATION, BACKFILL, OR FOGSEAL.**

**QUALIFIED MINORITY CONTRACTOR, DBE/WBE/UDBE # CT 000219**

CAL. LIC.# 407417 NEV. LIC.#24188

**2788 VENTURE DR. LINCOLN, CA. 95648**

**(916) 652-0159 FAX (916) 645-9618**

**WWW.ACDIKE.COM**

**DIR# 1000005929**

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**Search Returned 1 Records**

Tue Jul 28 10:00:10 PDT 2015

**Query Criteria**

Firm ID: 219

Firm Type: DBE

<b>Firm ID</b>	219
<b>Firm/DBA Name</b>	A C DIKE CO
<b>Address Line1</b>	2788 VENTURE DR.
<b>Address Line2</b>	
<b>City</b>	LINCOLN
<b>State</b>	CA
<b>Zip Code1</b>	95648
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>E-Mail</b>	email@acdike.com
<b>Contact Name</b>	GEORGETTE MC CLAIN
<b>Area Code</b>	( 916 )
<b>Phone Number</b>	645-7747
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Fax Area Code</b>	( 916 )
<b>Fax Phone Number</b>	645-9618
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	00;
<b>Districts</b>	00;
<b>DBE NAICS</b>	237990; 238110; 238120; 238910;

**ACDBE NAICS**

**Work Codes**

C1910 GRADING; C1920 STRUCTURE EXCAVATION; C1930 STRUCTURE BACKFILL; C1940 DITCHES EXCAVATION; C2602 AGGREGATE BASE; C3901 ASPHALT CONCRETE; C3910 PAVING ASPHALT (ASPHALT CONCRETE); C3940 PLACE ASPHALT CONCRETE DIKE & MISC; A General Engineering Contractor;

**Licenses**

**Trucks**

**Gender**

F

**Ethnicity**

CAUCASIAN

**Firm Type**

DBE

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STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE CONFIRMATION**  
 DES-OE-0102.13 (NEW 3/2015)

Contract no. 01-0A3204  
 Name of DBE business A.C. Dike Co.  
 Name of DBE representative \_\_\_\_\_  
 DBE identification number 000219  
 Name of contractor R. Brown Construction Company, Inc.  
 Name of prime contractor (if not the bidder) \_\_\_\_\_  
 Name of representative of bidder or prime contractor Roger Brown  
 Date quote was provided to the bidder or prime contractor \_\_\_\_\_

DBE item number	Item of work and description of services to be subcontracted or materials to be provided	Amount (\$)
<u>58,59</u>	<u>Pave 2030LF (H+D) Dike</u>	<u>7917<sup>00</sup></u>

If 100% of an item is not to be performed or furnished by the DBE describe the exact portion of the item to be performed or furnished.

**Total**

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Dore McClain  
 Signature of DBE authorized representative  
Dore McClain  
 Printed name of DBE authorized representative  
VP - Ops  
 Title of DBE's authorized representative  
7/29/15  
 Date

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Joshua T. McKnight, CE60687

**TRINITY VALLEY CONSULTING ENGINEERS, INC**

67 Walnut Way, Willow Creek, CA 95573  
 P: (530) 629-3000 F: (530) 629-3011

24 June 2015

R. Brown Construction  
 Attn: Mr. Roger Brown  
 Post Office Box 406  
 Willow Creek CA 95573-0406

RE: Proposal for Engineering Services  
 Contract No. 01-0A3204  
 01-HUM-299-30.2/30.6  
 Humboldt County, California

Mr. Brown:

Trinity Valley Consulting Engineers, Inc. (TVCE) is offering the following proposal for engineering services on the above referenced project. Bid item and proposed cost based on 60 working days is outlined below:

*15 weeks*

Item Code	Item Description	Unit Measure	Qty	Unit Price	Total Item Price
5	Job Site Management* - Week	EA		\$350.00/week	TBD
6	Prepare Water Pollution Control Plan	LS	1	\$2,900.00	\$2,900.00
7	Rain Event Action Plan	EA	20	\$280.00	\$5,600.00
8	Storm Water Sampling and Analysis - Day	EA	20	\$650.00	\$13,000.00
9	Storm Water Annual Report	EA	2	\$1,500.00	\$3,000.00

*5,250.00*

\*Includes one site inspection and weather monitoring per week.

