



Recovery Act of 2009 Workshop

July 29, 2009

Redding, CA

***Division of Mass Transportation
Federal Transit Grants Programs***





What is Recovery Act

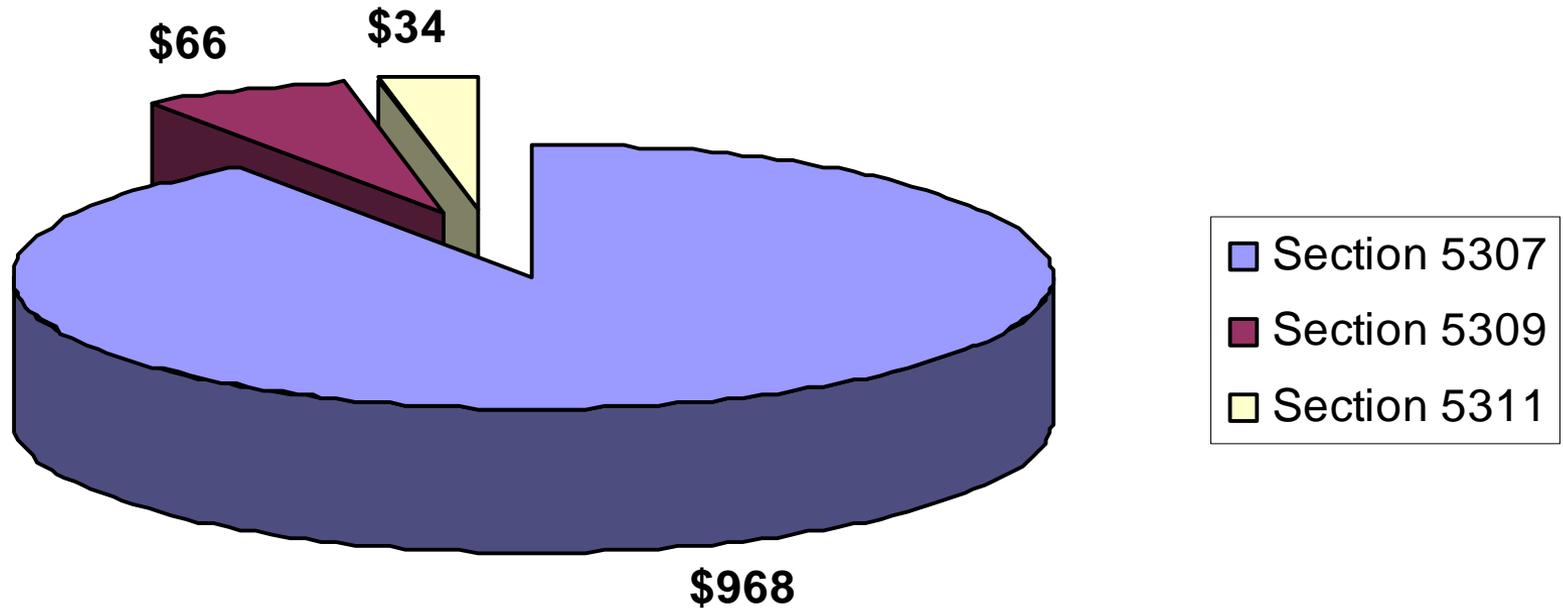
1. Preservation & Creation of Jobs
2. Promotion of Economic Recovery
3. Investment in Infrastructure
4. Environmental Protection





The 2009 Recovery Act

ARRA Apportionments to California



(Millions)





Recovery Act 5311 Provisions

- 100% Federal
- No Match
- Capital and Operating
- 180-days
- Extensive Reports
- Logos





