

Management of Construction Site Dewatering Operations District 1



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 2



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 3



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 4



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 5



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 6



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 7



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 8



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 9



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 10



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 11



Caltrans Course No. G0C095

Management of Construction Site Dewatering Operations District 12



Caltrans Course No. G0C095

Introduction: About Me



- Who am I?
- What is my background?
- What is my storm water background?
- What is my dewatering experience?



Introduction: About You



- Who are you?**
- What is your storm water background?**
- What dewatering management experience have you had?**
- What do you want to learn from this class?**

Introduction: Course Administration



- Sign-in/Attendance Forms**
- Breaks**
- Restrooms**
- Emergency Exits**
- Classroom Etiquette**

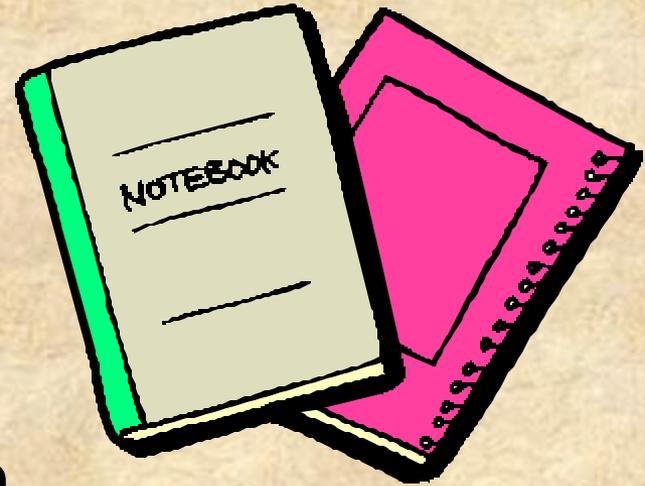


Introduction: Course Materials



- ❑ **Field Guide to Construction Site Dewatering**

- ❑ **Course Handouts**
 - Flow Chart
 - List of Acronyms
 - PowerPoint Presentation
 - District – RWQCB NPDES Permit Exercise



Introduction: Department's Goal



Achieve compliance with National Pollutant Discharge Elimination System (NPDES) requirements for construction site dewatering operations statewide.

Introduction: Course Focus



- Reviews District-specific requirements**
- Addresses field staff responsibilities:**
 - Resident Engineers
 - Structures Representatives
 - Storm Water Inspectors
 - Inspectors
- Presents practical solutions to real-world challenges**

Introduction: Learning Outcomes



After successful completion of the course, you should be able to:

- Determine if a dewatering operation is required
- Determine if a permit is required for a dewatering operation
- Understand the Contractor's roles and responsibilities
- Understand the Department's roles and responsibilities

Introduction: Learning Outcomes (Cont.)



After successful completion of the course, you should be able to:

- Understand the general process of dewatering under an RWQCB NPDES permit
- Understand the process for dewatering under the Caltrans permit
- Determine if the Contractor is in compliance with applicable permits
- Determine the appropriateness of various dewatering treatment technologies

Course Outline



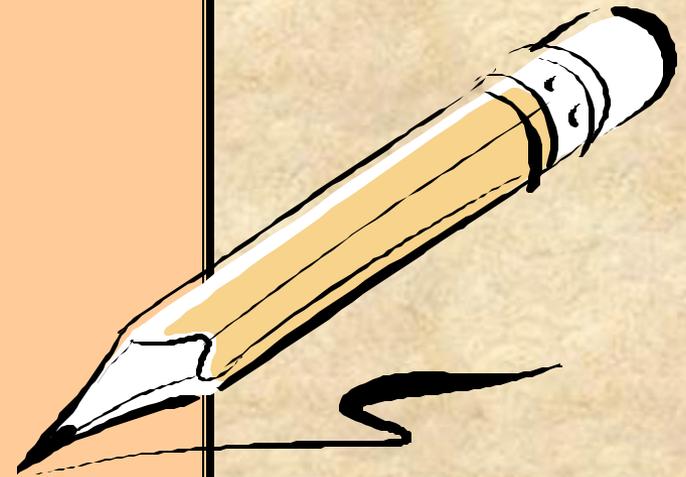
- Pre-Test**
- Water Pollution Control Overview**
- Introduction to Dewatering Operations Management**
- Selecting Appropriate Dewatering Management Options**
 - Dewatering Options not Requiring a Permit
 - Dewatering Under the Caltrans Statewide NPDES Permit
 - Dewatering Under a RWQCB Permit

Course Outline (Cont.)



- Roles and Responsibilities**
- Technologies for Treating Groundwater**
- Post-Test**
- Wrap-Up**

Pre-Test



Taking the Pre-Test



- Evaluates your current level of knowledge
- Questions cover course topics
- Time limit: 15 minutes
- Requires some math
- Will take the same test at the end of the course to evaluate what you learned
- Will review answers at the end of the course

Water Pollution Control Overview



Review of Key Terms



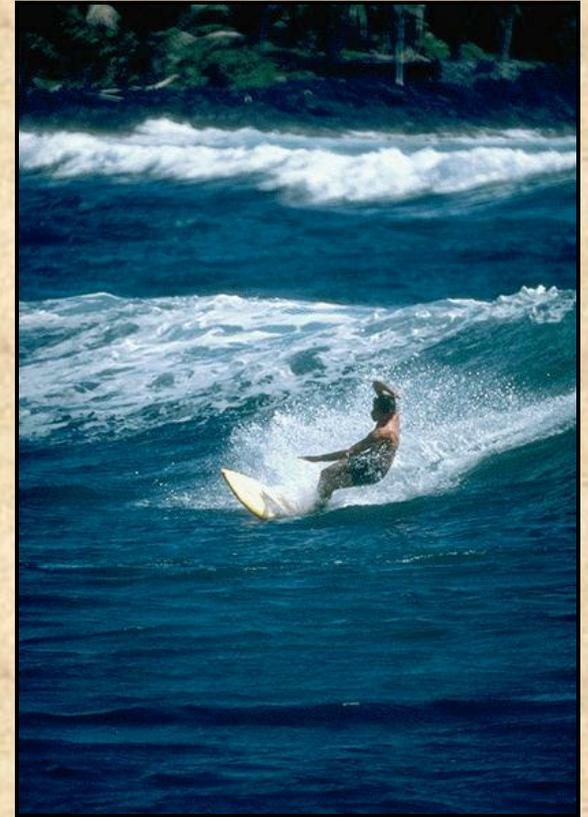
- Water Pollution Control**
- EPA/SWRCB/RWQCB**
- NPDES Permit**
- SWMP**
- SWPPP/WPCP**
- BMP**
- Storm Water/Non-Storm Water**
- Water Body/Water of the State/Water of the U.S.**
- Effluent vs. Receiving Water**
- Pollutant**
- Vector Control**

Water Pollution Control



- ❑ Practices that reduce or prevent pollutants from leaving a site and impacting water quality.
- ❑ **Clean Water Act of 1972**
(Federal law amended in 1987 for NPDES)
- ❑ **Porter-Cologne Water Quality Control Act** (California law enacted in 1970)

'No degradation of State Waters'



EPA – SWRCB – RWQCB



❑ EPA

U.S. Environmental Protection Agency

Role: Develop Clean Water Act regulations; nationwide enforcement

❑ SWRCB

State Water Resources Control Board

Role: Statewide enforcement of Clean Water Act and Porter-Cologne Act

❑ RWQCB

Regional Water Quality Control Board

Role: Regional Clean Water Act and Porter-Cologne enforcement



NPDES Permit



- ❑ **NPDES**
National Pollutant Discharge Elimination System

- ❑ Clean Water Act permitting system for regulating discharges of storm water and non-storm water to waters of the U.S.



NPDES Permits



- ❑ **Statewide Construction NPDES Permit (02 Permit)**
Issued by the SWRCB to regulate discharges from construction activity within the state.

- ❑ **Caltrans Statewide NPDES Permit (03 Permit)**
Issued by the SWRCB to regulate discharges from all Caltrans operations and activities statewide. It incorporates the Statewide Construction NPDES Permit by reference.

- ❑ **RWQCB NPDES Dewatering Permit**
Either a region-wide (general) or a site-specific permit issued by the RWQCB having jurisdiction.

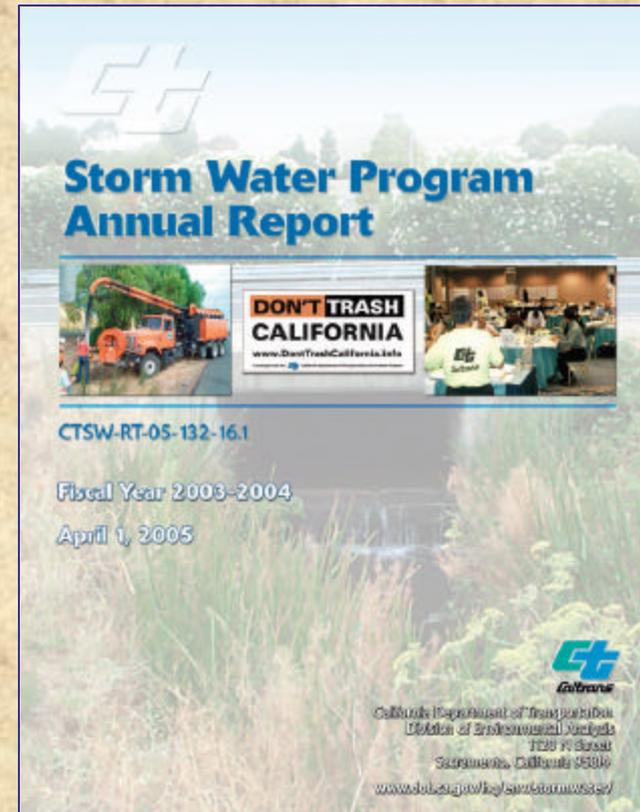
SWMP



□ SWMP

Caltrans Statewide Storm Water Management Plan

- Caltrans' plan for ensuring compliance with water pollution control regulations.
- Reviewed by RWQCBs and SWRCB and approved by SWRCB



SWPPP - WPCP



❑ SWPPP

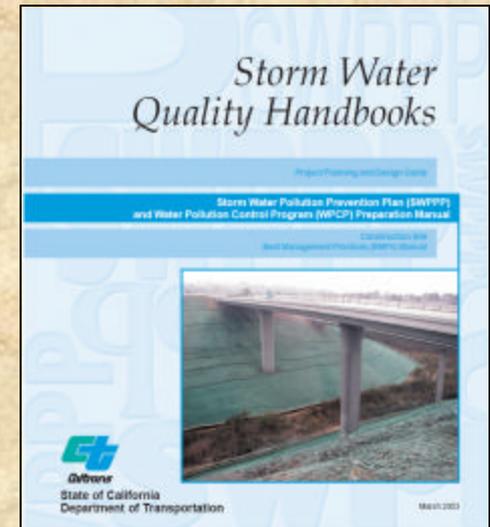
Storm Water Pollution Prevention Plan
Required for projects > 1 acres

❑ WPCP

Water Pollution Control Program
For all projects smaller than SWPPP
projects

❑ SWPPPs and WPCPs

Construction contractor's plans to ensure project
compliance with the Caltrans SWMP



BMP



- ❑ **BMP**
Best Management Practice

- ❑ **SWPPPs and WPCPs**
identify BMPs to be implemented on projects for water pollution control.



- ❑ **Caltrans Storm Water Quality Handbooks:**
Construction Site BMPs Manual
March 2003 (Latest Revision)

Storm Water/Non-Storm Water



- ❑ **Storm Water:** Water that is on site that comes from rain or snow melt.

- ❑ **Non-storm Water:** Water that is on site that comes from everything but rain or snow melt (vehicle washing, dust control, irrigation, water line flushing, groundwater, etc.).

Water Body/Water of the State/Water of the U.S.



- ❑ **Water Body (SWMP):** Includes creeks, rivers, reservoirs, lakes, wetlands, lagoons, estuaries, bays, and the Pacific Ocean, and tributaries thereto.

- ❑ **Water of the State (Porter-Cologne):**
“... any water, surface or underground, including saline waters, within the boundaries of the State...”



- ❑ **Water of the U.S. (CWA):** Territorial seas, coastal and inland lakes, rivers, streams, wetlands, and intermittent streams

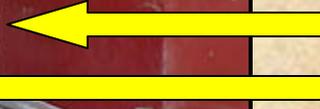
Effluent vs. Receiving Water



Receiving
Water



Effluent =
Dewatering
Discharge



- ❑ Receiving waters may include dry stream beds and dry lake beds.

Sources of Dewatering Effluent



- ❑ **Storm Water:** Accumulated precipitation

- ❑ **Non-storm Water:** Groundwater, water from cofferdams, water diversions, water used for construction activities, storm water mixed with other sources of non-storm water



Pollutant



- ❑ **Sediment:** Most common pollutant in construction site dewatering effluent

Sources: Clearing/grubbing
Earth moving
Run-on from neighbors

- ❑ **Other Pollutants:** Defined by Federal and State laws

Sources: Construction materials
Existing site conditions
In groundwater



Vector Control



Vector:

Any insect, rodent or other animal of public health significance capable of harboring or transmitting causative agents of disease to humans.

Dewatering Concern:

Mosquito breeding in construction-generated ponded waters.



Caltrans Policy:

Basins shall be designed to drain within 72 hours following storm events (Caltrans Storm Water Quality Handbooks).

Team Competition #1: Water Pollution Control Overview



Team Competition #1: Water Pollution Control Overview

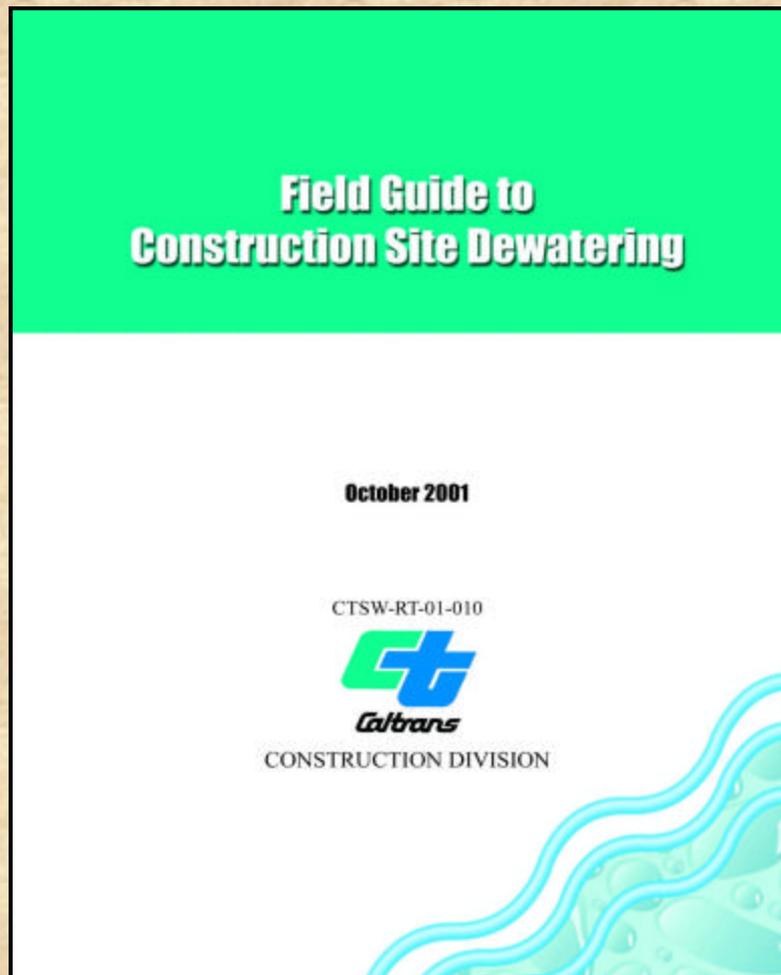




Introduction to Dewatering Operations Management



Field Guide to Construction Site Dewatering



Field Guide Table of Contents



- ❑ **Section 1:** Introduction
- ❑ **Section 2:** Selecting a Dewatering Management Option
- ❑ **Section 3:** Dewatering Management Details
- ❑ **Appendix A:** RWQCB Maps, Contacts, Permit Summaries
- ❑ **Appendix B:** Sediment Treatment Options
- ❑ **Appendix C:** Water Quality Assessment/Discharge Parameters Forms & Dewatering Operations Monitoring Form
- ❑ **Appendix D:** RWQCB General Permits

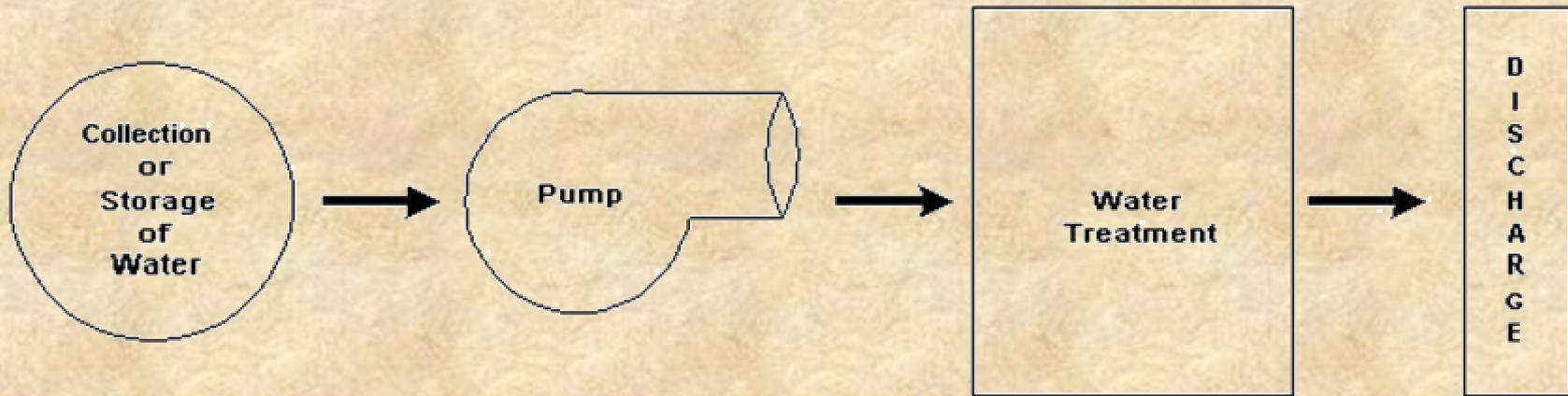
What is a Dewatering Operation? (FG: Page 1)



- ❑ Practices that manage the discharge when non-storm water or accumulated precipitation must be removed from a work location.



General Dewatering and Discharge Process (FG: Page 1)



Why are Dewatering Operations Regulated?

(FG: Page 2)



- ❑ Untreated water from construction dewatering operations may contain pollutants that can violate receiving water quality standards.



Is a Dewatering Operation Required?



- Do you have water on the project...
 - ...that has been standing for 72 hours or more and needs to be removed for vector control?
 - ...that needs to be removed by the Contractor for construction to proceed?

Is an NPDES Permit Required?



- Is it storm water or non-storm water?
- Where is the effluent being discharged?
- Where is the project located?
- What is the daily volume of water and duration?
- Is the effluent polluted with sediment or other pollutants?

Not Only NPDES to Consider...



- ❑ If discharging directly to, or working in, a **stream**, other permits and regulatory requirements may specify dewatering practices:
 - 401 – California RWQCB
 - 404 – U.S. Army Corps of Engineers
 - 1601 – California Fish and Game
 - U.S. Fish and Wildlife
 - Bureau of Land Management
 - Clean Water Act 303(d) issues
 - Other

Not Only NPDES to Consider...



- ❑ Caltrans Permit also requires compliance with local requirements, including:
 - Basin Plans
 - Municipal Separate Storm Sewer System (MS4) Permits
 - Other

Team Competition #2: Intro to Dewatering Operations Mgmt.



Team Competition #2: Intro to Dewatering Operations Mgmt.



Selecting an
Appropriate
Dewatering
Management
Option

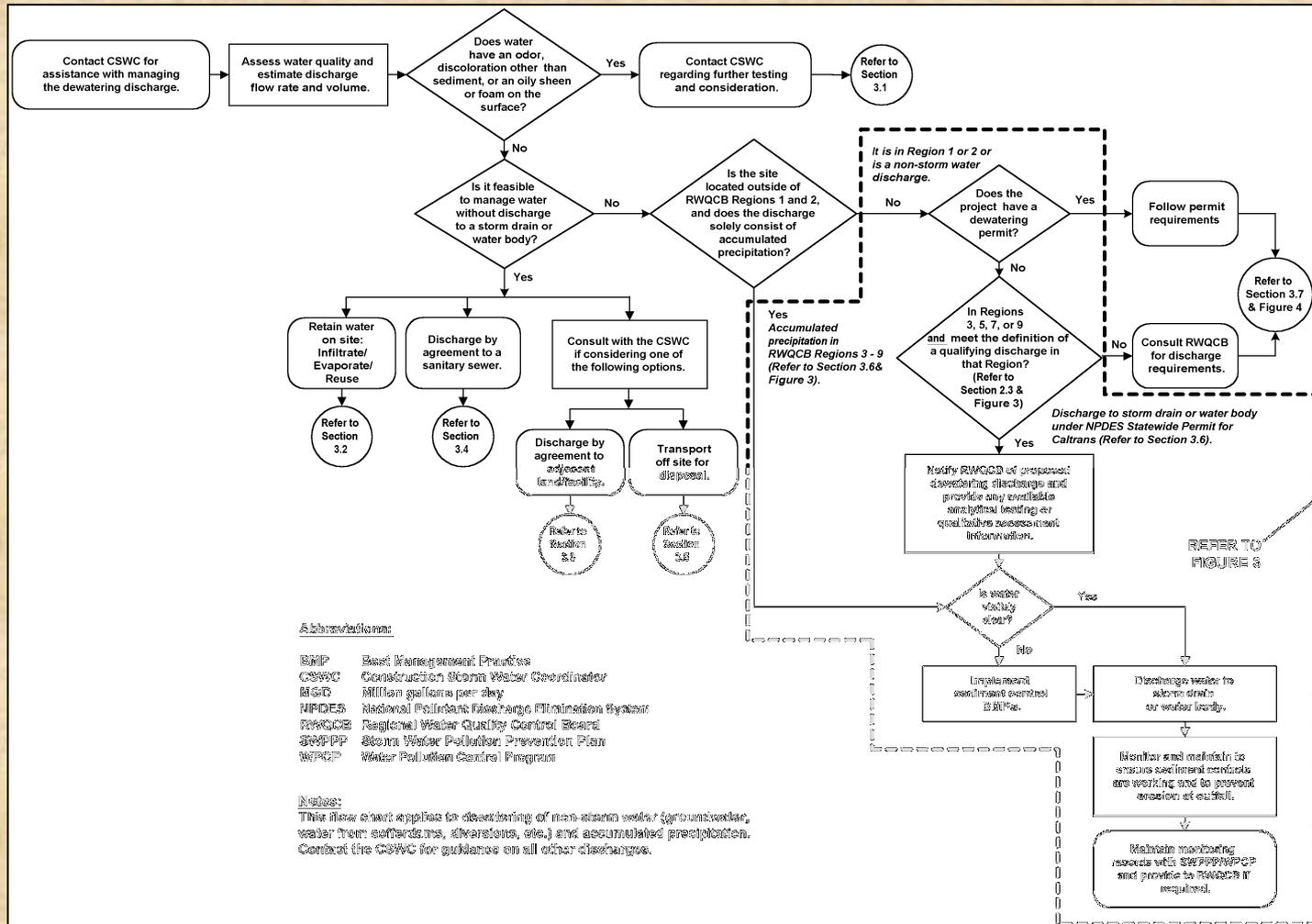


Types of Dewatering Operations

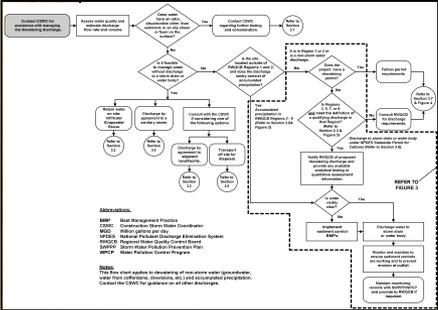
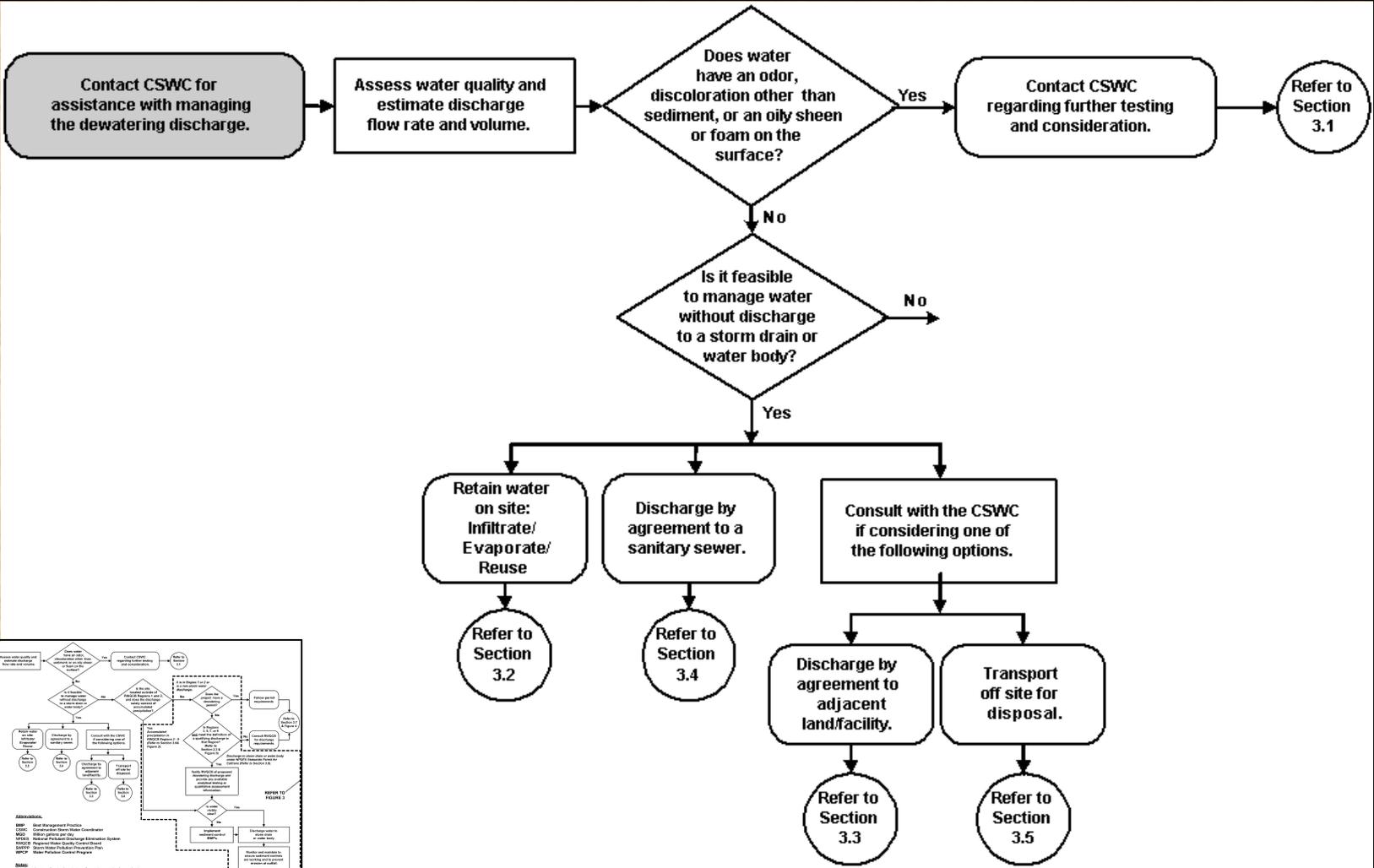


- There are three types of dewatering operations:
 - Operations **NOT regulated** under an NPDES Permit
 - Operations **regulated under the Caltrans Statewide** NPDES Permit
 - Operations **regulated under a local RWQCB** NPDES Permit

Dewatering Operations Management Flow Chart (FG: Page 6)



Contact Construction Storm Water Coordinator (CSWC)



District CSWCs

(Student Handouts)



CONSTRUCTION STORM WATER COORDINATORS

HEADQUARTERS

Leroy Clayton

1120 N Street, Room 2500
Sacramento, CA 95814
(916) 653-2084

Ben Ghafghazi

1120 N Street, Room 2500
Sacramento, CA 95814
(916) 653-7289

STRUCTURES

Brett Soldano

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Sacramento, CA 95816
(916) 227-9561 Fax (916) 227-8179 Cell (916) 214-9561

NORTH REGION - DISTRICTS 1, 2, & 3

Alan Murphy – South Area

3651 Performance Drive, Suite E
Sacramento, CA 95838
(916) 869-6093 Fax (916) 263-5342

Kirk Carrington – Southeast Area

10960 West River Street
Truckee, CA 96161
(530) 582-9129

Eck Senivongs – Northern Area

4300 Caterpillar Rd.
Redding, CA 96001
(530) 225-3350 Cell: (530) 604-512

DISTRICT 4 - Construction Info (510) 286-5170

Frank Gorham

1910 Olympic Blvd., #160
Walnut Creek, CA 94596
Cell (510) 385-6758
(925) 942-6012 FAX (510) 286-5171

CENTRAL REGION - DISTRICTS 5, 6, 9, & 10

Rudy Chavez

Central Region Construction
5156 N. Blackstone Avenue
Fresno, CA 93710
(559) 444-2560 Fax (559) 488-4130
Cell (559) 779-3702

Javid Shenasi

2510 S. East Ave, Suite 400
Fresno, CA 93706
(559) 445-6323 Fax (559) 488-4343
Cell (559) 905-5501

CENTRAL REGION - DISTRICTS 5, 6, 9, & 10 (Cont.)