*29,750.00*

TVCE is a DBE, SBE, and MBE Certified Company. The above quotation is valid for 120 days after Contract Award.

Please call 530 629-3000 if you have any questions.

Sincerely,

Josh McKnight, P.E., QSD, QSP

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**Search Returned 1 Records**

Tue Jul 28 10:02:50 PDT 2015

**Query Criteria**

Firm ID: 40665

Firm Type: DBE

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<b>Firm ID</b>	40665
<b>Firm/DBA Name</b>	TRINITY VALLEY CONSULTING ENGINEERS, INC
<b>Address Line1</b>	67 WALNUT WAY
<b>Address Line2</b>	
<b>City</b>	WILLOW CREEK
<b>State</b>	CA
<b>Zip Code1</b>	95573
<b>Zip Code2</b>	1567
<b>Mailing Address Line1</b>	P.O. BOX 1567
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	WILLOW CREEK
<b>Mailing State</b>	CA
<b>Mailing Zip Code1</b>	95573
<b>Mailing Zip Code2</b>	0175
<b>Certification Type</b>	DBE
<b>E-Mail</b>	tvce@tvce.biz
<b>Contact Name</b>	JOSHUA THOMAS MCKNIGHT
<b>Area Code</b>	( 530 )
<b>Phone Number</b>	629-3000
<b>Alt Area Code</b>	( 707 )
<b>Alt Phone Number</b>	845-4559
<b>Fax Area Code</b>	( 530 )
<b>Fax Phone Number</b>	629-3011
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	08; 12; 34; 45; 47; 53;
<b>Districts</b>	01; 02; 03;
<b>DBE NAICS</b>	541330; 541618; 541620;

**ACDBE NAICS**

<b>Work Codes</b>	C8710 ENGINEERING; C8713 CONSULTANT, ENVIRONMENTAL; C8715 CONSULTANT, ENGINEERING; C8720 CIVIL ENGINEERING; C8770 CONSTRUCTION MANAGEMENT; C8852 SWPPP Planning;
<b>Licenses</b>	EC Civil Engineer;
<b>Trucks</b>	
<b>Gender</b>	M
<b>Ethnicity</b>	NATIVE AMERICAN
<b>Firm Type</b>	DBE

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**M&S Environmental Landscapes, Inc.**  
 12192 Mac's Road  
 Redding, CA 96003  
 530-241-1418 FAX 530-241-9647  
 DIR Registration No. 1000010084  
 CA Lic. 751931 DBE,SMBE, and MBE Certified CT No. 030736

7/27/15

Bids 7/28/15

Bid For - Humboldt County About 9 Miles west Of Willow Creek Rte. 299

Cal-trans # 01-0A3204

M&S Environmental Landscapes, Inc. would like to propose a bid for the following items:

Item No.	Description	Units	Quantity	Cost per Unit	Est. Cost
48	Compost Delevered to Job site	CY	144	51.50 \$	7,416.00

**ADDITIONAL BID NOTES** **TOTAL** \$ 7,416.00

- \* Prime Contractor to provide and pay for water source on site (i.e. fire hydrant, water truck, or other approved source) at a minimum rate of 200 g.p.m.
- \* All traffic control to be provided by Prime contractor. Bid valid for 60 days.
- \* One move-in is included in total bid, unless otherwise stated. Additional move-in (If necessary) at the rate of \$500 each.
- \* An estimated acres of Hydroseeding can be done in one working day. Stand-by time will be billed at \$600.00 per hour.
- \* Performance and Payment bonds are not included. Insurance beyond a \$5 mil umbrella will result in additional charge.
- \* Additional insurance requirements including "Waiver of Subragation" will be charged to Prime Contractor.
- \* Signatory to Local 185 Laborers Union.
- \* If you have any questions please call our office.