Recovery Act Geography



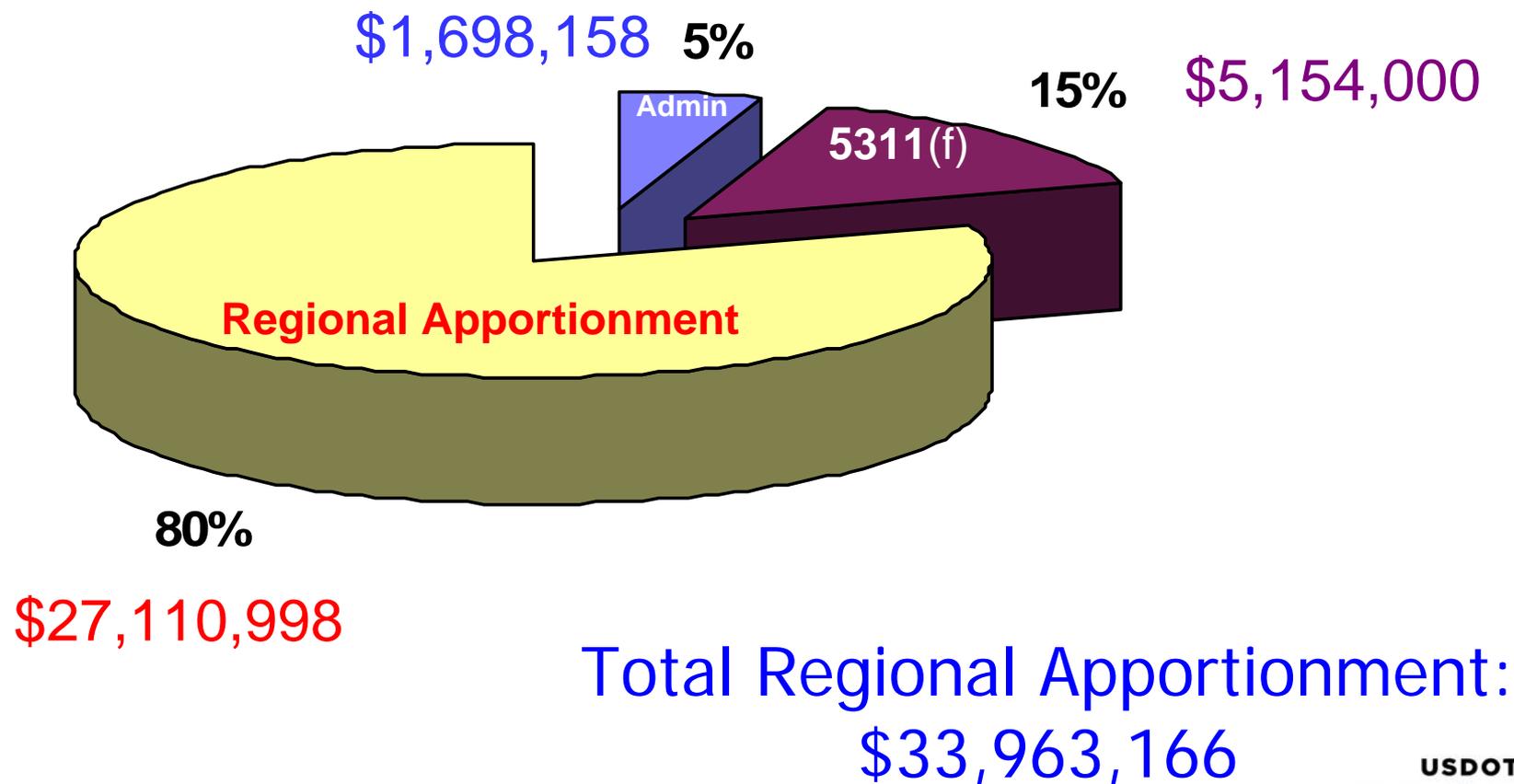


Recovery Act Geography





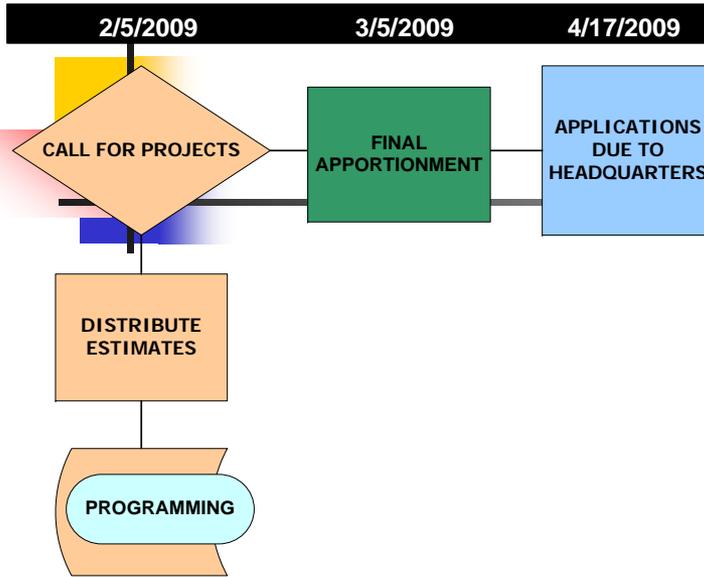
The 2009 Recovery Act Non-Urban **Section 5311 Funds**