Sheri West – Southern Area

Central Region, District 6
1824 Norris Road
Bakersfield, CA 93308
(661) 395-2795 Cell (661) 332-0963

Pete Riegelhuth

Central Region, District 5
50 Higuera Street
San Luis Obispo, CA 93401
(805) 542-4765 Cell (805) 441-6935

Richard Enler

Central Region North, Districts 9&10
445 West Weber Street, Suite 128
Stockton, CA 95203
Cell (209) 483-5565 Fax (209) 948-7215

DISTRICT 7

James Burt

120 South Spring Street
Los Angeles, CA 90012-3606
(213) 897-1960 Fax (213) 897-0073
Fed Ex Address
801 South Grand Avenue, Suite 400
Los Angeles, CA 90017

DISTRICT 8

Walt Griffith – Low Desert Area & 210 Corridor

464 West Fourth Street, 6th Floor, MS-1104
San Bernardino, CA 92401-1400
(909) 830-6953 Fax (909) 799-1366

Walid Naouchi – Southwest Area

464 West Fourth Street, 6th Floor, MS-1104
San Bernardino, CA 92401-1400
(909) 232-8612 Fax (909) 799-1936

Dave Meress – High Desert & Mt. Areas

464 West Fourth Street, 6th Floor, MS-1104
San Bernardino, CA 92401-1400
(909) 232-8549 Fax (909) 799-1936

DISTRICT 11

Chuck Devog

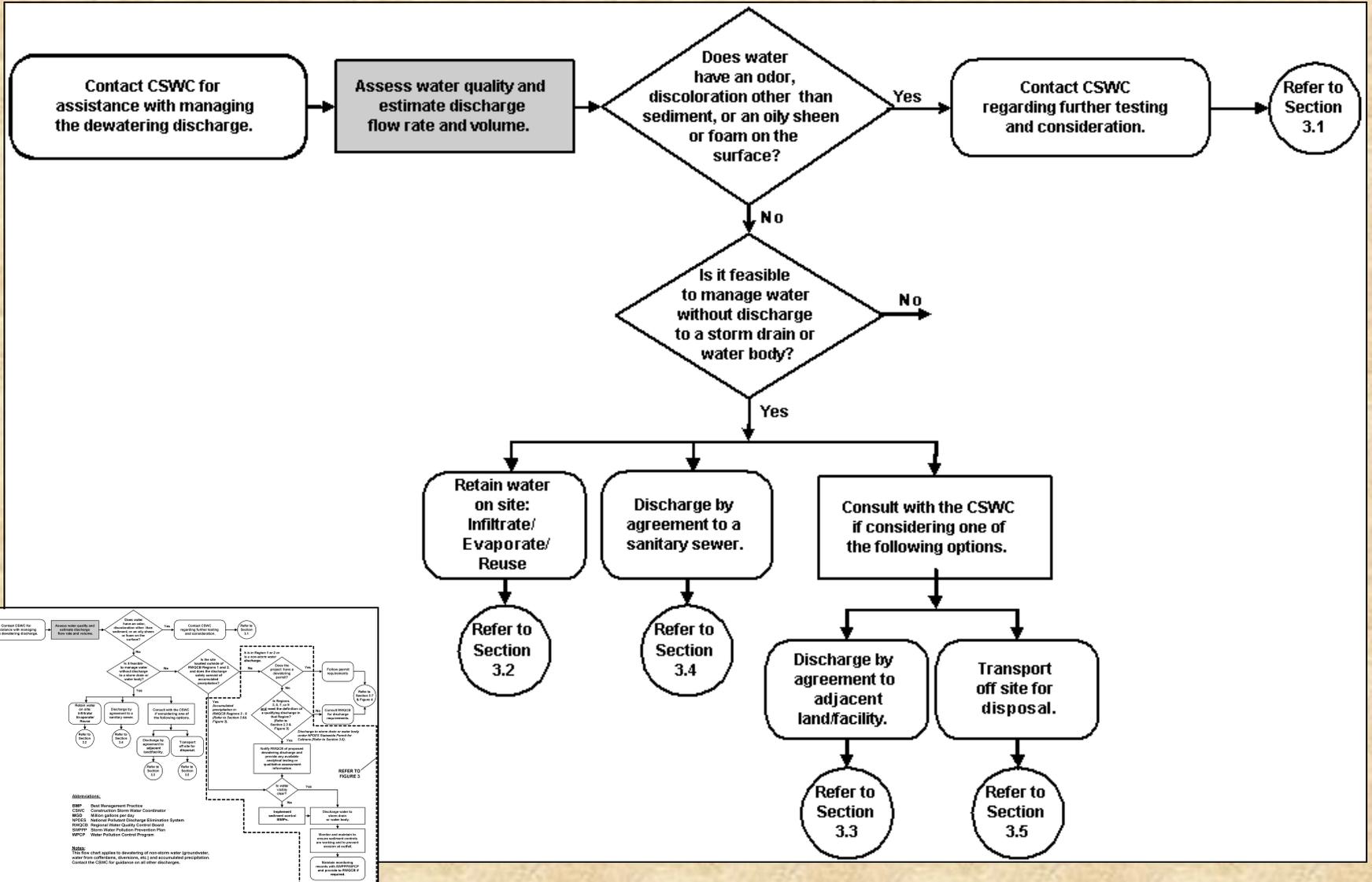
7177 Opportunity Road
San Diego, CA 92111
(858) 467-4080 Fax (858) 467-4082

DISTRICT 12

Mark Doroudian

3347 Michelson Drive, Suite 380
Irvine, CA 92612-1699
(949) 724-2834

Assess Water Quality (FG: Page 7)



Assess Water Quality (FG: Page 7 & App. C)

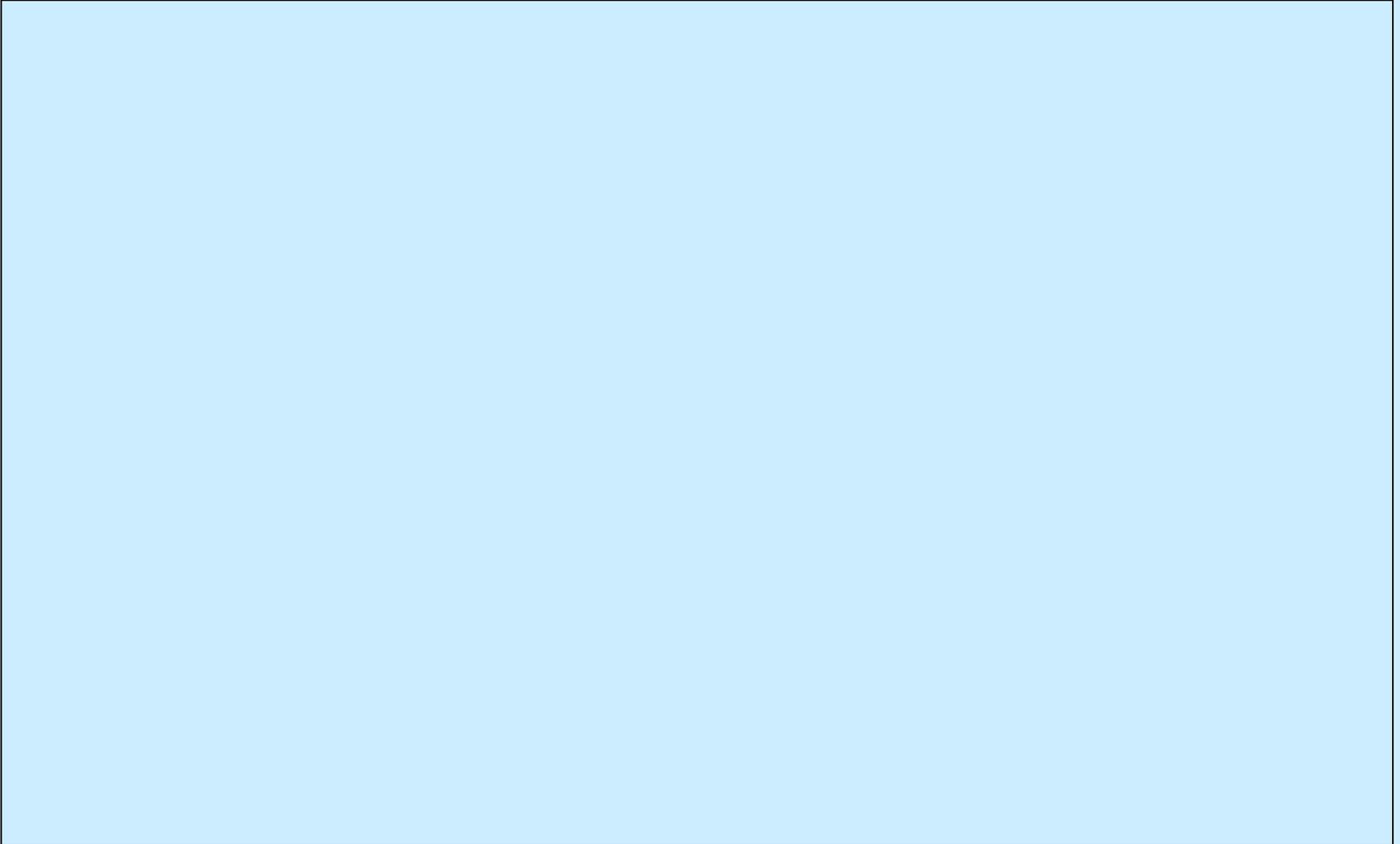


- ❑ Appendix C has form that can be reproduced.
- ❑ Complete one form for each unique location.

WATER QUALITY ASSESSMENT			
The following questions provide an initial assessment of the quality of the water to be discharged from the dewatering operation.			
Common Sense Test	1. Review the project records. Is there any reason to suspect that the water may be polluted by something other than sediment?	No	Yes
	2. Is the water located in an area of known contamination?	No	Yes
Sight Test	Does the water have an abnormal visual feature, such as: (circle) Oily Sheen Floating Foam Murky Appearance Unusual color Other		
Smell Test	Does the water have an odor? Possible odors include gasoline, petroleum, ammonia, sewage, etc.	No	Yes
If you answered YES to any of the above questions, explain.			
<i>If you answered YES to any of the questions in the assessment or suspect that the water contains pollutants other than sediments, contact the Construction Storm Water Coordinator (CSWC) for assistance with additional testing and management options.</i>			

- ❑ If answer is YES to any question, contact the CSWC.

Team Competition #3: Water Quality Assessment



Estimate Discharge Parameters

(FG: Page 8 & App. C)



- ❑ Appendix C has form that can be reproduced.
- ❑ Complete one form for each unique location.

DISCHARGE PARAMETERS	
To estimate water discharge parameters, answer the following questions and document the results below.	
Origin of Water	Is the discharge from (circle one): <div style="display: flex; justify-content: space-around; text-align: center;"> Groundwater Cofferdam/Diversion Accumulated Precipitation Other </div>
	Will the discharge be intermittent (associated with each rainstorm) or continuous (dewatering one area for a long period)? (circle) <div style="display: flex; justify-content: space-between; text-align: center;"> Intermittent Continuous </div>
Daily Flow Rate	Estimate the total quantity of water and proposed discharge rate for each daily discharge event (Q_d , gallons per day). This can be estimated from the pump discharge rate and the expected daily total of hours the pump will be run. $Q_d = \text{_____ gpd}$
Discharge	What is the expected duration of the dewatering operation?
Water Volume	What is the estimated total discharge for the life of the project? (If it requires the total discharge, multiply the daily flow rate (Q_d) by the estimated duration.) $V_T = \text{_____ Gallons}$
Comments:	

“Rules of Thumb” for Estimating Discharge Parameters



- Estimate discharge rate based on submersible pump

Pump Size (in.)	Pump Flow Rate (gpm)
1.5	90 – 120
2	90 – 300
3	300 – 800
4	400 – 1300
6	400 - 1800

“Rules of Thumb” for Estimating Discharge Parameters

- Estimate discharge rate based on gravity fed pipe flow

Pipe size in inches	Estimated Discharge Rate (gpm)			
	Percent of Pipe Capacity Filled*			
	25%	50%	75%	100%**
1.5	7	14	21	28
2	12	24	37	49
3	28	55	83	110
4	49	98	147	196
6	110	220	330	440

* Not under pressure

** Flow meter required for accurate measurement gpm Gallons Per Minute

“Rules of Thumb” for Estimating Discharge Parameters (Cont.)



- ❑ Estimate discharge rate
 - How long would it take to fill up a 55 gallon drum?
 - How many 55 gallon drums could be filled each minute?



- ❑ Estimate accumulated volume by size of hole
 - Calculate volume based on length x width x depth of hole ($1 \text{ m}^3 = 264.2 \text{ gallons}$ or $1 \text{ ft}^3 = 7.5 \text{ gallons}$)
 - Estimate based on size of hole compared to a swimming pool ($30' \text{ l} \times 20' \text{ w} \times 5' \text{ d} = 22,500 \text{ gallons}$)

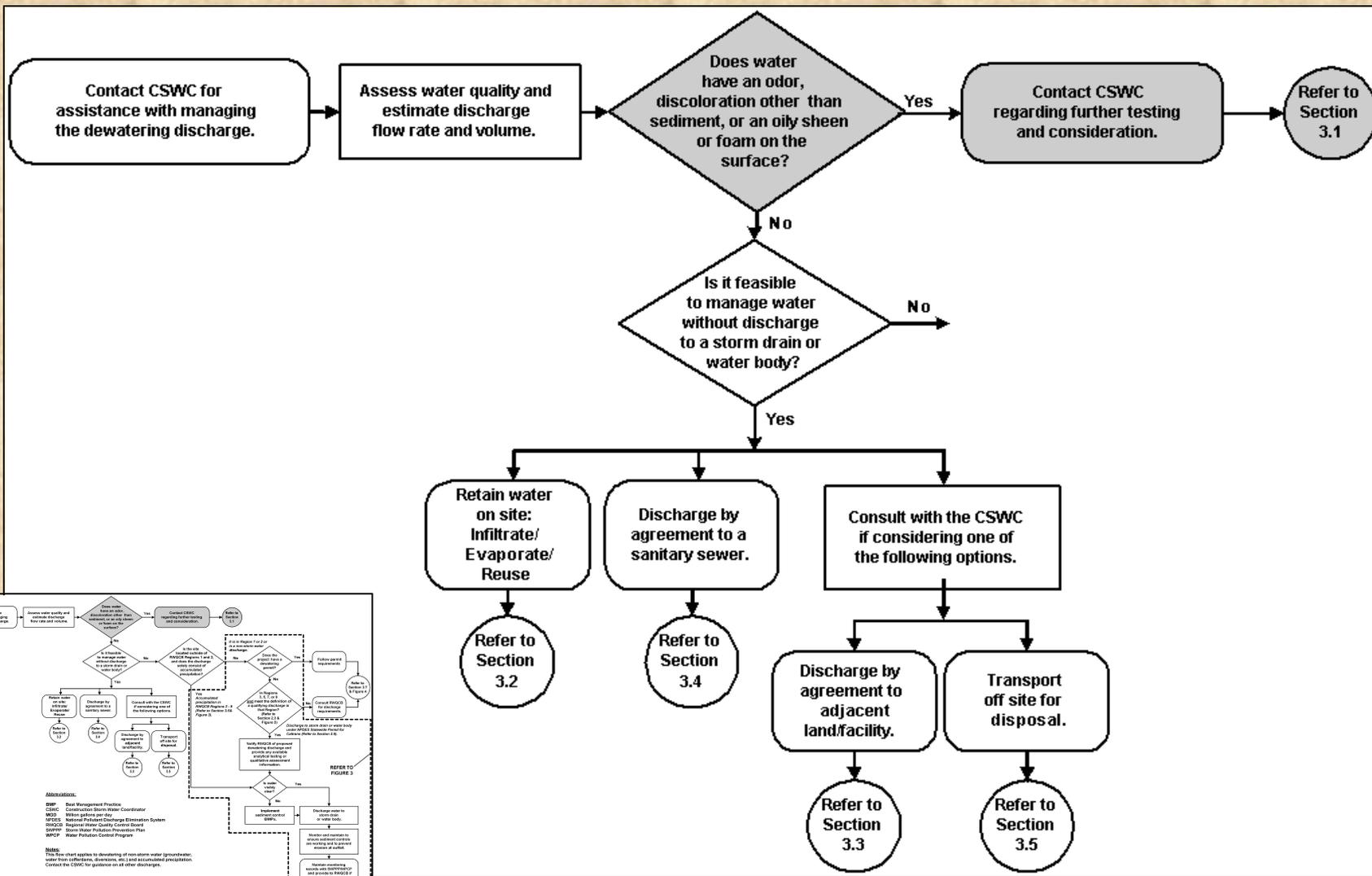
Team Competition #4: Estimating Discharge Parameters



Team Competition #4: Estimating Discharge Parameters (Cont.)



Managing Water with Pollutants Other than Sediment (FG: Page 11)



Manage Water with Pollutants Other than Sediment (FG: Page 11)



- Additional testing and evaluation are typically required.
- Contact your CSWC for assistance.

Types of Dewatering Operations



- After you've assessed:
 - Water Quality
 - Discharge Parameters

- You have the information needed to determine how you will comply with the Clean Water Act.

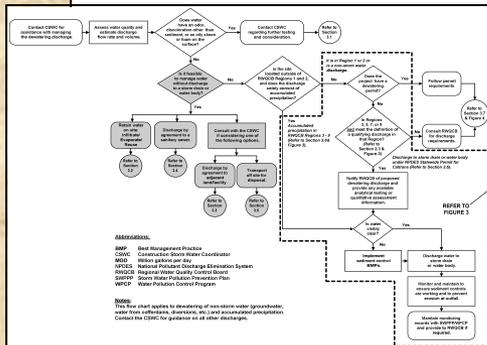
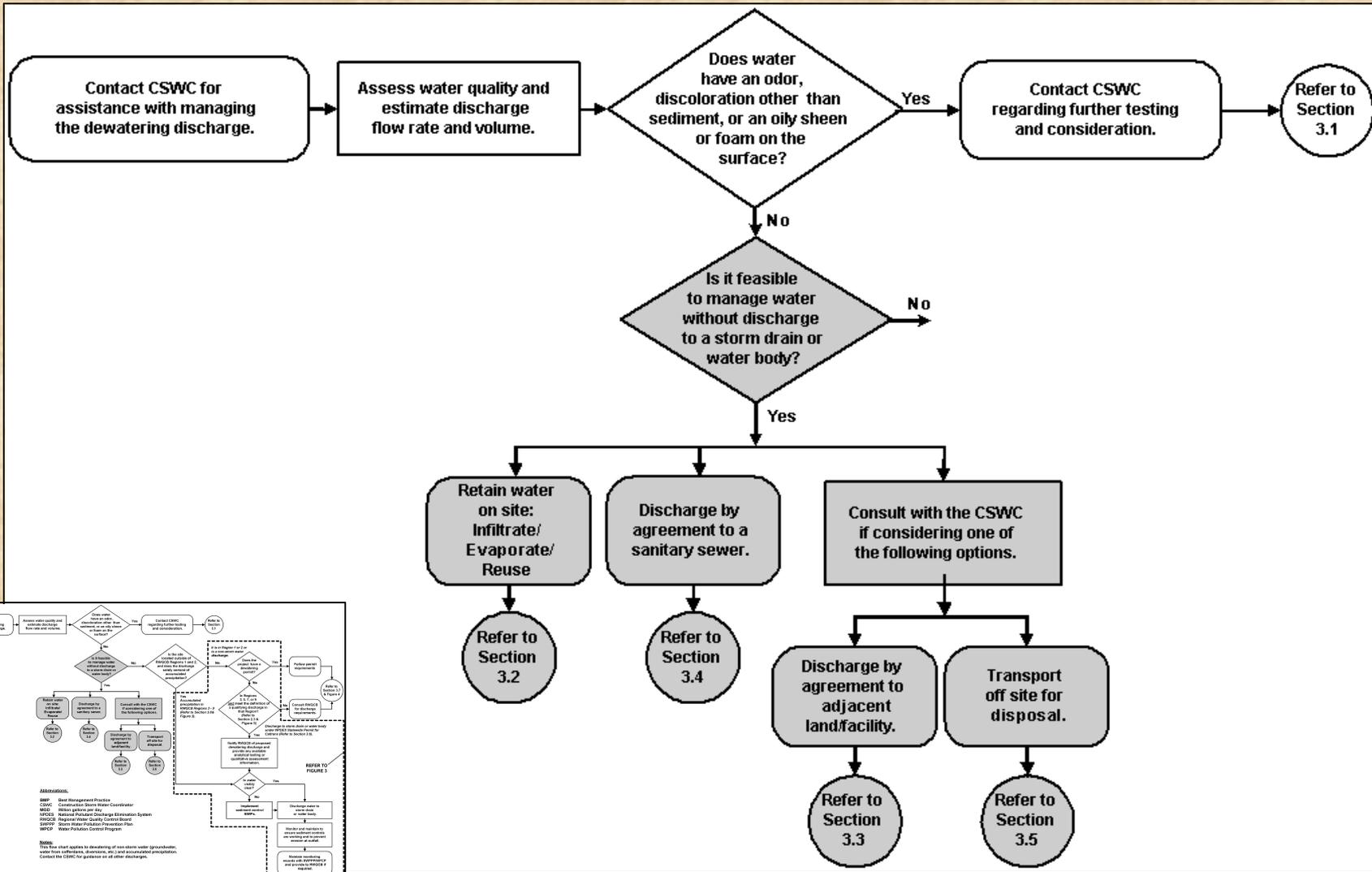
Types of Dewatering Operations



□ There are three types of dewatering operations:

- Operations **NOT regulated** under an NPDES Permit
- Operations **regulated under the Caltrans Statewide NPDES Permit**
- Operations **regulated under a local RWQCB NPDES Permit**

Dewatering Operations Management: No NPDES Permit Required Options



Key Qualification:

No NPDES Permit Required Options



Dewatering effluent cannot be discharged to a storm drain or water body at any time during the operation!

Dewatering Management Options:

No NPDES Permit Required



1. Retain Water on Site
2. Discharge to Adjacent Land or Facility Owned by Others
3. Discharge to a Public Sanitary Sewer System
4. Transport Off Site Using a Transportation, Storage and Disposal (TSD) Contractor

No NPDES Permit Option #1:

Retain Water On Site (FG: Page 12)



No NPDES Permit Option #1:

Retain Water On Site (Cont.)



- ❑ **Accumulated water is retained on site and is...**
 - Allowed to evaporate or infiltrate into the soil, or
 - Used on site for dust control, irrigation, etc., or
 - Stored in tanks for later use on site.

- ❑ **Water Quality**
 - Free of pollutants other than sediment
 - Minor amounts of non-hazardous pollutants by approval of CSWC

No NPDES Permit Option #1:

Retain Water On Site (Cont.)



❑ Limitations

- Not feasible for large volumes or high flow rates
- Ponded water must be infiltrated or evaporated within 72 hours
- May require space for water tanks
- May create problems (sediment-laden water can produce dust)



No NPDES Permit Option #1:

Retain Water On Site (FG: Page 12)



Table 6 Use Assessment: On-Site Retention

Can Water be Retained On Site?