Michael Smith

**CALIFORNIA UNIFIED CERTIFICATION PROGRAM  
DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE**

**M & S ENVIRONMENTAL LANDSCAPES, INC**

12192 MACS ROAD  
REDDING, CA 96003

Owner: MICHAEL SMITH  
Business Structure: CORPORATION

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

NAICS Code(s) \* Indicates primary NAICS code

\* 561730 Landscaping Services

238910 Site Preparation Contractors

**Work Category Code(s)**

C0620 LANDSCAPING & NURSERY  
C2020 SOIL AMENDMENTS  
C2030 EROSION CONTROL

C2000 HIGHWAY PLANTING  
C2021 HYDROSEEDING  
C2065 IRRIGATION SYSTEM

**Licenses**

C27 Landscaping Contractor

**CERTIFYING AGENCY:**

DEPARTMENT OF TRANSPORTATION  
1823 14TH STREET  
SACRAMENTO, CA 95811 0000  
(916) 324-1700

UCP Firm Number: 30736

*Jenice Salas*  
UCP OFFICER

April 24, 2012

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**Search Returned 1 Records**

Wed Jan 20 10:22:42 PST 2010

**Query Criteria**

Firm ID: 30736

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<b>Firm ID</b>	30736
<b>Firm/DBA Name</b>	M & S ENVIRONMENTAL LANDSCAPES, INC
<b>Address Line1</b>	12182 MAC'S ROAD
<b>Address Line2</b>	
<b>City</b>	REDDING
<b>State</b>	CA
<b>Zip Code1</b>	96003
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	SMBE
<b>EMail</b>	mandserviro@aol.com
<b>Area Code</b>	( 530 )
<b>Phone Number</b>	241-1418
<b>Fax Area Code</b>	( 530 )
<b>Fax Phone Number</b>	241-9647
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	01; 03; 04; 05; 06; 07; 08; 09; 11; 12; 17; 18; 21; 23; 25; 27; 28; 29; 31; 32; 34; 38; 45; 46; 47; 48; 49; 51; 52; 53; 57; 58;
<b>Districts</b>	01; 02; 03; 04; 05; 10;
<b>DBE NAICS</b>	238910; 561730;
<b>ACDBE NAICS</b>	
<b>Work Codes</b>	C0620 LANDSCAPING & NURSERY; C1601 CLEARING & GRUBBING; C1930 STRUCTURE BACKFILL; C1940 DITCHES EXCAVATION; C2000 HIGHWAY PLANTING; C2020 SOIL AMENDMENTS; C2021 HYDROSEEDING; C2030 EROSION CONTROL; C2065 IRRIGATION SYSTEM; C27 Landscaping Contractor;
<b>Licenses</b>	
<b>Gender</b>	M
<b>Ethnicity</b>	HISPANIC
<b>Firm Type</b>	SMBE

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**Search Returned 1 Records**

Tue Jul 28 10:00:57 PDT 2015

**Query Criteria**

Firm ID: 30736

Firm Type: DBE

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<b>Firm ID</b>	30736
<b>Firm/DBA Name</b>	M & S ENVIRONMENTAL LANDSCAPES, INC
<b>Address Line1</b>	12192 MACS ROAD
<b>Address Line2</b>	
<b>City</b>	REDDING
<b>State</b>	CA
<b>Zip Code1</b>	96003
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>EEmail</b>	shelley@mandsenviro.com; michael@mandsenviro.com
<b>Contact Name</b>	MICHAEL A. SMITH
<b>Area Code</b>	( 530 )
<b>Phone Number</b>	241-1418
<b>Alt Area Code</b>	( 530 )
<b>Alt Phone Number</b>	941-1631
<b>Fax Area Code</b>	( 530 )
<b>Fax Phone Number</b>	241-9647
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	01; 03; 04; 05; 06; 07; 08; 09; 11; 12; 17; 18; 21; 23; 25; 27; 28; 29; 31; 32; 34; 38; 45; 46; 47; 48; 49; 51; 52; 53; 57; 58;
<b>Districts</b>	01; 02; 03; 04; 05; 10;
<b>DBE NAICS</b>	238910; 561730;

**ACDBE NAICS**

<b>Work Codes</b>	C0620 LANDSCAPING & NURSERY SUPPLIER; C2000 HIGHWAY PLANTING; C2020 SOIL AMENDMENTS; C2021 HYDROSEEDING; C2030 EROSION CONTROL; C2065 IRRIGATION SYSTEM; C2066 TEMPORARY EROSION CONTROL; C27 Landscaping Contractor;
<b>Licenses</b>	
<b>Trucks</b>	
<b>Gender</b>	M
<b>Ethnicity</b>	HISPANIC
<b>Firm Type</b>	DBE

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Contract No. 01-0A3204

Name of ECC/Contractor M&S Environmental Landscapes

Name of DBE/Individual Shelley Smutz

DBE Identification Number U30736

Name of Bidder R. Brown Construction Company, Inc.