SECTION 5311 GRANT PROCESS



American Recovery and Reinvestment Act (ARRA) of 2009



DMT received all Applications in Sacramento by the due date- **April 17, 2009.**

Caltrans - Headquarters

MPO / RTPA

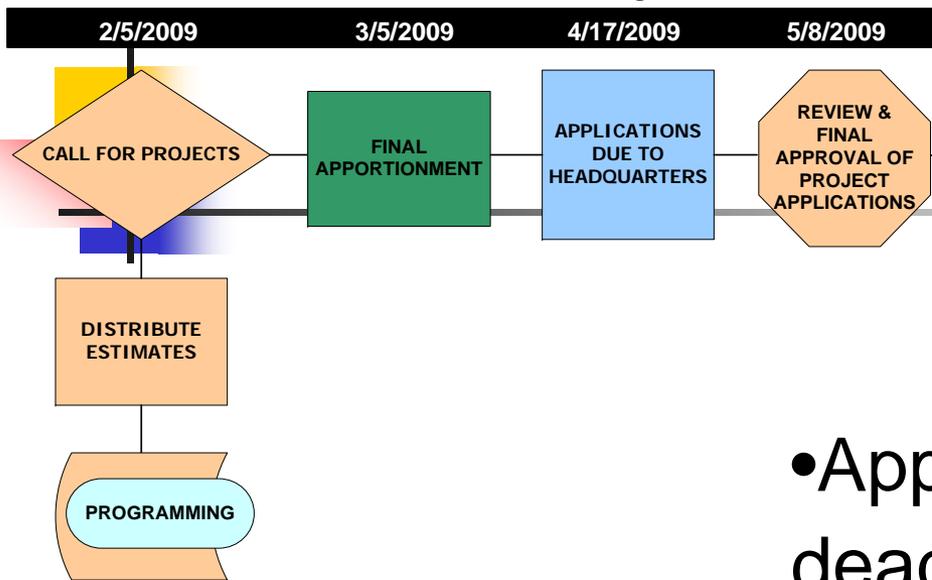
Federal Transit Administration (FTA)

Subrecipient / Local Agency

SECTION 5311 GRANT PROCESS



American Recovery and Reinvestment Act (ARRA) of 2009



•Application review
deadline **May 8, 2009.**

Caltrans - Headquarters

MPO / RTPA

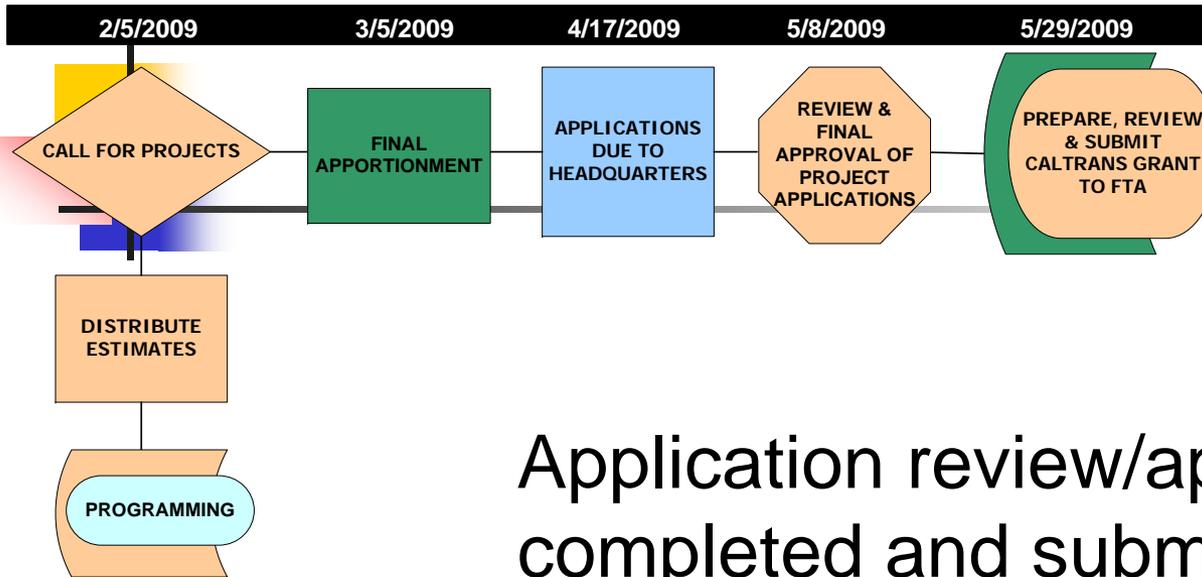
Federal Transit Administration (FTA)

Subrecipient / Local Agency

SECTION 5311 GRANT PROCESS



American Recovery and Reinvestment Act (ARRA) of 2009



Application review/approval was completed and submitted to the FTA on **May 29, 2009**.

Caltrans - Headquarters

MPO / RTPA

Federal Transit Administration (FTA)

