

Delinquent Agency Report – December 2013

In the fall of 2011, the PTMISEA program was audited by the Department of Finance (Finance). Finance Auditors found deficiencies in Caltrans' oversight and monitoring of PTMISEA projects and made recommendations for improvement. As part of the PTMISEA Audit Recommendation by Finance, the Department has created the Delinquent Agency Report (Report) to be updated twice a year and posted on the Division of Mass Transportation's website.

The Report is a listing of agencies with projects which does not comply with semi-annual progress reporting and compliance requirements as stipulated in the PTMISEA guidelines.

Agencies and/or projects placed on the Report are there for the following reasons:

- Delinquent with reporting and/or providing required documentation as stipulated in the PTMISEA guidelines.
- Agencies that have not begun on their approved project at least one year after allocation and have not provided an adequate justification as to the cause of the delay.
- Special Circumstances.

Agencies listed on the Report will be provided an opportunity to complete and submit any missing or corrected project reports and/or required documentation. All documents must go through Caltrans staff review and approval prior to receiving any future allocations of PTMISEA funds.

This report will be updated no less than once a year. Those agencies that have submitted the past due reports will be removed and new delinquent agencies may be added.

List of Delinquent Agencies:

1. San Francisco Municipal Transportation Authority (MUNI) - *(Need Final Project Reports)*
 - a. Geneva Yard-Historic Street Car Enclosure
 - b. Metro East Rail Vehicle Facility
 - c. Automated Passenger Counter Equipment
 - d. Overhead Rehabilitation – 5 Fulton/21 Hayes Bus Lines
2. City of Vallejo *(Need Semi-Annual and/or Final Project Reports)*
 - a. Intercity Bus Replacement
 - b. Replace (7) Buses
 - c. Replace 20 Buses (9 Buses)
 - d. Replace 5 Buses (20 Buses)
 - e. Replace 20 Buses (Purchase 1 Hybrid)
 - f. Bus Maintenance Facility Repair
 - g. Bus Fixed/Heavy Equipments
 - h. Install Bus Shelters and Stops
 - i. Purchase Vehicle Replacement Parts
 - j. Purchase of Shop Truck