Recovery Act of 2009 Workshop

June 25, 2009
Los Angeles, CA
Division of Mass Transportation
Federal Transit Grants Programs
What is Recovery Act

1. Preservation & Creation of Jobs
2. Promotion of Economic Recovery
3. Investment in Infrastructure
4. Environmental Protection
Recovery Act 5311 Provisions

- 100% Federal
- No Match
- Capital Only
- 180-days
- Extensive Reports
- Logos
The 2009 Recovery Act
Non-Urban Section 5311 Funds

Regional Apportionment:
- 80% $27,110,998
- 5% $1,698,158
- 15% $5,154,000

Total Regional Apportionment: $33,963,166
SECTION 5311 GRANT PROCESS
American Recovery and Reinvestment Act (ARRA) of 2009

DMT received all Applications in Sacramento by the due date- April 17, 2009.
SECTION 5311 GRANT PROCESS
American Recovery and Reinvestment Act (ARRA) of 2009

CALL FOR PROJECTS
APPLICATIONS DUE TO HEADQUARTERS

Federal Transit Administration (FTA)

Caltrans - Headquarters

Subrecipient / Local Agency

MPO / RTPA

- Application review deadline May 8, 2009.

- Application review deadline May 8, 2009.
Application review/approval was completed and submitted to the FTA on **May 29, 2009**.
SECTION 5311 GRANT PROCESS
American Recovery and Reinvestment Act (ARRA) of 2009

Standard Agreements are being sent to agencies the week of June 21-26, 2009.

It is CRITICAL that these agreements be signed AND RETURNED within three weeks of receipt, or no later than July 16, 2009.
SECTION 5311 GRANT PROCESS
American Recovery and Reinvestment Act (ARRA) of 2009

CALL FOR PROJECTS
APPLICATIONS DUE TO HEADQUARTERS
PREPARE, REVIEW & SUBMIT CALTRANS GRANT TO FTA
DISTRIBUTE ESTIMATES
PROGRAMMING

FINAL APPORTIONMENT
PUBLIC WORKS FUND TRANSFER PROCESS
FHWA NOTIFIES CALTRANS OF FUND TRANSFER THROUGH TEAM

FLEX FUND TRANSFER PROCESS
FTA NOTIFIES CALTRANS OF FUND TRANSFER THROUGH TEAM

DOL APPROVE GRANT
OBLIGATE, EXECUTE & AWARD BY FTA


CALL FOR PROJECTS
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FHWA NOTIFIES CALTRANS OF FUND TRANSFER THROUGH TEAM

DOL APPROVE GRANT
OBLIGATE, EXECUTE & AWARD BY FTA

7/17/2009

Expected grant approval date July 17, 2009.

Caltrans - Headquarters
Department of Labor (DOL)
MPO / RTPA
Federal Transit Administration (FTA)
Subrecipient / Local Agency
Federal Highway Administration (FHWA)
CALL FOR PROJECTS
APPLICATIONS DUE TO HEADQUARTERS
REVIEW & FINAL APPROVAL OF PROJECT APPLICATIONS
PREPARE, REVIEW & SUBMIT CALTRANS GRANT TO FTA
STANDARD AGREEMENTS INITIATED
SEND REQUEST FOR AGENCY SIGNATURE (3 WEEKS)
ENCUMBRANCE PROCESS (3 WEEKS)
DOL APPROVE GRANT OBLIGATE, EXECUTE & AWARD BY FTA

ARRA funds will be ENCUMBERED August 7, 2009

            9/1/2009                         

2/5/2009
DISTRIBUTE ESTIMATES
PROGRAMMING

SEND REQUEST FOR AGENCY SIGNATURE (3 WEEKS)

DISTRIBUTE ESTIMATES
We expect to execute all standard agreements by August 21, 2009.
SECTION 5311 GRANT PROCESS

American Recovery and Reinvestment Act (ARRA) of 2009

CALL FOR PROJECTS
APPLICATIONS
DUE TO
HEADQUARTERS
Federal Transit Administration (FTA)
Caltrans - Headquarters
Subrecipient / Local Agency

DELIVER PROJECT
(Notice to Proceed)
REQUEST FOR REIMBURSEMENT
EXECUTE CONTRACT
(2 WEEKS)
9/1/2009
ENCUMBRANCE PROCESS
(3 WEEKS)

STANDARD AGREEMENTS INITIATED
DISTRIBUTE ESTIMATES
REVIEW & FINAL APPROVAL OF PROJECT APPLICATIONS
PREPARE, REVIEW & SUBMIT CALTRANS GRANT TO FTA

8/21/2009                 7/17/2009
SEND REQUEST FOR AGENCY SIGNATURE (3 WEEKS)

SEND REQUEST FOR AGENCY SIGNATURE (3 WEEKS)

PROGRAMMING

DISTRICT BUTE ESTIMATES

September 1, 2009 is the DEADLINE to meet the 180-day timeframe.

