



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

**NOTICE TO BIDDERS**  
**AND**  
**SPECIAL PROVISIONS**

**FOR BUILDING CONSTRUCTION IN IMPERIAL COUNTY IN EL CENTRO AT THE  
EL CENTRO MAINTENANCE STATION AT 1102 MONTENEGRO WAY**

**In District 11 On Route 5708**

**Under**

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**Bid book dated September 28, 2015**

**Standard Specifications dated 2006**

**Project Plans approved July 6, 2015**

**Standard Plans dated 2006**

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**Identified by**

**Contract No. 11-076714**

**11-Imp-5708**

**Project ID 1115000108**



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# SPECIAL NOTICES

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- Refer to Section 8-1.07, "Liquidated Damages," of the Amendments to the Standard Specifications for your project-specific liquidated damages based on your total bid.
- The Department has changed its DVBE requirements. Refer to section titled "Disabled Veteran Business Enterprises" in Section 2, "Bidding," of these special provisions.
- The Department is providing an electronic Information Handout for this project. Refer to Section 2-1.03B, "Supplemental Project Information," in the Amendments to the Standard Specifications for the location of this information.
- The Department is allowing contractors to submit electronic payroll records to the District Labor Compliance Office. Refer to section titled "Electronic Submission of Payroll Records" under Section 5, "General," of these special provisions.
- This is an electronic bidding project.

The special provisions contained herein have been prepared by or under the direction of the following Licensed or Registered Persons.

**ARCHITECT**

*Anthony V. Manansala* *7/1/2015*

LICENSED ARCHITECT

DATE



**STRUCTURES**

*Brian T. Sutliff* *7/1/15*

REGISTERED CIVIL ENGINEER

DATE



**MECHANICAL**

*Shahjahan Ali* *7/1/15*

REGISTERED MECHANICAL ENGINEER DATE



**ELECTRICAL**

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REGISTERED ELECTRICAL ENGINEER

DATE

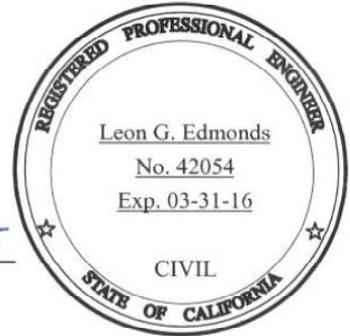


**CONTRACT NO. 11-076714**

The special provisions contained herein have been prepared by or under the direction of the following Registered Persons.

**HIGHWAY**

Leon G. Edmonds 07/06/15  
REGISTERED CIVIL ENGINEER      DATE





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## **STANDARD PLANS LIST**

The Standard Plan sheets applicable to this contract include, but are not limited to those indicated below. Applicable Revised Standard Plans (RSPs) and New Standard Plans (NSPs) indicated below are included in the project plans as Standard Plan sheets.

A10A	Acronyms and Abbreviations (Sheet 1 of 2)
A10B	Acronyms and Abbreviations (Sheet 2 of 2)
A10C	Symbols (Sheet 1 of 2)
A10D	Symbols (Sheet 2 of 2)
T59	Temporary Water Pollution Control Details (Temporary Concrete Washout Facility)
NSP ES-8A	Electrical Systems (Pull Box)
NSP ES-8B	Electrical Systems (Traffic Rated Pull Box)
ES-13A	Electrical Systems (Splicing Details)

## **CANCELED STANDARD PLANS LIST**

The Standard Plan sheets listed below are canceled and not applicable to this contract.

NSP P31	Canceled on June 5, 2009
D97B	Canceled on June 6, 2008
NSP H54	Canceled on July 31, 2009
ES-8	Canceled on January 20, 2012
ES-10	Canceled on July 20, 2012

# NOTICE TO BIDDERS

Bids open Thursday, November 5, 2015

Dated September 28, 2015

General work description: Construct office and equipment building.

The Department will receive sealed bids for BUILDING CONSTRUCTION IN IMPERIAL COUNTY IN EL CENTRO AT THE EL CENTRO MAINTENANCE STATION AT 1102 MONTENEGRO WAY.

District-County-Route-Post Mile: 11-Imp-5708

Contract No. 11-076714

The Contractor must have either a Class B license or a combination of Class C licenses which constitutes a majority of work.

The DVBE Contract goal is 3 percent.

Bids must be on a unit price basis.

Complete the work within 230 working days.

The estimated cost of the project is \$2,860,000.

No prebid meeting is scheduled for this project.

The Department will receive bids until 2:00 p.m. on the bid open date via Bid Express web site. Bids received after this time will not be accepted. For more information refer to the Electronic Bidding Guide at the Bidders' Exchange web site.

The Department will open and publicly read the bids at 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816 immediately after the specified closing time.

District office addresses are provided in the Standard Specifications.

Present bidders' inquiries to the Department and view the Department's responses at:

[http://www.dot.ca.gov/hq/esc/oe/project\\_status/bid\\_inq.html](http://www.dot.ca.gov/hq/esc/oe/project_status/bid_inq.html)

Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, such questions will not be treated as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

Under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq., the Department gives preference to certified small businesses and non-small businesses who commit to 25 percent certified small business participation.

Under Pub Cont Code § 6107, the Department gives a reciprocal preference to a California company for bid comparison purposes over a nonresident contractor from any state that provides a preference to contractors from that state on construction contracts.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, <http://www.dir.ca.gov>, or from the Department's Labor Compliance Office of the district in which the work is located.

Contract No. 11-076714

The Department has made available Notices of Suspension and Proposed Debarment from the Federal Highway Administration. For a copy of the notices go to [http://www.dot.ca.gov/hq/esc/oe/contractor\\_info](http://www.dot.ca.gov/hq/esc/oe/contractor_info). Additional information is listed in the Excluded Parties List System at <https://www.epls.gov>.

DEPARTMENT OF TRANSPORTATION

D11CRB/KLD

**BID ITEM LIST**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
1	030037	CONSTRUCTION SITE MANAGEMENT	LS	LUMP SUM
2	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM
3	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM
4	994650	BUILDING WORK	LS	LUMP SUM

# **SPECIAL PROVISIONS**

## **SECTION 1 (BLANK)**

## **SECTION 2 BIDDING**

### **2-1.01 BID DOCUMENTS**

The Bid book includes bid forms and certifications.

The Notice to Bidders and Special Provisions includes the Notice to Bidders, Amendments to the Standard Specifications and special provisions.

The Bid book, including Bid book forms, Notice to Bidders and Special Provisions, project plans, and any addenda to these documents may be accessed at the Bidders' Exchange website.

#### **Supplemental Project Information**

You may view the Information Handout at the Contract Plans and Special Provisions link at the Bidders' Exchange website.

### **2-1.02 CONTRACTOR REGISTRATION**

No contractor or subcontractor may be listed on a bid proposal for a public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)].

### **2-1.03 BID DOCUMENT COMPLETION**

Use the forms provided by the Department except as otherwise specified for a bidder's bond.

Do not fax forms except for the copies of forms with the public works contractor registration number submitted after the time of bid. Fax these copies to (916) 227-6282.

Submit the forms and copies of the forms to the Office Engineer.

Failure to submit the forms and information as specified may result in a nonresponsive bid.

#### **Electronic Bids**

For an electronic bid, complete the electronic portion of the Bid book under the Electronic Bidding Guide at the Bidders' Exchange website.

Your authorized digital signature is your confirmation of and agreement to all certifications and statements contained in the Bid book.

On forms and certifications that you submit through the electronic bidding service, you agree that each form and certification where a signature is required is deemed as having your signature.

#### **Subcontractor List**

The Subcontractor List must show the business name, business address, California contractor license number for a non-federal-aid contract, public works contractor registration number, and work portions to be performed by each subcontractor listed. Show work portion by bid item number, percentage of each bid item, description of the subcontracted work if the percentage of the bid item listed is less than 100 percent.

### **2-1.04 BIDDERS SECURITY**

You may submit as a form of bidder's security an electronic bidder's bond by an admitted surety insurer submitted using an electronic registry service approved by the Department.

Submit electronic bidder's bond with the electronic bid.

### **2-1.05 BID SUBMITTAL**

The Bid book includes forms specific to the contract.

For an electronic bid submit the electronic portion of the Bid book under the Electronic Bidding Guide at the Bidders' Exchange website.

#### **Bid Submittal Schedule**

Bid forms and information on the form that are due after the time of bid may be submitted at the time of bid.

Submit the bid forms according to the schedule shown in the following table:

**Bid Form Submittal Schedule for a  
Non-Federal-Aid Contract with a DVBE Goal**

Form	Submittal deadline
Bid to the Department of Transportation	Time of bid except for the public works contractor registration number for a joint-venture contract
For a joint-venture contract, copy of the Bid to the Department of Transportation as submitted at the time of bid with the public works contractor registration number	10 days after bid opening
Subcontractor List	Time of bid
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid
Certified DVBE Summary	No later than 4 p.m. on the 4th business day after bid opening
California Company Preference	Time of bid
Request for Small Business Preference or Non-Small Business Preference <sup>a</sup>	Time of bid
Certified Small Business Listing for the Non-Small Business Preference <sup>a</sup>	No later than 4 p.m. on the 2nd business day after bid opening

<sup>a</sup>Submit only if you choose the option or preference.

**2-1.06 BID WITHDRAWAL**

For an electronic bid:

1. Bids are not filed with the Department until the date and time of bid opening.
2. A bidder may withdraw or revise a bid after it has been submitted to the electronic bidding service if this is done before the bid opening date and time.

**2-1.07 SMALL BUSINESS AND NON-SMALL BUSINESS SUBCONTRACTOR PREFERENCES**

**General**

The Department applies Small Business Preference or Non-Small Business Preference under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq.

Contractors, subcontractors, suppliers, and service providers who qualify as small businesses are encouraged to apply for certification as a small business by submitting their application to the Department of General Services, Office of Small Business and DVBE Services.

Contract award is based on the total bid, not the reduced bid.

**Small Business Preference**

The Department allows a bidder certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. The bidder submitted a completed Request for Small Business Preference or Non-Small Business Preference form with its bid
2. The low bidder did not request the preference or is not certified as a small business

The bidder's signature on the Request for Small Business Preference or Non-Small Business Preference form certifies that the bidder is certified as a small business at the time and day of bid or has submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department at the time of bid.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The small business preference is a reduction for bid comparison in the total bid submitted by the small business contractor by the lesser of:

1. 5 percent of the verified total bid of the low bidder
2. \$50,000

If after the application of the small business preference the Department determines that a certified small business bidder is the low bidder, the Department does not consider a request for non-small business preference.

**Non-Small Business Subcontractor Preference**

The Department allows a bidder not certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. The bidder submitted a completed Request for Small Business Preference or Non-Small Business Preference form with its bid.
2. The Certified Small Business Listing for the Non-Small Business Preference form shows that you are subcontracting at least 25 percent to certified small businesses. You may submit this information with your bid..

Each listed subcontractor and supplier must be certified as a small business at the time and day of bid or must have submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The non-small business subcontractor preference is a reduction for bid comparison in the total bid submitted by the non-small business contractor requesting the preference by the lesser of:

1. 5 percent of the verified total bid of the low bidder
2. \$50,000

**2-1.08 DISABLED VETERAN BUSINESS ENTERPRISES**

Take necessary and reasonable steps to ensure that DVBEs have opportunity to participate in the contract. Comply with Mil & Vet Code § 999 et seq.

Make work available to DVBEs and select work parts consistent with available DVBE subcontractors and suppliers.

Meet the goal shown in the Notice to Bidders.

List each 1st tier DVBE subcontractor on the Subcontractor List form regardless of percentage of the total bid.

Use each DVBE as shown on the Certified DVBE Summary form unless you receive authorization from the Department for a substitution. The substitute must be another DVBE unless DVBEs are not available, in which case, you must substitute with a small business. Any authorization for a substitute is contingent upon the Department of General Services' approval of the substitute.

The requirement that DVBEs be certified by the bid opening date does not apply to DVBE substitutions after Contract award.

The Department authorizes substitutions for any of the reasons provided in 2 CA Code of Regs § 1896.73.

Include in your substitution request:

1. Copy of the written notice issued to the DVBE with proof of delivery
2. Copy of the DVBE's response to the notice
3. Name and certification number of the listed DVBE and the proposed substitute

Requests for substitutions of a listed DVBE with a small business must include documentation of the unavailability of DVBEs, including:

1. Contact with the small business/DVBE advocate from the Department and the Department of Veterans Affairs
2. Search results from the Department of General Services' website of available DVBEs
3. Communication with a DVBE community organization nearest the job site, if applicable
4. Documented communication with the DVBE and small businesses describing the work to be performed, the percentage of the total bid, the corresponding dollar amount, and the responses to the communication

The Department forwards your substitution request to the Department of General Services. The Department of General Services issues a notice of approval or denial. The Department provides you this notice.

If you fail to use a listed DVBE without an authorized substitution request, the Department issues a penalty of up to 10 percent of the dollar amount of the work of the listed DVBE.

Maintain records of subcontracts made with DVBEs. Include in the records:

1. Name and business address of each business
2. Total amount paid to each business

For the purpose of determining compliance with Pub Cont Code § 10115 et seq.:

1. Upon work completion, complete and submit Final Report - Utilization of Disabled Veteran Business Enterprises (DVBE) State Funded Projects Only form.
2. Upon reasonable notice and during normal business hours, permit access to its premises for the purposes of:
  - 2.1. Interviewing employees.
  - 2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation.

### **2-1.09 CALIFORNIA COMPANIES**

Under Pub Cont Code § 6107, the Department gives preference to a "California company," as defined, for bid comparison purposes over a nonresident contractor from any state that gives or requires a preference to be given to contractors from that state on its public entity construction contracts.

Complete a California Company Preference form.

The California company reciprocal preference amount is equal to the preference amount applied by the state of the nonresident contractor with the lowest responsive bid unless the California company is eligible for a small business preference or a non-small business subcontractor preference; in which case the preference amount is the greater of the two, but not both.

If the low bidder is not a California company and a California company's bid with reciprocal preference is equal to or less than the lowest bid, the Department awards the contract to the California company on the basis of its total bid.

### **2-1.10 TIE BID RESOLUTION**

If a small business bidder and a non-small business bidder request preferences and the reductions result in a tied bid, the Department awards the contract to the small business bidder.

If a DVBE small business bidder and a non-DVBE small business bidder request preferences and the reduction results in a tied bid, the Department awards the contract to the DVBE small business bidder.

After bid verification, if there is a tie between 2 or more bidders, the Department breaks the tie by tossing a coin.

### **2-1.11 OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

You may opt out of the payment adjustments for price index fluctuations as specified in "Payment Adjustments for Price Index Fluctuations" of these special provisions. If you elect to opt out of the provisions of this specification, you must complete the "Opt Out of Payment Adjustments for Price Index Fluctuations" form. The completed form must be submitted with your bid.

## **SECTION 3 CONTRACT AWARD AND EXECUTION**

### **3-1.01 CONTRACTOR REGISTRATION**

No contractor or subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.

### **3-1.02 SMALL BUSINESS PARTICIPATION REPORT**

The Department has established an overall 25 percent small business participation goal. To determine if the goal is achieved, the Department is tracking small business participation on all contracts.

Complete and sign the Small Business (SB) Participation Report form included in the contract documents even if no small business participation is reported. Submit it with the executed contract.

**SECTION 4. BEGINNING OF WORK, TIME OF COMPLETION, AND LIQUIDATED DAMAGES**

The 1st working day is the earlier of (1) the 55th day after contract approval or (2) the day you start work other than the measurement of controlling field dimensions or the location of utilities.

Do not start work at the job site until the Engineer approves your submittal for:

1. Water Pollution Control Program (WPCP)

You may enter the job site only to measure controlling field dimensions and locating utilities. Do not start other work activities until all the submittals from the above list are approved and the following information is submitted:

1. Notice of Materials To Be Used.

You may start work at the job site before the 55th day after contract approval if:

1. You obtain required approval for each submittal before the 55th day
2. The Engineer authorizes it in writing

The Department grants a time extension if a delay is beyond your control and prevents you from starting work at the job site on the 1st working day.

Complete the work within 230 working days.

It is anticipated that water will be available in sufficient quantities for the prosecution of the work. However, water shortages may occur during the life of the contract. Arrangements or commitments obtained by the Department are not a part of the contract. It is expressly understood and agreed that the Department assumes no responsibility to the bidder or Contractor whatsoever in respect to the arrangements made with the source. The Contractor shall assume all risks in connection with the use of the source and the terms upon which the use shall be made. There is no warranty or guaranty, either expressed or implied, to the quantity of water that can be obtained from the source. If the Department has compiled an "Information Handout", as referred to in "Watering" of these special provisions, the bidder or Contractor is cautioned to make independent investigations and obtain the commitments or allocations as the bidder or Contractor deems necessary to verify the quantity of water available. The Contractor shall make arrangements or obtain commitments or allocations necessary to provide water for the project.

During the progress of the work, if water becomes unavailable or unavailable in the quantities needed for prosecution of the work, the unavailability of water will be considered a material shortage. The provisions in Section 5-1.116, "Differing Site Conditions (23 CFR 635.109)," of the Standard Specifications shall not apply to the unavailability of water.

## SECTION 5 GENERAL

### 5-1.01 WEB SITES, ADDRESSES, AND TELEPHONE NUMBERS

<b>Web Sites, Addresses, and Telephone Numbers</b>			
Agency, Department Unit, or Reference	Web Site	Address	Telephone No.
Department of General Services, Office of Small Business and DVBE Services	<a href="http://www.dgs.ca.gov/dgs/ProgramsServices/BusServices.aspx">http://www.dgs.ca.gov/dgs/ProgramsServices/BusServices.aspx</a>	OFFICE OF SMALL BUSINESS AND DVBE SERVICES DEPARTMENT OF GENERAL SERVICES 707 3RD ST WEST SACRAMENTO CA 95605-2811	(800) 559-5529 (916) 375-4940
Department of Industrial Relations	<a href="http://www.dir.ca.gov">www.dir.ca.gov</a>	455 GOLDEN GATE AVENUE SAN FRANCISCO CA 94102	
Office Engineer		MSC 43 OFFICE ENGINEER DEPARTMENT OF TRANSPORTATION 1727 30TH ST SACRAMENTO CA 95816-7005	(916) 227-6299
Geotechnical Services	<a href="http://www.dot.ca.gov/hq/esc/geotech">http://www.dot.ca.gov/hq/esc/geotech</a>	GEOTECHNICAL SERVICES DEPARTMENT OF TRANSPORTATION 5900 FOLSOM BLVD SACRAMENTO CA 95819-4612	(916) 227-7000
METS	<a href="http://www.dot.ca.gov/hq/esc/Translab/">http://www.dot.ca.gov/hq/esc/Translab/</a>	MATERIALS ENGINEERING AND TESTING SERVICES DEPARTMENT OF TRANSPORTATION 5900 FOLSOM BLVD SACRAMENTO CA 95819-4612	(916) 227-7000

The following no longer applies:

<b>Web Sites, Addresses, and Telephone Numbers</b>			
Agency, Department Unit, or Reference	Web Site	Address	Telephone No.
Office Engineer–All Projects Currently Advertised	<a href="http://www.dot.ca.gov/hq/esc/oe/weekly_ads/all_advertised.php">http://www.dot.ca.gov/hq/esc/oe/weekly_ads/all_advertised.php</a>		

### 5-1.02 EMISSIONS REDUCTION

Contract execution constitutes submittal of the following certification:

I am aware of the emissions reduction regulations being mandated by the California Air Resources Board. I will comply with such regulations before commencing the performance of the work and maintain compliance throughout the duration of this contract.

### 5-1.03 NON–SMALL BUSINESSES

Use each subcontractor as shown on the Certified Small Business Listing for the Non–Small Business Preference form unless you receive authorization for a substitution.

The requirement that small businesses be certified by the bid opening date does not apply to small business substitutions after contract award.

Maintain records of subcontracts made with certified small business subcontractors and records of materials purchased from certified small business suppliers. Include in the records:

1. Name and business address of each business
2. Total amount paid to each business

For the purpose of determining compliance with 2 CA Code of Regs § 1896 et seq.:

1. Provide the Department relevant information requested.
2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:
  - 2.1. Interviewing employees
  - 2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

#### **5-1.04 DISABLED VETERAN BUSINESS ENTERPRISES**

Use each DVBE as shown on the Certified DVBE Summary form unless you receive authorization for a substitution.

The requirement that DVBEs be certified by the bid opening date does not apply to DVBE substitutions after contract award.

Maintain records of subcontracts made with certified DVBEs. Include in the records:

1. Name and business address of each business
2. Total amount paid to each business

For the purpose of determining compliance with Pub Cont Code § 10115 et seq.:

1. Upon contract completion, complete and submit Final Report - Utilization of Disabled Veteran Business Enterprises (DVBE) State Funded Projects Only form
2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:
  - 2.1. Interviewing employees
  - 2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

#### **5-1.05 BUY AMERICA**

The specifications in Section 6-1.085, "Buy America (23 CFR 635.410)," of the Amendments to the Standard Specifications for furnishing steel and iron materials to be incorporated into the work apply to this contract.

#### **5-1.06 PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

##### **GENERAL**

##### **Summary**

This section applies to asphalt contained in materials for pavement structural sections and pavement surface treatments such as hot mix asphalt (HMA), tack coat, asphaltic emulsions, bituminous seals, asphalt binders, and modified asphalt binders placed in the work. This section does not apply if you opted out of payment adjustment for price index fluctuations at the time of bid.

The Engineer adjusts payment if the California Statewide Crude Oil Price Index for the month the material is placed is more than 5 percent higher or lower than the price index at the time of bid.

The California Statewide Crude Oil Price Index is determined each month on or about the 1st business day of the month by the Department using the average of the posted prices in effect for the previous month as posted by Chevron, ExxonMobil, and ConocoPhillips for the Buena Vista, Huntington Beach, and Midway Sunset fields.

If a company discontinues posting its prices for a field, the Department determines the index from the remaining posted prices. The Department may include additional fields to determine the index.

For the California Statewide Crude Oil Price Index, go to:

<http://www.dot.ca.gov/hq/construc/crudeoilindex/>

If the adjustment is a decrease in payment, the Department deducts the amount from the monthly progress payment.

The Department includes payment adjustments for price index fluctuations when making adjustments under Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications.

If you do not complete the work within the contract time, payment adjustments during the overrun period are determined using the California Statewide Crude Oil Price Index in effect for the month in which the overrun period began.

If the price index at the time of placement increases:

1. 50 percent or more over the price index at bid opening, notify the Engineer.
2. 100 percent or more over the price index at bid opening, do not furnish material containing asphalt until the Engineer authorizes you to proceed with that work. The Department may decrease Bid item quantities, eliminate Bid items, or terminate the contract.

### **Submittals**

Before placing material containing asphalt, submit the current sales and use tax rate in effect in the tax jurisdiction where the material is to be placed.

Submit certified weight slips for HMA, tack coat, asphaltic emulsions, and modified asphalt binders, including those materials not paid for by weight, as specified in Section 9-1.01, "Measurement of Quantities," of the Standard Specifications. For slurry seals, submit certified weight slips separately for the asphaltic emulsion.

## **ASPHALT QUANTITIES**

### **General**

Interpret the term "ton" as "tonne" for projects using metric units.

### **Hot Mix Asphalt**

The Engineer calculates the quantity of asphalt in HMA using the following formula:

$$Q_h = \text{HMATT} \times [X_a / (100 + X_a)]$$

where:

$Q_h$  = quantity in tons of asphalt used in HMA  
 $\text{HMATT}$  = HMA total tons placed  
 $X_a$  = theoretical asphalt content from job mix formula expressed as percentage of the weight of dry aggregate

### **Rubberized Hot Mix Asphalt**

The Engineer calculates the quantity of asphalt in rubberized HMA (RHMA) using the following formula:

$$Q_{rh} = \text{RHMATT} \times 0.80 \times [X_{arb} / (100 + X_{arb})]$$

where:

$Q_{rh}$  = quantity in tons of asphalt in asphalt rubber binder used in RHMA  
 $\text{RHMATT}$  = RHMA total tons placed  
 $X_{arb}$  = theoretical asphalt rubber binder content from the job mix formula expressed as percentage of the weight of dry aggregate

### **Modified Asphalt Binder in Hot Mix Asphalt**

The Engineer calculates the quantity of asphalt in modified asphalt binder using the following formula:

$$Q_{mh} = \text{MHMATT} \times [(100 - X_{am}) / 100] \times [X_{mab} / (100 + X_{mab})]$$

where:

$Q_{mh}$  = quantity in tons of asphalt in modified asphalt binder used in HMA  
 $\text{MHMATT}$  = modified asphalt binder HMA total tons placed  
 $X_{am}$  = specified percentage of asphalt modifier  
 $X_{mab}$  = theoretical modified asphalt binder content from the job mix formula expressed as percentage of the weight of dry aggregate

### Hot Mix Asphalt Containing Reclaimed Asphalt Pavement (RAP)

The Engineer calculates the quantity of asphalt in HMA containing RAP using the following formulas:

$$Q_{rap} = HMATT \times [X_{aa} / (100 + X_{aa})]$$

where:

$$X_{aa} = X_{ta} - [(100 - X_{new}) \times (X_{ra} / 100)]$$

and

- Q<sub>rap</sub> = quantity in tons of asphalt used in HMA containing RAP
- HMATT = HMA total tons placed
- X<sub>aa</sub> = asphalt content of HMA adjusted to account for the asphalt content in RAP expressed as percentage of the weight of dry aggregate
- X<sub>ta</sub> = total asphalt content of HMA expressed as percentage of the weight of dry aggregate
- X<sub>new</sub> = theoretical percentage of new aggregate in the HMA containing RAP determined from RAP percentage in the job mix formula
- X<sub>ra</sub> = asphalt content of RAP expressed as percentage

### Tack Coat

The Engineer calculates the quantity of asphalt in tack coat (Q<sub>tc</sub>) as either:

1. Asphalt binder using the asphalt binder total tons placed as tack coat
2. Asphaltic emulsion by applying the formula in "Asphaltic Emulsion" to the asphaltic emulsion total tons placed as tack coat

### Asphaltic Emulsion

The Engineer calculates the quantity of asphalt in asphaltic emulsions, including fog seals and tack coat, using the following formula:

$$Q_e = AETT \times (X_e / 100)$$

where:

- Q<sub>e</sub> = quantity in tons of asphalt used in asphaltic emulsions
- AETT = undiluted asphaltic emulsions total tons placed
- X<sub>e</sub> = minimum percent residue specified in Section 94, "Asphaltic Emulsions," of the Standard Specifications based on the type of emulsion used

You may, as an option, determine "X<sub>e</sub>" by submitting actual daily test results for asphalt residue for the asphaltic emulsion used. If you choose this option, you must:

1. Take 1 sample every 200 tons but not less than 1 sample per day in the presence of the Engineer from the delivery truck, at midload from a sampling tap or thief, and in the following order:
  - 1.1. Draw and discard the 1st gallon
  - 1.2. Take two separate 1/2-gallon samples
2. Submit 1st sample at the time of sampling
3. Provide 2nd sample within 3 business days of sampling to an independent testing laboratory that participates in the AASHTO Proficiency Sample Program
4. Submit test results from independent testing laboratory within 10 business days of sample date

### Slurry Seal

The Engineer calculates the quantity of asphalt in slurry seals (Q<sub>ss</sub>) by applying the formula in "Asphaltic Emulsion" to the actual quantity of asphaltic emulsion used in producing the slurry seal mix.

### Modified Asphalt Binder

The Engineer calculates the quantity of asphalt in modified asphalt binder using the following formula:

$$Q_{mab} = MABTT \times [(100 - X_{am}) / 100]$$

where:

Qmab = quantity in tons of asphalt used in modified asphalt binder  
MABTT = modified asphalt binder total tons placed  
Xam = specified percentage of asphalt modifier

### **Other Materials**

For other materials containing asphalt not covered above, the Engineer determines the quantity of asphalt (Qo).

### **PAYMENT ADJUSTMENTS**

The Engineer includes payment adjustments for price index fluctuations in progress pay estimates. If material containing asphalt is placed within 2 months during 1 estimate period, the Engineer calculates 2 separate adjustments. Each adjustment is calculated using the price index for the month in which the quantity of material containing asphalt subject to adjustment is placed in the work. The sum of the 2 adjustments is used for increasing or decreasing payment in the progress pay estimate.

The Engineer calculates each payment adjustment as follows:

$$PA = Q_t \times A$$

where:

PA = Payment adjustment in dollars for asphalt contained in materials placed in the work for a given month.

Q<sub>t</sub> = Sum of quantities of asphalt (Q<sub>h</sub> + Q<sub>rh</sub> + Q<sub>mh</sub> + Q<sub>rap</sub> + Q<sub>tc</sub> + Q<sub>e</sub> + Q<sub>ss</sub> + Q<sub>mab</sub> + Q<sub>o</sub>).

A = Adjustment in dollars per ton of asphalt used to produce materials placed in the work rounded to the nearest \$0.01.

For US Customary projects, use:

$A = [(I_u / I_b) - 1.05] \times I_b \times [1 + (T / 100)]$  for an increase in the crude oil price index exceeding 5 percent  
 $A = [(I_u / I_b) - 0.95] \times I_b \times [1 + (T / 100)]$  for a decrease in the crude oil price index exceeding 5 percent

For metric projects, use:

$A = 1.1023 \times [(I_u / I_b) - 1.05] \times I_b \times [1 + (T / 100)]$  for an increase in the crude oil price index exceeding 5 percent  
 $A = 1.1023 \times [(I_u / I_b) - 0.95] \times I_b \times [1 + (T / 100)]$  for a decrease in the crude oil price index exceeding 5 percent

I<sub>u</sub> = California Statewide Crude Oil Price Index for the month in which the quantity of asphalt subject to adjustment was placed in the work.

I<sub>b</sub> = California Statewide Crude Oil Price Index for the month in which the bid opening for the project occurred

T = Sales and use tax rate, expressed as a percent, currently in effect in the tax jurisdiction where the material is placed. If the tax rate information is not submitted timely, the statewide sales and use tax rate is used in the payment adjustment calculations until the tax rate information is submitted.

### **5-1.07 SURFACE MINING AND RECLAMATION ACT**

Imported borrow or aggregate material must come from a surface mine permitted under the Surface Mining and Reclamation Act of 1975 (SMARA), Pub Res Code § 2710, et seq., or from an exempt site.

The Department of Conservation, Office of Mine Reclamation maintains a list of permitted mine sites. For the list of permitted sites, go to:

[http://www.conservation.ca.gov/omr/ab\\_3098\\_list](http://www.conservation.ca.gov/omr/ab_3098_list)

If you import borrow or aggregate material from a surface mine not on this list, submit proof the mine is exempt from SMARA.

### **5-1.08 SUBCONTRACTING**

Each subcontractor must have an active and valid:

1. State contractor license with a classification appropriate for the work to be performed (Bus & Prof Code § 7000 et seq.)
2. Public works contractor registration number with the Department of Industrial Relations

### **5-1.09 LINES AND GRADES**

After your submittal, the Engineer starts staking within 2 business days.

### **5-1.10 RESPONSIBILITY TO OTHER ENTITIES**

The Contractor shall be responsible for any liability imposed by law and for injuries to or death of any person including, but not limited to, workers and the public or damage to property, and shall indemnify and save harmless any county, city or district, its officers and employees connected with the work, within the limits of which county, city or district the work is being performed, all in the same manner and to the same extent conforming to the provisions in Section 7-1.12, "Indemnification and Insurance," of the Standard Specifications, for the protection of the State of California and all officers and employees thereof connected with the work.

### **5-1.11 INDEMNIFICATION AND INSURANCE**

#### **Insurance**

#### **Liability Insurance**

#### **Liability Limits/Additional Insureds**

As used in section 7-1.12B(4)(b), a small business:

1. For a non-federal-aid contract is defined in 2 CA Code of Regs § 1896 and is incorporated by this reference
2. For a federal-aid contract is defined in 13 CFR 121.201 and is incorporated by this reference

### **5-1.12 AREAS FOR CONTRACTOR'S USE**

Attention is directed to the provisions in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications and these special provisions.

Areas available for the exclusive use of the Contractor are designated on the plans. Use of the Contractor's work areas and other State-owned property shall be at the Contractor's own risk, and the State shall not be held liable for damage to or loss of materials or equipment located within these areas.

The Contractor shall obtain encroachment permits prior to occupying State-owned parcels outside the contract limits. The required encroachment permits may be obtained from the Department of Transportation, Permit Engineer, 4050 Taylor Street, San Diego, California 92110.

Residence trailers will not be allowed within the highway right of way, except that one trailer will be allowed for yard security purposes.

The Contractor shall remove equipment, materials, and rubbish from the work areas and other State-owned property which the Contractor occupies. The Contractor shall leave the areas in a presentable condition in conformance with the provisions in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials or for other purposes, if sufficient area is not available to the Contractor within the contract limits, or at the sites designated on the plans outside the contract limits.

### **5-1.13 PAYMENTS**

No partial payment will be made for any materials on hand which are furnished but not incorporated in the work.

### **5-1.14 FORCE ACCOUNT PAYMENT**

#### **EQUIPMENT RENTAL**

#### **General**

The Engineer may authorize rates in excess of those in the Labor Surcharge and Equipment Rental Rates if:

1. You submit a request to use rented equipment

2. Equipment is not available from your normal sources or from one of your subcontractors
3. Rented equipment is from an independent rental company
4. Proposed equipment rental rate is reasonable
5. The Engineer authorizes the equipment source and the rental rate before you use the equipment

**Equipment Not On the Job Site and Not Required for Original Contract Work**

For equipment not on the job site at the time required to perform work paid by force account and not required for original Contract work, the time paid is the time the equipment is operated to perform work paid by force account and the time to return the equipment to its source when the work paid by force account is completed.

The minimum total time paid is:

1. 1 day if daily rates are paid
2. 8 hours if hourly rates are paid

If daily rates are recorded, equipment:

1. Idled is paid as 1/2 day
2. Operated less than 4 hours is paid as 1/2 day
3. Operated 4 hours or more is paid as 1 day

If the minimum total time exceeds 8 hours and if hourly rates are listed, the Department rounds up hours operated to the nearest 1/2-hour increment and pays based on the hours shown the following table. The table does not apply when equipment is not operated due to breakdowns, in which case rental hours are the hours the equipment was operated.

**Equipment Rental Hours**

Hours operated	Hours paid
0.0	4.00
0.5	4.25
1.0	4.50
1.5	4.75
2.0	5.00
2.5	5.25
3.0	5.50
3.5	5.75
4.0	6.00
4.5	6.25
5.0	6.50
5.5	6.75
6.0	7.00
6.5	7.25
7.0	7.5
7.5	7.75
≥8.0	hours used

**Equipment Not On the Job Site and Required for Original Contract Work**

For equipment not on the job site at the time required to perform work paid by force account and required for original Contract work, the time paid is the time:

1. To move the equipment to the location of work paid by force account plus an equal amount of time to move the equipment to a location on the job site or its source when the work paid by force account is completed
2. Equipment is operated to perform work paid by force account

**5-1.15 PROGRESS PAYMENTS**

**Progress Withholds**

The Department withholds 10 percent of a partial payment for noncompliant progress. Noncompliant progress occurs when:

- 1. Total days to date exceed 75 percent of the working days
- 2. Percent of working days elapsed exceeds the percent of value of work completed by more than 15 percent

**Stop Notice Withholds**

The Department may withhold payments to cover claims filed under Civ Code § 9000 et seq.

**Penalty Withholds**

Instead of the withhold, you may provide a bond equal to the highest estimated liability for any disputed penalties proposed except you may not provide a bond for the withholds related to labor compliance violations.

**5-1.16 PAYMENT AFTER CONTRACT ACCEPTANCE**

**Proposed Final Estimate**

Submit either a written acceptance of the proposed final estimate or a claim statement postmarked or hand delivered before the 31st day after receiving the proposed final estimate. If you claim that the proposed final estimate is less than 90 percent of your total bid, the Department adjusts the final payment to cover your overhead.

**Final Payment and Claims**

**Overhead Claims**

The CPA’s audit must be performed as an examination-level engagement under the attestation engagements in the Government Auditing Standards published by the Controller General of the United States.

**5-1.17 SUPPLEMENTAL PROJECT INFORMATION**

The Department makes the following supplemental project information available:

**Supplemental Project Information**

Means	Description
Included in the Information Handout	1. Water Availability Letter Dated May 15, 2015

**5-1.18 PERMITS, LICENSES, AGREEMENTS, AND CERTIFICATIONS**

Maintain a copy of each PLAC at the job site.

**5-1.19 SAFETY AND HEALTH PROVISIONS**

The Contractor is the controlling employer and shall ensure hazardous conditions are corrected (Labor Code § 6400).

**5-1.20 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD**

This project lies within the boundaries of the Colorado River Basin Regional Water Quality Control Board (RWQCB).

The State Water Resources Control Board (SWRCB) has issued to the Department a general permit that governs storm water and non-storm water discharges from the Department's properties, facilities, and activities. The Department's permit is entitled "Order No. 2012-0011- DWQ, NPDES No. CAS000003, National Pollutant Discharge Elimination System (NPDES) Permit, Statewide Storm Water Permit and Waste Discharge Requirements (WDRs) for the State of California, Department of Transportation (Caltrans)." The Department's general permit may be viewed at the Web site for the State Water Resources Control Board, Storm Water Program, Caltrans General Permit.

The Contractor shall know and comply with provisions of Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non-storm water discharges from the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, "Laws to be Observed," 5-1.18, "Property and Facility Preservation," 7-1.12, "Indemnification and Insurance," and 9-1.07E(5), "Penalty Withholds," of the Standard Specifications.

The Contractor shall notify the Engineer immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Department shall provide copies of correspondence, notices of violation, enforcement actions, or proposed fines by regulatory agencies to the requesting regulatory agency.

#### **5-1.21 LABOR CODE REQUIREMENTS**

##### **Hours of Labor**

Work on the job site must comply with Labor Code §1720 et seq and 8 CA Code of Regs § 16000 et seq. Work includes roadside production and processing of materials.

#### **5-1.22 LAWS TO BE OBSERVED**

##### **Prevailing Wage**

The Contractor and any subcontractor under the Contractor shall comply with Labor Code Section 1775. Pursuant to Section 1775, the Contractor and any subcontractor under the Contractor shall forfeit to the State or political subdivision on whose behalf the contract is made or awarded a penalty of not more than two hundred dollars (\$200) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates as determined by the Director of Industrial Relations for the work or craft in which the worker is employed for any public work done under the contract by the Contractor or by any subcontractor under the Contractor in violation of the requirements of the Labor Code and in particular, Labor Code Sections 1770 to 1780, inclusive

Pursuant to the requirements in Section 1773 of the Labor Code, the Department has obtained the general prevailing rate of wages (which rate includes employer payments for health and welfare, pension, vacation, travel time and subsistence pay as provided for in Section 1773.1 of the Labor Code, apprenticeship or other training programs authorized by Section 1777.5(m)(1) of the Labor Code, and similar purposes) applicable to the work to be done, for straight time, overtime, Saturday, Sunday and holiday work. The holiday wage rate listed shall be applicable to all holidays recognized in the collective bargaining agreement of the particular craft, classification or type of workmen concerned.

The wage determinations refer to expiration dates. The determinations with a single asterisk after the expiration date, and in effect on the date of advertisement for bids, are good for the life of the Contract. The determinations with double asterisks after the expiration date indicate the wage rate to be paid for work performed after this date has been determined. If work is to extend past this date, pay the new rate and incorporate it into the Contract.

##### **Travel and Subsistence Payments**

Attention is directed to the requirements in Section 1773.1 of the Labor Code. The Contractor shall make travel and subsistence payments to each workman, needed to execute the work, in conformance with the requirements in Labor Code Section 1773.1.

#### **5-1.23 PAYROLL RECORDS**

A copy of all payrolls shall be submitted weekly to the Engineer. Payrolls shall contain the full name, address and social security number of each employee, the employee's correct classification, rate of pay, daily and weekly number of hours worked, itemized deductions made and actual wages paid. They shall also indicate apprentices and ratio of apprentices to journeymen. The employee's address and social security number need only appear on the first payroll on which that name appears. The payroll shall be accompanied by a "Statement of Compliance" signed by the employer or the employer's agent indicating that the payrolls are correct and complete and the employer has complied with the requirements of sections 1771, 1811, and 1815 for any work performed by his or her employees on the public works project and that the wage rates contained therein are not less than those required by the contract. The "Statement of Compliance" shall be on forms furnished by the Department or on any form with identical wording. The Contractor shall be responsible for the submission of copies of payrolls of all subcontractors.

Make all payroll records available for inspection and copying or furnish a copy upon request of a representative of the:

1. Department
2. Division of Labor Standards Enforcement of the Department of Industrial Relations
3. Division of Apprenticeship Standards of the Department of Industrial Relations

Comply with a request for the records within 10 days after receiving a written request. If the contractor or subcontractor do not comply within this period, the Department withholds from progress payments a \$100 penalty for each day or part of a day for each worker until you comply. The contractor is not assessed this penalty for a subcontractor's failure to comply with Labor Code § 1776.

**5-1.24 ELECTRONIC SUBMISSION OF PAYROLL RECORDS**

In lieu of submitting weekly payroll records to the Engineer as specified in Section 7-1.01A(3), "Payroll Records," of the Standard Specifications, you may submit weekly payroll records electronically.

Before submitting payroll records electronically, you must complete and sign the Contractor's Acknowledgement and submit it to the District where your project is located. Submit your signed acknowledgement to the corresponding District electronic mailbox shown in the following table:

<b>Electronic Mailboxes</b>	
District	Address
1	district1.payrolls@dot.ca.gov
2	district2.payrolls@dot.ca.gov
3	district3.payrolls@dot.ca.gov
4	district4.payrolls@dot.ca.gov
5	district5.payrolls@dot.ca.gov
6	district6.payrolls@dot.ca.gov
7	district7.payrolls@dot.ca.gov
8	district8.payrolls@dot.ca.gov
9	district9.payrolls@dot.ca.gov
10	district10.payrolls@dot.ca.gov
11	district11.payrolls@dot.ca.gov
12	district12.payrolls@dot.ca.gov

The Department responds with an e-mail containing a Caltrans Internet Certificate to be used for the electronic submission of payroll records. You must agree to accept this certificate and reply to the e-mail. After you accept the certificate and reply to the e-mail, the Department is ready to accept your electronic submissions.

Each electronic submission must:

1. Include payroll records in a nonmodifiable PDF image format. No spreadsheets, word documents, or password protected documents are accepted.
2. Include a signed Statement of Compliance form with each weekly record.
3. Be received by the Department by close of business on the 15th day of the month for the prior month's work.
4. Be encrypted before submission.
5. Contain the following information in the subject line:
  - 5.1. Contract number
  - 5.2. Week ending date as W/E mm/dd/yy
6. Contain 1 contract number and week ending date per submission.

For additional information on electronic submission of payroll records, go to the Department's Labor Compliance Web site.

**5-1.25 APPRENTICES**

The Department deducts from progress payments civil penalties assessed by the Chief of the Division of Apprenticeship Standards for violating Labor Code § 1777.5 (Labor Code § 1777.7).

**5-1.26 PUBLIC SAFETY**

Temporary pedestrian facilities must comply with the California MUTCD, Part 6, chapter 6D, "Pedestrian and Worker Safety."

## 5-1.27 CONSTRUCTION WASTE MANAGEMENT

### GENERAL

#### Summary

This work includes salvage, recycling, and disposal of nonhazardous construction and demolition waste.

Comply with requirements of LEED MR Credit 2, Construction Waste Management.

Comply with "Construction Site Management" in Section 10-1, "General," of these special provisions, which covers:

1. Prevention and control of contamination by waste materials
2. Handling of hazardous waste
3. General collection, storage, and disposal of solid waste to be disposed of

Comply with Section 14-10, "Solid Waste Disposal and Recycling," of the Standard Specifications.

#### Definitions

**construction and demolition waste:** Building and site improvement materials and other solid waste resulting from construction, renovation, repair, deconstruction, or demolition. Construction and demolition waste includes packaging; it does not include land-clearing debris or hazardous waste.

**disposal:** Removal of construction and demolition waste from the job site and subsequent disposal in landfill under regulations of authorities having jurisdiction.

**recycling:** Recovery of construction and demolition waste for subsequent processing in preparation for use in a different form.

**reuse:** For purposes of construction waste management, "reuse" refers to items found on-site that were fixed components before construction began.

**salvage:** Recovery of construction and demolition waste for subsequent reuse.

#### Submittals

##### QC/QA and Action Submittals

Qualification Statement: Submit for waste management coordinator.

Waste Management Plan: Submit within 30 days after contract approval.

Solid Waste Disposal and Recycling Reports: Comply with reporting requirements in Section 14-10, "Solid Waste Disposal and Recycling," of the Standard Specifications. Report monthly and submit on or before the last day of the month. Use form available at:

[www.dot.ca.gov/hq/construc/forms/cem4401.pdf](http://www.dot.ca.gov/hq/construc/forms/cem4401.pdf)

Solid Waste Disposal and Recycling Reports: Comply with reporting requirements in Section 14-10, "Solid Waste Disposal and Recycling," of the Standard Specifications

##### Closeout Submittals

Waste Reduction Calculations: Submit calculated end-of-project rates for salvage, recycling, and disposal as a percentage of total waste generated by the work.

Salvage Records: Submit records of donations and sales of salvageable waste to individuals or organizations. Receipts with itemized descriptions are required. Indicate whether organization is tax exempt.

Recycling Facility Records: Submit records indicating receipt and acceptance of recyclable wastes by recycling facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.

Disposal Records: Submit records indicating receipt and acceptance of wastes by landfills licensed to accept them. Include manifests, weight tickets, receipts, and invoices.

##### Quality Control and Assurance

Waste Management Coordinator: Designate a coordinator to implement, monitor, and report on the waste management plan. The coordinator must spend sufficient time as necessary at the job site to fully oversee compliance with waste management requirements. Coordinator must be conversant with LEED for New Construction requirements related to materials and resources.

Regulatory Requirements: Comply with local hauling and disposal regulations.

Waste Management Conference: Conduct conference at job site before work begins and as necessary during the work. Require attendance by superintendents, supervisors, subcontractors, and your workers; invite Engineer. Include review of:

1. Waste management plan and related responsibilities of waste management coordinator
2. How to document quantity of each type of waste and its disposition
3. Procedures for materials separation; available containers and bins
4. Waste collection and transport to recycling and disposal facilities
5. Waste management requirements for each trade

### **Performance Goals**

General: Divert from the waste stream (recycle or salvage) at least 75 percent by weight or volume of total non-hazardous construction and demolition waste generated by the work.

Salvage/Recycling Goals: The Department's goal is to salvage and recycle as much nonhazardous construction and demolition waste as possible, including the following materials:

1. Concrete: Portland cement concrete, asphalt concrete
2. Metal: Structural and miscellaneous steel, metal studs, concrete reinforcing steel
3. Wood: Lumber and timber, wood studs and joists, plywood and other wood sheet materials, wood paneling, wood trim
4. Cabinets
5. Roofing
6. Insulation
7. Doors and frames
8. Windows
9. Glazing
10. Hardware
11. Gypsum board
12. Acoustical tile and panels
13. Equipment
14. Piping
15. Conduit
16. Copper wiring
17. Supports and hangers
18. Valves
19. Plumbing fixtures
20. Mechanical equipment
21. Lighting fixtures
22. Lamps and ballasts
23. Electrical devices
24. Switchgear and panelboards
25. Packaging: Salvage or recycle 100 percent of the following uncontaminated packaging materials:
  - 25.1. Paper
  - 25.2. Cardboard
  - 25.3. Boxes
  - 25.4. Plastic sheet and film
  - 25.5. Polystyrene packaging
  - 25.6. Wood crates
  - 25.7. Plastic pails

In addition to construction and demolition waste, recycle beverage containers and clean paper used by workers.

### **Waste Management Plan**

General: Develop waste management plan that includes waste identification and waste reduction work plan. Distinguish between demolition and construction waste. Measure quantities by either weight (tons) or volume (cubic yards), but use the same units of measure for all materials.

Responsible Personnel: Identify Waste Management Coordinator.

Waste Identification: Identify anticipated types of demolition and construction waste generated by the work. Include estimated quantities and assumptions for estimates.

Waste Reduction Work Plan: List each type of waste and whether it will be salvaged, recycled, or disposed of. Include total quantity of each type of waste, quantity for each means of recovery, and handling and transportation procedures.

1. Salvaged Materials: List names, addresses, and telephone numbers of individuals or organizations to which materials will be sold or donated for reuse.
2. Recycled Materials: List local receivers and processors and types of recycled materials each will accept. Include names, addresses, and phone numbers.
3. Disposed Materials: Provide name, address, and phone number of landfill where materials will be disposed of.
4. Handling and Transportation Procedures: Describe method that will be used for separating recyclable waste, including sizes of containers, container labeling, and designated job site location where materials will be separated. Indicate whether recyclable/salvageable materials will be segregated onsite or commingled for sorting offsite. Include provisions for complying with requirements under "Construction Site Management" in Section 10-1, "General," of these special provisions.

Recycling, Salvage, and Disposal Information: To identify recyclers, salvage operators, disposal locations, and waste disposal restrictions, at a minimum coordinate with:

Imperial County Public Health Department  
Section of Environmental Health & Consumer Protection Services  
Solid Waste Local Enforcement Agency (LEA)  
Main Street Professional Building  
797 W. Main Street, Suite B  
El Centro, CA 92243  
Tel: (760) 336-8530, Fax: (760) 352-1309  
<http://www.icphd.org/>

#### **Waste Management Plan Implementation**

General: Implement waste management plan as approved by the Department.

Training: Train workers, subcontractors, and suppliers on proper waste management procedures.

1. Distribute waste management plan to responsible staff, subcontractors, and suppliers immediately after approval by Department, and to new firms when they begin work at the job site.
2. Review procedures and locations for salvage, recycling, and disposal.

Incentives: Contractor will retain revenues and other incentives received for recycling and salvaging waste materials.

#### **General Recycling and Salvage Procedures**

Separate recyclable and salvageable waste from other waste materials, trash, and debris. Separate recyclable and salvageable waste by type at the job site to the greatest extent possible.

Provide appropriately marked containers or bins.

1. Provide list of acceptable and unacceptable materials at each container and bin.
2. Inspect containers and bins for contamination daily and remove unacceptable materials if found. Presence of unacceptable materials may cause entire load to be rejected by recycler/salvager and thus prevent achievement of waste diversion goals.

Keep recyclable materials free of dirt, adhesives, solvents, petroleum, and other deleterious substances, including nonrecyclable wastes.

Transport recyclable waste to recycler.

#### **Salvage Procedures**

Clean salvaged items, pack in labeled containers, and store in a secure area until removal or reuse.

Doors and hardware: Brace open end of door frames. Except for door closers, leave door hardware attached to doors.

Equipment: Drain tanks, piping, and fixtures. Seal openings with caps or plugs. Protect equipment from exposure to weather.

Plumbing fixtures: Separate by type and size.

Lighting fixtures: Separate lamps by type and protect from breakage.

Electrical devices: Separate switches, receptacles, switchgear, transformers, meters, panelboards, circuit breakers, and other devices by type.

### **Material-Specific Recycling Procedures**

Packaging:

1. Cardboard and Boxes: Break down packaging into flat sheets. Bundle and store in a dry location.
2. Polystyrene Packaging: Separate and bag materials.
3. Pallets: To the greatest extent possible, require deliverers using pallets to remove pallets from the job site for reuse. Break down remaining pallets into component pieces and recycle as wood.
4. Crates: Break down crates into component pieces and recycle as wood.

Asphalt Concrete:

1. Break up and transport paving to asphalt-recycling facility.

Concrete:

1. Remove reinforcement and other metals from concrete and sort with other metals.
2. Break up concrete and dispose of offsite or as approved by Engineer.

Masonry:

1. Remove reinforcement, anchors, and ties from masonry and sort with other metals.
2. Clean and stack undamaged, whole masonry units on wood pallets.

Wood:

1. Sort and stack members according to size, type, and length.
2. Separate lumber, engineered wood products, panel products, and treated wood materials.

Metals:

1. Separate metals by type.
2. Structural steel: Stack members according to size, type of member, and length.
3. Remove bolts, nuts, washers, and other rough hardware.
4. Ceiling suspension systems: Disassemble to convenient size.

Gypsum Board:

1. Large pieces: Stack clean pieces on wood pallets and store in a dry location.

Acoustical Ceiling Panels and Tile:

1. Large pieces: Stack clean pieces on wood pallets and store in a dry location.

Piping:

1. Reduce piping to straight lengths and sort by type and size.
2. Separate supports, hangers, valves, sprinklers, and other components by type and size.

Conduit:

1. Reduce conduit to straight lengths.
2. Sort by type and size.

## **MATERIALS**

Not Used

## **CONSTRUCTION**

Not Used

## **SECTION 6. (BLANK)**

## **SECTION 7. (BLANK)**

## **SECTION 8. MATERIALS**

### **SECTION 8-1. MISCELLANEOUS**

#### **8-1.01 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS**

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each type of traffic product supplied.

For those categories of materials included on the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included on the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the Standard Specifications.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

#### **PAVEMENT MARKERS, PERMANENT TYPE**

##### **Retroreflective With Abrasion Resistant Surface (ARS)**

("length along the direction of travel" x "marker width")

1. Apex, Model 921AR (4" x 4")
2. Ennis-Flint, Models C88 (4" x 4"), 911 (4" x 4") and C80FH (3.1" x 4.5")
3. Ray-O-Lite, Models "AA" ARC II (4" x 4") and ARC Round Shoulder (4" x 4")
4. 3M Series 290 (3.5" x 4")
5. 3M Series 290 PSA
6. Glowlite, Inc Model 988AR (4" x 4")

##### **Retroreflective With Abrasion Resistant Surface (ARS)**

(for recessed applications only)

1. Ennis-Flint, Model 948 (2.3" x 4.7")
2. Ennis-Flint, Model 944SB (2" x 4")\*
3. Ray-O-Lite, Model 2002 (2" x 4.6")
4. Ray-O-Lite, Model 2004 (2" x 4")\*

\*For use only in 4.5 inch wide (older) recessed slots

##### **Non-Reflective, 4-inch Round**

1. Apex Universal (Ceramic)
2. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
3. Glowlite, Inc. (Ceramic) and PP (Polypropylene)

4. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
5. Interstate Sales, "Diamond Back" (Polypropylene)
6. Novabrite Models Cdot (White) Cdot-y (Yellow), Ceramic
7. Novabrite Models Pdot-w (White) Pdot-y (Yellow), Polypropylene
8. Three D Traffic Works TD10000 (ABS), TD10500 (Polypropylene)
9. Ray-O-Lite, Ray-O-Dot (Polypropylene)

#### **PAVEMENT MARKERS, TEMPORARY TYPE**

##### **Temporary Markers For Long Term Day/Night Use (180 days or less)**

1. Vega Molded Products "Temporary Road Marker" (3" x 4")
2. Pexco LLC, Halftrack model 25, 26 and 35

##### **Temporary Markers For Short Term Day/Night Use (14 days or less)**

(For seal coat or chip seal applications, clear protective covers are required)

1. Apex Universal, Model 932
2. Pexco LLC, Models T.O.M., T.R.P.M., and "HH" (High Heat)
3. Hi-Way Safety, Inc., Model 1280/1281
4. Glowlite, Inc., Model 932

#### **STRIPING AND PAVEMENT MARKING MATERIAL**

##### **Permanent Traffic Striping and Pavement Marking Tape**

1. Advanced Traffic Marking, Series 300 and 400
2. Brite-Line, Series 1000
3. Brite-Line, "DeltaLine XRP"
4. Swarco Industries, "Director 35" (For transverse application only)
5. Swarco Industries, "Director 60"
6. 3M, "Stamark" Series 380 and 270 ES
7. 3M, "Stamark" Series 420 (For transverse application only)

##### **Temporary (Removable) Striping and Pavement Marking Tape (180 days or less)**

1. Advanced Traffic Marking, Series 200
2. Brite-Line, "Series 100", "Deltaline TWR"
3. Garlock Rubber Technologies, Series 2000
4. Tape 4, Aztec, Grade 102
5. Swarco Industries, "Director-2", "Director 2-Wet Reflective"
6. Trelleborg Industries, R140 Series
7. 3M Series 620 "CR", Series 780 and Series 710
8. 3M Series A145, Removable Black Line Mask  
(Black Tape: for use only on Hot mix asphalt surfaces)
9. Advanced Traffic Marking Black "Hide-A-Line"  
(Black Tape: for use only on Hot mix asphalt surfaces)
10. Brite-Line "BTR" Black Removable Tape  
(Black Tape: for use only on Hot mix asphalt surfaces)
11. Trelleborg Industries, RB-140  
(Black Tape: for use only on Hot mix asphalt surfaces)

##### **Preformed Thermoplastic (Heated in place)**

1. Ennis-Flint, "Hot Tape"
2. Ennis-Flint, "Premark Plus"
3. Ennis-Flint, "Flametape"

##### **Ceramic Surfacing Laminate, 6" x 6"**

1. Highway Ceramics, Inc.

## **CLASS 1 DELINEATORS**

### **One Piece Driveable Flexible Type, 66-inch**

1. Pexco LLC, "Flexi-Guide Models 400 and 566"
2. Carsonite, Curve-Flex CFRM-400
3. Carsonite, Roadmarker CRM-375
4. FlexStake, Model 654 TM
5. GreenLine Model CGD1-66

### **Special Use Type, 66-inch**

1. Pexco LLC, Model FG 560 (with 18-inch U-Channel base)
2. Carsonite, "Survivor" (with 18-inch U-Channel base)
3. Carsonite, Roadmarker CRM-375 (with 18-inch U-Channel base)
4. FlexStake, Model 604
5. GreenLine Model CGD (with 18-inch U-Channel base)
6. Impact Recovery Model D36, with #105 Driveable Base
7. Safe-Hit with 8-inch pavement anchor (SH248-GP1)
8. Safe-Hit with 15-inch soil anchor (SH248-GP2) and with 18-inch soil anchor (SH248-GP3)
9. Safe-Hit RT 360 Post with Soil Mount Anchor (GPS)
10. Shur-Tite Products, Shur-Flex Drivable

### **Surface Mount Type, 48-inch**

1. Bent Manufacturing Company, Masterflex Model MFEX 180-48
2. Carsonite, "Channelizer"
3. FlexStake, Models 704, 754 TM, and EB4
4. Impact Recovery Model D48, with #101 Fixed (Surface-Mount) Base
5. Three D Traffic Works "Channelflex" ID No. 522248W
6. Flexible Marker Support, Flexistiff Model C-9484
7. Safe-Hit, SH 248 SMR

## **CHANNELIZERS**

### **Surface Mount Type, 36-inch**

1. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) MF-180-36 (Flat) and MFEX 180—36
2. Pexco LLC, Flexi-Guide Models FG300PE, FG300UR, and FG300EFX
3. Carsonite, "Super Duck" (Round SDR-336)
4. Carsonite, Model SDCF03601MB "Channelizer"
5. FlexStake, Models 703, 753 TM, and EB3
6. GreenLine, Model SMD-36
7. Hi-way Safety, Inc. "Channel Guide Channelizer" Model CGC36
8. Impact Recovery Model D36, with #101 Fixed (Surface-Mount) Base
9. Safe-Hit, Guide Post, Model SH236SMA and Dura-Post, Model SHL36SMA
10. Three D Traffic Works "Boomerang" 5200 Series
11. Flexible Marker Support, Flexistiff Model C-9484-36
12. Shur-Tite Products, Shur-Flex

### **Lane Separation System**

1. Pexco LLC, "Flexi-Guide (FG) 300 Curb System"
2. Qwick Kurb, "Klemmfix Guide System"
3. Dura-Curb System
4. Tuff Curb
5. FG 300 Turnpike Curb
6. Shur-Tite Products, SHUR-Curb , Model No. SF0200

## **CONICAL DELINEATORS, 42-inch**

(For 28-inch Traffic Cones, see Standard Specifications)

1. Bent Manufacturing Company "T-Top", TDSC Series
2. Plastic Safety Systems "Navigator-42"

3. Traffix Devices "Grabber"
4. Three D Traffic Works "Ringtop" TD7000, ID No. 742143
5. Three D Traffic Works, TD7500
6. Work Area Protection Corp. C-42
7. Custom-Pak 4600 (Part No. 93005-0001)
8. Plasticade, Navicade, 650 RI

### **OBJECT MARKERS**

#### **Type "K", 18-inch**

1. Pexco LLC, Model FG318PE
2. Carsonite, Model SMD 615
3. FlexStake, Model 701 KM
4. Safe-Hit, Model SH718SMA
5. Impact Recover Systems, Model 282-K

#### **Type "Q" Object Markers, 24-inch**

1. Bent Manufacturing "Masterflex" Model MF-360-24
2. Pexco LLC, Model FG324PE
3. Carsonite, "Channelizer"
4. FlexStake, Model 701KM
5. Safe-Hit, Models SH824SMA\_WA and SH824GP3\_WA
6. Three D Traffic Works ID No. 531702W and TD 5200
7. Three D Traffic Works ID No. 520896W
8. Safe-Hit, Dura-Post SHLQ-24"
9. Flexible Marker Support, IMC 9484-24
10. Impact Recover Systems, Model 282-Q

### **CONCRETE BARRIER MARKERS AND TEMPORARY RAILING (TYPE K) REFLECTORS**

#### **Impactable Type**

1. ARTUK, "FB"
2. Pexco LLC, Models PCBM-12 and PCBM-T12, PCBM 912
3. Duraflex Corp., "Flexx 2020" and "Electriflexx"
4. Hi-Way Safety, Inc., Model GMKRM100
5. Plastic Safety Systems "BAM" Models OM-BARR and OM-BWAR
6. Three D Traffic Works "Roadguide" Model TD 9300

#### **Non-Impactable Type**

1. ARTUK, JD Series
2. Plastic Safety Systems "BAM" Models OM-BITARW and OM-BITARA
3. Vega Molded Products, Models GBM and JD
4. Plastic Vacuum Forming, "Cap-It C400"

### **METAL BEAM GUARD RAIL POST MARKERS**

(For use to the left of traffic)

1. Pexco LLC, "Mini" (3" x 10"), I-Flex
2. Creative Building Products, "Dura-Bull, Model 11201"
3. Duraflex Corp., "Railrider"
4. Plastic Vacuum Forming, "Cap-It C300"

### **CONCRETE BARRIER DELINEATORS, 16-inch**

(For use to the right of traffic)

1. Pexco LLC, Model PCBM T-16
2. Safe-Hit, Model SH216RBM
3. Three D Traffic Works "Roadguide" Model 9400

## **CONCRETE BARRIER-MOUNTED MINI-DRUM (10" x 14" x 22")**

1. Stinson Equipment Company "SaddleMarker"

## **GUARD RAILING DELINEATOR**

(Place top of reflective element at 48 inches above plane of roadway)

### **Wood Post Type, 27-inch**

1. Pexco LLC, FG 427 and FG 527
2. Carsonite, Model 427
3. FlexStake, Model 102 GR
4. GreenLine GRD 27
5. Safe-Hit, Model SH227GRD
6. Three D Traffic Works "Guardflex" TD9100
7. New Directions Mfg, NDM27
8. Shur-Tite Products, Shur-Tite Flat Mount
9. Glasforms, Hiway-Flex, GR-27-00
10. Impact Recover Systems, 200-GRP

### **Barrier, Guardrail Visibility Enhancement**

1. UltraGuard Safety System, Potters Industries, Inc.
2. Worldwide Safety and Irwin Hodson, Monarch Butterfly Reflective Device (MBGR only)

### **Steel Post Type**

1. Carsonite, Model CFGR-327

## **RETROREFLECTIVE SHEETING**

### **Channelizers, Barrier Markers, and Delineators**

1. Avery Dennison T-6500 Series (For rigid substrate devices only)
2. Avery Dennison WR-7100 Series and WR-6100
3. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
4. Reflexite, PC-1000 Metalized Polycarbonate
5. Reflexite, AC-1000 Acrylic
6. Reflexite, AP-1000 Metalized Polyester
7. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
8. 3M, High Intensity

### **Traffic Cones, 4-inch and 6-inch Sleeves**

1. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
2. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight", C85
3. 3M Series 3840, Series 3340
4. Avery Dennison S-9000C

### **Drums**

1. Avery Dennison WR-6100 Series
2. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
3. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
4. 3M Series 3810

## **BARRICADE SHEETING**

### **Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Nippon Carbide Industries, CN8117
2. Avery Dennison, W 1100 series
3. 3M Series CW 44

### **Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Avery Dennison, W-2100 Series

**Type IV, High Intensity (Typically Unmetalized Microprismatic Retroreflective Element)**

1. 3M Series 3334/3336

**Vertical Clearance Signs: Structure Mounted**

1. 3M Model 4061, Diamond Grade DG3, Fluorescent Yellow

**Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

1. Avery Dennison, T-2500 Series
2. Nippon Carbide Industries, Nikkalite 18000

**Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)**

1. Avery Dennison, T-5500A and T-6500 Series
2. Nippon Carbide Industries, Nikkalite Brand Ultralite Grade II
3. 3M 3870 and 3930 Series
4. Changzhou Hua R Sheng, Series TM 1200
5. Oracal, Oralite Series 5800

**Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)**

1. Avery Dennison, T-6500 Series
2. Nippon Carbide Industries, Crystal Grade, 94000 Series
3. Nippon Carbide Industries, Model No. 94847 Fluorescent Orange
4. 3M Series 3930 and Series 3924S

**Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive**

1. Avery Dennison, WU-6014
2. Novabrite LLC, "Econobrite"
3. Reflexite "Vinyl"
4. Reflexite "SuperBright"
5. Reflexite "Marathon"
6. 3M Series RS20

**Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. Avery Dennison, T-7500 Series
2. Avery Dennison, T-7511 Fluorescent Yellow
3. Avery Dennison, T-7513 Fluorescent Yellow Green
4. Avery Dennison, W-7514 Fluorescent Orange
5. Nippon Carbide Industries, Nikkalite Crystal Grade Series 92800
6. Nippon Carbide Industries, Nikkalite Crystal Grade Model 92847 Fluorescent Orange

**Signs: Type IX, Very-High-Intensity (Typically Unmetallized Microprismatic Element)**

1. 3M VIP Series 3981 Diamond Grade Fluorescent Yellow
2. 3M VIP Series 3983 Diamond Grade Fluorescent Yellow/Green
3. 3M VIP Series 3990 Diamond Grade
4. Avery Dennison T-9500 Series
5. Avery Dennison, T9513, Fluorescent Yellow Green
6. Avery Dennison, W9514, Fluorescent Orange
7. Avery Dennison, T-9511 Fluorescent Yellow

**Signs: Type XI, Very High Intensity (Typically Unmetallized Microprismatic Element)**

1. 3M Diamond Grade, DG3, Series 4000
2. 3M Diamond Grade, DG3, Series 4081, Fluorescent Yellow
3. 3M Diamond Grade, DG3, Series 4083, Fluorescent Yellow/Green
4. 3M Diamond Grade, DG3, Series 4084, Fluorescent Orange
5. Avery Dennison, OmniCube, T-11500 Series
6. Avery Dennison, OmniCube, T-11511, Fluorescent Yellow
7. Avery Dennison, OmniCube, T-11513, Fluorescent Yellow Green
8. Avery Dennison, OmniCube, W-11514 Fluorescent Orange

## **SPECIALTY SIGNS**

1. Reflexite "Endurance" Work Zone Sign (with Semi-Rigid Plastic Substrate)

## **ALTERNATIVE SIGN SUBSTRATES**

### **Fiberglass Reinforced Plastic (FRP) and Expanded Foam PVC**

1. Fiber-Brite (FRP)
2. Sequentia, "Polyplate" (FRP)
3. Inteplast Group "InteCel" (0.5 inch for Post-Mounted CZ Signs, 48-inch or less)(PVC)

### **Aluminum Composite, Temporary Construction Signs and Permanent Signs up to 4 foot, 7 Inches**

1. Alcan Composites "Dibond Material, 80 mils"
2. Mitsubishi Chemical America, Alpolic 350
3. Bone Safety Signs, Bone Light ACM (temporary construction signs only)
4. Kommerling, USA, KomAlu 3 mm

## **8-1.02 STATE-FURNISHED MATERIALS**

The State furnishes you with:

- Lubricating material for testing the lubrication system

## **SECTION 8-2. CONCRETE**

### **8-2.01 PORTLAND CEMENT CONCRETE**

Portland cement concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

#### **STRENGTH DEVELOPMENT TIME**

The time allowed to obtain the minimum required compressive strength as specified in Section 90-1.01, "Description," of the Standard Specifications will be 56 days when the Contractor chooses cementitious material that satisfies the following equation:

$$\frac{(41 \times UF) + (19 \times F) + (11 \times SL)}{TC} \geq 7.0$$

Where:

- F = Fly ash or natural pozzolan conforming to the requirements in AASHTO Designation: M 295, Class F or N, including the amount in blended cement, pounds per cubic yard. F is equivalent to the sum of FA and FB as defined in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications
- SL = GGBFS, including the amount in blended cement, pounds per cubic yard
- UF = Silica fume, metakaolin, or UFFA, including the amount in blended cement, pounds per cubic yard
- TC = Total amount of cementitious material used, pounds per cubic yard

For concrete satisfying the equation above, the Contractor shall test for the modulus of rupture or compressive strength specified for the concrete involved, at least once every 500 cubic yards, at 28, 42, and 56 days. The Contractor shall submit test results to the Engineer and the Transportation Laboratory, Attention: Office of Concrete Materials.

#### **SUPPLEMENTARY CEMENTITIOUS MATERIALS**

The Contractor may use rice hull ash as a supplementary cementitious material (SCM) to make minor concrete. Rice hull ash shall conform to the requirements in AASHTO Designation: M 321 and the following chemical and physical requirements:

Chemical Requirements	Percent
Silicon Dioxide (SiO <sub>2</sub> ) <sup>a</sup>	90 min.
Loss on ignition	5.0 max.
Total Alkalies (as Na <sub>2</sub> O) equivalent	3.0 max.

Physical Requirements	Percent
Particle size distribution	
Less than 45 microns	95
Less than 10 microns	50
Strength Activity Index with portland cement <sup>b</sup>	
7 days	95 (minimum % of control)
28 days	110 (minimum % of control)
Expansion at 16 days when testing job materials in conformance with ASTM C 1567 <sup>c</sup>	0.10 max.
Surface Area when testing by nitrogen adsorption in conformance with ASTM D 5604	40.0 m <sup>2</sup> /g min.

Notes:

<sup>a</sup> A maximum of 1.0% of the SiO<sub>2</sub> may exist in crystalline form.

<sup>b</sup> When tested in conformance with the requirements for strength activity testing of silica fume in AASHTO Designation: M 307

<sup>c</sup> In the test mix, Type II or Type V portland cement shall be replaced with at least 12% RHA by weight.

For the purposes of calculating cementitious material requirements in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications and these special provisions, rice hull ash is considered to be represented by the variable *UF*.

### 8-2.02 CORROSION CONTROL FOR PORTLAND CEMENT CONCRETE

Portland cement concrete in contact with soil is considered to be in a corrosive environment and shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions, except the specifications for supplementary cementitious material content in Section 90-2.01C, "Required Use Of Supplementary Cementitious Materials," of the Standard Specifications shall not apply.

Cementitious material to be used in portland cement concrete shall conform to the provisions in Section 90-2, "Materials," of the Standard Specifications, and shall be a combination of either Type II or Type V portland cement and supplementary cementitious material.

Concrete in a corrosive environment shall contain not less than 675 pounds of cementitious material per cubic yard.

Reduction in the cementitious material content specified or ordered in conformance with the provisions in Section 90-4.05, "Optional Use of Chemical Admixtures," of the Standard Specifications, is not permitted for concrete in a corrosive environment.

For concrete in a corrosive environment, the cementitious material shall be comprised of one of the following:

- A. 25 percent by weight of either fly ash or natural pozzolan with a CaO content of up to 10 percent, and 75 percent by weight of portland cement
- B. 20 percent by weight of either fly ash or natural pozzolan with a CaO content of up to 10 percent, 5 percent by weight of silica fume, and 75 percent by weight of portland cement
- C. 12 percent by weight of either silica fume, metakaolin, or UFFA; and 88 percent by weight of portland cement
- D. 50 percent by weight of ground granulated blast furnace slag, and 50 percent by weight of portland cement

For the concrete in contact with soil, the ratio of the amount of free water to the amount of cementitious material used in concrete in a corrosive environment shall not exceed 0.40.

Full compensation for conforming to the above requirements shall be considered as included in the contract prices paid for the various contract items of work, and no additional compensation will be allowed therefor.

## SECTION 8-3. WELDING

### 8-3.01 WELDING

#### GENERAL

Unless otherwise specified, Section 8-3, "Welding," shall apply to any welding that is specified to conform to an AWS welding code.

Requirements of the AWS welding codes shall apply unless otherwise specified in the Standard Specifications, on the plans, or in these special provisions. Wherever the abbreviation AWS is used, it shall be equivalent to the abbreviations ANSI/AWS or AASHTO/AWS.

Wherever reference is made to the following AWS welding codes in the Standard Specifications, on the plans, or in these special provisions, the year of adoption for these codes shall be as listed:

AWS Code	Year of Adoption
D1.1	2008
D1.3	2008
D1.4	2005
D1.5	2008
D1.6	2007
D1.8	2009

Flux cored welding electrodes conforming to the requirements of AWS A5.20 E6XT-4 or E7XT-4 shall not be used to perform welding for this project.

Unless otherwise specified, Clause 6.1.3 of AWS D1.1, paragraph 1 of Section 7.1.2 of AWS D1.4, and Clause 6.1.1.2 of AWS D1.5, are replaced with the following:

The QC Inspector shall be the duly designated person who acts for and on behalf of the Contractor for inspection, testing, and quality related matters for all welding.

Quality Assurance (QA) is the prerogative of the Engineer. The QA Inspector is the duly designated person who acts for and on behalf of the Engineer.

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship.

When the term "Inspector" is used without further qualification, it shall refer to the QC Inspector.

Inspection and approval of all joint preparations, assembly practices, joint fit-ups, welding techniques, and the performance of each welder, welding operator, and tack welder shall be documented by the QC Inspector on a daily basis for each day welding is performed. For each inspection, including fit-up, Welding Procedure Specification (WPS) verification, and final weld inspection, the QC Inspector shall confirm and document compliance with the requirements of the AWS or other specified code criteria and the requirements of these special provisions on all welded joints before welding, during welding, and after the completion of each weld.

The Engineer shall have the authority to verify the qualifications or certifications of any welder, QC Inspector, or NDT personnel to specified levels by retests or other means approved by the Engineer.

When joint weld details that are not prequalified to the details of Clause 3 of AWS D1.1 or to the details of Figure 2.4 or 2.5 of AWS D1.5 are proposed for use in the work, the joint details, their intended locations, and the proposed welding parameters and essential variables, shall be approved by the Engineer. The Contractor shall allow the Engineer 15 days to complete the review of the proposed joint detail locations.

In addition to the requirements of AWS D1.1, welding procedure qualifications for work welded in conformance with this code shall conform to the following:

When a nonstandard weld joint is to be made using a combination of WPSs, a single test may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 4.5.

Upon approval of the proposed joint detail locations and qualification of the proposed joint details, welders and welding operators using these details shall perform a qualification test plate using the WPS variables and the joint detail to be used in production. The test plate shall have the maximum thickness to be used in production and a minimum length of 18 inches. The test plate shall be mechanically and radiographically tested. Mechanical and radiographic testing and acceptance criteria shall be as specified in the applicable AWS codes.

The Engineer will witness all qualification tests for WPSs that were not previously approved by the Department.

In addition to the requirements specified in the applicable code, the period of effectiveness for a welder's or welding operator's qualification shall be a maximum of 3 years for the same weld process, welding position, and weld type. If welding will be performed without gas shielding, then qualification shall also be without gas shielding. Excluding welding of fracture critical members, a valid qualification at the beginning of work on a contract will be acceptable for the entire period of the contract, as long as the welder's or welding operator's work remains satisfactory.

The Contractor shall notify the Engineer 7 days prior to performing any procedure qualification tests. Witnessing of qualification tests by the Engineer shall not constitute approval of the intended joint locations, welding parameters, or essential variables. The Contractor shall notify the Engineer using the "Standard TL-38 Inspection Form" located at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbforms.htm>

Clause 6.14.6, "Personnel Qualification," of AWS D1.1, Section 7.8, "Personnel Qualification," of AWS D1.4, and Clause 6.1.3.4, "Personnel Qualification," of AWS D1.5 are replaced with the following:

Personnel performing nondestructive testing (NDT) shall be qualified and certified in conformance with the requirements of the American Society for Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A and the Written Practice of the NDT firm. The Written Practice of the NDT firm shall meet or exceed the guidelines of the ASNT Recommended Practice No. SNT-TC-1A. Individuals who perform NDT, review the results, and prepare the written reports shall be either:

- A. Certified NDT Level II technicians, or;
- B. Level III technicians who hold a current ASNT Level III certificate in that discipline and are authorized and certified to perform the work of Level II technicians.

Clause 6.6.5, "Nonspecified NDT Other than Visual," of AWS D1.1, Section 7.6.5 of AWS D1.4 and Clause 6.6.5 of AWS D1.5 shall not apply.

For any welding, the Engineer may direct the Contractor to perform NDT that is in addition to the visual inspection or NDT specified in the AWS or other specified welding codes, in the Standard Specifications, or in these special provisions. Except as provided for in these special provisions, additional NDT required by the Engineer, and associated repair work, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. Prior to release of welded material by the Engineer, if testing by NDT methods other than those originally specified discloses an attempt to defraud or reveals a gross nonconformance, all costs associated with the repair of the deficient area, including NDT of the weld and of the repair, and any delays caused by the repair, shall be at the Contractor's expense. A gross nonconformance is defined as the sum of planar type rejectable indications in more than 20 percent of the tested length.

When less than 100 percent of NDT is specified for any weld, it is expected that the entire length of weld meet the specified acceptance-rejection criteria. Should any welding deficiencies be discovered by additional NDT directed or performed by the Engineer that utilizes the same NDT method as that originally specified, all costs associated with the repair of the deficient area, including NDT of the weld and of the weld repair, and any delays caused by the repair, shall be at the Contractor's expense.

Repair work to correct welding deficiencies discovered by visual inspection directed or performed by the Engineer, and any associated delays or expenses caused to the Contractor by performing these repairs, shall be at the Contractor's expense.

### **WELDING QUALITY CONTROL**

Welding quality control shall conform to the requirements in the AWS or other specified welding codes, the Standard Specifications, and these special provisions.

Unless otherwise specified, welding quality control shall apply to work welded in conformance with the provisions in the following:

- A. Section 49, "Piling," Section 52, "Reinforcement," Section 55, "Steel Structures," and Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications
- B. "Structural Steel for Building Work" of these special provisions

Unless otherwise specified, Clauses 6.1.4.1 and 6.1.4.3 of AWS D1.1, paragraph 2 of Section 7.1.2 of AWS D1.4, and Clauses 6.1.3.2 through 6.1.3.3 of AWS D1.5 are replaced with the following:

The QC Inspector shall be currently certified as an AWS Certified Welding Inspector (CWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors."

The QC Inspector may be assisted by an Assistant QC Inspector provided that this individual is currently certified as an AWS Certified Associate Welding Inspector (CAWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors." The Assistant QC Inspector may perform inspection under the direct supervision of the QC Inspector provided the assistant is always within visible and audible range of the QC Inspector. The QC Inspector shall be responsible for signing all reports and for determining if welded materials conform to workmanship and acceptance criteria. The ratio of QC Assistants to QC Inspectors shall not exceed 5 to 1.

The Contractor shall designate in writing a welding Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for the quality of welding, including materials and workmanship, performed by the Contractor and subcontractors.

The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, reviewing, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall be a registered professional engineer or shall be currently certified as a CWI.

Unless the QCM is hired by a subcontractor providing only QC services, the QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

The QCM shall sign and furnish to the Engineer, a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each item of work for which welding was performed. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans, the Standard Specifications, and these special provisions.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for the following conditions:

- A. The work is welded in conformance with AWS D1.5 and is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program, Category CBR, Major Steel Bridges and Fracture Critical endorsement F, when applicable.
- B. Structural steel for building work is welded in conformance with AWS D1.1 and is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program, Category STD, Standard for Steel Building Structures.

For welding performed at such facilities, the inspection personnel or NDT firms may be employed or compensated by the facility performing the welding provided the facility maintains a QC program that is independent from production.

Unless otherwise specified, an approved independent third party will witness the qualification tests for welders or welding operators. The independent third party shall be a current CWI and shall not be an employee of the contractor performing the welding. The Contractor shall allow the Engineer 15 days to review the qualifications and copy of the current certification of the independent third party.

Prior to submitting the Welding Quality Control Plan (WQCP) required herein, a prewelding meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing welding or inspection for this project, shall be held to discuss the requirements for the WQCP.

Information regarding the contents, format, and organization of a WQCP, is available at the Transportation Laboratory and at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbresources.htm>

The Contractor shall submit to the Engineer, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications, 2 copies of a separate WQCP for each subcontractor or supplier for each item of work for which welding is to be performed.

The Contractor shall allow the Engineer 15 days to review the WQCP submittal after a complete plan has been received. No welding shall be performed until the WQCP is approved in writing by the Engineer.

An amended WQCP or any addendum to the approved WQCP shall be submitted to, and approved in writing by the Engineer, for proposed revisions to the approved WQCP. An amended WQCP or addendum will be required for revisions to the WQCP, including but not limited to a revised WPS; additional welders; changes in NDT firms, QC, or NDT personnel or procedures; or updated systems for tracking and identifying welds. The Engineer shall have 7

days to complete the review of the amended WQCP or addendum. Work affected by the proposed revisions shall not be performed until the amended WQCP or addendum has been approved.

After final approval of the WQCP, amended WQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of the approved documents. A copy of the Engineer approved document shall be available at each location where welding is to be performed.

All welding will require inspection by the Engineer. The Contractor shall request inspection at least 3 business days prior to the beginning of welding for locations within California and 5 business days for locations outside of California. The Contractor shall request inspection at:

<http://www.dot.ca.gov/hq/esc/Translab/OSM/smbforms.htm>

Continuous inspection shall be provided when any welding is being performed. Continuous inspection, as a minimum, shall include having a QC Inspector within such close proximity of all welders or welding operators so that inspections by the QC Inspector of each welding operation at each welding location does not lapse for a period exceeding 30 minutes.

A daily production log for welding shall be kept for each day that welding is performed. The log shall clearly indicate the locations of all welding. The log shall include the welders' names, amount of welding performed, any problems or deficiencies discovered, and any testing or repair work performed, at each location. The daily report from each QC Inspector shall also be included in the log.

The following items shall be included in a Welding Report that is to be submitted to the Engineer within 15 days following the performance of any welding:

- A. A daily production log.
- B. Reports of all visual weld inspections and NDT.
- C. Radiographs and radiographic reports, and other required NDT reports.
- D. A summary of welding and NDT activities that occurred during the reporting period.
- E. Reports of each application of heat straightening.
- F. A summarized log listing the rejected lengths of weld by welder, position, process, joint configuration, and piece number.
- G. Documentation that the Contractor has evaluated all radiographs and other nondestructive tests and corrected all rejectable deficiencies, and that all repaired welds have been reexamined using the required NDT and found acceptable.

The following information shall be clearly written on the outside of radiographic envelopes: name of the QCM, name of the nondestructive testing firm, name of the radiographer, date, contract number, complete part description, and all included weld numbers, report numbers, and station markers or views, as detailed in the WQCP. In addition, all interleaves shall have clearly written on them the part description and all included weld numbers and station markers or views, as detailed in the WQCP. A maximum of 2 pieces of film shall be used for each interleave.

Reports of all visual inspections and NDT shall be signed by the inspector or technician and submitted daily to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures. Reports of all NDT, whether specified, additional, or informational, performed by the Contractor shall be submitted to the Engineer.

The Engineer will review the Welding Report to determine if the Contractor is in conformance with the WQCP. Except for field welded steel pipe piling, the Engineer shall be allowed 15 days to review the report and respond in writing after the complete Welding Report has been received. Prior to receiving notification from the Engineer of the Contractor's conformance with the WQCP, the Contractor may encase in concrete or cover welds for which the Welding Report has been submitted. However, should the Contractor elect to encase or cover those welds prior to receiving notification from the Engineer, it is expressly understood that the Contractor shall not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection.

For field welded steel pipe piling, including bar reinforcement in the piling, the Contractor shall allow the Engineer 2 business days to review the Welding Report and respond in writing after the required items have been received. No field welded steel pipe piling shall be installed, and no reinforcement in the piling shall be encased in concrete until the Engineer has approved the above requirements in writing.

In addition to the requirements in AWS D1.1 and AWS D1.5, third-time excavations of welds or base metal to repair unacceptable discontinuities, regardless of NDT method, and all repairs of cracks require prior approval of the Engineer.

The Engineer shall be notified immediately in writing when welding problems, deficiencies, base metal repairs, or any other type of repairs not submitted in the WQCP are discovered, and also of the proposed repair procedures to

correct them. For requests to perform third-time excavations or repairs of cracks, the Contractor shall include an engineering evaluation of the proposed repair. The engineering evaluation, at a minimum, shall address the following:

- A. What is causing each defect?
- B. Why the repair will not degrade the material properties?
- C. What steps are being taken to prevent similar defects from happening again?

The Contractor shall allow the Engineer 7 days to review these procedures. No remedial work shall begin until the repair procedures are approved in writing by the Engineer.

Clause 6.5.4 of AWS D1.5 is replaced with the following:

The QC Inspector shall inspect and approve each joint preparation, assembly practice, welding technique, joint fit-up, and the performance of each welder, welding operator, and tack welder to make certain that the applicable requirements of this code and the approved Welding Procedure Specification (WPS) are met. The QC Inspector shall examine the work to make certain that it meets the requirements of Clauses 3 and 6.26. The size and contour of all welds shall be measured using suitable gages. Visual inspection for cracks in welds and base metal, and for other discontinuities shall be aided by strong light, magnifiers, or such other devices as may be helpful. Acceptance criteria different from those specified in this code may be used when approved by the Engineer.

In addition to the requirements of AWS D1.5, Clause 5.12 or 5.13, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

- A. Unless considered prequalified, fillet welds shall be qualified in each position. The fillet weld soundness test shall be conducted using the essential variables of the WPS as established by the Procedure Qualification Record (PQR).
- B. For qualification of joints that do not conform to Figures 2.4 and 2.5 of AWS D1.5, a minimum of 2 WPS qualification tests are required. The tests shall be conducted using both Figure 5.1 and Figure 5.3. The test conforming to Figure 5.1 shall be conducted in conformance with AWS D1.5, Clause 5.12 or 5.13. The test conforming to Figure 5.3 shall be conducted using the welding electrical parameters that were established for the test conducted conforming to Figure 5.1. The ranges of welding electrical parameters established during welding per Figure 5.1 in conformance with AWS D1.5, Clause 5.12, shall be further restricted according to the limits in Table 5.3 during welding per Figure 5.3.
- C. Multiple zones within a weld joint may be qualified. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.5 Clause 5.13 shall be consistent for each pass in a weld joint, and shall in no case vary by more than  $\pm 10$  percent for travel speed,  $\pm 10$  percent for amperage, and  $\pm 7$  percent for voltage as measured from a predetermined target value or average within each weld pass or zone. The travel speed shall in no case vary by more than  $\pm 15$  percent when using submerged arc welding.
- D. For a WPS qualified in conformance with AWS D1.5 Clause 5.13, the values to be used for calculating ranges for current and voltage shall be based on the average of all weld passes made in the test. Heat input shall be calculated using the average of current and voltage of all weld passes made in the test for a WPS qualified in conformance with Clause 5.12 or 5.13.
- E. Macroetch tests are required for WPS qualification tests, and acceptance shall be per AWS D1.5 Clause 5.19.3.
- F. When a nonstandard weld joint is to be made using a combination of WPSs, a test conforming to Figure 5.3 may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 5.3.
- G. Prior to preparing mechanical test specimens, the PQR welds shall be inspected by visual and radiographic tests. Backing bar shall be 3 inches in width and shall remain in place during NDT testing. Results of the visual and radiographic tests shall comply with AWS D1.5 Clause 6.26.2, excluding Clause 6.26.2.2. Test plates that do not comply with both tests shall not be used.

## **PAYMENT**

Full compensation for conforming to the requirements of "Welding" shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

**SECTION 9. (BLANK)**

**SECTION 10. CONSTRUCTION DETAILS**

**SECTION 10-1. GENERAL**

**10-1.01 ORDER OF WORK**

Order of work shall conform to the provisions in Section 5-1.05, "Order of Work," of the Standard Specifications and these special provisions.

Prior to performing any concrete work, the Contractor must have temporary concrete washout(s) in place.

**10-1.02 WATER POLLUTION CONTROL**

**GENERAL**

**Summary**

This work includes developing and implementing a water pollution control program (WPCP) for projects where soil disturbance from work activities will be one of the following:

1. Less than 1 acre
2. Less than 5 acres if the project has an Environmental Protection Agency Small Construction Project Erosivity Waiver referred to herein as "Erosivity Waiver"

Information on forms, reports, and other documents can be found in the following Department manuals:

1. Field Guide for Construction Site Dewatering
2. Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual
3. Construction Site Best Management Practices (BMP) Manual

For the above-referenced manuals, go to the Department's Web site for the Division of Construction, Storm Water and Water Pollution Control Information or the Department's Publication Distribution Unit.

Do not start job site activities until:

1. The WPCP is approved.

If you operate a Contractor-support facility, protect stormwater systems and receiving waters from the discharge of potential pollutants by using water pollution control practices.

Contractor-support facilities include:

1. Staging areas
2. Storage yards for equipment and materials
3. Mobile operations
4. Batch plants for PCC and HMA
5. Crushing plants for rock and aggregate
6. Other facilities installed for your convenience, such as haul roads

Discharges from manufacturing facilities, such as batch plants and crushing plants, must comply with the general waste discharge requirements for Order No. 97-03-DWQ, NPDES General Permit No. CAS000001, issued by the State Water Resources Control Board (SWRCB) for "Discharge of Storm Water Associated with Industrial Activities Excluding Construction Activities" and referred to herein as "General Industrial Permit." For the General Industrial Permit, go to the Web site for the SWRCB.

If you operate a batch plant to manufacture PCC, HMA, or other material or a crushing plant to produce rock or aggregate, obtain coverage under the General Industrial Permit. You must be covered under the General Industrial Permit for batch plants and crushing plants located:

1. Outside of the job site
2. Within the job site that serve 1 or more contracts

If you obtain or dispose of material at a noncommercially operated borrow or disposal site, prevent water pollution due to erosion at the site during and after completion of your activities. Upon completion of your work, leave the site in a condition such that water will not collect or stand therein.

The Department does not pay for water pollution control practices at Contractor-support facilities and noncommercially operated borrow or disposal sites.

### **Definitions**

**active area:** Area where soil-disturbing work activities have occurred at least once within 15 days.

**construction phase:** Includes (1) highway construction phase for building roads and structures, (2) plant establishment and maintenance phase for placing vegetation for final stabilization, and (3) suspension phase for suspension of work activities or winter shutdown. The construction phase continues from the start of work activities to contract acceptance.

**inactive area:** Area where soil-disturbing work activities have not occurred within 15 days.

**qualifying rain event:** Storm that produces at least 0.5 inch of precipitation with a 48-hour or greater period between rain events.

**storm event:** Storm that produces or is forecasted to produce at least 0.10 inch of precipitation within a 24-hour period.

### **Submittals**

#### **General**

Within 7 days after Contract approval, submit 2 copies of your WPCP for review. Allow 5 business days for review.

After the Engineer authorizes the WPCP, submit an electronic copy and 3 printed copies of the authorized WPCP.

If the RWQCB requires review of the authorized WPCP, the Engineer submits the authorized WPCP to the RWQCB for its review and comment. If the Engineer orders changes to the WPCP based on the RWQCB's comments, amend the WPCP within 3 business days.

A qualified SWPPP practitioner (QSP) or qualified SWPPP developer (QSD) must prepare the WPCP.

The WPCP must comply with the Department's Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Plan (WPCP) Preparation Manual and must:

1. Show the location of disturbed soil areas, water bodies, and water conveyances
2. Describe the work involved in the installation, maintenance, repair, and removal of temporary water pollution control practices
3. Show the locations and types of water pollution control practices that will be used for:
  - 3.1. Stormwater and nonstormwater in areas outside the job site but related to work activities, including:
    - 3.1.1. Staging areas
    - 3.1.2. Storage yards
    - 3.1.3. Access roads
  - 3.2. Activities or mobile activities related to all NPDES permits
  - 3.3. Contractor-support facilities
4. Show the locations and types of temporary water pollution control practices that will be used in the work for each construction phase
5. Show the locations and types of water pollution control practices that will be installed permanently under the contract
6. Include a schedule showing when:
  - 6.1. Work activities will be performed that could cause the discharge of pollutants into stormwater
  - 6.2. Water pollution control practices associated with each construction phase will be implemented
  - 6.3. Soil stabilization and sediment control practices for disturbed soil areas will be implemented
7. Include a copy of permits obtained by the Department, including Fish & Game permits, US Army Corps of Engineers permits, RWQCB 401 certifications, aerially deposited lead variance from the Department of

Toxic Substance Control, aerially deposited lead variance notification, and RWQCB waste discharge requirements for aerially deposited lead reuse

Amend the WPCP whenever:

1. Changes in work activities could affect the discharge of pollutants
2. Water pollution control practices are added by Contract Change Order
3. Water pollution control practices are added at your discretion
4. Changes in the quantity of disturbed soil are substantial
5. Objectives for reducing or eliminating pollutants in stormwater discharges have not been achieved
6. Project receives a written notice or order from the RWQCB or any other regulatory agency

Allow the same review time for amendments to the WPCP as for the original WPCP.

#### **Information After Storm Event**

Within 48 hours after the conclusion of a storm event resulting in a discharge, after a nonstormwater discharge, or after receiving a written notice or an order from the RWQCB or another regulatory agency, the WPC manager must submit the following information:

1. Date, time, location, and nature of the activity and the cause of the notice or order
2. Type and quantity of discharge
3. Water pollution control practices in use before the discharge or before receiving the notice or order
4. Description of water pollution control practices and corrective actions taken to manage the discharge or cause of the notice

#### **Training Records**

Submit water pollution control training records for all employees and subcontractors who will be working at the job site. Include the training subjects, training dates, ongoing training, and tailgate meetings with your submittal. Submit records for:

1. Existing employees within 5 business days of obtaining WPCP approval
2. New employees within 5 business days of receiving the training
3. A subcontractor's employees at least 5 business days before the subcontractor starts work

#### **Contractor-support Facility**

At least 5 business days before operating any Contractor-support facility, submit:

1. A plan showing the location and quantity of water pollution control practices associated with the Contractor-support facility
2. A copy of the notice of intent approved by the RWQCB and the WPCP approved by the RWQCB if you will be operating a batch plant or a crushing plant under the General Industrial Permit

#### **Quality Control and Assurance**

##### **Training**

Employees must receive initial water pollution control training before starting work at the job site.

For your project managers, supervisory personnel, subcontractors, and employees involved in water pollution control work:

1. Provide stormwater training in the following subjects:
  - 1.1. Water pollution control rules and regulations
  - 1.2. Implementation and maintenance for:
    - 1.2.1. Temporary soil stabilization
    - 1.2.2. Temporary sediment control
    - 1.2.3. Tracking control
    - 1.2.4. Wind erosion control
    - 1.2.5. Material pollution prevention and control

- 1.2.6. Waste management
- 1.2.7. Nonstormwater management

- 2. Conduct weekly training meetings covering:
  - 2.1. Deficiencies and corrective actions for water pollution control practices
  - 2.2. Water pollution control practices required for work activities during the week
  - 2.3. Spill prevention and control
  - 2.4. Material delivery, storage, usage, and disposal
  - 2.5. Waste management
  - 2.6. Nonstormwater management procedures

**Water Pollution Control Manager**

**General**

The water pollution control (WPC) manager must be a QSP. Assign 1 WPC manager to implement the WPCP. You may assign a QSP other than the WPC manager to develop the WPCP.

**Qualifications**

A QSP must:

- 1. Have completed stormwater management training described in the Department's Web site for the Division of Construction, Storm Water and Water Pollution Control Information
- 2. Be certified for at least one of the following:
  - 2.1. Certified Erosion, Sediment and Storm Water Inspector (CESSWI)<sup>TM</sup> registered through Enviro Cert International, Inc.
  - 2.2. Certified Inspector of Sediment and Erosion Control (CISEC) registered through CISEC, Inc.
- 3. Have completed SWRCB approved QSP training and passed the QSP exam

A QSD must:

- 1. Have completed stormwater management training described in the Department's Web site for the Division of Construction, Storm Water and Water Pollution Control Information
- 2. Be registered or certified for at least one of the following:
  - 2.1. California registered civil engineer
  - 2.2. California registered professional geologist or engineering geologist
  - 2.3. California licensed landscape architect
  - 2.4. Professional hydrologist registered through the American Institute of Hydrology
  - 2.5. Certified Professional in Erosion and Sediment Control (CPESC)<sup>TM</sup> registered through Enviro Cert International, Inc.
  - 2.6. Certified Professional in Storm Water Quality (CPSWQ)<sup>TM</sup> registered through Enviro Cert International, Inc.
  - 2.7. Professional in erosion and sediment control registered through the National Institute for Certification in Engineering Technologies (NICET)
- 3. Have completed SWRCB approved QSD training and passed the QSD exam

**Responsibilities**

The WPC manager must:

- 1. Be responsible for water pollution control work
- 2. Be the primary contact for water pollution control work
- 3. Oversee:
  - 3.1. Maintenance of water pollution control practices
  - 3.2. Inspections of water pollution control practices identified in the WPCP

3.3. Inspections and reports for visual monitoring

3.4. BMP status reports

4. Oversee and enforce hazardous waste management practices including spill prevention and control measures
5. Have authority to mobilize crews to make immediate repairs to water pollution control practices
6. Ensure that all employees have current water pollution control training
7. Implement the approved WPCP
8. Amend the WPCP if required
9. Be at the job site within 2 hours of being contacted
10. Have the authority to stop construction activities damaging water pollution control practices or causing water pollution

## **MATERIALS**

Not Used

## **CONSTRUCTION**

### **General**

Manage work activities in a way that reduces the discharge of pollutants to surface waters, groundwater, and separate municipal storm sewer systems.

Continue WPCP implementation during any suspension of work activities.

You are responsible for delays and you must pay all costs associated with submitting a SWPPP due to your actions that result in one of the following:

1. 1 or more acres of soil disturbance on projects without an Erosivity Waiver
2. More than 5 acres of soil disturbance on projects with an Erosivity Waiver
3. Failure to comply with the schedule for soil disturbing activities for projects with an Erosivity Waiver if the delays void the Erosivity Waiver

Install facilities and devices used for water pollution control practices before performing work activities. Install soil stabilization materials for water pollution control practices in all inactive areas or before storm events.

Repair or replace water pollution control practices within 24 hours of discovering any damage, unless a longer period is authorized.

The Department does not pay for the cleanup, repair, removal, disposal, or replacement of water pollution control practices due to improper installation or your negligence.

You may request changes to the water pollution control work or the Engineer may order changes to water pollution control work. Changes may include additional or new water pollution control practices. Additional water pollution control work is paid for as extra work under Section 4-1.03D, "Extra Work," of the Standard Specifications.

Retain a printed copy of the approved WPCP at the job site.

### **Monitoring**

Monitor and inspect water pollution control practices at the job site.

Notify the Engineer within 6 hours whenever any of the following occurs:

1. You identify discharges into receiving waters or drainage systems that are causing or could cause water pollution
2. You receive a written notice or order for the project from the RWQCB or any other regulatory agency

Monitor the National Weather Service's forecast on a daily basis. For the National Weather Service's forecast, go to the Web site for the National Weather Service.

### **Inspections**

Use the Stormwater Site Inspection Report form for documenting site inspections.

The WPC manager must oversee:

1. Inspections of water pollution control practices identified in WPCP:

- 1.1. Before a forecasted storm event
  - 1.2. After a qualifying rain event that produces site runoff
  - 1.3. At 24-hour intervals during extended storm events
  - 1.4. On a predetermined schedule of at least once a week
2. Daily inspections of:
- 2.1. Storage areas for hazardous materials and waste
  - 2.2. Hazardous waste disposal and transporting activities
  - 2.3. Hazardous material delivery and storage activities
3. Inspections of:
- 3.1. Vehicle and equipment cleaning facilities:
    - 3.1.1. Daily if vehicle and equipment cleaning occurs daily
    - 3.1.2. Weekly if vehicle and equipment cleaning does not occur daily
  - 3.2. Vehicle and equipment maintenance and fueling areas:
    - 3.2.1. Daily if vehicle and equipment maintenance and fueling occurs daily
    - 3.2.2. Weekly if vehicle and equipment maintenance and fueling does not occur daily
  - 3.3. Vehicles and equipment at the job site for leaks and spills on a daily schedule. Verify that operators are inspecting vehicles and equipment each day of use.
  - 3.4. Demolition sites within 50 feet of storm drain systems and receiving waters daily.
  - 3.5. Pile driving areas for leaks and spills:
    - 3.5.1. Daily if pile driving occurs daily
    - 3.5.2. Weekly if pile driving does not occur daily
  - 3.6. Temporary concrete washouts:
    - 3.6.1. Daily if concrete work occurs daily
    - 3.6.2. Weekly if concrete work does not occur daily
  - 3.7. Paved roads at job site access points for street sweeping:
    - 3.7.1. Daily if earthwork and other sediment or debris-generating activities occur daily
    - 3.7.2. Weekly if earthwork and other sediment or debris-generating activities do not occur daily
    - 3.7.3. Within 24 hours of precipitation forecasted by the National Weather Service
  - 3.8. Dewatering work:
    - 3.8.1. Daily if dewatering work occurs daily
    - 3.8.2. Weekly if dewatering work does not occur daily
  - 3.9. Temporary active treatment system:
    - 3.9.1. Daily if temporary active treatment system activities occur daily
    - 3.9.2. Weekly if temporary active treatment system activities do not occur daily
  - 3.10. Work over water:
    - 3.10.1. Daily if work over water occurs daily
    - 3.10.2. Weekly if work over water does not occur daily

## **Deficiencies**

Whenever you or the Engineer identify a deficiency in the implementation of the approved WPCP, correct the deficiency:

1. Immediately, unless a later date is authorized
2. Before precipitation occurs

The Department may correct the deficiency and deduct the cost of correcting the deficiency from payment if you fail to correct the deficiency by the agreed date or before the onset of precipitation.

## **MEASUREMENT AND PAYMENT**

The contract lump sum price paid for prepare water pollution control program includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in developing and implementing a WPCP, including providing a WPC manager, conducting water pollution control training, and monitoring, inspecting and correcting water pollution control practices, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The Department pays you for prepare water pollution control program as follows:

1. A total of 75 percent of the item total upon approval of the WPCP
2. A total of 100 percent of the item total upon contract acceptance

## **10-1.03 CONSTRUCTION SITE MANAGEMENT**

### **GENERAL**

#### **Summary**

This work includes preventing and controlling spills, dewatering activities, material management, waste management, and nonstormwater management.

Implement effective handling, storage, usage, and disposal practices to control material pollution and manage waste and nonstormwater at the job site before they come in contact with storm drain systems and receiving waters.

The following abbreviations are used in this special provision:

DTSC: Department of Toxic Substance Control.

ELAP: Environmental Laboratory Accreditation Program.

WPC: Water Pollution Control.

#### **Submittals**

Before you start dewatering, submit a dewatering and discharge work plan under Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications and "Water Pollution Control" of these special provisions. The dewatering and discharge work plan must include:

1. Title sheet and table of contents
2. Description of dewatering and discharge activities detailing locations, quantity of water, equipment, and discharge point
3. Estimated schedule for dewatering and discharge start and end dates of intermittent and continuous activities
4. Discharge alternatives, such as dust control or percolation
5. Visual monitoring procedures with inspection log
6. Copy of written approval to discharge into a sanitary sewer system at least 5 business days before starting discharge activities

Submit the following:

1. Material Safety Data Sheet at least 5 business days before material is used or stored
2. Monthly inventory records for material used or stored

Submit written approval from the local health agency, city, county, and sewer district before discharging from a sanitary or septic system directly into a sanitary sewer system.

## **MATERIALS**

Not Used

## **CONSTRUCTION**

### **Spill Prevention and Control**

#### **General**

Keep material or waste storage areas clean, well organized, and equipped with enough cleanup supplies for the material being stored.

Implement spill and leak prevention procedures for chemicals and hazardous substances stored on the job site. Whenever you spill or leak chemicals or hazardous substances at the job site, you are responsible for all associated cleanup costs and related liability.

Report minor, semi-significant, and significant or hazardous spills to the WPC manager. The WPC manager must notify the Engineer immediately.

As soon as it is safe, contain and clean up spills of petroleum materials and sanitary and septic waste substances listed under 40 CFR, Parts 110, 117, and 302.

#### **Minor Spills**

Minor spills consist of quantities of oil, gasoline, paint, or other materials that are small enough to be controlled by a 1st responder upon discovery of the spill.

Clean up a minor spill using the following procedures:

1. Contain the spread of the spill
2. Recover the spilled material using absorption
3. Clean the contaminated area
4. Dispose of the contaminated material and absorbents promptly and properly under "Waste Management" of these special provisions

#### **Semi-Significant Spills**

Semi-significant spills consist of spills that can be controlled by a 1st responder with help from other personnel. Clean up a semi-significant spill immediately using the following procedures:

1. Contain the spread of the spill.
2. On paved or impervious surfaces, encircle and recover the spilled material with absorbent materials. Do not allow the spill to spread widely.
3. If the spill occurs on soil, contain the spill by constructing an earthen dike and dig up the contaminated soil for disposal.
4. If the spill occurs during precipitation, cover the spill with 10-mil plastic sheeting or other material to prevent contamination of runoff.
5. Dispose of the contaminated material promptly and properly under "Waste Management" of these special provisions.

#### **Significant or Hazardous Spills**

Significant or hazardous spills consist of spills that cannot be controlled by job site personnel. Immediately notify qualified personnel of a significant or hazardous spill. Take the following steps:

1. Do not attempt to clean up the spill until qualified personnel have arrived
2. Notify the Engineer and follow up with a report
3. Obtain the immediate services of a spill contractor or hazardous material team
4. Notify local emergency response teams by dialing 911 and county officials by using the emergency phone numbers retained at the job site
5. Notify the California Emergency Management Agency State Warning Center at (916) 845-8911
6. Notify the National Response Center at (800) 424-8802 regarding spills of Federal reportable quantities under 40 CFR 110, 119, and 302
7. Notify other agencies as appropriate, including:
  - 7.1. Fire Department
  - 7.2. Public Works Department

- 7.3. Coast Guard
- 7.4. Highway Patrol
- 7.5. City Police or County Sheriff's Department
- 7.6. Department of Toxic Substances
- 7.7. California Division of Oil and Gas
- 7.8. Cal/OSHA
- 7.9. Regional Water Resources Control Board

Prevent a spill from entering stormwater runoff before and during cleanup activities. Do not bury or wash the spill with water.

## **Material Management**

### **General**

Minimize or eliminate discharge of material into the air, storm drain systems, and receiving waters while taking delivery of, using, or storing the following materials:

1. Hazardous chemicals, including acids, lime, glues, adhesives, paints, solvents, and curing compounds
2. Soil stabilizers and binders
3. Fertilizers
4. Detergents
5. Plaster
6. Petroleum materials, including fuel, oil, and grease
7. Asphalt and concrete components
8. Pesticides and herbicides

Employees trained in emergency spill cleanup procedures must be present during the unloading of hazardous materials or chemicals.

Use less hazardous materials if practicable.

The following activities must be performed at least 100 feet from concentrated flows of stormwater, drainage courses, and inlets if within the floodplain and at least 50 feet if outside the floodplain, unless otherwise approved by the Engineer:

1. Stockpiling materials
2. Storing pile-driving equipment and liquid waste containers
3. Washing vehicles and equipment in outside areas
4. Fueling and maintaining vehicles and equipment

### **Material Storage**

If materials are stored:

1. Store liquids, petroleum materials, and substances listed in 40 CFR 110, 117, and 302 and place them in secondary containment facilities as specified by US DOT for storage of hazardous materials.
2. Secondary containment facilities must be impervious to the materials stored there for a minimum contact time of 72 hours.
3. Cover secondary containment facilities during non-working days and whenever precipitation is forecasted. Secondary containment facilities must be adequately ventilated.
4. Keep secondary containment facilities free of accumulated rainwater or spills. After precipitation, or in the event of spills or leaks, collect accumulated liquid and place it into drums within 24 hours. Handle the liquid as hazardous waste under "Waste Management" of these special provisions unless testing confirms that the liquid is nonhazardous.
5. Do not store incompatible materials, such as chlorine and ammonia, in the same secondary containment facility.
6. Store materials in their original containers with the original material labels maintained in legible condition. Immediately replace damaged or illegible labels.
7. Secondary containment facilities must have the capacity to contain precipitation from a 24-hour-long, 25-year storm, plus 10 percent of the aggregate volume of all containers or the entire volume of the largest container within the facility, whichever is greater.

8. Store bagged or boxed material on pallets. Protect bagged or boxed material from wind and rain during non-working days and whenever precipitation is forecasted.
9. Provide sufficient separation between stored containers to allow for spill cleanup or emergency response access. Storage areas must be kept clean, well organized, and equipped with cleanup supplies appropriate for the materials being stored.
10. Repair or replace perimeter controls, containment structures, covers, and liners as necessary. Inspect storage areas before and after precipitation and at least weekly during other times.

### **Stockpile Management**

Minimize stockpiling of materials at the job site.

If stockpiles are being used, do not allow soil, sediment, or other debris to enter storm drains, open drainages, and watercourses.

Manage stockpiles by implementing water pollution control practices on:

1. Active stockpiles before a forecasted storm event
2. Inactive stockpiles according to the WPCP or SWPPP schedule

Active and inactive soil stockpiles must be:

1. Covered with soil stabilization material or a temporary cover
2. Surrounded with a linear sediment barrier

Stockpiles of asphalt concrete and PCC rubble, HMA, aggregate base, or aggregate subbase must be:

1. Covered with a temporary cover
2. Surrounded with a linear sediment barrier

Stockpiles of pressure-treated wood must be:

1. Placed on pallets
2. Covered with impermeable material

Stockpiles of cold mix asphalt concrete must be:

1. Placed on an impervious surface
2. Covered with an impermeable material
3. Protected from stormwater run-on and runoff

Control wind erosion year round under Section 14-9.02, "Dust Control," of the Standard Specifications.

Repair or replace linear sediment barriers and covers as needed to keep them functioning properly. Whenever sediment accumulates to 1/3 of the linear sediment barrier height, remove the accumulated sediment.

### **Waste Management**

#### **Solid Waste**

Do not allow litter, trash, or debris to accumulate anywhere on the job site, including storm drain grates, trash racks, and ditch lines. Pick up and remove litter, trash, and debris from the job site at least once a week. The WPC manager must monitor solid waste storage and disposal procedures on the job site.

If practicable, recycle nonhazardous job site waste and excess material. If recycling is not practicable, dispose of it under Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way," of the Standard Specifications.

Furnish enough closed-lid dumpsters of sufficient size to contain the solid waste generated by work activities. When refuse reaches the fill line, empty the dumpsters. Dumpsters must be watertight. Do not wash out dumpsters at the job site. Furnish additional containers and pick up dumpsters more frequently during the demolition phase of construction.

Solid waste includes:

1. Brick
2. Mortar

3. Timber
4. Metal scraps
5. Sawdust
6. Pipe
7. Electrical cuttings
8. Nonhazardous equipment parts
9. Styrofoam and other packaging materials
10. Vegetative material and plant containers from highway planting
11. Litter and smoking material, including litter generated randomly by the public
12. Other trash and debris

Furnish and use trash receptacles in the job site yard, field trailers, and locations where workers gather for lunch and breaks.

### **Solid Waste Disposal and Recycling**

Solid Waste Disposal and Recycling Reports: Comply with reporting requirements in Section 14-10, "Solid Waste Disposal and Recycling," of the Standard Specifications.

### **Hazardous Waste and Contamination**

If hazardous waste is, or will be, generated on the job site, the WPC manager must be thoroughly familiar with proper hazardous waste handling and emergency procedures under 40 CFR § 262.34(d)(5)(iii) and must have successfully completed training under 22 CA Code of Regs § 66265.16.

The WPC manager must:

1. Oversee and enforce hazardous waste management practices
2. Inspect all hazardous waste storage areas daily, including all temporary containment facilities and satellite collection locations
3. Oversee all hazardous waste transportation activities on the job site

Submit a copy of uniform hazardous waste manifest forms to the Engineer within 24 hours of transporting hazardous waste.

Submit receiving landfill documentation of proper disposal to the Engineer within 5 business days of hazardous waste transport from the project.

### **Unanticipated Discovery of Asbestos and Hazardous Substances**

Upon discovery of asbestos or a hazardous substance, comply with Section 14-11.02 "Asbestos and Hazardous Substances," of the Standard Specifications.

### **Hazardous Waste Management Practices**

Handle, store, and dispose of hazardous waste under 22 CA Code of Regs Div 4.5.

Use the following storage procedures:

1. Store hazardous waste and potentially hazardous waste separately from nonhazardous waste at the job site.
2. For hazardous waste storage, use metal containers approved by the United States Department of Transportation for the transportation and temporary storage of hazardous waste.
3. Store hazardous waste in sealed, covered containers labeled with the contents and accumulation start date under 22 CA Code of Regs, Div 4.5. Labels must comply with the provisions of 22 CA Code of Regs, Div 4.5. § 66262.31 and § 66262.32. Immediately replace damaged or illegible labels.
4. Handle hazardous waste containers such that no spillage occurs.
5. Store hazardous waste away from storm drains, watercourses, moving vehicles, and equipment.
6. Furnish containers with adequate storage volume at convenient satellite locations for hazardous waste collection. Immediately move these containers to secure temporary containment facilities when no longer needed at the collection location or when full.
7. Store hazardous waste and potentially hazardous waste in secure temporary containment enclosures having secondary containment facilities impervious to the materials stored there for a minimum contact-time of 72 hours. Temporary containment enclosures must be located away from public access. Acceptable secure enclosures include a locked chain link fenced area or a lockable shipping container located within the project limits until disposal as authorized.

8. Design and construct secondary containment facilities with a capacity to contain precipitation from a 24-hour-long, 25-year storm; and 10 percent of the aggregate volume of all containers, or the entire volume of the largest container within the facility, whichever is greater.
9. Cover secondary containment facilities during non-working days and if a storm event is predicted. Secondary containment facilities must be adequately ventilated.
10. Keep secondary containment facility free of accumulated rainwater or spills. After a storm event, or in the event of spills or leaks, collect accumulated liquid and place into drums within 24 hours. Handle these liquids as hazardous waste unless testing determines them to be nonhazardous.
11. Do not store incompatible wastes, such as chlorine and ammonia, in the same secondary containment facility.
12. Provide sufficient separation between stored containers to allow for spill cleanup or emergency response access. Storage areas must be kept clean, well organized, and equipped with cleanup supplies appropriate for the wastes being stored.
13. Repair or replace perimeter controls, containment structures, covers, and liners as necessary. Inspect storage areas before and after a storm event, and at least weekly during other times.

Do not:

1. Overfill hazardous waste containers
2. Spill hazardous waste or potentially hazardous waste
3. Mix hazardous wastes
4. Allow hazardous waste or potentially hazardous waste to accumulate on the ground

Dispose of hazardous waste within 90 days of the start of generation. Use a hazardous waste manifest and a transporter registered with the DTSC and in compliance with the CA Highway Patrol Biennial Inspection of Terminals Program to transport hazardous waste to an appropriately permitted hazardous waste management facility.

#### **Dust Control for Hazardous Waste or Contamination**

Excavation, transportation, and handling of material containing hazardous waste or contamination must result in no visible dust migration. Have a water truck or tank on the job site at all times while clearing and grubbing and performing earthwork operations in work areas containing hazardous waste or contamination.

#### **Stockpiling of Hazardous Waste or Contamination**

Do not stockpile material containing hazardous waste or contamination unless ordered. Stockpiles of material containing hazardous waste or contamination must not be placed where affected by surface run-on or run-off. Cover stockpiles with 13 mils minimum thickness of plastic sheeting or 1 foot of nonhazardous material. Do not place stockpiles in environmentally sensitive areas. Stockpiled material must not enter storm drains, inlets, or waters of the State.

#### **Contractor-Generated Hazardous Waste**

You are the generator of hazardous waste generated as a result of materials you bring to the job site. Use hazardous waste management practices if you generate waste on the job site from the following substances:

1. Petroleum materials
2. Asphalt materials
3. Concrete curing compound
4. Pesticides
5. Acids
6. Paints
7. Stains
8. Solvents
9. Wood preservatives
10. Roofing tar
11. Road flares
12. Lime
13. Glues and adhesives
14. Materials classified as hazardous waste under 22 CA Code of Regs, Div 4.5

If hazardous waste constituent concentrations are unknown, use a laboratory certified by the ELAP under the California Department Of Public Health to analyze a minimum of 4 discrete representative samples of the waste to determine whether it is a hazardous waste and to determine safe and lawful methods for storage and disposal. Perform sampling and analysis in compliance with US EPA Test Methods for Evaluating Solid Waste, Physical/Chemical Methods (SW-846) and under 22 CA Code of Regs, Div 4.5.

Use your US EPA Generator Identification Number and sign hazardous waste manifests for the hazardous waste you generate.

Identify contaminated soil resulting from spills or leaks by noticing discoloration, or differences in soil properties. Immediately notify the Engineer of spills or leaks. Clean up spills and leaks under the Engineer's direction and to the satisfaction of the Engineer. Soil with evidence of contamination must be sampled and analysis performed by a laboratory certified by ELAP.

If sampling and analysis of contaminated soil demonstrates that it is a hazardous waste, handle and dispose of the soil as hazardous waste. You are the generator of hazardous waste created as the result of spills or leaks for which you are responsible.

Prevent the flow of water, including ground water, from mixing with contaminated soil by using one or a combination of the following measures:

1. Berms
2. Cofferdams
3. Grout curtains
4. Freeze walls
5. Concrete seal course

If water mixes with contaminated soil and becomes contaminated, sample and analyze the water using a laboratory certified by the ELAP. If analysis results demonstrate that the water is a hazardous waste, manage and dispose of the water as hazardous waste.

#### **Department-Generated Hazardous Waste**

If the Department is the generator of hazardous waste during the work performed on this project, use hazardous waste management practices.

Labels must comply with the provisions of 22 CA Code of Regs § 66262.31 and § 66262.32. Mark labels with:

1. Date the hazardous waste is generated
2. The words "Hazardous Waste"
3. Composition and physical state of the hazardous waste (for example, asphalt grindings with thermoplastic or paint)
4. The word "Toxic"
5. Name, address, and telephone number of the Engineer
6. Contract number
7. Contractor or subcontractor name

Handle the containers such that no spillage occurs. You are the generator of any hazardous waste generated as the result of cleanup of spillage.

#### **Hazardous Waste Transport and Disposal**

Dispose of hazardous waste within California at a disposal site operating under a permit issued by the DTSC.

The Engineer will obtain the US EPA Generator Identification Number for hazardous waste disposal.

The Engineer will sign all hazardous waste manifests. Notify the Engineer 5 business days before the manifests are to be signed.

The Department will not consider you a generator of the hazardous waste and you will not be obligated for further cleanup, removal, or remedial action for such material handled or disposed of under these specifications and the appropriate State and federal laws and regulations and county and municipal ordinances and regulations regarding hazardous waste.

#### **Paint Waste**

Clean water-based and oil-based paint from brushes or equipment within a contained area in a way that does not contaminate soil, receiving waters, or storm drain systems. Handle and dispose of the following as hazardous waste

under "Waste Management" of these special provisions: paints, thinners, solvents, residues, and sludges that cannot be recycled or reused. When thoroughly dry, dispose of the following as solid waste: dry latex paint, paint cans, used brushes, rags, absorbent materials, and drop cloths.

### **Concrete Waste**

Use practices to prevent the discharge of asphalt concrete, PCC, and HMA waste into storm drain systems and receiving waters.

Collect and dispose of asphalt concrete, PCC, and HMA waste at locations where:

1. Concrete material, including grout, is used
2. Concrete dust and debris result from demolition
3. Sawcutting, coring, grinding, grooving, or hydro-concrete demolition creates a residue or slurry
4. Concrete trucks or other concrete-coated equipment is cleaned at the job site

### **Sanitary and Septic Waste**

Do not bury or discharge wastewater from a sanitary or septic system within the highway. A sanitary facility discharging into a sanitary sewer system must be properly connected and free from leaks. Place a portable sanitary facility at least 50 feet away from storm drains, receiving waters, and flow lines.

Comply with local health agency provisions if using an on-site disposal system.

### **Liquid Waste**

Use practices that will prevent job-site liquid waste from entering storm drain systems and receiving waters. Liquid waste include the following:

1. Drilling slurries or fluids
2. Grease-free and oil-free wastewater and rinse water
3. Dredgings, including liquid waste from cleaning drainage systems
4. Liquid waste running off a surface, including wash or rinse water
5. Other nonstormwater liquids not covered by separate permits

Hold liquid waste in structurally sound, leak-proof containers, such as roll-off bins or portable tanks.

Liquid waste containers must be of sufficient quantity and volume to prevent overflow, spills, and leaks.

Store containers at least 50 feet from moving vehicles and equipment.

Remove and dispose of deposited solids from sediment traps unless the Engineer approves another method.

Liquid waste may require testing to determine hazardous material content before disposal.

Dispose of drilling fluids and residue.

If a location approved by the Engineer is available within the job site, fluids and residue exempt under 23 CA Code of Regs § 2511(g) may be dried by evaporation in a leak-proof container. Dispose of the remaining as solid waste.

### **Nonstormwater Management**

#### **General**

Manage water used for work activities in a way that will prevent erosion and the discharge of pollutants into storm drain systems and receiving waters. Obtain authorization before washing anything at the job site with water that could discharge into a storm drain system or receiving waters. Report discharges immediately.

Do not wash paved areas with water.

Direct runoff water, including water from water line repair, from the job site to areas where it can infiltrate into the ground. Do not allow runoff water to enter storm drain systems and receiving waters. Do not allow spilled water to escape filling areas for water trucks. Direct water from off-site sources around the job site if practicable. Minimize the contact of off-site water with job site water.

#### **Illegal Connection and Discharge Detection and Reporting**

Before starting work, inspect the job site and the job site's perimeter for evidence of illicit connections, illegal discharges, and dumping. After starting work, inspect the job site and perimeter on a daily schedule for illicit connections and illegal dumping and discharges.

Whenever illegal connections, discharges, or dumping are discovered, notify the Engineer immediately. Do not take further action unless ordered. Assume that unlabeled or unidentifiable material is hazardous.

Look for the following evidence of illicit connections, illegal discharges, and dumping:

1. Debris or trash piles
2. Staining or discoloration on pavement or soils
3. Pungent odors coming from drainage systems
4. Discoloration or oily sheen on water
5. Stains and residue in ditches, channels, or drain boxes
6. Abnormal water flow during dry weather
7. Excessive sediment deposits
8. Nonstandard drainage junction structures
9. Broken concrete or other disturbances at or near junction structures

### **Vehicle and Equipment Cleaning**

Limit vehicle and equipment cleaning or washing at the job site to that needed for safety and protection of the equipment and compliance with permits, licenses, agreements, certifications, (PLCAs) or any combination of these. Notify the Engineer before cleaning vehicles and equipment at the job site with soap, solvents, or steam. Contain and recycle or dispose of resulting waste under "Waste Management" of these special provisions, whichever is applicable. Do not use diesel to clean vehicles or equipment. Minimize the use of solvents.

Clean or wash vehicles and equipment in a structure equipped with disposal facilities. You may wash vehicles in an outside area if the area is:

1. Paved with asphalt concrete, HMA, or PCC
2. Surrounded by a containment berm
3. Equipped with a sump to collect and dispose of wash water

Use as little water as practicable whenever washing vehicles and equipment with water. Hoses must be equipped with a positive shutoff valve.

Discharge liquid from wash racks to a recycling system or to another system approved by the Engineer. Remove liquids and sediment as necessary.

### **Vehicle and Equipment Fueling and Maintenance**

If practicable, perform maintenance on vehicles and equipment off-site.

If fueling or maintenance must be done at the job site, assign a site or sites, and obtain authorization before using them. Minimize mobile fueling and maintenance activities. Fueling and maintenance activities must be performed on level ground in areas protected from stormwater run-on and runoff.

Use containment berms or dikes around fueling and maintenance areas. Keep adequate quantities of absorbent spill-cleanup material and spill kits in the fueling or maintenance area and on fueling trucks. Dispose of spill-cleanup material and kits immediately after use under "Waste Management" of these special provisions. Use drip pans or absorbent pads during fueling or maintenance.

Do not leave fueling or maintenance areas unattended during fueling and maintenance activities. Fueling nozzles must be equipped with an automatic shutoff control. Nozzles must be equipped with vapor-recovery fueling nozzles where required by the Air Quality Management District. Secure nozzles in an upright position when not in use. Do not top off fuel tanks.

Recycle or properly dispose of used batteries and tires under "Waste Management" of these special provisions.

If leaks cannot be repaired immediately, remove the vehicle or equipment from the job site.

### **Material and Equipment Used Over Water**

Place drip pans and absorbent pads under vehicles and equipment used over water. Keep an adequate supply of spill-cleanup material with vehicles and equipment. Place drip pans or plastic sheeting under vehicles and equipment on docks, barges, or other surfaces over water whenever vehicles or equipment will be idle for more than 1 hour.

Furnish watertight curbs or toe boards on barges, platforms, docks, or other surfaces over water to contain material, debris, and tools. Secure material to prevent spills or discharge into the water due to wind.

Report discharges to receiving waters immediately upon discovery. Submit a discharge notification to the Engineer.

### **Structure Removal Over or Adjacent to Water**

Do not allow demolished material to enter storm drain systems and receiving waters. Use covers and platforms approved by the Engineer to collect debris. Use attachments on equipment to catch debris during small demolition activities. Empty debris-catching devices daily and handle debris under "Waste Management" of these special provisions.

### **Paving, Sealing, Sawcutting, Grooving, and Grinding Activities**

Prevent material from entering storm drain systems and receiving waters including:

1. Cementitious material
2. Asphaltic material
3. Aggregate or screenings
4. Sawcutting, grooving, and grinding residue
5. Pavement chunks
6. Shoulder backing
7. Methacrylate
8. Sandblasting residue

Cover drainage inlets and use linear sediment barriers to protect downhill receiving waters until paving, sealing, sawcutting, grooving, and grinding activities are completed and excess material has been removed. Cover drainage inlets and manholes during the application of seal coat, tack coat, slurry seal, or fog seal.

Whenever precipitation is forecasted, limit paving, sawcutting, and grinding to places where runoff can be captured.

Do not start seal coat, tack coat, slurry seal, or fog seal activities whenever precipitation is forecasted during the application and curing period. Do not excavate material from existing roadways during precipitation.

Use a vacuum to remove slurry immediately after slurry is produced. Do not allow the slurry to run onto lanes open to traffic or off the pavement.

Collect the residue from PCC grooving and grinding activities with a vacuum attachment on the grinding machine. Do not leave the residue on the pavement or allow the residue to flow across pavement.

You may stockpile material excavated from existing roadways under "Material Management" of these special provisions if approved by the Engineer.

Do not coat asphalt trucks and equipment with substances that contain soap, foaming agents, or toxic chemicals.

Park paving equipment over drip pans or plastic sheeting with absorbent material to catch drips if the paving equipment is not in use.

### **Thermoplastic Striping and Pavement Markers**

Do not preheat, transfer, or load thermoplastic within 50 feet of drainage inlets and receiving waters.

Do not unload, transfer, or load bituminous material for pavement markers within 50 feet of drainage inlets and receiving waters.

Collect and dispose of bituminous material from the roadway after removing markers under "Waste Management" of these special provisions.

### **Pile Driving**

Keep spill kits and cleanup materials at pile driving locations. Park pile driving equipment over drip pans, absorbent pads, or plastic sheeting with absorbent material. Protect pile driving equipment by parking on plywood and covering with plastic whenever precipitation is forecasted.

Store pile driving equipment on level ground and protect it from stormwater run-on when not in use. Use vegetable oil instead of hydraulic fluid if practicable.

### **Concrete Curing**

Do not overspray chemical curing compounds. Minimize the drift by spraying as close to the concrete as practicable. Do not allow runoff of curing compounds. Cover drainage inlets before applying the curing compound.

Minimize the use and discharge of water by using wet blankets or similar methods to maintain moisture when concrete is curing.

### **Concrete Finishing**

Collect and dispose of water and solid waste from high-pressure water blasting under "Waste Management" of these special provisions. Collect and dispose of sand and solid waste from sandblasting under "Waste Management" of these special provisions. Before sandblasting, cover drainage inlets within 50 feet of sandblasting. Minimize the drift of dust and blast material by keeping the nozzle close to the surface of the concrete. If the character of the blast residue is unknown, test it for hazardous materials and dispose of it properly.

Inspect containment structures for concrete finishing for damage before each day of use and before forecasted precipitation. Remove liquid and solid waste from containment structures after each work shift.

### **Sweeping**

Sweep by hand or mechanical methods, such as vacuuming. Do not use methods that use only mechanical kick brooms.

Sweep paved roads at construction entrance and exit locations and paved areas within the job site:

1. During clearing and grubbing activities
2. During earthwork activities
3. During trenching activities
4. During roadway structural-section activities
5. When vehicles are entering and leaving the job site
6. After soil-disturbing activities
7. After observing off-site tracking of material

Monitor paved areas and roadways within the project. Sweep within:

1. 1 hour whenever sediment or debris is observed during activities that require sweeping
2. 24 hours whenever sediment or debris is observed during activities that do not require sweeping

Remove collected material, including sediment, from paved shoulders, drain inlets, curbs and dikes, and other drainage areas. You may stockpile collected material at the job site under "Material Management" of these special provisions. If stockpiled, dispose of collected material at least once per week under "Waste Management" of these special provisions.

You may dispose of sediment within the job site collected during sweeping activities. Protect the disposal areas against erosion.

Keep dust to a minimum during street sweeping activities. Use water or a vacuum whenever dust generation is excessive or sediment pickup is ineffective.

Remove and dispose of trash collected during sweeping under "Waste Management" of these special provisions.

### **Dewatering**

Dewatering consists of discharging accumulated stormwater, groundwater, or surface water from excavations or temporary containment facilities.

Perform dewatering work as specified for the work items involved, such as temporary active treatment system or dewatering and discharge.

If dewatering and discharging activities are not specified under a work item and you perform dewatering activities:

1. Conduct dewatering activities under the Department's Field Guide for Construction Site Dewatering.
2. Ensure that any dewatering discharge does not cause erosion, scour, or sedimentary deposits that could impact natural bedding materials.
3. Discharge the water within the project limits. If the water cannot be discharged within project limits due to site constraints or contamination, dispose of the water as directed by the Engineer.
4. Do not discharge stormwater or nonstormwater that has an odor, discoloration other than sediment, an oily sheen, or foam on the surface. Notify the Engineer immediately upon discovering any such condition.

### **MEASUREMENT AND PAYMENT**

The contract lump sum price paid for construction site management includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in spill prevention and control, material management, waste management, nonstormwater management, and dewatering activities, including identifying, sampling, testing, handling, and disposing of hazardous waste resulting from your activities, as shown

on the plans, as specified in the Standard Specifications and these special provisions, and as ordered by the Engineer.

#### **10-1.04 TEMPORARY CONCRETE WASHOUTS**

##### **GENERAL**

###### **Summary**

This work includes the removal and disposal of concrete waste by furnishing, maintaining, and removing temporary concrete washouts. You may use any of the following systems for temporary concrete washouts:

1. Temporary concrete washout facility
2. Portable temporary concrete washout
3. Temporary concrete washout bin

The WPCP must describe and include the use of temporary concrete washouts as a water pollution control practice for waste management and materials pollution control.

###### **Submittals**

At least 5 business days before concrete activities start, submit:

1. Location of each concrete washout system to be used
2. Name and location of the off-site concrete waste disposal plant licensed to receive the solid concrete waste, liquid concrete waste, or both
3. Copy of the permit issued by the RWQCB for the off-site commercial disposal plant
4. Copy of the permit issued by the state or local agency having jurisdiction over the disposal plant if the disposal site is located outside of the State

Retain and submit records of disposed concrete waste.

Submit a certificate of compliance for:

1. Gravel-filled bag
2. Plastic liner

##### **MATERIALS**

###### **General**

The sign for a concrete washout must comply with section 12-3.06B, "Portable Signs," of the Standard Specifications except the sign panel may be plywood. The sign panel must be at least 2' x 4' in size. The sign legend must read "Concrete Washout" in 6-inch high black letters on a white background.

###### **Temporary Concrete Washout Facility**

A temporary concrete washout facility must be constructed to be a watertight container with enough capacity to contain all liquid and concrete waste generated by washout activities without seepage or spills.

Stakes may be either wood or metal and must comply with one of the following:

1. Wood stakes must be:
  - 1.1. Untreated fir, redwood, cedar, or pine and cut from sound timber
  - 1.2. Straight and free of loose or unsound knots and other defects which would render stakes unfit for use
  - 1.3. Pointed on the end to be driven into the ground
  - 1.4. At least 2" x 2" x 48" in size
2. Metal stakes must be at least 0.5-inch diameter and 48 inches long. Tops of metal stakes must be bent at a 90-degree angle or capped with an orange or red plastic safety cap that fits snugly to the metal stake.

Straw bales must comply with Section 20-2.06, "Straw," of the Standard Specifications and be:

1. At least 14 inches wide, 18 inches high, 36 inches long, and weigh at least 50 pounds.
2. Composed entirely of vegetative matter, except for binding material.

3. Bound by wire, nylon, or polypropylene string. Do not use jute or cotton binding. Baling wire must be minimum 16 gauge. Nylon or polypropylene string must be approximately 0.08-inch in diameter with 80 pounds of breaking strength.

Gravel-filled bag fabric must comply with Section 88-1.05, "Water Pollution Control," of the Standard Specifications.

Gravel for gravel-filled bags must be:

1. 3/8 to 3/4 inch in diameter
2. Clean and free of clay balls, organic matter, and other deleterious materials

Gravel-filled bag must:

1. Be made of gravel-filled bag fabric.
2. Have inside dimensions from 24 to 32 inches long, and from 16 to 20 inches wide.
3. Have bound opening to retain gravel. Opening must be sewn with yarn, bound with wire, or secured with a closure device.
4. Weigh from 30 to 50 pounds when filled with gravel.

The plastic liner for a temporary concrete washout facility must be:

1. Single ply, new polyethylene sheeting, without seams or overlapping joints
2. At least 10 mils thick
3. Free of holes, punctures, tears, or other defects

#### **Portable Temporary Concrete Washout**

A portable temporary concrete washout must be a commercially available, watertight container with enough capacity to contain all liquid and concrete waste generated by washout activities without seepage or spills and be:

1. At least 55 gallons in capacity.
2. Labeled for exclusive use as a concrete waste and washout facility. Stencil "Concrete Waste Material" in 3-inch high black letters on white background where the top of stenciling is 12 inches from the top of the container.

#### **Temporary Concrete Washout Bin**

A temporary concrete washout bin must be a commercially available, watertight container with enough capacity to contain all liquid and concrete waste generated by washout activities without seepage or spills and be:

1. At least 5 cubic yards in capacity
2. Roll-off type with or without folding steel ramps
3. Labeled for exclusive use as a concrete waste and washout facility

#### **CONSTRUCTION**

Place temporary concrete washout at the job site:

1. Before concrete placement activities start
2. In the immediate area of concrete work where authorized
3. No closer than 50 feet from storm drain inlets, open drainage facilities, ESAs, and watercourses
4. Away from traffic or public access areas

Install a concrete washout sign adjacent to each concrete washout location.

Use concrete washout to collect:

1. Washout from concrete delivery trucks
2. Slurries containing PCC or HMA from sawcutting, coring, grinding, grooving, and hydro-concrete demolition
3. Concrete waste from mortar mixing stations

Do not fill a concrete washout higher than 6 inches below the upper rim.

Remove and dispose of concrete waste within 2 business days after a concrete washout becomes filled. Dispose of concrete waste material at the designated off-site concrete waste disposal plant.

Relocate a portable temporary concrete washout or bin as needed for concrete work.

The Department does not pay for relocating a portable temporary concrete washout or bin.

Secure a portable temporary concrete washout or bin to prevent spilling of concrete waste material whenever it is being relocated or transported within the job site. Whenever any spilled material is observed, clean up the spilled material and place it back into the concrete washout unit.

#### **PAYMENT**

The contract lump sum price paid for temporary concrete washout includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in the removal and disposal of concrete waste and furnishing, maintaining, and removing the temporary concrete washout, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

#### **10-1.05 COOPERATION**

It is anticipated that work by another contractor may be in progress adjacent to or within the limits of this project during progress of the work on this contract. The following table lists contracts anticipated to be in progress during this contract.

Contract No.	Co-Rte-PM	Location	Type of Work
11-076704	IMP 5708	1120 Montenegro Way, El Centro, CA	Construct El Centro Maintenance Station Buildings and Site Work

Comply with Section 7-1.14, "Cooperation," of the Standard Specifications.

#### **10-1.06 PROGRESS SCHEDULE (CRITICAL PATH METHOD)**

##### **SUMMARY**

Comply with Section 8-1.04, "Progress Schedule," of the Standard Specifications except you must use computer software to prepare the schedule.

You are responsible for assuring that all activity sequences are logical and that each schedule shows a coordinated plan for complete performance of the work.

##### **DEFINITIONS**

**contract completion date:** Current extended date for completion of the contract shown on the Weekly Statement of Working Days furnished by the Engineer as specified in Section 8-1.06, "Time of Completion," of the Standard Specifications.

**data date:** Day after the date through which a schedule is current. Everything occurring earlier than the data date is as-built and everything on or after the data date is planned.

**float:** Difference between the earliest and latest allowable start or finish times for an activity.

**milestone:** Event activity that has zero duration and is typically used to represent the beginning or end of a certain stage of the project.

**near critical path:** Chain of activities with total float exceeding that of the critical path but having no more than 10 working days of total float.

**time-scaled network diagram:** Graphic depiction of a CPM schedule comprised of activity bars with relationships for each activity represented by arrows. The tail of each arrow connects to the activity bar for the predecessor and points to the successor.

**total float:** Amount of time that an activity or chain of activities can be delayed before extending the scheduled completion date.

##### **GENERAL REQUIREMENTS**

Submit baseline, monthly updated, and final updated schedules, each consistent in all respects with the time and order of work requirements of the contract. Perform work in the sequence indicated on the current accepted schedule.

Each schedule must show:

1. Calculations using critical path method to determine controlling activities.

2. Duration activities less than 20 working days.
3. Each required constraint. Constraints other than those required by the special provisions may be included only if authorized.

The Engineer's review and acceptance of schedules does not waive any contract requirements and does not relieve you of any obligation or responsibility for submitting complete and accurate information. Correct rejected schedules and resubmit them within 7 days of notification by the Engineer, at which time a new review period of 7 days will begin.

Errors or omissions on schedules do not relieve you from finishing all work within the time limit specified for completion of the contract. If, after a schedule has been accepted by the Engineer, either you or the Engineer discover that any aspect of the schedule has an error or omission, you must correct it on the next updated schedule.

### **COMPUTER SOFTWARE**

Submit a description of your proposed schedule software for authorization. All software must be compatible with the current version of the Windows operating system in use by the Engineer. The schedule software must include the latest version of Oracle Primavera P6 Professional Project Management for Windows or equivalent.

If schedule software equivalent to P6 is proposed, it must be capable of:

1. Generating files that can be imported into P6
2. Comparing 2 schedules and providing reports of changes in activity ID, activity description, constraints, calendar assignments, durations, and logic ties

### **NETWORK DIAGRAMS, REPORTS, AND DATA**

Include the following with each schedule submittal:

1. 2 sets of originally plotted, time-scaled network diagrams
2. 1 read-only compact disk or floppy diskette containing the schedule data

The time-scaled network diagrams must conform to the following:

1. Show a continuous flow of information from left to right
2. Be based on early start and early finish dates of activities
3. Clearly show the critical path using graphical presentation
4. Be prepared on 11" x 17" or larger size
5. Include a title block and a timeline on each page

### **BASELINE SCHEDULE**

Submit a baseline schedule within 20 days of approval of the contract. Allow 20 days for the Engineer's review after the baseline schedule and all support data are submitted. Beginning the week the baseline schedule is first submitted, meet with the Engineer weekly to discuss and resolve schedule issues until the baseline schedule is accepted.

The baseline schedule must include the entire scope of work and must show how you plan to complete all work contemplated. Multiple critical paths and near-critical paths must be kept to a minimum. A total of not more than 50 percent of the baseline schedule activities must be critical or near critical unless otherwise authorized by the Engineer.

The baseline schedule must not extend beyond the number of working days originally provided in these special provisions.

### **UPDATED SCHEDULE**

Submit an updated schedule and meet with the Engineer to review contract progress on or before the 1st day of each month, beginning 1 month after the baseline schedule is accepted. Allow 15 days for the Engineer's review after the updated schedule and all support data are submitted except that the review period will not start until any previous month's required schedule is accepted. Updated schedules that are not accepted or rejected within the review period are considered accepted by the Engineer.

The updated schedule must show:

1. Data date of the 21st day of the month or other date established by the Engineer
2. Changes from approved revised schedules

## **FINAL UPDATED SCHEDULE**

Submit a final updated schedule with actual start and finish dates for the activities within 30 days after completion of contract work. Provide a written certificate with this submittal signed by your project manager or an officer of the company stating, "To my knowledge and belief, the enclosed final updated schedule reflects the actual start and finish dates of the actual activities for the project contained herein." An officer of the company may delegate in writing the authority to sign the certificate to a responsible manager.

## **PAYMENT**

Full compensation for preparing, furnishing, and updating schedules is considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed.

### **10-1.07 WATERING**

Developing a water supply and applying watering shall conform to the provisions in Section 17, "Watering," of the Standard Specifications and these special provisions.

Attention is directed to the source or sources of water for use on the project specified in the Information Handout" available to the contractors.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions regarding availability of water.

### **10-1.08 IRRIGATION SLEEVE**

Irrigation sleeves shall be polyvinyl chloride (PVC) plastic pipe and shall conform to the provisions in Section 20-2.15B(1), "Plastic Pipe Supply Line," of the Standard Specifications and these special provisions.

Irrigation sleeves less than 6 inches in diameter shall have a pressure rating (PR) 315.

Fittings shall be Schedule 40.

Irrigation sleeves shall be installed where shown on the plans.

Irrigation sleeves shall be installed not less than 1.5 feet below finished grade measured to the top of the sleeve. Sleeves shall extend 6 inches beyond paving. The ends of the sleeve shall be capped until use.

## **SECTION 10-2. (BLANK)**

## **SECTION 10-3. (BLANK)**

## **SECTION 11. (BLANK)**

## **SECTION 12. BUILDING WORK**

### **SECTION 12-1. GENERAL REQUIREMENTS**

#### **12-1.01 SCOPE**

This work includes the construction of a subshop office and equipment building at the El Centro Maintenance Station.

The work includes, but is not limited to the following:

- Concrete foundations and concrete slabs,
- Metal stud framing,
- Structural steel framing,
- Pre-engineered steel trusses and open web steel joists,
- Concrete tile roofing,
- Membrane roofing,
- Exterior insulation and finish system at exterior walls,
- Door and windows,
- Interior finishes, including gypsum board, ceramic tile, resilient flooring and paint,
- Plumbing, including fixtures and specialties,

Heating and cooling systems,  
Lighting and electrical,

and such other items or details, not mentioned above, that are required by the plans, Standard Specifications, or these special provisions to be performed, placed, constructed or installed.

Sections 10 through 95 of the Standard Specifications do not apply to the work in Section 12 except when specific reference is made thereto.

### 12-1.02 ABBREVIATIONS

Abbreviations:

AAMA	American Architectural Manufacturers' Association
ACI	American Concrete Institute
ADA	Americans with Disabilities Act
ADAAG	ADA Accessibility Guidelines for Buildings and Facilities
AGA	American Gas Association
AITC	American Institute of Timber Construction
AMCA	Air Movement and Control Association
APA	The Engineered Wood Association
APWA	American Public Works Association
ARI	Air-Conditioning and Refrigeration Institute
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
BIA	Brick Industry Association
CBC	California Building Code
CEC	California Electrical Code
CMC	California Mechanical Code
CPC	California Plumbing Code
CRRC	Cool Roof Rating Council
ESO	Electrical Safety Orders
FM	FM Global
FS	Federal Specification
GA	Gypsum Association
GANA	Glass Association of North America
ICC	International Code Council
IGMA	Insulating Glass Manufacturers Alliance
ISO	International Organization for Standardization
LEED	Leadership in Energy and Environmental Design
NAAMM	National Association of Architectural Metal Manufacturers
NSF	National Sanitation Foundation
NEC	National Electrical Code
NFPA	National Fire Protection Association or National Forests Products Association
NRTL	Nationally Recognized Testing Laboratories
PEI	Porcelain Enamel Institute
RIS	Redwood Inspection Service
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
TCNA	Tile Council of North America
TPI	Truss Plate Institute
WCLIB	West Coast Lumber Inspection Bureau (stamped WCLB)
WCLB	Grade stamp for WCLIB
WI	Woodwork Institute
WWPA	Western Wood Products' Association

### 12-1.03 COOPERATION

Comply with "Cooperation" in Section 10, "Constructions Details," of these special provisions.

State forces will be working within the project limits during this contract. Plan work to minimize interference with State forces.

Comply with all security policies of the State facility.

Submit requests for approval to the Engineer before interrupting any services for the purpose of making or breaking a connection. Include in the request the proposed time period necessary to complete the work. Allow the Engineer 5 days to review each request.

You may obtain electrical power and water from existing State electrical power and water outlets within the project limits free of charge for contract operations, provided that such utility services are in service and are not required by the State for other purposes and pursuant to Section 4 and "Watering" in Section 10-1 of these special provisions. Outlets must not be modified.

Do not use State telephone facilities.

#### **12-1.04 SUBMITTALS**

Items to be submitted to the Engineer must be approved under Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications.

Items to be furnished to the Engineer do not require approval.

The Engineer may request submittals for materials or products where submittals have not been specified in these special provisions, or may request that you include additional information in specified submittals, as necessary to determine the quality or acceptability of such materials or products.

Submit the following items within 50 days of contract approval:

1. Working drawings
2. Material lists
3. Product and descriptive data
4. Samples
5. Other submittals

Submit at least 5 sets of each item. Two sets will be returned either approved for use or returned for correction and resubmittal.

Submit the Schedule of Values within 20 days of contract approval. Submit at least 2 sets.

Each item submitted must include a descriptive title, the name of the project, district, county, contract number, and must reference the applicable portion of the contract documents that it pertains to. Plans and detailed drawings must be not larger than 24" x 36."

The material lists must include the name of manufacturer, catalog number, size, capacity, finish, all pertinent ratings, and identification symbols used on the plans and in these special provisions for each unit.

Deliver submittals to Offices of Structure Design, Documents Unit.

Allow 20 days for approval or return for correction of each submittal or resubmittal. Should the Engineer fail to complete the review within the time specified and Engineer determines that your controlling operation is delayed or interfered with by delay in review, an extension of time commensurate with the delay in completion of the your work will be granted under Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Remove unapproved samples and samples not incorporated in the work from State property.

Furnish 3 copies of the following items to the Engineer at the job site:

1. Parts lists and service instructions packaged with or accompanying the equipment

2. Operating and maintenance instructions
3. Manufacturer's warranties
4. Qualification data

#### **12-1.05 SCHEDULE OF VALUES**

Divide the Schedule of Values into sections representing the cost of each separate building or structure. Do not include work that is not part of the building or structure in the building or structure cost. Include this work under a specific section as General Work.

List indirect costs and bond premiums as separate line items of work.

Identify the sections representing each building or structure as to the building or structure they represent and break them down to show the corresponding value of each craft, trade or other significant portion of the work. Provide a sub-total for each section.

The Schedule of Values must be approved by the Engineer before any partial payment estimate is prepared.

The sum of the items listed in the Schedule of Values must equal the contract lump sum price for building work. Distribute overhead and profit proportionally across all line items of cost.

#### **12-1.06 INSPECTION**

Any work that will be covered or not visible in the completed work must be inspected and approved by the Engineer before progress of work conceals portions to be inspected. Notify the Engineer not less than 72 hours in advance of when such inspections are needed.

Provide adequate temporary lighting to allow the Engineer to inspect the project as each portion is completed.

#### **12-1.07 UTILITY CONNECTION**

Make all arrangements and obtain all permits and licenses required for the extension of and connection to each utility service applicable to this project. For extensions not performed or provided by the utility, provide all labor and materials necessary for such extensions and install any intermediate equipment required by the serving utilities.

The costs incurred by you for (1) utility permits, licenses, connection charges, and excess length charges, (2) the extensions of utilities beyond the limits shown on the plans, and (3) furnishing and installing any intermediate equipment required by the serving utilities, will be paid for as extra work under Section 4-1.03D of the Standard Specifications.

#### **12-1.08 SANITARY FACILITIES**

Do not clean tools or dispose of cleaning liquids in State sanitary facilities or sewers.

Provide separate temporary toilet units for your personnel.

Temporary toilet units must be 1) single occupant units of the chemical type, 2) properly vented, and 3) fully enclosed with a glass fiber reinforced polyester shell or similar nonabsorbent material.

Perform periodic flushing, waste removal, and cleaning of temporary toilet units. Maintain units in a clean and sanitary condition, including a supply of toilet tissue, toilet seat covers, and paper towels. Dispose of waste material off site in a lawful manner.

#### **12-1.09 MEASUREMENT AND PAYMENT**

The contract lump sum price paid for building work includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in performing the building

work, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for any incidental materials and labor, not shown on the plans or specified that are necessary to complete the building work, are considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefore.

### **12-1.10 PROJECT RECORD DRAWINGS**

Prepare and maintain one set of project record drawings, using an unaltered set of original project plans, to clearly show all as-constructed information for the project.

As a minimum, project record drawings must include the following information:

1. Any plan clarifications or change orders
2. Locations of any underground utilities
3. Location, size, type, and manufacturer of all major products or components used in the work.

Prepare project record drawings as follows:

1. Place markings on the project record drawings using red ink or red pencil.
2. Do not eradicate or write over original figures.
3. Neatly line out superseded material.
4. Submit additional drawings if the required information cannot be clearly shown on the original set of project plans. The additional drawings must be not less than 11" x 17" in size. Label each sheet with the contract number.
5. Sign and date each sheet verifying that all as-built information shown on the drawings is correct.

Review the project record drawings monthly with the Engineer during the progress of the work to assure that all changes and other required information are being recorded.