- 1) Answer the following questions to determine the feasibility of using this option:
 - a) Is the water free of pollutants other than sediment? YES NO
 - b) Can the operation be managed so that *no water* leaves the construction site? YES NO
 - c) Can the estimated volume of water as calculated on the **Discharge Parameters** section of the *Water Quality and Discharge Parameters Assessment Form* (Appendix C) be accommodated on the site? YES NO
 - d) Will ponded water evaporate or infiltrate within 72 hours of collection? YES NO
 - e) Can the water be treated for sediment if necessary for the anticipated reuse? YES NO
- 2) If you answered YES to all of the questions above, consider retaining ownership of the water on site.
- 3) If you answered NO to any of the above questions, this option is not feasible for the site. Consider other management options.

No NPDES Permit Option #2:

Discharge to Adjacent Land or Facility Owned by Others
(FG: Page 13)



No NPDES Permit Option #2:

Discharge to Adjacent Land or Facility Owned by Others (Cont.)



Accumulated water is...

- Discharged by agreement to another owner's facility (settling basin, irrigation, etc.)

Water Quality

- Generally required to be free of pollutants other than sediment

General Requirements

- Discuss regulatory and legal implementation with CSWC
- May require a fee
- May require treatment for sediment removal

No NPDES Permit Option #2:

Discharge to Adjacent Land or Facility Owned by Others (Cont.)



- Requires written agreement with provisions for:
 - Compensation
 - Discharge prohibitions
 - Testing requirements
 - Property restoration requirements

- Discharge to be managed to prohibit discharge to a storm drain or water body

No NPDES Permit Option #2:

Discharge to Adjacent Land or Facility Owned by Others
(FG: Page 13)



Table 7 Use Assessment: Discharge to Adjacent Land or Facility Owned by Others

Can Water be Discharged to an Adjacent Land or Facility?

- 1) Answer the following questions to determine the feasibility of using this option:
 - a) Is there an appropriate landowner or a facility adjacent to the site that is willing to negotiate an agreement to accept your discharge? YES NO
 - b) Is the water free of pollutants other than sediment? YES NO
 - c) Can the estimated volume of water as calculated on the **Discharge Parameters** section of the *Water Quality and Discharge Parameters Assessment Form* (Appendix C) be accommodated by the land/facility? YES NO
 - d) Can the water be treated for sediment (if necessary) prior to discharge? YES NO
 - e) If groundwater, does the RWQCB allow unrestricted discharge of groundwater to land? YES NO
- 2) If you answered YES to all of the questions above, consider negotiating an agreement to discharge to the land/facility.
- 3) If you answered NO to any of the above questions, this option is not feasible for the site. Consider other management options.

No NPDES Permit Option #3:

Discharge to a Public Sanitary Sewer System (FG: Page 14)



No NPDES Permit Option #3:

Discharge to a Public Sanitary Sewer System (Cont.)



- Requires an agreement to discharge from the applicable agency**
- Water Quality**
 - Appropriate for discharges that contain sediment and other pollutants
- Advantages**
 - Acceptable levels of pollutants may be discharged without pre-treatment, as defined by the agency.
 - Water can be pumped directly to sewer without intermediate transportation.

No NPDES Permit Option #3:

Discharge to a Public Sanitary Sewer System (Cont.)



Requires written agreement with agency

- Pay necessary fees
- Discharge limitations/prohibitions may apply
- Pre-discharge testing, monitoring and reporting may be required

No NPDES Permit Option #3:

Discharge to a Public Sanitary Sewer System (FG: Page 14)



Table 8 Use Assessment: Discharge to a Sanitary Sewer System

Can Water be Discharged to a Sanitary Sewer System?

- 1) Answer the following questions to determine the feasibility of using this option:
 - a) Is the local sanitary sewer agency willing to negotiate an agreement to accept the discharge?
 - b) Is the water quality acceptable to the agency or can it be treated to meet requirements?
 - c) Is the estimated volume of water as calculated on the **Discharge Parameters** section of the *Water Quality and Discharge Parameters Assessment Form* (Appendix C) acceptable to the sanitary sewer agency?
- 2) If you answered YES to all of the questions above, consider negotiating an agreement to discharge to the sanitary sewer. Contact the CSWC for assistance.
- 3) If you answered NO to any of the above questions, this option is not feasible. Consider other management options.

No NPDES Permit Option #4:

Transport Off Site Using a TSD Contractor (FG: Page 15)



No NPDES Permit Option #4:

Transport Off Site Using a TSD Contractor (Cont.)



- Transport water off site using a licensed commercial Transportation, Storage and Disposal (TSD) contractor.**

- Water Quality**
 - For toxic pollutants that cannot be discharged elsewhere.
 - Some TSD contractors will accept clean water.
 - Use when it's more cost effective to transport water off site than to treat water and discharge at the site.

No NPDES Permit Option #4:

Transport Off Site Using a TSD Contractor (Cont.)



General Requirements

- Requires a fee
- Requires testing to characterize effluent prior to transport
- Not a good long-term solution for dewatering

No NPDES Permit Option #4:

Transport Off Site Using a TSD Contractor (FG: Page 15)



Table 9 Use Assessment: Transport Off Site Using Transportation, Storage and Disposal Contractor

Can Water be Transported Off Site for Disposal?

1) Answer the following questions to determine the feasibility of using this option:

a) Is the water quality acceptable to the TSD? YES NO

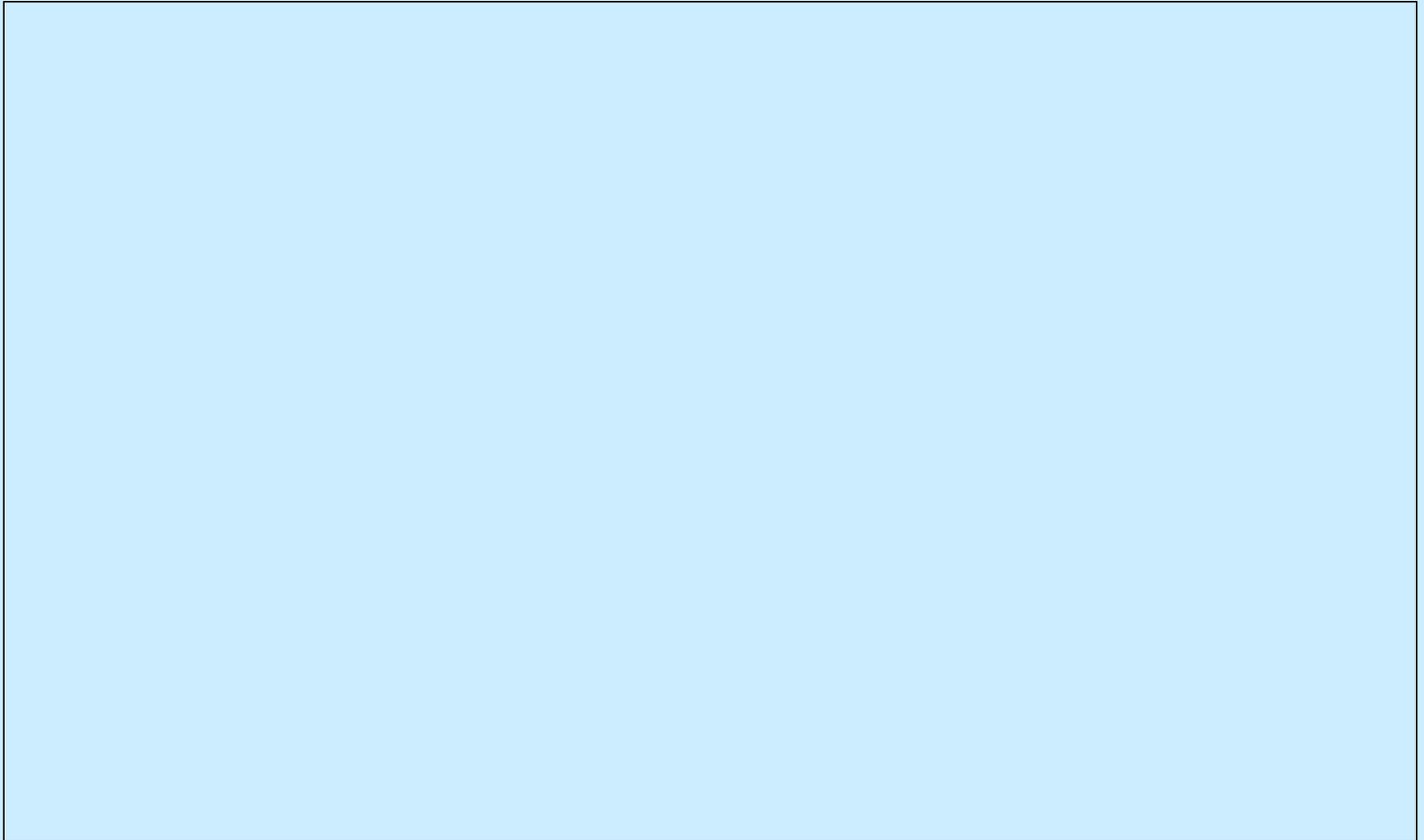
b) Is the estimated volume of water as calculated on **Discharge Parameters** section of the *Water Quality and Discharge Parameters Assessment Form* (Appendix C) acceptable? YES NO

c) Is the fee acceptable to the contract budget? YES NO

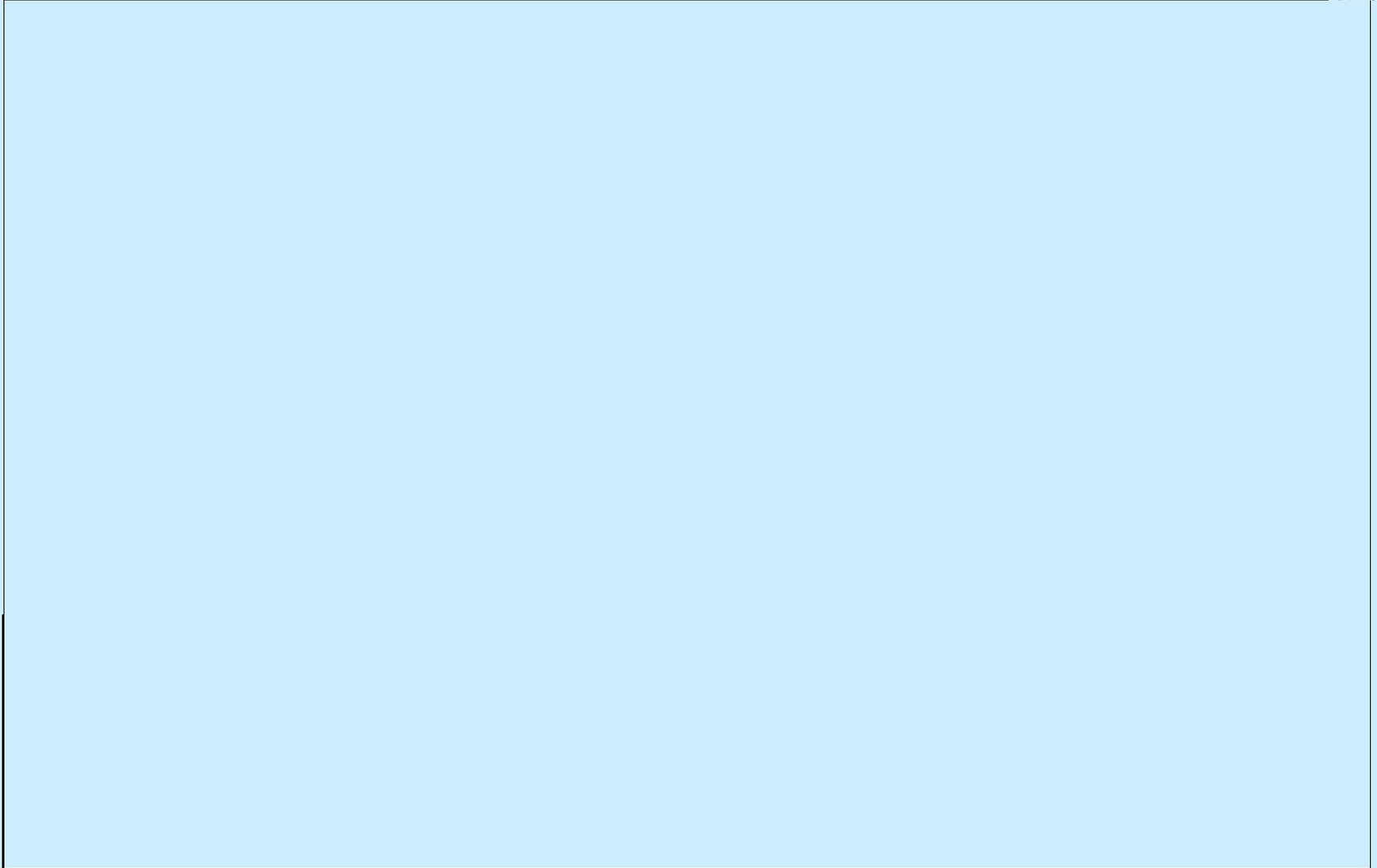
2) If you answered YES to all of the questions above, consider negotiating an agreement to discharge to the TSD facility. Contact the District CSWC for assistance.

3) If you answered NO to any of the above questions, this option is not feasible. Consider other management options.

Team Competition #5: No NPDES Permit Options



Team Competition #5: No NPDES Permit Options Flow Chart



Types of Dewatering Operations



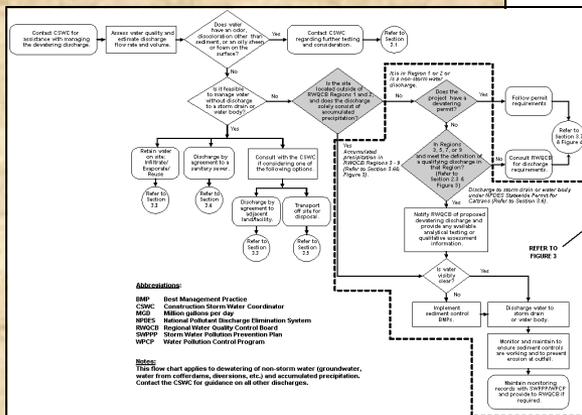
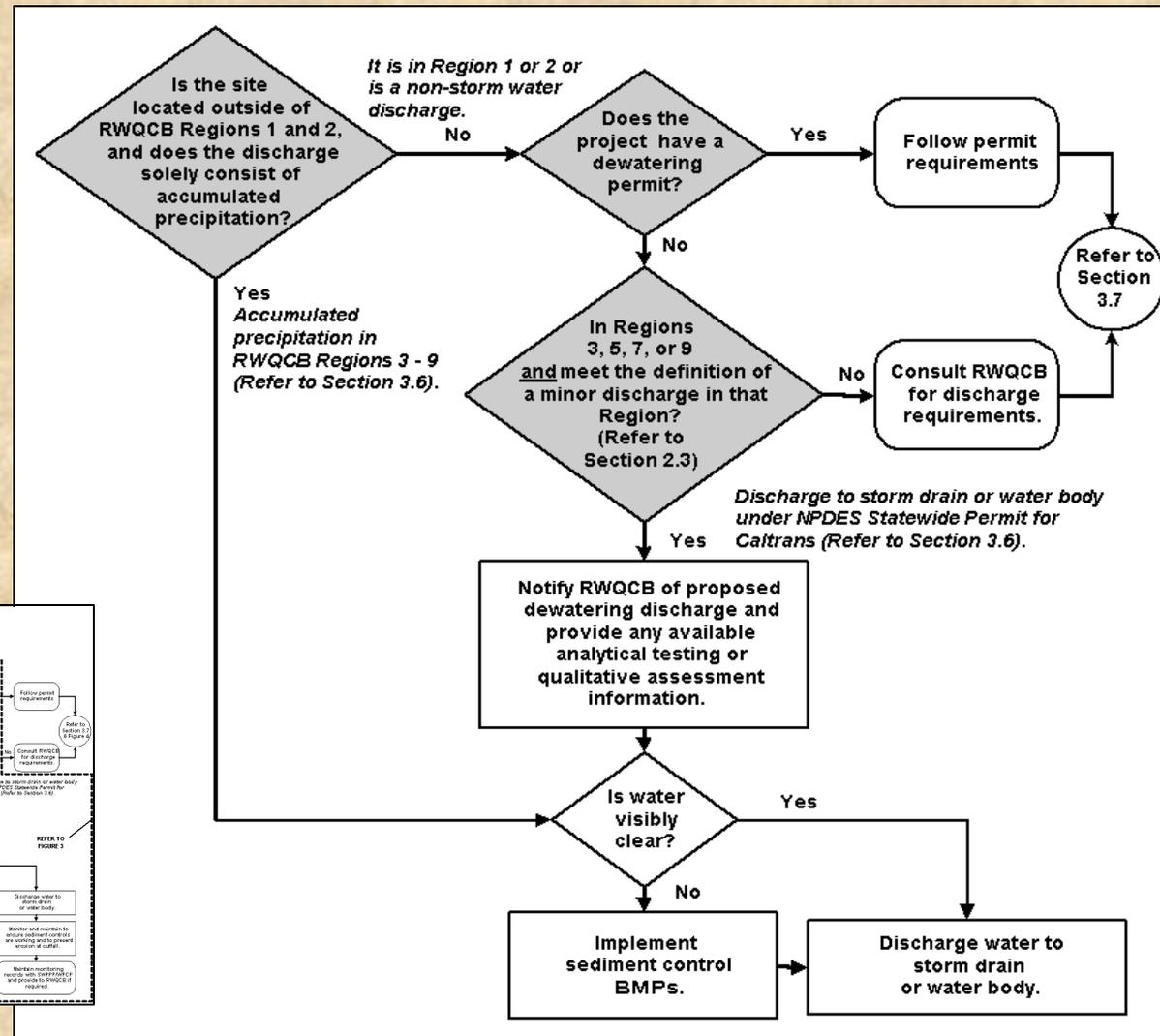
- There are three types of dewatering operations:
 - Operations **NOT regulated** under an NPDES Permit
 - Operations **regulated under the Caltrans Statewide NPDES Permit**
 - Operations **regulated under a local RWQCB NPDES Permit**

Key Qualification: NPDES Permit



A dewatering operation is regulated by an NPDES Permit if any effluent will discharge to a surface water or a storm drain that discharges to a surface water.

Dewatering Management Options: Which Permit?



Which NPDES Permit Regulates the Operation: Caltrans Statewide or RWQCB?



Depends on:

- RWQCB with jurisdiction over project
- Source of effluent: Storm water or non-storm water
- Water quality: Unpolluted, polluted by sediment only, or contains pollutants other than sediment
- Discharge Parameters: Flow rate, daily volume, duration

Which Permit?

Determine RWQCB Jurisdiction

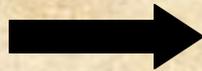


Caltrans District ↓	North Coast 1	San Francisco 2	Central Coast 3	Los Angeles 4	Central Valley 5	Lahontan 6	Colorado River 7	Santa Ana 8	San Diego 9
1	X				X				
2	X				X	X			
3					X	X			
4	X	X	X		X				
5		X	X		X				
6					X	X			
7			X	X	X	X			
8						X	X	X	X
9						X			
10		X			X	X			
11							X		X
12								X	X

District 1 - RWQCB Boundaries



RWQCB 1 regulates all projects in District 1 except for that portion of Lake County within the Sacramento Watershed that drains to the Pacific Ocean via San Francisco Bay.



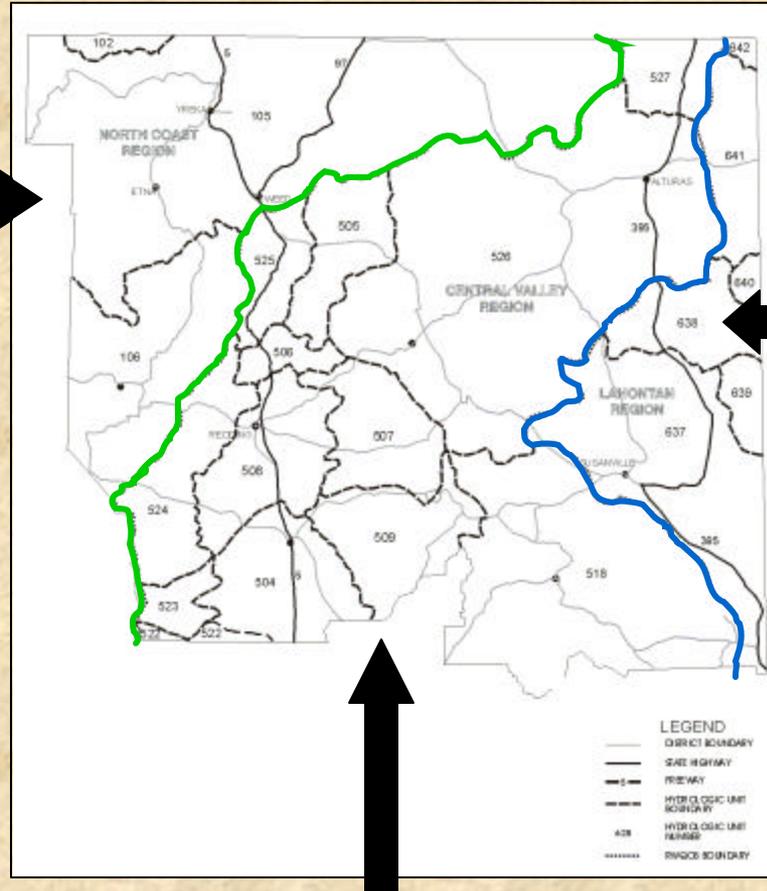
RWQCB 5 regulates projects in that portion of Lake County within the Sacramento Watershed that drain to the Pacific Ocean via San Francisco Bay.



District 2 - RWQCB Boundaries



RWQCB 1 (North Coast) regulates projects in the northwest portion of District 2 that drain directly to the Pacific Ocean, primarily in the Klamath and Eel River drainages.



RWQCB 6 (Lahontan) regulates projects in the eastern portion of District 2 within the Great Basin that do not drain to the ocean.

RWQCB 5 (Central Valley) regulates projects in the central portion of District 2 that ultimately drain to the Pacific Ocean via San Francisco Bay.

District 4 - RWQCB Boundaries

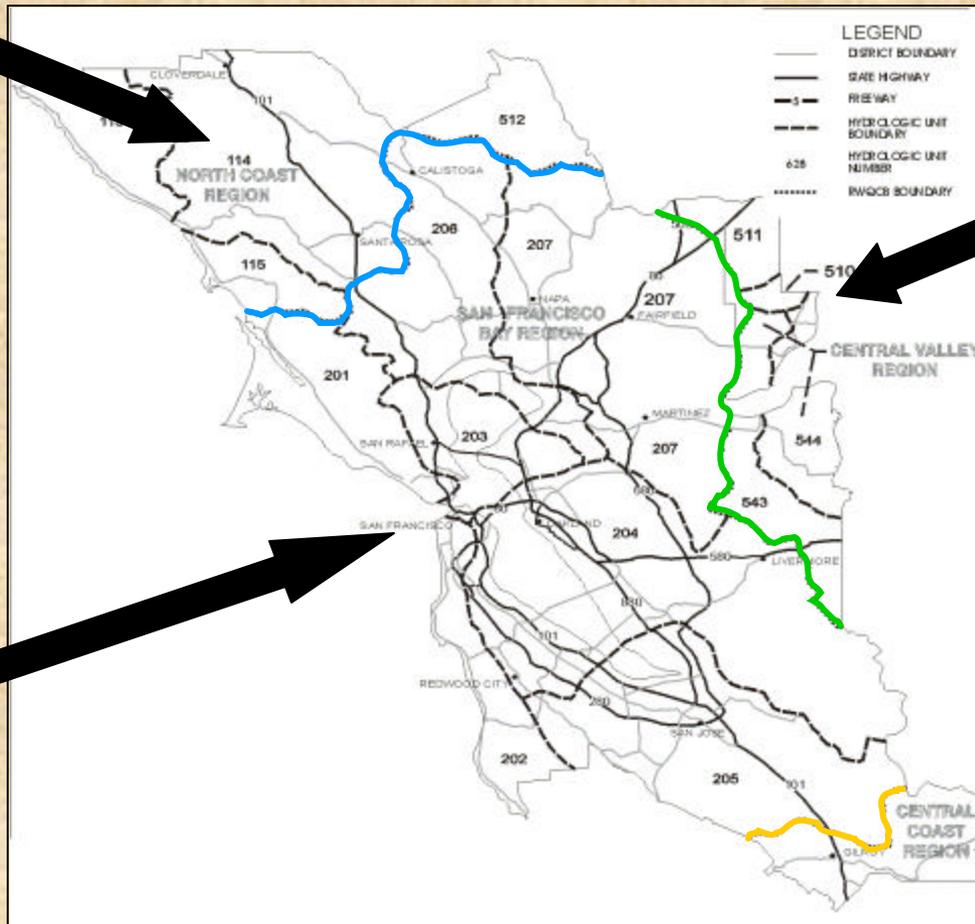


RWQCB 1 (North Coast) regulates projects within the northwest portion of District 4.

RWQCB 2 (San Francisco Bay) regulates the largest portion of District 4.

RWQCB 3 (Central Coast) regulates the southern portion of District 2.

RWQCB 5 (Central Valley) regulates projects within the eastern portion of District 4 within Napa, Solano and Alameda counties.