Name of Bidder's Authorized Representative Roger Brown

Item Number	Description of Item	Amount
10	MOVE In/out Temp. Erosion	2000 -
11	Temp. Hydraulic (BPM)	9860 -
39	Imported Biofiltration Soil	5328 -
40	Erosion Control (BPM)	32544 -
41	Rolled Erosion Control - Jute Netting	4273.50
42	Rolled Erosion Control - Netting	56320. -
43	✓ ✓ ✓ - Netting Flap	20352. -
45	Hydromulch	5832 -
✓	Compost Sock	47700 -
46	Hydroseed - seed mix upland	12190 -
<b>Total</b>		<b>cont.</b>

If 100% of an item is not to be performed or furnished by the DBE describe the exact portion of the item to be performed or furnished.

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Shelley Smutz  
 Signature of ECC's Authorized Representative

Shelley Smutz  
 Printed Name of ECC's Authorized Representative

Secretary  
 Title of Bidder's Authorized Representative

7/29/15  
 Date

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# Low Bidder

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: R. Brown Construction Co, Inc.  
 Contract No.: 01-0A3204

1. List items of work the Bidder made available to DBE firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of Work Offered, Services Offered, or Materials Supplied	Bidder Normally Performs Item Yes/No	Item Broken Down to Facilitate Participation Yes/No	Established Flexible Timeframes for Performance and Delivery Schedules Yes/No	Amount (\$)	Percentage of Total Bid
Construction Area Signs	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	3,000.00	.16
Job Site Mngmnt	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	6,000.00	.32
Prepare SWPPP	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	3,000.00	.16
REAP, Analysis, Annual	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	20,000.00	1.07
Lead Compliance Plan	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	1500.00	.08
Erosion Control 38-48	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	247,886.50	13.34
Paving	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	399,430.00	21.49
Asphalt Dikes	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	18,270.00	.98
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
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	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: R. Brown Construction Co. Inc.  
 Contract No.: 01-0A3204

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations. e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
MDS Environmental Landscapes	7/20/15	Erosion Control #s 38-48	email 7/23/15
Sir. Rhoades Construction	7/21/15	Const Area Signs, Paving	email 7/23/15
Trinity Valley Consulting Engineers	7/20/15	Job Site Mngmt, SWPPP, REAP Analysis, Annual Report	email 7/23/15
Adanta, Inc.	7/22/15	Lead Compliance Plan SWPPP	email 7/23/15
Water Components & Building Supply	7/22/15	Supplier Pipe, etc.	email 7/23/15
D.M. Braun Inc	7/20/15	Supplier	email 7/23/15
GK Sundberg Inc.	7/21/15	Supplier D I's	email 7/23/15
A.C. Dike Co	7/1/15	Asphalt Dikes	email 7/23/15

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: R. Brown Construction Co. Inc.  
 Contract No.: 01-0A3204

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results
Construction Update	7/2/15	Publication	
Minority Bidders Bulletin	6/29/15	Publication	
www.goodfaitheffort.com	6/29/15 - 7/2/15	Internet	
Caltrans Advertised Bids			
Contractors Adv for Help	6/29/15 - 7/28/15	Internet	
Daily Construction			
Reed Construction Data	6/29/15 - 7/28/15	Publications	

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

made plans & specs for this job Available at our office or through email or fax to any interested party

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

made available to any interested party the name and phone numbers of our Independent Insurance Agent and Bank Contact

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

9. Include additional data to support a demonstration of good faith efforts.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