180-DAY ARRA MILESTONE
EXECUTE CONTRACT (2 WEEKS)
ENCUMBRANCE PROCESS (3 WEEKS)
DOL APPROVE GRANT OBLIGATE, EXECUTE & AWARD BY FTA

180-DAY ARRA MILESTONE

Caltrans - Headquarters
Department of Labor (DOL)
Federal Transit Administration (FTA)
ARRA Legislative Requirement
Subrecipient / Local Agency
Federal Highway Administration (FHWA)
MPO / RTPA

September 1, 2009 is the DEADLINE to meet the 180-day timeframe.
The 2009 Recovery Act Action & Communication Plan

- Establish Recovery Act Website

- Teleconferences with Local Agencies

- Technical Assistance and Outreach
  - CalACT Conference sessions
  - Grant Workshops
## The 2009 Recovery Act
### Summary of Projects

<table>
<thead>
<tr>
<th>Inventory</th>
<th>Number</th>
<th>Grant</th>
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<tbody>
<tr>
<td>Vehicles</td>
<td>136</td>
<td>$16,358,986</td>
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<tr>
<td>Facilities</td>
<td>29</td>
<td>$4,615,370</td>
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<tr>
<td>Equipment</td>
<td>361</td>
<td>$4,723,932</td>
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<tr>
<td>ADA Paratransit Operating</td>
<td>7</td>
<td>$446,82</td>
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<tr>
<td>Mobility Management</td>
<td>4</td>
<td>$104,923</td>
</tr>
<tr>
<td>Safety &amp; Security Improvements</td>
<td>5</td>
<td>$91,489</td>
</tr>
<tr>
<td>Preventive Maintenance</td>
<td>31</td>
<td>$5,923,472</td>
</tr>
</tbody>
</table>

**Total Projects**: 573  **Grand Total for Grant**: $33,963,166

**Grant Administration**: 5%  **Total Grant**: $32,265,008

**Grand Total for Grant**: $33,963,166
## Caltrans District 7 Map

### Inventory Dollar Amount

<table>
<thead>
<tr>
<th>Inventory</th>
<th>Dollar Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vehicle</td>
<td>5 $1,178,454.00</td>
</tr>
<tr>
<td>Facility</td>
<td>0</td>
</tr>
<tr>
<td>Equipment</td>
<td>0</td>
</tr>
<tr>
<td>Paratransit Transit</td>
<td>0</td>
</tr>
<tr>
<td>Mobility Management*</td>
<td>0</td>
</tr>
<tr>
<td>Safety &amp; Security**</td>
<td>0</td>
</tr>
<tr>
<td>Preventive Maintenance***</td>
<td>0</td>
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<tr>
<td><strong>TOTAL</strong></td>
<td>5 $1,178,454.00</td>
</tr>
</tbody>
</table>
The 2009 Recovery Act
Criteria for Contingency

1) Meet funding gaps for replacement vehicles partially funded from regional apportionment

2) Provide full funding for replacement vehicles

3) Provide funding for facilities with funding gaps partially funded from regional apportionment or other funds

4) Provide full funding for facilities

5) Provide funding for equipment

6) Preventive Maintenance

7) Mobility Management

8) Provide funding for ADA Operating
$14.2 Million for Contingency Projects was requested.

Balance from Regional Apportionment was $80,000.

One project was funded, Plumas County – Vehicle purchase.

While we are unable to fund all requests at this time, California expects more Recovery Act funding in the Fall when Congress re-appropriates unused funds back to the States.

No new application(s) will be required.
The 2009 Recovery Act
Standard Agreement Contents

- Pre-Award Authority Requirements and Conditions
- Certification and Assurances
- FTA Program Requirements
- Buy America Provisions
The 2009 Recovery Act

Standard Agreement Contents

- Reporting Requirements
- Separation of Recovery Act Project Records
- Registration Requirements
- Cost worksheets
The 2009 Recovery Act

Pre-Award Authority

- Purpose of Pre-Award Authority
- FTA requirements and conditions
- Pre-Award Authority approved by Caltrans
- Agency boards must sign a resolution
The 2009 Recovery Act

Pre-Award Authority

- Pre-award Authority is not a legal or implied commitment that the projects will be approved for funding.

- Agency assumes all risk and is responsible for ensuring that all FTA requirements are met.

- Local funds expended by the agency **after** the date of the pre-award authority will be eligible for reimbursement.

- Local funds expended by the agency **before** the date of the pre-award authority will not be eligible for reimbursement.
The 2009 Recovery Act

Reimbursement

- Invoice
- Supporting Documentation
- Reimbursement requests and supported documents are to be sent to your Caltrans District Office.
The monitoring process is to prepare the agency for an audit on the project(s).

The purpose of monitoring activities is to confirm project delivery.

Onsite visits and project inspections.
QUESTIONS?

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The 2009 Recovery Act
Procurement Requirements

- BASIC REQUIREMENT

- Full and Open Competition
Procurement Category

✓ Micro Purchase – Under $2,500

✓ Small Purchase - More than $2,500 but under $100,000
Methods of Procurement

✓ Invitation for Bid

✓ Request for Proposal

✓ Piggyback
Project Category

✓ Capital

Vehicles; Intermodal Facilities; Bus Shelter, Bench, and Signage; Radio Communication;
Preventive Maintenance, AVL/MDT Systems,
State Vehicle Bus Contract

Lowest possible price

- Time savings
- Meets all State and Federal contracting requirements
- Several types of ADA compliant vehicles available ranging from 5-32 passenger capacity

State Vehicle Contract Status

- Minivan - Hope
- Modified Van - History
- Type I, II, III - Coming Soon
- Type VII - Adios
- Type VIII - Long Gone
- CNG - Ford/Chevy Type II, III
- Hybrid - Ford Azure Type II
- Diesel - Diesel - Chevy
Local Procurement

✅ Timely use of funds
✅ Bid Document
✅ Price Analysis and Proposed Bid Award
✅ Pre-Award and Post-Delivery Audit (Rolling Stock Only)
✅ Request for Reimbursement
State Procurement – Items Needed

✓ A signed copy of quote form or procurement order form

✓ A signed copy of floor plan

✓ Vehicle Inspection

✓ Vehicle Acceptance and Request for Reimbursement
Piggyback

✓ Request for Piggyback
✓ Letter from Primary Agency Allowing a Piggyback and Copy of the Specifications and Contract.
✓ Purchase Order
✓ Vehicle Acceptance and Request for Reimbursement
The 2009 Recovery Act
Contact Information

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The 2009 Recovery Act

Logos

US DOT TIGER

DOT.GOV