District 5 - RWQCB Boundaries



**RWQCB 2
(San Francisco Bay)
regulates the
northern tip
of District 5.**

**RWQCB 3
(Central Coast)
regulates the
largest portion
of District 5.**

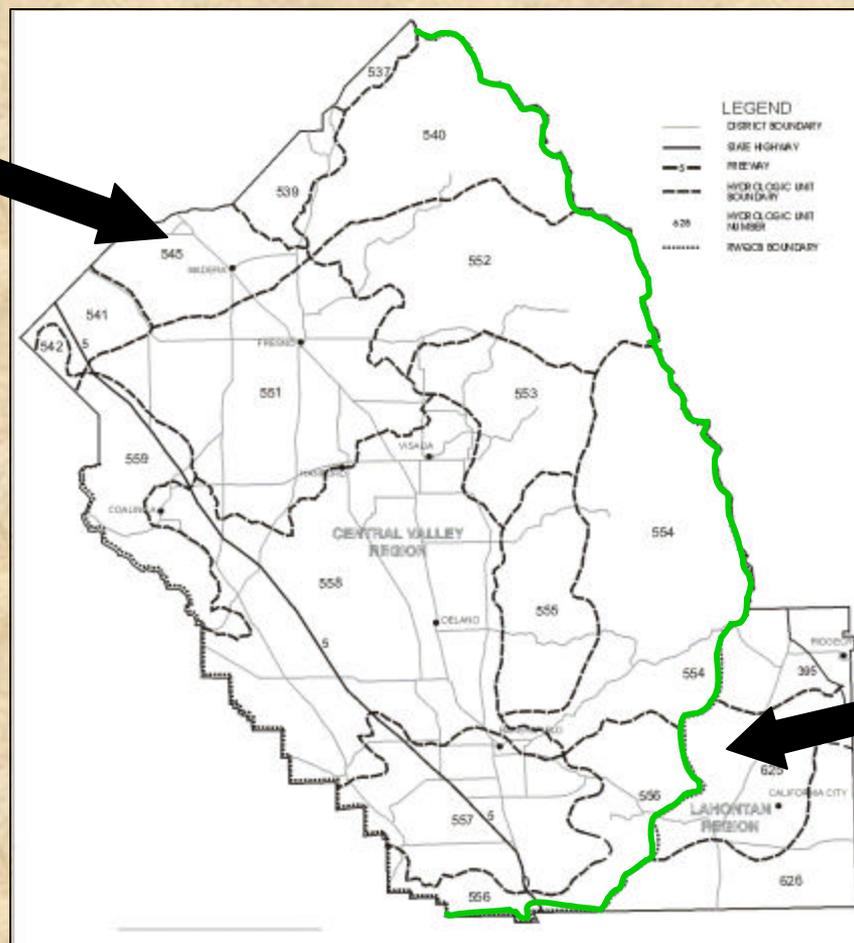


**RWQCB 5
(Central Valley)
regulates the
northeastern
portion of
District 5
(eastern San
Benito
County).**

District 6 - RWQCB Boundaries



**RWQCB 5
(Central Valley)
regulates the
largest portion
of District 6.**



**RWQCB 6
(Lahontan)
regulates
projects in a
portion of
District 6 in
eastern Kern
County.**

District 7 – RWQCB Boundaries

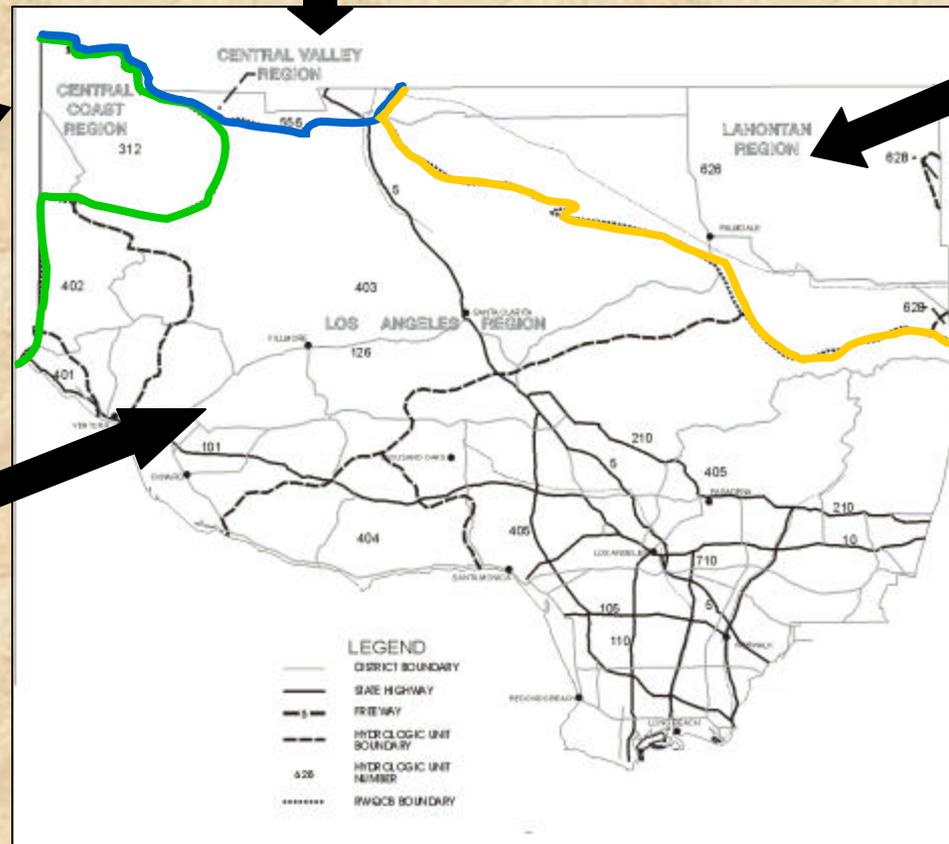


RWQCB 5 (Central Valley) regulates a small north central portion of District 7.

RWQCB 3 (Central Coast) regulates the northwestern corner of District 7.

RWQCB 6 (Lahontan) regulates the northeastern corner of District 7.

RWQCB 4 (Los Angeles) regulates most of District 7.



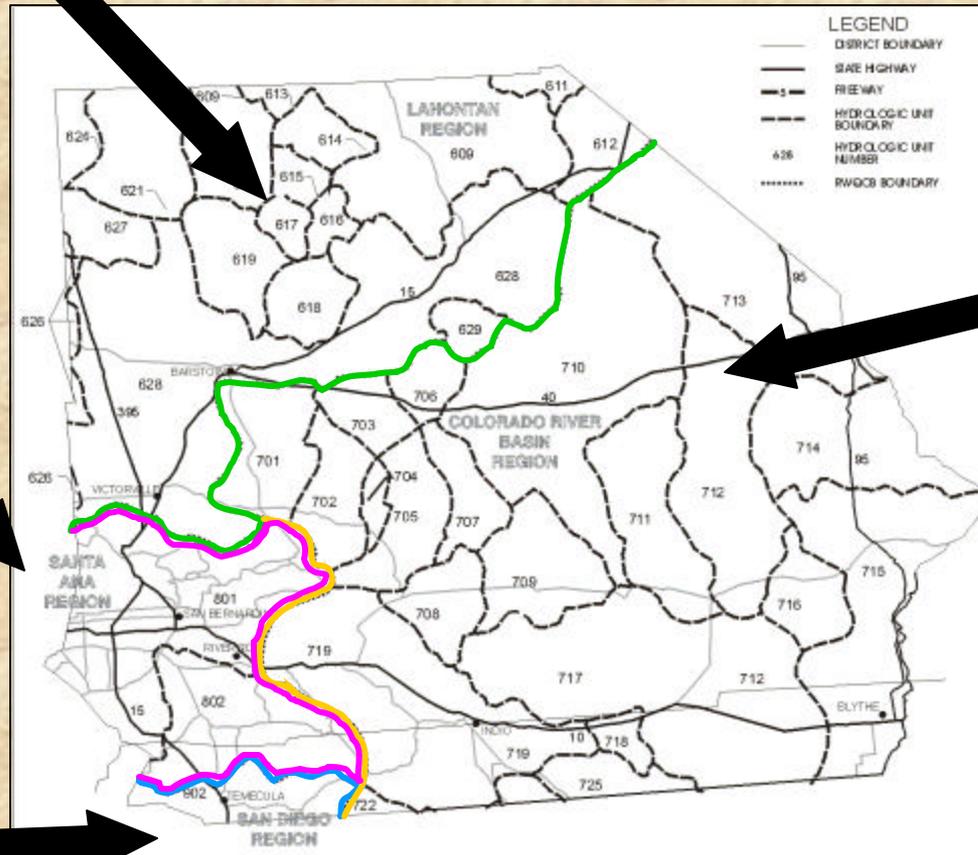
District 8 - RWQCB Boundaries



RWQCB 6 (Lahontan) regulates the northern portion of District 8 in the Great Basin that drains internally.

RWQCB 8 (Santa Ana) regulates a southwestern portion of District 8.

RWQCB 9 (San Diego) regulates the southwest corner of District 8.

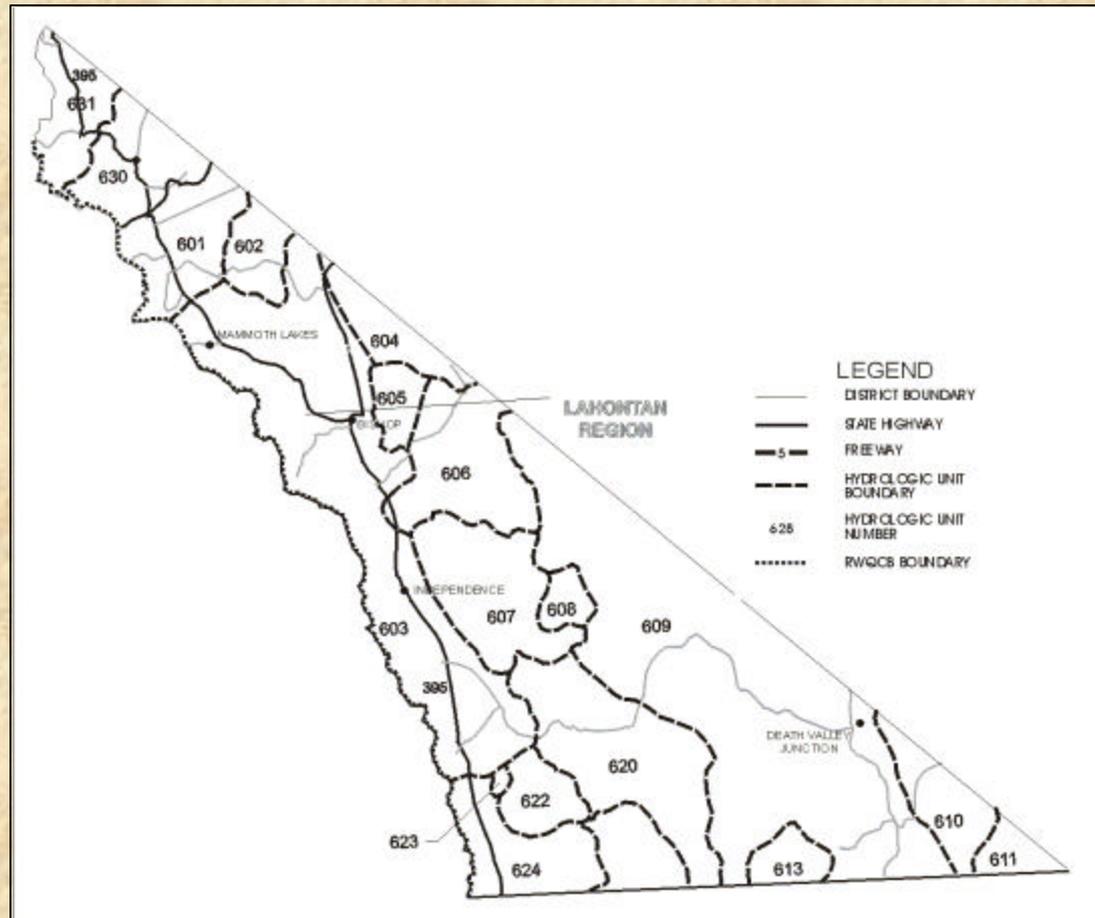


RWQCB 7 (Colorado River Basin) regulates a large portion of the southeastern area of District 8.

District 9 - RWQCB Boundaries



RWQCB 6 (Lahontan) regulates all projects in District 9.

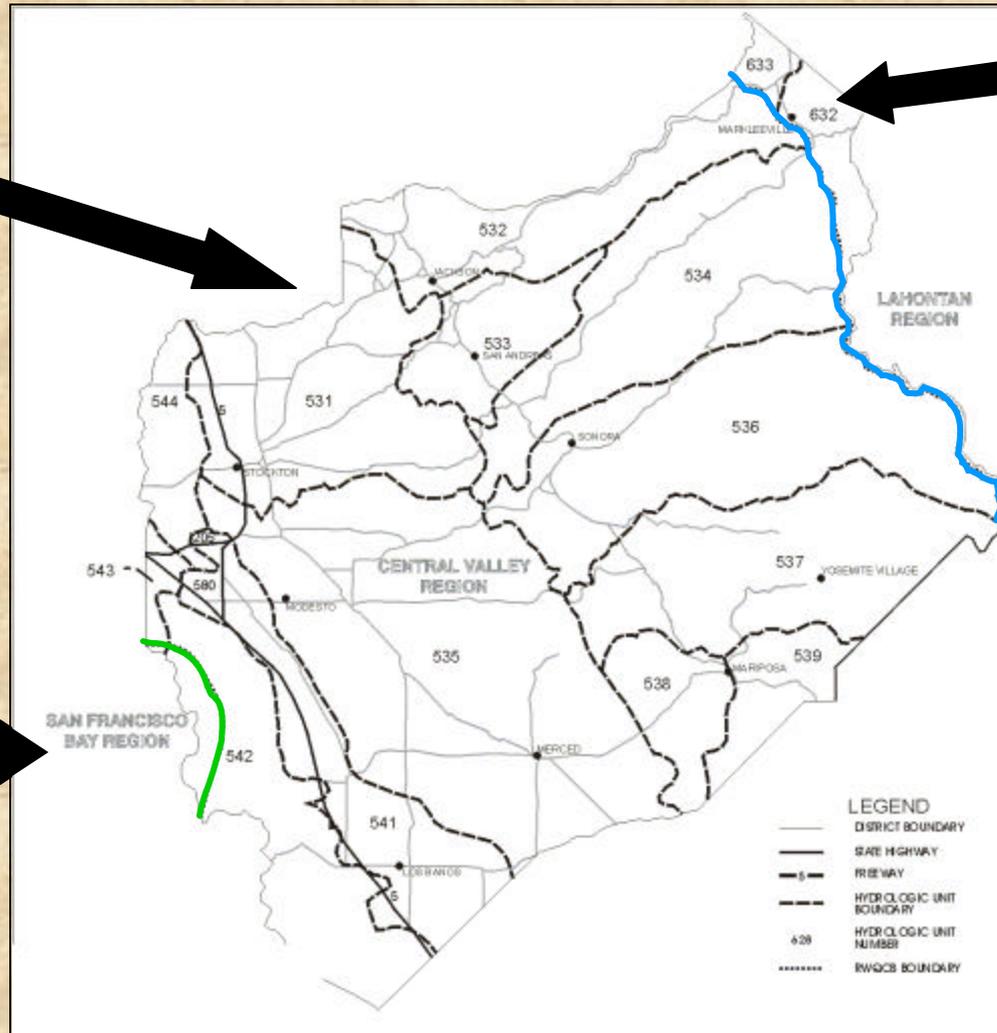


District 10 - RWQCB Boundaries



**RWQCB 5
(Central Valley)
regulates the
largest portion
of District 10.**

**RWQCB 2 (San
Francisco Bay)
regulates the
northwest
portion of
District 10.**

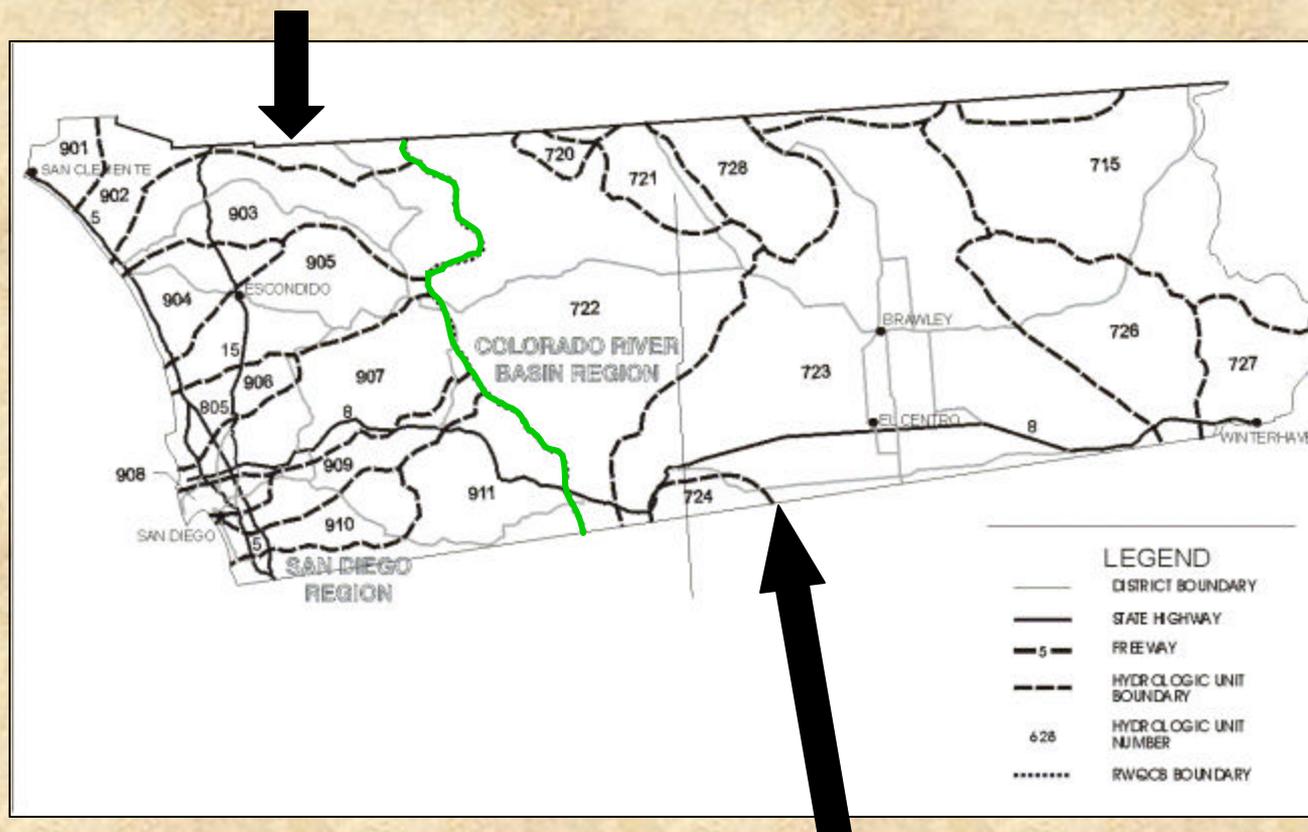


**RWQCB 6
(Lahontan)
regulates a
small
portion of
the
northeast
corner of
District 10
in Alpine
County.**

District 11 - RWQCB Boundaries



RWQCB 9 (San Diego) regulates the western portion of District 11.

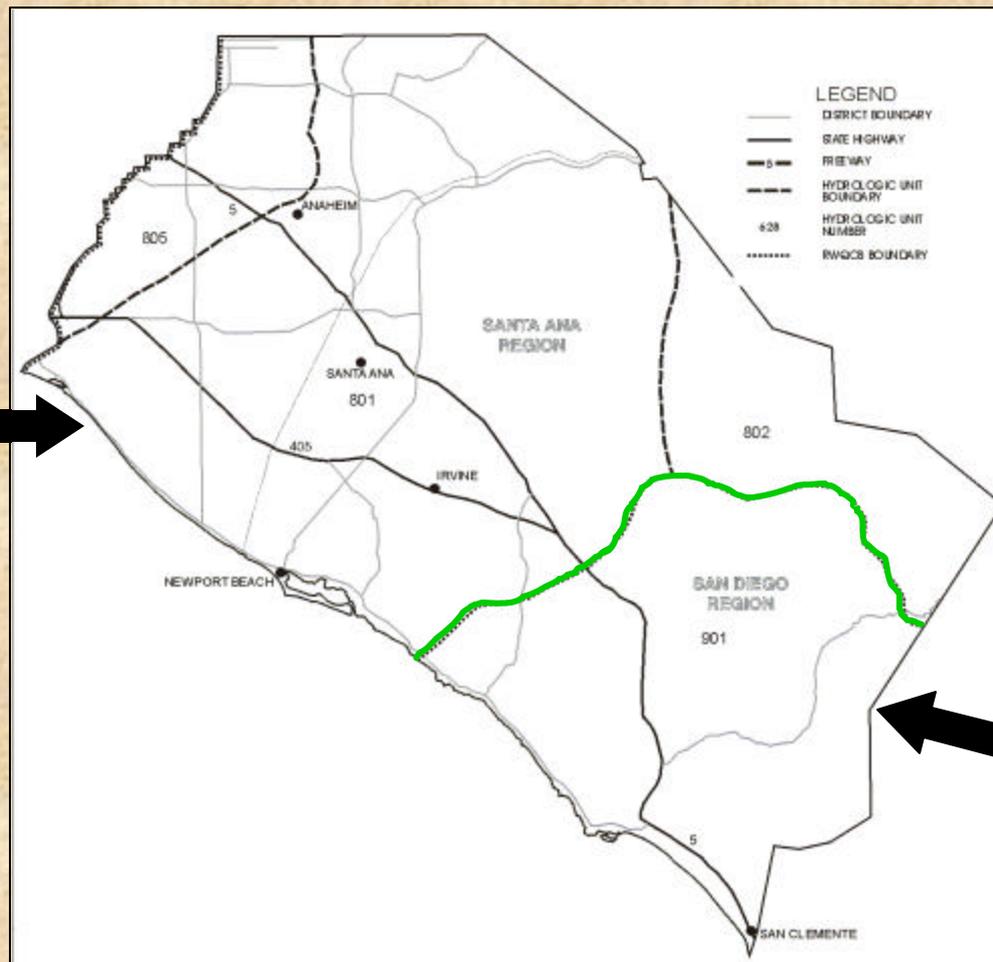


RWQCB 7 (Colorado River Basin) regulates the eastern portion of District 11.

District 12 - RWQCB Boundaries



**RWQCB 8
(Santa Ana)
regulates
the
northern
portion of
District 12.**



**RWQCB 9
(San Diego)
regulates
the
southern
portion of
District 12.**



District 1 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 1		RWQCB	
Type of Dewatering Discharge		1 North Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant		
	Pollutant Other Than Sediment		
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)		
	Polluted or More than 250,000 Gallons/Day (24-hour day) or Longer Than 4 Months Duration (in Calendar Year)		

District 1 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 1		RWQCB	
Type of Dewatering Discharge		1 North Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	RWQCB Permit	
	Pollutant Other Than Sediment	RWQCB Permit	
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	
	Polluted or More than 250,000 Gallons/Day (24-hour day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	

District 1 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 1		RWQCB	
Type of Dewatering Discharge		1 North Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	RWQCB Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Caltrans Statewide Permit
	Polluted or More than 250,000 Gallons/Day (24-hour day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit

District 2 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 2		RWQCB		
Type of Dewatering Discharge		1 North Coast	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant			
	Pollutant Other Than Sediment			
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		
		Less Than 250,000 Gallons/Day (24-hr/day) and Less Than 4 Months Duration (in Calendar Year)		
		More Than 250,000 Gallons/Day (24-hr/day) and Less Than 4 Months Duration (in Calendar Year)		
	Polluted	No Volume or Duration Limits		

District 2 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 2			RWQCB		
Type of Dewatering Discharge			1 North Coast	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		RWQCB Permit		
	Pollutant Other Than Sediment		RWQCB Permit		
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			
		Less Than 250,000 Gallons/Day (24-hr/day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit		
		More Than 250,000 Gallons/Day (24-hr/day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit		
	Polluted	No Volume or Duration Limits	RWQCB Permit		

District 2 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 2			RWQCB		
Type of Dewatering Discharge			1 North Coast	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment		RWQCB Permit	RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			RWQCB Permit
		Less Than 250,000 Gallons/Day (24-hr/day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Caltrans Statewide Permit	
		More Than 250,000 Gallons/Day (24-hr/day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit	
	Polluted	No Volume or Duration Limits	RWQCB Permit	RWQCB Permit	RWQCB Permit

District 3 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



District 3			RWQCB	
Type of Dewatering Discharge			5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant			
	Pollutant Other Than Sediment			
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)		
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)		
	Polluted	No Volume or Duration Limits		

District 3 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



District 3			RWQCB	
Type of Dewatering Discharge			5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		Caltrans Statewide Permit	
	Pollutant Other Than Sediment		RWQCB Permit	
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit	
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	
	Polluted	No Volume or Duration Limits	RWQCB Permit	

District 3 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



District 3			RWQCB	
Type of Dewatering Discharge			5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment		RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		RWQCB Permit
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit	
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	
	Polluted	No Volume or Duration Limits	RWQCB Permit	RWQCB Permit

District 4 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 4		RWQCB			
Type of Dewatering Discharge		1 North Coast	2 San Francisco ^A	3 Central Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	RWQCB Permit	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Polluted or More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit

^A May give verbal permission for short-term, small quantity discharges without a permit

District 4 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 4		RWQCB			
Type of Dewatering Discharge		1 North Coast	2 San Francisco ^A	3 Central Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	RWQCB Permit	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Polluted or More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit

^A May give verbal permission for short-term, small quantity discharges without a permit

District 4 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 4		RWQCB			
Type of Dewatering Discharge		1 North Coast	2 San Francisco ^A	3 Central Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	RWQCB Permit	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Polluted or More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit

^A May give verbal permission for short-term, small quantity discharges without a permit

District 5 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 5		RWQCB		
Type of Dewatering Discharge		2 San Francisco ^A	3 Central Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Pollutant Other Than Sediment	<input type="text"/>	<input type="text"/>	<input type="text"/>
Non-Storm Water or Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Polluted or More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>	<input type="text"/>

^A May give verbal permission for short-term, small quantity discharges without a permit

District 5 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 5		RWQCB		
Type of Dewatering Discharge		2 San Francisco ^A	3 Central Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	Permission or RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>
	Pollutant Other Than Sediment	RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>
Non-Storm Water or Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Permission or RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>
	Polluted or More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>

^A May give verbal permission for short-term, small quantity discharges without a permit

District 5 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 5		RWQCB		
Type of Dewatering Discharge		2 San Francisco ^A	3 Central Coast	5 Central Valley
Storm Water	Unpolluted or Sediment as Only Pollutant	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit	RWQCB Permit
Non-Storm Water or Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Permission or RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Polluted or More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit	RWQCB Permit

^A May give verbal permission for short-term, small quantity discharges without a permit

District 6 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



District 6			RWQCB	
Type of Dewatering Discharge			5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant			
	Pollutant Other Than Sediment			
Non-Storm Water or Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)		
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)		
	Polluted	No Volume or Duration Limits		

District 6 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



District 6		RWQCB	
Type of Dewatering Discharge		5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	
	Pollutant Other Than Sediment	RWQCB Permit	
Non-Storm Water or Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits	
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit
	Polluted	No Volume or Duration Limits	RWQCB Permit

District 6 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



District 6			RWQCB	
Type of Dewatering Discharge			5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment		RWQCB Permit	RWQCB Permit
Non-Storm Water or Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		RWQCB Permit
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit	
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	
	Polluted	No Volume or Duration Limits	RWQCB Permit	RWQCB Permit

District 7 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 7		RWQCB			
Type of Dewatering Discharge		3 Central Coast	4 Los Angeles	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Pollutant Other Than Sediment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Polluted	No Volume or Duration Limits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

District 7 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 7		RWQCB			
Type of Dewatering Discharge		3 Central Coast	4 Los Angeles	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Pollutant Other Than Sediment	RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit	<input type="checkbox"/>	<input type="checkbox"/>
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>
	Polluted	No Volume or Duration Limits	RWQCB Permit	<input type="checkbox"/>	<input type="checkbox"/>