Before completion of the work, request a review of the project record drawings to determine the completeness and adequacy of them. If the project record drawings are unacceptable, you must inspect, measure, and survey the project as necessary to record the required additional information.

Deliver the completed project record drawings to the Engineer before acceptance of the contract.

## **SECTION 12-2. SITEWORK**

### **12-2.01 EARTHWORK FOR BUILDING WORK**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of performing earthwork for building work in accordance with the details shown on the plans and these special provisions.

Earthwork for building work shall consist of structure excavation and structure backfill. Structure excavation shall include excavation for footings, foundations, walls, slabs, and trenches. Structure backfill shall include backfilling under slabs; backfilling under and around footings; backfilling for walls, backfilling for pipes and conduits; backfilling holes resulting from removal of existing facilities. In addition to structure excavation and structure backfill, earthwork for building work shall include any other earthwork, not mentioned, but necessary to complete the building work.

##### **QUALITY ASSURANCE**

Samples: Samples of sand, pea gravel, or crushed stone, weighing not less than 25 pounds, shall be submitted to the Engineer at the jobsite for approval.

**SITE CONDITIONS**

Existing Underground Piping and Conduit: The location of existing underground piping and conduit is based on the best records available. Before beginning work, the Contractor shall accurately locate the piping and conduit involved in the work. If the location of the existing piping or conduit deviates from the location shown on the plans by more than 5 feet, or, if no elevations are indicated and the piping or conduit is more than 3 feet below grade, the cost of the additional excavation, backfill, piping or conduit, and removal and replacement of concrete, if any, will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Existing Surfaced or Planted Areas:

Existing surfaced or planted areas that are removed, broken or damaged by the Contractor's operations shall be restored to their original condition except as otherwise shown on the plans or specified herein.

Restoration materials shall be equal to or better than the original materials. Surfacing shall be replaced to match the material thickness, grades, and finish of the adjacent surrounding surfaces.

**PART 2 - PRODUCTS**

**BACKFILL MATERIALS**

Structure Backfill: Structure and trench backfill shall conform to the requirements of Select Backfill..

Select Backfill: Select backfill shall conform to the requirements specified under "Aggregate Base," elsewhere in this Section 12-2.

Sand: Sand shall be clean, washed sand, free from clay or organic material graded such that 100 percent passes the 1/4-inch sieve, 90 percent to 100 percent passes the No. 4 sieve and not more than 5 percent passes the No. 200 sieve size.

Pea Gravel (Naturally Rounded):

Pea gravel (naturally rounded) shall be clean, washed, dry density of not less than 95 pounds per cubic foot, free from clay or organic material and shall conform to the following grading as determined by California Test 202:

Sieve or Screen Size	Percentage Passing
3/4"	100
1/2"	90-100
3/8"	40-70
No. 4	0-15
No. 8	0-3

Pea gravel shall conform to the following requirements:

Test	California Test No.	Test Requirements
Durability Index	229	35 Min.

Crushed Stone:

Crushed stone shall be clean, washed, dry density of not less than 95 pounds per cubic foot, crushed stone or crushed gravel with an angular particle size not less than 1/8 inch or more than 1/2 inch.

Sieve or Screen Size	Percentage Passing
1/2"	100
3/8"	85-100
No. 4	10-30
No. 8	0-3

Crushed stone shall conform to the following requirements:

Test	California Test No.	Test Requirements
Durability Index	229	35 Min.

**PART 3 - EXECUTION**

**PREPARATION AND RESTORATION**

Sawcutting: Prior to excavation or trenching, existing surfacing shall be removed to saw cut lines, or to existing wood dividers or expansion joints, if any. The saw cut shall be to a neat line and have a depth not less than one inch.

Restoration: Surfacing shall be replaced to match the thickness, grades and finish of the adjacent surrounding surfaces.

**STRUCTURE EXCAVATION**

Unless otherwise noted, all excavation for building work shall be classified as structure excavation.

Footing Excavation:

The footing depths shown on the plans shall be changed to suit field conditions when directed by the Engineer. Solid rock at or near required depths shall not be disturbed. Unsuitable material shall be excavated down to firm bearing as directed by the Engineer. Work and materials required because of excavation in excess of the depths shown on the plans, when such excavation has been ordered by the Engineer, will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Excavate to the elevations and dimensions within a tolerance of  $\pm 1/2$  inch. Limits of the excavation shall allow for adequate working space for installing materials and as required for safety of personnel. Such working space excavation shall be replaced in kind and compacted at the Contractor's expense.

Overdepth excavation for footings shall be backfilled with concrete or such other material recommended by the Contractor and approved by the Engineer. Relative compaction shall be not less than 95 percent.

At locations and to the limits shown on the plans, material below the bottom of the foundation or footing shall be removed and replaced with select backfill in accordance with the placing and compacting requirements for backfill.

Excavation for Pipes and Conduits:

Pipes or conduits in the same trench shall have a minimum clear distance between pipes or conduits of 6 inches. Pipes or conduits shall have not less than 2½ feet of cover from top of pipes or conduits to finished grade unless otherwise shown on the plans or specified.

Trenching shall be of sufficient depth to permit placing a minimum depth of 4 inches of compacted sand under all pipes and conduits.

Excavation adjacent to trees shall be performed by hand methods where necessary to avoid injury to trees and roots. Roots 2 inches in diameter and larger shall be protected with heavy burlap. Roots smaller than 2 inches in diameter adjacent to trees shall be hand trimmed. Cuts through roots 1/2 inch in diameter and larger shall be sealed with tree trimmers' asphaltic emulsion. If trenches remain open more than 24 hours, the side of the trench adjacent to the tree shall be shaded with burlap and kept damp. Materials shall not be stockpiled within the drip line of trees.

Dewatering: Excavations shall be kept clear of standing water. Water shall be removed by pumping if necessary. Water removed from excavation shall be carried away from the building site and disposed of in a manner that will not harm State or adjacent property.

### **STRUCTURE BACKFILLING**

Unless otherwise noted, all backfill for building work shall be classified as structure backfill. Backfill shall be placed and compacted in horizontal layers, not more than 6 inches thick prior to compaction, and to the lines and grades shown on the plans or to original ground.

Structure Backfill: After structures are in place and forms are removed, wood and other debris shall be removed from excavations before placing structure backfill.

Select Backfill: At the locations and to the limits shown on the plans, materials below the bottom of footings or foundations shall be removed and replaced with select backfill material in accordance with the placing requirements of structure backfill.

Backfilling Pipes and Conduits:

Backfill placed under pipe and conduits shall be compacted sand, 4 inches minimum depth. Backfill material placed to a level 6 inches above tops of pipes and conduits shall be sand or fine earth and particles shall not exceed 1/2 inch in greatest dimension. For wrapped, coated, or plastic pipe or conduits, sand shall be used for backfill. Backfill material placed higher than 6 inches above tops of pipes or conduits shall consist of material free of stones or lumps exceeding 4 inches in greatest dimension except:

1. The top 12 inches of backfill under roads, walks or paving shall consist of aggregate base material.
2. The top 6 inches of backfill in planted areas shall consist of topsoil.

Unless otherwise shown on the plans, pipe under roads, with less than 2½ feet of cover over the top of pipe, shall be backfilled with concrete to a level 4 inches above the top of pipe. Concrete for backfill shall be commercial quality concrete containing not less than 564 pounds of cement per cubic yard.

### **COMPACTION**

Relative compaction shall be determined in accordance with California Test 216 or 231.

Unless approved in writing by the Engineer, compaction by jetting or ponding will not be permitted.

Compact Original Ground: Original ground surface under fill with surfacing of concrete and asphalt concrete shall be compacted to a relative compaction of not less than 95 percent for a minimum depth of 6 inches.

Subgrade Preparation:

Preparation of subgrade material for placing aggregate base, surfacing, or slabs thereon shall include fine grading, compaction, reworking as necessary. The upper 6 inches of the subgrade shall have the same compaction as the fill to be placed over it.

Structure Backfill: Structure backfill shall be compacted to not less than 95 percent relative compaction.

Select Backfill:

Select backfill shall be compacted to not less than 95 percent relative compaction.

A relative compaction of not less than 95 percent shall be obtained for a minimum depth of 6 inches below the bottom of the excavation before placing select backfill.

Trench Backfill: Trench backfill placed beneath slabs or paved areas shall be compacted to a relative compaction of not less than 95 percent.

## **DISPOSAL**

Surplus Material: Surplus material from the excavation shall be removed and disposed of outside the right-of-way in accordance with Section 7-1.13 of the Standard Specifications.

## **FIELD QUALITY CONTROL**

Inspection: When the excavation is substantially completed to grade, the Contractor shall notify the Engineer. No concrete shall be placed until the foundation has been approved by the Engineer.

Testing: The State will conduct compaction tests during the backfilling and compacting operations.

## **12-2.02 AGGREGATE BASE**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing, spreading and compacting aggregate base in accordance with the details shown on the plans and these special provisions.

### **PART 2 - PRODUCTS**

Aggregate base:

Aggregate base shall be commercial quality aggregates consisting of broken stone; crushed gravel; natural, clean, rough-surfaced gravel and sand; or a combination thereof.

Aggregate base shall conform to the following grading as determined by California Test 202:

Sieve or Screen Size	Percentage Passing
1"	100
3/4"	90 - 100
No. 4	35 - 60
No. 30	10 - 30
No. 200	2 - 9

Aggregate base shall also conform to the following quality requirements:

Tests	California Test No.	Test Requirements
Durability Index	229	35 Min.
Resistance (R-Value)	301	78 Min.
Sand Equivalent	217	22 Min.

**PART 3 - EXECUTION**

**SPREADING AND COMPACTING**

Spreading:

Aggregate base shall be placed and compacted to the lines and grades shown on the plans.

Spreading and compacting shall be performed by methods that will produce a uniform base, free from pockets of coarse or fine material.

Compaction: Relative compaction of each layer of compacted base material shall be not less than 95 percent, as determined by California Test 216 or 231.

**12-2.03 FREE DRAINING GRANULAR MATERIAL**

**PART 1 - GENERAL**

**SUMMARY**

Scope: This work shall consist of furnishing and placing free draining granular material beneath slabs in accordance with the details shown on the plans and these special provisions.

**PART 2 - PRODUCTS**

Free Draining Granular Material: Free draining granular material shall be clean, hard, durable, free-draining rock. The material gradation shall be such that all passes the one-inch screen, and not more than 10 percent passes the No. 4 sieve as determined by California Test 202. Granular material shall be free from organic material, clay balls or other deleterious substances.

**PART 3 - EXECUTION**

**SPREADING AND CONSOLIDATING**

Free draining granular material shall be placed, spread, and consolidated by tamping or vibrating.

**12-2.04 BICYCLE RACK**

**PART 1 – GENERAL**

**SUMMARY**

This work shall consist of furnishing and installing a steel bicycle ribbon rack in accordance with the details shown on the plans and these special provisions.

## **SUBMITTALS**

Manufacturer's descriptive data, installation instructions, and standard color palette shall be submitted for approval. The color will be selected by the Engineer after the award of the contract.

Product Data:--Include physical characteristics such as shape, dimensions, capacity and finish.

## **PART 2 - PRODUCTS**

Material:--2", Schedule 40 Steel Pipe (2.375 OD x 0.154 Wall)

Finish:--Thermoplastic Powder Coat

Bicycle Rack configuration:

Configuration.--Ribbon type

Capacity.--5 Bicycles

Mounting Type.--Surface Flange, Bolt Down

Finish.--Thermoplastic Powder Coat

## **PART 3 - EXECUTION**

Examination.--Examine areas and conditions, with Installer present, for compliance with requirements for correct and level finished grade, mounting surfaces, installation tolerances, and other conditions affecting performance.

Installation.--Install in accordance with manufacturer's installation instructions. Install units plumb, level, square, accurately aligned, correctly located per drawings and free from warp or twist while maintaining alignment with surrounding adjacent surfaces.

### **12-2.05 GUARD POSTS**

#### **PART 1 - GENERAL**

Scope: This work shall consist of constructing guard posts in accordance with the details shown on the plans and these special provisions.

#### **PART 2 - PRODUCTS**

Steel Posts: Steel posts for guard posts shall be standard weight, galvanized steel pipe conforming to the details shown on the plans.

Concrete: Concrete for guard posts shall be commercial quality concrete, proportioned to provide a workable mix suitable for the intended use, with not less than 470 pounds of cement per cubic yard.

#### **PART 3 - EXECUTION**

Installation:

The length and diameter of the guard posts shall conform to the details shown on the plans.

Guard posts shall be placed in holes excavated to the depth and cross section shown on the plans, and shall be installed plumb.

Excavations for guard posts shall be backfilled with concrete as shown on the plans. Guard posts shall be filled with concrete.

Painting: Guard posts shall be prepared and painted in accordance with the requirements specified under "Painting" in Section 12-9, "Finishes," of these special provisions.

## **SECTION 12-3. CONCRETE AND REINFORCEMENT**

### **12-3.01 CAST-IN-PLACE CONCRETE**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of constructing cast-in-place concrete facilities in accordance with the details shown on the plans and these special provisions.

Concrete:

Except for concrete designated by compressive strength, concrete shall conform to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications, and these special provisions.

Concrete designated by compressive strength shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications, and these special provisions.

Reinforcement: Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications, and these special provisions.

##### **DEFINITIONS**

Concrete Designated by Compressive Strength: Concrete with a compressive strength shown on the plans greater than 3,600 psi.

##### **SUBMITTALS**

Product Data:

Concrete mix design, manufacturer's descriptive data, installation and use recommendations for admixtures, expansion joint material, vapor barrier, curing compound, hardener, and sealer shall be submitted for approval.

Descriptive data shall be delivered to the Engineer at the jobsite.

Concrete Mix Designs: Copies of concrete mix designs shall be submitted when required.

Certificates of Compliance: Certificates of compliance shall be submitted when required as informational submittals.

#### **PART 2 - PRODUCTS**

##### **CONCRETE MIXES**

The amount of cementitious material used per cubic yard of concrete for each building element shall conform to the following:

Type	Cementitious Material Content (Pounds/CY)
Concrete (Structural Work): Footings, foundation walls, floor slabs, building frame members, building walls	630 min. <sup>a,c</sup>
Concrete (Sewer Structures): For sewer structures, vehicle washracks and mudrinse slabs	658 min. <sup>b</sup>
Concrete (Minor Work): For concrete curbs, sidewalks, walkways, driveways, gutter depressions, new door openings, and collars	505 min.

Notes:

<sup>a</sup>For concrete designated by compressive strength, the maximum amount of cementitious material shall be 800 pounds per cubic yard.

<sup>b</sup>Concrete shall be air entrained in conformance with the requirements in Section 90-4, "Admixtures," of the Standard Specifications. The air content at time of mixing and prior to placing shall be  $6 \pm 1\frac{1}{2}$  percent.

<sup>c</sup>Concrete shall be air entrained in conformance with the requirements in Section 90-4, "Admixtures," of the Standard Specifications. Unless otherwise specified, the air content at time of mixing and prior to placing shall be  $3 \pm 1$  percent.

In addition to the above requirements, concrete shall conform to "Corrosion Control For Portland Cement Concrete" in Section 8, "Materials" of these special provisions.

## FORM MATERIALS

Forms for Exposed Finish Concrete:

Forms for exposed surfaces shall be plywood, metal or other panel type materials. Plywood shall be not less than 5/8 inch thick and without scars, dents, and delaminations. Forms shall be furnished in largest practical pieces to minimize number of joints.

Plywood shall conform to the requirements of U. S. Product Standard PS-1 for Exterior B-B (Concrete Form) Class I.

Forms for edges of slabs shall be nominal 2-inch solid stock lumber, plywood, or metal forms.

Forms for Unexposed Finish Concrete: Forms for unexposed finish concrete surfaces shall be plywood, lumber, metal or other acceptable material.

Forms for Cylindrical Columns or Supports: Forms for cylindrical columns shall be metal, fiberglass reinforced plastic, paper or fiber tubes. Paper or fiber tubes shall be constructed of laminated plies using water-resistant adhesive with wax-impregnated exterior for protection against weather or moisture.

Form Ties: Form ties shall be factory fabricated, removable or snapoff metal ties for use as necessary to prevent spreading of forms during concrete placement.

Form Oil: Form oil shall be commercial quality form oil which will permit the ready release of the forms and will not discolor the concrete.

## REINFORCEMENT

Bar Reinforcement: Bar reinforcement shall conform to ASTM A 615/A 615M, Grade 60, or ASTM A 706/A 706M.

Bar Supports: Bar supports for reinforcement shall be precast mortar blocks or ferrous metal chairs, spacers, metal hangers, supporting wires, and other approved devices of sufficient strength to resist crushing under applied loads.

## **EPOXY**

Epoxy shall be furnished as 2 components which shall be mixed together at the site of the work.

Epoxy Resin Adhesive: Epoxy resin adhesive shall conform to State of California Specification No. 8040-21M-08 or other epoxy suitable for bonding new concrete to old.

Epoxy Mortars: Epoxy mortar and epoxy mortar surface treatment shall consist of a commercial quality, trowelable mixture consisting of epoxy and sand. Epoxy shall have a pull-off strength of not less than 1,000 psi and a 90-percent cure in 24 hours. Epoxy shall be of the type that requires no primer as a bonding agent.

Sand:

Sand for use in epoxy mortars shall be clean and shall have a moisture content of not more than 0.50-percent when tested in accordance with California Test 226.

Sand for epoxy mortar surface treatment shall be graded such that 100-percent passes the No. 100 sieve.

## **RELATED MATERIALS**

Anchor Bolts and Anchor Rods, Nuts and Washers:

Headed and Unheaded Anchor Bolts and Anchor Rods: Comply with ASTM F 1554. Use Grade 36 unless a higher grade is shown on the plans.

Nuts: Comply with ASTM A 563.

Washers:

1. Washers bearing on wood surfaces must be commercial quality.
2. Washers bearing on steel surfaces must comply with ASTM F 436, Type 1.
3. Plate washers must comply with ASTM A 36/A 36M.

Exposed anchor bolts and anchor rods, nuts and washers must be hot-dipped galvanized.

Expansion Joint Material: Expansion joint material shall be commercial quality asphalt impregnated pressed fiber sheets, ½-inch minimum thickness.

Vapor Barrier: Vapor barrier shall be not less than 15 mils thick and shall conform to the requirements of ASTM E 1745, Grade A. Tape for overlapped seams shall be as recommended by the manufacturer of the vapor barrier.

Bond Breaker: Bond breaker shall be Type I asphalt saturated organic felt or such other material approved by the Engineer.

Nonskid Abrasive Aggregate: Nonskid abrasive aggregate shall be commercial quality aluminum oxide, silicon carbide, or almandite garnet grit particles; screen size 12-30 or 14-36.

Type A Control Joints: Type A control joints shall be commercial quality, preformed, T-shaped plastic strips with detachable top flange.

Keyed Construction Joint Forms: Keyed construction joint forms shall be commercial quality, galvanized metal or plastic, factory fabricated construction joint forms. Forms shall produce a rabbeted key type joint.

Divider and Edger Strips: Divider and edger strips shall be foundation grade redwood.

Mortar: Mortar shall consist of one part cement to 2 parts clean sand and only enough water to permit placing and packing.

Curing Compound: Curing compound shall be a non-pigmented curing compound with fugitive dye conforming to the requirements of ASTM C 309, Type 1-D, Class A.

Concrete Hardener: Concrete hardener shall be commercial quality water borne penetrating type magnesium fluosilicate, zinc fluosilicate or combination thereof.

Splash Block: Splash blocks shall be precast concrete splash blocks with depressed runoff trough. Splash blocks shall be 12" x 24" x 3½" in size unless otherwise shown on the plans.

Nonshrink Grout:

Nonshrink grout shall be metallic for concealed areas, nonmetallic for exposed areas.

Grout shall be factory packaged, nonstaining, noncorrosive, nongaseous grout complying with ASTM C 1107; free of oxidizing catalysts and inorganic accelerators, used as dry or damp pack, or mixed to a 20-second flow (CRD C621), without segregation or bleeding at any temperature between 45 deg F and 90 deg F.

Working time of grout shall be 30 minutes or more.

### **PART 3 - EXECUTION**

#### **PREPARATION**

Existing Concrete Construction:

Where fresh concrete joins existing or previously placed concrete or masonry, the contact surfaces of the existing or previously placed material shall be roughened, cleaned, flushed with water and allowed to dry to a surface dry condition immediately prior to placing the fresh concrete. The roughened surface shall be no smoother than a wood trowelled surface. Cleaning of the contact surfaces shall remove laitance, curing compounds, debris, dirt and such other substances or materials which would prevent bonding of the fresh concrete.

Abrasive blast methods shall be used to clean horizontal construction joints to the extent that clean aggregate is exposed.

Exposed reinforcing steel located at the contact surfaces which is to be encased in the fresh concrete shall be cleaned to remove any substance or material that would prevent bonding of the fresh concrete.

Forms:

Forms shall be mortar tight, true to the dimensions, lines, and grades shown on the plans, securely fastened and supported, and of adequate rigidity to prevent distortion during placing of concrete.

Forms for exposed surfaces shall be constructed with triangular fillets not less than 3/4" x 3/4" attached so as to prevent mortar runs and to produce smooth straight chamfers at all sharp edges of the concrete.

Form fasteners shall be removable without chipping, spalling, heating or otherwise damaging the concrete surface. Form ties shall be removed to a depth of at least one inch below the surface of the concrete.

The inside surfaces of forms shall be cleaned of all dirt, mortar and foreign material. Forms shall be thoroughly coated with form oil prior to use.

Forms shall not be stripped until at least 40 hours after placing concrete, except soffit forms and supports shall not be released or removed until at least 10 days after placing concrete.

Anchorage and embedded items shall be placed and rigidly secured at their planned locations prior to placing concrete.

Reglets or embedded flashing shall be installed on concrete forms before the concrete is placed.

Redwood dividers shall have 16d galvanized nails partially driven into both vertical faces at 18 inches on center.

#### Vapor Barrier:

Vapor barrier shall be installed in conformance with the manufacturer's recommendations and shall be protected with a 3-inch layer of clean uncompacted sand cover.

Unless otherwise shown on the plans, vapor barrier shall be placed under portions of the floor slab scheduled to receive finish flooring.

#### Placing Reinforcement:

Set wire ties with ends directed into concrete, away from exposed concrete surfaces.

Install welded wire reinforcement in longest practicable lengths on bar supports spaced to minimize sagging. Lap edges and ends of adjoining sheets at least one mesh spacing. Offset laps of adjoining sheet widths to prevent continuous laps in either direction. Lace overlaps with wire.

Ground Bar: A continuous reinforcing steel bar shall be installed in the building foundation at the location indicated on the plans for the electrical ground bar. The use of epoxy coated reinforcing bar is not permitted. The end of the ground bar shall extend beyond the concrete surface and shall be protected from damage by construction operations.

### **PLACING CONCRETE**

Concrete shall be placed in conformance with the provisions in Section 51-1.09, "Placing Concrete," of the Standard Specifications, and these special provisions.

Concrete shall be deposited and consolidated in a continuous operation within limits of construction joints, until the placing of the panel or section is completed.

When concrete is to be placed in large areas requiring more than two pours, concrete shall be placed in alternate long strips between construction joints and the final slab infilled.

### **FINISHING CONCRETE SURFACES**

#### Finishing Unformed Surfaces:

Slabs shall be placed full thickness to finish elevation and leveled to screeds by use of long straightedges. The screeds shall be set to grade at approximately 6-foot centers. After leveling, screeds shall be removed and the surface shall be floated with wooden floats.

Type A control joint strips shall be inserted into the floated concrete so that the bottom of the top flange is flush with the finish elevation. Strips shall be standard manufactured lengths and shall be placed on an approximate straight line. The top flange of the strips shall be removed after the concrete has set and cured.

The floated surface shall be trowelled with steel trowels. Troweling shall form a dense, smooth and true finish. Walkways, pedestrian ramps, stairs and outdoor slabs for pedestrian traffic shall be given a non-slip broom finish unless a different finish is called for on the plans or in these special provisions.

The application of cement dust coat will not be permitted.

Steel trowel finish and broom finish will not be required for slabs to receive exposed aggregate finish nor for slabs to be covered with ceramic tile.

Concrete floor surfaces to receive ceramic tile shall be floated to grade and then, before final set of the concrete, the floated surfaces shall be roughened with stiff bristled brushes or rakes.

Finished surfaces of floor slabs shall not deviate more than 1/8 inch from the lower edge of a 10-foot long straight edge.

#### Finishing Formed Surfaces:

Formed concrete surfaces shall be finished by filling holes or depressions in the surface, repairing all rock pockets, and removing fins. All surfaces of formed concrete exposed to view shall have stains and discolorations removed, unsightly bulges removed, and all areas which do not exhibit the required smooth, even surface of uniform texture and appearance shall be sanded with power sanders or other approved abrasive means until smooth, even surfaces of uniform texture and appearance are obtained.

Cement mortar, patching and finishing materials used to finish exposed surfaces of concrete shall closely match the color of surrounding surfaces.

**Nonskid Abrasive Aggregate Finish:** Where shown on the plans, walkways shall receive a nonskid abrasive aggregate (grit) finish. The grit shall be applied uniformly at the rate of not less than 0.3 pound per square foot and tamped into the floated concrete surface while the concrete is plastic. The grit shall be buried about 0.7 diameter of each particle into the concrete.

#### **CURING CONCRETE**

Freshly placed concrete shall be protected from premature drying and excessive cold or hot temperatures.

Initial curing of floor slabs shall start as soon as free water has disappeared from the concrete surface. The concrete shall be kept continuously wet by application of water for not less than 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or sand blankets may be used as a curing medium to retain the moisture during the curing period. Curing materials that will stain or discolor concrete shall not be used on surfaces exposed to view.

Prior to placing the curing medium, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing mediums.

Concrete surfaces, other than floor slabs, shall be kept moist for a period of at least 5 days by leaving the forms in place or by covering the exposed surfaces using moist rugs, cotton mats or other curing materials approved by the Engineer.

Concrete curbs, sidewalks, collars, and gutter depressions may be cured with a curing compound.

#### **PROTECTING CONCRETE**

Vehicles, equipment, or concentrated loads weighing more than 300 pounds individually and material stockpiles weighing more than 50 pounds per square foot will not be permitted on the concrete within 10 calendar days after placing.

## **SPECIAL TREATMENTS**

### **Concrete Hardener:**

Chemical concrete hardener shall be applied to the floor surfaces shown on the plans, prior to the application of concrete sealer. Surfaces shall be clean and dry before the application of hardener.

The solution shall be applied in accordance with the manufacturer's instructions.

After the hardener has dried, the surface shall be mopped with water to remove encrusted salts.

**Epoxy Resin Adhesive:** Epoxy resin adhesive shall be applied to concrete surfaces shown on the plans. Epoxy resin adhesive shall be mixed and applied in accordance with the manufacturer's recommendations.

### **Epoxy Mortars:**

Epoxy for use as a binder in epoxy mortars shall be thoroughly mixed together before the aggregate is added, and unless otherwise specified, the mix proportions shall consist of one part binder to approximately 4 parts of aggregate, by volume.

All surfaces against which epoxy mortars are to be applied shall be free of rust, paint, grease, asphalt, and loose or deleterious material.

## **SECTION 12-4. (BLANK)**

## **SECTION 12-5. METALS**

### **12-5.01 STRUCTURAL STEEL FOR BUILDINGS**

#### **PART 1 - GENERAL**

##### **SUMMARY**

This work includes fabricating, assembling, furnishing, and erecting structural steel.

Structural Steel: Elements of the following structures:

1. HSS columns and beams
2. Lube reel support frames
3. Evaporative cooler supports
4. Channel sections
5. S shapes

##### **SUBMITTALS**

**Product Data:** Submit product data for items to be incorporated into the work, including structural steel, high strength fastener assemblies, and alternative connectors.

**Working Drawings:**

Submit working drawings that include the following:

1. A comprehensive list of all structural steel elements to be used as described under AISC 303, Section 2.1, "Definition of Structural Steel."
2. Sequence of shop and field assembly and erection, welding sequence and procedures, and welding nondestructive testing (NDT) sequence and procedures.

3. Identification of welds by standard AWS symbols, distinguishing between shop and field welds, and show size, length, and type of each weld.
4. Location of butt welded splices on a layout drawing of the entire structure.
5. Location and details of any temporary supports that are to be used.
6. Type, size, and length of bolts, distinguishing between shop and field bolts. Identify high-strength bolted connections.
7. Identification of members and connections of the seismic-load-resisting system.
8. Identification of locations and dimensions of protected zones.
9. Identification of demand critical welds.
10. Any changes proposed in the work, details of connections and joints exposed to the weather, and details for connections not dimensioned on the plans. If changes are proposed or connections are designed, submit design calculations stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration must be shown.

Working Drawings for Falsework: Submit working drawings and calculations for falsework for use during the erection of structural steel. Design and construct the falsework to provide the necessary rigidity, and to support the applied loads.

Welding Procedure Specifications (WPSs) and Procedure Qualification Records (PQRs): Submit WPSs and PQRs under AWS D1.1/D1.1M for each welded joint whether prequalified or qualified by testing, including the following:

1. Power source (constant current or constant voltage).
2. Electrode manufacturer and trade name, for demand critical welds.

Qualification Data: Submit fabricator and welder qualifications.

## **QUALITY CONTROL AND ASSURANCE**

Fabricate, assemble, and erect structural steel under AISC 303, 325, 341, and 360.

Welding: Weld under AWS D1.1/D1.1M and AWS D1.8/D1.8M, and comply with Section 8-3, "Welding."

Welding Qualifications:

Qualify procedures and personnel under AWS D1.1/D1.1M.

Welders and welding operators performing work on bottom-flange, demand-critical welds must pass the supplemental welder qualification testing, under AWS D1.8/D1.8M. FCAW-S and FCAW-G must be considered separate processes for welding personnel qualification.

Certificates of Compliance: Furnish a Certificate of Compliance for structural steel products under Section 6-1.07, "Certificates of Compliance." Include mill test certificates for each heat number of steel used in the work.

Final Drawings:

At the completion of each structural steel building, furnish one set of reduced prints on 60-pound (minimum) bond paper, 11 inches x 17 inches, of the corrected original tracings of all approved working drawings for each building. Include an index prepared specifically for the drawings for each building containing sheet numbers and titles on the first reduced print in the set for each building. Arrange reduced prints for each building in the order of drawing numbers shown in the index.

The edge of the corrected original tracing image must be clearly visible and visually parallel with the edges of the page. Provide a clear, legible symbol on the upper left side of each page to show the amount of reduction, and provide a horizontal and vertical scale on each reduced print to facilitate enlargement to original scale.

## **DELIVERY, STORAGE, AND HANDLING**

Load, transport, unload, and store structural materials so they are kept clean and undamaged. Store materials to permit access for inspection and identification.

Keep steel members off ground and spaced by using pallets, dunnage, or other supports and spacers. Provide covers for protection of materials.

## **PART 2 - PRODUCTS**

### **MATERIALS**

Steel Bars, Plates, Channels, Angles, and Shapes (other than W-shapes): For each yield stress shown on the plans, comply with the following:

1. ASTM A 36/A 36M, when minimum yield stress is 36 ksi.
2. ASTM A 572/A 572M, Grade 50, when minimum yield stress is 50 ksi.

W-shapes: Comply with ASTM A 992/A 992M.

Pipe: Comply with ASTM A 53/A 53M, Grade B, standard weight, unless otherwise shown on the plans.

Hollow Structural Sections: For each yield stress shown on the plans, comply with the following:

1. ASTM A 501, when minimum yield stress is 36 ksi.
2. ASTM A 500/A 500M, Grade B, when minimum yield stress is 42 ksi for round shapes, and when minimum yield stress is 46 ksi for square and rectangular shapes.
3. ASTM A 500/A 500M, Grade C, when minimum yield stress is 46 ksi for round shapes, and when minimum yield stress is 50 ksi for square and rectangular shapes.

### **BOLTS, CONNECTORS, AND ANCHORS**

Stud Connectors: Comply with ASTM A 108, AISI Grades 1018 through 1020, cold drawn, either semi- or fully kilned.

Anchor Bolts and Anchor Rods, Nuts and Washers:

Headed and Unheaded Anchor Bolts and Anchor Rods: Comply with ASTM F 1554. Use Grade 36 unless a higher grade is shown on the plans.

Nuts: Comply with ASTM A 563.

Washers:

1. Washers bearing on wood surfaces must be commercial quality.
2. Washers bearing on steel surfaces must comply with ASTM F 436, Type 1.
3. Plate washers must comply with ASTM A 36/A 36M.

Exposed anchor bolts and anchor rods, nuts and washers must be hot-dipped galvanized.

Machine Bolts, Nuts, and Washers:

Machine Bolts: Comply with ASTM A 307.

Nuts: Comply with ASTM A 563.

Washers: Commercial quality.

#### High Strength (HS) Fastener Assemblies:

HS Bolts: Comply with ASTM A 325 or A 490 when shown on the plans.

Nuts: Comply with ASTM A 563.

Washers: Comply with ASTM F 436, Type 1.

Direct Tension Indicators: Comply with ASTM F 959.

Tension Control Bolts: Comply with ASTM F 1852.

#### **MORTAR**

Mortar: Use one part cement, measured by volume, to 2 parts clean sand and only enough water to permit placing and packing.

#### **SHOP FABRICATION**

##### Shop Fabrication and Assembly:

1. Cuts must not deviate more than 1/16 inch from the intended line. Remove roughness, notches, and gouges.
2. At points of loading, bearing stiffeners must be square with the web. At least 75 percent of the stiffener must be in contact with the flanges.
3. Finished members must be true to line and be free from twists, kinks, warps, dents, and open joints. Finished members must have square corners and smooth bends
4. Exposed edges and ends of metal must be dressed smooth, with no sharp edges, and with corners slightly rounded.
5. Mark and match-mark materials for field assembly.
6. Complete structural steel assemblies, including welding of units, before shop-priming operations.

Stud Connectors: Prepare steel surfaces as recommended by manufacturer of stud connectors. Use automatic end welding of stud connectors under AWS D1.1/D1.1M and manufacturer's instructions.

##### Connections:

1. Clean abutting surfaces at connections.
2. Do not cut or weld at the jobsite, except as shown on the approved working drawings or approved by the Engineer.
3. Cut, drill, or punch holes perpendicular to steel surfaces. Finished holes for bolts must be cylindrical. Sub-punch and sub-drill holes  $\frac{1}{4}$  inch smaller in diameter than the diameter specified for the finished hole.

##### Bolted Connections:

Fabricate steel to steel bolted connections with machine bolts or HS fastener assemblies when shown on the plans.

Machine Bolts: Snug tighten.

HS Fastener Assemblies:

Assemble and install HS fastener assemblies under RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."

Joint Type:

1. Snug tightened when no joint type is shown on the plans.

2. Pretensioned or slip critical when joint type is shown as such on the plans.

Galvanize HS fastener assemblies, or equivalent fasteners, by mechanically deposited coating process.

The bolt head type and head location must be consistent within a joint.

Install nuts on side of member least exposed to view.

Welded Connections: Assemble and weld built-up sections by methods that will maintain true alignment of axes without exceeding tolerances in AISC 303 for mill material.

Holes for Other Work: Cut, drill, or punch holes perpendicular to metal surfaces. Do not flame cut holes or enlarged holes by burning. Drill holes in bearing plates.

## **SHOP FINISHES**

Shop prime structural steel members, except those to receive sprayed-fireproofing.

Clean and coat steel surfaces of shop primed members under Section 12-9, "Painting."

HS Bolted Connections: Contact surfaces of HS bolted connections and ungalvanized anchorage assemblies must be coated before assembly. The total thickness of primer on each faying surface of slip-critical joints must be between 1 mil and the maximum allowable dry film thickness determined under the RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."

## **SOURCE QUALITY CONTROL**

Welded Connections: Test and inspect welded connections under AWS D1.1/D1.1M and the following:

Inspection:

1. Comply with AISC 341, Section Q5.2, except for CJP groove welds not receiving ultrasonic testing, perform magnetic particle testing on 100% of each root weld pass and each final weld pass of these welds.
2. Perform magnetic particle testing on 25% of each PJP groove weld. The Engineer will select the locations for testing. The cover pass must be ground smooth before testing.

Acceptance Criteria:

1. Ultrasonic Testing: Comply with AWS D1.1/D1.1M, Table 6.2 for statically loaded nontubular connections
2. Magnetic Particle Testing: Comply with AWS D1.1/D1.1M, Clause 6, Part C.

Repairs:

1. If repairs are required, perform NDT on the repaired portion and re-inspect the weld by performing additional NDT on the entire length of the unrepaired portion of the weld under "Source Quality Control."
2. NDT of repaired work must be performed at your expense.

## **PART 3 - EXECUTION**

### **ERECTION**

Set structural steel accurately in locations and to elevations indicated.

Setting Bases and Bearing Plates:

Clean concrete and masonry-bearing surfaces of bond-reducing materials, and roughen surfaces prior to setting plates. Clean bottom surface of plates.

Set base plates and bearing plates for structural members on wedges or other adjusting devices.

Snug-tighten anchor bolts when no specific joint type is shown on the plans after supported members have been positioned and plumbed. Pretension anchor bolts when joints are shown as such on the plans after supported members have been positioned and plumbed. Do not remove wedges or shims except, if protruding, cut off flush with edge of plate before packing with mortar.

Solidly pack mortar between bearing surfaces and base or bearing plates so there are no voids. Neatly finish exposed surfaces and allow to cure.

#### Field Splices:

Field splices must be made only at the locations shown on approved working drawings or approved by the Engineer.

Accurately assemble parts in their final position as shown on the plans and in true alignment with related and adjoining work before final fastening.

Support parts to provide a vibration free, rigid, and secure installation.

### **FIELD CONNECTIONS**

Assembly and installation of bolted connections must comply with "Bolted Connections" under "Shop Fabrication."

### **FIELD QUALITY CONTROL**

Testing and inspection of field-welded connections must comply with "Welded Connections" under "Source Quality Control."

### **FIELD FINISHES**

Touch-up Painting: After erection, clean field welds, bolted connections, and abraded areas of shop paint under SSPC-SP 2 or SSPC-SP 3. Apply one coat of the same coating as applied for shop painting to the cleaned areas.

After touch-up painting, coat all surfaces with a second prime coat, and finish coats when specified, to comply with Section 12-9, "Painting."

## **12-5.02 OPEN WEB STEEL JOISTS**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of designing, fabricating, furnishing and erecting pre-engineered, factory fabricated steel joists and accessories in accordance with the details shown on the plans and these special provisions.

This work includes:

- Long-span steel joists.
- Joist accessories.

## **DEFINITIONS**

SJI: Steel Joist Institute.

SJI Specifications: SJI's "Standard Specifications, Load Tables and Weight Tables for Steel Joists and Joist Girders."

Special Joists: Steel joists or joist girders requiring modification by manufacturer to support non-uniform, unequal, or special loading conditions that invalidate load tables in the SJI specifications.

## **SUBMITTALS**

Product Data: Submit manufacturer's descriptive data and installation instructions. Submit quality control manual and welder qualifications for field welding.

Working Drawings:

Submit working drawings for the steel joists, permanent bracing, continuity angles, and connection details.

1. Show layout, location and identification of each steel joist, number, type, and spacings of steel joists. Include joining and anchorage details, bridging, joist accessories, splice and connection locations and details, and attachments to other construction.
2. Show size and shape of truss members and both temporary and permanent bracing members.

Design Calculations:

Submit design calculations for the steel joists, permanent bracing, continuity angles, and connection details

1. Calculations for design of steel joists, bracing, and connections must include a list of applied loads and load combinations with the resulting member forces and member stresses. Design steel joists and connections for the chord forces shown on the plans.
2. Design calculations must be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration must be shown.
3. If the design calculations contain or consist of computerized or tabulated calculations, the values pertaining to the design must be identified, described, or indexed in such a manner that a design review can be performed.

## **QUALITY ASSURANCE**

Manufacturer Qualification: The manufacturer must be certified by the SJI to manufacture steel joists under the SJI specifications. Furnish documentation of certification.

Codes and Standards: Design steel joists and permanent bracing for the loads shown on the plans and other applied loads, including fire sprinkler systems. The design must comply with the CBC and the SJI specifications.

Field Welding: Qualify procedures and personnel for field welding under AWS D1.1/D1.1M, "Structural Welding Code - Steel."

Certificates of Compliance: Furnish a Certificate of Compliance for steel joists under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Identification: Stamp or mark each joist with a location identification mark or symbol.

## **DELIVERY, STORAGE, AND HANDLING**

Protect steel joists from corrosion, deformation, and other damage during delivery, storage, and handling. Provide covers for protection of materials.

## **PART 2 - PRODUCTS**

### **MATERIALS**

Open Web Steel Joists:

Comply with the SJI specifications for LH-Series. Steel joists must be tapered and designed to support the loads shown on the plans.

Bearing Plates, Fasteners, and Accessories: Comply with the approved working drawings.

Anchors: Comply with the specifications for anchors in Section 12-5, "Building Miscellaneous Metal."

### **FABRICATION**

Fabricate steel joists under the SJI specifications.

Build camber into the steel joists if required by the design.

### **SHOP FINISHES**

Clean and prepare surfaces under one of the following:

1. SSPC-SP 1 and SSPC-SP 2
2. SSPC-SP 1 and SSPC-SP 3
3. The coating manufacturer's printed instructions.

Shop Paint: Comply with SSPC-Paint 15. Apply one coat. Paint shall be compatible with finish coat.

Apply and cure the coating under the coating manufacturer's printed instructions.

## **PART 3 - EXECUTION**

### **EXAMINATION**

The Contractor shall examine supporting substrates, embedded bearing plates, and abutting structural framing for compliance with requirements for installation tolerances and other conditions affecting performance. Proceed with installation only after unsatisfactory conditions have been corrected.

### **ERECTION**

Installation of steel joists must comply with the approved working drawings. Bracing members shall be sized to provide tightly fitted joints and connections.

Joists shall be handled in a manner to avoid damage. Damaged joists shall be removed from the site, except when field repair is approved by the Engineer and such repairs are satisfactorily made in accordance with the manufacturer's recommendations.

### **INSTALLATION**

Install steel joists and accessories plumb, square, and true to line. Securely fasten steel joists to supporting construction under SJI specifications, steel joist manufacturer's printed instructions, and the approved working drawings. Do not field cut or otherwise alter steel joists without the written approval of the Engineer.

Install temporary bracing and erection bridging, connections, and anchors to ensure that steel joists are stabilized during construction.

Bearing plates must have full bearing after the supporting members have been plumbed and properly positioned, before placing superimposed loads.

Comply with specifications for welding, appearance and quality of welds, and methods used in correcting welding work under AWS D1.1/D1.1M, "Structural Welding Code - Steel." Exposed welds must be ground smooth and flush.

Install and connect bridging concurrently with steel joist erection before construction loads are applied. Distribute temporary loads so that the design carrying capacity of any steel joist is not exceeded. Do not apply loads to bridging during construction or in the completed work.

Secure permanent bracing before any sustained permanent loads are applied to the steel joist system.

## **FIELD FINISHES**

After installation, clean and prepare field connections, rust spots, and abraded surfaces of shop-primed steel joists and accessories under SSPC-SP 2 or SSPC-SP 3. Prime or reprime the cleaned and prepared surfaces with the same or compatible type of coating used in the shop priming.

Coatings: Comply with the specifications in Section 12-9, "Painting." Clean and prepare steel surfaces under the manufacturer's printed instructions. The final finish color must be approved by the Engineer.

## **12-5.03 METAL DECK**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing metal deck in accordance with the details shown on the plans and these special provisions.

Metal deck includes ribbed sheet steel decking units, bent plates, accessories, fasteners and such other components, not mentioned, but required for a rigid, secure and complete installation.

#### **REFERENCES**

The design, fabrication and erection of metal deck shall conform to the applicable requirements of the American Iron and Steel Institute (AISI) publication, "North American Specifications for the Design of Cold Formed Steel Structural Members," the applicable Steel Deck Institute (SDI) "Code of Standard Practice" and applicable "Specifications and Commentary" in its "Design Manual for Composite Decks, Form Decks and Roof Decks" (Publication 31), and these special provisions.

Welding shall be in accordance with American Welding Society (AWS) D1.3, "Structural Welding Code - Sheet Steel."

#### **SUBMITTALS**

Product Data: Manufacturer's descriptive data for each type of deck and accessories shall be submitted for approval.

Working Drawings: Working drawings showing complete erection layouts, details, dimensions, deck section properties shall be submitted for approval. Drawings shall show types and gages, sump pans, cut openings, surface finishes and temporary supports or bracing.

#### **QUALITY ASSURANCE**

Qualification of Field Welding:

Welding processes and welding operators shall be qualified in accordance with "Welder Qualification," procedures in American Welding Society (AWS) D1.1, "Structural Welding Code - Steel."

Welding decking in place is subject to inspection and testing. Defective work shall be removed and replaced with acceptable work.

Certificates of Compliance: Certificates of Compliance shall be furnished for the metal decking in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### **DELIVERY, HANDLING AND STORAGE**

Metal deck units and accessories shall be transported, stored and erected in a manner that will prevent corrosion, distortion or other damage.

Deck units shall be stored off the ground with one end elevated to provide drainage.

## **PART 2 - PRODUCTS**

Manufacturers: Acceptable manufacturers shall be; Verco Manufacturing Co.; Nucor Corp; Vulcraft Group; ASC Profiles; or equal.

### **MATERIALS**

Deck Units:

Deck units, closures and plates shall be fabricated from galvanized sheet steel conforming to ASTM Designation: A 653/A 653M, Grade 33 [230], and ASTM Designation: A 924/A 924M, Structural Steel (SS).

Galvanizing shall conform to the requirements of ASTM Designation: A 924/A 924M, G60 [Z180]. Deck units at structures not receiving a finish roof covering shall receive G90 galvanizing.

Miscellaneous Steel Shapes: Miscellaneous steel shapes shall conform to ASTM Designation: A 36/A 36M.

Anchor Clips, Vent Clips, Flashing, Saddle Plates, Flexible Closure Strips and Other Accessories: Anchor clips, vent clips, flashing, saddle plates, flexible closure strips and other accessories shall be as recommended by the decking manufacturer.

### **FABRICATION**

Deck units shall be formed to span 3 or more supports, with flush, telescoped or nested 2-inch laps at ends and interlocking or nested side laps unless otherwise shown on the plans.

Deck units shall conform to the configurations, metal thickness, depth and width and section properties shown on the plans.

End bearing shall be not less than 1½ inches.

Metal Closure Strips: Metal closure strips for opening between deck units and other construction shall be fabricated from the same gage and material as the adjacent deck units. Strips shall be formed to provide tight-fitting closures at end of cells or flutes and sides of decking.

Roof Sump Pans: Sump pans shall be fabricated from single piece of galvanized sheet steel with level bottoms and sloping sides to direct water flow to drain. Sump pans shall be of adequate size to receive roof drains and with bearing flanges not less than 3 inches wide. Pans shall be recessed not less than 1½ inches below roof deck surface unless otherwise shown or required by deck configuration. Holes for drains shall be cut in the field.

Cleaning: When spray-on fireproofing is specified, the decking manufacturer shall supply decking free of amounts of oil or lubricants which would significantly impair the adhesion of the spray-on fireproofing.

### **PART 3 - EXECUTION**

#### **INSTALLATION**

Deck units and accessories shall be installed in accordance with the manufacturer's recommendations, SDI Publication 31, approved drawings, and these special provisions.

Units shall be placed on supporting steel framework, adjusted in place and properly aligned before being permanently fastened. Ends of units shall have positive bearing over structural supports.

Cutting and fitting shall present a neat and true appearance with exposed burrs removed. Openings through the decking shall be cut square and shall be reinforced as recommended by the decking manufacturer.

The metal deck shall not be used as a working platform before deck units are fastened in place. Supplies, equipment or other loads shall not be stored on the deck. Mechanical equipment or other loads shall not be hung from metal roof decking.

#### **Welding:**

Welding shall conform to AWS requirements (D1.1 and D1.3) and procedures for manual shielded metal arc welding, appearance and quality of welds, and methods used in correcting welding work.

Welding washers shall be used where recommended by the manufacturer.

Fastening Roof Deck Units: Roof deck units shall be fastened to supporting steel members as shown on the structural plans.

Fastening Side Laps: Side laps of adjacent deck units shall be fastened as shown on the plans.

Roof Sump Pans: Roof sump pans shall be placed over openings provided in roof and welded to top decking surface. Welds are to be spaced at not more than 12 inches with at least one weld in each corner. Cut opening in sump bottom to accommodate drain size indicated.

#### **Field Painting:**

Immediately following erection, field welds, bolted connections and abraded areas shall be cleaned with a wire brush.

Galvanized surfaces shall be touched-up with galvanizing repair paint recommended by the manufacturer.

Metal decking, where shown on the plans to be painted, shall be finished in accordance with the requirements specified under "Painting" in Section 12-9, "Finishes," of these special provisions.

### **12-5.04 PRE-ENGINEERED COLD-FORMED STEEL TRUSSES**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of designing, factory fabricating, furnishing, and erecting pre-engineered cold-formed steel trusses, including permanent and temporary bracing, strapping, splices, bridging, and other accessories required for a proper and complete installation, in accordance with the details shown on the plans and these special provisions.

## PERFORMANCE REQUIREMENTS

Design:

The trusses shall be designed:

1. For the loads shown on the plans
2. For the load combinations specified in Section 1605, "Load Combinations," of the CBC
3. In accordance with AISI S214-12, "North American Standard for Cold-formed Steel Framing-Truss Design," and AISI S200-12, "North American Standard for Cold-formed Steel Framing-General Provisions"
4. To provide for movement of framing members without damage or overstressing, sheathing failure, connection failure, undue strain on fasteners and anchors, or other detrimental effects when subject to a maximum ambient temperature change (range) of 120 deg F
5. Not to exceed the maximum allowable deflection limits shown on the plans
6. With the camber shown on the plans.

## SUBMITTALS

Product Data: Manufacturer's descriptive data for metal framing members, fasteners, hardware, and fabrication process shall be submitted for approval.

Installation Instructions: The truss manufacturer's printed instructions shall be provided for temporary bracing, handling, storage, and installation of the trusses and accessories.

Working Drawings and Design Calculations:

Complete working drawings, erection plans, and design calculations for the trusses and permanent bracing shall be submitted for approval. Submittals shall be approved prior to the start of fabrication.

Working drawings shall include:

1. Truss profile, span and spacing
2. Member thicknesses, section properties, and ASTM Designation and grade for all truss and bracing members
3. Joint and connection details for each truss in the roof system
4. Gusset plate locations, sizes and material specifications, fastener type, size, quantities and locations, shape and material specification for each connection
5. The method of attachment to supporting members including minimum bearing length
6. The method of connecting member-to-member, truss-to-truss, ply-to -ply, and truss-to-structure
7. The maximum nominal (unfactored) reactions and member forces
8. Camber
9. An erection plan showing field assembly and erection details, including location and identification of each truss, truss connections and support locations, permanent and temporary bracing, strapping, splices, bridging, and other accessories and details required for a proper and complete installation.

Design calculations shall include:

1. Calculations for the design of the trusses and bracing, with the design criteria and assumptions clearly indicated
2. A list of applied loads and load combinations, including fire sprinkler system or other collateral loads if required, and the resulting member forces, nominal support reactions, member stresses, and deflections
3. The values pertaining to the design clearly identified, described or indexed in such a manner that a design review can be performed, for calculations that contain or consist of computerized or tabulated calculations

Working drawings and design calculations shall be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California. The expiration date of the registration shall be shown. The engineer's original signature shall be submitted; copies will not be accepted.

Welding Certificates: Certificates and qualifications for welding procedures and personnel shall be submitted.

Manufacturer and Installer Qualifications: The qualifications of the truss manufacturer and the truss installer shall be submitted.

Test Report: A copy of the test report, prepared when trusses, truss components, or truss connections are required to be tested, shall be furnished.

## **QUALITY CONTROL AND ASSURANCE**

Manufacturer Qualifications: The truss manufacturer shall have successfully fabricated trusses equal in material, design, and extent to the trusses shown on the plans for at least 3 projects, in addition to being in business for at least 5 years.

Installer Qualifications: The installation of trusses, including permanent and temporary bracing, strapping, splices, bridging, and other accessories required for a proper and complete installation, shall be by an experienced installer approved, in writing, by the truss manufacturer.

American Iron and Steel Institute (AISI) Specifications and Standards:

Comply with:

1. AISI S214-12, "North American Standard for Cold-formed Steel Framing-Truss Design"
2. AISI S200-12, "North American Standard for Cold-formed Steel Framing-General Provisions"
3. AISI S201-12, "North American Standard for Cold-formed Steel Framing-Product Data"

Welding:

Welding shall be in accordance with AWS D1.3/D1.3M, "Structural Welding Code - Sheet Steel."

Welding procedures and personnel shall be qualified in accordance with:

1. AWS D1.1/D1.1M, "Structural Welding Code - Steel."
2. AWS D1.3/D1.3M, "Structural Welding Code - Sheet Steel."

Test Report: A copy of the test report, prepared when trusses, truss components, or truss connections are required to be tested, shall be furnished.

Certificates of Compliance: Certificates of Compliance shall be furnished for the trusses in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

## **DELIVERY, STORAGE AND HANDLING**

The trusses shall be:

1. Transported and handled in accordance with the truss manufacturer's recommendations and in manner necessary to prevent damage from warping, distortion or corrosion
2. Delivered in the manufacturer's unopened containers or bundles, fully identified by manufacturer's name, job number, and truss number.
3. Stored off the ground in a dry, ventilated space in such a manner to prevent warping, distortion or corrosion prevent, and kept free of dirt or other foreign matter.

## **PART 2 - PRODUCTS**

#### Cold-Formed Steel Shapes:

Cold-formed steel shapes shall be fabricated from galvanized sheet steel conforming to ASTM Designation: Grade ST33H or ST50H.

All shapes shall have a current ICC Evaluation Report showing the structural properties of the members.

All truss top chords shall be 43 mil uncoated base-metal thickness.

Steel Tubing: Steel tubing shall conform to ASTM Designation: A 500.

Sheet Steel: Sheet steel shall conform to ASTM Designation: A 653/653M, SS, Grade 33.

Finish: All components of truss system shall be coated in conformance with ASTM Designation: A 1003/1003M, G60.

Fasteners: Manufacturer recommended self-drilling, self-tapping screws with corrosion-resistant finish. Fasteners shall be of sufficient size and number to ensure the strength of the connection, as specified by the truss designer. Screws shall have a current ICC Evaluation Report showing the design capacity of the screws.

### **SHOP FABRICATION**

#### General:

Trusses shall be shop fabricated plumb, square, true to line, and with connections securely fastened, in accordance with the approved working drawings, manufacturer's recommendations and these special provisions.

Each truss shall be stamped or marked with a location identification mark or symbol, the name and address of the manufacturer, and the vertical bearing points and lateral brace points.

Field fabrication of trusses or torch cutting of truss members will not be allowed.

#### Shop Fabrication:

The trusses shall be:

1. Fabricated using jig templates to ensure consistent component placement within specified tolerances.
2. Straight and true, without rack to a maximum allowable tolerance variation of 1/8 inch in 10 feet (1:960).
3. Handled in such a manner to prevent overstress of any truss member or connection.

## **PART 3 - EXECUTION**

### **INSTALLATION AND ERECTION**

Truss supplier shall examine structure, substrates and installation conditions. Installation constitutes acceptance of existing conditions and responsibility for satisfactory performance of the trusses.

Trusses shall be erected in one-piece lengths, unless splice connections are shown on the approved working drawings.

Disassembly of factory fabricated trusses, cutting, notching, and drilling of truss members, components, and connections will not be allowed unless shown on the approved working drawings.

Trusses shall be erected plumb, true, and secured rigidly in place in accordance with the approved working drawings, manufacturer's recommendations and these special provisions.

Proper lifting equipment shall be provided, including spreader bar, suited to sizes and types of trusses required, applied at lift points recommended by truss fabricator. Care shall be taken to avoid damage to truss members during erection and to keep horizontal bending of the trusses to a minimum.

Longitudinal and transverse bracing shall be installed during erection to hold the trusses plumb and true and in a safe position until sufficient permanent construction is in place to provide full stability. Temporary construction loads shall be adequately distributed so that the carrying capacity of any single truss or group of trusses is not exceeded.

All bearing connections and permanent bracing shall be secured in place before any sustained permanent loads are applied to the roof truss system.

Installation bracing shall be left in place until trusses are permanently stabilized

### **ERECTION TOLERANCES**

Individual trusses shall be spaced no more than plus or minus 1/8 inch from the location shown on the plans. Cumulative error shall not exceed minimum fastening requirements of sheathing or other finishing materials.

### **REPAIRS**

Galvanizing Repairs: Prepare and repair damaged galvanized coatings on trusses shall be prepared and repaired with paints containing zinc dust in conformance with the requirements of ASTM A780/A780M and the manufacturer's instructions.

Structural Repairs: Damaged chords, webs, or complete trusses shall be repaired or replaced as directed by the truss manufacturer and with the advanced approval of the truss designer.

## **12-5.05 COLD-FORMED STEEL FRAMING**

### **PART 1.- GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing cold-formed steel framing in accordance with the details shown on the plans and these special provisions. Framing shall include studs, joists, rafters, track, blocking, furring and any other component needed to complete the framing system as shown on the plans.

#### **SUBMITTALS**

Product data.--Manufacturer's descriptive data for each item of cold-formed steel framing and accessories shall be submitted for approval.

Welding Certificates.-- Copies of certificates for welding procedures and personnel shall be submitted for approval.

SSMA Certification.-- Manufacturer's certification of membership in the Steel Stud Manufacturers Association.

#### **QUALITY CONTROL AND ASSURANCE**

Fire-rated Assemblies: Where cold formed metal framing units are components of assemblies indicated to be fire-rated, provide units which have been approved for the rating indicated on the plans.

The cold-formed steel structural framing fabrication and its installation shall comply with American Iron and Steel Institute (AISI) Specifications and Standards: AISI S200-07, "General Provisions," and AISI S201-07, "Product Data."

Welding:

Welding shall be in accordance with AWS D1.3, "Structural Welding Code - Sheet Steel."

Welders shall be qualified in accordance with procedures of AWS D1.1, "Structural Welding Code - Steel," under "Welder Qualification."

## **DELIVERY, STORAGE AND HANDLING**

General.—Cold-formed steel framing components shall be protected from rusting and damage. Components shall be delivered to the jobsite in manufacturer's unopened containers or bundles, fully identified with name, brand, type and grade. Components shall be stored off ground in a dry, ventilated space.

## **PART 2.- PRODUCTS**

### **MANUFACTURERS**

The cold-formed steel fabricator shall be a current member of Steel Stud Manufacturers Association (SSMA). Structural properties and section designators of framing members shall be those used by SSMA.

### **COLD FORMED STEEL COMPONENTS**

Unless otherwise shown on the plans, framing components, 43 mil or lighter, shall be fabricated of commercial quality galvanized steel sheets; conforming to ASTM Designation: A1003/A1003M, Grade ST33H. Framing components heavier than 43 mil shall be formed from commercial quality galvanized steel sheets conforming to ASTM Designation: A 1003/A 1003M, Grade ST50H.

### **ACCESSORIES**

Fasteners.-- Fasteners shall be ICC approved, self-drilling, self-tapping screws, or bolts, nuts and washers. Screws shall be in compliance with SAE J78 and ASTM C1513. Panel fastening screws shall be wafer head type of the size shown on the plans. They shall have a drill capacity sufficient to penetrate the panel and framing or shall have a reamer point designed to shear off when drilling through the steel framing. Framing screws shall be modified truss head type of the size shown on the plans.

Anchorage.-- Anchorages shall be ICC approved for the purpose intended, integral stud type, powder driven, drilled expansion bolts or cast-in anchor bolts.

Miscellaneous Hardware.-- Hold-downs, joist hangers, straps, clips and other standard framing hardware shall be ICC approved, commercial quality of the type shown on the plans.

### **FINISHES**

Studs, track, strapping and sheet steel:

Studs, tracks, strapping and sheet steel shall be hot-dipped galvanized to conform to ASTM Designation: A 1003/A 1003M, G60.

Miscellaneous components

Miscellaneous steel components required to complete the work shall be galvanized to not less than 0.6 ounce per square foot.

## **PART 3.-EXECUTION**

### **INSTALLATION**

Cold-formed steel framing components shall be installed in conformance with ASTM C-1007 "Standard Specification for Installation of Load Bearing (Traverse and Axial) Steel Studs and Related Accessories." and as shown on the plans and these special provisions.

Load bearing shims or grout shall be installed between the underside of wall bottom track or rim track and the top of foundation wall or slab at stud or joist locations, as required, to ensure a uniform bearing surface on supporting concrete or masonry construction.

The distance from point of bearing to web punchout shall be greater than twice the depth of web.

Install supplementary framing, blocking, and bracing, as required, to support fixtures, equipment, services, casework, heavy trim, furnishings, and similar work requiring attachment to structural framing.

Joists and rafters shall be located, spaced, and installed per the contract documents. Joists and rafters shall be installed directly over bearing studs or a load distribution member shall be installed at the top track.

Protective coating damaged by welding shall have the coating repaired by painting with a zinc-rich paint.

## **REPAIRS**

Repair damaged galvanized coatings on cold-formed steel framing with galvanized repair paint according to ASTM A 780 and manufacturer's written instructions: ASTM A780-93a "Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings."

## **12-5.06 BUILDING MISCELLANEOUS METAL**

### **PART 1 - GENERAL**

Scope: This work shall consist of fabricating, furnishing, and installing building miscellaneous metal in accordance with the details shown on the plans and these special provisions.

Building miscellaneous metal shall consist of the following:

- Ladder,
- Bars, plates and angles,
- Steel grate,
- Pipe,
- Threaded rods,

including all anchors, fastenings, hardware, accessories, and other supplementary parts necessary to complete the work.

### **REFERENCES**

Codes and Standards: Welding of steel shall be in accordance with American Welding Society (AWS) D 1.1, "Structural Welding Code - Steel" and D 1.3, "Structural Welding Code - Sheet Steel."

### **SUBMITTALS**

Product Data: Submit manufacturer's specifications, anchor details, and installation instructions for products used in miscellaneous metal fabrications.

Working Drawings: Working drawings of fabricated items shall be submitted for approval.

### **QUALITY ASSURANCE**

Shop Assembly: Preassemble items in shop to the greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations. Clearly mark all units for reassembly and installation.

Inspection and Tests: Materials and fabrication procedures shall be subject to inspection and tests by the Engineer, in mill, shop, and field. Such tests will not relieve the Contractor of responsibility of providing materials and fabrication procedures in compliance with specified requirements.

## **PART 2 - PRODUCTS**

### **MATERIALS**

Steel Bars, Plates, Angles and Channel Sections shall conform to ASTM A 36/A 36M.

Galvanized Sheet Steel: Galvanized sheet steel shall conform to ASTM A 653/A 653M. Galvanizing shall be G60.

Pipe: Pipe shall be commercial quality standard steel pipe.

Hollow Structural Sections: Hollow structural sections shall conform to ASTM A 500/A 500M, Grade B, or A 501,  $F_y = 46$  ksi.

Bolts, Studs, Threaded Rods, Nuts, and Washers:

Bolts, studs, and threaded rods for general application shall conform to ASTM A 307 or F 1554, Grade 36.

Nuts shall conform to ASTM A 563.

Welded studs shall conform to AWS D1.1

Washers bearing on wood surfaces shall be commercial quality. Washers bearing on steel surfaces shall conform to ASTM F 844 or F 436.

Fittings: Brackets, bolt, threaded studs, nuts, washers, and other fittings for railings and handrailings shall be commercial quality pipe and fittings.

Expansion Anchors: Expansion anchors shall be ICC approved for the purpose intended, integral stud type anchor or internally threaded type with independent stud, hex nut, and washer.

Powder Driven Anchors: Powder driven anchors shall be plated, spring steel alloy drive pin or threaded stud type anchors for use in concrete or steel. Spring steel shall conform to ASTM A 227, Class 1. The diameter, length, and type of shank and the number and type of washer shall be as recommended by the manufacturer for the types and thickness of material being anchored or fastened.

Resin Capsule Anchors: Stud anchors for resin capsule anchors shall conform to ASTM A 307 or F 1554, Grade 36, threaded steel rod with hex nut and washer and sealed glass capsule or cartridge containing an adhesive composed of unsaturated polyester resin and benzol peroxide coated quartz sand. Resin capsule shall be Hilti; Molly; or equal.

Non-shrink grout shall conform to ASTM C1107. Install per manufacturer's instructions.

Metal Bar Grating: Fabricate and install metal bar grating per NAAMM Metal Bar Grating 531.00 including the Standard Specification for Metal Bar Grating and Treads. Metal bar grating shall be galvanized steel.

### **FABRICATION**

Workmanship and Finish:

Workmanship and finish shall be equal to the best general practice in modern shops.

Miscellaneous metal shall be clean and free from loose mill scale, flake rust and rust pitting, and shall be well formed and finished to shape and size with sharp lines and angles. Bends from shearing or punching shall be straightened.

The thickness of metal and details of assembly and support shall give ample strength and stiffness.

Built-up parts shall be true to line and without sharp bends, twists, and kinks. Exposed ends and edges of metal shall be milled or ground smooth, with corners slightly rounded.

Joints exposed to the weather shall be made up to exclude water.

Galvanizing: Items indicated on the plans to be galvanized shall be hot-dip galvanized after fabrication. The weight of galvanized coating shall be at least 1½ ounces per square foot of surface area, except drainage grates shall have at least 2 ounces per square foot of surface area.

Painting: Building miscellaneous metal items that are not galvanized shall be cleaned and coated with one prime coat in accordance with the requirements specified under "Painting" in Section 12-9 of these special provisions. After erection, surfaces shall be coated with a second prime coat, and finish coats when specified, in accordance with the requirements specified under "Painting" in Section 12-9.

Loose Bearing and Leveling Plates: Loose bearing and leveling plates shall be furnished for steel items bearing on masonry or concrete construction, made flat, free from warps or twists, and of required thickness and bearing area. Plates shall be drilled to receive anchor bolts. Galvanize after fabrication.

Drainage Pipes, Frames and Grates:

Drain piping shall have connections sealed watertight.

Drainage grates shall have end bars of the same cross section as support bars. Connections between end bars and support bars of structural steel shall be welded all around.

Drainage frames shall be angles and plates as shown on the plans.

Drainage grates and frames shall be match marked.

### **PART 3 - EXECUTION**

#### **GENERAL**

Anchorage:

Anchorage devices and fasteners shall be provided for securing miscellaneous metal in-place construction; including threaded fasteners for concrete and masonry inserts, toggle bolts, through bolts, lag bolts, wood screws, and other connectors.

Cutting, drilling, and fitting shall be performed as required for installation of miscellaneous metal fabrications. Work is to set accurately in location, alignment and elevation, plumb, level, true and free of rack, measured from established lines and levels.

Loose Leveling and Bearing Plates: Plates shall be set on wedges or other adjustable devices. Anchor bolts shall be wrench tightened after the plates have been positioned and plumbed. Mortar shall be packed solidly between bearing surfaces and plates to ensure that no voids remain.

Powder Driven Anchors: Powder driven anchors shall be installed with low velocity powder actuated equipment in accordance with the manufacturer's instructions and State and Federal OSHA regulations.

Resin Capsule Anchors: Resin capsule anchors shall be installed in accordance with the manufacturer's instructions.

Bolted connections not otherwise specified or shown on drawings must be snug-tightened.

## **DAMAGED SURFACES**

Galvanized surfaces that are abraded or damaged shall be repaired by thoroughly wire brushing the damaged areas and removing all loose and cracked coating. The clean areas shall then be painted with 2 spot applications of a coating conforming to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) and listed on MPI List Number 18, Primer, Zinc Rich, Organic, and meeting the requirements under "Painting" in Section 12-9, "Finishes," of these special provisions.

## **SECTION 12-6. WOOD AND PLASTICS**

### **12-6.01 FINISH CARPENTRY**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work consists of furnishing and installing materials and performing finish carpentry, including interior trim, plywood soffits and plywood and softwood paneling, as shown on the plans and these special provisions.

Finish carpentry includes carpentry work not specified as part of other sections and which is generally exposed to view.

##### **SUBMITTALS**

Product Data: Manufacturer's specifications and installation instructions for each item of factory-fabricated siding and paneling.

Samples: One sample shall be submitted to the Engineer at the jobsite for each species and cut or pattern of finish carpentry as shown below:

Interior standing and running trim: 2 feet long by full board or molding width, finished on one side and one edge.

Plywood paneling: 2 feet long x full panel width, finished on one side.

##### **QUALITY ASSURANCE**

Factory Marks: Each piece of lumber and plywood shall be marked with type, grade, mill and grading agency identification. Marks shall be omitted from surfaces to receive transparent finish. A mill certificate stating that material has been inspected and graded in accordance with requirements shall be furnished if marks cannot be placed on concealed surfaces.

##### **PRODUCT DELIVERY, STORAGE AND HANDLING**

Delivery: Carpentry materials shall be delivered after painting, wet work and similar operations have been completed.

Protection: Finish carpentry materials shall be protected during transit, delivery, storage and handling to prevent damage, soiling and deterioration.

## **PART 2 - PRODUCTS**

### **WOOD PRODUCT QUALITY STANDARDS**

Softwood Lumber: Softwood lumber shall conform to the requirements of PS 20, "American Softwood Lumber Standard," with applicable grading rules of inspection.

Plywood: Plywood shall conform to the requirements of Voluntary Product Standard PS 1, "Structural Plywood," or its predecessor, "Construction and Industrial Plywood."

Hardwood Lumber: Hardwood lumber shall conform to the requirements of the National Hardwood Lumber Association (NHLA) rules.

Woodworking: Woodworking shall conform to the requirements of WI "Architectural Woodwork Standards," Custom Grade.

### **MATERIALS**

Lumber sizes indicated shall be nominal sizes except as indicated by detailed dimensions. Lumber which is to be dressed or worked and dressed shall be manufactured to the actual sizes as required by PS 20.

Lumber that is to be painted may be solid or glued-up lumber or primed MDF of the same dimensions as lumber shown on the plans.

Glued-up lumber for exterior finish work shall comply with ASTM D 2559 for "wet use" and be so certified by the inspection agency.

Plywood Paneling and Wainscotting: Plywood paneling and wainscotting shall be APA Interior Grade A-C, Group 1, Exposure 1 plywood. Thickness shall be as shown on the plans.

Interior Standing and Running Trim: Standing and running trim to be painted shall be paint-grade pine, solid stock or finger jointed or MDF.

Open Shelving: Open shelving shall be 3/4-inch Grade A-C fir plywood with veneer core or medium density overlay (MDO) softwood plywood and 1/2-inch thick solid stock pine edge banding glued and nailed.

Miscellaneous Materials:

Nails, screws and other anchoring devices of the type, size, material and finish required shall be provided for secure attachment, concealed where possible.

Fasteners and anchorages for exterior use and for use with preservative treated wood shall be hot dip galvanized.

Screens for soffit vents shall be 4 x 4 or 8 x 8 mesh, galvanized screen. Open area shall be not less than 50 percent.

### **WOOD PRESERVATIVE-TREATED LUMBER**

Preservative Treatment:

Preservative Treatment by Pressure Process: AWWA U1; Use Category UC2 for interior construction not in contact with the ground, Use Category UC3b for exterior construction not in contact with the ground, and Use Category UC4a for items in contact with the ground.

Wood members, except those of redwood, in contact with mortar setting beds, concrete block walls, slab on grade and other concrete work, and wood used for roofing cant and curbs shall be pressure treated with leach resistant preservative. Each piece of pressure treated lumber shall bear the AWWA label.

Comply with AWPA M4 for applying field treatment to all holes, daps, or cuts made after treating. Thoroughly swab with preservative treatment.

Fire Retardant Treatment: Fire retardant treatment shall be paintable, odorless fire retardant preservative applied by pressure treating methods.

### **PART 3 - EXECUTION**

#### **INSTALLATION**

All work shall be installed plumb, level and true with no distortions.

Standing and Running Trim:

Standing and running trim shall be installed with minimum number of joints possible, using full length pieces to the greatest extent possible.

Exterior joints shall be made water-resistant by careful fitting.

Anchor Finish Carpentry:

Finish carpentry shall be anchored to framing or blocking built in or attached directly to the substrate.

Interior carpentry shall be attached to grounds, stripping and blocking with countersunk, concealed fasteners and blind nailing where required for complete installation. Fine finish nails shall be used for exposed nailing, countersunk and filled flush with finished surface and matching final finish where transparent finish is indicated.

#### **ADJUSTMENT, CLEANING, FINISHING AND PROTECTION**

Damaged and defective finish carpentry work shall be repaired or replaced.

All exposed or semi-exposed surfaces shall be cleaned.

Finish carpentry shall be finished in accordance with the requirements specified under "Painting" in Section 12-9, "Finishes," of these special provisions.

### **12-6.02 CABINETS**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing wood cabinets and plastic laminate tops, splashes and returns as shown on the plans and in these special provisions.

##### **SUBMITTALS**

Product Data: Manufacturer's product data for plastic laminates and cabinet hardware shall be submitted for approval.

Samples: Three samples shall be submitted for the item specified below:

Plastic laminate, 8" x 10" for each type, color, pattern and surface finish.

Working Drawings: Working drawings for cabinets showing location of cabinets, dimensioned plans and elevations, attachment devices and other components shall be submitted for approval. Working drawings shall bear the "WI Certified Compliance Label" on the first sheet of the drawings.

## **QUALITY ASSURANCE**

Codes and Standards: Cabinets shall be manufactured and installed in accordance with the "Architectural Woodwork Standards" of the Woodwork Institute (WI) requirements for the grade or grades specified or shown on the plans.

Certificates of Compliance:

Prior to delivery to the jobsite, the cabinet manufacturer shall issue a WI Certified Compliance Certificate indicating that the products he will furnish for this job and certifying that they will fully meet all the requirements of the grade or grades specified.

WI Certified Compliance Label shall be stamped on all cabinet work and swinging gate.

Each plastic laminate top shall bear the WI Certified Compliance Label.

Prior to completion of the contract, a WI Certified Compliance Certificate for Installation shall be delivered to the Engineer.

## **DELIVERY, STORAGE AND HANDLING**

Protection: Cabinets shall be protected during transit, delivery, storage and handling to prevent damage, soiling and deterioration.

## **PART 2 - PRODUCTS**

### **ACCEPTABLE MANUFACTURERS**

Manufacturers: Subject to compliance with these special provisions, high pressure decorative laminates shall be Wilsonart; Formica Corp.; Nevamar Corp.; or equal.

### **MANUFACTURED UNITS**

Cabinets shall be fabricated to the dimensions, profiles, and details shown on the plans with openings and mortises precut, where possible to receive hardware and other items and work.

Fabrication, assembly, finishing, hardware application, and other work shall be completed to the maximum extent possible prior to shipment to the jobsite.

Laminate Clad Cabinets:

Laminate clad cabinets shall be custom grade, flush overlay construction.

Laminate cladding shall be high pressure decorative laminate complying with NEMA LD 3. Color, pattern and finish shall be as shown on the plans. Laminate surface and grade shall be as follows:

Horizontal and vertical surfaces other than tops shall conform to NEMA LD 3, general purpose grade GP-50 (50-mil nominal thickness).

Postformed surfaces shall conform to NEMA LD 3, postformed grade PF-42 (42-mil nominal thickness).

Laminated Counter Tops and Splashes:

Laminated counter tops and splashes shall be WI custom grade.

Surface material shall be high pressure laminated plastic conforming to NEMA LD-3, 50-mil thickness.

Unless otherwise shown on the plans, splashes shall be 4 inches high from the surface of the deck. Back splashes shall be continuous formed and coved. Side splashes shall be top set.

Laminated counter tops shall be self edged. Counter tops to receive sinks or plumbing fixtures shall have a bullnose.

The underside of tops and backsides of splashes shall be covered with an approved backing sheet.

## **CABINET HARDWARE AND ACCESSORY MATERIALS**

Cabinet hardware and accessory materials shall be provided for cabinets.

Hardware shall be provided with standard US 26D metal plated finish.

Drawer Slides: Drawer slides shall be side mounting full extension with fully enclosed rolling balls and rollers, concealed slides and bearings, and positive stop. Capacity shall be not less than 75 pounds, except capacity shall be not less than 100 pounds for heavy duty drawers.

Door Guides: Sliding door guides shall be continuous, dual channel, metal guides, top and bottom. Bottom guide shall have crowned track.

Shelf Supports: Shelf supports shall be adjustable, semi-recessed, chrome finished pressed metal, heavy duty standards and support clip, with one inch adjustment increments.

Cabinet Hinges:

Cabinet hinges shall be nickel plated steel, concealed European style, with vertical and horizontal adjustment. Length of jamb leaf shall be 2½ inches.

Cabinet hinge manufacturers shall be Stanley, Hager, McKinney, or equal.

Cabinet Catches:

Cabinet catches shall be self aligning magnetic type in aluminum case with zinc plated steel strike.

Cabinet catch manufacturers shall be Stanley, Hager, McKinney, or equal.

Cabinet Pulls:

Cabinet pulls shall be 5/16-inch diameter rod, with 1 5/16-inch projection and 4-inch center to center fastening.

Cabinet pull manufacturers shall be Stanley, Hager, McKinney, or equal.

## **FABRICATION**

Shop Assembly:

Nails shall be countersunk and the holes filled, molds shall be neatly mitered and all joints shall be tight and true.

As far as practicable, work shall be assembled at the mill and delivered to the building ready to be set in place. Parts shall be smoothly dressed and interior work shall be belt sanded at the mill and hand sanded at the building. After assembly, work shall be cleaned and made ready for the specified finish.

All work shall be prepared to receive finish hardware. Finish hardware shall be accurately fitted and securely fastened as recommended by the manufacturer. Finish hardware shall not be fastened with adhesives.

Drawers shall be fitted with dust covers of ¼-inch plywood or hardboard above compartments and drawers except where located directly under tops.

Precut Openings: Openings for hardware, appliances, plumbing fixtures, and similar items shall be precut where possible. Openings shall be accurately located and templates used for proper size and shape. Edges of cutouts shall be smoothed and edges sealed with a water-resistant coating.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Cabinets: Cabinets shall be installed without distortion so that doors and drawers fit openings properly and are accurately aligned. Hardware shall be adjusted to center doors and drawers in openings and to provide unencumbered operation. Installation of hardware and accessory items shall be completed as indicated on the approved drawings.

Laminate Tops: Laminate tops shall be securely fastened to base units and other support systems as indicated on the approved drawings.

Cabinet Hardware:

Doors for cabinets shall be equipped with one pair of hinges and one catch per leaf, unless otherwise shown on the plans. Each door leaf shall be equipped with one pull.

Drawers up to 24 inches wide shall have one pull and drawers over 24 inches wide shall have two pulls.

## **SECTION 12-7. THERMAL AND MOISTURE PROTECTION**

### **12-7.01 INSULATION (GENERAL)**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing insulation in accordance with the details shown on the plans and these special provisions. Insulation includes related materials such as substrate boards, underlayments, vapor retarders, and cover boards.

Insulation materials shall be as specified in these special provisions, and shall be compatible with existing or new materials incorporated in the building.

##### **SUBMITTALS**

Product Data:

A list of materials, manufacturer's descriptive data, location schedule, and time schedule shall be submitted for approval.

The list of materials to be used shall include the trade name, manufacturer's name, smoke developed and flame spread classification, resistance rating and thickness for the insulation materials and accessories.

Schedules:

A location schedule and time schedule shall be submitted for approval.

The location schedule shall show where each material is to be installed.

The Contractor shall provide the Engineer at the jobsite with an accurate time schedule of the areas of the building to be insulated each day. The time schedule shall be submitted 3 working days in advance of the work.

Samples: Samples of insulation material shall be submitted to the Engineer at the jobsite.

#### **QUALITY ASSURANCE**

Codes and Standards: All insulating materials shall be certified to comply with the California Quality Standards for Insulating Materials and shall be listed in the Department of Consumer Affairs publication "Consumer Guide and Directory of Certified Insulation Material."

#### **DELIVERY, STORAGE AND HANDLING**

Insulating materials shall be delivered to the jobsite and stored in a safe dry location with labels intact and legible.

Insulating materials shall be protected from physical damage and from becoming wet or soiled.

In the event of damage, materials shall be repaired or replaced as necessary to comply with these specifications.

#### **PART 2 - PRODUCTS (Not applicable)**

#### **PART 3 - EXECUTION (Not applicable)**

### **12-7.02 BATT AND BLANKET INSULATION**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing batt or blanket insulation in accordance with the details shown on the plans and these special provisions.

Batt insulation shall include faced and unfaced batts in walls and ceilings, acoustical batts for sound control and exposed batt or blanket insulation for ceilings and walls.

##### **QUALITY ASSURANCE**

Laminator's Qualifications:

Laminator for bonding polyethylene vapor-retarder to insulating batts shall be approved by the insulation manufacturer.

The name of the laminator shall be submitted with the Product Data.

Codes and Standards:

All batt or blanket insulation, including facings such as vapor barriers, shall have a flame-spread rating not to exceed 25 and a smoke density not to exceed 450 when tested in accordance with ASTM E-84 (CBC section 720.1).

The flame-spread and smoke density limitations do not apply to facings on batt insulation installed between ceiling joists, or in roof-ceiling or wall cavities, provided the facing is installed in substantial contact with the surface of the ceiling or wall finish.

## **PART 2 - PRODUCTS**

### **INSULATING MATERIALS**

Fiberglass batts shall be thermal insulation produced by combining glass fibers with thermosetting resins to comply with ASTM Designation: C 665

Wall Insulation: Wall insulation shall be R-19 fiberglass batts with paper-laminate vapor-retarder membrane on one face. Insulation shall conform to ASTM Designation: C 665, Type II, Class C.

Ceiling Insulation: Ceiling insulation shall be R-38 fiberglass batts with paper-laminate vapor-retarder membrane on one face. Insulation shall conform to ASTM Designation: C 665, Type II, Class C.

Acoustical Insulation: Acoustical insulation shall be 3½ inches, unfaced fiberglass insulation batts. Insulation shall conform to ASTM Designation: C 665, Type I.

Exposed Insulation: Exposed insulation shall be fiberglass batts with bonded polyethylene vapor-retarder membrane on one face. Insulation shall conform to ASTM Designation: C 665, Type I. Exposed insulation for ceilings shall be R-38.

### **VAPOR-RETARDERS**

Paper-laminate Vapor-retarder: Paper-laminate vapor-retarder shall be kraft paper sheets laminated together with asphalt or other vapor retarding compounds, scrim reinforced at edges of sheets.

Foil-paper Vapor-retarder: Foil-paper vapor-retarder shall be 0.3 mil reflective aluminum foil laminated with scrim reinforcing to plastic-coated kraft paper.

Polyethylene Vapor-retarder: Polyethylene vapor-retarder shall be factory-applied, 3 mils, white polyethylene film, a blend of fiberglass and polyester yarn reinforcement, and metallized polyester film laminated with a flame resistant adhesive, and a Class I flame-spread classification.

### **AUXILIARY INSULATION MATERIALS**

Insulation Tape: Insulation tape shall be as recommended by the insulation manufacturer.

Insulation Adhesive: Insulation adhesive shall be the type recommended by the insulation manufacturer and complying with the requirements for fire resistance.

Line Wire: Line wire shall be commercial quality 20-gage galvanized steel wire.

### **FABRICATION**

Polyethylene shall be factory laminated to fiberglass batts or blankets by an applicator approved by the manufacturer of the batts or blankets.

## **PART 3 - EXECUTION**

### **INSTALLATION**

The vapor retarder on faced batts shall be toward the interior and shall be fastened to provide a sealed retarder. Punctures and holes in the retarder shall be repaired.

Unless otherwise shown on the plans or specified elsewhere in these special provisions, insulation shall be kept at minimum 3 inches clear of lighting fixtures and heat producing electrical appliances and equipment.

Installing Batt Type Insulation: Insulation batts shall be installed to completely fill the space between framing members. Apply a single layer of insulation of required thickness, unless otherwise shown on the plans or required to make up total thickness. Installation shall conform to the manufacturer's recommendations and these special provisions.

Installing Exposed Insulation:

When line wire is shown on the plans, blankets shall be supported with line wire spaced at 16 inches on center.

Joints in exposed insulation shall be sealed by lapping not less than 4 inches. Exposed insulation shall be fastened to framing at top, end and bottom, at perimeter of wall openings and at lap joints.

Overlapping joints shall be sealed with insulation adhesives as recommended by vapor retarder manufacturer's printed directions. Butt joints and fastener penetrations shall be sealed with insulation tape of the type recommended by the vapor retarder manufacturer. Joints at pipes, conduits, electrical boxes and similar items penetrating the vapor retarder shall be sealed.

## **12-7.03 RIGID ROOF INSULATION**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing rigid roof insulation in accordance with the details shown on the plans and these special provisions.

Rigid insulation shall include rigid insulation, underlayment, cover boards, wood nailers, fasteners and such other materials, not mentioned, which are required for the complete installation of the rigid insulation system. Materials and installation shall be coordinated with the roof covering system to meet the requirements for a Class 1 Factory Mutual approved assembly.

### **PART 2 - PRODUCTS**

Underlayment: Underlayment shall be building paper, Type I (No. 15) asphalt roofing felt, or rosin-sized paper.

Rigid Roof Insulation: Rigid roof insulation shall be multilayer, preformed board roof insulation with a flame spread index of not more than 25 and a smoke-developed index of not more than 450 (2013 CBC 720.2), of one of the following types:

1. Polyisocyanurate board conforming to ASTM Designation: C 1289, Type II, Class 1
2. Extruded polystyrene (XPS) board conforming to ASTM Designation: C 578, Type IV

Rigid roof insulation shall have R value of at least 5 per inch (20 total R value as installed) and compressive strength of at least 20 psi.

Cover Board:

Cellulosic fiber reinforced, water-resistant gypsum substrate, ASTM C 1278, 1/2 inch thick.

Perlite board, ASTM C 728, 3/4 inch thick.

Insulation Tape: Insulation tape shall be as recommended by the insulation manufacturer.

Bitumen: Bitumen shall conform to ASTM Designation: D 312, for Type III roofing asphalt.

Adhesives, Sealants, and Primers: Adhesives, sealants, and primers shall be recommended by manufacturer for intended use.

Adhesives (including bitumens), sealants, and related primers shall comply with VOC limitations.

Wood Nailers: Wood nailers shall be Douglas fir, hem-fir or equivalent western softwood pressure treated after fabrication. Wood preservatives shall be waterborne type.

Fastener (Plywood Decking): Fastener (plywood decking) shall be annular threaded galvanized nails having one-inch minimum nominal diameter head or driven through galvanized caps; or power driven staple driven through galvanized cap.

Fastener (Metal Decking): Fastener (metal decking) shall be galvanized spring steel barbed clip driven through galvanized one-inch minimum nominal diameter caps; galvanized hardened steel nail with one-inch minimum nominal diameter head and serrated shank to provide backout resistance; or threaded self tapping screw driven through 3-inch minimum nominal diameter galvanized cap.

### **PART 3 - EXECUTION**

#### Preparation:

The preparation of the deck surfaces shall conform to the manufacturer's recommendations and these special provisions.

The deck surface shall be made smooth and level.

#### Installation:

Underlayment shall be fastened to nailable decks with randomly located roofing nails.

Insulation panels shall be placed in at least 2 layers with end joints staggered and with joints of the second layer offset at least 6 inches from joints in the first layer.

Insulation panels shall be oriented with the long side perpendicular to the direction roofing felts are to be laid. End joints between panels shall be staggered.

Insulation clips and fasteners shall resist the wind uplift classification specified for the roof covering.

Wood nailers shall be thick enough so the tops are flush with surrounding insulation. Perimeter nailers shall extend at least 2 inches beyond flanges of metal flashings or gravel stops. On roofs that are steeper than 2 inches per foot, perimeter wood nailers shall be supplemented by nominal 4-inch wide wood nailers installed parallel to eaves (horizontal) at a maximum spacing of 8 feet. Wood nailers shall be securely fastened using at least two 16d nails to each framing member.

The first layer of insulation shall be mechanically fastened as recommended by the manufacturer to meet the requirements of Factory Mutual Loss Prevention Data Sheets 1-28 and 1-29. At least one fastener per 2 square feet of insulation panel shall be used. Panels that are cracked or broken by the installation of the mechanical fasteners shall be replaced.

Additional layers of insulation shall be secured with a solid uniform application of hot bitumen applied at the rate of 30 pounds per 100 square feet.

The completed layer of insulation shall be smooth and level, and suitable for the proper bedding of succeeding layers of roofing material.

Insulation shall be laid just before application of roofing felts. Units shall be laid in parallel courses with transverse joints staggered, in moderate contact with adjoining surfaces.

No more insulation shall be laid than can be covered with roofing the same day. Cutoffs of 2 layers of hot mopped Type I (No. 15) asphalt saturated felt shall be installed, not less than 4 inches onto completed work and extended out not less than 6 inches onto the deck, at exposed edges of insulation at the end of each day's work. Cutoffs shall be removed when work is resumed.

Joints in the top layer of glass fiber roof insulation shall be taped with 6-inch wide felt stripping set in hot asphalt mopping.

Continuous joints between insulation units and parallel to decking flutes shall not occur over the flute openings. Both units shall have full edge bearing on rib tops.

Insulation panels with broken or crushed corners or edges shall be trimmed free of such defects or shall be discarded. Replacement boards less than 12 inches wide shall not be used.

Damaged insulation in the completed work shall be removed and replaced. Insulation that has been wet or is wet shall be considered damaged.

Install cover board with joints staggered from joints in insulation units. Attach in accordance with manufacturer's instructions.

## **12-7.04 EXTERIOR INSULATION AND FINISH SYSTEM (EIFS)**

### **PART 1 GENERAL**

#### **SUMMARY**

Scope:—This work shall consist of fabricating, assembling, furnishing, and installing water-drainage exterior insulation and finish system (EIFS) applied over water-resistive coating over sheathing in accordance with the details shown on the plans and these special provisions.

#### **REFERENCES**

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

#### **AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)**

ASTM B 117 (Federal Test Standard 141A Method 6061) Standard Practice for Operating Salt Spray (Fog) Apparatus

ASTM C 150 Standard Specification for Portland Cement

ASTM C 297 Standard Test Method for Flatwise Tensile Strength of Sandwich Constructions

ASTM C1481 Standard Guide for Use of Joint Sealants with Exterior Insulation and Finish Systems (EIFS)

ASTM C1513 - 04 Standard Specification for Steel Tapping Screws for Cold-Formed Steel Framing Connections

ASTM D 968 (Federal Test Standard 141A Method 6191) Standard Test Methods for Abrasion Resistance of Organic Coatings by Falling Abrasive

ASTM D 2247 (Federal Test Standard 141A Method 6201) Standard Practice for Testing Water Resistance of Coatings in 100% Relative Humidity

ASTM D 3273 Standard Test Method for Resistance to Growth of Mold on the Surface of Interior Coatings in an Environmental Chamber

ASTM E 84 Standard Test Method for Surface Burning Characteristics of Building Materials

ASTM E 96 Standard Test Methods for Water Vapor Transmission of Materials

ASTM E 119 Standard Method for Fire Tests of Building Construction and Materials

ASTM E 283 Standard Test Method for Determining Rate of Air Leakage Through Exterior Windows, Curtain Walls and Doors Under Specified Pressure Differences Across the Specimen

ASTM E 330 Test Method for Structural Performance of Exterior Windows, Doors and Curtain Walls by Uniform Static Air Pressure Difference

ASTM E 2098 Test Method for Determining the Tensile Breaking Strength of Glass Fiber Reinforcing Mesh for use in Class PB Exterior Insulation and Finish Systems (EIFS), after Exposure to Sodium Hydroxide Solution  
ASTM E 2134 Test Method for Evaluating the Tensile-Adhesion Performance of Exterior Insulation and Finish Systems (EIFS)

ASTM E 2273 Test Method for Determining the Drainage Efficiency of Exterior Insulation and Finish Systems (EIFS) Clad Wall Assemblies

ASTM E 2430 Standard Specification for Expanded Polystyrene (EPS) Thermal Insulation Boards for use in Exterior Insulation and Finish Systems (EIFS)

ASTM E 2485 (formerly EIMA Std. 101.01) Standard Test Method for Freeze-Thaw Resistance of Exterior Insulation and Finish Systems (EIFS) and Water-Resistive Barrier Coatings

ASTM E 2486 (formerly EIMA Std. 101.86) Standard Test Method for Impact Resistance of Class PB and PI Exterior Insulation and Finish Systems (EIFS)

ASTM G 155 (Federal Test Standard 141A Method 6151) Standard Practice for Operating-Xenon Arc Light Apparatus for Exposure of Nonmetallic Materials

## **NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)**

NFPA 268 Standard Test Method for Determining Ignitibility of Exterior Wall Assemblies Using a Radiant Heat Energy Source (2007 Edition).

NFPA 285 Standard Method of Test for the Evaluation of Flammability Characteristics of Exterior Non-Load-Bearing Wall Assemblies Containing Combustible Components Using the Intermediate-Scale, Multistory Test Apparatus (2007 Edition).

## **DEFINITIONS**

**Base Coat:** Material used to encapsulate one or more layers of reinforcing mesh fully embedded that is applied to the outside surface of the EPS insulation board.

**Building Expansion Joint:** A joint through the entire building structure designed to accommodate structural movement.

**Contractor:** The contractor that installs the EIFS System to the substrate.

**Expansion Joint:** A structural discontinuity in the EIFS System.

**Finish:** An acrylic-based coating, available in a variety of textures and colors that is applied over the base coat.

**Insulation Board:** Expanded polystyrene (EPS) insulation board, which is affixed to the substrate.

**Reinforcing Mesh:** Glass fiber mesh(es) used to reinforce the base coat and to provide impact resistance.

**Sheathing:** A substrate in sheet form.

**Substrate:** The material to which the EIFS System is affixed.

**Substrate System:** The total wall assembly including the attached substrate to which the EIFS System is affixed.

## **SYSTEM DESCRIPTION**

**Class PB EIFS:** A non-load-bearing, exterior wall cladding system that utilizes a cavity wall concept with capability for moisture drainage. The system consists of a water-resistive barrier coating (air/water-resistive barrier), an adhesive, grooved expanded polystyrene insulation board, internal vinyl tracks, vent assembly, starter strip, base coat, reinforcing meshes and finish.

## **PERFORMANCE REQUIREMENTS**

EIFS Performance: Comply with the following:

**Bond Integrity:** Free from bond failure within EIFS components or between system and supporting wall construction, resulting from exposure to fire, wind loads, weather, or other in-service conditions.

**Weather-tightness:** Resistant to water penetration from exterior into water-drainage EIFS and assemblies behind it or through them into interior of building that results in deterioration of thermal-insulating effectiveness or other degradation of EIFS and assemblies behind it, including substrates, supporting wall construction, and interior finish, and including a means that allows water entering into an EIFS assembly to drain to the exterior.

**General.**--Unless otherwise noted, the test specimens shall consist of reinforcement, base coat and finish coat applied in accordance with the manufacturer's printed recommendations to an insulation board common to the system. These specimens shall be suitably sized for the apparatus used and allowed to cure for a minimum of 28 days prior to testing.

**Abrasion Resistance:** Sample consisting of 1-inch thick EIFS mounted on 1/2-inch thick gypsum board; cured for a minimum of 28 days; and showing no cracking, checking, or loss of film integrity after exposure to 528 quarts of sand when tested per ASTM D 968, Method A.

**Accelerated Weathering.** The system shall be tested for accelerated weathering in accordance with ASTM G 155, Cycle 1. The specimen shall be tested for a period of 2,000 hours without visible cracking, flaking, peeling, blistering, yellowing, fading, or other such deteriorations.

**Mildew Resistance of Finish Coat:** Sample applied to 2-by-2-inch clean glass substrate, cured for 28 days, and showing no growth when tested per ASTM D 3273 and evaluated according to ASTM D 3274.

**Salt-Spray Resistance:** No deleterious effects when tested according to ASTM B 117.

**Tensile Adhesion:** No failure in the EIFS, adhesive, base coat, or finish coat when tested per ASTM C 297.

**Water Penetration:** The system shall be tested for water penetration by uniform static air pressure in accordance with ASTM E 331. No penetration of water beyond the plane of the base coat/EPS board interface after 15 minutes at 2.86 psf.

**Water Resistance:** Three samples, each consisting of 1-inch thick EIFS mounted on 1/2-inch thick gypsum board; cured for 28 days; and showing no cracking, checking, crazing, erosion, rusting, blistering, peeling, or delamination after testing for 14 days per ASTM D 2247.

**Impact Resistance:** Sample consisting of 1-inch thick EIFS when constructed, conditioned, and tested per ASTM E 2486 and meeting or exceeding the following:

Standard Impact Resistance: 25 to 49 inch-lb.

Ultra-High Impact Resistance: More than 150 inch-lb.

**Drainage:** According to ASTM E 2273.

## **SUBMITTALS**

**Working Drawings.**--For EIFS. Include plans, elevations, sections, details of components, details of penetration and termination, flashing details, joint locations and configurations, fastening and anchorage details including mechanical fasteners, and connections and attachments to other work.

**Product Data.**--System manufacturer's literature shall be submitted including specifications and details. Joint and other details, such as end conditions, corners, windows, and parapet, shall be included. For sealants, shelf life and recommended cleaning solvents shall be included. Material safety data sheets (MSDS) shall be supplied for the components of the EIFS and be available at the job site. Two copies of manufacturer's standard printed instructions for installation of the EIFS. Instructions shall include manufacturer's recommended details for corner treatment, jambs, sills, openings, joints and other special applications. A complete listing of building

components, including the thicknesses, thermal resistances and vapor data for each layer of the wall system, as well as building location and use shall be attached.

Samples.-- 18-inch- minimum square panels for each type of finish-coat color and texture indicated, prepared using same tools and techniques intended for actual work including an aesthetic reveal and qualities of materials and execution. Include sealant samples to verify color selected.

## **QUALITY ASSURANCE**

Qualifications:

1. The EIFS shall be the product of a manufacturer who has been in the practice of manufacturing and designing EIFS for a period of not less than 3 years, and has been involved in at least five projects similar to this project in size, scope and complexity.
2. The Contractor shall be trained and approved by the EIFS manufacturer to install the system and shall have successfully installed at least five projects at or near the size and complexity of this project. The Contractor shall employ qualified workers trained and experienced in installing the manufacturer's EIFS.
3. Sealant applicator shall be experienced and competent in the installation of high performance industrial and commercial sealants and shall have successfully installed at least five projects at or near the size and complexity of this project.

Pre-Installation Conference.--After approval of submittal and before commencing any work on the EIFS, the Engineer will hold a pre-installation conference to review:

1. Drawings and specifications;
2. Procedure for onsite inspection and acceptance of EIFS substrate and pertinent details;
3. Contractor's plan for coordination of work of the various trades involved in providing the EIFS and other components;
4. Inspection procedures;
5. Safety requirements.

## **DELIVERY, STORAGE, AND HANDLING**

Materials shall be delivered to the jobsite in their original unopened packages, clearly marked with the manufacturer's name, brand name, and description of contents. Storage shall be in accordance with the manufacturer's recommendations in a clean, dry, well-ventilated area. Stored materials shall be protected from sunlight, and kept away from excessive heat. Coating materials, which would be damaged by freezing, shall be kept at a temperature not less than 40 degrees F. Insulation board shall not be exposed to flame or other ignition sources.

## **PROJECT CONDITIONS**

Weather Limitations: Maintain ambient temperatures above 40 deg F for a minimum of 24 hours before, during, and after adhesives or coatings are applied. Do not apply EIFS adhesives or coatings during rainfall. Proceed with installation only when existing and forecasted weather conditions and ambient outdoor air, humidity, and substrate temperatures permit EIFS to be applied, dried, and cured according to manufacturers' written instructions and warranty requirements. Installed materials shall be protected from inclement weather until they are dry.

## **COORDINATION**

Coordinate installation of EIFS with related Work specified in other Sections to ensure that wall assemblies, including sheathing, weather-resistant sheathing paper, flashing, trim, joint sealants, windows, and doors, are protected against damage from the effects of weather, age, corrosion, moisture, and other causes. Do not allow water to penetrate behind flashing and drainage plane that is behind water-drainage EIFS.

## **SEQUENCING AND SCHEDULING**

Installation of the EIFS System shall be coordinated with other construction trades. Sufficient manpower and equipment shall be employed to ensure a continuous operation, free of cold joints, scaffold lines, texture variations, etc.

## **WARRANTY**

Manufacturer's standard warranty for the EIFS shall be furnished. Warranty shall run directly to the Department and cover a period of not less than 10 years from date of State's acceptance.

## **PART 2 PRODUCTS**

All components of the EIFS System shall be supplied or obtained from the primary manufacturer and its authorized distributors. Substitutions or additions of materials other than specified will void the warranty.

## **MATERIALS**

Compatibility: Provide water-resistive coating, adhesive, fasteners, board insulation, reinforcing meshes, base-and finish-coat systems, sealants, and accessories that are compatible with one another and with substrates and approved for use by EIFS manufacturer for Project.

Portland Cement: Shall be Type I or II, meeting ASTM C 150, white or gray in color, fresh and free of lumps.

Water: Shall be clean and free of foreign matter.

Substrate Sheathing Board: Additional areas where structural sheathing is not required:

Sheathing: Material: Sheathing material to be oriented strand board (OSB), Exposure 1 with 32/16 span rating, 15/32" thickness.

Attachment to steel studs from 0.033 to 0.112 inches in thickness, provide steel drill screws complying with ASTM C1513.

### **Air/Water Resistive Barriers**

1. Water Resistive Membrane: A flexible, polymer-based, noncementitious water/weather-resistive membrane coating that prevents water penetration and eliminates air infiltration.
2. Grid/mesh Tape: An open weave/woven fiberglass mesh tape with pressure sensitive adhesive.

### **Flashing Materials**

1. Liquid Applied Flashing: A Flexible water-based material polymer material used in conjunction with a woven fiberglass tape or mesh.
2. Flashing sheet Tape: A black rubberized asphalt adhesive layer on a gray/black plastic sheet with a paper or plastic release sheet.

Drainage Strip: A corrugated high impact polystyrene material approximately 1/8 inches in depth used to facilitate drainage.

### **Insulation Adhesive:**

1. Cementitious: A liquid polymer-based material, which is field mixed with Portland cement: The polymer-based material is a high performance fiber-reinforced dry blend adhesive used to adhere polystyrene insulation board to manufacturer approved substrate.
2. Factory-mixed: A dry blend cementitious, copolymer-based product, field mixed with water and designed for adhesive attachment of insulation to substrates of type indicated, as recommended by EIFS manufacturer.

#### Expanded Polystyrene Insulation Board:

1. Insulation board shall conform to ASTM C 2430, type as recommended by the system manufacturer and shall be compatible with other system components.
2. Insulation board shall be certified as aged, in block form prior to cutting and shipping, a minimum of 6 weeks by air-drying, or equivalent. Insulation board shall be a standard product of the manufacturer and shall be factory marked with the manufacturer's name or trademark, the material specification number, the R-value at 75 degrees F, and the thickness.
3. Thickness of insulation board shall be as shown on the plans, but no single layer shall be less than 3/4 inch thick.
4. The maximum thickness of all layers of insulation board combined shall not exceed 4 inches.
5. Boards shall be marked individually. The thermal resistance of insulation board in the system shall be not less than the R-value shown on the drawings.
6. The back side of the insulation board shall be have EIFS manufacturer's standard factory-fabricated profile with linear, vertical drainage channels, slots, or waves.

Board Insulation Closure Blocks: EIFS manufacturer's standard density, size, and configuration.

Reinforcing Mesh: Balanced, alkali-resistant, open-weave, glass-fiber mesh treated for compatibility with other EIFS materials, made from continuous multiend strands complying with ASTM E 2486 and the following:

1. Standard-Impact Reinforcing Mesh: Not less than 4.0 oz. (150 lbs./in). Applied within all EIFS base coats.
2. High-Impact Reinforcing Mesh: Not less than 15 oz./sq. yd. (200 lbs./in).
3. Corner Reinforcing Mesh: Not less than 7.0 oz. (240 lbs/in).
4. Detail Reinforcing Mesh: Not less than 4.0 oz. (150 lbs./in)

Base-Coat Materials: EIFS manufacturer's standard mixture that is compatible with the EPS insulation board and reinforcing meshes and complying with one of the following requirements:

1. Cementitious: Job-combined formulation of manufacturer's standard liquid polymer-emulsion adhesive and manufacturer's standard dry mix containing portland cement.
2. Noncementitious: A factory-mixed, fully formulated, acrylic water-based product that is highly flexible and crack-resistant.
3. Ready Mixed: Factory-blended dry formulation of portland cement, dry polymer admixture, and inert fillers to which only water is added at Project site.

Adhesive: A one-part moisture cure urethane base adhesive that is compatible with all substrates and EIFS drainage components, and provides a permanent overnight bond.

Primer: Water based pigmented acrylic primer providing a flat uniformly absorbent, color-coordinated surface for finish coating application.

Finish: Finish shall be manufacturer's standard finish of premixed water based acrylic coating with integral color and texture. Finish coating shall provide a sandblast like texture and a minimum uniform thickness of 1 1/2 times the largest aggregate. Color shall be as specified on the plans.

Accessories: Accessories shall conform to the recommendations of the system manufacturer and shall include items such as trim, edging, and other specialty components required for proper installation of the system. All exposed metal items shall be corrosion resistant zinc titanium copper alloy or type 316 stainless steel.

#### **ELASTOMERIC SEALANTS**

Elastomeric Sealant Products: Provide EIFS manufacturer's listed and recommended chemically curing, elastomeric sealant that is compatible with joint fillers, joint substrates, and other related materials, and complies with requirements for products and testing indicated in ASTM C 1481 and with requirements specified under "Sealants" in Section 12-7 of these special provisions. Sealants shall be manufactured and supplied by others. Sealant and backer rods shall be closed cell. Architect shall select sealant color from manufacturer's full range.

## **MIXING**

General: Comply with EIFS manufacturer's requirements for combining and mixing materials. Do not introduce admixtures, water, or other materials except as recommended by EIFS manufacturer. Mix materials in clean containers. Use materials within time period specified by EIFS manufacturer or discard.

## **PART 3 EXECUTION**

### **EXAMINATION**

Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of EIFS.

Examine roof edges, wall framing, flashings, openings, substrates, and junctures at other construction for suitable conditions where EIFS will be installed.

Proceed with installation only after unsatisfactory conditions have been corrected.

Begin coating application only after surfaces are dry.

The substrate shall be plane, with no deviation greater than 1/4 inch when tested with a 10 foot straightedge.

### **PREPARATION**

Protect contiguous work from moisture deterioration and soiling caused by application of EIFS. Provide temporary covering and other protection needed to prevent spattering of exterior finish coats on other work.

Protect EIFS, substrates, and wall construction behind them from inclement weather during installation. Prevent penetration of moisture behind drainage plane of EIFS and deterioration of substrates.

Prepare and clean substrates to comply with EIFS manufacturer's written instructions to obtain optimum bond between substrate and adhesive for insulation.

### **EIFS INSTALLATION, GENERAL**

Installation shall conform to the system manufacturer's printed recommendations except as otherwise specified. Acceptable installer shall be pre-qualified under the requirements of paragraph "Quality Assurance."

### **SUBSTRATE SHEATHING BOARD**

Sheathing board shall be attached to studs with steel drill screws. Size and spacing of fasteners shall be as shown on the plans. Spacing shall not exceed 12 inches on center and shall have a minimum three-thread protrusion through framing member. Edges and ends of sheathing boards shall be butted snugly with vertical joints staggered to provide full and even support for the insulation board.

Water-Resistive Barrier Coatings: Apply over substrates to protect substrates from degradation and to provide water-/weather-resistive barrier.

1. Tape and seal joints, exposed edges, terminations, and inside and outside corners of sheathing unless otherwise indicated by EIFS manufacturer's written instructions.

Liquid Applied Flashing: Apply over sloped surfaces, perimeter of window and door openings, parapets, and additionally where indicated on the plans to protect substrates from degradation.

Flexible Flashing Sheet Tape: Install over weather-resistive barrier, applied and lapped to shed water; seal at openings, penetrations, terminations, changes in wall substrates, and where indicated by EIFS manufacturer's

written instructions to protect wall assembly from degradation. Prime substrates, if required, and install flashing to comply with EIFS manufacturer's written instructions and details.

### **TRIM INSTALLATION**

Trim: Apply trim accessories at perimeter of EIFS at parapet tops and rake locations as indicated on plans and according to EIFS manufacturer's written instructions.

Expansion Joint: Use where indicated on plans or determined by Engineer.

### **INSULATION INSTALLATION**

Insulation board shall be applied using adhesive spread with a notched trowel to the back of the insulation boards in accordance with the manufacturer's instructions.

Unless otherwise specified by the system manufacturer, insulation boards shall be placed with the long edge horizontal from a level base line and work upward.

Stagger vertical joints of insulation boards in successive courses to produce running bond pattern. Locate joints so no piece of insulation is less than 12 inches wide or 6 inches high.

Offset joints not less than 6 inches from corners of window and door openings and not less than 4 inches from aesthetic reveals.

Offset joints of insulation not less than 6 inches from horizontal and 4 inches from vertical joints in sheathing.

L-shaped insulation board pieces shall be used at corners of openings.

The adhered insulation board shall be allowed to remain undisturbed for 24 hours prior to proceeding with the installation of the base coat/reinforcing mesh, or longer if necessary for the adhesive to dry.

Interlock ends at internal and external corners.

Abut insulation tightly at joints within and between each course to produce flush, continuously even surfaces without gaps or raised edges between boards. If gaps greater than 1/16 inch occur, fill with insulation cut to fit gaps exactly; insert insulation without using adhesive or other material.

Cut insulation to fit openings, corners, and projections precisely and to produce edges and shapes complying with details indicated.

Rasp or sand flush entire surface of insulation to remove irregularities projecting more than 1/32 inch from surface of insulation and to remove yellowed areas due to sun exposure; do not create depressions deeper than 1/16 inch.

Cut aesthetic reveals in outside face of insulation with high-speed router and bit configured to produce grooves, rabbets, and other features that comply with profiles and locations indicated. Do not reduce insulation thickness at aesthetic reveals to less than 3/4 inch.

After installing insulation and before applying field-applied reinforcing mesh, fully wrap board edges. Cover edges of board and extend encapsulating mesh not less than 2-1/2 inches over front and back face unless otherwise indicated on Drawings.

Treat exposed edges of insulation as follows: Except for edges forming substrates of sealant joints, encapsulate with base coat, reinforcing mesh, and finish coat.

Coordinate installation of flashing and insulation to produce wall assembly that does not allow water to penetrate behind flashing and water-/weather-resistive barrier.

Expansion Joints: Install at locations indicated, where required by EIFS manufacturer, and as follows:

1. Where EIFS adjoin dissimilar substrates, materials, and construction, including other EIFS.
2. Where wall height or building shape changes.
3. Where EIFS manufacturer requires joints in long continuous elevations.

### **BASE-COAT INSTALLATION**

Base Coat: Apply a minimum two coat application to exposed surfaces of insulation and trim shapes in minimum thickness recommended in writing by EIFS manufacturer.

Reinforcing Mesh: Embed type indicated below in wet base coat to produce wrinkle-free installation with mesh continuous at corners and overlapped not less than 2-1/2 inches or otherwise treated at joints to comply with ASTM C 1397 and EIFS manufacturer's written instructions. Completely embed mesh, applying additional base-coat material if necessary, so reinforcing-mesh color and pattern are not visible.

Double-Layer Reinforcing Mesh Application: At all wall surfaces within eight feet of finish grade and upper trim areas along parapet top, apply second base coat and second layer of high-impact reinforcing mesh, overlapped not less than 2-1/2 inches (64 mm) or otherwise treated at joints to comply with ASTM C 1397 and EIFS manufacturer's written instructions in same manner as first application. Do not apply until first base coat has cured.

Additional Reinforcing Mesh: Apply strip reinforcing mesh around openings extending beyond perimeter, diagonally at corners of openings, and at both inside and outside corners per requirements of manufacturers written instructions.

1. At aesthetic reveals, apply strip reinforcing mesh not less than 6 inches wide.
2. Embed strip reinforcing mesh in base coat before applying first layer of reinforcing mesh.

### **FINISH-COAT INSTALLATION**

Finish coat shall be applied and leveled in one operation. Final texture shall be obtained by trowels, floats, or by spray application as necessary to achieve the required finish. The finish coat shall be applied to the dry base coat maintaining a wet edge at all times to obtain a uniform appearance. Apply Primer over dry base coat according to EIFS manufacturer's written instructions. The thickness of the finish coat shall be in accordance with the system manufacturer's current published instructions. Finish coat shall be applied without covering surfaces to which joint sealants are to be applied. The base coat/reinforcing mesh shall be allowed to dry (a minimum of 24-hours) prior to the application of the finish coat. Surface irregularities in the base coat, such as trowel marks, board lines, reinforcing mesh laps, etc., shall be corrected prior to application of the finish coat.

### **INSTALLATION OF JOINT SEALANTS**

General.--EIFS shall be sealed at openings as recommended by the system manufacturer.

Surface Preparation, Backer Rod, and Primer: The following steps shall be performed:

1. Immediately prior to application, remove loose matter from joint.
2. Ensure that joint is dry and free of paint, finish coat, or other foreign matter.
3. Install backer rod.
4. Apply primer as required by sealant and EIFS manufacturer.
5. Check that joint width is as shown on drawings, but in no case less than 13 mm 1/2 inch for perimeter seals and 20 mm 3/4 inches for expansion joints (the width shall not be less than 4 times the anticipated movement).
6. Check sealant manufacturer's recommendations regarding proper width to depth ratio.

Sealant: The following requirements shall be adhered to:

1. Apply sealant in accordance with sealant manufacturer's instructions with gun having nozzle that fits joint width.
2. Do not use sealant that has exceeded shelf life or cannot be discharged in a continuous flow.
3. Completely fill the joint solidly with sealant without air pockets so that full contact is made with both sides of the joint.
4. Tool sealant with a round instrument that provides a concave profile and a uniformly smooth and wrinkle free sealant surface.
5. Do not wet tool the joint with soap, water, or any other liquid tooling aid.
6. Do not apply sealant until all EIFS coatings are fully dry.
7. During inclement weather, protect the joints until sealant application.
8. Use particular caution in sealing joints between window and door frames and the EIFS wall and at all other wall penetrations.
9. Clean all surfaces to remove excess sealant.

## **CLEANING AND PROTECTION**

Upon completion of the work, all scaffolding, equipment, materials and debris shall be removed from site. All temporary protection installed to facilitate installation of EIFS shall be removed. Promptly remove coating materials from window and door frames and other surfaces outside areas indicated to receive EIFS coatings.

## **12-7.05 CONCRETE TILE ROOFING**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing concrete roofing tiles on roofs of 3:12 or greater slope in accordance with the details shown on the plans and these special provisions.

Concrete tile roofing shall consist of tile units, accessory tiles, underlayment, fasteners, sealants, flashings, roof jacks, and other components necessary to provide a waterproof installation.

#### **SYSTEM DESCRIPTION**

Loading: Tile roof covering shall conform to the wind loading in Chapter 16 of the CBC and the loading shown on the plans. The installed weight of the completed tile roof covering shall not exceed 1,000 pounds per 100 square feet.

#### **SUBMITTALS**

Product Data: Manufacturer's descriptive data, standard color line and installation instructions shall be submitted for approval.

Samples:

Following color selection, 2 samples, approximately 3" x 3", shall be submitted for approval.

One full size roofing tile shall be submitted to the Engineer at the jobsite.

### **PART 2 - PRODUCTS**

Concrete Tile:

Concrete tile shall be normal weight, one-piece, shaped type, interlocking, concrete tile of the color shown on the plans. Tile shall conform to ASTM Designation: C 1492. Water penetration of tile shall conform to ASTM Designation: E 1089, Class A, and tile shall have a permeability of 2 inches static head of water for

24 hours. The color coat shall be impenetrable. The approximate size of the individual tile shall be 18" x 12".

Concrete tile must be tested by Cool Roof Rating Council and labeled, having a minimum 3-year aged solar reflectance of 0.20 and a minimum aged thermal emittance of 0.75; or a minimum aged solar reflectance index of 1.6.

Fasteners: Fasteners shall be corrosion resistant complying with ASTM Designation: A 641, Class I, and as recommended by the tile manufacturer.

Underlayment: Underlayment shall conform to one of the following:

1. ASTM Designation: D 226, Type II (No. 30) asphalt saturated roofing felt
2. ASTM Designation: D 4869, Type IV (#26) felt underlayment
3. Granular-surfaced asphalt roll roofing, Type 90

Batten and Counter Batten: Batten shall be standard grade or better Douglas fir or hem-fir. Size and spacing as recommended by tile roofing manufacturer.

Counter batten shall be 1/4"-3/8" x 1-1/2" wood lath.

Plastic Cement and Sealant:

Plastic cement shall be a non-running, heavy body plastic cement composed of asphalt and other mineral ingredients conforming to ASTM Designation: D 4586, Type II.

Sealant used in lieu of plastic cement shall be a silicone sealant conforming to ASTM Designation: C 920.

Mortar: Mortar shall conform to ASTM C 270, Type M, with ASTM C 979 pigment. Mortar shall be one part portland cement to between 2 and 4 parts sand and shall contain only enough water to pack. The color shall match the color of the tile.

Eave Closure: Prefabricated metal eave closure or prefabricated EPBM synthetic rubber closure; profile to match tile, fastened at minimum 18" on center along eave.

## **PART 3 - EXECUTION**

### **PREPARATION**

Substrate: The roof deck shall be cleaned and shall be free of bumps, depressions and other surface irregularities prior to installing the tile roof covering.

### **INSTALLATION**

Double Layer Underlayment: Underlayment in areas of 3:12 to less than 4:12 roof slope shall be laid parallel to the eaves. Apply a 19-inch strip of underlayment along the eave, fastened sufficiently to hold in place. Starting at the eave apply 36-inch wide sheets of underlayment. Overlap successive sheets with 19-inch head lap and 6-inch end lap, fastened sufficiently to hold in place. Fastening shall not be less than nailing along the edges at 6 inches on center, except that nailing shall not be required where nailing strips hold the edges of the felt.

Battens: Battens shall be placed parallel to the eaves and fastened as recommended by the tile manufacturer. For drainage, counter battens shall be installed at 24 inches on center perpendicular to eaves and placed between the battens and the sheathing. Battens shall be fastened to the sheathing with hot-dipped galvanized nails of sufficient length to penetrate sheathing 3/4".

Jacks and Flashing: Jacks or flashings shall be installed at all roof penetrations.

Roofing Tiles:

Tile courses shall be laid on straight lines, parallel to the eaves in accordance with the manufacturer's instructions. The approximate weather exposure shall be 15 inches. Gable rake and ridge tile shall be fastened and shall be mortared to the field tile. Tile in contact with mortar shall be immersed in clear water for 2 minutes prior to placement. Tile cuts, if necessary, shall be made with a masonry blade. Tile shall be fastened in accordance with the tile manufacturer's recommendations.

The complete tile roof shall be weathertight and shall comply with additional requirements in 24 CA Codes of Regs Pt 2, Chapter 15.

## **CLEANING AND REPLACEMENT**

### **Cleaning:**

Tiles shall be kept clean of roofer's cement, cleansers, sealants and other foreign material that may cause discoloration, etching, staining, or surface blemishes of the tiles.

Excess sealant and roofer's cement left on the surface of the tiles or surrounding surfaces shall be removed during the working life of the materials.

Solvents and cleaning compounds shall be chemically compatible with the materials and coatings to remain.

All tiles shall be cleaned before final inspection. All stains and defects shall be removed. Paint, dirt, stains and surplus mortar, sealants and roofer's cement shall be removed without scratching or marring the surface of the tiles.

Replacement: All cracked or broken tiles shall be replaced before completion of the work.

## **12-7.06 MEMBRANE ROOFING (TPO)**

### **PART 1 GENERAL**

Scope: This work includes furnishing and installing a fully adhered thermoplastic polyolefin (TPO) membrane roofing system and roof insulation and all accessories.

Provide insulation under "Rigid Roof Insulation" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

### **DEFINITIONS**

Roofing Terminology: Refer to ASTM D 1079 and the "NRCA (National Roofing Contractors Association) Roofing Manual: Membrane Roof Systems" for definition of terms related to roofing work in this Section.

### **SYSTEM DESCRIPTION**

Installed roofing membrane and base flashings must remain watertight; prevent the passage of water; and resist specified uplift pressures, thermally induced movement, and exposure to weather without failure.

Roofing materials must be compatible with one another under conditions of service and application required, as demonstrated by roofing membrane manufacturer based on testing and field experience.

Roofing materials must be tested by Cool Roof Rating Council and labeled, having a minimum 3-year aged solar reflectance of 0.63 and a minimum aged thermal emittance of 0.75; or a minimum aged solar reflectance of 75.

Performance Requirements:

Fire Hazard Classification: Class A under UL.

Energy Performance: Roofing system must achieve a solar reflectance index (SRI) equal to or greater than 78 when tested according to ASTM E 1980-01.

Wind Resistance: FM Class 1 rating with 1-90 windstorm resistance classification.

Fire-Test-Response Characteristics:

Membrane roofing materials must have the fire-test-response characteristics indicated as determined by testing identical products per test method below by UL or another testing and inspecting agency acceptable to authorities having jurisdiction. Materials must be identified with appropriate markings of applicable testing and inspecting agency.

Exterior Fire-Test Exposure: Class A, ASTM E 108, for application and roof slopes indicated.

Fire-Resistance Ratings: ASTM E 119, for fire-resistance-rated roof assemblies of which roofing system is a part.

Solar Reflectance Index: Minimum solar reflectance rating of 78.

## **SUBMITTALS**

Product Data: Product data for each type of product indicated.

Samples: 300 mm x 300 mm square samples of sheet roofing, of color specified, including T-shaped side and end lap seam.

Working Drawings: Drawings showing sheet layout and seams, flashing details, adhesive layout, and perimeter details.

Installer Certificates: Certificates signed by roofing system manufacturer certifying that installer is approved, authorized, or licensed by manufacturer to install roofing system.

Manufacturer Certificates:

Certificates of compliance signed by roofing manufacturer certifying that roofing system complies with requirements specified in these special provisions.

Manufacturer's evidence that performance requirements are met.

Qualification Statements: Qualification statements for installer and manufacturer.

Test Reports: Product test reports based on evaluation of comprehensive tests performed by manufacturer and witnessed by a qualified testing agency, for components of roofing system.

Compliance Reports: FM Approvals and UL information for roofing system with products proposed for use.

Maintenance Manual: Maintenance manual for roofing system to include in maintenance manuals.

Inspection Report: Copy of roofing system manufacturer's inspection report of completed roofing installation.

## **QUALITY ASSURANCE**

Installer Qualifications: A qualified firm that is approved, authorized, or licensed by roofing system manufacturer to install manufacturer's product and that is eligible to receive manufacturer's warranty. Installer must have not less than 5 years' experience in installing work similar in material, design, and extent to that required for this project, whose work has resulted in construction with a successful record of in-service performance.

**Manufacturer Qualifications:** A qualified manufacturer that has UL listing for membrane roofing system identical to that used for this Project. Manufacturer must have not less than 5 years' experience in manufacturing products or systems similar to those required for this project and have a record of successful in-service performance, as well as sufficient production capacity to produce required units.

**Testing Agency Qualifications:** An independent testing agency with the experience and capability to conduct the testing indicated, as documented according to ISO 17025.

**Source Limitations:** Obtain components for membrane roofing system from roofing membrane manufacturer or approved by roofing membrane manufacturer, as required by warranty.

## **DELIVERY, STORAGE, AND HANDLING**

Deliver roofing materials to project site in original containers with seals unbroken and labeled with manufacturer's name, product brand name and type, date of manufacture, and directions for storing and mixing with other components.

Store liquid materials in their original undamaged containers in a clean, dry, protected location and within the temperature range required by roofing system manufacturer. Protect stored liquid material from direct sunlight.

Discard and legally dispose of liquid material that cannot be applied within its stated shelf life.

Protect roof insulation materials from physical damage and from deterioration by sunlight, moisture, soiling, and other sources. Store materials in a dry location. Comply with insulation manufacturer's written instructions for handling, storing, and protecting during installation.

Handle and store roofing materials and place equipment in a manner to avoid permanent deflection of deck.

## **PROJECT CONDITIONS**

**Weather Limitations:** Proceed with installation only when existing and forecasted weather conditions permit roofing system to be installed according to manufacturer's written instructions and warranty requirements.

## **WARRANTY**

**Special Warranty:**

Manufacturer's standard form, without monetary limitation, in which manufacturer agrees to repair or replace components of membrane roofing system that fail in materials or workmanship within specified warranty period. Failure includes roof leaks.

Special warranty period is manufacturer's longest available term for roofing system of type specified, minimum 20 years from date of acceptance of contract.

## **PART 2 PRODUCTS**

**Thermoplastic Polyolefin Roofing Membrane:**

Membrane must conform to the requirements of ASTM D 6878 and must have a SRI of 78 or greater in accordance with ASTM E 1980-01.

**Manufacturers:** Subject to compliance with requirements, acceptable manufacturers include the following, or equal:

Carlisle SynTec Incorporated.  
Firestone Building Products Company.  
GAF Materials Corporation.  
GenFlex Roofing Systems.

Stevens Roofing Systems; Div. of JPS Elastomerics.

Thickness: 80 mils, nominal.

Exposed Face Color: White.

Auxillary Materials: Recommended by roofing system manufacturer for intended use and compatible with membrane roofing conforming to the following:

Adhesives, sealants, and primers that are used inside the weatherproofing system must comply with and Imperial County Air Pollution Control District limits for VOC content.

Underlayment and Slip Sheets: As recommended by manufacturer and required for Class A and Class 1-90 ratings.

Sheet Flashing: Manufacturer's standard unreinforced thermoplastic polyolefin sheet flashing, 80 mils thick, minimum, of same color as sheet membrane.

Metal Termination Bars: Manufacturer's standard predrilled stainless-steel or aluminum bars, approximately 1 inch x 0.1 inch thick; with anchors.

Fasteners: Factory-coated steel fasteners and metal or plastic plates meeting corrosion-resistance provisions in FMG 4470, designed for fastening membrane to substrate, and acceptable to membrane roofing system manufacturer.

Miscellaneous Accessories: Provide pourable sealers, preformed cone and vent sheet flashings, preformed inside and outside corner sheet flashings, T-joint covers, termination reglets, cover strips, and other accessories.

Roof Walkways: Flexible walkways that consist of factory-formed, nonporous, heavy-duty, solid-rubber, slip-resisting, surface-textured walkway pads or rolls, as shown on the plans, approximately 200 mil thick, and acceptable to membrane roofing system manufacturer.

### **PART 3 EXECUTION**

#### **PREPARATION**

Clean substrate of dust, debris, moisture, and other substances detrimental to roofing installation according to roofing system manufacturer's written instructions. Remove sharp projections.

Prevent materials from entering and clogging roof drains and conductors and from spilling or migrating onto surfaces of other construction. Remove roof-drain plugs when no work is taking place or when rain is forecast.

Complete terminations and base flashings and provide temporary seals to prevent water from entering completed sections of roofing system at the end of the workday or when rain is forecast. Remove and discard temporary seals before beginning work on adjoining roofing.

#### **INSTALLATION**

Fully Adhered Roofing Membrane Installation:

Roofing system must be fully adhered.

Provide cover board over insulation of type and thickness recommended by manufacturer as required for Class A and Class 1 rating.

Fully adhere membrane roofing over area to receive roofing and install according to roofing system manufacturer's written instructions.

#### Base Flashing Installation:

Install sheet flashings and preformed flashing accessories and adhere to substrates according to membrane roofing system manufacturer's written instructions.

Apply solvent-based bonding adhesive to substrate and underside of sheet flashing at required rate and allow to partially dry. Do not apply bonding adhesive to seam area of flashing.

Flash penetrations and field-formed inside and outside corners with sheet flashing.

Clean seam areas and overlap and firmly roll sheet flashings into the adhesive. Weld side and end laps to ensure a watertight seam installation.

Terminate and seal top of sheet flashings and mechanically anchor to substrate through termination bars.

#### **FIELD QUALITY CONTROL**

##### Inspection:

Engage a qualified independent testing and inspecting agency to perform roof tests and inspections and to prepare test reports.

Arrange for roofing system manufacturer's technical personnel to inspect roofing installation on completion and submit report to Engineer.

Notify Engineer and the Department 48 hours in advance of date and time of inspection.

Repair or remove and replace components of membrane roofing system where test results or inspections indicate that they do not comply with specified requirements.

Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.

#### **PROTECTING AND CLEANING**

Protect membrane roofing system from damage and wear during remainder of construction period. When remaining construction will not affect or endanger roofing, inspect roofing for deterioration and damage, describing its nature and extent in a written report, with copies to Engineer and the Department.

### **12-7.07 SHEET METAL FLASHING**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of fabricating, furnishing and installing sheet metal flashing in accordance with the details shown on the plans and these special provisions.

Sheet metal shall include metal flashings, counterflashings, straps, roof jacks, reglets, copings, and screen type vents.

Alternatives: Premolded roof flashings may be used in lieu of sheet metal flashings where shown on the plans or required elsewhere in these special provisions.

##### **QUALITY ASSURANCE**

Codes and Standards: Sheet metal work shall in accordance with the requirements in the latest edition of the SMACNA "Architectural Sheet Metal Manual."

## **PART 2 - PRODUCTS**

### **MATERIALS**

Galvanized Sheet Steel: Galvanized sheet steel shall conform to ASTM Designation: A 653/A 653M with G 90 [Z275] coating, not less than 24-gage, unless otherwise shown on the plans. Surfaces to be painted shall not have factory coatings on galvanizing that cannot be removed by paint thinner.

Sheet Aluminum: Sheet aluminum shall be not less than 0.032 inch thick, mill finish, 3003-H14 alloy, conforming to ASTM Designation: B 209M.

Sheet Lead: Sheet lead shall be not less than 0.062 inch thick, conforming to ASTM Designation: B 749.

Premolded Roof Flashing: Premolded flashing shall be premolded neoprene or ethylene propylene diene monomer (EPDM) flashing, resistant to ozone and ultraviolet. Units shall have overlapping tab to flash the seam.

Hardware and Fastenings: Hardware and fastening for premolded roof flashings shall be stainless steel.

Solder: Solder shall conform to ASTM Designation: B 32, Alloy Grade Sn50 for zinc-coated steel and ASTM Designation: B 32, Alloy Grade Sn60 for stainless steel.

Soldering Flux: Soldering flux shall be acid type, conforming to Federal Specification: A-A-51145D, Type I, Form A.

Insect Screen: Insect screen shall be industrial wire cloth and screen, medium grade, 18 mesh, 0.017-inch diameter, 0.039-inch openings, plain weave, galvanized steel .

Lap Joint Sealant: Lap joint sealant for concealed locations shall be a non-drying butyl conforming to ASTM Designation: C 1311.

Flashing Cement: Flashing cement shall be a bituminous plastic cement, asbestos free, conforming to ASTM Designation: D 4586, Type II.

Sealant: Sealant for exposed locations shall be a silicone sealant conforming to ASTM Designation: C 920.

Primer: Primer shall be as recommended by the sealant manufacturer.

Bituminous Coating: Bituminous coating shall be a cold-applied asphalt emulsion conforming to ASTM D 1187.

### **FABRICATION**

Sheet metal shall be assembled to SMACNA standards.

Sheet metal shall be formed to the sizes, shapes and dimensions shown on the plans or as specified herein with angles and lines straight, sharp and in true alignment. The number of joints shall be kept to a minimum.

Angle bends and folds for interlocking the metal shall be made with full regard for expansion and contraction to avoid buckling or fullness in the metal after it is installed.

Joints in sheet metal work shall be closed watertight unless slip joints are specifically required. Watertight joints shall be mechanically interlocked and then thoroughly soldered for metals other than aluminum. Watertight joints in aluminum or between aluminum and other metals shall be sealed with acrylic sealant.

Sheet metal joints to be soldered shall be cleaned with steel wool or other means, pre-tinned and soldered watertight.

All joints shall be wiped clean of flux after soldering. Acid flux shall be neutralized by washing the joints with sodium bicarbonate.

Flashings shall have a 45 degree drip return at bottom edges. Unless otherwise shown on the plans, counterflashing shall extend not less than 4 inches over roofing or other materials protected by the counterflashing and shall be arranged so that roofing or materials can be repaired without damage to the counterflashing. Where reglets are indicated, counterflashing shall be fastened by lead wedges or snap-in flashing.

### **PART 3 - EXECUTION**

Preparation: Surfaces to receive sheet metal shall be clean, smooth and free from defects.

Protection: Aluminum surfaces to be in contact with concrete, mortar, or dissimilar metals shall be given a heavy coat of coal tar paint.

### **INSTALLATION**

Roof Penetration Flashings:

All pipes, ducts, vents and flues passing through roofs shall be made waterproof with flashings of storm collars or counterflashings.

Roof penetration flashings shall be fabricated from galvanized sheet steel, not less than 24-gage. Size and shape shall be as shown on the plans.

On built-up roofing, 2 flashings shall be furnished for each pipe, vent or flue through roof. Flashings shall be constructed so that the lower flashing shall sit directly on the roof deck, with the top flashing set over it on top of the roof felts.

The lower flashing shall be galvanized sheet metal, 24-gage, and extend 6 inches minimum from outside of the pipe in all directions and 1½ inches above the top of the roofing.

The top flashing shall be galvanized sheet steel or sheet lead as shown on the plans.

Premolded Roof Flashings: Premolded roof flashings shall be installed in accordance with the manufacturer's instructions.

## **12-7.08 ROOF SPECIALTIES**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing roof specialties in accordance with details shown on the plans and these special provisions.

Roof specialties shall include roof hatches, and prefabricated curb and equipment support units.

#### **SUBMITTALS**

Product Data: Manufacturer's descriptive data, rough-in diagrams, installation instructions and general product recommendations shall be submitted for approval.

Samples: Two samples, minimum 8 inches square, of each exposed metal and plastic sheet materials, and 2 samples, minimum 24 inches long, of formed or extruded metal member each color and finish specified shall be submitted for approval.

Coordination Drawings: Coordination drawings for items interfacing with or supporting mechanical or electrical equipment, ductwork, piping or conduit, shall be submitted for approval. Drawings shall indicate dimensions and locations of items provided in this special provision, together with relationship and methods of attachment to adjacent construction and to mechanical and electrical items.

## **QUALITY ASSURANCE**

Labels: Units shall be provided which have been tested, listed, and bear the label of UL, FM or other recognized testing agency.

Codes and Standards: Prefabricated units shall conform to the requirements of SMACNA, "Architectural Sheet Metal Manual," details for fabrication of units, including flanges and cap flashing to coordinate with types of roofing involved.

## **PART 2 - PRODUCTS**

Manufacturer's standard units, modified as necessary, shall be provided to comply with the contract requirements. Each unit shall be shop fabricated to the greatest extent possible.

### **MATERIALS**

Sheet Steel: Sheet steel shall be structural quality conforming to the requirements of ASTM Designation: A 570.

Galvanized Sheet Metal: Galvanized sheet metal shall be commercial quality, conforming to the requirements of ASTM Designation: A 446, G90 hot dipped galvanized, and mill phosphatized.

Stainless Steel: Stainless steel shall conform to ASTM Designation: A 167, Type 302/304, with annealed finish. Stainless steel shall be tempered as required for forming and performance.

Aluminum Sheet: Aluminum sheet shall conform to the requirements of ASTM Designation: B 209, tempered as required, anodized finish, except furnish mill finish where field painting is required.

Extruded Aluminum: Extruded aluminum shall be the manufacturer's standard extrusions of sizes and profiles required, clear anodized finish unless otherwise shown.

Insulation: Insulation shall be the manufacturer's standard rigid or semi-rigid board of glass fiber and shall be the thickness required.

Wood Nailers: Wood nailers shall be softwood not less than 2-inch nominal thickness with wood preservative treatment by pressure process: AWPA U1; Use Category UC3b for exterior construction not in contact with the ground.

Fasteners: Fasteners shall be the same metal as the metal to be fastened, or other non-corrosive metal as recommended by the unit manufacturer. Finish of the fastener shall be the same finish as the metal being fastened.

Bituminous Coating: Bituminous coating shall be as recommended by the unit manufacturer for the use specified.

Gaskets: Gaskets shall be tubular or fingered design of neoprene or polyvinyl chloride as recommended by the unit manufacturer.

## **PREFABRICATED ROOF HATCHES**

Cover for roof hatch or scuttle shall be 11 gage aluminum, welded to support a live load of 40 pounds per square foot and beaded flange. Insulation shall be glass fiber or polyisocyanurate, not less than one inch in thickness, fully covered by metal liner. Unit shall have a roof flange for attaching to roof deck. Curb insulation shall be fiberboard, glass or polyisocyanurate not less than one inch thick. Unit shall be equipped with hinges, positive latch with turn handles, inside and outside, and padlock hasp on inside, with gaskets. Cover shall be equipped with automatic hold open arm with handle to permit easy release.

Curb height shall be not less than 9 inches, except where slope of roof exceeds 2%, curb shall be tapered to result in level top installation.

## **PREFABRICATED CURB AND EQUIPMENT SUPPORTS**

Curb and equipment support shall conform to the loading and strength requirements of the equipment to be supported. Dimensions shall conform to the dimensions shown on the coordination drawings of equipment to be supported. Unit shall be fabricated from sheet steel conforming to ASTM Designation: A 570 and galvanized after fabrication.

Units shall be fabricated with welded or sealed mechanical corner joints, complete with cant strips and base profile coordinated with roof insulation thickness. Wood nailers shall be provided at top of curb tapered as necessary to compensate for roof slopes of 2%.

Where roof slope is more than 2%, curb or equipment supports shall be fabricated with height tapered to provide a level installation.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Prefabricated units shall be installed in accordance with the manufacturer's instructions and approved coordination drawings.

Installation of the units shall be coordinated with installation of the roof decking and other substrates to receive accessory units, vapor barriers, insulation, roof and flashing materials.

Units shall be securely fastened to supporting members, adequate to withstand all lateral, inward or outward loading pressures.

Where metal surfaces are to be installed in contact with non-compatible metals or other corrosive substrates, including wood decking, bituminous coatings shall be applied to metal surfaces.

Except as noted above, roof flanges shall be set in a thick bed of roofing cement to form a watertight seal.

Operational Testing: Units with operational components shall be fully tested. Joints and hardware shall be cleaned and lubricated. All units shall be adjusted for proper operation.

### **CLEANING AND PROTECTION**

All exposed metal and plastic surfaces shall be cleaned in accordance with the manufacturer's instructions. Damaged metal coatings shall be repaired.

## **12-7.09 SEALANTS**

### **PART 1 - GENERAL**

## **SUMMARY**

Scope: This work shall consist of furnishing and applying sealants which are required for this project, but not specified elsewhere, in accordance with the details shown on the plans and these special provisions.

## **QUALITY ASSURANCE**

Certificates of Compliance: Certificates of compliance shall be furnished for the sealants in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Preconstruction Field Adhesion Testing: Before installing sealants, field test adhesion to joint substrates:

Locate test joints where indicated by Engineer.

Conduct field tests for each type of sealant and joint substrate. Test method: Hand pull method in accordance with sealant manufacturer's recommendations.

## **SUBMITTALS**

Product Data: Manufacturer's descriptive data and installation instructions for all sealants shall be submitted for approval.

Samples: Color samples of all sealants shall be submitted for approval. Unless otherwise shown on the plans, colors will be selected by the Engineer from the manufacturer's standard colors.

Compatibility and Adhesion Test Reports:

Submit evidence that materials forming joint substrates and joint sealant backings have been tested for compatibility with and adhesion to joint sealants.

Submit interpretation of test results and written recommendations for primers and substrate preparation needed for adhesion.

## **PART 2 - PRODUCTS**

### **MATERIALS**

All sealants, primers and accessories shall be non-staining to adjacent exposed surfaces. Products having similar applications and usage shall be of the same type and same manufacturer. Gun consistency compound shall be used unless otherwise required by the job conditions.

Nonstaining: Products that have undergone testing according to ASTM C 1248 or ASTM C 510 and have not stained porous substrates.

Compatibility: Provide joint sealants, backings, and related materials compatible with one another and with joint substrates under conditions of service and application as demonstrated by sealant manufacturer based on testing and field experience.

Acrylic Sealant: Acrylic sealant shall be one compound, solvent release acrylic sealant.

Polyurethane Sealant: Multicomponent, nonsag, capable of 50 percent extension and contraction without failure, complying with ASTM C 920. Provide BASF, Sika, Tremco, or equal.

Butyl Sealant: Butyl sealant shall be single-component, solvent-release, polyisobutylene sealant complying with ASTM C 1311.

Silicone Sealant: Silicone sealant shall be one component, low modulus, non-acid curing building sealant complying with ASTM C 920 and formulated for reduced dirt pickup. Sealant shall be tack-free in one hour,

shall not sag or flow, and shall be ozone resistant and capable of 100 percent extension and 50 percent contraction without failure. Provide BASF Sonneborn Sonolastic 150, Dow Corning 756 SMS Building Sealant, GE Silicones SilPruf NB SCS 9000, or equal.

Mildew Resistant Silicone Sealant: One component, sanitary type, mildew resistant, formulated with fungicide, intended for damp areas and complying with ASTM C 920. Provide Pecora 898, GE Sealants SCS 1700, Dow Corning 786, or equal.

Acoustical Sealant: Single component, latex, ASTM C 834, nondrying, nonhardening, nonsag, nonstaining, acoustically tested in accordance with ASTM E 90, paintable by acrylic or alkyd paints. Provide USG Sheetrock, Pecora AC-20, Owens Corning QuietZone, or equal.

Polysulfide Sealant: Polysulfide sealant shall be a two-part, non sag polysulfide base, synthetic rubber sealant formulated from liquid polysulfide polymer.

Backer Rod: ASTM C 1330, Type C (closed-cell material with a surface skin) or Type B (consisting of both open- and closed-cell material) as recommended by manufacturer for application, of size and density to control sealant depth; polyurethane or polyethylene as recommended by sealant manufacturer. Backer rod shall be sized such that it must be compressed between 25 percent and 75 percent of its uncompressed diameter during installation in the joint.

Bond Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint filler materials or joint surfaces at back of joint.

Primer: Material recommended by joint sealant manufacturer where required for adhesion of sealant to joint substrates indicated under anticipated service conditions, as determined from preconstruction joint sealant substrate tests and field tests.

Neoprene: Neoprene shall conform to the requirements of ASTM Designation: C 542.

## **PART 3 - EXECUTION**

### **APPLICATION**

Unless otherwise shown on the plans, sealants shall be applied in accordance with the manufacturer's instructions and ASTM C 1193.

When silicone sealants (or mildew-resistant silicone sealants) are used in locations where painting is required, use sealants formulated to accept paint satisfactorily and demonstrated to do so in preconstruction mockups, or sealants tinted to match adjoining painted surfaces.

Sealants shall be applied in a continuous operation for the full length of the joint. Immediately following the application of the sealant, the sealant shall be tooled smooth using a tool similar to that used to produce concave masonry joints. Following tooling, the sealant shall remain undisturbed for not less than 48 hours.

## **SECTION 12-8. DOORS AND WINDOWS**

### **12-8.01 STEEL DOORS AND FRAMES**

#### **PART 1 - GENERAL**

##### **SUMMARY**

This work consists of furnishing and installing steel doors and frames.

##### **DEFINITIONS**

**ANSI/SDI:** American National Standards Institute/Steel Door Institute.

**ANSI/NAAMM-HMMA:** American National Standards Institute/National Association of Architectural Metal Manufacturers-Hollow Metal Manufacturers Association.

**NRTL:** Nationally Recognized Testing Laboratory as defined by OSHA in 29 CFR 1910.7.

**SFM:** California State Fire Marshal.

## **SUBMITTALS**

Product Data: Submit for all products. Include the following:

1. Material descriptions
2. Core descriptions
3. Fire-resistance rating
4. Installation instructions for fire rated assemblies
5. Finishes
6. Construction details

Working Drawings: Include the following:

1. Elevations of each door design
2. Details of doors, including vertical and horizontal edge details and metal thicknesses
3. Frame details for each frame type, including dimensioned profiles and metal thicknesses
4. Locations of reinforcement and preparations for hardware
5. Details of each different wall opening condition
6. Details of anchorages, joints, field splices, and connections
7. Details of accessories
8. Details of moldings, removable stops, and glazing
9. Where electrified door hardware is shown on the plans or specified in these special provisions, include details of conduit and preparations for power, signal, and control systems

Door Schedule: Submit a schedule of steel doors and frames using same reference numbers for details and openings shown on the plans. Include a description of the type, location and size of each door and frame. Coordinate with door hardware schedule.

## **QUALITY CONTROL AND ASSURANCE**

Single Source Responsibility: Obtain steel doors and frames from a single manufacturer.

Steel Doors and Frames: Fabricate steel doors and frames under ANSI/SDI A 250.8 or ANSI/NAAMM-HMMA 861.

Hardware Reinforcement: Fabricate hardware reinforcement under ANSI/SDI A 250.6 with reinforcing plates from same material as door face sheets.

Fire-Rated Door Assembly Product Test Reports: Submit comprehensive tests performed by a NRTL for each type of fire-rated door assembly.

## **DELIVERY, STORAGE, AND HANDLING**

Deliver steel doors palletized, wrapped, or crated to provide protection during transit and job site storage. Do not use nonvented plastic. Furnish additional protection to prevent damage to finish.

Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.

Store steel doors and frames under cover at the job site. Place in stacks of five units maximum in a vertical position with heads up, spaced by blocking, on at least 4-inch high wood blocking. Do not store in a way that traps excess humidity.

Furnish at least 1/4-inch space between each stacked door to allow air circulation.

## **COORDINATION**

Coordinate installation of anchorages for steel frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors.

## **PART 2 - PRODUCTS**

Thickness dimensions must be minimum thickness of base metal without coatings.

Steel sheet must comply with the following:

1. Cold rolled must be commercial steel, Type B, ASTM A 1008/A 1008M
2. Hot-rolled must be commercial steel, Type B, ASTM A 1011/A 1011M; free of scale, pitting, surface defects, and pickled and oiled
3. Metallic coated must be commercial steel, Type B, ASTM A 1008/A 1008M with at least A60 metallic coating complying with ASTM A 653/A 653M
4. Stainless steel must be Type 304, ASTM A 666

Frame anchors must be commercial steel, hot dip galvanized complying with ASTM A 153/A 153M.

Inserts and fasteners must be commercial steel, hot dip galvanized complying with ASTM A 153/A 153M.

Glazing must comply with "Glazing," of these special provisions. Glazing must be at least 3/16-inch thick.

## **STEEL DOORS**

Steel doors must be at least 1-3/4 inches thick, full flush, seamless hollow metal construction unless otherwise shown on the plans. Construct doors with smooth surfaces without visible joints or seams on exposed faces, and the following:

1. Concealed stiffeners and hardware reinforcement from steel sheet, except use stainless steel to match stainless steel face sheets.
2. Furnish beveled edge, 1/8-inch in 2 inches, for single doors. Furnish round vertical edge with 2 1/8-inch radius for double doors.
3. Astragals must be full height, 1/8-inch flat bar or folded sheet strip, at least 0.053 inches thick, and same material as face sheets. Weld on the outside of the active leaf of double doors.

Fire-Rated Door Assemblies: Furnish assemblies complying with NFPA 80, with all components listed and labeled by a NRTL, for fire-protection ratings shown on the plans. Assemblies must be approved by the SFM.

Smoke-Control Door Assemblies: Furnish assemblies complying with UL 1784, with all components listed and labeled by a NRTL. Assemblies must be approved by the SFM. Install assemblies under NFPA 105.

## **EXTERIOR DOORS**

Exterior doors must comply with ANSI/SDI A 250.4, physical endurance Level A, and the following:

1. Fabricate face sheets, vertical stiffeners, and top and bottom channels from at least 0.053-inch thick metallic-coated steel sheet.
2. Fabricate the steel-stiffened core using vertical stiffeners that extend full-door height. Install stiffeners not more than 6 inches apart and spot weld to both face sheets no more than 5 inches on center. Fill spaces between stiffeners with glass-fiber insulation or mineral-fiber insulation.
3. Top and bottom channels must be continuous and spot welded to both face sheets. The top channel must be flush and the bottom channel must be inverted.
4. Include moisture vents in the bottom channel.

## **INTERIOR DOORS**

Interior doors must comply with ANSI/SDI A 250.4, physical endurance Level B, and the following:

1. Fabricate face sheets, vertical stiffeners, and top and bottom channels from at least 0.042-inch thick steel sheet unless metallic-coated sheet is shown on the plans or specified in these special provisions
2. Use the manufacturer's standard kraft-paper honeycomb core, polystyrene core, polyurethane core, or steel-stiffened core with glass-fiber or mineral-fiber insulation
3. Top and bottom channels must be at least 0.042 inch thick, same material as face sheets, and spot welded to both face sheets. The top channel must be flush and the bottom channel must be inverted.

## **STEEL FRAMES**

Steel frames must comply with details shown on the plans for type and profile. Frames must be mitered corners, integral stop, and continuously welded unless otherwise shown on the plans.

Frames for fire rated doors must be listed and labeled for the same rating shown on the plans for the matching door.

Steel frames must be constructed as follows:

1. Interior frames from cold-rolled steel sheet unless metallic-coated sheet is shown on the plans or specified in these special provisions for door.
2. Exterior frames from metallic-coated steel sheet.
3. Borrowed-light frames from 0.053-inch-thick steel sheet.
4. Sidelight and transom frames from same thickness material as adjacent door frame.
5. Frames for openings 48 inches and less from 0.053-inch thick steel sheet.
6. Frames for openings wider than 48 inches from 0.067-inch thick steel sheet. Include at least 0.093-inch thick steel channel or angle stiffener head reinforcement.

## **FRAME ANCHORS**

Jamb Anchors: Select one of the following methods to suit the wall type shown on the plans:

1. Masonry Type: Adjustable strap-and-stirrup or T-shaped anchors to suit frame size, at least 0.042 inch thick, with corrugated or perforated straps at least 2 inches wide by 10 inches long. For grouted frames or where shown on the plans use wire anchors at least 0.177 inch thick.
2. Stud Wall Type: Designed to engage stud, welded to back of frames; at least 0.042-inch thick.
3. Drywall Slip-on Type: Adjustable compression anchors.
4. Post Installed Expansion Type for Tilt Up and In-Place Concrete: At least 3/8-inch diameter bolts with expansion shields or inserts. Furnish pipe spacer from frame to wall, with throat reinforcement plate, welded to frame at each anchor location.

Floor Anchors: Furnish the same material as frame and at least 0.042-inch thick. Select one of the following attachment methods for the floor shown on the plans:

1. Monolithic Concrete Slab: Clip-type anchors, with two holes to receive fasteners.
2. Separate Topping Concrete Slab: Adjustable-type anchors with extension clips, allowing not less than 2-inch height adjustment. Terminate bottom of frames at finish floor surface.