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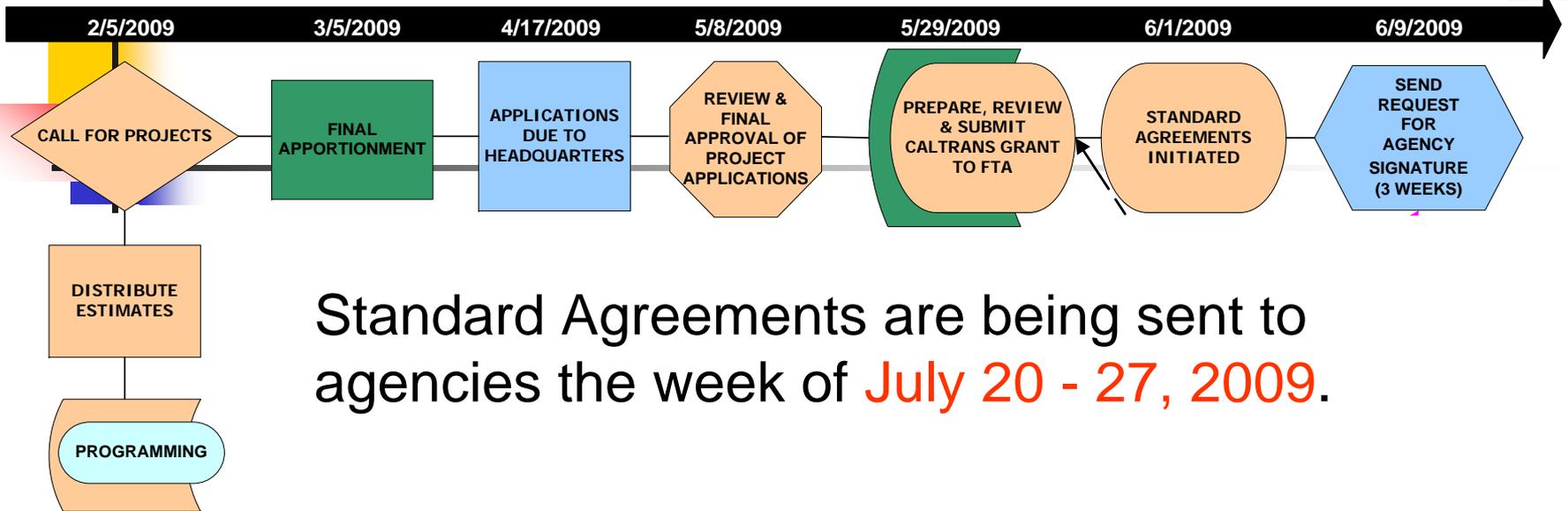
Subrecipient / Local Agency

Federal Highway Administration (FHWA)

SECTION 5311 GRANT PROCESS

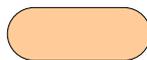


American Recovery and Reinvestment Act (ARRA) of 2009



Standard Agreements are being sent to agencies the week of **July 20 - 27, 2009**.

It is **CRITICAL** that these agreements be signed **AND RETURNED** or no later than **August 12, 2009**.

 Caltrans - Headquarters

 MPO / RTPA

 Federal Transit Administration (FTA)