District 7 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 7		RWQCB				
Type of Dewatering Discharge		3 Central Coast	4 Los Angeles	5 Central Valley	6 Lahontan	
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	Caltrans Statewide Permit	Caltrans Statewide Permit	Caltrans Statewide Permit	
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit	
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits		RWQCB Permit		RWQCB Permit
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit		Caltrans Statewide Permit	
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit		RWQCB Permit	
	Polluted	No Volume or Duration Limits	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit

District 8 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 8		RWQCB			
Type of Dewatering Discharge		6 Lahontan	7 Colorado River	8 Santa Ana	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant				
	Pollutant Other Than Sediment				
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)				
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)				
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto				
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)			
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)			
	Polluted	No Volume or Duration Limits			

District 8 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 8		RWQCB			
Type of Dewatering Discharge		6 Lahontan	7 Colorado River	8 Santa Ana	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit			
	Pollutant Other Than Sediment	RWQCB Permit			
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)				
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)				
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto				
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)			
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)			
	Polluted	No Volume or Duration Limits	RWQCB Permit		

District 8 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 8		RWQCB			
Type of Dewatering Discharge		6 Lahontan	7 Colorado River	8 Santa Ana	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	Caltrans Statewide Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	RWQCB Permit	RWQCB Permit	RWQCB Permit
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)				Caltrans Statewide Permit
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)				RWQCB Permit CAG919002
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto				RWQCB Permit CAG919001
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits	RWQCB Permit		Contact RWQCB
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)		Caltrans Statewide Permit	RWQCB Permit
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)		RWQCB Permit	RWQCB Permit
	Polluted	No Volume or Duration Limits	RWQCB Permit	RWQCB Permit	RWQCB Permit

District 9 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 9		RWQCB
Type of Dewatering Discharge		6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant	<input type="text"/>
	Pollutant Other Than Sediment	<input type="text"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	<input type="text"/>
	Polluted	<input type="text"/>

District 9 Team Exercise: RWQCB NPDES Permit Summary

(FG: Appendix A and Student Handouts)



Caltrans District 9		RWQCB
Type of Dewatering Discharge		6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	RWQCB Permit
	Polluted	RWQCB Permit

District 10 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 10			RWQCB		
Type of Dewatering Discharge			2 San Francisco ^A	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		<input type="text"/>	<input type="text"/>	<input type="text"/>
	Pollutant Other Than Sediment		<input type="text"/>	<input type="text"/>	<input type="text"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			<input type="text"/>
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>	
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>	
	Polluted	No Volume or Duration Limits	<input type="text"/>	<input type="text"/>	<input type="text"/>

^A May give verbal permission for short-term, small quantity discharges without a permit

District 10 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 10			RWQCB		
Type of Dewatering Discharge			2 San Francisco ^A	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		RWQCB Permit		
	Pollutant Other Than Sediment		RWQCB Permit		
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit		
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit		
	Polluted	No Volume or Duration Limits	RWQCB Permit		

^A May give verbal permission for short-term, small quantity discharges without a permit

District 10 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 10			RWQCB		
Type of Dewatering Discharge			2 San Francisco ^A	5 Central Valley	6 Lahontan
Storm Water	Unpolluted or Sediment as Only Pollutant		RWQCB Permit	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment		RWQCB Permit	RWQCB Permit	RWQCB Permit
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted	No Volume or Duration Limits			RWQCB Permit
		Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Caltrans Statewide Permit	
		More than 250,000 Gallons/Day (24-hr day) or Longer Than 4 Months Duration (in Calendar Year)	RWQCB Permit	RWQCB Permit	
	Polluted	No Volume or Duration Limits	RWQCB Permit	RWQCB Permit	RWQCB Permit

^A May give verbal permission for short-term, small quantity discharges without a permit

District 11 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 11		RWQCB	
Type of Dewatering Discharge		7 Colorado River	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	<input type="text"/>	<input type="text"/>
	Pollutant Other Than Sediment	<input type="text"/>	<input type="text"/>
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto		<input type="text"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>
	Polluted or More Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>

District 11 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 11		RWQCB	
Type of Dewatering Discharge		7 Colorado River	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	<input type="text"/>
	Pollutant Other Than Sediment	RWQCB Permit	<input type="text"/>
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto		<input type="text"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit	<input type="text"/>
	Polluted or More Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	<input type="text"/>

District 11 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 11		RWQCB	
Type of Dewatering Discharge		7 Colorado River	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	Contact RWQCB
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)		Caltrans Statewide Permit
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)		RWQCB Permit CAG919002
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto		RWQCB Permit CAG919001
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	Caltrans Statewide Permit	Contact RWQCB
	Polluted or More Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Contact RWQCB

District 12 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



Caltrans District 12		RWQCB	
Type of Dewatering Discharge		8 Santa Ana	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	<input type="text"/>	<input type="text"/>
	Pollutant Other Than Sediment	<input type="text"/>	<input type="text"/>
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto		<input type="text"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>
	Polluted or More Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	<input type="text"/>	<input type="text"/>

District 12 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)



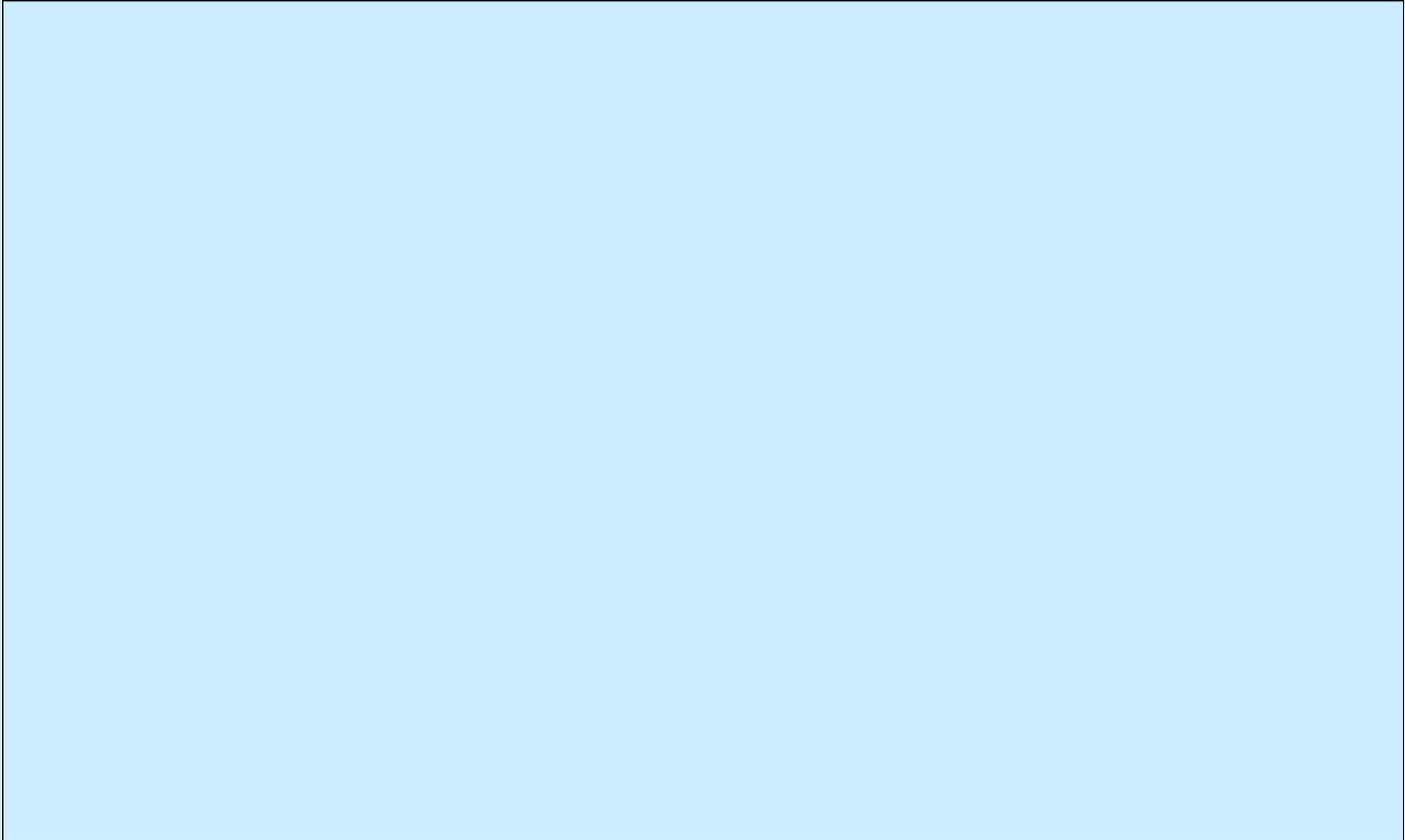
Caltrans District 12		RWQCB	
Type of Dewatering Discharge		8 Santa Ana	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	<input type="text"/>
	Pollutant Other Than Sediment	RWQCB Permit	<input type="text"/>
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)		<input type="text"/>
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto		<input type="text"/>
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	<input type="text"/>
	Polluted or More Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	<input type="text"/>

District 12 Team Exercise: RWQCB NPDES Permit Summary (FG: Appendix A and Student Handouts)

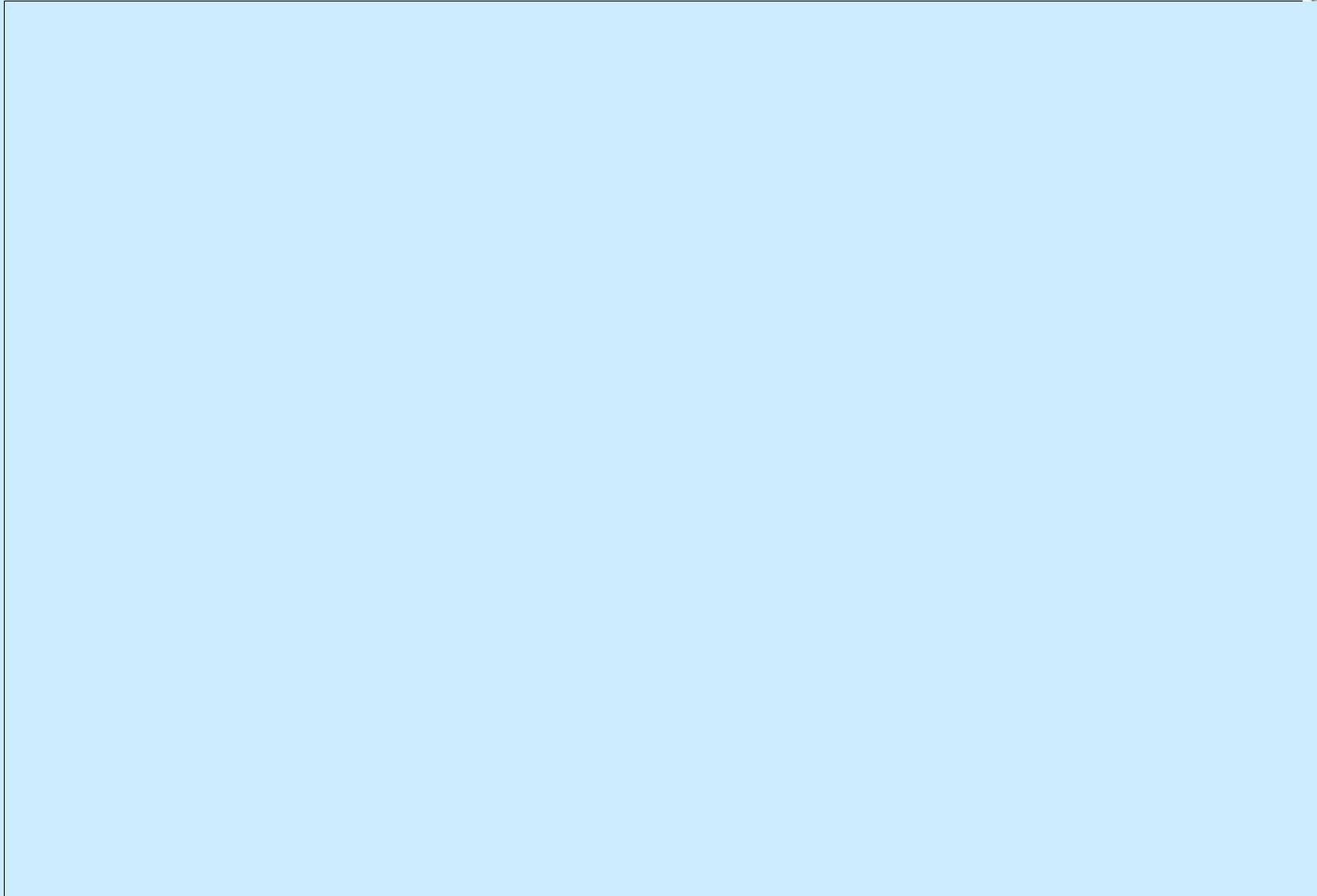


Caltrans District 12		RWQCB	
Type of Dewatering Discharge		8 Santa Ana	9 San Diego
Storm Water	Unpolluted or Sediment as Only Pollutant	Caltrans Statewide Permit	Caltrans Statewide Permit
	Pollutant Other Than Sediment	RWQCB Permit	Contact RWQCB
Groundwater	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Less Than 100,000 Gallons/Day (24-hr day)		Caltrans Statewide Permit
	Discharge to other than San Diego Bay or Storm Conveyances or Tributaries Thereto: Unpolluted and Greater Than 100,000 Gallons/Day (24-hr day) or Polluted and Less Than 100,000 Gallons/Day (24-hr day)		RWQCB Permit CAG919002
	Discharge to San Diego Bay or to Storm Conveyances or Tributaries Thereto		RWQCB Permit CAG919001
Non-Storm Water and Storm Water Mixed with Other Water	Unpolluted and Less Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Contact RWQCB
	Polluted or More Than 250,000 Gallons/Day (24-hr day) and Less Than 4 Months Duration (in Calendar Year)	RWQCB Permit	Contact RWQCB

Team Competition #6: Which NPDES Permit Will You Use?



Team Competition #6: Which NPDES Permit? Region 5 Scenario Flow Chart

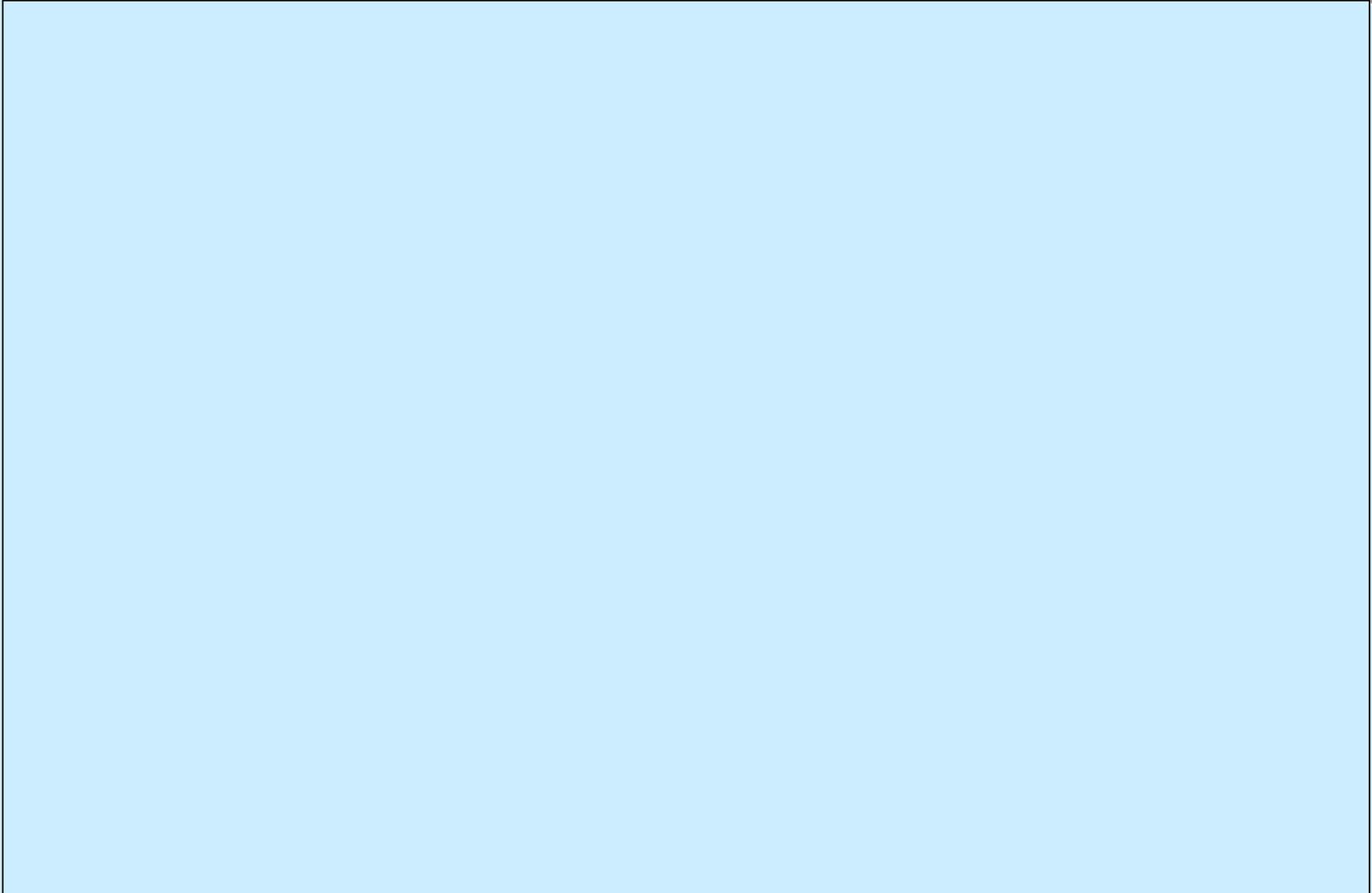


Team Competition #6: Which NPDES Permit Will You Use?

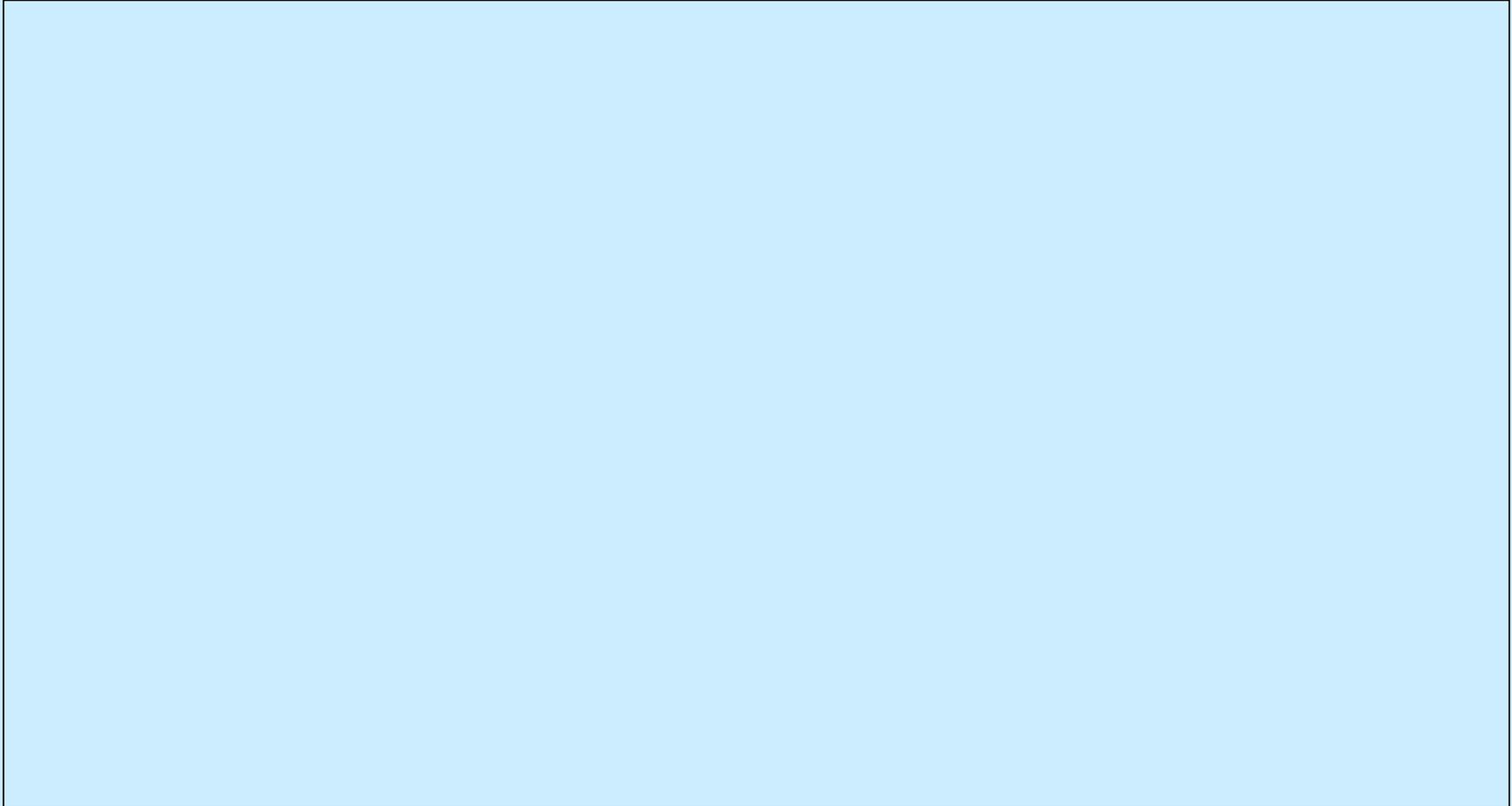


A large, empty rectangular box with a black border, intended for a team to write their answer to the question.

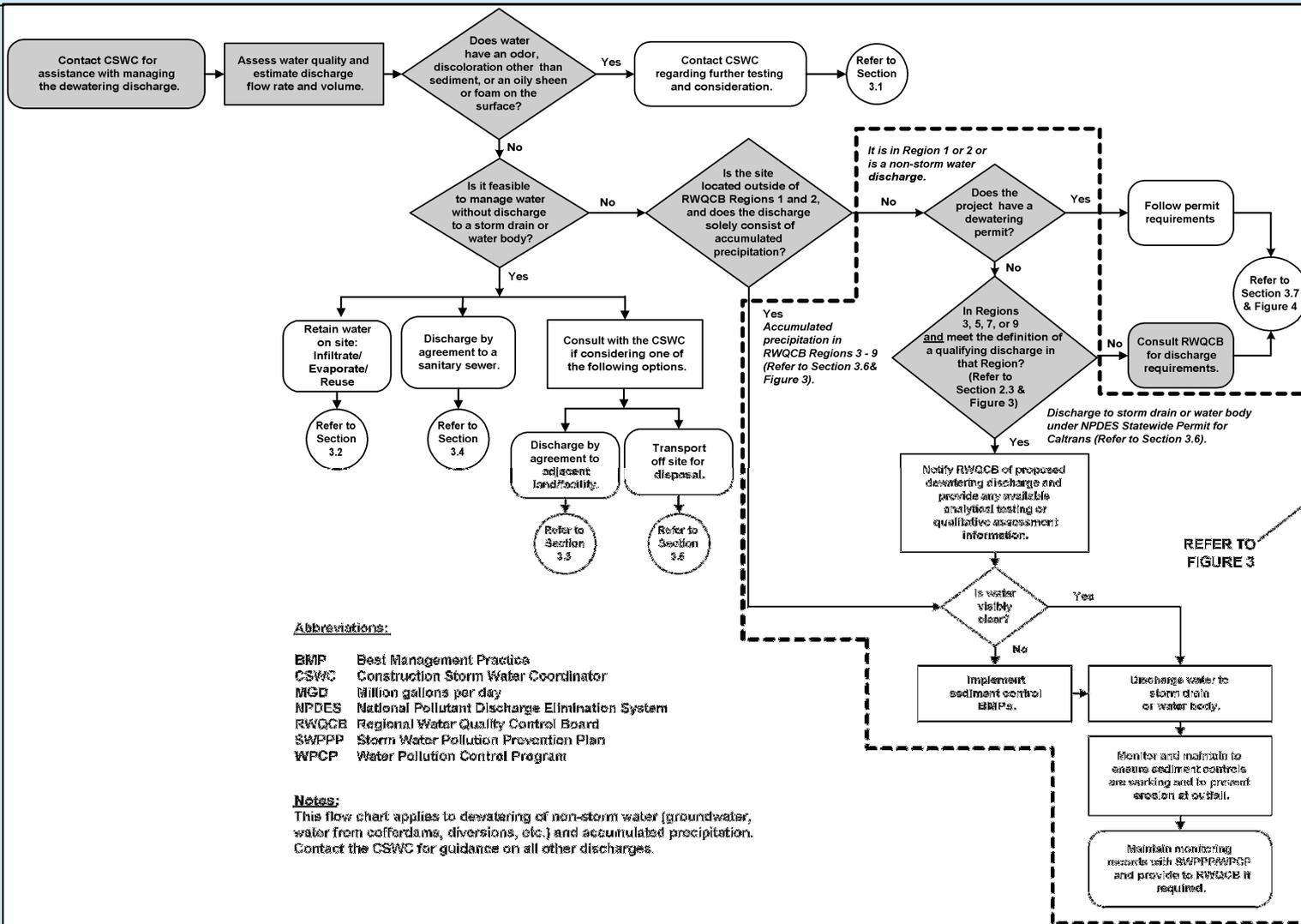
Team Competition #6: Which NPDES Permit? Region 6 Scenario Flow Chart



Team Competition #6: Which NPDES Permit Will You Use?



