

FFY 2008 - 2009
Local Assistance Delivery
Formula OA Funds
Based on CBARS/FMIS Transactions as of September 30, 2009

Region	ACTUAL Base FFY 2009 OA ¹	Adjustment to FFY 2009 OA for FFY 2008 Over - Under Delivery ²	Contribution for NEPA FY 2008/09	NEPA Funding Offset	FFY 2009 Actual OA ³	FFY 2009 Obligations as of 9/30/09	Percent Delivered	FFY 2009 August Redistribution (\$37,371,111)	Balance of FFY 2009 OA ⁴
Butte	4,554,769	2,739,111			7,293,881	5,094,947	69.9%	-	2,198,934
Fresno	19,378,786	(1,886,989)	(18,376)		17,473,421	23,612,858	135.1%	968,046	(5,171,391)
Kern	14,905,173	(4,255,046)	(15,266)		10,634,862	16,888,486	158.8%	986,051	(5,267,573)
Kings	2,971,191	828,298			3,799,489	2,677,410	70.5%	-	1,122,079
Los Angeles	234,321,344	(14,464,436)	(227,743)	1,000,000	220,629,165	238,339,904	108.0%	2,792,572	(14,918,167)
Madera	2,888,018	392,032			3,280,050	3,379,827	103.0%	15,733	(84,045)
Merced	4,916,167	681,096			5,597,264	4,774,673	85.3%	-	822,591
Monterey	4,803,485	(2,475,959)			2,327,526	4,969,841	213.5%	416,632	(2,225,684)
Orange	70,265,895	19,774,865	(68,238)		89,972,522	29,070,394	32.3%	-	60,902,128
Riverside ⁵	42,353,687	(1,723,170)	(39,081)		40,591,436	33,680,984	83.0%	-	6,910,452
Sacramento (SACOG)	43,858,238	(17,423,079)	(41,308)		26,393,851	58,903,237	223.2%	5,125,974	(27,383,412)
San Benito	636,468	134,221			770,689	621,507	80.6%	-	149,182
San Bernardino ⁵	44,458,396	18,702,707	(41,847)		63,119,256	98,525,403	156.1%	5,582,726	(29,823,421)
San Diego	60,478,738	(19,353,888)	(58,763)		41,066,087	50,827,103	123.8%	1,539,085	(8,221,931)
S.F. Bay Area (MTC)	144,553,361	(46,878,465)	(139,797)		97,535,099	190,503,682	195.3%	14,658,984	(78,309,599)
San Joaquin	14,189,003	(3,965,149)	(13,292)		10,210,562	17,350,946	169.9%	1,125,873	(6,014,512)
San Luis Obispo	2,949,329	(134,760)			2,814,569	2,949,329	104.8%	21,248	(113,511)
Santa Barbara	4,774,612	(38,361)			4,736,251	7,753,661	163.7%	475,775	(2,541,634)
Santa Cruz	3,055,990	(64,344)			2,991,646	3,642,627	121.8%	102,645	(548,337)
Stanislaus	11,000,841	(1,738,604)	(10,465)		9,251,772	9,103,942	98.4%	-	147,830
Tahoe	1,027,850	1,764,828			2,792,678	897,680	32.1%	-	1,894,998
Tulare	8,477,733	(3,776,290)			4,701,443	8,152,017	173.4%	544,075	(2,906,499)
Ventura	15,634,943	(863,135)	(14,974)		14,756,834	8,648,242	58.6%	-	6,108,592
Amador ^{6,8}	737,823	196,683			817,908 ⁸	596,657	72.9%	-	221,251
Calaveras ^{6,8}	872,503	336,865			827,804 ⁸	533,422	64.4%	-	294,382
Imperial ⁶	2,874,962	(189,329)	(1,923)		2,683,710	3,955,433	147.4%	200,521	(1,071,202)
Mariposa ⁶	354,242	423,405			777,647	364,308	46.8%	-	413,339
Nevada ⁶	1,914,098	733,960			2,648,058	2,572,133	97.1%	-	75,925
Tuolumne ^{6,8}	1,142,704	661,738			2,302,604 ⁸	2,269,895	98.6%	-	32,710
Rural Counties ⁶	11,222,285	-	-	-	11,222,285	11,222,285	100.0%	-	-
TOTAL LOCAL AGENCIES	775,572,635	(71,861,195)	(691,073)	1,000,000	704,020,367	841,882,831	119.6%	34,555,941	(103,306,524)
HBP	256,007,155	(25,840,262)	(308,927)		229,857,966	247,712,027 ⁹	107.8%	2,815,170	(15,038,890)
Safety	42,649,262	64,202,438			106,851,700	16,645,381	15.6%	-	90,206,319
SRTS	21,039,493	29,543,072			50,582,566	18,548,825	36.7%	-	32,033,741
TOTAL	1,095,268,545¹	(3,955,946)⁷	(1,000,000)	1,000,000	1,091,312,598	1,124,789,064¹⁰	103.1%	37,371,111	3,894,645
FFY 2008 Formula OA Obligations YTD (9/30/08) (Total)		<i>Prior year data here for informational purposes</i>			1,133,861,837	1,180,167,862	104.1%	42,350,079	(3,955,946)