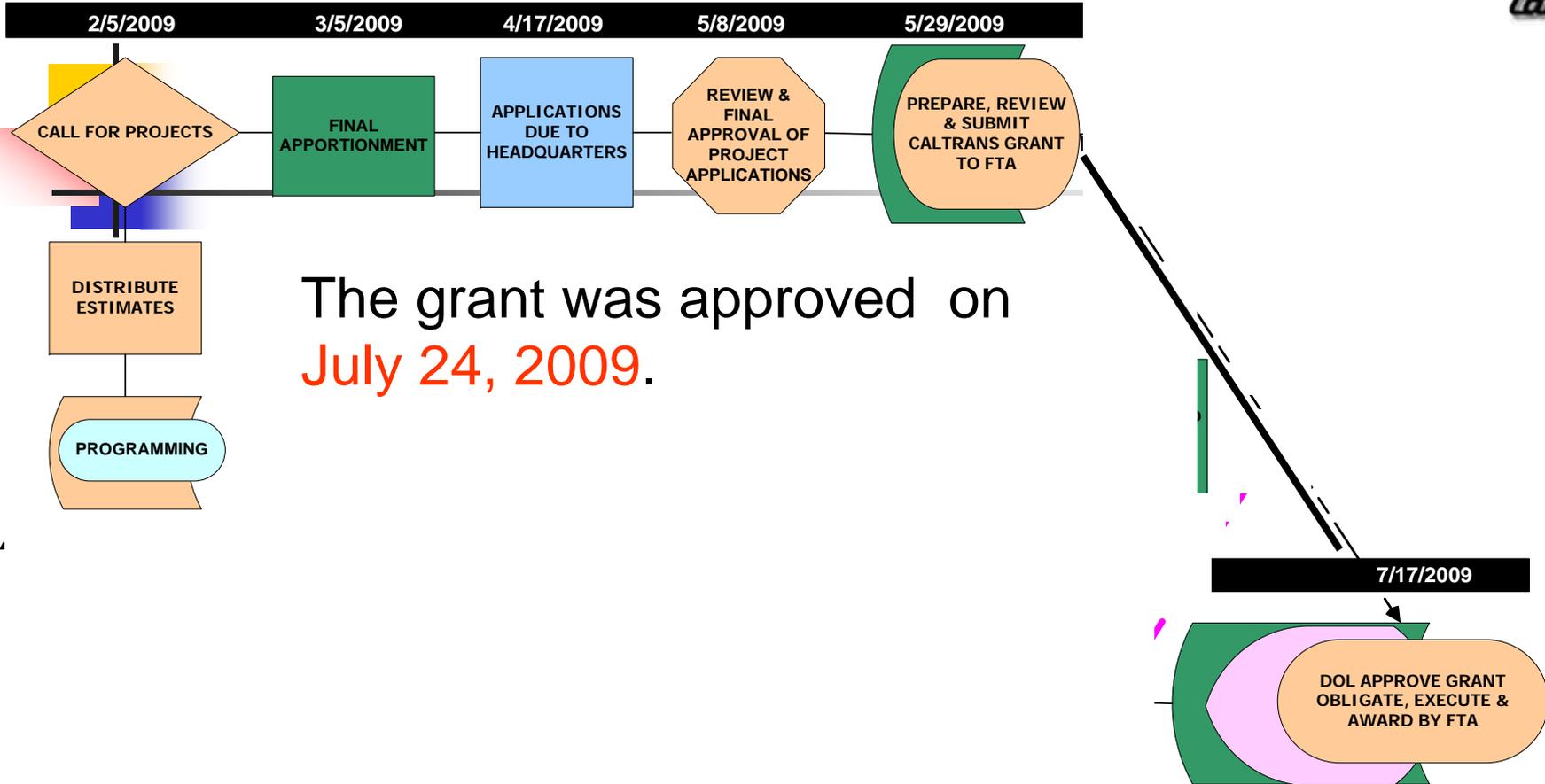
 Subrecipient / Local Agency

 Federal Highway Administration (FHWA)

SECTION 5311 GRANT PROCESS



American Recovery and Reinvestment Act (ARRA) of 2009



The grant was approved on
July 24, 2009.

Caltrans - Headquarters

Department of Labor (DOL)

MPO / RTPA

Federal Transit Administration (FTA)

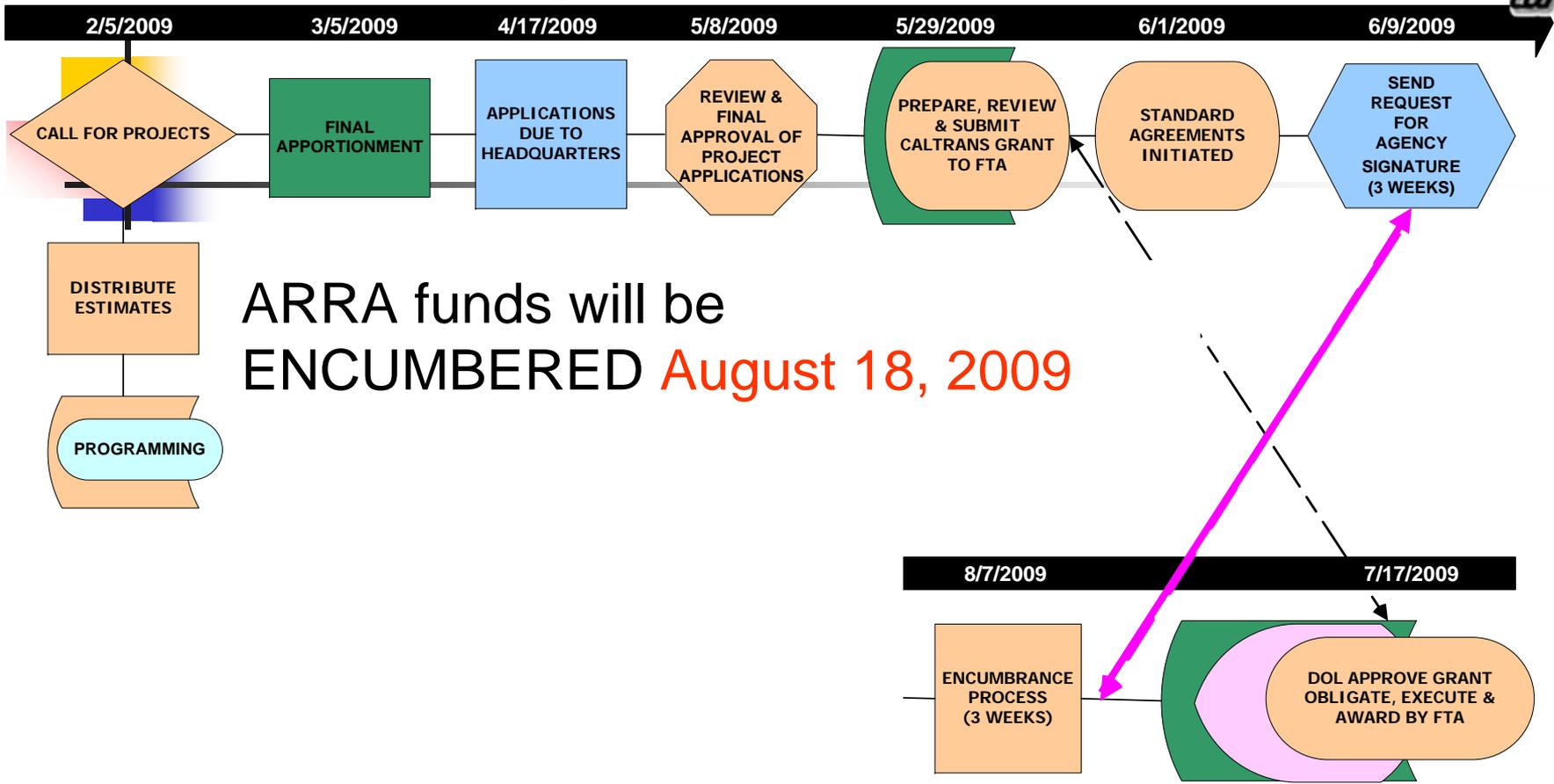
Subrecipient / Local Agency

Federal Highway Administration (FHWA)