## **STOPS AND MOLDINGS**

Moldings for Glazed Lites in Doors: At least 0.032-inch thick, fabricate from same material as door face sheet in which installed.

Fixed Frame Moldings: Form integral with steel frames, at least 5/8 inch high unless otherwise shown on the plans.

Loose Stops for Glazed Lites in Frames: At least 0.032-inch thick, fabricate from same material as door face sheet in which installed.

## **LOUVERS**

Louvers for interior doors must be non-vision inverted Y type. Frame must be cold rolled steel sheet at least 0.042 inches thick, mitered corners, with hidden welds. Frame screws must be on the inside.

Louvers for exterior doors must be dual security grille type. Fabricate frame and blades from metallic coated steel sheet. Fabricate grates from metallic coated steel sheet at least 0.093 inches thick. Blades must be non-vision inverted Y type. Include removable bronze mesh insect screen mounted on the inside.

Louvers in fire-rated assemblies must be factory fabricated, multi-blade adjustable fire damper type. Frame must be cold rolled steel sheet at least 0.053 inches thick, mitered corners, with hidden welds. Include a 160 °F fusible link and removable bronze mesh insect screen mounted on the inside of exterior doors. Frame screws must be on the inside.

## **ACCESSORIES**

Mullions and Transom Bars: Join to adjacent members by welding or rigid mechanical anchors.

Ceiling Struts: At least 1/4-inch thick by 1-inch wide steel.

Grout Guards: Form from same material as frames and at least 0.016-inch thick.

Sealants: Sealants must be ultraviolet and ozone resistant, gun grade polysulfide or polyurethane, multi-component, complying with ASTM C 920.

## **FABRICATION**

Fabricate steel doors and frames to be rigid and free of defects, warp, or buckle. Accurately form metal to required sizes and profiles, with minimum radius for thickness of metal. Where practical, fit and assemble units in manufacturer's plant. To ensure proper assembly at job site, clearly identify work that cannot be permanently factory assembled before shipment.

Fabricate steel doors and frames to tolerances under SDI 117 or ANSI/NAAMM-HMMA 861.

## **STEEL DOORS**

Furnish overlapping astragal on one leaf of pairs of doors where required by NFPA 80 for fire-performance rating or where shown on the plans. Extend at least 3/4 inch beyond edge of door on which astragal is mounted.

Fabricate concealed stiffeners, edge channels, and hardware reinforcement from either cold or hot-rolled steel sheet.

Factory cut glazing and louver openings in doors.

## **STEEL FRAMES**

Floor Anchors: Weld anchors to bottom of jambs and mullions with at least four spot welds per anchor.

Jamb Anchors: Unless otherwise shown on the plans, furnish number and spacing of anchors as follows:

1. Masonry Type: Locate anchors not more than 18 inches from top and bottom of frame. Space anchors not more than 32 inches o.c. and as follows:
  - 1.1. Two anchors per jamb up to 60 inches high.
  - 1.2. Three anchors per jamb from 60 to 90 inches high.

- 1.3. Four anchors per jamb from 90 to 120 inches high.
2. Stud-Wall Type: Locate anchors not more than 18 inches from top and bottom of frame. Space anchors not more than 32 inches o.c. and as follows:
  - 2.1. Three anchors per jamb up to 60 inches high.
  - 2.2. Three anchors per jamb up to 60 inches high.
  - 2.3. Five anchors per jamb from 90 to 96 inches high.
  - 2.4. Two anchors per head for frames above 42 inches wide and mounted in metal-stud partitions.

Compression Type Anchor: Not less than two anchors in each jamb.

Post-Installed Expansion Type Anchor: Locate anchors not more than 6 inches from top and bottom of frame. Space anchors not more than 26 inches o.c.

## **STOPS AND MOLDINGS**

Furnish stops and moldings around glazed lites as follows:

1. Form corners of stops and moldings with butted or mitered hairline joints.
2. Furnish fixed stops and moldings welded on the secure side of steel doors and frames for single glazed lites.
3. Furnish fixed and removable stops and moldings for multiple glazed lites so that each glazed lite can be removed independently.
4. Furnish fixed frame moldings on the outside of exterior and on the secure side of interior doors and frames.
5. Furnish loose stops and moldings on the inside of steel doors and frames.
6. Coordinate rabbet width between fixed and removable stops with type of glazing and type of installation shown on the plans or specified in these special provisions.

## **SHOP FINISHES**

Apply shop primer to steel doors, frames, and louvers. Use manufacturer's standard, fast-curing, lead-free and chromate-free primer complying with ANSI/SDI A 250.10 acceptance criteria. Primer must be recommended by manufacturer for substrate; and compatible with field-applied coating.

## **PART 3 - EXECUTION**

Examine rough-in for embedded and built-in anchors to verify actual locations before frame installation. Proceed with installation only after unsatisfactory conditions have been corrected.

### **PREPARATION**

Check door frames for square, alignment, twist, and plumb before installation and adjust if necessary. Tolerances are  $\pm 1/16$  inch.

Check the door frame as follows:

1. Squareness at door rabbet on a line 90 degrees from jamb perpendicular to frame head
2. Alignment at jambs on a horizontal line parallel to plane of wall
3. Twist at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall
4. Plumbness at jambs on a perpendicular line from head to floor

Drill and tap doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.

Doors, frames, stops, molding, louvers, and accessories must be cleaned, prepared, and painted under "Painting," of these special provisions before installation.

If grout contains an antifreezing agent, field apply a bituminous coating to the backside of frames.

## **INSTALLATION**

Install steel doors and frames plumb, rigid, properly aligned, and securely fastened in place. Comply with manufacturer's written instructions. Install fire rated assemblies under NFPA 80, the SFM, and the manufacturer's written instructions.

After installation, measure frames for squareness, alignment, twist, and plumbness under "Preparation." Adjust to meet tolerances when required.

Remove grout and other bonding material from exposed surfaces of steel doors and frames immediately after installation.

Install and fit fire rated assemblies under NFPA 80.

Install and fit smoke-control door assemblies under NFPA 105.

## **STEEL FRAMES**

Set frames accurately in position, plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove spreaders and braces. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces.

Where frames are fabricated in sections because of shipping or handling limitations, field splice at accepted locations by welding face joint continuously. Grind, fill, dress, and make splices smooth, flush, and invisible on exposed faces.

Install frames with removable glazing stops located on the secure side of opening.

Install floor anchors for each jamb and mullion that extends to the floor and secure with expansion anchors.

Solidly pack mineral-fiber insulation behind frames set in metal-stud partitions. Fill frames in masonry or concrete walls with grout. Hand trowel grout; do not pump in. Do not allow frames to be deformed or damaged by grout forces.

## **STEEL DOORS**

Fit steel doors accurately in frames. Shim as necessary. Clearances must be as follows:

1. Jambs and Head: 1/8 inch  $\pm$ 1/16 inch.
2. Between Edges of Pairs of Doors: 1/8 inch  $\pm$ 1/16 inch.
3. Between Bottom of Door and Top of Threshold: Maximum 3/8 inch.
4. Between Bottom of Door and Top of Finish Floor (No Threshold): Maximum 3/4 inch.

## **GLAZING**

Install glazing under "Glazing," of these special provisions and the door manufacturer's written instructions. Secure stops with countersunk machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

## **ADJUSTMENTS**

Check and readjust operating hardware items immediately before final inspection. Leave work in complete and proper operating condition. Replace defective work, including steel doors and frames that are warped, bowed, or otherwise unacceptable.

## **FIELD FINISH REPAIRS**

After installation, clean field welds, bolted connections, and abraded areas of paint under SSPC-SP 2. Apply one coat of the same coating as applied for painting to the cleaned areas. Use galvanizing repair paint for metallic coated surfaces complying with manufacturer's written instructions.

## **12-8.02 FLUSH WOOD DOORS**

### **PART 1 - GENERAL**

#### **SUMMARY**

This work consists of furnishing and installing flush wood doors.

#### **DEFINITIONS**

**FSC:** Forest Stewardship Council.

**FSLC-5:** Solid lumber core, nonbonded, 5 ply.

**SLC-5:** Solid lumber core, bonded, 5 ply.

**WDMA:** Wood and Door Manufacturers Association.

#### **SUBMITTALS**

Product Data: Submit for all products. Include the following:

1. Material descriptions
2. Core descriptions
3. Fire-resistance rating
4. Installation instructions for fire rated assemblies
5. Finishes
6. Construction details

Working Drawings: Submit working drawings. Include the following:

1. Elevation of each door design
2. Details of doors, including vertical and horizontal edge details
3. Preparation for hardware
4. Details of accessories
5. Details of mouldings, removable stops, and glazing
6. Where electrified door hardware is shown on the plans or specified in these special provisions, include details of conduit and preparation for power, signal, and control systems

Door Schedule: Submit a schedule of wood doors using the same reference numbers for details and openings. Include a description of the type, location and size of each door and frame. Coordinate with the door hardware schedule.

Color Samples: Submit manufacturer's color cards, at least 2 by 3 inches, for each color of coating. Color samples for stains must be submitted on wood of the same species, color, and texture as the wood to receive the stain.

#### **QUALITY CONTROL AND ASSURANCE**

Qualifications: When FSC certification is specified, use a qualified manufacturer that is certified for chain of custody by an FSC-accredited certification body.

Single Source Responsibility: Obtain wood doors from a single manufacturer.

Flush Wood Doors: Flush wood doors must comply with the Woodwork Institute's "Architectural Woodwork Standards."

Compliance Certificates: Submit the Woodwork Institute's Certified Compliance Program Certificate for each type of door.

## **PROJECT CONDITIONS**

Do not deliver or install wood doors until (1) spaces are enclosed and weathertight, (2) wet work in spaces is complete and dry, and (3) HVAC system is operating. Maintain ambient temperature and humidity conditions at occupancy levels until Contract acceptance.

## **PART 2 - PRODUCTS**

Furnish wood doors made with adhesives and composite wood products that do not contain urea formaldehyde.

Wood doors must be Heavy Duty Performance Grade complying with WDMA I.S. 1-A.

Wood doors must be Custom Aesthetic Grade complying with the "Architectural Woodwork Standards."

Steel door frames must comply with "Steel Doors and Frames," of these special provisions.

Glazing must comply with "Glazing," of these special provisions, except glazing must be at least 3/16-inch thick.

## **DELIVERY, STORAGE, AND HANDLING**

Deliver and store wood doors under the "Architectural Woodwork Standards" and the manufacturer's instructions.

Package doors individually in cardboard cartons and wrap bundles of doors in plastic sheeting.

Mark each door on bottom rail with opening number used on the working drawings.

## **WOOD DOORS**

Wood doors must be flush, solid core. Vertical styles must be softwood bonded to the core. Furnish beveled edge, 1/8-inch in 2 inches for single doors.

Where painted finish is shown on the plans, faces must be medium-density overlay. Apply medium-density overlay to standard-thickness, closed-grain, hardwood face-veneers.

Core must be agri-fiber. Agri-fiber cores must comply with ANSI/CPA A 208.1, Grade LD-2.

Core must be (1) stave lumber core, (2) SLC-5 or FSLC-5, (3) Type 1 or Type 2 adhesive, and (4) sanded or planed. Solid lumber cores must be made from FSC certified lumber.

## **LOUVERS AND LIGHT FRAMES**

Louvers must be metal, non-vision inverted Y type. Frame must be at least 0.042 inches thick, cold rolled steel sheet, with mitered corners and hidden welds. Frame screws must be on the inside.

Wood beads for wood door light openings must be manufacturer's standard wood moulding and standard shape. Where painted finish is shown on the plans, moulding must be closed-grain hardwood. Where stained finish is shown on the plans, moulding must be same species and grade as the face veneer.

## **SHOP FABRICATION**

Factory-machine doors for hardware that is not surface applied. Locate hardware to comply with ANSI/BHMA A156.115-W. Comply with the final hardware schedules and hardware templates.

Coordinate with hardware mortises in metal frames to verify dimensions and alignment before factory machining.

Factory cut and trim openings through doors. Trim openings with moldings of material and profile shown on the plans or specified in these special provisions. Factory install louvers in prepared openings.

## **FINISHES**

Wood doors must be factory finished under the "Architectural Woodwork Standards." Fabrication must be complete before applying finishing, including fitting doors for openings where shown on the plans or specified in these special provisions and machining for hardware that is not surface applied.

Factory finish wood doors as follows:

1. Where stained finish is shown on the plans, finish must be transparent, Custom Grade, catalyzed polyurethane. Finish must be open grain semi-gloss.
2. Where painted finish is shown on the plans, finish must be opaque, Custom Grade, catalyzed polyurethane. Finish must be semi-gloss.
3. Where moulding is shown on the plans, finish moulding to match door.

Finish (1) both faces, (2) all four edges, (3) edges of cutouts, and (4) mortises, except stains and fillers may be omitted on bottom edge, edges of cutouts, and mortises.

## **PART 3 - EXECUTION**

### **EXAMINATION**

Examine doors and installed door frames before hanging doors. Verify that frames are square, aligned, plumb, and without twist.

### **INSTALLATION**

Install doors under the manufacturer's instructions, as shown on the plans, and as specified in these special provisions.

Doors must be installed securely, plumb and true. Doors must operate freely without rubbing or binding. Clearance between frame and door must not be more than 1/8-inch. Clearance between door and threshold must not be more than 1/4-inch.

Install glazing under "Glazing," of these special provisions and the door manufacturer's instructions. Secure stops with countersunk screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

### **ADJUSTING**

Rehang or replace doors that do not swing or operate freely.

Adjust doors and operating hardware items immediately before final inspection. Replace defective work, including doors that are warped, bowed, or otherwise unacceptable.

## **12-8.03 OVERHEAD COILING GRILLE**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing a manually operated overhead coiling grille in accordance with the details shown on the plans and these special provisions.

The grille shall be manufactured by a nationally recognized door manufacturer for interior use in commercial and institutional buildings. The manufacturer shall have an established parts store, and an authorized service organization in California.

## **SUBMITTALS**

Manufacturer's descriptive data, installation instructions, and drawings of supporting members, grille, control systems and motor operator shall be submitted for approval. The submittals shall be approved prior to installation.

The name and address of the manufacturer's authorized service organization, and parts supply store, shall be included with the data submitted for approval. The length of time such businesses have serviced the manufacturer's products, and sold its parts shall be listed.

## **PART 2 - PRODUCTS**

**Curtain:** Curtain shall be interlocking network of horizontal rods and vertical links which form a pattern of straight horizontal and vertical lines. Horizontal rods shall be full length, 5/16-inch diameter galvanized steel spaced at 2 inches on center. Vertical links of galvanized steel shall flex, and shall be approximately 1/8 inch thick by 3/4 inch wide, and shall be spaced at 9 inches on center. Links shall be separated with 3/8-inch diameter stainless steel tubing placed over the horizontal rods. End links shall be designed to prevent the curtain from leaving the guides. The tubing shall have a No. 4 finish.

**Curtain Guides:** Curtain guides shall be channel shaped 6063-T6 extruded aluminum, with silicon-treated wool pile or nonmetallic inserts which will prevent metal to metal contact, deaden noise, and prevent electrolytic action. Guides shall be designed to prevent the end links of the grille from pulling out of the guides.

**Curtain Guide Supports:** Curtain guide supports shall be galvanized steel. Size and shape shall be as shown on the plans.

**Counterbalancing Assembly:**

Counterbalancing assembly shall be steel pipe or welded tube barrel shaft of the diameter and wall thickness required to limit the deflection under load to 0.03 inch per foot of grille width maximum, and with an internal counterbalancing spring mounted on a cold rolled steel inner shaft. Sealed ball bearings shall be provided to minimize wear of pipe shaft rotation around the inner shaft.

Counterbalancing spring shall be an oil tempered, helical spring sized to provide sufficient torque for easy operation of the curtain from any position. Spring tension shall be adjustable from outside of the end bracket plate without removing the hood.

**Bracket Plates:** Bracket plates shall be steel plates of 1/4-inch minimum thickness to carry pipe and counterbalancing shaft, the hood and to house ends of grille coil.

**Hood:** Hood shall be galvanized sheet steel not less than 0.028 inch thick (24-gage), reinforced to prevent bending or sagging and to provide a rigid, quiet and vibrationless installation. The hood reinforcement shall be concealed.

**Manual Operator:** Design counterbalance mechanism so that push-up operation does not require greater than 25 lbf lift or pull force.

**Safety Bar:** Safety bar shall be waterproof, aluminum angled protective safety bar assembly which shall either stop or reverse the grille travel upon contact with an obstruction, shall be installed at the bottom of the grille.

## **PART 3 - EXECUTION**

## **INSTALLATION**

Grilles and accessories shall be installed in accordance with the manufacturer's instructions and shall operate freely without binding.

The operator controls and mechanism shall be easily accessible for adjustment and service.

The operator shall be the size and type recommended by the grille manufacturer, and shall adequately move the unit for proper operation of the grille.

All supporting members and guides shall be installed rigidly and securely to prevent swaying and vibration of the grille.

## **12-8.04 SECTIONAL OVERHEAD DOORS**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing heavy duty commercial sectional overhead doors in accordance with the details shown on the plans and these special provisions.

#### **SUBMITTALS**

Product Data:

Manufacturer's descriptive data, roughing-in diagram and installation instructions for each size and type of door shall be submitted for approval.

Manufacturer's descriptive data shall include door panel construction and material thickness, door track size and material thickness, counterbalance spring service life and motor operator specifications.

Materials list shall contain all items proposed to be furnished and installed under this section of these special provisions.

Working drawings shall show details of special components and installations which are not fully dimensioned in manufacturer's descriptive data. Include detailed plans, elevations, details of framing members, required clearances, anchors, and accessories. Include relationship with adjacent materials. Working drawings shall also show details of attachment to any portion of the building.

#### **QUALITY ASSURANCE**

Single Source: Each sectional door shall be provided as a complete unit produced by one manufacturer, including frames, sections, bracket guides, tracks, counterbalance mechanisms, hardware, operators and installation accessories, to suit opening and head room available.

Wind Loading: Design and reinforce sectional overhead doors to withstand wind loads shown on the plans, but not less than 20 pounds per square foot, acting inward and outward, with a midspan deflection not to exceed 1/120 span.

Installer: Installer shall be an authorized representative of the manufacturer.

Standards: Provide doors complying with ANSI/DASMA 102 and CBC.

Accessibility: Door and controls shall comply with ADA and CBC requirements.

## **PART 2 - PRODUCTS**

### **MANUFACTURERS**

Available Manufacturers: Subject to compliance with these special provisions, manufacturers offering products which may be incorporated into the work include, but are not limited to the following: Clopay Corp., div. Griffon Corporation; Overhead Door Corp.; Raynor Garage Doors.

### **STEEL SECTIONS**

Door Sections:

Door sections shall be galvanized commercial quality steel sheets and a minimum of G60 zinc coating complying with ASTM Designation: A 653.

Face sheets shall be not less than 20-gage (0.0339 inch). Back sheet shall be not less than 26-gage (0.0169 inch).

Sections shall be fabricated from a single sheet to provide sections not more than 24 inches high, and nominal 2 inches deep. Meeting horizontal edges shall be rolled to a continuous shiplap, rabbeted, or keyed weather seal, with a reinforcing flange return.

Intermediate and end stiles shall be 16-gage galvanized steel welded in place. Intermediate stiles shall be spaced at not more than 48 inches on center.

Bottom section shall be reinforced with a continuous channel or angle conforming to the bottom section profile.

Insulation: Insulation shall be the manufacturer's glass fiber, polystyrene or polyurethane foam type insulation and have an R-Value not less than 8.0.

Finish: Finish shall be the manufacturer's standard baked enamel polyester or epoxy prime and finish coats, applied to interior and exterior faces.

### **TRACKS, SUPPORTS, AND ACCESSORIES**

Door Tracks: Door tracks shall be the manufacturers standard 3 inch galvanized steel track system, complying with ASTM A 653 with G60 zinc coating, designed for the clearances shown on the plans. Complete track assembly shall be provided, including brackets, bracing and reinforcing for rigid support of ball bearing roller guides, for required door type and size.

Track Reinforcement and Supports: Track reinforcement and supports shall be galvanized steel complying with ASTM A 136 and galvanized in accordance with ASTM A 123. Tracks shall be reinforced and supported as required for the size and weight of door to provide strength and rigidity, and to ensure against sag, sway and vibration during operation.

Door Seals: Doors shall have perimeter gasket seals at head and jambs and seal shall have a replaceable vinyl or neoprene bottom seal.

Vision Panels: Vision panels shall be in accordance with the requirements specified under "Glazing" in Section 12-8, "Doors and Windows," of these special provisions. The approximate size shall be as shown on the plans.

Adjustable Louvers:

Adjustable louvers shall be factory fabricated units of extruded aluminum alloy not less than 0.081 inch thick or galvanized steel not less than 0.040 inch thick (20-gage) with standard "Z" type blades set in a continuous channel frame, with a 1/4-inch mesh galvanized bird-screen in a removable frame on the inside.

Blades shall have center pivot on 3/8-inch aluminum rods in stainless steel ball bearings in cadmium plated races.

Adjustable louvers shall be equipped with hand-hold fixed to the operating bar for easy adjustment with wingnut spring tension to lock louvers in desired position.

## **HARDWARE**

Hardware shall be heavy-duty, rust-resistant, galvanized, with galvanized or cadmium-plated or stainless steel fasteners, to suit type of door.

Hinges: Heavy steel hinges shall be provided at each end stile and at intermediate stiles, per manufacturer's recommendations for size of door; galvanized steel.

Rollers: Rollers shall be heavy-duty with steel ball bearings in case-hardened steel races, mounted to suit slope of track. Rollers shall have case-hardened tires.

Lifting Handles, Locks and Latches:

Lifting handles for manually operated doors shall be galvanized steel.

Locking bars shall be single side operable from inside only.

Locking device assembly shall be fabricated with mortise lock, spring loaded dead bolt, chromium-plated operating handle, cam plate and adjustable locking bar to engage through slots in tracks.

## **COUNTERBALANCE MECHANISMS**

Counterbalance Spring: The door shall have a torsion spring counterbalance on a continuous cross header shaft made of steel spring wire complying with ASTM A 229; the entire assembly shall be all-bearing mounted. The spring shall have a rated service life of not less than 25,000 cycles.

## **MANUAL DOOR OPERATORS**

Push-up: Lift handles and pull rope shall be provided for raising and lowering doors, operating with not more than 25 pounds lift or pull.

Chain Hoist: Chain hoist shall be side-mounted consisting of an endless steel hand chain, chain pocket wheel and reduction unit (overall reduction between 2:1 and 3:1), roller chain and sprocket drive, end-mounted on counterbalance shaft, and operating with not more than 35 pounds pull.

## **ELECTRIC DOOR OPERATORS**

Door operator shall be heavy duty, commercial type. Motor shall be a 208-volt, 3-phase, high starting torque motor with single reduction worm gear, completely housed and running in an oil bath. Motor shall be of sufficient capacity to raise and lower the door at speed of approximately 0.67 feet per second.

Door operator and assembly shall be equipped with solenoid brake, limit switches for upper and lower limits of door travel, emergency hand chain with electrical interlock to break motor circuit when hand chain is engaged, 3-button operating station in a NEMA Type 4 enclosure, and a factory wired NEMA Type 1 control panel.

Control panel shall contain an instrument transformer, reversing magnetic contactor with overload relay, and all necessary control relays and other devices required for complete automatic operation of the door. Motor shall be removable for repair without affecting emergency operation. Motor shall be centermounted or sidemounted as shown on the plans.

Reversing Door Edge: Reversing door edge shall be an electrically or pneumatically operated safety device extending across the full width of the bottom of the door which shall cause the door to stop automatically and return to open position upon contact with any obstruction.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Door, track, and operating equipment, complete with necessary hardware, jamb and head mold stops, anchors, inserts, hangers, and equipment supports, shall be installed in accordance with the final drawings, manufacturer's installation instructions and these special provisions.

Coordinate door installation with installation of intrusion detection sensors and similar components required under "Intrusion Alarm System" in Section 12-16, "Electrical," of these special provisions.

Vertical track assembly shall be fastened to framing at not less than 24 inches on center. Horizontal track shall be hung from structural overhead framing with angle or channel hangers, welded or bolted into place. Provide sway bracing, diagonal bracing, seismic bracing, and reinforcing as required for rigid installation of track and door operating equipment.

Sealants used in installation shall comply with "Sealants" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

### **12-8.05 WINDOWS**

#### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing windows in accordance with the details shown on the plans and these special provisions.

Windows shall be commercial (C) grade aluminum prime windows unless otherwise shown on the plans. Windows shall meet the requirement of AAMA 101, "North American Fenestration Standard/Specification for Windows, Doors, and Skylights," and shall meet the C30 (Commercial) or CW30 product designation unless otherwise shown on the plans. Windows shall be labeled with the AAMA label and the NFRC Energy Performance Label.

Finish for windows shall be a 2-coat high performance fluoropolymer finish complying with AAMA 2604 and containing 70 percent polyvinylidene fluoride (PVDF) resin.

Glazing for windows shall be in accordance with the requirements specified under "Glazing" in Section 12-8, "Doors and Windows," of these special provisions.

Certificates of Compliance: Certificates of compliance shall be furnished for all windows in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

#### **SUBMITTALS**

Manufacturer's descriptive data, installation instructions and schedule shall be submitted for approval.

Manufacturer's descriptive data and installation instructions shall show window elevations, plan views, full size sections, anchoring details to all substrates, anchors and hardware.

Installation schedule shall show location, size and type for each window.

Product Test Reports: Provide product test reports based on evaluation of comprehensive tests performed by a qualified testing agency for each type, class, grade, and size of aluminum window.

#### **PART 2 - PRODUCTS**

Door and Transom Windows: Door and transom windows shall be door or door frame manufacturer's standard window framing, glazing stops and glazing accessories.

Fixed Windows: Fixed windows shall be non-operable glazed panel inserted into a frame to include muntins, glazing stops, and glazing accessories.

Horizontal Sliding Windows: Horizontal sliding windows shall be horizontal slide by windows with tightly contacting weatherstripped meeting stiles, self-lubricating rollers, glazing accessories, tubular sill, snap locks and push handle. Vents shall be screened.

Aluminum: Aluminum shall be extruded 6063-T5 aluminum alloy.

Screws, Fasteners and Window Accessories: Screws, fasteners and window accessories shall be non-corrosive metals compatible with aluminum except guides and rollers may be vinyl and nylon respectively. Finish for locks, operators, strikes, keepers and other metal hardware shall match window finish.

Weatherstripping: Weatherstripping shall be continuous, replaceable type, wool pile mounted in metal or double runs of ultraviolet resistant neoprene or vinyl.

Vent Screen: Vent screen shall be aluminum frame with 18 x 14 mesh aluminum screening and polyvinyl-chloride splines. Screen frames shall be removable from interior of building. Finish of screen frame shall match window finish.

Sealant: Sealant for installation of windows into wall openings shall be single-component, polyurethane, self-leveling, non-sag, conforming to ASTM Designation: C 920.

Tape: Tape shall be compatible with sealant.

### **PART 3 - EXECUTION**

#### **FABRICATION**

Frame and sash shall be accurately machined and fitted to hairline joinery that develops the members. Joints shall be factory sealed weathertight.

Outward opening vents without roto-type operators shall be provided with adjustable sliding friction type hold-open assemblies.

Sash shall be removable from the interior only. Sash shall have concealed condensation weeps to the outside.

#### **DELIVERY AND STORAGE**

Windows shall be delivered in original, unopened, unbroken containers, wrappings, or bags with labels bearing the brand name, name of manufacturer or supplier, standard of manufacture, and product description.

Windows and accessories shall be stored off the ground, kept dry, fully protected from weather and damage

#### **INSTALLATION**

Window units shall be set straight, level, plumb and in true alignment in prepared openings. Windows shall be centered in openings. Clearance between the window unit and the building framing shall be from 3/16 inch to 1/4 inch at the sides and 1/2 inch at the top. Ventilator sash shall be adjusted after glazing for easy, smooth and proper operation.

The installation shall be flashed and sealed weathertight.

All aluminum surfaces in contact with masonry, steel or other incompatible materials shall be isolated with pressure sensitive tape, zinc chromate primer, bituminous paint or such other material recommended by the window manufacturer and approved by the Engineer.

## **12-8.06 DOOR HARDWARE**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work consists of furnishing and installing mechanical door hardware and electrified door hardware for swinging doors.

#### **Design Requirements**

Hardware must be free of defects, blemishes, and excessive play. Obtain each kind of hardware from 1 manufacturer for (1) latch and locksets, (2) exit devices, or (3) hinges and closers.

Furnish hardware items required to complete the work complying with performance level and design intent. Comply with the manufacturers' instructions for installation.

Furnish the manufacturer's updated item where specified item is now obsolete.

Furnish hardware with suitable fasteners to complete work.

Furnish ANSI/BHMA A156 Operational Grade 1 and Security Grade 1 door hardware unless otherwise specified.

Fire-Rated Door Assemblies: Furnish door hardware (1) rated for use, (2) approved by the SFM, and (3) complying with NFPA 80.

Smoke-Control Assemblies: Furnish door hardware (1) rated for use, (2) approved by the SFM, (3) complying with UL 1784, and (4) installed under NFPA 105.

Maintenance Tools: Furnish a complete set of specialized tools for continued adjustment, maintenance, removal, and replacement of door hardware.

#### **DEFINITIONS**

- BHMA:** Builders Hardware Manufacturers Association.  
**NRP:** Non-removable pin.  
**NRTL:** Nationally Recognized Testing Laboratory as defined by OSHA in 29 CFR 1910.7.  
**SFIC:** Small format interchangeable core.  
**SFM:** California State Fire Marshal.

#### **SUBMITTALS**

Product Data: Submit for all products. Include the following:

1. Manufacturer's technical information and catalog cuts for each door hardware item. Include style, function or type, grades, size, and finish.
2. Fasteners and other pertinent information.
3. Explanation of abbreviations, symbols, and codes contained in schedules.
4. ANSI/BHMA certification.
5. SFM listing and UL approval where specified in these special provisions.
6. Installation details for door hardware.

7. Description of each electrified door hardware function, including location, sequence of operation, and interface with other building control systems.

Working Drawings:

Submit locations of door hardware sets, cross-referenced to drawings, both on floor plans and in door schedule. Include identification number, location, hand, fire rating, and material of each door and frame.

Submit details of electrified door hardware, including:

1. Power, signal, and control wiring diagrams. Include conductor numbers.
2. Schematic diagrams of interface of electrified door hardware and building intrusion and security systems.

Door Hardware Schedule: Submit door hardware sets with all items required for each door. Coordinate final door hardware schedule with doors, frames, and related work to ensure proper size, style, thickness, hand, function, and finish of door hardware.

Closeout Documents:

Include closeout documents in the *Maintenance and Operations Manual* before completion of the work. Submit 1 copy of PDF files on CD or DVD.

Closeout documents must include the following:

1. Index.
2. Parts list.
3. Operating instructions.
4. Maintenance instructions.

Incomplete or inadequate documentation will be returned for correction and resubmittal.

## **QUALITY CONTROL AND ASSURANCE**

Floor Stops must comply with California Access Compliance Reference Manual Policy No. 99-08, *Door Stops and Other Floor-Mounted Obstructions*.

### **Regulatory Requirements**

Door hardware and installation must comply with the CBC and the following table:

Door hardware item	ANSI/BHMA Standard
Full mortise hinges	ANSI/BHMA A156.1
Cylindrical locksets	ANSI/BHMA A156.2
Automatic flush bolts, panic devices, exit only devices, and coordinators	ANSI/BHMA A156.3
Door closers	ANSI/BHMA A156.4
Lock cylinders, single cylinder deadbolts and electric strikes	ANSI/BHMA A156.5
Push plates, pull plates, kick plates, and mop plates	ANSI/BHMA A156.6
Mortise locksets	ANSI/BHMA A156.13
Manual flush bolts, floor stops, wall stops, door stops, and wall bumpers	ANSI/BHMA A156.16
Materials and finishes	ANSI/BHMA A156.18
Thresholds	ANSI/BHMA A156.21
Door gasketing, automatic door bottoms, door shoes with rain drip, door sweeps, door top weatherstrips, and overhead door drips	ANSI/BHMA A156.22
Electromechanical locks	ANSI/BHMA A156.23
Keying systems	ANSI/BHMA A156.28
Electric strikes and frame mounted actuators	ANSI/BHMA A156.31
Hardware preparation in steel doors and steel frames	ANSI/BHMA A156.115
Hardware preparation in wood doors with wood or steel frames	ANSI/BHMA A156.115W

### **Certificates**

Product Certificates: From manufacturer; that electrified door hardware is approved for use on types and sizes of labeled fire-rated doors and complies with listed fire-rated door assemblies.

## **PART 2 - PRODUCTS**

Furnish door hardware sets for each door as shown on the plans or as specified in these special provisions.

Furnish electrified door hardware from the same manufacturer as mechanical door hardware. Electrified door hardware must be listed and labeled by a NRTL. Manufacturers may perform electrical modifications that are listed by a NRTL.

Exit doors must be operable from the inside at all times with single motion and without the use of a key, special knowledge, or effort.

Plans show direction of swing or hand of each door leaf. Furnish each item of hardware for proper door movement.

### **Hinges**

Hinges must be full mortise, five knuckle, ball bearing construction and comply with the following:

1. Heavy Weight Hinges:
  - 1.1. Interior: Type 8111
  - 1.2. Exterior: Type 5111, use NRP with set screw on out swinging exterior doors
2. Standard Weight Hinges: Type A8112

Where electrified hinges are shown on the plans, use the specified full mortise hinge with manufacturer's electric thru wire type; four 28-gauge wires, 3.5 amperes continuous; 16 amperes pulse. Omit NRP if a different pin is required for electrical wiring.

### **Mechanical Locks and Latches**

Lock Throw: Comply with length of bolts required for labeled fire-rated doors and the following:

1. Cylindrical Lockset: At least 1/2-inch latchbolt throw
2. Mortise Lockset: At least 3/4-inch latchbolt throw
3. Deadbolt: At least 1-inch bolt throw

Lock Backset: 2-3/4 inches, unless otherwise shown on the plans or specified in these special provisions.

Strike: Manufacturer's standard strike for each lock bolt or latchbolt, with strike box and curved lip extended to protect frame. Furnish (1) flat-lip strike for three-piece antifriction latchbolts where instructed by the lock manufacturer, (2) extra-long-lip strike for frames with applied wood casing trim, or (3) manufacturer's specific aluminum strike box for aluminum frames.

### **Cylindrical Locksets**

Cylindrical locksets must be series 4000, non handed steel lock chassis, SFIC, self aligning trim with concealed through bolts. Include the following:

1. Lever: Contour with angled return. On exterior doors, freewheeling exterior lever when locked. Must be curved with a return to be within 1/2-inch of the door.
2. Rose: Chromium, flat with rounded edge.
3. Latchbolt: Chrome, square corner. Same manufacturer as lockset.
4. Screws: Supplied with lockset.

Entrance lockset must be Function F109 with dual levers and roses. Passage lockset must be Function F75 with dual levers and roses. Privacy lockset must be Function F76A, dual levers and roses, with coin turn outside and thumbscrew turn inside.

### **Mortise Locksets**

Mortise locksets must be series 1000, non handed steel lock case, SFIC, self aligning trim with concealed screws. Include the following:

1. Lever: Contour with angled return. On exterior doors, freewheeling exterior lever when locked. Must be curved with a return to be within 1/2-inch of the door.
2. Escutcheon: Stainless steel with standard cylinder.
3. Rose: Stainless steel, flat with rounded edge.
4. Latchbolt: Anti friction latchbolt, supplied with lockset.
5. Screws: Supplied with lockset.

Exit lockset must be Function F12, dual levers with exterior escutcheon and interior rose, and 1-inch throw stainless steel deadbolt. Passage lockset must be Function F01 with dual levers and roses. Privacy lockset must be Function F22, dual levers and roses, with coin turn outside and thumbscrew turn inside.

### **Lock Cylinders**

Lock cylinders must be a master key system.

Lock cylinders must be tumbler type, constructed from nickel silver, and same manufacturer as locking devices. Cylinders must be SFIC type, interchangeable cores with six pin barrels, and face finished to match lockset.

Temporary cores must be SFIC type with interchangeable cores with six pin barrels. Temporary cores must be a change key system. Temporary cores and keys must not be the Department's permanent keying system or furnished on the same keyway or key section as the Department's permanent keying system. Temporary cores will remain Department property.

Keys must be nickel silver and same manufacturer as locking devices. Furnish 2 change keys per temporary core. Furnish 2 blank keys per permanent core. Stamp change key bows and blank key bows "State of California" and "Do Not Duplicate."

### **Electromechanical Locks**

Electromechanical locks must use the specified mortise lockset, and be locked outdoor type, fail-secure, motor or solenoid driven, 24 volts DC, mechanical override, with strike that suits frame.

### **Flush Bolts**

Manual Flush Bolts: Function L04251 set or Function L02461 set as required, non handed, 1/2-inch bolt head, 3/4-inch min bolt throw, and dust proof strikes.

Automatic Flush Bolts: Type 25 set, non handed, 1/2-inch bolt head and dust proof strikes.

### **Accessories for Pairs of Doors**

Coordinators: Type 21A, flush bolts, manufacturer's coordinated channel or filler, active-leaf, hold-open lever and inactive-leaf release trigger; fabricated from steel with nylon-coated strike plates. Include built-in, adjustable safety release.

### **Surface Closer**

Door Closers: Surface mounted, aluminum cover, non handed, field adjustable sizes 1 through 6, parallel arm set with hold open and stop. Include separate adjusting valves for closing, latching speed, and backcheck. Use drop brackets at narrow head rails.

### **Exit Devices**

Panic Devices: Type 3 with push pad, mortise lockset with SFIC cylinder, Function 08 outside trim with freewheeling lever when locked and exterior escutcheon. UL and SFM listed for Panic and Fire Exit.

### **Operating Trim**

Push Plates and Pull Plates: Beveled edges, stainless steel, and size 16 by 4 inches. Push plate must be Type J301. Pull plate must be Type J405, with one-inch diameter round pull and 1 1/2-inch standoffs on 8-inch centers.

### **Protective Trim Unit**

Kickplates : Beveled edges, stainless steel, countersunk screw holes, width 2 inches less than door width for single doors, and 1-inch less than door width each for door pairs. Kickplate must be Type J102, 12 inches tall.

### **Mechanical Stops and Holders**

Wall Bumpers: Wall type bumper, Type L22101 or L22201 as required, no visible screw holes, and convex rubber pad.

### **Door Gasketing**

Door Shoe with Rain Drip: Mill-finished aluminum with neoprene insert, end covers, and formed rain drip.

Door Gasketing: Bumper-type resilient inserts with retainer strips and surface applied. Perimeter seals must meet performance tests for heat, cold, air leakage, and smoke. At astragals, furnish a compression bulb resilient pressure sensitive door gasketing. Materials must be NRTL listed where used with labeled assemblies.

## **Thresholds**

Thresholds must be factory non-slip mill-finished aluminum, nominal 6 inches wide unless otherwise shown on the plans, and full width of opening.

Threshold bedding sealant must be weatherproof silicone sealant and adhesive.

## **Shop Fabrication**

Manufacturer's Nameplate: Do not use products that have manufacturer's name or trade name displayed in a visible location except with required fire-rated labeling. Manufacturer's identification will be permitted on lock cylinder rims.

Base Metals: Furnish door hardware items of base metal specified, fabricated by forming method indicated, using manufacturer's standard metal alloy, composition, temper, and hardness. Furnish metals of a quality equal to or greater than that of specified door hardware items. Do not use a manufacturer's standard materials or forming methods if different from the specified standard.

Fasteners: Screws must comply with commercially recognized industry standards for application intended. Furnish Phillips oval-head screws finished to match surface of door hardware. Furnish fire-rated fasteners for labeled assemblies for the following:

1. Hinges mortised to wood doors or frames.
2. Strike plates to wood frames.
3. Closers to wood doors and frames.
4. Surface hinges to steel doors.
5. Closers to steel doors and frames.
6. Surface-mounted exit devices to steel doors and frames.
7. Spacers or sex bolts for through bolting of hollow-metal doors.

Do not use aluminum fasteners. Furnish noncorrosive fasteners for exterior door gasketing applications.

## **Finishes**

Interior Hardware: Standard Finish 626 (US 26D), satin chromium.

Exterior Hardware: Standard Stainless Steel Finish 630 (US 32D), satin stainless steel. Where shown on the plans, use Standard Finish 626 (US 26D), satin chromium.

Factory Covering: Apply a strippable, temporary protective covering to exposed finishes before shipping.

## **PART 3 - EXECUTION**

Doors and Frames: Doors and frames must be set square, plumb, and properly prepared before hardware installation.

### **EXAMINATION**

Doors and Frames: Examine doors and frames for compliance with requirements for installation tolerances, labeled fire-rated door assembly construction, wall and floor construction, and other conditions affecting door hardware installation.

Electrified Door Hardware: Examine roughing-in for electrical power systems to verify actual locations of wiring connections before electrified door hardware installation.

### **INSTALLATION**

Furnish heavy weight hinges for (1) interior doors with closers or panic devices, (2) interior doors wider than 3'-5", and (3) exterior doors. You must use 4-1/2 inch hinges unless otherwise shown on the plans or specified in these special provisions.

Furnish standard weight hinges for interior doors unless otherwise specified. For doors 2'-0" wide you must use 3 inch hinges. For doors wider than 2'-0" you must use 3-1/2 inch hinges.

Hardware items must be accurately fit, securely applied, adjusted, and lubricated to comply with the manufacturer's instructions. Hardware items must operate without binding or excessive play.

Hinges must be installed at equal spacing with the end hinges not more than 9-5/8 inches from the top and bottom of the door. Kickplates and mop plates must be mounted on the push side of the doors, 1 inch up from bottom edge.

Thresholds must be set in a continuous bed of bedding sealant.

Mechanical stops on concrete surfaces must be attached with expansion anchoring devices. Mechanical stops mounted elsewhere must be attached with wood screws. Do not locate stops in the path of travel.

Hardware, except hinges, must be removed from surfaces to be painted before painting. Do not install surface-mounted items until finishes have been completed on substrates involved.

Furnish all dogging keys, closer valve keys, lock spanner wrenches, other factory furnished installation aids, instructions, and maintenance guides to the Engineer.

Install continuous weatherstripping at each edge of exterior door leaf. Seal finish must match adjacent frame color.

## **LOCK CYLINDERS**

Install temporary cores in all lockable doors during construction.

Furnish permanent cores and keys to the Engineer before Contract acceptance. The Department will install the permanent cores.

## **CLEANING AND PROTECTION**

Clean adjacent surfaces soiled by door hardware installation.

Clean hardware items as necessary to restore proper function and finish.

Furnish final protection and maintain conditions that ensure that door hardware is without damage or deterioration before Contract acceptance.

## **ADJUSTING**

Initial Adjustment: Adjust and check each operating item of door hardware and each door to ensure proper operation or function. Replace units that cannot be adjusted to operate as intended. Adjust door control devices to compensate for final operation of HVAC equipment.

Door closers shall be adjusted so that from an open position of 90 degrees, the time required to move the door to a position of 12 degrees from the latch is 5 seconds minimum.

## **DOOR HARDWARE SCHEDULE**

Furnish hardware sets as specified in the following tables:

### **DOOR HARDWARE SET 1**

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy weight	2
2	Full mortise hinges	Heavy weight with electric through wire	1
3	Mortise lockset and latch	Exit	1
4	Electromagnetic lock		1
5	Lock cylinder		1
6	Surface closer		1
7	Gasketing	Door gasketing	1
8	Gasketing	Door show with rain drip	1
9	Threshold		1

DOOR HARDWARE SET 2  
Not Used

DOOR HARDWARE SET 3  
Not Used

DOOR HARDWARE SET 4

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	3
2	Mortise lockset and latch	Passage	1
3	Surface closers		1
4	Mechanical stops and holders	Wall bumper	1
5	Gasketing	Door gasketing	1

DOOR HARDWARE SET 5  
Not Used

DOOR HARDWARE SET 6  
Not Used

DOOR HARDWARE SET 7

No.	Item	Description	Quantity
1	Full mortise hinges	Standard	3
2	Cylindrical lockset and latch	Entrance	1
3	Lock cylinder		1
4	Mechanical stops and holders	Wall bumper	1
5	Protective trim units	Kickplate	1

DOOR HARDWARE SET 8  
Not Used

DOOR HARDWARE SET 9

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	3
2	Operating trim	Push Plate	1
3	Operating trim	Pull Plate	1
4	Surface closers		1
5	Mechanical stops and holders	Wall bumper	1
6	Thresholds		1
7	Protective trim units	Kickplate	1

DOOR HARDWARE SET 10

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	2
2	Full mortise hinges	Heavy with electric through wire	1
3	Mortise lockset and latch	Exit	1
4	Electromagnetic lock		1
5	Lock cylinder		1
6	Surface closers		1
7	Gasketing	Door gasketing	1
8	Gasketing	Door shoe with rain drip	1
9	Thresholds	Threshold with ramp	1

DOOR HARDWARE SET 11

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	6
2	Mortise lockset and latch	Exit	1
3	Flush bolts	Manual	1
4	Lock cylinder		1

DOOR HARDWARE SET 12

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	6
2	Mortise lockset and latch	Passage	1
3	Flush bolts	Manual	1
4	Thresholds	Threshold with ramp	1

DOOR HARDWARE SET 13

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	3
2	Exit devices	Panic type	1
3	Lock cylinder		1
4	Surface closers		1

DOOR HARDWARE SET 14

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	6
2	Mortise lockset and latch	Exit	1
3	Flush bolts	Manual	1
4	Lock cylinder		1
5	Surface closers		1

DOOR HARDWARE SET 15

Not Used

DOOR HARDWARE SET 16

No.	Item	Description	Quantity
1	Full mortise hinges	Standard	3
2	Cylindrical lockset and latch	Entrance	1
3	Lock cylinder		1
4	Protective trim units	Kickplate	1

DOOR HARDWARE SET 17

Not Used

DOOR HARDWARE SET 18

Not Used

DOOR HARDWARE SET 19

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	6
2	Mortise lockset and latch	Exit	1
3	Flush bolts	Manual	1
4	Lock cylinder		1
5	Surface closers		1
6	Gasketing	Door gasketing	1
7	Gasketing	Door shoe with rain drip	1
8	Thresholds		1

DOOR HARDWARE SET 20

No.	Item	Description	Quantity
1	Full mortise hinges	Standard	3
2	Cylindrical lockset and latch	Privacy	1
3	Mechanical stops and holders	Wall bumper	1
4	Thresholds		1
5	Protective trim units	Kickplate	1

DOOR HARDWARE SET 21

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	3
2	Mortise lockset and latch	Passage	1

DOOR HARDWARE SET 22

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	3
2	Mortise lockset and latch	Exit	1
3	Lock cylinder		1
4	Surface closers		1
5	Gasketing	Door gasketing	1
6	Gasketing	Door shoe	1
7	Thresholds	Threshold with ramp	1

DOOR HARDWARE SET 23

No.	Item	Description	Quantity
1	Full mortise hinges	Heavy	3
2	Mortise lockset and latch	Exit	1
3	Lock cylinder		1
4	Gasketing	Door gasketing	1
5	Gasketing	Door shoe	1
6	Thresholds	Threshold with ramp	1

**12-8.07 GLAZING**

## **PART 1 - GENERAL**

### **SUMMARY**

This work consists of furnishing and installing glazing.

Glazing for windows, doors, and other glazed openings includes:

1. Glass
2. Acrylic sheets
3. Polycarbonate cores and laminated sheets

### **DEFINITIONS**

**SHGC:** Solar Heat Gain Coefficient.

**Surface:** Surfaces of lites numbered inward with the exterior surface being the 1st surface.

### **SUBMITTALS**

Submit manufacturer's product data including catalog cuts, performance data, installation instructions, and additional documentation.

Submit the installation schedule. The installation schedule must include the location, size, and type for each glazing.

Adhesion and Compatibility Testing Reports:

Submit adhesion and compatibility testing reports. Test each glazing material type, tape sealant, gasket, glazing accessory, and glass-framing member for adhesion to and compatibility with elastomeric glazing sealants. Testing will not be required if data is submitted based on previous testing of current sealant products and glazing materials matching those submitted.

For materials that fail tests, submit manufacturer's instructions for corrective measures, including use of specially formulated primers.

### **QUALITY CONTROL AND ASSURANCE**

Each individual pane of heat strengthened or tempered glass must bear an identification label complying with ASTM C 1048.

Each individual pane of bullet-resistant glass or acrylic sheet must bear an identification label of performance complying with UL 752 or ASTM F 1233.

Safety glass must be permanently labeled under 24 CA Code of Regs Pt 2 § 2406.

## **PART 2 - PRODUCTS**

### **General**

Glass must be clear glass, unless otherwise shown on the plans, and comply with ASTM C 1036 and the following:

1. Tempered glass must comply with ASTM C 1048.
2. Heat strengthened glass must comply with ASTM C 1048.
3. Laminated glass must comply with ASTM C 1172.

Furnish glass thicknesses specified unless otherwise shown on the plans.

Acrylic sheets must comply with ASTM D 4802.

## Glazing

### Float Glass:

Float glass must be Type I, Class 1, Quality-Q3 glass. Tinted glass must be bronze, Type 1, Class 2, Quality-Q3 glass and all the same tint.

Float glass thickness must be:

1. 1/8-inch thick for panes less than 10 square feet
2. 3/16-inch thick for panes between 10 and 28 square feet
3. 1/4-inch thick for panes over 28 square feet

Patterned Glass: Patterned glass must be Type II, Class 1, Form 3, Quality-Q5, Finish-F1 glass and either Pattern P1 or P2. Patterned glass must be 1/8-inch thick.

Tempered Glass: Tempered glass must be Kind-FT, Condition-A, Type 1, Class 1, and Quality-Q3 glass.

Heat Strengthened Glass: Heat strengthened glass must be Kind-HS, Condition A, Type 1, Class 1, and Quality-Q3 glass.

Laminated Glass: Laminated glass must be safety glass, Kind-LHS, and fabricated from 2 pieces of float glass fused to plastic interlayers. The outer lite must be tinted. Laminated glass must be at least 1/4-inch thick.

Reflective Coated Glass: Reflective coated glass must be Sputtered glass, Kind CV, with a bronze coating complying with ASTM C 1376.

Low-e Coated Glass: Low-e coated glass must be tinted float glass with a Sputtered coating complying with ASTM C 1376.

### Insulating Glass Assemblies:

Insulating glass assemblies must be tinted, low-e coated, insulating glass.

Insulating glass assemblies must be factory assembled sealed lites of glass separated by a dehydrated interspace with desiccant, spacer with dual seals, and qualified under ASTM E 2190.

The outdoor lite must be clear float glass. The indoor lite must be clear float glass. The glass assembly must have:

1. Maximum nighttime U-factor of 0.29
2. Maximum daytime U-factor of 0.27
3. Maximum SHGC of 0.38
4. Minimum visible light transmittance of 0.7
5. Maximum shading coefficient of 0.044

Security-Glass: Security glass must be clear, Kind GCP, Single Core, and complying with ASTM C 1349. Outer lites must be heat-strengthened glass or chemically strengthened glass with a 1/8-inch thick polycarbonate core. Polycarbonate core must be Type 1 complying with Appendix X1. Security glass interlayers must comply with Appendix X2.

Acrylic Sheet: Acrylic sheet must be Category A-2, Finish 3, with abrasion resistant surface coating, and at least 92 percent luminous transmission and less than 1 percent haze under ASTM D 1003.

Miscellaneous Materials: Seals, caulks, putties, setting blocks, shims, tapes, compression seals, felt, spacers, and channels must be top grade, commercial quality, complying with the glass or sheet manufacturer instructions and complying with GANA *Glazing Manual* and the IGMA *North American Glazing Guidelines for Sealed Insulating Glass Units for Commercial and Residential Use*.

## **PART 3 - EXECUTION**

### **GENERAL**

Safety glass must be installed under 24 CA Code of Regs Pt 2 § 2406.

### **INSTALLATION**

Glazing must be installed under the GANA *Glazing Manual* and the IGMA *North American Glazing Guidelines for Sealed Insulating Glass Units for Commercial and Residential Use*.

Cut edges of tinted glass must comply with the glass manufacturer's instructions. The glazier must inspect each edge of tinted glass. Panes with edges that do not comply with the manufacturer's standards for tinted glass edges for sunny elevations will not be allowed.

Panes must be bedded fully and evenly, set straight and square within panels so that the pane is entirely free of any contact with metal edges and surfaces.

For panes on the exterior of buildings, the glazing on both sides of the panes must provide a watertight seal and watershed. Seals must extend no more than 1/16-inch beyond the holding members. A void must be left between the vertical edges of the panes and the glazing channel. Weep systems must be provided to drain condensation to the outside.

Panes in assemblies using extruded gasket glazing must be set under the assembly manufacturer's instructions using gaskets and stops supplied by the manufacturer.

Laminated glass must be set on setting blocks.

Whenever welding or burning of metal is in progress within 15 feet of glazing materials, a protective cover must be provided over exposed surfaces.

### **REPLACEMENT AND CLEANUP**

Panes must be kept clean of cement and plaster products, cleansers, sealants, tapes and all other foreign material that may cause discoloration, etching, staining, or surface blemishes to the materials.

Excess sealant left on the surface of the glass or surrounding materials must be removed during the work life of the sealant.

Solvents and cleaning compounds must be chemically compatible with materials, coatings and glazing compounds. Cleaners must not have abrasives that scratch or mar the surfaces.

The protective covering on plastic sheet surfaces must not be removed until construction is completed or 2 weeks after glazing, whichever is shorter. The covering must be removed before adhesives dry sufficiently to adhere to the sheet during removal rather than the protective membrane.

Acrylic sheets must be protected against scuffs, scratches and marring of the surface during construction and any such damaged sheet must be replaced or restored to like new condition. Restoration work must comply with the manufacturer's instructions.

All broken, scratched, or cracked glass must be replaced before Contract acceptance.

Paint, dirt, stains, labels, and surplus glazing compound must be removed without scratching or marring the surface of the panes or metal work, except do not remove etched labels.

## **SECTION 12-9. FINISHES**

## **12-9.01 GYPSUM WALLBOARD**

### **PART 1 – GENERAL**

Scope: This work shall consist of furnishing, installing and finishing gypsum wallboard in accordance with the details shown on the plans and these special provisions.

Where assembly fire ratings are indicated on the plans, construction shall provide the fire resistance in accordance with the applicable standards in the Fire Resistance Design Manual published by the Gypsum Association.

Wallboard backing for use in restroom and shower areas shall be specifically manufactured to be resistant to mold, mildew, and moisture or shall be cementitious backer panels. Conventional water-resistant gypsum backing board (greenboard) shall not be used. Use mold and moisture resistant joint tape and compound with this gypsum board.

### **SUBMITTALS**

Submit product data for mold, mildew, and moisture resistant gypsum wallboard, joint tape, and joint and finish compound.

Submit test reports of performance under ASTM D 3273 and ASTM D 3274.

Submit product data for other gypsum wallboard, joint tape, and joint and finish compound.

### **PART 2 - PRODUCTS**

Gypsum Wallboard: Gypsum wallboard, except mold-, moisture-, and water-resistant gypsum board, shall conform to ASTM Designation: C 1396.

Mold, Mildew, and Moisture Resistant Gypsum Board: Mold, mildew, and moisture resistant gypsum board must achieve a minimum mold resistance rating of 10 when tested in accordance with ASTM D 3273 and evaluated in accordance with ASTM D 3274. Provide one of the following types:

1. Glass mat water-resistant gypsum panel, with glass mat facings and water-resistant fiber-reinforced gypsum core, complying with ASTM C 1658/C 1658M; Georgia-Pacific DensArmor Plus Fireguard Paperless Interior Drywall, or equal.
2. Fiber-reinforced, water-resistant gypsum panel, unfaced with water-resistant core, complying with ASTM C 1278/C 1278M; US Gypsum Fiberock Aqua-Tough Interior Gypsum Panel, or equal.
3. Gypsum panel with paper faces treated with an antimicrobial agent and containing core additives to add resistance to mold, mildew, and moisture, complying with ASTM C 1396/C 1396M; National Gypsum Gold Bond XP Fire Shield Gypsum Wallboard, or equal.

Gypsum board, including mold, mildew, and moisture resistant gypsum board, must be Type X, of thickness as shown on the plans, with tapered edges.

Joint Tape and Joint and Finishing Compound: Joint tape and joint and finishing compound shall conform to ASTM Designation: C 475.

Mold and Moisture Resistant Joint Tape and Joint and Finishing Compound: Conform to ASTM C 475.

1. Joint Tape: Glass mesh or as recommended by gypsum board manufacturer.
2. Joint Compound: Setting-type joint compound or as recommended by gypsum board manufacturer. Compound must be rated 10 when tested in accordance with ASTM D 3273 and evaluated in accordance with ASTM D 3274.

Corner Beads, Metal Trim and Control Joints: Corner beads, metal trim and control joints shall be galvanized steel of standard manufacture.

Resilient Metal Channel: Resilient metal channel shall be galvanized sheet steel channels of standard manufacture for reducing sound transmission in wood frame partitions.

Fasteners: Fasteners shall be gypsum wallboard nails conforming to ASTM Designation: C 514 or steel drill screws conforming to ASTM Designation: C 1002.

## **PART 3 - EXECUTION**

### **DELIVERY AND STORAGE**

Materials shall be delivered in original packages, containers or bundles bearing brand name, applicable standard of manufacture, and name of manufacturer or supplier and shall be kept dry and fully protected from weather and direct sunlight exposure. Gypsum wallboard shall be stacked flat with adequate support to prevent sagging or damage to edges, ends and surfaces.

### **INSTALLATION**

Wallboard panels to be installed on ceilings and soffits shall be installed with the long dimension of the panels perpendicular to the framing members. Wallboard panels to be installed on walls may be installed with the long dimension of the panels either parallel or perpendicular to the framing members. The direction of placing the panels shall be the same on any one wall or partition assembly.

Edges of wallboard panels shall be butted loosely together. All cut edges and ends shall be smoothed as needed for neat fitting joints.

All edges and ends of gypsum wallboard panels shall coincide with the framing members, except those edges and ends which are perpendicular to the framing members. End joints on ceiling and on the opposite sides of a partition assembly shall be staggered.

Except where closer spacings are shown on the plans, the spacing of fasteners shall not exceed the following:

1. Nails: 7 inches
2. Screws: 12 inches
3. Screws at perimeter of panels for fire resistive assemblies having metal framing: 8 inches

Type S steel drill screws shall be used to fasten wallboard to metal framing. Nails or Type W steel drill screws shall be used to fasten wallboard to wood framing. Except as shown on the plans, screws shall not be used in fire resistive assemblies.

Adhesives shall not be used for securing wallboard to framing.

Gypsum wallboard panels shown on the plans for shear wall sheathing or for fire resistive assemblies shall be fastened to all framing members. Gypsum wallboard panels at other locations and gypsum wallboard finish over plywood sheathed shear walls shall be fastened to all framing members except at the following locations:

At internal angles formed by ceiling and walls; ceiling panels shall be installed first with the fasteners terminating at a row 7 inches from the walls, except for walls parallel to ceiling framing. Wall panels shall butt the ceiling panels. The top row of wall panel fasteners shall terminate 8 inches from the ceiling.

At internal vertical angles formed by the walls; fasteners shall not be installed along the edge or end of the panel that is installed first. Fasteners shall be installed only along the edge or end of the panel that butts and overlaps the panel installed first.

Fasteners shall be located at least 3/8 inch from wallboard panel edges and ends. Nails shall penetrate into wood framing at least 1 1/8 inches. Screws shall penetrate into wood framing at least 5/8 inch. All metal fasteners shall be driven slightly below surface level without breaking the paper or fracturing the core.

Metal trim shall be installed at all free edges of panels, at locations where wallboard panels abut dissimilar materials and at locations shown on the plans. Corner beads shall be installed at external corners. Control joints shall be installed at the locations shown on the plans.

Joints between face panels, the internal angles formed by ceiling and walls and the internal vertical angles formed by walls shall be filled and finished with joint tape and at least 3 coats of joint compound. Tape in the corners shall be folded to conform to the angle of the corner. Tape at joints and corners shall be embedded in joint compound.

Dimples at nail and screw heads, dents, and voids or surface irregularities shall be patched with joint compound. Each patch shall consist of at least 3 coats and each coat shall be applied in a different direction.

Flanges of corner beads, control joints and trim shall be finished with a least 3 coats of joint compound.

Each coat of joint compound shall be feathered out onto the panel surface and shall be dry and lightly sanded before applying the next coat. The finished surfaces of joint compound at the panel joints, internal angles, patches and at the flanges of trim, corner beads and control joints shall be flat and true to the plane of the surrounding surfaces and shall be lightly sanded.

Good lighting of the work area shall be provided during the final application and sanding of the joint compound.

Gypsum wallboard used as backing boards for tile or rigid sheet wall covering or wainscoting shall be mold, mildew, and moisture resistant. Joints in backing board shall not be taped or filled and dimples at the fastener heads shall not be patched. Edges of cuts and holes in backing board shall be sealed with a primer or sealer that is compatible with the wall covering or wainscoting adhesive to be used.

Surfaces of wallboard to be textured shall receive an orange peel texture, unless otherwise shown on the plans.

## **12-9.02 CERAMIC TILE**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing ceramic tile in accordance with the details shown on the plans and these special provisions.

Ceramic tile shall include matte porcelain tile, textured porcelain tile, trim tile, setting materials, grouts and such other materials as maybe required for a complete installation.

#### **SUBMITTALS**

Product Data:

Manufacturer's descriptive data, a list of materials to be used, and installation instructions for all materials required for the work shall be submitted for approval.

Manufacturer's descriptive data shall be submitted for each type of tile, mortar bed materials, bond coat materials and additives, and grout materials and additives.

Materials list and installation instructions shall include all products and materials to be incorporated into the work.

Friction reports shall be submitted for tile products to be used on floors and other pedestrian surfaces.

Samples: Samples shall include 2 individual samples of each type and color of tile and trim to be installed and shall be of the same size, shape, pattern and finish as the tile and trim to be installed.

## **QUALITY ASSURANCE**

Single Source Responsibility: Each type and color of tile, grout and setting materials shall be obtained from a single source.

Master Grade Certificates: Each shipment of tile to the project site shall be accompanied by a Master Grade Certificate issued by the tile manufacturer.

Certificates of Compliance: Certificates of compliance shall be furnished for bond coat materials, setting bed materials and grout in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

## **DELIVERY, STORAGE AND HANDLING**

Delivery: Tile and packaged materials shall be delivered to the job site in sealed, unbroken, unopened containers with the labels intact. Tile containers shall bear the Standard Grade label.

Storage and Handling: Materials shall be stored and handled in such a manner as to prevent damage or contamination by water, freezing or foreign matter.

## **PROJECT CONDITIONS**

Protection: Tile work shall be protected and environmental conditions maintained during and after installation to comply with the reference standards and manufacturer's printed instructions.

Temperatures:

Unless otherwise specified in the manufacturer's installation instructions, the ambient temperature shall be maintained at not less than 50°F nor more than 100°F in tiled areas during installation and for 7 days after completion. Exterior work areas shall be shaded from direct sunlight during installation.

Tile shall not be installed when the temperature of the substrate is greater than 90°F or is frost covered.

Illumination: Interior work areas shall be illuminated to provide the same level and angle of illumination as will be available during final inspection.

## **PART 2 - PRODUCTS**

### **MANUFACTURERS**

Available Manufacturers: Subject to compliance with the specifications, tile shall be American Olean Tile Co., Inc.; United States Ceramic Tile Co.; Daltile; Crossville, Inc.; or equal.

### **GENERAL**

Ceramic Tile:

Ceramic tile shall conform to the requirements in ANSI Standard: A137.1, "American National Standard Specifications for Ceramic Tile" for types and grades of tile indicated.

Ceramic tile shall conform to the "Standard Grade" requirements.

Tile Installation Materials: Tile installation materials shall conform to the requirements in ANSI A 108, A 118, and A 136.1 with products and materials indicated for setting and grouting.

Tile Color and Size: Tile color shall be as shown on the plans; tile size shall be as indicated in the Schedule elsewhere in this special provision.

Slip Resistant Tile: Slip resistant tile shall have sufficient abrasives added such that the static coefficient of friction, wet or dry, shall be not less than 0.6 for walking surfaces and 0.8 for ramps when tested in accordance with ASTM Designation: C 1028.

## **TILE PRODUCTS**

Matte Porcelain Tile:

Matte porcelain tile shall be machine made, unpolished, dust pressed natural porcelain clay and shall have a plain face. Tile shall have a nominal thickness of 1/4 inch. Matte porcelain tile shall be slip resistant.

Matte porcelain trim tile shall include cove type base at walls and single piece intersecting cove base at corners.

Textured Porcelain Tile: Textured porcelain tile shall be machine made, unpolished, dust pressed natural porcelain clay. Tile shall have less than 0.5 percent water retention and be suitable for exterior use. Tiles shall have a nominal thickness of 5/16 inch. Textured porcelain tile shall be slip resistant.

## **SETTING MATERIALS**

Portland Cement Mortar Installation Materials: Materials for portland cement mortar installation shall conform to the requirements in ANSI Standard: A108.1 as required for installation method designated, unless otherwise indicated.

Membrane: Membrane shall be a waterproof membrane recommended by the manufacturer for ceramic tile installation and complying with ANSI Standard: A118.10.

Reinforcement: Reinforcement shall be galvanized welded wire fabric with 2" x 2"-W0.3 x W0.3 conforming to ASTM Designations: A 82 and A 185 except for minimum wire size. Reinforcement shall be provided in flat sheets.

Metal Lath: Metal lath shall be self furring, galvanized, conforming to ASTM Designation: C 847, flat expanded type weighing not less than 2.5 pounds per square yard. Factory assembled metal lath and paper backing may be used where reinforcement over paper is shown on the plans.

Tile Bond Coat:

Tile bond coat shall be latex-portland cement bond coat.

Latex-portland cement mortar bond coat shall be a prepackaged mortar mix, conforming to ANSI Standard: A118.4, incorporating a dry acrylic resin, and to which only water is added at the job site. Mortar shall be suitable for exterior use and be labeled for the type of tile to be installed.

Epoxy Bond Coat: Epoxy bond coat shall be a 2 part prepackaged epoxy mortar conforming to ANSI Standard: A118.3, suitable for exterior use. Mortar shall be labeled for the type of tile to be installed.

## **GROUTING MATERIALS**

Tile Grout:

Tile grout shall be latex-portland cement grout.

Latex-portland cement grout shall be a prepackaged grout mix, conforming to ANSI Standard: A118.6, incorporating a dry acrylic resin, and to which only water is added at the jobsite. Grout shall be suitable for exterior use and labeled for the type of tile to be installed.

Epoxy Grout: Epoxy grout shall be a 2 part prepackaged epoxy grout conforming to ANSI Standard: A118.3 and suitable for exterior use. Grout shall be labeled for the type of tile to be used.

Grout Pigment: Grout pigment shall be chemically inert, fade resistant mineral oxide or synthetic type. Color shall be as shown on the plans.

## **SEALANTS**

Sealant:

Sealant for vertical expansion joints shall be a medium modulus silicone or polyurethane. Sealant for horizontal joints shall be a 2-part polyurethane type material with a Shore Hardness of 35 to 45.

Color of exposed sealants shall match color of grout in tile adjoining sealed joints.

## **MORTAR BEDS**

Cement Mortar Bed: Cement mortar bed for floors shall be proportioned of one part cement, 1/10 parts hydrated lime, 5 parts damp sand by volume and only enough water added to provide the necessary workability. Ingredients shall be dry mixed, water added, and materials blended to produce a stiff mix. Mortar bed shall be not less than 1 ¼ inches in thickness.

## **MISCELLANEOUS MATERIALS**

Sand: Sand shall be a natural or manufactured sand conforming to ASTM Designation: C 144, except that no more than 10 percent shall pass the No. 100 sieve.

Sealers: Sealer for grout shall be a penetrating proprietary compound designed for sealing grout. Silicone sealers shall not be used.

Cement: Cement shall conform to ASTM Designation: C 150, Type I.

Hydrated Lime: Hydrated lime shall conform to ASTM Designation: C 206, Type S, or ASTM Designation: C 207, Type S.

Water: Water shall be clean and potable.

Cementitious Tile Backer Board: Cementitious backer board shall be a backing and underlayment panel composed of a concrete core with glass mesh reinforcing on both faces and conforming to the requirements of ANSI Standard: A118.9.

Shower Pan:

Shower pan shall be flexible polyvinyl chloride (PVC) sheeting conforming to ASTM Designation: D 4551, Grade 40, and manufactured for use as a shower membrane.

Solvent cement shall be as recommended by the manufacturer.

## **MIXING MORTAR AND GROUT**

Mixing: Mortar and grout shall be mixed to comply with the requirements of referenced standards and manufacturers for accurately proportioning of materials, water or additive content, mixing equipment and mixer speeds, mixing containers, mixing time, and other procedures need to produce mortars and grout of uniform quality with optimum performance characteristics for application intended.

## **PART 3 - EXECUTION**

### **PREPARATION**

Concrete, mortar, or masonry substrate surfaces which are to receive a mortar bed shall not vary more than ¼ inch in 8 feet from the required plane and shall be true, plumb at vertical surfaces, and square at intersection edges.

Surfaces to receive a mortar setting bed or a bond coat shall be cleaned adequately to assure a tight bond to the applied material. Such cleaning shall leave the surface thoroughly roughened and free from laitance, coatings, oil, sand, dust and loose particles.

The cleaned surfaces which are to receive a mortar bed shall be saturated with water just prior to placing mortar or the cleaned surfaces shall be coated with fresh neat cement slurry. If the surface is saturated with water, excess water shall be removed and the wetted surfaces uniformly dusted with portland cement. The slurry or wetted cement dust shall be broomed to completely coat the surface with a thin and uniform coating just prior to placing the mortar.

Substrates shall be inspected to insure that grounds, anchors, plugs, recessed frames, bucks, drains, electrical work, mechanical work, and similar items in or behind the tile have been installed before proceeding with installation of the tiles.

### **INSTALLATION**

Tile installation shall conform to applicable parts of ANSI 108 Series of the tile installation standards included under "American National Standard Specifications for the Installation of Ceramic Tile" and Tile Council of American, "Handbook for Ceramic Tile Installation."

All tile shall be installed on a bond coat over a setting bed. The setting bed shall be a cured cement mortar bed or a prepared, dimensionally stable substrate of concrete, masonry, cementitious backer board, or other cementitious material.

The back face of the tile shall be free of paper, adhesives, fiber mesh, resins, or other materials affecting the bond of the tile to the bedding material.

Tile sheets shall have permanent edge bonding or temporary mounting materials on the exposed face. Water soluble or absorbent adhesives shall not be used for edge bonding. Temporary mounting materials shall allow observation during tile setting operations.

Tile work shall extend into recesses and under or behind equipment and fixtures, to form a complete covering without interruptions, except as shown on the plans. Work shall be terminated neatly at obstructions, edges and corners without disrupting pattern or joint alignments.

Intersections and returns shall be accurately formed. Cutting and drilling of tile shall be performed without marring visible surfaces. Cut edges of tile abutting trim, finish or built-in items shall be carefully ground to produce straight aligned joints. Tile shall be closely fit to electrical outlets, piping, fixtures and other penetrations such that plates, collars, or covers overlap the tile.

#### **Mortar Bed Placement:**

The mortar bed, with or without reinforcement as shown on the plans, shall be placed, consolidated, and finished to the required thickness.

The surface of the mortar bed shall be true and pitched as shown on the plans, without high or low spots. The mortar bed surface shall not vary more than 1/8 inch in 8 feet from a plane parallel to the finished tile surface when tile is installed on a cured mortar bed.

In no case shall the allowed tolerances result in offsets between adjoining tiles, low spots on finished tile surfaces that can pond water, or finished tile surfaces that are not plumb or not true.

Cement mortar beds to receive a tile bond coat shall be damp cured under cover for a minimum of 48 hours at a temperature of not less than 70°F.

Cement mortar beds to receive an epoxy bond coat shall be damp cured under cover for a minimum of 96 hours at a temperature of not less than 70°F and allowed to dry thoroughly prior to setting tile.

**Cementitious Backer Board:** Cementitious backer board shall be installed in accordance with the provisions of ANSI Standard: A118.11.

**Shower Pan:**

Substrate shall be thoroughly cleaned prior to forming the shower pan. Drain shall be a bolt-down clamping ring type with weepholes, installed such that the lip of the drain is flush with the subfloor.

Shower pan shall be turned up for a distance of not less than 6 inches in room areas and 3 inches above curb level in curbed spaces, with sufficient material to fold over and fasten to outside face of curb. Corners shall be dog-eared and folded between pan and studs. Material shall only be nailed in the top inch of the upstand.

Shower pan material shall be cut exactly to size of the drain opening, do not trim out to bolt holes, but pierce to accommodate bolts with a tight fit. Place adhesive or mastic between pan and subdrain.

**Tile Bond Coat:**

The tile bond coat mortar shall be mixed according to the manufacturer's recommendations. The consistency of the mixture shall be such that ridges formed with the recommended notched trowel shall not flow or slump. Reworking will be allowed provided no water or materials are added. The setting bed surfaces shall be dampened before placing the bond coat as necessary for tile installation, but the setting bed shall not be soaked. The setting bed surfaces for epoxy bond coat shall be dry.

The bond coat shall be floated onto the cured mortar bed surface with sufficient pressure to cover the surface evenly with no bare spots. The surface area to be covered with the bond coat shall be no greater than the area that can be tiled while the bond coat is still plastic. The bond coat shall be combed with a notched trowel as recommended by the manufacturer within 10 minutes before installing tile. Tile shall not be installed on a skinned over bond coat.

**Installing Tiles:**

Tile shall be installed in accordance with the manufacturer's instructions and shall be set solid and shall be well bonded to the substrate.

Tile set on a tile bond coat shall be installed in accordance with ANSI Standard: A108.5, and tile set on an epoxy mortar shall be installed in accordance with ANSI Standard: A108.6.

If tiles are cut, the cuts shall be made with saws. Cut edges shall be rubbed with an abrasive stone to bring the edge of the glaze slightly back from the body of the tile. Cuts shall be accurately made to neatly fit the tile in place. Cut edges shall not be butted against other tile. Cut tile shall be at least half the size of a full size tile.

Tile shall completely cover wall areas behind mirrors and fixtures.

Tile shall be installed so that the finished tile surface does not vary more than 1/8 inch in 8 feet from the finished tile surface shown on the plans. In no case shall there be offsets in adjoining tiles, low spots on finished tile surfaces that can pond water, or finished tile surfaces that are not plumb or true in the completed tile work.

Tiles shall be firmly pressed into the freshly notched bond coat. Tile on interior surfaces shall be tapped and beat into a true surface and to obtain at least 80 percent coverage by the mortar on the back of each tile. Tile on exterior surfaces shall have 100 percent coverage and shall be back-buttered immediately prior to setting the tile.

If tile is face mounted, the paper and glue shall be removed within one hour after tile is installed and all tiles that do not meet the requirements for joints and surface tolerance shall be adjusted or replaced.

Mortar that exudes into the grout spaces between tiles shall be removed to the bottom of tile.

Joints: Joints between tiles shall be continuous both vertically and horizontally. Joints shall be straight and of uniform and equal width. Where tiles on adjoining surface are the same size, the joints shall align, one with the other. Joint width shall be as recommended by the tile manufacturer.

#### Grouting Tile:

Grout shall be mixed, applied and cured in accordance with the manufacturer's recommendations and ANSI Standard: A108.10 for cement grout and ANSI Standard: A108.9 for epoxy grout.

Spacers, strings, ropes, pegs, glue, paper, and face mounting material shall be removed before grouting. Joints between glazed wall tile shall be wetted if they have become dry. Joints for epoxy mortar shall be dry.

Grouting shall not begin until at least 48 hours after installing tile.

A maximum amount of grout shall be forced into the joints between tiles in accordance with the manufacturer's recommendations. The grout shall be finished to the depth of the cushion for cushion edge tile and finished flush with the surface for square edge tile. All gaps and skips in the grout spaces shall be filled.

Mortar or mounting mesh shall not show through the grouted joints.

The finished grout shall have a uniform color and shall be smooth without voids, pinholes or low spots.

Expansion joints shall be kept free of grout or mortar.

Grout shall be protected from freezing or frost for at least 5 days after installation.

#### Expansion Joints:

Expansion joints shall be installed at the perimeter of all tile floors and at all substrate control joints and changes in the substrate material. Exterior expansion joint spacing shall not exceed 16 feet in any direction.

All expansion joints shall be made with sealant over backer rods. The thickness of sealant at the center of expansion joints shall not exceed the width of the joint. Joint edges shall be primed as recommended by the sealant manufacturer.

Edge Strips: Edge strips shall be installed at openings where the threshold has not been shown on the plans, but where tile floor abuts other flooring materials at the same level. Edge strips shall be installed centered under the closed door, or where there is no door, centered in the opening.

Sounding Tile: Tiled surfaces shall be sounded with a metal bar or chain for improperly bonded tile or setting bed. Tile or setting bed that emits a hollow sound shall be replaced.

Replacement: Cracked, chipped, broken, or otherwise defective tiles shall be removed and replaced. All tiles which differ more than 1/16 inch in elevation from adjacent tile edges shall be removed and replaced.

#### Curing:

After the installation of tile and the grouting of joints, the tile and grout shall be cured by keeping the surface continuously damp for at least 72 hours after grouting. Curing materials shall not stain the tile or grouted joints. Curing methods shall not erode away the grout.

After grouting, horizontal tiled surfaces shall be closed to traffic, and all tiled surfaces shall be kept free from impact, vibration or shock, for at least 72 hours.

## **CLEANING AND PROTECTION**

### **Cleaning Tile Surfaces:**

All exposed tile surfaces shall be cleaned of all grout haze upon completion of grouting. Acids and chemicals used to clean tile shall conform to the tile manufacturer's recommendations. Cleaners shall not be harmful to materials on surfaces of abutting floors, walls, and ceilings. Tile work shall be rinsed thoroughly with clean water before and after using acid or chemical cleaners. After cleaning and rinsing, tile surfaces shall be polished using a soft cloth.

Tile work shall be cleaned and polished again immediately prior to completion of the contract. All dirt, grime, stains, paints, grease, and other discoloring agents or foreign materials shall be removed.

### **Protection:**

After grouting, horizontal tiled surfaces shall be closed to traffic, and all tiled surfaces shall be kept free from impact, vibration or shock, for at least 72 hours after.

Tile surfaces damaged by construction operations shall be retiled.

## **SCHEDULES**

### **Wall Tile:**

Wall tile shall be nominal 3" x 3" and 1" x 1" matte porcelain tile. For location of 3" x 3" and 1" x 1" tiles see tile layout on the plans.

Installation on cementitious backer board, using a tile bond coat and grout, shall conform to the requirements of Method W 244, "Handbook for Ceramic Tile Installation."

**Floor Tile:** Floor tile shall be nominal 1" x 1" matte porcelain tile installed on a mortar bed using a tile bond coat and grout and shall conform to the requirements of Method F 112, "Handbook for Ceramic Tile Installation."

**Shower Tile:** Shower tile shall be nominal 1" x 1" matte porcelain tile at shower floor and 3" x 3" and 1" x 1" matte porcelain tile at shower walls. For location of 3" x 3" and 1" x 1" tiles see tile layout on the plans. Shower floor tiles shall be installed on a mortar bed using tile bond coat and grout. Shower wall tiles shall be installed on cementitious backer board, using tile bond coat and grout. Installation shall conform to the requirements of Method B 415, "Handbook for Ceramic Tile Installation."

## **12-9.03 RESILIENT BASE**

### **PART 1 - GENERAL**

**Scope:** This work shall consist of furnishing and installing resilient base in accordance with the details shown on the plans and these special provisions.

### **SUBMITTALS**

Manufacturer's descriptive data, installation instructions, color palette, and samples of resilient base shall be submitted for approval. Samples shall be not less than 2 inches in length.

## **PART 2 - PRODUCTS**

Resilient Base: Resilient base shall be manufacturer's best grade, rubber or vinyl base, with premolded internal and external corner pieces. The height and color shall be as shown on the plans.

Adhesive: Adhesive shall be as recommended by base manufacturer.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Bases shall be firmly and totally attached to walls with adhesive and shall be accurately scribed to trim, molding and cabinets. All joints shall be tight fitting. Bases between premolded corners or other termini may be installed continuous or installed using 4-foot minimum standard manufactured lengths. Filler pieces shall be not less than 18 inches.

## **12-9.04 VINYL COMPOSITION TILE**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing vinyl composition tile in accordance with the details shown on the plans and these special provisions.

Vinyl composition tile shall consist of vinyl composition tile, edger strips, floor wax and tile manufacturer's recommended primers and adhesives.

### **SUBMITTALS**

Manufacturer's descriptive data, installation instructions, color and pattern samples shall be submitted for approval. Samples of tile shall be 12" x 12" in size.

### **PART 2 - PRODUCTS**

Vinyl Composition Tile: Vinyl composition tile shall be slip resistant, semi-flexible, 3/32-inch minimum thickness, 12" x 12" tile conforming to ASTM F 1066, Type IV. Color and pattern shall be as shown on the plans.

Primer, Leveling Compound Crack Filler and Adhesives: Primer, leveling compound crack filler and adhesives shall be waterproof types as recommended by the tile manufacturer.

Floor Polish: Provide protective liquid floor polish products as recommended by flooring manufacturer.

Edger Strips: Edger strips shall be commercial quality, stainless steel or aluminum.

### **PART 3 - EXECUTION**

#### **PREPARATION**

Before placing adhesives, all surfaces to receive vinyl composition tile shall be made free of localized depressions or bumps. Bumps shall be ground flat. Holes, depressions and cracks shall be filled with crack filler or leveling compound.

Immediately prior to application of the tile flooring, the surface to be covered shall be thoroughly dry, free of paint, oil, grease, mortar, plaster droppings, scaly surfaces or other irregularities and shall be broom clean.

Primer, when recommended, shall be thoroughly brushed on the surface at the rate recommended by the adhesive manufacturer and shall be completely dry before the application of adhesives.

The rooms where tile is to be installed shall be maintained at a temperature of at least 70°F for not less than 72 hours before installation, during installation and for 5 days after installation.

## **APPLICATION**

Tile shall be laid to a true, straight, smooth and even finished surface in accordance with the manufacturer's instructions. Joints shall be tight fitting. Floor covering shall be placed before floor mounted fixtures are installed. After tile has been set, the finished surface shall be rolled and crossrolled with a roller weighing 100 pounds or more.

Edger strips shall be installed at free edges.

Where tile patterns between rooms differ, the pattern break at openings shall occur at the centerline of the common wall.

Upon completion of the tile application, all stains, surplus adhesive, dirt and debris resulting from the work shall be removed and the floor left broom clean. Tile shall be protected from damage at all times during construction. As a last order of work, tile shall be washed with soap and warm water, rinsed, and then polished in accordance with the tile manufacturer's printed instructions.

## **12-9.05 PAINTING**

### **PART 1 - GENERAL**

Scope: This work shall consist of preparing surfaces to receive coatings, and furnishing and applying coatings, in conformance with the schedules and details shown on the plans and these special provisions.

The coatings specified in this section are in addition to any factory finishes, shop priming, or surface treatment specified elsewhere in these special provisions.

### **DEFINITIONS**

Detergent Wash: Removal of dirt and water-soluble chemicals by scrubbing with a solution of detergent and water, and removal of all solution and residues with clean water.

Hand Cleaning: Removal of dirt, loose rust, mill scale, excess base material, filler, aluminum oxide, chalking paint, peeling paint, or paint that is not firmly bonded to the surfaces by using hand or powered wire brushes, hand scraping tools, power grinders, or sandpaper and removal of all loose particles and dust prior to coating.

Mildew Wash: Removal of mildew by scrubbing with a solution of detergent, hypochlorite-type household bleach, and warm water, and removal of all solution and residues with clean water.

Abrasive Blasting:

Removal of loosely adhering paint, dirt, rust, mill scale, efflorescence, weak concrete, or laitance, shall be by the use of airborne abrasives. Loose particles, dust, and abrasives shall be removed by blasting with clean, oil-free air.

Abrasives shall be limited to mineral grit, steel grit, or steel shot, and shall be graded to produce the surface profile recommended in the manufacturer's data sheet.

Steam Cleaning: Removal of oil, grease, dirt, or other foreign matter by using steam generated by commercial steam cleaning equipment, from a solution of water and steam cleaning compounds, and removal of all residues and cleaning compounds with clean water.

TSP Wash: Removal of oil, grease, dirt, paint gloss, and other foreign matter by scrubbing with a solution of trisodium phosphate and warm water, and removal of all solution and residues with clean water.

Water Blasting: Removal of dirt, loose scale, chalking, or peeling paint by low-pressure water cleaning. Water blasting shall be performed in conformance with the requirements in SSPC-SP12 and shall produce a surface cleanliness meeting the requirements of SSPC-SP12-WJ4. Equipment used shall have a minimum flow rate of 1.5 GPM. If a detergent solution is used, it shall be biodegradable and shall be removed from all surfaces with clean water.

Protection:

The Contractor shall provide protective devices, such as tarps, screens or covers, as necessary to prevent damage to the work and to other property or persons from all cleaning and painting operations.

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at the Contractor's expense and the original surface shall be restored.

### **SUBMITTALS**

Manufacturer's descriptive data, a materials list, and color samples shall be submitted for approval.

Product descriptive data shall include product description, manufacturer's recommendations for product mixing, thinning, tinting, handling, site environmental requirements, product application, and drying time.

Materials list shall include manufacturer's name, trade name, and product numbers for each type coating to be applied.

Color samples shall be manufacturer's color cards, approximately 2" x 3", for each color of coating shown on the plans. Color samples for stains shall be submitted on wood of the same species, color, and texture as the wood to receive the stain.

### **QUALITY ASSURANCE**

Certificates of Compliance: Certificates of Compliance shall be furnished when products are required to conform with the requirements of The Society for Protective Coatings (SSPC) in conformance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

### **REGULATORY REQUIREMENTS**

Coatings and applications shall conform to the rules for control of Volatile Organic Compound (VOC) emissions adopted by the air quality control district in the air basin in which the coatings are applied.

### **SITE ENVIRONMENTAL REQUIREMENTS**

Coatings shall be applied in conformance with the environmental constraints specified in the manufacturer's printed instructions. These conditions shall be maintained until the coating has cured and is ready for recoat.

Continuous ventilation shall be provided during application of the coatings.

Adequate lighting, as determined by the Engineer, shall be provided while surfaces are being prepared for coatings and during coating applications.

### **DELIVERY, STORAGE, AND HANDLING**

Products shall be delivered to the site in sealed, labeled containers and stored in a well-ventilated area at an ambient air temperature of not less than 45°F. Container labeling shall include manufacturer's name, type of coating, trade name, color designation, drying time, and instructions for tinting, mixing, and thinning.

## **MAINTENANCE STOCK**

Upon completion of coating work, a full one-gallon container of each type and color of finish coat and stain used shall be delivered to the location at the project site designated by the Engineer. Containers shall be tightly sealed and labeled with color, texture, and room locations where used, in addition to the manufacturer's standard product label.

## **PART 2 - PRODUCTS**

Products for each coating system shall be from a single manufacturer and shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI). Each product shall be shown on the MPI Approved Products List unless otherwise specified in these special provisions.

## **PART 3 - EXECUTION**

### **INSPECTION**

Coatings shall not be applied until surface preparation has been approved by the Engineer. The Contractor shall notify the Engineer at least 3 working days prior to the application of coatings.

### **SURFACE PREPARATION**

Surfaces scheduled to be coated shall be prepared in conformance with the coating manufacturer's printed instructions unless otherwise specified in these special provisions.

Hardware, cover plates, light fixture trim, and similar items shall be removed prior to preparing surfaces for coating. Following the application of the finish coating, the removed items shall be reinstalled in their original locations.

#### **Wood:**

A sealer recommended by the coating manufacturer shall be spot applied to knots, sap, pitch, tar, creosote, and other bleeding substances.

After the application of the prime coat, all nail holes, cracks, open joints, dents, scars, and surface irregularities shall be filled, hand cleaned, and spot primed to provide smooth surfaces for the application of finish coats.

#### **Galvanized Metal:**

New surfaces shall be roughened by hand sanding or light abrasive blasting. Galvanizing shall not be removed during cleaning or roughening.

Damaged or corroded areas shall be cleaned and given 2 spot applications of a coating that conforms to the requirements in the Detailed Performance Standards of the MPI, and listed on MPI List "Number 18, Primer, Zinc Rich, Organic."

**Steel and Other Ferrous Metals:** Surface shall be cleaned in conformance with the requirements in SSPC-SP 1. Surface profile shall be as required for the coating system specified.

**Aluminum and Other Non-ferrous Metals:** Surface shall be cleaned in conformance with the requirements in SSPC-SP 1.

**Gypsum Board:** Holes, cracks, and other surface imperfections shall be filled with joint compound or suitable filler prior to application of coatings. Taped joints and filled areas shall be hand sanded to remove excess joint compound and filler.

#### **Previously Coated Surfaces:**

Dirt, oil, grease, or other surface contaminants shall be removed by water blasting, steam cleaning, or TSP wash. Minor surface imperfections shall be filled as required for new work. Mildew shall be removed by mildew wash. Chalking paint shall be removed by hand cleaning. The surfaces of existing hard or glossy coatings shall be abraded to dull the finish by hand cleaning or light abrasive blasting. Abrasive blasting shall not be used on wood or non-ferrous metal surfaces.

Chipped, peeling, blistered, or loose coatings shall be removed by hand cleaning, water blasting, or abrasive blasting. Bare areas shall be pretreated and primed as required for new work.

## **APPLICATION**

Coatings shall be applied in conformance with the printed instructions and at the application rates recommended by the manufacturer to achieve the dry film thickness stated in the coating technical data sheet.

Mixing, thinning and tinting shall conform to the manufacturer's printed instructions. After thinning, the coating shall conform to the regulatory requirements in these special provisions.

Coatings shall be applied only when surfaces are dry and properly prepared.

Cleaning and painting shall be scheduled so that dust and other contaminants from the cleaning process will not fall on wet, newly coated surfaces.

Materials required to be coated shall have coatings applied to all exposed surfaces, including the tops and bottoms of wood and metal doors, the insides of cabinets, and other surfaces not normally visible from eye level.

### Surface Finish Application:

Each coat shall be applied to a uniform finish. Finished surfaces shall be free of surface deviations and imperfections such as skips, cloudiness, spotting, holidays, laps, brush marks, runs, sags, curtains, ropiness, improper cutting in, overspray, drips, ridges, waves, and variations in color and texture.

Each application of a multiple application finish system shall closely resemble the final color coat, except each application shall provide enough contrast in shade to distinguish the separate applications.

### Work Required Between Applications:

Each application of material shall be cured in conformance with the coating manufacturer's printed instructions before applying the succeeding coating.

Enamels and clear finishes shall be lightly sanded, dusted, and wiped clean between applications.

Stain blocking primer shall be spot applied whenever bleeding substances are visible through the previous application of a coating.

Timing of Applications: The first application of the coating system shall be during the same work shift that the final surface preparation was performed. Additional coats shall be applied as soon as the required drying time of the preceding coat, specified in the coating manufacturer's printed instructions, has been met.

### Application Methods:

Coatings shall be applied by brush, roller or spray. Rollers shall be of a type which do not leave a stippled texture in the paint film. Extension handles for rollers shall not be greater than 6 feet in length.

If spray methods are used, surface deviations and imperfections such as overspray, thickness deviations, lap marks, and orange peel shall be considered as evidence the work is unsatisfactory and the Contractor shall apply the remainder of the coating by brush or roller, as approved by the Engineer.

#### Back Priming:

The first application of the coating system shall be applied to all wood surfaces (face, back, edges, and ends) of wood materials that are not factory coated, immediately upon delivery to the project site. Surfaces of interior finish woodwork that adjoin concrete or masonry shall be coated with one application of exterior wood primer before installation.

Patches in Previously Coated Surfaces: Where patches are made on surfaces of previously coated walls or ceilings, the entire surface to corners on every side of the patch shall be coated with a minimum of one application of the finish coat.

#### Finishing Mechanical and Electrical Components:

Shop primed mechanical and electrical components shall be finish coated in conformance with the coating system specified for the substrate material. Louvers, grilles, covers, and access panels on mechanical and electrical components shall be removed and coated separately.

Interior surfaces of air ducts which are visible through grilles or louvers shall be coated with one application of flat black enamel, to limit of the sight line.

Conduit, piping, and other mechanical and electrical components visible in the finished work shall be painted.

Both sides and all surfaces, including edges and back of wood mounting panels for electrical and telephone equipment shall be finish coated before installing equipment.

#### **CLEANING**

Upon completion of all operations, the coated surfaces shall be thoroughly cleaned of dust, dirt, grease, or other unsightly materials or substances.

Surfaces marred or damaged as a result of the Contractor's operations shall be repaired, at his expense, to match the condition of the surfaces prior to the beginning of the Contractor's operations.

#### **COATING SYSTEM**

The surfaces to be coated shall be as shown on the plans and as specified in these special provisions. When a coating system is not shown or specified for a surface to be finish coated, the coating system to be used shall be as specified below for the substrate material. The number of applications specified for each coating system listed herein is a minimum. Additional coats shall be applied if necessary to obtain a uniform color, texture, appearance, or required dry film thickness.

#### **SYSTEM 1 - ALUMINUM AND OTHER NON-FERROUS METALS**

2 Finish Coats:

Semi-Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 5, MPI List Number 163

#### **SYSTEM 2 - GALVANIZED METAL**

2 Finish Coats:

Semi-Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 5, MPI List Number 163

#### **SYSTEM 3 - GALVANIZED METAL DECK**

(Top surface of galvanized metal deck used as finish roof only)

One Prime Coat:

Primer: Vinyl wash primer, MPI List Number 80

2 Finish Coats:

Gloss: Polyurethane, two component, pigmented, Exterior, MPI List Number 72

#### SYSTEM 4 - GYPSUM BOARD

One Prime Coat:

Primer Sealer: Latex, Interior, MPI List Number 50

2 Finish Coats:

Semi-Gloss: Latex, Interior, MPI Gloss Level 5, MPI List Number 54

#### SYSTEM 5 - STEEL AND OTHER FERROUS METALS, NON-CORROSIVE ENVIRONMENT

VISIBLE IN FINISHED WORK:

2 Prime Coats:

Shop Primer: Coating meeting the requirements of SSPC-Paint 15

Field Primer: Rust Inhibitive, Water Based, MPI List Number 107

2 Finish Coats:

Gloss: Light Industrial coating, Water Based, Exterior, MPI Gloss Level 6, MPI List Number 164

NOT VISIBLE IN FINISHED WORK:

2 Prime Coats:

Shop Primer: Coating meeting the requirements of SSPC-Paint 15

Field Primer: Rust Inhibitive, Water Based, MPI List Number 107

#### SYSTEM 6 - WOOD, PAINTED

1 Prime Coat:

Primer: Latex for Exterior Wood, MPI List Number 6

2 Finish Coats:

Semi-Gloss: Latex, Exterior, MPI Gloss Level 5, MPI List Number 11

#### COLOR SCHEDULE

Colors shall be as shown on the plans.

#### 12-9.06 DETECTABLE WARNING SURFACE

##### PART 1 - GENERAL SUMMARY

This work includes furnishing and installing detectable warning surfaces.

## **DEFINITIONS**

Not Used

## **SUBMITTALS**

Submit manufacturer's descriptive data, color and texture samples, installation instructions, and warranty documentation. Submit 2 samples, each at least 6" x 6".

## **QUALITY CONTROL AND ASSURANCE**

Not Used

## **WARRANTY**

The manufacturer must provide a 5-year warranty for the detectable warning surface, guaranteeing replacement when there is a defect in the dome shape, color fastness, conformation, sound-on-cane acoustic quality, resilience, or attachment degrading significantly. Degrading significantly means that the product cannot maintain at least 90 percent of its approved design characteristics. Begin warranty period upon Contract acceptance.

## **PART 2 - PRODUCTS**

Detectable warning surfaces must be listed on the Department's Pre-Qualified Products List.

Detectable warning surfaces must be prefabricated, flush mounting, truncated dome panels. Dimensions and spacing must be as shown on the plans. The color of the detectable warning must be yellow complying with FED-STD-595, Color No. 33538.

Adhesives, fasteners, and sealant must comply with the manufacturer's instructions.

## **DELIVERY, STORAGE, AND HANDLING**

Deliver materials to the job site in the manufacturer's original and unopened containers that bear labels showing type of material. Package finished surfaces with protective wrappings to protect panels from residue before and during installation.

## **PART 3 - EXECUTION**

Securely install the detectable warning surfaces under the manufacturer's installation instructions.

## **SECTION 12-10. SPECIALTIES**

### **12-10.01 MARKER BOARDS**

#### **PART 1 – GENERAL**

Scope: This work shall consist of furnishing and installing marker boards in accordance with the details shown on the plans and these special provisions.

One felt eraser and 12 felt tipped liquid chalk markers of assorted colors shall be furnished for each marker board installed.

#### **SUBMITTALS**

Manufacturer's descriptive data and installation instructions shall be submitted for approval.

## **PART 2 - PRODUCTS**

Marker Board:

Marker board shall conform to Porcelain Enamel Institute PEI-1002, "Manual and Performance Specifications for Porcelain Enamel Writing Surfaces (Whiteboards and Chalkboards)," and shall be porcelain enamel surface on 0.024-inch thick (24-gage) sheet steel pressure laminated to ¼-inch thick tempered hardboard. Hardboard shall have a backing of 0.015-inch nominal thickness aluminum sheet. Enamel surface shall be suitable for marking with felt tipped liquid chalk markers and erasing with a felt eraser or dry cloth. The enamel surface shall be white in color.

Marker board dimensions shall be as shown on the plans.

Trim and marker tray: Trim and marker tray shall be factory installed, satin finish, clear anodized aluminum extrusions.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Marker boards shall be installed rigidly, securely, plumb and true in accordance with the manufacturer's instructions.

## **12-10.02 METAL TOILET PARTITIONS**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing metal toilet partitions in accordance with the details shown on the plans and these special provisions.

### **SYSTEM DESCRIPTION**

Metal toilet partitions shall consist of panels, doors, pilasters and shoes, headrails, urinal screens, fasteners, anchorages, and hardware. Internal reinforcement shall be provided at all fasteners, anchorages, hardware, and accessories.

Doors, panels, pilasters, and urinal screens shall have a factory applied, baked on enamel finish consisting of not less than one prime coat over a chemically pretreated base followed by at least one baked on enamel finish coat.

### **SUBMITTALS**

Manufacturer's descriptive data, catalog cuts, and installation instructions shall be submitted for approval.

Manufacturer's standard color palette shall be submitted. The Engineer will select colors from the standard color palette.

Working drawings shall show the plan layout, door and panel elevations and all details required for the complete installation and anchorage of the partition system.

Manufacturer's descriptive data, catalog cuts, and installation instructions shall be submitted for approval.

## **PART 2 - PRODUCTS**

Doors and Panels:

Doors and panels shall be flush, one-inch minimum thickness, formed of two 0.034-inch (22-gage) minimum thickness, galvanized steel sheets over a honeycomb core. Doors and panels shall have formed edges sealed with a continuous oval crown locking strip, and shall be mitered, welded and finished at the corners.

Doors shall have controlled action hinges, with vertical pintle and ball bearing roller operating on adjustable cams, or moving parts of nylon and stainless steel. Top pivots shall be recessed into edges of doors.

Doors shall be provided with slide bar latch and a combination coat/hat hook and door stop, except as otherwise specified.

In addition to the above, doors on stalls designated as accessible shall be furnished with an automatic door closing device and U-shaped door pulls, located immediately below the latch on the inside and outside of the door.

Pilasters: Pilasters shall be 1-1/4 inches thick, of the same construction as the doors and panels, except the galvanized face sheets shall be 0.040-inch (20-gage) minimum thickness, with adjustable, leveling base.

Headrails: Headrails shall be anodized aluminum, 1" x 1-1/2" minimum, with exposed ends capped.

Urinal Screens: Urinal screens shall be wedge type, wall-mounted, and of the same construction as the doors and panels, except face sheets shall be 0.040-inch (20-gage) minimum thickness. All fasteners shall be concealed.

Fasteners and Anchorages: Fasteners and anchorages shall be stainless steel with vandal resistant heads.

Hardware: Hardware shall be highly polished chromium plated, cast alloy, or heavy duty anodized aluminum.

Pilasters Anchors: Pilasters anchors shall be integral stud anchor type or internally threaded expansion sleeve type with single cone expander. Self-drilling type anchorage shall not be used.

Pilaster Shoes: Pilaster shoes shall be one-piece, stainless steel, with concealed hold down clips, and of sufficient height to completely cover the base and anchors.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Metal toilet partitions shall be installed rigidly, securely, plumb, true, and in accordance with the manufacturer's recommendations. Tops and bottoms of doors shall align with tops and bottoms of panels, and all horizontal lines shall be level.

Blocking shall be provided in walls to receive anchorages.

Panels shall be anchored with at least 3 brackets at each wall and pilaster. Two anchors shall be used to fasten each pilaster base to the floor.

Doors shall not bind during opening and closing. The clearance between the door edges and pilasters shall be uniform, equidistant, and shall not exceed 3/16 inch. Hinges shall be adjusted to hold doors ajar when unlatched. Doors on stalls designated as accessible shall return to the closed position.

Drilling, cutting, and fitting of wall and floor finishes shall be concealed by the completed installation.

The sweep period of automatic door closing devices shall be adjusted so that from an open position of 70 degrees the door will take at least 3 seconds to move to a point 3 inches from the latch, measured to the leading edge of the door.

## **CLEAN-UP**

Toilet partitions shall be cleaned, polished, and free of all defects. Chipped, dented, scratched, or otherwise damaged work shall be replaced at the Contractor's expense.

## **12-10.03 SIGNS**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing signs in accordance with the details shown on the plans and these special provisions.

#### **SUBMITTALS**

Product Data: Manufacturer's descriptive data for sign materials, graphics, and fastening hardware shall be submitted for approval.

Manufacturer's standard color palette for acrylic signs shall be submitted. The Engineer will select background and character colors from the standard color palette.

#### **QUALITY ASSURANCE**

Regulatory Requirements: Identification, directional, informational, exit, and accessibility signs and symbols shall conform to the requirements in Identification symbols, 24 CA Code of Regs Pt 2 CBC Sections 11B-703 and 1011.

Certificate of Compliance: The Contractor shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the sheet aluminum.

### **PART 2 - PRODUCTS**

Sign Colors: The color white shall conform to FED-STD-595, Color No. 17886. The color blue shall conform to FED-STD-595, Color No. 15090. The color black shall conform to FED-STD-595, Color No. 17038.

Signs:

Signs shall be scratch resistant, non-static, fire retardant, washable acrylic laminate with a non-glare surface, not less than 1/8-inch thick.

Symbols: Symbols shall be scratch resistant, non-static, fire retardant, washable acrylic. Symbol colors shall be in contrast to door color.

Self-Luminous Sign (Exit):

Self-luminous sign shall be internally illuminated, self-luminous exit sign powered by permanent integral tritium gas source. Sign shall be listed by the California State Fire Marshal.

Sign housing shall be ABS molding. Faceplate shall be acrylic.

Fastening Hardware and Material: Fastening hardware and material shall be as recommended by the sign manufacturer. Fasteners shall be noncorrosive.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Signs and symbols shall be fastened or secured to clean, finished surfaces in accordance with the sign manufacturer's instructions. Signs shall be installed at a location and height as shown on the plans.

Metal signs shall be attached securely with galvanized or cadmium plated fasteners.

## **12-10.04 WARDROBE LOCKERS**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing wardrobe lockers in accordance with the details shown on the plans and these special provisions.

#### **SUBMITTALS**

Product Data:

Manufacturer's descriptive data, installation instructions, and standard color palette shall be submitted for approval.

Unless otherwise shown on the plans, the color will be selected by the Engineer from the standard color palette after the award of the contract.

### **PART 2 - PRODUCTS**

#### **ACCEPTABLE MANUFACTURERS**

Available Manufacturers: Subject to conformance with the contract provisions, metal lockers shall be Art Metal Products; Lyon Metal Products; Republic Storage Systems; or equal.

Lockers:

Lockers shall be standard, factory fabricated steel units. Framing shall be 0.060 inch thick (16-gage) and face sheets shall be 0.024 inch (24-gage), except door face sheets shall be 0.060 inch (16-gage).

Lockers shall be equipped with the following: hat shelf located approximately 10 inches below the top of the wardrobe locker, side to side coat rod, coat hook, louver vents at top and bottom of door, nonbreakable grip and turn handle, provisions for a padlock, lockbar with 3-point latching contact with door frame and 1 1/2 pair full looped leaf hinges.

Accessible locker must have accessible hardware centered between 34 inches and 44 inches above adjacent floor. Shelf, rod and hook must be mounted at a maximum height of 48 inches above adjacent floor.

The approximate dimensions of the wardrobe lockers shall be 18 inches wide, 18 inches deep and 72 inches high.

Closed Base: Closed base shall be the manufacturer's standard continuous 6-inch base, fabricated of the same material and designed for use with the lockers provided. Bottoms shall be flanged inward for stiffening. Bases shall have the same finish as the locker units.

Top: Top shall be the manufacturer's standard continuous sloping top with end closure as needed, fabricated of the same material and designed for use with the lockers provided. Tops shall have the same finish as the locker units.

## **FABRICATION**

### **Shop Assembly:**

Lockers shall be fabricated square, rigid, and without warp, with metal faces flat and free of dents or distortion.

Frame joints and seams shall be welded. Exposed welds shall be ground smooth. Hinge and latch connections shall be welded or riveted.

Bolts shall be used for assembly and mounting lockers components. Bolt or rivet heads on fronts of locker doors or frame shall not be exposed.

Factory Finish: Lockers shall be chemically pretreated with degreasing and phosphatizing process. Wardrobe lockers shall have a baked enamel finish on all surfaces, exposed and concealed.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Lockers shall be mounted on closed bases at locations shown in accordance with the manufacturer's instructions for plumb, level, rigid, and flush installation.

Wardrobe lockers shall be bolted together at tops and bottoms. The backs of the end lockers shall be bolted to wall anchors with ¼-inch bolts installed near the tops of the wardrobe lockers as recommended by the locker manufacturer.

Trim, sloping tops, and metal filler panels, if required, shall be installed using concealed fasteners to provide flush, hairline joints against adjacent surfaces.

The number of lockers shall be as shown on the plans.

## **12-10.05 WOOD BENCHES**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing wood benches in accordance with the details shown on the plans and these special provisions.

### **SUBMITTALS**

Product Data: Manufacturer's descriptive data and installation instructions shall be submitted for approval.

### **PART 2 - PRODUCTS**

Acceptable Manufacturers: Subject to compliance with these requirements, manufacturers shall be Penco Products, Inc.; Republic Storage Systems, Inc.; Interior Steel Equipment Co.; or equal.

Seat: Seat shall be factory fabricated, laminated seat units of solid birch or other suitable, dense hardwood and manufacturer's standard clear coating. Seat units shall be approximately 17 to 19 inches wide by 1¼ inches thick, in lengths as shown on the plans. Edges of the seat shall be rounded and all surfaces shall be smooth and free of splinters which would snag clothing or skin.

Supports Assemblies: Supports assemblies shall be standard moveable steel pedestal. Pedestal shall have provisions for fasteners to the bench. Pedestal color and finish shall be selected from the manufacturer's standard colors.

Fasteners: Fasteners for fastening seat units and support assemblies shall be the manufacturer's standard fasteners for the purpose intended.

## **12-10.06 FIRE EXTINGUISHERS AND CABINETS**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing fire extinguishers with cabinets or mounting brackets in accordance with the details shown on the plans and these special provisions.

#### **REFERENCES**

Fire Extinguishers shall conform to the requirements in California Code of Regulations, Title 19 Division 1, Chapter 3, "Portable Fire Extinguishers."

#### **SUBMITTALS**

Product Data: Manufacturer's descriptive data and installation instructions shall be submitted for approval.

#### **QUALITY ASSURANCE**

Codes and Standards: Fire extinguishers shall be Underwriters Laboratories or Factory Mutual Laboratories approved for the type, rating and classification of extinguisher specified.

### **PART 2 - PRODUCTS**

#### **MANUFACTURER'S**

Acceptable Manufacturers: Subject to contract compliance, manufacturers shall be J. L. Industries; Larsen's Manufacturing; Potter-Roemer; or equal.

#### **COMPONENTS**

Fire Extinguisher: Fire extinguisher shall be fully charged, multi-purpose dry chemical type, with charge indicator, hose and nozzle, and attached service record tag. Fire extinguisher shall be of the capacity and type rating shown on the plans.

Mounting Bracket: Mounting bracket shall be the manufacturer's standard painted, surface mounted type.

Fire Extinguisher Cabinet:

Fire extinguisher cabinet shall be factory fabricated, constructed of steel with a clear plastic panel in a steel door frame, and shall have a baked enamel finish. Color to be selected by the Engineer from the manufacturer's standard colors.

Fire extinguisher cabinet shall be surface mounted, semi-recessed or fully recessed as shown on the plans.

### **PART 3 - EXECUTION**

## **INSTALLATION**

Fire extinguishers shall be installed in locations and at mounting heights shown on the plans, or if not shown, at a height of 48 inches from the finished floor to the top of the fire extinguisher.

Fire extinguisher mounting brackets and cabinets shall be attached to structure, square and plumb, in accordance with the manufacturer's recommendations.

## **IDENTIFICATION**

Bracket-mounted: Extinguishers shall be identified with red letter decals spelling "FIRE EXTINGUISHER" applied to wall surface. Letter size, style and location as selected by the Engineer.

Cabinet-mounted: Extinguishers in cabinets shall be identified with letter spelling "FIRE EXTINGUISHER" applied to the cabinet door. Letter size, styles, and color shall be selected by the Engineer from manufacturer's standard arrangements.

## **SERVICING**

Fire extinguishers shall be serviced, charged, and tagged not more than 5 days prior to contract acceptance.

### **12-10.07 FREE STANDING STEEL SHELVING**

#### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing free standing steel shelving in accordance with the details shown on the plans and these special provisions.

#### **SUBMITTALS**

Manufacturer's descriptive data, installation instructions and standard color palette shall be submitted for approval. The color will be selected by the Engineer after the award of the contract.

#### **PART 2 - PRODUCTS**

Shelving: Shelving shall be factory fabricated steel shelves and supports capable of supporting loads of 25 pounds per square foot of shelf area. Shelves shall not deflect more than 5/16 inch when subjected to the loads specified herein and shall show no permanent deflection after removal of such loads. Shelves shall be supported and attached by means of clips. Studs or bolts shall not be used. Shelves shall be adjustable in vertical increments of 3 inches or less. Shelving shall be of the approximate dimensions and number shown on the plans and shall have a baked enamel finish.

#### **PART 3 - EXECUTION**

Free standing steel shelving shall be installed in accordance with the manufacturer's instructions.

### **12-10.08 ALUMINUM CANOPY**

#### **PART 1 – GENERAL**

#### **SUMMARY**

Scope: This work consists of the requirements to engineer, fabricate, and install aluminum canopy systems complete with necessary fasteners, accessories, and trim.

## SYSTEM DESCRIPTION

The canopy system consists of extruded aluminum sections (roll-formed not acceptable) that produce the architectural features indicated. System components include one-piece rigid column and beam assemblies (structural bents), spaced decking, accessory items and hardware. The system shall be capable of withstanding structural and other loads, thermally induced movement, and exposure to weather without failure.

## DESIGN REQUIREMENTS

Structural Performance: Provide aluminum canopy system capable of withstanding the effects of gravity loads and the following loads and stresses within limits and under the conditions indicated:

1. Engineer the aluminum canopy system according to procedures specified in AA ADM 105 "Aluminum Design Manual: Specifications and Guidelines for Aluminum Structures," published by the Aluminum Association.
2. Design Loads: As required by California Code of Regulations (CCR), Title 24, Part 2, California Building Code, Chapter 16, "Structural Design Requirements".
  - a. Seismic Importance Factor (I) = 1.0
  - b. Site Class =D
  - c. Spectral Response Accelerations:  
Ss=1.5  
Sds=.9
  - d. Spectral Response Coefficients:  
S1=.6  
Sd1=.96
  - e. Seismic Design Category = D
  - f. Live Load=20 psf
  - g. Basic Wind Speed = 85 mph, exposure "C"
  - h. Wind Importance Factor = 1.0
  - i. Collateral Loads: Include additional dead loads other than the weight of metal building system for permanent items such as sprinklers, mechanical systems, electrical systems, and service personnel.
  - j. Load Combinations: Design aluminum canopy system to withstand the most critical effects of load factors and load combinations.
3. Deflection Limits: Engineer assemblies to withstand design loads with deflections no greater than 1/180 of the span.
4. Thermal Movements: Provide aluminum canopy system that allow for thermal movements resulting from the following maximum change (range) in ambient and surface temperatures by preventing buckling, opening of joints, overstressing of components, failure of joint sealants, failure of connections, and other detrimental effects. Base engineering calculation on surface temperatures of materials due to both solar heat gain and nighttime-sky heat loss.
  - a. Temperature Change (Range): 120 deg F ambient; 180 deg F material surfaces.

## SUBMITTALS

Product Data: Submit manufacturer's product data, specifications, component performance data and installation instructions. Provide samples of manufacturer's standard metal finishes for selection by the Engineer.

Working Drawings: Submit detailed drawings, layout of the canopy system, bent locations, and all mechanical joint locations with complete details, connections, jointing and accessories. Include details of concrete footings and bent anchorage.

Calculations: Provide structural calculations for the proposed canopy system stamped and signed by a Civil or Structural Engineer registered in the State of California. The expiration date of the registration shall be shown.

## **QUALITY ASSURANCE**

Qualifications:

1. **Manufacturer Qualifications:** The manufacturer firm shall have not less than five (5) years experienced in products or systems similar to the size and complexity indicated for this project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
2. **Organic-Coating Applicator Qualifications:** A firm experienced in successfully applying organic coatings of type indicated to metals of types indicated and employing competent control personnel to conduct continuing, effective quality-control program to ensure compliance with the requirements.
3. **Installer Qualifications:** A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this project, whose work has resulted in construction with a record of successful in-service performance.

Welding: Qualify procedures and personnel according to AWS D1.2, "Structural Welding Code - Aluminum."

## **DELIVERY STORAGE AND HANDLING**

Deliver aluminum canopy components and other manufactures items to the site in unopened cartons, crates, or other protective containers bearing the manufacturer's labels.

Materials delivered to the site shall be immediately unloaded in a manner to prevent bending, warping, twisting, and surface damage.

Store materials in a clean dry environment and cover with suitable weathertight and ventilated covering. Stack canopy system components in a manner to prevent contact with other materials which might cause staining, denting, or other surface damage.

## **PROJECT CONDITIONS**

Field Measurements: Verify actual locations of walls, columns, beams, and other construction contiguous with aluminum canopy system by field measurements before fabrication and indicate measurements on Working Drawings.

## **COORDINATION**

Coordinate installation of anchorages for aluminum canopy system items. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to project site in time for installation.

Coordinate installation of aluminum canopy system with adjacent construction to ensure that wall assemblies, flashings, trim, and joint sealants, are protected against damage from the effects of weather, age, corrosion, and other causes.

## **WARRANTY**

Special Warranty on Finishes: Manufacturer's standard form in which manufacturer agrees to repair finish or replace aluminum components that show evidence of deterioration of factory-applied finishes within specified warranty period.

1. **Fluoropolymer Finish:** Deterioration includes, but is not limited to, the following:
  - a. Color fading more than 5 Hunter units when tested according to ASTM D 2244.
  - b. Chalking in excess of a No. 8 rating when tested according to ASTM D 4214.

c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.

2. Finish Warranty Period: 10 years from date of project completion.

## **PART 2 - PRODUCTS**

### **MATERIALS**

Aluminum Extrusions: 6063 alloy heat treated to a T-6 temper and not less than the strength and durability properties specified in ASTM B221 for 6063-T6.

Fascia: Manufacturer's standard extruded aluminum fascia sections as indicated and as required to complete the installation resulting in a neat finished appearance.

Fasteners: Self-tapping screws, bolts, nuts, self-locking rivets and bolts, end-welded studs, and other suitable fasteners designed to withstand design loads. Provide exposed fasteners with heads matching color of metal roof panels by means of plastic caps or factory-applied coating.

1. Structural Bolts: ASTM F593; alloy 304 with nuts and washers of same material.

2. Fasteners for Trim: Blind fasteners or self-drilling screws with hex washer head.

3. Blind Fasteners: High-strength aluminum or stainless-steel rivets.

### **FABRICATION**

General: Fabricate aluminum canopy components to comply with indicated profiles, dimensions and structural requirements and the approved working drawings. Fabricate sections true to details with clean, straight, sharply defined profiles and smooth surfaces of uniform color and texture, free from defects impairing strength and durability.

Shop Assembly: Preassemble units in shop to greatest extent possible and disassemble as necessary for shipping and handling limitations. Clearly mark units for reassembly and coordinated installation.

Bents: Fabricate frame bents of shop welded one piece units. When size of bents does not permit shipment as a welded unit, fabricate with concealed mechanical joints.

Aluminum Finishes: Finish designations prefixed by AA comply with the system established by the Aluminum Association for designating aluminum finishes.

1. High-Performance Organic Finish: AA-C12C42R1x (Chemical Finish: cleaned with inhibited chemicals; Chemical Finish: acid-chromate-fluoride-phosphate conversion coating; Organic Coating: as specified below). Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.

2. Fluoropolymer 2-Coat System: Manufacturer's standard 2-coat, thermocured system consisting of specially formulated inhibitive primer and fluoropolymer color topcoat containing not less than 70 percent polyvinylidene fluoride resin (PVDF) by weight; complying with AAMA 2605.

## **PART 3 - EXECUTION**

### **EXAMINATION**

Examine substrates, areas, and conditions, with erector present, for compliance with requirements for installation tolerances and other conditions affecting performance of work.

Before erection proceeds, survey elevations and locations of concrete and masonry-bearing surfaces and locations of anchor rods, base connector plates, and other embedments to receive structural framing, with erector present, for compliance with requirements and aluminum system manufacturer's tolerances.

Proceed with erection only after unsatisfactory conditions have been corrected.

## **PREPARATION**

Provide temporary shores, guys, braces, and other supports during erection to keep structural framing secure, plumb, and in alignment against temporary construction loads and loads equal in intensity to design loads. Remove temporary supports when permanent structural framing, connections, and bracing are in place, unless otherwise indicated.

## **ERECTION OF STRUCTURAL FRAMING**

General: Erect aluminum canopy system according to AA ADM 105 "Aluminum Design Manual: Specifications and Guidelines for Aluminum Structures," and manufacturer's written erection instructions and erection drawings.

1. Do not field cut, drill, or alter structural members without written approval from aluminum canopy system manufacturer's professional engineer.
2. Set structural framing accurately in locations and to elevations indicated. Maintain structural stability of structural bents during erection. Erect framing true to line, level, plumb, rigid, and secure.
3. Install, accessories and related flashing in accordance with manufacturer's instructions. Align and anchor spaced decking to structural support frames.

## **CLEANING AND PROTECTION**

Damaged Units: Replace components of the work which have been damaged or have deteriorated beyond successful minor repair.

Cleaning: Remove protective coverings at time in project construction sequence which will afford greatest protection of work. Clean finished surfaces as recommended by manufacturer. Maintain in a clean condition during construction.

## **12-10.09 TOILET AND SHOWER ACCESSORIES**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing toilet and shower accessories in accordance with the details shown on the plans and these special provisions.

### **SUBMITTALS**

Product Data: Manufacturer's descriptive data, installation instructions, and details shall be submitted for approval.

### **QUALITY ASSURANCE**

Regulatory Requirements: Accessibility products shall conform to Accessibility to Public Buildings, Public Accommodations, Commercial Buildings and Publicly Funded Housing, 24 CA Code of Regs Pt 2 §§ 1101B-1135B. Grab bars and folding shower seats shall conform to Grab bars, tub and shower seats, 24 CA Code of Regs Pt 2 § 1115B.7.

Certificates of Compliance: Certificate of Compliance shall be furnished for grab bars and folding shower seat in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. Certificate of Compliance shall include written confirmation that the grab bars and folding shower seat, backing, mounting devices, fasteners and their installation conform to the requirements in Structural strength, 24 CA Code of Regs Pt 2 § 1115B.7.2.

## **PART 2 - PRODUCTS**

Toilet Tissue Dispenser: Toilet tissue dispenser shall be dual roll, surface mounted, stainless steel with satin finish, and approximately 6" x 11-1/2" x 6" in size. Dispenser shall utilize standard toilet tissue rolls. The top roll shall automatically drop into place after the bottom roll is depleted. One dispenser per toilet stall.

Combination Paper Towel Dispenser and Waste Receptacle: Combination paper towel dispenser and waste receptacle shall be semi-recessed, stainless steel with satin finish, and approximately 17" x 56" x 7-1/2" in size with 4-inch skirt. The paper towel dispenser shall have a capacity of 1,000 single fold paper towels. The waste receptacle shall have a capacity of at least 8 gallons. Quantity shall be as shown on the plans.

Toilet Seat Cover Dispenser: Toilet seat cover dispenser shall be surface mounted, stainless steel with satin finish, approximately 15" x 11-1/2" x 2" in size. One dispenser per toilet stall and wheelchair accessible compartment.

Napkin Receptacle: Napkin receptacle shall be surface mounted, stainless steel with satin finish, hinged top and bottom, approximately one-gallon capacity container with disposable liner. One receptacle per women's toilet stall.

Clothes Hook: Clothes hook shall be stainless steel with two prongs. Quantity shall be as shown on the plans.

Liquid Soap Dispenser: Liquid soap dispenser shall be surface mounted, heavy-duty plastic dispenser for industrial use with a capacity of at least 24 ounces. Maximum operating force shall be 5 pounds. One dispenser per lavatory. Two dispensers at each wash sink.

Mirror, Wall Hung: Mirror, wall hung shall be Number 1 quality, 1/4-inch thick, electrolytically copper plated float or plate glass mirror with nonmoisture-absorbing filler. Mirror shall have a heavy gage galvanized steel back and stainless steel frame. The frame shall have a satin finish and shall be mitered and welded and the corners shall be ground smooth. Fasteners shall not penetrate surfaces of the frame exposed to view. Mirror shall be guaranteed against silver spoilage for not less than 10 years. Quantity shall be as shown on the plans.

Grab Bar: Grab bar shall be stainless steel with satin finish, and concealed, integral mounting flanges.

Folding Shower Seat: Folding shower seat shall be factory fabricated in teakwood or solid phenolic with drainage slots, Type 304 stainless steel tube frame with satin finish, wall bracket, and hinge. Teakwood slats shall be factory stained and varnished.

Shower Curtain: Shower curtain shall be flame resistant, one-way draw, nylon reinforced, anti-bacterial vinyl fabric. Curtain shall be approximately 72 inches x 72 inches.

Shower Curtain Rod: Shower curtain rod shall be stainless steel, fixed mounted shower rod with stainless steel mounting plates.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Toilet and shower accessories shall be installed in accordance with the manufacturer's recommendations. Fasteners for mounting accessories shall be concealed and vandal resistant.

Expansion anchors shall be used for mounting accessories on masonry or concrete walls.

Toilet and shower accessories shall be mounted after painting work is complete.

All toilet room accessories shall be mounted plumb, secure, and rigid.

Grab bars, folding shower seats, and their fasteners shall be installed in conformance with the requirements in Grab bars, tub and shower seats, 24 CA Code of Regs Pt 2 § 1115B.7.

## **SECTION 12-11. EQUIPMENT**

### **12-11.01 LUBRICATION AND COMPRESSED AIR SYSTEMS**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing lubrication and compressed air systems in accordance with the details shown on the plans and these special provisions.

The lubrication system shall include storage tanks, overhead hose reels and pneumatic pumps for dispensing chassis lubricant, motor oil, automatic transmission fluid, hydraulic fluid gear lubricant, waste coolant and anti-freeze; overhead electric light; and all connecting pipelines, hoses, accessories and mounting assemblies.

The compressed air system shall include a compressor, air dryer, regulators, gauges and compressed air piping.

Pipes and fittings shall be in accordance with the requirements specified under "Pipes, Fittings, and Valves," in Section 12-15, "Mechanical," of these special provisions.

Permits to Operate:

Attention is directed to the latest Division of Industrial Safety (DIS) regulations regarding tank mounted air compressors.

The Contractor shall provide all permits to operate pressure vessels in accordance with the requirements of the DIS and shall pay all costs for such permits. Such permits shall be posted under glass at the work site.

##### **SUBMITTALS**

Product Data:

Manufacturer's descriptive data shall be submitted for approval.

Manufacturer's descriptive data shall include a complete description, performance data and installation instructions for the materials and equipment specified herein. Performance data shall include the product delivery rate and discharge pressure for each type of pump assembly.

##### **CLOSEOUT SUBMITTALS**

Operation and Maintenance Manuals: Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be in a bound manual form and shall be complete and adequate for the equipment installed. Inadequate or incomplete material shall be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

##### **WARRANTY**

Warranties and Guarantees: Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

## **PART 2 - PRODUCTS**

### **OVERHEAD HOSE REEL ASSEMBLIES**

Overhead hose reel assemblies shall be heavy duty assemblies of steel construction with connecting hoses, locking automatic ratchets, guide rollers and heavy duty spring activated hose pickups. Reels shall have bushings, swivels, ball stops, delivery hoses, control valves and metal labels. The reels shall have a baked enamel finish. Manufacturer's reel mounting brackets shall be supplied with reels.

Chassis Lubrication Reel Assembly: The chassis lubricant reel assembly shall have a 40-foot minimum length, minimum ¼-inch outside diameter, high pressure delivery hose and outlet control valve. The delivery hose shall be rated for 5,000 psi working pressure and 20,000 psi bursting pressure. The chassis lubricant reel assembly shall be Lincoln, 85051; Graco, 224-055, 224-417, and 202-577; or equal.

Motor Oil Reel Assembly: The motor oil reel assembly shall have a 16-quart metering shutoff valve assembly with totalizer, non-drip nozzle extension, strainer and a 40-foot minimum length of ½-inch inside diameter, medium pressure delivery hose. The delivery hose shall be rated for 800 psi working pressure and 4,000 psi bursting pressure. The motor oil reel assembly shall be Lincoln, 83464 and 899; Graco, 224-058, 218-549, 222-411, 203-265, 157-958, and 108-478; or equal.

Hydraulic Fluid and Automatic Transmission Fluid Reel Assembly: Hydraulic fluid and automatic transmission fluid (ATF) reel assembly shall have a volume control valve, non-drip nozzle and 40-foot minimum length of ½-inch outside diameter, medium pressure delivery hose. The delivery hose shall be rated for 800 psi working pressure and 4,000 psi bursting pressure. The reel assembly shall be a Lincoln, 83464 and 3866; Graco, 224-058, 218-549, and 222-413; or equal.

Gear Lubricant Reel Assembly: Gear lubricant reel assembly shall have a 10-quart metering shut-off valve assembly with totalizer, non-drip nozzle and a 40-foot minimum length of ½-inch outside diameter, medium pressure delivery hose. The delivery hose shall be rated for 800 psi working pressure and 4,000 psi bursting pressure. The gear lubricant reel assembly shall be Lincoln, 83464 and 881; Graco, 224-058, 218-549, 222-412, 201-701, 157-958 and 108-478; or equal.

Anti-freeze Reel Assembly: The anti-freeze reel assembly shall have a shutoff valve assembly with totalizer, non-drip nozzle extension, strainer and a 40-foot minimum length of ½-inch outside diameter, medium pressure delivery hose. The delivery hose shall be rated for 800 psi working pressure and 4,000 psi bursting pressure. The anti-freeze reel assembly shall be Lincoln, 83464 and 3866899; Graco, 224-0587, 218-549, 222-648, 203-687, 157-958 and 108-478; or equal.

Air Hose Reel Assembly: The air hose reel assembly shall be heavy duty, spring return, sealed roller bearings and a 65-foot minimum length of 3/8-inch outside diameter hose with ½" inlet and 3/8" npt outlet connections. The hose shall be rated for 300 psi working pressure. The air reel assembly shall be Lincoln, Graco; or equal.

### **PUMP ASSEMBLIES**

Pump assemblies shall be lubricant and oil type pump assemblies with air driven motors and shall be suitable for operation with stationary, exposed drums. Pump assemblies shall include pressure relief kits. Air connector hose shall be rated for 250 psi minimum working pressure. Product connector hose shall be as specified for the individual reel assembly. Pump assemblies shall produce the flowrates and pressures as specified under "Testing".

Chassis Lubricant Pump Assembly: Chassis lubricant pump assembly shall be suitable for use with stationary, exposed 120-pound drums, complete with drum cover, air coupler and follower plate, and shall have a minimum pressure ratio of 45:1 and a maximum pressure ratio of 50:1. The chassis lubricant pump assembly shall be Lincoln, 918; Alemite, 8550; Graco, 225-014; or equal.

Motor Oil, ATF, Hydraulic fluid and Gear Lubricant Pump Assemblies: Motor oil, ATF, hydraulic fluid and gear lubricant pump assemblies shall be suitable for use with stationary, exposed 55-gallon drums and equipped

with a bung bushing and an air expeller in the pump tube and shall have a 3-inch air motor. The motor oil pump assembly shall be equipped with a flow compensator. Pump assemblies shall be Lincoln, 424; Alemite, 8569; Graco, 225-640; or equal.

Anti-freeze Pump Assembly: Anti-freeze pump assemblies shall be suitable for use with stationary, exposed 55-gallon drums and equipped with a bung bushing, 316 stainless steel construction, and teflon packing. Pump assemblies shall be Lincoln, 84830; Aro, 612041; Graco, 226-942 and 213013; or equal.

Recyclable Oil Transfer Pump: Recyclable oil transfer pump shall be an air operated double diaphragm pump with one-inch inlet and outlet and a minimum pressure ratio of 1:1. Pump shall have aluminum housing with Buna-N trim. Recyclable oil transfer pump shall be Lincoln, Model 84852; Graco, Model D73-525; or equal.

## **MISCELLANEOUS COMPONENTS**

Hydraulic Fluid, Motor Oil, Gear Lubricant, ATF, Waste Coolant, Anti-Freeze and Recycleable Oil Storage Tanks: Storage tanks shall be assembled by a single manufacturer and furnished as a complete, factory unit. Each tank shall be as shown on plans, single compartment tank with insulated "double wall" containment with the capacity of pressure testing the secondary containment system at 5 psig. Tank shall be U.L. approved and listed "U.L. 2085 Insulated Secondary Containment Above Ground Tank for Flammable Liquids." Primary containment shall be a minimum of 3/16 inch steel, UL 142 listed, complying with NFPA 30 for above ground tanks, and capable of on-site pressure testing for verification of primary tank integrity. The internal steel tank shall be encased as required to meet impact and ballistic testing. Secondary containment shall be separated from primary containment by an annular space. The exterior of the tank assembly shall be painted with three coats of petroleum resistant, white epoxy paint. Tank assembly shall be equipped with seismic anchorage brackets integral with the tank assembly. Tank shall be labeled on all walls with signs that denote the oil contained within. Signs shall have minimum 6-inch high, Arial font black lettering.

Water drum tank shall be made from ASTM A240 stainless steel, grade 304, 10 gage plate thickness, Type 2B finish (cold rolled, bright finish) per ASTM A480, with two 3/4" female NPT fittings and float access removable bolted cover.

Light Reel Assembly: Light reel assembly shall be overhead type light reel with a positive reel latch cord lock mechanism, release mechanism, reel cord retractor, 30-foot minimum length of 3-wire cord, 2-foot pigtail, ball stop, vapor-tight high impact phenolic plastic holder without switch or receptacle with heavy duty lamp guard and 100-watt incandescent bulb or 15-watt fluorescent tube. The incandescent light reel assembly shall be Alemite, 33005C; or equal. The fluorescent light reel assembly shall be Hi Reel, 3005-AFL; Woodhead, 945-3SW-1003-3S; or equal.

Air Compressor: Air compressor must be ASME certified, rotary screw type packaged system. The package system must include compressor, air dryer and 120 gallon tank receiver. The air compressor and dryer shall be capable of providing 175 psig design, 125 psi output, mounted on an ASME code horizontal type receiver. The air compressor system shall be complete with dryer, unloader, V-belt drive, belt guard, after cooler, oil and air pressure gauges, oil sight glass, automatic pressure controller, outlet valve, ASME relief valve, air intake filter, low oil shut-off, ball valve drain, and an automatic tank drain operated by either the compressor unloader or a governor. Motor shall be high efficiency type, open dripproof with class B insulation. Air compressor shall be Champion, Ingersol Rand, FS-Curtis or equal.

Pressure Regulator:

Pressure regulator shall be combination type with filter, bowl, pressure regulator and pressure gauge.

The filter bowl shall be the quick disconnect type, plastic with metal guard, manual drain, and 5-micron filter.

Pressure regulator shall be diaphragm controlled, balanced valve type, rated for 0 to 160 psig operation and shall be equipped with pressure gage, bottom clean-out plugs and internal strainers. Regulator shall be Wilkerson, Lincoln, Wabco, or equal.

Flexible Coupling: Flexible coupling shall be brass flexible metal hose with threaded union ends and a minimum working pressure of 200 psig.

Pressure Gage: Pressure gage shall be rotary type ANSI Standard: B40.1, Grade A, with 3½-inch dial, liquid filled with cover, plain case, reset screw and bottom inlet. Pressure gage movement shall be phosphor bronze bushed. Gage shall read from 0\_ psi to 250 psi. Each gage shall be equipped with a gage cock. Pressure gage shall be Marsh, Ashcroft, US Gage, or equal.

#### Diesel Particulate Filter Cleaning Equipment:

Diesel particulate filter (DPF) cleaning equipment shall be a 2 stage pneumatic machine system. The system consist of the following equipment: thermal DPF cleaner, dust collector, pneumatic DPF cleaner and DPF tester. All equipment shall be of the same manufacturer.

Thermal DPF Cleaner: Thermal DPF cleaner shall be all electric, pre-programmed and reprogrammable logic controller type. Cleaner must be expandable, capable to process up to 24” long and 18” in diameter diesel particle filters. Cleaner shall be top mounted, able to clean one DPF every 12 hours and be able to clean per manufacturer’s specifications the following diesel particle filters types: Cordierite, Silicon Carbide and Sintered metal filters. 208 volt, 3ph, 60 Hz.

Dust Collector: Dust collector for diesel particulate filter cleaner shall be a two stage filtration. Primary and HEPA filter. Primary filter shall have a minimum efficiency of 98.5% at 1 to 3 Microns, HEPA filter shall be rated for 99.97% efficient at 1 Micron. Vacuum shall be self cleaning type. Minimum air flow of 1300 CFM, 2 HP, 208 volt, 3ph, 60 Hz.

Pneumatic DPF Cleaner: Pneumatic DPF cleaner shall be automatic with manual override. Cleaner shall be a high pressure automated air nozzle jet type, electronic adjustment from 6” to 36”, emergency shut off, safety doors, adjustable nozzle system with and be able to clean filters from 6” to 16” outside diameter. Dust collector 115 volt, 1ph, 60 Hz.

DPF Tester: DPF tester shall have an on/off switch, magnehelic pressure gage. Tester must be able test filters from 9” outside diameter 16” outside diameter. Tester must include baseline data chart for air flow readings and cleaning targets ranges for most common DPF used on trucks and heavy equipment. Dust collector must be 208 volt, 3ph, 60 Hz.

### **PART 3 - EXECUTION**

#### **INSTALLATION**

The hose reels shall be installed rigidly and securely to the reel mounting bracket. The mounting bracket shall be attached to the overhead structure as shown on the plans.

The recyclable lube storage tank and transfer pump shall be installed where shown on the plans.

Pipelines shall be cleaned and flushed immediately prior to connecting the control valves.

Pressure relief kits shall be installed on the discharge side of the recyclable oil, gear lube, ATF and motor oil pumps as recommended by the pump manufacturer.

Air compressor shall be installed with drain piping, vibration isolation pads and expansion anchors.

Unions shall be installed before and after the pressure regulator/ball valve assembly.

Each pump assembly drum shall be supplied with a drum dolly.

#### **FIELD QUALITY CONTROL**

Testing:

All tests, including general performance tests to demonstrate the proper operation of the lubrication systems and the air compressor, shall be performed by the Contractor in the presence of the Engineer.

The air compressor system shall be tested for the operational range, the cut-off pressure and the operation of air drops and system components.

The lubrication system, including piping and hoses, shall be tested for leaks and the rates of delivery specified herein. The lubrication connections shall show no visible signs of leaks when the system is filled with the specified lubricant and tested at 150 psi lubricant pump inlet air pressure.

The Contractor shall demonstrate that the completed lubrication system will deliver the given product at the flowrate and discharge pressure specified by the pump assembly manufacturer. If no specification is given the lubricants shall be delivered at the following rates at 150 psi lubricant pump inlet air pressure:

Lubricant Material	Delivery Rate
Chassis lubricant NLGI No. 2 grease	25 ounces per minute
Motor oil (10W/40)	8 quarts per minute
Gear lubricant (85W/140)	7 quarts per minute
ATF (SAE 10)	9 quarts per minute
Anti-freeze (50 % solution)	9 quarts per minute

The required delivery rate values may be adjusted, as determined by the Engineer, when testing for delivery rates with different materials or at temperatures other than 70°F.

The lubricating material for testing the lubrication system will be State-furnished as provided under "State-Furnished Materials" in Section 8, "Materials," of these special provisions.

## **12-11.02 EXHAUST EVACUATION HOSE REEL AND FAN**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing and installing exhaust evacuation hose reel and fan equipment, including overhead fume exhaust fan, hose reel, hose and remote operating station. All work shall be done in accordance with the details shown on the plans and these special provisions.

Supports, Mechanical and Electrical work and all other work incidental to, and necessary for, the proper installation and operation of the items of equipment shall conform to the requirements specified for similar work elsewhere in these special provisions.

### **SUBMITTALS**

Product Data: Manufacturer's description data, installation recommendations, working drawings, schematic diagram, interconnection diagram, including reel installation mounting brackets, shall be submitted for approval. Fan, hose reel and push button station shall be from same manufacturer. System shall be Nederman, CarMon, PlymoVent or equal.

### **PART 2 - PRODUCTS**

Fume Exhaust Fan: Fume Exhaust fan shall be centrifugal AMCA certified exhaust fan suitable for diesel or gasoline powered vehicle exhaust applications. Fan parts exposed to air stream shall be coated to prevent acid corrosion. The exhaust evacuation fan shall be mounted with vibration isolators on the roof. The fan size and performance shall be as shown on plans.

Fume Hose Reel: Fume hose reel shall be provided with 30 feet minimum of 6-inch diameter flexible hose. The hose reel shall be motor operated and shall be capable of unwinding and recoiling the hose from a push

button station. All electrical equipment necessary for operation shall be mounted on the hose reel assembly except for the push button station. The hose reel motor shall be interlocked with an adjustable limit switch that stops the reel when the tubing has been fully extended or fully retracted.

Fume Hose: Fume hose shall be fabricated of a high strength woven glass fiber cloth supported by a helically wound spring steel wire. The hose shall be capable of withstanding temperatures of 300°F and shall be supplied with a rubber nozzle of the same size as hose provided.

Pushbutton Station: Pushbutton operating station shall consist of a 3 button (up, down, on/off) controller wired directly to the hose reel assembly. The up and down buttons shall be momentary push button type.

Control Panel:

Control panel shall be a complete system routinely advertised, furnished and guaranteed by the exhaust evacuation hose reel and fan manufacturer.

Control panel shall include circuit breakers, starters, fan motor contactor, power supply, limit switch and controls that are required for proper operation.

### **PART 3 - EXECUTION**

#### **INSTALLATION**

The exhaust evacuation hose reel and fan shall be installed in accordance with the manufacturer's recommendations. The exhaust evacuation units and pushbutton station shall be located as shown on the plans.

#### **FIELD QUALITY CONTROL**

Testing: The test shall consist of a general performance test to demonstrate the proper operation of the exhaust evacuation hose reel and fan system. The test shall be performed by the Contractor in the presence of the Engineer.

### **12-11.03 WORKBENCH**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing a workbench in accordance with the details shown on the plans and these special provisions.

##### **SUBMITTALS**

Product data: Manufacturer's descriptive data and standard color palette shall be submitted for approval.

#### **PART 2 - PRODUCTS**

Workbench: Workbench shall be standard, factory fabricated and factory painted heavy duty workbench unit with plywood reinforced steel top, drawers, curb and shelves. Plywood top reinforcement shall consist of two layers of securely fastened ¾-inch thick exterior type plywood. The drawers, shelves and curb shall be as shown on the plans. Paint shall be an industrial grade enamel.

Leg anchors: Leg anchors shall be ICC approved, integral stud type expansion anchors or internally threaded type anchors with independent stud.

### **PART 3 - EXECUTION**

Installation:

The workbench shall be installed with the top level and the legs rigidly and securely fastened to the floor.

Anchors for the legs shall be installed in accordance with the manufacturer's instructions.

## **SECTION 12-12. FURNISHINGS**

### **12-12.01 HORIZONTAL BLINDS**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing horizontal blinds in accordance with the details shown on the plans and these special provisions.

Horizontal blinds shall be standard, factory manufactured assemblies suitable for use on exterior wall windows.

##### **SUBMITTALS**

Product Data: Manufacturer's descriptive data, color chips, and installation instructions shall be submitted for approval.

#### **PART 2 - PRODUCTS**

Horizontal Blinds:

Horizontal blinds shall be nominal one inch wide, spring tempered virgin aluminum alloy horizontal slats supported by braided polyester ladders. Braided ladders shall hold slats at equal spaces, parallel, straight, and shall provide tilt control and adequate overlap of slats. The distance between ladders shall not exceed 23 inches. Slat tilt shall be adjustable by a transparent wand. Blinds shall be adjustable to any height using lift cords.

Hardware shall be enclosed in a metal head and the opening hardware shall be clinched to the head. All metal parts shall have a corrosion resistant coating.

#### **PART 3 - EXECUTION**

##### **INSTALLATION**

Horizontal blinds shall be installed in accordance with the manufacturer's instructions.

## **SECTION 12-13. SPECIAL CONSTRUCTION**

Not Used

## **SECTION 12-14. CONVEYING SYSTEMS**

### **12-14.01 MOBILE VEHICLE LIFT**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing an above ground, 4-post, wireless mobile vehicle lift and accessories in accordance with these special provisions.

## **SUBMITTALS**

### Product Data:

Manufacturer's descriptive data for all equipment, including installation instructions, shall be submitted for approval.

Submittals shall include, but not necessarily be limited to the following:

- Assembly Drawings
- Dimensional Drawings
- Control Schematic Diagrams
- Wiring Diagrams
- Test Report Certifying Compliance with ANSI Standard B153.1.

## **CLOSEOUT SUBMITTALS**

### Operations and Maintenance Manuals:

Prior to completion of the contract, 3 identified copies of the operation and maintenance instructions for the vehicle lift shall be delivered to the Engineer at the jobsite. Manuals shall be bound and shall include the following:

- Manufacturer's name
- Name, address, and telephone number of factory authorized repair facility
- Model and serial number
- Service manual shall show:

- Assembly drawings, parts list, and simplified system diagrams
- Descriptions of all equipment and their basic operating features
- Routine maintenance and service requirements
- Troubleshooting and repair procedures
- Accessories and their features and requirements

Inadequate or incomplete manuals will be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

## **QUALITY ASSURANCE**

Manufacturer's Qualifications: The vehicle lift shall be furnished by a manufacturer or authorized representative who has not less than 5 years experience in the manufacture and installation of this type of equipment and who maintains an authorized service representative within the State of California.

### Codes and Standards:

All work, including equipment, materials and installation, shall conform to the CBC; the California Code of Regulations, Title 8, Chapter 4, Division of Industrial Safety (DIS); and the American National Standards Institute, Inc. (ANSI) Standard B153.1.

The lift including all components necessary for operation shall be tested as a unit for conformance to ANSI Standard B153.1. Where strength factors are specified, actual load tests shall be performed and the results documented. Where component assembly is specified to a particular code or standard, a statement of compliance with that code or standard shall be included. All tests shall be performed by an independent testing laboratory recognized by the Occupational Safety and Health Administration (OSHA) under the

Nationally Recognized Testing Laboratories (NRTL) Recognition Program, Office of Variance Determination.

## **WARRANTY**

Warranties and Guarantees: Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

## **PART 2 - PRODUCTS**

### **EQUIPMENT**

Lift:

Lift shall be comprised of four portable wireless operated components and with at least one of the components having controls to operate all four components. All components must have wireless synchronized communications, hydraulic power unit, hydraulic cylinder, on-board charger, 12 volt battery, liquid crystal display, manual over-ride, red emergency stop button, green hold to run button, LED status indicator light, master On/Off switch and NEMA 4X control box.

The hydraulic power unit shall be UL listed, self contained powered by a 12-volt DC power source, and equipped with 3-gallon reservoir with suction strainer.

The lift shall include a fail-safe mechanical locking system at each component to secure the lift at all required height positions.

Battery: Battery must be a 12-volt, deep cycle, group 31, sealed (spill proof), AGM (Absorbed Glass Mat) battery.

On-board charger: On board charger must be a 120V On-Board Smart Charger. The charger shall have a splash-proof design. The charge shall have a LED light indicator when fully charged. Charge must be able to use any 120V power supply.

Lift shall be heavy duty type with a minimum rated capacity of 60,000 pounds and a minimum lifting height of 63 inches measured from the finish floor to the bottom of the lifting fork.

Lifting speed shall be a minimum of 20 inches per minute.

Electrical controls for all lift components shall be designed for complete synchronized automatic operation, such that all lifting forks shall have parallel and simultaneous movement when going up or down. All movement shall be stopped if the controls are unable to maintain synchronous motion. Electrical control shall be suitable for operation on the supply voltage.

### **ACCESSORIES**

Wheel Adapters: Wheel adapters shall be included to allow lifting of large trucks with tire sizes up to 24 R 22.5 and passenger automobiles with tire sizes down to P175 80 R 13.

High Lift Tripod: Each high lift tripod shall have a lift capacity of not less than 15,000 pounds. Coarse adjustment of height shall be mechanically assisted using either a spring, pneumatic, or hydraulic system which will permit adjustment of the coarse height by one person. Fine adjustment of height shall be accomplished with a screw drive similar to the one used for the lift. Height shall be adjustable from 55 inches to 78 inches. A total of 4 high lift tripods shall be supplied.

Information Plate: Information plate with the following inscriptions shall be attached to the lift:

Manufacturer's name and address  
Model number

Serial number  
Lift capacity  
Date of installation  
Statement of compliance with ANSI B153.1

### **PART 3 - EXECUTION**

#### **INSTALLATION**

All equipment shall be installed in accordance with the vehicle lift manufacturer's recommendations and the applicable codes.

#### **FIELD QUALITY CONTROL**

#### **TESTS**

Acceptance Tests:

Testing of the vehicle lift shall be conducted by the Contractor in the presence of the Engineer, using a State-furnished vehicle under various loads up to the maximum specified. If the lift malfunctions or a failure develops, the parts causing the failure shall be replaced or repaired and the test repeated until the vehicle lift performs satisfactorily. The electric motors shall not exceed the full load current as listed on the nameplate of the motor.

The Contractor shall notify the Engineer in writing not less than 5 days prior to the time that the testing is scheduled.

Manufacturer's Field Service: The Contractor shall arrange for a manufacturer's authorized representative at the site of the work to check start-up, and train State personnel.

#### **DEMONSTRATION**

Training: The Contractor shall arrange instruction and training for up to 6 State personnel on the operation and maintenance of the equipment. Training shall be scheduled with the Engineer to occur within 2 weeks of furnishing the wireless mobile lift. Training shall include 8 hours of instruction on equipment operation and maintenance.

### **12-14.02 FREESTANDING BRIDGE CRANE AND HOIST**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work consists of designing, constructing, furnishing and installing a 3-ton freestanding electric bridge crane with an electric powered hoist on a motorized trolley, in accordance with the details shown on the plans, the requirements specified in these special provisions, and the recommendations and instructions of the hoist manufacturer.

The work shall also include the design, construction, furnishing and installation of a self supported runway system consisting of free-standing columns, crane runway rails, and the bridge girder for the trolley and hoist.

##### **SYSTEM DESCRIPTION**

Design Requirements:

The self supported runway system consisting of free-standing columns, crane runway rails, and the bridge girder shall be designed to support the crane and hoist loads, including the required safety factors, as

recommended by the crane and hoist manufacturer for the crane capacity specified herein and as recommended by the Materials Handling Institute Standard No. 74, "Top Running and Underhung Single Girder Electric Overhead Traveling Cranes," and as required by Title 8 of the California Code of Regulations, and the CBC.

The crane runway rails shall be located as shown on the plans. The approximate spacing of the structural steel support frames shall be as shown on the plans. The minimum vertical clearance shall be as shown on the plans.

The bridge girder shall be designed for the span between the crane runways. The approximate length of span between the crane runways shall be as shown on the plans. Final span length shall be determined by the manufacturer. The length of travel of the trolley at each end shall be determined by the Contractor and shall be the maximum that can be attained while maintaining adequate operating clearances between the crane assembly and the building in which it is installed.

## **SUBMITTALS**

Product Data: Manufacturer's descriptive data, performance data, parts list and installation instructions for the bridge crane and hoisting equipment shall be submitted for approval.

Working Drawings:

Working drawings and design calculations for the self supported runway system consisting of free-standing columns, footings, crane runway rails and bridge girder shall be submitted for approval.

Working drawings shall include control panel schematic and wiring diagram, and a listing of electrical equipment and devices to be furnished.

Working drawings and design calculations shall be stamped and signed by an engineer who is registered as a Civil or Structural Engineer in the State of California.

## **CONTRACT CLOSEOUT SUBMITTALS**

Operation and Maintenance Manuals: Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be indexed and bound in a manual form and shall be complete and adequate for the equipment installed. Inadequate or incomplete material shall be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

## **QUALITY ASSURANCE**

Certificate of Compliance: Certificates of compliance shall be furnished for the bi-directional moment frames, crane runway rails, and bridge girder in accordance with the requirements specified in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Codes and Standards: All welding shall be in accordance with the requirements in American Welding Society (AWS) D14.1, "Specifications for Welding Industrial and Mill Crane and Other Material Handling Equipment."

## **PART 2 - PRODUCTS**

### **MANUFACTURERS**

Acceptable Manufacturers: Subject to project conditions, freestanding bridge crane and hoist shall be CraneVeyor Corp.; Detroit Hoist; Lift-Tech International, Inc.; or equal.

### **BRIDGE CRANE**

The electric bridge crane with a 3-ton capacity shall include an electric powered hoist on a motorized trolley, power bar conductors, and pendant-type electrical controls.

The bridge crane shall be a 3-ton capacity, motor driven, underhung, single girder type crane. Bridge crane shall include bridge girder, end trucks, wheels, crane drive shaft, motor drive head, and drive motor. Bridge crane speed shall be 50 feet per minute.