Team Competition #6: Which NPDES Permit? Region 9 Scenario Flow Chart



Dewatering Under Caltrans Permit



Types of Dewatering Operations



- There are three types of dewatering operations:
 - Operations **NOT** regulated under an NPDES Permit
 - Operations **regulated under the Caltrans Statewide NPDES Permit**
 - Operations **regulated under a local RWQCB NPDES Permit**

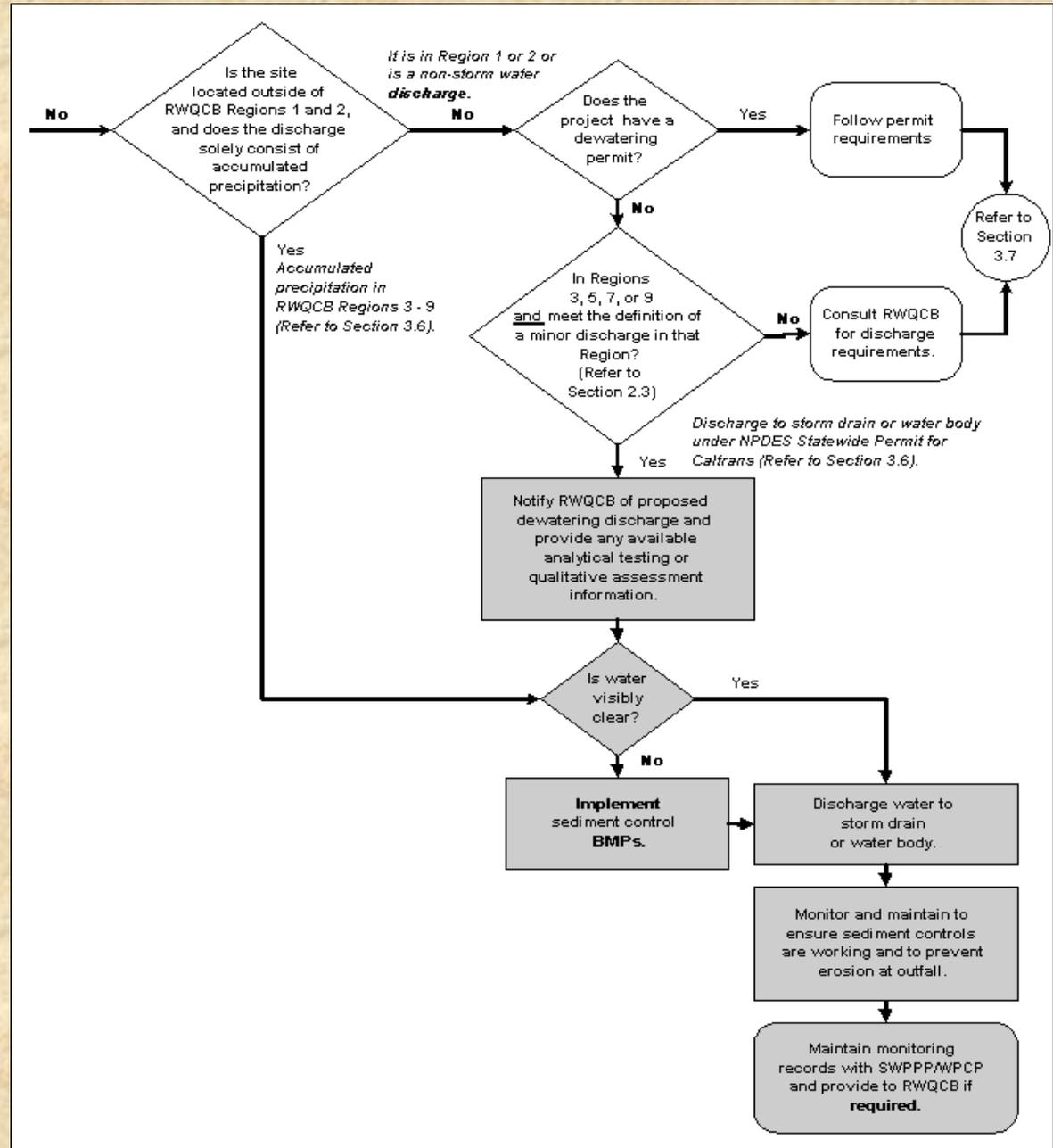
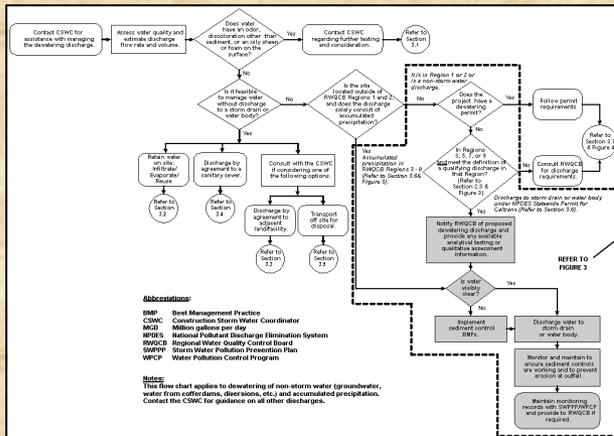
Dewatering under Caltrans Permit

General Information



- Storm water in all Regions except 1 and 2
- Qualifying non-storm water in Regions 3, 5, 7, and 9 (volume and duration limits)
- Must be free of pollutants other than sediment
- May require treatment for sediment removal prior to discharge

Dewatering Under Caltrans Permit



Dewatering under Caltrans Permit

General Process



1. Notify RWQCB (through your CSWC) if non-storm water. (Applicable for RWQCB's 3, 5, 7, & 9 only)
2. Amend SWPPP/ WPCP.
3. Treat for sediment removal if effluent is not 'visibly clear.'
4. Monitor dewatering operations using the **Dewatering Operations Monitoring Form** (sample provided in Appendix C).
5. Maintain records for 3 years.

Dewatering Under Caltrans Permit

(FG: Page 16)



- ❑ **Notify RWQCB of intended discharge if non-storm water**
 - Prior to discharge
 - Provide information regarding type of water quality and discharge parameters to CSWC.
 - May use courtesy Notification Form (sample provided) (Applicable for RWQCB's 3, 5, 7, & 9 only)
 - No official Caltrans form exists

Dewatering Under Caltrans Permit (Cont.)



- ❑ **Amend SWPPP/WPCP to include appropriate BMPs:**
 - NS-2: Dewatering Operations
 - SC-2: Sediment/Desilting Basin
 - SC-3: Sediment Trap
 - SS-10: Outlet Protection / Velocity Dissipation Devices
 - Other practices for removing sediment

Dewatering Under Caltrans Permit (Cont.)



Treat for sediment removal

- If water is visibly clear, **treatment may not be required.**



- If water is not clear, **implement appropriate sediment control BMPs.**

Dewatering Under Caltrans Permit (Cont.)



□ Goal of the Caltrans Permit

- Visibly clear, or
- In the case of “muddy” receiving waters...no adverse impact to the water body



Additional Guidance:

If visibly clear cannot readily be met by conventional BMPs, consult with your CSWC and RWQCB regarding discharge limitations.

Dewatering Under Caltrans Permit (Cont.)

What is 'Visibly Clear'? - Turbidity



0 NTUs



33 NTUs



113 NTUs

Rule of Thumb:

Visibly clear is if you can see through it,
Equivalent to < 50 NTUs

Dewatering Under Caltrans Permit (Cont.)

Assessing Visibly Clear/Turbidity



- Daily during dewatering operation collect a sample in a small jar from effluent or from upper portion of the receiving water.
- View to see if it is visibly clear.
- Or test with a Turbidity Meter. Measured in Nephelometric Turbidity Units (NTUs).



Dewatering Under Caltrans Permit (Cont.)



- Discharge water**
- Monitor operations as part of regular inspections**
 - Sample form provided in NS-2
 - Ensure that contractor maintains sediment control BMPs.
 - Ensure that there is no erosion at the discharge outfall.

Dewatering Under Caltrans Permit (Cont.)

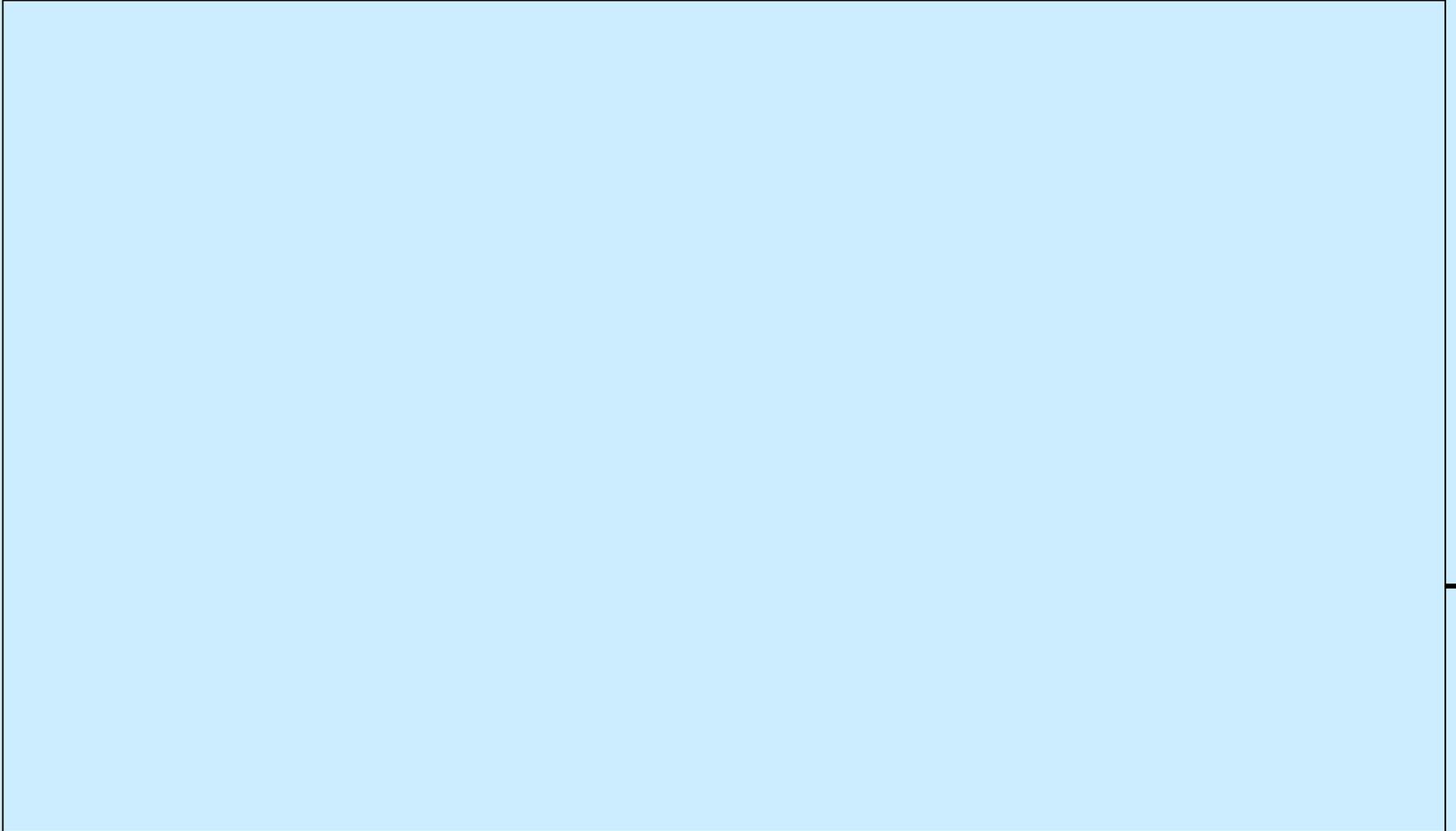


Maintain records with SWPPP/WPCP

- Monitoring records
- Inspection records
- Provide to RWQCB, if required
- Subject to regulatory review for 3 years



Team Competition #7: Dewatering Under the Caltrans Permit



Team Competition #7: Dewatering Under the Caltrans Permit



Approved Non-Storm Water Discharge Under the Caltrans Permit

Courtesy Notification Form (Applicable for RWQCB's 3, 5, 7, & 9 only)



A large, empty rectangular box with a black border, intended for the user to provide details for the courtesy notification form.

Dewatering
Under a
RWQCB
NPDES Permit



Types of Dewatering Operations



- **There are three types of dewatering operations:**
 - Operations **NOT regulated** under an NPDES Permit
 - Operations **regulated under the Caltrans Statewide** NPDES Permit
 - Operations **regulated under a local RWQCB** NPDES Permit

Dewatering Under RWQCB NPDES Permit

General Information (FG: Page 18)



- Required** for dewatering **discharge** to storm drain or water body that is **not allowed by the RWQCB under the Caltrans Permit.**

- Water Quality Limits**
 - Must meet permit requirements
 - Pollutants other than sediment may be allowed, but treatment will be required

Dewatering Under RWQCB NPDES Permit

General Information (FG: Page 18)



- May be discharged directly from the project site
- Appropriate for small or large volumes of water
- Minimal cost
- RWQCB permit may require several weeks to several months to obtain
- Treatment may be needed to meet water quality requirements
- Analytical testing, monitoring and reporting is required

RWQCB General NPDES Permits

(FG: Page 18 and App. D)

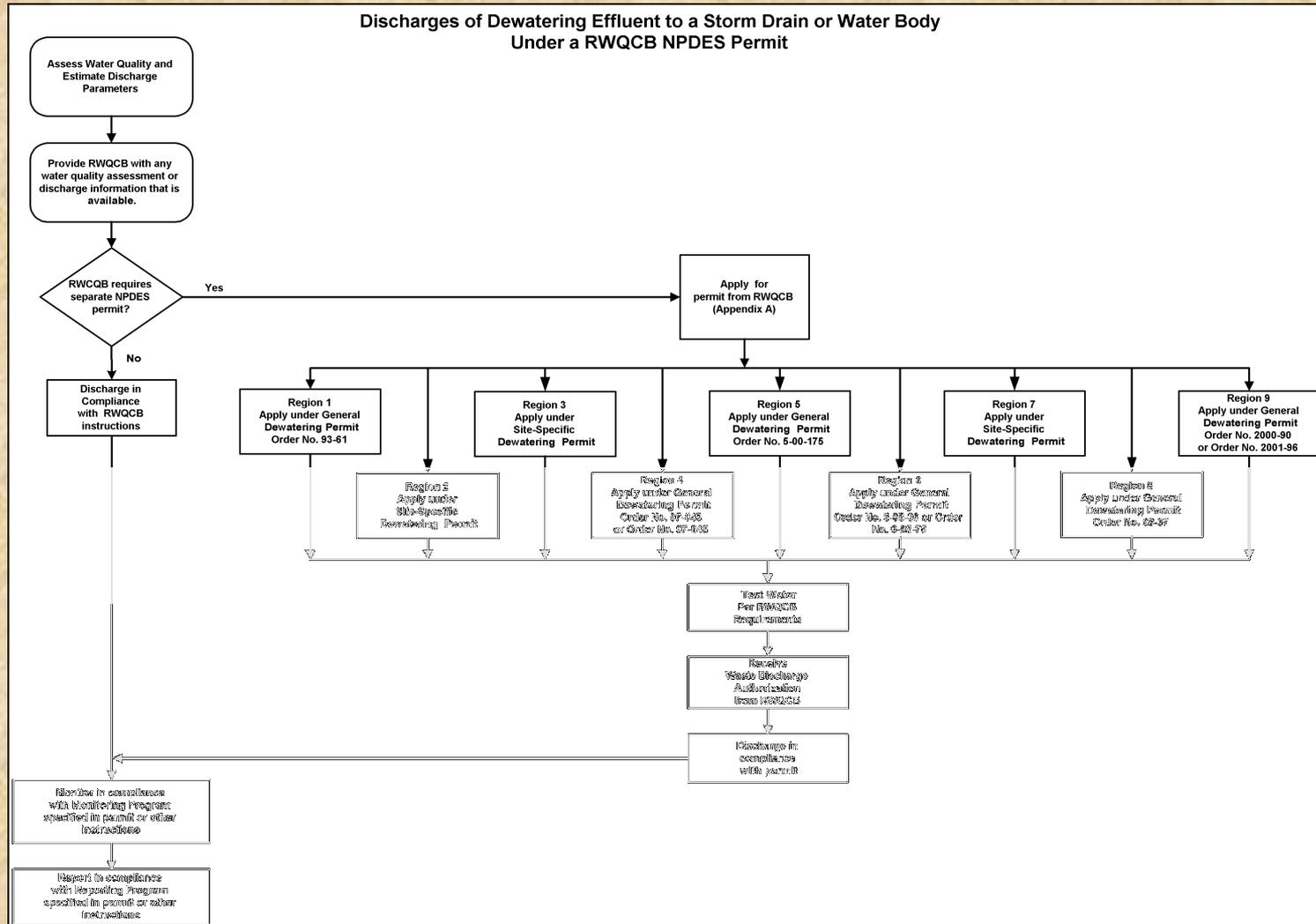


- The RWQCB may have issued a general permit that covers dewatering operations.
- If the general permit does not cover your particular type of operation, a site-specific permit may be required.
- Appendix D of the Field Guide contains copies of RWQCB general permits that apply to dewatering.
- Permit expiration

Caltrans District	RWQCB General Permits
1	Region 1 – General Permit 93-61 Region 5 – General Permit 5-00-175
2	Region 1 – General Permit 93-61 Region 5 – General Permit 5-00-175 Region 6 – General Permit 6-98-36, 6-98-75
3	Region 5 – General Permit 5-00-175 Region 6 – General Permit 6-98-36, 6-98-75
4	Region 1 – General Permit 93-61 Region 2 – No General Permit Region 3 – No General Permit Region 5 – General Permit 5-00-175
5	Region 2 – No General Permit Region 3 – No General Permit Region 5 – General Permit 5-00-175
6	Region 5 – General Permit 5-00-175 Region 6 – General Permit 6-98-36, 6-98-75
7	Region 3 – No General Permit Region 4 – General Permit 97-043, 97-045 Region 5 – General Permit 5-00-175 Region 6 – General Permit 6-98-36, 6-98-75
8	Region 6 – General Permit 6-98-36, 6-98-75 Region 7 – No General Permit Region 8 – General Permit 98-67 Region 9 – General Permit 2000-90, 2001-96
9	Region 6 – General Permit 6-98-36, 6-98-75
10	Region 2 – No General Permit Region 5 – General Permit 5-00-175 Region 6 – General Permit 6-98-36, 6-98-75
11	Region 7 – No General Permit Region 9 – General Permit 2000-90, 2001-96
12	Region 8 – General Permit 98-67 Region 9 – General Permit 2000-90, 2001-96

RWQCB General or Site-Specific Permit?

(FG: Page 19)



If You Need a RWQCB Permit and You Don't Already Have One...



You're in trouble!

- Obtaining coverage under a **RWQCB General Permit**
 - Requires 4 – 6 weeks
 - Applies to low discharges

- Obtaining a **Site-Specific RWQCB Permit**
 - May require 6 – 12 months
 - Applies to large flows and pollutants
 - Might be only permit available in some Regions

- Consider viable management options that do not require a RWQCB Permit.

RWQCB NPDES Dewatering Permit

Discharge General Process



1. Contact CSWC and provide known water quality and discharge parameters.
2. Contact RWQCB to discuss waiver or special issues.
3. Conduct water quality tests in accordance with General NPDES Permit and/or RWQCB instructions.
4. Provide tests results and dewatering treatment and monitoring program to the RWQCB.
5. Submit NOI.

RWQCB NPDES Dewatering Permit

Discharge General Process (Cont.)



6. Wait for approval: Issuance of a Waste Discharge Identification (WDID) number and Waste Discharge Authorization (WDA) with Waste Discharge Requirements (WDR)
7. Begin dewatering and implementation of treatment.
8. Monitor operation and assure compliance.
9. Provide reports to RWQCB as detailed in the General Permit or discharge approval letter.
10. Maintain records for 3 years.

Sample Notice of Intent (NOI)



- Application to request permission to discharge under a RWQCB general permit.

CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD
CENTRAL VALLEY REGION

NOTICE OF INTENT

TO COMPLY WITH THE TERMS OF
GENERAL ORDER NO. 5-00-175
FOR
DEWATERING AND OTHER LOW
THREAT DISCHARGES TO SURFACE WATERS

I. CONTRACTOR/OPERATOR -If additional owners/operators are involved, provide the information in a supplementary letter.

Name			
Mailing Address			
City	State	Zip	Phone
Contact Person		Contractor _____ Operator _____ Contractor/Operator _____	

II. PROPERTY OWNER -If additional property owners are involved, provide the information in a supplementary letter.

Name			
Mailing Address			
City	State	Zip	Phone
Contact Person			

III. WATER SUPPLIERS (If applicable)

Name			
Mailing Address			
City	State	Zip	Phone
Contact Person			

IV. BILLING ADDRESS:

Name			
Mailing Address			
City	State	Zip	Phone
Contact Person			

V. DISCHARGE LOCATION: -If more than one discharge is proposed, provide the information in a supplementary letter.

Street (including address, if any) _____	
City/County _____	
Nearest Cross Street(s) _____	
Township/Range/Section	T _____, R _____, Section _____, MDB&M

Attach a map of at least 1:24000 (1" = 2000') showing the discharge site. (eg. USGS 7.5' topographic map.)
The map should also show the treatment system, discharge point and surface waters. Wells and residences within 1,500 feet shall be identified.

VI. DISCHARGE INFORMATION

Please identify type of discharge

<input type="checkbox"/> Well development water	<input type="checkbox"/> Pipeline/tank pressure testing
<input type="checkbox"/> Construction dewatering	<input type="checkbox"/> Pipeline/tank flushing or dewatering
<input type="checkbox"/> Pump/well Testing	<input type="checkbox"/> Condensate
<input type="checkbox"/> Water Supply System	<input type="checkbox"/> Other (Please describe) _____

Start Date _____ Stop Date _____ (estimate) Discharge Rate _____ MGD.

Is discharge continuous or intermittent? _____

VII. LAND DISPOSAL/RECLAMATION

Board policies dictate that wastewater discharges must be contained on land or beneficially re-used if practical. You must evaluate and rule out this alternative prior to any discharge to surface water under this Order.

Is land reclamation feasible? Yes _____ No _____

If no, explain. If yes, you should contact the Regional Board. This Order does not apply if there is no discharge to surface waters.

Sample Notice of Intent (Cont.)



VIII. TREATMENT SYSTEM

Please Identify

_____ None (describe why a treatment system is not necessary) _____ Pond
 _____ Other (please describe) _____

Provide a schematic drawing of the proposed treatment system.

IX. RECEIVING WATER INFORMATION

A. Name of closest receiving water.

B. Receiving water is tributary to (name major downstream water body)

X. PRIMARY POLLUTANTS/PARAMETERS LIKELY TO BE IN THE DISCHARGE

Please Identify

_____ Settleable material _____ Color
 _____ Suspended material _____ Turbidity
 _____ PH _____ Other (please describe)
 _____ Chlorine _____ Construction material pollutants
 _____ Total dissolved solids _____ Metals
 _____ Trace organic compounds

Have samples been collected? _____ Yes (attach results) _____ No

Are additives in the discharge? _____ Yes (describe and quantify) _____ No

If yes, please specify the additive and/or sample result _____

XI. ABILITY TO COMPLY

Do you believe the discharge may have acute or chronic toxicity, chemical or organic constituents, bacteria, pesticides, oil and grease, radioactivity, salinity or temperature that may adversely impact beneficial uses of the receiving water? _____ Yes _____ No

If your answer is yes you must contact a Professional Engineer. A specific individual permit may be required from the Regional Board rather than this General Order.

XII. PROFESSIONAL ENGINEER

If a Professional Engineer has helped you evaluate the proposed discharge for compliance with this General Order, please identify.

Name			
Mailing Address			
City	State	Zip	Phone
Signature	Certificate No.		Date

XIII. FEES

A check payable to the State Water Resources Control Board in the amount of \$400 (or appropriate current fee) must be submitted.

XIV. CERTIFICATION

I hereby certify under penalty of perjury that the information provided in this application and in any attachments is true and accurate to the best of my knowledge. By signing this NOI, I agree to closely monitor and stop the discharge if there is any violation of the General Permit. The Regional Board will be immediately notified of any violation, or threatened violation, of the General Permit.

Signature of Contractor/Operator		Signature of Property Owner	
Print or Type Name		Print or Type Name	
Title	Date	Title	Date

RWQCB Permits – Major Elements



- Permit Provisions**

- Waste Discharge Requirements**
 - Discharge Prohibitions
 - Effluent Limitations
 - Receiving Water Limitations

- Monitoring and Reporting Program**

RWQCB NPDES Permits

Waste Discharge Requirements (Appendix D: RWQCB 5, Page 5)



Discharge Prohibitions:

A. Discharge Prohibitions:

1. Discharge of wastewater other than that described in the Findings is prohibited. The wastewater shall be free of all other pollutants. The wastewater shall not cause or threaten to cause pollution, contamination, or nuisance.
2. Discharge of contaminated ground water is prohibited.
3. The by-pass or overflow of wastes to surface waters is prohibited, except as allowed by the attached Standard Provisions and Reporting Requirements A. 13.

- Identify types of effluents or pollutants that are prohibited under the permit.
- May reference a Basin Plan for prohibitions or other discharge limitations.

RWQCB NPDES Permits

Waste Discharge Requirements (Appendix D: RWQCB 5, Page 6)



Effluent Limitations:

B. Effluent Limitations:

1. Effluent shall not exceed the following limits:

<u>Constituents</u>	<u>Units</u>	<u>Monthly Average</u>	<u>Weekly Average</u>	<u>Daily Maximum</u>
BOD'	mg/l	10	15	30
Total Suspended Solids	mg/l	10	15	30
Settleable Solids	ml/l			0.1

' 5-day, 20°C biochemical oxygen demand (BOD)

2. Effluent discharged into a surface water body shall not contain chlorine in excess of 0.02 mg/l (instantaneous maximum). If the wastewater contains chlorine in excess of 0.02 mg/l, the Discharger shall certify that chlorine will be reduced to a maximum of 0.02 mg/l before wastes enter surface water.
3. Effluent discharged into a surface water body shall not have a pH less than 6.5 nor greater than 8.5.
4. The average dry weather (May through October) discharge flow shall not exceed 0.25 MGD unless the discharge is four months or less in duration in which case there is no flow limit.

Specific
Constituents
Identified

Limits
Identified

- ❑ Identifies “numeric” and “narrative” requirements for the effluent prior to discharge.

RWQCB NPDES Permits

Waste Discharge Requirements (Appendix D: RWQCB 5, Page 6)



Receiving Water Limitations:

D. Receiving Water Limitations:

Receiving Water Limitations are based upon water quality objectives contained in the Basin Plan. As such, they are a required part of this permit. The discharge shall not cause the following in the receiving water:

1. Concentrations of dissolved oxygen to fall below 7.0 mg/l.
2. Oils, greases, waxes, or other materials to form a visible film or coating on the water surface or on the stream bottom.
3. Oils, greases, waxes, floating material (liquids, solids, foams, and scums) or suspended material to create a nuisance or adversely affect beneficial uses.
4. Aesthetically undesirable discoloration.
5. Fungi, slimes, or other objectionable growths.
6. The turbidity to increase as follows:
 - a. More than 1 Nephelometric Turbidity Units (NTUs) where natural turbidity is between 0 and 5 NTUs.
 - b. More than 20 percent where natural turbidity is between 5 and 50 NTUs.
 - c. More than 10 NTUs where natural turbidity is between 50 and 100 NTUs.
 - d. More than 10 percent where natural turbidity is greater than 100 NTUs.

Specific
Constituents
Identified

Limits
Identified

- ❑ Identifies “narrative” and “numeric” limitations on changes to the receiving water.