12% DBE

01-0A3204

Bids

60 working days

7/28/15

PM 30.2

TUCE 629-3000 DBE 7/20

ACDike (916) 645-7747 DBE 7/14

S.T. Rhoades 223-9322 DBE ✓ 7/21  
Justin 7/24

D.M. Brown 845-7784 DBE ✓ 7/20

Water Components (415) 524-8390 DBE ✓ 7/22 7/27  
KH

MFS 241-1418 office DBE 7/20 7/24  
(Michael) 949-7026 cell

G.R. Sandberg 825-6565 DBE 7/21

Apply-A-Line 365-4000 ✓ 7/21 55 56 80 81 82 83  
Wes + Steve 60.00 60.00 .95 2.25 22.00 17.10

Apex 365-3316 7/24  
mat 410-1305 cell

Mercer Fraser 443-6371 office  
(Justin) 496-3385 cell ✓ 7/24 7/27

Cal-Paving Pub 365-0108 ✓ 7/22

Johnson 822-7687 ✓ 7/22

State wide 222-8023 ✓ 7/22 7/27  
(Arthur)

Arcata Ready mix 443-2791 ✓ 7/22 \$110.00 CY  
(Michael) 2 sack slurry

Miller Farms 839-1571 ✓ 7/24 7/28 39-48  
(Ross) (Kelly) Ex 22

Hoopla Ready mix 625-4017 7/24 ✓

Randy Blasting (530) 741-0873 ✓ 7/22

Neil's controlled Blasting (916) 663-2500 ✓ 7/22 (916) 240-4211 cell ✓ 7/24  
(Dase) (Don)

ETM (541) 890-2171 ✓ 7/22 (541) 821-15386 ✓ 7/23

California Drilling + Blasting ✓ 7/22 cell  
(Earl) (760) 785-1650 (Kenney) Bill (209) 559-8485 cell  
Todd 775-240-2352

12% DBE

01-0A3204

Bids 7/28/15

adanta (707) 709-8894 DBE ✓ 7/22  
sheal  
Kemburg Lead plant

Cook concrete 243-2562 ✓ 7/24  
Vench Dan

Titan 246-7836 ✓ 7/27 DUBE

Bill

Force Traffic Control/Inc 559-786-9856 ✓ DBE 7/27

Alfred Civil Engineering DBE ✓ 7/27

Zable Trucking DBE 7/27

Frank 443-4277 office  
443-3023 cell

Anrak Corporation (916) 383-2996 7/28

Darren Taylor 378-2211 7/28 ✓

Brom 31, 36, 51, 52, 53, 54, 60,

Sam's Equipment - Supplies DBE ✓  
(559) 252-0354 7/28

**Fax 800-642-2437**

To Be Listed As A Bidder in  
Daily Construction Service, Northern California

Bid Date	Owner	RCD Project No.	Project Title/Location
7/28/15	Caltrans	Contract No: 01-0A3204	01-Hum-299-30.2/30.6
7/14/15	Humboldt County Dept. Public Works	Contract No: 321606A	Red Cap Road Pm 0.3 to 1.56
8/12/15	Caltrans	Contract No: 01-0B0344	01-Hum-96-8.3/8.9

Please call me for M/W/D/DVBE Advertising (Or call Vikki direct at 800-242-9747, Fax 800-850-9009)

Company Name: R. BROWN CONSTRUCTION COMPANY, INC.  
 Address: P.O. BOX 406  
 City, State, Zip: WILLOW CREEK, CA 95573  
 Phone: (530)629-3702 Fax: (530)629-2863 Requested By: ROGER BROWN

SEARCHED INDEXED  
 FILED  
 AUG 11 2015  
 FBI - WILLOW CREEK

WILLOW CREEK, CALIFORNIA

**PUBLICATION AFFIDAVIT  
DECLARATION OF PUBLICATION**

**FILE COPY**

STATE OF CALIFORNIA

**FILED**

Suzie Davidson / Art Director

**R. Brown Construction Company, Inc.**

P.O. Box 406 • Willow Creek, CA 95573

AEOE Requests Qualified  
Subcontractors and/or Suppliers Including  
**MBE/WBE/DBE/DVBE/SBE/UDBE**  
Firms for the Following Project:  
(12% DBE)