The hoist and trolley shall be a 3-ton, standard headroom, wire rope hoist mounted on a single-speed, electric motor driven trolley.

Crane control system shall consist of a bridge girder mounted control panel, festooned bridge conductor system, conductor bar system, travel limit switches, fully solid-state softstart for the bridge crane and trolley motors, and 7 button pendant station complete with strain relief hardware for full operation of hoist, trolley and bridge. Controls shall be actuated by the pendant station.

## **COMPONENTS**

### **Bridge Girder and Trucks:**

Bridge girder shall be fabricated from structural steel conforming to ASTM Designation: A 36, and shall have a maximum deflection of 1/600 of the span length under maximum loading conditions. The bridge girder shall be provided with travel stops.

The end trucks shall be designed to run on the lower flange of the runway rails and shall be constructed of welded structural steel shapes conforming to ASTM Designation: A 36. The end trucks shall be motorized and shall have not less than 4 forged steel wheels with sealed, tapered roller bearings.

The drive motor shall be a single gear driven motor with a common drive shaft or a dual drive motor system. The drive motor shall be rated for Class C Service, crane travel duty, and shall be reversible with motor brake. Horsepower, voltage and phase shall be as shown on the plans.

The crane drive shaft for single motor operation shall be supported on lubricated pillow blocks with precision ball bearings.

### **Hoist and Trolley:**

The trolley shall be motorized and shall have not less than 4 hardened forged steel wheels with sealed, tapered roller bearings. The trolley frame shall be of rigid construction. The trolley operating speed shall be nominal 40 feet per minute. The trolley motor shall be continuous duty, reversible, with motor brake. Power requirements, voltage and phase shall be as shown on the plans.

The hoist shall be oil bath gear driven flanged drum with machined grooves, mechanical type disc brake, heavy duty ball bearings, have a high limit switch for the hook travel, and shall be equipped with a load limiting clutch to prevent damage from overloads. Hook shall be forged steel, with 360-degree swivel and spring latch. The hoist motor shall be continuous duty, reversible, with motor brake. Power requirements, voltage and phase shall be as shown on the plans.

Runway rails and columns: Runway rails and columns shall be fabricated from structural steel conforming to a minimum ASTM Designation: A 36. The rails shall have a maximum deflection of 1/600 of the span length under maximum loading conditions. The runway rails shall be provided with travel stops.

### **Control Panel:**

Control panel shall be UL or FM listed for crane operation and shall include main disconnect, mainline contactor, hoist motor disconnect, bridge motor disconnect, trolley motor disconnect, hoist motor reversing starter, bridge motor reversing starter, trolley motor reversing starter, thermal overload relays, control transformer disconnect, control transformer, control relays, power terminal block and control terminal block. All contactors and starters shall be NEMA rated. Components shall be mounted on the interior mounting panel.

Control panel shall be a NEMA 12 enclosure, with interior mounting panel and hinged exterior dead front door. Control panel shall be factory prewired in conformance with Class-II Type 1C wiring. All wires entering or leaving the control panel shall terminate on terminal blocks. Control wires shall be 7 strand No. 14 Type MTW wires. Wiring shall be arranged such that any component may be removed without removing any wiring except that component's leads.

Control panel shall be a complete system, routinely advertised, furnished by the bridge crane and hoist manufacturer.

Power and Control Cable: Power and control cable shall be as recommended by the bridge crane and hoist manufacturer.

Festooned Bridge Conductor System: Festooned bridge conductor system shall consist of multi-conductor cable, cable grip, messenger chain, tag-line wire, 2-inch (inside diameter) conductor cable rings, take up brackets, 3/8-inch eye-bolts and other necessary hardware. Conductor sizes shall be as recommended by the hoist and trolley manufacturer.

Conductor Bar System: Conductor bar system shall consist of enclosed power conductors, collectors and related covers, hangers, couplings and appurtenances. Conductor bar system shall be rated for 600 volts, 90 amperes continuous duty and 135 amperes intermittent duty. Conductor bars shall be one piece, copper conductors with thermoplastic insulating covers. End covers shall be provided. Collectors shall be spring loaded, replaceable shoe type rated for 500 feet per minute (minimum) travel.

## **FABRICATION**

Shop Finishing: Freestanding bridge crane and hoist shall be cleaned and receive 2 coats of the manufacturer's standard paint.

Identification: An information plate, with the following information, shall be attached to the bridge crane hoist and trolley.

Manufacturer's name and address  
Model number  
Serial number  
Crane capacity  
Hoist capacity  
Date of installation

## **PART 3 - EXECUTION**

### **INSTALLATION**

The bridge crane and hoist shall be installed in accordance with the manufacturer's instructions and the approved working drawings.

Crane runway sections shall be installed with couplings at joints to provide flush and level connections with a maximum gap between adjacent ends at the load-carrying flange not exceeding 1/16 inch except at free ends.

The bottom flanges of all crane runways shall be parallel and level with one another within a tolerance of plus or minus ¼ inch throughout their entire length.

Hanger system shall provide for vertical adjustment of the runway beams so that the runways can be erected and maintained within level tolerance.

The crane control panel shall be mounted on the crane bridge and shall be actuated from a pendant station, suspended 5 feet above the floor. Main power and trolley power shall be by festooned bridge conductor system

and shall be installed along the bridge crane from the control panel to the conductor bar system and trolley motor.

Each soft start unit for the bridge and trolley motors shall be enclosed in a NEMA 12 enclosure and may be installed near the bridge or trolley motor.

Power and control cables shall be fastened to the structural members with one-hole steel straps at a spacing of not to exceed 3 feet on center.

Field Painting: After installation, damaged and abraded areas of the shop paint shall be repainted using the same materials as applied in the shop.

## **FIELD QUALITY CONTROL**

Tests:

The bridge crane and hoist shall be tested in the presence of the Engineer. Any equipment failure or malfunction shall be corrected by the Contractor at his expense.

Tests shall be as described herein:

**NO LOAD TEST:** The trolley and hoist shall be operated to throughout the full length of the crane runways and the limits of hook travel. Travel limit switches shall be engaged.

**LOAD TEST:** The minimum test load shall be 125 percent of the rated load capacity. The trolley shall be operated for the full length of the crane runways under the test load. The test load shall be raised to the hook height limit and lowered until the cable is slack. After a 5-minute waiting period, the test load shall be raised one foot and held in that position, without slipping, for a minimum time period of ten minutes.

**LOSS OF POWER TEST:** The main power switch shall be opened while the test load is being lowered. The test load shall stop completely and immediately when the power switch is opened.

Manufacturer's Field Service: The Contractor shall arrange for the bridge crane manufacturer's representative to be present during testing.

## **SECTION 12-15. MECHANICAL**

### **12-15.01 MECHANICAL WORK**

#### **PART 1 - GENERAL**

Scope: This work shall consist of performing mechanical work in accordance with the details shown on the plans and these special provisions.

Mechanical work shall include furnishing all labor, materials, equipment and services required for providing heating, ventilating, air conditioning, plumbing and natural gas distribution systems.

Earthwork, foundations, sheet metal, painting, electrical, and such other work incidental and necessary to the proper installation and operation of the mechanical work shall be in accordance with the requirements specified for similar type work elsewhere in these special provisions.

System layouts are generally diagrammatic and location of equipment is approximate. Exact routing of pipes, ducts, etc., and location of equipment is to be governed by structural conditions and obstructions. Equipment requiring maintenance and inspection is to be readily accessible.

Roof penetrations shall be flashed and sealed watertight in accordance with the requirements specified under "Sheet Metal Flashing" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

## **SUBMITTALS**

### Product Data:

A list of materials and equipment to be installed, manufacturer's descriptive data, and such other data as may be requested by the Engineer shall be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions for plumbing fixtures, and component layout shall be included where applicable.

Manufacturer's descriptive data shall be submitted for the following:

- Clean out
- Combination heat lamp, light and fan
- Dampers
- Declassification fan
- Diffusers
- Duct
- Electric water cooler
- Emergency eye wash and shower
- Evaporative cooler
- Exhaust fan
- Expansion tank
- Fire sprinkler system
- Fittings
- Floor drain
- Ice machine
- Instant electric water heater
- Kitchen sink
- Lavatory
- Mop sink
- Pipe
- Registers
- Radiant heater
- Regulator
- Roof curbs
- Service sink
- Shower
- Split AC system
- Supports
- Thermostat
- Urinal
- Valves
- Valve box
- Vestibule Fan
- Water closet
- Water heater
- Water Softener

## **CLOSEOUT SUBMITTALS**

### Operation and Maintenance Manuals:

Prior to the completion of the contract, 3 identified copies of the operation and maintenance instructions with parts lists for the equipment specified herein shall be delivered to the Engineer at the jobsite. The instructions and parts lists shall be indexed and bound in a manual form and shall be complete and adequate

for the equipment installed. Inadequate or incomplete material shall be returned. The Contractor shall resubmit adequate and complete manuals at no expense to the State.

Operation and maintenance manuals shall be submitted for the following equipment:

- Combination heat lamp, light and fan
- Declassification fan
- Electric water cooler
- Evaporative cooler
- Exhaust fan
- Ice machine
- Radiant heater
- Split AC system
- Thermostat
- Water softener

## **QUALITY ASSURANCE**

Codes and Standards: Mechanical work, including equipment, materials and installation, shall conform to the CBC: CMC; CPC; CEC; the California Building Energy Efficiency Standards; and California Code of Regulations, Title 8, Chapter 4, Division of Industrial Safety (DIS).

## **WARRANTY**

Warranties and Guarantees: Manufacturer's warranties and guarantees for materials or equipment used in the work shall be delivered to the Engineer at the jobsite prior to acceptance of the contract.

## **SYSTEM IDENTIFICATION**

Piping, Ducts, Valves and Equipment:

Identification of piping, ducts, valves and equipment shall be as shown on the plans or these special provisions:

Above Ground Piping and Ducts: Markers shall be provided on lines which are either exposed or concealed in accessible spaces. For piping systems, except drain and vent lines, indicate the fluid conveyed or its abbreviation; either by preprinted markers or stenciled markings, and include arrows to show the direction of flow. Colors shall comply with ANSI Standard: A13.1. Locate markers at ends of lines, near major branches and other interruptions including equipment in the line, where lines pass through penetrations in floors, walls or ceilings or otherwise pass into inaccessible spaces, and at 50-foot maximum intervals along exposed portions of the lines. Marking of short branches and repetitive branches for equipment connections is not required.

Equipment: All equipment shall be identified with a plastic laminated, engraved nameplate, which bears the unit mark number as indicated on the drawings (for example, AC-4). Provide ½-inch high lettering, white on black background. Nameplates shall be permanently secured to the unit.

## **PART 2 – PRODUCTS (Not applicable)**

## **PART 3 – EXECUTION (Not applicable)**

### **12-15.02 PIPE, FITTINGS AND VALVES**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of furnishing and installing pipes, fittings and valves in accordance with the details shown on the plans and these special provisions. Pipe, fittings and valves shall include such plumbing and piping accessories and appurtenances, not mentioned, that are required for the proper installation and operation of the plumbing and piping systems.

Piping insulation and wrapping material shall be in accordance with the requirements specified under "Mechanical Insulation," in this Section 12-15, "Mechanical," of these special provisions.

The pipe sizes shown on the plans are nominal inside diameter. No change in the pipe size shown on the plans shall be permitted without written permission from the Engineer.

The pipe and fitting classes and material descriptions shall be as specified herein. No change in class or description shall be permitted without written permission from the Engineer.

### **SUBMITTALS**

Test Reports: Certified test reports signed by Contractor and supervisor who performed testing work.

### **QUALITY ASSURANCE**

Codes and Standards: Pipe, fittings and valves shall be installed in accordance with the requirements in the CPC, the manufacturer's recommendations and the requirements specified herein.

## **PART 2 - PRODUCTS**

### **MATERIALS**

Adhesives, sealants, and sealant primers must comply with local requirements for VOC content.

### **PIPE AND FITTINGS (Class and Description)**

A1: Schedule 40 galvanized steel pipe conforming to ASTM Designation: A 53, with 150 psi galvanized malleable iron banded screwed fittings and galvanized steel couplings. The weight of the zinc coating shall be not less than 90 percent of that specified in ASTM Designation: A 53.

A2: Schedule 40 galvanized steel pipe conforming to ASTM Designation: A 53, with black cast iron recessed drainage fittings. For rainwater leaders, neoprene-gasket compression couplings, Smith Blair, Dresser, or equal, may be used. The weight of the zinc coating shall be not less than 90 percent of that specified in ASTM Designation: A 53.

A3: Schedule 5 steel pipe conforming to ASTM Designation: A 135 with pressfit fittings and couplings for service as designated.

A4: Pipe and fittings shall be UL or FM listed, ferrous (Schedule 20 minimum) or copper (Type L minimum), suitable for the working pressure involved but not less than 175 psi. Pipe and fittings shall be in accordance with NFPA 13, "Standard for the Installation of Sprinkler Systems" (2013 Edition with CA Amendments) requirements.

B1: Schedule 40 black steel pipe conforming to ASTM Designation: A 53, with screwed fittings suitable for working pressure involved, but not less than 175 psi. Fittings shall be listed for fire protection.

B2:

Schedule 40 black steel pipe conforming to ASTM Designation: A 53, with 150 psi black malleable iron banded screwed fittings and black steel couplings.

Steel pipe coating, where required, shall be factory applied plastic. Pipe coating shall be X-Tru-Coat (20-mil thickness); 3M Company, Scotchkote 6533 fusion bonded epoxy powder coating (12-mil thickness); or equal.

C1: Hub and plain end cast iron soil pipe with neoprene gaskets conforming to Cast Iron Soil Pipe Institute's Standard 301. Pipe, fittings and gaskets shall be of one manufacturer.

C2: Hubless cast iron soil pipe with neoprene gaskets, corrugated stainless steel shields and stainless steel clamps conforming to Cast Iron Soil Pipe Institute's Standard 301. Joint materials shall be furnished by pipe manufacturer.

D1: Ductile iron push on joint pipe conforming to AWWA Designation: C151. Fittings shall be push on ductile iron conforming to AWWA Designation: C153. Joints shall be rubber gasketed and designed for a working pressure of 350 psi. Pipe and fittings shall be supplied with bituminous outer coating and cement lining. Pipe shall be listed for fire protection.

H1: Type DWV hard copper tubing conforming to ASTM Designation: B 306, with DWV drainage fittings, stop type couplings and threaded adapters.

H2: Type K hard copper tubing conforming to ASTM Designation: B 88, with wrought copper or cast bronze solder joint pressure fittings, stop type couplings and threaded adapters. Solder shall be lead-free.

H3: Type L hard copper tubing conforming to ASTM Designation: B 88, with wrought copper or cast bronze solder joint pressure fittings, stop type couplings and threaded adapters. Solder shall be lead-free.

LP1: 0.083-inch thick seamless steel tubing with high pressure flareless steel tube fittings. Bends, if required, shall be made with tube bender on 4½-inch minimum radius.

LP2: 0.035-inch thick seamless steel tubing with high pressure flareless steel tube fittings. Bends, if required, shall be made with tube bender on 4½-inch minimum radius.

P1: Polyvinyl chloride (PVC) gravity sewer plastic pipe and fittings conforming to ASTM Designation: D 3034, Standard Dimension Ratio (SDR) 35, with integral bell and bell and spigot rubber gasketed joints or conforming to ASTM Designation: D2665 with solvent welded fittings. Rubber gaskets shall conform to ASTM Designation: F 477. Stainless steel clamps with rubber boots shall not be used.

P2: Polyvinyl chloride (PVC) plastic pipe and fittings conforming to ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 200 psi working pressure at 73°F, NSF approved. Pipe shall have bell ends conforming to ASTM Designation: D 3139 with triple edge rubber sealing ring. For pipe sizes 2-inch diameter and smaller, plain end pipe with solvent welded fittings ASTM Designation: D 2241, Type I, Grade 1, Standard Dimension Ratio (SDR) 21, rated for 200 psi may be used.

P3: Polyvinyl chloride (PVC) standard weight pipe and fittings, Schedule 40, conforming to ASTM Designation: D 1785. Pipe shall meet or exceed requirements of NSF Standard No. 14. Pipe shall have bell ends conforming to ASTM Designation: D 2672. For pipe sizes 3 inches and smaller, plain end pipe with solvent welded fittings conforming to ASTM Designation: D 2241, may be used.

P4: Polyvinyl chloride (PVC) plastic pipe and fittings shall conform to AWWA Designation: C900, Class 150, Standard Dimension Ratio (SDR) 18. Pipe shall have bell end with a solid cross section elastomeric ring conforming to ASTM Designation: D 1869. Pipe shall be listed for fire protection.

P5: Polyethylene plastic gas pipe and fittings conforming to ASTM Designation: D 1248 and D 2513 with Standard Dimension Ratio (SDR) 11, rated for 60 psi working pressure at 73°F, socket type fittings, joined by heat fusion.

P6: Polyvinyl chloride (PVC) natural gas pipe, Class 315, conforming to ASTM Designation: D 2513. Fittings shall be Schedule 40 conforming to ASTM Designation: D 2513, and shall be primed and glued. Primer shall conform to ASTM Designation: F656. Solvent cement shall conform to ASTM Designation: D2564. Approved adapters shall be used for transition to other pipe materials.

Unions (for Steel Pipe): Unions (for steel pipe) shall be 250 psi, threaded malleable iron, ground joint, brass to iron seat, galvanized or black to match piping.

Unions (for Copper or Brass Pipe): Unions (for copper or brass pipe) shall be 150 psi cast bronze, ground joint, bronze to bronze seat with silver brazing threadless ends or 125 psi cast brass, ground joint, brass to brass seat with threaded ends.

Unions (for Brass Waste and Flush Pipes): Unions (for brass waste and flush pipes) shall be slip or flange joint unions with soft rubber or leather gaskets. Unions shall be placed on the fixture side of the traps.

Dielectric Waterway: Dielectric waterway shall be a premanufactured unit that incorporates an insulated interior lining at least 3 inches in length between the 2 pipes being connected while maintaining metal to metal contact on the exterior surface. Dielectric water way shall be listed by IAPMO (International Association of Plumbing and Mechanical Officials).

Insulating Union: Insulating union or flange as applicable shall be suitable for the service on which used. Connections shall be constructed such that the 2 pipes being connected are completely insulated from each other with no metal to metal contact. Insulating couplings shall not be used. Insulating union shall be F. H. Maloney; Central Plastics; EPCO; or equal.

Insulating Connection (to Hot Water Tanks): Insulating connection (to hot water tanks) shall be 6-inch minimum, flexible copper tubing with dielectric union at each end and designed to withstand a pressure of 150 psi and a temperature of 200°F.

## **VALVES**

Gate Valve (2½-inch and smaller):

Gate valve (2½-inch and smaller) shall be bronze body and trim, removable bonnet and non rising stem, threaded ends, Class 125 and same size as pipe in which installed. Gate valve shall be Crane, 438; Nibco, T-113; Jenkins, 310J; or equal.

Gate valve in nonferrous water piping systems may be solder joint type with bronze body and trim. Valve shall be Crane, 1330; Nibco, S-111; Jenkins, 452J; or equal.

Gate Valve (3-inch and larger, above ground): Gate valve (3-inch and larger, above ground) shall be iron body with bronze trim, removable bonnet and non-rising stem, flanged ends, Class 125 and same size as pipe in which installed. Gate valve shall be Crane, 461; Nibco, F-619; Jenkins, 452J; or equal.

Gate Valve (3-inch and larger, below ground): Gate valve (3-inch and larger, below ground) shall be AWWA double disc, hub or rubber ring type, removable bonnet and non-rising stem, equipped with operating nuts, 200 psi working pressure, and Tee handle wrench for each valve. Valve shall be Mueller, A-2380; American Valve, Model 28; or equal.

Ball Valve: Ball valve shall be two piece, minimum 400 psi WOG, bronze body and chrome plated or brass ball with full size port, threaded ends. Valve shall be Nibco, T-580; Watts, B-6000; Kitz, 58; or equal.

Gas Valve: Gas valve shall be natural gas service type, bronze body, quarter turn, flathead and rated for 125 psi. Gas valve shall be Crane, American or equal.

Check Valve (1½-inch and smaller): Check valve (1½-inch and smaller) shall be silent spring loaded type, threaded bronze body, nylon or teflon disc, beryllium or stainless steel helical spring and shaft, Class 125 and same size as pipe in which installed. Check valve shall be Nibco/Scott, T-480; CPV, 36; Kitz, 26; or equal.

Check Valve (2-inch and larger): Check valve (2-inch and larger) shall be silent wafer type, full faced for installation between 125 psi flanges, iron body with bronze trim, nylon or teflon disc, stainless steel helical spring and shaft, Class 125 and same size as pipe in which installed. Check valve shall be APCO, Series 300; CPV, 10D; Metraflex, Series 900; or equal.

## **FAUCETS AND HYDRANTS**

Hose Faucet: Hose faucet shall be compression type, angle pattern, wall flange at exterior locations, box and stop at interior locations, tee handle, 3/4-inch female thread with hose end, chrome finish for locations inside building, rough brass finish for others. Hose faucet shall be supplied with an integral or nonremovable threaded outlet vacuum breaker which meets the requirements of the American Society of Sanitary Engineering (ASSE) Standard: 1011. Hose faucet shall be Nibco; Chicago; or equal.

Floor Hydrant: Floor hydrant shall be a box ground type hydrant, 3/4-inch, non-freeze type, nickel bronze box and hinged, locking cover, bronze casing and hydrant, integral vacuum breaker and minimum 1/4-inch I.P.S. drain port. Operating key shall be provided. Floor hydrant shall be J. R. Smith, Model 5830; Wade, Model 8801; or equal.

## **CLEANOUTS**

Cleanout Through Wall: Cleanout through wall shall be cast iron cleanout tee type with polished stainless access plates. Plug shall be countersunk brass or bronze with tapered threads. Cleanout shall be Wade, No. W-8460; Smith, No. 4532; Zurn, No. 1445; or equal.

Cleanout Through Floor:

Cleanout through floor shall have nonslip scoriated nickel bronze access plate and adjustable frame with square pattern top for ceramic tile and round pattern top for other finishes. Where floors are constructed with a membrane, access frame shall be provided with membrane clamping flange. Plug shall be countersunk brass or bronze with tapered threads. Cleanout shall be Wade, 6000 Series; Smith, 4021 Series; Zurn, No. 1400; or equal.

Cleanout through floors in exterior locations shall be heavy duty, floating pipe type with cast iron cover. Cleanouts shall be Wade, No. 6000 TY; Smith, No. 4231; Zurn, No. 1474; or equal.

Cleanout to Grade: Cleanout to grade shall be cast iron ferrule type. Plug shall be countersunk brass or bronze with tapered threads. Cleanout to grade shall be Wade, No. W-8450; Smith, 4420; Zurn, No 1440; or equal.

## **MISCELLANEOUS ITEMS**

Water Hammer Arrestor: Water hammer arrestor shall be Type "K" hard-drawn copper body with piston. Arrestor compression chambers shall be pneumatically charged. Water hammer arrestors shall be tested and certified in accordance with Plumbing and Drainage Institute Standard: PDI-WH201 or ASSE 1010 and sized as shown on the plans.

Automatic Trap Primer Valve: Automatic trap primer valve shall:

1. Be made of cast bronze
2. Include an integral vacuum breaker
3. Have a non-liming internal operating assembly with gasketed bronze cover
4. Have an access panel installed in an accessible location

Automatic trap primer valve shall be Zurn Z1022; Precision Plumbing Products Inc. P2-500 for 2 drains or P1-500 for 4 drains; Wade W2400; or equal.

Access Door: Access door shall be 16-gage prime coated steel, face mounting square frame, minimum 12" x 12" door with concealed hinge and screwdriver latch.

Compression Stop (Exposed): Compression stop (exposed) shall be metal full free waterway, angle type, ground joint union, non-rising stem, molded rubber seat and wheel handle.

Compression Stop (Concealed): Compression stop (concealed) shall be long neck, built-in compression stops for required wall thickness, loose key and exposed parts polished chromium plated. Supplies shall be Chicago, 1771; Zurn, BC40; Precision Plumbing Products, 500; or equal.

Gas Regulator: Gas regulator shall be listed as suitable for gas and equipped with full capacity relief valve, low pressure safety shut-off and weatherproof and insect proof vent for outside installation. Capacity shall be as shown on the plans. Gas regulator shall be Fisher; Reliance; Rockwell; or equal.

Pipe Hanger (for piping supported from overhead): Pipe hanger (for piping supported from overhead) shall be Anvil International, Model RH260; Super Struct, C711; or equal.

Pipe Wrapping Tape and Primer:

Pipe wrapping tape shall be pressure sensitive polyvinyl chloride or pressure sensitive polyethylene tape having nominal thickness of 20 mils. Wrapping tape shall be Polyken, 922; Manville, Trantex VID-20; Scotchrap, 51; or equal.

Pipe wrapping primer shall be compatible with the pipe wrapping tape used.

Floor, Wall, and Ceiling Plates: Floor, wall, and ceiling plates shall be chromium plated steel or plastic plates having screw or spring clamping devices and concealed hinges. Plates shall be sized to completely cover the hole.

Valve Box: Valve box shall be precast high density concrete with polyethylene face and cast iron traffic rated cover marked "WATER," "GAS" or "CO-SS" as applicable. Extension shall be provided as required. Valve box shall be Christy, B24; Brooks Products Company, Dual-11; BES, C24W; or equal.

Roof Drain: Roof drain shall be cast iron body, with integral flashing clamp and gravel stop with seepage openings, 15-inch nominal polyethylene low profile dome, 3-inch caulk or no-hub outlet and underdeck clamp. Roof drain shall be Jay R. Smith, 1010; Zurn, Z-100; Wade, W-3500; or equal.

Floor Drain: Floor drain shall be dura-coated cast iron body and adjustable flashing collar, adjustable nickel bronze 6-inch strainer head with seepage openings and caulk or no-hub outlet. Floor drain shall be round or square as shown on the plans. Floor drain shall be J R. Smith, 2005/2010; Wade, W-1100; Zurn, Z-415; or equal.

Sealants: Sealants for pipe installation shall be:

1. One component
2. Low modulus
3. Non-acid curing
4. Compliant with ASTM C 920
5. Tack-free in one hour
6. Not subject to sag or flow
7. Capable of 100 percent extension and 50 percent contraction without failure
8. Compliant with VOC requirements of the local air district

If other types of sealants are used for other applications, comply with requirements under "Sealants" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

### **PART 3 - EXECUTION**

#### **INSTALLATION**

#### **INSTALLATION OF PIPES AND FITTINGS**

Pipe and Fittings: Pipe and fittings shall be installed in accordance with the following designated uses:

Designated Use	Pipe and Fitting Class
Domestic water (CW and HW) in buildings	H3
Domestic water underground within 5 feet of the building	H2
Domestic water underground 5 feet beyond the building	P2, P3, P4 or H2
Fire protection water, underground	D1 or P4
Fire protection water riser	B1, D1 or H3
Fire protection sprinkler piping in building	A1, A3, A4 or B1
Sanitary drain piping above ground in building	H1, C1, or C2
Sanitary drain and vent piping underground within 5 feet of the building	C1 or C2
Sanitary vent piping above ground in building	A2, H1, C1, or C2
Sanitary drain pipe, 5 feet beyond the building	P1
Natural gas, above ground	A1 or B2
Natural gas, underground	B2 (plastic coated), P5 or P6
Lubrication piping, less than 100 feet in length	LP1 (5/8" outside diameter)
Lubrication piping, over 100 feet in length	LP1 (7/8" outside diameter)
Gear oil, motor oil, and automatic transmission fluid (ATF) piping; less than 25 feet in length	LP2 or H3 (5/8" outside diameter)
Gear lubricant, motor oil, and ATF piping; over 25 feet in length	LP2 or H3 (7/8" outside diameter)
Compressed air	A1
Rainwater leaders	A2
Equipment drains and relief valve discharge	H3

#### Installing Piping:

Water piping shall be installed generally level, free of traps and bends, and arranged to conform to the building requirements.

Piping installed underground shall be tested as specified elsewhere in these special provisions before backfilling.

Public use areas, offices, rest rooms, locker rooms, crew rooms, training rooms, storage rooms in office areas, hallway type rooms, and similar type use areas shall have concealed piping.

Warehouse rooms, equipment bays, and loft areas shall have exposed piping.

Piping shall not be run in floor fill, except as shown on the plans.

Piping shall be installed parallel to walls. All obstructions shall be cleared, headroom preserved and openings and passageways kept clear whether shown or not. Piping shall not interfere with other work. Where pipes pass through exterior walls, a clear space around pipe shall be provided. Space shall be caulked water tight with silicone sealant.

Underground copper pipe shall have brazed joints. Underground plastic pipe shall be buried with No. 14 solid bare copper wire. Wire ends at pipe ends shall be brought up 8 inches and looped around pipe.

Exposed supply and drain piping in rest rooms shall be chrome finished.

Compressed air piping shall be pitched to low point. Ball valved drips shall be provided at all low points. Branches shall be taken off top of main.

Gas piping shall not be installed under building concrete slabs or structure. An insulating connection and valve shall be installed above ground at each building supply.

Gas piping shall be pitched to equipment or to low point and provided with an 8-inch minimum dirt leg.

Plastic pipe used for natural gas shall be below grade outside of building only. Transition to Class B2 plastic coated shall be before meter, regulator, or building wall with approved metal to plastic transition fitting. PVC natural gas pipe shall be installed in accordance with International Association of Plumbing and Mechanical Officials (IAPMO) Standard: IS10.

Forty-five degree bends shall be used where offsets are required in venting. Vent pipe headers shall be sloped to eliminate any water or condensation.

Vent piping shall extend a minimum of 8 inches above the roof.

Horizontal sanitary sewer pipe inside buildings shall be installed on a uniform grade of not less than  $\frac{1}{4}$  inch per foot unless shown otherwise on the plans.

Drainage pipe shall be run as straight as possible and shall have easy bends with long turns.

Wye fittings and  $\frac{1}{8}$  or  $\frac{1}{16}$  bends shall be used where possible. Long sweep bends and combination Wye and  $\frac{1}{8}$  bends may be used only for the connection of branch pipes to fixtures and on vertical runs of pipe.

#### Water pipe near sewers:

Water pipe shall not be installed below sewer pipe in the same trench or at any crossing, or below sewer pipe in parallel trenches less than 10 feet apart.

When a water pipe crosses above a sewer pipe, a vertical separation of at least 12 inches between the top of the sewer and the bottom of the water pipe shall be maintained.

When water and sewer pipe is installed in the same trench, the water pipe shall be on a solid shelf at least 12 inches above the top of the sewer pipe and 12 inches to one side.

#### Pipe Sleeves:

The Contractor shall provide sleeves, inserts and openings necessary for the installation of pipe, fittings and valves. Damage to surrounding surfaces shall be patched to match existing.

PVC pipe sleeves shall be provided where each pipe passes through concrete floors, footings, walls or ceilings. Inside diameter of sleeves shall be at least  $\frac{3}{4}$  inch larger than outside diameter of pipe. Sleeves shall be installed to provide at least  $\frac{3}{8}$ -inch space all around pipe the full depth of concrete. Space between pipes and pipe sleeves shall be caulked watertight.

**Cutting Pipe:** Pipe shall be cut straight and true and the ends shall be reamed to the full inside diameter of the pipe after cutting.

**Damaged Pipe:** Pipe that is cracked, bent or otherwise damaged shall be removed from the work.

#### Pipe Joints and Connections:

Joints in threaded steel pipe shall be made with teflon tape or a pipe joint compound that is nonhardening and noncorrosive, placed on the pipe and not in the fittings.

The use of thread cement or caulking on threaded joints will not be permitted. Threaded joints shall be made tight. Long screw or other packed joints will not be permitted. Any leaky joints shall be remade with new material.

Exposed polished or enameled connections to fixtures or equipment shall be made with special care, showing no tool marks or threads.

Cleaning and Closing Pipe: The interior of all pipe shall be cleaned before installation. All openings shall be capped or plugged as soon as the pipe is installed to prevent the entrance of any materials. The caps or plugs shall remain in place until their removal is necessary for completion of the installation.

Securing Pipe: Pipe in the buildings shall be held in place by iron hangers, supports, pipe rests, anchors, sway braces, guides or other special hangers. Material for hangers and supports shall be compatible with the piping or neoprene isolators shall be used. Allowances shall be made for expansion and contraction. Steel pipe shall have hangers or supports every 10 feet. Copper pipe one inch or less in diameter shall have hangers or supports every 6 feet and sizes larger than one inch shall have hangers or supports every 10 feet. Plastic pipe shall have hangers or supports every 3 feet. Cast iron soil pipe with neoprene gaskets shall be supported at each joint. Vertical pipes shall be supported with clamps or straps. Horizontal and vertical piping shall be securely supported and braced to prevent swaying, sagging or flexing of joints.

#### Hangers and Supports:

Hangers and supports shall be selected to withstand all conditions of loading to which the piping and associated equipment may be subjected and within the manufacturer's load ratings. Hangers and supports shall be spaced and distributed so as to avoid load concentrations and to minimize the loading effect on the building structure.

Hangers and supports shall be sized to fit the outside diameter of pipe or pipe insulation. Hangers shall be removable from around pipe and shall have provisions for vertical adjustment after erection. Turnbuckles may be used.

Materials for holding pipe in place shall be compatible with piping material.

Hanger rods shall be provided with locknuts at all threaded connections. Hanger rods shall be sized as follows:

Pipe Size	Minimum Hanger Rod Diameter
1/2" to 2"	3/8"
2 1/2" to 3 1/2"	1/2"
4" to 5"	5/8"
6"	3/4"

#### Wrapping and Coating Steel Pipe:

Steel pipe buried in the ground shall be wrapped or shall be plastic coated as specified herein:

1. Wrapped steel pipe shall be thoroughly cleaned and primed as recommended by the tape manufacturer.
2. Tapes shall be tightly applied with 1/2 uniform lap, free from wrinkles and voids with approved wrapping machines and experienced operators to provide not less than 40-mil thickness.
3. Plastic coating on steel pipe shall be factory applied. Coating imperfections and damage shall be repaired to the satisfaction of the Engineer.
4. Field joints, fittings and valves for wrapped and plastic coated steel pipe shall be covered to provide continuous protection by puttying and double wrapping with 20-mil thick tape. Wrapping at joints shall extend a minimum of 6 inches over the adjacent pipe covering. Width of tape for wrapping fittings shall not exceed 2 inches. Adequate tension shall be applied so tape will conform closely to contours of fittings. Putty tape insulation compounds approved by the Engineer shall be used to fill voids and provide a smooth even surface for the application of the tape wrap.

Wrapped or coated pipe, fittings, and field joints shall be approved by the Engineer after assembly. Piping shall be placed on temporary blocks to allow for inspection. Deficiencies shall be repaired to the satisfaction of the Engineer before backfilling or closing in.

#### Thrust Blocks:

Thrust blocks shall be formed by pouring concrete between pipe and trench wall. Thrust blocks shall be sized and so placed as to take all thrusts created by maximum internal water pressure.

Plastic pipe underground shall be provided with thrust blocks and clamps at changes in direction of piping, connections or branches from mains 2 inches and larger, and all capped connections.

Union: Unions shall be installed where shown and at each threaded or soldered connection to equipment and tanks. Unions shall be located so piping can be easily disconnected for removal of equipment or tanks. Unions shall be omitted at compression stops.

Dielectric Waterway: Dielectric waterway shall be provided between metal pipes of different material, and between brass or bronze valves and steel piping.

#### Insulating Union and Insulating Connection:

Insulating union and insulating connection shall be provided where shown and at the following locations:

1. In metallic water, gas and air service connections into each. Insulating connections shall be installed on the exterior of the building, above ground and after shut-off valve.
2. In water, gas and air service connections in ground at point where new metallic pipes connect to existing metallic pipes. Install valve box above insulating connection.
3. At points of connections of copper or steel water pipes to steel domestic water heaters and tanks.

Bonding at Insulating Connections: Interior water piping and other interior piping that may be electrically energized and are connected with insulating connections shall be bonded in accordance with the CEC. Bonding shall all be coordinated with electrical work.

Compression Stop: Each fixture, including hose faucets, shall be equipped with a compression stop installed on water supply pipes to permit repairs without shutting off water mains. Ball valves may be installed where shown on the plans or otherwise permitted by the Engineer.

### **INSTALLATION OF VALVES**

Exterior Valves: Exterior valves located underground shall be installed in a valve box marked "Water." Extensions shall be provided as required.

### **INSTALLATION OF FAUCET**

Hose Faucet: Hose faucet shall be installed with outlet 18 inches above finished grade.

### **INSTALLATION OF CLEANOUTS**

#### Cleanouts:

A concrete pad 18 inches long and 4 inches thick shall be placed across the full width of trench under cleanout Wye or 1/8 bend. Cast iron soil pipe (C1 or C2) and fittings shall be used from Wye to surface. Required clearance around cleanouts shall be maintained.

Cleanout risers outside of a building installed in a surface other than concrete shall terminate in a cleanout to grade. Cleanout to grade shall terminate in a valve box with cover marked "CO-SS". Top of box shall be set flush with finished grade. Cleanout plug shall be 4 inches below grade and shall be located in the box to provide sufficient room for rodding.

Cleanout risers installed in tile and concrete floors, including building aprons and sidewalks, shall terminate in a cleanout through floor.

## **INSTALLATION OF MISCELLANEOUS ITEMS**

**Water Hammer Arrestor:** Water hammer arrestor shall be installed so that they are vertical and accessible for replacement. Water hammer arrestor shall be installed with access door when in walls or there is no access to ceiling crawl spaces. Access door location shall be where shown on the plans or as approved by the Engineer.

**Gas Appliance Connection:** Gas valve and flexible connector shall be provided for gas piping at each appliance. Appropriately rated gas cocks may be used in ½-inch gas pipe. Cock or valve shall be within 3 feet of the appliance.

**Gas Regulator:** Gas regulator shall be installed complete with dirt leg, capped test tee, union, insulating union, gas valve and fittings.

**Flushing Completed Systems:** All completed systems shall be flushed and blown out.

**Potable Water Piping:** Clean and flush domestic water systems with potable supply water. Continue to flush until potable water is maintained throughout entire system.

**Drainage and Vent System:** Clean and flush with potable supply water until free of all foreign matter.

**Chlorination:**

The Contractor shall flush and chlorinate all domestic water piping and fixtures.

Calcium hypochlorite granules or tablets, if used, shall not be applied in the dry form, but shall first be dissolved into a solution before application.

The Contractor shall take adequate precautions in handling chlorine so as not to endanger workmen or damage materials. All pipes and fittings shall be completely filled with water containing a minimum of 50 ppm available chlorine. Each outlet in the system shall be opened and water run to waste until a strong chlorine test is obtained. The line shall then be closed and the chlorine solution allowed to remain in the system for a minimum of 24 hours so that the line shall contain no less than 25 ppm chlorine throughout. After the retention period, the system shall be drained, flushed and refilled with fresh water.

## **FIELD QUALITY CONTROL**

**Testing:**

The Contractor shall test piping at completion of roughing in, before backfilling, and at other times as directed by the Engineer.

The system shall be tested as a single unit, or in sections as approved by the Engineer. The Contractor shall furnish necessary materials, test pumps, instruments and labor and notify the Engineer at least 3 working days in advance of testing. After testing, the Contractor shall repair all leaks and retest to determine that leaks have been stopped. Surplus water shall be disposed of after testing as directed by the Engineer.

The Contractor shall take precautions to prevent joints from drawing while pipes and appurtenances are being tested. The Contractor shall repair damage to pipes and appurtenances or to other structures resulting from or caused by tests.

**General Tests:**

All piping shall be tested after assembly and prior to backfill, pipe wrapping, connecting fixtures, wrapping joints and covering the pipe. Systems shall show no loss in pressure or visible leaks.

The Contractor shall test systems according to the following schedule for a period of not less than 4 hours:

Test Schedule		
Piping System	Test Pressure	Test Media
Sanitary sewer and vent	10-foot head	Water
Water	125 psig	Water
Gas (except P6)	100 psig	Air
Gas (P6)	50 psig	Air
Air	125 psig	Air
Lubrication piping	125 psig	Air and Product

During testing of water systems, valves shall be closed and pipeline filled with water. Provisions shall be made for release of air.

Sanitary sewers shall be cleared of obstructions before testing for leakage. The pipe shall be proved clear of obstructions by pulling an appropriate size inflatable plug through the pipe. The plug shall be moved slowly through the pipe with a tag line. The Contractor shall remove or repair any obstructions or irregularities.

Sanitary sewer pipes beyond 5 feet perpendicular to the building shall be tested for leakage for a period of not less than 4 hours by filling with water to an elevation of 4 feet above average invert of sewer or to top of manholes where less than 4 feet deep. The system shall show no visible leaks. The sewer may be tested in sections with testing water progressively passed down the sewer as feasible. Water shall be released at a rate that will not create water hammer or surge in plugged sections of sewer.

#### Test Procedures:

Rough Plumbing (Soil, Waste, and Vent): Verify piping materials and test upon completion of rough piping installation to ensure watertight system.

Water Test: Apply water test to drainage system in its entirety or in sections after rough piping is installed. If applied to the complete system, tightly close each opening in piping, except highest opening, and fill with water to the point of overflow. If the system is tested in sections, tightly plug each opening except the highest opening of the section under test, and fill with water.

1. Do not test a section with less than 10 feet head of water.
2. In testing successive sections, test at least the upper 10 feet of the following section so that each joint or pipe in the building, except the uppermost 10 feet of the system, is subjected to a test with more than a 10 foot head of water.
3. Keep water in system or in the portion under test for at least 15 minutes prior to inspection; the system shall be tight at each point.

Sanitary Systems: After plumbing fixtures and floor drains are set and traps filled with water, verify drainage system materials and test. Ensure that system is gas tight by a smoke test or peppermint test.

Water Systems: When roughing in is completed and before fixtures are set, test hot water return and cold water piping systems at hydrostatic pressure of 125 psi for at least 4 hours to permit inspection of each joint. Where a portion of water piping system is concealed before completion, test portion separately the same as specified for system.

Exceptions: Exclude equipment and accessories such as plumbing fixtures or water heaters which may be damaged if subjected to full test pressure.

## **12-15.03 MECHANICAL INSULATION**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing mechanical insulation in accordance with the details shown on the plans and these special provisions.

Piping insulation shall be installed on all domestic hot water piping, above grade, in non-conditioned spaces.

P-trap, hot water supply pipes and angle valves for lavatories and sinks, except in janitor closets or similar enclosed spaces, shall be insulated. There shall be no sharp or abrasive surfaces under lavatories or sinks.

Duct insulation shall be installed on all rigid ductwork installed in concealed non-conditioned spaces.

Duct liner shall be installed in all rectangular ductwork installed in exposed non-conditioned spaces and in exterior locations. Plenum liner shall be installed in all plenums in non-conditioned spaces or in walls facing a non-conditioned space.

#### **SUBMITTALS**

Test Reports: Certified test reports signed by Contractor and supervisor who performed testing work.

#### **QUALITY ASSURANCE**

Codes and Standards:

Mechanical insulation shall conform to California State Energy Commission regulations and, where applicable, shall meet ASTM standards.

All materials shall bear the label of UL or other approved testing laboratory indicating that the materials proposed for use conform to the required fire hazard ratings.

Pipe safety insulation shall conform to Section 1115B.2.1.2.2 of the CPC.

### **PART 2 - PRODUCTS**

#### **MATERIAL**

Adhesives, sealants, and sealant primers must comply with with local requirements for VOC content.

All pipe insulation and wrapping material, including adhesives and jackets, located within buildings shall be certified to have a composite flame spread rating of not more than 25 and smoke development rating of not more than 450 when tested in accordance with ASTM Designation: E 84.

Duct insulation and wrapping material, including adhesives and jackets, located within buildings shall be certified to have a composite flame spread of not more than 25 and smoke development rating of not more than 50 when tested in accordance with ASTM Designation: E 84.

Domestic Water Piping Insulation: Piping insulation shall be glass fiber molded pipe insulation with factory applied jacket suitable for service temperatures up to 350°F. Covering jacket shall have pressure sealing lap adhesive joints. Pipe insulation shall have a minimum thermal resistance of R-3. Insulation and jackets shall be Owens-Corning, Fiberglass Pipe Insulation with ASJ/SSL All Service Jacket; Manville, Micro-Lok with AP-T All Purpose Jacket; or equal.

Piping Insulation Cement: Insulation cement shall be Fenco, All Purpose Cement; Manville, JM375; or equal.

Exterior Piping Insulation: Piping insulation shall be polyurethane foam insulation with a service temperature range of 32°F to 250°F. A 6-mil vapor barrier shall be applied over the top off the insulation. The vapor barrier shall be installed with an adhesive as recommended by the manufacturer.

PVC Jacket: PVC jacket shall be rated for a service temperature of 175°F. PVC jacket shall include covers specifically designed to cover pipe fittings.

Pipe Safety Insulation: Pipe safety insulation for P-traps, hot water supply pipes and angle valves shall be molded closed cell vinyl or closed cell foam with exterior vinyl surface. Pipe safety insulation shall be configured to protect against contact. Pipe safety insulation shall be Truebro Inc., Handi Lav-guard; Plumberex Specialty Products, Handy Shield; or equal.

Plenum and Duct Liner: Plenum and duct liner shall be one-inch minimum thickness. Material and coatings shall be fire resistive and shall be approved by the State Fire Marshal. Liner shall be Gustin-Bacon, Ultra-Liner duct insulation; Owens-Corning Fiberglas, Type CE; Gustin-Bacon, coated insulation Board No. 90-A; Owens-Corning Fiberglas 1½-pound density coated flexible duct liner; Johns-Manville, MicroBar, or 1½-pound density coated Microlite; Pittsburgh Plate Glass, Superfine 1½-pound density coated interior duct insulation; or equal.

Adhesive: Adhesive shall be non-flammable type, water-based, high solids, fast-tacking, pressure-sensitive adhesive recommended by manufacturer for use with insulation, with VOC content not to exceed 50 g/L.

Studs: Studs shall be cement-in-place type, pneumatic driven type or percussive welding type, and shall have one-inch minimum diameter washers.

Insulation Inserts: Insulation inserts at pipe hangers supports for pipes 2 inches or larger shall be calcium silicate, cellular glass, or other acceptable material of the same thickness as the adjacent insulation and not less than 13-pound density.

### **PART 3 - EXECUTION**

#### **INSTALLATION**

Insulation materials shall be neatly installed with smooth and even surfaces, jackets drawn tight and smoothly cemented down.

Insulation material shall not be installed until all pipes or surfaces to be covered are tested for leaks, cleaned and dried, and foreign materials, such as rust, have been removed.

Piping Insulation:

Piping insulation shall be in accordance with the following, except that unions, unless integral with valves, and flexible connections shall not be insulated:

1. Where insulation butts against flanges or is discontinued, insulation shall be tapered to pipe to allow for covering jacket to completely seal off end of insulation.

Insulation shall be extended on the valve bodies up to the valve bonnet.

Extend insulation continuous through pipe hangers and pipe sleeves. At hangers where pipe is supported, provide an insulated protection shield.

Insulating cement shall be applied to fittings, valves, and strainers and troweled smooth to thickness of adjacent covering. Strainer cleanout plugs shall remain accessible. Covers fabricated from molded pipe covering may be used in lieu of cement, provided covers are neat and well secured.

2. Jacket flap shall be sealed down with factory applied self-sealing lap. Seams shall be lapped not less than 1½ inches. Jacket shall be secured with aluminum bands installed at 12-inch centers.
3. Exposed outdoor insulation shall have an additional 0.016-inch minimum thickness aluminum jacket applied over the completed insulation. The jacket shall have a factory applied moisture barrier and shall be Childers; Smith; or equal.

End joints shall be lapped with aluminum holding traps located directly over the lap. Additional aluminum holding straps shall be placed at 8-inch centers. Jacket at ells and tees shall be mitered, or premanufactured fitting jackets shall be provided, with additional aluminum holding bands, as required. All joints shall be sealed watertight using silicon type, heat resistant sealant.

4. In-ground insulation shall have an additional PVC jacket applied over the completed insulation and vapor barrier. PVC jacket shall be made watertight with adhesive or sealant as recommended by the PVC jacket manufacturer.

**Pipe Safety Insulation:** Pipe safety insulation shall be installed in accordance with the manufacturer's recommendations.

#### Duct Insulation:

Ragged edges shall be repaired or taped. Coverings shall be neatly finished at joints and edges. Each joint shall have a 2-inch minimum lap.

Where transitions are made between externally covered ducts and lined ducts, the lined duct shall be overlapped 8 inches with external covering.

Insulation shall be flush with but not cover control devices, damper controls or access doors.

Before insulation is wrapped around concealed ducts, an adhesive shall be spot applied at a maximum of 4-inch centers on each side of the ducts to prevent sagging of the insulation. Insulation shall be wrapped entirely around the ducts and shall be wired securely in place with No. 16 copper clad wire, metal bands at least ½ inch wide or plastic ties. Supports shall be spaced a maximum of 12 inches on center. Metal bands shall be installed with the use of a banding machine. Seams in the insulation shall be taped.

The finished insulation covering shall be even and level and shall not contain humps.

#### Plenum and Duct Liner:

Plenums and exposed ducts shall be lined with plenum and duct liner. Plenums and ducts shall be sized to provide the clear inside dimensions shown on plans after the liner is installed.

The insulation shall be applied with coated side exposed to air stream to prevent surface erosion.

The lining shall be fastened in place with adhesive and with studs with washers spaced a maximum of 18 inches on center each way.

**Applying Adhesive:** The adhesive shall be liberally applied over entire interior surfaces of ducts or plenums.

#### Stud Installation:

Studs shall be installed as follows:

1. **Cement-In-Place Type Studs:** Cement-in-place type studs shall be cemented in place with adhesives manufactured for this purpose and shall be as recommended by the stud manufacturer. Cement-in-place type studs shall be used where concrete walls form part of plenum.
2. **Percussive Welding Type Studs:** Percussive welding type studs shall be carefully welded in place with current settings that will not appreciably burn galvanizing on opposite side of the sheet metal.

3. Pneumatic Driven Type Studs: At locations where pneumatic driven type studs are used, hardened steel backup plates or dollies shall be used under the sheet metal.

## **12-15.04 AUTOMATIC FIRE SPRINKLER SYSTEM**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of designing, furnishing and installing an automatic wet pipe type fire sprinkler system, complete and ready for use, in accordance with the details shown on the plans and these special provisions.

The automatic fire sprinkling system shall include water flow indicator, check valve, electric alarm bell, retard chamber, water motor alarm, valves, sprinkler heads and related appurtenances, valves, piping and fittings.

Design:

The design of the sprinkler system shall be in accordance with the code requirements for ordinary hazard occupancies, group 1, and shall provide coverage of the building area shown on the plans.

The water pressure and flow rate shall be verified with the local agency having jurisdiction.

#### **SUBMITTALS**

Working Drawings: Complete working drawings, including written verification of the water pressure and flow rate, shall be submitted for approval.

State Fire Marshal Approval: Prior to the submittal of the working drawings, the Contractor shall have said drawings stamped "APPROVED" by the State Fire Marshal. Allow 12 weeks for State Fire Marshal review and approval.

#### **QUALITY ASSURANCE**

Codes and Standards: All work shall be in accordance with the requirements of the State Fire Marshal, the National Fire Protection Association (NFPA) Standard No. 13-2013, "Installation of Sprinkler Systems," with CA Amendments and the requirements of other regulatory authorities having jurisdiction.

### **PART 2 - PRODUCTS**

Water Flow Indicator: Water flow indicator shall be UL or FM listed for fire protection, vane type switch designed for wet pipe systems. Water flow indicator shall be designed for minimum flow rate of 10 GPM, and shall have an adjustable delay setting of from 0 to 90 seconds. Water flow indicator shall be Viking, Model VSR-D; Grinnell, Model F620; Reliable, Model A; or equal.

Check Valve: Check valve shall be UL or FM listed, swing type, self draining, iron body with brass trim and rubber clapper with removable cover plate. Check valve shall be Viking, Grinnell, Groeniger, or equal.

Alarm Bell: Alarm bell shall be UL or FM listed electric bell with a minimum sound rating of 95 decibels at 10 feet. Alarm bell shall have a die cast aluminum housing with built-in rubber gasket for dust proof seal for bell striking mechanism. Alarm bell shall be Viking, Grinnell, Reliable, or equal.

Pipe and Fittings:

Pipe and fittings shall be in accordance with the requirements specified under "Pipe, Fittings and Valves," elsewhere in this Section 12-15.

Pipe and fittings for drain lines shall be as recommended by the valve manufacturer.

Pipe Hangers: Pipe hangers shall be of types listed as acceptable for specific applications in NFPA No. 13.

Valves:

Valves shall be UL or FM listed, outside screw and yoke (OS&Y) rising stem type.

Valves (OS&Y) 2½ inches and larger in size shall be Crane, 467; Walworth, 8713F; Nibco Scott, F-607-0; or equal.

Valves (OS&Y) 2 inches and smaller in size shall be Crane, 459; Walworth, 873; Nibco Scott, T-104-D; or equal.

Optional; Valves 4 inches and larger may be butterfly type, UL or FM listed, working pressure 175 psi, gear operated, indicator flag, ductile iron body, bronze trim, with provisions for locking. Valve shall be provided with mounting block for supervisory switch.

Supervisory Switch: Supervisory switch shall be UL or FM listed, for the type of valve supplied, single contact set with tamper resistant cover. Supervisory switch shall be suitable for exterior installations.

Sprinkler Head: Sprinkler head shall be upright type above ceiling and pendant type below ceiling. Sprinkler head shall be brass body, chemical or solder fusing type, with proper temperature rating element. Sprinkler head shall be Viking, Grinnell, Reliable, or equal.

Spare Sprinkler Cabinet: Spare sprinkler cabinet shall be metal cabinet as recommended by the sprinkler head manufacturer and conforming to NFPA requirements. The cabinet shall be painted red.

Fire Department Connection: Fire department connection shall be UL or FM listed, horizontal single or double Siamese as required, with 2½-inch inlets, drain cock, caps, chain, and brass nameplate. Inlets shall have national standard fire hose coupling screw threads. The fire department connection shall be Potter-Roemer, Grinnell, or equal.

Accessories: Drains, test connection, flush connections, pressure gauges, and other accessories shall be supplied as required.

Sign: Sign shall be sheet steel, not less than 0.030 inch (22-gage) thick, with red letters on a white background and a baked enamel coating.

## **PART 3 - EXECUTION**

### **INSTALLATION**

General: Sprinkler piping and equipment shall be installed in accordance with the approved working drawings and shall be located to avoid interference with the lighting system, access openings, or other piping.

Reductions in pipe size shall be made with one piece reduction fittings. Bushings shall not be used.

Piping:

Fire sprinkler piping shall be installed level.

Drain piping and test connections shall discharge into the nearest floor drain or to the outside of the building. Discharge piping shall not drain across walkways.

Spare Sprinkler Cabinet: The spare sprinkler cabinet shall be installed where temperatures will not exceed 100°F at any time. Such location shall be approved by the Engineer. Six spare sprinklers and 2 sprinkler head wrenches shall be furnished and placed in the cabinet.

Securing Main Shutoff Valve: A galvanized chain, with a nominal material diameter of at least 7/32 inch, shall be provided to lock the main shutoff in the open position. The lock will be State-furnished as provided under "State-Furnished Materials" in Section 8, "Materials," of these special provisions.

Signs: Signs and messages shall be as required by NFPA No. 13 and the State Fire Marshal. Lettering shall be standard-type of the following heights:

Item	Minimum Lettering Height
Nameplate date	1/4"
Drain signs	3/4"
Tamper sign	3/4"

## FIELD QUALITY CONTROL

Acceptance Tests:

The Contractor shall arrange for testing of the automatic fire sprinkler system in the presence of the Engineer and the State Fire Marshal. Three days written notice of said testing shall be provided by the Contractor.

The system shall be pressure tested for 2 hours at 200 psig. A successful test shall have no visible leaks or loss of pressure.

The Contractor shall perform such other tests as may be required by the State Fire Marshal.

## 12-15.05 PLUMBING FIXTURES

### PART 1 - GENERAL

#### SUMMARY

Scope: This work includes furnishing and installing plumbing fixtures.

#### DEFINITIONS

**gpf:** Gallons per flush.

**MaP:** Maximum Performance Testing Program, <http://www.map-testing.com>.

#### SUBMITTALS

Product Data: Submit for all products. Include the following:

1. Manufacturer's technical information and catalog cuts for each item. Indicate model numbers, water consumption, required options, size, and finish.
2. Fasteners, carriers, supports, and other pertinent information.
3. Explanation of abbreviations, symbols, and codes contained in schedules.
4. NSF 61 certification where required.
5. Maintenance and operating instructions, including spare parts list.

## **QUALITY ASSURANCE**

The Engineer will inspect all fixtures for proper installation and test for proper operation after all plumbing activities are complete.

## **PART 2 - PRODUCTS**

### **GENERAL**

Plumbing fixtures must be white, commercial grade, and of vandal-resistant design. Plumbing fixtures must comply with ASME A 112.19.2 unless otherwise specified in these special provisions.

Plumbing fixtures in contact with potable water must be certified under NSF 61.

Furnish plumbing fixtures with suitable fasteners to complete work. Exposed metal on fixtures, including wall flanges, bolts, nuts, and washers must be polished chrome plated. Exposed metal surfaces on fixture supports must be enameled to match fixtures.

### **WATER CLOSETS**

Water Closets: Water closets must be accessible type, high efficiency type with no more than 1.28 gpf. Water closets must be vitreous china, siphon jet, 16 to 17-1/2 inches high, elongated bowl, close-coupled tank, and floor mounted. Water closets must be listed and labeled under the EPA "WaterSense" program.

Water Closet Seats: Water closet seats must be a solid plastic, open front, elongated seat with check hinges. Seat must not be sprung to return to a lifted position and must be 2 inches high, maximum.

### **URINALS**

Urinals:

Urinals must be accessible type, include the flushometer, wall hangers, and appurtenances. Urinals must be high efficiency type with no more than 0.125 gpf. Urinals must be wall hung, vitreous china, washout type, 3/4 inch back spud, integral shields, spreader, and trap. Urinals must be listed and labeled under the EPA "WaterSense" program.

Flushometers must be concealed, brass plated, diaphragm or piston type, with vacuum breaker suitable for use with 3/4 inch back spud urinals. Flushometers must include a concealed infrared sensor with indicator light and manual override mounted in a box with stainless steel cover plate and vandal resistant screws. Include the manufacturers mounting plate for the box. Sensor range and time must be adjustable. Power supply must be a box mounted transformer, 120-volt AC input, low voltage AC and current output as required, and supplied by the flushometer manufacturer. Include power and control cables. Flushometers must be listed and labeled under the EPA "WaterSense" program.

### **LAVATORIES AND SINKS**

Lavatories:

Lavatories must be accessible type, vitreous china with ledge, grid drain with overflow, and drilled for 4-inch centers. Nominal dimensions must be 20" x 18". Lavatory faucets must be single extra long lever mixing faucet complying with 24 CA Code of Regs Pt 2 CBC Sections 11B-309 and 11B-606.4. Lavatory must be equipped with temperature controls to limit the hot water supply to 110 °F at a flow rate of no more than 0.5 gpm.

Lavatory supports must be concealed type, wall mounted carrier with leveling screws and locking devices. Carriers must be adjustable for type of wall. Include required hardware.

**Kitchen Sink:** Kitchen sink must be accessible type, single compartment, self draining, 18 gauge, Type 304 stainless steel, seamlessly drawn, one hole or (3 – hole, 4-inch center) faucet drilling, strainer, size 25"x22"x6-1/2" maximum depth. Satin finish with standard 1 1/2" drain. Single lever chrome finish mixing kitchen faucet, no splash swing spout.

**Ice Machine:** Ice machine must be air cooled with an ice production of 270 lbs per 24 hours of dice shape ice @ 90°F air/70°F water. Ice machine and storage bin must be 22" in width minimum, installed in a vertical position. Ice maker must have a nominal 3800 BTUH, with a 1/2 HP nominal. Have an ice sensor control to assure uniform cube thickness without use of pressure controls or thermostats. Ice machine and storage bin must be stainless steel and have adjustable metal legs, hinged door and be provided with a heavy-duty plastic scoop. The unit must be energy star, UL and CEE listed.

#### Service Sinks:

Service sinks must be enameled cast iron, trap standard mounted, with plain undrilled back, stainless steel or chrome plated sheet brass rim guard on three sides and complying with ASME A 112.19.1. Nominal dimensions must be 28" x 28". Wall hanger must be supplied by sink manufacturer.

Trap must be 3-inch floor mount with integral cleanout and stainless steel strainer.

Faucets for service sinks must be:

1. Solid brass construction and polished chrome plate finish
2. Wall mounted with center brace
3. Equipped with dual handles on 8-inch centers, integral stops, and vacuum breaker
4. Equipped with bucket hook and threaded hose spout that extends at least 8 inches from the wall
5. Compliant with ASME A112.18.1

## **WATER HEATERS**

#### Water Heaters:

Water heaters must be gas fired, glass lined, high density R-16 minimum foam insulation and finished with a steel jacket with enamel finish. Water heaters must be equipped with gas pressure regulator, heat trap fittings, magnesium anodes, cold water drop tube, high temperature energy shut-off device, valved drain, and rated for at least 150 psi.

Water heaters must comply with the California Building Energy Efficiency Standards for Residential and Nonresidential Buildings, 24 CA Code of Regs Pt 6, and the California Energy Commission Appliance Efficiency Regulations.

Water heaters must comply with ANSI Z 21.10.3 and the ASME Boiler and Pressure Vessel Code.

Water heaters must be equipped with an ASME labeled, tank mounted, pressure and temperature relief valve sized for maximum input.

Water heaters must have a thermal efficiency of at least 90 percent.

**Expansion Tank:** Expansion tank must be welded steel construction, ASME rated, 150 psi working pressure, with butyl/EPDM diaphragm and corrosion-resistant reservoir liner, wall-mounted, sized as shown on plans.

**Instant Electric Water Heater:** Instant electric water heater must be a tankless type with minimum capacity as shown on plans. The flow switch must be of mechanical pressure differential type. Heater must be equipped with a safety high-limit switch with automatic reset, and AWG#10 wire harness with a minimum length of 24".

## **MISCELLANEOUS EQUIPMENT**

#### Electric Water Coolers:

Where shown on the plans as accessible, electric water coolers must be wall mounted, wheelchair accessible, with heavy duty galvanized steel frame, one-piece stainless steel top, and stainless steel cabinet. Electric water coolers must have self-closing, front and side mounted pushbar actuators, shielded bubbler, and automatic stream regulator. Electric water coolers must include loose key stop, adjustable thermostat and cast brass p-trap. Compressors must be hermetically sealed, positive start with fan cooled condenser. Electric water coolers must have a 3-wire grounded cord and plug.

Electric water coolers must produce a minimum of 7.6 gallons of 50 °F water per hour based on an inlet water temperature of 80 °F and an ambient room temperature of 90 °F.

#### Emergency Eyewash and Showers:

Emergency eyewash and showers must be combination drench shower and eyewash, with 1-1/4 inch minimum powder-coated galvanized or stainless steel pipe stand with 9-inch floor mounting flange. Shower heads must have at least a 10-inch diameter ABS plastic head with a stay-open ball valve operated by a rigid pull-rod with triangular handle and equipped with 8-1/2 by 11 inch emergency identification sign complying with ANSI Z 358.1 § 7.4.3.

Eyewash units must have at least a 10-inch diameter stainless steel bowl, with anti-surge heads and circular chrome-plated spray ring operated by a stay-open ball valve operated by a flag or push handle. Eyewash units must be mounted on the pipe stand and include a dust cover assembly.

Emergency eyewash and showers must comply with 8 CA Code of Regs § 5162 and must be designated accessible and barrier-free by the manufacturer.

#### Sealant: Sealant must be:

1. One component, low modulus silicone
2. Non-acid curing
3. Designed for plumbing fixture applications
4. Compliant with ASTM C 920
5. Compliant with VOC requirements of local air district
6. Not subject to sag or flow and tack-free in 1 hour
7. Capable of 100 percent extension and 50 percent contraction without failure

Shower Stall: Shower stall must be as shown on plans, with the following fittings and accessories: soap dish, chromium plated metal or stainless steel curtain rod, chromium plated steel showerhead with ball joint, chromium plated steel bent arm and wall flange, chromium plated metal outlet drain with removable strainer, chromium plated single-handle control thermostatic mixing valve that has control cartridge with no metal to metal wearing surfaces, and vinyl plastic shower curtain with noncorrosive curtain hooks.

#### Sealant: Sealant must be:

1. Silicone
2. One component
3. Low modulus
4. Non-acid curing
5. Recommended for plumbing fixture applications
6. Compliant with ASTM C 920
7. Compliant with VOC requirements of local air district
8. Tack-free in one hour
9. Not subject to sag or flow
10. Capable of 100 percent extension and 50 percent contraction without failure

### **PART 3 - EXECUTION**

## **GENERAL**

Seal fixtures to the wall and floor with sealant bead.

Install wall mounted fixtures on concealed carriers designed to support weight of fixture from the floor. Carriers must be made for the specific fixture to be supported and for the installation conditions.

Furnish fixtures with accessible compression stops.

Wrap hot water supply, trap and tailpiece on lavatories under "Pipe Insulation," of these special provisions.

## **INSTALLATION**

Install flush valves for fixtures shown on the plans as disabled accessible so that the valve handle is on the widest side of the toilet space.

Install water closets under the manufacturer's instructions. Water closets shown on the plans as disabled accessible must be installed with disabled accessible flush valve. Install water closet seats.

Install urinals under the manufacturer's instructions.

Install service sink faucets on the wall above the sink-back with the spout outlet 16 inches above the service sink rim.

Install water heaters with seismic restraints, inlet ball valve, insulating connections, and 3/4-inch pressure and temperature relief valve. Install relief valve drain pipe as shown on the plans.

Install emergency eyewash and shower with a rigid bracket located 48 inches above the floor. Bracket must be at least 16-gauge galvanized or powder coated steel and attached to the wall.

## **12-15.06 HEATING, VENTILATING AND AIR CONDITIONING EQUIPMENT AND SYSTEMS**

### **PART 1 - GENERAL**

Scope: This work shall consist of furnishing, installing and testing heating, ventilating and air conditioning (HVAC) equipment and systems in accordance with the details shown on the plans and these special provisions.

The performance rating and electric service of the HVAC equipment shall be as shown on the plans.

Temperature Controls: Thermostats, relays, timer switches, and other sensor type control devices required for this work shall be furnished and installed by the supplier of the heating, ventilating and air conditioning equipment. All temperature control wiring shall be furnished and installed in accordance with the requirements specified in Section 12-16, "Electrical," of these special provisions.

Codes and Standards:

Equipment and systems shall conform to California Energy Commission Regulations and, where applicable, shall be Air-Conditioning and Refrigeration Institute (ARI), American Gas Association (AGA), Sheet Metal and Air Conditioning Contractors National Association, Inc. (SMACNA), and Air Movement and Control Association International (AMCA) approved for performance ratings and application shown on the plans.

Any appliance for which there is a California standard established in the Appliance Efficiency Regulations may be installed only if the manufacturer has certified to the Commission, as specified in those regulations, that the appliance complies with the applicable standards for that appliance. Space conditioning equipment may be installed only if the manufacturer has certified that the equipment meets or exceeds all applicable efficiency requirements listed in the Appliance Efficiency Regulations.

## **SUBMITTALS**

Product Data: Product literature and installation instructions for all products.

## **PART 2 - PRODUCTS**

HVAC and refrigeration systems refrigerants must not contain chlorofluorocarbons (CFCs).

HVAC and refrigeration systems containing 0.5 pounds or more of refrigerant must contain refrigerants with low combined potential for contributing to global warming and ozone depletion.

Adhesives, sealants, and sealant primers must comply with local requirements.

## **HEATING AND COOLING UNITS**

Furnace:

Furnace shall be gas fired, sealed combustion system, induced draft, high efficiency, listed condensing furnace. Furnace shall be AGA approved for natural gas, shall be equipped with electronically controlled blower and hot surface ignition. Furnace cabinet shall have an enamel finish and the primary and secondary heat exchangers shall be corrosion resistant construction. Blower shall be vibration-isolated.

Furnace shall be listed for use of plastic combustion and vent pipe from the unit to the outside of the building. Combustion air and vent pipe shall extend through a single concentric terminal assembly supplied by the furnace manufacturer. Dual pipe penetrations shall not be allowed.

The fan and fan motor shall provide the specified air circulation, with filters, against external static pressure as shown on the plans.

Furnace shall have multi-speed fan motor with thermal overload protection and shall be factory wired for a single power connection, including provisions for optional air conditioning, and low voltage control circuit with a terminal board. Furnace shall have auxiliary contacts to de energize system when smoke detectors indicate the presence of smoke.

Split system furnace/air conditioner shall include a cased evaporator coil, a remote outdoor condensing unit and economizer. Indoor evaporator coil shall be a factory assembled unit by the forced air furnace equipment manufacturer. Furnace and cased coil unit shall be designed to mate with each other. Unit shall be provided with a refrigerant metering device and corrosion-resistant condensate drain pan with drain connections. The wetted coil air friction shall not exceed 0.3-inch water column. The cased coil unit shall have the same finish as the furnace.

Refrigerant lines and condensate drain piping shall be as specified elsewhere in these special provisions.

Outdoor condensing unit shall be same manufacturer as indoor unit; air cooled, factory assembled, charged and tested, and wired for single point power and control connections. Unit shall be enclosed in a weatherproof acoustically lined cabinet with access panels and a baked-on enamel finish. The compressor shall be the hermetically sealed type, pressure relief valve, high and low pressure switches, liquid-line filter-dryer, crankcase heater, short cycling protection, and service valves.

Economizer: Economizer shall be modulating type assembly consisting of 2 dampers and motor(s) with linkage, designed for full range modulation of the outdoor and return air dampers. Dampers shall be temperature controlled and a barometric damper sized to relief a minimum of 100 percent of the rated liters per minute shall be provided. Wiring for the economizer shall be compatible with the furnace/air conditioner installed and shall provide for automatic compressor lockout and minimum position damper control to provide the minimum outside air as shown on the plans.

Evaporative Cooler:

Evaporative cooler shall be a modular type factory assembled unit having two removable heavy gage galvanized steel end panels with 8" cellulose paper evaporative media filters and local disconnect. The cabinet shall be fabricated from galvanized steel sheet metal. Cabinet and all steel components shall be chemically treated and covered with an electrostatically-applied polyester resin powder electrostatically-applied polyester finish at a thickness of 1 to 1.5 mil, and vacuum formed ABS plastic water reservoir finish, and a bottom drain. . The drain fitting shall be threaded for connection to drain piping.

All parts of the float valve and recirculating pump, which come into contact with water, shall be of stainless steel or other corrosion resistant material.

The control thermostat switch shall be supplied by the evaporative cooler manufacturer.

The evaporative cooler shall be Williams; Essick; AeroCool; or equal.

#### High Intensity Infrared Radiant Heater:

High intensity infrared radiant heater shall be AGA approved for natural gas and shall be equipped with intermittent ignition device, electric flame safety, and junction box secured to the unit. All components shall be factory assembled. Radiant heater shall be provided with an aluminum rectangular reflector and mounting brackets. High intensity infrared radiant heater shall be Solaronics; Lambert; or equal.

Automatic controls shall be provided to shut off the electric ignition if the pilot fails to light.

### **FANS AND VENTILATORS**

Exhaust Fan (Ceiling Mounted): Exhaust fan shall be ceiling mounted, AMCA certified and shall be equipped with grille, backdraft damper and metal housing. Exhaust fan motor shall have integral thermal overload protection. Ceiling exhaust fan shall be Breidert, ILG, Penn, or equal.

Vestibule Fan (VF): Vestibule fan shall be ceiling mounted, AMCA certified and shall be equipped with grille and metal housing. Exhaust fan motor shall have integral thermal overload protection. Ceiling exhaust fan shall be Breidert, ILG, Penn, or equal.

#### Declassification Fan:

Declassification fan shall be centrifugal type, Greenheck, Acme, Ilg or equal. Fan shall be roof mounted as shown on plans. Fan shall have adjustable belt drive, spark resistant fan wheel, screened discharge outlet, backdraft damper, drain fitting, vibration isolators and complete weatherproof enclosure.

Fan shall be AMCA certified and exhaust fan motor shall be equipped with integral thermal overload protection and local disconnect.

Combination Heat Lamp/Light/Fan: Combination heat lamp/light/fan shall be ceiling mounted, recessed type unit with metal housing, grille and backdraft damper. Ducting size shall be as required by the manufacturer. Combination heat lamp/light/fan shall be Broan, No. 164; Nutone, No. 9427; or equal.

### **HVAC CONTROLS**

Radiant Heater Thermostat: Radiant heater thermostat shall be low voltage type, single set point range internally adjustable from 40°F to 80°F, and provided with a blank cover.

Thermostat (Office Only): Thermostat shall be 24-volt, 7-day programmable, electronic heating/cooling thermostat, with the ability to program the fan-on mode during normal working hours, and fan-off mode during unoccupied periods. Thermostat shall be provided with sub-base selector switches for "AUTO-HEAT-OFF-COOL" and fan "AUTO-ON". Thermostat shall be auto-changeover type, and have full temperature range setback capacity. Thermostat shall be Robertshaw, 7900; Honeywell, T7300; or equal.

Time Switch: Time switch shall be four -hour, spring-wound, "OFF" type time switch without a "HOLD" feature. Time switch shall be Intermatic, Type FF34H; Tork, C504H Series; or equal.

## **AUXILIARY HVAC COMPONENTS**

Unless specified herein, all components shall be sized and have the characteristics as shown on the plans.

Rigid Ductwork: Rigid ductwork shall be galvanized steel sheet metal conforming to ANSI/SMACNA 006, "HVAC Duct Construction Standards—Metal and Flexible." Galvanized steel shall be cleaned by washing with mineral spirit solvent sufficient to remove any oil, grease or other materials foreign to the galvanized coating.

Spiral Duct: Spiral duct shall be prefabricated type.

Duct Supports: Duct supports shall be hot-dip galvanized steel.

Flexible Ductwork: Flexible ductwork shall be UL 181, Class 1 air duct rated and shall meet the requirements of NFPA 90-A. Duct shall have steel helix wire, flexible insulation, minimum thermal resistance of R-8, and flame resistant vapor barrier. Inner and outer surfaces shall be non-metallic. Outer surface shall be Copolymer or Mylar, factory applied.

Flexible Connection: Flexible connection shall be prefabricated type and shall be commercial quality flexible glass fabric coated on both sides with neoprene or hypalon.

Ceiling Diffuser (for Gypsum Board Ceilings): Ceiling diffuser for gypsum board ceilings shall be rectangular or square type. Diffuser shall be steel with oven baked-on enamel bone white dull finish or extruded aluminum, equipped with a removable core and a standard flanged frame with sponge rubber or felt gasket. Diffuser shall have individually adjustable curved blades, counter-sunk screw holes, shall be surface mounted, with face velocity less than 600 feet per minute; Titus, 250; Air Mate, 400-O; Hart and Cooley, A40; or equal.

Return Register (for Gypsum Board Ceilings): Return register for gypsum board ceilings shall be rectangular or square, and shall be steel with oven baked-on enamel bone white dull finish or extruded aluminum, fixed bar type, die formed louvers set at 45 degrees, ½-inch spacing maximum, surface mounted; Titus, 335; Air Mate, 280; or equal.

Balance Damper: Balance damper shall be butterfly type, 16-gage (minimum) galvanized steel blade, end bearings with steel shaft and locking and indicator operator. Balance damper shall be Ventlock, Young, Anemostat, or equal.

Air Filter (for HVAC Units): Air filter shall be permanent metal viscous impingement type, constructed of aluminum or galvanized steel, 2-inch minimum thickness and be approved for Class 2 use. Filter shall have a minimum efficiency rating of 50 percent as determined when tested in accordance with ASHRAE Test Standard 52.2. Filter shall be mounted in 16-gage galvanized steel holding frames. Two cans of recharging adhesive shall be provided with the filter and shall be nearly odorless, have a high flash point, rapid wetting characteristics, dye tracer and be water soluble. Filter shall be Airspan, Type AF, Eco-Air Products, Inc., Type HIA; Snyder General, Type AAF; or approved equal.

Vents and Flues (for Heaters): Vents and flues for heaters shall be approved Type B or approved plastic vents for condensing furnaces.

Refrigerant and Condensate Drain Piping: Refrigerant and condensate drain piping shall be rigid, Type L copper tubing with brazed solder fittings. The suction line shall be insulated, with vapor barrier and shall be weatherproofed for exterior installation. Factory sealed tubing shall not be used.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Heaters:

Furnaces and radiant heaters shall be installed in such a manner as to insure adequate furnace clearance and separation of combustion air and circulating air. Appliances shall be connected to a rigidly mounted gas pipe supply system by an AGA approved flex connector and gas valve.

Radiant heaters shall be suspended by ¼-inch minimum carbon steel chain and eye bolts. Heaters shall be angled to minimize heating of adjacent walls.

#### Ventilators:

Exhaust ducts connected to exhaust fans shall be routed as shown on the plans and shall terminate in a weatherproof cap. Duct sizes shall be as shown on the plans or as recommended by the manufacturer, whichever is larger.

Roof fans shall be curb mounted.

Condensate Drains: Air conditioning units shall be provided with condensate drain trap and piping. Outdoor piping shall extend to the drain, or as shown on the plans. Air gap shall be installed where required by code. Interior condensate drain piping shall be insulated with foam insulation.

Evaporative Cooler: Roof mounted evaporative cooler shall be provided with drain piping routed to the nearest roof drain. Air gap shall be installed where required by code. Wall mounted evaporative cooler shall be provided with drain piping routed to within 6 inches of the ground.

Mounting Heights: Thermostats and time switches shall be installed as shown on the plans.

#### Temperature Controls:

Temperature control for each radiant heater shall be provided by a thermostat and time switch. Thermostat shall be set for 70°F. The thermostat shall be wired in series with the time switch and shall de-energize the heater above the setpoint.

Each thermostat shall be insulated from the outside walls, and shall be provided with an aluminum radiation shield above the thermostat.

The time switch shall be installed beside the thermostat or where shown on the plans.

Air Outlets: Volume dampers shall be furnished and installed for all diffusers. Blocking shall be provided on all sides of air outlets between ceiling or wall joists. Collars shall be supplied for all outlets and shall be taped and sealed in place.

Vents and Flues: Vents and flues shall be securely fastened to the building construction, shall be provided with a collar at all ceiling penetrations and shall terminate with a weather cap fabricated of the same material.

Access Door: Access doors shall be provided in rigid ducts and plenums for access to volume dampers, fire dampers and control devices located within such ductwork; and shall be provided at such other locations as shown on the plans.

#### Ducts and Vents:

Ductwork within the building shall be installed to clear lighting fixtures, doors, windows and other obstructions. Ductwork shall preserve head room and shall keep openings and passageways clear whether shown on plans or not.

Ductwork shall be installed and braced according to the latest edition of the SMACNA "HVAC Duct Construction Standards—Metal and Flexible."

Slopes in sides at transitions shall be approximately one to five. The ductwork system shall not contain abrupt changes or offsets of any kind unless otherwise shown on the plans.

Where ducts pass through walls, ceilings, galvanized sheet metal or steel angle collars shall be installed around the ducts.

Duct sections shall be connected by beaded sleeve-type couplings using joint sealer as recommended by the duct manufacturer. Duct sections shall be mechanically fastened with pop rivets or sheet metal screws and sealed with mastic or insulated, reinforced silver tape.

Flexible connections shall be provided at both inlet and outlet of fan coil and ventilating units.

Sheet metal plenums shall be adequately braced and supported from the floor or structure with structural steel angles to prevent sagging, flexing and vibration.

All standing seams and transverse joints of supply, return and exhaust ducts and seams around plenums, fan and coil housings shall be sealed with sealant and taped.

Ductwork Identification:

Ductwork shall be identified as follows:

Duct Description	Identification Symbol
Supply duct	S
Return duct	R
Exhaust duct	EXH
Outside air duct	OA

Identification symbol letters shall be stenciled at locations visible from the access routes to be used by maintenance workers. Such letters shall be painted with black colored paint and shall be a minimum of 2 inches high.

**FIELD QUALITY CONTROL**

Pre-test Requirements:

Before starting or operating systems, equipment shall be cleaned and checked for proper installation, lubrication and servicing.

In each system, at least one air path, from fan to final outlet, shall have all balance dampers open. The final air quantities shall be achieved by adjusting the volume dampers or the fan RPM.

Final adjustments and balancing of the systems shall be performed in such a manner that the systems will operate as specified and as shown on the plans.

The Contractor shall replace or revise any equipment, systems or work found deficient during tests.

All automatic operating devices which are pertinent to the adjustment of the aforementioned air systems shall be set and adjusted to deliver the required quantities of air and at temperatures specified by the Engineer. All control work shall be done in collaboration with the control manufacturer's representative.

Project Completion Tests:

The Engineer shall be notified at least 3 working days in advance of starting project completion tests.

The project completion tests shall consist of the following:

1. Air Systems: All air systems shall be tested and balanced to the conditions set forth on the plans and in these special provisions. This work shall be performed by an Associated Air Balance Council (AABC) or National Environmental Balancing Bureau (NEBB) certified contractor. The air systems include, but are not necessarily limited to, the following:
  - a. Supply air systems
  - b. Return air systems
  - c. Exhaust air systems
2. Operational Data: The tests shall include operation of the heating, cooling, and ventilating systems for not less than two 8-hour days, each system shall operate at not less than 90 percent of their full specified capacities.

The required data shall be accurately measured. The data shall be measured during one operational cycle in the presence of the Engineer and shall be submitted for approval.

The following data shall be measured and tabulated:

- a. Ambient temperatures and conditions, °F
- b. Supply and return air quantities, CFM, each room
- c. Thermostat set point, °F
- d. Air temperatures at room center, °F
- e. Fan motor amperages and voltages
- f. System static pressures, inches of water column

## **SECTION 12-16. ELECTRICAL**

### **12-16.01 ELECTRICAL WORK**

#### **PART 1 - GENERAL**

##### **SUMMARY**

Scope: This work shall consist of performing electrical work including furnishing all labor, materials, equipment and services required to construct, connect and install the complete electrical system in accordance with the details shown on the plans and these special provisions.

Related Work: Earthwork, foundations, sheet metal, painting, mechanical and such other work incidental to and necessary for the proper installation and operation of the electrical system shall be done in conformance with the provisions elsewhere in these special provisions.

##### **SYSTEM DESCRIPTION**

System layouts are generally diagrammatic and location of equipment is approximate. Exact routing of conduits and other facilities and location of equipment is to be governed by structural conditions and other obstructions, and shall be coordinated with the work of other trades. Equipment requiring maintenance and inspection shall be located where it is readily accessible for the performance of such maintenance and inspection.

##### **QUALITY ASSURANCE**

Regulatory Requirements: All electrical work performed and materials installed shall be in conformance with the provisions in Section 74-1.02, "Regulations and Code," of the Standard Specifications, and the requirements in the CA Code of Regs, Title 24, Part 6, "California Energy Code."

#### **PART 2 - PRODUCTS (Not applicable)**

#### **PART 3 - EXECUTION**

## **TESTING**

After the installation work for the various systems has been completed, each electrical system shall be tested in the presence of the Engineer to demonstrate that the electrical systems function properly. The Contractor shall make necessary repairs, replacements, adjustments and retests at his expense.

Final inspection for the completed electrical system will take place after all the various systems have been tested.

The Engineer shall be notified 15 days in advance of testing and State personnel training on the jobsite. When a manufacturer's representative is required on the jobsite as specified in these special provisions, the Engineer shall be notified 15 days in advance.

When the State Fire Marshal is required on the jobsite as specified in these special provisions, the Engineer shall be notified 15 days in advance.

## **12-16.02 BASIC MATERIALS AND METHODS**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing the basic materials of the electrical work, including conduits, conductors, fittings, and wiring devices, in accordance with the details shown on the plans and these special provisions. The basic materials shall include those accessories and appurtenances, not mentioned, that are required for the proper installation and operation of the electrical system.

Related Work:

Roof penetrations shall be flashed and sealed watertight in conformance with the provisions in "Sheet Metal Flashing" in Section 12-7, "Thermal and Moisture Protection," of these special provisions.

#### **SUBMITTALS**

Product Data:

A list of all materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval.

Manufacturer's descriptive data shall include catalog cuts, complete description, performance data and installation instructions for the materials and equipment specified herein.

#### **QUALITY ASSURANCE**

### **PART 2 - PRODUCTS**

#### **CONDUITS AND FITTINGS**

Rigid Steel Conduit and Fittings: Rigid steel conduit and fittings shall be Type 1 in conformance with the provisions in Section 86-2.05A, Subparagraph A, "Material," of the Standard Specifications.

PVC Coated Rigid Steel Conduit and Fittings: PVC coated rigid steel conduit and fittings shall be Type 2 in conformance with the provisions in Section 86-2.05A, Subparagraph B, "Material," of the Standard Specifications.

Electrical Metallic Tubing (EMT) and Fittings:

EMT shall be formed of cold rolled strip steel, zinc coated, and interior lined in conformance with the requirements in UL Standard 797 and ANSI C 80.3.

Couplings shall be electroplated, rain and concrete tight, gland compression type, steel body couplings with malleable iron nuts.

Connectors shall be electroplated, rain and concrete tight, gland compression type, steel body connectors with male hub, malleable iron nut and insulated thermoplastic throat.

#### Flexible Metallic Conduit and Fittings:

Flexible metallic conduit shall be fabricated in continuous lengths from galvanized steel strip, spirally wound and formed to provide an interlocking design.

Fittings shall be electroplated screw-in type with malleable cast iron body and threaded male hub with insulated throat.

**Rigid Non-Metallic Conduit and Fittings:** Rigid non-metallic conduit and fittings shall be Type 3 in conformance with the provisions in Section 86-2.05A, Subparagraph C, "Material," of the Standard Specifications.

**Liquidtight Flexible Metallic Conduit and Fittings:** Liquidtight flexible metallic conduit and fittings shall be Type 4 in conformance with the provisions in Section 86-2.05A, Subparagraph D, "Material," of the Standard Specifications.

### **CABLES AND CONDUCTORS**

#### Conductors:

Conductors shall be stranded copper wire of the size shown on the plans. Conductors shall conform to the requirements in ASTM B3 and ASTM B8. Conductor size shall be based on AWG, except that conductor diameter shall be not less than 98 percent of the specified AWG diameter.

Conductor insulation types shall be as follows:

1. Conductors in control panel enclosures shall be Type MTW.
2. Conductors in wet, underground, or outdoor locations shall be Type XHHW-2.
3. All conductors other than Type MTW and XHHW-2 shall be Type THHN.

**Wire Connections and Devices:** Wire connections and devices shall be pressure or compression type, except that connectors for No. 10 AWG and smaller conductors in dry locations may be preinsulated spring-pressure type.

### **ELECTRICAL BOXES**

#### Outlet, Device and Junction Boxes:

Boxes shall be galvanized steel boxes with knock-outs and shall be the size and configuration best suited to the application indicated on the plans. Minimum size of outlet, device, or junction boxes shall be 4 inches square by 1-1/2 inches deep. Flush-mounted single device and surface mounted light fixture boxes shall have four inch square single raised device covers.

Flush-mounted boxes shall have stainless steel covers, 0.04 inches thick. Surface-mounted boxes shall have galvanized steel covers with metal screws. Cover screws shall be metal with finish to match cover finish.

Sectional device plates will not be permitted.

Cast boxes and weatherproof boxes shall be cast iron boxes with threaded hubs in conformance with the requirements in NEMA FB-1, and shall be of the size and configuration best suited to the application shown on the plans. Minimum size of outlet, device, or junction boxes shall be 4 inches square by 1-7/8 inches deep.

Cast boxes and weatherproof boxes shall have cast iron covers with gaskets.

Weatherproof device boxes shall have gasketed covers with gasketed hinged flaps to cover switches and receptacles.

#### Communication Outlet Box:

Communication outlet box shall be 4-inch square box with faceplate. Boxes on stud walls shall have raised device covers.

Faceplate shall accommodate modular type communication outlet jacks and include tear-resistant icons showing computer icon for data jacks and telephone icon for telephone jacks.

Communication Outlet Jack: Communication outlet jack shall be either data or telephone jacks. Color shall be ivory unless otherwise shown on the plans. Each communication outlet jack shall include two data jacks and two telephone jacks installed in the faceplate. Jacks shall be as follows:

1. Data jack shall be color-coded, eight-position modular receptacle units with integral IDC-type terminals and shall snap into Cat 6 faceplates or termination boxes. Data jack shall be UL approved.
2. Telephone jack shall be color-coded, modular RJ-11 receptacle units with integral IDC-type terminals and shall snap into Cat 6 faceplates or termination boxes.

#### Pull Boxes:

Pull boxes shall be in conformance with the provisions in Section 86-2.06, "Pull Boxes," of the Standard Specifications.

Traffic rated pull boxes shall be in conformance with the provisions in Section 86-2.07, "Traffic Pull Boxes," of the Standard Specifications.

Electrical pull box covers and traffic rated pull box covers shall be marked "ELECTRICAL." Standby power pull box covers shall be marked "STANDBY POWER." Telephone pull box covers shall be marked "COMMUNICATION." Radio pull box covers shall be marked "RADIO."

### **RECEPTACLES AND SWITCHES**

Ground Fault Circuit Interrupter Receptacle, (GFCI): GFCI receptacle shall be NEMA Type 5-20R, feed-through type, ivory color, 3-wire, 20-ampere, 125-volt, specification grade, duplex receptacle suitable for wiring with stranded conductors. Receptacle shall detect and trip at current leakage of 5 mA and shall have front mounted test and reset buttons.

Duplex Receptacle: Duplex receptacle shall be NEMA Type 5-20R, 3-wire, 20-ampere, 125-volt, ivory color, specification grade duplex receptacle suitable for wiring with stranded conductors.

Reel Light Receptacle: Reel light receptacle shall be NEMA Type L5-20R, 3-wire, 20-ampere, 125-volt, twist-lock, single, specification grade receptacle suitable for wiring with stranded conductors.

Welding Receptacle: Welding receptacle shall be surface-mounted, 600-volt, 60-ampere, 3-wire, 4-pole, circuit breaking, weather resistant, raintight receptacle with female interior assembly. The receptacle shall be complete with back box, angle adapter and spring door. The receptacle shall be grounded through extra pole and shell, and shall have crimp or solder type connections. A mating plug for each receptacle installed shall be provided.

Vehicle Lift Receptacle: Vehicle lift receptacle shall be surface-mounted, 600-volt, 60-ampere, 3-wire, 4-pole, circuit breaking, weather resistant, raintight receptacle with female interior assembly. The receptacle shall be complete with back box, angle adapter and spring door. The receptacle shall be grounded through extra pole and shell, and shall have crimp or solder type connections. A mating plug for each receptacle installed shall be provided.

Remote Declassification Fan Switch: Remote declassification fan switch shall be 20-ampere, 120/277-volt, quiet type, specification grade, with silver alloy contacts. Switch shall be suitable for wiring with stranded conductors.

Remote Exhaust Fan Switch: Remote exhaust fan switch shall be 20-ampere, 120/277-volt, quiet type, specification grade, with silver alloy contacts. Switch shall be suitable for wiring with stranded conductors.

Single Pole Switch: Single pole switch shall be 20-ampere, 120/277-volt, quiet type, specification grade, with silver alloy contacts. Switch shall be suitable for wiring with stranded conductors.

Three-way Switch: Three-way switch shall be 20-ampere, 120/277-volt, quiet type, specification grade, with silver alloy contacts. Switch shall be suitable for wiring with stranded conductors.

Four-way Switch: Four-way switch shall be 20-ampere, 120/277-volt, quiet type, specification grade, with silver alloy contacts. Switch shall be suitable for wiring with stranded conductors.

Remote Bypass Timer Switch: Remote bypass timer switch shall be a spring wound mechanical timer with a rotary dial without a "HOLD" feature. Contacts shall be rated 20 amperes at 120 volts. Time adjustments shall range from zero to two hours.

Timer Switch for Heat Lamp: Timer switch shall be a spring wound mechanical timer with a rotary dial without a "HOLD" feature. Contacts shall be rated 20 amperes at 120 volts. Time adjustments shall range from zero to 30 minutes.

Timer Switch for Vestibule Fan: Timer switch shall be 7 day, 120-volt, AC, digital timer switch with single pole single-throw relay outputs rated 10 amperes resistive at 250 volts, AC. The timer shall have manual override and daylight time adjustment key. The timer switch shall meet or exceed the following requirements:

- Number of set points: 16 with one minute resolution
- Clock format: .AM-PM or 24 hours
- Load indicator: LCD
- Battery: lithium
- Clock Voltage: 120-volt, AC

Receptacles and switches installed in finish gypsum wallboard shall be ivory color. At all other locations, devices shall be of brown or black color.

## **OCCUPANCY SENSOR SWITCHES**

Wall Switch Occupancy Sensor, Type 1:

Wall switch occupancy sensor, Type 1 shall be a wall-mounted, passive infrared sensor switch with time delay.

The switch shall be rated at 800 watts (minimum) incandescent or 1200-VA (minimum) fluorescent at 120 volts, operate on 120/277 volts and be installed in a device box with single raised device cover.

The switch shall be capable of manual on/automatic off mode.

The switch shall cover a minimum of 900 square feet of floor area, and have a field of view of not less than 180 degrees.

The switch shall be compatible with all electronic ballasts and have no leakage to load in the "OFF" mode.

The time delay off setting shall be adjustable from 30 seconds to 30 minutes, initially set at 10 minutes.

Light level adjustment shall be adjustable from 3 fc to 180 fc, initially set at 75 fc.

#### Wall Switch Occupancy Sensor, Type 2:

Wall switch occupancy sensor, Type 2 shall be a wall-mounted, passive infrared dual relay sensor switch with time delay.

Primary relay shall be rated at 800 watts (minimum) incandescent or 1200 VA (minimum) fluorescent at 120 volts.

Secondary relay shall be rated at 800 watts (minimum) incandescent or 800 VA (minimum) fluorescent at 120 volts.

The relays in the sensor shall be capable of simultaneously controlling 2 different lighting loads or circuits. The second relay shall be independent allowing for two-circuit control.

Switch shall operate on 120/277 volts and be installed in a device box with single raised device cover.

The unit shall have dual manual override switches that can be used to toggle manual on/automatic off mode for each lighting load.

The switch shall cover a minimum of 1000 square feet of floor area, and have a field of view of not less than 180 degrees.

Switch shall be compatible with all electronic ballasts and have no leakage to load in the "OFF" mode.

The time delay off setting shall be adjustable from 30 seconds to 30 minutes, initially set at 10 minutes.

Light level adjustment shall be adjustable from 10 fc to 150 fc, initially set at 75 fc.

Switch shall have audible alert to indicate impending light shut off.

#### Ceiling Mounted Occupancy Sensor:

Single Pole Ceiling mounted occupancy sensor:

Ceiling mounted occupancy sensor shall be a single pole, line voltage, with integrated line switching relay and with passive dual technology (PDT) first see motion using passive infrared (PIR) and then engage microphonics to hear sounds that continued occupancy .

Occupancy sensor shall be rated at 800 watts at 120 volts or 1200 watts at 277 volts, and may be wired in parallel.

Occupancy sensor shall provide 12 feet radial coverage when mounted to 9 feet height ceiling with viewing angle of 56° in a 360° conical shaped pattern.

The switch shall have LED indicator that remains active at all times in order to verify detection within the area to be controlled.

Switch shall be compatible with all electronic ballasts and shall have no leakage to load in the "OFF" mode.

The time delay off setting shall be adjustable from 30 seconds to 20 minutes, initially set at 10 minutes.

Two Pole Ceiling Mounted Occupancy Sensor:

Ceiling mounted occupancy sensor shall be a two pole, line voltage, with two self-contained line switching relays and with passive dual technology (PDT). Each pole shall have an internal adjustable timer, programmable at 2.5 minutes increments from 30 seconds to 20 minutes, factory set at 10 minutes.

Occupancy sensor shall be rated at 800 watts at 120 volts or 1200 watts at 277 volts, and may be wired in parallel.

Occupancy sensor shall provide 12 feet radial coverage when mounted to 9 feet height ceiling with viewing angle of 56° in a 360° conical shaped pattern.

The switch shall have LED indicator that remains active at all times in order to verify detection within the area to be controlled.

Switch shall be compatible with all electronic ballasts and shall have no leakage to load in the "OFF" mode.

### **MISCELLANEOUS MATERIALS**

**Warning Tape:** Warning tape shall be 4 inches wide and contain the printed warning "CAUTION ELECTRICAL CONDUIT" in bold 3/4-inch black letters at 30-inch intervals on bright orange or yellow background. The printed warning shall be non-erasable when submerged under water and resistant to insects, acids, alkali, and other corrosive elements in the soil. The tape shall have a tensile strength of not less than 155 pounds per 4-inch wide strip and shall have a minimum elongation of 700 percent before breaking.

**Pull Rope:** Pull rope shall be nylon or polypropylene with a minimum tensile strength of 1800 pounds.

**Watertight Conduit Plug:** Watertight conduit plug shall be a hollow or solid stem expansion plug complete with inner and outer white polypropylene compression plates and red thermoplastic rubber seal. Seal material shall be non-stick type rubber resistant to oils, salt, and alkaline substances.

**Anchorage Devices:** Anchorage devices shall be corrosion resistant, toggle bolts, wood screws, bolts, machine screws, studs, expansion shields, or expansion anchors as required by the supporting device.

**Electrical Supporting Devices:**

Electrical supporting devices shall be one hole conduit clamps with clamp backs, hot-dipped galvanized, malleable iron.

Construction channel shall be 1-5/8 inches x 1-5/8 inches, 12-gage galvanized steel channel with 17/32-inch diameter bolt holes, 1-1/2 inches on center in the base of the channel.

**Ground Rod:** Ground rod shall be a 3/4-inch (minimum) galvanized or copper clad steel rod, 10 feet long, and shall conform to the requirements in NEMA GR-1.

## **PART 3 - EXECUTION**

### **INSTALLATION**

**Conduit:**

Conduits shall be installed as specified in Section 86-2.05C, "Installation," of the Standard Specifications and the following:

1. All conduits shall be rigid steel except as follows:
  - a. EMT may be used in walls and furred spaces and for exposed work indoors above the switch height.

- b. Flexible metallic conduit shall be used to connect suspended lighting fixtures, motors, HVAC equipment, and other equipment subject to vibration in dry locations.
  - c. Liquidtight flexible metallic conduit shall be used to connect motors, HVAC equipment, and other equipment subject to vibration in wet or exterior locations.
  - d. PVC coated rigid steel conduit shall be used and base elbows and vertical risers through concrete slabs.
  - e. Rigid non-metallic conduit shall be used in underground, exterior locations, outside the building foundations.
2. Rigid non-metallic conduit bends of 30 degrees or greater shall be factory-made long radius sweeps. Bends less than 30 degrees shall be made using an approved heat box.
  3. Locations of conduit runs shall be planned in advance of the installation and coordinated with the ductwork, plumbing, ceiling and wall construction in the same areas and shall not unnecessarily cross other conduits or pipe, nor prevent removal of ceiling tiles or panels, nor block access to mechanical or electrical equipment.
  4. Where practical, conduits shall be installed in groups of parallel, vertical or horizontal runs and at elevations that avoid unnecessary offsets.
  5. Exposed conduit shall be installed parallel and at right angles to the building lines.
  6. Conduits shall not be placed closer than 12 inches from a parallel hot water or steam pipe or 3 inches from such lines crossing perpendicular to the runs.
  7. All raceway systems shall be secured to the building structures using specified fasteners, clamps and hangers.
  8. All metal conduits, fittings, and elbows in contact with soil or concrete shall be wrapped with a double layer of 20-mil thick pipe wrapping tape.
  9. Single conduit runs shall be supported by one hole conduit clamps. Single conduit runs on walls in damp or wet locations shall be installed with clamp backs to space conduit off the surface.
  10. Multiple conduit runs shall be supported with construction channel secured to the building structure. Conduits shall be fastened to construction channel with channel compatible pipe clamps.
  11. Raceways of different types shall be joined using approved couplings or transition fittings.
  12. Expansion couplings shall be installed where conduit crosses a building separation or expansion joint.
  13. All floor and wall penetrations shall be sealed watertight.

#### Conduit Terminations:

Rigid steel conduits shall be securely fastened to cabinets, boxes and gutters using 2 locknuts and insulating metallic bushing. EMT shall be securely fastened to cabinets, boxes and gutters using connectors. Conduit terminations at exposed weatherproof and cast boxes shall be made watertight using hubs.

Grounding bushings with bonding jumpers shall be installed on all conduits terminating at concentric knockouts and on all conduits containing service conductors, grounding electrode conductor, and conductors feeding separate buildings.

Rigid non-metallic conduit shall be terminated inside the underground pull boxes with an approved conduit bushing or fitting. All conduits shall enter vertically through the bottom of pull boxes.

All future conduits terminated in underground pull boxes or left exposed indoors and outdoors shall be provided with watertight conduit plugs.

**Warning Tape:** Warning tape shall be placed over each conduit in a trench. Each warning tape shall be centered over the conduit and shall be placed over the 6 inch layer of sand covering the conduit as specified elsewhere in these special provisions.

#### Conductor and Cable Installation:

Conductors shall not be installed in conduits until all work of any nature that may cause injury is completed. Care shall be taken in pulling conductors so that insulation is not damaged. An approved non-petroleum base and insulating type pulling compound shall be used as needed.

All cables shall be installed and tested in conformance with manufacturer's recommendations.

Splices and joints shall be insulated with insulation equivalent to that of the conductor.

Six inches of slack shall be provided at each outlet and device connection. If the outlet or device is not at the end of a run of conductor, connection shall be made with correctly colored pigtails tapped to the runs with splices as specified herein.

All pressure type connectors and lugs shall be retightened after the initial set.

Splices in underground pull boxes and similar locations shall conform to the provisions in Section 86-2.09C, "Connectors and Terminals," and Section 86-2.09E, "Splice Insulation," of the Standard Specifications.

Junction boxes in furred or accessible ceiling spaces shall be identified on the cover plate with permanent marking pen denoting the circuits contained in the box.

**Conductor Identification:**

The neutral and equipment grounding conductors shall be identified as follows:

1. Neutral conductor shall have a white or natural gray insulation except that conductors No. 4 and larger may be identified by distinctive white markers such as paint or white tape at each termination.
2. Equipment grounding conductor may be bare or insulated. Insulated equipment grounding conductors shall be green or green with one or more yellow stripes over its entire length. Conductors No. 4 and larger may be permanently identified by distinctive green markers such as paint or green tape at all accessible locations over the entire exposed conductor.

Ungrounded feeder and branch circuit conductors shall be color coded by continuously colored insulation, except conductors No. 6 AWG or larger may be color coded by colored tape at each connection and where accessible. Ungrounded conductor color coding shall be as follows:

SYSTEM	COLOR CODE
120/208 volt-Three phase	Black, red, blue

Once grounded and ungrounded insulated conductors are identified with a specific color code, that color code shall be used for the entire length of the circuit.

Where more than one branch circuit enters or leaves a conduit, panel, gutter, or junction box, each conductor shall be identified by its panelboard and circuit number. All control conductors including control conductors of manufacturer supplied and field wired control devices shall be identified at each termination with the conductor numbers shown on the plans, working drawings, and as directed by the Engineer where deemed necessary. Identification shall be made with one of the following:

1. Adhesive backed paper or cloth wrap-around markers with clear, heat shrinkable tubing sealed over either type of marker.
2. Pre-printed, white, heat-shrinkable tubing.

The identifying numbers of the terminating conductors, as shown on the plans or the working drawings, shall be identified on the terminal block marking strip.

**Outlet, Device and Junction Box Installation:**

Where exposed rigid steel conduits are connected to an exposed outlet, device, or junction box at or below switch height, the box shall be a cast box.

All boxes shall be finished flush with building walls, ceiling and floors except where exposed work is called for.

Raised device covers shall be installed on all boxes concealed in concrete, masonry or stud walls.

No unused openings shall be left in any box. Knockout seals shall be installed to close openings.

Adjustments to locations of outlet, device and junction boxes may be made as required by structural conditions and to suit coordination requirements of other trades.

Boxes in stud walls and partitions shall not be mounted back to back. Through-wall boxes will not be allowed.

Boxes installed in metal stud walls shall be equipped with brackets designed for attaching directly to the studs or shall be mounted on heavy gauge galvanized steel, snap-in box supports.

Fixture outlet boxes installed in suspended ceilings of gypsum board or lath and plaster construction shall be mounted on 16-gage metal channel bars attached to main ceiling runners.

Fixture outlet boxes for pendant-mounted fixtures installed in suspended ceilings supporting acoustical tiles or panels shall be supported directly from the structures above.

Multiple switches shall be installed in standard boxes.

#### Pull Box Installation:

Pull box installation shall be in conformance with the provisions in Section 86-2.06C, "Installation and Use," of the Standard Specifications and the following:

1. Top of pull boxes shall be flush with surrounding grade or top of curb. In unpaved areas where pull box is not immediately adjacent to and protected by a concrete foundation, pole or other protective construction, the top of pull box shall be set at plus one inch above surrounding grade. Pull boxes shown on the plans in the vicinity of curbs shall be placed adjacent to the back of curb. Pull boxes shown on the plans adjacent to lighting standards shall be placed on the side of foundation facing away from traffic.

Ground Rod Installation: The ground rod shall be driven vertically until the top is 6 inches above the surrounding surface. When vertical penetration of the ground rod cannot be obtained, an equivalent horizontal grounding system, approved by the Engineer, shall be installed.

#### Anchorage:

Hangers, brackets, conduit straps, supports, and electrical equipment shall be rigidly and securely fastened to surfaces by means of toggle bolts on hollow masonry; expansion shields and machine screws, or expansion anchors and studs or standard preset inserts on concrete or solid masonry; machine screws or bolts on metal surfaces; and wood or lag screws on wood construction.

Anchorage devices shall be installed in conformance with the anchorage manufacturer's recommendations.

Mounting heights: Electrical system components shall be mounted at the following mounting heights, unless otherwise shown on the plans. The mounting height dimensions shall be measured above the finished floor to the bottom of the device or component.

Thermostats	3'-8"
Wall switches	3'-4"
Convenience outlets	1'-6" installed in finish gypsum wallboard. 3'-4" at all other areas.
Electric water cooler outlet	As recommended by the water cooler manufacturer.
Telephone and radio outlets	1'-6"

## **12-16.03 ELECTRICAL EQUIPMENT**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing panelboards, starters, disconnect switches, transformers, and related accessories in conformance with the details shown on the plans and these special provisions.

Related Work: Anchorage devices shall be as specified under "Basic Materials and Methods" elsewhere in Section 12-16.

#### **SUBMITTALS**

Product Data:

A list of materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval. Any other data as requested by the Engineer shall also be submitted for approval.

Manufacturer's descriptive data shall include complete description, performance data and installation instructions for the materials and equipment specified herein. Control and wiring diagrams, rough-in dimensions, and component layout shall be included where applicable. All control and power conductors on the shop drawings shall be identified with wire numbers.

### **PART 2 - PRODUCTS**

#### **PANELBOARDS**

Panelboard AS: Panelboard AS shall be indoor type, surface-mounted, factory assembled, 3-phase, 4-wire, 240-volt, AC panelboard at least 20 inches wide with 600-ampere main circuit breaker, electrolytically tin plated copper bus bars, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans. Panel shall be Square D Company, NQ; General Electric, AL; or equal.

Panelboard BS: Panelboard BS shall be indoor type, surface-mounted, factory assembled, 3-phase, 4-wire, 240-volt, AC panelboard at least 20 inches wide with 150-ampere main circuit breaker, electrolytically tin plated copper bus bars, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans. Panel shall be Square D Company, NQ; General Electric, AL; or equal.

Panelboard CS: Panelboard CS shall be indoor type, surface-mounted, factory assembled, 3-phase, 4-wire, 240-volt, AC panelboard at least 20 inches wide with 200-ampere main circuit breaker, electrolytically tin plated copper bus bars, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans. Panel shall be Square D Company, NQ; General Electric, AL; or equal.

Panelboard EM1-S, : Panelboard EM1-S, shall be indoor type, surface-mounted, factory assembled, 3-phase, 4-wire, 240-volt, AC panelboard at least 20 inches wide with 150-ampere main circuit breaker, electrolytically tin plated copper bus bars, insulated groundable neutral, hinged door and molded case branch circuit breakers as shown on the plans. Panel shall be Square D Company, NQ; General Electric, AL; or equal.

#### **STARTERS AND CONTROL PANELS**

Air Compressor Starter: Each air compressor starter shall be combination 3-pole, 600-volt, NEMA Size 2, NEMA rated, line voltage starter and motor circuit protector in a NEMA-12 enclosure. Air compressor starter shall have two, 2-ampere, dual element, 250-volt fuses with 2-pole barrier type fuse base; 240-volt coil, double-break silver contacts and 3 manual reset, non-adjustable thermal overloads, set to trip between 115 and 125 percent of full load motor current, as quoted on the nameplate by the motor manufacturer. Reset button shall be externally operable.

Declassification Exhaust Fan Control Panel No. 2: Declassification exhaust fan control panel No. 2 shall consist of declassification fan control relay, pilot light, selector switch in a surface-mounted, NEMA-12 enclosure with hinged door.

Declassification fan control relay, CR: Declassification fan control relay CR shall be 4-pole, NEMA Type, industrial control relay with 120-volts coil and double break silver contacts rated 10 A at 120 V(ac) minimum.

Selector Switch, SS: Selector switch shall be rotary action, double-pole, 2-position, 10-ampere, 120-volt switch. Switch contacts shall have an inductive pilot duty rating of 60 amperes (make), 6 amperes (break) and 10 amperes (continuous) at 120 volts and 35 percent power factor. Selector switch shall have legend plate marked OFF-ON.

Pilot light, PL: Pilot light shall be heavy duty pilot light with red lens and 120-volt LED lamp and screw cap.

Terminal block, TB: Terminal block shall be 30-ampere, 300-volt, molded plastic with two or more mounting holes and two or more terminals in each cast block. The molded plastic shall have a high resistance to heat, moisture, mechanical shock, and electrical potential and shall have a smooth even finish. Each block shall have a molded marking strip attached with screws. Terminal blocks shall have tubular, high pressure clamp connectors.

Neutral bus, N/B: Neutral bus shall be 30-ampere copper neutral bus bar with circuit taps.

Ground bus, GB: Ground bus shall be 30-ampere copper ground bus bar with circuit taps.

Evaporative Cooler Control Panel No. 2: Evaporative cooler control panel No. 2 shall consist of evaporative cooler contactors, as shown on the plans, pilot light, selector switch in a surface-mounted, NEMA-12 enclosure with hinged door.

Evaporative Cooler Contactors:--Evaporative cooler contactors shall be 4-pole, 208-volt, NEMA Size 0, horsepower rated contactor with 120-volt coil and double break silver contacts.

Selector Switch, SS: Selector switch shall be rotary action, double-pole, 2-position, 10-ampere, 120-volt switch. Switch contacts shall have an inductive pilot duty rating of 60 amperes (make), 6 amperes (break) and 10 amperes (continuous) at 120 volts and 35 percent power factor. Selector switch shall have legend plate marked OFF-ON.

Pilot light, PL: Pilot light shall be heavy duty pilot light with red lens and 120-volt LED lamp and screw cap.

Terminal block, TB: Terminal block shall be 30-ampere, 300-volt, molded plastic with two or more mounting holes and two or more terminals in each cast block. The molded plastic shall have a high resistance to heat, moisture, mechanical shock, and electrical potential and shall have a smooth even finish. Each block shall have a molded marking strip attached with screws. Terminal blocks shall have tubular, high pressure clamp connectors.

Neutral bus, N/B: Neutral bus shall be 30-ampere copper neutral bus bar with circuit taps.

Ground bus, GB: Ground bus shall be 30-ampere copper ground bus bar with circuit taps.

Each control panel shall be factory prewired in conformance with NEMA Class IIC wiring. All wires entering or leaving the enclosure shall terminate on terminal blocks. Control wiring shall be stranded No. 12 MTW except for hinge wiring, which shall be 19 strand No. 12 MTW. Wires shall be neatly trained and bundled, and wiring troughs shall be provided as shown the plans

All control conductors including control conductors of manufacturer supplied and field wired control devices shall be identified at each termination with the wire numbers shown on the plans, approved working drawings, and as directed by the Engineer where deemed necessary. Identification shall be as specified elsewhere in the special provisions.

Each component shall be identified with nameplate as shown on the plans.

A wiring diagram encased between two heat-fused laminated plastic sheets shall be provided inside of each enclosure.

## **SWITCHES**

Air Conditioner Disconnect Switch (For Subshop Office/Equipment Building): Air Conditioner Disconnect switch shall be 3-pole, 240-volt, AC, 60-ampere, fused, heavy duty safety switch in a NEMA-3R enclosure. The fuses shall be sized to suit the air conditioning unit furnished.

Fume Exhaust Fan Disconnect Switch: Fume exhaust fan disconnect switch shall be 3-pole, 240-volt, AC, 30-ampere, non-fusible, heavy duty safety switch in a NEMA-3R enclosure.

Outdoor Condenser Disconnect Switch: Outdoor condenser disconnect switch shall be 2-pole, 240-volt, AC, 30-ampere, fused, heavy duty safety switch in a NEMA-3R enclosure. The fuses shall be sized to suit the outdoor condenser unit furnished.

Door Operator Disconnect Switch: Door operator disconnect switch shall be 3-pole, 240-volt, AC, 30-ampere, non-fusible, general duty safety switch in a NEMA-1 enclosure with provision for padlocking in the "OFF" position.

Trap Tester Disconnect Switch: Trap Tester disconnect switch shall be 3-pole, 240-volt, AC, 30-ampere, non-fusible, general duty safety switch in a NEMA-1 enclosure with provision for padlocking in the "OFF" position.

Soot sucker Disconnect Switch: Soot sucker disconnect switch shall be 3-pole, 240-volt, AC, 30-ampere, non-fusible, general duty safety switch in a NEMA-1 enclosure with provision for padlocking in the "OFF" position.

Trap Burner Disconnect Switch: Trap Burner disconnect switch shall be 3-pole, 240-volt, AC, 60-ampere, non-fusible, general duty safety switch in a NEMA-1 enclosure with provision for padlocking in the "OFF" position.

## **MISCELLANEOUS MATERIALS**

Nameplates: Nameplates shall be laminated phenolic plastic with white core and black front and back. Nameplate inscription shall be in capitals letters etched through the outer layer of the nameplate material.

Warning Plates: Warning plates shall be laminated phenolic plastic with white core and red front and back. Warning plates inscription shall be in capital letters etched through the outer layer of the nameplate material.

Plywood Backing Board: Plywood backing board for mounting electrical or telephone equipment shall be 3/4-inch, APA plywood panels, C-D PLUGGED and touch-sanded, Exposure 1.

## **PART 3 - EXECUTION**

### **INSTALLATION**

Plywood Backing Board:

Plywood backing board shall be securely fastened to walls or other vertical framing.

Surface to be coated shall be cleaned of all dirt, excess materials, and filler by hand cleaning.

Exposed surfaces of plywood backing board shall be coated in conformance with the provisions in "Wood, Painted" specified under Section 12-9 "Painting," of these special provisions. The color shall match surrounding surfaces, or shall be as directed by the Engineer.

Coatings shall be applied in conformance with the manufacturer's instructions. Each coat shall be applied to a uniform finish, free of skips, brush marks, laps or other imperfections.

#### Panelboard Installation:

Set cabinets plumb and symmetrical with building lines. Train interior wiring as specified under "Conductor and Cable Installation" in "Basic Materials and Methods" of these special provisions. Touch-up paint any marks, blemishes, or other finish damage suffered during installation. Replace cabinets, doors or trim exhibiting dents, bends, warps or poor fit that may impede ready access, security or integrity.

Mounting height shall be 5½ feet to the highest circuit breaker handle, measured above the finished floor.

Provide two ¾-inch empty conduit from flush panelboard enclosure to a point above furred ceiling for each 16 circuits or fraction thereof in each panelboard.

Where "Future" or "Space" is indicated on the plans, branch connectors, mounting brackets, and other hardware shall be furnished and installed for future breaker.

A typewritten directory under transparent protective cover shall be provided and set in metal frame inside each cabinet door. Directory panel designation for each circuit breaker shall include complete information concerning equipment controlled, including room number or area designated on the plans.

Declassification Exhaust Fan Control Panel Installation.—The following electrical components shall be mounted on the back mounting panel of declassification exhaust fan control panel enclosures: Control relay, terminal blocks, plastic wiring duct, neutral bus, and ground bus.

The pilot light and selector switch shall be mounted on the hinged door of the declassification exhaust fan control panel enclosures.

Evaporative Cooler Control Panel Installation.—The following electrical components shall be mounted on the back mounting panel of evaporative cooler control panel enclosures: Evaporative cooler contactor No.1 and No.2, terminal blocks, plastic wiring duct and ground bus.

The pilot light, and selector switch, shall be mounted on the hinged door of the evaporative cooler control panel enclosures.

#### Equipment Identification:

Equipment shall be identified with nameplates fastened with self-tapping, cadmium-plated screws or nickel-plated bolts.

Duplex receptacles powered by standby generator shall have name plate installed on the cover plate with inscriptions of the respective circuit number with 1/8 inch high letters.

In addition to the identification nameplates shown on the plans, the following items shall have identification nameplates.

Nameplate inscriptions shall read as follows:

Item	Letter height, inches	Inscription
Panel EM1-S	1/4	PANEL EM1-S 120/208 V, 3 PH, 4W
Panel AS	1/4	PANEL AS 120/208 V, 3 PH, 4W
Panel CS	1/4	PANEL CS 120/208 V, 3 PH, 4W
Panel BS	1/4	PANEL BS 120/208 V, 3 PH, 4W
Air conditioning disconnect switches	1/4 1/4	AIR CONDITIONER # 1 AIR CONDITIONER # 2
Overhead door operators pushbutton station	1/4	DOOR OPERATOR
Overhead door operators disconnect switch	1/4	DOOR OPERATOR
Remote exhaust fan switch	1/4	EXHAUST FANS
Remote declassification fan switch	1/4	DECLASSIFICATION EXHAUST FANS
Light reel switch	1/4	LIGHT REEL
Evaporative cooler thermostats	1/4 1/4 1/4 1/4 1/4	EVAPORATIVE COOLER # 1 EVAPORATIVE COOLER # 2 EVAPORATIVE COOLER # 3 EVAPORATIVE COOLER # 4 EVAPORATIVE COOLER # 5
Ceiling fan switch	1/4	CEILING FAN
Air compressor starter	1/4	AIR COMPRESSOR
Service bay light switch	1/8	SERVICE BAY
Radio Cabinet	1/4	RADIO CABINET
Spare Cabinet	1/4	SPARE CABINET
Welding receptacle	1/4	WELDING ( 208 V, 3 $\phi$ )
Vehicle lift receptacle	1/4	VEHICLE LIFT ( 208 V, 3 $\phi$ )
Radiant heater timer switch	1/4	RADIANT HEATER
Motorized hose reel pushbutton station	1/4	MOTORIZED HOSE REEL
Fume exhaust fan disconnect switch	1/4	FUME EXHAUST FAN

Warning Plates:

Warning plates shall be attached to designated equipment with self-tapping cadmium-plated screws or nickel-plated bolts.

Warning plate inscriptions shall read as shown on the plans:

**12-16.04 LIGHTING**

**PART 1 – GENERAL**

Scope: This work shall consist of furnishing, installing and connecting all lighting equipment in accordance with the details shown on the plans and these special provisions.

**SUBMITTALS**

Manufacturer's descriptive information, photometric curves, catalog cuts, and installation instructions shall be submitted for approval. Wiring diagram and component layout for lighting control stations shall be submitted. Any other data as requested by the Engineer shall also be submitted for approval.

Closeout Document Submittals:

Submit closeout documents for the following equipment before completion of the project:

1. Lighting Control Stations-LCS2

Include in each closeout document:

1. Parts list
2. Operating instructions
3. Maintenance instructions
4. Wiring schematics

Submit three copies of each closeout document in the following manner:

1. One CD with PDF files
2. Two individual 3-ring binders containing paper copies

Incomplete or inadequate documentation will be returned to the Contractor for correction and resubmittal.

## **PART 2 - PRODUCTS**

**Lighting Fixture Lamps:** Lighting fixture lamps shall be type and size as shown on the plans. Lamps shall be General Electric, Phillips, Sylvania, or equal. Fluorescent lamps, unless otherwise noted, shall be 4100K tri-phosphor with a CRI of 70 or greater.

**Ballasts:** All high intensity discharge fixtures shall be equipped with constant wattage autoregulator ballasts suitable for the line voltage and for the type, size and number of lamps required by the fixture. Fluorescent ballasts shall be UL Listed, Class P and ETL Certified ballasts with sound rating A. Fluorescent ballasts shall be high-frequency electronic ballasts with power factor greater than 0.95, nominal ballast factor of 0.88 unless specified otherwise, total harmonic distortion less than 20 percent, crest factor less than or equal to 1.7, complying with ANSI C 62.41 Category A for surge protection, and FCC Part 18 for interference.

**Lighting Fixtures:** Lighting fixtures shall be as shown on the plans and as specified herein. Outdoor luminaires shall be listed and labeled "Fixture Suitable For Wet Locations."

F1: Ceiling-mounted fluorescent fixture with two 32-watt T8 lamps, electronic ballast, narrow body and one-piece, injection molded clear acrylic, wrap-around diffuser. The fixture shall be Day Brite, Catalog No. AWN232-120-1/2-EB; Williams Lighting, Catalog No. 37-4-232-A-EB2-120; or equal.

F2: Ceiling-mounted fluorescent fixture with two 32-watt T8 lamps, electronic ballast wide body and one-piece, clear acrylic, wrap-around diffuser. The fixture shall be Day Brite, Catalog No. AWW232-120-1/2-EB; Williams Lighting, Catalog No 38-4-232-A-EB2-120; or equal.

F3: Surface-mounted fluorescent fixture with one 32-watt T8 lamp, electronic ballast and one-piece, injection molded acrylic, wrap-around diffuser. The fixture shall be Day Brite, Catalog No. HW132-120-1/2-EB; Williams Lighting, Catalog No. 20-4-132-A-EB2-120; or equal.

F4: Stem or bracket ceiling-mounted fluorescent fixture with two 59-watt T8 lamps, electronic MA ballast and white baked enamel ribbed reflector, complete with end plates. The fixture shall be Williams lightingLithonia, Catalog No. 82-8-2-59-EB2-120; Day Brite, Catalog No. 1F259-PP-120-1/2-EB; or equal.

F5: Stem or bracket surface mounted vaportight fluorescent fixture with two 32-watt T8 lamps, electronic MA ballast and clear tempered glass lenswhite baked enamel ribbed reflector, complete with end plates. The fixture shall be dust-ignition-proof, corrosion-resistant and shall be suitable for Class 1 Division 2, Group D listed.

The fixture shall be Paramount lighting Lithonia, Catalog No. HT52329-120; Day Brite, Catalog No. VTPT232-TG-12—1/2-EB; or equal.

F6: Stem or bracket mounted fluorescent fixture with two 86-watt T8 lamps, electronic ballast and white baked enamel ribbed reflector, complete with end plates. The fixture shall be Williams lighting, Catalog No. 82-8-2-86-eb2-UNV; Day Brite, Catalog No. 1F286-HO-PP-120-1/2-EB; or equal.

H1: Outdoor, wall mounted, 150-watt, 120-volt high pressure sodium luminaire with integral ballast, with dark bronze finish. The luminaire shall be Day Brite, Catalog No. WLM150SMT/CWA-LP; Williams lighting, Catalog No. WL5-HPS-150-MED/CWA-QV; or equal.

H2: Outdoor, wall mounted, 70-watt, 120-volt high pressure sodium luminaire with integral ballast, with dark bronze finish. The luminaire shall be Day Brite, Catalog No. WLM70SMT/CWA-LP; Williams lighting, Catalog No. WL5-HPS-70-MED/CWA-QV; or equal.

Fused Splices: Fused splices shall be Buss, Type HEX; Elastimold, Style D65; or equal; with standard midget, ferrule, 5-ampere, 240-volt, slow blowing fuses.

Lighting Control Stations, LCS2: Each lighting control station consisting of:

1. Plastic wiring trough
2. 4-Zone lighting controller
3. Lighting controller power outage backup unit
4. Photosensor
5. Neutral and ground bus
6. Terminal blocks
7. Lighting contactors

Install above components and nameplates in a surface mounted NEMA Type 12 enclosure with hinged door. Install warning plate, and nameplates on the exterior hinged door.

Photosensor: Photosensor for each lighting control station must be:

1. Compatible with the 4-Zone lighting controller unit.
2. Positioned to "see" natural incoming daylight without being influenced by electrical lighting.
3. 1 to 100 footcandles light level adjustment available on time switch (inside the controller unit).

Lighting Contactors, LC1, LC2 and LC3: Lighting contactors shall be electrically held, lighting contactor with 120-volt AC coil and 30-ampere, double-break, silver alloy contacts; Square D Company, Eaton Cutler-Hammer, Siemens, or equal. Number of poles must be as shown on the plans.

4-Zone Lighting Photo controller: 4-Zone Lighting Photo controller must:

1. Be an automatic multi-level daylighting switching memory module capable of being programmed at any one location and shall be capable of being configured to copy to multiple memory modules.
2. Provide up to 4 zones of control from a single photosensor.
3. Automatically switch lighting loads in response to natural daylight, using remotely mounted photosensor.
4. Include these features:
  - a. Each zone shall be capable of independent, user settable turn-ON and turn-OFF light level set points ranging from 1 to 100 footcandles.
  - b. Three position slide switches shall be provided for each of the 4 zones allowing setting based on time of day, combination time of day plus light level or light level.
  - c. Each zone shall be capable of astronomic function, adjustable from 10-60 degrees Northern or Southern latitude.
  - d. Each zone can additionally be offset +/- 1-299 minutes for both sunset and sunrise.
  - e. Load shed point from 5 to 60 footcandles
  - f. Programmable of 99 set points with separate scheduling for each day of the week.

- g. Controller shall have back-up capability. Schedule shall be retained for 40 years without power. Real time shall be retained for 6 months using 9 volt lithium battery.
5. Include 30 ampere general purpose isolated contacts (unpowered) for each zone and shall have 500 milliamperes, 24 V(dc) output.
6. Receive input from photosensor
7. Controller shall have one digital input per channel for remote contact closure which can be used to turn corresponding output ON/OFF outside of normal working time.
  - a. Respond by turning off all outputs, overriding any "on" signal based on ambient light levels.

Terminal Block, TB: Terminal block shall be 30-ampere, 240-volt, molded plastic with two or more mounting holes and two or more terminals in each cast block. The molded plastic shall have a high resistance to heat, moisture, mechanical shock, and electrical potential and shall have a smooth even finish. Each block shall have a molded marking strip attached with screws. Terminal blocks shall have tubular, high pressure clamp connectors.

Concrete: Concrete shall be as specified under "Cast-In-Place Concrete" in Section 12-3, "Concrete and Reinforcement," of these special provisions. The concrete shall be commercial quality portland cement concrete containing not less than 564 pounds of cement per cubic yard.

## **FABRICATION**

### **Component Mounting:**

Mount the following electrical components on the back panel of each Lighting Control Station enclosure, LCS:

1. Terminal block, TB
2. Plastic wiring trough
3. 4-Zone lighting controller
4. Neutral and ground bus
5. Lighting contactors

Provide a factory assembled Lighting Control Station, LCS2 and mount on each hinged door of the LCS enclosure the nameplates, and warning plate with inscription as shown on the plans. Install each photosensor as shown on the plans .

## **PART 3 - EXECUTION**

### **Lighting Fixtures:**

Lighting fixtures shall be mounted securely in accordance with the manufacturer's recommendations. Mounting methods shall be suitable for the particular type of ceiling or support at each location.

The Contractor shall provide all supports, hangers, spacers, channels, fasteners and other hardware necessary to support the fixtures.

Fixtures shall be set at the mounting heights shown on the plans, except heights shown shall be adjusted to meet conditions.

### **Photosensor Unit Installation:**

Photosensor unit shall be installed above the roof in accordance with the manufacturer's recommendations and facing north, location as shown. The exact location will be as directed by the Engineer.

## **12-16.05 FIRE DETECTION AND ALARM SYSTEM**

## **PART 1 - GENERAL**

### **SUMMARY**

Scope: This work shall consist of furnishing and installing a complete and operational fire detection and alarm system in accordance with the details shown of the plans and these special provisions. The system shall include all materials, whether mentioned or not, that are necessary for the complete and operational fire detection and alarm system.

### **SYSTEM DESCRIPTION**

Design Requirements:

The fire detection and alarm system shall be a low voltage, direct current, zoned, closed circuit, electrically supervised, and Class A addressable fire detection and alarm system. The system shall consist of the fire alarm control panel, manual pull stations, smoke detectors, duct mounted smoke detectors, heat detectors, end-of-line resistors, audio-visual devices, speakers, remote microphones, remote annunciator, and all other necessary appurtenances.

Each and all items of the fire detection and alarm system shall be listed as a product of a single fire alarm system manufacturer under the appropriate category by UL or FM, and shall bear the "UL" or "FM" label. Control equipment shall be listed under UL category UOJZ as a single control unit. Partial listing will not be acceptable. The alarm system components shall be listed by the California State Fire Marshal.

### **SUBMITTALS**

Product Data:

A list of all materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval. Manufacturer's descriptive data shall include complete description, performance data, and installation instructions for the materials and equipment specified herein.

Software data shall include operating and upgrade manuals, device address list and printout of software application and graphic screens.

Installation instructions shall include brand name and catalog reference of equipment supplied, battery calculations and voltage drop calculations.

Working Drawings: Working drawings shall be submitted for approval. Working drawings shall include building floor plan with component layout and wiring layout, including conduit size and conductor sizes. Working drawings shall show the shape, size, and method of attachment for each component used in the work. Submit system riser diagram, control and wiring diagrams that include rough-in dimensions, component layout and conductor number identification. Fire Matrix Table shall be submitted.

Test Reports: The Contractor shall submit completed copies of NFPA 72: "Fire Alarm System Record of Completion," and "Inspection and Testing Form."

Closeout Submittals: Prior to the completion of the contract, 1 CD containing PDF files and 3 identified copies of the operation and maintenance instructions with part lists for all the equipment specified herein shall be delivered to the Engineer at the job site. A backup copy of the program software shall be included with all data files, on a separate CD or other appropriate media for the equipment. The instruction and part lists shall be complete for the equipment installed. Incomplete material will be returned for correction.

### **QUALITY ASSURANCE**

Qualifications: The fire detection and alarm system installation personnel shall be factory trained and certified for the system being installed.

## Regulatory Requirements:

Before requesting final approval of the installation, the installing contractor shall furnish a written statement to the State Fire Marshal to the effect that the system has been installed in accordance with approved plans and completely tested in accordance with manufacturer's specifications and appropriate NFPA requirements. (2013 NFPA 72 Section 10.18.1.3)

Submittals shall be sent to the State Fire Marshal for review and stamping prior to sending them to the Department of Transportation. Submittals shall be approved by both the Engineer and the State Fire Marshal prior to commencing work.

Allow 12 weeks for the State Fire Marshal's review process. This time is in addition to the time allotted the State for submittal review in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications and these special provisions.

Full compensation for submittals to the State Fire Marshal shall be considered as included in the contract lump sum price paid for building work and no additional compensation will be allowed therefor.

## **PART 2 - PRODUCTS**

### **OPERATION**

#### Fire Detection and Alarm System:

The system shall be wired, connected, and left in first-class operating condition. The system shall be electrically supervised, 4-wire Class A system, and shall use closed loop initiating device circuits with individual zone supervision, individual indicating appliance circuit supervision, and incoming and standby power supervision.

The system shall be an addressable fire alarm system complete with built-in or portable reprogramming capabilities so that all reprogramming or reconfiguration of the fire alarm system can be accomplished without removal of any solid-state devices. Hardware, software, and passwords used in programming the system and the I/O Map shall be submitted to the Engineer after completion of the system.

The system alarm operation subsequent to the alarm activation of any manual station, automatic detection device, or sprinkler flow switch shall be as follows:

1. The appropriate initiating device circuit's red LED shall flash on the control panel and remote annunciator until the alarm has been silenced at the control panel or the remote annunciator. Once silenced, this same LED shall latch on. A subsequent alarm received after silencing shall flash the subsequent zone alarm LED on the control panel.
2. A pulsing alarm tone shall occur within the control panel until silenced.
3. All alarm-indicating appliances shall sound in a Continuous Ringing Alarm pattern until silenced by the Alarm Silence Switch at the control panel or the remote annunciator.
4. All visual alarm lamps shall operate in a continuous pattern until extinguished by the Alarm Reset Switch.
5. Activate a supervised signal to notify Central Operations Control Center or other specified notifying parties as directed by the Engineer.

The alarm indicating appliances may be silenced by authorized personnel upon entering the locked control panel and operating the Alarm Silence Switch. A subsequent zone alarm shall reactivate the signals.

The system shall include the following electrical power requirements:

1. The control panel shall receive 120-volt power via a dedicated standby circuit.
2. The system shall be provided with sufficient battery capacity to operate the entire system upon loss of normal 120-volt AC power in a normal supervisory mode in accordance with NFPA: 72. The system shall automatically transfer to the standby batteries upon power failure. All battery charging

and recharging operations shall be automatic. Batteries, once discharged, shall recharge at a rate to provide a minimum of 80 percent capacity in 12 hours.

3. The supervised standby battery power shall operate the entire system for 24 hours under normal conditions. At the end of 24 hours, the standby battery shall power the system under fire alarm conditions for 5 minutes.
4. All circuits requiring system-operating power shall be 24-volt DC and shall be individually fused at the control panel.
5. Faults on ancillary circuits shall not interfere with the operation of the detection and alarm system.

Activation of Standpipe or Sprinkler Tamper Switch and Trouble Silence Switch:

1. The activation of any standpipe or sprinkler tamper switch shall activate a distinctive system supervisory audible signal and illuminate a "Sprinkler Supervisory Tamper" LED at the control panel and the remote annunciator. There shall be no confusion between valve tamper activation and opens and grounds on fire alarm initiation circuit wiring.
2. Activating the Trouble Silence Switch shall silence the supervisory audible signal while maintaining the Sprinkler Supervisory Tamper LED indicating the tamper contact is still activated.
3. Restoring the valve to the normal position shall cause the audible signal and LED to pulse at a March Time Rate.
4. Activating the Trouble Silence Switch shall silence the supervisory audible signal and restore the system to normal.

## **EQUIPMENT**

Fire Alarm Control Panel:

Fire alarm control panel shall be surface-mounted, NEMA 1 locking cabinet, completely self-contained control panel suitable for 120-volt, AC, input power with separate terminals for all external conductors and end-of-line resistors installed within the control panel. Control panel shall be capable of communicating with addressable devices, remote annunciator, and the fire alarm interface panel. When a device is activated, the control panel shall display the zone where the device is located and the zone number shown on the contract plans.

Each addressable device shall have a unique address. The manufacturer shall program each address to a system input zone and correlate to output operations as indicated. Non-functioning, non-addressed, and non-programmed devices shall report their condition. The manufacturer shall provide for onsite modification to the addressable programming. The manufacturer shall provide for removal of devices without the necessity of readdressing any other devices.

The manufacturer shall provide installation flexibility by ensuring that the physical sequence (placement) of the devices on the loop need not determine the device address. Installation tables shall be furnished to identify all device addresses.

The control panel shall communicate to the remote annunciator alarm conditions for serial wiring supervision, data integrity, and power. A common trouble alarm indication shall be provided for grounds, opens, and shorts.

The control panel shall conform to the following requirements:

1. Compatible with Radionics 6000 or 6500 receiver or equivalent
2. Capable of supporting up to 800 addressable devices.
3. Digital dialer communicator.
4. Audible trouble signal, silencing switch and trouble pilot light.
5. Solid state, modular construction.
6. Fan shut down relays.
7. 24-hour standby batteries, battery charger with automatic transfer on loss of utility company power and retransfer upon restitution of utility power.
8. Indicating lights for normal power failure, battery power failure, audible alarm, and silencing switch.
9. Low battery reporting.

Remote Control Relays: Remote control relays shall be addressable and connected to supervised auxiliary circuits for control of fans, dampers, and door releases. Relay contact ratings shall be 5 amperes at 120 Volts resistive or 2.5 amperes at 120 Volts inductive for a 0.50 power factor.

Manual Pull Station: Manual pull station shall be single-action, addressable, closed circuit, pull down type spring retention pull station mounted on a standard electrical outlet box. The manual pull station actuating contact shall function continuously until reset. The pull station shall have provisions for fire drill and testing and shall have integral LED light to indicate operation of the pull station. Manual pull station shall be capable of being reset with the same key as for the Fire Alarm Control Panel. By using the key, authorized personnel will be able to activate the manual pull station. The station shall be red with white lettered instructions, which shall read: "Local Alarm - Does Not Alert Fire Department."

Smoke Detector:

Smoke detector shall be 120-Volt, stable, solid state, addressable, unipolar ionization detectors capable of detecting visible and invisible products of combustion. The detector shall have a measuring chamber and a protected reference chamber sensitive to changes in temperature and humidity only. The measuring chamber shall be protected from damage and insects.

The smoke detector shall have a built-in five-second delay to minimize detection signals due to transient smoke. Radioactive parts and protect circuitry shall be safeguarded against electrical transients, electromagnetic interference, and polarity reversal. The detector shall have the detector sensitivity factory set and provide for field adjustment within the range of UL defined sensitivity.

The detector shall be tamper-resistant and plug-mounted to a separate base. A built-in shorting device shall permit checking of the installation wiring before detector installation.

Duct-mounted Smoke Detector: Duct-mounted smoke detector shall addressable and conform to the provisions in Section 12-15, "Heating, Ventilating and Air Conditioning Equipment and Systems," in these special provisions.

Heat Detector: Heat detector for automatic detection of fire shall be addressable, compact and rugged construction employing rate-of-rise and fixed temperature methods of detecting fires. The heat detector shall have twist-and-lock type plug-in detector head, and low profile.

Audio-visual Device: Audio-visual device shall be addressable, vibrating type horn with flashing light.

Audible alarm shall produce:

1. Sound pressure level of at least 15 dBA above the average ambient sound level in the room or space, or 5 dBA above the maximum sound level having a duration of 60 seconds, whichever is greater.
2. The minimum sound pressure levels shall be 60 dBA.
3. The maximum sound pressure level shall be 110 dBA at the minimum hearing distance.

Visible alarm shall be as follows:

1. The Lamp shall be a xenon strobe type or equivalent.
2. The color shall be clear or nominal white (i.e., unfiltered or color filtered white light).
3. The maximum pulse duration shall be two-tenths of one second with a maximum duty cycle of 40 percent. The pulse duration is defined as the time interval between initial and final points of 10 percent of maximum signal.
4. The intensity shall be a minimum of 75 candela.
5. The flash rate shall be a minimum of 1 Hz and a maximum of 3 Hz.

### **PART 3 - EXECUTION**

## **INSTALLATION**

The fire detection and alarm system shall be installed in accordance with the manufacturer's recommendations. No modification of the recommended alarm system type, component type, or replacement shall be made without prior written approval from the Engineer.

Fire Alarm Control Panel Zoning: Fire alarm control panel zoning shall be as shown on the plans.

Audio-visual device shall be installed as follows:

1. Visible alarm shall be provided in corridors, hallways, lobby, restrooms, shower rooms, crew rooms, break room, kitchen, training/conference room, and any other common use areas as indicated on the plans.
2. No place in corridors or any rooms requiring visual alarm shall be more than 50 feet from the signal in the horizontal plane.
3. Visible alarm shall be placed 80 inches above the highest floor level or 6 inches below the ceiling, whichever is lower.

Conduit and Conductors:

Conduit size shall be as recommended by the fire alarm system manufacturers except that conduits shall be not less than 1/2-inch diameter. Within the building, conduits shall be concealed in ceiling or walls. All other conduits shall be exposed conduit. Conduit fill shall not be more than 35 percent.

Conductors and cables for the fire alarm system shall be as recommended by the fire alarm system manufacturer.

No common conductors shall be connected to components across multiple Zones. Each individual Zone may have common wiring between components in that specific Zone.

No wiring other than that directly associated with fire alarm detection, alarm, or auxiliary functions shall be permitted in fire alarm conduits. Wiring splices shall be avoided to the extent possible and if needed, they shall be made only in junction boxes and shall be connected with crimp-type connectors. Wire nut-type connections will not be allowed.

Transposing or changing color-coding of conductors will not be allowed. All conductors in conduit containing more than one conductor shall be labeled on each end with conductor markers. Conductors in cabinets shall be carefully formed and harnessed so that each drops off directly opposite to its terminal. Cabinet terminals shall be numbered and coded. All controls and function switches shall be clearly labeled on all equipment panels.

All wiring shall be checked and tested to ensure that there are no grounds, opens, or shorts. The minimum allowable resistance between any two conductors or between conductors and ground shall be 10 MΩ as checked by a Megger after all conduit, conductors, and detector bases have been installed, but before the detector devices are plugged into the based or end-of-line devices installed.

All conduits entering or leaving the terminal cabinets and junction boxes shall be numbered in a logical and consecutive manner. A number shall be used only once.

All conductors shall be tagged, labeled, and color-coded. Color-coding shall be by conductor insulation, not taping or banding. The numbering and color-coding shall be continuous for each circuit conductor.

Conductors shall be numbered at each connection, termination, and junction point. Conductor numbering tags shall be professionally manufactured wire-markers. Each group of conductors shall be tagged with its destination at each panel, terminal box, or junction box.

## **FIELD QUALITY CONTROL**

#### Testing:

The operational test for the fire detection and alarm system shall be performed by the Contractor in the presence of the Engineer and the local State Fire Marshal. The operational tests shall demonstrate that all functions of the system operate in the manner described in the manufacturer's literature and that the system is stable under normal vibration and shocks to components.

The completed fire alarm system shall be fully tested in accordance with NFPA: 72 under the observation of the Engineer and subject to approval by the Local State Fire Marshal. Testing program shall include NFPA: 72, "Inspection and Testing Form."

#### Monitoring:

The contractor shall provide monitoring services for the facility for one year after the acceptance of the contract. The services shall include a toll-free telephone line connecting to the 24-hour on call monitoring station. Monitoring station shall contact designated site representative in the event of alarm and dispatch an immediate on-site response to the alarm location if the site representative cannot be reached or verification of the cause of the alarm cannot be determined.

Monitoring services after the first year will be handled by the State.

### **DEMONSTRATION**

#### Training:

The Contractor shall provide four hours of on-site training on the use, operation, and, maintenance of the system for not more than 8 designated State employees.

Training shall include:

1. Classroom-type training, giving course participants an understanding of the overall system and its operation.
2. Hands-on training, giving course participants actual trouble-shooting and maintenance experience. This shall include training in user-level programming of the Fire Alarm Control Panel and remote annunciator to perform routine maintenance tasks.

Provide training manuals to supplement the Operation and Maintenance Manuals and submit them to the Engineer for review and approval at least two months prior to the start of classroom instruction. The training manuals shall be prepared specifically for use as training aids for the specific system.

Each course participant shall receive copies of the Operation and Maintenance Manuals, training manuals, and other pertinent material prior to the commencement of training sessions.

Upon completion of each course the Operation and Maintenance Manuals, training manuals, and training aids shall become the property of the State.

Throughout the Contract and guaranty period, it shall be the responsibility of the Contractor to supply the State with all changes and revisions to the training manuals and other documentation.

The State reserves the right to copy all training materials and aids for use in the District conducted training courses.

The Contractor shall provide all special tools, equipment, training aids, and any other materials required to train course participants. The number of special tools and other training equipment shall be adequate for the number of participants attending the course.

The State shall have the right to videotape any and all training courses presented by the Contractor. The State shall also have the right to use these videotapes to train State personnel in the future.

## **12-16.06 INTRUSION ALARM SYSTEM**

### **PART 1 - GENERAL**

#### **SUMMARY**

Scope: This work shall consist of furnishing and installing a complete and operational intrusion alarm system in accordance with the details shown on the plans and these special provisions. The system shall include all appurtenances, whether mentioned or not, that are necessary for a complete and operational intrusion alarm system.

#### **SYSTEM DESCRIPTION**

Design Requirements:

The intrusion alarm system shall be a low voltage, direct current, zoned, closed circuit, electronically supervised, 4-wire, addressable system. The intrusion alarm system shall consist of an intrusion alarm control panel, security hardware, keypads and accessories, standby battery system and DC power supply. The end of line resistor shall be installed in the control panel.

The alarm system shall self-test and report status of individual zones.

#### **SUBMITTALS**

Product Data:

A list of all materials and equipment to be installed and the manufacturer's descriptive data shall be submitted for approval. Manufacturer's descriptive data shall include complete description, performance data, and installation instructions for the materials and equipment specified herein.

Installation instructions shall include manufacturer and catalog reference, model number of equipment to be furnished, and standby battery calculations.

Working Drawings: Working drawings shall be submitted for approval. Working drawings shall include building floor plan with component layout and wiring layout, including conduit size and conductor sizes. Working drawings shall show the shape, size, and method of attachment for each component used in the work. System riser diagram, control and wiring diagrams shall include rough-in dimensions, component layout and conductor number identification.

Test Reports: The Contractor shall submit results of electrical continuity, insulation, and ground continuity tests performed on installed wiring within 5 business days of the testing.

Closeout Submittals: Prior to the completion of the contract, 1 CD containing PDF files and 3 identified copies of the operation and maintenance instructions with part lists for all the equipment specified herein shall be delivered to the Engineer at the job site. The manuals shall be complete for the equipment installed. Incomplete material will be returned for correction.

#### **QUALITY ASSURANCE**

Installer Qualification: The installer of the security alarm system shall be licensed by the State Department of Consumer Affairs, Bureau of Collection and Investigative Services. License numbers and expiration dates shall be included on all correspondence.

### **PART 2 - PRODUCTS**

#### **OPERATION**

## Intrusion Alarm System:

The system shall be wired, connected, and left in first-class operating condition. The system shall be electrically supervised, 4-wire system, and shall use closed loop initiating device circuits with individual zone supervision, individual notification appliance circuit supervision, signaling line circuits, and incoming and standby power supervision.

The system shall be an addressable system complete with built-in or portable reprogramming capabilities so that all reprogramming or reconfiguration of the system can be accomplished without removal of any solid-state devices. Hardware, software, and passwords used in programming the system and the I/O Map shall be submitted to the Engineer.

The digital keypads shall be installed to manually arm or disarm the alarm intrusion system during normal State business hours.

The control panel shall receive 120-volt AC power via dedicated circuit.

The system shall be provided with sufficient battery capacity to operate the entire system upon loss of normal 120-volt AC power in a normal supervisory mode. The system shall automatically transfer to the standby batteries upon power failure. All battery charging and recharging operations shall be automatic. Batteries, once discharged, shall recharge at a rate to provide a minimum of 80 percent capacity in 12 hours.

The supervised standby battery power shall operate the entire system for 24 hours under normal conditions.

All circuits requiring system-operating power shall be 24-Volt DC and shall be individually fused at the control panel.

Faults on ancillary circuits shall not interfere with the operation of the alarm and detection system.

## EQUIPMENT

### Intrusion Alarm Control Panel:

The intrusion alarm control panel shall be a surface-mounted, NEMA 1 locking cabinet, completely self-contained suitable for 120-volt, AC, input power with separate terminals for all external wires. Panel shall be UL listed for commercial use and capable of remote control identification.

The control panel shall have front accessible controls, LCD display and indication digital keypad. The control panel shall test line voltage every twenty-four (24) hours. The control panel shall include a RJ31X or RJ38X telephone jack. The control panel shall have silent alarm signaling.

The control panel enclosure shall include the following electrical power requirements: 30 ampere, 600-Volt rated. The DC power supply output shall be 24-Volts, DC.

The control panel shall meet the following requirements:

1. Capable of controlling up to 8 access entry doors by providing entry/exit to authorized users. Authority shall be assigned by the control panel.
2. Capable of reporting intrusion and access control devices to a remote monitoring site.
3. Capable of providing identification, annunciation and communication of alarmed detectors by point and each access control by number.
4. Capable of segregating the points into separate independent areas.
- e. Capable of expansion using hard-wired address identification modules.
6. Capable of sending manually or automatically test and status reports.
7. Capable of being programmed locally or remotely via a portable computer or a computer running the remote programming software.
8. Capable of annunciating alarm, trouble, service reminders and other relevant system status messages.

9. Capable of executing diagnostics and testing functions locally or remotely.
10. Capable of controlling relays and automatically executing system functions based upon time/event scheduling software.

Software:

Software shall be an application that is routinely advertised and supplied for access control and intrusion alarm monitoring by the access control panel manufacturer. The software shall be capable of integrating with Radionics 600 or 6500 panel, Bosch D9412G, or equal.

The software shall be hour, day of week, and day of month based; and shall be capable of executing the following functions:

1. Alarm/disarm any specific zone.
2. Bypass/Un-bypass a point.
3. Activate/Deactivate all relays.
4. Send a report to monitoring station and access control terminal.
5. Automatically adjust system clock for daylight savings time.
6. Turn an access authority level on/off.
7. Hold any door open (unlocked and shunted) for an unlimited time.
8. Secure any or all doors closed (locked, no valid cards will allow entry).
9. Return a door to normal operation (locked, valid cards will allow entry).
10. Turn recording of access grant events on/off.
11. Turn recording of access denied events on/off.

Magnetic Contact Switch:

Magnetic contact switch for pedestrian door shall be a 2-section, self-lock mounting type switch, and shall be compatible with the material of the door on which it is installed. The switch shall be epoxied in the switch housing. Magnetic contact switches shall be the type capable of being concealed on the top of the door frame.

Magnetic contact switches for the overhead vehicle doors shall be 2-section, extra heavy-duty, floor mounting type switch with stainless steel armored cable.

Switch shall be housed in a non-magnetic case.

Glass Break Discriminator: Glass break discriminator shall be an acoustic glass break detector with advanced technology for sensing and reporting sound and shock wave activity. Detector shall respond to energy of breaking windows using piezo-electric crystal microphone. Sensor coverage pattern shall be directional, detecting breakage of uncovered glass in a 34-foot wide area at a distance of 11-1/2 feet minimum. The sensor shall be housed in a fire retardant ABS housing.

Digital Keypad:

Digital keypad shall be weatherproof, vandal resistant, surface mounted, 12-button keypad with minimum 120 individual user code capability. Digital keypad shall have alarmed and ready lights and audible warning signal.

Keypad shall have programmable ability of 1-6 digit user codes, EEPROM memory for backup of all codes, a relock time delay adjustable time from either 1 to 90 seconds or on/off, and a tamper switch to detect unauthorized access to the keypad working mechanism. The keypad shall be low voltage, 12-volt DC or 24-volt DC and have four (4) on-board relays for electric door locks and strikes, alarm shunting, forced door and door ajar monitoring.

