U.S. Department of Transportation Federal Highway Administration- California Division- Title 23 Damage Assessment Form (DAF)	DAF No. - NAP - 0 1 6 - 0 Sheet # 1 of 4 Federal Project # EO ER - ( ) Disaster No. CA 1 4 - 2 PR ER - ( )

Applicant CITY OF NAPA	County NAPA Congressional districts 01	Incident Date (mm/dd/yyyy) 08/24/2014 Inspection 09/12/2014
Location of Damage:	Per Site ✓ or ☐ Per Mile	Federal-aid Highway?
Name of Road/Bridge: LINCOLN AVE BRIDGE OVER NAPA RIVER.		Y for yes, if no, ineligible for ER funds Y
PM Begin: JUST W OF SR 121	PM Length: 256.00 (in feet)	Map No 5K13
PM End:		Functional Classification Type: MAJOR COLLECTOR
Road/Bridge Data: Bridge No 21C-0011	Type: RC GIRDER	Route # N/A
Traveled Way: Width 42'	Type: PCC ✓ AC ☐ Gravel ☐	Forest Hwy? Y/N N Interstate? Y/N N
Shoulder: Width 5' X 2	Type: PCC ✓ AC ☐ Gravel ☐	Existing ADT: 16,500
Description of Damage:	DAMAGE TO STEEL BEARINGS AT BOTH ABUTMENTS PER CT STRUCTURES MAINTENANCE INSPECTION	

COST ESTIMATE

	Type of Repair	Description of Work	Cost Summary	
Emergency Opening (EO)	EO- AGENCY FORCES CT Work Order #(s): EA(s):		PE	
			CE	
			Construction	
	EO- CONTRACT EO EA(s):		PE	
			CE	
			Construction	
	NOTE: Environmental documentation for EO is required. It is generally started after work has begun.		R/W	
		Subtotal Emergency Opening		$0
Permanent Restoration (PR)	PR- CONSTRUCTION FA requires an approved PIF ✓ Contract ☐ FA PR EAs	Contract Work- Replacement of steel bearings with elastomeric bearings. Construction includes contingency.	PE	20,000
			CE	18,750
			Construction	137,500
	NOTE:PRIOR AUTHORIZATION (APPROVED E-76) IS REQUIRED TO PROCEED WITH PERMANENT RESTORATION R/W & CONSTRUCTION		R/W	5,000
	NOTE: Environmental clearance for permanent restoration is conducted through normal Federal-aid procedures	**Subtotal Permanent Restoration**		$181,250

Eligible		Signature	Date		
✓ Yes	☐ No	Local Agency (if applicable)	09/26/14	PE Total	$20,000
✓ Yes	☐ No	Caltrans:	12-1-14	CE Total	$18,750
☐ Yes	☐ No	FHWA		R/W Total	$5,000
				Construction Total	$137,500
			TOTAL ESTIMATE		**$181,250**

Agency sig. Name (print): MARK P. ANDRILLA
CT signature Name (print):
FHWA Sig. Name (print):
DAF Prepared by (print): MARK P. ANDRILLA

Original: Caltrans District **Copies**: FHWA, Division of Local Assistance(local roads), Federal Resources (state hwy), HQ Major Damage Engineer (state hwy)
*Write "N/A" in FHWA signature block if the project has no Federal ER funding or Federal ER funding delegated to the State
FHWA Signature: REQUIRED for all Federal Funded State projects REQUIRED for any Local Agency projects with 1) any BETTERMENT, 2) more than 2 ROW takes or 3) when paving is more than 50% of the Total Estimated Cost. **Reminder: This DAF must be accompanied by photos of the damage.**

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Quantity*	Unit*	Labor, Materials, and Equipment	Unit Price	Cost
1	LS	MOBILIZATION	5,000.00	5,000.00
1	LS	TRAFFIC CONTROL	3,000.00	3,000.00
1	LS	CONTROL OF MATERIALS	2,500.00	2,500.00
1	LS	CONTROL OF WORK	2,500.00	2,500.00
1	LS	REMOVE AND REPLACE BEARINGS	112,000.00	112,000.00
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
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				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
			Total	125,000.00

*Lump Sum will generally only be accepted for non biddable items, such as Mobilization.

Justifications/comments: Non-typical Scope, PE/CE Cost, Engineering estimates etc.

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Photos, Sketches and/or Narrative

Photo description: REPLACE FAILED STEEL BEARINGS WITH ELASTOMERIC BEARING PADS. SEE ATTACHED PHOTO.

NAPA RIVER

113 - PHOTO-Sub-Damage/Deterioration

Photo No. 1

Broken keeper plate on Bearing 2 at Abutment 1.

113 - PHOTO-Sub-Damage/Deterioration

Photo No. 2

Broken keeper plates on Bearing 6 at Abutment 1.

EXHIBIT 3-O SAMPLE LOCAL FEDERAL-AID PROJECT FINANCE LETTER

DEPARTMENT OF TRANSPORTATION
DIVISION OF ACCOUNTING
LOCAL PROGRAM ACCOUNTING BRANCH

ATTN: LOCAL PROGRAM ACCOUNTING

Date: 9/26/2014
Agency: CITY OF NAPA
Fed Project No.:
Project ID.: XXX-NAP-016-0
PPNO.:
Bridge No : 21C-0011

Work on State Highway (Y or N): N If yes, provide following:
Administered by State or Local? _____
Project Manager Name: _____
Accounting Program Code(s): _____
Coop or Contribution Agrmnt No.: _____

		"P" or "L"*	TOTAL COST OF WORK	FEDERAL PARTICIPAT. COST	FEDERAL FUND TYPE (1)	FEDERAL FUND TYPE (2)	STATE MATCH FUNDS	LOCAL MATCH FUNDS	OTHER FUNDS
PRELIMINARY ENGINEERING									
Agency Preliminary Engineering		P	$20,000	$20,000	$17,706			$2,294	
State Furnished Preliminary Engineering									
Overhead at _____ %									
RIGHT OF WAY (R/W)									
Purchase Costs		P	$5,000	$5,000	$4,427			$573	
Relocation Assistance /Utility									
CONSTRUCTION									
Contract Items	$125,000								
Utilities									
Supplemental Work									
Contingencies	$12,500								
Trainees									
Agency/State Furn. Mat.									
Contract Total:	$137,500	P	$137,500	$137,500	$121,729			$15,771	
CONSTRUCTION ENGINEERING									
Agency Construction Engineering			$18,750	$18,750	$16,600			$2,150	
State Furnished Construction Engineering									
Overhead at _____ %									
State Furnished Materials Testing									
Overhead at _____ %, Subjob _____									
Striping by Agency									
Force Account Work by Agency									
TOTALS:		P	$181,250	$181,250	$160,462			$20,788	

* "P" = Pro Rata, "L" = Lump Sum

Federal Participation: _____
Federal Appn. Code(s): _____
Federal Reimbursement Rate(s) for Progress Invoice:

PHASE	FED (1)	FED (2)
PE	88.53	
R/W	88.53	
CON	88.53	
CE	88.53	

Distribution: (1) Original + 4 copies-Caltrans DLAE
(2) Copy-Local Agency Project File

Certification

I certify that this Finance Letter accurately reflects the current cost estimate for all phases of the project obligated but not fully expended.

Signature : [signature]
Title : SENIOR CIVIL ENGINEER
Project location : LINCOLN AVE JUST W OF SR 121
Remarks :

For questions regarding finance letter, contact:
Printed Name: MARK P. ANDRILLA
Telephone No.: 707 257 9423