RWQCB NPDES Permits

Permit Provisions (Appendix D: RWQCB 5, Page 8)



Provisions:

E. Provisions:

1. Dischargers currently covered by Order No. 93-230 are automatically granted coverage under this Order for a period of 90-days following adoption, during which time the Discharger may file a Notice of Intent (NOI) for coverage under this Order. Coverage under this Order is terminated after the 90-day period unless a new NOI has been submitted. The Discharger must comply with all conditions of this Order, including timely submittal of technical and monitoring reports as directed by the Executive Officer. Violations may result in enforcement action, including Regional Board or court orders requiring corrective action or imposing civil monetary liability, or in revocation of authorization to discharge under this Order.
2. Individual owners of the real property at which the discharge will occur are ultimately responsible for ensuring compliance with these requirements. Individuals and companies responsible for site operations retain primary responsibility for compliance with these requirements, including day-to-day operations and monitoring. Enforcement actions will be taken against landowners in the event that enforcement actions against site operators are ineffective or would be futile, or that enforcement is necessary to protect public health or the environment.
3. A copy of this Order shall be kept at the discharge facility for reference by operating personnel. Key operating and site management personnel shall be familiar with its contents.

- Identify the scope and general requirements of the permit.

RWQCB NPDES Permits

Monitoring and Reporting Program (Appendix D: RWQCB 5, Att. C)



Monitoring Specifications for Effluent:

Units identify the reporting limit required for the constituent.

DISCHARGES FOUR MONTHS OR LESS IN DURATION

The following effluent monitoring program is required if the discharge is four months or less in duration. Effluent samples shall be collected downstream from the last connection through which wastes can be admitted into the outfall. Effluent samples should be representative of the volume and quality of the discharge. Time of collection of samples shall be recorded. Effluent monitoring shall include at least the following:

<u>Constituents</u>	<u>Units</u>	<u>Type of Sample</u>	<u>Sampling Frequency</u>
20°C BOD5	mg/l	Grab	Twice monthly ¹
Suspended Solids	mg/l	Grab	Twice monthly ¹
Settleable Solids	ml/l	Grab	Twice monthly ¹
pH	pH Units	Grab	Twice monthly ¹

A post-discharge report shall be submitted after each discharge. The report shall include:

1. Any variations from the Notice of Intent.
2. Did the discharge result in any discoloration or turbidity in the receiving water? Please explain upstream and downstream conditions identified in the following Receiving Water Monitoring Section.
3. Identify any violations of the General Order. Please explain.
4. Please explain any corrective actions taken to comply with the General Order.
5. Did the discharge cause any complaints?

Sampling Frequency

- ❑ Identify what, when and how often water quality testing is to be conducted.

RWQCB NPDES Permits

Monitoring and Reporting Program (Appendix D: RWQCB 5, Att. C)



Monitoring Specifications for Receiving Water:

RECEIVING WATER MONITORING

Receiving water monitoring shall be twice weekly for discharges where the duration is four months or less and monthly if the discharge period is greater than four months and include at least the following:

<u>Station</u>	<u>Description</u>
R-1	50 feet upstream from the point of discharge
R-2	50 feet downstream from the point of discharge

<u>Constituents</u>	<u>Units</u>	<u>Type of Sample</u>
Temperature	°F	Grab
pH	pH Units	Grab
Electrical Conductivity	µmhos/cm	Grab
Dissolved Oxygen	mg/l	Grab

When conducting the receiving water sampling, a log shall be kept of the receiving water conditions throughout the reach bounded by Stations R-1 and R-2. Attention shall be given to the presence or absence of:

a. Floating or suspended matter	e. Visible films, sheens or coatings
b. Discoloration	f. Fungi, slimes, or objectionable growths
c. Bottom deposits	g. Potential nuisance conditions
d. Aquatic life	

Notes on receiving water conditions shall be summarized in the monitoring report.

Units

Sampling Locations

- ❑ Identify what, when and how often water quality testing is to be conducted.

RWQCB NPDES Permits

Monitoring and Reporting Program (Appendix D: RWQCB 5, Att. C)



Reporting Specifications:

M. REPORTING FREQUENCY

Monitoring reports shall be submitted to the Regional Board in accordance with the following schedule:

REPORTING FREQUENCY	REPORT PERIOD	REPORT DUE
Monthly	January*, February March, April, May June, July, August September, October November, December	By the 30th day of the following month*.
Quarterly	January - March April - June July - September October - December	April 30 July 30 October 30 January 30
Semiannual	January - June July - December	July 30 January 30
Annual	January - December	January 30

* Note: The monthly report for January is due no later than February 28.

- ❑ Identify what, when and how monitoring results are to be submitted to the RWQCB.

RWQCB NPDES Permits

How to Assure Compliance of Monitoring Program



- A written program describing sampling and analysis methods
- Trained personnel collecting samples and interpreting results
- Samples collected at the appropriate intervals/locations
- Documentation of sample collection
- Certified laboratory analyzing samples
- Detection limits (specified in the permit) are below sample results
- Reports submitted timely to the RWQCB
- Corrections to BMPs based on analytical results



RWQCB NPDES Permits

Sample Tracking



- ❑ Chain-of-Custody Form is required for valid results
- ❑ Documents sample custody from collection to laboratory:

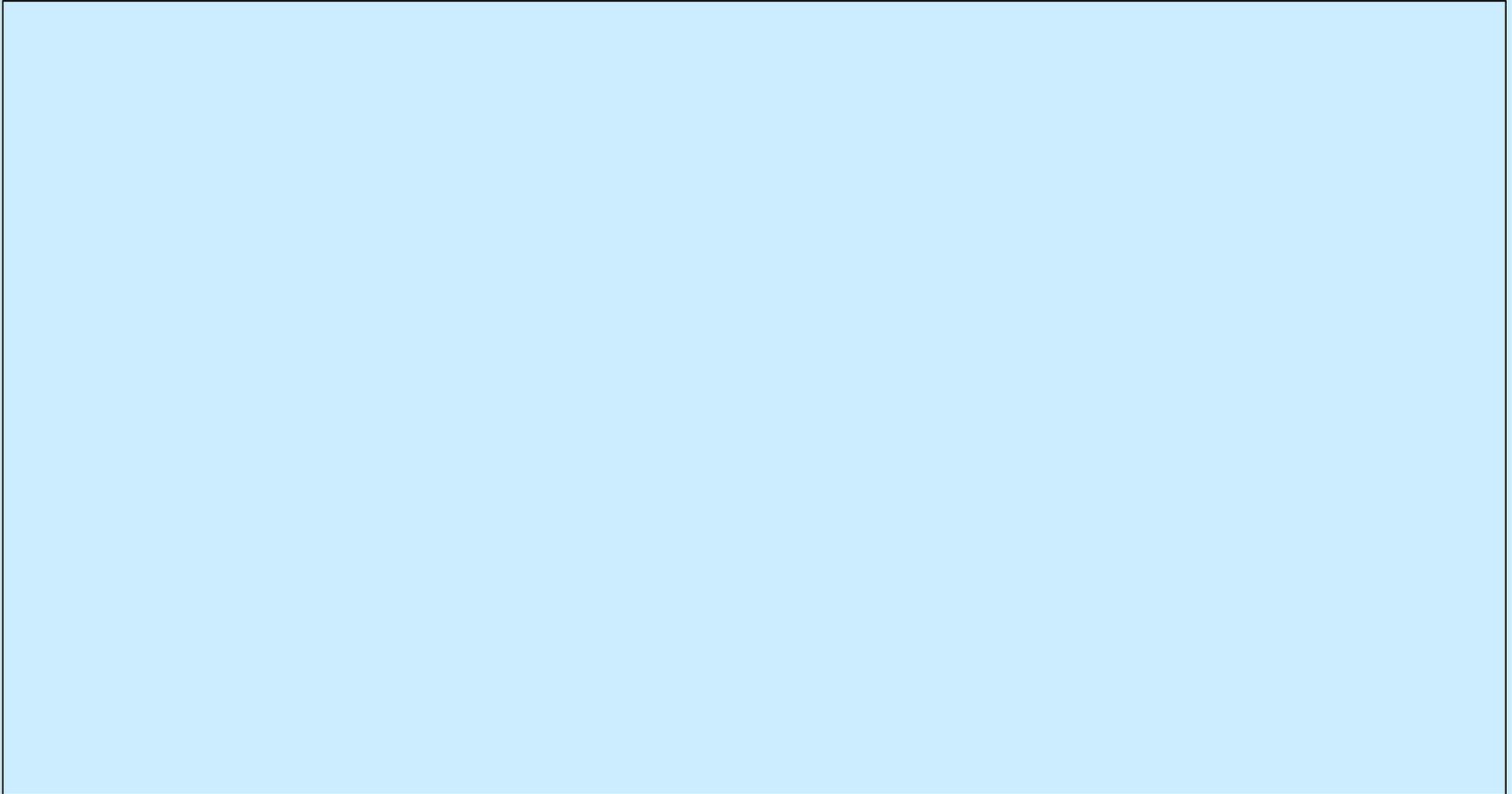
- Date/time
- Personnel
- Turn-around time
- Signatures

CALSCIENCE ENVIRONMENTAL LABORATORIES, INC.						CHAIN OF CUSTODY RECORD																																									
7440 LINCOLN WAY GARDEN GROVE, CA 92841-1432 TEL: (714) 895-5494 • FAX: (714) 894-7501						Date <u>10-19-01</u> Page <u>1</u> of <u>1</u>																																									
LABORATORY CLIENT: <u>OMI + others</u>			CLIENT PROJECT NAME / NUMBER: <u>Lehigh Construction / 2528.com</u>			P.O. NO. <u>NA</u>																																									
ADDRESS: <u>99 Town + Country Road</u>			PROJECT CONTACT: <u>Michael Fugle</u>			LAB USE ONLY <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																									
CITY: <u>Orange</u>		STATE: <u>CA</u>		ZIP: <u>92668</u>		COOLER RECEIPT TEMP = _____ °C																																									
TEL: <u>714-567-2540</u>		FAX: <u>714-567-2760</u>		E-MAIL: <u>Mike.Fugle@caltrans.com</u>		SAMPLER(S) (SIGNATURE): <u>Michael Fugle</u>																																									
TURNAROUND TIME <input type="checkbox"/> SAME DAY <input type="checkbox"/> 24 HR <input type="checkbox"/> 48 HR <input type="checkbox"/> 72 HR <input checked="" type="checkbox"/> 5 DAYS <input type="checkbox"/> 10 DAYS						REQUESTED ANALYSES																																									
SPECIAL REQUIREMENTS (ADDITIONAL COSTS MAY APPLY) <input type="checkbox"/> RWQCB REPORTING <input type="checkbox"/> ARCHIVE SAMPLES UNTIL _____						<table border="1"> <tr> <td>TPH (G)</td> <td>HALOCARBONS (8021B)</td> <td>VOCs (8260B)</td> <td>VOCs (8035 / 8260B) EnCore</td> <td>SVOCs (8270C)</td> <td>PEST (8081A)</td> <td>PCBs (8082)</td> <td>EDB / DBCP (804-1) or (8071)</td> <td>CAC, 122 METALS (8010B)</td> <td>PNAs (8310)</td> <td>VOCs (TO 14A) or (TO 15)</td> <td>CHK / TGMMO (25.1)</td> <td>FIXED GASES (25.1) or (D 1946)</td> <td>COAGULANT (48.1)</td> <td>TOC-GAS (48.1)</td> <td>TOC-LIQUID (48.1)</td> <td>DO (48.1)</td> <td>PH (48.1)</td> </tr> <tr> <td></td> </tr> </table>						TPH (G)	HALOCARBONS (8021B)	VOCs (8260B)	VOCs (8035 / 8260B) EnCore	SVOCs (8270C)	PEST (8081A)	PCBs (8082)	EDB / DBCP (804-1) or (8071)	CAC, 122 METALS (8010B)	PNAs (8310)	VOCs (TO 14A) or (TO 15)	CHK / TGMMO (25.1)	FIXED GASES (25.1) or (D 1946)	COAGULANT (48.1)	TOC-GAS (48.1)	TOC-LIQUID (48.1)	DO (48.1)	PH (48.1)																		
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SPECIAL INSTRUCTIONS																																															
LAB USE ONLY	SAMPLE ID	LOCATION/DESCRIPTION	SAMPLING		MATRIX	NO. OF CONT.																																									
			DATE	TIME																																											
	1	Effluent-north	10-19-01	0727	L	6																																									
	2	Effluent-south	10-19-01	0749	L	6																																									
	3	Downstream	10-19-01	0824	L	6																																									
	4	Upstream	10-19-01	0859	L	6																																									
LAST LINE (P) 10-19-01																																															
Relinquished by: (Signature) <u>Michael Fugle</u>			Received by: (Signature)			Date: <u>10/19/01</u>		Time: <u>0910</u>																																							
Relinquished by: (Signature)			Received by: (Signature)			Date:		Time:																																							
Relinquished by: (Signature)			Received by Laboratory by: (Signature) <u>Janice</u>			Date: <u>10/19/01</u>		Time: <u>0910</u>																																							

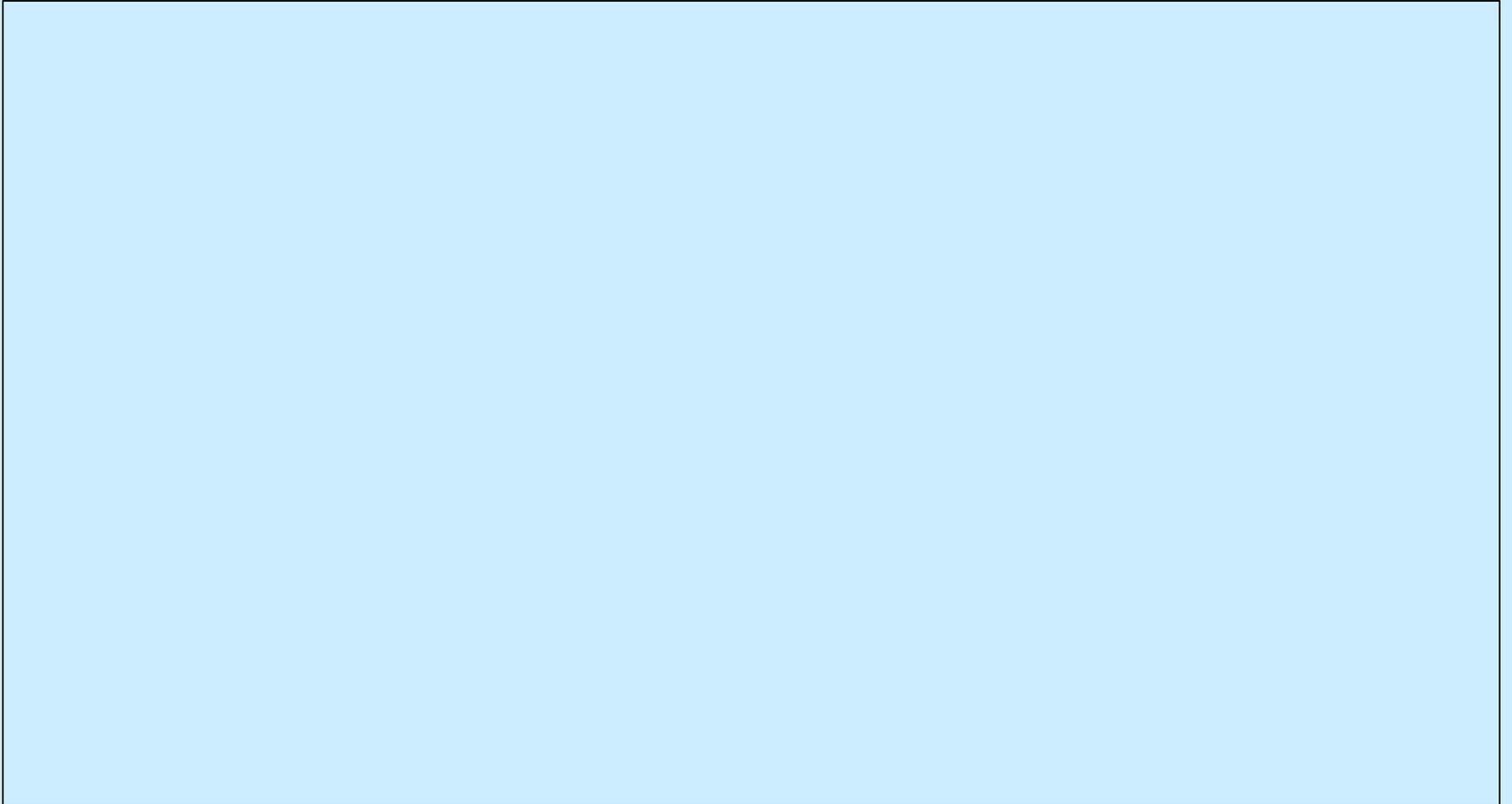
DISTRIBUTION: White with final report, Green to File, Yellow and Pink to Client.
Please note that pages 1 and 2 of 2 of our T/Cs are printed on the reverse side of the Yellow and Pink copies respectively.

10/01/00 Revision

Team Competition #8: Dewatering Under a RWQCB Permit



Team Competition #8: Dewatering Under a RWQCB Permit



Roles and Responsibilities



What are the Contractor's Responsibilities for a Dewatering Operation?



- ❑ Conduct the dewatering operation
- ❑ Select the means and methods to perform the operation unless otherwise specified in the contract documents
- ❑ Comply with the contract documents

What are the Department's Responsibilities for Managing the Dewatering Operation?



- ❑ Ensure that the contractor is in compliance with NPDES permit requirements.
- ❑ On Caltrans funded sites, the Department is responsible for obtaining the NPDES permits needed.
- ❑ Encroachment Permit Projects, the Department is responsible for assuring compliance with Caltrans requirements within Caltrans right of way.

Sources of Information



- Contract Documents
- Contractor's SWPPP
- NPDES Permits
- Geotechnical Reports
- Field Guide to Construction Site Dewatering**
- Construction Storm Water Coordinator**
- Construction Site BMPs Manual
- Construction Storm Water Quality Bulletins

Sources of Information (Cont.)



- ❑ NPDES Permits for Dewatering
 - Caltrans Statewide
 - RWQCB Local

- ❑ Other Federal/State/Local Permits:
 - 401
 - 404
 - 1601
 - California Fish & Game
 - U.S. Fish & Wildlife
 - Bureau of Land Management (BLM)

Contract Documents

Standard Specifications: Section 7-1.01



“Laws to be Observed”

“The Contractor shall keep fully informed of all existing and future State and Federal laws and county and municipal ordinances and regulations which in any manner affect those engaged or employed in the work, or the materials used in the work, or which in any way affect the conduct of the work, and of all orders and decrees of bodies or tribunals having any jurisdiction or authority over the same.”

Contract Documents

Standard Specifications: Section 19-3



□ “Structure Excavation and Backfill”

19-3.01 Description

“Structure excavation shall consist of excavation for the construction of foundations for structures; excavation of trenches for the construction of culverts, pipes, rods, deadmen, cutoff walls and other facilities; other excavation designated on the plans or in these specifications or in the special provisions as structure excavation; **the control and removal of water** and the construction or installation of cofferdams and other facilities as necessary to accomplish construction of the work; and the subsequent removal of those facilities, except when the facilities are required or permitted by the plans and specifications to remain in place.”

Contract Documents

Standard Specifications: Section 19-3 (Cont.)



□ 19-3.04 Water Control and Foundation Treatment

“ The methods to be used to control and remove water at excavations.....shall be at the option of the Contractor.....”

“When ...footing concrete, culverts or other structures are to rest on an excavated surface other than rock, the following shall apply:

... If groundwater is encountered during excavation...dewatering shall be commenced and shall proceed in advance of or concurrently with further excavation.”

Contract Documents

Standard Specifications: Section 19-3 (Cont.)



□ 19-3.08 Payment

(6th Paragraph)

“Full compensation for controlling and removing water from excavations and for furnishing and installing or constructing all cofferdams and all other facilities necessary to the operations (except concrete seal courses when shown on the plans) and their subsequent removal, if required by the Engineer, shall be considered as included in the contract price paid for structure excavation or the contract price paid for the item of work requiring the excavation when the excavation is not paid for separately.”

Standard Special Provisions

Section 10 Water Pollution Control (7/02)



- ❑ Separate provisions for SWPPP and WPCP projects
- ❑ Governs storm water and non-storm water discharges
- ❑ Identifies the RWQCB with jurisdiction over the project
- ❑ Identifies NPDES permits that apply to SWPPP projects:
 - Caltrans Statewide NPDES Permit
 - Statewide General Construction NPDES Permit
 - Region-specific NPDES Permit
- ❑ Identifies contract remedies for contractor non-compliance
- ❑ Refer to contract documents for your project to determine the provisions that apply to your project

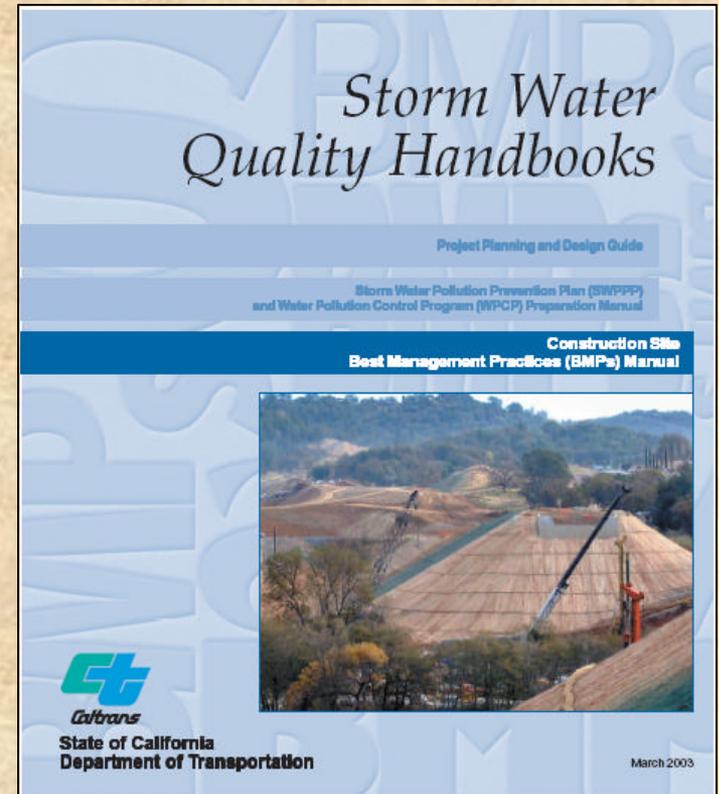
Construction Site BMPs Manual 2003 Revision



- NS-2**
Dewatering Operations
Revised March 2004

- SC-2**
Sediment/Desilting Basin

- SC-3**
Sediment Trap



NS-2 Dewatering Operations BMP Revisions



- ❑ Incorporates essential elements of today's class and the "Field Guide to Construction Site Dewatering"
- ❑ Includes the Dewatering Operations Management Flow Chart
- ❑ Describes types and application of sediment treatment technologies
 - Category 1: Constructed Settling Technologies
 - Category 2: Mobile Settling Technologies
 - Category 3: Basic Filtration Techniques
 - Category 4: Advanced Filtration Technologies
- ❑ Includes storm water dewatering operations BMP discharge monitoring forms for each RWQCB region

Storm Water Bulletin: Vol. 5, No. 10: Dewatering Under Caltrans Permit



Construction Dewatering Operations – Dewatering Under the Caltrans Permit

This bulletin concludes the series on managing construction dewatering operations. This bulletin reviews requirements for dewatering discharges under the National Pollutant Discharge Elimination System (NPDES) Statewide Storm Water Permit and Waste Discharge Requirements for the State of California, Department of Transportation (Caltrans Permit No. CAS000003).

What Dewatering is Allowed?

Each of the nine Regional Water Quality Control Boards (RWQCBs) regulates dewatering discharges to storm drains or surface waters within its Region. Generally, dewatering discharges under the Caltrans Permit are allowed when all of the following conditions are met:

- The water is being discharged to a storm drainage system or a surface water.
- The project is in RWQCB Regions 3 through 9 and the discharge consists solely of accumulated precipitation OR the project is in RWQCB Region 3, 5, 7, or 9 and is a qualifying discharge of non-storm water.
- Sediment control and other appropriate Best Management Practices (BMPs) are employed as the water is discharged.

Dewatering Accumulated Precipitation

Discharging accumulated precipitation to a storm drain or surface water is allowed under the Caltrans Permit in RWQCB Regions 3 through 9. To qualify, the discharge must consist solely of accumulated precipitation and cannot have combined with groundwater or any other type of non-storm water. The water must also be free of pollutants other than sediment.

In Region 1 (North Coast) and Region 2 (San Francisco Bay), concurrence of the RWQCB is required prior to discharge of accumulated precipitation under the Caltrans

Permit, or the RWQCB may require a separate discharge permit.



Dewatering of accumulated precipitation may be allowed under the Caltrans Permit.

Non-Storm Water Discharges

Non-storm water discharges include groundwater, water from cofferdams or diversions, storm water combined with non-storm water, and any uncontaminated water used during construction that must be removed from a work area.

Discharges of non-storm water are allowed under the Caltrans Permit only in RWQCB Regions 3, 5, 7, and 9 as follows:

- In Region 3 (Central Coast), Region 5 (Central Valley), and Region 7 (Colorado River Basin), a discharge qualifies if it has a volume of less than 250,000 gallons per day and is of less than four months duration.
- In Region 9 (San Diego), an unfiltered discharge of groundwater with a volume of less than 100,000 gallons per day is allowed to the storm drain or to a surface water other than San Diego Bay.

The Discharge Doesn't Qualify

If the dewatering discharge does not qualify for discharge under the Caltrans Permit, contact the RWQCB for guidance. The RWQCB may require a separate General or site-specific Permit. Refer to the May 2001 bulletin for more information.

It Qualifies - Now What?

If the discharge qualifies on all counts, the contractor must implement BMP NS-2, Dewatering Operations, to ensure compliance with the Caltrans Permit. Details of the BMP

are contained in the Construction Site BMPs Manual, which requires the following:

- **Sediment Treatment:** If the water is visibly clear, it can be discharged without treatment. If the water is not visibly clear, sediment control BMPs must be implemented.
- **Monitoring and Maintenance:** The dewatering operation must be monitored and maintained to ensure that sediment controls are functional and that erosion is prevented at the outfall.
- **Record Keeping:** All records related to monitoring and maintenance of the dewatering operation must be kept with the Storm Water Pollution Prevention Plan (SWPPP) or Water Pollution Control Program (WPCP).



Several RWQCBs allow qualifying discharges of groundwater to be regulated under the Caltrans Permit.