SECTION 5311 GRANT PROCESS



American Recovery and Reinvestment Act (ARRA) of 2009



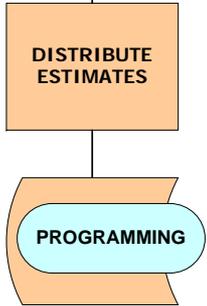
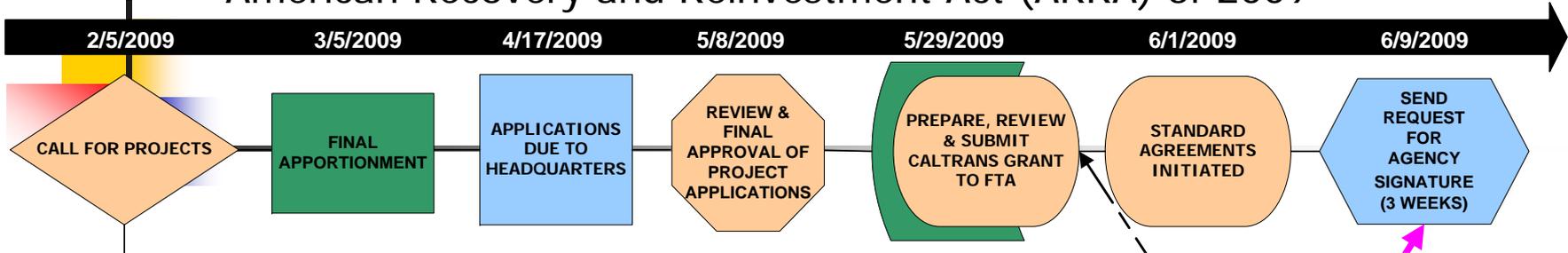
ARRA funds will be
ENCUMBERED August 18, 2009

- Caltrans - Headquarters
- Department of Labor (DOL)
- MPO / RTPA
- Federal Transit Administration (FTA)
- Subrecipient / Local Agency
- Federal Highway Administration (FHWA)

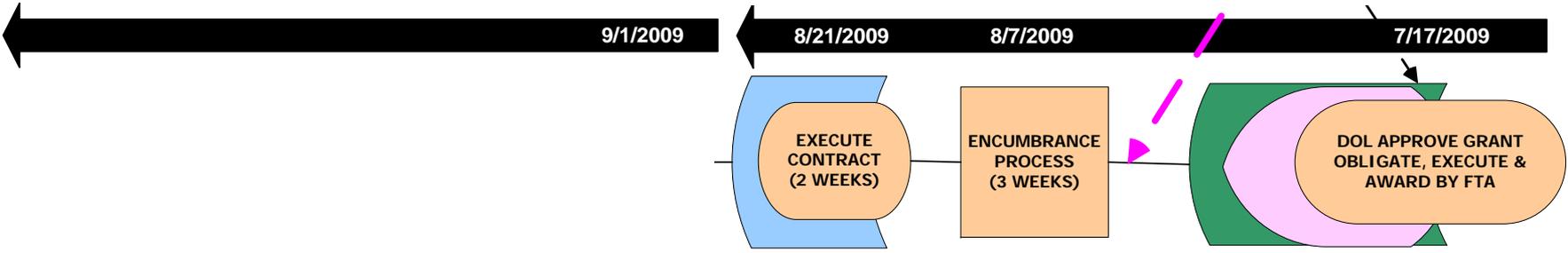


SECTION 5311 GRANT PROCESS

American Recovery and Reinvestment Act (ARRA) of 2009



We expect to execute all standard agreements by **August 21, 2009**.