**WIDEN ROADWAY, CONSTRUCT HMA OVERLAY,  
DRAINAGE AND GUARDRAIL  
CALTRANS #01-0A3204 - 01-Hum-299-30.2/30.6**

**BID DATE/TIME: JULY 28, 2015 - 2:00 PM**  
Please Respond 2 days prior to bid

For more information regarding this contract:

**R. BROWN CONSTRUCTION COMPANY, INC.**

**ROGER BROWN, email: rbrown@rbrownconstruction.net**

Phone: 530/629-3702 • FAX 530/629-2863

*The undersigned declares:*

I am over the age of 18 years and a citizen of the United States. I am not a party to and have no interest in this matter. I am principal clerk of the publisher of the Construction Up-Date, Inc. and Minority Bidders Bulletin, a trade/focus publication in the City of San Diego, the County of San Diego, and the State of California. This notice, a true copy hereon, was published on the below date(s):

I declare under penalty of perjury that the foregoing is true and correct.  
Executed at San Diego, California

*Suzie Davidson*  
signature

**CONSTRUCTION  
UP-DATE**  
INC.

7/2/15

TRADE PUBLICATION

DATES PUBLISHED

**Minority  
Bidders  
Bulletin**  
INC.

6/29/15

FOCUS PUBLICATION

ALSO DISPLAYED ON WEB SITE @ <http://www.goodfaitheffort.com>

16885 W. Bernardo Dr., Suite 335, San Diego, CA 92127 • Phone No. (858) 487-2600 • Fax Number (858) 487-3500

## R Brown Construction Co., Inc.

---

**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:01 PM  
**To:** Trinity Valley Consulting Engineers, Inc.  
**Subject:** Contract No 01-0A3204 bidding July 28

Trinity Valley Consulting Engineers,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Job Site Management, Prepare Storm Water Pollution Prevention Plan, Rain Event Action Plan, Storm Water Sampling and Analysis Day, and Storm Water Annual Report.

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

Roger Brown  
R Brown Construction Company, Inc.  
P.O. Box 406  
Willow Creek, CA 95573  
(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

## **R Brown Construction Co., Inc.**

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**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:04 PM  
**To:** Adanta  
**Subject:** Contract NO. 01-0A3204 bidding July 28

Adanta, Inc.,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Lead Compliance Plan, Job Site Management, Prepare Storm Water Pollution Prevention Plan, Rain Event Action Plan, Storm Water Sampling and Analysis Day, and Storm Water Annual Report.

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

Roger Brown  
R Brown Construction Company, Inc.  
P.O. Box 406  
Willow Creek, CA 95573  
(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

## **R Brown Construction Co., Inc.**

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**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:07 PM  
**To:** 'Dave McClain'  
**Subject:** Contract No. 01-0A3204 bidding July 28

A.C. Dike CO.,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Place Hot Mix Asphalt Dike (Type A), and Place Hot Mix Asphalt Dike (Type D).

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

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Willow Creek, CA 95573  
(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

## **R Brown Construction Co., Inc.**

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**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:18 PM  
**To:** 'steve@strhoadesinc.com'  
**Subject:** Contract 01-0A3204

S T Rhoads Construction Inc.,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Construction Area Signs, Traffic Control System, Prepaving Inertial Profiler, Replacing Asphalt Concrete Surfacing, Hot Mix Asphalt (Type A), Asphalt Binder and (Geosynthetic Pavement Interlayer).

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

Roger Brown  
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P.O. Box 406  
Willow Creek, CA 95573  
(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

## **R Brown Construction Co., Inc.**

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**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:29 PM  
**To:** D M Brown, Inc.  
**Subject:** Contract No. 01-0A3204

D.M. Brown Inc.

Diane,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Traffic Control System, Temporary Hydraulic Mulch (Bonded Fiber Matrix), Temporary Cover, Temporary Check Dam, Temporary Drainage Inlet Protection, Temporary Fiber Roll, Temporary Gravel Bag Berm, Temporary Large Sediment Barrier, Temporary Reinforced Silt Fence, Temporary Silt Fence, and Temporary construction Entrance.