Combination Detector: Combination detectors shall be low voltage, wall-mounted, wide angle microwave or passive infrared detectors with a detection pattern appropriate to cover areas indicated on the plans. The detector shall have an LED indicating light.

Ground Bus: Ground bus shall be a 100 ampere ground bar with circuit taps.

Neutral Bus: Neutral bus shall be 100 ampere neutral bar with circuit taps.

Terminal Block: Terminal block shall conform to the requirements of NEMA: ICS 4-2005, 30 ampere, 600-Volt rated and shall be screw type, either DIN rail mounted or directly mounted to the enclosure.

## **PART 3 - EXECUTION**

### **INSTALLATION**

The intrusion alarm system shall be installed in accordance with the manufacturer's recommendations. All conductors from all devices shall be brought back to the control panel and then terminated inside. All conductors entering or leaving the control panel shall be terminated at terminal blocks. Terminal block jumpers shall be factory made jumpers. Use of wire nuts shall be prohibited. Field mounted devices or expansion modules at the bottom or side of the control panel shall not be allowed.

The magnetic contact switch section without conductors shall be recessed flush into the top edge of the pedestrian door at the approximate center of the door, and the switch section with conductors shall be recessed flush in the top section of the door frame. The two sections of the switch shall be mounted directly opposite each other to provide maximum sensitivity. The wiring from each magnetic switch shall be run to the control panel in the zone dedicated for the intrusion alarm circuit.

The magnetic contact switch section mounted on the bottom corner of the overhead door shall be the section without conductors. The switch section with conductors shall be mounted on the floor directly below

The glass break discriminator shall be mounted on the ceiling at locations shown on the plans.

Combination detector shall be mounted at not less than 7-1/2 feet above finished floor at locations shown on the plans.

Intrusion alarm zoning: Intrusion alarm panel zoning shall be as shown on the plans.

Conduit and Conductors:

Conduit size shall be not less than 1/2-inch diameter. Conduit fill shall be less than 35 percent.

All conductors and cables for the intrusion alarm system wiring shall be as recommended by the intrusion alarm system manufacturer.

No common conductors shall be connected to components across multiple zones. Each individual Zone may have common wiring between components in that specific Zone.

No wiring other than that directly associated with detection, alarm, or auxiliary functions shall be installed in these conduits. Wiring splices shall be avoided to the extent possible and if needed, they shall be made only in junction boxes and shall be connected with crimp-type connectors. Wire nut-type connections are not acceptable.

Transposing or changing color-coding of conductors will not be permitted. All conductors in conduit containing more than one conductor shall be labeled on each end with wire markers. Conductors in cabinets shall be carefully formed and harnessed so that each drops off directly opposite to its terminal. Cabinet terminals shall be numbered and coded. All controls and function switches shall be clearly labeled on all equipment panels.

All wiring shall be checked and tested to ensure that there are no grounds, opens, or shorts. The minimum allowable resistance between any two conductors or between conductors and ground is 10 MΩ as checked by a Megger after all conduit, conductors, and detector bases have been installed, but before the detector devices are plugged into the based or end-of-line devices installed.

All conduits entering or leaving the terminal cabinets and junction boxes shall be numbered in a logical and consecutive manner. A number shall be used only once.

All conductors shall be tagged, labeled, and color-coded. Color-coding shall be by conductor insulation, not taping or banding. The numbering and color-coding shall be continuous for each circuit conductor.

Conductor shall be numbered at each connection, termination, and junction point. Wire numbering tags shall be professionally manufactured wire-markers. Each group of conductors shall be tagged with its destination at each panel, terminal box, or junction box.

## **FIELD QUALITY CONTROL**

### Testing:

The operational test for the intrusion alarm system shall be performed by the Contractor in the presence of the Engineer. The operational tests shall demonstrate that all functions of the system operate in the manner described in the manufacturer's literature and demonstrate system stability under normal vibration and shocks to components.

Testing program shall include the following information, listings, and instructions:

1. Statement of procedure objective, scope of test, and list of equipment/system to be tested.
2. List of equipment required setting up and performing the tests.
3. List of prerequisite tests that need to be completed before the procedure can be performed.
4. Description of the required procedure setup, including diagrams illustrating test equipment connections and identifying test points, where applicable.
5. Step-by-step instructions for performing the procedure, identifying the points where data is to be recorded and the limits for acceptable data.
6. Provisions for recording pertinent test conditions and environment at time of test.
7. Instructions for recording data on data sheets and verifying that procedure steps have been completed.

### Monitoring:

The Contractor shall provide monitoring services for the facility for one year after the acceptance of the contract. The services shall include a toll-free telephone line connecting to the 24-hour on call monitoring station. Monitoring station shall contact designated site representative in the event of alarm and dispatch an immediate on-site response to the alarm location if the site representative cannot be reached or verification of the cause of the alarm cannot be determined.

Monitoring services after the first year will be handled by the State.

## **DEMONSTRATION**

Training: The Contractor shall provide four hours of on-site training on the use, operation, and maintenance of the system for not more than 8 designated State employees.

Training shall include hands-on training, giving course participants actual trouble-shooting and maintenance experience. This shall include training in user-level programming of the control panel to perform routine maintenance tasks.

Each course participant shall receive a copy of the Operation and Maintenance Manual, training manuals, and other pertinent material prior to the commencement of the training session. Upon completion of the course the Operation and Maintenance Manuals, training manuals, and training aids will become the property of the State.

## AMENDMENTS ISSUE DATE: 10-19-12

### SECTION 0 GLOBAL REVISIONS

(Issued 01-20-12)

Global revisions are changes to contract documents not specific to a section of the Standard Specifications. In each contract document at each occurrence, interpret the following terms as shown:

Term	Interpretation	Conditions
AC	HMA	1. Where AC means asphalt concrete 2. Except where existing AC is described
Asphalt concrete	Hot mix asphalt	Except where existing asphalt concrete is described
Class 1 concrete	Concrete containing not less than 675 pounds of cementitious material per cubic yard	--
Class 2 concrete	Concrete containing not less than 590 pounds of cementitious material per cubic yard	--
Class 3 concrete	Concrete containing not less than 505 pounds of cementitious material per cubic yard	--



1. Use of:
  - 1.1. Imperative mood
  - 1.2. Introductory modifiers
  - 1.3. Conditional clauses
  
2. Elimination of:
  - 2.1. Language variations
  - 2.2. Definitions for industry-standard terms
  - 2.3. Redundant specifications
  - 2.4. Needless cross-references

The use of this new style does not change the meaning of a specification not yet using this style.

Sections 1 through 9 include general specifications applicable to every contract unless specified as applicable under certain conditions.

Sections 10 through 15 include specifications for general construction applicable to every contract unless specified as applicable under certain conditions.

The specifications are written to the Bidder before award and the Contractor after. Before award, interpret sentences written in the imperative mood as starting with "The Bidder must" and interpret "you" as "the Bidder" and "your" as "the Bidder's." After award, interpret sentences written in the imperative mood as starting with "The Contractor must" and interpret "you" as "the Contractor" and "your" as "the Contractor's."

Omission of "a," "an," and "the" is intentional. These articles have been omitted in some specifications for streamlining purposes.

Unless an object or activity is specified to be less than the total, the quantity or amount is all of the object or activity.

A plural term includes the singular.

All items in a list apply unless the items are specified as choices.

Headings are included for the purposes of organization and referencing. Inclusion of a heading with no related content, "Reserved," or "Not Used" does not indicate that no specification exists for that subject; applicable specifications may be covered in a general or referenced specification.

## **1-2 REFERENCES**

### **1-2.01 REFERENCES**

Where Standard Specifications refer to the special provisions to describe the work, interpret the reference as a reference to the Bid Item List, the special provisions, or both.

Interpret a reference to a section of the Standard Specifications as a reference to the Standard Specifications as revised by any amendment, special provision, or both.

A reference within parentheses to a law or regulation is included in the contract for convenience only and is not a comprehensive listing of related laws and regulations. Lack of a reference does not indicate no related laws or regulations exist.

Where the version of a referenced document is not specified, use the current version in effect on the date of Notice to Bidders.

A reference to a subsection includes the section's general specifications of which the subsection is a part.

A code not specified as a Federal code is a California code.

### 1-3 ABBREVIATIONS AND MEASUREMENT UNITS

#### 1-3.01 ABBREVIATIONS

<b>Abbreviations</b>	
<b>Abbreviation</b>	<b>Meaning</b>
AAN	American Association of Nurserymen
AASHTO	American Association of State Highway and Transportation Officials
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AMA	archaeological monitoring area
ANSI	American National Standards Institute
APHA	American Public Health Association
API	American Petroleum Institute
AREMA	American Railway Engineering and Maintenance-of-Way Association
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
AWG	American Wire Gage
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
CIH	Certified Industrial Hygienist
DBE	Disadvantaged Business Enterprise
DVBE	Disabled Veteran Business Enterprise
EIA	Electronic Industries Alliance
ESA	environmentally sensitive area
ETL	Electrical Testing Laboratories
(F)	final pay item
FHWA	Federal Highway Administration
IEEE	Institute of Electrical and Electronics Engineers
ITE	Institute of Transportation Engineers
NEC	National Electrical Code
NETA	National Electrical Testing Association, Inc.
NEMA	National Electrical Manufacturers Association
PLAC	permit, license, agreement, certification, or any combination of these
RFI	request for information
SSPC	The Society for Protective Coatings
TIA	time impact analysis
UL	Underwriters' Laboratories Inc.

### 1-3.02 MEASUREMENT UNITS

Measurement Units		
Symbols as used in the specifications	Symbols as used in the Bid Item List	Meaning
A	—	amperes
	ACRE	acre
	CF	cubic foot
	CY	cubic yard
--	EA	each
g	--	gram
ksi	--	kips per square inch
	GAL	gallon
h	H	hour
	LB	pound
--	LS	lump sum
	LF	linear foot
	LNMI	lane mile
	MFBM	thousand foot board measure
	MI	mile
	MSYD	thousand station yard
$\Omega$	--	ohm
pcf	--	pounds per cubic foot
s	--	second
	STA	100 feet
	SQFT	square foot
	SQYD	square yard
	TAB	tablet
ton	TON	2,000 pounds
V	--	volt
W	--	watt
--	WDAY	working day

### 1-4 DEFINITIONS

#### 1-4.01 GENERAL

Interpret terms as defined in the contract documents. A construction-industry term not defined in the contract documents has the meaning defined in Means Illustrated Construction Dictionary, Condensed Version, Second Edition.

#### 1-4.02 GLOSSARY

**aerially deposited lead:** Lead primarily from vehicle emissions deposited within unpaved areas or formerly unpaved areas.

**archaeological monitoring area:** Area within, near, or straddling the project limits where access is allowed, but work is subject to archaeological monitoring.

**archaeological resources:** Remains of past human activity, including historic and prehistoric material (e.g., tools and tool fragments, hearth and food remains, structural remains, and human remains).

**acceptance:** Formal written acceptance by the Director of an entire contract that has been completed in all respects in accordance with the plans and specifications and any modifications to them previously approved.

**base:** Layer of specified material of planned thickness placed immediately below the pavement or surfacing.

**basement material:** Material in excavation or embankments underlying the lowest layer of subbase, base, pavement, surfacing, or other specified layer to be placed.

**bid item:** Specific work unit for which the bidder provides a price.

**Bid Item List:** List of bid items and the associated quantities.

**Bid Item List, verified:** Bid Item List with verified prices. The Contract Proposal of Low Bidder at the Department's Web site is the verified Bid Item List.

**bridge:** Structure, with a bridge number, that carries a utility facility, or railroad, highway, pedestrian or other traffic, over a water course or over or under or around any obstruction.

**building-construction contract:** Contract that has "building construction" on the cover of the Notice to Bidders and Special Provisions.

**business day:** Day on the calendar except Saturday or holiday.

**California Manual on Uniform Traffic Control Devices:** The California Manual on Uniform Traffic Control Devices for Streets and Highways (California MUTCD) is issued by the Department of Transportation and is the Federal Highway Administration's MUTCD 2003 Edition, as amended for use in California.

**Certified Industrial Hygienist:** Industrial hygienist certified in comprehensive practice by the American Board of Industrial Hygiene.

**conduit:** Pipe or tube in which smaller pipes, tubes, or electrical conductors are inserted or are to be inserted.

**contract:** Written and executed contract between the Department and the Contractor.

**contract bonds:** Security for the payment of workers and suppliers furnishing materials, labor, and services and for guaranteeing the Contractor's work performance.

**contract item:** Bid item.

**Contractor:** Person or business or its legal representative entering into a contract with the Department for performance of the work.

**culvert:** Structure, other than a bridge, that provides an opening under a roadway for drainage or other purposes.

**day:** 24 consecutive hours running from midnight to midnight; calendar day.

**deduction:** Amount of money permanently taken from progress payment and final payment. Deductions are not retentions under Pub Cont Code § 7107.

**Department:** Department of Transportation as defined in St & Hwy Code § 20 and authorized in St & Hwy Code § 90; its authorized representatives.

**detour:** Temporary route for traffic around a closed road part. A passageway through a job site is not a detour.

**Director:** Department's Director.

**Disabled Veteran Business Enterprise:** Business certified as a DVBE by the Office of Small Business and DVBE Services, Department of General Services.

**Disadvantaged Business Enterprise:** Disadvantaged Business Enterprise as defined in 49 CFR 26.5.

**divided highway:** Highway with separated traveled ways for traffic, generally in opposite directions.

**Engineer:** Department's Chief Engineer acting either directly or through properly authorized agents; the agents acting within the scope of the particular duties delegated to them.

**environmentally sensitive area:** Area within, near, or straddling the project limits where access is prohibited or limited to protect environmental resources.

**Federal-aid contract:** Contract that has a Federal-aid project number on the cover of the Notice to Bidders and Special Provisions.

**fixed costs:** Labor, material, or equipment cost directly incurred by the Contractor as a result of performing or supplying a particular bid item that remains constant regardless of the item's quantity.

**frontage road:** Local street or road auxiliary to and located generally on the side of an arterial highway for service to abutting property and adjacent areas and for control of access.

**grading plane:** Basement material surface on which the lowest layer of subbase, base, pavement, surfacing, or other specified layer is placed.

**highway:** Whole right of way or area that is reserved for and secured for use in constructing the roadway and its appurtenances.

**holiday:**

1. Every Sunday
2. January 1st, New Year's Day
3. 3rd Monday in January, Birthday of Martin Luther King, Jr.
4. February 12th, Lincoln's Birthday
5. 3rd Monday in February, Washington's Birthday
6. March 31st, Cesar Chavez Day
7. Last Monday in May, Memorial Day
8. July 4th, Independence Day
9. 1st Monday in September, Labor Day
10. 2nd Monday in October, Columbus Day
11. November 11th, Veterans Day
12. 4th Thursday in November, Thanksgiving Day

13. Day after Thanksgiving Day
14. December 25th, Christmas Day

If January 1st, February 12th, March 31st, July 4th, November 11th, or December 25th falls on a Sunday, the Monday following is a holiday. If November 11th falls on a Saturday, the preceding Friday is a holiday. Interpret "legal holiday" as "holiday."

**idle equipment:** Equipment:

1. On the job site at the start of a delay
2. Idled because of the delay
3. Not operated during the delay

**informal-bid contract:** Contract that has "Informal Bid Authorized by Pub Cont Code §10122" on the cover of the Notice to Bidders and Special Provisions.

**Information Handout:** Supplemental project information furnished to bidders as a handout.

**laboratory:** Laboratory authorized by the Department to test materials.

**liquidated damages:** Amount prescribed in the specifications, pursuant to the authority of Pub Cont Code § 10226, to be paid to the State or to be deducted for each day's delay in completing the whole or any specified portion of the work beyond the time allowed in the specifications.

**listed species:** Any species listed as threatened or endangered under (1) Federal Endangered Species Act of 1973, 16 USC §1531 et seq., (2) California Endangered Species Act, Fish & Game Code §§ 2050–2115.5, (3) or both.

**material shortage:** Shortage of raw or produced material that is area-wide and caused by an unusual market condition, except if any of the following occurs:

1. Shortage relates to a produced, nonstandard material
2. Supplier's and the Contractor's priority for filling an order differs
3. Event outside the U.S. for a material produced outside the U.S.

**median:** Portion of a divided highway separating the traveled ways for traffic in opposite directions including inside shoulders.

**mobilization:** Preparatory work that must be performed or costs incurred before starting work on the various items on the job site (Pub Cont Code § 10104).

**Notice to Bidders:** Document that provides a general work description, bidder and bid specifications, and the time and location the Department receives bids.

**paleontological resources:** Fossils and the deposits they are found in. Fossils are evidence of ancient life preserved in sediments and rock. Examples of paleontological resources are remains of (1) animals, (2) animal tracks, (3) plants, and (4) other organisms. Archaeological resources are not paleontological and fossils found within an archaeological resource are generally considered archaeological resources, not paleontological resources.

**pavement:** Uppermost layer of material placed on the traveled way or shoulders. This term is used interchangeably with surfacing.

**permitted biological activities:** Monitoring, surveying, or other practices that require a take permit and project specific permission from U.S. Fish and Wildlife Service or NOAA Fisheries or a take permit or Memorandum of Understanding with Department of Fish and Game.

**plans:** Official project plans and Standard Plans, profiles, typical cross sections, working drawings and supplemental drawings, or reproductions thereof, approved by the Engineer, which show the location, character, dimensions and details of the work to be performed. These documents are to be considered as a part of the plans.

In the above definition, the following terms are defined as follows:

**Standard Plans:** Standard Plans issued by the Department.

**project plans:** Specific details and dimensions peculiar to the work supplemented by the Standard Plans insofar as the same may apply.

**protective radius:** Minimum distance between construction activities and regulated species.

**regulated species:** Any species protected by one or any combination of the following:

1. Federal Endangered Species Act of 1973, 16 USC §1531 et seq.
2. California Endangered Species Act, Fish & Game Code §§2050–2115.5
3. Fish & Game Code §§1600–1616
4. National Environmental Policy Act, 42 USC §4321 et seq.
5. California Environmental Quality Act, Pub Res Code § 21000 et.seq.

6. Other law or regulation that governs activities that affect species or their habitats.

**roadbed:** Area between the intersection of the upper surface of the roadway and the side slopes or curb lines. The roadbed rises in elevation as each increment or layer of subbase, base, surfacing or pavement is placed. Where the medians are so wide as to include areas of undisturbed land, a divided highway is considered as including 2 separate roadbeds.

**roadway:** Highway portion included between the outside lines of sidewalks, or curbs, slopes, ditches, channels, waterways, and including all the appertaining structures, and other features necessary to proper drainage and protection.

**routine biological activities:** Biological monitoring, surveying, or other activity that does not require a take permit from the U.S. Fish and Wildlife Service or NOAA Fisheries or a take permit or Memorandum of Understanding with Department of Fish and Game.

**service-approved biologist:** Biologist whose activities must be approved by a state or federal agency as provided in PLACs.

**shoulder:** Roadway portion contiguous with the traveled way for accommodation of stopped vehicles, for emergency use, and for lateral support of base and surface courses.

**small tool:** Tool or piece of equipment not listed in Labor Surcharge and Equipment Rental Rates that has a replacement value of \$500 or less.

**special provisions:** Specific clauses setting forth conditions or requirements peculiar to the work and supplementary to these Standard Specifications. The Department's publication titled "Labor Surcharge And Equipment Rental Rates" is part of the special provisions.

**specifications:** Directions, provisions, and requirements contained in these Standard Specifications, Amendments to the Standard Specifications, and the special provisions. Where the term "these specifications" or "these Standard Specifications" is used in this book, it means the provisions set forth in this book.

**State:** State of California, including its agencies, departments, or divisions, whose conduct or action is related to the work.

**Structure Design:** Offices of Structure Design of the Department.

**subbase:** Layer of specified material of planned thickness between a base and the basement material.

**subgrade:** Roadbed portion on which pavement, surfacing, base, subbase, or a layer of any other material is placed.

**substructure:** Bridge portions below the bridge seats, tops of piers, haunches of rigid frames, or below the spring lines of arches. Backwalls and parapets of abutments and wingwalls of bridges are portions of the substructure.

**superstructure:** Bridge portion except the bridge substructure.

**supplemental project information:** Information relevant to the project, specified as supplemental project information, and made available to bidders.

**surfacing:** Uppermost layer of material placed on the traveled way, or shoulders. This term is used interchangeably with pavement.

**take:** Legal definition regarding harm to listed species as defined in 16 USC §1532 and Fish & Game Code § 86.

**take permit:** Permit granted by the US Fish and Wildlife Service or by the NOAA Fisheries that allows take of federal listed species under 16 USC §1539 or by the Department of Fish & Game that allows take of state listed species under to Fish & Game Code § 2081.

**traffic lane:** Portion of a traveled way for the movement of a single line of vehicles.

**traveled way:** Portion of the roadway for the movement of vehicles, exclusive of shoulders.

**total bid:** Sum of the item totals as verified by the Department; original contract price.

**withhold:** Money temporarily or permanently taken from progress payment. Withholds are not retentions under Pub Cont Code § 7107.

**work:** All the work specified, indicated, shown or contemplated in the contract to construct the improvement, including all alterations, amendments, or extensions to it made by contract change order or other written orders of the Engineer.

**working day:** Time measure unit for work progress. A working day is any day except:

1. Saturdays and holidays
2. A day when you cannot perform work on the controlling activity for at least 50 percent of the day with at least 50 percent of the normal labor and equipment due to any of the following:
  - 2.1. Adverse weather-related conditions that cause you to dismiss the crew

- 2.2. Maintaining traffic under the contract
- 2.3. The Engineer's direction to suspend the controlling activities for reasons unrelated to your performance
- 2.4. An unanticipated event not caused by either party such as:
  - 2.4.1. Act of God (Pub Cont Code § 7105)
  - 2.4.2. Act of a public enemy
  - 2.4.3. Epidemic
  - 2.4.4. Fire
  - 2.4.5. Flood
  - 2.4.6. Governor-declared state of emergency
  - 2.4.7. Landslide
  - 2.4.8. Quarantine restriction
- 2.5. An issue involving a third-party, including:
  - 2.5.1. Industry or area-wide labor strike
  - 2.5.2. Material shortage
  - 2.5.3. Freight embargo
  - 2.5.4. Jurisdictional requirement of a law enforcement agency
  - 2.5.5. Workforce labor dispute of a utility or non-highway facility owner resulting in a utility or non-highway facility reconstruction not described and not solely for the Contractor's convenience

## 1-5 DISTRICTS

**District Composition and Office Addresses**

District	Counties	Location Address	Mailing Address
1	Del Norte (DN), Humboldt (Hum), Lake (Lak), Mendocino (Men)	1656 UNION ST EUREKA, CA	PO BOX 3700 EUREKA CA 95502
2	Lassen (Las), Modoc (Mod), Plumas (Plu), Shasta (Sha), Siskiyou (Sis), Tehama (Teh), Trinity (Tri)	1657 RIVERSIDE DR REDDING, CA	PO BOX 496073 REDDING CA 96049-6073
3	Butte (But), Colusa (Col), El Dorado (ED), Glenn (Gle), Nevada (Nev), Placer (Pla), Sacramento (Sac), Sierra (Sie), Sutter (Sut), Yolo (Yol), Yuba (Yub)	703 B ST MARYSVILLE, CA	703 B ST MARYSVILLE CA 95901
4	Alameda (Ala), Contra Costa (CC), Marin (Mrn), Napa (Nap), San Francisco (SF), San Mateo (SM), Santa Clara (SCI), Solano (Sol), Sonoma (Son)	111 GRAND AVE OAKLAND, CA	PO BOX 23660 OAKLAND CA 94623-0660
5	Monterey (Mon), San Benito (SBt), San Luis Obispo (SLO), Santa Barbara (SB), Santa Cruz (SCr)	50 HIGUERA ST SAN LUIS OBISPO, CA	50 HIGUERA ST SAN LUIS OBISPO CA 93401-5415
6	Fresno (Fre), Kern (Ker), Kings (Kin), Madera (Mad), Tulare (Tul)	1352 W. OLIVE AVE FRESNO, CA	PO BOX 12616 FRESNO CA 93728-2616
7	Los Angeles (LA), Ventura (Ven)	100 S. MAIN ST LOS ANGELES	100 S MAIN ST LOS ANGELES CA 90012
8	Riverside (Riv), San Bernardino (SBd)	464 W 4TH ST SAN BERNARDINO, CA	464 W 4TH ST SAN BERNARDINO CA 92401-1400
9	Inyo (Iny), Mono (Mno)	500 S MAIN ST BISHOP, CA	500 S MAIN ST BISHOP CA 93514-3423
10	Alpine (Alp), Amador (Ama), Calaveras (Cal), Mariposa (Mpa), Merced (Mer), San Joaquin (SJ), Stanislaus (Sta), Tuolumne (Tuo)	1976 E CHARTER WAY STOCKTON, CA	PO BOX 2048 STOCKTON CA 95201
11	Imperial (Imp), San Diego (SD)	4050 TAYLOR ST SAN DIEGO, CA	4050 TAYLOR ST SAN DIEGO CA 92110-2737
12	Orange (Ora)	3347 MICHELSON DR STE 100 IRVINE, CA	3347 MICHELSON DR STE 100 IRVINE CA 92612-0661

A project with work in District 1, 2, or 3 is a North Region project. For Districts 1, 2, and 3, interpret each reference to the district office as the North Region office. The North Region office address is the District 3 address.

**1-6 WEB SITES, ADDRESSES, AND TELEPHONE NUMBERS**

**Web Sites, Addresses, and Telephone Numbers**

Agency, Department Unit, or Reference	Web Site	Address	Telephone No.
Bidders' Exchange	<a href="http://www.dot.ca.gov/hq/esc/oe/bidex">www.dot.ca.gov/hq/esc/oe/bidex</a>	MSC 26 BIDDERS' EXCHANGE DEPARTMENT OF TRANSPORTATION 1727 30TH ST SACRAMENTO CA 95816-7005	(916) 227-6259
Department	<a href="http://www.dot.ca.gov">www.dot.ca.gov</a>		
Department of General Services, Office of Small Business and DVBE Services	<a href="http://www.pd.dgs.ca.gov/smbus/default.htm">www.pd.dgs.ca.gov/smbus/default.htm</a>	OFFICE OF SMALL BUSINESS AND DVBE SERVICES DEPARTMENT OF GENERAL SERVICES 707 3RD ST WEST SACRAMENTO CA 95605- 2811	(800) 559-5529 (916) 375-4940
Department of Industrial Relations	<a href="http://www.dir.ca.gov">www.dir.ca.gov</a>		
Department of Industrial Relations, Division of Apprenticeship Standards		455 GOLDEN GATE AVENUE SAN FRANCISCO, CA 94102	
Division of Accounting, Office of External Accounts Payable	<a href="http://www.dot.ca.gov/hq/asc/oap/payments/contact.htm#conpets1">http://www.dot.ca.gov/hq/asc/oap/payments/contact.htm#conpets1</a>	MAJOR CONSTRUCTION PAYMENT AND INFORMATION UNIT OFFICE OF EXTERNAL ACCOUNTS PAYABLE DIVISION OF ACCOUNTING DEPARTMENT OF TRANSPORTATION P.O. BOX 168043 SACRAMENTO, CA 95816-8043	(916) 227-9013
Office Engineer		MSC 43 OFFICE ENGINEER DEPARTMENT OF TRANSPORTATION 1727 30TH ST SACRAMENTO CA 95816-7005	
Office Engineer--All Projects Currently Advertised	<a href="http://www.dot.ca.gov/hq/esc/oe/weekly_ads/all_advertised.php">http://www.dot.ca.gov/hq/esc/oe/weekly_ads/all_advertised.php</a>		
Offices of Structure Design, Documents Unit		MSC 9-4/4I DOCUMENTS UNIT OFFICES OF STRUCTURE DESIGN DEPARTMENT OF TRANSPORTATION 1801 30TH ST SACRAMENTO CA 95816-7006	(916) 227-0716
Publication Distribution Unit		PUBLICATION UNIT DEPARTMENT OF TRANSPORTATION 1900 ROYAL OAKS DRIVE SACRAMENTO CA 95815-3800	



For rock cores, also include the bridge number in your request.  
If bridge as-built drawings are available:

1. For a project in District 1 through 6 or 10, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357
2. For a project in District 7, 8, 9, 11, or 12, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357, and they are available at the Office of Structure Maintenance and Investigations, Los Angeles, CA, telephone (213) 897-0877

As-built drawings may not show existing dimensions and conditions. Where new construction dimensions are dependent on existing bridge dimensions, verify the field dimensions and adjust dimensions of the work to fit existing conditions.

## **2-1.04–2-1.10 RESERVED**

### **2-1.11 JOB SITE AND DOCUMENT EXAMINATION**

Examine the job site and bid documents.

Bid submission is your acknowledgment that you have examined the job site and bid documents and are satisfied with:

1. General and local conditions to be encountered
2. Character, quality, and scope of work to be performed
3. Quantities of materials to be furnished
4. Character, quality, and quantity of surface and subsurface materials or obstacles
5. Requirements of the contract

### **2-1.12 BID DOCUMENT COMPLETION**

#### **2-1.12A General**

Complete forms in the Bid book.

Except for the bid item number and the percentage of each item subcontracted, do not fax submittals.

#### **2-1.12B Bid Item List and Bid Comparison**

Submit a bid based on the work item quantities the Department shows in the Bid Item List.

For a lump sum based bid, the Department compares bids based on the total price.

For a unit price based bid, the Department compares bids based on the sum of the item totals.

For a cost plus time based bid, the Department compares bids based on the sum of the item totals and the total bid for time. If your bid for time exceeds the number of working days described in the Notice to Bidders, your bid is nonresponsive.

#### **2-1.12C Subcontractor List**

In the Subcontractor List, list each subcontractor to perform work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.)

The Subcontractor List must show the name, address, and work portions to be performed by each subcontractor listed. Show work portion by bid item number, description, and percentage of each bid item subcontracted.

On the Subcontractor List you may either submit each subcontracted bid item number and corresponding percentage with your bid or fax these numbers and percentages to (916) 227-6282 within 24 hours after bid opening. Failure to do so results in a nonresponsive bid.

### **2-1.13 BIDDER'S SECURITY**

Submit your bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

1. Cash
2. Cashier's check
3. Certified check
4. Bidder's bond signed by a surety insurer who is licensed in California



**Replace Section 3 with:**

**SECTION 3 CONTRACT AWARD AND EXECUTION**

**3-1.01 SCOPE**

Section 3, "Contract Award and Execution," includes specifications related to contract award and execution.

**3-1.02 CONTRACT AWARD**

Submit any bid protest to the Office Engineer.

If the Department awards the contract, the award is made to the lowest responsible bidder within the number of days shown in the following table:

<b>Contract Award Period</b>	
Days (after bid opening)	Project Estimated Cost shown in the Notice to Bidders
30	< \$200 million
60	≥ \$200 million

The Department may extend the specified award period if the bidder agrees.

You may request to extend the award period by faxing a request to (916) 227-6282 before 4:00 p.m. on the last day of the award period. If you do not make this request, after the specified award period:

1. Your bid becomes invalid
2. You are not eligible for the award of the contract

**3-1.03 CONTRACT BONDS (PUB CONT CODE §§ 10221 AND 10222)**

The successful bidder must furnish:

1. Payment bond to secure the claim payments of laborers, workers, mechanics, or materialmen providing goods, labor, or services under the contract. This bond must be equal to at least 100 percent of the total bid.
2. Performance bond to guarantee the faithful performance of the contract. This bond must be equal to at least 50 percent of the total bid.

The Department furnishes the successful bidder with the bond forms.

**3-1.04 CONTRACTOR LICENSE**

For a Federal-aid contract, the Bidder must be properly licensed (Pub Cont Code § 10164) from contract award through contract acceptance.

For a non-Federal-aid contract:

1. The Bidder must be properly licensed from bid opening through contract acceptance (Bus & Prof Code § 7028.15)
2. Joint venture bidders must obtain a joint venture license before contract award (Bus & Prof Code § 7029.1)

**3-1.05 INSURANCE POLICIES**

The successful bidder must submit:

1. Copy of its commercial general liability policy and its excess policy or binder until such time as a policy is available, including the declarations page, applicable endorsements, riders, and other modifications in effect at the time of contract execution. Standard ISO form No. CG 0001 or similar exclusions are allowed if not inconsistent with Section 7-1.12, "Indemnification and Insurance." Allowance of additional exclusions is at the discretion of the Department.
2. Certificate of insurance showing all other required coverages. Certificates of insurance, as evidence of required insurance for the auto liability and any other required policy, shall set forth deductible amounts applicable to each policy and all exclusions that are added by endorsement to each policy. The evidence of insurance shall provide that no cancellation, lapse, or reduction of coverage will occur without 10 days prior written notice to the Department.

3. A declaration under the penalty of perjury by a CPA certifying the accountant has applied GAAP guidelines confirming the successful bidder has sufficient funds and resources to cover any self-insured retentions if the self-insured retention is over \$50,000.

If the successful bidder uses any form of self-insurance for workers compensation in lieu of an insurance policy, it shall submit a certificate of consent to self-insure under Labor Code § 3700.

### **3-1.06 FORM FHWA-1273**

For a federal-aid contract, form FHWA-1273 is included with the Contract form in the documents sent to the successful bidder for execution. Comply with its provisions. Interpret the training and promotion section as specified in section 7-1.50A.

### **3-1.07–3-1.08 RESERVED**

### **3-1.09 CONTRACT EXECUTION**

The successful bidder must sign the contract and return it, including the attached form FHWA-1273, to the Office Engineer along with:

1. Contract bonds
2. Documents identified in Section 3-1.05, "Insurance Policies"

For an informal-bid contract, the Office Engineer must receive these documents before the 5th business day after the bidder receives the contract. For all other contracts, the Office Engineer must receive these documents before the 10th business day after the bidder receives the contract.

The bidder's security may be forfeited for failure to execute the contract within the time specified (Pub Cont Code §§ 10181, 10182, and 10183).

The following is a copy of the Contract form:



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
CONTRACT NO.

This contract is entered into between the State of California's Department of Transportation and the Contractor named below:

\_\_\_\_\_  
CONTRACTOR'S NAME

The parties agree to comply with the terms of the following exhibits that are by this reference made a part of this contract.

- Exhibit A - Bid book dated \_\_\_\_\_
- Exhibit B - Notice to Bidders and Special Provisions dated \_\_\_\_\_
- Exhibit C - Project Plans approved \_\_\_\_\_
- Exhibit D - Standard Specifications dated \_\_\_\_\_
- Exhibit E - Standard Plans dated \_\_\_\_\_
- Exhibit F - Addenda \_\_\_\_\_

Exhibits A, B, C, and F are those exhibits identified with the same contract number as this contract.

**This contract has been executed by the following parties:**

**CONTRACTOR**

\_\_\_\_\_  
CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.)

BY (Authorized Signature) \_\_\_\_\_ DATE SIGNED (Do not type) \_\_\_\_\_  
PRINTED NAME AND TITLE OF PERSON SIGNING \_\_\_\_\_

FEDERAL EMPLOYER IDENTIFICATION NUMBER \_\_\_\_\_ LICENSE NUMBER \_\_\_\_\_

**DEPARTMENT OF TRANSPORTATION**

BY (Authorized Signature) \_\_\_\_\_ DATE SIGNED (Do not type) \_\_\_\_\_  
PRINTED NAME AND TITLE OF PERSON SIGNING \_\_\_\_\_

**This contract has been certified as complying with the State Contract Act:**

BY (Authorized Signature) \_\_\_\_\_ DATE SIGNED (Do not type) \_\_\_\_\_  
PRINTED NAME AND TITLE OF PERSON SIGNING \_\_\_\_\_

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.



remaining portion of the work will be classed as extra work. Extra work also includes work specifically designated as extra work in the plans or specifications.

**Add:**

**4-1.035 VALUE ENGINEERING**

**4-1.035A General**

Reserved

**4-1.035B Value Engineering Change Proposal**

You may submit a VECP to reduce any of the following:

1. Total cost of construction
2. Construction activity duration
3. Traffic congestion

Before preparing a VECP, meet with the Engineer to discuss:

1. Proposal concept
2. Permit issues
3. Impact on other projects
4. Project impacts, including traffic, schedule, and later stages
5. Peer reviews
6. Overall proposal merits
7. Review times required by the Department and other agencies

The VECP must not impair the project's essential functions or characteristics, such as:

1. Service life
2. Operation economy
3. Maintenance ease
4. Desired appearance
5. Design and safety

The VECP must include:

1. Description of the contract specifications and drawing details for performing the work and the proposed changes.
2. Itemization of contract specifications and drawing details that would be changed.
3. Detailed cost estimate for performing the work under the existing contract and under the proposed change. Determine the estimates under Section 9-1.03, "Force Account Payment."
4. Deadline for the Engineer to decide on the changes.
5. Bid items affected and resulting quantity changes.

The Department is not required to consider a VECP. If a VECP is similar to a change in the plans or specifications being considered by the Department at the time the proposal is submitted or if the proposal is based on or similar to drawings or specifications adopted by the Department before Contract award, the Department does not accept the VECP and may make these changes without VECP payments.

Until the Department approves a change order incorporating the VECP or parts of it, continue to perform the work under the contract. If the Department does not approve a change order before the deadline stated in the VECP or other date you subsequently stated in writing, the VECP is rejected. The Department does not adjust time or payment for a rejected VECP.

The Department decides whether to accept a VECP and the estimated net construction-cost savings from adopting the VECP or parts of it.

The Department may require you to accept a share of the investigation cost as a condition of reviewing a VECP. After written acceptance, the Department considers the VECP and deducts the agreed cost.

If the Department accepts the VECP or parts of it, the Department issues a change order that:



**Add:**

**5-1.005 GENERAL**

Failure to comply with any specification part is a waiver of your right to an adjustment of time and payment related to that part.

After contract approval, submit documents and direct questions to the Engineer. Orders, approvals, authorizations, and requests to the Contractor are by the Engineer.

The Engineer furnishes the following in writing:

1. Approvals
2. Authorizations
3. Certifications
4. Decisions
5. Notifications
6. Orders
7. Responses

The Contractor must furnish the following in writing:

1. Assignments
2. Notifications
3. Proposals
4. Reports
5. Requests, including RFIs, sequentially numbered
6. Subcontracts
7. Test results

The Department rejects a form if it has any error or any omission.

Convert foreign language documents to English.

Use contract administration forms available at the Department's Web site.

If the last day for submitting a document falls on a Saturday or holiday, it may be submitted on the next business day with the same effect as if it had been submitted on the day specified.

**Add to 5-1.01:**

Failure to enforce a contract provision does not waive enforcement of any contract provision.

**Add:**

**5-1.011 PROTESTS**

You may protest an Engineer's decision by submitting an RFI under Section 5-1.145, "Requests for Information."

**Add:**

**5-1.012 PARTNERING**

**5-1.012A General**

The Department strives to work cooperatively with all contractors; partnering is our way of doing business. The Department encourages project partnering among the project team, made up of significant contributors from the Department and the Contractor, and their invited stakeholders.

For a project with a total bid greater than \$1 million, professionally facilitated project partnering is encouraged.

For a project with a total bid greater than \$10 million, professionally facilitated project partnering is required.

In implementing project partnering, you and the Engineer manage the contract by:

1. Using early and regular communication with involved parties
2. Establishing and maintaining a relationship of shared trust, equity, and commitment
3. Identifying, quantifying, and supporting attainment of mutual goals
4. Developing strategies for using risk management concepts

5. Implementing timely communication and decision making
6. Resolving potential problems at the lowest possible level to avoid negative impacts
7. Holding periodic partnering meetings and workshops as appropriate to maintain partnering relationships and benefits throughout the life of the project
8. Establishing periodic joint evaluations of the partnering process and attainment of mutual goals

Partnering does not void any contract part.

The Department's "Field Guide to Partnering on Caltrans Construction Projects" current at the time of bid is available to the project team as reference. This guide provides structure, context, and clarity to the partnering process requirements. This guide is available at the Department's Partnering Program website:

<http://www.dot.ca.gov/hq/construc/partnering.html>

In implementing project partnering, the project team must:

1. Create a partnering charter that includes:
  - 1.1. Mutual goals, including core project goals and may also include project-specific goals and mutually supported individual goals.
  - 1.2. Partnering maintenance and close-out plan.
  - 1.3. Dispute resolution plan that includes a dispute resolution ladder and may also include use of facilitated dispute resolution sessions.
  - 1.4. Team commitment statement and signatures.
2. Participate in monthly partnering evaluation surveys to measure progress on mutual goals and may also measure short-term key issues as they arise.
3. Evaluate the partnering facilitator on Forms CEM-5501 and CEM-5502. The Engineer provides the evaluation forms to the project team and collects the results. The Department makes evaluation results available upon request. Facilitator evaluations must be completed:
  - 3.1. At the end of the initial partnering workshop on Form CEM-5501.
  - 3.2. At the end of the project close-out partnering workshop on Form CEM-5502.
4. Conduct a project close-out partnering workshop.
5. Document lessons learned before contract acceptance.

#### **5-1.012B Partnering Facilitator, Workshops, and Monthly Evaluation Surveys**

The Engineer sends you a written invitation to enter into a partnering relationship after contract approval. Respond within 15 days to accept the invitation and request the initial and additional partnering workshops. After the Engineer receives the request, you and the Engineer cooperatively:

1. Select a partnering facilitator that offers the service of a monthly partnering evaluation survey with a 5-point rating and agrees to follow the Department's "Partnering Facilitator Standards and Expectations" available at the Department's Partnering Program website
2. Schedule initial partnering workshop
3. Determine initial workshop site and duration
4. Agree to other workshop administrative details

Additional partnering workshops and sessions are encouraged throughout the life of the project as determined necessary by you and the Engineer, recommended quarterly.

#### **5-1.012C Training in Partnering Skills Development**

For a project with a total bid of \$25 million or greater, training in partnering skills development is required.

For a project with a total bid between \$10 million and \$25 million, training in partnering skills is optional.

You and the Engineer cooperatively schedule the training session and select a professional trainer, training site, and 1 to 4 topics from the following list to be covered in the training:

1. Active Listening
2. Building Teams
3. Change Management
4. Communication
5. Conflict Resolution
6. Cultural Diversity
7. Dealing with Difficult People
8. Decision Making
9. Effective Escalation Ladders
10. Emotional Intelligence
11. Empathy
12. Ethics
13. Facilitation Skills
14. Leadership
15. Partnering Process and Concepts
16. Project Management
17. Project Organization
18. Problem Solving
19. Running Effective Meetings
20. Time Management
21. Win-Win Negotiation

Before the initial partnering workshop, the trainer conducts a 1-day training session in partnering skills development for the Contractor's and the Engineer's representatives. This training session must be a separate session from the initial partnering workshop and must be conducted locally. The training session must be consistent with the partnering principles under the Department's "Field Guide to Partnering on Caltrans Construction Projects."

Send at least 2 representatives to the training session. One of these must be your assigned representative as specified in Section 5-1.06, "Superintendence," of the Standard Specifications.

**5-1.012D Payment**

The Department pays you for:

1. 1/2 of partnering workshops and sessions based on facilitator and workshop site cost
2. 1/2 of monthly partnering evaluation survey service cost
3. Partnering skills development trainer and training site cost

The Department determines the costs based on invoice prices minus any available or offered discounts. The Department does not pay markups on these costs.

The Department does not pay for wages, travel expenses, or other costs associated with the partnering workshops and sessions, monthly partnering evaluation surveys, and training in partnering skills development.

**Add:**

**5-1.015 RECORDS**

**5-1.015A General**

Reserved

**5-1.015B Record Retention**

Retain project records from bid preparation through:

1. Final payment
2. Resolution of claims, if any

For at least 3 years after the later of these, retain cost records, including records of:

1. Bid preparation
2. Overhead
3. Payrolls

4. Payments to suppliers and subcontractors
5. Cost accounting

Maintain the records in an organized way in the original format, electronic and hard copy, conducive to professional review and audit.

#### **5-1.015C Record Inspection, Copying, and Auditing**

Make your records available for inspection, copying, and auditing by State representatives for the same time frame specified under Section 5-1.015B, "Record Retention." The records of subcontractors and suppliers must be made available for inspection, copying, and auditing by State representatives for the same period. Before contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier 5 business days before inspection, copying, or auditing.

If an audit is to start more than 30 days after contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier when the audit is to start.

#### **5-1.015D Cost Accounting Records**

Maintain cost accounting records for the project distinguishing between the following work cost categories:

1. Work performed based on bid item prices
2. Work performed by change order other than extra work. Distinguish this work by:
  - 2.1. Bid item prices
  - 2.2. Force account
  - 2.3. Agreed price
3. Extra work. Distinguish extra work by:
  - 3.1. Bid item prices
  - 3.2. Force account
  - 3.3. Agreed price
  - 3.4. Specialist billing
4. Work performed under potential claim records
5. Overhead
6. Subcontractors, suppliers, owner-operators, and professional services

Cost accounting records must include:

1. Final cost code lists and definitions
2. Itemization of the materials used and corresponding vendor's invoice copies
3. Direct cost of labor
4. Equipment rental charges
5. Workers' certified payrolls
6. Equipment:
  - 6.1. Size
  - 6.2. Type
  - 6.3. Identification number
  - 6.4. Hours operated

#### **5-1.015E Extra Work Bills**

Maintain separate records for costs of work performed by change order.

Within 7 days after performing the work, submit extra work bills using the Department's Internet extra work billing system.

The Contractor submitting and the Engineer approving an extra work bill using the Internet force account work billing system is the same as each party signing the bill.

The Department provides billing system:

1. Training within 30 days of your written request
2. Accounts and user identification to your assigned representatives after a representative has received training

Each representative must maintain a unique password.

**Replace Section 5-1.04 with:**

**5-1.04 CONTRACT COMPONENTS**

A component in one contract part applies as if appearing in each. The parts are complementary and describe and provide for a complete work.

If a discrepancy exists:

1. The governing ranking of contract parts in descending order is:
  - 1.1. Special provisions
  - 1.2. Project plans
  - 1.3. Revised Standard Plans
  - 1.4. Standard Plans
  - 1.5. Amendments to the Standard Specifications
  - 1.6. Standard Specifications
  - 1.7. Supplemental project information
2. Written numbers and notes on a drawing govern over graphics
3. A detail drawing governs over a general drawing
4. A detail specification governs over a general specification
5. A specification in a section governs over a specification referenced by that section

If a discrepancy is found or confusion arises, request correction or clarification.

**Add:**

**5-1.055 SUBCONTRACTING**

**5-1.055A General**

No subcontract releases you from the contract or relieves you of your responsibility for a subcontractor's work.

If you violate Pub Cont Code § 4100 et seq., the Department may exercise the remedies provided under Pub Cont Code § 4110. The Department may refer the violation to the Contractors State License Board as provided under Pub Cont Code § 4111.

Except for a building-construction non-federal-aid contract, perform work equaling at least 30 percent of the value of the original total bid with your employees and with equipment owned or rented by you, with or without operators.

Each subcontract must comply with the contract.

The Department encourages you to include a dispute resolution process in each subcontract.

Each subcontractor must have an active and valid State contractor's license with a classification appropriate for the work to be performed (Bus & Prof Code, § 7000 et seq.).

Submit copies of subcontracts upon request.

Before subcontracted work starts, submit a Subcontracting Request form.

Do not use a debarred contractor; a current list of debarred contractors is available at the Department of Industrial Relations' Web site.

Upon request, immediately remove and not again use a subcontractor who fails to prosecute the work satisfactorily.

**Replace Section 5-1.07 with:**

**5-1.07 LINES AND GRADES**

The Engineer places stakes and marks under Chapter 12, "Construction Surveys," of the Department's Surveys Manual.

Submit your request for Department-furnished stakes:

1. On a Request for Construction Stakes form. Ensure:
  - 1.1. Requested staking area is ready for stakes
  - 1.2. You use the stakes in a reasonable time
2. A reasonable time before starting an activity using the stakes

Establish priorities for stakes and note priorities on the request.

Preserve stakes and marks placed by the Engineer. If the stakes or marks are destroyed, the Engineer replaces them at the Engineer's earliest convenience and deducts the cost.

**Replace Section 5-1.10 with:**

**5-1.10 EQUIPMENT**

Clearly stencil or stamp at a clearly visible location on each piece of equipment except hand tools an identifying number and:

1. On compacting equipment, its make, model number, and empty gross weight that is either the producer's rated weight or the scale weight
2. On meters and on the load-receiving element and indicators of each scale, the make, model, serial number, and producer's rated capacity

Submit a list:

1. Describing each piece of equipment
2. Showing its identifying number

Upon request, submit producer's information that designates portable vehicle scale capacities.

For proportioning materials, use measuring devices, material plant controllers, and undersupports complying with Section 9-1.01B, "Weighing Equipment and Procedures."

Measuring devices must be tested and approved under California Test 109 in the Department's presence by any of the following:

1. County Sealer of Weights and Measures
2. Scale Service Agency
3. Division of Measurement Standards Official

The indicator over-travel must be at least 1/3 of the loading travel. The indicators must be enclosed against moisture and dust.

Group measuring system dials such that the smallest increment for each indicator can be read from the location at which proportioning is controlled.

**Replace Section 5-1.116 with:**

**5-1.116 DIFFERING SITE CONDITIONS (23 CFR 635.109)**

**5-1.116A Contractor's Notification**

Promptly notify the Engineer if you find either of the following:

1. Physical conditions differing materially from either of the following:
  - 1.1. Contract documents
  - 1.2. Job site examination
2. Physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract

Include details explaining the information you relied on and the material differences you discovered.

If you fail to notify the Engineer promptly, you waive the differing site condition claim for the period between your discovery of the differing site condition and your notification to the Engineer.

If you disturb the site after discovery and before the Engineer's investigation, you waive the differing site condition claim.

#### **5-1.116B Engineer's Investigation and Decision**

Upon your notification, the Engineer investigates job site conditions and:

1. Notifies you whether to resume affected work
2. Decides whether the condition differs materially and is cause for an adjustment of time, payment, or both

You may protest the Engineer's decision.

#### **Replace Section 5-1.14 with:**

#### **5-1.14 COST REDUCTION INCENTIVE**

Comply with Section 4-1.035B, "Value Engineering Change Proposal."

#### **Add:**

#### **5-1.145 REQUESTS FOR INFORMATION**

Submit an RFI upon recognition of any event or question of fact arising under the Contract.

The Engineer responds to the RFI within 5 days. Proceed with the work unless otherwise ordered. You may protest the Engineer's response by:

1. Submitting an Initial Potential Claim Record within 5 days after receipt of the Engineer's response
2. Complying with Section 5-1.146, "Potential Claims and Dispute Resolution"

#### **Add:**

#### **5-1.146 POTENTIAL CLAIMS AND DISPUTE RESOLUTION**

##### **5-1.146A General**

Minimize and mitigate impacts of potentially claimed work or event.

For each potential claim, assign an identification number determined by chronological sequencing and the 1st date of the potential claim.

Use the identification number for each potential claim on the:

1. Initial Potential Claim Record
2. Supplemental Potential Claim Record
3. Full and Final Potential Claim Record

Failure to comply with this procedure is:

1. Waiver of the potential claim and a waiver of the right to a corresponding claim for the disputed work in the administrative claim procedure
2. Bar to arbitration (Pub Cont Code § 10240.2)

##### **5-1.146B Initial Potential Claim Record**

Submit an Initial Potential Claim Record within 5 days of the Engineer's response to the RFI or within 5 days from the date when a dispute arises due to an act or failure to act by the Engineer. The Initial Potential Claim Record establishes the claim nature and circumstances. The claim nature and circumstances must remain consistent.

The Engineer responds within 5 days of the date of the Initial Potential Claim Record. Proceed with the potentially claimed work unless ordered.

Within 20 days of a request, provide access to the project records determined necessary by the Engineer to evaluate the potential claim.

### **5-1.146C Supplemental Potential Claim Record**

Within 15 days of submitting the Initial Potential Claim Record, submit a Supplemental Potential Claim Record including:

1. Complete nature and circumstances causing the potential claim or event
2. Contract specifications supporting the basis of a claim
3. Estimated claim cost and an itemized breakdown of individual costs stating how the estimate was determined
4. TIA

The Engineer evaluates the Supplemental Potential Claim Record and furnishes you a response within 20 days of submittal. If the estimated cost or effect on the scheduled completion date changes, update the Supplemental Potential Claim Record information as soon as the change is recognized and submit this information.

### **5-1.146D Full and Final Potential Claim Record**

Notify the Engineer within 10 days of the completion date of the potentially claimed work. The Engineer approves this completion date or notifies you of a revised date.

Within 30 days of the completion of the potentially claimed work, submit a Full and Final Potential Claim Record including:

1. A detailed factual account of the events causing the potential claim, including:
  - 1.1. Necessary dates
  - 1.2. Locations
  - 1.3. Work items affected by the potential claim
2. The Contract documents supporting the potential claim and a statement of the reasons these parts support entitlement
3. If a payment adjustment is requested, an itemized cost breakdown. Segregate costs into the following categories:
  - 3.1. Labor, including:
    - 3.1.1. Individuals
    - 3.1.2. Classifications
    - 3.1.3. Regular and overtime hours worked
    - 3.1.4. Dates worked
  - 3.2. Materials, including:
    - 3.2.1. Invoices
    - 3.2.2. Purchase orders
    - 3.2.3. Location of materials either stored or incorporated into the work
    - 3.2.4. Dates materials were transported to the job site or incorporated into the work
  - 3.3. Equipment, including:
    - 3.3.1. Detailed descriptions, including make, model, and serial number
    - 3.3.2. Hours of use
    - 3.3.3. Dates of use
    - 3.3.4. Equipment rates at the rental rate listed in Labor Surcharge and Equipment Rental Rates in effect when the affected work related to the claim was performed
4. If a time adjustment is requested:
  - 4.1. Dates for the requested time.
  - 4.2. Reasons for a time adjustment.
  - 4.3. Contract documentation supporting the requested time adjustment.

4.4. TIA. The TIA must demonstrate entitlement to a time adjustment.

5. Identification and copies of your documents and copies of communications supporting the potential claim, including certified payrolls, bills, cancelled checks, job cost reports, payment records, and rental agreements
6. Relevant information, references, and arguments that support the potential claim

The Department does not consider a Full and Final Potential Claim Record that does not have the same nature, circumstances, and basis of claim as those specified on the Initial Potential Claim Record and Supplemental Potential Claim Record.

The Engineer evaluates the information presented in the Full and Final Potential Claim Record and furnishes you a response within 30 days of its receipt unless the Full and Final Potential Claim Record is submitted after Contract acceptance; in which case, a response may not be furnished. The Engineer's receipt of the Full and Final Potential Claim Record must be evidenced by postal return receipt or the Engineer's written receipt if delivered by hand.

#### **5-1.146E Dispute Resolution**

Comply with Section 5-1.15, "Dispute Resolution."

**Add:**

### **5-1.15 DISPUTE RESOLUTION**

#### **5-1.15A General**

Section 5-1.15, "Dispute Resolution," applies to a contract with 100 or more working days.

The dispute resolution process is not a substitute for the submitting an RFI or a potential claim record.

#### **5-1.15B Dispute Resolution Advisor**

Section 5-1.15B, "Dispute Resolution Advisor," applies to a contract with a total bid from \$3 million to \$10 million.

A dispute resolution advisor, hereinafter referred to as "DRA," is chosen by the Department and the Contractor to assist in the resolution of disputes.

The DRA shall be established by the Department and the Contractor within 30 days of contract approval.

The Department and the Contractor shall each propose 3 potential DRA candidates. Each potential candidate shall provide the Department and the Contractor with their disclosure statement. The disclosure statement shall include a resume of the potential candidate's experience and a declaration statement describing past, present, anticipated, and planned relationships with all parties involved in this contract.

The Department and the Contractor shall select one of the 6 nominees to be the DRA. If the Department and the Contractor cannot agree on one candidate, the Department and the Contractor shall each choose one of the 3 nominated by the other. The final selection of the DRA will be decided by a coin toss between the two candidates.

The Department and the Contractor shall complete and adhere to the Dispute Resolution Advisor Agreement. No DRA meeting shall take place until the Dispute Resolution Advisor Agreement has been signed by all parties, unless all parties agree to sign it at the first meeting.

If DRA needs outside technical services, technical services shall be preapproved by both the Department and the Contractor.

DRA recommendations are nonbinding.

The Contractor shall not use the DRA for disputes between subcontractors or suppliers that have no grounds for a lawsuit against the Department.

DRA replacement is selected in the same manner as the original selection. The appointment of a replacement DRA will begin promptly upon determination of the need for replacement. The Dispute Resolution Advisor Agreement shall be amended to reflect the change of the DRA.

Failure of the Contractor to participate in selecting DRA will result in the withhold of 25 percent of the estimated value of all work performed during each estimate period that the Contractor fails to comply. DRA withholds will be released for payment on the next monthly progress payment following the date that the Contractor has provided assistance in choosing the DRA and no interest will be due the Contractor.

The State and the Contractor shall bear the costs and expenses of the DRA equally.

The DRA shall be compensated at an agreed rate of \$1,500 per day for time spent per meeting either at the start of the project or for a dispute. A member serving on more than one State DRA or Dispute Resolution Board, regardless the number of meetings per day shall not be paid more than the agreed rate per day. The agreed rate shall

be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel, and incidentals for each day or portion thereof that the DRA is at an authorized DRA meeting.

No additional compensation will be made for time spent by the DRA to review and research activities outside the official DRA meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRA, has been specifically agreed to in advance by the State and Contractor. Time away from the project that has been specifically agreed to in advance by the Department and the Contractor will be compensated at an agreed rate of \$150 per hour. The agreed amount of \$150 per hour shall include all incidentals including expenses for telephone, fax, and computer services.

The State will provide conference facilities for DRA meetings at no cost to the Contractor.

The Contractor shall make direct payments to the DRA for participation in authorized meetings and approved hourly rate charges from invoices submitted.

The State will reimburse the Contractor for the State's share of the costs.

There will be no markups applied to expenses associated with the DRA, either by the DRA or by the Contractor when requesting payment of the State's share of DRA expenses. Regardless of the DRA recommendation, neither party will be entitled to reimbursement of DRA costs from the other party.

The Contractor shall submit extra work bills and include invoices with original supporting documents for reimbursement of the State's share.

The cost of technical services will be borne equally by the State and Contractor. There will be no markups for these costs.

A copy of the "Dispute Resolution Advisor Agreement" to be executed by the Contractor, State and the DRA is as follows:

**DISPUTE RESOLUTION ADVISOR AGREEMENT**

\_\_\_\_\_  
(Contract Identification)

Contract No. \_\_\_\_\_

**THIS DISPUTE RESOLUTION ADVISOR AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," \_\_\_\_\_ hereinafter called the "CONTRACTOR," and \_\_\_\_\_, the Dispute Resolution Advisor, hereinafter called the "DRA."

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the Standard Specifications for the above referenced contract provides for the establishment and operation of the DRA to assist in resolving disputes; and

WHEREAS, the DRA is composed of one person, chosen by the CONTRACTOR and the STATE;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRA hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the timely resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRA. The DRA is to fairly and impartially consider disputes placed before it and provide recommendations for resolution of these disputes to the parties. The DRA shall provide recommendations based on the facts related to the dispute, the contract and applicable laws and regulations. The DRA shall perform the services necessary to participate in the DRA's actions as designated in Section III, Scope of Work.

**SECTION II DRA QUALIFICATIONS**

The DRA shall be knowledgeable in the type of construction and contract documents anticipated by the contract and shall have completed training through the Dispute Review Board Foundation. In addition, it is desirable for the DRA to have served on several State Dispute Resolution Boards (DRB).

No DRA shall have prior direct involvement in this contract. No DRA shall have a financial interest in this contract or parties thereto, including but not limited to the CONTRACTOR, subcontractors, suppliers, consultants, and legal and business services, within a period 6 months prior to award and during this contract. Exceptions to above are compensation for services on this or other DRAs and DRBs or retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

The DRA shall fully disclose all direct or indirect professional or personal relationships with all key members of the contract.

**SECTION III SCOPE OF WORK**

The Scope of Work of the DRA includes, but is not limited to, the following:

**A. PROCEDURES**

The DRA shall meet with the parties at the start of the project to establish procedures that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. The DRA established procedures shall only be implemented upon approval by the parties. Subsequent meetings shall be held only to hear disputes between the parties.

The DRA shall not meet with, or discuss contract issues with individual parties.

The State shall provide the DRA with the contract and all written correspondence regarding the dispute between the parties and, if available, the Contractor's supplemental potential claim record, and the Engineer's response to the supplemental potential claim record.

The parties shall not call the DRA who served on this contract as a witness in arbitration proceedings, which may arise from this contract.

The DRA shall have no claim against the STATE or the CONTRACTOR, or both, from claimed harm arising out of the parties' evaluations of the DRA's opinions.

## **B. DISPUTE MEETING**

The term "dispute meeting" as used in this subsection shall refer to both the informal and traditional dispute meeting processes, unless otherwise noted.

If the CONTRACTOR requests a dispute meeting with the DRA, the Contractor must simultaneously notify the STATE. Upon being notified of the need for a dispute meeting, the DRA shall review and consider the dispute. The DRA shall determine the time and location of the dispute meeting with due consideration for the needs and preferences of the parties, while recognizing the importance of a speedy resolution to the dispute.

Dispute meetings shall be conducted at any location that would be convenient and provide required facilities and access to necessary documentation.

Only the STATE's Area Construction Engineer, Resident Engineer, and Structure Representative and the CONTRACTOR's or subcontractor's, Superintendent or Project Manager may present information at a dispute meeting. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute. The exception to this is technical services, as described below:

The DRA, with approval of the parties, may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the two parties as specified in an approved contract change order. The CONTRACTOR shall not be entitled to markups for the payments made for these services.

At the dispute meeting the DRA may ask questions, seek clarification, and request further clarification of data presented by either of the parties as may be necessary to assist in making a fully informed recommendation. However, the DRA shall refrain from expressing opinions on the merits of statements on matters under dispute during the parties' presentations. Each party will be given ample time to fully present its position, make rebuttals, provide relevant documents, and respond to DRA questions and requests.

There shall be no testimony under oath or cross-examination, during DRA dispute meetings. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRA in conformance with the rules and regulations established at the first meeting between the DRA and parties. These established rules and regulations need not comply with prescribed legal laws of evidence.

Failure to attend a dispute meeting by either of the parties shall be conclusively considered by the DRA as indication that the non-attending party considers all written documents and correspondence submitted as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals at the meeting until all aspects of the dispute are thoroughly covered.

### **1. TRADITIONAL DISPUTE MEETING:**

The following procedure shall be used for the traditional dispute meeting:

- a. Within 5 days after receiving the STATE's written response to the CONTRACTOR's supplemental potential claim record, the CONTRACTOR shall refer the dispute to the DRA, if the CONTRACTOR wishes to further pursue the dispute. The CONTRACTOR shall make the referral in writing to the DRA, simultaneously copied to the STATE. The written dispute referral shall describe the disputed matter in individual discrete segments, so that it will be clear to both parties and the DRA what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
- b. The parties shall each be afforded an opportunity to be present and to be heard by the DRA, and to offer evidence. Either party furnishing written evidence or documentation to the DRA must furnish copies of such information to the other party a minimum of 10 days prior to the date the DRA is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRA may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party

at the same time the evidence is provided to the DRA. The DRA shall not consider evidence not furnished in conformance with the terms specified herein.

- c. Upon receipt by the DRA of a written referral of a dispute, the DRA shall convene to review and consider the dispute. The dispute meeting shall be held no later than 25 days after receipt of the written referral unless otherwise agreed to by all parties.
- d. The DRA shall furnish a written report to both parties. The DRA may request clarifying information of either party within 5 days after the DRA dispute meeting. Requested information shall be submitted to the DRA within 5 days of the DRA request. The DRA shall complete its report and submit it to the parties within 10 days of the DRA dispute meeting, except that time extensions may be granted at the request of the DRA with the written concurrence of both parties. The report shall summarize the facts considered, the contract language, law or regulation viewed by the DRA as pertinent to the dispute, and the DRA's interpretation and philosophy in arriving at its conclusions and recommendations and, if appropriate, recommends guidelines for determining compensation. The DRA's written opinion shall stand on its own, without attachments or appendices.
- e. Within 10 days after receiving the DRA's report, both parties shall respond to the DRA in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRA's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRA recommendation. Immediately after responses have been received from both parties, the DRA shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRA's report from the DRA prior to responding to the report. The DRA shall consider any clarification request only if submitted within 5 days of receipt of the DRA's report, and if submitted simultaneously in writing to both the DRA and the other party. Each party may submit only one request for clarification for any individual DRA report. The DRA shall respond, in writing, to requests for clarification within 5 days of receipt of such requests.
- f. Either party may seek a reconsideration of the DRA's recommendation. The DRA shall only grant reconsideration based upon submission of new evidence and if the request is submitted within the 10 day time limit specified for response to the DRA's written report. Each party may submit only one request for reconsideration regarding an individual DRA recommendation.
- g. If the parties are able to settle their dispute with the aid of the DRA's report, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties. If the parties cannot agree on compensation within 30 days of the acceptance by both parties of the settlement, either party may request the DRA to make a recommendation regarding compensation.

## **2. INFORMAL DISPUTE MEETING**

An informal dispute meeting shall be convened, only if, the parties and the DRA agree that this dispute resolution process is appropriate to settle the dispute.

The following procedure shall be used for the informal dispute meeting:

- a. The parties shall furnish the DRA with one copy of pertinent documents requested by the DRA that are or may become necessary for the DRA to perform its function. The party furnishing documents shall furnish such documents to the other party at the same time the document is provided to the DRA.
- b. After the dispute meeting has concluded, the DRA shall deliberate in private the same day, until a response to the parties is reached or as otherwise agreed to by the parties.
- c. The DRA then verbally delivers its recommendation with findings to the parties.
- d. After the recommendation is presented, the parties may ask for clarifications.
- e. Occasionally the DRA, on complex issues, may be unable to formulate a recommendation based on the information given at a dispute meeting. However, the DRA may provide the parties with advice on strengths and weaknesses of their prospective positions, in the hope of the parties reaching settlement.
- f. If the parties are able to settle their dispute with the aid of the DRA's opinion, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties.
- g. The DRA will not be bound by its oral recommendation in the event that a dispute is later heard by the DRA in a traditional dispute meeting.

Unless the dispute is settled, use of the informal dispute meeting does not relieve the parties of their responsibilities under Section 5-1.15B, "Dispute Resolution Advisor," of the Standard Specifications or Subsection, "Traditional Dispute Meeting," of this AGREEMENT. There will be no extension of time allowed for the process to permit the use of the informal dispute meeting, unless otherwise agreed to by the parties.

#### **SECTION IV TIME FOR BEGINNING AND COMPLETION**

Once established, the DRA shall be in operation until the day the Director accepts the contract. The DRA shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE or as agreed to by the parties.

#### **SECTION V PAYMENT**

The DRA shall be compensated at an agreed rate of \$1,500 per day for time spent per meeting, either at the start of the project or for a dispute. A member serving on more than one State DRA or DRB, regardless the number of meetings per day, shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for onsite time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof that the DRA is at an authorized DRA meeting. No additional compensation will be made for time spent by the DRA to review and research activities outside the official DRA meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRA), has been specifically agreed to in advance by the parties. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of \$150 per hour. The agreed amount of \$150 per hour shall include all incidentals including expenses for telephone, fax, and computer services. The State will provide administrative services such as conference facilities to the DRA.

##### **A. PAYMENT PROCESSING**

The CONTRACTOR shall make direct payments to the DRA for their participation in authorized meetings and approved hourly rate charges, from invoices submitted by the DRA, and technical services.

The DRA may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to the DRA until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

##### **B. INSPECTION OF COSTS RECORDS**

The DRA and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

#### **SECTION VI ASSIGNMENT OF TASKS OF WORK**

The DRA shall not assign the work of this AGREEMENT.

#### **SECTION VII TERMINATION OF DRA**

The DRA may resign after providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. The DRA may be terminated, by either party, for failing to fully comply at all times with all required employment or financial disclosure conditions of DRA membership in conformance with the terms of the contract and this AGREEMENT. Each party shall document the need for replacement and substantiate the replacement request in writing to the other party and the DRA.

#### **SECTION VIII LEGAL RELATIONS**

The parties hereto mutually understand and agree that the DRA in the performance of duties is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRA from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRA.

**SECTION IX CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRA, which documents and records are marked "Confidential - for use by the DRA only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRA findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of this AGREEMENT. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRA. However, the parties understand that such documents may be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

**SECTION X DISPUTES**

Disputes between the parties arising out of the work or other terms of this AGREEMENT that cannot be resolved by negotiation and mutual concurrence between the parties or through the administrative process provided in the contract shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications. Disputes between the DRA and the parties that cannot be resolved by negotiation and mutual concurrence shall be resolved in the appropriate forum.

**SECTION XI VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party, including the DRA, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

**SECTION XII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRA in progress, except for private meetings or deliberations of the DRA.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIII CERTIFICATION OF CONTRACTOR, DRA, AND STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRA

By: \_\_\_\_\_

Title: \_\_\_\_\_

CONTRACTOR

CALIFORNIA DEPARTMENT  
OF TRANSPORTATION

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

### **5-1.15C Dispute Resolution Board**

Section 5-1.15C, "Dispute Resolution Board," applies to a contract with a total bid of over \$10 million.

The Dispute Resolution Board, hereinafter referred to as "DRB," is a three member board established by the Department and Contractor to assist in the resolution of disputes.

The DRB shall be established by the Department and the Contractor within 45 days after contract approval.

The DRB shall consist of one member selected by the Department and approved by the Contractor, one member selected by the Contractor and approved by the Department, and a third member selected by the first 2 members and approved by both the Department and the Contractor.

The Department and Contractor shall provide the other written notification for approval of the name of their DRB nominee along with the nominee's disclosure statement.

Disclosure statements shall include a resume of the nominee's experience and a declaration statement describing past, present, anticipated, and planned relationships with all parties involved in this contract. Objections to nominees shall be based on a specific breach or violation of nominee responsibilities or on nominee qualifications. The Department or the Contractor may, on a one-time basis, object to the other's nominee without specifying a reason and this person shall not be selected for the DRB. Another person shall then be nominated within 15 days.

The 2 DRB members shall proceed with the selection of the third DRB member immediately after receiving written notification from the Department of their selection. The 2 DRB members shall provide their recommendation simultaneously to the parties within 15 days. The third member shall provide disclosure statement to the first 2 DRB members, to the Department, and the Contractor. The professional experience of the third DRB member shall complement that of the first 2 DRB members. The third DRB member shall be subject to mutual approval of the Department and the Contractor. If the 2 DRB members cannot agree on the third nominee, they shall submit a list of nominees to the Department and the Contractor for final selection and approval.

If the Department and the Contractor cannot agree on the third DRB member, or if the first 2 DRB members are unable to agree upon a recommendation, the Department and the Contractor shall select 6 names from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 of the State Contract Act. The 2 DRB members shall then select one of the 6 names by a blind draw.

The 3 DRB members shall appoint one member as a chairperson to provide leadership for the DRB's activities. The chairperson shall be approved by the Department and the Contractor. In the event of an impasse, the third DRB member shall become the chairperson.

The Department and Contractor shall complete and adhere to the Dispute Resolution Board Agreement. No DRB meeting shall take place until the Dispute Resolution Board Agreement has been signed by all parties, unless all parties agree to sign it at the first meeting.

If the DRB needs outside technical services, technical services shall be preapproved by both the Department and the Contractor.

DRB recommendations are nonbinding.

The Contractor shall not use the DRB for disputes between the subcontractors or suppliers that have no grounds for a lawsuit against the Department.

DRB member replacements are selected in the same manner as the original selection. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement. The Dispute Resolution Board Agreement shall be amended to reflect the change in the DRB.

Failure of the Contractor to participate in establishing the DRB will result in the withholding of 25 percent of the estimated value of all work performed during each estimate period that the Contractor fails to comply. DRB withholds will be released for payment on the next monthly progress payment following the date that the Contractor has provided assistance in establishing the DRB and no interest will be due the Contractor.

The Department and the Contractor shall bear the costs and expenses of the DRB equally.

Each DRB member shall be compensated at an agreed rate of \$1,500 per day for time spent per meeting either at the start of the project, for scheduled progress, or dispute meetings. A member serving on more than one Department DRB or Dispute Resolution Advisor (DRA), regardless of the number of meetings per day shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel, and incidentals for each day or portion thereof that the DRB member is at an authorized DRB meeting.

No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRB, has been specifically agreed to in advance by the Department and Contractor. Time away from the project, which has been specifically agreed to in advance by the Department and Contractor, will be compensated at an agreed rate of \$150 per hour. The agreed amount of \$150 per hour shall include all incidentals including expenses for telephone, fax, and computer services.

The Department will provide conference facilities for DRB meetings at no cost to the Contractor.

The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member.

The Department will reimburse the Contractor for the Department's share of the costs.

There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the Department's share of DRB expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

The Contractor shall submit extra work bills and include evidence of every payment to each DRB member in the form of a cancelled check or bank statement within 30 days of payment.

The cost of technical services requested by the DRB will be borne equally by the State and Contractor. There will be no markups for these costs.

A copy of the "Dispute Resolution Board Agreement" to be executed by the Department, Contractor, and the 3 DRB members after approval of the contract follows:

**DISPUTE RESOLUTION BOARD AGREEMENT**

\_\_\_\_\_  
(Contract Identification)

Contract No. \_\_\_\_\_

**THIS DISPUTE RESOLUTION BOARD AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," \_\_\_\_\_ hereinafter called the "CONTRACTOR," and the Dispute Resolution Board, hereinafter called the "DRB" consisting of the following members:

\_\_\_\_\_,  
(DRB Member) ,

\_\_\_\_\_,  
(DRB Member) ,

and \_\_\_\_\_  
(DRB Chairperson)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the Standard Specifications for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties; and

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the timely resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The DRB is to fairly and impartially consider disputes placed before it and provide recommendations for resolution of these disputes to the parties. The DRB shall provide recommendations based on the facts related to the dispute, the contract and applicable laws and regulations. The DRB shall perform the services necessary to participate in the DRB's actions as designated in Section III, Scope of Work.

**SECTION II DRB QUALIFICATIONS**

DRB members shall be knowledgeable in the type of construction and contract documents anticipated by the contract and shall have completed training through the Dispute Review Board Foundation.

No DRB member shall have prior direct involvement in this contract. No DRB member shall have a financial interest in this contract or parties thereto, including but not limited to the CONTRACTOR, subcontractors, suppliers, consultants, and legal and business services, within a period 6 months prior to award and during this contract. Exceptions to above are compensation for services on this or other DRBs and DRAs or retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

DRB members shall fully disclose all direct or indirect professional or personal relationships with all key members of the contract.

### **SECTION III SCOPE OF WORK**

The scope of work of the DRB includes, but is not limited to, the following:

#### **A. PROCEDURES**

The DRB shall establish procedures that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. The DRB established procedures shall only be implemented upon approval of the parties.

The DRB Chairperson shall schedule progress and dispute meetings and any other DRB activities.

The parties shall not call on any of the DRB members, who served on this contract, as a witness in arbitration proceedings, which may arise from this contract.

DRB members shall have no claim against the STATE or the CONTRACTOR, or both, from claimed harm arising out of the parties' evaluations of the DRB's opinions.

During progress or dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties. Discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

#### **B. PROGRESS MEETINGS**

DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Scheduled progress meetings may be waived, if the parties are in agreement, when the only work remaining is plant establishment work. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the STATE's representative of the status of the work as the STATE views it.
5. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
6. A brief description by the CONTRACTOR's and the STATE's representative of potential claims and disputes that have surfaced since the last meeting.
7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past potential claims and disputes.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

#### **C. DISPUTE MEETING**

The term "dispute meeting" as used in this subsection shall refer to both the informal and traditional dispute meeting processes, unless otherwise noted.

Either the STATE or the CONTRACTOR may request a dispute meeting with the DRB. The requesting party shall simultaneously notify the other party of each dispute meeting request. Upon being notified of the need for a dispute meeting, the DRB shall review and consider the dispute. The DRB shall determine the time and location of the dispute meeting with due consideration for the needs and preferences of the parties, while recognizing the importance of a speedy resolution to the dispute.

Dispute meetings shall be conducted at any location that would be convenient and provide required facilities and access to necessary documentation.

No DRB dispute meeting shall take place later than 30 days prior to acceptance of the contract.

Only the STATE's Area Construction Engineer, Resident Engineer, and Structure Representative and the CONTRACTOR's or subcontractor's, Superintendent or Project Manager may present information at a dispute meeting. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute. The exception to this is technical services, as described below:

The DRB, with approval of the parties, may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the two parties as specified in an approved contract change order. The CONTRACTOR shall not be entitled to markups for the payments made for these services.

At the dispute meeting the DRB may ask questions, seek clarification, and request further clarification of data presented by either of the parties as may be necessary to assist in making a fully informed recommendation. However, the DRB shall refrain from expressing opinions on the merits of statements on matters under dispute during the parties' presentations. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals at the meeting until all aspects of the dispute are thoroughly covered. Each party will be given ample time to fully present its position, make rebuttals, provide relevant documents, and respond to DRB questions and requests.

There shall be no testimony under oath or cross-examination, during DRB dispute meetings. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with the procedures established at the first meeting between the DRB and the parties. These established procedures need not comply with prescribed legal laws of evidence.

Failure to attend a dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers all written documents and correspondence submitted as their entire and complete argument.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by two or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB shall make every effort to reach a unanimous decision.

#### **1. TRADITIONAL DISPUTE MEETING:**

The following procedure shall be used for the traditional dispute meeting:

- a. Within 21 days after receiving the STATE's written response to the CONTRACTOR's supplemental potential claim record, the CONTRACTOR shall refer the dispute to the DRB if the CONTRACTOR wishes to further pursue the dispute. The CONTRACTOR shall make the referral in writing to the DRB, simultaneously copied to the STATE. The written dispute referral shall describe the disputed matter in individual discrete segments, so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
- b. The parties shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.
- c. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties.
- d. The DRB may request clarifying information of either party within 10 days after the dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request.
- e. The DRB shall furnish a written report to the parties with its conclusion(s) and recommendation(s). The DRB shall complete its report, including minority opinion, if any, and submit it to the parties within 30 days of the dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of the parties. The report shall summarize the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the dispute, and the DRB's interpretation and reasoning in arriving at its conclusion(s) and recommendation(s) and, if appropriate, recommends

guidelines for determining compensation. The DRB's written opinion shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

- f. Within 30 days after receiving the DRB's report, the parties shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or a written response requesting the DRB reconsider their recommendation, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.
- g. Either party may seek a reconsideration of the DRB's recommendation. The DRB shall only grant reconsideration based upon submission of new evidence and if the request is submitted within the 30 day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.
- h. If the parties are able to settle their dispute with the aid of the DRB's report, the STATE and the CONTRACTOR shall promptly accept and implement the settlement of the parties. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the settlement, either party may request the DRB to make a recommendation regarding compensation.

## **2. INFORMAL DISPUTE MEETING**

An informal dispute meeting shall be convened, only if, the parties and the DRB agree that this dispute resolution process is appropriate to settle the dispute.

The following procedure shall be used for the informal dispute meeting:

- a. The parties shall furnish the DRB with one copy of pertinent documents requested by the DRB that are or may become necessary for the DRB to perform its function. The party furnishing documents shall furnish such documents to the other party at the same time the document is provided to the DRB.
- b. After the dispute meeting has concluded, the DRB members shall deliberate in private the same day until a response to the parties is reached or as otherwise agreed to by the parties.
- c. The DRB then verbally delivers its recommendation with findings, including minority opinion, if any, to the parties.
- d. After the recommendation is presented, the parties may ask for clarifications.
- e. Occasionally the DRB may be unable to formulate a recommendation based on the information given at a dispute meeting. However, the DRB may provide the parties with advice on strengths and weaknesses of their prospective positions, in the hope of the parties reaching settlement.
- f. If the parties are able to settle their dispute with the aid of the DRB's opinion, the STATE and the CONTRACTOR shall promptly accept and implement the settlement of the parties.
- g. The DRB will not be bound by its verbal recommendation in the event that a dispute is later heard by the DRB in a traditional dispute meeting.

Unless the dispute is settled, use of the informal dispute meeting does not relieve the parties of their responsibilities under Section 5-1.15C, "Dispute Resolution Board," of the Standard Specifications or subsection, "Traditional Dispute Meeting," of this AGREEMENT. There will be no extension of time allowed for the process to permit the use of the informal dispute meeting, unless otherwise agreed to by the parties.

## **SECTION IV TIME FOR BEGINNING AND COMPLETION**

DRB members shall not begin work under the terms of this AGREEMENT, until authorized in writing by the STATE or as agreed to by the parties. Once established, the DRB shall be in operation until the Director accepts the contract. If the contract is terminated in accordance with Section 8-1.08, "Termination of Control," of the Standard Specifications, the DRB will be dissolved.

## **SECTION V PAYMENT**

Each DRB member shall be compensated at an agreed rate of \$1,500 per day for time spent per meeting, either at start of project, or a scheduled progress or a dispute meeting. A member serving on more than one State DRB or DRA, regardless of the number of meetings per day, shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for on site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB member to review and research activities outside the official DRB meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRB, has been specifically agreed to in advance by the parties. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of \$150 per hour. The agreed amount of \$150 per hour shall include all incidentals including expenses for telephone, fax, and computer services. The State will provide administrative services such as conference facilities to the DRB.

### **A. PAYMENT PROCESSING**

The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges, from invoices submitted by each DRB member, and technical services.

DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and the CONTRACTOR.

### **B. INSPECTION OF COSTS RECORDS**

DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States federal government, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

## **SECTION VI ASSIGNMENT OF TASKS OF WORK**

DRB members shall not assign the work of this AGREEMENT.

## **SECTION VII TERMINATION OF A DRB MEMBER**

DRB members may resign after providing not less than 15 days written notice of their resignation to the STATE and the CONTRACTOR. A DRB member may be terminated, by either party, for failing to comply at all times with all required employment or financial disclosure conditions of DRB membership in conformance with the terms of the contract and this AGREEMENT.

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

- A. The State may terminate service of the State appointed member.
- B. The Contractor may terminate service of the Contractor appointed member.
- C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
- D. Upon resignation of a member.

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the 2 parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Resolution Board Agreement shall be amended to reflect the change of a DRB member.

Each party shall document the need for replacement and substantiate the replacement request in writing to the other party and DRB members.

**SECTION VIII LEGAL RELATIONS**

The parties hereto mutually understand and agree that each DRB member in the performance of duties is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

**SECTION IX CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of this AGREEMENT. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents may be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

**SECTION X DISPUTES**

Disputes between the parties arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications. Disputes between the DRB and either party, which cannot be resolved by negotiation and mutual concurrence, shall be resolved in the appropriate forum.

**SECTION XI VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

**SECTION XII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB that do not become part of the project records.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIII CERTIFICATION OF CONTRACTOR, DRB, AND STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

DRB MEMBER

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title : \_\_\_\_\_

DRB CHAIRPERSON

By : \_\_\_\_\_

Title : \_\_\_\_\_

CONTRACTOR

CALIFORNIA DEPARTMENT  
OF TRANSPORTATION

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

**Add:**

**5-1.16–5-17 (BLANK)**

**Add:**

**5-1.18 PROPERTY AND FACILITY PRESERVATION**

**5-1.18A General**

Preserve property and facilities, including:

1. Adjacent property
2. Department's instrumentation
3. ESAs
4. Lands administered by other agencies
5. Railroads and railroad equipment
6. Roadside vegetation not to be removed
7. Utilities
8. Waterways

Immediately report damage to the Engineer.

If you cause damage, you are responsible.

Install sheet piling, cribbing, bulkheads, shores, or other supports necessary to support existing facilities or support material carrying the facilities.

Dispose of temporary facilities when they are no longer needed.

If you damage plants not to be removed:

1. Dispose of them outside the right of way unless the Engineer allows you to reduce them to chips and spread the chips within the highway at locations designated by the Engineer
2. Replace them

Replace plants with plants of the same species.

Replace trees with 24-inch-box trees.

Replace shrubs with No. 15 container shrubs.

Replace ground cover plants with plants from flats. Replace *Carpobrotus* ground cover plants with plants from cuttings. Plant ground cover plants 1 foot on center.

If a plant establishment period is specified, replace plants before the start of the plant establishment period; otherwise, replace plants at least 30 days before Contract acceptance.

Water each plant immediately after planting and saturate the backfill soil around and below the roots or ball of earth around the roots of each plant. Water as necessary to maintain plants in a healthy condition until Contract acceptance.

The Department may make a temporary repair to restore service to a damaged facility.

If working on or adjacent to railroad property, do not interfere with railroad operations.

For an excavation on or affecting railroad property, submit work plans showing the system to be used to protect railroad facilities. Allow 65 days for the Engineer's review of the plans. Do not perform work based on the plans until the Engineer notifies you they are accepted.

**5-1.18B Nonhighway Facilities (Including Utilities)**

The Department may rearrange a nonhighway facility during the Contract. Rearrangement of a nonhighway facility includes installation, relocation, alteration, or removal of the facility. The Department may authorize facility owners and their agents to enter the highway to perform rearrangement work for their facilities or to make connections or repairs to their property. Coordinate activities to avoid delays.

Notify the Engineer at least 3 business days before you contact the regional notification center under Govt Code § 4216 et seq. Failure to contact the notification center prohibits excavation.

Before starting work that could damage or interfere with underground infrastructure, locate the infrastructure described in the Contract, including laterals and other appurtenances, and determine the presence of other underground infrastructure inferred from visible facilities such as buildings, meters, or junction boxes.



2. Improper operation
3. Insufficient maintenance
4. Abuse
5. Unauthorized change
6. Act of God

During the guarantee period, repair or replace each work portion having a substantial defect.

The Department does not pay for corrective work.

During corrective work activities, provide insurance coverage specified for coverage before contract acceptance.

The contract bonds must be in full force and effect until the later of:

1. Expiration of guarantee period
2. Completion of corrective work

If a warranty specification conflicts with Section 6-1.075, "Guarantee," comply with the warranty specification.

During the guarantee period, the Engineer monitors the completed work. If the Engineer finds work having a substantial defect, the Engineer lists work parts and furnishes you the list.

Within 10 days of receipt of the list, submit for authorization a detailed plan for correcting the work. Include a schedule that includes:

1. Start and completion dates
2. List of labor, equipment, materials, and any special services you plan to use
3. Work related to the corrective work, including traffic control and temporary and permanent pavement markings

The Engineer notifies you when the plan is authorized. Start corrective work and related work within 15 days of notice.

If the Engineer determines corrective work is urgently required to prevent injury or property damage:

1. The Engineer furnishes you a request to start emergency repair work and a list of parts requiring corrective work
2. Mobilize within 24 hours and start work
3. Submit a corrective work plan within 5 days of starting emergency repair work

If you fail to perform work as specified, the Department may perform the work and bill you.

**In Section 6-1.08 delete the 2nd paragraph.**

**Add:**

**6-1.085 BUY AMERICA (23 CFR 635.410)**

For a Federal-aid contract, furnish steel and iron materials to be incorporated into the work that are produced in the United States except:

1. Foreign pig iron and processed, pelletized, and reduced iron ore may be used in the domestic production of the steel and iron materials [60 Fed Reg 15478 (03/24/1995)]
2. If the total combined cost of the materials does not exceed the greater of 0.1 percent of the total bid or \$2,500, material produced outside the United States may be used

Production includes:

1. Processing steel and iron materials, including smelting or other processes that alter the physical form or shape (such as rolling, extruding, machining, bending, grinding, and drilling) or chemical composition
2. Coating application, including epoxy coating, galvanizing, and painting, that protects or enhances the value of steel and iron materials



**Replace Section 7-1.01 with:**

**7-1.01 LAWS TO BE OBSERVED**

Comply with laws, regulations, orders, decrees, and PLACs applicable to the project. Indemnify and defend the State against any claim or liability arising from the violation of a law, regulation, order, decree, or PLAC by you or your employees. Immediately report to the Engineer in writing a discrepancy or inconsistency between the contract and a law, regulation, order, decree, or PLAC.

**In Section 7-1.01A replace the 1st clause with:**

Work on the job site must comply with Labor Code §§ 1727 and 1770-1815 and 8 CA Code of Regs § 16000 et seq. Work includes roadside production and processing of materials.

**In Section 7-1.01A(2) in the 1st paragraph, replace item 3 with:**

3. Upon becoming aware of the subcontractor's failure to pay the specified prevailing rate of wages to the subcontractor's workers, the Contractor must diligently take corrective action to stop or rectify the failure, including withholding sufficient funds due the subcontractor for work performed on the public works project.

**In Section 7-1.01A(2), replace the 2nd paragraph with:**

Pursuant to Section 1775 of the Labor Code, the Division of Labor Standards Enforcement must notify the Contractor on a public works project within 15 days of the receipt by the Division of Labor Standards Enforcement of a complaint of the failure of a subcontractor on that public works project to pay workers the general prevailing rate of per diem wages. If the Division of Labor Standards Enforcement determines that employees of a subcontractor were not paid the general prevailing rate of per diem wages and if the Department did not withhold sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the Contractor must withhold an amount of moneys due the subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by the Division of Labor Standards Enforcement. The Contractor must pay any money withheld from and owed to a subcontractor upon receipt of notification by the Division of Labor Standards Enforcement that the wage complaint has been resolved. If notice of the resolution of the wage complaint has not been received by the Contractor within 180 days of the filing of a valid notice of completion or acceptance of the public works project, whichever occurs later, the Contractor must pay all moneys withheld from the subcontractor to the Department. The Department withholds these moneys pending the final decision of an enforcement action.

**In Section 7-1.01A(2) replace 7th paragraph with:**

Changes in general prevailing wage determinations apply to the contract when the Director of Industrial Relations has issued them at least 10 days before advertisement (Labor Code § 1773.6 and 8 CA Code of Regs 16204).

**In Section 7-1.01A(3) replace the 2nd paragraph with:**

The Department withholds the penalties specified in subdivision (g) of Labor Code § 1776 for noncompliance with the requirements in Section 1776.

**In Section 7-1.01A(3) replace the 4th paragraph with:**

The Department withholds for delinquent or inadequate payroll records (Labor Code § 1771.5). If the Contractor has not submitted an adequate payroll record by the month's 15th day for the period ending on or before the 1st of that month, the Department withholds 10 percent of the monthly progress estimate, exclusive of mobilization. The Department does not withhold more than \$10,000 or less than \$1,000.

**In Section 7-1.01A(3) delete the 5th paragraph.**

**Replace Section 7-1.01A(6) with:**

**7-1.01A(6) (Blank)**

**Replace Section 7-1.01A(7) with:**

**7-1.01A(7) (Blank)**

**Replace Section 7-1.01F with:**

**7-1.01F Environmental Stewardship**

Comply with Section 14.

**Replace Section 7-1.01I with:**

**7-1.01I (Blank)**

**In Section 7-1.02 in the 2nd paragraph, replace the 4th sentence with:**

Trucks used to haul treated base, portland cement concrete, or hot mix asphalt shall enter onto the base to dump at the nearest practical entry point ahead of spreading equipment.

**In Section 7-1.02 between the 4th and 5th paragraphs, add:**

Loads imposed on existing, new, or partially completed structures shall not exceed the load carrying capacity of the structure or any portion of the structure as determined by AASHTO LRFD with interims and California Amendments, Design Strength Limit State II. The compressive strength of concrete ( $f'_c$ ) to be used in computing the load carrying capacity shall be the smaller of the following:

1. Actual compressive strength at the time of loading
2. Value of  $f'_c$  shown on the plans for that portion of the structure or 2.5 times the value of  $f'_c$  (extreme fiber compressive stress in concrete at service loads) shown on the plans for portions of the structure where no  $f'_c$  is shown

**Replace Section 7-1.04 with:**

**7-1.04 PERMITS, LICENSES, AGREEMENTS, AND CERTIFICATIONS**

**7-1.04A General**

Comply with PLACs. The Department makes PLAC changes under Section 4-1.03, "Changes."

**7-1.04B Before Award**

To make a change to a PLAC made available to you before award, submit the proposed change. The Department sends the proposed change to the appropriate authority for consideration.

**7-1.04C After Award**

Confirm with the Engineer which after-award PLACs are obtained by the Department and which are obtained by the Contractor.

To make a change to an after-award PLAC obtained by the Department, submit the proposed change. The Department sends the proposed change to the appropriate authority for consideration.

Obtain those PLACs to be issued to you and pay fees and costs associated with obtaining them. Submit copies of Contractor-obtained after-award PLACs for review.

**In Section 7-1.06 in the 1st paragraph, add:**

The Contractor's Injury and Illness Prevention Program shall be submitted to the Engineer. The program shall address the use of personal and company issued electronic devices during work. The use of entertainment and personal communication devices in the work zone shall not be allowed. Workers may use a communication device for business purposes in the work area, at a location where their safety and the safety of other workers and the traveling public is not compromised.

**Replace Section 7-1.07 with:**

**7-1.07 Lead Compliance Plan**

Section 7-1.07 applies if a bid item for a lead compliance plan is included in the Contract.

Prepare a work plan to prevent or minimize worker exposure to lead while managing and handling earth materials, paint system debris, traffic stripe residue, and pavement marking residue containing lead. Regulations containing specific Cal/OSHA requirements when working with lead include 8 CA Code of Regs § 1532.1.

The plan must contain the items listed in 8 CA Code of Regs § 1532.1(e)(2)(B). Before submittal, a CIH must sign and seal the plan. Submit the plan at least 7 days before starting any activity that presents the potential for lead exposure. The Engineer notifies you of the acceptability of the plan within 4 business days of receipt.

Before starting any activity that presents the potential for lead exposure to employees who have no prior training, including State employees, provide a safety training program to these employees that complies with 8 CA Code of Regs § 1532.1 and your lead compliance program.

Submit copies of air monitoring or job site inspection reports made by or under the direction of the CIH under 8 CA Code of Regs § 1532.1 within 10 days after the date of monitoring or inspection.

Supply personal protective equipment, training, and washing facilities required by your lead compliance plan for 5 State employees.

The contract lump sum price paid for lead compliance plan includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in preparing and implementing the plan as specified in this section.

**Replace Section 7-1.08 with:**

**7-1.08 PUBLIC CONVENIENCE**

Compliance with the provisions of this section does not relieve you of your responsibility for public safety.

Construction activities must not inconvenience the public or abutting property owners. Schedule and conduct work to avoid unnecessary inconvenience to the public and abutting property owners. Avoid undue delay in construction activities to reduce the public's exposure to construction.

Where possible, route traffic on new or existing paved surfaces.

Maintain convenient access to driveways, houses, and buildings. When the abutting property owner's access across the right of way line is to be eliminated or replaced under the contract, the existing access must not be closed until the replacement access facilities are usable. Construct temporary approaches to crossings and intersecting highways.

Provide a reasonably smooth and even surface for use by traffic at all time during excavation of roadways and construction of embankments. Before other grading activities, place fill at culverts and bridges to allow traffic to cross. If ordered, excavate roadway cuts in layers and construct embankments in partial widths at a time alternating construction from one side to the other and routing traffic over the side opposite the one under construction. Install or construct culverts on only 1/2 the width of the traveled way at a time; keep the traveled way portion being used by traffic open and unobstructed until the opposite side of the traveled way is ready for use by traffic.

Upon completion of rough grading or placing any subsequent layer, bring the surface of the roadbed to a smooth and even condition, free of humps and depressions and satisfactory for the use of the public.

After subgrade preparation for a specified layer of material has been completed, repair any damage to the roadbed or completed subgrade, including damage due to use by the public.

While subgrade and paving activities are underway, allow the public to use the shoulders. If half-width paving methods are used, allow the public to use the side of the roadbed opposite the one under construction. If enough width is available, keep open a passageway wide enough to accommodate at least 2 lanes of traffic at locations where subgrade and paving activities are underway. Shape shoulders or reshape subgrade as necessary to accommodate traffic during subgrade preparation and paving activities.

Apply water or dust palliative for the prevention or alleviation of dust nuisance.

Install signs, lights, flares, temporary railing (Type K), barricades and other facilities to direct traffic. Furnish flaggers whenever necessary to direct the movement of the public through or around the work.

You will be required to pay the cost of replacing or repairing all facilities installed under extra work for the convenience or direction or warning of the public which are lost while in your custody, or are damaged by your operations to such an extent as to require replacement or repair.

The Engineer may order or consent to your request to open a completed section of surfacing, pavement, or structure roadway surface for public use. You will not be compensated for any delay to your construction activities caused by the public. This does not relieve you from any other contractual responsibility.

**Replace Section 7-1.09 with:**

**7-1.09 PUBLIC SAFETY**

You are responsible to provide for public safety.

Do not construct a temporary facility that interferes with the safe passage of traffic.

Control dust resulting from the work, inside and outside the right-of-way.

Move workers, equipment, and materials without endangering traffic.

Whenever your operations create a condition hazardous to the public, furnish, erect and maintain those fences, temporary railing, barricades, lights, signs, and other devices and take any other necessary protective measures to prevent damage or injury to the public.

Any fences, temporary railing, barricades, lights, signs, or other devices furnished, erected and maintained by you are in addition to those for which payment is provided elsewhere in the specifications.

Provide flaggers whenever necessary to ensure that the public is given safe guidance through the work zone. Except as ordered, at locations where traffic is being routed through construction under one-way controls, move your equipment in compliance with the one-way controls.

Use of signs, lights, flags, or other protective devices must conform with the California MUTCD and as ordered. Signs, lights, flags or other protective devices must not obscure the visibility of, nor conflict in intent, meaning and function of either existing signs, lights and traffic control devices or any construction area signs or traffic control devices.

Keep existing traffic signals and highway lighting in operation. Other entities perform routine maintenance of these facilities during the work.

Cover signs that direct traffic to a closed area. Providing, maintaining, and removing the covers on construction area signs is paid as extra work under Section 4-1.03D, "Extra Work."

Install temporary illumination in a manner which the illumination and the illumination equipment does not interfere with public safety. The installation of general roadway illumination does not relieve you from furnishing and maintaining any protective devices.

Equipment must enter and leave the highway via existing ramps and crossovers and must move in the direction of public traffic. All movements of workmen and construction equipment on or across lanes open to public traffic must be performed in a manner that will not endanger the public. Your vehicles or other mobile equipment leaving an open traffic lane to enter the construction area, must slow down gradually in advance of the location of the turnoff to give traffic following an opportunity to slow down. When leaving a work area and entering a roadway carrying public traffic, your vehicles and equipment must yield to public traffic.

Immediately remove hauling spillage from roadway lanes or shoulders open to traffic. When hauling on roadways, trim loads and remove material from shelf areas to minimize spillage.

Notify the Engineer not less than 25 days and not more than 125 days before the anticipated start of an activity that will change the vertical or horizontal clearance available to public traffic, including shoulders.

If vertical clearance is temporarily reduced to 15.5 feet or less, place low clearance warning signs in accordance with the California MUTCD and as ordered. Signs must comply with the dimensions, color, and legend requirements of the California MUTCD and these specifications except that the signs must have black letters and numbers on an orange retroreflective background. W12-2P signs must be illuminated so that the signs are clearly visible.

Pave or provide full width continuous and cleared wood walks for pedestrian openings through falsework. Protect pedestrians from falling objects and curing water for concrete. Extend overhead protection for pedestrians not less than 4 feet beyond the edge of the bridge deck. Illuminate all pedestrian openings through falsework. Temporary pedestrian facilities must comply with the American with Disabilities Act of 1990 (ADA).

Do not store vehicles, material, or equipment in a way that:

1. Creates a hazard to the public
2. Obstructs traffic control devices

Do not install or place temporary facilities used to perform the work which interfere with the free and safe passage of public traffic.

Temporary facilities which could be a hazard to public safety if improperly designed shall comply with design requirements specified in the contract for those facilities or, if none are specified, with standard design criteria or codes appropriate for the facility involved. Working drawings and design calculations for the temporary facilities shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California and shall be submitted to the Engineer for approval pursuant to Section 5-1.02, "Plans and Working Drawings." The

submittals shall designate thereon the standard design criteria or codes used. Installation of the temporary facilities shall not start until the Engineer has reviewed and approved the drawings.

If you appear to be neglectful or negligent in furnishing warning devices and taking protective measures, the Engineer may direct your attention to the existence of a hazard and the necessary warning devices must be furnished and installed and protective measures taken by you. If the Engineer points out the inadequacy of warning devices and protective measures, that action on the part of the Engineer does not relieve you from your responsibility for public safety or abrogate the obligation to furnish and pay for these devices and measures.

Install temporary railing (Type K) or other approved protection system under the following conditions:

1. Excavations: Where the near edge of the excavation is within 15 feet from the edge of an open traffic lane
2. Temporarily Unprotected Permanent Obstacles: When the work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and you elect to install the obstacle before installing the protective system; or you, for your convenience and as authorized, remove a portion of an existing protective railing at an obstacle and do not replace such railing completely the same day
3. Storage Areas: When material or equipment is stored within 15 feet of the edge of an open traffic lane and the storage is not otherwise prohibited by the provisions of these Standard Specifications and the special provisions
4. Height Differentials: When construction operations create a height differential greater than 0.15 feet within 15 feet of the edge of traffic lane

Temporary railing (Type K) does not need to be installed where excavations within 15 feet from edge of an open traffic lane are:

1. Covered with steel plates or concrete covers of adequate thickness to prevent accidental entry by traffic or the public
2. In side slopes, where the downhill slope is 4:1 (horizontal:vertical) or less unless a naturally occurring condition
3. Protected by existing barrier or railing

Offset the approach end of temporary railing (Type K) a minimum of 15 feet from the edge of an open traffic lane. Install the temporary railing on a skew toward the edge of the traffic lane of not more than one foot transversely to 10 feet longitudinally with respect to the edge of the traffic lane. If the 15-foot minimum offset cannot be achieved, the temporary railing must be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules must be installed at the approach end of the temporary railing.

Secure in place temporary railing (Type K) before starting work for which the temporary railing is required.

Where 2 or more lanes in the same direction are adjacent to the area where the work is being performed, including shoulders, the adjacent lane must be closed under any of the following conditions:

1. Work is off the traveled way but within 6 feet of the edge of traveled way, and approach speed is greater than 45 miles per hour
2. Work is off the traveled way but within 3 feet of the edge of traveled way, and approach speed is less than 45 miles per hour

Closure of the adjacent traffic lane is not required when:

1. Performing work behind a barrier
2. Paving, grinding, or grooving
3. Installing, maintaining, or removing traffic control devices except temporary railing (Type K)

Do not reduce an open traffic lane width to less than 10 feet. When traffic cones or delineators are used for temporary edge delineation, the line of cones or delineators is considered the edge of the traveled way.

If a traffic lane is closed with channelizers for excavation work, move the devices to the adjacent edge of the traveled way when not excavating. Space the devices the same as specified for the lane closure.

Do not move or temporarily suspend anything over a traffic lane open to the public unless the public is protected.

**Replace Section 7-1.11 with:**

**7-1.11 PRESERVATION OF PROPERTY**

Comply with Section 5-1.18, "Property and Facility Preservation."

**Replace Section 7-1.12 with:**

**7-1.12 INDEMNIFICATION AND INSURANCE**

The Contractor's obligations regarding indemnification of the State of California and the requirements for insurance shall conform to the provisions in Section 3-1.05, "Insurance Policies," and Sections 7-1.12A, "Indemnification," and 7-1.12B, "Insurance," of this Section 7-1.12.

**7-1.12A Indemnification**

The Contractor shall defend, indemnify, and save harmless the State, including its officers, employees, and agents (excluding agents who are design professionals) from any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, losses or liabilities, in law or in equity (Section 7-1.12A Claims) arising out of or in connection with the Contractor's performance of this contract for:

1. Bodily injury including, but not limited to, bodily injury, sickness or disease, emotional injury or death to persons, including, but not limited to, the public, any employees or agents of the Contractor, the State, or any other contractor; and
2. Damage to property of anyone including loss of use thereof; caused or alleged to be caused in whole or in part by any negligent or otherwise legally actionable act or omission of the Contractor or anyone directly or indirectly employed by the Contractor or anyone for whose acts the Contractor may be liable.

Except as otherwise provided by law, these requirements apply regardless of the existence or degree of fault of the State. The Contractor is not obligated to indemnify the State for Claims arising from conduct delineated in Civil Code Section 2782 and to Claims arising from any defective or substandard condition of the highway that existed at or before the start of work, unless this condition has been changed by the work or the scope of the work requires the Contractor to maintain existing highway facilities and the Claim arises from the Contractor's failure to maintain. The Contractor's defense and indemnity obligation shall extend to Claims arising after the work is completed and accepted if the Claims are directly related to alleged acts or omissions by the Contractor that occurred during the course of the work. State inspection is not a waiver of full compliance with these requirements.

The Contractor's obligation to defend and indemnify shall not be excused because of the Contractor's inability to evaluate liability or because the Contractor evaluates liability and determine that the Contractor is not liable. The Contractor shall respond within 30 days to the tender of any Claim for defense and indemnity by the State, unless this time has been extended by the State. If the Contractor fails to accept or reject a tender of defense and indemnity within 30 days, in addition to any other remedy authorized by law, the Department may withhold such funds the State reasonably considers necessary for its defense and indemnity until disposition has been made of the Claim or until the Contractor accepts or rejects the tender of defense, whichever occurs first.

With respect to third-party claims against the Contractor, the Contractor waives all rights of any type to express or implied indemnity against the State, its officers, employees, or agents (excluding agents who are design professionals).

Nothing in the Contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these indemnification specifications.

**7-1.12B Insurance**

**7-1.12B(1) General**

Nothing in the contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these insurance specifications.

**7-1.12B(2) Casualty Insurance**

The Contractor shall procure and maintain insurance on all of its operations with companies acceptable to the State as follows:

1. The Contractor shall keep all insurance in full force and effect from the beginning of the work through contract acceptance.

2. All insurance shall be with an insurance company with a rating from A.M. Best Financial Strength Rating of A- or better and a Financial Size Category of VII or better.
3. The Contractor shall maintain completed operations coverage with a carrier acceptable to the State through the expiration of the patent deficiency in construction statute of repose set forth in Code of Civil Procedure Section 337.15.

**7-1.12B(3) Workers' Compensation and Employer's Liability Insurance**

In accordance with Labor Code Section 1860, the Contractor shall secure the payment of worker's compensation in accordance with Labor Code Section 3700.

In accordance with Labor Code Section 1861, the Contractor shall submit to the Department the following certification before performing the work:

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

Contract execution constitutes certification submittal.

The Contractor shall provide Employer's Liability Insurance in amounts not less than:

1. \$1,000,000 for each accident for bodily injury by accident
2. \$1,000,000 policy limit for bodily injury by disease
3. \$1,000,000 for each employee for bodily injury by disease

If there is an exposure of injury to the Contractor's employees under the U.S. Longshoremen's and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations, or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

**7-1.12B(4) Liability Insurance**

**7-1.12B(4)(a) General**

The Contractor shall carry General Liability and Umbrella or Excess Liability Insurance covering all operations by or on behalf of the Contractor providing insurance for bodily injury liability and property damage liability for the following limits and including coverage for:

1. Premises, operations, and mobile equipment
2. Products and completed operations
3. Broad form property damage (including completed operations)
4. Explosion, collapse, and underground hazards
5. Personal injury
6. Contractual liability

**7-1.12B(4)(b) Liability Limits/Additional Insureds**

The limits of liability shall be at least the amounts shown in the following table:

Total Bid	For Each Occurrence <sup>1</sup>	Aggregate for Products/Completed Operation	General Aggregate <sup>2</sup>	Umbrella or Excess Liability <sup>3</sup>
≤\$1,000,000	\$1,000,000	\$2,000,000	\$2,000,000	\$5,000,000
>\$1,000,000				
≤\$10,000,000	\$1,000,000	\$2,000,000	\$2,000,000	\$10,000,000
>\$10,000,000				
≤\$25,000,000	\$2,000,000	\$2,000,000	\$4,000,000	\$15,000,000
>\$25,000,000	\$2,000,000	\$2,000,000	\$4,000,000	\$25,000,000
<ol style="list-style-type: none"> <li>1. Combined single limit for bodily injury and property damage.</li> <li>2. This limit shall apply separately to the Contractor's work under this contract.</li> <li>3. The umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted.</li> </ol>				

The Contractor shall not require certified Small Business subcontractors to carry Liability Insurance that exceeds the limits in the table above. Notwithstanding the limits specified herein, at the option of the Contractor, the liability insurance limits for certified Small Business subcontractors of any tier may be less than those limits specified in the table. For Small Business subcontracts, "Total Bid" shall be interpreted as the amount of subcontracted work to a certified Small Business.

The State, including its officers, directors, agents (excluding agents who are design professionals), and employees, shall be named as additional insureds under the General Liability and Umbrella Liability Policies with respect to liability arising out of or connected with work or operations performed by or on behalf of the Contractor under this contract. Coverage for such additional insureds does not extend to liability:

1. Arising from any defective or substandard condition of the roadway which existed at or before the time the Contractor started work, unless such condition has been changed by the work or the scope of the work requires the Contractor to maintain existing roadway facilities and the claim arises from the Contractor's failure to maintain;
2. For claims occurring after the work is completed and accepted unless these claims are directly related to alleged acts or omissions of the Contractor that occurred during the course of the work; or
3. To the extent prohibited by Insurance Code Section 11580.04

Additional insured coverage shall be provided by a policy provision or by an endorsement providing coverage at least as broad as Additional Insured (Form B) endorsement form CG 2010, as published by the Insurance Services Office (ISO), or other form designated by the Department.

#### **7-1.12B(4)(c) Contractor's Insurance Policy is Primary**

The policy shall stipulate that the insurance afforded the additional insureds applies as primary insurance. Any other insurance or self-insurance maintained by the State is excess only and shall not be called upon to contribute with this insurance.

#### **7-1.12B(5) Automobile Liability Insurance**

The Contractor shall carry automobile liability insurance, including coverage for all owned, hired, and nonowned automobiles. The primary limits of liability shall be not less than \$1,000,000 combined single limit each accident for bodily injury and property damage. The umbrella or excess liability coverage required under Section 7-1.12B(4)(b) also applies to automobile liability.

#### **7-1.12B(6) Policy Forms, Endorsements, and Certificates**

The Contractor shall provide its General Liability Insurance under Commercial General Liability policy form No. CG0001 as published by the Insurance Services Office (ISO) or under a policy form at least as broad as policy form No. CG0001.

#### **7-1.12B(7) Deductibles**

The State may expressly allow deductible clauses, which it does not consider excessive, overly broad, or harmful to the interests of the State. Regardless of the allowance of exclusions or deductions by the State, the Contractor is responsible for any deductible amount and shall warrant that the coverage provided to the State is in accordance with Section 7-1.12B, "Insurance."

#### **7-1.12B(8) Enforcement**

The Department may assure the Contractor's compliance with its insurance obligations. Ten days before an insurance policy lapses or is canceled during the contract period, the Contractor shall submit to the Department evidence of renewal or replacement of the policy.

If the Contractor fails to maintain any required insurance coverage, the Department may maintain this coverage and withhold or charge the expense to the Contractor or terminate the Contractor's control of the work in accordance with Section 8-1.08, "Termination of Control."

The Contractor is not relieved of its duties and responsibilities to indemnify, defend, and hold harmless the State, its officers, agents, and employees by the Department's acceptance of insurance policies and certificates.

Minimum insurance coverage amounts do not relieve the Contractor for liability in excess of such coverage, nor do they preclude the State from taking other actions available to it, including the withholding of funds under this contract.

**7-1.12B(9) Self-Insurance**

Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the State.

If the Contractor uses a self-insurance program or self-insured retention, the Contractor shall provide the State with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor will be bound by all laws as if the Contractor were an insurer as defined under Insurance Code Section 23 and that the self-insurance program or self-insured retention shall operate as insurance as defined under Insurance Code Section 22.

**Replace Section 7-1.125 with:**

**7-1.125 Legal Actions Against the Department**

If legal action is brought against the Department over compliance with a State or Federal law, rule, or regulation applicable to highway work, then:

1. If the Department, in complying with a court order, prohibits you from performing work, the resulting delay is a suspension related to your performance, unless the Department terminates the contract.
2. If a court order other than an order to show cause or the final judgment in the action prohibits the Department from requiring you to perform work, the Department may delete the prohibited work or terminate the contract.

**In Section 7-1.13 delete the 5th and 6th paragraphs.**

**Add:**

**7-1.50 FEDERAL LAWS FOR FEDERAL-AID CONTRACTS**

**7-1.50A General**

Section 7-1.50, "Federal Laws for Federal-Aid Contracts," includes specifications required in a Federal-aid construction contract and applies to a Federal-aid contract.

A copy of form FHWA-1273 is included in Section 7-1.50B, "FHWA-1273." The training and promotion section of section II refers to training provisions as if they were included in the special provisions. The Department specifies the provisions in section 7-1.11D of the Standard Specifications. If a number of trainees or apprentices is required, the Department specifies the number in the special provisions. Interpret each FHWA-1273 clause shown in the following table as having the same meaning as the corresponding Department clause:

**FHWA-1273 Nondiscrimination Clauses**

FHWA-1273 section	FHWA-1273 clause	Department clause
Training and Promotion	In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.	If section 7-1.11D applies, section 7-1.11D supersedes this subparagraph.
Records and Reports	If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.	If the Contract requires on-the-job training, collect and report training data.

**7-1.50B FHWA-1273**

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

**II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar

with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### **10. Assurance Required by 49 CFR 26.13(b):**

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or

will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## 3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

**3. Withholding for unpaid wages and liquidated damages.** The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

## VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

## VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

## VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

#### **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

##### **1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\*\*\*\*\*

## **2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

### **2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**7-1.50C Female and Minority Goals**

To comply with Section II, "Nondiscrimination," of "Required Contract Provisions Federal-Aid Construction Contracts," the Department is including in Section 7-1.50C, "Female and Minority Goals," female and minority utilization goals for Federal-aid construction contracts and subcontracts that exceed \$10,000.

The nationwide goal for female utilization is 6.9 percent.

The goals for minority utilization [45 Fed Reg 65984 (10/3/1980)] are as follows:

**Minority Utilization Goals**

Economic Area		Goal (Percent)
174	Redding CA: Non-SMSA Counties: CA Lassen; CA Modoc; CA Plumas; CA Shasta; CA Siskiyou; CA Tehema	6.8
175	Eureka, CA Non-SMSA Counties: CA Del Norte; CA Humboldt; CA Trinity	6.6
176	San Francisco-Oakland-San Jose, CA: SMSA Counties: 7120 Salinas-Seaside-Monterey, CA CA Monterey 7360 San Francisco-Oakland CA Alameda; CA Contra Costa; CA Marin; CA San Francisco; CA San Mateo 7400 San Jose, CA CA Santa Clara, CA 7485 Santa Cruz, CA CA Santa Cruz 7500 Santa Rosa CA Sonoma 8720 Vallejo-Fairfield-Napa, CA CA Napa; CA Solano Non-SMSA Counties: CA Lake; CA Mendocino; CA San Benito	28.9 25.6 19.6 14.9 9.1 17.1 23.2
177	Sacramento, CA: SMSA Counties: 6920 Sacramento, CA CA Placer; CA Sacramento; CA Yolo Non-SMSA Counties CA Butte; CA Colusa; CA El Dorado; CA Glenn; CA Nevada; CA Sierra; CA Sutter; CA Yuba	16.1 14.3
178	Stockton-Modesto, CA: SMSA Counties: 5170 Modesto, CA CA Stanislaus 8120 Stockton, CA CA San Joaquin Non-SMSA Counties CA Alpine; CA Amador; CA Calaveras; CA Mariposa; CA Merced; CA Toulumne	12.3 24.3 19.8
179	Fresno-Bakersfield, CA SMSA Counties: 0680 Bakersfield, CA CA Kern 2840 Fresno, CA CA Fresno Non-SMSA Counties:	19.1 26.1 23.6

	CA Kings; CA Madera; CA Tulare	
180	Los Angeles, CA: SMSA Counties: 0360 Anaheim-Santa Ana-Garden Grove, CA CA Orange 4480 Los Angeles-Long Beach, CA CA Los Angeles 6000 Oxnard-Simi Valley-Ventura, CA CA Ventura 6780 Riverside-San Bernardino-Ontario, CA CA Riverside; CA San Bernardino 7480 Santa Barbara-Santa Maria-Lompoc, CA CA Santa Barbara Non-SMSA Counties CA Inyo; CA Mono; CA San Luis Obispo	11.9  28.3  21.5  19.0  19.7  24.6
181	San Diego, CA: SMSA Counties 7320 San Diego, CA CA San Diego Non-SMSA Counties CA Imperial	16.9  18.2

For each July during which work is performed under the contract, you and each non-material-supplier subcontractor with a subcontract of \$10,000 or more must complete Form FHWA PR-1391 (Appendix C to 23 CFR 230). Submit the forms by August 15.

**7-1.50D Training**

Section 7-1.50D, "Training," applies if a number of trainees or apprentices is specified in the special provisions.

As part of your equal opportunity affirmative action program, provide on-the-job training to develop full journeymen in the types of trades or job classifications involved.

You have primary responsibility for meeting this training requirement.

If you subcontract a contract part, determine how many trainees or apprentices are to be trained by the subcontractor.

Include these training requirements in your subcontract.

Where feasible, 25 percent of apprentices or trainees in each occupation must be in their 1st year of apprenticeship or training.

Distribute the number of apprentices or trainees among the work classifications on the basis of your needs and the availability of journeymen in the various classifications within a reasonable recruitment area.

Before starting work, submit to the Department:

1. Number of apprentices or trainees to be trained for each classification
2. Training program to be used
3. Training starting date for each classification

Obtain the Department's approval for this submitted information before you start work. The Department credits you for each apprentice or trainee you employ on the work who is currently enrolled or becomes enrolled in an approved program.

The primary objective of Section 7-1.50D, "Training," is to train and upgrade minorities and women toward journeymen status. Make every effort to enroll minority and women apprentices or trainees, such as conducting systematic and direct recruitment through public and private sources likely to yield minority and women apprentices or trainees, to the extent they are available within a reasonable recruitment area. Show that you have made the efforts. In making these efforts, do not discriminate against any applicant for training.

Do not employ as an apprentice or trainee an employee:

1. In any classification in which the employee has successfully completed a training course leading to journeyman status or in which the employee has been employed as a journeyman
2. Who is not registered in a program approved by the US Department of Labor, Bureau of Apprenticeship and Training



**Replace Section 8 with:**  
**SECTION 8 PROSECUTION AND PROGRESS**

**8-1.01 (BLANK)**

**8-1.02 ASSIGNMENT**

No third-party agreement relieves you or your surety of your responsibility to complete the work. Do not sell, transfer, or otherwise dispose of any contract part without prior written consent from the Department.

If you assign the right to receive contract payments, the Department accepts the assignment upon the Engineer's receipt of a notice. Assigned payments remain subject to deductions and withholds described in the contract. The Department may use withheld payments for work completion whether payments are assigned or not.

**8-1.025 PRECONSTRUCTION CONFERENCE**

Attend a preconstruction conference with key personnel, including your assigned representative, at a time and location determined by the Engineer. Submit documents as required before the preconstruction conference. You may begin work before the preconstruction conference.

Be prepared to discuss the following topics and documents:

Topics	Document
Potential claim and dispute resolution	Potential claim forms
Contractor's representation	Assignment of Contractor's representative
DBE and DVBE	Final utilization reports
Equipment	Equipment list
Labor compliance and equal employment opportunity	Job site posters and benefit and payroll reports
Material inspection	Notice of Materials to be Used
Materials on hand	Request for Payment for Materials on Hand
Measurements	--
Partnering	Field Guide to Partnering on Caltrans Construction Projects
Quality control	QC plans
Safety	Injury and Illness Prevention Program and job site posters
Schedule	Baseline schedule and Weekly Statement of Working Days
Subcontracting	Subcontracting Request
Surveying	Survey Request
Traffic control	Traffic contingency plan and traffic control plans
Utility work	--
Weight limitations	--
Water pollution control	SWPPP or WPCP
Work restrictions	PLACs
Working drawings	--

**8-1.03 BEGINNING OF WORK**

Begin work within 15 days after receiving notice that the contract has been approved by the Attorney General or the attorney appointed and authorized to represent the Department. Submit a written notice 72 hours before beginning work. If the project has more than one location of work, submit a separate notice for each location.

You may begin work before receiving the notice of contract approval if you:

1. Deliver the signed contract, bonds, and evidence of insurance to the Department
2. Submit 72-hour notice
3. Obtain an encroachment permit from the Department
4. Are authorized by the Department to begin
5. Perform work at your own risk
6. Perform work under the contract

The Engineer does not count working days for days worked before contract approval.

If the contract is approved, work already performed that complies with the contract is authorized.

If the contract does not get approved, leave the job site in a neat condition. If a facility has been changed, restore it to its former or equivalent condition at your expense.

The Department does not adjust time for beginning before the approval date.

#### 8-1.04 PROGRESS SCHEDULE

##### 8-1.04A General

Reserved

##### 8-1.04B Critical Path Method Schedule

The following definitions apply to critical path method schedules:

**activity:** Task, event, or other project element on a schedule that contributes to completing the project.

Activities have a description, start date, finish date, duration, and one or more logic ties.

**baseline schedule:** The initial schedule showing the original work plan beginning on the date of contract approval. This schedule shows no completed work to date and no negative float or negative lag to any activity.

**controlling activity:** Construction activity that extends the scheduled completion date if delayed.

**critical path:** Longest continuous chain of activities for the project that has the least amount of total float of all chains. In general, a delay on the critical path extends the scheduled completion date.

**critical path method (CPM):** Network based planning technique using activity durations and relationships between activities to calculate a schedule for the entire project.

**revised schedule:** Schedule that incorporates a proposed or past change to logic or activity durations.

**scheduled completion date:** Planned project completion date shown on the current schedule.

**updated schedule:** Current schedule developed from the accepted baseline and any subsequent accepted updated or revised schedules through regular monthly review to incorporate actual past progress.

Before or at the preconstruction conference, submit a CPM baseline schedule.

Submit a monthly updated schedule that includes the status of work completed to date and the work yet to be performed as planned.

On each schedule, show:

1. Planned and actual start and completion date of each work activity, including applicable:
  - 1.1. Submittal development
  - 1.2. Submittal review and approval
  - 1.3. Material procurement
  - 1.4. Contract milestones and constraints
  - 1.5. Equipment and plant setup
  - 1.6. Interfaces with outside entities
  - 1.7. Erection and removal of falsework and shoring
  - 1.8. Test periods
  - 1.9. Major traffic stage change
  - 1.10. Final cleanup
2. Order that you propose to prosecute the work
3. Logical links between the time-scaled work activities
4. All controlling activities
5. Legible description of each activity
6. At least one predecessor and one successor to each activity, except for project start and project end milestones
7. Duration of not less than one working day for each activity
8. Start milestone date as the contract approval date

You may include changes on updated schedules that do not alter the critical path or extend the schedule completion date compared to the current schedule. Changes may include:

1. Adding or deleting activities
2. Changing activity constraints
3. Changing durations
4. Changing logic

If any proposed change in planned work results in altering the critical path or extending the scheduled completion date, submit a revised schedule within 15 days of the proposed change.

For each schedule submittal:

1. Submit a plotted original, time-scaled network diagram on a sheet of at least 8.5" x 11" with a title block and timeline
2. If a computer program is used to make the schedule, submit a read-only compact disc or diskette containing the schedule data. Label the compact disc or diskette with:
  - 2.1. Contract number
  - 2.2. CPM schedule number and date produced
  - 2.3. File name

If there is no contract item for progress schedule (critical path method), full compensation for this work is included in the contract prices paid for the items of work involved, and no additional compensation will be allowed therefor.

### **8-1.05 TEMPORARY SUSPENSION OF WORK**

#### **8-1.05A General**

The Engineer may suspend work wholly or in part due to any of the following:

1. Conditions are unsuitable for work progress.
2. You fail to do any of the following:
  - 2.1. Fulfill the Engineer's orders.
  - 2.2. Fulfill a contract part.
  - 2.3. Perform weather-dependent work when conditions are favorable so that weather-related unsuitable conditions are avoided or do not occur.

Upon the Engineer's written order of suspension, suspend work immediately. Provide for public safety and a smooth and unobstructed passageway through the work zone during the suspension as specified in Sections 7-1.08, "Public Convenience," and 7-1.09, "Public Safety." Resume work when ordered.

#### **8-1.05B Suspensions Unrelated to Contractor Performance**

For a suspension unrelated to your performance, providing for a smooth and unobstructed passageway through the work during the suspension will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

The days during a suspension unrelated to your performance are non-working days.

#### **8-1.05C Suspensions Related to Contractor Performance**

For a suspension related to your performance, the Department may provide for a smooth and unobstructed passageway through the work during the suspension and deduct the cost from payments.

The days during a suspension related to your performance are working days.

### **8-1.06 TIME OF COMPLETION**

The time to complete the work is specified in the special provisions.

The Engineer issues a Weekly Statement of Working Days by the end of the following week unless the contract is suspended for reasons unrelated to your performance.

The Weekly Statement of Working Days shows:

1. Working days and non-working days during the reporting week
2. Time adjustments
3. Work completion date computations, including working days remaining
4. Controlling activities

You may protest a Weekly Statement of Working Days.

## 8-1.07 LIQUIDATED DAMAGES

### 8-1.07A General

The Department specifies liquidated damages (Pub Cont Code § 10226). Liquidated damages, if any, accrue starting on the 1st day after the expiration of the working days through the day of contract acceptance except as specified in Sections 8-1.07B, "Failure to Complete Work Parts within Specified Times," and 8-1.07C, "Failure to Complete Work Parts by Specified Dates."

The Department withholds liquidated damages before the accrual date if the anticipated liquidated damages may exceed the value of the remaining work.

Liquidated damages for all work, except plant establishment, are:

Liquidated Damages		
Total Bid		Liquidated Damages per Day
From over	To	
\$0	\$50,000	\$1,200
\$50,000	\$120,000	\$1,500
\$120,000	\$1,000,000	\$1,900
\$1,000,000	\$5,000,000	\$3,000
\$5,000,000	\$10,000,000	\$5,400
\$10,000,000	\$30,000,000	\$8,300
\$30,000,000	\$100,000,000	\$10,500
\$100,000,000	\$250,000,000	\$28,500

If all work, except plant establishment, is complete and the total number of working days has expired, liquidated damages are \$950 per day.

### 8-1.07B Failure to Complete Work Parts within Specified Times

The Department may deduct specified damages from payments for each day in completing a work part beyond the time specified for completing the work part.

Damages for untimely completion of work parts may not be equal to the daily amount specified as liquidated damages for the project as a whole, but the Department does not simultaneously assess damages for untimely completion of work parts and for the whole work.

Damages accrue starting the 1st day after a work part exceeds the specified time through the day the specified work part is complete.

### 8-1.07C Failure to Complete Work Parts by Specified Dates

The Department may deduct specified damages from payments for each day in completing a work part beyond the specified completion date for the work part.

Damages for untimely work part completion may not be equal to the daily amount specified as liquidated damages for the project as a whole, but the Department does not simultaneously assess damages for untimely work part completion and the whole work.

Damages accrue starting the 1st day after an unmet completion date through the day the work part is complete.

### 8-1.07D Director Days

If the work is not completed within the working days, the Director may grant director days if it serves the State's best interest.

By granting director days, the Director adds working days to the contract. The Director may either grant enough days to eliminate the liquidated damages or fewer. In the latter case, the Department deducts liquidated damages for the remaining overrun in contract time. The Director may deduct the Department's engineering, inspection, and overhead costs incurred during the period of extension granted as director days.

## 8-1.08 TERMINATION OF CONTROL

The Department may terminate your control of the work for failure to do any of the following (Pub Cont Code § 10253):

1. Supply an adequate workforce
2. Supply material as described
3. Pay subcontractors (Pub Cont Code §10262)

4. Prosecute the work as described in the contract

The Department may also terminate your control for failure to maintain insurance coverage.

For a Federal-aid contract, the Department may terminate your control of the work for failure to include "Required Contract Provisions, Federal-Aid Construction Contracts" in subcontracts.

The Department gives you and your surety notice at least 5 days before terminating control. The notice describes the failures and the time allowed to remedy the failures. If failures are not remedied within the time provided, the Department takes control of the work.

The Department may complete the work if the Department terminates your control or you abandon the project (Pub Cont Code § 10255). The Department determines the unpaid balance under Pub Cont Code § 10258 and the contract.

At any time before final payment of all claims, the Department may convert a termination of control to a termination of contract.

## **8-1.09 DELAYS**

### **8-1.09A General**

An excusable delay is a delay of a controlling activity beyond your control, not foreseeable when the work began such as:

1. Change in the work
2. Department action that is not part of the contract
3. Presence of an underground utility main not described in the contract or in a location different from that specified
4. Described facility reconstruction not reconstructed as described, by the utility owner by the date specified, unless the reconstruction is solely for your convenience
5. Department's failure to obtain timely access to the right-of-way
6. Department's failure to perform an action in the time specified

A critical delay is a delay that extends the schedule completion date.

To request a delay-related time or payment adjustment, submit an RFI.

### **8-1.09B Time Adjustments**

For an excusable critical delay, the Department may make a time adjustment. The Engineer uses information from the schedule to evaluate requests for time adjustments.

If requesting an adjustment, submit a revised schedule showing the delay's effect on the controlling activity. If the delay has:

1. Occurred, submit records of dates and what work was performed during the delayed activity
2. Not occurred, submit the expected dates or duration of the delayed activity

If the Engineer requests, update the schedule to the last working day before the start of the delay.

### **8-1.09C Payment Adjustments**

The Department may make a payment adjustment for an excusable delay that affects your costs.

Only losses for idle equipment, idle workers, and equipment moving or transporting are eligible for delay-related payment adjustments.

The Engineer determines payment for idle time of equipment in the same manner as determinations are made for equipment used in the performance of force account work under Section 9-1.03, "Force Account," with the following exceptions:

1. Delay factor in the Labor Surcharge and Equipment Rental Rates applies to each equipment rental rate.
2. Daily number of payable hours equals the normal working hours during the delay, not to exceed 8 hours per day.
3. Delay days exclude non-working days.
4. Markups are not added.

The Engineer determines payment adjustment for idle workers under Section 9-1.03B, "Labor," but does not add markups.

The Engineer includes costs due to necessary extra equipment moving or transporting.

#### **8-1.10 (BLANK)**

#### **8-1.11 TERMINATION OF CONTRACT**

##### **8-1.11A General**

The Director may terminate the contract if it serves the State's best interest. The Department issues you a written notice, implements the termination, and pays you.

##### **8-1.11B Relief from Responsibility for Work**

On receiving a termination notice:

1. Stop work
2. Notify subcontractors and suppliers of the contract termination and stop contract-related work
3. Perform the Engineer-ordered work to secure the job site for termination
4. Remove equipment
5. If authorized, settle termination-related claims and liabilities involving subcontractors and suppliers; assign to the Department the rights, titles, or interests held by you with respect to these parties

##### **8-1.11C Responsibility for Materials**

On receiving a termination notice, protect unused material until:

1. You submit an inventory of materials already produced, purchased, or ordered but not yet used; include the location of the material.
2. The Engineer identifies materials that will be retained by the Department. Submit bills of sales or other records of material title.
3. The Engineer confirms that unused materials paid by progress payment and materials furnished by the State have been delivered and stored as ordered.
4. Titles are transferred for materials purchased by the Department.

Dispose of materials that will not be retained by the Department.

##### **8-1.11D Contract Acceptance after Termination**

The Engineer recommends contract acceptance after determining completion of:

1. Contract work ordered to be completed before termination
2. Other work ordered to secure the project before termination
3. Material delivery and title transfer

The Department pays you under Section 9-1.08, "Payment After Contract Acceptance."

##### **8-1.11E Payment Adjustment for Termination**

If the Department issues a termination notice, the Engineer determines payment for termination based on the following:

1. Direct cost for the work:
  - 1.1. Including mobilization, demobilization, securing the job site for termination, and losses from the sale of materials
  - 1.2. Not including the cost of materials you keep, profit realized from the sale of materials, the cost of material damaged by an occurrence as defined in Section 7-1.165, "Damage by Storm, Flood, Tsunami or Earthquake," and other credits.
2. Cost of remedial work, as estimated by the Engineer, is not reimbursed.
3. Allowance for profit not to exceed 4 percent of the cost of the work. Prove a likelihood of having made a profit had the contract not been terminated.
4. Material handling costs for material returned to the vendor or disposed of as ordered.



- 3.1. Use securely attached metal shims or grout
  - 3.2. Do not use wedges to shim the supports
  - 3.3. Do not use shim material in excess of 3 inches
4. Install mechanical indicating elements level, plumb, and rigidly mounted on the concrete undersupports
  5. For a hopper scale, rigidly attach hopper scale lever systems and mechanical indicating elements so no weight is lost from bending or support distortion

Each scale used to determine material payment quantities must be operated by a licensed weighmaster (Bus & Prof Code § 12700 et seq.).

Submit a public weighmaster's certificate or certified daily summary weigh sheets for each weighed material quantity. The Department may witness material weighing and check and compile the daily scale weight record.

Each vehicle operator must obtain weight or load slips from the weighmaster. Submit these records at the delivery point.

### 9-1.01B(3) Procedures

Daily, weigh empty vehicles used to haul material paid for by weight. Each vehicle must have a legible identification mark. The Department may verify material weight by having an empty and loaded vehicle weighed on any scale the Engineer designates.

For imported topsoil measured by volume, soil amendment, and mulch:

1. Each vehicle must allow a ready and accurate contents determination
2. Unless vehicles are of uniform capacity, each vehicle must have a legible identification mark showing its volume capacity
3. Load vehicles to at least the volume capacity
4. Level vehicle loads on arrival at the delivery point

If determining a quantity paid on a volume basis is impractical or if you request and the Engineer authorizes the request, the Engineer weighs the material and converts the result to a volume measurement. The Engineer determines the conversion factors and, if you agree, adopts this method of measurement.

### 9-1.01C Final Pay Items

The Department shows a bid item quantity as a final pay item for payment purposes only. For a final pay item, accept payment based on the verified Bid Item List quantity, regardless of actual quantity used unless dimensions are changed by the Engineer.

### 9-1.01D Quantities of Aggregate and Other Roadway Materials

The Engineer determines the weight of aggregate and other roadway materials that are being paid for by weight as shown and does not include the deducted weight of water in their payment quantities.

Material	Quantity Determination
Aggregate or other roadway material except as otherwise shown in this table	By deducting the weight of water in the material <sup>a</sup> in excess of 3 percent of the dry weight of the material from the weight of the material
Imported borrow, imported topsoil, aggregate subbase	By deducting the weight of water in the material <sup>a</sup> in excess of 6 percent of the dry weight of the material from the weight of the material
Straw	By deducting the weight of water in the material <sup>a</sup> in excess of 15 percent of the dry weight of the material from the weight of the material
Fiber <sup>b</sup>	Engineer does not deduct the weight of water
Aggregate base and aggregate for cement treated bases	As specified in Section 26, "Aggregate Bases," and Section 27, "Cement Treated Bases"

NOTE: Percentage of water is determined by California Test 226.

<sup>a</sup>At the time of weighing

<sup>b</sup>Weight of water in the fiber<sup>a</sup> must not exceed 15 percent of the dry weight of the fiber.

### **9-1.02 SCOPE OF PAYMENT**

The Department pays you for furnishing the resources and activities required to complete the Contract work. The Department's payment is full compensation for furnishing the resources and activities, including:

1. Risk, loss, damage repair, or cost of whatever character arising from or relating to the work and performance of the work
2. PLACs and taxes

Full compensation for work specified in Sections 1 through 9 is included in the payment for the bid items involved unless:

1. Bid item for the work is shown on the verified Bid Item List
2. Work is specified as paid for as extra work

The Department does not pay for your loss, damage, repair, or extra costs of whatever character arising from or relating to the work that is a direct or indirect result of your choice of construction methods, materials, equipment, or manpower, unless specifically mandated by the Contract.

Payment is:

1. Full compensation for each bid item specified by the description and measurement unit shown on the verified Bid Item List
2. For the price bid for each bid item shown on the verified Bid Item List or as changed by change order with a specified price adjustment

If an alternative is described in the Contract, the Department pays based on the bid items for the details and specifications not described as an alternative.

The Department pays for work performed by change order based on one or a combination of the following:

1. Bid item prices
2. Force account
3. Agreed price
4. Specialist billing

If the Engineer chooses to pay for work performed by change order based on an agreed price, but you and the Engineer cannot agree on the price, the Department pays by force account.

If a portion of extra work is covered by bid items, the Department pays for this work as changed quantities in those items. The Department pays for the remaining portion of the extra work by force account or agreed price.

The Department pays 10 percent annual interest for unpaid and undisputed:

1. Progress payments
2. After-acceptance payment except for claims

For these payments, interest starts to accrue 30 days after the 1st working day following the 20th day of the month payment is due. For extra work bills not submitted within 7 days after performing the work as specified in 5-1.015E, "Extra Work Bills," interest starts to accrue 60 days after the 1st working day following the 20th day of the month payment is due.

The Department pays 6 percent annual interest for unpaid and undisputed claims. Interest starts to accrue 61 days after the Department accepts a claim statement.

The Department pays 6 percent annual interest for awards in arbitration (Civ Code § 3289).

If the amount of a deduction or withhold exceeds final payment, the Department invoices you for the difference, to be paid upon receipt.

### **9-1.03 FORCE ACCOUNT PAYMENT**

#### **9-1.03A General**

For work paid by force account, the Engineer compares the Department's records to your daily force account work report. When you and the Engineer agree on the contents of the daily force account work reports, the Engineer accepts the report and the Department pays for the work. If the records differ, the Department pays for the work based only on the information shown on the Department's records.

If a subcontractor performs work at force account, accept an additional 10 percent markup to the total cost of that work paid at force account, including markups specified in Section 9-1.03, as reimbursement for additional administrative costs.

The markups specified in labor, materials, and equipment include compensation for all delay costs, overhead costs, and profit.

If an item's payment is adjusted for work-character changes, the Department excludes your cost of determining the adjustment.

Payment for owner-operated labor and equipment is made at the market-priced invoice submitted.

### **9-1.03B Labor**

Labor payment is full compensation for the cost of labor used in the direct performance of the work plus a 35 percent markup. Force account labor payment consists of:

1. Employer payment to the worker for:
  - 1.1. Basic hourly wage
  - 1.2. Health and welfare
  - 1.3. Pension
  - 1.4. Vacation
  - 1.5. Training
  - 1.6. Other State and federal recognized fringe benefit payments
2. Labor surcharge percentage in Labor Surcharge and Equipment Rental Rates current during the work paid at force account for:
  - 2.1. Workers' compensation insurance
  - 2.2. Social security
  - 2.3. Medicare
  - 2.4. Federal unemployment insurance
  - 2.5. State unemployment insurance
  - 2.6. State training taxes
3. Subsistence and travel allowances paid to the workers
4. Employer payment to supervisors, if authorized

The 35 percent markup consists of payment for all overhead costs related to labor but not designated as costs of labor used in the direct performance of the work including:

1. Home office overhead
2. Field office overhead
3. Bond costs
4. Profit
5. Labor liability insurance
6. Other fixed or administrative costs that are not costs of labor used in the direct performance of the work

### **9-1.03C Materials**

Material payment is full compensation for materials you furnish and use in the work. The Engineer determines the cost based on the material purchase price, including delivery charges, except:

1. A 15 percent markup is added.
2. Supplier discounts are subtracted whether you took them or not.
3. If the Engineer believes the material purchase prices are excessive, the Department pays the lowest current wholesale price for a similar material quantity.
4. If you procured the materials from a source you wholly or partially own, the determined cost is based on the lower of the:
  - 4.1. Price paid by the purchaser for similar materials from that source on Contract items
  - 4.2. Current wholesale price for those materials

5. If you do not submit a material cost record within 30 days of billing, the determined cost is based on the lowest wholesale price:

- 5.1. During that period
- 5.2. In the quantities used

### **9-1.03D Equipment Rental**

#### **9-1.03D(1) General**

Equipment rental payment is full compensation for:

1. Rental equipment costs, including moving rental equipment to and from the site of work performed by change order using its own power.
2. Transport equipment costs for rental equipment that cannot be transported economically using its own power. No payment is made during transport for the transported equipment.
3. 15 percent markup.

If you want to return the equipment to a location other than its original location, the payment to move the equipment must not exceed the cost of returning the equipment to its original location. If you use the equipment for work other than work paid by force account, the transportation cost is included in the other work.

Before moving or loading the equipment, obtain authorization for the equipment rental's original location.

The Engineer determines rental costs:

1. Using rates in Labor Surcharge and Equipment Rental Rates:
  - 1.1. By classifying equipment using manufacturer's ratings and manufacturer-approved changes.
  - 1.2. Current during the work paid by force account.
  - 1.3. Regardless of equipment ownership; but the Department uses the rental document rates or minimum rental cost terms if:
    - 1.3.1. Rented from equipment business you do not own.
    - 1.3.2. The Labor Surcharge and Equipment Rental Rates hourly rate is \$10.00 per hour or less.
2. Using rates established by the Engineer for equipment not listed in Labor Surcharge and Equipment Rental Rates. You may submit cost information that helps the Engineer establish the rental rate; but the Department uses the rental document rates or minimum rental cost terms if:
  - 2.1. Rented from equipment business you do not own.
  - 2.2. The Engineer establishes a rate of \$10.00 per hour or less.
3. Using rates for transport equipment not exceeding the hourly rates charged by established haulers.

Equipment rental rates include the cost of:

1. Fuel
2. Oil
3. Lubrication
4. Supplies
5. Small tools that are not consumed by use
6. Necessary attachments
7. Repairs and maintenance
8. Depreciation
9. Storage
10. Insurance
11. Incidentals

The Department pays for small tools consumed by use. The Engineer determines payment for small tools consumed by use based on Contractor-submitted invoices.

**9-1.03D(2) Equipment On the Job Site**

For equipment on the job site at the time required to perform work paid by force account, the time paid is the time:

- 1. To move the equipment to the location of work paid by force account plus an equal amount of time to move the equipment to another location on the job site when the work paid by force account is completed
- 2. To load and unload equipment
- 3. Equipment is operated to perform work paid by force account and:
  - 3.1. Hourly rates are paid in 1/2-hour increments
  - 3.2. Daily rates are paid in 1/2-day increments

When rented equipment on the job site is used to perform work at force account not required by the original contract work, the Engineer may authorize rates in excess of those in Labor Surcharge and Equipment Rental Rates if:

- 1. You submit a request to use rented equipment
- 2. Equipment is not available from your owned equipment fleet or from your subcontractors
- 3. Rented equipment is from an independent rental company
- 4. Proposed equipment rental rate is reasonable
- 5. Engineer authorizes the equipment source and the rental rate before you use the equipment

The Department pays for fuel consumed during operation of rented equipment not included in the invoiced rental rate.

**9-1.03D(3) Equipment Not On the Job Site Required for Original Contract Work**

For equipment not on the job site at the time required to perform work paid by force account and required for original Contract work, the time paid is the time the equipment is operated to perform work paid by force account and the time to move the equipment to a location on the job site when the work paid by force account is completed.

The minimum total time paid is:

- 1. 1 day if daily rates are paid
- 2. 8 hours if hourly rates are paid

If daily rates are recorded, equipment:

- 1. Idled is paid as 1/2 day
- 2. Operated 4 hours or less is paid as 1/2 day
- 3. Operated 4 hours or more is paid as 1 day

If the minimum total time exceeds 8 hours and if hourly rates are listed, the Department rounds up hours operated to the nearest 1/2-hour increment and pays based on the following table. The table does not apply when equipment is not operated due to breakdowns; in which case rental hours are the hours the equipment was operated.

**Equipment Rental Hours**

Hours operated	Hours paid
0.0	4.00
0.5	4.25
1.0	4.50
1.5	4.75
2.0	5.00
2.5	5.25
3.0	5.50
3.5	5.75
4.0	6.00
4.5	6.25
5.0	6.50

5.5	6.75
6.0	7.00
6.5	7.25
7.0	7.5
7.5	7.75
≥8.0	hours used

**9-1.03D(4) Equipment Not On the Job Site Not Required for Original Contract Work**

For equipment not on the job site at the time required to perform work paid by force account and not required for original Contract work, the time paid is the time:

1. To move the equipment to the location of work paid by force account plus an equal amount of time to return the equipment to its source when the work paid by force account is completed
2. To load and unload equipment
3. Equipment is operated to perform work paid by force account

For this equipment, the Engineer may authorize rates in excess of those in Labor Surcharge and Equipment Rental Rates subject to the following:

1. Equipment is not available from your normal sources or from one of your subcontractors
2. Proposed equipment rental rate is reasonable
3. Engineer authorizes the equipment source and the rental rate before you use the equipment

**9-1.03D(5) Non-Owner-Operated Dump Truck Rental**

Submit the rental rate for non-owner-operated dump truck rental. The Engineer determines the payment rate. Payment for non-owner-operated dump truck rental is for the cost of renting a dump truck, including its driver. For the purpose of markup payment only, the non-owner-operated dump truck is rental equipment and the owner is a subcontractor.

**9-1.04 EXTRA WORK PERFORMED BY SPECIALISTS**

If the Engineer determines that you or your subcontractors are not capable of performing specialty extra work, a specialist may be used. Itemize the labor, material, and equipment rental costs unless it is not the special service industry's established practice to provide itemization; in which case, the Engineer accepts current market-priced invoices for the work.

The Engineer may accept an invoice as a specialist billing for work performed at an off-job site manufacturing plant or machine shop.

The Engineer determines the cost based on the specialist invoice price minus any available or offered discounts plus a 10 percent markup.

**9-1.05 CHANGED QUANTITY PAYMENT ADJUSTMENTS**

**9-1.05A General**

The unit prices specified in Section 9-1.05 are adjusted under Section 9-1.03, "Force Account."

**9-1.05B Increases of More Than 25 Percent**

If the total bid item quantity exceeds 125 percent of the quantity shown on the verified Bid Item List and if no approved Contract Change Order addresses payment for the quantity exceeding 125 percent, the Engineer may adjust the unit price for the excess quantity under Section 9-1.03, "Force Account," or the following:

1. The adjustment is the difference between the unit price and the unit cost of the total item pay quantity.
2. In determining the unit cost, the Engineer excludes the item's fixed costs. You have recovered the fixed costs in the payment for 125 percent shown on the verified Bid Item List.
3. After excluding fixed costs, the Engineer determines the item unit cost under Section 9-1.03, "Force Account."

If the payment for the number of units of a bid item in excess of 125 percent of the verified Bid Item List is less than \$5,000 at the unit price, the Engineer may not adjust the unit price unless you request it.

### **9-1.05C Decreases of More Than 25 Percent**

If the total item pay quantity is less than 75 percent of the quantity shown on the verified Bid Item List and if no approved Contract Change Order addresses payment for the quantity less than 75 percent, you may request a unit price adjustment. The Engineer may adjust the unit price for the decreased quantity under Section 9-1.03, "Force Account" or the following:

1. The adjustment is the difference between the unit price and the unit cost of the total pay quantity.
2. In determining the unit cost, the Engineer includes the item's fixed costs.
3. After including fixed costs, the Engineer determines the item unit cost under Section 9-1.03, "Force Account."

The Department does not pay more than 75 percent of the item total in the verified Bid Item List.

### **9-1.05D Eliminated Items**

If the Engineer eliminates an item, the Department pays your costs incurred before the Engineer's elimination notification date.

If you order authorized material for an eliminated item before the notification date and the order cannot be canceled, either of the following occurs:

1. If the material is returnable to the vendor, the Engineer orders you to return the material and the Department pays your handling costs and vendor charges.
2. The Department pays your cost for the material and its handling and becomes the material owner.

The Engineer determines the payment for the eliminated bid item under Section 9-1.03, "Force Account."

### **9-1.06 WORK-CHARACTER CHANGES**

The Department adjusts a bid item unit price based on the difference between the cost to perform the work as planned and the cost to perform the work as changed. The Engineer determines the payment adjustment under Section 9-1.03, "Force Account." The Department adjusts payment for only the work portion that changed in character.

### **9-1.07 PROGRESS PAYMENTS**

#### **9-1.07A General**

The Department pays you based on Engineer-prepared monthly progress estimates. Each estimate reflects:

1. Total work completed during the pay period
2. Extra work bills if:
  - 2.1. Submitted by the 15th of a month
  - 2.2. Approved by the 20th of a month
3. Amount for materials on hand
4. Amount earned for mobilization
5. Deductions
6. Withholds
7. Resolved potential claims
8. Payment adjustments

Submit certification stating the work complies with the QC procedures. The Engineer does not process a progress estimate without a signed certification.

You may protest a progress payment.

#### **9-1.07B Schedule of Values**

Section 9-1.07B applies to a lump sum bid item for which a schedule of values is specified to be submitted.

The sum of the amounts for the work units listed in the schedule of values must equal the lump sum price bid for the bid item.

Obtain authorization of a schedule of values before you perform work shown on the schedule. The Department does not process a progress payment for the bid item without an authorized schedule of values.

Accept progress payments for overhead, profit, bond costs, and other fixed or administrative costs as distributed proportionally among the items listed except that for a contract with a bid item for mobilization, accept progress payments for bond costs as included in the mobilization bid item.

For changed quantities of the work units listed, the Department adjusts payments in the same manner as specified for changed quantities of bid items under Section 9-1.05, "Changed Quantity Payment Adjustments."

#### **9-1.07C Materials On Hand**

A material on hand but not incorporated into the work is eligible for progress payment if:

1. Listed in a special provision as eligible and is in compliance with other Contract parts
2. Purchased
3. An invoice is submitted
4. Stored within the State and you submit evidence that the stored material is subject to the Department's control
5. Requested on the Department-furnished form

#### **9-1.07D Mobilization**

Mobilization is eligible for partial payments if the Contract includes a bid item for mobilization. The Department makes the partial payments under Pub Cont Code § 10264. If the Contract does not include a mobilization bid item, mobilization is included in the payment for the various bid items.

The Department pays the item total for mobilization in excess of 10 percent of the total bid in the 1st payment after Contract acceptance.

#### **9-1.07E Withholds**

##### **9-1.07E(1) General**

The Department may withhold payment for noncompliance.

The Department returns the noncompliance withhold in the progress payment following correction of noncompliance.

Withholds are not retentions under Pub Cont Code § 7107 and do not accrue interest under Pub Cont Code § 10261.5.

Withholds are cumulative and independent of deductions.

Section 9-1.07E does not include all withholds that may be taken; the Department may withhold other payments as specified.

##### **9-1.07E(2) Progress Withholds**

The Department withholds 10 percent of a partial payment for noncompliant progress. Noncompliant progress occurs when:

1. Total days to date exceed 75 percent of the revised Contract working days
2. Percent of working days elapsed exceeds the percent of value of work completed by more than 15 percent

The Engineer determines the percent of working days elapsed by dividing the total days to date by the revised Contract working days and converting the quotient to a percentage.