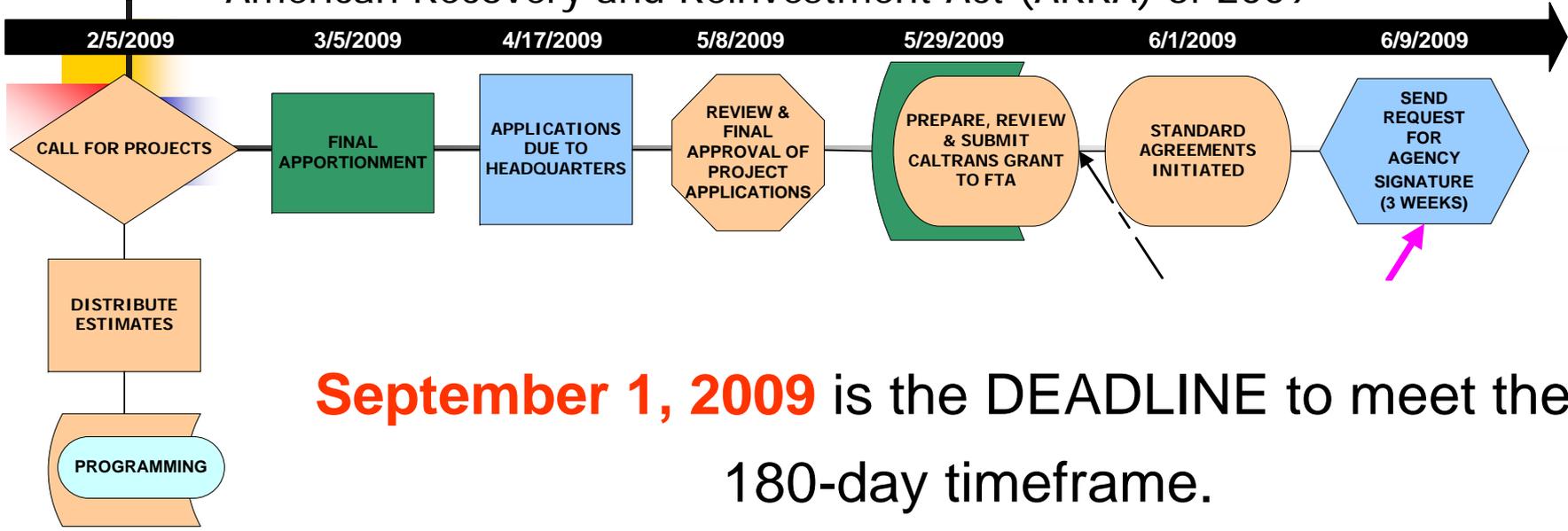


- Caltrans - Headquarters
- Department of Labor (DOL)
- MPO / RTPA
- Federal Transit Administration (FTA)
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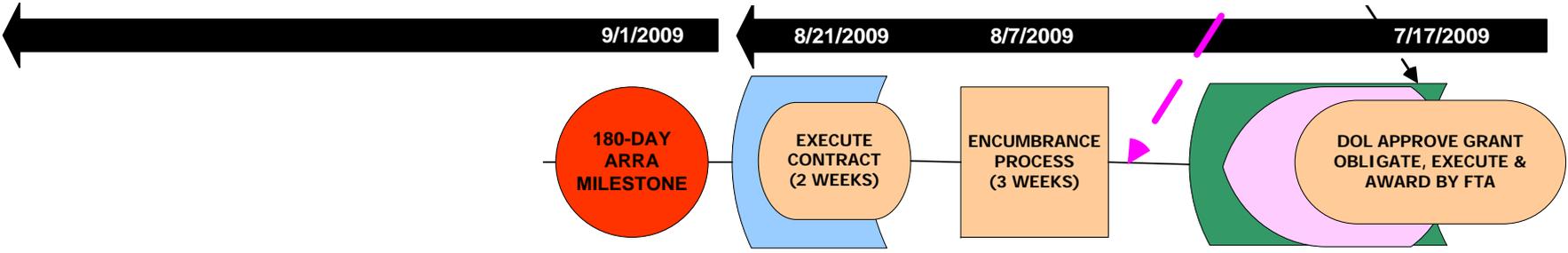


SECTION 5311 GRANT PROCESS

American Recovery and Reinvestment Act (ARRA) of 2009



September 1, 2009 is the DEADLINE to meet the 180-day timeframe.



- Caltrans - Headquarters
- Department of Labor (DOL)
- MPO / RTPA
- Federal Transit Administration (FTA)
- ARRA Legislative Requirement
- Subrecipient / Local Agency
- Federal Highway Administration (FHWA)



The 2009 Recovery Act Action & Communication Plan

- Establish Recovery Act Website

<http://www.dot.ca.gov/hq/MassTrans/ARRA-new.html>



- Teleconferences with Local Agencies

- Technical Assistance and Outreach

- CalACT Conference sessions
- Grant Workshops





The 2009 Recovery Act Summary of Projects

<u>Inventory</u> <u>Amount</u>	<u>Number</u>	<u>Grant</u>
Vehicles	131	\$16,710,579
Facilities	76	\$ 4,358,327
Equipment	403	\$ 4,179,286
ADA Paratransit Operating	8	\$ 366,716
Mobility Management	2	\$ 105,004
Safety & Security Improvements	112	\$ 529,374
Preventive Maintenance	30	\$ 6,015,722
Total Projects	762	\$32,265,008
Grant Administration	5%	\$ 1,698,158
Grand Total for Grant		\$33,963,166





The 2009 Recovery Act Section 1511 Certification

- As required by Section 1511, all Recovery Act Projects must be certified by the State.
- Caltrans Director has certified all non-urban projects and has forwarded to the FTA for posting to the Recovery Act website.