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us. We have hear that you are trying to change your status with Caltrans for your DBE, and have hired you in the past to do Flaggging for us, and would love to work with you again. Anything we can help you with, please let us know.

Roger Brown  
R Brown Construction Company, Inc.  
P.O. Box 406  
Willow Creek, CA 95573  
(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

## **R Brown Construction Co., Inc.**

---

**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:46 PM  
**To:** Water Components & Building Supply, Inc.  
**Subject:** Contract No. 01-0A3204 bidding July 28

Water Components & Building Supply, Inc.,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Tack Coat, Minor Concrete (Minor Structure), Roadside sign- one post, Furnish Single Sheet Aluminum Sign (0.063"-Unframed) for Retroreflective Sheeting (Type XI), Furnish Single Sheet Aluminum Sign (0.080"-Unframed) for Retroreflective Sheeting (Type XI), Retroreflective Sheeting (Type XI), 24" Alternative pipe Culvert, Concrete Backfill (Pipe Trench), 35" X 24" Corrugated Steel Pipe Arch (.138" Thick), 36" Corrugated Steel Pipe Inlet (.138" Thick), and 24" Alternative Flared End Section.

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

Roger Brown  
R Brown Construction Company, Inc.  
P.O. Box 406  
Willow Creek, CA 95573  
(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

## R Brown Construction Co., Inc.

---

**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 4:53 PM  
**To:** M&S Environmental Landscapes, Inc.  
**Subject:** Contract No. 01-0A3204 bidding July 28

M & S Environmental Landscapes, Inc.,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Weed Control Mat (Fiber), Imported Biofiltration Soil, Erosion Control (Bonded Fiber matrix) (SQFT), Rolled Erosion Control Product (Jute Mesh), Rolled Erosion Control Product (Netting), Rolled Erosion Control Product (netting) (Flap), Hydromulch, Compost Sock, Hydroseed (Seed mix "Upland"), Hydroseed (Seed mix "Swale"), and Compost.

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

Roger Brown  
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P.O. Box 406  
Willow Creek, CA 95573  
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(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

**R Brown Construction Co., Inc.**

---

**From:** R Brown Construction Co., Inc. <[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net)>  
**Sent:** Thursday, July 23, 2015 5:04 PM  
**To:** GR Sundberg, Inc.  
**Subject:** Contract NO. 01-0A3204 Bidding July 28

GR Sundberg, Inc.,

We plan to bid on Contract NO. 01-0A3204, in Humboldt County on Highway 299 P.M. R30.2/R30.6 which bids July 28, 2015. This contract calls for a 12% DBE participation, so we would appreciate any quotes where we could use you on this project.

Items on this project include, Construction area Signs, Paving, and Supplying Direct Inlets.

We have enjoyed working with you in the past, and hope to continue in the future.

Thank you for any quotes you can send on this project. If you need any help please do not hesitate to call me. To view or obtain plans, specs, and project documents feel free to contact us.

Roger Brown  
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P.O. Box 406  
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(530) 629-3702 phone  
(530) 629-2863 FAX  
[rbrown@rbrownconstruction.net](mailto:rbrown@rbrownconstruction.net) Office email

R. Brown Construction Company, Inc  
(530) 629-3702

Low Bidder

01

.07-31-15P02:53 RCVD



**Caltrans**<sup>®</sup>

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

## FORMS FOR BID

FOR CONSTRUCTION ON STATE HIGHWAY IN HUMBOLDT COUNTY ABOUT 9 MILES  
WEST OF WILLOW CREEK FROM 0.3 MILE WEST TO 0.1 MILE EAST OF CEDAR CREEK  
ROAD

In District 01 On Route 299

Under

---

*Notice to Bidders and Special Provisions* dated June 22, 2015

*Standard Specifications* dated 2010

Project plans approved April 6, 2015

*Standard Plans* dated 2010

---

To be submitted conjointly with  
Electronic *Bid* book dated June 22, 2015

Identified by

Contract No. 01-0A3204

01-Hum-299-30.2/30.6

Project ID 0100020307

Federal-Aid Project

ACHSNH-P299(193)E

2,060,000

Bids open Tuesday, July 28, 2015

Dated June 22, 2015  
AADD

380  
1/18/15  
AADD