The Engineer determines the percent of value of work completed by summing payments made to date and the amount due on the current progress estimate, dividing this sum by the current total estimated value of the work, and converting the quotient to a percentage. These amounts are shown on the Progress Payment Voucher.

When the percent of working days elapsed minus the percent of value of work completed is less than or equal to 15 percent, the Department returns the withhold in the next progress payment.

##### **9-1.07E(3) Performance Failure Withholds**

During each estimate period you fail to comply with a Contract part, including submittal of a document as specified, the Department withholds a part of the progress payment. The documents include QC plans, schedules, traffic control plans, and water pollution control submittals.

For 1 performance failure, the Department withholds 25 percent of the progress payment but does not withhold more than 10 percent of the total bid.

For multiple performance failures, the Department withholds 100 percent of the progress payment but does not withhold more than 10 percent of the total bid.

#### **9-1.07E(4) Stop Notice Withholds**

The Department may withhold payments to cover claims filed under Civ Code § 3179 et seq.

Stop notice information may be obtained from the Office of External Accounts Payable, Division of Accounting.

#### **9-1.07E(5) Penalty Withholds**

Penalties include fines and damages that are proposed, assessed, or levied against you or the Department by a governmental agency or private lawsuit. Penalties are also payments made or costs incurred in settling alleged violations of federal, state, or local laws, regulations, requirements, or PLACs. The cost incurred may include the amount spent for mitigation or correcting a violation.

If you or the Department is assessed a penalty, the Department may withhold the penalty amount until the penalty disposition has been resolved. The Department may withhold penalty funds without notifying you.

Instead of the withhold, you may provide a bond equal to the highest estimated liability for any disputed penalties proposed.

#### **9-1.07E(6)–9-1.07E(10) Reserved**

#### **9-1.07F Retentions**

The Department does not retain moneys from progress payments due to the Contractor for work performed (Pub Cont Code § 7202).

#### **9-1.07G–9-1.07K Reserved**

### **9-1.08 PAYMENT AFTER CONTRACT ACCEPTANCE**

#### **9-1.08A General**

Reserved

#### **9-1.08B Payment Before Final Estimate**

After Contract acceptance, the Department pays you based on the Engineer-prepared estimate that includes withholds and the balance due after deduction of previous payments.

#### **9-1.08C Proposed Final Estimate**

The Engineer estimates the amount of work completed and shows the amount payable in a proposed final estimate based on:

1. Contract items
2. Payment adjustments
3. Work paid by force account or agreed price
4. Extra work
5. Deductions

Submit either a written final estimate acceptance or a claim statement no later than the 30th day after receiving the proposed final estimate. Evidence of the Contractor's receipt of the final estimate and the Engineer's receipt of the Contractor's written acceptance or claim statement is a delivery service's proof of delivery or Engineer's written receipt if hand delivered.

If you claim that the final estimate is less than 90 percent of your total bid, the Department adjusts the final payment to cover your overhead. The adjustment is 10 percent of the difference between the total bid and the final estimate. The Department does not make this adjustment on a terminated contract.

#### **9-1.08D Final Payment and Claims**

##### **9-1.08D(1) General**

If you accept the proposed final estimate or do not submit a claim statement within 30 days of receiving the estimate, the Engineer furnishes the final estimate to you and the Department pays the amount due within 30 days. This final estimate and payment is conclusive except as specified in Sections 5-1.015, "Records," 6-1.075, "Guarantee," and 9-1.09, "Clerical Errors."

If you submit a claim statement within 30 days of receiving the Engineer's proposed final estimate, the Engineer furnishes a semifinal estimate to the Contractor and the Department pays the amount due within 30 days. The semifinal estimate is conclusive as to the amount of work completed and the amount payable except as affected by the claims or as specified in Sections 5-1.015, "Records," 6-1.075, "Guarantee," and 9-1.09, "Clerical Errors."

### **9-1.08D(2) Claim Statement**

#### **9-1.08D(2)(a) General**

For each claim, submit a claim statement showing only the identification number that corresponds to the Full and Final Potential Claim Record and the final amount of additional payment requested except:

1. If the final amount of requested payment differs from the amount requested in the Full and Final Potential Claim Record
2. For a claim for quantities, withholds, deductions, liquidated damages, or change order bills
3. For an overhead claim

If the final amount of requested payment differs from the amount requested in the Full and Final Potential Claim Record, submit:

1. Identification number that corresponds to the Full and Final Potential Claim Record
2. Final amount of additional payment requested
3. Basis for the changed amount
4. Contract documentation that supports the changed amount
5. Statement of the reasons the Contract documentation supports the claim

The Engineer notifies you of an omission of or a disparity in the exclusive identification number. Within 15 days of the notification, correct the omission or disparity. If the omission or disparity is not resolved after the 15 days, the Engineer assigns a new number.

For a claim for quantities, withholds, deductions, or change order bills submit:

1. Final amount of additional payment requested
2. Enough detail to enable the Engineer to determine the basis and amounts of the additional payment requested

#### **9-1.08D(2)(b) Overhead Claims**

Include with an overhead claim:

1. Final amount of additional payment requested
2. Independent CPA audit report

Failure to submit the audit report with an overhead claim with the claim statement is a waiver of the overhead claim and operates as a bar to arbitration on the claim (Pub Cont Code § 10240.2).

The Department deducts an amount for field and home office overhead paid on added work from any claim for overhead. The value of the added work equals the value of the work completed minus the total bid. The home office overhead deduction equals 5 percent of the added work. The field office overhead deduction equals 5-1/2 percent of the added work.

If you intend to pursue a claim for reimbursement for field or home office overhead beyond that provided expressly by the Contract:

1. Notify the Engineer within 30 days of receipt of the proposed final estimate of your intent to seek reimbursement for specific overhead costs beyond that provided by the Contract
2. Specifically identify each claim and each date associated with each claim from which you seek reimbursement for specific overhead costs beyond that provided by the Contract
3. Timely submit all other claims
4. Within 30 days of receipt of the proposed final estimate, submit an audit report prepared by an independent CPA
  - 4.1. The audit report must show calculations with supporting documentation of actual home office and project field overhead costs

- 4.2. The calculations must specify the actual daily rates for both field and home office overhead for the entire duration of the project expressed as a rate per working day
  - 4.3. The start and end dates of the actual project performance period, number of working days, overhead cost pools, and all allocation bases must be disclosed in the calculations of your actual field and home office overhead daily rates
  - 4.4. Neither daily rate may include a markup for profit
5. Field overhead costs from which the daily rate is calculated must be:
- 5.1. Allowable under 48 CFR 31
  - 5.2. Supported by reliable records
  - 5.3. Related solely to the project
  - 5.4. Incurred during the actual project performance period
  - 5.5. Comprised of only time-related field overhead costs
  - 5.6. Not a direct cost
6. Home office overhead costs from which the daily rate is calculated must be:
- 6.1. Allowable under 48 CFR 31
  - 6.2. Supported by reliable records
  - 6.3. Incurred during the actual project performance period
  - 6.4. Comprised of only fixed home office overhead costs
  - 6.5. Not a direct cost

The actual rate of time-related overhead is subject to authorization by the Engineer.

The CPA's audit must be performed under the Attestation Standards published by the American Institute of Certified Public Accountants. The CPA's audit report must express an opinion whether or not your calculations of your actual field and home office overhead daily rates comply with Section 9-1.08D(2)(b), "Overhead Claims." The attest documentation prepared by the CPA in connection with the audit must be reproduced and submitted for review with the audit report.

The Department provides markups for all work paid by force account. Overhead for field and home office costs are included in the markups. Overhead claims in excess of Contract markups are not allowed under the Contract. If you seek reimbursement for costs not allowed under the Contract, the Department does not pay your cost of performing the independent CPA examination specified in section 9-1.08D(2)(b), "Overhead Claims," including preparation of the audit report.

**9-1.08D(2)(c) Declaration**

Submit a declaration that includes the following language with the claim statement:

I declare under penalty of perjury, according to the laws of the State of California, that the foregoing claims, with specific reference to the California False Claims Act (Govt Code § 12650 et seq.) and to the extent the project contains federal funding, the U.S. False Claims Act (31 USC § 3729 et seq.), are true and correct, and that this declaration was signed on \_\_\_\_\_ (date) \_\_\_\_\_, 20\_\_ at \_\_\_\_\_, California.

**9-1.08D(2)(d) Waiver**

A claim is waived if:

1. Claim does not have a corresponding Full and Final Potential Claim Record identification number
2. Claim does not have the same nature, circumstances, and basis of claim as the corresponding Full and Final Potential Claim Record
3. Claim is not included in the claim statement
4. You do not comply with the claim procedures
5. You do not submit the declaration specified in 9-1.08D(2)(c), "Declaration"

**9-1.08D(3) Final Determination of Claims**

Failure to allow timely access to claim supporting data when requested waives the claim.





1. Stop all work within a 60-foot radius of the discovery
2. Protect the discovery area
3. Notify the Engineer

The Department investigates. Do not move archaeological resources or take them from the job site. Do not resume work within the discovery area until authorized.

If, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of an archaeological find, or investigation or recovery of archeological materials, you will be compensated for resulting losses, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

If ordered, furnish resources to assist in the investigation or recovery of archaeological resources. This work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

**14-2.03 ARCHAEOLOGICAL MONITORING AREA**

Section 14-2.03 applies if an AMA is described in the Contract.

The Department assigns an archaeological monitor to monitor job site activities within the AMA. Do not work within the AMA unless the archeological monitor is present.

The Engineer and the Department archaeological monitor conduct an AMA location field review with you at least 5 business days before start of work. The Department marks the exact boundaries of the AMA on the ground.

If temporary fence (Type ESA) or other enclosure for an AMA is described in the Contract, install temporary fence (Type ESA) or other enclosure to define the boundaries of the AMA during the AMA location field review.

At least 5 business days before starting work within an AMA, submit a schedule of days and hours to be worked for the Engineer's approval. If you require changes in the schedule, submit an update for the Engineer's approval at least 5 business days before any changed work day.

If archaeological resources are discovered within an AMA, comply with Section 14-2.02, "Archaeological Resources."

**14-2.04 HISTORIC STRUCTURES**

Reserved

**14-3 COMMUNITY IMPACTS AND ENVIRONMENTAL JUSTICE**

Reserved

**14-4 NATIVE AMERICAN CONCERNS**

Reserved

**14-5 AESTHETICS**

Reserved

**14-6 BIOLOGICAL RESOURCES**

**14-6.01 GENERAL**

Reserved

**14-6.02 BIRD PROTECTION**

Protect migratory and nongame birds, their occupied nests, and their eggs.

The Department anticipates nesting or attempted nesting from February 15 to September 1.

The federal Migratory Bird Treaty Act, 16 USC § 703–711, and 50 CFR Pt 10 and Fish & Game Code §§ 3503, 3513, and 3800 protect migratory and nongame birds, their occupied nests, and their eggs.

The federal Endangered Species Act of 1973, 16 USC §§ 1531 and 1543, and the California Endangered Species Act, Fish & Game Code §§ 2050–2115.5, prohibit the take of listed species and protect occupied and unoccupied nests of threatened and endangered bird species.

The Bald and Golden Eagle Protection Act, 16 USC § 668, prohibits the destruction of bald and golden eagles and their occupied and unoccupied nests.

If migratory or nongame bird nests are discovered that may be adversely affected by construction activities or an injured or killed bird is found, immediately:

1. Stop all work within a 100-foot radius of the discovery.
2. Notify the Engineer.

The Department investigates. Do not resume work within the specified radius of the discovery until authorized.

When ordered, use exclusion devices, take nesting prevention measures, remove and dispose of partially constructed and unoccupied nests of migratory or nongame birds on a regular basis to prevent their occupation, or perform any combination of these. This work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

Prevent nest materials from falling into waterways.

Bird protection that causes a delay to the controlling activity is a condition unfavorable to the suitable prosecution of work as specified in Section 8-1.05, "Temporary Suspension of Work."

#### **14-7 PALEONTOLOGICAL RESOURCES**

If paleontological resources are discovered at the job site, do not disturb the material and immediately:

1. Stop all work within a 60-foot radius of the discovery
2. Protect the area
3. Notify the Engineer

The Department investigates and modifies the dimensions of the protected area if necessary. Do not move paleontological resources or take them from the job site. Do not resume work within the specified radius of the discovery until authorized.

#### **14-8 NOISE AND VIBRATION**

##### **14-8.01 GENERAL**

Reserved

##### **14-8.02 NOISE CONTROL**

Do not exceed 86 dBA LMax at 50 feet from the job site activities from 9 p.m. to 6 a.m.

Equip an internal combustion engine with the manufacturer-recommended muffler. Do not operate an internal combustion engine on the job site without the appropriate muffler.

#### **14-9 AIR QUALITY**

##### **14-9.01 AIR POLLUTION CONTROL**

Comply with air pollution control rules, regulations, ordinances, and statutes that apply to work performed under the Contract, including air pollution control rules, regulations, ordinances, and statutes provided in Govt Code § 11017 (Pub Cont Code § 10231).

Do not burn material to be disposed of.

##### **14-9.02 DUST CONTROL**

Prevent and alleviate dust by applying water, dust palliative, or both under Section 14-9.01.

Apply water under Section 17, "Watering."

Apply dust palliative under Section 18, "Dust Palliative."

If ordered, apply water, dust palliative, or both to control dust caused by public traffic. This work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

#### **14-10 SOLID WASTE DISPOSAL AND RECYCLING**

##### **14-10.01 SOLID WASTE DISPOSAL AND RECYCLING**

Submit an annual Solid Waste Disposal and Recycling Report between January 1 and 15 for each year work is performed under the Contract at any time during the previous calendar year. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 through December 31 of the previous calendar year.

Submit a final annual Solid Waste Disposal and Recycling Report within 5 business days after Contract acceptance. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 to Contract acceptance.

For each failure to submit a completed form, the Department withholds \$10,000.







### **Preparing Soil**

After you prepare an area for lime soil stabilization, test the soil to be stabilized every 500 cubic yards for relative compaction under California Test 231 and moisture content under California Test 226, and verify the surface grades.

### **Applying Lime**

The Engineer determines the final application rate for each lime product proposed from the samples submitted. If the soil being stabilized changes, the Engineer changes the application rate. Based on California Test 373, the Engineer reports the application rates as the percent of lime by dry weight of soil. The Engineer provides the optimum moisture content determined under California Test 373 for each application rate.

Before applying lime, measure the temperature at the ground surface.

If lime in dry form is used, the Engineer verifies the application rate using the drop pan method once per 40,000 square feet stabilized, or twice per day, whichever is greater.

If lime in slurry form is used, report the quantity of slurry placed by measuring the volume of slurry in the holding tank once per 40,000 square feet stabilized, or twice per day, whichever is greater.

### **Mixing**

For each day of initial mixing, test the moisture content. Sample the material immediately after initial mixing.

Randomly test the adequacy of the final mixing with a phenolphthalein indicator solution.

During mixing operations, measure the ground temperature at full mixing depth.

After mixing and before compacting, determine maximum density under California Test 216 from composite samples of the mixed material and at each distinct change in material. Test the moisture content of the mixed material under California Test 226. Test the gradation for compliance with "Materials."

### **Compaction**

Test relative compaction on a wet weight basis.

After initial compaction, determine in-place density under California Test 231 and moisture content under California Test 226 at the same locations. The testing frequency must be 1 test per 250 cubic yards of lime stabilized soil. Test in 0.50-foot depth intervals.

Before requesting to compact material in layers greater than 0.50 foot, construct a test strip in the production area and demonstrate the test strip passes compaction tests using the proposed thickness. The test strip must contain no more material than 1 day's production. The Engineer tests at not more than 0.50-foot depth intervals regardless of the thickness of your layers.

Construct test pads by scraping away material to the depth ordered by the Engineer. If a compaction test fails corrective action must include the layers of material already placed above the test pad elevation.

### **Finish Grading**

Do not proceed with construction activities for subsequent layers of material until the Engineer verifies the final grades of the lime stabilized soil.

### **Dispute Resolution**

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer within 5 days of receiving a test result if you dispute the test result.

If you or the Engineer dispute each other's test results, submit written quality control test results and copies of paperwork including worksheets used to determine the disputed test results to the Engineer. An Independent Third Party (ITP) performs referee testing. Before the ITP participates in a dispute resolution, the ITP must be accredited under the Department's Independent Assurance Program. The ITP must be independent of the project. By mutual agreement, the ITP is chosen from:

1. A Department laboratory
2. A Department laboratory in a district or region not in the district or region the project is located
3. The Transportation Laboratory
4. A laboratory not currently employed by you or your lime producer

If split quality control or acceptance samples are not available, the ITP uses any available material representing the disputed material for evaluation.

## 24-1.02 MATERIALS

### 24-1.02A Lime

Lime must comply with ASTM C 977 and the following:

<b>Lime</b>		
Quality Characteristic	ASTM	Specification
Available Calcium and Magnesium Oxide(min., %)	C 25 <sup>a</sup>	High Calcium Quicklime: CaO > 90 Dolomitic Quicklime: CaO > 55 and CaO + MgO > 90
Loss on ignition (max., %)	C 25	7 (total loss) 5 (carbon dioxide) 2 (free moisture)
Slaking rate	C 110	30 °C rise in 8 minutes

Notes:

<sup>a</sup> You may use ASTM C25 or ASTM C1301 and ASTM C1271.

A 0.5-pound sample of lime dry-sieved in a mechanical sieve shaker for 10 minutes ±30 seconds must comply with:

Sieve Sizes	Percentage Passing
3/8-inch	98-100

Slurry must:

1. Be free of contaminants
2. Contain at least the minimum dry solids
3. Have uniform consistency

If you prepare lime slurry, prepare it at the jobsite.

### 24-1.02B Water

If available, use potable water. Inform the Engineer if a water source other than potable water is used. If not using potable water, water for mixing soil and lime must:

1. Contain no more than 650 parts per million of chlorides as Cl, and no more than 1,300 parts per million of sulfates as SO<sub>4</sub>
2. Not contain an amount of impurities that will cause a reduction in the strength of the stabilize soil

### 24-1.02C Mixed Material

Take a composite sample from 5 random locations after initial mixing. The moisture content of the composite sample tested under California Test 226 must be a minimum of 3 percent greater than optimum. Determine the moisture versus density relationship of the composite sample material determined under California Test 216, except Part 2, Section E, Paragraph 6 is modified as follows:

After adjustment of the moisture content, compact each of the remaining test specimens in the mold, then record the water adjustment, tamper reading, and the corresponding adjusted wet density from the chart on

Table 1 using the column corresponding to the actual wet weight of the test specimen compacted. Note each of these wet weights on Line I.

The mixed material before compaction excluding rock must comply with:

Sieve Sizes	Percentage Passing
1"	98 - 100
No. 4	60 - 100

#### 24-1.02D Curing Treatment

Curing treatment may be any of the following:

1. Water cure
2. Curing seal
3. Moist material blanket

Curing seal must be SS or CSS grade asphaltic emulsion under Section 94, "Asphaltic Emulsions."

### 24-1.03 CONSTRUCTION

#### 24-1.03A General

If using different types of lime or lime from more than one source, do not mix them. The Engineer determines separate application rates.

Deliver lime in full loads unless it is the last load needed for a work shift.

Apply lime at ground temperatures above 35 °F. Do not apply lime if you expect the ground temperature to drop below 35 °F before you complete mixing and compacting.

During mixing, maintain the in-place moisture of the soil to be stabilized a minimum 3 percent above the optimum moisture determined under California Test 216 as modified in "Mixed Material." During compaction and finish grading, add water to the surface to prevent drying until the next layer of mixed material is placed, or until you apply curing treatment.

Scarify the surface of lime stabilized soil at least 2 inches between each layer. Do not scarify the final surface of the lime stabilized soil.

Between the time of applying lime and 3 days after applying curing treatment, only allow equipment or vehicles on the soil being stabilized that are essential to the work.

#### 24-1.03B Preparing Soil

Except for soil clods, remove rocks or solids larger than 1/3 of the layer thickness. Regardless of the layer thickness, remove rocks and solids greater than 4 inches. Notify the Engineer if you encounter rocks or solids greater than 1/3 of the layer thickness.

Before adding lime, place the soil to be stabilized to within 0.08 foot of the specified lines and grades and compact to not less than 90 percent relative compaction.

#### 24-1.03C Applying Lime

Apply lime uniformly over the area to be stabilized using a vane spreader.

The Engineer determines the final application rate. Do not vary from this application rate by more than 5 percent.

Apply lime in dry form. If you request and the Engineer approves, you may apply lime in slurry form.

Lime slurry must be in suspension during application. Apply lime slurry uniformly making successive passes over a measured section or roadway until the specified lime content is reached. Apply the residue from lime slurry over the length of the roadway being processed.

#### 24-1.03D Mixing

Lime and soil to be stabilized must be mixed uniformly at least twice to within 0.10 foot of the specified depth at any point. If the mixing depth exceeds the specified depth by more than 10 percent, add lime in proportion to the exceeded depth. The Department does not pay for this added lime.

Mix lime on the same day it is applied. After the initial mixing, allow a mellowing period for at least 36 hours before final mixing. Moisture content during the mellowing period determined under California Test 226 must be at

least 3 percent higher than the optimum moisture content. You may add water and mix during the mellowing period.

Remix until the mixture is uniform with no streaks or pockets of lime.

Except for clods larger than 1 inch, mixed material must have a color reaction with sprayed phenolphthalein alcohol indicator solution.

Complete all the mixing work within 7 days of the initial application of lime.

#### **24-1.03E Compaction**

Begin compacting immediately after final mixing, but not less than 36 hours after the beginning of initial mixing.

Compact by using sheepsfoot or segmented wheel rollers immediately followed by steel drum or pneumatic-tired rollers. Do not use vibratory rollers.

If you request and the Engineer approves, you may compact mixed material in layers greater than 0.50 foot.

If the specified thickness is 0.50 foot or less, compact in one layer. If the specified thickness is more than 0.50 foot, compact in 2 or more layers of approximately equal thickness. The maximum compacted thickness of any one layer must not exceed 0.50 foot unless you first demonstrate your equipment and methods provide uniform distribution of lime and achieve the specified compaction.

Use other compaction methods in areas inaccessible to rollers.

Compact the lime stabilized soil to at least 95 percent relative compaction determined under California Test 216 as modified under "Mixed Material." The relative compaction is determined on a wet weight basis.

#### **24-1.03F Finish Grading**

Maintain the moisture content of the lime stabilized soil through the entire finish grading operation at a minimum of 3 percent above optimum moisture content.

The finished surface of the lime stabilized soil must not vary more than 0.08 foot above or below the grade established by the Engineer unless the lime stabilized soil is to be covered by material paid for by the cubic yard, in which case the finished surface may not vary above the grade established by the Engineer.

If lime stabilized soil is above the allowable tolerance, trim, remove, and dispose of the excess material. Do not leave loose material on the finished surface. If finish rolling cannot be completed within 2 hours of trimming, defer trimming.

If lime stabilized soil is below the allowable tolerance, you may use trimmed material to fill low areas only if final grading and final compaction occurs within 48 hours of beginning initial compaction. Before placing trimmed material, scarify the surface of the area to be filled at least 2 inches deep.

Finish rolling of trimmed surfaces must be performed with at least 1 complete coverage with steel drum or pneumatic-tired rollers.

#### **24-1.03G Curing**

##### **General**

Choose the method of curing.

Apply the chosen cure method within 48 hours of completing the sheepsfoot or segmented wheel compaction. Apply the chosen cure method within the same day of any trimming and finish grading.

##### **Water Cure**

Water may be used to cure the finished surface before you place a moist material blanket, or apply curing seal. Keep the surface above the optimum moisture content of the lime stabilized soil. Use this method for no more than 3 days, after which you must place a curing seal or moist material blanket.

##### **Curing Seal**

Curing seal equipment must have a gage indicating the volume of curing seal in the storage tank.

If curing seal is used, apply it:

1. To the finished surface of lime stabilized soil under Section 94-1.06, "Applying," of the Standard Specifications
2. At a rate from 0.10 to 0.20 gallon per square yard. The Engineer determines the exact rate
3. When the lime stabilized soil is at optimum moisture
4. When the ambient temperature is above 40 °F and rising













4. Rubberized hot mix asphalt (gap graded) [RHMA-G]

The special provisions specify the HMA construction process, including:

1. Standard
2. Method
3. Quality Control / Quality Assurance (QC / QA)

### **39-1.02 MATERIALS**

#### **39-1.02A Geosynthetic Pavement Interlayer**

Geosynthetic pavement interlayer must comply with the specifications in Section 88-1.07, "Pavement Interlayer," for the type of interlayer shown on the plans.

#### **39-1.02B Tack Coat**

Tack coat must comply with the specifications for asphaltic emulsion in Section 94, "Asphaltic Emulsion," or asphalt binder in Section 92, "Asphalts." Choose the type and grade.

Notify the Engineer if you dilute asphaltic emulsion with water. The weight ratio of added water to asphaltic emulsion must not exceed 1 to 1.

Measure added water either by weight or volume in compliance with the specifications for weighing, measuring, and metering devices under Section 9-1.01, "Measurement of Quantities," or you may use water meters from water districts, cities, or counties. If you measure water by volume, apply a conversion factor to determine the correct weight.

With each dilution, submit in writing:

1. The weight ratio of water to bituminous material in the original asphaltic emulsion
2. The weight of asphaltic emulsion before diluting
3. The weight of added water
4. The final dilution weight ratio of water to asphaltic emulsion

#### **39-1.02C Asphalt Binder**

Asphalt binder in HMA must comply with Section 92, "Asphalts," or Section 39-1.02D, "Asphalt Rubber Binder." The special provisions specify the grade.

Asphalt binder for geosynthetic pavement interlayer must comply with Section 92, "Asphalts." Choose from Grades PG 64-10, PG 64-16, or PG 70-10.

#### **39-1.02D Asphalt Rubber Binder**

##### **General**

Use asphalt rubber binder in RHMA-G, RHMA-O, and RHMA-O-HB. Asphalt rubber binder must be a combination of:

1. Asphalt binder
2. Asphalt modifier
3. Crumb rubber modifier (CRM)

The combined asphalt binder and asphalt modifier must be  $80.0 \pm 2.0$  percent by weight of the asphalt rubber binder.

##### **Asphalt Modifier**

Asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon, and comply with:

### Asphalt Modifier for Asphalt Rubber Binder

Quality Characteristic	ASTM	Specification
Viscosity, m <sup>2</sup> /s (x 10 <sup>-6</sup> ) at 100 °C	D 445	X ± 3 <sup>a</sup>
Flash Point, CL.O.C., °C	D 92	207 minimum
Molecular Analysis		
Asphaltenes, percent by mass	D 2007	0.1 maximum
Aromatics, percent by mass	D 2007	55 minimum

Note:

<sup>a</sup> The symbol "X" is the proposed asphalt modifier viscosity. "X" must be between 19 and 36. A change in "X" requires a new asphalt rubber binder design.

Asphalt modifier must be from 2.0 percent to 6.0 percent by weight of the asphalt binder in the asphalt rubber binder.

### Crumb Rubber Modifier

CRM consists of a ground or granulated combination of scrap tire CRM and high natural CRM. CRM must be 75.0 ± 2.0 percent scrap tire CRM and 25.0 ± 2.0 percent high natural CRM by total weight of CRM. Scrap tire CRM must be from any combination of automobile tires, truck tires, or tire buffings.

Sample and test scrap tire CRM and high natural CRM separately. CRM must comply with:

### Crumb Rubber Modifier for Asphalt Rubber Binder

Quality Characteristic	Test Method	Specification
Scrap tire CRM gradation (% passing No. 8 sieve)	LP-10	100
High natural CRM gradation (% passing No. 10 sieve)	LP-10	100
Wire in CRM (% max.)	LP-10	0.01
Fabric in CRM (% max.)	LP-10	0.05
CRM particle length (inch max.) <sup>a</sup>	--	3/16
CRM specific gravity <sup>a</sup>	CT 208	1.1 – 1.2
Natural rubber content in high natural CRM (%) <sup>a</sup>	ASTM D 297	40.0 – 48.0

Note:

<sup>a</sup> Test at mix design and for Certificate of Compliance.

Only use CRM ground and granulated at ambient temperature. If steel and fiber are cryogenically separated, it must occur before grinding and granulating. Only use cryogenically produced CRM particles that can be ground or granulated and not pass through the grinder or granulator.

CRM must be dry, free-flowing particles that do not stick together. CRM must not cause foaming when combined with the asphalt binder and asphalt modifier. You may add calcium carbonate or talc up to 3 percent by weight of CRM.

### Asphalt Rubber Binder Design and Profile

Submit in writing an asphalt rubber binder design and profile that complies with the asphalt rubber binder specifications. In the design, designate the asphalt, asphalt modifier, and CRM and their proportions. The profile is not a performance specification and only serves to indicate expected trends in asphalt rubber binder properties during binder production. The profile must include the same component sources for the asphalt rubber binder used.

Design the asphalt rubber binder from testing you perform for each quality characteristic and for the reaction temperatures expected during production. The 24-hour (1,440-minute) interaction period determines the design profile. At a minimum, mix asphalt rubber binder components, take samples, and perform and record the following tests:

### Asphalt Rubber Binder Reaction Design Profile

Test	Minutes of Reaction <sup>a</sup>							Limits
	45	60	90	120	240	360	1440	
Cone penetration @ 77 °F, 0.10-mm (ASTM D 217)	X <sup>b</sup>				X		X	25 - 70
Resilience @ 77 °F, percent rebound (ASTM D 5329)	X				X		X	18 min.
Field softening point, °F (ASTM D 36)	X				X		X	125 - 165
Viscosity, centipoises (LP-11)	X	X	X	X	X	X	X	1,500 - 4,000

Notes:

<sup>a</sup> Six hours (360 minutes) after CRM addition, reduce the oven temperature to 275 °F for a period of 16 hours. After the 16-hour (1320 minutes) cool-down after CRM addition, reheat the binder to the reaction temperature expected during production for sampling and testing at 24 hours (1440 minutes).

<sup>b</sup> "X" denotes required testing

### Asphalt Rubber Binder

After interacting for a minimum of 45 minutes, asphalt rubber binder must comply with:

#### Asphalt Rubber Binder

Quality Characteristic	Test for Quality Control or Acceptance	Test Method	Specification	
			Minimum	Maximum
Cone penetration @ 77 °F, 0.10-mm	Acceptance	ASTM D 217	25	70
Resilience @ 77 °F, percent rebound	Acceptance	ASTM D 5329	18	--
Field softening point, °F	Acceptance	ASTM D 36	125	165
Viscosity @ 375 °F, centipoises	Quality Control	LP-11	1,500	4,000

### 39-1.02E Aggregate

Aggregate must be clean and free from deleterious substances. Aggregate:

1. Retained on the No. 4 sieve is coarse
2. Passing the No. 4 sieve is fine
3. Added and passing the No. 30 sieve is supplemental fine, including:
  - 3.1. Hydrated lime
  - 3.2. Portland cement
  - 3.3. Fines from dust collectors

The special provisions specify the aggregate gradation for each HMA type.

The specified aggregate gradation is before the addition of asphalt binder and includes supplemental fines. The Engineer tests for aggregate grading under California Test 202, modified by California Test 105 if there is a difference in specific gravity of 0.2 or more between the coarse and fine parts of different aggregate blends.

Choose a sieve size target value (TV) within each target value limit presented in the aggregate gradation tables.

**Aggregate Gradation  
(Percentage Passing)  
HMA Types A and B**

3/4-inch HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
1"	100	—
3/4"	90 - 100	TV ±5
1/2"	70 - 90	TV ±6
No. 4	45 - 55	TV ±7
No. 8	32 - 40	TV ±5
No. 30	12 - 21	TV ±4
No. 200	2 - 7	TV ±2

1/2-inch HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
3/4"	100	—
1/2"	95 - 99	TV ±6
3/8"	75 - 95	TV ±6
No. 4	55 - 66	TV ±7
No. 8	38 - 49	TV ±5
No. 30	15 - 27	TV ±4
No. 200	2 - 8	TV ±2

3/8-inch HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
1/2"	100	—
3/8"	95 - 100	TV ±6
No. 4	58 - 72	TV ±7
No. 8	34 - 48	TV ±6
No. 30	18 - 32	TV ±5
No. 200	2 - 9	TV ±2

No. 4 HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
3/8"	100	—
No. 4	95 - 100	TV ±7
No. 8	72 - 77	TV ±7
No. 30	37 - 43	TV ±7
No. 200	2 - 12	TV ±4

**Rubberized Hot Mix Asphalt - Gap Graded (RHMA-G)**

3/4-inch RHMA-G

Sieve Sizes	Target Value Limits	Allowable Tolerance
1"	100	—
3/4"	95 - 100	TV ±5
1/2"	83 - 87	TV ±6
3/8"	65 - 70	TV ±6
No. 4	28 - 42	TV ±7
No. 8	14 - 22	TV ±5
No. 200	0 - 6	TV ±2

1/2-inch RHMA-G

Sieve Sizes	Target Value Limits	Allowable Tolerance
3/4"	100	—
1/2"	90 - 100	TV ±6
3/8"	83 - 87	TV ±6
No. 4	28 - 42	TV ±7
No. 8	14 - 22	TV ±5
No. 200	0 - 6	TV ±2

**Open Graded Friction Course (OGFC)**

1-inch OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
1 1/2"	100	—
1"	99 - 100	TV ±5
3/4"	85 - 96	TV ±5
1/2"	55 - 71	TV ±6
No. 4	10 - 25	TV ±7
No. 8	6 - 16	TV ±5
No. 200	1 - 6	TV ±2

1/2-inch OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
3/4"	100	—
1/2"	95 - 100	TV ±6
3/8"	78 - 89	TV ±6
No. 4	28 - 37	TV ±7
No. 8	7 - 18	TV ±5
No. 30	0 - 10	TV ±4
No. 200	0 - 3	TV ±2

3/8-inch OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
1/2"	100	—
3/8"	90 - 100	TV ±6
No. 4	29 - 36	TV ±7
No. 8	7 - 18	TV ±6
No. 30	0 - 10	TV ±5
No. 200	0 - 3	TV ±2

Before the addition of asphalt binder and lime treatment, aggregate must comply with:

### Aggregate Quality

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Percent of crushed particles	CT 205				
Coarse aggregate (% min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (% min.) (Passing No. 4 sieve and retained on No. 8 sieve.)					
One fractured face		70	20	70	90
Los Angeles Rattler (% max.)	CT 211				
Loss at 100 Rev.		12	--	12	12
Loss at 500 Rev.		45	50	40	40
Sand equivalent (min.) <sup>a</sup>	CT 217	47	42	47	--
Fine aggregate angularity (% min.) <sup>b</sup>	CT 234	45	45	45	--
Flat and elongated particles (% max. by weight @ 5:1)	CT 235	10	10	10	10

Notes:

<sup>a</sup> Reported value must be the average of 3 tests from a single sample.

<sup>b</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

#### 39-1.02F Reclaimed Asphalt Pavement

You may produce HMA using reclaimed asphalt pavement (RAP). HMA produced using RAP must comply with the specifications for HMA except aggregate quality specifications do not apply to RAP. You may substitute RAP aggregate for a part of the virgin aggregate in HMA in a quantity not exceeding 15.0 percent of the aggregate blend. Do not use RAP in OGFC and RHMA-G.

Assign the substitution rate of RAP aggregate for virgin aggregate with the job mix formula (JMF) submittal. The JMF must include the percent of RAP used. If you change your assigned RAP aggregate substitution rate by more than 5 percent (within the 15.0 percent limit), submit a new JMF.

Process RAP from asphalt concrete. You may process and stockpile RAP throughout the project's life. Prevent material contamination and segregation. Store RAP in stockpiles on smooth surfaces free of debris and organic material. Processed RAP stockpiles must consist only of homogeneous RAP.

#### 39-1.03 HOT MIX ASPHALT MIX DESIGN REQUIREMENTS

##### 39-1.03A General

A mix design consists of performing California Test 367 and laboratory procedures on combinations of aggregate gradations and asphalt binder contents to determine the optimum binder content (OBC) and HMA mixture qualities. If RAP is used, use Laboratory Procedure LP-9. The result of the mix design becomes the proposed JMF.

Use Form CEM-3512 to document aggregate quality and mix design data. Use Form CEM-3511 to present the JMF.

Laboratories testing aggregate qualities and preparing the mix design and JMF must be qualified under the Department's Independent Assurance Program. Take samples under California Test 125.

The Engineer reviews the aggregate qualities, mix design, and JMF and verifies and accepts the JMF.

You may change the JMF during production. Do not use the changed JMF until the Engineer accepts it. Except when adjusting the JMF in compliance with Section 39-1.03E, "Job Mix Formula Verification," perform a new mix design and submit in writing a new JMF submittal for changing any of the following:

1. Target asphalt binder percentage
2. Asphalt binder supplier
3. Asphalt rubber binder supplier
4. Component materials used in asphalt rubber binder or percentage of any component materials
5. Combined aggregate gradation
6. Aggregate sources
7. Substitution rate for RAP aggregate of more than 5 percent
8. Any material in the JMF

For OGFC, submit in writing a complete JMF submittal except asphalt binder content. The Engineer determines the asphalt binder content under California Test 368 within 20 days of your complete JMF submittal and provides you a Form CEM-3513.

### 39-1.03B Hot Mix Asphalt Mix Design

Perform a mix design that produces HMA in compliance with:

#### Hot Mix Asphalt Mix Design Requirements

Quality Characteristic	Test Method	HMA Type		
		A	B	RHMA-G
Air voids content (%)	CT 367 <sup>a</sup>	4.0	4.0	Special Provisions
Voids in mineral aggregate (% min.)	LP-2			
No. 4 grading		17.0	17.0	--
3/8" grading		15.0	15.0	--
1/2" grading		14.0	14.0	18.0 – 23.0 <sup>b</sup>
3/4" grading		13.0	13.0	18.0 – 23.0 <sup>b</sup>
Voids filled with asphalt (%)	LP-3			
No. 4 grading		76.0 – 80.0	76.0 – 80.0	Note d
3/8" grading		73.0 – 76.0	73.0 – 76.0	
1/2" grading		65.0 – 75.0	65.0 – 75.0	
3/4" grading		65.0 – 75.0	65.0 – 75.0	
Dust proportion	LP-4			
No. 4 and 3/8" gradings		0.9 – 2.0	0.9 – 2.0	Note d
1/2" and 3/4" gradings		0.6 – 1.3	0.6 – 1.3	
Stabilometer value (min.) <sup>c</sup>	CT 366			
No. 4 and 3/8" gradings		30	30	--
1/2" and 3/4" gradings		37	35	23

Notes:

<sup>a</sup> Calculate the air voids content of each specimen using California Test 309 and Lab Procedure LP-1. Modify California Test 367, Paragraph C5, to use the exact air voids content specified in the selection of OBC.

<sup>b</sup> Voids in mineral aggregate for RHMA-G must be within this range.

<sup>c</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the compactor, cool to 140 °± 5 °F by allowing the briquettes to cool at room temperature for 0.5-hour, then place the briquettes in the oven at 140 °F for a minimum of 2 hours and not more than 3 hours."

<sup>d</sup> Report this value in the JMF submittal.

For stability and air voids content, prepare 3 briquettes at the OBC and test for compliance. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 8 points. The average air void content may vary from the specified air void content by ±0.5 percent.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use the same briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

### 39-1.03C Job Mix Formula Submittal

Each JMF submittal must consist of:

1. Proposed JMF on Form CEM-3511
2. Mix design documentation on Form CEM-3512 dated within 12 months of submittal
3. JMF verification on Form CEM-3513, if applicable
4. JMF renewal on Form CEM-3514, if applicable
5. Materials Safety Data Sheets (MSDS) for:
  - 5.1. Asphalt binder
  - 5.2. Base asphalt binder used in asphalt rubber binder
  - 5.3. CRM and asphalt modifier used in asphalt rubber binder
  - 5.4. Blended asphalt rubber binder mixture

- 5.5. Supplemental fine aggregate except fines from dust collectors
- 5.6. Antistrip additives

If the Engineer requests in writing, sample the following materials in the presence of the Engineer and place in labeled containers weighing no more than 50 pounds each:

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 120 pounds for each coarse aggregate, 80 pounds for each fine aggregate, and 10 pounds for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 60 pounds.
3. Asphalt binder from the binder supplier. Samples must be in two 1-quart cylindrical shaped cans with open top and friction lids.
4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-quart cylindrical shaped cans with open top and friction lids.

Notify the Engineer in writing at least 2 business days before sampling materials. For aggregate and RAP, split the samples into at least 4 parts. Submit 3 parts to the Engineer and use 1 part for your testing.

#### **39-1.03D Job Mix Formula Review**

The Engineer reviews each mix design and proposed JMF within 5 business days from the complete JMF submittal. The review consists of reviewing the mix design procedures and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

#### **39-1.03E Job Mix Formula Verification**

If you cannot submit a Department-verified JMF on Form CEM-3513 dated within 12 months before HMA production, the Engineer verifies the JMF.

Based on your testing and production experience, you may submit on Form CEM-3511 an adjusted JMF before the Engineer's verification testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to  $\pm 0.6$  percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

For HMA Type A, Type B, and RHMA-G, the Engineer verifies the JMF from samples taken from HMA produced by the plant to be used. Notify the Engineer in writing at least 2 business days before sampling materials.

In the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Sample RAP from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample from any of the following locations:

1. The plant
2. A truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

You may sample from a different project including a non-Department project if you make arrangements for the Engineer to be present during sampling.

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and use 1 part for your testing.

The Engineer verifies each proposed JMF within 20 days of receiving all verification samples and the JMF submittal has been accepted. If you request in writing, the Engineer verifies RHMA-G quality requirements within 3 business days of sampling. Verification is testing for compliance with the specifications for:

1. Aggregate quality
2. Aggregate gradation (JMF TV  $\pm$  tolerance)
3. Asphalt binder content (JMF TV  $\pm$  tolerance)
4. HMA quality specified in the table Hot Mix Asphalt Mix Design Requirements except:
  - 4.1. Air voids content (design value  $\pm$  2.0 percent)
  - 4.2. Voids filled with asphalt (report only if an adjustment for asphalt binder content target value is less than or equal to  $\pm$  0.3 percent from OBC)
  - 4.3. Dust proportion (report only if an adjustment for asphalt binder content target value is less than or equal to  $\pm$  0.3 percent from OBC)

The Engineer prepares 3 briquettes from a single split sample. To verify the JMF for stability and air voids content, the Engineer tests the 3 briquettes and reports the average of 3 tests. The Engineer prepares new briquettes if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

If the Engineer verifies the JMF, the Engineer provides you a Form CEM-3513.

If the Engineer's tests on plant-produced samples do not verify the JMF, the Engineer notifies you in writing and you must submit a new JMF submittal or submit an adjusted JMF based on your testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to  $\pm$ 0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

You may adjust the JMF only once due to a failed verification test. An adjusted JMF requires a new Form CEM-3511 and verification of a plant-produced sample.

A verified JMF is valid for 12 months.

For each HMA type and aggregate size specified, the Engineer verifies at the State's expense up to 2 proposed JMF including a JMF adjusted after verification failure. The Engineer deducts \$3,000 from payments for each verification exceeding this limit. This deduction does not apply to verifications initiated by the Engineer or JMF renewal.

### **39-1.03F Job Mix Formula Renewal**

You may request a JMF renewal by submitting the following:

1. Proposed JMF on Form CEM-3511
2. A previously verified JMF documented on Form CEM-3513 dated within 12 months
3. Mix design documentation on Form CEM-3512 used for the previously verified JMF

If the Engineer requests in writing, sample the following materials in the presence of the Engineer and place in labeled containers weighing no more than 50 pounds each:

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 120 pounds for each coarse aggregate, 80 pounds for each fine aggregate, and 10 pounds for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 60 pounds.
3. Asphalt binder from the binder supplier. Samples must be in two 1-quart cylindrical shaped cans with open top and friction lids.

4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-quart cylindrical shaped cans with open top and friction lids.

Notify the Engineer in writing at least 2 business days before sampling materials. For aggregate and RAP, split samples into at least 4 parts. Submit 3 parts to the Engineer and use 1 part for your testing.

The Engineer may verify aggregate qualities during this review period.

Notify the Engineer in writing at least 2 business days before sampling materials. For aggregate, RAP, and HMA, split the samples into at least 4 parts. Submit 3 parts to the Engineer and use 1 part for your testing.

The Engineer verifies the JMF renewal submittal under Section 39-1.03E, "Job Mix Formula Verification," except:

1. The Engineer retains samples until you provide test results for your part on Form CEM-3514.
2. The Engineer tests samples of materials obtained from the HMA production unit after you submit test results that comply with the specifications for the quality characteristics under Section 39-1.03E, "Job Mix Formula Verification."
3. The Engineer verifies each proposed JMF renewal within 20 days of receiving verification samples.
4. You may not adjust the JMF due to a failed verification.
5. For each HMA type and aggregate gradation specified, the Engineer verifies at the State's expense 1 proposed JMF renewal within a 12-month period.

The most recent aggregate quality test results within the past 12 months may be used for verification of JMF renewal or the Engineer may perform aggregate quality tests for verification of JMF renewal.

If the Engineer verifies the JMF renewal, the Engineer provides you a Form CEM-3513.

#### **39-1.03G Job Mix Formula Modification**

For an accepted JMF, you may change binder source one time during production.

Submit your modified JMF request a minimum of 3 business days before production. Each modified JMF submittal must consist of:

1. Proposed modified JMF on Form CEM-3511.
2. Mix design records on Form CEM-3512 for the accepted JMF to be modified.
3. JMF verification on Form CEM-3513 for the accepted JMF to be modified.
4. Quality characteristics test results for the modified JMF as specified in section 39-1.03B. Perform tests at the mix design OBC as shown on Form CEM-3512.
5. If required, California Test 371 test results for the modified JMF.

With an accepted modified JMF submittal, the Engineer verifies each modified JMF within 5 business days of receiving all verification samples. If California Test 371 is required, the Engineer tests for California Test 371 within 10 days of receiving verification samples.

The Engineer verifies the modified JMF after the modified JMF HMA is placed on the project and verification samples are taken within the first 750 tons following sampling requirements in Section 39-1.03E, "Job Mix Formula Verification." The Engineer tests verification samples for compliance with:

1. Stability as shown in the table titled "Hot Mix Asphalt Mix Design Requirements"
2. Air void content at design value  $\pm 2.0$  percent
3. Voids in mineral aggregate as shown in the table titled "Hot Mix Asphalt Mix Design Requirements"
4. Voids filled with asphalt if an adjustment for asphalt binder content TV is more than  $\pm 0.3$  percent from the original OBC shown on Form CEM-3512.
5. Dust proportion if an adjustment for asphalt binder content TV is more than  $\pm 0.3$  percent from OBC shown on Form CEM-3512.

If the modified JMF is verified, the Engineer revises your Form CEM-3513 to include the new binder source. Your revised Form CEM-3513 will have the same expiration date as the original Form CEM-3513 for the accepted JMF that is modified.

If a modified JMF is not verified, stop production and any HMA placed using the modified JMF is rejected.

The Engineer deducts \$2,000 from payments for each modified JMF verification. The Engineer deducts an additional \$2,000 from payments for each modified JMF verification that requires California Test 371.

### **39-1.03H Job Mix Formula Acceptance**

You may start HMA production if:

1. The Engineer's review of the JMF shows compliance with the specifications.
2. The Department has verified the JMF within 12 months before HMA production.
3. The Engineer accepts the verified JMF.

### **39-1.04 CONTRACTOR QUALITY CONTROL**

#### **39-1.04A General**

Establish, maintain, and change a quality control system to ensure materials and work comply with the specifications. Submit quality control test results to the Engineer within 3 business days of a request except when QC / QA is specified.

You must identify the HMA sampling location in your Quality Control Plan. During production, take samples under California Test 125. You may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

#### **39-1.04B Prepaving Conference**

Meet with the Engineer at a prepaving conference at a mutually agreed time and place. Discuss methods of performing the production and paving work.

#### **39-1.04C Asphalt Rubber Binder**

Take asphalt rubber binder samples from the feed line connecting the asphalt rubber binder tank to the HMA plant. Sample and test asphalt rubber binder under Laboratory Procedure LP-11.

Test asphalt rubber binder for compliance with the viscosity specifications in Section 39-1.02, "Materials." During asphalt rubber binder production and HMA production using asphalt rubber binder, measure viscosity every hour with not less than 1 reading for each asphalt rubber binder batch. Log measurements with corresponding time and asphalt rubber binder temperature. Submit the log daily in writing.

Submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance." With the Certificate of Compliance, submit test results in writing for CRM and asphalt modifier with each truckload delivered to the HMA plant. A Certificate of Compliance for asphalt modifier must not represent more than 5,000 pounds. Use an AASHTO-certified laboratory for testing.

Sample and test gradation and wire and fabric content of CRM once per 10,000 pounds of scrap tire CRM and once per 3,400 pounds of high natural CRM. Sample and test scrap tire CRM and high natural CRM separately.

Submit certified weight slips in writing for the CRM and asphalt modifier furnished.

#### **39-1.04D Aggregate**

Determine the aggregate moisture content and RAP moisture content in continuous mixing plants at least twice a day during production and adjust the plant controller. Determine the RAP moisture content in batch mixing plants at least twice a day during production and adjust the plant controller.

#### **39-1.04E Reclaimed Asphalt Pavement**

Perform RAP quality control testing each day.

Sample RAP once daily and determine the RAP aggregate gradation under Laboratory Procedure LP-9 and submit the results to the Engineer in writing with the combined aggregate gradation.

#### **39-1.04F Density Cores**

To determine density for Standard and QC / QA projects, take 4-inch or 6-inch diameter density cores at least once every 5 business days. Take 1 density core for every 250 tons of HMA from random locations the Engineer designates. Take density cores in the Engineer's presence and backfill and compact holes with material authorized by the Engineer. Before submitting a density core to the Engineer, mark it with the density core's location and place it in a protective container.

If a density core is damaged, replace it with a density core taken within 1 foot longitudinally from the original density core. Relocate any density core located within 1 foot of a rumble strip to 1 foot transversely away from the rumble strip.

#### **39-1.04G Briquettes**

Prepare 3 briquettes for each stability and air voids content determination. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 12 points.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use these briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity.

#### **39-1.05 ENGINEER'S ACCEPTANCE**

The Engineer's acceptance of HMA is specified in the sections for each HMA construction process.

The Engineer samples materials for testing under California Test 125 and the applicable test method except samples may be taken from:

1. The plant from:
  - 1.1. A truck
  - 1.2. An automatic sampling device
2. The mat behind the paver

Sampling must be independent of Contractor quality control, statistically-based, and random. If you request, the Engineer splits samples and provides you with a part.

The Engineer accepts HMA based on:

1. Accepted JMF
2. Accepted QCP for Standard and QC / QA
3. Compliance with the HMA Acceptance tables
4. Acceptance of a lot for QC / QA
5. Visual inspection

The Engineer prepares 3 briquettes for each stability and air voids content determination. The Engineer reports the average of 3 tests. The Engineer prepares new briquettes and test if the range of stability for the 3 briquettes is more than 8 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer prepares 3 new briquettes and determines a new bulk specific gravity.

#### **39-1.06 DISPUTE RESOLUTION**

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer in writing within 5 business days of receiving a test result if you dispute the test result.

If you or the Engineer dispute each other's test results, submit written quality control test results and copies of paperwork including worksheets used to determine the disputed test results to the Engineer. An Independent Third Party (ITP) performs referee testing. Before the ITP participates in a dispute resolution, the ITP must be accredited under the Department's Independent Assurance Program. The ITP must be independent of the project. By mutual agreement, the ITP is chosen from:

1. A Department laboratory
2. A Department laboratory in a district or region not in the district or region the project is located
3. The Transportation Laboratory
4. A laboratory not currently employed by you or your HMA producer

If split quality control or acceptance samples are not available, the ITP uses any available material representing the disputed HMA for evaluation.

### **39-1.07 PRODUCTION START-UP EVALUATION**

The Engineer evaluates HMA production and placement at production start-up.

Within the first 750 tons produced on the first day of HMA production, in the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Take RAP samples from the RAP system. Sample HMA under California Test 125 except if you request in writing and the Engineer approves, you may sample HMA from:

1. The plant
2. The truck
3. A windrow
4. The paver hopper
5. The mat behind the paver

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and keep 1 part.

For Standard and QC / QA projects, you and the Engineer must test the split samples and report test results in writing within 3 business days of sampling. If you proceed before receipt of the test results, the Engineer may consider the HMA placed to be represented by these test results.

For Standard and QC / QA projects, take 4-inch or 6-inch diameter density cores within the first 750 tons on the first day of HMA production. For each density core, the Engineer reports the bulk specific gravity determined under California Test 308, Method A in addition to the percent of maximum theoretical density. You may test for in-place density at the density core locations and include them in your production tests for percent of maximum theoretical density.

### **39-1.08 PRODUCTION**

#### **39-1.08A General**

Produce HMA in a batch mixing plant or a continuous mixing plant. Proportion aggregate by hot or cold feed control.

HMA plants must be Department-qualified. Before production, the HMA plant must have a current qualification under the Department's Materials Plant Quality Program.

During production, you may adjust:

1. Hot or cold feed proportion controls for virgin aggregate and RAP
2. The set point for asphalt binder content

#### **39-1.08B Mixing**

Mix HMA ingredients into a homogeneous mixture of coated aggregates.

Asphalt binder must be between 275 °F and 375 °F when mixed with aggregate.

Asphalt rubber binder must be between 375 °F and 425 °F when mixed with aggregate.

When mixed with asphalt binder, aggregate must not be more than 325 °F except aggregate for OGFC with unmodified asphalt binder must be not more than 275 °F. Aggregate temperature specifications do not apply when you use RAP.

HMA with or without RAP must not be more than 325 °F.

#### **39-1.08C Asphalt Rubber Binder**

Deliver scrap tire CRM and high natural CRM in separate bags.

Either proportion and mix asphalt binder, asphalt modifier, and CRM simultaneously or premix the asphalt binder and asphalt modifier before adding CRM. If you premix asphalt binder and asphalt modifier, asphalt binder must be from 375 to 425 degrees F when you add the asphalt modifier. Mix them for at least 20 minutes. When you add CRM, the asphalt binder and asphalt modifier must be between 375 °F and 425 °F.

Do not use asphalt rubber binder during the first 45 minutes of the reaction period. During this period, the asphalt rubber binder mixture must be between 375 °F and the lower of 425 °F or 25 °F below the asphalt binder's flash point indicated in the MSDS.

If any asphalt rubber binder is not used within 4 hours after the reaction period, discontinue heating. If the asphalt rubber binder drops below 375 °F, reheat before use. If you add more scrap tire CRM to the reheated asphalt rubber binder, the binder must undergo a 45-minute reaction period. The added scrap tire CRM must not exceed 10 percent of the total asphalt rubber binder weight. Reheated and reacted asphalt rubber binder must comply with the viscosity specifications for asphalt rubber binder in Section 39-1.02, "Materials." Do not reheat asphalt rubber binder more than twice.

**39-1.09 SUBGRADE, TACK COAT, AND GEOSYNTHETIC PAVEMENT INTERLAYER**

**39-1.09A General**

Prepare subgrade or apply tack coat to surfaces receiving HMA. If specified, place geosynthetic pavement interlayer over a coat of asphalt binder.

**39-1.09B Subgrade**

Subgrade to receive HMA must comply with the compaction and elevation tolerance specifications in the sections for the material involved. Subgrade must be free of loose and extraneous material. If HMA is paved on existing base or pavement, remove loose paving particles, dirt, and other extraneous material by any means including flushing and sweeping.

**39-1.09C Tack Coat**

Apply tack coat:

1. To existing pavement including planed surfaces
2. Between HMA layers
3. To vertical surfaces of:
  - 3.1. Curbs
  - 3.2. Gutters
  - 3.3. Construction joints

Before placing HMA, apply tack coat in 1 application at the minimum residual rate specified for the condition of the underlying surface:

**Tack Coat Application Rates for HMA Type A, Type B, and RHMA-G**

HMA over:	Minimum Residual Rates (gallons per square yard)		
	CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion	CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion	Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion
New HMA (between layers)	0.02	0.03	0.02
PCC and existing HMA (AC) surfaces	0.03	0.04	0.03
Planed PCC and HMA (AC) surfaces	0.05	0.06	0.04

**Tack Coat Application Rates for OGFC**

OGFC over:	Minimum Residual Rates (gallons per square yard)		
	CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion	CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion	Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion
New HMA	0.03	0.04	0.03
PCC and existing HMA (AC) surfaces	0.05	0.06	0.04
Planned PCC and HMA (AC) surfaces	0.06	0.07	0.05

If you dilute asphaltic emulsion, mix until homogeneous before application.

Apply to vertical surfaces with a residual tack coat rate that will thoroughly coat the vertical face without running off.

If you request in writing and the Engineer authorizes, you may:

1. Change tack coat rates
2. Omit tack coat between layers of new HMA during the same work shift if:
  - 2.1. No dust, dirt, or extraneous material is present
  - 2.2. The surface is at least 140 °F

Immediately in advance of placing HMA, apply additional tack coat to damaged areas or where loose or extraneous material is removed.

Close areas receiving tack coat to traffic. Do not track tack coat onto pavement surfaces beyond the job site.

Asphalt binder tack coat must be between 285 °F and 350 °F when applied.

**39-1.09D Geosynthetic Pavement Interlayer**

Place geosynthetic pavement interlayer in compliance with the manufacturer's recommendations.

Before placing the geosynthetic pavement interlayer and asphalt binder:

1. Repair cracks 1/4 inch and wider, spalls, and holes in the pavement. The State pays for this repair work under Section 4-1.03D, "Extra Work."
2. Clean the pavement of loose and extraneous material.

Immediately before placing the interlayer, apply 0.25 gallon ± 0.03 gallon of asphalt binder per square yard of interlayer or until the fabric is saturated. Apply asphalt binder the width of the geosynthetic pavement interlayer plus 3 inches on each side. At interlayer overlaps, apply asphalt binder on the lower interlayer the same overlap distance as the upper interlayer.

Asphalt binder must be from 285 °F to 350 °F and below the minimum melting point of the geosynthetic pavement interlayer when applied.

Align and place the interlayer with no overlapping wrinkles, except a wrinkle that overlaps may remain if it is less than 1/2 inch thick. If the overlapping wrinkle is more than 1/2 inch thick, cut the wrinkle out and overlap the interlayer no more than 2 inches.

The minimum HMA thickness over the interlayer must be 0.12 foot thick including conform tapers. Do not place the interlayer on a wet or frozen surface.

Overlap the interlayer borders between 2 inches and 4 inches. In the direction of paving, overlap the following roll with the preceding roll at any break.

You may use rolling equipment to correct distortions or wrinkles in the interlayer.

If asphalt binder tracked onto the interlayer or brought to the surface by construction equipment causes interlayer displacement, cover it with a small quantity of HMA.

Before placing HMA on the interlayer, do not expose the interlayer to:

1. Traffic except for crossings under traffic control and only after you place a small HMA quantity
2. Sharp turns from construction equipment
3. Damaging elements

Pave HMA on the interlayer during the same work shift.

### **39-1.10 SPREADING AND COMPACTING EQUIPMENT**

Paving equipment for spreading must be:

1. Self-propelled
2. Mechanical
3. Equipped with a screed or strike-off assembly that can distribute HMA the full width of a traffic lane
4. Equipped with a full-width compacting device
5. Equipped with automatic screed controls and sensing devices that control the thickness, longitudinal grade, and transverse screed slope

Install and maintain grade and slope references.

The screed must produce a uniform HMA surface texture without tearing, shoving, or gouging.

The paver must not leave marks such as ridges and indentations unless you can eliminate them by rolling.

Rollers must be equipped with a system that prevents HMA from sticking to the wheels. You may use a parting agent that does not damage the HMA or impede the bonding of layers.

In areas inaccessible to spreading and compacting equipment:

1. Spread the HMA by any means to obtain the specified lines, grades and cross sections.
2. Use a pneumatic tamper, plate compactor, or equivalent to achieve thorough compaction.

### **39-1.11 TRANSPORTING, SPREADING, AND COMPACTING**

Do not pave HMA on a wet pavement or frozen surface.

You may deposit HMA in a windrow and load it in the paver if:

1. Paver is equipped with a hopper that automatically feeds the screed
2. Loading equipment can pick up the windrowed material and deposit it in the paver hopper without damaging base material
3. Activities for deposit, pick-up, loading, and paving are continuous
4. HMA temperature in the windrow does not fall below 260 °F

You may pave HMA in 1 or more layers on areas less than 5 feet wide and outside the traveled way including shoulders. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture.

HMA handled, spread, or windrowed must not stain the finished surface of any improvement including pavement.

Do not use petroleum products such as kerosene or diesel fuel to release HMA from trucks, spreaders, or compactors.

HMA must be free of:

1. Segregation
2. Coarse or fine aggregate pockets
3. Hardened lumps

Longitudinal joints in the top layer must match specified lane edges. Alternate longitudinal joint offsets in lower layers at least 0.5 foot from each side of the specified lane edges. You may request in writing other longitudinal joint placement patterns.

Until the adjoining through lane's top layer has been paved, do not pave the top layer of:

1. Shoulders
2. Tapers
3. Transitions
4. Road connections
5. Driveways
6. Curve widenings
7. Chain control lanes

8. Turnouts
9. Turn pockets

If the number of lanes change, pave each through lane's top layer before paving a tapering lane's top layer. Simultaneous to paving a through lane's top layer, you may pave an adjoining area's top layer including shoulders. Do not operate spreading equipment on any area's top layer until completing final compaction.

If HMA (leveling) is specified, fill and level irregularities and ruts with HMA before spreading HMA over base, existing surfaces, or bridge decks. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture. HMA used to change an existing surface's cross slope or profile is not HMA (leveling).

If placing HMA against the edge of existing pavement, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. If placing HMA against the edge of a longitudinal or transverse construction joint and the joint is damaged or not placed to a neat line, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. Repair or remove and replace damaged pavement at your expense.

Rolling must leave the completed surface compacted and smooth without tearing, cracking, or shoving. Complete finish rolling activities before the pavement surface temperature is:

1. Below 150 °F for HMA with unmodified binder
2. Below 140 °F for HMA with modified binder
3. Below 200 °F for RHMA-G

If a vibratory roller is used as a finish roller, turn the vibrator off.

Do not use a pneumatic tired roller to compact RHMA-G.

For Standard and QC/QA, if a 3/4-inch aggregate grading is specified, you may use a 1/2-inch aggregate grading if the specified total paved thickness is at least 0.15 foot and less than 0.20 foot thick.

Spread and compact HMA under Section 39-3.03, "Spreading and Compacting Equipment," and Section 39-3.04, "Transporting, Spreading, and Compacting," for any of the following:

1. Specified paved thickness is less than 0.15 foot.
2. Specified paved thickness is less than 0.20 foot and a 3/4-inch aggregate grading is specified and used.
3. You spread and compact at:
  - 3.1. Asphalt concrete surfacing replacement areas
  - 3.2. Leveling courses
  - 3.3. Areas the Engineer determines conventional compaction and compaction measurement methods are impeded

Do not open new HMA pavement to public traffic until its mid-depth temperature is below 160 °F.

If you request in writing and the Engineer authorizes, you may cool HMA Type A and Type B with water when rolling activities are complete. Apply water under Section 17, "Watering."

Spread sand at a rate between 1 pound and 2 pounds per square yard on new RHMA-G, RHMA-O, and RHMA-O-HB pavement when finish rolling is complete. Sand must be free of clay or organic matter. Sand must comply with Section 90-3.03, "Fine Aggregate Grading." Keep traffic off the pavement until spreading sand is complete.

### **39-1.12 SMOOTHNESS**

#### **39-1.12A General**

Determine HMA smoothness with a profilograph and a straightedge.

Smoothness specifications do not apply to OGFC placed on existing pavement not constructed under the same project.

If portland cement concrete is placed on HMA:

1. Cold plane the HMA finished surface to within specified tolerances if it is higher than the grade specified by the Engineer.
2. Remove and replace HMA if the finished surface is lower than 0.05 foot below the grade specified by the Engineer.

### **39-1.12B Straightedge**

The HMA pavement top layer must not vary from the lower edge of a 12-foot long straightedge:

1. More than 0.01 foot when the straight edge is laid parallel with the centerline
2. More than 0.02 foot when the straightedge is laid perpendicular to the centerline and extends from edge to edge of a traffic lane
3. More than 0.02 foot when the straightedge is laid within 24 feet of a pavement conform

### **39-1.12C Profilograph**

Under California Test 526, determine the zero (null) blanking band Profile Index ( $PI_0$ ) and must-grinds on the top layer of HMA Type A, Type B, and RHMA-G pavement. Take 2 profiles within each traffic lane, 3 feet from and parallel with the edge of each lane.

A must-grind is a deviation of 0.3 inch or more in a length of 25 feet. You must correct must-grinds.

For OGFC, only determine must-grinds when placed over HMA constructed under the same project. The top layer of the underlying HMA must comply with the smoothness specifications before placing OGFC.

Profile pavement in the Engineer's presence. Choose the time of profiling.

On tangents and horizontal curves with a centerline radius of curvature 2,000 feet or more, the  $PI_0$  must be at most 2.5 inches per 0.1-mile section.

On horizontal curves with a centerline radius of curvature between 1,000 feet and 2,000 feet including pavement within the superelevation transitions, the  $PI_0$  must be at most 5 inches per 0.1-mile section.

Before the Engineer accepts HMA pavement for smoothness, submit written final profilograms.

Submit 1 electronic copy of profile information in Microsoft Excel and 1 electronic copy of longitudinal pavement profiles in ".erd" format or other ProVAL compatible format to the Engineer and to:

Smoothness@dot.ca.gov

The following HMA pavement areas do not require a  $PI_0$ . You must measure these areas with a 12-foot straightedge and determine must-grinds with a profilograph:

1. New HMA with a total thickness less than 0.25 foot
2. HMA sections of city or county streets and roads, turn lanes and collector lanes that are less than 1,500 feet in length

The following HMA pavement areas do not require a  $PI_0$ . You must measure these areas with a 12-foot straightedge:

1. Horizontal curves with a centerline radius of curvature less than 1,000 feet including pavement within the superelevation transitions of those curves
2. Within 12 feet of a transverse joint separating the pavement from:
  - 2.1. Existing pavement not constructed under the same project
  - 2.2. A bridge deck or approach slab
3. Exit ramp termini, truck weigh stations, and weigh-in-motion areas
4. If steep grades and superelevation rates greater than 6 percent are present on:
  - 4.1. Ramps
  - 4.2. Connectors
5. Turn lanes
6. Areas within 15 feet of manholes or drainage transitions
7. Acceleration and deceleration lanes for at-grade intersections
8. Shoulders and miscellaneous areas
9. HMA pavement within 3 feet from and parallel to the construction joints formed between curbs, gutters, or existing pavement

### **39-1.12D Smoothness Correction**

If the top layer of HMA Type A, Type B, or RHMA-G pavement does not comply with the smoothness specifications, grind the pavement to within tolerances, remove and replace it, or place a layer of HMA. The Engineer must authorize your choice of correction before the work begins.

Remove and replace the areas of OGFC not in compliance with the must-grind and straightedge specifications, except you may grind OGFC for correcting smoothness:

1. At a transverse joint separating the pavement from pavement not constructed under the same project
2. Within 12 feet of a transverse joint separating the pavement from a bridge deck or approach slab

Corrected HMA pavement areas must be uniform rectangles with edges:

1. Parallel to the nearest HMA pavement edge or lane line
2. Perpendicular to the pavement centerline

Measure the corrected HMA pavement surface with a profilograph and a 12-foot straightedge and correct the pavement to within specified tolerances. If a must-grind area or straightedged pavement cannot be corrected to within specified tolerances, remove and replace the pavement.

On ground areas not overlaid with OGFC, apply fog seal coat under Section 37-1, "Seal Coats."

### **39-1.13 MISCELLANEOUS AREAS AND DIKES**

Miscellaneous areas are outside the traveled way and include:

1. Median areas not including inside shoulders
2. Island areas
3. Sidewalks
4. Gutters
5. Gutter flares
6. Ditches
7. Overside drains
8. Aprons at the ends of drainage structures

Spread miscellaneous areas in 1 layer and compact to the specified lines and grades.

For miscellaneous areas and dikes:

1. Do not submit a JMF.
2. Choose the 3/8-inch or 1/2-inch HMA Type A and Type B aggregate gradations.
3. Minimum asphalt binder content must be 6.8 percent for 3/8-inch aggregate and 6.0 percent for 1/2-inch aggregate. If you request in writing and the Engineer authorizes, you may reduce the minimum asphalt binder content.
4. Choose asphalt binder Grade PG 70-10 or the same grade specified for HMA.

## **39-2 STANDARD**

### **39-2.01 DESCRIPTION**

If HMA is specified as Standard, construct it under Section 39-1, "General," this Section 39-2, "Standard," and Section 39-5, "Measurement and Payment."

### **39-2.02 CONTRACTOR QUALITY CONTROL**

#### **39-2.02A Quality Control Plan**

Establish, implement, and maintain a Quality Control Plan (QCP) for HMA. The QCP must describe the organization and procedures you will use to:

1. Control the quality characteristics
2. Determine when corrective actions are needed (action limits)
3. Implement corrective actions

When you submit the proposed JMF, submit the written QCP. You and the Engineer must discuss the QCP during the prepaving conference.

The QCP must address the elements affecting HMA quality including:

1. Aggregate
2. Asphalt binder
3. Additives
4. Production
5. Paving

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

**39-2.02B Quality Control Testing**

Perform sampling and testing at the specified frequency for the following quality characteristics:

**Minimum Quality Control – Standard**

Quality Characteristic	Test Method	Minimum Sampling and Testing Frequency	HMA Type			
			A	B	RHMA-G	OGFC
Aggregate gradation <sup>a</sup>	CT 202	1 per 750 tons and any remaining part at the end of the project	JMF ± Tolerance <sup>b</sup>			
Sand equivalent (min.) <sup>c</sup>	CT 217		47	42	47	--
Asphalt binder content (%)	CT 379 or 382		JMF ± 0.45	JMF ± 0.45	JMF ± 0.50	JMF ± 0.50
HMA moisture content (%; max.)	CT 226 or CT 370	1 per 2,500 tons but not less than 1 per paving day	1.0	1.0	1.0	1.0
Field compaction, (%; max. theoretical density) <sup>d,e</sup>	Quality control plan	2 per business day (min.)	91 - 97	91 - 97	91 - 97	--
Stabilometer value (min.) <sup>c, f</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	CT 366	One per 4,000 tons or 2 per 5 business days, whichever is more	30	30	--	--
			37	35	23	--
Air voids content (%) <sup>c, g</sup>	CT 367		4 ± 2	4 ± 2	Specification ± 2	--
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants <sup>h</sup>	CT 226 or CT 370	2 per day during production	--	--	--	--
Percent of crushed particles coarse aggregate (%; min.) One fractured face Two fractured faces Fine aggregate (%; min) (Passing No. 4 sieve and retained on No. 8 sieve.) One fractured face	CT 205	As necessary and designated in the QCP. At least once per project	90	25	--	90
			75	--	90	75
			70	20	70	90
Los Angeles Rattler (%; max.) Loss at 100 rev. Loss at 500 rev.	CT 211		12 45	-- 50	12 40	12 40

Flat and elongated particles (% max. by weight @ 5:1)	CT 235		Report only	Report only	Report only	Report only
Fine aggregate angularity (% min.) <sup>i</sup>	CT 234		45	45	45	--
Voids filled with asphalt (%) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	LP-3		76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	Report only	--
Voids in mineral aggregate (% min.) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	LP-2		17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0 – 23.0 <sup>k</sup> 18.0 – 23.0 <sup>k</sup>	--
Dust proportion <sup>j</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	LP-4		0.9 – 2.0 0.6 – 1.3	0.9 – 2.0 0.6 – 1.3	Report only	--
Smoothness	Section 39-1.12	--	12-foot straightedge, must-grind, and PI <sub>0</sub>	12-foot straightedge, must-grind, and PI <sub>0</sub>	12-foot straightedge, must-grind, and PI <sub>0</sub>	12-foot straightedge and must-grind
Asphalt rubber binder viscosity @ 375 °F, centipoises	Section 39-1.02D	Section 39-1.04C	--	--	1,500 – 4,000	1,500 – 4,000
Asphalt modifier	Section 39-1.02D	Section 39-1.04C	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Section 39-1.02D	Section 39-1.04C	--	--	Section 39-1.02D	Section 39-1.02D

Notes:

<sup>a</sup> Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>c</sup> Report the average of 3 tests from a single split sample.

<sup>d</sup> Determine field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, No. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.

2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>e</sup> To determine field compaction use:

1. In-place density measurements using the method specified in your QC.

2. California Test 309 to determine maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>f</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 140 °F ± 5 °F by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 140 °F for a minimum of 2 hours and not more than 3 hours."

<sup>g</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>h</sup> For adjusting the plant controller at the HMA plant.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

For any single quality characteristic except smoothness, if 2 consecutive quality control test results do not comply with the action limits or specifications:

1. Stop production.
2. Notify the Engineer in writing.
3. Take corrective action.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### **39-2.03 ENGINEER'S ACCEPTANCE**

#### **39-2.03A Testing**

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance - Standard**

Quality Characteristic	Test Method	HMA Type							
		A	B	RHMA-G	OGFC				
Aggregate gradation <sup>a</sup>	CT 202	JMF ± Tolerance <sup>c</sup>	JMF ± Tolerance <sup>c</sup>	JMF ± Tolerance <sup>c</sup>	JMF ± Tolerance <sup>c</sup>				
Sieve						3/4"	1/2"	3/8"	
1/2"						X <sup>b</sup>			
3/8"							X		
No. 4								X	
No. 8						X	X	X	
No. 200	X	X	X						
Sand equivalent (min.) <sup>d</sup>	CT 217	47	42	47	--				
Asphalt binder content (%)	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.50	JMF ± 0.50				
HMA moisture content (% max.)	CT 226 or CT 370	1.0	1.0	1.0	1.0				
Field compaction (% max. theoretical density) <sup>e,f</sup>	CT 375	91 – 97	91 – 97	91 – 97	--				
Stabilometer value (min.) <sup>d,g</sup>	CT 366	30	30	--	--				
No. 4 and 3/8" gradings									
1/2" and 3/4" gradings		37	35	23	--				
Air voids content (%) <sup>d,h</sup>	CT 367	4 ± 2	4 ± 2	Specification ± 2	--				
Percent of crushed particles	CT 205								
Coarse aggregate (% min.)									
One fractured face						90	25	--	90
Two fractured faces						75	--	90	75
Fine aggregate (% min)									
(Passing No. 4 sieve and retained on No. 8 sieve.)									
One fractured face	70	20	70	90					
Los Angeles Rattler (% max.)	CT 211	12	--	12	12				
Loss at 100 rev.						45	50	40	40
Loss at 500 rev.									
Fine aggregate angularity (% min.) <sup>i</sup>	CT 234	45	45	45	--				
Flat and elongated particles (% max. by weight @ 5:1)	CT 235	Report only	Report only	Report only	Report only				
Voids filled with asphalt (%) <sup>j</sup>	LP-3	76.0 – 80.0	76.0 – 80.0	Report only	--				
No. 4 grading									
3/8" grading						73.0 – 76.0	73.0 – 76.0		
1/2" grading						65.0 – 75.0	65.0 – 75.0		
3/4" grading						65.0 – 75.0	65.0 – 75.0		
Voids in mineral aggregate (% min.) <sup>j</sup>	LP-2	17.0	17.0	--	--				
No. 4 grading									
3/8" grading						15.0	15.0		
1/2" grading						14.0	14.0	18.0 – 23.0 <sup>k</sup>	
3/4" grading						13.0	13.0	18.0 – 23.0 <sup>k</sup>	
Dust proportion <sup>j</sup>	LP-4	0.9 – 2.0	0.9 – 2.0	Report only	--				
No. 4 and 3/8" gradings									
1/2" and 3/4" gradings		0.6 – 1.3	0.6 – 1.3						
Smoothness	Section 39-1.12	12-foot straightedge, must-grind, and PI <sub>0</sub>	12-foot straightedge, must-grind, and PI <sub>0</sub>	12-foot straightedge, must-grind, and PI <sub>0</sub>	12-foot straightedge and must-grind				
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92				
Asphalt rubber binder	Various	--	--	Section 92-	Section 92-				

				1.02(C) and Section 39-1.02D	1.02(C) and Section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> "X" denotes the sieves the Engineer considers for the specified aggregate gradation.

<sup>c</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>d</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>e</sup> The Engineer determines field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, or No.4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>f</sup> To determined field compaction, the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core.
2. California Test 309 to determine maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>g</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 140 °F ±5 °F by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 140 °F for a minimum of 2 hours and not more than 3 hours."

<sup>h</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tons or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

The Engineer tests the density core you take from each 250 tons of HMA production. The Engineer determines the percent of maximum theoretical density for each density core by determining the density core's density and dividing by the maximum theoretical density.

The Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness if any of the following applies:

1. 1/2-inch, 3/8-inch, or No. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and any layer is less than 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot and any layer is less than 0.20 foot.

For percent of maximum theoretical density, the Engineer determines a deduction for each test result outside the specifications in compliance with:

**Reduced Payment Factors for Percent of Maximum Theoretical Density**

HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density	Reduced Payment Factor	HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density	Reduced Payment Factor
91.0	0.0000	97.0	0.0000
90.9	0.0125	97.1	0.0125
90.8	0.0250	97.2	0.0250
90.7	0.0375	97.3	0.0375
90.6	0.0500	97.4	0.0500
90.5	0.0625	97.5	0.0625
90.4	0.0750	97.6	0.0750
90.3	0.0875	97.7	0.0875
90.2	0.1000	97.8	0.1000
90.1	0.1125	97.9	0.1125
90.0	0.1250	98.0	0.1250
89.9	0.1375	98.1	0.1375
89.8	0.1500	98.2	0.1500
89.7	0.1625	98.3	0.1625
89.6	0.1750	98.4	0.1750
89.5	0.1875	98.5	0.1875
89.4	0.2000	98.6	0.2000
89.3	0.2125	98.7	0.2125
89.2	0.2250	98.8	0.2250
89.1	0.2375	98.9	0.2375
89.0	0.2500	99.0	0.2500
< 89.0	Remove and Replace	> 99.0	Remove and Replace

**39-2.04 TRANSPORTING, SPREADING, AND COMPACTING**

Determine the number of rollers needed to obtain the specified density and surface finish.

**39-3 METHOD**

**39-3.01 DESCRIPTION**

If HMA is specified as Method, construct it under Section 39-1, "General," this Section 39-3, "Method," and Section 39-5, "Measurement and Payment."

**39-3.02 ENGINEER'S ACCEPTANCE**

**39-3.02A Testing**

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance - Method**

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Aggregate gradation <sup>a</sup>	CT 202	JMF ± Tolerance <sup>b</sup>			
Sand equivalent (min.) <sup>c</sup>	CT 217	47	42	47	--
Asphalt binder content (%)	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.50	JMF ± 0.50
HMA moisture content (% max.)	CT 226 or CT 370	1.0	1.0	1.0	1.0
Stabilometer value (min.) <sup>c,d</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	CT 366	30	30	--	--
		37	35	23	--
Percent of crushed particles Coarse aggregate (% min.) One fractured face Two fractured faces Fine aggregate (% min) (Passing No. 4 sieve and retained on No. 8 sieve.) One fractured face	CT 205	90	25	--	90
		75	--	90	75
		70	20	70	90
Los Angeles Rattler (% max.) Loss at 100 rev. Loss at 500 rev.	CT 211	12	--	12	12
		45	50	40	40
Air voids content (%) <sup>c,e</sup>	CT 367	4 ± 2	4 ± 2	Specification ± 2	--
Fine aggregate angularity (% min.) <sup>f</sup>	CT 234	45	45	45	--
Flat and elongated particles (% max. by weight @ 5:1)	CT 235	Report only	Report only	Report only	Report only
Voids filled with asphalt (%) <sup>g</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	LP-3	76.0 – 80.0	76.0 – 80.0	Report only	--
		73.0 – 76.0	73.0 – 76.0		
		65.0 – 75.0	65.0 – 75.0		
		65.0 – 75.0	65.0 – 75.0		
Voids in mineral aggregate (% min.) <sup>g</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	LP-2	17.0	17.0	--	--
		15.0	15.0	--	
		14.0	14.0	18.0 – 23.0 <sup>h</sup>	
		13.0	13.0	18.0 – 23.0 <sup>h</sup>	
Dust proportion <sup>g</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	LP-4	0.9 – 2.0	0.9 – 2.0	Report only	--
		0.6 – 1.3	0.6 – 1.3		
Smoothness	Section 39-1.12	12-foot straightedge and must-grind			
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92-1.02(C) and Section 39-	Section 92-1.02(C) and Section 39-

				1.02D	1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D

<sup>a</sup>The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

<sup>b</sup>The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>c</sup>The Engineer reports the average of 3 tests from a single split sample.

<sup>d</sup>Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 140 °F ±5 °F by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 140 °F for a minimum of 2 hours and not more than 3 hours."

<sup>e</sup>The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>f</sup>The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>g</sup>Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>h</sup>Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 750 tons or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### 39-3.03 SPREADING AND COMPACTING EQUIPMENT

Each paver spreading HMA Type A and Type B must be followed by 3 rollers:

1. One vibratory roller specifically designed to compact HMA. The roller must be capable of at least 2,500 vibrations per minute and must be equipped with amplitude and frequency controls. The roller's gross static weight must be at least 7.5 tons.
2. One oscillating type pneumatic-tired roller at least 4 feet wide. Pneumatic tires must be of equal size, diameter, type, and ply. The tires must be inflated to 60 psi minimum and maintained so that the air pressure does not vary more than 5 psi.
3. One steel-tired, 2-axle tandem roller. The roller's gross static weight must be at least 7.5 tons.

Each roller must have a separate operator. Rollers must be self-propelled and reversible.

Compact RHMA-G under the specifications for compacting HMA Type A and Type B except do not use pneumatic-tired rollers.

Compact OGFC with steel-tired, 2-axle tandem rollers. If placing over 300 tons of OGFC per hour, use at least 3 rollers for each paver. If placing less than 300 tons of OGFC per hour, use at least 2 rollers for each paver. Each roller must weigh between 126 pounds to 172 pounds per linear inch of drum width. Turn the vibrator off.

### 39-3.04 TRANSPORTING, SPREADING, AND COMPACTING

Pave HMA in maximum 0.25-foot thick compacted layers.

If the surface to be paved is both in sunlight and shade, pavement surface temperatures are taken in the shade.

Spread HMA Type A and Type B only if atmospheric and surface temperatures are:

**Minimum Atmospheric and Surface Temperatures**

Compacted Layer Thickness, feet	Minimum Atmospheric and Surface Temperatures			
	Atmospheric, ° F		Surface, ° F	
	Unmodified Asphalt Binder	Modified Asphalt Binder <sup>a</sup>	Unmodified Asphalt Binder	Modified Asphalt Binder <sup>a</sup>
< 0.15	55	50	60	55
0.15 – 0.25	45	45	50	50

Note:

<sup>a</sup> Except asphalt rubber binder.

If the asphalt binder for HMA Type A and Type B is:

1. Unmodified asphalt binder, complete:

- 1.1. First coverage of breakdown compaction before the surface temperature drops below 250 °F
- 1.2. Breakdown and intermediate compaction before the surface temperature drops below 200 °F
- 1.3. Finish compaction before the surface temperature drops below 150 °F

2. Modified asphalt binder, complete:

- 2.1. First coverage of breakdown compaction before the surface temperature drops below 240 °F
- 2.2. Breakdown and intermediate compaction before the surface temperature drops below 180 °F
- 2.3. Finish compaction before the surface temperature drops below 140 °F

For RHMA-G:

- 1. Only spread and compact if the atmospheric temperature is at least 55 °F and the surface temperature is at least 60 °F.
- 2. Complete the first coverage of breakdown compaction before the surface temperature drops below 285 °F.
- 3. Complete breakdown and intermediate compaction before the surface temperature drops below 250 °F.
- 4. Complete finish compaction before the surface temperature drops below 200 °F.
- 5. If the atmospheric temperature is below 70 °F, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with unmodified asphalt binder:

- 1. Only spread and compact if the atmospheric temperature is at least 55 °F and the surface temperature is at least 60 °F.
- 2. Complete first coverage using 2 rollers before the surface temperature drops below 240 °F.
- 3. Complete all compaction before the surface temperature drops below 200 °F.
- 4. If the atmospheric temperature is below 70 °F, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with modified asphalt binder except asphalt rubber binder:

- 1. Only spread and compact if the atmospheric temperature is at least 50 °F and the surface temperature is at least 50 °F.
- 2. Complete first coverage using 2 rollers before the surface temperature drops below 240 °F.
- 3. Complete all compaction before the surface temperature drops below 180 °F.
- 4. If the atmospheric temperature is below 70 °F, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For RHMA-O and RHMA-O-HB:

- 1. Only spread and compact if the atmospheric temperature is at least 55 °F and surface temperature is at least 60 °F.

2. Complete the 1st coverage using 2 rollers before the surface temperature drops below 280 °F.
3. Complete compaction before the surface temperature drops below 250 °F.
4. If the atmospheric temperature is below 70 °F, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until the mixture is transferred to the paver's hopper or to the pavement surface.

For RHMA-G and OGFC, tarpaulins are not required if the time from discharge to truck until transfer to the paver's hopper or the pavement surface is less than 30 minutes.

HMA compaction coverage is the number of passes needed to cover the paving width. A pass is 1 roller's movement parallel to the paving in either direction. Overlapping passes are part of the coverage being made and are not a subsequent coverage. Do not start a coverage until completing the prior coverage.

Start rolling at the lower edge and progress toward the highest part.

Perform breakdown compaction of each layer of HMA Type A, Type B, and RHMA-G with 3 coverages using a vibratory roller. The speed of the vibratory roller in miles per hour must not exceed the vibrations per minute divided by 1,000. If the HMA layer thickness is less than 0.08 foot, turn the vibrator off. The Engineer may order fewer coverages if the HMA layer thickness is less than 0.15 foot.

Perform intermediate compaction of each layer of HMA Type A and Type B with 3 coverages using a pneumatic-tired roller at a speed not to exceed 5 mph.

Perform finish compaction of HMA Type A, Type B, and RHMA-G with 1 coverage using a steel-tired roller.

Compact OGFC with 2 coverages using steel-tired rollers.

### **39-4 QUALITY CONTROL / QUALITY ASSURANCE**

#### **39-4.01 DESCRIPTION**

If HMA is specified as Quality Control / Quality Assurance, construct it under Section 39-1, "General," this Section 39-4, "Quality Control / Quality Assurance," and Section 39-5, "Measurement and Payment."

#### **39-4.02 GENERAL**

The QC / QA construction process consists of:

1. Establishing, maintaining, and changing if needed a quality control system providing assurance the HMA complies with the specifications
2. Sampling and testing at specified intervals, or sublots, to demonstrate compliance and to control process
3. The Engineer sampling and testing at specified intervals to verify testing process and HMA quality
4. The Engineer using test results, statistical evaluation of verified quality control tests, and inspection to accept HMA for payment

A lot is a quantity of HMA. The Engineer designates a new lot when:

1. 20 sublots are complete
2. The JMF changes
3. Production stops for more than 30 days

Each lot consists of no more than 20 sublots. A subplot is 750 tons except HMA paved at day's end greater than 250 tons is a subplot. If HMA paved at day's end is less than 250 tons, you may either make this quantity a subplot or include it in the previous subplot's test results for statistical evaluation.

#### **39-4.03 CONTRACTOR QUALITY CONTROL**

##### **39-4.03A General**

Use a composite quality factor,  $QF_C$ , and individual quality factors,  $QF_{QC_i}$ , to control your process and evaluate your quality control program. For quality characteristics without quality factors, use your quality control plan's action limits to control process.

Control HMA quality including:

1. Materials
2. Proportioning
3. Spreading and compacting
4. Finished roadway surface

Develop, implement, and maintain a quality control program that includes:

1. Inspection
2. Sampling
3. Testing

#### **39-4.03B Quality Control Plan**

With the JMF submittal, submit a written Quality Control Plan (QCP). The QCP must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement. Discuss the QCP with the Engineer during the prepaving conference.

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

The QCP must include the name and qualifications of a Quality Control Manager. The Quality Control Manager administers the QCP and during paving must be at the job site within 3 hours of receiving notice. The Quality Control Manager must not be any of the following on the project:

1. Foreman
2. Production or paving crewmember
3. Inspector
4. Tester

The QCP must include action limits and details of corrective action you will take if a test result for any quality characteristic falls outside an action limit.

As work progresses, you must submit a written QCP supplement to change quality control procedures, personnel, tester qualification status, or laboratory accreditation status.

#### **39-4.03C Quality Control Inspection, Sampling, And Testing**

Sample, test, inspect, and manage HMA quality control.

Provide a roadway inspector while HMA paving activities are in progress. Provide a plant inspector during HMA production.

Inspectors must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement.

Provide a testing laboratory and personnel for quality control testing. Provide the Engineer unrestricted access to the quality control activities. Before providing services for the project, the Engineer reviews, accredits, and qualifies the testing laboratory and personnel under the Department's Independent Assurance Program.

The minimum random sampling and testing for quality control is:

**Minimum Quality Control – QC / QA**

Quality Characteristic	Test Method	Minimum Sampling and Testing Frequency	HMA Type			Location of Sampling	Max. Reporting Time Allowance
			A	B	RHMA-G		
Aggregate gradation <sup>a</sup>	CT 202	1 per 750 tons	JMF ± Tolerance <sup>b</sup>	JMF ± Tolerance <sup>b</sup>	JMF ± Tolerance <sup>b</sup>	CT 125	24 hours
Asphalt binder content (%)	CT 379 or 382		JMF ±0.45	JMF ±0.45	JMF ±0.5	Loose Mix Behind Paver See CT 125	
Field compaction (% max. theoretical density) <sup>c,d</sup>	QC Plan		92 - 96	92 - 96	91 - 96	QC Plan	
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants <sup>e</sup>	CT 226 or CT 370	2 per day during production	--	--	--	Stock-piles or cold feed belts	--
Sand equivalent (min.) <sup>f</sup>	CT 217	1 per 750 tons	47	42	47	CT 125	24 hours
HMA moisture content (% max.)	CT 226 or CT 370	1 per 2,500 tons but not less than 1 per paving day	1.0	1.0	1.0	Loose Mix Behind Paver See CT 125	24 hours
Stabilometer Value (min.) <sup>f,g</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	CT 366	1 per 4,000 tons or 2 per 5 business days, whichever is more	30 37	30 35	-- 23		48 hours
Air voids content (%) <sup>f,h</sup>	CT 367		4 ± 2	4 ± 2	Specification ± 2		

Percent of crushed particles coarse aggregate (% min.) One fractured face Two fractured faces Fine aggregate (% min) (Passing No. 4 sieve and retained on No. 8 sieve.) One fractured face	CT 205	As necessary and designated in QCP. At least once per project.	90	25	--	CT 125	48 hours
Los Angeles Rattler (% max.) Loss at 100 rev. Loss at 500 rev.	CT 211		12 45	-- 50	12 40	CT 125	
Fine aggregate angularity (% min.) <sup>i</sup>	CT 234		45	45	45	CT 125	
Flat and elongated particle (% max. by weight @ 5:1)	CT 235		Report only	Report only	Report only	CT 125	
Voids filled with asphalt (%) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	LP-3		76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	Report only	LP-3	
Voids in mineral aggregate (% min.) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	LP-2		17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0 – 23.0 <sup>k</sup> 18.0 – 23.0 <sup>k</sup>	LP-2	
Dust proportion <sup>l</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	LP-4		0.9 – 2.0 0.6 – 1.3	0.9 – 2.0 0.6 – 1.3	Report only	LP-4	
Smoothness	Section 39-1.12	--	12-foot straight-edge, must-grind, and PI <sub>0</sub>	12-foot straight-edge, must-grind, and PI <sub>0</sub>	12-foot straight-edge, must-grind, and PI <sub>0</sub>	--	
Asphalt rubber binder viscosity @ 375 °F, centipoises	Section 39-1.02D	--	--	--	1,500 – 4,000	Section 39-1.02D	24 hours
Crumb rubber modifier	Section 39-1.02D	--	--	--	Section 39-1.02D	Section 39-1.02D	48 hours

Notes:

<sup>a</sup> Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>c</sup> Determine field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, No. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>d</sup> To determine field compaction use:

1. In-place density measurements using the method specified in your QC.
2. California Test 309 to determine maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>e</sup> For adjusting the plant controller at the HMA plant.

<sup>f</sup> Report the average of 3 tests from a single split sample.

<sup>g</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 140 °F ± 5 °F by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 140 °F for a minimum of 2 hours and not more than 3 hours."

<sup>h</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

Within the specified reporting time, submit written test results including:

1. Sampling location, quantity, and time
2. Testing results
3. Supporting data and calculations

If test results for any quality characteristic are beyond the action limits in the QCP, take corrective actions. Document the corrective actions taken in the inspection records under Section 39-4.03E, "Records of Inspection and Testing."

Stop production, notify the Engineer in writing, take corrective action, and demonstrate compliance with the specifications before resuming production and placement on the State highway if:

1. A lot's composite quality factor,  $QF_C$ , or an individual quality factor,  $QF_{QCi}$  for  $i = 3, 4, \text{ or } 5$ , is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation," using quality control data
2. An individual quality factor,  $QF_{QCi}$  for  $i = 1 \text{ or } 2$ , is below 0.75 using quality control data
3. Quality characteristics for which a quality factor,  $QF_{QCi}$ , is not determined has 2 consecutive quality control tests not in compliance with the specifications

#### **39-4.03D Charts And Records**

Record sampling and testing results for quality control on forms provided in the "Quality Control Manual for Hot Mix Asphalt," or on forms you submit with the QCP. The QCP must also include form posting locations and submittal times.

Submit quality control test results using the Department's statistical evaluation program, HMAPay, available at

[www.dot.ca.gov/hq/construc/hma/index.htm](http://www.dot.ca.gov/hq/construc/hma/index.htm)

#### **39-4.03E Records Of Inspection And Testing**

During HMA production, submit in writing a daily:

1. HMA Construction Daily Record of Inspection. Also make this record available at the HMA plant and job site each day.
2. HMA Inspection and Testing Summary. Include in the summary:
  - 2.1. QC worksheet with updated test results from the HMAPay program
  - 2.2. Test forms with the testers' signatures and Quality Control Manager's initials.
  - 2.3. Inspection forms with the inspectors' signatures and Quality Control Manager's initials.
  - 2.4. A list and explanation of deviations from the specifications or regular practices.
  - 2.5. A signed statement by the Quality Control Manager that says:

"It is hereby certified that the information contained in this record is accurate, and that information, tests, or calculations documented herein comply with the specifications of the contract and the

standards set forth in the testing procedures. Exceptions to this certification are documented as part of this record."

Retain for inspection the records generated as part of quality control including inspection, sampling, and testing for at least 3 years after final acceptance.

### 39-4.03F Statistical Evaluation

#### General

Determine a lot's composite quality factor,  $QF_C$ , and the individual quality factors,  $QF_{QC_i}$ . Perform statistical evaluation calculations to determine these quality factors based on quality control test results for:

1. Aggregate gradation
2. Asphalt binder content
3. Percent of maximum theoretical density

The Engineer grants a waiver and you must use 1.0 as the individual quality factor for percent of maximum theoretical density,  $QF_{QC_5}$ , for HMA paved in:

1. Areas where the total paved thickness is less than 0.15 foot
2. Areas where the total paved thickness is less than 0.20 foot and a 3/4-inch grading is specified and used
3. Dig outs
4. Leveling courses
5. Areas where, in the opinion of the Engineer, compaction or compaction measurement by conventional methods is impeded

#### Statistical Evaluation Calculations

Use the Variability-Unknown / Standard Deviation Method to determine the percentage of a lot not in compliance with the specifications.

Determine the percentage of work not in compliance with the specification limits for each quality characteristic as follows:

1. Calculate the arithmetic mean ( $\bar{X}$ ) of the test values

$$\bar{X} = \frac{\sum X}{n}$$

where:

x = individual test values  
n = number of test values

2. Calculate the standard deviation

$$s = \sqrt{\frac{n(\sum x^2) - (\sum x)^2}{n(n-1)}}$$

where:

$\sum(x^2)$  = sum of the squares of individual test values  
 $(\sum x)^2$  = sum of the individual test values squared  
n = number of test values

3. Calculate the upper quality index ( $Q_u$ )

$$Q_u = \frac{USL - \bar{X}}{s}$$

where:

USL = target value plus the production tolerance or upper specification limit

s = standard deviation  
 $\bar{X}$  = arithmetic mean

4. Calculate the lower quality index (QL);

$$Q_L = \frac{\bar{X} - LSL}{s}$$

where:

LSL = target value minus production tolerance or lower specification limit  
s = standard deviation  
 $\bar{X}$  = arithmetic mean

5. From the table, Upper Quality Index  $Q_U$  or Lower Quality Index  $Q_L$ , of this Section 39-4.03F, "Statistical Evaluation", determine  $P_U$ ;

where:

$P_U$  = the estimated percentage of work outside the USL.  
 $P_U = 0$ , when USL is not specified.

6. From the table, Upper Quality Index  $Q_U$  or Lower Quality Index  $Q_L$ , of this Section 39-4.03F, "Statistical Evaluation," determine  $P_L$ ;

where:

$P_L$  = the estimated percentage of work outside the LSL.  
 $P_L = 0$ , when LSL is not specified.

7. Calculate the total estimated percentage of work outside the USL and LSL, percent defective

$$\text{Percent defective} = P_U + P_L$$

$P_U$  and  $P_L$  are determined from:

P <sub>U</sub> or P <sub>L</sub>	Upper Quality Index Q <sub>U</sub> or Lower Quality Index Q <sub>L</sub>												
	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
0	1.72	1.88	1.99	2.07	2.13	2.20	2.28	2.34	2.39	2.44	2.48	2.51	2.56
1	1.64	1.75	1.82	1.88	1.91	1.96	2.01	2.04	2.07	2.09	2.12	2.14	2.16
2	1.58	1.66	1.72	1.75	1.78	1.81	1.84	1.87	1.89	1.91	1.93	1.94	1.95
3	1.52	1.59	1.63	1.66	1.68	1.71	1.73	1.75	1.76	1.78	1.79	1.80	1.81
4	1.47	1.52	1.56	1.58	1.60	1.62	1.64	1.65	1.66	1.67	1.68	1.69	1.70
5	1.42	1.47	1.49	1.51	1.52	1.54	1.55	1.56	1.57	1.58	1.59	1.59	1.60
6	1.38	1.41	1.43	1.45	1.46	1.47	1.48	1.49	1.50	1.50	1.51	1.51	1.52
7	1.33	1.36	1.38	1.39	1.40	1.41	1.41	1.42	1.43	1.43	1.44	1.44	1.44
8	1.29	1.31	1.33	1.33	1.34	1.35	1.35	1.36	1.36	1.37	1.37	1.37	1.38
9	1.25	1.27	1.28	1.28	1.29	1.29	1.30	1.30	1.30	1.31	1.31	1.31	1.31
10	1.21	1.23	1.23	1.24	1.24	1.24	1.25	1.25	1.25	1.25	1.25	1.26	1.26
11	1.18	1.18	1.19	1.19	1.19	1.19	1.20	1.20	1.20	1.20	1.20	1.20	1.20
12	1.14	1.14	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15
13	1.10	1.10	1.10	1.10	1.10	1.10	1.11	1.11	1.11	1.11	1.11	1.11	1.11
14	1.07	1.07	1.07	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06
15	1.03	1.03	1.03	1.03	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02
16	1.00	0.99	0.99	0.99	0.99	0.98	0.98	0.98	0.98	0.98	0.98	0.98	0.98
17	0.97	0.96	0.95	0.95	0.95	0.95	0.94	0.94	0.94	0.94	0.94	0.94	0.94
18	0.93	0.92	0.92	0.92	0.91	0.91	0.91	0.91	0.90	0.90	0.90	0.90	0.90
19	0.90	0.89	0.88	0.88	0.88	0.87	0.87	0.87	0.87	0.87	0.87	0.87	0.87
20	0.87	0.86	0.85	0.85	0.84	0.84	0.84	0.83	0.83	0.83	0.83	0.83	0.83
21	0.84	0.82	0.82	0.81	0.81	0.81	0.80	0.80	0.80	0.80	0.80	0.80	0.79
22	0.81	0.79	0.79	0.78	0.78	0.77	0.77	0.77	0.76	0.76	0.76	0.76	0.76
23	0.77	0.76	0.75	0.75	0.74	0.74	0.74	0.73	0.73	0.73	0.73	0.73	0.73
24	0.74	0.73	0.72	0.72	0.71	0.71	0.70	0.70	0.70	0.70	0.70	0.70	0.70
25	0.71	0.70	0.69	0.69	0.68	0.68	0.67	0.67	0.67	0.67	0.67	0.67	0.66
26	0.68	0.67	0.67	0.65	0.65	0.65	0.64	0.64	0.64	0.64	0.64	0.64	0.63
27	0.65	0.64	0.63	0.62	0.62	0.62	0.61	0.61	0.61	0.61	0.61	0.61	0.60
28	0.62	0.61	0.60	0.59	0.59	0.59	0.58	0.58	0.58	0.58	0.58	0.58	0.57
29	0.59	0.58	0.57	0.57	0.56	0.56	0.55	0.55	0.55	0.55	0.55	0.55	0.54
30	0.56	0.55	0.54	0.54	0.53	0.53	0.52	0.52	0.52	0.52	0.52	0.52	0.52
31	0.53	0.52	0.51	0.51	0.50	0.50	0.50	0.49	0.49	0.49	0.49	0.49	0.49
32	0.50	0.49	0.48	0.48	0.48	0.47	0.47	0.47	0.46	0.46	0.46	0.46	0.46
33	0.47	0.48	0.45	0.45	0.45	0.44	0.44	0.44	0.44	0.43	0.43	0.43	0.43
34	0.45	0.43	0.43	0.42	0.42	0.42	0.41	0.41	0.41	0.41	0.41	0.41	0.40
35	0.42	0.40	0.40	0.39	0.39	0.39	0.38	0.38	0.38	0.38	0.38	0.38	0.38
36	0.39	0.38	0.37	0.37	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36
37	0.36	0.35	0.34	0.34	0.34	0.33	0.33	0.33	0.33	0.33	0.33	0.33	0.32
38	0.33	0.32	0.32	0.31	0.31	0.31	0.30	0.30	0.30	0.30	0.30	0.30	0.30
39	0.30	0.30	0.29	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28
40	0.28	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
41	0.25	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23
42	0.23	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20
43	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18
44	0.16	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15
45	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13
46	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10
47	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
48	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05
49	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

1. If the value of Q<sub>U</sub> or Q<sub>L</sub> does not correspond to a value in the table, use the next lower value.
2. If Q<sub>U</sub> or Q<sub>L</sub> are negative values, P<sub>U</sub> or P<sub>L</sub> is equal to 100 minus the table value for P<sub>U</sub> or P<sub>L</sub>.

### Quality Factor Determination

Determine individual quality factors,  $QF_{QC_i}$ , using percent defective =  $P_U + P_L$  and:

Quality Factor	Quality Factors												
	Maximum Allowable Percent Defective ( $P_U + P_L$ )												
	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
1.05				0	0	0	0	0	0	0	0	0	0
1.04			0	1	3	5	4	4	4	3	3	3	3
1.03		0	2	4	6	8	7	7	6	5	5	4	4
1.02		1	3	6	9	11	10	9	8	7	7	6	6
1.01	0	2	5	8	11	13	12	11	10	9	8	8	7
1.00	22	20	18	17	16	15	14	13	12	11	10	9	8
0.99	24	22	20	19	18	17	16	15	14	13	11	10	9
0.98	26	24	22	21	20	19	18	16	15	14	13	12	10
0.97	28	26	24	23	22	21	19	18	17	16	14	13	12
0.96	30	28	26	25	24	22	21	19	18	17	16	14	13
0.95	32	29	28	26	25	24	22	21	20	18	17	16	14
0.94	33	31	29	28	27	25	24	22	21	20	18	17	15
0.93	35	33	31	29	28	27	25	24	22	21	20	18	16
0.92	37	34	32	31	30	28	27	25	24	22	21	19	18
0.91	38	36	34	32	31	30	28	26	25	24	22	21	19
0.90	39	37	35	34	33	31	29	28	26	25	23	22	20
0.89	41	38	37	35	34	32	31	29	28	26	25	23	21
0.88	42	40	38	36	35	34	32	30	29	27	26	24	22
0.87	43	41	39	38	37	35	33	32	30	29	27	25	23
0.86	45	42	41	39	38	36	34	33	31	30	28	26	24
0.85	46	44	42	40	39	38	36	34	33	31	29	28	25
0.84	47	45	43	42	40	39	37	35	34	32	30	29	27
0.83	49	46	44	43	42	40	38	36	35	33	31	30	28
0.82	50	47	46	44	43	41	39	38	36	34	33	31	29
0.81	51	49	47	45	44	42	41	39	37	36	34	32	30
0.80	52	50	48	46	45	44	42	40	38	37	35	33	31
0.79	54	51	49	48	46	45	43	41	39	38	36	34	32
0.78	55	52	50	49	48	46	44	42	41	39	37	35	33
0.77	56	54	52	50	49	47	45	43	42	40	38	36	34
0.76	57	55	53	51	50	48	46	44	43	41	39	37	35
0.75	58	56	54	52	51	49	47	46	44	42	40	38	36
Reject	60	57	55	53	52	51	48	47	45	43	41	40	37
	61	58	56	55	53	52	50	48	46	44	43	41	38
	62	59	57	56	54	53	51	49	47	45	44	42	39
	63	61	58	57	55	54	52	50	48	47	45	43	40
	64	62	60	58	57	55	53	51	49	48	46	44	41

Reject Values Greater Than Those Shown Above

Notes:

- To obtain a quality factor when the estimated percent outside specification limits from table, "Upper Quality Index  $Q_U$  or Lower Quality Index  $Q_L$ ," does not correspond to a value in the table, use the next larger value.

Compute the composite of single quality factors,  $QF_C$ , for a lot using:

$$QF_C = \sum_{i=1}^5 w_i QF_{QC_i}$$

where:

- $QF_C$  = the composite quality factor for the lot rounded to 2 decimal places.
- $QF_{QC_i}$  = the quality factor for the individual quality characteristic.
- w = the weighting factor listed in the table HMA Acceptance – QC / QA.

$i =$  the quality characteristic index number in the table HMA Acceptance – QC / QA.

### 39-4.04 ENGINEER'S QUALITY ASSURANCE

#### 39-4.04A General

The Engineer assures quality by:

1. Reviewing mix designs and proposed JMF
2. Inspecting procedures
3. Conducting oversight of quality control inspection and records
4. Verification sampling and testing during production and paving

#### 39-4.04B Verification Sampling And Testing

##### General

The Engineer samples:

1. Aggregate to verify gradation
2. HMA to verify asphalt binder content

##### Verification

For aggregate gradation and asphalt binder content, the minimum ratio of verification testing frequency to quality control testing frequency is 1:5. The Engineer performs at least 3 verification tests per lot.

Using the t-test, the Engineer compares quality control tests results for aggregate gradation and asphalt binder content with corresponding verification test results. The Engineer uses the average and standard deviation of up to 20 sequential sublots for the comparison. The Engineer uses production start-up evaluation tests to represent the first subplot. When there are less than 20 sequential sublots, the Engineer uses the maximum number of sequential sublots available. The 21st subplot becomes the 1st subplot ( $n = 1$ ) in the next lot.

The t-value for a group of test data is computed as follows:

$$t = \frac{|\bar{X}_c - \bar{X}_v|}{S_p \sqrt{\frac{1}{n_c} + \frac{1}{n_v}}} \quad \text{and} \quad S_p^2 = \frac{S_c^2(n_c - 1) + S_v^2(n_v - 1)}{n_c + n_v - 2}$$

where:

- $n_c =$  Number of quality control tests (2 minimum, 20 maximum).
- $n_v =$  Number of verification tests (minimum of 1 required).
- $\bar{X}_c =$  Mean of quality control tests.
- $\bar{X}_v =$  Mean of verification tests.
- $S_p =$  Pooled standard deviation (When  $n_v = 1$ ,  $S_p = S_c$ ).
- $S_c =$  Standard deviation of quality control tests.
- $S_v =$  Standard deviation of verification tests (when  $n_v > 1$ ).

The comparison of quality control test results and the verification test results is at a level of significance of  $\alpha = 0.025$ . The Engineer computes t and compares it to the critical t-value,  $t_{crit}$ , from:

**Critical T-Value**

Degrees of freedom ( $n_c+n_v-2$ )	$t_{crit}$ (for $\alpha = 0.025$ )	Degrees of freedom ( $n_c+n_v-2$ )	$t_{crit}$ (for $\alpha = 0.025$ )
1	24.452	18	2.445
2	6.205	19	2.433
3	4.177	20	2.423
4	3.495	21	2.414
5	3.163	22	2.405
6	2.969	23	2.398
7	2.841	24	2.391
8	2.752	25	2.385
9	2.685	26	2.379
10	2.634	27	2.373
11	2.593	28	2.368
12	2.560	29	2.364
13	2.533	30	2.360
14	2.510	40	2.329
15	2.490	60	2.299
16	2.473	120	2.270
17	2.458	$\infty$	2.241

If the t-value computed is less than or equal to  $t_{crit}$ , quality control test results are verified.

If the t-value computed is greater than  $t_{crit}$  and both  $\bar{X}_v$  and  $\bar{X}_c$  comply with acceptance specifications, the quality control tests are verified. You may continue to produce and place HMA with the following allowable differences:

1.  $|\bar{X}_v - \bar{X}_c| \leq 1.0$  percent for any grading
2.  $|\bar{X}_v - \bar{X}_c| \leq 0.1$  percent for asphalt binder content

If the t-value computed is greater than  $t_{crit}$  and the  $|\bar{X}_v - \bar{X}_c|$  for grading or asphalt binder content are greater than the allowable differences, quality control test results are not verified and:

1. The Engineer notifies you in writing.
2. You and the Engineer must investigate why the difference exist.
3. If the reason for the difference cannot be found and corrected, the Engineer's test results are used for acceptance and pay.

**39-4.05 ENGINEER'S ACCEPTANCE**

**39-4.05A Testing**

The Engineer samples for acceptance testing and tests for:

**HMA Acceptance – QC / QA**

Index (i)	Quality Characteristic				Weight -ing Factor (w)	Test Method	HMA Type		
							A	B	RHMA-G
	Aggregate gradation <sup>a</sup>					CT 202	JMF ± Tolerance <sup>c</sup>		
	Sieve	3/4"	1/2"	3/8"					
1	1/2"	X <sup>b</sup>	--	--	0.05				
1	3/8"	--	X	--	0.05				
1	No. 4	--	--	X	0.05				
2	No. 8	X	X	X	0.10				
3	No. 200	X	X	X	0.15				
4	Asphalt binder content (%)				0.30	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5
5	Field compaction (% max. theoretical density) <sup>d,e</sup>				0.40	CT 375	92 – 96	92 – 96	91 – 96
	Sand equivalent (min.) <sup>f</sup>					CT 217	47	42	47
	Stabilometer value (min.) <sup>f, g</sup>					CT 366			
	No. 4 and 3/8" gradings						30	30	--
	1/2" and 3/4" gradings						37	35	23
	Air voids content (%) <sup>f, h</sup>					CT 367	4 ± 2	4 ± 2	Specification ± 2
	Percent of crushed particles coarse aggregate (% min.)					CT 205			
	One fractured face						90	25	--
	Two fractured faces						75	--	90
	Fine aggregate (% min) (Passing No. 4 sieve and retained on No. 8 sieve.)								
	One fractured face						70	20	70
	HMA moisture content (% max.)					CT 226 or CT 370	1.0	1.0	1.0
	Los Angeles Rattler (% max.)					CT 211			
	Loss at 100 rev.						12	--	12
	Loss at 500 rev.						45	50	40
	Fine aggregate angularity (% min.) <sup>i</sup>					CT 234	45	45	45
	Flat and elongated particle (% max. by weight @ 5:1)					CT 235	Report only	Report only	Report only
	Voids in mineral aggregate (% min.) <sup>j</sup>								(Note k)
	No. 4 grading						17.0	17.0	--
	3/8" grading					LP-2	15.0	15.0	--
	1/2" grading						14.0	14.0	18.0 - 23.0
	3/4" grading						13.0	13.0	18.0 - 23.0
	Voids filled with asphalt (%) <sup>j</sup>								
	No. 4 grading					LP-3	76.0 - 80.0	76.0 - 80.0	Report only
	3/8" grading						73.0 - 76.0	73.0 - 76.0	
	1/2" grading						65.0 - 75.0	65.0 - 75.0	
	3/4" grading						65.0 - 75.0	65.0 - 75.0	
	Dust proportion <sup>j</sup>					LP-4			
	No. 4 and 3/8" gradings						0.9 - 2.0	0.9 - 2.0	Report only
	1/2" and 3/4" gradings						0.6 - 1.3	0.6 - 1.3	

	Smoothness		Section 39-1.12	12-foot straight-edge, must-grind, and PI <sub>0</sub>	12-foot straight-edge, must-grind, and PI <sub>0</sub>	12-foot straight-edge, must-grind, and PI <sub>0</sub>
	Asphalt binder		Various	Section 92	Section 92	Section 92
	Asphalt rubber binder		Various	--	--	Section 92-1.02(C) and Section 39-1.02D
	Asphalt modifier		Various	--	--	Section 39-1.02D
	Crumb rubber modifier		Various	--	--	Section 39-1.02D

Notes:

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.

<sup>b</sup> "X" denotes the sieves the Engineer considers for the specified aggregate gradation.

<sup>c</sup> The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."

<sup>d</sup> The Engineer determines field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, or No.4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>e</sup> To determined field compaction, the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core.
2. California Test 309 to determine maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>f</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>g</sup> Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 140 °F ± 5 °F by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 140 °F for a minimum of 2 hours and not more than 3 hours."

<sup>h</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only if the adjustment for asphalt binder content target value is less than or equal to ± 0.3 percent from OBC.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

The Engineer determines the percent of maximum theoretical density from the average density of 3 density cores you take from every 750 tons of production or part thereof divided by the maximum theoretical density.

The Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness if any of the following applies:

1. If 1/2-inch, 3/8-inch, or No. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and any layer is less than 0.15 foot.
2. If 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot and any layer is less than 0.20 foot.

The Engineer calculates QF<sub>QC*i*</sub> for i = 1, 2, 3, and 4 using quality control data and QF<sub>QC*i*</sub> for i = 5 using quality assurance data.

The Engineer stops production and terminates a lot if:

1. The lot's composite quality factor, QF<sub>C</sub>, or an individual quality factor, QF<sub>QC*i*</sub> for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, QF<sub>QC*i*</sub> for i = 1 or 2, is below 0.75

- Quality characteristics for which a quality factor,  $QF_{QC_i}$ , is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

For any single quality characteristic for which a quality factor,  $QF_{QC_i}$ , is not determined, except smoothness, if 2 consecutive acceptance test results do not comply with specifications:

- Stop production.
- Take corrective action.
- In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
- Demonstrate compliance with the specifications before resuming production and placement on the State highway.

### 39-4.05B Statistical Evaluation, Determination Of Quality Factors And Acceptance

#### Statistical Evaluation and Determination of Quality Factors

To determine the individual quality factor,  $QF_{QC_i}$ , for any quality factor  $i = 1$  through 5 or a lot's composite quality factor,  $QF_C$ , for acceptance and payment adjustment, the Engineer uses the evaluation specifications under Section 39-4.03F, "Statistical Evaluation," and:

- Verified quality control test results for aggregate gradation
- Verified quality control test results for asphalt binder content
- The Engineer's test results for percent of maximum theoretical density

#### Lot Acceptance Based on Quality Factors

The Engineer accepts a lot based on the quality factors determined for aggregate gradation and asphalt binder content,  $QF_{QC_i}$  for  $i = 1$  through 4, using the total number of verified quality control test result values and the total percent defective ( $P_U + P_L$ ).

The Engineer accepts a lot based on the quality factor determined for maximum theoretical density,  $QF_{QC_5}$ , using the total number of test result values from density cores and the total percent defective ( $P_U + P_L$ ).

The Engineer calculates the quality factor for the lot,  $QF_C$ , which is a composite of weighted individual quality factors,  $QF_{QC_i}$ , determined for each quality characteristic in the HMA Acceptance – QC / QA table in Section 39-4.05A, "Testing."

The Engineer accepts a lot based on quality factors if:

- The current composite quality factor,  $QF_C$ , is 0.90 or greater
- Each individual quality factor,  $QF_{QC_i}$  for  $i = 3, 4,$  and  $5$ , is 0.90 or greater
- Each individual quality factor,  $QF_{QC_i}$  for  $i = 1$  and  $2$ , is 0.75 or greater

No single quality characteristic test may represent more than the smaller of 750 tons or 1 day's production.

#### Payment Adjustment

If a lot is accepted, the Engineer adjusts payment with the following formula:

$$PA = \sum_{i=1}^n HMA CP * w_i * [QF_{QC_i} * (HMATT - WHMATT_i) + WHMATT_i] - (HMA CP * HMATT)$$

where:

$PA =$	Payment adjustment rounded to 2 decimal places.
$HMA CP =$	HMA contract price.
$HMATT =$	HMA total tons represented in the lot.
$WHMATT_i =$	Total tons of waived quality characteristic HMA.
$QF_{QC_i} =$	Running quality factor for the individual quality characteristic. $QF_{QC_i}$ for $i = 1$ through 4 must be from verified Contractor's QC results. $QF_{QC_5}$ must be determined from the Engineer's results on density cores taken for percent of maximum theoretical density determination.
$w =$	Weighting factor listed in the HMA acceptance table.

$i =$  Quality characteristic index number in the HMA acceptance table.

If the payment adjustment is a negative value, the Engineer deducts this amount from payment. If the payment adjustment is a positive value, the Engineer adds this amount to payment.

The 21st subplot becomes the 1st subplot ( $n = 1$ ) in the next lot. When the 21st sequential subplot becomes the 1st subplot, the previous 20 sequential sublots become a lot for which the Engineer determines a quality factor. The Engineer uses this quality factor to pay for the HMA in the lot. If the next lot consists of less than 8 sublots, these sublots must be added to the previous lot for quality factor determination using 21 to 27 sublots.

#### **39-4.05C Dispute Resolution**

For a lot, if you or the Engineer dispute any quality factor,  $QF_{QC_i}$ , or verification test result, every subplot in that lot must be retested.

Referee tests must be performed under the specifications for acceptance testing.

Any quality factor,  $QF_{QC_i}$ , must be determined using the referee tests.

For any quality factor,  $QF_{QC_i}$ , for  $i = 1$  through 5, dispute resolution:

1. If the difference between the quality factors for  $QF_{QC_i}$  using the referee test result and the disputed test result is less than or equal to 0.01, the original test result is correct.
2. If the difference between the quality factor for  $QF_{QC_i}$  using the referee test result and the disputed test result is more than 0.01, the quality factor determined from the referee tests supersedes the previously determined quality factor.

### **39-5 MEASUREMENT AND PAYMENT**

#### **39-5.01 MEASUREMENT**

The contract item for HMA is measured by weight. The weight of each HMA mixture designated in the Engineer's Estimate must be the combined mixture weight.

If tack coat, asphalt binder, and asphaltic emulsion are paid with separate contract items, their contract items are measured under Section 92, "Asphalts," or Section 94, "Asphaltic Emulsions," as the case may be.

If recorded batch weights are printed automatically, the contract item for HMA is measured by using the printed batch weights, provided:

1. Total aggregate and supplemental fine aggregate weight per batch is printed. If supplemental fine aggregate is weighed cumulatively with the aggregate, the total aggregate batch weight must include the supplemental fine aggregate weight.
2. Total asphalt binder weight per batch is printed.
3. Each truckload's zero tolerance weight is printed before weighing the first batch and after weighing the last batch.
4. Time, date, mix number, load number and truck identification is correlated with a load slip.
5. A copy of the recorded batch weights is certified by a licensed weighmaster and submitted to the Engineer.

The contract item for placing HMA dike is measured by the linear foot along the completed length. The contract item for placing HMA in miscellaneous areas is measured as the in-place compacted area in square yards. In addition to the quantities measured on a linear foot or square yard basis, the HMA for dike and miscellaneous areas are measured by weight.

The contract item for geosynthetic pavement interlayer is measured by the square yard for the actual pavement area covered.

#### **39-5.02 PAYMENT**

The contract prices paid per ton for hot mix asphalt as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing hot mix asphalt, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If HMA is specified to comply with Section 39-4, "Quality Control / Quality Assurance," the Engineer adjusts payment under that section.

Full compensation for the Quality Control Plan and prepaving conference is included in the contract prices paid per ton for hot mix asphalt as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for performing and submitting mix designs and for Contractor sampling, testing, inspection, testing facilities, and preparation and submittal of results is included in the contract prices paid per ton for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for reclaimed asphalt pavement is included in the contract prices paid per ton for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

The contract price paid per ton for hot mix asphalt (leveling) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in hot mix asphalt (leveling), complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State pays for HMA dike at the contract price per linear foot for place HMA dike and by the ton for HMA. The contract prices paid per linear foot for place hot mix asphalt dike as designated in the Engineer's Estimate include full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA dike, complete in place, including excavation, backfill, and preparation of the area to receive the dike, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State pays for HMA specified to be a miscellaneous area at the contract price per square yard for place hot mix asphalt (miscellaneous area) and per ton for hot mix asphalt. The contract price paid per square yard for place hot mix asphalt (miscellaneous area) includes full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA (miscellaneous area) complete in place, including excavation, backfill, and preparation of the area to receive HMA (miscellaneous area), as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If the Quality Control / Quality Assurance construction process is specified, HMA placed in dikes and miscellaneous areas is paid for at the contract price per ton for hot mix asphalt under Section 39-4, "Quality Control / Quality Assurance." Section 39-4.05B, "Statistical Evaluation, Determination of Quality Factors and Acceptance," does not apply to HMA placed in dikes and miscellaneous areas.

If there are no contract items for place hot mix asphalt dike and place hot mix asphalt (miscellaneous area) and the work is specified, full compensation for constructing HMA dikes and HMA (miscellaneous areas) including excavation, backfill, and preparation of the area to receive HMA dike or HMA (miscellaneous area) is included in the contract price paid per ton for the hot mix asphalt designated in the Engineer's Estimate and no separate payment will be made therefor.

The contract price paid per square yard for geosynthetic pavement interlayer of the type shown on the verified Bid Item List includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing geosynthetic pavement interlayer, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per ton for paving asphalt (binder, geosynthetic pavement interlayer) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying paving asphalt (binder, geosynthetic pavement interlayer), complete in place, including spreading sand to cover exposed binder material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

Full compensation for small quantities of HMA placed on geosynthetic pavement interlayer to prevent displacement during construction is included in the contract price paid per ton for the HMA being paved over the interlayer and no separate payment will be made therefor.

The contract price paid per ton for tack coat includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying tack coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The Engineer does not adjust payment for increases or decreases in the quantities for tack coat, regardless of the reason for the increase or decrease. Section 4-1.03B, "Increased or Decreased Quantities," does not apply to the items for tack coat.

Full compensation for performing smoothness testing, submitting written and electronic copies of tests, and performing corrective work including applying fog seal coat is included in the contract price paid per ton for the HMA designated in the Engineer's Estimate and no separate payment will be made therefor.

Full compensation for spreading sand on RHMA-G, RHMA-O, and RHMA-O-HB surfaces and for sweeping and removing excess sand is included in the contract price paid per ton for rubberized hot mix asphalt as designated in the Engineer's Estimate and no separate payment will be made therefor.

If the dispute resolution ITP determines the Engineer's test results are correct, the Engineer deducts the ITP's testing costs from payments. If the ITP determines your test results are correct, the State pays the ITP's testing costs. If, in the Engineer's opinion, work completion is delayed because of incorrect Engineer test results, the Department makes payment and time adjustments under Section 8-1.09, "Delays."



#### **40-1.02F Concrete Field Qualification**

Submit field qualification data and test reports including:

1. Mixing date
2. Mixing equipment and procedures used
3. Batch volume in cubic yards
4. Type and source of ingredients used
5. Penetration of the concrete
6. Air content of the plastic concrete
7. Age and strength at time of concrete beam testing

Field qualification test reports must be certified with a signature by an official in responsible charge of the laboratory performing the tests.

#### **40-1.02G Frequency Measuring Device (Tachometer)**

Submit calibration documentation and operational guidelines for frequency measuring devices for concrete consolidation vibrators.

#### **40-1.02H Manufacturer's Recommendations and Instructions**

If used and at least 15 days before delivery to the job site, submit manufacturer's recommendations and instructions for storage and installation of:

1. Threaded tie bar splice couplers
2. Chemical adhesive (drill and bond)
3. Silicone liquid sealant
4. Asphalt rubber liquid sealant
5. Preformed compression seals
6. Joint filler material

#### **40-1.02I Mix Proportions**

At least 15 days before starting testing for mix proportions, submit a copy of the AASHTO accreditation for your laboratory determining the mix proportions. At least 30 days before starting field qualification, submit the proposed concrete mix proportions, the corresponding mix identifications, and laboratory test reports including the modulus of rupture for each trial mixture at 10, 21, 28, and 42 days.

#### **40-1.02J Preformed Compression Seal**

Submit the manufacturer's data sheet used to develop the recommended preformed compression seal based on the joint dimensions.

#### **40-1.02K Concrete Pavement Early Age Crack Mitigation System**

At least 24 hours before each paving shift, submit:

1. Early age stress and strength predictions
2. Scheduled sawing and curing activities
3. Contingency plan if volunteer cracking occurs

At least 24 hours before paving, meet with the Engineer to review the submittals for the early age crack mitigation system.

During paving, update the system with current weather data obtained from a portable weather station. Before paving concrete pavement with these updates, submit new stress and strength predictions and curing and sawing activity schedules.

#### **40-1.02L Profilograms**

Submit profilograms within 5 business days of initial profiling and within 2 business days of profiling corrected sections.

Submit 1 electronic copy of profile information in ".erd" format or other ProVAL compatible format to the Engineer and to:

Smoothness@dot.ca.gov

Submit the original of final profilograms before the Engineer accepts the contract. Submitted profilograms become the Department's property.

#### **40-1.02M Protecting Concrete Pavement During Cold Weather**

Submit a plan for protecting concrete pavement during the initial 72 hours after paving when the forecasted minimum ambient temperature is below 40 degrees F.

#### **40-1.02N Quality Control Charts**

Submit updated quality control charts each paving day.

#### **40-1.02O Quality Control Plan**

At least 30 days before the start of field qualification, submit a concrete pavement quality control plan (QCP).

### **40-1.03 QUALITY CONTROL AND ASSURANCE**

#### **40-1.03A Contractor Quality Control Plan**

Establish, implement, and maintain a QCP for concrete pavement. The QCP must describe the organization and procedures you use to:

1. Control the production process
2. Determine if changes to the production process are needed
3. Implement changes

The QCP must address the elements affecting concrete pavement quality including:

1. Mix proportions
2. Aggregate gradation
3. Materials quality
4. Stockpile management
5. Line and grade control
6. Proportioning
7. Mixing and transportation
8. Placing and consolidation
9. Contraction and construction joints
10. Dowel bar placement, alignment, and anchorage
11. Tie bar placement
12. Modulus of rupture
13. Finishing and curing
14. Surface smoothness
15. Joint sealant and compression seal installation

The QCP must include details of corrective action to be taken if any process is out of control. As a minimum, a process is out of control if any of the following occurs:

1. For fine and coarse aggregate gradation, 2 consecutive running averages of 4 tests are outside the specification limits
2. For individual penetration or air content measurements:
  - 2.1. One point falls outside the suspension limit line
  - 2.2. Two points in a row fall outside the action limit line

Stop production and take corrective action for out of control processes or the Engineer rejects subsequent material.

**40-1.03B Quality Control Testing**

Select random locations and perform sampling and testing in compliance with:

**Quality Control Testing**

Test	Frequency	Test Method
Cleanness value	2 per day	CT 227
Sand equivalent	2 per day	CT 217
Aggregate gradation	2 per day	CT 202
Air content (freeze thaw) <sup>a</sup>	1 per hour	CT 504
Air content (non-freeze thaw)	1 per 4 hours	CT 504
Density	1 per 4 hours	CT 518
Penetration	1 per 4 hours	CT 533
Calibration of moisture meter <sup>b, c</sup>	1 per day	CT 223 or CT 226

Notes:

<sup>a</sup> If air entrainment is specified, make at least 1 air content measurement per hour. If air entrainment is not specified, make at least 1 air content measurement per 4 hours.

<sup>b</sup> Make at least 1 measurement of moisture content per week to check the calibration of an electronically actuated moisture meter.

<sup>c</sup> Random location sampling and testing is not applicable.

If air entrainment is specified, the testing laboratory and tester must be qualified under the Department's Independent Assurance Manual. The manual is available from the Transportation Laboratory.

**40-1.03C Control Charts**

Maintain control charts to identify potential problems and assignable causes. Post a copy of each control chart at a location determined by the Engineer.

Individual measurement control charts must use the target values in the mix proportions as indicators of central tendency.

Develop linear control charts for:

1. Cleanness value
2. Sand equivalent
3. Fine and coarse aggregate gradation
4. Air content
5. Penetration

Control charts must include:

1. Contract number
2. Mix proportions
3. Test number
4. Each test parameter
5. Action and suspension limits
6. Specification limits
7. Quality control test results

For fine and coarse aggregate gradation control charts, record the running average of the previous 4 consecutive gradation tests for each sieve and superimpose the specification limits.

For penetration and air content control charts, record the individual measurements and superimpose the following action and suspension limits:

**Penetration and Air Content Action and Suspension Limits**

Control Parameter	Individual Measurements	
	Action Limit	Suspension Limit
Penetration, CT 533	1 inch	1-1/2 inch
Air content, CT 504	±1.0 percent	±1.5 percent

#### **40-1.03D Contractor's Laboratory**

Use a laboratory that complies with ASTM C 1077 to determine the mix proportions for concrete pavement. The laboratory must have a current AASHTO accreditation for:

1. AASHTO T 97 or ASTM C 78
2. ASTM C 192/C 192M

#### **40-1.03E Joint Sealant and Compression Seal Installation Training**

Before installing joint sealant or compression seals, arrange for a representative from the joint sealant or compression seal manufacturer to provide training on the cleaning and preparation of the joint and installing the sealant or seal. Until your personnel and the Department's personnel have been trained, do not install joint sealant or compression seals.

#### **40-1.03F Frequency Measuring Device (Tachometer)**

Before each day's concrete pavement placement and at intervals not to exceed 4 hours of production, test and record vibration frequency for concrete consolidation vibrators.

#### **40-1.03G Early Age Concrete Pavement Crack Mitigation System**

Develop and implement a system for predicting concrete pavement stresses and strength during the initial 72 hours after paving. The system must include:

1. Subscribing to a weather service to obtain forecasts for wind speed, ambient temperatures, humidity, and cloud cover
2. Portable weather station with anemometer, temperature and humidity sensors, located at the paving site
3. Early age concrete pavement stress and strength prediction computer program
4. Analyzing, monitoring, updating, and reporting the system's predictions

#### **40-1.03H Curing Compound**

Sample curing compound from shipping containers at the manufacturer's source of supply. Split the samples.

#### **40-1.03I Concrete Pavement Smoothness**

Within 10 days after paving, measure the Profile Index ( $PI_0$ ) of the concrete pavement surface using a zero (null) blanking band under California Test 526.

For the following concrete pavement areas, the Engineer does not require a profilograph and you must test and correct high points determined by a 12-foot straightedge placed parallel with and perpendicular to the centerline:

1. Horizontal curves with a centerline radius of curvature less than 1,000 feet including concrete pavement within the superelevation transitions of those curves.
2. Exit ramp termini, truck weigh stations, and weigh-in-motion areas
3. Where steep grades and superelevation rates greater than 6 percent are present on:
  - 3.1. Ramps
  - 3.2. Connectors
4. Turn lanes and areas around manholes or drainage transitions
5. Acceleration and deceleration lanes for at-grade intersections
6. Shoulders and miscellaneous gore areas

Use a California Profilograph to determine the concrete pavement profile. If the profilograph uses a mechanical recorder, use an electronic scanner to reduce the profilogram.

The profilograph operator must be qualified under the Department's Independent Assurance Manual. The manual is available from the Department's Materials Engineering and Testing Services Web site.

#### **40-1.03J Profilograph Test Procedure**

Notify the Engineer at least 2 business days before performing profilograph testing. Each day before performing profilograph testing, notify the Engineer of the start location. Perform profilograph testing in the Engineer's presence.

Before starting profilograph testing, remove foreign objects from the concrete pavement surface.

Before starting profilograph testing, calibrate the profilograph in the Engineer's presence. If the Engineer chooses not to be present during profilograph testing, you may perform the testing with the Engineer's written approval. Note the Engineer's absence on the profilogram.

Determine  $PI_0$  values for the final concrete pavement surface of each 0.1-mile section of a traffic lane. Take 2 profiles within each traffic lane, 3 feet from and parallel with the edge of each lane. Each section's  $PI_0$  is the average of the  $PI_0$  values for the measurements within that traffic lane. A section that is less than 0.01 mile and is the result of an interruption to continuous concrete pavement surface must comply with the  $PI_0$  specifications for a full section. Adjust the  $PI_0$  for a partial section to reflect a full section.

Use stationing to locate vertical deviations greater than 0.3 inches. The profilogram stationing must be the same as the project stationing. Note 0.1-mile segments on the profilogram.

Label the profilogram with:

1. Contract number
2. County and route number
3. Stationing
4. Operator's name
5. Test date
6. Test number
7. Traffic direction
8. Traffic lane (numbered from left to right in direction of travel)
9. Test wheel path (left or right in direction of travel)
10. Test direction
11. Paving direction

#### 40-1.03K Smoothness Corrective Action

Correct concrete pavement not complying with the Engineer's acceptance specifications for smoothness by grinding under Section 42-2, "Grinding."

Do not grind before:

1. Ten days after concrete pavement placement
2. The concrete has developed a modulus of rupture of at least 550 psi

Grind the entire lane width. When completed, the lane width must be uniform in texture and appearance. Square the corrected area's start and end normal to the paved surface's centerline.

Retest sections where corrections were made.

#### 40-1.03L Acceptance Criteria

##### General

Concrete pavement is accepted based on the Department's testing for the concrete pavement quality characteristics shown in the following table:

<b>Concrete Pavement Acceptance Testing</b>		
Quality Characteristic	Quantity	Test
28-day modulus of rupture	1,000 cubic yards	CT 523
Thickness	1,200 square yards for primary area measurements	CT 531
Dowel bar placement	700 square yards	Measurement
Tie bar placement	4,000 square yards	Measurement
Coefficient of friction	One day's paving	CT 342
Air content (freeze-thaw) <sup>a</sup>	One day's paving	CT 504

Note:

<sup>a</sup> Air content tests must be performed under California Test 504 if air entrainment is specified.

Pavement smoothness may be accepted based on the Department's testing. A single test represents no more than 0.1 mile.

Acceptance of modulus of rupture, thickness, dowel bar and tie bar placement, coefficient of friction, smoothness, and air content, does not constitute final concrete pavement acceptance.

### **Modulus of Rupture**

The Engineer accepts concrete pavement for modulus of rupture on a lot basis. The minimum modulus of rupture for each lot is 570 psi at 28 days.

For each lot of concrete for concrete pavement:

1. Quantity must not exceed 1,000 cubic yards.
2. Department determines the modulus of rupture of test beams aged 10 days and 28 days.
3. Department calculates the modulus of rupture by averaging the individual test results of 2 beams aged for 28 days.

The Department provides molds and machines for modulus of rupture acceptance testing. Provide material and labor the Engineer may require.

### **Concrete Pavement Smoothness**

If the Department tests for smoothness, the tests are performed under Section 40-1.031, "Concrete Pavement Smoothness."

The Engineer accepts concrete pavement for smoothness in compliance with the following:

1. For tangents and horizontal curves having a centerline radius of curvature 2,000 feet or more, the  $PI_0$  must be at most 2-1/2 inches per 0.1-mile section.
2. For horizontal curves having a centerline radius of curvature from 1,000 to 2,000 feet including concrete pavement within the superelevation transitions of those curves, the  $PI_0$  must be at most 5 inches per 0.1-mile section.
3. If using a profilograph to measure smoothness, the surface must not have individual high points greater than 0.3 inch.
4. If using a straightedge to measure smoothness, the surface must be within 0.02 foot of the straightedge's lower edge.

Profile index specifications apply to existing pavement within 50 feet of the transverse joint separating new concrete pavement and the existing pavement.

If the Department's profilograph test results do not match yours, the Engineer may order you to recalibrate your profilograph equipment and perform a retest. If your test results are inaccurate due to operator error, the Engineer may disqualify your profilograph operator. If the Engineer determines your test results are inaccurate, the Engineer does not make adjustments to payment or contract time for recalibrating, retesting, and delays.

### **Concrete Pavement Thickness**

The Engineer accepts concrete pavement for thickness based on coring in the primary area, which is the area placed in 1 day for each thickness. Concrete pavement thickness must not be deficient by more than 0.05 foot.

After corrective grinding has been completed, core concrete pavement in the primary area under Section 40-3.16, "Obtaining Drilled Cores," at locations determined by the Engineer and in the Engineer's presence. The core specimen diameter must be 4 inches. To identify the limits of concrete pavement deficient in thickness by more than 0.05 foot, you may divide primary areas into secondary areas. Specifications that may affect concrete pavement thickness such as allowable tolerances for subgrade construction do not change the thickness specified for concrete pavement.

In each primary area, the Engineer measures concrete pavement thickness every 1,200 square yards and any remaining area. The Engineer measures cores under California Test 531 to the nearest 0.01 foot. Core at least 1 foot from existing, contiguous, and parallel concrete pavement not constructed as part of this contract.

You may request the Engineer make additional thickness measurements and use them to determine the average thickness variation. The Engineer determines the locations with random sampling methods.

If each thickness measurement in a primary area is less than 0.05 foot deficient, the Engineer calculates the average thickness deficiency in that primary area. The Engineer uses 0.02 foot for a thickness difference more than 0.02 foot over the specified thickness.

For each thickness measurement in a primary area deficient by more than 0.05 foot, the Engineer determines a secondary area where the thickness deficiency is more than 0.05 foot. The Engineer determines this secondary area

by measuring the thickness of each concrete pavement slab adjacent to the measurement found to be more than 0.05 foot deficient. The Engineer continues to measure the thickness until an area that is bound by slabs with thickness deficient by 0.05 foot or less is determined.

Slabs without bar reinforcement are defined as the areas bound by longitudinal and transverse joints and concrete pavement edges. Slabs with bar reinforcement are defined as the areas bound by longitudinal joints and concrete pavement edges and 15-foot lengths. Secondary area thickness measurements in a slab determine that entire slab's thickness.

The Engineer measures the remaining primary area thickness after removing the secondary areas from consideration for determining the average thickness deficiency.

The Engineer determines the slabs to remove and replace.

**Required Use of Air-Entraining Admixtures**

If air-entraining admixtures are specified, the Engineer may choose to accept concrete pavement for air content based on your air content quality control tests. The Engineer decides to use your air content quality control tests based on a *t*-test that determines the difference in the means of your test and the Engineer's verification tests. The Engineer calculates the *t*-value of the test data as follows:

$$t = \frac{|\bar{X}_c - \bar{X}_v|}{S_p \sqrt{\frac{1}{n_c} + \frac{1}{n_v}}} \quad \text{and} \quad S_p^2 = \frac{S_c^2(n_c - 1) + S_v^2(n_v - 1)}{n_c + n_v - 2}$$

where:

- $n_c$  = Number of your quality control tests (minimum of 6 required)
- $n_v$  = Number of verification tests (minimum of 2 required)
- $\bar{X}_c$  = Mean of your quality control tests
- $\bar{X}_v$  = Mean of the verification tests
- $S_p$  = Pooled standard deviation  
(When  $n_v = 1$ ,  $S_p = S_c$ )
- $S_c$  = Standard deviation of your quality control tests
- $S_v$  = Standard deviation of the verification tests (when  $n_v > 1$ )

The Engineer compares your quality control test results with the Department's verification test results at a level of significance of  $\alpha = 0.01$ . The Engineer compares the *t*-value to  $t_{crit}$ , determined from:

$t_{crit}$	
degrees of freedom ( $n_c + n_v - 2$ )	$t_{crit}$ (for $\alpha = 0.01$ )
1	63.657
2	9.925
3	5.841
4	4.604
5	4.032
6	3.707
7	3.499
8	3.355
9	3.250
10	3.169

If the *t*-value calculated is less than or equal to  $t_{crit}$ , your quality control test results are verified. If the *t*-value calculated is greater than  $t_{crit}$ , quality control test results are not verified.

If your quality control test results are not verified, core at least 3 specimens from concrete pavement under Section 40-3.16, "Obtaining Drilled Cores." The Engineer selects the core locations. Your approved third party independent testing laboratory must test these specimens for air content under ASTM C 457. The Engineer

compares these test results with your quality control test results using the *t*-test method. If your quality control test results are verified based on this comparison, the Engineer uses the quality control test results for acceptance of concrete pavement for air content. If your quality control test results are not verified based on this comparison, the Engineer uses the air content of core specimens determined under ASTM C 457 for acceptance.

#### **Dowel Bar and Tie Bar Placement**

Dowel bar alignment must comply with section 40-3.06. Tie bar alignment must comply with Section 40-3.05. Except for CRCP, core specimens for:

1. Dowel bar placement
2. Tie bar placement
3. Concrete consolidation

Obtain cores under Section 40-3.16, "Obtaining Drilled Cores." The Engineer determines the core locations. Each core must have a nominal diameter of 4 inches. Core each day's paving within 2 business days in compliance with:

1. One test for every 700 square yards of doweled concrete pavement or remaining fraction of that area. Each dowel bar test consists of 2 cores, 1 on each dowel bar end to expose both ends and allow measurement.
2. One test for every 4,000 square yards of concrete pavement with tie bars or remaining fraction of that area. Each tie bar test consists of 2 cores, 1 on each tie bar end to expose both ends and allow measurement.

If the tests indicate dowel or tie bars are not placed within the specified tolerances or if there are air voids around the dowel or tie bars, core additional specimens to determine the limits of unacceptable work.

The Engineer determines the slabs to remove and replace.

If the Engineer approves your request, slabs may remain in place with an adjustment in payment for:

1. Dowel bars with centers from  $\pm 2$  inches to  $\pm 3$  inches from the saw cut of a transverse contraction joint or with deficient concrete consolidation around the dowel bars
2. Tie bars placed outside their specified placement and position or with deficient concrete consolidation around the tie bars

#### **Bar Reinforcing Steel**

The Engineer accepts concrete pavement for bar reinforcing steel based on inspection before concrete placement.

#### **Curing Compound**

Curing compound sampled from shipping containers from the manufacturer's supply source or from the job site must match the test results for viscosity, nonvolatile content, and pigment content within the specified tolerances listed in the precision and bias statements for the test methods.

## **40-2 MATERIALS**

### **40-2.01 CONCRETE**

#### **40-2.01A General**

Concrete must comply with Section 90, "Portland Cement Concrete."

#### **40-2.01B Aggregate**

The specifications for reduction in Operating Range and Contract Compliance for cleanness value and sand equivalent specified under Section 90-2.02A, "Coarse Aggregate," and Section 90-2.02B, "Fine Aggregate," do not apply to concrete pavement.

Combined aggregate gradings must comply with Section 90-3, "Aggregate Gradings," and the difference between the percent passing the 3/8-inch sieve and the percent passing the No. 8 sieve must not be less than 16 percent of the total aggregate.

#### **40-2.01C Cementitious Material**

Concrete for concrete pavement must contain from 505 pounds to 675 pounds cementitious material per cubic yard. Determine the minimum cementitious materials content. Use your value for minimum cementitious material content for *MC* in equation 1 and equation 2 of section 90-1.02B(3).

#### **40-2.01D Mix Proportions**

Your laboratory determining mix proportions must determine the minimum cementitious materials content or the maximum water to cementitious materials ratio and:

1. You must make trial mixtures no more than 24 months before field qualification.
2. Modulus of rupture used to determine the minimum cementitious materials content or maximum water to cementitious materials ratio must be 570 psi at 28 days age and 650 psi at 42 days age.
3. Your laboratory must determine an increase in the cementitious materials content or a decrease in the water to cementitious materials ratio from the trial mixtures to ensure concrete pavement complies with the specifications.

If changing an aggregate supply source or the mix proportions, produce a trial batch and field-qualify the new concrete. The Engineer does not adjust contract time for performing sampling, testing, and qualifying new mix proportions or changing an aggregate supply source.

#### **40-2.01E Field Qualification**

Proposed mix proportions must be field qualified before you place concrete pavement. Use an American Concrete Institute (ACI) certified "Concrete Laboratory Technician, Grade I" to perform field qualification tests and calculations.

The Engineer accepts field qualification if five beams made and tested under California Test 523 comply with the following:

1. At a minimum, beams are tested at 10, 21, and 28 days of age
2. At your choice of age not later than 28 days, no single beam's modulus of rupture is less than 550 psi and the average modulus of rupture is at least 570 psi

#### **40-2.02 TIE BARS**

Tie bars must be deformed bars.

If the project is not shown to be in high desert or any mountain climate region, tie bars must be one of the following:

1. Epoxy-coated bar reinforcement. Bars must comply with Section 52-1.02B, "Epoxy-coated Reinforcement" except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.
3. Low carbon, chromium-steel bars complying with ASTM A 1035/A 1035M.

If the project is shown to be in high desert or any mountain climate region, tie bars must be one of the following:

1. Epoxy-coated bar reinforcement. Bars must comply with "Epoxy-coated Prefabricated Reinforcement" in the special provisions except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.

Fabricate, sample, and handle epoxy-coated deformed tie bars at the job site under ASTM D 3963/D 3963M and Section 52-1.02B, "Epoxy-coated Reinforcement."

Do not bend tie bars.

### **40-2.03 DOWEL BARS**

#### **40-2.03A General**

Dowel bars must be plain bars. Fabricate, sample, and handle epoxy-coated dowel bars under ASTM D 3963/D 3963M and section 52-1.02B, "Epoxy-coated Reinforcement," except each sample must be 18 inches long.

If the project is not shown to be in high desert or any mountain climate region, dowel bars must be one of the following:

1. Epoxy-coated bars. Bars must comply with ASTM A 615/A 615M, Grade 40 or 60. Epoxy coating must comply with either (1) Section 52-1.02B, "Epoxy-coated Reinforcement" or (2) "Epoxy-coated Prefabricated Reinforcement" in the special provisions.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.
3. Low carbon, chromium-steel bars under ASTM A 1035/A 1035M.

If the project is shown to be in high desert or any mountain climate region, dowel bars must be one of the following:

1. Epoxy-coated bars. Bars must comply with ASTM A 615/A 615M, Grade 40 or 60. Epoxy coating must comply with "Epoxy-coated Prefabricated Reinforcement" in the special provisions.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.

#### **40-2.03B Dowel Bar Lubricant**

Dowel bar lubricant must be either (1) petroleum paraffin based or (2) curing compound no. 3. Paraffin-based lubricant must be either Dayton Superior DSC BB-Coat, Valvoline Tectyl 506, or an approved equal. Petroleum paraffin based lubricant must be factory-applied.

### **40-2.04 CURING COMPOUND**

Curing compound must be curing compound (1) or (2) with white pigment under Section 90-7.01B, "Curing Compound Method."

Reflectance must be at least 60 percent when tested under ASTM E 1347.

### **40-2.05 CHEMICAL ADHESIVE (DRILL AND BOND)**

Chemical adhesive for drilling and bonding dowels and tie bars must be prequalified. A list of prequalified chemical adhesives is available on the Department's Materials Engineering and Testing Services website. The prequalified list indicates the appropriate chemical adhesive system for the concrete temperature and installation conditions.

Each chemical adhesive system must clearly and permanently show the following:

1. Manufacturer's name
2. Model number of the system
3. Manufacture date
4. Batch number
5. Expiration date
6. Current International Conference of Building Officials Evaluation Report number
7. Directions for use
8. Warnings or precautions required by state and federal laws and regulations

### **40-2.06 DOWEL AND TIE BAR BASKETS**

For dowel and tie bar baskets, wire must comply with ASTM A 82/A 82M and be welded under ASTM A 185/A 185M, Section 7.4. The minimum wire-size no. is W10. Use either U-frame or A-frame shaped assemblies.

If the project is not shown to be in high desert or any mountain climate region. Baskets may be epoxy-coated, and the epoxy coating must comply with either (1) Section 52-1.02B, "Epoxy-coated Reinforcement" or (2) "Epoxy-coated Prefabricated Reinforcement" in the special provisions.

If the project is shown to be in high desert or any mountain climate region, wire for dowel bar and tie bar baskets must be one of the following:

1. Epoxy-coated wire under "Epoxy-coated Prefabricated Reinforcement" in the special provisions
2. Stainless-steel wire. Wire must be descaled, pickled, and polished solid stainless-steel. Wire must comply with (1) the chemical requirements in ASTM A 276/A 276M, UNS Designation S31603 or S31803 and (2) the tension requirements in ASTM A 1022/ A 1022M.

Handle epoxy-coated tie bar and dowel bar baskets under ASTM D 3963/D 3963M and either (1) Section 52-1.02B, "Epoxy-coated Reinforcement" or (2) "Epoxy-coated Prefabricated Reinforcement" in the special provisions.

Fasteners must be driven fasteners under ASTM F 1667. Fasteners on lean concrete base or HMA must have a minimum shank diameter of 3/16 inch and a minimum shank length of 2-1/2 inches. For asphalt treated permeable base or cement treated permeable base, the shank diameter must be at least 3/16 inch and the shank length must be at least 5 inches.

Fasteners, clips, and washers must have a minimum 0.2-mil thick zinc coating applied either by electroplating or galvanizing.

#### **40-2.07 BACKER RODS**

Backer rods must be Type 1 under ASTM D 5249. Backer rod diameter must be at least 25 percent greater than the sawcut joint width. Backer rod material must be expanded, crosslinked, closed-cell polyethylene foam. No bond or adverse reaction may occur between the backer rod and sealant.

#### **40-2.08 JOINT FILLER MATERIAL**

Joint filler for isolation joints must be preformed expansion joint filler for concrete (bituminous type) under ASTM D 994.

#### **40-2.09 HYDRAULIC CEMENT GROUT (NON-SHRINK)**

Hydraulic cement grout (non-shrink) must comply with ASTM C 1107/ C 1107M. Use clean, uniform, rounded aggregate filler to extend the grout. Aggregate filler must not exceed 60 percent of the grout mass or the maximum recommended by the manufacturer, whichever is less. Aggregate filler moisture content must not exceed 0.5 percent. Aggregate filler must comply with:

**Aggregate Filler Grading**

Sieve Size	Percentage Passing
1/2-inch	100
3/8-inch	85 - 100
No. 4	10 - 30
No. 8	0 - 10
No. 16	0 - 5

#### **40-2.10 BAR REINFORCEMENT**

Bar reinforcement must be deformed bars.