Caltrans District 1 Map



Inventory		Dollar Amount
Vehicle	18	\$1,737,956.00
Facility	5	\$56,795.00
Equipment	14	\$134,000.00
Paratransit Transit	0	\$0.00
Mobility Management*	0	\$0.00
Safety & Security**	0	\$0.00
Preventive Management***	5	\$924,116.00
total	42	\$2,852,867.00



Caltrans District 2 Map

Inventory		Dollar Amount
Vehicle	13	\$1,367,034.00
Facility	26	\$170,713.00
Equipment	32	\$629,969.00
Paratransit Transit	1	\$40,770.00
Mobility Management*	1	\$1,204.00
Safety & Security**	0	\$0.00
Preventive Management***	0	\$0.00
total	73	\$2,209,690.00





The 2009 Recovery Act

Criteria for Contingency

- 1) Meet funding gaps for replacement vehicles partially funded from regional apportionment
- 2) Provide full funding for replacement vehicles
- 3) Provide funding for facilities with funding gaps partially funded from regional apportionment or other funds
- 4) Provide full funding for facilities
- 5) Provide funding for equipment
- 6) Preventive Maintenance
- 7) Mobility Management
- 8) Provide funding for ADA Operating





The 2009 Recovery Act

Tier II - Contingency Funding

- \$14.2 Million for Contingency Projects was requested
- Balance from Regional Apportionment was \$80,000
- One project was funded, Plumas County – Vehicle purchase.
- While we are unable to fund all requests at this time, California expects more Recovery Act funding in the Fall when Congress re-appropriates unused funds back to the States.
- No new application(s) will be required





The 2009 Recovery Act

Standard Agreement Contents

- Pre-Award Authority Requirements and Conditions
- Certification and Assurances
- FTA Program Requirements
- Buy America Provisions





The 2009 Recovery Act

Standard Agreement Contents

- Reporting Requirements
- Separation of Recovery Act Project Records
- Registration Requirements
- Cost worksheets





The 2009 Recovery Act

Pre-Award Authority

- Purpose of Pre-Award Authority
- FTA requirements and conditions
- Pre-Award Authority approved by Caltrans





The 2009 Recovery Act

Pre-Award Authority

- Pre-award Authority is not a legal or implied commitment that the projects will be approved for funding.
- Agency assumes all risk and is responsible for ensuring that all FTA requirements are met.
- Local funds expended by the agency **after** the date of the pre-award authority will be eligible for reimbursement
- Local funds expended by the agency **before** the date of the pre-award authority will not be eligible for reimbursement



The 2009 Recovery Act Reimbursement

- Invoice
- Supporting Documentation
- Reimbursement requests and supported documents are to be sent to your Caltrans District Office.





The 2009 Recovery Act Monitoring Activities

- Who is responsible for oversight?
- Pertinent information
- The monitoring process is to prepare the agency for an audit on the project(s)
- The purpose of monitoring activities is to confirm project delivery.
- How is the equipment or property being maintained
- Onsite visits and project inspections





QUESTIONS?

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Bus Branch

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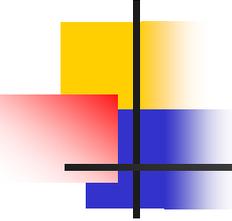




The 2009 Recovery Act Procurement Requirements

- **BASIC REQUIREMENT**
- **Full and Open Competition**



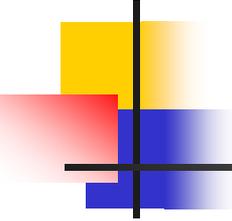
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Procurement Category

- ✓ Micro Purchase – Under \$2,500

- ✓ Small Purchase - More than \$2,500 but under \$100,000



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Methods of Procurement

- ✓ Invitation for Bid
- ✓ Request for Proposal
- ✓ Piggyback





Project Category

✓ Capital

Vehicles; Intermodal Facilities; Bus Shelter,
Bench, and Signage; Radio Communication;
Preventive Maintenance, AVL/MDT Systems,





State Vehicle Bus Contract

Lowest possible price

- ✓ Time savings
- ✓ Meets all State and Federal contracting requirements
- ✓ Several types of ADA compliant vehicles available ranging from 5-32 passenger capacity
- ✓ <http://www.dot.ca.gov/hq/MassTrans/5311-Procurement.html>

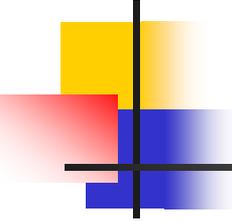




State Vehicle Contract Status

- ✓ Minivan - Hope
- ✓ Modified Van- History
- ✓ Type I, II, III - Coming Soon
- ✓ Type VII - Adios
- ✓ Type VIII - Long Gone
- ✓ CNG - Ford/Chevy Type II, III
- ✓ Hybrid - Ford Azure Type II
- Diesel - Chevy



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Local Procurement

- ✓ Timely use of funds
- ✓ Bid Document
- ✓ Price Analysis and Proposed Bid Award
- ✓ Pre-Award and Post-Delivery Audit
(Rolling Stock Only)
- ✓ Request for Reimbursement





State Procurement – Items Needed

- ✓ A signed copy of quote form or procurement order form
- ✓ A signed copy of floor plan
- ✓ Vehicle Inspection
- ✓ Vehicle Acceptance





Piggyback

- ✓ Request for Piggyback
- ✓ Letter from Primary Agency Allowing a Piggyback and Copy of the Specifications and Contract.
- ✓ Purchase Order
- ✓ Vehicle Acceptance and Request for Reimbursement





The 2009 Recovery Act

Logos





The 2009 Recovery Act

Contact Information

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