**FFY 2008 - 2009
Local Assistance Delivery
Formula OA Funds
Based on CBARS/FMIS Transactions as of September 30, 2009**

Assumptions:

- < Obligations are based on CBARS/FMIS transactions and adjustments per the Department's Federal Resources Office (FRO).
- < Obligations include obligations, deobligations, exchanges, FTAs.

Footnotes:

- ¹ **As of the September 30, 2009 Delivery Report, the Locals' FFY 2009 OA is based the Actual FFY OA per Caltrans Federal Resource Office.**
- ² Balance of FFY 2008 OA -- This is the amount a Region must pay back if over delivered or the amount a Region will receive to "make whole" if under delivered. Amounts shown in parenthesis are amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs. Why? When DLA, as a whole, under delivers the OA in an FFY and this under delivered OA is "lost" to the State because of DLA under delivery, this "lost" OA may not be repaid to DLA based on the DLA OA Management Policy.
- ³ This is FFY 2009 OA with the adjustments for FFY 2008 OA Over or Under Delivery and National Environmental Policy Act (NEPA) delegation for FFY 2009.
- ⁴ Balance of FFY 2009 OA per date of report -- This is the amount a Region must pay back if over delivered or the amount a Region will receive to "make whole" if under delivered based on the DLA OA Management Policy. Amounts shown in parenthesis are amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery, as a whole, then under delivered OA may not be available to repay Regions and/or Programs.
- ⁵ Per August 1, 2006 MOU from Riverside County Transportation Commission (RCTC) and the San Bernardino Associated Governments (SANBAG), the FFY 2006 OA for these two regions was adjusted in September 2006 to reflect a decrease of OA of \$16,690,000. The Loan decreased RCTC's FFY 2006 OA and increased SANBAG's FFY 2006 OA. In those Regions the payback of the OA to RCTC from SANBAG is anticipated in FFY 2009 or FFY 2010.
- ⁶ The Rural Counties' subtotal above excludes those rural counties receiving CMAQ beginning in FFY 2006.
- ⁷ Based on the FFY 2008 September 30, 2008 year-end reconciliation with FRO, this amount is an adjustment to OA that will be made in FFY 2009. This is a payback of OA's Over Delivery of OA to Capital Construction Funds - SBA's share of FFY 2009 OA to TCTC for FFY 2008-09; and \$116,598 of OA from ACTC to TCTC for FFY 2008-09; and amount of \$24.3M of Loans from the Locals to the State in accordance with Prop. 1B.
- ⁸ Includes amount of \$24.3M of Loans from the Locals to the State in accordance with Prop. 1B.
- ¹⁰ As of March 31, 2009 Delivery Report, includes FFY 2009 RSTP Exchange.