If the project is not shown to be in high desert or any mountain climate region, bar reinforcement must comply with section 52.

If the project is shown to be in high desert or any mountain climate regions, bar reinforcement must be one of the following:

1. Epoxy-coated bar reinforcement under section 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60. Bars must be handled under ASTM D 3963/D 3963M and section 52-2.02C.
2. Low carbon, chromium steel bar complying with ASTM A 1035/A 1035M

#### **40-2.11 JOINT SEALANT**

##### **40-2.11A General**

Do not use hot-pour sealant that will melt the backer rod.

#### **40-2.11B Silicone Joint Sealant**

Silicone joint sealant must be prequalified. A list of prequalified silicone joint sealant available on the Department's Materials Engineering and Testing Services Web site at:  
[http://www.dot.ca.gov/hq/esc/approved\\_products\\_list/](http://www.dot.ca.gov/hq/esc/approved_products_list/)

#### **40-2.11C Asphalt Rubber Joint Sealant**

Asphalt rubber joint sealant must:

1. Be a mixture of paving asphalt and ground rubber containing not less than 22 percent ground rubber by weight. One hundred percent of ground rubber must pass a No. 8 sieve. Ground rubber must be vulcanized or a combination of vulcanized and devulcanized materials.
2. Comply with ASTM D 6690, Type II except:
  - 2.1. The cone penetration requirement must not exceed 120 at 77 F, 5 ounces, 5 seconds.
  - 2.2. The resilience requirement must be a minimum 50 percent recovery when tested at 77 F.
3. Have a Ring and Ball softening point of 135 °F minimum when tested under AASHTO T 53.
4. Be capable of being melted and applied to cracks and joints at temperatures below 400 °F.
5. Not be applied when the concrete pavement surface temperature is below 50 °F.

#### **40-2.11D Preformed Compression Joint Seals**

Preformed compression joint seals must comply with ASTM D 2628. Lubricant adhesive used with the seals must comply with ASTM D 2835. Preformed compression joint seals must have 5 or 6 cells, except seals for Type A2 and Type B joints may have 4 cells. Install preformed compression joint seals in compliance with the manufacturer's recommendations. Show evidence that the seals are compressed from 30 to 50 percent for the joint width at the time of installation.

#### **40-2.12 WATER**

Water for core drilling may be obtained from a potable water source, or submit proof that it does not contain:

1. More than 1,000 parts per million of chlorides as Cl
2. More than 1,300 parts per million of sulfates as SO<sub>4</sub>
3. Impurities that cause pavement discoloration or surface etching

### **40-3 CONSTRUCTION**

#### **40-3.01 WATER SUPPLY**

Before placing concrete pavement, develop enough water supply for the work.

#### **40-3.02 SUBGRADE PREPARATION**

Immediately before placing concrete, the subgrade to receive concrete pavement must be:

1. In compliance with the specified compaction and elevation tolerances
2. Free of loose and extraneous material
3. Uniformly moist, but free of standing or flowing water
4. Excavated for thickened parts of concrete pavement end anchors with no disturbed compaction outside the end anchor dimensions

If cement treated permeable base is specified, cover the base surface with asphaltic emulsion before placing concrete pavement. Apply the asphaltic emulsion uniformly at a rate of 0.1 gallons per square yard. Asphaltic emulsion must comply with anionic slow-setting type, SS1h grade in Section 94, "Asphaltic Emulsions." Repair damaged asphaltic emulsion before placing concrete pavement.

#### **40-3.03 PROPORTIONING**

Proportion aggregate and bulk cementitious materials under Section 90-5, "Proportioning."

## **40-3.04 PLACING CONCRETE**

### **40-3.04A General**

Place concrete pavement with stationary side forms or slip-form paving equipment.

Place consecutive concrete loads within 30 minutes of each other. Construct a transverse construction joint when concrete placement is interrupted by more than 30 minutes. The transverse construction joint must coincide with the next contraction joint location, or you must remove fresh concrete pavement to the preceding transverse joint location.

Place concrete pavement in full slab widths separated by construction joints or monolithically in multiples of full lane widths with a longitudinal contraction joint at each traffic lane line.

Do not retemper concrete.

If the concrete pavement surface width is constructed as specified, you may construct concrete pavement sides on a batter not flatter than 6:1 (vertical:horizontal).

### **40-3.04B Concrete Pavement Widening**

If concrete pavement is placed adjacent to existing pavement not constructed as part of the contract, grind the existing concrete pavement lane or shoulder adjacent to the new concrete pavement. Perform the grinding before new concrete pavement is placed. The new concrete pavement must match the elevation of the existing concrete pavement after grinding. Grind existing concrete pavement under Section 42-2, "Grinding," except profile index must comply with the pavement smoothness specifications in Section 40-1.03, "Quality Control and Assurance."

Use paving equipment with padded crawler tracks or rubber-tired wheels on the existing concrete pavement with enough offset to avoid breaking or cracking the existing concrete pavement's edge.

### **40-3.04C Concrete Pavement Transition Panel**

For concrete pavement placed in a transition panel, texture the surface with a drag strip of burlap, a broom, or a spring steel tine device that produces scoring in the finished surface. The scoring must be either parallel with or transverse to the centerline. For the method you choose, texture at the time that produces the coarsest texture.

### **40-3.04D Stationary Side Form Construction**

Stationary side forms must be straight and without defects including warps, bends, and indentations. Side forms must be metal except at end closures and transverse construction joints where other materials may be used.

You may build up side forms by attaching a section to the top or bottom. If attached to the top of metal forms, the attached section must be metal.

The side form's base width must be at least 80 percent of the specified concrete pavement thickness.

Side forms including interlocking connections with adjoining forms must be rigid enough to prevent springing from subgrading and paving equipment and concrete pressure.

Construct subgrade to final grade before placing side forms. Side forms must bear fully on the foundation throughout their length and base width. Place side forms to the specified grade and alignment of the finished concrete pavement's edge. Support side forms during concrete placing, compacting, and finishing.

After subgrade work is complete and immediately before placing concrete, true side forms and set to line and grade for a distance that avoids delays due to form adjustment.

Clean and oil side forms before each use.

Side forms must remain in place for at least 1 day after placing concrete and until the concrete pavement edge no longer requires protection from the forms.

Spread, screed, shape, and consolidate concrete with 1 or more machines. The machine must uniformly distribute and consolidate the concrete. The machines must operate to place the concrete pavement to the specified cross section with minimal hand work.

Consolidate the concrete without segregation. If vibrators are used:

1. The vibration rate must be at least 3,500 cycles per minute for surface vibrators and 5,000 cycles per minute for internal vibrators
2. Amplitude of vibration must cause perceptible concrete surface movement at least 1 foot from the vibrating element
3. Use a calibrated tachometer for measuring frequency of vibration
4. Vibrators must not rest on side forms or new concrete pavement
5. Power to vibrators must automatically cease when forward or backward motion of the paving machine is stopped

Use high-frequency internal vibrators within 15 minutes of depositing concrete on the subgrade to uniformly consolidate the concrete across the paving width including adjacent to forms. Do not use vibrators to shift the mass of concrete.

**40-3.04E Slip-Form Construction**

If slip-form construction is used, spread, screed, shape, and consolidate concrete to the specified cross section with slip-form machines and minimal hand work. Slip-form paving machines must be equipped with traveling side forms and must not segregate the concrete.

Do not deviate from the specified concrete pavement alignment by more than 0.1 foot.

Slip-form paving machines must use high frequency internal vibrators to consolidate concrete. You may mount vibrators with their axes parallel or normal to the concrete pavement alignment. If mounted with axes parallel to the concrete pavement alignment, space vibrators no more than 2.5 feet measured center to center. If mounted with axes normal to the concrete pavement alignment, space the vibrators with a maximum 0.5-foot lateral clearance between individual vibrators.

Each vibrator must have a vibration rate from 5,000 cycles per minute to 8,000 cycles per minute. The amplitude of vibration must cause perceptible concrete surface movement at least 1 foot from the vibrating element. Use a calibrated tachometer to measure frequency of vibration.

**40-3.05 TIE BAR PLACEMENT**

Place tie bars in compliance with the tolerances shown in the following table:

Tie Bar Tolerance	
Dimension	Tolerance
Horizontal and vertical skew	10 degrees maximum
Longitudinal translation	±2 inch maximum
Horizontal offset (embedment)	±2 inch maximum
Vertical depth	1. Not less than 1/2 inch below the saw cut depth of joints 2. When measured at any point along the bar, not less than 2 inches clear of the pavement's surface and bottom

Install tie bars at longitudinal joints by 1 of the following methods:

1. Drill concrete and bond tie bars with chemical adhesive in compliance with the manufacturer's instructions. Clean and dry drilled holes before placing chemical adhesive and tie bars. After inserting tie bars into chemical adhesive, support the bars to prevent movement during curing. If the Engineer rejects a tie bar installation, cut the tie bar flush with the joint face and coat the exposed end of the tie bar with chemical adhesive under Section 40-2, "Materials." Offset new holes 3 inches horizontally from the rejected hole's center.
2. Insert tie bars into plastic slip-formed concrete before finishing. Inserted tie bars must have full contact between the bar and the concrete. If tie bars are inserted through the plastic concrete surface, eliminate evidence of the insertion by reworking the concrete over the tie bars.
3. Use threaded tie bar splice couplers fabricated from deformed bar reinforcement free of external welding or machining.
4. Use tie bar baskets. Anchor baskets at least 200 feet in advance of concrete pavement placement activity. If you request a waiver, describe the construction limitations or restricted access preventing the advanced anchoring. After the baskets are anchored and before paving, demonstrate the tie bars do not move from their specified depth and alignment during paving. Use fasteners to anchor tie bar baskets.

If tie bars are not placed correctly, stop paving activities until you demonstrate to the Engineer correction of the cause.

**40-3.06 DOWEL BAR PLACEMENT**

Center dowel bars within 2 inches in the longitudinal direction on transverse contraction joints or construction joints.

If using curing compound as lubricant, apply the curing compound to dowels in 2 separate applications. Lubricate each dowel bar entirely with bond breaker before placement. The last application must be applied not more than 8 hours before placing the dowel bars. Apply each curing compound application at a rate of 1 gallon per 150 square feet.

If dowel bars are placed by mechanical insertion, eliminate evidence of the insertion by reworking the concrete over the dowel bars. If drilling and bonding dowel bars at construction joints, use a grout retention ring.

If using dowel bar baskets, anchor them with fasteners.

Use at least 10 fasteners for basket sections greater than 12 feet and less than or equal to 16 feet. Baskets must be anchored at least 200 feet in advance of the concrete placement activity unless the Engineer approves your waiver request. If requesting a waiver, describe the construction limitations or restricted access preventing the advanced anchoring. After the baskets are anchored and before the concrete is placed, cut and remove temporary spacer wires and demonstrate the dowel bars do not move from their specified depth and alignment during concrete placement.

Place dowel bars in compliance with:

**Dowel Bar Tolerances**

Dimension	Tolerance
Horizontal offset	±1 inch
Longitudinal translation	±2 inches
Horizontal skew	3/8 inch, max
Vertical skew	3/8 inch, max
Vertical depth	<p>The minimum distance below the concrete pavement surface must be:</p> $DB = d/3 + 1/2 \text{ inch}$ <p>where:            DB = vertical distance in inches, measured from concrete pavement surface to any point along the top of dowel bar            d = concrete pavement thickness in inches</p> <p>The maximum distance below the depth shown must be 5/8 inch..</p>

If dowel bars are not placed correctly, stop paving activities until you demonstrate to the Engineer correction of the cause.

Remove and replace the concrete pavement 3 feet on either side of a joint with a rejected dowel bar.

**40-3.07 BAR REINFORCEMENT**

Place bar reinforcement under Section 52, "Reinforcement." Bar reinforcement must be more than 1/2 inch below the saw cut depth at concrete pavement joints.

**40-3.08 JOINTS**

**40-3.08A General**

Concrete pavement joints consist of:

1. Longitudinal and transverse construction joints
2. Longitudinal and transverse contraction joints
3. Isolation joints

Construction joints must be normal to the concrete pavement surface.

Until contract acceptance and except for joint filler material, keep joints free of foreign material including soil, gravel, concrete, or asphalt mix.

Volunteer cracks are cracks not coincident with constructed joints.

Repair concrete pavement damaged during joint construction under Section 40-3.17B, "Repair of Spalls, Raveling, and Tearing."

Do not bend tie bars or reinforcement in existing concrete pavement joints.

#### **40-3.08B Construction Joints**

Construction joints form where fresh concrete is placed against hardened concrete, existing pavements, or structures.

Before placing concrete at construction joints, apply a curing compound under Section 90-7.01B, "Curing Compound Method," to the vertical surface of existing or hardened concrete and allow it to dry.

Use a metal or wooden bulkhead to form transverse construction joints. If dowel bars are specified, the bulkhead must allow dowel bar installation.

#### **40-3.08C Contraction Joints**

In multilane monolithic concrete pavement, use the sawing method to construct longitudinal contraction joints. Construct transverse contraction joints by the sawing method.

Construct transverse contraction joints within 1 foot of their specified spacing. If a slab length of less than 5 feet would be formed, adjust the transverse contraction joint spacing.

Construct transverse contraction joints across the full concrete pavement width regardless of the number or types of longitudinal joints crossed. In areas of converging and diverging pavements, space transverse contraction joints so their alignment is continuous across the full width where converging and diverging pavements are contiguous. Longitudinal contraction joints must be parallel with the concrete pavement centerline. Transverse and longitudinal contraction joints must not deviate by more than 0.1 foot from either side of a 12-foot straight line, except for longitudinal joints parallel to a curving centerline.

#### **40-3.08D Isolation Joints**

Construct isolation joints by saw cutting a minimum 1/8-inch width to full concrete pavement depth at the existing concrete pavement's edge and removing the concrete to expose a flat vertical surface. Before placing concrete, secure joint filler material that prevents new concrete from adhering to the existing concrete face.

Dispose of concrete saw cutting residue under Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way."

#### **40-3.08E Sawing Method**

The sawing method is cutting a groove in the concrete pavement with a power driven concrete saw. Grooves for longitudinal and transverse contraction joints must be the minimum width possible for the type of saw used. If necessary, the top of the joint must be sawn wider to provide space for joint sealant. Immediately wash slurry from the joint with water under 100 psi maximum pressure.

Saw longitudinal and transverse contraction joints before volunteer cracking occurs and after the concrete is hard enough to saw without spalling, raveling, or tearing.

To keep foreign material out of grooves before joint sealant or compression seal installation, you may use joint filler in sawed contraction joints. Joint filler must not react adversely with the concrete or cause concrete pavement damage. After sawing and washing a joint, install joint filler material that keeps moisture in the adjacent concrete during the 72 hours after paving. If you install joint filler material, the specifications for spraying the sawed joint with additional curing compound under Section 40-3.13, "Curing," do not apply. If using absorptive filler material, moisten the filler immediately before or after installation.

### **40-3.09 JOINT SEALANT AND COMPRESSION SEAL INSTALLATION**

#### **40-3.09A General**

At least 7 days after concrete pavement placement and not more than 4 hours before installing joint sealant or compression seal materials, use dry sand blasting and other methods to clean the joint walls of objectionable material such as soil, asphalt, curing compound, paint, and rust. The maximum sand blasting nozzle diameter must be 1/4 inch. The minimum pressure must be 90 psi. Sand blast each side of the joint at least once, in at least 2 separate passes. Hold the nozzle at an angle to the joint from 1 to 2 inches from the concrete pavement. Using a vacuum, collect sand, dust, and loose material at least 2 inches on each side of the joint. Remove surface moisture and dampness at the joints with compressed air that may be moderately hot.

Before you install joint sealant or compression seal, the joint wall must be free of moisture, residue, or film.

If grinding or grooving over or adjacent to sealed joints, remove joint sealant or compression seal materials and dispose of them under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way." After grinding or grooving, replace the joint sealant or compression seal materials.

### **40-3.09B Liquid Sealant**

Do not install liquid sealant in construction joints.

Install backer rods when the concrete pavement temperature is above the air dew point and when the air temperature is at least 40 °F.

Install liquid sealant immediately after installing the backer rod. Install sealant using a mechanical device with a nozzle shaped to introduce the sealant from inside the joint. Extrude sealant evenly and with continuous contact with the joint walls. Recess the sealant surface after placement. Remove excess sealant from the concrete pavement surface.

Do not allow traffic over sealed joints until the sealant is set.

### **40-3.09C Preformed Compression Seal**

Install preformed compression seal in construction or isolation joints when specified in the special provisions.

Install longitudinal seals before transverse seals. Longitudinal seals must be continuous except splicing is allowed at intersections with transverse seals. Transverse seals must be continuous for the entire transverse length of concrete pavement except splices are allowed for widenings and staged construction. With a sharp instrument, cut across the longitudinal seal at the intersection with transverse construction joints. If the longitudinal seal does not relax enough to properly install the transverse seal, trim the longitudinal seal to form a tight seal between the 2 joints.

If splicing is authorized, splicing must comply with the manufacturer's written instructions.

Use a machine specifically designed for preformed compression seal installation. The machine must install the seal:

1. To the specified depth
2. To make continuous contact with the joint walls
3. Without cutting, nicking, or twisting the seal
4. With less than 4 percent stretch

Lay a length of preformed compression seal material cut to the exact length of the pavement joint to be sealed. The Engineer measures this length. After you install the length of preformed compression joint sealant, the Engineer measures the excess amount of material at the joint end. The Engineer divides the excess amount length by the original measured length to determine the percentage of stretch.

### **40-3.10 SHOULDER RUMBLE STRIP**

If specified, construct shoulder rumble strips by rolling or grinding indentations in new concrete pavement.

Select the method and equipment for constructing ground-in indentations.

Do not construct shoulder rumble strips on structures or approach slabs.

Construct rumble strips within 2 inches of the specified alignment. Roller or grinding equipment must be equipped with a sighting device enabling the operator to maintain the rumble strip alignment.

Indentations must not vary from the specified dimensions by more than 1/16 inch in depth or more than 10 percent in length and width.

The Engineer orders grinding or removal and replacement of noncompliant rumble strips to bring them within specified tolerances. Ground surface areas must be neat and uniform in appearance.

The grinding equipment must be equipped with a vacuum attachment to remove residue.

Dispose of removed material under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way."

### **40-3.11 PRELIMINARY FINISHING**

#### **40-3.11A General**

Preliminary finishing must produce a smooth and true-to-grade finish. After preliminary finishing, mark each day's concrete pavement with a stamp. The stamp must be approved by the Engineer before paving starts. The stamp must be approximately 1' x 2' in size. The stamp must form a uniform mark from 1/8 to 1/4 inch deep. Locate the mark 20 feet ± 5 feet from the transverse construction joint formed at each day's start of paving and 1 foot ± 0.25 foot from the concrete pavement's outside edge. The stamp mark must show the month, day, and year of placement and the station of the transverse construction joint. Orient the stamp mark so it can be read from the concrete pavement's outside edge.

Do not apply more water to the concrete pavement surface than can evaporate before float finishing and texturing are completed.

#### **40-3.11B Stationary Side Form Finishing**

If stationary side form construction is used, give the concrete a preliminary finish by the machine float method or the hand method.

If using the machine float method:

1. Use self-propelled machine floats.
2. Determine the number of machine floats required to perform the work at a rate equal to the concrete delivery rate. When the time from concrete placement to machine float finishing exceeds 30 minutes, stop concrete delivery. When machine floats are in proper position, you may resume concrete delivery and paving.
3. Machine floats must run on side forms or adjacent concrete pavement lanes. If running on adjacent concrete pavement, protect the adjacent concrete pavement surface under Section 40-3.15, "Protecting Concrete Pavement."
4. Floats must be hardwood, steel, or steel-shod wood. Floats must be equipped with devices that adjust the underside to a true flat surface.

If using the hand method, finish concrete smooth and true to grade with manually operated floats or powered finishing machines.

#### **40-3.11C Slip-Form Finishing**

If slip-form construction is used, the slip-form paver must give the concrete pavement a preliminary finish. You may supplement the slip-form paver with machine floats.

Before the concrete hardens, correct concrete pavement edge slump in excess of 0.02 foot exclusive of edge rounding.

#### **40-3.12 FINAL FINISHING**

After completing preliminary finishing, round the edges of the initial paving widths to a 0.04-foot radius. Round transverse and longitudinal construction joints to a 0.02-foot radius.

Before curing, texture the pavement. Perform initial texturing with a burlap drag or broom device that produces striations parallel to the centerline. Perform final texturing with a steel-tined device that produces grooves parallel with the centerline.

Construct longitudinal grooves with a self-propelled machine designed specifically for grooving and texturing concrete pavement. The machine must have tracks to maintain constant speed, provide traction, and maintain accurate tracking along the pavement surface. The machine must have a single row of rectangular spring steel tines. The tines must be from 3/32 to 1/8 inch wide, on 3/4-inch centers, and must have enough length, thickness, and resilience to form grooves approximately 3/16 inch wide. The machine must have horizontal and vertical controls. The machine must apply constant down pressure on the pavement surface during texturing. The machines must not cause ravel.

Construct grooves over the entire pavement width in a single pass except do not construct grooves 3 inches from the concrete pavement edges and longitudinal joints. Final texture must be uniform and smooth. Use a guide to properly align the grooves. Grooves must be parallel and aligned to the pavement edge across the pavement width. Grooves must be from 1/8 to 3/16 inch deep after concrete has hardened.

For irregular areas and areas inaccessible to the grooving machine, you may hand-construct grooves in compliance with the hand method under Section 40-3.11B, "Stationary Side Form Finishing." Hand-constructed grooves must comply with the specifications for machine-constructed grooves.

Initial and final texturing must produce a coefficient of friction of at least 0.30 when tested under California Test 342. Notify the Engineer when the concrete pavement is scheduled to be opened to traffic to allow at least 25 days for the Department to schedule for test for coefficient of friction. Notify the Engineer when the pavement is ready for testing which is the latter of:

1. Seven days after concrete placement
2. When the concrete pavement has attained a modulus of rupture of 550 psi

The Department tests for coefficient of friction within 7 days of receiving notification that the pavement is ready for testing.

Do not open the concrete pavement to traffic unless the coefficient of friction is at least 0.30.

Correct concrete pavement not complying with the Engineer's acceptance criteria for coefficient of friction by grooving or grinding under Section 42, "Groove and Grind Pavement."

Do not grind before:

1. Ten days after concrete pavement placement
2. Concrete has developed a modulus of rupture of at least 550 psi

Before opening to traffic, allow at least 25 days for the Department to retest sections for coefficient of friction after corrections are made.

#### **40-3.13 CURING**

Cure the concrete pavement's exposed area with waterproof membrane or curing compound (1) or (2) under Section 90-7.01, "Methods of Curing." When side forms are removed within 72 hours of the start of curing, also cure the concrete pavement edges.

If curing compound is used, apply it with mechanical sprayers. Reapply curing compound to sawcuts and disturbed areas.

#### **40-3.14 EARLY USE OF CONCRETE PAVEMENT**

If requesting early use of concrete pavement:

1. Furnish molds and machines for modulus of rupture testing
2. Sample concrete
3. Fabricate beam specimens
4. Test for modulus of rupture under California Test 523

When you request early use, concrete pavement must have a modulus of rupture of at least 350 psi. Protect concrete pavement under Section 40-3.15, "Protecting Concrete Pavement."

#### **40-3.15 PROTECTING CONCRETE PAVEMENT**

Protect concrete pavement under Section 90-8, "Protecting Concrete."

Maintain the concrete pavement temperature at not less than 40 °F for the initial 72 hours.

Protect the concrete pavement surface from activities that cause damage and reduce texture and coefficient of friction. Do not allow soil, gravel, petroleum products, concrete, or asphalt mixes on the concrete pavement surface.

Construct crossings for traffic convenience. If the Engineer approves your request, you may use rapid strength concrete for crossings. Do not open crossings until the Department determines by California Test 523 the concrete pavement's modulus of rupture is at least 550 psi.

Do not open concrete pavement to traffic or use equipment on the concrete pavement for 10 days after paving nor before the concrete has attained a modulus of rupture of 550 psi except:

1. If the equipment is for sawing contraction joints
2. If the Engineer approves your request, one side of paving equipment's tracks may be on the concrete pavement after a modulus of rupture of 350 psi has been attained, provided:
  - 2.1. Unit pressure exerted on the concrete pavement by the paver does not exceed 20 psi
  - 2.2. You change the paving equipment tracks to prevent damage or the paving equipment tracks travel on protective material such as planks
  - 2.3. No part of the track is closer than 1 foot from the concrete pavement's edge

If concrete pavement damage including visible cracking occurs, stop operating paving equipment on the concrete pavement and repair the damage.

#### **40-3.16 OBTAINING DRILLED CORES**

Drill concrete pavement cores under ASTM C 42/ C 42M. Core drilling equipment must use diamond impregnated bits.

Clean, dry, and fill core holes with hydraulic cement grout (non-shrink) or pavement concrete. Coat the core hole walls with epoxy under the specifications for epoxy adhesive for bonding new concrete to old concrete in Section 95, "Epoxy." The backfill must match the adjacent concrete pavement surface elevation and texture.

Do not allow residue from core drilling to fall on traffic, flow across shoulders or lanes occupied by traffic, or flow into drainage facilities including gutters.

## **40-3.17 REPAIR, REMOVAL, AND REPLACEMENT**

### **40-3.17A General**

Working cracks are full-depth cracks essentially parallel to a planned contraction joint beneath which a contraction crack has not formed. If the Engineer orders, take 4-inch nominal diameter cores on designated cracks under Section 40-3.16, "Obtaining Drilled Cores."

### **40-3.17B Repair of Spalls, Raveling, and Tearing**

Before concrete pavement is open to traffic, repair spalls, raveling, and tearing in sawed joints. Make repairs in compliance with the following:

1. Saw a rectangular area with a diamond-impregnated blade at least 2 inches deep.
2. Remove unsound and damaged concrete between the saw cut and the joint and to the saw cut's depth. Do not use a pneumatic hammer heavier than 15 pounds. Do not damage concrete pavement to remain in place.
3. Dispose of removed concrete pavement under Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way."
4. Clean the repair area's exposed surfaces with high pressure abrasive water blasting. Further clean and dry the exposed surfaces with compressed air free of moisture and oil.
5. Apply epoxy as specified for epoxy resin adhesive for bonding new concrete to old concrete under Section 95, "Epoxy." Apply the epoxy with a stiff bristle brush.
6. Apply a portland cement concrete or mortar patch immediately following the epoxy application. Install an insert to prevent bonding of the sides of planned joints.

Repair spalls if they are:

1. Deeper than 0.05 foot
2. Wider than 0.04 foot
3. Longer than 0.3 foot

### **40-3.17C Route and Seal Working Cracks**

Treat working cracks within 0.5 foot of either side of a planned contraction joint in compliance with the following:

1. Route and seal the crack with epoxy resin in compliance with the following:
  - 1.1. Use a powered rotary router mounted on wheels, with a vertical shaft and a routing spindle that casters as it moves along the crack
  - 1.2. Form a reservoir 3/4 inch deep by 3/8 inch wide in the crack
  - 1.3. Use equipment that does not cause raveling or spalling
  - 1.4. Place liquid sealant
2. Treat the contraction joint adjacent to the working crack in compliance with the following:
  - 2.1. Use epoxy resin under ASTM C 881/C 881M, Type IV, Grade 2 for Type B joints and secondary saw cuts for Type A1 and Type A2 joints
  - 2.2. Pressure inject epoxy resin under ASTM C 881/C881M, Type IV, Grade 1 for narrow saw cuts including initial saw cuts for Type A1 and Type A2 joints

If a working crack intersects a contraction joint, route and seal the working crack and seal the contraction joint as specified for installing liquid sealant under Section 40-3.09, "Joint Seal and Joint Sealant Installation."

### **40-3.17D Removal and Replacement of Slabs**

As specified, remove and replace slabs or partial slabs for:

1. Insufficient thickness
2. Dowel bar misalignment
3. Working cracks more than 0.5 foot from a planned contraction joint

## 40-4 MEASUREMENT AND PAYMENT

### 40-4.01 MEASUREMENT

Concrete pavement is measured by the cubic yard. The Engineer calculates the pay quantity volume based on the dimensions shown on the plans and as ordered.

The contract items for sealing joints as designated in the Verified Bid Item List are measured by the linear foot. Sealing joints are measured from field measurements for each type of sealed joint.

The contract item for shoulder rumble strips is measured by the station along each shoulder on which the rumble strips are constructed without deductions for gaps between indentations.

### 40-4.02 PAYMENT

The contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the concrete pavement, complete in place including bar reinforcement, tie bars, dowel bars, anchors, fasteners, tack coat, and providing the facility for and attending the prepping conference, as shown on the plans and as specified in these specifications and the special provisions, and as directed by the Engineer.

The Engineer adjusts payment for each primary area deficient in average thickness in compliance with the following:

Average Thickness Deficiency (foot)	Deficiency Adjustment (\$/sq yd)
0.01	0.90
0.02	2.30
0.03	4.10
0.04	6.40
0.05	9.11

If the average thickness deficiency is less than 0.01 foot, the Engineer does not adjust payment for thickness deficiency. If the average thickness deficiency is more than 0.01 foot, the Engineer rounds to the nearest 0.01 foot and uses the adjustment table.

Full compensation for core drilling and backfilling the cores ordered by the Engineer for measuring concrete pavement thickness and determining full-depth cracks is included in the contract price paid per cubic yard for concrete pavement as designated in the Engineer's Estimate and no additional compensation will be allowed therefor. The Department does not pay for additional concrete pavement thickness measurements requested by the Contractor.

The Department does not pay for the portion of concrete that penetrates treated permeable base.

Full compensation for the quality control plan is included in the contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List and no separate payment will be made therefor.

Full compensation for furnishing and applying asphaltic emulsion on cement treated permeable base is included in the contract price paid per cubic yard for concrete pavement as designated in the Engineer's Estimate and no separate payment will be made therefor.

Full compensation for repairing joints is included in the contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List and no separate payment will be made therefor.

Full compensation for furnishing, calibrating, and operating profilograph equipment for Profile Index, for submitting profilograms, and for performing corrective work is included in the contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List and no separate payment will be made therefor.

Full compensation for grooving and grinding for final finishing is included in the contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List and no separate payment will be made therefor.

Full compensation for removing and replacing joint material for grooving and grinding is included in the contract price per cubic yard for concrete pavement as designated in the Verified Bid Item List and no separate payment will be made therefor.

Full compensation for removing and replacing slabs is included in the contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List and no separate payment will be made therefor.

Full compensation for drilling holes and bonding tie bars with chemical adhesive is included in the contract price paid per cubic yard for concrete pavement as designated in the Verified Bid Item List and no additional compensation will be allowed therefor.







**In Section 50-1.05 in the 3rd paragraph, replace item E with:**

- E. In addition to the requirements in Section 50-1.10, "Samples for Testing," four 4-foot-long samples of coated strand and one 5-foot-long sample of uncoated strand of each size and reel shall be furnished to the Engineer for testing. These samples, as selected by the Engineer, shall be representative of the material to be used in the work.

**In Section 50-1.05 between the 3rd and 4th paragraphs, add:**

The Contractor shall furnish to the Transportation Laboratory a representative 8-ounce sample from each batch of epoxy patching material to be used. Each sample shall be packaged in an airtight container identified with the manufacturer's name and batch number.

**In Section 50-1.07 replace the 2nd paragraph with:**

Ducts shall be fabricated with either welded or interlocked seams. Galvanizing of the welded seam will not be required. Ducts shall have sufficient strength to maintain their correct alignment during placing of concrete. Joints between sections of duct shall be positive metallic connections which do not result in angle changes at the joints. Waterproof tape shall be used at the connections. Ducts shall be bent without crimping or flattening. Transition couplings connecting the ducts to anchoring devices shall be either ferrous metal or polyolefin. Ferrous metal transition couplings need not be galvanized.

Ducts shall have an inside cross-sectional area of at least:

1. 2.5 times the net area of the prestressing steel for multistrand tendons that will be placed by the pull-through method.
2. 2.0 times the net area of the prestressing steel for multistrand tendons that will not be placed by the pull-through method.

Ducts shall have an outside diameter not exceeding 50 percent of the girder web width.

**In Section 50-1.07 replace the 7th paragraph with:**

All ducts having a vertical duct profile change of 6 inches or more shall be vented. Vents shall be placed within 6 feet of every high point in the duct profile. Vents shall be 1/2 inch minimum diameter standard pipe or suitable plastic pipe. Connections to ducts shall be made with metallic or plastic structural fasteners. Plastic components, if selected, shall not react with the concrete or enhance corrosion of the prestressing steel and shall be free of water soluble chlorides. The vents shall be mortar tight, taped as necessary, and shall provide means for injection of grout through the vents and for sealing the vents. Ends of vents shall be removed one inch below the roadway surface after grouting has been completed.

**In Section 50-1.08 replace the 2nd paragraph with:**

The maximum temporary tensile stress (jacking stress) in prestressing steel of post-tensioned members shall not exceed 75 percent of the specified minimum ultimate tensile strength of the prestressing steel.

**In Section 50-1.08 delete the 4th, 5th, and 6th paragraphs.**

**In Section 50-1.08 replace the 11th paragraph with:**

Prestressing forces shall not be applied to cast-in-place concrete until at least 10 days after the last concrete has been placed in the member to be prestressed and until the concrete compressive strength has reached the strength shown on the plans or specified in the specifications.

**In Section 50-1.08 replace the 15th paragraph with:**

When prestressing steel in pretensioned members is tensioned at a temperature appreciably lower than the estimated temperature of the concrete and the prestressing steel at the time of initial set of the concrete, the calculated elongation of the prestressing steel shall be increased to compensate for the loss in stress.

The maximum temporary tensile stress in the prestressing steel of pretensioned members shall not exceed 80 percent of the specified minimum ultimate tensile strength of the prestressing steel.

Pretensioned prestressing steel shall be anchored at stresses that will result in the ultimate retention of working forces at not less than those shown on the plans.



**In Section 51-1.12D replace the 4th paragraph with:**

Expanded polystyrene shall be a commercially available polystyrene board. Expanded polystyrene shall have a minimum flexural strength of 35 psi determined in conformance with the requirements in ASTM Designation: C 203 and a compressive yield strength of between 16 and 40 psi at 5 percent compression. Surfaces of expanded polystyrene against which concrete is placed shall be faced with hardboard. Hardboard shall be 1/8 inch minimum thickness, conforming to ANSI A135.4, any class. Other facing materials may be used provided they furnish equivalent protection. Boards shall be held in place by nails, waterproof adhesive, or other means approved by the Engineer.

**In Section 51-1.12F replace the 3rd paragraph with:**

Type A and AL joint seals shall consist of a groove in the concrete that is filled with field-mixed silicone sealant.

**In Section 51-1.12F in the 6th paragraph, replace the table with:**

Movement Rating (MR)	Seal Type
MR ≤ 1 inch	Type A or Type B
1 inch < MR ≤ 2 inches	Type B
2 inches < MR ≤ 4 inches	Joint Seal Assembly (Strip Seal)
MR > 4 inches	Joint Seal Assembly (Modular Unit) or Seismic Joint

**In Section 51-1.12F(3)(a) replace the 1st and 2nd paragraphs with:**

The sealant must consist of a 2-component silicone sealant that will withstand up to ±50 percent movement. Silicone sealants must be tested under California Test 435 and must comply with the following:

Specification	Requirement
Modulus at 150 percent elongation	8-75 psi
Recovery	21/32 inch max.
Notch Test	Notched or loss of bond 1/4 inch, max.
Water Resistance	Notched or loss of bond 1/4 inch, max.
Ultraviolet Exposure ASTM Designation: G 154, Table X2.1, Cycle 2.	No more than slight checking or cracking.
Cone Penetration	4.5-12.0 mm

**In Section 51-1.12F(3)(a) delete the 3rd and 8th paragraphs.**

**In Section 51-1.12F(3)(a) replace the 10th paragraph with:**

A Certificate of Compliance accompanied by a certified test report must be furnished for each batch of silicone sealant in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

**In Section 51-1.12F(3)(b) replace the 2nd paragraph with:**

The preformed elastomeric joint seal must conform to the requirements in ASTM D 2628 and the following:

1. The seal must consist of a multichannel, nonporous, homogeneous material furnished in a finished extruded form.
2. The minimum depth of the seal measured at the contact surface must be at least 95 percent of the minimum uncompressed width of the seal as designated by the manufacturer.
3. When tested in conformance with the requirements in California Test 673 for Type B seals, joint seals must provide a movement rating (MR) of not less than that shown on the plans.

4. The top and bottom edges of the joint seal must maintain continuous contact with the sides of the groove over the entire range of joint movement.
5. The seal must be furnished full length for each joint with no more than 1 shop splice in any 60-foot length of seal.
6. The Contractor must demonstrate the adequacy of the procedures to be used in the work before installing seals in the joints.
7. One field splice per joint may be made at locations and by methods approved by the Engineer. The seals are to be manufactured full length for the intended joint, then cut at the approved splice section and rematched before splicing. The Contractor must submit splicing details prepared by the joint seal manufacturer for approval before beginning splicing work.
8. Shop splices and field splices must have no visible offset of exterior surfaces and must show no evidence of bond failure.
9. At all open ends of the seal that would admit water or debris, each cell must be filled to a depth of 3 inches with commercial quality open cell polyurethane foam or closed by other means subject to approval by the Engineer.

**In Section 51-1.12F(3)(b) replace the 7th paragraph with:**

The joint seal must be installed full length for each joint with equipment that does not twist or distort the seal, elongate the seal longitudinally, or otherwise cause damage to the seal or to the concrete forming the groove.

**In Section 51-1.12F(3)(b) in the 11th paragraph, replace the 1st sentence with:**

Samples of the prefabricated joint seals, not less than 3 feet in length, will be taken by the Engineer from each lot of material.

**In Section 51-1.12H(1) in the 6th paragraph, replace the 4th and 5th sentences with:**

Each ply of fabric shall have a breaking strength of not less than 800 pounds per inch of width in each thread direction when 3" x 36" samples are tested on split drum grips. The bond between double plies shall have a minimum peel strength of 20 pounds per inch.

**In Section 51-1.12H(1) in the 8th paragraph in the table, replace the hardness (Type A) requirements with:**

Hardness (Type A)	D 2240 with 2kg mass.	55 ±5
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**In Section 51-1.12H(2) in the 1st paragraph in item A, replace the 1st and 2nd sentences with:**

The bearings shall consist of alternating steel laminates and internal elastomer laminates with top and bottom elastomer covers. Steel laminates shall have a nominal thickness of 0.075 inch (14 gage).

**In Section 51-1.13 replace the 2nd, 3rd, and 4th paragraphs with:**

Surfaces of fresh concrete at horizontal construction joints shall be thoroughly consolidated without completely removing surface irregularities. Additionally, surfaces of fresh concrete at horizontal construction joints between girder stems and decks shall be roughened to at least a 1/4-inch amplitude.

Construction joint surfaces shall be cleaned of surface laitance, curing compound, and other foreign materials using abrasive blast methods before fresh concrete is placed against the joint surface.

Construction joint surfaces shall be flushed with water and allowed to dry to a surface dry condition immediately before placing concrete.

**In Section 51-1.135 replace the 1st paragraph with:**

Mortar shall be composed of cementitious material, sand, and water proportioned and mixed as specified in this Section 51-1.135.

**In Section 51-1.135 replace the 3rd paragraph with:**

The proportion of cementitious material to sand, measured by volume, shall be 1 to 2 unless otherwise specified.

**In Section 51-1.17 in 4th paragraph, replace the 3rd sentence with:**

The surfaces shall have a profile trace showing no high points in excess of 0.25 inch, and the portions of the surfaces within the traveled way shall have a profile count of 5 or less in any 100 foot section.

**Add:**

**51-1.17A Deck Crack Treatment**

The Contractor shall use all means necessary to minimize the development of shrinkage cracks.

The Contractor shall remove all equipment and materials from the deck and clean the surface as necessary for the Engineer to measure the surface crack intensity. Surface crack intensity will be determined by the Engineer after completion of concrete cure, before prestressing, and before the release of falsework. In any 500 square foot portion of deck within the limits of the new concrete deck, should the intensity of cracking be such that there are more than 50 feet of cracks whose width at any location exceeds 0.02 inch, the deck shall be treated with a high molecular weight methacrylate (HMWM) resin system. The area of deck to be treated shall have a width that extends for the entire width of new deck inside the concrete barriers and a length that extends at least 5 feet beyond the furthest single continuous crack outside the 500 square foot portion, measured from where that crack exceeds 0.02 inch in width, as determined by the Engineer.

Deck crack treatment shall include furnishing, testing, and applying the HMWM resin system, with sand and absorbent material. If grinding is required, deck crack treatment shall take place before grinding.

**51-1.17A(1) Submittals**

Submit a HMWM resin system placement plan. When HMWM resin is to be applied within 100 feet of a residence, business, or public space including sidewalks under a structure, also submit a public safety plan. Submit plans under Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The review time is 15 days.

The HMWM resin system placement plan must include:

1. Schedule of work and testing for each bridge
2. Description of equipment for applying HMWM resin
3. Range of gel time and final cure time for HMWM resin
4. Absorbent material to be used
5. Description of equipment for applying and removing excess sand and absorbent material
6. Procedure for removing HMWM resin from the deck, including equipment
7. Storage and handling of HMWM resin components and absorbent material
8. Disposal of excess HMWM resin and containers

The public safety plan must include:

1. A public notification letter with a list of delivery and posting addresses. The letter must state HMWM resin work locations, dates, times, and what to expect. Deliver the letter to residences and businesses within 100 feet of HMWM resin work locations and to local fire and police officials at least 7 days before starting work. Post the letter at the job site.
2. An airborne emissions monitoring plan prepared and executed by a certified industrial hygienist (CIH) certified in comprehensive practice by the American Board of Industrial Hygiene. The plan must have at least 4 monitoring points including the mixing point, application point, and point of nearest public contact. Monitor airborne emissions during HMWM resin work and submit emissions monitoring results after completing the work.
3. An action plan for protection of the public when airborne emissions levels exceed permissible levels.
4. A copy of the CIH's certification.

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of HMWM resin, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

**51-1.17A(2) Quality Control and Assurance**

Submit samples of HMWM resin components 15 days before use under Section 6-3, "Testing," of the Standard Specifications. Notify the Engineer 15 days before delivery of HMWM resin components in containers over 55 gallons to the job site.

Complete a test area before starting work. Results from airborne emissions monitoring of the test area must be submitted to the Engineer before starting production work.

The test area must:

1. Be approximately 500 square feet
2. Be placed within the project limits outside the traveled way at an approved location
3. Be constructed using the same equipment as the production work
4. Replicate field conditions for the production work
5. Demonstrate proposed means and methods meet the acceptance criteria
6. Demonstrate production work will be completed within the time allowed
7. Demonstrate suitability of the airborne emissions monitoring plan

The test area will be acceptable if:

1. The treated deck surface is tack free and non-oily
2. The sand cover adheres and resists brushing by hand
3. Excess sand and absorbent material has been removed
4. The coefficient of friction is at least 0.35 when tested under California Test 342

**51-1.17A(3) Materials**

HMWM resin system consists of a resin, promoter, and initiator. HMWM resin must be low odor and comply with the following:

<b>HMWM Resin</b>		
Property	Requirement	Test Method
Volatile Content*	30 percent, maximum	ASTM D 2369
Viscosity*	25 cP, maximum, (Brookfield RVT with UL adaptor, 50 RPM at 77°F)	ASTM D 2196
Specific Gravity*	0.90 minimum, at 77°F	ASTM D 1475
Flash Point*	180°F, minimum	ASTM D 3278
Vapor Pressure*	1.0 mm Hg, maximum, at 77°F	ASTM D 323
Tack-free Time	400 minutes, maximum, at 25°C	Specimens prepared per California Test 551
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21 ± 1°C	California Test 551

\* Test must be performed before adding initiator.

Sand for abrasive sand finish must:

1. Be commercial quality dry blast sand
2. Have at least 95 percent pass the No. 8 sieve and at least 95 percent retained on the No. 20 sieve when tested under California Test 205

Absorbent material must be diatomaceous earth, abrasive blast dust, or substitute recommended by the HMWM resin supplier and approved by the Engineer.

**51-1.17A(4) Construction**

HMWM resin system applied by machine must be:



**SECTION 52 REINFORCEMENT**  
**(Issued 06-05-09)**

**In Section 52-1.02(B) between the 3rd and 4th paragraphs, add:**

The epoxy powder coating shall be selected from the Department's Pre-Qualified Products List.

**In Section 52-1.02(B) replace the 14th paragraph with:**

Except for lap splices, splices for epoxy-coated reinforcement shall be coated with a corrosion protection covering that is selected from the Department's Pre-Qualified Products List. The covering shall be installed in accordance with the manufacturer's recommendations.

**In Section 52-1.07 in the 11th paragraph, replace the table with:**

Height Zone (H) (Feet above ground)	Wind Pressure Value (psf)
$H \leq 30$	20
$30 < H \leq 50$	25
$50 < H \leq 100$	30
$H > 100$	35

**In Section 52-1.08B(1) replace the 1st paragraph with:**

Mechanical splices to be used in the work shall be selected from the Department's Pre-Qualified Products List.

**In Section 52-1.08B(1) in the 2nd paragraph, replace the table with:**

Reinforcing Bar Number	Total Slip
4	0.020-inch
5	0.020-inch
6	0.020-inch
7	0.028-inch
8	0.028-inch
9	0.028-inch
10	0.036-inch
11	0.036-inch
14	0.048-inch
18	0.060-inch

**In Section 52-1.08B(1), in the 6th paragraph, delete item C.**

**In Section 52-1.08B(2) in the 6th paragraph, replace the subparagraph with:**

The minimum preheat and interpass temperatures shall be 400° F for Grade 40 bars and 600° F for Grade 60 bars. Immediately after completing the welding, at least 6 inches of the bar on each side of the splice shall be covered by an insulated wrapping to control the rate of cooling. The insulated wrapping shall remain in place until the bar has cooled below 200° F.

**Replace Section 52-1.08B(3) with:**

**52-1.08B(3) Resistance Butt Welds**

Shop produced resistance butt welds shall be produced by a fabricator who is selected from the Department's Pre-Qualified Products List.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished for each shipment of splice material. The Certificate of Compliance shall include heat number, lot number and mill certificates.

**In Section 52-1.08C replace the 3rd paragraph with:**

Testing on prequalification and production sample splices shall be performed at an approved independent testing laboratory. The laboratory shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project.

The independent testing laboratory shall be selected from the Department's Pre-Qualified Products List.

**In Section 52-1.08C replace the 5th paragraph with:**

Prequalification and production sample splices and testing shall conform to California Test 670 and these specifications.

**In Section 52-1.08C delete the 6th paragraph.**

**In Section 52-1.08C replace the 8th paragraph with:**

Each sample splice, as defined herein, shall be identified as representing either a prequalification or production test sample splice.

**In Section 52-1.08C in the 10th paragraph, delete the last sentence.**

**Replace Section 52-1.08C(1) with:**

**52-1.08C(1) Splice Prequalification Report**

Before using any service splices or ultimate butt splices in the work, the Contractor shall submit a Splice Prequalification Report. The report shall include the following:

- A. A copy of the manufacturer's product literature giving complete data on the splice material and installation procedures.
- B. Names of the operators who will be performing the splicing.
- C. Descriptions of the positions, locations, equipment, and procedures that will be used in the work.
- D. Certifications from the fabricator for prequalification of operators and procedures based on sample tests performed no more than 2 years before submitting the report. Each operator shall be certified by performing 2 sample splices for each bar size of each splice type that the operator will be performing in the work. For deformation-dependent types of splice devices, each operator shall be certified by performing 2 additional samples for each bar size and deformation pattern that will be used in the work.

Prequalification sample splices shall be tested by an approved independent testing laboratory and shall conform to the appropriate production test criteria and slip requirements specified herein. When epoxy-coated reinforcement is required, resistance butt welded sample splices shall have the weld flash removed by the same procedure as will be used in the work, before coating and testing. The Splice Prequalification Report shall include the certified test results for all prequalification sample splices.

The QCM shall review and approve the Splice Prequalification Report before submitting it to the Engineer for approval. The Contractor shall allow 2 weeks for the review and approval of a complete report before performing any service splicing or ultimate butt splicing in the work.

**In Section 52-1.08C(2)(a) replace the 1st, 2nd, 3rd, 4th, and 5th paragraphs with:**

Production tests shall be performed by an approved independent testing laboratory for all service splices used in the work. A production test shall consist of testing 4 sample splices prepared for each lot of completed splices. The samples shall be prepared by the Contractor using the same splice material, position, operators, location, and equipment, and following the same procedure as used in the work.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date and location where the testing of the samples will be performed.

The 4 samples from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the approved independent testing laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 samples of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 samples shall be tested for, and shall conform to, the requirements for total slip in Section 52-1.08B(1), "Mechanical Splices." Should this sample not meet the total slip requirements, one retest, in which the 3 remaining samples are tested for total slip, will be allowed. Should any of the 3 remaining samples not conform to the total slip requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

**Replace Section 52-1.08C(2)(b) with:**

**52-1.08C(2)(b) Quality Assurance Test Requirements for Service Splices**

In addition to the required production tests, the Contractor shall concurrently prepare 4 service quality assurance sample splices for:

- A. The first production test performed.
- B. One of every 5 subsequent production tests, or fraction thereof, randomly selected by the Engineer.

These service quality assurance sample splices shall be prepared in the same manner as specified herein for service production sample splices.

The service quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. Each set of 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), "Mechanical Splices," for mechanical splices, or in Section 52-1.08B(3), "Resistance Butt Welds," for resistance butt welds, will not be tested.

Quality assurance testing will be performed in conformance with the requirements for service production sample splices in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices."

**Replace Section 52-1.08C(3) with:**

**52-1.08C(3) Ultimate Butt Splice Test Criteria**

Ultimate production and quality assurance sample splices shall be tensile tested in conformance with the requirements described in ASTM Designation: A 370 and California Test 670.

Each sample splice shall be identified as representing a prequalification, production, or quality assurance sample splice.

The portion of hoop reinforcing bar, removed to obtain a sample splice, shall be replaced using a prequalified ultimate mechanical butt splice, or the hoop shall be replaced in kind.

Reinforcing bars, other than hoops, from which sample splices are removed, shall be repaired using ultimate mechanical butt splices conforming to the provisions in Section 52-1.08C(1), "Splice Prequalification Report," or the bars shall be replaced in kind. These bars shall be repaired or replaced such that no splices are located in any "No Splice Zone" shown on the plans.

Ultimate production and quality assurance sample splices shall rupture either: 1) in the reinforcing bar but outside of the affected zone, provided that the sample splice has visible necking or 2) anywhere, provided that the sample splice has achieved the strain requirement for necking.

When tested in conformance with the requirements in California Test 670, "Necking (Option I)," the visible necking shall be such that there is a visible decrease in the sample's cross-sectional area at the point of rupture.

When tested in conformance with the requirements in California Test 670, "Necking (Option II)," the strain requirement for necking shall be such that the largest measured strain is not less than 6 percent for No. 11 and larger bars, or not less than 9 percent for No. 10 and smaller bars.

The affected zone is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered by fabrication or installation of the splice. The weld and one inch adjacent to the weld will be considered part of the affected zone.

**In Section 52-1.08C(3)(a) replace the 1st paragraph with:**

Production tests shall be performed for all ultimate butt splices used in the work. A production test shall consist of testing 4 sample splices removed from each lot of completed splices.

**In Section 52-1.08C(3)(a) replace the 3rd paragraph with:**

After notification has been received, the Engineer will randomly select the 4 sample splices to be removed from the lot and place tamper-proof markings or seals on them. These ultimate production sample splices shall be removed by the Contractor, and tested by an approved independent testing laboratory.

**In Section 52-1.08(C)(3)(a) replace the 5th, 6th, and 7th paragraphs with:**

A sample splice will be rejected if a tamper-proof marking or seal is disturbed before testing.

The 4 sample splices from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the approved independent testing laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 sample splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 sample splices shall be tested for, and shall conform to, the requirements for total slip in Section 52-1.08B(1), "Mechanical Splices." Should this sample splice not meet these requirements, one retest, in which the 3 remaining sample splices are tested for total slip, will be allowed. Should any of the 3 remaining sample splices not conform to these requirements, all splices in the lot represented by this production test will be rejected.

**Replace Section 52-1.08C(3)(b) with:**

**52-1.08C(3)(b) Quality Assurance Test Requirements for Ultimate Butt Splices**

In addition to the required production tests, the Contractor shall concurrently prepare 4 ultimate quality assurance sample splices for:

- A. The first production test performed.
- B. One of every 5 subsequent production tests, or fraction thereof, randomly selected by the Engineer.

These ultimate quality assurance sample splices shall be prepared in the same manner as specified herein for ultimate production sample splices.

The ultimate quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. Each set of 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), "Mechanical Splices," for mechanical splices, or in Section 52-1.08B(3), "Resistance Butt Welds," for resistance butt welds, will not be tested.

Quality assurance testing will be performed in conformance with the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

**Replace Section 52-1.08D with:**

A Production Test Report for all testing performed on each lot shall be prepared by the approved independent testing laboratory performing the testing and submitted to the QCM for review and approval. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include, as a minimum, the following information for each test: contract number, bridge number, lot number and location, bar size, type of splice, length of mechanical splice, length of test specimen, physical condition of test sample splice, any notable defects, total measured slip, and ultimate tensile strength of each splice. In addition, the report shall include location of visible necking area and largest measured strain for ultimate butt splices.

The QCM must review, approve, and forward each Production Test Report to the Engineer for review before the splices represented by the report are encased in concrete. The Engineer will have 3 working days to review each Production Test Report and respond in writing after a complete report has been received. Should the Contractor elect to encase any splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection.

Quality assurance test results for each bundle of 4 samples of splices will be reported in writing to the Contractor within 3 working days after receipt of the bundle by the Transportation Laboratory. In the event that more than one bundle is received on the same day, 2 additional working days shall be allowed for providing test results for each additional bundle received. A test report will be made for each bundle received. Should the Contractor elect to encase splices before receiving notification from the Engineer, it is expressly understood that the





**In Section 55-2.01 replace the Structural Steel Materials table with:**

Structural Steel Materials	
Material	Specification
Structural steel:	
Carbon steel	ASTM: A 709/A 709M, Grade 36 or {A 36/A 36M} <sup>a</sup>
High strength low alloy columbium vanadium steel	ASTM: A 709/A 709M, Grade 50 or {A 572/A 572M, Grade 50} <sup>a</sup>
High strength low alloy structural steel	ASTM: A 709/A 709M, Grade 50W, Grade HPS 50W, or {A 588/A 588M} <sup>a</sup>
High strength low alloy structural steel plate	ASTM: A 709/A 709M, Grade HPS 70W
High-yield strength, quenched and tempered alloy steel plate suitable for welding	ASTM: A 709/A 709M, Grade 100 and Grade 100W, or {A 514/A 514M} <sup>a</sup>
Steel fastener components for general applications:	
Bolts and studs	ASTM: A 307
Anchor bolts	ASTM: F 1554 or A 307, Grade C
High-strength bolts and studs	ASTM: A 449, Type 1
High-strength threaded rods	ASTM: A 449, Type 1
High-strength nonheaded anchor bolts	ASTM: F 1554, Grade 105, Class 2A
Nuts	ASTM: A 563, including Appendix X1 <sup>b</sup>
Washers	ASTM: F 844
Components of high-strength steel fastener assemblies for use in structural steel joints:	
Bolts	ASTM: A 325, Type 1
Tension control bolts	ASTM: F 1852, Type 1
Nuts	ASTM: A 563, including Appendix X1 <sup>b</sup>
Hardened washers	ASTM : F 436, Type 1, Circular, including S1 supplementary requirements
Direct tension indicators	ASTM: F 959, Type 325, zinc-coated
Carbon steel for forgings, pins and rollers	ASTM: A 668/A 668M, Class D
Alloy steel for forgings	ASTM: A 668/A 668M, Class G
Pin nuts	ASTM: A 36/A 36M
Carbon-steel castings	ASTM: A 27/A 27M, Grade 65-35, Class 1
Malleable iron castings	ASTM: A 47/A 47M, Grade 32510 (Grade 22010)
Gray iron castings	ASTM: A 48, Class 30B
Carbon steel structural tubing	ASTM: A 500, Grade B or A 501
Steel pipe (Hydrostatic testing will not apply)	ASTM: A 53, Type E or S, Grade B; A 106, Grade B; or A 139, Grade B
Stud connectors	AASHTO/AWS D1.5

a Grades that may be substituted for the equivalent ASTM Designation: A 709 steel, at the Contractor's option, subject to the modifications and additions specified and to the requirements of A 709.

b Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

**In Section 55-2.04 delete the 1st paragraph.**

**Delete Section 55-2.05.**

**In Section 55-3.05 replace the 1st paragraph with:**

Surfaces of bearing and base plates and other metal surfaces that are to come in contact with each other or with ground concrete surfaces shall be flat to within 1/32-inch tolerance in 12 inches and to within 1/16-inch tolerance overall. Surfaces of bearing and base plates and other metal bearing surfaces that are to come in contact with preformed fabric pads, elastomeric bearing pads, or mortar shall be flat to within 1/8-inch tolerance in 12 inches and to within 3/16-inch tolerance overall.



**In Section 56-1.02E replace the 1st paragraph with:**

Pipe posts shall be welded or seamless steel pipe conforming to the requirements in ASTM Designation: A 53/A 53M, Grade B; ASTM Designation: A 106/A 106M, Grade B; or API Specification 5L PSL2 Grade B or Grade X42R or Grade X42M. At the option of the Contractor, posts may be fabricated from structural steel conforming to the requirements in ASTM Designation: A 36/A 36M.

Pipe posts shall not be spiral seam welded.

**In Section 56-1.02F replace item B of the 1st paragraph with:**

- B. Material for gratings shall be structural steel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation CS, Type B or Designation SS, Grade 36, Type 1.

**In Section 56-1.03 replace the 5th paragraph with:**

Clips, eyes, or removable brackets shall be affixed to all signs and all posts and shall be used to secure the sign during shipping and for lifting and moving during erection as necessary to prevent damage to the finished galvanized or painted surfaces. Brackets on tubular sign structures shall be removed after erection. Details of the devices shall be shown on the working drawings.

**In Section 56-1.03 delete the 12th paragraph.**

**In Section 56-1.05 replace the 1st paragraph with:**

Excepting tubular type, all ferrous metal parts of sign structures shall be galvanized and not painted, unless otherwise specified in the special provisions.

**In Section 56-1.05 replace the 2nd paragraph with:**

Except as herein provided, all exterior surfaces including those areas to be covered by sign panels of tubular type of sign structures shall be cleaned and painted as provided in Section 59-5, "Painting Sign Structures," and as provided in the special provisions. There shall be no chemical treatment of galvanized surfaces prior to cleaning and painting. Walkway gratings, walkway brackets, gutters, safety railings, steel mountings for light fixtures, and all nuts, bolts, and washers for sign structures shall be galvanized after fabrication and shall not be painted.

**In Section 56-1.05 replace the 3rd paragraph with:**

Galvanizing shall conform to the provisions in Section 75-1.05, "Galvanizing," except that when permission is granted by the Engineer, surfaces may be coated with zinc by the metalizing process. Metalizing shall be performed in conformance with the AWS requirements. The thickness of the sprayed zinc coat shall be  $10 \pm 2$  mils. The thickness of the sprayed zinc coat on faying surfaces shall not be more than 10 mils.

**In Section 56-1.05, add:**

Zinc solders or zinc alloys that contain tin shall not be used to repair a damaged galvanized surface.

**In Section 56-1.07, add:**

Bridge-mounted signs shall not be fastened to concrete elements of bridges or railings before the concrete attains a compressive strength of 2,500 psi.

**In Section 56-1.10 replace the 4th paragraph with:**

The contract price paid per pound for install sign structure of the type or types designated in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in installing sign structures, complete in place, including installing anchor bolt assemblies, removable sign panel frames, and sign panels and performing any welding, painting or galvanizing required during installation, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

















**In Section 75-1.02 replace the 10th paragraph with:**

Unless otherwise specified, materials shall conform to the following specifications:

Material	Specification
Steel bars, plates and shapes	ASTM Designation: A 36/A 36M or A 575, A 576 (AISI or M Grades 1016 through 1030)
Steel fastener components for general applications:	
Bolts and studs	ASTM Designation: A 307
Headed anchor bolts	ASTM Designation: A 307, Grade B, including S1 supplementary requirements
Nonheaded anchor bolts	ASTM Designation: F 1554 or A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO Designation: M 314 supplementary requirements, or AASHTO Designation: M 314, Grade 36 or 55, including S1 supplementary requirements
High-strength bolts and studs, threaded rods, and nonheaded anchor bolts	ASTM Designation: A 449, Type 1
Nuts	ASTM Designation: A 563, including Appendix X1*
Washers	ASTM Designation: F 844
Components of high-strength steel fastener assemblies for use in structural steel joints:	
Bolts	ASTM Designation: A 325, Type 1
Tension control bolts	ASTM Designation: F 1852, Type 1
Nuts	ASTM Designation: A 563, including Appendix X1*
Hardened washers	ASTM Designation: F 436, Type 1, Circular, including S1 supplementary requirements
Direct tension indicators	ASTM Designation: F 959, Type 325, zinc-coated
Stainless steel fasteners (Alloys 304 & 316) for general applications:	
Bolts, screws, studs, threaded rods, and nonheaded anchor bolts	ASTM Designation: F 593 or F 738M
Nuts	ASTM Designation: F 594 or F 836M
Washers	ASTM Designation: A 240/A 240M and ANSI B 18.22M
Carbon-steel castings	ASTM Designation: A 27/A 27M, Grade 65-35, Class 1
Malleable iron castings	ASTM Designation: A 47, Grade 32510 or A 47M, Grade 22010
Gray iron castings Inside a roadbed Outside a roadbed	AASHTO M 306 AASHTO M306 except only AASHTO M105, Class 35B is allowed
Ductile iron castings	ASTM Designation: A 536, Grade 65-45-12
Cast iron pipe	Commercial quality
Steel pipe	Commercial quality, welded or extruded
Other parts for general applications	Commercial quality

\*Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dyed dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

**In Section 75-1.03 replace the 13th paragraph with:**

Concrete anchorage devices shall be mechanical expansion or resin capsule types installed in drilled holes or cast-in-place insert types. The anchorage devices shall be selected from the Department's Pre-Qualified Products List. The qualification requirements for concrete anchorage devices may be obtained from the Pre-Qualified Products List Web site.

The anchorage devices shall be a complete system, including threaded studs, hex nuts, and cut washers. Thread dimensions for externally threaded concrete anchorage devices prior to zinc coating shall conform to the requirements in ASME Standard: B1.1 having Class 2A tolerances or ASME Standard: B1.13M having Grade 6g tolerances. Thread dimensions for internally threaded concrete anchorage devices shall conform to the requirements in ASTM A 563.

**In Section 75-1.03 replace the 18th paragraph with:**

Mechanical expansion anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.035 inch:

Stud Diameter (inches)	Sustained Tension Test Load (pounds)
*3/4	5,000
5/8	4,100
1/2	3,200
3/8	2,100
1/4	1,000

\* Maximum stud diameter permitted for mechanical expansion anchors.

Resin capsule anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.010 inch:

Stud Diameter (inches)	Sustained Tension Test Load (pounds)
1-1/4	31,000
1	17,900
7/8	14,400
3/4	5,000
5/8	4,100
1/2	3,200
3/8	2,100
1/4	1,000

At least 25 days before use, the Contractor shall submit one sample of each resin capsule anchor per lot to the Transportation Laboratory for testing. A lot of resin capsule anchors is 100 units, or fraction thereof, of the same brand and product name.





Property	Test Method	Requirements
Compressive Strength		
at 3 hours, MPa	California Test 551	21 min.
at 24 hours, MPa	California Test 551	35 min.
Flexure Strength		
at 24 hours, MPa	California Test 551	3.5 min.
Bond Strength: at 24 hours		
SSD Concrete, MPa	California Test 551	2.1 min.
Dry Concrete, MPa	California Test 551	2.8 min.
Water Absorption, %	California Test 551	10 max.
Abrasion Resistance		
at 24 hours, grams	California Test 550	25 max.
Drying Shrinkage at 4 days, %	ASTM Designation: C 596	0.13 max.
Soluble Chlorides by weight, %	California Test 422	0.05 max.
Water Soluble Sulfates by weight, %	California Test 417	0.25 max.

2. Magnesium phosphate concrete shall be formulated for minimum initial set time of 15 minutes and minimum final set time of 25 minutes at 70° F. The materials, prior to use, shall be stored in a cool, dry environment.
3. Mix water used with water activated material shall conform to the provisions in Section 90-2.03, "Water."
4. The quantity of water for single component type or liquid activator (for dual component type) to be blended with the dry component, shall be within the limits recommended by the manufacturer and shall be the least amount required to produce a pourable batter.
5. Addition of retarders, when required and approved by the Engineer, shall be in conformance with the manufacturer's recommendations.
6. Before using concrete material that has not been previously approved, a minimum of 45 pounds shall be submitted to the Engineer for testing. The Contractor shall allow 45 days for the testing. Each shipment of concrete material that has been previously approved shall be accompanied by a Certificate of Compliance as provided in Section 6-1.07, "Certificates of Compliance."
7. Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum or copper metals. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.
8. The surface of any dowel coated with zinc or cadmium shall be coated with a colored lacquer before installation of the dowel. The lacquer shall be allowed to dry thoroughly before embedment of the dowels.
9. The holes shall be drilled by methods that will not shatter or damage the concrete adjacent to the hole. The diameter of the drilled hole shall be 1/2 inch larger than the nominal diameter of the dowels.
10. The drilled holes shall be clean and dry at the time of placing the bonding material and the steel dowels. Bonding material and dowel shall completely fill the drilled hole. The surface temperature shall be 40° F or above when the bonding material is placed.
11. After bonding, dowels shall remain undisturbed for a minimum of 3 hours or until the bonding material has reached a strength sufficient to support the dowels. Dowels that are improperly bonded, as determined by the Engineer, shall be removed. The holes shall be cleaned or new holes shall be drilled and the dowels replaced and securely bonded to the concrete. Removing, redrilling and replacing improperly bonded dowels shall be performed at the Contractor's expense. Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.

**In Section 83-2.02D(1) replace the 8th paragraph with:**

Granular material for backfill between the 2 walls of concrete barrier (Types 50E, 60F, 60GE and 60SF), as shown on the plans, shall be placed without compaction.

**In Section 83-2.02D(2) in the 1st paragraph, replace item b with:**

- b. If the 3/8-inch maximum size aggregate grading is used to construct extruded or slip-formed concrete barriers, the cementitious material content of the minor concrete shall be not less than 675 pounds per cubic yard.



**Replace Section 86 with:**  
**SECTION 86 ELECTRICAL SYSTEMS**  
**86-1 GENERAL**

**86-1.01 DESCRIPTION**

Section 86 includes specifications for installing, modifying, and removing:

1. Traffic signal
2. Interconnect system
3. Ramp metering system
4. Flashing beacon system
5. Lighting system
6. Sign illumination system
7. Traffic monitoring station
8. Communication system
9. Electrical equipment in structure
10. Falsework lighting

Comply with Part 4 of the California MUTCD. Nothing in this Section 86 is to be construed as to reduce the minimum standards in this manual.

The locations of electrical system elements are approximate; the Engineer will approve final location.

**86-1.015 DEFINITIONS**

Definitions pertain only to Section 86, "Electrical Systems."

**actuation:** As defined in the California MUTCD.

**channel:** Discrete information path.

**controller assembly:** Controller unit and auxiliary equipment housed in a rainproof cabinet to control a system's operations.

**controller unit:** Part of the controller assembly performing the basic timing and logic functions.

**detector:** As defined in the California MUTCD.

**electrolier:** Complete assembly of lighting standard and luminaire.

**flasher:** Device to open and close signal circuits at a repetitive rate.

**flashing beacon control assembly:** Switches, circuit breakers, terminal blocks, flasher, wiring, and necessary electrical components all housed in a single enclosure to properly operate a beacon.

**inductive loop detector:** Detector capable of being actuated by inductance change caused by vehicle passing or standing over the loop.

**lighting standard:** Pole and mast arm supporting the luminaire.

**luminaire:** Assembly that houses the light source and controls the light emitted from the light source.

**magnetic detector:** Detector capable of being actuated by induced voltage caused by vehicle passing through the earth's magnetic field.

**powder coating:** A coating applied electrostatically using UV-stable polymer exterior grade powder.

**pre-timed controller assembly:** Operates traffic signals under a predetermined cycle length.

**signal face:** As defined in the California MUTCD.

**signal head:** As defined in the California MUTCD.

**signal indication:** As defined in the California MUTCD.

**signal section:** As defined in the California MUTCD.

**signal standard:** Pole and mast arm supporting one or more signal faces with or without a luminaire mast arm.

**traffic-actuated controller assembly:** Operates traffic signals under the varying demands of traffic as registered by detector actuation.

**traffic phase:** Signal phase as defined in the California MUTCD.

**vehicle:** As defined in the California Vehicle Code.

**86-1.02 REGULATIONS AND CODE** Electrical equipment must comply with one or more of the following:

1. ANSI
2. ASTM
3. 8 CA Code of Regs § 2299 et seq.
4. EIA
5. NEMA

6. NETA
7. UL

Materials and workmanship must comply with:

1. FCC
2. ITE
3. NEC
4. NRTL
5. Public Utilities Commission, General Order No. 95, "Rules for Overhead Electrical Line Construction"
6. Public Utilities Commission, General Order No. 128, "Rules for Construction of Underground Electric Supply and Communication Systems"

### **86-1.03 COST BREAK-DOWN**

Determine quantities required to complete work. Submit the quantities as part of the cost breakdown.

The sum of the amounts for the units of work listed in the cost breakdown must equal the contract lump sum price bid for the work. Include overhead and profit for each unit of work listed in the cost breakdown. If mobilization is a bid item, include bond premium, temporary construction facilities, and material plants into the mobilization bid item, otherwise, include in each unit of work listed in the cost breakdown. Do not include costs for traffic control system in the cost breakdown.

The cost breakdown may be used to determine partial payment and to calculate payment adjustments for additional costs incurred due to a change order. If a change order increases or decreases the quantities, payment adjustment may be determined under Section 4-1.03B, "Increased or Decreased Quantities."

The cost breakdown must include type, size, and installation method for:

1. Foundations
2. Standards and poles
3. Conduit
4. Pull boxes
5. Conductors and cables
6. Service equipment enclosures
7. Telephone demarcation cabinet
8. Signal heads and hardware
9. Pedestrian signal heads and hardware
10. Pedestrian push buttons
11. Loop detectors
12. Luminaires and lighting fixtures

### **86-1.04 EQUIPMENT LIST AND DRAWINGS**

Within 15 days of contract approval, submit for review a list of equipment and materials that you propose to install. Comply with Section 5-1.02, "Plans and Working Drawings." The list must include:

1. Name of manufacturer
2. Dimension
3. Item identification number
4. List of components

The list must be supplemented by other data as required, including:

1. Schematic wiring diagrams
2. Scale drawings of cabinets showing location and spacing of shelves, terminal blocks, and equipment, including dimensioning
3. Operation manual

Submit 2 copies of the above data. The Engineer will review within 15 days.

Electrical equipment that is manufactured as detailed on the plans will not require detailed drawings and diagrams.

Furnish 3 sets of computer-generated cabinet schematic wiring diagrams.

The cabinet schematic wiring diagram must be placed in a heavy duty plastic envelope and attached to the inside of the door of each cabinet.

Prepare diagrams, plans, and drawings using graphic symbols in IEEE 315, "Graphic Symbols for Electrical and Electronic Diagrams."

#### **86-1.05 CERTIFICATE OF COMPLIANCE**

Submit a Certificate of Compliance for all electrical material and equipment to the Engineer under Section 6-1.07, "Certificates of Compliance."

#### **86-1.06 MAINTAINING EXISTING AND TEMPORARY ELECTRICAL SYSTEMS**

Keep existing electrical system or approved temporary replacement in working order during the progress of the work. Shutdown is allowed for alteration or removal of the system. Traffic signal shutdown must be limited to normal working hours. Lighting system shutdown must not interfere with the regular lighting schedule.

Notify the Engineer before performing work on the existing system.

Notify the local traffic enforcement agency before traffic signal shutdown.

If existing or temporary system must be modified, work not shown on the plans or specified in the special provisions, but required to keep the system in working order will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

The State or local agency will:

1. Continue the operation and maintenance of existing electrical facilities
2. Continue to provide electrical energy to operate existing electrical facilities
3. Repair or replace existing facilities damaged by public traffic
4. Pay for electrical energy to operate existing or new facilities undergoing the functional tests described in Section 86-2.14C, "Functional Testing"

Verify location and depth of existing detectors, conduits, pull boxes, and other electrical facilities before using tools or equipment that may damage those facilities or interfere with an electrical system.

Notify the Engineer immediately if existing facility is damaged by your activities. Repair or replace damaged facility promptly. If you fail to complete the repair or replacement, promptly, the State will repair or replace and deduct the costs.

Damaged detectors must be replaced within 24 hours at your expense. If you fail to complete the repair within 24 hours, the State will repair and deduct the repair costs.

If roadway remains open to traffic while an existing lighting system is modified:

1. Keep existing system in working order
2. Make final connection so the modified circuit is in operation by nightfall

Keep temporary electrical installations in working order until no longer required. Remove temporary installations as specified in Section 86-7, "Removing, Reinstalling or Salvaging Electrical Equipment."

These provisions do not void your responsibilities as specified in Section 7-1.12, "Indemnification and Insurance," and Section 7-1.16, "Contractor's Responsibility for the Work and Materials."

During traffic signal system shutdown, place W3-1a, "STOP AHEAD," and R1-1, "STOP," signs in each direction to direct traffic through the intersection. For 2-lane approaches, place 2 R1-1 signs.

W3-1a and R1-1 signs must comply with Section 12-3.06, "Construction Area Signs." Use a minimum size of 30 inches for the R1-1 sign.

Cover signal faces when the system is shut down overnight. Cover temporary W3-1a and R1-1 signs when the system is turned on.

#### **86-1.07 SCHEDULING OF WORK**

Except service installation and service equipment enclosure, do not work above ground until all materials are on hand to complete electrical work at each location. Schedule work to allow each system to be completed and ready for operation before opening the corresponding section of the roadway to traffic.

If street lighting exists or is installed in conjunction with traffic signals, do not turn on the signals until the street lighting is energized.

Traffic signals will not be placed in operation until the roadways to be controlled are open to public traffic.

Lighting and traffic signals, including flashing operation, will not be placed in operation before starting the functional test period specified in Section 86-2.14, "Testing."

Do not pull conductors into conduit until:

1. Pull boxes are set to grade
2. Metallic conduit is bonded

In vehicular undercrossings, soffit lights must be in operation as soon as practicable after falsework has been removed from the structure. Lighting for pedestrian structures must be in operation before opening the structure to pedestrian traffic.

If the Engineer orders soffit lights or lighting for pedestrian structures to be activated before permanent power service is available, the cost of installing and removing temporary power service will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

The initial traffic signal turn-on must be made between 9:00 a.m. and 2:00 p.m. Before the initial turn-on, all equipment, including pedestrian signals, pedestrian push buttons, vehicle detectors, lighting, signs, and pavement delineation must be installed and in working order. Direct louvers, visors, and signal faces to maximize visibility.

Start functional tests on any working day except Friday or the day before a legal holiday. You must notify the Engineer 48 hours before the start of functional test.

## **86-1.08 (BLANK)**

### **86-2 MATERIALS AND INSTALLATION**

#### **86-2.01 EXCAVATING AND BACKFILLING**

Dispose of surplus excavated material under Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way."

Backfill as specified in Section 19-3, "Structure Excavation and Backfill." Compact backfill in conduit trenches outside the hinge point of slopes and not under pavement to a minimum relative compaction of 90 percent. Compact backfill within hinge points and in areas where pavement is to be constructed to a minimum relative compaction of 95 percent.

Backfill trenches and restore sidewalk, pavement, and landscaping at one intersection before starting excavation at another intersection.

If excavating on a street or highway, restrict closure to 1 lane at a time.

#### **86-2.02 REMOVING AND REPLACING IMPROVEMENTS**

Replace or reconstruct sidewalk, curb, gutter, concrete pavement, asphalt concrete pavement, underlying material, lawn, plant, and other facilities damaged by your activities. Replacement material must be of equal or better quality than the material replaced. Work must be in a serviceable condition.

If a part of a square or slab of concrete sidewalk, curb, gutter, or driveway is broken or damaged, the entire square or slab must be removed and reconstructed.

Cut outline of PCC sidewalk or driveway to be removed:

1. Using a power-driven saw
2. On a neat line
3. To a 0.17-foot minimum depth

#### **86-2.03 FOUNDATIONS**

Except for concrete for cast-in-drilled-hole concrete pile foundation, PCC must comply with Section 90-10, "Minor Concrete."

Construct concrete foundation on firm ground.

After each post, standard, and pedestal is properly positioned, place mortar under the base plate. Finish exposed portion to present a neat appearance. Mortar must comply with Section 51-1.135, "Mortar," except mortar must have:

1. 1 part by volume of cementitious material
2. 3 parts by volume of clean sand

Reinforced cast-in-drilled-hole concrete pile foundation must comply with Section 49, "Piling," except:

1. Material resulting from drilling holes must be disposed of as specified in Section 86-2.01, "Excavating and Backfilling"

2. Concrete for cast-in-drilled-hole concrete pile will not be considered as designated by compressive strength

Form exposed portion of the foundation to present a neat appearance and true to line and grade. The top of a foundation for post and standard must be finished to curb or sidewalk grade. Forms must be rigid and securely braced in place. Conduit ends and anchor bolts must be placed at proper height and position. Anchor bolts must be installed a maximum of 1:40 from vertical and held in place by rigid top and bottom templates. Use a steel bottom template at least 1/2 inch thick that provides proper spacing and alignment of anchor bolts near the embedded bottom end. Install bottom template before placing footing concrete.

Provide new foundation and anchor bolts of the proper type and size for relocated standards.

Steel parts must be galvanized as specified in Section 75-1.05, "Galvanizing."

Provide 2 nuts and washers for the upper threaded part of each anchor bolt. Provide 3 nuts and washers for each anchor bar or stud.

Do not weld high-strength steel used for anchor bolt, anchor bar, or stud.

Before placing concrete, moisten forms and ground. Keep forms in place until the concrete sets for at least 24 hours and is strong enough to prevent damage to surface.

Except if located on a structure, construct foundation for post, standard, and pedestal monolithically.

Apply ordinary surface finish as specified in Section 51-1.18A, "Ordinary Surface Finish."

If a foundation must be extended for additional depth, the extension work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

Do not erect post, pole, standard, pedestal, or cabinet until the foundation is set for a minimum of 7 days.

The Engineer will choose the plumbing or raking technique for posts, standards, and pedestals. Plumb or rake by adjusting the leveling nuts before tightening nuts. Do not use shims or similar devices. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made, and each post, standard, and pedestal on structure is properly positioned, tighten nuts as follows:

1. Tighten leveling nuts and top nuts, following a crisscross pattern, until bearing surfaces of all nuts, washers, and base plates are in firm contact.
2. Use an indelible marker to mark the top nuts and base plate with lines showing relative alignment of the nut to the base plate.
3. Tighten top nuts, following a crisscross pattern, an additional 1/6th of a turn.

In unpaved areas, construct a raised PCC pad in front of each controller cabinet.

Completely remove foundations not to be reused or abandoned.

If abandoning a foundation, remove the top of foundation, anchor bolts, and conduits to a minimum depth of 0.5 foot below sidewalk surface or original ground. Backfill the resulting hole with material equivalent to the surrounding material.

#### **86-2.04 STANDARDS, STEEL PEDESTALS AND POSTS**

Bolts, including anchor bolts, nuts, and washers for signal and lighting support structures must comply with Section 55-2, "Materials." Except for bearing-type connection or slip-base, high-strength bolted connection must comply with Section 55-3.14, "Bolted Connections." Welding, nondestructive testing of welds, and acceptance and repair criteria for steel member nondestructive testing must comply with American Welding Society (AWS) D1.1.

Using stainless steel rivets, attach rectangular corrosion-resistant metal identification tag on all standards and poles, except Type 1:

1. Above the hand hole, near the base of standards and poles
2. On the underside of mast arms near the arm plate

The lettering on each identification tag must be depressed or raised, 1/4 inch tall, legible, and include the following information:

1. Name of the manufacturer
2. Date of manufacture
3. Identification number
4. Contract number
5. Unique identification code that is:
  - 5.1. Assigned by the manufacturer
  - 5.2. Traceable to a particular contract and the welds on that component

5.3. Readable after the support structure is coated and installed

Type 1 standard and steel pedestal for controller cabinet must be manufactured of one of the following:

1. 0.12-inch or thicker galvanized steel
2. 4-inch standard weight galvanized steel pipe as specified in ASTM A 53
3. 4-inch Type 1 conduit with the top designed for post-top slip-fitter

Ferrous metal parts of a standard that has a shaft length of 15 feet or longer must comply with the provisions in Section 55-2, "Materials," and the following:

1. Standard must be manufactured from sheet steel of weldable grade having a minimum yield strength of 40,000 psi after manufacturing.
2. Certified test report verifying compliance with minimum yield strength requirements must be submitted. Test report may be the mill test report for the as-received steel or if the as-received steel has a lower yield strength than required you must provide test data assuring that your method of cold forming will consistently increase the tensile properties of the steel to meet the specified minimum yield strength. Test data must include tensile properties of the steel after cold forming for specific heats and thicknesses.
3. If a single-ply 5/16-inch thick pole is specified, a 2-ply pole with equivalent section modulus may be substituted.
4. Standard may be manufactured of full-length sheets or shorter sections. Each section must be manufactured from 1 or 2 pieces of sheet steel. If 2 pieces are used, the longitudinal welded seams must be directly opposite from one another. If the sections are butt-welded together, the longitudinal welded seams of adjacent sections must be placed to form continuous straight seams from base to top of standard.
5. Butt-welded circumferential joints of tubular sections requiring CJP groove welds must be made using a metal sleeve backing ring inside each joint. The sleeve must be 1/8 inch nominal thickness, or thicker, and manufactured from steel having the same chemical composition as the steel in the tubular sections to be joined. If the sections to be joined have different specified minimum yield strengths, the steel in the sleeve must have the same chemical composition as the tubular section having the higher minimum yield strength. The width of the metal sleeve must be consistent with the type of nondestructive testing selected and must be a minimum width of 1 inch. At fitting time, the sleeve must be centered at the joint and in contact with the tubular section at the point of the weld.
6. Welds must be continuous.
7. Weld metal at the transverse joint must extend to the sleeve, making the sleeve an integral part of the joint.
8. During manufacturing, longitudinal seams on vertical tubular members of cantilevered support structures must be centered on and along the side of the pole that the pole plate is located. Longitudinal seams on horizontal tubular members, including signal and luminaire arms, must be within  $\pm 45$  degrees of the bottom of the arm.
9. Longitudinal seam weld in steel tubular section may be made by the electric resistance welding process.
10. Longitudinal seam weld must have 60 percent minimum penetration, except:
  - 10.1. Within 6 inches of circumferential weld, longitudinal seam weld must be CJP groove weld.
  - 10.2. Longitudinal seam weld on lighting support structure having telescopic pole segment splice must be CJP groove weld on the female end for a length on each end equal to the designated slip-fit splice length plus 6 inches.
11. Exposed circumferential weld, except fillet and fatigue-resistant weld, must be ground flush with the base metal before galvanizing or painting. Ground flush is specified as -0, +0.08-inch.
12. Circumferential weld and base plate-to-pole weld may be repaired only one time.
13. Exposed edges of the plates that make up the base assembly must be finished smooth and exposed corners of the plates must be broken. Provide shafts with slip-fitter shaft caps.
14. Surface flatness requirements of ASTM A 6 apply to plates:
  - 14.1. In contact with concrete, grout, or washers and leveling nuts
  - 14.2. In high-strength bolted connections
  - 14.3. In joints, where cap screws are used to secure luminaire and signal arms
  - 14.4. Used for breakaway slip-base assemblies
15. Standard must be straight with a maximum variation of:

- 15.1. 1 inch measured at the midpoint of a 30-foot to 35-foot standard
  - 15.2. 3/4 inch measured at the midpoint of a 17-foot to 20-foot standard
  - 15.3. 1 inch measured 15 feet above the base plate for Type 35 and Type 36 standards
16. Zinc-coated nuts used on fastener assemblies having a specified preload obtained by specifying a prescribed tension, torque value, or degree of turn must be provided with a colored lubricant, clean and dry to the touch. The lubricant color must contrast the zinc coating color on the nut so the presence of the lubricant is visually obvious. Lubricant must be insoluble in water or the fastener components must be shipped to the job site in a sealed container.
  17. Do not make additional holes in structural members.
  18. Standard with an outside diameter of 12 inches or less must be round. Standard with an outside diameter greater than 12 inches must be round or multisided. Multisided standard must be convex with a minimum of 12 sides and have a minimum bend radius of 4 inches.
  19. Manufacture mast arm from material specified for standard.
  20. Manufacture cast steel option for slip base from material of Grade 70-40, as specified in ASTM A 27/A 27M. Other comparable material may be used if approved by the Engineer. The casting tolerances must comply with the Steel Founders' Society of America's recommendations for green sand molding.
  21. One casting from each lot of a maximum of 50 castings must be radiographed as specified in ASTM E 94. Casting must comply with the acceptance criteria for severity level 3 or better for the types and categories of discontinuities in ASTM E 186 and E 446. If the casting fails the inspection, 2 additional castings must be radiographed. If the 2 additional castings fail the inspection, the entire lot will be rejected.
  22. Material certification, consisting of physical and chemical properties, and radiographic film of the casting must be filed at the manufacturer's office. Certification and film must be available for inspection.
  23. High-strength bolts, nuts, and flat washers used to connect slip-base plate must comply with ASTM A 325 or A 325M and be galvanized as specified in Section 75-1.05, "Galvanizing."
  24. Plate washers must be manufactured by saw cutting and drilling steel plate. Steel plate must comply with AISI 1018 and be galvanized as specified in Section 75-1.05, "Galvanizing." Before galvanizing, remove burrs and sharp edges and chamfer both sides of holes to allow the bolt head to make full contact with the washer without tension.
  25. High-strength cap screws for attaching arms to standards must comply with ASTM A 325, A 325M, or A 449, and the mechanical requirements in ASTM A 325 or A 325M after galvanizing. Cap screws must be galvanized as specified in Section 75-1.05, "Galvanizing." Coat threads of cap screws with a colored lubricant, clean and dry to the touch. Lubricant color must contrast the zinc-coating color on the cap screw so the presence of the lubricant is visually obvious. Lubricant must be insoluble in water or the fastener components must be shipped to the job site in a sealed container.
  26. Bolted connection attaching signal or luminaire arm to pole must be considered slip critical. Galvanized faying surfaces of plates on luminaire, signal arm, and pole must be roughened by hand using a wire brush before assembly and must comply with requirements for Class C surface conditions for slip-critical connections in "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts," a specification approved by the Research Council on Structural Connections (RCSC). Paint for faying surfaces must be as specified in the RCSC specification for Class B coating.
  27. The Engineer will randomly take samples of fastener components from each production lot and submit to the Transportation Laboratory with test reports as specified in ASTM fastener specifications for QA testing and evaluation. The Engineer will determine sample sizes for each fastener component.

Change in mast arm configuration is allowed as long as the mounting height and stability are maintained.

Before manufacturing, details must be adjusted to ensure that cap screw heads can be turned using conventional installation tools. During manufacturing process, to avoid interference with the cap screw heads, the position of the luminaire arm on the arm plate must be properly located.

Configure mast arm as a smooth curving arm.

Push button post, pedestrian barricade, and guard post must comply with ASTM A 53.

Assemble and tighten slip base when pole is on the ground. Threads of heavy hex nuts for each slip-base bolt must be coated with additional lubricant that is clean and dry to the touch. Tighten high strength slip-base bolts to within  $\pm 10$  foot-pounds of the following:

**Slip-Base Bolt-Tightening Requirements**

Standard Type	Torque (foot-pounds)
15-SB	150
30	150
31	200
36-20A	165

Hole in shaft of existing standard, due to removal of equipment or mast arms, must be sealed by fastening a galvanized steel disk to cover the hole. Fasten using a single central galvanized steel fastener. Seal edges of disk and hole with polysulfide or polyurethane sealing compound of Type S, Grade NS, Class 25, and Use O, as specified in ASTM C 920.

If existing standard is ordered to be relocated or reused, remove large dents, straighten shafts, and replace parts that are in poor condition. You must furnish anchor bolts or bars and nuts required for relocating or reusing standard. Repair and replacement work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

New nuts, bolts, cap screws, and washers must be provided if:

1. Standard or mast arm is relocated
2. Used standard or mast arm is State furnished

If the standard has a slip base, a new keeper plate must be provided.

**86-2.05 CONDUIT**

Run conductors in conduit except for overhead and where conductors are run inside poles.

You may use a larger size conduit than specified as long as you use it for the entire length between outlets. Do not use reducing coupling.

New conduit must not pass through existing foundations for standards.

**86-2.05A Material**

Conduit and conduit fitting must be UL or NRTL listed and comply with the following:

**Conduit and Conduit Fitting Requirements**

Type 1	Hot-dip galvanized rigid steel conduit and conduit couplings must comply with UL 6 and ANSI C80.1. Zinc coating testing must comply with copper sulfate test requirements in UL 6. Conduit couplings for rigid steel conduit must be electrogalvanized.
Type 2	Hot-dip galvanized rigid steel conduit must comply with requirements for Type 1 conduit and be coated with polyvinyl chloride (PVC) or polyethylene. Exterior thermoplastic coating must have a minimum thickness of 35 mils. Internal coating must have a minimum thickness of 2 mils. Coated conduit must comply with UL 6; NEMA RN 1; or NRTL PVC-001.
Type 3	Rigid nonmetallic PVC conduit must comply with UL 651. Type A extruded rigid PVC conduit and extruded rigid HDPE conduit must comply with UL 651A. Coilable, smooth-wall, continuous length HDPE conduits must comply with UL 651B. Install at underground locations only.
Type 4	Waterproof flexible metal conduit must consist of conduit with a waterproof non-metallic sunlight-resistant jacket over an inner flexible metal core. Type 4 conduit must be UL listed for use as the grounding conductor.
Type 5	Intermediate steel conduit and conduit couplings must comply with UL 1242 and ANSI C80.6. Zinc coating testing must comply with copper sulfate test requirements in UL 1242. Conduit couplings for intermediate rigid steel conduit must be electrogalvanized. Type 5 conduit must only be used if specified.

Bonding bushings to be installed on metal conduit must be insulated and either galvanized or zinc alloy type. Fittings for steel conduit and for watertight flexible metal conduit must be UL listed at UL 514B.

**86-2.05B Use**

Install Type 1 conduit on all exposed surfaces and at the following locations:

- 1. In concrete structures
- 2. Between a structure and nearest pull box

Exposed conduit installed on painted structure must be painted the same color as the structure.

Change or extend existing conduit runs using the same material. Install pull box if an underground conduit changes from the metallic type to Type 3.

Minimum trade size of conduit must be:

- 1. 1-1/2 inches from electrolier to adjacent pull box
- 2. 1 inch from pedestrian push button post to adjacent pull box
- 3. 2 inches from signal standard to adjacent pull box
- 4. 3 inches from controller cabinet to adjacent pull box
- 5. 2 inches from overhead sign to adjacent pull box
- 6. 2 inches from service equipment enclosure to adjacent pull box
- 7. 1-1/2 inches if unspecified

Two conduits must be installed between controller cabinet and adjacent pull box.

**86-2.05C Installation**

Whether shop or field cut, ream ends of conduit to remove burrs and rough edges. Make cuts square and true. Slip joints and running threads are not allowed for coupling conduit. If a standard coupling cannot be used for coupling metal type conduit, use a threaded union coupling that is UL or NRTL listed. Tighten couplings for metal conduit to maintain a good electrical connection through conduit run.

Cut Type 3 conduit with tools that will not deform the conduit. Use solvent weld for connections.

Cut Type 2 conduit with pipe cutters; do not use hacksaws. Coated conduit must be threaded with standard conduit-threading dies. Tighten conduit into couplings or fittings using strap wrenches or approved groove-joint pliers.

Protect shop-cut threads from corrosion as follows:

**Shop-Cut Thread Protection**

Steel conduit and conduit couplings	ANSI C80.1
Electrical intermediate metal conduit and conduit couplings	ANSI C80.6

Paint conduits as specified in Section 91, "Paint." Apply 2 coats of approved unthinned zinc-rich primer of organic vehicle type. Do not use aerosol cans. Paint the following parts of conduits:

- 1. All exposed threads
- 2. Field-cut threads before installing conduit couplings to steel conduit
- 3. Damaged surfaces on metal conduit

Do not remove shop-installed conduit couplings.

Damaged Type 2 conduit or conduit coupling must be wrapped with at least 1 layer of 2 inch wide, 20 mil minimum thickness PVC tape, as specified in ASTM D 1000, with a minimum tape overlap of 1/2 inch. Before applying the tape, conduit or fitting must be cleaned and painted with 1 coat of rubber-resin based adhesive as recommended by the tape manufacturer. You may repair damaged spots in the thermoplastic coating by painting over with a brushing type compound supplied by the conduit manufacturer instead of the tape wrap.

The ends of Types 1, 2, or 5 conduit must be threaded and capped with standard pipe caps until wiring is started. The ends of Types 3 and 4 conduit must be capped until wiring is started. If caps are removed, replace with conduit bushings. Fit insulated bonding bushings on the end of metal conduit ending in pull box or foundation. Bell or end bushings for Type 3 conduit must be non-metallic type.

Conduit bends, except factory bends, must have a radius of not less than 6 times the inside diameter of the conduit. If factory bends are not used, bend the conduit without crimping or flattening using the longest radius practicable. Bend conduits as follows:

**Conduit-Bending Requirements**

Type 1	By methods recommended by the conduit manufacturer and with equipment approved for the purpose.
Type 2	Use standard bending tool designed for use on thermoplastic coated conduit. Conduit must be free of burrs and pits.
Type 3	By methods recommended by the conduit manufacturer and with equipment approved for the purpose. Do not expose conduit to direct flame.
Type 4	--
Type 5	By methods recommended by the conduit manufacturer and with equipment approved for the purpose.

Install pull tape in conduit that is to receive future conductors. The pull tape must be a flat woven lubricated soft-fiber polyester tape with a minimum tensile strength of 1,800 pounds and have printed sequential measurement markings every 3 feet. At least 2 feet of pull tape must be doubled back into the conduit at each end.

Existing underground conduit to be incorporated into a new system must be cleaned with a mandrel or cylindrical wire brush and blown out with compressed air.

Install conduit to a depth of not less than 30 inches below finished grade, except in sidewalk and curbed paved median areas, where it must be at least 18 inches below grade. You may lay conduit on existing pavement within new curbed median.

Conduit coupling must be a minimum of 6 inches from face of foundation.

Place a minimum of 2 inches of sand bedding in the trench before installing Type 2 or Type 3 conduit. Place a minimum of 4 inches of same material over conduit before placing additional backfill material.

Obtain approval from the Engineer before disturbing pavement. If obstruction is encountered, obtain approval from Engineer to cut small holes in the pavement to locate or remove obstruction. If jacking or drilling method is used, keep jacking or drilling pit 2 feet away from edge of pavement. Pavement must not be weakened or subgrade softened from excess water use.

Conduit used for drilling or jacking must be removed; install new conduit for completed work. If a hole larger than the conduit is pre-drilled and you install conduit by hand or by method recommended by the conduit manufacturer with equipment approved for purpose, you may install Type 2 or Type 3 conduit under pavement.

If trenching in pavement method is specified, conduit installation under pavement that is not a freeway lane or freeway to freeway connector ramp, must comply with the following:

1. Use Type 3 conduit. Place conduit under pavement in a trench approximately 2 inches wider than the outside diameter of conduit, but not exceeding 6 inches in width. Trench depth must not exceed the greater of 12 inches or conduit trade size plus 10 inches, except that at pull boxes the trench may be hand dug to required depth. The top of the installed conduit must be a minimum of 9 inches below finished grade.
2. Trenching installation must be completed before placing final pavement layer.
3. Cut pavement to be removed with a rock cutting excavator. Minimize shatter outside the removal area.
4. Place conduit in bottom of trench and backfill with minor concrete as specified in Section 90-10, "Minor Concrete.". Minor concrete must contain a minimum of 590 pounds of cementitious material per cubic yard. If the trench is in asphalt concrete pavement and pavement overlay is not placed, backfill the top 0.10 foot of trench with minor HMA.
5. Before spreading HMA, apply tack coat as specified in Section 39, "Hot Mix Asphalt."
6. Backfill trenches, except for the top 0.10 foot, by the end of each day. The top 0.10 foot must be filled within 3 days after trenching.

Conduit installed beneath railroad tracks must be:

1. Type 1 or 2
2. 1-1/2-inch minimum diameter
3. Placed a minimum depth of 42 inches below bottom of the rail

If jacking or drilling method is used, construct jacking pit to a minimum of 13 feet from the centerline of track at the near side of jacking pit. Cover jacking pit with substantial planking if left overnight.

Conduit ending in standard or pedestal must not extend more than 3 inches vertically above the foundation and must be sloped toward the handhole opening. Conduit entering through the side of non-metallic pull box must end inside the box within 2 inches of the wall and 2 inches above the bottom and be sloped toward the top of box to facilitate pulling of conductors. Conduit entering through the bottom of a pull box must end 2 inches above the bottom and be located near the end walls to leave the major portion of the box clear. At outlet, conduit must enter from the direction of the run.

Underground conduit runs, including under sidewalks, that are adjacent to gasoline service stations or other underground gasoline or diesel storage, piping, or pumps and that lead to a controller cabinet, circuit breaker panel, service, or enclosure where an arc may occur during normal operations must be sealed if the conduit is within the limits specified in the NEC for Class 1, Division 1. Use Type 1 or Type 2 conduit for these runs.

Conduit for future use in structures must be threaded and capped. Conduit leading to soffit, wall, or other lights or fixtures below pull box grade must be sealed and made watertight, except where conduit ends in a No. 9 or No. 9A pull box.

Support for conduit in or on wall or bridge superstructure must comply with the following:

1. Steel hangers, steel brackets, and other fittings must comply with Section 75-1.03, "Miscellaneous Bridge Metal."
2. Construct precast concrete conduit cradles using minor concrete and commercial quality welded wire fabric. Minor concrete must comply with Section 90-10, "Minor Concrete," and contain a minimum of 590 pounds of cementitious material per cubic yard. The cradles must be moist cured for a minimum of 3 days. Bond precast concrete cradles to structure with epoxy adhesives specified in one of the following:
  - 2.1. Section 95-2.03, "Epoxy Resin Adhesive for Bonding New Concrete to Old Concrete"
  - 2.2. Section 95-2.04, "Rapid Set Epoxy Adhesive for Pavement Markers"
  - 2.3. Section 95-2.05, "Standard Set Epoxy Adhesive for Pavement Markers"
3. Use pipe sleeve or form opening for conduit through bridge superstructure concrete. Sleeve or opening through either prestressed member or conventionally reinforced precast member must be:
  - 3.1. Transverse to the member
  - 3.2. Through the web
  - 3.3. Not more than 3 inches maximum gross opening in concrete
4. Where conduits pass through the abutment concrete, wrap conduit with 2 layers of asphalt-felt building paper securely taped or wired in place. Fill space around conduit that runs through bridge abutment wall with mortar as specified in Section 51-1.135, "Mortar," except the proportion of cementitious material to sand must be 1 to 3. Fill the space around conduits that run through abutments after prestressing is completed.
5. Run surface-mounted conduit straight and true, horizontal or vertical on the wall, and parallel to wall on ceiling or other similar surfaces. Support conduit at a maximum of 5-foot intervals or closer where necessary to prevent vibration or unsightly deflection. The supports must include galvanized malleable iron conduit clamps and clamp backs secured with expansion anchorage devices as specified for concrete anchorage devices in Section 75-1.03, "Miscellaneous Bridge Metal." Threaded studs must be galvanized and be of the largest diameter that will pass through the mounting hole in conduit clamp.
6. Where pull boxes are placed in conduit runs, conduit must be fitted with threaded bushings and bonded.
7. Mark location of conduit end in structure, curb, or wall with a "Y" that is a minimum of 3 inches tall, directly above conduit.

#### **86-2.05D Expansion Fittings**

Install expansion fitting where the conduit crosses an expansion joint in structure. Each expansion fitting for metal conduit must include a copper bonding jumper having the ampacity specified in NEC.

Each expansion-deflection fitting for expansion joints of 1-1/2-inch movement rating must be watertight and include a molded neoprene sleeve, a bonding jumper, and 2 silicon bronze or zinc-plated iron hubs. Each fitting must allow a minimum of 3/4-inch expansion, contraction, and lateral deflection.

## **86-2.06 PULL BOXES**

### **86-2.06A (Blank)**

### **86-2.06B Cover Marking**

Marking must be clearly defined, uniform in depth, and parallel to either the long or short sides of cover. Marking letters must be 1 inch to 3 inches high.

Before galvanizing steel or cast iron cover, apply marking by one of the following methods:

1. Use cast iron strip at least 1/4 inch thick with letters raised a minimum of 1/16 inch. Fasten strip to cover with 1/4 inch flathead stainless steel machine bolts and nuts. Peen bolts after tightening.
2. Use sheet steel strip at least 0.027-inch thick with letters raised a minimum of 1/16 inch. Fasten strip to cover by spot welding, tack welding, or brazing, with 1/4 inch stainless steel rivets or 1/4 inch roundhead stainless steel machine bolts and nuts. Peen bolts after tightening.
3. Bead weld the letters on cover so that letters are raised a minimum of 3/32 inch.

### **86-2.06C Installation and Use**

Space pull boxes no more than 200 feet apart. You may install additional pull boxes to facilitate the work.

You may use a larger standard size pull box than that shown on the plans or specified.

A pull box in ground or sidewalk area must be installed as follows:

1. Embed bottom of pull box in crushed rock.
2. Place a layer of roofing paper on the crushed rock.
3. Place mortar over the layer of roofing paper. Mortar must be 0.50 inch to 1 inch thick and sloped toward the drain hole.
4. Make a 1-inch drain hole in center of pull box through mortar and roofing paper.
5. Place mortar between pull box and pull box extension, and around conduits.

The top of the pull box must be flush with the surrounding grade or the top of an adjacent curb, except in unpaved areas where the pull box is not immediately adjacent to and protected by a concrete foundation, pole, or other protective construction. Place the pull box 1-1/4 inches above the surrounding grade. Where practical, place a pull box shown in the vicinity of curbs or adjacent to a standard on the side of the foundation facing away from traffic, unless otherwise directed. If a pull box is installed in a sidewalk area, adjust the depth of the pull box so that the top of the pull box is flush with the sidewalk.

Reconstruct the sump of an existing pull box if it is disturbed by your operations. Remove old grout and replace with new if the sump was grouted.

## **86-2.07 TRAFFIC PULL BOXES**

Comply with Sections 86-2.06B, "Cover Marking," and 86-2.06C, "Installation and Use."

Traffic pull box and cover must comply with ASTM C857, "Standard Practice for Minimum Structural Design Loading for Underground Precast Concrete Utility Structures," for HS20-44 loading. You must be able to place the load anywhere on box and cover for 1 minute without causing cracks or permanent deformations.

Frame must be anchored to the box with 1/4" x 2-1/4" concrete anchors. Four concrete anchors must be included for No. 3-1/2(T) pull box; one placed in each corner. Six concrete anchors must be included for No. 5(T) and No. 6(T) pull boxes; one placed in each corner and one near the middle of each of the longer sides.

Nuts must be zinc plated carbon steel, vibration resistant, and have a wedge ramp at the root of the thread.

After installation of traffic pull box, install steel cover and keep bolted down when your activities are not in progress at the pull box. When steel cover is placed for final time, cover and Z bar frame must be cleaned of debris and tightened securely.

Steel cover must be countersunk approximately 1/4 inch to accommodate bolt head. When tightened, bolt head must not exceed more than 1/8 inch above the top of cover.

Concrete placed around and under traffic pull box must be minor concrete as specified in Section 90-10, "Minor Concrete."

## **86-2.08 CONDUCTORS AND CABLES**

Conductor must be copper wire that complies with ASTM B 3 and B 8.

Wire size must comply with the following:

### Wire Size Requirements

Conductor usage	Requirement
In loop detector lead-in cable	ASTM B 286
Everywhere except in loop detector lead-in cable	American Wire Gage (AWG) <sup>a</sup>

<sup>a</sup>Except conductor diameter must not be less than 98 percent of specified AWG diameter.

Single conductor and cable, except detector lead-in cable, must have clear, distinctive, and permanent markings on the outer surface throughout its length. The markings must include the manufacturer's name or trademark, insulation type letter designation, conductor size, voltage, and temperature rating, and for cables, it must also include number of conductors.

#### 86-2.08A Conductor Identification

Conductor insulation must be a solid color with a permanent stripe as specified below. The solid color must be homogeneous through the full depth of insulation. Identification stripe must be continuous throughout the length of conductor. For conductor sizes No. 2 and larger, the insulation may be black and the ends of the conductors must be taped for a minimum length of 20 inches with electrical insulating tape of the required color.

#### Conductor Identification

Circuit	Signal Phase or Function	Identification			Size
		Insulation Color <sup>i</sup>		Band Symbols <sup>f</sup>	
		Base	Stripe <sup>a</sup>		
Vehicle Signals <sup>a,b,d</sup>	2,6	Red, Yel, Brn	Blk	2,6	14
	4,8	Red, Yel, Brn	Ora	4,8	14
	1,5	Red, Yel, Brn	None	1,5	14
	3,7	Red, Yel, Brn	Pur	3,7	14
	Ramp Meter 1	Red, Yel, Brn	None	NBR	14
	Ramp Meter 2	Red, Yel, Brn	Blk	NBR	14
Pedestrian Signals <sup>d</sup>	2p,6p	Red, Brn	Blk	2p,6p	14
	4p,8p	Red, Brn	Ora	4p,8p	14
	1p,5p	Red, Brn	None	1p,5p	14
	3p,7p	Red, Brn	Pur	3p,7p	14
Pedestrian Push Buttons <sup>d</sup>	2p,6p	Blu	Blk	P-2,P-6	14
	4p,8p	Blu	Ora	P-4,P-8	14
	1p,5p	Blu	None	P-1,P-5	14
	3p,7p	Blu	Pur	P-3,P-7	14
Traffic Signal Controller Cabinet	Ungrounded Circuit Conductor	Blk	None	CON-1	6
	Grounded Circuit Conductor	Wht	None	CON-2	6
Highway Lighting Pull Box to Luminaire	Ungrounded-Line 1	Blk	None	NBR	14
	Ungrounded-Line 2	Red	None	NBR	14
	Grounded	Wht	None	NBR	14
Multiple Highway Lighting	Ungrounded-Line 1	Blk	None	ML1	10
	Ungrounded-Line 2	Red	None	ML2	10
Lighting Control	Ungrounded to PEU	Blk	None	C1	14
	Switching leg from PEU unit or SM transformer	Red	None	C2	14

Service	Ungrounded-Line 1 (Signals)	Blk	None	NBR <sup>e</sup>	6
	Ungrounded-Line 2 (Lighting)	Red <sup>h</sup>	None	NBR <sup>e</sup>	8
Sign Lighting	Ungrounded-Line 1	Blk	None	SL-1	10
	Ungrounded-Line 2	Red	None	SL-2	10
Flashing Beacons <sup>g</sup>	Ungrounded between Flasher and Beacons	Red or Yel	None	F-Loc. <sup>c</sup>	14
Grounded and Common	Pedestrian Push Buttons	Wht	Blk	NBR	14
	Signals and Multiple Lighting	Wht	None	NBR	10
	Flashing Beacons and Sign Lighting	Wht	None	NBR	12
	Lighting Control	Wht	None	C-3	14
	Multiple Service	Wht	None	NBR	14
Railroad Preemption		Blk	None	R	14
Spares		Blk	None	NBR	14

NBR = No Band Required PEU=Photoelectric unit

<sup>a</sup>On overlaps, insulation is striped for 1st phase in designation. e.g., phase (2+3) conductor is striped as for phase 2.

<sup>b</sup>Band for overlap and special phases as required.

<sup>c</sup>Flashing beacons having separate service do not require banding.

<sup>d</sup>These requirements do not apply to signal cable.

<sup>e</sup>"S" if circuit is switched on line side of service equipment by utility.

<sup>f</sup>Band conductors in each pull box and near ends of termination points. On signal light circuits, a single band may be placed around 2 or 3 ungrounded conductors comprising a phase.

<sup>g</sup>Ungrounded conductors between service switch and flasher mechanism must be black and banded.

<sup>h</sup>Black acceptable for size No. 2 and larger. Tape ends for 20 inches with indicated color.

<sup>i</sup>Color Code: Yel-Yellow, Brn-Brown, Blu-Blue, Blk-Black, Wht-White, Ora-Orange, Pur-Purple.

### 86-2.08B Multiple Circuit Conductors

Conductor for multiple circuit must be UL or NRTL listed and rated for 600 V(ac) operation. Insulation for No. 14 to No. 4 conductors must be one of the following:

1. Type TW PVC as specified in ASTM D 2219
2. Type THW PVC
3. Type USE, RHH, or RHW cross-linked polyethylene

Minimum insulation thickness must comply with the following:

Insulation Thickness		
Insulation Type	Conductor Size	Insulation Thickness (mils)
USE, RHH, or RHW	No. 14 to No. 10	39
	No. 8 to No. 2	51
THW or TW	No. 14 to No. 10	27
	No. 8	40
	No. 6 to No. 2	54

Insulation for No. 2 and larger conductor must be one of the types listed above or Type THWN.

Conductor for wiring wall and soffit luminaire must be stranded copper with insulation rated for use at temperatures up to 125 °C.

### 86-2.08C Signal Cable

Signal cable, except for the 28-conductor type, must:

1. Not be spliced

2. Be marked in each pull box with the signal standard information it is connecting to

Signal cable must comply with the following:

1. Cable jacket must be:
  - 1.1. Black polyethylene with an inner polyester binder sheath
  - 1.2. Rated for 600 V(ac) and 75 °C
2. Filler material, if used, must be polyethylene material.
3. Conductor must be solid copper with Type THWN insulation as specified in Section 86-2.08, "Conductors and Cables," and ASTM B 286. The minimum thickness of Type THWN insulation must be 12 mils for conductor sizes No. 14 to No. 12 and 16 mils for conductor size No. 10. The minimum thickness of nylon jacket must be 4 mils.

**Conductor Signal Cable Requirements**

Cable Type <sup>a</sup>	Conductor Quantity and Type	Cable Jacket Thickness (mils)		Maximum Nominal Outside Diameter (inch)	Conductor Color Code	Remarks
		Average	Minimum			
3CSC	3 - No. 14	44	36	0.40	blue/black, blue/orange, white/black stripe	Use for pedestrian push buttons and spare
5CSC	5 - No. 14	44	36	0.50	red, yellow, brown, black, white	
9CSC	8 - No. 14 1 - No. 12	60	48	0.65	No. 12 - white No. 14 - red, yellow, brown, black, and red/black, yellow/black, brown/black, white/black stripe	
12CSC	11 - No. 14 1 - No. 12	60	48	0.80	No. 12 - white No. 14 - see "12CSC Color Code and Functional Connection" table	Use for vehicle signals, pedestrian signals, spares, and signal common
28CSC	27 - No. 14 1 - No. 10	80	64	0.90	No. 10 - white No. 14 - see "28CSC Color Code and Functional Connection" table	Keep signal commons in each cable separate except at the signal controller. Label each cable as "C1" or "C2" in pull box. Use "C1" for signal phases 1, 2, 3, and 4. Use "C2" for phases 5, 6, 7, and 8.

<sup>a</sup>Conductor signal cable description starts with the number of conductors, followed by "CSC". (e.g., a signal cable with 3 conductors is labeled "3CSC".)

**12CSC Color Code and Functional Connection**

Color Code	Termination	Phase
Red	Vehicle signal red	2, 4, 6, or 8
Yellow	Vehicle signal yellow	2, 4, 6, or 8
Brown	Vehicle signal green	2, 4, 6, or 8
Red/black stripe	Vehicle signal red	1, 3, 5, or 7
Yellow/black stripe	Vehicle signal yellow	1, 3, 5, or 7
Brown/black stripe	Vehicle signal green	1, 3, 5, or 7
Black/red stripe	Spare, or use as required for red or DONT WALK	
Black/white stripe	Spare, or use as required for yellow	
Black	Spare, or use as required for green or WALK	
Red/white stripe	Ped signal DONT WALK	
Brown/white stripe	Ped signal WALK	

**28CSC Color Code and Functional Connection**

Color Code	Termination	Phase
Red/black stripe	Vehicle signal red	2 or 6
Yellow/black stripe	Vehicle signal yellow	2 or 6
Brown/black stripe	Vehicle signal green	2 or 6
Red/orange stripe	Vehicle signal red	4 or 8
Yellow/orange stripe	Vehicle signal yellow	4 or 8
Brown/orange stripe	Vehicle signal green	4 or 8
Red/silver stripe	Vehicle signal red	1 or 5
Yellow/silver stripe	Vehicle signal yellow	1 or 5
Brown/silver stripe	Vehicle signal green	1 or 5
Red/purple stripe	Vehicle signal red	3 or 7
Yellow/purple stripe	Vehicle signal yellow	3 or 7
Brown/purple stripe	Vehicle signal green	3 or 7
Red/2 black stripes	Ped signal DONT WALK	2 or 6
Brown/2 black stripes	Ped signal WALK	2 or 6
Red/2 orange stripes	Ped signal DONT WALK	4 or 8
Brown/2 orange stripes	Ped signal WALK	4 or 8
Red/2 silver stripes	Overlap A, C red	OLA, OLC
Brown/2 silver stripes	Overlap A, C green	OLA, OLC
Red/2 purple stripes	Overlap B, D red	OLB, OLD
Brown/2 purple stripes	Overlap B, D green	OLB, OLD
Blue/black stripe	Ped push button	2 or 6
Blue/orange stripe	Ped push button	4 or 8
Blue/silver stripe	Overlap A, C yellow	OLA(y), OLC(y)
Blue/purple stripe	Overlap B, D yellow	OLB(y), OLD(y)
White/black stripe	Ped push button common	
Black/red stripe	Railroad preemption	
Black	Spare	

**86-2.08D Signal Interconnect Cable (SIC)**

Signal interconnect cable must be a 3-pair or 6-pair type with stranded tinned copper No. 20 conductors. Each conductor insulation must be 13 mils minimum nominal thickness, color-coded, polypropylene material. Conductors must be in twisted pairs. Color coding distinguishes each pair. Each pair must be wrapped with an aluminum polyester shield and must have a No. 22 or larger stranded tinned copper drain wire inside the shielded pair.

Cable jacket must be black, high density polyethylene, rated for a minimum of 300 V(ac) and 60 °C, and must have a minimum nominal wall thickness of 40 mils. Cable jacket or moisture-resistant tape directly under the outer jacket must be marked as specified in Section 86-2.08.

You must have a minimum of 6 feet of slack at each controller cabinet. Splicing is allowed only if shown on the plans.

Insulate conductor splice with heat-shrink tubing and overlap at least 0.6 inch. Cover overall cable splice with heat-shrink tubing and overlap the cable jacket at least 1-1/2 inch.

**86-2.09 WIRING**

Run conductors in conduit, except for overhead and temporary installations and where conductors are run inside poles.

Solder by hot iron, pouring, or dipping method, connectors and terminal lugs for conductor sizes No. 8 and smaller. Do not perform open-flame soldering.

**86-2.09A Circuitry**

Do not run traffic signal indication conductors to a terminal block on a standard unless connected to a mounted signal head.

Use only 1 conductor to connect to each terminal of a pedestrian push button.

The common for pedestrian push button circuit must be separate from traffic signal circuit grounded conductor.

**86-2.09B Installation**

Use a UL- or NRTL-listed inert lubricant for placing conductors in conduit.

Pull conductors into conduit by hand using pull tape specified in Section 86-2.05C, "Installation." Do not use winches or other power-actuated pulling equipment.

If adding new conductors or removing existing conductors, remove all conductors, clean conduit as specified in Section 86-2.05C, "Installation," and pull all conductors in conduit as 1 unit.

If traffic signal conductors are run in lighting standard containing street lighting conductors from a different service point, you must encase the traffic signal conductors or the lighting conductors with a flexible or rigid metal conduit for a length until the 2 types of conductors are no longer in the same raceway.

If less than 10 feet above grade, enclose temporary conductors in flexible or rigid metal conduit.

Leave slack for each conductor as follows:

**Conductor Slack Requirements**

Location	Slack (feet)
Signal standard	1
Lighting standard	1
Signal and lighting standard	1
Pull box	3
Splice	3
Standards with slip base	0

After conductors are installed, seal ends of conduits with an approved sealing compound.

To form a watertight seal, tape ends of spare conductors and conductors ending in pull boxes.

Conductors and cables inside fixture or cabinet must be neatly arranged and tied together by function with self-clinching nylon cable ties or enclosed in plastic tubing or raceway.

Identify conductors for signal overlap phase as specified for vehicle signals in the table titled "Conductor Identification."

Permanently identify conductors by function. Place identification on each conductor, or each group of conductors forming a signal phase, at each pull box and near the end of conductors.

Label, tag, or band conductors by mechanical methods. Identification must not move along the conductors.

**86-2.09C Connectors and Terminals**

Connectors and terminals must be UL- or NRTL-listed crimp type. Use manufacturer-recommended tool for connectors and terminals to join conductors. Comply with MIL-T-7928.

Terminate stranded conductors smaller than No. 14 in crimp style terminal lugs.

**86-2.09D Splicing and Terminations**

Splices are allowed for:

1. Grounded conductors in pull box.
2. Pedestrian push button conductors in pull box.
3. Conductors in pull box adjacent to each electrolier or luminaire.
4. Ungrounded traffic signal conductors in pull box, if traffic signals are modified.

5. Ungrounded traffic signal conductors to a terminal compartment or signal head on a standard with conductors of the same phase in the pull box adjacent to the standard.
6. Ungrounded lighting circuit conductors in pull box, if lighting circuits are modified.

**86-2.09E Splice Insulation**

Splice must function under continuous submersion in water.

Multi-conductor cable must be spliced and insulated to form a watertight joint and to prevent moisture absorption by the cable.

Low-voltage tape must be:

1. UL or NRTL listed
2. Self-fusing, oil and flame-resistant, synthetic rubber
3. PVC, pressure-sensitive adhesive of 6 mils minimum thickness

Insulating pad must be a combination of an 80-mils thick electrical grade PVC laminate and a 120-mils thick butyl splicing compound with removable liner.

Heat-shrink tubing must comply with the following:

1. Be medium or heavy wall thickness, irradiated polyolefin tubing with an adhesive mastic inner wall.
2. Before contraction, minimum wall thickness must be 40 mils.
3. Heating must be as recommended by the manufacturer. Do not perform open-flame heating.
4. When heated, the inner wall must melt and fill crevices and interstices of the covered object and the outer wall must shrink to form a waterproof insulation.
5. After contraction, each end of the heat-shrink tubing or the open end of end cap of heat-shrink tubing must overlap the conductor insulation at least 1-1/2 inches. Coat ends and seams with electrical insulation coating.
6. Comply with requirements for extruded insulated tubing at 600 V(ac) in UL Standard 468D and ANSI C119.1, and the following requirements:

**Heat-Shrink Tubing Requirements**

Shrinkage Ratio	33 percent, maximum, of supplied diameter when heated to 125 °C and allowed to cool to 25 °C
Dielectric Strength	350 kV per inch, minimum
Resistivity	25 <sup>13</sup> Ω per inch, minimum
Tensile Strength	2,000 psi, minimum
Operating Temperature	-40 °C to 90 °C (135 °C in emergency)
Water Absorption	0.5 percent, maximum

7. If 3 or more conductors are to be enclosed in 1 splice, place mastic around each conductor before placing inside tubing. Use mastic type recommended by heat-shrink tubing manufacturer.

You may use "Method B" as an alternative method for splice insulation. Use at least 2 thicknesses of electrical insulating pad. Apply pad to splice as recommended by manufacturer.

**86-2.095 FUSED SPLICE CONNECTORS**

Install a fused disconnect splice connector in each ungrounded conductor, between the line and the ballast, in the pull box adjacent to each luminaire. Connector must be accessible in the pull box.

For 240 and 480 V(ac) circuits, each connector must simultaneously disconnect both ungrounded conductors. Connector must not have exposed metal parts, except for the head of stainless steel assembly screw. Recess head of stainless steel assembly screw a minimum of 1/32 inch below top of plastic boss that surrounds the head.

Splice connector must protect fuse from water or weather damage. Contact between fuse and fuseholder must be spring loaded. Splice connector terminals must be:

1. Rigidly crimped, using a tool recommended by manufacturer of fused splice connector, onto ungrounded conductors
2. Insulated
3. Watertight

Fuses must be standard midget ferrule type, with "Non-Time-Delay" feature, and 13/32" x 1-1/2".

### 86-2.10 BONDING AND GROUNDING

Secure all metallic components, mechanically and electrically, to form a continuous system that is effectively grounded.

Bonding jumper must be copper wire or copper braid of the same cross sectional area as a No. 8 or larger to match the load. Equipment grounding conductors must be color coded as specified in NEC or be bare.

Attach bonding jumper to standard as follows:

<b>Bonding Jumper Attachment</b>	
Standard type	Requirements
Standard with handhole and traffic pull box lid cover	Use UL-listed lug and 3/16-inch diameter or larger brass or bronze bolt. Run jumper to conduit or bonding wire in adjacent pull box. Grounding jumper must be visible after the standard is installed and mortar pad is placed on foundation.
Standard without handhole	Use UL-listed ground clamp on each anchor bolt.
Slip-base standard	Use UL-listed ground clamp on each anchor bolt or attach UL-listed lug to bottom slip-base plate with 3/16-inch diameter or larger brass or bronze bolt.

Ground one side of secondary circuit of step-down transformer.

Ground metal conduit, service equipment, and grounded conductor at service point as specified by NEC and service utility, except grounding electrode conductor must be No. 6 or larger.

Equipment bonding and grounding conductors are required in conduit. Run a No. 8 minimum bare copper wire continuously in conduit system. The bonding wire must be sized as specified in the NEC.

Ground electrode must be:

1. 1 piece
2. 10-foot minimum length of one of the following:
  - 2.1. Galvanized steel rod or pipe not less than 3/4 inch in diameter
  - 2.2. Copper clad steel rod not less than 5/8 inch in diameter
3. Installed as specified in NEC
4. Bonded to service equipment using one of the following:
  - 4.1. Ground clamp
  - 4.2. Exothermic weld
  - 4.3. No. 6 or larger copper conductor

On wood pole, metallic equipment mounted less than 8 feet above ground surface must be grounded.

Bond metallic conduit in non-metallic pull box using bonding bushing or bonding jumper.

Bond metallic conduit in metal pull box using bonding bushings and bonding jumpers connected to bonding wire running in the conduit system.

### 86-2.11 SERVICE

Electrical service installation and materials must comply with service utility requirements.

If service equipment is to be installed on utility-owned pole, you must furnish and install conduit, conductors, and other necessary material to complete service installation. Service utility will decide riser and equipment position.

Install service equipment early on to allow service utility to schedule its work before project completion.

Furnish each service with a circuit breaker that simultaneously disconnects all ungrounded service entrance conductors.

Circuit breakers must:

1. Be quick-break on either automatic or manual operation.
2. Have operating mechanism that is enclosed and trip-free from operating handle on overload.

3. Be trip indicating.
4. Have frame size plainly marked.
5. Have trip rating clearly marked on operating handle.
6. Have overload tripping of breakers not influenced by ambient temperature range of -18 °C to 50 °C.
7. Be internal trip type.
8. Be UL or NRTL listed and comply with UL 489 or equal.
9. Have minimum interrupting capacity of 10,000 A, rms, if used as service disconnect.

Service equipment enclosure must be a NEMA 3R enclosure with dead-front panel and a hasp with a 7/16-inch hole for a padlock. Enclosure must be field marked as specified in the NEC to warn qualified persons of potential electric arc flash hazards.

Service equipment enclosure, except Types II and III, must be galvanized or have a factory-applied rust-resistant prime coat and finish coat.

Types II and III service equipment enclosures must be manufactured from one of the following:

1. Galvanized sheet steel
2. Sheet steel plated with zinc or cadmium after manufacturing
3. Aluminum

Manufacture service equipment enclosure as specified in Section 86-3.04A, "Cabinet Construction." Overlapping exterior seams and doors must comply with requirements for NEMA 3R enclosures in the NEMA Enclosure Standards.

If an alternative design is proposed for Type II or III service equipment enclosure, submit plans and shop drawings to the Engineer for approval before manufacturing.

Except for falsework lighting and power for your activities, when you submit a written request, the Engineer will arrange:

1. With the service utility to complete service connections for permanent installations and the Department will pay all costs and fees required by the service utility. Submit request at least 15 days before service connections are required.
2. For furnishing electrical energy. Energy used before contract completion will be charged to you, except cost of energy used for public benefit as ordered by the Engineer will be paid by the Department or local authorities.

Full compensation for furnishing and installing State-owned or permanent service poles, service equipment, conduit, conductors, and pull boxes, including equipment, conduit, and conductors placed on utility-owned poles, is included in the contract item of electrical work involved and no additional compensation will be allowed therefor.

If the service point is indeterminate and is shown on the plans as "approximate location" or "service point not yet established," the labor and materials required for making the connection between the service point, when established, and the nearest pull box shown on the plans will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."

### **86-2.12 WOOD POLES**

Wood poles must comply with the following:

1. Class 5 or larger as specified in ANSI O 5.1
2. Less than 180-degree twist in grain over the full length
3. 4-inch or less sweep
4. Beveled top
5. Placed in ground at least 6 feet
6. Length must be:
  - 6.1. 25 feet for service pole
  - 6.2. 35 feet for other

After each pole is set in ground, backfill space around pole with selected earth or sand, free of rocks and other deleterious material, placed in 4-inch thick layers. Moisten each layer and thoroughly compact.

Manufacture mast arm from standard pipe, free from burrs. Each mast arm must have an insulated wire inlet and wood pole mounting brackets for mast arm and tie-rod cross arm. Manufacture tie rod from structural steel and pipe.

Mount mast arm for luminaire to provide a 34-foot mounting height for a 200 W high pressure sodium luminaire and 40-foot mounting height for 310 W high pressure sodium luminaire. Traffic signals and flashing beacons on mast arm must provide a minimum vertical clearance of 17 feet from bottom of equipment to pavement.

After manufacturing, pressure-treat pole as specified in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPAs Use Category System: UC4B, Commodity Specification D.

If specified, treat pole with waterborne wood preservative.

### **86-2.13 LIGHTING AND SIGN ILLUMINATION CONTROL**

Enclosure for the circuit breaker for lighting and sign illumination control must:

1. Be NEMA 3R
2. Be galvanized, cadmium plated, or powder-coated
3. Include dead front panel and a hasp with a 7/16 inch diameter hole for padlock

### **86-2.14 TESTING**

#### **86-2.14A Materials Testing**

Deliver material and equipment to be tested to either the Transportation Laboratory or a testing location ordered by the Engineer.

Allow 30 days for acceptance testing from the time material or equipment is delivered to test site. You must pay for all shipping, handling, and related transportation costs associated with testing. If equipment is rejected, you must allow 30 days for retesting. Retesting period starts when corrected equipment is delivered to test site. You must pay for all retesting costs. Delays resulting from submittal of non-compliant materials do not relieve you from executing the contract within the allotted time.

If equipment submitted for testing does not comply with specifications, remove the equipment within 5 business days after notification that the equipment is rejected. If equipment is not removed within that period, it may be shipped to you at your expense.

When testing is complete, you will be notified. You must pick up the equipment at the test site and deliver it to the job site.

Testing and quality control procedures for all other traffic signal controller assemblies must comply with NEMA TS Standards for Traffic Control Systems.

#### **86-2.14B Field Testing**

Before starting functional testing, perform the following tests in the presence of the Engineer:

##### **86-2.14B(1) Continuity**

Test each circuit for continuity.

##### **86-2.14B(2) Ground**

Test each circuit for grounds.

##### **86-2.14B(3) Insulation Resistance**

Perform insulation resistance test at 500 V(dc) on each circuit between the circuit and a ground. Insulation resistance must be 10 MΩ minimum on all circuits, except for inductive loop detector circuits that must have an insulation resistance value at least 100 MΩ.

#### **86-2.14C Functional Testing**

Test periods must comply with Section 86-1.07, "Scheduling of Work."

Acceptance of new or modified traffic signal will be made only after all traffic signal circuits have been thoroughly tested.

Perform functional test to show that each part of the system functions as specified.

Functional test for each new or modified system must include at least 5 business days of continuous, satisfactory operation. If unsatisfactory performance of the system occurs, the condition must be corrected and the system retested until the 5 business days of continuous, satisfactory operation is obtained.

Except for new or modified parts of existing lighting circuit and sign illumination system, the State or local agency will maintain the system during test period and pay the electrical energy cost. Except for electrical energy, you must pay the cost of necessary maintenance performed by the State or local agency on new circuits or on the portions of existing circuits modified under the contract.

Shutdown of electrical system caused by traffic from a power interruption or from unsatisfactory performance of State-furnished materials does not constitute discontinuity of the functional test.

### **86-2.15 GALVANIZING**

Galvanize as specified in Section 75-1.05, "Galvanizing." Cabinet material may be galvanized before manufacturing as specified in ASTM A 653/653M, Coating Designation G 90.

Steel pipe standard and pipe mast arm must be hot-dip galvanized after manufacturing and must comply with Section 75-1.05, "Galvanizing." . Remove spikes from galvanized surfaces.

A minimum of 10 inches of upper end of anchor bolts, anchor bars or studs, and nuts and washers must be galvanized as specified in Section 75-1.05, "Galvanizing."

After galvanizing, bolt threads must accept galvanized standard nuts without requiring tools or causing removal of protective coatings.

Galvanizing existing materials in an electrical installation will not be required.

### **86-2.16 PAINTING**

Paint electrical equipment and material as specified in Section 59, "Painting," and the following:

1. Use paint material specified in Section 91, "Paint."
2. Factory or shop cleaning methods for metals are acceptable if equal to the methods specified.
3. Instead of temperature and seasonal restrictions for painting as specified in Section 59, "Painting," paint may be applied to equipment and materials for electrical installations if ordered by the Engineer.
4. Ungalvanized ferrous surface to be painted must be cleaned before applying prime coat. Blast cleaning is not required.
5. If an approved prime coat is applied by manufacturer, and in good condition, the 1st primer application is not required.
6. Existing equipment to be painted in the field, including State-furnished equipment, must be washed with a stiff bristle brush using a solution of water containing 2 tablespoons of heavy duty detergent powder per gallon. After rinsing, surface must be wire-brushed with a coarse, cup-shaped, power-driven brush to remove badly bonded paint, rust, scale, corrosion, grease, or dirt. Dust or residue remaining after wire brushing must be removed before priming.
7. Do not paint galvanized metal guard post, galvanized equipment, State-furnished controller cabinet, and wood poles for traffic signal or flashing beacon.
8. New galvanized metal surface to be painted in the field must be cleaned as specified for existing equipment before applying the prime coat. Do not wire brush new galvanized surface.
9. After erection, examine exterior surface for damaged primer, clean, and spot coat with primer.
10. Paint Types II and III steel service equipment enclosures with a polymeric or an enamel coating system matching Color No. 14672, light green, of Federal Standard 595B. Coating must be commercially smooth and free of flow lines, paint washout, streaks, blisters, and other defects that would impair serviceability or detract from general appearance. Coating must comply with the following:
  - 10.1. Coating hardness - Finish must have pencil lead hardness of HB, minimum, using an Eagle Turquoise pencil.
  - 10.2. Salt spray resistance - Undercutting coating system's film must not exceed 1/8-inch average, from lines scored diagonally and deep enough to expose the base metal, after 336 hours of exposure in a salt spray cabinet complying with ASTM B 117.
  - 10.3. Adherence - Must not have coating loss when tested as specified in California Test 645. Perform testing by applying coating to 4" x 8" x 0.024" test specimens of the same material as the cabinet, using the same application method.
11. Finish interior of metal signal visor, louver, and front face of back plates with 2 applications of lusterless black exterior grade latex paint formulated for application to properly prepared metal surface. Good condition factory finish will be acceptable.
12. Finish metal signal section, signal head mounting, brackets and fittings, outside of visor, pedestrian push button housing, pedestrian signal section and visor, and back face of back plate with 2 applications of

lusterless black or dark olive green exterior grade latex paint formulated for application to properly prepared metal surface. Match dark olive green color to Color Chip No. 68 filed at the Transportation Laboratory.

13. Prepare and finish conduit and conduit fitting above ground the same as adjacent standard or post.
14. Relocated, reset or modified equipment previously finished as specified in this section, except for previously-finished galvanized standard with traffic signal yellow enamel, must be given a spot finishing application on newly primed areas and 1 finishing application over the entire surface. If signal face or mounting brackets are required to be painted under this section, all signal faces and mounting brackets on the same mounting must be repainted.
15. Small rusted or repaired areas of relocated or reset galvanized equipment must be cleaned and painted as specified in Section 75-1.05, "Galvanizing," for repairing damaged galvanized surfaces.
16. Stencil equipment number neatly on the standard or adjacent structure. Obtain number from the Engineer.
17. Perform painting neatly. The Engineer reserves the right to require use of brushes if the work performed by paint spraying machine is unsatisfactory.

### **86-3 CONTROLLER ASSEMBLIES**

#### **86-3.01 CONTROLLER ASSEMBLIES**

A controller assembly houses a complete mechanism for controlling the operation of traffic signals or other systems.

Model 170 and Model 2070, specified as a Model 170/2070 controller assembly, includes a Model 170, 170E or 2070 controller unit, a wired cabinet, and all auxiliary equipment required to control the system.

#### **86-3.02 (BLANK)**

#### **86-3.03 (BLANK)**

#### **86-3.04 CONTROLLER CABINETS**

Controller cabinets for controller assemblies other than Model 170/2070 must comply with the following:

##### **86-3.04A Cabinet Construction**

Cabinet must be rainproof and the top crowned 1/2 inch or slanted toward the back to prevent standing water. Cabinet and door must be manufactured from one of the following:

1. 0.073-inch minimum thickness cold-rolled steel with continuously-welded exterior seams
2. 0.073-inch minimum thickness stainless steel with overlapping exterior seams complying with Type 4 enclosures of the NEMA Enclosure Standards
3. 0.125-inch minimum thickness aluminum with continuously-welded exterior seams

Exterior welds must be ground smooth and edges filed to a radius of at least 0.03 inch.

Cabinet manufactured from cold-rolled steel must comply with Section 86-2.16, "Painting," and the following:

1. Cabinet manufactured from cold-rolled steel must be finished with a polymeric or an enamel coating system conforming to Color No. 14672 of Federal Standard 595B.
2. Cabinet must not have coating loss when 2 test specimens, 4" x 8", of the same material and coating as the cabinet are tested. Two 9-inch-diagonal scratches exposing bare metal will be made on a specimen. Soak specimen in demineralized water for 192 hours. Tightly affix a 1-inch wide strip of masking tape to the surface and remove with one quick motion. Specimen showing evidence of blistering, softening, or peeling of paint or coating from the base metal will be rejected. Testing must comply with California Test 645, except passing 180 Degree Bend Test is not required.
3. Metal must be prepared by the 3-step, iron phosphate conversion coating bonderizing technique.
4. Inside walls, doors, and ceiling of the housing must be the same as the outside finish.

Cabinet manufactured from stainless steel must comply with the following:

1. Use annealed or quarter-hard stainless steel that complies with ASTM A 666 for Type 304, Grades A or B.
2. Use gas tungsten arc welding (GTAW) process with bare stainless steel welding electrodes. Electrodes must comply with AWS A5.9 for ER308 chromium-nickel bare arc welding electrodes.

3. Procedures, welder, and welding operator must comply with requirements and practices recommended in AWS C5.5.
4. Ground or brush exposed, exterior surfaces of stainless steel cabinet to a 25 to 50-microinch finish using iron-free abrasives or stainless steel brushes.
5. After grinding or brushing, cabinet must not show rust discoloration when:
  - 5.1. Exposed for 48 hours in a salt spray cabinet as specified in ASTM B 117
  - 5.2. Exposed 24 hours in a tap water spray cabinet with the water temperature between 38 °C and 45 °C
6. After the test, cabinet showing rust discoloration anywhere on its surface will be rejected. Rejected cabinets may be cleaned, passivated, and resubmitted for testing.

Cabinet manufactured from aluminum sheet must comply with ASTM B 209 or B 209M for 5052-H32 aluminum sheet, and the following:

1. Use gas metal arc welding (GMAW) process with bare aluminum welding electrodes. Electrodes must comply with AWS A5.10 for ER5356 aluminum alloy bare welding electrodes.
2. Procedures, welder, and welding operator for welding must comply with requirements in AWS B3.0, "Welding Procedure and Performance Qualification," and to practices recommended in AWS C5.6.
3. Surface finish of each aluminum cabinet must comply with MIL-A-8625 for a Type II, Class I coating, except anodic coating must have a minimum thickness of 0.0007 inch and a minimum coating weight of 0.001 ounce per square inch. The anodic coating must be sealed in a 5 percent aqueous solution of nickel acetate, pH 5.0 to 6.5, for 15 minutes at 97 °C. Before applying anodic coating, clean and etch cabinets using the steps below:
  - 3.1. Clean by immersing into inhibited alkaline cleaner, Oakite 61A, Diversey 909, or equal, 6 to 8 ounces per gallon at 71 °C for 5 minutes.
  - 3.2. Rinse in cold water.
  - 3.3. Etch in solution of 1-1/2 ounce of sodium fluoride and 4 to 6 ounces of sodium hydroxide per gallon of distilled water at 60 °C to 65 °C for 5 minutes.
  - 3.4. Rinse in cold water.
  - 3.5. Immerse in 50 percent by volume nitric acid solution at room temperature for 2 minutes.
  - 3.6. Rinse in cold water.

Cabinet must have:

1. Single front door with:
  - 1.1. 44-inch maximum door width.
  - 1.2. Lock, when closed and latched, that is locked.
  - 1.3. Police panel mounted on door, equipped with a keyed lock and 2 police keys. Each police key must have a shaft at least 1-3/4 inch in length.
2. Dust-tight gasketing on all door openings, permanently bonded to the metal. Mating surface of the gasketing must be covered with silicone lubricant to prevent sticking.
3. Handle that:
  - 3.1. Allows padlocking in closed position
  - 3.2. Has a minimum length of 7 inches
  - 3.3. Has a 5/8-inch, minimum, steel shank
  - 3.4. Is manufactured of cast aluminum, or zinc-plated or cadmium-plated steel
4. Cabinet door frame with:
  - 4.1. Latching mechanism that:
    - 4.1.1. Holds tension on and forms a firm seal between door gasketing and frame.
    - 4.1.2. Is a 3-point cabinet latch with nylon rollers that have a minimum diameter of 3/4 inch and equipped with ball bearings.

4.1.3. Has a center catch and a pushrod made of zinc-plated or cadmium-plated steel. Pushrod must be at least 1/4" x 3/4" and turned edgewise at outer supports. Cadmium plating must comply with MIL-QQ-416. Zinc plating must comply with MIL-QQ-325.

4.2. Hinging that:

4.2.1. Has 3-bolt butt hinges, each having a stainless steel fixed pin. Hinges must be stainless steel or may be aluminum for aluminum cabinet.

4.2.2. Is bolted or welded to the cabinet. Hinge pins and bolts must not be accessible when door is closed.

4.2.3. Has a catch to hold the door open at 90 degrees and 180 degrees,  $\pm 10$  degrees, if a door is larger than 22 inches in width or 6 square feet in area. Catch must be at least 3/8-inch diameter, stainless steel plated rod capable of holding door open at 90 degrees in a 60 mph wind at an angle perpendicular to the plane of the door.

5. Lock that:

5.1. Is solid brass, 6-pin tumbler, rim type

5.2. Has rectangular, spring-loaded bolts

5.3. Is left hand and rigidly mounted with stainless steel machine screws approximately 2 inches apart

5.4. Extends 1/8 to 3/8 inch beyond the outside surface of door

6. 2 keys that are removable in the locked and unlocked positions.

Submit alternative design details for review and approval before manufacturing cabinet.

Use metal shelves or brackets that will support controller unit and auxiliary equipment.

Machine screws and bolts must not protrude outside the cabinet wall.

### **86-3.04B Cabinet Ventilation**

Each controller cabinet must have:

1. 8 screened, 1/2-inch diameter or larger, raintight vent holes, in lower side or bottom of cabinet. You may use louvered vents with a permanent metal mesh or 4-ply woven polypropylene air filter held firmly in place, instead.

2. Electric fan with ball or roller bearings and capacity of at least 100 cubic feet per minute. Fan must be thermostatically controlled and manually adjustable to turn on between 32 °C and 65 °C with a differential of not more than 6 °C between automatic turn on and turn off. Fan circuit must be fused at 125 percent of ampacity of installed fan motor.

Fan and cabinet vent holes must be positioned to direct bulk of airflow over controller unit or through ventilating holes of controller unit.

### **86-3.04C Cabinet Wiring**

Conductors used in controller cabinet wiring must:

1. Be neatly arranged and laced, or enclosed in plastic tubing or raceway.

2. End with properly sized captive or spring-spade terminal or be soldered to a through-panel solder lug on the back side of the terminal block. Apply crimp-style connector with proper tool to prevent opening of handle until crimp is completed.

Controller cabinet must have an equipment grounding conductor bus that is grounded to the cabinet and connected to metal conduit system or other approved ground with a No. 8, or larger, grounding conductor.

With all cabinet equipment in place and connected, resistance between grounded conductor terminal bus and equipment grounding conductor bus must be 50 M $\Omega$ , minimum, when measured with an applied voltage of 150 V(dc).

If direct current is to be grounded, connect to equipment ground only.

Use two or more terminal blocks for field connection. Install field terminal within 22 inches from front of cabinet and orient for screwdriver operation. Terminal must be a minimum of 5 inches above foundation.

No more than 3 conductors per terminal are allowed. Two flat metal jumpers, straight or U shaped, may be placed under terminal screw. At least 2 full threads of terminal screws must be fully engaged when screw is tightened. Live parts must not extend beyond the barrier.

### 86-3.05 CABINET ACCESSORIES

#### 86-3.05A Labels

Include permanently printed, engraved, or silk-screened label for equipment and removable items of equipment. Labeling must match cabinet wiring diagram. Label for shelf-mounted equipment must be on shelf face below item. Label for wall-mounted equipment must be below item.

#### 86-3.05B Convenience Receptacle

Mount convenience receptacle in a readily accessible location inside the cabinet. Convenience receptacle must be a duplex, 3-prong, NEMA 5-15R grounding type outlet that complies with UL Standard 943.

#### 86-3.05C Surge Arrestor

Surge arrestor must reduce effects of power line voltage transients and have ratings as follows:

Recurrent peak voltage	184 V(ac)
Energy rating, maximum	20 J
Power dissipation, average	0.85 W
Peak current for pulses less than 7 $\mu$ s	1,250 A

Standby current must be 1 mA or less for 120 V(ac), 60 Hz sinusoidal input.

#### 86-3.05D Terminal Blocks

Terminal block must be rated 600 V(ac), minimum, and have nickel-, silver-, or cadmium-plated brass binder head screw terminal.

Heavy duty terminal block must be rated at 20 A and have 12 position with No. 10 x 5/16-inch nickel-plated brass binder head screws and nickel-plated brass inserts. Each position must have 2 screw-type terminals. Terminal block must be barrier type with shorting bars in each of the 12 positions, and must have integral type marking strips.

Light duty terminal block must be rated at 5 A and have 12 positions with No. 6 x 1/8 inch binder head screws. Each position must have 1 screw-type terminal.

### 86-3.06 COMPONENTS

#### 86-3.06A Toggle Switches

Toggle switch must:

1. Have poles as required
2. Be rated at 200 percent of circuit current for circuits of 10 A or less and 125 percent of circuit current for circuits over 10 A

#### 86-3.06B Cartridge Fuses

Install cartridge fuse in panel-mounted fuseholder. Fuse type and rating must be as recommended by the fuse manufacturer for protecting the load.

#### 86-3.06C Circuit Breakers

Circuit breaker must comply with Section 86-2.11, "Service," except breaker must have a minimum interrupting capacity of 5,000 A, rms.

#### 86-3.06D Connectors

Use connector designed to interconnect various parts of circuit together and constructed for the application involved. Design connector for positive connection of circuit and easy insertion and removal of mating contacts. Connector must be permanently keyed to prevent improper connection of circuit.

Connector, or device plugging into connector, must have positive connection to prevent a circuit from breaking due to vibration, a pull on connecting cable, or similar disruptive force.

**86-4 TRAFFIC SIGNAL FACES AND FITTINGS**

**86-4.01 VEHICLE SIGNAL FACES**

Each vehicle signal face must:

1. Be adjustable and allow for 360-degree rotation about vertical axis
2. Comply with ITE publication ST-017B, "Vehicle Traffic Control Signal Heads"
3. Comply with California Test 604, except for arrow and "X" faces
4. Have 3 sections arranged vertically: red at top, yellow at center, and green at bottom
5. Be of the same manufacturer and material, if more than 1 is installed at an intersection, except for programmed visibility type
6. Be sealed with neoprene gasket at top opening
7. Be LED modules

**86-4.01A Signal Sections**

Each signal section must comply with the following:

1. Maximum height must be 10-1/4 inches for an 8-inch section and 14-3/4 inches for a 12-inch section.
2. Housing must:
  - 2.1. Be either die-cast or permanent mold-cast aluminum, or if specified, be structural plastic.
  - 2.2. Comply with ITE publication ST-017B if die-cast or permanent mold-cast aluminum is used.
  - 2.3. Have a 1-piece, hinged, square-shaped door designed to allow access for relamping without the use of tools. Door must be secured to hold the door closed during loading tests. Module or lens must be watertight and mounted in the door.
3. Hinge pins, door latching devices, and other exposed hardware must be Type 304 or 305 stainless steel. Interior screws and fittings must be stainless steel, or steel with a corrosion resistant plating or coating.
4. Opening must be placed on top and bottom to receive 1-1/2-inch pipe. The 8-inch and 12-inch sections of an individual manufacturer must be capable of joining to form a signal face in any combination. This interchangeability is not required between metal and plastic sections.
5. Gaskets must be made of a material that is not affected if installed in a section with metal or plastic housing that is continuously operated for 336 hours.

Structural failure is described as follows:

**Signal Section Structural Failure**

Signal Section Type	Requirements	Description of Structural Failure
Metal	California Test 666	Fracture within housing assembly or deflection of more than half the lens diameter of signal section during wind load test
Plastic	California Test 605	Fracture within housing assembly or deflection of more than 10 degrees in either the vertical or horizontal plane after wind load has been removed from front of signal face, or deflection of more than 6 degrees in either the vertical or horizontal plane after wind load has been removed from back of signal face

**86-4.01A(1) Metal Signal Sections**

Each metal signal section must have a metal visor. Metal signal faces requiring backplates must have metal backplates.

**86-4.01A(2) Plastic Signal Sections**

Housing must be molded in 1 piece, or fabricated from 2 or more pieces and joined into a single piece. Plastic must have ultraviolet stability, be unaffected by lamp heat, and be self-extinguishing. Housing and door must be colored throughout and be black, matching Color No. 17038, 27038, or 37038 of Federal Standard 595B.

Each face section must be joined to adjacent section by one of the following:

1. Minimum of 3 machine screws for 8-inch sections and 4 machine screws for 12-inch sections, installed through holes near front and back of housing. Each screw must be a No. 10 and have a nut, flat washer, and lock washer.
2. Two machine screws, each with a nut, flat washer, and lock washer, installed through holes near the front of the housing, and a fastening through the 1-1/2-inch pipe opening. Fastening must have 2 large flat washers to distribute the load around the pipe opening and 3 carriage bolts, each with a nut and lock washer. Minimum screw size must be No. 10. Minimum carriage bolt size must be 1/4 inch.

Supporting section of each signal face supported only at top or bottom must have reinforcement.

Reinforcement plate must be either sheet aluminum, galvanized steel, or cast aluminum. Each plate must be a minimum of 0.11-inch thick and have a hole concentric with 1-1/2-inch pipe-mounting hole in the housing. Place reinforcement plate as follows:

**Reinforcement Plate Placement**

Type of Reinforcement Plate	Placement
Sheet aluminum	Inside and outside of housing
Galvanized steel	Inside of housing
Cast aluminum	Outside of housing

Reinforcement plates placed outside of the housing must be finished to match signal housing color and be designed to allow proper serrated coupling between signal face and mounting hardware. Minimum of 3 No. 10 machine screws must be installed through holes in each plate and matching holes in the housing. Each screw must have a round or binder head, a nut, and lock washer.

If signal face is supported by a Type MAS side attachment slip-fitter inserted between 2 sections, place spacers between the 2 sections. Vertical dimension of spacers must allow proper seating of serrations between the slip-fitter and the 2 sections. In addition to the fastening through the large openings in housing, the 2 sections must join with at least 2 machine screws through holes near the front of housing and the spacers, and through matching holes in a reinforcing plate installed in housing. Machine screws must be No. 10 minimum size. Spacers must be made of same material as signal housing.

If reinforcing webs are used to connect back of housing to top, bottom, and sides, reinforcing plates are not required.

Holes for machine screws must be either cast or drilled during signal section manufacturing. Surround each hole with a 1/8-inch minimum width boss to allow contact between signal sections about axis of hole.

Each plastic signal section must have a plastic or metal visor. Plastic signal faces requiring backplates must have plastic backplates.

Serrated nylon washer must be inserted between each plastic signal section and metal mounting assembly. Each washer must be between 3/16- and 1/4-inch thick. Serrations must match those on signal section and mounting assembly.

#### **86-4.01B Electrical Components**

Conductors must be connected to a terminal block mounted inside, at the back of housing. Terminal block must have enough screw type terminals or NEMA type tab connectors to end all field and module or lamp conductors independently. Permanently identify terminal with field conductors attached or color code conductors to facilitate field wiring.

#### **86-4.01C Visors**

Include removable visor with each signal section. Comply with ITE publication ST-017B. Visors are classified by lens enclosure as full circle, tunnel or cap. Bottom opens for tunnel type and both, bottom and lower sides open for cap type. Visors must be tunnel type.

Visor must have a downward tilt between 3 and 7 degrees with a length of:

1. 9-1/2-inch minimum for nominal 12-inch round lenses
2. 7 inch for nominal 8-inch round lenses

Metal visor must be formed from 0.050-inch, minimum thickness, aluminum alloy sheet.