Dewatering Field Guide

The Caltrans Field Guide to Construction Site Dewatering provides the Resident Engineer with step-by-step instructions for overseeing dewatering operations on the construction site. All aspects of dewatering are addressed, from the selection of an appropriate dewatering management option to ensuring compliance with NPDES permit requirements for operations, maintenance, and reporting.

The Field Guide is currently available at <http://www.dot.ca.gov/hq/construct/stormwater.html>.



Websites



- ❑ Caltrans HQ Construction Storm Water Website:
www.dot.ca.gov/hq/construc/stormwater
- ❑ Caltrans HQ Environmental Storm Water Website:
www.dot.ca.gov/hq/env/stormwater

A screenshot of the California Home website. The top navigation bar is red with 'California Home' on the left and 'Wednesday, October 30, 2002' on the right. Below this is a banner with 'Welcome to California' and various images including a train, a hand holding a laptop, and a Hollywood sign. The main content area has a white background with a red border. On the left, there are several blue links: 'Caltrans Home', 'Construction Home', 'Participate in Caltrans Online Storm Water Forum.', and 'Storm Water Quality Handbook Project Planning and Design Guide'. In the center, there is a breadcrumb trail: 'Caltrans > Doing Business > Construction > Stormwater'. On the right, there is a search bar with a 'search' button and two radio buttons labeled 'My CA' and 'This Site'. Below the search bar is a large image of a lake surrounded by trees. At the bottom, a red banner contains the text 'Storm Water and Water Pollution Control'.

Who is Liable for Non-compliance?



- The NPDES permittee is liable for noncompliance with permit requirements.
- Who is the permittee on all Caltrans construction projects?

Caltrans!

- Project records must be retained for 3 years during which time the records are available for regulatory review.

What is Non-Compliance?



- Discharging untreated “polluted” water off-site without a permit or agreement.
- Violating the terms of a permit or agreement.
- Violating Caltrans water quality management policies, procedures, or BMPs.



What Do You Do if Non-Compliant?



- ❑ Stop or correct the non-compliant situation.
- ❑ Retrieve “pollution” if possible.
- ❑ Send Notice of Discharge, SWPPP Attachment K, (if appropriate) to the RWQCB if discharge occurred.
- ❑ Assure future compliance.

Attachment K
Notice of Discharge, Written Notice, or Order

INSTRUCTIONS

- This form will be used to report instances of discharges. The completed form will be submitted to the Resident Engineer within 7 days of the assessment of discharge, written notice or orders from a regulatory agency.

To: Name of Caltrans Resident Engineer Date: Insert Date
Subject: Notice of Discharge

Project Name: Insert Project Name
Caltrans Contract Number: contract number

In accordance with the Caltrans NPDES Statewide Permit for Storm Water Discharges Associated with Construction Activity, the following instance of discharge is noted:

Date, time, and location of discharge
Insert description and date of event

Nature of the operation that caused the discharge
Insert description of operation

Initial assessment of any impact caused by the discharge
Insert assessment

Existing BMP(s) in place prior to discharge event
List BMPs in place

Date of deployment and type of BMPs deployed after the discharge.
BMPs deployed after the discharge (with dates)

 Caltrans Storm Water Quality Handbooks
SWPPP/WQCP Preparation Guide
September 2000

Notice of Discharge
1 of 2

Caltrans Contract Remedies for Noncompliance



- ❑ Discharge any subcontractor or person employed by the contractor who Caltrans deems to be incompetent or who acts in a disorderly or improper manner (Standard Specifications, Section 5-1.12).
- ❑ Immediately suspend any work that would exacerbate the noncompliance or interfere with or prevent the contractor's efforts to correct the deficiency (Standard Specifications, Section 8-1.05).

Caltrans Contract Remedies for Noncompliance (Cont.)



- ❑ Per Special Provision 10-1, “Water Pollution Control” (07/02):
 - Retain an amount equal to 25 percent of the estimated value of the contract work performed during the first estimate period that the contractor fails to conform to the Water Pollution Control special provision of the contract.
 - Hold the Contractor responsible for penalties assessed or levied on the Contractor or Caltrans as a result of the Contractor's failure to comply with the Water Pollution Control special provision of the contract.

Penalties for Violations of NPDES Permit Conditions



□ NPDES Statewide Construction Permit:

“Any person who violates any permit condition of this General Permit is subject to a civil penalty not to exceed **\$27,500 per calendar day** of such violation, as well as any other appropriate sanction provided by **Section 309 of the CWA.**”

What is the Penalty for Noncompliance?



- ❑ Some Recent Regulatory Agency Actions and Fines
 - March 2001 – \$32,010
RWQCB 8 (Santa Ana Region) fined the City of Garden Grove for violating the RWQCB General Dewatering Permit for (1) discharging polluted groundwater to the storm drain, (2) failing to sample the discharge, and for (3) not notifying the RWQCBQ prior to discharge.
 - April 2002 – \$78,000
RWQCB 9 (San Diego Region) fined Promenade, Inc. for exceeding dewatering effluent limitations of RWQCB General Permit CAG919002 for Total Suspended Solids (TSS), cyanide, pH, copper, zinc, and toxicity.

Communicating with the RWQCB



- ❑ Communications with the RWQCB occur when:
 - RWQCB staff inspect your site
 - You contact the RWQCB for guidance on dewatering
 - You respond to questions from RWQCB staff regarding dewatering activities on your project

Communicating with the RWQCB (Cont.)

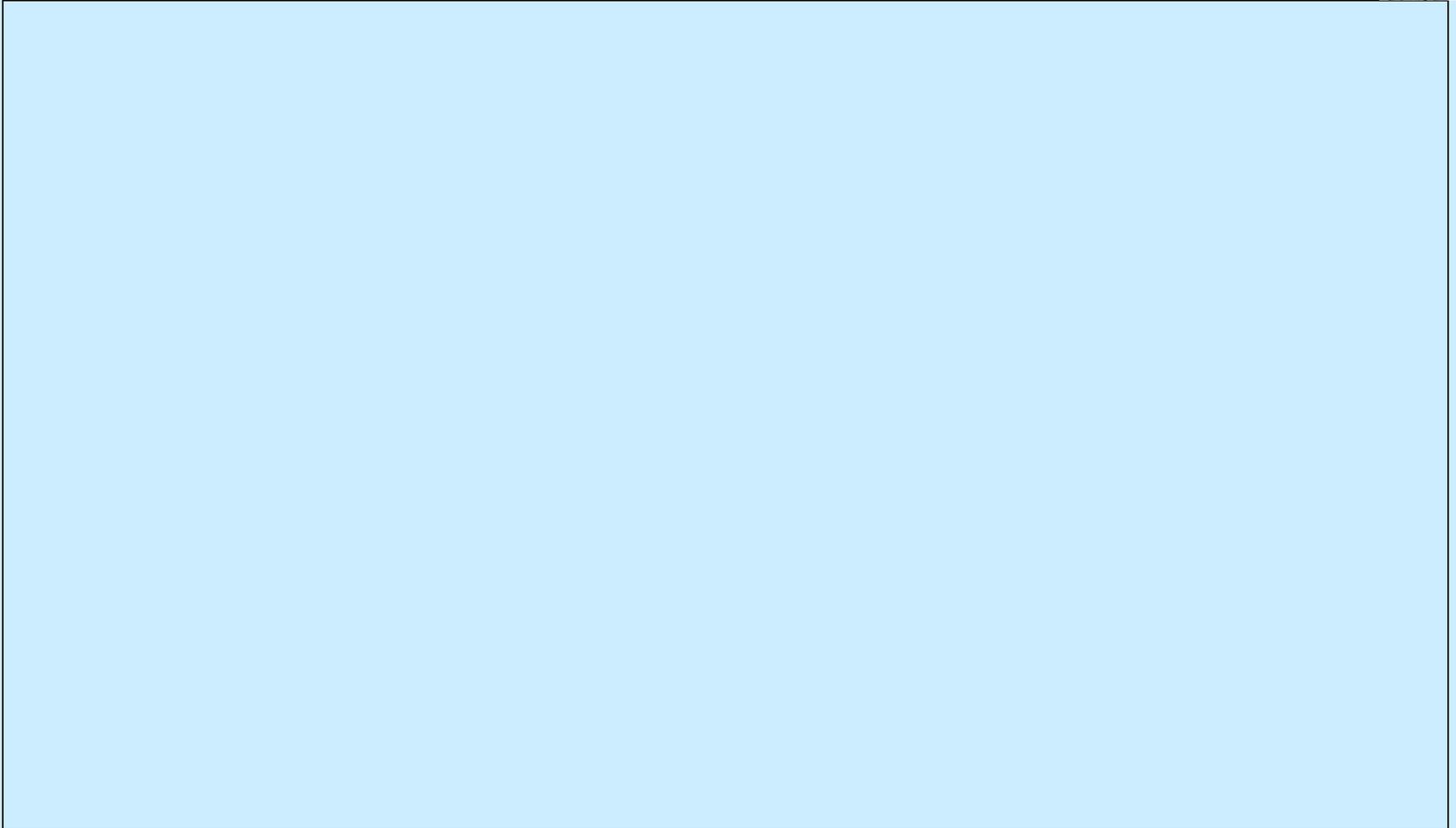


- ❑ Use the opportunity to develop partnerships and a positive rapport with RWQCB staff
 - Introduce yourself, your role, and your responsibilities
 - Remain calm and attentive.
 - Do not be argumentative.
 - Do not be afraid to ask questions, but RWQCB staff will expect a certain degree of knowledge.
 - Be honest and direct.
 - Do not avoid the issue at hand or make excuses.

Team Competition #9: Roles and Responsibilities



Team Competition #9: Roles and Responsibilities



Sediment Treatment Options

(Field Guide: Appendix B)



Key Consideration – Site Condition



- ❑ Evaluate site to determine:
 - If slope or accessibility limit treatment selection
 - Most effective system layout
 - Access to treatment area
 - Dewatering effluent storage size and location
 - Pumping/piping system needed
 - Backwash system
 - Low-impact discharge system and location
 - Power availability

- ❑ Request vendor assistance with design, setup and operation

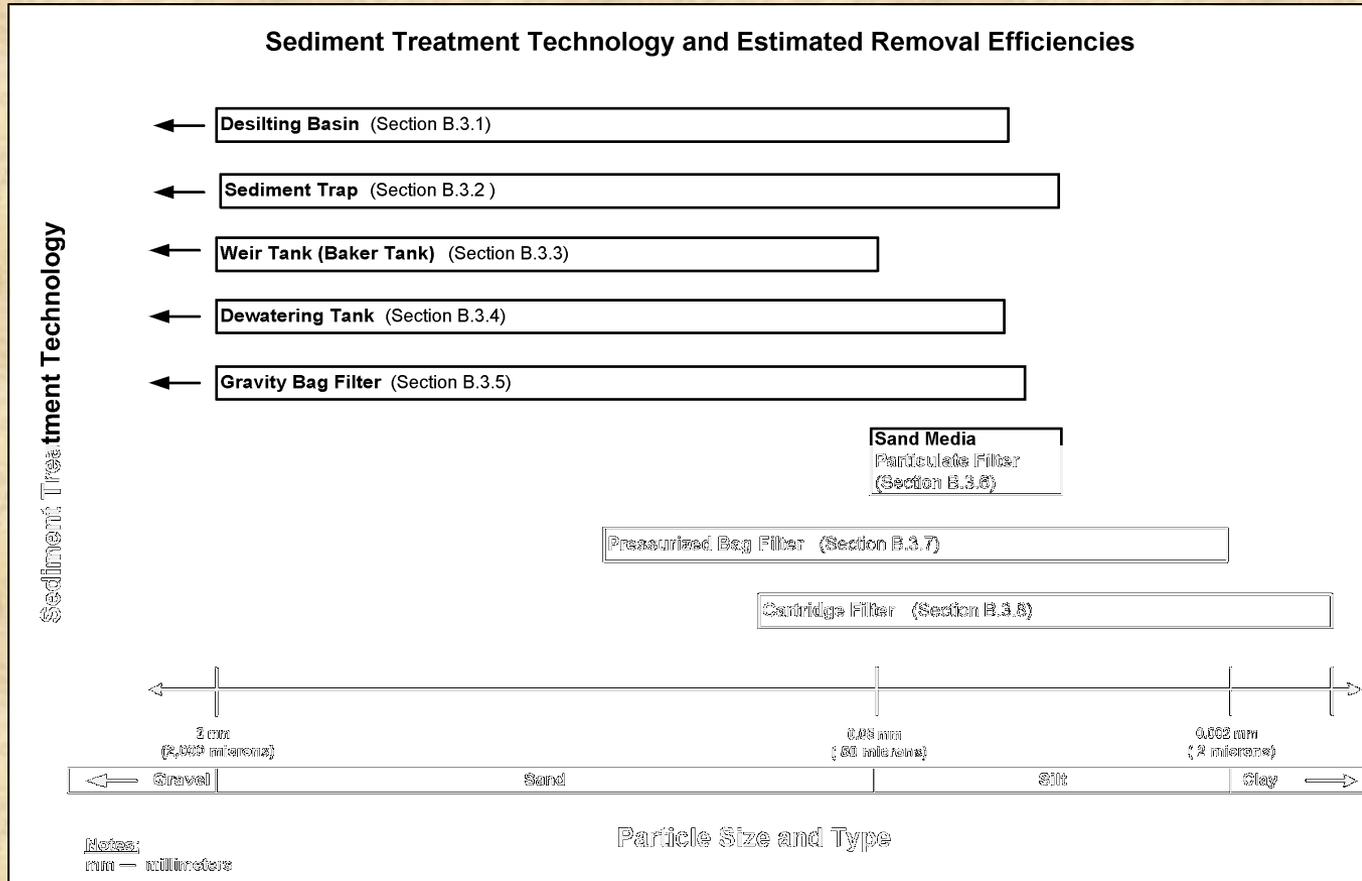
Key Consideration – Site Condition



- ❑ Example of effective dewatering treatment solution within a limited area (roadway median)



Particle Size vs. Sediment Treatment Selection (FG: Page B-3)



*Section numbers refer to Field Guide to Construction Site Dewatering.

Key Consideration – Effluent Flow Rate



- ❑ Refer to manufacturer's specifications to identify appropriate options
 - Flow rate capacity vs. sediment removal size
 - Maximum flow rates

- ❑ May require multiple parallel systems to treat anticipated flow rate

Desilting/Sediment Basin (SC-2) (Page B-6)



- ❑ Temporary basin with a controlled release structure
- ❑ Effective for removal of trash, large to some fine-sized particles, and some metals (attached to sediment)
- ❑ Holds large volumes of sediment
- ❑ Retention allows sediment and trash to settle out of effluent before discharge
- ❑ Dewatering guide provides a guide to sizing basin



Sediment Trap (SC-3) (Page B-8)



- ❑ Temporary basin formed by excavation and/or construction of embankment
- ❑ Effective for removal of large and medium-sized particles
- ❑ Located across a waterway or low drainage area
- ❑ Constructed either independently, or in conjunction with a temporary diversion dike
- ❑ Dewatering guide provides a guide to sizing trap

Weir Tank (FG: Page B-10)



- ❑ Appropriate for preliminary level of treatment
- ❑ Weirs to separate water and trash, sediment, oil/grease
- ❑ Multiple tanks can be used in parallel
- ❑ Flow rate 60 -100 gpm
- ❑ Maximize the distance water travels inside tank and minimize water turbulence
- ❑ Tanks can hold large volume of solids before cleaning required
- ❑ No filter cloth or media required



Dewatering Tank (FG: Page B-12)



- ❑ Tank has one large chamber.
- ❑ Filter fabric lines bottom of tank.
- ❑ Effluent flows into top of tank, passes through a fabric filter, and discharges through the bottom.
- ❑ Removes trash, sediment, some oils and metals



Gravity Bag Filter (FG: Page B-13)



- ❑ Also known as a “dewatering bag”
- ❑ Square or rectangular bag made of non-woven geotextile fabric that collects sand, silt, and fine particles
- ❑ Water is pumped in one end and seeps out of sides and bottom
- ❑ May require a secondary barrier to capture escaping sediments; e.g. rock filter bed or straw bale barrier
- ❑ Effective for removing larger particles.



Sand Media Particulate Filter (FG: Page B-15)



- Appropriate for a secondary or higher level of treatment to remove fine particles
- Requires backwashing-water and power source
- Removes trash, sediment, metals
- Reduces biological oxygen demand (BOD) and turbidity

Pressurized Bag Filter (FG: Page B-17)



- Uses polyester felt filter bags to remove trash, sediment, metals, BOD, and turbidity.
- Oil absorbent bags are available to remove hydrocarbons.
- Water filters through unit and discharges through a header, allowing for flow in series to an additional treatment unit.
- Units may be combined with cartridge filters to provide enhanced treatment.

Cartridge Filter (FG: Page B-19)



- ❑ Provides secondary or higher (polishing) level of treatment after significant amount of sediments and other pollutants have been removed.
- ❑ Effective for removing fine sediments.
- ❑ Water flows from outside cartridge to inside.
- ❑ Large assortment of individual filter cartridges are available.
- ❑ Highly portable with very small footprint.



Other Treatment Systems



- Flow rate is key...



Maintenance Considerations for Sediment Treatment Selection



- ❑ Maintenance is necessary for effective sediment removal.

- ❑ Requirements vary by technology
 - Desilting/Sediment Basin (SC-2) and Sediment Trap (SC-3) – Maintain per BMP; remove sediment when 1/3 full.

 - Weir (Baker) Tank and Dewatering Tank – Remove sediment when indicated by visual inspection or when flow is reduced.

Maintenance Considerations for Sediment Treatment Selection (Cont.)



- Requirements vary by technology (cont.):
 - Gravity Bag Filter – Replace bag when flow is reduced or is no longer removing sediment.
 - Sand Media Particulate Filter – Monthly service to check level and add sand media.
 - Pressurized Bag Filter and Cartridge Filter– Replace filter bag when pressure differential exceeds manufacturer's specs.

Cost vs. Sediment Treatment Selection



- ❑ Typical costs to set up and maintain systems may include:
 - Equipment rental fees (one or more systems)
 - Transportation costs
 - Installation/construction costs
 - Operation costs
 - Maintenance costs
 - Waste disposal costs
 - Ancillary costs (power, water, etc.)



Putting it all Together



- ❑ Section B.3 of the Field Guide provides additional information about these sediment treatment technologies.
- ❑ Table B-1 summarizes and compares information for various technologies.

Table B-1: Comparison of Treatment Options

(FG: Page B-5)



Sediment Treatment Technology	Pollutant Removal	Flow Range (gpm)	Footprint Area (ft ²)	Product Availability	Construction/Rental Cost	Maintenance Cost
Desilting Basin	Trash & sediment	25-500	Varies by design	Time to construct	\$108 per m ² (\$10/ft ²)	---
Sediment Trap	Trash & sediment	25-500	Varies by design	Time to construct	\$108 per m ² (\$10/ft ²)	---
Weir Tank (Baker Tank)	Trash, sediment, metals & some oil/grease	60-100	1,800	2-3 days; 1 week modified	\$35-\$45 per day	\$1,000 per disposal
Dewatering Tank	Trash, sediment, some oils and metals	Varies	1,200-1,500	1-3 days	\$45-\$60 per day	\$125-\$425 fabric replacement
Gravity Bag Filter	Sediment and metals	300 & 800	100-400	1 day	\$100-\$200 per bag; \$500-\$2,000 per barrier	Bag replacement
Sand Media Filter	Trash, sediment, metals, BOD, and Turbidity	80-1,000 (varies by model)	17-450	1-2 days	1,100-\$4,000 per month; \$500-\$1,500 setup	\$50-\$100 per month
Pressurized Bag Filter	Trash, sediment, metals, BOD, turbidity, and Hydrocarbons	50-100 (varies by model)	200-320	1-2 days	\$850-\$3,400 per month; \$150-\$500 setup	\$300-\$1,250 per month
Cartridge Filter	Trash, sediments, metals, BOD, turbidity & Hydrocarbon	50-1,000	200	1-2 days	\$800-\$3,000 per month; \$1,500 setup	\$1,000-\$5,000 per month

Note:

This information is based on general manufacturers.

Sediment Treatment Equipment Vendors

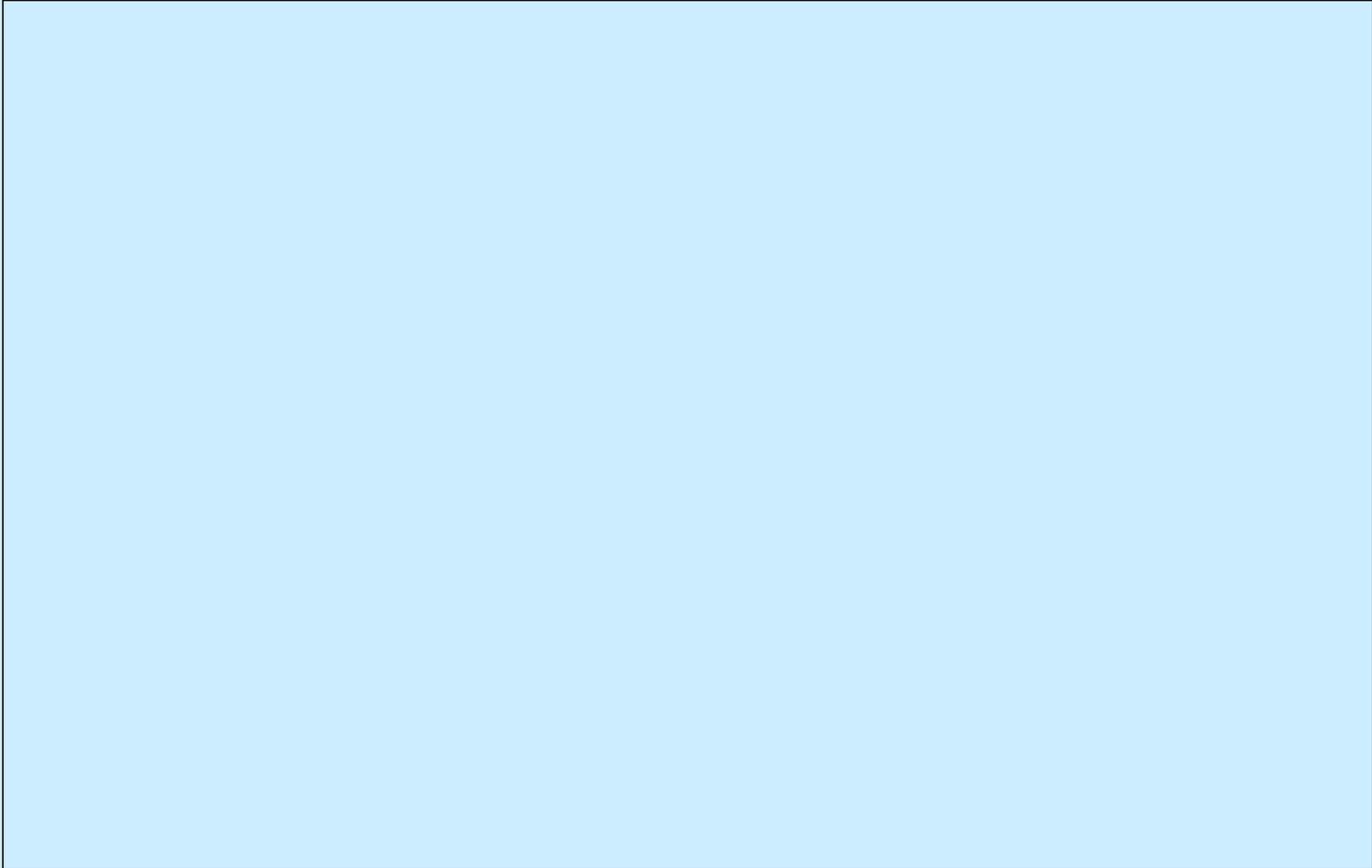


Vendor/Supplier	Products Available
Rain for Rent 1-661-387-6111 Mike Chase www.rainforrent.com	Weir tank Dewatering tank Pressurized bag filter Sand media filter Cartridge filter
Clear Creek Systems, Inc. 1-661-324-9634 Joe Gannon www.clearcreeksystems.com	Dewatering tank (“mud buggy”) Pressurized bag filter Sand media filter Cartridge filter
Baker Tanks 1-800-BAKER 12 www.bakertanks.com	Weir tank Dewatering tank
Dandy Products 1-800-591-2284 www.acfenvironmental.com	Gravity (dewatering) bag filter

Team Competition #10: Sediment Treatment Options



Team Competition #10: Sediment Treatment Options



Summary



Using the Field Guide to Construction Site Dewatering



- ❑ Flow Charts identify appropriate management options.
- ❑ Appendix A contains RWQCB maps and contact information.
- ❑ Appendix B presents sediment treatment information.
- ❑ Appendix C contains water quality assessment forms.
- ❑ Appendix D contains copies of the RWQCB Permits that regulate dewatering.

Parting Thoughts...How to Manage Construction Site Dewatering Operations



- Plan Ahead
 - Will your project require dewatering after rainstorms?
 - Will you have excavations that may require groundwater dewatering?
- If so, contact your CSWC at the beginning of the job or **NOW** and identify the requirements and develop a plan of action.

Wrap-Up – What You Learned



- ❑ Learning Outcomes - You should now be able to:
 - Determine if a dewatering operation is required
 - Determine if additional permits are required
 - Determine the Contractor's roles and responsibilities
 - Determine the Department's roles and responsibilities
 - Determine if the Contractor is in compliance with applicable permits
 - Estimate quantities of dewatering discharges
 - Determine the appropriateness of various dewatering technologies

Post-Test and Course Evaluation



Post-Test: And the Answer is ...



1. Is a dewatering operation required?

Answer:

2. Is a dewatering operation required?

Answer:

3. Is a RWQCB permit required?

Answer:

Post-Test:

And the Answer is ... (Cont.)



4. Are there options for dewatering without an RWQCB permit?

Answer:

5. Which Caltrans doc describes dewatering?

Answer:

6. Who will the RWQCB fine?

Answer:

Post-Test:

And the Answer is ... (Cont.)



7. Who determines the means and methods to comply with a RWQCB permit?

Answer:

8. Who determines if a contractor is complying with the RWQCB permit?

Answer:

9. Does operation comply with Caltrans permit?

Answer:

Post-Test:

And the Answer is ... (Cont.)



10. Can Contractor pump to the storm drain?

Answer:

11. Which is not a factor for calculating discharge parameters?

Answer:

12. How many gallons per day will be discharged?

Answer:

Post-Test:

And the Answer is ... (Cont.)



13. Is sole use of desilting basin appropriate?

Answer:

14. Which option is appropriate for treating suspended clay sediment?

Answer:

QUESTIONS?
Contact your CSWC