Plastic visor must be either formed from sheet plastic or assembled from one or more injection, rotational, or blow-molded plastic sections. Material must be of a black homogeneous color with lusterless finish. Sections must be joined using thermal, chemical, or ultrasonic bonding, or with aluminum rivets and washers permanently colored to match visor.

Secure each visor to its door and prevent removal or permanent deformation when wind load specified in California Test 605 for plastic visors or 666 for metal visors is applied to its side for 24 hours.

If directional louvers are used, fit louvers snugly into full-circular signal visors. Outside cylinder must be constructed of 0.030-inch nominal thickness, or thicker, sheet steel and vanes must be constructed of 0.016-inch nominal thickness, or thicker, sheet steel, or the cylinder and vanes must be constructed of 5052-H32 aluminum alloy of equal thickness.

#### **86-4.02 (BLANK)**

#### **86-4.03 (BLANK)**

#### **86-4.04 BACKPLATES**

Background light must not be visible between backplate and signal face or between sections.

Plastic backplates must be either formed from sheet plastic or assembled from extruded, molded, or cast sections. Sections must be factory joined using one of the following:

1. Appropriate solvent cement
2. Aluminum rivets and washers painted or permanently colored to match backplate
3. No. 10 machine screws with washers, lock washers, and nuts, painted to match backplate

Backplate material must be of black homogeneous color with a lusterless finish. Secure each plastic backplate to the plastic signal face in a manner that prevents its removal or permanent deformation when the wind-load test is applied to either the front or back of signal face. Permanent deformation of any portion of backplate must not exceed 5 degrees forward or backward after wind loading is applied for 24 hours.

If plastic backplate requires field assembly, join with at least 4 No. 10 machine screws at each field-assembled joint. Each machine screw must have an integral or captive flat washer, a hexagonal head slotted for a standard screwdriver, and either a locking nut or a nut and lockwasher. Machine screws, nuts, and washers must be stainless steel or steel with a zinc or black-oxide finish.

If a metal backplate has 2 or more sections, fasten sections with rivets or aluminum bolts peened after assembly to avoid loosening.

Instead of the screws shown on the plans, you may use self-threading No. 10 steel screws to fasten plastic backplates to plastic signal face. Each screw must have an integral or captive flat washer, a hexagonal head slotted for a standard screwdriver, and is stainless steel or steel with a zinc or black-oxide finish.

#### **86-4.05 PROGRAMMED VISIBILITY VEHICLE SIGNAL FACES**

Programmed visibility signal face and its installation must comply with Section 86-4.01, "Vehicle Signal Faces," Section 86-4.04, "Backplates," and Section 86-4.08, "Signal Mounting Assemblies."

Each programmed visibility signal section must:

1. Have a nominal 12-inch diameter circular or arrow indication
2. Comply with ITE publication ST-017B for color and arrow configuration
3. Have a cap visor
4. Have an adjustable connection that provides incremental tilting from 0 to 10 degrees above or below horizontal while maintaining a common vertical axis through couplers and mountings

Terminal connection must allow external adjustment about the mounting axis in 5-degree increments.

Signal must be mountable with ordinary tools and capable of servicing without tools. Preset adjustment at 4 degrees below horizontal.

Visibility of each programmed visibility signal face must be capable of adjustment or programming, within the face. When programmed, each signal face's indication must be visible only in those areas or lanes to be controlled, except that during dusk and darkness a faint glow to each side is allowed.

You must program the head as recommended by the manufacturer.

#### **86-4.06 PEDESTRIAN SIGNAL FACES**

Message symbols for pedestrian signal faces must be white "WALKING PERSON" and Portland orange "UPRAISED HAND." Comply with ITE Standards: "Pedestrian Traffic Control Signal Indications" and California MUTCD. Each symbol's height must be at least 10 inches and width must be at least 6-1/2 inches.

Luminance of "UPRAISED HAND" symbol must be 1,100 foot-lamberts, minimum, and luminance of "WALKING PERSON" symbol must be 1,550 foot-lamberts, minimum, when tested as specified in California Test 606.

Uniformity ratio of an illuminated symbol must not exceed 4 to 1 between the highest luminance area and the lowest luminance area.

Luminance difference between a nonilluminated symbol and the background around the symbol must be less than 30 percent when viewed with the visor and front screen in place and at a low sun angle.

Each housing, including front screen, must have maximum overall dimensions of 18-1/2-inch width, 19-inch height, and 11-1/2-inch depth.

All new pedestrian signal faces installed at an intersection must be the same make and type.

##### **86-4.06A Type A**

Each Type A pedestrian signal face must include a housing, 1 LED pedestrian signal combo module and a front screen.

##### **86-4.06B Front Screen**

Front screen installation for each Type A signal must comply with one of the following:

1. Install, tilting downward, at an angle of  $15 \pm 2$  degrees out from the top, an aluminum honeycomb screen with 0.2-inch cells, 3/8-inch thick, or a plastic screen of 3/8-inch squares, 1/2-inch thick with wall thickness of 1/16-inch. Completely cover message plate. Include a clear front cover of 1/8-inch minimum thickness acrylic plastic sheet or 1/16-inch minimum thickness polycarbonate plastic. Hold screen and cover firmly in place with stainless steel or aluminum clips or stainless steel metal screws.
2. Install a 1-1/2-inch deep eggcrate or Z crate type screen of 1/32-inch nominal thickness polycarbonate. Mount screening in a frame constructed of 0.040-inch minimum thickness aluminum alloy or polycarbonate. Install screen parallel to face of message plate and hold in place with stainless steel screws.

The Department will test screens in a horizontal position with its edges supported. When a 3-inch diameter, 4-pound steel ball is dropped on the screen from a height of 4 feet above, the front screen must not fracture, separate at the welds, or compress more than 1/8-inch. When pedestrian housing is used to support front screen during test, remove message plate from pedestrian signal housing, so there is no back support for the screen.

Screen and frame must be one of the following:

1. Manufactured from aluminum anodized flat black
2. Finished with lusterless black exterior grade latex paint formulated for application to properly prepared metal surfaces
3. Manufactured from flat black plastic

##### **86-4.06C Housing**

Pedestrian signal housing must comply with Section 86-4.01A, "Signal Sections."

##### **86-4.06D Finish**

Paint exterior of each housing as specified in Section 86-2.16, "Painting."

##### **86-4.06E Control**

Pedestrian signals must be controllable by solid-state switching devices specified for traffic signal controller assemblies.

##### **86-4.06F Terminal Blocks**

Include light duty terminal block, as specified in Section 86-4.01B, "Electrical Components," with each pedestrian signal face.

## **86-4.07 (BLANK)**

### **86-4.08 SIGNAL MOUNTING ASSEMBLIES**

Signal mounting assembly must include:

1. 1-1/2-inch standard steel pipe or galvanized conduit
2. Pipe fitting made of ductile iron, galvanized steel, aluminum alloy Type AC-84B No. 380, or bronze
3. Mast arm and post top slip-fitters, and terminal compartments made of cast bronze or hot-dip galvanized ductile iron

After installation, clean and paint exposed threads of galvanized conduit brackets and bracket areas damaged by wrench or vise jaws. Use wire brush to clean and apply 2 coats of approved unthinned zinc-rich primer, organic vehicle type, as specified in Section 91, "Paint." Do not use aerosol can.

Fit each terminal compartment with a terminal block having a minimum of 12 positions, each with 2 screw-type terminals. Each terminal must accommodate at least five No. 14 conductors. Include a cover on compartment for ready access to terminal block. Terminal compartment used to bracket mount signals must be bolted securely to pole or standard.

Horizontal dimension of mounting assembly members between vertical centerline of terminal compartment or slip-fitter, and the vertical centerline of each signal face must not exceed 11 inches, except where required for proper signal face alignment or to allow programming of programmed visibility signal faces.

Mounting assembly members must be plumb or level, symmetrically arranged, and securely assembled.

Mounting assembly must be watertight, and free of sharp edges or protrusions that might damage conductor insulation. Include positive locking serrated fittings that, if mated with similar fittings on signal faces, will prevent faces from rotating.

Orient each mounting assembly to allow maximum horizontal clearance to adjacent roadway.

Use slip-fitter for post-top mounting of signals. Fit slip-fitter over a 4-1/2-inch outside diameter pipe or tapered standard end. Include cadmium-plated steel set screws. Include an integral terminal compartment for each slip-fitter used to post-top mount signals with brackets.

Do not install signal faces at an intersection until all other signal equipment, including complete controller assembly, is in place and ready for operation. You may mount signal faces if covered or not directed toward traffic.

### **86-4.09 FLASHING BEACONS**

Flashing beacon must include:

1. Single section traffic signal face with yellow or red LED module indications
2. Backplate
3. Tunnel visor
4. Flashing beacon control assembly

Beacon flasher unit must be independent of intersection flasher unit.

#### **86-4.09A Flashing Beacon Control Assembly**

##### **86-4.09A(1) Enclosure**

Enclosure must be:

1. NEMA 3R with a dead front panel and a hasp with a 7/16-inch hole for a padlock
2. Powder coated, hot-dip galvanized, or factory-applied rust resistant prime coat and finish coat

##### **86-4.09A(2) Circuit Breakers and Switches**

Circuit breakers must comply with Section 86-2.11, "Service."

Switch for manually operating sign lighting circuit must be a single-hole-mounting toggle type with a single pole and throw and rated at 12 A, 120 V(ac). Furnish switch with an indicating nameplate reading "Auto-Test."

##### **86-4.09A(3) Flasher**

Comply with Section 8, "Solid-State Flashers," of NEMA Standards publication No. TS 1. Flasher must be a solid-state device with no contact points or moving parts.

Include 2 output circuits to allow alternate flashing of signal faces. Flasher must be able to carry a minimum of 10 A per circuit at 120 V(ac).

**86-4.09A(4) Wiring**

Conductors and wiring in the enclosure must comply with Section 86-2.09B(1), "Cabinet and Enclosure Installation."

**86-4.09A(5) Terminal Blocks**

Terminal blocks must be:

1. Rated 25 A, 600 V(ac)
2. Molded phenolic or nylon material
3. Barrier type with plated brass screw terminals and integral marking strips

**86-5 DETECTORS**

**86-5.01 VEHICLE DETECTORS**

Sensor unit and isolator must comply with TEES.

**86-5.01A Inductive Loop Detectors**

**86-5.01A(1) General**

Inductive loop detector includes a completely installed loop or group of loops, in the roadway, lead-in cable, and a sensor unit, with power supply installed in a controller cabinet.

**86-5.01A(2) (Blank)**

**86-5.01A(3) Construction Materials**

Conductor for each inductive loop detector must be continuous, unspliced, and one of the following:

**Conductor Options for Inductive Loop Detector**

Option	Specifications
Type 1 loop wire	Type RHW-USE neoprene-jacketed or Type USE cross-linked polyethylene insulated, No. 12, stranded copper wire with a 40 mils minimum thickness at any point.
Type 2 loop wire	Type THWN or Type XHHW, No. 14, stranded copper wire in a plastic tubing. Plastic tubing must be polyethylene or vinyl, rated for use at 105 °C, and resistant to oil and gasoline. Outside diameter of tubing must be 0.27 inch maximum with a wall thickness of 0.028 inch minimum.

Conductor for loop detector lead-in cable must be two No. 16, 19 x 29, stranded, tinned copper wires, comply with the calculated cross sectional area of ASTM B 286, Table 1, and be one of the following:

**Conductor Options for Loop Detector Lead-In Cable**

Option	Specifications
Type B lead-in cable	Insulated with 20 mils of high-density polyethylene. Conductors must be twisted together with at least 2 turns per foot and the twisted pair must be protected with a copper or aluminum polyester shield. A No. 20, minimum, copper drain wire must be connected to equipment ground within cabinet. Cable must have a high-density polyethylene or high-density polypropylene outer jacket with a nominal thickness of 32 mils. Include an amorphous interior moisture penetration barrier of nonhydroscopic polyethylene or polypropylene fillers.
Type C lead-in cable	Comply with International Municipal Signal Association (IMSA) Specification No. 50-2. A No. 20, minimum, copper drain wire must be connected to equipment ground within cabinet.

#### 86-5.01A(4) Installation Details

Install loop conductors without splices and end in nearest pull box. Seal open end of cable jacket or tubing similar to splicing requirements to prevent water from entering. Do not make final splices between loops and lead-in cable until loop operations under actual traffic conditions is approved.

Splice all loop conductors for each direction of travel for same phase of a traffic signal system, in same pull box, to a detector lead-in cable that runs from pull box adjacent to loop detector to a sensor unit mounted in controller cabinet.

End all loop conductors in a pull box or terminal strip in the cabinet.

Identify and band conductors for inductive loop installations. Band, in pairs, by lane, in the pull box adjacent to the loops and near the end of conductors in the cabinet. Bands must comply with Section 86-2.09, "Wiring."

If HMA surfacing is to be placed, install loop conductors before placing uppermost layer of HMA. Install conductors in compacted layer of HMA immediately below the uppermost layer. Install conductors as shown on the plans, except fill slot with sealant flush to the surface.

When cutting loops:

1. Residue from slot cutting activities must not be allowed to flow across shoulders or lanes occupied by public traffic and must be removed from the pavement surface before residue flows off. Dispose of residue from slot cutting activities under Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way."
2. Surplus sealant must be removed from adjacent road surface without using solvents before setting.

Sealant for filling slots must comply with one of the following:

#### Elastomeric Sealant

Polyurethane material that will, within stated shelf life, cure only in the presence of moisture. Sealant must be suitable for use in both HMA and PCC.

The cured sealant must have the following performance characteristics:

**Performance Characteristics of Cured Sealant**

Specification	ASTM	Requirement
Hardness (indentation) at 25 °C and 50% relative humidity. (Type A, Model 1700 only)	D 2240 Rex.	65-85
Tensile Strength: Pulled at 508 mm per minute	D 412 Die C	3.45 MPa, min.
Elongation: Pulled at 508 mm per minute	D 412 Die C	400%, min.
Flex at -40 °C: 0.6-mm free film bend (180°) over 13-mm mandrel	--	No cracks
Weathering Resistance: Weatherometer 350 h, cured 7 days at 25 °C @ 50% relative humidity	D 822	Slight chalking
Salt Spray Resistance: 28 days at 38 °C with 5% NaCl, Die C & pulled at 508 mm per minute	B 117	3.45 MPa, min. tensile 400%, min. elongation
Dielectric Constant over a temperature range of -30 °C to 50 °C	D 150	Less than 25% change

#### Asphaltic Emulsion Sealant

Comply with State Specification 8040-41A-15. Use for filling slots in HMA pavement that are a maximum of 5/8 inch in width. Do not use where the slope causes the material to run from the slot. Material must not be thinned beyond manufacturer's recommendations. Place material when air temperature is at least 7 °C.

#### Hot-Melt Rubberized Asphalt Sealant

Hot-melt rubberized asphalt must be:

1. In solid form at room temperature and fluid at application temperature of 190 °C to 205 °C. Fumes must be non-toxic.

2. Suitable for use in both HMA and PCC.
3. Melted in a jacketed, double-boiler type melting unit. Temperature of heat transfer medium must not exceed 245 °C.
4. Applied with a pressure feed applicator or pour pot, when the pavement surface temperature is greater than 4 °C.
5. Packaged in containers clearly marked "Detector Loop Sealant" and specifying manufacturer's batch and lot number.

The cured sealant must have the following performance characteristics:

<b>Performance Characteristics of Cured Sealant</b>		
Specification	ASTM	Requirement
Cone Penetration, 25 °C, 150 g, 5 s	D 5329, Sec. 6	3.5 mm, max
Flow, 60 °C	D 5329, Sec. 8	5 mm, max
Resilience, 25 °C	D 5329, Sec. 12	25%, min
Softening Point	D 36	82 °C, min
Ductility, 25 °C, 50 mm/min	D 113	300 mm, min
Flash Point, COC, °C	D 92	288 °C, min
Viscosity, Brookfield Thermosel, No. 27 Spindle, 20 rpm, 190 °C	D 150	Less than 25% change

#### **86-5.01B Magnetic Detectors**

Cable from pull box, adjacent to magnetic detector sensing element, to the field terminals in the controller cabinet must be the type specified for inductive loop detectors.

#### **86-5.02 PEDESTRIAN PUSH BUTTON ASSEMBLIES**

Housing must be either die-cast or permanent mold-cast aluminum, or ultraviolet stabilized, self-extinguishing structural plastic, if specified. Plastic housing must be black matching Color No. 17038, 27038 or 37038 of Federal Standard 595B, and colored throughout. Assembly must be rainproof and shockproof in any weather condition.

Switch must be a single-pole, double-throw, switching unit, with screw type terminals, rated 15 A at 125 V(ac), and must have:

1. Plunger actuator and a U frame to allow recessed mounting in push button housing
2. Operating force of 3.5 pounds
3. 1/64-inch maximum pretravel
4. 7/32-inch minimum overtravel
5. 0.0004- to 0.002-inch differential travel
6. 2-inch minimum diameter actuator

Where pedestrian push button is attached to a pole, shape housing to fit the pole curvature and secure. Include saddles to make a neat fit if needed.

Where a pedestrian push button is mounted on top of a 2-1/2-inch diameter post, fit housing with a slip-fitter and use screws for securing rigidly to post.

Pedestrian push button signs must be porcelain enameled metal or structural plastic.

Install push button and sign on crosswalk side of pole.

Point arrows on push button signs in the same direction as the corresponding crosswalk.

Attach sign on Type B push button assembly.

For Type C pedestrian push button assembly, mount instruction sign on the same standard as the push button assembly, using 2 straps and saddle brackets. Straps and saddle brackets must be corrosion-resisting chromium nickel steel and comply with ASTM A 167, Type 302B. Theft-proof bolts must be stainless steel with a chromium content of at least 17 percent and a nickel content of at least 8 percent.

### **86-6 LIGHTING**

#### **86-6.01 HIGH PRESSURE SODIUM LUMINAIRES**

High pressure sodium luminaires must be the enclosed cutoff type.

Housing must be manufactured from aluminum. Painted or powder-coated housing must withstand a 1,000-hour salt spray test as specified in ASTM B 117.

Other metal parts must be corrosion resistant.

Each housing must include a slip-fitter that can be mounted on a 2-inch pipe tenon and can be adjusted 5 degrees from the axis of the tenon. Clamping brackets of slip-fitter must not bottom out on housing bosses when adjusted within the  $\pm 5$  degree range.

The slip-fitter mounting bracket must not permanently set in excess of 0.020-inch when the 3/8-inch diameter cap screw used for mounting is tightened to 10 foot-pounds.

Luminaire to be mounted horizontally on mast arm, when tested as specified in California Test 611, must be capable of withstanding cyclic loading for a minimum of 2 million cycles without failure of any luminaire parts as follows:

#### Cyclic Loading

Plane	Internal Ballast	Minimum Peak Acceleration Level <sup>a</sup>
Vertical	Removed	3.0 G peak-to-peak sinusoidal loading (same as 1.5 G peak)
Horizontal <sup>b</sup>	Installed	1.5 G peak-to-peak sinusoidal loading (same as 0.75 G peak)
Vertical	Installed	1.0 G peak-to-peak sinusoidal loading (same as 0.5 G peak)

<sup>a</sup>G = Acceleration of gravity

<sup>b</sup>Perpendicular to direction of mast arm

If a photoelectric unit receptacle is included, a raintight shorting cap must be installed. If luminaire housing has a hole for the receptacle, hole must be permanently closed, covered, and sealed with weatherproof material.

Optical system must be in a sealed chamber and include:

1. Reflector shaped so that a minimum of light is reflected through the arc tube of the lamp. Reflector surface must be specular and protected by either an anodized finish or a silicate film on its specular surface.
2. Refractor or lens mounted in a door frame that is hinged to the housing and secured with a spring-loaded latch. Refractor must be made of glass or polycarbonate plastic. Lens must be made of heat- and impact-resistant glass.
3. Lamp socket that is a porcelain enclosed mogul-multiple type. Shell must include integral lamp grips to assure electrical contact under conditions of normal vibration. Socket must be mounted in the luminaire to allow presetting a variety of specified light distribution patterns. Socket must be rated for 1,500 W and 600 V(ac), and a 4 kV pulse.
4. Lamp.

Sealing must be provided by a gasket between the reflector and:

1. Refractor or lens
2. Lamp socket

Chamber must allow for filtered flow of air in and out of the chamber from lamp heat. Filtering must be accomplished by either a separate filter or a filtering gasket.

If components are mounted on a down-opening door, door must be hinged and secured to luminaire housing separately from refractor or flat lens frame. Door must be easily removable and replaceable, and secured to housing to prevent accidental opening when refractor or flat lens frame is opened.

Field wires connected to luminaire must terminate on a barrier-type terminal block secured to the housing. Terminal screws must be captive and equipped with wire grips for conductors up to No. 6. Each terminal positions must be clearly identified.

Minimum light distribution for each luminaire must meet the isolux diagrams.

Maximum brightness of each cutoff luminaire, with the lamp indicated, must be as follows:

### Cutoff Type

Lamp ANSI Code No.	Lamp Wattage	Maximum Brightness foot-lamberts
S55	150	40
S66	200	40
S50	250	50
S67	310	60
S51	400	75

Brightness readings will be taken using a brightness meter with an acceptance angle of 1.5 degrees. When measured on the 90-degree and 270-degree lateral angle line, maximum brightness must not exceed above specified brightness when meter is located at a horizontal distance of 120 feet and a vertical distance of 7.5 feet between luminaire and meter, or at an angle of 3 degrees 35 minutes from the horizontal to the line between luminaire and meter. Measurements must be made from 90-degree line and 270-degree line, and averaged. Lamp used for each test must operate at wattage necessary to produce the following light output:

### Light Output

Lamp Wattage	Lumens
150	16,000
200	22,000
250	27,000
310	37,000
400	50,000

#### 86-6.01A High Pressure Sodium Lamp Ballasts

Each ballast must:

1. Operate the lamp for its rated characteristics and wattage
2. Continuously operate at ambient air temperatures from -20 °C to 25 °C without reduction in ballast life
3. Operate for at least 180 cycles of 12 hours on and 12 hours off, with the lamp circuit in an open or short-circuited condition and without measurable reduction in operating requirements
4. Have a design life of not less than 60,000 hours
5. Provide proper starting and operating waveforms, voltage, and current
6. Provide reliable lamp starting and operation at ambient temperature down to -20 °C for the rated life of lamp

Ballast must be tested as specified in ANSI C82.6-1980, "Methods of Measurement of High-Intensity-Discharge Lamp Ballasts."

Starting aids for ballast of a given lamp wattage must be interchangeable between ballasts of same wattage and manufacturer, without adjustment.

Each integral ballast must consist of separate components that can be easily replaced. An encapsulated starting aid will be counted as a single component. Each component must include screw terminals, NEMA tab connectors, or a single multi-circuit connector. Conductors and terminals must be identified.

Mount heat-generating component so as to use the portion of the luminaire it is mounted to as a heat sink. Place capacitor a maximum practicable distance from heat-generating components or thermally shield to limit the case temperature to 75 °C.

Transformer and inductor must be resin-impregnated for protection against moisture. Capacitors, except those in starting aids, must be metal cased and hermetically sealed.

The Department will test high-pressure sodium lamp ballast. High-pressure sodium lamp ballast must have a characteristic curve that will intersect both of the lamp-voltage limit lines between the wattage limit lines and remain between the wattage limit lines throughout the full range of lamp voltage. This requirement must be met at the rated input voltage of the ballast and at the lowest and highest rated input voltage of the ballast.

Throughout the lifetime of the lamp, ballast curve must fall within the specified limits of the lamp voltage and wattage.

Ballast for luminaires must be located in the luminaire housing.

#### 86-6.01A(1) Regulator Type Ballasts

Regulator type ballast must comply with the following:

1. For nominal input voltage and lamp voltage, ballast design center must not vary more than 7.5 percent from rated lamp wattage.
2. Ballast must be designed for a capacitance variance of  $\pm 6$  percent that will not cause more than  $\pm 8$  percent variation in lamp wattage regulation during rated lamp life.
3. Lamp current crest factor must not exceed 1.8 for input voltage variation of  $\pm 10$  percent at any lamp voltage during lamp life.

Regulator-type ballast must be one of the following:

**Regulator-Type Ballast**

Ballast Type	Power Factor	Lamp Regulation
Lag-type <sup>a</sup>	Not less than 90 percent throughout the life of lamp when ballast is operated at nominal line voltage with a nominally-rated reference lamp	Lamp wattage regulation spread does not vary by more than 18 percent for $\pm 10$ percent input voltage variation from nominal through life
Lead-type <sup>b</sup>	Not less than 90 percent throughout the life of lamp when ballast is operated at nominal line voltage with a nominally-rated reference lamp	Lamp wattage regulation spread does not vary by more than 30 percent for $\pm 10$ percent input voltage variation from nominal through life

<sup>a</sup>Primary and secondary windings must be electrically isolated

<sup>b</sup>Constant wattage autoregulator (CWA)

**86-6.01A(2) Nonregulator Type Ballasts**

Each nonregulator type ballast must comply with the following:

1. For nominal input voltage and lamp voltage, ballast design center must not vary more than 7.5 percent from rated lamp wattage.
2. Lamp current crest factor must not exceed 1.8 for input voltage variation of  $\pm 5$  percent at any lamp voltage during lamp life.

**Nonregulator-Type Ballast**

Ballast Type	Power Factor	Lamp Regulation
Autotransformer or High-Reactance	Not less than 90 percent throughout the life of lamp when ballast is operated at nominal line voltage with a nominally-rated reference lamp	Lamp wattage regulation spread does not vary by more than 25 percent for $\pm 5$ percent input voltage variation from nominal through life

**86-6.01B High Pressure Sodium Lamps**

High pressure sodium lamps must comply with ANSI C 78.42, "High Pressure Sodium Lamps," when tested as specified in ANSI C 78.389, "American National Standard for Electric Lamps - High Intensity Discharge-Methods of Measuring Characteristics." High pressure sodium lamps must have a minimum average rated life of 24,000 hours.

**86-6.02 LOW PRESSURE SODIUM LUMINAIRES**

Each low pressure sodium luminaire must be completely assembled with a lamp and ballast, and must:

1. Be the enclosed type, either semi-cutoff or cutoff type.
2. Include housing, reflector, refractor or lens, lamp socket, integral ballast, removable ballast tray, lamp support, terminal strip, capacitor, and slip fitter. Reflector may be an integral part of the housing.

Luminaire housing must be minimum 1/16-inch thick, corrosion resistant die cast aluminum sheet and plate with concealed continuous welds, or minimum nominal wall thickness of 3/32-inch thick acrylonitrile-butadiene-styrene sheet material, on a cast aluminum frame that provides mounting for all electrical components and slip fitter.

Housing must be divided into optical and power compartments that are individually accessible for service and maintenance. Position and clamp luminaire to pipe tenon by tightening mounting bolts.

Painted exterior surface of luminaire must be finished with a fused coating of electrostatically applied polyester powder paint or other ultraviolet inhibiting film. Color must be aluminum gray.

High temperature neoprene, or equal, sealing ring must be installed in pipe tenon opening to prevent entry of water and insects into power and optical compartments.

Access to power unit assembly must be through a weathertight hinged cover, secured with spring type latches or captive screws, to luminaire housing.

Hardware must be stainless steel or cadmium plated. Use machine screws or bolts to secure removable components. Do not use sheet metal screws.

Semi-cutoff luminaires and molded refractor style cutoff luminaires must include a refractor. Other cutoff luminaires must include a flat lens.

Refractor must be 1-piece injection molded polycarbonate of 3/32 inch minimum thickness, or 1-piece injection molded acrylic of 1/8 inch minimum thickness. Flat lens must be 1-piece polycarbonate of 3/32 inch minimum thickness, mounted to metal frame. Refractor assembly and flat lens assembly must be constructed to rigidly maintain its shape, and hinged and secured with spring type latches to luminaire housing. Alternate methods of manufacturing refractor may be approved provided minimum specified thicknesses are maintained.

Lamp socket must be high temperature, flame retardant thermoset material with self-wiping contacts or equivalent. Socket must be rated for 660 W and 1,000 V(ac). Position of socket and support must maintain the lamp in correct relationship with reflector and refractor for designed distribution pattern.

Isofootcandle distribution must be ANSI Type III, short or Type IV, medium distribution, for cutoff or semi-cutoff luminaires.

With a 40-foot mounting height, each type of luminaire must maintain a minimum of 0.2 footcandle at least 60 feet each side, along the longitudinal roadway line below the luminaire, and a minimum of 0.35 footcandle at a transverse roadway distance from luminaire location equal to 1.5 times the luminaire mounting height.

Certified luminaire performance data must be provided. This data must include complete photometric test data in isofootcandle charts at a scale of 1 inch equals 20 feet, for the luminaire and lamp sizes shown on the plans.

Alternate data may be in horizontal footcandle values recorded on a 15' x 15' area extending 90 feet longitudinally each side of the light source, and 15 feet behind and 90 feet in front of the light source, for luminaire and lamp sizes, and mounting height shown on the plans. Horizontal footcandle levels in data submitted must equal or exceed levels specified. Failure to meet referenced values will be justification for rejection of the luminaires.

Photometric testing must be performed and certified by an independent and recognized testing laboratory.

Low pressure sodium lamps must:

1. Be 180 W, single-ended, bayonet base, tubular gas discharge lamp
2. Maintain a minimum of 93 percent of initial lumens during rated life and must comply with the following minimum performance requirements:

#### **Performance Requirements**

Lamp Designation	ANSI L74-RF-180
Initial Lumens	33,000 lumens
Rated Ave. Life (@ 10 hrs/Start)	18,000 hours
Operating Position	Horizontal ±20 degrees

3. Reach 80 percent of light output within 10 minutes and must restrike within 1 minute after an outage due to power interruption or voltage drop at the lamp socket
4. Identify the month and year of installation.
5. Have an autotransformer or high-reactance type ballast. The ballast must comply with the following:
  - 5.1. Lamp current crest factor must not exceed 1.8 at nominal line voltage
  - 5.2. Ballast loss must not exceed 24 percent for 180 W ballast at nominal line voltage

### Autotransformer or High-Reactance Type Ballast

Ballast Type	Power Factor	Lamp Operation
Autotransformer or High-Reactance	Not less than 90 percent when ballast is operated at nominal line voltage with a nominally-rated reference lamp	Lamp wattage regulation spread does not vary by more than $\pm 6$ percent for $\pm 10$ percent input voltage variation from nominal through life

A multi-circuit connector must be included for quick disconnection of ballast tray.

#### 86-6.03 SOFFIT AND WALL LUMINAIRES

Soffit and wall luminaire must be weatherproof and corrosion resistant.

Each flush-mounted soffit luminaire must consist of:

1. Metal body with two 1-inch minimum conduit hubs and provisions for anchoring into concrete
2. Prismatic refractor made of heat-resistant polycarbonate mounted in a door frame and clearly identified as to street side
3. Specular anodized aluminum reflector
4. Ballast located either within housing or in a ceiling pull box as shown on the plans
5. Lamp socket

The door frame assembly must be hinged, gasketed, and secured to body by at least 3 machine screws.

Each pendant soffit luminaire must be enclosed and gasketed, have an aluminum finish, and include:

1. Reflector with a specular anodized aluminum finish
2. Refractor made of heat-resistant polycarbonate
3. Optical assembly hinged and latched for lamp access and a device to prevent dropping
4. Ballast designed for operation in a raintight enclosure
5. Galvanized metal box with a gasketed cover, 2 captive screws, and 2 chains to prevent dropping and for luminaire mounting

Each wall-mounted luminaire must consist of:

1. Cast metal body
2. Prismatic refractor, made of glass, mounted in a door frame
3. Aluminum reflector with a specular anodized finish
4. Integral ballast
5. Lamp socket
6. Gasket between refractor and body
7. At least two 5/16-inch minimum diameter mounting bolts

Cast-aluminum bodies to be cast into or mounted against concrete must have a thick application of alkali-resistant bituminous paint on all surfaces to be in contact with concrete.

Each soffit luminaire and wall luminaire must include a 70 W high-pressure sodium lamp with a minimum average rated life of 24,000 hours. Each lamp socket must be positioned to locate the light center of the lamp within 1/2 inch of light center location of the luminaire design.

Ballast must comply with Section 86-6.01A, "High Pressure Sodium Lamp Ballasts." Wall luminaire ballast must be located in luminaire housing or, if shown on the plans, in a pull box adjacent to luminaire.

#### 86-6.04 PEDESTRIAN CROSSING FIXTURES

Before starting fixture manufacturing, submit fixture design for approval. If requested, submit 1 complete prototype fixture for approval at least 30 days before manufacturing the fixtures. The prototype fixture will be returned to you, and if permitted, the fixture may be installed in the work.

Lens unit in door section must be formed of 1-1/2-inch methyl methacrylate rod cut and fire-glazed for a clear finish or a cast unit with equivalent tolerances and finish.

Lens must be secured to door section with an extruded lens retainer of 6063-T5 aluminum alloy that fits the lens shape. Lens retainer must fit the full length of lens on both sides. Continuous lens retainer for the full length of 3 lenses is allowed. Z bars of 5052-H32 or 5005-H14 aluminum alloy, 1/16 inch minimum thickness may be substituted for extruded lens retainer.

A captive positive-keyed screw-type latching device requiring a special socket wrench must be installed at upper edge to secure door in the closed position as shown on the plans. Furnish 2 special wrenches to the Engineer.

Each fixture must include a F48T12/CW rapid start fluorescent lamp with recessed, double contact base installed on back side of door directly behind lens.

Each lampholder must be UL listed for outdoor use without an enclosure and with 1,500 mA rapid start fluorescent lamp. Lampholder must be spring-loaded type.

For each lamp, the distance from face of lampholder to the lamp must be designed to provide a compression of at least 0.10-inch on the spring-type lampholder when lamp is in place. Lamp must have positive mechanical and electrical contact when lamp is in place. Socket on spring-type lampholder must have enough travel to allow lamp installation. Spring must not be a part of current-carrying circuit.

Ballast must be high-power-factor type with weatherproof leads for operation of one 48-inch rapid-start lamp. Ballast must be UL listed for outdoor operation on 110 to 125 V(ac) 60 Hz circuit and rated at 1,500 mA.

Conductors from ballast leads to lampholder must be minimum size of No. 16, stranded, and UL-listed copper AWM. Splicing of lampholder conductors to ballast leads must be performed by using mechanically secure connectors.

Conductors in fixture except ballast leads and entrance line conductors, must be UL-listed AWM.

Provide sufficient slack in the conductors to allow the fixture door to fully open.

Circuit conductors entering the fixture must be terminated on molded phenolic barrier-type terminal blocks rated at 15 A and 600 V(ac) and must have integral-type white waterproof-marking strips. Current-carrying parts of terminal blocks must be insulated from fixture with integral plugs or strips to provide protection from line-to-ground flashover voltage. Terminal blocks must be attached to wireway cover in top section. If you use sectionalized terminal blocks, each section must include an integral barrier on each side and be capable of rigid mounting and alignment.

Exposed surfaces of fixture must be uniform in appearance and free from significant defects, including improper fit, dents, deep scratches and abrasions, burrs, roughness, off-square ends, holes off-center or jagged, and surface irregularities. Screws for attaching components to fixture door, including Z bars, ballasts, and terminal block, must be tapped into door from the inside only. Screwheads, nuts, or other fasteners must not be removable from the outside.

#### **86-6.04A Pedestrian Undercrossing Fixtures**

Fixture shell must be cast aluminum alloy, industrial type or Federal Class 18 aluminum of 1/4 inch minimum thickness.

Door must be 1 piece of 6061-T6 aluminum alloy of 1/8 inch minimum thickness.

Continuous piano hinge must be Type 1100 aluminum alloy. The piano hinge must be welded or riveted to door section with 1/8 inch aluminum rivets. Matching holes must be drilled in the hinge and lower edge of fixture. After shell is in place, door assembly must be attached by minimum 3/8-inch No. 8 stainless steel self-tapping screws.

A neoprene gasket must be attached to frame to provide a cushion between the shell and the door.

Chain or other device must be included to prevent the door, when fully opened, from coming in contact with the undercrossing wall.

Fixture must be held in place by three 3/8" x 8" anchor bolts with 2 nuts each.

Fixture surfaces in contact with concrete, and with anchor bolts and nuts must be painted with a thick application of alkali-resistant bituminous paint. Paint must comply with MIL-P-6883.

Circuit conductor entering the fixture must be terminated on 2-position terminal blocks.

Both ends of fixture must have holes for 1-inch conduit. Unused holes must be plugged with pressed metal closures.

#### **86-6.04B Pedestrian Overcrossing Fixtures**

Fixture shell must consist of:

1. Top section and a door section of extruded 6063-T5 aluminum alloy, each with a nominal 1/8 inch wall thickness
2. 2 cast-end sections of 319 aluminum alloy
3. Internal wireway cover of 505-H32 aluminum alloy

Top section and door section must be joined together on one side by a continuous hinge formed as part of the 2 extrusions and must overlay to allow locking on the other side. Hinge must be treated with a silicone grease that will prevent the entrance of water by capillary action.

Wireway cover with 3/16 inch hemmed ends up and terminal blocks and circuit conductors must be inserted before welding end sections and must provide clearance at both ends for conductors. Cover must be fastened by at least two 1/4 inch No. 4 self-threading sheet metal screws with binding head and blunt point. You may substitute blind rivets of equivalent strength.

One or more bronze sash chains or other device must be included to prevent door from opening to an extent that will damage the hinge.

Lampholder must include heat-resistant circular cross section neoprene sealing gasket, silver-coated contacts, and waterproofed lead entrance for use with a 1,500 mA rapid start fluorescent lamp.

Ballast must be at most 13-1/4 inches long.

Circuit conductors entering the fixture must be terminated on 3-position terminal blocks.

Electrical system of pedestrian overcrossing must be grounded by a No. 8 copper wire installed in conduit from fixture to fixture, from end fixture to conduit fitting on end post and from conduit fitting on end post to grounding bushing in nearest pull box.

Ground wire must be secured to inside of telescoping sleeve end casting where conductors are carried and to the inside of Type LB conduit fitting on end post by a connecting lug and a No. 8 self-threading pan screw.

Lamp, lampholder, ballast, and fixture wire, must be attached to door section. Terminal blocks must be attached to top section or wireway cover.

Three No. 10, solid copper circuit conductors must be installed between terminal blocks as part of each completed fixture.

Before shipment to job site, fixture must be completely manufactured and assembled in the shop.

#### **86-6.05 INDUCTION SIGN LIGHTING FIXTURES**

Each induction sign lighting fixture must include housing with door, reflector, refractor or lens, lamp, power coupler, high frequency generator, socket assembly, fuse block, and fuses.

Each induction sign lighting fixture must:

1. Be designed for mounting near the bottom of sign panel on an overhead sign structure.
2. Be an enclosed design and be raintight and corrosion resistant.
3. Have a minimum average rating of 60,000 hours.
4. Be for a wattage of 87 W, 120/240 V(ac).
5. Have a power factor greater than 90 percent and total harmonic distortion less than 10 percent.
6. Be UL approved for wet locations and be FCC Class A-listed.
7. Not exceed 44 pounds in weight.
8. Include the manufacturer's brand name, trademark, model number, serial number, and date of manufacture on packaged assembly. Same information must be permanently marked on the outside and inside of housing.
9. Comply with minimum horizontal footcandle requirement shown on the plans.
10. Be a maximum height of 12 inches above the top of the mounting rails.

If fixture is located so that the light center of the lamp is 55 inches in front of, 1 foot below, and centered on a 10-foot high by 20-foot wide sign panel, the ratio of maximum to minimum illuminance level on the panel must not exceed 12 to 1 in 95 percent of the points measured. Illuminance gradient must not exceed 2 to 1 and is defined as the ratio of minimum illuminance on a 1-foot square of panel to that on an adjacent 1-foot square of panel.

Each fixture must have a mounting assembly that will allow fixture to be mounted on continuous slot channels. Mounting assembly must be either cast aluminum, hot-dip galvanized steel plate, or steel plate that has been galvanized and finished with a polymeric coating system or same finish that is used for housing.

Housing must have a door designed to hold a refractor or lens, and to open without the use of special tools. Housing and door must be manufactured of sheet or cast aluminum, and have a powder coat or polyester paint finish of a gray color resembling unfinished manufacturing. Sheet aluminum must comply with ASTM B 209 or B 209M for 5052-H32 aluminum sheet. External bolts, screws, hinges, hinge pins, and door closure devices must be corrosion resistant.

Housing must include weep holes.

Door must be hinged to housing on side of fixture away from the sign panel and include 2 captive latch bolts or other latching device. Door must be designed to lock in the open position, 50 degrees minimum from the plane of the door opening, with an 85-mph 3-second-wind-gust load striking the door from either side.

Door and housing must be gasketed to be raintight and dusttight. Thickness of gasket must be 1/4 inch, minimum.

Fixture height must be less than 12 inches above the top of mounting rails.

Reflector must be 1 piece, made from specularly finished aluminum protected with an electrochemically applied anodized finish or a chemically applied silicate film, and designed so deposited water due to condensation will drain away. Reflector must be secured to housing with a minimum of 2 screws and removable without removing any fixture parts. Do not attach reflectors to outside of housing.

Refractor or lens must have a smooth exterior and must be manufactured from the material as follows:

**Refractor and Lens Material Requirements**

Component	Manufactured From
Flat lens	Heat-resistant glass
Convex lens	Heat resistant, high-impact resistant tempered glass
Refractor	Borosilicate heat resistant glass

Refractor and convex lens must be designed or shielded so no fixture luminance is visible if fixture is approached directly from the rear and viewing level is the bottom of the fixture. If a shield is used, it must be an integral part of the door casting.

Each fixture must include an 85 W induction lamp with an interior wall that is fluorescent phosphor-coated. Light output must be at least 70 percent at 60,000 hours. Lamp must have a minimum color-rendering index of 80, be rated at a color temperature of 4,000K and be removable without the use of tools.

Lamp socket must be a porcelain enclosed mogul type with a shell that contains integral lamp grips to assure electrical contact under normal vibration conditions. Center contact must be spring-loaded. Shell and center contact must be nickel-plated brass. Socket must be rated for 1,500 W and 600 V(ac).

Power coupler must include a construction base with antenna, heat sink, and electrical connection cable, and be designed so it can be removed with common hand tools.

High frequency generator must:

1. Start and operate lamps at an ambient temperature of -25 °C or greater for the rated life of the lamp
2. Operate continuously at ambient air temperatures from -25 °C to 25 °C without reduction in generator life
3. Have a design life of at least 100,000 hours at 55 °C
4. Have an output frequency of 2.65 MHz ± 10 percent
5. Have radio frequency interference that complies with FCC Title 47, Part 18, regulations regarding harmful interference
6. Be replaceable with common hand tools
7. Mounted so the fixture can be used as a heat sink

Conductor terminal must be identified by the component terminal the conductor connects to.

Submit a copy of the high frequency generator test methods and results from the manufacturer with each lot of fixtures.

Each fixture must include a barrier-type fuse block for terminating field connections. Fuse block must:

1. Be secured to housing and be accessible without removal of any fixture parts
2. Be mounted to leave a minimum of 1/2 inch air space from sidewalls of housing
3. Be designed for easy removal of fuses with a fuse puller, be rated at 600 V(ac), and have box terminals.

Fuses must be 13/32-inch diameter, 1-1/2 inch long ferrule type and UL or NRTL listed. For 120 V(ac) input fixture, only the ungrounded conductor must be fused and there must be a solid link between the neutral and the high frequency generator.

If shown on the plans, include a wire guard to prevent damage to the refractor or lens. Guard must be constructed of 1/4-inch minimum diameter galvanized steel wire, and either hot-dip galvanized or electroplated-zinc coated as specified in ASTM B 633, Service Condition SC4 with a clear chromate dip treatment. Guard elements must be spaced to prevent rocks larger than 1-1/2-inch diameter from passing through.

**86-6.06 SIGN LIGHTING FIXTURES FOR FLASHING BEACON**

Sign lighting fixture must:

1. Be UL or NRTL listed for outdoor installation

2. Include a hood with side outlet tapped for conduit, a symmetrical 10-inch steel reflector with a white porcelain-enamel finish, and a medium base socket
3. Be rated at 150 W minimum

#### **86-6.07 INTERNALLY ILLUMINATED STREET NAME SIGNS**

Sign fixture must be:

1. Designed and constructed to prevent deformation or failure when subjected to an 85 mph 3-second-wind-gust load as specified in AASHTO publication, "Standard Specifications for Structural Supports of Highway Signs, Luminaires and Traffic Signals," and its interim revisions
2. Manufactured from all new material and all ferrous parts must be galvanized or cadmium-plated
3. Type A or B signs

Top and bottom must be formed or extruded aluminum and must be attached to formed or cast aluminum end fittings. Housing must be designed for continuous sealing between top and bottom assemblies, and end fittings, and be constructed to resist torsional twist and warp. Opening or removing 1 panel must allow access to the interior of the sign for lamp, ballast, and fuse replacement.

Photoelectric unit sockets are not allowed.

For Type A sign, both sides must be hinged at the top to allow installation or removal of sign panel, and to allow access to interior of sign.

For Type B sign, sign panel must be slide-mounted into housing.

Reflectors may be used to obtain required sign brightness. Reflectors must be formed aluminum with acrylic baked white enamel surface having a minimum reflectance of 0.85.

Sign panel must be slide-mounted or rigid-mounted in a frame, with white legend, symbols, arrows, and border on each face. Background must be green.

Sign panels surface must be evenly illuminated. Average of brightness readings for letters must be 150 foot-lamberts, minimum. Light transmission factor of sign panel must provide a letter to background brightness ratio between 10 to 1 and 20 to 1. Background luminance must not vary by more than 40 percent from the average background brightness reading. Luminance of letters, symbols, and arrows must not vary by more than 20 percent from their average brightness readings.

Sign panels must be translucent, high impact, resistant plastic panels of one of the following:

1. Glass fiber reinforced acrylated resin
2. Polycarbonate resin
3. Cellulose acetate butyrate plastic

Paint on the outside of plastic must be protected by a plastic film that seals the front surface of panel and filters out ultraviolet radiation. Paint must be acrylic plastic type.

Surface must be free of blemishes in the plastic or coating that may impair the serviceability or detract from the general appearance and color matching of sign.

White or green color must not fade or darken when sign is exposed to an accelerated test of ultraviolet light equivalent to 2 years of outdoor exposure. Green color of sign, when not illuminated, must match Color No. 14109 of Federal Standard 595B.

Sign panel must not crack or shatter when a 1-inch diameter, steel ball with a weight of 2.4 ounces is dropped from a height of 8.5 feet above the sign panel to any point of sign panel. For this test, sign panel must be lying in a horizontal position and supported within its frame.

For Type A sign, gasket must be installed between sign panel frame and fixture housing to prevent water entry between frame and fixture housing. Gasket must be uniform and even-textured, and be the closed-cell, sponge-neoprene type, designed for use at temperatures between -20 °C and +74 °C.

Gasket must be neatly applied to thoroughly degreased, clean surface with a suitable heat-resistant adhesive that will not allow the gasket to slip at temperatures between -20 °C and +74 °C.

Ballast must be high power factor type and capable of starting the lamp at -20 °C and above.

Ballast for Type A sign must be rated at 200 mA. Ballasts for Type B sign must be rated at 430 mA. Ballast must be UL or NRTL listed for operation on 110 to 125 V(ac), 60 Hz circuits, and comply with ANSI C 82.1 and ANSI C 82.2.

Lampholder must be UL or NRTL listed for outdoor use and of the spring-loaded type. Lampholder must have silver-coated contacts and waterproofed entrance leads for use with a rapid-start fluorescent lamp. Removal of lamp from socket must de-energize the primary of ballast. Each lampholder must include heat-resistant, circular cross

section, partially-recessed neoprene ring to seal against lamp ends and protect electrical contacts from moisture, dirt or other injurious elements.

Distance between face of lampholders must be designed to provide compression of at least 0.10 inch on the spring-type lampholder when lamp is in place. Lamp must have positive mechanical and electrical contact when lamp is in place. Socket on spring-type lampholder must have sufficient travel to allow lamp installation. Spring must not be a part of current carrying circuit. Lampholder must match lamp requirements and must not increase cathode filament circuit resistance by more than 0.10 Ω.

Lamp must comply with ANSI C 78.

Wiring connections in fixture must be terminated on molded, phenolic, barrier-type, terminal blocks rated at 15 A, 1,000 V(ac), and must have integral-type white waterproof-marking strips. Current carrying parts of terminal blocks must be insulated from fixture with integral plugs or strips to provide protection from line-to-ground flashover voltage. If you choose to use sectionalized terminal blocks, each section must include an integral barrier on each side and be capable of rigid mounting and alignment. Terminal screws must be No. 10, minimum.

Fuses must be Type 3AG, miniature, slow-blowing type with appropriate current and voltage ratings.

Fuseholder must be a panel-mounting type with threaded or bayonet-type knob that grips the fuse tightly for extraction. Use a separate fuse for each ballast.

Screened weep holes must be constructed at strategic locations in members subject to moisture collection.

Fasteners, screws, and hardware must be passive stainless steel, Type 302 or 304, or aluminum Type 6060-T6.

Top of fixture housing must have 2 free-swinging mounting brackets. Each bracket must be adjustable vertically for leveling the sign to either a straight or curved mast arm. Bracket assembly must allow fixture to swing perpendicular to the sign panel.

Hinge pins for the free-swinging brackets must have a minimum diameter of 1/4 inch.

Message, as shown on the plans, must be displayed on both sign panels.

If not shown on the plans, the message and the size of symbols or arrows will be given by the Engineer at your request. Letters must be 8-inch upper case and 6-inch lower case, Series E.

Fixture conductors must be UL- or NRTL-listed AWM stranded copper wire with 28 mils, minimum, thermoplastic insulation, rated at 1,000 V(ac) and rated for use at 90 °C. Conductors must be No. 16 minimum and must match color coding of ballast leads.

Conductors within the fixture must be secured with easily removable spring cross straps, not clamped, in the chassis or fixture. Straps must be installed 12 inches apart or less.

Stranded copper conductors connected to screw-type terminals must terminate in approved crimp-type ring connectors.

Splices are not allowed within fixture.

Submit shop drawings showing the message for each sign, including size of letters, symbols or arrows, as shown on the plans. If requested, you must supply, without cost to the State, sufficient samples of materials to be used in the manufacturing of the sign or a complete sign assembly, to allow adequate testing and evaluation of compliance to specified requirements.

## 86-6.08 PHOTOELECTRIC CONTROLS

Photoelectric controls must be capable of directly switching multiple lighting systems.

### 86-6.08A Types

Photoelectric control type must comply with the following:

**Photoelectric Control Types**

Type I	Includes a remote photoelectric unit and a test switch housed in an enclosure.
Type II	Includes a remote photoelectric unit, a separate contactor located in a service equipment enclosure, and a test switch located in service equipment enclosure.
Type III	Includes a remote photoelectric unit, a separate contactor, and a test switch housed in an enclosure.
Type IV	Includes a photoelectric unit that plugs into an EEI-NEMA twist-lock receptacle integral with the luminaire.
Type V	Includes a photoelectric unit, contactor, and test switch located in service equipment enclosure.

A switch to allow manual operation of lighting circuit must be included for each Type I, Type II, Type III, and Type V photoelectric control. Switches must be single-hole mounting toggle type, single-pole, single-throw, rated at 12 A with a voltage rating that matches the circuit. Switches must have an indicating nameplate reading "Auto-

Test" and be connected in parallel with the load contacts of the photoelectric unit. Test switches must not have an "OFF" position.

Photoelectric unit for Types I, II, and III photoelectric controls, must be pole-top mounted.

### **86-6.08B Equipment Details**

#### **86-6.08B(1) Photoelectric Unit**

Photoelectric unit must:

1. Have an output in response to changing light levels. Response level must remain stable throughout life of control unit.
2. Have a "turn-on" between 1 and 5 footcandles, and a "turn-off" between 1.5 and 5 times "turn-on." Measurements must be made by procedures in EEI-NEMA standards for physical and electrical interchangeability of light-sensitive control devices used in the control of roadway lighting.
3. Have a EEI-NEMA type receptacle. Mounting brackets must be used where pole-top mounting is not possible. Photoelectric controls must be installed at locations show on the plans and oriented.
4. Be screened to prevent artificial light from causing cycling.
5. Have a supply voltage rating of 60 Hz, 105-130 V(ac), 210-240 V(ac), or 105-240 V(ac), as specified.
6. Have a load rating of 800 W minimum, incandescent, high intensity discharge, or fluorescent.
7. Operate at a temperature range of -20 °C to 55 °C.
8. Have a power consumption less than 10 W.
9. Be housed in a weatherproof enclosure.
10. Have a base with a 3-prong, EEI-NEMA standard, twist-lock plug mounting.
11. Have a "fail-on" feature.

Unit components must not require periodic replacement.

Photoelectric controls, except Type IV and Type V, must include a 4-inch minimum inside diameter, pole-top mounting adaptor containing a terminal block, and cable supports or clamps to support pole wires.

For switching 480 V(ac), 60 Hz circuits, a 100 VA, minimum, 480/120 V(ac) transformer must be installed in the contactor enclosure to allow 120 V(ac) for the photoelectric control unit. If more than 1 photoelectric unit is to be installed at a location, a single transformer with a volt-ampere rating capable of handling the total controlled load, may be used.

#### **86-6.08B(2) Contactor**

Contactor must:

1. Have contacts rated to switch the specified lighting load
2. Be normally open
3. Be the mechanical armature type with contacts of fine silver, silver alloy, or superior alternative material

#### **86-6.08B(3) Enclosure**

Enclosure for Type I and Type III photoelectric controls must be NEMA 3R. Enclosure must be supplied with a factory-applied rust-resistant prime coat and finish coat. Two applications of paint to match the color of the standard must be applied as specified in Section 86-2.16, "Painting." Enclosure may be hot-dip galvanized instead of painting. A minimum of 2-1/2 inches must be provided between contactor terminals and end of enclosure for wiring connections. Enclosure must be mounted on the same standard as the photoelectric unit at a height of about 6 feet above finished grade.

#### **86-6.08B(4) Terminal Blocks**

Terminal blocks must be rated at 25 A, 600 V(ac), molded from phenolic or nylon material, and of the barrier type with plated-brass screw terminals and integral-type marking strips.

### **86-6.09 TRANSFORMERS**

Multiple-to-multiple transformers must be single-phase dry type designed for operation on a 60 Hz supply.

**86-6.09A Electrical Requirements**

Transformers must have a decal showing a connection diagram. Diagram must show either color-coding or wire-tagging with primary (H1, H2) or secondary (X1, X2) markers, and the primary and secondary voltage and volt-ampere rating. Transformers must comply with the following:

<b>Transformer Electrical Requirements</b>	
Transformer Characteristic	Multiple-to-Multiple Unit
Rating	120/480 V(ac), 240/480 V(ac), or 480/120 V(ac)
Efficiency	Exceed 95 percent
Secondary Voltage Regulation and Tolerance	±3 percent from half load to full load

Secondary 480 V(ac) windings must be center-tapped.

**86-6.09B Physical Requirements**

External leads for multiple-to-multiple secondary connections must be Type USE, No. 10, rated 600 V(ac).

Transformer leads must extend a minimum of 12 inches from the case.

Transformer insulation must be NEMA 185 C or better.

Multiple-to-multiple transformers must withstand the application of 2,200 V(ac) from core to coils and from coil to coil for a 1-minute period.

The above tests must be made immediately after operation of transformer at full load for 24 hours.

Non-submersible transformers must include metal half-shell coil protection, have moisture resistant synthetic varnish impregnated windings, and be suitable for outdoor operation in a raintight enclosure.

Each transformer to be installed in a pull box must be the submersible type and include a handle and a hanger.

**86-6.09C Submersible Type Transformers**

Submersible type transformers must be securely encased in a rugged corrosion resistant, watertight case and must withstand a 5-day test submerged in 2 feet of salt water, 2 percent salt by weight, with 12-hour on and off periods. The operating periods must be at full load.

Leads of submersible transformers must be brought out through one or more sealed hubs and secured to withstand a 100 pound static pull without loosening or leaking.

**86-6.10 (BLANK)**

**86-6.11 FALSEWORK LIGHTING**

**86-6.11A General**

Falsework lighting must include lighting to illuminate the pavement, portals, and pedestrian walkways at or under openings in the falsework required for traffic.

Lighting for pedestrian walkway illumination must be installed at all pedestrian openings through or under falsework.

Before starting falsework opening construction, you must submit a plan of proposed lighting installations for review and obtain approval. Approval will be made as specified in Section 5-1.02, "Plans and Working Drawings."

You must design falsework lighting so that required maintenance can be performed with a minimum of inconvenience to public traffic. Closing of traffic lanes for routine maintenance will not be permitted on roadways with posted speed limits greater than 25 mph.

Pavement under falsework with portals less than 150 feet apart and falsework portals must be illuminated only during the hours of darkness as defined in Division 1, Section 280, of the California Vehicle Code. Photoelectric switches must be used to control falsework lighting systems. Pavement under falsework with portals 150 feet or more apart and all pedestrian openings through falsework must be illuminated 24 hours per day.

Lighting fixtures must be aimed to avoid glare to oncoming motorists.

Type NMC cable with No. 12 minimum conductors, with ground wire, must be used. Fasten cable to supporting structure at sufficient intervals to adequately support cable and within 12 inches from every box or fitting. Conductors within 8 feet of ground must be enclosed in a 1/2 inch or larger metal conduit.

Each illumination system must be on a minimum of 1 separate branch circuit at each bridge location. Each branch circuit must be fused, not to exceed 20 A.

For falsework lighting, you must arrange with the serving utility to complete service connections. You must pay for energy, line extension, service, and service hookup costs.

At completion of project or when ordered by the Engineer, falsework lighting equipment will become your property and you must remove it from the job site.

You may propose a lighting plan that fulfills light intensity requirements to the systems specified herein. You must supply sufficient data to allow evaluation of alternative methods.

#### **86-6.11B Pavement Illumination**

Illumination of pavement at vehicular openings through falsework must comply with the following:

1. Fixture must include R/FL commercial type floodlamp holder with protective covers.
2. Fixture must be fully adjustable with brackets and locking screws, and allow mounting directly to a standard metal junction box.
3. Lamp must be medium-base 120 V(ac), 120 W, minimum, PAR-38 quartz-halogen floodlamp.
4. A continuous row of fixture types required must be installed at locations and spacing specified. Fixtures must be installed beneath falsework structure, with the end fixtures not further than 10 feet inside portal faces. Fixtures must be installed and energized immediately after the members supporting them have been erected.
5. Fixtures along the sides of the opening must be placed not more than 4 feet behind or 2 feet in front of the roadway face of the temporary railing. Mounting heights of fixtures must be between 12 and 16 feet above the roadway surface and must present an unobstructed light pattern on the pavement.

#### **86-6.11C Portal Illumination**

Illumination of falsework portals must comply with the following:

1. On each side of each entrance portal, plywood sheet clearance guides, 4 feet wide by 8 feet high, must be fastened vertically, facing traffic, with the bottom of the panel 3 feet to 4 feet above the roadway. The center of the panel must be located approximately 3 feet horizontally behind the roadway face of the railing. Panels must be freshly painted for each installation with not less than 2 applications of flat white paint. Paint testing will not be required.
2. If ordered by the Engineer, in order to improve the general appearance of the painted surfaces, you must repaint designated areas and that painting will be paid for as extra work as specified in Section 4-1.03D, "Extra Work."
3. Falsework portals must be illuminated on the side facing traffic with 150 W, minimum, PAR reflector floodlamps mounted on the structure directly over each vertical support adjacent to the traveled way, as needed to uniformly illuminate the exterior falsework beam, the clearance guides, and the overhead clearance sign. Each lamp must be supported approximately 16 feet above the pavement and approximately 6 feet in front of the portal face.
4. Portal lighting and clearance guides must be installed on the day that vertical members are erected.

#### **86-6.11D Pedestrian Walkway Illumination**

Illumination of pedestrian openings through or under falsework must comply with the following:

1. Fixtures must be flush-mounted in the overhead protection shield and equipped with a damage-resistant clear polycarbonate diffuser lens. Lamps must be standard incandescent 100 W, 120 V(ac).
2. Fixtures must be centered over the passageway at intervals of not more than 15 feet with the end fixtures not more than 7 feet inside the end of the pedestrian openings.
3. Pedestrian passageway light systems must be installed immediately after the overhead protection shield is erected.

### **86-7 REMOVING, REINSTALLING OR SALVAGING ELECTRICAL EQUIPMENT**

#### **86-7.01 REMOVING ELECTRICAL EQUIPMENT**

Existing electrical equipment, pull boxes, and conduits, to be removed and not reused or salvaged, become your property and you must dispose of it under Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way." Unused underground conduit may be abandoned in place after all conductors have been removed, except that conduit terminations from conduit to be abandoned must be removed from pull boxes to remain.



## **SECTION 88 ENGINEERING FABRICS**

(Issued 01-20-12)

### **Replace Section 88 with: SECTION 88 GEOSYNTHETICS**

#### **88-1.01 GENERAL**

##### **88-1.01A Summary**

Section 88 includes specifications for geosynthetics. Geosynthetics are used for:

1. Filtration
2. Drainage
3. Reinforcement
4. Water pollution control
5. Channel and shore protection
6. Pavement interlayer
7. Separation and stabilization

##### **88-1.01B Submittals**

Submit:

1. Certificate of Compliance under Section 6-1.07, "Certificates of Compliance"
2. Samples representing each lot
3. Minimum average roll values (MARV)

Label submittals with the manufacturer's name and product information.

##### **88-1.01C Quality Control and Assurance**

Treat geosynthetics to resist degradation from exposure to sunlight. Using covers, protect geosynthetics from moisture, sunlight, and shipping and storage damage.

#### **88-1.02 FILTRATION**

##### **88-1.02A Filter Fabric**

Geosynthetics used for filter fabric must be permeable and nonwoven. Filter fabric must consist of 1 of the following:

1. Polyester
2. Polypropylene
3. Combined polyester and polypropylene

Filter fabric must comply with:

<b>Filter Fabric</b>				
Property	ASTM	Specification		
		Class A	Class B	Class C
Grab breaking load, 1-inch grip, lb minimum in each direction	D 4632	157		
Apparent elongation, percent minimum in each direction	D 4632	50		
Puncture strength, lb minimum	D 6241	600		
Ultraviolet resistance, percent minimum retained grab breaking load, 500 hr	D 4355	70		
Permittivity, sec <sup>-1</sup> minimum	D 4491	0.5	0.2	0.1
Apparent opening size, average roll value, U.S. Standard sieve size maximum	D 4751	40	60	70

### 88-1.03 DRAINAGE

#### 88-1.03A Geocomposite Wall Drain

Geocomposite wall drain must consist of a polymeric core with filter fabric integrally bonded to 1 or both sides of the core creating a stable drainage void.

Filter fabric must comply with Section 88-1.02, "Filtration."

Geocomposite wall drain must comply with:

<b>Geocomposite Wall Drain</b>		
Property	ASTM	Specification
Thickness with fabric, inches maximum	--	2
Transmissivity, gradient = 1.0, normal stress = 5,000 psf, gal/min/ft	D 4716	4

### 88-1.04 REINFORCEMENT

#### 88-1.04A Geotechnical Subsurface Reinforcement

##### General

Geosynthetic used for geotechnical subsurface reinforcement must be either of the following:

1. Geotextile
2. Geogrid

Geotextile permittivity must be at least 0.05 sec<sup>-1</sup> determined under ASTM D 4491.

Geogrid must have a regular and defined open area. The open area must be from 50 to 90 percent of the total grid area.

##### Long Term Design Strength

Long Term Design Strength (LTDS) of geosynthetic reinforcement is the ultimate tensile strength in the primary strength direction divided by reduction factors. Calculate the LTDS from the guidelines in Geosynthetic Research Institute (GRI) Standard Practice GG4a, GRI GG4b, or GRI GT7.

The product of the appropriate reduction factors must be at least 1.30. Determine the reduction factor for creep using a 75-year design life for permanent applications and a 5-year design life for temporary applications. Determine the installation damage reduction factor based on the characteristics of the backfill materials used.

If test data is not available, use default values of reduction factors in the GRI Standard Practice to calculate LTDS.

Submit the LTDS and its supporting calculations at least 15 days before placing geosynthetic reinforcement. Do not install before the Engineer's approval. The LTDS must be signed by an engineer who is registered as a civil engineer in the State.

**88-1.05 WATER POLLUTION CONTROL**

Geosynthetics used for water pollution control must comply with:

**Water Pollution Control Geosynthetics**

Property	ASTM	Application						
		Silt Fence		Sediment Filter Bag		Gravel-Filled Bags	Temporary Cover	
		Woven	Non-woven	Woven	Non-woven		Woven	Non-woven
Grab breaking load, 1-inch grip, lb minimum in each direction	D 4632	120	120	200	250	205	200	200
Apparent elongation, percent minimum, in each direction	D 4632	15	50	10	50	--	15	50
Water flow rate, gallons per minute/square foot minimum and maximum average roll value	D 4491	10 - 100	100 - 150	100 - 200	75 - 200	80 - 150	4 - 10	80 - 120
Permittivity, sec <sup>-1</sup> minimum	D 4491	0.05	1.1	1.0	1.0	0.2	0.05	1.0
Apparent opening size, inches maximum average roll value	D 4751	0.023	0.012	0.023	0.012	0.016	0.023	0.012
Ultraviolet resistance, percent minimum retained grab breaking load, 500 hr.	D 4355	70	70	70	70	70	70	70

**88-1.06 CHANNEL AND SHORE PROTECTION**

**88-1.06A Rock Slope Protection**

Rock slope protection (RSP) fabric must be a permeable, nonwoven, needle-punched geotextile. RSP fabric consists of 1 of the following:

1. Polyester
2. Polypropylene
3. Combined polyester and polypropylene

Polymers must be either virgin compounds or clean reworked material. Do not subject virgin compounds to use or processing other than required for initial manufacture. Clean reworked material must be previously processed material from the processor's own production that has been reground, pelletized, or solvated. RSP fabric must not consist of more than 20 percent by weight of clean reworked material. Do not use recycled materials from either post-consumer or post-industrial sources.

Class 8 or Class 10 RSP fabric must comply with:

**Rock Slope Protection Fabric**

Property	ASTM	Specification	
		Class 8	Class 10
Weight, oz/yd <sup>2</sup> minimum	D 5261	7.5	9.5
Grab breaking load, lb 1-inch grip, min. in each direction	D 4632	200	250
Apparent elongation, percent min., in each direction	D 4632	50	50
Permittivity, sec <sup>-1</sup> , minimum	D 4491	1.0	0.70
Apparent opening size, U.S. Standard sieve size minimum and maximum	D 4751	70 - 100	70 - 100
Ultraviolet resistance, percent minimum retained grab breaking load, 500 hr.	D4355	70	70

**88-1.07 PAVEMENT INTERLAYER**

**88-1.07A Paving Fabric**

Geosynthetics used for paving fabric must be nonwoven. Paving fabric must comply with:

**Geosynthetic Paving Fabric**

Property	ASTM	Specification
Mass per unit area, oz/yd <sup>2</sup> minimum	D 5261	4.1
Grab breaking load, lb 1-inch grip, minimum, in each direction	D 4632	100
Apparent elongation, percent minimum in each direction	D 4632	50
Hydraulic bursting strength, psi minimum	D 3786	200
Melting point, °F minimum	D 276	325
Asphalt retention, gal/yd <sup>2</sup> minimum	D 6140	0.2

**88-1.07B Paving Mat**

Geosynthetics used for paving mat must be a nonwoven fiberglass and polyester hybrid material. Paving mat must comply with:

**Geosynthetic Paving Mat**

Property	ASTM	Specification
Breaking force, lb/2 inches minimum	D 5035	45
Ultimate elongation, percent maximum	D 5035	5
Mass per unit area, oz/ sq yd minimum	D 5261	3.7
Melting point, °F minimum	D 276	400
Asphalt retention, gal/yd <sup>2</sup> minimum	D 6140	0.10

**88-1.07C Paving Grid**

Geosynthetics used for paving grid must be a geopolymer material formed into a grid of integrally connected elements with openings. Paving grid must comply with:

<b>Geosynthetic Paving Grid</b>				
Property	Test	Specification		
		Class I	Class II	Class III
Tensile strength at ultimate, lb/in <sup>a</sup> minimum	ASTM D 6637	560 x 1,120	560	280
Aperture size, inch minimum	Calipered	0.5	0.5	0.5
Elongation, % maximum	ASTM D 6637	12	12	12
Mass per area, oz / sqyd minimum	ASTM D 5261	16	10	5.5
Melting point, °F minimum	ASTM D 276	325	325	325

Note:

<sup>a</sup> For Class I, machine direction x cross direction. For Class II and Class III, both directions.

**88-1.07D Paving Geocomposite Grid**

Paving geocomposite grid consists of paving grid specified under Section 88-1.07C, "Paving Grid," bonded or integrated with paving fabric specified under Section 88-1.07A, "Paving Fabric."

Paving geocomposite grid must have a peel strength of at least 10 pounds per foot determined under ASTM D 413.

**88-1.07E Geocomposite Strip Membrane**

Geocomposite strip membrane must consist of various widths of strips manufactured from of asphaltic rubber and geosynthetics. Geocomposite strip membrane must comply with:

<b>Geocomposite Strip Membrane</b>		
Property	ASTM	Specification
Strip tensile strength, lbs/inch minimum	D 882	50
Elongation at break, % minimum	D 882	50
Resistance to puncture, lbs. minimum	E 154	200
Permeance, perms maximum	E 96/E 96M	0.10
Pliability, 1/4 inch mandrel with sample conditioned at 25 °F	D 146	No cracks in fabric or bitumen
Melting point, °F	D 276	325

**88-1.08 SEPARATION AND STABILIZATION**

**88-1.08A Subgrade Enhancement Geotextile**

Subgrade enhancement geotextile must consist of either of the following:

1. Polyester
2. Polypropylene

Subgrade enhancement geotextile must comply with:



Use	Cementitious Material Content (Pounds/CY)
Concrete designated by compressive strength:	
Deck slabs and slab spans of bridges	675 min., 800 max.
Roof sections of exposed top box culverts	675 min., 800 max.
Other portions of structures	590 min., 800 max.
Concrete not designated by compressive strength:	
Deck slabs and slab spans of bridges	675 min.
Roof sections of exposed top box culverts	675 min.
Prestressed members	675 min.
Seal courses	675 min.
Other portions of structures	590 min.
Concrete for precast members	590 min., 925 max.

Except for minor structures, the minimum required compressive strength for concrete in structures or portions of structures shall be the strength specified, or 3600 pounds per square inch at 28 days, whichever is greater.

Except for when a modulus of rupture is specified, the minimum required compressive strength for concrete shall be the strength specified, or 2,500 pounds per square inch, whichever is greater. Concrete shall be proportioned such that the concrete will attain the minimum required compressive strength.

If the specified 28-day compressive strength is 3,600 pounds per square inch or greater, the concrete is designated by compressive strength. For concrete with a 28-day compressive strength greater than 3,600 pounds per square inch, 42 days will be allowed to obtain the specified strength.

For concrete not designated by compressive strength, the Engineer may test the concrete for compressive strength. The concrete will be accepted if the compressive strength at 28 days attains 85 percent or more of the minimum required compressive strength.

Concrete shall be proportioned to conform to the following shrinkage limitations when tested in conformance with the requirements of AASHTO Designation: T 160, modified as follows:

Condition	Maximum Shrinkage of Laboratory Cast Specimens at 28 days Drying (average of 3, %)
Paving and approach slab concrete	0.050
Bridge deck concrete	0.045

Note: Shrinkage requirement is waived for concrete that is used for precast elements.

Shrinkage tests shall be either:

- A. Performed by a laboratory accredited to perform AASHTO Designation: T 160, or
- B. Performed by a laboratory that maintains a current rating of 3 or better for the Cement and Concrete Reference Laboratory (CCRL) concrete proficiency sample program.

Laboratory cast specimens shall have a 4" x 4" cross section. Specimens shall be removed from the molds 23 ± 1 hours after mixing the concrete and placed in lime water at 73 ± 3 °F to 7 days age. A comparator reading shall be taken at 7 days age and recorded as the initial reading. Specimens then shall be stored in a humidity controlled room maintained at 73 ± 3 °F and 50 ± 4 percent relative humidity for the remainder of the test. Subsequent readings shall be taken at 7, 14, 21, and 28 days drying.

Test data verifying conformance to the shrinkage limitations shall be submitted with the mix design. Shrinkage testing data accepted by the Engineer no more than 3 years prior to the first working day of this contract will be acceptable for this entire contract, provided the data was for concrete with similar proportions and the same materials and material sources to be used on this contract. Concrete shall be considered to have similar proportions if, when compared to concrete to be used on this project, no more than 2 mix design elements are varied. Varied mix design elements shall fall within the tolerances in the following table:

Mix Design Element	Tolerance (±)
Water to cementitious material ratio	0.03
Total water content	5 %
Coarse aggregate (weight per cubic yard)	10 %
Fine aggregate (weight per cubic yard)	10 %
Supplementary cementitious material content	5 %
Admixture (as originally dosed)	25 %

Note: Admixtures must be of the same brand.

Before using concrete or in advance of revising the mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design.

Compliance with cementitious material content requirements will be verified in conformance with procedures described in California Test 518 for cement content. For testing purposes, supplementary cementitious material (SCM) shall be considered to be cement. Batch proportions shall be adjusted as necessary to produce concrete having the specified cementitious material content.

If any concrete has a cementitious material, portland cement, or SCM content that is less than the minimum required, the concrete shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State \$0.25 for each pound of cementitious material, portland cement, or SCM that is less than the minimum required. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract. The deductions will not be made unless the difference between the contents required and those actually provided exceeds the batching tolerances permitted by Section 90-5, "Proportioning." No deductions will be made based on the results of California Test 518.

The requirements of the preceding paragraph shall not apply to minor concrete.

## **90-2 MATERIALS**

### **90-2.01 CEMENTITIOUS MATERIALS**

Unless otherwise specified, cementitious material shall be either a combination of Type II or Type V portland cement and SCM, or a blended cement. No cementitious material shall be used in the work unless it is on the Department's Pre-Qualified Products List at the time of mix design submittal. Information regarding cementitious material qualification and placement on the Department's approved list can be obtained at the Transportation Laboratory.

Cementitious materials used in cast-in-place concrete for exposed surfaces of like elements of a structure shall be from the same sources and of the same proportions.

Cementitious materials shall be protected from moisture until used. Sacked cementitious materials shall be piled to permit access for tallying, inspecting, and identifying each shipment.

Facilities shall be provided to ensure that the various cementitious materials meeting this Section 90-2.01 are kept separate from each other and from other cementitious materials. A storage silo containing a cementitious material shall be emptied before using that silo for a different cementitious material. Blended cements with a percentage of SCM differing by more than 2 percentage points are considered different cementitious materials. Sampling cementitious materials shall be in conformance with California Test 125.

The Contractor shall furnish a Certificate of Compliance for cementitious materials in conformance with the provisions in Section 6-1.07, "Certificates of Compliance." The Certificate of Compliance shall indicate the source by name and location (including country, state, and city). If cementitious material is delivered directly to the job site, the Certificate of Compliance shall be signed by the cementitious material supplier. If the cementitious material is used in ready-mixed concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product. If blended cement is used, the Certificate of Compliance shall include a statement signed by the blended cement supplier that indicates the actual percentage, by weight, of SCM in the blend. Weight of SCM shall be by weighing device conforming to Section 9-1.01, "Measurement of Quantities," or as determined by chemical analysis.

#### **90-2.01A Cement**

Portland cement shall conform to the requirements in ASTM Designation: C 150 except the C<sub>3</sub>S content of Type II cement shall not exceed 65 percent.

Blended cement shall conform to the requirements for Portland Blast-Furnace Slag Cement, Type IS (MS) or Portland-Pozzolan Cement, Type IP (MS) in AASHTO Designation: M 240, except that the maximum limits on the pozzolan content shall not apply. Blended cement shall be comprised of Type II or Type V cement and SCM produced by intergrinding portland cement clinker and granulated blast furnace slag, ground granulated blast furnace

slag (GGBFS), or pozzolan; by blending portland cement and either GGBFS or finely divided pozzolan; or by a combination of intergrinding and blending.

In addition, Type II portland cement and Type V portland cement shall conform to the following requirements:

- A. The cement shall not contain more than 0.60-percent by mass of alkalis, calculated as the percentage of Na<sub>2</sub>O plus 0.658 times the percentage of K<sub>2</sub>O, when determined by methods as required in AASHTO Designation: T 105; and
- B. The autoclave expansion shall not exceed 0.50-percent

Type III portland cement shall be used only as specified or with the approval of the Engineer. Type III portland cement shall conform to the additional requirements listed above for Type II portland cement. The Contractor may use Type III portland cement in the manufacturing of precast concrete.

**90-2.01B Supplementary Cementitious Materials**

Each supplementary cementitious material shall conform to one of the following:

- A. Fly ash conforming to the requirements in AASHTO Designation: M 295, Class F, and these specifications. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.
- B. Ultra fine fly ash (UFFA) conforming to the requirements in AASHTO Designation: M 295, Class F, and the following chemical and physical requirements:

Chemical Requirements	Percent
Sulfur Trioxide (SO <sub>3</sub> )	1.5 max.
Loss on ignition	1.2 max.
Available Alkalies (as Na <sub>2</sub> O) equivalent	1.5 max.

Physical Requirements	Percent
Particle size distribution	
Less than 3.5 microns	50
Less than 9.0 microns	90
Strength Activity Index with portland cement	
7 days	95 (minimum % of control)
28 days	110 (minimum % of control)
Expansion at 16 days when testing job materials in conformance with ASTM C 1567*	0.10 max.

\* In the test mix, Type II or Type V portland cement shall be replaced with at least 12% UFFA by weight.

- C. Raw or calcined natural pozzolans conforming to the requirements in AASHTO Designation: M 295, Class N, and the following requirements and these specifications. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.
- D. Metakaolin conforming to the requirements in AASHTO Designation: M 295, Class N, and the following chemical and physical requirements:

Chemical Requirements	Percent
Silicon Dioxide (SiO <sub>2</sub> ) + Aluminum Oxide (Al <sub>2</sub> O <sub>3</sub> )	92.0 min.
Calcium Oxide (CaO)	1.0 max
Sulfur Trioxide (SO <sub>3</sub> )	1.0 max.
Loss on ignition	1.2 max.
Available Alkalies (as Na <sub>2</sub> O) equivalent	1.0 max.

Physical Requirements	Percent
Particle size distribution Less than 45 microns	95
Strength Activity Index with portland cement 7 days 28 days	100 (minimum % of control) 100 (minimum % of control)

- E. Ground Granulated Blast Furnace Slag (GGBFS) conforming to the requirements in AASHTO Designation: M 302, Grade 100 or Grade 120.
- F. Silica Fume conforming to the requirements of AASHTO Designation: M 307, with reduction in mortar expansion of 80 percent, minimum, using the cement from the proposed mix design.

Commingling of fly ash from different sources at uncontrolled ratios is permissible only if the following criteria are satisfied:

- A. Sources of fly ash to be commingled shall each produce fly ash that conforms to the requirements in AASHTO Designation: M 295, Class F.
- B. Testing of the commingled product is the responsibility of the fly ash supplier.
- C. Each fly ash's running average of relative density shall not differ from any other by more than 0.25 at the time of commingling.
- D. Each fly ash's running average of loss on ignition shall not differ from any other by more than one percent at the time of commingling.
- E. The final product of commingled fly ash shall conform to the requirements in AASHTO Designation: M 295, Class F.

### 90-2.01C Required Use Of Supplementary Cementitious Materials

#### General

The amount of portland cement and SCM used in portland cement concrete shall conform to the minimum cementitious material content provisions in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," and these specifications.

The SCM content in portland cement concrete shall conform to one of the following:

- A. Any combination of portland cement and at least one SCM, satisfying Equations (1) and (2):

Equation (1)

$$\frac{(25 \times UF) + (12 \times FA) + (10 \times FB) + (6 \times SL)}{MC} \geq X$$

Where:

- UF = Silica fume, metakaolin, or UFFA, including the amount in blended cement, pounds per cubic yard.
- FA = Fly ash or natural pozzolan conforming to the requirements in AASHTO Designation: M 295, Class F or N with a CaO content up to 10 percent, including the amount in blended cement, pounds per cubic yard.
- FB = Fly ash or natural pozzolan conforming to the requirements in AASHTO Designation: M 295, Class F or N with a CaO content greater than 10 percent and up to 15 percent, including the amount in blended cement, pounds per cubic yard.
- SL = GGBFS, including the amount in blended cement, pounds per cubic yard.
- MC = Minimum amount of cementitious material specified, pounds per cubic yard.
- X = 1.8 for innocuous aggregate, 3.0 for all other aggregate.

Equation (2)

$$MC - MSCM - PC \geq 0$$

Where:

MC = Minimum amount of cementitious material specified, pounds per cubic yard.

MSCM = The minimum sum of SCMs that satisfies Equation (1) above, pounds per cubic yard.

PC = The amount of portland cement, including the amount in blended cement, pounds per cubic yard.

- B. 15 percent of Class F fly ash with at least 48 ounces of LiNO<sub>3</sub> solution added per 100 pounds of portland cement. CaO content of the fly ash shall not exceed 15 percent.

### **Precast Concrete**

The SCM content in precast portland cement concrete shall conform to one of the following:

- A. Any combination of portland cement and SCM, satisfying the following equation:

Equation (3)

$$\frac{(25 \times UF) + (12 \times FA) + (10 \times FB) + (6 \times SL)}{TC} \geq X$$

Where:

UF = Silica fume, metakaolin, or UFFA, including the amount in blended cement, pounds per cubic yard.

FA = Fly ash or natural pozzolan conforming to the requirements in AASHTO Designation: M 295, Class F or N with a CaO content up to 10 percent, including the amount in blended cement, pounds per cubic yard.

FB = Fly ash or natural pozzolan conforming to the requirements in AASHTO Designation: M 295, Class F or N with a CaO content greater than 10 percent and up to 15 percent, including the amount in blended cement, pounds per cubic yard.

SL = GGBFS, including the amount in blended cement, pounds per cubic yard.

TC = Total amount of cementitious material used in the mix, pounds per cubic yard.

X = 0.0 if precast members are constructed with portland cement concrete using aggregate that is "innocuous" in conformance with the provisions in Section 90-2.02, "Aggregates."

X = 3.0 for all other aggregate.

- B. 15 percent of Class F fly ash with at least 48 ounces of LiNO<sub>3</sub> solution added per 100 pounds of portland cement. CaO content of the fly ash shall not exceed 15 percent.
- C. Any combination of supplementary cementitious material and portland cement may be used if the expansion of cementitious material and aggregate does not exceed 0.10 percent when tested in conformance with the requirements in ASTM C 1567. Test data shall be submitted with each mix design. Test data accepted by the Engineer no more than 3 years prior to the first working day of this contract will be acceptable for this entire contract, provided the data was for the same concrete mix and the same materials and material sources to be used on this contract.

### **90-2.02 AGGREGATES**

To be considered innocuous, aggregate must be on the Department's approved list, "Innocuous Aggregates for use in Concrete." Information regarding aggregate qualification and placement on the Department's approved list can be obtained at the Transportation Laboratory.

Both coarse and fine aggregate must be on the approved list for the aggregate used in concrete to be considered innocuous.

Aggregates shall be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

The Contractor shall provide safe and suitable facilities, including necessary splitting devices for obtaining samples of aggregates, in conformance with California Test 125.

Aggregates shall be of such character that it will be possible to produce workable concrete within the limits of water content provided in Section 90-6.06, "Amount of Water and Penetration."

Aggregates shall have not more than 10 percent loss when tested for soundness in conformance with the requirements in California Test 214. The soundness requirement for fine aggregate will be waived, provided that the durability index,  $D_f$ , of the fine aggregate is 60 or greater when tested for durability in conformance with California Test 229.

If the results of any one or more of the Cleanness Value, Sand Equivalent, or aggregate grading tests do not meet the requirements specified for "Operating Range" but all meet the "Contract Compliance" requirements, the placement of concrete shall be suspended at the completion of the current pour until tests or other information indicate that the next material to be used in the work will comply with the requirements specified for "Operating Range."

If the results of either or both the Cleanness Value and coarse aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State \$3.50 per cubic yard for paving concrete and \$5.50 per cubic yard for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

If the results of either or both the Sand Equivalent and fine aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete which is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State \$3.50 per cubic yard for paving concrete and \$5.50 per cubic yard for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

The 2 preceding paragraphs apply individually to the "Contract Compliance" requirements for coarse aggregate and fine aggregate. When both coarse aggregate and fine aggregate do not conform to the "Contract Compliance" requirements, both paragraphs shall apply. The payments specified in those paragraphs are in addition to any payments made in conformance with the provisions in Section 90-1.01, "Description."

No single Cleanness Value, Sand Equivalent, or aggregate grading test shall represent more than 300 cubic yards of concrete or one day's pour, whichever is smaller.

When the source of an aggregate is changed, the Contractor shall adjust the mix proportions and submit in writing to the Engineer a copy of the mix design before using the aggregates.

**90-2.02A Coarse Aggregate**

Coarse aggregate shall consist of gravel, crushed gravel, crushed rock, reclaimed aggregate, crushed air-cooled iron blast furnace slag or combinations thereof. Crushed air-cooled blast furnace slag shall not be used in reinforced or prestressed concrete.

Reclaimed aggregate is aggregate that has been recovered from plastic concrete by washing away the cementitious material. Reclaimed aggregate shall conform to all aggregate requirements.

Coarse aggregate shall conform to the following quality requirements:

Tests	California Test	Requirements
Loss in Los Angeles Rattler (after 500 revolutions)	211	45% max.
Cleanness Value		
Operating Range	227	75 min.
Contract Compliance	227	71 min.

In lieu of the above Cleanness Value requirements, a Cleanness Value "Operating Range" limit of 71, minimum, and a Cleanness Value "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the coarse aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

- A. Coarse aggregate sampled at the completion of processing at the aggregate production plant had a Cleanness Value of not less than 82 when tested in conformance with the requirements in California Test 227; and

- B. Prequalification tests performed in conformance with the requirements in California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

**90-2.02B Fine Aggregate**

Fine aggregate shall consist of natural sand, manufactured sand produced from larger aggregate or a combination thereof. Manufactured sand shall be well graded.

Fine aggregate shall conform to the following quality requirements:

Test	California Test	Requirements
Organic Impurities	213	Satisfactory <sup>a</sup>
Sand Equivalent:		
Operating Range	217	75, min.
Contract Compliance	217	71, min.

<sup>a</sup> Fine aggregate developing a color darker than the reference standard color may be accepted if 95% relative mortar strength is achieved when tested in conformance with ASTM C87.

In lieu of the above Sand Equivalent requirements, a Sand Equivalent "Operating Range" limit of 71, minimum, and a Sand Equivalent "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the fine aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

- A. Fine aggregate sampled at the completion of processing at the aggregate production plant had a Sand Equivalent value of not less than 82 when tested by California Test 217; and
- B. Prequalification tests performed in conformance with California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

**90-2.03 WATER**

In conventionally reinforced concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 1,000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1,300 parts per million of sulfates as SO<sub>4</sub>, when tested in conformance with California Test 417. In prestressed concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 650 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1,300 parts per million of sulfates as SO<sub>4</sub>, when tested in conformance with California Test 417. In no case shall the water contain an amount of impurities that will cause either of the following results when compared to the same test using distilled or deionized water: 1) a change in the setting time of cement of more than 25 percent when tested in conformance with the requirements in ASTM Designation: C 191 or ASTM Designation: C 266 or 2) a reduction in the compressive strength of mortar at 14 days of more than 5 percent, when tested in conformance with the requirements in ASTM Designation: C 109.

In nonreinforced concrete work, the water for curing, for washing aggregates and for mixing shall be free from oil and shall not contain more than 2,000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, or more than 1,500 parts per million of sulfates as SO<sub>4</sub>, when tested in conformance with California Test 417.

In addition to the above provisions, water for curing concrete shall not contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water reclaimed from mixer wash-out operations may be used in mixing concrete. The water shall not contain coloring agents or more than 300 parts per million of alkalis (Na<sub>2</sub>O + 0.658 K<sub>2</sub>O) as determined on the filtrate. The specific gravity of the water shall not exceed 1.03 and shall not vary more than ±0.010 during a day's operations.

**90-2.04 Admixture Materials**

Admixture materials shall be stored and dispensed in liquid form and conform to the following requirements:

- A. Chemical Admixtures—ASTM Designation: C 494.
- B. Air-entraining Admixtures—ASTM Designation: C 260.
- C. Lithium Nitrate shall be in an aqueous solution conforming to the following:

1. Lithium Nitrate (LiNO<sub>3</sub>) must be 30 percent +/- 0.5 percent by weight
2. Sulfate (SO<sub>4</sub>) must be less than 1000 ppm
3. Chloride (Cl) must be less than 1000 ppm
4. Alkalis (Na<sub>2</sub>O + 0.658 K<sub>2</sub>O) must be less than 1000 ppm

### 90-3 AGGREGATE GRADINGS

#### 90-3.01 GENERAL

Before beginning concrete work, the Contractor shall submit in writing to the Engineer the gradation of the primary aggregate nominal sizes that the Contractor proposes to furnish. If a primary coarse aggregate or the fine aggregate is separated into 2 or more sizes, the proposed gradation shall consist of the gradation for each individual size, and the proposed proportions of each individual size, combined mathematically to indicate one proposed gradation. The proposed gradation shall meet the grading requirements shown in the table in this section, and shall show the percentage passing each of the sieve sizes used in determining the end result.

The Engineer may waive, in writing, the gradation requirements in this Section 90-3.01 and in Sections 90-3.02, "Coarse Aggregate Grading," 90-3.03, "Fine Aggregate Grading," and 90-3.04, "Combined Aggregate Gradings," if, in the Engineer's opinion, furnishing the gradation is not necessary for the type or amount of concrete work to be constructed.

Gradations proposed by the Contractor shall be within the following percentage passing limits:

Primary Aggregate Nominal Size	Sieve Size	Limits of Proposed Gradation
1-1/2" x 3/4"	1"	19 - 41
1" x No. 4	3/4"	52 - 85
1" x No. 4	3/8"	15 - 38
1/2" x No. 4	3/8"	40 - 78
3/8" x No. 8	3/8"	50 - 85
Fine Aggregate	No. 16	55 - 75
Fine Aggregate	No. 30	34 - 46
Fine Aggregate	No. 50	16 - 29

Should the Contractor change the source of supply, the Contractor shall submit in writing to the Engineer the new gradations before their intended use.

#### 90-3.02 COARSE AGGREGATE GRADING

The grading requirements for coarse aggregates are shown in the following table for each size of coarse aggregate:

Sieve Sizes	Percentage Passing Primary Aggregate Nominal Sizes							
	1-1/2" x 3/4"		1" x No. 4		1/2" x No. 4		3/8" x No. 8	
	Operating Range	Contract Compliance	Operating Range	Contract Compliance	Operating Range	Contract Compliance	Operating Range	Contract Compliance
2"	100	100	—	—	—	—	—	—
1-1/2"	88 - 100	85 - 100	100	100	—	—	—	—
1"	X ±18	X ±25	88 - 100	86 - 100	—	—	—	—
3/4"	0 - 17	0 - 20	X ±15	X ±22	100	100	—	—
1/2"	—	—	—	—	82 - 100	80 - 100	100	100
3/8"	0 - 7	0 - 9	X ±15	X ±22	X ±15	X ±22	X ±15	X ±20
No. 4	—	—	0 - 16	0 - 18	0 - 15	0 - 18	0 - 25	0 - 28
No. 8	—	—	0 - 6	0 - 7	0 - 6	0 - 7	0 - 6	0 - 7

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

Coarse aggregate for the 1-1/2 inch, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," shall be furnished in 2 or more primary aggregate nominal sizes. Each primary aggregate nominal size may be separated into 2 sizes and stored separately, provided that the combined material conforms to the grading requirements for that particular primary aggregate nominal size.

When the one inch, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," is to be used, the coarse aggregate may be separated into 2 sizes and stored separately, provided that the combined material shall conform to the grading requirements for the 1" x No. 4 primary aggregate nominal size.

**90-3.03 FINE AGGREGATE GRADING**

Fine aggregate shall be graded within the following limits:

Sieve Sizes	Percentage Passing	
	Operating Range	Contract Compliance
3/8"	100	100
No. 4	95 - 100	93 - 100
No. 8	65 - 95	61 - 99
No. 16	X ±10	X ±13
No. 30	X ±9	X ±12
No. 50	X ±6	X ±9
No. 100	2 - 12	1 - 15
No. 200	0 - 8	0 - 10

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

In addition to the above required grading analysis, the distribution of the fine aggregate sizes shall be such that the difference between the total percentage passing the No. 16 sieve and the total percentage passing the No. 30 sieve shall be between 10 and 40, and the difference between the percentage passing the No. 30 and No. 50 sieves shall be between 10 and 40.

Fine aggregate may be separated into 2 or more sizes and stored separately, provided that the combined material conforms to the grading requirements specified in this Section 90-3.03.

**90-3.04 COMBINED AGGREGATE GRADINGS**

Combined aggregate grading limits shall be used only for the design of concrete mixes. Concrete mixes shall be designed so that aggregates are combined in proportions that shall produce a mixture within the grading limits for combined aggregates as specified herein.

The combined aggregate grading, except when otherwise specified in these specifications or the special provisions, shall be either the 1-1/2 inch, maximum grading, or the 1 inch, maximum grading, at the option of the Contractor.

Grading Limits of Combined Aggregates

Sieve Sizes	Percentage Passing			
	1-1/2" Max.	1" Max.	1/2" Max.	3/8" Max.
2"	100	—	—	—
1-1/2"	90 - 100	100	—	—
1"	50 - 86	90 - 100	—	—
3/4"	45 - 75	55 - 100	100	—
1/2"	—	—	90 - 100	100
3/8"	38 - 55	45 - 75	55 - 86	50 - 100
No. 4	30 - 45	35 - 60	45 - 63	45 - 63
No. 8	23 - 38	27 - 45	35 - 49	35 - 49
No. 16	17 - 33	20 - 35	25 - 37	25 - 37
No. 30	10 - 22	12 - 25	15 - 25	15 - 25
No. 50	4 - 10	5 - 15	5 - 15	5 - 15
No. 100	1 - 6	1 - 8	1 - 8	1 - 8
No. 200	0 - 3	0 - 4	0 - 4	0 - 4

Changes from one grading to another shall not be made during the progress of the work unless permitted by the Engineer.

## **90-4 ADMIXTURES**

### **90-4.01 GENERAL**

Admixtures used in portland cement concrete shall conform to and be used in conformance with the provisions in this Section 90-4 and the special provisions. Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option as provided herein.

Chemical admixtures and air-entraining admixtures containing chlorides as Cl in excess of one percent by weight of admixture, as determined by California Test 415, shall not be used.

Admixtures shall be uniform in properties throughout their use in the work. Should it be found that an admixture as furnished is not uniform in properties, its use shall be discontinued.

If more than one admixture is used, the admixtures shall be compatible with each other so that the desirable effects of all admixtures used will be realized.

Chemical admixtures shall be used in conformance with the manufacturer's written recommendations. The manufacturer's written recommendations shall include a statement that the admixtures are compatible with the types and amounts of SCMs used.

### **90-4.02 MATERIALS**

Admixture materials shall conform to the provisions in Section 90-2.04, "Admixture Materials."

### **90-4.03 ADMIXTURE APPROVAL**

No admixture brand shall be used in the work unless it is on the Department's current list of approved brands for the type of admixture involved. Information regarding admixture qualification and placement on the Department's list can be obtained at the Transportation Laboratory.

If the Contractor proposes to use an admixture of a brand and type on the current list of approved admixture brands, the Contractor shall furnish a Certificate of Compliance from the manufacturer, as provided in Section 6-1.07, "Certificates of Compliance," certifying that the admixture furnished is the same as that previously approved. If a previously approved admixture is not accompanied by a Certificate of Compliance, the admixture shall not be used in the work until the Engineer has had sufficient time to make the appropriate tests and has approved the admixture for use. The Engineer may take samples for testing at any time, whether or not the admixture has been accompanied by a Certificate of Compliance.

### **90-4.04 REQUIRED USE OF CHEMICAL ADMIXTURES**

If the use of a chemical admixture is specified, the admixture shall be used at the dosage specified, except that if no dosage is specified, the admixture shall be used at the dosage normally recommended by the manufacturer of the admixture.

### **90-4.05 OPTIONAL USE OF CHEMICAL ADMIXTURES**

The Contractor may use Type A or F, water-reducing; Type B, retarding; or Type D or G, water-reducing and retarding admixtures as described in ASTM Designation: C 494 to conserve cementitious material or to facilitate any concrete construction application subject to the following conditions:

- A. If a water-reducing admixture or a water-reducing and retarding admixture is used, the cementitious material content specified or ordered may be reduced by a maximum of 5 percent by weight, except that the resultant cementitious material content shall be not less than 505 pounds per cubic yard; and
- B. When a reduction in cementitious material content is made, the dosage of admixture used shall be no less than the dosage used in determining approval of the admixture.

The Contractor may use Type S admixtures conforming to the requirements in ASTM Designation: C 494.

Unless otherwise specified, a Type C accelerating chemical admixture conforming to the requirements in ASTM Designation: C 494, may be used in portland cement concrete. Inclusion in the mix design submitted for approval will not be required provided that the admixture is added to counteract changing conditions that contribute to delayed setting of the portland cement concrete, and the use or change in dosage of the admixture is approved in writing by the Engineer.

### **90-4.06 REQUIRED USE OF AIR-ENTRAINING ADMIXTURES**

When air-entrainment is specified or ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce a concrete having the specified air content as determined by California Test 504.

#### **90-4.07 OPTIONAL USE OF AIR-ENTRAINING ADMIXTURES**

When air-entrainment has not been specified or ordered by the Engineer, the Contractor will be permitted to use an air-entraining admixture to facilitate the use of any construction procedure or equipment provided that the average air content, as determined by California Test 504, of 3 successive tests does not exceed 4 percent, and no single test value exceeds 5.5 percent. If the Contractor elects to use an air-entraining admixture in concrete for pavement, the Contractor shall so indicate at the time the Contractor designates the source of aggregate.

#### **90-4.08 BLANK**

#### **90-4.09 BLANK**

#### **90-4.10 PROPORTIONING AND DISPENSING LIQUID ADMIXTURES**

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers for liquid admixtures shall have sufficient capacity to measure at one time the prescribed quantity required for each batch of concrete. Each dispenser shall include a graduated measuring unit into which liquid admixtures are measured to within  $\pm 5$  percent of the prescribed quantity for each batch. Dispensers shall be located and maintained so that the graduations can be accurately read from the point at which proportioning operations are controlled to permit a visual check of batching accuracy prior to discharge. Each measuring unit shall be clearly marked for the type and quantity of admixture.

Each liquid admixture dispensing system shall be equipped with a sampling device consisting of a valve located in a safe and readily accessible position such that a sample of the admixture may be withdrawn slowly by the Engineer.

If more than one liquid admixture is used in the concrete mix, each liquid admixture shall have a separate measuring unit and shall be dispensed by injecting equipment located in such a manner that the admixtures are not mixed at high concentrations and do not interfere with the effectiveness of each other. When air-entraining admixtures are used in conjunction with other liquid admixtures, the air-entraining admixture shall be the first to be incorporated into the mix, unless it is demonstrated that a different sequence improves performance.

When automatic proportioning devices are used, dispensers for liquid admixtures shall operate automatically with the batching control equipment. The dispensers shall be equipped with an automatic warning system in good operating condition that will provide a visible or audible signal at the point at which proportioning operations are controlled when the quantity of admixture measured for each batch of concrete varies from the preselected dosage by more than 5 percent, or when the entire contents of the measuring unit are not emptied from the dispenser into each batch of concrete.

Unless liquid admixtures are added to premeasured water for the batch, their discharge into the batch shall be arranged to flow into the stream of water so that the admixtures are well dispersed throughout the batch, except that air-entraining admixtures may be dispensed directly into moist sand in the batching bins provided that adequate control of the air content of the concrete can be maintained.

Liquid admixtures requiring dosages greater than one-half gallon per cubic yard shall be considered to be water when determining the total amount of free water as specified in Section 90-6.06, "Amount of Water and Penetration."

#### **90-4.11 BLANK**

### **90-5 PROPORTIONING**

#### **90-5.01 STORAGE OF AGGREGATES**

Aggregates shall be stored or stockpiled in such a manner that separation of coarse and fine particles of each size shall be avoided and the various sizes shall not become intermixed before proportioning.

Aggregates shall be stored or stockpiled and handled in a manner that prevent contamination by foreign materials. In addition, storage of aggregates at batching or mixing facilities that are erected subsequent to the award of the contract and that furnish concrete to the project shall conform to the following:

- A. Intermingling of the different sizes of aggregates shall be positively prevented. The Contractor shall take the necessary measures to prevent intermingling. The preventive measures may include, but are not necessarily limited to, physical separation of stockpiles or construction of bulkheads of adequate length and height; and
- B. Contamination of aggregates by contact with the ground shall be positively prevented. The Contractor shall take the necessary measures to prevent contamination. The preventive measures shall include, but are

not necessarily limited to, placing aggregates on wooden platforms or on hardened surfaces consisting of portland cement concrete, asphalt concrete, or cement treated material.

In placing aggregates in storage or in moving the aggregates from storage to the weigh hopper of the batching plant, any method that may cause segregation, degradation, or the combining of materials of different gradings that will result in any size of aggregate at the weigh hopper failing to meet the grading requirements, shall be discontinued. Any method of handling aggregates that results in excessive breakage of particles shall be discontinued. The use of suitable devices to reduce impact of falling aggregates may be required by the Engineer.

#### **90-5.02 PROPORTIONING DEVICES**

Weighing, measuring, or metering devices used for proportioning materials shall conform to the requirements in Section 9-1.01, "Measurement of Quantities," and this Section 90-5.02. In addition, automatic weighing systems shall comply with the requirements for automatic proportioning devices in Section 90-5.03A, "Automatic Proportioning." Automatic devices shall be automatic to the extent that the only manual operation required for proportioning the aggregates, cement, and SCM for one batch of concrete is a single operation of a switch or starter.

For concrete pavement, aggregate and bulk cementitious material must be proportioned by weight by means of automatic proportioning devices.

Proportioning devices shall be tested as frequently as the Engineer may deem necessary to ensure their accuracy.

Weighing equipment shall be insulated against vibration or movement of other operating equipment in the plant. When the plant is in operation, the weight of each batch of material shall not vary from the weight designated by the Engineer by more than the tolerances specified herein.

Equipment for cumulative weighing of aggregate shall have a zero tolerance of  $\pm 0.5$  percent of the designated total batch weight of the aggregate. For systems with individual weigh hoppers for the various sizes of aggregate, the zero tolerance shall be  $\pm 0.5$  percent of the individual batch weight designated for each size of aggregate. Equipment for cumulative weighing of cement and SCM shall have a zero tolerance of  $\pm 0.5$  percent of the designated total batch weight of the cement and SCM. Equipment for weighing cement or SCM separately shall have a zero tolerance of  $\pm 0.5$  percent of their designated individual batch weights. Equipment for measuring water shall have a zero tolerance of  $\pm 0.5$  percent of its designated weight or volume.

The weight indicated for any batch of material shall not vary from the preselected scale setting by more than the following:

- A. Aggregate weighed cumulatively shall be within 1.0 percent of the designated total batch weight of the aggregate. Aggregates weighed individually shall be within 1.5 percent of their respective designated batch weights; and
- B. Cement shall be 99 to 102 percent of its designated batch weight. When weighed individually, SCM shall be 99 to 102 percent of its designated batch weight. When SCM and cement are permitted to be weighed cumulatively, cement shall be weighed first to 99 to 102 percent of its designated batch weight, and the total for cement and SCM shall be 99 to 102 percent of the sum of their designated batch weights. When a blended cement is used, the percentages of cement and SCM used for calculating batch weights shall be based on the percentage of SCM indicated in the Certificate of Compliance from the blended cement supplier; and
- C. Water shall be within 1.5 percent of its designated weight or volume.

Each scale graduation shall be approximately 0.001 of the total capacity of the scale. The capacity of scales for weighing cement, SCM, or cement plus SCM and aggregates shall not exceed that of commercially available scales having single graduations indicating a weight not exceeding the maximum permissible weight variation above, except that no scale shall be required having a capacity of less than 1,000 pounds, with one pound graduations.

#### **90-5.03 PROPORTIONING**

Proportioning shall consist of dividing the aggregates into the specified sizes, each stored in a separate bin, and combining them with cementitious material and water as provided in these specifications. Aggregates shall be proportioned by weight.

At the time of batching, aggregates shall have been dried or drained sufficiently to result in a stable moisture content such that no visible separation of water from aggregate will take place during transportation from the proportioning plant to the point of mixing. In no event shall the free moisture content of the fine aggregate at the time of batching exceed 8 percent of its saturated, surface-dry weight.

Should separate supplies of aggregate material of the same size group, but of different moisture content or specific gravity or surface characteristics affecting workability, be available at the proportioning plant, withdrawals shall be made from one supply exclusively and the materials therein completely exhausted before starting upon another.

Bulk Type IP (MS) or Type IS (MS) cement shall be weighed in an individual hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer.

Bulk cement and SCM may be weighed in separate, individual weigh hoppers or may be weighed in the same weigh hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer. If the cement and SCM are weighed cumulatively, the cement shall be weighed first.

If cement and SCM are weighed in separate weigh hoppers, the weigh systems for the proportioning of the aggregate, the cement, and the SCM shall be individual and distinct from all other weigh systems. Each weigh system shall be equipped with a hopper, a lever system, and an indicator to constitute an individual and independent material-weighing device. The cement and the SCM shall be discharged into the mixer simultaneously with the aggregate.

The scales and weigh hoppers for bulk weighing cement, SCM, or cement plus SCM shall be separate and distinct from the aggregate weighing equipment.

For batches of one cubic yard or more, the batching equipment shall conform to one of the following combinations:

- A. Separate boxes and separate scale and indicator for weighing each size of aggregate.
- B. Single box and scale indicator for all aggregates.
- C. Single box or separate boxes and automatic weighing mechanism for all aggregates.

In order to check the accuracy of batch weights, the gross weight and tare weight of batch trucks, truck mixers, truck agitators, and non-agitating hauling equipment shall be determined when ordered by the Engineer. The equipment shall be weighed on scales designated by the Engineer.

#### **90-5.03A Automatic Proportioning**

Automatic proportioning devices shall be authorized by the Department.

For concrete pavement, the Contractor shall install and maintain in operating condition an electronically actuated moisture meter that will indicate, on a readily visible scale, changes in the moisture content of the fine aggregate as it is batched within a sensitivity of 0.5 percent by weight of the fine aggregate.

The batching of cement, SCM, or cement plus SCM and aggregate shall be interlocked so that a new batch cannot be started until all weigh hoppers are empty, the proportioning devices are within zero tolerance, and the discharge gates are closed. The interlock shall permit no part of the batch to be discharged until all aggregate hoppers and the cement and SCM hoppers or the cement plus SCM hopper are charged with weights that are within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If interlocks are required for cement and SCM charging mechanisms and cement and SCM are weighed cumulatively, their charging mechanisms shall be interlocked to prevent the introduction of SCM until the weight of cement in the cement weigh hopper is within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If concrete is completely mixed in stationary mixers, the SCMs shall be weighed in a separate weigh hopper and the SCM and cement shall be introduced simultaneously into the mixer proportionately with the aggregate. If the Contractor provides certification that the stationary mixer is capable of mixing the cement, SCM, aggregates, and water uniformly before discharge, weighing the SCM cumulatively with the cement is permitted. Certification shall contain the following:

- A. Test results for 2 compressive strength test cylinders of concrete taken within the first one-third and 2 compressive strength test cylinders of concrete taken within the last one-third of the concrete discharged from a single batch from the stationary mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength";
- B. Calculations demonstrating that the difference in the averages of 2 compressive strengths taken in the first one-third is no greater than 7.5 percent different than the averages of 2 compressive strengths taken in the last one-third of the concrete discharged from a single batch from the stationary mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength," and
- C. The mixer rotation speed and time of mixing before discharge that are required to produce a mix that meets the requirements above.

The discharge gate on the cement and SCM hoppers or the cement plus SCM hopper shall be designed to permit regulating the flow of cement, SCM, or cement plus SCM into the aggregate as directed by the Engineer.

If separate weigh boxes are used for each size of aggregate, the discharge gates shall permit regulating the flow of each size of aggregate as directed by the Engineer.

Material discharged from the several bins shall be controlled by gates or by mechanical conveyors. The means of withdrawal from the several bins, and of discharge from the weigh box, shall be interlocked so that not more than one bin can discharge at a time, and so that the weigh box cannot be tripped until the required quantity from each of the several bins has been deposited therein. Should a separate weigh box be used for each size of aggregate, all may be operated and discharged simultaneously.

If the discharge from the several bins is controlled by gates, each gate shall be actuated automatically so that the required weight is discharged into the weigh box, after which the gate shall automatically close and lock.

The automatic weighing system shall be designed so that all proportions required may be set on the weighing controller at the same time.

## 90-6 MIXING AND TRANSPORTING

### 90-6.01 GENERAL

Concrete shall be mixed in mechanically operated mixers, except that when permitted by the Engineer, batches not exceeding 1/3 cubic yard may be mixed by hand methods in conformance with the provisions in Section 90-6.05, "Hand-Mixing."

Equipment having components made of aluminum or magnesium alloys that would have contact with plastic concrete during mixing, transporting, or pumping of portland cement concrete shall not be used.

Concrete shall be homogeneous and thoroughly mixed, and there shall be no lumps or evidence of undispersed cementitious material.

Uniformity of concrete mixtures will be determined by differences in penetration as determined by California Test 533, or slump as determined by ASTM Designation: C 143, and by variations in the proportion of coarse aggregate as determined by California Test 529.

When the mix design specifies a penetration value, the difference in penetration, determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed 1/2 inch. When the mix design specifies a slump value, the difference in slump, determined by comparing slump tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed the values given in the table below. Variation in the proportion of coarse aggregate will be determined by comparing the results of tests of 2 samples of mixed concrete from the same batch or truck mixer load and the difference between the 2 results shall not exceed 170 pounds per cubic yard of concrete.

Average Slump	Maximum Permissible Difference
Less than 4"	1"
4" to 6"	1-1/2"
Greater than 6" to 9"	2"

The Contractor shall furnish samples of the freshly mixed concrete and provide satisfactory facilities for obtaining the samples.

### 90-6.02 MACHINE MIXING

Concrete mixers may be of the revolving drum or the revolving blade type, and the mixing drum or blades shall be operated uniformly at the mixing speed recommended by the manufacturer. Mixers and agitators that have an accumulation of hard concrete or mortar shall not be used.

The temperature of mixed concrete, immediately before placing, shall be not less than 50 °F or more than 90 °F. Aggregates and water shall be heated or cooled as necessary to produce concrete within these temperature limits. Neither aggregates nor mixing water shall be heated to exceed 150 °F. If ice is used to cool the concrete, discharge of the mixer will not be permitted until all ice is melted.

The batch shall be so charged into the mixer that some water will enter in advance of cementitious materials and aggregates. All water shall be in the drum by the end of the first one-fourth of the specified mixing time. When concrete is delivered in a truck mixer, a portion of the mixing water may be withheld and, if allowed by the Engineer, may be added at the point of delivery as specified under Section 90-6.03, "Transporting Mixed Concrete."

Cementitious materials shall be batched and charged into the mixer by means that will not result either in loss of cementitious materials due to the effect of wind, in accumulation of cementitious materials on surfaces of conveyors or hoppers, or in other conditions that reduce or vary the required quantity of cementitious material in the concrete mixture.

Stationary mixers shall be operated with an automatic timing device. The timing device and discharge mechanism shall be interlocked so that during normal operation no part of the batch will be discharged until the specified mixing time has elapsed.

The total elapsed time between the intermingling of damp aggregates and all cementitious materials and the start of mixing shall not exceed 30 minutes.

The size of batch shall not exceed the manufacturer's guaranteed capacity.

When producing concrete for pavement or base, suitable batch counters shall be installed and maintained in good operating condition at job site batching plants and stationary mixers. The batch counters shall indicate the exact number of batches proportioned and mixed.

Concrete shall be mixed and delivered to the job site by means of one of the following combinations of operations:

- A. Mixed completely in a stationary mixer and the mixed concrete transported to the point of delivery in truck agitators or in nonagitating hauling equipment (central-mixed concrete).
- B. Mixed partially in a stationary mixer, and the mixing completed in a truck mixer (shrink-mixed concrete).
- C. Mixed completely in a truck mixer (transit-mixed concrete).

Agitators may be truck mixers operating at agitating speed or truck agitators. Each mixer and agitator shall have attached thereto in a prominent place a metal plate or plates on which is plainly marked the various uses for which the equipment is designed, the manufacturer's guaranteed capacity of the drum or container in terms of the volume of mixed concrete and the speed of rotation of the mixing drum or blades.

Truck mixers shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified.

When shrink-mixed concrete is furnished, concrete that has been partially mixed at a central plant shall be transferred to a truck mixer and all requirements for transit-mixed concrete shall apply. No credit in the number of revolutions at mixing speed will be allowed for partial mixing in a central plant.

### **90-6.03 TRANSPORTING MIXED CONCRETE**

Mixed concrete may be transported to the delivery point in truck agitators or truck mixers operating at the speed designated by the manufacturer of the equipment as agitating speed, or in non-agitating hauling equipment, provided the consistency and workability of the mixed concrete upon discharge at the delivery point is suitable for adequate placement and consolidation in place, and provided the mixed concrete after hauling to the delivery point conforms to the provisions in Section 90-6.01, "General."

Truck agitators shall be loaded not to exceed the manufacturer's guaranteed capacity and shall maintain the mixed concrete in a thoroughly mixed and uniform mass during hauling.

Bodies of nonagitating hauling equipment shall be constructed so that leakage of the concrete mix, or any part thereof, will not occur at any time.

Concrete hauled in open-top vehicles shall be protected during hauling against rain or against exposure to the sun for more than 20 minutes when the ambient temperature exceeds 75 °F.

No water in excess of that in the approved mix design shall be incorporated into the concrete. If approved by the Engineer, water withheld during batching may be added to the concrete at the delivery point in one operation before the discharge of more than 1/4 cubic yard. Equipment for supplying the water shall conform to Section 90-6.06, "Amount of Water and Penetration." When water is added at the point of delivery, the drum shall be revolved not less than 30 revolutions at mixing speed after the water is added and before discharged is commenced.

The rate of discharge of mixed concrete from a truck mixer or agitator shall be controlled by the speed of rotation of the drum in the discharge direction with the discharge gate fully open.

If a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be completed within 1.5 hours or before 250 revolutions of the drum or blades, whichever occurs first, after the introduction of the cementitious materials to the aggregates. Under conditions contributing to quick stiffening of the concrete, or if the temperature of the concrete is 85 °F or above, the time allowed may be less than 1.5 hours. If an admixture is used to retard the set time, the temperature of the concrete shall not exceed 85 °F, the time limit shall be 2 hours, and the revolution limitation shall be 300.

If nonagitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be completed within one hour after the addition of the cementitious materials to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 85 °F or above, the time between the introduction of cementitious materials to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete delivered at the job site shall be accompanied by a weighmaster certificate showing the mix identification number, nonrepeating load number, date and time at which the materials were batched, the total amount of water added to the load, and for transit-mixed concrete, the reading of the revolution counter at the time

the truck mixer is charged with cement. This weighmaster certificate shall also show the actual scale weights (pounds) for the ingredients batched. Theoretical or target batch weights shall not be used as a substitute for actual scale weights.

Weighmaster certificates shall be provided in printed form, or if approved by the Engineer, the data may be submitted in electronic media. Electronic media shall be presented in a tab-delimited format on a CD or DVD. Captured data, for the ingredients represented by each batch shall be "line feed, carriage return" (LFCR) and "one line, separate record" with allowances for sufficient fields to satisfy the amount of data required by these specifications.

The Contractor may furnish a weighmaster certificate accompanied by a separate certificate that lists the actual batch weights or measurements for a load of concrete provided that both certificates are imprinted with the same nonrepeating load number that is unique to the contract and delivered to the jobsite with the load.

Weighmaster certificates furnished by the Contractor shall conform to the provisions in Section 9-1.01, "Measurement of Quantities."

**90-6.04 TIME OR AMOUNT OF MIXING**

Mixing of concrete in stationary mixers shall continue for the required mixing time after all ingredients, except water and admixture, if added with the water, are in the mixing compartment of the mixer before any part of the batch is released. Transfer time in multiple drum mixers shall not be counted as part of the required mixing time.

The required mixing time, in stationary mixers, of concrete used for concrete structures, except minor structures, shall be not less than 90 seconds or more than 5 minutes, except that when directed by the Engineer in writing, the requirements of the following paragraph shall apply.

The required mixing time in stationary mixers, except as provided in the preceding paragraph, shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at the mixing speed for transit-mixed concrete shall not be less than that recommended by the mixer manufacturer, but in no case shall the number of revolutions be less than that required to consistently produce concrete conforming to the provisions for uniformity in Section 90-6.01, "General."

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

**90-6.05 HAND-MIXING**

Hand-mixed concrete shall be made in batches of not more than 1/3 cubic yard and shall be mixed on a watertight, level platform. The proper amount of coarse aggregate shall be measured in measuring boxes and spread on the platform and the fine aggregate shall be spread on this layer, the 2 layers being not more than one foot in total depth. On this mixture shall be spread the dry cementitious materials and the whole mass turned no fewer than 2 times dry; then sufficient clean water shall be added, evenly distributed, and the whole mass again turned no fewer than 3 times, not including placing in the carriers or forms.

**90-6.06 AMOUNT OF WATER AND PENETRATION**

The amount of water used in concrete mixes shall be regulated so that the penetration of the concrete as determined by California Test 533 or the slump of the concrete as determined by ASTM Designation: C 143 is within the nominal values shown in the following table. When the penetration or slump of the concrete is found to exceed the nominal values listed, the mixture of subsequent batches shall be adjusted to reduce the penetration or slump to a value within the nominal range shown. Batches of concrete with a penetration or slump exceeding the maximum values listed shall not be used in the work. If Type F or Type G chemical admixtures are added to the mix, the penetration requirements shall not apply and the slump shall not exceed 9 inches after the chemical admixtures are added.

Type of Work	Nominal		Maximum	
	Penetration (inches)	Slump (inches)	Penetration (inches)	Slump (inches)
Concrete Pavement	0 - 1	—	1-1/2	—
Non-reinforced concrete facilities	0 - 1-1/2	—	2	—
Reinforced concrete structures				
Sections over 12 inches thick	0 - 1-1/2	—	2-1/2	—
Sections 12 inches thick or less	0 - 2	—	3	—
Concrete placed under water	—	6 - 8	—	9
Cast-in-place concrete piles	2-1/2 - 3-1/2	5 - 7	4	8

The amount of free water used in concrete shall not exceed 310 pounds per cubic yard, plus 20 pounds for each required 100 pounds of cementitious material in excess of 550 pounds per cubic yard.

The term free water is defined as the total water in the mixture minus the water absorbed by the aggregates in reaching a saturated surface-dry condition.

If there are adverse or difficult conditions that affect the placing of concrete, the above specified penetration and free water content limitations may be exceeded providing the Contractor is granted permission by the Engineer in writing to increase the cementitious material content per cubic yard of concrete. The increase in water and cementitious material shall be at a ratio not to exceed 30 pounds of water per added 100 pounds of cementitious material per cubic yard. Full compensation for additional cementitious material and water added under these conditions shall be considered as included in the contract price paid for the concrete work involved and no additional compensation will be allowed therefor.

The equipment for supplying water to the mixer shall be constructed and arranged so that the amount of water added can be measured accurately. Any method of discharging water into the mixer for a batch shall be accurate within 1.5 percent of the quantity of water required to be added to the mix for any position of the mixer. Tanks used to measure water shall be designed so that water cannot enter while water is being discharged into the mixer and discharge into the mixer shall be made rapidly in one operation without dribbling. All equipment shall be arranged so as to permit checking the amount of water delivered by discharging into measured containers.

## **90-7 CURING CONCRETE**

### **90-7.01 METHODS OF CURING**

Newly placed concrete shall be cured by the methods specified in this Section 90-7.01 and the special provisions.

#### **90-7.01A Water Method**

The concrete shall be kept continuously wet by the application of water for a minimum curing period of 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or earth or sand blankets may be used as a curing medium to retain the moisture during the curing period.

If a curing medium consisting of cotton mats, rugs, carpets, polyethylene sheeting, polyethylene sheeting on burlap, or earth or sand blankets is to be used to retain the moisture, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. The moisture from the nozzle shall not be applied under pressure directly upon the concrete and shall not be allowed to accumulate on the concrete in a quantity sufficient to cause a flow or wash the surface. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing media.

At the option of the Contractor, a curing medium consisting of white opaque polyethylene sheeting extruded onto burlap may be used to cure concrete structures. The polyethylene sheeting shall have a minimum thickness of 4-mil, and shall be extruded onto 10-ounce burlap.

At the option of the Contractor, a curing medium consisting of polyethylene sheeting may be used to cure concrete columns. The polyethylene sheeting shall have a minimum thickness of 10-mil achieved in a single layer of material.

If the Contractor chooses to use polyethylene sheeting or polyethylene sheeting on burlap as a curing medium, these media and any joints therein shall be secured as necessary to provide moisture retention and shall be within 3 inches of the concrete at all points along the surface being cured. When these media are used, the temperature of the concrete shall be monitored during curing. If the temperature of the concrete cannot be maintained below 140° F, use of these curing media shall be disallowed.

When concrete bridge decks and flat slabs are to be cured without the use of a curing medium, the entire surface of the bridge deck or slab shall be kept damp by the application of water with an atomizing nozzle as specified above, until the concrete has set, after which the entire surface of the concrete shall be sprinkled continuously with water for a period of not less than 7 days.

#### **90-7.01B Curing Compound Method**

Surfaces of the concrete that are exposed to the air shall be sprayed uniformly with a curing compound.

Curing compounds to be used shall be as follows:

1. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B, except the resin type shall be poly-alpha-methylstyrene.

2. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B.
3. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class A.
4. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class B.
5. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class A.
6. Nonpigmented curing compound with fugitive dye conforming to the requirements in ASTM Designation: C 309, Type 1-D, Class A.

The infrared scan for the dried vehicle from curing compound (1) shall match the infrared scan on file at the Transportation Laboratory.

The loss of water for each type of curing compound, when tested in conformance with the requirements in California Test 534, shall not be more than 0.28 pounds per square yard in 24 hours.

The curing compound to be used will be specified elsewhere in these specifications or in the special provisions.

If the use of curing compound is required or permitted elsewhere in these specifications or in the special provisions and no specific kind is specified, any of the curing compounds listed above may be used.

Curing compound shall be applied at a nominal rate of one gallon per 150 square feet, unless otherwise specified.

At any point, the application rate shall be within  $\pm 50$  square feet per gallon of the nominal rate specified, and the average application rate shall be within  $\pm 25$  square feet per gallon of the nominal rate specified when tested in conformance with the requirements in California Test 535. Runs, sags, thin areas, skips, or holidays in the applied curing compound shall be evidence that the application is not satisfactory.

Curing compounds shall be applied using power operated spray equipment. The power operated spraying equipment shall be equipped with an operational pressure gage and a means of controlling the pressure. Hand spraying of small and irregular areas that are not reasonably accessible to mechanical spraying equipment, in the opinion of the Engineer, may be permitted.

The curing compound shall be applied to the concrete following the surface finishing operation, immediately before the moisture sheen disappears from the surface, but before any drying shrinkage or craze cracks begin to appear. In the event of any drying or cracking of the surface, application of water with an atomizing nozzle as specified in Section 90-7.01A, "Water Method," shall be started immediately and shall be continued until application of the compound is resumed or started; however, the compound shall not be applied over any resulting freestanding water. Should the film of compound be damaged from any cause before the expiration of 7 days after the concrete is placed in the case of structures and 72 hours in the case of pavement, the damaged portion shall be repaired immediately with additional compound.

At the time of use, compounds containing pigments shall be in a thoroughly mixed condition with the pigment uniformly dispersed throughout the vehicle. A paddle shall be used to loosen all settled pigment from the bottom of the container, and a power driven agitator shall be used to disperse the pigment uniformly throughout the vehicle.

Agitation shall not introduce air or other foreign substance into the curing compound.

The manufacturer shall include in the curing compound the necessary additives for control of sagging, pigment settling, leveling, de-emulsification, or other requisite qualities of a satisfactory working material. Pigmented curing compounds shall be manufactured so that the pigment does not settle badly, does not cake or thicken in the container, and does not become granular or curdled. Settlement of pigment shall be a thoroughly wetted, soft, mushy mass permitting the complete and easy vertical penetration of a paddle. Settled pigment shall be easily redispersed, with minimum resistance to the sideways manual motion of the paddle across the bottom of the container, to form a smooth uniform product of the proper consistency.

Curing compounds shall remain sprayable at temperatures above 40 °F and shall not be diluted or altered after manufacture.

The curing compound shall be packaged in clean 274-gallon totes, 55-gallon barrels or 5-gallon pails shall be supplied from a suitable storage tank located at the jobsite. The containers shall comply with "Title 49, Code of Federal Regulations, Hazardous Materials Regulations." The 274-gallon totes and the 55-gallon barrels shall have removable lids and airtight fasteners. The 5-gallon pails shall be round and have standard full open head and bail. Lids with bungholes will not be permitted. Settling or separation of solids in containers, except tanks, must be completely redispersed with low speed mixing prior to use, in conformance with these specifications and the manufacturer's recommendations. Mixing shall be accomplished either manually by use of a paddle or by use of a mixing blade driven by a drill motor, at low speed. Mixing blades shall be the type used for mixing paint. On-site storage tanks shall be kept clean and free of contaminants. Each tank shall have a permanent system designed to completely redisperse settled material without introducing air or other foreign substances.

Steel containers and lids shall be lined with a coating that will prevent destructive action by the compound or chemical agents in the air space above the compound. The coating shall not come off the container or lid as skins. Containers shall be filled in a manner that will prevent skinning. Plastic containers shall not react with the compound.

Each container shall be labeled with the manufacturer's name, kind of curing compound, batch number, volume, date of manufacture, and volatile organic compound (VOC) content. The label shall also warn that the curing compound containing pigment shall be well stirred before use. Precautions concerning the handling and the application of curing compound shall be shown on the label of the curing compound containers in conformance with the Construction Safety Orders and General Industry Safety Orders of the State.

Containers of curing compound shall be labeled to indicate that the contents fully comply with the rules and regulations concerning air pollution control in the State.

When the curing compound is shipped in tanks or tank trucks, a shipping invoice shall accompany each load. The invoice shall contain the same information as that required herein for container labels.

Curing compound will be sampled by the Engineer at the source of supply, at the job site, or at both locations.

Curing compound shall be formulated so as to maintain the specified properties for a minimum of one year. The Engineer may require additional testing before use to determine compliance with these specifications if the compound has not been used within one year or whenever the Engineer has reason to believe the compound is no longer satisfactory.

Tests will be conducted in conformance with the latest ASTM test methods and methods in use by the Transportation Laboratory.

#### **90-7.01C Waterproof Membrane Method**

The exposed finished surfaces of concrete shall be sprayed with water, using a nozzle that so atomizes the flow that a mist and not a spray is formed, until the concrete has set, after which the curing membrane, shall be placed. The curing membrane shall remain in place for a period of not less than 72 hours.

Sheeting material for curing concrete shall conform to the requirements in AASHTO Designation: M 171 for white reflective materials.

The sheeting material shall be fabricated into sheets of such width as to provide a complete cover for the entire concrete surface. Joints in the sheets shall be securely cemented together in such a manner as to provide a waterproof joint. The joint seams shall have a minimum lap of 0.33 foot.

The sheets shall be securely weighted down by placing a bank of earth on the edges of the sheets or by other means satisfactory to the Engineer.

Should any portion of the sheets be broken or damaged before the expiration of 72 hours after being placed, the broken or damaged portions shall be immediately repaired with new sheets properly cemented into place.

Sections of membrane that have lost their waterproof qualities or have been damaged to such an extent as to render them unfit for curing the concrete shall not be used.

#### **90-7.01D Forms-In-Place Method**

Formed surfaces of concrete may be cured by retaining the forms in place. The forms shall remain in place for a minimum period of 7 days after the concrete has been placed, except that for members over 20 inches in least dimension the forms shall remain in place for a minimum period of 5 days.

Joints in the forms and the joints between the end of forms and concrete shall be kept moisture tight during the curing period. Cracks in the forms and cracks between the forms and the concrete shall be resealed by methods subject to the approval of the Engineer.

#### **90-7.02 BLANK**

#### **90-7.03 CURING STRUCTURES**

Newly placed concrete for cast-in-place structures, other than highway bridge decks, shall be cured by the water method, the forms-in-place method, or, as permitted herein, by the curing compound method, in conformance with the provisions in Section 90-7.01, "Methods of Curing."

The curing compound method using a pigmented curing compound may be used on concrete surfaces of construction joints, surfaces that are to be buried underground, and surfaces where only ordinary surface finish is to be applied and on which a uniform color is not required and that will not be visible from a public traveled way. If the Contractor elects to use the curing compound method on the bottom slab of box girder spans, the curing compound shall be curing compound (1).

The top surface of highway bridge decks shall be cured by both the curing compound method and the water method. The curing compound shall be curing compound (1).

Concrete surfaces of minor structures, as defined in Section 51-1.02, "Minor Structures," shall be cured by the water method, the forms-in-place method or the curing compound method.

When deemed necessary by the Engineer during periods of hot weather, water shall be applied to concrete surfaces being cured by the curing compound method or by the forms-in-place method, until the Engineer determines that a cooling effect is no longer required. Application of water for this purpose will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

#### **90-7.04 CURING PRECAST CONCRETE MEMBERS**

Precast concrete members shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing." Curing shall be provided for the minimum time specified for each method or until the concrete reaches its design strength, whichever is less. Steam curing may also be used for precast members and shall conform to the following provisions:

- A. After placement of the concrete, members shall be held for a minimum 4-hour presteaming period. If the ambient air temperature is below 50 °F, steam shall be applied during the presteaming period to hold the air surrounding the member at a temperature between 50 °F and 90 °F.
- B. To prevent moisture loss on exposed surfaces during the presteaming period, members shall be covered as soon as possible after casting or the exposed surfaces shall be kept wet by fog spray or wet blankets.
- C. Enclosures for steam curing shall allow free circulation of steam about the member and shall be constructed to contain the live steam with a minimum moisture loss. The use of tarpaulins or similar flexible covers will be permitted, provided they are kept in good repair and secured in such a manner as to prevent the loss of steam and moisture.
- D. Steam at the jets shall be at low pressure and in a saturated condition. Steam jets shall not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure shall not exceed 40 °F per hour. The curing temperature throughout the enclosure shall not exceed 150 °F and shall be maintained at a constant level for a sufficient time necessary to develop the required transfer strength. Control cylinders shall be covered to prevent moisture loss and shall be placed in a location where temperature is representative of the average temperature of the enclosure.
- E. Temperature recording devices that will provide an accurate, continuous, permanent record of the curing temperature shall be provided. A minimum of one temperature recording device per 200 feet of continuous bed length will be required for checking temperature.
- F. Members in pretension beds shall be detensioned immediately after the termination of steam curing while the concrete and forms are still warm, or the temperature under the enclosure shall be maintained above 60 °F until the stress is transferred to the concrete.
- G. Curing of precast concrete will be considered completed after termination of the steam curing cycle.

#### **90-7.05 CURING PRECAST PRESTRESSED CONCRETE PILES**

Newly placed concrete for precast prestressed concrete piles shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," except that piles in a corrosive environment shall be cured as follows:

- A. Piles shall be either steam cured or water cured. If water curing is used, the piles shall be kept continuously wet by the application of water in conformance with the provisions in Section 90-7.01A, "Water Method."
- B. If steam curing is used, the steam curing provisions in Section 90-7.04, "Curing Precast Concrete Members," shall apply except that the piles shall be kept continuously wet for their entire length for a period of not less than 3 days, including the holding and steam curing periods.

#### **90-7.06 CURING SLOPE PROTECTION**

Concrete slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Concreted-rock slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing," with a blanket of earth kept wet for 72 hours, or by sprinkling with a fine spray of water every 2 hours during the daytime for a period of 3 days.

#### **90-7.07 CURING MISCELLANEOUS CONCRETE WORK**

Exposed surfaces of curbs shall be cured by pigmented curing compounds as specified in Section 90-7.01B, "Curing Compound Method."

Concrete sidewalks, gutter depressions, island paving, curb ramps, driveways, and other miscellaneous concrete areas shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Shotcrete shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

Mortar and grout shall be cured by keeping the surface damp for 3 days.

After placing, the exposed surfaces of sign structure foundations, including pedestal portions, if constructed, shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

## **90-8 PROTECTING CONCRETE**

### **90-8.01 GENERAL**

In addition to the provisions in Section 7-1.16, "Contractor's Responsibility for the Work and Materials," the Contractor shall protect concrete as provided in this Section 90-8. If required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.

The Contractor shall protect concrete from damage from any cause, which shall include, but not be limited to: rain, heat, cold, wind, Contractor's actions, and actions of others.

Concrete shall not be placed on frozen or ice-coated ground or subgrade nor on ice-coated forms, reinforcing steel, structural steel, conduits, precast members, or construction joints.

Under rainy conditions, placing of concrete shall be stopped before the quantity of surface water is sufficient to damage surface mortar or cause a flow or wash of the concrete surface, unless the Contractor provides adequate protection against damage.

Concrete that has been frozen or damaged by other causes, as determined by the Engineer, shall be removed and replaced by the Contractor at the Contractor's expense.

### **90-8.02 PROTECTING CONCRETE STRUCTURES**

Structure concrete and shotcrete used as structure concrete shall be maintained at a temperature of not less than 45 °F for 72 hours after placing and at not less than 40 °F for an additional 4 days.

## **90-9 COMPRESSIVE STRENGTH**

### **90-9.01 GENERAL**

Concrete compressive strength requirements consist of a minimum strength that shall be attained before various loads or stresses are applied to the concrete and, for concrete designated by compressive strength, a minimum strength at the age of 28 days or at the age otherwise allowed in Section 90-1.01, "Description." The various strengths required are specified in these specifications or the special provisions or are shown on the plans.

The compressive strength of concrete will be determined from test cylinders that have been fabricated from concrete sampled in conformance with the requirements of California Test 539. Test cylinders will be molded and initially field cured in conformance with California Test 540. Test cylinders will be cured and tested after receipt at the testing laboratory in conformance with the requirements of California Test 521. A strength test shall consist of the average strength of 2 cylinders fabricated from material taken from a single load of concrete, except that, if any cylinder should show evidence of improper sampling, molding, or testing, that cylinder shall be discarded and the strength test shall consist of the strength of the remaining cylinder.

When concrete compressive strength is specified as a prerequisite to applying loads or stresses to a concrete structure or member, test cylinders for other than steam cured concrete will be cured in conformance with Method 1 of California Test 540. The compressive strength of concrete determined for these purposes will be evaluated on the basis of individual tests.

When concrete is designated by compressive strength rather than by cementitious material content, the concrete strength to be used as a basis for acceptance of other than steam cured concrete will be determined from cylinders cured in conformance with Method 1 of California Test 540. If the result of a single compressive strength test at the maximum age specified or allowed is below the specified strength but is 95 percent or more of the specified strength, the Contractor shall make corrective changes, subject to approval of the Engineer, in the mix proportions or in the concrete fabrication procedures, before placing additional concrete, and shall pay to the State \$10 for each in-place cubic yard of concrete represented by the deficient test. If the result of a single compressive strength test at the maximum age specified or allowed is below 95 percent of the specified strength, but is 85 percent or more of the specified strength, the Contractor shall make the corrective changes specified above, and shall pay to the State \$15 for each in-place cubic yard of concrete represented by the deficient test. In addition, such corrective changes shall be made when the compressive strength of concrete tested at 7 days indicates, in the judgment of the Engineer, that the concrete will not attain the required compressive strength at the maximum age specified or allowed. Concrete

represented by a single test that indicates a compressive strength of less than 85 percent of the specified 28-day compressive strength will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials."

If the test result indicates that the compressive strength at the maximum age specified or allowed is below the specified strength, but is 85 percent or more of the specified strength, payments to the State as required above shall be made, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work meets or exceeds the specified 28-day compressive strength. If the test result indicates a compressive strength at the maximum age specified or allowed below 85 percent, the concrete represented by that test will be rejected, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work is at least 85 percent of the specified strength. If the evidence consists of tests made on cores taken from the work, the cores shall be obtained and tested in conformance with the requirements in ASTM Designation: C 42.

No single compressive strength test shall represent more than 320 cubic yards.

If a precast concrete member is steam cured, the compressive strength of the concrete will be determined from test cylinders that have been handled and stored in conformance with Method 3 of California Test 540. The compressive strength of steam cured concrete will be evaluated on the basis of individual tests representing specific portions of production. If the concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete shall be considered to be acceptable whenever its compressive strength reaches the specified 28-day compressive strength provided that strength is reached in not more than the maximum number of days specified or allowed after the member is cast.

When concrete has a specified 28-day compressive strength greater than 3,600 pounds per square inch or when prequalification is specified, prequalification of materials, mix proportions, mixing equipment, and procedures proposed for use will be required prior to placement of the concrete. Prequalification shall be accomplished by the submission of acceptable certified test data or trial batch reports by the Contractor. Prequalification data shall be based on the use of materials, mix proportions, mixing equipment, procedures, and size of batch proposed for use in the work.

Certified test data, in order to be acceptable, shall indicate that not less than 90 percent of at least 20 consecutive tests exceed the specified strength at the maximum number of days specified or allowed, and none of those tests are less than 95 percent of specified strength. Strength tests included in the data shall be the most recent tests made on concrete of the proposed mix design and all shall have been made within one year of the proposed use of the concrete.

Trial batch test reports, in order to be acceptable, shall indicate that the average compressive strength of 5 consecutive concrete cylinders, taken from a single batch, at not more than 28 days (or the maximum age allowed) after molding shall be at least 600 pounds per square inch greater than the specified 28-day compressive strength, and no individual cylinder shall have a strength less than the specified strength at the maximum age specified or allowed. Data contained in the report shall be from trial batches that were produced within one year of the proposed use of specified strength concrete in the project. Whenever air-entrainment is required, the air content of trial batches shall be equal to or greater than the air content specified for the concrete without reduction due to tolerances.

Tests shall be performed in conformance with either the appropriate California Test methods or the comparable ASTM test methods. Equipment employed in testing shall be in good condition and shall be properly calibrated. If the tests are performed during the life of the contract, the Engineer shall be notified sufficiently in advance of performing the tests in order to witness the test procedures.

The certified test data and trial batch test reports shall include the following information:

- A. Date of mixing.
- B. Mixing equipment and procedures used.
- C. The size of batch in cubic yards and the weight, type, and source of all ingredients used.
- D. Penetration or slump (if the concrete will be placed under water or placed in cast-in-place concrete piles) of the concrete.
- E. The air content of the concrete if an air-entraining admixture is used.
- F. The age at time of testing and strength of all concrete cylinders tested.

Certified test data and trial batch test reports shall be signed by an official of the firm that performed the tests.

When approved by the Engineer, concrete from trial batches may be used in the work at locations where concrete of a lower quality is required and the concrete will be paid for as the type of concrete required at that location.

After materials, mix proportions, mixing equipment, and procedures for concrete have been prequalified for use, additional prequalification by testing of trial batches will be required prior to making changes that, in the judgment of the Engineer, could result in a strength of concrete below that specified.

The Contractor's attention is directed to the time required to test trial batches and the Contractor shall be responsible for production of trial batches at a sufficiently early date so that the progress of the work is not delayed.

When precast concrete members are manufactured at the plant of an established manufacturer of precast concrete members, the mix proportions of the concrete shall be determined by the Contractor, and a trial batch and prequalification of the materials, mix proportions, mixing equipment, and procedures will not be required.

**90-10 MINOR CONCRETE**

**90-10.01 GENERAL**

Concrete for minor structures, slope paving, curbs, sidewalks and other concrete work, when designated as minor concrete on the plans, in the specifications, or in the contract item, shall conform to the provisions specified herein.

The Engineer, at the Engineer's discretion, will inspect and test the facilities, materials and methods for producing the concrete to ensure that minor concrete of the quality suitable for use in the work is obtained.

Before using minor concrete or in advance of revising the mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design. When required by the following table, the Contractor shall include compressive strength test results verifying the minimum specified compressive strength:

SCM	Test Submittal Required
Fly Ash used alone	When portland cement content < 350 lbs/cy
GGBFS used alone	When portland cement content < 250 lbs/cy
Natural Pozzolan used alone	When portland cement content < 350 lbs/cy
More than 1 SCM	Always

Tests shall be performed by an ACI certified technician.

**90-10.02 MATERIALS**

Minor concrete shall conform to the following requirements:

**90-10.02A Cementitious Material**

Cementitious material shall conform to the provisions in Section 90-1.01, "Description," and 90-2, "Materials."

**90-10.02B Aggregate**

Aggregate shall be clean and free from deleterious coatings, clay balls, roots, and other extraneous materials.

Use of crushed concrete or reclaimed aggregate is acceptable only if the aggregate satisfies all aggregate requirements.

The Contractor shall submit to the Engineer for approval, a grading of the combined aggregate proposed for use in the minor concrete. After acceptance of the grading, aggregate furnished for minor concrete shall conform to that grading, unless a change is authorized in writing by the Engineer.

The Engineer may require the Contractor to furnish periodic test reports of the aggregate grading furnished. The maximum size of aggregate used shall be at the option of the Contractor, but in no case shall the maximum size be larger than 1-1/2-inch or smaller than 3/4 inch.

The Engineer may waive, in writing, the gradation requirements in this Section 90-10.02B, if, in the Engineer's opinion, the furnishing of the gradation is not necessary for the type or amount of concrete work to be constructed.

**90-10.02C Water**

Water used for washing, mixing, and curing shall be free from oil, salts, and other impurities that would discolor or etch the surface or have an adverse affect on the quality of the concrete.

**90-10.02D Admixtures**

The use of admixtures shall conform to the provisions in Section 90-4, "Admixtures."

### **90-10.03 PRODUCTION**

Cementitious material, water, aggregate, and admixtures shall be stored, proportioned, mixed, transported, and discharged in conformance with recognized standards of good practice that will result in concrete that is thoroughly and uniformly mixed, that is suitable for the use intended, and that conforms to requirements specified herein. Recognized standards of good practice are outlined in various industry publications such as are issued by American Concrete Institute, AASHTO, or the Department.

The cementitious material content of minor concrete shall conform to the provisions in Section 90-1.01, "Description."

The amount of water used shall result in a consistency of concrete conforming to the provisions in Section 90-6.06, "Amount of Water and Penetration." Additional mixing water shall not be incorporated into the concrete during hauling or after arrival at the delivery point, unless allowed by the Engineer.

Discharge of ready-mixed concrete from the transporting vehicle shall be made while the concrete is still plastic and before stiffening occurs. An elapsed time of 1.5 hours (one hour in non-agitating hauling equipment), or more than 250 revolutions of the drum or blades, after the introduction of the cementitious material to the aggregates, or a temperature of concrete of more than 90 °F will be considered conditions contributing to the quick stiffening of concrete. The Contractor shall take whatever action is necessary to eliminate quick stiffening, except that the addition of water will not be permitted.

The required mixing time in stationary mixers shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at mixing speed for transit-mixed concrete shall be not less than that recommended by the mixer manufacturer, and shall be increased, if necessary, to produce thoroughly and uniformly mixed concrete.

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

Each load of ready-mixed concrete shall be accompanied by a weighmaster certificate that shall be delivered to the Engineer at the discharge location of the concrete, unless otherwise directed by the Engineer. The weighmaster certificate shall be clearly marked with the date and time of day when the load left the batching plant and, if hauled in truck mixers or agitators, the time the mixing cycle started.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished to the Engineer, prior to placing minor concrete from a source not previously used on the contract, stating that minor concrete to be furnished meets contract requirements, including minimum cementitious material content specified.

### **90-10.04 CURING MINOR CONCRETE**

Curing minor concrete shall conform to the provisions in Section 90-7, "Curing Concrete."

### **90-10.05 PROTECTING MINOR CONCRETE**

Protecting minor concrete shall conform to the provisions in Section 90-8, "Protecting Concrete," except the concrete shall be maintained at a temperature of not less than 40 °F for 72 hours after placing.

### **90-10.06 MEASUREMENT AND PAYMENT**

Minor concrete will be measured and paid for in conformance with the provisions specified in the various sections of these specifications covering concrete construction when minor concrete is specified in the specifications, shown on the plans, or indicated by contract item in the Engineer's Estimate.

## **90-11 MEASUREMENT AND PAYMENT**

### **90-11.01 MEASUREMENT**

Portland cement concrete will be measured in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

For concrete measured at the mixer, the volume in cubic feet shall be computed as the total weight of the batch in pounds divided by the density of the concrete in pounds per cubic foot. The total weight of the batch shall be calculated as the sum of all materials, including water, entering the batch. The density of the concrete will be determined in conformance with the requirements in California Test 518.

### **90-11.02 PAYMENT**

Portland cement concrete will be paid for in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.





Performance Graded Asphalt Binder

Property	AASHTO Test Method	Specification				
		Grade				
		PG 58-22 <sup>a</sup>	PG 64-10	PG 64-16	PG 64-28	PG 70-10
Original Binder						
Flash Point, Minimum °C	T 48	230	230	230	230	230
Solubility, Minimum % <sup>b</sup>	T 44	99	99	99	99	99
Viscosity at 135°C, <sup>c</sup> Maximum, Pa·s	T 316	3.0	3.0	3.0	3.0	3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa Maximum G*/sin(delta), kPa	T 315	58 1.00 2.00	64 1.00 2.00	64 1.00 2.00	64 1.00 2.00	70 1.00 2.00
RTFO Test, <sup>e</sup> Mass Loss, Maximum, %	T 240	1.00	1.00	1.00	1.00	1.00
RTFO Test Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	58 2.20	64 2.20	64 2.20	64 2.20	70 2.20
Ductility at 25°C Minimum, cm	T 51	75	75	75	75	75
PAV <sup>f</sup> Aging, Temperature, °C	R 28	100	100	100	100	110
RTFO Test and PAV Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum G*/sin(delta), kPa	T 315	22 <sup>d</sup> 5000	31 <sup>d</sup> 5000	28 <sup>d</sup> 5000	22 <sup>d</sup> 5000	34 <sup>d</sup> 5000
Creep Stiffness, Test Temperature, °C Maximum S-value, Mpa Minimum M-value	T 313	-12 300 0.300	0 300 0.300	-6 300 0.300	-18 300 0.300	0 300 0.300

Notes:

- Use as asphalt rubber base stock for high mountain and high desert area.
- The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."
- The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.
- Test the sample at 3°C higher if it fails at the specified test temperature. G\*/sin(delta) remains 5000 kPa maximum.
- "RTFO Test" means the asphaltic residue obtained using the Rolling Thin Film Oven Test, AASHTO Test Method T 240 or ASTM Designation: D 2872. The residue from mass change determination may be used for other tests.
- "PAV" means Pressurized Aging Vessel.

Performance graded polymer modified asphalt binder (PG Polymer Modified) is:

Performance Graded Polymer Modified Asphalt Binder <sup>a</sup>

Property	AASHTO Test Method	Specification Grade		
		PG 58-34 PM	PG 64-28 PM	PG 76-22 PM
<b>Original Binder</b>				
Flash Point, Minimum °C	T 48	230	230	230
Solubility, Minimum % <sup>b</sup>	T 44 <sup>c</sup>	98.5	98.5	98.5
Viscosity at 135°C, <sup>d</sup> Maximum, Pa·s	T 316	3.0	3.0	3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	58 1.00	64 1.00	76 1.00
RTFO Test, Mass Loss, Maximum, %	T 240	1.00	1.00	1.00
<b>RTFO Test Aged Binder</b>				
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	58 2.20	64 2.20	76 2.20
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum (delta), %	T 315	Note e 80	Note e 80	Note e 80
Elastic Recovery <sup>f</sup> , Test Temp., °C Minimum recovery, %	T 301	25 75	25 75	25 65
PAV <sup>g</sup> Aging, Temperature, °C	R 28	100	100	110
<b>RTFO Test and PAV Aged Binder</b>				
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum G*sin(delta), kPa	T 315	16 5000	22 5000	31 5000
Creep Stiffness, Test Temperature, °C Maximum S-value, MPa Minimum M-value	T 313	-24 300 0.300	-18 300 0.300	-12 300 0.300

Notes:

- a. Do not modify PG Polymer Modified using acid modification.
- b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."
- c. The Department allows ASTM D 5546 instead of AASHTO T 44
- d. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.
- e. Test temperature is the temperature at which G\*/sin(delta) is 2.2 kPa. A graph of log G\*/sin(delta) plotted against temperature may be used to determine the test temperature when G\*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G\*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G\*/sin(delta) is 2.2 kPa.
- f. Tests without a force ductility clamp may be performed.
- g. "PAV" means Pressurized Aging Vessel.

**SAMPLING**

Provide a sampling device in the asphalt feed line connecting the plant storage tanks to the asphalt weighing system or spray bar. Make the sampling device accessible between 24 and 30 inches above the platform. Provide a receptacle for flushing the sampling device.

Include with the sampling device a valve:

1. Between 1/2 and 3/4 inch in diameter



**Replace the table in Section 95-2.11 with:**

**Characteristics of Adhesive:**

Test <sup>a</sup>	California Test	Requirement
Brookfield Viscosity, No. 3 Spindle at 20 rpm, Poise at 77°F	434, Part 4	0.9 max.
Gel time, minutes	434, Part 1	2 to 15
Slant Shear Strength on Dry Concrete, psi, after 4 days of cure in air at 77° F ±2° F	434, Part 5 <sup>b</sup>	3,000 min.
Slant Shear Strength on Wet Concrete, psi, after 4 days of cure in air at 77° F ±2° F	434, Part 5 <sup>b</sup>	1,700 min.
Tensile Strength, psi	434, Part 7, except test after 4 days of cure at 77° F ±2° F	4,500 min.
Elongation, %	434, Part 7, except test after 4 days of cure at 77° F ±2° F	10 max.

<sup>a</sup> The mixing ratio used will be that recommended by the manufacturer.

<sup>b</sup> For slant shear strength on concrete, delete Sections B-1 and B-5 of California Test 434, Part 5. For dry concrete, use Step "2" below only. For wet concrete, use both Steps "1" & "2":

1. Soak blocks in water for 24 hours at 77° F ±2° F. Remove and wipe off excess water.
2. Mix epoxy as described in California Test 434, Part 1, and apply a coat approximately 0.010-inch thick to each diagonal surface. Place four 0.125-inch square pieces of shim stock 0.012-inch thick on one block to control final film thickness. Before pressing the coated surfaces together, leave the blocks so that the coated surfaces are horizontal until the epoxy reacts slightly to prevent excessive flow.